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2008 Catalog of Federal Domestic Assistance

Executive Office of the President

Office of Management and Budget

Washington, DC 20503

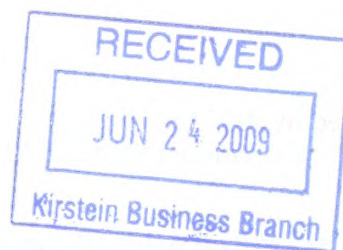
U.S. General Services Administration

Washington, DC 20405

Vol. 1

2008

Catalog of Federal Domestic Assistance: Volume 1 and 2



**EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF MANAGEMENT AND BUDGET**

WASHINGTON, DC 20503

**U.S. GENERAL SERVICES ADMINISTRATION
Washington, DC 20405**

2008

**Catalog of Federal Domestic
Assistance: Volume 1 and 2**

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Introduction

Catalog of Federal Domestic Assistance

The Catalog of Federal Domestic Assistance is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

In 1984, Public Law 98-169 authorized the transfer of responsibilities of the Federal Program Information Act from the Office of Management and Budget to the General Services Administration (GSA). The transfer took place in July 1984. These responsibilities include the dissemination of Federal domestic assistance program information through the Catalog of Federal Domestic Assistance, pursuant to the Federal Program Information Act, Public Law 95-220, as amended by Public Law 98-169. GSA now maintains the Federal assistance information database from which program information is obtained. The Office of Management and Budget serves as an intermediary agent between the Federal agencies and GSA, thus providing oversight to the necessary collection of Federal domestic assistance program data.

As the basic reference source of Federal programs, the primary purpose of the Catalog is to assist users in identifying programs that meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the Catalog is to improve coordination and communication between the Federal government and State and local governments.

The Catalog provides the user with access to programs administered by Federal departments and agencies in a single publication. Program information is cross referenced by functional classification (Functional Index), subject (Subject Index), applicant (Applicant Index), deadline(s) for program application submission (Deadlines Index), and authorizing legislation (Authorization Index). These are valuable resource tools that, if used carefully, can make it easier to identify specific areas of program interest more efficiently.

Other sections of the Catalog provide users with information on programs added and deleted since the last edition of the Catalog, a crosswalk of program numbers and title changes, regional and local offices, intergovernmental review requirements, definitions of the types of assistance under which programs are administered, proposal writing, grant application procedures, and additional sources of information on Federal programs and services.

Programs selected for inclusion in the Federal assistance data base are defined as any function of a Federal agency that provides assistance or benefits for a State or States, territorial possession, county, city, other political subdivision, grouping, or instrumentality thereof; any domestic profit or nonprofit corporation, institution, or individual, other than an agency of the Federal government.

A "Federal domestic assistance program" may in practice be called a program, an activity, a service, a project, a process, or some other name, regardless of whether it is identified as a separate program by statute or regulation. It will be identified in terms of its legal authority, administering office, funding, purpose, benefits, and beneficiaries.

"Assistance" or "benefits" refers to the transfer of money, property, services, or anything of value, the principal purpose of which is to accomplish a public purpose of support or stimulation authorized by Federal statute. Assistance includes, but is not limited to grants, loans, loan guarantees, scholarships, mortgage loans, insurance, and other types of financial assistance, including cooperative agreements; property, technical assistance, counseling, statistical, and other expert information; and service activities of regulatory agencies. It does not include the provision of conventional public information services.

For years, GSA has published a printed version of the Catalog of Federal Domestic Assistance (CFDA or Catalog), as required by legislation dating to 1977 and 1983. That same legislation allowed GSA to distribute free copies of the printed Catalog to designated recipients. In fiscal year 2003, nearly 10,000 paper copies of the Catalog were distributed at no cost to the recipients.

Current legislation, however, authorizes GSA to determine in what form to prepare and publish the Catalog. Consistent with the Administration's Electronic-Government initiatives, the Government Paperwork Elimination Act, and a move to a paper free environment, GSA will now disseminate the Catalog electronically through the CFDA website on the Internet. As a result, effective immediately, GSA will no longer print and distribute free copies of the Catalog.

The Internet and GSA's free CFDA website at <http://www.cfda.gov> will be the primary means of disseminating the Catalog. The CFDA website will also contain a PDF file version of the Catalog that, when printed by any user, will have the same layout as the printed document that the Government Printing Office (GPO) has provided.

GPO will continue printing and selling the CFDA to interested buyers. For information about purchasing the Catalog of Federal Domestic Assistance from GPO, call the Superintendent of Documents at 202-512-1800 or toll free at 866-512-1800, or you may reach GPO's on-line bookstore at <http://bookstore.gpo.gov>.

Types Of Assistance

Currently, programs in the Catalog are being classified by GSA into 15 types of assistance. (Cooperative Agreements as a type of assistance is used for programs administered under that mechanism. However, the definition does not appear in this section.) Benefits and services of the programs are provided through seven financial types of assistance and eight nonfinancial types of assistance. The following list defines the types of assistance that are available through the programs. Code letters below (A through O) which identify the type of assistance) will follow program titles in the Agency Index, Applicant Eligibility Index, the Functional Index, Deadlines Index, and in the list of added programs.

- A** Formula Grants - Allocations of money to States or their subdivisions in accordance with distribution formulas prescribed by law or administrative regulation, for activities of a continuing nature not confined to a specific project.
- B** Project Grants - The funding, for fixed or known periods, of specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants.
- C** Direct Payments for Specified Use - Financial assistance from the Federal government provided directly to individuals, private firms, and other private institutions to encourage or subsidize a particular activity by conditioning the receipt of the assistance on a particular performance by the recipient. This does not include solicited contracts for the procurement of goods and services for the Federal government.
- D** Direct Payments with Unrestricted Use - Financial assistance from the Federal government provided directly to beneficiaries who satisfy Federal eligibility requirements with no restrictions being imposed on the recipient as to how the money is spent. Included are payments under retirement, pension, and compensatory programs.
- E** Direct Loans - Financial assistance provided through the lending of Federal monies for a specific period of time, with a reasonable expectation of repayment. Such loans may or may not require the payment of interest.
- F** Guaranteed/Insured Loans - Programs in which the Federal government makes an arrangement to identify a lender against part or all of any defaults by those responsible for repayment of loans.
- G** Insurance - Financial assistance provided to assure reimbursement for losses sustained under specified conditions. Coverage may be provided directly by the Federal government or through private carriers and may or may not involve the payment of premiums.
- H** Sale, Exchange, or Donation of Property and Goods - Programs which provide for the sale, exchange, or donation of Federal real property, personal property, commodities, and other goods including land, buildings, equipment, food and drugs. This does not include the loan of, use of, or access to Federal facilities or property.
- I** Use of Property, Facilities, and Equipment - Programs which provide for the loan of, use of, or access to Federal facilities or property wherein the federally owned facilities or property do not remain in the possession of the recipient of the assistance.
- J** Provision of Specialized Services - Programs that provide Federal personnel directly to perform certain tasks for the benefit of communities or individuals. These services may be performed in conjunction with nonfederal personnel, but they involve more than consultation, advice, or counseling.

- K** Advisory Services and Counseling - Programs which provide Federal specialists to consult, advise, or counsel communities or individuals to include conferences, workshops, or personal contacts. This may involve the use of published information, but only in a secondary capacity.
- L** Dissemination of Technical Information - Programs that provide for the publication and distribution of information or data of a specialized or technical nature frequently through clearinghouses or libraries. This does not include conventional public information services designed for general public consumption.
- M** Training - Programs that provide instructional activities conducted directly by a Federal agency for individuals not employed by the Federal government.
- N** Investigation of Complaints - Federal administrative agency activities that are initiated in response to requests, either formal or informal, to examine or investigate claims of violations of Federal statutes, policies, or procedure. The origination of such claims must come from outside the Federal government.
- O** Federal Employment - Programs that reflect the Governmentwide responsibilities of the Office of Personnel Management in the recruitment and hiring of Federal civilian agency personnel.

Contents of the Catalog

The Catalog contains Federal domestic assistance programs available to: State and local governments (including the District of Columbia and federally-recognized Indian tribal governments); Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

Programs in the Catalog provide information on the:

- Federal agency administering a program;
- Authorization upon which a program is based;
- Objectives and goals of a program;
- Types of financial and nonfinancial assistance offered under a program;
- Uses and restrictions placed upon a program;
- Eligibility requirements;
- Application and award process;
- Amount of obligations for the past, current, and future fiscal years;
- Regulations, guidelines and literature relevant to a program;
- Information contacts at the headquarters, regional, and local offices;
- Programs that are related based upon program objectives and uses;
- Examples of funded projects;
- Criteria for selecting proposals; and
- Individual agency policies and Federal management policy directives pertaining to a program.

Programs in the Catalog provide a wide range of benefits and services, which have been grouped into 20 basic functional categories, and 176 subcategories that identify specific areas of interest. Listed below are the 20 basic categories in which all programs have been grouped by primary purpose.

- Agriculture
- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education
- Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security and Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development
- Science and Technology
- Transportation

Programs in the Catalog also include service activities of regulatory agencies.

The Catalog does not include:

- Solicited contracts administered under procurement laws and regulations for the purchase of goods and services for the Federal government;
- Foreign activities except as such programs have direct economic benefit in the domestic economy. (A program that provides both domestic and foreign assistance will be included with the description of the program oriented toward the domestic aspect.);
- Personnel recruitment programs of individual Federal departments which offer employment opportunities as part of normal recruiting operations, (the overall government-wide programs of the Office of Personnel Management are included);
- Benefits or assistance available only to current employees of the Federal government either civilian or military;
- New programs proposed in the Budget for which appropriations have not been enacted; or
- Programs that are no longer active due to expired authorization or appropriation.

Organization of the Catalog

The Catalog is divided into three basic sections -- the indices, the program descriptions, and the appendices.

INDICES --To locate specific programs of interest, determine eligibility, and to obtain information on the dates applications for assistance should be submitted to the funding agency, users may consult the Agency Program Index, the Functional Index, or the Subject Index; the Applicant Eligibility Index, and the Index listing deadlines for program applications.

The Agency Index Summary provides a description of the functions and activities of Federal agencies responsible for administering programs in the Catalog. Program numbers are also listed with the administering agency.

The Agency Program Index lists all programs in the Catalog in numerical order by the five-digit program identification number, the program title, the Federal agency responsible for administering the program, and whether the program offers financial assistance, nonfinancial assistance, or a combination of both.

The Functional Index Summary lists the basic functional categories and the subcategories that further identify specific areas of interest. Following the Summary is the Functional Index listing each program number and title under the appropriate basic category and subcategory.

The Subject Index provides a detailed listing of programs by various topics, popular name, general functional terms, categories of services, and selected beneficiaries, and is followed by the applicable program numbers.

The Applicant Eligibility Index is a listing in program number sequence, along with program titles, indicating the applicants eligible to apply. The index lists programs that may be applied for by:

- Any of the several States of the United States, the District of Columbia, or any agency or instrumentality of a State exclusive of State institutions of higher education and hospitals;
- Local governments which include a county, parish, municipality, city, town, township, village, State-designated Indian tribal government, local public authority, school district, special district, intrastate district, council of governments, sponsor group representative organizations, and other regional or interstate government entity, or any agency or instrumentality of a local government;
- U.S. Territories (and possessions) of the United States which include the Commonwealths of Puerto Rico and the Northern Mariana Islands, the Virgin Islands, Guam, Trust Territory of the Pacific Islands, and American Samoa;
- Federally-recognized Indian Tribal governments which include the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in Section 3 of the Alaska Native Claims Settlement Act, 85 Stat. 688) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs;
- Nonprofit organizations and institutions which include quasi-public, public and private institutions of higher education and hospitals, Native American Indian Organizations, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies (CAAs), Head Start agencies, research institutes, educational associations, and health centers. Excluded under this definition are government-owned contractor operated facilities or research centers providing support for mission-oriented, large scale programs that are government-owned or controlled, or are designed as federally-funded research and development centers; and

- Private individuals such as Native Americans, homeowners, students, farmers, artists, scientists, consumers, small business, refugees, aliens, veterans, senior citizens, low-income persons, health and education professionals, builders, contractors, developers, handicapped persons, the physically afflicted.

The Deadlines Index (for program applications) is a listing of program numbers and titles and the deadline date(s) by which funding agencies must receive applications. This information is also contained in the Deadlines Section of the program descriptions.

Users should also consult the following listings:

The Deleted Programs list identifies programs that have been deleted since the previous edition of the Catalog due to: expiration of budget authority; rescission of budget authority for the current fiscal year; program consolidation which has rendered the former program number(s) obsolete; the replacement of a categorical grant program by a block grant program; the replacement of two or more categorical programs by a block grant program; the abolishment of an agency; or the criteria for including a program in the Catalog no longer valid being (e.g., a program which no longer operates under Federal funding).

The Added Programs list identifies programs that have been added since the previous edition of the Catalog due to: the appropriation of new budget authority; the consolidation of two or more programs creating a new program; the splitting of elements from a former program creating two or more new programs; the transformation of a single categorical grant program into a block program; or the consolidation of two or more categorical grant programs into a block program.

The Crosswalk of Changes to Program Numbers and Titles which lists programs that have undergone a title change, or a program number change due to restructuring of programs, or reorganization of a Federal agency.

PROGRAM DESCRIPTIONS -- The center section of the printed Catalog and the listings available on this web site contain descriptions of Federal programs listed by program number in the same numerical sequence as in the Agency Program Index. Detailed information concerning programs is contained under the description headings of each Catalog program. The following is an explanation of each program description heading followed by examples of the type of information found under each heading.

NOTE: The program used in the example below does not exist. It is provided for the purpose of illustration only.

PROGRAM NUMBER, TITLE, AND POPULAR NAME -- Each program in the Catalog is preceded by a five-digit program identification number. The first two digits identify the Federal department or agency that administers the program, and the last three numbers are assigned in numerical sequence. Thus, program number 10.500 is administered by the Department of Agriculture, 11.500 by the Department of Commerce, 12.500 by the Department of Defense, 93.500 by the Department of Health and Human Services, and so on. (In the numerical sequence of program numbers, some numbers do not appear due to program deletions or consolidations. To accommodate users' systems and records, the numbers are not reassigned to other programs but are reserved for the reinstated programs.) The program title is the descriptive name given to a program. The popular name, which is less descriptive than the program title, is the name by which programs are commonly known or most often used by applicants and agencies.

Example: 93.259 Mental Health -- Children's Services

FEDERAL AGENCY -- The Federal agency is the Federal department, agency, commission, council or instrumentality of the government, and the primary organizational sub-unit (the administering office) that has direct operational responsibility for managing a program.

Example: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION -- This section lists the legal authority upon which a program is based (acts, amendments to acts, Public Law numbers, titles, sections, Statute Codes, citations to the U.S. Code, Executive Orders, Presidential Reorganization Plans, and Memoranda from an agency head). Information provided here is used to produce Appendix II, the Authorization Appendix.

Example: Community Mental Health Centers Act of 1975, Part A, Section 203(e), Public Law 94-63, 42 U.S.C. 2689.

OBJECTIVES -- This is a brief statement of specific objectives stated in terms of what the program is intended to accomplish along with the goals toward which the program is directed.

Example: To stimulate innovative approaches to children's mental health problems emphasizing prevention and coordination of community services; to expand training activities; and, to broaden resources for children's mental health services.

TYPES OF ASSISTANCE -- This section indicates the form in which the assistance is transmitted from the Federal government and is initially received for use or distribution by the applicant.

Example: Project Grants.

USES AND USE RESTRICTIONS -- This section describes the potential uses for the assistance provided to meet stated objectives, and the specific restrictions placed upon the use of funds. The section cites one or more applications depending upon the nature of a particular program. Since this section translates objectives into the uses of a program, users may develop a clearer understanding of the program's objectives.

Example: Support for Continuation Grants only. Program authorizes funds on a matching basis for initial staffing of facilities offering mental health services for children. Staffing grants may be used for a portion of the costs of professional and technical personnel to operate a facility for child mental health services; a higher percentage may be paid if an area has been designated a poverty area by the Secretary, HHS. The proposed program must provide consultation and coordinating services with other community agencies serving children in service area, and must include a plan with the means by which it will be evaluated.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility -- This section indicates who can apply to the Federal government for assistance and the criteria the potential applicant must satisfy. For example, individuals may be eligible for research grants, and the criteria to be satisfied may be that they have a professional or scientific degree, 3 years of research experience, and be a citizen of the United States. Universities, medical schools, hospitals, or State and local governments may also be eligible. Where State governments are eligible, the type of State agency will be indicated (State welfare agency or State agency on aging) and the criteria that they must satisfy.

Certain programs in the Catalog (e.g., the Pell Grant program which provides grants to students) involve intermediate levels of application processing, i.e., applications are transmitted through colleges or universities that are neither the direct applicant nor the ultimate beneficiary. For these programs, the criteria that the intermediaries must satisfy are also indicated, along with intermediaries who are not eligible.

Example: To be eligible for staffing grants, applicants must be part of, or affiliated with, a community mental health center, unless there is no center serving the community. Applicants may then be any public or private nonprofit agency providing, or coordinating with programs that will provide a full range of mental health services for children and their families residing in the service area.

Beneficiary Eligibility -- This section lists the ultimate beneficiaries of a program, the criteria they must satisfy and who specifically is not eligible. The applicant and beneficiary will generally be the same for programs that provide assistance directly from a Federal agency. However, financial assistance that passes

through State or local governments will have different applicants and beneficiaries since the assistance is transmitted to private sector beneficiaries who are not obligated to request or apply for the assistance.

Example: Children and their families in the service area as well as personnel of schools and other community agencies serving children.

Credentials/Documentation -- This is a brief description of the credentials or documentation required prior to, or along with, an application for assistance. The eligibility factors that must be proven, certified, or established are indicated in this section. This section also indicates whether OMB Circular No. A-87 requirements, "Cost Principles Applicable to Grants and Contracts with State and Local Governments," are applicable. In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Proof of nonprofit status is required of nonprofit organizations and institutions. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination -- This section indicates whether any prior coordination or approval is required with governmental or nongovernmental units prior to the submission of a formal application to the Federal funding agency. For example, programs may require: (1) State agency approval prior to the submission of an application to a Federal agency; (2) the submission of environmental impact information as required by the National Environmental Policy Act of 1969, and Executive Order 11514 of March 4, 1970; (3) coordination with the policies of the recently revised OMB Circular No. A-102, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" (referenced here for construction, land acquisition, and land development projects for which Federal funding exceeds \$100,000); (4) coverage for eligibility under Executive Order 12372, "Intergovernmental Review of Federal Programs"; or (5) a preapplication or preapplication conference. Applicants should also ascertain from the Federal agency the existence of other circular requirements not indicated by this section, and from the State, any State requirements that may be in effect. In cases where E.O. 12372 is not applicable to the program, a disclaimer statement is included referencing the exclusion, e.g., "This program is excluded from coverage under E.O. 12372."

Example: Preapplication consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA) Branch of the HHS Regional Office is not mandatory. Application must be accompanied by evidence of approval and recommendation by the appropriate State agency or agencies. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure -- This section discusses the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level (e.g., State and local government units, institutions or organizations) and ending eventually with the Federal government. Each program will indicate whether applications are to be submitted to the Federal headquarters, regional or local office, or to a State or local government office. Numerous programs in the Catalog require the standard application forms in OMB Circular No. A-102 (Attachment M). Other applications may be in the form of a written request to the funding agency stating the need for assistance and requesting available services, or a formal proposal prepared in response to an announcement in the Federal Register or the Commerce Business Daily. Also indicated in this section is guidance concerning the applicability of OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Continuation Application Form ADM-115 should be used for staffing. Instructions and consultation may be obtained from the mental health section of the appropriate HHS Regional Office. Applications are sent to the Regional Office with copies to Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure -- This section lists the basic procedural steps for awarding assistance, beginning with the organizational components of the Federal agency that has final approval authority for the application and ending with the lowest level at which Federal resources are expended. Also indicated is whether assistance passes through the initial applicant for further distribution by intermediate level applicants to groups or individuals in the private sector.

Accepted applications are subject to evaluation by the headquarters, regional, local or district office to determine the feasibility of the proposed project to include consistency with Federal and individual agency policies concerning its scope and purpose. Grant payments may be made by a letter of credit, advance by Treasury check, or reimbursement by Treasury check. The headquarters office may make awards directly to the applicant, an agency field office, a regional office, or by an authorized county office.

Example: The Regional Health Administrator makes awards to approved applicants.

Deadlines -- When available, this section indicates the deadlines for applications to the funding agency that will be stated in terms of the date(s) or between what dates the application should be received. Reference is made to new applications, continuations, renewals, and supplementals. Application deadline information is also indicated in the Deadlines Index, in the agency's program guidelines, or announced in the Federal Register. Where not available, applicants should contact the funding agency for deadline information.

Example: Staffing: Determined by award period (contact the Regional Office).

Range of Approval or Disapproval Time -- This section informs the applicant of the representative range of time required for the application to be processed (in terms of days or months) at the Federal level.

Example: From 90 to 120 days.

Appeals -- In some cases, there are no provisions for appeal. Where applicable, this section discusses appeal procedures or allowable rework time for resubmission of applications to be processed by the funding agency. Appeal procedures vary with individual programs and are either listed in this section or applicants are referred to appeal procedures documented in the relevant Code of Federal Regulations (CFR).

Example: Not applicable. (An appeal was not applicable in this case. A related program (93.231) allows applicants to reapply if revised applications are submitted.)

Renewals -- This section advises the applicant as to whether renewals or extensions of applications are available and indicates the appropriate procedures to follow. In some instances, renewal procedures may be the same as for the application procedure, e.g., for projects of a non-continuing nature renewals will be treated as new, competing applications; for projects of an ongoing nature, renewals may be given annually. Example: This program is renewed annually. Grantees are required to update their plan and submit a current year budget.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements -- This section indicates the formula and matching requirements prescribed in the allocation of funds or maintenance of effort requirements. A formula may be based on population, per capita income, and other statistical factors. Applicants are informed whether there are any matching requirements to be met when participating in the cost of a project. In general, the matching share represents that portion of the project costs not borne by the Federal government. Usually, a minimum

percentage for matching share is prescribed by program legislation, and matching share requirements are included in the grant agreement. Attachment F of OMB Circular No. A-102 sets forth the criteria and procedures for the evaluation of matching share requirements which may be cash or in-kind contributions made by State and local governments or other agencies, institutions, private organizations, or individuals to satisfy matching requirements of Federal grants or loans.

Cash contributions represent the grantees' cash outlay, including the outlay of money contributed to the grantee by other public agencies, institutions, private organizations, or individuals. When authorized by Federal regulation, Federal funds received from other grants may be considered as the grantees' cash contribution.

In-kind contributions represent the value of noncash contributions provided by the grantee, other public agencies and institutions, private organizations or individuals. In-kind contributions may consist of charges for real property and equipment, and value of goods and services directly benefiting and specifically identifiable to the grant program. When authorized by Federal legislation, property purchased with Federal funds may be considered as grantees' in-kind contribution.

Maintenance of effort (MOE) is a requirement contained in certain legislation, regulations, or administrative policies stating that a grantee must maintain a specified level of financial effort in a specific area in order to receive Federal grant funds, and that the Federal grant funds may be used only to supplement, not supplant, the level of grantee funds. Programs that have maintenance of effort requirements and have total allocations over \$100 million (current FY) should have the following statement in this section: This program has maintenance of effort (MOE) requirements; see funding agency for further details.

Example: Staffing: Federal funds on a decreasing percentage basis over a period of 8 years 90 percent - 1st and 2nd years; 80 percent - 3rd year; 75 percent - 4th and 5th years; 70 percent - 6th, 7th and 8th years. Nonpoverty areas are entitled to: 80 percent - 1st and 2nd years; 75 percent - 3rd year; 60 percent - 4th year; 45 percent - 5th year; 30 percent - 6th, 7th and 8th years.

Length and Time Phasing of Assistance -- This section indicates the time period during which the assistance is normally available, whether there are any restrictions placed on the time permitted to use the funds awarded, and the timing of disbursement of the assistance, e.g., lump sum, annually, quarterly, or as required.

Example: Staffing grants are limited to 8 years by law. Payments are made on a Monthly Cash Request System or under a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports -- This section indicates whether program reports, expenditure reports, cash reports or performance monitoring is required by the Federal funding agency, and specifies at what time intervals (monthly, annually, etc.) this must be accomplished.

Example: Interim progress reports must be submitted annually as part of a non-competing application; reports of expenditures are due annually. Immediate reporting of any inventions is required.

Audits -- This section discusses audits required by the Federal agency. The procedures and requirements for State and local governments and nonprofit entities are set forth in OMB Circular No. A-133. These requirements pertain to awards made within the respective State's fiscal year -- not the Federal fiscal year, as some State and local governments may use the calendar year or other variation of time span designated as the fiscal year period, rather than that commonly known as the Federal fiscal year (from October 1st through September 30th).

Example: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of

\$300,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records -- This section indicates the record retention requirements and the type of records the Federal agency may require. Not included are the normally imposed requirements of the General Accounting Office. For programs falling under the purview of OMB Circular No. A-102, record retention is set forth in Attachment C. For other programs, record retention is governed by the funding agency's requirements.

Example: Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

FINANCIAL INFORMATION:

Account Identification -- This 11-digit budget account identification code represents the account that funds a particular program. This code should be consistent with the code given for the program area as specified in Appendix III of the Budget of the United States Government. (See Appendix III for further information on the meaning of the 11 digits of this code.)

Example: 75-1361-0-1-550.

Obligations -- The dollar amounts listed in this section represent obligations for the past fiscal year (PY), estimates for the current fiscal year (CY), and estimates for the budget fiscal year (BY) as reported by the Federal agencies. In each succeeding edition of the Catalog, the dollar amounts are revised to reflect changes that may result from supplemental appropriations or amendments. Each program indicates what the obligation figures represent in terms of the type of assistance provided. Obligations for nonfinancial assistance programs indicate the administrative expenses involved in the operation of a program as an indication of the magnitude of the services being provided, or the items involved in obligations.

Example: (Grants) PY \$19,853,000; CY est. \$20,407,000; and BY est. \$14,830,000.

Range and Average of Financial Assistance -- This section lists the representative range (smallest to largest) of the amount of financial assistance available. These figures are based upon funds awarded in the past fiscal year and the current fiscal year to date. Also indicated is an approximate average amount of awards that were made in the past and current fiscal years.

Example: Formula: \$26,355 to \$691,481; \$235,305.

PROGRAM ACCOMPLISHMENTS -- This section briefly describes the accomplishments of a program using quantitative data, focusing on program output, results achieved, or services rendered during the past fiscal year, the current fiscal year, and projections for the coming fiscal year.

Example: In the past fiscal year, 140 applications were received and 140 staffing awards were issued. Approximately 147 continuation grants were funded during the current fiscal year and 103 are estimated to be funded in the budget fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE -- This section lists the title, number, and price of guidelines, handbooks, manuals, and other officially published information pertinent to a program. Since program regulations are published first in the Federal Register (FR) and later in the Code of Federal Regulations (CFR), citations to the CFR are listed.

Example: Interim guidelines available in application kits.

INFORMATION CONTACTS:

Regional or Local Office -- This section lists the agency contact person, address and telephone number of the Federal Regional or Local Office(s) to be contacted for detailed information regarding a program such as: (1) current availability of funds and the likelihood of receiving assistance within a given period; (2) preapplication and application forms required; (3) whether a preapplication conference is recommended; (4) assistance available in preparation of applications; (5) whether funding decisions are made at the headquarters, regional or local level; (6) application renewal procedures (including continuations and supplementals) or appeal procedures for rejected applications; and (7) recently published program guidelines and material.

However, for most programs in the Catalog, this section will instruct the reader to consult Appendix IV of the Catalog (Agency Regional and Local Office Addresses) due to the volume of Regional and Local Office Contacts for most agencies. For those agencies with fewer contacts, the actual information will be provided in this section.

Example: Substance Abuse and Mental Health Services Administration (SAMSHA) Branch of the appropriate HHS Regional Office (see Appendix IV of the Catalog for listing).

(Appendix IV Listing) Region 1
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
William Farrow
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(617) 123-4567
(Use same number for FTS)

Headquarters Office -- This section lists names and addresses of the office at the headquarters level with direct operational responsibility for managing a program. A telephone number is provided in cases where a Regional or Local Office is not normally able to answer detailed inquiries concerning a program. Also listed are the name(s) and telephone number(s) of the information contact person(s) who can provide additional program information to applicants.

Example: Dr. Steven Sharfstein, Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 123-4567. Use same number for FTS.

RELATED PROGRAMS -- This section of the program description lists all programs in the Catalog that are closely related based on objectives and program uses. Applicants should also refer to these programs, as they may provide additional assistance in a related area of interest.

Example: 93.232, Maternal and Child Health Services; 93.233, Maternal and Child Health Training; 93.242, Mental Health Research Grants; 93.295, Community Mental Health Centers-Comprehensive Support; 93.630, Developmental Disabilities-Basic Support.

EXAMPLES OF FUNDED PROJECTS -- This section indicates the different types of projects which have been funded in the past. Only projects funded under Project Grants or Direct Payments for Specified Use should be listed here. The examples give potential applicants an idea of the types of projects that may be accepted for funding. The agency should list at least five examples of the most recently funded projects.

Example: Awards are made only for staffing of facilities offering mental health services for children.

CRITERIA FOR SELECTING PROPOSALS -- This section indicates the criteria used by the Federal grantor agency to evaluate proposals in order to inform potential applicants of the application review process and the criteria used to award funds for projects.

Example: The criteria for selecting proposals are based upon the extent the project will contribute to needed services and training, capability of applicant to provide services and training, more effective utilization of personnel providing mental health services, and development of new methods or information.

APPENDICES -- The last section of the Catalog contains the following appendices: Programs Requiring Executive Order 12372 Review (Appendix I); Authorization Appendix (Appendix II); Budget Functional Code Appendix (Appendix III); Agency Regional and Local Office Addresses (Appendix IV); Sources of Additional Information (Appendix V); Developing and Writing Grants Proposals (Appendix VI); and in the December update to the Catalog, Historical Profile of Catalog Programs (Appendix VII).

Appendix I -- Programs Requiring Executive Order 12372 Review:

This Appendix gives a brief description of Executive Order 12372. The description explains its purpose, identifies a listing of all Catalog program numbers and titles to which it applies, the general procedures to follow in applying for assistance, and the State Single Point of Contact List to which the States may refer for application coordination purposes.

Appendix II -- Authorization Appendix:

This appendix lists Acts, Executive Orders and Public Law numbers that mandate programs in the Catalog. Acts and Executive Order citations are listed in alphabetic sequence and Public Law citations are listed numerically by the Congress. The citations are followed by their corresponding program numbers.

Appendix III -- Budget Functional Code Appendix:

This appendix lists programs by the Budget functional classification. The three digits listed are the major and minor functional classifications used to identify the major purpose of the programs.

Appendix IV -- Agency Regional and Local Office Addresses:

This appendix lists the names, addresses and telephone numbers of the regional and local offices that should be contacted for detailed information concerning a program and for initiating the process for applying for assistance. In cases where a Federal agency does not have a regional or local office, the headquarters office listed in the program description should be contacted.

Appendix V -- Sources of Additional Information:

Information pertaining to Federal programs is available from Federal Information Centers and Federal Executive Boards as listed in this Appendix. Also listed are other government sources of information, including the 24 U.S. locations for the Government Printing Office Bookstores that sell the Catalog and other Federal publications.

Appendix VI -- Developing and Writing Grants Proposals:

General overview of the grants proposal process and suggested guidelines for developing and writing a well-prepared proposal to obtain Federal funding.

Appendix VII -- Historical Profile of Catalog Programs:

This appendix lists all programs that have been published in the Catalog of Federal Domestic Assistance beginning with the 1965 edition, and the subsequent action taken related to those programs. It can be found on the CFDA Web Site: <http://www.cfda.gov>

AGENCY INDEX SUMMARY

The following is a summary description of the functions and activities of federal agencies responsible for administering programs listed in the Catalog. The programs (numbers) are also listed with the administering agency.

DEPARTMENT OF AGRICULTURE

Agricultural Research Service (ARS) (10.001, 10.700)

Conducts research to develop new knowledge and technology to ensure an abundance of high quality agricultural commodities and products at reasonable prices to meet the increasing needs of an expanding economy and to provide for the continued improvement in the standard of living of all Americans. It conducts basic, applied, and developmental research on animal and plant production use and improvement of soil, water, and air processing, storage, distribution, food safety, consumer services and human nutrition research, and food and agriculture sciences. The National Agricultural Library of ARS disseminates useful information about agricultural and other related sciences to scientists and researchers, administrators and managers, farmers, and to the general public provides library services, such as bibliographies, reference services and document delivery.

Agricultural Marketing Service (AMS) (10.084, 10.153-10.170, 10.256, 10.290, 10.309, 10.679, 10.860, 10.862)

Administers standardization, grading, voluntary inspection, market news, marketing orders, regulatory, and related programs.

Animal and Plant Health Inspection Service (APHIS) (10.025-10.029)

Conducts regulatory and control programs to protect and improve animal and plant health for the benefit of man and the environment and to control or reduce damage caused by nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, except for urban rodent control.

Cooperative State Research, Education, and Extension Service (10.200-10.228, 10.303-10.308, 10.500)

Participates in a nationwide system of agricultural research program planning and coordination between the States and the Department of Agriculture to encourage and assist in the establishment and maintenance of cooperation within and among the States and between the States and their Federal research partners. The primary function is to administer the Acts of Congress that authorize Federal appropriations for agricultural research carried on by the State agricultural experiment stations of the 50 States, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia the Northern Marianas, approved schools of forestry, the 1890 land-grant institutions and Tuskegee University, colleges of veterinary medicine, and other eligible institutions. The Extension activities of the Cooperative State Research, Education, and Extension Service coordinates and provides administrative, technical, and other services to a nationwide Cooperative Extension System, in partnership with State and local governments and the private sector. The primary function of this system is to take the research findings of the Department of Agriculture, the State Land-Grant Colleges and programs administered by the Department of Agriculture, and to develop and deliver informal, out-of-school educational programs. These programs communicate and demonstrate to people how they can apply research findings to identify and solve farm, home, and community problems. This work is carried out through extension offices in each State, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia, plus 16, 1890 land-grant universities and Tuskegee University as the State partner.

Economic Research Service (10.250-10.255)

The Economic Research Service produces economic and other social science information as a service to the general public and to help Congress and the administration develop, administer, and evaluate agricultural and rural policies and programs.

Farm Service Agency (FSA) (10.051-10.069, 10.073-10.082, 10.404, 10.406, 10.407, 10.421, 10.435, 10.437, 10.407, 10.421, 10.435, 10.437, 10.443, 10.449-10.451, 10.452, 10.994-10.999)

Administers domestic commodity price and income support, farm loan, disaster assistance, and conservation cost-share programs for the Department of Agriculture.

Assistant Secretary for Administration (10.443)

Through leadership and partnership with USDA agencies: ensure the provision of information, technical assistance, and training to all USDA customers with emphasis on under-served populations, to assure they have full access to all USDA programs and services.

Food and Nutrition Service (FNS) (10.551-10.582)

Administers programs to make food assistance available to people who need it. These programs are operated in cooperation with State and local governments.

Food Safety and Inspection Service (FSIS) (10.475-10.477-10.479)

Assures that meat, poultry and egg products moving in interstate and foreign commerce are safe, wholesome, unadulterated and accurately labeled, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. The Meat, Poultry, and Egg Products Inspection Programs provide continuous in plant inspection of all domestic plants preparing meat, poultry, and egg products for sale or distribution in commerce. In addition, these acts include the review of inspection systems in foreign establishments that prepare meat, poultry, or egg products for export to the United States under inspection programs that are equivalent to the U.S. program. FSIS provides technical and financial assistance to States which maintain meat and poultry inspection programs equal to Federal inspection. FSIS also enters into Cooperative Agreements with Academic institutions; State, local and tribal government agencies; and non-profit organizations to improve Food Safety and Food Defense.

Foreign Agricultural Service (FAS) (10.600-10.604, 10.606-10.609, 10.960-10.962)

The export promotion and service agency for the U.S. Department of Agriculture. Stimulates overseas markets for U.S. agricultural products. FAS fulfills its role as the promotional agency for the world's largest agricultural export business through its network of agricultural counselors, attaches, and trade officers stationed overseas and its backup team of analysts, marketing specialists, negotiators, and related specialists. FAS maintains a worldwide agricultural intelligence and reporting system through its attached service. FAS also has a continuing market development program to develop, service, and expand commercial export markets for U.S. agricultural products. By virtue of the Agricultural Trade Act of 1978, FAS received authority to open at least 6 and not more than 25 agricultural trade offices overseas to develop, maintain and expand international markets for U.S. agricultural commodities. Ten of these offices have been established and are located in such key markets as West Germany, Saudi Arabia, Singapore, and Mexico City. To improve access for U.S. farm products abroad, FAS international trade policy specialists coordinate and direct USDA's responsibilities in international trade agreement programs and negotiations. FAS also manages the Public Law 480 Program, Titles I and III (Food for Peace Program), and the Commodity Credit Corporation (CCC) Export Credit Guarantee Programs. Public Law 480 is aimed at long-range improvement in the economies of developing countries. Commercial programs promote commercial agricultural exports by providing credit guarantees to exporters which are used to obtain additional U.S. exports. Title I, the concession sales section of Public Law 480, provides for low-interest, long-term credit to recipients of U.S. farm commodities. The Title II program authorizes the donation of agricultural commodities to foreign governments, voluntary relief agencies, or intergovernmental organizations. Title III permits multiyear programming and forgiveness of dollar payments, provided the recipient country undertakes specific agricultural and economic development projects for commodities delivered under Title I agreements. FAS focuses on sharing knowledge of agriculture through development assistance and cooperation with other countries. Primary activities are providing technical assistance and training in agriculture to other countries, particularly the developing world; working with international food and agricultural organizations to solve world food problems; and sponsoring scientific exchanges and research that will help farmers both at home and abroad.

Forest Service (10.652-10.684)

Cares for the land and serves people. Promotes the sustainability of ecosystems by ensuring their health, diversity, and productivity, which is coupled with this service ethic: work collaboratively and use appropriate scientific information in caring for the land and serving people. Provides financial, technical and scientific assistance to outside organizations in order to achieve these goals when authorized by statute. These land and service ethics are applied by the Forest Service through ecosystem management. Ecosystem management is the integration of ecological, economic, and social factors in order to maintain and enhance the quality of the environment to meet current and future needs. The four strategic goals of the Forest Service are to: (1) protect ecosystems, (2) restore deteriorated ecosystems, (3) provide multiple benefits for people within the capabilities of ecosystems, and (4) ensure organizational effectiveness. The Forest Service Natural Resource Agenda identifies four key areas of national focus. They are: watershed, health and restoration; sustainable forest ecosystem management; forest roads management; and recreation enhancement. Implementation of the agenda will help bring people together and help them find ways to live within the limits of the land. This in turn will ensure that future generations will forever be endowed with the rich natural bounty of our Nation.

Grain Inspection, Packers and Stockyard Administration (GIPSA) (10.800)

Maintains effective competition and fair trade practices in the marketing of livestock, poultry for the protection of livestock and poultry producers.

National Agricultural Statistics Service (10.950)

Agricultural estimates involve collecting, analyzing, and publishing agricultural

production and marketing data, including: number of farms and acreage in farms; crop acreage, yields, production, stocks, value, and utilization; inventories and production of livestock, poultry, eggs, and dairy products; prices received by farmers for products, prices paid for commodities and services for living and production, and related indexes; farm employment and wage rates; cold storage supplies; agricultural chemical use; aquaculture; and other relevant aspects of the agricultural economy. Estimates for about 120 crops and 45 livestock items are published in about 400 Federal and 9,000 State-Federal reports each year. Beginning in 1997, NASS is responsible for the Census of Agriculture, previously conducted by the Bureau of the Census, Commerce Department. The Census of Agriculture is taken every 5 years and provides comprehensive data down to the county level on all aspects of the agricultural economy for the U.S., as well as selected data for American Samoa, Puerto Rico, Guam, Virgin Islands, and the Northern Mariana Islands. All information is made available to the news media and the public at scheduled release times and is available for free on the Internet. Statistical research and service is directed toward improving crop and livestock estimating techniques. Considerable emphasis is placed on improving survey sample designs as well as testing new forecasting and estimating techniques, such as using satellite data.

Natural Resources Conservation Service (NRCS) (10.070, 10.072, 10.901-10.923)

Develops and carries out a national soil and water conservation program in cooperation with landowners, operators and other land users and developers, community planning agencies and regional resource groups, Federal, State, and local government agencies; also assists in agricultural pollution control, environmental improvement, and rural community development. Preserves, protects, and restores valued wetlands, and improves wildlife and migratory bird habitat. Supports the objectives of the Nation's commitment to the 1973 International Boundary and Water Commission Agreement concerning the quality of water in the Colorado River delivered downstream to users in the United States and the Republic of Mexico. Conserves water; preserves, maintains, and improves migratory waterfowl habitat and other wildlife resources. Encourages good forestry management through the development, management, and protection of non-industrial private forest lands, to increase the production of timber and enhance other forest resources.

Risk Management Agency (RMA)/Federal Crop Insurance Corporation (FCIC) (10.450, 10.454, 10.455-10.459)

The Risk Management Agency (RMA) is part of USDA. RMA's role is to help producers manage their business risks through effective, market-based risk management solutions. RMA's mission is to promote, support, and regulate sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). RMA was created in 1996; the FCIC was founded in 1938.

RMA, via the FCIC, provides crop insurance to American producers. Private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidy, approves and supports products, and reinsures the insurance companies.

RMA through the formation of partnerships with public and private organizations fund projects that:

(A) Create new products, seek enhancements in existing products, and expand the use of non-insurance risk management tools that will be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production. Risk management tools include a variety of risk management options and strategies developed to assist producers in mitigating the risks inherent in agricultural production. Risk management tools may include financial management tools to mitigate price and production risks; tools to enhance measurement and prediction of risks in order to facilitate risk diversification; tools to improve production management, harvesting, record keeping or marketing.

(B) Provide women, limited resource, socially disadvantaged, and other traditionally underserved producers of Priority Commodities with risk management training, informational opportunities, and assistance necessary to understand:

- (1) The kind of risks addressed by existing and emerging risk management tools;
- (2) The features and appropriate use of existing and emerging risk management tools; and
- (3) How to make sound risk management decisions.

(C) Deliver crop insurance education and information to U.S. agricultural producers in certain States that have been designated as historically underserved with respect to crop insurance. The states, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

(D) Deliver risk management education and information in the management of

production, marketing, and financial risk to U.S. agricultural producers. The program gives priority to educating producers of crops currently not insured under Federal crop insurance, specialty crops, and underserved commodities, including livestock and forage.

Rural Business-Cooperative Service (RBS) (10.350-10.352, 10.405, 10.140-10.420, 10.427, 10.433, 10.438, 10.441-10.446, 10.760-10.886)

The mission of the Rural Business-Cooperative Service is to enhance the quality of life for all rural Americans by providing leadership in building competitive businesses and sustainable cooperatives that can prosper in the global marketplace.

Rural Housing Service (RHS) (10.405, 10.410, 10.411, 10.415, 10.417, 10.420, 10.427, 10.433, 10.438, 10.441, 10.442, 10.444-10.446, 10.766)

Provides credit to families and communities that still do not have effective access to credit because of the isolated nature or small scale of the rural market and provides subsidies to those low income families and communities that could not otherwise afford rent or debt service payments.

National Sheep Industry Improvement Center (10.774)

To assist the U.S. sheep and goat industries by strengthening and enhancing the production and marketing of sheep and goats and their products in the United States.

Community Outreach and Assistance Partnership Program

DEPARTMENT OF COMMERCE

Bureau of the Census (11.001-11.006)

Conducts decennial censuses of population and housing; quinquennial censuses of State and local governments, manufacturers, mineral industries, distributive trades, construction industries, and transportation; current surveys which provide information on many of the subjects covered in the censuses at weekly, monthly, quarterly, annual, or other intervals; compilation of current statistics on U.S. foreign trade, including data on imports, exports, and shipping; conducts special censuses at the request and expense of State and local government units; publishes estimates and projections of the population and housing characteristics; issues current reports on manufacturing, retail and wholesale trade, selected services, construction, imports and exports, State and local government finances and employment, and other subjects; and makes searches of decennial census records and furnishes transcripts to individuals for use as evidence of age, relationship, or place of birth.

Economics and Statistics Administration (ESA) (11.025, 11.026)

Provides a clear picture of the U.S. economy through the preparation, development, and interpretation of the national income and product accounts, summarized by the gross national product (GNP); the wealth accounts, which show the business and other components of national wealth; the input-output accounts, which trace the interrelationships among industrial markets; personal income and related economic series by geographic area; the U.S. balance of payments accounts and associated foreign investment accounts; and measures relating to environmental change and to welfare within the framework of the national economic accounts. The data and analyses prepared are disseminated mainly through its monthly publications, the Survey of Current Business, and Business Conditions Digest.

International Trade Administration (ITA) (11.106-11.113)

Promotes world trade and strengthens the international trade and investment position of the United States.

Bureau of Industry and Security (BIS) (11.150)

The activities of the Bureau of Industry and Security in the Department of Commerce are designed to enforce U.S. export trade laws consistent with national security, foreign policy, and short supply objectives. The program strives to achieve a balance between the interests of U.S. exporters, the U.S. economy and U.S. national security requirements.

Economic Development Administration (EDA) (11.300-11.313)

The EDA's mission is to lead the federal economic development agenda by promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy.

National Oceanic and Atmospheric Administration (NOAA) (11.400-11.481)

Reports the weather of the U.S. and its possessions and provides weather forecasts to the general public, issues warnings against such destructive natural events as hurricanes, tornadoes, floods, and tsunamis and provides special services in support of aviation, marine activities, agriculture, forestry, urban air-quality control, and other weather-sensitive activities; monitors and reports all nonfederal weather modification activities conducted in the U.S.; conducts an integrated program of management, research, and services related to the protection and rational use of living

marine mammals; prepares and issues nautical and aeronautical charts, provides the Nation's precise geodetic surveys, and conducts broad research programs in marine and atmospheric sciences, solar-terrestrial physics, and experimental meteorology, including weather modifications; predicts tides, currents, and the state of the oceans, conducts research and development aimed at providing alternatives to ocean dumping; provides Federal leadership in promoting wise and balanced management of the Nation's coastal zone; provides satellite observations of the environment by establishing and operating a national environmental satellite system; conducts integrated program of research and services relating to the oceans and inland waters, the lower and upper atmosphere, space environment, and the earth; acquires, stores, and disseminates worldwide environmental data through a system of meteorological, oceanographic, geodetic, and seismological data centers; develops a system of data buoys for automatically obtaining and disseminating marine environmental data; promotes the development of technology to meet future needs of the marine community.

National Telecommunications and Information Administration (NTIA) (11.550-11.556)

Exercises significant responsibility in the areas of communication security, privacy protection, and the application of public service satellites, public telecommunications facilities planning and construction; formulates policies to support the development, growth and regulation of telecommunications, information, and related industries; furthers the efficient development and use of telecommunications and information services; provides policy and management for the use of electromagnetic spectrum; provides telecommunications facilities grants to public service users.

National Institute of Standards and Technology (NIST) (11.601-11.617)

NIST's mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. This mission is carried out through four major programs: the NIST Laboratories, the Advanced Technology Program (ATP), the Hollings Manufacturing Extension Partnership (MEP) program, and the Baldrige National Quality Program.

The NIST Laboratories maintain world-class expertise in measurements, standards, data evaluation, and test methods and conduct research that advances the Nation's technology infrastructure and is needed by U.S. industry. The NIST Laboratories also provide a wide range of technology services, including standard reference materials and data, information on national and international standards, laboratory accreditation, and equipment calibration.

ATP is a rigorously competitive cost-sharing program that has provided R&D funds to U.S. businesses and industry-led joint ventures to accelerate early-stage development of high-risk, broad-impact technologies that promise widespread benefits for the economy. The President's budget request for fiscal year 2008 proposes no new funding for ATP.

The Hollings MEP program works to raise the productivity and competitiveness of smaller U.S. manufacturers by providing information, decision support, and implementation assistance in adopting advanced manufacturing technologies, techniques, and business best practices through a network of local manufacturing extension centers which are linked to state, university, and private sources of technology and expertise.

The Baldrige National Quality Program promotes performance excellence among U.S. manufacturers, service companies, educational institutions, health care providers, and nonprofit organizations through outreach programs and the Malcolm Baldrige National Quality Award, which recognizes performance excellence and quality achievement.

National Technical Information Service (NTIS) (11.650)

Simplifies and improves public access to Department of Commerce publications and to data files and scientific and technical reports sponsored by Federal agencies. NTIS is the central point in the United States for the public sale of Government-funded research and development reports and other analyses prepared by Federal agencies, their contractors, or grantees. The public may quickly locate abstracts of interest from among the 650,000 federally sponsored research reports completed and published from 1964 by using the agency's on-line computer search service (NTI Search). Current abstracts of new research reports and other specialized technical information in various categories of interest are published in some 26 weekly abstract newsletters. An all-inclusive biweekly journal (Government Reports Announcements and Index) is published for librarians, technical information specialists, and those requiring such comprehensive volumes.

Office of the Secretary (11.702, 11.900)

The General Administration account within the Office of the Secretary provides funding for the Secretary, Deputy Secretary and support staff. Responsibilities involve policy development and implementation affecting U.S. and international activities as well as establishing the internal goals and operations of the Department. The functions include serving as the primary liaison with the Executive Branch, and

Congressional and private sector groups, and acting as the management and administrative control point for the Department.

Minority Business Development Agency (MBDA) (11.800-11.803)

Assists minority businesses in achieving effective and equitable participation in the American free enterprise system and in overcoming social and economic disadvantages that have limited their participation in the past. The agency provides national policies and leadership in forming and strengthening a partnership of business, industry, and government with the Nation's minority businesses. Management and technical assistance is provided to minority firms on request, primarily through a network of local business development organizations funded by the Agency.

Patent and Trademark Office (PTO) (11.900)

Examines applications for three kinds of patents: design patents, plant patents, and utility patents (issued for 20 years from date of filing). Also processes international applications for patents under the provisions of the Patent Cooperation Treaty. In addition to the examination of patent and trademark applications, issuance of patents, and registration of trademarks, the Office sells printed copies of issued documents; records and indexes documents transferring ownership; maintains a scientific library and search files containing over 20 million documents, including U.S. and foreign patents and U.S. trademarks; provides search rooms for the public to research their applications; hears and decides appeals from prospective inventors and trademark applicants; participates in legal proceedings involving the issue of patents or trademark registrations; helps represent the United States in international efforts to cooperate on patent and trademark policy.

DEPARTMENT OF DEFENSE

Defense Logistics Agency (DLA) (12.002, 12.614)

Provides effective and economical support to the military services, other Department of Defense components, Federal civil agencies, foreign governments, and others as authorized, for assigned material commodities and items of supply, logistics services directly associated with the supply management function, contract administration services, and other support services as directed by the Secretary of Defense.

Department of the Army, Office of the Chief of Engineers (12.100-12.116)

Civil functions of the Department of the Army include the Civil Works Program, the administration of Arlington and Soldiers' Home National Cemeteries, and other related matters. The Army's Civil Works Program, a responsibility of the Corps of Engineers is the Nation's major Federal water resources development activity and involves engineering works such as major dams, reservoirs, levees, harbors, waterways, locks, and many other types of structures. These works provide flood protection for cities and major river valleys, reduce the cost of transportation, supply water for municipal and industrial use, generate hydroelectric power, provide recreational opportunities for vast numbers of people, regulate the rivers for many purposes including the improvement of water quality and the enhancement of fish and wildlife, protect the shores of the oceans and lakes, and provide still other types of benefits. Planning assistance is also provided to States and other nonfederal entities for the comprehensive management of water resources, including pollution abatement works. In addition, through the Civil Works Program the Federal government protects the navigable waters of the United States under legislation empowering the Secretary of the Army to prohibit activities which would reduce the value of such waters to the Nation.

Department of the Navy, Office of the Chief of Naval Research (12.300, 12.350)

Includes two lead offices: the Office of Naval Research and the Office of Naval Technology. The Chief of Naval Research is responsible for the Department of Navy Research (6.1) and Exploratory Development (6.2) Programs. The Chief of Naval Research is responsible to the Secretary of the Navy through the Assistant Secretary (Research, Engineering and Systems) and is a principal adviser to the latter. The Chief of Naval Research is also responsible for providing leadership, management, and direction to the Department of Navy research and exploratory development programs and other research, development, technology, and equipment programs assigned to and conducted by the Office of the Chief of Naval Research; developing and formulating viable and responsive naval research and technology requirements based on current and projected Navy and Marine Corps long-range objectives and considerations of national security; and coordinating naval research and promoting cooperative research efforts within the Department of the Navy with other elements of the Department of Defense, National Science Foundation, National Aeronautics and Space Administration, Department of Energy, and other government research groups. The Office of Naval Research also conducts research in conjunction with the research and development conducted by other Department of Navy activities, coordinates the Naval Basic Research Program, and conducts a contract management program with educational institutions in support of all Federal agencies. The Office of Naval Technology, established in October 1980, manages the Department of

Navy's Exploratory Development (6.2) Program, assessing, planning, programming, budgeting, directing, and monitoring the program, and manages the oversight activities in regard to the Industrial Independent Research and Development Program.

National Guard Bureau (12.400-12.401)

Provides a combat-ready reserve force and facilities for training and administering the Army National Guard units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the Virgin Islands.

U.S. Army Medical Command (12.420)

The Commanding General, United States Army Medical Command, performs health services for the Army within the United States, and, as directed, for other governmental agencies and activities. Responsibilities include command of the Army hospital system within the United States and other organizations, units, and facilities as directed; medical professional education for Army personnel; health promotion and wellness for all beneficiaries; medical research and development; and development of medical doctrine, concepts, organizations, materiel requirements, and systems in support of the Army.

U.S. Army Materiel Command (12.431)

The Commanding General, United States Army Materiel Command, develops and provides materiel and related services to the Army, To Army elements of unified commands and specified commands, to Department of Defense agencies, and to other United States and foreign agencies as directed. His principal missions are to equip and sustain a trained, ready Army; to provide equipment and services to other nations through the security assistance program; to develop and acquire non-major systems and equipment; to provide development and acquisition support to program managers; to define, develop, and acquire superior technologies; to maintain the mobilization capabilities necessary to support the Army in emergencies; and to continue to improve productivity and quality of life.

Office of the Assistant Secretary (Strategy and Requirements) (12.550-12.555)

Principal advisor to the Under Secretary of Defense (Policy) and the Secretary of Defense on national security strategy and defense strategy and in the requirements, forces and contingency plans necessary to implement those strategies.

Office of Economic Adjustment (12.607-12.611)

Is responsible for planning the Department's economic adjustment programs and for assisting Federal, State, and local officials in cooperative efforts to alleviate any serious social and economic side effects resulting from major departmental realignments or other actions.

Office of the Secretary (12.351-12.360, 12.630)

The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrative, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

Secretaries of Military Departments (12.700)

Donates or lends obsolete combat material to veterans' organizations, soldiers' monument associations, State museums, incorporated museums, and incorporated municipalities.

Office of the Air Force, Materiel Command (12.800)

The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition. The Air Force Materiel Command operates major product centers, logistics centers, test centers, and laboratories. It was created in July 1992 through the integration of the Air Force Logistics Command and the Air Force Systems Command.

National Security Agency (12.900-12.902)

The National Security Agency/Central Security Service is responsible for the centralized coordination, direction, and performance of highly specialized technical functions in support of U.S. Government activities to protect U.S. communications and produce foreign intelligence information. The National Security Agency was established by Presidential directive in 1952 as a separately organized agency within the Department of Defense. In this directive, the President designated the Secretary of Defense Executive Agent for the signals intelligence and communications security activities of the Government. The Agency was charged with an additional mission, computer security, in a 1984 Presidential directive, and with an operations security training mission in a 1988 Presidential directive. In 1972 the Central Security Service was established, in accordance with a Presidential memorandum, to provide a more unified cryptologic organization within the Department of Defense and appointed the Director, National Security Agency, as Chief of the Central Security

Service. The Agency has three primary missions: an information systems security mission, an operations security training mission, and a foreign intelligence information mission.

Defense Advanced Research Projects Agency (12.910)

The Defense Advanced Research Projects Agency is a separately organized agency within the Department of Defense under a Director appointed by the Secretary of Defense. The Agency engages in advanced, basic, and applied research and development projects essential to the Department of Defense, and conducts prototype projects that embody technology that may be incorporated into joint programs, programs in support of deployed U.S. forces, or selected Military Department programs and, on request, assists the Military Departments in their prototype efforts. In this regard, the Agency arranges, manages, and directs the performance of work connected with assigned advanced projects by the Military Departments, other government agencies, individuals, private business entities, as appropriate; recommends through the Under Secretary of Defense for Acquisition to the Secretary of Defense assignment of advanced projects to the Agency; keeps the Under Secretary, the Organization of Joint Chiefs of Staff, the Military Departments, and other Department of Defense agencies informed on significant new developments and technological advances within assigned projects; and performs other such functions as the Secretary of Defense or the Under Secretary may assign.

DEPARTMENT OF EDUCATION

Office of the Secretary (ED) (84.002-84.354, 84.357-84.569)

The Secretary of Education advises the President on education plans, policies, and programs of the Federal government. The Secretary directs Department staff in carrying out the approved programs and activities of the Department and promotes general public understanding of the Department's goals, programs, and objectives. The Secretary also carries out certain Federal responsibilities for four federally aided corporations: The American Printing House of the Blind, Gallaudet University, Howard University, and the National Technical Institute for the Deaf.

Office of Bilingual Education and Minority Languages Affairs

Administers programs designed to fund activities that assist students with limited English proficiency and minority languages populations by providing support for programs, activities, and management initiatives meeting the special educational needs of those populations.

Office of Educational Research and Improvement (84.203-84.206, 84.015, 84.086-84.087, 84.095, 84.304-84.305)

Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities.

Office of Elementary and Secondary Education

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

Office of Postsecondary Education

The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities.

Office of Special Education and Rehabilitative Services

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

Office of Student Financial Assistance

The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

Office of Vocational and Adult Education

The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

Regional Offices

Each regional office serves as a center for the dissemination of information and provides technical assistance to State and local educational agencies and other institutions and individuals interested in Federal education activities. At present, offices are located in 10 cities: Atlanta, Boston, Chicago, Dallas, Denver, Kansas City, New York, Philadelphia, San Francisco, and Seattle.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

The Secretary of Health and Human Services (OS) (93.001-93.018,93.088,93.100,93.239,93.252,93.290-93.296,93.775)

The Secretary of HHS advises the President on health, welfare, and income security plans, policies, and programs of the Federal government. The Secretary administers these functions through the Office of the Secretary and the Department's 11 operating divisions, including a budget of \$460 billion and a workforce of 65,000 employees.

Administration for Children and Families (ACF)

Provides services and assistance for 60 programs to needy children and families, administers the new State-Federal welfare program, Temporary Assistance to Needy Families, administers the national child support enforcement system, and the Head Start program, provides funds to assist low-income families in paying for child care, and supports State programs to provide for foster care and adoption assistance.

Administration on Aging (AOA) (93.041-93.054)

Supports a nationwide aging network, providing services to the elderly, especially to enable them to remain independent. AOA supports some 240 million meals for the elderly each year, including home-delivered "meals on wheels," helps provide transportation and at-home services, supports ombudsman services for elderly, and provides policy leadership on aging issues.

Agency for Healthcare Research and Quality (AHRQ)

Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments.

Agency for Toxic Substances and Disease Registry (ATSDR) (93.161,93.202-93.208,93.240)

Works with States and other Federal agencies to prevent exposure to hazardous substances from waste sites. The agency conducts public health assessments, health studies, surveillance activities, and health education training in communities around waste sites on the U.S. Environmental Protection Agency's National Priorities List.

Centers for Disease Control and Prevention (CDC)

Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention.

Food and Drug Administration (FDA) (93.103, 93.448, 93.449)

Assures the safety of foods and cosmetics, and the safety and efficacy of pharmaceuticals, biological products, and medical devices.

Centers for Medicare and Medicaid Services (CMS) formerly the Health Care Financing Administration (HCFA) (93.760-93.794)

Administers the Medicare and Medicaid programs, that provide health care to

America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Health Resources and Services Administration (HRSA)

Helps provide health resources for medically underserved populations. HRSA supports a nationwide network of 643 community and migrant health centers, and 144 primary care programs for the homeless and residents of public housing, serving 8.1 million Americans each year. HRSA also works to build the health care workforce and maintains the National Health Service Corps overseas, the Nation's organ transplantation system, works to decrease infant mortality and improve child health, and provides services to people with AIDS through the Ryan White CARE Act programs.

Indian Health Services (IHS)

Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes.

National Institutes of Health (NIH)

Works with 17 separate institutes, serves as the world's premier medical research organization, supporting some 35,000 research projects nationwide in diseases like cancer, Alzheimer's, diabetes, arthritis, heart ailments and AIDS.

Program Support Center (PSC)

A service-for-fee organization, utilizes a pioneering business enterprise approach to provide government support services throughout HHS as well as other Departments and Federal agencies. Administrative operations, financial management and human resources are solution- and customer-oriented, state-of-the-art and highly responsive to customer needs.

Substance Abuse and Mental Health Services Administration (SAMHSA)

Works to improve the quality and availability of substance abuse prevention, addiction treatment, and mental health services.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Office of Housing-Federal Housing Commissioner (14.103-14.199; 14.311-14.316, 14.515, 14.876, 14.877)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners.

Office of Community Planning and Development (14.218-14.252)

The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME), providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time homebuyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Care, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; The Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and

Empowerment Zones and Enterprise Communities.

Office of Fair Housing and Equal Opportunity (14.400-14.415)

The Assistant Secretary for Fair Housing and Equal Opportunity (FHEO) supervises HUD's housing and community development activities to promote fair housing and equal opportunity for all, regardless of race, religion, sex, or national origin. He or she also promotes equal opportunity for disabled people and families with children. FHEO administers: Fair Housing laws and regulations; and the Fair Housing Assistance Program that provides financial and technical assistance to State and local government agencies to implement local fair housing laws and ordinances.

Office of Policy Development and Research (14.506-14.520)

The Assistant Secretary for Policy Development and Research (PD&R) supervises the Department's research activities and the development of its policies and is responsible for experimental housing and technical studies. PD&R develops a research agenda to reflect the overall policy needs of the Department; performs background analyses, studies, and priority assessments concerning housing and community development issues; provides economic analyses and recommendations, performs housing and financial market research, and designs and monitors several housing related data series; evaluates existing and new HUD programs to determine whether these programs are reaching their intended beneficiaries and providing the intended results, whether program costs are reasonable, and whether programs are having any unintended effects; analyzes the adequacy of existing and proposed program information systems and implement improvements or create systems to support new programs; evaluate new housing and construction materials and techniques and develop ways to encourage use of new technology; supports the Secretary in carrying out his regulatory oversight of the Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation and prepares annual reports to the Congress; manages research contracts, cooperative agreements, and grants; and administers the Office of University Partnerships and oversees grants awarded for general research and technology, the Community Development Work Study Program, Hispanic-Serving Institutions Work Study Program, and the Community Outreach Programs.

Office of Public and Indian Housing (14.850-14.881)

The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and homeownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; homeownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects.

Office of Healthy Homes and Lead Hazard Control (14.900-14.906)

The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

DEPARTMENT OF JUSTICE

Drug Enforcement Administration (DEA) (16.001-16.004, 16.012, 16.750)

Enforces the controlled substances laws and regulations, and brings to the criminal and civil justice system of the United States or any other competent jurisdiction, those organizations, and principal members of organizations involved in the cultivation, manufacture, or distribution of controlled substances appearing in or destined for the illicit traffic in the United States. DEA's mission requires it to

provide a leadership role in narcotic and dangerous drug suppression programs at the national and international level.

Office of State and Local Domestic Preparedness Support (OSLDPS) (16.006, 16.010)

Is responsible for enhancing the capacity and capability of State and local jurisdictions to prepare for and respond to incidents of domestic terrorism involving chemical and biological agents, radiological and explosive devices, and other weapons of mass destruction (WMD). It awards grants for equipment and provides training and technical assistance for State and local first responders.

Civil Rights Division (16.100-16.110, 16.749)

Enforces the Civil Rights Acts of 1957, 1960, 1964, and 1968; the Voting Rights Act of 1965, as amended; the Equal Credit Opportunity Act; the Americans with Disabilities Act; the National Voter Registration Act; the Uniformed and Overseas Citizens Absentee Voting Act; the Voting Accessibility for the Elderly and Handicapped Act; and additional civil rights provisions contained in other laws and regulations. These laws prohibit discrimination in education, employment, credit, housing, public accommodations and facilities, voting, and certain federally funded and conducted programs. In addition, the Division prosecutes actions under several criminal civil rights statutes which were designed to preserve personal liberties and safety. The Division also enforces the Civil Rights of Institutionalized Persons Act of 1980, which authorizes the Attorney General to seek relief for persons confined in public institutions where conditions exist that deprive residents of their constitutional rights; the Freedom of Access to Clinic Entrances Act; the Police Misconduct Provision of the Violent Crime Control and Law Enforcement Act of 1994; and, Section 102 of the Immigration Reform and Control Act of 1986, as amended, which prohibits discrimination on the basis of national origin and citizenship status as well as document abuse and retaliation under the Immigration and Nationality Act.

Community Relations Service (CRS) (16.200)

Provides onsite conflict resolution and violence prevention assistance through its field staff of mediators and conciliators stationed in 10 regional offices and 4 field offices. Under its mandate, CRS responds to requests for services or offers assistance on a voluntary basis when there are community conflicts or violence related to race, color, or national origin. CRS helps to resolve disputes and conflicts and supports communities in developing local mechanisms to address conflicts. Primary CRS activities include the use of conciliation and/or mediation processes to settle differences through common understanding and voluntary action. Each process is composed of certain specialized techniques designed to deal with complex racial and ethnic issues. The goal of each is the immediate reduction of community tension and the establishment of viable alternatives for resolving difficulties short of coercion or litigation.

Federal Bureau of Investigation (FBI) (16.300-16.309)

Investigates all violation of Federal laws with the exception of those which have been assigned by legislative enactment or otherwise to some other Federal agency. The FBI's jurisdiction includes a wide range of responsibilities in the criminal, civil, and security fields. Among these are espionage, sabotage, and other domestic security matters; kidnapping; extortion; bank robbery; interstate transportation of stolen property; civil rights matters; interstate gambling violations; fraud against the Government; and assault or killing the President or a Federal officer. Cooperative services of the FBI for other duly authorized law enforcement agencies include fingerprint identification, laboratory services, police training, the National Crime Information Center. Uniform crime reports, and National Instant Criminal Background Check System.

Immigration and Naturalization Service (INS) (16.400)

Administers the immigration and naturalization laws relating to the admission, exclusion, deportation, and naturalization of aliens. Specifically, the Service inspects aliens to determine their admissibility into the United States; adjudicates requests of aliens for benefits under the law; guards against illegal entry into the United States; investigates, apprehends, and removes aliens in this country in violation of the law; and examines alien applicants wishing to become citizens. Through offices in the United States and in other areas around the world, the Service provides information and counsel to those seeking U.S. citizenship. Through numerous enforcement activities, such as the Border Patrol, the Service protects the national security of the United States and the welfare of those legally residing here. In addition to citizenship and immediately related matters, the Service, in cooperation with other Federal, State, and local law enforcement agencies, works to stem the inflow of illegal drugs.

Violence Against Women Office (16.013-16.019, 16.524-16.529, 16.556, 16.557, 16.587-16.590, 16.736)

The mission is to restructure and strengthen the criminal justice system's response to crimes of violence committed against women, in particular, domestic violence, sexual assault, and stalking, and enhance the services available to victims of such violence

through the award of formula and discretionary grants to States, units of local government, Indian tribal governments, and other public and private entities in rural States.

Office of Justice Programs (OJP)

Provides policy coordination and general management authority over the Office of Justice Programs, which includes the Bureau of Justice Assistance, the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. While each OJP Bureau and Office retains independent authority in awarding funds to carry out its programs, together these components function as a single agency whose goal is to promote innovative programs and to foster improvements in the Nation's criminal and juvenile justice systems.

Office of Juvenile Justice and Delinquency Prevention (OJJDP) (16.523, 16.540-16.548, 16.727-16.731, 16.735, 16.737)

Federal leadership in responding to the problems confronting the Nation's juvenile justice system is vested in the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Established in 1974 by the Juvenile Justice and Delinquency Prevention Act. OJJDP is the Federal agency responsible for providing a comprehensive, coordinated approach to preventing and controlling juvenile crime and improving the juvenile justice system. OJJDP administers State Formula grants, State Challenge Grants, and the Title V Community Prevention Grants in States and territories; funds gang and mentoring programs under Parts D and G of the Act; funds numerous projects through its Special Emphasis Discretionary Grant Program and its National Institute for Juvenile Justice and Delinquency Prevention; and coordinates Federal activities related to juvenile justice and delinquency prevention. OJJDP also serves as the staff agency for the Coordinating Council on the Juvenile Justice and Delinquency Prevention, coordinates the concentration of the Federal Efforts Program, and administers both the Title IV Missing and Exploited Children's Program and programs under the Victims of Child Abuse Act of 1990.

Bureau of Justice Statistics (BJS) (16.550,16.554, 16.734, 16.739)

The primary source for justice statistics in the United States. BJS collects analyzes, publishes, and disseminates information on crime, criminal offenders, victims of crime, and the operation of justice systems at all levels of government. BJS maintains more than two dozen major data collection series and publishes a wide variety of reports annually which receive nationwide distribution. Core statistical efforts include annual data on criminal victimization, populations under correctional supervision, federal criminal offenders, federal case processing, and criminal Justice expenditures and employment. Periodic data series are undertaken to provide statistical information on felony convictions, state court case processing, the composition and characteristics of correctional populations, prosecutorial practices and policies, and the administration of law enforcement agencies and correctional facilities. BJS conducts special studies and analyses on policy relevant issues and emerging areas in interest. In addition, BJS provides financial and technical support to State and local governments in developing capabilities in criminal justice statistics and improving their criminal history records and information systems.

National Institute of Justice (NIJ) (16.560-16.566, 16.741,16.742,16.748)

Supports basic and applied research into criminal justice issues. Innovative approaches to controlling criminal behavior and improving law enforcement and criminal justice are tested and evaluated. Research results are disseminated through a wide variety of mechanisms including the quarterly "NIJ Reports" and a Research in Brief series designed for policy makers and criminal justice professionals. The Institute maintains a national and international clearinghouse on criminal justice issues. Its services are available to policymakers, criminal justice professionals, and the general public.

Bureau of Justice Assistance (BJA) (16.571, 16.578-16.580, 16.606-16.616, 16.738-16.753)

Administers programs designed to assist State and local governments with drug, crime, and violence control and prevention efforts, as well as criminal justice system improvements. The Edward Byrne Memorial State and Local Law enforcement Assistance Program, which was established by the Anti-Drug Abuse Act of 1986, provides assistance to States to subgrant funds to locals in 26 broad purpose areas, as well as limited discretionary grant funds. The Public Safety Officers' Benefits Programs, the Regional Information Sharing Systems, the State Criminal Alien Assistance Program, and the Local Law Enforcement Block Grants Program are also part of BJA's portfolio. Through its programs, BJA serves as a partner with State and local criminal justice systems. Program innovations of State and locals from across the country are tested, translated into implementation strategies, and demonstrated. Training and technical assistance on program development, implementation, evaluation, and site specific problems are available to State and local agencies. Currently, BJA is providing broad assistance in the areas of community justice and strategic planning.

Office of Victims of Crime (OVC) (16.320, 16.321, 16.575-16.576, 16.582-16.583, 16.747)

The mission is to enhance the Nation's capacity to assist crime victims and to provide leadership in changing attitudes, policies, and practices to promote justice and healing for all victims of crime. OVC works with national, international, State, military, and tribal victim assistance and criminal justice agencies, as well as other professional organizations, to promote fundamental rights and comprehensive services for crime victims. OVC improves the criminal justice system response to victims of crime, including Native American crime victims, through the delivery of direct service and funding, training and technical assistance, and through monitoring the implementation of statutes providing victims rights and assistance.

Drug Court Program Office (DCPO) (16.585)

The mission is to improve public safety and reduce criminal recidivism through the support of drug court programs that intensively supervise drug treatment of drug addicted, non-violent offenders.

Corrections Program Office (CPO) (16.202,16.203,16.586, 16.593, 16.596)

The mission of the Violent Offender Incarceration and Truth-In- Sentencing program is to enhance the ability of states to confine more violent offenders for longer periods of time and to encourage states to implement of truth-in-sentencing laws.

Executive Office for Weed and Seed (16.595)

Provides a coordinative function in bringing together Federal, State and local governments, the community, and the private sector to form a partnership to create a safe, drug-free environment. The core elements of the Weed and Seed strategy are enhanced law enforcement; community policing as a bridge between law enforcement and social revitalization efforts; prevention, early intervention, and treatment efforts; and neighborhood restoration, including opportunities for economic development. Weed and Seed is primarily a strategy rather than a grant program.

Bureau of Prisons (BOP) (16.601-16.603)

Responsible for the custody and care of inmates convicted to imprisonment for Federal crimes, the detention of individuals awaiting trial or sentencing in Federal court, and the confinement of the District of Columbia's sentenced felon population. To meet its mission of confining offenders and facilitating community re-entry, the BOP operates institutions at four security levels (minimum, low, medium, and high) to meet the various security needs of its diverse inmate population, and has one maximum-security prison for the less than one percent of the inmates who require that level of security. It also has administrative facilities, such as pretrial detention centers and medical referral centers, that have specialized missions and confine offenders of all security levels. The nationwide prison system includes a Central Office (headquarters) , 6 regional offices, and 28 community corrections offices. The Central Office and regional offices provide administrative oversight and support to the institutions and community corrections offices. Community corrections offices oversee community corrections centers and home confinement programs.

Office of Community Oriented Policing Services (16.710)

The Office seeks to expand the number of police officers and improve cooperative efforts between law enforcement agencies and members of the community to enhance public safety. The agency provides grants which fund new officers' salaries, training in community policing and problem- solving, and other innovative programs designed to bring law enforcement agencies into partnership with members of the community to address local crime problems.

Office of the Police Corps and Law Enforcement Education (OPCLEE) (16.712)

Provides college educational assistance to students who commit to public service in law enforcement and scholarships with no service commitment to dependents of law enforcement officers who died in the line of duty.

DEPARTMENT OF LABOR

Bureau of Labor Statistics (BLS) (17.002-17.005, 17.807)

The Bureau of Labor Statistics (BLS) is the principal fact-finding agency for the Federal Government in the broad field of labor economics and statistics. The BLS is an independent national statistical agency that collects, processes, analyzes, and disseminates essential statistical data to the American public, the U.S. Congress, other Federal agencies, State and local governments, business, and labor. The BLS also serves as a statistical resource to the Department of Labor. BLS data must satisfy a number of criteria, including relevance to current social and economic issues, timeliness in reflecting today's rapidly changing economic conditions, accuracy and consistently high statistical quality, and impartiality in both subject matter and presentation.

Office of Labor-Management Standards (LMS) (17.140)

The Office of Labor-Management Standards conducts criminal and civil investigations to safeguard the financial integrity of unions and to ensure union democracy, and conducts investigate audits of labor unions to uncover and remedy criminal and civil violations of the Labor-Management Reporting and Disclosure Act and related studies.

Employee Benefits Security Administration (17.150)

The Employee Retirement Income Security Act of 1974 (ERISA), (88 Stat. 829; 29 U.S.C. 1001 note), requires administrators of private pension and welfare plans to provide plan participants with easily understandable summaries of plans; to file those summaries in the Office of Pension and Welfare Benefit Programs; and to report annually on the financial operation of the plans and bonding of persons charged with handling plan funds and assets. Plan Administrators must also meet strict fiduciary responsibility standards which are enforced by the Office of Pension and Welfare Benefit Programs. Vesting, participation, and funding standards are, for the most part, administered by the Internal Revenue Service.

Employment and Training Administration (ETA) (17.201-17.274)

The Employment and Training Administration (ETA) administers and oversees a number of programs designed to assist unemployed, unskilled, dislocated workers and the economically disadvantaged get the job training and other services needed to become fully productive members of society, while improving the skills and productivity of those already employed. ETA fulfills a wide range of responsibilities assigned to the Secretary of Labor relating to employment services, job training, and unemployment insurance. ETA funds training programs which enable workers to attain the skills needed for employment; administers a Federal-State employment service system which helps people find jobs and employers find workers. It also offers wage replacement programs for workers who lose their jobs through no fault of their own, such as the Unemployment Insurance (UI) and the Trade Adjustment Assistance (TAA) programs. Special efforts are made to address the unique job market problems of groups having difficulty entering or returning to the work force, such as older workers, school dropouts, displaced homemakers and individuals with disabilities. ETA also is responsible for promoting apprenticeship standards and programs and conducting programs of research, development and evaluation. The Job Training Partnership Act, enacted in 1982, is designed to provide training and related education and employment services to economically disadvantaged adults and youth to ensure that they have the required marketable skills leading to productive, unsubsidized employment. JTPA also provides reemployment and retraining services for workers dislocated through plant closings or mass layoffs. Block grants are provided to the States and U.S. territories for the operation of the program. JTPA provides for a summer youth employment and training program and Job Corps as well as special activities which offer basic skills training, job training and support services for special targeted groups such as Native Americans, and seasonal and migrant farmworkers. Implementing regulations for JTPA issued by the Department of Labor are contained in Title 20 of the Code of Federal Regulations, Parts 626-638 and 675-684. Effective July 1, 2000, JTPA is repealed and replaced by the Workforce Investment Act (WIA). WIA establishes, with States and local communities, a revitalized workforce investment system that provides workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. WIA is administered through State and local Workforce Investment Boards and required partnerships of local One-Stop Career Centers. Funds are used for youth, adult and dislocated worker employment and training activities. The Act also authorizes a number of national programs and the Job Corps. The U.S. Employment Service (ES) seeks to match workers looking for employment with employers seeking workers, and is operated by the States. Programs such as Alien Labor Certification are also administered under the ES by State ES agencies through reimbursement agreements with the Department of Labor. The Senior Community Service Employment Program (SCSEP), or Older Worker Program is authorized by the Older Americans Act of 1965. It provides subsidized part-time community service work for unemployed low-income people ages 55 and over. The Assistant Secretary for Employment and Training is responsible for ensuring that programs funded through ETA are free from unlawful discrimination, fraud, and abuse. It is the policy of ETA to ensure full compliance with constitutional, statutory, and regulatory provisions and to promote equal opportunity, affirmative action, and fund integrity in programs to which ETA extends financial assistance.

Employment Standards Administration (ESA) (17.301-17.310)

Administers and directs employment standards programs dealing with: minimum wage and overtime standards; registration of farm labor contractors; determining prevailing wage rates to be paid on Government contracts and subcontracts; nondiscrimination and affirmative action for minorities, women, veterans, and handicapped workers on Government contracts and subcontracts; and workers' compensation programs for Federal and certain private employers and employees and, administers provisions of various laws that seek to ensure basic standards of

democracy and fiscal responsibility in labor organizations representing employees in private industry, in the U.S. Postal Service, and in certain Federal employee organizations.

Occupational Safety and Health Administration (OSHA) (17.500-17.505)

Develops and promulgates occupational safety and health standards; develops and issues regulations; conducts investigations and inspections to determine the status of compliance with safety and health standards and regulations; and issues citations and proposes penalties for noncompliance with safety and health standards and regulations.

Mine Safety and Health Administration (MSHA) (17.600-17.604)

Develops and promulgates mandatory safety and health standards, ensures compliance with such standards, assesses civil penalties for violations, investigates accidents, cooperates with and provides assistance to the States in the development of effective State mine safety and health programs, improves and expands training programs in cooperation with the States and the mining industry, and, in coordination with the Department of Health and Human Services contributes to the improvement and expansion of mine health research and development. All of these activities are aimed at preventing and reducing mine accidents and occupational diseases in the mining industry.

Office of the Secretary, Women's Bureau (17.700)

Formulates standards and policies that promote the welfare of wage earning women, improve their working conditions, increase their efficiency, advance their opportunities for profitable employment, and investigate and report on all matters pertinent to the welfare of women in industry. <104Office of Disability Employment Policy (17.720) Established to bring a heightened and permanent long-term focus to the goal of increasing employment of persons with disabilities. This is achieved through policy analysis, technical assistance, development of best practices, and outreach to persons with disabilities and employers. The Office develops and implements innovative pilot programs that integrate both youth and adults with significant disabilities into mainstream workforce programs.

Office of the Assistant Secretary for Veterans' Employment and Training (17.801-17.806)

The Office of the Assistant Secretary for Veterans' Employment and Training (VETS) is responsible for the administration, formulating and implementation of policy and procedures affecting veterans as well as veterans' employment and training programs nationwide. VETS serves as a national leader on Veterans policy and procedure to the Department of Labor (DOL) and other Federal agencies. VETS fulfills a wide range of obligations prescribed by the Secretary of Labor regarding the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. The VETS staff works closely with and provides technical assistance to State Employment Security Agencies and Job Training Partnership Act grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans' service organizations, and community organizations through planned public information and outreach activities. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. Also administered by the Assistant Secretary through the Service is the Job Training Partnership Act, Title IV, Part C grant program designed to meet the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. Job Training Partnership Act grants are awarded and monitored through the Services' national office and field staff. The Assistant Secretary also administers through the Service the Homeless Veterans Reintegration project grant program to provide employment, training, housing assistance, and supportive services to help homeless veterans reenter mainstream society. Homeless Veterans Reintegration Project grants are awarded and monitored through the Services' national office and field staff. Certain other Service staff also administer the veterans Uniformed Services Employment and Reemployment Rights Act program. They provide assistance to help restore job, seniority, and pension rights to veterans following absences from work for active military service and to protect employment and retention rights of members of the Reserve or National Guard. Other staff provide assistance to preference eligible veterans to ensure that they are not denied their veterans' preference benefits (preference in Federal government hiring and preference retention in reduction in force (RIF), under the Veterans Employment Opportunities Act (VEOA)).

Office of Disability Employment Policy (17.720)

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP was established to bring a heightened, permanent focus to address the significant employment obstacles faced by individuals with disabilities and to ensure coordination among Federal agencies on matters related to or affecting people with disabilities. ODEP's research provides new knowledge used to develop

evidence-based disability employment policies and practices for dissemination to workforce systems and partners. ODEP works to achieve its mission through collaborating on inter- and intra-agency initiatives, commissions, councils, and workgroups; building partnerships with Federal, state, local, and non-governmental stakeholders; reviewing Federal legislation and policies; designing and conducting research studies; highlighting and promoting policies and practices that increase the employment of people with disabilities; and implementing education and outreach initiatives. ODEP's stakeholders include Federal, state, and local government agencies, private and public employers and their employees, educational and training institutions, individuals with disabilities and their families, and the disability community.

longshore and harbor workers' compensation

DEPARTMENT OF STATE

Office of the Legal Advisor (19.200-19.201)

The Legal Advisor is the principal adviser to the Secretary and to the Department on all legal matters with which the Department and overseas posts are concerned.

Bureau of Oceans and International Environmental and Scientific Affairs (19.204)

Provides for the reimbursement of losses incurred as a result of the seizure of United States commercial fishing vessels by a foreign country in territorial waters or on the high seas not recognized by the United States.

Bureau of Intelligence and Research (19.300)

The Bureau of Intelligence and Research coordinates programs of intelligence, research, and analysis for the Department and for other Federal agencies, and produces intelligence studies and current intelligence analyses essential to foreign policy determination and execution. In addition, the Bureau, through its Office of Research, maintains liaison with cultural and educational institutions and with other Federal agencies on a wide range of matters relating to Government contractual and private foreign affairs research.

Bureau of Educational and Cultural Affairs (19.400-19.432)

Conducts a wide variety of communication activities, from academic and cultural exchanges to press, radio, television, film, seminar, library, and cultural center programs, abroad in order to strengthen foreign understanding of American society, obtain greater support of U.S. policies, and increase understanding between the United States and other countries.

DEPARTMENT OF THE INTERIOR

Bureau of Indian Affairs (BIA) (15.020-15.108, 15.113-15.130, 15.141-15.147)

Administers Federal Indian policy and discharges the Federal trust responsibility for American Indian tribes and Alaska Natives. Provides services directly, or through self-determination contract, grant and compact agreements with tribes and tribal organizations, to over 1.2 million American Indians and Alaska Natives to strengthen tribal governments, enhance the quality of life, promote economic opportunity, and protect and improve trust assets. The Bureau administers more than 43 million acres of tribally-owned land, over 10 million acres of individually-owned land held in trust status, and 417,000 acres of Federally-owned land.

Bureau of Land Management (BLM) (15.214-15.242)

Has responsibility for the total management of 341 million acres of public lands located primarily in the Far West and Alaska and scattered parcels located in other States. In addition to minerals management responsibilities on the public lands and the Outer Continental Shelf, the Bureau is responsible for subsurface resource management of an additional 169 million acres where mineral rights have been reserved to the Federal Government. Resources managed by the Bureau include timber, minerals, oil and gas, geothermal energy, wildlife habitat, endangered plant and animal species, rangeland vegetation, recreation and cultural values, wild and scenic rivers, designated conservation and wilderness areas, and open space; provides for the protection (including fire suppression), orderly development, and use of public lands and resources under principles of multiple use and sustained yield. Land use plans are developed with public involvement to provide orderly use and development while maintaining and enhancing the quality of the environment; manages watersheds to protect soil and enhance water quality; makes land available through sale to individuals, organizations, local governments, and other Federal agencies when such transfer is in the public interest. Lands may be leased to State and local government agencies and to nonprofit organizations for certain purposes; the Bureau issues rights-of-way, in certain instances, for crossing Federal lands under other agencies' jurisdictions; is responsible for the survey of Federal lands and establishes and maintains public land records and records of mining claims; and also administers a program of payments in lieu of taxes based on the amount of Federally-

owned land in counties and other units of local government.

Office of Surface Mining Reclamation and Enforcement (15.250-15.255)

Creation of a nationwide program that protects society and the environment from the adverse effects of coal mining operations, while ensuring an adequate supply of coal to meet the Nation's energy needs. Major objectives of the Office include establishment of minimum national standards for regulating the surface effects of coal mining, assistance to the States in developing and implementing regulatory programs, and promotion of the reclamation of previously mined areas.

Bureau of Reclamation (15.504-15.534)

The Bureau of Reclamation manages, develops, and protects water and related resources in an environmentally and economically sound manner in the interest of the American public. The agency is the nation's second largest wholesale water supplier, administering 348 reservoirs with 245 million acre-feet of water. One out of five western farmers uses Reclamation water to irrigate 10 million acres of land, producing 60 percent of the nation's vegetables and 25 percent of its fruits and nuts. The agency also serves as the fifth largest electric utility in the 17 States west of the Mississippi River. Reclamation encourages and supports water conservation and environmental restoration through partnerships, incentive programs, and challenge grants. It also supports efforts to meet increasing water demands through water reclamation, recycling, and reuse.

Fish and Wildlife Service (15.602-15.655)

The U.S. Fish and Wildlife Service is the principal Federal agency responsible for conserving, protecting and enhancing fish, wildlife and plants and their habitats for the continuing benefit of the American people. The Service manages the 95 million acres National Wildlife Refuge System, which encompasses 542 national wildlife refuges, thousands of small wetlands and other special management areas. It also operates 69 national fish hatcheries, 64 Fisheries Resource offices and 78 ecological services field stations. The agency enforces federal wildlife laws, administers the Endangered Species Act, manages migratory bird populations, restores nationally significant fisheries, conserves and restores wildlife habitat such as wetlands, and helps foreign governments with their conservation efforts.

Geological Survey (15.805-15.816, 15.978)

Perform surveys, investigations, and research covering topography, geology, biology, hydrology, and the mineral and water resources of the United States; classify lands as to their mineral and water resources; and publish and disseminate data relative to the foregoing activities.

Indian Arts and Crafts Board (15.850)

Encourages and promotes the development of American Indian and Alaska Native arts and crafts.

Office of Insular Affairs (15.875)

Promotes the economic, social, and political development of the territories of Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and the Freely Associated States.

National Park Service (15.406, 15.407, 15.904-15.931)

Administers for the American people an extensive system of national parks, monuments, historic sites, and recreation areas. The objectives of the National Park Service are to administer the properties under its jurisdiction for the enjoyment and education of our citizens, to protect the natural environment of the areas, and to assist States, local governments, and citizen groups in the development of park areas, the protection of the natural environment, and the preservation of historic properties.

DEPARTMENT OF THE TREASURY

Internal Revenue Service (IRS) (21.003-21.008)

Administers and enforces the Internal Revenue laws and related statutes, except those relating to alcohol, tobacco, firearms, and explosives. The IRS mission is to encourage and achieve the highest possible degree of voluntary compliance with the tax laws and regulations and to conduct itself so as to warrant the highest degree of public confidence in the integrity and efficiency of the Service. Accomplishment of this mission involves advising the public of its rights and responsibilities; communicating requirements of the law to the public; assisting taxpayers in complying with the laws and regulations, and taking those enforcement actions necessary for fair, effective, and impartial tax administration. Basic IRS activities include ensuring satisfactory resolution of taxpayer complaints, providing taxpayer service and education; determination, assessment, and collection of internal revenue taxes; determination of pension plan qualifications and exempt organization status; and preparation and issuance of rulings and regulations to supplement the provisions of the Internal Revenue Code.

Under Secretary for Domestic Finance (21.020-21.021)

Advise and assist the Secretary and Deputy Secretary of the Treasury in the areas of domestic finance, banking, and other related economic matters. These responsibilities include the development of policies and guidance for Treasury Department activities in the areas of financial institutions, Federal debt finance, financial regulation, and capital markets.

Bureau of Alcohol, Tobacco and Firearms (21.053)

Enforce and administer firearms and explosives laws, as well as those covering the production, use, and distribution of alcohol and tobacco products. The objective of the Bureau's programs is to maximize voluntary compliance with these laws, and to minimize willful and involuntary violations of the laws. To achieve these goals, the Bureau is divided into two basic functions: criminal enforcement and regulatory enforcement. The objectives of the criminal enforcement activity are to eliminate illegal possession and use of firearms, destructive devices, and explosives; suppress the interstate trafficking in illicit distilled spirits; suppress the interstate trafficking in contraband cigarettes; and assist State and local law enforcement agencies in reducing crime and violence. The regulatory enforcement activity determines and assures full collection of revenue due from legal alcohol and tobacco industries; fulfills the Bureau's responsibility in the prevention of commercial bribery, consumer deception, and other improper trade practices in the distilled spirits industry; assists other Federal, State, and local governmental agencies in the resolution of problems relating to industrial development, ecology, and revenue protection; ensures that categories of persons prohibited by law from manufacturing, importing, or dealing in firearms and explosives do not obtain a license or permit; ensures that storage facilities for explosives are safe and secure, to avoid presenting a hazard to the public, and that explosives are properly stored in such facilities; and ensures that the audit trail is preserved to permit the tracing of firearms used in the commission of crimes and full accountability for explosive materials.

United States Secret Service (21.100)

Detects and arrests any person committing any offense against the laws of the United States relating to coins, currency, and other obligations, and securities of the United States and of foreign governments; detects and arrests any person violating any of the provisions of Sections 508, 509, and 871 of Title 18 of the United States Code; executes warrants issued under the authority of the United States; carry firearms; and perform such other functions and duties as are authorized by law. In addition, subject to the direction of the Secretary of the Treasury, the United States Secret Service is authorized to protect the person of the President of the United States, the members of his immediate family, the President-elect, the Vice President or other officer next in the order of succession to the Office of President, the immediate family of the Vice President, the Vice-President-elect, major Presidential and Vice-Presidential candidates, former Presidents and their wives during his lifetime, widows of former Presidents until their death or remarriage, and minor children of a former President until they reach age 16, and visiting heads of a foreign state or foreign government.

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration (FAA) (20.100-20.109)

Regulates air commerce in a manner that promotes its development and safety and fulfills the requirements of national defense; controls the use of navigable airspace of the United States and regulates both civil and military operations in such airspace in the interest of safety and efficiency; promotes, encourages, and develops civil aeronautics; consolidates research and development with respect to air navigation facilities; installs and operates air navigation facilities; develops and operates a common system of air traffic control and navigation for both civil and military aircraft; and develops and implements, programs and regulations to control aircraft noise, sonic boom, and other environmental effects of civil aviation.

Federal Highway Administration (FHWA) (20.200-20.215, 20.219-20.223, 20.240)

Coordinates highways with other modes of transportation to achieve the most effective balance of transportation systems and facilities under cohesive Federal transportation policies pursuant to the Act. FHWA is concerned with the total operation and environment of highway systems, including highway safety. In administering its highway transportation programs, it gives full consideration to the impacts of highway development and travel; transportation needs; engineering and safety aspects; social, economic, and environmental effects; and project costs. It ensures balanced treatment of these factors by utilizing a systematic, interdisciplinary approach in providing for safe and efficient highway transportation.

Federal Motor Carrier Safety Administration (FMCSA) (20.218, 20.231-20.238)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and

42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities, the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours-of-service regulations.

Federal Railroad Administration (FRA) (20.301-20.317)

Promulgates and enforces rail safety regulations, administer railroad financial assistance programs, conduct research and development in support of improved railroad safety and national rail transportation policy, provide for the rehabilitation of Northeast Corridor rail passenger service, and consolidate government support of rail transportation activities. Railroad Safety: The Administration administers and enforces the Federal laws and related regulations designed to promote safety on railroads; exercises jurisdiction over all areas of rail safety under the Rail Safety Act of 1970, such as track maintenance, inspection standards, equipment standards, and operating practices. It also administers and enforces regulations resulting from railroad safety legislation for locomotives, signals, safety appliances, power brakes, hours of service, transportation of explosives and other dangerous articles, and reporting and investigation of railroad accidents. Railroad and related industry equipment, facilities, and records are inspected and required reports reviewed. Research and Development: A ground transportation research and development program is administered to advance all aspects of intercity ground transportation and railroad safety pertaining to the physical sciences and engineering, in order to improve railroad safety and ensure that railroads continue to be a viable national transportation resource. Transportation Test Center: This 50-square-mile facility, located near Pueblo, CO, provides testing for advanced and conventional systems and techniques designed to improve ground transportation. The facility has been managed and staffed for the Administration by the Association of American Railroads since October 1, 1982. The United States and Canadian Governments and private industry use this facility to explore, under controlled conditions, the operation of both conventional and advanced systems. It is used by the Urban Mass Transportation Administration for testing of urban rapid transit vehicles. Policy: Program management for new and revised policies, plans and projects related to railroad transportation economics, finance, system planning, and operations is provided; appropriate studies and analyses are performed; relevant tests, demonstrations, and evaluations are conducted; and labor/management programs are evaluated. Analyses of issues before regulatory agencies are carried out and recommendations are made to the Secretary as to the positions to be taken by DOT. Passenger and Freight Services: The Administration administers a program of Federal assistance for national, regional, and local rail services. Programs include rail freight service assistance programs; rail service continuation programs and State rail planning; and rail passenger service on a national, regional, and local basis. The agency also administers programs to develop, implement, and administer rail system policies, plans and programs for the Northeast Corridor in support of applicable provisions of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 501), and related legislation.

Federal Transit Administration (FTA) (20.500-20.522)

Assists in the development of improved mass transportation facilities, equipment, techniques, and methods, with the cooperation of mass transportation companies both public and private; encourages the planning and establishment of areawide urban mass transportation systems needed for economical and desirable urban development, with the cooperation of mass transportation companies both public and private; and provides assistance to State and local governments and their instrumentalities in financing such systems, to be operated by public or private mass transportation companies as determined by local needs.

National Highway Traffic Safety Administration (20.600-20.605, 20.609-20.614)

Carries out programs relating to the safety performance of motor vehicles and related equipment, motor vehicle drivers and pedestrians and a uniform nationwide speed limit under the National Traffic and Motor Vehicle Safety Act of 1966 (80 Stat. 718), as amended. Under the authority of the Motor Vehicle Information and Cost Saving Act (86 Stat. 947), as amended, the Administration carries out programs and studies aimed at reducing economic losses in motor vehicle crashes and repairs, through general motor vehicle programs; administers the Federal odometer law; and promulgates average fuel economy standards for passenger and nonpassenger motor vehicles. Under the authority of the Clean Air amendments of 1970 (84 Stat. 1700),

the Administration certifies as to the consistency of Environmental Protection Agency State grants with any highway safety program developed pursuant to section 402 of Title 23 of the United States Code. The National Highway Traffic Safety Administration was established to carry out a congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from traffic accidents on the Nation's highways and to provide motor vehicle damage susceptibility and ease of repair information, motor vehicle inspection demonstrations, and protection of purchasers of motor vehicles having altered odometers, and to provide average standards for greater vehicle mileage per gallon of fuel for vehicle under 10,000 pounds (gross vehicle weight).

Pipeline and Hazardous Materials Safety Administration (20.700-20.703, 20.720)

Responsible for a number of programs involving safety regulation, emergency preparedness, and research and development. Emphasis is given to hazardous material transportation and pipeline safety, transportation emergency preparedness, and safety training.

Maritime Administration (20.763, 20.802-20.814)

Administers programs to aid in the development, promotion, and operation of the U.S. Merchant Marine; organizes and directs emergency merchant ship operations; administers subsidy programs through the Maritime Subsidy Board, under which the Federal government, subject to statutory limitations, pays the difference between certain costs of operating ships under the U.S. flag and foreign competitive flags on essential services, and the difference between the costs of constructing ships in U.S. and foreign shipyards; provides financing guarantees for the construction, reconstruction, and reconditioning of ships; and enters into capital construction fund agreements which grant tax deferrals on moneys to be used for the acquisition, construction, or reconstruction of ships; constructs or supervises the construction of merchant-type ships for the Federal government; it helps industry generate increased business for U.S. ships and conducts programs to develop ports, facilities, and intermodal transport, and to promote domestic shipping. Administers a War Risk Insurance program insuring operators and seamen against losses caused by hostile action if domestic commercial insurance is not available; Under emergency conditions, charters Government-owned ships to U.S. operators, requisitions or procures ships owned by U.S. citizens, and allocates them to meet defense needs. It maintains a National Defense Reserve Fleet of Government-owned ships that it operates through general agents when required in national defense interests. An element of this activity is the Ready Reserve Force consisting of a number of ships available for quick-response activation; regulates sales to aliens and transfers to foreign registry of ships that are fully or partially owned by U.S. citizens; also disposes of Government-owned ships found nonessential for national defense; operates the U.S. Merchant Marine Academy, Kings Point, NY, where young people are trained to become merchant marine officers, and conducts training in shipboard firefighting at Earle, NJ, and Toledo, OH. It also administers a Federal assistance program for the maritime academies operated by California, Maine, Massachusetts, Michigan, New York, and Texas.

Office of the Secretary (20.900-20.931)

Develops and evaluates public policy related to the transportation industries and their economic regulation; assures that the Department's regulatory programs remain consistent with established policy and maintains oversight of all departmental safety regulatory actions; proposes and coordinates on transportation-related legislation involving the private sector; provides analyses of current and emerging transportation policy issues to assess their economic and institutional implication, particularly with regard to Federal assistance, public trust funds, user charges, nondiscrimination of the handicapped in the provision of public transportation services, and energy and environmental aspects; undertakes studies and analyses to aid in the resolution of safety problems; develops policies to support the Department in aviation and maritime multilateral and bilateral negotiations with foreign governments and participates on the U.S. negotiating delegations; develops policies on a wide range of international transportation and trade matters; furnishes guidance to the United States Trade Representative's Trade Policy Committee in efforts to improve the U.S. balance of payments; coordinates efforts to combat transport-related terrorist acts and drug smuggling; arranges and coordinates cooperative agreements with foreign governments for the exchange of state-of-the-art scientific and technical information; provides assistance to the Agency for International Development's transportation programs in developing countries; and participates on the U.S.-Saudi Arabian Joint Commission for Economic Cooperation. The Assistant Secretary also: develops, coordinates, and carries out U.S. Government policy relating to the economic regulation of the airline industry, including licensing of U.S. and foreign carriers to serve in international air transportation and carrier fitness determinations; processes and resolves complaints concerning unfair competitive practices in international fares, rates, and tariff filings; establishes international and intra-Alaska mail rates; and determines the disposition of requests for approval and immunization from the antitrust laws of international aviation agreements. The Assistant Secretary also administers the essential air service program, which involves: establishing

appropriate subsidy levels for subsidized carriers; processing applications to terminate, suspend, or reduce air service below the defined essential level; determining which carrier among various applicants should be selected to provide subsidized service; and continuously reviewing essential air service definitions for each community.

Bureau of Transportation Statistics (20.920)

Supports the development of transportation statistics and actively promotes research activities in the field of transportation statistics. Conducts and publishes transportation studies in support of Department program evaluation efforts. Provides mathematical, statistical, cartographic and support to other Department programs.

DEPARTMENT OF VETERANS AFFAIRS

Veterans Health Administration (64.005-64.024)

Provides hospital, nursing home and domiciliary care, and outpatient medical and dental care to eligible veterans of military service in the Armed Forces. Dependents of certain veterans are provided medical care supplied by non-VA institutions and physicians. The Department conducts both individual medical and health-care delivery research projects and multi-hospital research programs. It assists in the education of physicians and dentists and with training of many other health care professionals through affiliations with educational institutions and organizations.

Veterans Benefits Administration (64.100-64.128)

Conducts an integrated program of veterans benefits: The Compensation and Pension (C & P) Service has responsibility for: claims for disability compensation, pension, and Spina Bifida monthly allowance under 38 U.S.C. 1805; automobile allowances and special adaptive equipment; claims for specially adapted housing; special clothing allowances; emergency officer's retirement pay; eligibility determinations based on military service for other VA benefits and services or those of other Government agencies; survivor's claims for death compensation, dependency and indemnity compensation, death pension, burial and plot allowance claims; claims for accrued benefits; forfeiture determinations; claims for adjusted compensation in death cases; and claims for reimbursement for headstones or markers. The Education Service has responsibility for: readjustment education benefits for post-Vietnam era veterans and recently-discharged veterans, as well as educational assistance for spouses, surviving spouses, and children of veterans who are permanently and totally disabled or die from disability incurred or aggravated in active service in the Armed forces, or are currently prisoners of war or missing in action. In addition, certain members of the selected Reserve or National Guard are also eligible for education benefits administered by the Service. Vocational Rehabilitation Service has responsibility for the rehabilitation of disabled veterans. Information, advice, and assistance are provided to veterans, their dependents and beneficiaries, representatives and others in applying for benefits administered by the Department of Veterans Affairs. Benefit information and readjustment assistance is provided to recently separated veterans. C&P also maintains a benefits protection program (fiduciary activities) for minors and incompetent veterans and other incompetent adult beneficiaries and provide field-investigative services. Special restorative training is also available to eligible children. The mission is also to provide credit assistance whereby the housing credit needs of eligible veterans and active duty service personnel may be satisfied by private capital on more liberal terms than generally available to non-veterans. Assistance is provided chiefly through substituting the Government's guaranty on loans made by private lenders in lieu of the downpayments, shorter terms, and other requirements generally required in conventional home mortgage transactions. Direct loans are made to Native Americans on trust land or to supplement a grant to get a specially adapted home for certain eligible veterans who have a permanent and total service-connected disability(ies). In addition, a system of direct financial grants is operated to help certain permanently disabled veterans to acquire specially adapted housing. VA life insurance operations are for the benefit of service members, veterans, and their beneficiaries. In addition, the VA is responsible for: supervision of the Servicemen's Group Life Insurance (SGLI) and Veterans Group Life Insurance (VGLI) Programs for today's service members and veterans. The Veterans' Insurance Act of 1974 (88 Stat. 165; 38 U.S.C. 765-779), effective May 24, 1974, has substantially amended the law provided for the conversion of SGLI to a 5-year nonrenewable term policy known as Veterans Group Life Insurance (VGLI). At the termination of the 5-year term period, it may be converted to an individual policy with any one of the many participating commercial insurance companies. Supervision of the Veterans Mortgage Life Insurance Program for those disabled veterans who receive a VA grant for specially adapted housing - under the terms of contractual agreement with a Primary Insurer. VA guarantees the protection of commercial life insurance (up to \$10,000) against premium payments for persons while in military or naval service, and 2 years thereafter.

National Cemetery Administration (64.201-64.203, 64.026)

Administers the National Cemetery Administration, which provides cemeterial services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

ELECTIONS ASSISTANCE COMMISSION

U.S. ELECTION ASSISTANCE COMMISSION (90.400-90.402)

About the U.S. Election Assistance Commission. The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. HAVA requires the EAC to: Develop technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. For additional information, visit the U.S. Election Assistance Commission online at www.eac.gov.

Information Related to the Election Assistance Commission

The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. According to the text of HAVA, the law was enacted to, establish a program to provide funds to States to replace punch card voting systems, to establish the Election Assistance Commission to assist in the administration of Federal elections and to otherwise provide assistance with the administration of certain Federal election laws and programs, to establish minimum election administration standards for States and units of local government with responsibility for the administration of Federal elections, and for other purposes. Excerpt from The Help America Vote Act of 2002 The Help America Vote Act of 2002 (HAVA) requires the Election Assistance Commission (EAC) to: Generate technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Otherwise provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year.

ENVIRONMENTAL PROTECTION AGENCY

Office of Air and Radiation (66.001-66.040)

Develops national programs, technical policies, and regulations for air pollution control; establishes national standards for ambient air quality, and emission standards for stationary sources: mobile sources and fuels; monitors acid deposition; environmental radiation, and other pollutants; provides technical, training, and financial support to states, tribes, and local governments. Also, develops national partnership programs and policies to address climate change and indoor pollution, and demonstrates new low emission vehicle technology.

Office of Water

Develops national programs, technical policies, and regulations for water pollution control and water supply; ground water protection; marine and estuarine protection; enforcement of standards; water quality standards and effluent guidelines development; technical direction, support, and evaluation of regional water activities; development of programs for technical assistance and technology transfer; and provision of training in the field of water quality.

Office of Research and Development (66.508,66.509,66.511,66.512,66.516)

The Office of Research and Development is responsible for a national research program in pursuit of technological controls of all forms of pollution. It directly supervises the research activities of EPA's national laboratories and gives technical policy direction to those laboratories that support the program responsibilities of EPA's regional offices. Close coordination of the various research programs is designed to yield a synthesis of knowledge from the biological, physical, and social sciences that can be interpreted in terms of total human and environmental needs. General functions include management of selected demonstration programs, planning for Agency environmental quality monitoring programs, coordination of Agency monitoring efforts with those of other Federal agencies, the States, and other public bodies, and dissemination of Agency research, development, and demonstration results.

Office of Administration (66.202,66.513-66.518,66.600,66.605-66.612)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of Enforcement and Compliance Assurance (66.305, 66.700-66.709)

Develops national strategies for the control of toxic substances; directing the pesticides and toxic substances enforcement activities; developing criteria for assessing chemical substances, standards for test protocols for chemicals, rules and procedures for industry reporting and regulations for the control of substances deemed to be hazardous to man or the environment; and evaluating and assessing the impact of existing chemicals, new chemicals, and chemicals with new uses to determine the hazard and, if needed, develop appropriate restrictions. Additional activities include control and regulation of pesticides and reduction in their use to ensure human safety and protection of environmental quality; establishment of tolerance levels for pesticides that occur in or on food; monitoring of pesticide residue levels in food, humans, and nontarget fish and wildlife and their environments; and investigation of pesticide accidents. It also coordinates activities under its statutory responsibilities with other agencies for assessment and control of toxic substances and pesticides.

Office of Environmental Justice (66.306, 66.604)

Provides support for community-based projects, programs, and activities that seek to address local environmental justice and public health issues and enhance environmental justice/community-based environmental protection.

Office of Solid Waste and Emergency Response (66.801-66.818)

Provides policy, guidance, and direction for EPA's hazardous waste and emergency response programs. The functions of these programs include development of policies, standards, and regulations for hazardous waste treatment, storage, and disposal; national management of the Superfund toxic waste cleanup program; development of guidelines for the emergency preparedness and "Community Right To Know" programs; development of guidelines and standards for underground storage tanks; enforcement of applicable laws and regulations; analysis of technologies and methods for the recovery of useful energy from solid waste; and provision of technical assistance in the development, management, and operation of waste management activities.

Office of Environmental Education (66.950-66.951)

Establishes, maintains, and disseminates a clearinghouse of information about available and planned multimedia environmental education products; identifies gaps in existing environmental education materials and works in collaboration with academia, other agencies, private industry and public interest groups to fill these gaps with quality products; establishes an Environmental Education and Training Grants Program through a grant awarded to a consortium of universities to support the training of education professionals in reaching environmental issues; establishes an Environmental Education Grants Program to support the design, demonstration and dissemination of environmental education materials, practice or techniques; provides for environmental internships through post-secondary level studies with agencies of the Federal government; establishes a National Environmental Education Advisory Council to advise, consult with, and make recommendations to the Administrator on matters relating to environmental education activities, functions, and policies of the Agency; establishes an Environmental Education Foundation; establishes the EPA as the key source for Federal, National and international communication and

cooperation in environmental education activities; and coordinates and tracks EPA environmental efforts.

APPALACHIAN REGIONAL COMMISSION (23.001-23.011)

The Appalachian Regional Commission is a Federal-State governmental agency concerned with the economic, physical, and social development of the 13-State Appalachian region, which includes parts of Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and all of West Virginia. The comprehensive goals of the Commission are to provide the people of Appalachia with the health and skills they need to compete for opportunities and to develop a self-sustaining economy and environment capable of supporting a population with rising incomes and standards of living and increasing employment opportunities. To accomplish this task, the Commission has concentrated on areas of development in which there remain great needs throughout the region: community development and housing, education, the environment, health and child development, industrial development and management, tourism, and transportation.

OFFICE OF PERSONNEL MANAGEMENT (OPM) (27.001-27.013)

Administers a merit system for Federal employment, which includes recruiting, examining, training, and promoting people on the basis of their knowledge and skills, regardless of their race, religion, sex, political influence, or other nonmerit factors. OPM's role is to ensure that the Federal government provides an array of personnel services to applicants and employees. Through a range of programs designed to develop and encourage the effectiveness of the Government employee, OPM supports Government program managers in their personnel management responsibilities and provides benefits to employees and to retired employees and their survivors.

COMMISSION ON CIVIL RIGHTS (CCR) (29.001)

Collects and studies information on discrimination or denials of equal protection of the laws because of race, color, religion, sex, age, disability or, national origin, or in the administration of justice in such areas as voting rights, enforcement of Federal civil rights laws, and equality of opportunity in education, employment, and housing.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (EEOC) (30.001-30.011)

Eliminates discrimination based on race, color, religion, sex, national origin, age or disability in hiring, promotion, firing, wages, testing, training, apprenticeship, and all other conditions of employment. The Commission also promotes voluntary action programs by employers, unions, and community organizations to make equal employment opportunity an actuality. EEOC also has oversight responsibility for all compliance and enforcement activities relating to equal employment opportunity among Federal employees and applicants, including discrimination against individuals with disabilities.

FEDERAL COMMUNICATIONS COMMISSION (FCC) (32.001)

Regulates interstate and foreign communications by radio, television, wire, satellite, and cable. It is responsible for the orderly development and operation of broadcast services and the provision of rapid, efficient nationwide and worldwide telephone and telegraph services at reasonable rates. Its responsibilities also include the use of communications for promoting safety of life and property and for strengthening the national defense.

FEDERAL MARITIME COMMISSION (FMC) (33.001)

Regulates the waterborne foreign and domestic offshore commerce of the United States, assures that United States international trade is open to all nations on fair and equitable terms, and protects against unauthorized, concerted activity in the waterborne commerce of the United States. This is accomplished through maintaining surveillance over steamship conferences and common carriers by water; assuring that only the rates on file with the Commission are charged; conducting analysis and appropriate disposition of agreements between persons subject to the Shipping Act of 1984 and the Shipping Act, 1916; guaranteeing equal treatment to shippers, carriers, and other persons subject to the shipping statutes; and ensuring that adequate levels of financial responsibility are maintained for indemnification of passengers.

FEDERAL MEDIATION AND CONCILIATION SERVICE (FMCS) (34.001)

Assists labor and management in resolving disputes bargaining contract negotiation through voluntary mediation and arbitration services; provides training to unions and management in cooperative processes to improve long-term relationship under the Labor-Management Cooperation Act of 1978, including Federal sector partnership training authorized by Executive Order 12871; provides alternative dispute resolution services and training to government agencies, including the facilitation of regulatory

negotiations under Administrative Dispute Resolution and Negotiated Rulemaking Acts of 1990; awards competitive grants to joint labor-management committees to encourage innovative approaches to cooperative efforts.

FEDERAL TRADE COMMISSION (FTC) (36.001)

Maintains competitive enterprise as the keystone of the American economic system. Although the duties of the Commission are many and varied, the foundation of public policy underlying all these duties is essentially the same: to prevent the free enterprise system from being fettered by monopoly or restraints on trade or corrupted by unfair or deceptive trade practices. In brief, the Commission is charged with keeping competition both free and fair.

GENERAL SERVICES ADMINISTRATION (GSA) (39.002-39.009)

Establishes policy and provides for the Government an economical and efficient system for the management of its property including construction and operation of buildings, procurement and distribution of supplies, utilization and disposal of property, transportation, and travel management, and management of Government-wide information technology solutions and network services. GSA is organized much like a large corporation doing business in a number of different fields. It consists of operating services and supporting staff offices, with functions carried out at three levels of organization: The Central office, regional offices, and field activities. (See Appendix IV of the Catalog for a listing of these offices.) Various publications and catalogs published by GSA include: 1) The Catalog of Federal Domestic Assistance published jointly by GSA and the Office of Management and Budget; and 2) the Consumer Information Catalog which lists selected Federal publications of interest to consumers. GSA also administers the Federal Information Centers (FCIC) which are focal points for information about the Federal government's services, programs, and regulations.

GOVERNMENT PRINTING OFFICE (GPO) (40.001-40.002)

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

LIBRARY OF CONGRESS (42.001-42.009)

Under the organic law, the Library's first responsibility is service to Congress. One department, the Congressional Research Service, functions exclusively for the legislative branch of the Government. As the Library has developed, its range of service has come to include the entire governmental establishment in all its branches and the public at large, so that it has become a national library for the United States. The Library's extensive collections are universal in scope. They include books and pamphlets on every subject and in a multitude of languages. Among them are the most comprehensive collections of Chinese, Japanese, and Russian language books outside Asia and the Soviet Union; volumes relating to science and legal materials, outstanding for American and foreign law; the world's largest collection of published aeronautical literature; and the most extensive collection in the western hemisphere of books printed before 1501 A.D. The manuscript collections relate to manifold aspects of American history and civilization and include the personal papers of most of the Presidents from George Washington through Calvin Coolidge. The music collections contain volumes and pieces (manuscript and published) from classic works to the newest popular compositions. Other materials available for research include maps and views; photographic records from the daguerreotype to the latest news photo; recordings, including folksongs and other music, speeches, and poetry readings; prints, drawings, and posters; government documents, newspapers, and periodicals from all over the world; and motion pictures, microforms, and audio and video tapes. Admission to the various research facilities of the Library is free. No introduction or credentials are required for persons over high school age who wish to read in the general reading rooms; however, certain collections, like those of the Manuscript, Rare Book and Special Collections, Motion Picture, Broadcasting, and Recorded Sound Divisions, can be used only by those with a serious purpose for doing so. The Library is also responsible for copyrights, which are registered by the Copyright Office. All copyrightable works, whether published or unpublished, are subject to a system of statutory protection that gives the copyright owner certain exclusive rights, including the right to reproduce the copyrighted work and distribute it to the public by sale, rental, lease, or lending. Works of authorship include books, periodicals, and other literary works, musical compositions, song lyrics, dramas and dramatic musical compositions, pictorial, graphic, and sculptural works pantomimes

and choreographic works, motion pictures and other audiovisual works, and sound recordings. The Library extends its service through an interlibrary loan system; the photoduplication, at reasonable cost, subject to conditions of law, copyright, and deposit of books, manuscripts, maps, and newspapers, and prints in its collections; the sale of sound recordings, which are released by its Recording Laboratory; the exchange of duplicates with other institutions; the sale of printed catalog cards and magnetic tapes, and the publication in book format or microform of cumulative catalogs, which make available the results of the expert bibliographical and cataloging work of its technical personnel; a centralized acquisitions program whereby the Library of Congress acquires material published all over the world, catalogs it promptly, and distributes cataloging information in machine readable form as well as other means to the Nation's libraries; a cooperative catalog program, whereby the cataloging of data by name authority and bibliographic records, prepared by other libraries becomes part of the Library of Congress data base and distribute them through the MARC Distribution Service; a cataloging-in-publication program in cooperation with American publishers for printing and cataloging information in current books; the National Serials Data Program, a national center that maintains a record of serial titles to which Inter-national Standard Serial Numbers have been assigned and serves, with this file, as the United States Register; and the development of general schemes of classification (Library of Congress and Dewey Decimal), subject headings, and cataloging, embracing the entire field of printed matter. The American Folklife Center in the Library of Congress, has a coordinative function both in and outside the Federal establishment to carry out appropriate programs to support, preserve, and present American folklife through such activities as the collection and maintenance of archives, scholarly research, field projects, performances, exhibitions, festivals, workshops, publications, and audiovisual presentations. The Center for the Book was established in the Library of Congress to provide a program for investigating the transmission of human knowledge and to heighten public interest in the role of books and printing in the diffusion of knowledge. Drawing on the resources of the Library of Congress, the Center works closely with other organizations to explore important issues in the book and educational communities, to encourage reading, and to encourage research about books and about reading. Its goal is to serve as a useful catalyst by bringing together authors, publishers, librarians, booksellers, educators, scholars, and readers to discuss common concerns and work toward the solution of common problems. The Library provides technical information related to the preservation and restoration of library and archival material. A series of leaflets on various preservation and conservation topics has been prepared by the Preservation Office. Information and publications are available from the National Preservation Program Office, Library of Congress. A free national library program of braille and recorded materials for blind and physically handicapped persons is administered by the National Library Service for the Blind and Physically Handicapped (NLS), Library of Congress. With the cooperation of authors and publishers who grant permission to use copyrighted works, NLS selects and produces full-length books and magazines in braille on recorded disc and cassette. Reading material is distributed to a cooperating network of regional and subregional (local) libraries where they are circulated to eligible borrowers. Reading material and playback machines are sent to borrowers and returned to libraries by postage-free mail. Established by an act of Congress in 1931 to serve blind adults, the program was expanded in 1952 to include children, in 1962 to provide music materials, and again in 1966 to include individuals with other physical impairments that prevent the reading of standard print. Information and publications are available from the National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA) (43.001-43.002)

Conducts research to solve problems of flight within and outside Earth atmosphere. Develops, constructs, tests, and operates aeronautical and space vehicles. Conducts activities required for the exploration of space with manned and unmanned vehicles; arranges for the most effective utilization of the scientific and engineering resources of the United States with other nations engaged in aeronautical and space activities for peaceful purposes.

NATIONAL CREDIT UNION ADMINISTRATION (NCUA) (44.001-44.002)

The National Credit Union Administration Board is responsible for chartering, insuring, supervising, and examining Federal credit unions and administering the National Credit Union Share Insurance Fund. The Board also manages the Central Liquidity Facility, a mixed-ownership Government corporation whose purpose is to supply emergency loans to member credit unions.

NATIONAL ENDOWMENT FOR THE ARTS (NEA) (45.024 - 45.025)

The National Endowment for the Arts is a public agency dedicated to supporting excellence in the arts, both new and established bringing the arts to all Americans, and providing leadership in arts education. The National Endowment for the Arts is the largest annual funder of the arts in the United States. An independent federal agency, the National Endowment for the Arts is the official arts organization of the

United States government. The National Endowment for the Arts awards more than \$100 million annually investing in every state which in turn generates more than \$700 million in additional support. The Arts Endowment has played a transformative and sustaining role in the development of regional theater, opera, dance, orchestras, museums, and other arts both contemporary and traditional that Americans now enjoy.

NATIONAL ENDOWMENT FOR THE HUMANITIES (NEH) (45.129 - 45.169)

Promotes and supports the production and dissemination of knowledge in the humanities. The term "humanities" includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; and those aspects of the social sciences that employ historical or philosophical approaches. The Endowment makes grants to individuals, groups, or institutions (schools, colleges, universities, museums, public television stations, libraries, public agencies, and nonprofit private groups) to increase understanding and appreciation of the humanities.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES (45.201)

Coordinates the activities of the two Endowments and related programs of other Federal agencies.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES (45.301-45.313)

The Institute of Museum and Library Services is the primary source of federal support for the nation's 122,000 libraries and 17,500 museums. Its mission is to grow and sustain a "Nation of Learners" because lifelong learning is essential to a democratic society and individual success. Through its grant making, convenings, research, and publications, the Institute empowers museums and libraries nationwide to provide leadership and services to enhance learning in families and communities, sustain cultural heritage, build twenty-first-century skills, and increase civic participation. IMLS supports adult education and literacy through a number of competitive and state grants and programs, such as Museums for America grants, State Library programs, Native American/ Native Hawaiian Library Services, National Leadership Grants, 21st Century Museum Professionals, the Laura Bush 21st Century Librarian Program, and the Partnership for a Nation of Learners program.

NATIONAL LABOR RELATIONS BOARD (NLRB) (46.001)

Administers the Nation's principal law, the National Labor Relations Act. The NLRB is vested with the power to prevent or remedy unfair labor practices and to safeguard employees' rights to organize and determine through elections whether to have unions as their bargaining representatives.

NATIONAL SCIENCE FOUNDATION (NSF) (47.041-47.081)

Promotes the progress of science and engineering through the support of research and education programs. Its major emphasis is on high quality, science-driven basic research, the search for improved understanding of the fundamental laws of nature upon which our future well-being as a Nation depends. The National Science Foundation also supports applied research in several areas. Its educational programs are aimed at ensuring increasing understanding of science and engineering at all educational levels and at training an adequate supply of scientists and engineers to meet our country's needs.

RAILROAD RETIREMENT BOARD (57.001)

Administers comprehensive retirement-survivor and unemployment-sickness benefit programs for the Nation's railroad workers and their families, under the Railroad Retirement and Railroad Unemployment Insurance Acts. The Board participates in the administration of the Social Security Act and the Health Insurance for the Aged Act insofar as they affect railroad retirement beneficiaries.

SECURITIES AND EXCHANGE COMMISSION (SEC) (58.001)

Administers Federal securities laws that seek to provide for investors; to ensure that securities markets are fair and honest; and, when necessary, to provide the means to enforce securities laws through sanctions.

SMALL BUSINESS ADMINISTRATION (SBA) (59.006-59.046, 59.049-59.055, 59.070)

Aids, counsels, assists, and protects the interests of small business; ensures that small business concerns receive a fair proportion of Government purchases, contracts, and subcontracts, as well as of the sales of Government property; makes loans to small business concerns, State and local development companies, and the victims of floods or other catastrophes, or certain types of economic injury; and licenses, regulates, and makes loans to small business investment companies.

NATIONAL GALLERY OF ART (NGA) (68.001)

The National Gallery's collections embrace every major school of western European

art from the 13th century to the present and of American art from colonial days to the present. A professor-in-residence position is filled annually by a distinguished scholar in the field of art history; graduate and postgraduate research is conducted under a fellowship program; programs for children and the general public are conducted daily; and Extension Programs produces and distributes education resources for loan throughout the world; audiovisual materials include films, slide teaching programs, videocassettes, videodiscs, and CD-ROMS.

OVERSEAS PRIVATE INVESTMENT CORPORATION (OPIC) (70.002-70.003)

Offers United States investors assistance in finding investment opportunities, insurance, and loans and loan guaranties to help finance their projects in developing countries. It encourages investment projects that will help the social and economic development of these countries.

NUCLEAR REGULATORY COMMISSION (NRC) (77.006-77.008)

Licenses and regulates the civilian uses of nuclear energy to protect the public health and safety and the environment. It does this by licensing persons and companies to build and operate nuclear reactors and other facilities and to own and use nuclear materials. The NRC makes rules and sets standards for these types of licenses. The NRC also carefully inspects the activities of the persons and companies licensed to ensure that they do not violate the safety rules of the Commission.

COMMODITY FUTURES TRADING COMMISSION (CFTC) (78.004)

Promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end it also engages in the analysis of economic issues affected by or affecting futures trading.

DEPARTMENT OF ENERGY (DOE) (81.003-81.126)

DOE is major government enterprise. If included among the Nation's Fortune 500 firms, it would rank in the top 50. Its \$16.5 billion appropriation comprises close to 3 percent of total Federal discretionary spending DOE Funds the largest environmental cleanup in history, and research and development that supports the Nation's defense and its energy and economic security. DOE employs over 11,000 Federal employees and about 108,000 contract employees. DOE owns and manages over 50 major installations located on 2.4 million acres in 35 States and is the fourth largest Federal landowner in the United States. DOE is an energy policy, supply, and technology enterprise. It invests in developing a secure, clean, and sustainable energy system. It helps the Nation meet its environmental challenges by administering the largest pollution prevention and efficiency program in the world, with partners from every sector of the economy. It enhances the Nation's energy security by increasing the diversity of energy, and fuel choices and sources; bringing renewable energy sources into the market, strengthening domestic production of oil and gas, maintaining the U.S. nuclear energy option, and increasing the efficiency with which we use energy and generate electricity. The Department also maintains the Strategic Petroleum Reserve and operates five Power Marketing Administrations that sell and distribute over \$3 billion of electric power generated at Federal hydroelectric plants. DOE is a national security enterprise. It is a key player in the Administration's furtherance of the Comprehensive Test Ban Treaty and its overall goal of reducing the global danger from nuclear weapons. It ensures the safety and reliability of the U.S. nuclear weapons stockpile without underground testing. At the same time, it manages and safely dismantles excess nuclear materials, and ensures the security of vital departmental nuclear assets. It provides policy and technical assistance to curb global proliferation of weapons of mass destruction, emphasizing U.S. nonproliferation, arms control, and nuclear safety objectives in the states of the former Soviet union and worldwide. Further, it develops and ensures the safety and reliability of nuclear reactor plants to power U.S. Navy warships. DOE is an environmental remediation enterprise. It cleans up the 50-year environmental legacy left at the industrial complexes where nuclear weapons were designed and manufactured. It manages the problems associated with the large quantities of various types of radioactive wastes, surplus nuclear materials, and spent nuclear fuels that remain at the sites of the Nation's nuclear weapons facilities and at nuclear energy research and development sites. In addition, it must address the growing inventory of spent nuclear fuel from commercial nuclear reactors that is awaiting disposal. These wastes must be dealt with responsibly to ensure the safety and health of the public. DOE is a science and technology enterprise. At the center of all we do are our 27 laboratories, our additional scientific user facilities, and our researchers at the Nation's universities. These form the backbone of U.S. scientific leadership by conducting and facilitating breakthrough research in energy sciences and technology, high energy physics, global climate change, genomics, superconducting materials, accelerator technologies, environmental sciences, and super-computing in support of DOE's mission. The laboratories, described as the crown jewels of the Nation's science establishment, and the Department's funding of research at universities have resulted in 66 Nobel prize winners, including three in 1996. The Department is also an investor in the Nation's most precious resource - its youth -

by supporting science and mathematics education in our schools through grants, educational programs, and fellowships. DOE is global enterprise. The outcome of our work is the technology that stimulates the private market for the expansion of clean energy to meet national and global energy requirements of almost 500 quadrillion Btu's by the year 2010 - a staggering 36 percent increase over 1995. Overseas energy market needs include coal, nuclear power, oil and gas exploration, energy efficiency, and renewable energy technologies that are available for export now or that will soon be available for the international marketplace. DOE supports the export of U.S. energy services and technologies by assisting the nations in Asia, South America, Eastern Europe, an Africa, and the states of the former Soviet Union in developing private markets for environmentally responsible, sustainable energy. These alliances support U.S. competitiveness in a global economy of growing energy infrastructure requirements and create jobs in the U.S. at all skill levels.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION (85.001)

Awards college scholarships of up to \$5 thousand annually for 4 years to persons who demonstrate outstanding potential for and who are preparing to pursue a career in public service.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION (85.100)

Established by Congress in 1992 to award fellowships to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION (85.200)

Established in 1983 to honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

THE WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS (85.300)

Established by Congress in 1968 to honor the legacy and ideals of Woodrow Wilson by promoting research and dialogue on issues that link the world of scholarship and the world of public affairs. The Center achieves this mission through sustaining a community of scholars in Washington, and through sponsoring a regular program of meetings on the humanities and international affairs. The Center welcomes scholars of every nationality and from a wide variety of backgrounds including government, the corporate world, the professions, and academe.

THE MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION (85.400 - 85.402)

Scholarships, internships, and fellowships are financed by a permanent trust fund endowment. Programs are designed to develop increased opportunities for Americans to prepare for and pursue careers related to the environment and for Native Americans and Alaska Natives to pursue careers in health care and tribal public policy.

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION (85.500)

Fellowships are awarded that seek to strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution. The program works to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

SMITHSONIAN INSTITUTE FELLOWSHIP FOUNDATION (85.601)

The Smithsonian Institution is an independent trust instrumentality of the United States which comprises the world's largest museum and research complex. The Smithsonian includes 19 museums and galleries, the National Zoo, and research facilities in several States and the Republic of Panama. It holds more than 136 million artifacts and specimens in its trust for the American people. The Smithsonian is dedicated to public education, national service, and scholarship in the arts, science, history, and culture. The Smithsonian Institution was created by an act of Congress on August 10, 1846 (20 U.S.C. 41 et seq.), to carry out the terms of the will of British scientist James Smithson (1765-1829), who in 1826 had bequeathed his entire estate to the United States to found at Washington, under the name of the Smithsonian Institution, an establishment for increase and diffusion of knowledge among men. On July 1, 1836, Congress accepted the legacy and pledged the faith of the United States to the charitable trust. In September 1838, Smithson's legacy, which amounted to more than 100,000 gold sovereigns, was delivered to the mint at Philadelphia. Congress vested responsibility for administering the trust in the Secretary of the Smithsonian and the Smithsonian Board of Regents, composed of the Chief Justice, the Vice President, three Members of the Senate, three Members of the House of Representatives, and nine citizen members appointed by joint resolution of Congress.

PENSION BENEFIT GUARANTY CORPORATION (PBGC) (86.001)

The Pension Benefit Guaranty Corporation guarantees payment of nonforfeitable pension benefits in covered, private-sector-defined benefit pension plans. Title IV of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1301 et seq.) provides for coverage of most private-sector-defined benefit pension plans that provide a benefit based on factors such as age, years of service, and average or highest salary. The Corporation administers two benefit insurance programs separately covering single-employer and multi-employer plans. Nearly 40 million workers participate in more than 112,000 covered plans. Single-Employer Insurance: Under the single-employer program, the Corporation guarantees payment of a covered plan's basic benefits if that plan terminates without sufficient assets to pay those guaranteed benefits. Multi-employer Insurance: Under Title IV of the Act, as originally enacted, the Corporation guaranteed nonforfeitable benefits for multi-employer plans in a similar fashion as for single-employer plans. However, the payment of guaranteed benefits was at the agency's discretion under the provisions of the law that remained in force until August 1, 1980. The Multi-employer Pension Plan Amendments Act of 1980 (29 U.S.C. 1001) revised the law applicable to multi-employer pension plans by changing the insurable event from plan termination to plan insolvency. In accordance with the Act, the Corporation provides financial assistance to plans that are unable to pay basic benefits. The plans are obligated to repay such assistance. The act also made employers withdrawing from a plan liable to the plan for a portion of its unfunded vested benefits. Premium Collections: All defined benefit pension plans covered by Title IV of Employee Retirement Income Security Act are required to pay premiums under prescribed rates to the Corporation.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD (ATBCB) (88.001)

Ensures compliance to Federal laws requiring accessibility for physically handicapped persons in certain federally funded buildings and facilities throughout the Nation. This includes setting guidelines and requirements for accessibility standards prescribed by Federal agencies, providing technical assistance to organizations agencies and individuals requesting help in solving accessible design and construction problems, and conducting research to determine appropriate specifications for accessibility.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA) (89.001-89.005)

Establishes policies and procedures for managing the records of the United States Government. NARA assists Federal agencies in adequately documenting their activities, administering their records management programs, scheduling their records, and retiring their noncurrent records to Federal Records Centers. The mission of the National Archives and Records Administration is to ensure, for the Citizen and the public servant, for the President and the Congress and the Courts, ready access to essential evidence. Managing the Presidential Libraries system, assisting the National Historical Publications and Records Commission in its grant program for State and local records and edited publications of prominent Americans, and publishing the laws, regulations, Presidential, and other public documents are also key functions of the National Archives and Records Administration.

DENALI COMMISSION (90.100)

Created by Congress in 1998, the Denali Commission is the Federal government and the State of Alaska working together to assist the most remote of American citizens to help themselves move closer to economic self-sufficiency, while preserving ancient cultural values and new opportunities to people living in American's last frontier. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on these remote communities. This Federal-State partnership is intended to promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems and other infrastructure needs.

DELTA REGIONAL AUTHORITY (90.200-90.202)

To assist the eight-state, 240-county Mississippi Delta region in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies. The DRA was created as a Federal-State partnership. DRA will focus on: basic public infrastructure in distressed counties and isolated area of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

JAPAN US FRIENDSHIP COMMISSION (90.300)

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies

in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

UNITED STATES INSTITUTE OF PEACE (91.001-91.002)

The United States Institute of Peace was established as an independent, Federal, nonprofit corporation by act of October 19, 1984 (22 U.S.C. 4603). It was established to strengthen the Nation's capacity to promote international peace and the peaceful resolution of conflicts among the peoples and nations of the world. The purpose of the Institute is to develop and disseminate knowledge about the peaceful resolution of international conflict. To accomplish this the Institute has set the following goals: To provide creative practical insights through research, education, and training on negotiation, mediation, and other skills to those actively engaged in resolving international conflicts; to expand the body of knowledge about the nature and processes of peace, war, and international conflict management; and to disseminate information to the public about these subjects. Among the Institute's instruments are grants, fellowships, a library, and in-house projects. The grants program provides financial support to nonprofit organizations, including private colleges and universities; official public institutions, including public schools, colleges, universities, libraries, and Federal, State, and local agencies; and individuals, whether or not they are associated with nonprofit or official public institutions. The Jennings Randolph Program for International Peace offers senior fellowships annually to practitioners or scholars in the U.S. and around the world who join the Institute for about ten months to work on projects concerning the sources and nature of international conflict and ways of managing conflict and sustaining peace. The program also awards Peace Scholar Dissertation Fellowships annually to outstanding doctoral students enrolled in an accredited university in the U.S. who are researching or writing a dissertation that promises to contribute knowledge relevant to the formulation of policy on international peace and conflict issues. The Jeannette Rankin Library Program is developing four main components on international peacemaking: a specialized research library; a network with and support for other libraries, both specialized and public; an oral history resource; and bibliographic as well as other data bases. Institute-directed projects under the Education and Public Information Program include an educational TV program and cassette programs on such topics as U.S.-Soviet summitry and a National Peace Essay Contest for high school students. The in-house Research and Studies is completing a broad, systematic examination of peace and conflict management theories and features workshops and seminars. Institute publications include biennial report to Congress and the President; a newsletter, The United States Institute of Peace Journal; and short issue papers, in brief. For more information please visit the Institute's web sit at www.usip.org.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (CNCS) (94.002- 94.016)

The Corporation for National Service is a federal agency working in partnership with state and local governments and non-profit organizations. The Corporation administers the AmeriCorps national service program, which includes national and state grant programs, AmeriCorps*VISTA and the AmeriCorps*National Civilian Community Corps; the National Senior Service Corps (Foster Grandparents, Senior Companions and the Retired and Senior Volunteer Program); and the Learn and Serve America service-learning program. The Corporation's mission is to engage Americans of all backgrounds in community-based service. This service addresses the nation's education, public safety, human and environmental needs to achieve direct and demonstrable results. In doing so, the Corporation fosters civic responsibility, encourages community teamwork, and provides educational opportunity for those who make a substantial commitment to service.

SOCIAL SECURITY ADMINISTRATION (SSA) (96.001-96.020)

On March 31, 1995, the Social Security Administration (SSA) became an independent agency. SSA administers a national program of contributory social insurance. Employees, employers, and the self-employed pay contributions which are pooled in special trust funds. When earnings cease or are reduced because the worker retires, dies, or becomes disabled, monthly cash benefits are paid to replace part of the earnings the family has lost. Part of the workers contribution goes into a separate hospital insurance trust fund. This fund helps disabled workers, retirees, and their dependents with their hospital bills. They may also elect to receive assistance with medical expenses. This is done by the workers paying a percentage of supplementary medical insurance premiums. The Federal government pays the balance. Together, these two programs are often referred to as "Medicare." Medicare protection is also provided, under certain conditions, to Railroad Retirement beneficiaries based on a disability. The principal functions of SSA include, but are not limited to; research and recommendations oriented to the problems of poverty; health care for the aged, blind, and disabled; long-range planning, design, and development of SSA administrative plans; data processing systems used in establishing and maintaining records essential to its' various programs; statistical measurement and systematic evaluation of its' programs; policy guidance for the administration of the OASDI and SSI programs;

and development of programs and materials to assure that Congress, Federal and State agencies, and the general public have an adequate understanding of the protections, rights, and responsibilities under SSA administered programs. In addition, SSA, through a world-wide organization of ten regional offices, six program service centers, and over 1,300 field offices, guides and directs all aspects of the cash benefit program operations of SSA

DEPARTMENT OF HOMELAND SECURITY (DHS) (97.004-97.112)

On January 23, 2002, the Department of Homeland Security (DHS) was created through the Homeland Security Act of 2002. The Act provides grants award authority for DHS to award grants, cooperative agreements and other assistance to non-Federal entities in order to assist them with homeland security and disaster preparedness, security, migration and recovery measures. The primary mission of the Department is to prevent terrorist attacks within the United States; reduce the vulnerability of the United States to terrorism; minimize damage, and assist in the recovery from terrorist attacks that do occur within the United States; carry out all the functions of entities transferred to the Department, including by acting as focal point regarding natural and manmade crises and emergency planning; ensure that the function of the agencies and subdivisions within the Department that are not related directly to securing the homeland are not diminished or neglected except by a specific explicit Act of Congress; and monitor connection between illegal drug trafficking and terrorism, coordinate efforts to serve such connections, and otherwise contribute to efforts to interdict illegal drug trafficking.

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID) (98.001-98.012)

To promote transformational development in less-developed and selected middle-income countries. Transformational development brings far-reaching, fundamental changes in governance and institutional capacity, human capacity, and economic structure. Such development helps a country sustain further economic and social progress without depending on foreign aid. The goal of achieving transformational development pertains to stable developing countries which have significant need for concessional assistance and are committed to promoting economic freedom, ruling justly, and investing in people.

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
<p><i>The alphabet(s) in parenthesis following the program title, shows the type(s) of assistance available through that program.</i></p> <p><i>The alphabet codes with accompanying types of assistance are as follows: A_Formula Grants; B_Project Grants; C_Direct Payments for Specified Use; D_Direct Payments with Unrestricted Use; E_Direct Loans; F_Guaranteed/Insured Loans; G_Insurance; H_Sale, Exchange, or Donation of Property and Goods; I_Use of Property, Facilities, and Equipment; J_Provision of Specialized Services; K_Advisory Services and Counseling; L_Dissemination of Technical Information; M_Training; N_Investigation of Complaints; O_Federal Employment.</i></p> <p><i>Included in the Agency Program Index is a chart to help users distinguish programs that provide financial assistance from those providing services and technical assistance.</i></p>		
DEPARTMENT OF AGRICULTURE		
AGRICULTURAL MARKETING SERVICE		
10.153 Market News (L)		X
10.155 Marketing Agreements and Orders (J,K)		X
10.156 Federal-State Marketing Improvement Program (B)	X	
10.162 Inspection Grading and Standardization (J)		X
10.163 Market Protection and Promotion (J,K,M)		X
10.164 Wholesale Farmers and Alternative Market Development (K,M)		X
10.165 Perishable Agricultural Commodities Act (N)		X
10.167 Transportation Services (K,M)		X
10.168 Farmers' Market Promotion Program (B)	X	
10.169 Specialty Crop Block Grant Program (B)	X	
10.170 Specialty Crop Block Grant Program - Farm Bill (B)	X	
10.256 Consumer Data Initiative (CDI) (L)		X
10.290 Agricultural Market and Economic Research (B)	X	
10.084 Dairy Market Loss Assistance Program (D)	X	
10.309 Specialty Crop Research Initiative (B)	X	
10.679 Collaborative Forest Restoration (B)	X	
10.860 Rural Business Investment Program (B,F)	X	
10.862 Household Water Well System Grant Program (B)	X	
AGRICULTURAL RESEARCH SERVICE		
10.001 Agricultural Research_Basic and Applied Research (B)	X	
10.700 National Agricultural Library (L)		X
ANIMAL AND PLANT HEALTH INSPECTION SERVICE		
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)	X	
10.028 Wildlife Services (B)	X	
10.029 Avian Influenza Indemnity Program (D)	X	
COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE		
10.200 Grants for Agricultural Research, Special Research Grants (B)	X	
10.202 Cooperative Forestry Research (A)	X	
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)	X	
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	X	
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X	
10.207 Animal Health and Disease Research (A)	X	
10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)	X	
10.212 Small Business Innovation Research (B)	X	
10.215 Sustainable Agriculture Research and Education (B)	X	
10.216 1890 Institution Capacity Building Grants (B)	X	
10.217 Higher Education Challenge Grants (B)	X	
10.219 Biotechnology Risk Assessment Research (B)	X	
10.220 Higher Education Multicultural Scholars Program (B)	X	
10.221 Tribal Colleges Education Equity Grants (A)	X	
10.222 Tribal Colleges Endowment Program (A)	X	
10.223 Hispanic Serving Institutions Education Grants (B)	X	
10.224 Fund for Rural America Research, Education, and Extension Activities (B)	X	
10.225 Community Food Projects (B)	X	
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	X	
10.227 1994 Institutions Research Program (B)	X	
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	X	
10.303 Integrated Programs (B)	X	
10.304 Homeland Security_Agricultural (B)	X	
10.305 International Science and Education Grants (B)	X	
10.306 BIODIESEL (B)	X	
10.307 Organic Agriculture Research and Extension Initiative (B)	X	
10.308 Resident Instruction Grants for Insular Area Activities (B)	X	
10.500 Cooperative Extension Service (A,B)	X	
ECONOMIC RESEARCH SERVICE		
10.250 Agricultural and Rural Economic Research (L)		X
10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)		X
10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)		X
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)		X
FARM SERVICE AGENCY		
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X	

AGENCY PROGRAM INDEX

Program Description		Financial	Non-Financial
10.053	Dairy Indemnity Program (D)	X	
10.054	Emergency Conservation Program (C)	X	
10.055	Direct and Counter-cyclical Payments Program (C)	X	
10.056	Farm Storage Facility Loans (E)	X	
10.066	Livestock Assistance Program (D)	X	
10.069	Conservation Reserve Program (C)	X	
10.073	Crop Disaster Program (D)	X	
10.077	Livestock Compensation Program (D)	X	
10.078	Bioenergy Program (C)	X	
10.079	Bill Emerson Humanitarian Trust (D)	X	
10.080	Milk Income Loss Contract Program (D)	X	
10.081	Lamb Meat Adjustment Assistance Program (D)	X	
10.082	Tree Assistance Program (D)	X	
10.404	Emergency Loans (E)	X	
10.406	Farm Operating Loans (E,F)	X	
10.407	Farm Ownership Loans (E,F)	X	
10.421	Indian Tribes and Tribal Corporation Loans (E)	X	
10.435	State Mediation Grants (B)	X	
10.437	Interest Assistance Program (F)	X	
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)	X	
10.449	Boll Weevil Eradication Loan Program (E)	X	
10.451	Noninsured Assistance (D)	X	
10.452	Disaster Reserve Assistance (C)	X	
10.994	Peanut Quota Buyout Program (D)	X	
10.995	Hard White Wheat Incentive Program (D)	X	
10.999	Long Term Standing Agreements For Storage, Transportation And Lease (C)	X	
FOOD AND NUTRITION SERVICE			
10.551	Supplemental Nutrition Assistance Program (C)	X	
10.553	School Breakfast Program (A)	X	
10.555	National School Lunch Program (A)	X	
10.556	Special Milk Program for Children (A)	X	
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children (A)	X	
10.558	Child and Adult Care Food Program (A)	X	
10.559	Summer Food Service Program for Children (A)	X	
10.560	State Administrative Expenses for Child Nutrition (A)	X	
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)	X	
10.565	Commodity Supplemental Food Program (A,H)	X	X
10.566	Nutrition Assistance For Puerto Rico (C)	X	
10.567	Food Distribution Program on Indian Reservations (B,H)	X	X
10.568	Emergency Food Assistance Program (Administrative Costs) (A)	X	
10.569	Emergency Food Assistance Program (Food Commodities) (A)	X	
10.572	WIC Farmers' Market Nutrition Program (FMNP) (A)	X	
10.574	Team Nutrition Grants (B)	X	
10.576	Senior Farmers Market Nutrition Program (B)	X	
10.578	WIC Grants To States (WGS) (B)	X	
10.579	Child Nutrition Discretionary Grants Limited Availability (B)	X	
10.580	Special Nutrition Assistance Program Outreach/Participation Program (B)	X	
10.582	Fresh Fruit and Vegetable Program (B)	X	
FOOD SAFETY AND INSPECTION SERVICE			
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	X	
10.477	Meat, Poultry, and Egg Products Inspection (J)		X
10.479	Food Safety Cooperative Agreements (B)	X	
FOREIGN AGRICULTURAL SERVICE			
10.600	Foreign Market Development Cooperator Program (C)	X	
10.601	Market Access Program (C)	X	
10.603	Emerging Markets Program (C)	X	
10.604	Technical Assistance for Specialty Crops Program (C)	X	
10.606	Food for Progress (H)		X
10.607	Section 416(b) (H)		X
10.608	Food for Education (H)		X
10.609	Trade Adjustment Assistance (D)	X	
10.960	Technical Agricultural Assistance (B)	X	
10.961	Scientific Cooperation and Research (B,C)	X	
10.962	Cochran Fellowship Program-International Training-Foreign Participant (C)	X	
FOREST SERVICE			
10.652	Forestry Research (B)	X	
10.664	Cooperative Forestry Assistance (A,B)	X	
10.665	Schools and Roads Grants to States (A)	X	
10.666	Schools and Roads Grants to Counties (A)	X	
10.670	National Forest Dependent Rural Communities (B,I,M)	X	X
10.671	Southeast Alaska Economic Disaster Fund (C)	X	
10.672	Rural Development, Forestry, and Communities (B)	X	
10.674	Forest Products Lab: Technology Marketing Unit (TMU) (B)	X	
10.675	Urban and Community Forestry Program (B)	X	

AGENCY PROGRAM INDEX

Program Description		Financial	Non-Financial
10.676	Forest Legacy Program (B)	X	
10.677	Forest Land Enhancement Program (B)	X	
10.678	Forest Stewardship Program (B)	X	
10.680	Forest Health Protection (A,B)	X	
10.681	Wood Education and Resource Center (WERC) (B)	X	
10.684	International Forestry Programs (B)	X	
NATIONAL AGRICULTURAL STATISTICS SERVICE			
10.950	Agricultural Statistics Reports (L)		X
NATIONAL SHEEP INDUSTRY IMPROVEMENT CENTER			
10.774	National Sheep Industry Improvement Center (B,C,E,F)	X	
NATURAL RESOURCES CONSERVATION SERVICE			
10.070	Colorado River Basin Salinity Control Program (C)	X	
10.072	Wetlands Reserve Program (C)	X	
10.901	Resource Conservation and Development (K)		X
10.902	Soil and Water Conservation (K)		X
10.903	Soil Survey (L)		X
10.904	Watershed Protection and Flood Prevention (B,K)	X	X
10.905	Plant Materials for Conservation (J)		X
10.906	Watershed Surveys and Planning (J,K)		X
10.907	Snow Survey and Water Supply Forecasting (L)		X
10.910	Rural Abandoned Mine Program (C)	X	
10.912	Environmental Quality Incentives Program (C)	X	
10.913	Farm and Ranch Lands Protection Program (C)	X	
10.914	Wildlife Habitat Incentive Program (C)	X	
10.916	Watershed Rehabilitation Program (B,K)	X	X
10.917	Agricultural Management Assistance (C)	X	
10.918	Ground and Surface Water Conservation Environmental Quality Incentives Program (C)	X	
10.919	Klamath Basin Environmental Quality Incentives Program (C)	X	
10.920	Grassland Reserve Program (C)	X	
10.921	Conservation Security Program (C)	X	
10.922	Healthy Forests Reserve Program (HFRP) (C)	X	
10.923	Emergency Watershed Protection Program (B)	X	
RISK MANAGEMENT AGENCY			
10.450	Crop Insurance (G)	X	
10.454	Dairy Options Pilot Program (C)	X	
10.455	Community Outreach and Assistance Partnership Program (L,M)		X
10.456	Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)	X	
10.457	Commodity Partnerships for Risk Management Education (B)	X	
10.458	Crop Insurance Education in Targeted States (B)	X	
10.459	Commodity Partnerships for Small Agricultural Risk Management Education Sessions (B)	X	
RURAL BUSINESS-COOPERATIVE SERVICE			
10.778	Research on the Economic Impact of Cooperatives (C)	X	
RURAL HOUSING SERVICE			
10.447	The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	
COMMODITY CREDIT CORPORATION			
10.085	Tobacco Transition Payment Program (D)	X	
RURAL DEVELOPMENT			
10.350	Technical Assistance to Cooperatives (J,K,L,M)		X
10.352	Value-Added Producer Grants (B)	X	
10.405	Farm Labor Housing Loans and Grants (B,F)	X	
10.410	Very Low to Moderate Income Housing Loans (E,F)	X	
10.411	Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)	X	
10.415	Rural Rental Housing Loans (B,E)	X	
10.417	Very Low-Income Housing Repair Loans and Grants (B,E)	X	
10.420	Rural Self-Help Housing Technical Assistance (B)	X	
10.427	Rural Rental Assistance Payments (C)	X	
10.433	Rural Housing Preservation Grants (B)	X	
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)	X	
10.441	Technical and Supervisory Assistance Grants (B)	X	
10.442	Housing Application Packaging Grants (B)	X	
10.444	Direct Housing Natural Disaster Loans and Grants (B,E)	X	
10.445	Direct Housing Natural Disaster (E)	X	
10.446	Rural Community Development Initiative (B)	X	
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)	X	
10.761	Technical Assistance and Training Grants (B)	X	
10.762	Solid Waste Management Grants (B)	X	
10.763	Emergency Community Water Assistance Grants (B)	X	
10.766	Community Facilities Loans and Grants (B,E,F)	X	
10.767	Intermediary Relending Program (E)	X	
10.768	Business and Industry Loans (F)	X	

AGENCY PROGRAM INDEX

Program Description		Financial	Non-Financial
10.769	Rural Business Enterprise Grants (B)	X	
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B,E)	X	
10.771	Rural Cooperative Development Grants (B)	X	
10.772	Empowerment Zones Program (B)	X	
10.773	Rural Business Opportunity Grants (B)	X	
10.775	Renewable Energy Systems and Energy Efficiency Improvements Program (E,F)	X	
10.850	Rural Electrification Loans and Loan Guarantees (E)	X	
10.851	Rural Telephone Loans and Loan Guarantees (E,F)	X	
10.854	Rural Economic Development Loans and Grants (B,E)	X	
10.855	Distance Learning and Telemedicine Loans and Grants (B,E)	X	
10.856	1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)	X	
10.857	State Bulk Fuel Revolving Fund Grants (B)	X	
10.858	Denali Commission Grants and Loans (B,E)	X	
10.859	Assistance to High Energy Cost Rural Communities (B,E)	X	
10.861	Public Television Station Digital Transition Grant Program (B)	X	
10.863	Community Connect Grant Program (B)	X	
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	X	
10.886	Rural Broadband Access Loans and Loan Guarantees (E,F)	X	
DEPARTMENT OF COMMERCE			
BUREAU OF THE CENSUS			
11.001	Census Bureau Data Products (L)		X
11.002	Census Customer Services (K,L,M)		X
11.003	Census Geography (J,L)		X
11.004	Census Intergovernmental Services (K,L,M)		X
11.005	Census Special Tabulations and Services (J,L)		X
11.006	Personal Census Search (J)		X
ECONOMICS AND STATISTICS ADMINISTRATION			
11.025	Measures and Analyses of the U.S. Economy (L)		X
11.026	STAT-USA: Key Business, Economic, and International Trade Information (L)		X
INTERNATIONAL TRADE ADMINISTRATION			
11.106	Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations (J,N)		X
11.108	Commercial Service (K)		X
11.110	Manufacturing and Services (K)		X
11.111	Foreign-Trade Zones in the United States (J)		X
11.112	Market Development Cooperator Program (B)	X	
11.113	ITA Special Projects (B)	X	
BUREAU OF EXPORT ADMINISTRATION			
11.150	Export Licensing Service and Information (K)		X
ECONOMIC DEVELOPMENT ADMINISTRATION			
11.300	Investments for Public Works and Economic Development Facilities (B)	X	
11.302	Economic Development_Support for Planning Organizations (B)	X	
11.303	Economic Development_Technical Assistance (B)	X	
11.307	Economic Adjustment Assistance (B)	X	
11.312	Research and Evaluation Program (B)	X	
11.313	Trade Adjustment Assistance for Firms (B)	X	
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	X	
11.405	Anadromous Fish Conservation Act Program (B)	X	
11.407	Interjurisdictional Fisheries Act of 1986 (A)	X	
11.408	Fishermen's Contingency Fund (D)	X	
11.413	Fishery Products Inspection and Certification (J)		X
11.415	Fisheries Finance Program (E)	X	
11.417	Sea Grant Support (B)	X	
11.419	Coastal Zone Management Administration Awards (A,B)	X	
11.420	Coastal Zone Management Estuarine Research Reserves (B)	X	
11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)	X	
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	X	
11.429	Marine Sanctuary Program (B)	X	
11.430	Undersea Research (B)	X	
11.431	Climate and Atmospheric Research (B)	X	
11.432	Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (B)	X	
11.433	Marine Fisheries Initiative (B)	X	
11.434	Cooperative Fishery Statistics (B)	X	
11.435	Southeast Area Monitoring and Assessment Program (B)	X	
11.436	Columbia River Fisheries Development Program (B)	X	
11.437	Pacific Fisheries Data Program (B)	X	
11.438	Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (B)	X	
11.439	Marine Mammal Data Program (B)	X	
11.440	Environmental Sciences, Applications, Data, and Education (B)	X	
11.441	Regional Fishery Management Councils (B)	X	
11.443	Short Term Climate Fluctuations (B)	X	

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Program Description		Financial	Non-Financial
11.444	Hawaii Sustainable Fisheries Development (Aquaculture Program) (B)	X	
11.445	Hawaii Stock Management Program (B)	X	
11.449	Independent Education and Science Projects and Programs (B)	X	
11.450	Automated Flood Warning Systems (AFWS) (B)	X	
11.452	Unallied Industry Projects (B)	X	
11.454	Unallied Management Projects (B)	X	
11.455	Cooperative Science and Education Program (B)	X	
11.457	Chesapeake Bay Studies (B)	X	
11.459	Weather and Air Quality Research (B)	X	
11.460	Special Oceanic and Atmospheric Projects (B)	X	
11.462	Hydrologic Research (B)	X	
11.463	Habitat Conservation (B)	X	
11.467	Meteorologic and Hydrologic Modernization Development (B,D,L,M)	X	X
11.468	Applied Meteorological Research (B)	X	
11.469	Congressionally Identified Awards and Projects (B)	X	
11.472	Unallied Science Program (B)	X	
11.473	Coastal Services Center (B)	X	
11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)	X	
11.477	Fisheries Disaster Relief (B)	X	
11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)	X	
11.480	National Ocean Service Intern Program (B)	X	
11.481	Educational Partnership Program (B)	X	
NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION			
11.550	Public Telecommunications Facilities Planning and Construction (B)	X	
11.553	Special Projects (B)	X	
11.554	Low-Power Television and Translator Digital-to-Analog Conversion (B)	X	
11.555	Public Safety Interoperable Communications Grant Program (B)	X	
11.556	TV Converter Box Coupon Program (C)	X	
NATIONAL INSTITUTE FOR STANDARDS AND TECHNOLOGY			
11.601	Calibration Program (J)		X
11.603	National Standard Reference Data System (H)		X
11.604	Standard Reference Materials (H)		X
11.606	Weights and Measures Service (J,K,L,M)		X
11.609	Measurement and Engineering Research and Standards (B)	X	
11.610	National Center for Standards and Certification Information (L)		X
11.611	Manufacturing Extension Partnership (B,L)	X	X
11.612	Advanced Technology Program (B)	X	
11.616	Technology Innovation Program (TIP) (B)	X	
11.617	Congressionally-Identified Projects (B)	X	
11.618	National Institute of Standards and Technology Construction Grant Program (B)	X	
NATIONAL TECHNICAL INFORMATION SERVICE			
11.650	National Technical Information Service (L)		X
OFFICE OF THE SECRETARY			
11.702	Internship Program for Postsecondary Students (B)	X	
11.900	Patent and Trademark Technical Information Dissemination (L)		X
MINORITY BUSINESS DEVELOPMENT AGENCY			
11.800	Minority Business Enterprise Centers (B)	X	
11.801	Native American Business Enterprise Centers (B)	X	
11.803	Minority Business Opportunity Center (MBOC) (B)	X	
DEPARTMENT OF DEFENSE			
DEFENSE LOGISTICS AGENCY			
12.002	Procurement Technical Assistance For Business Firms (B)	X	
12.614	Community Economic Adjustment Diversification Planning (B)	X	
DEPARTMENT OF THE ARMY, OFFICE OF THE CHIEF OF ENGINEERS			
12.100	Aquatic Plant Control (J,L)		X
12.101	Beach Erosion Control Projects (J)		X
12.102	Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (J)		X
12.103	Emergency Operations Flood Response and Post Flood Response (J)		X
12.104	Flood Plain Management Services (K,L)		X
12.105	Protection of Essential Highways, Highway Bridge Approaches, and Public Works (J)		X
12.106	Flood Control Projects (J)		X
12.107	Navigation Projects (J)		X
12.108	Snagging and Clearing for Flood Control (J)		X
12.109	Protection, Clearing and Straightening Channels (J)		X
12.110	Planning Assistance to States (J)		X
12.111	Emergency Advance Measures for Flood Prevention (J)		X
12.112	Payments to States in Lieu of Real Estate Taxes (A)	X	
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services (B)	X	
12.114	Collaborative Research and Development (B)	X	

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Program Description		Financial	Non-Financial
12.116	Department of Defense Appropriation Act of 2003 (J)		X
DEPARTMENT OF THE ARMY, AVIATION APPLIED TECHNOLOGY DIRECTORATE			
12.404	National Guard Civilian Youth Opportunities (A)	X	
DEPARTMENT OF THE NAVY, OFFICE OF THE CHIEF OF NAVAL RESEARCH			
12.300	Basic and Applied Scientific Research (B)	X	
12.350	Department of Defense HIV/AIDS Prevention Program (B)	X	
NATIONAL GUARD BUREAU			
12.400	Military Construction, National Guard (B)	X	
12.401	National Guard Military Operations and Maintenance (O&M) Projects (B)	X	
U.S. ARMY MEDICAL COMMAND			
12.420	Military Medical Research and Development (B)	X	
U.S. ARMY MATERIEL COMMAND			
12.431	Basic Scientific Research (B)	X	
OFFICE OF THE ASSISTANT SECRETARY (STRATEGY AND REQUIREMENTS)			
12.550	National Flagship Language Program Grants To U.S. Institutions Of Higher Education (B)	X	
12.551	National Security Education Program David L. Boren Scholarships (B)	X	
12.552	National Security Education Program David L. Boren Fellowships (B)	X	
12.553	National Flagship Language Program Fellowships (B)	X	
12.554	English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)	X	
12.555	English for Heritage Language Speakers Scholarships (B)	X	
OFFICE OF ECONOMIC ADJUSTMENT			
12.607	Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)	X	
12.610	Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)	X	
12.611	Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment (B)	X	
OFFICE OF THE SECRETARY OF DEFENSE			
12.351	Basic Scientific Research - Combating Weapons of Mass Destruction (B)	X	
12.352	Scientific Research - Combating Weapons of Mass Destruction (B)	X	
12.360	Research on Chemical and Biological Defense (B)	X	
12.630	Basic, Applied, and Advanced Research in Science and Engineering (B)	X	
SECRETARIES OF MILITARY DEPARTMENTS			
12.700	Donations/Loans of Obsolete DOD Property (I)		X
DEPARTMENT OF THE AIR FORCE, MATERIEL COMMAND			
12.800	Air Force Defense Research Sciences Program (B)	X	
NATIONAL SECURITY AGENCY			
12.900	Language Grant Program (B)	X	
12.901	Mathematical Sciences Grants Program (B)	X	
12.902	Information Security Grant Program (B)	X	
ADVANCED RESEARCH PROJECTS AGENCY			
12.910	Research and Technology Development (B)	X	
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			
OFFICE OF HOUSING-FEDERAL HOUSING COMMISSIONER			
14.103	Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (C,F)	X	
14.108	Rehabilitation Mortgage Insurance (F)	X	
14.110	Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)	X	
14.112	Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)	X	
14.117	Mortgage Insurance_Homes (F)	X	
14.119	Mortgage Insurance_Homes for Disaster Victims (F)	X	
14.122	Mortgage Insurance_Homes in Urban Renewal Areas (F)	X	
14.123	Mortgage Insurance_Housing in Older, Declining Areas (F)	X	
14.126	Mortgage Insurance_Cooperative Projects (F)	X	
14.127	Mortgage Insurance_Manufactured Home Parks (F)	X	
14.128	Mortgage Insurance_Hospitals (F)	X	
14.129	Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)	X	
14.133	Mortgage Insurance_Purchase of Units in Condominiums (F)	X	
14.134	Mortgage Insurance_Rental Housing (F)	X	
14.135	Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	X	
14.138	Mortgage Insurance_Rental Housing for the Elderly (F)	X	
14.139	Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)	X	
14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X	
14.149	Rent Supplements_Rental Housing for Lower Income Families (C)	X	
14.151	Supplemental Loan Insurance_Multifamily Rental Housing (F)	X	
14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	X	
14.157	Supportive Housing for the Elderly (C)	X	
14.159	Section 245 Graduated Payment Mortgage Program (F)	X	

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Program Description		Financial	Non-Financial
14.162	Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)	X	
14.163	Mortgage Insurance_Single Family Cooperative Housing (F)	X	
14.164	Operating Assistance for Troubled Multifamily Housing Projects (C)	X	
14.167	Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d) (F)	X	
14.168	Land Sales_Certain Subdivided Land (L,N)		X
14.169	Housing Counseling Assistance Program (B)	X	
14.171	Manufactured Home Construction and Safety Standards (L,N)		X
14.172	Mortgage Insurance_Growing Equity Mortgages (F)	X	
14.175	Adjustable Rate Mortgages (F)	X	
14.181	Supportive Housing for Persons with Disabilities (C)	X	
14.183	Home Equity Conversion Mortgages (F)	X	
14.184	Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)	X	
14.188	Housing Finance Agencies (HFA) Risk Sharing (F)	X	
14.189	Qualified Participating Entities (QPE) Risk Sharing (F)	X	
14.191	Multifamily Housing Service Coordinators (B)	X	
14.195	Section 8 Housing Assistance Payments Program_Special Allocations (C)	X	
14.197	Multifamily Assisted Housing Reform and Affordability Act (C)	X	
14.198	Good Neighbor Next Door Sales Program (H)		X
14.199	Multifamily Property Disposition (H)		X
14.311	Single Family Property Disposition (H)		X
14.313	Dollar Home Sales (H)		X
14.314	Assisted Living Conversion for Eligible Multifamily Housing Projects (B)	X	
14.315	Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)	X	
14.316	Housing Counseling Training Program (B)	X	
14.515	Alaska Native/Native Hawaiian Institutions Assisting Communities (B)	X	
14.876	Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (B)	X	
14.877	Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)	X	
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT			
14.218	Community Development Block Grants/Entitlement Grants (A)	X	
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	X	
14.227	Community Development Block Grants/Technical Assistance Program (B)	X	
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)	X	
14.231	Emergency Shelter Grants Program (A)	X	
14.235	Supportive Housing Program (B,C)	X	
14.238	Shelter Plus Care (B)	X	
14.239	Home Investment Partnerships Program (A)	X	
14.241	Housing Opportunities for Persons with AIDS (A,B)	X	
14.244	Empowerment Zones Program (B,C)	X	
14.246	Community Development Block Grants/Brownfields Economic Development Initiative (B)	X	
14.247	Self-Help Homeownership Opportunity Program (B)	X	
14.248	Community Development Block Grants_Section 108 Loan Guarantees (F)	X	
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)	X	
14.250	Rural Housing and Economic Development (B)	X	
14.251	Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)	X	
14.252	Section 4 Capacity Building for Affordable Housing and Community Development Grants (B)	X	
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY			
14.400	Equal Opportunity in Housing (N)		X
14.401	Fair Housing Assistance Program_State and Local (B)	X	
14.402	Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (N)		X
14.404	Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (N)		X
14.405	Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color, or National Origin) (N)		X
14.406	Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (N)		X
14.407	Architectural Barriers Act Enforcement (N)		X
14.408	Fair Housing Initiatives Program (B)	X	
14.412	Employment Opportunities for Lower Income Persons and Businesses (N)		X
14.414	Non-Discrimination on the Basis of Disability by Public Entities (N)		X
14.415	Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (N)		X
OFFICE OF POLICY DEVELOPMENT AND RESEARCH			
14.506	General Research and Technology Activity (B)	X	
14.514	Hispanic-Serving Institutions Assisting Communities (B)	X	
14.516	Doctoral Dissertation Research Grants (B)	X	
14.517	Early Doctoral Student Research Grants (B)	X	
14.519	Tribal Colleges and Universities Program (B)	X	
14.520	Historically Black Colleges and Universities Program (B)	X	
OFFICE OF PUBLIC AND INDIAN HOUSING			
14.850	Public and Indian Housing (C)	X	
14.856	Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)	X	
14.862	Indian Community Development Block Grant Program (B)	X	
14.865	Public and Indian Housing_Indian Loan Guarantee Program (F)	X	
14.866	Demolition and Revitalization of Severely Distressed Public Housing (B)	X	
14.867	Indian Housing Block Grants (A)	X	
14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)	X	

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Program Description		Financial	Non-Financial
14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)	X	
14.871	Section 8 Housing Choice Vouchers (C)	X	
14.872	Public Housing Capital Fund (A)	X	
14.873	Native Hawaiian Housing Block Grants (B)	X	
14.874	Loan Guarantees for Native Hawaiian Housing (F)	X	
14.875	Public Housing Neighborhood Networks Grants (B)	X	
14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)	X	
14.879	Mainstream Vouchers (C)	X	
14.880	Family Unification Program (FUP) (C)	X	
14.881	Moving to Work Demonstration Program (A)	X	
OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL			
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	X	
14.901	Healthy Homes Demonstration Grants (B)	X	
14.902	Lead Technical Studies Grants (B)	X	
14.903	Operation Lead Elimination Action Program (B)	X	
14.904	Lead Outreach Grants (B)	X	
14.905	Lead Hazard Reduction Demonstration Grant Program (B)	X	
14.906	Healthy Homes Technical Studies Grants (B)	X	
DEPARTMENT OF THE INTERIOR			
BUREAU OF INDIAN AFFAIRS			
15.020	Aid To Tribal Governments (C)	X	
15.021	Consolidated Tribal Government Program (C)	X	
15.022	Tribal Self-Governance (C)	X	
15.024	Indian Self-Determination Contract Support (C)	X	
15.025	Services to Indian Children, Elderly and Families (C)	X	
15.026	Indian Adult Education (C)	X	
15.027	Assistance to Tribally Controlled Community Colleges and Universities (B)	X	
15.028	Tribally Controlled Community College Endowments (B)	X	
15.029	Tribal Courts (C)	X	
15.030	Indian Law Enforcement (C)	X	
15.031	Indian Community Fire Protection (C)	X	
15.032	Indian Economic Development (C)	X	
15.033	Road Maintenance Indian Roads (C)	X	
15.034	Agriculture on Indian Lands (C,J,K)	X	X
15.035	Forestry on Indian Lands (C,J,K)	X	X
15.036	Indian Rights Protection (C)	X	
15.037	Water Resources on Indian Lands (C,J,K)	X	X
15.038	Minerals and Mining on Indian Lands (C,J,L)	X	X
15.040	Real Estate Programs Indian Lands (C)	X	
15.041	Environmental Management Indian Programs (C)	X	
15.042	Indian School Equalization Program (C)	X	
15.043	Indian Child and Family Education (B,M)	X	X
15.044	Indian Schools Student Transportation (C)	X	
15.045	Assistance for Indian Children with Severe Disabilities (C)	X	
15.046	Administrative Cost Grants for Indian Schools (B)	X	
15.047	Indian Education Facilities, Operations, and Maintenance (C)	X	
15.048	Bureau of Indian Affairs Facilities Operations and Maintenance (C)	X	
15.051	Endangered Species on Indian Lands (C,K,L)	X	X
15.052	Litigation Support for Indian Rights (C)	X	
15.053	Attorney Fees Indian Rights (C)	X	
15.055	Alaskan Indian Allotments and Subsistence Preference Alaska National Interest Lands Conservation Act (C)	X	
15.057	Navajo-Hopi Indian Settlement Program (C,J)	X	X
15.058	Indian Post Secondary Schools (M)	X	X
15.059	Indian Graduate Student Scholarships (B)	X	
15.060	Indian Vocational Training United Tribes Technical College (D,M)	X	X
15.061	Indian Job Placement United Sioux Tribes Development Corporation (D,K)	X	X
15.062	Replacement and Repair of Indian Schools (C)	X	
15.063	Improvement and Repair of Indian Detention Facilities (C)	X	
15.064	Structural Fire Protection Bureau of Indian Affairs Facilities (C)	X	
15.065	Safety of Dams on Indian Lands (C)	X	
15.108	Indian Employment Assistance (C)	X	
15.113	Indian Social Services Welfare Assistance (C)	X	
15.114	Indian Education Higher Education Grant Program (B)	X	
15.124	Indian Loans Economic Development (F)	X	
15.130	Indian Education Assistance to Schools (C)	X	
15.141	Indian Housing Assistance (B,L)	X	X
15.144	Indian Child Welfare Act Title II Grants (B)	X	
15.146	Ironworker Training Program (B)	X	
15.147	Tribal Courts Trust Reform Initiative (B)	X	
15.133	Native American Business Development Institute (A)	X	
BUREAU OF LAND MANAGEMENT			
15.214	Non-Sale Disposals of Mineral Material (H)		X
15.222	Cooperative Inspection Agreements with States and Tribes (B)	X	

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Program Description		Financial	Non-Financial
15.224	Cultural Resource Management (B,H,I,J,K,L,M,N)	X	X
15.225	Recreation Resource Management (B,I,J,K,L,M)	X	X
15.226	Payments in Lieu of Taxes (C,D)	X	
15.227	Distribution of Receipts to State and Local Governments (C,D)	X	
15.228	National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	X
15.229	Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	X
15.230	Invasive and Noxious Plant Management (B,K,M)	X	X
15.231	Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)	X	X
15.232	Wildland Fire Research and Studies Program (B)	X	
15.233	Forests and Woodlands Resource Management (B,K,M)	X	X
15.234	Secure Rural Schools and Community Self-Determination (B)	X	
15.235	Southern Nevada Public Land Management (B)	X	
15.236	Environmental Quality and Protection Resource Management (B,K,L,M)	X	X
15.237	Rangeland Resource Management (B,K,M)	X	X
15.238	Challenge Cost Share (B,I,K,M)	X	X
15.239	Management Initiatives (B,I,K,M)	X	X
15.240	Helium Resource Management (B)	X	
15.242	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	X	X
OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT			
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	X	
15.252	Abandoned Mine Land Reclamation (AMLR) Program (A,B)	X	
15.253	Not-for-Profit AMD Reclamation (B)	X	
15.254	Summer Watershed Intern (C)	X	
15.255	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)	X	
BUREAU OF RECLAMATION			
15.504	Water Reclamation and Reuse Program (A)	X	
15.506	Water Desalination Research and Development Program (B)	X	
15.507	Water 2025 (B)	X	
15.508	Providing Water to At-Risk Natural Desert Terminal Lakes (B)	X	
15.509	Colorado River Basin Salinity Control Program (B)	X	
15.510	Colorado Ute Indian Water Rights Settlement Act (B)	X	
15.511	Cultural Resources Management (B)	X	
15.512	Central Valley Project Improvement Act, Title XXXIV (B)	X	
15.513	Dutch John Federal Property and Disposition Assistance Act (B)	X	
15.514	Reclamation States Emergency Drought Relief (B,J,K)	X	X
15.515	Federal Resource Protection (B)	X	
15.516	Fort Peck Reservation Rural Water System (B)	X	
15.517	Fish and Wildlife Coordination Act (B)	X	
15.518	Garrison Diversion Unit (B)	X	
15.519	Indian Tribal Water Resources Development, Management, and Protection (B)	X	
15.520	Lewis and Clark Rural Water System (B)	X	
15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	X	
15.522	Mni Wiconi Rural Water Supply Project (B)	X	
15.523	Perkins County Rural Water System (B)	X	
15.524	Recreation Resources Management (B)	X	
15.525	Rocky Boy's/North Central Montana Regional Water System (B)	X	
15.526	San Gabriel Basin Restoration Project (B)	X	
15.527	San Luis Unit, Central Valley Project (B)	X	
15.528	St. Mary Storage Unit Facilities Rehabilitation Project (B)	X	
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)	X	
15.530	Water Conservation Field Services Program (WCFSP) (B)	X	
15.531	Yakima River Basin Water Enhancement Project (YRBWEP) (B)	X	
15.532	Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)	X	
15.533	California Water Security and Environmental Enhancement (B)	X	
15.534	Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)	X	
FISH AND WILDLIFE SERVICE			
15.602	Conservation Law Enforcement Training Assistance (M)		X
15.605	Sport Fish Restoration Program (A)	X	
15.608	Fish and Wildlife Management Assistance (J)		X
15.611	Wildlife Restoration (A)	X	
15.614	Coastal Wetlands Planning, Protection and Restoration Act (B)	X	
15.615	Cooperative Endangered Species Conservation Fund (B)	X	
15.616	Clean Vessel Act (B)	X	
15.619	Rhinoceros and Tiger Conservation Fund (B)	X	
15.620	African Elephant Conservation Fund (B)	X	
15.621	Asian Elephant Conservation Fund (B)	X	
15.622	Sportfishing and Boating Safety Act (B)	X	
15.623	North American Wetlands Conservation Fund (B)	X	
15.625	Wildlife Conservation and Restoration (A)	X	
15.626	Hunter Education and Safety Program (A)	X	
15.628	Multistate Conservation Grant Program (B)	X	
15.629	Great Apes Conservation Fund (B)	X	
15.630	Coastal Program (B)	X	
15.631	Partners for Fish and Wildlife (C)	X	
15.632	Conservation Grants Private Stewardship for Imperiled Species (B)	X	

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Program Description		Financial	Non-Financial
15.633	Landowner Incentive Program (B)	X	
15.634	State Wildlife Grants (A,B)	X	
15.635	Neotropical Migratory Bird Conservation (B)	X	
15.636	Alaska Subsistence Management (B)	X	
15.637	Migratory Bird Joint Ventures (B)	X	
15.638	Tribal Landowner Incentive Program (B)	X	
15.639	Tribal Wildlife Grants Program (B)	X	
15.640	Wildlife Without Borders- Latin America and the Caribbean (B)	X	
15.641	Wildlife Without Borders-Mexico (B)	X	
15.642	Challenge Cost Share (B)	X	
15.643	Alaska Migratory Bird Co-Management Council (B)	X	
15.644	Federal Junior Duck Stamp Conservation and Design (C,H)	X	X
15.645	Marine Turtle Conservation Fund (B)	X	
15.647	Migratory Bird Conservation (B,C)	X	
15.648	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (B)	X	
15.649	Service Training and Technical Assistance (Generic Training) (B)	X	
15.650	Research Grants (Generic) (B)	X	
15.651	Wildlife Without Borders-Africa Program (B)	X	
15.652	Undesirable/Noxious Plant Species (B)	X	
15.653	National Outreach and Communication Program (B)	X	
15.654	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (B)	X	
15.655	Migratory Bird Monitoring, Assessment and Conservation (B,C)	X	
GEOLOGICAL SURVEY			
15.805	Assistance to State Water Resources Research Institutes (A,B)	X	
15.807	Earthquake Hazards Reduction Program (B)	X	
15.808	U.S. Geological Survey Research and Data Collection (B)	X	
15.809	National Spatial Data Infrastructure Cooperative Agreements Program (B)	X	
15.810	National Cooperative Geologic Mapping Program (B)	X	
15.811	Gap Analysis Program (B)	X	
15.812	Cooperative Research Units Program (B)	X	
15.813	Cooperative Research Units Training Program (B)	X	
15.814	National Geological and Geophysical Data Preservation Program (B)	X	
15.815	National Land Remote Sensing Education Outreach and Research (B)	X	
15.816	Minerals Resources External Research Program (B)	X	
15.978	Upper Mississippi River System Long Term Resource Monitoring Program (B)	X	
INDIAN ARTS AND CRAFTS BOARD			
15.850	Indian Arts and Crafts Development (I,K,N)		X
OFFICE OF TERRITORIAL AND INTERNATIONAL AFFAIRS			
15.875	Economic, Social, and Political Development of the Territories (B)	X	
NATIONAL PARK SERVICE			
15.406	National Park Service Centennial Challenge. (A)	X	
15.407	Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	
15.904	Historic Preservation Fund Grants-In-Aid (A,B)	X	
15.912	National Historic Landmark (K)		X
15.914	National Register of Historic Places (K)		X
15.915	Technical Preservation Services (J,K,L)		X
15.916	Outdoor Recreation Acquisition, Development and Planning (B)	X	
15.918	Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (I)		X
15.921	Rivers, Trails and Conservation Assistance (K)		X
15.922	Native American Graves Protection and Repatriation Act (B)	X	
15.923	National Center for Preservation Technology and Training (B)	X	
15.926	American Battlefield Protection (B)	X	
15.927	Hydropower Recreation Assistance (K)		X
15.928	Civil War Battlefield Land Acquisition Grants (B)	X	
15.929	Save America's Treasures (B)	X	
15.930	Chesapeake Bay Gateways Network (B)	X	
15.931	Conservation Activities by Youth Service Organizations (B)	X	
MINERALS MANAGEMENT SERVICE			
15.421	Alaska Coastal Marine Institute (B)	X	
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	X	
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)	X	
15.424	Marine Minerals Activities (B)	X	
15.425	Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)	X	
15.426	Coastal Impact Assistance Program (CIAP) (A)	X	
15.427	Federal Oil and Gas Royalty Management (B)	X	
15.428	Marine Gas Hydrate Research Activities (B)	X	
DEPARTMENT OF JUSTICE			
DRUG ENFORCEMENT ADMINISTRATION			
16.001	Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis (J)		X
16.003	Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (L)		X
16.004	Law Enforcement Assistance_Narcotics and Dangerous Drugs Training (M)		X

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Program Description		Financial	Non-Financial
16.012	Alcohol, Tobacco, and Firearms Training Assistance (M)		X
16.750	Support for Adam Walsh Act Implementation Grant Program (B)	X	
CIVIL RIGHTS DIVISION			
16.100	Desegregation of Public Education (J)		X
16.101	Equal Employment Opportunity (J)		X
16.103	Fair Housing and Equal Credit Opportunity (J)		X
16.104	Protection of Voting Rights (J)		X
16.105	Civil Rights of Institutionalized Persons (J)		X
16.108	Americans With Disabilities Act Technical Assistance Program (B,L,M,N)	X	X
16.109	Civil Rights Prosecution (B)	X	
16.110	Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)	X	X
16.749	Radiation Exposure Compensation Program (B)	X	
COMMUNITY RELATIONS SERVICE			
16.200	Community Relations Service (J)		X
FEDERAL BUREAU OF INVESTIGATION			
16.300	Law Enforcement Assistance FBI Advanced Police Training (M)		X
16.301	Law Enforcement Assistance FBI Crime Laboratory Support (J,M)		X
16.302	Law Enforcement Assistance FBI Field Police Training (M)		X
16.303	Law Enforcement Assistance FBI Fingerprint Identification (J)		X
16.304	Law Enforcement Assistance National Crime Information Center (J)		X
16.305	Law Enforcement Assistance Uniform Crime Reports (L)		X
16.307	Combined DNA Index System (B)	X	
16.308	Indian Country Investigations (M)		X
16.309	Law Enforcement Assistance National Instant Criminal Background Check System (J)		X
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION			
16.523	Juvenile Accountability Block Grants (A,B)	X	
16.540	Juvenile Justice and Delinquency Prevention Allocation to States (A,B)	X	
16.541	Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	X
16.543	Missing Children's Assistance (B)	X	
16.547	Victims of Child Abuse (B)	X	
16.548	Title V Delinquency Prevention Program (A)	X	
16.726	Juvenile Mentoring Program (B)	X	
16.727	Enforcing Underage Drinking Laws Program (B)	X	
16.730	Reduction and Prevention of Children's Exposure to Violence (B)	X	
16.731	Tribal Youth Program (B)	X	
16.735	Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)	X	
16.737	Gang Resistance Education and Training (B)	X	
BUREAU OF JUSTICE STATISTICS			
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)	X	
16.554	National Criminal History Improvement Program (NCHIP) (B)	X	
16.734	Special Data Collections and Statistical Studies (B)	X	
16.739	National Prison Rape Statistics Program (B)	X	
NATIONAL INSTITUTE OF JUSTICE			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)	X	X
16.562	Criminal Justice Research and Development Graduate Research Fellowships (B)	X	
16.566	National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X	
16.741	Forensic DNA Backlog Reduction Program (A)	X	
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A)	X	
16.748	Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (A)	X	
BUREAU OF JUSTICE ASSISTANCE			
16.571	Public Safety Officers' Benefits Program (D)	X	
16.578	Federal Surplus Property Transfer Program (H)		X
16.579	Edward Byrne Memorial Formula Grant Program (A)	X	
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (B)	X	
16.597	Motor Vehicle Theft Protection Act Program (B)	X	
16.606	State Criminal Alien Assistance Program (D)	X	
16.607	Bulletproof Vest Partnership Program (C)	X	
16.608	Tribal Court Assistance Program (B)	X	
16.609	Community Prosecution and Project Safe Neighborhoods (B)	X	
16.610	Regional Information Sharing Systems (B)	X	
16.611	Closed-Circuit Televising of Child Victims of Abuse (B)	X	
16.612	National White Collar Crime Center (B)	X	
16.614	State and Local Anti-Terrorism Training (K,L,M)		X
16.615	Public Safety Officers' Educational Assistance (D)	X	
16.616	Indian Country Alcohol and Drug Prevention (B)	X	
16.738	Edward Byrne Memorial Justice Assistance Grant Program (A,B)	X	
16.740	Statewide Automated Victim Information Notification (SAVIN) Program (B)	X	
16.744	Anti-Gang Initiative (B)	X	
16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	X	
16.746	Capital Case Litigation (B)	X	
16.751	Edward Byrne Memorial Competitive Grant Program (B)	X	

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Program Description		Financial	Non-Financial
16.752	Economic High-Tech and Cyber Crime Prevention (B)	X	
16.753	Congressionally Recommended Awards (B)	X	
16.754	Harold Rogers Prescription Drug Monitoring Program (B)	X	
OFFICE OF VICTIMS OF CRIME			
16.320	Services for Trafficking Victims (B,C)	X	
16.321	Antiterrorism Emergency Reserve (B,C)	X	
16.575	Crime Victim Assistance (A)	X	
16.576	Crime Victim Compensation (A)	X	
16.582	Crime Victim Assistance/Discretionary Grants (B,C)	X	
16.583	Children's Justice Act Partnerships for Indian Communities (B,C)	X	
16.747	Crime Victims' Rights Act (B)	X	
DRUG COURT PROGRAM OFFICE			
16.585	Drug Court Discretionary Grant Program (B)	X	
CORRECTIONS PROGRAM OFFICE			
16.202	Prisoner Reentry Initiative Demonstration (Offender Reentry) (B)	X	
16.203	Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)	X	X
16.586	Violent Offender Incarceration and Truth in Sentencing Incentive Grants (A)	X	
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	X	
16.596	Correctional Grant Program for Indian Tribes (B)	X	
VIOLENCE AGAINST WOMEN OFFICE			
16.013	Violence Against Women Act Court Training and Improvement Grants (B)	X	
16.014	Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)	X	
16.016	Culturally and Linguistically Specific Services Program (B)	X	
16.017	Sexual Assault Services Program (A,B)	X	
16.019	Tribal Registry (B)	X	
16.524	Legal Assistance for Victims (B)	X	
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)	X	
16.526	OVW Technical Assistance Initiative (B)	X	
16.527	Supervised Visitation, Safe Havens for Children (B)	X	
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	X	
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	X	
16.556	Grants to State Sexual Assault and Domestic Violence Coalitions (A)	X	
16.557	Tribal Domestic Violence and Sexual Assault Coalitions Program (B)	X	
16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)	X	
16.588	Violence Against Women Formula Grants (A)	X	
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	X	
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders (B)	X	
16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)	X	
BUREAU OF PRISONS			
16.601	Corrections Training and Staff Development (B,J,L,M)	X	X
16.602	Corrections Research and Evaluation and Policy Formulation (B,J,L)	X	X
16.603	Corrections Technical Assistance/Clearinghouse (B,J,L)	X	X
OFFICE OF COMMUNITY ORIENTED POLICING SERVICES			
16.710	Public Safety Partnership and Community Policing Grants (B)	X	
DEPARTMENT OF LABOR			
BUREAU OF LABOR STATISTICS			
17.002	Labor Force Statistics (B,L)	X	X
17.003	Prices and Cost of Living Data (L)		X
17.004	Productivity and Technology Data (L)		X
17.005	Compensation and Working Conditions (B,L)	X	X
17.807	Transition Assistance Program (B)	X	
OFFICE OF LABOR-MANAGEMENT STANDARDS			
17.309	Labor Organization Reports (K,L,N)		X
EMPLOYEE BENEFITS SECURITY ADMINISTRATION			
17.150	Employee Benefits Security Administration (L)		X
EMPLOYMENT AND TRAINING ADMINISTRATION			
17.201	Registered Apprenticeship and Other Training (B,K)	X	X
17.207	Employment Service/Wagner-Peyser Funded Activities (A,B)	X	
17.225	Unemployment Insurance (A,D)	X	
17.235	Senior Community Service Employment Program (A,B)	X	
17.245	Trade Adjustment Assistance (B)	X	
17.258	WIA Adult Program (A)	X	
17.259	WIA Youth Activities (A)	X	
17.260	WIA Dislocated Workers (A,B)	X	
17.261	WIA Pilots, Demonstrations, and Research Projects (B)	X	
17.264	National Farmworker Jobs Program (A)	X	

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Program Description		Financial	Non-Financial
17.265	Native American Employment and Training (B)	X	
17.266	Work Incentive Grants (B)	X	
17.267	Incentive Grants - WIA Section 503 (A,B)	X	
17.268	H-1B Job Training Grants (B)	X	
17.269	Community Based Job Training Grants (B)	X	
17.270	Reintegration of Ex-Offenders (B)	X	
17.271	Work Opportunity Tax Credit Program (WOTC) (A)	X	
17.272	Permanent Labor Certification for Foreign Workers (J)		X
17.273	Temporary Labor Certification for Foreign Workers (A,J)	X	X
17.274	YOUTHBUILD (B)	X	
EMPLOYMENT STANDARDS ADMINISTRATION			
17.301	Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)		X
17.302	Longshore and Harbor Workers' Compensation (D)	X	
17.303	Wage and Hour Standards (K,N)		X
17.306	Consumer Credit Protection (K,N)		X
17.307	Coal Mine Workers' Compensation (D)	X	
17.308	Farm Labor Contractor Registration (K,N)		X
17.310	Energy Employees Occupational Illness Compensation (D)	X	
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION			
17.502	Occupational Safety and Health_Susan Harwood Training Grants (B)	X	
17.503	Occupational Safety and Health_State Program (B)	X	
17.504	Consultation Agreements (B)	X	
17.505	OSHA Data Initiative (B)	X	
MINE SAFETY AND HEALTH ADMINISTRATION			
17.600	Mine Health and Safety Grants (B)	X	
17.601	Mine Health and Safety Counseling and Technical Assistance (K,L)		X
17.602	Mine Health and Safety Education and Training (M)		X
17.603	Brookwood-Sago Grant (B)	X	
17.604	Safety and Health Grants (B)	X	
OFFICE OF THE SECRETARY, WOMEN'S BUREAU			
17.700	Women's Bureau (K)		X
OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING			
17.801	Disabled Veterans' Outreach Program (DVOP) (A)	X	
17.802	Veterans' Employment Program (B)	X	
17.803	Uniformed Services Employment and Reemployment Rights (K,L,N)		X
17.804	Local Veterans' Employment Representative Program (A)	X	
17.805	Homeless Veterans Reintegration Project (B)	X	
17.806	Veteran's Preference in Federal Employment (O)		X
Office of Disability Employment Policy			
17.720	Disability Employment Policy Development (B)	X	
DEPARTMENT OF STATE			
19.430	International Education Training and Research (B)	X	
BUREAU OF OCEANS AND INTERNATIONAL ENVIRONMENTAL AND SCIENTIFIC AFFAIRS			
19.204	Fishermen's Guaranty Fund (G)	X	
BUREAU OF INTELLIGENCE AND RESEARCH			
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)	X	
BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS			
19.400	Educational Exchange_Graduate Students (B)	X	
19.401	Educational Exchange_University Lecturers (Professors) and Research Scholars (B)	X	
19.402	International Visitors Program (B)	X	
19.403	American Council of Young Political Leaders (B)	X	
19.408	Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators (B)	X	
19.409	Arts Exchanges on International Issues (B)	X	
19.410	Educational Exchange_Congress-Bundestag Youth Exchange (B)	X	
19.415	Professional Exchanges_Annual Open Grant (B)	X	
19.418	Educational Exchange, American Studies Institute (B)	X	
19.421	Exchange_English Language Fellow Program (B)	X	
19.423	Exchange_English Language Specialist/Speaker Program (B)	X	
19.425	Benjamin Gilman International Scholarship (C)	X	
19.431	Educational Exchange_Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (B)	X	
19.432	Overseas Educational Advising (B)	X	
BUREAU OF NEAR EASTERN AFFAIRS			
19.500	Middle East Partnership Initiative (MEPI) (B)	X	
BUREAU OF POPULATION, REFUGEES, AND MIGRATION			
19.510	U.S. Refugee Admissions Program (B)	X	

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Program Description		Financial	Non-Financial
19.511	Overseas Refugee Assistance Programs for East Asia (B)	X	
19.517	Overseas Refugee Assistance Programs for Africa (B)	X	
19.518	Overseas Refugee Assistance Programs for Western Hemisphere (B)	X	
19.519	Overseas Refugee Assistance Program for Near East and South Asia (B)	X	
19.520	Overseas Refugee Assistance Programs for Europe (B)	X	
19.522	Overseas Refugee Assistance Programs for Strategic Global Priorities (B)	X	
DEPARTMENT OF TRANSPORTATION			
20.233	Border Enforcement Grants (B)	X	
20.607	Alcohol Open Container Requirements (B)	X	
20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)	X	
20.721	PHMSA Pipeline Safety Program One Call Grant (B)	X	
20.760	University Transportation Centers (B)	X	
20.761	Biobased Transportation Research (B)	X	
20.762	Research Grants (B)	X	
20.100	Aviation Education (K)		X
20.106	Airport Improvement Program (B,K)	X	X
20.108	Aviation Research Grants (B,I)	X	X
20.109	Air Transportation Centers of Excellence (B,I,J)	X	X
FEDERAL HIGHWAY ADMINISTRATION			
20.200	Highway Research and Development Program (B)	X	
20.205	Highway Planning and Construction (A,B)	X	
20.215	Highway Training and Education (B,M)	X	X
20.219	Recreational Trails Program (A,B)	X	
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)	X	
20.240	Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)	X	
FEDERAL RAILROAD ADMINISTRATION			
20.301	Railroad Safety (N)		X
20.303	Grants-in-Aid for Railroad Safety State Participation (B)	X	
20.312	High Speed Ground Transportation Next Generation High Speed Rail Program (B)	X	
20.313	Railroad Research and Development (B)	X	
20.314	Railroad Development (B)	X	
20.315	National Railroad Passenger Corporation Grants (B)	X	
20.316	Railroad Rehabilitation and Improvement Financing Program (E)	X	
20.317	Capital Assistance to States - Intercity Passenger Rail Service (B)	X	
20.318	Maglev Project Selection Program - SAFETEA-LU (B)	X	
FEDERAL TRANSIT ADMINISTRATION			
20.500	Federal Transit Capital Investment Grants (A,B)	X	
20.505	Federal Transit Metropolitan Planning Grants (A)	X	
20.507	Federal Transit Formula Grants (A)	X	
20.509	Formula Grants for Other Than Urbanized Areas (A)	X	
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)	X	
20.514	Public Transportation Research (B,L,M)	X	X
20.515	State Planning and Research (A)	X	
20.516	Job Access Reverse Commute (B)	X	
20.518	Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)	X	
20.519	Clean Fuels (B)	X	
20.521	New Freedom Program (A)	X	
20.522	Alternatives Analysis (B)	X	
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION			
20.600	State and Community Highway Safety (A)	X	
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (B)	X	
20.602	Occupant Protection (B)	X	
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (B)	X	
20.609	Safety Belt Performance Grants (B)	X	
20.610	State Traffic Safety Information System Improvement Grants (B)	X	
20.611	Incentive Grant Program to Prohibit Racial Profiling (B)	X	
20.612	Incentive Grant Program to Increase Motorcyclist Safety (B)	X	
20.613	Child Safety and Child Booster Seats Incentive Grants (B)	X	
20.614	National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (B)	X	
RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION			
20.701	University Transportation Centers Program (B)	X	
20.704	RITA Hydrogen (B)	X	
20.764	Hydrogen Storage Research and Development (B)	X	
MARITIME ADMINISTRATION			
20.763	Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (B)	X	
20.802	Federal Ship Financing Guarantees (F)	X	
20.803	Maritime War Risk Insurance (G)	X	
20.806	State Maritime Schools (C,I)	X	X
20.807	U.S. Merchant Marine Academy (M)		X
20.808	Capital Construction Fund (C)	X	

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Program Description		Financial	Non-Financial
20.810	Supplementary Training (M)		X
20.812	Construction Reserve Fund (C)	X	
20.813	Maritime Security Fleet Program (C)	X	
20.814	Assistance to Small Shipyards (C)	X	
OFFICE OF THE SECRETARY			
20.900	Transportation_Consumer Affairs (N)		X
20.901	Payments for Essential Air Services (C)	X	
20.904	Bonding Assistance Program (G)	X	
20.905	Disadvantaged Business Enterprises_Short Term Lending Program (F)	X	
20.910	Assistance to small and disadvantaged businesses (B)	X	
20.930	Payments for Small Community Air Service Development (B)	X	
20.931	Transportation Planning, Research and Education (B)	X	
PIPELINE AND HAZARDOUS MATERIAL SAFETY ADMINISTRATION			
20.700	Pipeline Safety Program Base Grants (A)	X	
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)	X	
20.720	State Damage Prevention Program Grants (B)	X	
FEDERAL MOTOR CARRIER SAFETY			
20.218	National Motor Carrier Safety (A)	X	
20.231	Performance and Registration Information Systems Management (A)	X	
20.232	Commercial Driver License State Programs (B)	X	
20.234	Safety Data Improvement Program (B)	X	
20.235	Commercial Motor Vehicle Operator Training Grants (B)	X	
20.236	Thermal Imaging Inspection System Demonstration Project (B)	X	
20.237	Safety Data Improvement Program (B)	X	
20.238	Commercial Drivers License Information System (B)	X	
DEPARTMENT OF THE TREASURY			
INTERNAL REVENUE SERVICE			
21.003	Taxpayer Service (K)		X
21.004	Exchange of Federal Tax Information With State Tax Agencies (J)		X
21.006	Tax Counseling for the Elderly (B)	X	
21.008	Low-Income Taxpayer Clinics (B)	X	
UNDER SECRETARY FOR DOMESTIC FINANCE			
21.020	Community Development Financial Institutions Program (B)	X	
21.021	Bank Enterprise Award Program (B)	X	
APPALACHIAN REGIONAL COMMISSION			
23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)	X	
23.002	Appalachian Area Development (B)	X	
23.003	Appalachian Development Highway System (B)	X	
23.009	Appalachian Local Development District Assistance (B)	X	
23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	
OFFICE OF PERSONNEL MANAGEMENT			
27.001	Federal Civil Service Employment (O)		X
27.002	Federal Employment Assistance for Veterans (O)		X
27.003	Federal Student Temporary Employment Program (O)		X
27.005	Federal Employment for Individuals With Disabilities (O)		X
27.006	Federal Summer Employment (O)		X
27.011	Intergovernmental Personnel Act (IPA) Mobility Program (J,K)		X
27.013	Presidential Management Intern Program (J,M,O)		X
COMMISSION ON CIVIL RIGHTS			
29.001	Clearinghouse Services, Civil Rights Discrimination Complaints (L)		X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION			
30.001	Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N)		X
30.002	Employment Discrimination_State and Local Fair Employment Practices Agency Contracts (C)	X	
30.005	Employment Discrimination_Private Bar Program (J)		X
30.008	Employment Discrimination_Age Discrimination in Employment (K,N)		X
30.009	Employment Discrimination Project Contracts_Indian Tribes (C)	X	
30.010	Employment Discrimination Equal Pay Act (K,N)		X
30.011	Employment Discrimination_Title I of The Americans with Disabilities Act (K,N)		X
FEDERAL COMMUNICATIONS COMMISSION			
32.001	Communications Information and Assistance and Investigation of Complaints (L,N)		X
FEDERAL MARITIME COMMISSION			
33.001	Shipping_Dispute Resolution and Investigation of Complaints (N)		X

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Program Description		Financial	Non-Financial
FEDERAL MEDIATION AND CONCILIATION SERVICE			
34.001	Labor Mediation and Conciliation (J,K)		X
FEDERAL TRADE COMMISSION			
36.001	Fair Competition Counseling and Investigation of Complaints (K,N)		X
GENERAL SERVICES ADMINISTRATION			
39.002	Disposal of Federal Surplus Real Property (H)		X
39.003	Donation of Federal Surplus Personal Property (B)	X	
39.009	Federal Citizen Information Center (L)		X
39.012	Public Buildings Service (J)		X
GOVERNMENT PRINTING OFFICE			
40.001	Depository Libraries for Government Publications (L)		X
40.002	Government Publications Sales and Distribution (H,L)		X
LIBRARY OF CONGRESS			
42.001	Books for the Blind and Physically Handicapped (I)		X
42.002	Copyright Service (L)		X
42.008	Semiconductor Chip Protection Service (L)		X
42.009	Vessel Hull Design Protection Service (L)		X
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			
43.001	Aerospace Education Services Program (L)		X
43.002	Technology Transfer (L)		X
NATIONAL CREDIT UNION ADMINISTRATION			
44.001	Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)	X	X
44.002	Community Development Revolving Loan Fund Program for Credit Unions (E)	X	
NATIONAL ENDOWMENT FOR THE ARTS			
45.024	Promotion of the Arts_Grants to Organizations and Individuals (B)	X	
45.025	Promotion of the Arts_Partnership Agreements (A,B,K)	X	X
NATIONAL ENDOWMENT FOR THE HUMANITIES			
45.129	Promotion of the Humanities_Federal/State Partnership (A)	X	
45.130	Promotion of the Humanities_Challenge Grants (B)	X	
45.149	Promotion of the Humanities_Division of Preservation and Access (B)	X	
45.160	Promotion of the Humanities_Fellowships and Stipends (B)	X	
45.161	Promotion of the Humanities_Research (B)	X	
45.162	Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)	X	
45.163	Promotion of the Humanities_Professional Development (B)	X	
45.164	Promotion of the Humanities_Public Programs (B)	X	
45.168	Promotion of the Humanities_We the People (B,D)	X	
45.169	Promotion of the Humanities_Office of Digital Humanities (B)	X	
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES			
45.201	Arts and Artifacts Indemnity (G)	X	
INSTITUTE OF MUSEUM AND LIBRARY SERVICES			
45.301	Museums for America (B,C)	X	
45.302	Museum Assessment Program (C)	X	
45.303	Conservation Project Support (B,C)	X	
45.304	Conservation Assessment Program (C)	X	
45.307	21st Century Museum Professionals (B)	X	
45.308	Native American/Native Hawaiian Museum Services Program (B)	X	
45.309	Museum Grants for African American History and Culture (B)	X	
45.310	Grants to States (A)	X	
45.311	Native American and Native Hawaiian Library Services (B)	X	
45.312	National Leadership Grants (B)	X	
45.313	Laura Bush 21st Century Librarian Program (B)	X	
NATIONAL LABOR RELATIONS BOARD			
46.001	Labor-Management Relations (J,N)		X
NATIONAL SCIENCE FOUNDATION			
47.041	Engineering Grants (B)	X	
47.049	Mathematical and Physical Sciences (B)	X	
47.050	Geosciences (B)	X	

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Program Description		Financial	Non-Financial
47.070	Computer and Information Science and Engineering (B)	X	
47.074	Biological Sciences (B)	X	
47.075	Social, Behavioral, and Economic Sciences (B)	X	
47.076	Education and Human Resources (B)	X	
47.078	Polar Programs (B)	X	
47.079	International Science and Engineering (OISE) (B)	X	
47.080	Office of Cyberinfrastructure (B)	X	
47.081	Office of Experimental Program to Stimulate Competitive Research (B)	X	
RAILROAD RETIREMENT BOARD			
57.001	Social Insurance for Railroad Workers (D)	X	
SECURITIES AND EXCHANGE COMMISSION			
58.001	Securities Investigation of Complaints and SEC Information (L,N)		X
SMALL BUSINESS ADMINISTRATION			
59.006	8(a) Business Development Program (J)		X
59.007	7(j) Technical Assistance (B)	X	
59.008	Disaster Assistance Loans (E)	X	
59.009	Procurement Assistance to Small Businesses (J)		X
59.011	Small Business Investment Companies (E,F,K)	X	X
59.012	7(a) Loan Guarantees (F)	X	
59.016	Surety Bond Guarantees (G)	X	
59.026	Service Corps of Retired Executives (K,M)		X
59.037	Small Business Development Centers (B,J,K,L)	X	X
59.041	504 Certified Development Loans (F)	X	
59.043	Women's Business Ownership Assistance (B)	X	
59.044	Veterans Business Development (B)	X	
59.046	Microloan Program (A,E)	X	
59.049	Small Disadvantaged Businesses (E)	X	
59.050	PRIME Technical Assistance (B)	X	
59.051	New Markets Venture Capital Program (B,F)	X	
59.052	Native American Outreach (B)	X	
59.053	Ombudsman and Regulatory Fairness Boards (N)		X
59.054	7(a)Export Loan Guarantees (F)	X	
59.055	HUBZone Program (B)	X	
59.070	Drug-Free Workplace Program (B)	X	
DEPARTMENT OF VETERANS AFFAIRS			
VETERANS HEALTH ADMINISTRATION			
64.005	Grants to States for Construction of State Home Facilities (B)	X	
64.007	Blind Rehabilitation Centers (J)		X
64.008	Veterans Domiciliary Care (J)		X
64.009	Veterans Medical Care Benefits (J)		X
64.010	Veterans Nursing Home Care (J)		X
64.011	Veterans Dental Care (J)		X
64.012	Veterans Prescription Service (H)		X
64.013	Veterans Prosthetic Appliances (J)		X
64.014	Veterans State Domiciliary Care (A)	X	
64.015	Veterans State Nursing Home Care (A)	X	
64.016	Veterans State Hospital Care (A)	X	
64.018	Sharing Specialized Medical Resources (J)		X
64.019	Veterans Rehabilitation Alcohol and Drug Dependence (J)		X
64.022	Veterans Home Based Primary Care (J)		X
64.024	VA Homeless Providers Grant and Per Diem Program (B)	X	
VETERANS BENEFITS ADMINISTRATION			
64.100	Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)	X	
64.101	Burial Expenses Allowance for Veterans (C)	X	
64.103	Life Insurance for Veterans (E)	X	
64.104	Pension for Non-Service-Connected Disability for Veterans (D)	X	
64.105	Pension to Veterans Surviving Spouses, and Children (D)	X	
64.106	Specially Adapted Housing for Disabled Veterans (C)	X	
64.109	Veterans Compensation for Service-Connected Disability (D)	X	
64.110	Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	X	
64.114	Veterans Housing Guaranteed and Insured Loans (F)	X	
64.115	Veterans Information and Assistance (K)		X
64.116	Vocational Rehabilitation for Disabled Veterans (C,D,E,K)	X	X
64.117	Survivors and Dependents Educational Assistance (C)	X	
64.118	Veterans Housing Direct Loans for Certain Disabled Veterans (E)	X	
64.119	Veterans Housing Manufactured Home Loans (F)	X	
64.120	Post-Vietnam Era Veterans' Educational Assistance (C)	X	
64.124	All-Volunteer Force Educational Assistance (D)	X	
64.125	Vocational and Educational Counseling for Servicemembers and Veterans (K)		X
64.126	Native American Veteran Direct Loan Program (E)	X	

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Program Description		Financial	Non-Financial
64.127	Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (D)	X	
64.128	Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (C)	X	
NATIONAL CEMETERY SYSTEM			
64.026	Veterans State Adult Day Health Care (B)	X	
64.201	National Cemeteries (J)		X
64.202	Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C,J)	X	X
64.203	State Cemetery Grants (B)	X	
ENVIRONMENTAL PROTECTION AGENCY			
OFFICE OF AIR AND RADIATION			
66.001	Air Pollution Control Program Support (B)	X	
66.032	State Indoor Radon Grants (B)	X	
66.033	Ozone Transport Commission (B)	X	
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	X	
66.035	Community Action for a Renewed Environment (CARE) Program (B)	X	
66.036	Clean School Bus USA (B)	X	
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)	X	
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	X	
66.039	National Clean Diesel Funding Assistance Program (B)	X	
66.040	State Clean Diesel Grant Program (B)	X	
OFFICE OF WATER			
66.112	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (B)	X	
66.113	Region 3 Environmental Priority Projects (B)	X	
66.115	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (B)	X	
66.117	Region 9 multi-media and geographic priority projects (B)	X	
66.418	Construction Grants for Wastewater Treatment Works (B)	X	
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	X	
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	
66.432	State Public Water System Supervision (A)	X	
66.433	State Underground Water Source Protection (A)	X	
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	
66.437	Long Island Sound Program (B)	X	
66.439	Targeted Watersheds Grants (B)	X	
66.454	Water Quality Management Planning (A)	X	
66.456	National Estuary Program (B)	X	
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	X	
66.460	Nonpoint Source Implementation Grants (A)	X	
66.461	Regional Wetland Program Development Grants (B)	X	
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	X	
66.463	Water Quality Cooperative Agreements (B)	X	
66.466	Chesapeake Bay Program (B)	X	
66.467	Wastewater Operator Training Grant Program (Technical Assistance) (B)	X	
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
66.469	Great Lakes Program (B,I,L)	X	X
66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)	X	
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	X	
66.473	Direct Implementation Tribal Cooperative Agreements (B)	X	
66.474	Water Protection Grants to the States (A)	X	
66.475	Gulf of Mexico Program (B)	X	
66.478	Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)	X	
66.479	Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)	X	
66.481	Lake Champlain Basin Program (B)	X	
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	
66.517	Regional Applied Research Efforts (RARE) (B)	X	
66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	
OFFICE OF RESEARCH AND DEVELOPMENT			
66.508	Senior Environmental Employment Program (B)	X	
66.509	Science To Achieve Results (STAR) Research Program (B)	X	
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	
66.512	Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)	X	
66.516	P3 Award: National Student Design Competition for Sustainability (B)	X	
OFFICE OF ADMINISTRATION			
66.202	Congressionally Mandated Projects (B)	X	
66.513	Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (B)	X	
66.514	Science To Achieve Results (STAR) Fellowship Program (B)	X	
66.515	Greater Research Opportunities (GRO) Research Program (B)	X	
66.518	State Senior Environmental Employment Program (B)	X	
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)	X	
66.605	Performance Partnership Grants (A,B)	X	
66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)	X	

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Program Description		Financial	Non-Financial
66.609	Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)	X	
66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	X	
66.611	Environmental Policy and Innovation Grants (B)	X	
66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)	X	
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE			
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	X	
66.700	Consolidated Pesticide Enforcement Cooperative Agreements (B)	X	
66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (B)	X	
66.709	Multi-Media Capacity Building Grants for States and Tribes (B)	X	
OFFICE OF ENVIRONMENTAL JUSTICE			
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	X	
66.312	State Environmental Justice Cooperative Agreement Program (B)	X	
66.604	Environmental Justice Small Grant Program (B)	X	
OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE			
66.801	Hazardous Waste Management State Program Support (A)	X	
66.802	Superfund State, Political Subdivision, and Indian Tribe Site Specific Cooperative Agreements (B)	X	
66.804	State and Tribal Underground Storage Tanks Program (A)	X	
66.805	Leaking Underground Storage Tank Trust Fund Program (A)	X	
66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	
66.808	Solid Waste Management Assistance Grants (B)	X	
66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	X	
66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)	X	
66.812	Hazardous Waste Management Grant Program for Tribes (B)	X	
66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X	
66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	X	
66.815	Brownfield Job Training Cooperative Agreements (B)	X	
66.816	Headquarters and Regional Underground Storage Tanks Program (B)	X	
66.817	State and Tribal Response Program Grants (A)	X	
66.818	Brownfields Assessment and Cleanup Cooperative Agreements (B)	X	
66.110	Healthy Communities Grant Program (B)	X	
66.111	Regional Environmental Priority Projects (B)	X	
66.116	Regional Geographic Initiative/Environmental Priority Projects (B)	X	
66.119	West Coast Estuaries Initiative (B)	X	
66.203	Environmental Finance Center Grants (B)	X	
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)	X	
66.310	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	X	
66.480	Assessment and Watershed Protection Program Grants (B)	X	
66.940	Environmental Policy and State Innovation Grants (B)	X	
66.952	National Network for Environmental Management Studies Fellowship Program (B)	X	
OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES			
66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)	X	
66.708	Pollution Prevention Grants Program (B)	X	
66.714	Pesticide Environmental Stewardship Regional Grants (B)	X	
66.715	Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)	X	
66.717	Source Reduction Assistance (B)	X	
66.718	National Community-Based Lead Outreach and Training Grant Program (B)	X	
OFFICE OF FEDERAL ACTIVITIES			
66.926	Indian Environmental General Assistance Program (GAP) (B)	X	
66.931	International Financial Assistance Projects Sponsored by the Office of International Affairs (B)	X	
OFFICE OF ENVIRONMENTAL EDUCATION			
66.950	Environmental Education and Training Program (B)	X	
66.951	Environmental Education Grants (B)	X	
NATIONAL GALLERY OF ART			
68.001	National Gallery of Art Extension Service (I)		X
OVERSEAS PRIVATE INVESTMENT CORPORATION			
70.002	Foreign Investment Financing (F)	X	
70.003	Foreign Investment Insurance (G)	X	
NUCLEAR REGULATORY COMMISSION			
77.006	U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)	X	
77.007	U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)	X	
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)	X	
COMMODITY FUTURES TRADING COMMISSION			
78.004	Commodity Futures Reparations Claims (N)		X

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Program Description		Financial	Non-Financia
DEPARTMENT OF ENERGY			
81.003	Granting of Patent Licenses (L)		X
81.022	Used Energy-Related Laboratory Equipment Grants (B)	X	
81.036	Inventions and Innovations (B,K,L)	X	X
81.041	State Energy Program (A)	X	
81.042	Weatherization Assistance for Low-Income Persons (A)	X	
81.049	Office of Science Financial Assistance Program (B)	X	
81.057	University Coal Research (B)	X	
81.064	Office of Scientific and Technical Information (L)		X
81.065	Nuclear Waste Disposal Siting (B,C)	X	
81.079	Regional Biomass Energy Programs (B)	X	
81.086	Conservation Research and Development (B)	X	
81.087	Renewable Energy Research and Development (B)	X	
81.089	Fossil Energy Research and Development (B)	X	
81.104	Office of Environmental Waste Processing (B)	X	
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)	X	
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	X	
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)	X	
81.112	Stewardship Science Grant Program (B)	X	
81.113	Defense Nuclear Nonproliferation Research (B)	X	
81.114	University Reactor Infrastructure and Education Support (B)	X	
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	X	
81.119	State Energy Program Special Projects (B)	X	
81.121	Nuclear Energy Research, Development and Demonstration (B)	X	
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	X	
81.123	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	X	
81.124	Predictive Science Academic Alliance Program (B)	X	
81.126	Federal Loan Guarantees for Innovative Energy Technologies (F)	X	
DEPARTMENT OF EDUCATION			
OFFICE OF VOCATIONAL AND ADULT EDUCATION			
84.002	Adult Education - Basic Grants to States (A)	X	
84.048	Career and Technical Education -- Basic Grants to States (A)	X	
84.051	Career and Technical Education -- National Programs (B)	X	
84.101	Career and Technical Education - Indian Set-aside (B)	X	
84.191	Adult Education_National Leadership Activities (B)	X	
84.243	Tech-Prep Education (A,B)	X	
84.245	Tribally Controlled Postsecondary Career and Technical Institutions (B)	X	
84.257	National Institute for Literacy (B)	X	
84.259	Native Hawaiian Career and Technical Education (B)	X	
84.331	Grants to States for Incarcerated Youth Offenders (A)	X	
OFFICE OF HUMAN RESOURCES AND ADMINISTRATION			
84.145	Federal Real Property Assistance Program (H)		X
OFFICE OF BILINGUAL EDUCATION AND MINORITY LANGUAGES AFFAIRS			
84.293	Foreign Language Assistance (B)	X	
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES			
84.027	Special Education_Grants to States (A)	X	
84.126	Rehabilitation Services_Vocational Rehabilitation Grants to States (A)	X	
84.128	Rehabilitation Services_Service Projects (B)	X	
84.129	Rehabilitation Long-Term Training (B)	X	
84.132	Centers for Independent Living (B)	X	
84.133	National Institute on Disability and Rehabilitation Research (B)	X	
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	X	
84.161	Rehabilitation Services_Client Assistance Program (A)	X	
84.169	Independent Living_State Grants (A)	X	
84.173	Special Education_Preschool Grants (A)	X	
84.177	Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (A)	X	
84.181	Special Education-Grants for Infants and Families (A)	X	
84.187	Supported Employment Services for Individuals with Significant Disabilities (A)	X	
84.224	Assistive Technology (A,B,L)	X	X
84.234	Projects with Industry (B)	X	
84.235	Rehabilitation Services Demonstration and Training Programs (B)	X	
84.240	Program of Protection and Advocacy of Individual Rights (A)	X	
84.246	Rehabilitation Short-Term Training (B)	X	
84.250	Rehabilitation Services_American Indians with Disabilities (B)	X	
84.263	Rehabilitation Training_Experimental and Innovative Training (B)	X	
84.264	Rehabilitation Training_Continuing Education (B)	X	
84.265	Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)	X	
84.275	Rehabilitation Training_General Training (B)	X	
84.315	Capacity Building for Traditionally Underserved Populations (B)	X	
84.323	Special Education - State Personnel Development (B)	X	
84.324	Research in Special Education (B)	X	
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	X	

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Program Description		Financial	Non-Financial
84.326	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	X	
84.327	Special Education_Technology and Media Services for Individuals with Disabilities (B)	X	
84.328	Special Education_Parent Information Centers (B)	X	
84.329	Special Education_Studies and Evaluations (B)	X	
84.343	Assistive Technology_State Grants for Protection and Advocacy (A)	X	
84.373	Special Education_Technical Assistance on State Data Collection (B)	X	
84.380	Special Education -- Olympic Education Programs (B)	X	
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION			
84.004	Civil Rights Training and Advisory Services (B)	X	
84.010	Title I Grants to Local Educational Agencies (A)	X	
84.011	Migrant Education_State Grant Program (A)	X	
84.013	Title I Program for Neglected and Delinquent Children (A)	X	
84.040	Impact Aid_Facilities Maintenance (B)	X	
84.041	Impact Aid (A)	X	
84.060	Indian Education_Grants to Local Educational Agencies (A)	X	
84.083	Women's Educational Equity Act Program (B)	X	
84.141	Migrant Education_High School Equivalency Program (B)	X	
84.144	Migrant Education_Coordination Program (B)	X	
84.149	Migrant Education_College Assistance Migrant Program (B)	X	
84.165	Magnet Schools Assistance (B)	X	
84.184	Safe and Drug-Free Schools and Communities_National Programs (B)	X	
84.186	Safe and Drug-Free Schools and Communities_State Grants (A)	X	
84.196	Education for Homeless Children and Youth (A)	X	
84.213	Even Start_State Educational Agencies (A,B)	X	
84.214	Even Start_Migrant Education (B)	X	
84.256	Freely Associated States_Education Grant Program (B)	X	
84.258	Even Start_Indian Tribes and Tribal Organizations (B)	X	
84.282	Charter Schools (B)	X	
84.283	Comprehensive Centers (B)	X	
84.298	State Grants for Innovative Programs (A)	X	
84.310	Parental Information and Resource Centers (B)	X	
84.318	Education Technology State Grants (A)	X	
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	X	
84.349	Early Childhood Educator Professional Development (B)	X	
84.350	Transition to Teaching (B)	X	
84.351	Arts in Education (B)	X	
84.354	Credit Enhancement for Charter School Facilities (B)	X	
84.356	Alaska Native Educational Programs (B)	X	
84.357	Reading First State Grants (B)	X	
84.358	Rural Education (A)	X	
84.359	Early Reading First (B)	X	
84.360	School Dropout Prevention Program (B)	X	
84.361	Voluntary Public School Choice (B)	X	
84.362	Native Hawaiian Education (B)	X	
84.363	School Leadership (B)	X	
84.364	Literacy through School Libraries (B)	X	
84.366	Mathematics and Science Partnerships (B)	X	
84.367	Improving Teacher Quality State Grants (A)	X	
84.369	Grants for State Assessments and Related Activities (A)	X	
84.370	DC School Choice Incentive Program (B)	X	
84.371	Striving Readers (B)	X	
84.372	Statewide Data Systems (B)	X	
84.374	Teacher Incentive Fund (B)	X	
84.377	School Improvement Grants (B)	X	
OFFICE OF STUDENT FINANCIAL ASSISTANCE PROGRAMS			
84.007	Federal Supplemental Educational Opportunity Grants (C)	X	
84.032	Federal Family Education Loans (F)	X	
84.033	Federal Work-Study Program (C)	X	
84.037	Perkins Loan Cancellations (C,D)	X	
84.038	Federal Perkins Loan Program_Federal Capital Contributions (C)	X	
84.063	Federal Pell Grant Program (C)	X	
84.069	Leveraging Educational Assistance Partnership (A)	X	
84.268	Federal Direct Student Loans (E)	X	
84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants (C)	X	
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT			
84.203	Star Schools (B)	X	
84.206	Javits Gifted and Talented Students Education Grant Program (B)	X	
84.215	Fund for the Improvement of Education (B)	X	
84.286	Ready to Teach (B)	X	
84.287	Twenty-First Century Community Learning Centers (A)	X	
84.295	Ready-To-Learn Television (B)	X	
84.304	Civic Education - Cooperative Education Exchange Program (B)	X	
84.305	Education Research, Development and Dissemination (B)	X	
OFFICE OF POSTSECONDARY EDUCATION			

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Program Description		Financial	Non-Financial
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	X	
84.016	Undergraduate International Studies and Foreign Language Programs (B)	X	
84.017	International Research and Studies (B)	X	
84.018	Overseas Programs_Special Bilateral Projects (B)	X	
84.019	Overseas Programs - Faculty Research Abroad (B)	X	
84.021	Overseas Programs - Group Projects Abroad (B)	X	
84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)	X	
84.031	Higher Education_Institutional Aid (B)	X	
84.042	TRIO_Student Support Services (B)	X	
84.044	TRIO_Talent Search (B)	X	
84.047	TRIO_Upward Bound (B)	X	
84.066	TRIO_Educational Opportunity Centers (B)	X	
84.103	TRIO Staff Training Program (B)	X	
84.116	Fund for the Improvement of Postsecondary Education (B)	X	
84.120	Minority Science and Engineering Improvement (B)	X	
84.153	Business and International Education Projects (B)	X	
84.170	Javits Fellowships (B)	X	
84.185	Byrd Honors Scholarships (A)	X	
84.200	Graduate Assistance in Areas of National Need (B)	X	
84.217	TRIO_McNair Post-Baccalaureate Achievement (B)	X	
84.220	Centers for International Business Education (B)	X	
84.229	Language Resource Centers (B)	X	
84.269	Institute for International Public Policy (B)	X	
84.274	American Overseas Research Centers (B)	X	
84.332	Comprehensive School Reform Demonstration (A)	X	
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (B)	X	
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)	X	
84.335	Child Care Access Means Parents in School (B)	X	
84.336	Teacher Quality Enhancement Grants (B)	X	
84.337	International Education_Technological Innovation and Cooperation for Foreign Information Access (B)	X	
84.345	Underground Railroad Educational and Cultural Program (B)	X	
84.375	Academic Competitiveness Grants (C)	X	
84.378	College Access Challenge Grant Program (A)	X	
84.381	Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)	X	
84.382	Strengthening Minority-Serving Institutions (B)	X	
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	X	
OFFICE OF ENGLISH LANGUAGE ACQUISITION			
84.365	English Language Acquisition Grants (A,B)	X	
HARRY S TRUMAN SCHOLARSHIP FOUNDATION			
85.001	Harry S Truman Scholarship Program (C)	X	
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION			
85.100	Christopher Columbus Fellowship Program (B,J)	X	X
BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION			
85.200	Barry M. Goldwater Scholarship Program (C)	X	
JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION			
85.500	James Madison Memorial Fellowship Program (B)	X	
MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION			
85.400	Morris K. Udall Scholarship Program (C)	X	
85.401	Morris K. Udall Fellowship Program (B)	X	
85.402	Morris K. Udall Native American Congressional Internship Program (C)	X	
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS			
85.300	Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (B)	X	
SMITHSONIAN INSTITUTE FELLOWSHIP FOUNDATION			
85.601	Smithsonian Institution Fellowship Program (B)	X	
PENSION BENEFIT GUARANTY CORPORATION			
86.001	Pension Plan Termination Insurance (G)	X	
ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD			
88.001	Architectural and Transportation Barriers Compliance Board (L)		X

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Program Description		Financial	Non-Financial
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION			
89.001	National Archives Reference Services_Historical Research (I,K,L)		X
89.003	National Historical Publications and Records Grants (B)	X	
89.005	Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)	X	
DENALI COMMISSION			
90.100	Denali Commission Program (B)	X	
DELTA REGIONAL AUTHORITY			
90.200	Delta Regional Development (B)	X	
90.201	Delta Area Economic Development (B)	X	
90.202	Delta Local Development District Assistance (B)	X	
JAPAN US FRIENDSHIP COMMISSION			
90.300	Japan-US Friendship Commission Grants (B)	X	
ELECTIONS ASSISTANCE COMMISSION			
90.400	Help America Vote College Program (B)	X	
90.401	Help America Vote Act Requirements Payments (A)	X	
90.402	Help America Vote Mock Election Program (B)	X	
BROADCASTING BOARD OF GOVERNORS			
90.500	International Broadcasting Independent Grantee Organizations (B)	X	
UNITED STATES INSTITUTE OF PEACE			
91.001	Unsolicited Grant Program (B)	X	
91.002	Solicited Grant Program (B)	X	
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
OFFICE OF THE SECRETARY			
93.001	Civil Rights and Privacy Rule Compliance Activities (N)		X
93.003	Public Health and Social Services Emergency Fund (B)	X	
93.007	Public Awareness Campaigns on Embryo Adoption (B)	X	
93.008	Medical Reserve Corps Small Grant Program (B)	X	
93.012	Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (B)	X	
93.013	Ambassadors for Change Program (B)	X	
93.014	Steps to Healthier Girls Program (B)	X	
93.015	HIV Prevention Programs for Women (B)	X	
93.017	Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)	X	
93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)	X	
93.088	Advancing System Improvements to Support Targets for Healthy People 2010 (B)	X	
93.100	Health Disparities in Minority Health (B)	X	
93.239	Policy Research and Evaluation Grants (B)	X	
93.252	Healthy Communities Access Program (B)	X	
93.290	National Community Centers of Excellence in Women's Health (B)	X	
93.294	Mentoring Partnership Program - Protege (B)	X	
93.295	Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)	X	
93.296	State Partnership Grant Program to Improve Minority Health (B)	X	
93.775	State Medicaid Fraud Control Units (A)	X	
OFFICE OF MINORITY HEALTH			
93.004	Cooperative Agreements to Improve the Health Status of Minority Populations (B)	X	
93.006	State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)	X	
93.105	Bilingual/Bicultural Service Demonstration Grants (B)	X	
93.137	Community Programs to Improve Minority Health Grant Program (B)	X	
93.910	Family and Community Violence Prevention Program (B)	X	
PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS			
93.289	President's Council on Physical Fitness and Sports (K)		X
OFFICE OF DISEASE PREVENTION AND HEALTH PROMOTION			
93.990	National Health Promotion (B)	X	
AGENCY FOR HEALTH CARE POLICY AND RESEARCH			
93.225	National Research Service Awards_Health Services Research Training (B)	X	
93.226	Research on Healthcare Costs, Quality and Outcomes (B)	X	
OFFICE OF POPULATION AFFAIRS			
93.111	Adolescent Family Life Research Grants (B)	X	
93.217	Family Planning_Services (B)	X	
93.260	Family Planning_Personnel Training (B)	X	
93.974	Family Planning_Service Delivery Improvement Research Grants (B)	X	

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Program Description		Financial	Non-Financial
93.995	Adolescent Family Life Demonstration Projects (B)	X	
ADMINISTRATION ON AGING			
93.041	Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	X	
93.042	Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals (A)	X	
93.043	Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services (A)	X	
93.044	Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers (A)	X	
93.045	Special Programs for the Aging Title III, Part C Nutrition Services (A)	X	
93.047	Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians (B)	X	
93.048	Special Programs for the Aging Title IV and Title II Discretionary Projects (B)	X	
93.051	Alzheimer's Disease Demonstration Grants to States (B)	X	
93.052	National Family Caregiver Support, Title III, Part E (A)	X	
93.053	Nutrition Services Incentive Program (A)	X	
93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)	X	
93.071	Medicare Enrollment Assistance Program (A,B)	X	
ADMINISTRATION FOR CHILDREN AND FAMILIES			
93.010	Community-Based Abstinence Education (CBAE) (B)	X	
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	X	X
93.087	Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)	X	
93.254	Infant Adoption Awareness Training (B)	X	
93.550	Transitional Living for Homeless Youth (B)	X	
93.551	Abandoned Infants (B)	X	
93.556	Promoting Safe and Stable Families (A,B)	X	
93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	X	
93.558	Temporary Assistance for Needy Families (A)	X	
93.560	Family Support Payments to States Assistance Payments (A)	X	
93.563	Child Support Enforcement (A)	X	
93.564	Child Support Enforcement Research (B)	X	
93.566	Refugee and Entrant Assistance State Administered Programs (A)	X	
93.567	Refugee and Entrant Assistance Voluntary Agency Programs (A)	X	
93.568	Low-Income Home Energy Assistance (A)	X	
93.569	Community Services Block Grant (A)	X	
93.570	Community Services Block Grant Discretionary Awards (B)	X	
93.575	Child Care and Development Block Grant (A)	X	
93.576	Refugee and Entrant Assistance Discretionary Grants (B)	X	
93.579	U.S. Repatriation (B)	X	
93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	X	
93.583	Refugee and Entrant Assistance Wilson/Fish Program (B)	X	
93.584	Refugee and Entrant Assistance Targeted Assistance Grants (A)	X	
93.586	State Court Improvement Program (A)	X	
93.587	Promote the Survival and Continuing Vitality of Native American Languages (B)	X	
93.590	Community-Based Child Abuse Prevention Grants (A)	X	
93.591	Family Violence Prevention and Services/Grants For Battered Women's Shelters Grants to State Domestic Violence Coalitions (A)	X	
93.592	Family Violence Prevention and Services/Grants for Battered Women's Shelters Discretionary Grants (B)	X	
93.593	Job Opportunities for Low-Income Individuals (B)	X	
93.594	Tribal Work Grants (A)	X	
93.595	Welfare Reform Research, Evaluations and National Studies (B)	X	
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)	X	
93.597	Grants to States for Access and Visitation Programs (B)	X	
93.598	Services to Victims of a Severe Form of Trafficking (B)	X	
93.599	Chafee Education and Training Vouchers Program (ETV) (A)	X	
93.600	Head Start (B)	X	
93.601	Child Support Enforcement Demonstrations and Special Projects (B)	X	
93.602	Assets for Independence Demonstration Program (B)	X	
93.603	Adoption Incentive Payments (A)	X	
93.604	Assistance for Torture Victims (B)	X	
93.605	Family Connection Grants (B)	X	
93.612	Native American Programs (B)	X	
93.613	President's Committee for People with Intellectual Disabilities (PCPID) (L)		X
93.616	Mentoring Children of Prisoners (B)	X	
93.617	Voting Access for Individuals with Disabilities Grants to States (A)	X	
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)	X	
93.623	Basic Center Grant (B)	X	
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	X	
93.631	Developmental Disabilities Projects of National Significance (B)	X	
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	X	
93.643	Children's Justice Grants to States (A)	X	
93.645	Child Welfare Services State Grants (A)	X	
93.647	Social Services Research and Demonstration (B)	X	
93.648	Child Welfare Services Training Grants (B)	X	
93.652	Adoption Opportunities (B)	X	
93.658	Foster Care Title IV-E (A)	X	
93.659	Adoption Assistance (A)	X	
93.667	Social Services Block Grant (A)	X	
93.669	Child Abuse and Neglect State Grants (A)	X	
93.670	Child Abuse and Neglect Discretionary Activities (B)	X	
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters Grants to States and Indian Tribes (A)	X	

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Program Description		Financial	Non-Financial
93.674	Chafee Foster Care Independence Program (A)	X	
93.676	Unaccompanied Alien Children Program (B)	X	
CENTERS FOR MEDICARE AND MEDICAID SERVICES			
93.760	Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)	X	
93.767	State Children's Insurance Program (A)	X	
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)	X	
93.769	Demonstration to Maintain Independence and Employment (B)	X	
93.770	Medicare Prescription Drug Coverage (C)	X	
93.773	Medicare Hospital Insurance (C)	X	
93.774	Medicare Supplementary Medical Insurance (C)	X	
93.776	Hurricane Katrina Relief (B)	X	
93.777	State Survey and Certification of Health Care Providers and Suppliers (A)	X	
93.778	Medical Assistance Program (A)	X	
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	X	
93.780	Grants to States for Operation of Qualified High-Risk Pools (A)	X	
93.781	Seed Grants to States for Qualified High-Risk Pools (B)	X	
93.783	Medicare Transitional Drug Assistance Program for States (C)	X	
93.784	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)	X	
93.785	Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (B)	X	
93.786	State Pharmaceutical Assistance Programs (A)	X	
93.789	Alternatives to Psychiatric Residential Treatment Facilities for Children (B)	X	
93.790	Alternate Non-Emergency Service Providers or Networks (B)	X	
93.791	Money Follows the Person Rebalancing Demonstration (B)	X	
93.793	Medicaid Transformation Grants (B)	X	
93.794	Reimbursement of State Costs for Provision of Part D Drugs (C)	X	
FOOD AND DRUG ADMINISTRATION			
93.103	Food and Drug Administration Research (B)	X	
93.448	Food Safety and Security Monitoring Project (B)	X	
93.449	Ruminant Feed Ban Support Project (B)	X	
CENTERS FOR DISEASE CONTROL			
93.061	Innovations in Applied Public Health Research (B)	X	
93.063	Centers for Genomics and Public Health (B)	X	
93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)	X	
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	X	
93.066	State Vital Statistics Improvement Program (B)	X	
93.067	Global AIDS (B)	X	
93.068	Chronic Diseases: Research, Control, and Prevention (B)	X	
93.069	Public Health Emergency Preparedness (B)	X	
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	X	
93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	X	
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)	X	
93.136	Injury Prevention and Control Research and State and Community Based Programs (B)	X	
93.184	Disabilities Prevention (B)	X	
93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)	X	
93.197	Childhood Lead Poisoning Prevention Projects State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	X	
93.262	Occupational Safety and Health Program (B)	X	
93.268	Immunization Grants (B)	X	
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	
93.270	Adult Viral Hepatitis Prevention and Control (B)	X	
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	X	
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	X	
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	X	
93.939	HIV Prevention Activities Non-Governmental Organization Based (B)	X	
93.940	HIV Prevention Activities Health Department Based (B)	X	
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)	X	
93.942	Research, Treatment and Education Programs on Lyme Disease in the United States (B)	X	
93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	X	
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	X	
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)	X	
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	X	
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)	X	
93.977	Preventive Health Services Sexually Transmitted Diseases Control Grants (B)	X	
93.978	Preventive Health Services Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)	X	
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)	X	
93.991	Preventive Health and Health Services Block Grant (A)	X	
93.993	Public Health Research Accreditation Project (B)	X	
93.009	Compassion Capital Fund (B)	X	
93.442	Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)	X	
93.443	Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)	X	
HEALTH RESOURCES AND SERVICES ADMINISTRATION			

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Program Description		Financial	Non-Financial
93.107	Model State-Supported Area Health Education Centers (B)	X	
93.110	Maternal and Child Health Federal Consolidated Programs (B)	X	
93.117	Grants for Preventive Medicine (B)	X	
93.124	Nurse Anesthetist Traineeships (A)	X	
93.127	Emergency Medical Services for Children (B)	X	
93.129	Technical and Non-Financial Assistance to Health Centers (B)	X	
93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)	X	
93.134	Grants to Increase Organ Donations (B)	X	
93.145	AIDS Education and Training Centers (B)	X	
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	X	
93.155	Rural Health Research Centers (B)	X	
93.156	Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B)	X	
93.157	Centers of Excellence (B)	X	
93.162	National Health Service Corps Loan Repayment Program (C)	X	
93.165	Grants to States for Loan Repayment Program (B)	X	
93.178	Nursing Workforce Diversity (B)	X	
93.181	Podiatric Residency Training in Primary Care (B)	X	
93.186	National Research Service Award in Primary Care Medicine (M)		X
93.189	Health Education and Training Centers (B)	X	
93.191	Allied Health Special Projects (B)	X	
93.192	Quentin N. Burdick Program for Rural Interdisciplinary Training (B)	X	
93.211	Telehealth Network Grants (B)	X	
93.212	Chiropractic Demonstration Project Grants (B)	X	
93.223	Development and Coordination of Rural Health Services (B)	X	
93.224	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)	X	
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	X	
93.235	Abstinence Education Program (A)	X	
93.236	Grants for Dental Public Health Residency Training (B)	X	
93.241	State Rural Hospital Flexibility Program (B)	X	
93.247	Advanced Education Nursing Grant Program (B)	X	
93.249	Public Health Training Centers Grant Program (B)	X	
93.250	Geriatric Academic Career Awards (C)	X	
93.251	Universal Newborn Hearing Screening (B)	X	
93.253	Poison Control Stabilization and Enhancement Grants (B)	X	
93.255	Children's Hospitals Graduate Medical Education Payment (C)	X	
93.256	State Planning Grants Health Care Access for the Uninsured (B)	X	
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	X	
93.259	Rural Access to Emergency Devices Grant (B)	X	
93.264	Nurse Faculty Loan Program (NFLP) (A)	X	
93.265	Comprehensive Geriatric Education Program(CGEP) (B)	X	
93.266	Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)	X	
93.267	State Grants for Protection and Advocacy Services (A)	X	
93.288	National Health Service Corps Scholarship Program (C)	X	
93.291	Surplus Property Utilization (H)		X
93.300	National Center for Health Workforce Analysis (B)	X	
93.301	Small Rural Hospital Improvement Grant Program (B)	X	
93.303	Nursing Scholarship Program (C)	X	
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)	X	
93.358	Advanced Education Nursing Traineeships (B)	X	
93.359	Nurse Education, Practice and Retention Grants (B)	X	
93.364	Nursing Student Loans (E)	X	
93.365	Sickle Cell Treatment Demonstration Program (B)	X	
93.822	Health Careers Opportunity Program (B)	X	
93.824	Basic/Core Area Health Education Centers (B)	X	
93.884	Grants for Training in Primary Care Medicine and Dentistry (B)	X	
93.887	Health Care and Other Facilities (B)	X	
93.888	Specially Selected Health Projects (B)	X	
93.889	National Bioterrorism Hospital Preparedness Program (B)	X	
93.890	Healthy Communities Access Program (HCAP) Demonstration Authority (B)	X	
93.908	Nursing Education Loan Repayment Program (C)	X	
93.912	Rural Health Care Services Outreach and Rural Health Network Development Program (B)	X	
93.913	Grants to States for Operation of Offices of Rural Health (B)	X	
93.914	HIV Emergency Relief Project Grants (B)	X	
93.917	HIV Care Formula Grants (A)	X	
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	X	
93.923	Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)	X	
93.924	Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (B)	X	
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	X	
93.926	Healthy Start Initiative (B)	X	
93.928	Special Projects of National Significance (B)	X	
93.932	Native Hawaiian Health Systems (B)	X	
93.952	Trauma Care Systems Planning and Development (B)	X	
93.962	Health Administration Traineeships Program (B)	X	
93.964	Public Health Traineeships (A)	X	
93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	X	
93.969	Geriatric Education Centers (B)	X	

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Program Description		Financial	Non-Financial
93.994	Maternal and Child Health Services Block Grant to the States (B)	X	
93.996	Bioterrorism Training and Curriculum Development Program (B)	X	
INDIAN HEALTH SERVICE			
93.123	Health Professions Pregraduate Scholarship Program for Indians (B)	X	
93.164	Indian Health Service Educational Loan Repayment (B)	X	
93.193	Urban Indian Health Services (B)	X	
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (B)	X	
93.228	Indian Health Service_Health Management Development Program (B)	X	
93.231	Epidemiology Cooperative Agreements (B)	X	
93.237	Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)	X	
93.284	Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)	X	
93.441	Indian Self-Determination (C)	X	
93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	X	
93.933	Demonstration Projects for Indian Health (B)	X	
93.954	Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (B)	X	
93.970	Health Professions Recruitment Program for Indians (B)	X	
93.971	Health Professions Preparatory Scholarship Program for Indians (B)	X	
93.972	Health Professions Scholarship Program (B)	X	
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION			
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	X	
93.138	Protection and Advocacy for Individuals with Mental Illness (A)	X	
93.150	Projects for Assistance in Transition from Homelessness (PATH) (A)	X	
93.229	Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (B)	X	
93.230	Consolidated Knowledge Development and Application (KD&A) Program (B)	X	
93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (B)	X	
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)	X	
93.244	Mental Health Clinical and AIDS Service-Related Training Grants (B)	X	
93.275	Substance Abuse and Mental Health Services-Access to Recovery (B)	X	
93.276	Drug-Free Communities Support Program Grants (B)	X	
93.958	Block Grants for Community Mental Health Services (A)	X	
93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)	X	
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)	X	
NATIONAL INSTITUTES OF HEALTH			
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	X	
93.113	Environmental Health (B)	X	
93.121	Oral Diseases and Disorders Research (B)	X	
93.140	Intramural Research Training Award (B)	X	
93.142	NIEHS Hazardous Waste Worker Health and Safety Training (B)	X	
93.143	NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	X	
93.172	Human Genome Research (B)	X	
93.173	Research Related to Deafness and Communication Disorders (B)	X	
93.187	Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	
93.209	Contraception and Infertility Research Loan Repayment Program (C)	X	
93.213	Research and Training in Complementary and Alternative Medicine (B,M)	X	X
93.220	Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)	X	
93.232	Loan Repayment Program for General Research (C)	X	
93.233	National Center on Sleep Disorders Research (B)	X	
93.242	Mental Health Research Grants (B)	X	
93.271	Alcohol Research Career Development Awards for Scientists and Clinicians (B)	X	
93.272	Alcohol National Research Service Awards for Research Training (B)	X	
93.273	Alcohol Research Programs (B)	X	
93.279	Drug Abuse and Addiction Research Programs (B)	X	
93.280	National Institutes of Health Loan Repayment Program for Clinical Researchers (C)	X	
93.281	Mental Health Research Career/Scientist Development Awards (B)	X	
93.282	Mental Health National Research Service Awards for Research Training (B)	X	
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)	X	
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)	X	
93.307	Minority Health and Health Disparities Research (B)	X	
93.308	Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)	X	
93.310	Trans-NIH Research Support (B,M)	X	X
93.361	Nursing Research (B)	X	
93.389	National Center for Research Resources (B)	X	
93.392	Cancer Construction (B)	X	
93.393	Cancer Cause and Prevention Research (B)	X	
93.394	Cancer Detection and Diagnosis Research (B)	X	
93.395	Cancer Treatment Research (B)	X	
93.396	Cancer Biology Research (B)	X	
93.397	Cancer Centers Support Grants (B)	X	
93.398	Cancer Research Manpower (B)	X	
93.399	Cancer Control (B)	X	
93.837	Cardiovascular Diseases Research (B)	X	
93.838	Lung Diseases Research (B)	X	
93.839	Blood Diseases and Resources Research (B)	X	
93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	

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93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	
93.855	Allergy, Immunology and Transplantation Research (B)	X	
93.856	Microbiology and Infectious Diseases Research (B)	X	
93.859	Biomedical Research and Research Training (B)	X	
93.865	Child Health and Human Development Extramural Research (B)	X	
93.866	Aging Research (B)	X	
93.867	Vision Research (B)	X	
93.879	Medical Library Assistance (B)	X	
93.891	Alcohol Research Center Grants (B)	X	
93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)	X	
93.989	International Research and Research Training (B,M)	X	X
AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY			
93.161	Health Program for Toxic Substances and Disease Registry (B)	X	
93.202	Capacity Building Among American Indian Tribes (B)	X	
93.204	Surveillance of Hazardous Substance Emergency Events (B)	X	
93.206	Human Health Studies Applied Research and Development (B)	X	
93.208	Great Lakes Human Health Effects Research (B)	X	
93.240	State Capacity Building (B)	X	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE			
94.002	Retired and Senior Volunteer Program (B)	X	
94.003	State Commissions (B)	X	
94.004	Learn and Serve America School and Community Based Programs (B)	X	
94.005	Learn and Serve America Higher Education (B)	X	
94.006	AmeriCorps (B)	X	
94.007	Planning and Program Development Grants (B)	X	
94.009	Training and Technical Assistance (B)	X	
94.011	Foster Grandparent Program (B)	X	
94.013	Volunteers in Service to America (J)		X
94.016	Senior Companion Program (B)	X	
SOCIAL SECURITY ADMINISTRATION			
96.001	Social Security Disability Insurance (C,D)	X	
96.002	Social Security Retirement Insurance (D)	X	
96.004	Social Security Survivors Insurance (C,D)	X	
96.006	Supplemental Security Income (C,D)	X	
96.007	Social Security Research and Demonstration (B)	X	
96.008	Social Security - Work Incentives Planning and Assistance Program (B)	X	
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)	X	
96.020	Special Benefits for Certain World War II Veterans (D)	X	
DEPARTMENT OF HOMELAND SECURITY			
97.004	State Domestic Preparedness Equipment Support Program (B)	X	
97.005	State and Local Homeland Security Training Program (B)	X	
97.006	State and Local Homeland Security Exercise Support (B)	X	
97.007	Homeland Security Preparedness Technical Assistance Program (B)	X	
97.008	Urban Areas Security Initiative (A)	X	
97.009	Cuban/Haitian Entrant Program (B)	X	
97.010	Citizenship Education and Training (L)		X
97.011	Boating Safety (J,K,L,M)		X
97.012	Boating Safety Financial Assistance (A)	X	
97.013	State Access to the Oil Spill Liability Trust Fund (B)	X	
97.014	Bridge Alteration (C)	X	
97.015	Secret Service Training Activities (M)		X
97.016	Reimbursement for Firefighting on Federal Property (C)	X	
97.018	National Fire Academy Training Assistance (C)	X	
97.019	National Fire Academy Educational Program (M)		X
97.020	Hazardous Materials Training Program (B)	X	
97.021	Hazardous Materials Assistance Program (B)	X	
97.022	Flood Insurance (G)	X	
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)	X	
97.024	Emergency Food and Shelter National Board Program (A)	X	
97.025	National Urban Search and Rescue (US&R) Response System (B)	X	
97.026	Emergency Management Institute Training Assistance (C)	X	
97.027	Emergency Management Institute (EMI) Independent Study Program (M)		X
97.028	Emergency Management Institute (EMI) Resident Educational Program (M)		X
97.029	Flood Mitigation Assistance (B)	X	
97.030	Community Disaster Loans (E)	X	
97.031	Cora Brown Fund (C)	X	
97.032	Crisis Counseling (B)	X	
97.033	Disaster Legal Services (C)	X	
97.034	Disaster Unemployment Assistance (C,J)	X	
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)	X	X
97.039	Hazard Mitigation Grant (B)	X	
97.040	Chemical Stockpile Emergency Preparedness Program (B)	X	

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97.041	National Dam Safety Program (B)	X	
97.042	Emergency Management Performance Grants (A)	X	
97.043	State Fire Training Systems Grants (B)	X	
97.044	Assistance to Firefighters Grant (B)	X	
97.045	Cooperating Technical Partners (B)	X	
97.046	Fire Management Assistance Grant (B,J)	X	X
97.047	Pre-Disaster Mitigation (B)	X	
97.048	Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (B)	X	
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)	X	
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X	
97.052	Emergency Operations Centers (B)	X	
97.053	Citizen Corps (B)	X	
97.055	Interoperable Communications Equipment (B)	X	
97.056	Port Security Grant Program (B)	X	
97.057	Intercity Bus Security Grants (B)	X	
97.058	Operation Safe Commerce (OSC) Cooperative Agreement Program (B)	X	
97.059	Truck Security Program (B)	X	
97.061	Centers for Homeland Security (B)	X	
97.062	Scholars and Fellows, and Educational Programs (C)	X	
97.064	Debris Removal Insurance (G)	X	
97.065	Homeland Security Advanced Research Projects Agency (B)	X	
97.066	Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)	X	
97.067	Homeland Security Grant Program (A)	X	
97.068	Competitive Training Grants (B)	X	
97.069	Aviation Research Grants (B,I)	X	X
97.070	Map Modernization Management Support (B)	X	
97.071	Metropolitan Medical Response System (B)	X	
97.072	National Explosives Detection Canine Team Program (B)	X	
97.073	State Homeland Security Program (SHSP) (A)	X	
97.074	Law Enforcement Terrorism Prevention Program (LETPP) (A)	X	
97.075	Rail and Transit Security Grant Program (B)	X	
97.076	National Center for Missing and Exploited Children (NCMEC) (B)	X	
97.077	Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)	X	X
97.078	Buffer Zone Protection Program (BZPP) (B)	X	
97.079	Public Alert Radios for Schools (B)	X	
97.080	Information Analysis Infrastructure Protection (IAIP) Pilot Projects (B)	X	
97.081	Law Enforcement Training and Technical Assistance (J,M)		X
97.082	Earthquake Consortium (B)	X	
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)	X	
97.084	Hurricane Katrina Case Management Initiative Program (B)	X	
97.085	9/11 Heroes Stamp Program (D)	X	
97.086	Homeland Security Outreach, Education, and Technical Assistance (B,L)	X	X
97.087	Alternative Housing Pilot Program (B)	X	
97.088	Disaster Assistance Projects (B)	X	
97.089	Real ID Program (B)	X	
97.090	Law Enforcement Officer Reimbursement Agreement Program (C)	X	
97.091	Homeland Security Biowatch Program (B,H,I,L)	X	X
97.092	Repetitive Flood Claims (B)	X	
97.093	Fire Service Hazardous Materials Preparedness and Response (B)	X	
97.094	Prevention Advocacy Resources and Data Exchange Program (B)	X	
97.095	Safe Kids Worldwide (B)	X	
97.096	Commercial Equipment Direct Assistance Program (CEDAP) (H)		X
97.097	Training Resource and Data Exchange (TRADE) (B)	X	
97.098	Disaster Donations Management Program (B)	X	
97.099	Residential Fire Safety & Fire Sprinkler Initiatives (B)	X	
97.100	Airport Checked Baggage Screening Program (C)	X	
97.103	Degrees at a Distance Program (B)	X	
97.104	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)	X	
97.105	Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (B)	X	
97.106	Securing the Cities (B,H,I,L)	X	X
97.107	National Incident Management System (NIMS) (B)	X	
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)	X	X
97.109	Disaster Housing Assistance Grant (B)	X	
97.110	Severe Loss Repetitive Program (B)	X	
97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)	X	
97.112	Border Infrastructure Improvement Projects (B)	X	

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001	USAID Foreign Assistance for Programs Overseas (B)	X	
98.002	Cooperative Development Program (CDP) (B)	X	
98.003	Ocean Freight Reimbursement Program (OFR) (B)	X	
98.004	Non-Governmental Organization Strengthening (NGO) (B)	X	
98.005	Institutional Capacity Building (ICB) (B)	X	
98.006	Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)	X	
98.007	Food for Peace Development Assistance Program (DAP) (B,H)	X	X
98.008	Food for Peace Emergency Program (EP) (B,H)	X	X

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98.010	Denton Program (J)		X
98.011	Global Development Alliance (B)	X	
98.012	USAID Development Partnerships for University Cooperation and Development (B)	X	

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7(a)Export Loan Guarantees (F)	59.054
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Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
<p>The alphabet(s) in parenthesis following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows: A-Formula Grants; B-Project Grants; C-Direct Payments for Specified Use; D-Direct Payments with Unrestricted Use; E-Direct Loans; F-Guaranteed/Insured Loans; G-Insurance; H-Sale, Exchange, or Donation of Property and Goods; I-Use of Property, Facilities, and Equipment; J-Provision of Specialized Services; K-Advisory Services and Counseling; L-Dissemination of Technical Information; M-Training; N-Investigation of Complaints; O-Federal Employment.</p> <p>Definitions of the types of applicants used in this Index are given below.</p> <p>Individual - Any person or persons, as individuals, groups, or profit making organizations. Such persons and groups do not represent Federally Recognized Indian Tribal Governments. Includes Indians or other Native Americans who apply as individuals rather than as a member of a tribe or other Indian organization.</p> <p>Local - Agencies or instrumentalities of political subdivisions within a State, to include cities, towns, townships, parishes, municipalities, villages, counties, school districts, and other special local districts. Included under local are Indian tribes on State reservations, Indian bands and group, Pueblos, Indian school boards, and State designated Indian tribes. Local does not include institutions of higher education and hospitals.</p> <p>Nonprofit - A public or private agency or organization established by charter to perform specialized functions or services for the benefit of all or part of the general public. Functions or services are provided without charge or at cost, and earn no profit. The agency or organization has no shareholders to receive dividends.</p> <p>State - Any agency or instrumentality of the fifty States of the United States, and the District of Columbia. State does not include the political subdivisions of the State, but does include institutions of higher education and hospitals.</p> <p>U.S. Territories - Any agency or instrumentality of the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territories of the Pacific Islands, and Mariana Islands. Included are the political subdivisions of the territories, institutions of higher education, and hospitals.</p> <p>Federally Recognized Indian Tribal Organizations - The governing body or a governmental agency of an Indian Tribe, nation, or other organized group or community recognized and certified by the Secretary of the Interior. Included are Native villages as defined in the Alaska Native Claims Settlement Act.</p> <p>(Note: The information contained in this Index was taken from the Applicant Eligibility section of the program descriptions and from other sources. As a potential applicant, if you feel you also qualify to apply for assistance under a particular program but the Index says otherwise, always contact the agency contact persons.</p> <p>As regarding whether or not a potential applicant is eligible for a program, the agency is always the best source.</p>						
DEPARTMENT OF AGRICULTURE						
10.001 Agricultural Research-Basic and Applied Research (B)	X	X	X	X	X	X
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)		X	X	X	X	
10.028 Wildlife Services (B)	X	X	X	X		X
10.029 Avian Influenza Indemnity Program (D)	X					
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X					
10.053 Dairy Indemnity Program (D)	X				X	
10.054 Emergency Conservation Program (C)	X					
10.055 Direct and Counter-cyclical Payments Program (C)	X					
10.056 Farm Storage Facility Loans (E)	X	X	X	X	X	X
10.066 Livestock Assistance Program (D)		X				
10.069 Conservation Reserve Program (C)	X	X		X		
10.070 Colorado River Basin Salinity Control Program (C)	X	X		X		
10.072 Wetlands Reserve Program (C)	X	X		X		
10.073 Crop Disaster Program (D)	X	X	X	X	X	X
10.077 Livestock Compensation Program (D)	X	X	X	X	X	X
10.078 Bioenergy Program (C)	X	X	X	X	X	X
10.079 Bill Emerson Humanitarian Trust (D)						
10.080 Milk Income Loss Contract Program (D)	X	X	X	X	X	X
10.081 Lamb Meat Adjustment Assistance Program (D)	X	X	X	X	X	X
10.082 Tree Assistance Program (D)	X	X	X	X	X	X
10.084 Dairy Market Loss Assistance Program (D)						
10.085 Tobacco Transition Payment Program (D)	X					
10.153 Market News (L)	X	X	X	X	X	X
10.155 Marketing Agreements and Orders (J,K)	X					
10.156 Federal-State Marketing Improvement Program (B)				X		
10.162 Inspection Grading and Standardization (J)						
10.163 Market Protection and Promotion (J,K,M)	X	X	X	X	X	X
10.164 Wholesale Farmers and Alternative Market Development (K,M)				X		
10.165 Perishable Agricultural Commodities Act (N)	X					
10.167 Transportation Services (K,M)	X	X	X	X	X	X
10.168 Farmers' Market Promotion Program (B)		X				

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
10.169	Specialty Crop Block Grant Program (B)				X		
10.170	Specialty Crop Block Grant Program - Farm Bill (B)				X		
10.200	Grants for Agricultural Research, Special Research Grants (B)			X	X		
10.202	Cooperative Forestry Research (A)				X	X	
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)			X	X	X	
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)				X		
10.206	Grants for Agricultural Research-Competitive Research Grants (B)	X		X	X		
10.207	Animal Health and Disease Research (A)			X	X		
10.210	Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)			X			
10.212	Small Business Innovation Research (B)						
10.215	Sustainable Agriculture Research and Education (B)			X	X		
10.216	1890 Institution Capacity Building Grants (B)			X			
10.217	Higher Education Challenge Grants (B)				X		
10.219	Biotechnology Risk Assessment Research (B)			X			
10.220	Higher Education Multicultural Scholars Program (B)			X	X		X
10.221	Tribal Colleges Education Equity Grants (A)		X		X		
10.222	Tribal Colleges Endowment Program (A)		X		X		
10.223	Hispanic Serving Institutions Education Grants (B)			X	X		
10.224	Fund for Rural America-Research, Education, and Extension Activities (B)						
10.225	Community Food Projects (B)						
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)				X		
10.227	1994 Institutions Research Program (B)		X		X		
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)						
10.250	Agricultural and Rural Economic Research (L)	X	X	X	X	X	X
10.253	Food Assistance and Nutrition Research Programs (FANRP) (L)	X	X	X	X	X	X
10.254	Program of Research on the Economic of Invasive Species Management (PREISM) (L)	X	X	X	X	X	X
10.255	Research Innovation and Development Grants in Economic (RIDGE) (L)	X	X	X	X	X	X
10.256	Consumer Data Initiative (CDI) (L)	X	X	X	X	X	X
10.290	Agricultural Market and Economic Research (B)			X			
10.303	Integrated Programs (B)				X		
10.304	Homeland Security-Agricultural (B)	X	X	X	X	X	X
10.305	International Science and Education Grants (B)			X			
10.306	BIODIESEL (B)			X			
10.307	Organic Agriculture Research and Extension Initiative (B)				X		
10.308	Resident Instruction Grants for Insular Area Activities (B)		X	X	X		
10.309	Specialty Crop Research Initiative (B)	X	X	X	X	X	X
10.350	Technical Assistance to Cooperatives (J,K,L,M)						
10.352	Value-Added Producer Grants (B)						
10.404	Emergency Loans (E)	X					
10.405	Farm Labor Housing Loans and Grants (B,F)	X	X	X	X	X	
10.406	Farm Operating Loans (E,F)	X					
10.407	Farm Ownership Loans (E,F)	X					
10.410	Very Low to Moderate Income Housing Loans (E,F)	X					
10.411	Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)			X			
10.415	Rural Rental Housing Loans (B,E)	X	X	X	X	X	
10.417	Very Low-Income Housing Repair Loans and Grants (B,E)	X					
10.420	Rural Self-Help Housing Technical Assistance (B)		X	X	X		
10.421	Indian Tribes and Tribal Corporation Loans (E)						X
10.427	Rural Rental Assistance Payments (C)	X	X	X	X		
10.433	Rural Housing Preservation Grants (B)			X	X	X	
10.435	State Mediation Grants (B)				X		
10.437	Interest Assistance Program (F)	X					
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)	X	X	X	X	X	X
10.441	Technical and Supervisory Assistance Grants (B)			X			X
10.442	Housing Application Packaging Grants (B)				X		
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)				X		
10.444	Direct Housing-Natural Disaster Loans and Grants (B,E)	X	X	X	X	X	X
10.445	Direct Housing-Natural Disaster (E)	X	X	X	X	X	X
10.446	Rural Community Development Initiative (B)			X			X
10.447	The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)						
10.449	Boll Weevil Eradication Loan Program (E)		X		X		
10.450	Crop Insurance (G)	X					
10.451	Noninsured Assistance (D)		X				
10.452	Disaster Reserve Assistance (C)				X		
10.454	Dairy Options Pilot Program (C)	X					
10.455	Community Outreach and Assistance Partnership Program (L,M)		X	X	X		
10.456	Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)		X		X		
10.457	Commodity Partnerships for Risk Management Education (B)				X		
10.458	Crop Insurance Education in Targeted States (B)			X	X		
10.459	Commodity Partnerships for Small Agricultural Risk Management Education Sessions (B)			X	X		
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)				X		

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10.477	Meat, Poultry, and Egg Products Inspection (J)	X					
10.479	Food Safety Cooperative Agreements (B)		X		X		
10.500	Cooperative Extension Service (A,B)				X	X	
10.551	Supplemental Nutrition Assistance Program (C)				X	X	
10.553	School Breakfast Program (A)			X	X	X	
10.555	National School Lunch Program (A)			X	X	X	
10.556	Special Milk Program for Children (A)				X		
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children (A)		X	X	X	X	
10.558	Child and Adult Care Food Program (A)				X	X	
10.559	Summer Food Service Program for Children (A)				X	X	
10.560	State Administrative Expenses for Child Nutrition (A)				X	X	
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)				X	X	
10.565	Commodity Supplemental Food Program (A,H)				X		X
10.566	Nutrition Assistance For Puerto Rico (C)					X	
10.567	Food Distribution Program on Indian Reservations (B,H)				X		X
10.568	Emergency Food Assistance Program (Administrative Costs) (A)				X		
10.569	Emergency Food Assistance Program (Food Commodities) (A)				X		
10.572	WIC Farmers' Market Nutrition Program (FMNP) (A)		X		X		
10.574	Team Nutrition Grants (B)				X		
10.576	Senior Farmers Market Nutrition Program (B)		X		X		
10.578	WIC Grants To States (WGS) (B)				X		
10.579	Child Nutrition Discretionary Grants Limited Availability (B)				X		
10.580	Special Nutrition Assistance Program Outreach/Participation Program (B)				X		
10.582	Fresh Fruit and Vegetable Program (B)				X		
10.600	Foreign Market Development Cooperator Program (C)						
10.601	Market Access Program (C)						
10.603	Emerging Markets Program (C)				X		
10.604	Technical Assistance for Specialty Crops Program (C)			X	X		
10.605	Quality Samples Program (C)			X			
10.606	Food for Progress (H)						
10.607	Section 416(b) (H)						
10.608	Food for Education (H)						
10.609	Trade Adjustment Assistance (D)	X	X	X	X	X	X
10.652	Forestry Research (B)		X	X	X	X	
10.664	Cooperative Forestry Assistance (A,B)	X					X
10.665	Schools and Roads-Grants to States (A)				X	X	
10.666	Schools and Roads-Grants to Counties (A)		X				
10.670	National Forest-Dependent Rural Communities (B,I,M)		X				
10.671	Southeast Alaska Economic Disaster Fund (C)		X				
10.672	Rural Development, Forestry, and Communities (B)		X		X		
10.674	Forest Products Lab: Technology Marketing Unit (TMU) (B)	X	X		X		
10.675	Urban and Community Forestry Program (B)				X		
10.676	Forest Legacy Program (B)				X		
10.677	Forest Land Enhancement Program (B)				X		
10.678	Forest Stewardship Program (B)				X		
10.679	Collaborative Forest Restoration (B)		X	X			
10.680	Forest Health Protection (A,B)	X	X	X	X	X	X
10.681	WOOD EDUCATION AND RESOURCE CENTER (WERC) (B)		X	X	X		X
10.684	International Forestry Programs (B)			X	X		X
10.700	National Agricultural Library (L)	X	X	X	X	X	
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)		X	X			X
10.761	Technical Assistance and Training Grants (B)						
10.762	Solid Waste Management Grants (B)		X				
10.763	Emergency Community Water Assistance Grants (B)		X				
10.766	Community Facilities Loans and Grants (B,E,F)		X		X		
10.767	Intermediary Relending Program (E)		X		X		X
10.768	Business and Industry Loans (F)	X	X				X
10.769	Rural Business Enterprise Grants (B)		X	X	X		X
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B,E)		X	X			X
10.771	Rural Cooperative Development Grants (B)			X			
10.772	Empowerment Zones Program (B)		X		X		
10.773	Rural Business Opportunity Grants (B)	X	X	X	X	X	X
10.774	National Sheep Industry Improvement Center (B,C,E,F)	X		X			X
10.775	Renewable Energy Systems and Energy Efficiency Improvements Program (E,F)	X					
10.778	Research on the Economic Impact of Cooperatives (C)				X		
10.850	Rural Electrification Loans and Loan Guarantees (E)		X				
10.851	Rural Telephone Loans and Loan Guarantees (E,F)			X			
10.854	Rural Economic Development Loans and Grants (B,E)	X	X	X	X	X	X
10.855	Distance Learning and Telemedicine Loans and Grants (B,E)		X	X	X	X	
10.856	1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)				X		
10.857	State Bulk Fuel Revolving Fund Grants (B)				X		
10.858	Denali Commission Grants and Loans (B,E)				X		
10.859	Assistance to High Energy Cost Rural Communities (B,E)				X		
10.860	Rural Business Investment Program (B,F)	X	X	X	X	X	X
10.861	Public Television Station Digital Transition Grant Program (B)		X	X	X		

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Program Description		Individual	Local	NonProfit	State	U S Territories	Federal Tribal Govern ments.
10.862	Household Water Well System Grant Program (B)						
10.863	Community Connect Grant Program (B)		X		X		
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)						
10.886	Rural Broadband Access Loans and Loan Guarantees (E,F)		X	X	X		
10.901	Resource Conservation and Development (K)		X	X	X	X	
10.902	Soil and Water Conservation (K)	X	X		X	X	
10.903	Soil Survey (L)	X	X	X	X	X	X
10.904	Watershed Protection and Flood Prevention (B,K)		X	X	X	X	
10.905	Plant Materials for Conservation (J)				X	X	
10.906	Watershed Surveys and Planning (J,K)		X	X	X		
10.907	Snow Survey and Water Supply Forecasting (L)	X	X	X	X	X	X
10.910	Rural Abandoned Mine Program (C)	X	X				
10.912	Environmental Quality Incentives Program (C)	X					X
10.913	Farm and Ranch Lands Protection Program (C)		X		X		
10.914	Wildlife Habitat Incentive Program (C)	X	X	X	X	X	X
10.916	Watershed Rehabilitation Program (B,K)		X		X		
10.917	Agricultural Management Assistance (C)				X		
10.918	Ground and Surface Water Conservation- Environmental Quality Incentives Program (C)	X	X	X	X	X	X
10.919	Klamath Basin - Environmental Quality Incentives Program (C)	X	X	X	X	X	X
10.920	Grassland Reserve Program (C)	X	X	X	X	X	X
10.921	Conservation Security Program (C)	X	X	X	X	X	X
10.922	Healthy Forests Reserve Program (HFRP) (C)						
10.923	Emergency Watershed Protection Program (B)	X	X	X	X	X	X
10.950	Agricultural Statistics Reports (L)	X	X	X	X	X	X
10.960	Technical Agricultural Assistance (B)			X	X	X	
10.961	Scientific Cooperation and Research (B,C)			X	X	X	
10.962	Cochran Fellowship Program-International Training-Foreign Participant (C)			X			
10.994	Peanut Quota Buyout Program (D)	X					
10.995	Hard White Wheat Incentive Program (D)	X	X	X	X	X	X
10.999	Long Term Standing Agreements For Storage, Transpotation And Lease (C)						
DEPARTMENT OF COMMERCE							
11.001	Census Bureau Data Products (L)	X	X	X	X	X	X
11.002	Census Customer Services (K,L,M)	X	X	X	X	X	X
11.003	Census Geography (J,L)	X					
11.004	Census Intergovernmental Services (K,L,M)		X		X		
11.005	Census Special Tabulations and Services (J,L)		X		X		
11.006	Personal Census Search (J)	X					
11.025	Measures and Analyses of the U.S. Economy (L)		X		X		
11.026	STAT-USA: Key Business, Economic, and International Trade Information (L)	X	X	X	X	X	X
11.106	Remedies for Unfair Foreign Trade Practices-Antidumping and Countervailing Duty Investigations (J,N)	X	X	X	X	X	X
11.108	Commercial Service (K)	X	X	X	X	X	X
11.110	Manufacturing and Services (K)	X	X	X	X	X	X
11.111	Foreign-Trade Zones in the United States (J)						
11.112	Market Development Cooperator Program (B)			X			
11.113	ITA Special Projects (B)	X		X			
11.150	Export Licensing Service and Information (K)	X	X	X	X	X	X
11.300	Investments for Public Works and Economic Development Facilities (B)		X	X	X		
11.302	Economic Development-Support for Planning Organizations (B)		X				X
11.303	Economic Development-Technical Assistance (B)		X	X	X	X	X
11.307	Economic Adjustment Assistance (B)		X	X	X		X
11.312	Research and Evaluation Program (B)	X		X			
11.313	Trade Adjustment Assistance for Firms (B)			X			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)		X		X		
11.405	Anadromous Fish Conservation Act Program (B)	X	X	X	X	X	X
11.407	Interjurisdictional Fisheries Act of 1986 (A)				X		
11.408	Fishermen's Contingency Fund (D)	X					
11.413	Fishery Products Inspection and Certification (J)	X	X		X		
11.415	Fisheries Finance Program (E)	X					
11.417	Sea Grant Support (B)	X	X	X	X	X	X
11.419	Coastal Zone Management Administration Awards (A,B)				X	X	
11.420	Coastal Zone Management Estuarine Research Reserves (B)				X	X	
11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)		X	X	X		
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	X	X	X	X	X	X
11.429	Marine Sanctuary Program (B)			X	X	X	
11.430	Undersea Research (B)	X	X	X	X	X	X
11.431	Climate and Atmospheric Research (B)	X	X	X	X	X	X
11.432	Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (B)				X		
11.433	Marine Fisheries Initiative (B)	X	X	X	X	X	X
11.434	Cooperative Fishery Statistics (B)				X		
11.435	Southeast Area Monitoring and Assessment Program (B)				X		

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Program Description		Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Govern ments.
11.436	Columbia River Fisheries Development Program (B)				X		
11.437	Pacific Fisheries Data Program (B)				X		
11.438	Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (B)				X		
11.439	Marine Mammal Data Program (B)				X		
11.440	Environmental Sciences, Applications, Data, and Education (B)			X	X		
11.441	Regional Fishery Management Councils (B)						
11.443	Short Term Climate Fluctuations (B)			X			
11.444	Hawaii Sustainable Fisheries Development (Aquaculture Program) (B)			X	X		
11.445	Hawaii Stock Management Program (B)			X	X		
11.449	Independent Education and Science Projects and Programs (B)			X			
11.450	Automated Flood Warning Systems (AFWS) (B)				X		
11.452	Unallied Industry Projects (B)	X	X		X	X	X
11.454	Unallied Management Projects (B)	X	X		X	X	X
11.455	Cooperative Science and Education Program (B)				X	X	
11.457	Chesapeake Bay Studies (B)				X		
11.459	Weather and Air Quality Research (B)	X	X	X	X	X	X
11.460	Special Oceanic and Atmospheric Projects (B)		X	X	X		
11.462	Hydrologic Research (B)		X		X		
11.463	Habitat Conservation (B)	X	X	X	X	X	X
11.467	Meteorologic and Hydrologic Modernization Development (B,D,L,M)		X		X		
11.468	Applied Meteorological Research (B)	X	X	X	X	X	X
11.469	Congressionally Identified Awards and Projects (B)	X	X	X	X	X	X
11.472	Unallied Science Program (B)	X	X		X	X	X
11.473	Coastal Services Center (B)		X	X	X		
11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)				X		
11.477	Fisheries Disaster Relief (B)				X		
11.478	Center for Sponsored Coastal Ocean Research-Coastal Ocean Program (B)	X	X	X	X		
11.480	National Ocean Service Intern Program (B)			X			
11.481	Educational Partnership Program (B)				X		
11.550	Public Telecommunications Facilities Planning and Construction (B)		X	X	X	X	X
11.553	Special Projects (B)	X	X	X	X	X	X
11.554	Low-Power Television and Translator Digital-to-Analog Conversion (B)	X	X	X	X	X	X
11.555	Public Safety Interoperable Communications Grant Program (B)				X	X	
11.556	TV Converter Box Coupon Program (C)	X	X	X	X	X	X
11.601	Calibration Program (J)	X	X	X	X	X	X
11.603	National Standard Reference Data System (H)	X	X	X	X	X	X
11.604	Standard Reference Materials (H)	X	X	X	X	X	X
11.606	Weights and Measures Service (J,K,L,M)	X	X	X	X	X	X
11.609	Measurement and Engineering Research and Standards (B)		X		X		
11.610	National Center for Standards and Certification Information (L)	X	X	X	X	X	X
11.611	Manufacturing Extension Partnership (B,L)			X			
11.612	Advanced Technology Program (B)						
11.616	Technology Innovation Program (TIP) (B)			X			
11.617	Congressionally-Identified Projects (B)			X			
11.650	National Technical Information Service (L)	X	X	X	X	X	X
11.702	Internship Program for Postsecondary Students (B)			X	X		
11.800	Minority Business Enterprise Centers (B)	X	X	X	X	X	X
11.801	Native American Business Enterprise Centers (B)	X					
11.803	Minority Business Opportunity Center (MBOC) (B)		X	X	X		
11.900	Patent and Trademark Technical Information Dissemination (L)	X	X	X	X	X	X
DEPARTMENT OF DEFENSE							
12.002	Procurement Technical Assistance For Business Firms (B)		X		X		
12.100	Aquatic Plant Control (J,L)		X		X		
12.101	Beach Erosion Control Projects (J)		X		X		
12.102	Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (J)	X	X		X		
12.103	Emergency Operations Flood Response and Post Flood Response (J)		X		X		
12.104	Flood Plain Management Services (K,L)	X	X	X	X	X	X
12.105	Protection of Essential Highways, Highway Bridge Approaches, and Public Works (J)		X		X		
12.106	Flood Control Projects (J)		X		X		
12.107	Navigation Projects (J)		X		X		
12.108	Snagging and Clearing for Flood Control (J)		X		X		
12.109	Protection, Clearing and Straightening Channels (J)		X		X		
12.110	Planning Assistance to States (J)				X	X	
12.111	Emergency Advance Measures for Flood Prevention (J)	X	X	X	X	X	X
12.112	Payments to States in Lieu of Real Estate Taxes (A)				X		
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services (B)				X		
12.114	Collaborative Research and Development (B)		X	X	X		
12.116	Department of Defense Appropriation Act of 2003 (J)				X		
12.300	Basic and Applied Scientific Research (B)			X			
12.350	Department of Defense HIV/AIDS Prevention Program (B)				X		
12.351	Basic Scientific Research - Combating Weapons of Mass Destruction (B)			X			
12.352	Scientific Research - Combating Weapons of Mass Destruction (B)			X			

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
12.360	Research on Chemical and Biological Defense (B)						
12.400	Military Construction, National Guard (B)				X	X	
12.401	National Guard Military Operations and Maintenance (O&M) Projects (B)				X	X	
12.404	National Guard Civilian Youth Opportunities (A)						
12.420	Military Medical Research and Development (B)			X			
12.431	Basic Scientific Research (B)		X	X	X		
12.550	National Flagship Language Program Grants To U.S. Institutions Of Higher Education (B)			X	X		
12.551	National Security Education Program David L. Boren Scholarships (B)	X	X	X	X	X	X
12.552	National Security Education Program David L. Boren Fellowships (B)	X	X	X	X	X	X
12.553	National Flagship Language Program Fellowships (B)	X	X	X	X	X	X
12.554	English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)			X	X		
12.555	English for Heritage Language Speakers Scholarships (B)						
12.607	Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)		X		X	X	X
12.610	Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)		X		X		
12.611	Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment (B)		X		X		X
12.614	Community Economic Adjustment Diversification Planning (B)		X		X		
12.630	Basic, Applied, and Advanced Research in Science and Engineering (B)			X			
12.700	Donations/Loans of Obsolete DOD Property (I)		X	X	X	X	
12.800	Air Force Defense Research Sciences Program (B)			X			
12.900	Language Grant Program (B)	X	X	X	X	X	X
12.901	Mathematical Sciences Grants Program (B)	X	X	X	X	X	X
12.902	Information Security Grant Program (B)	X	X	X	X	X	X
12.910	Research and Technology Development (B)			X			
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT							
14.103	Interest Reduction Payments-Rental and Cooperative Housing for Lower Income Families (C,F)	X					
14.108	Rehabilitation Mortgage Insurance (F)	X					
14.110	Manufactured Home Loan Insurance-Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)	X					
14.112	Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)	X					
14.117	Mortgage Insurance-Homes (F)	X					
14.119	Mortgage Insurance-Homes for Disaster Victims (F)	X					
14.122	Mortgage Insurance-Homes in Urban Renewal Areas (F)	X					
14.123	Mortgage Insurance-Housing in Older, Declining Areas (F)	X					
14.126	Mortgage Insurance-Cooperative Projects (F)			X			
14.127	Mortgage Insurance-Manufactured Home Parks (F)	X					
14.128	Mortgage Insurance-Hospitals (F)						
14.129	Mortgage Insurance-Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)						
14.133	Mortgage Insurance-Purchase of Units in Condominiums (F)	X					
14.134	Mortgage Insurance-Rental Housing (F)	X					
14.135	Mortgage Insurance-Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	X		X			
14.138	Mortgage Insurance-Rental Housing for the Elderly (F)			X			
14.139	Mortgage Insurance-Rental Housing in Urban Renewal Areas (F)	X		X			
14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X					
14.149	Rent Supplements-Rental Housing for Lower Income Families (C)	X					
14.151	Supplemental Loan Insurance-Multifamily Rental Housing (F)	X		X			
14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	X	X	X	X	X	X
14.157	Supportive Housing for the Elderly (C)						
14.159	Section 245 Graduated Payment Mortgage Program (F)	X	X	X	X	X	X
14.162	Mortgage Insurance-Combination and Manufactured Home Lot Loans (F)	X	X	X	X	X	X
14.163	Mortgage Insurance-Single Family Cooperative Housing (F)	X					
14.164	Operating Assistance for Troubled Multifamily Housing Projects (C)						
14.167	Mortgage Insurance-Two Year Operating Loss Loans, Section 223(d) (F)	X					
14.168	Land Sales-Certain Subdivided Land (L,N)	X					
14.169	Housing Counseling Assistance Program (B)		X	X	X		
14.171	Manufactured Home Construction and Safety Standards (L,N)	X	X	X	X	X	X
14.172	Mortgage Insurance-Growing Equity Mortgages (F)	X	X	X	X	X	X
14.175	Adjustable Rate Mortgages (F)	X					
14.181	Supportive Housing for Persons with Disabilities (C)						
14.183	Home Equity Conversion Mortgages (F)						
14.184	Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)			X			
14.188	Housing Finance Agencies (HFA) Risk Sharing (F)						
14.189	Qualified Participating Entities (QPE) Risk Sharing (F)						
14.191	Multifamily Housing Service Coordinators (B)		X	X			X

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	Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
14.195	Section 8 Housing Assistance Payments Program-Special Allocations (C)						
14.197	Multifamily Assisted Housing Reform and Affordability Act (C)	X					
14.198	Good Neighbor Next Door Sales Program (H)						
14.199	Multifamily Property Disposition (H)						
14.218	Community Development Block Grants/Entitlement Grants (A)		X				
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)					X	
14.227	Community Development Block Grants/Technical Assistance Program (B)		X	X	X		X
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)				X		
14.231	Emergency Shelter Grants Program (A)		X	X			
14.235	Supportive Housing Program (B,C)		X	X			
14.238	Shelter Plus Care (B)		X		X		X
14.239	Home Investment Partnerships Program (A)		X		X		X
14.241	Housing Opportunities for Persons with AIDS (A,B)		X		X		
14.244	Empowerment Zones Program (B,C)				X		
14.246	Community Development Block Grants/Brownfields Economic Development Initiative (B)		X				
14.247	Self-Help Homeownership Opportunity Program (B)						
14.248	Community Development Block Grants-Section 108 Loan Guarantees (F)			X			
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)						
14.250	Rural Housing and Economic Development (B)		X				
14.251	Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)		X	X	X		
14.252	Section 4 Capacity Building for Affordable Housing and Community Development Grants (B)						
14.311	Single Family Property Disposition (H)	X	X	X	X	X	X
14.313	Dollar Home Sales (H)		X				
14.314	Assisted Living Conversion for Eligible Multifamily Housing Projects (B)			X			
14.315	Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)			X			
14.316	Housing Counseling Training Program (B)			X			
14.400	Equal Opportunity in Housing (N)	X					
14.401	Fair Housing Assistance Program-State and Local (B)		X		X		
14.402	Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (N)	X	X	X	X	X	X
14.404	Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (N)	X	X	X	X	X	X
14.405	Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color, or National Origin) (N)	X	X	X	X	X	X
14.406	Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (N)	X	X	X	X	X	X
14.407	Architectural Barriers Act Enforcement (N)	X	X	X	X	X	X
14.408	Fair Housing Initiatives Program (B)		X		X		
14.412	Employment Opportunities for Lower Income Persons and Businesses (N)	X	X	X	X	X	X
14.414	Non-Discrimination on the Basis of Disability by Public Entities (N)		X				
14.415	Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (N)	X	X	X	X	X	X
14.506	General Research and Technology Activity (B)		X	X	X		
14.514	Hispanic-Serving Institutions Assisting Communities (B)			X			
14.515	Alaska Native/Native Hawaiian Institutions Assisting Communities (B)				X		
14.516	Doctoral Dissertation Research Grants (B)			X	X	X	
14.517	Early Doctoral Student Research Grants (B)			X	X	X	
14.519	Tribal Colleges and Universities Program (B)		X				
14.520	Historically Black Colleges and Universities Program (B)				X		
14.850	Public and Indian Housing (C)		X	X			X
14.856	Lower Income Housing Assistance Program-Section 8 Moderate Rehabilitation (C)	X	X	X	X	X	X
14.862	Indian Community Development Block Grant Program (B)						X
14.865	Public and Indian Housing-Indian Loan Guarantee Program (F)		X				X
14.866	Demolition and Revitalization of Severely Distressed Public Housing (B)				X		
14.867	Indian Housing Block Grants (A)		X				X
14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)						X
14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)			X			X
14.871	Section 8 Housing Choice Vouchers (C)		X		X		
14.872	Public Housing Capital Fund (A)	X	X	X	X	X	X
14.873	Native Hawaiian Housing Block Grants (B)						
14.874	Loan Guarantees for Native Hawaiian Housing (F)						
14.875	Public Housing Neighborhood Networks Grants (B)						
14.876	Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (B)			X			X
14.877	Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)			X			X
14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)		X		X		
14.879	Mainstream Vouchers (C)		X	X	X		
14.880	Family Unification Program (FUP) (C)		X	X	X		
14.881	Moving to Work Demonstration Program (A)	X	X	X	X	X	X
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)		X		X		

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
14.901	Healthy Homes Demonstration Grants (B)		X	X	X		X
14.902	Lead Technical Studies Grants (B)		X	X	X		X
14.903	Operation Lead Elimination Action Program (B)			X			
14.904	Lead Outreach Grants (B)		X		X		
14.905	Lead Hazard Reduction Demonstration Grant Program (B)		X		X		
14.906	Healthy Homes Technical Studies Grants (B)		X	X	X		X
DEPARTMENT OF THE INTERIOR							
15.020	Aid To Tribal Governments (C)						X
15.021	Consolidated Tribal Government Program (C)						X
15.022	Tribal Self-Governance (C)						X
15.024	Indian Self-Determination Contract Support (C)						X
15.025	Services to Indian Children, Elderly and Families (C)						X
15.026	Indian Adult Education (C)						X
15.027	Assistance to Tribally Controlled Community Colleges and Universities (B)						X
15.028	Tribally Controlled Community College Endowments (B)						X
15.029	Tribal Courts (C)						X
15.030	Indian Law Enforcement (C)						X
15.031	Indian Community Fire Protection (C)						X
15.032	Indian Economic Development (C)						X
15.033	Road Maintenance-Indian Roads (C)						X
15.034	Agriculture on Indian Lands (C,J,K)						X
15.035	Forestry on Indian Lands (C,J,K)						X
15.036	Indian Rights Protection (C)						X
15.037	Water Resources on Indian Lands (C,J,K)						X
15.038	Minerals and Mining on Indian Lands (C,J,L)						X
15.040	Real Estate Programs-Indian Lands (C)						X
15.041	Environmental Management-Indian Programs (C)						X
15.042	Indian School Equalization Program (C)						X
15.043	Indian Child and Family Education (B,M)						X
15.044	Indian Schools-Student Transportation (C)						X
15.045	Assistance for Indian Children with Severe Disabilities (C)						
15.046	Administrative Cost Grants for Indian Schools (B)						X
15.047	Indian Education Facilities, Operations, and Maintenance (C)						X
15.048	Bureau of Indian Affairs Facilities-Operations and Maintenance (C)						X
15.051	Endangered Species on Indian Lands (C,K,L)						X
15.052	Litigation Support for Indian Rights (C)						X
15.053	Attorney Fees-Indian Rights (C)						X
15.055	Alaskan Indian Allotments and Subsistence Preference-Alaska National Interest Lands Conservation Act (C)						X
15.057	Navajo-Hopi Indian Settlement Program (C,J)						X
15.058	Indian Post Secondary Schools (M)						
15.059	Indian Graduate Student Scholarships (B)						
15.060	Indian Vocational Training-United Tribes Technical College (D,M)						
15.061	Indian Job Placement-United Sioux Tribes Development Corporation (D,K)						
15.062	Replacement and Repair of Indian Schools (C)						X
15.063	Improvement and Repair of Indian Detention Facilities (C)						X
15.064	Structural Fire Protection-Bureau of Indian Affairs Facilities (C)						X
15.065	Safety of Dams on Indian Lands (C)						X
15.108	Indian Employment Assistance (C)	X					X
15.113	Indian Social Services-Welfare Assistance (C)	X					X
15.114	Indian Education-Higher Education Grant Program (B)	X					X
15.124	Indian Loans-Economic Development (F)						X
15.130	Indian Education-Assistance to Schools (C)				X		X
15.133	Native American Business Development Institute (A)						X
15.141	Indian Housing Assistance (B,L)	X					X
15.144	Indian Child Welfare Act-Title II Grants (B)						X
15.146	Ironworker Training Program (B)						X
15.147	Tribal Courts-Trust Reform Initiative (B)						X
15.148	Tribal Energy Development Capacity Grants (I)						X
15.214	Non-Sale Disposals of Mineral Material (H)			X	X		
15.222	Cooperative Inspection Agreements with States and Tribes (B)				X		X
15.224	Cultural Resource Management (B,H,I,J,K,L,M,N)	X	X	X	X	X	X
15.225	Recreation Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.226	Payments in Lieu of Taxes (C,D)			X			
15.227	Distribution of Receipts to State and Local Governments (C,D)		X		X		
15.228	National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)		X	X	X		
15.229	Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.230	Invasive and Noxious Plant Management (B,K,M)		X		X		
15.231	Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.232	Wildland Fire Research and Studies Program (B)	X	X	X	X	X	X
15.233	Forests and Woodlands Resource Management (B,K,M)	X	X	X	X	X	X
15.234	Secure Rural Schools and Community Self-Determination (B)		X	X	X		
15.235	Southern Nevada Public Land Management (B)		X				
15.236	Environmental Quality and Protection Resource Management (B,K,L,M)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
15.237	Rangeland Resource Management (B,K,M)	X	X	X	X	X	X
15.238	Challenge Cost Share (B,I,K,M)	X	X	X	X	X	X
15.239	Management Initiatives (B,I,K,M)	X	X	X	X	X	X
15.240	Helium Resource Management (B)			X			
15.242	National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)		X		X		X
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)				X		
15.252	Abandoned Mine Land Reclamation (AMLR) Program (A,B)		X		X		X
15.253	Not-for-Profit AMD Reclamation (B)						
15.254	Summer Watershed Intern (C)			X	X		
15.255	Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)		X	X	X		X
15.406	National Park Service Centennial Challenge. (A)	X	X	X	X		X
15.407	Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	X	X	X		
15.421	Alaska Coastal Marine Institute (B)				X		
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)						
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)				X		
15.424	Marine Minerals Activities (B)				X		
15.425	Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)						
15.426	Coastal Impact Assistance Program (CIAP) (A)						
15.427	Federal Oil and Gas Royalty Management (B)				X		X
15.428	Marine Gas Hydrate Research Activities (B)			X	X	X	
15.504	Water Reclamation and Reuse Program (A)		X		X		
15.506	Water Desalination Research and Development Program (B)		X	X	X		X
15.507	Water 2025 (B)				X		
15.508	Providing Water to At-Risk Natural Desert Terminal Lakes (B)		X	X	X		X
15.509	Colorado River Basin Salinity Control Program (B)	X	X	X	X	X	X
15.510	Colorado Ute Indian Water Rights Settlement Act (B)		X		X		
15.511	Cultural Resources Management (B)		X	X	X		X
15.512	Central Valley Project Improvement Act, Title XXXIV (B)		X		X		
15.513	Dutch John Federal Property and Disposition Assistance Act (B)				X		
15.514	Reclamation States Emergency Drought Relief (B,J,K)						
15.515	Federal Resource Protection (B)		X		X		
15.516	Fort Peck Reservation Rural Water System (B)				X		
15.517	Fish and Wildlife Coordination Act (B)		X	X	X		X
15.518	Garrison Diversion Unit (B)		X				X
15.519	Indian Tribal Water Resources Development, Management, and Protection (B)		X				X
15.520	Lewis and Clark Rural Water System (B)						
15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (B)				X		
15.522	Mni Wiconi Rural Water Supply Project (B)						X
15.523	Perkins County Rural Water System (B)				X		
15.524	Recreation Resources Management (B)		X		X		
15.525	Rocky Boy's/North Central Montana Regional Water System (B)						X
15.526	San Gabriel Basin Restoration Project (B)						
15.527	San Luis Unit, Central Valley Project (B)		X	X	X		X
15.528	St. Mary Storage Unit Facilities Rehabilitation Project (B)				X		X
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)		X	X	X		X
15.530	Water Conservation Field Services Program (WCFSP) (B)		X	X	X		
15.531	Yakima River Basin Water Enhancement Project (YRBWEP) (B)		X		X		X
15.532	Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)		X	X	X		X
15.533	California Water Security and Environmental Enhancement (B)				X		
15.534	Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)						X
15.602	Conservation Law Enforcement Training Assistance (M)				X		
15.605	Sport Fish Restoration Program (A)				X	X	
15.608	Fish and Wildlife Management Assistance (J)				X		X
15.611	Wildlife Restoration (A)				X		
15.614	Coastal Wetlands Planning, Protection and Restoration Act (B)				X	X	
15.615	Cooperative Endangered Species Conservation Fund (B)				X	X	
15.616	Clean Vessel Act (B)				X	X	
15.619	Rhinoceros and Tiger Conservation Fund (B)		X	X	X		
15.620	African Elephant Conservation Fund (B)	X	X	X	X	X	X
15.621	Asian Elephant Conservation Fund (B)		X	X	X		
15.622	Sportfishing and Boating Safety Act (B)				X		
15.623	North American Wetlands Conservation Fund (B)				X		
15.625	Wildlife Conservation and Restoration (A)				X		
15.626	Hunter Education and Safety Program (A)				X		
15.628	Multistate Conservation Grant Program (B)				X		
15.629	Great Apes Conservation Fund (B)		X	X	X		
15.630	Coastal Program (B)	X	X	X	X	X	X
15.631	Partners for Fish and Wildlife (C)						X
15.632	Conservation Grants Private Stewardship for Imperiled Species (B)	X		X			
15.633	Landowner Incentive Program (B)				X		X

APPLICANT ELIGIBILITY INDEX

Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
15.634	State Wildlife Grants (A,B)				X		
15.635	Neotropical Migratory Bird Conservation (B)	X		X	X		
15.636	Alaska Subsistence Management (B)	X		X	X		X
15.637	Migratory Bird Joint Ventures (B)		X	X	X		X
15.638	Tribal Landowner Incentive Program (B)						X
15.639	Tribal Wildlife Grants Program (B)						X
15.640	Wildlife Without Borders- Latin America and the Caribbean (B)		X	X	X		
15.641	Wildlife Without Borders-Mexico (B)		X	X	X		
15.642	Challenge Cost Share (B)	X	X	X	X	X	
15.643	Alaska Migratory Bird Co-Management Council (B)		X	X			X
15.644	Federal Junior Duck Stamp Conservation and Design (C,H)	X		X			
15.645	Marine Turtle Conservation Fund (B)						
15.647	Migratory Bird Conservation (B,C)		X		X		X
15.648	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (B)		X	X	X		
15.649	Service Training and Technical Assistance (Generic Training) (B)						
15.650	Research Grants (Generic) (B)						
15.651	Wildlife Without Borders-Africa Program (B)		X	X	X		
15.652	Undesirable/Noxious Plant Species (B)	X	X	X	X		
15.653	National Outreach and Communication Program (B)	X	X	X	X	X	X
15.654	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (B)	X	X	X	X		
15.655	Migratory Bird Monitoring, Assessment and Conservation (B,C)		X	X	X	X	X
15.805	Assistance to State Water Resources Research Institutes (A,B)				X	X	
15.807	Earthquake Hazards Reduction Program (B)		X	X	X		
15.808	U.S. Geological Survey- Research and Data Collection (B)		X	X	X		
15.809	National Spatial Data Infrastructure Cooperative Agreements Program (B)		X	X	X	X	X
15.810	National Cooperative Geologic Mapping Program (B)				X		
15.811	Gap Analysis Program (B)		X	X	X		
15.812	Cooperative Research Units Program (B)						
15.813	Cooperative Research Units Training Program (B)		X		X		
15.814	National Geological and Geophysical Data Preservation Program (B)				X		
15.815	National Land Remote Sensing-Education Outreach and Research (B)		X	X	X		
15.816	Minerals Resources External Research Program (B)		X	X	X		
15.850	Indian Arts and Crafts Development (I,K,N)		X	X	X		X
15.875	Economic, Social, and Political Development of the Territories (B)					X	
15.904	Historic Preservation Fund Grants-In-Aid (A,B)			X	X	X	
15.912	National Historic Landmark (K)	X	X	X	X	X	X
15.914	National Register of Historic Places (K)				X	X	
15.915	Technical Preservation Services (J,K,L)	X	X		X		
15.916	Outdoor Recreation-Acquisition, Development and Planning (B)		X		X	X	X
15.918	Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (I)		X		X		
15.921	Rivers, Trails and Conservation Assistance (K)		X		X		
15.922	Native American Graves Protection and Repatriation Act (B)		X		X		X
15.923	National Center for Preservation Technology and Training (B)		X	X	X		X
15.926	American Battlefield Protection (B)		X	X	X	X	X
15.927	Hydropower Recreation Assistance (K)				X		
15.928	Civil War Battlefield Land Acquisition Grants (B)		X		X		
15.929	Save America's Treasures (B)		X	X	X		X
15.930	Chesapeake Bay Gateways Network (B)	X	X	X	X	X	X
15.931	Conservation Activities by Youth Service Organizations (B)		X		X		
15.978	Upper Mississippi River System Long Term Resource Monitoring Program (B)		X		X		

DEPARTMENT OF JUSTICE

16.001	Law Enforcement Assistance-Narcotics and Dangerous Drugs-Laboratory Analysis (J)		X		X		
16.003	Law Enforcement Assistance-Narcotics and Dangerous Drugs Technical Laboratory Publications (L)						
16.004	Law Enforcement Assistance-Narcotics and Dangerous Drugs Training (M)		X		X		
16.012	Alcohol, Tobacco, and Firearms-Training Assistance (M)		X		X		
16.013	Violence Against Women Act Court Training and Improvement Grants (B)		X		X	X	X
16.014	Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)		X		X	X	X
16.016	Culturally and Linguistically Specific Services Program (B)		X				
16.017	Sexual Assault Services Program (A,B)		X		X		
16.019	Tribal Registry (B)		X				X
16.100	Desegregation of Public Education (J)	X					
16.101	Equal Employment Opportunity (J)	X	X	X	X	X	X
16.103	Fair Housing and Equal Credit Opportunity (J)	X	X	X	X	X	X
16.104	Protection of Voting Rights (J)	X	X	X	X	X	X
16.105	Civil Rights of Institutionalized Persons (J)	X	X	X	X	X	X
16.108	Americans With Disabilities Act Technical Assistance Program (B,L,M,N)		X	X	X		
16.109	Civil Rights Prosecution (B)	X	X	X	X	X	X
16.110	Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)						
16.200	Community Relations Service (J)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

	Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Govern- ments
16.202	Prisoner Reentry Initiative Demonstration (Offender Reentry) (B)		X	X	X		X
16.203	Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)		X		X		
16.300	Law Enforcement Assistance-FBI Advanced Police Training (M)	X	X		X		
16.301	Law Enforcement Assistance-FBI Crime Laboratory Support (J,M)		X		X	X	
16.302	Law Enforcement Assistance-FBI Field Police Training (M)		X		X		
16.303	Law Enforcement Assistance-FBI Fingerprint Identification (J)		X		X		
16.304	Law Enforcement Assistance-National Crime Information Center (J)				X		
16.305	Law Enforcement Assistance-Uniform Crime Reports (L)	X	X		X		
16.307	Combined DNA Index System (B)		X		X		
16.308	Indian Country Investigations (M)		X				
16.309	Law Enforcement Assistance-National Instant Criminal Background Check System (J)	X	X	X	X	X	X
16.320	Services for Trafficking Victims (B,C)		X		X		
16.321	Antiterrorism Emergency Reserve (B,C)			X	X		
16.523	Juvenile Accountability Block Grants (A,B)		X		X	X	
16.524	Legal Assistance for Victims (B)			X	X		
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)				X		
16.526	OVW Technical Assistance Initiative (B)			X			
16.527	Supervised Visitation, Safe Havens for Children (B)	X	X	X	X		X
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	X	X	X	X		X
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)		X	X	X		X
16.540	Juvenile Justice and Delinquency Prevention-Allocation to States (A,B)				X		
16.541	Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	X	X	X	X	X
16.543	Missing Children's Assistance (B)	X	X	X	X	X	X
16.547	Victims of Child Abuse (B)		X		X		
16.548	Title V-Delinquency Prevention Program (A)		X		X		
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)				X		
16.554	National Criminal History Improvement Program (NCHIP) (B)				X		
16.556	Grants to State Sexual Assault and Domestic Violence Coalitions (A)				X		
16.557	Tribal Domestic Violence and Sexual Assault Coalitions Program (B)			X			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants (B,I)		X		X	X	
16.562	Criminal Justice Research and Development-Graduate Research Fellowships (B)			X	X		
16.566	National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X					
16.571	Public Safety Officers' Benefits Program (D)	X					
16.575	Crime Victim Assistance (A)			X	X	X	
16.576	Crime Victim Compensation (A)				X	X	
16.578	Federal Surplus Property Transfer Program (H)				X	X	
16.579	Edward Byrne Memorial Formula Grant Program (A)				X	X	
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (B)		X	X	X		X
16.582	Crime Victim Assistance/Discretionary Grants (B,C)			X	X		
16.583	Children's Justice Act Partnerships for Indian Communities (B,C)		X		X		X
16.585	Drug Court Discretionary Grant Program (B)		X		X		
16.586	Violent Offender Incarceration and Truth in Sentencing Incentive Grants (A)				X		
16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)						X
16.588	Violence Against Women Formula Grants (A)		X		X		
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	X	X	X	X		X
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders (B)		X	X	X		X
16.593	Residential Substance Abuse Treatment for State Prisoners (A)		X		X		
16.595	Community Capacity Development Office (B,J)		X		X		
16.596	Correctional Grant Program for Indian Tribes (B)						X
16.601	Corrections-Training and Staff Development (B,J,L,M)	X	X	X	X		
16.602	Corrections-Research and Evaluation and Policy Formulation (B,J,L)	X	X	X	X		
16.603	Corrections-Technical Assistance/Clearinghouse (B,J,L)	X	X	X	X		
16.606	State Criminal Alien Assistance Program (D)		X		X		
16.607	Bulletproof Vest Partnership Program (C)				X		
16.608	Tribal Court Assistance Program (B)						X
16.609	Community Prosecution and Project Safe Neighborhoods (B)		X		X		
16.610	Regional Information Sharing Systems (B)		X		X		
16.611	Closed-Circuit Televising of Child Victims of Abuse (B)		X		X		
16.614	State and Local Anti-Terrorism Training (K,L,M)		X		X		
16.615	Public Safety Officers' Educational Assistance (D)						
16.616	Indian Country Alcohol and Drug Prevention (B)						X
16.710	Public Safety Partnership and Community Policing Grants (B)		X		X		X
16.726	Juvenile Mentoring Program (B)			X	X		
16.727	Enforcing Underage Drinking Laws Program (B)				X	X	
16.730	Reduction and Prevention of Children's Exposure to Violence (B)			X	X		
16.731	Tribal Youth Program (B)						X
16.734	Special Data Collections and Statistical Studies (B)		X	X	X		X

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
16.735	Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)				X		
16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)		X		X		X
16.737	Gang Resistance Education and Training (B)		X		X	X	
16.738	Edward Byrne Memorial Justice Assistance Grant Program (A,B)		X		X	X	
16.739	National Prison Rape Statistics Program (B)	X	X	X	X		
16.740	Statewide Automated Victim Information Notification (SAVIN) Program (B)				X		
16.741	Forensic DNA Backlog Reduction Program (A)		X		X		
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A)		X		X		
16.744	Anti-Gang Initiative (B)		X		X		
16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		X		X		X
16.746	Capital Case Litigation (B)		X		X		
16.747	Crime Victims' Rights Act (B)						
16.748	Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (A)				X		
16.749	Radiation Exposure Compensation Program (B)						
16.750	Support for Adam Walsh Act Implementation Grant Program (B)		X		X	X	X
16.751	Edward Byrne Memorial Competitive Grant Program (B)		X	X	X		X
16.752	Economic High-Tech and Cyber Crime Prevention (B)		X		X		
16.753	Congressionally Recommended Awards (B)		X	X	X		X
DEPARTMENT OF LABOR							
17.002	Labor Force Statistics (B,L)				X		
17.003	Prices and Cost of Living Data (L)	X	X	X	X	X	X
17.004	Productivity and Technology Data (L)	X	X	X	X	X	X
17.005	Compensation and Working Conditions (B,L)	X	X	X	X	X	X
17.150	Employee Benefits Security Administration (L)	X					
17.201	Registered Apprenticeship and Other Training (B,K)	X					
17.207	Employment Service/Wagner-Peyser Funded Activities (A,B)				X	X	
17.225	Unemployment Insurance (A,D)				X	X	
17.235	Senior Community Service Employment Program (A,B)			X	X	X	
17.245	Trade Adjustment Assistance (B)	X					
17.258	WIA Adult Program (A)				X		
17.259	WIA Youth Activities (A)		X		X		
17.260	WIA Dislocated Workers (A,B)				X		
17.261	WIA Pilots, Demonstrations, and Research Projects (B)		X		X		
17.264	National Farmworker Jobs Program (A)		X		X		
17.265	Native American Employment and Training (B)		X				X
17.266	Work Incentive Grants (B)		X		X		X
17.267	Incentive Grants - WIA Section 503 (A,B)				X		
17.268	H-1B Job Training Grants (B)			X			
17.269	Community Based Job Training Grants (B)				X		
17.270	Reintegration of Ex-Offenders (B)			X			
17.271	Work Opportunity Tax Credit Program (WOTC) (A)				X		
17.272	Permanent Labor Certification for Foreign Workers (J)	X					
17.273	Temporary Labor Certification for Foreign Workers (A,J)	X					
17.274	YOUTHBUILD (B)			X			
17.301	Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)	X					
17.302	Longshore and Harbor Workers' Compensation (D)	X					
17.303	Wage and Hour Standards (K,N)	X				X	
17.306	Consumer Credit Protection (K,N)	X				X	
17.307	Coal Mine Workers' Compensation (D)	X					
17.308	Farm Labor Contractor Registration (K,N)	X					
17.309	Labor Organization Reports (K,L,N)	X					
17.310	Energy Employees Occupational Illness Compensation (D)	X					
17.502	Occupational Safety and Health-Susan Harwood Training Grants (B)				X		
17.503	Occupational Safety and Health-State Program (B)				X		
17.504	Consultation Agreements (B)				X		
17.505	OSHA Data Initiative (B)				X		
17.600	Mine Health and Safety Grants (B)				X		
17.601	Mine Health and Safety Counseling and Technical Assistance (K,L)	X	X	X	X	X	X
17.602	Mine Health and Safety Education and Training (M)	X	X	X	X	X	X
17.603	Brookwood-Sago Grant (B)				X		
17.604	Safety and Health Grants (B)			X	X		
17.700	Women's Bureau (K)	X					
17.720	Disability Employment Policy Development (B)	X	X	X	X	X	X
17.801	Disabled Veterans' Outreach Program (DVOP) (A)				X		
17.802	Veterans' Employment Program (B)		X		X		
17.803	Uniformed Services Employment and Reemployment Rights (K,L,N)	X					
17.804	Local Veterans' Employment Representative Program (A)				X		
17.805	Homeless Veterans Reintegration Project (B)		X		X		
17.806	Veteran's Preference in Federal Employment (O)						
17.807	Transition Assistance Program (B)	X					
DEPARTMENT OF STATE							

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	Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
19.204	Fishermen's Guaranty Fund (G)	X					
19.300	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)			X			
19.400	Educational Exchange-Graduate Students (B)	X					
19.401	Educational Exchange-University Lecturers (Professors) and Research Scholars (B)	X					
19.402	International Visitors Program (B)	X		X			
19.403	American Council of Young Political Leaders (B)						
19.408	Educational Exchange-Teachers from Secondary and Postsecondary Levels and School Administrators (B)						
19.409	Arts Exchanges on International Issues (B)						
19.410	Educational Exchange-Congress-Bundestag Youth Exchange (B)						
19.415	Professional Exchanges-Annual Open Grant (B)		X		X		
19.418	Educational Exchange, American Studies Institute (B)		X	X	X		
19.421	Exchange-English Language Fellow Program (B)						
19.423	Exchange-English Language Specialist/Speaker Program (B)						
19.425	Benjamin Gilman International Scholarship (C)						
19.430	International Education Training and Research (B)			X			
19.431	Educational Exchange-Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (B)			X	X		
19.432	Overseas Educational Advising (B)			X			
19.500	Middle East Partnership Initiative (MEPI) (B)		X	X	X		
19.510	U.S. Refugee Admissions Program (B)				X		
19.511	Overseas Refugee Assistance Programs for East Asia (B)						
19.517	Overseas Refugee Assistance Programs for Africa (B)						
19.518	Overseas Refugee Assistance Programs for Western Hemisphere (B)						
19.519	Overseas Refugee Assistance Program for Near East and South Asia (B)						
19.520	Overseas Refugee Assistance Programs for Europe (B)						
19.522	Overseas Refugee Assistance Programs for Strategic Global Priorities (B)			X			
DEPARTMENT OF TRANSPORTATION							
20.100	Aviation Education (K)		X	X	X	X	
20.106	Airport Improvement Program (B,K)		X	X	X	X	X
20.108	Aviation Research Grants (B,I)				X	X	
20.109	Air Transportation Centers of Excellence (B,I,I)				X	X	
20.200	Highway Research and Development Program (B)				X		
20.205	Highway Planning and Construction (A,B)				X	X	
20.215	Highway Training and Education (B,M)		X		X		
20.218	National Motor Carrier Safety (A)				X		
20.219	Recreational Trails Program (A,B)				X		
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)		X		X		
20.231	Performance and Registration Information Systems Management (A)				X		
20.232	Commercial Driver License State Programs (B)				X		
20.233	Border Enforcement Grants (B)				X		
20.234	Safety Data Improvement Program (B)				X		
20.235	Commercial Motor Vehicle Operator Training Grants (B)		X	X	X		
20.236	Thermal Imaging Inspection System Demonstration Project (B)			X			
20.237	Safety Data Improvement Program (B)				X		
20.238	Commercial Drivers License Information System (B)				X		
20.240	Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)				X		
20.301	Railroad Safety (N)	X	X	X	X	X	X
20.303	Grants-in-Aid for Railroad Safety-State Participation (B)				X		
20.312	High Speed Ground Transportation-Next Generation High Speed Rail Program (B)		X	X	X		
20.313	Railroad Research and Development (B)						
20.314	Railroad Development (B)		X		X		
20.315	National Railroad Passenger Corporation Grants (B)						
20.316	Railroad Rehabilitation and Improvement Financing Program (E)		X		X		
20.317	Capital Assistance to States - Intercity Passenger Rail Service (B)				X		
20.500	Federal Transit-Capital Investment Grants (A,B)		X	X	X	X	X
20.505	Federal Transit-Metropolitan Planning Grants (A)		X	X	X		
20.507	Federal Transit-Formula Grants (A)		X		X		
20.509	Formula Grants for Other Than Urbanized Areas (A)		X	X	X		
20.513	Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)						
20.514	Public Transportation Research (B,L,M)		X	X	X		
20.515	State Planning and Research (A)				X		
20.516	Job Access-Reverse Commute (B)		X	X	X		
20.518	Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)						
20.519	Clean Fuels (B)		X		X		
20.521	New Freedom Program (A)		X		X		
20.522	Alternatives Analysis (B)				X		
20.600	State and Community Highway Safety (A)				X	X	X
20.601	Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (B)				X	X	
20.602	Occupant Protection (B)				X	X	
20.605	Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (B)				X	X	
20.607	Alcohol Open Container Requirements (B)				X		
20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)				X		
20.609	Safety Belt Performance Grants (B)				X		

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Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
20.610 State Traffic Safety Information System Improvement Grants (B)				X		
20.611 Incentive Grant Program to Prohibit Racial Profiling (B)				X		
20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)				X		
20.613 Child Safety and Child Booster Seats Incentive Grants (B)				X		
20.614 National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (B)		X	X	X		X
20.700 Pipeline Safety Program Base Grants (A)				X		
20.701 University Transportation Centers Program (B)			X			
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)				X		X
20.704 RITA Hydrogen (B)			X			
20.720 State Damage Prevention Program Grants (B)				X	X	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)				X		
20.760 University Transportation Centers (B)			X			
20.761 Biobased Transportation Research (B)			X			
20.762 Research Grants (B)				X		
20.763 Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (B)			X			
20.764 Hydrogen Storage Research and Development (B)			X			
20.802 Federal Ship Financing Guarantees (F)	X					
20.803 Maritime War Risk Insurance (G)						
20.806 State Maritime Schools (C,I)				X		
20.807 U.S. Merchant Marine Academy (M)	X					
20.808 Capital Construction Fund (C)	X					
20.810 Supplementary Training (M)	X	X		X		
20.812 Construction Reserve Fund (C)	X	X	X	X	X	X
20.813 Maritime Security Fleet Program (C)						
20.814 Assistance to Small Shipyards (C)		X		X		
20.900 Transportation-Consumer Affairs (N)	X	X	X	X	X	X
20.901 Payments for Essential Air Services (C)						
20.904 Bonding Assistance Program (G)						
20.905 Disadvantaged Business Enterprises-Short Term Lending Program (F)						
20.910 Assistance to small and disadvantaged businesses (B)			X			
20.930 Payments for Small Community Air Service Development (B)		X				
20.931 Transportation Planning, Research and Education (B)		X	X	X		
DEPARTMENT OF THE TREASURY						
21.003 Taxpayer Service (K)	X	X	X	X	X	X
21.004 Exchange of Federal Tax Information With State Tax Agencies (J)				X	X	
21.006 Tax Counseling for the Elderly (B)			X			
21.008 Low-Income Taxpayer Clinics (B)						
21.020 Community Development Financial Institutions Program (B)						
21.021 Bank Enterprise Award Program (B)						
APPALACHIAN REGIONAL COMMISSION						
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)		X	X	X		
23.002 Appalachian Area Development (B)						
23.003 Appalachian Development Highway System (B)				X		
23.009 Appalachian Local Development District Assistance (B)		X				
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)		X	X	X		
OFFICE OF PERSONNEL MANAGEMENT						
27.001 Federal Civil Service Employment (O)	X					
27.002 Federal Employment Assistance for Veterans (O)	X					
27.003 Federal Student Temporary Employment Program (O)	X					
27.005 Federal Employment for Individuals With Disabilities (O)	X					
27.006 Federal Summer Employment (O)	X					
27.011 Intergovernmental Personnel Act (IPA) Mobility Program (J,K)		X	X	X		X
27.013 Presidential Management Intern Program (J,M,O)		X	X	X		
COMMISSION ON CIVIL RIGHTS						
29.001 Clearinghouse Services, Civil Rights Discrimination Complaints (L)	X	X	X	X	X	X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination-Title VII of the Civil Rights Act of 1964 (K,N)	X					
30.002 Employment Discrimination-State and Local Fair Employment Practices Agency Contracts (C)		X		X		
30.005 Employment Discrimination-Private Bar Program (J)	X					
30.008 Employment Discrimination-Age Discrimination in Employment (K,N)	X				X	
30.009 Employment Discrimination Project Contracts-Indian Tribes (C)						X
30.010 Employment Discrimination Equal Pay Act (K,N)	X					
30.011 Employment Discrimination-Title I of The Americans with Disabilities Act (K,N)						
FEDERAL COMMUNICATIONS COMMISSION						
32.001 Communications Information and Assistance and Investigation of Complaints (L,N)	X	X	X	X	X	X
FEDERAL MARITIME COMMISSION						

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
33.001	Shipping-Dispute Resolution and Investigation of Complaints (N)	X	X	X	X	X	X
FEDERAL MEDIATION AND CONCILIATION SERVICE							
34.001	Labor Mediation and Conciliation (J,K)	X					
FEDERAL TRADE COMMISSION							
36.001	Fair Competition Counseling and Investigation of Complaints (K,N)	X	X	X	X	X	X
GENERAL SERVICES ADMINISTRATION							
39.002	Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003	Donation of Federal Surplus Personal Property (B)		X	X	X		X
39.007	Sale of Federal Surplus Personal Property (I)	X	X	X	X	X	X
39.009	Federal Citizen Information Center (L)	X	X	X	X	X	X
39.012	Public Buildings Service (J)		X	X	X	X	
GOVERNMENT PRINTING OFFICE							
40.001	Depository Libraries for Government Publications (L)			X	X		
40.002	Government Publications Sales and Distribution (H,L)	X	X	X	X	X	X
LIBRARY OF CONGRESS							
42.001	Books for the Blind and Physically Handicapped (I)	X				X	
42.002	Copyright Service (L)	X	X	X	X	X	X
42.008	Semiconductor Chip Protection Service (L)	X	X	X	X	X	X
42.009	Vessel Hull Design Protection Service (L)	X	X	X	X	X	X
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION							
43.001	Aerospace Education Services Program (L)		X	X	X		
43.002	Technology Transfer (L)	X					
NATIONAL CREDIT UNION ADMINISTRATION							
44.001	Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)		X		X		
44.002	Community Development Revolving Loan Fund Program for Credit Unions (E)				X		
NATIONAL ENDOWMENT FOR THE ARTS							
45.024	Promotion of the Arts-Grants to Organizations and Individuals (B)	X	X	X	X		
45.025	Promotion of the Arts-Partnership Agreements (A,B,K)				X		
NATIONAL ENDOWMENT FOR THE HUMANITIES							
45.129	Promotion of the Humanities-Federal/State Partnership (A)			X	X		
45.130	Promotion of the Humanities-Challenge Grants (B)		X	X	X	X	
45.149	Promotion of the Humanities-Division of Preservation and Access (B)	X	X	X	X	X	X
45.160	Promotion of the Humanities-Fellowships and Stipends (B)	X					
45.161	Promotion of the Humanities-Research (B)			X			
45.162	Promotion of the Humanities-Teaching and Learning Resources and Curriculum Development (B)		X	X	X	X	X
45.163	Promotion of the Humanities-Professional Development (B)	X					
45.164	Promotion of the Humanities-Public Programs (B)		X	X	X	X	X
45.168	Promotion of the Humanities-We the People (B,D)	X					
45.169	Promotion of the Humanities-Office of Digital Humanities (B)		X	X	X		
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES							
45.201	Arts and Artifacts Indemnity (G)	X	X	X	X		
INSTITUTE OF MUSEUM AND LIBRARY SERVICES							
45.301	Museums for America (B,C)		X	X	X	X	
45.302	Museum Assessment Program (C)		X	X	X	X	
45.303	Conservation Project Support (B,C)		X	X	X	X	
45.304	Conservation Assessment Program (C)		X	X	X	X	
45.307	21st Century Museum Professionals (B)				X		
45.308	Native American/Native Hawaiian Museum Services Program (B)		X				X
45.309	Museum Grants for African American History and Culture (B)		X		X		
45.310	Grants to States (A)			X	X		
45.311	Native American and Native Hawaiian Library Services (B)		X				X
45.312	National Leadership Grants (B)			X			
45.313	Laura Bush 21st Century Librarian Program (B)			X	X		
NATIONAL LABOR RELATIONS BOARD							
46.001	Labor-Management Relations (J,N)	X		X			
NATIONAL SCIENCE FOUNDATION							
47.041	Engineering Grants (B)	X	X	X	X		
47.049	Mathematical and Physical Sciences (B)	X		X	X		
47.050	Geosciences (B)	X		X	X		
47.070	Computer and Information Science and Engineering (B)			X	X		
47.074	Biological Sciences (B)			X	X		
47.075	Social, Behavioral, and Economic Sciences (B)			X	X	X	

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
47.076	Education and Human Resources (B)			X	X	X	
47.078	Polar Programs (B)				X		
47.079	International Science and Engineering (OISE) (B)			X			
47.080	Office of Cyberinfrastructure (B)		X	X	X		
47.081	Office of Experimental Program to Stimulate Competitive Research (B)		X	X	X		
RAILROAD RETIREMENT BOARD							
57.001	Social Insurance for Railroad Workers (D)	X					
SECURITIES AND EXCHANGE COMMISSION							
58.001	Securities-Investigation of Complaints and SEC Information (L,N)	X	X	X	X	X	X
SMALL BUSINESS ADMINISTRATION							
59.006	8(a) Business Development Program (J)						
59.007	7(j) Technical Assistance (B)	X		X			X
59.008	Disaster Assistance Loans (E)	X		X			
59.009	Procurement Assistance to Small Businesses (J)						
59.011	Small Business Investment Companies (E,F,K)						
59.012	7(a) Loan Guarantees (F)						
59.016	Surety Bond Guarantees (G)						
59.026	Service Corps of Retired Executives (K,M)	X					
59.037	Small Business Development Centers (B,J,K,L)		X	X			
59.041	504 Certified Development Loans (F)						
59.043	Women's Business Ownership Assistance (B)			X			
59.044	Veterans Business Development (B)			X			
59.046	Microloan Program (A,E)			X			
59.049	Small Disadvantaged Businesses (E)						
59.050	PRIME Technical Assistance (B)			X			
59.051	New Markets Venture Capital Program (B,F)						
59.052	Native American Outreach (B)						
59.053	Ombudsman and Regulatory Fairness Boards (N)			X			
59.054	7(a)Export Loan Guarantees (F)						
59.055	HUBZone Program (B)						
59.070	Drug-Free Workplace Program (B)						
DEPARTMENT OF VETERANS AFFAIRS							
64.005	Grants to States for Construction of State Home Facilities (B)				X		
64.007	Blind Rehabilitation Centers (J)	X					
64.008	Veterans Domiciliary Care (J)	X					
64.009	Veterans Medical Care Benefits (J)						
64.010	Veterans Nursing Home Care (J)	X					
64.011	Veterans Dental Care (J)	X					
64.012	Veterans Prescription Service (H)	X					
64.013	Veterans Prosthetic Appliances (J)	X					
64.014	Veterans State Domiciliary Care (A)				X		
64.015	Veterans State Nursing Home Care (A)				X		
64.016	Veterans State Hospital Care (A)				X		
64.018	Sharing Specialized Medical Resources (J)		X	X	X		
64.019	Veterans Rehabilitation-Alcohol and Drug Dependence (J)	X					
64.022	Veterans Home Based Primary Care (J)	X					
64.024	VA Homeless Providers Grant and Per Diem Program (B)			X			
64.026	Veterans State Adult Day Health Care (B)						
64.100	Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)	X					
64.101	Burial Expenses Allowance for Veterans (C)	X					
64.103	Life Insurance for Veterans (E)	X					
64.104	Pension for Non-Service-Connected Disability for Veterans (D)	X					
64.105	Pension to Veterans Surviving Spouses, and Children (D)	X					
64.106	Specially Adapted Housing for Disabled Veterans (C)	X					
64.109	Veterans Compensation for Service-Connected Disability (D)	X					
64.110	Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	X					
64.114	Veterans Housing-Guaranteed and Insured Loans (F)	X					
64.115	Veterans Information and Assistance (K)	X					
64.116	Vocational Rehabilitation for Disabled Veterans (C,D,E,K)	X					
64.117	Survivors and Dependents Educational Assistance (C)	X					
64.118	Veterans Housing-Direct Loans for Certain Disabled Veterans (E)	X					
64.119	Veterans Housing-Manufactured Home Loans (F)	X					
64.120	Post-Vietnam Era Veterans' Educational Assistance (C)	X					
64.124	All-Volunteer Force Educational Assistance (D)	X					
64.125	Vocational and Educational Counseling for Servicemembers and Veterans (K)						
64.126	Native American Veteran Direct Loan Program (E)						
64.127	Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (D)						
64.128	Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (C)						
64.201	National Cemeteries (J)	X					

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
64.202	Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C,J)	X					
64.203	State Cemetery Grants (B)				X		
ENVIRONMENTAL PROTECTION AGENCY							
66.001	Air Pollution Control Program Support (B)		X		X	X	
66.032	State Indoor Radon Grants (B)				X	X	X
66.033	Ozone Transport Commission (B)		X		X		
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X	X	X
66.035	Community Action for a Renewed Environment (CARE) Program (B)			X	X		
66.036	Clean School Bus USA (B)		X				X
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)			X	X		
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039	National Clean Diesel Funding Assistance Program (B)		X	X	X		X
66.040	State Clean Diesel Grant Program (B)	X	X	X	X	X	X
66.110	Healthy Communities Grant Program (B)	X		X	X		
66.111	Regional Environmental Priority Projects (B)	X	X		X		
66.112	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (B)	X		X	X		
66.113	Region 3 Environmental Priority Projects (B)		X	X	X		
66.115	Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (B)		X		X	X	X
66.116	Regional Geographic Initiative/Environmental Priority Projects (B)		X	X	X	X	X
66.117	Region 9 multi-media and geographic priority projects (B)		X		X	X	X
66.202	Congressionally Mandated Projects (B)		X		X		
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)		X	X	X		
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)						
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)			X	X		
66.310	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)				X		
66.418	Construction Grants for Wastewater Treatment Works (B)		X		X	X	X
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)				X		
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)		X	X	X		
66.432	State Public Water System Supervision (A)				X	X	X
66.433	State Underground Water Source Protection (A)				X	X	X
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X		
66.437	Long Island Sound Program (B)	X		X	X		
66.439	Targeted Watersheds Grants (B)	X	X	X	X		
66.454	Water Quality Management Planning (A)				X		
66.456	National Estuary Program (B)	X	X	X	X		
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)				X	X	
66.460	Nonpoint Source Implementation Grants (A)				X	X	X
66.461	Regional Wetland Program Development Grants (B)				X		X
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			
66.463	Water Quality Cooperative Agreements (B)			X	X		
66.466	Chesapeake Bay Program (B)			X	X		
66.467	Wastewater Operator Training Grant Program (Technical Assistance) (B)			X	X	X	X
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)				X	X	
66.469	Great Lakes Program (B,I,L)	X		X	X		
66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)				X	X	
66.472	Beach Monitoring and Notification Program Implementation Grants (A)				X	X	X
66.473	Direct Implementation Tribal Cooperative Agreements (B)						X
66.474	Water Protection Grants to the States (A)		X		X	X	
66.475	Gulf of Mexico Program (B)		X	X	X		X
66.478	Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)			X			
66.479	Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)		X		X		
66.480	Assessment and Watershed Protection Program Grants (B)	X	X	X	X	X	X
66.481	Lake Champlain Basin Program (B)			X	X		
66.508	Senior Environmental Employment Program (B)						
66.509	Science To Achieve Results (STAR) Research Program (B)		X	X	X		
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X		
66.511	Office of Research and Development Consolidated Research/Training/Fellowships	X	X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)		X		X		X
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (B)	X	X	X	X	X	X
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	X	X	X	X	X
66.515 Greater Research Opportunities (GRO) Research Program (B)				X		
66.516 P3 Award: National Student Design Competition for Sustainability (B)				X		
66.517 Regional Applied Research Efforts (RARE) (B)	X		X	X		X
66.518 State Senior Environmental Employment Program (B)				X		
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)		X		X		
66.604 Environmental Justice Small Grant Program (B)		X	X			X
66.605 Performance Partnership Grants (A,B)		X	X	X		X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X	X	
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)		X	X			
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)		X	X	X		
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X		
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)		X		X		X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X	X	X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)				X	X	
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)				X	X	X
66.708 Pollution Prevention Grants Program (B)				X	X	X
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)				X	X	X
66.714 Pesticide Environmental Stewardship Regional Grants (B)				X		X
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)						X
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)			X	X	X	
66.717 Source Reduction Assistance (B)				X		X
66.718 National Community-Based Lead Outreach and Training Grant Program (B)		X	X		X	
66.801 Hazardous Waste Management State Program Support (A)				X	X	
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)		X		X	X	X
66.804 State and Tribal Underground Storage Tanks Program (A)				X	X	
66.805 Leaking Underground Storage Tank Trust Fund Program (A)				X		X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	X	X	X	X	X
66.808 Solid Waste Management Assistance Grants (B)		X	X	X		X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X	X	X
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)				X	X	X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X	X	X	X		
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	X	X	X	X		
66.815 Brownfield Job Training Cooperative Agreements (B)		X		X		
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X		X		
66.817 State and Tribal Response Program Grants (A)		X		X		
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X		X	X	X
66.926 Indian Environmental General Assistance Program (GAP) (B)		X				X
66.931 International Financial Assistance Projects Sponsored by the Office of International Affairs (B)		X	X	X		
66.940 Environmental Policy and State Innovation Grants (B)				X		
66.950 Environmental Education and Training Program (B)			X	X		
66.951 Environmental Education Grants (B)			X	X		
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	X	X	X	X	X
NATIONAL GALLERY OF ART						
68.001 National Gallery of Art Extension Service (I)	X		X	X		
OVERSEAS PRIVATE INVESTMENT CORPORATION						
70.002 Foreign Investment Financing (F)	X					
70.003 Foreign Investment Insurance (G)	X					
NUCLEAR REGULATORY COMMISSION						
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)			X			
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)						
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)			X	X	X	

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
COMMODITY FUTURES TRADING COMMISSION							
78.004	Commodity Futures Reparations Claims (N)	X	X	X	X	X	X
DEPARTMENT OF ENERGY							
81.003	Granting of Patent Licenses (L)	X	X	X	X	X	X
81.022	Used Energy-Related Laboratory Equipment Grants (B)			X			
81.036	Inventions and Innovations (B,K,L)	X	X	X	X	X	X
81.041	State Energy Program (A)				X	X	
81.042	Weatherization Assistance for Low-Income Persons (A)		X		X		X
81.049	Office of Science Financial Assistance Program (B)			X	X		
81.057	University Coal Research (B)			X	X		
81.064	Office of Scientific and Technical Information (L)	X	X	X	X	X	X
81.065	Nuclear Waste Disposal Siting (B,C)				X		X
81.079	Regional Biomass Energy Programs (B)			X	X		
81.086	Conservation Research and Development (B)		X		X		
81.087	Renewable Energy Research and Development (B)		X		X		
81.089	Fossil Energy Research and Development (B)	X	X	X	X	X	X
81.104	Office of Environmental Waste Processing (B)		X	X	X	X	X
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)				X		
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)				X		X
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)			X			
81.112	Stewardship Science Grant Program (B)		X		X		
81.113	Defense Nuclear Nonproliferation Research (B)			X	X		
81.114	University Reactor Infrastructure and Education Support (B)	X		X	X		
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)			X			
81.119	State Energy Program Special Projects (B)				X	X	
81.121	Nuclear Energy Research, Development and Demonstration (B)		X	X	X		
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)		X		X		
81.123	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)				X		
81.124	Predictive Science Academic Alliance Program (B)			X			
81.126	Federal Loan Guarantees for Innovative Energy Technologies (F)			X			
DEPARTMENT OF EDUCATION							
84.002	Adult Education - Basic Grants to States (A)		X	X	X		
84.004	Civil Rights Training and Advisory Services (B)		X	X	X		
84.007	Federal Supplemental Educational Opportunity Grants (C)			X	X		
84.010	Title I Grants to Local Educational Agencies (A)				X		X
84.011	Migrant Education-State Grant Program (A)				X		
84.013	Title I Program for Neglected and Delinquent Children (A)				X		
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			X			
84.016	Undergraduate International Studies and Foreign Language Programs (B)			X	X		
84.017	International Research and Studies (B)	X		X			
84.018	Overseas Programs-Special Bilateral Projects (B)	X					
84.019	Overseas Programs - Faculty Research Abroad (B)			X		X	
84.021	Overseas Programs - Group Projects Abroad (B)		X	X	X		
84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)			X		X	
84.027	Special Education-Grants to States (A)		X		X	X	
84.031	Higher Education-Institutional Aid (B)			X	X	X	
84.032	Federal Family Education Loans (F)	X	X	X	X	X	X
84.033	Federal Work-Study Program (C)		X	X	X		
84.037	Perkins Loan Cancellations (C,D)			X	X		
84.038	Federal Perkins Loan Program-Federal Capital Contributions (C)			X	X		
84.040	Impact Aid-Facilities Maintenance (B)		X				
84.041	Impact Aid (A)		X				
84.042	TRIO-Student Support Services (B)		X	X	X		
84.044	TRIO-Talent Search (B)		X	X	X		
84.047	TRIO-Upward Bound (B)		X	X	X		
84.048	Career and Technical Education -- Basic Grants to States (A)				X		
84.051	Career and Technical Education -- National Programs (B)		X	X	X		
84.060	Indian Education-Grants to Local Educational Agencies (A)		X				X
84.063	Federal Pell Grant Program (C)	X					
84.066	TRIO-Educational Opportunity Centers (B)		X	X	X		
84.069	Leveraging Educational Assistance Partnership (A)		X		X	X	
84.083	Women's Educational Equity Act Program (B)	X	X	X	X		
84.101	Career and Technical Education - Indian Set-aside (B)						X
84.103	TRIO Staff Training Program (B)		X	X	X		
84.116	Fund for the Improvement of Postsecondary Education (B)		X	X			
84.120	Minority Science and Engineering Improvement (B)			X		X	
84.126	Rehabilitation Services-Vocational Rehabilitation Grants to States (A)				X	X	

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	Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments
84.128	Rehabilitation Services-Service Projects (B)		X	X	X	X	
84.129	Rehabilitation Long-Term Training (B)		X	X	X	X	
84.132	Centers for Independent Living (B)		X	X	X	X	
84.133	National Institute on Disability and Rehabilitation Research (B)	X	X	X	X		
84.141	Migrant Education-High School Equivalency Program (B)		X	X			
84.144	Migrant Education-Coordination Program (B)		X	X	X		
84.145	Federal Real Property Assistance Program (H)		X		X		
84.149	Migrant Education-College Assistance Migrant Program (B)			X			
84.153	Business and International Education Projects (B)			X			
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)		X	X	X	X	
84.161	Rehabilitation Services-Client Assistance Program (A)				X	X	
84.165	Magnet Schools Assistance (B)		X				
84.169	Independent Living-State Grants (A)				X	X	
84.170	Javits Fellowships (B)	X	X	X	X	X	X
84.173	Special Education-Preschool Grants (A)				X	X	
84.177	Rehabilitation Services-Independent Living Services for Older Individuals Who are Blind (A)				X	X	
84.181	Special Education-Grants for Infants and Families (A)				X	X	
84.184	Safe and Drug-Free Schools and Communities-National Programs (B)		X	X	X		
84.185	Byrd Honors Scholarships (A)				X	X	
84.186	Safe and Drug-Free Schools and Communities-State Grants (A)				X	X	
84.187	Supported Employment Services for Individuals with Significant Disabilities (A)				X		
84.191	Adult Education-National Leadership Activities (B)			X			
84.196	Education for Homeless Children and Youth (A)				X	X	
84.200	Graduate Assistance in Areas of National Need (B)			X		X	
84.203	Star Schools (B)		X	X	X		
84.206	Javits Gifted and Talented Students Education Grant Program (B)		X	X	X		X
84.213	Even Start-State Educational Agencies (A,B)				X		
84.214	Even Start-Migrant Education (B)				X		
84.215	Fund for the Improvement of Education (B)			X			
84.217	TRIO-McNair Post-Baccalaureate Achievement (B)			X	X		
84.220	Centers for International Business Education (B)			X			
84.224	Assistive Technology (A,B,L)				X	X	
84.229	Language Resource Centers (B)		X		X		
84.234	Projects with Industry (B)			X			
84.235	Rehabilitation Services Demonstration and Training Programs (B)			X	X		
84.240	Program of Protection and Advocacy of Individual Rights (A)		X	X		X	
84.243	Tech-Prep Education (A,B)				X		
84.245	Tribally Controlled Postsecondary Career and Technical Institutions (B)						X
84.246	Rehabilitation Short-Term Training (B)		X	X	X	X	
84.250	Rehabilitation Services-American Indians with Disabilities (B)		X		X		X
84.256	Freely Associated States-Education Grant Program (B)					X	
84.257	National Institute for Literacy (B)		X	X	X		
84.258	Even Start-Indian Tribes and Tribal Organizations (B)						X
84.259	Native Hawaiian Career and Technical Education (B)			X			
84.263	Rehabilitation Training-Experimental and Innovative Training (B)			X	X	X	
84.264	Rehabilitation Training-Continuing Education (B)		X	X	X	X	
84.265	Rehabilitation Training-State Vocational Rehabilitation Unit In-Service Training (B)				X	X	
84.268	Federal Direct Student Loans (E)	X					
84.269	Institute for International Public Policy (B)			X	X		X
84.274	American Overseas Research Centers (B)			X	X		
84.275	Rehabilitation Training-General Training (B)		X	X	X		
84.282	Charter Schools (B)		X	X	X		
84.283	Comprehensive Centers (B)		X	X	X		
84.286	Ready to Teach (B)		X	X	X		
84.287	Twenty-First Century Community Learning Centers (A)		X	X			
84.293	Foreign Language Assistance (B)		X		X		
84.295	Ready-To-Learn Television (B)			X			
84.298	State Grants for Innovative Programs (A)				X	X	
84.304	Civic Education - Cooperative Education Exchange Program (B)			X			
84.305	Education Research, Development and Dissemination (B)		X	X			
84.310	Parental Information and Resource Centers (B)		X				
84.315	Capacity Building for Traditionally Underserved Populations (B)			X			
84.318	Education Technology State Grants (A)				X		X
84.323	Special Education - State Personnel Development (B)				X		
84.324	Research in Special Education (B)		X	X	X		
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.326	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.327	Special Education-Technology and Media Services for Individuals with Disabilities (B)		X	X	X		
84.328	Special Education-Parent Information Centers (B)						
84.329	Special Education-Studies and Evaluations (B)		X	X	X		
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement				X		

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments
84.331	Grants to States for Incarcerated Youth Offenders (A)				X		
84.332	Comprehensive School Reform Demonstration (A)		X		X		
84.333	Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (B)			X		X	
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)			X	X		
84.335	Child Care Access Means Parents in School (B)			X			
84.336	Teacher Quality Enhancement Grants (B)				X		
84.337	International Education-Technological Innovation and Cooperation for Foreign Information Access (B)			X			
84.343	Assistive Technology-State Grants for Protection and Advocacy (A)				X		
84.345	Underground Railroad Educational and Cultural Program (B)			X			
84.349	Early Childhood Educator Professional Development (B)		X	X	X		
84.350	Transition to Teaching (B)		X	X	X		
84.351	Arts in Education (B)			X	X		
84.354	Credit Enhancement for Charter School Facilities (B)		X		X		
84.356	Alaska Native Educational Programs (B)						
84.357	Reading First State Grants (B)		X			X	X
84.358	Rural Education (A)		X				
84.359	Early Reading First (B)		X	X			
84.360	School Dropout Prevention Program (B)		X		X		
84.361	Voluntary Public School Choice (B)		X	X	X		
84.362	Native Hawaiian Education (B)		X	X	X		
84.363	School Leadership (B)			X	X		
84.364	Literacy through School Libraries (B)			X	X		
84.365	English Language Acquisition Grants (A,B)				X		
84.366	Mathematics and Science Partnerships (B)				X		
84.367	Improving Teacher Quality State Grants (A)				X		
84.369	Grants for State Assessments and Related Activities (A)				X		
84.370	DC School Choice Incentive Program (B)				X		
84.371	Striving Readers (B)		X	X			
84.372	Statewide Data Systems (B)				X		
84.373	Special Education-Technical Assistance on State Data Collection (B)				X		
84.374	Teacher Incentive Fund (B)		X		X		
84.375	Academic Competitiveness Grants (C)	X					
84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants (C)	X					
84.377	School Improvement Grants (B)				X		
84.378	College Access Challenge Grant Program (A)		X		X		
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)		X		X		
84.380	Special Education -- Olympic Education Programs (B)						
84.381	Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)			X	X	X	
84.382	Strengthening Minority-Serving Institutions (B)		X			X	X
HARRY S TRUMAN SCHOLARSHIP FOUNDATION							
85.001	Harry S Truman Scholarship Program (C)	X		X			
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION							
85.100	Christopher Columbus Fellowship Program (B,J)	X	X	X	X	X	X
BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION							
85.200	Barry M. Goldwater Scholarship Program (C)	X					
JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION							
85.500	James Madison Memorial Fellowship Program (B)	X					
MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION							
85.400	Morris K. Udall Scholarship Program (C)	X					
85.401	Morris K. Udall Fellowship Program (B)	X					
85.402	Morris K. Udall Native American Congressional Internship Program (C)	X					
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS							
85.300	Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (B)	X					
SMITHSONIAN INSTITUTE FELLOWSHIP FOUNDATION							
85.601	Smithsonian Institution Fellowship Program (B)	X					
PENSION BENEFIT GUARANTY CORPORATION							
86.001	Pension Plan Termination Insurance (G)						
ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD							
88.001	Architectural and Transportation Barriers Compliance Board (L)	X	X	X	X	X	X

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION							
89.001	National Archives Reference Services-Historical Research (I,K,L)	X	X	X	X	X	X
89.003	National Historical Publications and Records Grants (B)		X	X	X	X	X
89.005	Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)		X		X		
DENALI COMMISSION							
90.100	Denali Commission Program (B)	X	X		X		
DELTA REGIONAL AUTHORITY							
90.200	Delta Regional Development (B)			X	X		
90.201	Delta Area Economic Development (B)				X		
90.202	Delta Local Development District Assistance (B)		X				
JAPAN US FRIENDSHIP COMMISSION							
90.300	Japan-US Friendship Commission Grants (B)				X		
ELECTIONS ASSISTANCE COMMISSION							
90.400	Help America Vote College Program (B)				X		
90.401	Help America Vote Act Requirements Payments (A)				X		
90.402	Help America Vote Mock Election Program (B)				X		
BROADCASTING BOARD OF GOVERNORS							
90.500	International Broadcasting Independent Grantee Organizations ()						
UNITED STATES INSTITUTE OF PEACE							
91.001	Unsolicited Grant Program (B)	X		X			
91.002	Solicited Grant Program (B)	X		X			
DEPARTMENT OF HEALTH AND HUMAN SERVICES							
93.001	Civil Rights and Privacy Rule Compliance Activities (N)	X	X	X	X	X	X
93.003	Public Health and Social Services Emergency Fund (B)		X		X		
93.004	Cooperative Agreements to Improve the Health Status of Minority Populations (B)		X	X	X		X
93.006	State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)				X		
93.007	Public Awareness Campaigns on Embryo Adoption (B)			X			
93.008	Medical Reserve Corps Small Grant Program (B)		X				
93.009	Compassion Capital Fund (B)				X		X
93.010	Community-Based Abstinence Education (CBAE) (B)			X			
93.012	Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (B)			X			
93.013	Ambassadors for Change Program (B)						
93.014	Steps to Healthier Girls Program (B)						
93.015	HIV Prevention Programs for Women (B)			X	X		
93.017	Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)			X			
93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)				X		
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)				X		
93.041	Special Programs for the Aging-Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)				X	X	
93.042	Special Programs for the Aging-Title VII, Chapter 2-Long Term Care Ombudsman Services for Older Individuals (A)				X	X	
93.043	Special Programs for the Aging-Title III, Part D-Disease Prevention and Health Promotion Services (A)				X	X	
93.044	Special Programs for the Aging-Title III, Part B-Grants for Supportive Services and Senior Centers (A)				X	X	
93.045	Special Programs for the Aging-Title III, Part C-Nutrition Services (A)				X	X	
93.047	Special Programs for the Aging-Title VI, Part A, Grants to Indian Tribes-Part B, Grants to Native Hawaiians (B)		X				X
93.048	Special Programs for the Aging-Title IV-and Title II-Discretionary Projects (B)			X			
93.051	Alzheimer's Disease Demonstration Grants to States (B)				X		
93.052	National Family Caregiver Support, Title III, Part E (A)		X	X	X	X	
93.053	Nutrition Services Incentive Program (A)				X		
93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)						X
93.061	Innovations in Applied Public Health Research (B)			X			
93.063	Centers for Genomics and Public Health (B)		X	X	X		
93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)		X	X	X	X	
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)				X		
93.066	State Vital Statistics Improvement Program (B)			X			
93.067	Global AIDS (B)						
93.068	Chronic Diseases: Research, Control, and Prevention (B)		X	X	X	X	X

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
93.069	Public Health Emergency Preparedness (B)		X		X	X	
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)		X	X	X	X	
93.087	Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)		X	X	X	X	X
93.088	Advancing System Improvements to Support Targets for Healthy People 2010 (B)		X	X	X		X
93.100	Health Disparities in Minority Health (B)			X			
93.103	Food and Drug Administration-Research (B)	X	X	X	X		
93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)		X		X		
93.105	Bilingual/Bicultural Service Demonstration Grants (B)		X		X	X	X
93.107	Model State-Supported Area Health Education Centers (B)			X	X	X	
93.110	Maternal and Child Health Federal Consolidated Programs (B)			X			
93.111	Adolescent Family Life Research Grants (B)		X	X	X	X	X
93.113	Environmental Health (B)		X	X	X		
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)		X		X	X	
93.117	Grants for Preventive Medicine (B)			X			
93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)		X	X	X	X	
93.121	Oral Diseases and Disorders Research (B)			X			
93.123	Health Professions Pregraduate Scholarship Program for Indians (B)						
93.124	Nurse Anesthetist Traineeships (A)			X			
93.127	Emergency Medical Services for Children (B)		X	X	X		
93.129	Technical and Non-Financial Assistance to Health Centers (B)			X	X		
93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)				X		
93.134	Grants to Increase Organ Donations (B)			X			
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)			X	X		
93.136	Injury Prevention and Control Research and State and Community Based Programs (B)		X	X	X		
93.137	Community Programs to Improve Minority Health Grant Program (B)		X	X	X		
93.138	Protection and Advocacy for Individuals with Mental Illness (A)		X	X	X		
93.140	Intramural Research Training Award (B)	X					
93.142	NIEHS Hazardous Waste Worker Health and Safety Training (B)	X	X	X	X		
93.143	NIEHS Superfund Hazardous Substances-Basic Research and Education (B)			X			
93.145	AIDS Education and Training Centers (B)		X	X	X		
93.150	Projects for Assistance in Transition from Homelessness (PATH) (A)				X		
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)		X	X	X		
93.155	Rural Health Research Centers (B)		X	X	X		
93.156	Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B)		X	X	X		
93.157	Centers of Excellence (B)		X	X	X		
93.161	Health Program for Toxic Substances and Disease Registry (B)	X	X		X		
93.162	National Health Service Corps Loan Repayment Program (C)	X					
93.164	Indian Health Service Educational Loan Repayment (B)						
93.165	Grants to States for Loan Repayment Program (B)				X		
93.172	Human Genome Research (B)	X		X			
93.173	Research Related to Deafness and Communication Disorders (B)			X			
93.178	Nursing Workforce Diversity (B)		X	X	X		
93.181	Podiatric Residency Training in Primary Care (B)		X	X	X		
93.184	Disabilities Prevention (B)		X	X	X		X
93.185	Immunization Research, Demonstration, Public Information and Education-Training and Clinical Skills Improvement Projects (B)		X	X	X		
93.186	National Research Service Award in Primary Care Medicine (M)		X	X	X	X	
93.187	Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	X	X	X	X	X
93.189	Health Education and Training Centers (B)		X	X	X		
93.191	Allied Health Special Projects (B)		X	X	X	X	
93.192	Quentin N. Burdick Program for Rural Interdisciplinary Training (B)		X	X	X		
93.193	Urban Indian Health Services (B)						
93.197	Childhood Lead Poisoning Prevention Projects-State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)		X	X	X	X	X
93.202	Capacity Building Among American Indian Tribes (B)						X
93.204	Surveillance of Hazardous Substance Emergency Events (B)				X	X	X
93.206	Human Health Studies-Applied Research and Development (B)			X	X	X	X
93.208	Great Lakes Human Health Effects Research (B)				X		X
93.209	Contraception and Infertility Research Loan Repayment Program (C)						
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (B)					X	
93.211	Telehealth Network Grants (B)			X			
93.212	Chiropractic Demonstration Project Grants (B)			X			
93.213	Research and Training in Complementary and Alternative Medicine (B,M)		X	X	X		
93.217	Family Planning-Services (B)		X	X	X	X	
93.220	Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (C)						
93.223	Development and Coordination of Rural Health Services (B)		X	X	X		

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	Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
93.224	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)		X	X	X		
93.225	National Research Service Awards-Health Services Research Training (B)		X	X	X	X	
93.226	Research on Healthcare Costs, Quality and Outcomes (B)	X	X	X	X	X	
93.228	Indian Health Service-Health Management Development Program (B)						X
93.229	Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (B)			X	X		
93.230	Consolidated Knowledge Development and Application (KD&A) Program (B)			X	X	X	
93.231	Epidemiology Cooperative Agreements (B)		X				X
93.232	Loan Repayment Program for General Research (C)	X					
93.233	National Center on Sleep Disorders Research (B)	X	X	X			
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)				X		
93.235	Abstinence Education Program (A)				X	X	
93.236	Grants for Dental Public Health Residency Training (B)		X	X	X		X
93.237	Special Diabetes Program for Indians-Diabetes Prevention and Treatment Projects (B)		X				X
93.238	Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (B)			X	X		X
93.239	Policy Research and Evaluation Grants (B)	X	X	X	X		
93.240	State Capacity Building (B)		X		X	X	
93.241	State Rural Hospital Flexibility Program (B)				X		
93.242	Mental Health Research Grants (B)	X	X	X	X	X	X
93.243	Substance Abuse and Mental Health Services-Projects of Regional and National Significance (B)		X	X			
93.244	Mental Health Clinical and AIDS Service-Related Training Grants (B)		X	X	X		
93.247	Advanced Education Nursing Grant Program (B)			X			
93.249	Public Health Training Centers Grant Program (B)						
93.250	Geriatric Academic Career Awards (C)	X					
93.251	Universal Newborn Hearing Screening (B)			X	X		
93.252	Healthy Communities Access Program (B)		X	X			
93.253	Poison Control Stabilization and Enhancement Grants (B)				X		
93.254	Infant Adoption Awareness Training (B)		X	X	X		
93.255	Children's Hospitals Graduate Medical Education Payment (C)		X				
93.256	State Planning Grants Health Care Access for the Uninsured (B)				X		
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)		X	X	X		
93.259	Rural Access to Emergency Devices Grant (B)		X	X			
93.260	Family Planning-Personnel Training (B)		X	X	X	X	
93.262	Occupational Safety and Health Program (B)		X		X	X	
93.264	Nurse Faculty Loan Program (NFLP) (A)			X			
93.265	Comprehensive Geriatric Education Program(CGEP) (B)			X			
93.266	Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)						
93.267	State Grants for Protection and Advocacy Services (A)				X		
93.268	Immunization Grants (B)		X	X	X		
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	X	X	X	X	X
93.270	Adult Viral Hepatitis Prevention and Control (B)	X	X	X	X	X	X
93.271	Alcohol Research Career Development Awards for Scientists and Clinicians (B)		X	X	X		
93.272	Alcohol National Research Service Awards for Research Training (B)	X					
93.273	Alcohol Research Programs (B)	X	X	X	X		
93.275	Substance Abuse and Mental Health Services-Access to Recovery (B)		X		X	X	X
93.276	Drug-Free Communities Support Program Grants (B)						
93.279	Drug Abuse and Addiction Research Programs (B)	X	X	X	X		
93.280	National Institutes of Health Loan Repayment Program for Clinical Researchers (C)						
93.281	Mental Health Research Career/Scientist Development Awards (B)	X	X	X	X		
93.282	Mental Health National Research Service Awards for Research Training (B)	X		X			
93.283	Centers for Disease Control and Prevention-Investigations and Technical Assistance (B)	X	X	X	X		
93.284	Injury Prevention Program for American Indians and Alaskan Natives-Cooperative Agreements (B)						
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)						
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)			X			
93.288	National Health Service Corps Scholarship Program (C)	X					
93.289	President's Council on Physical Fitness and Sports (K)	X	X	X	X	X	X
93.290	National Community Centers of Excellence in Women's Health (B)			X	X		
93.291	Surplus Property Utilization (H)		X	X	X		
93.294	Mentoring Partnership Program - Protege (B)		X	X			
93.295	Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)		X	X	X		
93.296	State Partnership Grant Program to Improve Minority Health (B)				X	X	
93.300	National Center for Health Workforce Analysis (B)		X	X	X		

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
93.301	Small Rural Hospital Improvement Grant Program (B)			X		X	
93.303	Nursing Scholarship Program (C)	X	X	X	X	X	X
93.307	Minority Health and Health Disparities Research (B)						
93.308	Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)						
93.310	Trans-NIH Research Support (B,M)		X	X	X		
93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)		X	X	X	X	
93.358	Advanced Education Nursing Traineeships (B)		X	X	X		X
93.359	Nurse Education, Practice and Retention Grants (B)			X			
93.361	Nursing Research (B)	X	X	X	X		
93.364	Nursing Student Loans (E)			X			
93.365	Sickle Cell Treatment Demonstration Program (B)			X			
93.389	National Center for Research Resources (B)		X	X	X		
93.392	Cancer Construction (B)		X	X	X	X	
93.393	Cancer Cause and Prevention Research (B)		X	X	X		
93.394	Cancer Detection and Diagnosis Research (B)		X	X	X		
93.395	Cancer Treatment Research (B)		X	X	X		
93.396	Cancer Biology Research (B)		X	X	X		
93.397	Cancer Centers Support Grants (B)		X	X	X		
93.398	Cancer Research Manpower (B)			X			
93.399	Cancer Control (B)		X	X	X		
93.441	Indian Self-Determination (C)		X				
93.442	Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)				X		X
93.443	Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)						X
93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)						X
93.448	Food Safety and Security Monitoring Project (B)		X		X		X
93.449	Ruminant Feed Ban Support Project (B)						
93.550	Transitional Living for Homeless Youth (B)		X	X	X	X	X
93.551	Abandoned Infants (B)		X	X	X	X	X
93.556	Promoting Safe and Stable Families (A,B)				X	X	
93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)						
93.558	Temporary Assistance for Needy Families (A)		X		X		X
93.560	Family Support Payments to States-Assistance Payments (A)		X		X	X	
93.563	Child Support Enforcement (A)				X	X	
93.564	Child Support Enforcement Research (B)			X	X		
93.566	Refugee and Entrant Assistance-State Administered Programs (A)				X		
93.567	Refugee and Entrant Assistance-Voluntary Agency Programs (A)				X		
93.568	Low-Income Home Energy Assistance (A)			X	X	X	X
93.569	Community Services Block Grant (A)				X	X	X
93.570	Community Services Block Grant-Discretionary Awards (B)			X	X		
93.575	Child Care and Development Block Grant (A)				X	X	X
93.576	Refugee and Entrant Assistance-Discretionary Grants (B)		X		X		
93.579	U.S. Repatriation (B)		X		X	X	
93.581	improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)						X
93.583	Refugee and Entrant Assistance-Wilson/Fish Program (B)				X		
93.584	Refugee and Entrant Assistance-Targeted Assistance Grants (A)				X		
93.586	State Court Improvement Program (A)				X		
93.587	Promote the Survival and Continuing Vitality of Native American Languages (B)						X
93.590	Community-Based Child Abuse Prevention Grants (A)				X	X	
93.591	Family Violence Prevention and Services/Grants For Battered Women's Shelters- Grants to State Domestic Violence Coalitions (A)					X	
93.592	Family Violence Prevention and Services/Grants for Battered Women's Shelters- Discretionary Grants (B)			X			X
93.593	Job Opportunities for Low-Income Individuals (B)			X			
93.594	Tribal Work Grants (A)						X
93.595	Welfare Reform Research, Evaluations and National Studies (B)		X	X	X		
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)				X		X
93.597	Grants to States for Access and Visitation Programs (B)				X		
93.598	Services to Victims of a Severe Form of Trafficking (B)		X	X	X		
93.599	Chafee Education and Training Vouchers Program (ETV) (A)				X		
93.600	Head Start (B)		X				
93.601	Child Support Enforcement Demonstrations and Special Projects (B)		X	X	X		
93.602	Assets for Independence Demonstration Program (B)			X	X		
93.603	Adoption Incentive Payments (A)				X		
93.604	Assistance for Torture Victims (B)			X			
93.612	Native American Programs (B)			X	X		X
93.613	President's Committee for People with Intellectual Disabilities (PCPID) (L)	X	X	X	X	X	X
93.616	Mentoring Children of Prisoners (B)		X	X	X		X
93.617	Voting Access for Individuals with Disabilities-Grants to States (A)		X		X		

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	Program Description	Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A,B)				X	X	
93.623	Basic Center Grant (B)		X				
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)				X	X	
93.631	Developmental Disabilities Projects of National Significance (B)		X	X	X		
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)		X	X	X		
93.643	Children's Justice Grants to States (A)				X	X	
93.645	Child Welfare Services-State Grants (A)				X	X	
93.647	Social Services Research and Demonstration (B)			X	X		X
93.648	Child Welfare Services Training Grants (B)		X	X	X		
93.652	Adoption Opportunities (B)		X	X	X		
93.658	Foster Care-Title IV-E (A)				X	X	
93.659	Adoption Assistance (A)				X	X	
93.667	Social Services Block Grant (A)				X	X	
93.669	Child Abuse and Neglect State Grants (A)				X	X	
93.670	Child Abuse and Neglect Discretionary Activities (B)		X	X	X		
93.671	Family Violence Prevention and Services Grants for Battered Women's Shelters- Grants to States and Indian Tribes (A)				X	X	X
93.674	Chafee Foster Care Independence Program (A)				X		
93.676	Unaccompanied Alien Children Program (B)		X		X		
93.760	Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)			X			
93.767	State Children's Insurance Program (A)				X	X	
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)				X		
93.769	Demonstration to Maintain Independence and Employment (B)				X		
93.770	Medicare-Prescription Drug Coverage (C)						
93.773	Medicare-Hospital Insurance (C)	X					
93.774	Medicare-Supplementary Medical Insurance (C)	X					
93.775	State Medicaid Fraud Control Units (A)				X		
93.776	Hurricane Katrina Relief (B)				X		
93.777	State Survey and Certification of Health Care Providers and Suppliers (A)				X		
93.778	Medical Assistance Program (A)		X		X		
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)			X	X		
93.780	Grants to States for Operation of Qualified High-Risk Pools (A)				X		
93.781	Seed Grants to States for Qualified High-Risk Pools (B)				X		
93.783	Medicare Transitional Drug Assistance Program for States (C)			X			
93.784	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)			X	X		
93.785	Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (B)				X		
93.786	State Pharmaceutical Assistance Programs (A)				X		
93.789	Alternatives to Psychiatric Residential Treatment Facilities for Children (B)				X		
93.790	Alternate Non-Emergency Service Providers or Networks (B)				X		
93.791	Money Follows the Person Rebalancing Demonstration (B)				X		
93.793	Medicaid Transformation Grants (B)				X	X	
93.794	Reimbursement of State Costs for Provision of Part D Drugs (C)				X		
93.822	Health Careers Opportunity Program (B)		X	X	X		
93.824	Basic/Core Area Health Education Centers (B)		X	X	X		
93.837	Cardiovascular Diseases Research (B)	X	X	X			
93.838	Lung Diseases Research (B)	X	X	X			
93.839	Blood Diseases and Resources Research (B)	X	X	X			
93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	X	X	X		
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	X	X	X		
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	X	X	X		
93.855	Allergy, Immunology and Transplantation Research (B)	X	X	X	X		
93.856	Microbiology and Infectious Diseases Research (B)	X	X	X	X		
93.859	Biomedical Research and Research Training (B)	X	X	X	X		
93.865	Child Health and Human Development Extramural Research (B)	X	X	X	X		
93.866	Aging Research (B)	X	X	X	X		
93.867	Vision Research (B)	X	X	X	X		
93.879	Medical Library Assistance (B)	X	X	X	X		
93.884	Grants for Training in Primary Care Medicine and Dentistry (B)		X	X	X		
93.887	Health Care and Other Facilities (B)		X	X	X		
93.888	Specially Selected Health Projects (B)		X		X		
93.889	National Bioterrorism Hospital Preparedness Program (B)		X		X	X	
93.890	Healthy Communities Access Program (HCAP) Demonstration Authority (B)						
93.891	Alcohol Research Center Grants (B)		X	X	X		
93.908	Nursing Education Loan Repayment Program (C)						
93.910	Family and Community Violence Prevention Program (B)		X	X	X		X
93.912	Rural Health Care Services Outreach and Rural Health Network Development Program (B)			X			
93.913	Grants to States for Operation of Offices of Rural Health (B)				X		

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
93.914	HIV Emergency Relief Project Grants (B)		X		X		
93.917	HIV Care Formula Grants (A)				X	X	
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)			X			
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)				X	X	
93.923	Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)						
93.924	Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (B)			X	X	X	
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		X	X	X	X	
93.926	Healthy Start Initiative (B)		X		X		
93.928	Special Projects of National Significance (B)		X	X	X		
93.932	Native Hawaiian Health Systems (B)			X			
93.933	Demonstration Projects for Indian Health (B)		X	X	X		X
93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (C)						
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)			X	X	X	
93.939	HIV Prevention Activities-Non-Governmental Organization Based (B)			X			
93.940	HIV Prevention Activities-Health Department Based (B)		X		X		
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)		X	X	X		
93.942	Research, Treatment and Education Programs on Lyme Disease in the United States (B)		X	X	X		
93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		X	X	X		
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		X		X	X	
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)			X	X	X	
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)				X	X	
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)		X	X	X		
93.952	Trauma Care Systems Planning and Development (B)		X	X	X		
93.954	Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (B)						X
93.958	Block Grants for Community Mental Health Services (A)				X	X	
93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)				X	X	X
93.962	Health Administration Traineeships Program (B)			X	X		
93.964	Public Health Traineeships (A)			X	X		
93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)		X		X		
93.969	Geriatric Education Centers (B)		X	X	X		
93.970	Health Professions Recruitment Program for Indians (B)		X	X			X
93.971	Health Professions Preparatory Scholarship Program for Indians (B)	X					
93.972	Health Professions Scholarship Program (B)	X					
93.974	Family Planning-Service Delivery Improvement Research Grants (B)			X	X		
93.977	Preventive Health Services-Sexually Transmitted Diseases Control Grants (B)		X		X		
93.978	Preventive Health Services-Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)		X	X	X		
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)		X	X	X		
93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)				X		
93.989	International Research and Research Training (B,M)	X					
93.990	National Health Promotion (B)			X			
93.991	Preventive Health and Health Services Block Grant (A)				X		X
93.993	Public Health Research Accreditation Project (B)			X			
93.994	Maternal and Child Health Services Block Grant to the States (B)				X		
93.995	Adolescent Family Life-Demonstration Projects (B)		X	X	X		
93.996	Bioterrorism Training and Curriculum Development Program (B)			X			
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE							
94.002	Retired and Senior Volunteer Program (B)		X		X		
94.003	State Commissions (B)				X		
94.004	Learn and Serve America-School and Community Based Programs (B)		X	X	X	X	
94.005	Learn and Serve America-Higher Education (B)			X	X		
94.006	AmeriCorps (B)			X	X	X	
94.007	Planning and Program Development Grants (B)		X	X	X		
94.009	Training and Technical Assistance (B)		X	X	X		
94.011	Foster Grandparent Program (B)		X		X		
94.013	Volunteers in Service to America (J)		X	X	X		
94.016	Senior Companion Program (B)		X	X	X		
SOCIAL SECURITY ADMINISTRATION							
96.001	Social Security-Disability Insurance (C,D)	X					
96.002	Social Security-Retirement Insurance (D)	X					

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
96.004	Social Security-Survivors Insurance (C,D)	X					
96.006	Supplemental Security Income (C,D)	X					
96.007	Social Security-Research and Demonstration (B)			X	X		
96.008	Social Security - Work Incentives Planning and Assistance Program (B)		X	X	X		
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)		X	X	X		
96.020	Special Benefits for Certain World War II Veterans (D)	X					
DEPARTMENT OF HOMELAND SECURITY							
97.004	State Domestic Preparedness Equipment Support Program (B)				X		
97.005	State and Local Homeland Security Training Program (B)		X	X	X		
97.006	State and Local Homeland Security Exercise Support (B)		X	X	X		
97.007	Homeland Security Preparedness Technical Assistance Program (B)		X	X	X		
97.008	Urban Areas Security Initiative (A)				X		
97.009	Cuban/Haitian Entrant Program (B)	X		X			
97.010	Citizenship Education and Training (L)		X	X	X		
97.011	Boating Safety (J,K,L,M)	X					
97.012	Boating Safety Financial Assistance (A)			X	X	X	
97.013	State Access to the Oil Spill Liability Trust Fund (B)				X	X	
97.014	Bridge Alteration (C)	X	X	X	X		
97.015	Secret Service-Training Activities (M)						
97.016	Reimbursement for Firefighting on Federal Property (C)				X	X	
97.018	National Fire Academy Training Assistance (C)			X			
97.019	National Fire Academy Educational Program (M)		X				
97.020	Hazardous Materials Training Program (B)		X		X	X	X
97.021	Hazardous Materials Assistance Program (B)		X		X	X	
97.022	Flood Insurance (G)		X		X		
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)				X		
97.024	Emergency Food and Shelter National Board Program (A)		X	X	X		
97.025	National Urban Search and Rescue (US&R) Response System (B)				X		
97.026	Emergency Management Institute-Training Assistance (C)	X	X		X	X	
97.027	Emergency Management Institute (EMI)-Independent Study Program (M)		X		X		
97.028	Emergency Management Institute (EMI)-Resident Educational Program (M)		X		X		
97.029	Flood Mitigation Assistance (B)		X		X		
97.030	Community Disaster Loans (E)		X				
97.031	Cora Brown Fund (C)	X					
97.032	Crisis Counseling (B)				X		
97.033	Disaster Legal Services (C)	X					
97.034	Disaster Unemployment Assistance (C,J)	X					
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		X		X		
97.039	Hazard Mitigation Grant (B)		X	X	X		X
97.040	Chemical Stockpile Emergency Preparedness Program (B)		X		X		
97.041	National Dam Safety Program (B)		X		X		
97.042	Emergency Management Performance Grants (A)				X	X	
97.043	State Fire Training Systems Grants (B)				X		
97.044	Assistance to Firefighters Grant (B)		X		X	X	
97.045	Cooperating Technical Partners (B)				X	X	
97.046	Fire Management Assistance Grant (B,J)				X	X	
97.047	Pre-Disaster Mitigation (B)				X	X	X
97.048	Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (B)	X					
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)	X					
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X					
97.052	Emergency Operations Centers (B)		X		X		
97.053	Citizen Corps (B)		X		X		
97.055	Interoperable Communications Equipment (B)		X				
97.056	Port Security Grant Program (B)		X	X	X		
97.057	Intercity Bus Security Grants (B)			X			
97.058	Operation Safe Commerce (OSC) Cooperative Agreement Program (B)			X			
97.059	Truck Security Program (B)			X			
97.060	Port Security Research and Development Grant (B)						
97.061	Centers for Homeland Security (B)					X	
97.062	Scholars and Fellows, and Educational Programs (C)			X			
97.064	Debris Removal Insurance (G)				X		
97.065	Homeland Security Advanced Research Projects Agency (B)			X			
97.066	Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)				X	X	
97.067	Homeland Security Grant Program (A)		X		X	X	
97.068	Competitive Training Grants (B)		X		X		
97.069	Aviation Research Grants (B,I)			X			
97.070	Map Modernization Management Support (B)		X		X	X	
97.071	Metropolitan Medical Response System (B)		X				
97.072	National Explosives Detection Canine Team Program (B)		X		X		
97.073	State Homeland Security Program (SHSP) (A)		X		X		X

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Program Description		Individual	Local	NonProfit	State	U.S Territories	Federal Tribal Govern ments.
97.074	Law Enforcement Terrorism Prevention Program (LETPP) (A)		X		X	X	
97.075	Rail and Transit Security Grant Program (B)				X		
97.076	National Center for Missing and Exploited Children (NCMEC) (B)						
97.077	Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)		X	X	X		
97.078	Buffer Zone Protection Program (BZPP) (B)		X		X	X	X
97.079	Public Alert Radios for Schools (B)						
97.080	Information Analysis Infrastructure Protection (IAIP) Pilot Projects (B)		X	X	X		X
97.081	Law Enforcement Training and Technical Assistance (J,M)		X		X	X	X
97.082	Earthquake Consortium (B)			X			
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)		X	X	X		
97.084	Hurricane Katrina Case Management Initiative Program (B)						
97.085	9/11 Heroes Stamp Program (D)	X					
97.086	Homeland Security Outreach, Education, and Technical Assistance (B,L)		X	X	X		X
97.087	Alternative Housing Pilot Program (B)				X		
97.088	Disaster Assistance Projects (B)		X	X	X		X
97.089	Real ID Program (B)				X	X	
97.090	Law Enforcement Officer Reimbursement Agreement Program (C)		X		X		
97.091	Homeland Security Biowatch Program (B,H,I,L)		X		X		
97.092	Repetitive Flood Claims (B)				X	X	X
97.093	Fire Service Hazardous Materials Preparedness and Response (B)						
97.094	Prevention Advocacy Resources and Data Exchange Program (B)				X		
97.095	Safe Kids Worldwide (B)			X			
97.096	Commercial Equipment Direct Assistance Program (CEDAP) (H)		X		X	X	X
97.097	Training Resource and Data Exchange (TRADE) (B)						
97.098	Disaster Donations Management Program (B)		X		X		
97.099	Residential Fire Safety & Fire Sprinkler Initiatives (B)	X	X	X	X	X	X
97.100	Airport Checked Baggage Screening Program (C)		X		X		
97.103	Degrees at a Distance Program (B)		X	X			
97.104	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)						
97.105	Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (B)	X	X	X	X	X	X
97.106	Securing the Cities (B,H,I,L)		X	X	X		X
97.107	National Incident Management System (NIMS) (B)		X	X	X	X	X
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)		X	X	X	X	X
97.109	Disaster Housing Assistance Grant (B)	X					
97.110	Severe Loss Repetitive Program (B)		X		X		
97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)		X		X		
97.112	Border Infrastructure Improvement Projects (B)		X		X	X	
UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT							
98.001	USAID Foreign Assistance for Programs Overseas (B)	X	X	X	X	X	X
98.002	Cooperative Development Program (CDP) (B)						
98.003	Ocean Freight Reimbursement Program (OFR) (B)						
98.004	Non-Governmental Organization Strengthening (NGO) (B)						
98.005	Institutional Capacity Building (ICB) (B)						
98.006	Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)			X			
98.007	Food for Peace Development Assistance Program (DAP) (B,H)						
98.008	Food for Peace Emergency Program (EP) (B,H)						
98.009	John Ogonowski Farmer-to-Farmer Program (B)		X		X		
98.010	Denton Program (J)						
98.011	Global Development Alliance (B)	X	X	X	X		
98.012	USAID Development Partnerships for University Cooperation and Development (B)				X		

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MARCH 1, 2008

15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)

MAY 16, 2008

15.807 Earthquake Hazards Reduction Program (B)

JUNE 1, 2008

93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)

JULY 1, 2008

93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)

JULY 3, 2008

14.902 Lead Technical Studies Grants (B)

14.906 Healthy Homes Technical Studies Grants (B)

JULY 10, 2008

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)

14.903 Operation Lead Elimination Action Program (B)

JULY 17, 2008

14.901 Healthy Homes Demonstration Grants (B)

14.905 Lead Hazard Reduction Demonstration Grant Program (B)

OCTOBER 1, 2008

15.928 Civil War Battlefield Land Acquisition Grants (B)

OCTOBER 15, 2008

15.640 Wildlife Without Borders- Latin America and the Caribbean (B)

NOVEMBER 1, 2008

15.619 Rhinoceros and Tiger Conservation Fund (B)

15.620 African Elephant Conservation Fund (B)

15.621 Asian Elephant Conservation Fund (B)

15.629 Great Apes Conservation Fund (B)

NOVEMBER 15, 2008

14.239 Home Investment Partnerships Program (A)

15.421 Alaska Coastal Marine Institute (B)

NOVEMBER 18, 2008

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)

DECEMBER 1, 2008

14.241 Housing Opportunities for Persons with AIDS (A,B)

DECEMBER 16, 2008

15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)

JANUARY 1, 2009

93.794 Reimbursement of State Costs for Provision of Part D Drugs (C)

JANUARY 15, 2009

15.805 Assistance to State Water Resources Research Institutes (A,B)

45.309 Museum Grants for African American History and Culture (B)

93.103 Food and Drug Administration-Research (B)

JANUARY 30, 2009

93.659 Adoption Assistance (A)

JANUARY 31, 2009

20.106 Airport Improvement Program (B,K)

20.807 U.S. Merchant Marine Academy (M)

66.952 National Network for Environmental Management Studies Fellowship Program (B)

85.402 Morris K. Udall Native American Congressional Internship Program (C)

93.776 Hurricane Katrina Relief (B)

FEBRUARY 1, 2009

12.400 Military Construction, National Guard (B)

15.928 Civil War Battlefield Land Acquisition Grants (B)

45.130 Promotion of the Humanities-Challenge Grants (B)

45.312 National Leadership Grants (B)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

93.271 Alcohol Research Career Development Awards for Scientists and Clinicians (B)

93.392 Cancer Construction (B)

93.397 Cancer Centers Support Grants (B)

FEBRUARY 2, 2009

85.200 Barry M. Goldwater Scholarship Program (C)

FEBRUARY 15, 2009

10.559 Summer Food Service Program for Children (A)

20.602 Occupant Protection (B)

45.302 Museum Assessment Program (C)

93.778 Medical Assistance Program (A)

FEBRUARY 17, 2009

11.554 Low-Power Television and Translator Digital-to-Analog Conversion (B)

FEBRUARY 21, 2009

85.401 Morris K. Udall Fellowship Program (B)

FEBRUARY 22, 2009

11.550 Public Telecommunications Facilities Planning and Construction (B)

FEBRUARY 25, 2009

20.814 Assistance to Small Shipyards (C)

FEBRUARY 27, 2009

15.816 Minerals Resources External Research Program (B)

MARCH 1, 2009

15.022 Tribal Self-Governance (C)

15.042 Indian School Equalization Program (C)

15.044 Indian Schools-Student Transportation (C)

20.609 Safety Belt Performance Grants (B)

20.807 U.S. Merchant Marine Academy (M)

85.500 James Madison Memorial Fellowship Program (B)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

MARCH 4, 2009

85.400 Morris K. Udall Scholarship Program (C)

MARCH 15, 2009

15.644 Federal Junior Duck Stamp Conservation and Design (C,H)

45.307 21st Century Museum Professionals (B)

MARCH 17, 2009

66.708 Pollution Prevention Grants Program (B)

MARCH 23, 2009

93.225 National Research Service Awards-Health Services Research Training (B)

MARCH 31, 2009

10.051 Commodity Loans and Loan Deficiency Payments (D,E)

10.774 National Sheep Industry Improvement Center (B,C,E,F)

11.556 TV Converter Box Coupon Program (C)

93.794 Reimbursement of State Costs for Provision of Part D Drugs (C)

APRIL 1, 2009

15.619 Rhinoceros and Tiger Conservation Fund (B)

15.620 African Elephant Conservation Fund (B)

15.621 Asian Elephant Conservation Fund (B)

15.629 Great Apes Conservation Fund (B)

15.645 Marine Turtle Conservation Fund (B)

45.201 Arts and Artifacts Indemnity (G)

45.308 Native American/Native Hawaiian Museum Services Program (B)

81.104 Office of Environmental Waste Processing (B)

93.123 Health Professions Pregraduate Scholarship Program for Indians (B)

93.971 Health Professions Preparatory Scholarship Program for Indians (B)

93.972 Health Professions Scholarship Program (B)

APRIL 5, 2009

93.103 Food and Drug Administration-Research (B)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

93.272 Alcohol National Research Service Awards for Research Training (B)

93.307 Minority Health and Health Disparities Research (B)

93.396 Cancer Biology Research (B)

APRIL 15, 2009

10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (E,F)

15.640 Wildlife Without Borders- Latin America and the Caribbean (B)

19.421 Exchange-English Language Fellow Program (B)

64.005 Grants to States for Construction of State Home Facilities (B)

APRIL 16, 2009

DEADLINES INDEX

66.708 Pollution Prevention Grants Program (B)

APRIL 30, 2009

93.583 Refugee and Entrant Assistance-Wilson/Fish Program (B)

93.659 Adoption Assistance (A)

MAY 1, 2009

10.051 Commodity Loans and Loan Deficiency Payments (D,E)

10.560 State Administrative Expenses for Child Nutrition (A)

17.600 Mine Health and Safety Grants (B)

45.130 Promotion of the Humanities-Challenge Grants (B)

MAY 12, 2009

10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)

MAY 15, 2009

66.804 State and Tribal Underground Storage Tanks Program (A)

66.805 Leaking Underground Storage Tank Trust Fund Program (A)

66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)

66.816 Headquarters and Regional Underground Storage Tanks Program (B)

MAY 31, 2009

11.469 Congressionally Identified Awards and Projects (B)

JUNE 1, 2009

15.428 Marine Gas Hydrate Research Activities (B)

11.443 Short Term Climate Fluctuations (B)

12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B)

15.043 Indian Child and Family Education (B,M)

15.928 Civil War Battlefield Land Acquisition Grants (B)

45.129 Promotion of the Humanities-Federal/State Partnership (A)

66.456 National Estuary Program (B)

66.815 Brownfield Job Training Cooperative Agreements (B)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

93.271 Alcohol Research Career Development Awards for Scientists and Clinicians (B)

93.397 Cancer Centers Support Grants (B)

JUNE 9, 2009

66.717 Source Reduction Assistance (B)

JUNE 15, 2009

15.651 Wildlife Without Borders-Africa Program (B)

20.610 State Traffic Safety Information System Improvement Grants (B)

93.778 Medical Assistance Program (A)

JUNE 16, 2009

10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (E,F)

JUNE 21, 2009

93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)

JUNE 30, 2009

10.774 National Sheep Industry Improvement Center (B,C,E,F)

11.553 Special Projects (B)

11.617 Congressionally-Identified Projects (B)

12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)

15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)

66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)

93.599 Chafee Education and Training Vouchers Program (ETV) (A)

93.645 Child Welfare Services-State Grants (A)

93.674 Chafee Foster Care Independence Program (A)

JULY 1, 2009

10.566 Nutrition Assistance For Puerto Rico (C)

15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)

15.042 Indian School Equalization Program (C)

15.044 Indian Schools-Student Transportation (C)

15.426 Coastal Impact Assistance Program (CIAP) (A)

15.427 Federal Oil and Gas Royalty Management (B)

20.609 Safety Belt Performance Grants (B)

20.611 Incentive Grant Program to Prohibit Racial Profiling (B)

20.613 Child Safety and Child Booster Seats Incentive Grants (B)

20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)

64.203 State Cemetery Grants (B)

84.002 Adult Education - Basic Grants to States (A)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

JULY 3, 2009

66.458 Capitalization Grants for Clean Water State Revolving Funds (A)

JULY 15, 2009

20.605 Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (B)

93.103 Food and Drug Administration-Research (B)

JULY 23, 2009

93.225 National Research Service Awards-Health Services Research Training (B)

JULY 30, 2009

93.659 Adoption Assistance (A)

AUGUST 1, 2009

10.222 Tribal Colleges Endowment Program (A)

15.921 Rivers, Trails and Conservation Assistance (K)

20.218 National Motor Carrier Safety (A)

20.601 Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (B)

20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)

66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)

66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)

66.801 Hazardous Waste Management State Program Support (A)

AUGUST 5, 2009

93.103 Food and Drug Administration-Research (B)

93.213 Research and Training in Complementary and Alternative Medicine (B,M)

93.272 Alcohol National Research Service Awards for Research Training (B)

93.307 Minority Health and Health Disparities Research (B)

93.396 Cancer Biology Research (B)

AUGUST 15, 2009

10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)

10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)

10.565 Commodity Supplemental Food Program (A,H)

64.005 Grants to States for Construction of State Home Facilities (B)

93.777 State Survey and Certification of Health Care Providers and Suppliers (A)

93.778 Medical Assistance Program (A)

AUGUST 16, 2009

14.239 Home Investment Partnerships Program (A)

14.241 Housing Opportunities for Persons with AIDS (A,B)

AUGUST 27, 2009

45.164 Promotion of the Humanities-Public Programs (B)

SEPTEMBER 1, 2009

20.600 State and Community Highway Safety (A)

93.568 Low-Income Home Energy Assistance (A)

93.569 Community Services Block Grant (A)

93.958 Block Grants for Community Mental Health Services (A)

SEPTEMBER 15, 2009

15.037 Water Resources on Indian Lands (C,J,K)

SEPTEMBER 30, 2009

10.051 Commodity Loans and Loan Deficiency Payments (D,E)

10.609 Trade Adjustment Assistance (D)

10.774 National Sheep Industry Improvement Center (B,C,E,F)

10.851 Rural Telephone Loans and Loan Guarantees (E,F)

20.607 Alcohol Open Container Requirements (B)

20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)

20.700 Pipeline Safety Program Base Grants (A)

66.458 Capitalization Grants for Clean Water State Revolving Funds (A)

66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)

OCTOBER 1, 2009

10.901 Resource Conservation and Development (K)

15.641 Wildlife Without Borders-Mexico (B)

15.645 Marine Turtle Conservation Fund (B)

15.928 Civil War Battlefield Land Acquisition Grants (B)

45.201 Arts and Artifacts Indemnity (G)

45.303 Conservation Project Support (B,C)

84.007 Federal Supplemental Educational Opportunity Grants (C)

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- 93.213 Research and Training in Complementary and Alternative Medicine (B,M)
- 93.271 Alcohol Research Career Development Awards for Scientists and Clinicians (B)
- 93.397 Cancer Centers Support Grants (B)
- 93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)
- 93.991 Preventive Health and Health Services Block Grant (A)

OCTOBER 2, 2009

- 93.793 Medicaid Transformation Grants (B)

OCTOBER 15, 2009

- 12.901 Mathematical Sciences Grants Program (B)
- 93.103 Food and Drug Administration-Research (B)

OCTOBER 30, 2009

- 93.659 Adoption Assistance (A)

NOVEMBER 1, 2009

- 15.424 Marine Minerals Activities (B)
- 10.763 Emergency Community Water Assistance Grants (B)
- 45.301 Museums for America (B,C)
- 93.213 Research and Training in Complementary and Alternative Medicine (B,M)
- 93.791 Money Follows the Person Rebalancing Demonstration (B)

NOVEMBER 15, 2009

- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 93.778 Medical Assistance Program (A)

NOVEMBER 19, 2009

- 66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)

NOVEMBER 23, 2009

- 93.225 National Research Service Awards-Health Services Research Training (B)

DECEMBER 1, 2009

- 45.304 Conservation Assessment Program (C)
- 66.817 State and Tribal Response Program Grants (A)
- 66.951 Environmental Education Grants (B)

DECEMBER 5, 2009

- 93.103 Food and Drug Administration-Research (B)
- 93.213 Research and Training in Complementary and Alternative Medicine (B,M)
- 93.272 Alcohol National Research Service Awards for Research Training (B)
- 93.307 Minority Health and Health Disparities Research (B)
- 93.396 Cancer Biology Research (B)

DECEMBER 12, 2009

- 15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)

DECEMBER 15, 2009

- 12.900 Language Grant Program (B)
- 12.902 Information Security Grant Program (B)
- 45.313 Laura Bush 21st Century Librarian Program (B)

DECEMBER 31, 2009

- 10.053 Dairy Indemnity Program (D)
- 10.774 National Sheep Industry Improvement Center (B,C,E,F)

JANUARY 21, 2010

- 45.164 Promotion of the Humanities-Public Programs (B)

JANUARY 31, 2010

- 66.817 State and Tribal Response Program Grants (A)

FUNCTIONAL INDEX SUMMARY

Listed below are 20 basic functional categories in which all domestic assistance programs have been grouped by primary purpose. Within these basic groups are a total of 176 subcategories or subgrouping which further identify specific areas of interest. For example, if you are interested in buying a home, refer to the major category, "Housing," and to the subcategory "Homebuying, Homeownership." Go to the index page shown for this subcategory and you will find all appropriate program titles and program numbers. Select the desired program title and look up by program number. (Programs are in numerical sequence).

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Site Preparation for Housing	FI-27	Planning and Technical Assistance	FI-35
		Land Acquisition and Rehabilitation and Facilities	
INCOME SECURITY AND SOCIAL SERVICES		Construction	FI-35
Disabled and Handicapped Services	FI-27	Transportation	FI-35
Disabled Veteran	FI-28	Energy	FI-35
Emergency and Crisis Assistance	FI-28	Housing	FI-35
Family and Child Welfare Services	FI-28	Education	FI-35
Indian Services	FI-28	Health and Nutrition	FI-35
Information and Referral Services	FI-28	Resource Development	FI-35
Legal and Advocacy Services	FI-29		
Nutrition	FI-29	SCIENCE AND TECHNOLOGY	
Old Age Assistance	FI-29	Research General	FI-35
Prevention	FI-29	Research Specialized	FI-35
Public Assistance	FI-29	Information and Technical Services	FI-36
Refugee, Alien Services	FI-30		
Research, Demonstration	FI-30	TRANSPORTATION	
Social Security and Insurance	FI-30	Urban Mass Transit	FI-36
Specialized Family and Child Welfare Services	FI-30	Highways, Public Roads and Bridges	FI-36
Specialized Services	FI-30	Rail Transportation	FI-37
Training Assistance	FI-30	Air Transportation	FI-37
Veterans Services	FI-30	Water Navigation	FI-37
Youth Services	FI-31		

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The alphabet(s) in parentheses following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows: A-Formula Grants; B-Project Grants; C-Direct Payments for Specified Use; D-Direct Payments with Unrestricted Use; E-Direct Loans; F-Guaranteed/Insured Loans; G-Insurance; H-Sale, Exchange, or Donation of Property and Goods; I-Use of Property, Facilities, and Equipment; J-Provisions of Specialized Services; K-Advisory Services and Counseling; L-Dissemination of Technical Information; M-Training; N-Investigation of Complaints; O-Federal Employment.

AGRICULTURE

Resource Conservation and Development

- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.054 Emergency Conservation Program (C)
- 10.066 Livestock Assistance Program (D)
- 10.069 Conservation Reserve Program (C)
- 10.070 Colorado River Basin Salinity Control Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.073 Crop Disaster Program (D)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.225 Community Food Projects (B)
- 10.406 Farm Operating Loans (E,F)
- 10.437 Interest Assistance Program (F)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.455 Community Outreach and Assistance Partnership Program (L,M)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
- 10.901 Resource Conservation and Development (K)
- 10.902 Soil and Water Conservation (K)
- 10.905 Plant Materials for Conservation (J)
- 10.906 Watershed Surveys and Planning (J,K)
- 10.910 Rural Abandoned Mine Program (C)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.916 Watershed Rehabilitation Program (B,K)
- 10.918 Ground and Surface Water Conservation- Environmental Quality Incentives Program (C)
- 10.919 Klamath Basin - Environmental Quality Incentives Program (C)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 11.419 Coastal Zone Management Administration Awards (A,B)
- 12.110 Planning Assistance to States (J)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.518 Garrison Diversion Unit (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)
- 15.650 Research Grants (Generic) (B)
- 15.651 Wildlife Without Borders-Africa Program (B)
- 15.921 Rivers, Trails and Conservation Assistance (K)
- 15.930 Chesapeake Bay Gateways Network (B)
- 81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (B)
- 90.100 Denali Commission Program (B)

Production and Operations

- 10.055 Direct and Counter-cyclical Payments Program (C)
- 10.056 Farm Storage Facility Loans (E)
- 10.080 Milk Income Loss Contract Program (D)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.352 Value-Added Producer Grants (B)
- 10.404 Emergency Loans (E)
- 10.406 Farm Operating Loans (E,F)
- 10.435 State Mediation Grants (B)
- 10.450 Crop Insurance (G)
- 10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (B)
- 10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (B)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.238 Challenge Cost Share (B,I,K,M)

Marketing

- 10.029 Avian Influenza Indemnity Program (D)
- 10.053 Dairy Indemnity Program (D)

- 10.078 Bioenergy Program (C)
- 10.081 Lamb Meat Adjustment Assistance Program (D)
- 10.085 Tobacco Transition Payment Program (D)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers' Market Promotion Program (B)
- 10.169 Specialty Crop Block Grant Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)
- 10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH (B)
- 10.352 Value-Added Producer Grants (B)
- 10.454 Dairy Options Pilot Program (C)
- 10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (J)
- 10.500 Cooperative Extension Service (A,B)
- 10.576 Senior Farmers Market Nutrition Program (B)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (C)
- 10.603 Emerging Markets Program (C)
- 10.605 Quality Samples Program (C)
- 10.608 Food for Education (H)
- 10.609 Trade Adjustment Assistance (D)
- 10.672 Rural Development, Forestry, and Communities (B)
- 10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
- 10.774 National Sheep Industry Improvement Center (B,C,E,F)
- 10.994 Peanut Quota Buyout Program (D)
- 10.995 Hard White Wheat Incentive Program (D)
- 10.999 LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (C)
- 15.238 Challenge Cost Share (B,I,K,M)
- 78.004 Commodity Futures Reparations Claims (N)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)

Research and Development

- 10.001 Agricultural Research-Basic and Applied Research (B)
- 10.070 Colorado River Basin Salinity Control Program (C)
- 10.200 Grants for Agricultural Research, Special Research Grants (B)
- 10.202 Cooperative Forestry Research (A)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.206 Grants for Agricultural Research-Competitive Research Grants (B)
- 10.207 Animal Health and Disease Research (A)
- 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)
- 10.212 Small Business Innovation Research (B)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.216 1890 Institution Capacity Building Grants (B)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.220 Higher Education Multicultural Scholars Program (B)
- 10.224 Fund for Rural America-Research, Education, and Extension Activities (B)
- 10.227 1994 Institutions Research Program (B)
- 10.250 Agricultural and Rural Economic Research (L)
- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.256 Consumer Data Initiative (CDI) (L)
- 10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH (B)
- 10.303 Integrated Programs (B)
- 10.309 SPECIALTY CROP RESEARCH INITIATIVE (B)
- 10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)
- 10.479 Food Safety Cooperative Agreements (B)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (C)
- 10.652 Forestry Research (B)
- 10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (B)
- 10.771 Rural Cooperative Development Grants (B)
- 10.778 Research on the Economic Impact of Cooperatives (C)
- 10.960 Technical Agricultural Assistance (B)
- 10.961 Scientific Cooperation and Research (B,C)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (C)

FUNCTIONAL INDEX

- 11.433 Marine Fisheries Initiative (B)
- 11.460 Special Oceanic and Atmospheric Projects (B)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.238 Challenge Cost Share (B,I,K,M)

Technical Assistance, Information, and Services

- 10.001 Agricultural Research-Basic and Applied Research (B)
- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.250 Agricultural and Rural Economic Research (L)
- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.256 Consumer Data Initiative (CDI) (L)
- 10.350 Technical Assistance to Cooperatives (J,K,L,M)
- 10.441 Technical and Supervisory Assistance Grants (B)
- 10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)
- 10.455 Community Outreach and Assistance Partnership Program (L,M)
- 10.457 Commodity Partnerships for Risk Management Education (B)
- 10.458 Crop Insurance Education in Targeted States (B)
- 10.500 Cooperative Extension Service (A,B)
- 10.574 Team Nutrition Grants (B)
- 10.580 Special Nutrition Assistance Program Outreach/Participation Program (B)
- 10.601 Market Access Program (C)
- 10.604 Technical Assistance for Specialty Crops Program (C)
- 10.609 Trade Adjustment Assistance (D)
- 10.670 National Forest-Dependent Rural Communities (B,I,M)
- 10.700 National Agricultural Library (L)
- 10.761 Technical Assistance and Training Grants (B)
- 10.769 Rural Business Enterprise Grants (B)
- 10.771 Rural Cooperative Development Grants (B)
- 10.855 Distance Learning and Telemedicine Loans and Grants (B,E)
- 10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)
- 10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)
- 10.901 Resource Conservation and Development (K)
- 10.903 Soil Survey (L)
- 10.906 Watershed Surveys and Planning (J,K)
- 10.907 Snow Survey and Water Supply Forecasting (L)
- 10.916 Watershed Rehabilitation Program (B,K)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 10.950 Agricultural Statistics Reports (L)
- 10.960 Technical Agricultural Assistance (B)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.653 National Outreach and Communication Program (B)
- 15.921 Rivers, Trails and Conservation Assistance (K)
- 78.004 Commodity Futures Reparations Claims (N)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)

Forestry

- 10.028 Wildlife Services (B)
- 10.202 Cooperative Forestry Research (A)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.406 Farm Operating Loans (E,F)
- 10.407 Farm Ownership Loans (E,F)
- 10.652 Forestry Research (B)
- 10.664 Cooperative Forestry Assistance (A,B)
- 10.665 Schools and Roads-Grants to States (A)
- 10.666 Schools and Roads-Grants to Counties (A)
- 10.670 National Forest-Dependent Rural Communities (B,I,M)
- 10.671 Southeast Alaska Economic Disaster Fund (C)
- 10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
- 10.675 Urban and Community Forestry Program (B)
- 10.676 Forest Legacy Program (B)
- 10.677 Forest Land Enhancement Program (B)
- 10.678 Forest Stewardship Program (B)
- 10.679 Collaborative Forest Restoration (B)
- 10.680 Forest Health Protection (A,B)
- 10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (B)

- 10.684 International Forestry Programs (B)
- 10.922 Healthy Forests Reserve Program (HFRP) (C)
- 15.035 Forestry on Indian Lands (C,J,K)
- 15.233 Forests and Woodlands Resource Management (B,K,M)
- 15.237 Rangeland Resource Management (B,K,M)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.921 Rivers, Trails and Conservation Assistance (K)

Stabilization and Conservation Service

- 10.051 Commodity Loans and Loan Deficiency Payments (D,E)
- 10.053 Dairy Indemnity Program (D)
- 10.054 Emergency Conservation Program (C)
- 10.055 Direct and Counter-cyclical Payments Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.309 SPECIALTY CROP RESEARCH INITIATIVE (B)
- 10.450 Crop Insurance (G)
- 10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
- 10.664 Cooperative Forestry Assistance (A,B)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.234 Secure Rural Schools and Community Self-Determination (B)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.520 Lewis and Clark Rural Water System (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)
- 15.651 Wildlife Without Borders-Africa Program (B)
- 81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (B)
- 93.570 Community Services Block Grant-Discretionary Awards (B)

BUSINESS AND COMMERCE

Small Business

- 10.084 Dairy Market Loss Assistance Program (D)
- 10.212 Small Business Innovation Research (B)
- 10.352 Value-Added Producer Grants (B)
- 10.406 Farm Operating Loans (E,F)
- 10.767 Intermediary Relending Program (E)
- 10.768 Business and Industry Loans (F)
- 10.769 Rural Business Enterprise Grants (B)
- 10.773 Rural Business Opportunity Grants (B)
- 10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (E,F)
- 10.861 Public Television Station Digital Transition Grant Program (B)
- 11.108 Commercial Service (K)
- 11.110 Manufacturing and Services (K)
- 11.150 Export Licensing Service and Information (K)
- 11.313 Trade Adjustment Assistance for Firms (B)
- 11.611 Manufacturing Extension Partnership (B,L)
- 11.617 Congressionally-Identified Projects (B)
- 11.800 Minority Business Enterprise Centers (B)
- 11.803 Minority Business Opportunity Center (MBOC) (B)
- 14.412 Employment Opportunities for Lower Income Persons and Businesses (N)
- 15.124 Indian Loans-Economic Development (F)
- 20.814 Assistance to Small Shipyards (C)
- 20.910 Assistance to small and disadvantaged businesses (B)
- 20.930 Payments for Small community Air Service Development (B)
- 21.003 Taxpayer Service (K)
- 36.001 Fair Competition Counseling and Investigation of Complaints (K,N)
- 44.001 Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)
- 59.006 8(a) Business Development Program (J)
- 59.007 7(j) Technical Assistance (B)
- 59.008 Disaster Assistance Loans (E)
- 59.009 Procurement Assistance to Small Businesses (J)
- 59.011 Small Business Investment Companies (E,F,K)
- 59.012 7(a) Loan Guarantees (F)
- 59.016 Surety Bond Guarantees (G)
- 59.026 Service Corps of Retired Executives (K,M)
- 59.037 Small Business Development Centers (B,J,K,L)
- 59.041 504 Certified Development Loans (F)
- 59.043 Women's Business Ownership Assistance (B)
- 59.044 Veterans Business Development (B)
- 59.046 Microloan Program (A,E)
- 59.049 Small Disadvantaged Businesses (E)
- 59.050 PRIME Technical Assistance (B)
- 59.051 New Markets Venture Capital Program (B,F)
- 59.053 Ombudsman and Regulatory Fairness Boards (N)

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- 59.054 7(a)Export Loan Guarantees (F)
- 59.055 HUBZone Program (B)
- 59.070 Drug-Free Workplace Program (B)
- 81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)
- 97.022 Flood Insurance (G)

Economic Development

- 10.056 Farm Storage Facility Loans (E)
- 10.404 Emergency Loans (E)
- 10.406 Farm Operating Loans (E,F)
- 10.670 National Forest-Dependent Rural Communities (B,I,M)
- 10.767 Intermediary Relending Program (E)
- 10.768 Business and Industry Loans (F)
- 10.769 Rural Business Enterprise Grants (B)
- 10.773 Rural Business Opportunity Grants (B)
- 10.860 Rural Business Investment Program (B,F)
- 11.111 Foreign-Trade Zones in the United States (J)
- 11.113 ITA Special Projects (B)
- 11.300 Investments for Public Works and Economic Development Facilities (B)
- 11.302 Economic Development-Support for Planning Organizations (B)
- 11.303 Economic Development-Technical Assistance (B)
- 11.462 Hydrologic Research (B)
- 11.800 Minority Business Enterprise Centers (B)
- 12.002 Procurement Technical Assistance For Business Firms (B)
- 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)
- 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)
- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
- 14.227 Community Development Block Grants/Technical Assistance Program (B)
- 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)
- 14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)
- 14.412 Employment Opportunities for Lower Income Persons and Businesses (N)
- 14.862 Indian Community Development Block Grant Program (B)
- 15.020 Aid To Tribal Governments (C)
- 15.021 Consolidated Tribal Government Program (C)
- 15.022 Tribal Self-Governance (C)
- 15.024 Indian Self-Determination Contract Support (C)
- 15.032 Indian Economic Development (C)
- 15.124 Indian Loans-Economic Development (F)
- 15.133 Native American Business Development Institute (A)
- 15.875 Economic, Social, and Political Development of the Territories (B)
- 20.507 Federal Transit-Formula Grants (A)
- 20.814 Assistance to Small Shipyards (C)
- 21.020 Community Development Financial Institutions Program (B)
- 21.021 Bank Enterprise Award Program (B)
- 23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)
- 23.002 Appalachian Area Development (B)
- 23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)
- 39.012 Public Buildings Service (J)
- 44.002 Community Development Revolving Loan Fund Program for Credit Unions (E)
- 45.301 Museums for America (B,C)
- 45.302 Museum Assessment Program (C)
- 45.303 Conservation Project Support (B,C)
- 45.304 Conservation Assessment Program (C)
- 59.041 504 Certified Development Loans (F)
- 59.052 Native American Outreach (B)
- 90.100 Denali Commission Program (B)
- 90.200 Delta Regional Development (B)
- 90.201 Delta Area Economic Development (B)
- 93.570 Community Services Block Grant-Discretionary Awards (B)

Economic Injury and Natural Disaster

- 10.056 Farm Storage Facility Loans (E)
- 10.066 Livestock Assistance Program (D)
- 10.404 Emergency Loans (E)
- 10.450 Crop Insurance (G)
- 10.768 Business and Industry Loans (F)
- 10.854 Rural Economic Development Loans and Grants (B,E)

- 11.307 Economic Adjustment Assistance (B)
- 11.313 Trade Adjustment Assistance for Firms (B)
- 11.450 Automated Flood Warning Systems (AFWS) (B)
- 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (J)
- 12.103 Emergency Operations Flood Response and Post Flood Response (J)
- 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)
- 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies (B)
- 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment (B)
- 17.245 Trade Adjustment Assistance (B)
- 19.204 Fishermen's Guaranty Fund (G)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 20.803 Maritime War Risk Insurance (G)
- 21.003 Taxpayer Service (K)
- 33.001 Shipping-Dispute Resolution and Investigation of Complaints (N)
- 36.001 Fair Competition Counseling and Investigation of Complaints (K,N)
- 59.008 Disaster Assistance Loans (E)
- 59.050 PRIME Technical Assistance (B)
- 78.004 Commodity Futures Reparations Claims (N)
- 97.013 State Access to the Oil Spill Liability Trust Fund (B)
- 97.109 Disaster Housing Assistance Grant (B)

Commercial Fisheries

- 10.407 Farm Ownership Loans (E,F)
- 11.405 Anadromous Fish Conservation Act Program (B)
- 11.407 Interjurisdictional Fisheries Act of 1986 (A)
- 11.408 Fishermen's Contingency Fund (D)
- 11.413 Fishery Products Inspection and Certification (J)
- 11.415 Fisheries Finance Program (E)
- 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
- 11.434 Cooperative Fishery Statistics (B)
- 11.435 Southeast Area Monitoring and Assessment Program (B)
- 11.436 Columbia River Fisheries Development Program (B)
- 11.437 Pacific Fisheries Data Program (B)
- 11.438 Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (B)
- 11.441 Regional Fishery Management Councils (B)
- 11.444 Hawaii Sustainable Fisheries Development (Aquaculture Program) (B)
- 11.445 Hawaii Stock Management Program (B)
- 11.452 Unallied Industry Projects (B)
- 11.457 Chesapeake Bay Studies (B)
- 11.463 Habitat Conservation (B)
- 11.477 Fisheries Disaster Relief (B)
- 15.615 Cooperative Endangered Species Conservation Fund (B)
- 19.204 Fishermen's Guaranty Fund (G)

Maritime

- 11.439 Marine Mammal Data Program (B)
- 11.455 Cooperative Science and Education Program (B)
- 11.478 Center for Sponsored Coastal Ocean Research-Coastal Ocean Program (B)
- 15.653 National Outreach and Communication Program (B)
- 17.807 Transition Assistance Program (B)
- 20.802 Federal Ship Financing Guarantees (F)
- 20.803 Maritime War Risk Insurance (G)
- 20.806 State Maritime Schools (C,I)
- 20.807 U.S. Merchant Marine Academy (M)
- 20.808 Capital Construction Fund (C)
- 20.810 Supplementary Training (M)
- 20.812 Construction Reserve Fund (C)
- 20.814 Assistance to Small Shipyards (C)
- 33.001 Shipping-Dispute Resolution and Investigation of Complaints (N)

International

- 10.603 Emerging Markets Program (C)
- 10.961 Scientific Cooperation and Research (B,C)
- 11.026 STAT-USA: Key Business, Economic, and International Trade Information (L)
- 11.106 Remedies for Unfair Foreign Trade Practices-Antidumping and Countervailing Duty Investigations (J,N)
- 11.108 Commercial Service (K)
- 11.111 Foreign-Trade Zones in the United States (J)
- 11.112 Market Development Cooperator Program (B)
- 11.150 Export Licensing Service and Information (K)
- 11.313 Trade Adjustment Assistance for Firms (B)
- 17.245 Trade Adjustment Assistance (B)

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19.500 Middle East Partnership Initiative (MEPI) (B)
 19.510 U.S. Refugee Admissions Program (B)
 19.511 Overseas Refugee Assistance Programs for East Asia (B)
 19.517 Overseas Refugee Assistance Programs for Africa (B)
 19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)
 19.519 Overseas Refugee Assistance Program for Near East and South Asia (B)
 19.520 Overseas Refugee Assistance Programs for Europe (B)
 70.002 Foreign Investment Financing (F)
 70.003 Foreign Investment Insurance (G)
 84.153 Business and International Education Projects (B)
 84.220 Centers for International Business Education (B)
 90.300 Japan-US Friendship Commission Grants (B)
 98.001 USAID Foreign Assistance for Programs Overseas (B)
 98.003 Ocean Freight Reimbursement Program (OFR) (B)
 98.011 Global Development Alliance (B)

Statistics

11.001 Census Bureau Data Products (L)
 11.002 Census Customer Services (K,L,M)
 11.110 Manufacturing and Services (K)
 11.150 Export Licensing Service and Information (K)
 11.302 Economic Development-Support for Planning Organizations (B)
 11.434 Cooperative Fishery Statistics (B)
 11.601 Calibration Program (J)
 11.604 Standard Reference Materials (H)
 11.606 Weights and Measures Service (J,K,L,M)
 11.609 Measurement and Engineering Research and Standards (B)
 11.650 National Technical Information Service (L)
 11.900 Patent and Trademark Technical Information Dissemination (L)
 17.002 Labor Force Statistics (B,L)
 17.003 Prices and Cost of Living Data (L)
 17.004 Productivity and Technology Data (L)
 17.005 Compensation and Working Conditions (B,L)

Special Technical Services

11.003 Census Geography (J,L)
 11.004 Census Intergovernmental Services (K,L,M)
 11.005 Census Special Tabulations and Services (J,L)
 11.025 Measures and Analyses of the U.S. Economy (L)
 11.110 Manufacturing and Services (K)
 11.150 Export Licensing Service and Information (K)
 11.307 Economic Adjustment Assistance (B)
 11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
 11.407 Interjurisdictional Fisheries Act of 1986 (A)
 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
 11.438 Pacific Coast Salmon Recovery-Pacific Salmon Treaty Program (B)
 11.439 Marine Mammal Data Program (B)
 11.462 Hydrologic Research (B)
 11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)
 11.550 Public Telecommunications Facilities Planning and Construction (B)
 11.606 Weights and Measures Service (J,K,L,M)
 11.609 Measurement and Engineering Research and Standards (B)
 11.610 National Center for Standards and Certification Information (L)
 11.612 Advanced Technology Program (B)
 11.800 Minority Business Enterprise Centers (B)
 12.002 Procurement Technical Assistance For Business Firms (B)
 15.850 Indian Arts and Crafts Development (I,K,N)
 44.001 Credit Union Charter, Examination, Supervision, and Insurance (G,J,K)
 59.026 Service Corps of Retired Executives (K,M)
 59.044 Veterans Business Development (B)
 90.202 Delta Local Development District Assistance (B)

Minority Business Enterprises

10.421 Indian Tribes and Tribal Corporation Loans (E)
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- 93.143 NIEHS Superfund Hazardous Substances-Basic Research and Education (B)
- 93.202 Capacity Building Among American Indian Tribes (B)
- 93.206 Human Health Studies-Applied Research and Development (B)
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- 10.551 Supplemental Nutrition Assistance Program (C)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.560 State Administrative Expenses for Child Nutrition (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
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- 10.578 WIC Grants To States (WGS) (B)
- 10.579 Child Nutrition Discretionary Grants Limited Availability (B)
- 10.582 Fresh Fruit and Vegetable Program (B)
- 10.608 Food for Education (H)
- 93.448 Food Safety and Security Monitoring Project (B)

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- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.256 Consumer Data Initiative (CDI) (L)
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- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 93.045 Special Programs for the Aging-Title III, Part C-Nutrition Services (A)
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- 93.448 Food Safety and Security Monitoring Project (B)
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- 98.007 Food for Peace Development Assistance Program (DAP) (B,H)
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- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
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- 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
- 93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)
- 93.229 Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (B)
- 93.230 Consolidated Knowledge Development and Application (KD&A) Program (B)
- 93.238 Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (B)
- 93.243 Substance Abuse and Mental Health Services-Projects of Regional and National Significance (B)
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- 93.910 Family and Community Violence Prevention Program (B)
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- 16.003 Law Enforcement Assistance-Narcotics and Dangerous Drugs Technical Laboratory Publications (L)
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- 93.230 Consolidated Knowledge Development and Application (KD&A) Program (B)
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- 93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)

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- 93.272 Alcohol National Research Service Awards for Research Training (B)
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The Programs listed below are to be deleted from the Catalog. The reason for deletion are also given

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- 10.062 Water Bank Program ()--Funds have been recinded by Congress
(Program deleted on 06/09/06)
- 10.064 Forestry Incentives Program ()--Funds have been recinded by Congress
(Program deleted on 06/09/06)
- 10.075 Special Apple Program ()-- One Time program with obligations\loans available in FY 2001 only
(Program deleted on 05/23/06)
- 10.076 Emergency Loan for Seed Producers ()--This is a 1-Time program with Obligations\loans available in FY 2001 only
(Program deleted on 05/23/06)
- 10.083 Tobacco Loss Assistance Program ()--Payments for this program were substantially completed in FY 2003 with residual payments in FY 2004.
(Program deleted on 05/23/06)
- 10.218 Buildings and Facilities Program ()--Program cancelled several years ago, per CSREES
(Program deleted on 02/22/05)
- 10.302 Initiative for Future Agriculture and Food Systems ()--Program cancelled several years ago, per CSREES
(Program deleted on 02/22/05)
- 10.353 National Rural Development Partnership ()--This program has not received funding and no awards are expected in the future.
(Program deleted on 04/29/05)
- 10.550 Food Donation ()--Program no longer funded
(Program deleted on 05/06/08)
- 10.570 Nutrition Services Incentive ()--Program transfered to another agency
(Program deleted on 06/04/05)
- 10.581 WIC Discretionary Grant Funds ()--This program is duplicated in the Catalog under program number 10.578
(Program deleted on 07/26/04)
- 10.663 Forest Land Enhancement Program (FLEP) ()--Budget authority appropriated for FY 02 as established by the Farm Security and Rural Investment Act of 2002
(Program deleted on 08/20/03)
- 10.673 Wood In Transportation Program ()--Budget authority appropriated for FY 03 as established by the Food, Agriculture, Conservation, and Trade Act of 1990 - replaced by current program under CFDA 10.681
(Program deleted on 08/15/08)
- 10.800 Livestock, Meat and Poultry Market Supervision ()--Funds not available to the public
(Program deleted on 07/15/04)
- 10.852 Rural Telephone Bank Loans ()--There is no longer authorization for this program.
(Program deleted on 10/17/07)
- 10.853 LOCAL Television Loan Guarantee Program ()--The authorization for this program has expired.
(Program deleted on 10/17/07)
- 10.900 Great Plains Conservation ()--Funds have been recinded by Congress
(Program deleted on 06/09/06)

DEPARTMENT OF COMMERCE

- 11.027 State of the Nation ()--Combined into 11.026 to reflect updates to the program
(Program deleted on 03/15/06)
- 11.114 Special American Business Internship Training Program ()--The SABIT program no longer provides assistance as defined by the CFDA. Training program funds are provided to overseas and not domestically.
(Program deleted on 10/18/07)
- 11.428 Intergovernmental Climate_Program (NESDIS) ()--Grant no longer has funding.
(Program deleted on 10/17/07)
- 11.458 Alaska Salmon Enhancement ()--Program Element of 11.458 have been consolidated into 11.438
(Program deleted on 04/16/04)
- 11.470 Office of Administration Special Programs ()--Program Element of 11.470 have been consolidated into 11.481
(Program deleted on 04/16/04)
- 11.552 Technology Opportunities Program ()--The TOP program was discontinued.
(Program deleted on 03/15/06)
- 11.802 Minority Business Development ()--Program was never funded or implemented.

- (Program deleted on 05/03/04)
- 11.806 Minority Business Opportunity Committee ()--Budget authority expired effective FY 02
(Program deleted on 08/06/03)

DEPARTMENT OF DEFENSE

- 12.600 Community Economic Adjustment ()--Deleted by sponsor's request.
(Program deleted on 10/17/07)
- 12.612 Community Base Reuse Plans ()--Program authorization has expired.
(Program deleted on 10/17/07)
- 12.613 Growth Management Planning Assistance ()--Program authorization has expired.
(Program deleted on 10/17/07)
- 12.640 Integrated Helicopter Design Tools ()--Budget authority expired
(Program deleted on 09/14/05)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

- 14.132 Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units ()--Per program area request, program is now obsolete
(Program deleted on 08/15/08)
- 14.165 Mortgage Insurance_Homes_Military Impacted Areas ()--Per program area request, program is now obsolete
(Program deleted on 08/15/08)
- 14.219 Community Development Block Grants/Small Cities Program ()--Combined with 14.228 Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii
(Program deleted on 05/06/08)
- 14.243 Opportunities for Youth_Youthbuild Program ()
(Program deleted on 04/07/08)
- 14.310 Teacher Next Door Initiative ()--Program elements of 14.310 consolidated into 14.198
(Program deleted on 05/06/08)
- 14.511 Community Outreach Partnership Center Program ()--Per S. Brunson, program has not been funded since 2005 and request deletion.
(Program deleted on 08/15/08)
- 14.512 Community Development Work-Study Program ()--Grants no longer offered
(Program deleted on 12/12/07)
- 14.518 HUD Urban Scholars Fellowship Grants ()--Department no longer funds this program.
(Program deleted on 05/26/05)
- 14.521 Universities Rebuilding America Program - Community Design ()--Grants no longer offered
(Program deleted on 10/18/07)

DEPARTMENT OF THE INTERIOR

- 15.039 Fish, Wildlife, and Parks Programs on Indian Lands ()--Funds are contracted to the tribes and there are no discretionary funds to apply for.
(Program deleted on 08/15/08)
- 15.049 Irrigation Operations and Maintenance on Indian Lands ()--No funds available for program from fiscal years 2007 to 2009.
(Program deleted on 08/15/08)
- 15.050 Unresolved Indian Hunting and Fishing Rights ()--Eliminated from the budget for the past three years.
(Program deleted on 08/15/08)
- 15.910 National Natural Landmarks Program ()--Program is not financial assistance and currently no funding available.
(Program deleted on 08/15/08)
- 15.919 Urban Park and Recreation Recovery Program ()--No longer funded by Congress
(Program deleted on 11/28/05)
- 15.925 National Maritime Heritage Grants ()--No funding for FY 07 to FY 09. The program has been inactive due to lack of appropriations.
(Program deleted on 08/15/08)
- 15.976 Migratory Bird Banding and Data Analysis ()
(Program deleted on 07/21/03)

DEPARTMENT OF JUSTICE

- 16.005 Public Education on Drug Abuse_Information ()--Program no longer

DELETED PROGRAMS

- funded because of budget cuts.
(Program deleted on 08/12/08)
- 16.220 Law Enforcement Assistance Narcotics and Dangerous Drugs_Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances ()--Submitted into the CFDA by error
(Program deleted on 06/09/05)
- 16.542 Part D - Research, Evaluation, Technical Assistance and Training ()--No longer funded or supported by OJP
(Program deleted on 12/12/07)
- 16.544 Gang-Free Schools and Communities_Community-Based Gang Intervention ()--Funding transferred to another Bureau.
(Program deleted on 12/12/07)
- 16.549 Part E State Challenge Activities ()--No longer funded or supported by OJP
(Program deleted on 12/12/07)
- 16.561 National Institute of Justice Visiting Fellowships ()--"I think it is okay to go ahead and delete it with the understanding that it is unfunded," per Dionne Mitchell, NIJ, 4/27/06
(Program deleted on 04/30/06)
- 16.563 Corrections and Law Enforcement Family Support ()--No longer funded or supported by OJP
(Program deleted on 12/12/07)
- 16.564 Crime Laboratory Improvement Combined Offender DNA Index System Backlog Reduction ()--Dionne Mitchell/NIJ email request 4/19/06 no long funded
(Program deleted on 04/21/06)
- 16.565 National Institute of Justice Domestic Anti-Terrorism Technology Development Program (Counterterrorism Research and Development) ()--Dionne Mitchell/NIJ email request 4/19/06 - no funds
(Program deleted on 04/21/06)
- 16.577 Emergency Federal Law Enforcement Assistance ()--Short term funding program can be deleted, per BJA Maria Pressley 4/27/06
(Program deleted on 04/27/06)
- 16.592 Local Law Enforcement Block Grant Program ()--No longer funded or supported by OJP
(Program deleted on 12/12/07)
- 16.597 Motor Vehicle Theft Protection Act Program ()--No longer funded
(Program deleted on 08/12/08)
- 16.612 National White Collar Crime Center ()--No longer funded
(Program deleted on 08/12/08)
- 16.613 Scams Targeting the Elderly ()--No longer funded
(Program deleted on 08/12/08)
- 16.711 Troops to COPS ()--The COPS Office no longer receives funding for the TROOPS to COPS program
(Program deleted on 06/30/04)
- 16.712 Police Corps ()--No longer funded or supported by OJP
(Program deleted on 12/12/07)
- 16.728 Drug Prevention Program ()--Funding no longer available.
(Program deleted on 12/12/07)
- 16.732 National Evaluation of the Safe Schools_Healthy Students Initiative ()--Funding not available.
(Program deleted on 12/12/07)
- 16.733 National Incident Based Reporting System ()--Funding no longer available.
(Program deleted on 04/08/05)
- 16.743 Forensic Casework DNA Backlog Reduction Program ()--Restructuring of DNA program.
(Program deleted on 08/12/08)

DEPARTMENT OF LABOR

- 17.006 Employment Projections Data ()--This program has been incorporated in BLS program 17.002.
(Program deleted on 04/01/04)
- 17.202 Certification of Foreign Workers for Temporary Agricultural Employment ()--Program elements of 17.202, 17.203, and 17.252 regrouped into 17.272 and 17.273 to more clearly and accurately reflect the program purpose
(Program deleted on 05/04/06)
- 17.203 Labor Certification for Alien Workers ()--Program elements of 17.202, 17.203, and 17.252 regrouped into 17.272 and 17.273 to more clearly and accurately reflect the program purpose
(Program deleted on 05/04/06)
- 17.252 Attestations by Employers Using Non-Immigrant Aliens in Specialty Occupations ()--Program elements of 17.202, 17.203, and 17.252

- regrouped into 17.272 and 17.273 to more clearly and accurately reflect the program purpose
(Program deleted on 05/04/06)
- 17.253 Welfare-to-Work Grants to States and Localities ()--Formula program was terminated by section 105 of the Department of Labor 2004 Appropriation (P.L. 108-199).
(Program deleted on 04/19/05)
- 17.254 Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives ()--The statute supporting this program is no longer in effect.
(Program deleted on 04/19/05)
- 17.257 One-Stop Career Center Initiative ()--Combined with 17.207 Employment Service/Wagner-Peyser Funded Activities
(Program deleted on 05/01/06)
- 17.262 Employment and Training Administration Evaluations ()--Solicited contract programs administered under procurement laws and regulations should be excluded from the catalog.
(Program deleted on 05/01/06)
- 17.263 Youth Opportunity Grants ()--Budget authority expired effective 6/30/04
(Program deleted on 05/01/06)

DEPARTMENT OF STATE

- 19.200 Claims Against Foreign Governments ()--This is not a federal assistance program and has been inappropriately included in CFDA.
(Program deleted on 12/27/05)
- 19.201 Protection of Ships From Foreign Seizure ()--This is not a federal assistance program and has been inappropriately included in CFDA.
(Program deleted on 12/27/05)
- 19.202 Special Domestic Assignments ()--19.202 is not a grant program. It is an in-house temporary detail program for foreign service officers. It only benefits the employee. There is no grant issued to an individual or an organization.
(Program deleted on 12/27/05)
- 19.404 Professional Development International Educators/Administrators ()--Program consolidated into 19.424
(Program deleted on 06/30/04)
- 19.405 Educational Partnerships Program ()--Program no longer receives separate funding. Program is now included with 19.424
(Program deleted on 06/10/04)
- 19.407 Teacher Exchange_New Independent States (NIS) ()--Budget authority expired
(Program deleted on 04/08/05)
- 19.411 Educational Exchange_NIS Secondary School Initiative ()--Program discontinued
(Program deleted on 06/17/04)
- 19.413 Cultural Exchange (Performing Arts) ()--Program concluded in 2004 as planned.
(Program deleted on 03/02/06)
- 19.414 Cultural Exchange (Visual Arts) ()--Program ended
(Program deleted on 04/29/05)
- 19.419 Professional Development_Teacher Training ()--No longer funded.
(Program deleted on 06/10/04)
- 19.420 Cooperative Grants ()--Program has been discontinued
(Program deleted on 06/21/04)
- 19.422 Educational Exchange_Teaching Excellence Awards Program ()--Program has been discontinued
(Program deleted on 06/17/04)
- 19.424 Educational Partnerships Program ()--Budget authority expired
(Program deleted on 04/08/05)

DEPARTMENT OF TRANSPORTATION

- 20.107 Airway Science ()--Program is inactive; last funded in FY 00.
(Program deleted on 06/10/05)
- 20.217 Motor Carrier Safety ()--Program was revised and transferred to Federal Highways under the title" Value Pricing" 20.220
(Program deleted on 06/02/06)
- 20.222 Highways for LIFE ()--Program already exists within 20.200 and 20.205
(Program deleted on 12/11/06)
- 20.230 Crash Data Improvement Program ()--Budget authority expired for next fiscal year
(Program deleted on 06/02/06)
- 20.502 Federal Transit Grants for University Research and Training ()--Program has not been funded since FY 1993.
(Program deleted on 06/10/05)

DELETED PROGRAMS

- 20.503 Federal Transit Managerial Training Grants ()--Program has not been funded since FY 1993.
(Program deleted on 06/10/05)
- 20.511 Human Resource Programs ()--Program not funded since FY 1993
(Program deleted on 06/10/05)
- 20.512 Federal Transit Technical Assistance ()--Program has not been funded since FY 1993.
(Program deleted on 06/10/05)
- 20.603 Federal Highway Safety Data Improvements Incentive Grants ()--program has been phased out
(Program deleted on 11/07/06)
- 20.604 Safety Incentive Grants for Use of Seatbelts ()--program has been phased out.
(Program deleted on 11/07/06)
- 20.714 National Pipeline Mapping System ()--Program inactive. Last funded in FY 2003.
(Program deleted on 06/13/05)
- 20.801 Development and Promotion of Ports and Intermodal Transportation ()--re-organization in MARAD
(Program deleted on 08/15/08)
- 20.804 Operating Differential Subsidies ()--Budget authority expired upon completion of funding actions, per Maritime Security Act of October 6, 1996
(Program deleted on 05/03/04)
- 20.805 Ship Sales ()--Program has been inactive.
(Program deleted on 06/10/05)
- 20.903 Support Mechanisms for Disadvantaged Businesses ()--no longer being funded
(Program deleted on 05/01/06)
- 20.906 Hispanic Serving Institutions Entrepreneurial Training and Technical Assistance ()--Combined with 20.907, Minority institutions
(Program deleted on 05/03/04)
- 20.907 Minority Institutions ()--Program is no longer being funded
(Program deleted on 05/23/06)
- 20.908 Tribal Colleges Entrepreneurial Training and Technical Assistance ()--Combined with 20.907, Minority Institutions
(Program deleted on 05/03/04)
- 20.920 Transportation Statistics Research Grants ()--Program inactive. Not funded in last 3 fiscal years.
(Program deleted on 06/10/05)

APPALACHIAN REGIONAL COMMISSION

- 23.008 Appalachian Local Access Roads ()--Combined in Area Development -- program number 23.002
(Program deleted on 05/18/05)

FEDERAL MEDIATION AND CONCILIATION SERVICE

- 34.002 Labor Management Cooperation ()
(Program deleted on 09/24/08)

GENERAL SERVICES ADMINISTRATION

- 39.011 Election Reform Payments ()--This appropriation was for FY 2003
(Program deleted on 05/26/05)

LIBRARY OF CONGRESS

- 42.003 Distribution of Library of Congress Cataloging ()--This program is not the domestic assistance type required to be listed in the CFDA.
(Program deleted on 05/05/05)
- 42.005 Library of Congress Publications ()--This program is not the domestic assistance type required to be listed in the CFDA.
(Program deleted on 05/05/05)
- 42.006 Library of Congress Library Services ()--This program is not the domestic assistance type required to be listed in the CFDA.
(Program deleted on 05/05/05)
- 42.007 Reference Services in Science, Technology and Business ()--This program is not the domestic assistance type required to be listed in the CFDA.
(Program deleted on 05/05/05)

SMALL BUSINESS ADMINISTRATION

- 59.002 Economic Injury Disaster Loans ()--Duplicated under 59.008

- (Program deleted on 02/14/08)
- 59.005 Internet-Based Technical Assistance ()--Duplicated under 59.055
(Program deleted on 02/14/08)

DEPARTMENT OF VETERANS AFFAIRS

- 64.102 Compensation for Service-Connected Deaths for Veterans' Dependents ()--Program number 64.102 has been incorporated into program number 64.110
(Program deleted on 05/01/06)
- 64.123 Vocational Training for Certain Veterans Receiving VA Pension ()--Provision has expired.
(Program deleted on 04/29/05)

ENVIRONMENTAL PROTECTION AGENCY

- 66.009 Air Information Center ()--OAR requests that CFDA 66.009 be withdrawn because the public information activity described is not funded by a grant, but rather is funded through a contract managed by the OARM of the EPA.
(Program deleted on 06/27/05)
- 66.114 Environmental Protection Consolidated Grants-Program Support ()--This CFDA will no longer be used for the consolidated grant. We will now be doing 'stand alone' grants to fund the work that was under the consolidated grant.
(Program deleted on 02/14/08)
- 66.307 Environmental Justice Training and Fellowship Assistance ()--CFDA is no longer being funded.
(Program deleted on 02/14/08)
- 66.308 Environmental Justice Research Assistance ()--CFDA is no longer being funded.
(Program deleted on 02/14/08)
- 66.311 Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice ()--CFDA is no longer being funded.
(Program deleted on 02/14/08)
- 66.476 Security Planning Grants for Large Drinking Water Utilities ()--Program is no longer funded.
(Program deleted on 02/15/05)
- 66.477 Vulnerability Assessments and Related Security Improvements at Large Privately-Owned Community Drinking Water Utilities ()--Program is no longer funded.
(Program deleted on 02/22/05)
- 66.500 Environmental Protection Consolidated Research ()--Each program office that provides funding for research or training will develop their own CFDA descriptions for these activities or incorporate them in their existing descriptions.
(Program deleted on 06/27/05)
- 66.606 Surveys, Studies, Investigations and Special Purpose Grants ()--Program Consolidated into New CFDA 66.202
(Program deleted on 02/08/06)
- 66.607 Training and Fellowships for the Environmental Protection Agency ()--Each program office that provides funding for research or training will develop their own CFDA descriptions for these activities or incorporate them in their existing descriptions.
(Program deleted on 06/27/05)
- 66.807 Superfund Innovative Technology Evaluation Program ()--The budget authority for this program is no longer authorized.
(Program deleted on 01/29/04)

NUCLEAR REGULATORY COMMISSION

- 77.001 Radiation Control Training Assistance and Advisory Counseling ()--Funding expired effective FY03
(Program deleted on 05/03/04)
- 77.005 Financial Assistance for NRC Local Public Document Rooms (LPDRs) ()--Funding expired effective FY 03
(Program deleted on 05/03/04)

DEPARTMENT OF ENERGY

- 81.039 National Energy Information Center ()--1. Budget authority expired effective 10/01/2007
(Program deleted on 12/07/07)
- 81.082 Management and Technical Assistance for Minority Business Enterprises ()--Budgetary authority expired September 30, 2003
(Program deleted on 04/29/04)

DELETED PROGRAMS

- 81.110 National Resource Center for Plutonium ()--Budget authority expired FY 02
(Program deleted on 07/22/03)
- 81.116 Science and Engineering Training to Support Diversity-Related Programs ()--Budget authority is rescinded by Congress for Fiscal Year 2004 and all following Fiscal Years
(Program deleted on 04/16/04)
- 81.120 Arms Control and Nonproliferations Policy Analysis ()--Budget authority rescinded FY 03
(Program deleted on 07/22/03)

DEPARTMENT OF EDUCATION

- 84.168 Eisenhower Professional Development_Federal Activities ()--This program is no longer authorized. No new awards will be made.
(Program deleted on 01/29/04)
- 84.195 Bilingual Education_Professional Development ()--This program is no longer authorized -- no new awards will be made.
(Program deleted on 06/10/04)
- 84.209 Native Hawaiian Family Based Education Centers ()--This program is no longer specifically authorized -- no new awards are expected.
(Program deleted on 06/10/04)
- 84.210 Native Hawaiian Gifted and Talented ()--This program is no longer specifically authorized -- no new awards are expected.
(Program deleted on 06/10/04)
- 84.216 Capital Expenses ()--This program is no longer authorized, no new awards are expected
(Program deleted on 08/20/03)
- 84.221 Native Hawaiian Special Education ()--This program is no longer specifically authorized. No new awards are expected.
(Program deleted on 06/10/04)
- 84.255 Literacy Programs for Prisoners ()--This program is no longer funded nor are there any awards being made.
(Program deleted on 02/14/08)
- 84.281 Eisenhower Professional Development State Grants ()--This program is no longer authorized. No new awards will be made.
(Program deleted on 01/29/04)
- 84.294 Foreign Language Incentive Program ()--This program has not recieved funding and no new awards are expected.
(Program deleted on 06/10/04)
- 84.296 Native Hawaiian Community-Based Education Learning Centers ()--This program is no longer authorized -- no new awards will be made.
(Program deleted on 01/29/04)
- 84.297 Native Hawaiian Curriculum Development, Teacher Training and Recruitment ()--This program is no longer authorized -- no new awards will be made.
(Program deleted on 01/29/04)
- 84.302 Regional Technology in Education Consortia ()--The program is no longer authorized. No new awards will be made.
(Program deleted on 06/04/05)
- 84.306 National Institute on the Education of At-Risk Students ()--this program is no longer authorized -- no new awards are expected
(Program deleted on 01/29/04)
- 84.307 National Institute on Early Childhood Development and Education ()--this program is no longer authorized -- no new awards are expected
(Program deleted on 01/29/04)
- 84.308 National Institute on Educational Governance, Finance, Policymaking, and Management ()--this program is no longer authorized -- no new awards are expected
(Program deleted on 01/29/04)
- 84.309 National Institute on Postsecondary Education, Libraries, and Lifelong Learning ()--this program is no longer authorized -- no new awards are expected
(Program deleted on 01/29/04)
- 84.314 Even Start_Statewide Family Literacy Program ()--No new awards are expected for this program.
(Program deleted on 06/10/04)
- 84.316 Native Hawaiian Higher Education Program ()--This program is no longer separately authorized - no new awards are expected under the program.
(Program deleted on 06/10/04)
- 84.319 Eisenhower Retional Math and Science Consortia ()--No new or continuation awards are expected for this program.
(Program deleted on 05/01/06)
- 84.320 Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program ()--This program is no longer

- authorized -- no new awards will be made
(Program deleted on 01/29/04)
- 84.321 Alaska Native Home Based Education for Preschool Children ()--This program is no longer authorized -- no new awards will be made
(Program deleted on 01/29/04)
- 84.322 Alaska Native Student Enrichment Program ()--This program is no longer authorized -- no new awards will be made
(Program deleted on 01/29/04)
- 84.338 Reading Excellence ()--This program is no longer authorized, no new awards are expected
(Program deleted on 08/20/03)
- 84.339 Learning Anytime Anywhere Partnerships ()--The program was last funded in FY 2001 no new awards are expected.
(Program deleted on 01/29/04)
- 84.340 Class Size Reduction ()--This program is no longer authorized, no new awards are expected
(Program deleted on 08/20/03)
- 84.341 Community Technology Centers ()--This program is no longer being funded and no future awards will be made.
(Program deleted on 05/06/08)
- 84.342 Preparing Tomorrow's Teachers to Use Technology ()--There have been no new obligations for 3 years and no new awards are planned for the program.
(Program deleted on 04/29/05)
- 84.344 TRIO Dissemination Partnership Grants ()--This program is no longer being funded and no future awards will be made.
(Program deleted on 05/06/08)
- 84.346 Vocational Education_Occupational and Employment Information State Grants ()--Program is no longer being funded and no awards are being made.
(Program deleted on 02/14/08)
- 84.348 Title I Accountability Grants ()--No new awards are expected from this program.
(Program deleted on 01/29/04)
- 84.352 School Renovation Grants ()--This program is no longer authorized, no new awards are expected
(Program deleted on 08/01/03)
- 84.353 Tech-Prep Demonstration Grants ()--No funds are available for this program and no future awards are expected.
(Program deleted on 05/06/08)
- 84.355 Child Care Provider Loan Forgiveness Demonstration ()--The program was not funded in 04-06 and no additional funding is expected in the future.
(Program deleted on 04/29/05)
- 84.368 Grants for Enhanced Assessment Instruments ()--Budget authority appropriated for FY 03 as established by the Elementary and Secondary Education Act of 1965
(Program deleted on 10/21/03)
- 84.938 Hurricane Education Recovery ()--No additional funding. No new awards expected.
(Program deleted on 08/15/08)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.005 Project Grants for Facilities to Improve the Health Status of Minority Populations ()--No longer funding this program
(Program deleted on 05/18/05)
- 93.106 Minority International Research Training Grant in the Biomedical and Behavioral Sciences ()--Program elements consolidated into 93.989
(Program deleted on 06/30/04)
- 93.108 Health Education Assistance Loans ()--Program no longer exists.
(Program deleted on 07/21/05)
- 93.114 Applied Toxicological Research and Testing ()--Program is being consolidated under CFDA # 93.113
(Program deleted on 10/11/06)
- 93.115 Biometry and Risk Estimation_Health Risks from Environmental Exposures ()--Program is being consolidated under CFDA # 93.113
(Program deleted on 10/11/06)
- 93.151 Health Center Grants for Homeless Populations ()--Combined with other HRSA Bureau of Primary Health Care Health Center Programs under 93.224.
(Program deleted on 05/18/05)
- 93.168 International Cooperative Biodiversity Groups Program ()--Program elements consolidated into 93.989
(Program deleted on 06/30/04)

DELETED PROGRAMS

- 93.215 Hansen's Disease National Ambulatory Care Program ()--Is now a contract.
(Program deleted on 05/18/05)
- 93.219 Matching Grants for Health Professions Scholarships to Indian Tribes ()--This program is no longer being funded, per Program Office.
(Program deleted on 04/21/06)
- 93.245 Innovative Food Safety Projects ()--Budget authority is rescinded for the current fiscal year
(Program deleted on 05/18/05)
- 93.246 Health Centers Grants for Migrant and Seasonal Farmworkers ()--Combined with other HRSA Bureau of Primary Health Care Health Center Programs under 93.224
(Program deleted on 05/18/05)
- 93.248 Residencies in the Practice of Pediatric Dentistry ()--Consolidated into 93.884
(Program deleted on 08/05/03)
- 93.263 Occupational Safety and Health Training Grants ()--Consolidation of NIOSH CFDA's for UFMS implementation.
(Program deleted on 05/18/05)
- 93.277 Career Development Awards ()--Consolidated into 93.279
(Program deleted on 07/08/03)
- 93.278 Drug Abuse National Research Service Awards for Research Training ()--Consolidated into 93.279
(Program deleted on 01/10/03)
- 93.287 Bioengineering Research ()--PROGRAM ELEMENTS OF 93.287 CONSOLIDATED INTO 93.286 DUE TO A REORGANIZATION WITHIN NIBIB
(Program deleted on 05/07/03)
- 93.302 Healthy Schools, Healthy Communities ()--Program has been combined with other HRSA Bureau of Primary Health Care grants under 93.224.
(Program deleted on 05/18/05)
- 93.306 Comparative Medicine ()--Combined into 93.389
(Program deleted on 01/10/03)
- 93.309 Bioinformatics and Computational Biology Research ()--Consolidated into 93.859
(Program deleted on 07/24/03)
- 93.371 Biomedical Technology ()--Consolidated into 93.389
(Program deleted on 07/08/03)
- 93.375 Minority Biomedical Research Support ()--Consolidated into 93.859
(Program deleted on 07/24/03)
- 93.390 Academic Research Enhancement Award ()--Appropriations for this program are now imbedded in the individual NIH institutes and centers. The program is now covered by the CFDA description for research grants maintained by each of the ICs.
(Program deleted on 04/26/06)
- 93.447 State Health Fraud Task Force Grants ()--This program will not be published as a funding opportunity in the future. Budget authority appropriated for FY 04 as established by the Public Health Service Act
(Program deleted on 08/15/08)
- 93.571 Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs ()--This program did not receive funding for the last three years.
(Program deleted on 10/08/08)
- 93.577 Early Learning Fund ()--Budget authority appropriated for FY 01 as established by Public Law 106-554 expired in FY 05 with no subsequent appropriations. All grants have expired.
(Program deleted on 05/06/08)
- 93.582 Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities ()--Available funding has expired.
(Program deleted on 08/15/08)
- 93.782 Medicare Transitional Drug Assistance Program for Territories ()--Program is not authorized, funded or in operation for FY 2005 or later.
(Program deleted on 04/13/06)
- 93.821 Cell Biology and Biophysics Research ()--Consolidated under 93.859
(Program deleted on 07/29/03)
- 93.862 Genetics and Developmental Biology Research and Research Training ()--Consolidated under 93.859
(Program deleted on 07/29/03)
- 93.864 Population Research ()--Consolidated into 93.865
(Program deleted on 06/24/03)
- 93.880 Minority Access to Research Careers ()--Consolidated into 93.859
(Program deleted on 07/29/03)
- 93.886 Physician Assistant Training in Primary Care ()--Consolidated into 93.884
(Program deleted on 08/07/03)
- 93.894 Resource and Manpower Development in the Environmental Health Sciences ()--Program is being consolidated under CFDA # 93.113
(Program deleted on 10/11/06)
- 93.895 Grants for Faculty Development in Family Medicine ()--Consolidated into 93.884
(Program deleted on 08/07/03)
- 93.896 Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) ()--Consolidated into 93.884
(Program deleted on 08/07/03)
- 93.897 Residencies and Advanced Education in the Practice of General Dentistry ()--Consolidated into 93.884
(Program deleted on 08/07/03)
- 93.927 Health Centers Grants for Residents of Public Housing ()--This program has been combined with other HRSA Bureau of Primary Care Health Center grants under 93.224.
(Program deleted on 05/18/05)
- 93.929 Center for Medical Rehabilitation Research ()--Consolidated into 93.865
(Program deleted on 07/08/03)
- 93.934 Fogarty International Research Collaboration Award ()--Program elements consolidated into 93.989
(Program deleted on 06/30/04)
- 93.948 Pilot Clinical Pharmacology Training ()--Program expired
(Program deleted on 07/29/03)
- 93.953 Trauma Care Systems Planning and Development ()--Program now under 93.952
(Program deleted on 06/29/05)
- 93.955 Health and Safety Programs for Construction Work ()--Consolidation of NIOSH CFDA's for UFMS implementation.
(Program deleted on 05/26/05)
- 93.956 Agricultural Health and Safety Programs ()--Consolidation of NIOSH CFDA's for UFMS implementation.
(Program deleted on 05/26/05)
- 93.957 Occupational Health and Safety Surveillance ()--Consolidation of NIOSH CFDA's for UFMS implementation.
(Program deleted on 05/26/05)
- 93.960 Special Minority Initiatives ()--Consolidated into 93.859
(Program deleted on 07/29/03)
- 93.984 Academic Administrative Units in Primary Care ()--Consolidated into 93.884
(Program deleted on 09/12/03)

SOCIAL SECURITY ADMINISTRATION

- 96.003 Social Security_Special Benefits for Persons Aged 72 and Over ()--The program was initiated for those individuals born between 1896 - 1900. There are no longer any individuals that would qualify for this program.
(Program deleted on 05/04/06)
- 96.005 Special Benefits for Disabled Coal Miners ()--This program is no longer funded
(Program deleted on 03/12/04)

NATIONAL ENDOWMENT FOR THE ARTS

- 45.026 Promotion of the Arts_Leadership Initiatives ()--Program elements of 45.026 consolidated into 45.024 Promotion of the Arts Grants to Organizations and Individuals
(Program deleted on 05/03/04)
- 45.027 Promotion of the Arts_Challenge America Grants ()--Program elements incorporated into program 45.024
(Program deleted on 07/24/03)

NATIONAL ENDOWMENT FOR THE HUMANITIES

- 45.166 Promotion of the Humanities_Extending the Reach Grants to Underserved Areas ()--Program elements consolidated into programs 45.164 and 45.149
(Program deleted on 01/09/03)
- 45.167 Promotion of the Humanities_Extending the Reach Grants to Presidentially-Designated Minority Institutions ()--Program Consolidated into 45.160 and 45.162
(Program deleted on 04/01/04)

DEPARTMENT OF HOMELAND SECURITY

- 97.001 Pilot Demonstration or Earmarked Projects ()--No longer active
(Program deleted on 08/15/08)
- 97.002 Research Projects ()--no longer active

DELETED PROGRAMS

- (Program deleted on 08/15/08)
- 97.003 Agricultural Inspection ()--(1) Budget authority expired 9-30-04
(Program deleted on 03/18/05)
- 97.017 Pre-Disaster Mitigation (PDM) Competitive Grants ()--Elements of this program were incorporated into another existing program
(Program deleted on 11/27/07)
- 97.035 Individual and Family Grants ()--Resended Disaster Mitigation of 2000
(Program deleted on 06/03/04)
- 97.037 Disaster Housing Program ()--Resended Disaster Mitigation of 2000
(Program deleted on 06/03/04)
- 97.038 First Responder Counter-Terrorism Training Assistance ()--program discontinued - budget authority expired
(Program deleted on 10/20/05)
- 97.051 State and Local All Hazards Emergency Operations Planning ()--Program discontinued - budget authority expired
(Program deleted on 10/20/05)
- 97.054 Community Emergency Response Teams ()--This program/element incorporated into Citizen Corps (97.053)
(Program deleted on 10/20/05)
- 97.063 Pre-Disaster Mitigation Disaster Resistant Universities ()--Program discontinued - budget authority expired
(Program deleted on 10/20/05)

ADDED PROGRAMS

The following programs are listed for inclusion in the Catalog. The reason for addition are also given.

DEPARTMENT OF AGRICULTURE

- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)--Specialty Crops Competitiveness Act of 2004, Section 101, 7 U.S.C. 1621 note; Food, Conservation, and Energy Act of 2008 (Public Law 110-246).
(Program added on 07/25/08)
- 10.309 Specialty Crop Research Initiative (B)--Need paragraphs 121 and 122. 11 digit account ID number, and budget dollars.
(Program added on 06/27/08)
- 10.684 International Forestry Programs (B)--Establishing new CFDA specifically for International Forestry Program
(Program added on 07/08/08)
- 10.999 Long Term Standing Agreements For Storage, Transportation And Lease (C)--New Program
(Program added on 05/06/08)

DEPARTMENT OF COMMERCE

- 11.553 Special Projects (B)--new program funding - fields complete
(Program added on 09/24/08)
- 11.556 TV Converter Box Coupon Program (C)--At Agency request. Rejection of program.
(Program added on 05/02/08)
- 11.616 Technology Innovation Program (TIP) (B)--New Program
(Program added on 10/22/08)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

- 14.880 Family Unification Program (FUP) (C)--Received new funding in FY 2008
(Program added on 07/03/08)
- 14.881 Moving to Work Demonstration Program (A)--Demonstration program was extended from short term to long term
(Program added on 07/30/08)

DEPARTMENT OF THE INTERIOR

- 15.133 Native American Business Development Institute (A)--Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.
(Program added on 07/30/08)
- 15.148 Tribal Energy Development Capacity Grants--Program not previously submitted.
(Program added on 10/29/08)
- 15.230 Invasive and Noxious Plant Management (B,K,M)--Federal Noxious Weed Act of 1974, 7 U.S.C. 2814; 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended Public Law 105-277, Section 135; and Executive Order 13112, February 3, 1999.
(Program added on 07/30/08)
- 15.232 Wildland Fire Research and Studies Program (B)--Program not previously submitted.
(Program added on 07/30/08)
- 15.233 Forests and Woodlands Resource Management (B,K,M)--Program not previously submitted.
(Program added on 07/30/08)
- 15.234 Secure Rural Schools and Community Self-Determination (B)--Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II.
(Program added on 07/30/08)
- 15.235 Southern Nevada Public Land Management (B)--Program not previously submitted.
(Program added on 07/30/08)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)--Program not previously submitted.
(Program added on 07/30/08)
- 15.237 Rangeland Resource Management (B,K,M)--Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Public Rangelands Improvement Act of 1978,
(Program added on 07/30/08)
- 15.238 Challenge Cost Share (B,I,K,M)--Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I.
(Program added on 07/30/08)
- 15.239 Management Initiatives (B,I,K,M)--Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended.
(Program added on 07/31/08)
- 15.240 Helium Resource Management (B)--Program not previously submitted.
(Program added on 08/12/08)

- 15.406 National Park Service Centennial Challenge. (A)--Program not previously submitted.
(Program added on 10/29/08)
- 15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)--Program not previously submitted.
(Program added on 08/08/08)
- 15.428 Marine Gas Hydrate Research Activities (B)--Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act, and the Marine Minerals Research Act.
(Program added on 07/31/08)
- 15.515 Federal Resource Protection (B)--Federal funding legislated
(Program added on 05/14/08)
- 15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)--Indian Self-Determination and Education Assistance Act, P.L. 93-638, Title I, 25 USC 450 est. seq., as amended; and statutory authorities that authorize each specific project.
(Program added on 07/31/08)
- 15.655 Migratory Bird Monitoring, Assessment and Conservation (B,C)--Program not previously submitted.
(Program added on 06/03/08)
- 15.815 National Land Remote Sensing Education Outreach and Research (B)--Public Law 110-161, Organic Act 1879, 43 U.S.C. 31
(Program added on 07/31/08)
- 15.816 Minerals Resources External Research Program (B)--30 U.S.C. 1601 et. seq. and 42 U.S.C. 7701 et. seq.
(Program added on 07/31/08)

DEPARTMENT OF JUSTICE

- 16.013 Violence Against Women Act Court Training and Improvement Grants (B)--New Program
(Program added on 06/12/08)
- 16.014 Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)--New Program
(Program added on 06/12/08)
- 16.016 Culturally and Linguistically Specific Services Program (B)--New Program
(Program added on 06/12/08)
- 16.017 Sexual Assault Services Program (A,B)--New Program
(Program added on 06/12/08)
- 16.019 Tribal Registry (B)--New Program
(Program added on 09/24/08)
- 16.751 Edward Byrne Memorial Competitive Grant Program (B)--To improve the functioning of the criminal justice system
(Program added on 05/06/08)
- 16.752 Economic High-Tech and Cyber Crime Prevention (B)--To improve the functioning of the criminal justice system
(Program added on 05/16/08)
- 16.753 Congressionally Recommended Awards (B)--To provide leadership and direction in improving the functioning of the criminal justice system.
(Program added on 06/05/08)

DEPARTMENT OF LABOR

- 17.604 Safety and Health Grants (B)--To provide funding for education and training programs to assist miners in identifying, avoiding, and preventing unsafe working conditions in and around mines and for research and equipment to improve
(Program added on 07/16/08)

GENERAL SERVICES ADMINISTRATION

- 39.012 Public Buildings Service (J)--To entities which coordinate long-term siting of Fed. building and employment in the NCR with State and local governments, the commercial sector and other major stakeholders in the region
(Program added on 07/31/08)

SMALL BUSINESS ADMINISTRATION

- 59.070 Drug-Free Workplace Program (B)--New Program
(Program added on 06/27/08)

DEPARTMENT OF EDUCATION

- 84.377 School Improvement Grants (B)--New program added to the catalog
(Program added on 05/29/08)
- 84.378 College Access Challenge Grant Program (A)--First time funding in new program
(Program added on 05/29/08)

ADDED PROGRAMS

- 84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)--First time funding for new program
(Program added on 05/29/08)
- 84.380 Special Education -- Olympic Education Programs (B)--First time funding for new program
(Program added on 05/29/08)
- 84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)--First time funding for program
(Program added on 05/29/08)
- 84.382 Strengthening Minority-Serving Institutions (B)--First time funding for new program
(Program added on 05/29/08)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)--Pandemic prevention monitoring and control
(Program added on 10/02/08)
- 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)--Grant - Cooperative Agreement Program
(Program added on 07/31/08)
- 93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)--To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies.
(Program added on 05/05/08)
- 93.270 Adult Viral Hepatitis Prevention and Control (B)--To assist State and local health agencies.
(Program added on 05/05/08)
- 93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)--New Program
(Program added on 10/02/08)

DEPARTMENT OF HOMELAND SECURITY

- 97.112 Border Infrastructure Improvement Projects (B)--The U.S. Department of Homeland Security will be implementing border security infrastructure improvement projects and initiatives by providing funding, technical assistance and other resources to bord
(Program added on 05/02/08)

BROADCASTING BOARD OF GOVERNORS

- 90.500 International Broadcasting Independent Grantee Organizations (B)--RWP: new program, testing Submit form
(Program added on 10/28/08)

CROSSWALK OF CHANGES TO PROGRAM NUMBER AND TITLES

This is a list of programs that have undergone a program title or number change

TITLE CHANGES

DEPARTMENT OF AGRICULTURE

- 10.290 Agricultural Market and Economic Research
- 10.551 Supplemental Nutrition Assistance Program
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program
- 10.580 Special Nutrition Assistance Program Outreach/Participation Program
- 10.681 Wood Education and Resource Center (WERC)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

- 14.239 Home Investment Partnerships Program
- 14.870 Resident Opportunity and Supportive Services - Service Coordinators

DEPARTMENT OF THE INTERIOR

- 15.628 Multistate Conservation Grant Program
- 15.650 Research Grants (Generic)

DEPARTMENT OF JUSTICE

- 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus
- 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities
- 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program
- 16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault
- 16.741 Forensic DNA Backlog Reduction Program

DEPARTMENT OF LABOR

- 17.270 Reintegration of Ex-Offenders

DEPARTMENT OF TRANSPORTATION

- 20.237 Safety Data Improvement Program
- 20.700 Pipeline Safety Program Base Grants
- 20.930 Payments for Small Community Air Service Development

SMALL BUSINESS ADMINISTRATION

- 59.012 7(a) Loan Guarantees
- 59.016 Surety Bond Guarantees
- 59.026 Service Corps of Retired Executives
- 59.037 Small Business Development Centers
- 59.041 504 Certified Development Loans
- 59.044 Veterans Business Development
- 59.052 Native American Outreach
- 59.054 7(a)Export Loan Guarantees
- 59.055 HUBZone Program

ENVIRONMENTAL PROTECTION AGENCY

- 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act
- 66.037 Internships, Training and Workshops for the Office of Air and Radiation
- 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act
- 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant
- 66.511 Office of Research and Development Consolidated Research/Training/Fellowships
- 66.515 Greater Research Opportunities (GRO) Research Program
- 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support
- 66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead
- 66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies

DEPARTMENT OF ENERGY

- 81.104 Office of Environmental Waste Processing
- 81.124 Predictive Science Academic Alliance Program

DEPARTMENT OF EDUCATION

- 84.002 Adult Education - Basic Grants to States

- 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
- 84.019 Overseas Programs - Faculty Research Abroad
- 84.021 Overseas Programs - Group Projects Abroad
- 84.022 Overseas Programs - Doctoral Dissertation Research Abroad
- 84.181 Special Education-Grants for Infants and Families
- 84.187 Supported Employment Services for Individuals with Significant Disabilities
- 84.310 Parental Information and Resource Centers
- 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)
- 84.360 School Dropout Prevention Program

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.068 Chronic Diseases: Research, Control, and Prevention
- 93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements
- 93.837 Cardiovascular Diseases Research
- 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research

NATIONAL ENDOWMENT FOR THE HUMANITIES

- 45.169 Promotion of the Humanities_Office of Digital Humanities

DEPARTMENT OF HOMELAND SECURITY

- 97.066 Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program
- 97.077 Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection

DEPARTMENT OF AGRICULTURE

10.001 AGRICULTURAL RESEARCH-BASIC AND APPLIED RESEARCH

(Extramural Research).

FEDERAL AGENCY:

AGRICULTURAL RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

7 U.S.C. 427, 427i and 1624; 7 U.S.C. 3318.

OBJECTIVES:

To make agricultural research discoveries, evaluate alternative ways of attaining research goals, and provide scientific technical information.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with and is correlated with the Agricultural Research Service's in-house research programs and projects. Limited discretionary research funds are periodically made available.

Applicant Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Beneficiary Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Credentials/Documentation:

None.

Preapplication Coordination:

Standard application forms are not used. This program is excluded from coverage under E.O. 12372. Interested parties are urged to submit proposals.

Application Procedure:

Letters should be submitted to the Agricultural Research Service, Department of Agriculture. Give name of applicants, location of facilities, and State of incorporation, if any.

Award Procedure:

A peer review panel considers each proposal, evaluates the qualifications of applicants in line with research to be undertaken and determines priority for final negotiations of the grant.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Progress reports, final technical reports, financial statements, and invention and subaward reports.

Audits:

As performed by cognizant audit agency.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1400-0-1-352.

Obligations:

(Grants) FY 07 \$20,295,111; FY 08 est \$20,295,111 and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000 to \$25,000. Average: \$3,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015 and 7 CFR 3019.

Regional or Local Office:

See the Agricultural Research Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator for Agricultural Research Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-3656. Contact: Extramural Agreements Division. Telephone: (301) 504-1147. Use the same number for

FTS.

Web Site Address:

<http://www.ars.usda.gov>.

RELATED PROGRAMS:

10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research; 10.700, National Agricultural Library; 62.001, TVA Energy Research and Technology Applications.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Peer review.

10.025 PLANT AND ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE

FEDERAL AGENCY:

ANIMAL AND PLANT HEALTH INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Plant Protection Act, Public Law 106-224, 7 U.S.C. 7701-7772; Farm Security and Rural Investment Act of 2002, Public Law 107-171, Subtitle E, Animal Health Protection, Section 10401-10418; Animal Welfare Act, as amended, 7 U.S.C. 2131-2147, 2149-2155.

OBJECTIVES:

To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Conduct surveys, inspections to detect and appraise infestations, eradication and control activities, and carry out regulatory actions to prevent interstate spread of infestations and diseases.

Applicant Eligibility:

Foreign, State, local, and U.S. Territorial government agencies, nonprofit institutions of higher education, and nonprofit associations or organizations requiring Federal support to eradicate, control, or assess the status of injurious plant and animal diseases and pests that are a threat to regional or national agriculture and conduct related demonstration projects.

Beneficiary Eligibility:

Farmers, ranchers, agriculture producers, State, local, U.S. Territorial government agencies, public and private institutions and organizations benefit from Federal assistance to eradicate or control injurious plant and animal diseases and pests that are a threat to regional or national agriculture.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators.

Preapplication Coordination:

A letter from applicants seeking support or cooperation with the Animal and Plant Health Inspection Service. Applicants must indicate the nature and severity of the problem to be considered and explain how they will address the problem. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424.1, "Department of Agriculture, Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or Headquarters Office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or Authorized Departmental Officers (ADOs) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

Recipients share some project or program costs. The cost-sharing arrangements are developed between USDA and the recipients in advance of the program unless otherwise stated by Congress.

Length and Time Phasing of Assistance:

Up to 1 year from the date of award. Funds are made available as required to cover expenditures.

Reports:

Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local governments, and Non-profit Organizations," State and local governments, and non-profit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352; 12-9971-0-7-352. Wildlife Services (WS) include Aquaculture WS Methods.

Obligations:

(Grants) FY 07 \$164,399,210; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Selected examples of progress: Brucellosis class free status States, FY 05 51, FY 06 est 50, FY 06 est 51; Tuberculosis-accredited free States, FY 05 51, FY 06 est 47, FY 07 est 47.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants); 7 CFR 3018, New Restrictions on Lobbying; 7 CFR 3019, Uniform Federal Assistance Regulations for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, 7 CFR 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Regional or Local Office:

Consult Appendix IV of the Catalog for addresses of regional offices of the Animal and Plant Health Inspection Service.

Headquarters Office:

Agreement Services Center, Marketing and Regulatory Programs, Animal and Plant Health Inspection Service, USDA Center, 4700 River Road, Unit 55, Riverdale, MD 20737. Contact Anita S. Ridley. Telephone: (301) 734-8792.

Web Site Address:

<http://www.aphis.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.028, Wildlife Services; 10.202, Cooperative Forestry Research; 10.207, Animal Health and Disease Research; 10.215, Sustainable Agriculture Research and Education; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research; 10.800, Livestock, Meat and Poultry Market Supervision; 10.902, Soil and Water Conservation; 12.100, Aquatic Plant Control; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS:

The National Animal Health Monitoring System (NAHMS) is designed to provide local, State, and national information on animal disease problems throughout the United States. The National Plant Pest Survey and Detection Program (NAPPSD) coordinates and standardizes a nationwide survey and detection system for exotic and endemic plant pests of agricultural importance.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principal investigator and institution.

10.028 WILDLIFE SERVICES**FEDERAL AGENCY:****ANIMAL AND PLANT HEALTH INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE****AUTHORIZATION:**

Animal Damage Control Act of 1931, 7 U.S.C. 426, 426b, 426c, as amended.

OBJECTIVES:

To reduce damage caused by mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, (except for urban rodent control through control and research activities). Wherever feasible, humane methods will be emphasized.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Works closely with State departments of fish and game, agriculture, health, and counties in joint efforts to alleviate wild animal damage. Conduct surveys, campaigns to reduce wild animal damage including bird problems at airports, develop methods to control wild animal damage, and provide technical advice and assistance. For direct technical assistance, State fish and game departments should be contacted.

Applicant Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

States, local jurisdictions, U.S. Territorial government agencies, federally recognized Indian tribal governments, public and private institutions and organizations, farmers, ranchers, agricultural producers, and land/property owners benefit from Federal assistance in the control of nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, except for urban rodent control.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators.

Preapplication Coordination:

A letter from applicants seeking support or cooperation with the Animal and Plant Health Inspection Service. Applicants must indicate the nature and severity of the problem to be considered, and explain how they will address the problem. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424.1, "U.S. Department of Agriculture, Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or headquarters office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

Recipients share some project or program costs. The cost-sharing arrangements are developed between USDA and the recipients in advance of the program unless otherwise stated by Congress.

Length and Time Phasing of Assistance:

Up to one year from the date of award.

Reports:

Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required.

Audits:

In accordance with the provisions of OMB Circular No A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352.

Obligations:

(Grants) FY 07 \$365,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug- Free Workplace (Grants); 7 CFR 3018, New Restrictions on Lobbying; 7 CFR 3019, Uniform Federal Assistance Regulations for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, 7 CFR 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Agreements Services Center, Marketing and Regulatory Programs, Animal and Plant Health Inspection Service, USDA Center, 4700 River Road, Unit 55, Station 4B80, Riverdale, MD 20737. Contact Anita S. Ridley. Telephone: (301) 734-8792.

Web Site Address:

<http://www.aphis.usda.gov>.

RELATED PROGRAMS:

10.025, Plant and Animal Disease, Pest Control, and Animal Care; 10.652, Forestry Research; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS:

In the western States, Wildlife Services (WS) conducts traditional predator control programs including Control of coyote, mountain lion, and bear. An aerial blackbird hazing operation is conducted to protect the sunflower crop. In the eastern and southern States, WS conducts operations to control beaver, deer, and cormorant damage to aquaculture. An operational program is under way in the Delta States to reduce wildlife/agriculture conflicts.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principle investigator and institution.

10.029 AVIAN INFLUENZA INDEMNITY PROGRAM

(All)

FEDERAL AGENCY:

ANIMAL AND PLANT HEALTH INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

9 CFR part 53.

OBJECTIVES:

The Animal and Plant Health Inspection Service (APHIS) of the United States Department of Agriculture (USDA) administers regulations at 9 CFR part 53 that provide for the payment of indemnity to owners of animals that are required to be destroyed because of foot-and-mouth disease, pleuropneumonia, rinderpest, exotic Newcastle disease, highly pathogenic avian influenza, infectious salmon anemia, or any other communicable disease of livestock or poultry that, in the opinion of the Secretary of Agriculture, constitutes an emergency and threatens the U.S. livestock or poultry population. Payment for animals destroyed is to be based on the fair market value of the animals.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Identifiable pathogenic avian influenza associated with a disease situation in a poultry operation.

Beneficiary Eligibility:

Poultry owners and contract growers.

Credentials/Documentation:

In the case of claims made under Sec. 53.11, claims for compensation for losses from poultry, eggs, and poultry semen destroyed or to be destroyed must be presented to APHIS, through the inspector in charge, on a form approved by the Administrator. The claim must specify the number, type, and age of the poultry; the number and type of eggs; and the type and amount of semen, as applicable.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Claims for compensation for losses from poultry, eggs, and poultry semen destroyed or to be destroyed must be presented to APHIS, through the inspector in charge, on a form approved by the Administrator.

Award Procedure:

None.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

1 to 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Compensation to Contract Growers: Per bird payment based on the average per bird company grower payment received during the previous year's production x the number of birds depopulated (based on company and grower records) = estimated grower payment if the disease situation hadn't occurred - any company grower payment already received = grower compensation. Compensation to Owners: Compensation value per bird (based on tables included in the compensation plan) x the number of birds depopulated = the total bird value loss + cost to owners of destruction and disposal of birds x 50 percent = total compensation for birds, destruction, and disposal - grower compensation = net owner compensation from the Department.

Length and Time Phasing of Assistance:

Payment is made by check or direct deposit after determining applicant eligibility.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

Poultry owners and contract growers or any other individual or entity receiving payments for this program shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payments were made.

Account Identification:

12-4336-0-1-554.

Obligations:

(Includes CCC transfer funds) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The compensation plan for growers allows for Federal payment of 100 percent of eligible losses suffered by a grower, up to the amount that the owner of the poultry is eligible to receive before grower compensation is deducted.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to poultry owners and contract growers in the county. Regulations published in 9 CFR part 53.

Regional or Local Office:

FSA state offices can inform applicants of county office locations where applicants may apply for assistance.

Headquarters Office:

U.S. Department of Agriculture, Animal and Plant Health Inspection Service, 1400 Independence Avenue, SW, Washington, DC 20250.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All poultry owners and contract growers meeting eligibility requirements may receive assistance. Applications are non-competitive.

10.051 COMMODITY LOANS AND LOAN DEFICIENCY PAYMENTS

(Price Supports)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Adjustment Act of 1938, 7 U.S.C. 1301-1393, Public Law 75-430; Commodity Credit Corporation Charter Act, as amended, 15 U.S.C. 714 et. seq.;

Agricultural Act of 1949, as amended, 7 U.S.C. 1421, et. seq., Public Law 81-439, as amended; Food Security Act of 1985, Public Law 99-198, as amended; Joint Resolution Making Appropriations for Government Agencies for Fiscal Year 1987, Public Laws 99-500 and 99-591; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203; Food, Agriculture, Conservation, and Trade Act of 1990, Public Law 101-624; Omnibus Budget Reconciliation Act of 1990, Public Law 101- 508; Omnibus Budget Reconciliation Act of 1993, Public Law 103-66; National Wool Act Amendments of 1993, Public Law 103-130; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agriculture, Rural Development, Food and Drug Administration and Related Agencies Appropriations Act, Public Law 106-78; Agricultural Risk Protection Act of 2000, Public Law 106-224; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001, Public Law 106-387.

OBJECTIVES:

To improve and stabilize farm income, to assist in bringing about a better balance between supply and demand of the commodities, and to assist farmers in the orderly marketing of their crops.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Loans.

USES AND USE RESTRICTIONS:

Loans and loan deficiency payments (LDP's) give farmers a means of promoting more orderly marketing. Loans to producers may be "nonrecourse" which means that producers have the option of forfeiting the collateral to CCC at loan maturity in full satisfaction of the loan obligation; or "recourse" for low quality grain, or un-ginned seed cotton, which means that producers must repay the loans by maturity." If market prices are above the support level producers may repay their loans at the original loan principal plus interest and market their commodities. When market prices are low, most nonrecourse commodity loan repayments are less than the original loan principal plus interest. Eligible commodities for loans are feed grains, wheat, rice, peanuts, tobacco, upland cotton, extra-long staple cotton, sugar, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, and sunflower seed. LDP's are offered for feed grains, wheat, upland cotton, rice, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, and sunflower seed. If the loan repayment rates for these commodities are less than the established loan levels, producers may, for most commodities that are eligible for a nonrecourse loan, agree to forego such loan and elect to receive an LDP. The LDP payment rate equals the amount by which the loan rate exceeds the loan repayment rate in effect at the time the LDP application is approved, or the delivery date for commodities delivered directly to processor, buyer, or warehouse from the field. LDP's and any gain realized from repaying a loan at a level lower than the original loan level are subject to a \$75,000 per "person" payment limitation, except for crops produced in 1999, for which the payment limitation is \$150,000 per "person".

Applicant Eligibility:

Owner, landlord, tenant, or sharecropper on an eligible farm that has produced the eligible commodities or, in the case of sugar, a processor or refiner who meets program requirements as announced by the Secretary.

Beneficiary Eligibility:

Owner, landlord, tenant, or sharecropper on a farm that has produced the eligible commodities, meets program requirements as announced by the Secretary, and maintains beneficial interest in the commodity.

Credentials/Documentation:

Record of farming operation must be on file in the FSA county office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

In the case of warehouse-stored commodities, producer or Cooperative Marketing Association presents warehouse receipts to the FSA county office (warehouse-stored peanut and tobacco loans are made through producer associations). In the case of farm-stored commodities (including sugar), producer/processor or Cooperative Marketing Association requests a loan at the FSA county office. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Applications are approved by the FSA upon determination that applicant and commodity are eligible.

Deadlines:

Loans and LDP's are available for wheat, barley, oats, canola, flaxseed, crambe, and rapeseed and loans are available for quota peanuts pledged as collateral for farm-stored loans through March 31 of the year following the year in which the crop is normally harvested. Loans and LDP's are available for rice, corn, grain sorghum, cotton, soybeans, safflower, sunflower seed and mustard seed through May 31 of the year following the year in which the crop is normally harvested. Loans are available for sugar through September 30.

Range of Approval/Disapproval Time:

Approximately 3 days.

Appeals:

Applications may be reviewed by county, State, or national offices.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance is generally available for 9 months or less, and is normally disbursed on a lump-sum basis.

Reports:

Necessary loan documents will be held at the county FSA office.

Audits:

Periodic and required spot checks of farm-stored grain will be made by the county FSA office. Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Not applicable.

Account Identification:

12-4336-0-3-351.

Obligations:

(Commodity Loans and Loan Deficiency Payments) FY 07 \$9,493,383,881; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Direct payments (Purchases): Range and average not available. Loans: \$162 to \$1,006,400. Average: \$22,959.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register 7 CFR, Chapter XIV, Parts 1421, 1425, 1427, 1430, 1434, 1435, 1446, and 1464; announcements issued to news media and letters to producers; " FSA Commodity Fact Sheets, " no cost: The Price Support Program," BI-4-USDA, no cost; Farm Service Agency, Department of Agriculture, STOP 0532, 1400 Independence Avenue S.W., Washington, DC 20250-0532.

Regional or Local Office:

Consult the local telephone directory for location of the FSA county office. If no listing, get in touch with appropriate FSA State office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Price Support Division, STOP 0512, 1400 Independence Avenue S.W., Washington, DC 20250-0512. Telephone: (202) 720-7901.

Web Site Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

10.155, Marketing Agreements and Orders.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.053 DAIRY INDEMNITY PROGRAM

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Act of 1970, Title II, Section 204, Public Law 90-484, as amended, 7 U.S.C. 450j; Public Law 91-524; Agriculture and Consumer Protection Act of 1973, as amended, Public Law 93-86; Food and Agriculture Act of 1977, as amended, Public Law 95-113; Food and Agriculture Act of 1981, Public Law 97-98; Food Security Act of 1985, as amended, Title I, Public Law 99-198; Public Laws 99-190 and 99-349; Food, Agriculture, Conservation, and Trade Act of 1990, Public Law 101-624, as amended; Public Laws 104-37, 104-180, 105-86, 105-277, 106-78 and 106-387.

OBJECTIVES:

To protect dairy farmers and manufacturers of dairy products who through no fault of their own, are directed to remove their milk or dairy products from commercial markets because of contamination from pesticides which have been approved for use by the Federal government. Dairy farmers can also be indemnified because of contamination with chemicals or toxic substances, nuclear radiation or fallout.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Fair market value for the milk is paid to the dairy farmer who is unable to market because of any of the violating substances, and the fair market value of the dairy product is paid to the manufacturer who is unable to market because of pesticide residue. No payment may be made to any dairy farmer or any manufacturer whose milk or dairy product was removed from the market as a result of his negligence or his willful failure to follow procedures prescribed by the Federal government.

Applicant Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is also available in Puerto Rico.

Beneficiary Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is available in Puerto Rico.

Credentials/Documentation:

In the case of a dairy farmer, the notice removing the milk from the market along with a record of past marketing records for milk to determine the quantity and value of the milk not marketed, the violating substance involved and the uses of such violating substances during the previous 24 months. In the case of the manufacturer of dairy products, the notice removing the product from the market and sufficient data to determine the value of the product. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Producers must file an application for payment on Form FSA-373 with the local county FSA office. Manufacturers must file information on the cause and amount of their loss with the local county FSA office. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Initial approval is made by the county FSA committee. Final approval is made by the Price Support Division in Washington, DC.

Deadlines:

Claims must be filed by December 31 following the fiscal year in which the loss is incurred.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Applicants may appeal to the county Farm Service Agency Committee and to the FSA, U.S. Department of Agriculture, Appeals and Litigation Group, 1400 Independence Avenue, SW., Washington, DC 20250-0570.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Payment is made by Commodity Credit Corporation (CCC) check after claim approval.

Reports:

None.

Audits:

Recipients are subject to audit by Office of Inspector General, USDA.

Records:

The dairy farmer and the manufacturer of dairy products must keep any records in applying for a payment for 3 years following the year in which an application for payment was filed.

Account Identification:

12-3314-0-1-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$88 to \$95,000; \$40,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations were published in the Federal Register, 7 CFR, 760, and announced through the news media, Handbook 3-LD, Circulars and regulations issued by FSA.

Regional or Local Office:

Consult the local telephone directory for location of the county FSA office. If no listing, contact the appropriate State FSA office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, 1400 Independence Avenue, SW., Washington, DC 20250-0512. Telephone: (202) 720-7641.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.500, Cooperative Extension Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.054 EMERGENCY CONSERVATION PROGRAM

(ECP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Credit Act of 1978, Title IV, Public Law 95-334, 16 U.S.C. 2201-2205, as amended.

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters and to carry out emergency water conservation or water enhancing measures during periods of severe drought.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost-sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Eligible drought situations for water enhancing measures must be determined by the Deputy Administrator for Farm Programs, FSA.

Applicant Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Credentials/Documentation:

Identification as an eligible person and proof of contribution to the cost of performing the conservation practice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O.12372.

Application Procedure:

Eligible persons may submit an application on Form AD-245, for cost-sharing, at the county FSA office for the county in which the affected land is located. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Applications for payment must be filed with the county FSA committee by a prescribed date. The conservation practice for which cost-shares have been approved must be completed during the program year, within the time specified by the county FSA committee, and such performance reported to the county office within a specified time.

Range of Approval/Disapproval Time:

From 2 to 3 weeks.

Appeals:

Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination. Matters that are generally applicable to all producers are not appealable.

Renewals:

Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Practice cost-share approvals are given on a fiscal year basis. The approvals specify the time that the practice must be carried out. Payment is by check or electronic funds transfer following completion of the measure.

Reports:

Not applicable.

Audits:

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

(Direct payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50 to \$64,000; \$2,681.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register at 7 CFR, Part 701.

Program is announced through the news media in the county area designated as a disaster area.

Regional or Local Office:

Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. If no listing, get in touch with the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

USDA/FSA/CEPD, Stop 0513, 1400 Independence Ave., SW., Washington, DC 20250-0513. Telephone: (202) 720-6221.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.404, Emergency Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.055 DIRECT AND COUNTER-CYCLICAL PAYMENTS PROGRAM

(DCP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002 (2002 Farm Bill).

OBJECTIVES:

To provide income support to eligible producers of covered commodities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

With respect to Fiscal Year 2002 payments, CCC will offer to enter into a contract with eligible producers of covered commodities on October 1, 2002 through the date announced by CCC. With respect to Fiscal Years 2003 through 2007, CCC shall offer to annually enter into a contract with an eligible producer on a farm having base acreage with respect to a covered commodity at the beginning of each such fiscal year 2003 through 2007 through the date announced by CCC for each such year.

Applicant Eligibility:

To be eligible for payments under DCP, owners, operators, landlords, tenants, or sharecroppers must (1) share in the risk of producing a crop on base acres on a farm enrolled in DCP, and be entitled to share in the crop available for marketing from the base acres, or would have shared had a crop been produced; (2) annually report the use of the farm's cropland acreage; (3) comply with conservation and wetland protection requirements on all of their land; (4) comply with planting flexibility requirements; (5) use the base acres for agricultural or related activities; and (5) protect all base acres from erosion, including providing sufficient cover as determined necessary by the county FSA committee, and control weeds.

Beneficiary Eligibility:

DCP provides payments to eligible producers on farms enrolled for the 2002 through 2007 crop years.

Credentials/Documentation:

As a condition of eligibility for direct and counter-cyclical payments, the operator or owner must submit a report of all cropland acreage on the farm. Reports of production evidence for all covered commodities shall be provided to the county committee of the county where the farm is administratively located, by farm and crop in such manner as required by CCC on a CCC-approved standard, uniform form designated by CCC. When disposition of production has

been through commercial channels, CCC may require the producer to furnish documentary evidence in order to verify the information provided on the report of production.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

The CCC-509 must be submitted. The following documents are required and applicable determinations must be made before the county committee can approve a producer's share on the CCC-509 for payment: (1) a farm operating plan (CCC-502 and related forms); (2) an average adjusted gross income certification (CCC-526); (3) a certification of compliance with highly erodible land and wetland conservation provisions (AD-1026). A certification of the acreage of all cropland on the farm (FSA-578) is needed before final payments can be issued.

Award Procedure:

Producers may elect to receive their direct payments in two installments per year: (1) the first payment, available in December of the fiscal year, is up to 50 percent of the total payment; (2) the balance of the total direct payment is available in October of the fiscal year after the fiscal year the payment is earned. Producers who do not elect to take the first direct payment will receive the entire direct payment at this time. Producers may elect to receive up to three counter-cyclical payments per year: (1) first partial payments are available in October of the calendar year in which the crop is harvested. These payments cannot exceed 35 percent of the total projected payment; (2) second partial payments, up to 70 percent of the projected payment, minus the amount of the first partial payment, are available the following February (the year after the crop is harvested); (3) final payments are made after the end of the marketing year for the crop. Producers who do not elect to take the first and second advance payments will receive the entire counter-cyclical payment at this time.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Approval of payments depends on farmer compliance with conservation and wetland protection requirements on all of the producers' farms, planting flexibility requirements and other eligibility requirements mentioned above, and is fairly routine and prompt.

Appeals:

A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations found at parts 11 and 780 of Title 7 Agriculture.

Renewals:

Farm producers must apply for DCP on an annual basis and can opt out of participating in DCP for any year if they so choose.

Formula and Matching Requirements:

For each covered commodity, the direct payment for each crop year equals 85 percent of the farm's base acreage times the farm's direct payment yield times the direct payment rate. For each covered commodity, the counter-cyclical payment for each crop year equals 85 percent of the farm's base acreage times the farm's counter-cyclical payment yield times the counter-cyclical payment rate.

Length and Time Phasing of Assistance:

The DCP payment schedule for 2002 and 2003 crop years is in the hyperlink provided below. The payment schedule for the 2004-2007 crop years parallels the schedule for the 2003 crop year.

<http://www.fsa.usda.gov/pas/publications/facts/html/dcp03.htm>.

Reports:

A certification of the acreage of all cropland on the farm (FSA-578) is needed before final payments can be issued.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for DCP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-351.

Obligations:

Direct Payments: FY 07 \$4,357,871,511.55; FY 08 est not available; and FY 09 est not reported. Counter-Cyclical Payments: FY 07 \$3,765,561,623; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR

Part 1412.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

U.S. Department of Agriculture, Farm Service Agency, Production Emergencies and Compliance Division, Compliance Branch, 1400 Independence Ave. SW., Washington DC 20250-0514. Telephone: (202) 720-9882.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance. Applications are non-competitive.

10.056 FARM STORAGE FACILITY LOANS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

15 U.S.C. 714, et seq.

OBJECTIVES:

To encourage the construction of on farm grain storage capacity and to help farmers adapt to identity preserved storage and handling requirements for genetically enhanced production.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Loans are used to finance the purchase and construction of new storage structures, handling equipment and drying equipment, and to finance the remodeling of existing storage structures. The loan amount is limited to \$100,000 times the number of borrowers liable for each loan.

Applicant Eligibility:

An eligible borrower is any person who, as landowner, landlord, operator, producer, tenant, leaseholder, or sharecropper: (1) Has a satisfactory credit history and demonstrates an ability to repay the debt arising under this program using a financial statement acceptable to CCC prepared within 90 days of the date of application; (2) has no delinquent Federal debt defined by the Debt Collection Improvement Act of 1996 at the time of loan disbursement; (3) is a producer of a facility loan commodity as defined by CCC; (4) demonstrates a need for increased storage capacity as defined by CCC if the applicant is applying for a loan for a storage structure; (5) provides proof of crop insurance offered under the Federal Crop Insurance Program for crops of economic significance on all farms operated by the borrower in the county where the storage facility is located; (6) is in compliance with USDA provisions for highly erodible land and wetlands provisions according to 7 CFR Part 12; (7) demonstrates compliance with any applicable local zoning, land use, and building codes for the applicable farm storage facility structures; (8) provides proof of flood insurance if CCC determines such insurance is necessary to protect the interests of CCC, and proof of all peril structural insurance, to CCC annually; (9) demonstrates compliance with the National Environmental Policy Act regulations at 40 CFR, Parts 1500- 1508; and (10) has not been convicted under Federal or State law of a controlled substance violation under 7 CFR Part 718.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Landowners, landlords, operators, producers, tenants, leaseholders, or sharecroppers are the beneficiaries.

Credentials/Documentation:

Applicants must establish that they have a need for additional storage capacity. The applicant must establish that he has the ability to repay the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Application Form CCC-185 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

FSA State and County Committees are authorized to approve these loans after applicants are determined eligible.

Deadlines:

Applications must be filed at least 15 calendar days in advance of the day when the FSA County Committee meets. Loan approvals by the FSA County Committee expire 4 months after the approval date unless extended for up to another 4 months.

Range of Approval/Disapproval Time:

The approval process may take from 2 to 6 weeks.

Appeals:

Applicants for loans may appeal adverse actions taken against them. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

The loan term is 7 years. The term of the loan may not be extended. The loan is repaid in equal annual installments of principal and interest amortized over the loan term.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The amount of the loan is determined after construction takes place. A 15 percent down payment is required. The loan is disbursed by check as soon as the cost is determined, all loan documents have been prepared and all security documents have been filed.

Reports:

Borrowers are required to maintain the collateral in good condition and to keep property taxes and insurance current.

Audits:

Collateral is inspected by CCC annually.

Records:

Borrowers are required to annually submit proof of crop insurance, flood insurance (if applicable), hazard insurance, and property taxes.

Account Identification:

12-4158-0-3-351; 12-3301-0-1-351.

Obligations:

(Direct Loans) FY 07 est \$1,238,327; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$1000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) A fact sheet, press release, forms, and directives are available. Regulations at 7 CFR Part 1436 were first published in the Federal Register under an interim rule on May 11, 2000. After comments were evaluated, regulations at 7CFR Part 1436 were revised with the publication of a final rule on January 18, 2001.

Regional or Local Office:

Consult the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:

Farm Service Agency, Director, Price Support Division, 14th and Independence Ave., SW., Washington, DC 20250. Telephone: (202) 720-7935.

Web Site Address:

<http://www.fsa.usda.gov/daftp/psd/FSFL.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.066 LIVESTOCK ASSISTANCE PROGRAM

(LAP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001, Public Law 106-387.

OBJECTIVES:

To provide direct payments to eligible livestock producers who suffered grazing losses due to drought, hot weather, disease, insect infestation, fire, hurricane, flood, fire, earthquake, severe storm, or other disasters during the 2000 crop year. Benefits will be provided to eligible livestock producers only in those counties where a severe natural disaster occurred. A county must have been approved as a primary disaster area under a Secretarial disaster designation or Presidential disaster declaration after January 1, 2000, and subsequently approved for participation in the Livestock Assistance Program (LAP) by the Deputy Administrator for Farm Programs.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

LAP provides direct payments to eligible livestock producers who suffered 40 percent or greater grazing loss for three or more months due to a natural disaster.

There are no use restrictions on the benefits received under this program.

Applicant Eligibility:

An applicant must meet all of the following conditions as determined by the approving official: (1) May not have total annual gross revenue in excess of \$2.5 million; (2) must have grazing land in a county or parish or part of a county or parish approved for LAP; and (3) suffered a 40 percent or greater grazing loss for 3 consecutive months during the LAP payment period approved for the county. An applicant must also be an established livestock producer who is: (1) Actively engaged in farming; (2) a citizen of, or legal resident alien in the United States; (3) a farm cooperative, private domestic corporation, partnership, or joint operation in which a majority interest is held by the members, stockholders, or partners who are citizens of, or legal resident alien of the United States; (4) any Indian tribe or tribal organization of the Indian Self-Determination and Education Assistance Act; (5) any organization under the Indian Reorganization Act or Financing Act; and (6) any economic enterprise under the Indian Financing Act of 1974.

Beneficiary Eligibility:

An applicant must meet all of the following conditions as determined by the approving official: (1) May not have total annual gross revenue in excess of \$2.5 million; (2) payment limitation for 2000 LAP benefits is \$40,000 per person as determined according to 7 CFR Part 1439, and 1-PL, Part 4; (3) be actively engaged in farming with at least 10 percent of gross revenue derived from production of livestock; (4) must have grazing land in a county or parish or part of a county or parish approved for LAP; and (5) suffered a 40 percent or greater grazing loss for 3 consecutive months during the LAP payment period approved for the county. An applicant must also be an established livestock producer who is: (1) Actively engaged in farming; (2) a citizen of, or legal resident alien in the United States; (3) a farm cooperative, private domestic corporation, partnership, or joint operation in which a majority interest is held by the members, stockholders, or partners who are citizens of, or legal resident alien of the United States; (4) any Indian tribe or tribal organization of the Indian Self-Determination and Education Assistance Act; (5) any organization under the Indian Reorganization Act or Financing Act; and (6) any economic enterprise under the Indian Financing Act of 1974.

Credentials/Documentation:

Applicants must certify: (1) Percent of grazing losses for each type of grazing, number of eligible livestock and date of ownership during the payment period by livestock type and weight class, and number of grazing act that support eligible livestock; (2) that annual gross revenue is not in excess of \$2.5 million; and (3) derives at least 10 percent of gross revenue from the production of livestock.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants visit the county or parish Farm Service Agency (FSA) office in the eligible county or parish to make application, certify eligibility and report percent of grazing loss, number of grazing acres, and number of eligible livestock by type and weight on Form CCC-740.

Award Procedure:

LAP 1999 all application data was uploaded by computer to the FSA national data processing center in Kansas City, MO on February 25, 2000. Totals were tabulated to determine if authorized funding would be exceeded. Based upon the number of applicants and total dollar value of applications, a national payment factor of .46 was established. This factor is being applied to all calculated payments before issuance to the producer. The 2000 LAP factor will be determined at a later date.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Unknown at this time.

Appeals:

Applicants may appeal to the county and State FSA committees and to the National Appeals Division. Producers' grazing losses in excess of the maximum grazing loss for the county are not appealable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Formula and matching requirements are not considered under this program.

Length and Time Phasing of Assistance:

Payment is made by check or direct deposit after determining applicant eligibility.

Reports:

There are no reports due for post assistance requirements under this program.

Audits:

Recipients under these programs are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

Livestock producers or any other individual or entity receiving assistance for LAP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the

calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments) FY 07 \$326,774,990; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

There is no minimum amount of assistance which may be received by an individual applicant. The national "person" limit (before national factoring) is \$40,000. In 2001: \$10 to \$40,000; \$875. For the 2002 LAP unknown at this time.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 1439. Agency procedures are listed in Handbook 1-DAP. LAP Fact Sheet may be located on line at <http://www.fsa.usda.gov/pas/publications/facts/pubfacts.htm>.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Production, Emergencies, and Compliance Division, Emergency Preparedness and Program Branch, Stop 0517, 1400 Independence Avenue SW., Washington, DC 20250-0517. Telephone: (202) 720-7641.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054, Emergency Conservation Program; 10.404, Emergency Loans; 10.450, Crop Insurance; 10.451, Noninsured Assistance; 10.452, Disaster Reserve Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.069 CONSERVATION RESERVE PROGRAM

(CRP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Security Act of 1985, Title XII, Public Law 99-198, as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Public Law 101-624; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127.

OBJECTIVES:

To protect the Nation's long-term capability to produce food and fiber; to reduce soil erosion and sedimentation, improve water quality, and create a better habitat for wildlife.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Eligible owners or operators may place highly erodible or other environmentally sensitive land into a 10-15 year contract. The participant, in return for annual payments, agrees to implement a conservation plan approved by the local conservation district for converting highly erodible cropland or other environmentally sensitive land to a long-term resource conserving cover i.e., eligible land must be planted with a vegetative cover, such as, perennial grasses, legumes, fobs, shrubs, or trees. The participant agrees to reduce the aggregate total of allotments and quotas by an amount based on the ratio of the total cropland acreage on each farm, to the total acreage on each farm subject to the CRP contract. Financial and technical assistance are available to participants to assist in the establishment of a long-term resource conserving cover.

Applicant Eligibility:

An individual, partnership, association, Indian Tribal ventures corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof may submit an offer to enroll acreage.

Beneficiary Eligibility:

If their offer is accepted for enrollment, an individual, partnership, association, Indian Tribal ventures, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, political subdivision of State, or any agency thereof may earn benefits.

Credentials/Documentation:

The land must be owned or operated for not less than 12 months prior to the close of the signup period, unless the land was acquired by will or succession or FSA determines that ownership was not acquired for the purpose of placing the land in the CRP. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An environmental impact assessment has been prepared for this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

FSA has three methods for enrolling acreage in the CRP. One method is a continuous signup process where acreage suitable for certain environmental priority practices, including but not limited to grass waterways, riparian buffers or filterstrips, and acreage within wellhead protection areas may be offered and accepted without going through a competitive offer process. The second method is similar to continuous signup and is available only in distinct geographic areas. These areas are targeted by FSA and State governments under partnership agreements. The third method is to offer acreage during a general signup period where eligible offers to enroll highly erodible and other environmentally sensitive acreage are submitted and ranked competitively based on an environmental benefits index. Regardless of the method of enrollment, the local FSA office that serves the area in which the farm or ranch is located will provide the maximum payment rate CCC will accept to enroll certain acreage in the program. Producers may submit a rental rate per acre offered that may be equal to or less than CCC's maximum payment rate. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

FSA will notify persons whose offers are determined acceptable as soon as practicable after the close of the signup.

Deadlines:

The offer must be filed at the county FSA office by the end of the announced signup period.

Range of Approval/Disapproval Time:

From 7 to 120 days.

Appeals:

Producers may appeal any determination to county FSA committee, State committee, or National Appeals Division. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Annual rental payments in cash or generic commodity certificates will be made for 10-15 years. If cost-share assistance to establish the appropriate cover was requested, a payment will be made after the practice is successfully established according to applicable guidelines. FSA may provide certain incentives for restoring wetlands or other lands.

Reports:

None.

Audits:

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in county FSA office and Federal Record centers for a specified number of years.

Account Identification:

12-3319-0-1-302; 12-4336-0-1-302.

Obligations:

(Direct Payments) Financial Assistance: FY 07 \$1,969,880,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50 to \$50,000; \$4,000.

PROGRAM ACCOMPLISHMENTS:

Currently, approximately 33.5 million acres are enrolled in the CRP. Each contract covers an average of 74 acres with an average rental rate of \$45.95 per acre. This is based on the latest actual data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR part 1410.

Regional or Local Office:

Consult the local telephone directory for location of the county FSA office, under U.S. Government, U.S. Department of Agriculture. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog.

Headquarters Office:

USDA/FSA/CEPD, Stop 0513, 1400 Independence Avenue, SW., Washington, DC 20250-0513. Telephone: (202) 720-6221.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054, Emergency Conservation Program; 10.064, Forestry Incentives Program; 10.072, Wetlands Reserve Program; 10.901, Resource Conservation and Development; 10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

County FSA offices will provide producers the maximum acceptable rental rate for the acreage offered. All offers submitted under a general signup will be screened at both the local and national level to determine the suitability of the acreage and acceptability of the rental rate offered. In addition, offers will be evaluated in terms of cost for the environmental benefits obtained. Acres accepted will be limited to the Secretary's authority to maintain 36.4 million acres or less as determined by the Secretary.

10.070 COLORADO RIVER BASIN SALINITY CONTROL PROGRAM

(CRBSCP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Colorado River Basin Salinity Control Act of 1974, as amended, Section 202c of Title II, 43 U.S.C. 1592c; Section 201, Public Law 93-320, 88 Stat. 271; Section 2, Public Law 98-569, 98 Stat. 2933, 43 U.S.C. 1592(c).

OBJECTIVES:

To provide financial and technical assistance to: (1) Identify salt source areas; (2) develop project plans to carry out conservation practices to reduce salt loads; (3) install conservation practices to reduce salinity levels; (4) carry out research, education, and demonstration activities; (5) carry out monitoring and evaluation activities; and (6) to decrease salt concentration and salt loading which causes increased salinity levels within in the Colorado River and to enhance the supply and quality of water available for use in the United States and the Republic of Mexico.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Eligible owners or operators in approved project areas may receive cost-share assistance to treat salinity problems caused by agricultural irrigation activities.

Applicant Eligibility:

A participant may be any of the following: an owner, landlord, operator, or tenant of eligible lands: Individual, Indian tribe, partnership, firm, association, corporation, joint stock company, conservation district, estate, trust, irrigation district or company, and State or local public or nonpublic entity not described above.

Beneficiary Eligibility:

Any person in the Colorado River basin will benefit who uses or reuses water for irrigation, domestic, municipal or industrial water supply or for fish and wildlife habitat.

Credentials/Documentation:

Any eligible landowner or operator who controls land in an identified salt source area is eligible to apply for cost-share assistance. This program is currently available in Mesa, Delta, Montezuma, and Montrose counties, Colorado; Duchesne and Uintah counties, Utah; Sweetwater county, Wyoming. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible persons may make application on Form SCS-LTP-001. CRSC contracts may be requested during sign-up periods throughout the year at the local NRCS office in the county where the land is located. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The designated project Contracting officer may approve applications within the project allocation of Federal funds for cost-share assistance.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Participants may appeal any determination to the National Appeals Division, Washington, DC.

Renewals:

Certain practice approvals may be extended by the contracting Offices.

Formula and Matching Requirements:

Cost-share assistance levels may be funded up to 70 percent of total cost.

Length and Time Phasing of Assistance:

Long-term contracts of 3 to 10 years. Cost-share assistance is paid when the practice is performed.

Reports:

None.

Audits:

Subject to audit by Office of the Inspector General, USDA.

Records:

Records are maintained in the NRCS office and Federal record centers for a specified number of years.

Account Identification:

12-3318-0-1-304.

Obligations:

(Direct payments) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 est \$10,000; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The range has not been identified. Average: \$20,000.

PROGRAM ACCOMPLISHMENTS:

This program is operated in 6 project areas in 3 States (Colorado, Utah and Wyoming).

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines are announced through the news media and in letters to agricultural producers in the county.

Regional or Local Office:

Consult the local telephone directory for location of the local NRCS field office. If there is no listing, get in touch with the appropriate State NRCS office listed in the NRCS section of Appendix IV of the Catalog.

Headquarters Office:

Financial Assistance Programs Division, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Dave Mason, Telephone: (202) 720-1873. Use the same number for FTS.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.072, Wetlands Reserve Program; 10.064, Forestry Incentives Program; 10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention; 10.900, Great Plains Conservation; 10.912, Environmental Quality Incentives Program.

EXAMPLES OF FUNDED PROJECTS:

For the past several fiscal years, salinity control activities were funded under the Environmental Quality Incentives Program (EQIP).

CRITERIA FOR SELECTING PROPOSALS:

The type and severity of salinity problems; the need to correlate on the farm conservation treatment with canal and lateral improvement; estimated cost; extent of the salinity problem; proximity to water bodies; land use charges; offsite effects; onsite environmental effects; other resource problems; seasonal nature of salinity reduction practices to be installed; applicants' ability to complete practices in the shortest time practical; and positive or negative effects on wildlife.

10.072 WETLANDS RESERVE PROGRAM

(WRP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Security Act of 1985, Title XII, Public Law 99-198, as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XIV, Section 1237, Public Law 101-624, 104 Stat. 3584, 7 U.S.C. 3837, as amended; Omnibus Budget Reconciliation Act of 1993; Federal Agriculture Improvement and Reform Act of 1996; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1998 and Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001 and as amended, Farm Security and Rural Investment Act of 2002.

OBJECTIVES:

To assist landowners in restoring and protecting wetlands on eligible lands on which they agree to enter into a permanent or long-term easement, or a restoration cost-share agreement with the Secretary. The goal of WRP is to maximize wetland functions and values and wildlife benefits on every acre enrolled in the program. Total acreage enrollment limitation is 2,275,000 acres.

TYPES OF ASSISTANCE:

Direct Payments For Specified Use.

USES AND USE RESTRICTIONS:

Eligible landowners may offer farmed wetlands, prior converted wetlands,

wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas, to be placed under a permanent or 30-year easement, or restoration cost-share agreement. A deed restriction covering the land approved under easement must be recorded in the local land deeds office. Subject to the acceptance of an offer by the Federal Government, the landowner will receive in cash an amount specified in the WRP contract as determined by a method prescribed by the Secretary. The landowner shall ensure that the easement granted to Natural Resources Conservation Service (NRCS) is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. The plan will include a designated access route to be used as necessary for easement management and monitoring. The landowner will receive financial and technical assistance to install necessary restoration practices on the land under easement or the practice will be installed by the Secretary. The landowner shall agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland of the present farm or subsequently reconstituted farm. In cases involving restoration participants receive restoration cost-share and technical assistance and no easement payment.

Applicant Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof owning eligible lands.

Beneficiary Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof owning private croplands will benefit.

Credentials/Documentation:

The landowner must have owned the land offered for at least the preceding 12 months prior to the end of the period in which the intent to participate in an easement is declared unless the land was acquired by will or succession as a result of the death of the previous owner; or the Department determines that the new owner did not acquire such land for the purpose of placing it in the WRP. This program is excluded from coverage under OMB Circular No. A- 87. The 12 month requirement is not applicable to restoration agreement.

Preapplication Coordination:

An environmental impact assessment has been prepared for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit an application to enroll to the local NRCS office that serves the area in which the farm or ranch is located during the designated sign-up period. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

The States will provide a list of potential acceptable offers and request for allocation of funds. The Department will allocate funding in a manner designed to achieve cost effectiveness and maximum wetland restoration based wildlife benefits. The States will notify the landowners of the status of their application. This process will be completed as soon as practical after funding becomes available. For all tentatively accepted applications, a determination of easement compensation value will be made according to the current procedures as prescribed by the Secretary.

Deadlines:

None. The program operates under a continuous sign-up process. The application to participate must be filed at the local NRCS office.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS. Landowner application may remain on sign-up list for subsequent funding consideration.

Appeals:

Landowner may appeal certain determinations to the National Appeals Division.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

Lump sum payments or no less than 5 nor more than 30 annual payments of equal or unequal value are made for easements. Cost-share payments of 100 percent of the cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with 75 percent of permanent easement amounts being paid for 30-year easements and restoration cost-share agreements.

Length and Time Phasing of Assistance:

Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor.

Reports:

None.

Audits:

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1080-0-1-302; 12-4336-0-1-302.

Obligations:

(Direct payments) FY 07 \$211,006,348; FY 08 est \$455,000,000; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$28,339,000; FY 08 est \$45,500,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV of the Catalog.

Headquarters Office:

Easement Programs Division, Natural Resources Conservation Service, Department of Agriculture, 14th and Independence Avenue SW, Room 6812-S, Washington, DC 20013. Tony Puga, National WRP Manager, Telephone: (202) 720-1067. Use the same number for FTS.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069, Conservation Reserve Program; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All offers are screened at both the local and State level to determine the acceptability of the application to ensure that offers will not be accepted in excess of the value as determined by the method prescribed by the Secretary. Offers will be evaluated based on the environmental benefits and government expenditures on restoration and easement purchase and the requirement that wildlife benefits be maximized.

10.073 CROP DISASTER PROGRAM

(CDP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001, Public Law 106-387.

OBJECTIVES:

The Acts authorizes the Secretary to provide disaster assistance to producers who suffered crop losses in the 2000 crop year because of adverse weather conditions. Disaster payment provisions apply if the crop could not be planted or production, both in quantity and quality, was adversely affected by: (1) Damaging weather, including drought, excessive moisture, hail, earthquake, freeze, tornado, hurricane, typhoon, volcano, excessive wind, excessive heat, or a combination thereof; or (2) related conditions of insect infestation such as grasshoppers and Mormon crickets, plant disease such as Pierce's disease and watermelon sudden wilt disease, or other deterioration of the crop, including aflatoxin, that is accelerated or exacerbated naturally because of damaging weather occurring before or during harvest.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Assistance under the Crop Disaster Program will be available for: (1) 2000 crop losses on prevented planted acreage, reduced production of planted acreage, or reduced quality on certain crops; (2) value loss crops, including nursery and aquaculture. Direct financial compensation will be paid to producers with eligible crop losses greater than 35 percent compared to the historical average county yield or the producers actual production history (APH), whichever is greater. Persons with a gross revenue in excess of \$2.5 million for the 1999 tax year are not eligible for benefits under the 2000 CDP. The payment limitation for 2000 CDP benefits is \$80,000 per person. There are no use restrictions on the benefits received under this program.

Applicant Eligibility:

Any producer that had a financial risk and received or would have received a share of the 2000 crop will be eligible for benefits, if all other requirements have

been met. Eligible crops for the disaster program include: (1) NAP crops defined in FSA Handbook 1-NAP; (2) crops for which Federal crop insurance is available, regardless of whether insurance was purchased. The producer must be able to show, with verifiable evidence, that the producer had an interest in the commodity produced or had control of the crop acreage on which this commodity was grown at the time of the disaster, which is the basis for the application for payment. One of the following shall be obtained as determined by the FSA County Committee: (1) Copies of signed written lease; (2) copies of signed rental agreements; (3) copies of other legal documents showing land ownership or control; (4) statement signed by the landowner that the producer had control of the acreage; (5) statement signed by the operator or producer that the producer had control of the acreage on the farm. Highly Erodible Land and Wetland Restrictions apply to CDP benefits. If, subsequent to the disaster, an eligible producer is now deceased or is a dissolved entity, a representative of the deceased producer or dissolved entity may sign the CCC-557 application, if the representative currently has authority to enter into a contract for the producer.

Beneficiary Eligibility:

Any producer that had a financial risk and received or would have received a share of the 2000 crop will be eligible for benefits, if all other requirements have been met.

Credentials/Documentation:

Producers shall provide production records for uninsured, noninsurable, and insured crops not meeting the loss threshold. Acceptable documentation includes verifiable and reliable records. Verifiable records are production records for an eligible crop must be submitted by the producer to support an application, or as required, to support a certification of production. Verifiable records of production include contemporaneous records provided by the producer that: (1) May be verified by FSA through an independent source; (2) are used to substantiate the amount of production reported; (3) verifiable records must be dated, show disposition of the crop's production, including quantity, and be crop specific for crops that are produced more than once in a calendar year. If verifiable records are not available, the producer shall provide reliable records documentation similar to copies of receipts, ledgers or income, income statements of deposit slips, register tapes, invoices for custom harvesting, and records to verify production input costs.

Preapplication Coordination:

To prepare for the application procedure, the producer must provide evidence of the existence of the crop, certify to the disaster condition, and provide production totals to FSA. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application sign up period runs from January 18, 2001 through May 4, 2001 or such other date as may be announced. The following forms are required to apply for benefits under the Crop Disaster Program: CCC-557 - 2000 Crop Disaster Program Application; CCC-562 - Gross Revenue Certification Statement; CCC-561 - Contract to purchase Crop Insurance; AD-1026 - Highly Erodible Land Conservation (HELC) and Wetland Conservation (WC) Certification (if one is not already on file); CCC-502 - Farm Operating Plan for Payment Eligibility Review (if one is not already on file); FSA-578D - Acreage Report (if one is not already on file for non-insured crops).

Award Procedure:

The CDP provides a one-time payment for 2000 crop disasters.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

FSA County Offices were authorized to make payments under this program upon publication of the regulations in the Federal Register.

Appeals:

All producers receiving adverse decisions regarding their application under the disaster program are afforded appeal rights at several levels culminating at the National Appeals Division, which is a separate entity apart from the Farm Service Agency.

Renewals:

Not applicable.

Formula and Matching Requirements:

Eligible producers must have suffered 2000 crop losses in excess of 35%. Crop losses above 35% will be eligible for assistance at 65% of the county crop table price for insured crops or crops in which Federal crop insurance is not available and 60% of the county crop table price for insurable crops in which the producer did not purchase Federal crop insurance. As a condition of receiving benefits under CDP, any producer who elected to not purchase crop insurance on a crop in 2000 for which CDP benefits are requested must purchase crop insurance on that crop for the 2001 and 2002 crop years.

Length and Time Phasing of Assistance:

Program payments are made after the application is filed and approved by the COC.

Reports:

None.

Audits:

FSA will implement a national compliance program to spot check and verify the accuracy of applications received throughout the country. The Office of Inspector General will conduct program audits on a random basis throughout the country.

Records:

Participants must maintain production evidence for 3 years.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

There is no minimum amount of assistance which may be received by an individual applicant. The national "person" limit is \$80,000.

PROGRAM ACCOMPLISHMENTS:

All available program funding will be used among eligible applicants.

REGULATIONS, GUIDELINES, AND LITERATURE:

CDP regulations are provided in 7 CFR Part 1480. Agency procedures are listed in Handbook 3-DAP. Disaster Program Fact Sheets may be located on line at <http://www.fsa.usda.gov/pas/publications/facts/pubfacts.htm>.

Regional or Local Office:

Applications are filed at the local county office of the Farm Service Agency.

The location of all FSA field offices is listed on the WEB at <http://www.fsa.usda.gov/edso/> or in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Production Emergencies and Compliance Division, Compliance Branch, 1400 Independence Ave. S.W., Washington DC 20250-0514. Telephone: (202) 720-9882.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.450, Crop Insurance; 10.404, Emergency Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.077 LIVESTOCK COMPENSATION PROGRAM

(LCP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 32 of the Act of August 24, 1935, as amended. Section 203(a) of Agricultural Assistance Act of 2003, Public Law 108-07. Section 2103 of the Emergency Wartime Supplemental Appropriations Act for Fiscal Year 2003, Public Law 108-11.

OBJECTIVES:

This program will provide immediate assistance to livestock producers in counties that have received primary disaster designation due to drought in 2001 and/or 2002.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Compensation for cattle, sheep, goats, buffalo, and catfish producers in counties that have received primary disaster designation due to drought in 2001 and/or 2002. An eligible county must be approved as a primary county between January 1, 2001, and February 20, 2003, for Presidential or Secretarial disaster designation for damages and losses because of natural disaster.

Beneficiary Eligibility:

Producers of cattle, sheep, goats, buffalo, and catfish.

Credentials/Documentation:

All applicants must: (1) conduct a livestock operation that is physically located in a disaster county; (2) meet all other eligibility requirements established by the Secretary for the Program; (3) produce an animal described in section 10806(a)(1) of the Farm Security and Rural Investment Act of 2002 (21 U.S.C. 321d(a)(1)). In addition, Catfish producers must certify compliance with: (1) the adjusted gross income limitation contained in section 1001D of the Food Security Act of 1985 (7 U.S.C. 1308-3a); and (2) conservation compliance provisions according to regulations found at 7 CFR part 12.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants contact their local county Farm Service Agency Office or U.S. Department of Agriculture Service Center for sign-up information. In general,

applicants will certify on the application form CCC-370, the number and type of eligible livestock owned or leased as of June 1, 2002. Documentation will be required from each applicant selected for a spot check that indicates all livestock for which payment was received that were sold or died after June 1, 2002. Owners or lessees of eligible livestock must certify the number owned or leased as of June 1, 2002. The animals must have been owned for 90 days or more and must fall within the 90-day ownership period.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 1 to 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Adult beef cattle, buffalo, and beefalo (cows and bulls), \$18.00 per head; Beef, dairy, buffalo, and beefalo replacement heifers (500 lbs. and over), \$13.50 per head; Adult dairy cattle (cows and bulls), \$31.50 per head; Beef, dairy, buffalo, and beefalo steers (500 lbs. and over), \$13.50 per head; Nonbreeding beef, dairy, buffalo, and beefalo heifers (500 lbs. and over), \$13.50 per head; Beef, dairy, buffalo, and beefalo bulls (500 lbs. and over), \$13.50 per head; Sheep (All), born prior to June 1, 2002, \$ 4.50 per head; Goats (All), born prior to June 1, 2002, \$ 4.50 per head; Catfish feed, \$34 per ton of feed purchased in calendar year 2002.

Length and Time Phasing of Assistance:

Payment is made by check or direct deposit after determining applicant eligibility.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

Livestock producers or any other individual or entity receiving assistance for LCP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Producers participating in LCP are subject to a \$2.5 million gross revenue limitation per person or less for the preceding tax year. Payments are limited to \$40,000 per person. There is no minimum amount of assistance that may be received by an individual producer.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 67 CFR Part 63070 and 7 CFR Part 3016. LCP Fact Sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/pubfacts.htm>.

Regional or Local Office:

Farm Service Agency State Offices can inform applicants of county office locations where applicants may apply for assistance.

Headquarters Office:

U.S. Department of Agriculture, Farm Service Agency, Production Emergency and Compliance Division, Washington, DC 20250.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.066, Livestock Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.078 BIOENERGY PROGRAM

(BIO)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Title IX, Section 9010, Farm Security and Rural Investment Act of 2002 and Section 5(e) of the CCC Charter Act, 15 U.S.C. 714c.

OBJECTIVES:

The goals of the Bioenergy Program are to encourage increased purchases of eligible commodities for the purpose of expanding production of such bioenergy and support new production capacity for such bioenergy.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Bioenergy producers may increase their purchases of eligible commodities as compared to the previous fiscal year purchases and convert that commodity into increased commercial fuel grade ethanol and biodiesel production as compared to previous fiscal year ethanol and biodiesel production. The Program defines eligible commodities as barley, corn, grain sorghum, oats, rice, wheat, soybeans, sunflower seed, canola, crambe, rapeseed, safflower, sesame seed, flaxseed, mustard seed, and cellulosic crops, such as switchgrass and short rotation trees, grown on farms, for the purpose of producing ethanol and/or biodiesel or any other commodity or commodity by-product as determined and announced by CCC used in ethanol and biodiesel production which is produced in the United States and its territories.

Applicant Eligibility:

All bioenergy producers are eligible to participate in the program. To participate, ethanol producers must provide USDA with evidence of increased production of bioenergy and increased purchase and utilization of agricultural commodities related to that increased production. Biodiesel producers must provide evidence of production and purchase and utilization of agricultural commodities related to that production.

Beneficiary Eligibility:

Bioenergy producers.

Credentials/Documentation:

USDA will collect information from bioenergy producers that request payments under the Bioenergy Program as the Secretary may require to ensure that benefits are paid only to eligible bioenergy producers for eligible commodities. Bioenergy producers seeking program payments will have to meet minimum requirements by providing information concerning the production of bioenergy. Applicants must certify that they will abide by the Bioenergy Program Agreement's provisions. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Bioenergy Program sign-up period is from August 1 through August 31, or as announced. To participate in the program, producers must complete a Bioenergy Program Agreement, Form CCC 850 and Bioenergy Program Annual Production Information, Form CCC-850 Supplement.

Award Procedure:

None.

Deadlines:

Please contact the program contact listed in the Information Contacts section below or Headquarters office.

Range of Approval/Disapproval Time:

From 1 to 30 days.

Appeals:

Any participant who is subject to an adverse determination may appeal the determination by filing a written request with the Deputy Administrator at the following address: Deputy Administrator, Commodity Operations, Farm Service Agency, Department of Agriculture, STOP 0550, 1400 Independence Avenue, S.W., Washington, DC 20250-0550. To receive consideration, the participant must file the appeal within 30 days after written notice of the decision, which is the subject of the appeal, is mailed or otherwise made available to the participant. An appeal shall be considered to have been filed when personally delivered in writing to the Deputy Administrator or when the properly addressed request, postage paid, is postmarked. The Deputy Administrator may accept and act upon an appeal even though it is not timely filed if, in the judgement of the Deputy Administrator, circumstances warrant such action.

Renewals:

None.

Formula and Matching Requirements:

USDA will pay eligible producers up to \$150 million each FY on a quarterly basis. Payments to each producer are capped at 5 percent of available funding (up to \$7.5 million) each FY. USDA will base ethanol payments on the increase in ethanol production compared to the previous FY's production and biodiesel payments on the biodiesel production each FY. Payments will be structured to encourage participation of producers with less than 65 million gallons annual production capacity. Producers with total annual production of: (a) less than 65 million gallons are reimbursed 1 feedstock unit for every 2.5 used for increased production; (b) 65 million gallons or more are reimbursed 1 feedstock unit for every 3.5 used for increased production. In addition, biodiesel producers are reimbursed for base production at 50 percent the rate of increased production. If the applications exceed the program's available funding, USDA will apply a factor to payments to hold program expenditures to available funding for the

applicable FY.

Length and Time Phasing of Assistance:

The program is funded at up to \$150 million each FY for FYs 2003 through 2006.

Reports:

Multiple FY Agreements require annual production estimate reports to be submitted during each applicable FY sign-up period. Such reports must comply with the terms of the Agreement and Bioenergy Program regulations. In all cases, the accounting for compliance will be made on a per FY basis.

Audits:

Participants under this program are subject to audit by the Office of the Regional Inspector General, Department of Agriculture.

Records:

Bioenergy producers or any other individual or entity receiving payments for Bioenergy Program shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payments were received.

Account Identification:

12-4336-0-1-271.

Obligations:

(Direct Payments) FY 07 \$60,000,000; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and is posted on Farm Service Agency website. Regulations published in Title IX, Section 9010, Farm Security and Rural Investment Act of 2002. Bioenergy Program fact sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/html/Bioenergy03.htm>.

Regional or Local Office:

None.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Kansas City Commodity Office, Contract Reconciliation Division, P.O. Box 419205, STOP 8758, Kansas City, MO 64141-6205. Telephone: (816) 926-6525.

Web Site Address:

www.fsa.usda.gov/daco/bio_daco.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) All fuel ethanol production is eligible; however, ethanol under 200 proof will be converted to 200 proof gallons before payment calculations are made; (2) Ethanol producers must produce and sell ethanol commercially and have authority from the Bureau of Alcohol, Tobacco, Firearms, and Explosives to produce ethanol for fuel or sell denatured ethanol rendered unfit for beverage use; (3) Biodiesel producers must produce and sell biodiesel commercially, and the biodiesel must be a mono alkyl ester manufactured in the United States that meets the American Society for Testing and Materials Standard's biodiesel standard.

10.079 BILL EMERSON HUMANITARIAN TRUST

(EHT)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Sections 301 and 302, Title III of Bill Emerson Humanitarian Trust. Section 302 (c) of the Agricultural Act of 1980, as amended. The Emergency Wartime Supplemental Appropriations, Public Law 108-11.

OBJECTIVES:

To provide for a Trust solely to meet emergency humanitarian food needs in developing countries, the Secretary of Agriculture shall establish a trust stock of wheat, rice, corn, or sorghum, or any combination of the commodities, totaling not more than 4,000,000 metric tons for use as described.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

The Secretary may release eligible commodities only to the extent such release is consistent with maintaining the long-term value of the trust.

Applicant Eligibility:

Developing countries that suffer from natural disasters (such as extreme drought, flood, earthquake, etc.), prolong war, prolong diseases, and/or acute hunger.

Beneficiary Eligibility:

Developing countries.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 1 to 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Release of commodities from the Trust can be triggered in two situations: 1) when domestic supplies are insufficient to meet the availability criteria of Public Law 480, or 2) when unanticipated needs for Title II, Public Law 480 commodities cannot be met in a timely manner under normal means of obtaining commodities. In the second situation, the Secretary is authorized to release up to 500,000 metric tons from the Trust plus an additional 500,000 metric tons that could have been but was not released, in previous years.

Length and Time Phasing of Assistance:

The reserve was originally authorized by the Agricultural Trade Act of 1980 as the Food Security Wheat Reserve. Subsequent legislation broadened the number of commodities that can be held in the reserve and, in 1998, it was renamed the Bill Emerson Humanitarian Trust. Most recently, the Farm Security and Rural Investment Act of 2002 reauthorized the Emerson Trust through 2007.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-4336-0-7-151.

Obligations:

(Includes CCC transfer funds) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and is posted on Farm Service Agency website. Regulations published in Title III of Bill Emerson Humanitarian Trust. EHT fact sheet may be located online at <http://www.fas.usda.gov/excredits/pl480/Emersontrust.htm>.

Regional or Local Office:

None.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Kansas City Commodity Office, 6501 Beacon Drive, Kansas City, MO 64131-4676. Telephone: (816) 926-6715.

Web Site Address:

<http://www.fsa.usda.gov/daco/Default.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Secretary is authorized to release commodities from the reserve to meet unanticipated emergency needs that cannot otherwise be met under Public Law 480, the U.S. government's major humanitarian food aid program. The Secretary is also authorized to release eligible commodities for use under Public Law 480 if the domestic supply of that commodity is determined to be in limited supply and would not meet the availability criteria of Public Law 480.

10.080 MILK INCOME LOSS CONTRACT PROGRAM

(MIL)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 1502 of the Farm Security and Rural Investment Act of 2002, Public Law. 107-171; 7 U.S.C. 7981 and 7982; 15 U.S.C. 714b and 714c.

OBJECTIVES:

To maintain and expand existing markets for dairy which are vital to the welfare

of milk producers in the United States. Payments under this program will be limited to dairy operations that produced milk in the United States and commercially marketed milk during the period of December 1, 2001 through September 30, 2005.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Each fiscal year, eligible dairy operations can receive a monthly payment based on monthly milk marketing, up to a maximum of 2.4 million pounds per dairy operation, for the fiscal year. Dairy operations who make changes to their producer status or who reconstitute their farm operations on or after December 1, 2001 for the sole purpose of receiving additional payments will not be eligible for the benefits under the program implemented by this rule.

Applicant Eligibility:

To be eligible, dairy producers must: (1) have produced milk in the United States and commercially marketed the milk produced anytime during the period of December 1, 2001 through September 30, 2005; (2) enter into a MIL contract during the contract application period; (3) agree to all terms and conditions in the MIL contract and comply with instructions issued by the Commodity Credit Corporation; (4) provide proof of monthly milk production commercially marketed by all persons in the dairy operation during the contract period, to determine the total pounds of milk that will be converted to hundredweight (cwt.) used for payment; (5) submit timely production evidence according to Sec. 1430.209; (6) be actively engaged in the business of producing and marketing agricultural products at the time of signing the MIL contract; (7) in administering this program, the eligibility determination of dairy operation shall be made in the same manner as Dairy Market Loss Assistance (DMLA) contracts in that State; and (8) new MIL contract operations must be unaffiliated with prior DMLA operations.

Beneficiary Eligibility:

Eligible dairy producers are those who, beginning December 1, 2001 through September 30, 2005: (1) commercially produce and market cow milk in the United States, or (2) produce milk in the United States and commercially market the milk outside the United States. In addition, dairy producers from a foreign country who have a working visa or other valid taxpayer identification number are eligible for MIL contract benefits.

Credentials/Documentation:

Before MIL contract payments are issued, all persons involved in a single dairy operation must provide evidence of eligible marketing. Verifiable production evidence can include: (1) milk marketing payment stubs, (2) tank records, (3) milk handler records, (4) daily milk marketing, and (5) copies of any payments received as compensation from other sources.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for the MIL contract program, dairy operation producers must submit form CCC-580, "Milk Income Loss Contract," to Farm Service Agency (FSA) county office where the dairy operation is located. The CCC-580 must show total pounds of all milk produced and marketed during each month for all persons receiving a share of the marketed milk. Monthly milk production may not be apportioned to circumvent the maximum payment quantity. All persons who share in the risk of a dairy operation's total production must certify information on the CCC-580. FSA will accept only one CCC-580 per operation.

When applying for a MIL contract, operators must also have on file: (1) form AD-1026, "Highly Erodible Land Conservation and Wetland Conservation Certification," used to certify understanding of the conservation compliance requirements under USDA programs; and (2) form SF-1199A, "Direct Deposit Sign Up Form," used to sign up for the direct deposit of payments into the payee's account.

Award Procedure:

The Price Support Division (PSD) in Washington DC is responsible for the implementation of the MIL contract program in county offices. They will manage the contracts and determine the eligibility of monthly payments for each contract.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

From 1 to 60 days.

Appeals:

Any producer who is dissatisfied with a determination may request reconsideration or appeal of such determination under Part 11 or 780 of 7 CFR Part 1430.

Renewals:

Not applicable.

Formula and Matching Requirements:

Payments under this program may be made to dairy operations when the Boston Class I milk price under the applicable Federal milk marketing order is below \$16.94 per cwt. No payments will be made to dairy operations for marketing during the months that the Boston Class I milk price under the applicable milk

marketing order exceeds \$16.94.

Length and Time Phasing of Assistance:

Except as provided in Sections 1430.205 and 1430.206, contracts entered into by producers in a dairy operation shall cover eligible production marketed by producers during the period beginning with the first day of the month producers enter into contract and ending on September 30, 2005. If a dairy goes out of business during the contracted period, the MIL contract program will be terminated immediately, except as applicable to earned payments.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

Producers approved for benefits under this program must maintain accurate records and accounts in order to document that they meet all eligibility requirements specified herein, as may be requested by the Commodity Credit Corporation or the Farm Service Agency. Such records and accounts must be retained for 3 years after the date of payment to the dairy operation under this program. Destruction of the records 3 years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments) FY 07 \$330,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR part 1430. MIL Fact Sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/html/milc02.htm>.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog, or on the Internet at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Price Support Division, Stop 0512, 1400 Independence Avenue SW., Washington, DC 20250-0517.
Telephone: (202) 720-1919.

Web Site Address:

<http://www.fsa.usda.gov/daftp/psd/MILC.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance. Applications are non-competitive.

10.081 LAMB MEAT ADJUSTMENT ASSISTANCE PROGRAM

(LMA)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Act of August 24, 1935, Section 32.

OBJECTIVES:

The purpose of this program is to provide benefits to sheep and lamb producers in order to reestablish their purchasing power in connection with the normal production of sheep and lambs for domestic consumption and boost the long-term development and growth of sheep and lamb farming in the United States.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

This program is designed to provide immediate financial assistance to sheep and lamb producers who have recently experienced low prices and poor market conditions. Payments under this program will provide those who are eligible with an immediate infusion of funds to help pay for operating expenses and meet other financial obligations. The Farm Service Agency (FSA) will provide with respect to sheep and lamb operations: Year 1, payments are for purchasing eligible rams for breeding, enrolling their herd in a sheep improvement program, and for making improvements to their production facilities; Year 2, Year 3, and Year 4 payments are for marketing of eligible slaughtered lambs, feeder lambs, or ewe lambs.

Applicant Eligibility:

To be eligible, applicants must be engaged in the business of producing and marketing agricultural products at the time of application. In addition, slaughtered lambs must meet the following criteria: (1) be marketed during August 1, 2001 through July 31, 2003; (2) be owned by operation at least 30 days before marketing; (3) carcasses must: (a) meet the requirements of USDA Quality Grade Choice or Prime, (b) meet the requirements of USDA Yield Grade 2, (c) have a muscling confirmation score of Average Choice or better, (d) have a 55-75 pound dressed hot carcass weight, (e) be certified by a USDA Agricultural Marketing Service (AMS) agent or an assigned representative, (f) be marketed between June 1 and July 31 of each program year in order to receive the \$8 per carcass incentive payment. Feeder lambs must: (1) be marketed during the period August 1, 2001 through July 31, 2003; (2) be owned by the operation continuously from birth until the time of marketing; (3) be thick-muscled and large framed, as determined by AMS. Ewe lambs must: (1) not be older than 18 months of age; (2) not have produced an offspring; (3) not possess the characteristics of parrot mouth, foot rot, or scrapie; (4) be maintained in the herd for at least one complete offspring lambing cycle. Furthermore, eligible lamb producers must have in 1999 gross annual revenue of \$2.5 million or less.

Beneficiary Eligibility:

Sheep and lamb producers.

Credentials/Documentation:

In order to receive payments, the sheep and lamb operation must submit supporting documentation of the cost of the improvements made to the facility during program Year 1 and must use facility improvements for sheep and lamb production activities continuously for at least the next 3 consecutive years. For slaughtered lambs, an Agricultural Marketing Service (AMS) agent or an assigned representative of AMS must verify that lamb carcasses meet the payment criteria. The condition of qualifying feeder lambs and ewe lambs must also be certified to by the AMS agent or an assigned AMS representative.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A request for Year 1 benefits under this program must be submitted via a completed Form FSA-382. A request for Year 2, Year 3, and Year 4 benefits under this program must be submitted via a completed Form FSA-383. The Forms FSA-382/3 should be submitted to FSA Office serving the county where the sheep and lamb operation is located.

Award Procedure:

The Price Support Division (PSD) in Washington DC is responsible for making payments of LMA assistance program through FSA Service Center Offices.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

From 1 to 30 days.

Appeals:

Any sheep and lamb operation which is dissatisfied with a determination may make a request for reconsideration or appeal of such determination in accordance with the appeal regulations set forth at parts 11 and 780 of 7 CFR part 784.

Renewals:

Not applicable.

Formula and Matching Requirements:

For Year 1 Producers will receive up to \$100 for each ram that is purchased for breeding purposes, at least 90 days old at the time of purchase, and will be maintained for at least 90 days. The maximum ram payment allowed per sheep and lamb operation for rams is \$2,500. Producers will receive \$.50 for each sheep enrolled in an authorized sheep improvement program; however, the maximum sheep improvement payment is \$500. The facility improvement option will provide producers with a payment of 20 percent of the total cost of the facility improvement, not to exceed \$2,500 per sheep and lamb operation. Improvements that must be made during Year 1 must be used in the sheep and lamb operation for at least the next 3 consecutive years and producers must submit cost documentation of the improvements made. The maximum combined total payments to a sheep and lamb operation are \$5,500. For Year 2 and Year 3 Payment rates for feeder and slaughter lambs include \$3 per head for feeder lambs, \$5 per head for slaughter lambs, and \$8 total per head for slaughter lambs marketed during June 1 through July 31. For Year 4 Payment rates are \$3 per head for feeder lambs, \$5 per head for slaughtered lambs, \$8 total per head for slaughtered lambs marketed during June 1 through July 31, \$18 per head for each ewe lamb purchased or retained for breeding purposes during the period of August 1, 2001 through July 31, 2003. There are no maximum payments or herd limits, but feeder lamb producers whose gross income for calendar year 2001 or 2002 exceed \$2.5 million are ineligible for payments. In order to receive the \$8 per carcass incentive payment, slaughter lambs must be marketed between June 1 and July 31 of each program year.

Length and Time Phasing of Assistance:

This program has four benefit years.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

Persons making application for benefits under this program must maintain accurate records and accounts in order to document that they meet all eligibility requirements specified herein. Such records and accounts must be retained for 3 years after the date of payment to the sheep and lamb operations under this program. Destruction of the records after such date shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR part 784. LMA Fact Sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/html/lamb02.htm>.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA Office. If no listing, contact the appropriate State FSA Office listed in the FSA section of Appendix IV of the Catalog, or on the Internet at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Price Support Division, Stop 0512, 1400 Independence Avenue S.W., Washington, DC 20250-0517.
Telephone: (202) 720-1919.

Web Site Address:

<http://www.fsa.usda.gov/daftp/psd/lamb.htm>.

RELATED PROGRAMS:

None

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance.
Applications are non-competitive.

10.082 TREE ASSISTANCE PROGRAM

(TAP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 3602 of the Farm Security and Rural Investment Act of 2002, Public Law 108-83.

OBJECTIVES:

This program provides assistance to tree, bush and vine owners who have trees, bushes or vines lost by a natural disaster. The statute authorizes payments only for eligible owners who actually replant or rehabilitate eligible trees, bushes and vines and who produce annual crops from trees for commercial purposes.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Eligible owners must execute all required documents, comply with all applicable noxious weed laws, and complete the TAP funded practice within 12 months of application approval. Participants must allow representatives of FSA to visit the site for the purposes of certifying compliance with TAP requirements.

Applicant Eligibility:

To be eligible for TAP payments the owner must: (1) Own the stand on which the claim for benefits is based; (2) Have owned it at the time the natural disaster occurred; (3) Have continuously owned the stand until the TAP application is submitted; (4) Annual gross revenue not to exceed \$2.5 million for the preceding tax year; (5) Federal, State, local governments and agencies as well as political subdivisions thereof are not eligible for benefits.

Beneficiary Eligibility:

An individual owner who has trees, bushes or vines lost by a natural disaster.

Credentials/Documentation:

To be considered an eligible loss: (1) Trees, bushes or vines must have been lost as a result of a natural disaster; (2) The individual stand must have sustained a loss in excess of 15 percent after adjustment for normal mortality; (3) The loss could not have been prevented through reasonable and available measures; (4) The trees, bushes or vines would not normally have been rehabilitated or replanted within the 12-month period following the loss; (5) The damage must

be visible and obvious to the County Committee except that if the damage is no longer visible, the County Committee may accept other evidence of the loss as it determines is reasonable. County Committee may require information from an expert in the case of plant disease or insect infestation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A complete application for TAP benefits and related supporting documentation must be submitted to the county office prior to the deadline that FSA announces.

A complete application includes all of the following: (1) A form provided by FSA; (2) A written estimate of the number of trees, bushes or vines lost or damaged which is prepared by the owner or someone who is a qualified expert, as determined by the County Committee; (3) The number of acres on which the loss was suffered; (4) Sufficient evidence of the loss to allow the County Committee to calculate whether an eligible loss occurred.

Award Procedure:

The County Committee: (1) Must make recommendations and an eligibility determination based on a complete application on those requests that it wants to refer to a higher approval official; (2) Will verify actual qualifying losses and the number of acres involved by on-site visual inspection of the land and trees, bushes or vines; (3) May request additional information and may consider all relevant information in making its determination including its members' own knowledge about the applicant's normal operations.

Deadlines:

To be announced.

Range of Approval/Disapproval Time:

About 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Subject to the availability of TAP funds, an approved owner shall be reimbursed in an amount not to exceed 75 percent of the eligible costs for the qualifying loss (that loss over and above the calculated 15 percent mortality). The payment shall be the lesser of the actual costs for the replanting or the amount calculated using rates established by the County Committee (not to exceed the maximum amount the Deputy Administrator establishes). (1) When lost stands are replanted, the types planted may be different than those originally planted if the new types have the same general end use, as the County Committee determines. Payments will be based on the lesser of rates established to plant the types actually lost or the cost to establish the alternative used. (2) If the species of plantings, seedlings or cuttings differs significantly from the species lost, as the County Committee determines, the costs may not be reimbursed. (3) Owners may elect not to replant the entire eligible stand. If so, the County Committee shall calculate payment based on the number of qualifying trees, bushes or vines actually replanted. (4) The cumulative total quantity of acres planted to trees, bushes or vines for which a person may receive assistance shall not exceed 500 acres. (5) The cumulative amount of TAP payments, which any person may receive, shall not exceed \$75,000 per program year. (6) If the total of all eligible TAP claims received exceeds the available TAP funds, payments shall be reduced by a uniform national percentage after the imposition of applicable payment limitation provisions.

Length and Time Phasing of Assistance:

Payment is made by check or direct deposit after determining applicant eligibility.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for TAP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-4-350.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 783.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Production, Emergencies, and Compliance Division, Stop 0517, 1400 Independence Avenue SW., Washington, DC 20250-0517. Telephone: (202) 720-7641.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance. Applications are non-competitive.

10.084 DAIRY MARKET LOSS ASSISTANCE PROGRAM

(DMLA III)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Public Law 105-277, 112 Stat. 2681; Public Law 106-78, 113 Stat. 1135; Public Law 106-387, 114 Stat. 1549; The Military Construction Appropriations and Emergency Hurricane Supplemental Appropriations Act of 2005, Public Law 108-324.

OBJECTIVES:

The purpose of this program is to provide benefits to dairy operations under Public Law 105-277, 112 Stat. 2681; Sections 805 and 825 of Public Law 106-78; and section 805 of Public Law 106-387 only, in order to provide financial assistance to dairy operations in connection with normal milk production that is sold on the commercial market.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

The dairy operation requesting benefits must certify with respect to the accuracy and truthfulness of the information provided in their application for benefits. All information provided is subject to verification and spot checks by CCC. Refusal to allow CCC or any other agency of the Department of Agriculture to verify any information provided will result in a determination of ineligibility. Data furnished by the applicant will be used to determine eligibility for program benefits. Furnishing the data is voluntary; however, without it program benefits will not be approved. Providing a false certification to the Government is punishable by imprisonment, fines and other penalties.

Applicant Eligibility:

(a) To be eligible to receive cash payments, a dairy operation must: (1) Have produced and marketed milk commercially in the United States anytime during the fourth quarter of 1998; (2) Indicate all milk commercially marketed by all persons in the dairy operation during calendar year 1997 and 1998 to establish the base period for determining the total pounds of milk that will be converted to hundredweight (cwt) used for payment; and (3) Apply for payments during the application period. (b) A dairy operation must submit a timely application and comply with all other terms and conditions of 7 CFR Part 1430 Subpart D and those that are otherwise contained in the application to be eligible for benefits. (c) To be eligible for the program under Pub. L. 108-324, dairy producers must have produced milk in the United States during the 2004 calendar year in a dairy operation located in a county declared a disaster by the President due to hurricanes in 2004.

Beneficiary Eligibility:

Under DMLA-III, payments will be made to producers who were paid under DMLA-II as well as new dairy producers who began production in calendar year 2000. Under Pub. L. 108-324, payments will be made to producers who have recently suffered dairy production and milk spoilage losses due to hurricanes in 2004.

Credentials/Documentation:

A request for benefits under this subpart must be submitted on a completed Form CCC 1040. The Form CCC 1040 should be submitted to the county FSA office serving the county where the dairy operation is located. All persons who share in the milk production of a dairy operation that marketed milk must certify on the same CCC 1040 in order to obtain the total milk production of the dairy operation before the application is complete.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Dairy operations may obtain an application, Form CCC 1040 (Dairy Market Loss Assistance Program Payment Application), in person, by mail, by telephone, or by facsimile from any county FSA office. In addition, applicants

may download a copy of the CCC 1040 at <http://www.fsa.usda.gov/daftp/psd/>.

Award Procedure:

Payments under this subpart may be made to dairy operations only on the first 26,000 cwt of milk produced by them from cows in the United States actually marketed in the United States during the base period. Supplemental payments under Public Law 106-387 will be made available to dairy operations in connection with normal milk production that is sold on the commercial market. For supplemental payments made under this section, the payment rate shall be \$0.6468 per cwt. For dairy operations that received a payment under sections 805 and 825 of Public Law 106-78 on less than 12 months production, an annual production level will be calculated by subtracting from the dairy operation's production level for the period of October 1, 1999 through September 30, 2000 the production level on which previous payments were received.

Deadlines:

Applicants must apply for benefits during the sign-up period to be announced by the Deputy Administrator for Farm Programs. Eligible dairy producers can apply for program benefits anytime during this sign-up time frame. New announcements are posted on <http://www.fsa.usda.gov/pas/>.

Range of Approval/Disapproval Time:

From 1 to 60 days.

Appeals:

Any producer who is dissatisfied with a determination may request reconsideration or appeal of such determination under part 11 or 780 of 7 CFR part 1430.

Renewals:

Not applicable.

Formula and Matching Requirements:

A payment rate will be determined after the conclusion of the application period, and shall be calculated by: (1) Converting whole pounds of milk to cwt; (2) Totalling the eligible cwt (not to exceed 26,000 cwt) of milk marketed commercially during the base period from all approved applications; and (3) Dividing the amount available for Dairy Market Loss Assistance Program by the total eligible cwt submitted and approved for payment. Each dairy operation payment will be calculated by multiplying the payment rate determined above by the dairy operation's eligible production. In the event that approval of all eligible applications would result in expenditures in excess of the amount available, CCC shall reduce the payment rate in such manner as CCC, in its sole discretion, finds fair and reasonable.

Length and Time Phasing of Assistance:

Payments under this subpart may be made to dairy operations only on the first 26,000 cwt of milk produced by them from cows in the United States actually marketed in the United States during the base period.

Reports:

All persons involved in such dairy operation marketing milk during the fourth quarter of 1998 shall provide any available supporting documents to assist the county FSA office in verifying that the dairy operation produced and marketed milk commercially during the fourth quarter of 1998 and the base period milk marketings indicated on Form CCC 1040. Examples of supporting documentation include, but are not limited to: tank records, milk handler records, milk marketing payment stubs, daily milk marketings, copies of any payments received as compensation from other sources, or any other documents available to confirm the production and production history of the dairy operation. In the event that supporting documentation is not presented to the county FSA office requesting the information, dairy operations will be determined ineligible for benefits.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

Producers approved for benefits under this program must maintain accurate records and accounts in order to document that they meet all eligibility requirements specified herein, as may be requested by the Commodity Credit Corporation or the Farm Service Agency. Such records and accounts must be retained for 3 years after the date of payment to the dairy operation under this program. Destruction of the records 3 years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 \$0; and FY 09 est not reported

Range and Average of Financial Assistance:

The maximum payment that any dairy operation can receive will be \$25,225. Average payments per operation are expected to be about \$8,300 for about 80,000 dairy operations in the United States.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR

part 1430. DMLA III Fact Sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/html/dairymar00.htm>.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog, or on the Internet at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Price Support Division, Stop 0512, 1400 Independence Avenue S.W., Washington, DC 20250-0517.
Telephone: (202) 720-1919.

Web Site Address:

<http://www.fsa.usda.gov/daftp/psd/dairy.htm>.

RELATED PROGRAMS:

10.053, Dairy Indemnity Program; 10.080, Milk Income Loss Contract Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance.
Applications are non-competitive.

10.085 TOBACCO TRANSITION PAYMENT PROGRAM

(TTPP)

FEDERAL AGENCY:

COMMODITY CREDIT CORPORATION, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Fair and Equitable Tobacco Reform Act of 2004.

OBJECTIVES:

The Fair and Equitable Tobacco Reform Act of 2004 repeals the federal tobacco price support/production control program, provides compensation payments to tobacco quota owners for the elimination of their government-created asset (quota), and provides transition payments to active tobacco producers.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA established a farm basic marketing quota for the 2004 marketing year. The wetlands and highly erodible land provisions of part 12 of Title 7, the controlled substance provisions of part 718 of Title 7, and the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under 7 CFR Part 1463 to an eligible quota holder. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. The wetlands and highly erodible land provisions of part 12 of Title 7 and the controlled substance provisions of part 718 of Title 7 shall be applicable to payments made under 7 CFR Part 1463 to an eligible tobacco producer. However, the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under this part to an eligible tobacco producer.

Beneficiary Eligibility:

Tobacco quota holders, tobacco producers, and eligible assignees.

Credentials/Documentation:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA established a farm basic marketing quota for the 2004 marketing year. To be eligible for TTPP, quota holders are the owners of a farm with an established 2004 basic marketing quota on their farm as of October 22, 2004, the date the President signed the bill that ends the quota system. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. For purposes of determining if an eligible tobacco producer has shared in the risk of producing a crop in the 2002, 2003, or 2004 crop years, CCC will consider evidence presented by a producer that includes, but is not limited to: written leases; contracts for the purchase of tobacco; crop insurance documents; or receipts for the purchase of items used in the production of tobacco.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants contact their local county Farm Service Agency Office or U.S. Department of Agriculture Service Center for sign-up information.

Award Procedure:

CCC will make a payment to each eligible producer in an amount equal to 10 percent of the total amount due under a contract entered into except that in the case an application was filed after June 17, 2005, the applicant will receive only the TTPP payments that have not been made as of the date the contract is approved.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

1 to 60 days.

Appeals:

A person may obtain reconsideration and review of any adverse determinations in accordance with the appeal regulations found at parts 11 and 780 of Title 7.

Renewals:

None.

Formula and Matching Requirements:

Eligible tobacco quota holders will receive \$7 per pound based upon their basic quota at the 2002 marketing year level. Producers of quota tobacco will receive up to \$3 per pound payment based on their share of the risk in the 2002, 2003, and 2004 crops of quota tobacco. The annual payment will be calculated by multiplying the eligible producer's Base Quota Level (BQL) for each farm for crop years 2002, 2003, or 2004 by \$0.10 per pound per year. For flue-cured and burley producers, the BQL will be equal to the 2002 effective quota produced on the farm. For tobacco other than flue-cured and burley, the producer's BQL will be equal to the 2002 basic allotment multiplied by the farm's 3-year average yield for the years 2001, 2002, and 2003.

Length and Time Phasing of Assistance:

The Tobacco Transition Payment Program (TTPP) provides payments to tobacco quota holders and tobacco producers beginning in 2005 and ending in 2014.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, Department of Agriculture.

Records:

Tobacco quota holders or any other individual or entity receiving payment for TTPP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payment was received.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments) FY 07 \$952,000,000; FY 08 est not available; and FY 09 est not reported. (NOTE: Estimated to be \$10 billion from FY 2007 through FY 2014.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in 7 CFR Part 1463, 2005, 2014 Tobacco Transition Program.

Regional or Local Office:

Farm Service Agency state offices can inform applicants of county office locations where applicants may sign up.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Tobacco Branch, STOP 0514, 1400 Independence Avenue, S.W., Washington, DC. 20250-0540, Telephone: (202) 720-2715.

Web Site Address:

www.fsa.usda.gov/tobacco and
<http://www.fsa.usda.gov/pas/publications/facts/html/ttpp05.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.153 MARKET NEWS

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Cotton Statistics and Estimates Act, 7 U.S.C. 471- 473, 473b, 475-476; Tobacco Inspection Act, 7 U.S.C. 511h; Agricultural Marketing Act of 1946, 7 U.S.C. 1622k; Tobacco Statistics Act, 7 U.S.C. 501-508; Naval Stores Act, 7 U.S.C. 91-99; Cotton Futures Act, 7 U.S.C. 15b; Peanut Statistics Act, 7 U.S.C. 951-

957; Food Security Act of 1985, as amended.

OBJECTIVES:

To provide timely and accurate information on prices, demand, movement, volume, and quality on all major U.S. agricultural commodities.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The information can be used by anyone as a basis for judging prevailing commodity values. Market news information is disseminated by the news media, as well as by mimeographed reports, bulletin boards, telephone, facsimile machines, data networks, and telegraph.

Applicant Eligibility:

Any public or private organization, or individual, including those located in the U.S. Territories, may subscribe to existing market news reports or bulletins pertaining to specific agricultural commodities.

Beneficiary Eligibility:

Anyone availing themselves of this service.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for Market News should be made to the appropriate commodity division of the AMS field office (see Appendix IV of the Catalog for listing of office locations). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries and expenses) FY 07 \$32,182,000; FY 08 est \$32,745,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

MB-40, "The Federal- State Market News Services;" MB-41, "Market News Service on Cotton;" MB-61, "The Market News Service on Fruits, Vegetables, Ornamental and Specialty Crops;" MB-44, "The Market News Service on Grain;" MB-63 "Market News Service on Dairy, MB-60 Market News Service and Poultry Products;" MB-43, "Market News Service for Tobacco and Naval Stores;" Annual Market Statistical Summaries are available for sale only through the AMS commodity divisions.

Regional or Local Office:

AMS field offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4276.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.250, Agricultural and Rural Economic Research; 10.950, Agricultural Statistics Reports; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library; 11.001, Census Bureau Data Products; 11.110,

Manufacturing and Services.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.155 MARKETING AGREEMENTS AND ORDERS

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601, 602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of 1981, 7 U.S.C. 2242a.

OBJECTIVES:

Marketing Agreements and Orders are designed to stabilize market conditions and improve returns to producers through the establishment of minimum prices, regulating the quality and quantity of commodities sold through commercial channels, and providing market promotion.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The provisions of orders and agreements are designed specifically to meet the marketing and economic problems of the commodity or area covered. For dairy products, the orders establish orderly marketing conditions in the sale of milk by dairy farmers to handlers, whereas fruit, vegetable, nut, and grass seed, are established to regulate the quantity and quality of products sold in commercial channels.

Applicant Eligibility:

Marketing orders are issued by the Secretary of Agriculture only after a public hearing where milk, fruit and vegetable producers, marketers, and consumers testify, and after farmers vote approval through a referendum. Growers of certain fruits, vegetables, and specialty crops (like nuts, raisins, olives, and hops). Dairy farmers are the primary applicants.

Beneficiary Eligibility:

The beneficiaries are producers of milk, fruit and vegetable products.

Credentials/Documentation:

Evidence (usually hearings) required indicating that agreement or order will assist in the orderly marketing of a commodity.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Made in the form of a letter to the Secretary of Agriculture fully documenting the need for an agreement or order.

Award Procedure:

Decision to establish or not to establish an agreement and order is made by the Secretary of Agriculture after public hearings and referendums are conducted.

Deadlines:

None.

Range of Approval/Disapproval Time:

Subject to time required to hold public hearings, investigations and to conduct a referendum. Approximately 1 year after start of public hearings.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Duration of agreement and order.

Reports:

Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order.

Audits:

Financial and program audits pertaining to marketing agreements and order transactions are made periodically.

Records:

Financial and statistical records relating to the operation of the agreement and order are to be maintained for 3 years.

Account Identification:

12-5209-0-2-605.

Obligations:

(Salaries and expenses) FY 07 \$15,493,000; FY 08 est \$16,798,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

(Marketing's conducted under agreements and orders) fiscal year 2006, estimate

\$24.9 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

AH-421, Compilation of Agricultural Marketing Agreement Act; PA947, Can a Marketing Order Help?; PA-1095, Marketing Agreements and Orders for Fruits and Vegetables; AMS-565, Sources of Milk for Federal Order Markets by State and County; AMS-559, Questions and Answers on Federal Milk Marketing Orders; MB-27, The Federal Milk Marketing Order Program; SB-625, Federal Milk Order Market Statistics, Annual Summary; SB-624, Dairy Market Statistics, Annual Summary; MB-63, Market News Service on Dairy Products; How to Buy Dairy Products, HG-201; How to Buy Cheese, HG-193; How to Buy Butter, HG-148; Dairy Products Grading Services, MB-69; Judging and Scoring Milk and Cheese, FB-2259.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4276.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.051, Commodity Loans and Loan Deficiency Payments; 10.500, Cooperative Extension Service; 36.001, Fair Competition Counseling and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.156 FEDERAL-STATE MARKETING IMPROVEMENT PROGRAM

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Marketing Act of 1946, Section 204b, 7 U.S.C. 1623(b).

OBJECTIVES:

To assist in exploring new market opportunities for U.S. food and agricultural products and to encourage research and innovation aimed at improving the efficiency and performance of the marketing system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Proposals may deal with barriers, challenges or opportunities manifesting at any stage of the marketing chain including direct, wholesale, and retail. Proposals may involve small, medium or large scale agricultural entities but should potentially benefit multiple producers or agribusinesses. Proprietary proposals that benefit one business or individual will not be considered. Proposals that address issues of importance at the State, regional or national level are appropriate for FSMIP. FSMIP also seeks unique proposals on a smaller scale that may serve as pilot projects or case studies useful as a model for others. Of particular interest are proposals that reflect a collaborative approach between the States, academia, the farm sector and other appropriate entities and stakeholders.

Applicant Eligibility:

State Departments of Agriculture, State Agricultural Experiment Stations and other appropriate State agencies.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must submit forms SF-424 and SF-424A, a narrative description of the proposal, and a supplemental budget. Supporting documents such as letters of support may be submitted.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment letter. Grant payments are made on a reimbursable basis.

Deadlines:

Mid-February.

Range of Approval/Disapproval Time:

4-5 months from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

Awards are generally for one year. Additional time to complete the project may be requested.

Formula and Matching Requirements:

States must at least match the request for grant funds. The match consists of cash or a combination of cash and in kind resources.

Length and Time Phasing of Assistance:

Payments are made to the States on an as needed, reimbursable basis over the grant period. Advance payments may be requested.

Reports:

Six month progress reports are required. A final financial status report and final project report are to be submitted to the Staff Officer no later than 90 days after the grant period ends. Field reviews are made by Federal-State Marketing Improvement Program personnel.

Audits:

In accordance with the provisions of 7 CFR Part 3052; Audits of States, Local Governments, and Nonprofit Organizations; which implement OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

States must keep all records relating to the grant for 3 years.

Account Identification:

12-2501-0-1-352.

Obligations:

(Grants) FY 07 \$1,334,000; FY 08 est \$3,209,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$21,000 to \$135,000. Average: \$51,385.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 75 proposals were submitted and it is estimated that 25 projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each fall via agency press release, Federal Register notice, a letter to state departments of agriculture, and the program web site. Additional information about the Federal-State Marketing Improvement Program as well as links to final project reports are available at the program web site.

Regional or Local Office:

None.

Headquarters Office:

Staff Officer, Federal-State Marketing Improvement Program, Agricultural Marketing Service, Department of Agriculture, Room 4009-S, 1400 Independence Avenue S.W., Washington, DC 20250. Telephone: (202) 720-2704 Contact: Janise Zygmont.

Web Site Address:

<http://www.ams.usda.gov/tmd/fsmip.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A wide spectrum of marketing projects relating to food and agricultural products: new markets, direct marketing, evaluation of consumer preferences, export opportunities, economic and market analysis, transportation, distribution, labeling, and producer education to improve business and marketing skills.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors: relative need or importance of the problem to be addressed; benefits likely to be derived in relation to the funds requested; level of state and other nonfederal support; potential impact on other states or issues of national importance; measures to be used in evaluating the impact of the project; and unique innovative features of the project.

10.162 INSPECTION GRADING AND STANDARDIZATION

(Agricultural Fair Practices Act)

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.

OBJECTIVES:

To develop and apply standards of quality and condition for agricultural commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

Applicant Eligibility:

Any owner of or dealer in agricultural commodities who (a) has a financial interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility:

Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure:

Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

None.

Account Identification:

12-2500-0-1-352; 12-8015-0-7-352.

Obligations:

FY 07 \$192,129,000; FY 08 est \$198,132,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Number of bales of cotton classed in (thousands) fiscal year 2004, 18,306; fiscal year 2005, estimate 20,193; and fiscal year 2006 estimate, 17,726. Number of tobacco auction markets inspected fiscal year 2004, 100; fiscal year 2005, estimate 80; and fiscal year 2006, estimate 0. Pounds of imported and domestic tobacco inspected in (millions) fiscal year 2004, 1,246; fiscal year 2005, estimate 466; and fiscal year 2006, estimate 60. Pounds of poultry products graded (in millions) fiscal year 2004, 12,623; fiscal year 2005, 12,886; and fiscal year 2006, 13,102. Pounds of meat graded or accepted (in millions) fiscal year 2004, 39,745; fiscal year 2005, estimate 40,000; and fiscal year 2006, estimate 40,500. Shell eggs grading (mil. dz.) fiscal year 2004, 2,620; fiscal year 2005, estimate 2,690; and fiscal year 2006, estimate 2,750. Processed fruit and vegetable grading (mil. lbs.) fiscal year 2004, 20,131; fiscal year 2005, estimate 20,250; and fiscal year 2006, estimate 20,500. Fresh fruit and vegetable grading (mil. lbs.) fiscal year 2004, 76,000; fiscal year 2005, estimate 72,200; and fiscal year 2006, estimate 70,000. Livestock grading (mil. lbs.) fiscal year 2004, 40; fiscal year 2005, estimate 40; and fiscal year 2006, estimate 40. Dairy products

grading (mil. lbs.) fiscal year 2004, 1,600; fiscal year 2005, estimate 1,560; and fiscal year 2006, estimate 1,570.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 26-32, 41-70, 160-171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc. Pub. No. 867, "Tobacco in the U.S.;" quarterly report-- "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and October 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

Regional or Local Office:

Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4276. Contact: Dr. Ken Clayton.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.500, Cooperative Extension Service.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.163 MARKET PROTECTION AND PROMOTION

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Egg Research and Consumer Information Act, 7 U.S.C. 2701-2718; Export Apple and Pear Act, 7 U.S.C. 581-590; Export Grape and Plum Act, 7 U.S.C. 591-599; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Plant Variety Protection Act, 7 U.S.C. 2321-2331, 2351-2357, 2371-2372, 2401-2404, 2421-2427, 2441-2443, 2461-2463, 2481-2486, 2501-2504, 2531-2532, 2541-2545, 2561-2569, 2581-2583; 7 U.S.C. 4301-4319; Cotton Research and Promotion Act, 7 U.S.C. 2101-2118; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 5111; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C. 291-292; Potato Research and Promotion Act, 7 U.S.C. 2611-2627; Beef Promotion and Research Act of 1985, 7 U.S.C. 2901-2911; Pork Promotion, Research, and Consumer Information Act of 1985, 7 U.S.C. 1612-1631; Dairy Research and Promotion Act, 7 U.S.C. 4501-4513, 4532; Watermelon Research and Promotion Act, 7 U.S.C. 4901-4916; Honey Research Promotion and Consumer Information Act, 7 U.S.C. 4601-4612; Soybean Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6301-6311; Mushroom Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6101-6112; Fluid Milk Promotion Act of 1990, 7 U.S.C. 6401-6417; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522; Fresh Cut Flowers and Fresh Cut Greens Promotion and Information Act of 1993, 7 U.S.C. 6801-6814; Popcorn Promotion Research, and Consumer Information Act, 7 U.S.C. 7481-7491; National Kiwifruit Research, Promotion, and Consumer Information Act, 7 U.S.C. 7461-7473.

OBJECTIVES:

The Federal Seed Program prevents mislabeled seed from moving in interstate commerce by providing for random sampling of seeds to insure accurate labeling of seed characteristics. The Plant Variety Protection Program offers legal protection to developers of new varieties of plants which reproduce by providing the equivalent of a patent to the plant's developer. The Research and Promotion Programs provide for the collection of an assessment for each commodity covered by statutes to enable the commodity industries to carry out research and promotion activities. It is the responsibility of the Agricultural Marketing Service to assure responsible use of these assessments in meeting the research and promotion objective. The Dairy and Tobacco Adjustment Act authorized the establishment of a National dairy promotion research and nutrition education program. To eliminate deceptive, unfair and fraudulent trade practices in the processing and marketing of food and agricultural products; provide assistance to those striving to achieve this objective. The Pesticide Data Program works with participating States to collect and analyze data on pesticide use and residue levels for selected commodities in the nation's food supply. This program provides statistically based data to Federal agencies for use in making policy decisions for regulatory and educational purposes.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Assistance provided to assure fair and open marketing distribution system for agricultural products.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, and universities may be eligible for cooperative agreements.

Beneficiary Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

Credentials/Documentation:

As provided for in applicable Acts as referred to in the Authorization Section above.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Procedures vary as provided for in applicable Acts. Contact appropriate area offices as listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

As provided for in applicable Acts.

Audits:

As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-2500-0-1-352.

Obligations:

(Provision of Specialized Services) FY 07 \$28,053,000; FY 08 est \$29,456,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Seed samples tested: Fiscal year 2004, 1,855; fiscal year 2005, estimate 2,000; and fiscal year 2006, estimate 2,000. Plant variety certificates of protection issued: Fiscal year 2004, 421; fiscal year 2005, estimate 325; and fiscal year 2006, estimate 325. Pesticide data samples collected: Fiscal year 2004, 12,573; fiscal year 2005, estimate 11,000; and fiscal year 2006, estimate 11,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

PA-655 "Export Fruit Acts-Questions and Answers," AMS-592, Facts About Naming and Labeling Varieties of Seed," "The Plant Variety Protection Act," requirements under the Federal Seed Act for Labeling treated Seed," 7 CFR 33-35, 101- 151,201-202; PA-1005, "Farmers Rights Under the Agricultural Fair Practices Act."

Regional or Local Office:

Regional and local offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Agricultural Marketing Service.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.155, Marketing Agreements and Orders; 36.001, Fair Competition Counseling and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.164 WHOLESALE FARMERS AND ALTERNATIVE MARKET DEVELOPMENT

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Marketing Act of 1946.

OBJECTIVES:

To increase overall food marketing efficiency, thereby lowering the cost of marketing food through technical assistance to States and municipalities interested in creating or upgrading markets and marketing facilities.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Assistance provided in cooperation with other government agencies and private industry to reduce marketing costs.

Applicant Eligibility:

Other government agencies and private industry. State, trade associations, universities, and other nonprofit organizations are eligible to apply for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, or OMB Circular No. A-21 for Educational Institutions.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs". An applicant should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Contact Vacant, Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

As provided for in the Act.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

As provided for in the Act.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

As provided for in the Agreement.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

As provided for in the Agreement.

Account Identification:

12-2500-0-1-352.

Obligations:

(Advisory Services and Counseling) FY 07 \$3,721,000; FY 08 est \$3,781,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Vacant, Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-1305. Contact: Vacant.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Study on the design of central refrigeration systems in modern food centers; study of wholesale and farmers' market use for distributing produce.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.165 PERISHABLE AGRICULTURAL COMMODITIES ACT

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICES, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Perishable Agricultural Commodities Act of 1930, as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.

OBJECTIVES:

To suppress unfair and fraudulent practices in the marketing of perishable agricultural commodities in interstate and foreign commerce. To prevent the destruction or dumping, without good and sufficient cause of farm produce received in interstate commerce by commission merchants and others and to require them truly and correctly to account for all farm produce received by them.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Assistance provided to assure a fair and open marketing distribution system for agricultural products. No specific restrictions.

Applicant Eligibility:

Business and industry or individuals may apply for a PACA license.

Beneficiary Eligibility:

Business and industry or individuals may apply for a PACA license.

Credentials/Documentation:

As provided for in the Perishable Agricultural Commodities Act, 1930 as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact appropriate area offices listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

As provided for in applicable Acts.

Audits:

As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-5070-0-2-352.

Obligations:

(Investigation of Complaints) FY 07 \$10,557,000; FY 08 est \$10,052,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Perishable Agricultural Commodities Act of 1930, and Regulations (other than rules of practice).

Regional or Local Office:

Regional and local offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Agricultural Marketing Service.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

36.001, Fair Competition Counseling and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.167 TRANSPORTATION SERVICES

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Adjustment Act of 1938, 7 U.S.C. 1281 et seq.; Agricultural Marketing Act of 1946, 7 U.S.C. 1621 et seq.; Agricultural Trade and Assistance Act of 1954, 7 U.S.C. 1427, 1431, 1691, et seq.; Rural Development Act of 1972, 7 U.S.C. 2662, 2663; International Carriage of Perishable Foodstuff Act of 1982; Cooperative Marketing Act of 1926, 7 U.S.C. 291, 292.

OBJECTIVES:

The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Assistance provided where it is determined that there is a significant regional impact at stake or a significant policy at issue with potentially broad implications.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, universities, and nonprofit organizations may be eligible for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, and general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No formal application procedure specified; informal correspondence or personal contact is permissible. Contact Vacant, Director, Transportation and Marketing Division, Agricultural Marketing Service, USDA.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Advisory Services and Counseling) FY 07 \$2,719,000; FY 08 est \$2,783,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 12 research projects, 12 publications, and 25 workshops/conferences, will be completed in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

(Vacant), Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA, Washington, DC 20250. Telephone: (202) 690-1305.

Web Site Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.168 FARMERS' MARKET PROMOTION PROGRAM

(Farmers' Market Promotion Program)

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10605 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

To increase domestic consumption of agricultural commodities by improving and expanding, or assisting in the improvement and expansion of, domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities; and develop, or aid in the development of, new farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer infrastructures.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects must improve and expand, or assist in the improvement and expansion of, domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities through innovative approaches to market operations and management, improving access to relevant marketing and financial information, and consumer-based education and market access. Eligible entities must apply for FMPP funds on behalf of direct marketing operations that include two or more farmers/farm vendors who produce and sell their own products through a common distribution channel. Use of funds are based on documented needs, excluding, however, routine operational expenses such as management salaries or other salaries associated with normal operation of farm markets/marketing entities, utility bills, and insurance premiums. FMPP grant funds cannot be used to pay for acquisition, repair, rehabilitation, or construction of a building or facility; acquisition of land; political or lobbying activities; or any activities prohibited by 7 CFR parts 3015 and 3019. Additional detail and justification must be provided for any purchase that is expected to exceed \$5,000.

Applicant Eligibility:

Agricultural cooperatives, local governments, nonprofit corporations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects must be located within the 50 States or the District of Columbia.

Beneficiary Eligibility:

Direct marketing operations that include two or more farm vendors who produce and sell their own products through a common marketing channel, and consumers.

Credentials/Documentation:

Applicants must provide documentation as to how they meet the definition of an eligible entity.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must submit forms SF-424, SF-424A, and SF-424B, an eligibility statement, a narrative description of the proposal including project title, executive summary, goals, background, workplan and resource requirements, and expected results, a supplemental budget summary, and any supporting documents such as letters of support.

Award Procedure:

Applications are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment letter. Grant payments are made on a reimbursable basis.

Deadlines:

Contact the Farmers Market Promotion Program contact for application deadlines.

Range of Approval/Disapproval Time:

120 to 180 days from the application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects are not to exceed 18 months. Grant payments are made on an as-needed basis over the grant period. Advance payments may be requested.

Reports:

Progress reports are required at the midpoint of projects approved for one year and at 6-month intervals for projects of longer duration. A final report of results and accomplishments and form SF 269A must be submitted no later than 90 days after the grant ends.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Grantees and sub-grantees must keep all records relating to the grant for 3 years from the date the SF-269A is submitted to the Agricultural Marketing Service.

Account Identification:

12-2501-0-1-352.

Obligations:

FY 07 \$1,000,000; FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

The maximum grant is \$75,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for the Farmer Market Promotion Program are posted at <http://www.ams.usda.gov/tmd/MSB/index.htm> or may be obtained from the Farmers Market Promotion Program contact.

Regional or Local Office:

None.

Headquarters Office:

Farmers Market Promotion Program, Marketing Services Branch, Transportation and Marketing Programs, Agricultural Marketing Service, USDA, Room 2646-South, STOP 0269, 1400 Independence Avenue, S.W., Washington, DC 20250-0269. Telephone: (202) 720-8317. Contact: Errol Bragg.

Web Site Address:

<http://www.ams.usda.gov/tmd/MSB/index.ht>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the following criteria: need for the project, direct benefit to farmers/vendors, project innovation, degree of collaboration/partnerships and farmer/producer participation, quantitative evaluation and measurement of the project's impact, long-term project sustainability, transferability of project outcomes, and reasonableness of the budget.

10.169 SPECIALTY CROP BLOCK GRANT PROGRAM

(SPECIALTY CROP BLOCK GRANT PROGRAM)

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Specialty Crops Competitiveness Act of 2004, Section 101, 7 U.S.C. 1621 note.

OBJECTIVES:

To increase fruit, vegetable, and nut consumption and improve the competitiveness of United States specialty crop producers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects must enhance the competitiveness of specialty crops.

Applicant Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State.

Beneficiary Eligibility:

Producers, processors, marketing agencies, universities, and general public.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application.

Preapplication Coordination:

This program is not subject to the provisions of Executive Order 12372, which requires intergovernmental consultation with State and local officials. See the Notice related to 7 CFR Part 3015, Subpart V published at 48 FR 29115 (June

24, 1983).

Application Procedure:

The State department of agriculture submits SF-424 and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized to enhance the competitiveness of specialty crops.

Award Procedure:

Applications are reviewed by the Agricultural Marketing Service. Grantees are notified of the amount awarded by allotment letter. Grant payments are made by the electronic transfer system.

Deadlines:

The Agricultural Marketing Service annually publishes application dates and deadlines in the Federal Register.

Range of Approval/Disapproval Time:

90 to 180 days from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Each State that applies is to receive \$100,000. In addition, each State will receive an amount that represents the proportion of the value of specialty crop production in the state in relation to the national value of specialty crop production using the latest available complete specialty crop production data set in all states whose applications are accepted.

Length and Time Phasing of Assistance:

Funds are advanced in one lump sum. Applicants generally have 3 years to use the funds, but extensions may be granted.

Reports:

Annual performance reports are required. A final performance report and financial report are required within 90 days after the expiration date of the grant period.

Audits:

The State is required to conduct an audit of the expenditures of specialty crop block grant funds in accordance with Government Auditing Standards not later than 60 days after expiration of the grant period. Not later than 30 days after completion of the audit, the State shall submit a copy of the audit results with an executive summary to the Agricultural Marketing Service.

Records:

The State shall retain financial and other records relating to the funds and these programs for a period of three years after expiration of the grant period or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

FY 07 \$6,930,000; FY 08 \$8,440,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

New program, not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Project Manager, Specialty Crop Block Grant Program, Agricultural Marketing Service, Department of Agriculture, Room 2086-S, 1400 Independence Avenue S.W., Washington, DC 20250. Telephone: (202) 690-4942, Fax: (202) 690-0102.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated based on the potential for enhancing the competitiveness of specialty crops.

10.170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Specialty Crops Competitiveness Act of 2004, Section 101, 7 U.S.C. 1621 note; Food, Conservation, and Energy Act of 2008 (Public Law 110-246).

OBJECTIVES:

To increase fruit, vegetable, and nut consumption and improve the

competitiveness of United States specialty crop producers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects must enhance the competitiveness of specialty crops. Funds under this program may be used to support horticulture, including turfgrass sod and herbal crops; horticulture is not eligible under 10.169. Use of funds will be monitored to ensure that funds are promoting specialty crops as defined under the Specialty Crop Competitiveness Act of 2004.

Applicant Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State. Guam, American Samoa, U.S. Virgin Islands, and Northern Mariana Islands are eligible to receive grants under this program; they are not eligible under 10.169.

Beneficiary Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application.

Preapplication Coordination:

This program is not subject to the provisions of Executive Order 12372, which requires intergovernmental consultation with State and local officials. See the Notice related to 7 CFR Part 3015, Subpart V published at 48 FR 29115 (June 24, 1983).

Application Procedure:

The State department of agriculture submits SF-424 and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized to enhance the competitiveness of specialty crops. In developing their plans, States must take into consideration the views of beginners and socially disadvantaged farmers and ranchers, and take steps to perform outreach to these groups. State plans should be developed through a competitive process in order to ensure maximum public input and benefit. The States should develop a transparent process of receiving and considering public comment. States are encouraged to submit grant plans that include multi-State and regional project proposals.

Award Procedure:

Applications are reviewed by the Agricultural Marketing Service. Grantees are notified of the amount awarded by allotment letter. Grant payments are made by the electronic transfer system.

Deadlines:

The Agricultural Marketing Service annually publishes application dates and deadlines in the Federal Register.

Range of Approval/Disapproval Time:

90 to 180 days from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Each State that applies is to receive \$100,000 or 1/3 of 1 percent, whichever is equal to or higher of the total amount of funding made available in each fiscal year. In addition, each State will receive an amount that represents the proportion of the value of specialty crop production in the state in relation to the national value of specialty crop production using the latest available complete specialty crop production data set in all states whose applications are accepted. These requirements differ from the formula used for 10.169.

Length and Time Phasing of Assistance:

Funds are advanced in one lump sum. Applicants have up to 3 years to use the funds.

Reports:

Annual performance reports are required. A final performance report and financial report are required within 90 days after the expiration date of the grant period.

Audits:

The State is required to conduct an audit of the expenditures of specialty crop block grant funds. Not later than 30 days after completion of the audit, the State shall submit a copy of the audit results with an executive summary to the Agricultural Marketing Service.

Records:

The State shall retain financial and other records relating to the funds and these programs for a period of three years after expiration of the grant period or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Grants) FY 07 \$0; FY 08 \$10,000,000; and FY 09 est \$49,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Project Manager, Specialty Crop Block Grant Program, Agricultural Marketing Service, Department of Agriculture, Room 2086-S, 1400 Independence Avenue S.W., Washington, DC 20250. Telephone: (202) 690-4942, Fax: (202) 690-0102.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated based on the potential for enhancing the competitiveness of specialty crops.

**10.200 GRANTS FOR AGRICULTURAL RESEARCH,
SPECIAL RESEARCH GRANTS**

(Special Research Grants)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 2(c), Public Law 89-106, 7 U.S.C. 450i(c), as amended.

OBJECTIVES:

To carry out research, to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the nation and to facilitate or expand on-going State-Federal food and agricultural research programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Areas of basic and applied research and extension and education are generally limited to high priority problems of a regional or national scope. Areas currently awarded on a competitive basis include Pest Management Alternatives and Citrus Tristeza Research.

Applicant Eligibility:

Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

For Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposals are solicited and should be submitted to the Proposal Services Unit, Competitive Programs, CSREES, USDA, as outlined in the guidelines and/or requests for proposals. Application procedures are contained in the guidelines or request for applications. This program is subject to the provisions of OMB Circular No. A-110, Special Research Grants Program Administrative Provisions.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadlines dates are announced on Agency's Web site and in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From four to six months.

Appeals:

None.

Renewals:

Requests for renewal support must be submitted as new proposals at the announced deadline, and are considered in equal competition with other proposals.

Formula and Matching Requirements:

As announced in the request for applications.

Length and Time Phasing of Assistance:

From 1 to 3 years.

Reports:

Quarterly financial reports. Annual progress reports. Final financial and final summary progress reports.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

FY 07 \$13,682,210; FY 08 est \$16,954,704; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$56,664 to \$9,549,325. Average: \$46,183.

PROGRAM ACCOMPLISHMENTS:

Example of accomplishments: To address some problems of the small fruit industry, one State conducted research on a variety of small fruit crops as outlined by the industry on breeding and genetics, plant viruses and diseases, integrated pest management, processing, plant hardiness, plant physiological factors, and marketing.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pages 50994-50996 and 50998-50999, Federal Register, Vol. 54, No. 236, Part IX, December 11, 1989; 7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019 (Uniform Federal Assistance Regulations for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations); 7 CFR 3400, Special Research Grants Administrative Provisions, Federal Register, November 15, 1991, Vol 56, No. 221, pages 58146-58152.

Regional or Local Office:

None

Headquarters Office:

Competitive Programs, Proposal Services Unit, Stop 2245, 1400 Independence Ave., SW., Washington, DC 20250-2245 Telephone: (202) 401-5048.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.215, Sustainable Agriculture Research and Education; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Northwest Center for Small Fruits Research Program; Arid Rangelands; Economic Impacts of Agricultural and Macroeconomic Policy on the U.S. Livestock; Cataloging Genes Associated with Drought or Disease Resistances in Crop Plants.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program; overall scientific and technological quality of proposal; research competence of the principal investigator(s), research team and support personnel; adequacy of facilities, equipment, and related program support; justification of support requested in relation to objectives and procedures; feasibility of attaining objectives during life of proposed research; awareness of published literature and current research related to proposed research; relevance of importance of proposed research to solution of specific areas of inquiry; and estimate of expected benefits.

10.202 COOPERATIVE FORESTRY RESEARCH

(McIntire-Stennis Act)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Cooperative Forestry Research Act of 1962, Public Law 87-788, 16 U.S.C. 582a-7; Education Amendments of 1972, Section 506, Public Law 92-318; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301 note; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127.

OBJECTIVES:

To encourage and assist the States in carrying on a program of State forestry research at State forestry schools, and to develop a trained pool of forest scientists capable of conducting needed forestry research.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Forestry research at certified State institutions in the nine categories which follow: (1) Reforestation and management of land for the production of crops of timber and other related products of the forest; (2) management of forest and related watershed lands to improve conditions of water flow and to protect resources against flood and erosion; (3) management of forest and related rangeland for production of forage and domestic livestock and game and improvement of food and habitat for wildlife; (4) management of forest lands for outdoor recreation; (5) protection of forest lands for outdoor recreation; (6) protection of forest resources against fire, insects, diseases, or other destructive agents; (7) utilization of wood and other forest products; (8) development of sound policies for the management of forest lands and the harvesting and marketing of forest products; and (9) such other studies as may be necessary to obtain the fullest and most effective use of forest resources.

Applicant Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State- certified forestry schools and other groups concerned with forestry research. This program is also available to Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana Islands, and Micronesia.

Beneficiary Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State- certified forestry schools and other groups concerned with forestry research. This program is also available in Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana, and Micronesia.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

No application required for the release of funds but funds can be expended only on projects approved by CSREES. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

To receive an annual allotment of funds, each eligible institution must first submit its annual research program to CSREES for approval. All projects on which it is proposed to expend research funds during the fiscal year must first be approved by CSREES.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Act provides that the apportionment among States shall be determined by the Secretary after consultation with the Cooperative Forestry Research Advisory Council. The statistical factors used for allocation of fund are: (1) Area of nonfederal commercial forest land (40 percent) (and the source is "An Analysis of the Timber Situation in the U.S.," 1952-2030, FS/USDA), (2) volume of timber cut annually from growing stock (40 percent) (and the source is "An Analysis of the Timber Situation in the U.S.," 1952-2030, FS/USDA); and (3) nonfederal funds expended for forestry research (20 percent) (and the source is "Current Research Information System"). The Act limits the Federal payments to amounts made available and budgeted from nonfederal sources for expenditure for forestry research.

Length and Time Phasing of Assistance:

One year subsequent to year of appropriation up to 5 percent of unobligated balance September 30 carried over for obligation in subsequent year. Funds released as needed to finance current operations.

Reports:

Fiscal year annual plan of work due August 15 of each year for the fiscal year starting in October; Annual program financial report due December 1; Annual progress report due February 15; Certification of Offset and Certification of Entitlement due prior to October 1; and Past Year Obligations by Project due December 15.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, 'Audits of States, Local Governments, and Non-Profit Organizations.'

Records:

Maintained by project. Retain payment documents three years.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$28,356,226; FY 08 est \$19,375,580; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$30,281 to \$694,074. Average: \$322,889.

PROGRAM ACCOMPLISHMENTS:

Researchers in one State have completed a project studying the plant growth and environmental consequences of different "manufactured soil" materials developed from paper mill sludge and residuals. They have developed a research plan for paired watershed studies and focused on intensive quantitative soil sampling. This research provided information that helps determine the risk of air pollution, climate change, land application of residuals, or harvesting on forest health and associated freshwater quality.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the McIntire-Stennis Cooperative Forestry Research Program, January, 1982; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); and 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Natural Resources and Environment, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4318.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.203 PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT

(Hatch Act)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

OBJECTIVES:

To support agricultural research at State Agricultural Experiment Stations. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life. Up to 25 percent of funds to be used for integrated cooperative research and extension activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Money appropriated pursuant to this Act shall also be available, in addition to meeting expenses for research and investigations conducted under authority of Section 2, for printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. The State Agricultural Experiment Stations are authorized to plan and conduct any research authorized under Section 2 of this Act in cooperation with each other and such other agencies and individuals as may contribute to the solution of the agricultural problems involved, and moneys appropriated pursuant to this Act shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research. Up to 25 percent of funds to be used for integrated cooperative research and extension activities.

Applicant Eligibility:

Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Beneficiary Eligibility:

Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

State 5-year plans of work must be submitted to and approved by CSREES before funds are released. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019.

Award Procedure:

Awards are made to States according to statute.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Formula: Payments to States for fiscal year 1955 was a fixed base and sums appropriated in excess of 1955 level use the following fund allocation statistical factors: (1) 20 percent allotted equally to each State; (2) not less than 52 percent to States as follows: One-half in an amount which bears the same ratio as the rural population of the State bears to the total rural population of all the States (and the source is the 1990 Decennial Census); and one-half in an amount which bears the same ratio as the farm population of the State bears to the total farm population of all the States (and the source is the 1990 Decennial Census); and (3) at least 25 percent to States for cooperative research in which 2 or more State agricultural experiment stations are cooperating to solve problems of agriculture in more than one State. The statistical factor used for eligibility does not apply to this program. Matching requirement: Federal funds must be matched with nonfederal funding on a dollar-for-dollar basis. In the case of Guam, the Virgin Islands, Micronesia, Northern Mariana Islands, and American Samoa, Federal funds are subject to not less than a 45 percent match with nonfederal funds in fiscal year 2001; and not less than a 50 percent match with nonfederal funds in fiscal year 2002 and each fiscal year thereafter.

Length and Time Phasing of Assistance:

Fiscal year appropriated. Unobligated balance September 30 deducted from subsequent year allocation. Funds are released quarterly under the electronic transfer system.

Reports:

Due dates and plans of work and reporting requirements will be provided to eligible institutions by the agency.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Maintained by project. Retain payment documents 3 years.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$304,477,264; FY 08 est \$154,804,258; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$611,608 to \$5,831,557. Average: \$2,796,141.

PROGRAM ACCOMPLISHMENTS:

Examples of accomplishments: (1) Researchers developed a computerized system that uses infrared video to assess spray coverage of crop protection products on the complex surface of a vertical grain head; (2) Researchers conducted a scientific review of available information and concluded that dietary fat recommendations for adults have been inappropriately applied to children. The researchers suggested that dietary fat should not be restricted until 5 years of age and reduced gradually down to 30 percent throughout childhood and teen years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Hatch (Experiment Station) Act as amended, Agricultural Handbook No. 381 revised November 1980; Manual for Cooperative Regional Research, revised 1986; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Partnerships, Cooperative State Research, Education and Extension Service, Department of Agriculture, Washington, DC 20250-2201. Telephone: (202) 720-5623.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.205 PAYMENTS TO 1890 LAND-GRANT COLLEGES AND TUSKEGEE UNIVERSITY

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Section 1445, Public Law 95- 113; Public Law 95-547; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

OBJECTIVES:

To support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417-419, as amended; 7 U.S.C. 321-326 and 328), including Tuskegee University. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Moneys appropriated pursuant to Public Law 95-113, Section 1445, shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 39-40, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The eligible institutions are authorized to plan and conduct agricultural research in cooperation with each other and such agencies, institutions, and individuals as may contribute to the solution of agricultural problems, and moneys appropriated pursuant to Section 1445 shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research.

Applicant Eligibility:

Sixteen 1890 Land-Grant Colleges and Tuskegee University as specified in the Act. Funds are appropriated by Congress for distribution to eligible institutions in accordance with the statutory formula as stated in the Act. This program is available in the States of Alabama, Arkansas, Delaware, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, Missouri, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia, and West Virginia.

Beneficiary Eligibility:

Sixteen 1890 Land-Grant Colleges and Tuskegee University as specified in the Act. Funds are appropriated by Congress for distribution to eligible institutions in accordance with the statutory formula as stated in the Act. This program is available in the States of Alabama, Arkansas, Delaware, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, Missouri, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia, and West Virginia.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

No application necessary for the release of funds, but funds must be accounted for by projects previously approved by CSREES. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019.

Award Procedure:

To receive an annual allotment of funds, each eligible institution or State must first submit a plan of work to CSREES for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Fiscal year appropriated. Unobligated balance in excess of five percent on September 30 deducted from subsequent year allocation. Funds released quarterly under the electronic transfer system.

Reports:

Eligible recipients will be notified by CSREES of any changes in plan of work and reporting due dates or other requirements.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Maintained by project. Retain payment documents three years.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$38,366,135; FY 08 est \$36,251,543; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$518,078 to \$1,539,502. Average: \$1,571,245.

PROGRAM ACCOMPLISHMENTS:

This program supports continuing agricultural research at the 1890 Colleges and Tuskegee University. This program also supports development of agricultural expertise by providing training opportunities for students to assist in the research projects being conducted by scientists at these institutions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for Evans-Allen Cooperative Agricultural Research, May 1982; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other nonprofit organizations.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader, Multicultural Alliances, Cooperative State Research, Education, and Extension Service, Department of Agriculture; Stop 2251; 1400 Independence Avenue, SW; Washington, DC 20250-2251; Telephone: (202)720-3511.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for

Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.206 GRANTS FOR AGRICULTURAL RESEARCH-COMPETITIVE RESEARCH GRANTS

(NATIONAL RESEARCH INITIATIVE COMPETITIVE GRANTS PROGRAM)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 2(b), Public Law 89-106, 7 U.S.C. 450i(b), as amended; Section 1414, Public Law 95-113; Section 1415, Public Law 97-98; Section 1409, Public Law 99-198; Section 1615, Public Law 101- 624; Public Law 104-127; Public Law 105-185; Public Law 108-7.

OBJECTIVES:

To support research, education and extension grants that address key problems of national, regional and multistate importance in sustaining all components of agriculture (farming, ranching, forestry, aquaculture, rural communities, human nutrition and obesity, food and fiber processing, etc.) Providing this support to US agriculture requires that the NRI advance fundamental. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. All grants are awarded on a competitive basis.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The selected areas for research, education and extension grants are broad and change as the needs of US agriculture change. Applicants should check the request for applications for changes. Priorities are identified for: agricultural security; plant science and plant pathology; animal science; entomology and nematology; natural resources and environment; nutrition/obesity; food quality and health; markets and trade; rural development; new products and processes; and nanotechnology. Grant funds may be used for costs necessary to conduct research, education and extension (salaries and wages, scientific equipment, materials and supplies, travel, publication costs, and other allowable direct and indirect costs). Applicants should check the annual request for applications for limitation on indirect costs. The primary responsibility for general supervision of all grant activities rests with the grantee organization; the project director is responsible for the work defined in the grant. Funds may not be used for purposes other than those specified in the grant.

Applicant Eligibility:

For research grants applicant eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations will not be considered for support.

Beneficiary Eligibility:

For research grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations will not be considered for support.

Credentials/Documentation:

Each prospective grantee organization must furnish the organizational information and assurances specified in the guidelines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposal to National Research Initiative Competitive Grants Program, USDA/CSREES as outlined in the guidelines. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019.

Award Procedure:

National Research Initiative Competitive Grants Program staff members review and evaluate all proposals with the assistance and advice of a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are accepted in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadlines are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Proposals for renewal, should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Normally, competitive research projects will be supported for periods of up to four years.

Reports:

Annual progress reports due within 90 days after anniversary of grant effective date and final technical report due 90 days after expiration of grant. Federal cash transaction reports and financial status reports as in Attachment G of OMB Circular A-110.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during life of the grant and for 3 years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$175,142,784; FY 08 est \$240,084,000; and FY 09 est not reported. Note: Grants are competitively awarded.

Range and Average of Financial Assistance:

\$4,000 to \$5,000,000. Average: \$183,607.

PROGRAM ACCOMPLISHMENTS:

Significant accomplishments include: (1) Genomics - Support for international efforts to complete a draft sequence of the rice and bovine genomes. The rice sequence will serve as a model system that is now greatly accelerating the understanding of all cereals. (2) Biofuels - research has resulted in the development of more efficient bioprocessing of agricultural wastes into ethanol, making the process much more economically viable. (3) Pests and Diseases - Fundamental research has led to the development of a preventative treatment for screw worm, a devastating pest of livestock. It is estimated that this development will save US agriculture over \$1 billion annually by preventing losses in production and overcoming international trade barriers related to this pest. (For more information see the program web site www.reeusda.gov/nri).

REGULATIONS, GUIDELINES, AND LITERATURE:

Pages 61290-61306 Federal Register, Vol. 56, No. 231, Part II, December 2, 1991; 7 CFR 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying. National Competitive Research Initiative Grants Program Administrative Provisions, Federal Register, Vol. 56, No. 220, Pages 57950-57958, November 14, 1991; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Chief Scientist, National Research Initiative Competitive Grants Program, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Ag Box 2241, 14th and Independence Avenue, SW., Washington, DC 20250-2241. Telephone: (202) 401-5022.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry

Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Influence of the Amounts of Dietary Fat on the Absorbance of Plant Carotenes, Integrating Ecology and Economics for Managed Forest Landscapes, Smart Combination of Heating Modes for Improved Quality, Speed and Safety of Food Processing, RNA Dependent RNA Polymerases in Plant Antiviral Defense and Gene Silencing, Lymphohpineal Interactions in the Chicken. Abstracts of all funded projects are available on the program web site www.reeusda.gov/nri.

CRITERIA FOR SELECTING PROPOSALS:

1. The scientific merit of the application for research, education and/or extension, including: (a) novelty innovation and originality, (b) where model systems are used the ability to transfer knowledge gained to organisms of importance to US agriculture, (c) conceptual adequacy, (d) clarity and delineation of objectives, (e) adequacy and suitability of the methodology (f) demonstration of feasibility through preliminary data (g) Probability of success. 2. Qualifications of the proposed project personnel and adequacy of the facilities 3. Planning and administration of the project 4. Relevance of the proposal to improvements in, and sustainability of, US agriculture as specified in the request for applications.

10.207 ANIMAL HEALTH AND DISEASE RESEARCH**FEDERAL AGENCY:**

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1433, Public Law 95-113, as amended; Section 1429, Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

OBJECTIVES:

To support animal health and disease research at eligible Schools and Colleges of Veterinary Medicine, and State Agricultural Experiment Stations whose purpose is to improve the health and productivity of food animals and horses through effective prevention, control or treatment of disease, reduction of losses from transportation and other hazards, and protect human health through control of animal diseases transmissible to people.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Research on animal health and disease of food animals and horses conducted in eligible institutions under the following categories: (1) Infectious diseases; (2) internal and external parasites; (3) noninfectious diseases, toxins, poisons, transportation losses, predators and other hazards; (4) diseases and parasites of wildlife transmissible to food animals and horses; and (5) diseases and parasites of animals transmissible to people.

Applicant Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Beneficiary Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Credentials/Documentation:

The current capacity in food animal health and disease research must be documented annually by eligible institutions. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All eligible institutions must file a current, certified list of animal health and disease research capacity prior to the fiscal year for which funds are appropriated. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

No application is necessary, but payment of funds is contingent on the approval of an annual plan for programs to be conducted. Funds can be expended only on projects approved by CSREES. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019.

Award Procedure:

The release of funds to eligible institutions is contingent upon the filing of an approved Program of Research and the certification that required nonfederal funds are available to meet matching requirements. Funds can be expended only

by the recipient institution and only on research having had prior approval by The Cooperative State Research, Education, and Extension Service (CSREES).

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The Act provides that the Secretary in consultation with the Animal Health Science Research Advisory Board shall distribute 48 percent of the funds to the States on the basis of livestock value and income as determined by the Department of Agriculture data. Forty-eight percent of the funds shall be distributed on the basis of animal health and disease research capacity of eligible institutions. Distribution of a State's allocation among its eligible institutions is made on the basis of the animal health research capacity of these institutions. Animal health research capacity is measured by the annual expenditures (excluding Section 1433 funds) and scientist year equivalents in animal health and disease research in eligible institutions. The Act limits the annual Federal payment a State may receive in excess of \$100,000 to that amount made available and budgeted from nonfederal sources for expenditures on animal health and disease research.

Length and Time Phasing of Assistance:

Funds must be expended or obligated in the year for which the appropriation was made. Unliquidated obligations must be paid in the fiscal year following the year of appropriation. Funds are released quarterly as needed to finance current operations.

Reports:

Fiscal year annual plan of work due August 15 of each year for the fiscal year starting in October. Annual program financial report due December 1; Annual progress report due February 15; Certification of Offset and Entitlement due prior to October 1; Past year Obligations by Project due December 15.

Audits:

In accordance with the provisions of OMB Circular No A-133(Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No A-133.

Records:

Maintained by project. Retain payment documents three years.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$4,651,359; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,586 to 408,854. Average: \$65,515.

PROGRAM ACCOMPLISHMENTS:

Example of accomplishments include: Researchers developed the J-5 vaccine to prevent coliform mastitis infections in dairy cattle. This preventive strategy also reduces use of antibiotics protecting consumers from possible drug residues.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Continuing Animal Health and Disease Research Program, August 1982; 7 CFR Part 3015, USDA Uniform Federal Assistant Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Plant and Animal Science, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 401-4329.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.025, Plant and Animal Disease, Pest Control, and Animal Care; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry

Research.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.210 FOOD AND AGRICULTURAL SCIENCES NATIONAL NEEDS GRADUATE FELLOWSHIP GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Section 1417b(b)(6), Public Law 95-113, 7 U.S.C. 3152.

OBJECTIVES:

To award grants to colleges and universities that have superior teaching and research competencies in the food and agricultural sciences to encourage outstanding students to pursue and complete a graduate degree at such institutions in an area of the food and agricultural sciences for which there is a national need for the development of scientific expertise. Therefore, institutions that currently have excellent programs of graduate study and research in the food and agricultural sciences dealing with targeted national needs are particularly encouraged to apply. Starting in fiscal year 1997, The Cooperative State Research, Education, and Extension Service (CSREES) will provide support on a biennial basis and combine appropriations from 2 fiscal years into one competition to be held during odd-numbered years.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Competitive annual grants providing for a limited number of graduate stipends are awarded to selected U.S. institutions of higher education so as to distribute support for graduate study to promising food and agricultural scientists and professionals. Individuals selected initially by the institution must enroll in a program leading to a graduate, master's or doctoral degree in one of the targeted national needs areas of the food and agricultural sciences. Such persons may not have been enrolled previously in the program at the same degree level. Current fellows are eligible to receive supplemental grants of \$3,000 to cover travel and living expenses for special international study or thesis/dissertation research experiences. Funding for the supplemental grants will be awarded on a competitive basis using no-year funds drawn from expired fellowship grants with unspent funds remaining as set forth in the annual program guidelines.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities that confer a master's or doctoral degree in at least one area of the food and agricultural sciences targeted for national needs fellowships. As defined in Section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3103), the terms "college" and "university" mean "an educational institution in any State which: (a) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (b) is legally authorized within such State to provide a program of education beyond secondary education; (c) provides an educational program for which a bachelor's degree or any other higher degree is awarded; (d) is a public or other nonprofit institution; and (e) is accredited by a nationally recognized accrediting agency or association." Eligibility also applies to research foundations maintained by eligible colleges or universities.

Beneficiary Eligibility:

Funds awarded in this program are used to support the training of graduate students to obtain either a master's or doctoral degree in one of the targeted specializations of the food and agricultural sciences.

Credentials/Documentation:

Each prospective grantee institution must furnish the information and assurance specified in the guidelines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposal to the Office of Extramural Programs, CSREES, USDA, as outlined in the guidelines. Application procedures are contained in the Food and Agricultural Sciences National Needs Graduate Fellowships Grant Application Kit. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019. Starting in fiscal year 1997, CSREES will provide support on a biennial basis and combine appropriations from two fiscal years into one competition to be held during odd-numbered years.

Award Procedure:

CSREES Higher Education Program staff members review and evaluate all proposals with the assistance and advice of a peer panel of qualified scientists, administrators, and other appropriate persons who are specialists in the field

covered by the proposal. Proposals are accepted in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadline dates are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None. Contingent upon appropriations, funds are allocated to provide support for doctoral fellows for 36 months and for masters fellows for 24 months.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants cover a five-year period, however, fellows are supported for three years for a doctoral degree or two years for a masters during that five-year period.

Reports:

Documentation of fellowship appointments (Form CSRS-707) must be submitted by project directors upon request of CSREES. A graduate fellow exit report (Form CSRS-709) must be submitted by project directors for each fellow supported by a grant as soon as a fellow is: (a) Graduated; or (b) officially terminated from the program.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$3,506,539; FY 08 est \$4,276,800; and FY 09 est not reported.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant, as well as stipend levels, are established by the annual program announcement.

PROGRAM ACCOMPLISHMENTS:

Since its inception in 1985, this program has supported Fellows in six areas of the food and agricultural sciences deemed critical to agricultural research and teaching. Recent targeted need areas are Animal Biotechnology; Food Science; Food, Forest Products, or Agricultural Engineering; Agribusiness Marketing or Management; Human Nutrition; Plant Biotechnology; and Water Science. An example: One Fellow who conducted research leading to a dissertation on the heat and mass transfer of hygroscopic porous materials, is now a university Assistant Professor.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3402 (52 FR 4712, February 13, 1987, as amended by 55 FR 2214, January 22, 1990); Application Kit for the Food and Agricultural Sciences National Needs Graduate Fellowships Grants; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug- Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader; Higher Education Programs; Cooperative State Research, Education, and Extension Service, USDA; 1400 Independence Avenue, SW; STOP 2251, Washington, DC 20250-2251; Telephone: (202)720-7854.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.216, 1890 Institution Capacity Building Grants; 10.217, Higher Education Challenge Grants.

EXAMPLES OF FUNDED PROJECTS:

Grants for fellowships support are awarded to colleges and universities determined through peer review to be characterized by excellence in the chosen targeted need areas.

CRITERIA FOR SELECTING PROPOSALS:

(1) The degree to which the proposal clearly establishes that the proposed program of graduate training will result in the development of outstanding scientific/professional expertise related to the national need area and in a reasonable period of time; (2) the degree to which the proposed program of study reflects special features such as a combination-disciplinary, approach (inter-

disciplinary, multi-disciplinary or cross-disciplinary), a unique collateral specialization in a related discipline, experimental learning opportunities, a unique mentoring program, seminars, or a multi-university collaborative approach; (3) the degree to which the proposal establishes that the institution's faculty, facilities and equipment, instructional support resources, and other attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need; (4) the degree to which the institution's plans and procedures for recruitment and selection of academically outstanding fellows and for monitoring and facilitating fellow's progress through a program of study reflect excellence as documented in the proposal; (5) the degree to which supporting summary data substantiate program quality in the targeted national need area; and (6) the quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy; (7) any additional evaluation criteria as cited in the annual program announcement published in the Federal Register.

10.212 SMALL BUSINESS INNOVATION RESEARCH

(SBIR Program)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Small Business Innovation Development Act of 1982, Public Law 97-219, as amended, Public Law 99-443; Public Law 102-564.

OBJECTIVES:

To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The selected areas for research are Forests and Related Resources; Plant Production and Protection-Biology; Animal Production and Protection; Soil and Water Resources; Food Science and Nutrition; Rural and Community Development; Aquaculture; Industrial Applications; Marketing and Trade; Wildlife; Animal Manure Management, Small and Mid-size Farms, Plant Production and Protection-Engineering. The Small Business Innovation Research Program is carried out in three separate phases: Phase I projects are supported to determine the scientific or technical feasibility of ideas submitted by proposes on the selected research areas; Phase II awards are made to firms with approaches that appear sufficiently promising as a result of Phase I studies. Only those small businesses previously receiving Phase I awards in either of the two preceding fiscal years are eligible to submit Phase II proposals. Phase II projects are limited to \$350,000 for a period normally not to exceed 24 months; Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in Phases I and II.

Applicant Eligibility:

Applicant Eligibility (1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor; (2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture; (3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and (4) has, including its affiliates, not more than 500 employees. The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small businesses.

Credentials/Documentation:

Each prospective grantee organization must furnish the organizational information and assurances specified in the SBIR program solicitation. The principles to be used in determining allowable costs of activities under this program are contained in the Federal Acquisition Regulations, 48 CFR Part 31. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Publication by the Small Business Administration listing all agencies participating in the program, their Small Business Innovation Research coordinators, proposed dates for their solicitations, and proposed topic areas. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposal to SBIR Program, CSREES, USDA, as outlined in the SBIR program solicitation. Application procedures are contained in the SBIR program solicitation. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The SBIR Program staff reviews and evaluates all proposals with the assistance and advice of a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Six months from application receipt to notification of approval/disapproval.

Appeals:

Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.

Renewals:

None.

Formula and Matching Requirements:

There are no matching requirements.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to 8 months. Phase II grants are normally limited to 2 years.

Reports:

Final performance and final financial status reports for Phase I grants must be submitted 30 and 90 days, respectively, after the expiration date of the grant. Final performance and final financial status reports for Phase II grants must be submitted 90 days after the expiration date of the grant.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants and other agreements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Federal audits will be made in accordance with the policies of the Federal Acquisition Regulations, 48 CFR Part 42, to ensure that funds have been applied efficiently, economically, and effectively.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for at least 3 years after the date of submission of the final financial status report.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$15,599,447; FY 08 est \$12,813,701; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$54,215 to \$350,000; \$117,433.

PROGRAM ACCOMPLISHMENTS:

This program was designed to strengthen the role of small, innovative firms in Federally-funded research and development. The thirteen categories of research supported under this program are: Forests and Related Resources; Plant Production and Protection-Biology; Animal Production and Protection; Soil and Water Resources; Food Science and Nutrition; Rural and Community Development; Aquaculture; Industrial Applications; Marketing and Trade; Wildlife; Animal Manure Management, Small and Mid-size Farms, Plant Production and Protection-Engineering.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3403, Small Business Innovation Research Grants Program, Administrative Provisions, 62 FR 26168, May 12, 1997; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; The Small Business Innovation Research Program Reauthorization Act of 2000, Public Law 106-554, amended section 9 of the Act (15 U.S.C. 638).

Regional or Local Office:

None.

Headquarters Office:

SBIR Director, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Ag Box 2243, 14th and Independence Ave., S.W.,

Washington, DC 20250-2243. Telephone: (202) 401-4002.

Web Site Address:

<http://www.csrees.usda.gov/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Bolted Wood Framing System; Development of Floating Permeable Covers to Control Emissions from Livestock Waste Lagoons; Production of Organic Acids by Simultaneous Fermentation and Adsorption; Software for Evaluating the Impact of Forest Management Plans on Wildlife; Bioprocessing Wheat Midds and Screenings to Improve Protein.

CRITERIA FOR SELECTING PROPOSALS:

(a) The proposing firm must qualify as a small business concern as specified in the SBIR solicitation; (b) The proposal must meet the Proposal Content and Format requirements as described in subsection 3.3 of the SBIR solicitation; (c) Proposals must be limited to one research problem (see subsection 3.1 of the SBIR solicitation); (d) The proposed budget must be within the dollar limit identified in subsection 1.2 of the SBIR solicitation; (e) The proposed duration of Phase I projects should normally not exceed 8 months, except in special, justified circumstances, and the duration of Phase II projects should normally not exceed 24 months. Where a proposed research project requires more than 8 months to complete in Phase I, a longer grant period, not to exceed 20 months, may be considered. An applicant of a Phase I project with an anticipated duration beyond 8 months should specify and justify the length of duration in the proposal at the time of its submission to USDA in order for it to be considered; (f) Proposals must cover scientific research activities only (see subsection 3.1 of the SBIR solicitation); (g) The proposed Phase I research must fall within a solicited topic area; (h) A proposal must contain adequate scientific/technical information to state clearly the research plan and objectives. USDA reserves the right not to submit for review any proposal which it finds to have insufficient scientific/technical information; (i) A resubmitted proposal must address concerns of the previous review panel. USDA reserves the right not to submit for review any proposal found not to be responsive to the previous review; and (j) Is it clear that the project director will work a minimum of 51 percent of his/her time for the small business firm during the period of the grant and that the small business firm will conduct a minimum of two-thirds of the research effort?

10.215 SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act Amendments of 1985, Public Law 99-198, Sections 1461-1471, 7 U.S.C. 4701-4710; Food, Agriculture, Conservation, and Trade Act of 1990, Section 1621, 7 U.S.C. 5811.

OBJECTIVES:

To facilitate and increase scientific investigation and education in order to reduce the use of chemical pesticides, fertilizers and toxic materials in agricultural production; to improve management of on-farm resources to enhance productivity, profitability and competitiveness; to promote crop, livestock and enterprise diversification and to facilitate the conduct of research projects in order to study agricultural production systems that are located, in areas that possess various soil, climatic, and physical characteristics; to study farms that have been and continue to be managed using farm production practices that optimize the use of on-farm resources and conservation practices; to take advantage of the experience and expertise of farmers and ranchers through their direct participation and leadership in projects; to transfer practical, reliable and timely information to farmers and ranchers concerning sustainable practices and systems; and to promote a partnership between farmers, nonprofit organizations, agribusiness and public and private research and extension institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may not be used to pay indirect costs or tuition. Funds may be used for transportation, per diem, salaries, office supplies, printing, and other direct costs for conducting approved activities.

Applicant Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Beneficiary Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State

governmental entities.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and approved by OMB must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019 or A-102 as implemented by 7 CFR 3016. The standard application forms as furnished by the Federal agency and approved by OMB must be used for this program.

Award Procedure:

Regional technical committees will review, rank and prioritize proposals received from public and private organizations and make recommendations for funding to the regional administrative councils. Regional administrative councils will approve proposals for funding and associated plans of work and submit them to USDA. Research and education subcommittee on sustainable agriculture will review plans of work and the Department will give final approval.

Deadlines:

Contact the Headquarters office for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There are no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Agreements will be supported for periods of one to five years. Funds are released by the electronic transfer system and reimbursement by Treasury check.

Reports:

Standard reporting as required by OMB Circular Nos. A-102 and A-110 as implemented by 7 CFR Part 3016 or 7 CFR Part 3019, respectively.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records required by OMB Circular Nos. A-102 and A-110 as implemented by 7 CFR Part 3016 or 7 CFR Part 3019, respectively, must be maintained for three years.

Account Identification:

12-1500-0-1-352.

Obligations:

FY 07 \$11,490,336; FY 08 est \$8,553,168; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$8,000 to \$1,752,250. Average: \$855,540.

PROGRAM ACCOMPLISHMENTS:

The program is a major catalyst and facilitator of alternative agricultural systems for the future. Programs are designed to reduce the use of synthetic fertilizers and pesticides to minimize environmental degradation; improve and increase low-input farm management to enhance productivity and profitability; and promote crop and livestock enterprise diversification.

REGULATIONS, GUIDELINES, AND LITERATURE:

Sections 1472 and 1473A of the National Agricultural Research Extension, and Teaching Policy Act of 1977, as amended; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Requirements for Grants and Agreements with Institutions of Higher Education and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Economic and Community Systems, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-7948.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry

Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Support of the National Sustainable Agriculture Program; Mainstreaming Principles of Sustainability in Agriculture; National Rollout of Agricultural Entrepreneurs Curriculum; and Evaluation of National Sustainable Agriculture Research and Education Projects.

CRITERIA FOR SELECTING PROPOSALS:

The primary selection process will be done by the regional technical committees and administrative councils, with subsequent approval by the Department of Agriculture. Criteria used at the regional level include: (1) Does the proposed work fulfill the intent of Congress and Departmental policy, as articulated in guidelines prepared by CSREES? (2) Is the subject matter highly relevant to producers in this region with regard to finding profitable low input methods and systems of production? (3) Are specific provisions made for presenting in readily usable form those findings that are directly applicable to producers? (4) Do the various categories of public and private organizations have a meaningful opportunity to participate in shaping and implementing the program?

10.216 1890 INSTITUTION CAPACITY BUILDING GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Section 1417(b)(4), Public Law 95-113, 7 U.S.C. 3152(b)(4).

OBJECTIVES:

To build the research and teaching capacities of the 1890 land-grant institutions and Tuskegee University through cooperative programs with Federal and nonfederal entities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For teaching grants, funds may be used in the following targeted areas: (1) Curricula design and materials development; (2) faculty preparation and enhancement for teaching; (3) instruction delivery systems; (4) scientific instrumentation for teaching; (5) student experiential learning; and (6) student recruitment and retention. For research grants, funds may be used to address high-priority research initiatives in areas where there is a present or anticipated need for increased capabilities and in which it is feasible for applicants to develop programs recognized for their excellence. Research areas include, but are not limited to: (1) Studies and experimentation in food and agricultural sciences; (2) centralized research support systems; and (3) technology delivery systems.

Applicant Eligibility:

The 1890 land-grant institutions and Tuskegee University. The 1890 land-Grant Institutions are: Alabama A&M University; Alcorn State University; University of Arkansas-Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Lincoln University (MO); Langston University; University of Maryland-Eastern Shore; North Carolina A&T State University; Prairie View A&M University; South Carolina State University; Southern University and A&M College; Tennessee State University; Virginia State University; and West Virginia State College.

Beneficiary Eligibility:

The seventeen 1890 land-grant institutions and Tuskegee University, non-1890 academic institutions, private industry, and the Department of Agriculture.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the Proposal Service Unit, CSREES, as outlined in the annual solicitation for applications. Application and materials are available at the CSREES Funding Opportunities web site <http://www.reeusda.gov/1700/funding/ourfund.htm>. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of qualified educators, scientists, administrators, and other appropriate persons that are specialists in the fields covered by the proposals. Proposals are supported in order of merit to the extent permitted by available funds. Grant payments are made by the electronic transfer system.

Deadlines:

Specific deadline dates are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None. However, matching is strongly encouraged.

Length and Time Phasing of Assistance:

Grants are awarded for a 1 to 3 year period and may receive no-cost extensions of time as appropriate up to maximum of 5 years.

Reports:

Annual progress reports. Quarterly financial reports. Final progress and financial reports are due within 90 days after project expiration.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are expended for authorized purposes. Grant related records must be retained at least 3 years; records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$11,616,121; FY 08 est \$11,583,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Teaching grants: \$88,470 to \$225,000. Average: \$171,443. Research grants: \$107,574 to \$349,793. Average: \$242,524.

PROGRAM ACCOMPLISHMENTS:

Example of accomplishments: One university has established a degree program in Biological and Agricultural Systems Engineering; another university has incorporated advanced technology into the degree programs in Environmental Science, Soil Science, Forestry, and Plant Science; one university has established a state-of-the-art Geographic Information Systems (GIS) laboratory; another university has established an interactive video conference classroom to deliver courses to students in rural communities at their local community college; a consortia of universities is preparing students for management positions with private sector industries and government agencies in domestic and global food and fiber distribution.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistance Regulations (implementing the provisions of OMB Circular Nos. A-21 and A-110); 7 CFR Part 3017, Government wide Debarment and Suspensions (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. 7 CFR Part 3406, 1890 Institution Capacity Building Grants Program, Administrative Provisions; 7 CFR 3407, Agency Procedures to Implement the National Environment Policy Act of 1969; annual solicitation of applications; and Grant Application Kit.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader, Higher Education Programs, Cooperative State Research, Education, and Extension Service, U.S. Department of Agriculture; Stop 2251; 1400 Independence Avenue, SW; Washington, DC 20250-2251. Telephone: (202) 720-2186.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.200, Grants for Agricultural Research, Special Research Grants; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207, Animal Health and Disease Research; 10.217, Higher Education Challenge Grants.

EXAMPLES OF FUNDED PROJECTS:

EXAMPLES OF FUNDED PROJECTS: Lateral Entry: Strategies to Increase the Pool of Teachers Through Online Course Offerings; Enhancing Teachers and Students Hands-On Experience in Agricultural Biotechnology Through Summer Laboratory Workshops; Novel Protocols to Optimize Fertility Rate and Mass Propagation of Transgenic Hypoallergenic Peanuts; Biotic Integrity Index Development for Forested Ecosystems.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated using the following criteria: Potential for Advancing Quality of Education; Significance of the Problem; Proposed Approach and Cooperative Linkages; Institutional Capability and Capacity Building; Key Personnel; and Budget and Cost-Effectiveness.

10.217 HIGHER EDUCATION CHALLENGE GRANTS**FEDERAL AGENCY:**

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1417(b)(1), as amended, Public Law 95-113, 7 U.S.C. 3152.

OBJECTIVES:

To increase institutional capacities to respond to State, regional, national, or international educational needs by strengthening college and university teaching programs in the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, faculty preparation and enhancement for teaching, instruction delivery systems, scientific instrumentation for teaching, student experiential learning, and student recruitment and retention, as cited in the annual program announcement in the Federal Register.

Applicant Eligibility:

All U.S. public and private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences.

Beneficiary Eligibility:

All U.S. colleges and universities having a demonstrable capacity to teach the food and agricultural sciences.

Credentials/Documentation:

Each first-time recipient must furnish organizational management information requested by the funding agency, and each applicant must provide assurances specified in the annual solicitation of applications.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the Proposal Services Unit, CSREES, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of qualified educators, administrators, industrialists, and other appropriate persons who are specialists in the fields covered by the proposals. Proposals are supported in order of merit to the extent permitted by available funds. Grant payments may be made by the electronic transfer system, advance by Treasury check, or reimbursement by Treasury check.

Deadlines:

Specific deadline dates are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Dollar-for-dollar matching funds from nonfederal sources is required.

Length and Time Phasing of Assistance:

Grants are awarded for a one to three-year period and may receive no-cost extensions as appropriate up to a maximum of five years.

Reports:

Annual progress reports. Quarterly financial reports. Final progress and financial reports are due within 90 days after project expiration.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are expended for authorized purposes. Grant related records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:
12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$5,174,151; FY 08 est \$5,227,200; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$47,936 to \$152,000. Average: \$89,479.

PROGRAM ACCOMPLISHMENTS:

An example of program accomplishments: One university developed a course entitled, "Biotechnology: Science and Socio-Economic Issues" presented in two formats: as a traditional on-campus lecture class for undergraduates and as an innovative distance education course. The course introduces genetic engineering and the basic scientific theory of biotechnology in animal, plant, food, and economic research, and the latest applications of biotechnology in agriculture production.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistance Regulations (implementing the provisions of OMB Circular Nos. A-21 and A-110); 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR part 3019, Uniform Administrative: Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations; 7 CFR Part 3405, Higher Education Challenge Grants, Administrative Provisions, and Grant Application Kit.

Regional or Local Office:

None

Headquarters Office:

National Program Leader - Higher Education Challenge Grants; Higher Education Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2251; 1400 Independence Avenue, SW., Washington, DC 20250-2251; Telephone: (202) 720-1973; Fax: (202) 720-2030.

Web Site Address:

<http://www.reeusda.gov/1700/funding/ourfund.htm>

RELATED PROGRAMS:

10.226, Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.210, Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216, 1890 Institution Capacity Building Grants.

EXAMPLES OF FUNDED PROJECTS:

Foreign Animal and Zoonotic Disease Digital Library for Diagnosis, Conferencing and Education; Applications of Functional Anatomy in Farm Animals Using Collaborative Learning; Foreign Disease Curriculum Development of Veterinary Colleges; anf Transferring Experts' Reasoning to Novice Learners in Food Product Development.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated using the following criteria: Potential for advancing quality of education/significance of the problem; proposed approach and cooperative linkages; institutional capability and capacity building; key personnel; and budget and cost-effectiveness.

10.219 BIOTECHNOLOGY RISK ASSESSMENT RESEARCH

(Biotechnology Risk Assessment Research Grants Program)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 1668, Public Law 101-624, as amended.

OBJECTIVES:

To carry out risk assessment and risk management research focused on environmental effects of agricultural biotechnology.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents.

Applicant Eligibility:

Any public or private research or educational institution or organization.

Beneficiary Eligibility:

Any public or private research or educational institution or organization.

Credentials/Documentation:

None.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Pre-proposals and/or formal proposals, as outlined in the solicitation, should be submitted to the Proposal Services Unit, Cooperative State Research, Education, and Extension Service (CSREES). Application procedures are contained in the

Research Grant Application Kit. This program is subject to the provisions of OMB Circular No. A-110 and the administrative Provisions for the Biotechnology Risk Assessment Research Grants Program, 7 CFR 3415.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadline dates are announced in the request for application or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From four to six months.

Appeals:

None.

Renewals:

Requests for renewal support must be submitted as new proposals at the announced deadline and are considered in equal competition with other proposals.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From one to five years.

Reports:

Quarterly financial reports, annual progress reports, and final financial and final summary progress reports.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspections during the life of the grant and for three years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$1,685,400; FY 08 est \$1,685,400; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,220 to \$223,269. Average: \$147,583.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that funded projects will advance the safe application of biotechnology to agriculture.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3415, Biotechnology Risk Assessment Research Grants Program Administrative Provisions, and regulations referenced therein (e.g., 7 CFR 3015, 3016, 3017, 3018, 3019 and 3407).

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Competitive Programs, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 401-1761.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.206, Grants for Agricultural Research_Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Factors Affecting Crop Gene Flow and Introgression into Natural Populations; Transgenic Fish; Testing Models to Assess Risk; Risk Assessment of a Recombinant Baculovirus Expressing a Protease; The Hazard of Pest Evolution: Accuracy and Precision of Genetic Risk Assessments; Assessing Risk of Bt Corn to Nontarget Soil Arthropods: Direct and Indirect Effects.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program, criteria include: Overall scientific and technological quality of proposal; research competence of the principal investigator(s), research team and support personnel; adequacy of facilities, equipment, and related program support; justification of support requested in relation to objectives and procedures; feasibility of attaining objectives during life of proposed research; awareness of published literature and current research related to proposed research; relevance of importance of proposed research to solution of specific areas of inquiry; and estimate of expected benefits.

10.220 HIGHER EDUCATION MULTICULTURAL SCHOLARS PROGRAM

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Section 1417(b)(5); Food and Agriculture Act of 1977, Title XIV; Public Law 95-113; 91 Stat. 98; 7 U.S.C. 3152.

OBJECTIVES:

To increase the ethnic and cultural diversity of the food and agricultural scientific and professional work force, and to advance the educational achievement of minority Americans, by providing grants to colleges and universities that have a demonstrable capacity to attract, educate, and graduate minority students for careers as agriscience and agribusiness professionals, and have unique capabilities for achieving the objective of full representation of minority groups in the fields of agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Competitive annual grants to selected U.S. institutions of higher education provide for a limited number of four-year undergraduate scholarships to support minority students pursuing baccalaureate degrees in the food and agricultural sciences. Institutions also receive an annual cost-of-education allowance for each scholar supported by a grant. The scholars are selected by the institutions and students must apply for the scholarships through procedures established by the individual institutions. No scholarship grants are made directly to students and students cannot apply directly to USDA for scholarships. Scholarship monies may be used for tuition, fees, room, board, and other educational expenses as announced in a Federal Register notice inviting applications for new awards. The institutional cost-of-education allowance may be used by the selected institutions to defray program expenses including, but not limited to, travel and publications costs incurred for student recruitment, and salaries of project personnel.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities with baccalaureate or higher degree programs in agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system, including land-grant colleges and universities, colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences, and other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Beneficiary Eligibility:

Funds awarded under this program are used to support full-time undergraduate students pursuing a baccalaureate degree in an area of the food and agricultural sciences or a closely allied field. Persons eligible to receive scholarships under this program are students who either are enrolled or have been accepted as full-time baccalaureate or DVM degree candidates, and who are members of groups traditionally under-represented in food and agricultural scientific and professional fields.

Credentials/Documentation:

Each prospective grantee institution must furnish the information and assurances specified in the program guidelines and the Federal Register notice inviting applications for new awards. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the Office of Extramural Programs, CSREES, in accordance with instructions provided in a Federal Register notice inviting applications for new awards. Application guidelines are contained in the CSREES Application Kit. This program is subject to the provisions of OMB Circular No. A-110 as implemented by 7 CFR Part 3019.

Award Procedure:

Proposals are reviewed and evaluated by Higher Education Programs staff and other CSREES professional staff members with the assistance and advice of a peer panel of qualified educators, scientists, administrators, and other appropriate persons who are specialists in the fields covered by the proposals. Proposals are supported in order of merit to the extent permitted by available funds.

Deadlines:

All deadlines are announced in the Federal Register notice inviting applications for new awards.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None. Contingent upon appropriations, funds are allocated to provide support for undergraduate scholars for 48 months.

Formula and Matching Requirements:

For each scholarship supported under this program, a college or university receiving a grant must provide 25 percent of the scholarship funds from their own or other non-Federal sources.

Length and Time Phasing of Assistance:

Grants are awarded for a five-year period, however, scholars are supported for four years during that five-year period. One year of the grant period is available for student recruitment and for short interruptions of a scholar's educational advancement due to personal circumstances or emergencies.

Reports:

Documentation of scholarship appointments must be submitted by project directors upon request of CSREES. An undergraduate scholar exit report must be submitted by project directors for each scholar supported by a grant as soon as the scholar graduates or is officially terminated from the program. Annual and final technical and financial reports must be submitted by the project directors to CSREES.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three-years thereafter. Grant related records must be retained at least three years after the end of the grant; records must be retained beyond the three year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$932,499; FY 08 est \$948,480; and FY 09 est not reported.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant, as well as stipend levels for scholarships, will be announced each year in the Federal Register notice inviting applications for new awards. Awards ranged from \$20,000 to \$80,000 with the average award being \$52,004.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that this program will significantly advance the achievement of the objective of full representation of underrepresented groups in the food and agricultural sciences workforce of the United States. At one university the 2+2+2 Project was initiated with the goal to increase the number of Native Americans earning baccalaureate degrees in agriculture, biological sciences, and family and consumer sciences.

REGULATIONS, GUIDELINES, AND LITERATURE:

Announcement and Application Kit for the Food and Agricultural Sciences All-Americans/Undergraduate Scholars Program; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Department and Suspension (Nonprocurement) and Government Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader, Higher Education Programs, CSREES, Department of Agriculture, Stop 2251; 1400 Independence Avenue, SW., Washington, DC 20250-2251. Telephone: (202) 720-1973.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.210, Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216, 1890 Institution Capacity Building Grants; 10.217, Higher Education Challenge Grants.

EXAMPLES OF FUNDED PROJECTS:

Leadership Experiences and Education in Agriculture and Diversity; Developing a Model System to Bridge a Gap in Agricultural Sciences; Providing Incentives and Quality Programs to Attract Talented Minorities to Agricultural Sciences.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by a peer review panel of educators, scientists, representatives from the private sector, and government officials who consider such criteria as: the potential for advancing the quality of education; the

likelihood that the proposed program of undergraduate study will result in the development of outstanding graduates to meet the future needs of the food and agricultural industry; the quality of the chosen academic program, current and future demand for graduates in chosen discipline(s), strength of the curriculum, instructional approaches, strategies for developing critical thinking and problem solving skills and prior success in or potential for graduating scholars. Additional criteria include the quality of the institution's academic advising, career counseling, mentoring, and student support services, as well as the qualifications and roles of key staff assigned to the project, particularly the Project Director and faculty or staff that would have the most frequent or influential contacts with scholars. Finally, the degree to which the proposal describes any innovation, special features of the academic program(s) that will be available especially to scholars or required as a part of their degree program(s), including: an interdisciplinary, multi-disciplinary, or cross-disciplinary approach; a focus on innovative, multi-disciplinary education programs, material, or curricula; a multi-university collaborative approach; an emphasis on a collateral specialization or minor in a related discipline; research opportunities, internships, practicum, experiential learning opportunities, international research/educational experiences, and related work experience.

10.221 TRIBAL COLLEGES EDUCATION EQUITY GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382, October 20, 1994, 7 U.S.C. 301, note as amended Public Law 105-185.

OBJECTIVES:

To enhance educational opportunities at the 30 Tribal Colleges designated as the 1994 Land-Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Public Law 103-382 targeted funds to benefit those schools specifically listed as the 1994 Land-Grant Institutions. Funds may be used to support teaching programs in the food and agricultural sciences in the targeted need areas of: (1) Curricula design and instructional materials development; (2) faculty development and preparation for teaching; (3) instruction delivery systems; (4) student experiential learning; (5) equipment and instrumentation for teaching, and (6) student recruitment and retention.

Applicant Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest Tribal College.

Beneficiary Eligibility:

The 1994 Institutions--Bay Mills Community College, Black feet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest Tribal College.

Credentials/Documentation:

Each prospective grantee institution must furnish the information and assurances specified in the program guidelines. Guidelines include: 7 CFR Part 1, Subpart A; 7 CFR Part 3; 7 CFR Part 15, Subpart A; 7 CFR Part 3015; 7 CFR Part 3017; 7 CFR Part 3018; 7 CFR Part 3019; 7 CFR Part 3052; 29 U.S.C. 794, Section 504 and 7 CFR Part 15b; 35 U.S.C. 200 et seq., and 37 CFR Part 401.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-110 must be used for this program. This program is

excluded from coverage under E.O. 12372 because the program does not directly affect State and local governments.

Application Procedure:

Formal application should be submitted to the Office of Extramural Programs, CSREES, as outlined in the application package submitted to the 29 institutions. Application procedures are contained in the program guidelines and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA's 7 CFR 3015 et seq. and 7 CFR 3019, and all successor regulations.

Award Procedure:

Applications are reviewed and evaluated by USDA staff and by expert educators and scientist from other Federal agencies as needed. Grant payment may be made by the electronic transfer system or advance by Treasury check.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

approximately \$50,000 to each of the 30 1994 Institutions, no matching requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 12- to 24-month period of assistance.

Reports:

Annual technical and financial progress reports are due within 90 days after the end of each 12-month budget period. Final technical and financial reports are due within 90 days after the expiration date of the grant. The content of these reports will be as required in the "Terms and Conditions" of the grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain records three years beyond the life of the grant or longer if there are any pending litigation or unresolved audit findings. Separate records for each grant must be maintained. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$3,342,000; FY 08 est 2,227,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Approximately \$50,000 to each eligible institution.

PROGRAM ACCOMPLISHMENTS:

One Tribal College recently received accreditation of its Bachelor of Science in Environmental Sciences program because of the purchase of equipment from this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 301 note; 7 CFR Part 1.1, USDA implementation of Freedom of Information Act; 7 CFR Part 3, USDA implementation of OMB Circular No. A-129 regarding debt collection; 7 CFR Part 15, Subpart A, USDA implementation of Title VI of the Civil Rights Act of 1964, as amended; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, USDA implementation of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations; 7 CFR Part 3407, Agency Procedures to Implement the National Environmental Policy Act; 7 CFR Part 3052, Audits of States, Local Governments, and Nonprofit Organizations; 29 U.S.C. 794, section 504, Rehabilitation Act of 1973, and 7 CFR Part 15b (USDA implementation of statute), prohibiting discrimination based upon physical or mental handicap in Federally assisted programs; and 35 U.S.C. 200 et seq., Bayh-Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

Regional or Local Office:

Not applicable.

Headquarters Office:

Higher Education Programs, Science and Education Resources Development, CSREES, USDA, 800 9th Street, SW, Room 3901 Waterfront Centre, Washington, DC 20250-2251. Telephone: (202) 720-1973.

Web Site Address:

<http://www.reeusa.gov>.

RELATED PROGRAMS:

10.222, Tribal Colleges Endowment Program.

EXAMPLES OF FUNDED PROJECTS:

Center for Integrated Rural Development Studies; Agricultural Degree Development Project; Implementation of a Comprehensive Horticulture Program; Educational Program in Nutrition; Strengthening Curricula and Services for Students in the Food and Agricultural Sciences.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated using the following criteria: Overall approach and cooperative linages; intrinsic merit; budget and cost effectiveness; and institutional commitment and resources.

10.222 TRIBAL COLLEGES ENDOWMENT PROGRAM

(Tribal Colleges Endowment Program)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382, 7 U.S.C. 301 note, as amended; Public Law 105-185.

OBJECTIVES:

To enhance educational opportunities at the 30 Tribal colleges designated as the 1994 Land-Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Public Law 103-382 established an endowment fund corpus, the interest of which was to be used to benefit the 1994 Land-Grant Institutions. Funds may be used to support teaching programs in the food and agricultural sciences in the targeted need areas of: (1) Curricula design and instructional materials development; (2) faculty development and preparation for teaching; (3) instruction delivery systems; (4) student experiential learning; (5) equipment and instrumentation for teaching; and, (6) student recruitment and retention.

Applicant Eligibility:

Bay Mills Community College, Black feet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest College.

Beneficiary Eligibility:

The 1994 Institutions--Bay Mills Community College, Black feet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, and College of the Menominee Nation; and Little Priest College.

Credentials/Documentation:

Guidelines include: 7 CFR Part 1, Subpart A; 7 CFR Part 3; 7 CFR Part 15, Subpart A; 7 CFR Part 3015; 7 CFR Part 3017; 7 CFR Part 3018; 7 CFR Part 3019; 7 CFR Part 3052; 29 U.S.C. 794, Section 504 and 7 CFR Part 15b; 35 U.S.C. 200 et seq., and 37 CFR Part 401.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

The Indian student count for the 1994 institutions (as defined in Section 390(3) of the Carl D. Perkins Vocational and Applied Technology Education Act) will be furnished to the agency by the American Indian Higher Education Consortium. Using the formula specified, grant payments will be made through

the office of Extramural Programs to each of the institutions by the electronic transfer system or by Treasury check.

Deadlines:

The information for the Indian student count is to be received by August 1. Interest will be disbursed within 45 days from termination of the fiscal year and notification of availability of interest funds.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The interest from the Endowment Fund Corpus will be distributed, after adjustment for administrative cost, as follows: (1) Sixty percent distributed on a pro rata basis based upon the Indian student count for each institution for the prior fiscal year; and, (2) Forty percent distributed in equal shares to the 1994 Institutions. There are no matching requirements.

Length and Time Phasing of Assistance:

The grant will be awarded for a 12-month period of assistance.

Reports:

Annual technical and financial report will be due by December 31 of the year following that in which funds were received.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain records three years beyond the life of the grant or longer if there are any pending litigation or unresolved audit findings. Separate records for each grant must be maintained. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$3,119,628; FY 08 est \$3,264,000; and FY 09 est not reported

Range and Average of Financial Assistance:

\$7,932 to \$40,191. Average: \$14,943.

PROGRAM ACCOMPLISHMENTS:

The funds derived from the interest on the Endowment Fund will be used to support grants for teaching programs in the food and agricultural sciences.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 301 note; 7 CFR Part 1.1, USDA implementation of Freedom of Information Act; 7 CFR Part 3, USDA implementation of OMB Circular No. A-129 regarding debt collection; 7 CFR Part 15, Subpart A, USDA implementation of Title VI of the Civil Rights Act of 1964, as amended; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, USDA implementation of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospital, and Other Nonprofit Organizations; 7 CFR Part 3407, Agency Procedures to Implement the National Environmental Policy Act; 7 CFR Part 3052, Audits of States, Local Governments, and Nonprofit Organizations; 29 U.S.C. 794, Section 504, Rehabilitation Act of 1973, and 7 CFR Part 15b (USDA implementation of statute), prohibiting discrimination based upon physical or mental handicap in Federally assisted programs; and 35 U.S.C. 200 et seq., Bayh-Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

Regional or Local Office:

None.

Headquarters Office:

Higher Education Programs, Science and Education Resources Development, CSREES, USDA, Room 3901 Waterfront Centre, Washington DC 20250-2251. Telephone: (202) 720-1973.

Web Site Address:

<http://www.reeusa.gov>.

RELATED PROGRAMS:

10.221, Tribal Colleges Education Equity Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.223 HISPANIC SERVING INSTITUTIONS EDUCATION GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Section 1455, 7 U.S.C. 3241.

OBJECTIVES:

The objective of this program is to promote and strengthen the ability of Hispanic-Serving Institutions to carry out higher education programs in the food and agricultural sciences. These programs aim to attract outstanding students and produce graduates capable of enhancing the Nation's food and agricultural scientific and professional work force. Grants under this program will be awarded to: (1) support the activities of consortia of Hispanic-Serving Institutions to enhance educational equity for underrepresented students; (2) strengthen institutional educational capacities including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences; (3) attract and support undergraduate and graduate students from underrepresented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students at the high school level and continuing with the provision of financial support for students through their attainment of a doctoral degree; and (4) facilitate cooperative initiatives between two or more Hispanic-Serving Institutions, or between Hispanic-Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to support one or more of the following target areas or additional target areas as identified in the annual program announcement: Curricula design; materials development; library resources; faculty preparation and enhancement for teaching; instruction delivery systems; scientific instrumentation for teaching; student experiential learning; and student recruitment and retention.

Applicant Eligibility:

Hispanic serving institutions are eligible to receive funds under this program. "Hispanic serving institutions" means an institution of higher education which, at the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students, and which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such certificate; (2) is a public or other nonprofit institutions accredited by a nationally recognized accrediting body; and (3) is legally authorized to provide a program of education beyond the secondary level for which a 2-year associate, baccalaureate, or higher degree is awarded. Applications may be submitted by, and awards may only be made to, public or other non-profit Hispanic-Serving Institutions as defined in the definitions section of this solicitation. For the purposes of this program, the individual branches of a State university system or public system of higher education that are separately accredited as degree granting institutions are treated as separate institutions eligible for awards. Accreditation must be by an agency or association recognized by the Secretary, U.S. Department of Education. Institutions also must be legally authorized to offer at least a two-year program of study creditable toward an associate's or bachelor's degree. Separate branches or campuses of a college or university that are not individually accredited as degree granting institutions are not treated as separate institutions. To be eligible for competitive consideration for an award under this program, a Hispanic-Serving Institution must at the time of application: (1) certify that it has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students; and (2) provide assurances that not less than 50 percent of the institution's Hispanic students are low-income individuals as defined in the definitions section of this solicitation.

Beneficiary Eligibility:

Hispanic serving institutions, as identified above, are eligible to receive funds under this program.

Credentials/Documentation:

Each prospective grantee institution must furnish the information and assurances specified in the proposal solicitation and/or the administrative provisions for the program once they are developed. These materials will be available from the CSREES, Office of Extramural programs, at (202) 401-5050. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

To assist CSREES in preparing for the review of proposals, institutions planning to submit proposals may be requested to complete Form CSREES-711, "Intent to Submit a Proposal," provided by CSREES in the grant application package.

CSREES will determine annually if "Intent to Submit a Proposal" forms will be requested. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the CSREES, Office of Extramural Programs, in accordance with instructions provided in the program announcement. The program announcement will be published in the Federal Register.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff with the assistance and advice of a peer panel of scientists, educators, representatives from the private sector, and representatives from other Federal agencies. Proposals will be supported in order of merit to the extent permitted by available funds.

Deadlines:

Please contact the program contact listed in the Information Contacts or Headquarters Office section below.

Range of Approval/Disapproval Time:

From 180 to 220 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

CSREES encourages and may require nonfederal matching support under this program and will disclose any matching requirements or incentives for providing matching funds in its annual program announcement.

Length and Time Phasing of Assistance:

From 1 to 3 years.

Reports:

Quarterly financial reports, annual progress reports, final financial reports, final summary progress reports, and other reports as specified in the grant terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

FY 07 \$5,686,986; FY 08 est \$5,364,480; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$75,000. This is a newly funded program, average not yet determined.

PROGRAM ACCOMPLISHMENTS:

One of our-year instituteveloped an academically rigorous internship program in the field of agricultural conservation, and then used the program as a recruitment tool to attract Hispanic students. A community college developed curriculum leading to a college certificate in water technology that addresses a statewide need for qualified technicians in the critical fields of water conservation and wastewater management.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations will be outlined in the program announcement and/or the administrative provisions for the program once they are developed, and in the terms and conditions of any resulting grant award. Applicable regulations will include but not be limited to: 7 CFR Part 3015, USDA Uniform Administrative Regulations; 7 CFR Part 3019, USDA Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations; 7 CFR part 3017, Government wide Debarment and Suspension and Drug-free Workplace Requirements; 7 CFR part 3018, New Restrictions on Lobbying; and 7 CFR part 3052, USDA implementation of OMB Circular No. A-133 (revised June 24, 1997) regarding audits of States, local governments, and nonprofit organizations.

Regional or Local Office:

Not applicable.

Headquarters Office:

USDA, CSREES, Multicultural Alliances, Science and Education Resources Development, Room 3240 Waterfront Centre, Washington, DC 20250-2251. Telephone: (202) 720-1254.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.217, Higher Education Challenge Grants; 10.220, Higher Education Multicultural Scholars Program; 10.210, Food and Agricultural Sciences

National Needs Graduate Fellowship Grants; 10.226, Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants.

EXAMPLES OF FUNDED PROJECTS:

The Agriculture Education Implementation Outreach Project; Developing Freshman's Interest in Agricultural Research and Careers; The Integration of Research Internships into the Undergraduate Life Sciences Curriculum; Enhancement of Genetics Lab Teaching Through Agricultural Biotechnology Research; and Bridges to Careers as Urban Environmentalists.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are expected to include the following: (1) The likelihood that the project will have a substantial impact upon and advance the quality of higher education in the food and agricultural sciences higher education by strengthening institutional capacities to meet clearly delineated needs; (2) the soundness of the proposed approach, including objectives, procedures, timetable, evaluation and dissemination plans, and partnerships and collaborative efforts; (3) the institution's commitment to the project and the adequacy of institutional resources available to carry out the project; (4) the qualifications and the adequacy of the key personnel who will carry out the proposed project; (5) the extent to which the total budget adequately supports the project and is cost effective; and (6) the degree to which the proposal complies with the application guidelines and is of high quality.

10.224 FUND FOR RURAL AMERICA-RESEARCH, EDUCATION, AND EXTENSION ACTIVITIES

(Fund for Rural America)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Section 793, 7 U.S.C. 2204(f); Public Law 105-185.

OBJECTIVES:

The funding for Rural America (FRA) provides funds for rural development programs and for a competitive grant program to support research, education, and extension activities. CSREES administers the competitive grant program for the research, education, and extension component of the Fund for Rural America. The competitive grant program supports unique, innovative, and high-impact research, education, and extension projects to aid farmers, ranchers, and rural communities to address changes and challenges facing agriculture and rural communities as a result of fundamental reforms to Federal farm programs. The broad range of purposes of these projects are to: increase international competitiveness, efficiency, and farm profitability; reduce economic and health risk; conserve and enhance natural resources; develop new crops, new crop uses, and new agricultural applications of biotechnology; enhance animal agricultural resources; preserve plant and animal germplasm; increase economic opportunities in farming and rural communities; and expand locally-owned, value-added processing. The information provided under this Catalog entry applies only to the competitive grant program administered by CSREES for research, education, and extension activities supported by the Fund for Rural America.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The CSREES Fund for Rural America competitive grants program will support applied, developmental, and adaptive research; technology transfer; extension and related outreach activities; and education. The program will emphasize biological, physical, and social sciences to address systems-based problems. This requires involvement of affected parties within the system (such as producers, commodity groups, environmental interests, rural communities, and other program beneficiaries); therefore, this program will give priority to projects that are designed and proposed by eligible grant recipients in collaboration with institutions, organizations, and communities of interest. Strong partnerships will be critical to leverage and apply research, education, and extension investments to address user needs and solve community-defined problems. The program is segmented into two initiatives: (1) The Fund Core Initiative, which addresses and links international competitiveness, profitability, and efficiency; environmental stewardship; and rural community enhancement; and (2) the Secretary's Initiative to Ensure a Safe, Competitive, Nutritional and Accessible Food System. Examples of potential research, education, and extension activities to be funded under the Fund Core Initiative include, but are not limited to: extension to improve producers' risk management knowledge, skills, and practices; adaptive research to develop new strategies for animal waste management to reduce environmental contaminants in animal waste; and innovations in delivery of education and information in rural areas. As part of the Fund Core Initiative, CSREES also intends to provide funding for FRA Center Grants which are aimed at bringing together individuals, institutions, States, and/or regions in support of research, education, and extension in a collaborative process towards a common goal. Initially, CSREES will award

FRA Center Planning Grants to support only the planning stages of FRA Centers, and only those organizations successful in receiving a FRA Center Planning Grant will be eligible to receive follow-on funding for a FRA Center. Proposals for FRA Center Planning Grants and for follow-on FRA Center Grants may be solicited in separate announcements. Examples of research, education, and extension activities to be funded under the Secretary's Initiative to Ensure a Safe, Competitive, Nutritional and Accessible Food System include, but are not limited to: assessment of educational needs of small and very small meat and poultry processing plants to achieve Hazard Analysis and Critical Control Points (HACCP) implementation; research, development and on-farm extension education about low-cost production facilities, such as hoop housing for swine production, combined with management systems and genetics appropriate to these facilities; research to create foods that have increased amounts of the beneficial components found in fruits, vegetables and grains; and research and extension efforts to develop and implement mechanisms such as community-operated canneries or dehydration facilities to extend the "shelf-life" of food available through gleaned and food recovery programs. Funds provided under the CSREES Fund for Rural America competitive grants program may not be used for the construction of a new building or for the acquisition, expansion, remodeling, or alteration of an existing building (including site grading and improvement and architect fees), or for the purchase of fixed equipment.

Applicant Eligibility:

Proposals may be submitted by Federal research agencies, national laboratories, colleges or universities or research foundations maintained by a college or university, or private research organizations. National laboratories include Federal laboratories that are government-owned contractor-operated or government-owned government operated. If the applicant is a private organization, documentation must be submitted that the organization has an established and demonstrated capacity to perform research or technology transfer. A programmatic decision on the eligibility status of the private organization will be made based on the information submitted.

Beneficiary Eligibility:

The beneficiaries of this program are expected to be parties affected by the fundamental reforms to Federal farm programs. These parties include, but are not limited to producers, commodity groups, environmental interests, and rural communities.

Credentials/Documentation:

Organizations must furnish the information and assurances specified in the program guidelines and/or proposal solicitation with each proposal it submits. In addition, if a proposal is recommended for funding and the submitting organization has not previously received funding from CSREES, that organization will be asked to furnish specific management information relating to the organization as part of the pre-award process.

Preapplication Coordination:

All proposal solicitations are published in the Federal Register. Any preapplication requirements will be specified in the program guidelines and/or proposal solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are outlined in the annual program guidelines and/or proposal solicitations.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of specialists who are uniquely qualified by training and experience in their respective fields to render expert advice on the merit of proposals being reviewed. Proposals are recommended for funding in order of merit to the extent permitted by available funds. The National Agricultural Research, Education and Economics Advisory Board will review collective groups of proposals recommended for funding to ensure the relevance of the work proposed for funding toward achieving the programmatic goals of the Fund for Rural America. Proposals recommended for funding as a result of the merit and programmatic relevance evaluations then undergo a financial and administrative review. Upon the satisfactory completion of all reviews and evaluations, a grant award is issued.

Deadlines:

All proposal submission deadlines are announced in the program guidelines and/or proposal solicitations, which are published in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days from proposal submission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

A grant awarded for applied research that is commodity-specific and that is not of national scope must be matched by the grant recipient with equal funds from a nonfederal source. The matching requirement may be met through allowable costs incurred by the recipient or subrecipient and through third party in-kind contributions.

Length and Time Phasing of Assistance:

From 6 months to 4 years.

Reports:

Annual and final technical and financial reports must be submitted to CSREES in accordance with the terms and conditions of a grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations." nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Grantees must maintain records, which are subject to inspection by CSREES, the cognizant Federal audit agency, or the USDA Office of Inspector General, three years beyond the expiration date of a grant or longer if there are any pending litigation or unresolved audit findings.

Account Identification:

12-0012-0-1-999.

Obligations:

(Grants) FY 07 \$0; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The range of assistance is \$25,000 to \$600,000; the average amount for Standard Grants is \$271,000; the average amount for Center Planning Grants is \$25,000.

PROGRAM ACCOMPLISHMENTS:

The development of information infrastructure for post-secondary programs that will expand American Indian agricultural and natural resources programs; the development of a center for decentralized rural wastewater treatment; and the demonstration and promotion of the economic development potentials of farmers' markets and their effects on vendors and host communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

As indicated in the terms and conditions of any resulting grant award, the applicable regulations, guidelines, and literature include, but are not limited to: 7 CFR Part 3015 - USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, as amended - USDA Implementation of Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018 - USDA Implementation of New Restrictions on lobbying; 7 CFR Part 3019 - USDA Implementation of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations; 7 CFR Part 3052 - USDA Implementation of OMB Circular No. A-133 (revised June 24, 1997) regarding audits of States, local governments, and nonprofit organizations; 7 CFR Part 3407 - CSREES procedures to implement the National Environmental Policy Act of 1969, as amended.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Competitive Research Grants and Awards Management, the Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 401-1761.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206, Grants for Agricultural Research, Competitive Research Grants; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.215, Sustainable Agriculture Research and Education; 10.500, Cooperative Extension Service.

EXAMPLES OF FUNDED PROJECTS:

Planning for Native American Rural Development; Food Losses from the Farm to the Consumer; Competition for Land on the Urban-Rural Interface; Value-Added Composites from the Rural Southwestern United States.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are initially reviewed to ensure that they meet the requirements set forth in the program guidelines/proposal solicitations. Standard Project Grant proposals then undergo technical evaluations using the following criteria: (1) Merit - scientific, technical, or educational merit; well defined problem; clearly defined objectives; appropriateness of approach (including selection of proper approach to address systems, multifaceted, or multidisciplinary problems); demonstrated integration of components (such as research, education, and extension components); degree of feasibility; soundness and effectiveness of management plan; (2) Quality - creativity and innovativeness in addressing problems and issues; selection of most appropriate and qualified individuals to address problem; competence and experience of personnel; effective utilization

of knowledge base in addressing problems; potential to contribute solutions to stated problem; identified potential for technology transfer and information dissemination; (3) relevance - proposal advances purposes for federally-supported research, education, and extension as referenced or stated in the solicitation; potential to contribute solutions to priority problems in agriculture; identification and involvement of stakeholders; involvement of communities of interest and stakeholders in the identification of problems set forth in the proposal; partnership with those affected by the outcome. FRA Center Planning Grant proposals will be judged using the following criteria: (1) Merits of the FRA Center concept; (2) relevance of the proposed FRA Center to the purposes of the Fund for Rural America; (3) appropriateness of planning activities in assembling a follow-on proposal for funding of the proposed FRA Center; and (4) competence of identified participants. Criteria used to judge FRA Center Grant proposals will be published in the FRA Center Grant solicitation.

10.225 COMMUNITY FOOD PROJECTS

(Community Food Project Program)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Stamp Act of 1977, Section 25, as amended; Federal Agriculture Improvement and Reform Act of 1996, Section 401, Public Law 104-127.

OBJECTIVES:

To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such as: (1) Improved access to high quality, affordable food among low-income households; (2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and (3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like. Any solution proposed must tie into community food needs. Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award.

Applicant Eligibility:

Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms. To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements: 1. Have experience in the area of: (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or (b) job training and business development activities in low-income communities; 2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and 3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

Beneficiary Eligibility:

Low income people.

Credentials/Documentation:

Prior to the award of a grant, a prospective grantee organization must furnish information about the organization upon request from USDA. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published in the Federal Register. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposal submission to the Cooperative State Research, Education, and Extension Service in accordance with the Community Food Projects program guidelines which will be issued annually.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of specialists who are uniquely qualified by training and experience in their respective fields to render expert advice on the merit of proposals being reviewed. Proposals are supported in order of merit to

the extent permitted by available funds. Proposals recommended for funding as a result of the merit review then undergo a financial and administrative review. Upon completion of all reviews, a grant award is issued.

Deadlines:

All proposal submission deadlines are announced in the proposal solicitation published in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There is a matching requirement of 50 percent Federal and 50 percent nonfederal support of the project during the term of the grant. The nonfederal share may be provided through payment in cash or in-kind contributions in the form of fairly evaluated facilities, equipment, or services. The nonfederal share may be derived from State or local governments, or from private sources.

Length and Time Phasing of Assistance:

From one to three years.

Reports:

Quarterly financial reports, annual progress reports, and final financial and final summary progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 2, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. All matching contributions must be verifiable in the grantee organization's records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-3505-0-1-605.

Obligations:

(Grants) FY 07 \$4,800,000; FY 08 est \$4,800,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Grants were awarded for projects designed to increase food security in communities by bringing the whole food system together to assess strengths, establish linkages, and create systems that improve the self-reliance of community members over their food needs.

REGULATIONS, GUIDELINES, AND LITERATURE:

As set forth in the program guidelines and any resulting grant award, including 7 CFR Part 3015 (USDA Uniform Federal Assistance Regulations); 7 CFR Part 3017 (Government wide Debarment and Suspension-Nonprocurement, and Government wide Requirements for Drug-Free Workplace-Grants); 7 CFR Part 3018 (New Restrictions on Lobbying); 7 CFR Part 3019 (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations); and 7 CFR Part 3052 (Audits of States, Local Governments, and Nonprofit Organizations).

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Competitive Research Grants and Awards Management, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 401-1761.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.572, WIC Farmers' Market Nutrition Program (FMNP).

EXAMPLES OF FUNDED PROJECTS:

Family Gardens and Neighborhood Markets-Three New Communities; Patchwork Family Farms; Value-Added Processing for Community Food Security; Native American Food Systems Project; Lowell Farming and Food Project.

CRITERIA FOR SELECTING PROPOSALS:

1. The applicability and merit of the proposed project in regard to its ability to: Meet the food needs of low-income people in the proposed community for providing for its own food needs; and promote comprehensive responses to local food, farm, and nutrition needs; 2. the capacity to become self-sustaining once Federal funding ends; and 3. organizational and staff qualifications and experience; and 4. additional criteria will be considered relative to the extent the proposed project contributes to: (a) developing linkages between two or more sectors of the food system; (b) supporting the development of entrepreneurial

projects; (c) developing innovative linkages between the for-profit and nonprofit food sectors; (d) encouraging long-term planning activities and multi-system, interagency approaches; and (e) incorporating linkages to one or more ongoing USDA themes or initiatives referred to in the program guidelines and/or annual proposal solicitation.

10.226 SECONDARY AND TWO-YEAR POSTSECONDARY AGRICULTURE EDUCATION CHALLENGE GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127, as amended.

OBJECTIVES:

To promote excellence in agriscience and agribusiness education, and to encourage more young Americans to pursue baccalaureate and higher degrees in the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, promotion of teaching competencies, promotion of agriscience and agribusiness career information, instruction delivery systems, student experiential learning, and educational activities that increase the diversity of students pursuing degrees in agriscience and agribusiness.

Applicant Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Beneficiary Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Credentials/Documentation:

Each first-time recipient must furnish organizational management information requested by the funding agency, and each applicant must provide assurances specified in the annual solicitation of applications.

Preapplication Coordination:

All proposals/solicitations are published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the Office of Extramural Programs, CSREES, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of qualified educators, administrators, industrialists, and other appropriate persons who are specialists in the fields covered by the proposals. Proposals are supported in order of merit to the extent permitted by available funds. Grant payments may be made by the electronic transfer system, advance by Treasury check, or reimbursement by Treasury check.

Deadlines:

All deadlines are announced in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Dollar-for-dollar matching funds from nonfederal sources is required.

Length and Time Phasing of Assistance:

Grants are awarded for a one to two-year period and may receive no-cost extensions as appropriate up to a maximum of five years.

Reports:

Annual progress reports. Quarterly financial reports. Final progress reports are due within 90 days after project expiration.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that

funds are expended for authorized purposes. Grant related records must be retained at least three years; records must be retained beyond the three year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$931,082; FY 08 est \$950,400; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$15,000 to \$40,000; \$20,900.

PROGRAM ACCOMPLISHMENTS:

One institution is incorporating agriscience/agribusiness concepts into secondary education by improving student exposure to distance learning opportunities, animal and plant sciences, farm business management, hydroponics, natural resources, and agricultural literacy.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations; 7 CFR Part 3405, Higher Education Challenge Grants, Administrative Provisions, and Grant Application Kit.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader- Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; Higher Education Programs; Cooperative State Research, Education, and Extension Service; Department of Agriculture; STOP 2251; 1400 Independence Avenue, SW., Washington, DC 20250-2251; Telephone: (202) 720-1973; Fax: (202) 720-2030.

Web Site Address:

<http://www.reeusda.gov/1700/funding/ourfund.htm>

RELATED PROGRAMS:

10.217, Higher Education Challenge Grants; 10.210, Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216, 1890 Institution Capacity Building Grants.

EXAMPLES OF FUNDED PROJECTS:

Pioneer Agriculture Curriculum, College, and Careers Project; Suitcase Lessons for Agriculture; Agribusiness for At-Risk Youth; Technology Integration for the Future of Agricultural Education; New Opportunities: Aquaculture Research Freshwater Shrimp Farming.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated using the following criteria: Potential for advancing quality of education/significance of the problem; proposed approach and cooperative linkages; institutional capability and capacity building; key personnel; and budget and cost-effectiveness.

10.227 1994 INSTITUTIONS RESEARCH PROGRAM

(1994 INSTITUTIONS RESEARCH PROGRAM)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382, as amended.

OBJECTIVES:

To carry out a competitive research grants program for the 30 institutions designated as 1994 Institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This research grants program is authorized to conduct agricultural research that addresses high priority concerns of tribal, national or multi-State significance.

Applicant Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian National University, Leech Lake Tribal College,

College of the Menominee Nation, and Little Priest Tribal College.

Beneficiary Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Oriellese Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Credentials/Documentation:

Each prospective grantee organization must furnish the organizational information and assurances specified in the guidelines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published in the Federal Register. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposal to National Research Initiative Competitive Grants Program, USDA/CSREES as outlined in the guidelines. Application procedures are contained in CSREES Research Grant Application Kit. This program is subject to the provisions of 7 CFR 3019.

Award Procedure:

CSREES program staff members review and evaluate all proposals with the assistance and advice of a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are accepted in order of merit to the extent permitted by available funds.

Deadlines:

As announced in a request for proposals for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Proposals for renewal, should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Normally, competitive research projects will be supported for periods of up to 3 years.

Reports:

Annual progress reports due within 90 days after anniversary of grant effective date and final technical report due 90 days after expiration of grant. Federal cash transaction reports and financial status reports as stated in 7 CFR 3019.52.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during life of the grant and for 3 years thereafter.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$1,445,184; FY 08 est \$998,712; and FY 09 est not reported. Note: Grants are competitively awarded.

Range and Average of Financial Assistance:

From \$40,963 to \$150,000. Average: \$66,221.

PROGRAM ACCOMPLISHMENTS:

One college began a study that will determine the best combination of seeding method, seeding rate, herbicides, and native grass and forbs for establishment of desired grasses in a single pass. Researchers also will measure the resulting effects on the population dynamics of seeded grasses and forbs on invasive plants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pages 61290-61306 Federal Register, Vol. 56, No. 231, Part II, December 2, 1991; 7 CFR 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Governmentwide Debarment and Suspension (Nonprocurement) and

Governmentwide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying. National Competitive Research Initiative Grants Program Administrative Provisions, Federal Register, Vol. 56, No. 220, Pages 57950-57958, November 14, 1991; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Administrator, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Ag Box 2201, 14th and Independence Avenue, SW., Washington, DC 20250-2201. Telephone: (202) 720-4423.

Web Site Address:

<http://www.ree.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research, Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Aquatic and Wetland Ecosystem Study of a Restored Wild Rice System; Bison Culture; Revegetating Invasive Plant Infested Rangelands in a Single Entry; Surveillance of Mosquito-Borne Equine; Effects of Prairie Dog Towns on Bison and Cattle Production on Tribal Lands.

CRITERIA FOR SELECTING PROPOSALS:

1. The scientific merit of the proposal, including the suitability and feasibility of the approaches and methodology; 2. the probability that the research will contribute to important discoveries or significant breakthroughs in the food and agricultural sciences; 3. the qualifications of the principal investigator and other senior personnel, such as training, demonstrated awareness of previous and alternative approaches to the problem, and performance record and/or potential for future accomplishment; and 4. the probable adequacy of available or obtainable facilities, equipment, instrumentation, and technical support.

10.228 ALASKA NATIVE SERVING AND NATIVE HAWAIIAN SERVING INSTITUTIONS EDUCATION GRANTS

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 759, Public Law 106-78, 7 U.S.C. 3242.

OBJECTIVES:

To recruit, support and educate under-represented scientists and professionals, and to advance the educational capacity of the Alaska Native and Native Hawaiian (AN/NH) Serving Institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to enhance educational equity for under-represented students in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States: to strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified educational needs in the food and agricultural sciences; and to facilitate activities and cooperative initiatives between AN/NH Serving Institutions, or between AN/NH Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

Applicant Eligibility:

Individual public or private, non-profit Alaska Native-Serving and Native Hawaiian-Serving Institutions of higher education that meet the definitions of Alaska Native-Serving Institution or Native Hawaiian Serving Institution established in Title III, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1059d.) are eligible institutions under this program.

Beneficiary Eligibility:

Alaska Native Serving Institutions and Native Hawaiian Serving Institutions.

Credentials/Documentation:

Each first-time recipient must furnish organizational management information requested by the funding agency, and each applicant must provide assurances specified in the annual solicitation of applications.

Preapplication Coordination:

All proposals/solicitations are published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted to the Office of Extramural Programs, CSREES, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of qualified educators, administrators, industrialists, and other appropriate persons who are specialists in the fields covered by the proposals, as needed. Grant payments may be made by the electronic transfer system, advance by Treasury check, or reimbursement by Treasury check.

Deadlines:

All deadlines are announced in the Federal Register. The deadline for submission of fiscal year 2004 proposals is March 18, 2004.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funds are awarded on an equal basis to Alaska Native-Serving and Native Hawaiian-Serving Institutions to carry out higher education programs. There are no matching requirements.

Length and Time Phasing of Assistance:

Grants are awarded for project periods of 12 to 36 months duration.

Reports:

Grant terms and conditions will specify reporting requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133(Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are expended for authorized purposes. Grant related records must be retained at least three-years; records must be retained beyond the three year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$3,088,800; FY 08 est \$2,848,320; and FY 09 est not reported.

Range and Average of Financial Assistance:

It is anticipated that awards will range from \$100,000 to \$150,000. This is a newly funded program; average not yet determined.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistance Regulations (implementing the provisions of OMB Circular Nos. A-21 and A-110); 7 CFR Part 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Multicultural Alliances, Science, Education, and Research Development Unit, Cooperative State Research, Education and Extension Service, Department of Agriculture, Room 3901, Waterfront Centre, 1400 Independence Ave., S.W., Washington, DC 20250-2251. Telephone: (202) 720-1973.

Web Site Address:

<http://www.ree.usda.gov>.

RELATED PROGRAMS:

10.217, Higher Education Challenge Grants; 10.220, Higher Education Multicultural Scholars Program; 10.223, Hispanic Serving Institutions Education Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are expected to include the following: (1) The likelihood that the project will have a substantial impact upon and advance the quality of higher education in the food and agricultural sciences higher education by strengthening institutional capacities to meet clearly delineated needs; (2) the soundness of the proposed approach, including objectives, procedures, timetable, evaluation and dissemination plans, and partnerships and collaborative efforts; (3) the

qualifications and the adequacy of the key personnel who will carry out the proposed project; (4) the institution's commitment to the project and the adequacy of institutional resources available to carry out the project; (5) the extent to which the total budget adequately supports the project and is cost effective; and (6) the degree to which the proposal complies with the application guidelines and is of high quality.

10.250 AGRICULTURAL AND RURAL ECONOMIC RESEARCH

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq. 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for technical information may be made to the Chief, Publishing and Communications Branch, ERS, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

FY 07 \$84,222,000; FY 08 est not available; and FY 09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding.)

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800)

999-6779. Reports are also available on the ERS website:

<http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA. For additional information contact the Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, NW., Washington, DC 20036-5831. Telephone: (202) 694-5120.

Regional or Local Office:

None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Web Site Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library; 10.900, Great Plains Conservation.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.253 FOOD ASSISTANCE AND NUTRITION RESEARCH PROGRAMS (FANRP)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq. 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for technical information may be made to the Chief, Publishing and Communications Branch, ERS, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.
Audits:
None.
Records:
None.
Account Identification:
12-1701-6-1-352.
Obligations:
FY 07 \$84,222,000; FY 08 est not available; and FY 09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding).

Range and Average of Financial Assistance:
None.

PROGRAM ACCOMPLISHMENTS:

The ERS had 224 active projects in fiscal year 2006. In fiscal year 2006, ERS staff responded to about 50,000 requests for economic information and published about 170 reports of economic analysis and research. That does not include unpublished staff analysis that were used to address specific policy questions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800) 999-6779. Reports are also available on the ERS website: <http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA. For additional information contact the Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, N.W., Washington, DC 20036-5831. Telephone: (202) 694-5120.

Regional or Local Office:
None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Web Site Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.254 PROGRAM OF RESEARCH ON THE ECONOMIC OF INVASIVE SPECIES MANAGEMENT (PREISM)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for technical information may be made to the Chief, Publishing and Communications Branch, ERS, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

FY 07 \$84,222,000; FY 08 est not available; and FY 09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The ERS had about 224 active projects in fiscal year 2006. In fiscal year 2006, ERS staff responded to about 50,000 requests for economic information and published about 170 reports of economic analysis and research. That does not include unpublished staff analysis that were used to address specific policy questions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800) 999-6779. Reports are also available on the ERS website: <http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA. For additional information contact the Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, N.W., Washington, DC 20036-5831. Telephone: (202) 694-5120.

Regional or Local Office:

None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Web Site Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.255 RESEARCH INNOVATION AND DEVELOPMENT GRANTS IN ECONOMIC (RIDGE)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for

public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for technical information may be made to the Chief, Publishing and Communications Branch, ERS, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

(Grants) FY 07 \$84,222,000; FY 08 est not available; and FY 09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The ERS had about 224 active projects in fiscal year 2006. In fiscal year 2006, ERS staff responded to about 50,000 requests for economic information and published about 170 reports of economic analysis and research. That does not include unpublished staff analysis that were used to address specific policy questions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800-999-6779). Reports are also available on the ERS website:

<http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA. For additional information contact the Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, NW., Washington, DC 20036-5831. Telephone: (202) 694-5120.

Regional or Local Office:

None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Web Site Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants;

10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.256 CONSUMER DATA INITIATIVE (CDI)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for technical and additional information, contact: Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

(Salaries and Expenses) FY 07 \$84,222,000; FY 08 est not available; and FY 09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800)

999-6779). Reports are also available on the ERS website:
<http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA.

Regional or Local Office:

None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Web Site Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH

FEDERAL AGENCY:

OFFICE OF THE CHIEF ECONOMIST, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

7 U.S.C. Section 3318, 7 CFR Section 2.29.

OBJECTIVES:

To conduct cooperative economic research and analyses in support of the Office of the Chief Economist's responsibility to advise the Secretary of Agriculture on the economic implications of Department policies, programs, and proposed legislation. These research projects and analyses cover the nation's agricultural commodity markets; risk analyses and cost-benefit analyses related to international food and agriculture; sustainable development; energy issues related to the agricultural economy; agricultural labor; global climate change; and any other topics that support the Department's consideration or review of policies and programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with the Office of the Chief Economist's in-house research and analysis programs and projects. Limited discretionary funds are periodically made available.

Applicant Eligibility:

Applicants are usually nonprofit institutions of higher education or other nonprofit research organizations whose primary purpose is conducting economic research.

Beneficiary Eligibility:

Beneficiaries are usually nonprofit institutions of higher education or other nonprofit research organizations whose primary purpose is conducting scientific research.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon request of the Office of the Chief Economist, proposals should be submitted to the Office of the Chief Economist, Department of Agriculture.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Progress reports, final research or study reports, and financial statements.

Audits:

Audits will be conducted in accordance with guidelines established in the

revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

12-0705-0-1-352.

Obligations:

(Grants) FY 07 \$2,873,761; FY 08 est \$2,873,761; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$20,000 to \$1,948,384. Average: \$245,102.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015 and 7 CFR 3019.

Regional or Local Office:

None.

Headquarters Office:

Chief Economist, Department of Agriculture, Washington, DC 20250.

Telephone: (202) 720-4164. Contact: Information Officer. Telephone: (202) 720-5447.

Web Site Address:

<http://www.usda.gov/oce>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Review by professional economic and senior management staff at the Office of the Chief Economist for relevance to the proposed research topic, experience of the institution with respect to the research topic, and cost effectiveness.

10.303 INTEGRATED PROGRAMS

(Integrated Programs)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Sections 406 and 229 of Public Law 105-185; Section 25 of the Food Stamp Act of 1977, Section 25.

OBJECTIVES:

To provide an integrated research, education, and extension competitive grants program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This research, education, and extension competitive grants program provides funding for integrated, multi-functional agricultural research, extension, and education activities which addresses priorities in United States agriculture.

Applicant Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

Each prospective grantee organization must furnish the organizational information and assurances specified in the guidelines.

Preapplication Coordination:

All proposal solicitations are published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposal to USDA/CSREES as outlined in the guidelines. Application procedures are contained in CSREES research Grant Application Kit. This program is subject to the provisions of 7 CFR 3019.

Award Procedure:

CSREES program staff members review and evaluate all proposals with the assistance and advice of a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are accepted in order of merit to the extent permitted by available funds.

Deadlines:

As announced in the Federal Register for each fiscal year.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

None.

Renewals:

Proposals for renewal should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Normally, competitive research projects will be supported for periods of up to three years.

Reports:

Annual progress reports due within 90 days after anniversary of grant effective date and final technical report due 90 days after expiration of grant. Federal cash transaction reports and financial status reports as stated in 7 CFR 3019.52.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during life of the grant and for three years thereafter.

Account Identification:

12-I502-0-1-352.

Obligations:

(Grants) FY 07 \$41,334,662; FY 08 est \$1,311,857; and FY 09 est not reported. Note: Grants are competitively awarded.

Range and Average of Financial Assistance:

Awards range from \$20,000 to \$2,080,000 with the average being \$499,050.

PROGRAM ACCOMPLISHMENTS:

One university established a network among home food safety experts and provided new research on home food preservation. Goals for project activities met the needs for providing scientific, research-based recommendations for home food processing and preservation to the public.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pages 61290-61306 Federal Register, Vol. 56, No. 231, Part II, December 2, 1991; 7 CFR 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying. National Competitive Research Initiative Grants Program Administrative Provisions, Federal Register, Vol. 56, No. 220, Pages 57950-57958, November 14, 1991; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Administrator, Cooperative State Research, Education, and Extension Service, U.S. Department of Agriculture, Ag Box 2201, 14th and Independence Avenue, SW., Washington, DC 20250-2201. Telephone: (202) 720-4423.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207, Animal Health and Disease Research; 10.219, Biotechnology Risk Assessment Research; 10.250, Agricultural and Rural Economic Research; 10.500, Cooperative Extension Service; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

Improving Microbial Safety and Shelf-Life of Fresh Produce with Antimicrobial Films; National Center for Home Food Processing and Preservation; Preservation of Rural Watersheds and Drinking Water Resources; Accelerating Riparian Buffer Adoption to Enhance Water Quality and Farm Income.

CRITERIA FOR SELECTING PROPOSALS:

1. The scientific merit of the proposal, including the suitability and feasibility of the approaches and methodology; 2. the probability that the research will contribute to important discoveries or significant breakthroughs in food production or human nutrition, in relation to the mission of this program; 3. the qualifications of the principal investigator and other senior personnel, such as

training, demonstrated awareness of previous and alternative approaches to the problem, and performance record and/or potential for future accomplishment; and 4. the probable adequacy of available or obtainable facilities, equipment, instrumentation, and technical support. Consideration will be given to proposals which address fundamental questions in the relevant areas and which are consistent with the long-range missions of USDA.

10.304 HOMELAND SECURITY-AGRICULTURAL

(Homeland Security Program)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), Section 1472, 7 U.S.C. 3318.

OBJECTIVES:

To provide funding to protect the food supply and agricultural production, protect USDA facilities and other agricultural infrastructure and protect USDA staff and manage emergency preparedness.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

These funds are subject to the provisions of 31 U.S.C. 1301 requiring their use only for the purpose for which they were appropriated.

Applicant Eligibility:

Recipients must be eligible under section 1472(c) of NARETPA.

Beneficiary Eligibility:

Beneficiaries must be eligible under section 1472(c).

Credentials/Documentation:

Each first-time recipient must furnish organizational management information requested by the funding agency, and each applicant must provide assurances specified in the annual solicitation of applications.

Preapplication Coordination:

Letters of intent are requested prior to the submission of project proposals. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants submit project proposals along with OMB-approved Application Forms to CSREES for approval.

Award Procedure:

Proposals are reviewed and evaluated by CSREES staff members with the assistance and advice of peer panels of qualified educators, administrators, industrialists, and other appropriate persons who are specialists in the fields covered by the proposals, as needed. Grant payments will be made through the U. S. Department of Health and Human Services Payment Management System.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Grants are awarded for project periods of 12 to 36 months duration.

Reports:

Grant terms and conditions will specify reporting requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specified audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are expended for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0115-0-1-352.

Obligations:

(Grants) FY 07 \$9,504,000; FY 08 est 13,705,920; and FY 09 est not reported.

Range and Average of Financial Assistance:

It is anticipated that awards will range from \$250,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not applicable. This is a newly funded program.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015; 7 CFR 3016; 7 CFR 3017; 7 CFR 3018; 7 CFR 3019; and Grant Terms and Conditions.

Regional or Local Office:

None.

Headquarters Office:

CSREES Administrators Office, Cooperative State Research, Education, and Extension Service, Department of Agriculture, Room 305-A, Stop 2201, 1400 Independence Avenue, SW., Washington, DC 20250-2201.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Current funding is directed solely to the establishment of two diagnostic networks: one for plant diseases and pests and one for animal diseases and pests. Funding is not available under this section for research projects.

CRITERIA FOR SELECTING PROPOSALS:

In FY 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria: (1) Existing scientific capacity; (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements; (3) Both animal and plant diagnostic capabilities; (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility; (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and (6) An effective outreach/extension system.

10.305 INTERNATIONAL SCIENCE AND EDUCATION GRANTS

(INTERNATIONAL SCIENCE AND EDUCATION COMPETITIVE GRANTS PROGRAM)

FEDERAL AGENCY:

DEPARTMENT OF AGRICULTURE, COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE (CSREES)

AUTHORIZATION:

Section 1459 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended 7 U.S.C. 3292b.

OBJECTIVES:

To support projects to: enhance the international content of curricula; ensure that faculty work beyond the U.S. and bring lessons learned back home; promote international research partnerships; enhance the use of application of foreign technologies in the U.S., and strengthen the role that colleges and universities play in maintaining U.S. competitiveness.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funded projects will respond to specific needs and strengths of the university or college that will enable it to strengthen the global competence of students, faculty and staff in agriculture and related areas and enhance business performance in international agriculture and related sectors through extension, research and/or teaching programs.

Applicant Eligibility:

Applications may be submitted by colleges and universities and defined in section 1404 of NARETPA. The terms college and university mean an educational institution in any State which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such State to provide a program of education beyond secondary education; (3) provides an education program for which a bachelor's degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association.

Beneficiary Eligibility:

Colleges and universities; public or other nonprofit institutions.

Credentials/Documentation:

None. The program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals are solicited and should be submitted to the Proposal Services

Unit, Competitive Programs, CSREES, USDA, as outlined in the guidelines and/or requests for applications. Application procedures are contained in the guidelines or request for applications.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadline dates are announced on the Agency's Web site and in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission deadline.

Appeals:

None.

Renewals:

Requests for renewal support must be submitted as new proposals at the announced deadline, and are considered in equal competition with other applications.

Formula and Matching Requirements:

As announced in the request for applications.

Length and Time Phasing of Assistance:

From one to four years.

Reports:

Quarterly financial reports. Annual progress reports. Final financial and final summary progress reports.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Grants) FY 07 \$950,400; FY 08 est 1,910,400; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None. This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistance Regulations (implementing the provisions of OMB Circular Nos. A-21 and A-110); 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

International Programs, Cooperative State Research, Education, and Extension Service; USDA; STOP 2203; 1400 Independence Avenue, SW., Washington, DC. 20250-2203; telephone: 202-720-3801.

Web Site Address:

<http://www.csrees.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207, Animal Health and Disease Research; 10.210, Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216, 1890 Institution Capacity Building Grants; 10.219, Biotechnology Risk Assessment Research; 10.226, Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.250, Agricultural and Rural Economic Research; 10.652, Forestry Research.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on four major criteria: (1) Overall Approach and Collaboration, which includes soundness of proposed approach, procedures, integration with existing programs and realistic time table, cooperation among the lead institution and other partner organizations and institutional commitment; and (2) Potential for Contributing to Internationalization which includes the extent of importance or impact of the project, expected products or results, an adequate evaluation plan, a dissemination plan, and continuation

10.306 BIODIESEL

(BIODIESEL)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE (CSREES), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 9002, Public Law 107-171, 7 U.S.C. 8104.

OBJECTIVES:

To educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This funding is used for education and outreach activities. Program funds may not be used for renovation or refurbishment or research space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition or construction of buildings or facilities.

Applicant Eligibility:

Eligibility is restricted to nonprofit organizations or institution of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Beneficiary Eligibility:

Nonprofit organizations or institutions of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Credentials/Documentation:

None.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals are solicited and should be submitted to the Proposal Services Unit, Competitive Programs, CSREES, USDA, as outlined in the guidelines and/or requests for applications. Application procedures are contained in the guidelines or request for applications.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadline date is announced in the request for applications in the first fiscal year of the program.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission dateline.

Appeals:

None.

Renewals:

Awards are made as continuation grants, and subsequent annual funding is provided based on demonstrated progress.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Same level of funding for five years.

Reports:

Quarterly progress reports; annual and summary reports submitted to the Current Research Information System.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$960,000; FY 08 est \$960,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$190,000 and \$770,000.

PROGRAM ACCOMPLISHMENTS:

To increase the use of biodiesel fuel nationally, to provide information about the benefits of using biodiesel, to provide consistent information about technical issues related to biodiesel use.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1, subpart A USDA implementation of the Freedom of Information Act. 7 CFR Part 3 USDA implementation of OMB Circular No. A 129 regarding debt collection. 7 CFR Part 15, subpart A USDA implementation of Title VI of the Civil Rights Act of 1964, as amended. 7 CFR Part 3015 USDA Uniform Federal Assistance Regulations, implementing OMB directives (i.e., OMB Circular Nos. A 21 and A 122) and incorporating provisions of 31 U.S.C. 6301 6308 (formerly the Federal Grant and Cooperative Agreement Act of 1977, Public Law 95-224), as well as general policy requirements applicable to recipients of Departmental financial assistance. 7 CFR Part 3017 USDA implementation of Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug Free Workplace (Grants). 7 CFR Part 3018 USDA implementation of Restrictions on Lobbying. Imposes prohibitions and requirements for disclosure and certification related to lobbying on recipients of Federal contracts, grants, cooperative agreements, and loans. 7 CFR Part 3019 USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. 7 CFR Part 3052 USDA implementation of OMB Circular No. A 133, Audits of States, Local Governments, and Non profit Organizations. 7 CFR Part 3407 CSREES procedures to implement the National Environmental Policy Act of 1969, as amended. 29 U.S.C. 794 (sec. 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) prohibiting discrimination based upon physical or mental handicap in Federally assisted programs. 35 U.S.C. 200 et seq. Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401). FR Vol 68, No. 189, September 30, 2003, pages 56137-56143 (regulation); FR Vol. 68, No. 135, July 15, 2003, pages 41770-41777 (request for applications).

Regional or Local Office:

None.

Headquarters Office:

Plant and Animal Systems, 1400 Independence Avenue, SW; STOP 2220; Washington, DC 20250-2220; Telephone: (202) 401-5877.

Web Site Address:

<http://www.csrees.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

America's Energy Future; National Biodiesel Fuel Education Program.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program, demonstrated knowledge of biodiesel fuel production, use, or distribution; and demonstrated ability to conduct educational and technical support programs; relevance of proposed project to current and future issues, reasonableness of the proposed project, and technical quality of the proposed project.

10.307 ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE

(ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE (CSREES), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002, Section 7218, as amended; The Food, Agriculture, Conservation, and Trade Act of 1990, Section 1672B, 7 U.S.C. 5925b).

OBJECTIVES:

Facilitate the development of organic agriculture production, breeding, and processing methods. Functionally identify soil microbial communities and ways to manage microbial dynamics to enhance nutrient cycling and disease suppression; Develop systemic approaches to weed, insect and disease management; Prevent, control, and treat internal and external parasites in various livestock species; and Breed crops for disease and insect resistance, good yield in a biologically diverse system, compatibility with intercrops, good response to organic fertility sources, horizontal resistance (traits determined by multiple genes). Evaluate the potential economic benefits to producers and processors who use organic methods. Analyze potential economic costs, returns and risks of organic production systems; Use environmental valuation tools to quantify externalities of producing food, and compare externalities of producing organic and conventional food; - Analyze price and market structures, including ability of small, medium-, and large-scale growers to access different markets, in order to frame policies that minimize concentration within the industry; and Analyze marketing channels to document how organic food is distributed, what share of the organic food dollar is returned to the farmer, and the implications of large-scale manufacturers entering the organic market. Explore international trade opportunities for organically grown and processed agricultural

commodities. Compare compatibility of certification standards used in different parts of the world, with the ultimate goal of harmonization and reciprocity; Undertake marketing studies of international consumer demand for U.S. produced organic goods; and Perform Welfare analyses (quantified gains and losses for producers and consumers) of trade policies affecting international competitiveness, including implementation of the National Organic Program, domestic support programs such as the Conservation Security Act, country of origin labeling, GMO labeling, etc. Determine desirable traits for organic commodities. Examine relationships between nutrients in the soil and nutrients in the food grown on that soil, including long-term soil nutrient and crop nutrient profiles under conventional and organic management; Perform comparisons of nutrient levels between organic and conventional crops and relationship, if any, between taste and nutrient profile; Investigate the role of post-harvest handling and treatment in the maintenance of quality in fresh market organic products; and Determine the reasons for consumer preferences for organic goods. Identify marketing and policy constraints on the expansion of organic agriculture. Analyze opportunities and constraints to organic agriculture resulting from provisions of the Farm Security and Rural Investment Act of 2002; Investigate specific barriers to markets, such as scale-based regulations that restrict family farm access to processors and/or markets; Study negative lender perception of organic farming and ways to change this; and Analyze regulatory barriers, such as lack of access to Federal farm programs, and developing solutions to these challenges. Conduct advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing and to socioeconomic conditions. (Note: Many topics from other goal areas can be conducted on working farms.) Develop rigorous on-farm systems research designs; and Conduct long-term, interdisciplinary systems research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Integrated Organic Program is particularly interested in proposed projects that emphasize research and outreach that assist farmers and ranchers with whole farm planning and ecosystem integration. Projects should plan to deliver applied production information to producers. Fieldwork for both program areas must be done on certified organic land or on land in transition to organic certification, as appropriate to project goals and objectives. Refer to the USDA National Organic Program (<http://www.ams.usda.gov/nop>) for organic production standards.

Applicant Eligibility:

Applications may be submitted by State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For both ORG and OREI, all award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Beneficiary Eligibility:

State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals.

Credentials/Documentation:

Curricula vitae for all project directors and sub-contractors.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals are solicited and should be submitted to the Proposal Services Unit, Competitive Programs, CSREES, USDA, as outlined in the guidelines and/or requests for applications. Application procedures are contained in the guidelines or request for applications.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

applications must be received 60 days after publication of the Request for Applications.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission dateline.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

If a grant provides a particular benefit to a specific agricultural commodity, the grant recipient is required to match the USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. CSREES may waive the matching funds requirement for a grant if CSREES

determines that: (1) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or (2) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

Length and Time Phasing of Assistance:

None.

Reports:

Grantees must submit Annual Progress Reports to CSREES that detail progress toward achieving goals and objectives of the project and a Final Performance Report that includes a brief project impact statement written in lay language. These reports should be succinct and no longer than five (5) pages, single-spaced, using 12-point font. They should include the following information about the project: (1) basic information (i.e., project title, name(s) of PD(s), award number, and reporting period); (2) a description of the stakeholder needs identified, problems addressed, and results of the project (including publications); (3) plans for the upcoming year; and (4) a description of subaward activity, including the process used to select recipients. Any students who work on a project should be listed in the project reports (i.e., report graduate degrees awarded and under graduates trained, as applicable). A budget summary should be attached to each report to provide an overview of all monies spent during the reporting period. Grantees also are required to submit annual and summary progress reports via CSREES. Current Research Information System (CRIS). CRIS is an electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-I502-0-1-352.

Obligations:

(Grants) FY 07 \$2,836,820; FY 08 est \$2,880,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None. This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

None.

Headquarters Office:

Plant and Animal Systems, 1400 Independence Avenue, SW; STOP 2220; Washington, DC 20250-2220. Telephone: (202) 401-3356.

Web Site Address:

<http://www.csrees.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria below will be used in reviewing applications submitted in response to this RFA: Proposal Relevance (50%): (a) Documented need. Application includes documentation substantiating that project is directed to current and likely future potential problems/challenges in organic agriculture; (b) Stakeholder involvement; (c) Potential for project to contribute to profitable and sustainable organic production or marketing systems; (d) Importance of the commodity or production system or importance of marketing constraints and resulting impacts on the production system; (e) Potential for project to make a difference, to have an impact; (f) Potential for project to contribute to long-term improvement and sustainability of organic agriculture and (g) Feasibility, probability of success. Proposal Quality (50%): (a) Conceptual adequacy. Application clearly states objectives which are potentially attainable within project time, scope and budget; (b) Design. The application's methodology and analytical approach are appropriate to project objectives; (c) Involvement of appropriate, relevant expertise; (d) Experience of key project personnel; (e) Appropriateness of budget; and (f) Adherence to guidelines.

10.308 RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES

(RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES)

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE (CSREES), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 1491 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171, 7 U.S.C. 3363.

OBJECTIVES:

(a) In General. -The Secretary of Agriculture shall make competitive grants to eligible institutions to- (1) strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international education needs in the food and agricultural sciences; (2) attract and support undergraduate and graduate students in order to educate them in identified areas of national need in the food and agriculture sciences; 3) facilitate cooperative initiatives between two or more insular area eligible institutions, or between those institutions and units of State Government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs; and 4) conduct undergraduate scholarship programs to assist in meeting national needs for training food and agricultural scientists.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Beneficiary Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Formal proposals are solicited and should be submitted to the Proposal Services Unit, Competitive Programs, CSREES, USDA, as outlined in the guidelines and/or requests for applications. Application procedures are contained in the guidelines or request for applications.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadline dates are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission dateline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is

pending or audit findings have been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Grants) FY 07 \$468,748; FY 08 est \$475,200; and FY 09 est not reported.

Range and Average of Financial Assistance:

None

PROGRAM ACCOMPLISHMENTS:

This program is designed to enhance teaching programs in extension programs in food and agricultural sciences that are located in the insular areas of the Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Northern Mariana Islands, Micronesia, the Marshall Islands, or the Republic of Palau. Funds may be used that enhance programs in agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agriculture production and delivery systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Science and Education Resources Development (SERD) Cooperative State Research, Education and Extension Service (CSREES), Department of Agriculture, 1400 Independence Avenue, SW., Washington, DC 20250-2250.

Web Site Address:

www.csrees.usda.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.309 SPECIALTY CROP RESEARCH INITIATIVE**FEDERAL AGENCY:**

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 412 of the Agricultural Research, Extension, and Education Reform Act of 1998, as amended, 7 U.S.C. 7621 et seq.

OBJECTIVES:

To address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Beneficiary Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Credentials/Documentation:

Applicants must furnish the information announced in the request for applications. Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process.

Preapplication Coordination:

All proposal solicitations are published on the Agency's Web site and Grants.Gov. Before applying, you must complete the Grants.gov registration process. Please see the following Grants.Gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must follow the instructions as provided in the request for applications and the instructions on Grants.Gov.

Award Procedure:

Proposals are reviewed by a peer panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are funded in order of merit to the extent permitted by available funds.

Deadlines:

Specific deadlines dates are announced in the request for applications or guidelines for each fiscal year.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

None.

Renewals:

Proposals for renewal should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

The recipient of a grant under the Specialty Crop Research Initiative (SCRI) must provide funds or in-kind support from non-Federal sources in an amount that is at least equal to the amount provided by the SCRI. There is no provision for waiver of this requirement. See the request for applications for further information.

Length and Time Phasing of Assistance:

From one to ten years.

Reports:

Grantees are required to submit annual and summary progress reports via CSREES' Current Research Information System (CRIS). CRIS is an electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

Audits:

Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations." This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1502-0-1-352.

Obligations:

FY 07 \$0; FY 08 \$28,440,000 and FY 09 est not available.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement.

PROGRAM ACCOMPLISHMENTS:

New program in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, USDA Uniform Federal Assistant Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

National Program Leader; Plant and Animal Systems; Cooperative State Research, Education, and Extension Service, USDA; 1400 Independence Avenue, S.W., STOP 2220, Washington, DC 20250-2220; Telephone: (202) 401-3356.

Web Site Address:

<http://www.csrees.usda.gov/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

New program in FY 2008.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the request for applications.

10.350 TECHNICAL ASSISTANCE TO COOPERATIVES

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Cooperative Marketing Act of 1926, 7 U.S.C. 451- 457; Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627.

OBJECTIVES:

To develop and administer research, technical assistance, statistical, and educational programs on finances, organization, management, legal, social, and economic aspects of rural cooperatives, focusing on farmer cooperatives.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling; Training; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Services are limited to rural residents, including groups interested in forming cooperatives and established rural cooperatives. Publications are available to anyone.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Farmer and rural cooperatives and groups of rural residents interested in forming cooperatives, Native Americans, and rural residents in all U.S. Territories.

Beneficiary Eligibility:

Beneficiary Eligibility: Farmer/Rancher/Agriculture Producer; Rural residents.

Credentials/Documentation:

Requests for technical assistance by an established cooperative should come from the cooperative board of directors. Requests for assistance in forming a cooperative should be made in writing from a steering committee on behalf of the interested rural group.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

None. Consultation or assistance is available from the State Office or National Office to aid in preparing the request for assistance. Initial e-mail inquiries may be sent to Coopinfo@usda.gov. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A formal letter requesting assistance and including a description of the problem or nature of request is required. Send letters to either the National Office--Letter to the Deputy Administrator for Cooperative Services, Rural Business-Cooperative Service (RBS) or State Rural Development Office--Letter to the State Director, Rural Development. Requests made to the State Office may be forwarded to the National Office. Appropriate addresses may be found at the Web site shown later in this program description.

Award Procedure:

RBS considers all requests.

Deadlines:

None.

Range of Approval/Disapproval Time:

Requests are usually acted upon within 10 days following receipt at the National or State Offices.

Appeals:

Requests denied by the State Office may be appealed to the National Office.

Renewals:

If clients need additional assistance following formal closure of Federal assistance, a new request must be submitted.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Varies depending on the nature of the request or complexity of problem.

POST ASSISTANCE REQUIREMENTS:

Reports:

On a periodic basis, RBS will request key persons representing the client to evaluate the assistance received.

Audits:

In accordance with the provisions of Office of Management and Budget (OMB) Circular No. A-133 (Revised, June 27, 2003). "Audits of States, Local Governments, and Non-Profit Organizations," non Federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 per year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

FINANCIAL INFORMATION:

Account Identification:

12-1900-0-1-452.

Obligations:

Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Cooperative Services provides research, technical assistance, education and advisory services, and publication materials to rural and farmer cooperatives and to groups interested in organizing cooperatives. National Office and State Office staffs coordinate activities and responsibilities to best serve clients. Assistance to cooperatives was authorized by the Cooperative Marketing Act of 1926 and is available as part of the rural economic development efforts within the USDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Many publications related to farmer and rural cooperatives are available via the World Wide Web at the indicated Web Site or through USDA.gov.

INFORMATION CONTACTS:

Regional or Local Office:

Rural Development, State Office. Telephone numbers should be listed under Government in local telephone books. Visit Web site listed below.

Headquarters Office:

Deputy Administrator, Cooperative Services, Rural Business-Cooperative Service, U.S. Department of Agriculture, Stop 3250, Washington, DC 20250-3250. Telephone: (202) 720-7558. FAX (202) 720-4641. FTS is not available. Visit Web site listed below.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/coops/csdir.htm>.

RELATED PROGRAMS:

10.352, Value-Added Producer Grants; 10.771, Rural Cooperative Development Grants.

EXAMPLES OF FUNDED PROJECTS:

RBS provides technical assistance to help groups organize and establish new cooperatives and conducts advisory assistance to established cooperatives. RBS collects, analyzes and disseminates statistical information on rural cooperatives in the United States and its territories. RBS creates educational materials and conducts workshops about rural cooperatives. RBS conducts specialized applied research about rural and farmer cooperatives. RBS publishes the Rural Cooperatives bimonthly magazine that focuses on rural cooperative events and issues of importance nationally and internationally.

CRITERIA FOR SELECTING PROPOSALS:

Requests for assistance must come from or on behalf of residents in rural areas of the United States or its territories.

10.352 VALUE-ADDED PRODUCER GRANTS

(VAPG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture Risk Protection Act of 2000, Title VII, Public Law 106-224, as amended; The Farm Security and Rural Investment Act of 2002, Title VII, Public Law 107-171.

OBJECTIVES:

To assist eligible independent agricultural commodity producers, agriculture producer groups, farmer and rancher cooperatives, and majority-controlled producer-based businesses in developing strategies and business plans to further refine or enhance their products, thereby increasing their value to end users and increasing returns to producers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants. Planning grants provide funding for the activities necessary to determine the viability of a potential value-added venture, including feasibility studies and business plans. Working capital grants provide funds to pay the day-to-day expenses of the venture associated with marketing a value-added product. An application may be for either a Planning Grant or a Working Capital Grant, but not both.

Applicant Eligibility:

Eligible applicants are independent producers, agriculture producer groups, farmer- and rancher-cooperatives, and majority-controlled producer-based business ventures.

Beneficiary Eligibility:

Agricultural producers.

Credentials/Documentation:

Applicants must provide a budget supporting the work plan that details all sources and uses of funds during the project period. Applicants are required to verify matching funds, both cash and in-kind, such that USDA can verify all representations. Applicants must certify that matching funds will be available at the time grant funds are received. Matching funds must be spent in advance of grant funds.

Preapplication Coordination:

An environmental impact statement is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the Grants.gov Federal Web site. Unless otherwise specified, applicants must file an original and one copy of the proposal and all required forms or as otherwise instructed in the annual announcement. An applicant must submit Form SF-424, Form SF-424A, Form SF-424B, and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement:

(1) Title Page; (2) Table of Contents; (3) Executive Summary, including a clear statement whether the application is for a Planning Grant or a Working Capital Grant and the amount requested; (4) Eligibility; (5) Proposal Narrative including, but not limited to: (i) Project Title; (ii) Information Sheet; (iii) Goals of the Project; (iv) Work Plan; (v) Performance Evaluation Criteria; (vi) Proposal Evaluation Criteria; (6) Verification of Matching Funds; and (7) Certification that matching funds will be available at the same time grant funds are anticipated to be spent and that matching funds will be spent in advance of grant funding.

Award Procedure:

The Agency conducts an initial screening of all proposals to determine eligibility and completeness to allow for an informed review. Applications will be evaluated by experts selected by the Agency. After evaluation and scoring according to established criteria, the Administrator of Rural Business Cooperative Service reserves the right to award limited additional points: to ensure geographic distribution, for innovative projects, or to projects in underserved areas. Applications are funded in rank order until all available funds have been obligated. Final processing of the awards and monitoring of projects are the responsibility of the appropriate Rural Development State Office.

Deadlines:

Published in the Federal Register.

Range of Approval/Disapproval Time:

From 100 to 150 days after the application is filed with the Rural Development-Cooperative Programs National Office.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

7 CFR 4284.908 Use of grant and matching funds: (b) Grant funds may be used to pay up to 50 percent costs. Applicants will be required to contribute at least 50 percent in cash or in-kind contributions. The maximum grant amount of a planning grant is \$100,000 and of a working capital grant is \$300,000. Matching funds include cash or confirmed funding commitments from nonfederal sources. In-kind contributions that conform to the provisions of 7 CFR 3015.50 and 7 CFR 3019.23, as applicable, can be used as matching funds. Examples of in-kind contributions include volunteer services furnished by professional and technical personnel, donated supplies and equipment, and donated office space. Matching funds must be provided in advance of grant funding such that for every dollar of grant advanced not less than an equal amount of matching funds shall have been funded prior to submitting the request for reimbursement. Matching funds are subject to the same use restrictions as grant funds. Funds used for an ineligible purpose will not be considered matching funds.

Length and Time Phasing of Assistance:

Grant funds may be disbursed over a period of a year after obligation. No-cost extensions may be granted on a limited basis at the discretion of the Agency.

Reports:

Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. Those nonfederal entities exempt from Circular A-133 are subject to the audit requirements outlined in the Grant Agreement.

Records:

The Grantee will maintain adequate records and accounts to assure that grant and matching funds are used for authorized purposes. Records required by Federal law and regulations will also be maintained by the Rural Development State Office and the Rural Development-Cooperative Programs National Office.

Account Identification:

12-1900-0-1-452.

Obligations:

FY 07 \$21,637,622; FY 08 est \$19,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grants can be awarded for any amount up to \$100,000 for Planning Grants and up to \$300,000 for Working Capital Grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007 funds were awarded to 157 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 1951; 7 CFR 3015; 7 CFR 3019; 7 CFR 4284, Subpart A--General Requirements for Cooperative Services Grant Programs, 4284.1 through 4284.100; and, Subpart J - Value-Added Producer Grants, 4284.901 through 4284.1000. See the RBS website for additional information. You may also

contact Rural Development State and Local Offices and the Rural Business Cooperative Service Web site.

Regional or Local Office:

Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or check the RBS Web site.

Headquarters Office:

Deputy Administrator, Cooperative Services, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-7558. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grant funds are used to conduct feasibility studies, develop business and marketing plans, and provide working capital for value-added ventures.

CRITERIA FOR SELECTING PROPOSALS:

Published in the Federal Register and through grants.gov.

10.404 EMERGENCY LOANS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle C, Sections 321-330, Public Law 92-419, 7 U.S.C. 1961- 1984; Public Law 96-438; Public Law 97-35; Public Law 98-258; Public Law 99-198; Public Law 100-233; Public Law 100-387; Public Law 101-624.

OBJECTIVES:

To assist established (owner or tenant) family farmers, ranchers and aquaculture operators with loans to cover losses resulting from major and/or natural disasters, which can be used for annual farm operating expenses, and for other essential needs necessary to return disaster victims' farming operations to a financially sound basis in order that they will be able to return to private sources of credit as soon as possible.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Loan funds may be used to repair, restore, or replace damaged or destroyed farm property (real and chattel) and supplies which were lost or damaged as a direct result of a natural disaster; under certain conditions, refinance secured and unsecured debts made necessary by the disasters; finance adjustments in the farming, ranching or aquaculture operation(s) determined necessary to restore or maintain applicants' operations on a sound financial basis equivalent to their pre-disaster potential. The total of all actual loss loans is based on actual dollar value of production and physical losses. Loans are made at 3.75 percent interest, with a maximum limit of 80 percent of the actual production loss and 100 percent of the actual physical loss, or \$500,000 total indebtedness. Loans are made in counties: (1) Named by the Federal Emergency Management Agency as being eligible for Federal assistance under a major disaster or emergency declaration by the President; (2) designated as natural disaster areas by the Secretary of Agriculture; and (3) designated by the FSA Administrator for severe physical losses, only, as a result of a natural disaster.

Applicant Eligibility:

Requires that an applicant: (a) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on no more than 1 occasion prior to April 4, 1996. (b) be an established family farmer, rancher, or aquaculture operator (either tenant-operator or owner-operator), who was conducting a farming operation at the time of occurrence of the disaster either as an individual proprietorship, a partnership, a cooperative, a corporation, or a joint operation; (c) have suffered qualifying crop loss and/or physical property damage caused by a designated natural disaster; (d) be a citizen of the United States or legal resident alien, or be operated by citizens and/or resident aliens owning over a 50 percent interest of the farming entity; (e) be unable to obtain suitable credit from any other source(s) to qualify for subsidized loss loans; (f) have sufficient training or farming experience in managing and operating a farm or ranch (1 year's complete production and marketing cycle within the last 5 years immediately preceding the application); (g) be able to realistically project a feasible and sound plan of operation; (h) be a capable manager of the farming, ranching, or aquaculture operations (in the case of a cooperative, corporation, partnership or joint operation, if members, stockholders, partners or joint operators own a majority interest and are related by blood or marriage, at least one member, stockholder, partner or joint operator must operate the family farm; if not related, the majority interest holder(s) must operate the family farm); (i) have legal capacity to contract for the loan; (j) obtain eligibility certification; (k) provide adequate collateral to secure the loan request; (l) have crop insurance if available for affected crops comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 (16 U.S.C. 3801 et seq.), (Title 12 and 13) the Food Security Act of 1985 and the Food, Agriculture, Conservation, and Trade Act of 1990. Applicants who cannot meet all of these requirements

are not eligible. Assistance is available in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands, when those areas (by county) are designated.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Families, individuals and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must establish that substantial physical property damage and/or severe production losses, caused by the designated natural disaster, has occurred. To qualify for loss loans, the applicant must be unable to get credit elsewhere and demonstrate repayment ability on the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Application Form FSA 410-1 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

FSA Farm Loan Manager, State Executive Directors, and the Administrator or his designee are authorized to approve these loans, subject to certain administrative requirements, after applicants are determined eligible.

Deadlines:

Deadline for filing applications for actual loss loans is 8 months from the date of declaration/designation for both physical and production losses. Applicants should consult the FSA county office serving their area for application deadlines.

Range of Approval/Disapproval Time:

Applications must be approved or disapproved within 60 calendar days after the receipt of a completed application by the County Office.

Appeals:

Applicants for loans may appeal adverse actions taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

Rescheduling, reamortization, consolidation and deferment: Up to 15 years rescheduling for short and intermediate term loans made for production-type losses. Long term loans may be reamortized. However, the maximum statutory repayment period of 40 years for real-estate purposes cannot be exceeded. Loans made for similar purposes can be consolidated and rescheduled or reamortized. Generally, real estate will be needed as security when a term of more than 7 years is authorized.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

This varies in accordance with individual case needs, type of disaster losses, type of security available, and borrower's repayment ability. Applicants should consult the FSA county office serving their area for specific information.

Reports:

Borrowers are required to account for all security property.

Audits:

This varies in individual cases.

Records:

Applicants must furnish 5 year history of farm production, if available, when production losses are claimed. Otherwise, FSA records of acres grown and proven yields, county or State production averages, or combinations of the above records when approved, are used. Borrowers must keep adequate records as a condition for receiving FSA financing. Specific financial information is required to be maintained for 3 years for some borrowers as a condition of receiving loan assistance.

Account Identification:

12-4140-0-3-351; 12-1140-0-3-351.

Obligations:

(Direct Loans) FY 07 \$69,788,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$500 to \$500,000. Average: \$58,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) Farm Service Agency Fact Sheets, Program Aids 1610 'Farm Service Agency Producer's Guide to Loan Programs', and 1632, "Natural Disaster Assistance." Administrative regulations are published in the Federal Register at 7 CFR Chapter XVIII, Part 1945, Subparts A, and D.

Regional or Local Office:

Consult the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:
Department of Agriculture, Farm Service Agency, Director, Loan Making
Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

Web Site Address:
<http://www.fsa.usda.gov>.

RELATED PROGRAMS:
10.054, Emergency Conservation Program; 10.450, Crop Insurance; 59.008, Disaster Assistance Loans.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

10.405 FARM LABOR HOUSING LOANS AND GRANTS

(Labor Housing)

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117 and 89-754, 42 U.S.C 1484 and 1486.

OBJECTIVES:

To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

TYPES OF ASSISTANCE:

Project Grants; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The loans and grants may be used for construction, repair, or purchase of year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: Developers' fees, resident services, cost of unrelated commercial space, costs associated with other lenders/grantors. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers, individuals who derive a substantial portion of their income from farm labor, and their families. The occupants must also be a U.S. citizen or permanent resident.

Applicant Eligibility:

Loans are available to farmers, family farm partnership, family farm corporations, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

Beneficiary Eligibility:

A domestic farm laborer is any person who receives a substantial portion of his/her income as a laborer on a farm in the United States and is either (1) a citizen of the United States, or (2) has been legally admitted for permanent residency.

Credentials/Documentation:

The applicant must furnish factual evidence of the following: (a) The number of domestic farm laborers currently being used in the area; (b) the kind of labor performed; (c) the future need for domestic farm labor in the area; (d) the kind, condition, and adequacy of housing presently used for such labor; (e) ownership of presently occupied housing; (f) ability of workers to pay necessary rent; and (g) with the exception of State and local public agencies, be unable to provide housing from its own resources or credit on terms and conditions that would enable the applicant to provide labor housing. Costs will be determined in accordance with 7 CFR 3015 or 3016 for State and local governments.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For FY 06 the Section 514 Program will be awarded through a Notice of Funding Available (NOFA) announced in the Federal Register March 15, 2006. The NOFA application period is 2 months from the date of the announcement. The NOFA deadline is May 14, 2006. Funds available for off-farm new construction and acquisition and rehabilitation are as follows: Section 514 Loan \$36,116,887; Section 516 Grant \$13,860,000; Rental Assistance (RA) and Operating Assistance (only for migrant workers) will be available for all new construction funds. Other Distribution of Funding: Loans: On-Farm-\$2,000,000; Administrator's Reserve-\$4,179,805; Grants: Technical Assistant Grants-\$0; Administrator's Reserve-\$3,369,960.

Award Procedure:

Applications will be scored on the following factors: (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants

at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. A minimum of ten percent leveraged assistance is required to earn points; however, less than ten percent and the proposal includes donated land, two points will be awarded for the donated land, (0 to 20 points); (2) Seasonal, temporary, migrant housing (5 points for up to and including 50 percent of the units; 10 points for 51 percent or more); For FY 2005, National Office initiative will be based on the presence of and extent to which a tenant services plan exists that clearly outlines services that will be provided to the residents of the proposed project. Two points will be awarded for each resident service included in the tenant services plan up to a maximum of 10 points.(0 to 10 points). Application selection process: (1) States will review and score the applications and submit a list of applications in rank and point score order to the National Office; (2) the National Office will rank applications on a nation-wide basis and will advise States of the results.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

RHS uses a two-stage application process for the Farm Labor Housing Program. First, applicants submit preapplications, which are used to determine preliminary eligibility and feasibility. RHS then invites some applicants to submit formal applications. Section 514/516 funds are divided between off-farm housing and on-farm housing. The off-farm program provides loans and grants to organizations that assist farmworkers at off-farm locations with no restrictions that workers be employed on a particular farm. Under new regulations effective June 1999, preapplications for the off-farm program funds must be submitted in response to a Notice of Funding Availability (NOFA) published in the Federal Register every year. RHS scores the preapplications, using a ranking process to determine which applicants will be invited to submit formal applications. The on-farm program makes loans to eligible farmers (or a group of farmers) to provide Housing, usually for their own laborers. Preapplications for on-farm units, or for repair And rehabilitation of existing off-farm units, may be submitted at any time are processed on a first-come, first-served basis.

Appeals:

A person or organization who is directly and adversely affected by an administrative decision by Rural Development should follow the procedures found in 7 CFR Part 1900, Subpart B of "Rural Development Administrative Appeal Procedures."

Renewals:

Not applicable; although, applicants may apply under subsequent funding cycles.

Formula and Matching Requirements:

In case of a grant, 10 percent or more of the total development cost must be obtained from other sources. This may include funds made available through the power to levy taxes, assessments or charges, or from other credit sources including an Rural Development Labor Housing loan under Section 514.

Length and Time Phasing of Assistance:

Loans are usually made for 33 years at 1 percent interest. Grants may cover up to 90 percent of development cost. The balance may be (and usually is) a Section 514 loan. RHS rental assistance (RA) subsidy can be used to limit tenant payments to 30 percent of their income. RA can be used as an operating subsidy for projects or units with Section 516 funding that serve migrants. It is RHS policy to attempt to use less than a 90 percent grant when RA is utilized.

Reports:

Monthly progress reports are to be made to the Rural Development District/State Offices during the first year of operation or until requirement is waived by Rural Development.

Audits:

For borrowers not covered under OMB Circular No. A-133, annual audits are required from borrowers with 25 or more units in one or more projects. In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Adequate operating tenant, and accounting records must be maintained by borrower.

Account Identification:

(Grants) 12-2004-0-1-604; (Loans) 12-4141-0-3-371; 12-2081-0-4-371.

Obligations:

Loans: FY 07 \$41,580,000; FY 08 est not available; and FY 09 est not reported. Grants: FY 07 \$13,860,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Initial Grants \$48,270 to \$5,412,973. Average: \$2,365,805. Initial Loans ranged from \$196,400 to \$300,000,000. Average: \$1,297,028.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2005, 818 new units were built and 1,222 units were rehabilitated or developed as multiple family-type housing and on-farm individual-type housing units.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3560; Subpart L; RD Instruction 1944-D.

Regional or Local Office:

Consult your local telephone directory for Rural Development Area Office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Multi-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1604. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans; 10.415, Rural Rental Housing Loans; 10.427, Rural Rental Assistance Payments.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects are the projects sponsored by the Homestead Housing Authority in Homestead, Florida, or The Boulder County Housing Authority, Boulder, Colorado.

CRITERIA FOR SELECTING PROPOSALS:

A clearly defined need must exist to provide housing for domestic farm workers.

10.406 FARM OPERATING LOANS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Farm and Rural Development Act, as amended, Subtitle B, Sections 311-317, Public Law 92-419, 7 U.S.C. 1942; Agriculture Act of 1961, Title III, Public Law 87-128.

OBJECTIVES:

To enable operators of not larger than family farms through the extension of credit and supervisory assistance, to make efficient use of their land, labor, and other resources, and to establish and maintain financially viable farming and ranching operations.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Purchase livestock, poultry, fur bearing and other farm animals, fish, and bees; (2) purchase farm equipment; (3) provide operating expenses for farm enterprises; (4) meet family subsistence needs and purchase essential home equipment; (5) refinance secured and unsecured debts subject to certain restrictions; (6) pay property taxes; (7) pay insurance premiums on real estate and personal property; and (8) finance youth projects. Use restrictions are shown under Applicant Eligibility.

Applicant Eligibility:

Except for youth loans, individual applicants must: (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than 3 occasions prior to April 4, 1996 to receive a guaranteed loan; (2) have the necessary education and/or farm experience or training (1 year's complete production and marketing cycle within the last 5 years); (3) do not exceed the limitation on the number of years that assistance may be received; (4) possess the legal capacity to incur the obligations of the loan; (5) be unable to obtain sufficient credit elsewhere at reasonable rates, and terms; (6) project the ability to repay the loan; (7) be a citizen or permanent resident of the United States; (8) after the loan is closed, be an owner/tenant operator of a family farm; and (9) comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA); Certain corporations, cooperatives, partnerships and joint operations ("entities") operating family-sized farms are also eligible for farm operating loans. In brief, entity applicants must meet some of the same eligibility requirements as individual applicants. In addition, if members, stockholders or shareholders of the entity are related by blood or marriage, at least one stockholder, shareholder partner or joint operator must operate the family-sized farm. In the case when members are not related by blood or marriage, the majority interest holders in the entity must actually operate the family-sized farm to be eligible. The entity must be authorized to operate a farm in the State in which it is located. Limited resource applicants must meet the above requirements. In addition, they must have a low income and show a need for increased farm income. In the case of limited resource entities, all the partners, joint operators, members, or stockholders must be citizens and the entity must be the owner-operator of the family farm with at least one partner, joint operator, member or stockholder operating the farm. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and to the extent the Secretary determines it to be feasible and

appropriate, the Trust Territories of the Pacific Islands. To be eligible to obtain a direct loan, a borrower must agree to abide by any "borrower training" requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individuals, and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must prove that credit is not available elsewhere for the requested purposes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required. However, an informal conference with the local county office staff is recommended. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applicants file Form FSA 410-1, Application for Direct Loan Assistance, with supporting information, at the local county office of the Farm Service Agency for direct loans or Form FSA 1980-25 with the prospective lender for loan guarantees. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Certification as to eligibility is made by the local county committee (unless that responsibility has been delegated to the Agency credit officials) and an approval determination is made by a FSA official as to loan feasibility and soundness, and whether there is adequate collateral to secure any loan.

Deadlines:

None.

Range of Approval/Disapproval Time:

The loan approval official approves or disapproves a completed application within 60 days on a direct loan application and 30 days on a guaranteed loan application.

Appeals:

Applicants for direct and guaranteed loans, may appeal adverse action taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may, in the final step of the appeals process, request a review by the Director, National Appeals Division, Washington, DC. For guaranteed loans, both the lender and the applicant must request the appeal.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

The selected criteria identify essential elements considered necessary to compare the needs of the various States, and to make the best use of available program funds. This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Loans are scheduled for repayment over periods up to 7 years, but when justified, loans may be consolidated or rescheduled for up to 15 additional years. The interest rate for guaranteed loans is negotiated between the lender and borrower. If eligible, FSA may provide interest rate assistance on guaranteed loans at the rate of 4 percent. The interest rate for direct loans is determined by the Secretary of Agriculture.

Reports:

Various States have USDA certified Agricultural Loan mediation programs, which are designed to assist farm borrowers and their creditors in resolving financial disputes through the process of mediation. Where a State has such a farm credit mediation program, the lender shall participate in accordance with the rules of that system. FSA is not bound by any agreements developed in mediation or findings of the mediation unless FSA agrees to them in writing.

Audits:

Not applicable.

Records:

Records on production, income, expenses during the period of the loan.

Account Identification:

12-4140-0-3-351; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 07 \$643,500,000; FY 08 est not available; and FY 09 est not reported. (Unsubsidized Guaranteed Loans) FY 07 \$1,025,610,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Direct loans up to \$200,000; guaranteed loans up to \$731,000 (amount adjusted annually for inflation); direct average loan size approximately \$47,365 and guaranteed average loan size approximately \$157,339 for fiscal year 2001.

PROGRAM ACCOMPLISHMENTS:

There were 14,023 direct and 11,444 guaranteed loans made in fiscal year 2001. Farm operating loans provide credit to: (1) Establish beginning farmers; (2) assist farmers suffering from the price/cost squeeze, which will enable them to remain on the farm and refinance secured and unsecured debts; and (3) expand

farming operations.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1941, Subpart A and Part 762. Farm Service Agency Fact Sheets; FSA Handbook 2 FLP; Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; 1620 "Lender's Guide to FSA Loan Programs"; and 1630 "Rural Youth Loans".

Regional or Local Office:

Contact the appropriate FSA State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.407, Farm Ownership Loans; 15.124, Indian Loans_Economic Development.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.407 FARM OWNERSHIP LOANS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle A, Sections 302, 310D, Public Laws 100-233, 87-128, 91-620, 95-334, 97-98, 7 U.S.C. 1922; Section 303, Public Laws 87-708, 90-488, 95-113, 96-438, 7 U.S.C. 1923; Section 305, Public Law 92-419, 7 U.S.C. 1925; Section 307, Public Law 97-35, 7 U.S.C. 1927; Section 308, Public Laws, 87-798, 89-240, 92-133, 7 U.S.C. 1928; 7 U.S.C. 1934.

OBJECTIVES:

To assist eligible farmers, ranchers, and aquaculture operators, including farming cooperatives, corporations, partnerships, and joint operations, through the extension of credit and supervisory assistance to: Become owner-operators of not larger than family farms; make efficient use of the land, labor, and other resources; carry on sound and successful farming operations; and enable farm families to have a reasonable standard of living.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Enlarge, improve, and buy family farms; (2) provide necessary water and water facilities; (3) provide basic soil treatment and land conservation measures; (4) construct, repair, and improve essential buildings needed in the operation of a family farm; (5) construct or repair farm dwellings; (6) provide facilities to produce fish under controlled conditions.

Applicant Eligibility:

An applicant must: (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than 3 occasions prior to April 4, 1996 to receive a guaranteed loan; (2) be unable to obtain suitable credit from other sources at reasonable rates and terms; (3) be a U.S. citizen or permanent resident and possess the legal capacity to incur the obligations of the loan; (4) have the necessary education and/or experience, training, and managerial ability to operate a family farm; (5) realistically project the ability to repay the loan; (6) be the owner-operator of a not larger than family farm after the loan is closed; and (7) if an individual, the applicant must not have a combined farm ownership, soil and water, and recreation loan indebtedness to FSA of more than \$200,000, for direct loans; and \$717,000 (amount adjusted annually for inflation) for a guaranteed loan(s), or a combination of direct and guaranteed indebtedness; or a total indebtedness against the property securing the loan(s) of more than the market value of the security, whichever is the lesser amount. If a cooperative, corporation, joint operation or partnership, the entity must be controlled by farmers or ranchers engaged primarily and directly in farming in the U.S., after the loan is made, and must consist of members, stockholders, partners, or joint operators (8) have a minimum of 3 years farming experience. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands. Applicants must also comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA). To be eligible to obtain a direct loan, a borrower must abide by the Agency's borrower training requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individuals, and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must prove that credit is not available elsewhere for the requested

purpose; and that they possess sufficient training or farm experience. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applicants file Form FSA 410-1, Application for FSA Services, with supporting information, at the local county office of the Farm Service Agency for direct loans or Form FSA 1980-25 with the prospective lender for loan guarantees. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Certification as to eligibility is made by the Agency credit officials and an approval determination is made by an FSA official based on loan feasibility and soundness, and adequate security.

Deadlines:

None.

Range of Approval/Disapproval Time:

The loan approval official must approve or disapprove a completed application within 30 days on a guaranteed loan application and 60 days on a direct loan application.

Appeals:

Applicants for direct and guaranteed loans, may appeal adverse actions taken. The applicant is given an opportunity to appeal the decision to the National Appeals Staff. For guaranteed loans, both the lender and the applicant must request the appeal.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

The selected criteria identify essential elements considered necessary to compare the needs of the various States, and to make the best use of available program funds. This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Loans justified may be scheduled over a period up to 40 years. The interest rate for guaranteed loans is negotiated between the lender and borrower.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-4140-0-3-351; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 07 \$222,750,000; FY 08 est not available; and FY 09 est not reported. (Guaranteed Loans) FY 07 \$1,200,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Maximum direct \$200,000, maximum guaranteed \$731,000 (amount adjusted annually for inflation). Average direct \$111,762, guaranteed \$250,421.

PROGRAM ACCOMPLISHMENTS:

Loans are used to purchase, enlarge, and improve family farms. In fiscal year 2001, 2,085 direct, and 3,488 guaranteed loans were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1943, Subpart A and Part 762. Farm Service Agency Fact Sheets; FSA Handbook 2 FLP; Program Aids 1610, "Farm Service Agency Producer's Guide to Loan Programs"; and 1620, "Lender's Guide to FSA Loan Programs."

Regional or Local Office:

Contact the appropriate FSA State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.406, Farm Operating Loans; 15.124, Indian Loans_Economic Development.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.410 VERY LOW TO MODERATE INCOME HOUSING LOANS

(Section 502 Rural Housing Loans)

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Housing Act of 1949, Title V, Section 502, as amended, Public Law 89-117, 42 U.S.C. 1471 et seq.; Public Law 92-310, 42 U.S.C. 1480, 42 U.S.C. 1472; Public Law 98-51; Public Law 100-233; Amendment of Consolidated Farm and Rural Development Act of 1987, Section 601.

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD Guaranteed Housing loans or RHS Section 502 Direct Housing loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

Applicant Eligibility:

Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made to a participating private lender. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Rural Development field offices have authority to approve most Direct loan requests. Processing of guaranteed loans varies in each State. Contact your Rural Development State Office, listed in the telephone directory. If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. Requests for guarantee loans are acted upon in 3 days of receipt of the lender's request for guarantee.

Deadlines:

None.

Range of Approval/Disapproval Time:

For direct loans, from 30 to 60 days subject to availability of funds, from the time the application is filed if no backlog of applications exists. A 'pre-qualification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. For guarantees, a decision is required within 3 days of loan package submission by the approved lender.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National

Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no matching requirements. This program has no statutory formula. A basic formula is used to allocate program funds to various States. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding.

Reports:

Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits.

Audits:

None.

Records:

Borrowers are not required to, but should retain copies of loan-related documents.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

Direct Loans Initial Obligations FY 07 \$1,119,555,000; FY 08 est \$1,121,486,000; and FY 09 est not available. Guaranteed Loan Purchases: FY 07 \$3,334,132,000; FY 08 est \$4,129,225,000; and FY 09 est not available.

Range and Average of Financial Assistance:

FY 07 Average: 502 Direct Loans (\$108,663); Guaranteed Loans (\$102,649). Loans in high cost areas may be higher.

PROGRAM ACCOMPLISHMENTS:

Maximum loan restricted by area loan limits. In FY 2007, the average direct loan was \$108,663, while the average guaranteed loan for home purchase was \$102,649. Loans in high cost areas may be higher.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550 "Direct Single Family Housing Loans and Grants." For guaranteed loans, 1980-D "Subpart D -Rural Housing Loans."

Regional or Local Office:

Consult your local telephone directory under United States Department of Agriculture for Rural Development field office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single Family Housing Direct Loan Division or Director Single Family Housing Guaranteed Loan Division, Rural Development (RD), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474 (direct loans); (202) 720-1452 (guaranteed loans).

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.411, Rural Housing Site Loans and Self-Help Housing Land Development Loans; 10.417, Very Low-Income Housing Repair Loans and Grants; 10.420, Rural Self-Help Housing Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.411 RURAL HOUSING SITE LOANS AND SELF-HELP HOUSING LAND DEVELOPMENT LOANS

(Section 523 and 524 Site Loans)

FEDERAL AGENCY:

RURAL DEVELOPMENT(RD) RURAL HOUSING PROGRAMS,
DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 523 and 524, Public Law 89-117; Public Law 89-754, 42 U.S.C. 1490c and d.

OBJECTIVES:

To assist public or private nonprofit organizations interested in providing sites for housing; to acquire and develop land in rural areas to be subdivided as adequate building sites and sold on a cost development basis to families eligible for low and very low income loans, cooperatives, and broadly based nonprofit rural rental housing applicants.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

For the purchase and development of adequate sites, including necessary equipment which becomes a permanent part of the development; for water and sewer facilities if not available; payment of necessary engineering, legal fees, and closing costs; for needed landscaping and other necessary facilities related to buildings such as walks, parking areas, and driveways. Restrictions: loan funds may not be used for refinancing of debts, payment of any fee, or commission to any broker, negotiator, or other person for the referral of a prospective applicant or solicitation of a loan; no loan funds will be used to pay operating costs or expenses of administration other than actual cash cost of incidental administrative expenses if funds to pay those expenses are not otherwise available. Repayment of loan is expected within two years.

Applicant Eligibility:

A private or public nonprofit organization that will provide the developed sites to qualified borrowers on a cost of development basis in open country and towns of 10,000 population or less and places up to 25,000 population under certain conditions. Applicants from towns of 10,000 to 25,000 population should check with local RD office to determine if agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Sites developed with Section 524 loans must be for housing low and very low income families and may be sold to families, nonprofit organizations, public agencies and cooperatives eligible for assistance under any Section of Title V of the Housing Act of 1949, or under any other law which provides financial assistance. Sites developed with Section 523 loans must be for housing to be built by the self-help method.

Credentials/Documentation:

Applicants must furnish evidence of the following: (1) Market analysis showing need for such services; (2) legal capacity to borrow funds and develop land for sale; (3) a sound budget; and (4) general project description. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental impact assessment and environmental impact statements are required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

The application will be in the form of a letter to the Rural Development Manager of the Rural Development (RD). Supporting information and costs should be included as needed. This program is excluded from coverage under Departmental Regulations 3015 and 3016, and OMB Circular No. A-110.

Award Procedure:

After application has been reviewed.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

A uniform procedure whereby an organization which is directly or adversely affected by an administrative decision by Rural Development Rural Housing Programs is found in 7 CFR 3550.4. Applicants may request reconsideration on the basis of pertinent facts concerning his application.

Renewals:

Applicants may reapply at any time provided there is evidence of need for the proposed building sites in the locality.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

RD District office monitors the loan and reports to the State Director should the borrower default in its loan obligations.

Audits:

Not applicable.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(524 Site Loans) FY 07 \$2,450,000; FY 08 est not available; and FY 09 est not available. (523 Site Loans) FY 07 \$570,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, four loans were made in the 523 program, and one loan was made in the 524 program.

REGULATIONS, GUIDELINES, AND LITERATURE:

RD Instruction 444.8, "Rural Housing Site Loan Policies, Procedures, and Authorizations"; 7 CFR 1822.261-1822.278; PA-1131.

Regional or Local Office:

Consult your local telephone directory for RD District Office number. If no listing, get in touch with appropriate RD State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single-Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans; 10.415, Rural Rental Housing Loans; 10.420, Rural Self-Help Housing Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Caver County Housing and Redevelopment Agency, Chanhassen, Minnesota.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.415 RURAL RENTAL HOUSING LOANS**FEDERAL AGENCY:**

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property.

Applicant Eligibility:

Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Occupants must be very low-, low- or moderate-income families households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For FY 06 the Section 515 Program will be awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register March 15, 2006. The NOFA application period is 60 days from the date of the announcement. The NOFA deadline is May 14, 2006.

Award Procedure:

Applications will be scored on the following factors (the factors are the same as last year's except for the additional requirement (shown in italics) that has been added to factor 4, the National Office initiative): (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 20 points); (2) the units to be developed are in a colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR 1944.215(r)(4). (5 points); and (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points).

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program is now a Notice of Funding Availability (NOFA). Rural Development State Directors use needs criteria to establish a list of targeted communities for which applicants may request loan funds. Applications are then rated competitively in order to select recipients.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaid.

Reports:

Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter.

Audits:

For borrowers not covered under OMB Circular No. A-133, annual audits conducted in accordance with Government Auditing Standards are required from borrowers with 25 or more units in any project. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Good business records required.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(New Construction funds) FY 07 \$36,382,000 (for repair/rehab only); FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Loan limit per application is \$1 million. Total limit per State (reserve and set-aside funds are not included in this cap): \$2.5 million.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560. Handbook 1-Loan Origination, Handbook 2-Asset Management, Handbook 3-Project Servicing.

Regional or Local Office:

Refer to the NOFA which lists Offices to contact or consult your local telephone directory for Rural Development county or district office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1604. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.411, Rural Housing Site Loans and Self Help Housing Land Development Loans; 10.427, Rural Rental Assistance Payments.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.417 VERY LOW-INCOME HOUSING REPAIR LOANS AND GRANTS

(Section 504 Rural Housing Loans and Grants)

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD) RURAL HOUSING PROGRAMS (RHP)
DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92-310, 42 U.S.C. 1474.

OBJECTIVES:

Section 504 loans and grants are intended to help very low-income owner-occupants in rural areas repair their properties.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Loan funds may be used to make general repairs and improvements to properties or to remove health and safety hazards. Grant funds may be used only to pay for the costs for repairs and improvements that will remove identified health and safety hazards or to repair or remodel dwellings to make them accessible for household members with disabilities. Loans and grants are typically used for repair or replacement of heating, plumbing or electrical services, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling. Maximum loan amount cannot exceed a cumulative total of \$20,000 to any eligible person and maximum lifetime grant assistance is \$7,500 to any eligible person. The house must be located in an eligible rural area which does not exceed 10,000 population. Some places with populations between 10,000 and 25,000 may be eligible if not within a Metropolitan Statistical Area (MSA). Assistance is available in States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Beneficiary Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for the part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Credentials/Documentation:

Evidence of ownership and verification of income and debts. Grant recipients must provide evidence of age. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applicants must file an application form at the Rural Development field office serving the county where the dwelling is located. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Delegated to Local or Area Loan Approval Official.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days from time applications are filed if no backlog of applications exists. Applications for assistance far exceed available funding. There is generally a waiting list for funding.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no matching requirements. This program has no statutory formula. The following basic formula criteria are to allocate program loan funds to various States: $(A \times .50 + B \times .50) \times \text{funds available} = \text{State basic formula allocation}$. Where "A" is State's percentage of national number of rural occupied units; and "B" is State's percentage of national number of rural households below 50 percent of area median income. The formula for grants is: $(A \times 1/3 + B \times 1/3 + C \times 1/3) \times \text{funds available} = \text{State basic allocation}$. Where "C" is State's percentage of national rural population 62 years of age and older. The data source for each of these criteria are based on the latest census data available.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of an established allocation system. Funds are usually released to borrowers/grantees as a lump sum payment for completed repairs or, as needed, for repairs in progress.

Reports:

None.

Audits:

None.

Records:

Borrowers and/or grantees are not required to, but should retain copies of loan or grant-related documents.

Account Identification:

(Loans) 12-4141-0-3-371; 12-2081-0-1-371; (Grants) 12-2064-0-1-604.

Obligations:

(Loans) FY 07 \$36,800,000; FY 08 est \$34,409,003; and FY 09 est not available. (Grants) FY 07 \$29,700,000; FY 08 est \$29,790,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Loans Averaged \$5,942; Grants Averaged \$5,638.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550, Direct Single Family Housing Loans and Grants. (no charge); Home Improvement and Repair Loans, PA-1184, no charge.

Regional or Local Office:

Consult your local telephone directory for Rural Development county office number. If no listing, contact your Rural Development State office listed in Appendix IV of the Catalog or visit http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single-Family Housing Processing Division, Rural Development - Rural Housing Programs, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.420 RURAL SELF-HELP HOUSING TECHNICAL ASSISTANCE

(Section 523 Technical Assistance)

FEDERAL AGENCY:

RURAL DEVELOPMENT, HOUSING PROGRAMS, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.

OBJECTIVES:

To provide Self-Help Technical Assistance Grants to provide financial assistance to qualified nonprofit organizations and public bodies that will aid needy very low and low-income individuals and their families to build homes in rural areas by the self help method. Any State, political subdivision, private or public nonprofit corporation is eligible to apply. Section 523 Grants are used to pay salaries, rent, and office expenses of the nonprofit organization. Pre-development grants up to \$10,000 may be available to qualified organizations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible organizations may use technical assistance funds to hire the personnel to carry out a technical assistance program for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Very low and low-income rural families, usually in groups of 6 to 10 families.

Credentials/Documentation:

Applicants must furnish evidence of the need for such services, have legal capacity to incur the obligation and operate the proposed project, and be unable to obtain the necessary funds from other sources. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The State Director must request funding approval from the National office of all requests. A technical review and analysis must be completed by the Regional Technical and Management Assistance (T&MA) contractor on all predevelopment, new, and existing (refunding) grant applications. In addition to the T&MA contractor's review, Agency personnel must also review and evaluate the feasibility of the grantee's request and make the appropriate recommendation. The level of National office review will be based on the amount of the grant. For grant requests under \$300,000 the State Director should submit: (1) the analysis from the T&MA contractor; (2) statement indicating whether or not the grant recipient will be working in Empowerment Zones (EZ), Enterprise Communities (EC) or Rural Economic Area Partnerships (REAP) and census tract designation of area; (3) the State Director's recommendation; (4) a copy of the conditions to be met; (5) a copy of From RD 1940-1, "Request for Obligation of Funds;" and (6) if the grantee is a marginal performer, specific information showing actions to correct performance. For grant requests that equal or exceed \$300,000, the complete application docket along with a statement indicating whether the grant recipient will be working in an EZ, EC, REAP or tribal community, must be sent to the National office. \$34 million has been appropriated for section 523 Mutual and Self-Help Technical Assistance grants. Of these funds, \$1 million is earmarked for EZ, EC or REAP communities. All section 523 grants will be obligated in the National office. This will enable the National office staff to monitor fund utilization. For section 523 mutual and self-help site loans and section 524 RH site loans, State Directors are responsible for developing an outreach program to increase public awareness of these programs. The State Director must request funding authority from the National office prior to obligating loan funds. Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by Departmental Regulations 3015 and 3016 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Form SF-424 is submitted to the Field office of the Rural Development (RD), including information attached to Part IV, fully documenting the applicant's experience, need for the grant and the proposed amount needed. Attachments relating to the size of the proposed project, estimated cost, budget and need are also required. This program is subject to provisions of Departmental Regulation 3015 and 3016.

Award Procedure:

Award is made by the National office.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 190 days from the time discussion begins.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time, if needed to help low-income families build homes in rural areas by the mutual self-help method is clearly evidenced.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are typically for a 2-year period, however, other time periods may be authorized if appropriate. Applications for assistance far exceed available funding. There is generally a waiting list for funding.

Reports:

Quarterly progress reports are to be made to the RD-Housing Programs District Office.

Audits:

Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Adequate operating records must be maintained by Grantee.

Account Identification:

12-2006-0-1-604.

Obligations:

(Grants) FY 07 \$30,550,000; FY 08 est \$32,355,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Subpart I of Part 1944; Chapter XVIII; RD Instruction 1944-I, "Self-Help Technical Assistance Grants"; (PA 1254) (no charge).

Regional or Local Office:

Consult your local telephone directory for Rural Development District Office number. If no listing, contact the appropriate Rural Development State office listed in Appendix IV of the Catalog or see our web site at <http://www.rurdev.usda.gov>.

Headquarters Office:

Director, Single-Family Housing Processing Division, Rural Development, Housing Programs, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans; 10.411, Rural Housing Site Loans and Self-Help Housing Land Development Loans.

EXAMPLES OF FUNDED PROJECTS:

An example of a funded project is Self-Help Enterprises, Visalia, California and the City of Casa Grande, Casa Grande, and Arizona.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are the evidence of a clearly defined need in an area for mutual self-help housing and the families in the area cannot afford or obtain a modest house by customary methods, and the applicant possesses the expertise to provide the technical assistance (TA) required.

10.421 INDIAN TRIBES AND TRIBAL CORPORATION LOANS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Loans to Indian Tribes and Tribal Corporations Act, Section 488-492, Public Law 91-229.

OBJECTIVES:

To enable federally recognized Indian tribes and tribal corporations to acquire land within tribal reservations and Alaskan communities.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Loan funds may be used to acquire land and interest therein for the benefit and use of the tribe or its members for purposes such as rounding out farming and ranching units or elimination of fractional heir ships. Funds may also be used for incidental costs connected with land purchase such as appraisals, title clearance, legal services, land surveys, and loan closing. Loan funds may be used to refinance non-United States Department of Agriculture preexisting debts that applicant incurred to purchase land subject to certain conditions. Loan funds cannot be used for any improvement or development purposes, acquisition or repair of buildings or personal property, payment of operating costs, payment of finder's fees, or similar costs.

Applicant Eligibility:

Limited to any Indian tribe recognized by the Secretary of the Interior or tribal corporation established pursuant to the Indian Reorganization Act or community in Alaska incorporated by the Secretary of Interior pursuant to the Indian Reorganization Act which does not have adequate uncommitted funds to acquire lands within the tribe's reservation or in a community in Alaska. The tribe must be unable to obtain sufficient credit elsewhere at reasonable rates and terms and must be able to show reasonable prospects of repaying the loan as determined by an acceptable repayment plan and a satisfactory management plan for the land being acquired.

Beneficiary Eligibility:

American Indian Tribe or tribal corporation recognized by the Secretary of the Interior, or a community in Alaska incorporated by the Secretary of the Interior.

Credentials/Documentation:

Credentials provided by the Secretary of the Interior. Feasibility documentation must be supplied by the applicant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Secretary of the Interior must determine that lands to be acquired lie within a tribal reservation or community in Alaska incorporated by the Secretary of the Interior pursuant to the Indian Reorganization Act. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Form FSA 770-2620 will be submitted to the State FSA offices. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After an application has been recommended by Agency loan specialist, the State Executive Director has final approval authority on loans up to \$500,000. Loans in excess of that amount require the National office approval. Following approval, payment authorization is forwarded to the National finance office which issues the check.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated and appropriate appeal rights given. The applicant may reapply at any time that the reasons for rejection are corrected. If not satisfied with the State Executive Director's action, the applicant is given an opportunity to appeal the decision to the National Appeals Division decision making process. The applicant may in the final step of the appeals process, ask for a review of the decision by the Director of the National Appeals Division, Washington, DC.

Renewals:

Not applicable.

Formula and Matching Requirements:

Program size and requirement does not permit equitable distribution on a Tribe or State basis. The loan assistance may equal the project cost if the applicant is financially unable to contribute a part of the cost. This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Loans may be scheduled over a period not to exceed 40 years.

Reports:

None.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Records and accounts are required to reflect the operations of the project.

Account Identification:

12-4140-0-3-351; 12-1140-0-1-351.

Obligations:

(Loans) FY 07 \$3,960,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$450,000 to \$2,000,000. Average: \$224,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 770, and FSA Handbook 6-FLP, Part 2., FMHA Instruction 442.11, FMHA Credit for American Indians, PA-1129.

Regional or Local Office:

Contact the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Director, Loan Servicing and Property Management Division, Ag Box 0523, Washington, DC 20250. Telephone: (202) 720-4572.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

15.124, Indian Loans Economic Development.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.427 RURAL RENTAL ASSISTANCE PAYMENTS

(Rental Assistance)

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128, 42 U.S.C. 1490a.

OBJECTIVES:

To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income. Tenants who may be eligible must occupy units in eligible RRH, RCH and LH projects financed by RHS.

Applicant Eligibility:

To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in 7 CFR 3560.254 (a) or; an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

Beneficiary Eligibility:

Any very low and low-income family, handicapped or senior citizen that is unable to pay the approved rental rate for an eligible RHS rental assistance unit within 30 percent of their adjusted monthly income. Households eligible for rental assistance are those 1) whose net tenant contribution to rent, determined in accordance with 3560.203 (a)(2), is less than the basic rent for the unit; 2) whose head of the household is a U.S. citizen or a legal alien as defined in 3560.11; 3) who meet the occupancy rules established by the borrower in accordance with 3560.155 (e); and 4) who have a signed, unexpired tenant certification form on file with the borrower.

Credentials/Documentation:

The applicant must be the owner or plan to become an owner of an eligible RHS RRH, RCH, or LH project operating on a limited profit or nonprofit basis. This program is excluded from coverage under 7 CFR 3015 or 3016.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under 7 CFR 3015 or 3016.

Application Procedure:

Eligible borrowers will initiate the processing by submitting Form RD 3560-25,

"Request for Rental Assistance". Applications shall then be reviewed under the procedure set forth in 7 CFR 3560.255. This program is excluded from coverage under 7 CFR 3015 or 3016

Award Procedure:

After the application has been reviewed by the Rural Development Manager, it is given final approval by the State Director. The State Director will determine the number of rental assistance units to be given from the total of units that were allocated to the State. Projects may be eligible for rental assistance for up to 100 percent of the number of units in the project.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days from the time Form RD 1944-25, "Request for Rental Assistance" is filed.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application under the provisions of RD Instruction 1900-B.

Renewals:

Rental assistance contracts for new as well as existing projects may be renewed for at the end of the original contract subject to the availability of funds.

Formula and Matching Requirements:

The statistical factors used for fund allocation are: (1) State percentage of RHS rural population and the source is "2000 Census" updated by ERS; (2) State percentage of RHS rural housing units lacking plumbing and/or overcrowded and the source is "2000 Census"; and (3) State percentage of persons in poverty living in RHS rural areas and the source is "2000 Census."

Length and Time Phasing of Assistance:

Rental assistance contracts shall be funded subject to the availability of funds and appropriation language.

Reports:

The borrower must initially submit Form RD 3560-8, "Tenant Certification," for each tenant. Subsequent tenant certifications must be obtained annually and submitted to the Rural Development Manager. Quarterly progress reports are to be made to the Rural Development Manager during the first year of successful operation and each quarter thereafter until discontinued by the Rural Development Manager. An annual report will also be necessary.

Audits:

Borrowers not covered by OMB Circular No. A-133, are required to provide annual financial reports in accordance with 7 CFR 3560.308. This program is also subject to audit by the USDA Office of Inspector General. In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-0137-0-1-604; 12-2002-0-1-604.

Obligations:

(Direct payments) FY 07 \$487,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Data unavailable at this time.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560, Direct Multi-Family Housing Loans and Grants.

Regional or Local Office:

Consult your local telephone directory for Rural Development office number. If no listing, contact the appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Multi-family Housing Portfolio Management Division, Rural Housing Programs (RP), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1615. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.415, Rural Rental Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.433 RURAL HOUSING PRESERVATION GRANTS**FEDERAL AGENCY:**

**RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE
AUTHORIZATION:**

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.

OBJECTIVES:

To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation, projects run by eligible applicants. This program is intended to make use of and leverage any other available housing programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 20,000 under certain conditions. Applicants in towns with population of 10,000 to 20,000 should check with local Rural Development office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

Beneficiary Eligibility:

Very low and low-income rural individuals and families who are homeowners and need resources to bring their housing up to code standards, rental property owners, or co-ops.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in rural housing rehabilitation. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by 7 CFR parts 3015 or 3016 must be used for this program. Preapplications on SF 424.1 "Application for Federal Assistance (for non-construction)," must be submitted to Rural Development. Applicants are encouraged to consult with the Rural Development District or State office prior to submission of a Preapplication and to receive assistance in the preparation of their preapplication. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

In FY 06, the section 533 program will be awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register March 15, 2006. The NOFA application period is 60 days from the date of the announcement. The NOFA deadline is May 14, 2006.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Dates governing the acceptance, review, and selection of project preapplication will be published annually in the Federal Register. Applicants may also contact the Rural Development State Office for deadlines.

Range of Approval/Disapproval Time:

Notice of action taken on preapplications will be generally within 60 days of final date of acceptance of preapplication.

Appeals:

Applicants may request reconsideration on the basis of pertinent facts concerning their application within 30 days of notification of action taken on the preapplication or application.

Renewals:

Applicants may apply for an additional HPG grant when they have achieved or nearly achieved the goals established for the previous or existing grant. The

grantee must file a preapplication for the current fiscal year which will be processed and compared under the project selection criteria to others submitted at that time.

Formula and Matching Requirements:

See 7 CFR 1940-L, "Methodology and Formulas for Allocation of Loan and Grant Funds." This program has a statutory formula consisting of the following factors and weights: State's percentage of national rural population, 33 1/3 percent; State's percentage of national number of rural occupied substandard units, 33 1/3 percent; and State's percentage of national rural families with incomes below the poverty level, 33 1/3 percent. Data source for each factor is based on the latest census data available. The percentage for each factor is multiplied by the weight assigned and summed to arrive at a State factor. The State factor is multiplied by the total amount available for allocation nationally, minus the national office reserve (approximately 5 percent). This program has no cost-sharing arrangement or matching requirements although priorities under the project selection criteria include extent of leveraging of funds to complement the housing preservation grant.

Length and Time Phasing of Assistance:

Grants are made for a 12 month period. Agency consent is required for grants that exceed 1 year.

Reports:

Quarterly financial and project performance reports are to be made to the Rural Development office receiving the grant.

Audits:

Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Grantees shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2070-0-1-604.

Obligations:

(Grants) FY 07 \$9,900,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944-N, Section 1944.651 through 1944.700, RD Instruction 1944-N. Regulations are available from Rural Development State offices.

Regional or Local Office:

Consult your local telephone directory for your local Rural Development Area Office number. If no listing, contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Multi-Family Housing Processing Division, Rural Development, Department of Agriculture, Washington DC 20250. Telephone: (202) 720-1604. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans; 10.411, Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.417, Very Low-Income Housing Repair Loans and Grants; 10.420, Rural Self-Help Housing Technical Assistance; 14.108, Rehabilitation Mortgage Insurance; 14.121, Mortgage Insurance_Homes in Outlying Areas.

EXAMPLES OF FUNDED PROJECTS:

Funded projects generally provide financial assistance to very low-income persons for bringing their dwellings up to local code standards through an HPG grant combined with other Federal funding, such as HUD's community development block grants or HHS's weatherization program. Other variations funded includes using HPG funds to establish a revolving loan fund that provides homeowners a long term, interest subsidized loan; "lending homeowners the money and "forgiving" 20 percent per year until the loan becomes a grant after five years; using the grantee's own employees to perform the rehabilitation work to reduce the costs; and, in a few instances, leveraging State resources for repair loans or grants. In most cases, grantees that are currently active in home repair and rehabilitation were selected and were able to leverage their existing programs with the new HPG funds.

CRITERIA FOR SELECTING PROPOSALS:

Projects must provide a feasible repair rehabilitation program and serve areas

with a concentration of substandard housing and very low and low-income persons. In addition, the following criteria will be considered in the selection of grant recipients. Each preapplication and its accompanying statement of activities will be evaluated on: (1) The percentage of very low-income persons assisted; (2) the percentage of use of HPG funds to total cost of housing preservation; (3) the applicant's administrative capacity and experience in (i) housing rehabilitation or weatherization, (ii) assisting very low and low-income persons attain housing assistance and (iii) prior programs no outstanding audits findings; (4) the proposed program will be undertaken in non-Metropolitan Statistical Areas identified by Rural Development as having populations below 10,000 or in remote parts of other rural areas, (i.e., rural areas contained in Metropolitan Statistical Areas with less than 5,000 population); (5) the program will minimize the use of grant funds for administrative purposes, i.e., less than 20 percent of grant funds; (6) the program will alleviate overcrowding in rural residences inhabited by very low and low-income families; and (7) if an existing grantee has met the objectives of its current grant.

10.435 STATE MEDIATION GRANTS

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Credit Act of 1987, Title V, Public Law 100-233; Food, Agriculture, Conservation, and Trade Act of 1990, Public Law 101-624; Agricultural Credit Improvement Act of 1992; Federal Crop Insurance Reform Act of 1994, Department of Agriculture Reorganization Act of 1994, Public Law 103-354; the United States Grain Standards Act of 2000, Public Law 106-472.

OBJECTIVES:

To assist States in establishing and administering mediation programs and services for agricultural producers, creditors of producers (as applicable), and persons directly affected by actions of the Department of Agriculture.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are to be used for the operation and administration of a State's mediation program which has been certified by the Administrator of the Farm Service Agency (FSA) as meeting the requirements of Section 501 (c) of Title V of the Agricultural Credit Act of 1987 (U.S.C. 510), as amended. A grant will not exceed 70 percent of the total fiscal year funds that a qualifying State requires to operate and administer its mediation program, or \$500,000, whichever is less. Eligible costs are limited to those that are reasonable and necessary to carry out the mission of the State's mediation program and may include activities related to the intake and scheduling of cases, the provision of background and selected information regarding the mediation process, financial advisory and counseling services, and the mediation session.

Applicant Eligibility:

State governments.

Beneficiary Eligibility:

Agricultural producers, creditors of producers (as applicable) and persons directly affected by actions of the Department of Agriculture.

Credentials/Documentation:

Have a mediation program which has been certified by the Administrator of FSA, and for which certification has not been withdrawn; provide evidence to justify the estimated costs of operating and administering the State's mediation program. The Governor of a State or head of an entity designated by the Governor of a State must make a written request to FSA on or before August 1 of each year to receive a matching grant during the fiscal year commencing on October 1, of that same year. Request for certification are accepted after August 1 of each year; however, the State will be considered in order of date received for reserved grant funds. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. To be certified as a qualifying State, the mediation program of the State must mediate issues involving agricultural loans (regardless of whether the loans are made or guaranteed by the Secretary of Agriculture or made by third party); and may provide mediation services for disputes involving wetlands determinations, compliance with farm programs including conservation programs, rural water loan programs, grazing on National forest system lands, pesticides, and such other issues, under the jurisdiction of the Secretary meeting the requirements of Section 501 of Title V of the Agricultural Credit Act of 1987 (7 U.S.C. 510), as amended.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by 7 CFR part 3016, must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant funds will be distributed on a first come, first served basis to qualifying States. If, however, when funds for a fiscal year become available, there are not sufficient funds to give all qualifying States 70 percent of their justified

estimated expenses for the fiscal year, the percentage allocation will be reduced so as to give all States the same percentage of their expenses. FSA views the use of grant funds to provide financial advisory or other services in an advocacy context to be inconsistent with the premise of a mediation program, which is to provide a neutral forum for resolution of disputes.

Deadlines:

Contact FSA National Office for application deadlines.

Range of Approval/Disapproval Time:

Thirty days from receipt of a complete application package.

Appeals:

If a certified State mediation program is available as part of the informal appeal hearing process, the participant will be offered the right to choose such mediation.

Renewals:

Section 506 of Title V of the Agricultural Credit Act of 1987, Public Law 100-233 and Section 1853 of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, Section 22 of the Agricultural Credit Improvement Act of 1992, Public Law 102-554, Section 282 of the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, Public Law 103-354, and the United States Grain Standards Act of 2000, Public Law 106-472.

Formula and Matching Requirements:

Seventy percent of fiscal year cost of operating and administering a State's agricultural mediation program, not to exceed \$500,000 annually.

Length and Time Phasing of Assistance:

From beginning to end of the Federal government's fiscal year. Grant will be an annual grant and will run concurrently with the Federal government's fiscal year; reimbursement by Treasury check.

Reports:

Reports are submitted quarterly: Standard Form 269, "Financial Status Report"; Standard Form 270, "Request for Advance or Reimbursement"; Standard Form 272, "Federal Cash Transactions Report"; and Program Performance Report. Qualifying States receiving grants must provide an annual performance report to the FSA Administrator by September 30 of the fiscal year including the names and addresses of all mediation participants, the disputes being mediated, and the final results of mediation.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-Federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Record keeping will conform to requirements of 7 CFR Part 3016.

Account Identification:

12-0170-0-1-351.

Obligations:

(Grants) FY 07 \$4,207,500; FY 08 est not applicable; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$391,880.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1946 published on August 26, 1988, 53 FR 32597.

Regional or Local Office:

None.

Headquarters Office:

Department of Agriculture, Farm Service Agency, STOP 0539, Washington, DC 20250. <http://www.fsa.usda.gov/pas/publications/facts/html/mediate01.htm>. Telephone: (202) 720-1471. Fax: (202) 690-0644. E-mail: Cbailey@wdc.fsa.usda.gov. Contact Person: Chester A. Bailey.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Twenty-three States having mediation programs certified by USDA have received matching grants totaling \$3 million in fiscal year 2000. A \$183,370 grant was received by Alabama; a \$92,190 grant was received by Arizona; a \$80,200 grant was received by Arkansas; a \$5,000 grant was received by Florida; a \$9,570 grant was received by Idaho; a \$126,090 grant was received by Illinois; a \$5,000 grant was received by Indiana; a \$238,740 grant was received by Iowa; a \$391,880 grant was received by Kansas; a \$79,480 grant was received by Maryland; a \$59,900 grant was received by Michigan; a \$264,330 grant was received by Minnesota; a \$135,120 grant was received by Nebraska; a \$5,000 grant was received by Nevada; a \$68,500 grant was received by New Mexico; a \$355,600 grant was received by North Dakota; a \$190,990 grant was

received by Oklahoma; a \$117,810 grant was received by South Dakota; a \$278,530 grant was received by Texas; a \$21,430 grant was received by Utah; a \$42,220 grant was received by Washington; a \$208,360 grant was received by Wisconsin; and a \$40,690 grant was received by Wyoming.

CRITERIA FOR SELECTING PROPOSALS:

Certification by the Administrator, FSA, that mediation program meets the requirements of Section 501 (c) of Title V of the Agricultural Credit Act (Public Law 100-233) as amended; application meets requirements of FSA regulations to be implemented.

10.437 INTEREST ASSISTANCE PROGRAM

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended.

OBJECTIVES:

To provide a 4 percent subsidy to farmers and ranchers, who do not qualify for standard commercial credit. Guaranteed loans are serviced by a lender who has entered into a Lenders Agreement with the agency.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The Interest Assistance Program can be provided for Operating Loans (OL). Under the interest assistance program, FSA will subsidize 4 percent of the interest rate on loans to qualifying borrowers. Operating Loans (OL) may be used: (1) to finance livestock or farm equipment; (2) to pay annual operating expenses or family living expenses; or (3) to refinance debts under certain conditions. Lines of Credit (LOC) are operating loans for annual operating loan purposes. Funds may be advanced and repaid (revolve) throughout the year. The total loan limit for a guaranteed loan cannot exceed \$731,000.

Applicant Eligibility:

Individuals, partnerships, or joint operations, legal resident aliens, corporations and cooperatives that meet the eligibility requirements for a guaranteed loan and are able to project the required cash flow margins with the aid of interest assistance.

Beneficiary Eligibility:

This program aids both the borrower and lender by providing 4 percent of the interest costs and receiving up to a 90 percent guarantee on the loan.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

The lender must submit to the agency on behalf of the applicant, all required forms to the FSA county office, where the proposed operation will be located. Contact the lender in your area for completion of all required forms. Additional information can be obtained by contacting the FSA office in your local area. Farm Service Agency offices are listed in the telephone directory under U.S. Government, Department of Agriculture.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

One to 30 days.

Appeals:

At the time of any rejection FSA will notify the lender and loan applicant of appeal rights and procedures.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Interest Assistance agreements will be granted for a term not to exceed 10 years from the date the first agreement was signed.

Reports:

The lender is required to file Form FSA 1980-24 annually. This form will reflect current loan information, request a claim payment and establish the amount of interest assistance for the next year.

Audits:

FSA will review Form FSA 1980-24 annually. In addition, FSA will require a loan activity summary and calculation of interest charges.

Records:

The type of records required will be on a case-by-case basis.

Account Identification:

12-4140-0-3-351; 12-1140-0-1-351.

Obligations:

(Subsidized Guaranteed Loans) FY 07 \$272,250,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1 to \$731,000. Average: \$158,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations published at 54 FR 1534, codified in 7 CFR Part 762 and FSA Handbook 2 FLP.

Regional or Local Office:

Farm Service Agency State Offices can inform applicants of county office locations where applicants may apply for assistance. FSA State Office locations are listed in Appendix IV of the Catalog. Or visit the website at: <http://www.fsa.usda.gov>. FSA has more than 2,500 county offices serving every rural county in the United States.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Director, Loan Making Division, Ag Box 0522, Washington, DC 20250. Telephone: (202) 720-1632.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Each loan application will be judged on its own merit and processed in chronological order.

10.438 SECTION 538 RURAL RENTAL HOUSING GUARANTEED LOANS

FEDERAL AGENCY:

RURAL DEVELOPMENT, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.

OBJECTIVES:

This program has been designed to increase the supply of affordable multifamily housing in rural areas through partnerships between Rural Development and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides Federal credit enhancement to encourage private and public lenders to make new loans for affordable rental properties that meet program standards.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The guarantee will encourage the construction of new rural rental housing and appropriate related facilities. Housing as a general rule will consist of projects with five or more dwellings. The guarantee may not be made for nursing, special care or industrial type housing.

Applicant Eligibility:

The applicant in this program is the lender. The lender must be approved and currently active with Fannie Mae, Freddie Mac, HUD/FHA insurance programs, Ginnie Mae or be a State or local Housing Finance Agency. A member of the Federal Home Loan Bank System or other lender may be able to participate if they can demonstrate satisfactory experience with multifamily lending.

Beneficiary Eligibility:

Occupants must be families or persons with income not in excess of 115 percent of the Median Income at the time of initial occupancy.

Credentials/Documentation:

Eligible borrowers include: individual partnerships; profit and not-for-profit corporations; limited liability companies; trusts; State and local agencies; and Indian Tribes.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The lender originates the loan and performs the necessary underwriting and provides the documentation required by the RHS and request for guarantee to the RHS for consideration.

Award Procedure:

Rural Development will review all the applications for compliance with the program requirements and issue the Conditional Commitment of Guarantee with conditions. Once the conditions are met the final Contract of Guarantee will be issued.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

The review and award process is established at 60 days from the end of the NOFA.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11,

National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Per program requirements.

Audits:

As required by the lender and program regulations..

Records:

Good business records and any records required by the lender.

Account Identification:

12-0137-0-1-604.

Obligations:

(Loans) FY 07 \$197,997,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The final rule, 7 CFR 3565, was effective January 19, 2005. Also available in the Guaranteed Rural Rental Housing Program Origination and Servicing Handbook.

Regional or Local Office:

Consult your local telephone directory for Rural Development field office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at <http://www.rurdev.usda.gov>.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development, Department of Agriculture, Washington, DC 20250. Telephone (202) 720-1604. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provided in the NOFA.

10.441 TECHNICAL AND SUPERVISORY ASSISTANCE GRANTS

FEDERAL AGENCY:

RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, Section 525(a), 42 U.S.C. 1490(e)(a).

OBJECTIVES:

To assist low-income rural families in obtaining adequate housing to meet their family's needs and/or to provide the necessary guidance to promote their continued occupancy of already adequate housing. These objectives will be accomplished through the establishment or support of housing delivery and counseling projects run by eligible applicants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant purposes: Grant funds are to be used for a housing delivery system and counseling program to include a comprehensive program of technical and supervisory assistance as set forth in the grant agreement and any other special conditions as required by RHS. Uses of grant funds may include, but are not limited to: (a) The development and implementation of a program of technical and supervisory assistance as defined in RD Instruction 1944-K, 1944.506(h) and (i); (b) payment of reasonable salaries of professional, technical, and clerical staff actively assisting in the delivery of the TSA project; (c) payment of necessary and reasonable office expenses such as office supplies and office rental, office utilities, telephone services, and office equipment rental; (d) payment of necessary and reasonable administrative costs such as workers' compensation, liability insurance, audit reports, travel to and attendance at RD approved training sessions, and the employer's share of Social Security and health benefits. Payments to private retirement funds are prohibited unless prior written authorization is obtained from the Administrator; (e) payment of reasonable fees for necessary training of grantee personnel. This may include the cost of travel and per diem to attend regional training sessions when authorized by the State Director; and (f) other reasonable travel and miscellaneous expenses necessary to accomplish the objectives of the specific TSA grant which were anticipated in the individual TSA grant proposal and which were included as eligible expenses at the time of grant approval. Ineligible

activities: Grant funds may not be used for: (1) Acquisition construction, repair, or rehabilitation of structures or acquisition of land, vehicles, or equipment; (2) replacement of or substitution for any financial support which would be available from any other source; (3) duplication of current services in conflict with the requirements of RD Instruction 1944-k, 1944.514(c); (4) hiring personnel to perform construction; (5) buying property of any kind from families receiving technical or supervisory assistance from the grantee under the terms of the TSA grant; (6) paying for or reimbursing the grantee for any expenses or debts incurred before RHS/RD executes the grant agreement; (7) paying any debts, expenses or costs which should be the responsibilities of the individual families receiving technical and supervisory assistance; (8) any type of political activities, and (9) other costs including contributions and donations, entertainment, fines and penalties, interest and other financial costs, legislative expenses and any excess of cost from other grant agreements. Advice and assistance may be obtained from the national office where ineligible costs are proposed as part of the TSA project or where a proposed cost appears ineligible. The grantee may not change fees or accept compensation or gratuities from TSA recipients for the grantee's assistance under the program.

Applicant Eligibility:

Technical and Supervisory Assistance Grants may be made to public or private nonprofit corporations, agencies, institutions, organizations, Indian Tribes, and other associations. Listed below are a description and definition of eligible applicants: 1. Sponsored organization - A public purpose group other than a unit of government that is a beneficiary under a plan or program administered by a State, or political subdivision of a State or local government, and which is subject to approval by a Federal agency. Usually organized to work for a specific purpose. Examples: Community development agencies, model cities, and community action agencies; 2. public nonprofit institution/organization - A public owned agency or organization established to perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders or receive dividends, includes institutions of higher education and hospitals. 3. Federally Recognized Indian Tribal Government - the governing body or a governmental agency of an Indian tribe, nation, pueblo, or other organized group or community (including native village as defined in the Alaska Native Claims Settlement Act) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs; and 4. private nonprofit institution/organization - privately owned organization or institution which represent community service networks, public information, technical assistance, and public education. Operated exclusively for charitable, scientific, literary or educational purposes such that no part of its earnings is for the benefit of any private shareholder or individual, includes private institutions of higher education and hospitals. Examples: Girl Scouts, American Civil Liberties Union.

Beneficiary Eligibility:

Eligible beneficiaries must be low-income, individual/family and rural sponsored organizations.

Credentials/Documentation:

Have the financial, legal, administrative, and operational capacity to assume and carry out the responsibilities that meet this requirement of actual capacity, it must either: (a) Have necessary background and experience with proven ability to perform responsibly in the field of low-income rural housing development and counseling, or other business management or administrative experience which indicated an ability to provide responsible technical and supervisory assistance; or (b) be assisted by an organization which has such background experience and ability and which agrees in writing that it will provide, without charge, the assistance the applicant will need to carry out its responsibilities; (c) legally obligate itself to administer TSA funds, provide an adequate accounting of the expenditure of such funds, and comply with the grant agreement and RHS regulations; (d) demonstrate an understanding of the needs of low-income rural families; (e) have the ability and willingness to work within established guidelines; and (f) if the applicant is engaged in or plans to become engaged in any other activities, it must be able to provide sufficient evidence and documentation that it has adequate resources, including financial resources, to carry on any other programs or activities to which it is committed without jeopardizing the success and effectiveness of its TSA project.

Preapplication Coordination:

(a) Upon notification that the applicant has been tentatively selected for funding, the State office will forward to the applicant a signed Form AD-622 and provide SF 424.1 with instructions to the applicant for preparation of an application; (b) upon receipt of Form AD-622, the applicant will submit an application, an original and two copies on Form SF 424.1, and provide whatever additional information is requested to the Field office within 30 days; and (c) upon receipt of an application on SF 42.1 by the Field office, a docket shall be assembled which will include the following: (1) Form SF 424.1 and the information submitted in accordance with RD Instruction 1944-k, 1944.526(a) (2); (2) Form AD-622; (3) any comments received in accordance with 7 CFR part 3015 subpart V, "Intergovernmental Review of Department of Agriculture Programs and Activities." See RD Instruction 1940-J, available in any RD office; (4) SF 424.1; (5) OGC legal administration made pursuant to RD

Instruction 1944-K, 1944.526(c) (3); (6) grant agreement; (7) Form RD 1940-1, "Request for Obligation of Funds;" (8) Form RD 400-1, "Equal Opportunity Agreement;" (9) Form RD 400-4, "Assurance Agreement;" (10) Form RD 1940-20, "Request for Environmental Information;" (11) Form RD 1940-22, "Environmental Checklist for Categorical Exclusions," Form RD 1940-21, "Environmental Assessment for Class I Actions" or exhibit H, subpart G of Part 1940 entitled, Environmental Assessment for Class II Actions; (12) the historical and archaeological assessment; and (13) the detailed budget for the agreement period based upon the needs outlined in the proposal and the comments and recommendations by RD. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the State office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Appeal rights are available under Rural Development's Instruction 1900 Subpart B.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Assistance is normally for one to two years. Requesting TSA checks: (a) The initial TSA check may cover the applicant's needs for the first calendar month. If the first calendar month is a partial month, the check will cover the needs for the partial month and the next whole month. (b) the initial advance of TSA grant funds may not be requested simultaneously with the request for obligation of TSA grant funds. The initial advance must be requested on Form RD 440-57 in accordance with the FMI after it has been received from the Finance Office indicating that funds have been obligated; and (c) all advances will be requested only after receipt of Standard Form 279 from the grantee. The amount requested must be in accordance with the detailed budget, including amendments, as approved by RHCDS. Standard Form 270 will not be submitted more frequently than once every 30 days. In no case will additional funds be advanced if the grantee fails to submit required reports or is in violation of the grant agreement.

Reports:

Grant Evaluation, Closeout, Suspension, and Termination: (a) Grant evaluation will be an ongoing activity performed by both the grantee and RHS. The grantee will perform self-evaluations by preparing periodic project performance reports in accordance with 1944.541. RHS will also review all reports prepared and submitted by the grantee in accordance with the grant agreement and this part.

Audits:

Within 45 days after the grant ending date, the grantee will complete closeout procedures as specified in the grant agreement.

Records:

Grantees are required to maintain financial records, supporting documents, statistical records, and all other records pertinent to the grant for a period of at least 3 years after the submission of the final Project Performance report.

Account Identification:

12-2009-0-1-604.

Obligations:

(Grants) FY 07 \$1,000,000; FY 08 \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944 Subpart K.

Regional or Local Office:

Contact the appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/reed_map.html.

Headquarters Office:

USDA, Rural Development, Housing Programs, 14th Street and Independence Avenue SW., Washington, DC 20250. Telephone: (202) 720-1474. FTS is not available.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must provide a program of supervisory assistance as defined in 7 CFR 1944.506(h) and they must serve areas with a concentration of substandard housing and low-income and low-income minority households. In addition, the proposals will be scored on the following criteria: (1) The extent to which the

program serves areas with concentrations of Rural Development single family housing loan borrowers who are delinquent in their housing loan payments and/or threatened with foreclosure. (2) The capability and past performance demonstrated by the applicant in administering its programs, the effectiveness of current efforts by the applicant to assist low-income and low-income minority families in obtaining adequate housing, the adequacy of records and practices (including personnel procedures and practices) that will be established and maintained by the applicant during the term of the agreement. (3) The narrative presentation of the applicant's proposed TSA program. (4) The extent to which the program will provide or increase the delivery of housing resources to low-income and low-income minority families who are not currently occupying adequate housing in the areas. (5) The extent to which the program will make use of other financial and contribution-in-kind resources for both technical and supervisory assistance and housing development and supporting facilities. (6) The extent to which the project will be cost effective. (7) The extent to which the program is effective in providing expected benefits to low-income families. (8) The narrative statement is based on need. (9) The services of the applicant will provide are not presently available in the proposed service area to assist low-income families in obtaining or maintaining occupancy of adequate housing and the extent of duplication of technical and supervisory assistance activities currently provided for low-income families. (10) The extent of citizen and local government participation and involvement in the development of the preapplication and project and coordination with other Federal, State or local technical and/or supervisory assistance programs.

10.442 HOUSING APPLICATION PACKAGING GRANTS

(Section 509 Grants)

FEDERAL AGENCY:

RURAL DEVELOPMENT, RURAL HOUSING PROGRAMS (RHS),
DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, 42 U.S.C. 1479.

OBJECTIVES:

To package single family housing applications for very low- and low-income rural residents in colonias and designated counties who wish to buy, build, or repair houses for their own use and to package multi-family housing applications for organizations wishing to develop rental units for lower income families to construct new rental housing complexes or to repair and rehabilitate existing units.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants reimburse eligible organizations for part or all of the costs of conducting, administering, and coordinating an effective housing application packaging program in colonias and designated counties. Eligible organizations aid very low- and low-income individuals and families in obtaining benefit from Federal, State, and local housing programs. The following are restrictions under the housing application packaging grants: (1) These funds are available only in the areas defined in Exhibit D of RD Instruction 1944-B; (2) the packager may not charge fees or accept compensation or gratuities directly or indirectly from the very low- and low-income families being assisted under this program; (3) the packager may not represent or be associated with anyone else, other than the applicant, who may benefit in any way in the proposed transaction; (4) if the packager is compensated for this service from other sources, then the packager is not eligible for compensation from this source except as permitted by RHS; (5) grantees who are funded to do self-help housing effort; and (6) the authorized representatives must have no pecuniary interest in the award of the architectural or construction contracts, the purchase of equipment, or the purchase of the land for the housing site.

Applicant Eligibility:

An eligible grantee is an organization which is defined as any of the following entities which are legally authorized to work in designated counties and/or colonias and are: (1) A State, State agency, or unit of general local government; or (2) a private nonprofit organization or corporation that is owned and controlled by private persons or interests, is organized and operated for purposes other than making gains or profits for the corporation, and is legally precluded from distributing any gains or profits to its member.

Beneficiary Eligibility:

The targeted groups are nonprofit organizations who will serve very low- and low-income families without adequate housing in the colonias and designated counties.

Credentials/Documentation:

(1) Have the financial, legal, and administrative capacity to carry out the responsibilities of packaging housing applications for very low- and low-income applicants. To meet this requirement it must have the necessary background and experience with proven ability to perform responsibly in the field of housing application packaging, low-income housing development, or other business or administrative ventures which indicate an ability to perform responsibility in this

field of housing application packaging. (2) legally obligate itself to administer grant funds, provide adequate account of the expenditure of such funds, and comply with RHS regulations; (3) if the organization is a private nonprofit corporation: (a) is organized under State and local laws. (b) is qualified under Section 501(c)(3) of the Internal Revenue Code of 1986. (c) has as one of its purposes assisting very-low- and low-income families to obtain affordable housing; and (d) comply with the administrative requirements in RD Instruction 1944-B, other RHS policies and regulations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A package will consist of the required forms for the respective loan or grant program, as listed in Exhibit C of RD Instruction 1944-B. Grantees shall submit all material requested to the local Rural Development office. In addition, an original and copy of Forms RD 400-1, "Equal Opportunity Agreement," and Form RD 400-4, "Assurance Agreement," must be submitted. A copy of a current "Certificate of Training" pertaining to the type of application will be submitted. Applicants must also provide proof of nonprofit status under Section 501 (c)(3) or Section 501 (c)(4) of the Internal Revenue Code or of their existence as a State agency or unit of government legally authorized to work in the designated county and/or colonia. An SF-270, "Request for Advance or Reimbursement," will be submitted with each package for the amount authorized for the specific loan type, as listed in Exhibit B of RD Instruction 1944-B.

Award Procedure:

Awards are made by the State Director.

Deadlines:

None.

Range of Approval/Disapproval Time:

The determination is made by the State Director. Grantees must attend training each year in order to qualify for assistance. A copy of a current "Certificate of Training" pertaining to the type of application package must be submitted. Generally, training must be completed prior to December 31 of any year.

Appeals:

Appeal rights are available under 7 CFR 3550.4.

Renewals:

Grantees may reapply and packages may be submitted after the annual housing application packaging orientation and training is held, and a "Certificate of Training" is issued.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The State Director will determine based on the housing funds available, how many applications can be processed for each program during the fiscal year. The grant period will end when sufficient packages are received for each designated county or colonias, or on September 30, of the fiscal year, whichever is earlier.

Reports:

Quarterly reports must be submitted to the appropriate RD office servicing the designated county and/or colonias.

Audits:

Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

The grantee will be required to retain records for 3 years from the date Standard Form (SF) - 269A, financial status report (short form), is submitted. These records will be accessible to RD and other Federal officials in accordance with 7 CFR Part 3015. A file of packages submitted will be established in the RD office and retained in accordance with RD Instruction 2033-A.

Account Identification:

12-2009-0-1-604.

Obligations:

(Grants) FY 07 \$30,000; FY 08 est \$0; and FY 09 est not available

Range and Average of Financial Assistance:

Fees paid for Section 502 Single Family Housing Loans, Section 504 Repair Loans and Grants, and Section 514 Farm Labor Housing Loans are \$500 for each acceptable package. For other programs, refer to Exhibit B of RD Instruction 1944-B.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944 Subpart B, 7 CFR 3014 (for nonprofit organizations) and 7 CFR 3016 (For State and local governments).

Regional or Local Office:

Consult your local telephone directory under United States Department of Agriculture for RD field office number. If no listing, contact appropriate Rural Development State Office listed in the Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single Family Housing Processing Division, Rural Housing Programs,

Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474. FTS is not available.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.410, Very Low to Moderate Income Housing Loans; 10.411, Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.415, Rural Rental Housing Loans; 10.417, Very Low-Income Housing Repair Loans and Grants; 10.433, Rural Housing Preservation Grants.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.443 OUTREACH AND ASSISTANCE FOR SOCIALLY DISADVANTAGED FARMERS AND RANCHERS

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR ADMINISTRATION, OFFICE OF OUTREACH, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2501, Public Law 101-624, 7 U.S.C. 2279.

OBJECTIVES:

To reverse the decline of socially disadvantaged farmers and ranchers across the United States. The intended outcome is to encourage and assist socially disadvantaged farmers and ranchers to own and operate their own farms and ranches, participate in agricultural programs, and thus allow them to become an integral part of the agricultural community and strengthen the rural economy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide outreach, training and technical assistance to socially disadvantaged farmers and ranchers on agricultural programs.

Applicant Eligibility:

1890 Land-Grant Institutions, including Tuskegee University, Indian Tribal Community Colleges, Alaska Native cooperative colleges, Hispanic serving post-secondary educational institutions, other post-secondary educational institutions providing Agricultural education or other agriculturally-related services to socially disadvantaged farmers and ranchers in their region and community-based organizations that: (1) Have demonstrated experience in providing agricultural education or other agriculturally related services to socially disadvantaged farmers and ranchers in their region; (2) provides documentary evidence of its past experience in working with socially disadvantaged farmers and ranchers during the 2 years preceding its application for assistance; and (3) does not engage in activities prohibited under Section 501(c)(3) of the Internal Revenue Code of 1986.

Beneficiary Eligibility:

A farmer or rancher who is a member of one or more of the following groups whose members have been subjected to racial or ethnic prejudice because of their identity as members of a group without regard to their individual qualities. Groups include, but are not limited to, African-Americans, Native Americans, Alaskan Natives, Hispanics, Asians, and Pacific Islanders. The Secretary of Agriculture will determine on a case-by-case basis whether additional groups qualify under this definition, either at the Secretary's initiative or in response to a written request with supporting explanation.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in agriculture. Costs will be determined in accordance with OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" and OMB Circular No. A-21, "Cost Principles for Educational Institutions" as implemented by USDA regulation 7 CFR part 3019. Community-based organizations should provide a current certification of non-profit status in accordance with section 501(c)(3) of the Internal Revenue Code of 1986.

Preapplication Coordination:

Notice of fund availability and request for proposals will be published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal proposals should be submitted as outlined in the solicitation of proposals/applications. Application procedures are contained in the 2501 Program Application booklet. This program is subject to the Administrative procedures of 7 CFR Part 26.

Award Procedure:

Proposals are reviewed and evaluated by the 2501 Program staff members with the assistance and advice of a review panel of appropriate persons that are specialists who are qualified by training and experience in the fields covered by the proposals. Proposals are ranked and recommended in order of merit and funded to the extent permitted by available funds. Final approval for those

proposals selected will be made by the Awarding Official.

Deadlines:

Dates governing the acceptance, review, and selection of projects will be published in the Federal Register Notice or other applicable publication announcing the request for proposals.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission deadline.

Appeals:

As stipulated in OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

Renewals:

None. Applicants that have previously received a grant, and have achieved the goals established for the previous grant, must reapply for an additional grant under the initial grant procedures.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants can be awarded from one to five years as stipulated by the current request for proposals notification published in the Federal Register.

Reports:

Quarterly financial and program performance/progress reports are due within 30 days after the reporting period. A final financial and performance/progress report is required within 90 days of the expiration of each agreement, which shall include an evaluation of the activities under the Agreement since the inception of the Project.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," Nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0601-0-1-351.

Obligations:

(Grants) FY 07 \$5,666,890; FY 08 est \$6,652,800; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 2001, 27 recipients - \$62,941 to \$550,000, average was approximately \$225,000; and FY 2002, 30 recipients - \$66,437 to \$276,822, average was approximately \$200,000.

PROGRAM ACCOMPLISHMENTS:

The 27 recipients serviced 22 States and 394 counties by providing assistance to more than 8,686 farmers and ranchers. These efforts have provided outreach to more than 107,566 rural constituents.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 26, "Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers Program; and 2501 Program Application Booklet.

Regional or Local Office:

Contact appropriate USDA State Office listed in Appendix IV of Catalog.

Headquarters Office:

Department of Agriculture, USDA Office of Outreach, 1400 Independence Avenue, SW., AG STOP 1710, Washington, DC 20250. Telephone: (202)720-6350. Fax: (202) 720-7489.

Web Site Address:

<http://www.usda.gov/agency/outreach>.

RELATED PROGRAMS:

10.224, Fund for Rural America, Research, Education, and Extension Activities; 10.350, Technical Assistance to Cooperatives; 10.450, Crop Insurance; 10.500, Cooperative Extension Service; 10.960, Technical Agricultural Assistance.

EXAMPLES OF FUNDED PROJECTS:

Under the current funded projects, the grantees proposed a one-year plan for outreach and technical assistance to encourage and assist socially disadvantaged farmers and ranchers to own and operate farms and ranches and to participate in agricultural programs.

CRITERIA FOR SELECTING PROPOSALS:

Prior to technical examination, a preliminary review will be made for responsiveness to the solicitation. Proposals that do not fall within the solicitation guidelines will be eliminated from competition. All accepted proposals will be reviewed by a review panel. In evaluating the proposal, the following will be taken into account to the degree to which the proposal demonstrates the following: (1)degree to which the proposal clearly describes its

objective and evidences a high level of feasibility and consistency with USDA policy and the Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers objectives; (2)degree to which the institution or organization is committed to the project, as shown by funds, in-kind services, or historical success in meeting the objectives of the program; (3)degree to which the proposal reflects collaborative approaches in meeting with other agencies or organizations to enhance the objectives of the program. Also, the areas and number of farmers who would benefit from the services offered; (4)degree to which the proposal contains efforts to reach persons identified as socially disadvantaged and farmers in designated socially disadvantage counties; and (5)degree to which the proposal reflects special innovative features to attract, interest, and improve the economical and social conditions of the farmer and/or rancher.

10.444 DIRECT HOUSING-NATURAL DISASTER LOANS AND GRANTS

(SECTION 504, Rural Housing Loans and Grants)

FEDERAL AGENCY:

RURAL DEVELOPMENT, HOUSING PROGRAMS (RHP), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92-310, 42 U.S.C. 1474; Public Law 104-134.

OBJECTIVES:

To assist qualified recipients to meet emergency assistance needs resulting from natural disaster. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those counties identified by a Presidential declaration.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

To assist very-low income owner- occupants to repair or replace damaged property as a direct result of a natural disaster. Loans are made in counties named by the Federal Management Agency as being eligible for Federal assistance under an emergency declaration by the President.

Applicant Eligibility:

Applicants must own and occupy the home in a rural area. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. The applicant's income for a loan may not exceed the very low-income limit which can be obtained in any Rural Development (RD) field office.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria.

Credentials/Documentation:

Natural disasters will only include those areas identified by a Presidential declaration. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). Applicants must establish that physical damage was caused by natural disaster. For Section 504 loans/grants, there must be evidence of ownership and verification of income and debts. For grant recipients, evidence of age is required. The applicant must be unable to get credit elsewhere and demonstrate repayment for the loan.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applicants must file Form RD 410-4 at the Rural Development field office serving the county where the dwelling is located. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Final approval of the application is given by the local or area office loan approval official.

Deadlines:

Applicants must file applications from the date of declaration/designation and until supplemental appropriated funds are exhausted.

Range of Approval/Disapproval Time:

From 30 to 60 days subject to the availability of funds, from the time the application is filed if no backlog of applications exist.

Appeals:

Applicants may request reconsideration at the local RD field office and may appeal adverse actions in accordance with 7 CFR Part 11. Appeals may be filed within 30 days after notification of the adverse decision is received by the loan/grant applicants.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no matching requirement or statutory formula.

Length and Time Phasing of Assistance:

These funds are available only if the Supplemental Emergency Funding for Natural Disaster has been appropriated.

Reports:

None.

Audits:

None.

Records:

Borrowers are not required to, but should retain copies of loan/grant related documents.

Account Identification:

(Loans) 12-2081-0-1-371; 12-4215-0-3-371. (Grants) 12-1953-0-1-999.

Obligations:

(Loans) FY 07 \$40,000; FY 08 est. not available; and FY 09 est. not available. (Grants) FY 07 \$21,300; FY 08 est. \$0; and FY 09 est. \$0.

Range and Average of Financial Assistance:

(Loans) \$6,167; (Grants) \$5,241.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the number of existing houses improved through Section 504 Natural Disaster Loans and Grants was 1,322.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3550.

Regional or Local Office:

Consult your local telephone directory under United States Department of Agriculture for Rural Development field office number. If no listing, contact appropriate RD State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single Family Processing Division, Rural Housing Programs (RHP), Department of Agriculture, Washington DC 20250. Telephone: (202) 720-1474. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.445 DIRECT HOUSING-NATURAL DISASTER

(Section 502 Very Low and Low Income Loans)

FEDERAL AGENCY:

RURAL DEVELOPMENT, RURAL HOUSING PROGRAMS (RHP),
DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, Title V, Section 502, as amended, Public Law 89-117, 42 U.S.C. 1471 et seq; Public Law 92- 310, 42 U.S.C. 1480, 42 U.S.C. 1472; Public Law 98-51; Public Law 100- 233; Amendment of Consolidated Farm and Rural Development Act of 1987, Section 601.

OBJECTIVES:

To assist qualified lower income rural families to meet emergency assistance needs resulting from natural disaster to buy, build, rehabilitate, or improve dwellings in rural areas. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those areas identified by a Presidential declaration.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Direct loans may be used for construction, repair, or purchase of housing. Payment subsidy is available to eligible low and very low-income applicants. The term payment subsidy refers to either payment assistance or interest credit. A payment subsidy reduces the borrower's scheduled payment to an amount equal to what it would be if the note were amortized to as low as one percent. Payment subsidies are subject to recapture by the government when the borrower transfers title or ceases to occupy the property.

Applicant Eligibility:

Applicants must be without adequate resources to obtain housing or related facilities. Applicants must be unable to secure the necessary credit from other sources at prevailing terms and conditions for residential financing.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria.

Credentials/Documentation:

Natural disasters will only include those areas identified by a Presidential declaration. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). Applicants must establish that physical damage was caused by natural disaster. An applicant

needs to submit evidence of inability to obtain credit elsewhere, verification of income and debts, plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-110.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applicants must file Form RD 410-4 at the Rural Development field office serving the county where the dwelling is located. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Final approval of the application is approved by the Community Development Manager.

Deadlines:

Applicants must file applications from the date of declaration/designation and until supplemental appropriated funds are exhausted.

Range of Approval/Disapproval Time:

From 30 to 60 days subject to the availability of funds, from the time the application is filed if no backlog of applications exists.

Appeals:

Applicants may request reconsideration at the local Rural Development field office or may appeal adverse actions in accordance with RD Instruction appeal procedure. Appeals may be filed within 30 days after notification of the adverse decision is received by the loan applicant.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no matching requirement or statutory formula.

Length and Time Phasing of Assistance:

These funds are available only until the Supplemental Emergency Funding for Natural Disaster are exhausted.

Reports:

None.

Audits:

None.

Records:

Borrowers are not required to, but should retain copies of loan related documents.

Account Identification:

12-2081-0-1-371; 12-4215-0-3-371.

Obligations:

(Loans) FY 07 \$0; FY 08 est. not available; and FY 09 est. not available (Note: Funds under this program are based on supplemental funding provided by Congress in response to a natural disaster. Hurricane Emergency Supplemental funds are not included in the projected amounts.)

Range and Average of Financial Assistance:

(Loans) \$0.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, no houses were financed through the Section 502 Direct Loan (Natural Disaster) Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550.

Regional or Local Office:

Consult your local telephone directory under United States Department of Agriculture for Rural Development field office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Single Family Processing Division, Rural Housing Programs (RHP), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1474. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.411, Rural Housing Site Loans and Self-Help Housing Land Development Loans; 10.417, Very Low-Income Housing Repair Loans and Grants; 10.420, Rural Self-Help Housing Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.446 RURAL COMMUNITY DEVELOPMENT INITIATIVE**FEDERAL AGENCY:**

RURAL DEVELOPMENT, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2005.

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the sub-grantee. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Subgrantees must be legally organized private, nonprofit community-based housing and community development organizations, low income rural communities, and Federally recognized Indian Tribes.

Credentials/Documentation:

Have the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Have necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development. Have the ability to work within established guidelines.

Preapplication Coordination:

A complete application for RCDI funds must include the following: (a) a summary page; (b) a detailed table of contents containing page numbers for each component of the application; (c) a project overview; (d) the evaluation criteria; (e) a separate one-page information sheet listing each of the evaluation criteria followed by the page numbers of all relevant material and documentation contained in the application which supports these criteria; (f) a breakdown of specific time increments and steps to accomplish goals; (g) a detailed breakdown of estimated costs and a project budget; (h) organizational documents for the grantee; (i) Form SF-424, Application for Federal Assistance; (j) Form SF-424B, Assurances - Non-Construction Programs; (k) Form AD-1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (l) Form AD-1048, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (m) Form AD-1049, Certification Regarding Drug-Free Workplace Requirements; (n) Certification of Non-Lobbying Activities; (o) Standard Form LLL, Disclosure of Lobbying Activities; (p) Form RD 400-4, Assurance Agreement; and (q) report any association or relationship with Rural Development employees. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures will be announced in the Federal Register and on the Grants.gov web site.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Announced in Federal Register.

Range of Approval/Disapproval Time:

As required, 90 days to 120 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not applicable.

Formula and Matching Requirements:

The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No in-kind contributions.)

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement.

Reports:

The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCDI.

Audits:

Periodic audits should be made as part of the grantee's system of financial management and internal control to meet terms and conditions of the grant. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

The borrower must maintain adequate records and accounts related to the technical assistance performed.

Account Identification:

12-2081-0-1-371; 12-4215-0-3-371.

Obligations:

(Grants) FY 07 \$6,200,000; FY 08 est \$6,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$500,000. Average: \$250,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 38 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

Consult your local telephone directory for Rural Development state office numbers. If no listing, contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Community Programs, Housing and Community Facilities Programs, Department of Agriculture, Washington, DC 20250- 3222. Telephone: (202) 720-1490.

Web Site Address:

<http://www.rurdev.usda.gov/rhs/rcdi/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants were made to (a) hire a staff person to provide technical assistance to the sub-grantee; (b) the sub-grantee organization hires a staff person, under the supervision of the grantee, to carry out the technical assistance; (c) develop the capacity of sub-grantees to conduct community development programs; (d) develop the capacity of sub-grantees to conduct home-ownership education programs; and (e) develop the capacity of sub-grantees to conduct training for minority business entrepreneurs.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria.

10.447 THE RURAL DEVELOPMENT (RD) MULTI-FAMILY HOUSING REVITALIZATION DEMONSTRATION PROGRAM (MPR)

(Restructuring Program)

FEDERAL AGENCY:

RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161)

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for low-income residents.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act

of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any loans, or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low income housing as defined by the Housing Act of 1949.

Applicant Eligibility:

Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low-income rural residents needing safe, decent and sanitary rental housing.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period.

Preapplication Coordination:

Multi-Family Housing Revitalization Demonstration Program (MPR) Pre-application. An electronic version of this form can be found on the internet at <http://www.rurdev.usda.gov/rd/nofas/index.html> or obtained by contacting the RD State Office in the state where the project is located. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For FY 08, the MPR Program will be awarded through a Notice of Funding Availability announced in the Federal Register on March 12, 2008. The NOFA pre-application period is 60 days from the date of the announcement. The NOFA deadline is May 12, 2008.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of third party funds; (2) Owner contribution sufficient to pay transaction costs; (3) Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approvals of CNAs; (6) Installation of energy generation systems; (7) Energy conservation; and (8) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants.

Deadlines:

May 12, 2008.

Range of Approval/Disapproval Time:

Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at the next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program is now a Notice of Funding Availability (NOFA). This is a demonstration program.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only, is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant.

Reports:

Quarterly or annual financial reports.

Audits:

Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

FY 07 \$0; FY 08 \$20,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section

514/516 loan and grant is limited to no more than \$20,000 per unit.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, 87 properties received assistance for revitalization and preservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Refer to the NOFA which lists contacts at the National Office and consult your local telephone directory for Rural Development District or State Office number.

Also, contact information is available on the internet at

http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Office of Rental Housing Preservation, Attention: Sandra L. Mercier, Rural Development, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1617.

Web Site Address:

<http://www.rurdev.usda.gov/>.

RELATED PROGRAMS:

10.415, Rural Rental Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOFA.

10.449 BOLL WEEVIL ERADICATION LOAN PROGRAM

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.

OBJECTIVES:

To assist producers and State governmental agencies to eradicate boll weevils from the United States.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Funds may be used to purchase or lease supplies and equipment, to pay entity operating expenses and to pay salaries and benefits. Loan funds may not be used to pay for lobbying, public relations, or related activities.

Applicant Eligibility:

Applicants may be determined eligible if the organization: (a) Meets the Animal and Plant Health Inspection Service (APHIS) cost-sharing requirements; (b) possesses a legal nonprofit corporate authority; (c) possesses the legal authority to enter into a contract; (d) operates in an area approved by a majority of cotton producers via referendum; (e) is unable to obtain funds elsewhere; and (f) may pledge producer assets as loan collateral.

Beneficiary Eligibility:

The beneficiaries of this program include the local boll weevil organization and agricultural community as well as local, State, and national governments.

Credentials/Documentation:

All applicants must be nonprofit entities, authorized to operate under the appropriate State law and for the specific purpose of eradicating boll weevils from the nation's agricultural community.

Preapplication Coordination:

Applicants are eligible for loan consideration after pre-approved funding is established by APHIS. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complete applications will be accepted by the National Office accompanied by documentation showing the entity's authority to borrow and the operation's ability to make the scheduled loan payment(s).

Award Procedure:

Loans will be written within specific credit guidelines as well as within the borrowing entity's documented ability to repay the loan.

Deadlines:

Initial loan request should be reviewed with APHIS prior to the funding year with a complete loan package submitted to FSA 90 days prior to the anticipated disbursement of funds.

Range of Approval/Disapproval Time:

Agency credit decisions will generally be issued within 60 days of the receipt of a complete loan package.

Appeals:

None.

Renewals:

Boll weevil loans are term loans based upon certain procedural and program criteria. Although loans are not renewed, additional loan requests may be submitted by each entity for consideration.

Formula and Matching Requirements:

While there are eligibility requirements, there are no formula or matching fund

requirements.

Length and Time Phasing of Assistance:

Loans can be applied for at anytime during the year. The loan term varies anywhere from one to seven years.

Reports:

Profit and loss statements may be required monthly, but are always required quarterly and annually. Income and expense deviations in excess of ten percent must be reported immediately.

Audits:

Audited financial statements and profit and loss statements will be annually submitted for review and analysis.

Records:

Detailed records will be maintained by each entity in the respective offices. These same records will be made available to the Agency for review upon demand.

Account Identification:

12-1140-0-1-351.

Obligations:

(Interest Free Loan) FY 07 \$59,400,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

The FSA Boll Weevil Eradication program is in its third year. Loans have been made or are in the process of being made in most all cotton producing States. Although the eradication of the boll weevil is difficult, where implemented, the program is highly successful. Full implementation is anticipated within the next five years and general eradication accomplished within the next decade. Maintenance programs will be required perpetually.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal regulations are found at 7 CFR Part 1941, Subpart C.

Regional or Local Office:

Not applicable.

Headquarters Office:

Farm Service Agency, Loan Making Funds Management Branch, 1400 Independence Avenue SW., Stop 0522, Room 5438 SO/AGRIB, Washington, DC 20250-0522. Telephone: (202) 720-1632.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.025, Plant and Animal Disease, Pest Control, and Animal Care.

EXAMPLES OF FUNDED PROJECTS:

Tennessee Boll Weevil Eradication Foundation.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are based upon area boll weevil infestation, APHIS recommendation, individual State and Charter authority, and the ability of the entity to repay the loan.

10.450 CROP INSURANCE

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1501-1520, Agricultural Adjustment Act of 1938, Title V, 52 Stat. 31; Federal Crop Insurance Act of 1980, as amended, Public Law 101-624; Federal Crop Insurance Reform Act of 1994, Public Law 103-354; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agricultural Research, Extension, and Education Reform Act of 1998, Public Law 105-185; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1999, Public Law 105- 277; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriation Act of 2000, Public Law 106-78; Agriculture Risk Protection Act of 2000, Public Law 106-224; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriation Act of 2001, Public Law 106-387; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriation Act of 2002, Public Law 107-76.

OBJECTIVES:

To promote the national welfare by improving the economic stability of agriculture through a sound system of crop insurance and providing the means for the research and experience helpful in devising and establishing such insurance.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501.) Public Law 96-365, dated September 26, 1980, amended the program to provide for nationwide expansion of a comprehensive crop insurance plan. The Federal Agriculture Improvement and Reform Act of 1996 (P.L.104-127) required the Secretary of Agriculture to establish an independent office for supervision of the FCIC. The

Risk Management Agency (RMA) administers the FCIC and promotes the national welfare by serving America's agricultural producers through effective, market-based risk management solutions and promoting, supporting and regulating sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. RMA fully subsidizes catastrophic crop insurance protection (CAT) except for minor administrative fees paid by the producer. CAT coverage compensates the producer for yield losses exceeding 50 percent of yield and at a price equal to 55 percent of maximum price (or equivalent amounts for dollar-based programs). RMA offers additional protection at higher levels of coverage and variable levels of premium subsidy. The Farm Service Agency (FSA) administers the Noninsured Assistance Program (NAP). NAP is available to provide coverage for food or fiber crops equivalent to the catastrophic crop insurance protection in areas where catastrophic crop insurance protection is not available. FCIC offered insurance on the following crops or commodities for the 2007 crop year, with some available only in limited areas: Adjusted Gross Revenue, Adjusted Gross Revenue-Lite, Almonds, Apples, Apricots, Avocado Trees (Florida), Avocados (Revenue, Actual Production History (APH)), Banana Trees, Bananas, Barley (APH, Income Protection (IP), Revenue Assurance (RA)), Blueberries, Cabbage, Canola (APH, RA), Cherry (Dollar), Chile Peppers, Citrus, Citrus Tree (Texas), Clams, Coffee, Coffee Trees, Corn (APH, Crop Revenue Coverage (CRC)), Group Risk Income Protection (GRIP), Group Risk Protection (GRP), IP, Indexed Income Protection (IIP), RA), Cotton (APH, CRC, GRIP, GRP, IP, RA), Cranberries, Cultivated Wild Rice, Dry Beans, Dry Peas, Extra Long Staple Cotton, Figs, Flax, Florida Fruit Tree, Forage, Forage (APH, GRP), Forage Seed (Alfalfa), Forage Seeding, Fresh Market Sweet Corn, Fresh Market Tomatoes (APH, Dollar), Grain Sorghum (APH, CRC, GRIP, GRP, IP), Grapefruit, Grapes, Green Beans for Canning, Green Peas, Hybrid Corn Seed, Hybrid Grain Sorghum Seed, Lemons, Limes, Livestock, Macadamia Nuts, Macadamia Trees, Mandarins, Mango Trees (Florida), Millet, Mint, Murcotts, Mustard, Naval Oranges (Citrus Dollar, APH), Nectarines, Nursery, Oats, Onions, Oranges, Papaya, Papaya Trees, Pasture, Peaches, Peaches, Peanuts (APH, GRP), Pears, Pecan Revenue, Peppers, Plums, Popcorn, Potatoes, Prunes, Raisins, Rangeland, Rangeland (GRP), Rice (APH, CRC, RA), Rye, Safflower, Silage Sorghum, Soybeans (APH, CRC, GRIP, GRP, IP, IIP, RA), Stonefruit, Strawberries, Sugar Beets, Sugarcane, Sunflowers (APH, RA), Sweet Corn for Canning, Sweetpotatoes, Table Grapes, Tangelos, Tangerines, Tobacco, Tomatoes (Canning and Processing), Walnuts, and Wheat (APH, CRC, GRIP, GRP, IP, RA).to more affordable risk management tools and improved protection from production and income loss, and to improve the efficiency and integrity of the Federal crop insurance program. Due to the new legislation, RMA has improved basic products by implementing higher premium subsidies to made buy-up coverage more affordable for producers; made adjustments in actual production history guarantees; and revised the administrative fees for CAT coverage. Other major provisions of ARPA include: expanded authority of general pilot programs; expansion of the Dairy Options Pilot Program and Risk Management Education; tightening of program compliance and integrity; establishment of expert reviewpanels and procedures for reviewing policies, plans of insurance, and related material or modifications; mandating availability and acceptance of electronic information; and strengthening of "good farming practices" by including scientifically sound sustainable and organic farming practices. RMA has implemented a cost-share program to producers in eleven historically underserved states in the Northeast to purchase AGR insurance. Under this program, RMA will pay 50 percent of the producer-paid premium and the entire administrative fee. RMA anticipates more crops and/or commodities will become insurable through pilot programs approved by the FCIC Board of Directors.

Applicant Eligibility:

Unless otherwise restricted by the insurance policy, owners or operators of farmland, who have an insurable interest in a crop in a county where insurance is offered on that crop are eligible for insurance. Producers will be covered under the Noninsured Assistance Program (NAP) which is available to provide coverage similar to the catastrophic risk protection in areas where catastrophic risk protection is not available, if such crop is produced for food or fiber and the area is authorized.

Beneficiary Eligibility:

Any insured producer who has a financial loss caused from a covered peril for the particular crop insured or covered by the Noninsured Assistance Program (NAP).

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Application for crop insurance offered by a company reinsured by FCIC must be filed with a crop insurance sales agent. Both catastrophic and additional coverage are available only from private companies. In general, crops and acreage must be reported to establish insurance coverage for crop insurance and,

if not eligible for crop insurance, such must be filed to establish eligibility for NAP. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The insurance contract becomes effective upon issuance of a Notice of Acceptance by the insurance company. Notices of Acceptance for insurance coverage are issued upon a determination that the applicant is eligible.

Deadlines:

Applications must be filed by the appropriate sales closing date for the crop involved.

Range of Approval/Disapproval Time:

From 15 to 20 days.

Appeals:

Appeals should be addressed within 30 days to the National Appeals Division, U.S. Department of Agriculture, Washington, DC 20250.

Renewals:

Continuous insurance contract.

Formula and Matching Requirements:

This program has no statutory formula nor matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Private Industry Crop Insurance Acreage Report; Actual Production History Yield Report; and in the event of a loss, Notice of Damage, Production Worksheet, and proof of loss.

Audits:

Recipients are subject to audit by the RMA internal compliance function, private insurance company auditors, Office of the Inspector General, USDA, and the General Accounting Office.

Records:

Insured must keep for 3 years, after the end of the crop year, records of harvesting, shipments, sale or other disposition of all insured crops produced on each unit covered by the contract and separate records for any uninsured acreage of the insured crops.

Account Identification:

12-4085-0-3-351.

Obligations:

(Total indemnities): Indemnities: FY 07 \$5,266,271,000; FY 08 est \$6,569,971,000; and FY 09 est not reported. (Premium subsidy to farmers through reinsured companies): FY 07 est \$2,803,070,000; FY 08 est \$3,663,065,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Level of assistance varies according to policy, crop and indemnities paid.

PROGRAM ACCOMPLISHMENTS:

For crop year 2006, the estimates are approximately 262.6 million acres for total insurance protection of an estimated \$49.9 billion, and for crop year 2007, the estimates are 264.3 million acres for total insurance protection of an estimated \$68.9 billion. For crop year 2008, the estimates are approximately 262.6 million acres for total insurance protection of an estimated \$66.9 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 400 and a brochure "Introduction to Risk Management"- available at no charge.

Regional or Local Office:

Interested producers should contact their Regional Office listed in Appendix IV of the Catalog, or a private industry crop insurance agent.

Headquarters Office:

Department of Agriculture, Administrator, Risk Management Agency, Ag Box 0801, Washington, DC 20250. Telephone: (202) 690-2803.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.404, Emergency Loans; 97.022, Flood Insurance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.451 NONINSURED ASSISTANCE

(NAP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, as amended, 15 U.S.C. 714b and 714c; 7 U.S.C. 7333.

OBJECTIVES:

To provide crop loss assistance comparable to the catastrophic risk protection level of crop insurance to producers of commercial crops or other agricultural commodities for which the catastrophic risk protection level of crop insurance is not available.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: Floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) Who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose qualifying gross revenue is less than \$2 million for the preceding tax year for which an application for coverage is filed.

Beneficiary Eligibility:

Applicant Eligibility: Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose qualifying gross revenue is less than \$2 million for the preceding tax year for which an application for coverage is filed.

Credentials/Documentation:

Applicants must provide to the local administrative FSA office, annual certifications of acreage, yield, and production. Randomly selected producers and producers requesting program payments must also provide acceptable records of production. Applicants must provide evidence as necessary for a proper determination of their eligibility.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must file an application for coverage in the local administrative FSA office. An applicable service fee must accompany the application. Coverage is effective the later of: (1) 30 days after the application for coverage is filed; (2) date the crop is planted for yield-based crops or the beginning of the crop year for all other eligible crops. Other documentation required to fulfill the application requirements include: (1) A report of acreage, facility, etc.; (2) a notice of loss when the eligible crop is prevented from being planted as a result of eligible natural disaster or the eligible planted crop or commodity suffers loss of yield due to eligible natural disaster; (3) if the crop is harvested, a certification of production, and in the crop year for which an application for payment is filed, acceptable evidence of production, (4) if the crop will not be harvested, a request to the local county FSA office for appraisal; and (5) a certification of gross revenue.

Award Procedure:

None.

Deadlines:

Applications for coverage to include both prevented planting and low-yield coverage must be filed at least 30 calendar days prior to the final planting date for yield based crops or in the case of multiple planted crops, 30 calendar days prior to the beginning of the respective planting period. Applications for coverage to include only low-yield coverage may be filed until the final planting date for yield based crops or in the case of multiple planted crops, the beginning of the respective planting period. Applications for all other eligible crops must be filed no later than the beginning of the crop year. Other reporting requirements and deadlines will become applicable upon acceptance of the application for coverage.

Range of Approval/Disapproval Time:

The county committee will normally make a decision regarding the application for payment within 60 calendar days after all required information and documentation is received.

Appeals:

Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

Formula and matching requirements are not considered under this part.

Length and Time Phasing of Assistance:

Assistance is normally provided in a single payment following approval of the application for payment.

Reports:

There are no reports due for post assistance requirements under this program.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:
Applicants must retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which an application for coverage is filed.

Account Identification:
12-4336-0-3-351.

Obligations:
(Direct Cash Payments) FY 07 \$327,744,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
None.

REGULATIONS, GUIDELINES, AND LITERATURE:
Procedural handbooks and published regulations are available in county FSA offices.

Regional or Local Office:
Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:
Department of Agriculture, Farm Service Agency, Production, Emergency and Compliance Division, Noninsured Assistance Program Branch, Stop 0517, 1400 Independence Avenue SW, Washington, DC 20250-0526. Telephone: (202)720-5172.

Web Site Address:
<http://www.fsa.usda.gov>.

RELATED PROGRAMS:
10.450, Crop Insurance; 10.054, Emergency Conservation Program; 10.404, Emergency Loans; 10.066, Livestock Assistance Program; 10.073, Crop Disaster Program; 10.452, Disaster Reserve Assistance.

EXAMPLES OF FUNDED PROJECTS:
There are no funded projects related to this program.

CRITERIA FOR SELECTING PROPOSALS:
None.

10.452 DISASTER RESERVE ASSISTANCE

(DRAP)

FEDERAL AGENCY:
FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:
Agricultural Act of 1970, as amended, 7 U.S.C. 1427a.

OBJECTIVES:
To provide emergency assistance to eligible livestock owners, in a State, county, or area approved by the Secretary or designee, where because of disease, insect infestation, flood, drought, fire, hurricane, earthquake, hail storm, hot weather, cold weather, freeze, snow, ice, and winterkill, or other natural disaster, a livestock emergency has been determined to exist.

TYPES OF ASSISTANCE:
Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:
Direct Payments are for unrestricted use. Feed on which cost-sharing is received:
(a) Must be fed to the producer's livestock; (b) may not be resold; and (c) must be utilized during the established feeding period.

Applicant Eligibility:
Applicants must meet all of the following conditions as determined by the approving official: (1) May not have total annual gross revenue in excess of \$2.5 million; (2) be actively engaged in farming with at least 10 percent of gross revenue derived from the production of grain or livestock; (3) must have suffered a 40 percent or greater loss of normal feed production on the farm; and (4) have insufficient feed available for eligible livestock for the duration of the emergency; and (5) applicants eligible to receive program benefits under more than one program administered by the Secretary for the same crop loss must choose whether to receive other program benefits or disaster reserve assistance benefits. Applicants are not eligible for both. Basic program eligibility requirements include: (1) For the Disaster Reserve Assistance Program, crop losses in areas that have suffered a 40 percent or greater loss of normal grazing, and feed grain and forage production, and determined to be in a livestock feed emergency due to a natural disaster; (2) for the Emergency Feed Grain Donation Program: (a) the State committee must determine and document a livestock feed emergency on a county-by-county basis, when the danger of eligible livestock perishing as a result of snow and freezing conditions exists in the county, (b) the livestock owner, or other person or entities (public or private) certify that the eligible livestock were or are in danger of perishing without immediate assistance; and (3) the Foundation Livestock Relief (Cost-Share) Program: (a) when foundation livestock are stranded and in imminent danger of perishing, and (b) when the State committee determines and documents livestock losses due to severe weather conditions.

Beneficiary Eligibility:
Applicants must meet all of the following conditions as determined by the

approving official: (1) May not have total annual gross revenue in excess of \$2.5 million; (2) be actively engaged in farming with at least 10 percent of gross revenue derived from the production of grain or livestock; (3) must have suffered a 40 percent or greater loss of normal feed production on the farm; and (4) have insufficient feed available for eligible livestock for the duration of the emergency; and (5) applicants eligible to receive program benefits under more than one program administered by the Secretary for the same crop loss must choose whether to receive other program benefits or disaster reserve assistance benefits. Applicants are not eligible for both.

Credentials/Documentation:
Applicants must certify: (1) Ownership of livestock, feed loss, and feed available, (2) that annual gross revenue is not in excess of \$2.5 million, (3) derives at least 10 percent of gross revenue from the production of grain and livestock. Applicants must also be an established producer or husbandry of livestock or a dairy producer who is: (1) Actively engaged in farming; (2) a citizen of, or legal resident alien in the United States; (3) a farm cooperative, private domestic corporation, partnership, or joint operation in which a majority interest is held by the members, stockholders, or partners who are citizens of, or legal resident aliens of the United States; (4) any Indian tribe or tribal organization of the Indian Self-Determination and Education Assistance Act; and (5) any organization under the Indian Reorganization Act or Financing Act.

Preapplication Coordination:
There is no preapplication coordination related to this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:
(1) Applicants visit the county FSA office in the eligible county to make application, certify eligibility and report feed loss, feed available, and eligible livestock related to the disaster occurrence; and (2) applicants also receive authority to participate in the program as provided by the approving official.

Award Procedure:
None.
Deadlines:
Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:
Approval is 30 days or less in most cases if complete information is furnished by the applicant.

Appeals:
Applicants may appeal to the county and State FSA committees and to the National Appeals Division.

Renewals:
None.

Formula and Matching Requirements:
Formula and matching requirements are not considered under this program.

Length and Time Phasing of Assistance:
Payment is made by check upon presenting satisfactory evidence of cost of feed purchased and determining program eligibility.

Reports:
There are no reports due for post assistance requirements under this program.

Audits:
Recipients under these programs are subject to audit by the Office of the Regional Inspector General, USDA.

Records:
Owners, dealers, handlers, and warehousemen shall retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which assistance is provided.

Account Identification:
12-4336-0-1-351.

Obligations:
(Direct Cash Payments: American Indian Livestock Feed Program) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
None.

PROGRAM ACCOMPLISHMENTS:
None.

REGULATIONS, GUIDELINES, AND LITERATURE:
Procedural handbooks are available in county FSA offices.

Regional or Local Office:
Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:
Department of Agriculture, Farm Service Agency, Emergency and Noninsured Assistance Program Division, STOP 0526, 1400 Independence Avenue S.W., Washington, DC 20250-0526. Telephone: (202) 720-3168.

Web Site Address:
<http://www.fsa.usda.gov>.

RELATED PROGRAMS:
10.450, Crop Insurance; 10.054, Emergency Conservation Program; 10.404, Emergency Loans.

EXAMPLES OF FUNDED PROJECTS:
There are no funded projects related to this program.

CRITERIA FOR SELECTING PROPOSALS:
None.

10.454 DAIRY OPTIONS PILOT PROGRAM

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY (RMA), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title I; Agricultural Market Transition Act, Subtitle H, Public Law 104-127; Agricultural Risk Protection Act of 2000, Public Law 106-224.

OBJECTIVES:

The purpose of the Dairy Options Pilot Program (DOPP) is to educate dairy producers in the use of options contracts as risk management tools and to ascertain the usefulness of options to dairy producers in various regional markets.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds will be used to share the cost of premium for 'put' options purchased by DOPP participants and for a portion of the brokers' fees to conduct and execute transactions. Funds may be used to purchase only 'put' options on milk futures contracts. Other discretionary expenses will be incurred by RMA to operate the program such as those associated with the DOPP's required training sessions.

Applicant Eligibility:

To be eligible for any benefits under this program, applicants must be dairy farmers (i.e., an individual, entity, or joint operation, which as owner, operator, landlord, tenant, or sharecropper is entitled to share in the production available for marketing from the dairy farm, or share in the proceeds thereof) who: (1) Are eligible for a production flexibility contract, a marketing assistance loan or any other assistance under Title I of the Federal Agriculture Improvement and Reform Act of 1996; (2) volunteer to participate in this program; (3) operate a dairy farm located in a county selected for the pilot program; and (4) have documented production history of at least 100,000 pounds of production over any consecutive 6-month period during the most recent 12 months.

Beneficiary Eligibility:

Dairy farmers.

Credentials Documentation:

Dairy farmers must certify that they are eligible for the DOPP and that their farm produced at least 100,000 pounds of milk over a consecutive 6-month period during the most recent 12 months.

Preapplication Coordination:

The DOPP application and participation agreement materials include Form CCC-320 and a brochure explaining DOPP, along with instructions. Consultation or assistance is available from RMA and from extension agents to aid in preparing these forms. No informal preapplication consultation is necessary. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The DOPP application materials will be mailed to producers in counties where the program operates.

Award Procedure:

Each round of the program will last up to 12 months for each participant and operate for up to 3 years in each pilot county.

Deadlines:

Farmers have one month after the county official training date to return their signed application and a copy of their production records to RMA.

Range of Approval/Disapproval Time:

Farmers should expect a response within 3 weeks of RMA's receipt of their applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The program has no statutory formula. The proposed cost-sharing arrangement between RMA and participating dairy farmers calls for RMA to pay for 80 percent of premiums and up to \$30 in broker fees for each options contract purchased under the DOPP. The farmer pays for 20 percent of the premium and any broker fees in excess of \$30 per contract. This program has no matching requirements for State and local governments.

Length and Time Phasing of Assistance:

This assistance is available to farmers in selected counties from the date application materials are mailed to farmers in those counties until all options have been liquidated. All DOPP options must be purchased within 4 months of the date of the training session in each county. The assistance is paid to the farmer's broker on behalf of the farmer on a transaction-by-transaction basis.

Reports:

None.

Audits:

RMA's Office of Risk Compliance will conduct random audits of participating producers' and brokers' trading activity to verify compliance with the DOPP agreements.

Records:

None.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In DOPP Round I, 1,450 dairy producers were trained; 428 of those dairy producers bought 1,700 milk put options. During DOPP Round II, 1,226 dairy producers were trained; 129 of those dairy producers bought 310 milk put options due to low prices. An increased number of dairy producers attended training during Round III; 790 of those dairy producers bought 2,816 milk put options.

REGULATIONS, GUIDELINES, AND LITERATURE:

Brochure - "Got Options? Announcing the Dairy Options Pilot Program".

Regional or Local Office:

Not applicable.

Headquarters Office:

Risk Management Agency, Stop #0808, 1400 Independence Avenue, SW., Washington, DC 20250. Contact: Lee Ziegler, Telephone: (202) 690-0520. Fax: (202) 690-3605. E-mail: rma.options@wdc.usda.gov.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The program was operated to fund milk put options purchases for dairy producers in 38 counties in a total of seven States during the original Round of DOPP (Round I). The program operated between 6 to 8 months in each State. For Round II, the program was expanded to include 61 new counties and included a total of 32 States. None of the original (Round I) counties were chosen to participate in Round II of the program. DOPP Round III was piloted in 275 counties and 39 states during FY 2001. An additional 25 counties were chosen by the Secretary of Agriculture for implementation during FY 2002. Counties from Rounds I, II, and III are included in the 300 total counties in 40 states during FY 2002 because DOPP will continue to operate in these counties, as directed by Congress.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.455 COMMUNITY OUTREACH AND ASSISTANCE PARTNERSHIP PROGRAM

(RMA Community Outreach and Assistance Partnership Program)

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, as amended 7 U.S.C. 1522(d).

OBJECTIVES:

To ensure that women, limited resource, socially disadvantaged and other traditionally underserved producers of priority commodities are provided information and training necessary to use financial management, crop insurance, marketing contracts, and other existing and emerging risk management tools.

TYPES OF ASSISTANCE:

Information; Training.

USES AND USE RESTRICTIONS:

Recipients will use funds to develop and implement a community outreach program to women, limited resource, socially disadvantaged and other traditionally underserved producers of priority commodities. Community outreach must create awareness and use of risk management tools; and educate audience on how to use risk management tools to mitigate risks associated with farming. Applicants may propose additional use of funds that are creative and non traditional in reaching agricultural producers. RMA will be substantially involved in the project. Funds may not be used to plan, repair, rehabilitate, acquire or construct a building or facility including a processing facility; purchase, rent or install fixed equipment; repair or maintain privately owned vehicles; pay for preparation of the partnership agreement application; fund political activities; pay costs incurred prior to receiving a partnership agreement; or fund any activity prohibited in 7 CFR Parts 3015 and 3019, as applicable. Indirect costs are limited to ten percent of total direct costs of the funded project.

Applicant Eligibility:

Applicant Eligibility Includes educational institutions, community based organizations, associations of farmers, ranchers and other nonprofit

organizations with demonstrated capabilities in developing and implementing risk management and other marketing options for priority commodities. Individuals are not eligible applicants. To be eligible, applicants must also be able to demonstrate that they will receive a non-financial benefit as a result of a partnership agreement. Non-financial benefits must accrue to the applicant and must include more than the ability to provide employment income to the applicant or for the applicant's employees or the community. The applicant must demonstrate that performance under the partnership agreement will further the specific mission of the applicant (such as providing research or activities necessary for graduate or other students to complete their educational program).

Beneficiary Eligibility:

Women, limited resource, traditionally under served and socially disadvantaged producers of priority commodities.

Credentials/Documentation:

None.

Preapplication Coordination:

None required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All Applicants must submit applications in compliance with the criteria outlined in the Request for Applications (RFA) by the deadline specified in the Notice. Applicants are encouraged to submit completed and signed application packages using overnight mail or delivery service to ensure timely receipt by the USDA. Program application materials may be downloaded from the RMA website at: www.rma.usda.gov. Applicants may also request application materials from Marie Buchanan, Telephone: (202) 690-2686, Fax: (202) 690-2496, Email: Marie.Buchanan@usda.gov.

Award Procedure:

Awards under this program will be made on a competitive basis for projects of up to one year. Each application will be reviewed and rated by a diverse panel based on the evaluation criteria contained in the RFA. Projects will be funded in final rank order until all available funds have been expended. Applicants must score at least 50 points or more to be considered for funding. The award instrument is a Partnership Agreement between the Risk Management Agency and the Applicant. No maximum or minimum funding levels have been established for individual projects or geographic locations.

Deadlines:

Completed and signed application packages must be submitted by the closing date specified in the Notice. Applications received after the deadline will not be evaluated by the technical review panel.

Range of Approval/Disapproval Time:

All awards will be made and agreements completed no later than September 30. Applicants that are not funded will be notified within 90 days after the receipt of applications.

Appeals:

Informal appeals can be addressed within 30 days from the date of notification to William Buchanan, Director, Civil Rights and Community Outreach, 1400 Independence Ave., SW, Washington, DC.

Renewals:

The project period is one year; there are no renewals under this program. Applicants must reapply each year.

Formula and Matching Requirements:

Applicants awarded partnership agreements require a match of ten (10) percent of the award amount. The applicant's contribution must be from nonfederal funds and can be cash or in-kind.

Length and Time Phasing of Assistance:

Projects will be funded for a period of up to one year from the project starting date for the activities described in the announcement.

Reports:

Applicants awarded partnership agreements will be required to submit quarterly progress and financial status reports (OMB Stand Ford 269) throughout the project period, as well as a comprehensive final report and financial report not later than 90 days after the end of the project period.

Audits:

Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

FY 07 \$5,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Projects funded range from \$5,000 to \$300,000. The average is \$75,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications in the Federal Register and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

RMA has ten Regional Offices serving potential applicants. A listing may be obtained from the RMA web site or RMA Headquarters.

Headquarters Office:

Department of Agriculture, Risk Management Agency, Community Outreach and Assistance Partnership Program, 1400 Independence Ave., SW, Stop 0805, Washington, DC 20250. Telephone: (202) 690-2686. E-mail: <http://www.rma.usda.gov>.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A complete listing of projects funded under this program is provided on the RMA web site, or may be obtained by contacting RMA Headquarters

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the Request for Applications.

10.456 PARTNERSHIP AGREEMENTS TO DEVELOP NON-INSURANCE RISK MANAGEMENT TOOLS FOR PRODUCERS (FARMERS)

FEDERAL AGENCY:

THE FEDERAL CROP INSURANCE CORPORATION (FCIC), OPERATING THROUGH THE RISK MANAGEMENT AGENCY (RMA), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, 7 U.S.C. 1501, et. seq.), Section 522(d); Agricultural Market Transition Act, 7 U.S.C. 7333.

OBJECTIVES:

To develop risk management tools (risk management tools do not include insurance products, plans of insurances, policies, modifications thereof or any related material) for the U. S. agriculture producers with an emphasis on specialty crop(s) producers, livestock producers, rangeland producers and producers of underserved commodities currently covered by section 196 of the Agricultural Market Transition Act (7 U.S.C. 733).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The availability of research partnership monies (variable) is announced on a yearly basis. The partnership agreements are for the development of non-insurance risk management tools for use directly by agricultural producers. Each partnership agreement recipient must demonstrate non-financial benefits from the partnership agreement and the partner must agree to substantial involvement of RMA in the project. Indirect costs must be limited to ten percent of the total direct costs.

Applicant Eligibility:

Proposals are invited from all qualified public and private entities. Eligible applicants include all colleges and universities, Federal, State, local government agencies, Native American Tribal Organizations, nonprofit and for-profit private organizations or corporations, and other entities. Individuals are not eligible applicants.

Beneficiary Eligibility:

The research partnerships will result in the development of non-insurance risk management tools to be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production.

Credentials/Documentation:

Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other facts may exclude an applicant from receiving Federal assistance under this program (e.g., debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Preapplication Coordination:

None. This program is excluded under E.O. 12372.

Application Procedure:

This program is subject (as applicable) to the provisions of OMB Circular Nos. A-21, A-87, A-102, A-110, A-122, A-133 and FAR, Part 31. Eligible applicants submit proposals according to published guidelines (generally in the Federal Register). Objectives will vary for the research proposals solicited and funded each year. Application procedures and guidelines may change each year. See the yearly Request for Applications (RFA) for specific guidelines.

Award Procedure:

Project funds for successful applicants are awarded to recipients based upon a merit review (panel) of all applications that meet the objectives outlined in the RFA announcement. The evaluation of each application will be conducted by a panel of not less than three independent reviewers. The panel will be comprised of representatives from USDA, other federal agencies, and others representing public and private organizations, as needed. The panel will examine and score

applications based on the evaluation criteria and weights contained in the RFA. After assigning points based upon the evaluation criteria and weights, recommended applications will be listed in rank order and presented, along with funding level recommendations, to the Manager of FCIC, who will make the final selection and funding decisions.

Deadlines:

As specified in the RFA.

Range of Approval/Disapproval Time:

From 30 180 days as specified in the RFA.

Appeals:

Not applicable.

Renewals:

Renewal possible depending upon agency review and needs.

Formula and Matching Requirements:

This program has no statutory formula other than the general yearly funding availability established under section 522(e)(A) of the Federal Crop Insurance Act. This program has no matching requirements.

Length and Time Phasing of Assistance:

Each approved project will be funded for a period of up to three years for the activities described in the RFA. Projects can also be in two parts with the first part including the research and feasibility studies and the second part including the development, implementation, delivery and maintenance of the non-insurance risk management tool. If the development of the tool is determined not to be feasible, the partnership may be terminated by RMA after completion of the first part, with funding reduced accordingly.

Reports:

All partnership recipients will submit progress and financial reports throughout the project period as specified in the partnership agreement as well as a final program and financial report not later than 90 days after the end of the mutually agreed upon project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular No. A-123.

Records:

Financial records, supporting documentation, statistical records and all other records pertinent to the partnership agreement must be retained for a period of at least 3 years after closing.

Account Identification:

12-4085-0-3-351.

Obligations:

(Partnerships) FY 07 \$4,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information concerning the Risk Management Agency and associated publications can be obtained using the public website at: <http://www.rma.usda.gov>.

Regional or Local Office:

Risk Management Agency, Stop 0813, 6501 Beacon Drive, Kansas City, MO 64133-4676. Telephone: (816) 926-6343. Fax: (816) 926-7343. E-mail: www.RMARED.Applications@rma.usda.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.455, Community Outreach and Assistance Partnership Program; 10.457, Commodity Partnerships for Risk Management Education; 10.458, Crop Insurance Education in Targeted States; 10.459, Commodity Partnerships for Small Agricultural Risk Management Education Sessions.

EXAMPLES OF FUNDED PROJECTS:

FY 03: <http://www.rma.usda.gov/news/2003/10/03rdtable.html>.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria are specified in the Request for Application.

10.457 COMMODITY PARTNERSHIPS FOR RISK MANAGEMENT EDUCATION

(Commodity Partnerships)

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, as amended. 7 U.S.C. 1522(d).

OBJECTIVES:

To deliver training and information in the management of production, marketing, and financial risk to U.S. agricultural producers, with a priority to producers of crops not insurable with federal crop insurance, specialty crops, and underserved commodities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of risk management education for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for risk management and educational opportunities, (d) deliver educational activities to agricultural producers and agribusiness professionals, and (e) document project activities. Applicants may propose additional uses of funds that meet the objectives of the program. RMA will be substantially involved in the management and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the partnership agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility; (b) purchase, rent, or install fixed equipment; (c) repair or maintain privately owned vehicles; (d) pay the for preparation of the partnership agreement application; (e) fund political activities; (f) pay costs incurred prior to receiving a partnership agreement (g) pay for alcohol, food, beverage, or entertainment; or (h) fund any activity prohibited in 7 CFR Parts 3015 and 3019, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organizations, and other public or private organizations with the capacity to lead a local program of risk management education for farmers and ranchers in an RMA Region. Individuals are not eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers, with a priority for producers of crops not insurable by Federal crop insurance, specialty crops, and underserved commodities. Applicants receiving awards will ensure that such producers receive effective risk management education and information either directly or through agribusiness professionals that can impart risk management information to producers.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver risk management education and information programs to producers in the written narratives and statements of work that accompany applications.

Preapplication Coordination:

No preapplication coordination with governmental or nongovernmental units is required prior to the submission of a formal application. This program is excluded under E.O. 12372.

Application Procedure:

Eligible applicants must complete and submit a valid application to RMA Headquarters by the application deadline. Requirements for a complete application package and the application deadline are set forth in the Request for Applications. Eligible applicants will also be able to apply electronically using www.Grants.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive partnership agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

The application due date is indicated in the Request for Applications notice and www.Grants.gov.

Range of Approval/Disapproval Time:

Generally about 120 days from the deadline listed in the Request for Applications.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

No provision is provided in this program for a renewal.

Formula and Matching Requirements:

The Request for Applications contains specifics on the limits of funding availability for individual projects. Although RMA prefers matching funds, this program has no matching requirement.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in July through September and will continue for up to one year.

Reports:

Quarterly performance reports are required which document project progress. In addition, a comprehensive final report is required which documents all educational activity conducted within the partnership project.

Audits:

Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

FY 07 \$4,623,766; FY 08 \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

FY 2007 funds provided direct risk management training to approximately 30,000 agricultural producers and assist in raising risk management awareness to the larger agricultural community.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications in the Federal Register, www.Grants.gov, and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

RMA has ten Regional Offices nationwide serving potential applicants. A listing may be obtained from the RMA web site or RMA Headquarters.

Headquarters Office:

Risk Management Agency, Risk Management Education Division, Department of Agriculture, Stop 0808, 1400 Independence Ave. S.W., Washington, DC 20250-0808. Telephone: (202) 720-5265. E-mail: rma.risk-ed@rma.usda.gov.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.455, Community Outreach and Assistance Partnership Program; 10.456, Partnership Agreements to Develop Non- Insurance Risk Management Tools for Producers (Farmers); 10.458, Crop Insurance Education in Targeted States; 10.459, Commodity Partnerships for Small Agricultural Risk Management Education Sessions.

EXAMPLES OF FUNDED PROJECTS:

A complete listing of projects funded under this program is provided on the RMA web site, or may be obtained by contacting RMA Headquarters.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the Request for Applications.

10.458 CROP INSURANCE EDUCATION IN TARGETED STATES

(Targeted States)

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, as amended. 7 U.S.C. 1524(a).

OBJECTIVES:

The purpose of this cooperative agreement program is to deliver crop insurance education and information to U.S. agricultural producers in certain, designated States that have been historically underserved with respect to crop insurance. The States, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of crop insurance education for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for crop insurance and educational opportunities, (d) deliver crop insurance educational activities to agricultural producers and agribusiness professionals, and (e) document project activities. Applicants may propose additional uses of funds that meet the

objectives of the program. RMA will be substantially involved in the management and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility; (b) purchase, rent, or install fixed equipment; (c) repair or maintain privately owned vehicles; (d) pay for the preparation of the partnership agreement application; (e) fund political activities; (f) purchase alcohol, food, beverage, or entertainment; (g) pay costs incurred prior to receiving a partnership agreement; or (g) fund any activity prohibited in 7 CFR Parts 3015 and 3019, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organizations, and other public or private organizations with the capacity to lead a local program of crop insurance education for farmers and ranchers in a Targeted State. Individuals are eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers in the Targeted States. Applicants receiving awards will ensure that such producers receive effective crop insurance education and information either directly or through agribusiness professionals that can impart crop insurance information to producers.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver crop insurance education and information programs to producers in the written narratives and statements of work that accompany applications.

Preapplication Coordination:

No preapplication coordination with governmental or nongovernmental units is required prior to the submission of a formal application. This program is excluded under E.O. 12372.

Application Procedure:

Eligible applicants must complete and submit a valid application to RMA Headquarters by the application deadline. Requirements for a complete application package and the application deadline are set forth in the Request for Applications. Eligible applicants will also be able to apply electronically using www.Grants.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive cooperative agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

The application due date is indicated in the Request for Applications notice and www.Grants.gov.

Range of Approval/Disapproval Time:

Generally about 120 days from the deadline listed in the Request for Applications.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

No provision is provided in this program for a renewal.

Formula and Matching Requirements:

The Request for Applications contains specifics on the limits of funding availability for individual projects. Although RMA prefers matching funds, this program has no matching requirement.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in July through September and will continue for up to one year.

Reports:

Quarterly performance reports are required which document project progress. In addition, a comprehensive final report is required which documents all educational activity conducted within the partnership project.

Audits:

Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the

cooperative agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

FY 07 \$4,482,217; FY 08 est \$4,500,000; and FY 09 est \$4,500,000.

Range and Average of Financial Assistance:

\$157,000 to \$754,000 as announced in the Request for Applications.

PROGRAM ACCOMPLISHMENTS:

FY 2008 funds will provide direct crop insurance education training to approximately 20,000 agricultural producers and assist in raising risk management awareness to the larger agricultural community.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications in the Federal Register, www.Grants.gov and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

RMA has three Regional Offices serving potential applicants for the fifteen Targeted States. A listing may be obtained from the RMA web site or RMA Headquarters.

Headquarters Office:

Risk Management Agency, Risk Management Education Division, Department of Agriculture, Stop 0808, 1400 Independence Ave. SW, Washington, DC 20250-0808. Telephone: (202) 720-5265. E-mail: rma.risk-ed@rma.usda.gov.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.455, Community Outreach and Assistance Partnership Program; 10.456, Partnership Agreements to Develop Non- Insurance Risk Management Tools for Producers (Farmers); 10.457, Commodity Partnerships for Risk Management Education; 10.459, Commodity Partnerships for Small Agricultural Risk Management Education Sessions.

EXAMPLES OF FUNDED PROJECTS:

A complete listing of projects funded under this program is provided on the RMA web site, or may be obtained by contacting RMA Headquarters.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the Request for Applications.

10.459 COMMODITY PARTNERSHIPS FOR SMALL AGRICULTURAL RISK MANAGEMENT EDUCATION SESSIONS

(COMMODITY PARTNERSHIPS SMALL SESSIONS PROGRAM)

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1522(d).

OBJECTIVES:

To deliver training and information during small sessions in the management of production, marketing, and financial risk to U.S. agricultural producers, with a priority to producers of crops not insurable with Federal crop insurance, specialty crops, and underserved commodities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of risk management education for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for risk management and educational opportunities, (d) deliver educational activities to agricultural producers and agribusiness professionals, and (e) document project activities. Applicants may propose additional uses of funds that meet the objectives of the program. RMA will be substantially involved in the management and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the partnership agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility; (b) purchase, rent, or install fixed equipment; (c) repair or maintain privately owned vehicles; (d) pay the for preparation of the partnership agreement application; (e) fund political activities; (f) purchase alcohol, food, beverage, or entertainment; (g) pay costs incurred prior to receiving a partnership agreement; or (h) fund any activity prohibited in 7 CFR Parts 3015 and 3019, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organizations, and other public or private organizations with the capacity to lead a local program of risk management education for farmers and ranchers in an RMA Region. Individuals are not eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving Federal assistance under this program (e.g. debarment and suspension; a

determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers, with a priority for producers of crops not insurable by Federal crop insurance, specialty crops, and underserved commodities. Applicants receiving awards will ensure that such producers receive effective risk management education and information either directly or through agribusiness professionals that can impart risk management information to producers.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver risk management education and information programs to producers in the written narratives and statements of work that accompany applications.

Preapplication Coordination:

No preapplication coordination with governmental or nongovernmental units is required prior to the submission of a formal application. This program is excluded under E.O. 12372.

Application Procedure:

Eligible applicants must complete and submit a valid application to RMA Headquarters by the application deadline. Requirements for a complete application package and the application deadline are set forth in the Request for Applications. Eligible applicants will also be able to apply electronically using www.Grants.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive partnership agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

The application due date is indicated in the Request for Applications notice.

Range of Approval/Disapproval Time:

Generally about 120 days from the deadline listed in the Request for Applications.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

No provision is provided in this program for a renewal.

Formula and Matching Requirements:

The Request for Applications contains specifics on the limits of funding availability for individual projects. Although RMA prefers matching funds, this program has no matching requirement.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in July through September and will continue for up to one year.

Reports:

Quarterly performance reports are required which document project progress. In addition, a comprehensive final report is required which documents all educational activity conducted within the partnership project.

Audits:

Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

FY 07 \$415,655; FY 08 est \$500,000; and FY 09 est \$500,000.

Range and Average of Financial Assistance:

FY 07 Range: \$4,000 to \$10,000; FY 07 Average: \$9,666.

PROGRAM ACCOMPLISHMENTS:

FY 2008 funds will provide direct risk management training to approximately 3,000 agricultural producers and assist in raising risk management awareness to the larger agricultural community.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications in the Federal Register, www.Grants.gov, and the RMA website, along with specific information on obtaining an application package.

Regional or Local Office:

RMA has ten Regional Offices nationwide serving potential applicants. A listing may be obtained from the RMA website or RMA Headquarters.

Headquarters Office:

Risk Management Agency, Risk Management Education Division, Department of Agriculture, Stop 0808, 1400 Independence Ave. S.W., Washington, DC 20250-0808. Telephone: (202) 720-5265. E-mail: rma.risk-ed@rma.usda.gov.

Web Site Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.455, Community Outreach and Assistance Partnership Program; 10.456, Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers); 10.457, Commodity Partnerships for Risk Management Education; 10.458, Crop Insurance Education in Targeted States.

EXAMPLES OF FUNDED PROJECTS:

A complete listing of projects funded under this program is provided on the RMA web site, or may be obtained by contacting RMA Headquarters.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the Request for Applications.

10.475 COOPERATIVE AGREEMENTS WITH STATES FOR INTRASTATE MEAT AND POULTRY INSPECTION

(Meat and Poultry Inspection State Programs)

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C. 601-624, 641-645, 661, 671-680; 691-695; Federal-State Cooperative Act (Talmadge-Aiken), Public Law 87-718, 7 U.S.C. 450; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C. 451-471. Agriculture Marketing Act of 1946, as amended, 7 U.S.C. 1621-1627.

OBJECTIVES:

To supply Federal assistance to States desiring to operate a meat and poultry inspection program in order to assure the consumer an adequate supply of safe, wholesome, and properly labeled meat and poultry products.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To assist States that operate meat and poultry inspection programs which are equal to Federal meat and poultry inspection.

Applicant Eligibility:

An appropriate State or U.S. Territory agency administering State or Territorial meat or poultry inspection programs under laws equal to the Federal Meat and Poultry Products Inspection Acts.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Receipt of State inspection mandatory laws, copies of regulations related to the laws, and State performance plans. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Notify the Director, Federal-State Liaison Staff, Field Operations, Food Safety and Inspection Service, U.S. Department of Agriculture, Congressional Quarterly Building, Room 329, Washington, DC 20250-3700. Contact: Dr. Muriel Prasad. Telephone: (202) 418-8897. The applicant should express their interest and request copies of the cooperative agreements currently in use by participating States. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal funds for any year shall not exceed 50 percent of the estimated total costs of the cooperative program, and the Federal funds shall be allocated

equitably among the cooperating States.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis to States.

Reports:

Applicant will keep a complete accounting of the cost of developing and operating the State inspection program and will submit quarterly statements to the Food Safety and Inspection Service.

Audits:

State will arrange for an audit of the records pertaining to these agreements by the State Auditor of Public Accounts, annually or otherwise, as provided by applicable State law or regulations. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Other Non-Profit Organizations," State and local governments, that expend financial assistance of \$500,000 or more in a year in Federal awards, will have a single or a program-specific audit made for that year. State and local government.

Records:

Complete accounting records shall be available to the Comptroller General of the United States and to the authorized representatives of the Secretary of Agriculture.

Account Identification:

12-3700-0-1-554.

Obligations:

(Grants) FY 07 \$46,500,000; FY 08 est \$47,446,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 07 \$93,000.00 to \$5,607,000.00; \$1,661,000.00; FY 08 \$95,000,000.00 to \$5,721,000.00; \$1,695,000.00.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 it is expected that 28 States will continue to cooperate under Federal Meat Inspection Act (FMIA) and 26 States will continue to cooperate under Poultry Products Inspection Act (PPIA).

REGULATIONS, GUIDELINES, AND LITERATURE:

FSIS Directive 5720.2, Revision 3, State Cooperative Inspection Programs.

Regional or Local Office:

See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Director, Federal-State Liaison Staff, Field Operations, Food Safety and Inspection Service, Department of Agriculture, Congressional Quarterly Building, Room 329, Washington, DC 20250-3700. Contact: Dr. Muriel Prasad. Telephone: (202) 418-8897. (Use same 7-digit number for FTS.)

Web Site Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.477, Meat, Poultry, and Egg Products Inspection.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.477 MEAT, POULTRY, AND EGG PRODUCTS INSPECTION

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C. 601-624, 641-645, 661, 671-680; 691-695; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C. 451-471; Humane Slaughter Act, Public Law 85-765, 7 U.S.C. 1901-1906; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Agriculture Marketing Act of 1946, as amended; 7 U.S.C. 1621-1627.

OBJECTIVES:

To assure that all meat, poultry and egg products produced in plants and shipped in commerce are federally inspected for safety, wholesomeness, and proper labeling.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Meat, poultry, and egg products plants located within the United States or its Territories engaged in slaughtering or processing meat, poultry, and egg products for shipment in commerce are required to have inspection. All plants located within the U.S. or its territories processing egg products are required to be under continuous USDA inspection. The Department of Agriculture is reimbursed for overtime and holiday inspection services. Inspection not required under law is provided on request for a fee.

Applicant Eligibility:

Any meat or poultry plant planning to engage in slaughtering or processing meat and poultry products, and all egg products processing plants for shipment in commerce. This program is available in the U.S. and its Territories.

Beneficiary Eligibility:

General public (meat, poultry, and egg products.)

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A request for inspection should be submitted to the Meat, Poultry and Egg Products Inspection District Office, or to the Administrator.

Award Procedure:

Certification is made that the applicant meets the regulations on sanitation, and operating standards for the inspection of meat, poultry and egg products plant facilities and equipment.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

Not applicable.

Records:

None.

Account Identification:

12-3700-0-1-554; 12-8137-0-7-352.

Obligations:

(Salaries and expenses for Account 12-3700-0-1-554); FY 07 \$840,906,692; FY 08 est 877,945,000; and FY 09 est not reported. (Salaries and expenses for Account 12-8137-0-7-352) FY 07 \$6,642,000; FY 08 est \$6,841,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, the program inspected operations at about 6,300 establishments which produced about 107.9 billion pounds of meat, poultry and egg products. The scope of program operations in fiscal years 2007 and 2008 will be similar.

REGULATIONS, GUIDELINES, AND LITERATURE:

MPI-7, Meat and Poultry Inspection Manual; Sanitation Handbook; 9 CFR 301-592, Inspection Regulations.

Regional or Local Office:

See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Assistant Administrator, Field Operations, Food Safety and Inspection Service, Department of Agriculture, Washington, DC 20250. Contact: Kenneth Petersen. Telephone: (202) 720-8803. (Use the same number for FTS.)

Web Site Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.475, Cooperative Agreements with States for Intrastate Meat and Poultry Inspection.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.479 FOOD SAFETY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Public Law 108-7, Section 713, 117 Statute 39; Public Law 108-447; H.R. Conference Report 108-792.

OBJECTIVES:

To reduce the incidence of foodborne illnesses associated with meat, poultry, and egg products and to protect the food supply. FSIS is authorized to use cooperative agreements to reflect a relationship between FSIS and cooperators to carry out educational programs or special studies to improve the safety of the nation's food supply. Also, FSIS has been directed to further develop the Food Emergency Response Network, a network of Federal, state and local laboratories that provides the nation the analytic capabilities and capacity it needs to cope with agents threatening the food supply.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Available discretionary funds are contingent on annual appropriations. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public.

Applicant Eligibility:

State, local and tribal government agencies; academic institutions and non-profit organizations.

Beneficiary Eligibility:

General public.

Credentials Documentation:

None.

Preapplication Coordination:

None. This program is excluded under E.O. 12372.

Application Procedure:

Academic institutions; State, local and tribal government agencies; and non-profit organizations are invited to submit brief proposals (two to four pages) for cooperative agreements in any of the areas described in the request for proposals along with an SF-424. These proposals will be reviewed by FSIS. The criteria used by FSIS to assess proposals are listed for each cooperative agreement program area in the request for proposals. Cooperators whose proposals are selected for further project development will need to discuss and reach agreement with FSIS on project details to permit establishment of a cooperative agreement. Unlike typical Federal grants, cooperative agreements involve a Federal agency's active participation with the cooperator during both project development and project execution. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public. All proposals should address the following points: * Project description, including specific goals, timeline, and deliverables; * Description of national public benefit expected, including expected utility of work products, for example, training manuals, CDs, and videos; * Projected costs, including cooperator contributions; * Projected performance measures; * Primary contact, principal investigator, and other likely participants, and; * All work products may be freely reproduced and distributed by FSIS.

Award Procedure:

Cooperative agreement awards are made upon selection through a competitive process directed by the relevant FSIS program area. The program area identifies the awardees to the FSIS Administrative Services Division, which prepares and executes required documentation.

Deadlines:

Refer to the request for proposals for the applicable fiscal year which is advertised annually in the federal register and at www.fsis.usda.gov/About_Fsis/Cooperative_Agreements/.

Range of Approval/Disapproval Time:

Generally from 30 to 90 days from the deadline listed in the request for proposals.

Appeals:

Not applicable.

Renewals:

Renewals or extensions may be available under extraordinary circumstances if approved prior to the closing date of the initial agreements.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Generally, funding will be available for projects up to one year. Multi-year projects will be considered, but they are subject to annual renewal and may be affected by changes in FSIS' annual budget.

Reports:

The cooperative agreement will specify the frequency of submission of performance progress reports, which will not be more frequently than quarterly or less frequently than annually. Also, a comprehensive final report is required which documents all activities conducted within the partnership project.

Audits:

Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the applicable OMB Circulars.

Account Identification:

12-3700-0-1-554.

Obligations:

(Cooperative Agreements) FY 07 \$1,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 07 \$12,000 to \$100,000; \$52,000.

PROGRAM ACCOMPLISHMENTS:

The projects funded in fiscal year 2005 are expected to make demonstrable improvements in public health by promoting science-based reductions in the incidence of foodborne disease and other hazards associated with meat, poultry, and egg products, and to enhance food defenses through improved State and

local government laboratory participation in the Food Emergency Response Network.

REGULATIONS, GUIDELINES, AND LITERATURE:

9 CFR 301-592, Inspection Regulations. Guidelines and literature can be found on FSIS website at www.fsis.usda.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Strategic Initiatives, Partnerships and Outreach Staff; Food Safety and Inspection Service (Mail drop 405 Aerospace); Department of Agriculture, 1400 Independence Avenue., SW., Washington, DC 20250; Telephone: (202)690-6520.

Web Site Address:

www.fsis.usda.gov/About_Fsis/Cooperative_Agreements/.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects are listed on FSIS web site at www.fsis.usda.gov/About_Fsis/Cooperative_Agreements/.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the request for proposals.

10.500 COOPERATIVE EXTENSION SERVICE

FEDERAL AGENCY:

COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Smith-Lever Act, as amended, 7 U.S.C. 341-349; District of Columbia Public Postsecondary Education Reorganization Act, Public Law 93-471; Food and Agriculture Act of 1977, as amended; Renewable Resources Extension Act of 1978, Section 1361(c), Public Law 95-306; Public Law 95-113, 7 U.S.C. 301n; Public Law 97-98; Agriculture and Food Act of 1981; Food Security Act of 1985, as amended, Public Law 99-198; Food, Agriculture, Conservation, and Trade Act of 1990, as amended, Public Law 102-624; Improving America's Schools Act of 1994, as amended, Public Law 103-382; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agricultural Research, Extension, and Education Reform Act of 1998, Public Law 105-185; Agricultural Risk Protection Act of 2000, Public Law 106-224, Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

to help people improve their lives and communities through an educational process that uses scientific knowledge focused on issues critical to the economic, agricultural, societal, health/safety, and environmental progress of all Americans. Identify and solve their farm, home, and community problems through the practical application of research findings of USDA and the land-grant colleges and Universities. The Cooperative Extension System is a future-oriented, self-renewing, national educational network providing excellence in programs that focus on contemporary issues and needs of people.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Federal funding is made available to 1862, 1890, and 1994 Land-grant Institutions, which, through State and county extension service personnel, or by direct efforts provide educational and technical assistance to individuals, communities, organizations and other Federal and State authorized agencies for programs in the food and agricultural sciences. A facilities program, which is only authorized to fund projects at the 1890 Institutions for construction, renovation, planning and development of new facilities, and equipment is also conducted.

Applicant Eligibility:

By law, Extension programs, authorized and appropriated under the Smith-Lever Act, are made to the designated 1862 Land-Grant institutions in the 50 States and Puerto Rico, Guam, the Virgin Islands, American Samoa, Micronesia, Northern Marianas, and the District of Columbia, and are administered by the Director of the State Extension Service at each eligible institution. Funds also are made available to the 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, under section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) and are administered by the Extension Administrator at each eligible institution. Under section 3(d) of the Smith-Lever Act, funds may be made available through formula grants or through a competitive process to both the 1862 Land-Grant Institutions and to the 1890 Land-Grant Institutions, if funds appropriated for that fiscal year exceed the FY 1995 level appropriated for that program. Funds are also made available on a competitive basis to the 1994 Land-grant institutions under 534(b) of the Equity in Educational Land-Grant Status Act of 1994. Funds also are made available to the 1890 Land-Grant Institutions for Facilities Grants on a formula basis under section 1447 of NARETPA. Funds also are made available on a formula basis to both the 1862

and 1890 Land-Grant Institutions under the Renewable Resources Extension Act.

Beneficiary Eligibility:

Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under Executive Order 12372 and OMB Circular No. A-102.

Application Procedure:

Institutions or States submit Plans of Work for the funds authorized under Sections 3 (b) and (c) of the Smith-Lever Act and Section 1444 of the National Agricultural Research, Education, and Extension Reform Act of 1977, to the Cooperative State Research, Education, and Extension Service, USDA, for approval. Eligible recipients will be notified by CSREES of any changes in the requirements for preparation of the Plan of Work. Eligible recipients, including the 1862, 1890, and 1994 Land-Grant Institutions, also may prepare grant proposals for review and approval of Cooperative State Research, Education, and Extension Service-USDA in response to Requests for Applications (e.g., Tribal Colleges Extension Services Program, AgrAbility Program, Children, Youth, and Families At-Risk Program. This program is subject to the provisions of OMB Circular No. A-21, A-110, and A-133.

Award Procedure:

Payments are made to State Land-grant institutions basically on a formula basis for an approved Plan of Work. Competitive grant awards also are made upon selection through a competitive process.

Deadlines:

Eligible recipients will be notified by CSREES of any changes in Plan of Work or reporting deadlines or related requirements. Deadlines for competitive programs are identified in the Request for Applications.

Range of Approval/Disapproval Time:

Plans of work are approved or returned for revision or additional information within 60 days after receipt. Project proposals are reviewed, approved, and awarded within 60 days of designated submission dates.

Appeals:

Not applicable.

Renewals:

Grant recipients may renew or extend projects with CSREES approval.

Formula and Matching Requirements:

Smith-Lever Act Section 3(b) and 3(c), and Section 1444 of the Food and Agriculture Act of 1977 formula funds are distributed to States based on farm and rural population. Funds authorized under Section 3(d) of the Smith-Lever Act for the Expanded Food and Nutrition Education Program are allocated based on population below the poverty level. The source for both of these distributions is the last preceding decennial census at the time an additional amount is first appropriated. Formula funds provided under the Smith-Lever Act Section 3(b) and (c) and under Section 1444 of the Food and Agriculture Act of 1977 are matched as required in Public Law 105-185. The statistical factor used for eligibility does not apply to this program.

Length and Time Phasing of Assistance:

Formula Grants are a continuing program each year. Funds are made available through the electronic transfer system. 1862 Land-grant institutions in the 50 States, District of Columbia, American Samoa, Guam, Puerto Rico, Micronesia, Northern Marianas and the Virgin Islands are permitted to carry over unexpended balances to the next year for up to five years. 1890 Land-Grant Institutions are permitted to carry over twenty percent of unexpended funding to the next year; funding not expended in the subsequent year will reduce future allocations.

Reports:

Annual financial and statistical reports are furnished to the Cooperative State Research, Education, and Extension Service-USDA, by the State Extension Service and other recipients.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records on annual expenditures are maintained in accordance with university or State Extension Service regulations.

Account Identification:

12-0502-0-1-352.

Obligations:

FY 07 \$431,247,815; FY 08 est \$410,099,340; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$890,000 to \$19,962,000; \$7,210,000.

PROGRAM ACCOMPLISHMENTS:

Significant accomplishments include: (1) A university worked with community residents to assess needs and strengths, develop resources and implement customized programs to reduce developmental risks for child and youth; (2) Funding has helped one State implement a pro-active educational approach to pest control to minimize the likelihood of potential problems for apples, sweet corn, tomatoes, peppers, field corn, turfgrass, several nursery crops and greenhouse crops.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines applicable to this program include: 7 CFR Part 3; 7 CFR Part 3015; 7 CFR Part 3017; 7 CFR Part 3018; 7 CFR Part 3019; 7 CFR Part 3052. Many different publications are available from State and county extension offices. Most are free, some are sold at nominal cost. In addition, Department of Agriculture publications may be obtained from the Cooperative State Research, Education, and Extension Service; United States Department of Agriculture; Policy, Oversight, and Funds Management Branch, Stop 2298; 1400 Independence Avenue, SW; Washington, DC 20250-2298.

Regional or Local Office:

Not applicable.

Headquarters Office:

Deputy Administrator, Planning and Accountability; Cooperative State Research Education, and Extension Service; Department of Agriculture; 1400 Independence Avenue, SW., Washington, DC 20250. Telephone: (202) 720-5623.

Web Site Address:

<http://www.reeusda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.025, Plant and Animal Disease, Pest Control, and Animal Care; 10.053, Dairy Indemnity Program; 10.153, Market News; 10.155, Marketing Agreements and Orders; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205, Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.477, Meat, Poultry, and Egg Products Inspection; 10.652, Forestry Research; 10.800, Livestock, Meat and Poultry Market Supervision; 10.902, Soil and Water Conservation; 15.611, Wildlife Restoration; 23.009, Appalachian Local Development District Assistance; 93.103, Food and Drug Administration Research.

EXAMPLES OF FUNDED PROJECTS:

Identifying Field Parameters for Successful Postemergence Weed Management in Corn; Reducing Herbicide Input and Increasing Economic Output with Site-Specific Weed Management; National Network for Health; 4-H Communities Collaborating for Youth.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Stamp Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public Law 106-171; Public Law 106-387; Public Law 107-171; Public Law 110-161.

OBJECTIVES:

To improve the health of low-income households by increasing their food purchasing ability.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Households receive Supplemental Nutrition Assistance Program (SNAP) benefits (Formally known as Food Stamp Program benefits) which vary according to household size and income. The maximum allotment is based on the USDA Thrifty Food Plan adjusted for household size; household allotments are based on Thrifty Food Plan amounts reduced by 30 percent of the available income after deducting certain expenses. SNAP benefits are issued via electronic debit cards (Electronic Benefit Transfer) and for use in participating retail stores to buy food for home consumption. The program is available to a limited number of institutionalized persons: drug addicts and alcoholics who are participating in approved rehabilitation centers, disabled or blind persons

receiving benefits under Titles I, II, X, XIV, or XVI of the Social Security Act living in certain group living arrangements, residents of shelters for battered women and children, and homeless persons living in authorized shelters.

Applicant Eligibility:

The State or U.S. Territory agency responsible for Federally aided public assistance programs submits requests for funding to USDA's Food and Nutrition Service.

Beneficiary Eligibility:

Households eligibility and benefit amounts are determined by local social service agencies. Eligibility is based on family size, income, and resources. A gross income test is based on 130 percent of the poverty line, and the net income (after expenses) test is based on 100 percent of the poverty line. Households with elderly and disabled members need only meet the net income test. The resource limit is \$2,000 (\$3,000 for a household with an elderly or disabled member). Able-bodied adults with certain limited exceptions must meet a work requirement. Eligibility is limited to citizens and, most but not all, immigrants granted permanent legal status.

Credentials/Documentation:

Households are certified by local social service offices based on National eligibility standards. Regulations require key eligibility factors to be verified. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

The Food Stamp Act of 1977, as amended (Public Law 95-113), requires each State agency to submit to the Food and Nutrition Service for approval, a plan of operation specifying the manner in which the Supplemental Nutrition Assistance Program will be conducted in every political subdivision within the State. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

The authority to continue the Supplemental Nutrition Assistance Program has been extended through September 30, 2008.

Range of Approval/Disapproval Time:

Full implementation of the Nationwide mandate was completed in fiscal year 1975, except for certain Indian reservations which continued in the Food Distribution Program.

Appeals:

None.

Renewals:

Program continues once approved, unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

Formula and Matching Requirements:

Department of Agriculture pays 100 percent of the cost of benefits to individuals and households. The statistical factors used to set benefit levels are: (1) Cost of the thrifty food plan and the source is the Center for Nutrition Policy and Promotion; and (2) the Consumer Price Index (CPI) and components of the CPI (National, except for territories outside the continental United States) and the source is the Bureau of Labor Statistics (CPI for urban wage earners and clerical workers annually). The statistical factor used for eligibility is nonfarm poverty income guidelines and the source is the Federal poverty guidelines (modified).

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable.

Reports:

Annual budget summary statements; monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year.

Audits:

Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

FY 07 \$30,319,569,235; FY 08 est \$33,616,777,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Varies by income and family size. Averaged \$95.64 per month, per person, in fiscal year 2007.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, every area in every State operated a Supplemental Nutrition Assistance Program. Participation per month averaged 26.47 million persons.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 271-282. Available from the national office and the regional offices: "Facts About the Food Stamp Program," PA-1340 (English) and PA-1340-S (Spanish); "Official Food List," FNS-110 (English), FNS-110-S (Spanish), FNS-110-K (Korean), FNS-110-V (Vietnamese), FNS-110 (English/Chinese), FNS-110-Alaska; FNS-136 EBT Do's and Don'ts/Penalties for Violations of the FSP; "USDA Food Assistance" (poster) FNS-200, fns-200-S (Spanish); "USDA Food Assistance" (flyer), FNS-182 (English), FNS-182-S (Spanish); Food Stamp Rights Poster, FNS-183 (English) and FNS-183-S (Spanish); Using Food Stamps Poster, FNS-283 (English) and FNS-283-S (Spanish); Team Nutrition Series of flyers (English/Spanish); "We Accept Food Stamps" (poster), FNS-132; "We Accept Food Stamps" (decal); FNS-1325, "We Accept Food Stamps" (Spanish), (poster and decal); FNS-240 (English) Report Abuse Poster.

Regional or Local Office:

See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Supplemental Nutrition Assistance Program, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Jessica Shahin, Acting Associate Administrator, Supplemental Nutrition Assistance Program. Telephone: (703) 305-2026. Use the same number for FTS.

Web Site Address:

<http://www.fns.usda.gov/fsp/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.553 SCHOOL BREAKFAST PROGRAM

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1773, 1779, Public Laws 104-193, 100-435, 99-661, 97-35.

OBJECTIVES:

To assist States in providing a nutritious nonprofit breakfast service for school children, through cash grants and food donations.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federally appropriated School Breakfast Program funds are available to reimburse participating public and nonprofit private schools, of high school grade and under and residential child care institutions, for breakfasts, meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for all Urban Consumers. For the period July 1, 2007 through June 30, 2008, the rates of reimbursement are 24.0 cents for paid breakfasts, 105.0 cents for each reduced price breakfast, and 135.0 cents for each free breakfast. The maximum reduced price charged for breakfast is 30 cents. Schools serving 40 percent or more free and reduced price lunches in the second preceding school year get up to 131.0 cents and 161.0 cents for each breakfast served to children qualifying, respectively, for a reduced price and a free breakfast. All participating schools must agree to serve free and reduced price meals to eligible children, and to operate the program on a nonprofit basis for all children regardless of race, sex, color, National origin, age, or disability. Federal program funds for the School Breakfast Program are provided to each State agency in a Child Nutrition block grant along with program funds for the National School Lunch Program, the Special Milk Program for Children, the Summer Food Service Program for Children, and the Child and Adult Care Food Program. Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program needs.

Applicant Eligibility:

State and U.S. Territory agencies; (except territories subject to the requirements to the Compact of Free Association) public and nonprofit private schools of high school grade and under; public and nonprofit private residential child care institutions, except Job Corps Centers. Schools desiring to participate must agree to operate a nonprofit breakfast program that is available to all children regardless of race, sex, color, National origin, age, or disability.

Beneficiary Eligibility:

All children attending schools where the breakfast program is operating may participate. Breakfast is served free to children who are determined by local educational agencies to have household income levels at or below 130 percent, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the federal poverty line, respectively. Meals served to non-needy children also get cash assistance. Such determinations are

made in accordance with income eligibility guidelines prescribed by the Secretary and cross reference household income with household size. The Secretary prescribes these income eligibility guidelines by July 1; the statistical source used in making such revisions is the Federal Income Poverty Guidelines. Children from households certified to receive food stamps, the Food Distribution Program on Indian Reservations, Temporary Assistance for Needy Families and some children in Head Start Programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, and migrant children are automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019).

Preapplication Coordination:

The application forms as furnished by the State agency or FNS, as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

Public schools in all States make application to the State Educational Agency unless the State applies or is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State Educational Agency in most States. In some States, however, the State Educational Agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application will be referred to the alternate State agency or the appropriate Food and Nutrition Service regional office.

Award Procedure:

When application is approved, schools make agreements with appropriate agency.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State Agency or FNSRO, where applicable.

Appeals:

None. The State Agency or Food and Nutrition Service regional office, where applicable, is responsible for determining a school's eligibility

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Federal funds are made available for breakfast assistance in accordance with Section 4 of the Child Nutrition Act on a performance basis by: (1) multiplying the number of paid breakfasts served to eligible children during the fiscal year by a National Average Payment (NAP); (2) multiplying the number of breakfasts served free to eligible children by a NAP prescribed by the Secretary for free breakfasts; and, (3) multiplying the number of reduced price breakfasts served to eligible children by a NAP prescribed by the Secretary for reduced-priced breakfasts. The amount of Federal funds given the grantee is the sum of the products obtained from these three computations, plus an additional 6 cents for every breakfast served. Schools with a high percentage of needy may receive additional payments. The statistical factors used in this formula are: (1) The NAP's; (2) the number of paid breakfasts served; and (3) the number of breakfasts served free or at reduced price to eligible children. The NAP's are prescribed by the Secretary and adjusted each July 1, in accordance with the Food Away From Home component of the Consumer Price Index for All Urban Consumers. The source of this adjustment factor is the Bureau of Labor Statistics. The source of the numbers of breakfasts served, including the number served free or at reduced price, is the monthly programmatic reports submitted to Food and Nutrition Service. This program has no maintenance of effort (MOE) requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal-year basis.

Reports:

State Agencies, schools and institutions file monthly reports on the number of meals served, by type, to claim for reimbursement. Schools and institutions must submit final meal claims to the State no later than 60 days after claiming month. States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. States must submit a final fiscal year grant closeout report to the Food and Nutrition Service no later than 120 days after the end of the fiscal year to which they pertain.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments, and non profit organizations that expended \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be

conduct less frequently under conditions specified in OMB Circular A-133.

Records:

Schools must maintain full and accurate records of the breakfast program. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, records shall be retained beyond the 3 year period until audit findings have been resolved.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$2,228,842,422; FY 08 est \$2,367,186,000; and FY 09 est \$2,522,286,000.

Range and Average of Financial Assistance:

Average Federal cash assistance for school year 2007 ranged from 24.0 to 157.0 cents per meal depending upon income levels of the individual participant and upon the number of free and reduced price meals served by the school. Individual State grants vary according to participation in the program.

PROGRAM ACCOMPLISHMENTS:

The number of schools and children participating in the breakfast program has been increasing. In fiscal year 2007, over 1.71 billion breakfasts were served, 80.3 percent to needy children. School breakfasts must provide, over a school week, 1/4 of the Recommended Dietary Allowances for key nutrients and 1/4 of the calories needed by growing children. They also must conform to the recommendations of the Dietary Guidelines for Americans, including limitations on calories from fat (no more than 30 percent of total calories) and saturated fat (less than 10 percent of total calories).

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 7 CFR Part 220-School Breakfast Program regulations, 7 CFR Part 245-Determining Eligibility for Free and Reduced Price Meals and Free Milk in Schools.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Headquarters Office Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2590. Contact: Cynthia Long, Director.

Web Site Address:

<http://www.fns.usda.gov/nd>

RELATED PROGRAMS:

10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 10.557, Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558, Child and Adult Care Food Program; 10.559, Summer Food Service Program for Children; 84.010, Title I Grants to Local Educational Agencies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.555 NATIONAL SCHOOL LUNCH PROGRAM

(School Lunch Program)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National School Lunch Act, as amended, 42 U.S.C. 1751 1760, 1779.

OBJECTIVES:

To assist States, through cash grants and food donations, in making the school lunch program available to school children and to encourage the domestic consumption of nutritious agricultural commodities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federally appropriated National School Lunch Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grades or under, including residential child care institutions, for lunches meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. Schools meeting eligibility criteria may be reimbursed for snacks served to children enrolled in eligible after school hour care programs. Participating schools are reimbursed at rates that are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers. For the period July 1, 2007, through June 30, 2008, the rates of reimbursement are 23.00 cents general cash assistance for paid lunches, 207.00 cents reduced price lunches and 247.00 cents for each free lunch. The maximum reduced price charge for lunch is 40 cents. Schools that served 60 percent or more free or reduced price lunches in the second preceding school year received an additional 2 cents general cash assistance for all lunches served. The reimbursement for snacks in after school care programs is 68.00 cents for each free snacks, 34.00 cents for each reduced

price snack and 6.00 cents for each paid snack. In addition to cash reimbursement, the national average value of donated commodities for each lunch is 18.75 cents, for the period July 1, 2007, through June 30, 2008, and is adjusted annually to reflect changes in the Price Index for Food Used in Schools and Institutions. All participating schools must agree to serve free and reduced price meals to eligible children. Federal program funds for the National School Lunch Program are provided to each State agency in a Child Nutrition block grant along with program funds for the School Breakfast Program, the Special Milk Program for Children, the Summer Food Service Program for Children, and the Child and Adult Care Food Program. Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program needs.

Applicant Eligibility:

State and U.S. Territory agencies (except territories subject to the Compact of Free Association), public and nonprofit private schools of high school grade and under; public and nonprofit private residential child care institutions, except Job Corps Centers, residential summer camps that participate in the Summer Food Service Program for children and private foster homes. Schools and residential child care institutions desiring to participate must agree to operate a nonprofit food service that is available to all children regardless of race, sex, color, National origin, age, or disability.

Beneficiary Eligibility:

All children enrolled in schools where the lunch program is operating may participate. Lunch is served free to children who are determined by local educational agencies to have household income levels at or below 130, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the poverty line respectively. Such determinations are made in accordance with income eligibility guidelines prescribed by the Secretary and cross reference household income with household size. The Secretary announces these income eligibility guidelines by July 1. The statistical source used in making such revisions is the Federal Income Poverty Guidelines. Children from households certified to receive food stamps, the Food Distribution Program on Indian Reservations, in most cases, Temporary Assistance for Needy Families and some children in Head Start Programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, and migrant children are also automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019).

Preapplication Coordination:

The application forms as furnished by FNS or the State agency, as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

Public schools in all States make application to the State educational agency unless the State applies and is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State educational agency in most States. In some States, however, the State educational agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application should be submitted to the alternate State agency or the appropriate Food and Nutrition Service regional office.

Award Procedure:

The State agency, or Food and Nutrition Service Regional Office (FNSRO) where applicable, reviews the written application of a school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the program.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time Agreements become effective upon approval by the State agency or FNSRO, where applicable.

Appeals:

None. The State agency or FNSRO, where applicable, is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

The funding formula for the general cash for food assistance phase of the program is set forth in Section 4 of the National School Lunch Act, as amended; the formula for special cash assistance is described in Section 11 of the Act. The program is funded on a performance basis. For every lunch served during the applicable fiscal year, the State receives a payment from funds made available under Section 4. In addition, the State receives a payment from Section 11 funds

for each lunch served to a child eligible for free or reduced price lunches. The amounts of such per-lunch payments are determined by "National Average Payment" (NAP) factors. The amount due the State under this program is obtained by: (1) multiplying the total number of eligible paid lunches and free and reduced price lunches served during the fiscal year by the Section 4 NAP factor for lunches; (2) multiplying the number of free lunches served by the Section 11 NAP factor for free lunches; (3) multiplying the number of lunches served at reduced price by the Section 11 NAP factor for reduced price lunches; (4) multiplying the total number of lunches served during the fiscal year in school food authorities which served 60 percent or more free or reduced price lunches in the second preceding school year by \$.02; and (5) adding together the four products obtained thereby. The NAP factors are prescribed by the Secretary in accordance with Sections 4 and 11, respectively, of the Act; the numbers of lunches are obtained from programmatic reports submitted to Food and Nutrition Service. In addition, the funding formula for snacks in after school care programs is described in Section 17 A of the Act. Section 11(a)(3) of the Act requires that the NAP factors be adjusted annually, according to changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers; the source is the Bureau of Labor Statistics. Each State must appropriate from State revenues and use, for program purposes, an amount equal to 30 percent of the Section 4 funds made available to the State in the 1980-81 school year. Also, commodity schools are eligible to receive donated commodities equal in value to the sum of section 6 and section 4 assistance rates, except that up to 5 cents of this amount may be received in cash for processing and handling commodities. In addition, commodity schools are eligible for Section 11 free and reduced price meal reimbursements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal-year basis.

Reports:

State agencies and schools file monthly reports on the number of meals served, by type, to claim program funds. School food authorities must submit final meal claims to the State no later than 60 days after the claiming month, and States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. States must submit final fiscal year grant close out reports to Food and Nutrition Service within 120 days after the end of the fiscal year to which they pertain.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments, and nonprofit organizations that expended \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Schools must maintain full and accurate records of their lunch programs. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3 year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$7,836,173,913; FY 08 est \$8,209,848,000; and FY 09 est \$8,599,755,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance Average Federal cash for school year 2007 was 142.00 cents per meal and ranged from 6.0 cents for "paid" snacks to 291.00 cents for free lunches in school districts serving more than 60 percent free and reduced price lunches. State grants vary according to participation in the program.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 5.07 billion lunches were served. School lunches must provide, over a school week, 1/3 of the Recommended Dietary Allowances for key nutrients and 1/3 of the calories needed by growing children. They also must conform to the recommendations of the Dietary Guidelines for Americans, including limitations on calories from fat (no more than 30 percent of total calories) and saturated fat (less than 10 percent of total calories) averaged over the school week. To support nutrition education USDA has established Team Nutrition to provide nutrition information to children and their families and technical assistance for local school food service professionals.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2590. Contact: Cynthia Long, Director.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.553, School Breakfast Program; 10.556, Special Milk Program for Children; 10.557, Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558, Child and Adult Care Food Program; 10.559, Summer Food Service Program for Children; 84.010, Title I Grants to Local Educational Agencies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.556 SPECIAL MILK PROGRAM FOR CHILDREN

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1772 and 1779.

OBJECTIVES:

To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are made available to State agencies to encourage the consumption of fluid milk by children in public and private nonprofit schools of high school grade and under, public and private nonprofit nursery schools, child-care centers, settlement houses, summer camps, and similar nonprofit institutions devoted to the care and training of children, except Job Corps Centers, provided that these schools and institutions do not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. The Child Nutrition Amendments of 1986 expanded eligibility in the program to include children in split session kindergarten and pre-kindergarten programs in nonprofit schools and institutions who do not have access to the Federal meal service program operating in schools the children attend. Disbursement to States is made on the basis of the number of half pints of milk served to non-needy children, using a reimbursement rate specified by law. Milk served free to eligible needy children is reimbursed at the average cost of a half pint of milk. Federal program funds for the Special Milk Program for Children are provided to each State agency in a Child Nutrition block grant along with program funds for the School Breakfast Program, the National School Lunch Program, the Summer Food Service Program for Children, and the Child and Adult Care Food Program. Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program needs.

Applicant Eligibility:

Any State or U.S. Territory (except territories subject to the requirements of the Compact of Free Association). Any public and nonprofit private school of high school grade or under, and public and private nonprofit residential and nonresidential child care institutions, except Job Corps Centers, may participate in the Special Milk Program upon request if it does not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. This generally includes nonprofit nursery schools, child-care centers, settlement houses and summer camps. Schools with split session kindergarten and pre-kindergarten programs can receive subsidies for milk served to children in the split session kindergartens and pre-kindergartens who do not have access to another meal service program operating in the school. All schools and child care institutions which participate must agree to operate the program on a nonprofit basis for all children without regard to race, sex, color, National origin, age or disability.

Beneficiary Eligibility:

All children enrolled in schools and institutions in which the Special Milk Program is in operation may participate in the program.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019).

Preapplication Coordination:

The application forms as furnished by the State agency or Food and Nutrition Service, as applicable, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

Public schools in all States make application to the State educational agency unless the state applies and is approved a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. In most cases, nonprofit private schools and institutions also apply to the State educational agency. However, in some States, the State educational agency is prohibited by

State statute from disbursing Federal funds to nonprofit private schools and institutions. In such instances, the application will be referred to the appropriate alternate State agency or the appropriate Food and Nutrition Service regional office.

Award Procedure:

The State agency or the FNSRO, where applicable, reviews the written application of school or child care institution and, upon determination of eligibility, makes a written agreement with school or sponsor for participation in the program.

Deadlines:

Federal/State agreement must be signed.

Range of Approval/Disapproval Time:

Agreements are effective upon approval of the State Agency or the FNSRO, where applicable.

Appeals:

None. State agencies or the FNSRO, where applicable, are responsible for determining eligibility of a school or institution.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

The reimbursement rate for each paid half pint of milk served to children with household income levels above 130 percent of the poverty line in schools and institutions that participate in the Special Milk Program during school year 2007-08 is 17.0 cents, and the reimbursement rate for each 1/2 pint of milk served free (in pricing programs with a free milk option) to children with household income levels at or below 130 percent of poverty is the average cost of 1/2 pint of milk. This rate is adjusted each school year to correspond to the change in the Producer Price Index for Fluid Milk Products published by the Bureau of Labor Statistics. The statistical factor used for beneficiary eligibility for free milk is 130 percent of the poverty line and the source is the Federal income poverty guidelines. There is no matching guideline. The cost of milk in excess of the Federal reimbursement must be borne by sources within the States (7 CFR Part 215). Disbursement to States is based on the number of half pints served to children. This program has no maintenance of effort (MOE) requirement.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis.

Reports:

State agencies, schools and institutions file monthly reports on program operations to claim for reimbursement. Schools must submit final claims to the State agency no later than 60 days after the claiming month, and States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments, and nonprofit organizations that expended \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Schools and institutions must maintain full and accurate records of Special Milk Program operations. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain except that, if audit findings have not been resolved, the records shall be retained as long as required for their resolution. This program is subject to periodic audits.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$14,224,678; FY 08 est \$15,006,000; and FY 09 est \$13,867,000.

Range and Average of Financial Assistance:

For fiscal year 2007, average Federal assistance is 17.0 cents for each half-pint of milk served to children with income levels above 130 percent of poverty. State grants vary according to participation in the program. Average Federal assistance for half-pints served free is 17.0 cents.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 215, "Special Milk Program for Children," and 7 CFR Part 245, "Determining Eligibility of Free and Reduced Price Meals and Free Milk in Schools."

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Cynthia Long, Director. Telephone: (703) 305-2590.

Web Site Address:

<http://www.fns.usda.gov/cnd>.

RELATED PROGRAMS:

10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.557, Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558, Child and Adult Care Food Program; 10.559, Summer Food Service Program for Children; 84.010, Title I Grants to Local Educational Agencies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.557 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN

(WIC Program)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.

OBJECTIVES:

To provide low-income pregnant, breastfeeding and postpartum women, infants, and children to age five determined to be at nutritional risk, at no cost, supplemental nutritious foods, nutrition education, and referrals to health and social services.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants are made to State health departments or comparable agencies, Indian tribes, bands, or intertribal councils, or groups recognized by the Bureau of Indian Affairs, Department of the Interior, or the Indian Health Service of the U.S. Department of Health and Human Services. These agencies distribute funds to participating local public or nonprofit private health or welfare agencies. Funds are expended to pay for supplemental foods, nutrition education and health care referrals for participants, as well as specified administrative costs, including certification services. State agencies are provided Federal funds according to legislative and regulatory formulas. Only local agencies qualifying under State agency applications with formal agreements may operate WIC programs.

Applicant Eligibility:

A local agency is eligible to apply to deliver locally the services of the WIC Program, provided that: (1) it serves a population of low-income women, infants, and children at nutritional risk; and (2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State, Indian Tribal Organization or U.S. Territory agency.

Beneficiary Eligibility:

Pregnant, breastfeeding and postpartum women, infants, and children up to 5 years of age are eligible if: (1) they are individually determined by a competent professional to be in need of the special supplemental foods supplied by the program because of nutritional risk; and (2) meet an income standard, or receive or have certain family members that receive benefits under the Food Stamp, Medicaid or Temporary Assistance for Needy Families Programs. They must also reside in the State in which benefits are received.

Credentials/Documentation:

Individuals are certified as meeting an income standard, or as participating in certain other means-tested Federal programs. Certification regarding nutritional need for supplemental foods is determined by local level professionals. As of April 1999, all State agencies were using uniform criteria to determine nutrition risk. Costs are determined in accordance with OMB Circular No. A-87 for State and local governments. The State designated official responsible for ensuring that the program is operated in accordance with program requirements must sign the State Plan and Federal-State Agreement pursuant to program regulations.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration," as required by legislation. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by FNS and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program by State and local agencies.

Application Procedure:

Local agencies must apply to the State agency in writing. Individual participants apply for WIC benefits at an approved local agency.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies for distribution to approved local agencies subject to available funds.

Deadlines:

A State plan for the next fiscal year is required by August 15. Only substantive changes to the currently approved State plan must be submitted.

Range of Approval/Disapproval Time:

Approval determination is made within 30 days of submission of a completed plan or amendment.

Appeals:

Local agency or food vendor appeals: The State agency provides a hearing appeal procedure for a food vendor or local agency adversely affected by certain State or local agency actions. The adverse action may be postponed until a hearing decision is reached. Participant appeals: The State agency provides a hearing appeal procedure for any individual that receives a State or local agency action that results in a claim for repayment of the cash value of improperly issued benefits, denial of participation, or disqualification from the WIC Program.

Renewals:

The program is authorized through September 30, 2009; it presently operates under the authority of the Child Nutrition Act of 1966, as amended.

Formula and Matching Requirements:

Grants are allocated on the basis of formulas determined by the Department of Agriculture which allocate funds for food benefits, and nutrition services and administration costs. No matching funds are required, but some States contribute nonfederal funds in support of a larger WIC Program in their State.

Length and Time Phasing of Assistance:

Grants are released for the fiscal year using an electronic transfer system. State agencies may withdraw funds only as needed.

Reports:

Monthly report of participation, value of food or food instruments issued, operating expenses, and funds withdrawn from the Federal letter of credit.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments and Nonprofit organizations that expend a total amount of Federal awards equal to or in excess of \$500,000 in any fiscal year shall have either a single audit or (in certain cases stated in the Circular) a program-specific audit made for such fiscal year. Audit rules provided by OMB Circular A-133 must be applied for audits of grantee and subgrantee fiscal years that began on or after July 1, 1996. Authority to conduct such audits less frequently than annually is limited to: (1) State or local governments required by constitution or statute, in effect on January 1, 1987, to undergo audits less frequently than annually; and (2) nonprofit organizations that had biennial audits for all biennial periods ending between July 1, 1992 and January 1, 1995. If a biennial audit is authorized, the audit must cover the two year period.

Records:

Full and complete records concerning program operations including financial operations, food delivery systems, food instrument issuance and redemption, equipment purchases and inventory, certification, nutrition education, civil rights and fair hearing procedures.

Account Identification:

12-3510-0-1-605.

Obligations:

(Grants for food) FY 07 \$5,547,776,650; FY 08 est \$6,250,280,000; and FY 09 est not reported.

Range and Amount of Financial Assistance:

\$71,977 to \$891,953,017. Average: \$59,056,900 in fiscal year 2006 for the 90 State Agencies.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, FNS approved the operation of the WIC Program in 90 State agencies. This figure includes 50 States, 34 Indian agencies, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Marianas and the District of Columbia. As of September 2007, an average of approximately 8,285,249 women, infants and children received WIC benefits every month. Although food package costs varied widely among the States, the monthly average food package cost as of September 2007 was approximately \$39.09 per person. For fiscal year 2007, the WIC Program realized over \$1.9 billion in savings generated by infant formula rebates, which allowed approximately 2.2 million additional participants to be served with the WIC grant. In its continuing effort to advance new technologies, FNS awarded approximately \$5.2 million in Electronic Benefits Transfer (EBT) grants to 6 WIC State agencies in FY 2007, in support of EBT projects. The WIC Program State Agency Model (SAM) Project is a FNS initiative to plan, develop and deploy model information systems (IS) in WIC State agencies. The SAM project was initiated by FNS at the request of the Office of Management and Budget, with the expectation that these modern, state-of-the-art systems will be transferred to other WIC State agencies at a lower cost than regular development/transfer efforts. FNS has awarded funding to 3 State Agency Model (SAM) consortia across the country. Funding in FY 2006 totaled \$20,214,463; an additional \$553,433 was awarded in FY 2007. As part of its efforts to revitalize quality nutrition services, in FY 2007 FNS continued to take steps to increase training and technical assistance opportunities and facilitate communication among its

partners. In collaboration with the National Agricultural Library-Food and Nutrition Information Center, FNS continues to enhance the WIC Works Resource System (WWRS), an on-line system for WIC educators to talk, share successes, receive training on counseling strategies, and find educational materials and tools for assessment, and review current research. A new feature of WWRS is the WIC Bulletin Board Exchange; a database of ideas collected from WIC local agencies. The data base contains over 40 ideas many with photos from the waiting rooms and offices. The goal is to allow WIC staff to share ideas on how to reinforce nutrition messages through bulletin board displays. The online staff learning modules were enhanced with the addition of 3 modules on the topic of infant feeding (Infant Formula Basics, Feeding Infants 0-6 Months, and Feeding Infants 6-12 Months). Additionally a series of Web pages were created to post resources that assist State and local agencies in planning, developing and implementing the Value Enhanced Nutrition Assessment initiative. As part of our ongoing commitment to promote breastfeeding to WIC participants and their families through the USDA national breastfeeding promotion campaign, Loving Support Makes Breastfeeding Work, a marketing project, Partnering with WIC for Breastfeeding Success, was launched. This project invites stakeholders and health care professionals to partner with WIC to create a national environment that encourages mothers to breastfeed. In FY 2006, FNS and the National WIC Association continued to work together on the Value Enhanced Nutrition Assessment (VENA) initiative. Part of the continuing effort to improve and revitalize nutrition services in the WIC Program, VENA is WIC nutrition assessment guidance to enhance and ensure the collection and interpretation of accurate and relevant assessment information for all WIC Program participants. In FY 2006, FNS worked with the Rochester Institute of Technology to provide training to all WIC State agencies on the competencies necessary to implement VENA: critical thinking, rapport building, and positive health outcome-based approaches to nutrition assessment. competencies necessary to implement VENA: critical thinking, rapport building, and positive health outcome-based approaches to nutrition assessment.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 246; "WIC State Plan Guidance" is available at no charge from FNS.

Regional or Local Office:

See Food and Nutrition Service regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Supplemental Food Programs Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Patricia Daniels, Director. Telephone: (703) 305-2746. Use the same number for FTS.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program; 10.556, Special Milk Program for Children; 10.565, Commodity Supplemental Food Program; 10.572, WIC Farmers' Market Nutrition Program (FMNP); 93.246, Health Centers Grants for Migrant and Seasonal Farmworkers; 93.283, Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.558 CHILD AND ADULT CARE FOOD PROGRAM**FEDERAL AGENCY:**

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children, elderly or impaired adults in nonresidential day care facilities and children in emergency shelters.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to homeless children in emergency shelters and children and adults receiving nonresidential day care, including after school programs. Disbursement is made on the basis of the number of lunches, suppers, breakfasts, and snacks served, using annually adjusted reimbursement rates specified by law. Program institutions may receive reimbursement for not more than three meals per day, per participant. The Program is available in child care centers and day care homes to children through the age of 12 years, except for the children of migrant workers, who may participate through the age of 15 years, and individuals with disabilities without

regard to their age, if participating in a center or home where the majority of children are 18 years of age or younger. In addition, the Program is available to children in emergency shelters through the age of 18. In after school care programs, reimbursement is available for snacks (and suppers in eight States) served to children through age 18. In adult day care centers, functionally impaired adults 18 years of age and older and adults 60 years of age and older who are not residents of an institution are eligible. Meals must meet minimum requirements of the United States Department of Agriculture (USDA). Federal program funds for the Child and Adult Care Food Program are provided to each State agency in a Child Nutrition block grant along with program funds for the School Breakfast Program, the Special Milk Program for Children, the Summer Food Service Program for Children, and the National School Lunch Program. Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program needs.

Applicant Eligibility:

The State or U.S. Territory agency applies for, and signs an annual agreement to receive Federal funds for disbursement. In Virginia, where the State does not administer the program, institutions may receive funds directly from USDA. If the institution is a school food authority that operates another Child Nutrition Program (NSLP, SMP, SBP, SFSP), the institution must have a single, permanent agreement with the State agency.

Beneficiary Eligibility:

Approved institutions providing nonresidential day care services may participate in the program. Emergency shelters which provide shelter and meals to homeless children are eligible. Eligible public and nonprofit private organizations may include day care centers, outside-school-hours care centers, settlement houses, family and group day care homes, Head Start programs, and institutions providing day care services to children with disabilities. Private for-profit centers may also participate if at least 25 percent of the children in care (enrolled or licensed capacity, whichever is less) are eligible for free or reduced price school meals or receive benefits under Title XX. Also eligible for participation are nonprofit centers which provide nonresidential adult day care, and private for-profit adult day care centers which receive compensation under Title XIX or Title XX, if not less than 25 percent of their enrolled eligible adults receive benefits under Title XIX, Title XX, or a combination of Title XIX and Title XX. Any eligible institution may participate in the Child and Adult Care Food Program upon request with State agency approval.

Credentials/Documentation:

The allow ability of costs incurred by States in administering the program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3016 and 3019). Applicant organizations must furnish evidence of tax-exempt status under the Internal Revenue Code of 1986. This requirement does not apply to public agencies or proprietary institutions.

Preapplication Coordination:

This program is subject to the provisions of E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required by the State.

Application Procedure:

Institutions apply to the responsible State agency. In Virginia, where the State does not administer the program, the application is directed to the USDA Food and Nutrition Service (FNS) Mid-Atlantic Regional Office. This program is subject to the provisions of USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When the application is approved, the institution signs an annual agreement with the administering agency.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable to States. States must approve or disapprove an application from an institution within 30 calendar days after receipt of a completed application.

Appeals:

The administering agency must provide a hearing procedure for local institution (i.e., center and sponsoring organization) grievances.

Renewals:

Not less frequently than every three years.

Formula and Matching Requirements:

Program funds are provided to the States through letters of credit to reimburse institutions for costs of food service operations, including administrative expenses. Appropriate rates of reimbursement, multiplied by the number of meals served to enrolled participants, represent the basic program payment that an institution receives for each meal served. The assigned rates of reimbursement are adjusted annually on July 1. For child care centers, adult day care centers, and emergency shelters for homeless children, the annual adjustment reflects changes in the Food Away from Home series of Consumer Price Index for All Urban Consumers. For day care homes, the adjustment reflects changes in the Food at Home series of the Consumer Price Index for All Urban Consumers. Donated foods or cash in lieu of donated foods are also

made available. Program payments to child care or adult day care centers depend on the number and types of meals served to enrolled participants, multiplied by the appropriate rate of reimbursement. Rates for meals served to enrolled children and eligible adults in day care centers are determined by the participants' eligibility for free, reduced price, or paid meals using USDA Income Eligibility Guidelines. All children through age 18 in eligible emergency shelters receive free meals without application. After school care programs, which must be located in low-income areas, are reimbursed at the free rate for all snacks--and meals in the States of Missouri, Delaware, Michigan, Pennsylvania, New York, Oregon, Illinois and West Virginia--served to children through age 18. Sponsoring organizations of day care homes for children are reimbursed at a graduated administrative rate based on the number of homes they operate. The level of reimbursement for meals served to enrolled children in day care homes is determined by economic need based on either the location of the day care home; or the income of the day care provider; or the income of an individual child's household. Meals served in day care homes to the provider's own children are reimbursable only if those children are determined eligible for free and reduced price meals, and at least one other nonresidential child is participating in the meal service. The reimbursement for food service is passed on by sponsoring organizations to the day care home providers under their auspices. This program does not have maintenance of effort (MOE) requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement.

Reports:

Institutions file monthly reports on program operations to claim reimbursement for meals served and must submit final meal claims no later than 60 days after the claiming month. States, then, must submit final program reports to FNS no later than 90 days after the claiming month.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," States and Local Governments, and Nonprofit organizations that expend \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133. For-profit institutions are subject to audit by their administering State agencies.

Records:

Institutions must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$2,303,732,494; FY 08 est \$2,286,629,000; and FY 09 est \$2,386,780,000.

Range and Average of Financial Assistance:

State grants vary according to participation in the program.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 226 Regulations and the Child and Adult Care Food Program fact sheet are available at no charge. Administrative and nutrition guidance is available at no charge to program participants from the administering agency.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Cynthia Long, Director. Telephone: (703) 305-2590.

Web Site Address:

<http://fns.usda.gov/cnd>.

RELATED PROGRAMS:

10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 10.559, Summer Food Service Program for Children; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.559 SUMMER FOOD SERVICE PROGRAM FOR CHILDREN

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 13 and 14, as

amended, 42 U.S.C. 1758, 1761 and 1762a.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for low-income children during the summer months and at other approved times, when schools are out of session or are closed for vacation.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible service institutions (sponsors) which provide free meals to children in areas where at least 50 percent of the children meet the income eligibility criteria for free and reduced price lunches. Meals may be served to children 18 and younger, and to individuals over 18 who participate in State approved school programs for persons with disabilities. The program generally operates during the months of May through September at site locations where regularly scheduled food services are provided for children. Sites may also participate in the program from September through May if the school is closed because of an emergency situation. Service institutions operating food programs for children on school vacation under a continuous year-round calendar may apply for participation in other months. The Consolidated Appropriations Act of 2008 extends cost accounting procedures commonly known as the "Simplified" Summer Food Service Program to all sponsors in all States equals the maximum per meal reimbursement rate. Reimbursement may be paid for one meal and one snack or two meals per child each day. Camps and sites primarily serving children of migrant workers may be approved to serve up to three reimbursable meals each day. Meals must meet minimum requirements of the United States Department of Agriculture (USDA). Funds are also paid to participating State agencies for State administrative expenses related to employing personnel, including travel and related expenses, and to supervise and provide technical assistance to service institutions operating the program. Federal program funds for the Summer Food Service Program for Children are provided to each State agency in a Child Nutrition block grant along with program funds for the School Breakfast Program, the Special Milk Program for Children, the National School Lunch Program, and the Child and Adult Care Food Program. Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program needs.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an annual agreement to receive Federal funds for disbursement. Where the State does not administer the program, an applicant institution may sign an agreement and receive funds directly from USDA.

Beneficiary Eligibility:

A service institution that conducts a regularly scheduled program for children from areas in which poor economic conditions exist is eligible to participate in the program. Such institutions include public or private nonprofit school food authorities; public or private nonprofit colleges or universities operating the National Youth Sports Program during the months of May to September; and units of local, municipal, county, or State governments. Other private nonprofit organizations are eligible to participate under certain conditions. Service institutions which develop food service programs for children during school vacations under a continuous school calendar may also participate. Public or private nonprofit residential or nonresidential summer camps may also participate; however, at camps, reimbursement will only be paid for meals served to enrolled children who are individually determined to be eligible for free and reduced price school meals under USDA Income Eligibility Guidelines.

Credentials/Documentation:

The allowability of costs incurred by States in administering the program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required by the State.

Application Procedure:

Service institutions may apply directly to the responsible State administering agency. In Virginia where the State does not administer the program, the application is directed to the USDA Food and Nutrition Service regional office. This program is subject to the provisions of USDA's Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When an application is approved, the service institution (sponsor) enters into an agreement with the appropriate administering agency for the duration of summer program operations. If the institution is a school food authority that operates another Child Nutrition Program (NSLP, CACFP, SMP, or SBP) with the same administering agency, the institution must have a single, permanent agreement

Deadlines:

A State Management Administration Plan is due February 15. States establish the deadline date for sponsor applications; however, no applications can be

accepted after June 15.

Range of Approval/Disapproval Time:

Not applicable to States. States must render a decision within 30 calendar days of receipt of a complete and correct application from a service institution. If an incomplete application is received, the State agency shall notify the applicant within 15 days and provide technical assistance to complete the application.

Appeals:

The administering agency must have a hearing procedure for local service institution grievances.

Renewals:

Annually.

Formula and Matching Requirements:

Program funds are earned by the States and institutions on a per meal reimbursement basis with rates adjusted annually on January 1, to reflect changes in the "Food Away from Home" series of the Consumer Price Index for All Urban Consumers. This program has no maintenance of effort (MOE) requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement.

Reports:

Service institutions file monthly reports on program operations to claim reimbursement for meals served.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-profit Organizations," State and Local Governments, and Non-profit organizations that expend \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Service institutions must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of the audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

FY 07 \$297,932,607; FY 08 est \$312,637,000; and FY 09 est \$328,934,000.

Range and Average of Financial Assistance:

State grants vary according to participation in the program.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 225 regulations and the Summer Food Service Program fact sheet, are available at no charge. Administrative and nutrition guidance is available at no charge to program participants from the administering agency.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Cynthia Long, Director. Telephone: (703) 305-2590.

Web Site Address:

<http://fns.usda.gov/cnd>.

RELATED PROGRAMS:

10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 10.558, Child and Adult Care Food Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.560 STATE ADMINISTRATIVE EXPENSES FOR CHILD NUTRITION

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1776, 1779.

OBJECTIVES:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA donated commodities to schools or child or adult care institutions are also provided with State Administrative Expense (SAE) funds.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

SAE funds must be used for purposes specified in the legislation, consistent with

the cost principles and constraints on allowable and unallowable costs, and indirect cost rates as prescribed in OMB Circular No. A-87. These funds may be used, under certain conditions, for the procurement of supplies, equipment, and services. Five FNS programs comprise the Child Nutrition grants to States funding block. Federal funds for State administrative expenses allocated to the National School Lunch Program, the School Breakfast Program, the Special Milk Program for Children, the Summer Food Service Program for Children, and the Child and Adult Care Food Program are combined and provided to each State agency as a "block distribution." Congress has given some leeway to States to determine how this block of money will actually be allocated among these programs at the State level based on program administrative needs.

Applicant Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA donated commodities to schools, and/or child or adult care institutions, including agencies in the U.S. Territories.

Beneficiary Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA donated commodities to schools, and/or child or adult care institutions, including agencies in the U.S. Territories.

Credentials/Documentation:

Costs are to be determined and documented in accordance with agency regulations (7 CFR 235) and USDA Uniform Federal Assistance Regulations (7 CFR Parts 3015 or 3016 and 3019).

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The State agency enters into a written agreement with the Food and Nutrition Service (FNS) on a form prescribed by the FNS. The standard application forms as furnished by the Federal agency must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Release of funds to a State agency is contingent upon receipt and approval of their plan for disbursement of SAE funds. A State agency must submit an initial Plan in fiscal year 1997 and their estimated funding needs for the duration of fiscal year 1997. Thereafter, in accordance with Public Law 104-193 which deleted the requirement for an annual plan unless there are substantive changes, a State agency would only submit revisions to the initial plan.

Deadlines:

Data on additional funds needed or funds not needed and to be returned as applicable, are due by May 1 of each year.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Annually.

Formula and Matching Requirements:

For the National School Lunch Program, the School Breakfast Program, and the Special Milk Program, funds are allocated on the basis of an amount equal to one percent of the total funds used in the State for these programs during the second preceding year based on FNS program and fiscal data. However, no State receives less than \$200,000 or the amount it received in fiscal year 1981, whichever is larger. For the Child and Adult Care Food Program, a sliding grant is made based on FNS program and fiscal data. In addition, the Secretary has discretionary authority to provide funds to States for program improvement, based on FNS program and fiscal data. The authorizing legislation which establishes eligibility for SAE funds is the Child Nutrition Act of 1966, as amended, Section 7 (42 U.S.C. 1776). This program has a State Funding Requirement (SFR). See funding agency for details. Any reduction of funds from State sources below the fiscal year 1977 level will result in a withdrawal of Federal funds under this grant.

Length and Time Phasing of Assistance:

Funded each fiscal year during the period covered by the agreement. A State agency may carry over up to twenty percent of SAE funds allocated to it for obligation and expenditure during the succeeding fiscal year.

Reports:

Each State agency shall submit quarterly financial status information on SAE funds on forms provided by FNS and will continue to report information on the use of SAE funds, after the end of the fiscal year to which they pertain, until all unpaid obligations have been liquidated.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-profit Organizations," State governments that expended \$500,000 or more under Federal awards within any fiscal year

shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Each State agency shall keep records on administrative expenses conforming with the applicable SAE plan and shall maintain current accounting records of SAE funds that shall adequately identify fund authorizations, obligations, unobligated balances, assets, liabilities, outlays, and income.

Account Identification:

12-3539-0-1-605.

Obligations:

FY 07 \$162,843,624; FY 08 \$171,177,636; and FY 09 est \$184,454,000.

Range and Average of Financial Assistance:

From \$309,953 to \$20,122,410; \$3,153,719.

PROGRAM ACCOMPLISHMENTS:

The availability of SAE funds has enabled State agencies to be more effective in meeting their administrative responsibilities under the National School Lunch, School Breakfast, Special Milk, Child and Adult Care Food Program, and Food Distribution Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 7 CFR Part 235, "State Administrative Expense Funds."

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2590. Contact: Cynthia Long, Director.

Web Site Address:

<http://www.fns.usda.gov/cnd>

RELATED PROGRAMS:

10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 10.558, Child and Adult Care Food Program, 10.559 Summer Food Service Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Stamp Act of 1977, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185.

OBJECTIVES:

To provide Federal financial aid to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP). Formally known as the Food Stamp Program.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To provide Federal funding for administrative costs incurred by State and local agencies to operate SNAP. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with 7 CFR 277 of the SNAP Regulations.

Applicant Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.)

Beneficiary Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.)

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, 7 CFR 277, and cost allocation plans negotiated by a cognizant Federal agency for State government.

Preapplication Coordination:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

State governments may apply for Federal financial aid in accordance with requirements outlined in 7 CFR Parts, 271 and 277. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Food and Nutrition Service funds State cooperator operations.

Deadlines:

Budgets are due to FNS August 15.

Range of Approval/Disapproval Time:

30 days.

Appeals:

None.

Renewals:

Annually, as of October 1.

Formula and Matching Requirements:

Federal agency funds 50 percent of State administrative costs, including the costs of SNAP benefit fraud investigations and prosecutions costs of developing certain computer systems as provided for in 7 CFR 277, and the cost incurred in the verification of alien status. Administrative cost-sharing is authorized by Section 16 of the Food Stamp Act of 1977 (7 U.S.C. 2025). By April 1, 1987 all States were to implement an Employment Training (E&T) Program mandated by Public Law 99-198. States' E&T programs are funded by individual grants requiring no State matching; should a State's costs exceed the grant allocation, additional funding is available on a 50 percent basis. The Federal agency shall also pay 50 percent of States' costs of reimbursing participants' costs of dependent care as a result of E&T participation, not to exceed the applicable local market rate and other reimbursable participant expenses, not to exceed \$25 per month per participant, and 50 percent of costs for case management or casework to facilitate the transition from economic dependency to self-sufficiency through work. The Balanced Budget Act (Public Law 105-33) provides additional funding for grants to States (which do not require a State match) for work/training programs generally targeted for non-working able-bodied adults without dependents. These funds were reduced by Public Law 105-185. Nutrition education grants (authorized by Public Law 105-33 to private nonprofit organizations or State agencies are available with the Federal share of costs limited to 50 percent.

Length and Time Phasing of Assistance:

Annually by fiscal year.

Reports:

Reports are submitted as required by 7 CFR Parts 272 and 277.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State Local Governments, and Nonprofit Organizations," State and local government, and Nonprofit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all records pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016, apply to this program

Account Identification:

12-3505-0-1-605.

Obligations:

(Grants) FY 07 \$2,508,553,167; FY 08 est \$2,620,000,000; and FY 09 est \$2,723,000,000.

Range and Average of Financial Assistance:

\$1.7 million to \$491.2 million: \$51.4 million in FY 07.

PROGRAM ACCOMPLISHMENTS:

Paid approximately 50 percent of State administrative cost of providing Supplemental Nutrition Assistance Program benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 275, 276, 277 and 3016.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator, Special Nutrition Assistance Program. Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Jessica Shahin, Acting Associate Administrator, Supplemental Nutrition Assistance Program. Telephone: (703) 305-2026.

Web Site Address:

<http://www.fns.usda.gov/fsp/>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.565 COMMODITY SUPPLEMENTAL FOOD PROGRAM**FEDERAL AGENCY:**

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Agriculture and Consumer Protection Act of 1973, Section 4(a) and 5, as amended, Public Law 93-86, 7 U.S.C. 612c (note); Food and Agriculture Act of 1977, as amended, Public Law 95-113, 91 Stat. 980; Public Law 97-98, 95 Stat. 1293; Public Law 98-8, 97 Stat. 35; Public Law 98-92, 97 Stat. 611; Public Law 99-198, 99 Stat. 1590; Public Law 100-202; Public Law 101-624, 101 Stat. 3806; Public Law 104-127, 110 Stat. 1028; Public Law 107-171.

OBJECTIVES:

To improve the health and nutritional status of low-income pregnant, postpartum and breastfeeding women, infants, and children up to age of 6, and elderly persons age 60 years and older through the donation of supplemental foods.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods; Formula Grants.

USES AND USE RESTRICTIONS:

Administrative grants are made to State agencies, Indian tribes or tribal organizations recognized by the Department of the Interior, or, the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute funds to participating local public or private nonprofit agencies. Federal funding for administrative costs may only be used in making these donated foods and nutrition education available to eligible beneficiaries. Donated foods can only be made available to eligible beneficiaries and cannot be sold, exchanged, or otherwise disposed of without prior specific approval by the Department.

Applicant Eligibility:

Agreements are made between the Department and the State agency, an Indian tribe or tribal organization recognized by the Department of the Interior for the administration of the program of the appropriate area office of the Indian Health Service of the Department of Health and Human Services.

Beneficiary Eligibility:

To be certified as eligible to receive supplemental foods, each applicant must be categorically eligible as an infant, child up to age six, a pregnant, postpartum or breastfeeding woman, or an elderly person 60 years of age or older, residing in an area where the program operates. Women, infants, and children, must have income at or below 185 percent of Federal poverty income guidelines, or, at State discretion, be income eligible under existing Federal, State, or local food, health, or welfare programs for low-income persons. Women, infants or children who receive Special Nutrition Assistance Program benefits, Temporary Assistance for Needy Families, or Medicaid are considered automatically eligible for CSFP. Elderly persons must have income at or below 130 percent of Federal poverty income guidelines. States may require that participants be at nutritional risk, as determined by a physician or local agency staff. States may also require that an individual reside within the service area of the local agency at the time of application for CSFP benefits. However, States may not require that the individual reside within the area for any fixed period of time.

Credentials/Documentation:

Participants are provided donated foods by local agency personnel or its designee. Costs will be determined in accordance with 2 CFR Part 225 (OMB Circular No. A-87) and 2 CFR Part 230 (OMB Circular No. A-122).

Preapplication Coordination:

Application is made through submission of a State Plan as required by the Federal agency. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The State agency must require each agency that desires approval as a local agency, to submit an application that contains sufficient information to enable the State agency to make a determination of eligibility for that agency. Individual participants may apply for program benefits at an approved local agency.

Award Procedure:

Funds are awarded by the Department to State agencies in accordance with the priorities and funding formulas set forth in program regulations and legislation; State agencies, in turn, distribute administrative funds to the local level.

Deadlines:

Approved State plans are considered permanent and updated as needed. A State plan for a new State seeking to participate in the program during the next FY is required by August 15.

Range of Approval/Disapproval Time:

A determination will be made within 30 days of submission of a completed plan.

Appeals:

Local agency appeals: The State agency provides a hearing appeal procedure for a local agency adversely affected by a State agency action. The right to appeal is granted whenever an action would adversely affect participation. Individual participant appeals: The State agency provides a hearing appeal procedure for

any individual who receives an adverse action which may include the denial or discontinuance of program benefits, disqualification from the program, or a claim to repay the value of commodities received as the result of fraud.

Renewals:

None.

Formula and Matching Requirements:

Administrative Funds: Public Law 107-171 requires the USDA to provide State agencies with a grant per assigned caseload slot to pay for administrative program costs. There are no Federal matching requirements.

Length and Time Phasing of Assistance:

Commodities are made available on an ongoing basis. Cash assistance is released annually each FY.

Reports:

Financial, Program, Inventory, and Civil Rights Reports must be submitted as required by FNS at a frequency prescribed by FNS.

Audits:

In accordance with the provision of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments, and nonprofit organizations that expend Federal financial assistance of \$500,000 or more within any FY must have either a single or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Each State agency must maintain accurate and complete records of all receipts and dispositions as detailed in 7 CFR 247.29(a). Records must be retained for 3 FYs from the end of the FY to which they pertain, or if they are related to unsolved claims, actions, audits or investigations until those activities have been resolved.

Account Identification:

12-3507-0-1-605.

Obligations:

FY 07 \$107,828,081; FY 08 est \$139,830,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

On average, \$831,437 in appropriated administrative funding was allocated to each State for FY 07. Funding ranged from \$6,091 to \$4,682,278 per State.

PROGRAM ACCOMPLISHMENTS:

For FY 07, average monthly participation was 33,270 women, infants, and children, and 432,910 senior persons.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 247 is available without charge from the Department.

Regional or Local Office:

See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Food Distribution Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Cathie McCullough, Director. Telephone: (703) 305-2680.

Web Site Address:

<http://www.fns.usda.gov/fdd/programs/csfp/default.htm>

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program; 10.556, Special Milk Program for Children; 10.557, Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558, Child and Adult Care Food Program; 93.600, Head Start.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.566 NUTRITION ASSISTANCE FOR PUERTO RICO

(NAP)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Title 1, Section 116; Public Law 97-35; Public Law 97-253; Public Law 98-204; Public Law 99-114; Public Law 99-157; Public Law 99-182; Public Law 99-198; Public Law 101-624; Public Law 103-66; Public Law 104-127; Public Law 106-387; Public Law 107-171.

OBJECTIVES:

A cash grant alternative to the Special Nutrition Assistance Program (SNAP) (Formally known as the Food Stamp Program) to improve diets of needy persons residing in the Commonwealth of Puerto Rico.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Households receive money to purchase food.

Applicant Eligibility:

The Commonwealth of Puerto Rico alone is eligible.

Beneficiary Eligibility:

Low-income individuals and families are eligible for benefits as determined by the Commonwealth.

Credentials/Documentation:

The Commonwealth is required to document the classes of households that receive benefits and the level of those benefits. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the Commonwealth for more information on the process the Commonwealth requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

The Commonwealth is required to submit an annual plan of operation to the Food and Nutrition Service for approval. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Secretary of Agriculture designates the Commonwealth agency or agencies to operate the program upon submission of the request.

Deadlines:

July 1 for plan submission.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Program continues once approved unless there is a substantial failure to comply with regulations or withdrawal on the part of the Commonwealth.

Formula and Matching Requirements:

The Department of Agriculture pays 100 percent of the cost of benefits and 50 percent of administrative costs.

Length and Time Phasing of Assistance:

Assistance is available for one fiscal year at a time. Assistance is provided through the electronic transfer system, consistent with Treasury Fiscal Requirement Manual, Volume I, Part 6, Section 2030.

Reports:

The content and frequency of reports is specified by the Commonwealth's plan of operation.

Audits:

The Commonwealth is required to conduct an audit of expenditures at least once every two years, and is subject to audit by the Office of Inspector General, USDA. In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments," State and local governments, that expend financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

The content and maintenance of records is specified by the Commonwealth's plan of operation.

Account Identification:

12-3505-0-1-605.

Obligations:

(Formula Grants) FY 07 \$1,551,167,000; FY 08 \$1,622,521,000; and FY 09 \$1,677, 686,000.

Range and Average of Financial Assistance:

Not applicable; program is available to Puerto Rico only.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 285.

Regional or Local Office:

Regional Administrator, Mid-Atlantic Regional Office, Food and Nutrition Service, Department of Agriculture, Robbinsville, NJ 08691-1598. Contact: Yvette Jackson. Telephone: (609) 259-5025, FTS: (609) 259-5025.

Headquarters Office:

Associate Administrator, Special Nutrition Assistance Program, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Contact: Jessica Shahin, Acting Associate Administrator, Special Nutrition Assistance Program. Telephone: (703) 305-2026.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.567 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Section 416 of the Agricultural Act of 1949, as amended; Section 32 of Public Law 74-320, as amended; Section 709 of the Food and Agriculture Act of 1963, as amended; Section 4(a) of the Agriculture and Consumer Protection Act of 1973, as amended; Section 4(b) of the Food Stamp Act of 1977, as amended; Section 1336 of Public Law 97-98, as amended; Section 4122(c) of Public Law 107-171.

OBJECTIVES:

Section 416 of the Agricultural Act of 1949, as amended; Section 32 of Public Law 74-320, as amended; Section 709 of the Food and Agriculture Act of 1963, as amended; Section 4(a) of the Agriculture and Consumer Protection Act of 1973, as amended; Section 4(b) of the Food Stamp Act of 1977, as amended; Section 1336 of Public Law 97-98, as amended; Section 4122(c) of Public Law 107-171.

TYPES OF ASSISTANCE:

Project Grants; Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Indian Tribal Organizations and State Distributing Agencies make food available for distribution to qualifying households. Households may not be charged. Donated foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of the Department. FNS administrative funds must be expended to meet expenses incurred in operating the Food Distribution Program on Indian Reservations. These funds may not be used to purchase real property (land or buildings).

Applicant Eligibility:

Authorized Indian Tribal Organizations and State agencies designated by the Governor, legislature, or other authority may receive and distribute donated foods. State agencies that administer the program are eligible to receive Federal cash assistance (administrative funds) to assist in meeting operating expenses incurred in administering the program. Indian Tribal Organizations may be their own State agency for the administration of the program on reservations if determined capable by FNS.

Beneficiary Eligibility:

Households must be living on an Indian reservation, or be Indian households living in a designated area near an Indian reservation or in an approved service area in Oklahoma, and must be certified by local authorities as having inadequate income and resources. Upper limits of allowable income vary with family size. Household resources for all household sizes are set by FNS.

Credentials/Documentation:

Households are individually certified by local administering offices based upon Federal eligibility standards. Administrative costs will be determined in accordance with OMB Circular No. A-87 for administering agencies.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

State agencies and Indian Tribal Organizations that want to administer the Food Distribution Program on Indian Reservations must submit a completed Form SF-424 (application) to the appropriate FNS regional office in their area. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Cash assistance is provided by the Department to State agencies and Indian Tribal Organizations for use in administering the program.

Deadlines:

State agencies and Indian Tribal Organizations applying to administer the program must begin program operation within 120 days of FNS' determination that they are capable of administering the program. Extensions to this time frame may be granted if there is compelling justification.

Range of Approval/Disapproval Time:

FNS must determine within 30 days of the receipt of a completed application if a State agency is capable of administering the program.

Appeals:

Heads of households may appeal adverse or delayed decisions as the regulations provide.

Renewals:

Program continues unless withdrawal is requested or required because of program irregularities, or funding is unavailable. Households are recertified periodically, depending on household circumstances. Household certification periods may not exceed one year.

Formula and Matching Requirements:

Not applicable to food donations. Administrative expense funds are allocated on basis of need for funds. There is a 25 percent matching requirement except with compelling justification.

Length and Time Phasing of Assistance:

Food donations continuous; cash assistance released quarterly by the electronic transfer system to the Department of Treasury. Funds may be withdrawn as needed.

Reports:

Financial, inventory, and participant data must be submitted in accordance with requirements established by the Department.

Audits:

In accordance with the provision of OMB Circular No. A-133, "Audits of States and Local Governments, and Nonprofit Organizations," State and local governments, and non-profit organization that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Each State agency and Indian Tribal Organization must maintain accurate and complete records of all receipts and dispositions. Records must be retained for 3 years following the close of the fiscal year or until any outstanding audit, claim or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Grants) (Food donations). Bonus commodities may also be available. FY 07 \$77,533,898; FY 08 est \$88,477,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$79,031 to \$4,937,577 in administrative funds for fiscal year 2001.

PROGRAM ACCOMPLISHMENTS:

The average monthly participation of needy persons in low-income households was 99,011 for fiscal year 2005; 97 Indian Tribal Organizations and five States administer the program for 257 Indian tribes. As of fiscal year 2005, 97 Indian Tribal organizations were approved for participation in the Fresh Produce Program, by which participants may select fresh fruits and vegetables in lieu of canned fruits and vegetables. Additional sites are expected to join the project as they become capable. Prior to the pilot, only foods with a minimum shelf life of 6 months were available to participating households. In addition, FNS is making changes to the food package that it provides to recipients in order to make it more nutritious and responsive to recipient needs.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250.

Regional or Local Office:

See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Les Johnson, Director, Food Distribution Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2680.

Web Site Address:

<http://www.fns.usda.gov/fdd/programs/fdpi/default.htm>

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.568 EMERGENCY FOOD ASSISTANCE PROGRAM (ADMINISTRATIVE COSTS)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Emergency Food Assistance Act of 1983, Section 204, Public Law 98-8, 97 Stat. 35, 7 U.S.C. 7508; Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330.

OBJECTIVES:

To help supplement the diets of low-income persons by making funds available to States for processing, storage and distribution costs incurred by State agencies and local organizations in providing food assistance to needy persons.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Administrative funds are provided to State agencies to cover administrative costs incurred at the State and local level in the operation of the program. Funds may

only be used for approved administrative expenses, and the State agency is required to pass-through at least 40% of the funds to emergency feeding organizations.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor, legislature or other authority may receive these administrative funds to support the distribution of commodities to the needy.

Beneficiary Eligibility:

Public or private non-profit organizations which provide food assistance to the needy.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under OMB Circular No. A-87, as implemented at 2 CFR Part 225, for State and local governments will be allowed.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Not necessary; all States are eligible to participate. However, this program is subject to the provisions of OMB Circular No. A-102, as implemented by 7 CFR Part 3016. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Administrative funds are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60%) and of unemployed persons (40%). At a minimum, States must make at least 40% of the administrative grant available to emergency feeding organizations, or expend such funds on their behalf. In addition, the States must match, either in cash or in kind, the amount of administrative funds not passed-through to emergency feeding organizations.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary.

Formula and Matching Requirements:

Funds for administration of the program are allocated among the States based on a legislatively-mandated formula. The allocation formula is based in part (60 percent) on the number of people whose income is below the poverty level and in part (40 percent) on the number of unemployed persons. Including appropriations, recoveries and conversions of commodity funds into administrative funds, \$58 million was available Nationally for TEFAP administration in FY 07. States are required to pass-through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. In addition, States are required to match, either in cash or in kind, 100 percent of the administrative funds not passed-through to emergency feeding organizations.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government.

Reports:

Quarterly and annual financial reports are made on FCS-667.

Audits:

In accordance with the provisions of OMB circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments and non-profit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

FY 07 \$58,026,690; FY 08 est \$49,650,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range was \$10,416 to \$7,323,436; the Average was \$1,055,131.

PROGRAM ACCOMPLISHMENTS:

Provided funds to State agencies and local organizations to process, store, and distribute USDA and privately-donated commodities to needy persons and to organizations for use in preparing meals at congregate meal sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on commodity handling.

Regional or Local Office:

See Food and Nutrition Service regional office contacts listed in Appendix IV of the Catalog.

Headquarters Office:

Food Distribution Division, FNS, USDA, Room 500, Park Office Center, 3101 Park Center Drive, Alexandria, VA 22302. Contact: Cathie McCullough, Director. Telephone: (703) 305-2680.

Web Site Address:

<http://www.fns.usda.gov/fdd/programs/tefap/>.

RELATED PROGRAMS:

10.569, Emergency Food Assistance Program (Food Commodities); 10.551, Supplemental Nutrition Assistance Program; 10.561, State Administrative Matching Grants for the Special Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

**10.569 EMERGENCY FOOD ASSISTANCE PROGRAM
(FOOD COMMODITIES)**

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C. 7501 et seq.; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330.

OBJECTIVES:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C. 7501 et seq.; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The food commodities are provided to State agencies that are responsible for administering the distribution of the commodities within the States. Commodities can only be used to provide food assistance to needy persons. Commodities may be provided for household consumption or for congregate feeding. The State agency must give priority to local organizations that provide nutrition assistance to relieve situations of emergency and distress.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor, legislature or other authority may receive and distribute these donated food commodities.

Beneficiary Eligibility:

Needy individuals. They may be homeless, unemployed, welfare recipients, or low-income. The State agency must establish income based eligibility criteria to ensure the commodities are provided to the needy.

Credentials/Documentation:

Needy individuals receiving commodities for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All States are eligible to participate, no applications necessary. This program is subject to the provisions of OMB Circular No. A-102, as implemented by 7 CFR Part 3016. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Food commodities are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60 percent)

and of unemployed persons (40 percent).

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving commodities in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

Commodities are allocated among the States based on a legislatively-mandated formula. The allocation formula is based in part (60%) on the number of people whose income is below the poverty level and in part (40%) on the number unemployed persons. Including amounts converted into administrative funds, \$130,640,006 was available Nationally to purchase commodities in 2007.

Length and Time Phasing of Assistance:

None.

Reports:

Inventory reports on the FCS-155.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local governments, and Nonprofit Organizations," State and local governments, and non-profit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

FY 07 \$130,640,006; FY 08 est \$140,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range was \$22,156 to \$15,577,443, while the Average was \$2,375,272.

PROGRAM ACCOMPLISHMENTS:

Provided commodities to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on commodity handling.

Regional or Local Office:

See Food and Nutrition Service Regional Office contacts listed in Appendix IV of the Catalog.

Headquarters Office:

Food Distribution Division, FNS, USDA, Room 500, Park Office Center, 3101 Park Center Drive, Alexandria, VA 22302. Contact: Cathie McCullough, Director. Telephone: (703) 305-2680.

Web Site Address:

<http://www.fns.usda.gov/fdd/programs/tefap/default.htm>.

RELATED PROGRAMS:

10.568, Emergency Food Assistance Program (Administrative Costs); 10.551, Supplemental Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.572 WIC FARMERS' MARKET NUTRITION PROGRAM (FMNP)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

WIC Farmers' Market Nutrition Act of 1992, Public Law 102-314, 106 Stat. 281; Child Nutrition Act of 1966, as amended, Section 17(m), 42 U.S.C. 1786.

OBJECTIVES:

(1) To provide fresh, nutritious unprepared locally grown fruits and vegetables from farmers markets to women, infants, and children who participate in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC); and (2) to expand the awareness and use of farmers' markets and increase sales at such markets.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants are made to State health, agriculture and other agencies (or Indian tribes,

bands, or intertribal councils or groups recognized by the Department of the Interior, or the Indian Health Service of the Department of Health and Human Services.) Participating State agencies must provide program income or State, local, or private funds for the program in an amount that is equal to at least 30 percent of the administrative cost of the program except Indian Tribal Organizations which may receive a negotiated match contribution that is less than 30 percent but not less than 10 percent. The matching requirement may be satisfied from State contributions that are made for similar programs. Federal FMNP benefits (coupons) may be issued only to participants in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or to persons who are on a waiting list to receive WIC benefits.

Applicant Eligibility:

All State agencies that desire to receive a FMNP grant must submit, for each fiscal year, a State plan to the Food and Nutrition Service (FNS), USDA. States that received Federal assistance under the Farmers' Market Coupon Demonstration Project, which was administered by FNS from 1989-1992, were "grandfathered" into the FMNP by Public Law 102-314. Other States interested in participating in the FMNP must first submit a State plan to the appropriate FNS regional office for approval. Approved plans are then reviewed and evaluated by the National office (Supplemental Food Programs Division). New State agencies are then selected based on the evaluation and the availability of funds. Local FMNP sites are selected by participating State agencies based on concentration of eligible WIC participants and access to farmers' markets.

Beneficiary Eligibility:

WIC participants (i.e., pregnant, postpartum or breastfeeding women; infants over 4 months of age; and children up to 5 years of age) and (at the State's discretion) those who are on a waiting list to receive WIC benefits are eligible to receive FMNP coupons. State agencies may also designate subcategories of WIC participants, e.g., pregnant and breastfeeding women only, to be FMNP recipients.

Credentials/Documentation:

WIC participants (except infants under 4 months of age) and persons on WIC waiting lists certified for WIC eligibility who live in areas with a FMNP are eligible to receive benefits. A signed and executed Federal/State Agreement is necessary before funds can be allocated to a participating FMNP State agency. Costs will be determined in accordance with 7 CFR Part 3016, and FNS guidelines and instructions.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration" as required by law. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Farmers or farmers' markets (depending on whether State agencies have agreements with farmers or markets) located in communities with WIC Program sites may apply to be authorized to accept FMNP coupons under the program. The State agency reviews the applications for completeness and compliance with authorization requirements. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for FMNP benefits at approved local WIC agencies.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies.

Deadlines:

A State plan for the next fiscal year is required by November 15.

Range of Approval/Disapproval Time:

An eligibility determination will be made within 30 days of submission.

Appeals:

Farmer/farmers' market appeals: The State agency shall provide a hearing procedure whereby a farmer/farmers' market adversely affected by a State agency action may appeal the action. The right of appeal shall be granted when a farmer/farmers' market is denied or, during the course of the contract or agreement, when a farmer/farmers' market is disqualified or any other adverse action which affects participation is taken.

Renewals:

This Program is authorized through September 30, 2009.

Formula and Matching Requirements:

Statutory formula can be found at Section 17(m)(3) of the Child Nutrition Act. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Indian Tribal Organizations may receive a negotiated match contribution that is less than 30 percent but not less than 10 percent. State contributions for similar programs may satisfy the State matching requirement. Provided that sufficient funds are available, each current State agency will be funded at its most recent year's level. Remaining funds are divided between current States for expansion and new States, based on a 75 to 25 percent ratio, respectively.

Length and Time Phasing of Assistance:

Grants have been released for the duration of the fiscal year using Federal Reserve Bank letters of credit. State agencies may withdraw funds only as needed.

Reports:

Annual reports submitted to FNS specifying the following data: Number and type of recipients (Federal and nonfederal); number of authorized farmers, farmers' markets, and farm or roadside stands; value of coupons issued and redeemed; and financial expenditure data.

Audits:

State and local agencies shall obtain either a single audit or a program-specific audit in accordance with 7 CFR 3016.26 or 3019.26 and 3052, as applicable.

Records:

State agencies must maintain full and complete records including recipient and financial data; coupon issuance and redemption data; equipment purchases and inventory; nutrition education and civil rights procedures.

Account Identification:

12-3510-0-1-605.

Obligations:

FY 07 \$22,109,323; FY 08 est \$19,860,000 and FY 09 est not reported.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance \$6,337 to \$4,452,384; \$621,155.

PROGRAM ACCOMPLISHMENTS:

FNS has approved the operation of the FMNP in 46 State agencies, which include 37 States, the District of Columbia, Guam, Puerto Rico and 5 Indian Tribal Organizations for fiscal year 2006, thereby increasing the consumption of fresh fruits and vegetables from farmers' markets by many more WIC recipients. This is consistent with the nutrition goals of WIC and FMNP to motivate WIC participants to make healthy food choices.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 248.

Regional or Local Office:

See Food and Nutrition Service updated regional office listed in Appendix IV.

Headquarters Office:

Supplemental Food Programs Division, Food and Nutrition Service, Department of Agriculture, 3101 Park Center Drive, Room 540, Alexandria, VA 22302. Contact Debra R. Whitford, Branch Chief. Telephone: (703) 305-2746.

Web Site Address:

<http://www.fns.usda.gov/wic/>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program; 10.553, School Breakfast Program; 10.555, National School Lunch Program; 10.556, Special Milk Program for Children; 10.557, Special Supplemental Nutrition Program for Women, Infants, and Children; 10.565, Commodity Supplemental Food Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.574 TEAM NUTRITION GRANTS

(Team Nutrition Training Grants)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National School Lunch Act, Section 6(a)(3) Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1996; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1997, Public Law 104-280; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1998, Public Law 105-86; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1999, Public Law 105-277; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2000, Public Law 106-78; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2001, Public Law 106-387. Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2002, Public Law 107-229 for 2003.

OBJECTIVES:

Team Nutrition Training Grants for Healthy School Meals have been identified in USDA's national Strategic Plan for Training and Technical Assistance as one of the anchor delivery systems for supporting the implementation of USDA's nutrition requirements and the Dietary Guideline for Americans in school meals.

Team Nutrition's (TN) goal is to improve children's lifelong eating and physical activity habits by using the principles of the Dietary Guidelines for Americans and the Food Guide System. TN Training Grants offer funding to state agencies to establish or enhance sustainable infrastructures for implementing TN using three behavior-focused strategies: (1) provide training and technical assistance to child nutrition food service professionals to enable them to prepare and serve nutritious meals that appeal to students; (2) promote nutrition curriculum and education in schools through multiple communication channels (i.e., food

services initiatives, classroom activities, school wide events, home activities, community programs and events, and media events and coverage) to reinforce positive nutrition messages and encourage students to make healthy food physical activity choices as part of a healthy lifestyle; (3) build school and community support for creating healthy school environments that are conducive to healthy eating and physical activity.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Team Nutrition Training Grants provide funding to assist State agencies in achieving the TN goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans. States may award sub-grants to local school districts following the established oversight procedure as well as the Guidance for Interpreting Cost Principles of Sub-Grants.

Applicant Eligibility:

State agencies that administer the National School Lunch Program and/or Child and Adult Care Food Program may apply for a TN Training Grant. States may apply individually, or apply as a coalition within their State if there is an alternate State agency, or may establish a network and apply as a coalition of two or more different States.

Beneficiary Eligibility:

School and child care decision makers and caregivers, school and child care food service staff, and children, parents and teachers/educators.

Credentials/Documentation:

State agencies must administer the National School Lunch Program and/or Child and Adult Care Food Program. Applicants must prove ability to provide a competent experienced staff and other resources to assure adequate development, supervision and execution of TN Training Grant activities. In addition, applicants are required to submit a written proposal which includes a description of the training issue or issues to be add-on of the training issue or issues to be addressed, the proposed project approach, the rationale for it, specific program objectives, activities and budget. A properly executed Federal/State Agreement between the Food and Nutrition Service and the State agency is necessary before funds can be allocated.

Preapplication Coordination:

State agencies are furnished with a copy of the Request for Applications (RFA) package on how to apply for a TN Training Grant. Additionally, the complete RFA is posted on the FNS Team Nutrition web site. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit a complete application to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score and make recommendations to selecting officials.

Deadlines:

The deadlines for the TN Training Grants are specified in the Request for Applications package.

Range of Approval/Disapproval Time:

Once the application is received from the State agency, it is approximately 3 months before announcement of the TN Training Grant awards.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

If selected for funding State agencies will be funded at the level requested after approval of the budget. There are no matching requirements.

Length and Time Phasing of Assistance:

FY 2005: September 30, 2005 - September 30, 2007. FY 2006: September 30, 2006 - September 2009.

Reports:

Specified progress and financial status reports and a final project report are to be submitted to the Food and Nutrition Service as stated in the Federal/State TN Training Grant Agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, State governments that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

State agencies must maintain records as necessary to support the use of TN Training Grant funds in accordance with the Grant Agreement. Such records

must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$9,381,761; FY 08 est \$13,269,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021 and 7 CFR Part 3052.

Regional or Local Office:

See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Grants Management Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2867. Contact: Lisa Johnson, Grants Management Specialist.

Web Site Address:

<http://teamnutrition.usda.gov/grants.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Technical Review Criteria, which are furnished to all applicants in the Request for Applications package are used by the review panel to score and rank TN Training Grant applications.

10.576 SENIOR FARMERS MARKET NUTRITION PROGRAM

(SFMNP)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, 116 Stat. 334; Section 4402, 7 U.S.C. 3007.

OBJECTIVES:

(1) To provide fresh, nutritious, unprepared, locally grown fruits, vegetables and herbs from farmers markets, roadside stands and community supported agriculture programs to low-income seniors, and (2) to increase the consumption of agricultural commodities by expanding, developing, or aiding in the development and expansion of domestic farmers markets, roadside stands, and community supported agriculture programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are made to State departments such as health, agriculture and aging, United States territories and Federally-recognized Indian tribal governments, or any other agency approved by the chief executive officer of the State. Grant funds may be used only to support the costs of the foods that are provided under the SFMNP; no administrative funding is available.

Applicant Eligibility:

All States, United States territories, and federally recognized Indian tribal governments are eligible to apply for a grant.

Beneficiary Eligibility:

Persons eligible for the program are low-income seniors, generally defined as individuals who are at least 60 years old and who have household incomes of not more than 185% of the federal poverty income guidelines (published each year by the Department of Health and Human). Some State agencies accept proof of participation or enrollment in another means-tested program, such as the Commodity Supplemental Food Program or Food Stamps, for SFMNP eligibility.

Credentials/Documentation:

In addition to submitting an application based on the requirements of the grant solicitation, potential grantees must submit a standard SF-424, Application for Federal Assistance, form. In addition, potential grantees must submit forms in which they agree to comply with USDA regulations and Office of Management and Budget circulars, including: Uniform Federal Assistance Regulations, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, Government-wide Debarment and Suspension; Government-wide Requirements for Drug-free Workplace; and, New Restrictions on Lobbying.

Preapplication Coordination:

Each State applying for a SFMNP grant must designate a lead State agency. Each State may only submit one application on behalf of all interested State agencies, local governments, and non-profit organizations in the State. However, the lead State agency may make subgrants to other State agencies, Indian tribal governments, local governments, and non-profit organizations. Also, Indian tribal governments may make subgrants to local governments and non-profit organizations in the areas they serve. Interested agencies are encouraged to contact the Governor's office or Indian tribal governments to work together on an application. If multiple applications are received from more than one agency within the same State, FNS reserves the right to contact the Governor's office in that State for a final designation of the lead State agency. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Under the competitive grant process, FNS announces the opportunity for States, including territories and Federally recognized Indian tribal governments, to submit grant applications for the SFMNP for each fiscal year in which funds are available. All applications are due by a specified date to FNS Headquarters.

Award Procedure:

Applications are reviewed and evaluated by a panel of FNS staff to determine the technical merit of each grant application. Two separate panels evaluate applications; one panel reviews applications from current State agencies that are seeking expansion funds and another panel reviews applications from new State agencies. In the event that all available SFMNP funds for a given year are needed to provide base grants to currently participating State agencies, applications for program expansion or new programs will not be solicited by FNS. If the available funds are insufficient to meet the base grant levels for current SFMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating SFMNP State agencies. If additional funds become available for the SFMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

A deadline for the submission of grant applications is set forth in the announcement by FNS. In general, applications are due approximately 60 days after the announcement to request grant applications.

Range of Approval/Disapproval Time:

In general, FNS will announce SFMNP awards, and notify each State agency that did not receive an award, approximately 60 days after the date applications are due to FNS.

Appeals:

All decisions by the FNS selecting officials are final. However, unsuccessful applicants are offered a debriefing to discuss the strengths and weaknesses of their proposals.

Renewals:

Current State agencies are required to submit updated information annually.

Formula and Matching Requirements:

SFMNP grant funds are provided only to support the costs of the foods that are provided under the SFMNP; no administrative funding is provided.

Length and Time Phasing of Assistance:

SFMNP funds are provided using Federal Reserve Bank letters of credit, for a program period that begins when the grant agreement is signed by FNS and ends on November 30 of each year.

Reports:

Grantees are required to submit two financial status reports and two progress reports to FNS. The progress reports must indicate the number of outlets authorized to receive coupons (i.e., farmers, farmers markets, roadside stands and/or community supported agriculture programs) by category and the number of recipients who have been issued coupons.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State governments that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

State agencies must maintain records as necessary to support the SFMNP funds. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3507-0-1-605.

Obligations:

FY 07 \$16,203,484; FY 08 est \$16,200,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 06, base grant levels to State agencies totaled \$16.2 million. Unspent funds from FY 2006 were reallocated on a proportionate basis to participating SFMNP grantees in the spring of 2007. In FY 2007, 46 State agencies and federally recognized Indian tribal governments operated the SFMNP. In FY 06, 825,691 seniors were served by the SFMNP by 14,574 farmers at 2,911 markets, 2,323 roadside stands, and 260 community supported agriculture programs. In December 2006, FNS published a final rule for the SFMNP, which would make the program a permanent nutrition assistance.

FEDERATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local Office:

None.

Headquarters Office:

Supplemental Food Program Division, Food and Nutrition Service, Department of Agriculture, 3101 Park Center Dr. Room 540, Alexandria, VA 22302.

Contact: Debra R. Whitford, Branch Chief. Telephone (703) 305-2746.

Web Site Address:

<http://www.fns.usda.gov/fns/>.

RELATED PROGRAMS:

10.565, Commodity Supplemental Food Program; 10.551, Supplemental Nutrition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.578 WIC GRANTS TO STATES (WGS)

(WIC Grants To States)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended.

OBJECTIVES:

To provide grants to WIC State agencies for Electronic Benefit Transfer projects and pilots to explore the feasibility both technical and financial of providing WIC benefits electronically. To provide grants to WIC State agencies and Indian Tribal Organizations (ITOs) to fund, implement, and evaluate innovative projects that improve WIC service provision and impact the nutrition and health of WIC participants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds are used to plan, develop and implement pilot projects for WIC EBT. Grant funds are used to improve WIC service provision and impact the nutrition and health of WIC participants.

Applicant Eligibility:

State agencies that administer the WIC Program are eligible to apply for WIC EBT grant funds. States may apply individually or as a coalition of States.

Beneficiary Eligibility:

WIC participants ultimately will receive benefits through EBT. WIC participants will receive improved WIC services.

Credentials/Documentation:

State agencies must administer the WIC Program. Applicants must prove through the grant application process that adequate resources are available for project success. Applicants are required to submit a written proposal, which includes a description of the EBT pilot, budget documents, feasibility studies, cost allocation plans, and other required documents. A properly executed Federal/State agreement between FNS and the State agency is necessary before funds can be allocated. State agencies and Indian Tribal Organizations must administer the WIC Program. Applicants must prove through the grant application process that adequate resources are available for project success. Through a competitive solicitation, applicants are required to submit a written proposal which includes a description of the proposed project, staffing, and budget documents for review by a technical panel. Upon selection for award, a properly executed Federal/State agreement between FNS and the State agency or Indian Tribal Organization is necessary before funds can be allocated.

Preapplication Coordination:

State agencies are given a grant solicitation package outlining the process and deadlines related to the grant application process. State WIC agencies and Indian Tribal Organizations are given a grant solicitation package outlining the process and deadlines related to the grant application process. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit a complete application to FNS by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in

the solicitation package. Grants are awarded by a competitive process via a review panel composed of FNS staff, who make recommendations to selecting officials.

Deadlines:

As specified in the solicitation package.

Range of Approval/Disapproval Time:

About 3 to 6 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

No matching requirements. Grants will be funded based on submitted budget, need for funds and available funding.

Length and Time Phasing of Assistance:

From 3-5 years, depending on project timeline. From 1-3 years depending on project schedule.

Reports:

Progress reports and financial status reports must be submitted as outlined in the cooperative/agreements.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State governments that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of EBT grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

EBT Grants: FY 07 \$5,198,828; FY 08 est not available; and FY 09 est not reported. WIC Discretionary Grants: FY 07 est. \$14,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

EBT Grants: FY 06 \$6,245,923; FY 07 \$5,198,828, Range \$66,125 to \$2,142,315, Average (6) \$866,471. WIC Discretionary Grants: FY 06 \$20,808,994; FY 07 \$2,742,354, Range \$20,000 to \$390,000, Average (11) \$249,3004.

PROGRAM ACCOMPLISHMENTS:

Successful EBT pilots have been launched in 6 WIC State agencies, with two States, Wyoming and New Mexico, issuing WIC benefits through EBT statewide. Seven other State agencies have been in the process of design and development of WIC EBT projects experienced staffing and budget problems and decided in FY 2006 to cancel their EBT project. Another State, Ohio, found EBT to be too costly and reverted to paper benefit issuance in FY 2005. Successful WIC Special Project Grants have been conducted in many states. Every year, awards a re made to selected States ranging from one to three years. Between FY 1995 and FY 2000, twenty five awards were made to States and ITOs, which have either all been completed or are near completion. Since FY 2001, seventeen more projects have been awarded, most of which are currently in progress.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local Office:

See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Grants Management Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

EBT Projects: The Wyoming and New Mexico EBT system is operational statewide. In addition, several other States are proceeding with EBT projects, including Nevada and the Inter Tribal Council of Nevada (ITCN), which launched its EBT pilot in June 2000; New Mexico began its pilot in FY 2003; Texas began its pilot in FY 2004; and Michigan began its pilot in FY 2005; and Kentucky plans to launch its pilot in FY 09/FY 10. WIC Special Project Grants: One project currently funded under these grants is testing the effectiveness of motivational interviewing in a WIC clinic setting in North Dakota. The goal of the project is to study the effectiveness of motivational interviewing in nutrition

education, and to determine its impact on nutritional behavioral change among WIC participants in North Dakota. A second project seeks to strengthen Massachusetts WIC services by utilizing a behavioral approach to connect with the emotions and values of WIC participants, to help families to adopt healthy dietary, parenting, and physical activity behaviors. A third project is focused on increasing infant breastfeeding among American Indians in Osage County, Oklahoma, as a way of reducing/preventing obesity and diabetes which are highly prevalent in this population.

CRITERIA FOR SELECTING PROPOSALS:

Technical review criteria, which are furnished to all applicants in the grant solicitation package, are used by the review panel to score and rank EBT Grant applications. Technical review criteria, which are furnished to all applicants in the grant solicitation package, are used by the review panel to score and rank grant applications.

10.579 CHILD NUTRITION DISCRETIONARY GRANTS LIMITED AVAILABILITY

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP).

OBJECTIVES:

NSLP: To assist States, through cash grants and food donations, in making the school lunch program available to school children and to encourage the domestic consumption of nutritious agricultural commodities. SBP: To assist States in providing a nutritious nonprofit breakfast service for school children, through cash grants and food donations. SMP: To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children. CACFP: To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children, elderly or impaired adults in nonresidential day care facilities and children in emergency shelters. SFSP: To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for low-income children during the summer months and at other approved times, when schools are out of session or are closed for vacation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Determined by the legislation providing the grants. Examples of grants in this category include the Child Nutrition and WIC Reauthorization Act of 2004 (P.L. 108-265) which authorized funds for State agencies to perform administrative reviews and training of selected local education agencies participating in the NSLP. The purpose of these funds is to improve program integrity and administrative accuracy by decreasing administrative errors in those local education agencies identified by the States as having problems or where problems are likely to arise. The funds cannot be used to replace or augment funding allocated for existing review requirements and they cannot be used for local level expenses associated with administrative reviews or training activities.

In addition, P.L. 108-265 authorized funding for grants under the SFSP to increase participation at congregate feeding sites through innovative approaches for overcoming limited transportation resources in rural areas. These multi-year grants were awarded in December 2005 on a competitive basis to Mississippi (6 sponsors), New York (7 sponsors), Oregon (8 sponsors), Texas (2 sponsors), and West Virginia (7 sponsors). The projects may not comprise pre-award costs associated with the development of a grant application or the cost of obtaining food. Over the course of 3 years, \$4 million will be available to the 5 States. Additional examples of grants in this category include the Consolidated Appropriations Resolution for Fiscal Year 2003 (Public Law 108-7) which provided funds for eligible schools to cover costs associated with implementing the SBP. The purpose of these grants was to increase the number of schools participating in the SBP, particularly those serving low-income children. The grants were used for State level costs associated with the administration of the SBP grant activities and local level costs that were allowable, reasonable, and necessary for an approved school to implement the SBP. The State agency could retain no more than 17.5% of the grant award for State level costs associated with the administration of the grant activities.

Applicant Eligibility:

Determined by the legislation providing the grants.

Beneficiary Eligibility:

Determined by the legislation providing the grants.

Credentials/Documentation:

Determined by the legislation providing the grants. For example, the SBP Grants under Public Law 108-7 earmarked funds for a specific State, with the remaining funds to be awarded competitively. Eleven States were identified that had less than 60 percent of their NSLP Schools participating in the SBP over the

past 3 years. These States received an Application for SBP Start-up Grant Funds.

Preapplication Coordination:

Instructions provided in Request for Application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application package should be sent to FNS Headquarters, Charles Okal, Chief, Grant Management Branch Food and Nutrition Service, Grants Management Division, 3101 Park Center Drive, Room 738, Alexandria, VA 22302 or submitted electronically via www.grants.gov <http://.grants.gov>.

Award Procedure:

A grant review technical panel objectively reviews all complete applications according to established review criteria provided in the Request for Applications and makes recommendations to Agency officials, who make final award decisions.

Deadlines:

Provided in Request for Application.

Range of Approval/Disapproval Time:

Determined by the legislation providing the grants.

Appeals:

Determined by the legislation providing the grants.

Renewals:

Determined by the legislation providing the grants. For example, a due date was established for the SBP and grants were awarded after review of all submissions by a selection panel from FNS and receipt of a Letter of Intent from the selected States. All State and local level SBP Grant funds had to be obligated by September 30, 2005 and expended by December 15, 2005.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Determined by the legislation providing the grants.

Reports:

Instructions provided in Request for Application.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State governments that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

State agencies must maintain records as necessary to support the use of Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Grants) FY 07 \$2,997,672; FY 08 est not available; and FY 09 est not reported. (NOTE: Availability limited based on eligibility requirements or duration by current year appropriations or authorizing legislation language and Agency priorities, determined by the legislation providing the grants.)

Range and Average of Financial Assistance:

Provided in Request for Application.

PROGRAM ACCOMPLISHMENTS:

Determined by the legislation providing the grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local Office:

See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Headquarters Office Grants Management Division, Food and Nutrition Service, U.S. Department of Agriculture, Alexandria, VA 22302. Contact: Charles Okal, Chief, Grants Management Branch, Grants Management Division at (703) 305-2854.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.580 SPECIAL NUTRITION ASSISTANCE PROGRAM OUTREACH/PARTICIPATION PROGRAM

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section, 17a(1), Food Stamp Act of 1977, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

Outreach Grants: The purpose of these grants is to study effective strategies to inform and educate eligible low-income people not currently participating in the Special Nutrition Assistance Program (SNAP) (Formally known as the Food Stamp Program) about the nutrition benefits of the program, eligibility rules, and how to apply so that they can make an informed decision about whether to participate in the program. Program Participation Grants: The purpose of the Program Participation grants is to support efforts by State agencies and their community-based and faith-based partners to develop and implement: 1) Simple SNAP application and eligibility systems; or 2) Measures to improve access to SNAP benefits by eligible applicants.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Program Participation Grants: Funds for program participation projects are to be used to improve the quality and efficiency of operations within SNAP offices and no more than 25 percent of the grant funds may be used for outreach activities. Applications must comply with criteria listed in the Request for Application package. Outreach Grants: Funds are used for research into SNAP outreach strategies to one or more of the targeted groups of people who are eligible for the program but traditionally underused food stamp benefits. Applications must comply with criteria listed in the Request for Application package.

Applicant Eligibility:

Outreach Grants: Public organizations, with the exception of State and local SNAP agencies; and Private, nonprofit organizations; specific instructions provided in Request for Application. Program Participation Grants: State agencies that administer the SNAP, State or local governments; agencies that provide health or welfare services; public health or educational entities and private nonprofit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations.

Beneficiary Eligibility:

Persons potentially eligible for SNAP benefits (low-income individuals or families)

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. Nonprofits must also submit an IRS Determination Letter, Form 501C (3). Specific instructions provided in Request for Application.

Preapplication Coordination:

Instructions provided in Request for Application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application package should be prepared in accordance with instructions in a specific Request for Application (RFA) and sent to the grant officer identified in the RFA.

Award Procedure:

A Grant Review Technical Panel objectively reviews all complete and timely applications according to established review criteria provided in the Request for Applications and makes recommendations to Agency officials, who make final award decisions.

Deadlines:

Provided in Request for Application.

Range of Approval/Disapproval Time:

Provided in Request for Application.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Provided in Request for Application.

Reports:

Instructions provided in Request for Application.

Audits:

In accordance with the provisions of OMB Circular No. A-133; Audits of States, Local Governments, and Nonprofit Organizations; State governments that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not

been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

FY 07 \$989,197; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Based on availability of funds.

PROGRAM ACCOMPLISHMENTS:

Outreach Grants: <http://www.fns.usda.gov/fsp/outreach/default.htm>. Program Participation Grants: <http://www.fns.usda.gov/fsp/government/program-improvement.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Outreach Grants: 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Program Participation Grants: 7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3021, 7 CFR Part 3019.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2867. Contact: Leslie Byrd, Grant Officer for Outreach Grants, or Lisa Johnson, Grant Officer for Program Participation Grants.

Web Site Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants: <http://www.fns.usda.gov/fsp/outreach/grants/2004/2005-summary.htm> and <http://www.fns.usda.gov/fsp/outreach/grants/2004/summary.htm>. Program Participation Grants: <http://www.fns.usda.gov/fsp/government/participation-grants.htm>. www.fns.usda.gov/fsp/government/06-participation-grants.htm. www.fns.usda.gov/fsp/government/pdf/2007-participation-grants.pdf.

CRITERIA FOR SELECTING PROPOSALS:

The review panel to score and rank applications uses Technical Review Criteria, which are furnished to all applicants in the Request for Applications package.

10.582 FRESH FRUIT AND VEGETABLE PROGRAM

(FFVP)

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

National School Lunch Act, as amended, 42 U.S.C. 1769.

OBJECTIVES:

To assist States, through cash grants, in providing free fresh fruits and vegetables to school children in designated participating schools beginning in school year 2004/2005.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Under Section 18(g) of the National School Lunch Act, Federally appropriated Fresh Fruit and Vegetable Program funds are available to a specified number of State agencies: 25 schools in each of eight designated states (Indiana, Iowa, Michigan, Mississippi, North Carolina, Pennsylvania, Ohio, Washington); and 25 schools among three Indian Tribal Organizations in 3 designated states (Arizona, South Dakota, and New Mexico). Participating public and nonprofit private schools of high school grade or under are reimbursed free to school children outside of the lunch or breakfast food service periods. All participating schools must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. Funds are allocated to each State based on the enrollment of schools selected for participation.

Applicant Eligibility:

State agencies specified in Section 18(g) of the National School Lunch Act or selected by the Secretary of Agriculture in accordance with the conditions specified in Section 18(g), including those State agencies representing Indian Tribal Organizations; and public and nonprofit private schools of high school grade and under participating in the National School Lunch Program and selected by their State agencies to participate in the FFVP. In selecting schools, as required by Section 18(g), to the maximum extent practical States must ensure that the majority of schools have 50 percent or more students eligible for free or reduced price meals and give priority to schools that have partnerships with non-Federal resources.

Beneficiary Eligibility:

All children enrolled in schools participating in the Fresh Fruit and Vegetable Program.

Credentials/Documentation:

Applicant organizations must be currently participating in the National School Lunch Program. Applicant organizations must furnish evidence of nonprofit

status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019).

Preapplication Coordination:

The school-level application prototype form was furnished by FNS and/or modified by the State agency. An applicant should consult the State office or official designated as the single point of contact in his or her State for the National School Lunch Program for more information on the process the State requires to be followed for the FFVP. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Public or private nonprofit schools in the designated States make application to the State Agency. Appropriate forms may be obtained from this agency.

Award Procedure:

The State agency reviews the written application of a school based on criteria described in Section 18(g) of the National School Lunch Act and other State agency selection criteria. The State agency selects a total of 25 schools. The State agency then enters into an agreement with the selected schools for their participation in the program.

Deadlines:

Please contact the program contact listed in the Information Contacts or Headquarters Office section below.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State Agency.

Appeals:

None. The State agency is responsible for selecting schools based on applicable criteria.

Renewals:

Annual; amended as necessary.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Permanent, amended as necessary, described by law.

Reports:

State agencies must ensure that each school food authority with participating schools maintains and submits itemized reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the 269A. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-profit Organizations," State and local governments, and nonprofit organizations that expended \$500,000 or more under Federal awards within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133. The FFVP is subject to audits.

Records:

Schools must maintain full and accurate records of the FFVP. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

FY 07 \$9,036,050; FY 08 est \$12,410,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Director, Child Nutrition Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2590. Contact: Cynthia Long, Director, Special Nutrition Programs, Food and Nutrition Service, Alexandria, VA 22302. Telephone: (703) 305-2161.

Web Site Address:

Child Nutrition Division: www.fns.usda.gov/cnd.

RELATED PROGRAMS:

10.555, National School Lunch Program; 10.553, School Breakfast Program; 10.857, State Bulk Fuel Revolving Fund Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.600 FOREIGN MARKET DEVELOPMENT COOPERATOR PROGRAM

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agricultural Trade Act of 1978, Title VII, 7 U.S.C. 5721, et seq.

OBJECTIVES:

To create, maintain and expand long-term export markets for U.S. agricultural products through cost-share assistance and the opportunity to work closely with FAS and its overseas offices.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Cooperator funds are authorized through program agreements with nonprofit U.S. trade associations called Cooperators, that provide for partial reimbursement of generic promotional activities in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency which administers the program for the Commodity Credit Corporation (CCC). In general, Cooperator funds may be used only in direct support of activities conducted outside the United States. Types of activities that may be funded include trade servicing, market research, and technical assistance to actual or potential foreign purchasers of U.S. commodities.

Applicant Eligibility:

The Commodity Credit Corporation (CCC) enters into agreements with those nonprofit U.S. trade organizations that have the broadest possible producer representation of the commodity being promoted. To be approved, an applicant's proposal must indicate how it can effectively contribute to the creation, expansion, or maintenance of markets abroad. FAS considers a number of factors when reviewing proposed projects. These factors include: (1) The applicant's willingness to contribute resources including cash and goods and services of the U.S. industry and foreign third party; (2) the ability of the organization to provide an experienced U.S.-based staff and with technical and international trade expertise to ensure adequate development, supervision and execution of the proposed project; (3) the degree to which the proposed project is likely to contribute to the development, expansion, or maintenance of foreign markets; and (4) the degree to which the strategic plan is coordinated with other private or U.S. government-funded market development projects.

Beneficiary Eligibility:

Preference is given to nonprofit U.S. trade organizations which are nationwide in membership and scope.

Credentials/Documentation:

U.S. applicants must prove the ability to provide a competent experienced staff and other resources to assure adequate development, supervision, and execution of promotional activities. Applicants must submit a written export strategy which provides a brief discussion of the commodity for which an agreement is being requested and a description of proposed activities including the foreign countries in which they will be conducted. Applicants must also submit their Internal Revenue Service tax exempt identification number, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, a description of the membership of the applicant organization, a description of the organizations management and administrative capability, the degree to which membership represents national production, an estimate of the level of Government funds required, and the extent to which industry resources are available to match Government expenditures. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each year the availability of funds is announced in a Federal Register notice. At this time a written export strategy should be submitted to the Director, Marketing Operations Staff, FAS. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR part 1484 and the Federal Register program announcement. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and an allocation/marketing plan approval letter which specifies any special terms and conditions applicable to a cooperator's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Deadlines:

Application deadline is announced in the form of a Federal Register notice.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not applicable.

Renewals:

Not applicable. Program commitments are made on a program year basis.

Formula and Matching Requirements:

A cooperator's contribution requirement will be specified in the export strategy approval letter. Cooperators must contribute at least 50 percent of the value of resources provided by CCC for activities conducted under the project agreement. Such contribution may be in the form of cash, goods or services.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years.

Reports:

Expense reports are submitted to claim reimbursement for expenses incurred. Trip reports are submitted within 45 days of completion of travel. A summary of expenses by country and cost code as well as contributions are submitted no later than January 31 following the end of the marketing plan year.

Audits:

Cooperator accounts are reviewed periodically, but normally at least every two years, by representatives of the Compliance Review Staff, FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not less than 5 full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct payments) FY 07 \$34,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$11,000 to \$7,000,000; \$1,243,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1484.

Regional or Local Office:

Not applicable.

Headquarters Office:

Deputy Administrator, Commodity and Marketing Programs, Foreign Agricultural Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4761.

Web Site Address:

<http://www.fas.usda.gov/mos/programs/fmdprogram.asp>.

RELATED PROGRAMS:

10.601, Market Access Program.

EXAMPLES OF FUNDED PROJECTS:

- (1) Promotion of U.S. soybeans through soymeal livestock feeding trials worldwide, and production and distribution of technical and trade publications throughout South America and South Asia directed towards institutional users;
- (2) promotion of U.S. forest products through trade exhibits in the United Kingdom, participation in the deliberations of international codes and standards organizations, and trade seminars worldwide demonstrating American building techniques;
- (3) promotion of U.S. seed through participation in international regulatory organizations to mitigate both tariff and nontariff barriers to U.S. seed exports; and (4) promotion of U.S. poultry through trade seminars in Mexico.

CRITERIA FOR SELECTING PROPOSALS:

Allocations are made in a manner that effectively support the strategic decision-making initiatives of the Government Performance and Results Act (GPRA) of 1993. In assessing whether the applicant will effectively create, expand or maintain foreign markets, the following factors are considered: (1) effectiveness of program management; (2) past and present contribution levels; (3) soundness of the strategic plan; (4) past export performance; and (5) past demand expansion performance (6) future demand expansion goals; and (7) accuracy of past demand expansion projections.

10.601 MARKET ACCESS PROGRAM

(MAP)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Agricultural Trade Act of 1978, as amended, Section 203.

OBJECTIVES:

To encourage the creation, maintenance, and expansion of commercial export markets for U.S. agricultural commodities through cost-share assistance to eligible trade organizations that implement a foreign market development program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Market Access Program (MAP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency which administers the program for the Commodity Credit Corporation (CCC). MAP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research and technical assistance.

Applicant Eligibility:

To be approved, applicants must be: (1) A nonprofit U.S. agricultural trade organization; (2) a nonprofit State regional trade group; (3) a U.S. agricultural cooperative; (4) a State agency; or (5) a U.S. commercial entity that is a small-sized entity (other than a cooperative or producer association).

Beneficiary Eligibility:

CCC will enter into MAP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation:

Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal which provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each year the availability of funds is announced in a Federal Register notice. At this time a written export strategy should be submitted to the Director, Marketing Operations Staff, FAS. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR Part 1485. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter which specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Deadlines:

Application deadline is announced in the form of a Federal Register notice.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not applicable.

Renewals:

Not applicable. Program commitments are made on a program year basis.

Formula and Matching Requirements:

A participant's contribution requirement will be specified in the export strategy approval letter. Participants must contribute at least 10 percent of the value of resources provided by CCC for generic promotions. Such contributions may be in the form of cash, goods or services. In the case of brand promotions, a participant must contribute at least 50 percent of the total cost of such brand promotions. The brand promotion program is by its very nature a matching funds program.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years.

Reports:

Expense reports are submitted to claim reimbursement for promotional expenses. Trip reports are submitted not later than 45 days after completion of travel. Research reports and end-of-year contribution reports are submitted no later than 6 months after the end of a participant's activity plan year.

Audits:

Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct payments) FY 07 \$100,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$22,000 to \$9,611,000; \$1,375,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1485.

Regional or Local Office:

Not applicable.

Headquarters Office:

Deputy Administrator, Commodity and Marketing Programs, Foreign Agricultural Service, Department of Agriculture, Washington DC 20250.
Telephone: (202) 720-4761.

Web Site Address:

<http://www.fas.usda.gov/mos/programs/map.asp>.

RELATED PROGRAMS:

10.600, Foreign Market Development Cooperator Program.

EXAMPLES OF FUNDED PROJECTS:

Generic programs: (1) Promotion of U.S. forest products in Europe through conferences and demonstration projects; (2) promotion of sunflower kernel in Germany through trade shows, advertising and public relations; (3) promotion of U.S. potatoes in Japan through consumer and trade advertising; (4) familiarizing world trading partners with the U.S. grain trading system in order to encourage easier and more fruitful trade. Brand identified promotion program: (1) Promotion of branded citrus worldwide, especially in the Far East and Europe; (2) promotions of branded almonds by increasing consumer awareness in Japan, France and the United Kingdom; and (3) promotions by State groups of a variety of high value products worldwide.

CRITERIA FOR SELECTING PROPOSALS:

Allocations will only be made to applicants that present the best opportunity for developing or expanding export markets for U.S. agricultural commodities. In assessing the applicant, the following factors are considered: (1) effectiveness of program management; (2) soundness of accounting procedures; (3) the nature of the organization; (4) prior export promotion or direct export experience; (5) previous MAP funding and performance; (6) adequacy of the applicant's strategic plan; (7) past and present contribution levels; (8) export goals; and the (9) accuracy of past projected export goals. In providing assistance for brand promotions, priority will be given to small-sized entities. Qualifying products whose composition is less than 50 percent U.S. origin are not eligible.

10.603 EMERGING MARKETS PROGRAM

(EMP)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Emerging Markets Program is authorized by the Food, Agriculture, Conservation, and Trade Act of 1990 (FACT Act), as amended by the Federal Agriculture Improvement and Reform Act of 1996 (FAIR Act) Section 1542 (d).

OBJECTIVES:

The primary objective of the Emerging Markets Program is to promote, enhance or expand the exports of U.S. agricultural commodities to overseas emerging markets through cost-share assistance to eligible organizations that implement an Emerging Markets Program. The program supports the activities of U.S. agricultural and agribusiness firms--particularly those that may need assistance in obtaining or maintaining access in overseas markets. The program is aimed at improving market access opportunities for agricultural products or processes in low- to middle-income countries that are likely to emerge as promising export markets in the near to medium term.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Emerging Markets Program funds are authorized through project agreements that serve as binding instruments and create a legal obligation on the part of the Commodity Credit Corporation (CCC) to make appropriated funds available to the participant. The agreement creates a cooperative relationship between CCC and the implementor with each side contributing resources to support achievement of mutual goals. Program funds help finance activities such as feasibility studies, market research, sectorial assessments, orientation visits, specialized training, and business workshops. The Program is not intended for

projects targeted at end-user consumers. Ineligible activities include in-store promotions, restaurant promotions, branded product promotions, administrative and operational expenses for trade shows and advertising, except in connection with specific technical assistance activities such as training seminars.

Applicant Eligibility:

Applicants must be a: (1) U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues).

Beneficiary Eligibility:

(1) a U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues).

Credentials/Documentation:

All eligible applicants must submit a written proposal in accordance with the guidelines set forth each year in the Federal Register. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each year solicitation of proposals is announced in the Federal Register. At that time written project proposals should be submitted to the Director, Marketing Operations Staff, Foreign Agricultural Service (FAS).

Award Procedure:

FAS will notify each applicant in writing of the final disposition of its application. For approvals, letters will contain the notice of approval and any qualifications or adjustments made to the original proposal. For rejections, letters will contain details explaining the reasons why the proposals were not approved for funding. FAS will send an Agreement to each approved applicant. The Agreement will specify the terms and conditions applicable to the project, including the levels of program funding and cost-share contribution. An applicant who accepts the terms and conditions contained in the Agreement should so indicate by having the appropriate authorizing officer sign the Agreement and submit it to the Director, Marketing Operations Staff, FAS, USDA. The Agreement will become effective when the Deputy Administrator signs the Agreement on behalf of CCC.

Deadlines:

Application deadline is announced in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not applicable.

Renewals:

Continuation or expansion of successful projects funded by the Program may be considered for future funding through separate application. Funding may be considered for projects which have already begun with the support and financial assistance of a private entity, and for which government funding for continuation of the project is justified. Such proposals must meet the criteria of the Emerging Markets Program, including cost-sharing.

Formula and Matching Requirements:

All applications must include an element of cost share. This is the amount of funding U.S. private organizations are willing to commit from their own resources along with those of the Program to seek export business in an emerging market.

Length and Time Phasing of Assistance:

Agreements generally include a beginning and end date. Projects are normally funded for one year although some multi-year projects may be funded by the Program, usually on a year-to-year basis.

Reports:

Implementors are required to submit regular progress reports, depending upon the length and nature of the project. Progress reports are required for all projects with a duration of at least six months. Final reports are to be submitted 60 days after completion of the project, both on diskette and in hard copy.

Audits:

Participant projects are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of the Inspector General and the General Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Records must be maintained for at least three years after completion or termination of the Agreement or not more than five full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct payments) FY 07 \$10,000,000; FY 08 est available; and FY 09 est not

reported.

Range and Average of Financial Assistance:

Projects are funded on a project by project basis and generally range from \$5,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

EMP receives a large number of project proposals, from both government and private organizations, for funding each year, well beyond the amount of funding available. The Program has also seen significant increases in the level of private participants cost share. In order to operate more efficiently and ease the application and reimbursement process for participants, EMP has converted these functions into an online system. For fiscal year 2005, allocations were made to 71 organizations. Approved activities ranged from market research and training to addressing technical barriers such as in biotechnology and in promoting direct sales. For example, recent trade development activities conducted by the Almond Board of California with EMP funding support include technical seminars for key Russian trade contacts, the production of technical materials, and trade show participation. In addition, industry has funded an in-country representative, website development, and a consumer education program for Russia. As a result, U.S. almond exports to Russia increased dramatically in 2003/2004 to more than 14.2 million pounds, a 58% increase over 2002/2003.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Program currently operates under guidelines.

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Marketing Operations Staff, Foreign Agricultural Service, Department of Agriculture, Washington, DC 20250. Telephone (202) 720-4327.

Web Site Address:

<http://www.fas.usda.gov/mos/em-markets/em-markets.html>.

RELATED PROGRAMS:

10.600, Foreign Market Development Cooperator Program; 10.601, Market Access Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Study of Distribution, Logistical Bottlenecks for Hi-value Products, (2) Technical Seminars on the Proper Storage and Handling of U.S. Pears, (3) Grain Warehouse Receipts Training Seminar, (4) Resolution of SPS and Quality Problems: Translation, Interpretation, Analysis, (5) Trade Education Seminars for Storage and Handling of California Grapes.

CRITERIA FOR SELECTING PROPOSALS:

There are two elements that every private sector proposal must contain in order to be considered for funding under the Program: 1. Cost-share. This is the amount of funding U.S. private organizations are willing to commit from their own resources along with those of the Program to seek export business in an emerging market. No proposal will be considered without the element of cost-sharing, regardless of the underlying value of a proposal (the Emerging Markets Program complements, not supplants, export efforts of the U.S. private sector). A minimum or maximum amount of cost share is not specified. Rather, the degree of commitment to a proposed project represented by the percentage and type of private funding is a critical factor in determining which proposals will be funded under the Program. The type of cost-sharing is also not specified. It may be professional time of staff assigned to the project, or actual cash investment. Cost-sharing is not needed for government proposals, but it is required from private organizations who are party to a joint government/private proposal. 2. Justification for Federal Funding. What other sources of funding might be available? Why is funding from the Program required? What specifically could not be accomplished if funding were not provided?

10.604 TECHNICAL ASSISTANCE FOR SPECIALTY CROPS PROGRAM

(TASC)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Technical Assistance for Specialty Crops Program is authorized by Section 3205 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

The Technical Assistance for Specialty Crops Program is designed to assist U.S. organizations by providing funding for projects that address sanitary, phytosanitary, and technical barriers that prohibit or threaten the export of U.S. specialty crops.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Technical Assistance for Specialty Crops Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which the Commodity Credit

Corporation (CCC) will reimburse costs. The agreements also outline any specific responsibilities of the participant. Types of activities that may be funded under this program include initial pre-clearance programs, export protocol and work plan support, seminars and workshops, study tours, field surveys, development of pest lists, pest and disease research, database development, reasonable logistical and administrative support, travel and per diem expenses.

Applicant Eligibility:

To be approved, an applicant must be a: (1) U.S. government agency; (2) U.S. State government agency; (3) U.S. non-profit trade association; (4) U.S. university; (5) U.S. agricultural cooperative; (6) U.S. private company or (7) any other U.S. organization.

Beneficiary Eligibility:

The Technical Assistance for Specialty Crops Program is intended to benefit the represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a brief discussion of the commodity for which funding is requested; a market assessment, including a description of the specific export barrier to be addressed; a description of the activities planned to address the export barrier; and export information that includes performance measures, benchmark performance, and the viability of long-term sales to the market. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each year the availability of funds is publicly announced in a Federal Register notice. Following the announcement, applications for funding may be submitted to the Director, Marketing Operations Staff, Foreign Agricultural Service (FAS).

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in 7 CFR 1487. The CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Deputy Administrator for Commodity and Marketing Programs, FAS, sign the program agreement.

Deadlines:

The application deadline is stated in the form of a Federal Register notice.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not applicable.

Renewals:

Not applicable. Program commitments are made on a program year basis.

Formula and Matching Requirements:

Although highly encouraged, financial and in-kind support from the participant is not required. Such contributions may be in the form of cash or goods and services.

Length and Time Phasing of Assistance:

Agreements generally include the project and a provision for project evaluation. Funds awarded in any given fiscal year are typically available for additional years.

Reports:

Claims are submitted to receive reimbursement of approved expenditures associated with completing program projects. Evaluation reports are submitted by the participant within six months of completion of an approved project.

Audits:

Participant projects are reviewed by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews may be conducted sporadically by representatives of the Office of the Inspector General and the General Accounting Office. Accounts and records must be available for inspection and audit by authorized officials of the U.S. government upon request.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year the transaction that is evidenced in an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments) FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Projects funded on a project by project basis for up to \$250,000 per year.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Marketing Operations Staff, Foreign Agricultural Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4327.

Web Site Address:

<http://www.fas.usda.gov/mos/tasc/tasc.asp>.

RELATED PROGRAMS:

10.600, Foreign Market Development Cooperator Program; 10.601 Market Access Program.

EXAMPLES OF FUNDED PROJECTS:

Pre-clearance program for apples exported to Mexico.

CRITERIA FOR SELECTING PROPOSALS:

The FAS will consider the following criteria in evaluating proposals: (1) The identification and nature of the specific export barrier and the extent to which the proposal is likely to successfully remove, resolve, or mitigate that barrier; (2) The potential trade impact of the proposed project on market retention, market access, and market expansion, including the potential for expanding commercial sales in the targeted market; (3) The completeness and viability of the proposal; (4) The applicant organization's ability to provide an experienced staff with the technical and trade experience necessary to economically and effectively execute the proposal; (5) The extent to which the proposal is targeted to an eligible export market in which the United States is generally competitive; (6) The cost of the project and the amount of other (non-TASC) resources specifically dedicated to the project, including cash and goods and services of the U.S. industry and foreign third parties; (7) The degree to which time is essential in addressing the specific export barriers; and, (8) In cases where the CCC receives multiple proposals from different applicants which address essentially the same barrier, the nature of the applicant organization will be taken into consideration, with a greater weight given to those organizations with the broadest base of producer representation.

10.605 QUALITY SAMPLES PROGRAM

(QSP)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Quality Samples Program is authorized by Section 5(f) of the Commodity Credit Corporation (CCC) Charter Act, 15 U.S.C. 714c(f).

OBJECTIVES:

The Quality Samples Program is designed to encourage the development and expansion of export markets for U.S. agricultural commodities by assisting U.S. entities in providing commodity samples to potential foreign importers to promote a better understanding and appreciation for the high quality of U.S. agricultural commodities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Quality Samples Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which CCC will reimburse costs. Under the QSP, participants may be reimbursed for certain costs of purchasing and transporting commodity samples. Although providing technical assistance is required for all projects, costs of providing the actual technical assistance is not reimbursed under the QSP.

Applicant Eligibility:

To be approved, an applicant must be a U.S. private or government entity.

Beneficiary Eligibility:

The Quality Samples Program is intended to benefit a represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a description of the organization and its membership; a description of the organization's prior export promotion experience; a description of the organization's experience in implementing an appropriate trade/technical assistance component; an assessment of the market; a long-term strategy in the market; amount of funding requested; a brief description of the specific market development trade constraint or opportunity to be addressed by the project; a sample description; and the importer's role in the project regarding handling and processing the commodity samples. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each year the availability of funds is announced in a Federal Register notice. At that time, proposals should be submitted to the Director, Marketing Operations

Staff, Foreign Agricultural Service (FAS).

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in the Federal Register notice. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Deputy Administrator for Commodity and Marketing Programs, FAS, sign the program agreement.

Deadlines:

Application deadline is announced in the form of a Federal Register notice.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not applicable.

Renewals:

Not applicable. Program commitments are made on a program year basis.

Formula and Matching Requirements:

Although highly encouraged, financial and in-kind support from the participant is not required. Such contributions may be in the form of cash or goods and services.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a requirement for program evaluation. Funds awarded in any given fiscal year may be made available for a longer period.

Reports:

Reimbursement claims are submitted to claim reimbursement of costs associated with completing approved projects. Evaluation reports are submitted within 90 days of expiration of the agreement.

Audits:

Participant projects are reviewed by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews may be conducted sporadically by representatives of the Office of the Inspector General and the General Accounting Office. Accounts and records must be available for inspection and audit at any reasonable time.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments) FY 07 \$2,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Projects funded on a project by project basis for up to \$75,000.

PROGRAM ACCOMPLISHMENTS:

For the 2005 program year, allocations were made to 20 organizations. Example: The U.S. Wheat Associates, through the use of QSP funded technical assistance activities, successfully introduced U.S. Desert Durum into the Venezuelan market. As a direct result of the QSP funded programs, Venezuela, who exclusively imported Canadian durum wheat, imported 18,200 tons of U.S. wheat.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Marketing Operations Staff, Foreign Agricultural Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-4327.

Web Site Address:

<http://www.fas.usda.gov/mos/programs/qsp.asp>.

RELATED PROGRAMS:

10.600, Foreign Market Development Cooperator Program; 10.601, Market Access Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Shipment of samples of peanut flour to China for a technical assistance activity; (2) brown rice sample shipped to Russia that was used to train rice millers to mill U.S. brown rice; and (3) a sample of orange and grapefruit concentrate shipped to China for a processing seminar.

CRITERIA FOR SELECTING PROPOSALS:

FAS uses the following criteria when evaluating QSP proposals: (1) the ability of the organization to provide an experienced staff with the requisite technical and trade experience to execute the proposal; (2) the extent to which the proposal is targeted to a market in which the United States is generally competitive; (3) the potential for expanding commercial sales in the proposed market; (4) the nature of the specific market constraint or opportunity involved and how well it is addressed by the proposal; (5) the extent to which the

importer's contribution in terms of handling and processing enhances the potential outcome of the project; (6) the amount of reimbursement requested and the organization's willingness to contribute resources, including cash and goods and services of the U.S. industry and foreign third parties; and (7) and how well the proposed technical assistance component assures that performance trials will effectively demonstrate the intended end-use benefit.

10.606 FOOD FOR PROGRESS

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Food for Progress Act of 1985.

OBJECTIVES:

Agriculture commodities are provided to developing countries and emerging democracies committed to introducing and expanding free enterprise in the agricultural sector.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

The following restrictions on commodity use and distribution apply: (a) The Cooperating Sponsor may use the commodities provided only in accordance with the terms of the Program Agreement. (b) Commodities shall not be distributed within the importing country on the basis of political affiliation, geographic location, or the ethnic, tribal or religious identity or affiliations of the potential consumers or recipients. (c) Commodities shall not be distributed, handled or allocated by military forces without specific CCC authorization. (d) In the event that its participation in the program terminates, the non-government cooperating sponsor will safeguard any undistributed commodities and sales proceeds and dispose of such commodities and proceeds as directed by CCC.

Applicant Eligibility:

A Cooperating Sponsor may be either: (a) A foreign government; (b) An entity registered with the Agency for International Development (AID) in accordance with AID regulations; or (c) An entity that demonstrates to CCC's satisfaction: (1) Organizational experience and resources available to implement and manage the type of program proposed, i.e., targeted food assistance or sale of commodities for economic development activities; (2) Experience working in the targeted country; and (3) Experience and knowledge on the part of personnel who will be responsible for implementing and managing the program. CCC may require that an entity submit a financial statement demonstrating that it has the financial means to implement an effective donation program.

Beneficiary Eligibility:

A Cooperating Sponsor may be either: (a) A foreign government; (b) An entity registered with the Agency for International Development (AID) in accordance with AID regulations; or (c) An entity that demonstrates to CCC's satisfaction: (1) Organizational experience and resources available to implement and manage the type of program proposed, i.e., targeted food assistance or sale of commodities for economic development activities; (2) Experience working in the targeted country; and (3) Experience and knowledge on the part of personnel who will be responsible for implementing and managing the program. CCC may require that an entity submit a financial statement demonstrating that it has the financial means to implement an effective donation program.

Credentials/Documentation:

Organization's Capabilities to Implement the Program. The organization may provide concise information about its past food aid or development activities, its experience within the country where the program is proposed and any other relevant information to demonstrate its capability to implement the program in the country.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each year FAS announces on its web site <http://www.fas.usda.gov/> the invitation period for the Food for Progress program. Organizations apply for program funds by submitting a Plan of Operation required by 7 CFR 1499.5. Organizations may also submit supplemental information that summarizes the need for the program in the country and organizational capabilities to implement the program.

Award Procedure:

None.

Deadlines:

Application deadline is included in the announcement on the FAS website.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 1-3 years.

Reports:

The agreements and regulations require semi-annual reports for commodity logistics and the use of proceeds from the sale of the commodities 7 CFR 1499.17. Organizations must submit quarterly financial reports for all funds advanced and all interested Non-governmental cooperating sponsors are subject of the audit requirements of OMB circular A-133 as implemented in USDA by 7 CFR Part 3052. Audits of States, Local Governments and Non-profit organizations. The cooperating sponsor is also responsible for auditing the activities of recipient agencies that receive more than \$25,000 of provided commodities or sale proceeds. 7 CFR 1499.7.

Audits:

7 CFR 1499.17.

Records:

The Cooperating sponsor shall maintain records for a period of three (3) years from the date of export of the commodities that accurately reflect the receipt and use of the commodities and any proceeds realized from the sale of commodities.

Account Identification:

12-4336-0-3-999.

Obligations:

FY 07 \$169,000,000; FY 08 est \$225,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1499.

Regional or Local Office:

None.

Headquarters Office:

Director, Food Assistance Division, Foreign Agricultural Service, Department of Agriculture, 1400 Independence Ave., S.W., Stop 1034, Washington, DC 20250-1034. Telephone: (202) 720-4221.

Web Site Address:

[HTTP://WWW.FAS.USDA.GOV/](http://WWW.FAS.USDA.GOV/).

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Albania Market driven livestock system; Ghana Agri-forestry project in northern Ghana; Guatemala Diversify agricultural production and train farmers on market utilization; Rep. Of Congo Credit programs for farmers; Vietnam Train cocoa farmers on sustainable practices.

CRITERIA FOR SELECTING PROPOSALS:

Organizational capacity; Substance of activities; Appropriateness of commodities and countries; Quality of proposals Clear, concise and complete Developed ideas Resource ratio Efficiency Beneficiary targeting proposal evaluation criteria.

10.607 SECTION 416(B)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Agricultural Act of 1949.

OBJECTIVES:

U.S. agriculture commodities are provided to developing and friendly countries to carry out programs of assistance.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Restrictions on commodity use and distribution. (a) The Cooperating Sponsor may use the commodities provided only in accordance with the terms of the Program Agreement. (b) Commodities shall not be distributed within the importing country on the basis of political affiliation, geographic location, or the ethnic, tribal or religious identity or affiliations of the potential consumers or recipients. (c) Commodities shall not be distributed, handled or allocated by military forces without specific CCC authorization. (d) In the event that its participation in the program terminates, the non-government cooperating sponsor will safeguard any undistributed commodities and sales proceeds and dispose of such commodities and proceeds as directed by CCC.

Applicant Eligibility:

A Cooperating Sponsor may be either: (a) A foreign government; (b) An entity registered with the Agency for International Development (AID) in accordance with AID regulations; or (c) An entity that demonstrates to CCC's satisfaction: (1) Organizational experience and resources available to implement and manage the type of program proposed; (2) Experience working in the targeted country and; (3) Experience and knowledge on the part of personnel who will be responsible for implementing and managing the program. CCC may require that an entity submit a financial statement demonstrating that it has the financial means to implement an effective donation program.

Beneficiary Eligibility:

A Cooperating Sponsor may be either: (a) A foreign government; (b) An entity registered with the Agency for International Development (AID) in accordance with AID regulations; or (c) An entity that demonstrates to CCC's satisfaction: (1) Organizational experience and resources available to implement and manage the type of program proposed; (2) Experience working in the targeted country and; (3) Experience and knowledge on the part of personnel who will be responsible for implementing and managing the program. CCC may require that an entity submit a financial statement demonstrating that it has the financial means to implement an effective donation program.

Credentials/Documentation:

Organizations capabilities to implement the program. The organization may provide concise information about its past food aid or development activities, its experience within the country where the program is proposed, and any other relevant information to demonstrate its capability to implement the program in the country.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each year FAS announces on it the website <http://www.fas.usda.gov/> invitation period for the Section 416(b) program. Organizations apply for program funds by submitting a Plan of Operation required by 7 CFR 1499.5. Organizations may also submit supplemental information that summarizes the need for the program in the country and organizational capabilities to implement the program.

Award Procedure:

None.

Deadlines:

Application deadline is included in the announcement on the FAS website [HTTP://WWW.FAS.USDA.GOV](http://WWW.FAS.USDA.GOV).

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 1-3 years.

Reports:

The agreements and regulations require semi-annual reports for commodity logistics and the use of proceeds from the sale of the commodities 7 CFR 1499.17. Organizations must submit quarterly financial reports for all funds advanced and all interest earned 7 Non- governmental cooperating sponsors are subject of the audit requirements of OMB circular A-133 as implemented in USDA by 7 CFR part 3052. Audits of States, Local Governments and Non-profit organizations. The cooperating sponsor is also responsible for auditing the activities of recipient agencies that receive more than \$25,000 of provided commodities or sale proceeds. 7 CFR 1499.7.

Audits:

7 CFR 1499.17.

Records:

The Cooperating sponsor shall maintain records for a period of three (3) years from the date of export of the commodities that accurately reflect the receipt and use of the commodities and any proceeds realized from the sale of commodities.

Account Identification:

12-4336-0-3-999.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1499.

Regional or Local Office:

None.

Headquarters Office:

Director Food Assistance Division, Foreign Agricultural Service, United States Department of Agriculture, 1400 Independence Ave., S.W., Stop 1034, Washington, DC 20250-1034. Telephone: (202) 720-4221.

Web Site Address:

[HTTP://WWW.FAS.USDA.GOV/](http://WWW.FAS.USDA.GOV/).

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

FY 2003 NFDN Section 416(b); Afghanistan- school milk program; Angola distribute to health centers for HIV/AIDS victims; Azerbaijan vaccination

program for all children; Peru direct feed and health & nutrition training.

CRITERIA FOR SELECTING PROPOSALS:

Proposal Evaluation Criteria Organizational capacity; Substance of activities; Appropriateness of commodities and countries; Quality of Proposals.

10.608 FOOD FOR EDUCATION**FEDERAL AGENCY:**

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Farm Security and Rural Investment Act of 2002.

OBJECTIVES:

The key objectives of the FFE program are to reduce hunger and improve literacy and primary education, especially for girls. By providing school meals, teacher training, and related support, FFE projects will help boost school enrollment and academic performance. At the same time, nutrition programs will be offered for pregnant women, nursing mothers, infants, and pre-school youngsters to sustain and improve the health and learning capacity of children before they enter school.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

The activities under the program must be in accordance with the terms of the program.

Applicant Eligibility:

A Cooperating Sponsor may be either: (a) A foreign government; (b) An entity registered with the Agency for International Development (AID) in accordance with AID regulations; or (c) An entity that demonstrates to CCC's satisfaction: (1) Organizational experience and resources available to implement and manage the type of program proposed, (2) Experience working in the targeted country; and (3) Experience and knowledge on the part of personnel who will be responsible for implementing and managing the program. CCC may require that an entity submit a financial statement demonstrating that it has the financial means to implement an effective donation program. 7CFR 1599.3.

Beneficiary Eligibility:

The FFE program will focus on developing countries. The national government of the recipient country is fully committed to achieving the goals of the World Declaration on Education for All and should be taking steps to raise nutritional standards and improve the quality and availability of education.

Credentials/Documentation:

The program introduction shall include information about the organization's past food aid activities with particular emphasis on school feeding, maternal child health or other relevant development activities, its experience with the country where the program is proposed, and any other relevant information to demonstrate its capability to implement the program in the country, with particular emphasis on the organizations ability to identify and assess the needs of beneficiaries, especially malnourished or undernourished mothers and children who are 5 years of age or younger, and school-age children who are malnourished, undernourished, or do not regularly attend school. 7 CFR 1599.4(b)(1).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

7 CFR 1599.4 - SF424 Program Introduction Plan of Operation Budget Proposal.

Award Procedure:

Application deadline is included in the announcements on the FAS website.

Deadlines:

About 60 days.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 1-3 years.

Reports:

The agreements and regulations require semi-annual reports for commodity logistics and the use of proceeds from the sale of the commodities 7 CFR 1599.15. Organizations must submit quarterly financial reports for all funds advanced and all interest earned 7 CFR 1499.7.

Audits:

Non-governmental cooperating sponsors are subject of the audit requirements of OMB circular A-133 as implemented in USDA by 7 CFR Part 3052. Audits of States, Local Governments and Non-profit organizations. The cooperating sponsor is also responsible for auditing the activities of recipient agencies that receive more than \$25,000 of provided commodities or sale proceeds. 7 CFR

1599.16.

Records:

The Cooperating sponsor shall maintain records for a period of three (3) years from the date of export of the commodities that accurately reflect the receipt and use of the commodities and any proceeds realized from the sale of commodities. 7 CFR 1599.15(a)

Account Identification:

12-4336-0-3-999.

Obligations:

(Grants) FY 07 \$98,000,000; FY est 08 \$98,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1599.

Regional or Local Office:

None.

Headquarters Office:

Director Food Assistance Division, Foreign Agricultural Service, Department of Agriculture, 1400 Independence Ave., S.W., Stop 1034; Washington, DC 20250-1034. Telephone: (202) 720-4221.

Web Site Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Improve food security; Reduce hunger; Improve education; 96 Maternal-child health programs; Government's commitment/action to improve school system; and, Graduate to long-term sustainability.

10.609 TRADE ADJUSTMENT ASSISTANCE

(TAA)

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Trade Act of 1974, as amended, Trade Act of 2002.

OBJECTIVES:

Under the program, the Department of Agriculture (USDA) provides technical assistance and cash benefits to eligible farmers and fishermen if increased imports have contributed importantly to a price decline of at least 20 percent. Technical assistance under the program can provide access to a wide variety of resources from USDA's Cooperative State Research, Education, and Extension Service (CSREES), in partnership with a county Extension Service, to assist producers in exploring alternative crops and marketing techniques. The program's goal is to help producers respond proactively to import competition through training, cash benefits, and employment services.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

This program makes payments to eligible farmers, ranchers, fish farmers, and fishermen competing with imported aquaculture products.

Applicant Eligibility:

USDA's FAS will certify producers eligibility for adjustment assistance if they can demonstrate that their prices are less than or equal to 80 percent of the national average price during the previous 5 marketing years and that increases in imports of like or competitive products "contributed importantly" to the decline in prices. They may then apply individually for benefits. Once a petition has been certified, an applicant is eligible for TAA benefits if: (1) the applicant is an owner, operator, landlord, tenant, or sharecropper who shares in the risk of producing a crop and who is entitled to share in the crop availability for marketing from the farm, or qualified fishermen; (2) they are a producer of a raw agricultural commodity, including livestock, fish, and aquaculture products.

Beneficiary Eligibility:

Producers of raw commodities who have been adversely affected by import competition and are covered by a certification of eligibility.

Credentials/Documentation:

When applying, producers must provide documentation that they produced the commodity during the most recent marketing year. To be eligible for cash payments, producers must certify that their net income from farming, aquaculture or fishing has declined, from the pre-adjustment year, and that they have received their free technical assistance from the Extension Service. Even if eligible for benefits under multiple petitions, a producer may receive no more than \$10,000 per year in TAA cash payments. Producers may receive no more than \$65,000 in combined counter-cyclical and TAA payments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A group of producers or an association representing a group of producers must first file a petition with USDA's FAS to establish eligibility for assistance. Petitions may be filed by a group of three or more producers or an authorized representative. The TAA petition form may be found on the Internet at <http://www.fas.usda.gov/taafas0930.pdf>. A copy may also be obtained from a USDA Farm Service Agency county office, or requested by phone at (202) 720-2916 or by writing to USDA, Foreign Agricultural Service, Trade Adjustment Assistance, STOP 1021, 1400 Independence Avenue, SW, Washington, DC 20250-1021. The TAA regulation defines articles like or directly competitive as products falling generally under the same number in the Harmonized Tariff Schedule of the United States (HTS). Links to the HTS and a U.S. trade database are in the TAA Library. When preparing petitions, producers are encouraged to seek advice from the Trade Adjustment Assistance Office regarding how best to identify their commodity by HTS number. After a petition is certified, eligible producers who apply are eligible to receive trade adjustment technical assistance from the Extension Service at no cost. The Extension Service will provide information regarding the feasibility and desirability of substituting one or more alternative commodities and improve the competitiveness of the production and marketing of the adversely affected commodity. Furthermore, to qualify for a TAA cash payment, producers must complete Form FSA-229, meet with the county Extension Service, and submit all supporting documentation by September 30.

Award Procedure:

FAS determined the eligibility of producers of raw commodities who have been adversely affected by import competition based on all information and supporting documentation provided. The Extension Service will make available to all producers who apply for TAA free technical assistance within 180 days of the petition certification date. The Extension Service will contact applicants with the times and dates of scheduled training sessions. Once an applicant has met all program requirements, they will receive a cash benefit based on the certified payment rate times the amount of commodity marketed in the approved marketing year. The Farm Service Agency will make a direct deposit payment to the eligible applicant's checking account. Then, FAS will compute the amount of cash payment for certified eligible producers. The Farm Service Agency (FSA) or National Payment Service (NPS) will make direct deposit payments to certified eligible producers checking accounts. The maximum cash benefit is up to \$10,000 per year. Applicants may receive less, if total applications exceed TAA funding levels.

Deadlines:

Use the link to access TAA Petition Registry in order to find out the filing date, approval date, and application period end date for each type of eligible commodity per impacted area <http://www.fas.usda.gov/itp/taafas/registry.htm>. A certified eligible producer must apply for adjustment assistance within 90 days after the eligibility certification approval date. FSA county offices can help producers prepare and submit their applications. To qualify for a TAA cash payment, producers must complete Form FSA-229, meet with the county Extension Service, and submit all supporting documentation by September 30.

Range of Approval/Disapproval Time:

Petition filing to establish eligibility, on the average, will take 40 days from the date that the petition is accepted and published in the Federal Register. The Extension Service will make available to all producers who apply for TAA free technical assistance within 180 days of the petition certification date.

Appeals:

Any person may obtain reconsideration and review of determinations made with respect to applications for program benefits by filing an appeal with the U.S. International Trade Court.

Renewals:

TAA may be available in subsequent years if imports keep increasing and producer prices remain below the 80-percent threshold.

Formula and Matching Requirements:

An eligible applicant will receive a monetary cash benefit using this formula, the cash benefit will be equal to the certified payment rate times the amount of eligible commodity marketed in the approved marketing year. Payment limitation of \$10,000 exists for applicants who have received prior TAA payments in the same fiscal year or have received \$65,000 in counter cyclical payments. Monetary cash benefits may also be less than the computed amount if total TAA payments exceed available funds.

Length and Time Phasing of Assistance:

Payment is made by direct deposit after determining applicant eligibility.

Reports:

None.

Audits:

At all times during regular business hours, authorized representatives of FSA, the United States Department of Agriculture, or the Comptroller General of the United States shall have access to the premises of the producer in order to inspect, examine, and make copies of the books, records, and accounts, and other written data as specified. Audits of certifications of average adjusted gross income may be conducted as necessary to determine compliance with

established requirements. As a part of this audit, income tax forms may be requested and if requested, must be supplied. If a producer has submitted information to FSA, including a certification from a certified public accountant or attorney, that relied upon information from a form previously filed with the Internal Revenue Service, such producer shall provide FSA a copy of any amended form filed with the Internal Revenue Service with 30 days of the filing.

Records:

Persons making application for benefits under this program must maintain accurate records and accounts that will document that they meet all eligibility requirements specified herein, as may be requested by FSA. Such records and accounts must be retained for 2 years after the date of the final payment to the producer under this program.

Account Identification:

12-4336-0-4-350.

Obligations:

(Funds transferred from FAS to FSA for direct deposit payments) FY 07\$90,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The maximum cash benefit is up to \$10,000 per year. In some years, producers may receive less, if producer demands for TAA exceed funding levels.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1580.

Regional or Local Office:

Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/edso/statedefault.htm>.

Headquarters Office:

Department of Agriculture, Foreign Agricultural Service, Trade Adjustment Assistance, STOP 1021, 1400 Independence Avenue, SW, Washington, DC 20250-1021, Telephone: (202) 720-2916.

Web Site Address:

<http://www.fas.usda.gov/itp/taa/taaindex.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers of an eligible commodity identified by HTS number, which has recently suffered from low prices due to increasing imports, and who meet eligibility requirements may receive assistance. Applications are non-competitive.

10.652 FORESTRY RESEARCH

(Research Grants & Agreements)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1643.

OBJECTIVES:

To extend fundamental research activities of the Forest Service by awarding grants to nonprofit organizations, institutions of higher education, and organizations engaged in renewable resources research.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants will be used for research in the fields of forest management, watershed management, forest range management, wildlife habitat management, forest recreation, forest fire protection, forest insect and disease protection and control, forest products utilization, forest engineering, forest production economics, forest products marketing, forest survey, urban forestry, wilderness, and social/cultural influences. Indirect expenses, when permitted by statute, will be reimbursed according to the negotiated indirect cost rate established by the Government's cognizant audit agency. Grants are limited to 5 years duration.

Applicant Eligibility:

Grants for basic or applied research may be made to State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

The principal investigator must document competence in the field of the

research proposed. Costs will be determined in accordance with OMB Circular No. A-87, A-21, or A-122, Cost Principles for State and Local Governments, Cost Principles for Educational Institutions, or Cost Principles for Nonprofit Organizations.

Preapplication Coordination:

Standard Form 424 (SF-424) will be used. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A complete research proposal must be submitted following guidelines available in each of the offices referred to below. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included. This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, 7 CFR 3015, and 7 CFR 3019.

Award Procedure:

All research grant proposals must compete for priority, showing technical competence and demonstrating the ability to meet national or regional needs. Research grants are made only in support of forestry research. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Applicants may contact the Research Stations for deadlines.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Contact the Research Station for guidance.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds.

Length and Time Phasing of Assistance:

One to 5 years. Billings for expenses incurred may be submitted monthly, quarterly, or semi-annually.

Reports:

Technical reports of progress are required annually; a technical final report is also requested upon completion of project. Fiscal reports are required at least semiannually.

Audits:

The Comptroller General of the United States, his representative, or auditors of the cognizant audit agency for the educational institution involved shall have access at any reasonable time to the laboratories, offices, or other facilities of the recipient for purposes of review and observation of the status and progress of the sponsored project. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. OMB Circular No. A-133, Audits of States, Local Governments and Non-profit Organizations, is applicable.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1104-0-1-302.

Obligations:

FY 07 \$14,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$300,000. Average: \$35,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87, as implemented by USDA Regulation 7 CFR Part 3016, are applicable to State and local government grantees. OMB Circular Nos. A-110 and A-21 are applicable to educational institutions. OMB Circular Numbers A-110 and A-122, as implemented by USDA Regulation 7 CFR Part 3019, are applicable to nonprofit organizations.

Regional or Local Office:

Initial contact for grants shall be made to the Directors of the Research Stations, or the Forest Products Laboratory as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Chief for Research and Development, Forest Service, Department of

Agriculture, P.O. Box 96090, Washington, DC, 20090-6090. Telephone: (202) 205-1075.

Web Site Address:

<http://www.fs.fed.us/links/research.html>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service.

EXAMPLES OF FUNDED PROJECTS:

Examples of executed grants are: effects of prescribed fire on nutrient cycling in ponderosa pine forests; design and test of a proposed experimental system for continuous press drying of paper; and biological decay of logging residues.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis by Research Station Directors to meet Regional or National Research needs.

10.664 COOPERATIVE FORESTRY ASSISTANCE

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313; Food, Agriculture, Conservation, and Trade Act of 1990, as amended, Public Law 101-624.

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management; the encouragement of the production of timber; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues, including the recycling of wood fiber; the improvement and maintenance of fish and wildlife habitat; and the planning and conduct of urban and community forestry programs.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

To assist State Forester or equivalent agencies in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds; producing and distributing tree seeds and seedlings; reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; Development and transfer of new and improved fire control technologies; organization of shared fire suppression resources, and achievement of more efficient State fire protection; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; and technology transfer.

Applicant Eligibility:

State Forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Landowners of nonfederal lands; rural community fire fighting forces; urban and municipal governments, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 7 CFR Part 3016.

Preapplication Coordination:

An environmental impact statement is required for this program whenever pesticides are to be used. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program (i.e., SF-424). To participate in the Forest Legacy Program interested States must prepare an Assessment of need document for approval by the Secretary of Agriculture.

Application Procedure:

Not applicable. Contact Regional or Area Office listed in Appendix IV of the Catalog.

Award Procedure:

Established by the Regional Office.

Deadlines:

States and eligible applicants are requested to submit Form SF-424(A) with SF 424 application and other required forms as advised by the Regional Office within 10 to 60 days.

Range of Approval/Disapproval Time:

From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

None.

Renewals:

Amend SF-424(A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional Office.

Formula and Matching Requirements:

Statistical factors for eligibility do not apply to this program. The amount reimbursed by the Federal Government shall not exceed the amount expended by a State grantee or grantee for all programs except those covered by Section 11 of Public Law 95-313. Cost-sharing ratios for Section 11(a) Management Assistance and Section 11(c), Technology Implementation programs shall be negotiated. Section 11(b), Forest Resource Planning program funds require 20 percent matching by the State. Amount of grant based on negotiated targets to be achieved.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged.

Reports:

Periodic and annual accomplishment reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Grants) FY 07 \$40,069,200; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average: \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Land owners enrolled in forest stewardship programs: 2001 actual 13,919; 2001 estimate 18,604,000; and 2003 estimate, 36,525,000. Acres of forest stewardship plans: 2001 actual 1,437,000; 2001 estimate 1,916,000; and 2003 estimate 3,914,000. Urban communities assisted: 2001 actual 10,785,00; 2002 estimate 10,785,000 and 2003 estimate 23,356,000. Acres protected from fire: 2000 estimate, 1,050,000; 2002 estimate 1,050,000; and 2003 estimate 1,050,000. Acres of forest insect and disease surveys: 2001 estimate, 575,000,000; 2002 estimate 575,000,000; and 2003 estimate 575,000,000. Acres of insect and disease suppression: 2001 estimate 1,500,000; 2002 estimate 1,500,000; and 2003 estimate 1,500,000. The number of applications received and funded is not available without an additional data gathering effort from among field line units. Grants are not competitive, but are of a continuing nature, annually renewable, and based on negotiated targets. The total percentage of applications received and funded was 100.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Titles 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, and 3900 available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees.

Regional or Local Office:

Refer to Appendix IV of the Catalog for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

Headquarters Office:

Deputy Chief, State and Private Forestry, Forest Service, Department of Agriculture, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-1657.

Web Site Address:

<http://www.fs.fed.us/spf/coop>.

RELATED PROGRAMS:

10.064, Forestry Incentives Program; 10.670, National Forest_Dependent Rural Communities; 10.901, Resource Conservation and Development.

EXAMPLES OF FUNDED PROJECTS:

Funding is for programs by State Forestry Agencies and other as set forth in

USES AND USE RESTRICTIONS:**CRITERIA FOR SELECTING PROPOSALS:**

Most grants are approved on the basis of negotiated targets or objectives to be achieved. However, grants to rural communities are based on regional and area criteria for meeting the needs and opportunities of the communities. UCF community grants are based on local needs and resources. A specific project focus may be developed by State Urban Forestry Councils.

10.665 SCHOOLS AND ROADS-GRANTS TO STATES

(25 Percent Payments to States)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

16 U.S.C. 500.

OBJECTIVES:

To share receipts from the National Forests with the States in which the National Forests are situated.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Forest is situated.

Applicant Eligibility:

Grant recipients must be States (including commonwealths) or territories of the United States containing National Forest land.

Beneficiary Eligibility:

States and territories.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

None. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount paid shall represent 25 percent of all moneys received during the preceding fiscal year from each National Forest. When a National Forest is in more than one State, territory, or county, the distributive share to each shall be proportional to its area therein. The term "moneys received" includes: 1. all collections under the Act of June 9, 1930 (Knutson-Vandenberg Act); and 2. all amounts earned by or allowed to purchasers of National Forest timber and other forest products, within such State, as purchaser credits for the construction of roads on the National Forests Transportation System within such National Forests or parts thereof in connection with any Forest Service timber sales contract, as well as direct receipts from the sale of forest products, minerals, and land use.

Length and Time Phasing of Assistance:

Approximately 75 percent of the estimated fiscal year's payment will be made on or about October 1 of each year. The final payment will be made on or about December 1 of each year.

Reports:

Not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

12-9921-0-2-806.

Obligations:

(Grants to States) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$35 to \$161,888,715.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Fiscal branch of appropriate Forest Service Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Acquisitions Management, Grants and Agreements, Forest Service, USDA, Room 706 RPE, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (703) 605-4776.

Web Site Address:

<http://www.fs.fed.us>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.666 SCHOOLS AND ROADS-GRANTS TO COUNTIES

(Payments to Counties)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

7 U.S.C. 1012.

OBJECTIVES:

To share receipts from National Grasslands and Land Utilization Projects with the counties in which the National Grasslands and Land Utilization Projects are situated.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To be used for school or road purposes or both.

Applicant Eligibility:

Counties within the States of the United States containing National Grasslands or Land Utilization Projects.

Beneficiary Eligibility:

Counties within the States of the United States containing National Grasslands or Land Utilization Projects.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

None. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount paid shall represent 25 percent of the net revenues received from the use of the land during the preceding calendar year.

Length and Time Phasing of Assistance:

The full 25 percent payment will be made to the county treasurers on or about March 31 of each year.

Reports:

Not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

12-9921-0-2-806.

Obligations:

(Formula Grants) FY 07 \$6,287,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5 to \$1,706,756. Average: \$69,104.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Fiscal Branch of appropriate Forest Service Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robbie E. Chishon, Director of Procurement and Property, Forest Service, USDA, Room 706 RPE, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (703) 605-4662.

Web Site Address:

<http://fs.fed.us>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.670 NATIONAL FOREST-DEPENDENT RURAL COMMUNITIES

(Economic Recovery)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Forest Dependent Rural Communities Economic Diversification Act of 1990, Section 2371, Subtitle G, Chapter 2, Section 2373, Public Law 101-624, 7 U.S.C. 6611, as amended.

OBJECTIVES:

Provide accelerated assistance to rural communities faced with acute economic problems associated with Federal, State or private sector resource management decisions and policies and that are located in or near a national forest and are economically dependent upon forest resources. Aid is extended to these rural communities to help them develop strategic action plans to diversify their economic base and to improve the economic, social, and environmental well-being of rural areas.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Training.

USES AND USE RESTRICTIONS:

Eligible economically disadvantaged rural areas may request assistance in identifying opportunities that will promote economic improvement, diversification and revitalization. Assistance is coordinated through a community action team and plan. Programs may include upgrade of existing industries, development of new economic activity in non-forest related industries, technical assistance, training and education directed towards meeting the community's planned goals. Assistance requested will be coordinated with other USDA agencies and targeted to provide immediate help to those rural communities in greatest need. Grants and technical assistance are available to those rural communities meeting the eligibility requirements.

Applicant Eligibility:

Applicant must be a general purpose local government or tribe represented by a nonprofit corporation or institution under State or Federal law to promote broad based economic development having a population of not more than 10,000; or any county that is not contained within a metropolitan statistical area. In both cases, the county must derive 15 percent or greater primary and secondary labor and proprietary income from forestry and forest related industries, such as recreation and tourism, range, minerals, and wildlife. In all cases, the community or county must also be within 100 miles of the boundary of a national forest. Communities or counties associated solely with national grasslands are not eligible. Individual businesses are not eligible for direct assistance.

Beneficiary Eligibility:

Rural communities as defined in the Law.

Credentials/Documentation:

When eligibility criteria are met, communities may request assistance in identifying opportunities and implementing action that will promote economic, social, and environmental well-being, including diversification and sustainable development.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable. Contact Regional or Local Office listed in Appendix IV of the Catalog. No community grants are reviewed or awarded at the National Office.

Award Procedure:

Established by the Regional Office.

Deadlines:

Communities are requested to submit form SF-424(A) with SF-424 application and other required forms as advised by the Regional Office.

Range of Approval/Disapproval Time:

The maximum is 60 days.

Appeals:

Not applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the allotment amount or length of commitment. Other requirements may be established by the regional office.

Formula and Matching Requirements:

The Federal contribution to the overall implementation of an action plan shall not exceed 80 percent of the total cost of the plan, including administrative and other costs.

Length and Time Phasing of Assistance:

Financial assistance will support planned action by the community to diversify the local economy and to improve their social, economic, and environmental well-being. Limitation on length of funded projects will be established at the Regional Office.

Reports:

Periodic and final reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconcile. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000 to \$30,000.

PROGRAM ACCOMPLISHMENTS:

Since the initiation of the Economic Recovery Program, direct assistance has grown from helping 125 eligible communities in fiscal year 1992 to over 800 in fiscal year 2001. Many of the local action plans include activities related to tourism, value-added natural resource enterprises, marketing, special forest products, or building community capacity through leadership or organizational development and training/education projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Implementation Guidelines available in all Forest Service offices. OMB Circular Nos. A-102 and A-87, are applicable and USDA Implementing Regulations 7, CFR 3016, 3017, 3018, and 3019.

Regional or Local Office:

Refer to Appendix IV of the Catalog for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

Headquarters Office:

Deputy Chief, State and Private Forestry, Forest Service, Department of Agriculture, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-1657.

Web Site Address:

<http://www.fs.fed.us/spf>

RELATED PROGRAMS:

10.664, Cooperative Forestry Assistance; 10.854, Rural Economic Development Loans and Grants; 10.901, Resource Conservation and Development; 11.303, Economic Development Technical Assistance; 11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation.

EXAMPLES OF FUNDED PROJECTS:

Training and placing out-of-work loggers into environmental restoration jobs in northern California, Oregon and Washington. Developing and implementing an ecosystem management plan which includes commercial opportunities for utilizing small diameter, second-growth pine in Montezuma, Delores, and La Plata counties in southwestern Colorado. Strategic planning resulting in maintaining the rural character, yet enhancing social and economic benefits of community change in Wakulla County, Florida. Local development and construction of a riverfront park in Libby, Montana. Establishment of the Forest Technology and Training Institute in Clallum County, Washington. Increasing awareness and development of non-farm industries in the historically agriculturally based area around Rust College, Mississippi, with a special

emphasis on recreation and tourism opportunities as well as value-added forest products. Ecotourism training and small business management in Newton County, Arkansas. Establishing state-of-the-art computer technology in Wasatch County, Utah, to help revise the master plan.

CRITERIA FOR SELECTING PROPOSALS:

Regional Foresters and the Area Director are given the flexibility to make funding decisions based on their own understanding of community and regional priorities and in consultation with others, such as State, tribal and local agencies and organizations.

10.671 SOUTHEAST ALASKA ECONOMIC DISASTER FUND

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Title II, Sections (a) through (a)(4), Public Law 104-134, as amended; Public Law 106-113, as amended.

OBJECTIVES:

To counter the effects of the declining timber program of the Tongass National Forest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To employ former timber workers and for related community development projects.

Applicant Eligibility:

Local communities/boroughs named in Public Law 104-134.

Beneficiary Eligibility:

Local communities/boroughs named in Public Law 104-134.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The payments to a named governmental entity are determined as stated in Public Law 106-113, as amended.

Length and Time Phasing of Assistance:

Awards are made at the beginning of the fiscal year.

Reports:

The recipient must be able to report on the fund expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient must make complete financial records available to Federally appointed persons.

Account Identification:

12-1108-0-1-451.

Obligations:

(Direct payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported. (Note: Per the authorizing legislation, this program ends with the fiscal year 2003 payments.)

Range and Average of Financial Assistance:

\$500,000 to \$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Steve Bush, USDA Forest Service, 3301 C Street, Suite 522, Anchorage, AK 99503-3956, Telephone: (907) 271-2519.

Headquarters Office:

Not applicable.

Web Site Address:

http://fs.fed.us.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.672 RURAL DEVELOPMENT, FORESTRY, AND COMMUNITIES

(Rural Development Through Forestry)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Department of the Interior, Environment, and Related Agencies Appropriations Act, Public Law 106-291.

OBJECTIVES:

To help rural areas analyze and assess forest resource opportunities, maximize local economic potential through market development and expansion, and diversify communities' economic base.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others who are extending services to rural communities.

Applicant Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others working in support of community identified goals.

Beneficiary Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others. Forest Service Regions/Areas/Institutes are encouraged to further define program policies that focus resources to meet the regional, State and local needs of communities.

Credentials/Documentation:

Assistance is in response to a community or a group of communities' request for assistance in identifying opportunities and implementing action that will promote natural resource-based economic diversification and opportunities, technology transfer, market development and/or expansion.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable. Contact Regional or Local Office listed in Appendix IV of the Catalog. No community grants are reviewed or awarded at the National Office.

Award Procedure:

Established by the Regional Office in support of an overall regional strategic plan.

Deadlines:

Communities are requested to submit form SF-424 (A) with SF-424 application and other required forms as advised by the Regional Office.

Range of Approval/Disapproval Time:

The maximum is 60 days.

Appeals:

Not applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the awarded amount or length of commitment. Other requirements may be established by the Regional Office.

Formula and Matching Requirements:

The Federal contribution to the overall implementation of a planned project may have a matching requirement, but that match is negotiated. No statutory match.

Length and Time Phasing of Assistance:

Financial assistance may be a combination of different interests that seek to support planned action by the community or a group of communities to diversify the local economy and to improve its social, economic, and environmental well being. Limitation on length of funded projects will be established at the Forest Service administrative unit (generally, will not exceed 5 years).

Reports:

Periodic and final reports are required.

Audits:

In accordance with the provisions of 7 CFR Part 3052 which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," Nonfederal entities that expend

financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000 to \$50,000.

PROGRAM ACCOMPLISHMENTS:

A new electronic reporting system is under development at this time. No measures reported at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Implementation Guidelines available in all Forest Service offices. OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. USDA Implementing Regulations 7 CFR 3015, 3016, 3017, 3018, and 3019 also apply depending on the type of recipient. Legislative history found in Senate and Conference reports 1988, 1989, and 1990 and Forest Service revised guidelines (May 2000).

Regional or Local Office:

Refer to Appendix IV of the Catalog for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

Headquarters Office:

Telephone: (202)205-1657/USDA Forest Service, Washington, DC.

Web Site Address:

<http://www.fs.fed.us/spf/coop/programs/eap/index.shtml>

RELATED PROGRAMS:

10.664, Cooperative Forestry Assistance; 10.854, Rural Economic Development Loans and Grants; 10.901, Resource Conservation and Development; 11.303, Economic Development Technical Assistance; 11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 10.670, National Forest Dependent Rural Communities.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 1999-2000, Catron County Citizens group, NM, developed a forest restoration plan for the Negrito Ecosystem, created a local Economic Development plan, and established a log sort yard and business incubator. New Mexico State University Advanced Manufacturing Center, NM, assisted P&M Signs of Mountainair in the design and construction of prototype equipment for producing juniper/plastic composite materials to be used in construction and sign applications. Painted Sky RC&D, CO, worked with Delta Timber to conduct an economic feasibility test with 4X4-inch width materials for end and edge glueing into wider and longer materials suitable for manufacture of furniture and cabinets. Utah State Rural Development Council, UT, worked with the Southern Utah Forest Products Association to build skills and cooperative marketing strategies for producers of value-added small diameter wood products, as well as to make connections to regional, national, and international markets. Office of Community Services, Fort Lewis College (regional), is developing an evaluation program for Four Corners Partnership projects in forestry in order to describe and assess project outcomes. Washington Department of Natural Resources and the Washington Hardwoods Commission are working together to establish policies for conservation of the State's hardwood resources. The secondary wood products industry in Oregon was surveyed to determine what specific types of training were needed to assist in improving the skills and education of workers in the industry, leading to development of curricula later offered by the State's community college system. Overall, 1,500 communities and 1,000 organizations assisted in fiscal year 1997; 2,400 communities and 1,200 organizations assisted in fiscal year 1998; and 2,200 communities and 1,000 organizations assisted in fiscal year 1999.

CRITERIA FOR SELECTING PROPOSALS:

Regional/Area/Institute administrative units are given the flexibility to make funding decisions based on their specific community and regional priorities documented in a regional strategy for their respective administrative areas. These overall strategies are identified through input by States, tribal nations, local agencies and organizations.

10.674 FOREST PRODUCTS LAB: TECHNOLOGY MARKETING UNIT (TMU)

(TMU Biomass Grant/Assistance Program)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Appropriation through 2005 Omnibus Bill, Public Law 108-447; Public Law 108-148.

OBJECTIVES:

Increase the amount of biomass used from the National Forest and associated lands involved with hazardous fuel reduction activities. The program will be designed to assist small forest products businesses, State, local and Tribal governments, school districts, communities, entrepreneurs, non-profits, special purpose districts (i.e., public utilities districts, fire districts, conservation districts, or ports), companies, and corporations turn small diameter and underutilized wood species into marketable forest products, including biomass energy. Accelerate adoption of technologies using biomass and small diameter materials to create community-based enterprises through marketing activities and demonstration projects and establish small-scale business enterprises to make use of biomass and small-diameter materials. The public goal is to reduce the cost per acre of hazardous fuel treated needed to protect communities from wildland fire and improve the overall health of the nation's forest. Program may include: (1) development of potential new products; (2) projects that showcase innovative uses for small diameter and low-valued hardwoods and softwoods; and (3) reducing the challenge to economic and market barriers to the use of the material (4) provide seed money and gap funding (5) facilitating creation/expansion of harvesting/processing/transporting enterprises around wildland urban interface areas.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Must significantly tie to National Forest System land hazardous fuels treatment projects. No land acquisition or construction.

Applicant Eligibility:

Entities eligible include: Non-profits, local, state, and Tribal governments, business, companies, corporation, (for Profit), special purpose districts, (public utilities districts, fire districts, conservation districts, or port). Land treatment must be adjacent National Forest System lands and may include other lands as part of the treatment activities.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program (i.e., SF-424). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Procedures for submitting grant application can be found on www.fpl.fs.fed.us/tmu or contact the Program Manager as listed under Information Contacts. Submit a narrative description along with the appropriate forms listed in 091, budget sheet, and budget justification.

Award Procedure:

Nationally competitive, merit-based.

Deadlines:

Please contact the program contact listed in the Information Contacts or Headquarters Office section below.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time: 160 days after the date of closure on a Request for Proposals.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

Applicants must demonstrate a 20 percent cost share from non-Federal sources, which can include in-kind contributions. In-kind, or non-cash contributions, must be reasonable, allowable, and the recipient must be able to demonstrate in the application that all costs can be shown as actual costs to the recipient in performing the project. i. All unit costs must be clearly identified in the budget justification and must be allowable, reasonable, and auditable. ii. Funds paid by the Federal government under another award, unless authorized by Federal statute, may not be used for cost sharing or matching.

Length and Time Phasing of Assistance:

As determined by project, no longer than 3 years.

Reports:

Reports: Grants quarterly financial and semi-annual performance report required.

Audits:

Grant recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Grant records will be maintained for 5 years after expiration date.

Account Identification:

11-4011-0-1-302.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: \$50,000 to \$250,000 per grant.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations.

Regional or Local Office:

Local contact: List S&PF regional contacts which reference to table as in the past.

Headquarters Office:

Technology Marketing Unit, State and Private Forestry, Forest Service, Forest Products Laboratory, One Gifford Pinchot Dr., Madison, WI 53726-2398, (608) 231-9200.

Web Site Address:

<http://www.fpl.fs.fed.us/tmu> (grants.gov).

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None, this is a new Program.

CRITERIA FOR SELECTING PROPOSALS:

Grants are approved on the basis of a nationwide review and are based on technical and financial merits of the project as evaluated by a panel of Federal, State, and local business experts and peers. Criteria include showing a demonstrated ability to use biomass from hazardous fuels reduction/treatment projects on and near National Forest Lands.

10.675 URBAN AND COMMUNITY FORESTRY PROGRAM

(UCF)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 92 Stat. 365, 16 U.S.C. 2102 et seq. (As Amended Through Public Law 107-195, June 16, 2002) Section 9 (16 U.S.C. 2105) Urban and Community Forestry Assistance.

OBJECTIVES:

To plan for, establish, manage and protect trees, forests, green spaces and related resources in and adjacent to cities and towns to improve urban livability; to link governmental, private and grassroots organizations and resources to address environmental issues at the local, regional and national level; to engage people in citizen-based, grassroots volunteer efforts to assist in retaining and protecting their natural environment to provide a balance between quality of life and land consumption associated with urban sprawl; and to improve the ecological function, and social and economic stability of cities and communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To assist State Foresters, equivalent State agencies, interested members of the public and private non-profit organizations in urban and community forestry programs in cities and communities.

Applicant Eligibility:

State Foresters or equivalent State agencies, interested members of the public, private non-profit organizations and others. All States, as well as the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa and Guam, and other territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters or equivalent State agencies, local governments, tribes, and non-profit organizations, and other State, local and private agencies acting through State Foresters, equivalent State agencies or other official representatives as agreed by the State Forester, equivalent State agency, and the Secretary.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 For State and local governments, as implemented by 7 CFR Part 3016.

Preapplication Coordination:

Regional/Area/IITF Offices provide States and territories with program funding advice each year. This program is excluded for coverage under E.O. 12372.

Application Procedure:

Contact Regional, Area or Institute Office listed in Appendix IV of the Catalog http://www.fs.fed.us/ucf/Regional_Offices.htm.

Award Procedure:

Established by the Regional, Area and Institute offices. http://www.fs.fed.us/ucf/Regional_Offices.htm.

Deadlines:

Contact Regional, Area or Institute Office listed in Appendix IV of the Catalog http://www.fs.fed.us/ucf/Regional_Offices.htm.

Range of Approval/Disapproval Time:

Established by the Regional, Area, and Institute offices.

http://www.fs.fed.us/ucf/Regional_Offices.htm.

Appeals:

Not applicable.

Renewals:

Amended SF-424 (A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional, Area or Institute offices.

Formula and Matching Requirements:

Statistical factors for eligibility do not apply to this program. Funds reimbursed by the Federal Government may not exceed 50 percent of the total project budget expended by a grantee. The non-Federal share of the match may be in the form of cash, services or in-kind contributions.

Length and Time Phasing of Assistance:

Electronic transfer of payments are encouraged. Grants are normally awarded for a period of 12 months. A no cost extension justification must be received and approved by the Forest Service.

Reports:

Each State forestry agency or equivalent is required to submit annual accomplishment reports on the program, and have an approved 5-year strategic plan.

Audits:

Use of all funds will have to meet Federal and State audit requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

FY 07 \$30,130,000; FY 08 est \$17,436,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 2006 and FY 2007, 6,364 and 5,800 communities received technical assistance, respectively. In 2006, 164,136,406 people lived in communities that had received program assistance and that were managing or developing urban forestry capacity according to accepted national performance measures: (1) hired professional staff, (2) adopted tree ordinances and policies, (3) implemented an urban forest management plan, and 4) established a local advocacy/advisory organization.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees. Available online at: <http://www.whitehouse.gov/omb/circulars/index.html>.

Regional or Local Office:

For Forest Service, State and Private Forestry office addresses and telephone numbers. Visit: http://www.fs.fed.us/ucf/Regional_Offices.htm.

Headquarters Office:

Deputy Chief, State and Private Forestry, Forest Service, Department of Agriculture, 1400 Independence Ave., SW, Mailstop: 1123, Washington, DC 20250-1123 . Telephone: (202) 205-1657.

Web Site Address:

<http://www.fs.fed.us/ucf/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funding is for programs by State Forestry Agencies and others as set forth in Uses and Use Restrictions. Examples include but are not limited to tree inventories, tree planting, training and education for proper tree care, establishment of urban foresters in communities, etc. For examples of current and completed projects, see the Challenge Cost Share Program at: http://www.treelink.org/nucfac/general_info.html.

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources.

10.676 FOREST LEGACY PROGRAM

(FLP)

FEDERAL AGENCY:

DEPARTMENT OF AGRICULTURE, FOREST SERVICE;

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, 16 U.S.C. 2101 et. seq., as

amended by the 1990 Farm Bill, Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, 104 Stat. 3359, 16 U.S.C. 2103c; later amended by the 1996 Farm Bill, Federal Agricultural Improvement and Reform Act of 1996; Public Law 104-127; Title III, Conservation; Subtitle G Forestry; Section 374, Optional State Grants for Forest Legacy Program.

OBJECTIVES:

To effectively protect and conserve environmentally important forest areas that are threatened by conversion to nonforest uses, through conservation easements and other mechanism. The FLP is a voluntary private land conservation partnership between the Forest Service, participating States, land trusts, private landowners, and others. The Program works with State partners and operates on a willing seller and willing buyer basis and is completely nonregulatory in its approach. No eminent domain authority or adverse condemnation is authorized for this Program. Participating States are required to complete an Assessment of Need (AON), which analyzes the need and areas of priority for the program and describes how the program will be implemented within the State.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land or interests in land to achieve land conservation objectives and preparing and periodically updating a Forest Stewardship Management Plan or a multi-resource management plan.

Applicant Eligibility:

Projects are evaluated and prioritized by State lead agencies, in consultation with the State Forest Stewardship Coordinating Committees. All States, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, and Guam, and other territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

State agency, landowners of private forest lands, and land trust organizations.

Credentials/Documentation:

Each State must prepare an Assessment of Need (AON). This document analyzes the need for the program and describes how the program will be implemented within the State. The FS Regional, Area, or Institute Responsible Official must concur with the State's AON. Requirements for an eligible project are: (1) project is within an approved Forest Legacy Area and fits within the priorities of a State's AON; (2) an approved Forest Stewardship Plan or a multi-resource management plan for the project; (3) complies with FLP State and National criteria; (4) a completed grant application; (5) an approved appraisal, meeting Federal acquisition appraisal standards as outlined in the Uniform Appraisal Standards for Federal Land Acquisitions; (6) negotiated terms of a conservation easement, if applicable; and, (7) adequate non-Federal cost share.

Preapplication Coordination:

The participating State, private landowner, and participating land trust, when applicable, will negotiate the terms of the conservation easement or acquisition. Forest management activities, including timber management, may be allowed on tracts when consistent with the State AON and the purposes for tract acquisition. In addition, an initial appraisal of the property and a Forest Stewardship Plan should be initiated. This program is excluded from cover under E.O. 12372.

Application Procedure:

Application requirements must comply with the Code of Federal Regulations (7 CFR 3016), Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Individual participating States have application duties and procedures.

Award Procedure:

The Forest Service will conduct an annual project selection process to arrive at a prioritized national project list for inclusion in the Presidents budget. The Forest Service then issues grants to states for the project specific earmarks designated in the Congressional appropriations bills.

Deadlines:

States are required to submit Form SF-424 for each eligible project. An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Range of Approval/Disapproval Time:

An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal contribution cannot exceed 75 percent of the total project costs, and at least a 25 percent non-Federal cost share is required. The non-Federal cost share may consist of: funds, donations, land or interests in land, in-kind contributions, direct costs, indirect costs, and others as determined by the Forest Service. Funds will be provided to the States on a per-project basis, as determined by the annual Appropriations Law. The non-Federal cost share can occur at any phase of the project within the legal grant period, including planning, developing

future projects, acquisition, capital improvement, management, or administrative activities.

Length and Time Phasing of Assistance:

Assistance is provided via grants to States. Typical grant period is two years.

Grants can receive a no cost extension up to five years with justification and is approved by the Forest Service.

Reports:

States are required to submit annual progress reports on outstanding grants.

States are required to monitor properties with conservation easements. Project specific Forest Stewardship Plans are required to be established and updated periodically.

Audits:

Use of all funds will have to meet Federal and State audit requirements.

Records:

State Lead Agencies are required to retain complete grant files on each grant issued. States must report annual accomplishments data in the Forest Legacy Information System.

Account Identification:

12-1105-0-1-302.

Obligations:

FY 07 \$57,107,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Legacy Program Implementation Guidelines of 2003.

Regional or Local Office:

Refer to the web site below for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.
http://www.fs.fed.us/spf/coop/library/flp_region_coord.shtml.

Headquarters Office:

Forest Service, State and Private Forestry; Cooperative Forestry; 1400 Independence Avenue, SW; Stop Code 1123; Washington, DC 20250.
Telephone: (202) 205-1389.

Web Site Address:

<http://www.fs.fed.us/spf/coop/programs/loa/flp.shtml>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. Mt. Blue/Tumbledown, Maine - Hancock Timber Resources Group put on the open market 11,800 acres of forest land in western Maine, including the peak of Tumbledown Mountain, one of Maine's most popular recreation spots. In addition, another 10,000 acres surrounding Mt. Blue State Park was put on the market by another timber company, with a portion of it sold immediately to a liquidator who cleared all the timber and immediately subdivided it into 40 acre lots. Working with a Maine-based forest products company, Hancock Lumber, and the local community, the state of Maine secured the protection of Tumbledown's summit and purchased an easement on other lands that are now owned by Hancock Lumber, ensuring continued timber for its mill. All told, over 21,000 acres have been protected, ensuring continued public access to outstanding recreational lands and maintaining sustainable harvesting to support the economic backbone of western Maine. Forest Legacy funding of \$2.2 million was provided to help supplement private and state funding for this \$5.04 million project. 2. Mountains to Sound Greenway, Washington Forest Legacy funds helped to protect tracts critical to the scenic and ecologic integrity of this unique corridor from expanding development. Surrounded by protected land on two sides and rural development on the others, the Mountains to Sound Greenway and is highly visible from both Rattlesnake Ridge and I-90. The acquisitions preserved scenic values, habitat, wildlife corridors, water quality and working forest opportunities that would have otherwise been lost to private development. Individual tracts of the Mountains to Sound Greenway protected with Forest Legacy funds include Rattlesnake Ridge, Issaquah Creek and Lake Sammamish, critical in protecting local water quality and an important fishery and salmon rearing resource. The acquisitions were a locally-driven multifaceted effort by private, public, and non-profit initiative to protect targeted landscapes for multiple use management. 3. Anderson Tully, Tennessee - In 2001, Anderson Tully Company announced its intention to sell 11,807 acres of unleased, bottomland hardwood forest on the Mississippi River in Lauderdale County, Tennessee. Because of the company's excellent forest stewardship record and long history of cooperation with the state in making the land available for public access and hunting - the property was extremely attractive to both forestry and wildlife agencies in the state. The most likely alternative for this property was purchase by private interests and loss of public access. The Nature Conservancy worked with the state agencies and Anderson Tully to negotiate a \$15 million purchase price, \$1 million less than the appraised value of the property. Without Forest Legacy, this project would not have been possible. The Forest Legacy program contributed \$8 million, which was matched by contributions from The Nature Conservancy, the Wild Turkey Federation, state Wetlands Acquisition

Fund, and the North American Wetlands Conservation Program, the state Natural Areas Program - to name a few - and enjoyed strong support of both the Tennessee and Mississippi congressional delegations. Because of the work of this partnership the Anderson Tully property - now known as the John Tully Wildlife Management Area - will remain open for public access, Forest Stewardship Council certified timber management will be conducted by TN Division of Forestry at a demonstration forest on a portion of the property, and high-value waterfowl habitat on the Mississippi River will be protected on into the future. 4. Peaceful Valley Ranch, Utah - Just one mile downstream from the East Canyon Reservoir, this area serves as a source of drinking water for seven counties in the Weber Basin Water District. All told, 16,000 acres of critical watershed lands have been protected Through this project. The landowners of this 7,300 acre ranch faced advancing age, declining income and development pressure in this beautiful area just east of the growing Salt Lake City region. Working with the state of Utah, the MacFarlane family sold at a significantly reduced price an easement over the entire ranch that ensures public access, prevents development, protects drinking water supplies and keeps this longtime family working the land. This project also leveraged a donation to the state of Utah of an easement valued at \$8 million on an 8,800 acre property owned by another part of the MacFarlane family. Forest Legacy funding of \$900,000 was used to leverage state and private funds and the donated easement for this \$15 million project. 5. Green Cathedral, Maryland The town of Crownsville, just outside of Annapolis, is home to the largest undeveloped forested parcel on the Severn River. The Green Cathedral property is 292 acres of important fish spawning and waterfowl nesting areas, and its forests provide the natural process of filtering water that drains into the Severn River and the Chesapeake Bay. Prior to acquisition, the tract was slated for residential development, but the developer agreed to terminate the contract if a buyer for an easement could be found. Forest Legacy funds contributed \$650,000, to be combined with local resident donations, to preserve this critical watershed.

CRITERIA FOR SELECTING PROPOSALS:

Project evaluation and priority ranking is based on the three national core criteria (importance, threatened, and strategy, as defined in the 2003 FLP Implementation Guidelines) as well as project readiness and other evaluation considerations developed in consultation with States and FS Units.

10.677 FOREST LAND ENHANCEMENT PROGRAM

(FLEP)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002. Public Law 107-171.

OBJECTIVES:

With respect to the sustainable management of nonindustrial private forest and other rural lands suitable for sustainable forest management; provide technical and educational assistance; provide cost share for practices such as management plan development, tree planting, forest stand improvement, agroforestry implementation, water quality improvement and watershed protection, fish and wildlife habitat improvement, forest health protection, invasive species control, wildfire and catastrophic risk reduction, wildfire and catastrophic risk rehabilitation, and special practices approved within the State and by the FS Responsible Official.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To assist State Foresters or equivalent agencies in sustainable forest management on nonindustrial private forest lands. Program may include agreements with other agencies or organizations to serve as a third party to deliver parts of FLEP. Program may include technical assistance to develop management plans for NIPF lands; educational programs and assistance to increase the awareness and land owner ability to manage forests sustainably; and cost-share assistance to implement sustainable forestry practices on the ground.

Applicant Eligibility:

State Forestry or equivalent State agencies. All States, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, and Guam and other territories and possessions of the United States that may be eligible.

Beneficiary Eligibility:

Landowners and managers of nonindustrial private forest lands, non-profit organizations, consultant foresters, universities, other State, local and private organizations and agencies acting through State Foresters, equivalent State officials or other official representatives.

Credentials/Documentation:

None.

Preapplication Coordination:

Each State must prepare a State Priority Plan that is concurred in by the FS Regional, Area, or Institute Responsible Official before any funds can be

disbursed. A management plan must be prepared before a landowner is eligible for cost-share assistance, except where the practice is development of a management plan. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Established by each State forestry agency.

Award Procedure:

Established by each State forestry agency.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

Established by each State forestry agency.

Appeals:

Start with the State Forestry agency, through the FS Regional, Area or Institute Official, with final decisions by the Chief of the Forest Service if no decision is made at a lower level.

Renewals:

Established by each State forestry agency.

Formula and Matching Requirements:

Public Law 107-171 establishes the criteria to distribute funds. All criteria are considered at the national and regional level to distribute funds to the States. There are no matching requirements for the State to receive funds. Cost-share payments to NIPF land owners and managers may not exceed 75 percent. Each State forestry agency determines the amount of funds any land owner may receive. Each State forestry agency will request their funds electronically from the Forest Service Regional, Area or Institute office and funds will be sent to each State electronically.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged. Electronic transfer of funds from the Forest Service to the State forestry agencies typically occurs quarterly.

Reports:

Each State forestry agency is required to submit annual accomplishment report on the program. Any grants, cooperative agreements or other instrument used to award funds will each require a report on the accomplishment and use of funds.

Audits:

Use of all funds will have to meet Federal and State audit requirements.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data. All records for agreements using any FLEP funds will be maintained in the State forestry agency office for a minimum of 10 years.

Account Identification:

12-2711-0-1-051.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported. (Note: This program expires as of September 30, 2007.)

Range and Average of Financial Assistance:

States and Territories may receive between \$1,100 and \$832,000 based on allocation criteria.

PROGRAM ACCOMPLISHMENTS:

Benefits of the regulations include cost sharing to improve forest management on nearly 610,000 acres, owned by more than 7800 landowners. Technical assistance on sustainable forest practices will be provided to more than 1.1 million acres of forestland, and nearly \$8 million will be provided to improve landowner awareness and understanding of sustainable forestry principles and practices. These accomplishments will result in additional carbon sequestration, improved wildlife habitat, improved soil and water quality, and sustainable forest conditions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3300, Chapter 3310, Section 3314; The Principal Laws Relating to USDA Forest Service State and Private Forestry Programs as amended March 2003, FS-758; and the Final Interim Rule, 36 CFR Part 230, Subpart C.

Regional or Local Office:

Refer to the web site below for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service. <http://www.fs.fed.us/contactus/regions.shtml>.

Headquarters Office:

None.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funding is for programs by State forestry agencies and others as set forth in Uses and Use Restrictions.

CRITERIA FOR SELECTING PROPOSALS:

Most grants to the State forestry agency are approved when the State Priority Plan has been approved and funds are available.

10.678 FOREST STEWARDSHIP PROGRAM

(FSP)

FEDERAL AGENCY:

DEPARTMENT OF AGRICULTURE, FOREST SERVICE

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313; Food, Agriculture, Conservation and Trade Act of 1990, as amended, Public Law 107-171.

OBJECTIVES:

To promote and enable the long-term active management of non-industrial private and other non-federal forest land to sustain the multiple values and uses that depend on such lands.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide financial, technical, educational, and related assistance to State foresters or equivalent State officials for: The delivery of information and professional assistance to owners of nonindustrial private forest lands; The afforestation, reforestation and active management of state and other non-federal forest land; and Improved forest seedling production and distribution.

Applicant Eligibility:

State forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Trust Territory of the Pacific Islands, and territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

Landowners of non-federal lands, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives are eligible.

Credentials Documentation:

Not applicable.

Preapplication Coordination:

Regional and Area Offices provide States and territories with program funding advice each year for the current fiscal year. This program is excluded from coverage under E.O. 12372.

Application Procedure:

States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by completed standard application forms as furnished by the Federal agency and required by OMB circular No. A-102, as implemented by 7 CFR Part 3016 (i.e., SF-424).

Award Procedure:

Established by Regional and Area Offices.

Deadlines:

States and eligible applicants are requested to submit Form SF-424(A) with SF-424 application and other required narratives, forms and assurances as advised by the Regional and Area Offices within 10 to 60 days following official funding advice notification.

Range of Approval/Disapproval Time:

From 10 to 60 days after the application is filed with the Forest Service Regional or Area Office.

Appeals:

Not applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the grant amount or length of commitment. Other requirements may be established by the Regional or Area Office.

Formula and Matching Requirements:

Formula and Matching Requirements: Applicant matching requirements are determined by Regional and Area Offices. Matching funds cannot come from other federal sources.

Length and Time Phasing of Assistance:

Electronic transfers of grant reimbursement payments to States and other program participants is encouraged. Funds are transferred to States and participants, following the submittal of financial and narrative progress reports to Regional and Area offices as requested. Grants are normally awarded for a period of 12 to 18 months.

Reports:

Periodic and annual accomplishment and financial reports are required, by Regional and Area Offices. These reports must accompany all grantee cost-share reimbursement requests.

Audits:

Program grant recipients are subject to audit by the Office of Inspector General, USDA.

Records:

State and other grant recipients and Regional and Area Offices must retain records pertaining to grant payments received for a minimum period of five years. State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-1105-0-1-302.

Obligations:

FY 07 \$40,069,200; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$2,000,000. Average: \$450,000.

PROGRAM ACCOMPLISHMENTS:

Program performance measures are number of Landowners assisted, Forest Stewardship plans completed, and number of corresponding acres encompassed by plans.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3000 and 3200 and the Forest Stewardship Program National Standards and Guidelines. OMB Circular Nos. A-102 and A-87, as amended by 7 CFR Part 3016, are applicable to State and Local Government grantees.

Regional or Local Office:

Office: <http://www.fs.fed.us/contactus/regions.shtml>, Forest Service Regions 1 & 4 (ID, MT, ND, NV, UT) Dee Sessions USDA Forest Service Federal Building, 324 25th Street Ogden, UT 84401. Telephone: (801) 625-5189; Fax: (801) 625-5127; E-mail: dsessions@fs.fed.us; Forest Service Region 2 (Rocky Mountain) (CO, KS, NE, SD, WY) Susan Gray, USDA Forest Service, P.O. Box 25127 Lakewood, CO 80225. Telephone: (303) 275-5239 Fax: (303) 275-5754; E-mail: segray@fs.fed.us; Forest Service Region 3 (Southwestern Region) (AZ, NM); George Martinez, USDA Forest Service, 517 Gold Ave., S.W. Albuquerque, NM 87102. Telephone: (505) 842-3229; Fax: (505) 842-3800; E-mail: gcmartinez@fs.fed.us; Forest Service Region 5 (Pacific Southwest Region) (CA, HI); Sandra Stone, USDA Forest Service 1323 Club Drive, Vallejo, CA 94592. Telephone: (707) 562-8918; Fax: (707) 562-9054; E-mail: ssstone@fs.fed.us Forest Service Region 6 (Pacific Northwest Region) (OR, WA) Ray Abriel USDA Forest Service 333 SW First Ave P.O. Box 3623 Portland, OR 97208. Telephone: (503) 808-2355; Fax: (503) 808-2339; E-mail: rabriel@fs.fed.us Region 8 (Southern Region) (AL, AR, FL, GA, KY, LA, MS, NC, OK, SC, TN, TX, VA) David Hoge USDA Forest Service, 1720 Peachtree Road, NW, Ste. 8508 Atlanta, GA 30367. Telephone: (404) 347-1649; Fax: (404) 347-2776; E-mail: dhoge@fs.fed.us; Northeastern Area (CT, DE, IL, IN, IA, MA, MD, ME, MI, MN, MO, NJ, NH, NY, OH, PA, RI, VT, WI, WV) Mark Buccowich USDA Forest Service 11 Campus Blvd., Ste. 200 Newtown Square, PA 19073. Telephone: 610-557-4029; Fax: (610) 557-4136; E-mail: mbuccowich@fs.fed.us; Region 10 (Alaska Region) (AK); Steve Bush, USDA Forest Service, 3301 C Street, Suite 522 Anchorage, AK 99503. Telephone: (907) 271-2550; Fax: (907) 271-2897 E-mail: sbush@fs.fed.us.

Headquarters Office:

Karl R. Dalla Rosa, Forest Stewardship Program Manager, Forest Service, State and Private/Cooperative Forestry, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-6206.

Web Site Address:

<http://www.na.fs.fed.us/spfo/stewardship/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funding is for private and non-federal landowner assistance, and supporting programs that are developed and carried out by State forestry agencies or other eligible applicants as described in the USES AND USE RESTRICTIONS section above.

CRITERIA FOR SELECTING PROPOSALS:

Applicant grant proposals are reviewed, and funds are granted by Regional and Area Offices.

10.679 COLLABORATIVE FOREST RESTORATION

(CFRP)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Title VI-Community Forest Restoration, Public Law 106-393, Section 605, Establishment of Program.

OBJECTIVES:

The purposes of the grants are: to promote healthy watersheds and reduce the threat of large, high intensity wildfires, insect infestation, and disease in the forests in New Mexico; to improve the functioning of forest ecosystems and enhance plant and wildlife biodiversity by reducing the unnaturally high number and density of small diameter trees on Federal, Tribal, State, County, and Municipal forest lands; to improve communication and joint problem solving among individuals and groups who are interested in restoring the diversity and productivity of forested watersheds in New Mexico; to improve the use of, or add value to, small diameter trees; to encourage sustainable communities and sustainable forests through collaborative partnerships, whose objectives are forest restoration; and to develop, demonstrate, and evaluate ecologically sound

forest restoration techniques.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal governments, educational institutions, landowners, and other interested public and private entities.

Applicant Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Beneficiary Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Credentials/Documentation:

None.

Preapplication Coordination:

The State of New Mexico Local Government Division has determined that they do not choose to exercise their option under EO 12372 to conduct an intergovernmental review of Collaborative Forest Restoration Program grant proposals. They have requested that the CFRP project proposals not be sent to their office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants are required to submit form SF-424, SF-424(a), SF-424 (b), AD 1047, AD 1049, and other information described in the Request for Proposals. Proposals should be sent to: CFRP Program, Cooperative and International Forestry, USDA Forest Service, Room 329, 333 Broadway SE, Albuquerque, NM 87102.

Award Procedure:

The Collaborative Forest Restoration Program Technical Advisory Panel evaluates the proposals and provides recommendations to the Forest Service on which ones best meet the purposes, objectives, and administrative requirements of the program. The panel also evaluates the effect of each proposal on long-term forest management. The Forest Service Southwestern Regional Forester selects proposals for final award. The Secretary of Agriculture chartered the Technical Advisory Panel as a Federal Advisory Committee for two years on June 12, 2001 (CFR 1042-138) under the Federal Advisory Committee Act (Public Law 92-463). The Secretary reauthorized the Panel's charter on July 30, 2003 (CFR 1042-138).

Deadlines:

Contact the regional office for specific application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 Days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of an individual project cost shall not exceed 80 percent of the total cost. The 20-percent matching may be in the form of cash or in-kind contribution. Projects can not exceed a total annual cost of \$150,000, with the Federal portion not exceeding \$120,000 annually, nor exceed a total cost of \$450,000 for the project, with the Federal portion of the total cost not exceeding \$360,000 (Public Law 106-393, Title VI, Sec. 605(a) and (b)(8)).

Length and Time Phasing of Assistance:

Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7)). Assistance will be provided by no more than monthly payments.

Reports:

Periodic and final performance reports are required. Annual financial reports will also be required. Grant recipients must attend an annual workshop with other stakeholders for the purpose of discussing the cooperative forest restoration program and projects implemented under Collaborative Forest Restoration Program. Grant recipients may use project grant funds to pay for their travel and per diem expenses to attend the workshop.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collection and recording statistical data. Project records must be maintained for a period of three years after the project has ended.

Account Identification:

12-1115-0-1-302.

Obligations:

(Grants) FY 07 \$4,100,700; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$66,361.00 to \$360,000.00.

PROGRAM ACCOMPLISHMENTS:

The Collaborative Forest Restoration Program (CFRP) Technical Advisory Panel has met four times to provide the USDA Forest Service Southwestern Regional Forester with consensus recommendations regarding which project proposals submitted for funding under the CFRP best met the objectives of the program. From 2001 to 2004 the Panel reviewed 149 grant proposals and developed consensus recommendations to fund 62 of them. The Panel adopted bylaws to guide their work. CFRP grantees and their partners met in 2003, 2004 and 2005 at the CFRP Annual Workshop to discuss projects implemented under the program. The 2006 Annual Workshop for grantees, their partners, and other interested stakeholders will be held in Albuquerque or Santa Fe in January 2006. CFRP grants support a wide variety of forest and watershed restoration, small diameter utilization, training, and multi-party monitoring and assessment projects in New Mexico. These projects are effectively engaging board and diverse interest groups in collaborative efforts to restore New Mexico's public forestlands.

REGULATIONS, GUIDELINES, AND LITERATURE:

The authorizing legislation (Public Law 106-393 Title VI), a list of the members of the Collaborative Forest Restoration Program Technical Advisory Panel, The Charter for the Technical Advisory Panel, The Technical Advisory Panel Recommendations, The Request for Proposals, Project Summaries for funded activities, and a List of Forest Service Contacts can be found on the website for the Southwestern Region of the Forest Service at www.fs.fed.us/r3/spf/cfrp.

Regional or Local Office:

Regional or Local Office: Refer to Appendix IV of the Catalog for addresses and telephone numbers of the Forest Service National Forests in New Mexico. These are the Gila, Lincoln, Cibola, Santa Fe, and Carson National Forests.

Headquarters Office:

Telephone: (505) 842-3289, USDA Forest Service Southwestern Region, Cooperative and International Forestry staff, 333 Broadway S.E., Albuquerque, NM 87122.

Web Site Address:

www.fs.fed.us/r3/spf/cfrp.

RELATED PROGRAMS:

10.664, Cooperative Forestry Assistance; 10.672, Rural Development, Forestry, and Communities; 10.854, Rural Economic Development Loans and Grants; 10.901, Resource Conservation and Development; 11.303, Economic Development Technical Assistance; 11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation.

EXAMPLES OF FUNDED PROJECTS:

The following activities were among those funded in fiscal year 2004: (1) The project will remove small diameter trees, reduce fuel loads and other flammable materials on 200 acres of Forest Service land that abuts private land north of Shady Brook and around campgrounds on the west end of Taos. Emphasis will be on eliminating crowded and non-native plant and tree species by removing trees less than 12 inches in diameter. Healthy old and large trees and snags in both conifer and aspen stands will be preserved. Free firewood will be distributed to local residents and posts and poles less than 12 inches in diameter will be provided to recipients with permits. Local community members will be hired for thinning projects. The project proponents estimate that 10-12 jobs will be created for the thinning work, and at least eight people will be trained in forest practices. (2) The project will gather and review information about the Santa Domingo Pueblo Bosque (riparian) area and upland resources to identify information gaps; establish methodologies for fuel loading inventories to establish monitoring protocols; conduct baseline surveys of vegetation; explore the feasibility of opening a tribal wood-yard for small diameter timber; involve the community in the development of the project; develop a comprehensive fuels management strategy; and conduct a pilot restoration treatment on 50 acres of Tribal Bosque and woodland. The project includes a summer education and work program for Santo Domingo youth on the restoration protection of the Rio Grande floodplain protection. (3) The project will conduct fuels reduction and forest restoration treatments to reduce hazardous fuels, improve habitat, reduce non-native species and improve watershed conditions on 225 acres of Ponderosa Pine, mixed conifer, and pinyon-juniper forest on Tribal, BLM and NM State

Lands near the communities of Candy Kitchen and Fort Wingate. Logs over 9 will be milled, graded, and dried to sell to the Zuni Furniture company and others. Lower grade material will be dried and sold to the community for construction. Logs too small for milling will be made into posts and vigas with traditional Zuni carvings for local and regional markets. The grantees will also study the feasibility of biomass heat and/or power applications for the remaining materials and milling waste. High school students will collect data for multi-party monitoring and assessment reports. (4) This project will chip trees resulting from forest restoration and Wildland Urban Interface fuels reduction projects on the Gila National Forest to provide the Fort Bayard Medical Center with biomass for a new biomass fired boiler system. Gila WoodNet will purchase and install chip handling and processing equipment to be used in screening and re-chipping; purchase and install chip storage bins and equipment to deliver chips; purchase a truck for chip transport; purchase and install scales and moisture monitoring equipment for determining BTU content and value; install transfer, storage, and re-chipping facilities to receive and process biomass; and provide monitoring and system evaluation assistance to other biomass heating projects in the state. The project will create up to five permanent year-round jobs and support up to twenty other forest and wood product related jobs. (5) This project will develop and manufacture high value wood products using logs removed from forest restoration and Wildland Urban Interface fuels reduction projects on National Forest lands in Catron County. The Forestry Association will: purchase, fabricate, and install milling equipment and train local operators to manufacture products made from small diameter trees; participate in collaborative processes that incorporate forest restoration principles and work with business enterprises; mentor young people through Youth Conservation Programs; and design and coordinate community-based multi-party forest restoration monitoring efforts. (6) This project will develop maps, models and assessments of current, reference, and alternative future forest conditions and fire behavior in the Sheep Basin and Negrino Watershed areas and use a collaborative process to develop science-based prescriptions for forest restoration. Treatments will restore the size and age class distribution and spatial pattern of trees to their historic range and variability on approximately 456 acres of the Gila National Forest. The mechanical treatments will be followed by broadcast burns to reintroduce fire when it is ecologically appropriate and safe to do so. The grantee and their partners will develop a model of science-based adaptive management practices through multi-part monitoring and assessment of the ecological and socio-economic effects of the project. (7) The project will conduct forest restoration and fuels reduction treatments on approximately 249 acres of tribal and municipal land. The non-federal match includes the treatment of 267 acres of adjoining tribal land. The project acres adjoin other on-going forest restoration projects on Forest Service land (153 acres) and private land (99 acres). The harvested material will be used by local businesses to produce wood shavings for animal bedding, compost and mulch products. Data for a multi-party assessment of the ecological and socio-economic effects of the project will be collected with assistance from the Mescalero Apache School and Youth Conservation Corps. (8) The Santa Fe County Fire Department will thin trees to reduce fire risk and restore forest health on 43 square miles of high hazard County land in the Wildland Urban Interface where people have built homes and live in forested areas adjacent to County lands. The County will use a collaborative process to identify and prioritize areas to be treated, develop treatment methods, solicit proposals from local contractors, measure and assess treatment processes, implement a media campaign, analyze data, and train participants.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine if they followed the administrative requirements of the program and the proposal format described in the Request for Proposals. The Collaborative Forest Restoration Program Technical Advisory Panel will evaluate grant proposals based on the purpose and eligibility requirements described in the Community Forest Restoration Act of 2000 (Section 3 and Section 5, Title VI, Public Law 106-393). The Panel will consider the proposed projects effect on long-term management and the capability of the proponents to accomplish the project. The Panel will also examine the degree to which proposed activities complement existing projects and programs and evaluate whether the project would reduce the danger of wildland fire in high-risk areas in New Mexico.

10.680 FOREST HEALTH PROTECTION

(FHP)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313; Food, Agriculture, Conservation, and Trade Act of 1990, as amended, Public Law 101-624; Consolidated Appropriations Resolution FY 2003, Public Law 108-7, Division F, Title II; subsequent appropriation Acts, Pubic Law 108-108.

OBJECTIVES:

Protect non-Federal forest and tree resources from damaging forest insects,

disease causing agents, and invasive plants; develop/improve forest health protection technologies; and monitor the health of our nation's forests.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To assist State Foresters, State Plant Regulatory Officials, equivalent State officials, or other official representatives, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands. Activities include: conducting surveys to detect and assess insect, disease, invasive plant and other stressors; monitoring and reporting on the health of forests and trees; recommending measures to prevent, retard, slow the spread, control, suppress or eradicate incipient, potential, threatening or emergency forest and tree pests; planning, organizing, directing, and performing such measures; providing technical and scientific information, advice, and related assistance; developing applied methods and technology to improve management of forest health; providing information on pesticides and their use; promoting implementation of appropriate silvicultural or management techniques to improve forest health; and taking other actions deemed necessary to accomplish the objectives and purposes of the Forest Health Protection program. Assistance to tribal lands held in trust by the United States Government is provided directly by the Forest Service, and thus are not included in this cooperative program. However, other tribal lands, such as Alaska Native Corporation Lands, that are not held in trust are included.

Applicant Eligibility:

State Forestry, State Agriculture or equivalent State agencies, subdivisions of states, Alaska native corporations and tribal governments, institutions (public and private), organizations (profit and non-profit), and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters, State Plant Regulatory Officials, equivalent State officials or other official representatives, tribes, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 7 CFR Part 3016.

Preapplication Coordination:

Compliance with the National Environmental Policy Act is required for this program whenever pesticides are to be used. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program (i.e., SF-424).

Application Procedure:

Not applicable. Contact Regional or Area or International Institute of Tropical Forestry (IITF) Office listed in Appendix IV of the Catalog.

Award Procedure:

Established by the Regional, Area or IITF Office.

Deadlines:

States and eligible applicants are requested to submit Form SF-424(A) with SF 424 application and other required forms as advised by the Regional, Area or IITF Office within 10 to 60 days.

Range of Approval/Disapproval Time:

Submission due dates are developed for each fiscal year. Contact Region, Area or IITF office. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not applicable.

Renewals:

Submit SF-424(A) with SF 424 application to amend the allotment amount or length of commitment; other requirements may be established by the Regional, Area or IITF Office.

Formula and Matching Requirements:

Funding for the Cooperative Forest Health Protection program is provided to states to help support a base level of professional forest health expertise for non-federal forest land managers. Grants for this program are allocated to states using a formula that is based on the number of acres of non-federal forest land within the states, other factors, and amount of funding available through annual appropriations; the purpose of this funding is to help support a base level of professional forest health expertise for non-federal forest land managers. Applicant matching for cooperative Forest Health Protection programs and projects that treat specific forest health problems on non-federal lands is required and is usually about 50%. In cases where it is mutually agreed that an applicant will treat Federal lands as part of a cooperative project or program, the Forest Service reimbursement is 100% for the federal portion. However,

applicant matching rates may be adjusted in emergency or extraordinary situations. Forest Service cost-share rates for activities involving development of new and improved forest health protection methods and technologies, and the conduct of special projects and evaluations are negotiated on a project-by-project basis with the grantee. The applicant share of cooperative programs may be in the form of cash, services, or in-kind contributions. If a state elects to consolidate the annual Forest Service financial assistance, in lieu of functional cost sharing mechanisms, the total consolidated payment to any state during any fiscal year may not exceed the total amount of non-federal funds expended to implement its state forest resources program during that year. However, the Forest Service may exceed the non-federal amount for specific selected activities under the program as long as the total federal expenditure during any fiscal year does not exceed the total non-federal expenditure during that year under the state forest resources program.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged.

Reports:

Periodic and annual accomplishment reports are required.

Audits:

Periodic and annual accomplishment reports are required. In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Grants) FY 07 \$40,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average: \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Annual acres of forest insect and disease surveys is approximately 500,000,000. Annual acres of insect and disease suppression is approximately 1,000,000. The number of applications received and funded is not available without an additional data gathering effort from among field line units. Grants and agreements for base forest health programs on cooperative lands are not competitive, but are of a continuing nature, annually renewable, and based on negotiated targets. Grant and agreements for pest prevention and suppression projects, methods and technology development and special projects are generally competitive and solicited through Requests for Proposals. The total percentage of applications received and funded was 100 for base programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Title 3400 is available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees.

Regional or Local Office:

Refer to Appendix IV of the Catalog for Regional and Northeastern Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Northeastern Area Director of the Forest Service.

Headquarters Office:

Deputy Chief, State and Private Forestry, Forest Service, Department of Agriculture, P.O. Box 96090, Washington, DC 20090-6090. Telephone: (202) 205-1657.

Web Site Address:

<http://www.fs.fed.us/spf/coop>.

RELATED PROGRAMS:

10.064, Forestry Incentives Program; 10.670, National Forest Dependent Rural Communities; 10.901, Resource Conservation and Development.

EXAMPLES OF FUNDED PROJECTS:

Granted the Alaska Division of Forestry \$60,000 for biological control of birch leafminer infestation on private lands. Granted Colorado State Forest Service \$1,000,000 for treatments to control mountain pine beetle infestations on non-federal lands within the state. Granted the Minnesota Department of Natural Resource's Division of Forestry \$300,000 to control the spread of oak wilt on private lands within the state. Granted the Alabama Forestry Commission \$1,000,000 to carry out treatments on non-federal lands to help prevent future southern pine beetle outbreaks. Granted the Oregon Department of Forestry \$150,000 for treatments to help eradicate sudden oak death infections in the state. Granted the Tennessee Dept. of Agriculture \$450,000 for treatments it eradicate an isolated gypsy moth infestation in the state.

CRITERIA FOR SELECTING PROPOSALS:

All grants and cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and relationship to national and regional forest health issues, priorities, and needs.

10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 U.S.C. 2101 et. seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 U.S.C. 1600-1614.

OBJECTIVES:

To provide funds, on a cost-share basis, for projects that focus on enhancing opportunities for sustained forest products production for primary and secondary hardwood industries located in the eastern hardwood forest region. In order to maintain and improve the health and stewardship of our eastern hardwood forests, local wood-using industries need to be vibrant and able to utilize a wide variety of resources from the forest. Priorities include: Maintain the economic competitiveness of hardwood industries. Bring information and technology to existing and emerging businesses involved in the development and manufacturing of wood products. Bring marketing and business-related skills to existing and emerging wood products businesses. Encourage the adoption of new technology to improve competitiveness and profitability. Provide support and key information to entrepreneurs and start-up businesses. Address global issues and assist communities and industries facing threats from invasive species, such as the emerald ash borer. Increase the use of woody biomass for domestic energy use. In addition, the primary mission of WERC is to foster interaction and information exchange with the forest products industry to enhance opportunities for sustained forest products production in the eastern hardwood forest region of the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Projects need to compliment existing activities and/or focus on niches that are not being met by either industry or service providers. In addition, projects need to clearly document industry support and involvement.

Applicant Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others.

Beneficiary Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others located in the 35 states of the eastern hardwood forest region including: AL, AR, CT, DE, FL, GA, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NE, NH, NJ, NY, NC, OH, OK, PA, RI, SC, TN, TX, VT, VA, WV, WI.

Credentials/Documentation:

None.

Preapplication Coordination:

An application package (including instructions, application, and electronic location of financial forms) is developed each year that provides guidelines for applicants to follow in developing their proposal. Standard financial application forms (SF-424, SF-424A, and Certifications) are required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A formal request for proposals is announced at <http://www.na.fs.fed.us/werc/> as well as www.grants.gov/ normally around December 1st of each year.

Award Procedure:

Proposals are submitted to the Forest Service. Proposals are evaluated based on a number of criteria including: alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time.

Deadlines:

Completed applications are due to the Forest Service normally two months after the announcement of the request for proposals.

Range of Approval/Disapproval Time:

Approximately 90 days after the application the application deadline.

Appeals:

None.

Renewals:

SF-424A with SF-424 application is required to amend the awarded amount or length of commitment. Unsuccessful applications can be resubmitted in a future years.

Formula and Matching Requirements:

No formula funding. Funds reimbursed by the Federal Government for competitive grants may not exceed 50 percent of the support expended by a

grantee. Non-federal share of matching funds may be in the form of cash, services, or in-kind contributions.

Length and Time Phasing of Assistance:

Grants are normally awarded for a period of 18 months.

Reports:

Annual accomplishment reports and final accomplishment report.

Audits:

Periodic and annual accomplishment reports are required. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All recipients need to maintain records adequate to collect and report statistical data.

Account Identification:

12-1105-0-1-302

Obligations:

FY 07 \$1,700,000; FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range varies from \$10,000 to \$200,000. Average grant award is about \$63,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. USDA Implementing Regulations 7 CFR 3015, 3016, 3017, 3018, and 3019 also apply depending on the type of recipient. The program is announced through the Wood Education and Resource Center website and Grants.Gov website.

Regional or Local Office:

USDA Forest Service, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740. Telephone: (304) 487-1510. Fax: (304) 487-6661.

Headquarters Office:

USDA Forest Service, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740. Telephone: (304) 487-1510. Fax: (304) 487-6661.

Web Site Address:

<http://www.na.fs.fed.us/werc/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects range from developing how-to documents, to software tools for improved manufacturing efficiencies, to technology development and implementation, to workshop and training focused on leadership and management skills needed in forest products businesses, to methods for maintaining and/or expanding economic competitiveness.

CRITERIA FOR SELECTING PROPOSALS:

An evaluation panel is convened on an annual basis to evaluate and rate proposals received. Proposals are evaluated on a number of criteria including alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time, multi-state project, training.

10.684 INTERNATIONAL FORESTRY PROGRAMS

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C. 4501 (note), 4501-4503, 4503a-d, 4504-4505.

OBJECTIVES:

To extend Forest Service efforts to improve forest policies and practices internationally, conserve and protect critical global forest environments and resources, and improve the lives of forest-dependent peoples by awarding grants to and entering into cooperative agreements with nonprofit organizations, multilateral organizations, and other individuals, organizations, institutions, and governments engaged in forest conservation and management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are used to carry out, supplement and complement Forest Service international activities in a wide range of fields, including but not limited to forest policies and regulations, forest products trade, forest management practices, wildlife management, watershed management, recreation and tourism, fire management, forest insect and disease prevention and response, invasive species preventions and response, disaster response and

mitigation, landscape planning, forest product development and utilization, climate change adaptation and mitigation, forest economics, and training and institutional strengthening. Grants will be limited to five years.

Applicant Eligibility:

Potential applicants include U.S. and international organizations, educational institutions, government entities, and individuals. International applicants must be from countries sanctioned by the State Department.

Beneficiary Eligibility:

Potential beneficiaries include host-country forest management agencies, nonprofit organizations, forest landowners in the target countries, forest-dependent communities and peoples in the targeted countries, and U.S. landowners and organizations involved in or concerned with invasive species mitigation, migratory species conservation, legal trade in forest products, and the impact of climate change on forests.

Credentials/Documentation:

Project managers and organizations must document competence in the field and activities of the project proposed. Eligible projects must fit within the U.S. Forest Service International Programs priorities, comply with all federal grants and agreements regulations, and be documented through a completed grant application.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A complete proposal must be submitted to International Programs and explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, resources such as equipment, facilities, services available or needed, and a detailed proposed budget for each fiscal year during the life of the grant. All grant proposals must show technical competence and demonstrate ability to meet international, transnational, national, regional or local needs. Proposals for federal financial assistance must also submit a SF-424, SF-424(A) and (B).

Award Procedure:

Proposals will be evaluated by the Forest Service Office of International Programs, in consultation with the U.S. State Department and the U.S. Agency for International Development, where relevant, for purposes of ensuring compliance with U.S. foreign policy and objectives. Proposed activities will be assessed for their ability to improve forest management and the welfare of forest-dependent peoples in the targeted country or countries, as well as their ability to enhance or complement existing or planned Forest Service programs and activities.

Deadlines:

Applicants are required to submit Form SF-424 and all associated documents for each eligible project. Applications are received on a revolving basis and are reviewed subject to available funding.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Not applicable.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal along with a detailed summary of progress to date. Applications for continued support must be received no later than 3 months prior to the expiration of the existing grant or agreement.

Formula and Matching Requirements:

The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and the value of resources to be contributed by the applicant. The non-Federal cost share may consist of: funds, donations, in-kind contributions, direct costs, indirect costs, and other as determined by the Forest Service. Funds will be provided on a per-project basis, as determined by annual budget allocations.

Length and Time Phasing of Assistance:

Up to 5 years. Billing and invoices for expenses incurred may be submitted quarterly or semi-annually. Electronic transfers of payments are encouraged.

Reports:

Technical progress reports are required semi-annually. Financial status reports are required quarterly. Final technical reports and financial status reports are due within 1 month of the completion of the project or of the expiration of the agreement, whichever is first.

Audits:

Not applicable.

Records:

All related data, information, records and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1105-0-1-302.

Obligations:

FY 07 \$361,620; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Years 2005-2007, approximately 50 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please consult with International Programs for information relevant to a specific proposal.

Regional or Local Office:

Washington, DC.

Headquarters Office:

Washington, DC.

Web Site Address:

www.fs.fed.us/global.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of executed grants are: Reforestation and Panda Habitat Conservation in Southwest China, Training for Improved Forest Practices in Brazil, Migratory Bird Habitat Improvement and Conservation in Mexico, Policy and Technology Training to improve transparency in the Forest Sector in Russia, Development of Protected Area Education Curriculum and Training in Southern Africa, Development of a Financial Assessment Model for Reduced Impact Logging, Middle East Regional Cooperation on Watershed Monitoring Protocols.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis and approved based on the negotiated objectives to be achieved at international, national, regional and local levels.

10.700 NATIONAL AGRICULTURAL LIBRARY**FEDERAL AGENCY:**

AGRICULTURAL RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

5 U.S.C. 301, 552, 5946; 7 U.S.C. 450b, 450i, 2201, 2202, 2204, 2206, 2244, 2264, 2265, and 3318.

OBJECTIVES:

To serve as the Nation's Chief Agricultural information resource by providing agricultural information products and services through traditional library functions and through modern electronic dissemination to agencies of the USDA, public organizations, and individuals.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Anyone can use the services. Publications in the library collection are available through interlibrary loan or photo-reproduction, and the library facilities are open to anyone wishing to use them.

Applicant Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Beneficiary Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Apply by letter to the Office of Associate Director, Public Services Division, National Agricultural Library, Beltsville, MD 20705.

Award Procedure:

None.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1400-0-1-352.

Obligations:

(Services) FY 07 \$22,801,000; FY 08 est \$21,561,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Resources number over 3.3 million items.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide to the Services of the National Agricultural Library."

Regional or Local Office:

None.

Headquarters Office:

Office of the Director, National Agricultural Library, Beltsville, MD 20705-2351. Telephone: (301) 504-6780. Use the same number for FTS. Contact: Extramural Agreements Division.

Web Site Address:

http://www.nal.usda.gov.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.250, Agricultural and Rural Economic Research; 10.950, Agricultural Statistics Reports; 42.003, Distribution of Library of Congress Cataloging; 42.005, Library of Congress Publications; 42.006, Library of Congress Library Services; 42.007, Reference Services in Science, Technology and Business.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.760 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES**FEDERAL AGENCY:**

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans, Guaranteed Insured Loans

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a 4.5 percent rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide nonmetropolitan median household income; an intermediate rate halfway between 4.5 percent and market rate if the median household income of the service area is not more than 100 percent of the nonmetropolitan median household income of the State; and a market rate for those applicants that do not qualify for 4.5 percent or the intermediate rate. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefited user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area: 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever

is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Funds are allocated to States based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

Periodic reports are to be made to the RD servicing official.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

(Loans) 12-4155-0-3-452; (Grants and Loans) 12-0400-0-1-452.

Obligations:

Direct Loans) FY 07 est \$990,000,000; FY 08 est \$1,080,236,000; and FY 09 est not reported. (Guaranteed Loans) FY 07 est \$75,000,000; FY 08 est \$75,000,000; and FY 09 est not reported. (Grants) FY 07 est \$349,385,000; FY 08 est \$349,385,000; and FY 09 est not reported. Note: Technical Assistance and Training (TAT) and grants earmarked under Section 306C of the Consolidated Farm and Rural Development Act to Note: Colonias are included

in these estimates.

Range and Average of Financial Assistance:

(FY 2005) (Direct Loans) \$3,400 to \$9,999,900; \$1,064,000; (Grants) \$600 to \$8,090,000; \$619,000.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 800 direct, 2 guaranteed loans, and 700 grants will be made in fiscal year 2007. It is estimated that 900 direct, 2 guaranteed loans, and 800 grants will be made in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

11.300, Investments for Public Works and Economic Development Facilities; 14.218, Community Development Block Grants/Entitlement Grants.

EXAMPLES OF FUNDED PROJECTS:

The following areas received funds for specified projects: Construct new water system consisting of water lines, pumping stations, wells, storage tanks and treatment plants; water system improvements consisting of additional water lines, new water treatment facility and booster pump; renovation of existing water system which includes new distribution lines, wells and pressure tanks; construct new waste water collection and treatment systems; replace waste water treatment plant and improve waste water collection lines; rehabilitate waste water collection lines and construct lift station; purchase site for landfill; and purchase trucks and equipment for solid waste disposal.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.761 TECHNICAL ASSISTANCE AND TRAINING GRANTS

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Sections 306(a)(16)(A), Public Law 99-198, 7 U.S.C. 1926(a).

OBJECTIVES:

To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed a preapplication with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

Applicant Eligibility:

Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service of the United States. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

Beneficiary Eligibility:

Entities that may be eligible for water and waste disposal loans and grants (10.760) such as municipalities, counties, districts, authorities, and other

political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-110 and A-122.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular Nos. A-110 and A-122. Preapplication form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Preapplications received by an RD State office will be reviewed and forwarded with any written comments within 7 working days to the RUS National Office, Washington, DC.

Deadlines:

Preapplications must be filed between October 1 and December 31 of each fiscal year.

Range of Approval/Disapproval Time:

Form AD-622 "Notice of Preapplication Review Action" will normally be issued within 45 days after December 31 of each year. SF 424.1 "Application for Federal Assistance (for Non-construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Not less than one percent or more than three percent of appropriated Water and Waste Disposal grant funds may be used for TAT grants. Nonprofit organizations with proven ability to provide technical assistance/training are eligible.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or less.

Reports:

All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$18,358,694; FY 08 est \$16,215,000; and FY 09 est not reported. (Note: Grants included in program 10.760, Water and Waste Disposal Systems for Rural Communities).

Range and Average of Financial Assistance:

\$40,000 to \$10,270,000. Average: \$5,155,000 (FY 07).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 12 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1775, Technical Assistance and Training Grants.

Regional or Local Office:

Consult your local telephone directory for RD district office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Recipients of this program received funds to administer technical assistance and training to small communities experiencing water and waste disposal problems.

CRITERIA FOR SELECTING PROPOSALS:

Assistance will be directed to those applicants who: (1) Have demonstrated ability to provide technical assistance and/or training to rural associations; (2) propose to serve multi-state, regional, or nationwide areas; (3) maximize use of grant funds for direct staffing of activities that are delivered to the associations; and (4) the population of the associations served have low income.

10.762 SOLID WASTE MANAGEMENT GRANTS

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit preapplications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

Municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-87, and A-122.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular Nos. A-87, A-102, A-110, and A-122. Preapplication Form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Preapplications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Preapplications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time:

Form AD-622, "Notice of Preapplication Review Action," will normally be issued within 45 days after December 31 of each year. SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less.

Reports:

All grantees will submit quarterly reports to the RUS approval official.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$3,465,000; FY 08 est \$3,465,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$18,000 to \$205,000. Average: \$86,625.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 39 grants were made; FY 2007, 40 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775, Technical Assistance and Training Grants.

Regional or Local Office:

Consult your local telephone directory for RD District Office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Recipients of this program received funds to administer technical assistance in areas of solid waste management to small communities.

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who: (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

10.763 EMERGENCY COMMUNITY WATER ASSISTANCE GRANTS

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306A; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Through the Emergency Community Water Assistance Grant Program, the Rural Utilities Service (RUS) is authorized to help rural residents who have experienced a significant decline in quantity or quality of water to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to extend waterlines on existing systems; to construct new water lines; to repair existing systems; to perform significant maintenance on existing systems; to construct new wells, reservoirs, transmission lines, treatment plants, storage tanks, etc.; to replace equipment; to provide connection and/or tap fees; to pay costs incurred within six months of the date an application was filed with USDA to correct an emergency situation that would have been eligible for funding under this program; to provide funds for any other appropriate related purposes, such as, legal fees; engineering fees;

recording costs; environmental impact analyses; archaeological surveys; possible salvage or other mitigation measures; planning, establishing, or acquiring rights associated with developing sources of treating, storing, or distributing water; and to assist rural water systems in complying with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act, when failure to comply is directly related to a recent decline in quality of potable water. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide nonmetropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds. Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$500,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$150,000.

Applicant Eligibility:

Rural Development Utilities Programs may make grants to public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

Beneficiary Eligibility:

Public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. The standard application forms as furnished by the Federal agency and required by 7 CFR Parts 3015 and 3016 must be used for this program.

Application Procedure:

Rural Development (RD) State Director will administer the program on the local level. This program is subject to the provisions of 7 CFR Parts 3015 and 3016. Application Form SF-424 will be filed with the appropriate RD District office.

Award Procedure:

Applications received will be reviewed and scored for funding priority by the RD State office. The RD State Director will request funds from the National office. Projects must compete on a national basis for available funds.

Deadlines:

All funding requests will be reviewed by the National office after November 1 of each year and continue until June 30 of each year.

Range of Approval/Disapproval Time:

Within 60 days of receipt of complete application.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the higher management level of RUS.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Request for funds, as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

((Grants) FY 07 \$6,959,192; FY 08 est \$7,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:
123 Range and Average of Financial Assistance: \$4,100 to \$500,000. Average: \$297,000.

PROGRAM ACCOMPLISHMENTS:
In fiscal year 2005, 36 grants were awarded. In fiscal year 2006, 21 grants were awarded, and in fiscal year 2007, 24 grants were made. In fiscal year 2008 it is estimated that 30 grants will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:
7 CFR Part 1778.

Regional or Local Office:
Consult your local telephone directory under United States Government, Department of Agriculture for Rural Development District Office number. If no listing, contact appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:
Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670. FTS is not available.

Web Site Address:
<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:
10.760, Water and Waste Disposal Systems for Rural Communities; 10.766, Community Facilities Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:
Rural communities can use these funds to correct drinking water problems that have been created by a significant decline in quantity or quality of their water supply. The communities use the funds for new systems, waterline extensions, construction of water sources and treatment facilities, storage tanks, and repairs or renovation of existing systems.

CRITERIA FOR SELECTING PROPOSALS:
The proposed projects designed to serve a rural area with a population of 5,000 or less that has a median household income of not more than 70 percent of the statewide nonmetropolitan household income and had a significant decline in quantity of water available from private individually owned wells will be given priority. Preference also will be given to proposed projects that will assist an established water system or remedy an acute shortage of quality water. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.766 COMMUNITY FACILITIES LOANS AND GRANTS

FEDERAL AGENCY:
RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE
AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:
To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:
Guaranteed/Insured Loans; Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:
Community facilities include but are not limited to those providing or supporting overall community development such as child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise, community, social, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use.

Applicant Eligibility:
City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:
Farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:
Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:
The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review.

Application Procedure:
For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file For RD 3575-1 and supporting documentation.

Award Procedure:
After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Deadlines:
None.

Range of Approval/Disapproval Time:
From 30 to 90 days.

Appeals:
If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision.

Renewals:
Not applicable.

Formula and Matching Requirements:
Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." This program has no statutory formula.

Length and Time Phasing of Assistance:
A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period.

Reports:
Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds.

Audits:
Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:
The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:
12-4155-0-3-452; 12-1951-0-1-452.

Obligations:
(Direct Loans) FY 07 \$300,000,000; FY 08 est not available; and FY 09 est not reported. (Guaranteed Loans) FY 07 \$210,000,000; FY 08 est not available; and FY 09 est not reported. (Grants) FY 07 est \$17,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Direct Loans: \$3,000 to \$11,000,000. Average: \$847,971. Guaranteed Loans: \$20,000 to \$17,000,000. Average: \$2,377,243. Grants: \$570 to \$120,750. Average: \$36,753.

PROGRAM ACCOMPLISHMENTS:
Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:
7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

Consult your local telephone directory for Rural Development county or district office numbers. If no listing, contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Community Programs, STOP 0788, Department of Agriculture, 1400 Independence Avenue SW, Washington, DC 20250-0788. Telephone: (202) 720-1490.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.500, Cooperative Extension Service; 10.664, Cooperative Forestry Assistance; 15.124, Indian Loans Economic Development.

EXAMPLES OF FUNDED PROJECTS:

Loans were made to: (1) establish rural health clinics in medically undeserved areas; (2) purchase fire fighting, rescue, and public safety equipment for rural areas; (3) construct new municipal buildings; (4) build new schools to serve rural communities; and (5) renovate hospitals to meet current life/safety codes.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue, and ambulance services, and projects for health care facilities.

10.767 INTERMEDIARY RELENDING PROGRAM

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Health and Human Services Act of 1986, Section 407, Public Law 99-425, 7 U.S.C. 1932 note; Food Security Act of 1985, Section 1323, as amended, Public Law 99-198, 7 U.S.C. 1631; Community Economic Development Act of 1981, Section 623, as amended, Public Law 97-35, 42 U.S.C. 9812.

OBJECTIVES:

To finance business facilities and community development.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

An entity that receives an Intermediary Relending Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must relend all of the loan funds received from the IRP loan for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$250,000 to one ultimate recipient. (No more than 25 percent of an IRP loan approved may be used for loans to ultimate recipients that exceed \$150,000.)

Applicant Eligibility:

Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

Beneficiary Eligibility:

Ultimate recipients may include: For profit organizations, individuals, public and private nonprofit organizations.

Credentials/Documentation:

Intermediaries must have adequate legal authority and a proven record of successfully assisting rural businesses and industries. Ultimate recipients must not be located within a city with a population of 25,000 or more. Both intermediaries and ultimate recipients must be unable to obtain the loan at reasonable rates and terms through commercial credit or other Federal, State, or local programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program.

Application Procedure:

Potential intermediaries should file applications with the State office for the State in which the intermediary's headquarters is located. The application package includes Form 4274-1 and a written work plan. More details are available in 7 CFR 4274.343, or from the appropriate Rural Development State Office. The Rural Development administers the program on the local level.

Intermediaries develop their own application procedures for ultimate recipients.

Award Procedure:

The application of each intermediary will be evaluated by the RD State Office. Applications received by RBS will be reviewed and ranked quarterly and funded in the order of priority ranking.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or specific matching requirements, but RBS funds loaned to one ultimate recipient by an intermediary must not exceed 75 percent of the cost of the ultimate recipient's project.

Length and Time Phasing of Assistance:

Applicant intermediaries must show a need for the funds and limit the request to an amount they can expect to use within one year. After a loan is approved, the funds are released to the intermediary in multiple advances as required to fund loans to ultimate recipients.

Reports:

Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of each project.

Account Identification:

12-4233-0-3-452; 12-2069-0-1-452.

Obligations:

(Loans) FY 07 \$33,869,875; FY 08 est \$33,772,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$150,000 to \$1,000,000. Average: \$632,755.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 101 applications were received and 53 were approved. It is anticipated that in fiscal year 2009, 105 applications will be received including 20 carried over from fiscal year 2008 and 57 loans will be approved.

Considering the estimated funding level for fiscal year 2009, funding activity is anticipated to be similar to fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4274, Subpart D; 7 CFR 1951, Subpart R.

Regional or Local Office:

RBS State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rural Business-Cooperative Service, Room 6867, Stop 3225, South Agriculture Building, Washington, DC 20250-3225. Telephone: (202) 690-4100. FTS is not available.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.769, Rural Business Enterprise Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) \$750,000 loan to a nonprofit corporation to relend to businesses within a State; (2) \$750,000 loan to a nonprofit corporation to relend to businesses within 10 counties in one State; (3) \$600,000 loan to a nonprofit corporation serving an six-county area for relending to businesses; and (4) \$500,000 loan to a Native American community development corporation to relend to new and expanding businesses.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered in judging applications include: Financial condition, assurance of repayment ability, equity, collateral, experience and record of managing a loan program or providing other assistance to rural businesses, ability to leverage with funds from other sources, extent assistance would flow to low income persons.

10.768 BUSINESS AND INDUSTRY LOANS

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B,

OBJECTIVES:

To assist public, private, or cooperative organizations (profit or nonprofit), Indian tribes or individuals in rural areas to obtain quality loans for the purpose of improving, developing or financing business, industry, and employment and improving the economic and environmental climate in rural communities including pollution abatement and control.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Guaranteed loans may be extended for: (a) modernization, development costs; (b) purchasing and development of land, easements, rights-of-way, buildings, facilities, leases or materials; (c) purchasing equipment, leasehold improvements, machinery and supplies; (d) projects involving agricultural production, when not eligible for Farm Service Agency farmer program assistance and when it is part of an integrated business also involved in the processing of agricultural products and the agricultural production portion of the loan does not exceed the lesser of 50 percent of the total loan or \$1 million; and (e) pollution control and abatement. Maximum loan size is \$25 million. For cooperative organizations under certain conditions, the maximum loan size is \$40 million. Maximum time allowable for final maturity is limited to 30 years for land and buildings, the usable life of machinery and equipment purchased with loan funds, not to exceed 15 years, and 7 years for working capital. Interest rates for guaranteed loans are negotiated between the lender and the borrower. For loans of \$5 million or less, the maximum percentage of guarantee is 80 percent. For loans over \$5 million but not over \$10 million, the maximum percentage of guarantee is 70 percent. For loans in excess of \$10 million up to \$40 million, the maximum percentage of guarantee is 60 percent. Losses on principal advanced, including protective advances, and accrued interest, may be guaranteed by the Agency, but the maximum loss paid by RBS will never exceed the original principal plus accrued interest. Loans may not be made for: (a) distribution or payment to the owner, partners, shareholders, or beneficiaries of the applicant or members of their families when such persons shall retain any portion of their equity in the business; (b) the transfer of ownership of a business unless the loan will keep the business from closing, or prevent the loss of employment opportunities in the area, or provide expanded job opportunities; (c) the guarantee of lease payments; (d) charitable institutions, churches, fraternal organizations, lending and investment institutions and insurance companies; (e) any legitimate business activity where more than 10 percent of the annual gross income is derived from legalized gambling; (f) the guarantee of loans made by other Federal agencies except those made by Banks for Cooperatives, Federal Land Bank or Production Credit Associations; (g) golf courses; (h) racetracks where individual prizes are awarded in the amount of \$500 or more; (i) owner-occupied housing; (j) projects eligible for the Rural Rental Housing and Rural Cooperative Housing loans under sections 515, 521, and 538 of the Housing Act of 1949, as amended; and (k) any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Interested parties should contact the Rural Business-Cooperative Service (RBS) or the nearest Rural Development State Office which administers the programs at the local level. Insurance companies; (e) any legitimate business activity where more than 10 percent of the annual gross income is derived from legalized gambling; (f) the guarantee of loans made by other Federal agencies except those made by Banks for Cooperatives, Federal Land Bank or Production Credit Associations; (g) golf courses; (h) racetracks where individual prizes are awarded in the amount of \$500 or more; (i) owner-occupied housing; (j) projects eligible for the Rural Rental Housing and Rural Cooperative Housing loans under sections 515, 521, and 538 of the Housing Act of 1949, as amended; and (k) any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Interested parties should contact the Rural Business-Cooperative Service (RBS) or the nearest Rural Development State Office which administers the programs at the local level.

Applicant Eligibility:

An applicant may be a cooperative, corporation, partnership, trust, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe; a municipality, county, or other political subdivision of a State; or individuals in rural areas. Applicants for guaranteed loans must be located in one of the fifty States, Puerto Rico, Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of Palau, the Federated States of Micronesia, or the Republic of the Marshall Islands in rural areas other than a city, having a population of fifty thousand or more and its contingent adjacent urbanized area, as determined by the Secretary of Agriculture according to the latest decennial census of the United States. Preference is given to loans in open country, rural communities and towns with populations of 25,000 or less, and, on applications of equal priority, to veterans. Applicants must be U.S. citizens or reside in the U.S. after being legally admitted for permanent residence and, if corporations, at least 51 percent owned by such individuals.

Beneficiary Eligibility:

Beneficiaries include cooperatives, corporations, partnerships, trust or legal

entities organized and operated for profit or nonprofit, Federally recognized Indian Tribal Governments and individuals in rural cities and towns of less than 50,000 population.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All preapplication letters must be coordinated fully with appropriate State agencies in keeping with E.O. 12372, "Intergovernmental Review of Federal Programs," in a manner that will assure maximum support of the State's strategies for development of its rural areas. The application form as furnished by the Federal agency must be used for this program. An environmental assessment is required and an environmental impact statement may be required for this program. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Form 4279-1 is used for guaranteed loans and filed at the Rural Development State Office. These programs are excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are forwarded to the Rural Development State Director for review and final approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

If an application is denied, the reasons for denial are fully stated. The lender or applicant may individually or jointly request mediation or an appeal hearing by the National Appeals Staff within 30 days provided the request is in writing. The requestor and State Director are notified of the decision by the Hearing Officer.

Renewals:

None.

Formula and Matching Requirements:

Matching funds are not required. For existing businesses, applicants are required to provide a minimum of 10 percent tangible balance sheet equity for guaranteed loans. For new businesses, at least 20 percent is required for guaranteed loans. Feasibility studies are normally required. Exceptions to the feasibility study requirement may be made to businesses with adequate financial history.

Length and Time Phasing of Assistance:

The letter of conditions for the direct loan and the Conditional Commitment for the guaranteed loan specifies the time limit for the use of funds. The Loan Note Guarantee for a guaranteed loan will be issued when all of the requirements of the Conditional Commitment have been or will be met.

Reports:

Each guaranteed lender will be required to monitor and report to RBS on actual performance during the construction of each project financed, in whole or in part, with RBS assistance. When the project is in operation, field visits will be made and customary financial statements provided as determined by the guaranteed lender and RBS.

Audits:

Independent accountant compiled or reviewed financial statements prepared in accordance with Generally Accepted Accounting Principles are required annually for guaranteed loans and for direct loans with a principal and interest balance of less than \$1 million. RBS may require audited statements for guaranteed loans in excess of \$3 million.

Records:

Records and accounts must be maintained to reflect the operations of the project.

Account Identification:

12-4155-0-3-452; 12-0400-0-1-452.

Obligations:

(Guaranteed Loans) FY 07 \$830,435,486; FY 08 \$1,390,531,595; FY 09 est \$993,000,000.

Range and Average of Financial Assistance:

\$35,000 to \$17.5 million for guaranteed loans. \$2.0 million (average size) for B&I guaranteed loans.

PROGRAM ACCOMPLISHMENTS:

There was 390 guarantees made in fiscal year 2007; 588 guarantees loans made in fiscal year 2008. In FY 2009 anticipated number of loans is 462.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guaranteed Loans: 7 CFR Part 4279-A, Section 4279.1 through 4279.100, Part 4279-B, Section 4279.101 through 4279.200, and Part 4287-B, Section 4287.101 through 4279.200.

Regional or Local Office:

Consult your local telephone directory for appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3201. Telephone: (202) 690-4730. Fax: (202) 690-4737.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766, Community Facilities Loans and Grants; 10.769, Rural Business Enterprise Grants.

EXAMPLES OF FUNDED PROJECTS:

Loans made and guaranteed by RBS have been in order to assist a wide variety of manufacturing, retail, wholesale, and service businesses. Some recent loans and loan guarantees have assisted an agribusiness in expanding its service area, helped a radio station begin operations, constructed and equipped an ethanol plant, assisted a textile firm in modernizing and expanding its operations, and provided working capital and financing for machinery and equipment for a printing company.

CRITERIA FOR SELECTING PROPOSALS:

(1) Those projects that will save existing jobs, (2) improve existing business and industry, (3) create the greatest number of permanent jobs, (4) contribute to the overall economic stability of rural areas.

10.769 RURAL BUSINESS ENTERPRISE GRANTS

(RBEG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 92-419, 7 U.S.C. 1989, Public Law 101-624, Public Law 102-142, 7 U.S.C. 1932.

OBJECTIVES:

To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Rural business enterprise grant (RBEG) funds may be used to create, expand or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement to adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents. All uses must assist a small and emerging private business enterprise except for the TDG Program.

Applicant Eligibility:

Applicants eligible for RBE grants are public bodies and nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TDG grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

A small and emerging private business enterprise which will employ 50 or less new employees and has less than \$1.0 million in projected gross revenue. Public bodies, private non-profit corporations, and Federally recognized Indian tribes receive the grant to assist a business. Grants are not made directly to the business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Preapplication Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program.

Award Procedure:

After the preapplication has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

None.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. Applicant may request a review of this decision from the next higher management level of Rural Business-Cooperative Service.

Renewals:

None.

Formula and Matching Requirements:

Funds are allocated to States based on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office.

Length and Time Phasing of Assistance:

None.

Reports:

Periodic reports are made to RD.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations", State, local governments or Nonprofit Organizations that expend Federal financial assistance of \$500,000 or more within the fiscal year shall have an audit made for that year.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

FY 07 \$41,925,672; FY 08 \$44,223,026; and FY 09 est 38,727,000. Program is still anticipated to go to the Department of Commerce but is continued to be funded.

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average: \$96,668.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 572 grants were made. It is anticipated that in fiscal year 2008, the level of activity will be approximately the same. The program is listed to go to Department of Commerce in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, Subpart G.

Regional or Local Office:

Consult your telephone directory for RD local office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3222. Telephone: (202) 720-1400. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766, Community Facilities Loans and Grants; 10.768, Business and Industry Loans.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$25,000 grant was made for business development in an Empowerment Zone; (2) a \$500,000 grant was made to establish a revolving loan fund; and (3) a \$200,000 grant was made for construction of a manufacturing building.

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.770 WATER AND WASTE DISPOSAL LOANS AND GRANTS (SECTION 306C)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306C, 7 U.S.C. 1926(c), as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans.

USES AND USE RESTRICTIONS:

Funds may be used for 100 percent of costs to: Construct, enlarge, extend, or otherwise improve a community water or waste system; extend service lines and connect individual residences to a system. Allow applicant to make grants directly to individuals to: Extend service lines, connect resident's plumbing to system, pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures, and construction in dwelling of a bathroom.

Applicant Eligibility:

Local level governments, federally recognized Indian tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

Beneficiary Eligibility:

Public bodies, private nonprofit corporations, cooperatives, political subdivisions of a State, and Indian tribes.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his/her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program.

Application Procedure:

Applicants are required to file a application Form SF-424 with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. Applications must compete on a national basis for available funds.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Applicants that are determined to be not eligible may request review of this decision at a higher management level of Rural Development Utilities Programs.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Requests for funds are granted as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Colonias Grants) FY 07 \$24,750,000 FY 08 est \$24,750,000; and FY 09 est not reported. (Native American Tribe Grants) FY 07 \$16,335,000, FY 08 est \$16,000,000; and FY 09 est not reported. (Loans) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported. (Note: Grants are included in program 10.760, Water and

Waste Disposal Systems for Rural Communities. Only grant funds for Colonias have been appropriated for this program.)

Range and Average of Financial Assistance:

(Colonias Grants) FY 05 Average: \$757,600. (Native American Tribe Grants) FY 05 Average: \$586,900.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1777.

Regional or Local Office:

Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250-3200. Telephone: (202) 690-2670. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760, Water and Waste Disposal Systems for Rural Communities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A proposed project servicing a rural area with a population not in excess of 1,500, a median household income not in excess of 50 percent of the statewide no metropolitan median household income and servicing residents of a Colonia along the U.S./Mexico border will be given priority. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding. Fiscal year 2000 funding is limited to the Colonias.

10.771 RURAL COOPERATIVE DEVELOPMENT GRANTS

(RCDG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2347, Public Law 101-624; Consolidated Farm and Rural Development Act, Section 310B(e), 7 U.S.C. 1932; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; 5 U.S.C. 301; 7 U.S.C. 1989; 7 U.S.C. 1991; 16 U.S.C. 1005; 62 FR 42387 Aug/7/1997; Farm Security and Rural Development Act of 2002, Public Law 107-171.

OBJECTIVES:

To improve economic conditions in rural areas through cooperative development. The primary objective of the cooperative center concept is to provide technical assistance services, including feasibility analysis. The grant program will be used to facilitate the creation or retention of jobs in rural areas through the development of new rural cooperatives, value-added processing, and rural businesses.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for, but not limited to, the following activities to develop new cooperatives and improve existing cooperatives: applied research, feasibility, environmental, and other studies; collection, interpretation, and dissemination of principles, facts, technical knowledge, or other useful information; training and instruction; loans and grants; and technical assistance or advisory services to individuals, small businesses, cooperatives, or other similar entities in rural areas. Up to \$1.5 million may be used for applications that focus on assistance to small, minority producers through their cooperative businesses.

Applicant Eligibility:

Eligible applicants are nonprofit corporations and institutions of higher education. Grants may not be made to public bodies. A rural area for this program is defined as all territories of a State not within the outer boundary of any city having a population of 50,000 or more according to the latest decennial census of the United States.

Beneficiary Eligibility:

Rural residents.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested.

Preapplication Coordination:

An environmental assessment is generally not required for the Rural Cooperative Development Grant program. However, this program is eligible for coverage

under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard Federal application forms as furnished by the Federal Agency and required by Office of Management and Budget Circular Nos. A-110 and A-102 must be used for this program. An original and a copy of all Federal forms and application materials are to be filed with the appropriate Rural Development National Office. Electronic data submissions are encouraged. The National Office reviews the submittals to determine eligibility, then reviews, scores, and ranks all eligible applications.

Award Procedure:

See annual solicitation to determine the maximum amount of Federal funds to be awarded. Final processing and monitoring will be designated to the appropriate State Rural Development Office. Payments will be made by electronic funds transfer. In the event that the applicant is awarded a grant less than the amount requested, the applicant will be required to modify its application.

Deadlines:

Published in the Federal Register.

Range of Approval/Disapproval Time:

Sixty-to-120 days from the end of the application period as indicated in the annual solicitation in the Federal Register.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. An applicant may request that the Agency's decision be reviewed by the USDA National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

The total allocation is controlled by Congress. Applicants will be required to contribute at least 25 percent of the total project cost in cash or in-kind contributions that must be from nonfederal funds except that a loan from another federal source can be used. Applicants that are designated as 1994 Institutions" as defined in section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note; Pub. L. 103-382) are required to provide nonfederal financial support (matching funds) of at least 5 percent of the total project cost.

Length and Time Phasing of Assistance:

The grant program is conducted on an annual basis. Awards are made for a 12-month period. A Request for Advance or Reimbursement may be submitted monthly, but quarterly reimbursements are typical. The grantee's share of the costs will be disbursed in advance of grant funds or on a pro-rata distribution basis with grant funds during the disbursement period. Some reimbursements may be linked to submission of acceptable performance reports.

Reports:

Semiannual Financial Status Report, semiannual performance report, and a final project performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1900-0-1-452.

Obligations:

FY 07 \$3,586,838; FY 08 est \$4,900,000; and FY 09 est not reported. Up to \$1.473 million may be used for applications that focus on assistance to small, minority producers through their cooperative businesses.

Range and Average of Financial Assistance:

In FY 2007, \$3.5 million was awarded to 19 recipients, averaging \$188,000 with a range from \$85,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

The Rural Cooperative Development Grant program has established a number of rural cooperative development centers across the Nation. Efforts from these centers have improved the economic condition of rural areas through the development of new cooperatives and improved operations of existing cooperatives. USDA continues to encourage and stimulate the development of effective cooperative organizations in rural America as a part of its total package of rural development efforts.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subparts A and F; 7 CFR 3015; 7 CFR 3019. Final rules published in the Federal Register on April 29, 2004, revised 7 CFR 4284 Subpart F. The amendments implemented for Subpart F within 7 CFR Part 4284,

conform to the regulations for the Rural Cooperative Development Grant program contained within the newly implemented subpart A that consolidates provisions common to all grant programs administered by Cooperative Services within Rural Business Cooperative Service (RBS).

Regional or Local Office:

Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or through the RBS Web site.

Headquarters Office:

Assistant Deputy Administrator, Cooperative Services, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-8460. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative development centers use grant funds to provide technical assistance, applied research, technical training, and cooperative education to emerging or active rural cooperatives. Examples of recent cooperative activities include: director training, technical assistance in value-added processing and marketing, and developmental outreach.

CRITERIA FOR SELECTING PROPOSALS:

Preference will be given to those applicants that demonstrate: a proven track record in cooperative development; in providing technical assistance in rural areas; ability to facilitate the establishment of cooperatives and new cooperative approaches; and Transferability of approach to rural areas outside of project area. Projects will be selected that contribute the most to the improvement of economic conditions of the rural area.

10.772 EMPOWERMENT ZONES PROGRAM

(Empowerment Zones and Enterprise Communities)

FEDERAL AGENCY:

OFFICE OF COMMUNITY DEVELOPMENT, RURAL DEVELOPMENT, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1993, Title XIII, Public Law 103-66; Taxpayer Relief Act of 1997, Public Law 105-34; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1999, Public Law 105-277; Public Law 106-554, The Community Renewal Tax Relief Act of 2000.

OBJECTIVES:

The purpose of this program is to provide for the establishment of empowerment zones and enterprise communities in rural areas to stimulate the creation of new jobs, particularly for the disadvantaged, long-term unemployed, and out migration, and to promote revitalization of economically distressed areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The USDA Empowerment Zone and Enterprise Community (EZ/EC) program is the first step in rebuilding communities in America's poverty-stricken rural communities. It is designed to empower people and communities all across this Nation by inspiring Americans to work together to improve living conditions in their communities, and create jobs and opportunity. Under this program the Federal government has designated 57 rural areas as EZs and ECs. These communities meet certain poverty and distress criteria and have prepared creative strategic plans for revitalization. For the purposes of this program, Round I refers to those EZs and ECs designated in 1994, Round II refers to those designated in 1998 and Round III refers to those EZs designated in 2002. Empowerment Zones are eligible for private activity tax exempt bonding authority for qualifying enterprises and facilities. Bond issues are subject to State private activity bond caps and special limits on issue size. Round I Empowerment Zones are eligible for employer wage credits, with a phase out beginning in year 2005. Empowerment Zones are eligible for accelerated Section 179 expensing for eligible property. Certain environmental remediation expenditures for cleaning up sites in enterprise communities may be deducted in the year incurred by taxpayers, where those expenses would ordinarily be capitalized and depreciated over time. EZ/ECs are also eligible for qualified academy zone taxable bonding authority, where the holder receives a tax credit in an amount equal to a credit rate (set by the Treasury Department) multiplied by the face amount of the bond. Round II rural zones can each issue up to \$60,000,000 in "new bonds" to Finance Zone facilities in addition to Round I type tax exempt bonds. The benefits described above may or may not be all-inclusive. EZ/EC communities benefit from additional legislated benefits in the future. They receive special consideration in competition for funding under numerous Federal programs, including the National Service and Community Policing initiatives. The Federal government will focus special attention on working cooperatively with designated enterprise communities to overcome regulatory impediments, to permit flexible use of existing Federal funds, and to

assist these communities in meeting essential mandates. Round II Empowerment Zones have authority to issue private activity bonds for qualified enterprises and facilities, which are not subject to State private activity bond caps, and enjoy a greater allowance for section 179 expensing. Round II Empowerment Zones do not enjoy the employer wage credits mentioned above. All EC and EZ employers, however, may use the Work Opportunity Tax Credit, as such credit may be extended.

Applicant Eligibility:

USDA is not currently authorized to grant new designations for the EZ/EC program. If Congress authorizes a new round of designations, eligibility criteria based on the statutory requirements for the new round will be published in the Federal Register.

Beneficiary Eligibility:

USDA is not currently authorized to grant new designations for the EZ/EC program. If Congress authorizes a new round of designations, eligibility criteria based on the statutory requirements for the new round will be published in the Federal Register.

Credentials/Documentation:

A Notice Inviting Applications would be published in the Federal Register.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Notice Inviting Applications, to be published in the Federal Register.

Award Procedure:

Round II Designations are published in the Federal Register and are effective as of the date of publication.

Deadlines:

Published in the Federal Register.

Range of Approval/Disapproval Time:

Guidelines would be published in the Federal Register.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Period of designation: The designation of an area as an Empowerment Zone or Enterprise Community shall remain in full effect during the period beginning on the date of designation and ending on the earliest of: (1) the close of the tenth calendar year beginning on or after the date of designation; (b) the termination date designated by the State and local governments in their application for nomination; or (c) the date the Secretary modifies or revokes the designation.

Reports:

USDA requires semi-annual reports for the designated EZ/EC communities. These reports will identify the community, local government and State actions that have been taken in accordance with the strategic plan. In addition to these reports, such other information relating to designated empowerment zones and enterprise communities as USDA shall request from time to time shall be submitted promptly. On the basis of this information and of on-site reviews, USDA will prepare and issue periodic EZ/EC reports.

Audits:

In accordance with OMB Circular No. A-133 "Audits of States, Local Governments, and Nonprofit Organizations" as codified by USDA or 7 CFR Chapter XXX, Part 3052, nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records:

None.

Account Identification:

12-2065-0-1-452.

Obligations:

FY 07 \$11,697,938; FY 08 est \$10,724,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$500,000 to \$40 million over a ten year period.

PROGRAM ACCOMPLISHMENTS:

Leveraging ratio is 17:1.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 25 Designation of Empowerment Zones and Enterprise Communities. Program regulations may be found in 7 CFR Part 25 Designation of Empowerment Zones and Enterprise Communities.

Regional or Local Office:

Consult your local telephone directory for the USDA Rural Development Area Office number. If none are listed contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

USDA, Office of Community Development, 300 7th Street S.W., Reporters Building, Room 266, Washington, DC, 20024, Attn: David Sears, Acting Director. Telephone: (202) 619-7980. Internet website: www.ezec.gov.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

14.244, Empowerment Zones Program; 93.667, Social Services Block Grant.

EXAMPLES OF FUNDED PROJECTS:

Descriptions of designated communities and information on activities in the strategic plans are available on the internet website above, or upon request, from the Headquarters Office.

CRITERIA FOR SELECTING PROPOSALS:

Published in the Federal Register.

10.773 RURAL BUSINESS OPPORTUNITY GRANTS

(RBOG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Section 741, Public Law 104-127.

OBJECTIVES:

To promote sustainable economic development in rural communities with exceptional needs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development.

Applicant Eligibility:

Grants may be made to public bodies, nonprofit corporations, Indian tribes on Federal or State reservations or other Federally recognized tribal groups, and cooperatives with members that are primarily rural residents and that conduct activities for the mutual benefit of the members.

Beneficiary Eligibility:

Rural communities and businesses in rural areas.

Credentials/Documentation:

Evidence of legal capacity. Evidence of financial strength and expertise in activities such as proposed in the application, sufficient to ensure accomplishment of the activities and objectives described in the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to environmental review requirements; however, most applications are expected to qualify as general exclusions.

Application Procedure:

Potential applicants should file Standard Form 424.1, "Application for Federal Assistance (For Nonconstruction)," and additional material required by Agency regulations with the USDA Rural Development State Office. The application will include a written narrative and scope of work. More details are available from the Headquarters Office or any Rural Development State Office.

Award Procedure:

Applications will be given a priority score in accordance with the criteria set out in the program regulations.

Deadlines:

None.

Range of Approval/Disapproval Time:

30 days to 1 year.

Appeals:

Adverse decision may be appealed in accordance with procedures set out at 7 CFR 11

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements, although availability of matching funds is considered in determining priority.

Length and Time Phasing of Assistance:

Funding for complete projects is limited to projects that can be completed within 2 years. Projects of longer duration may only be funded for 1 year at a time.

Reports:

Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of the project.

Account Identification:

12-0400-0-1-452.

Obligations:

FY 07 \$6,939,689; FY 08 \$2,634,610; and FY 09 est \$2,634,600.

Range and Average of Financial Assistance:

\$12,000 to \$344,750. Average: \$78,846.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 107 grants were made. In FY 2008 the number of grants were 38. It is anticipated that in FY 2009, approximately the same number will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations were published in the Federal Register (64 FR 71984) on December 23, 1999. The CFR citation is 7 CFR 4284, Subpart G.

Regional or Local Office:

Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rural Business-Cooperative Service, USDA, Specialty Lenders Division, STOP 3225, Room 6767, 1400 Independence Ave., S.W., Washington, DC 20250-1521. Telephone: (202) 720-1400.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.769, Rural Business Enterprise Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$50,000 grant was made to help fund a business incubator; (2) a \$33,500 grant was made to a Native American tribe for technical assistance in implementing a business plan; (3) a \$344,500 grant was made to provide technical assistance in an Enterprise Community.

CRITERIA FOR SELECTING PROPOSALS:

Grant selection criteria include the extent to which economic development resulting from the proposed project will be sustainable over the long term; the extent to which the project should lead to improvements in the quality of economic activity within the community, such as higher wages, improved benefits, greater career potential, and the use of higher level skills; the amount of leveraging of funds from other sources; service to communities that are experiencing trauma due to a major natural disaster or the closing or major downsizing of a military facility or other major employer; service to communities that have remained consistently poor over the long term or have experienced long term population decline or job deterioration; and the extent of the project's usefulness as a best practice that may serve as a model for other communities.

10.774 NATIONAL SHEEP INDUSTRY IMPROVEMENT CENTER

(RURAL DEVELOPMENT)

FEDERAL AGENCY:

NATIONAL SHEEP INDUSTRY IMPROVEMENT CENTER, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127.

OBJECTIVES:

To assist the U.S. sheep and goat industries by strengthening and enhancing the production and marketing of sheep and goats and their products in the United States.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans; Direct Payments for Specified Use; Project Grants.

USES AND USE RESTRICTIONS:

(1) Making capital available for increasing production or improving production efficiency; (2) improving marketing efficiency or product quality; (3) promoting coordination in marketing systems and between industry participants; (4) communicating to meet the information needs of the industry and to inform the public and industry of the Center's activities; and (5) taking actions that will maintain the viability of the Center.

Applicant Eligibility:

Eligible entities include public, private, or cooperative organizations, associations, including corporations not operated for profit, Federally-recognized Indian Tribes or public or quasi-public agencies. An individual is not considered an eligible entity. Fifty-one percent of the entity must be owned by citizens of the United States or reside in the United States after being legally admitted for permanent residence.

Beneficiary Eligibility:

Eligible entities include public, private, or cooperative organizations, association, including corporations not operated for profit, Federally-recognized Indian Tribes or public or quasi-public agencies. An individual is not considered an eligible entity. Fifty-one percent of the entity must be owned by citizens of the United States or reside in the United States after being legally admitted for permanent residence.

Credentials/Documentation:

Applicants must demonstrate that a competent management team is in place, clearly defining each management team member's role, responsibilities and credentials. Applicants must demonstrate that the management team has the technical, marketing and financial expertise to manage all aspects of the proposed project or program.

Preapplication Coordination:

Eligible applicants should submit proposed projects on the "Proposal Application, National Sheep Industry Improvement Center" form (under review, available in 2000). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposal Application, NSIIC, may be submitted at any time to: NSIIC, PO Box 23483, Washington, DC 20026-3483 (Physical location: NSIIC, 1400 Independence Ave., S.W., (Room 2117), Washington DC. 20250). Funding and policy decisions are made by the NSIIC Board of Directors. Board of Director application review sessions are held following the end of each calendar quarter (March 31, June 30, September 30 and December 31). Applications must be received on or before the end of each calendar quarter to be considered. Applications not received within the allotted time period will be considered in the next review session.

Award Procedure:

The "Proposal Application" is used by the NSIIC Board of Directors to negotiate agreements and complete additional forms. Funding is made through rural development.

Deadlines:

March 31, June 30, September 30, and December 31.

Range of Approval/Disapproval Time:

Several months, including approval by the NSIIC Board of Directors.

Appeals:

Applications submitted, but not funded at a regular Board of Directors review session, may be resubmitted. Applications not funded or carried forward will include a summary stating the reasons.

Renewals:

In general, funding by the NSIIC is intended to be a one-time affair. The financial status of the company and the requested amount of funding are analyzed carefully at the time the decision is made to fund the project. If the Board determines the amount of funding to be inappropriate, the figures are adjusted during the final negotiations with the applicants. Thus, the applying entity, is then in a position to meet the terms of the agreement.

Formula and Matching Requirements:

NSIIC will: (1) Not exceed 50 percent of the project cost on equity investments included in Direct Payments for Specified Use; (2) not exceed \$1 million for a direct loan; and (3) require that loan guarantees: (a) have an eligible lender, as defined in the NSIIC Strategic Plan; (b) not exceed \$1 million; (c) not exceed 80 percent of the guaranteed loan; and (d) be assessed a 1 percent per annum guarantee fee by NSIIC.

Length and Time Phasing of Assistance:

Terms are negotiable between applicants and the NSIIC Board of Directors. The term of a loan may not exceed the shorter of: (i) The useful life of the activity financed; or (ii) 40 years.

Reports:

Periodic reports showing the progress of the project are required from eligible entities that receive NSIIC funds. The frequency and the detail required in these reports are proportionate to the risk and amount of money invested in the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Successful applicant receiving funds from NSIIC shall maintain adequate records and accounts using generally accepted accounting principles to assure that the funds are used for authorized purposes.

Account Identification:

12-1906-0-1-452.

Obligations:

Not separately identifiable. (NOTE: NSIIC is a revolving fund with a \$26 Million appropriation from Congress (ultimately to be \$50 Million appropriated at which time the fund will privatized) without fiscal year limitations. Equity Investments will be awarded.)

Range and Average of Financial Assistance:

Negotiated with the Board of Directors under the limitations set forth in the annual NSIC Strategic Plan. Each program or project loan may not exceed \$1 million.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Strategic Plan, Proposal Application.

Regional or Local Office:

None.

Headquarters Office:

NSIC, 1400 Independence Ave., S.W. room 2117 Washington DC. 20250. Physical location: 1400 Independence Ave., S.W., room 2117 Washington DC. 20250. Telephone: (202) 690-0632. Fax: (207) 236-6576.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/coops/cssheep.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Board of Directors will consider the impact the project will have on the sheep or goat industries by evaluating the financial feasibility, business system, management team, potential impact, and summary of overall strengths and weaknesses of the proposed project.

10.775 RENEWABLE ENERGY SYSTEMS AND ENERGY EFFICIENCY IMPROVEMENTS PROGRAM

(RES and EEI)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Pursuant to enactment of the Farm Security and Rural Investment Act of 2002, Title IX, Section 9006.

OBJECTIVES:

To create a program to make direct loans, loan guarantees, and grants to agricultural producers (farmers and ranchers) and rural small businesses to help reduce energy costs and consumption and help meet the nation's critical energy needs.

TYPES OF ASSISTANCE:

Direct Loans; Loan Guarantees/Grants.

USES AND USE RESTRICTIONS:

The amount of a grant shall not exceed 25 percent of the cost of the eligible project costs. The maximum amount of combined grant and loan made or guaranteed shall not exceed 50 percent of the eligible project costs. The funds must be used for purchase of renewable energy systems or energy efficiency improvements.

Applicant Eligibility:

An eligible applicant must be an agricultural producer or rural small business. Individual applicants must be citizens of the United States (U.S.) or reside in the U.S. after being legally admitted for permanent residence. The applicant or owner must not have an outstanding judgment, delinquent on any Federal debt or debarred from receiving Federal assistance.

Beneficiary Eligibility:

Farmers, ranchers and rural small businesses.

Credentials/Documentation:

An Agricultural Producer (farmer or rancher) must be directly engaged in the production of agricultural products, including crops; livestock; forestry products; hydroponics; nursery stock; or aquaculture, whereby 50 percent or greater of their gross income is derived from the operations. A small business must meet the Small Business Administration's Business Size Standards by North American Industry Classification System; including all parent, affiliate, or subsidiary entities at other locations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit a complete application to the Rural Energy Coordinator in their respective State. A complete application includes standards forms 424, 424C and 424D plus Rural Development forms, feasibility studies, energy audits, environmental assessment (form RD 1940-20), and written technical requirements. This program is excluded from coverage under E.O. 12372.

Award Procedure:

State Rural Development Office personnel will review all applications. Ineligible and incomplete applications may be returned to the applicant and not evaluated further. Projects not financially or technically feasible will not be considered for funding. Qualified industry experts will review the technical

requirements of the applications. The State Rural Development Office will score the application based on the Evaluation Criteria and submit it to the National Office to be reviewed and ranked. The National Office will rank applications based on its total score. The highest scoring applications will be selected until all the funds are depleted. Recommendations for funding will be forwarded to the Administrator of RBS, who will award the grants.

Deadlines:

The Grant applications have two deadlines: Application must be postmarked no later than April 15, 2008 and June 16, 2008. The Combination loan and grant applications will be accepted and processed until June 16, 2008. Combination packages will be evaluated on a bi-weekly basis. Guaranteed loan applications will be accepted and processed until June 16, 2008, in a rolling application manner.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with RBS.

Appeals:

Adverse actions made on applications are applicable, pursuant to 7 CFR part 11.

Renewals:

None.

Formula and Matching Requirements:

The applicant must provide at least 75 percent of leveraged funds if the request is for grant funds. For a combination grant, loan and loan guarantee, the applicant must provide at least 50 percent of leveraged funds. In-kind contributions and other Federal grants may not be used to meet the 75 percent requirement.

Length and Time Phasing of Assistance:

Grant funds will be provided on a reimbursement basis unless the funding partners require the funds to be disbursed on a pro rata basis.

Reports:

A financial status report and a project performance report will be required on a quarterly basis (due 30 working days after end of the quarter). Grantees shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished.

Audits:

Accounting records prepared in accordance with generally accepted accounting principles (GAAP) and supported by source documentation.

Records:

Applicant will provide a financial management system in accordance with 7 CFR Part 3015. The applicant will also retain financial records, supporting documents, statistical records, and other records pertinent to the award for a period of at least three years after final disbursement, except that the records shall be retained beyond the three-year period if audit findings have not been resolved.

Account Identification:

Not available at this time.

Obligations:

(Loans) FY 07 \$55,846,437; FY 08 est \$204,953,560; and FY 09 est not reported. (Grants) FY 07 \$20,553,428; FY 08 est \$15,888,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range \$2,500 to \$500,000; Average \$125,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 562 projects were funded. Based on funding levels, we anticipate approximately the same number of projects will be funded in fiscal year 2008. The Agency anticipates the program to be at or above the same funding level for fiscal year 2009, funding activity is anticipated to be 600.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Funds Availability was published in the Federal Register for this fiscal year's funding. Regulations will be developed and published in the Federal Register this fiscal year.

Regional or Local Office:

The NOFA that was published in the Federal Register on March 6, 2008, provides the names, addresses and phone numbers of the contacts for each State.

Headquarters Office:

Rural Business-Cooperative Services, Department of Agriculture, 1400 Independence Avenue SW., Washington, DC 20013. Telephone: (202) 720-1400. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$20,000 grant for the purchase and installation of a small solar system. (2) a \$224,000 grant for the purchase of a large wind turbine. (3) a \$50,000 grant to make energy efficiency improvements to an existing facility. (4) a \$171,386 grant for an anaerobic digester. (5) a \$10 million guaranteed loan for the purchase and installation of a biomass electric generation facility.

CRITERIA FOR SELECTING PROPOSALS:

State Rural Development Office personnel will review all applications and score it on bases of the Evaluation Criteria and submit it to the National Office to be

reviewed and ranked. the National Office will rank applications based on its total score. The highest scoring applications will be selected until all the funds are depleted. Recommendations for funding will be forwarded to the Administrator of RBS, who will award the grants.

10.778 RESEARCH ON THE ECONOMIC IMPACT OF COOPERATIVES

(REIC)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006, Title III, Public Law 109-97, as amended, Department of Defense Appropriations Act, 2006, Division B, Title III, Chapter 8, Section 3801, Public Law 109-148.

OBJECTIVES:

The encouragement of research on the national economic impact or value of all types of cooperatives in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements).

USES AND USE RESTRICTIONS:

An REIC cooperative agreement is to be used to conduct research on the national economic impact of all types of cooperative organizations. The research is to include development of methodology and collection and analysis of data as well as describing the economic impacts. Indirect costs are restricted to no more than 10 percent of the direct cost. Direct costs are not to include construction or fixed assets.

Applicant Eligibility:

Institutions of Higher Education, including consortia of academic institutions, research foundations maintained by a college or university, or private nonprofit organizations funded by a group of colleges or universities, are eligible to apply.

Beneficiary Eligibility:

Public or private colleges or universities.

Credentials/Documentation:

Applicants must provide a budget supporting the work plan that details all sources and uses of funds during the project period. Applicants are required to verify matching funds, both cash and in-kind such that USDA can verify all representations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the www.grants.gov Federal Web site. Unless otherwise specified, applicants must file an original and two copies of the proposal and all required forms or as otherwise instructed in the annual announcement. An applicant must submit Form SF-424, Form SF-424A, Form SF-424B, and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement: (1) Title Page; (2) Table of Contents; (3) Executive Summary; (4) Eligibility Discussion; (5) Proposal Narrative including, but not limited to: (i) Project Title; (ii) Information Sheet; (iii) Goals of the Project; (iv) Work Plan; (v) Proposal Evaluation Criteria; (6) Certification of Judgment; and (7) Verification of Matching Funds.

Award Procedure:

Qualified academic institutions must submit their application to the Rural Development-Cooperative Programs National Office where it will be reviewed and scored on a competitive basis as described in the annual solicitation for proposals. If selected for an award, the applicant must complete the objectives as defined in the approved proposal.

Deadlines:

Contact the headquarter office for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days after the application is filed with the Rural Development-Cooperative Programs.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The cooperative agreement funds will be dispersed quarterly on a reimbursement basis over a period of up to two years.

Reports:

Progress reports must be submitted to the Rural Development-Cooperative Programs National Office within 30 days of the end of each calendar quarter. A

final report must be submitted to the Rural Development-Cooperative Programs National Office within 90 days after the project is completed. Form SF-269 or SF-269A, "Financial Status Report" must be submitted Quarterly. Form SF-270 "Request for Advance or Reimbursement" may be submitted when required, but no more often than monthly.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, non-Federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

The recipient will maintain adequate records and accounts to assure that agreement funds are used for authorized purposes. Records will also be maintained by the Rural Development-Cooperative Programs National Office and Rural Development Budget Office according to USDA and Agency record-keeping requirements.

Account Identification:

12-1900-0-1-452.

Obligations:

FY 07 \$500,000; FY 08 est \$491,535; and FY 09 est not reported.

Range and Average of Financial Assistance:

One agreement in the amount of \$491,535 is anticipated.

PROGRAM ACCOMPLISHMENTS:

This program was new in FY 2006. In previous years 3 to 5 applications were received and it is anticipated that the same number will be received and 1 award will be made in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015; 7 CFR 3019. Please see all details in the program notice of request for proposals in the Federal Register. Application guidelines are on the website listed below and through www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

USDA-Rural Development's Cooperative Programs, 1400 Independence Ave., S.W., Stop 3252, Washington, DC 20250-3252 Telephone: (202) 690-0368, Fax: (202) 690-2723 E-mail: cpgrants@wdc.usda.gov.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/coops/reic.htm>.

RELATED PROGRAMS:

None

EXAMPLES OF FUNDED PROJECTS:

None available at this time.

CRITERIA FOR SELECTING PROPOSALS:

All eligible and complete applications will be evaluated by a review panel based on the criteria published in the Federal Register. The top ranked proposal will be funded.

10.850 RURAL ELECTRIFICATION LOANS AND LOAN GUARANTEES

(RUS)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.

OBJECTIVES:

To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Long-term, direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving inadequate or unreliable electric services on a continuing basis in rural areas.

Applicant Eligibility:

Rural electric cooperatives, public utility districts, power companies, municipalities, and other qualified power suppliers including those located in the U.S. Territories.

Beneficiary Eligibility:

Persons in rural areas who do not have electric service, have inadequate or unreliable electric service, or those currently served through RUS financing. Rural areas are defined in the Rural Electrification Act as any area of the United States not included within the boundaries of any urban area as defined by the Bureau of the Census. Such term shall be deemed to include both the farm and nonfarm populations.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87,

"Cost Principles for State, Local and Indian Tribal Governments."

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental impact assessment or an environmental impact statement will be required. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102, "Grants and Cooperative Agreements with State and Local Governments."

Application Procedure:

Applications for direct loan and loan guarantees are made by resolution of the board of directors of the borrowing organization. Information on submitting applications is contained in 7 CFR Part 1710 and 1714. This program is excluded from coverage under OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutes of Higher Education, Hospitals and other Nonprofit Organizations."

Award Procedure:

Depending on the amount of the application, the RUS Administrator, Assistant Administrator, or appropriate Regional Director makes the final decision on approval of the loan upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

None.

Range of Approval/Disapproval Time:

Direct loans are approved generally on an average of 3 to 6 months as funding becomes available. Loan guarantees are approved generally on an average of 9 to 12 months.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Under certain conditions, obtaining a municipal rate will require obtaining a concurrent loan (of 10, 20, or 30 percent of the total amount required) from a supplemental financing source. The factors used for determining the amount of concurrent financing required are set forth in 7 CFR 1710.110

Length and Time Phasing of Assistance:

Direct loans are generally made for a period up to 35 years, that approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval.

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. All other RUS borrowers have their books audited annually by a Certified Public Accountant. Information on audits of RUS borrowers is contained in 7 CFR Part 1773.

Records:

RUS borrowers keep the records prescribed for utility companies by the RUS uniform system of accounts, and by sound business practices.

Account Identification:

12-1230-0-1-271; 12-4230-0-3-271.

Obligations:

(Direct Loans) FY 07 \$2,542,001,000; FY 08 est not available; and FY 09 est not reported. (Guaranteed FFB) FY 07 \$3,600,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Direct Loans: \$772,000 to \$1,000,000,000 \$23,664,000 Average. Guaranteed FFB: \$334,600 to \$82,448,000 \$12,924,000 average.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR XVII. RUS publishes and issues upon request bulletins bearing on the financing, construction, operation, and maintenance of rural electric systems. Also available are annual statistical summaries; and the Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Administrator, Rural Utilities Service, Department of Agriculture, Washington, DC 20250-1500. Telephone: (202) 720-9540. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.854, Rural Economic Development Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.851 RURAL TELEPHONE LOANS AND LOAN GUARANTEES

(Rural Telephone Loans and Loan Guarantees)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.

OBJECTIVES:

To assure that people in eligible rural areas have access to telecommunications services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve Telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants. The population figure is obtained from the Bureau of Census. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Applicant Eligibility:

Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.

Beneficiary Eligibility:

Residents of rural areas, and others who may also receive telephone service as a result of service provided to a rural area.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental impact assessment or an environmental impact statement will be required. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Depending on the amount of the application, and loan committee(s) recommendation, the RUS Administrator, Assistant Administrator, or appropriate Area Director makes the final decision on approval of the loan or loan guarantee upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Applications are processed on a first-come, first-serve basis through out the fiscal year ending September 30.

Range of Approval/Disapproval Time:

Normal loan processing time is from 2 to 3 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The statistical factor used for eligibility is any area of the United States; its territories or possessions, not within the boundaries of any city, village or borough having a population over 5,000 (farm and nonfarm). The source is the

Department of Commerce's Bureau of the Census. The statistical factor used for fund allocation does not apply to this program.

Length and Time Phasing of Assistance:

Direct and guaranteed loans can be made not to exceed the economic life of facilities and equipment. (average loan maturity is 18 yrs). Provision is normally made for advances to be completed within 5 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of guaranteed loans are negotiated between the borrower and the non-RUS lender, subject to RUS approval.

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. All other RUS borrowers have their books audited annually by a Certified Public Accountant. Information on audits of RUS borrowers is contained in 7 CFR Part 1773.

Records:

RUS borrowers keep the records prescribed for telephone companies by the State regulatory body having jurisdiction, or by the Federal Communications Commission if there is no such regulatory body.

Account Identification:

12-1230-0-1-271; 12-4230-0-3-271.

Obligations:

(Hardship Loans) FY 07 \$54,413,000,000; FY 08 est \$145,000,000; and FY 09 est not reported. (Cost of Money Loans) FY 07 \$397,792,000; FY 08 est \$250,000,000; and FY 09 est not reported. (FFB Treasury Loans) FY 07 \$129,961,000; and FY 08 est \$295,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

For FY 2006: Direct loans: \$249,000 to \$55,658,000; Average: \$13,774,634. Loan Guarantees: \$2,351,000 to 23,877,000; Average: \$12,500,000.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2004, 65 borrowers received loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR-XVII. RUS publishes and issues upon request technical operations manuals and bulletins covering financing, construction, operation and maintenance of rural telephone systems. Also available are annual statistical summaries; and Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, U.S. Department of Agriculture, 1400 Independence Avenue, SW., STOP 1590, Room 5151, Washington, DC 20250 1590. Telephone number (202) 720 9554, Facsimile (202) 720 0810.

Web Site Address:

<http://www.usda.gov/rus/>

RELATED PROGRAMS:

10.886, Rural Broadband Access Loans and Loan Guarantees.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.854 RURAL ECONOMIC DEVELOPMENT LOANS AND GRANTS

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.

OBJECTIVES:

To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Projects which promote rural economic development and job creation. For fiscal year 2007, maximum amount of grant is \$300,000; maximum amount of loan is \$740,000. Maximum term of loan is ten years at zero interest rate.

Applicant Eligibility:

Electric and telephone utilities that have current loans with the Rural Utilities Service (RUS) or Rural Telephone Bank loans or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application Form: OMB Standard Form 424. This program except for feasibility studies is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." The RUS utility should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102. An environmental review is conducted by the agency for the projects. An environmental assessment without scooping is occasionally required.

Application Procedure:

Submit application as described in 7 CFR 4280.39, no State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to rural economic development projects.

Deadlines:

Applications may be filed on any working day during the month.

Range of Approval/Disapproval Time:

Open ended.

Appeals:

None.

Renewals:

Renewal of same application for one year. Submit new or revised application as often as desired.

Formula and Matching Requirements:

This program requires supplemental funds in the amount of 20 percent of the RBS application amounts.

Length and Time Phasing of Assistance:

None.

Reports:

Reporting need established in 7 CFR 4280, Subpart A.

Audits:

Financial audit of the RUS utility is required.

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-4230-0-3-271; 12-3108-0-1-452; 12-3105-0-1-452.

Obligations:

(Loans) FY 07 \$26,167,000; FY 08 \$33,120,656; and FY 09 est \$ot reported. (Grants) FY 07 \$9,963,000; FY 08 \$10,000,000; and FY 09 est not reported. (Note: Grants to establish Revolving Loan Fund Programs.)

Range and Average of Financial Assistance:

The maximum amount of loan is \$740,000. The maximum amount of Grant is \$300,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 81 projects were funded. In fiscal year 2008, the level of activity was 92. At this time, the estimated funding level for fiscal year 2009, has not been reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

Consult your local telephone directory for RD District Office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the catalog.

Headquarters Office:

Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1400.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$740,000 loan to construct an addition to a hospital to house a Rural Health Clinic. (2) a \$450,000 loan to purchase equipment to be used in a business expansion. (3) a \$300,000 grant to establish a revolving loan fund to provide business loans to businesses in 10 counties.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

10.855 DISTANCE LEARNING AND TELEMEDICINE LOANS AND GRANTS

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law

OBJECTIVES:

To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans.

USES AND USE RESTRICTIONS:

Telecommunications, computer networks and related advanced technologies that provide educational and/or medical benefits to students, teachers, medical professionals and rural residents. Eligible costs depend on the type of financial assistance being requested; i.e., grant or loan. See 7 CFR Part 1703 for details.

Applicant Eligibility:

To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity. The applicant must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities, particularly in the areas of education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1703 for details.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular No. A-110 (7 CFR 3015). This program is not subject to the provisions of OMB Circular No. A-102.

Application Procedure:

Submit application Form 424; non-delinquent on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information.

Award Procedure:

Based on a determination that the loan is economically feasible and serves the purposes of the Rural Electrification Act, loans are approved. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

See the federal register notice for grant application deadline. Loan applications may be submitted anytime and loans are approved throughout the year.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available. Applications that have not been approved may be resubmitted each year for consideration.

Appeals:

A grant applicant may appeal the numerical scoring to the Secretary in writing within 10 days after applicant is notified of the scoring level.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no formula requirements. A 15 percent matching contribution will be required from the applicant. No matching is required for applicants requesting loans.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. Extensions may be granted.

Reports:

SF 269, "Financial Status Report," and project performance activity report required annually.

Audits:

For a grant recipient, an audit report is required for the years in which funds are received. For a loan recipient, an audit report is required annually until all RUS loans have been repaid.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Grants) FY 07 \$15,000,000; FY 08 est \$24,750,000; and FY 09 est not reported. (Loans) FY 07 \$127,914,000; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The minimum grant is \$50,000. The maximum varies and is not to exceed the amount established by the RUS Administrator.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1703, subparts D through G. Distance Learning and Telemedicine Program Grant Application Guide - Fiscal Year 2007 Distance Learning and Telemedicine Program Loan and Combination Loan-Grant Application Guide - Fiscal Year 2007.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, Department of Agriculture, 1400 Independence Avenue, S.W., STOP 1590, Room 5151, Washington, DC 20250 1590. Telephone: (202) 720 9554, Fax: (202) 720 0810.

Web Site Address:

<http://www.usda.gov/rus/telecom/dlt/dlt.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Depending on the type of financial assistance: interactive rural education networks, remote health care units linkups, computer terminals that are required for a distance learning or telemedicine system, video and audio equipment, site development and alteration of buildings, telecommunications transmission facilities, and other telemedicine or distance learning projects.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1703, subparts D through G.

10.856 1890 LAND GRANT INSTITUTIONS RURAL ENTREPRENEURIAL OUTREACH PROGRAM

(1890 REOD Initiative)

FEDERAL AGENCY:

BUSINESS AND COOPERATIVE PROGRAMS, RURAL DEVELOPMENT, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

7 U.S.C. 2204b(b)(4) and Executive Order 13256 (February 12, 2002), President's Board of Advisors on Historically Black Colleges and Universities.

OBJECTIVES:

To encourage 1890 Institutions to provide technical assistance for business creation in economically challenged rural communities, to conduct educational programs that develop and improve upon the professional skills of rural entrepreneurs, and to provide outreach and promote USDA Rural Development programs in small rural communities with the greatest economic need.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Rural Development cooperative agreements with the 1890 Institutions must be used to strengthen the capacity of rural communities to undertake innovative, comprehensive, citizen led, long-term strategies for community and economic development. Only one application or proposal per 1890 institution per year will be accepted. Recognized outreach efforts to promote Rural Development programs in targeted underserved rural communities include, but are not limited to: (a) business startup and related technical assistance; seminars and workshops for small businesses; (b) development of management and technical assistance plans that: (1) assess small business alternatives to traditional agriculture; (2) assist in the development of business plans or loan packages, marketing, or bookkeeping; (3) assist and train small businesses in customer relations, product development, or business planning and development; (c) feasibility studies; (d) advice and recommendations regarding best practices in community economic development; (e) the conduct of seminars to stimulate business and economic development; and (f) developing computer technology outreach and establishing and maintaining a computer network system, linking community leaders and residents to available economic development information. Rural Development funds may be used to pay up to 75 percent of the costs for carrying out relevant projects. Applicants' contributions (matching

25 percent) may be in cash or in-kind contributions but must be from non-Federal funds. The applicant and assigned personnel must also have expertise and experience in providing the recommended assistance. Applicants should also have a previous record of successful implementation of similar projects and must have the expertise in the use of electronic network technologies and/or a business information system network website.

Applicant Eligibility:

1890 Land Grant Institutions and Tuskegee University (1890 Institutions).

Beneficiary Eligibility:

The 1890 REOD Initiative is limited to residents located in a rural area as defined in 7 U.S.C. 1991(a)(13) with economic need. Location in an Empowerment Zone, Enterprise Community, Champion Community, Federally-recognized Tribal Indian groups or other Federally declared economic depressed or disaster area is sufficient evidence of economic need. Eligible beneficiaries must also be located in communities that show significant community support for the proposal. Preference will be given for projects that operate in a multi-county service area. See annual notice of funding availability for details.

Credentials/Documentation:

Eligible applicants are 1890 Land Grant Institutions and Tuskegee University. Eligible applicants must provide matching funds equal to at least 25 percent of the amount provided by Rural Development in the cooperative agreement. See annual notice of funding availability for details.

Preapplication Coordination:

Applicants are encouraged to submit applications electronically through Grants.gov. Applicants must follow the instructions given at "http://www.grants.gov" website. Applicants are advised to visit the site well in advance of the application deadline if they plan to apply electronically to insure that they have obtained the proper authentication and have sufficient computer resources to complete the application. To obtain paper application instructions and all required forms, contact Rural Development--Cooperative Programs, USDA. The application forms and instructions may be requested via e-mail (edgar.lewis@wdc.usda.gov) and are also located on the Business and Cooperative Programs website noted later in this announcement. This program is excluded from coverage under E.O. 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Submit (1) Form SF-424, Application for Federal Assistance; (2) Form SF-424A, Budget Information--Non-Construction Programs; (3) Form SF-424B, Assurances--Non-construction Programs; (4) Form AD-1047, Certification Regarding Debarment, Suspension and other Responsibility Matters; (5) Form AD-1049, Certification Regarding Drug Free Workplace Requirements (6) Form SF-LLL, Disclosure of Lobbying Activities; and, (7) other information as requested by the annual Request For Proposals. See annual solicitation in the Federal Register for specific procedures.

Award Procedure:

The 1890s Institutions must submit their application to the Rural Development--Cooperative Programs National Office where it will be reviewed and scored on a competitive basis as described in the annual solicitation for proposals. If selected for an award, the applicant must complete the objectives as defined in the approved proposal.

Deadlines:

Annual deadline as published in the Federal Register.

Range of Approval/Disapproval Time:

From 60 to 120 days after solicitation closes and application is filed with the Rural Development--Cooperative Programs.

Appeals:

Applicants may appeal any adverse decisions to the National Appeals Division.

Renewals:

This program is conducted annually and requires a new application and competition each year. Hence, renewals are not applicable.

Formula and Matching Requirements:

Federal funds may be used to pay up to 75 percent of the costs for carrying out relevant projects. Applicants' contributions may be in cash or in-kind contributions and must be from non-Federal funds.

Length and Time Phasing of Assistance:

Matching funds must be spent in proportion to the spending of funds received from the cooperative agreement. All awards are for one year from the beginning of the performance period as specified in the terms and conditions of the agreement.

Reports:

Progress reports must be submitted to Rural Development--Cooperative Programs National Office on a quarterly basis. A final report must be submitted to Rural Development--Cooperative Programs National Office within 90 days following the end of the performance period. All reports forwarded to Rural Development--Cooperative Programs National Office must also be forwarded to the Rural Development State Office. Upon request by Rural Development--Cooperative Programs National Office, the recipient will deliver manuscripts, videotapes, software, or other media, as may be identified in approved proposals. Recipients will deliver project outreach success stories and other project related information requested by Rural Development--Cooperative Programs National

Office for use on the website.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, non-Federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. Those non-Federal entities exempt from Circular A-133 will be audited by the Rural Development State Office.

Records:

The recipient will maintain adequate records and accounts to assure that agreement funds are used for authorized purposes. Records will also be maintained by the Rural Development--Cooperative Programs National Office National Office and RD Budget Office according to USDA and Agency record-keeping requirements.

Account Identification:

12-0403-0-1-452.

Obligations:

FY 07 \$1,500,000; FY 08 est not available; and FY 09 est not reported. (NOTE: Program funds are discretionary in nature and funding decisions are made during the third quarter of the fiscal year. Cooperative Agreements for technical assistance with 1890 Institutions totaled the following amounts in the year indicated.)

Range and Average of Financial Assistance:

Cooperative Agreements awarded typically range from \$75,000 to \$100,000 and average \$136,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015; 7 CFR 3017; 7 CFR 3018; 7 CFR 3019; 7 CFR 3021; and, 7 CFR 3052. NOTE: Executive Order 13256 (February 12, 2002), President's Board of Advisors on Historically Black Colleges and Universities. Please see all details in the annual program notice of funding availability in the Federal Register.

Regional or Local Office:

Contact the appropriate RD State Office or check the website noted in this announcement. Email: CPGGrants@wdc.usda.gov.

Headquarters Office:

Edgar L. Lewis, Rural Development 1890 Land Grant Outreach Manager, Cooperative Programs, Business and Cooperative Programs, U.S. Department of Agriculture, 1400 Independence Ave. SW, Stop 3252, Washington, DC 20250 3252. Telephone: (202) 690-3407.

Web Site Address:

http://www.rurdev.usda.gov/rbs/oa/1890.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative Agreement funds were used to fund outreach initiatives including technical assistance in economic and community development, feasibility studies, research, market development, loan packaging, conducting workshops and seminars in the area of business and economic development, and developing and providing access to computer technology and website development to the targeted population and communities.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be evaluated in a two-part process. First, each application will be screened to ensure that it meets the administrative requirements as set forth in a Notice of Request for Proposals. Second, a number of expert reviewers will conduct a merit review based on the "Evaluation Criteria and Weights" section of the Notice of Request for Proposals as published in the Federal Register.

10.857 STATE BULK FUEL REVOLVING FUND GRANTS

(RUS)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

To provide grants to State entities for revolving funds to promote more cost-effective fuel purchases for communities where fuel cannot be shipped by surface transportation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds must be used to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where fuel cannot be shipped by means of surface transportation. Not more than 4 percent of the funds made available

under the grant may be used for planning and administrative expenses.

Applicant Eligibility:

Applicants are limited to State entities and to entities of any Territory, possession or other area authorized to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended. Entities must be in existence as of November 9, 2000.

Beneficiary Eligibility:

Assistance must be used to improve the cost-effectiveness of fuel purchasing for communities that are dependent on fuel deliveries by water or air.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register. Requirements for applications are described in the Federal Register notice and may be requested from the Agency. Eligible entities should provide expressions of interest in applying for grants to the Assistant Administrator, Electric Programs.

Award Procedure:

Grants are awarded competitively.

Deadlines:

Deadlines will be announced in Notice of Funding Availability published in the Federal Register. Applications will be accepted during the announced application window and awarded at the close of the window.

Range of Approval/Disapproval Time:

Grant approvals will generally be made within 60 days of the application closing date.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grant agreements will run for a period of three years. Extensions may be granted. Funds will be disbursed on request of the Grantee.

Reports:

Grantee will be required to provide periodic financial and performance reports.

Audits:

Grantee will be required to report in accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Records must be kept as required under USDA and State regulations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$500,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grants to States have ranged from \$350,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Grant allowed expansion of existing State revolving fund program to fully fund requests for assistance to secure adequate winter fuel supplies. Grantee reports that 60 remote communities benefitted from lower fuel costs through bulk purchase discounts and lower finance costs.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Rural Development Utilities Programs Department of Agriculture, Washington, DC 20250-1560. Telephone: (202) 720-9540. Use the same number for FTS.

Web Site Address:

<http://www.usda.gov/rus/electric/hecgp/index.htm>

RELATED PROGRAMS:

10.850, Rural Electrification Loans and Loan Guarantees; 10.854, Rural Economic Development Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:

Expansion of state revolving loan fund for fuel purchases for isolated small rural communities; creation of State emergency loan fund to acquire fuel on behalf of financially distressed communities.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected competitively based on total scores received on evaluation criteria in grant announcement.

10.858 DENALI COMMISSION GRANTS AND LOANS

(RUS)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Grants and loans to the Denali Commission for the benefit of rural communities in Alaska with extremely high household energy costs exceeding 275 percent of the national average.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans.

USES AND USE RESTRICTIONS:

Funds must be used for energy generation, transmission, or distribution facilities serving rural communities where average residential energy expenditures are at least 275 percent of the national average. Grant must be in coordination with State rural development initiatives. Planning and administrative expenses must not exceed 4 percent of the grant amount.

Applicant Eligibility:

The Denali Commission, a Federal Agency is the only eligible applicant.

Beneficiary Eligibility:

Beneficiaries are residents of rural communities in Alaska with extremely high energy costs. Eligible beneficiary communities must apply for assistance directly to the Denali Commission and eligible projects must be on Denali Commission Annual Approved Work Plan.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Denali Commission submits a letter of application under a Memorandum of Understanding with USDA.

Award Procedure:

Approval of the Denali request for grant funds is made by the Administrator.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grant funds are for three years and may be extended

Reports:

The Denali Commission will provide a summary of projects approved, rural communities served, and an accounting of expenditures made under each grant in its annual report.

Audits:

The Denali Commission is subject to audit requirements for Federal agencies and maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Records:

The Denali Commission maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$15,000,000; FY 08 est. \$15,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grant awards have ranged from \$10,000,000 to \$18,500,000.

PROGRAM ACCOMPLISHMENTS:

The Denali Commission reports that more than 100 communities in rural Alaska have benefitted from USDA grant funds supporting the Denali rural power system and bulk fuel storage tank replacements and upgrade program.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 1709.

Regional or Local Office:

None.

Headquarters Office:

Administrator, Rural Development Utilities Programs, Washington, DC 20250-1500. Telephone: (202) 720-9540. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.850, Rural Electrification Loans and Loan Guarantees; 10.854, Rural Economic Development Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects funded by the Denali Commission through this grant were selected from approved projects on the Denali Commission Work Plan and include improvements and replacements of bulk fuel storage and handling facilities and electric distribution system improvements in remote Alaskan villages.

CRITERIA FOR SELECTING PROPOSALS:

The Agency Administrator makes a grant award based on Denali Letter of Request and Denali Annual Work Plan.

10.859 ASSISTANCE TO HIGH ENERGY COST RURAL COMMUNITIES

(RUS High Energy Cost Grants)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Assistance to rural communities with extremely high energy costs.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans.

USES AND USE RESTRICTIONS:

Funds must be used to acquire, construct, extend, upgrade, and improve energy generation, transmission, or distribution facilities serving communities in which the average annual expenditure for home energy costs is at least 275 percent of the national average. Energy efficiency and on-grid and off-grid renewable energy systems are eligible. Not more than 4 percent of amounts made available in grant funds may be used for planning and administrative expenses. Funds may not be used to pay utility bills, fuel costs, or operating expenses.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States, for-profit and non-profit businesses, cooperatives, associations, organizations, and other entities organized under the laws of States, Indian tribes, tribal entities, and individuals. The governments and entities located in any U.S. Territory/possession or other area authorized by law to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended, are also eligible.

Beneficiary Eligibility:

Projects must serve rural communities in which the annual average residential expenditure for home energy is at least 275 percent of the national average. Energy cost eligibility benchmarks are included in the published Notice of Funding Availability.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register and Grants.gov. Application requirements are described in the published notice and on the agency web site. Application materials may also be requested from the Agency.

Award Procedure:

Grants will be awarded based on competitive ranking of applications according to published evaluation criteria for technical merit, community need, economic hardship, and rurality.

Deadlines:

Deadlines for applications will be published in the Notice of Funding Availability in the Federal Register, Grants.gov and on the Agency web site. Applications will be accepted only during the announced application window.

Range of Approval/Disapproval Time:

Selection of grant finalists will be announced approximately 90 to 180 days after the application deadline. Final Grant awards will be approved after completion of environmental review and other pre-award requirements.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709. Applicants may not appeal numerical rankings of competitive grant applications.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grant agreements will run for the period of time necessary to complete the project and provide at least one year of operating data, generally about three years. Extensions may be granted. Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Grant recipients will be required to file periodic financial and performance reports specified in the grant agreement and program regulations.

Audits:

Recipient will be required to report in accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," as applicable, and as provided in the grant agreement and program regulations.

Records:

Grantee must maintain records in accordance with requirements of program regulations and the grant agreement.

Account Identification:

12-0400-0-1-452.

Obligations:

FY 07 \$3,928,578; FY 08 est, \$21,900,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Minimum award is \$75,000 and maximum is \$5,000,000. Individual grant awards have ranged from approximately \$175,000 to \$3,775,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 it is expected that 50 applications will be submitted and that 15 to 20 projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs, Rural Development Utilities Programs, Department of Agriculture, Washington, D.C. 20250-1560. Telephone: (202) 720-9545. Use the same number for FTS.

Web Site Address:

<http://www.usda.gov/rus/electric/hecgp/index.htm>

RELATED PROGRAMS:

10.850, Rural Electrification Loans and Loan Guarantees; 10.854, Rural Economic Development Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:

A total of 32 grant projects are currently active and include electric generation, transmission, and distribution upgrades and replacements, energy efficiency improvements, and on-grid and off-grid renewable energy systems. The projects benefit local consumers by preserving reliable service and reducing or limiting rate increases.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be ranked competitively based on published evaluation criteria. All projects must serve rural communities with extremely high energy costs.

10.860 RURAL BUSINESS INVESTMENT PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Consolidated Farm and Rural Development Act, as amended, Subtitle H, 7 U.S.C. 2009cc et seq.

OBJECTIVES:

To promote economic development and create wealth and job opportunities among individuals living in rural areas and help to meet the equity capital investment needs primarily of smaller enterprises located in such areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans; Project Grants.

USES AND USE RESTRICTIONS:

USDA licenses newly formed for-profit entities as Rural Business Investment Company's (RBIC)s and provides financial assistance in the form of debenture guarantees to such RBICs to fund their rural area investment activities. Recipients use funds from the guaranteed debentures to make equity capital investments mostly in smaller enterprises located primarily in rural areas. Additionally, USDA awards Operational Assistance grants to RBICs for the recipient to provide operational and technical assistance to the enterprises in which the RBIC invests. Debentures issued by the RBIC and guaranteed by USDA are for a term not to exceed 10 years.

Applicant Eligibility:

An applicant is eligible to apply for an RBIC license if it meets the criteria in Subpart C of 7 CFR part 4290, which include but are not limited to: (1) the company is a newly formed for-profit entity or a newly formed for-profit subsidiary of such an entity; (2) the company has a qualified management team with experience in community development financing or relevant venture capital financing; and (3) the company will invest in enterprises that will create wealth and job opportunities in rural areas, with an emphasis on smaller enterprises.

Beneficiary Eligibility:

Individual businesses or enterprises must satisfy established criteria, including but not limited to being: (A) a public, private, or cooperative for-profit or nonprofit organization; (B) a for-profit or nonprofit business controlled by an Indian tribe on a Federal or State reservation or other federally recognized Indian tribal group; or (C) any other person or entity; that primarily operates in a rural

area, as determined by the Secretary.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants may submit applications only after USDA publishes a Notice of Funds Availability (NOFA) in the Federal Register and invites applications. Applicants must submit applications by the deadline specified in the NOFA. Application information and associated forms are available from the SBA Investment Division.

Award Procedure:

Applicants for RBIC designation will be selected for approval as an RBIC. Selected applicants then will have a certain period of time, specified by USDA but no more than 2 years, to raise its private capital. Those selected applicants that raise such resources by the applicable deadline and that meet other conditions established by USDA will receive a license to operate as an RBIC, which will include an operational assistance grant and a commitment of leverage in the form of debenture guarantees.

Deadlines:

Application deadlines will be set forth in a NOFA published in the Federal Register.

Range of Approval/Disapproval Time:

SBA, on behalf of USDA, may take an average of 120 days to consider and approve/disapprove applications.

Appeals:

Applicant(s) have the right to request review by USDA's National Appeals Division, per 7 CFR 4290.1940(i).

Renewals:

None.

Formula and Matching Requirements:

Applicants for a RBIC license must raise a minimum amount of private equity capital and must obtain debenture leverage (in the amount of 200 percent of its private capital) through the RBIP, to fund their investment activities. Under the current NOFA, USDA requires \$10,000,000 in private equity capital and will not be granting exceptions to this requirement, pursuant to 7 CFR 4290.210(b), under this funding opportunity.

Length and Time Phasing of Assistance:

RBICs must issue any Debentures subject to USDA guarantee within five years of licensing as an RBIC. Project grant funds will be available for a time period not to exceed 5 years after award.

Reports:

SBA, on behalf of USDA, will request financial information including, but not limited to, financial statements, economic impact and community development information, and portfolio financing reports and valuations.

Audits:

SBA, on behalf of USDA, will require an annual financial report performed by an independent public accountant and periodic examinations performed by SBA examiners or an examiner contracted by SBA.

Records:

Appropriate records as needed for requirements of 111 and 112.

Account Identification:

12-1907-0-1-452.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported. Note: RBIP Program included in Deficit Reduction Act of 2006, which cancelled all remaining unobligated funds as of September 30, 2006. No new funding has subsequently been made available.

Range and Average of Financial Assistance:

\$20,000,000 Debentures and \$1,000,000 Grants.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 4290. Further, Section 384Q of the statute required the Secretary to enter into an interagency agreement under Section 1535 of Title 31, United States Code, with another Federal agency that has considerable expertise in operating a program under which capital is provided for equity investments in private sector companies. USDA and the Small Business Administration (SBA) signed an Economy Act Agreement on October 31, 2003. The Agreement authorizes SBA to provide the day-to-day management and operation of the RBIP, including administrative and all data management, licensing and regulatory compliance functions.

Regional or Local Office:

Consult your local telephone directory for the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3201. Telephone: (202) 690-4730, Fax: (202) 690-

4737.

Web Site Address:

<http://www.sba.gov/INV/RBIP>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Equity venture capital finance experience, community development finance experience, and ability to raise equity capital.

10.861 PUBLIC TELEVISION STATION DIGITAL TRANSITION GRANT PROGRAM

(Public Television Station Digital Transition Grant Program)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006; Title III, Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 109-97.

OBJECTIVES:

The Federal Communications Commission (FCC) has ordered all television broadcasters to initiate the broadcast of a digital television signal and to cease analog television broadcasts. The result of this conversion will be a new, high performance television system that is highly efficient in its use of the radio spectrum. This will free spectrum to enable the introduction of new wireless telecommunications services such as mobile wireless Internet access. Before spectrum can be reallocated to new uses, all television stations must make the transition to the new broadcast system. Lagging far behind in this transition are the rural public television stations, which depend on viewer donations and public funds to buy the expensive digital equipment. A significant number of stations have not completed the transition, and many are rural stations that have no funding to proceed. The deadline for initiating digital broadcasting was May 2003, and all waivers of that date have expired. These stations are in violation of their FCC licenses. On February 17, 2009, all analog broadcasting is scheduled to cease, meaning that stations that have not transitioned will stop broadcasting any television signal. In addition to the public losing the benefits of public television programming, this will leave many school systems without educational programming they count on for curriculum compliance. This grant program assists rural public television stations in making the transition to digital television signal broadcasting.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used to install digital television facilities through purchase or lease (up to three years of lease costs); and for associated engineering and environmental studies. All broadcast facilities acquired under this program must be capable of delivering both digital television (DTV, sometimes called standard definition) and high definition television (HDTV) at both the interim and final channel power authorizations. Grant funds may be used to fund facilities directly connected to the digital transition of the broadcast signal such as: digital transmitters; digital translators; transmitting antennas; new towers or improvements to existing towers necessary for antenna installation; tower ground infrastructure such as land, buildings, fencing, standby power, and where commercial power is unreliable, power generation and conditioning facilities; studio to transmitter/translator communication links; television program production and management equipment; datacasting equipment; and subject to RUS approval, other facilities directly necessary for the digital transition of the broadcast signal. Grants shall not be used: to fund operations or for facilities not owned by the applicant (except for leased facilities as described above); for salaries, wages, and other public television employee benefits; for construction performed or expenditures made prior to the application deadline; or for facilities funded under other grants.

Applicant Eligibility:

The applicant must be a public television station that serves a rural area regardless of whether they also serve an urban area. (Rural area means any area of the United States not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population in excess of 20,000 inhabitants.) The applicant must also be required to convert from analog to digital transmission under the rules of the FCC. For the purpose of this grant program, a public television station must be an organization which (1) qualifies for Federal funding under Section 396(k) of the Public Broadcasting Act of 1967, and (2) which is either: (a) under the rules and regulations of the FCC in effect on November 2, 1978, eligible to be licensed as a noncommercial educational broadcast station and which is owned and operated by a public agency or nonprofit private foundation, corporation, or association; or (b) owned and operated by a municipality and which transmits only noncommercial

programs for education purposes.

Beneficiary Eligibility:

Anyone who receives the improved digital television signal from a rural public television station or attends an educational institution that uses public television in its curriculum.

Credentials/Documentation:

The applicant must provide evidence demonstrating that it meets the definition of a public television station in section 81, above. The applicant must also provide evidence that the FCC has authorized the initiation of digital broadcasting at each of the Applicant's transmitter and translator sites.

Preapplication Coordination:

None required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An applicant must submit an application in accordance with the Program's application guide. In addition to Government-wide requirements, the applicant shall provide a description of the project including: an executive summary, a project cost spreadsheet, broadcast coverage maps, a complete narrative description, and an environmental assessment.

Award Procedure:

All complete applications from eligible applicants that meet the application deadline will be scored in a competitive process to determine which projects are funded. There are three scoring categories. The first is rurality, a measure of the rural character of the applicant's digital television coverage area. Up to 50 points can be earned in this category. The second uses the National School Lunch Program eligibility percentage as a measure of the economic need of the people in the applicant's coverage area. This category earns up to 25 points. Finally, up to 25 points can be awarded based on critical need.

Deadlines:

RUS publishes application dates and deadlines annually in a Notice of Funding Availability in the Federal Register.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

RUS will advance funds as needed (but no more frequently than once every 30 days) to reimburse disbursements for approved grant purposes. A recipient generally has three years to spend funds. RUS may approve a one year extension.

Reports:

The recipient must provide periodic performance reports and permit periodic inspection by RUS of the project. The recipient must constantly monitor performance to ensure that it is meeting time schedules, accomplishing projected work by time periods, and achieving other performance objectives. The recipient must provide periodic financial reports to RUS. The performance and financial reports must be submitted to RUS on a quarterly basis throughout the project construction period.

Audits:

The recipient must provide RUS with an audit for each year in which a portion of the grant is expended. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient must maintain all records needed to identify the purposes for which, and the manner in which, grant and other funds were expended on the project. The recipient must maintain such records for three years after the project is completed. The recipient must provide reasonable access to these records to RUS.

Account Identification:

12-1232-0-1-452.

Obligations:

(Grants) FY 07 \$4,950,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The maximum grant for an individual public television station is \$1 million. In fiscal year 2006, the average grant amount was \$497,000. The 10 grants ranged from \$110,525 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2006, 10 applicants received grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1740 - Public Television Station Digital Transition Grant Program (Interim final rule with request for comments)

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, Department of Agriculture, 1400 Independence Avenue, S.W., STOP 1590, Room 5151, Washington, DC 20250-1590. Telephone: (202) 720 9554, Fax: (202) 720 0810.

Web Site Address:

<http://www.usda.gov/rus/telecom/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The grant will enable the purchase of a full power transmitter, 14 translators, master control equipment, and standby power. The grant will fund DTV translators. It will also fund purchase and installation of a DTV video distribution system to mirror the programming storage functions of a similar system. In addition, the grant will fund a digital media server and automation system. The grant will finance a full power digital television transmission facility serving an area that is currently served by six low power analog translators. Funding will cover a new tower, building, transmission equipment, and associated processing, monitoring, and test equipment. The grant will fund an emergency backup power generation system to provide a reliable broadcast during power outages. The grant will fund a studio-to-transmitter communication system, a transmission link for High Definition programming, and a dynamic Program and System Information Protocol generation system. The grant will fund a three hop microwave system to deliver the digital signal 140 miles. The grant will allow the conversion of two translators to digital. The translators serve areas hidden from the transmitters by terrain. It will also provide interconnection from the headquarters to the translator sites using a vacant UHF channel. The grant will finance the upgrade of microwave systems that will allow two stations to deliver standard and high definition signals.

CRITERIA FOR SELECTING PROPOSALS:

Complete, eligible applications that meet the application deadline are scored to determine which projects are funded. There are three scoring categories: rurality, up to 50 points; economic need, up to 25 points; and critical need, up to 25 points.

10.862 HOUSEHOLD WATER WELL SYSTEM GRANT PROGRAM

(HWS Program)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306E, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to finance the construction, refurbishing and servicing of individually-owned household water well systems in rural areas for individuals with low or moderate income.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to provide loans to eligible individuals to construct, refurbish, and service individually-owned household water well systems. Eligible individuals must own or will own the household water well systems. Funds may be used to pay administrative expenses associated with providing the loans. Grant funds may not be used to pay (1) administrative expenses exceeding 10 percent of the loans made during the calendar year; (2) administrative expenses incurred before the Rural Utilities Service executes a grant agreement; (3) delinquent debt owed to the Federal Government.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in lending activities and promoting the safe and productive use of individually-owned household water well systems and ground water.

Beneficiary Eligibility:

An individual in a household in which the combined income of all household members (for the most recent 12 months) does not exceed 100 percent of the median non-metropolitan household income for the State or territory in which the individual resides.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by

OMB Circular No. A-110. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

As published in the FEDERAL REGISTER in a Notice of Availability of Funding.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Applicants are encouraged to provide matching funds to receive scoring priorities.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RUS servicing official.

Audits:

Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$990,000; FY 08 \$990,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$200,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, it is estimated that at least three grants totaling \$990,000 will be made. At least three grants will be awarded in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1776, Household Water Well System Grant Program; The Household Water Well System Program: 2004 Grant Application Guide.

Regional or Local Office:

Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760, Water and Waste Disposal Systems for Rural Communities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.863 COMMUNITY CONNECT GRANT PROGRAM

(Community Connect Grant Program)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Appropriations Act, 2005; Title III: Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband

Program; Public Law 108-447.

OBJECTIVES:

To encourage community-oriented connectivity by providing grants to eligible applicants who will deploy broadband transmission service in rural communities where such service does not currently exist; who will connect all critical community facilities such as local schools, education centers, libraries, hospitals, health care providers, law enforcement agencies, public safety organizations, fire, and rescue services, as well as residents and businesses; and who will operate a community center which provides free and open access to area residents.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants will be made available, on a competitive basis, for the deployment of broadband transmission services to critical community facilities, rural residents, and rural businesses and for the construction, acquisition, expansion, and/or operation of a community center which would provide free access to broadband transmission services to community residents for at least two years. Funding is also available for end-user equipment, software, and installation costs. See 7 CFR Part 1739 for details.

Applicant Eligibility:

To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities through the availability of access to high speed broadband networks.

Credentials/Documentation:

None.

Preapplication Coordination:

None required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit an application in accordance with 7 CFR 1739 and its accompanying application guide.

Award Procedure:

Grants are awarded on a competitive basis to applicants receiving the highest number of points.

Deadlines:

RUS annually publishes application dates and deadlines in a Notice of Funding Availability.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no formula requirements. The grant applicant must contribute a matching contribution which is at least 15 percent of the grant amount requested.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. Extensions may be granted.

Reports:

SF 269, "Financial Status Report," and project performance activity report required annually.

Audits:

An audit report is required for the years in which funds are received.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The minimum grant amount is \$50,000. The size of grants varies.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1739 Notice of solicitation of applications in the Federal Register; Vol. 70, No. 60; Wednesday, March 30, 2005. Community Connect Broadband Program Grant Application Guide - Fiscal Year 2005.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service,

Department of Agriculture, 1400 Independence Avenue, S.W., STOP 1590, Room 5151, Washington, DC 20250 1590. Telephone: (202) 720 9554, Fax: (202) 720-0810.

Web Site Address:

<http://www.usda.gov/rus/telecom/commconnect.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The grant funds will establish a broadband path to the Internet over an existing microwave route. Broadband will be delivered to homes and businesses using DSL technology. Internet traffic will be handled economically and efficiently by equipping the community with equipment to enable it to interface with the applicant's satellite mesh technology. Demographic barriers to broadband adoption will be overcome by equipping a community center with computers and Internet access free of charge for 2 years. The project will provide wireless broadband internet service to the community. The project will connect all community critical facilities, businesses, the elementary school, and homes on the reservation. Public safety and health organizations will have improved communications, which can be life saving in emergency situations. The community center will be accessible to residents for information research, education, marketing and promotion, and personal or business communications using high-speed internet. All center workstations will have GED and other self paced interactive learning software installed and the center will also provide basic computer and internet skill training to end-users. The project will create a tribal website and digital community bulletin board to enhance communication within the tribal organization and with community members. The project will employ broadband wireless technology to reach locations where only slow (14.4Kb/sec), expensive (long-distance) wireline Internet connections exist. In addition to providing residential access, the project will enable the local government to enhance and streamline core public services including Head Start, tribal courts, cultural and social services, and community health outreach efforts.

The grant will address community challenges including the lack of a library, hospital, and police department by providing broadband Internet access to the community. High-speed online connections will establish online city services to benefit the residents, including the remote monitoring of water resources, and a Web presence to assist with economic development efforts. The community will have access to computer training and education in e commerce; students will have access to distance learning programs and enhanced curricula. The RUS grant will fund a community center, including computers and staff for 2 years. The applicant, a local telephone carrier, will also provide a fiber to the premises (FTTP) network for the town, in an effort to bolster economic development opportunities, as the area's economy transitions away from complete reliance on production agriculture. "Always-on" Internet access can encourage new business migration, telecommuting and local entrepreneurs.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.864 GRANT PROGRAM TO ESTABLISH A FUND FOR FINANCING WATER AND WASTEWATER PROJECTS

(RFP Program)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 6002, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to establish a revolving loan fund to make small, short term loans for pre-development or small capital water or waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to finance pre-development costs associated with proposed water and wastewater projects or with existing water and wastewater systems, and short-term costs incurred for replacement equipment, small-scale extension of services, or other small capital projects that are not part of the regular operations and maintenance activities of existing water and wastewater systems.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in making and servicing loans.

Beneficiary Eligibility:

Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. Facilities shall primarily serve rural residents

and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The loan recipient must be unable to finance the proposed project from their own resources or through commercial credit at reasonable rates and terms. The loan recipient must have or will obtain the legal authority necessary for owning, constructing, operating and maintaining the proposed service or facility, and for obtaining, giving security for, and repaying the proposed loan.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A-110. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

To be determined by Secretary, USDA.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

Not applicable.

Formula and Matching Requirements:

Applicants must provide funds from other sources equal to at least 20 percent of the RFP grant.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RUS servicing official.

Audits:

Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$495,000 FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1783, Grant Program to Establish a Fund for Financing Water and Wastewater Projects; The Revolving Fund Program 2005 Grant Application Guide.

Regional or Local Office:

Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760, Water and Waste Disposal Systems for Rural Communities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS

financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.886 RURAL BROADBAND ACCESS LOANS AND LOAN GUARANTEES

(Rural Broadband Access Loans and Loan Guarantees)

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb.

OBJECTIVES:

To assure that people in eligible rural communities have access to broadband service comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

RUS makes long term direct and guaranteed loans to eligible entities for the purpose of financing the construction, improvement, and acquisition of telecommunications facilities and equipment to provide broadband service in eligible rural communities. Eligible rural communities are defined as any area of the United States (which includes its territories and insular possessions, including the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau); that is not contained in an incorporated city or town with a population in excess of 20,000 inhabitants, based on the most recent available information of the Bureau of the Census. Broadband Service means any technology having the capacity to transmit at a minimum transmission speed of 200 kbps both from and to a residential subscriber. The rate of data transmission is subject to annual review and will be published in the Notice of Funds Availability at the beginning of each fiscal year. Loans maturities are equal to the composite economic life of the facilities financed. The interest rate for the Cost-of-Money program is equal to the cost of borrowing to the Department of Treasury for a comparable maturity. The 4-Percent program interest rate is 4 percent and the maximum loan amount for the 4-Percent program is \$7.5 million. The interest rate for the Guarantee program is set by the lender.

Applicant Eligibility:

Cooperative, nonprofit, limited dividend or mutual associations, limited liability companies, commercial organizations, Indian tribes, tribal organizations, state government, local government, including those located in the U.S. territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide broadband services in eligible rural communities. The following are not eligible: individuals, partnerships, limited liability partnerships, and any entity serving more than 2 percent of the telephone subscriber lines installed in the United States. Applicants must meet a credit support requirement; see 7 CFR 1738.20.

Beneficiary Eligibility:

Residents of eligible rural communities and others who may receive service as a result of service provided to a rural area.

Credentials/Documentation:

None.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental impact assessment or an environmental impact statement will be required. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

The completed loan application consists of twenty-one schedules. Form 532, Rural Broadband Access Loan and Loan Guarantee Application, serves as the official application document and as a checklist to assure all relevant information is included. The major sections of an application include: business plan, market research, historical and 5 year pro forma financial statements, system design and project costs. The instructions for completing an application are outlined in RUS Bulletin 1738-1: Rural Broadband Access Loan and Loan Guarantee Program Application Guide. The bulletin and application are available from RUS on request and on our website: www.usda.gov/rus/telecom/broadband.htm.

Award Procedure:

Depending on the amount of the application, and loan committee(s) recommendation, the RUS Administrator or Assistant Administrator Telecommunications, makes the final decision on approval of the loan or loan guarantee. There must be a finding that the project is economically feasible, capable of delivering Broadband Service, and services the purposes of the program. Borrowers and member of Congress are notified directly of the approval of a loan or loan guarantee.

Deadlines:

The program is an ongoing program with applications evaluated on a first come, first served basis. There is no deadline for applications.

Range of Approval/Disapproval Time:

Normal processing time is four to five months.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Loan funds must be used within a five year period from the date of loan closing. A one time extension is permitted.

Reports:

The borrower must submitted quarterly financial statements within 30 days of the end of each calendar quarter. The statements must consist of a balance sheet, income statement, and statement of cash flows.

Audits:

All borrowers must have their books audited annually by a Certified Public Accountant. Information on audits is contained in 7 CFR Part 1773.

Records:

Not applicable.

Account Identification:

12-1232-0-1-452.

Obligations:

(Cost of Money Loans) FY 07 \$923,186,000; FY 08 est \$300,000,000; and FY 09 est not reported. (4 Percent Loans) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported. (Loan Guarantees) FY 07 \$0; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

The minimum loan amount for the program is \$100,000. There is no maximum loan amount except for 4 Percent loans (that amount is published by RUS in the Federal Register at the beginning of each fiscal year). The smallest loan approved to date is \$362,000; the largest, \$168,406,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2006, RUS approved 15 Cost of Money loans totaling \$329,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1738 - Rural Broadband Access Loans and Loan Guarantees Notice of funds availability, Federal Register, March 4, 2005 (Volume 70, Number 42)
RUS Bulletin 1738-1: Rural Broadband Access Loan and Loan Guarantee Program Application Guide.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, Department of Agriculture, 1400 Independence Avenue, S.W., STOP 1590, Room 5151, Washington, DC 20250 1590. Telephone number (202) 720 9554, Facsimile (202) 720 0810.

Web Site Address:

<http://www.usda.gov/rus/telecom/broadband.htm>.

RELATED PROGRAMS:

10.851, Rural Telephone Loans and Loan Guarantees.

EXAMPLES OF FUNDED PROJECTS:

Loans have been approved to construct wireless, DSL, cable modem, and fiber to the premises (FTTP) broadband systems.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 1738.

10.901 RESOURCE CONSERVATION AND DEVELOPMENT

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Public Law 97-98, 95 Stat. 1213.

OBJECTIVES:

To encourage and improve the capability of State and local units of government and local nonprofit organizations in rural areas to plan, develop and carry out programs for resource conservation and development.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical assistance is available only to RC&D sponsors within areas authorized by the Secretary of Agriculture for assistance. Technical assistance is available for the planning and installation of approved projects specified in RC&D area plans, for land conservation, water management, community development and environmental enhancement elements.

Applicant Eligibility:

State and local governments and nonprofit organizations with authority to plan or carry out activities relating to resource use and development in multijurisdictional areas. This program is also available in Puerto Rico, the Virgin Islands, Guam and Mariana Islands.

Beneficiary Eligibility:

State and local governments and nonprofit organizations within areas designated as RC&D areas by the Secretary of Agriculture with authority to plan or carry out activities relating to resource use and development in multijurisdictional areas.

Credentials/Documentation:

Applicant agency(ies) and nonprofit organizations must document that application is made as a result of official action of the governing body. Applications are honored only from agencies for which applicant eligibility has been established. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Written application must be in the form suggested in the National Resource Conservation and Development Manual. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Details of the procedure are available from State and field offices of the Natural Resources Conservation Service.

Deadlines:

October 1.

Range of Approval/Disapproval Time:

Indeterminate. Authorization of applications is dependent upon the level of appropriations for the program each year.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

When financial assistance is available, local or State agencies must provide land rights needed for the installation of all projects. RC&D grant assistance (up to 25 percent not to exceed \$50,000 of the total project cost) may be provided for a project. Local or State agencies generally must provide ten percent of the total cost and be responsible for any needed operation and maintenance. Loan assistance may be provided for the local share of project cost.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Periodic inspections and reports are required to insure that structural projects for which Federal financial assistance has been provided are being properly operated and maintained in accordance with agreements.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

None.

Account Identification:

12-1010-0-1-302.

Obligations:

(Salaries and Expenses) FY 07 \$25,933,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Assistance available from the Natural Resources Conservation Service," AIB-345; "Resource Conservation and Development Manual, 390 - V, Third Ed.

Regional or Local Office:

State and field offices of the Natural Resources Conservation Service are listed in Appendix IV of the Catalog. For loans, contacts should be made with the Rural Development office serving the county in which the RC&D project is located.

Headquarters Office:

Deputy Chief for Programs, Resource Conservation and Community Development Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-2847.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902, Soil and Water Conservation.

EXAMPLES OF FUNDED PROJECTS:

NRCS and the Two Rivers RC&D Council in Georgia created a program to help utility companies cut maintenance expenses on more than 150,000 acres of utility transmission rights-of-way while protecting and enhancing the environment. Project WINGS (Wildlife Incentives for Nongame and Game Species) is a utility-based wildlife initiative offering technical and financial incentives to groups and individuals interested in creating wildlife habitat along electrical transmission rights-of-way. The use of customized management plans prepared by resource management professionals offers WINGS participants an opportunity to transform eroded brush land into productive wildlife lands. To date, more than 1000 individuals have been awarded corporate grants to convert over 7,000 acres of rights-of-way brush land to productive habitat. WINGS is a project that can be replicated, and has already been started in South Carolina where it is called Powerful Wildlife. The Rolling Hills RC&D in partnership with Georgia Department of Human Resources, Department of Family and Children's Services, and Department of Rehabilitative Services has implemented an innovative program called "Wheels to Work" that is helping people get off public assistance (welfare) and back to work. Many of these individuals who participate are single mothers that have never been independent before, never owned a vehicle, and are unable to obtain credit in order to purchase a vehicle of their own. The Rolling Hills RC&D Council has placed 110 vehicles with clients in the nine county region. These individuals are now off of the welfare roles and back to work. The funding for these clients was obtained through an appropriation from the Georgia General Assembly and the Lieutenant Governor. Rolling Hills received over \$582,000 to purchase vehicles, pay staff, and other needed expenses. These funds were offset by car donations, assistance from local businesses and partners, and volunteer assistance. In Idaho homes that lie on the fringe of forests and rangeland face a continuing threat of fire that knows no boundary between private property and wildland. The West Central Highlands RC&D Council obtained funds to develop a Fire Education Corps. Five RC&Ds areas contracted with the Student Conservation Association (SCA) to put the Corps together. Fire Education Corps teams spent the summer of 2001 conducting home safety evaluations and providing homeowners with fire prevention techniques, using the nationally recognized FIREWISE protocol, a program for fire education sponsored by a coalition of state and federal agencies. The teams concentrated their efforts in interface areas where fires might start and spread to homes that were surrounded by dense dry fuels. The Northeast Iowa Resource Conservation and Development (RC&D) Council obtained \$105,000 for Spectrum Industries, Inc. to provide quality vocational training. Spectrum Industries, Inc., is a wood workshop serving over 135 physically and mentally challenged people from throughout the Northeast Iowa and Southern Minnesota area. This project has provided quality jobs for persons with disabilities, added value to the lower quality hardwoods in the area, and provided a model that can be replicated anywhere in the United States. The goal of Spectrum Industries is to have this woodworking program continue to grow in ways that allow individuals to move into competitive jobs, and provide high quality products. The RC&D Council worked with many different partners to implement the project. The overall value of the project including grant funds, matching funds, and in-kind contribution is estimated at \$300,000 dollars. The Central Mississippi RC&D Council, City of Carthage, NRCS, MS Department of Environmental Quality, and a group of consulting engineers teamed up to help the City of Carthage meet current water quality standards for the State of Mississippi. Carthage has a population of 4,300 and a watershed that drains into the Pearl River. Wastewater treatment for the city was inadequate to meet new water quality standards. Expensive options were provided that were not feasible for a small rural town. Traditional methods needed to meet new water quality standards for the city were estimated to cost \$1.8 million, resulting in increased taxes for the local citizens. Through assistance from the Central Mississippi RC&D Council, another cost-effective alternative was provided to the city. The alternative was a natural wastewater treatment system costing approximately \$650,000. This system saved the town more than \$1.1 million and provides clean water releases into the Pearl River. The Erie Basin RC&D Council in Ohio has been managing a Revolving Loan Fund for several years. The success of this ongoing project has resulted in three other RC&D Areas in Ohio establishing revolving loan funds to serve the citizens in their areas. These revolving loan funds provide money to new and existing businesses primarily in the area of natural resource development. They are designed to create private sector job opportunities and to boost the economy of an area. Partnerships were established with USDA Rural Development, local planning agencies and banks in RC&D areas. Loans have been made to a variety of businesses including a campground in Huron County for improvements which resulted in higher use of the facilities; a company which recycles 1,000,000 pounds of plastic a week which would otherwise be headed for the landfill; and a charter boat service on Lake Erie which is equipped to handle physically and mentally disadvantaged individuals. These loans have helped businesses in the RC&D areas and have helped create new jobs. This is a project that can be easily duplicated throughout the country. In Pennsylvania

NRCS, along with seven RC&D Councils, local Conservation Districts, the Pennsylvania Department of Environmental Protection (DEP), and 27 landowners collaborated with solar component suppliers to demonstrate the use of photovoltaic (PV) solar technology on livestock farms. Twenty-seven solar pump systems were installed on a variety of livestock operations. The primary objective was to advance the concept of intensive rotational grazing and reduce the need for mechanical harvesting and its inherent consumption of fossil fuels. Water is available on most Pennsylvania farms, but it is seldom located where it will support properly designed and managed grazing systems. Therefore it must be moved via pipelines using either gravity or mechanical means. Water for use in most rotational grazing systems in Pennsylvania generally needs to be pumped. Since most under-utilized grazing lands are often very remote and at great distances from grid-power sources, alternative energy sources must be used to power the pumps. Solar arrays proved to be very durable and dependable for production of power to pump water despite Pennsylvania's intermittent overcast skies. It was found that solar power is a cost effective and a viable alternative to conventional power sources for water pumping in remote areas if it is properly designed, installed, and maintained.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects are judged on relevance of the project to the individual RC&D's area plan goals and objectives. Criteria for setting priorities are usually published in the RC&D area plan or are available from the local sponsors of the RC&D area. Proposals for projects should be submitted to the local USDA designated RC&D area. If an area does not exist, contact the field or state office of the Natural Resources Conservation Service.

10.902 SOIL AND WATER CONSERVATION

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act of 1936, as amended, Public Law 74-46, 16 U.S.C. 590 a-f.

OBJECTIVES:

To help people conserve, improve, and sustain our natural resources and environment.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical assistance to the general public in planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments.

Applicant Eligibility:

Land users, Land owners, Community organizations State governments and local governments. Resource assistance needed is usually reviewed with the conservation district governing body. Individuals and groups may become cooperators with local conservation districts to which application for assistance is directed. Applicants are also eligible in Puerto Rico, the Virgin Islands, and Western Pacific U.S. Trust Territories.

Beneficiary Eligibility:

General public, State governments, and local governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the local Natural Resources Conservation Service office in nearly all counties. Preapplication coordination of this program is not required. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries and expenses) FY 07 \$634,320,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

NRCS provided conservation technical assistance to nearly 3 million customers, in fiscal year 2000. Of the customers assisted, over 347,000 were members of minority groups. As a result of these conservation planning services, a total of 10.2 million acres of cropland and 10.8 million acres of grazing land were treated to the resource management system level (sustainable management). On 4.2 million acres of cropland that had been eroding at excessive rates, NRCS technical assistance enabled farmers to reduce erosion to the tolerable rate or less, thus preserving the productive capacity of the soil. Overall, NRCS provided conservation planning assistance on more than 34 million acres of land in all uses and application assistance on more than 29 million acres.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Conquest of the Land," AIB99; "Soil Erosion by Water," AIB513; "Assistance Available from the Natural Resources Conservation Service," AIB345; "Controlling Erosion on Construction Sites," AIB347; "Community Improvement Through Resource Conservation and Development," PA1077; "Save Soil Systematically," PA1366. "List of Published Soil Surveys;" "Going Wild with Natural resource Conservation," PA1363; "Conversation Planning- You and Your Land," PA 1376, "Farming and Maintaining Terraces," LN570.

Regional or Local Office:

State and local Natural Resources Conservation Service offices (see Appendix IV of the Catalog).

Headquarters Office:

Jose Acevedo, Deputy Chief for Natural Resources Conservation Programs, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-4527.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054, Emergency Conservation Program; 10.500, Cooperative Extension Service; 10.901, Resource Conservation and Development; 10.903, Soil Survey; 10.904, Watershed Protection and Flood Prevention; 10.905, Plant Materials for Conservation; 10.906, Watershed Surveys and Planning; 10.907, Snow Survey and Water Supply Forecasting; 10.910, Rural Abandoned Mine Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.903 SOIL SURVEY

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Public Law 74-46; Public Law 89-560.

OBJECTIVES:

To produce and maintain up to date published soil surveys and other forms of soil survey databases of counties or other comparable size areas for widespread use by interested agencies, organizations, and individuals and to assist in the use of this information.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Soil surveys are for planners, environmentalists, engineers, zoning commissions, tax commissions, homeowners, farmers, ranchers, developers, landowners, and operators who use them to evaluate soil suitability for home sites, subdivisions, commercial and industrial sites, farms, wildlife and recreational areas, prime agricultural land, hydric soils, highly erodible lands, highways, and airports and to select and implement appropriate use and management of those soils.

Applicant Eligibility:

All individuals and groups that have a need for soil surveys are eligible to receive these documents by contacting the local Natural Resources Conservation Service Office.

Beneficiary Eligibility:

All individuals and groups that have a need for soil surveys are eligible to receive these documents.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants may request information from the local office of the Natural Resources Conservation Service, Soil Conservation Districts, Extension Service, the State office of the Natural Resources Conservation Service, State

Agricultural Experiment Station and from Senators and Congressmen. Local units of government submit requests to State Conservationist, Natural Resources Conservation Service, see Appendix IV in the Catalog for addresses. Soil Conservation District (SCD) cooperators submit requests to SCD board of supervisors.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries and Expenses) FY 07 est \$90,426,300; FY 08 est \$91,354,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2005, progress was 9,365,881 acres of new surveys and 22,668,138 acres of outdated surveys. For fiscal year 2006, progress was 7,057,166 acres of new surveys and 28,778, 386 acres of outdated surveys. Estimated production for fiscal year 2007 is 34,000,000 acres.

REGULATIONS, GUIDELINES, AND LITERATURE:

"List of Published Soil Surveys;" "Know the Soil You Build On," AIB 320; "Know Your Soil," AIB 267; "Soil Surveys Can Help You," PA-1054. These publications are available from the Office of Public Affairs, NRCS-USDA, P.O. Box 2890, Washington, DC 20013, at no charge.

Regional or Local Office:

State and local offices of the Natural Resources Conservation Service; see Appendix IV of the Catalog for addresses.

Headquarters Office:

Deputy Chief For Soil Survey and Resource Assessment, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 690- 4616.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.904 WATERSHED PROTECTION AND FLOOD PREVENTION

(Watershed Program; PL-566 Operations Phase)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666, as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-624, 72 Stat. 563; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-170, 75 Stat. 408; Public Law 87-703, 76 Stat. 608; Public Law 89-337, 79 Stat. 1300; Public Law 90-361, 82 Stat. 250; Public Law 92-419, 86 Stat. 667; Public Law 95-113, 91 Stat. 913; Public Law 97-98, 95 Stat. 1213; Public Law 99-662, 100 Stat. 4196; Public Law 101-624, 104 Stat. 3359.

OBJECTIVES:

To provide technical and financial assistance in carrying out works of improvement to protect, develop, and utilize the land and water resources in watersheds.

TYPES OF ASSISTANCE:

Project Grants; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical assistance is provided in designing, and installing watershed works of improvement. Financial assistance is provided for sharing costs of measures for watershed protection, flood prevention, agricultural water management, sedimentation control, public water based fish, wildlife, recreation; and in extending long term credit to help local interests with their share of the costs. Watershed area must not exceed 250,000 acres. Capacity of a single structure is limited to 25,000 acre-feet of total capacity and 12,500 acre-feet of floodwater detention capacity.

Applicant Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands.

Credentials/Documentation:

Applications must designate the proposed project area, be properly signed and attested to by all applicants, and set forth the need for the proposed project.

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental assessments or an Environmental impact statements are required for all projects.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application forms and information are available in all NRCS offices and from designated State agencies. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Details of the procedure are available from the State and field offices of the Natural Resources Conservation Service.

Deadlines:

None.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Technical and financial assistance under which program funds provide certain prescribed services and costs and a percentage of other costs on the basis of a contract vary according to purpose of the works of improvement. For example, for construction costs under the Act, program funds provide 100 percent for flood prevention; up to 50 percent of agricultural water management, public recreation and fish and wildlife purposes; and none of the costs for certain other nonagricultural water management purposes. All of the applicants' installation costs are eligible for program loans. Reimbursable advances are available for preservation of sites and future municipal water supply. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and for operation and maintenance.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement.

Reports:

Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than

\$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Grants watershed and flood prevention operations) FY 07 \$17,974,600; FY 08 est \$0; and FY 09 est not reported. (Salaries and Expenses, watershed and flood prevention operations) FY 07 \$17,258,000; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

(per State) \$0 to \$2,164,000; \$650,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2000, 6 projects were approved for operations. A total of 518 projects in construction. Projects installed as planned under the program totaled 918. In fiscal year 2002, it is estimated that 8 projects will be approved for operations, 512 projects will be in construction, and 931 projects will be installed as planned under the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

"What is a Watershed," PA-420; "Flood Plain Management - Why We Need It," PA-1276; "Multiple- Purpose Watershed Projects," PA-575; and "Small Watershed Projects," PA- 1354.

Regional or Local Office:

For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Conservation Planning and Technical Assistance Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-1510. Stuart Simpson, USDA/NRCS, Conservation Planning Technical Assistance Division, Room 6015, P.O. Box 2890 Washington, DC 20013-2890. Telephone: (202) 720-3413.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.664, Cooperative Forestry Assistance; 10.902, Soil and Water Conservation; 10.903, Soil Survey; 10.905, Plant Materials for Conservation; 10.906, Watershed Surveys and Planning; 10.907, Snow Survey and Water Supply Forecasting; 12.104, Flood Plain Management Services.

EXAMPLES OF FUNDED PROJECTS:

To date, Federal assistance for installation has been authorized on 1,756 watershed projects. This program provides technical and financial assistance to State agencies and units of local government in solving problems caused by flooding, erosion and sediment damage, conservation, development, utilization, and disposal of water, including the development of multipurpose facilities for such uses as recreation, improvement of fish and wild-life habitat, irrigation, and water supply to municipal and industrial users.

CRITERIA FOR SELECTING PROPOSALS:

(1) The watershed must meet the requirements of the law; (2) an approved watershed plan has been prepared; (3) the local sponsors should indicate willingness to carry out a watershed project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio; and (5) no critical environmental issues exist.

10.905 PLANT MATERIALS FOR CONSERVATION

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act of 1936, as amended, Public Law 74-46.

OBJECTIVES:

To assemble, evaluate, select, release, and introduce into commerce, and promote the use of new and improved plant materials for soil, water, and related resource conservation and environmental improvement programs. To develop technology for land management and restoration with plant materials. To transfer technology on plant materials.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Plant materials are used in all phases of the soil and water conservation program. This program emphasizes field testing to determine the plant's value and restoration techniques. It is limited to conservation cooperators properties in conjunction with soil conservation districts, State Agricultural Experiment Stations, State Crop Improvement Associations and other Federal and State agencies. The program also provides commercial seed growers with breeder and foundation quality seed or propagules. Other plants or seed are not provided to the general public. Large-scale production is conducted by cooperating

commercial producers. Free plants, seed and/or grants are not available to nurseries or the public.

Applicant Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts where structured evaluations are conducted and commercial seed growers and nurserymen interested in the commercial production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands. The general public is not eligible to participate in this program.

Beneficiary Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts and commercial seed growers and nurserymen interested in the production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the local Natural Resources Conservation Service office.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries and expenses) FY 07 \$12,243,487; FY 08 est \$10,858,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

About 450 releases of grasses, legumes, forbs, shrubs, and trees have been developed and made available for commercial increase through this program. Uses include erosion control and sediment reduction, roadside and stream bank protection, wetlands restoration, buffer strip establishment, surface-mined land reclamation, biofuels, and wildlife food and cover. The annual commercial production of NRCS released grasses, legumes and woody plants by commercial seed growers and nurserymen is equivalent to the amount required to establish vegetative cover on over 2,000,000 acres of land.

REGULATIONS, GUIDELINES, AND LITERATURE:

"National Plant Materials Manual; "Grass Seed Production and Harvest in the Great Plains," FB 2226; "Building, Planting and Maintaining Sand Dunes," L 42; "Grasses and Legumes for Soil Conservation in the Pacific Northwest and Great Basin States," AH 339. "Conservation Plants for the Northeast," NRCS, USDA Program Aid No. 1154; "Plant Performance on Surface Coal Mine Spoil in the Eastern United States," NRCS TP 155; "Plant Materials For Conservation," NRCS Program Aid No. 1219; "Plant Materials For Use On Surfaced Mined Land in Arid and Semiarid Regions," NRCS TP 157; "New Plants For Conservation," NRCS Environmental Quality Aid; "Grass Varieties in the United States," SCS Handbook 170. "Native Grass Seed Production Manual," USDA-NRCS, DU-Canada; "Vegetating With Native Grasses in Northeastern North America," USDA-NRCS, DU-Canada. An internet homepage with more information may be found at: <http://plant-materials.nrcs.usda.gov>.

Regional or Local Office:

NRCS State offices and field offices. See Appendix IV.

Headquarters Office:

Deputy Chief For Science and Technology, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-4630.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.906 WATERSHED SURVEYS AND PLANNING

(Small Watershed Program; PL-566; Watershed Surveys and Planning)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Watershed Protection and Flood Prevention Act, Public Law 83-566 (PL-566), 68 Stat. 666, as amended: Public Law 84-1018, 70 Stat. 1088; Public Law 85-624, 72 Stat. 563; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-170, 75 Stat. 408; Public Law 87-703, 76 Stat. 608; Public Law 89-337, 79 Stat. 1300; Public Law 90-361, 82 Stat. 250; Public Law 92-419, 86 Stat. 667; Public Law 97-98, 95 Stat. 1213; Public Law 99-662, 100 Stat. 4196; Public Law 101-624, 104 Stat. 3359; Public Law 106-472, 114 Stat. 2077; Public Law 107-171, 116 Stat. 274; Public Law 109-171, 120 Stat. 4.

OBJECTIVES:

The Watershed Protection and Flood Prevention Act, Public Law 83-566, established the Watershed Program (16 U.S.C. 1001-1011). Section 6 of the Act provided for the establishment of the River Basin Surveys and Investigation Program (16 U.S.C. 1006-1009). A separate appropriation funded these two programs until fiscal year 1996 when they were combined into a single appropriation, Watershed Surveys and Planning. Public Law 83-566 provides the authority for the Natural Resources Conservation Service (NRCS) to cooperate with other Federal, State, and local agencies in making investigations and surveys of river basins as a basis for the development of coordinated water resource programs. River basin surveys and floodplain management studies provide local decision-makers with an inventory and analysis of the resource status and trends in their watershed, and the impact this has on the community. It provides them with valuable information allowing them to better understand the cause and effect relationships of changes taking place in their watersheds and communities. Authorities include cooperative river basin studies, floodplain management studies, flood insurance studies, and interagency coordination and program formulation. Reports of the investigations and surveys serve as guides for the development of water, land, and related resources in agricultural, rural, and urban areas within upstream watershed settings. They also serve as a basis for coordination with major river systems and other phases of water resource management and development. Public Law 83-566 also provides for watershed planning activities that are needed to conserve, distribute, develop, protect, restore, and use water. In watershed planning work, NRCS assists sponsoring local organizations develop plans on watersheds. The plans describe water quality, flooding, water and land management, and sedimentation problems and propose alternative conservation land treatments to conserve and protect land and related resources. These watershed plans form the basis for installing needed works of improvement and include estimated benefits and costs, cost-sharing, operation and maintenance arrangements, and other information necessary to justify the need for Federal assistance in carrying out the plan.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical assistance is provided to sponsoring organizations for planning activities to help solve water and related land resources problems. It is available through disciplines such as engineering, economics, social sciences, agronomy, range management, forestry, biology, hydrology, archaeology, landscape architecture, waste management, recreation, etc.

Applicant Eligibility:

Any local or State water resource agency or other Federal agency concerned with water and related land resource development, counties, municipalities, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization or nonprofit organization. USDA participation is based on a cooperative effort with a sponsoring organization(s). State and local agencies are expected to participate in the studies and to fund their own activities. This program is also available in Puerto Rico, Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Any local or State water resource agency or other Federal agency concerned with water and related land resource development that can benefit from the development of alternative plans and recommendations.

Credentials/Documentation:

Requests for assistance must designate the proposed study area, describe the basic planning and/or study objective, and indicate joint participation and be signed and attested to by all applicants.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application materials and information are available in all NRCS offices. This program is excluded from coverage under OMB Circular No. A-110. The letter of request addressed to the appropriate State Conservationist of the Natural Resources Conservation Service.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Receipt of request is acknowledged when received by the State Conservationist.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Each cooperating agency is to fund its own participation. The extent of participation and funding are to be defined in a plan of work that establishes the basis for the study effort.

Length and Time Phasing of Assistance:

The length of the study is defined in the plan of work. It is subject to some modification, depending on the availability of funds to each of the cooperating agencies. Federal assistance for planning is provided as rapidly as possible depending on availability of funds and personnel resources. Sponsors are encouraged to focus their studies on a limited number of critical problems of special concern nationally, such as flood damage reduction, erosion control, water conservation, preservation of wetlands, and water quality improvement. Short duration, low cost studies that support ongoing State and local programs are encouraged.

Reports:

Interim reports may be prepared during the study. Final reports are prepared at the completion of each study. Post study assistance may be provided through the operations phase of this program (see program no. 10.904).

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1066-0-1-301.

Obligations:

(Salaries and expenses) FY 07 \$6,056,100; FY 08 est \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

USDA has cooperated with local, State, and concerned Federal agencies in the preparation and updating of State water resource plans and other water, land, and related studies for floodplain management and flood prevention. There have been over 1,670 watershed plans and 550 flood insurance studies, 620 floodplain management studies (detailed studies), 460 cooperative river basin studies (broad based studies), and 230 resource plans completed under this program since it was authorized in 1954.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the program are found in 7 CFR 621 and 622. Guidelines and policy is contained in the National Watersheds Manual (NWSM), National Basin and Area Planning Manual (NRBM), and NRCS General Manual (GM) 150-Basin and Area Planning, Part 401. Literature available through the NRCS include "What the Natural Resources Conservation Service Does," SCS-CI-3; "Local- State-Federal Watershed Projects," SCS-CI-4; "Multiple-Purpose Watershed Projects," PA-575.

Regional or Local Office:

For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Conservation Planning and Technical Assistance Division, Conservation and Watershed Planning Branch, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 690-1588.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention; 12.110, Planning Assistance to States.

EXAMPLES OF FUNDED PROJECTS:

(1) Dunloup Creek Watershed, Fayette and Raleigh Counties, West Virginia, is well known for its extensively documented flooding problems. In the aftermath of devastating floods in 2001, NRCS was asked to find a solution to the problem. As a result of extensive investigations, it was revealed that traditional structural measures, such as impoundments, levees, and channel modification, do not effectively reduce flooding. An alternative solution was developed, with

active participation by the community, which recommended a voluntary floodplain buyout for an estimated 238 properties. Participating eligible properties would be demolished and the floodplain returned to natural conditions. While non-residential properties exist in the floodplain and are eligible, emphasis will be to purchase occupied residences. Benefits of the project include reduced flood damages, increased human health and safety, better vector control, improved water quality, and improved air quality. (2) In Tobesofkee Creek Watershed, Bibb County, Georgia, landowners and other interested individuals in the watershed participated in identifying natural resource concerns, including poor water quality, sedimentation in streams and ponds, inadequate supplies of good quality water for livestock, excessive cropland erosion, and poor pasture quality. An interagency interdisciplinary team, led by NRCS, determined a number of sources causing the resource problems. Five alternative watershed plans were developed: a No Action Plan, a Minimum Action Plan, a Moderate Action Plan, a Resource Protection Plan, and a Recommended Plan. The Recommended Plan was eventually adopted, which will address nutrient waste, air, soil, aquatic vegetation, and water quality, and quantity concerns on 38 animal waste management systems plus land treatment of 13,797 acres of eroding cropland and pastureland.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on completion in a timely manner, cost effectiveness, production of a deliverable, and merit.

10.907 SNOW SURVEY AND WATER SUPPLY FORECASTING

(Snow Surveys)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act of 1936, as amended, Public Law 74-46.

OBJECTIVES:

To provide information on forthcoming seasonal water supplies from streams that derive most of their run off from snow melt. To help farm operators, rural communities, and municipalities use water- supply forecasts in managing water resources. To provide hydrometeorological data for regulating reservoir storage and managing stream flow. To provide soil moisture/temperature and climate data to support drought assessment, fire hazard assessment, wildlife management and climate change research. To obtain, evaluate and disseminate climate data in support of NRCS conservation activities including models.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The information is used by the owners and operators of the 30,000,000 irrigated acres in the mountain States, and the far West. The data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. The data are also used for drought, flood, fire, and climate assessments. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials of Canada and Mexico.

Applicant Eligibility:

General public, including those located in the U.S. Territories.

Beneficiary Eligibility:

General public, including those located in the U.S. Territories.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center at Portland, OR.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries and expenses) FY 07 \$10,848,700; FY 08 est \$10,760,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Daily snow and precipitation data is gathered from 675 automated Snow Telemetry (SNOTEL) sites and 1150 manual snow courses in West U.S. and Alaska. These data and 11,534 forecasts of seasonal volume stream flows at 575 stream gaging points are provided to water users via the internet address "http://www.wcc.nrcs.usda.gov". Climate analyses are performed for soil surveys (40 narratives per year) and for wetland determination (6,700 WETS tables) nationally. Soil moisture analysis has been done to more effectively evaluate potential runoff conditions and for drought and flood potential.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snow Surveys, AIB 536.

Regional or Local Office:

State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center, Portland, OR. See Appendix IV of the Catalog for addresses. California's Department of Water Resources, which coordinates and issues snow surveys for that State, and the British Columbia Department of Lands, Forests and Water Resources, supply data for the monthly west-wide summaries.

Headquarters Office:

Deputy Chief for Science and Technology Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-4630. FTS is not available.

Web Site Address:

http://www.nrcs.usda.gov.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.910 RURAL ABANDONED MINE PROGRAM

(RAMP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Section 406, Public Law 95-87, 91 Stat. 460, 30 U.S.C. 1236; Abandoned Mine Reclamation Act of 1990; Energy Policy Act of 1992.

OBJECTIVES:

To protect people and the environment from the adverse effects of past coal mining practices, and to promote the development of soil and water resources of unreclaimed mined lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Cost-share funds are available only for conservation practices determined to be needed for the reclamation, conservation, and development of up to 320 acres per owner of rural abandoned coal mine land or lands and waters affected by coal mining activities.

Applicant Eligibility:

Individuals, groups, or units of government who own or control the surface or water rights of abandoned coal land or lands, and water affected by coal mining practices before August 3, 1977. These areas are not eligible if: (1) There is continuing reclamation responsibility on the part of the mine operator or the State; (2) the lands are in Federal ownerships; and (3) the surface rights are under easement or lease to be remained.

Beneficiary Eligibility:

Individuals, group, or units of government who own or control the surface or water rights of abandoned coal land or lands, and water affected by coal mining practices before August 3, 1977. These areas are not eligible if: (1) There is continuing reclamation responsibility on the part of the mine operator or the

State; (2) the lands are in Federal ownerships; and (3) the surface rights are under easement or lease to be remained.

Credentials/Documentation:

Participants must furnish proof of ownership or management control for the contract period and written consent of the landowner, if not the owner. Participants are responsible for completing an application for assistance that includes statement of financial burden. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Applicants request program assistance on Form SCS LTP-156, through the local office of the Natural Resources Conservation Service or conservation district. Applicants must develop, with the assistance of NRCS, a reclamation plan as a basis for program assistance. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Participants must sign a 5 to 10 year contract based on the reclamation plan to receive cost sharing. Cost payments may be made directly to the applicant or the contractor upon satisfactory completion of identifiable units of work. Approval of contracts are made by the Chief of NRCS, State conservationist, or contracting officer depending upon dollar amount of contract. Reclamation work contained in RAMP contracts may be performed under an NRCS awarded contract or by the participant.

Deadlines:

If fully operational, NRCS announces, through local media, dates and deadlines for applications.

Range of Approval/Disapproval Time:

Variable, no specified time. Approval will depend on volume of applications received, available funds, and priority assigned by reclamation committee and complexity of the reclamation project.

Appeals:

None.

Renewals:

Eligible applicants may re-apply for program assistance in accordance with program funding priorities. Subject to a 320-acre limitation per owner.

Formula and Matching Requirements:

Allotments of Rural Abandoned Mine Program funds to NRCS State conservationists are to reflect the national program needs; the geographic areas from which funds were derived; the funding priority assigned to applications for program assistance, including benefits expected to be derived; and, the practicability and feasibility of the reclamation work proposed. For 120 acres or less, the Federal cost-share rate for applying land use and conservation treatment may be up to 80 percent, depending upon the income producing potential of the land after reclamation. However, this rate may be increased to a level required to obtain participation when the main benefits of reclamation accrue to the public and there is a declaration of financial burden. For acreage in excess of 120 acres, up to 320 acres maximum, the cost-share rate is reduced proportionately by up to 0.25 percent per acre. The Chief of the Natural Resources Conservation Service may establish specific rates according to the above criteria. Cost-share rates will range from 25 to 100 percent.

Length and Time Phasing of Assistance:

Contract period is not less than 5 or not more than 10 years.

Reports:

No reports. NRCS and applicant make a status review that includes maintenance of completed items, need for deletion or addition of contract items, and as appropriate, the need to reschedule installation of practices.

Audits:

NRCS makes periodic, random on-site reviews of the quantity and quality of the application and maintenance of scheduled items. Program activities are subject to audit by the Office of Inspector General, USDA.

Records:

Recipients should retain the reclamation plan contract, subsequent correspondence and transactions, because they will be held accountable for its implementation.

Account Identification:

14-5015-0-2-302.

Obligations:

(From carryover funds) (Grants) FY 07 \$0; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$0; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

As of September 30, 2001, there are 20 active contracts with cost share practices valued in excess of \$255,000 remaining to be implemented.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 632, available from the Government Printing Office; RAMP operating procedures, and RAMP environmental impact statements are available from

NRCS State and National headquarters offices.

Regional or Local Office:

See Natural Resources Conservation Service State offices listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Chief for Programs, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-1873.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A 70 acre site near a small community exposed approximately 125 residents to health and safety hazards. Reclamation includes eliminating subsidence holes through filling and grading to eliminate dangerous water pits and illegal trash dumps; surface water control; wildlife plantings; firebreaks; fencing for exclusion of grazing animals; and stabilizing the site by planting pine seedlings. A 40 acre site near a small borough presented a constant hazard to life and property. Reclamation consists of shaping and stabilization of eroding and unsightly "refuse" piles; disposal of "red dog" boulders; removal of a hazardous tipple; filling open mine shafts; back filling to reduce height of "high walls"; removal of acid debris and soil deposition in streams; establishment of diversions, rock and grass waterways; establishing permanent vegetation on the site; and stabilization of stream banks.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the criteria specified in 7 CFR 632.12, which considers the effect on public health, safety and general welfare, the environment, and beneficial use.

10.912 ENVIRONMENTAL QUALITY INCENTIVES PROGRAM

(EQIP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002 (the 2002 Act) (Public Law 107-171, May 13, 2002) re-authorized and amended the Environmental Quality Incentives Program, which had been added to the Food Security Act of 1985 (the 1985 Act) (16 U.S.C. 3801 et seq.) by the Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act) (Public Law 104-127).

OBJECTIVES:

Provide technical and financial assistance to eligible farmers and ranchers to address soil, water, and related natural resource concerns on their lands in an environmentally beneficial and cost-effective manner. This program provides assistance to farmers and ranchers in complying with Federal, State, and tribal environmental laws, and encourages environmental enhancement. The purpose of this program is achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices. Fifty percent of the funding available for technical assistance, cost-share payments, incentive payments, shall be targeted at practices relating to livestock production.

Applicant Eligibility:

Agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands. Limited resource producers, small-scale producers, producers of minority groups, Federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide

either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance, and cost-share or incentive payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. These criteria can be found at http://www.nrcs.usda.gov/programs/eqip/EQIP_signup/2007%20EQIP%20Signup/2007_EQIP.html.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the FSA county committee.

Renewals:

Not applicable.

Formula and Matching Requirements:

Conservation practices may be eligible for cost-sharing up to 75 percent of the total cost of establishing the practice. Incentive payments can be made for land management practices in an amount and rate that NRCS determines is necessary to encourage a participant to perform the practice that would not otherwise be initiated without government assistance. Limited resource producers and beginning farmers may be eligible for cost-sharing up to 90 percent.

Length and Time Phasing of Assistance:

A 2002 Farm Bill EQIP contract expires one year after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications.

Reports:

There are no reports. The Designated Conservationist reviews contract administration annually during the length of the contract of completed contract items and need for deletion or addition of contract items. Participants must operate and maintain a conservation practice for its intended purpose for the life span of the practice.

Audits:

Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-4336-0-1-302.

Obligations:

(Grants) FY 07 \$755,010,208; FY 08 est \$758,000,000; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$240,550,029; FY 08 est \$242,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Cost-share and incentive payments are limited to a maximum payment limitation of \$450,000 for the life of the 2002 Farm Bill. Average contract payments are estimated to be \$15,400.

PROGRAM ACCOMPLISHMENTS:

Over 70,000 applications were received in fiscal year 2006. NRCS estimates that it would require over \$636 million to fully fund all of the applications received last year. After NRCS ranked the applications based on criteria developed at the

local and State level 39,030 contracts were approved with farmers and ranchers totaling over \$787 million. It is estimated that these contracts will treat conservation resource concerns on over 20 million acres of farmland.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/eqip>.

Headquarters Office:

John Dondero, Branch Chief, Environmental Improvements Programs, Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, PO Box 2890, Washington, DC 20013. Attn: Deputy Chief, Natural Resources Conservation Programs. Telephone: (202) 720-1986. Fax: (202) 720-4265.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054, Emergency Conservation Program; 10.069, Conservation Reserve Program; 10.072, Wetlands Reserve Program; 10.901, Resource Conservation and Development; 10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

No projects were funded at the time of publication.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.913 FARM AND RANCH LANDS PROTECTION PROGRAM

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Security Act of 1985, as amended, 16 U.S.C. 3838h and 3838i.

OBJECTIVES:

To purchase conservation easements to limit conversion to non-agricultural uses of farm and ranch lands that contain prime, unique, or important soils or historical and archaeological resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are provided to purchase conservation easements in partnership with States, Tribes, or local government entities, or eligible nongovernmental organizations. The Federal share for any easement acquisition is limited to a maximum of 50 of the appraised fair market value of the conservation easement. A conservation plan is required for highly erodible lands enrolled under an FRPP easement. Conservation easements are perpetual easements. A contingent remainder right is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the cooperating entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

Any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting topsoil by limiting conversion to non-agricultural uses of land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands. Individuals must apply through their local agency that handles purchase of development rights programs. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, Federally recognized Indian tribe or eligible nongovernmental organization, such as a land trust, may apply.

Credentials/Documentation:

Entities must provide documents indicating their commitment to long-term conservation of agricultural lands through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; uses of voluntary

approaches to protect farmland from conversion to non-agricultural uses; and their capability to acquire, manage, and enforce conservation easements. Programs must have a systematic plan for acquiring conservation easements, have a proven commitment to agricultural land protection, and sufficient funds and staff to monitor and enforce conservation easements.

Preapplication Coordination:

Potential applicants must submit documents to the appropriate State Office of the Natural Resources Conservation Service (NRCS) and work with NRCS to develop a statewide priority list. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application information is included in a Notice of Request for Proposals published in the Federal Register. It is available from the State Offices of the (NRCS), the Federal Register, and USDA NRCS home page, and the Farmland Information Library homepage.

Award Procedure:

NRCS State Offices work with the applicants to review their programs, evaluate their priority lists, and award funding to applicants that have submitted parcels that meet FRPP objectives.

Deadlines:

Each year funds are available the deadline for applying is published in the Federal Register.

Range of Approval/Disapproval Time:

Indicated on the Notice of Request for Proposals published in the Federal Register.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Each cooperating entity is required to contribute towards the conservation easement acquisition. For the cooperating entity, two cost-share options are available when providing its matching offer. The entity must provide, in cash, at least 25 percent of the appraised fair market value of the conservation easement or 50 percent of the purchase price of the conservation easement. Each cooperating entity is required to fund its own administrative costs in acquiring easements, such as surveys or title searches, and costs incurred in managing and enforcing the easements.

Length and Time Phasing of Assistance:

Federal funds must be disbursed within two to five years after signing the cooperative agreement. Technical assistance to maintain the conservation plan, however, is provided by NRCS through the life of the easement.

Reports:

Annual reports on the status of the easements acquired will be prepared by the cooperating entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.

Audits:

An audit may be made in accordance with the Office of Management and Budget (OMB) Circular No. A-102 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local governments and other related Circulars.

Records:

Records of easements acquired will be maintained for the life of the easements by the cooperating entity and NRCS.

Account Identification:

12-1000-0-1-302; 12-4336-0-1-302.

Obligations:

(Technical Assistance) FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported. (Financial Assistance) FY 07 \$48,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,700 to \$1,000,000 per landowner. Average: \$97,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Request for Proposals, Federal Register, Volume 68, No. 64, pp. 16253-16258, April 3, 2003.

Regional or Local Office:

For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Farmland Protection and Community Planning Staff, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-9476, Fax: (202) 720-0745.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) California Department of Conservation's Agricultural Land Stewardship

Program; (2) Florida St. Johns River Water Management District's Georgia-Pacific Project; (3) Massachusetts Department of Food and Agriculture's Agricultural Preservation Restriction Program; (4) Rhode Island Department of Environmental Management's Farmland Purchase of Development Rights Program; (5) Vermont Housing and Conservation Board's Farmland Conservation Easement Program; (6) The Nature Conservancy; (7) Iowa Natural Heritage Foundation. The Farm and Ranch Lands Protection Program provides an opportunity to expand Federal partnerships with States, Tribes, and local government entities and nongovernmental organizations to protect farm and ranch land for future generations; to protect strategic agricultural land from conversion to nonagricultural uses; maintain, restore, and enhance ecosystem function; protect historic landscapes, scenic beauty, and open space; and sustain rural economic stability.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting proposals are: The type and terms of easements, the use by a cooperating entity of a land evaluation and site assessment system to evaluate applications for their program, a programmatic process for systematic easement acquisition, evidence of a successful program, and the magnitude of the resources protected.

10.914 WILDLIFE HABITAT INCENTIVE PROGRAM

(WHIP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; 16 U.S.C. 3839bb et. seq.

OBJECTIVES:

The objective of WHIP is to help participants protect, restore, develop or enhance habitat for upland wildlife, wetland wildlife, threatened and endangered species, fisheries, and other types of wildlife.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Technical assistance is provided to develop a Wildlife Habitat Development Plan for eligible participants. Cost-share payments may be made to implement wildlife habitat conservation practices. Up to seventy-five percent cost-share is available from NRCS to reimburse participants for installing conservation practices beneficial to wildlife.

Applicant Eligibility:

Applicant must meet Highly Erodible Land and Wetland Conservation (HEL/WC) requirements, Adjusted Gross Income (AGI) requirements, verification that applicant will be in control of land for the duration of a contract. A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, Pacific Islanders, State, county, or local government owned lands are encouraged to apply.

Beneficiary Eligibility:

A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small-scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable Wildlife Habitat Development Plan for the land unit of concern that incorporates needed wildlife habitat conservation practices. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Program participation is voluntary. The applicant applies at the local USDA service center or found at <http://www.sc.egov.usda.gov> on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant, With the Natural Resources Conservation Service develops a Wildlife Habitat Development Plan for the land unit of concern. The participant's plan serves as the basis for the WHIP cost-share agreement. Technical assistance and cost-share payments may be provided to apply the needed wildlife habitat conservation practices within a time schedule specified by the plan. A participant may apply one or more wildlife habitat conservation practices in a cost-share agreement.

Award Procedure:

NRCS may designate a wildlife habitat type or a region of special wildlife habitat concern as a priority. The priorities are designated by the State Conservationist based on recommendations of local work groups which are convened by the local conservation district with advice from the State Technical

Committee. NRCS will give special consideration to applicants who have Wildlife Habitat Development Plans that address wildlife habitat concerns consistent with National and state priorities. Applications will be ranked and selected for funding.

Deadlines:

As designated by State Conservationists with advice from the State Technical Committee.

Range of Approval/Disapproval Time:

None.

Appeals:

A participant may appeal any adverse determination to NRCS.

Renewals:

Not applicable.

Formula and Matching Requirements:

Installation of cost-share practices to develop wildlife habitat can be funded by cost-sharing up to 75 percent of the total cost of establishing the conservation practice by NRCS. Program participants or nonfederal partners provide the remaining 25 percent. Other conservation practices may be required to be installed without program payments.

Length and Time Phasing of Assistance:

The WHIP cost-share agreement period is a minimum of 5 to 10 years. Agreements of shorter duration are permitted under limited wildlife emergency circumstances. Obligations for assistance are tied to the schedule for applying wildlife habitat conservation practices included in the Wildlife Habitat Development Plan used as the basis for contract. Payments are made when the participant and NRCS certify that a conservation practice is completed in accordance with the cost-share agreement.

Reports:

No reports. NRCS will review contract implementation annually during the length of the cost-share agreement that includes maintenance of completed contract items and need for deletion or addition of cost-share agreement items.

Audits:

NRCS makes periodic random reviews of the operation and maintenance of the cost-share agreement items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in the NRCS state office and Federal records centers for specified number of years.

Account Identification:

12-3322-0-1-302.

Obligations:

(Cost-Share Agreements) FY 07 \$32,697,700; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$10,538,700; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Average contract payments are estimated to be \$4,500.

PROGRAM ACCOMPLISHMENTS:

States began implementing WHIP in fiscal year 1998. Over 3.7 million acres are enrolled in the program. The average agreement encompassed 165 acres. Of all acres enrolled, 95 percent are designed for upland habitat, 3 percent for wetland habitat, 2 percent were riparian habitat, and nearly 1 percent in-stream aquatic habitat. It is estimated that approximately 15 percent of the total acreage enrolled in WHIP will benefit threatened and endangered species.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 636. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS and FSA.

Regional or Local Office:

For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State Office telephone numbers and addresses, see Appendix IV of the Catalog or visit the NRCS National Website at <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

Department of Agriculture, Natural Resources Conservation Service, P.O. Box 2890, Washington, DC 20013. Attn: Director, Financial Assistance Programs Division. Telephone: (202) 720-1844. Fax: (202) 720-4265. Program Contact: Albert Cerna at (202) 720-9358.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054, Emergency Conservation Program; 10.069, Conservation Reserve Program; 10.072, Wetlands Reserve Program; 10.901, Resource Conservation and Development; 10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention; 10.912, Environmental Quality Incentives Program; 10.921, Conservation Security Program.

EXAMPLES OF FUNDED PROJECTS:

Restoring native grasslands; improving aquatic habitat with obstruction removal,

fish passages and streambank stabilization; estuarine habitat improvement; cave closures for bat habitat; native tree planting; invasive plant control.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be ranked and selected for funding based on: The wildlife habitat benefits derived and the extent the cost-share agreement will assist in achieving the wildlife habitat priority goals and objectives in the area the land unit is located.

10.916 WATERSHED REHABILITATION PROGRAM

(Watershed Rehabilitation; PL-566 Watershed Program)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666, as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-624, 72 Stat. 563; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-170, 75 Stat. 408; Public Law 87-703, 76 Stat. 608; Public Law 89-337, 79 Stat. 1300; Public Law 90-361, 82 Stat. 250; Public Law 92-419, 86 Stat. 667; Public Law 95-113, 91 Stat. 913; Public Law 97-98, 95 Stat. 1213; Public Law 99-662, 100 Stat. 4196; Public Law 101-624, 104 Stat. 3359. Public Law 106-472, 114 Stat. 2077; Public Law 107-171, 116 Stat. 274.

OBJECTIVES:

To provide technical and financial assistance to rehabilitate dams originally constructed with assistance of USDA Watershed Programs. Rehabilitation must extend the life of the dam and meet applicable safety and performance standards. Priority is given to dams that could result in loss of life if the dam should fail.

TYPES OF ASSISTANCE:

Project Grants; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical assistance is provided in planning, designing, and implementing watershed rehabilitation works of improvement. Financial assistance is provided for sharing costs of measures for watershed rehabilitation projects. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property.

Applicant Eligibility:

Sponsoring local organizations for existing watershed projects that include dams that were originally constructed with assistance from one of the following water resource programs: Public Law 78-534, Section 13 of the Flood Control Act of 1944; Public Law 156-67, the pilot watershed program authorized under the heading Flood Prevention of the Department of Agriculture Appropriation Act of 1954; Public Law 83-566, the Watershed Protection and Flood Prevention Act of 1954; and Subtitle H of Title XV of the Agriculture and Flood Act of 1981, commonly known as the Resource Conservation and Development Program.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may become a sponsoring local organization for a watershed rehabilitation project.

Credentials/Documentation:

Applications must designate the proposed dam to be rehabilitated, be properly signed and attested to by all sponsoring local organizations of the existing watershed, and set forth the need for the proposed project.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental assessments or an Environmental impact statement are required for all projects. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental assessments or an Environmental impact statement are required for all projects. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are evaluated by analyzing the condition of the dam and consequences of failure of the dam. The State Dam Safety Agency is also consulted for input. Details of the procedure are available from the State Offices

of the Natural Resources Conservation Service.

Deadlines:

None.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal funds may be available for 65 percent of the total rehabilitation project cost, but shall not exceed 100 percent of the actual construction cost incurred. The total rehabilitation project cost includes land acquisition, easements, construction, and non-federal technical assistance and project administration. The cost of technical assistance provided by the natural Resources Conservation Service is not considered as part of the total rehabilitation project cost. The sponsoring local organizations shall be responsible for the cost of all water, mineral, and other resource rights, and cost of permits which are not part of the total rehabilitation project cost. Costs associated with adding new purposes to the watershed rehabilitation project may be cost-shared according to provisions of the Watershed Protection and Flood Prevention Act.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement.

Reports:

Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1002-0-1-301.

Obligations:

(Financial Assistance) FY 07 \$8,262,000; FY 08 est not available; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$7,038,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

(per State) \$0 to \$6,451,000; \$770,000 average per state.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National Watershed Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov).

Regional or Local Office:

For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Watersheds and Wetlands Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-3534. <http://www.nrcs.usda.gov>.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904, Watershed Protection and Flood Prevention; 10.906, Watershed Surveys and Planning; 12.104, Flood Plain Management Services.

EXAMPLES OF FUNDED PROJECTS:

Since 2002, Federal assistance for watershed rehabilitation has been provided on 72 watershed projects. This program provides technical and financial assistance to State agencies and units of local government in addressing public health and safety concerns with homes and businesses downstream from the dams. These projects also reduce flooding and sediment damage some projects also include the development of multipurpose facilities for such uses as recreation, improvement of fish and wild-life habitat, irrigation, and water supply to municipal and industrial users. Examples: watershed rehabilitation projects protect public health and safety of residents in the communities of Gwinnett County, Georgia (Yellow River Watershed); McKinney, Texas (East Fork Above Lavon Creek Watershed); Ramon, Oklahoma (Double Creek Watershed), and Carlsbad, New Mexico (Hackberry Draw Watershed).

CRITERIA FOR SELECTING PROPOSALS:

(1) The existing watershed project must meet the requirements of the law; (2) operation and maintenance of the existing dam must be current; (3) the local sponsors must agree to carry out the watershed project including acquiring land rights, permits, local cost-share, and operating and maintaining the rehabilitated dam for the life of the project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio.

10.917 AGRICULTURAL MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 133 of the Agricultural Risk Protection Act of 2000, Public Law 106-524, Section 524 (b).

OBJECTIVES:

To provide cost-share assistance to producers on private lands through contracts to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Eligible producers in approved states may receive cost-share assistance to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

Applicant Eligibility:

Applicants must have control of the land for a period of the contract running from a minimum of 3 years to a maximum of 10 years. The land must be in one of the 15 following eligible states: Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Applicants must have control of the land for a period of the contract running from a minimum of 3 years to a maximum of 10 years.

Credentials/Documentation:

Evidence that the applicant has control over the land for the life of the proposed contract. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Potential applicants must submit documents to the appropriate local NRCS or Conservation District office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms are available in USDA Service Centers and conservation district offices as well as in an automated format on the Internet on the USDA NRCS homepage.

Award Procedure:

Participants must sign a 3 to 10 year contract based on the conservation plan to receive cost-sharing. Cost share payments are made directly to the applicant upon satisfactory completion on identifiable units of work. Approval of contracts are made by an authorized representative of the Commodity Credit Corporation (CCC).

Deadlines:

Applicants may submit an application at any time during the year. Applications will be ranked at periodic intervals during the year. Applications that miss the current ranking cut-off date will be held and processed at the end of the next application ranking cut-off date, regardless of whether the cut-off date occurs in the current fiscal year or the subsequent fiscal year.

Range of Approval/Disapproval Time:

Variable, no specified time. Approval will depend on volume of applications received and available funds.

Appeals:

Any participant shall have the right to appeal final adverse or potentially adverse decisions made by NRCS.

Renewals:

Contracts that are scheduled for less than 10 years may be extended up to the ten-year contract limit, in order for the participant to complete the practices scheduled in the contract. However, the participant must apply all practices within ten years of signing the contract.

Formula and Matching Requirements:

Cost share payments shall be based on 75 percent of the cost of the eligible practice installed.

Length and Time Phasing of Assistance:

Long term contracts of 3 to 10 years. Cost share assistance is paid when the practice is performed.

Reports:

No reports. NRCS and the landowner or operator make an annual contract status review that includes maintenance of completed contract items, need for deletion or addition of contract items, and as appropriate, the need to reschedule the installation of practices.

Audits:

Program activities are subject to audit by the Office of Inspector General, USDA.

Records:

The records for all expired or terminated contracts will be kept for 10 years following expiration or termination of the contracts.

Account Identification:

12-4336-0-1-302.

Obligations:

(Grants) FY 07 \$0; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$5,000,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not to exceed \$50,000 per fiscal year. Total cost share payments per the life of the contract shall not exceed \$150,000.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1465 regulations in the Federal Register, Volume 68, No. 68, page 17272, April 9, 2003; USDA/NRCS Internet homepage under Programs.

Regional or Local Office:

For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Dave Mason, Telephone (202) 720-1873, Fax: (202) 720-4265.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A State developed ranking process and ranking criteria will be used. The ranking criteria will emphasize: the construction or improvement of water management structures or irrigation structures; planting of trees for windbreaks or to improve water quality; and mitigating financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

10.918 GROUND AND SURFACE WATER CONSERVATION- ENVIRONMENTAL QUALITY INCENTIVES PROGRAM

(EQIP-G&SW)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002 (the 2002 Act), (Public Law 107-171, May 13, 2002) re-authorized and amended the Environmental Quality Incentives Program, which had been added to the Food Security Act of 1985 (the 1985 Act), (16 U.S.C. 3801 et seq.) by the Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act), Public Law 104-127.

OBJECTIVES:

Provide technical and financial assistance to eligible farmers and ranchers to address water conservation natural resource concerns on their lands in an environmentally beneficial and cost-effective manner. This program provides assistance to farmers and ranchers in complying with Federal, State, and tribal environmental laws, and encourages environmental enhancement. The purpose of this program is achieved through the implementation of structural and land management conservation practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural and land management practices. Cost-share payments may be made to implement one or more eligible structural practices. Incentive payments can be made to implement one or more

land management practices. The funding available is for technical assistance, cost-share payments and incentive payments that will assist participants achieve a net-water savings on their agricultural land.

Applicant Eligibility:

Agricultural producers who are addressing water conservation natural resource issues. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands. Limited resource producers, small-scale producers, producers of minority groups, Federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply. Program may not be available in all States.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA--1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and cost-share or incentive payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on a State or locally developed ranking process. These criteria can be found at <http://www.nrcs.usda.gov/programs/eqip/>.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the FSA county committee.

Renewals:

Not applicable.

Formula and Matching Requirements:

Conservation practices may be eligible for cost-sharing up to 75 percent of the total cost of establishing the practice. Incentive payments can be made for land management practices in an amount and rate that NRCS determines is necessary to encourage a participant to perform the practice that would not otherwise be initiated without government assistance. Limited resource producers and beginning farmers may be eligible for cost-sharing up to 90 percent.

Length and Time Phasing of Assistance:

A 2002 Farm Bill EQIP contract expires one year after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP for technical services prior to contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications.

Reports:

There are no reports. The designated Conservationist reviews contract administration annually during the length of the contract for installation of practices scheduled and maintenance of completed contract items. Participants must operate and maintain a conservation practice for its intended purpose for the life span of the practice.

Audits:

Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-4336-0-1-302.

Obligations:

(Grants) FY 07 \$51,000,000; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$19,093,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

An individual or entity may not receive, directly or indirectly, cost-share or incentive payments under this chapter that, in the aggregate, exceed \$450,000 for all contracts entered into under this chapter by the individual or entity during the period of fiscal years 2002 through 2007, regardless of the number of contracts entered into under this chapter by the individual or entity.

PROGRAM ACCOMPLISHMENTS:

Thirty-two states located in the High Plains Aquifer, or areas severely impacted by drought (according to the USDA Drought Monitor), or in areas with extensive agricultural water needs were targeted for achieving a net savings in water consumption on agricultural lands. In FY 2006, producers entered into 2,023 Ground and Surface Water contracts on nearly 382,600 acres to improve irrigation and water use efficiency on currently irrigated cropland.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/eqip>.

Headquarters Office:

John Dondero, Branch Chief - Environmental Improvement Programs, Financial Assistance Programs Division, Natural Resources Conservation Service, U.S. Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-1986. FAX: (202) 720-4265.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.912, Environmental Quality Incentives Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; and the extent the contract will assist the priority natural resource goals and objectives and achieve a net water savings.

10.919 KLAMATH BASIN - ENVIRONMENTAL QUALITY INCENTIVES PROGRAM

(EQIP-KB)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002 (the 2002 Act), Public Law 107-171, May 13, 2002 re-authorized and amended the Environmental Quality Incentives Program, which had been added to the Food Security Act of 1985 (the 1985 Act) (16 U.S.C. 3801 et seq.) by the Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act) (Public Law 104-127).

OBJECTIVES:

Provide technical and financial assistance to eligible farmers and ranchers to address water conservation natural resource concerns on their lands in an environmentally beneficial and cost-effective manner. This program provides assistance to farmers and ranchers in complying with Federal, State, and tribal environmental laws, and encourages environmental enhancement. The purpose of this program is achieved through the implementation of structural and land management conservation practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural and land management practices. Cost-share payments may be made to implement one or more eligible

structural practices. Incentive payments can be made to implement one or more land management practices. The funding is available for technical assistance, cost-share payments and incentive payments that will assist participants achieve a net-water savings on their agricultural land

Applicant Eligibility:

Agricultural producers who are addressing water conservation natural resource issues. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands. Limited resource producers, small-scale producers, producers of minority groups, Federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply. This program is only available in the Klamath Basin of California and Oregon.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and cost-share or incentive payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on a State or locally developed ranking process. These criteria can be found at http://www.nrcs.usda.gov/programs/eqip/EQIP_signup.html.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the FSA county committee.

Renewals:

Not applicable.

Formula and Matching Requirements:

Conservation practices may be eligible for cost-sharing up to 75 percent of the total cost of establishing the practice. Incentive payments can be made for land management practices in an amount and rate that NRCS determines is necessary to encourage a participant to perform the practice that would not otherwise be initiated without government assistance. Limited resource producers and beginning farmers may be eligible for cost-sharing up to 90 percent.

Length and Time Phasing of Assistance:

A 2002 Farm Bill EQIP contract expires one year after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP for technical services prior to the contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications.

Reports:

There are no reports. The Designated Conservationist reviews contract administration annually during the length of the contract for installation of practices scheduled and maintenance of completed contract items. Participants must operate and maintain a conservation practice for its intended purpose for the life span of the practice.

Audits:

Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the

conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-4336-0-1-302.

Obligations:

(Grants) FY 07 \$6,012,800; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$2,346,700; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

An individual or entity may not receive, directly or indirectly, cost-share or incentive payments under this chapter that, in the aggregate, exceed \$450,000 for all contracts entered into under this chapter by the individual or entity during the period of fiscal years 2002 through 2007, regardless of the number of contracts entered into under this chapter by the individual or entity.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/eqip>.

Headquarters Office:

John Dondero, Branch Chief, Environmental Improvement Programs, Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-1986. Fax: (202) 720-4265.

Web Site Address:

www.nrcs.usda.gov.

RELATED PROGRAMS:

10.902, Soil and Water Conservation; 10.912, Environmental Quality Incentives Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; and the extent the contract will assist the priority natural resource goals and objectives and achieve a net water savings.

10.920 GRASSLAND RESERVE PROGRAM

(Grassland Reserve Program (GRP))

FEDERAL AGENCY:

UNITED STATES DEPARTMENT OF AGRICULTURE NATURAL RESOURCES CONSERVATION SERVICE

AUTHORIZATION:

16 U.S.C. 3838n through 3838q.

OBJECTIVES:

To assist landowners and others in restoring and protecting eligible grassland, rangeland, pastureland and certain other lands through rental agreements and easements.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

GRP easements and rental agreements are designed for working agricultural lands. All participants are subject to the Adjusted Gross Income Provision set forth in 7 CFR Part 1400. This limitation provides that individuals and entities that have an average adjusted gross income exceeding \$2.5 million for the three tax years immediately preceding the year the contract is approved are not eligible to receive program benefits, unless 75 percent of the adjusted gross income is derived from farming, ranching, or forestry operations. Easements and rental agreements will (1) permit grazing on the land in a manner that is consistent with maintaining the viability of the native and natural grass, shrub, forest, and wildlife species adapted to the locality; (2) permit haying, mowing, or harvesting for seed production, except during the nesting and brood-rearing seasons for birds in the area that are in significant decline; (3) allow for fire rehabilitation and construction of firebreaks, fences, watering facilities and practices that protect and restore the grasslands functions and values; and (4)

prohibit the production of row crops, fruit trees, vineyards, or any other agricultural commodities or activity that requires disturbance of the soil surface. Both easements and rental agreements will require that the land is managed to maintain the viability of the plant community as described in the conservation plan.

Applicant Eligibility:

GRP is available on privately owned lands. Eligible land includes grassland, land that contains forbs, or shrubs (including rangeland and pasture land; or land that is located in an area that has historically been dominated by grassland, forbs, and shrubs; and has potential to provide habitat for animal or plant populations of significant ecological value. Incidental lands, in conjunction with eligible land, may also be considered for enrollment to allow for the efficient administration of an easement or rental agreement. Generally, forty acres is the minimum acreage that will be accepted unless USDA makes a determination that enrollment of a smaller parcel meets the purposes of the program and grants a waiver.

Beneficiary Eligibility:

Only landowners may submit applications for easements; landowners and others who have general control of the acreage may submit applications for rental agreements.

Credentials/Documentation:

None.

Preapplication Coordination:

Any owner or operator or tenant meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA service centers at any time. USDA will establish criteria to evaluate and rank applications for easement and rental agreement enrollment. Ranking criteria will emphasize support for high quality grasslands and shrublands; grazing operations; and plant and animal biodiversity; under threat of conversion. Individual project selection will be made by State level USDA officials. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are accepted in local USDA service centers at any time. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e. permanent or 30-year easement, 10-year, 15-year, 20-year or 30-year rental agreement). Applications can be obtained through local USDA service centers or the website of the Natural Resources Conservation Service Office. See www.nrcs.usda.gov. Select Programs from the menu, then select Grassland Reserve Program.

Award Procedure:

None.

Deadlines:

No application deadline. Applications are accepted through a continuous signup process. Individual State USDA offices of the Farm Service Agency and the Natural Resources Conservation Service Office may establish funding cut off periods.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Applicants or participants may appeal decisions regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Easement duration is either perpetual, 30-years, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. For rental agreements, payments are made annually on the agreement anniversary date of USDA approval. For both easements and rental agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or rental agreement.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1004-0-1-302.

Obligations:

(Direct Payments) FY 07 \$12,671,100; FY 08 \$0; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$3,236,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

In FY 2003 USDA had available approximately \$52,000,000 in financial assistance to allocate nationwide. The average estimated cost per acre for

easeement acquisition was approximately \$382. The average estimated cost per acre for rental agreements was \$134 per acre. In FY 2004 USDA has available approximately \$54,000,000 in financial assistance.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Thomas Christensen, Deputy Chief for Natural Resources Conservation Programs, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-4527. Use the same number for FTS; Floyd Wood, National Grassland Reserve Program Manager, NRCS, (202) 720-0242 or Jim Williams, National Grassland Reserve Program Manager, FSA, (202) 720- 9562.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069, Conservation Reserve Program; 10.072, Wetlands Reserve Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.921 CONSERVATION SECURITY PROGRAM

(CSP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food Security Act of 1985, Title XII, Chapter 2, Subchapter A, of the Food Security Act of 1985, 16 U.S.C. 3801 et seq., Public Law 99-198, as amended by the Farm Security and Rural Investment Act of 2002, enacted on May 13, 2002, Public Law 107-171.

OBJECTIVES:

The CSP sets forth a mechanism to provide financial and technical assistance to promote the conservation and improvement of soil, water, air, energy, plant and animal life, and other conservation purposes on Tribal and private working lands. Working lands include cropland, grassland, prairie land, improved pasture, and range land, as well as forested land that is an incidental part of an agriculture operation. The goal of CSP is to identify and reward those farmers and ranchers who are meeting the highest standards of conservation and environmental management on their operations and to support ongoing stewardship of private agricultural lands by providing payments for maintaining and enhancing natural resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Eligible applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Applicant Eligibility:

An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means an owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. An applicant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Beneficiary Eligibility:

An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means and owner, operator, landlord, tenant or sharecropper that shares in the

risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. A participant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An environmental impact assessment has been prepared for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Conduct a CSP self-assessment and benchmark condition inventory, and submit an intention to enroll to the local NRCS office that serves the area in which the agricultural operation is located during the designated sign-up period. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

Deadlines:

NRCS will publish a CSP sign-up notice with a schedule for the sign-up process, including the deadline(s) for applying. At the beginning of each sign-up, the Chief will announce the order in which categories and subcategories are eligible to be funded.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS.

Appeals:

An applicant or a participant may obtain administrative review of an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

The conservation security contract may be renewed, as appropriate, for an additional period of not less than 5, nor more than 10 years.

Formula and Matching Requirements:

Payments may have as many as four components including a base conservation stewardship payment, existing practice payment, new practice cost-share payment, and enhancement payment. Payments will be made as described in the contract in return for the implementation and/or maintenance of a specified level of conservation treatment on all or part of the agricultural operation.

Length and Time Phasing of Assistance:

The schedule of payments will be specified in the conservation stewardship contract based on calculations identified in the rule and sign up notice.

Reports:

None.

Audits:

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained by the participant, as appropriate and in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement.

Account Identification:

12-1004-0-1-302.

Obligations:

(Direct Payments) FY 07 \$235,960,000; FY 08 est \$287,752,900; and FY 09 est not reported. (Salaries and Expenses) FY 07 \$23,310,000; FY 08 est \$28,459,100; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Contract numbers and acres by year: 2006 - 4,323 contracts covering 3,648,100 acres; 2007 - No sign-up.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media, local conservation districts, and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV, the Catalog.

Headquarters Office:

Dwayne Howard, Branch Chief, Stewardship Programs Branch, Financial Assistance Programs Division, Natural Resources Conservation Service,

Department of Agriculture, PO Box 2890, Washington, DC 20013. Telephone: (202) 720-3524.

Web Site Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904, Watershed Protection and Flood Prevention; 10.912, Environmental Quality Incentives Program; 10.914, Wildlife Habitat Incentive Program; 10.917, Agricultural Management Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

10.922 HEALTHY FORESTS RESERVE PROGRAM (HFRP)

Healthy Forests Reserve Program (HFRP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Title V of the Healthy Forest Restoration Act of 2003, 16 U.S.C. Sections 6571-6578.

OBJECTIVES:

To assist landowners in restoring and enhancing forest ecosystems to: Promote the recovery of threatened and endangered species, improve biodiversity, and enhance carbon sequestration.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

HFRP restoration agreements and easements are designed for working Agricultural lands. Easements and Agreements shall be maintained in accordance with HFRP goals and objectives for the duration of the restoration agreement and easement including the restoration, protection, enhancement, maintenance, and management of habitat for listed species within a forest ecosystem's functions and values. For the duration of its term, the easement shall require, at a minimum, that the landowner, and the landowner's heirs, successors and assigns, shall cooperate in the restoration, protection, enhancement, maintenance, and management of the land in accordance with the easement and with the terms of the HFRP restoration plan. In addition, the easement shall grant to the United States, through the NRCS: A right of access to the easement area; The right to permit compatible uses of the easement area, which may include such activities as hunting and fishing, managed timber harvest, or periodic haying or grazing, if such use is consistent with the long-term protection and enhancement of the purposes for which the easement was established; The right to determine compatible uses on the easement area and specify the amount, method, timing, intensity and duration of the compatible use; The rights, title and interest to the easement area as specified in the conservation easement deed; and The right to perform restoration, protection, enhancement, maintenance, and management activities on the easement area. The landowner shall convey title to the easement which is acceptable to the NRCS. The landowner shall warrant that the easement granted to the United States is superior to the rights of all others, except for exceptions to the title which are deemed acceptable by the NRCS.

Applicant Eligibility:

To be eligible to enroll an easement or restoration agreement in the HFRP, a person must: Be the landowner of eligible private land for which enrollment is sought. Agree to provide such information to the Natural Resources Conservation Service (NRCS) as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes.

Beneficiary Eligibility:

Only landowners.

Credentials/Documentation:

Be the landowner of eligible land for which enrollment is sought; and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes.

Preapplication Coordination:

Any owner meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up dates. USDA will establish criteria to evaluate and rank applications for easement and restoration agreement enrollment. Individual project selection will be made by State level USDA officials. NRCS will identify through a sign-up notice process the geographic scope and ranking priorities for that particular sign-up. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up dates. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e., 10 year restoration agreement, 30 year easement or 99 year easement). Applications can be obtained through local USDA Service Center.

Award Procedure:

NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment which is offered by NRCS. The easement payment may or may not equal the fair market value of the interests and rights to be conveyed by the landowner under the easement. By voluntarily participating in the program, a landowner waives any claim to additional compensation based on fair market value.

Deadlines:

NRCS will only accept applications for enrollment during announced sign-up periods.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

A person participating in the HFRP may obtain a review of any administrative determination concerning eligibility for participation utilizing the administrative appeal regulations provided in 7 CFR Part 614.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

Lump sum or annual easement payments made in no more than 10 annual payments of equal or unequal size, as agreed to between NRCS and the landowner.

Length and Time Phasing of Assistance:

Restoration Agreements of 10 years, Easements duration is either 30-years, 99-years, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. For restoration agreements, payments are made annually on the agreement anniversary date of USDA approval. For both easements and restoration agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or restoration agreement.

Reports:

None.

Audits:

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302.

Obligations:

(Financial Assistance) FY 07 \$2,351,300; FY 08 est \$2,351,300; and FY 09 est not reported. (Technical Assistance) FY 07 \$124,700; FY 08 est. \$124,700; and FY 09 est not reported.

Range and Average of Financial Assistance:

In FY 2006 NRCS had \$2.3 million available to fund the program in three states. The average estimated cost per acre for easement acquisition was approximately \$1,048.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 NRCS has enrolled approximately 196,000 acres in the program in Arkansas, Maine, and Mississippi.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media and in letters to agricultural landowners in the county. Regulations published in the Federal Register (Interim Final Rule) and 7 CFR Part 625.

Regional or Local Office:

Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Thomas W. Christensen, Deputy Chief for Programs, Natural Resources Conservation Service, Department of Agriculture, 14th and Independence Ave., S.W., Room 5109-S, Washington, DC 20250. Telephone: (202) 720-4527. Robin Heard, NRCS, Director, Easements Programs Division, Room 6819-S, Telephone: (202) 720-1875; Ross Lahren, NRCS, Branch Chief, Easements Programs Division, Room 6809-S, (202) 720-0123; Astor Boozer, NRCS, Healthy Forests Reserve Program Manager, Room 6813-S, Telephone: (202) 720-1836.

Web Site Address:

RELATED PROGRAMS:

10.069, Conservation Reserve Program; 10.072, Wetlands Reserve Program; 10.920, Grassland Reserve Program; 10.913, Farm and Ranch Lands Protection Program.

EXAMPLES OF FUNDED PROJECTS:

Maine is one of three states nationally where the program is available. The primary focus of HFRP in Maine is to enhance the management of Spruce-Fir and Northern Hardwood-Spruce forests to promote the recovery of the federally-threatened Canada lynx and to enhance the biodiversity and environmental quality using sustainable forests management practices. Maine developed three contracts from fiscal year 2006 applications addressing 494,000 acres with landowners who agreed to develop and implement forest management plans which incorporate management guidelines specifically designed to benefit the Canada lynx.

CRITERIA FOR SELECTING PROPOSALS:

The Secretary of Agriculture shall give priority to the enrollment of land that provides the greatest conservation benefit to primarily, species listed as endangered or threatened under 16 U.S.C. 1533; and secondarily, species that are not listed as endangered or threatened under 16 U.S.C. 1533; but are candidates for such listing, State-listed species, or special concern species. The Secretary of Agriculture shall also consider the cost-effectiveness of each agreement or easement, and associated restoration plans, so as to maximize the environmental benefits per dollar expended.

10.923 EMERGENCY WATERSHED PROTECTION PROGRAM

(Emergency Watershed Protection (EWP) Program)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 216 of the Flood Control Act of 1950, Public Law 81-516, 33 U.S.C. 701b-1; and Section 403 of the Agricultural Credit Act of 1978, Public Law 95-334, as amended by Section 382, of the Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127, 16 U.S.C. 2203.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be used to perform work on measures installed by another federal agency.

Applicant Eligibility:

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency;

is capable of obtaining necessary land rights; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts.

Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed.

Preapplication Coordination:

Each NRCS state office has developed and maintains an EWP Program Emergency Recovery Plan that contains procedures for implementation of emergency recovery measures should a disaster occur. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), if required; A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Potential sponsors have 60 days from the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Projects must be completed within 220 days from the date funding is provided. For exigency situations project must be completed within 10 days from the date funding is provided.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement.

Reports:

Final reports are prepared for each project once completed. A benefit and accomplishment report is prepared for the fiscal year based upon final reports.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and

NonProfit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

Grants: FY 07 \$172,697,406; FY 08 est \$112,310,000; and FY 09 est not reported. Salaries and Expenses: FY 07 \$40,445,602; FY 08 est \$38,482,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Disaster Events Funded: FY 06 range \$22,211 to \$6,047,723, average \$955,410.

PROGRAM ACCOMPLISHMENTS:

For FY 2006: Disaster Events Funded, 87; Disaster Events Unfunded, 25; Completed Projects, 47; Costs: Technical Assistance, \$8,109,137; Financial Assistance, \$44,904,270; Local Contribution, \$9,967,235; Floodplain Easements, 0; Total Costs, \$62,980,642; Benefits: Outcomes: Public Buildings Protected, 259; Private Buildings Protected, 6,342; Road Protected, 487 miles Utilities Protected, 369; Value of Property Protected, \$709,495,022; Outputs: Debris Removed, 1,241,135 feet; Streambank Stabilized 58,469 feet; Land Protected, 84,981 acres; Easements Purchased, 0 acres; People Benefited: Elderly 27,863; Minority, 274,043; Other, 518,018; Total, 819,924; 8(a) Contracts 99; Value of 8(a) Contracts, \$10,512,629; Total Benefits: Economic, \$267,379,988; Cost/Benefit Ratio, 1.0:4.2.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Conservation Planning and Technical Assistance Division, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Victor Cole, National Emergency Watershed Protection Program Manager, Telephone: (202) 690-0793.

Web Site Address:

<http://www.nrcs.usda.gov/programs/ewp/>.

RELATED PROGRAMS:

10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

NRCS made a major effort to accelerate the work during fiscal year 2006. The objective for NRCS and our local, State and federal partners is to finish all dead tree removal work in the priority areas by the end of calendar year 2007. This has been communicated to all our partners and plans are being put into place to accomplish this goal. Alabama: Hurricane Recovery Efforts -- Alabama has been very aggressively responding to municipalities and local units of government to assist with repair of damages caused by recent hurricanes, Dennis and Katrina. For traditional Emergency Watershed Protection Program (EWP) efforts, Alabama received and approved requests from 34 sponsors for \$18,893,975 to address damages at 205 sites. The potential fire hazards resulting from downed timber from hurricane damage needed to be addressed. For removal of downed timber resulting from hurricane damage from Hurricanes Dennis and Katrina, Alabama recently obligated \$14.6 million dollars to implement emergency measures to relieve imminent hazards to life and property. Alabama received requests from 1,050 landowners to address damages on 295,114 acres. Priority was given in areas with a high risk for wildfire and associated smoke concerns near transportation corridors and fire concerns near urban interface areas. Five counties designated as limited resource areas were assisted with the Program. In addition, the Poarch Band of Creek Indian Reservation has EWP contracts totaling \$779,000 to repair 16 hurricane-damaged sites. This is the first time the tribe has participated in the EWP Program. Florida: Hurricane Recovery Efforts -- Hurricane Charley - City of Punta Gorda: On October 24, 2005 Hurricane Wilma hit Broward County. With over 2,000 miles of canals and waterways in Broward County, most were damaged from debris and erosion. NRCS provided financial and technical assistance to 31 local units of government to remove debris from waterways and repair erosion damage to streambanks. Several sites are completed with the remainder of the work in progress. Massachusetts: Whittenton Pond Dam, Taunton -- NRCS used EWP funding to alleviate the threat to public safety and property through a controlled draw-down of water due to the high risk of dam failure due to flooding. The wooden portion of the dam was removed as part of the draw-down and rock was used to stabilize the site to stop further erosion. Without immediate action by NRCS the dam could have failed flooding business and residences in Taunton. Massachusetts: Millpond Dam, Rockport -- A dam in Rockport partially collapsed after heavy rain and was in jeopardy of failing. NRCS immediately responded and place rock to stabilize the dam until sponsors could inspect and determine the best course of action to rebuild or remove the dam. Without NRCS action the dam could have failed damaging water and sewer lines immediately downstream along with other

businesses and residences. Since the dam is in close proximity of Rockport Harbor rupture of the sewer line could have caused serious contamination.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when they provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment in a watershed due to a natural disaster

10.950 AGRICULTURAL STATISTICS REPORTS

(Agricultural Estimates)

FEDERAL AGENCY:

NATIONAL AGRICULTURAL STATISTICS SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

5 U.S.C. 3109; 7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-957; 7 U.S.C. 1621-1623, 1623a, 1624-1627; 7 U.S.C. 2201, 2202, 2204g, 2225, 2248, 3103, 3311, 3504; 13 U.S.C. 142a, 142b; 18 U.S.C. 1902, 1905, 2072; 42 U.S.C. 1891-1893; 44 U.S.C. 3501-3511; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To formulate, develop, and administer programs for collecting and publishing statistics related to agriculture, resources, and rural communities.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Copies of publications of estimates and reports of production, supply, price and other aspects of the agricultural economy are available to anyone without restriction. Publications are provided free to survey respondents, press, Congress, and, many current publications and all data products are also available for free on the NASS Internet World Wide Website: <http://www.usda.gov/nass/>. Other publications are available to all others on a subscription basis.

Applicant Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, State governments, educational institutions, and the general public including those located in the U.S. Territories.

Beneficiary Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, State governments, educational institutions, and the general public including those located in the U.S. Territories.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Request for technical information only may be made to the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

12-1801-0-1-352.

Obligations:

FY 07 \$160,300,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Estimates on approximately 120 crops and 45 livestock items are included in approximately 400 reports. These reports include crops, livestock and poultry estimates; agricultural chemical usage; prices received by farmers; prices paid for commodities and services; and data on farm employment and wage rates. The Census of Agriculture is conducted every 5 years, for the years ending in a

"2" or "7" and provides comprehensive data down to the county level on all aspects of the agricultural economy.

REGULATIONS, GUIDELINES, AND LITERATURE:

Agricultural Statistics Board catalog (annual listing of reports available by subscription issued by Agricultural Statistics Board), no charge.

Regional or Local Office:

Consult Appendix IV for addresses of National Agricultural Statistics Service State Statistical Offices.

Headquarters Office:

National Agricultural Statistics Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720- 2707. Use the same number for FTS. Contact: R. Ronald Bosecker, Administrator.

Web Site Address:

<http://www.usda.gov/nass>.

RELATED PROGRAMS:

10.153, Market News; 10.250, Agricultural and Rural Economic Research; 10.700, National Agricultural Library; 11.001, Census Bureau Data Products; 11.110, Manufacturing and Services; 17.003, Prices and Cost of Living Data.

EXAMPLES OF FUNDED PROJECTS:

PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.960 TECHNICAL AGRICULTURAL ASSISTANCE

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE (FAS), DEPARTMENT OF AGRICULTURE (USDA)

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3318, 3319a and 3291; Food and Agriculture Act of 1977, Public Law 95-113, September 29, 1977, as amended.

OBJECTIVES:

To identify and apply the most appropriate solutions to international agricultural problems and increase the capabilities of U.S. educational institutions and nonprofit agencies in agricultural technical assistance and research.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cost-reimbursable and cooperative agreements are used to fund projects dealing with various aspects of agricultural technical assistance. No funding is available for unsolicited proposals.

Applicant Eligibility:

U.S. institutions of higher learning, and public and private/nonprofit organizations whose primary purpose is agriculture, natural resources management and/or rural development (including those located in U.S. territories).

Beneficiary Eligibility:

Technical assistance provided through these reimbursable agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Nonprofit Organizations. This program is not subject to the provisions of OMB Circular No. A-87, Cost Principles for State, Local and Indian Tribal Governments.

Preapplication Coordination:

Notices of fund availability and requests for proposals with information on requirements are disseminated through selected USDA agencies and land grant universities. This program is not subject to the provisions of Executive Order 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Submit requests for proposal packages, which include specific information on requirements, to USDA/FAS/ICD/DRD (see below). This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. This program is not subject to the provisions of OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments.

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposal.

Deadlines:

Deadlines are announced with the Request for Proposals.

Range of Approval/Disapproval Time:

Not available.

Appeals:

None.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects.

Generally, cost-reimbursable and cooperative agreements are funded for variable lengths of time, depending on the technical assistance requested.

Reports:

None.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular A-133, Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in a year in Federal awards will have a single or double program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Program and financial records are kept in the Department.

Account Identification:

12-3200-0-1-352.

Obligations:

(Reimbursable Agreements) FY 07 \$7,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$30,000 to \$600,000. Average: \$160,000.

PROGRAM ACCOMPLISHMENTS:

Programs currently include provision of technical assistance for expanding the use of effective program management concepts and technologies in developing countries to promote food security; guidance on natural resources management policy, procedures and techniques; trade capacity building; post-disaster and post-conflict reconstruction; and integrated approaches to international agricultural development and environmental protection.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015 and 3019.

Regional or Local Office:

None.

Headquarters Office:

Grant Pettrie, USDA/FAS/International Cooperation and Development, Development Resources Division, Washington DC 20250-1089. Telephone: (202) 690-1924.

Web Site Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of effective management concepts and methodologies for implementation of technical agricultural and environmental protection projects. Hurricane rehabilitation activities in Central America and the Caribbean, emphasizing watershed rehabilitation, food safety, and food security. Sound natural resources management, agricultural and environmental policy reform, and micro-finance for economic and rural development in developing countries. Strengthening of host government institutions in post-conflict environments.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities determined by the Foreign Agricultural Service's International Cooperation and Development (FAS/ICD) program area and its collaborators, especially the U.S. Agency for International Development (USAID). Contact FAS/ICD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.961 SCIENTIFIC COOPERATION AND RESEARCH

(International Collaborative Research and Scientific Exchanges, Scientific Cooperation Program).

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3318 and 3291.

OBJECTIVES:

To advance cooperation in areas of bilateral trade and market access, agriculture, animal and plant health, biotechnology, food safety and security, and sustainable natural resource management through long-term collaborative research worldwide and through short term scientific exchanges coordinated by USDA and the People's Republic of China's Ministry of Agriculture.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds under the Scientific Cooperation Research Program may be used for direct costs of conducting approved activities, such as travel for scientific exchanges and research associates, supplies, and equipment for research

projects. Funds may not be used to pay indirect costs, general communication, clerical and publication costs, tuition, expenses solely for conferences or sabbaticals, or salary for principal investigators. The Scientific Cooperation Exchange Program supports USDA's Scientific Technological Exchange Agreement with the People's Republic of China for visits of teams in which the receiving country covers all expenses for in-country travel and per diem for visiting teams. Under this agreement, teams with a maximum of 5 scientists are exchanged for up to 14 days per team. U.S. participants are responsible for their international airfare between the U.S. and China, as are Chinese teams coming to the United States.

Applicant Eligibility:

Proposals are accepted from U.S. scientists affiliated with a college or university, a federal or state agency, or a private, nonprofit organization. Proposals are especially encouraged from scientists early in their career, women scientists, and scientists or institutions representing under-served and under-represented communities. U.S. institutions include those in American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

Research and scientific exchange trade and market access, agriculture, animal and plant health, biotechnology, food safety and security, and sustainable natural resource management projects benefit both U.S. and cooperating countries' college and universities, federal and state agencies, and private and nonprofit organizations and their stakeholders.

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions, A-122, Cost Principles for Nonprofit Organizations, and A-87, Cost Principles for State, Local and Indian Tribal Governments.

Preapplication Coordination:

Requests for proposals are made through Grants.gov, a government-wide internet portal for federal funding, at: <http://www.Grants.gov> under Catalog for Federal Domestic Assistance Number (CFDA) 10.961 and the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/>. This program is not subject to the provisions of Executive Order 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Program guidelines with detailed application procedures are available on the internet through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/icd/grants/scrp.htm> or through Grants.gov, a government-wide internet portal for federal funding, at: <http://www.Grants.gov> under Catalog for Federal Domestic Assistance Number (CFDA) 10.961. Guidelines are also available directly from the Foreign Agricultural Service's Research and Scientific Exchanges Division, USDA/FAS/ICD/RSED/SCEP, Mail Stop 1084, 1400 Independence Avenue, SW, Washington, D.C. 20250-1084. Applications may be submitted either electronically or as printed copies by mail or hand delivery by the proposal deadline. Any changes to the deadline or guidelines will be accessible on the internet through <http://www.Grants.gov> under CFDA Number 10.961 or the Foreign Agricultural Service Web site at: <http://www.fas.usda.gov/>. This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations and OMB Circular No. A-102, Grants and Cooperative Agreements with State and Local Governments.

Award Procedure:

Program staff members review and evaluate all proposals with the assistance and advice of qualified U.S. scientists. Proposals are selected according to how well they meet criteria that includes scientific or technical merit of the proposed activity; suitability of the investigators and institutions; feasibility of achieving proposal objectives; relevance to priority issues in U.S. trade and market access, agriculture, animal and plant health, biotechnology, food safety and security, and sustainable natural resource management. Proposals are funded to the extent permitted by available funds.

Deadlines:

Deadlines are announced with the Request for Proposals. Any changes to the deadline or guidelines will be accessible on the internet through <http://www.Grants.gov> under CFDA Number 10.961 or the Foreign Agricultural Service Web site at: <http://www.fas.usda.gov/>.

Range of Approval/Disapproval Time:

Award and decline letters are expected to be sent to the U.S. Principal Investigators within 60 to 150 days.

Appeals:

None.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

The Scientific Cooperation Exchange Program with the People's Republic of China operates under a receiving side pays arrangement with the People's Republic of China. U.S. program participants, however, are responsible for their international airfare. For long-term collaborative research projects under the Scientific Cooperation Research Program, participating U.S. institutions are

expected to share a portion of project costs or arrange supplemental funding from other sources. These programs have no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Short-term exchange visits with the People's Republic of China are limited to 2 to 4 weeks. Long-term research project agreements range from 1 to 3 years, with funds provided annually.

Reports:

Short-term exchange visits require trip reports. Long-term research projects require technical annual progress and final research reports. Financial reports are also required.

Audits:

In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in a year in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement.

Account Identification:

12-3200-0-1-352.

Obligations:

(Cooperative Agreements and Direct Payments) FY 07 \$830,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$45,000. Average: \$20,000.

PROGRAM ACCOMPLISHMENTS:

The global Scientific Cooperation Research Program, reflecting USDA and Foreign Agricultural Service priorities, has assisted U.S. scientists cooperating in 356 projects with partners in 85 countries to find innovative ways to help solve marketing and trade, food security, sustainable agriculture, and natural resource management problems of mutual interest since 1982. The U.S. Department of Agriculture and the Ministry of Agriculture of China for 27 years have worked under the umbrella of the U.S.-China Science and Technology Cooperation Agreement. Jointly, we have advanced cooperation in areas that include food safety and security, agriculture, animal and plant health, bilateral trade and market access, biotechnology, and sustainable natural resource management. More than 1,700 American and Chinese scientists have not only exchanged technical knowledge, but built relationships that have helped foster political and economic collaboration, including trade. Each year, an equal number of teams are selected from each country to initiate linkages for long-term collaboration in the other country. In some form, life expectancy of exported cattle is one to three months. New Mexico State University, an Hispanic Serving Institution, collaborated with Mexican scientists on research assessing the impact of insecticide use on target and non-target natural enemies of cotton. Iowa State University researchers are working with extension workers to implement results of collaborative research with New Zealand to reduce the use of stored feed through grazing corn crop residues which could save cattle producers in Iowa alone over \$140 million each year. USDA's Natural Resources Conservation Service and Agricultural Research Service continues collaborative research in Mongolia to collect forage germplasm for preservation and use in the U.S. Similar research in China led to the participation of American Indian Tribal Colleges in Montana in a scientific exchange with China which emphasized the use of indigenous and traditional plants in restoration ecology. The University of California teamed with Korea's Rural Economic Institute to identify likely effects of the reductions in trade barriers to US agricultural exports of high value products such as beef, citrus, almonds and walnuts to Korea.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 3015, 3016, and 3019.

Regional or Local Office:

None.

Headquarters Office:

Susan J. Owens, Director, USDA/FAS/International Cooperation and Development, Research and Scientific Exchanges Division, Washington DC 20250-1084. Telephone: (202) 690-4872.

Web Site Address:

<http://www.fas.usda.gov/icd/grants/scrp.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In fiscal years 2004 and 2005, 70 percent of the scientific exchange teams under the Scientific Cooperation Exchange Program with the People's Republic of China (SCEP) addressed critical agricultural market and trade-related concerns of both countries. Teams addressed food safety, the development and application of biotechnology, product development, and sanitary and phytosanitary issues. Priorities of other exchange teams included environmental and food security

problems. The 22 scientific exchanges of mutual importance selected for 2006 include food safety, animal disease eradication and control, and agricultural policy. Projects under the worldwide Scientific Cooperation Research Program collaborate with a diverse group of U.S. institutions building long-lasting international research partnerships that remove technical trade barriers to agricultural, forest, and fish products. Trade-related research projects include the safe and appropriate use of biotechnology, and address the sanitary and phytosanitary issues of food safety and animal and plant health such as avian influenza, foot and mouth disease, and soybean rust. They also develop new and improved agricultural products such as biofuels and more nutritious foods, and other products using biotechnology. Researchers are introducing into legumes drought tolerance traits to help reduce aflatoxin contamination, aid in water management and reduce irrigation costs.

CRITERIA FOR SELECTING PROPOSALS:

Within the guidelines established for the Scientific Cooperation and Research Program, proposals are evaluated on the overall scientific or technical merit of the proposed activity; suitability of the investigators and institutions; feasibility of achieving proposal objectives; relevance to priority issues in U.S. food, agriculture and the environment; and potential for furthering international cooperation.

10.962 COCHRAN FELLOWSHIP PROGRAM- INTERNATIONAL TRAINING-FOREIGN PARTICIPANT

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3291; Food Security Act of 1985, as amended, Public Law 99-198.

OBJECTIVES:

To provide high quality training resulting in knowledge and skills that will assist eligible countries meet the food needs of their domestic populations; and to strengthen and enhance trade linkages between eligible countries and agricultural interests in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposals. Cochran Program funds are used for projects involved with international training activities, including course development and/or evaluation.

Applicant Eligibility:

The Cochran Program solicits proposals from U.S. institutions of higher education, nonprofit organizations and U.S. agricultural trade and market development associations.

Beneficiary Eligibility:

Technical assistance provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

None. This program is subject to the provisions of OMB Circular Nos. A-21 and A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No funding is available for unsolicited proposals. The Cochran Program solicits proposals from U.S. institutions of higher education, nonprofit organizations and U.S. agricultural trade and market development associations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications solicited by the Cochran Program should include a scope of work, budget, time frame and expected results. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and merits of the proposals.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects.

Reports:

Training evaluation reports may be required.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Government, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audits requirements for that year, except as noted in 7 CFR 3052.

Records:

Program and financial records are kept in the Department.

Account Identification:

12-3200-0-1-352.

Obligations:

(Reimbursable Agreements) FY 07 \$1,500,000; FY 08 est \$1,200,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,000 to \$206,000 per project. Average: \$19,000.

PROGRAM ACCOMPLISHMENTS:

This program currently includes short term agricultural and trade-related training programs for senior and mid-level specialists and administrators in such technical areas as food safety, biotechnology, sanitary and phytosanitary issues, agribusiness development and marketing. The program also strengthens local capacities in such commodity areas as dairy, livestock, poultry, horticultural products, grains, feeds and consumer ready products. The Cochran Fellowship Program trained 551 Fellows in 67 countries in FY06 and 706 Fellows in 70 countries in FY07.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015.

Regional or Local Office:

None.

Headquarters Office:

Lynne Reich, FAS\Office of Capacity Building and Development, Cochran Fellowship Program, Department of Agriculture, Washington DC 20250-1031. Telephone: (202) 690-0880.

Web Site Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Short-term training in such areas as pest risk assessment, meat and poultry inspection, food safety control methods and regulatory systems, organic certification, HACCP, veterinary epidemiology, and dairy herd management.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.994 PEANUT QUOTA BUYOUT PROGRAM

(PQB)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002 (2002 Farm Bill), Public Law 107-171, Section 1309, enacted May 13, 2002.

OBJECTIVES:

To terminate the marketing quota program for peanuts and offer compensation to the peanut quota holders for losses in quota asset value. The 2002 Farm Bill repeals part IV of subtitle B of Title III of the Agricultural Adjustment Act of 1938, thereby ending the quota system.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

A peanut producer who owned a farm and was eligible for a permanent peanut quota for the 2002 through 2006 crop years.

Beneficiary Eligibility:

Peanut quota holders.

Credentials/Documentation:

The Secretary shall consider a person to be an eligible peanut quota holder if the person, as of May 13, 2002, owned a farm that, also as of May 13, 2002, was eligible for a permanent peanut quota under section 358-1(b) of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1358-1(b)), irrespective of temporary leases, transfers of quotas for seed, or quotas for experimental purposes. If there was a written contract for the purchase of all or a portion of a farm described above, as

of May 13, 2002, and the parties to the sale are unable to agree to the disposition of eligibility for payments, the Secretary, taking into account any incomplete permanent transfer of quota that has otherwise been agreed to, shall provide for the equitable division of the payments among the parties by adjusting the determination of who is the eligible peanut quota holder with respect to particular pounds of the quota. If the Secretary determines that there was in existence, as of May 13, 2002, an agreement for the permanent transfer of quota, but that the transfer was not completed by that date, the Secretary shall consider the peanut quota holder to be the party to the agreement who, as of that date, was the owner of the farm to which the quota was to be transferred. A person that owns a farm with a peanut poundage quota which is protected under a conservation reserve program contract entered into under section 1231 of the Food Security Act of 1985 (16 U.S.C. 3831) shall be considered to be an eligible quota holder with respect to the protected poundage.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants contact their local county Farm Service Agency Office or U.S. Department of Agriculture Service Center for sign-up information. The sign-up period for PQB benefits was announced as beginning September 03, 2002, and ending November 22, 2002. Because of heavy workloads in County Farm Service Agency Offices, it was difficult to enroll all eligible quota holders by November 22, 2002. Notice DCP-55 was released to inform State and County Farm Service Agency Offices that the final date for sign-up for PQB was extended to January 15, 2003.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

1 to 30 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The amount of payment for a fiscal year to an eligible peanut quota holder under a contract shall be equal to the product obtained by multiplying (1) \$0.11 per pound by (2) the number of pounds of quota with respect to which the person qualifies as a peanut quota holder. The provisions of section 8(g) of the Soil Conservation and Domestic Allotment Act (16 U.S.C. 590h(g)), relating to assignment of payments, shall apply to the payments made under the contracts. A person making an assignment of the payment, or the assignee, shall provide the Secretary with notice, in such manner as the Secretary may require, of any assignment made.

Length and Time Phasing of Assistance:

The Secretary shall make payments under the contracts during fiscal years 2002 through 2006. The payments required under the contracts shall be provided in 5 equal installments not later than September 30 of each fiscal year 2002 through 2006. At the request of an eligible peanut quota holder entitled to payment under a contract, the Secretary shall provide the entire payment amount for the 5 fiscal years in a single lump sum during the fiscal year specified by the eligible peanut quota holder.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

Peanut quota holders or any other individual or entity receiving payment for PQB shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payment was received.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The eligible quota peanut holder is entitled to a payment of \$0.11 per pound or \$220 per ton. The eligible quota holder is entitled to the number of pounds of quota with respect to which the person qualifies under the 1938 Act taken in conjunction with other factors such as quota transfers. Total quota pounds cannot exceed 2001 crop year quota.

PROGRAM ACCOMPLISHMENTS:

None. This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Farm Security and Rural

Investment Act of 2002, Public Law 107-171, Section 1309, enacted May 13, 2002.

Regional or Local Office:

Farm Service Agency State Offices can inform applicants of county office locations where applicants may sign up.

Headquarters Office:

U.S. Department of Agriculture, Farm Service Agency, Production Emergency and Compliance Division, Washington, DC 20250.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.995 HARD WHITE WHEAT INCENTIVE PROGRAM

(HWW)

FEDERAL AGENCY:

FARM SERVICE AGENCY, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Section 1616 of The Agricultural Assistance Act of 2002, Public Law 107-171.

OBJECTIVES:

The purpose of this program is to increase the production of both Spring and Winter varieties of hard white wheat during the 2003 through 2005 crop years.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

To be eligible to receive the certified seed incentive payment, a producer must (1) Submit a complete application during the application period; (2) Submit a receipt for the purchase of certified seed to FSA. To be eligible to receive the production incentive payment, a producer must (1) Submit a complete application during the application period; (2) Produce hard white wheat of the quality required under Sec. 1413.106; (3) Have an interested buyer with the intent to use the wheat for all purposes except for feed use.

Beneficiary Eligibility:

Producers of hard white wheat.

Credentials/Documentation:

The applicant must certify to the total number and location of acres planted to hard white wheat and the number of eligible bushels sold. Applicants must also provide a settlement sheet, to Farm Service Agency (FSA) upon disposal of the production certified to on the application. Each applicant for a certified seed incentive payment must submit an acceptable seed receipt for the certified seed to FSA, and certify to the number and location of acres planted with certified seed. Producers requesting benefits under this part must certify to the accuracy and truthfulness of the information provided in their application. All information provided is subject to verification by FSA.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Signup for the Hard White Wheat Incentive Program shall be conducted by CCC for each of the 2003 through 2005 crop years during the application period announced by the Deputy Administrator. Applications are available from any county FSA office. Applicants must submit a complete application to FSA during the application period. The producer shall submit one application for all farms within a particular county.

Award Procedure:

None.

Deadlines:

Application period is announced by the Deputy Administrator. Applicants must submit a complete application to FSA during the application period.

Range of Approval/Disapproval Time:

1 to 30 days.

Appeals:

Any producer who is dissatisfied with a determination made pursuant to this part may request reconsideration or appeal such determination in accordance with parts 11 and 780 of 7 U.S.C. 7999; 15 U.S.C. 714b and 714c.

Renewals:

None.

Formula and Matching Requirements:

Payment for the production incentive shall be the product of the bushels determined in accordance with Sec. 1413.108 times \$0.20. Payment for the certified hard white wheat planting incentive shall be the product of the number of acres certified on the application times \$2.00 per acre. The maximum hard

white wheat acreage and production for which payments may be issued for the 2003 through 2005 crop year is to total 2,000,000 acres, or 120,000 bushels, whichever is greater. The certified seed incentive may be discontinued, as determined by the Deputy Administrator, in any year sufficient funds are determined to be unavailable.

Length and Time Phasing of Assistance:

Payment is made by check or direct deposit after determining applicant eligibility.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, Department of Agriculture.

Records:

Producers of hard white wheat or any other individual or entity receiving payments for this program shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payments were made.

Account Identification:

12-4336-0-3-351.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available at this time.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 U.S.C. 7999; 15 U.S.C. 714b and 714c. HWW Fact Sheet may be located online at <http://www.ceris.purdue.edu/fedweb/0302/03/0000.html>.

Regional or Local Office:

FSA state offices can inform applicants of county office locations where applicants may apply for assistance.

Headquarters Office:

U.S. Department of Agriculture, Farm Service Agency, Production Emergency and Compliance Division, 1400 Independence Ave., SW., Washington, DC 20250-0512. Telephone: (202) 720-7641.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.051, Commodity Loans and Loan Deficiency Payments.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All producers meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.999 LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE

(Farm Service Agency)

FEDERAL AGENCY:

FARM SERVICE AGENCY

AUTHORIZATION:

Public Law 107-347.

OBJECTIVES:

Provide storage, transportation, and lease for wheat, grain and other commodities in support of Commodity Credit Corporation (CCC) price supports. FSA State and County Office lease agreements. This CFDA program is being used to report Non FAR (Federal Acquisition Register) lease, storage, transportation, and FFIS (Foundation Financial Information System) Non FPDS-NG (Federal Procurement Data System-Next Generation) lease agreements along with processing fees and bartering values. They are long standing agreements (contracts) with warehouses and transportation companies to store and transport grain and processed commodities, State and county office lease agreements, commodity processing fees and bartering values.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The payments provide for the storage, transportation, and leasing for wheat, grain and other commodities during the designated CCC price support period of activity. Payments may go to private entities in addition to State and local government's for STO/CTY lease agreements.

Applicant Eligibility:

Approved and certified, crop storage facilities, transportation companies, and State and local governments. Payments may go to private entities in addition to State and local governments for STO/CTY lease agreements.

Beneficiary Eligibility:

Farmers and producers whose crops are eligible for price supports through CCC. State, county, and local governments for Storage and lease agreements.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon request of Farm Service Agency, proposals are submitted to local county Farm Service Agency Office or Department of Agriculture Service Centers.

Award Procedure:

Farm Service Agency considers the proposals received, evaluates the qualifications of the proposal.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Determined by local FSA offices.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, Department of Agriculture.

Records:

Any individual or entity receiving payment under this program shall maintain and retain financial books, records, supporting documents, and all other records pertinent to the award for at least three years, following the end of the calendar year in which payment was received.

Account Identification:

12-2900-0-1-352; 12-1336-0-1-351; 12-4336-0-3-999; 12-0600-0-1-351.

Obligations:

(Direct Payments) FY 07 \$1,774,995,367; FY 08 est \$6,606,532.07; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural storage, transportation and leasers in the county.

Regional or Local Office:

Farm Service Agency state offices can inform applicants of county locations where applicants may sign up.

Headquarters Office:

Department of Agriculture, Farm Service Agency, 1400 Independence Avenue, S.W., Washington, DC 20250-0540.

Web Site Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility requirements may receive assistance payments subject to the national payment factor. Applications are non-competitive.

DEPARTMENT OF COMMERCE

11.001 CENSUS BUREAU DATA PRODUCTS

(Census Bureau Publications, CD-ROMs, DVDs, Online (Internet), and Other Media)

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

ssAct of August 31, 1954, Public Law 83-740.

OBJECTIVES:

To provide statistical results of censuses, surveys, and other programs on the Internet, in printed reports, floppy discs, CD-ROMs, DVDs and online, and to provide maps showing area boundaries and codes.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Statistical products are used by Federal, State, and local agencies, businesses, industries, educational institutions, and the general public. Data are available for many types of geographic areas from a variety of programs: (1) The censuses of population and housing; American Community Survey, retail trade; wholesale trade; service industries; construction industries; transportation, communications, and utilities; manufactures; mineral industries; financial, insurance, and real estate industries; and governments; (2) periodic and special surveys covering the preceding subjects; (3) population estimates and projections programs; and (4) foreign trade statistics program. Also available are statistical compendia, directories, indexes, catalogs, and guides to statistical data. Restrictions: Census laws guarantee the confidentiality of data concerning individual persons, households, and business establishments.

Applicant Eligibility:

Census Bureau products are available for review and for purchase by all interested persons.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), audits of states, local governments, and Nonprofit organizations. Nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-0450-0-1-376; 13-0401-0-1-376.

Obligations:

(Salaries and Expenses) FY 07 \$275,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Customer Services Center, Marketing Services Office, received orders for various data products (namely, DVDs, CD-ROM and print on demand reports) in fiscal year 2005. Also, substantial amounts of data were disseminated online. The Census Bureau's Internet site continued to expand its data offerings in fiscal year 2005. (Internet address: <http://www.census.gov/>). Customers may now order Census Bureau products online and pay for them using all major credit

cards or electronic checks.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Census Bureau's Customer Services Center maintains an online sales catalog listing all data products available for sale. The Center issues the Census Product Update, a biweekly email and online newsletter to customers at no charge; please contact Customer Services (301) 763-INFO (4636) for ordering information, or check "Catalog" on the Internet site(<http://www.census.gov>).

Regional or Local Office:

See list of Department of Commerce District Offices, and 12 Bureau of the Census Regional Offices at <http://www.census.gov/field/www/>.

Headquarters Office:

Customer Services Center, Marketing Services Office, U.S. Census Bureau, Washington, DC 20233. Telephone: (301) 763- INFO (4636). Information on Census Bureau training opportunities is available at: <http://www.census.gov/mso/www/training/index.htm>. Additional information about regional events and meetings and conferences in which the Census Bureau will participate is available at: <http://www.census.gov/cgi-bin/mso/cencal/>.

Web Site Address:

<http://www.census.gov>.

RELATED PROGRAMS:

10.153, Market News; 11.002, Census Customer Services; 11.003, Census Geography; 11.004, Census Intergovernmental Services; 11.005, Census Special Tabulations and Services; 11.025, Measures and Analyses of the U.S. Economy; 17.002, Labor Force Statistics.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.002 CENSUS CUSTOMER SERVICES

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Act of August 31, 1954, Public Law 83-740.

OBJECTIVES:

To assist census data users in the access to and use of published and unpublished data through information provided in newsletters, on-line catalogs, conferences and training courses; design new informational and data products to meet user needs; develop user-oriented educational and training materials; provide assistance for locally sponsored conferences or workshops; assist customers with the selection of appropriate products; take and fulfill orders from customers; and improve dissemination of statistical data to data users.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

(1) Assistance for locally sponsored conferences or workshops on the censuses and other Census Bureau programs--arrangements must be mutually agreeable; (2) consultation on problems associated with using Census Bureau products; and (3) descriptive reports, brochures, and Internet-site information (address: <http://www.census.gov/>) concerning Census Bureau programs, products, and their uses.

Applicant Eligibility:

Materials and consultation at the Census Bureau are available to any requester. Officials of Federal, State, and Local Governments, universities, community organizations, and the private sector are eligible for Census Bureau-sponsored data user training; there may be a nominal fee. Also, see information about State Data Centers presented under program 11.004, Census Intergovernmental Services, in the Catalog, and at (<http://www.census.gov/sdc/www/>).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By written request.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations." Nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-4512-0-1-376.

Obligations:

FY 07 \$66,700; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Published biweekly newsletters via e-mail and the Internet for the public. Conducted occasional seminars on Census and other statistical resources, and specialized workshops and training courses on Census 2000 programs and products, Economic Census activities, American Community Survey programs and products and other subjects. Both Census headquarters and regional office staff participated in such conferences and workshops. Publication of guides, pamphlets, and other reference material and sale of data products.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication order forms for reports on data files resulting from census and special surveys, no charge. Selected informational publications: Census Product Update (biweekly online and e-mailed newsletter), no charge; information about training courses, no charge; online electronic sales catalog site. Please contact the Customer Services Center (301) 763-4636 for ordering information, or check "Catalog" on the Internet site (<http://www.census.gov>).

Regional or Local Office:

See list of 12 Census Bureau Regional Offices at www.census.gov/field/www.

Headquarters Office:

For information about training opportunities, go to <http://www.census.gov/mso/www/training/index.htm> or contact the Marketing Services Office, Census Bureau, Washington, DC 20233. Telephone: 301-763-4094. For free materials and ordering information for priced products, please contact: Customer Services Center, Marketing Services Office, Census Bureau, Washington, DC 20233. Telephone: (301) 763-INFO (4636).

Web Site Address:

<http://www.census.gov>.

RELATED PROGRAMS:

11.003, Census Geography; 11.004, Census Intergovernmental Services; 11.005, Census Special Tabulations and Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.003 CENSUS GEOGRAPHY

(Census Mapping and Statistical Areas)

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Act of August 31, 1954, Public Law 83-740, 13 U.S.C. 8.

OBJECTIVES:

To provide a computer generated set of maps for use in conducting censuses and surveys and for showing their results geographically; determine names and current boundaries of selected statistical areas; show these entities on a variety of maps; develop geographic code schemes; develop computer files of area measurements, geographic boundaries, and map features with address ranges.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The U.S. Census Bureau prepares base maps that are used in the planning and conduct of its various censuses and surveys. The Census Bureau also prepares for publication detailed maps showing States and State equivalents (i.e., the District of Columbia, Puerto Rico, and the several U.S. territories and possessions), counties and county equivalents, Congressional districts, county subdivisions, places, American Indian reservations, urbanized areas, metropolitan areas, census tracts, block-numbered areas, blocks, and other geographic units. Some of these maps show roads and other physical features,

others show only area outlines and related identifiers. The Census Bureau also issues U.S. maps showing boundaries (e.g., States and metropolitan areas) and sometimes data sets of maps. For the 2000 Decennial Census, an automated geographic data base, called the Topologically Integrated Geographic Encoding and Referencing (TIGER) system was used to generate maps, and other geographic products, including digital geospatial data files (TIGER/Line files). In addition, the Census Bureau, in conjunction with State, local and tribal governments, maintains records of changes in legally-defined areas, and delimits the boundaries of Census-defined statistical areas for use in the next Decennial Census, and develops geographic code systems that are used in the electronic data processing of the information.

Applicant Eligibility:

Interested persons, organizations, or government agencies.

Beneficiary Eligibility:

Interested persons, organizations, or government agencies.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By written request.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), audits of states, local governments, and non-profit organizations, nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-0450-0-1-376.

Obligations:

FY 07 \$40,210,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable. The Census Bureau is only an "information source" and not a fund granting agency; i.e., our assistance is limited to helping people understand what data are available to use in their analysis.

PROGRAM ACCOMPLISHMENTS:

The Census Bureau maintains the MAF/TIGER data bases and related applications software to support the various censuses and household surveys managed by the Census Bureau with geographic products including geographic files and maps. Digital geospatial data, called TIGER/Line files, are available for the entire U.S. and its possessions. TIGER/Line files are available for the whole country from the Census Bureau's web site or on DVD. Additionally the MAF/TIGER data bases serve as the mapping base for many different automated, printed, and plotted map types required by the Census Bureau's programs. The Census Bureau's Internet site (address: <http://www.census.gov/>) includes mapping features and data displayed on maps, and PDF versions of the Census 2000 maps designed to be used with the data tabulations.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Census Catalog is available off the Census Bureau's homepage at <https://censuscatalog.mso.census.gov/esales/start.swe>. Please contact Customer Services, (301) 763-INFO (3646) for additional ordering information. Geographic information is available at <http://www.census.gov/geo/www/index.html>.

Regional or Local Office:

See list of 12 Bureau of the Census Regional Offices listed in Appendix IV of the Catalog, or www.census.gov/field/www.

Headquarters Office:

Geography Division, U.S. Census Bureau, Department of Commerce, Washington, DC 20233-7400. Telephone: (301) 763-1128 or e-mail: geography@geo.census.gov. For more information on maps and other geographic products, contact Customer Services, U.S. Census Bureau,

Washington, DC 20233. Telephone: (301) 763-INFO (3646). Published maps are generally sold by Superintendent of Documents. Unpublished maps are sold by Customer Services.

Web Site Address:

<http://www.census.gov>.

RELATED PROGRAMS:

11.001, Census Bureau Data Products; 11.002, Census Customer Services; 11.004, Census Intergovernmental Services; 11.005, Census Special Tabulations and Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.004 CENSUS INTERGOVERNMENTAL SERVICES

(Intergovernmental Services Program)

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Act of August 31, 1954, Public Law 83-740, 13 U.S.C. 8, 31 U.S.C. 686.

OBJECTIVES:

To provide technical and informational assistance to State and local governments.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Statistical, technical, and informational assistance provided to Federal, State, and local governments includes the following: (1) Technical assistance on methods of making population estimates and projections; (2) consultation services available to local officials for the planning and conducting of special surveys; (3) special censuses taken at the request and expense of local communities; (4) special surveys conducted on a reimbursable basis; and (5) assistance to States in the establishment and operation of State Data Centers and Business and Industry Data Centers to improve access to Census Bureau data by State and local agencies and other data users.

Applicant Eligibility:

Officials of Federal, State, local governments, and community organizations.

Beneficiary Eligibility:

Officials of Federal, State, local governments, and community organizations.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By written request.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-0401-0-1-376; 13-0450-0-1-376.

Obligations:

FY 07 \$12,639,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fifty States, the District of Columbia, and the Commonwealth of Puerto Rico are

officially participating in the Federal-State Cooperative Program for the preparation and publication of county population estimates. Currently 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands are participating in the State Data Center Program, and many are also in the Business and Industry Data Center (BIDC) Program. Over 1,800 state and local governmental agencies, universities and other organizations are affiliated with the programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) Series P-25, Population Estimates and Projections, price varies; (2) Federal Special Censuses (Form SC-1), no charge; (3) Data Center Address List, <http://www.census.gov/sdc/www/sdctxt.html>; (4) Census Catalog and Guide is available on the Web site and on CD-ROM. Please call Customer Services (301) 457-4100 for ordering information.

Regional or Local Office:

See list of 12 Bureau of the Census Regional Offices and Department of Commerce District Offices listed in Appendix IV of the Catalog, or <http://www.census.gov/field/www/>.

Headquarters Office:

For special censuses, Office of Special Censuses, telephone: (301) 763-1429, FTS is not available; for population estimates and projections, Population Division, telephone: (301) 763-2422, FTS is not available; and for State Data Center and BIDC Programs, Customer Liaison Office Staff, telephone: (301) 763-1305, FTS is not available. Mailing address: Census Bureau, Washington, DC 20233.

Web Site Address:

<http://www.census.gov>.

RELATED PROGRAMS:

11.001, Census Bureau Data Products; 11.002, Census Customer Services; 11.003, Census Geography; 11.005, Census Special Tabulations and Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.005 CENSUS SPECIAL TABULATIONS AND SERVICES

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Act of August 31, 1954, Public Law 83-740, 13 U.S.C. 8; 31 U.S.C. 686, Title 13, Subchapter IV, Section 196.

OBJECTIVES:

To provide customized tabulations from Census Bureau records in a variety of output forms to meet users' needs, and to conduct statistical surveys and special censuses, on a reimbursable basis.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

To ensure confidentiality, information in data files and special tabulations is subject to statistical safeguards (see www.census.gov/privacy/files/data_protection/002775.html), including requirements of the Census Bureau's Disclosure Review Board.

Applicant Eligibility:

Officials of federal, State, and local governments, community organizations, and private organizations, as well as individuals.

Beneficiary Eligibility:

Officials of federal, State, and local governments, community organizations, and private organizations, as well as individuals.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By written request.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), audits of states, local governments, and nonprofit organizations; nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-4512-0-1-376.

Obligations:

FY 07 est \$1,433,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the Census Bureau conducted special surveys and prepared numerous special tabulations for data users.

REGULATIONS, GUIDELINES, AND LITERATURE:

Census Product Update, no charge; Census Catalog is available on the Web Site. Please contact Customer Services (301) 763-INFO (4636) for information.

Regional or Local Office:

See list of 12 Bureau of the Census Regional Offices at <http://www.census.gov/field/www>

Headquarters Office:

For requests for demographic and household special surveys, contact Cheryl R. Landman, Chief, Demographic Surveys Division. Telephone: (301) 763-3773. For requests for special censuses contact Mike Stump, Office of Special Censuses. Telephone: (301) 763-1429. For requests for economic special surveys contact Shirin A. Ahmed, Chief, Economic Planning and Coordination Division, Census Bureau, Department of Commerce, Washington, DC 20233. Telephone: (301) 763-2558. For special tabulations (decennial censuses), contact Linda Showalter, Population Division. Telephone: (301) 763-2429. For special tabulations (housing and demographic surveys), contact Caroline Carbaugh, Chief, Survey Processing Branch, Housing and Household Economic Statistics Division. Telephone: (301) 763-3204. For special tabulations (American Community Survey), contact Kai Wu, American Community Survey Offices. Telephone: (301) 763-2626. To arrange for other special tabulations, write to the Director, U.S. Census Bureau, Washington, DC 20233.

Web Site Address:

<http://www.census.gov>.

RELATED PROGRAMS:

11.001, Census Bureau Data Products; 11.002, Census Customer Services; 11.003, Census Geography; 11.004, Census Intergovernmental Services; 11.110, Manufacturing and Services; 17.002, Labor Force Statistics.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.006 PERSONAL CENSUS SEARCH

(Age Search)

FEDERAL AGENCY:

CENSUS BUREAU, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Act of August 31, 1954, Public Law 83-740, 13 U.S.C. 8.

OBJECTIVES:

To retrieve personal information from decennial records and provide an official census transcript to qualified individuals for proof of age, citizenship, family relationships, or satisfy other situations where a birth certificate is required but not available.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Information on age or citizenship may be required: (1) To qualify for government programs (such as Medicare, Social Security retirement, old age benefits, or other pensions); (2) as proof of citizenship when required (for example, in obtaining a passport); (3) when needed for employment purposes; (4) to qualify for rights of inheritance as a beneficiary; and (5) as a generally acceptable proof of age or relationship for annuities, other rights, or benefits to which one may be entitled. A fee is required for each age search application, whether the applicant's record is found or is not found.

Applicant Eligibility:

Personal information from census records are confidential and may be furnished only upon written request of the persons to whom it relates or, for a proper purpose, to a legal representative of an estate. Information regarding a child who has not reached legal age may be obtained upon the written request of either parent. For records of a deceased person, application must be signed by: (1) A

blood relative in the immediate family; (2) the surviving wife or husband; (3) a beneficiary; or (4) the administrator or executor of the estate. In all such cases, a certified copy of the death certificate must be furnished as well as a certified copy of the court order naming the legal representative or legal evidence of the beneficiary's relationship.

Beneficiary Eligibility:

Personal information from census records are confidential and may be furnished only upon written request of the persons to whom it relates or, for a proper purpose, to a legal representative of an estate. Information regarding a child who has not reached legal age may be obtained upon the written request of either parent. For records of a deceased person, application must be signed by: (1) A blood relative in the immediate family; (2) the surviving wife or husband; (3) a beneficiary; or (4) the administrator or executor of the estate. In all such cases, a certified copy of the death certificate must be furnished as well as a certified copy of the court order naming the legal representative or legal evidence of the beneficiary's relationship.

Credentials/Documentation:

A certified copy of the death certificate must be furnished as well as a certified copy of the court order naming the legal representative or legal evidence of the beneficiary's relationship.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form (BC-600) can be obtained by request. When a personal census search is made, a \$65.00 fee is charged for the cost of making the search, verifying the results, and furnishing a transcript.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-4512-0-1-376.

Obligations:

FY 07 \$180,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Age Search Information" of Census Records, BC-600, no charge.

Regional or Local Office:

Jean Ann Banet, Chief, Survey Coding Section, Personal Census Search Unit, (812) 218-3046.

Headquarters Office:

None.

Web Site Address:

<http://www.census.gov/genealogy>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

11.025 MEASURES AND ANALYSES OF THE U.S. ECONOMY

FEDERAL AGENCY:

BUREAU OF ECONOMIC ANALYSIS, ECONOMICS AND STATISTICS

AUTHORIZATION:

15 U.S.C. 171 et seq., Chapter 5, 1516, 22 U.S.C. 286f, 3101 et seq., Chapter 46.

OBJECTIVES:

The Bureau of Economic Analysis (BEA), a principal Federal statistical agency, seeks to promote a better understanding of the U.S. economy by providing the Nation with timely, relevant, and accurate economic data in an objective and cost-effective manner. BEA's national, industry, regional, and international economic accounts present basic information on key issues, such as U.S. economic growth, regional economic development, interindustry relationships, and the Nation's position in the world economy. Some of the widely used statistical measures produced by BEA include gross domestic product (GDP), personal income and outlays, corporate profits, gross state product, balance of payments, and GDP by industry. These statistics are used by Federal, state, and local governments for budget development and projections, the Federal Reserve for monetary policy, by the business sector for planning and investment purposes, and by the American public to follow and understand the performance of the Nation's economy. BEA's strategic vision is to remain the world's most respected producer of economic accounts.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The statistics produced by BEA are used in the formulation and execution of fiscal, monetary, financial, international trade, and other policies related to the major economic goals of the Nation. Businesses plan production, price, and investment programs with the aid of the information provided by BEA. This information also is essential for economic decision-making by state and local governments, labor, other economic groups, and by individuals who need a better understanding in an increasingly complex economic world. In addition, teaching and research programs at universities and research organizations rely on the information provided.

Applicant Eligibility:

Any business, public official, civic organization, or private citizen may request information in person, by letter, by telephone, or by E-mail.

Beneficiary Eligibility:

Any business, public official, civic organization, or private citizen may request information in person, by letter, by telephone, or by E-mail.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-1500-0-1-376.

Obligations:

(Salaries and expenses) FY 07 \$76,507,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Bureau of Economic Analysis (BEA) produces some of the Nation's most important economic statistics including the gross domestic product (GDP), international trade in services, personal income, gross state product, annual industry accounts, and U.S. balance of payments. During FY 2005, BEA made important improvements to the accuracy, timeliness, and relevance of the Nation's economic accounts. As a result, the Federal Reserve Board, the White House, the Congress, and Wall Street have more accurate data on such key aggregates as economic growth, profits, productivity, and inflation. Tax projections at the Federal and State and local level are based on more accurate

and up-to-date information. BEA increased the accuracy of GDP by incorporating information from the Census Bureau's new quarterly services survey and made substantial improvements to estimates of international trade in services, including incorporating the results from its own expanded quarterly surveys. BEA accelerated the release of the gross state product estimates and the 2002 benchmark input-output gross output estimates, and produced GDP-by-industry estimates on a NAICS-basis dating back to 1987. BEA upgraded its dissemination efforts by enhancing www.bea.gov with a new search engine, e-mail subscription service, new interactive applications, and a customer satisfaction survey. These efforts have been recognized with the highest rating of effective by the Office of Management and Budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

BEA's estimates are available on the BEA Web site at www.bea.gov, in publications and CD-ROMs. The Survey of Current Business, BEA's monthly journal, presents summary estimates and analyses of U.S. economic activity. The Survey is available by subscription from the Superintendent of Documents, U.S. Government Printing Office (GPO): List ID SCUB, price \$63.00 per year (domestic second-class mail), \$88.20 (foreign second-class mail), or \$105.00 (domestic first-class mail); single copy price \$25.00 (domestic) or \$35.00 (foreign). Foreign airmail delivery rates are available upon request from GPO. To order using Visa, MasterCard, or a GPO deposit account, call (202) 512-1800 or fax (202) 512-2250. To order by mail, send a check payable to "Superintendent of Documents" to Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. To order on-line go to the GPO Website at www.gpo.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Public Information Office, Bureau of Economic Analysis, Department of Commerce, 1441 L St., NW., BE-53, Washington, DC 20230. Telephone: (202) 606-9900. TDD: (202) 606-5335. E-mail: webmaster@bea.gov.

Web Site Address:

<http://www.bea.gov>.

RELATED PROGRAMS:

11.001, Census Bureau Data Products; 11.110, Manufacturing and Services; 17.003, Prices and Cost of Living Data; 17.004, Productivity and Technology Data; 17.006, Employment Projections Data.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.026 STAT-USA: KEY BUSINESS, ECONOMIC, AND INTERNATIONAL TRADE INFORMATION

FEDERAL AGENCY:

STAT-USA, ECONOMICS AND STATISTICS ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, 15 U.S.C. 4901 et. seq., 15 U.S.C. 1527a.

OBJECTIVES:

STAT-USA's objective is to provide the public with access to key business, economic, and international trade information in formats that are easy to use and located in a "one-stop shop." STAT-USA(r)/Internet is a single point of access for business, economic, and trade-related information from across the Federal government. STAT-USA/Internet consists of two main databases: 1) State of the Nation (SOTN), which tracks the direction of the U.S. economy and provides a repository for statistical releases of economic indicators from a number of federal agencies; and 2) Global Business Opportunities (GLOBUS) and the National Trade Data Bank (NTDB), which includes trade leads, exchange rates, extensive market and country research, and contact databases. USA Trade(r) Online provides access to U.S. merchandise trade data, offering current and cumulative export and import data on more than 18,000 export commodities and 24,000 import commodities worldwide, and also includes port-level data. The annual historic data on USA Trade Online (UTO) are available from 1992 to the present and the monthly historical data are available from January 2002 to the present. UTO allows users to find existing and emerging export markets for products, compare specific product exports with related products, determine market share of export markets, and monitor trends in specific products, markets, and countries. EuroTrade Online(r) offers detailed and timely trade data straight from the European Commission's Commerce Exterior database, Comext. The official statistics for the European Union (EU), EuroTrade Online, documents import and export data on a monthly basis at the 8-digit level of industry detail for the 25 EU member countries. Syndicate USA is similar to RSS (Really Simple Syndication) but more sophisticated and capable. This allows organizations to target subsets of STAT-USA's vast inventory of information and have this information pushed to them within minutes of the actual release. STAT-USA Paid Training is the newest service of STAT-USA. The training sessions cover the basics of STAT-USA/Internet and USA Trade Online and then delves

deeper into the functionality of both services, complete with practice exercises. The classes can be tailored to specific interests. Statistical Abstract of the United States, from the U.S. Census Bureau, is one of the most widely used reference tools in the country and provides a wealth of data to help identify trends that could affect businesses. The CD-ROM version takes those statistics one-step further, allowing the customer to download and manipulate the tables to meet their needs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Federal, State and local agencies, businesses, industries, educational institutions and the general public use this statistical information. STAT-USA publishes over 100,000 documents, which are updated daily, weekly, monthly, quarterly and annually. Electronic re-dissemination of files or data from the STAT-USA Products is prohibited without prior approval of STAT-USA. Please contact STAT-USA for more information.

Applicant Eligibility:

Subscriptions to or single purchases of STAT-USA Products are available for purchase by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

13-4323-0-1-376.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

STAT-USA/Internet includes the National Trade Database (NTDB) and State of the Nation (SOTN). The GLOBUS/NTDB contains over 120 program titles, including market research, country profiles, foreign business contacts, and export "how-to" guides. Additionally, both SOTN and NTDB databases contain libraries of current and recently released information (commonly referred to as the SOTN Library and International Trade Library, respectively). In response to user demand, STAT-USA/Internet includes information from non-federal sources such as the Institute for Supply Management and Cantor/Fitzgerald, among others. Subscribers enjoy quick access to late breaking economic and trade news from the Federal Government, and can keep abreast of important release dates and opportunities. USA Trade Online, provides official U.S. export and import statistics shortly after official release through a user-friendly interface allows charting and graphing capabilities, ranking options, simple calculation, and more. The website now provides port-level data. EuroTrade Online (ETO) provides access to import and export merchandise trade for 25 major European countries. The trade data contains over 12,000 commodities and is based on 8-digit Harmonized System classification structure. Syndicate USA allows subscribers to select the most useful information in business, economics, and international trade and have it delivered to them through various electronic technologies. Paid Training helps subscribers to unleash the full potential of the STAT-USA/Internet and/or USA Trade Online websites. The training can be tailored to fit the subscriber organization's focus and interest areas. During these sessions, the subscribers gain a deeper understanding of business, trade, and economic resources from across the Federal government through a series of practical hands-on learning exercises and case studies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subscription prices are as follows: STAT-USA/Internet: Annual \$200.00, single

quarterly \$75.00; USA Trade Online: Annual \$300.00, single monthly \$75.00; EuroTrade Online: Annual \$2,900.00, single monthly \$1,015.00. Syndicate USA: A standard subscription is based on the volume of information transmitted, the frequency of the transmission and whether the information is electronically re-disseminated to external users. STAT-USA representatives will develop a price quote for an individual user or company. Training: DC Metro area: One-Day - \$350 and Two-Day - \$500; US: One-Day - \$650 and Two-Day - \$1,000; and International: One-Day - \$350 (+roundtrip air fair) and Two-Day - \$500 (+roundtrip air fair). Statistical Abstract CD-ROM: \$50 (shipment to a U.S. address), \$75 (shipped to a foreign address). For subscriptions, contact: U.S. Department of Commerce, STAT-USA; HCHB Room 4885; Washington, DC 20230. Telephone: (202) 482-1986 or 800-STAT-USA, Fax (202) 482-2164; E-mail statmail@esa.doc.gov or on the Internet <http://home.stat-usa.gov>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Forrest Williams, Director, STAT-USA, U.S. Department of Commerce, HCHB Room 4885, Washington, DC 20230. Telephone: (202) 482-3429.

Web Site Address:

<http://www.stat-usa.gov>.

RELATED PROGRAMS:

10.153, Market News; 10.950, Agricultural Statistics Reports; 11.001, Census Bureau Data Products; 11.002, Census Customer Services; 11.025, Measures and Analyses of the U.S. Economy; 17.002, Labor Force Statistics; 17.003, Prices and Cost of Living Data; 17.004, Productivity and Technology Data; 40.001, Depository Libraries for Government Publications.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.106 REMEDIES FOR UNFAIR FOREIGN TRADE PRACTICES-ANTIDUMPING AND COUNTERVAILING DUTY INVESTIGATIONS

FEDERAL AGENCY:

INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Tariff Act of 1930, as amended; Trade Agreements Act of 1979; Trade and Tariff Act of 1984; Trade Act of 1988; Uruguay Round Agreements Act, 19 U.S.C. 1339, 1516a, 1673-1673h, 1675-1677n.

OBJECTIVES:

To protect U.S. industry from injury by U.S. sales of foreign merchandise at less than fair value and by unfair subsidies bestowed by foreign governments.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Antidumping Duty petitions filed by domestic industries are investigated. Should the Secretary of Commerce determine that dumping has occurred, and the International Trade Commission find the dumping to cause, or threaten to cause, material injury to the competing U.S. industry, special dumping duties are assessed against imported foreign merchandise entering the U.S. at less than the foreign market price. Countervailing Duty petitions filed by domestic industries are investigated. If the Secretary of Commerce determines a countervail able subsidy is being bestowed and the International Trade Commission determines that the subsidy causes, or threatens to cause, material injury to the U.S. industry, countervailing duties are assessed on imports of subsidized merchandise from the bestowing country. Duties are intended to offset the unfair competitive effects of dumping or subsidies.

Applicant Eligibility:

Any interested party, as defined by Section 771 (9)(C), (D), (E), (F), or (G) of the Tariff Act of 1930, as amended, who has information that merchandise is being, or is likely to be, imported into the United States at less than fair value or is unfairly subsidized by a foreign government, may communicate such information to the Import Administration, International Trade Administration, U.S. Department of Commerce. Domestic producers or workers who support the petition must account for at least 25 percent of the domestic production of the like merchandise.

Beneficiary Eligibility:

Industries are eligible if they are being adversely affected by imports of like products that are the subject of a dumping or subsidy finding.

Credentials/Documentation:

Petitions for the imposition of antidumping duties or countervailing duties must contain: The name and address of the petitioner with pertinent documentation; the names and addresses of all other known persons in the domestic industry; the names and addresses of all known foreign firms believed to be exporting the dumped or subsidized products to the U.S.; information relating to the degree of industry support for the petition; a description of the merchandise involved; information with respect to home market or third country sales prices and/or

cost, prices and sales in the U.S.; information with respect to the alleged countervail able subsidy; and information indicating that an industry in the U.S. is being injured materially by the imports. For a more detailed description of petition requirements see 19 CFR 351.202 (b) and the website for the Petition Counseling and Analysis Unit, <http://ia.ita.doc.gov/pcp/pcp-index.html>.

Preapplication Coordination:

A conference with Import Administration officials is highly recommended. Both the Import Administration and the International Trade Commission have staff available to assist domestic industries in deciding whether there is sufficient evidence to file a petition for antidumping or countervailing duty investigations. The staff may also assist businesses with the filing process. For further information see the website for the Petition Counseling and Analysis Unit, <http://ia.ita.doc.gov/pcp/pcp-index.html> or the Subsidies Enforcement Office, <http://ia.ita.doc.gov/esel/index.html>. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Representatives of U.S. industries should consult 19 CFR 351.202.

Award Procedure:

A decision regarding the initiation of an investigation is made generally within 20 days of receipt of a proper petition. If the investigation is initiated by the Department of Commerce, a notice is published in the Federal Register. Then, generally within 45 days of receipt of a proper petition, the International Trade Commission (ITC) must determine whether there is a reasonable indication of injury to the domestic industry. If sales are subsequently found to have been made at less than fair value (dumped) or a subsidy has been bestowed, the Secretary of Commerce issues a determination to that effect. The case is then sent back to the ITC for a final determination as to whether or not the dumped or subsidized imports are causing, or are likely to cause, material injury to the industry in the United States. If the ITC determination is affirmative, the Secretary of Commerce issues an anti-dumping or countervailing duty order and special dumping or countervailing duties are assessed against that merchandise which is being sold in the United States at less than fair value or being subsidized.

Deadlines:

The Department of Commerce normally makes a preliminary antidumping determination in 140 to 190 days (depending on the complexity of the case) from the date of initiation of an investigation. A final determination will be due 75 or 135 days, as appropriate, after the preliminary determination. A preliminary countervailing determination normally must be published in 65 to 130 days of initiation of the investigation (depending on the complexity of the case) and a final determination is generally due within 75 days from the date of the preliminary determination.

Range of Approval/Disapproval Time:

All antidumping or countervailing investigations must be concluded within statutory deadlines pursuant to the Tariff Act of 1930, as amended.

Appeals:

See 19 U.S.C. 1516A.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

An antidumping or countervailing duty finding will be revoked after a review in the fifth year unless the Secretary of Commerce and the International Trade Commission determine that revocation would be likely to lead to a recurrence or continuation of dumping or a countervail able subsidy and injury.

Reports:

Not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Not applicable.

Account Identification:

13-1250-0-1-376.

Obligations:

(Operations and administration) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Antidumping and countervailing duty trade remedies have been successfully pursued by a variety of domestic industries, including producers of steel, industrial equipment, computer chips, agricultural products, textiles, chemicals, and consumer products.

REGULATIONS, GUIDELINES, AND LITERATURE:

Commerce Regulations, Part 351 (19 CFR 351).

Regional or Local Office:

Not applicable.

Headquarters Office:

Import Administration, International Trade Administration, U.S. Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Inquiries or requests for assistance should be directed to the Office of Policy Petition Hotline (202) 482-1255.

Web Site Address:

<http://ia.ita.doc.gov/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.108 COMMERCIAL SERVICE

FEDERAL AGENCY:

INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, 15 U.S.C. 473; 15 U.S.C. 1512; 15 U.S.C. 649a et seq.

OBJECTIVES:

Through export counseling, trade promotion, trade finance and advocacy provided by domestic international network of trade professionals, encourage export capable U.S. firms to expand their export marketing efforts or begin exporting; to assist them to identify and assess potential foreign trade contacts and market opportunities; and to facilitate their export efforts through a comprehensive use of export promotion services, including trade events and market research information.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Services include the following: (1) Information on foreign market trade opportunities; (2) export counseling for U.S. business on market identification and assessment; (3) non-financial assistance in export promotion (matchmaker missions, multi-state/catalog exhibitions, and other specialized promotions); (4) product promotions through catalog-magazine listings; (5) support to State and private sector organizers of selected domestic and foreign trade fairs and missions through international buyer shows, certified trade fairs and missions; (6) information on foreign tariffs, customs regulations and procedures, market potential in individual countries industry analyses, and other related activities; (7) foreign government- to-government advocacy and representation; (8) advice and counseling on individual foreign markets; and (9) assistance on sources of export finance available from U.S. Export Import Bank, U.S. Small Business Administration and U.S. Agency for International Development in U.S. Export Assistance Centers; (10) information on locating and evaluating prospective overseas business contacts.

Applicant Eligibility:

Any U.S. citizen, firm, organization, or branch of government needing information or assistance in international business matters.

Beneficiary Eligibility:

Any U.S. citizen, firm, organization or branch of government needing information or assistance in international business matters.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents.

Records:
None.

Account Identification:
13-1250-0-1-376.

Obligations:
(Operations and Administration) FY 07 \$237,291,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Directly contributed to the expansion of U.S. exports, particularly those of small and medium-size firms through one-on-one business counseling. Advocated on behalf of U.S. business firms in their pursuit of major foreign projects and products sales contracts. Provided trade opportunity leads, market research, Agent/Distributor Reports (ADS), International Country Profiles (ICP), Customized Market Analysis (CMA), and a variety of other marketing information products. Introduced visiting U.S. executives to end-users and other potential business partners. Assisted U.S. business firms in their participation in major foreign promotional exhibitions, trade missions, and trade delegations. Provided in-depth counseling and marketing information through, personal visits, by telephone, fax, and other correspondence to U.S. business representatives. Aggressively advocated U.S. business interests abroad. Develop E-Commerce programs to expand and expedite market entry programs for U.S. companies.

REGULATIONS, GUIDELINES, AND LITERATURE:
Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2308, 102 Stat. 1342; 15 U.S.C. 472. A Basic Guide to Exporting; Commercial News USA (CNUSA); program/service literature; conditions of participation, Operations Manual, and Federal Register notices.

Regional or Local Office:
Export Assistance Centers (EAC): Local Department of Commerce, Commercial Services domestic field offices are listed in Appendix IV of the Catalog.

Headquarters Office:
Not applicable.

Web Site Address:
<http://ita.doc.gov>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

11.110 MANUFACTURING AND SERVICES

FEDERAL AGENCY:
INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:
15 U.S.C. 1512; 19 U.S.C. 1202, 2031, 2354.

OBJECTIVES:
To foster the competitiveness and growth of U.S. industries and promote their increased participation in international markets.

TYPES OF ASSISTANCE:
Advisory Services and Counseling.

USES AND USE RESTRICTIONS:
To serve as the Federal Government source of industry-specific expertise, negotiation and enforcement of bilateral and multilateral trade agreements, and industry and trade competitive analysis; for use by the business community, Federal program managers and policy-makers.

Applicant Eligibility:
Any business, public official, civic organization or private citizen may request information in person, by letter, by telephone or via the Internet (<http://www.trade.gov>).

Beneficiary Eligibility:
Any business, public official, civic organization or private citizen may request information in person, by letter or telephone.

Credentials/Documentation:
None.

Preapplication Coordination:
Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Not applicable.

Award Procedure:
Not applicable.

Deadlines:

Not applicable

Range of Approval/Disapproval Time:
Not applicable.

Appeals:
Not applicable.

Renewals:
Not applicable.

Formula and Matching Requirements:
Not applicable.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
None.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:
None.

Account Identification:
13-1250-0-1-376.

Obligations:
(Operations and Administration) FY 07 \$47,328,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
This program included regular statistical reports; economic studies on current policy issues, such as international trade and industry competitiveness and the impact of Government regulations on industry; forecasts of industry/sector output, cost trends, and their general economic condition; information on industry structure, operations and technological developments.

REGULATIONS, GUIDELINES, AND LITERATURE:
Periodic reports on U.S. domestic and international competitiveness, U.S. manufactures and services trade, U.S. international direct investment and other international economic topics.

Regional or Local Office:
Local Department of Commerce field offices are listed in Appendix IV of the Catalog.

Headquarters Office:
International Trade Administration, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Inquiries should be directed to Manufacturing, (202) 482-1872; Aerospace and Automotive Affairs, (202) 482-0554; Energy, Environment and Materials, (202) 482-5225; Health and Consumer Goods, (202) 482-1176; Materials and Machinery, (202) 482-0575; Technology and Electronic Commerce, (202) 482-0216; Service Industries, (202) 482-3575; Travel and Tourism Industries, (202) 482-0140; Finance, (202) 482-3277; Export Trading Company Affairs, (202) 482-5131; Industry Analysis (202) 482-5145; Advisory Analysis, (202) 482-5145; Advisory Committees, (202) 482-4501; and Planning, Coordination and Management, (202) 482-4921.

Web Site Address:
<http://www.export.gov>.

RELATED PROGRAMS:
10.153, Market News; 10.950, Agricultural Statistics Reports; 11.001, Census Bureau Data Products; 11.005, Census Special Tabulations and Services; 11.025, Measures and Analyses of the U.S. Economy; 11.800, Minority Business Enterprise Centers; 59.005, Internet-Based Technical Assistance; 59.007, 7(j) Technical Assistance; 59.026, Service Corps of Retired Executives.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

11.111 FOREIGN-TRADE ZONES IN THE UNITED STATES

(Foreign-Trade Zones)

FEDERAL AGENCY:
INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:
Foreign-Trade Zones (FTZ) Act of 1934, 48 Stat. 98-1003, 19 U.S.C. 81a-81u, as amended, Public Law 81-566, 64 Stat. 246; Public Law 85-791, 72 Stat. 945; Public Law 91-271, 84 Stat. 292; Public Law 96-609, 94 Stat. 3561 Section 231; Public Law 98-573, 98 Stat. 142 and 299; Public Law 99-386, 100 Stat. 823; Public Law 99-514; Public Law 100-418, 102 Stat. 1300; Public Law 100-647, 102 Stat. 3808; Public Law 100-449, 102 Stat. 1863; Public Law 101-382, 104

Stat. 706 and 710.

OBJECTIVES:

To help encourage domestic warehousing, manufacturing and processing activity. States and local communities use foreign-trade zones as part of their overall economic growth efforts to improve their international business service structure. In this way, FTZs contribute to the enhancement of their investment climate for commerce and industry.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Zone procedures help firms reduce customs costs and help improve a domestic operation's international competitiveness. The procedures are available at facilities designated as foreign trade zones and sub zones under the sponsorship of local public and quasi-public corporations.

Applicant Eligibility:

Public and private corporations as defined by the Law, and by appropriate State enabling legislation.

Beneficiary Eligibility:

Foreign Trade Zone's (FTZ) are required to operate under public utility principles, providing access to zone procedures on a nondiscriminatory basis to businesses and manufacturers. Zone procedures are available at any of the operating FTZ's, subject to customs requirements, a review process to determine that manufacturing activity is in the public interest, and the specific requirements of the local zone project.

Credentials/Documentation:

Applications contain: A cover letter, with exhibits, detailing corporation's eligibility to apply; type of authority requested; a site and facility description; project background; the need for a zone; public economic benefits; the relationship of the zone project to the overall community economic development plans; and how the project will be operated and financed. When manufacturing activity is involved, the application must also contain information on the activity, including products and components, tariff rates, benefits to the operator, industry information, international competitive factors, public benefits (e.g., employment retention/creation), and a demonstration of a net positive economic effect.

Preapplication Coordination:

Draft applications are recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for zone authority are submitted by eligible applicants and reviewed under the regulations of the FTZ Board. The Board (Secretaries of Commerce (Chairman), and Treasury) can approve or deny the application, or approve it with restrictions. Applications are processed for the Board by the FTZ Staff/Import Administration/International Trade Administration, U.S. Department of Commerce.

Award Procedure:

Upon filing of an application, after a pre-filing review, an examiner is appointed to investigate the proposal and make recommendations. Hearings are held in cases of new zones and public comments invited in all cases. When the Foreign-Trade Zones Board makes a decision an order is issued and published in the Federal Register.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Applications are usually acted upon within 10 to 12 months of filing.

Appeals:

A negative decision can be appealed by reapplication to the FTZ Board when warranted by evidence and circumstance.

Renewals:

Applicable when the Board places time constraints on the FTZs. In that event, a renewal application must be filed.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Annual reports on FTZ operations are submitted by grantees.

Audits:

FTZ operations are supervised and audited by the U.S. Customs Service and monitored by the Foreign-Trade Zones Board in terms of restrictions imposed by board and the general public interest mandate of the FTZ Act.

Records:

Each grantee is required to keep records pursuant to the FTZ Board's (15 CFR 400), and Customs regulations (19 CFR 146).

Account Identification:

13-1250-0-1-376.

Obligations:

(Operations and administration) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Board processes approximately 60 to 80 applications each year covering new general-purpose zones, sub zones, and zone expansions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Commerce Regulations, 15 CFR, Part 400 (15 CFR 400). U.S. Custom Regulations, (19 CFR Part 146), FTZ Board Guidelines (see web site below).

Regional or Local Office:

Commerce District Offices.

Headquarters Office:

Office of the Executive Secretary, Foreign- Trade Zones Board, 1401 Constitution Avenue, NW., Room FCB 4100W, Washington, DC 20230. Inquiries should be directed to Dennis Puccinelli, Executive Secretary, Foreign-Trade Zones Board. Telephone: (202) 482-2862.

Web Site Address:

<http://www.trade.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.112 MARKET DEVELOPMENT COOPERATOR PROGRAM

FEDERAL AGENCY:

INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C.4723.

OBJECTIVES:

Through the Market Development Cooperator Program (MDCP), the International Trade Administration (ITA) aims to develop, maintain and expand foreign markets for nonagricultural goods and services produced in the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

A notice is published in the Federal Register soliciting applications. A more detailed Federal Funding Opportunity (FFO) notice describing the program is published at www.export.gov/mdcp, where the most recent notice and the FFO are available, or from the contact listed in "Information Contacts" below. The most prominent uses and restrictions are summarized below. (1) Applicants should propose foreign market development activities that result in increased exports by U.S. firms; (2) the primary beneficiaries of project activity should be small- and medium-sized enterprises; (3) no more than \$400,000 in federal funds is available for each project; (4) the federal funds are to last for the life of the project, not to exceed three years; (5) applicant match is two for one: for each federal dollar received, applicant must match at least one dollar with cash and another dollar with cash or in-kind contribution; and (6) federal funds and the first dollar of applicant match can be used only on direct costs.

Applicant Eligibility:

Applicants are found to be eligible in one of three categories: (1) Nonprofit industry organizations (includes small business development centers, World Trade Centers, and 501(c)(3) nonprofits such as chambers of commerce, international trade centers, port authorities, economic development organizations, and other business interest groups); (2) trade associations; and (3) State departments of trade and their regional associations (includes centers for international trade and similar entities that may fall within a university organization). The Statute stipulates that private industry firms or groups of firms may be found eligible in cases where no entity described above represents that industry. However, since the program began operating in 1993, no private firm has been able to demonstrate that an organization in one of the first three categories did not represent its interests.

Beneficiary Eligibility:

U.S. firms that sell non-agricultural goods or services. (While private firms benefit from MDCP project activity, as noted above, they are generally not eligible to apply for MDCP funds.)

Credentials/Documentation:

In order to determine eligibility, applicants should consult www.export.gov/mdcp and request an eligibility determination in writing from the MDCP Manager (see "Information Contacts"). The written request should be accompanied by the most current version of all the following documents that apply: (1) articles of incorporation; (2) charter; (3) bylaws; (4) information on types of members and membership fees; (5) Internal Revenue Service acknowledgment of non-profit status; (6) annual report; (7) audited financial statements; and (8) documentation of ties to state trade departments or their regional associations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The main body of the application should include the substance of the applicant's proposal as identified in elements (1) through (4) below. It is limited to 50 pages. Elements (5) through (7) below, as well as information which supplements elements (1) through (4) should be submitted as appendices. There is no limit to the number of pages for the appendices. The elements of the application are summarized below. (1) Executive summary of one-page that lists application essentials such as a summary of the proposal, name address, contact information, ITA offices to be involved in the proposal, budget, amount of federal funds requested, term of project, U.S. industry(s) to be promoted, and target markets; (2) background research on the market potential of U.S. products to be marketed, competition, economic situation, ability/desire of the U.S. industry to export, and the ability/desire of foreign customers to purchase U.S. products; (3) project description including a work plan, performance measures, a description of performance measurement recording and reporting, and a description of how applicant plans to incorporate partnership with ITA in its project; (4) credentials including a description of the applicant's competence and resumes of principal players; (5) budget including financial statements, a detailed description of the budget, the "Budget for Project Award Period" worksheet, and the form "Budget Information" (SF-424A); (6) other forms including SF-424, SF424B, CD- 511, and CD-346. Applicants that intend to lobby the federal government with funds included in the project budget should also submit SF-LLL. All of these forms are available at www.export.gov/mdcp; (7) other appendices.

Award Procedure:

A selection panel of at least three senior ITA officials evaluates each MDCP application based on the following criteria: (1) Export success potential; (2) performance measurement; (3) partnership potential with ITA and compatibility with ITA priorities; (4) creativity and institutional capacity; and (5) budget, cash match, and sustainability. Each application can receive up to 20 points in each of the five categories for a total possible score of 100. Once applications are received, applicants may not revise them. Unsuccessful applicants will be notified in writing within 10 days after award decisions have been announced. Since the program began in 1993, ITA has made, on average, 7 awards per year.

Deadlines:

Historically, application deadlines have varied from early spring to early summer. The most recent information is posted at www.export.gov/mdcp.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

All award decisions are final. There is no appeal process.

Renewals:

Not applicable.

Formula and Matching Requirements:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C. 4723. This information on formula and matching requirements is published in the Federal Register in an annual solicitation for applications, available at www.export.gov/mdcp.

Length and Time Phasing of Assistance:

Funds may be expended over the period of time required to complete the scope of the work, but not exceed three years from the date of the award. Assistance is released according to approved marketing plans in the Market Development Cooperator Program.

Reports:

Award recipients submit reports and requests as set forth below. (1) Quarterly reports: (a) progress in project activity, and (b) financial status (SF-269); (2) requests/reports as needed: (a) advance or reimbursement request (SF- 270); and (b) cash transactions reports (SF-272)(for recipients that receive award funds in advance).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted.

Account Identification:

13-1250-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$400,000. Average: \$298,000.

PROGRAM ACCOMPLISHMENTS:

On average, MDCP project activity yields 86 dollars in exports for every federal dollar invested.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants can link to Internet sources for each of the authorities cited below at www.export.gov/mdcp. (1) MDCP-specific authorities and guidelines: (a) Federal Register notice soliciting applications; (b) mock application; and (c) application kit; (2) statutory authority: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C. 4723. (3) regulatory/administrative guidelines: (a) costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A- 122 for nonprofit and for-profit organizations; (b) financial assistance management will be in accordance with OMB Circular No. A-110 for nonprofit organizations and 15 CFR Part 24 for State and local governments.

Regional or Local Office:

The MDCP is managed from the headquarters office, which should be the applicant's initial point of contact for MDCP information. In addition, applicants are encouraged to contact relevant ITA industry specialists in Washington, DC and their nearest ITA Export Assistance Center. Contact information is available at www.export.gov/mdcp.

Headquarters Office:

MDCP Manager, Brad Hess, 14th Street and Constitution Avenue, N.W., HCHB 3215, Washington, DC 20230. Telephone: (202) 482-2969. Fax: (202) 482-5828. Organizational Reference: Department of Commerce, International Trade Administration, Trade Development, Office of Planning, Coordination and Management. Management and Planning Division.

Web Site Address:

<http://www.export.gov/mdcp>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of activities which applicants from prior years have found appropriate are set forth below. These are provided only for illustration. Applicants are not required to propose any of these activities: (1) foreign trade shows; (2) foreign trade missions; (3) reverse trade missions; (4) product demonstrations abroad; (5) export seminars; (6) technical seminars abroad; (7) joint product promotions with foreign partners; (8) establishment of offices abroad; (9) co-locating an office with the U.S. & Foreign Commercial Service; (10) advice to foreign standards and testing organizations; (11) training of foreign agents and distributors; and (12) creating and promoting matchmaking databases. Descriptions of the award recipients' projects are available at www.export.gov/mdcp.

CRITERIA FOR SELECTING PROPOSALS:

Additional details on the selection criteria are published in the Federal Register and in the FFO, the annual solicitation for applications. The most recent solicitation is available at www.export.gov/mdcp.

11.113 ITA SPECIAL PROJECTS

FEDERAL AGENCY:

INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Department of Commerce and Related Agencies Appropriations Act of 2002, Public Law 107-77.

OBJECTIVES:

To assist various organizations identified by Congress to expand exports; to promote job creation through projects designed to provide small-medium sized businesses with export assistance to specified overseas markets.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is used to provide information, contracts, and research and development in shaping and implementing specific projects and programs that promote exports in various markets.

Applicant Eligibility:

Organizations or individuals specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are the companies served by the organizations receiving awards, and the organizations or individuals specifically identified by Congress.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit all standard forms, project proposal and budget following the format specified by the Department of Commerce.

Award Procedure:

Grants result from legislation by the congress. There is no application process.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Financial and progress reports are to be submitted in accordance with the terms and conditions of the award. Financial status reports (SF-269) are submitted on a quarterly basis. Federal cash transaction reports (SF-272) are submitted for each award where funds are advanced to recipients. Performance reports are submitted on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted.

Account Identification:

13-1250-0-1-376.

Obligations:

(Grants) FY 07 \$500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$500,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

ITA grant recipients receiving continuation funding have developed new technologies and established new companies; a number of companies have reached the stage where they can attract new foreign and domestic investments. This has resulted in job creation and led to increased opportunities to export the technologies developed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, A-122 for nonprofit organizations; and 48 CFR Part 31 for-profit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, for-profit organizations; and 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Not applicable.

Headquarters Office:

Annette Henderson, Planning and Management Division, Trade Development/OPCM, Room 3215, HCHB, Department of Commerce, Washington, DC 20230. Telephone: (202) 482-3197. Fax: (202) 482-4462.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The development of a series of programs to assist small businesses in targeting new domestic and international markets; assist new high tech start-up companies by writing business plans, locating financing for export, and conducting market research for export; research and development in the textiles and apparel industry.

CRITERIA FOR SELECTING PROPOSALS:

The U.S. Congress designates those entities that receive funding in annual appropriations of the Department of Commerce.

11.150 EXPORT LICENSING SERVICE AND INFORMATION

(Export Control) Exporter Assistance Program

FEDERAL AGENCY:

BUREAU OF INDUSTRY AND SECURITY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Export Administration Act of 1979, as amended, 50 U.S.C. 2401 et seq. This authority is currently extended under the International Emergency Economic Powers Act (IEEPA).

OBJECTIVES:

Respond to the needs of the exporting community by telephone, in person, and through seminars and other outreach efforts in order to help exporters understand and comply with the Export Administration Regulations that involve the export of certain products and technical data requiring a special export license for shipments to foreign countries.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Individuals and business firms may obtain information, training, and assistance on the interpretation of export control regulations and policies, when a validated export control license is required; and secure expeditious handling of an export application when priority action is warranted.

Applicant Eligibility:

Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Beneficiary Eligibility:

Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In person, by letter or telephone.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable

Reports:

None.

Audits:

In accordance with the provisions of OMB circular No. A133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of High Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C 7501 -7507). Commercial organization shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

13-0300-0-1-376.

Obligations:

(Operations and administration) FY 07 \$1,753,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Office of Exporter Services (OEXS) is the Bureau of Industry and Security (BIS) front line unit in providing exporter counseling and plays a major role in guiding exporters through complex licensing transactions. Through a variety of seminar programs offered at locations throughout the United States, OEXS instructs and assists new and established exporters through basic and advanced level courses. OEXS also provides specialized workshops on topics of specific interest (e.g., commercial encryption licensing, technology controls, how to classify your products, export management systems and freight forwarder

programs). In fiscal year 2005, BIS conducted 45 domestic seminars in 17 states, which were attended by over 3,318 participants. In fiscal year 2006, we anticipate over 3,200 exporters will be counseled through 45 export licensing seminars. These estimates should also apply in fiscal year 2007 as limited resources will prevent us from expanding the seminar program. Through our headquarters in Washington, DC and our Western Regional Offices in Los Angeles and San Jose, California, BIS will provide one-on-one counseling 11 hours a day through meetings, telephone counseling sessions and responses to e-mail and fax inquiries. In addition, the BIS Web site (www.bis.doc.gov) provides exporters constant access to timely information and services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Export Administration Regulations; Export Administration Bulletins; Summary of U.S. Export Regulations; Bureau of Industry and Security Annual Report; Index to the Commodity Control List; Export Management System Guidelines and Summary thereof.

Regional or Local Office:

Refer to Appendix IV of the Catalog for BIS Regional Office addresses.

Headquarters Office:

Office of Exporter Services, Outreach and Educational Services Division, Room 1099, Department of Commerce, Washington, DC 20230 or Outreach and Educational Services Division, P.O. Box 273, Washington, DC 20044. Telephone: (202) 482-4811. Western Regional Office (Newport Beach, California) 3300 Irvine Avenue, Suite 345, Newport Beach, CA 92660. Telephone: (949) 660-0144. Western Regional Office (San Jose, CA) 111 N. Market Street, 6th Floor, San Jose, CA 95113. Telephone: (408) 351-3378.

Web Site Address:

<http://www.bis.doc.gov>.

RELATED PROGRAMS:

11.108, Commercial Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.300 INVESTMENTS FOR PUBLIC WORKS AND ECONOMIC DEVELOPMENT FACILITIES

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 201 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3141).

OBJECTIVES:

The Public Works and Economic Development Program of the Economic Development Administration (EDA) is designed to enhance regional competitiveness and promote long-term economic development in regions experiencing substantial economic distress. Through the program, EDA provides Public Works investment assistance to support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain long-term private sector jobs and investments, attract private sector capital, and promote regional competitiveness. Grants (referred to throughout this document as investments) may be used for projects such as expanding and upgrading infrastructure to attract new industry, supporting technology-led development, redeveloping brownfield sites, promoting eco-industrial development, and supporting heritage preservation development investments such as those promoted by the Executive Order on Preserve America.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible activities include the acquisition or development of public land and improvements for use in public works, public services, or development facilities. Other appropriate activities include acquisition, design and engineering, construction, rehabilitation, alteration, expansion, or improvement of publicly-owned and operated development facilities, including related machinery and equipment. Characteristic projects include investments in facilities such as water and sewer systems, industrial access roads, industrial and business parks, port facilities, railroad sidings, distance learning facilities, skill-training facilities, business incubator facilities, brownfield redevelopment, eco-industrial facilities, and telecommunications and broadband infrastructure improvements necessary for business creation, retention and expansion. A project must be located in or impact a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 CFR 301.3(a). In addition, the project must fulfill a pressing need of the region and must: (a) improve the opportunities for the successful establishment or expansion of industrial or commercial plants or facilities in the region; (b) assist in the creation of additional long-term employment opportunities in the region; or (c) primarily benefit the long-term unemployed and low-income residents. All proposed investments must be consistent with a current EDA-approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic

economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. Projects eligible for Public Works investment assistance include those located in regions meeting "Special Need" criteria as defined in 13 CFR 300.3. For-profit, private-sector entities do not qualify for Public Works assistance under PWEDA. EDA may not provide investments directly to individuals or for-profit entities seeking to start or expand a private business. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Not applicable. Public Works and Economic Development investments are intended to help recipients in the nation's most distressed communities to revitalize, expand, or upgrade their physical infrastructure to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments.

Credentials/Documentation:

An application for investment assistance must describe the purpose of the proposed projects, the type of facility proposed, estimated project costs, permanent private sector job impact (estimated payrolls, estimated private-sector job investment, etc.), the estimated schedule for construction commencement and completion, and assurances that the project will satisfy EDA's statutory and regulatory requirements. The application must demonstrate how the project will satisfy a pressing need of the region and assist local economic development efforts.

Preapplication Coordination:

An applicant interested in a Public Works investment assistance award may request application information by contacting the EDA regional office serving the region in which the proposed project will be located or will serve. Please visit EDA's internet website at www.eda.gov for regional office contact information. An environmental assessment is necessary; an environmental impact statement may also be required. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Public Works investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Public Works investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO. Applications are subject to the intergovernmental review process authorized under Executive Order 12372 and implementing regulations at 13 CFR 302.9 and 15 CFR part 13.

Award Procedure:

Successful applications are selected by the appropriate Regional Director and announced by the Assistant Secretary of Commerce for Economic Development (Assistant Secretary).

Deadlines:

EDA regional offices accept applications for Public Works investments on an ongoing basis. EDA will notify the applicant if EDA has accepted a completed application and forwarded the application for further review and processing in accordance with EDA and Department of Commerce procedures.

Range of Approval/Disapproval Time:

Generally, two months are required for EDA to reach a final decision after receipt of a complete application that meets all requirements.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Generally, EDA investment assistance may not exceed 50 percent of the project cost. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13

CFR 301.4(b)(1). In the case of investment assistance to a(n) (a) Indian Tribe; (b) State or political subdivision of a State that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity; or (c) non-profit organization that the Assistant Secretary determines have exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. See sections 204(c)(1) and (2) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(5). Potential applicants should contact the appropriate EDA regional office to make these determinations. While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required non-federal share of the total project cost. See section 204(b) of PWEDA (42 U.S.C. 3144). EDA will fairly evaluate all in-kind contributions, which must be eligible project costs and meet applicable Federal financial assistance awards are considered matching share funds only if authorized by statute, which may be determined by EDA's reasonable interpretation of the statute. See 13 CFR 300.3. The applicant must show that the required matching share is committed to the project for the project period, available as needed, and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA investment assistance. See 13 CFR 301.5.

Length and Time Phasing of Assistance:

Public Works investments, which are disbursed for eligible costs incurred, are generally not disbursed until after all contracts for construction have been awarded. See 13 CFR 305.9.

Reports:

Recipients are subject to all federal laws and federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including but not limited to 15 CFR Parts 14 or 24, as applicable. Standard financial and performance reports are required, and special reports for specific projects may be requested. See 13 CFR 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, non-profit organizations (including hospitals), and institutions of higher education shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). States, local governments, and non-profit governments that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year. In addition, recipients must provide the EDA, the Inspector General of the Department of Commerce, and the Comptroller General of the United States with access to its properties to examine all materials necessary to verify compliance with investment requirements. See 13 CFR 302.14(b).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement. The recipient must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$176,990,281; FY 08 \$146,430,000; and FY 09 est \$7,200,000.

Range and Average of Financial Assistance:

In FY 2007, Public Works investments ranged from \$12,500 to \$3,215,427. The average investment was \$1,246,410.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 142 Public Works investments were approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13 CFR Chapter III, Parts 300-302, 305, 310, and 314; Department of Commerce Organization Order 10-4, as amended.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

www.eda.gov

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.307, Economic Adjustment Assistance.

EXAMPLES OF FUNDED PROJECTS:

Projects funded through the Public Works and Economic Development Program include: (a) infrastructure for industrial park development; (b) port development and expansion; (c) infrastructure necessary for economic development (e.g. water quality infrastructure, sewer facilities, etc.); (d) renovation and recycling of former industrial buildings; (e) construction of vocational/technical facilities and skill centers; (f) incubator facility construction; (g) brownfield redevelopment; (h) eco-industrial development; and (i) broadband infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located, or the region which the project will serve, as well as the capability of the proponent to implement the proposed project. Furthermore, EDA will select applications competitively based on strategic areas of interest and priority considerations identified in the applicable announcement of federal funding opportunity (FFO). EDA also may consider the degree to which an investment in the proposed project will satisfy one or more of the following criteria: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Public Works investment applications must meet EDA's investment policy guidelines as set out in 13 CFR 301.8 and other requirements as provided in the applicable FFO.

11.302 ECONOMIC DEVELOPMENT-SUPPORT FOR PLANNING ORGANIZATIONS

(Section 203 Grants for Planning and Administrative Expenses)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 203 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3143).

OBJECTIVES:

The Economic Development Administration (EDA) provides Planning assistance to provide support to Planning Organizations (as defined in 13 CFR 303.2) for the development, implementation, revision, or replacement of a Comprehensive Economic Development Strategy (CEDS), short-term planning efforts, and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions. Planning activities supported by these grants (referred to throughout this document as investments) must be part of a continuous process involving the active participation of Private Sector Representatives (as defined in 13 CFR 300.3), public officials, and private citizens. In addition, they must include: (a) analysis of local economies; (b) identification of economic development goals; (c) determination of project opportunities; and (d) formulation and implementation of an economic development program that includes systematic efforts to reduce unemployment and increase incomes. Current investment priorities include applications that help develop and enhance regional industry clusters and assist local leaders in understanding and applying the principles of entrepreneurship and technological innovation. See 13 CFR 303.1 and 303.7.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Planning investment assistance helps bear the direct and indirect costs incurred by a Planning Organization in developing and implementing a CEDS.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. As used in this paragraph, State includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. See 13 CFR 300.3 and 301.2.

Beneficiary Eligibility:

Not applicable. EDA Planning investments provide support to Planning Organizations for the development, implementation, revision, or replacement of a CEDS. They also may provide support for related short-term planning investments and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions.

Credentials/Documentation:

Applicants must satisfy all applicable federal, Department of Commerce and EDA standards and regulations, including all terms and conditions of the financial assistance award. Costs will be determined in accordance with applicable federal cost principles and administrative requirements.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For information on the application process, you may access the federal funding opportunity (FFO) announcement for planning assistance at www.grants.gov and at www.eda.gov. EDA will review each application for planning investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO. Applications are subject to the intergovernmental review process authorized under Executive Order 12372. See 13 CFR 302.9 and 15 CFR Part 13.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary) approves the initial investment to District Organizations, Indian Tribes, and other Planning Organizations. The appropriate regional office approves continuation awards.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Generally, 45 to 60 days are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

None.

Renewals:

EDA will provide Planning assistance for the period of time required to develop, revise, replace, or implement a CEDS, generally in 36-month renewable investment project periods. EDA will consider a recipient's performance over previous investment project periods in order to determine whether additional Planning investments should be awarded. See 13 CFR 303.4.

Formula and Matching Requirements:

Generally, an EDA investment may not exceed 50 percent of the total cost of a project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(1). For Planning assistance, the minimum EDA investment rate for projects under 13 CFR part 303 is 50 percent, and the maximum allowable EDA investment rate is 80 percent. See 13 CFR 301.4(b)(3). In the case of EDA investment assistance to (a) Indian Tribe, (b) State or political subdivision of a State that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (c) nonprofit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum allowable EDA investment rate of up to 100 percent of the total project cost. See sections 204(c)(1) and (2) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(5). Potential applicants should contact the appropriate EDA regional office to make these determinations. While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required non-federal share of the total project cost. Applicants must show that the required matching share is committed to the project for the project period, available as needed, and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA investment assistance. See section 204(b) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.5.

Length and Time Phasing of Assistance:

A project period generally consists of increments of 12 months for a total of 36 months, depending on past performance and the availability of funds. Funds are disbursed quarterly. EDA recently has increased the number of its Planning investments being funded on a 3-year basis.

Reports:

Recipients are subject to all federal laws and to all federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including but not limited to 15 CFR Parts 14 or 24, as applicable. Standard financial and performance reports are required, and special reports for specific projects may be requested. See 13 CFR 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, non-profit organizations, and institutions of higher education are subject to the requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501, et seq.). States, local governments, and non-profit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year. In addition, recipients must provide EDA, the Inspector General of the Department of Commerce, and the Comptroller General of the United States with access to its properties to examine all materials necessary to verify compliance with investment requirements. See 13 CFR 302.14(b).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients must be maintained according to the terms of the investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$29,597,524; FY 08 \$27,000,000; and FY 09 est \$27,000,000.

Range and Average of Financial Assistance:

In FY 2007, Planning Investments ranged from \$2,000 to \$742,000. The average award was \$71,839. The average award for District Organizations was \$72,450; the average for Indian Tribes' projects was \$51,058; and the average for States and all other area projects was \$105,160.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 412 Planning investments were awarded to District Organizations, Indian Tribes, States, urban areas, and sub-state political subdivisions. These entities carried out a variety of Planning efforts aimed at generating jobs and income for their region and for unemployed and underemployed residents.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-303.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

www.eda.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of projects funded through the Planning Program include: (a) support for Planning Organizations in the creation, implementation, revision, or replacement of a CEDS; (b) development and implementation of training programs for Planning Organization members; (c) support for community planning events; (d) implementation of CEDS-related projects; (e) development of economic strategies in the wake of a military base realignment; and (f) disaster-mitigation planning.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for Planning assistance for the feasibility of the budget presented and conformance with EDA's statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located, as well as applicant's capacity to implement the proposed project. Furthermore, EDA will select applications competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA also may consider the degree to which a proposed project: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Planning investment applications must meet EDA's investment policy guidelines as set out in 13 CFR 301.8, the requirements of 13 CFR Part 303, as applicable, and other requirements provided in the applicable FFO.

11.303 ECONOMIC DEVELOPMENT-TECHNICAL ASSISTANCE

(National, University Center and Local Technical Assistance)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 207 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3147).

OBJECTIVES:

EDA oversees three technical assistance programs (National, Local and University Center) that promote economic development and alleviate unemployment, underemployment, and out-migration in distressed regions. These programs provide grants or cooperative agreements (referred to throughout this document as investments) to: (1) invest in institutions of higher education to establish and operate University Centers to provide technical assistance to public and private sector organizations with the goal of enhancing local economic development; (2) support innovative approaches to stimulate economic development in distressed regions; (3) disseminate information and studies of economic development issues of national significance; and (4) finance feasibility studies and other projects leading to local economic development. These programs aid the long-range economic development of regions with severe unemployment and low per capita income.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Technical assistance is used to provide information, data, and know-how in

evaluating, shaping and implementing specific projects and programs that promote economic development in economically distressed regions.

Applicant Eligibility:

Most technical assistance recipients are private or public nonprofit organizations and educational institutions. As defined in 13 CFR 300.3, 'eligible recipients' include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based nonprofit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Program funds cannot be awarded to individuals and for profit organizations to start or expand a private enterprise.

Beneficiary Eligibility:

None. Investments are intended to finance projects that would be useful in alleviating or preventing conditions of excessive unemployment or underemployment.

Credentials/Documentation:

A nonprofit organization is required to submit its articles of incorporation charters and a certificate of good standing issued by the State in which it is incorporated. Applicants must demonstrate capability to complete the work program. In addition, a recipient that is a non-profit organization must work in cooperation with a political subdivision of a State unless EDA waives that requirement because the project has a significant regional or national scope.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Except for applications that have a national or multi-regional focus, applications are subject to the requirements of Executive Order 12372, "Intergovernmental Review of Federal Programs" and implementing regulations at 13 CFR 302.9.

Award Procedure:

EDA will award investment assistance in accord with the procedures set forth in the applicable announcement of federal funding opportunity (FFO).

Deadlines:

See deadlines announced in the applicable FFO.

Range of Approval/Disapproval Time:

From one month to four months.

Appeals:

None.

Renewals:

Each fiscal year, two EDA regional offices will provide a three-year project period for each University Center selected for funding under the requisite competition, with the initial award being made for the first year of the project period. Funding beyond the initial year is dependent upon the availability of funds and satisfactory performance, as determined by EDA and expressed in written notice. The servicing EDA regional office will contact current University Center operators regarding the procedures for applying for continuation funding. National and local technical assistance projects are typically funded for a single project period without any pre-set expectation of renewal. Renewal of an award to increase funding or extend the period of performance is at EDA's sole discretion.

Formula and Matching Requirements:

Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See Section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4. For projects of a national scope under 13 CFR part 306 (Training, Research and Technical Assistance), and for all other projects under part 306, after the application of the first two sentences of this paragraph, the Assistant Secretary of Commerce for Economic Development has the discretion to establish a maximum EDA investment rate of up to 100 percent where the project (i) merits and is not otherwise feasible without an increase to the EDA investment rate; or (ii) will be of no or only incidental benefit to the recipient. See Section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(4). Potential applicants should contact the appropriate EDA regional office to make this determination.

Length and Time Phasing of Assistance:

Generally, the University Center Program funds applications on a three-year basis with continuation awards made for each of the three years within a project period. The length of the project period for national and local technical assistance projects varies, but is usually for a period of one year. Funds are disbursed as required.

Reports:

Recipients must submit financial and performance reports as required by the award agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the audit requirements contained in Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for three years after completion of the project or submission of the final financial reports, whichever is later, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$8,161,852; FY 08 \$9,400,000; and FY 09 est \$9,400,000.

Range and Average of Financial Assistance:

In FY 2007 range of investments: \$690 to \$430,000. The average grant for the University Center Program in fiscal year 2007 was \$130,934; for National Technical Assistance projects, \$266,394; and for Local Technical Assistance projects, \$34,253.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 74 projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-302, 306.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

For University Center and Local Technical Assistance grants, refer to Appendix IV of the Catalog for EDA regional office addresses and telephone numbers. For National Technical Assistance grants, contact EDA, Department of Commerce, Office of Regional Affairs, 1401 Constitution Ave N.W., HCHB Room 7816, Washington DC 20230. Telephone: (202) 482-4208.

Web Site Address:

www.eda.gov

RELATED PROGRAMS:

11.800, Minority Business Enterprise Centers; 23.009, Appalachian Local Development District Assistance; 59.037, Small Business Development Centers.

EXAMPLES OF FUNDED PROJECTS:

University Center projects provide management and technical assistance services to communities, counties, districts, nonprofit development groups, and technology transfer assistance to firms. Local technical assistance projects help to determine the economic feasibility of various local development projects involving industrial, commercial, and other activities. National technical assistance projects may fund reports on innovative economic development or the dissemination of economic development information.

CRITERIA FOR SELECTING PROPOSALS:

EDA will screen all applications for the feasibility of the budget presented and conformance with EDA's statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located (or will service) as well as the capability of the proponent to implement the proposed project. Furthermore, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA may also consider the degree to which an investment in the proposed project will satisfy one or more of the following criteria: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy and; (e) demonstrates a high degree of local commitment. An application for investment assistance must meet EDA's investment policy guidelines (as set out in 13 CFR 301.8) and other requirements as set out in the applicable FFO.

11.307 ECONOMIC ADJUSTMENT ASSISTANCE

(Economic Adjustment)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 209 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149).

OBJECTIVES:

To address the needs of distressed communities experiencing adverse economic changes that may occur suddenly or over time, and generally result from industrial or corporate restructuring, new Federal laws or requirements, reduction in defense expenditures, depletion of natural resources, or natural disaster. Economic Adjustment Assistance grants (referred to throughout this document as investments) are intended to enhance a distressed community's ability to compete economically by stimulating private investment in targeted areas. Current investment priorities include proposals that: (a) promote comprehensive, entrepreneurial, and innovation-based economic development efforts that enhance the competitiveness of regions in the global economy. The investments will be part of a long-term strategy to promote the region's success in achieving a rising standard of living by supporting existing industry clusters, developing emerging new clusters, or attracting new regional economic drivers; (b) support technology-led economic development and reflect the important role of linking universities and industry and technology transfers; and (c) advance community- and faith-based social entrepreneurship in redevelopment strategies for regions of chronic economic distress.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Strategy investments help organize and carry out a planning process in order to develop a Comprehensive Economic Development Strategy (CEDS) tailored to the community's specific economic problems and opportunities. Implementation investments support one or more activities identified in an EDA-approved CEDS. Awards may be used for activities such as developing and updating a CEDS and for implementing the CEDS by carrying out projects for site acquisition and preparation, construction, rehabilitation, and equipping facilities, technical assistance, market or industry research and analysis, and other activities set out in 13 CFR 307.3.

Applicant Eligibility:

Eligible applicants for EDA investment assistance include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based non-profit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

None. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Credentials/Documentation:

Applicants for assistance must develop a CEDS that identifies the actual or anticipated adjustment problem and prescribe steps to address that problem. Implementation investment applications must be consistent with an approved CEDS.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." EDA's Regional Office representative or Economic Development Representative (EDR) will meet with the proponent to determine whether preparation of a project proposal is appropriate. If appropriate, the proponent will be requested to prepare a brief project proposal according to an outline provided by the EDR. Following a review by the EDR and regional office staff, the Regional Director will determine whether to invite a formal application. An environmental impact assessment is necessary; an environmental impact statement is required for this program.

Application Procedure:

This If an application is invited by the regional office, an EDR or regional office representative will provide necessary application materials. This program is subject to the provisions of OMB Circular No. A-110 and 15 CFR Part 24.

Award Procedure:

Applications are invited and approved by the Regional Director.

Deadlines:

Proposals are accepted on a continuing basis and applications are invited and processed as received.

Range of Approval/Disapproval Time:

Normally, two months are required for a final decision after the receipt of a completed application invited by EDA that meets all statutory and regulatory requirements.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The maximum investment rate shall not exceed 50 percent of the project cost, except that the project may receive an investment rate up to 80 percent based on relative needs as measured by the severity and duration of unemployment and the per capita income level and extent of underemployment in the region. Indian Tribes may be eligible for an investment rate of 100 percent. In addition, States or political subdivisions of a State that have exhausted their effective borrowing and taxing capacity or nonprofit organizations that have exhausted their effective borrowing capacity may also be eligible for a 100 percent rate. On average, EDA investment assistance covers approximately 50 percent of project costs.

Length and Time Phasing of Assistance:

None.

Reports:

With the exception of strategy grants, quarterly financial reports are required until one year after final disbursement of funds. Reports on revolving loan fund investments are initially required semi-annually but may be changed to annual reports with the consent of the agency. Recipients also are required to report on program performance and project outcomes at intervals prescribed by the agency in compliance with Government Performance Results Act of 1993.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher learning are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement. The grantee must retain records for three years after completion of the project or submission of the final financial report and be readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$64,228,016; FY 08 \$42,300,000; and FY 09 est \$40,330,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$13,382 to 6,080,000. The average grant for Economic Adjustment Assistance in FY 2007 was \$568,390.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 113 projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-302, 307.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

www.eda.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Investments awarded under the Economic Adjustment Assistance Program have included: (a) strategies for recovery from plant closure and major permanent job loss; (b) rehabilitation of vacant industrial facilities for multi-tenant use or entrepreneurship or innovation incubators; and (c) revolving loan funds or recapitalization of revolving loan funds.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all investment applications for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the region in which the proposed project is located, as well as the capacity of the applicant to implement the proposed project. Furthermore, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable announcement of federal funding opportunity (FFO). EDA also may consider the degree to which a proposed project: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes, and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment.

Applications for Economic Adjustment investment assistance must meet EDA investment policy guidelines as set out in 13 CFR 301.8, the requirements set out in 13 CFR part 307, as applicable, and other requirements as provided in the applicable FFO.

11.312 RESEARCH AND EVALUATION PROGRAM

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 207 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), 42 U.S.C. 3147.

OBJECTIVES:

The Research and Evaluation Program of the Economic Development Administration (EDA) supports rigorous, practitioner accessible research of leading, world class economic development practice that advances our understanding of economic development. Current funding priorities include proposals that enhance regional competitiveness by focusing on entrepreneurship and innovation strategies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Research and Evaluation Program funds research, including evaluative research, and national technical assistance projects to promote competitiveness and innovation in urban and rural regions throughout the United States.

Applicant Eligibility:

In accordance with PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR Part 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, a city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR Part 300.3. For-profit, private-sector entities do not qualify for investment assistance under this program.

Beneficiary Eligibility:

Not applicable. Research and Evaluation investments are designed to finance projects for research into techniques that promote competitiveness and innovation in urban and rural regions throughout the United States

Credentials/Documentation:

Researcher qualifications are considered in the application review process. Applicants must have demonstrated expertise in the proposed area of study.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should submit applications in accordance with the requirements of 13 CFR Part 306 and the applicable federal funding opportunity (FFO) announcement. EDA Headquarters can provide assistance in connection with proposed Research and Evaluation projects. This program is not subject to Executive Order 12372. This program is subject to provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or provisions of 15 CFR Part 24 for State, local and Indian Tribal governments, as applicable. Costs will be determined in accordance with applicable federal cost principles and uniform administrative requirements.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary) is the selecting official for Research and Evaluation projects. EDA will award investment assistance in accord with the procedures set forth in the applicable FFO.

Deadlines:

Please see the deadline set forth in the applicable FFO.

Range of Approval/Disapproval Time:

Generally, two months are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Generally, EDA investment assistance may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.4(b)(1). For Research and Evaluation projects of a national scope under 13 CFR Part 306, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent where the project (a) merits and is not otherwise feasible without an increase of EDA's investment rate; or (b) will be of no or only incidental benefit to the recipient. See section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.4(b)(4). While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required nonfederal share of the total project cost. If required, applicants must show that the matching share is committed to the project for the

entire project period, available as needed and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA Investment Assistance. See section 204(b) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.5.

Length and Time Phasing of Assistance:

The length of time of a project period varies, but is generally one year. Grant funds are disbursed as required and justified. See 13 CFR Part 306.3.

Reports:

Recipients are subject to all federal laws and to federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including, but not limited to, 15 CFR Parts 14 or 24, as applicable. Standard financial and performance reports are required and special reports for specific projects may be requested. See 13 CFR Parts 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the requirements of the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award agreement. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained pursuant to the terms of investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$993,236; FY 08 \$470,000; and FY 09 \$470,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$160,000 to \$349,865. The average EDA support was \$248,309.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, four projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 306.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, U.S. Department of Commerce, 1401 Constitution Avenue, NW, Washington DC 20230.

Web Site Address:

www.eda.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded under the Research and Evaluation Program have included reports on innovative economic development strategies such as: (a) "Regional Clusters of Innovation, Driving a Long-Term Strategy" by Monitor Company Group; (b) "Unlocking Rural Competitiveness: The Role of Regional Clusters" by Purdue and Indiana Universities; and (c) "A Resource Guide for Technology-Based Economic Development: Positioning Universities as Drivers, Fostering Entrepreneurship, Increasing Access to Capital" by the State Science and Technology Institute.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all Research and Evaluation applications for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the region served by the proposed project, as well as the applicants capacity to implement the proposed project. Further, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA also may consider the degree to which a proposed project: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Applications must meet EDA's investment policy guidelines as set forth in 13 CFR Part 301.8, the requirements of 13 CFR Part 306, as applicable, and other requirements as provided in the applicable FFO.

11.313 TRADE ADJUSTMENT ASSISTANCE FOR FIRMS

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Chapters 3 and 5, Title II of the Trade Act of 1974, as amended (Trade Act), 19

OBJECTIVES:

To provide trade adjustment assistance to firms and industries adversely affected by an increase in imports of directly competitive or similar articles to those with articles produced by the firm, and to help implement the firms' strategies to guide their economic recovery.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Under Chapter 3 of Title II of the Trade Act of 1974, a firm may apply to the Economic Development Administration (EDA) of the Department of Commerce for certification of eligibility to apply for trade adjustment assistance (TAA). Interested firms that believe they meet the requirements set forth in 13 CFR part 315 may contact EDA or one of the EDA-funded Trade Adjustment Assistance Centers (TAACs). TAACs will assist firms, at no cost, in completing and submitting a petition (Form ED-840P or any successor form) to EDA. Before a firm receives technical assistance under the program, the firm must have an adjustment proposal approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the TAAC in cooperation with the certified firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the cost of preparing the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fees. Actual provision of TAA will depend on the feasibility of the firm's adjustment proposal, compliance with the requirements of the Trade Act, EDA's regulations and guidelines, and the availability of funds. Organizations representing trade-injured industries are eligible under the Trade Act to apply to EDA for industry-wide assistance.

Applicant Eligibility:

Section 253 of the Trade Act (19 U.S.C. 2343(b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance under section 265 of the Trade Act (19 U.S.C. 2355(a)), the applicant must submit evidence demonstrating that the industry faces import competition and includes a substantial number of Trade Act-certified firms or worker groups.

Beneficiary Eligibility:

Only manufacturing and producing firms certified by EDA on behalf of the Secretary of Commerce are eligible for assistance under the TAA program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit. The principal benefit is cost-shared technical assistance: the TAA program pays up to one-half of the cost of assistance by private-sector consultants and contractors for operational improvements at certified firms.

Credentials/Documentation:

The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry-wide assistance must contact EDA staff to discuss their needs, describe the import injury and determine if funding is available for industry-wide projects.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

The nationwide network of 11 TAACs apply to EDA each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit an application (SF-424) if invited to do so by EDA.

Award Procedure:

Awards to TAACs are made based upon submitted applications, previous performance under cooperative agreements with EDA, and the availability of funds. Acceptance of an application or amended application for a cooperative agreement does not ensure funding by EDA. Awards to industries are made based on conformance with program requirements and availability of funds.

Deadlines:

There are no deadlines, as long as the application allows enough time for processing to receive fiscal year funds.

Range of Approval/Disapproval Time:

EDA makes a determination on a firm's certification for eligibility to apply for TAA within 60 days of receipt of its petition. Decisions on adjustment proposals are typically made within two to three weeks of receipt by EDA. Decisions on TAAC applications or industry-wide assistance requests are normally made within two months of receipt of a fully complete application by EDA.

Appeals:

There is no appeal procedure for proponents or recipients. A petitioning firm

whose petition for certification was denied may appeal pursuant to 13 CFR 315.11.

Renewals:

EDA's cooperative agreements with each of the 11 TAACs are usually renewed; industry grants generally are not renewed.

Formula and Matching Requirements:

Matching share requirements are as follows: Each certified firm must pay at least twenty-five (25) percent of the cost of the preparation of its adjustment proposal. Each firm requesting \$30,000 or less in total adjustment assistance in its approved adjustment proposal must pay at least twenty-five (25) percent of the cost of that adjustment assistance. Each firm requesting more than \$30,000 in total technical assistance in its approved adjustment proposal must pay at least fifty (50) percent of the cost of that adjustment assistance. Organizations representing trade-injured industries must pay at least fifty (50) percent of the total cash cost of the adjustment assistance, in addition to appropriate in-kind contributions. There are no matching share requirements for TAA provided by the TAACs to firms for certification or for administrative expenses of the TAACs.

Length and Time Phasing of Assistance:

Generally one year for TAAC investments and the amount of time needed to complete the project for industry grants. The TAA program is a nationwide federal program designed to help U.S. firms that have experienced business decline and loss of employment due to imports of like or directly competitive products.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Records:

Records are required to be maintained for three years. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Cooperative Agreements) FY 07 \$12,912,953; FY 08 \$14,100,000; and FY 09 est \$14,100,000.

Range and Average of Financial Assistance:

TAAC investments in FY 2007 ranged from \$930,939 to \$1,642,629. The average grant was \$1,173,905.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, EDA, through the TAACs, provided assistance to petitioning firms as follows: 135 petitions were certified as eligible to receive trade adjustment assistance; 126 adjustment proposals were accepted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of pertinent regulations (13 CFR Part 315), guidelines, and forms are available from EDA, the TAACs or the TAAC website at www.taacenters.org. Allowable costs will be determined in accordance with OMB Circular A-87 for State, local, and Indian Tribal governments; OMB Circular A-122 for nonprofit organizations; OMB Circular A-21 for educational institutions; and 48 CFR Part 31 for commercial organizations. Uniform administrative requirements will be in accordance with 15 CFR Part 14 for institutions of higher education and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Not applicable.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue N.W., Washington, DC 20230. Telephone: (202) 482-4495.

Web Site Address:

www.eda.gov and www.taacenters.org

RELATED PROGRAMS:

17.245, Trade Adjustment Assistance.

EXAMPLES OF FUNDED PROJECTS:

There are 11 Trade Adjustment Assistance Centers (TAACs) funded by EDA, which cover the 50 States, and the District of Columbia. The TAACs, through cooperative agreements with EDA, help firms become certified in order to receive TAA. Common types of technical assistance that firms may receive

under the TAA program include market research, quality assurance completion (ISO-9000 designation, for example) and management information systems guidance. Industries have been aided in developing new manufacturing machines and procedures, technological innovations, expanding exporting opportunities, marketing studies, and product diversification.

CRITERIA FOR SELECTING PROPOSALS:

EDA invites existing (currently funded) TAACs to submit either new or amended applications provided they have performed successfully and complied with previous conditions in their cooperative agreements with EDA and contingent upon the availability of funds. EDA also may invite new TAACs to submit applications through an applicable Federal Funding Opportunity (FFO) announcement. EDA generally evaluates existing (currently funded) TAACs based on: (i) performance under cooperative agreements with EDA and compliance with terms and conditions if such cooperative agreements; (ii) proposed scope of work, budget and application or amended application; and (iii) availability of funds.

11.400 GEODETIC SURVEYS AND SERVICES (GEODESY AND APPLICATIONS OF THE NATIONAL GEODETIC REFERENCE SYSTEM)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Coast and Geodetic Survey Act, Public Law 80-373, 33 U.S.C. 883a, 883d, 883e, 33 U.S.C. 892, and 15 U.S.C. 1525.

OBJECTIVES:

To provide national, coordinated spatial reference system at various specified intervals which provide scale, orientation, coordinated positions, and elevations of specific points for use in surveying, boundary delineations and demarcation, mapping, planning, and development; to provide assistance to State, local, municipal, and regional agencies in the development and implementation of Multipurpose Land Information Systems (MPLIS)/Geographic Information Systems (GIS) pilot projects and spatial reference system development and/or enhancement, and Height Modernization. For the Joint Hydrographic Center (JHC) improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Extend National Spatial Reference System into areas not adequately covered to meet infrastructure needs. Use of basic data for survey system in local densification is unrestricted. Spatial Reference System coordinates and elevations describe baselines from which restricted coastal boundaries are delineated and other boundaries are marked. The National Spatial Reference System forms the standard of measurement on which State plane coordinate systems, land and utility records, and boundary delineations are based. Federal funding (grants) are restricted to those State, local, municipal, or regional, and or universities specifically selected by the U.S. Congress, in annual Federal appropriations legislation. To conduct research and carry out development programs at the Joint Hydrographic Center at the University of New Hampshire to improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping, and to conduct bathymetric research in support of delineation of an extended continental shelf.

Applicant Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC, limited to the University of New Hampshire by Congress and through Joint Institute relationship with NOAA.

Beneficiary Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC limited to the University of New Hampshire by Congress and through Joint Institute relationship with NOAA.

Credentials/Documentation:

Annual Congressional appropriations legislation. An official of the State, local, municipal, university and/or regional agency should certify as the official authorized, in accordance with State, local, municipal, or regional agency law, to commit the State, local, municipal, university and/or regional agency to participate in the grant program, to sign project documents, and to receive payments. Eligibility of costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For the JHC, an approved official of the University of New Hampshire should certify the application to commit the University to participate in the cooperative agreement and to receive payments in accordance with OMB circulars A-110 and A-21.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is

available from NOAA in the preparation of an application. These programs are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For the JHC, the standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division.

Application Procedure:

Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity number and/or CFDA number to access the application package and instructions. Submission of grant application on Standard Form 424 to the Director, National Geodetic Survey listed in Appendix IV of the Catalog. This program is subject to the provisions of 15 CFR Part 24, and OMB Circular No. A-110. For the JHC, submission of grant application on Standard Form 424 to the NOAA Co-Director, Joint Hydrographic Center.

Award Procedure:

Applications are reviewed by the National Geodetic Survey and processed and awarded by the NOAA Grants Division. For the JHC, Applications are reviewed by the NOAA National Ocean Service and processed and awarded by the NOAA Grants Division.

Deadlines:

Grant applications must be submitted to the National Geodetic Survey, a minimum of 90 working days in advance of the desired effective date. For the JHC, grant applications should be submitted to the NOAA Co-Director of the Joint Hydrographic Center, a minimum of 90 working days in advance of the desired effective date.

Range of Approval/Disapproval Time:

From 45 to 90 working days from date of receipt of proposal in the National Geodetic Survey. For the JHC, from 45 to 90 working days from date of receipt of proposal in the Joint Hydrographic Center.

Appeals:

No formal appeal procedure for grant applications. If grant applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit grant applications, projects must be revised in accordance with recommended changes.

Renewals:

No renewal, however, an approved on-going project can be extended by submission of an amendment request for continuation and/or supplemental funding, providing the request for extension is received 60 days prior to the end of the award period. For the JHC, An approved on-going project can be extended by submission of an amendment request for continuation and/or supplemental funding.

Formula and Matching Requirements:

Specific cost-sharing or matching requirements is generally defined in the legislation providing funds. For the JHC, not applicable.

Length and Time Phasing of Assistance:

Grants are normally issued for a 12-month period. The funds are released as required. For JHC, the cooperative agreement is normally issued for a one to five year period. The funds are released as required.

Reports:

Semi-annual and final progress reports are required for Federally financed multi-year pilot projects. Progress reports may be in the form of a manuscript. Quarterly financial reports are also required. For JHC, semi-annual and final progress reports and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations, (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants-NGS) FY 07 \$9,342,000; FY 08 est not available; and FY 09 est not reported. FY 07 \$7,200,000; FY 08 est not available; and FY 09 est not reported. Alabama Freight Mobility Study: FY 07 \$675,921; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Funding was provided in FY 2004 to the California Spatial Reference Center, the Louisiana Spatial Reference Center, the States of Mississippi, North

Carolina, South Carolina, Wisconsin, Texas, Kentucky, Alabama and Washington. In addition to these states, a grant is planned for FY 2006 to Arizona. For FY 2006 funding was not received to continue grant activities in Louisiana and Washington. Sponsored a meeting of experts to discuss models used in the Prince William Sound Science Center (PWSSC) for a Nowcast-Forecast System (NFS). The proposed project will complete the implementation of a Prince William Sound (PWS) Nowcast-Forecast Information System (PWS NFS) in Prince William Sound. Focus areas are 1) integration and coupling of ongoing modeling efforts at a new and enhanced computing and communications environment at the PWSSC/Oil Spill Recovery Institute (OSRI) in Cordova, Alaska; 2) development of new information products for users in a variety of media including new Internet web pages; and 3) expansion of near real-time observational network elements in support of the model and user requirements. Examples of funded projects are more observation systems and experimentation to test models. The Joint Hydrographic Center has developed several new technologies for ocean mapping and hydrographic surveying that will greatly increase the quality and productivity of hydrographic surveying and ocean mapping in the United States. In particular, new techniques for multibeam sonar data processing will have major positive impacts. 3-D visualization techniques have been developed and demonstrated that will greatly improve the study of whale behavior below the surface.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. For JHC, allowable cost will be determined in accordance with OMB Circular A-21 for institutions of higher education.

Regional or Local Office:

Not applicable. Joint Hydrographic Center, University of New Hampshire, Durham, NH 03824. Telephone: (603) 862-4559.

Headquarters Office:

Grant Program Office/Geodetic Service Division, National Geodetic Survey, National Ocean Service, National Oceanic and Atmospheric Administration, Silver Spring, MD 20910. Telephone: (301) 713-3228. For JHC, not applicable.

Web Site Address:

<http://www.ngs.noaa.gov/>, for the JHC: <http://chartmaker.ncd.noaa.gov/>

RELATED PROGRAMS:

11.003, Census Geography.

EXAMPLES OF FUNDED PROJECTS:

Projects involving applications of the NSRS. The Joint Hydrographic Center.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected by Congress. The State, local, municipal, universities and/or regional agency designated to conduct the project is specified. The Joint Hydrographic Center was established by funding specifically designated by Congress. The University designated to conduct the project is specified.

11.405 ANADROMOUS FISH CONSERVATION ACT PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE AND FISH AND WILDLIFE SERVICE,
DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Anadromous Fish Conservation Act of 1965, Public Law 89-304, as amended, 16 U.S.C. 757a. through f., as amended; Reorganization Plan No. 4, 1970.

OBJECTIVES:

To cooperate with the States and other nonfederal interests in the conservation, development, and enhancement of the nation's Anadromous fish stocks and the fish in the Great Lakes and Lake Champlain that ascend streams to spawn, and for the control of sea lamprey.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds can be used for spawning area improvement, installment of fish ways, data collection, construction of fish protection devices and hatcheries, and research to improve management and increase Anadromous fish resources. Funds cannot be used for law enforcement, public relations, or construction of facilities and vessels, the primary purpose of which is to commercially harvest, handle, and process fishery products. In addition, funds cannot be used for projects in the Columbia River Basin, with the exception of the state of Idaho.

Applicant Eligibility:

Any interested person or organization may propose a cooperative undertaking. However, all proposals must be coordinated with and submitted through the State fishery agency having responsibility for the resource to be affected by the

proposal.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proposal with statement of work and budget estimate accompanied with SF-424.

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. Applications must be coordinated with the State fishery agency having responsibility for the resource affected by the proposal. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional office and processed by the Grants Management Division, Office of Administration, NOAA.

Deadlines:

Project applications should be submitted 90 days in advance of desired effective date.

Range of Approval/Disapproval Time:

75 days or less.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The Federal share of a project cost shall usually not exceed 50 percent. However, projects supporting an interstate Fishery Management Plan, the Federal share may be up to 90 percent. Real and personal property owned or controlled by a cooperator may be used in lieu of matching funds. Such real and personal property must be directly related to the work involved and must be an appropriate cost item of the project. Property may be used as matching funds until the total appraised value is exhausted.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the REPORTING PERIOD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever has the latest end date.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 est \$1,900,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$400,000. Average: \$40,000.

PROGRAM ACCOMPLISHMENTS:

Northeast Region: AFC work was conducted on the following projects: Connecticut Anadromous Fish Investigations; Atlantic Sturgeon in the Delaware River; Restoration of American Shad and River Herring in the Androscoggin River; A Study of the Striped Bass in the Marine District of New York State; Juvenile Striped Bass Fund; and Age and Time Specific Estimates of Natural

and Fishing Mortality Rates for Striped Bass (Virginia).

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 401; available from Director, Office of Sustainable Fisheries (F/SF), National Marine Fisheries Service, 1315 East West Highway, Silver Spring, MD 20910, or from regional offices without cost. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

Potential applicants should make initial contact relative to this program at the Regional Office of the National Marine Fisheries Service (NMFS) that has jurisdiction over the geographic area that the project is proposed for. Alaska: Alaska Regional Office, Shawn Carey, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. E-mail: shawn.carey@noaa.gov. Northeast: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service, Northeast Region, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349. Telephone: (206) 526-6115 or 6113. E-mail: Kevin.ford@noaa.gov, or Stephen.freese@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701, Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562)980-4239. Fax: (562)980-4047. E-mail: trisha.culver@noaa.gov.

Headquarters Office:

Headquarters Office Program Contact: Director, Office of Sustainable Fisheries, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910, Telephone: (301) 713-2334. Fax: (301)713-0596. E-mail: anne.lang@noaa.gov. Web Site Address: http://www.nmfs.noaa.gov/sfa/state_federal/state_federal.htm.

Web Site Address:

Southeast Region: <http://sero.nmfs.noaa.gov/grants/grants.htm> Northeast Region: <http://www.nero.noaa.gov/StateFedOff/> Northwest Region: <http://www.nwr.noaa.gov/>.

RELATED PROGRAMS:

11.407, Interjurisdictional Fisheries Act of 1986; 11.417, Sea Grant Support.

EXAMPLES OF FUNDED PROJECTS:

Research projects to determine population characteristics and monitor catch, escapement and production levels of Anadromous species, construction projects to build or modernize hatcheries, operation and maintenance of hatcheries, fish screens and fish ways, tagging studies, data collection, etc. Northeast Region: AFC work was conducted on the following projects: Connecticut Anadromous Fish Investigations; Atlantic Sturgeon in the Delaware River; Restoration of American Shad & River Herring in the Androscoggin River; A Study of the Striped Bass in the Marine District of New York State: Juvenile Striped Bass Fund; and Age and Time Specific Estimates of Natural and Fishing Mortality Rates for Striped Bass (Virginia). Southeast Region: Research continues to determine status of striped bass population in Mississippi, the collection of fishery-dependent data for American shad in South Carolina, and alosid management in the Albemarle Sound area. Southwest Region: Salmon and steelhead research, management and enhancement. Alaska Region: Salmon Research and Management projects conducted by the Alaska Department of Fish and Game and University of Washington.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged on the degree to which they contribute to the purpose of the Act, expected results and benefits, soundness of scientific approach, cost effectiveness, and other factors.

11.407 INTERJURISDICTIONAL FISHERIES ACT OF 1986

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Interjurisdictional Fisheries Act of 1986, Public Law 99-659, Title III, 16 U.S.C. 4106, as amended.

OBJECTIVES:

To assist States in managing interjurisdictional fisheries resources.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds can be used for research and enforcement of interjurisdictional fishery resources, for the development of Fishery Management plans, and for restoration

of resources damaged by a natural resource disaster.

Applicant Eligibility:

The agency of a State government authorized under its laws to regulate commercial fisheries, and the Pacific, Atlantic, and Gulf Interstate Marine Fisheries Commissions.

Beneficiary Eligibility:

The agency of a State government authorized under its laws to regulate commercial fisheries.

Credentials/Documentation:

The Governor of each State shall notify the Secretary of Commerce which State agency is authorized to regulate commercial fisheries. An official of the State agency shall certify as the official(s) authorized in accordance with State law to commit the State to participation under the Act, to sign project documents, and to receive payments. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by 15 CFR Part 24, Grants and Cooperative Agreements to State and Local Governments, must be used for this program.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications reviewed by the National Marine Fisheries Service Regional Program Office and processed by the NOAA Grants Management Division (OA32).

Deadlines:

Project application should be submitted 90 days in advance of desired effective date.

Range of Approval/Disapproval Time:

From 75 days or less.

Appeals:

No formal appeal procedure. If application is unacceptable the reasons are fully stated to the applicant. If the applicant desires to resubmit application, project must be revised in accordance with recommended changes.

Renewals:

No renewal. However, an approved on-going project can be extended by submission of an amendment request.

Formula and Matching Requirements:

Apportionment to States is based on average value and volume of raw fish landed by domestic commercial fishermen. No State that qualified for funding may receive less than 1/2 of 1 percent or more than 6 percent of funds appropriated in any fiscal year based on conditions of the act. Federal funding is not to exceed 75 percent of approved project costs, except where a project supports an approved interstate or Federal Fishery Management Plan, up to 90 percent Federal funding may apply. The statistical factors used for fund allocation are: (1) Three year average of value of commercial fish landed, the source is the "Fishery Statistics of the U.S."; and (2) volume of fish landed, the source is the "Fishery Statistics of the U.S." No matching requirements for commission grants.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the six-month period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the

three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$3,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$12,000 to \$250,000. Average: \$62,522.

PROGRAM ACCOMPLISHMENTS:

Sample in the Northeast include: Continuation of controlling of non-indigenous species in the Great Lakes; monitoring of American lobster, horseshoe crab and blue crab population off Long Island Sound, and documentation and quantification of alosids utilizing fish passage ways.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253 available from Director, Office of Sustainable Fisheries (F/SF), National Marine Fisheries Service, 1315 East West Highway, Silver Spring, MD 20910. or from regional offices without cost.

Regional or Local Office:

Potential applicants should make initial contact relative to this program at the region or area offices of the National Marine Fisheries Service listed. Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. E-mail: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: grants.information@noaa.gov. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349. Telephone: (206) 526-6115 or 6113). E-mail Kevin.Ford@naoo.gov or Stephen.Freese@noaa.gov. Pacific Islands Region: Scott Bloom or Dan Namur, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. E-mail: scott.bloom@noaa.gov or dan.namur@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324.. Fax: (727) 824-5364 E-mail: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (310) 980-4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov.

Headquarters Office:

Program Contact: Director, Office of Sustainable Fisheries, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2334. Fax: (301) 713-0596. E-mail: anne.lange@noaa.gov. Web Site Address: http://www.nmfs.noaa.gov/sfa/state_federal/state_federal.htm.

Web Site Address:

Southeast Region: <http://sero.nmfs.noaa.gov.grants.htm>. Northeast Region: <http://www.nero.noaa.gov/sttedefoff/>. Northwest Region: <http://www.nwr.noaa.gov/lsusfsth>. Pacific Islands Region: <http://swr/nmfs.noaa.gov/pir/index.htm>

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.417, Sea Grant Support; 11.474, Atlantic Coastal Fisheries Cooperative Management Act

EXAMPLES OF FUNDED PROJECTS:

Fisheries monitoring, assessment and evaluation, extension services, enforcement, construction, collection, compilation and evaluation of fisheries statistics, aquaculture experiments, etc. Northeast: Unassessed Catch and Harvest of Nearshore Species in IL Waters of Lake Michigan, A Partnership Approach for Controlling non-indigenous Aquatic species in the Great lakes, Monitoring of the American Lobster Resource Fishery in New Hampshire, Fishery Dependent Monitoring of the American Lobster, Horseshoe Crab and Blue Crab populations in the Marine District of Long Island Sound, Documentation & Quantification of Alosids Utilizing Fish Passage Facilities & Collection of Biological Data on Adult American Shad, Rhode Island Lobster Research and Management Project, and Great Lakes Commercial Fisheries Licensing and Harvest Statistics Information Systems. Southeast: Development, monitoring, implementation and revision of fishery management plans for blue crab, menhaden, striped mullet, shrimp, striped bass, oyster, black drum, red drum, etc. Research addressing stock assessment, stock identification, stock status, life history characteristics, and gear impacts has continued to provide valuable information for effective management of important marine resources. Commercial landings statistics and biostatistics provide vital data for the management of Caribbean resources. Southwest Region: Monitoring and Management of California Commercial Coastal Pelagic Species. Alaska Region: Determine domestic groundfish landings; Collect biological data on key species; continue longline, dinglebar troll and trawl logbook programs; Conduct resource assessment surveys; implement closures for fisheries in state waters and state-managed fisheries in federal waters as needed. The Pacific Island Regional Office in Hawaii: includes grants to Guam, CNMI, Hawaii, American Samoa.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.408 FISHERMEN'S CONTINGENCY FUND

(Title IV)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Outer Continental Shelf Lands Act Amendments of 1978, Title IV, Section 402.

OBJECTIVES:

To compensate U.S. commercial fishermen for damage/loss of fishing gear and 50 percent of resulting economic loss due to oil and gas related activities in any area of the Outer Continental Shelf.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Applicant must be a U.S. commercial fisherman.

Beneficiary Eligibility:

U.S. Commercial fishermen.

Credentials/Documentation:

Financial statements, records, logbooks, receipts, and estimates which establish that the applicant is a fisherman and owned the property for which compensation is claimed.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit applications to Chief, Financial Services Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Award Procedure:

Review and approval by Financial Services Division, NMFS.

Deadlines:

Ninety day statutory filing period for claims. Presumption of causation allowed if reported within 15 days after the vessel first returns to port from the trip of the casualty.

Range of Approval/Disapproval Time:

For two months.

Appeals:

Assistant Administrator, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

None.

Account Identification:

13-5120-0-2-376.

Obligations:

FY 07 \$175,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$500 to \$54,000. Average: \$6,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2006 and 2007, 25 claims are estimated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from Chief, Financial Services Division, National Marine Fisheries Service. 43 U.S.C. 1841 et seq; 50 CFR Part 296.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Chief, Financial Services Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2396. Fax: (301) 713-1306. E-mail: Charles.Cooper@noaa.gov.

Web Site Address:

http://www.nmfs.noaa.gov/mb/financial_services/fcf.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.413 FISHERY PRODUCTS INSPECTION AND CERTIFICATION

(Inspection and Grading of Fishery Products)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Agricultural Marketing Act of 1946, Public Law 79-733, 7 U.S.C. 1624-1627;
Fish and Wildlife Act of 1956, as amended, Public Law 84-1024, 16 U.S.C.
742(a)-742(j); Reorganization Plan No. 4, 1970.

OBJECTIVES:

To ensure that the hygienic aspects of seafood harvesting and processing operations meet the minimum public health requirements and to determine and certify the identity, condition, and distributing quality, and quantity of seafood products.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The voluntary inspection, grading and certification of seafood is made at the processing plant, or at other locations depending upon the inspection services desired. Funds are derived from fees charged for the services provided.

Applicant Eligibility:

Any individual, Federal, State, county, or municipal agencies, or carrier having a financial interest in the commodity.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Applicants must stipulate that they have a financial interest in the product. Applicants for in-plant inspection service must furnish a surety bond or other guarantee of payment. Applicants for other types of services may be required to furnish a surety bond or make payment prior to the receipt of services.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants for in-plant inspection services must do so in writing to the nearest regional inspection office. Requests for other types of services may be made orally or in writing to the Regional Inspection Office.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$18,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

As of December 2004, over 325 official establishments were under contract inspection. Over 175 of those contract establishments participate in the voluntary NMFS HACCP quality management program.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter II, Parts 260 to 267; Inspection Services Brochure, USDC approved list of fish establishments and products, free. Also available on the Internet at <http://seafood.nmfs.noaa.gov/>. Service fees are established annually.

Regional or Local Office:

None.

Headquarters Office:

Inspection Services Division, National Marine Fisheries Services, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2355. Toll free: (1-800) 422-2750.

Web Site Address:

<http://seafood.nmfs.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.415 FISHERIES FINANCE PROGRAM**FEDERAL AGENCY:**

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

46 U.S.C. 1271 et seq., 50 CFR 253.

OBJECTIVES:

Provides direct loans for certain fisheries costs. Vessel financing available for the purchase of used vessels or the reconstruction of vessels (limited to reconstructions that do not add to fishing capacity). Refinancing available for existing debt obligations. FFP loans are not issued for purposes which could contribute to over capitalization of the fishing industry. Finance or refinance fisheries shore side facilities or Aqua cultural facilities. Provides Individual Fishing Quota (IFQ) financing (at the request of a Fishery Management Council). IFQ financing available at this time to first time purchasers and small vessel operators in the Halibut Sablefish fisheries. Provides financing for Community Development Quota groups fisheries investments in the Bering Sea Aleutian Islands Pollock fishery. Provides long term fishery buy back financing (at the request of a Fishery Management Council or Governor) to purchase and retire fishing permits and/or fishing vessels in overcapitalized fisheries.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Direct loans for up to 80 percent of actual cost, for reconstruction or reconditioning of fishing vessels and renovation, repair or construction of fisheries shore side facilities (including aquaculture facilities). Maximum maturity up to 25 years. Funds from this program are not used for purposes which contribute to over capitalization of the fishing industry.

Applicant Eligibility:

Applicant must possess the ability, experience, financial resources, and other qualifications necessary to operate successfully and repay the debt.

Beneficiary Eligibility:

Commercial fishermen, processors or distributors of fishery products.

Credentials/Documentation:

Financial statements, records, business history, and tax returns.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Maximum term is 25 years. Financing based on the economic useful life of the asset financed.

Reports:

Annual Financial Statements and associated financial information as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Agency has the right to inspect all relevant financial documents and records.

Account Identification:

13-4417-0-3-376.

Obligations:

FY 07 \$59,000,000 (traditional), \$5 million (QS); FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$100,000,000. Average: \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Direct traditional loans: 31 loans for \$59 million. QS loans: 27 loans for \$5 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Charles Cooper, Acting Chief, Financial Services Division, National Marine Fisheries Service, Department of Commerce, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713- 2390. Fax: (301) 713-1306. E-mail: earl.bennett@noaa.gov.

Web Site Address:

http://www.nmfs.noaa.gov/mb/financial_services/ffp.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Vessel loans, aquaculture, Quota share, buyback.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.417 SEA GRANT SUPPORT

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Sea Grant College Program Improvement Act of 1976, Public Law 94-461, as amended, 33 U.S.C. 1121 et seq., as amended, Public Law 100-220; National Sea Grant College Program Act, Title III

OBJECTIVES:

To support the establishment and operation of major university centers for marine resources research, education, and training and to support marine advisory services. Some individual efforts in these same areas also receive funding.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for research and development, education and training, and advisory services. Coherent area, institutional and Sea Grant College support is provided to some institutions. Grant money cannot be used to purchase, or construct ships or facilities.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories; any public or private corporation, partnership, or other association or entity; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with professional interest in marine affairs.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Made in a proposal to Headquarters Office or local Sea Grant program fully documenting need for grant and proposed amount. This program is excluded from coverage under OMB Circular No. A- 102.

Award Procedure:

Awards are made competitively on the basis of proposal evaluation.

Deadlines:

Vary, RFPs listed in Federal Register.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

None.

Renewals:

By negotiation with Sea Grant Office.

Formula and Matching Requirements:

At least one-third of total cost must be obtained from nonfederal sources. Projects designed to meet specified national needs may be supported up to 100 percent.

Length and Time Phasing of Assistance:

None.

Reports:

Financial status reports and performance reports required quarterly. Final reports are required at the end of the support period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$51,250,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$3,900,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 600-635; Literature: Suggestions for Submission of Proposals and Administration of Grants, National Sea Grant Program (no charge). Federal Register Vol. 43, No. 70 dates April 1978 and Vol. 43, No. 153 dated August 8, 1978, Part III, Title 15, Chapter IX, Part 917. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director, National Sea Grant College Program, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2448.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.407, Interjurisdictional Fisheries Act of 1986; 11.419, Coastal Zone Management Administration Awards; 11.420, Coastal Zone Management Estuarine Research Reserves; 11.430, Undersea Research; 20.806, State Maritime Schools; 20.807, U.S. Merchant Marine Academy; 47.050, Geosciences.

EXAMPLES OF FUNDED PROJECTS:

Cardiovascular, Anticancer, and Central Nervous System Drugs from Marine Organisms; Marine Fouling and Corrosion in seawater; Fisheries Oceanography; Population Dynamics; Fish Habitat relationship; Marine Finfish and shellfish aquaculture; Effect of pollutants on marine resources and Ecosystems; Seafood quality and safety; and coastal erosion.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged on the degree to which they contribute to the purposes of the Act, along with their relevance to the oceans, Great Lakes, and the marine environment. They are also judged on expected results and benefits, soundness of scientific approach, cost effectiveness, and other factors.

11.419 COASTAL ZONE MANAGEMENT ADMINISTRATION AWARDS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Coastal Zone Management Act of 1972, Section 305, Section 306, Section 309, and Section 6217, Public Law 92-583, 16 U.S.C. 1451 et seq.; Coastal Zone Management Act Amendments of 1976, Section 306, Public Law 94-370; Coastal Zone Management Act Amendments of 1980, Public Law 96-464; Coastal Zone Management Act Amendments of 1984, Public Law 98-620; Coastal Zone Management Act Amendments of 1986, Public Law 99-272; Coastal Zone Act Reauthorization Amendments of 1990, Public Law 101-508;

OBJECTIVES:

To assist States in implementing and enhancing Coastal Zone Management and related programs that have been approved by the Secretary of Commerce.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreements may be used only to implement and enhance the States' approved Coastal Zone Management programs. This includes personnel salaries, travel and other related costs required to support the administration of the program. Ten to twenty percent of Section 306 funds are available annually to develop new program requirements under Section 309 in the areas of coastal wetlands management and protection; natural hazards management (including potential sea and Great Lakes level rise); public access improvements; reduction of marine debris; assessment of cumulative and secondary impacts of coastal growth and development; special area management planning; impacts of coastal growth and development; special area management planning; ocean resource planning; and citing of coastal energy and Government facilities. and program development grants as authorized by section. Section 310 can be used to provide grants for technical assistance and to address coastal issues such as Coastal Nonpoint Source Pollution Program Implementation and Coral Reef conservation activities. CELP can be used to provide grants for protecting important coastal and estuarine areas that have significant conservation, recreation, ecological, historical, or aesthetic values, or that are threatened by conversion from their natural or recreational state to other uses (16 USC 33 Section 1456d).

Applicant Eligibility:

Any coastal State, including those that border the Great Lakes, and including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce. The Governor shall designate the State agency or entity that is to be the applicant.

Beneficiary Eligibility:

Any coastal State, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce.

Credentials/Documentation:

Letter from Governor designating the applicant. Proposal with statement of work and budget estimate. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.gov. The Application for Federal Assistance (Non-construction Programs) SF-424 is to be submitted in original and two copies. This program is subject to provisions of 15 CFR Part 24 for State and local governments. CELP and Coral Reef conservation awards are competitively selected through a peer review process.

Award Procedure:

Applications are approved by the Office of Ocean and Coastal Resource Management.

Deadlines:

Applications should be submitted 180 days prior to the beginning date of the grant.

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

No formal procedure. If application is unacceptable the applicant is fully informed and applicant may revise application.

Renewals:

Continuation grants on an annual basis are available. Individual grants may be extended, however, all funds must be obligated within the fiscal year following the fiscal year of the original award.

Formula and Matching Requirements:

A percentage of the total project cost which varies by fiscal year, must be provided by the applicant. Federal funds from other sources cannot be used to match. Awards must be not less than one percent of the amount appropriated each fiscal year. Eighty to ninety percent of awards are allocated by formula. The statistical factors used for fund allocation are: (1) Population in counties

within the state's legally defined coastal zone, and the source is the 2000 Decennial Census; and (2) miles of coastal shoreline and the source is "The Coastline of the United States," NOAA. The remaining funds are allotted by cooperative criteria established annually.

Length and Time Phasing of Assistance:

Grants are normally made for 18 months. Funds are released as required.

Reports:

Financial status reports and performance reports are required semi-annually. Final financial status reports are required within 90 days of the award ending date.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13x1450; 1306/071450; 1306/081460.

Obligations:

(Grants) FY 07 \$73,546,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$300,000 to \$2,000,000; \$1,300,000 average per financial assistance award.

PROGRAM ACCOMPLISHMENTS:

Thirty-four coastal States and U.S. island territories, covering 99 percent of U.S. coastline, participate in this voluntary partnership. The nature and structure of CZM programs vary widely from State to State. Some States have passed comprehensive coastal management legislation while others have used existing land use legislation.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 923. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Coastal Programs Division, Office of Ocean and Coastal Resource Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, 11th Floor, Silver Spring, MD 20910. Telephone: (301) 713-3155. Use the same number for FTS.

Web Site Address:

<http://coastalmanagement.noaa.gov/czm/>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.420, Coastal Zone Management Estuarine Research Reserves.

EXAMPLES OF FUNDED PROJECTS:

Coastal management programs have been actively involved in protecting wildlife and fisheries habitats, and regulating land use impacts on water quality. In addition, coastal programs have taken the leadership of nationwide beach clean-ups; marshes are protected in South Carolina and development along the State's 2,876 mile shoreline is now subject to pollution and storm water guidelines; CZM studies led to State bonds to rebuild coastal fishing piers and facilities; in California, the permit for a marina was conditioned to provide at least 80 percent of berthing for commercial fishing vessels, thus assuring them a permanent base. Coastal land valued acquired for public access in the ten States and territories cited; land obtained for recreation in California, Massachusetts, and Guam; access ways to the water have been designated in Rhode Island and California.

CRITERIA FOR SELECTING PROPOSALS:

While 80 to 90 percent of the appropriated funds are allocated to States through a formula based on coastal population and shoreline mileage, the tasks in the State application are reviewed for relevance to program objectives and cost effectiveness. The remainder of the funds are allocated according to criteria published by the Coastal Program Division. CELP and Coral Reef funds are distributed through a competitive process, subject to availability of funds for competitive awards.

11.420 COASTAL ZONE MANAGEMENT ESTUARINE RESEARCH RESERVES

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Coastal Zone Management Act of 1972, Section 312, Public Law 92-583, 16 U.S.C. 1451 Seq.; Coastal Zone Management Act Amendments of 1987, Section 315 (1), Public Law 94-370; Coastal Zone Management Act Amendments of 1980, Public Law 96-464; Coastal Zone Management Act Amendments of 1984, Public Law 98-960; Coastal Zone Act Reauthorization Amendments of 1990, Public Law 101-508.

OBJECTIVES:

To assist States in the development, acquisition, monitoring, research, education, operation and facilities construction for National Estuarine Research Reserves for the purpose of creating natural field laboratories to gather data and make studies of, and educate people about the natural and human processes occurring within the estuaries of the coastal zone.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may be used to cover the costs of land acquisition, development, research, education, operation and facilities construction for National Estuarine Research Reserves. Development and operation costs may include the administrative expenses necessary for implementing reserve programs. Grants are available to states for education projects at reserves. Competitive research grants are also available.

Applicant Eligibility:

Land acquisition, development, operation, and facilities construction grants are available to any coastal State and, in some cases, public or private person, including those bordering the Great Lakes. For the purposes of the Coastal Zone Management Act, the coastal State also applies to Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Governor shall designate the State agency or entity to be the applicant. Competitive research grants are available to any coastal State or qualified public or private person. Education grants are available to any coastal State entity.

Beneficiary Eligibility:

Any coastal State including those bordering the Great Lakes, as well as Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands are eligible for reserve operation, land acquisition, or construction awards where a reserve has been designated and for development awards for designating new reserves. Also qualified students are eligible to apply for research fellowship grants.

Credentials/Documentation:

(1) For development grants: A letter from the Governor designating the lead agency for new reserve development; (2) for competitive research grant proposals which do not come from the lead agency: A letter from the reserve manager indicating formal support of the application by the lead agency; (3) for reserve operations, land acquisition, and construction: An application from the reserve lead agency or its designee. For all grants, a statement of work and budget estimate must be submitted. Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." If a State or local government is the provider of matching funds, an applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.gov. For development (construction) awards, the Application for Federal Assistance (For Construction Programs) SF-424, as furnished by NOAA is to be submitted in original plus two copies. For operation and management, education and research, acquisition and non-construction development awards, the application for Federal assistance (For Non-Construction Programs) SF-424 is to be used. This program is subject to the provisions of 15 CFR Part 24 for State and local governments.

Award Procedure:

Applications are approved by the Office of Ocean and Coastal Resource Management and Grants Management Division.

Deadlines:

Preapplications and applications should be submitted 150 and 120 days respectively prior to grant beginning date.

Range of Approval/Disapproval Time:

From 90 to 150 workdays.

Appeals:

No formal procedure. If application is unacceptable the applicant is fully informed and may revise application.

Renewals:

Not applicable.

Formula and Matching Requirements:

Range from 0 to 50 percent of the total project cost provided by the applicant depending upon the type of project. The Federal share for each reserve shall not exceed \$5,000,000 for acquisition of lands, waters or interests therein, unless using Congressionally-directed funds.

Length and Time Phasing of Assistance:

Generally, 18 months. Land acquisition and construction may be longer. Funds are released as required.

Reports:

All financial status reports and performance reports are required semi-annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306; 13-1460-0-1-306.

Obligations:

(Grants) FY 07 \$23,178,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$20,000 to \$6,000,000. Average: \$300,000.

PROGRAM ACCOMPLISHMENTS:

By the end of fiscal year 2005, there were 26 designated estuarine research reserves.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 921 National Estuarine Research Reserve System Program Regulations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Estuarine Reserves Division, Office of Ocean and Coastal Resource Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, N/ORM5, 10th Floor Silver Spring, MD 20910. Telephone: (301) 713-3155.

Web Site Address:

<http://www.nerrs.noaa.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.419, Coastal Zone Management Administration Awards; 11.429, Marine Sanctuary Program.

EXAMPLES OF FUNDED PROJECTS:

Generic projects/activities funded under the National Estuarine Research Reserve program consist of: acquisition of lands to be included in the reserve; site selection procedures and management plan development; construction projects; operation of the reserve; and resource stewardship, research, and educational activities.

CRITERIA FOR SELECTING PROPOSALS:

There are five types of awards to coastal States under the National Estuarine Research Reserve Program: (1) land acquisition; (2) development; (3) operation, education, monitoring; (4) research; and (5) facilities construction. Detailed criteria for the State's development of these awards and criteria for reviewing each award are provided in the regulations for the National Estuarine Research Reserves Program (15 CFR Part 921). Final regulations were published in the Federal Register, July 15, 1993.

11.426 FINANCIAL ASSISTANCE FOR NATIONAL CENTERS FOR COASTAL OCEAN SCIENCE

FEDERAL AGENCY:

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act of 1972, Title II, Section 201 and 202, Public Law 92-532; National Ocean Pollution Planning Act of 1978, Section 6, Public Law 95-273; Executive Order 13158.

OBJECTIVES:

To determine the long-term consequences of human activities which affect the coastal and marine environment; to assess the consequences of these activities in terms of ecological, economic, and social impacts upon human, physical and biotic environments, and to define and evaluate management alternatives which minimize adverse consequences of human use of the coastal and marine environments and resources. Executive Order 13158 directs Federal agencies to work collaboratively with public and private interests to develop a science-based framework for the national system of marine protected areas (MPAs). To insure compliance with this order, NCCOS is intending to conduct an integrated assessment (IA) examining the impacts of various marine resources uses on sustainable fisheries, biodiversity, and submerged cultural artifacts and looking at how a network of MPAs can be used to manage those impacts. The assessment is intended to provide scientific information that can be used to evaluate the existing system, evaluate options for future potential action, and identify gaps in our understanding of this issue. The IA will give stakeholders in the area a process for evaluating alternative methods of implementing E.O. 13158 and a product that 1) identifies objectives and priorities for marine protection and habitat enhancement, 2) outlines agreed-upon actions and implementation plans to achieve marine protection and habitat enhancement benefits, 3) serves as a tool for subsequent IAs, and 4) maintains or improves ecosystem health and the health of high priority, at-risk species. This assessment will be conducted in collaboration with NOAA's MPA Center, National Marine Sanctuaries (NMS), Non-Governmental Offices (NGO) and academic partners. Integrated assessments serve as a formal bridge between science and management to integrate the best existing scientific information for use by coastal managers. Integrated assessments 1) document status and trends of ecosystem and cultural resource conditions, 2) relate those trends to their environmental and economic causes and consequences, 3) predict outcomes of alternative management actions, and 4) review means to implement those alternatives. A successful IA is responsive to policy-relevant questions, based on peer review and public participation, broadly integrated and synthetic, based on high-quality existing information, and predictive.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories, State and local government agencies, public or private profit or nonprofit entities or individuals.

Beneficiary Eligibility:

Organizations and individuals with demonstrated professional expertise in determining, assessing and portraying the temporal and spatial distribution of chemical contaminants and their impact upon physical, biotic and human environments, particularly coastal ecosystems. With regard to the IA competitive program element, organizations and individuals with interests in a national system of marine protected areas (MPAs).

Credentials/Documentation:

None. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation (FAR) Subpart 31.2, Contracts with Commercial Organizations, codified at 48 CFR 31.2.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A standard application form (SF-424) should be submitted with a statement of work and estimated budget to the National Ocean Service, National Centers for Coastal Ocean Science (N/SCI), 1305 East-West Highway, Silver Spring, MD 20910. This program is subject to the requirements of CFR Part 14, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit and Commercial Organizations, which replaces OMB Circular No. A-110 as the administrative rules applicable to these awards. In addition, this program is subject to the requirements of 15

CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments", which replaces OMB A-102 as the administrative rule applicable to these awards. Applicable administrative requirements and cost principles are identified in each award and are incorporated into the award by reference. Proposals are submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the National Centers for Coastal Ocean Science Office. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluation. For the IA competitive program element, awards are made on the basis of competitive peer review of proposals.

Deadlines:

No specific deadlines for proposal submission. Proposals will be reviewed several times a year. For the IA competitive program element, proposals must be submitted in accordance with instructions published in the Federal Register notice. The deadline date will be at least thirty days after the date of publication in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 210 days from the time of proposal receipt.

Appeals:

None.

Renewals:

By application to the National Centers for Coastal Ocean Science, 1305 East-West Highway, Silver Spring, MD 20910. Attn: Gary Matlock.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. Funds are released as required.

Reports:

Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement (OMB Circular No. A110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments). The grantee must retain records for three years from the date when the final expenditure report is submitted

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$1,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$20,000 to \$550,000. Average: \$250,000.

PROGRAM ACCOMPLISHMENTS:

Accomplishments vary from year to year based on the specific mix of cooperative agreements actually funded. Programs under this CFDA conduct ecosystem and targeted research studies on Great Lakes and marine ecosystems to understand, describe, monitor, assess, model and predict the response of these systems to natural and human induced changes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

None.

Headquarters Office:

National Ocean Service, National Centers for Coastal Ocean Science (N/SCI), 1305 East-West Highway, Silver Spring, MD 20910. attn: Gary Matlock.

Web Site Address:

<http://www.nccos.noaa.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support.

EXAMPLES OF FUNDED PROJECTS:

Assessments of estuarine and marine contaminants, harmful algal blooms, habitats, and natural resources, as they pertain to coastal ecosystems health and

biodiversity. Development of a data set of characteristics of the Nation's coasts and oceans including erosion rates, coastal vulnerability indices and coastal hazards for incorporation into a geographic Information System and other microcomputer desktop information systems for further analysis.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants/cooperative agreements, criteria for selecting proposals is contained in the individual program solicitation. For non-competitive grants, applications received by NCCOS are reviewed for completeness, accuracy, and compliance with all program requirements. Final funding decisions will be constrained by the actual amount of agency funding available and changes in programmatic priorities.

11.427 FISHERIES DEVELOPMENT AND UTILIZATION RESEARCH AND DEVELOPMENT GRANTS AND COOPERATIVE AGREEMENTS PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Saltonstall-Kennedy Act, as amended, 15 U.S.C. 713c-3(c).

OBJECTIVES:

To increase greatly the Nation's wealth and quality of life through sustainable fisheries that support fishing industry jobs, safe and wholesome seafood, and recreational opportunities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Proposals must respond to funding priorities in the annual solicitation. Applicants should demonstrate an awareness of the fisheries resources in the region over which the resource extends as well as the issues/problems involving such fisheries. Issues/problems addressed in the project should be supported by industry experience.

Applicant Eligibility:

Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments, except that employees of Federal agencies, and Regional Fishery Management Councils and their employees, are not eligible.

Beneficiary Eligibility:

Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments.

Credentials/Documentation:

Proposal with statement of work and budget estimate. See annual solicitation published in the Federal Register for details. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should contact the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A listing of State single points of contact under E.O. 12372 is available at www.hhs.gov/grantsnet/lawsregs/eo.htm.

Application Procedure:

Submit Standard Form 424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are reviewed after the submission deadline in competition with all other proposals submitted. To the extent that funds are available, those proposals judged to best meet priorities are funded. Either grants or cooperative agreements will be awarded.

Deadlines:

Solicitation published once each fiscal year for which there is an allocation by the Congress for the program. Notice is published in the Federal Register; deadline is 60 days after date of publication.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

None.

Renewals:

Projects of up to 24-month durations will be considered. Proposals for

continuing work must be competed.

Formula and Matching Requirements:

By statute, the Federal share must be at least 50 percent of the total costs of the project. The requirement for cost-sharing varies from year to year as published in the solicitation. For example, for the FY 2002 solicitation, a 10 percent minimum cost share was required.

Length and Time Phasing of Assistance:

Grants are not to exceed 24 months. Financial status reports are completed and submitted.

Reports:

Semiannual progress and financial reports shall be due within 30 days after the end of the 6-month period. Final reports shall be submitted within 90 days after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an agreement shall be retained for a period of three years.

Account Identification:

13-5139-0-2-376.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

For costs principles, see OMB Circular No. A-87 (State and local governments) and OMB Circular No. A-122 for other recipients. For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

Northeast Region: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service, Northeast Region, One Blackburn Drive, Gloucester MA 01930-2298; Telephone: (978)281-9243; Fax: (978) 281-9117; Email: Harry.Mears@noaa.gov.

Headquarters Office:

Headquarters Office: Steve Aguzin, NOAA National Marine Fisheries Service, Financial Services Division, 1315 East-West Highway, Rm. 13134, Silver Spring, MD 20910 (301) 713-2358 x 215. Fax: (301) 713-1306. E-mail: Stephen.Aguzin@noaa.gov.

Web Site Address:

http://www.nmfs.noaa.gov/mb/financial_services/skhome.htm

RELATED PROGRAMS:

11.407, Interjurisdictional Fisheries Act of 1986; 11.417, Sea Grant Support.

EXAMPLES OF FUNDED PROJECTS:

Northeast Region: Safety Training for Commercial Fishermen. The primary goals of this project are to reach as many commercial fishermen as possible on a voluntary basis to provide them with hands-on safety and survival skills that will give them enough knowledge and experience to know what to do in case of emergencies.

CRITERIA FOR SELECTING PROPOSALS:

Significance of the issue addressed in the proposal, methodology proposed, responsiveness to published priorities, cost proposed, etc.

11.429 MARINE SANCTUARY PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act of 1972, Title III, Public Law 92-532, 16 U.S.C. 1431 et seq.; Marine Sanctuaries Amendments of 1984, Public Law 98-498; Marine Sanctuaries Amendments of 1988, Public Law 100-627; National Marine Sanctuaries Program Amendments Act of 1992, Public Law 102-587. National Marine Sanctuaries Preservation Act of 1996, Public Law 104-283; National Marine Sanctuaries Amendments Act of 2000, Public Law 106-513.

OBJECTIVES:

To identify areas of the marine environment of special national significance due to their resource or human-use values; to provide authority for comprehensive and coordinated conservation and management of these marine areas that will complement existing regulatory authorities; to support, promote, and coordinate scientific research on, and monitoring of, the resources of these marine areas; to enhance public awareness, understanding, appreciation, and wise use of the marine environment; and to facilitate, to the extent compatible with the primary objective of resource protection, all public and private uses of the resources of these marine areas not prohibited pursuant to other authorities; and to support organizations that provide environment-based education to students, teachers and communities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements are entered into for the purpose of conducting research and educational programs; for the solicitation of private donations for the support of such activities in the Marine sanctuary system; to conduct enforcement activities at Marine sanctuary sites; and for other activities to carry out the purposes of the National Marine Sanctuaries Act. Scholarships are provided for masters and doctorate level studies particularly by women and minorities who are pursuing degrees in Marine Biology, Maritime Archaeology and Oceanography.

Applicant Eligibility:

States, local governments, regional agencies, interstate agencies, k-through-12 public and independent schools and school systems, institutions of higher education, commercial and nonprofit organizations, Indian tribal Governments, or other persons. Agreements to solicit private donations may only be made with nonprofit organizations. Scholarships are awarded to individuals who are U.S. citizens and have been admitted to a masters or doctorate program for studies in Marine Biology, Maritime Archaeology, and Oceanography.

Beneficiary Eligibility:

Financial assistance is made for a public purpose. The ultimate beneficiary is the public. The scholarship program benefits individuals who do not have the financial means of pursuing graduate level studies and, in turn, ensures that women and minorities are representative in the areas supported by the scholarship program.

Credentials/Documentation:

Proposal with statement of work and budget estimate. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions and A-122 for nonprofit organizations.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is excluded from coverage under E.O. 12372. For the scholarships to individuals, there is no preapplication requirement.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. For cooperative agreements and competitive awards, the application package will be submitted directly to the National Marine Sanctuary Program. For scholarships, the application package will be submitted directly to the NOS Office of the assistant Administrator. This program is subject to the provisions of OMB Circular No. A-110, for institutions of higher education and other nonprofit organizations, and with 15 CFR Part 24 for State and local governments. Monterey Bay Watershed Education and Training Program Awards are competitively selected. The application process is described in the annual Federal Register Notice. For the scholarship program, the application process is described in the annual Federal Register Notice.

Award Procedure:

The cooperative agreement and competitive grants awards are approved by the National Marine Sanctuary Program. Scholarship awards are approved by NOS Associate Assistant Administrator for Management.

Deadlines:

Proposals should be submitted 120 days prior to the beginning date of the cooperative agreement. Competitive grant deadlines and scholarship deadlines are published in the annual Federal Register Notice.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

No formal procedure.

Renewals:

The National Marine Sanctuary Program may renew funding for existing grants that were funded in the previous application process. New grants may be awarded pending successful review of a new application package, and adequate progress reports and/or site visits.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements.

Length and Time Phasing of Assistance:

Awards are usually made for a 1 year period. Funds are released as required.

Reports:

Financial Status Reports and Performance Reports are required quarterly or semi-annually. For the scholarships, an annual Progress Report is required and a final Progress Report is required at the end of the award. For the competitive awards, Financial and Performance Reports are semi-annually and a comprehensive final Progress Report is required at the end of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$10,021,934; FY 08 est not available; and FY 09 est not reported. (Scholarships) FY 07 \$350,000; FY 08 est not available; and FY 09 est not reported. (Competitive awards) FY 07 \$1,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Cooperative Agreements: FY 2006 - \$200,000 to approximately \$3,940,000. Average: \$1,695,000. Scholarships: \$32,000. Competitive award: \$10,000 - \$50,000.

PROGRAM ACCOMPLISHMENTS:

There are currently 13 designated marine sanctuaries, and a 14th sanctuary site is in the designation process. Management Plan Reviews are underway at seven sites. In fiscal year 2004, the National Marine Sanctuary Program (NMSP) launched the Sanctuary Integrated Monitoring Network (SIMoN) that enables researchers to monitor the sanctuary effectively by integrating existing monitoring programs and identifying gaps in information; ground was broken on a new Maritime Heritage Center at Thunder Bay; working in conjunction with the NMS Foundation, a suite of outreach activities have been conducted to achieve the goals of increasing visibility and/or educational efforts related to the NMSP. National Science Teachers Association (NSTA) has completed and will present three Science Guides (formal education professional development tools) on corals, estuaries, and the effects of the ocean on weather and climate as well as a symposium for 80 middle and high school level educators on coral ecosystems in cooperation with NOAA in FY 06 The Monterey Bay Watershed Education and Training Program funded 10 grants and 2 cooperative agreements in FY 05 and a estimated 35 grants and 4 cooperative agreements in FY 06; and emergency response tools and capabilities were expanded across the program. Fourteen students were awarded scholarships in FY 04. Nine were continuing and 5 new awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 922. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

Regional or Local Office. Local Offices at each sanctuary.

Headquarters Office:

Headquarters Office Director, National Marine Sanctuary Program/NOS, 1305 East West Highway, Silver Spring, MD 20910. Maureen Warren (301) 713-3125 x251 and Matthew Stout (301) 713-3125 x273. Monterey Bay Watershed Education and Training Program: Seaberry Nachbar (831) 647-4204. For Scholarships: Chantell Haskins (301) 713-9437 x125, NOAA Education Office, OAR HQTR Route: R, Bldg SSMC3-Room 10742, 1315 East West Highway, Silver Spring, MD 20910-3282, E-mail: Chantell.Haskins@noaa.gov.

Web Site Address:

<http://www.sanctuaries.nos.noaa.gov/> <http://www.sanctuaries.nos.noaa.gov/bwet> For Scholarships: <http://www.fosterscholars.noaa.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.419, Coastal Zone Management Administration Awards; 11.420, Coastal Zone Management Estuarine Research Reserves; 11.457, Chesapeake Bay Studies; 11.473, Coastal Services Center.

EXAMPLES OF FUNDED PROJECTS:

Long-term management efforts at Fagatele Bay National Marine Sanctuary; Educational programming and interpretation to create greater public awareness of the significance of marine sanctuaries; building the infrastructure to bring live video from a sanctuary site to the American public; Jason Foundation to support an innovative science and technology education program for middle-school students and teachers; Co-sponsor National Geographic Society's Oceans for Life/Field Studies Project for teacher-student pairs at the Florida Keys and Channel Islands National Marine Sanctuaries. The second annual Alligator Symposium was held in Norfolk, VA on October 30-31, 2004. The Monterey Bay Watershed Education and Training Program awarded a grant to allow students to investigate the factors that influence the health of invertebrates by assessing the sand crab population and by measuring the environmental factors that impact the sand crab population at a local beach. Scholarships were awarded to graduate students studying Marine Biology, Oceanography, and Maritime Archeology.

CRITERIA FOR SELECTING PROPOSALS:

A set of ranking criteria was developed to establish the priority of proposed efforts funded through the National Marine Sanctuary Program. The criteria include: (1) importance of the information or transaction for sanctuary management decisions; (2) date of the available information or accomplishment for which funds are expended; (3) status of information or accomplishment; and (4) generic applicability of information or techniques receivable from effort. Criteria for scholarships include financial need, academic record, recommendations, organizational, analytical, and written communications skills based on the statement of intent, and research and career goals and objectives as described in the statement of intent. Criteria for Monterey Bay Watershed Education and Training Program competitive awards include: (1) Importance and/or relevance and applicability of proposal to the program goals; (2) Technical Merit; (3) Overall qualifications for applicants; (4) Project costs, and (5) Financial need of student.

11.430 UNDERSEA RESEARCH

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Coast and Geodetic Survey Act, Public Law 80-373; Outer Continental Shelf, Section 21(e), 1978.

OBJECTIVES:

To place man safely undersea to conduct research in support of National Oceanic and Atmospheric Administration (NOAA) and national science requirements.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for research and development and to purchase and/or lease technology in support of program goals.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories; any State, political subdivision of a State, or agency or office thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with professional interests in marine science engineering.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Cost will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions and A-122 for nonprofit organization.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Formal proposal to the headquarters office that documents fully the grant requirements and requested support with application for Federal Assistance (SF 424). This program is subject to the requirements of 15 CFR Part 24, Grants and Cooperative Agreements with State and Local Governments and OMB Circular No. A-110, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluation.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 90 to 150 days from time of proposal submission.

Appeals:

None.

Renewals:

By negotiation with the office of Undersea Research.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Financial status reports and performance reports are required quarterly. Final reports are required at the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain complete records for each grant to ensure funds are used pursuant to the statement of work.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$8,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$15,000 to \$2,225,000.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Symposia Series for Undersea Research; National Solicitation of Research Proposals; Annual Report. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Undersea Research, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, MD 20910.
Telephone: (301) 713-2427.

Web Site Address:

<http://www.nurp.noaa.gov/>.

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.407, Interjurisdictional Fisheries Act of 1986; 11.417, Sea Grant Support; 11.419, Coastal Zone Management Administration Awards; 47.050, Geosciences.

EXAMPLES OF FUNDED PROJECTS:

Nutrient Cycling and Primary Productivity of Marine Ecosystems; Recruitment Processes of Fish and Invertebrates; Diving safety and physiology research; submarine venting of liquids and gases.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the degree to which they contribute to the goals and objectives of NOAA along with their relevance to national science requirements for the marine environment, and the extent of expected benefits, scientific quality, cost effectiveness, and other factors.

11.431 CLIMATE AND ATMOSPHERIC RESEARCH

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Federal Aviation Act, as amended, 49 U.S.C. 4720; 33 U.S.C. 883d; Weather Service Organic Act, 15 U.S.C. 2904; National Climate Program Act, as amended, 15 U.S.C. 2931-2934.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate fluctuations and trends.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for research and development, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Institutions of higher education, other nonprofits, commercial organizations, international organizations, state, local and Indian tribal governments.

Beneficiary Eligibility:

Organizations and individuals with interests in meteorology, oceanography, and climate research and prediction.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 100 to 200 days from time of proposal receipt.

Appeals:

None.

Renewals:

By negotiation with Climate Program Office.

Formula and Matching Requirements:

Cost Sharing is only required in one program element competition which is the NOAA Climate Transition Program (NCTP) where the Cost Share Percentage must be at least 5 percent of the total costs. The other competitions have no cost sharing requirement.

Length and Time Phasing of Assistance:

Grant award period ranges one to five years with annual funding for one year.

Reports:

Annual performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$45,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$200,000. Average: \$85,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, approximately 65 new grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director, Climate Program Office, National Oceanic and Atmospheric Administration, 1100 Wayne Avenue, Suite 1200, Silver Spring, MD 20910. Telephone: (301) 427-2089.

Web Site Address:

<http://www.climate.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Numerical Weather Prediction using Global Weather Experiment data; (2) Prediction of Planetary Circulation; (3) Implementation of Sea Level Network in Indian Ocean; (4) Dynamical Forecast of El Nino/Southern Oscillation; and (5) Circulation of the S.W. Tropical Pacific Ocean.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to office research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.432 OFFICE OF OCEANIC AND ATMOSPHERIC RESEARCH (OAR) JOINT AND COOPERATIVE INSTITUTES

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

15 U.S.C. 313; 15 U.S.C. 2904; 15 U.S.C. 1540; 15 U.S.C. 2901 et seq.; 16 U.S.C. 753a; 33 U.S.C. 883d; 49 U.S.C. 44720(b); and 118 Stat. 71 (2004)(noted in 15 U.S.C. 1540).

OBJECTIVES:

To increase the effectiveness of research and the quality of education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Joint and Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004), authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) joint and cooperative institutes and use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement. It essentially authorizes "personal services" contract authority and the authority to procure services, equipment, and facilities under a financial assistance agreement as opposed to executing a procurement contract.

Applicant Eligibility:

University or nonprofit research institution usually located near a NOAA Research Laboratory or other NOAA facility.

Beneficiary Eligibility:

Organizations and individuals with interests in oceanographic research, atmospheric research, limnology, solar environment research, near-space research, and Arctic research.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circular Nos. A-21 for agreements with educational institutions, A-87 for State and local governments, and A-122 for nonprofit institutions.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular Nos. A-21 and A-110 must be used for this program. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Proposals for new cooperative agreements are solicited with an announcement in the Federal Register. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable.

Award Procedure:

Accepted applications are subject to peer review by a review panel assembled by the Cooperative Institute Program Manager. All applicants are notified whether or not their proposal was funded.

Deadlines:

Determined by award period (contact Cooperative Institute Program Manager).

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

None.

Renewals:

By negotiation with OAR or other NOAA components when applicable.

Formula and Matching Requirements:

None. Universities and other nonprofit institutions make contributions as partial indirect costs in accordance with the cooperative agreement.

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the five years in accordance with the DOC approvals.

Reports:

Financial status reports are required quarterly. Program status reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Record must be retained for three years; records shall be retained beyond the three-year period if audit findings have not been resolved.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$74,000 to \$20,000,000. Average funding is \$8,700,000.

PROGRAM ACCOMPLISHMENTS:

Projects were reviewed and funded through thirteen cooperative agreements. Funding of proposals for the current 13 OAR Joint and Cooperative Institutes is expected in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Dr. John Cortinas, Program Manager, NOAA/OAR R/LCLx2, 1315 East West Highway, Silver Spring, MD 20910. Telephone: (301) 713-9397 X 206.

Web Site Address:

http://www.oarhq.noaa.gov/OSS_JI.html.

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.417, Sea Grant Support; 11.420, Coastal Zone Management Estuarine Research Reserves; 11.426, Financial Assistance for National Centers for Coastal Ocean Science; 11.430, Undersea Research; 11.431, Climate and Atmospheric Research; 11.440, Environmental Sciences, Applications, Data, and Education; 11.455, Cooperative Science and Education Program; 11.459, Weather and Air Quality Research; 11.460, Special Oceanic and Atmospheric Projects.

EXAMPLES OF FUNDED PROJECTS:

Evaluation of the Atmospheric, Soil, and Plant Community Parameters that Influence Surface Water Balance and the Relation to Waste Disposal, Understanding Climate Change from the Medieval Warm Period to the Greenhouse Future, The Role of Orography on the North American Monsoon Onset and Interannual Variability, Ocean and Atmospheric Inverse Modeling for Global Carbon Flux Determinations, A Hydrologic Ensemble Seasonal Forecast System over the Eastern U.S., Long-term Trends and Special Variability in Arctic Haze at 4 Sites in Western Alaska, Assessment of Cyanobacterial Blooms and Microcystin Concentrations in the Great Lakes, Air-Sea Flux Estimation in High Wind Boundary Layers, Transport and Exchange of Florida Bay Interior Waters, Tornado Warning Lead Time and Tornado Casualties: A Research Proposal, Investigation of Synoptic and Mesoscale Meteorological Processes Associated with Hazardous Weather, Impact of Interactive Vegetation on Predictions of the North American Monsoon, Modeling Longline Effort Dynamics and Protected Species Interaction, Cooperative Studies of Central Coast Salmon, Tropical Atlantic Circulation Program.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to peer-review evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into primary consideration scientific merit and application to the mission goals of NOAA.

11.433 MARINE FISHERIES INITIATIVE

(MARFIN)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 753a; Magnuson Fishery Conservation and Management Act, 16 U.S.C. 1854(e); Saltonstall-Kennedy Act, 15 U.S.C. 713 c-3(d).

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the full and wise use and enhancement of fishery resources in the Southeast U. S. Gulf of Mexico and the South Atlantic

beginning with the State of North Carolina south to Florida. In addition, beginning in Fiscal Year 1998 similar assistance is provided for the coastal New England states.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to develop harvest methods, economic analyses of fisheries, processing methods, fish stock assessment and fish stock enhancement. Projects are restricted to the fisheries in the Southeast Region including the U.S. Gulf of Mexico and the South Atlantic beginning with North Carolina, South Carolina to Florida. Funds are not used for loans. Also, projects in the coastal New England States will be considered. In the Northeast, project priorities have varied during the first two years of funding availability. During Fiscal Year 1999, the area of emphasis was new methods or techniques to improve the ability of scientists to identify the stock structure of selected species of fish and shellfish to improve fisheries management.

Applicant Eligibility:

States or local governments, universities, private enterprise, individuals or any other entity, nonprofit or otherwise, if such entity is a citizen of the United States within the meaning of Section 2 of the Shipping Act, 1916, as amended, 46 U.S.C. 802.

Beneficiary Eligibility:

Federal, State and local governments, universities, private enterprise, nonprofit or profit organizations, and the general public.

Credentials/Documentation:

Applications must include resumes of qualifications and experience of the principal investigators. They are expected to demonstrate expertise in the proposed area of study.

Preapplication Coordination:

This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals will be reviewed, evaluated and ranked by the National Marine Fisheries Service (NMFS). The ranking is divided into two groups; (a) recommended; and (b) not recommended. They are then presented to a panel of fishery experts and to the MARFIN Panel. The Panel will individually submit their funding recommendations to the Regional Administrator of the appropriate Region. The Regional Administrator in consultation with the Assistant Administrator for Fisheries will determine funding availability and select the projects to be funded. The selected proposals will be reviewed and negotiated by the appropriate Regional Office and the assigned Technical Monitor. A complete application will be forwarded to the NOAA Grants Officer for approval and award.

Deadlines:

Deadlines are published in the annual Federal Register or contact either the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.

Range of Approval/Disapproval Time:

Successful applicants will be selected generally within 180 days. Awards will be made normally within 240 days after the date of publication of the Federal Register.

Appeals:

None.

Renewals:

Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Cost-sharing is not required under this program.

Length and Time Phasing of Assistance:

The money must be spent during the award period. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Non-Profit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$2,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$21,185 to \$374,372. Average: \$64,282.

PROGRAM ACCOMPLISHMENTS:

Recent accomplishments include: using high-technology underwater video cameras to fine tune bycatch reduction devices in shrimp trawl nets; development of a horseshoe crab management program in South Carolina as a result of reproductive biology research; and delineation of important nursery habitat for red snapper in the northern Gulf of Mexico. Numerous multi-year projects were funded in the out years that reduced the level of funding for new cooperative agreements in FY 06.

REGULATIONS, GUIDELINES, AND LITERATURE:

A description of MARFIN activities is available and published in an "Annual Report." A copy may be obtained from the Southeast Regional Office. There is no funding history in the Northeast Region prior to FY 1998. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CRF Part 24 for state and local governments.

Regional or Local Office:

Regional or Local Office: Scot Plank, State/Federal Liaison Office, National Marine Fisheries Service, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Use the same number for FTS. Email: Scot.plank@noaa.gov. Kenneth L. Beal, National Marine Fisheries Service, Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930. Telephone: (978) 281- 9267. E-mail: Grants.Information@noaa.gov.

Headquarters Office:

Not applicable.

RELATED PROGRAMS:

11.427, Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program.

EXAMPLES OF FUNDED PROJECTS:

Relationship between estuarine habitat structure and distribution and abundance of juvenile fishery species; assessment of bathymetric highs as nursery habitat of newly settled red snapper in the northwest Gulf of Mexico; genetic analysis of the stock structure of wahoo in the western Atlantic and Gulf of Mexico; turtle excluder device (TED) and bycatch (BRD) reduction device technology transfer to the shrimp industry; and validation of ages for species of the deepwater snapper/grouper complex off the southeast U.S. coast.

CRITERIA FOR SELECTING PROPOSALS:

Priority in program emphasis will be placed upon funding projects that have the greatest probability of recovering, maintaining, improving, or developing fisheries, improving our understanding of factors affecting recruitment success, generating increased values from fisheries, and generating increased recreational opportunity and harvest potential. Projects will be evaluated as to the likelihood of achieving these benefits through both short-term and long-term research projects with consideration of the magnitude of the eventual economic benefit that may be realized.

11.434 COOPERATIVE FISHERY STATISTICS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF
COMMERCE

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 753 a.

OBJECTIVES:

To maintain a cooperative State and Federal partnership to provide a continuing source of fisheries dependent statistics to support fishery management in the States' Territorial Sea and the U.S. Exclusive Economic Zone.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to support cooperative State and Federal programs to collect statistics on commercial and recreational fisheries in support of the Magnuson-Stevens Fishery Conservation Management Act.

Applicant Eligibility:

Eligible applicants are the Marine Fisheries Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, U.S. Virgin Islands and the Gulf States Marine Fisheries Commission.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Gulf of Mexico Fisheries Information Network (GulfFIN) grants should be submitted to: National Marine Fisheries Service, Office of Science and Technology, 1315 East-West Highway, SSMC3, Room 12441, Silver Spring, MD 20910.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

None.

Renewals:

Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the Federal share. Grantee matching contributions are not required, but are encouraged.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$5,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$59,417 to \$124,167; \$79,735. (One award to GulfFIN for \$3,304,789, is not included.)

PROGRAM ACCOMPLISHMENTS:

GulfFIN: Collecting, managing, and disseminating marine recreational fisheries data; head boat port sampling in Texas, Louisiana, and Florida; menhaden data collection; development and implementation of a FIN data management system; trip ticket program development, implementation, and operation; and biological sampling of commercial and recreational catches.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application guidelines are provided by the NMFS Regional Office, address listed below. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Office, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax (727) 824-5364.

Headquarters Office:

For GulfFIN - Patty Zielinski, Fisheries Statistics and Economics Division, Office of Science and Technology, National Marine Fisheries Service, Office of Science and Technology, 1315 East West Highway, SSMC3, Room 12441, Silver Spring, MD 20910. Telephone: (301)713-2328. Fax: (301)713-4137. E-mail: Patty.zielinski@noaa.gov.

Web Site Address:

<http://caldera.sero.nmfs.gov/grants/programs/csp.htm>;
<http://www.st.nmfs.gov/st1/>.

RELATED PROGRAMS:

11.407, Interjurisdictional Fisheries Act of 1986; 11.435, Southeast Area Monitoring and Assessment Program; 11.474, Atlantic Coastal Fisheries Cooperative Management Act.

EXAMPLES OF FUNDED PROJECTS:

All projects are NMFS/State (U.S. territory/commonwealth) cooperative fisheries statistics, agreements, for the collection, analysis and distribution of statistical information and for research into better methods for those tasks.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of Cooperative Statistics and Fisheries Information Network (FIN) committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on Cooperative Statistics and Fisheries Information Network (FIN) committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.435 SOUTHEAST AREA MONITORING AND ASSESSMENT PROGRAM

(SEAMAP)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF
COMMERCE

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 753 a.

OBJECTIVES:

To maintain a cooperative program which engages State and Federal agencies in the coordinated collection, management, and dissemination of fishery - independent information on marine fisheries in support of State Territorial Sea and U.S. Exclusive Economic Zone fisheries management programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding through cooperative agreements involve regional member organizations in fishery - independent sampling projects. Projects are restricted to fisheries of the Gulf of Mexico, South Atlantic and U.S. Caribbean. Cooperators are State and territorial governments, Interstate Marine Fishery Commissions.

Applicant Eligibility:

Eligible applicants are the Marine Fishery Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, the U.S. Virgin Islands, the Atlantic and Gulf States Marine Fishery Commissions.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

In FY 2003, the SEAMAP program changed to multi-year grants. Funding continues to be awarded annually, however, grant recipients submit three year proposals, that are reviewed and evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval. Subsequent years of the grant do not require proposals. All requirements for funding are worked by the Program Officer. Recipients receive notification when funding has been approved for the current fiscal year.

Deadlines:

The Program Officer works with the SEAMAP grant recipients to coordinate the requirements for multi-year grants; three-year proposals were submitted 90 days before the start date. Application packages are submitted to: National Marine Fisheries Service, SEAMAP Program Officer, 9721 Executive Center Drive N., St. Petersburg, FL 33702.

Range of Approval/Disapproval Time:

Approval time is expected to range from 30 to 90 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures. Subsequent funding for the multi-year grant is typically awarded 30 days after the Program Officer completes all funding requirements.

Appeals:

None.

Renewals:

Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the Federal share. Grantee matching contributions are not required, but are encouraged.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$1,139,543; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 - \$298,934. Average: \$110,993.

PROGRAM ACCOMPLISHMENTS:

This Program provides an integrated, cooperative State/NMFS program that collects fishery-independent information on stocks of finfish and shellfish that are interjurisdictional in management and conservation need. This information is used by all Southeast fishery management authorities to develop, implement and monitor the effectiveness of fishery management plans and programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364.

Headquarters Office:

Not applicable.

Web Site Address:

<http://caldera.sero.nmfs.gov/grants/programs/seamap.htm>.

RELATED PROGRAMS:

11.407, Interjurisdictional Fisheries Act of 1986; 11.434, Cooperative Fishery Statistics.

EXAMPLES OF FUNDED PROJECTS:

Funds have been used for a variety of fishery-independent studies, including: surveys of shrimp and ground fish, and reef fish resources in the Gulf of Mexico; ichthyoplankton; physical oceanographic parameters; trawl surveys of fish and invertebrate resources along the South Atlantic Bight; and lobster and conch resources in the Caribbean.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of SEAMAP committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on SEAMAP committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.436 COLUMBIA RIVER FISHERIES DEVELOPMENT PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Mitchell Act, Public Law 79-676, 16 U.S.C. 756- 757.

OBJECTIVES:

This program uses the facilities and personnel of the State fisheries agencies in the Pacific Northwest to protect and enhance the salmon and steelhead resources in the Columbia River Basin.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to develop and implement measures to protect and enhance salmon and steelhead resources in the Columbia River Basin.

Applicant Eligibility:

State governments and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

Federal, Interstate, State, and Quasi- public nonprofit entities.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Northwest Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a sole-source basis.

Deadlines:

According to the NOAA Grants Office, project applications should be submitted 75 days in advance of desired effective date.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Cooperative agreements are normally made for one year. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi- annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$13,200,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$57,314 to \$4,912,171. Average: \$1,990,858.

PROGRAM ACCOMPLISHMENTS:

Northwest Region: State and Tribal fisheries agencies in Oregon, Washington, and Idaho involved in the program operated eighteen salmon hatcheries and rearing ponds, over seven hundred irrigation diversions screens, and more than forty fish ladders, and conducted hatchery improvement studies. Northwest Center: Analyses of biological data collection and research related to endangered

and threatened salmonid species listed under the Endangered Species Act, used by fisheries managers and policy makers to determine and implement changes needed to manage the water and fisheries resources of the Columbia and Snake Rivers.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Mitchell Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments

Regional or Local Office:

Northwest Region: Robert Z. Smith, Director, Columbia River Fisheries Development Program, NOAA/NMFS, Salmon Recovery Division, Hatcheries and Inland Fisheries Branch, 1201 NE Lloyd Blvd., Portland, OR 97232-1274. Telephone: (503) 231-2009. Fax: (503) 872-2737. E-mail: rz.smith@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Northwest Region: All projects are State and Federal cooperative efforts to protect and enhance the salmon and steelhead resources in the region. Examples of project activities include: Operation and maintenance of fish hatcheries, fish ladders, fish screens, and other fish passage facilities; fish passage and water flow planning and input to involved agencies; and studies on irrigation screens, stream improvement, and hatchery improvement. Northwest Center: Biological sampling and data collection for transportation, fish guidance, and migration studies of Pacific salmon on the Columbia and Snake Rivers project; monitoring and recording biological and spawning behavior data on captive broodstock population; development of captive broodstock rearing techniques, monitoring salmon spawning behavior within a controlled-environment behavior flume, and the continuation of bio-assay, predator-survival, and PIT-tag research.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to projects that directly relate to NOAA statutory responsibilities (Mitchell Act) and to court actions and Federal treaties. Special consideration is given to Columbia Basin Watershed planning activities and activities impacting Federal responsibilities under the Endangered Species Act.

11.437 PACIFIC FISHERIES DATA PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act, as amended, Public Law 94-265, 16 U.S.C. 1854(e).

OBJECTIVES:

This cooperative program provides support to State fishery agencies to enhance their data collection and analysis systems to respond to coast wide and insular area fisheries management needs. The program supports fisheries data collection and analysis projects that provide catch, effort, economic and biological data on federally managed species to the Fishery Management Councils as needed for continuing management of Pacific fisheries.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to enhance State fishery data collection and analysis systems to respond to Federal fisheries management needs.

Applicant Eligibility:

State governments (including territories of Guam and American Samoa and the Commonwealth of the Northern Mariana Islands) and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

The agency of a State government authorized under its laws to regulate commercial and recreational fisheries.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the receiving National Marine Fisheries Service Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

According to the NOAA Grants Office, project applications should be submitted 75 days in advance of desired effective date.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Continuation grants on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally requires that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$20,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$36,000 to \$7,694,000. Average: \$3,774,500.

PROGRAM ACCOMPLISHMENTS:

Southwest Region: Document the large-scale movements and behaviors of Atlantic and Pacific bluefin tuna in relation to oceanographic features. Use of a range of electronic tagging technologies to document the movements and behaviors of Atlantic and Pacific bluefin tuna.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Magnuson Fishery Conservation and Management Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Alaska: Alaska Regional Office, Shawn Carey, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. Email: shawn.carey@noaa.gov. Northwest Regional Office, Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113). Email Stephen.Freese@noaa.gov. Northwest Center, Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725

Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. Email: Bob.Iwamoto@noaa.gov. Pacific Islands Region: Scott Bloom, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 944-2218. Email: scott.bloom@noaa.gov. Southwest: Barb Decker, Federal Program Officer, Southwest Center, Telephone: (858) 546-7007. Email: Barb.Decker@noaa.gov.

Headquarters Office:

Patty Zielinski, Fisheries Statistics and Economics Division, Office of Science and Technology, National Marine Fisheries Service, Office of Science and Technology, 1315 East West Highway, SSMC3, Room 12441, Silver Spring, MD 20910. Telephone: (301)713-2328. Fax: (301)713-4137. E-mail: Patty.Zielinski@noaa.gov.

Web Site Address:

Alaska: <http://www.st.nmfs.gov/st1/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

All: Program funds State projects that enhance State systems to respond to coast wide and Pacific islands fisheries management needs under the Magnuson-Stevens Fishery Conservation and Management Act. Examples include sampling of landings to determine species composition, special processing of State-collected fisheries data to conform to specifications of regional fishery data management systems, and monitoring domestic shore side ground fish landings in Alaska, as well as foreign tuna transshipment activities in Guam, providing catch and effort data necessary to monitor in-season harvest quotas by species, group, gear type and regulatory area, to evaluate the effectiveness of fisheries management programs in the western Pacific region, and to update the historic ground fish catch database, as well as Year-round data collection for groundfish fisheries off Washington, Oregon and California. Santa Cruz: California Habitat Restoration Data Projects. In Alaska, 7 fisheries data collection and management projects. Hawaii: Fishery Data Collection to Guam, CNMI, Hawaii, American Samoa.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State projects that provide the Fishery Management Councils with the timely information needed to make the management decisions required under fishery management plans.

11.438 PACIFIC COAST SALMON RECOVERY-PACIFIC SALMON TREATY PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Pacific Coast Salmon Treaty Act, Pacific Coastal Salmon Recovery - Public Law 106-113, Public Law 99-5, 16 U.S.C. 3634, 16 U.S.C. 3641.

OBJECTIVES:

This is a cooperative program that assists the States in salmon restoration and in fulfilling responsibilities under the Pacific Salmon Treaty by providing administrative management. Support is provided to treaty Indian tribes for salmon recovery and to meet the needs of the Pacific Salmon Commission and U.S. international commitments under the treaty.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used by State agencies and treaty Indian tribes to assist in salmon recovery and in fulfilling Federal responsibilities under the Pacific Salmon Treaty.

Applicant Eligibility:

State government agencies and treaty Indian tribes.

Beneficiary Eligibility:

This program benefits the State governments, treaty Indian tribes, Federal government, international relationships, and conservation of a public resource by ensuring that State agencies and tribal governments participate in the recovery and international management of salmon on the west coast.

Credentials/Documentation:

Proposal from a State agency or treaty Indian tribe should be submitted in accordance with 15 CFR Part 24.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional Office and processed by the NOAA Grants Management Division. Under Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

According to the NOAA Grants Management Division, project applications should be submitted at least 75 days in advance of desired effective date.

Range of Approval/Disapproval Time:

Within 75 calendar days from the receipt of a complete and acceptable application.

Appeals:

No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, applications must be revised in accordance with recommended changes.

Renewals:

Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

For the Pacific Coast Salmon Recovery Program, States are required to provide a matching amount of 25 percent. Treaty Indian tribes are not required to provide a match for Pacific Salmon Recovery Program funds. The Pacific Salmon Treaty funds have no statutory formula; projects are funded at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$95,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$57,963 to \$17,850,000. Average: \$8,953,981.

PROGRAM ACCOMPLISHMENTS:

Pacific Salmon Treaty, Alaska Region: Since implementation of the Pacific Salmon Treaty in 1985, the State of Alaska has provided the necessary support to and has been involved with the Pacific Salmon Commission in accordance with the treaty. Pacific Salmon Treaty, Northwest Region: Awards are made on annual basis to the states of Washington, Idaho and Oregon to participate in the implementation of the 1985 Pacific Salmon Treaty. The Pacific Coastal Salmon Recovery Fund (PCSRF) was established in FY 2000 to provide Grants to the States and tribes to assist State, tribal and local salmon conservation and recovery efforts. The fund supplements existing State, tribal and federal programs to foster development of federal-state- tribal-local partnerships in salmon and steelhead recovery and conservation; promotes efficiencies and effectiveness in recovery efforts through enhanced sharing and pooling of capabilities, expertise and information. Significant contributions are being made through this program to the conservation, restoration, and sustainability of Pacific salmon and steelhead runs and the habitats on which they depend.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pacific Salmon Treaty; 16 U.S.C. 3631 et seq. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance

management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Pacific Salmon Treaty - Northwest Region: Dave Cantillon, NOAA/NMFS, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-4140, Fax: (206) 526-6534. E-mail: Dave.Cantillon@noaa.gov. Pacific Coast Salmon Recovery Program - Alaska Region: Shawn Carey, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907)586-7845. Use the same numbers for FTS. E-mail: shawn.carey@noaa.gov. - Northwest Region: Joe Scordino, NOAA/NMFS, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-6150, Fax: (206) 526-6426, E-mail: Joe.Scordino@noaa.gov. Southwest Region: Patricia Culver, NOAA/NMFS, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4239, E-mail: trisha.culver@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

Alaska - <http://www.fakr.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alaska: Pacific Coast Salmon Recovery projects will provide support for salmon habitat restoration, salmon enhancement and salmon research. Northwest: Since the inception of this program, grantees have provided funds (primarily as subgrants) to support over 2,600 individual projects in Washington, Oregon and Idaho.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State and tribal programs that directly address salmon recovery or the information needs of the Pacific Salmon Commission for international management of salmon fisheries stocks under the Pacific Salmon Treaty.

11.439 MARINE MAMMAL DATA PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Marine Mammal Act of 1972, 16 U.S.C. 1361 et seq.; and Marine Mammal Rescue Assistance Act of 2000, 16 U.S.C. 1421f-1.

OBJECTIVES:

Nondiscretionary funding under this authorization provides support to State agencies for the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. Information collected is used by the State and Federal governments to conserve marine mammals, address or resolve conflicts between marine mammals, fisheries and living marine resources, and to improve their understanding of the health of individual marine mammals as well as marine mammal populations. Discretionary funds may be announced in the Federal Register under competitive programs to undertake research in subjects which are relevant to the protection and conservation of marine mammals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Nondiscretionary funds may be nondiscretionary and appropriated for a particular recipient for a particular purpose such as the Marine Mammal cooperative agreements in Alaska (16 U.S.C. 1388) or allocated to State agencies for collection of information on marine mammals that occur in State waters and interact with fisheries and other marine resources. Discretionary funds announced in the Federal Register under competitive programs may restrict the use of funds or include additional program restrictions based on the appropriation language.

Applicant Eligibility:

State governments and quasi-public nonprofit institutions or organizations. U.S. Marine Mammal Stranding Network participants, including state and local governments, academia, aquaria, non profits, private individuals and organizations. Eligibility may vary under programs announced in the Federal Register.

Beneficiary Eligibility:

This program benefits the States that have marine mammals in waters under State jurisdiction and supports Federal requirements for conservation of marine mammals, and other public resources. The program also benefits the active volunteer U.S. marine mammal stranding network members throughout coastal states.

Credentials/Documentation:

Proposal from a State fishery agency or an Interstate Fisheries Commission, or an eligible stranding network member, should be submitted in accordance with 15 CFR Part 14; 15 CFR Part 24 and the applicable Federal Register notice.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". A State applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional or Headquarters Offices and processed by the NOAA Grants Management Division. Pursuant to Section 404 of Public Law 102- 567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a non- discretionary basis for projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. For discretionary funds including Stranding Networks, a competitive award process is announced in the Federal Register for projects related to stranding network activities. A small percentage of discretionary funds will be available to be provided on a non-competed basis for catastrophic stranding events.

Deadlines:

According to the NOAA Grants Management Division, project applications should be submitted at least 75 days in advance of desired effective date.

Range of Approval/Disapproval Time:

Within 75 calendar days after a complete and acceptable application is received.

Appeals:

No formal appeal procedure.

Renewals:

For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, continuation grants can be made on an annual basis for approved multi- year projects. For projects related to stranding network activities, grants or cooperative agreements will be awarded for a maximum award period of three years; however the total Federal share of each award is fixed at a maximum of \$100,000 regardless of the funding period requested. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, the program has no statutory formula. Projects are funded at up to 100 percent Federal share. For projects related to stranding network activities the maximum Federal award for each project will be \$100,000, and a minimum cost share of 25 percent of total project costs is required.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained in accordance the terms and conditions of the Financial Assistance Award. The grantee must maintain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$25,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$2,807,000; \$530,826.

PROGRAM ACCOMPLISHMENTS:

Programs support marine mammal research and management efforts relative to marine mammal health and strandings and fulfill commitments under Title IV of the Marine Mammal Protection Act. Several programs contribute to the United States participation in the International Whaling Commission and the fulfillment of native subsistence co-management commitments under section 119 of the Marine Mammal Protection Act. Northwest: Information is provided to state and federal fishery managers to support regulatory decisions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Marine Mammal Protection Act, as amended. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

Discretionary: Stranding Network Contacts-Alaska Region: Aleria Jensen, Alaska Region, NMFS, Federal Building, 709 West 9th Street, 14th Floor, Juneau, AK 99802-1668. Telephone: (907) 586-7248 or aleria.jensen@noaa.gov. Northeast Region: Dana Hartley, NMFS, 1 Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9328 or dana.hartley@noaa.gov. Northwest Region: Brent Norberg, NMFS, Brent Norberg, 7600 Sand Point Way, N.E., Building 1, Seattle, WA 98115. Telephone: (206) 526-6733 or brent.norberg@noaa.gov; Southeast Region: Laura Engelby, NMFS, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5312 or laura.engelby@noaa.gov; Southwest Region: Joe Cordaro, Southwest Region, NMFS, 501 West Ocean Boulevard, Suite 4200, Long Beach, CA: 90802-4213. Telephone: (562) 980-4017 or joe.cordaro@noaa.gov. Nondiscretionary: Alaska Regional Office: Barbara Fosburg, NOAA/NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Northwest Regional Office: Joe Scordino, NOAA, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6150, Fax: (206) 526-6426, E-mail: Joe.Scordino@noaa.gov. Pacific Islands Area Office: Margaret Akamine, NMFS, 1601 Kapiolani Blvd., Suite 1110, Honolulu, HI, 96814-4700. Telephone: (808) 973-2935 or margaret.akamine@noaa.gov. Headquarters Office: Budget Officer, Administrative and Budget Processes Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2245. Fax: (301) 713-2299.

Headquarters Office:

Teri Rowles, Office of Protected Resources, NMFS, Teri Rowles, 1315 East West Highway, Silver Spring, MD, 20910. Telephone: (301) 713-2322, ext. 178.

Web Site Address:

http://www.nmfs.noaa.gov/prot_res/PR2/

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Nondiscretionary: Northwest - funds are provided annually to an interstate fishery commission to collect data on the expanding west coast pinniped population and its impact on fish populations.

CRITERIA FOR SELECTING PROPOSALS:

Nondiscretionary: For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, priority consideration is given to proposals for the collection of information on marine mammals involved in interactions with fisheries and other marine resources, and on activities impacting marine mammals such as native subsistence; Discretionary: For projects involving stranding network activities, priorities are published in the Federal Register Notice soliciting applications for financial assistance. Unsolicited proposals may also be submitted outside of the competitive process for response to catastrophic stranding events.

11.440 ENVIRONMENTAL SCIENCES, APPLICATIONS, DATA, AND EDUCATION

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

49 U.S.C. 44720(b); 33 U.S.C. 883d, 33 U.S.C. 1442; 15 U.S.C. 313, 1540, 15 U.S.C. 2901 et. seq.

OBJECTIVES:

To advance and promote applied research, data assimilation and management, technology development, and education in environmental science.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to support a wide variety of atmospheric and oceanographic research, development, data distribution and assimilation programs, education,

advisory services, and to support long-term partnerships between the Federal government, universities, and institutions of research and higher education for cooperative science and education in environmental science.

Applicant Eligibility:

Any State university, college, institute or laboratory; any public or private nonprofit institution or consortium.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

Proposals with a statement of work and estimated budgets. Costs are determined in accordance with applicable OMB circulars and applicable Federal Register notices.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are sent to the appropriate office of the National Environmental Satellite, Data, and Information Service.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical and administrative reviews, and external reviews, if applicable.

Deadlines:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of receipt of the proposal.

Appeals:

None.

Renewals:

Future or continued funding will be at the discretion of the Federal government based upon satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Normally from one to five years.

Reports:

Reports are due in accordance with the terms and conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other related records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure and technical reports are submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$22,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$0 to \$200,000 (Grants); \$250,000 to \$5,000,000 (Cooperative Agreements).

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, NESDIS expects to continue funding amendments to the cooperative agreements for their three Institutes (CICS, CIMSS, and CIOSS). NESDIS will also continue to provide funding to other NOAA Cooperative Institutes (CIRA, CIRES, CIMMS, CICOR, and JIMAR).

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments. Department Administrative Order 203-36 dated May 15, 1985 (Department of Commerce Grants Administration) and the NOAA Grants and Cooperative Agreement Interim Policy Manual dated February 2002.

Regional or Local Office:

Patty Mayo, DOC/NOAA/NESDIS/STAR, 5200 Auth Road, Room 701, Camp Springs, MD 20746-4304, patty.mayo@noaa.gov; Telephone: (301) 763-8127; Fax: (301) 763-8108.

Headquarters Office:

See "Information Contact".

Web Site Address:

NOAA Homepage: www.noaa.gov; NESDIS Homepage: www.nesdis.noaa.gov,

STAR Homepage <http://www.orbit.nesdis.noaa.gov/star/index.html>; Grant application forms and instructions: <http://www.ago.noaa.gov/grants>; grant opportunities and Request for Applications (RFA) at: <http://grants.gov>

RELATED PROGRAMS:

11.431, Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes.

EXAMPLES OF FUNDED PROJECTS:

Cooperative Institute for Meteorological Satellite Studies (CIMSS), University of Wisconsin; Cooperative Institute for Climate Studies (CICS), University of Maryland; Cooperative Institute for Oceanographic Satellite Studies (CIOSS), Oregon State University; Cooperative Institute for Research in the Atmosphere (CIRA), Colorado State University; satellite meteorological research and development in atmospheric, oceanic, and land sciences; marine bio-optical calibration of ocean color satellite-derived data; application of earth satellite data to oceanographic processes, structure, and events; environmental risk assessment integrative systems approach with GIS/RS; ground-based demonstration of instrumentation for measuring wind profiles from space, satellite data assimilation for numerical and climate prediction models.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated technically and administratively primarily for scientific merit and application to the goals and objectives of NOAA. The qualifications of the principal investigator are considered and costs are subject to negotiation.

11.441 REGIONAL FISHERY MANAGEMENT COUNCILS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act, as amended, 16 U.S.C. 1852.

OBJECTIVES:

The objectives of the eight Regional Fishery Management Councils are to prepare, monitor and revise fishery management plans and data collection programs for domestic and foreign fishing within the 200-mile U.S. Exclusive Economic Zone (EEZ). This is done with approval and implementation by the Secretary of Commerce who has stewardship responsibility for living marine resources in the EEZ under the MSFCMA.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are used by the eight Regional Fishery Management Councils to prepare plans and amendments to recommend to the Secretary of Commerce, under the MSFCMA.

Applicant Eligibility:

Eight Regional Fishery Management Councils (New England, Mid-Atlantic, South Atlantic, Gulf of Mexico, Caribbean, Pacific, North Pacific and Western Pacific) as established by the MSFCMA. These councils can best be described as "quasi-government" because they are sponsored by the Federal government, specifically funded by Congress but the members are appointed by the Secretary of Commerce from the private sector; they are not Federal employees.

Beneficiary Eligibility:

This program benefits commercial and recreational fishing enterprises in the EEZ. Foreign fishing enterprises may also benefit from this program if authorized to conduct fishing operations. U.S. consumers will benefit as a result of availability of seafood. Imports may be reduced and exports may be increased.

Credentials/Documentation:

Generally a work plan for the funding year.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. States are not directly included in this program, though each coastal State has an official representative on the appropriate Council(s).

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are initially evaluated by the respective National Marine Fisheries Service Regional Administrators and are forwarded to NMFS Headquarters for final approval. They are then forwarded for processing to the Grants Management Division, Office of Administration, NOAA.

Deadlines:

Project applications should be submitted 120 days in advance of desired effective date.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not applicable.

Renewals:

Multi-year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Awards can be approved for one year due to annual Congressional appropriation schedule. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in 50 CFR Part 605, Guidelines for Council Operation/Administration.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments. Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$22,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$890,500 to \$2,034,500. Average: \$1,637,500.

PROGRAM ACCOMPLISHMENTS:

Grants focus on NEPA; Ecosystem Management; Fisheries Management; Database and Information Processing; Marine Protected Areas & Sanctuaries; Strategic Planning & Outreach; Capacity Reduction; Research, Planning and Coordination; Marine Turtle Conservation; and Coral Reef.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter 6; the Statement of Organization, Practices, and Procedures, and 50 CFR Part 605 Operational Guidelines for Council Operations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. Email: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: grants.information@noaa.gov. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113). Email Kevin.Ford@noaa.gov or Stephen.Freese@noaa.gov. Pacific Islands Region: Scott Bloom or Dan Namur, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. Email: scott.bloom@noaa.gov or dan.namur@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701 Telephone: (727) 824-5324.. Fax: (727) 824-5364 Email: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (310) 980-4239. Fax: (562) 980-4047. Email: trisha.culver@noaa.gov.

Headquarters Office:

None.

Web Site Address:

http://www.nmfs.noaa.gov/sfa/domes_fish/index.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative Research; Administrative Cooperative Agreement; Ecosystem

Management.

CRITERIA FOR SELECTING PROPOSALS:

This program is non-competitive. It is Congressionally mandated.

11.443 SHORT TERM CLIMATE FLUCTUATIONS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Public Law 95-224, 15 U.S.C. 313; 49 U.S.C. 1463.

OBJECTIVES:

To support studies relevant to the diagnosis and prediction of short-term climate fluctuations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The program at the National Weather Service/National Centers for Environmental Prediction/Climate Prediction Center (CPC) is based on the availability of funds and the relevance of a proposal to CPC's mission: "To maintain a continuous watch on short-term climate fluctuations and to diagnose and predict them." Potential applicants may submit a written proposal with a budget sheet for review and consideration. The proposal must follow application procedures, set by the NOAA/Grants Management Division. Cost-sharing by each recipient is usually 5 percent.

Applicant Eligibility:

Public and private educational institutions, and other persons in institutions qualified to conduct climate-related studies to provide climate-related services.

Beneficiary Eligibility:

The ultimate benefit will go to the general public through improved methods and knowledge of the climate system and potentially improved forecasts.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications for Federal Assistance (SF- 424) with the proposal and budget estimate are required.

Award Procedure:

Applications are approved within NOAA.

Deadlines:

New applications must be received no later than June 1, and renewals no later than July 1, at the Grants Management Division for award funding in a fiscal year.

Range of Approval/Disapproval Time:

New applications: 120 days (30 days for review/evaluations, 90 days for processing). Renewals: 90 days (30 days for review/evaluations, 60 days for processing).

Appeals:

None.

Renewals:

Renewals are available, subject to project performance and availability of funds. The procedure is the same as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Awards are generally made for 1-year periods. Funds are released as needed by recipient, usually once a month.

Reports:

Quarterly expenditure reports and final reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and NonProfit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients that are States, local governments, non-profit organizations (including hospitals), and institutions of higher learning are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be retained

in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations, and with 10 CFR 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Not applicable.

Headquarters Office:

Climate Prediction Center, National Centers for Environmental Prediction, National Weather Service, World Weather Building, 5200 Auth Rd., Camp Springs, MD 20746. Telephone: (301) 763-8000 Ext. 7512.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) University of Maryland - Cooperative Institute, Climate Studies; (2) UCAR - Scientific Program.

CRITERIA FOR SELECTING PROPOSALS:

Proposals reviewed and approved on basis of relevance to program objectives, potential for service, cost effectiveness, and availability of funds.

11.444 HAWAII SUSTAINABLE FISHERIES DEVELOPMENT (AQUACULTURE PROGRAM)

FEDERAL AGENCY:

NATIONAL MARINE FISHERIES SERVICE, NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

16 U.S.C. 758b; Executive Order No. 13-089.

OBJECTIVES:

The development of commercially feasible technology for high-value marine finfish in the United States. The ultimate goal of the Hawaiian Fisheries Development project is to increase the availability of marine finfish for aquaculture and stock enhancement purposed in the U.S., with the secondary goal of promoting the development of a sustainable commercial aquaculture industry for high-value species.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Establish a consistent source of supply to satisfy the growing market for marine finfish. Funds are appropriated by Congress for the Oceanic Institute.

Applicant Eligibility:

Private nonprofit institution operating for scientific purposes.

Beneficiary Eligibility:

The beneficiaries of the aquaculture program would be anyone/general public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and A-122 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Projects approved for funding will be submitted to the NOAA Grants Office for review and approval.

Deadlines:

Completed application package must be received at the following address at least 90 days before the requested start date of the project: National Marine Fisheries Service, Pacific Islands Fisheries Science Center, 2570 Dole Street, Honolulu, HI 96822.

Range of Approval/Disapproval Time:

Approval time for awards is expected to range from 90 to 150 days. That includes processing of the award through the NMFS, NOAA Grants and DOC.

Appeals:

None.

Renewals:

This program is expected to be renewed through fiscal year 2005.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Awards are normally awarded for a 12-month period. Financial status reports are completed and submitted. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Semiannual progress and financial reports are due 30 days after each calendar half. An annual report is due 90 days after each award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 a year or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents statistical reports, and other records of grantees or subgrantees are required to be retained in accordance with provisions of 15 CFR Part 14 for institutions of higher education or other nonprofit organizations, and with 15 CFR Part 24 for State and local governments from the date of the financial report. Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$525,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$439,800 to \$869,000. Average: \$654,000 per year.

PROGRAM ACCOMPLISHMENTS:

The goal of the Hawaiian Fisheries Development (HDF) Project is the generation and transfer of aquaculture information, technologies and products that can be applied to restoration and maintenance of sustainable fish production, reef ecosystems, and fisheries of the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pacific Island Fisheries Science Center: Bruce Mundy, Pacific Islands Fisheries Science Center, National Marine Fisheries Service, 2570 Dole Street, Honolulu, HI 96822. Telephone: (808) 983-5374. Fax: (808) 983-2902. E-mail: Bruce.Mundy@noaa.gov.

Regional or Local Office:

Pacific Island Fisheries Science Center: Bruce Mundy, Pacific Islands Fisheries Science Center, National Marine Fisheries Service, 2570 Dole Street, Honolulu, HI 96822. Telephone: (808) 983-5374. Fax: (808) 983-2902. E-mail: Bruce.Mundy@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.pifsc.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally-mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.445 HAWAII STOCK MANAGEMENT PROGRAM

(SEMFISH)

FEDERAL AGENCY:

NATIONAL MARINE FISHERIES SERVICE, NATIONAL OCEANIC AND

ATMOSPHERIC ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Magnuson Fishery Conservation and Management Act, 16 U.S.C. 1854(e).

OBJECTIVES:

Multi-year effort to develop and test the technology of a marine stock management program in Hawaii. The marine hatchery- and-release stock enhancement concept may afford the State of Hawaii a powerful management option for protecting and enhancing some of Hawaii's depleted nearshore fishery resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used to develop and test the technology for a marine stock management program in Hawaii. This Hawaii Stock Management project is developing and testing technology for replenishing depleted near shore (marine) populations.

Applicant Eligibility:

Private nonprofit institutions operating for scientific purposes.

Beneficiary Eligibility:

The beneficiaries of the Hawaiian stock management program would be anyone/general public.

Credentials Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Projects approved for funding will be submitted to the NOAA Grants Office for review and approval.

Deadlines:

Completed application package must be received at the following address at least 90 days before the requested start date of the project: National Marine Fisheries Service, Pacific Islands Fisheries Science Center, 2570 Dole Street, Honolulu, HI 96822.

Range of Approval/Disapproval Time:

Approval time for awards is expected to range from 90 to 150 days. That includes processing of the award through the NMFS, NOAA Grants and DOC.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period. Financial status reports are completed and submitted. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Semiannual progress and financial reports are due 30 days after each calendar half. An annual report is due 90 days after each award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education or other nonprofit organizations and with 15 CFR Part 24 for State and local governments. Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$438,900 to \$474,500. Average: \$456,700 per year.

PROGRAM ACCOMPLISHMENTS:

The long-term goals of the Hawaii Stock Management program is to evaluate marine stock enhancement technology to replenish depleted populations of near shore fish in Hawaii.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Pacific Islands Fisheries Science Center: Bruce Mundy, Pacific Islands Fisheries Science Center, National Marine Fisheries Service, 2570 Dole Street, Honolulu, HI 96822. Telephone: (808) 983-5374. Fax: (808) 983-2902. E-mail: Bruce.Mundy@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://www.pifsc@noaa.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally-mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.449 INDEPENDENT EDUCATION AND SCIENCE PROJECTS AND PROGRAMS

(Spangler Elementary School, Longmont, Co/Mathematics, Engineering, Science Achievement (MESA); Practical Hands-on Application to Science Education (PHASE))

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

5 U.S.C. 7201; Executive Order 11478; 15 U.S.C. 1540.

OBJECTIVES:

(1) To increase the number of minority students enrolling in college and majoring in math, science and engineering and to recruit scientists and engineers to serve as science/math tutors primarily for girls and minority students; (2) to expose high-school and college students to hands-on research in the Office of Oceanic and Atmospheric Research (OAR) during the summer months and vacation periods.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The grant or contract is to be used only as proposed in the proposal. The Cooperative Agreement is to be used only as proposed in the Application for Federal Assistance.

Applicant Eligibility:

(1) Nonprofit organization with experience in administering a Math, Engineering, Science Achievement (MESA) program; (2) Nonprofit organization with knowledge of OAR programs and science education.

Beneficiary Eligibility:

(1) High school, middle school and elementary teachers who are MESA program advisors and students with a GPA of 3.0 who are participating in a course of study related to the MESA program and interested in pursuing careers related to mathematics, science or engineering; (2) Students pursuing a course of study related to oceanic and atmospheric sciences, with a GPA of 3.0 and an interest in a summer hands-on experience in a laboratory setting.

Credentials/Documentation:

Proposals must contain statements of work and estimated budgets.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is excluded from coverage under E.O. 12372.

Application Procedure:

(1) Proposals are received from Spangler Elementary School; and (2) application for Federal Assistance is received from CAS/CU. They then are sent to the OAR

EEO Office for evaluation and processing, if applicable. Proposals are subject to the requirements of the appropriate OMB Circular No.'s. A-110 and A-122, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical, and administrative reviews. (1) These awards are non-competitive (sole source) because Spangler Elementary is the only Longmont elementary school that administers a Math, Engineering, Science Achievement (MESA) program; (2) this Cooperative Agreement is non-competitive (sole source) because of the existing relationship between the Colorado Alliance for Science/University of Colorado and OAR. There is substantial involvement and knowledge of existing programs, and close collaboration in providing a benefit to the general public by both parties.

Deadlines:

There is no deadline for receiving grant proposals. Awards are contingent upon need and the availability of resources.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

None.

Renewals:

Subject to availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

One year.

Reports:

Financial and performance reports are required upon completion of funded event (Spangler Elementary School) or semi-annually (PHASE).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records and ensure that funds are used for the purposes specified in the award.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$10,000; FY 08 est not available; and FY 09 est not reported. (Cooperative Agreements) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

(1) grants: \$5,000 to \$10,000 (when awarded); (2) cooperative agreement: \$45,000 to \$172,000 (when awarded).

PROGRAM ACCOMPLISHMENTS:

If funding is available, it is anticipated that similar grants will be made each year. Program funding will be suspended for at least two years or until budgets stabilize.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

NOAA/OAR, Bldg. 22, 325 Broadway, Boulder CO 80303. Contact: Tony Tafoya, Program Officer for this award. Telephone: (303) 497-6731.

Headquarters Office:

Information is available only at the Boulder office.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) MESA Advisor's training workshops, field trips, student science competitions, recruitment of volunteer scientists and engineers to tutor youth needing help in math and science; (2) transportation, housing, and stipends for

students during summer months and vacation periods where students learn about the laboratory's mission and perform hands-on assignments.

CRITERIA FOR SELECTING PROPOSALS:

The grant proposals are evaluated internally by OAR EEO staff, Acquisition and Management Division of NOAA Mountain Administrative Support Center (MASC), MASC Finance Division, NOAA Grants Office staff, and NOAA General Counsel.

11.450 AUTOMATED FLOOD WARNING SYSTEMS (AFWS)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL WEATHER SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

15 U.S.C. 313; 33 U.S.C. 883d.

OBJECTIVES:

The objective of the Automated Flood Warning Systems Program is to provide funding to communities with flood or flash flood problems that affect safety of life and property to assist them in creating, renovating, or enhancing Automated Flood Warning Systems (AFWS).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds are used by entities who can demonstrate they have significant flood and flash flood risk, and ability to operate and maintain an AFWS. Data received by the NWS will be redistributed to the public without restrictions.

Applicant Eligibility:

Eligible applicants are States, counties, municipalities, educational institutions, and non-profit organizations.

Beneficiary Eligibility:

Since the basic goal of the AFWS program is to effectively reduce loss of life and damage resulting from flooding, benefits accrue to the general public.

Credentials/Documentation:

The applicant must describe the organizational entity that will administer the AFWS on a long-term basis. Documentation may also include a copy of by-laws for non-profit organizations, or other supporting documentation that affirms the viability of the organization.

Preapplication Coordination:

No preapplication coordination is required. However, since proposals must demonstrate a level of coordination with the local NOAA/NWS forecast office and/or River Forecast Center, it is strongly recommended that applicants discuss potential interactions with relevant NOAA/NWS personnel prior to submission. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The recommended procedure is to file electronically via the Federal government portal, <http://www.grants.gov>. The applicant must submit a Standard Form 424 and other associated forms. Along with the forms described above, the applicant must provide a statement of work, project description, and a detailed budget narrative and justification. If electronic filing is unavailable, application packages may be downloaded from <http://www.ofa.noaa.gov/%7Egrants/appkit.html>, and sent to NOAA/NWS; 1325 East-West Highway, AFWS Program Manager W/OS31, Room 13396, Silver Spring, MD.

Award Procedure:

An initial administrative review/screening will be conducted to determine compliance with requirements/completeness. All proposals will then be evaluated and individually ranked in accordance with the assigned weights of published evaluation criteria by an independent peer panel review. Three to seven NOAA/NWS experts may be used in this process. The merit reviewers ratings are used to produce a rank order of the proposals. The Selecting Official then makes final award recommendations after considering the peer panel reviews, selection factors listed in the Federal Register Notice, and funding availability. The Selecting Official's recommendations will be in rank order unless the proposal is selected out of rank order based upon one or more of the selection factors.

Deadlines:

Not available.

Range of Approval/Disapproval Time:

Not available.

Appeals:

None.

Renewals:

Grant period is up to 24 months for this program. Award of a grant does not preclude the applicant from applying for subsequent grants.

Formula and Matching Requirements:

This program has no matching requirements. Projects are accepted at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

The award period shall not exceed 24 months, with an anticipated start date of May 1, 2007. Applications to supplement existing AFWS are eligible to compete

with applications for new awards. If an application is awarded, the NOAA/NWS has no obligation to provide additional funding in connection with that award in subsequent years. Funds shall be spent during the award's budget period unless the applicant requests and justifies an extension prior to the period of award, and the request is approved by NOAA Grants Office. Funds shall be disbursed through the Automated Standard Application for Payments (ASAP) System.

Reports:

Progress and financial reports are required semi-annually. Final reports are due within 90 days of the expiration of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Financial records and supporting documents and all other records, i.e. property, performance work products, patents, etc. pertinent to the agreement shall be maintained for a period of 3 years from the date of the approved financial report.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$425,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$100,000 per year.

PROGRAM ACCOMPLISHMENTS:

AFWS have been credited with saving numerous lives and millions of dollars of property by giving participants and users the ability to obtain real time rain and stream gage data. AFWS funds have been used to purchase rain gages and communications equipment to support operational warning systems in areas with a high flash flood risk.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

AFWS Operations Manager, Hydrologic Services Division, National Weather Service Eastern Region - W/ER2, Airport Corporate Center, 630 Johnson Avenue, Bohemia, NY 11716. Telephone: (631) 244-0112.

Headquarters Office:

Headquarters Office: AFWS Program Office, Hydrologic Services Division, Office of Climate, Water, and Weather Services, National Weather Service - W/OS3, 1325 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-0006 x154.

Web Site Address:

<http://www.ago.noaa.gov/grants/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Three Rivers Wet Weather of Pittsburgh awarded \$100,000 to upgrade an existing gauge network with radio telemetry and integrate its data stream with that from other flood warning systems. City of Fort Wayne, IN, awarded \$95,000 to upgrade the city's existing AFWS with new hardware. County of Augusta, VA., awarded \$48,135 to add additional rainfall and stream gauges. Department of Public Works, Gila County, AZ, awarded \$25,000 to upgrade and enhance an existing flood warning system with new rain and stream gauges.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are announced within solicitations published in the Federal Register. Examples of criteria are flood risk, technical merit, qualification of applicants, and cost effectiveness.

11.452 UNALLIED INDUSTRY PROJECTS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC AGENCY, NATIONAL
MARINE FISHERIES SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C. 661-666c; Department of Commerce Appropriation Act of 1999; Public Law 103-211; Saltonstall-Kennedy Act, 15 U.S.C. 713c-3(d).

OBJECTIVES:

To provide grants and cooperative agreements for biological, economic, sociological, public policy, and other research and administration projects to benefit U.S. fisheries industries and contribute to the economic and social welfare of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for ensuring the safety, quality and integrity of U.S. fishery products; also, to provide funds to the five States bordering the Gulf of Mexico, for projects or other measures designed to alleviate the long-term effects of disasters on the Gulf's fishery resources and associated habitat. To facilitate the administration, coordination and implementation of the research activities of the Cooperative Institute for Fisheries Molecular Biology (FISHTEC). The goal of FISHTEC is to develop, test, and apply new technology in molecular biology for use in management of commercial and recreational marine fisheries. Emphasis of the research program is placed on development of molecular genetics techniques to identify and evaluate the status of fish stocks/populations subject to fishing pressure and/or environmental impacts. To support the implementation of community-based demonstration projects in the U.S. western Pacific that promote the development of fishery-related industries and the economic self-sufficiency of native Pacific islanders and communities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and management activities for high-priority marine and estuarine resources, especially for species and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; Federal and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct.

Preapplication Coordination:

The standard application forms as furnished by the agency. Depending on the Authorization, some elements of this program are covered by E.O. 12372.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS office/region/science center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 90 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS, NOAA and DOC financial assistance procedures.

Appeals:

None.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding, extend the period of an award to increase funding, or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the Federal share. Depending upon the Authorization, grantee matching

contributions may be required.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period. In some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi annually. Progress reports summarize work accomplished and explain situations where expected work has not been completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$14,000,000; FY 08 est available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$150,000. Average: \$100,000.

PROGRAM ACCOMPLISHMENTS:

Southeast: Restoration of the bay scallop in Florida - an integrated approach to stock enhancement and evaluation of benefit; Mississippi's shellfish restoration and enhancement project; Monitoring the impact of environmental perturbations on commercial fishermen; Restoration and research of Alabama marine fisheries affected by natural disaster. Develop methods to restore Louisiana oyster habitat by recycling oyster shell and comparing alternative cultch materials for restoring oyster reefs. Provide funding for marketing domestic shrimp. Pacific Island: Native Fishery Observer Program; Hawaii Seafood Program; Western Pacific Demonstration Program Projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with OMB Circular Nos. A-21 for Institutions of Higher Education, A-87 for State and Local Governments, and with A-122 for Nonprofit Organizations. For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

Alaska: Shawn Carey, NMFS, Alaska Regional Office, P.O. Box 12668, Juneau, AK 99802. Telephone: (907) 586-7280. E-mail: shawn.carey@noaa.gov.
Northeast: Harold C. Mears, Director, State, Federal, and Constituent Programs Office, National Marine Fisheries Service, Northeast Region, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978)281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6115. Fax: (206) 526- 4461. Email: Kevin.Ford@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Branch, 263 13th Avenue South, St. Petersburg, FL 33701. Telephone: (727) 824-5364 Fax: (727) 824-5364. E-mail: jeff.brown@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4033. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. PIRO: Scott Bloom, 1601 Kapiolani Blvd, Suite 1110, Honolulu, HI 96814. Telephone: (808)944- 2218. Fax: (808)973-2941. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

JoAnna Grable, Management and Administration Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-1364. Use the same number for FTS. Fax: (301) 713-2258. E-mail: joanna.grable@noaa.gov.

Web Site Address:

<http://www.noaa.gov>. Southeast: <http://caldera.sero.nmfs.gov/grants/programs/industry.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Southeast: Funded research to restore bay scallops in Florida through stock enhancement and funded projects to eradicate exotic plants from shorelines to

reduce beach erosion. Provided funds to restore oyster beds in Louisiana, Mississippi, and Alabama that were damaged as a result of hurricanes and flooding. Funded removal of underwater obstacles to navigation and fishing that were left after hurricanes. Direct financial assistance to shrimp fishers and blue crab fishers in the Southeast U.S. and development of programs to market shrimp and blue crabs. PIRO: Hawaii Seafood Safety and Marketing; Fishery Observer Program, Longline Vessel Procurement and Training, Skip Jack Fishing Training, Fishpond Revitalization Project, Fishermans Cooperative Market, By-catch Utilization Projects.

CRITERIA FOR SELECTING PROPOSALS:

Proposals may be selected from several sources, e.g., unsolicited proposals, Congressionally-mandated projects, applications received as a result of notices published in the Federal Register. Recipients and sub recipients are subject to all applicable Federal laws and Federal and Departmental policies, regulations, and procedures applicable to Federal financial assistance awards.

11.454 UNALLIED MANAGEMENT PROJECTS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF
COMMERCE

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C. 661; Magnuson Fishery Conservation and Management Act, 16 U.S.C. 1854(e); National Fisheries Research and Development Program, 15 U.S.C. 713c 3(d), Department of Commerce Appropriation Act of 1999.

OBJECTIVES:

Projects provide economic, sociological, public policy, and other information needed by Federal and State natural resource administrators for conserving and managing fishery resources and protected species and their environment in Federal, State, and U.S. territorial waters. Information derived from project studies may consist of econometric data, user profiles, sociological case studies, policy and systems analyses, and other knowledge, and is used in such decisions as resource allocations, total and zonal harvest determinations, and initial apportionment of harvesting rights under controlled access management regimes. Primary users of project information include the National Marine Fisheries Service, Regional Fishery Management Councils, Interstate Marine Fisheries Commissions, State, and other Federal resource management agencies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of management activities for high-priority marine and estuarine resources, especially for species and/or their habitat currently under or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and state recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and individuals.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See <http://www.whitehouse.gov/omb/grants/spoc.html>.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and

reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 90 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Appeals:

None.

Renewals:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the Federal share. Grantee matching contributions are not required, but are encouraged.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month or 18-month period, but in some instances, award periods may extend beyond these periods, or may be completed in less time. Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. Funds are requested through the Financial Management System as part of the Department of Treasury's Automated Standard Application for Payment System (ASAP). See http://www.fms.treas.gov/asap/pr_gettingstarted.html.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$12,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$146,500 to \$1,225,000. Average: \$539,250.

PROGRAM ACCOMPLISHMENTS:

Alaska Region: Development of programs seeking to stabilize and restore fisheries access to the Gulf of Alaska community-based small boat fleets and assist in the creation of new fisheries opportunities. Provide research assistance to aid in run forecasting and restoration of Yukon River salmon. These activities are carried out by 5 grants to Alaska recipients. Northeast Region: Work in 2005 focused on: A 6-day research video cruise to the northern portion of Nantucket Lightship Closed area (NLCA). The survey concentrated on both high and low density of scallops. A multistage centric systematic survey on two spatial scales was compared to a simple random survey with 300 stations. This work allows for statistical comparisons between the two survey designs including comparisons of densities and size frequencies of sea scallops, other macroinvertebrate and sediment compositions; Conduct research on a turtle excluder dredge in two phases. In phase 1, design and fabricate a prototype scallop dredge frame, field test the frame on commercial trips, and modify the design as appropriate. The dredge will consist of two components; (1) a chain mat that had previously designed and tested that prevents turtles from entering the bag dredge and (2) a new concept for construction of the dredge frame to keep turtles from snagging on top of the dredge. The second phase will commence when an acceptable design has been achieved and will consist of extensive scientific field testing of the dredge. Southeast Region: Development of programs to better document fishing effort in the shark fishery, south Atlantic snapper-grouper fisheries, and the U.S. Virgin Islands commercial fisheries. Development of a Gulf of Mexico shrimp trawl bycatch device testing project. Continuation of king mackerel stock research. Cooperative research to study the life histories of various reef fish in the Southeast.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular Nos. A-21 (educational institutions), A-87 (State and local governments) or A-122 (nonprofit organizations). For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 12668, Juneau, AK 99802- 1668. Telephone: (907) 586-7845. Use the same numbers for FTS. E-mail: shawn.carey@noaa.gov. Northeast: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service; Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Regional Office, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206)526-6150. Fax: (206) 526-6426. E-mail: Joe.Scordino@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727)551-5760. Fax: (727) 824-5364. E-mail: Robert.sadler@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (562) 980-4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. Pacific Island: Scott Bloom. Telephone (808) 944-2218. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

JoAnna Grable, Management and Administration Division,, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-1364. Fax: (301) 713-2258. Email: joanna.grable@noaa.gov.

Web Site Address:

Alaska: <http://www.fakr.noaa.gov>. Southeast: <http://caldera.sero.nmfs.gov/grants/programs/manage.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alaska: Alaska Coastal Communities Coalition; Support efforts to stabilize and restore fisheries access to Gulf of Alaska Community-based small-boat fleets. Create and activate plans to do run forecasting and restoration of Yukon River salmon. Support program reports on current events in the fisheries arena of the major fishing nations of the Pacific Rim. Northeast: Examining the Effect of the 2004 Pulse Fishing Event on the Georges Bank and Closed Area Benthic Community, Development of an Interactive Video Map Detailing the Georges Bank and Mid-Atlantic Benthic Community, 2005 Fishery Independent Survey of Selected Hard Bottom Areas in Southern New England, Continued Investigation of Marine Biotoxins in the Atlantic Sea Scallop Resource. Southeast: Improved data collection for discards of shark, and south Atlantic snapper-grouper. Cooperative research to better estimate king mackerel stock mixing and abundance. Pilot projects to collect U.S. Virgin Islands reef fish data, test shrimp trawl bycatch devices, and to test reef fish gear in the eastern Gulf of Mexico. Improved collection of life history data on hogfish, goliath grouper, and Gulf of Mexico vermilion snapper and red grouper.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register, FedBizOpps, or Congressionally mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.455 COOPERATIVE SCIENCE AND EDUCATION PROGRAM

Cooperative Marine Education and Research Programs (CMER); Joint Institute for Marine Observation (JIMO); Cooperative Unit of Fisheries Education and Research (CUFER); Cooperative Institute of Fishery Oceanography (CIFO); Cooperative Education and Research Program (CERP); Cooperative Institute Agreement (CIA); Cooperative Institute for Marine Resources Studies (CIMRS); Cooperative Institute for Marine and Atmospheric Studies (CIMAS); and Cooperative Institute for Arctic Research (CIFAR); Joint Institute for the Study of the Atmosphere and Oceans (JISAO).

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C. 661; Fish and Wildlife Act, 16 U.S.C. 753(a); Department of Commerce Appropriation Act of 1999.

OBJECTIVES:

To support through grants and cooperative agreements, enduring partnerships between the Federal government and institutions of research and higher education for cooperative science and education on marine issues, especially living marine resources and their habitat, that confront local, regional, and

national resources managers; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science and education.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and higher education projects on high-priority marine and estuarine resource issues, especially resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance are State, U.S. territorial, and private institutions of higher learning and education, especially universities and colleges, with which the National Marine Fisheries Service or the National Oceanic and Atmospheric Administration has entered into, or may enter into, an enduring partnership for purposes of research and education on the marine environment. Also included are private and public research organizations affiliated with institutions of higher learning, and national and international organizations and programs dedicated to marine and estuarine research, education, and outreach.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conversation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is eligible for coverage under E.O. 12372, "Intergovernment Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Science and Research Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional research and education goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 120 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS and NOAA.

Appeals:

None.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. NOAA may fund up to 100 percent of project costs. Grantees matching contributions are not required, but are encouraged.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally

require that financial and performance reports be submitted semi-annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$6,200,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$33,000 to \$1,777,363. Average \$136,000.

PROGRAM ACCOMPLISHMENTS:

Alaska Center: Through support of JISAO work was carried out on an atlas of the occurrence and distribution of eggs and larvae of north Pacific fishes sampled during fisheries oceanography cruises; study of biological and physical factors affecting survival of walleye pollock eggs and larvae in the Gulf of Alaska and their recruitment to the commercially fished population; groundfish stomachs were read to determine the importance of different Bering Sea and Gulf of Alaska prey species; research cruises were conducted to place and recover Atka mackerel tags; and a research cruise was conducted to determine the effects of fishing on the distribution of pollock. Northeast Center: Cooperative programs with universities were supported, primarily for training graduate students: University of Massachusetts, Rutgers University, Virginia Institute of Marine Science and the University of Rhode Island. Northwest Center: Undergraduate and graduate internships; Salmon survival and life-stages research: disease and anthropogenic factors, estuarine influences, ocean ecology, predation, and genetics; Ground fish research: stock assessment and habitat. Southeast Center: Coastal fisheries habitat management research. Southwest Center: Grants were awarded to the University of Hawaii and the University of California to support undergraduate and graduate student research, support of educational programs in fisheries research, marine satellite data processing and research vessel support. Cooperative research studies are between NMFS/JIMAR and JIMO. Research on highly migratory fish species in the Atlantic.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Program contacts: Alaska: Mr. James M. Coe, Deputy Director, Alaska Fisheries Science Center, 7600 Sand Pt. Way NE., Bldg. 4, Seattle, WA 98115-6349. Telephone: (206) 526-4000. Fax: (206) 526-4004. E-mail: jim.coe@noaa.gov. Northeast: Dr. Ken Sherman, Chief, Office of Marine Ecosystem Studies, Northeast Fisheries Science Center, 28 Tarzwell Drive, Narragansett, RI, 02882. Telephone: (401) 782-3211. Fax: 401-782-3201. E-mail: Kenneth.Sherman@noaa.gov. Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. E-mail: Bob.Iwamoto@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701, Telephone (727) 824-5324. Fax: (727) 824-5364. Southwest: Dr. Richard Neal, Deputy Science Director, Southwest Fisheries Science Center, 8604 La Jolla Shores Drive, La Jolla, CA 92038-1508. Telephone: (858) 546-7066. Fax: (858) 546-7003. E-mail: Richard.A.Neal@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

Alaska Center: <http://www.afsc.noaa.gov/>. Northeast Center: CMER: <http://www.nfsc.nmfs.gov/cmer/>. Southwest Center: <http://swfsc.nmfs.noaa.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alaska Center: JISAO at the University of Washington was supported to provide for the study of biological and physical factors affecting the recruitment of walleye pollock in the Gulf of Alaska and Bering Sea to improve our ability to

predict year class recruitment to the commercial fishery; to study the acoustic properties of fish of the north Pacific, develop visualization tools to increase the understanding of using sound to survey fish populations, investigate equipment and methods used to acoustically enumerate, size, and map fish distributions, and to foster the training of students and researchers in the use of acoustics to assess fish populations; and to provide for the study of food habitats of groundfish, the effects of fishing on the distribution of pollock in the Gulf of Alaska and the distributional behavior of Atka mackerel. Research at CIFAR was conducted on the use of genetic markers to describe the population structure of Pacific Ocean Perch and to use genetics to study the possibility of two species of rougheye rockfish. Northeast Center: Research to support the management of living marine resources. Evaluating the potential effects of habitat alterations and determine if benthic fish assemblages and biomass differ at and away from sand ridges. Documenting and understanding the behavioral interactions between cetaceans and trawl fishing gear in the Western Atlantic. Also, research aimed at studying the decline of Atlantic bluefish stocks. Focus on investigating the relationship between bluefish and striped bass populations, changes in bluefish food stocks, whether the bluefish have declined or migrated elsewhere, and other factors which bear upon the status of bluefish and striped bass stocks. Continuation of a program which has developed an extensive database on Western North Atlantic right whales and other protected marine species. A cooperative agreement was established to conduct Atlantic Salmon Communication Network Assessment to Focus Education, Outreach and Collaboration. A fish habitat study to be carried out by undergraduate students applying fishery techniques, water quality monitoring and GIS methodology in characterizing selected fish habitats in an urbanized tributary of the Chesapeake Bay estuarine system. Southeast Center: Characterizing conditions under which fisheries and cetaceans co-occur along the eastern U.S. coast. Funded research to verify and improve age determination in endangered marine turtle species. Establishing a web-based resource to expand opportunities for under-represented groups in the marine and environmental sciences. Northwest Center: Feeding and growth of juvenile salmonids off the Oregon and Washington coasts; ocean survival of salmonids relative to migrational timing, fish health, predation and oceanographic conditions in the Columbia River plume and adjacent coastal waters; disease and survival of juvenile salmon in the estuarine and nearshore ocean environment; undergraduate and graduate research, groundfish stock assessment and habitat research. Southwest Center: JIMO at the University of California was supported to provide satellite data processing; research vessel for "Egg and larval fish production from marine ecological reserves" cruise; genetic population structure of central California coastal Salmonid populations; cooperative studies of Pacific Coast salmon; ocean and estuarine physiological ecology of salmon. JIMAR at the University of Hawaii was supported to provide pelagic fisheries visiting scientists program; tropic ecology and structured-associated aggregation behavior in Bigeye and Yellowfin Tuna in Hawaii-based commercial longline fishery; distribution, histories, and recent catch trends with six fish taxa taken as incidental catch by the Hawaii-based commercial longline fishery; recreational fisheries meta data preliminary steps; development of oceanographic atlases for Pelagic and insular fisheries and resource management of the Pacific basin; pop-off satellite archival tags to chronicle the survival and movements of blue sharks following release from longline gear; developing biochemical and physiological predictors of long term survival in released blue shark; survivorship, migration, and diving patterns of sea turtles released from commercial longline gear determined with pop-up satellite archival transmitters; pelagic fisheries research program management; pelagic fisheries research management-modeling and tag design; and Hawaii regional tuna tagging project.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected following consultation between NMFS fisheries centers scientists and universities with established memoranda of understanding or agreement, and subject to availability of funds. Proposals may be reviewed by coordinating or technical committees, based on joint determination by these reviewers and in consideration of the informational needs of the State regional and Federal marine resource management agencies, and on peer-review comments related to the technical quality of the proposal. All proposals must comply with all OMB, DOC, and NOAA grants policies and procedures.

11.457 CHESAPEAKE BAY STUDIES

(Chesapeake Bay Studies)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 753a; 15 U.S.C. 1540; 16 U.S.C. 661-666c, Department of Commerce and Related Agencies Appropriations Act of 2002; NOAA Authorization Act of 1992, Public Law 102-567.

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the living marine resources of Chesapeake Bay. In

addition, assistance is provided for the Chesapeake Bay Watershed Education and Training Initiative (B-WET). The B-WET Program will work with and support organizations that provide environment-based education to students, teachers, and communities throughout the Chesapeake Bay watershed. In addition, assistance is provided for research and restoration of Submerged Aquatic Vegetation (SAV) in the Chesapeake Bay.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to improve surveys and fisheries statistics, investigate living marine resource linkages with dependent habitats and conduct research in support of fisheries stock assessments in conjunction with cooperative, long-term data collection programs for the Bay's living marine resources. Funding can also be used to support environmental-based education, expand student Chesapeake Bay or stream outdoor experiences, improve opportunities for teachers to receive professional training in the area of environmental education, and promote internship opportunities for students and teachers in the field of environmental education.

Applicant Eligibility:

Eligible applicants are institutions of higher education, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, State, local and Indian tribal governments.

Beneficiary Eligibility:

Federal, State and local governments, universities, independent school divisions, nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the NOAA Chesapeake Bay Office, and then are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national and regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for Department of Commerce clearance and processing procedures. In additions, funds may be provided on a sole source basis for priority projects for the stewardship of Chesapeake Bay resources.

Deadlines:

Deadlines are published in the annual Federal Register or contact the NOAA Chesapeake Bay Office.

Range of Approval/Disapproval Time:

From 60 to 120 working days.

Appeals:

No formal appeal procedure. If an application is unacceptable, the reasons are fully stated to the applicant.

Renewals:

Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years, however proposals may be subject to a technical evaluation. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent Federal share. Grantee matching contributions are not required but are encouraged.

Length and Time Phasing of Assistance:

Grants/cooperative awards are normally made for one year. Assistance is released via the NOAA Financial Assistance Disbursement System (FADS). Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12-months, or may be completed in less than 12-months. Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$13,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$8,000 to \$350,000. Average: \$85,000.

PROGRAM ACCOMPLISHMENTS:

1) Valuable information has been obtained under this program. All projects are State and Federal cooperative efforts to implement and develop fishery stock assessment programs in Chesapeake Bay. The following are a few of the highlights: Developed a randomized sampling design for the blue crab recreational fishery in Chesapeake Bay; Developed and implemented the Baywide winter dredge survey for blue crab; Designed and field-tested multiple species monitoring program to be conducted on a Baywide scale; Developed a multispecies modeling approach for Management of the Coastal fishery for Atlantic menhaden; Verified techniques to biochemically measure the age of blue crab, and; competed stock assessments for blue crab, oyster, and hard clam. Planted 15 acres of eelgrass (SAV) from seed on the Piankatank (VA) and Patuxent (MD) rivers 2) Educated more than 35,000 students about the Chesapeake Bay watershed and their greater environment. Provided more than 6,700 teachers with professional development opportunities in the field of environmental education. Developed strong partnerships among environmental education organizations. Forged partnerships with the Departments of Education to integrate information about the Chesapeake Bay and its watershed into school curricula.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Act of 1956. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

NOAA Chesapeake Bay Office, 410 Severn Avenue, Suite 107A, Annapolis, MD 21403. Contact: Derek Orner, Fishery Biologist or Shannon Sprague, Education Coordinator. Telephone: (410) 267-5660. Fax: (410) 267-5666. E-mail: Derek.Orner@noaa.gov or Shannon.Sprague@noaa.gov.

Headquarters Office:

Not applicable.

Web Site Address:

<http://noaa.chesapeakebay.net/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Developed better methods to collect and plant eelgrass (SAV) seeds; set up facilities to increase the availability of seeds and other planting materials for planting lower salinity SAV species; conducted site assessments to choose sites for future large scale SAV planting projects. Developed better methods to collect and plant eelgrass (SAV) seeds; set up facilities to increase the availability of seeds and other planting materials for planting lower salinity SAV species; conducted site assessments to choose sites for future large scale SAV planting projects For the B-WET Program, all projects support the education and outreach goals of the Chesapeake 2000 agreement. The following are examples of funded projects in fiscal year 2004. School Leadership in Urban Runoff Reduction (Living Classrooms Foundation); the Teachers Training Institute at Hard Bargain Farm (Alice Ferguson Foundation); Bay Grasses in Classes (MD DNR); Chesapeake Connections (Arlington Echo Outdoor School); and Chesapeake Classrooms (Chesapeake Bay Foundation).

CRITERIA FOR SELECTING PROPOSALS:

Consideration is given to projects that directly relate to the interstate/governmental Chesapeake Bay 2000 Agreement. Consideration is measured amongst several criterion including: project description and conceptual approach for resolution; soundness of project design/technical approach; project management and experience and qualification of personnel; justification and allocation of the budget in terms of the work to be performed; demonstration of project partnerships; and continued teacher involvement and communication.

11.459 WEATHER AND AIR QUALITY RESEARCH

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Federal Aviation Act, as amended, 49 U.S.C. 1463; Weather Service Organic Act, 15 U.S.C. 313; National Climate Program Act, as amended, 15 U.S.C. 2901; Clean Air Act Amendments of 1990, 42 U.S.C. 7403.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate and air quality fluctuations and trends. To develop the base of scientific knowledge for better informed decisions.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

Grants may be used for research and development, science assessments, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis. Grants and contracts currently exist with National Acid Precipitation Assessment Program (NAPAP) participants.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutions, laboratories; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with interests in meteorology, oceanography, climate, and air-quality research and prediction.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal receipt.

Appeals:

None.

Renewals:

By negotiation with OAR.

Formula and Matching Requirements:

No matching funds required.

Length and Time Phasing of Assistance:

Normally for a period of one year.

Reports:

Quarterly performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted

in Circular No. A-133.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for the purpose for which the grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported. (Contracts) FY 07 \$0; FY 08 est not available; and FY 09 est not reported. (NOTE: No funds are currently identified for grants and contracts).

Range and Average of Financial Assistance:

Funds no longer are available.

PROGRAM ACCOMPLISHMENTS:

One to three contracts per year are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Weather and Air Quality, Office of Oceanic and Atmospheric Research, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, MD 20910. Contact: Office of Weather & Air Quality: (301) 713- 9397 or (301) 713-9121.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

11.431, Climate and Atmospheric Research; 11.432, Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes.

EXAMPLES OF FUNDED PROJECTS:

(1) Numerical weather prediction using global weather experiment data; (2) prediction of planetary circulation; (3) implementation of sea level network in Indian Ocean; (4) dynamical forecast of El Nino/Southern Oscillation; (5) circulation of the SW tropical Pacific Ocean; and (6) analysis of the effects of atmospheric deposition of nitrates and sulfates on surface water chemistry.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to office research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.460 SPECIAL OCEANIC AND ATMOSPHERIC PROJECTS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Weather Service and Related Agencies Authorization Act of 1999, as amended.

OBJECTIVES:

As indicated in NOAA special announcements or applicable reports supportive of the Department's goal to expand knowledge of and to manage effectively the Nation's oceanic and atmospheric resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. May be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards will be made on the basis of proposal evaluations, technical reviews, administrative reviews, and external reviews, if applicable.

Deadlines:

In accordance with the applicable report or announcement.

Range of Approval/Disapproval Time:

From 90 to 180 days from the time of proposal submission to NOAA/OAR.

Appeals:

None anticipated.

Renewals:

By negotiation with the OAR and subject to availability of funds.

Formula and Matching Requirements:

None anticipated.

Length and Time Phasing of Assistance:

In accordance with the applicable NOAA Report or special NOAA announcement and in accordance with FARB approvals.

Reports:

Financial and performance reports will be required quarterly at a minimum.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Varies depending upon what funds are appropriated for special projects.

PROGRAM ACCOMPLISHMENTS:

Grants and cooperative agreements are awarded as applicable. For example, the Oceans and Human Health Initiative (OHHI) sponsors competitive, peer-reviewed research to collaborate with and augment NOAA intramural research programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

OAR, Laboratory, or Sea Grant offices, depending upon the particular program. 1335 East-West Highway, Silver Spring, MD 20910.

Headquarters Office:

Director, OAR, Office of Program, Planning & Evaluation, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713- 2465, Ext. 119.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.417, Sea Grant Support; 11.420, Coastal Zone Management Estuarine Research Reserves; 11.426, Financial Assistance for National Centers for Coastal Ocean Science; 11.430, Undersea Research; 11.431, Climate and Atmospheric Research; 11.432, Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.449, Independent Education and Science Projects and Programs; 11.459, Weather and Air Quality Research.

EXAMPLES OF FUNDED PROJECTS:

Institute for the Study of Earth, Oceans, and Space; STORM; Radiophysics Lab of Dartmouth College; Gulf of Maine Council; Aquatic Ecosystems; Lake

Champlain Study; Central California Ozone Study; Ice Physics Research; Southeast Atlantic Marine Monitoring and Prediction; Lake Champlain Canal Barrier Demonstration; Oceans and Human Health (OHHI); and such Ocean Exploration as continuing the Lewis and Clark Legacy and Sound in the Sea: Acoustic Monitoring at Pioneer Sea Mount.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.462 HYDROLOGIC RESEARCH

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, NATIONAL WEATHER SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Weather Service Organic Act, 15 U.S.C. 313, 1525.

OBJECTIVES:

To maintain a cooperative university/Federal partnership to conduct joint research and development on pressing surface water hydrology issues common to National, regional, local operational offices, private consulting hydrologists, and academics.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used to support research and development on issues related to the forecasting of surface hydrologic conditions. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Eligible applicants are Federally recognized institutions of higher learning, agencies of State or local governments, quasi-public institutions such as water supply or power companies, hydrologic consultants and companies involved in using and developing hydrologic forecasts (either for reducing flood damages or for water management decisions).

Beneficiary Eligibility:

Since the benefits of the overall program are for a reduction in loss of life and damage resulting from flooding and drought, and improved utilization of water supply and water dependent industries, benefits accrue from these projects to: (1) Federal agencies responsible to forecast, assess, manage, disperse, project economic conditions based on projections of water supply for agriculture and industry, and control water resources; (2) interstate commissions to control and allocate surface waters; (3) State agencies responsible for allocating and controlling water resources and for making projections for the use of water for industry and agriculture and for planning and developing infrastructure within the State that is impacted by rivers and lakes; (4) local agencies dependent on water for economic planning, emergency preparedness, and public water supply; (5) all businesses and industries depending all or in part upon surface water supplies or adequate water in lakes for recreation, in rivers to generate power, or in production or dispersion of products or who must take action in order to ameliorate damage during flood situations, (6) wildlife interests at all levels that are dependent upon water management for managing the environment; (7) homeowners or farmers who must plan for proper responses to flooding or inadequate water supply; and (8) academic institutions which are funded for programs dealing with the studies of hydrologic science.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions and A-122 for nonprofit and for-profit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals, including a statement of work and budget, are submitted to Chief of the Training Division of OCWWS. When details of the work and budget are mutually agreed upon, the complete proposal will be prepared and formally submitted. Complete grant or cooperative agreement proposals will include forms SF-424 Application for Federal Assistance, SF-424A, Budget Information Non-Construction Programs, statement of work, budget and supporting details, negotiated indirect cost rate sheet, and CD-511 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug Free Workplace Requirements, and Lobbying statements. Cooperative activities and funding amounts are determined through an evaluation conducted in coordination with the Director of OCWWS, and staff.

Award Procedure:

Awards are made on the basis of proposal evaluations and technical and administrative reviews. Proposal will be submitted to the NOAA Grants Management Division for review and approval. Cooperative activities and funding amounts are determined through an evaluation conducted in

coordination with the Director of the Office of Hydrologic Development by the Chief of the Hydrology Laboratory, and the technical experts of the Laboratory.

Deadlines:

Application deadlines are established by notices appearing on OHD/HL website.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 180 days, which includes processing of the award through the NWS and NOAA financial assistance functions.

Appeals:

None.

Renewals:

By negotiations with the Office of Hydrologic Development. Cooperative activities are normally continuing subject to the availability of funding for subsequent budget periods. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no matching requirements. NOAA may fund up to 100 percent of project costs.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12-months. The funding is spent in the award's budget period unless an extension is requested by the principal investigator and approved by NOAA Grants Office. Since many cooperative projects with universities depend upon student labor there are times when unfunded extensions are required.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted quarterly or semiannually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$100,000. Average: \$25,000.

PROGRAM ACCOMPLISHMENTS:

This program has developed an improved method for automatic calibration of hydrologic models to river basins, made definite progress in the development of distributed rainfall/runoff models, demonstrated improved flash flood models for urbanizing environments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Hydrology Laboratory, National Weather Service - W/OHD-1, 1325 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-0640.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Project to further automate the calibration of hydrologic models; (2) development of procedure to enhance flash flood guidance; (3) development and testing of distributed rainfall/runoff models using radar-derived rainfall data; (4)

demonstration project to show practical benefits from extended streamflow predictions in a probabilistic framework; and (5) investigations of flash flood models in arid climates.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration scientific merit, application to office research goals, principal investigator's qualifications, cost, and available funding.

11.463 HABITAT CONSERVATION

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C. 661; 16 U.S.C. 6401 et seq.; 16 U.S.C. 3951 et seq.; Public Law 100-220, Title II, Section 2204, 33 U.S.C. 1901 et seq.; Department of Commerce Appropriation Act of 1999; and Coral Reef Conservation Act, 16 U.S.C. 6401 et seq.

OBJECTIVES:

To provide grants and cooperative agreements for biological, economic, sociological, public policy, and other research, administration, and public education projects on the coastal environment to benefit U.S. fisheries, conserve protected resources, and add to the economic and social well being of the Nation. Projects are funded to carry out public policy pertaining to protection and restoration of the Nation's wetlands and other coastal habitats, pursuant to the Fish and Wildlife Coordination Act, Magnuson Fishery Conservation and Management Act, Endangered Species Act, Estuary Restoration Act, Marine Mammal Protection Act, Marine Plastic Pollution Research and Control Act of 1987, Coastal Wetlands Planning Protection and Research Act (CWPPRA), Coral Reef Conservation Act and other legislation. Research and management includes determining the effects of habitat modifications and contaminants on populations of living marine resources, restoring depleted stocks that have been adversely impacted by habitat modifications, determining if artificial or restored habitat fulfills essential habitat needs of living marine resources, and quantifying contaminants and debris that pose a hazard to populations of these animals.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research, habitat restoration, coral reef conservation, construction, management, and public education activities for marine and estuarine habitats, especially for species currently under, or proposed for, future Federal or Inter-jurisdictional management. Habitat restoration includes providing fish passage, dam and barrier removals, marine debris prevention and removal, wetland and riparian restoration, and similar activities that restore habitat for diadromous fish, shellfish and other marine resources in coastal watersheds.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations, and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. Territories and Freely Associated States; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Office of Habitat Conservation, or NOAA Coral Conservation Program lead, as appropriate. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Office/Region/Science Center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs.

Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 90 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 150-180 days, which includes processing of the award through NMFS and NOAA.

Appeals:

None.

Renewals:

Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Project costs are funded at up to 100 percent. Grantee matching contributions vary by specific funding opportunity. Coastal Wetland Planning, Protection and Restoration Act projects require a 15 percent match by the State of Louisiana at this time. Under the Community-based Restoration Program guidelines, projects are encouraged to demonstrate a minimum nonfederal match of 50 percent of the total cost needed to complete the proposed project. A 1:1 match is required for the General and International Coral Reef Conservation Grant Programs.

Length and Time Phasing of Assistance:

Awards are normally for 12-24 month periods, and vary by specific funding opportunity. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released as needed through the automated treasury process (ASAP) or by reimbursement, as indicated in the Standard Terms and Conditions document required for each grant.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions may require that financial and performance reports be submitted semi-annually or on some other agreed upon schedule.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$32,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$15,000 to \$10,000,000. Typical award: \$35,000 to \$200,000; CWPRA awards among the largest, typically in the millions.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, cooperative agreements for wetland restoration projects in Louisiana under the CWPRA program resulted in funding construction for the Little Lake Shoreline Protection/Dedicated Dredging near Round Lake Restoration Project and funding engineering and design for the Riverine Sand Mining/Scofield Island Restoration Project. Under the NOAA Community-based Restoration Program (CRP), 18 applications were recommended for funding in FY05 ranging from \$20,000 to \$211,507 for a total of \$1.72 million, and nearly \$8M went to the continued support of 12 national and regional habitat restoration partnerships (funded between \$125K and \$1.7M) that provide sub-awards for individual habitat restoration projects. These projects leverage between \$3 and \$5 for every NOAA dollar invested, have significant community support and hands-on participation, and typically have an associated outreach or informal education component. NOAA's Coral Reef Conservation Grant Program launched a new international coral reef program in 2002, pursuant to the Coral Reef Conservation Act of 2000. During Fiscal Year 2005, the International Coral Reef Conservation Grant Program invested \$400,000 in 11 projects in 12 countries that leveraged \$435,109 in matching funds. Funds were distributed among the following four themes: 1) Demonstrate best management practices to reduce and control run-off to near shore coral reef ecosystems in the

Caribbean; 2) Implement management effectiveness programs to enhance adaptive management of marine protected areas; 3) Promote use of socioeconomic assessments in coastal management; and 4) Regional approaches to promote marine reserves in the Caribbean and Southeast Asia.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost will be determined in accordance with OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit organizations. For grants management principles, see 15 CFR 24 (State and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

See Appendix IV.

Headquarters Office:

CWPRA: Dr. Erik Zobrist; Community-based Restoration Program (CRP): Ms. Robin Bruckner, Program Manager. Office of Habitat Conservation, 1315 East West Highway, Silver Spring, MD 20910. Telephone: (301) 713-0174. Fax: (301) 713-0184. E-mail: Erik.Zobrist@noaa.gov; Robin.Bruckner@noaa.gov. General Coral Reef Conservation Grant Program: Andy Bruckner, Program Manager. Office of Habitat Conservation, FHC1, 1315 East West Highway, Silver Spring, MD 20910. Telephone: (301) 713-3459. Fax: (301) 713-1594. E-mail: Andy.Bruckner@noaa.gov. International Coral Reef Conservation Grant Program: Arthur Paterson, 1315 East West Highway, 5th Floor, Room 5627, Silver Spring, MD 20910. Telephone: (301) 713-3078; E-mail: Arthur.E.Paterson@noaa.gov.

Web Site Address:

<http://www.nmfs.noaa.gov/habitat/ecosystem/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

NOAA Fisheries Headquarters: Wetland restoration projects in CWPRA program are utilized for protecting and restoring eroding coastal marshes. The Community-based Restoration Program (CRP) provides funding to implement a wide variety of on-the-ground habitat restoration projects to benefit marine, estuarine and riparian habitats, including but not limited to salt marshes, seagrass beds, coral reefs, mangrove forests, and freshwater habitat important to diadromous fisheries, predominantly in coastal areas around the United States. The Office of Habitat Conservation also provides funding for coral reef conservation activities. Coral Reef: Nguna-Pele Marine Protected Area Community Management and Assessment Enhancement Project. Enhancing Management Effectiveness of MPAs: Regional Capacity Building in Indonesia and the Philippines, Assessment and Management of Black Grouper Spawning Aggregations in Belize essential fish habitat mapping and assessment, coral reef trade, sustainable use and management of the live reef fishes, watershed management, socioeconomic monitoring, and community-based management and conservation. Others: Bonneau Ferry, SC and other West Cooper River Corridor land protection, Great Bay NERR Construction and Development, Port Aransas Nature Preserve, Wetlands Protection land acquisition, and Village Point Park Preserve land acquisition.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures. Any financial assistance announcements must be made in the Federal Register; announcements in the Commerce Business Daily only is not sufficient.

11.467 METEOROLOGIC AND HYDROLOGIC MODERNIZATION DEVELOPMENT

(Hydrometeorological Development)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, NATIONAL WEATHER SERVICE

AUTHORIZATION:

Weather Service Organic Act, 15 U.S.C. 313, 1525.

OBJECTIVES:

To maintain a cooperative university and Federal partnership to conduct meteorological training, education, professional development, and research and development on hydrometeorological issues common to the hydrometeorological community.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments with Unrestricted Use; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Funds can be used to support education, training, research and development on subjects, especially those relating to modernization of the weather services, related to the atmospheric, near surface (first ten meters) oceanographic, and hydrologic conditions. Specific restrictions, other than those mandated for

cooperative agreements, are evaluated on an individual basis.

Applicant Eligibility:

Eligible applicants are accredited Federally recognized institutions of higher learning, consortia of these institutions, agencies of State or local governments including school systems, quasi-public institutions, consultants, and companies involved in using and developing meteorological or hydrologic forecasts or forecast methodology.

Beneficiary Eligibility:

The benefits of the overall program are for reduction in loss of life and damage which the general public can realize from improvement in weather forecasts, watches, and warnings of hazardous weather and resultant flooding. For the improvements from the modernization of the Nation's weather and hydrologic services to be realized, there must be significant involvement of the entire hydrometeorological community. Thus, benefits will accrue to: (1) General public through higher quality weather products and information; (2) State and local agencies responsible for the planning and implementation of emergency services, fire prevention, allocation and control of water resources, and those agencies who project economic conditions based on weather and water resource availability; (3) educational institutions (0-13+) which need to incorporate the information which will result from modernization of the weather services into their curricula; (4) scientists and developers who will be using the new information to enhance the State of the knowledge of the atmosphere and improve present system safety and reliability; and academic institutions which are funded for programs dealing with studies of the atmospheric and hydrologic science.

Credentials/Documentation:

Proposals with statement of work, estimated budget, curriculum vitae, and statements of appropriate and/or necessary accreditation. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions and A-122 for nonprofit and for-profit organizations.

Preapplication Coordination:

Preapplication coordination between the proposer and the Office of Climate, Water and Weather Services (OCWWS) is recommended. Consultation in the preparation of forms is available from OCWWS. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals, including a statement of work and budget, are submitted to Chief of the Training Division of OCWWS. When details of the work and budget are mutually agreed upon, the complete proposal will be prepared and formally submitted. Complete grant or cooperative agreement proposals will include forms SF-424 Application for Federal Assistance, SF-424A, Budget Information Non-Construction Programs, statement of work, budget and supporting details, negotiated indirect cost rate sheet, and CD-511 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug Free Workplace Requirements, and Lobbying statements. Cooperative activities and funding amounts are determined through an evaluation conducted in coordination with the Director of OCWWS, and staff.

Award Procedure:

Awards are made on the basis of proposal evaluations as well as technical and administrative reviews.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approval time for grants is expected to range from 90 to 180 days, which includes processing of the award through the NWS, NOAA, and DOC financial assistance functions.

Appeals:

None.

Renewals:

By negotiations with OCWWS. Cooperative agreement activities are normally continuing subject to the availability of funding for subsequent budget periods. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Agency.

Formula and Matching Requirements:

This program has no specific matching requirements. Projects are accepted at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12 months. The funding is spent in the award's budget period unless an extension is requested by the principal investigator and approved by NOAA Grants Office. The recipient receives the funds through the financial assistance disbursement system (FADS).

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally

require that financial and performance reports be submitted quarterly

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All financial and programming records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$4,983,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$15,000 to \$4,000,000.

PROGRAM ACCOMPLISHMENTS:

The Cooperative Program for Operational Meteorology, Education, and Training (COMET) has performed in a manner which has met all expectations. COMET consists of two programs: the Education and Training Program, and the Outreach Program. Experts from the entire academia community cooperate in the operational aspects of meteorology and hydrology which leads to the most current education and training. The Outreach Program seeks to make partnerships with Federal operational meteorologists and research scientists. During fiscal year 2002, this program sponsored a total of 15 projects with an average level of funding of approximately \$35,000 per year for collaborative efforts and a total of 12 smaller projects with an average level of funding of \$8,500. Proposals were treated in the standard peer review process. COMET produces cost-effective Web-Based modules and has produced four modules within the last year which are available freely on the web at <http://meted.ucar.edu/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Training Division, National Weather Service/OS6, 1325 East West Highway, Silver Spring, MD 20910 Telephone: (301) 713-0280; Fax: (301)713-1598.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The COMET, AMS and UVA are the only projects which are presently funded under this program.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration scientific merit, application to office research goals, principal investigator's qualifications, cost, and available funding.

11.468 APPLIED METEOROLOGICAL RESEARCH

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL WEATHER SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

49 U.S.C. 44720.

OBJECTIVES:

Through the Collaborative Science, Technology, and Applied Research (CSTAR) program, the NWS provides funding to the university community for highly collaborative applied research activities. The CSTAR program creates a cost-effective transition from basic and applied research to operations and services. This service-science linkage provides a foundation for the ongoing

infusion of science and technology into NWS operations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The funds are used by recipients to implement programs described in proposals submitted and selected in response to calls for proposals from the CSTAR Program Office. The CSTAR Program Office may support non-competitive awards in case specific instances or by direction from legislative language.

Applicant Eligibility:

College and universities in the United States.

Beneficiary Eligibility:

Colleges and universities with interests in atmospheric and related sciences.

Credentials/Documentation:

Proposals will be considered if applicants meet the qualifications listed in solicitations.

Preapplication Coordination:

No preapplication coordination is required. However, since proposals must demonstrate high levels of collaboration with operational NWS components, it is recommended that applicants discuss potential interactions with relevant NWS operational personnel prior to submission. Consultation and assistance is available through the NWS program manager. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard Form 424 series, certification, and other related forms must accompany formal proposals. Detailed instructions are contained in solicitations. Proposals will be submitted through the CSTAR program manager to the NOAA Grants Management Division for review and approval. The Department of Commerce will review all projects before an award is executed by the NOAA Grants Officer.

Award Procedure:

Awards are selected by the NWS Office of Science and Technology and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Deadlines are announced within solicitations published in the Federal Register.

Range of Approval/Disapproval Time:

Approval time is expected to be about four months, which includes processing the award through NWS, NOAA, and DOC financial assistance functions.

Appeals:

None.

Renewals:

Awards are normally issued on an annual basis.

Formula and Matching Requirements:

This program has no matching requirements, however, each university normally contributes funding to further support the projects.

Length and Time Phasing of Assistance:

Awards are for a 12-36 month period, although only one year is funded at a time. Outyears are funded based on availability of funds and adequate progress. Funds are disbursed through the NOAA Accounting Services.

Reports:

Semiannual technical and financial progress reports are due within 30 days of the end of the evaluation period. Final reports are due within 90 days of the expiration of the award.

Audits:

Audits will be conducted in accordance with OMB Circular No. A-133 for universities and nonprofit organizations. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Financial records and supporting documents and all other records, i.e., property, performance work products, etc., pertinent to the agreement shall be maintained in accordance with the provisions of 15 CFR 14.53 as applicable.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$1,544,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$3,700,000. Average: \$460,285.

PROGRAM ACCOMPLISHMENTS:

Projects awarded through the CSTAR program are leading to a deeper understanding of meteorological factors involved in the NWS warning and forecasting programs, which, in turn, will benefit the U.S. public with more responsive warning and forecast programs. Specifically, regional and local lightning climatologies are being developed and distributed to NWS forecast offices for use in anticipating thunderstorm development; improvements to operational hurricane prediction models are being implemented; algorithms for better detection and estimation of precipitation amounts in the complex terrain

of the western U.S. are being developed; and new techniques for forecasting and warning extreme winter weather events are being developed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

N/A.

Headquarters Office:

CSTAR Program Manager, National Weather Service, 1325 East-West Highway, SSMC2, Room 15326, Mailcode W/OST12, Silver Spring, MD 20910. Telephone: (301) 713-557 x150.

Web Site Address:

<http://www.noaa.nws.gov>.

RELATED PROGRAMS:

11.467, Meteorologic and Hydrologic Modernization Development.

EXAMPLES OF FUNDED PROJECTS:

Awards are related to topics of regional and national importance such as developing an operational system for probabilistic quantitative precipitation forecasts in the U.S., improving the prediction of warm- and cool-season heavy precipitation events over the Northeastern U.S., improving forecasts of topographically-forced weather systems in the Carolinas and Virginia, and improving operational radar algorithms in the Inter-mountain West.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are announced within solicitations published in the Federal Register. Examples of criteria used in past solicitations included operational applicability, scientific merit, technology transfer and methodology, capability of researchers, and cost effectiveness.

11.469 CONGRESSIONALLY IDENTIFIED AWARDS AND PROJECTS

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act.

OBJECTIVES:

The objectives of the overall program are to facilitate education, research and development in the fields of marine and atmospheric science, and to provide a provision of assistance for the construction of suitable facilities for these activities, as specified by Congress.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To provide appropriated funds to facilitate education, research and development in the fields of marine and atmospheric science. Appropriated funds can also be used for construction of facilities and construction-related activities to support education, research and development associated with issues of atmospheric or marine sciences. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations and/or individuals. Typically those specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Through the awarding of financial assistance to facilitate education, research and development in the fields of marine and atmospheric science, and for the construction of educational, research and development facilities, this program benefits Federal, State, local governments, public and private universities and colleges, profit and nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs.

Preapplication Coordination:

The standard application forms are furnished by the agency. Executive Order 12372 does not apply to this program. An environmental analysis is required for each project funded under this program under the provisions of the National Environmental Policy Act, 40 CFR 1500. As a result of this analysis, an Environmental Assessment and/or Environmental Impact Statement also may be required. Applicants should contact the agency for further NEPA guidance.

Application Procedure:

Submission of an application on Standard Form 424 as furnished by NOAA, including all required certifications, to the Program Officer, Congressionally Identified Awards, Office of Finance and Administration, Acquisitions and Grants Office. This program is subject to the provisions of 15 CFR 24, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, and 15 CFR 14, Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Award Procedure:

Applications reviewed by NOAA and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Time frame to submit application according to Federal Register announcement (if applicable), or as indicated in specific application cover letter furnished to applicants but in either case no later than May 31, to ensure processing during the fiscal year that funds are appropriated.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 180 days from the time of receipt of a complete application. This timeframe includes processing of the award through the NOAA and DOC financial assistance functions.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA.

Formula and Matching Requirements:

This program has no matching requirements. Projects are accepted at up to 100 percent Federal share.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12 months. The funding is spent in the award's budget period unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted semi-annually or as otherwise required under special award conditions. Progress reports summarize work accomplished, relate the progress to the original approved proposal and timelines, explain situations where expected work has not been completed, and request modifications to the project plan as necessary.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations, "nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for three years from the date when the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Historically awards have ranged from \$140,000 to \$28,500,000.

PROGRAM ACCOMPLISHMENTS:

NOAA grant recipients receiving funding have developed projects fostering a wide range of public benefits in the fields of marine and atmospheric science as specified by Congress in Appropriations Acts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional appropriations, Public Law (for FY 1998, Public Law 105-119, Nov. 13, 1997; for FY 1999, Public Law 105-277, Oct. 19, 1998). Costs will be determined in accordance with OMB Circular No.A-21 for institutions of higher education, OMB Circular No.A-87 for State and local governments, and OMB

Circular No.A-122 for nonprofit organizations. Grants management will be in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or 15 CFR 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Grants Management Division, OFA62, SSMC2, 1325 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-0926.

Web Site Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Bronx Zoo Construction Project. Land Acquisition in Maine, Various Environmental Literacy project such as, Science on the Sphere Projects with Bishop Museum, National Maritime Museum, National Model Earth, National Ocean Science Bowl, and PRIDE Environmental Enhancement Initiative.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.472 UNALLIED SCIENCE PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC AGENCY, NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, 16 U.S.C. 661; Fish and Wildlife Act, 16 U.S.C. 753a.

OBJECTIVES:

To provide grants and cooperative agreements of biological, socio-economic and physical science research on the stocks of fishery and protected resources of the United States and their environment that will contribute to their optimal management for the benefit of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research on high-priority marine and estuarine resource issues, especially for resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 120 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

None.

Renewals:

Renewal of an award to increase funding and/or extend the period of an award is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the Federal share. Grantee matching contributions are not required, but are encouraged.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$34,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$2,455,000. Average: \$546,000.

PROGRAM ACCOMPLISHMENTS:

Alaska Region: Carry out marine research activities in the North Pacific, Bering Sea, and Arctic Ocean. Northeast Region: Contributions to enhance data collection for the American lobster resource along the coasts of Maine, New Hampshire and Massachusetts, and to provide improved information for stock assessments and management; and an ocean haul seine survey for striped bass in coastal New York State was conducted. Southeast Region: Development of genetic tools to assess the population structures of major commercial and recreational species, such as swordfish, tunas and sharks. Conservation and management of threatened and endangered marine species in Georgia, South Carolina, and North Carolina including development of an early warning system to reduce right whale/vessel collisions; U.S. Gulf of Mexico marine stock enhancement program; Contributions to the biology of the red drum in South Carolina; funded research to examine the biological and hydrographic characteristics of the Charleston Bump; provided support for research into pathogens affecting shrimp in the southeastern United States; developed an index of sea turtle abundance along the southern U.S. Atlantic coast. NOS: The goal of FISHTEC is to develop, test and apply new technologies in molecular biology to enhance fisheries management policies and actions. This long term project was focused initially on the development of genetic tools to determine population structures of major commercial and recreational species such as swordfish, tunas and sharks. Emphasis has now been shifted to deal with broader issues of management such as multi-species assemblages or habitats, and detection of pathogens and/or diseases affecting marine organisms. Ongoing research is organized into two major components dealing with molecular genetics of pelagic fish populations, and stock structure of coastal marine fisheries of the southeastern U.S. The results of FISHTEC research may be found in numerous peer-reviewed publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of

higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845, Fax: (907) 586-7255. Use the same numbers for FTS. Email: peter.d.jones@noaa.gov. Northeast: Harold C. Mears, Director, State, Federal, and Constituent Programs Office, National Marine Fisheries Service, Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6115. Fax: (206) 526-4461. Email: Kevin.Ford@noaa.gov. Northwest Center: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. Email: Bob.Iwamoto@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Staff, Southeast Regional Office, 9721 Executive Center Drive, North, St. Petersburg, FL 33702-2432. Telephone: (727) 570-5324. Fax: (727) 570-5364. Email: jeff.brown@noaa.gov. Southwest Region: Patricia Culver, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA. 90802. Telephone: (562) 980-4239. Email: trisha.culver@noaa.gov.

Headquarters Office:

JoAnna Grable, Management and Administration Division, National Marine Fisheries Services, 1315 East-West Highway, Silver Spring, MD 20910. Telephone (301) 713-1364. Use the same numbers for FTS. Fax: (301) 713-2258. Email: joanna.grable@noaa.gov.

Web Site Address:

Alaska: <http://www.fakr.noaa.gov/omi/grants/default.htm>. Southeast Region: <http://caldera.sero.nmfs.gov/grants/programs/science.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alaska: Cooperative Fisheries Research in Alaska. Northeast: Maine Lobster Resource Assessment, Monitoring of New Hampshire's Fishery for Shell Disease in the American Lobster, Post Stratification and Calibration of a Random Stratified Lobster Ventless Trap Survey in Buzzards Bay and Vineyard Sound, A Study of the Striped Bass in the Marine District of New York State - Ocean Haul Seine Survey. Northeast Center: The Northeast Consortium is used encourage and fund collaborative research and monitoring projects within the Gulf of Maine and Georges Bank, which involve effective, equal partnerships among fishermen, scientists, and other stakeholders. Examine the population biology and dynamics of the multispecies fishery of the Northeast USA, with specific focus on the groundfish assemblage and American lobster, in support of the development of a fisheries management decision support system. Examine the population biology and dynamics of the sea scallop, from Virginia to the Hague line, USA in support of the development of a decision support system. A research and monitoring program to provide information required to sustainably manage the horseshoe crab resource for the benefit of all resource users. North Atlantic Right Whale Research Grant Program (RWRGP) is used for funding research or other activities that can provide information useful to management of North Atlantic right whales, with emphasis on understanding or mitigating factors inhibiting the species recovery. Southeast Region: Funded a cooperative program that investigated the technical, economic and commercial feasibility of farm raising marine finfish in cages in the northern Gulf of Mexico utilizing oil and gas production platforms as operation centers. Provided funds to refine, field-test and demonstrate a successful marine fisheries stock management program for the Gulf of Mexico that blends aquaculture technology with traditional fishery management practices. Georgia, South Carolina, and North Carolina were funded for their endangered species programs on sea turtles. Funded research in red drum biology to better understand survival rates in the wild. South Carolina was funded to create a taxonomic center to identify and archive marine specimens. Cooperative Institute for Fisheries Molecular Biology (FISHTEC). Northwest region: Ballard High School Maritime Academy/Seattle Public Schools.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally-mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.473 COASTAL SERVICES CENTER

(CSC)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA), NATIONAL OCEAN SERVICE (NOS), DEPARTMENT OF COMMERCE

AUTHORIZATION:

16 U.S.C. 1456C; 15 U.S.C. 1540; 33 U.S.C. 1442, 883a-d.

OBJECTIVES:

To support projects aimed at developing a science-based, multi-dimensional approach that will allow for the maintenance or improvement of environmental quality while at the same time allowing for economic growth.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Uses will be in the following Center areas: Coastal Learning Services (CLS) program will develop a training program for coastal managers and scientists. The Center's goal is to build leadership skills and capabilities to meet the changing needs within the coastal community. Coastal Learning Services (CLS) program will work with cooperators to collect and analyze physical, social, and environmental indicator data and the Center will provide technical assistance and training on performance measures. The Geographic Information Systems Integration and Development Program (GIS I&D) program will provide relevant, easily accessible spatial data, tools, and support services to the coastal resource management community by working with nationally networked organizations on geospatial solutions to issues related to coastal hazards. The Landscape Characterization & Restoration program will develop an environmental characterization of a coastal region designed for use by coastal resource managers within two or more states to address a single management issue related to coastal development. The Pacific Services Center (PSC), located in Hawaii, will provide competitive grants through the Bay Watershed Education and Training (B-WET) program to support existing environmental education programs, fosters the growth of new programs, and encourages the development of partnerships among environmental education programs throughout the Hawaii. These projects provide meaningful outdoor experiences for students and professional development opportunities for teachers in the area of environmental education.

Applicant Eligibility:

Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and state, local and Indian tribal governments.

Beneficiary Eligibility:

Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and State, local and Indian tribal governments.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circulars Nos. A-21 for agreements with education institutions, A-87 for State and local governments and A-122 for nonprofit institutions.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by 15 CFR Parts 14 and 24 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposal are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Coastal Services Center. Proposal are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the regional office for application.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

None.

Renewals:

Extension requests will be considered by CSC only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with CSC expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by CSC 45 days prior to the end date of the award. CSC will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, CSC will recommend

approval of a six-month extension for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although CSC discourages extensions of more than 12 months, CSC will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

1-3 years with funding approved annually on individual and group proposals.

Reports:

Financial Status Reports and Performance Reports are required semi-annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Records will be retained in accordance with 15 CFR Parts 14 and 24 for institutions of higher education, other non-profit organizations, and for State and local government.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants/Cooperative Agreements) FY 07 \$27,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Proposals tend to range from \$55,000 to \$378,000 under the competitive process. For Congressional directed grants (non-competitive process) funding tends to range from \$380,000 to 2,811,000 per proposal.

PROGRAM ACCOMPLISHMENTS:

For the GIS I&D program the following are accomplishments: A national level, state-based inventory of geospatial data, policies, and technology posted on the Web at <http://www.md.gisinventory.net/> as a result of Random Access Metadata Tool for Online National Assessments-Phase 1 grant project. Through a grant entitled Developing a Dynamic and Distributed GIS to Support Coastal Management Along the Lake Superior Coast of Wisconsin GIS Web sites serving spatial data relevant to coastal management along the Lake Superior coast of Wisconsin, two refereed journal articles, Web tutorials on-line at http://maps.aqua.wisc.edu/lscmp/wlscmp_index.htm, and three project workshops were completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual.

Regional or Local Office:

Jeffrey L. Payne, Deputy Director, NOAA Coastal Services Center, 2234 South Hobson Ave., Charleston, SC 29405-2413. Telephone: (843) 740-1207 or contact James Lewis A. Free, Grants Coordinator, Telephone: (843) 740-1185.

Headquarters Office:

Mr. John H. Dunnigan, Assistant Administrator, NOS, 1305 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-3074 Ext. 154.

Web Site Address:

<http://www.csc.noaa.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.419, Coastal Zone Management Administration Awards; 11.420, Coastal Zone Management Estuarine Research Reserves; 11.426, Financial Assistance for National Centers for Coastal Ocean Science; 11.428, Intergovernmental Climate Program (NESDIS); 11.429, Marine Sanctuary Program; 11.431, Climate and Atmospheric Research; 11.432, Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.440, Environmental Sciences, Applications, Data, and Education; 11.449, Independent Education and Science Projects and Programs; 11.455, Cooperative Science and Education Program.

EXAMPLES OF FUNDED PROJECTS:

(1) A priority of the GIS I&D program is to fund state and local level coastal resource management organizations proposing geospatial solutions to issues related to coastal hazards; and to provide other easily accessible spatial data, tools, and support services to the coastal management community. An example of a cooperative agreement grant awarded in 2005 by the GIS I&D program is a project with the Chatham County Emergency Management Agency. This agency is working with the Center to develop four Geographic Information Systems (GIS) applications to enhance overall planning, response, and recovery from hurricane hazards, building on previous tools such as HURREVAC, a restricted-use program developed by the Federal Emergency Management Agency (FEMA), U.S. Army Corps of Engineers (USACE), and the National Weather

Service. This project is scheduled to end in October 2006. (2) Another 2005 GIS I&D cooperative agreement grant project was awarded to the Ventura County, California, Planning Division for development of a comprehensive GIS database of biological resources at a parcel scale for Ventura County, California. This project is scheduled to end in March 2007. (3) Under the CIS branch in 2005, a \$97,570 cooperative agreement was awarded to Mississippi State University to develop a shared geospatial database for Mobile Bay and the Tennessee-Tombigbee watershed. This project is scheduled to end in September 2006.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Coastal Services Center.

11.474 ATLANTIC COASTAL FISHERIES COOPERATIVE MANAGEMENT ACT

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL MARINE FISHERIES SERVICES, DEPARTMENT OF
COMMERCE

AUTHORIZATION:

Atlantic Coastal Fisheries Cooperative Management Act, 16 U.S.C. 5107, as amended.

OBJECTIVES:

To provide assistance to eligible States and the Atlantic States Marine Fisheries Commission in order to support and encourage the development, implementation, and enforcement of effective interstate conservation and management of Atlantic Coastal resources. Activities conducted under projects will be used to collect information necessary for the preparation, implementation and enforcement of coastal fisheries management plans and State activities required within such plans. Primary users of the information would be the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast States, the National Marine Fisheries Service, and the U.S. Fish and Wildlife Service.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are restricted to "coastal fishery resources" which means any fishery, any species of fish, or any stock of fish that moves among, or is broadly distributed across, waters under the jurisdiction of two or more Atlantic Coastal States or waters under the jurisdiction of one or more Atlantic Coastal States and the exclusive economic zone. Funds can be provided to the Commission and to the specified States for the preparation, implementation and enforcement of coastal fishery management plans and State activities required within such plans. Funds can also be used for program activities to support and enhance State cooperation in collection, management and analysis of fishery data; law enforcement; habitat conservation; fishery research including biological and socioeconomic research; and fishery management planning.

Applicant Eligibility:

Eligible applicants for assistance are the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast State governments which are Maine, New Hampshire, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Pennsylvania, Delaware, Maryland, Virginia, North Carolina, South Carolina, Georgia, Florida, the Potomac River Fisheries Commission, and the District of Columbia.

Beneficiary Eligibility:

This program benefits State, and interstate marine resource conservation agencies, the marine fishing industries and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct.

Preapplication Coordination:

The standard application forms are furnished by NOAA. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design,

competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Applications must be received by the Program Office by April 1 of each fiscal year.

Range of Approval/Disapproval Time:

The application processing time is 75 days as required by 15 U.S.C. 1539 (sole source selection).

Appeals:

None.

Renewals:

Grants and cooperative agreements are generally approved on an annual basis, but may be continued beyond the initial project period, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. The Department of Commerce has the discretion to renew the award to increase funding, or extend the period of performance.

Formula and Matching Requirements:

This program has no statutory formula. Projects are funded at up to 100 percent of the total project cost. A formula may be used to determine allocation of funds among eligible applicants. This formula may be based upon factors such as (but not limited to) the value of raw fish landed by domestic fishermen and the number of recreational fishing trips taken by anglers in each State, and the number of coastal fishery management plans in which the States participate. Recipient matching contributions are not required, but are encouraged. Direct allocations will be given to the District of Columbia, the Potomac River Fisheries Commission, Atlantic Coastal States, and the Atlantic States Marine Fisheries Commission, pursuant to NOAA'S discretion in accordance with the Statute.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the reporting period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$9,250,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$13,980 to \$1,925,948. Average: \$150,000.

PROGRAM ACCOMPLISHMENTS:

Activities include the development and monitoring of interstate fishery management plans; fishery research and stock assessment; habitat conservation; and law enforcement. Others include restoration and maintenance of shared fisheries of the Atlantic Coast, with the principal emphasis on the conservation and restoration of migratory marine and diadromous fishery resources and their habitat, as well as the maintenance, enhancement, and improvement of public uses and benefits from these resources.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular No. A-87 (State and local governments) and OMB Circular No. A-122 for other recipients. For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

Northeast: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service, Northeast Regional Office, One Blackburn Dr., Gloucester MA 01930. Telephone: (978) 281-9243. Fax: (978)

281-9117. Email: Grants.Information@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: cynthia.binkley@noaa.gov.

Headquarters Office:

Director, Office of Sustainable Fisheries, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2334. Fax: (301) 713-0596. E-mail: anne.lange@noaa.gov. Web site address: http://www.nmfs.noaa.gov/sfa/state_federal/state_federal.htm.

Web Site Address:

Southeast: <http://sero.nmfs.noaa.gov/grants.htm>. Northeast: <http://www.nero.noaa.gov/statefedoff/>

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.407, Interjurisdictional Fisheries Act of 1986.

EXAMPLES OF FUNDED PROJECTS:

Northeast Region: A Cooperative State-Federal Program for Conservation of Atlantic Coastal Fisheries; Marine Recreational Fishery Statistics Survey; Interstate Fisheries Management Support Program; Implementation of the Atlantic Coastal Cooperative Statistics Program; and Biomonitoring and Assessment Project for American Shad and River Herring. Southeast Region: Florida Atlantic Coast Stock Assessments; Interstate Fisheries Management Planning and Implementation; Implementation of the Atlantic Coastal Cooperative Statistics Program; Interstate Fisheries Management Program Implementation for North Carolina; and Fisheries Conservation Programs and Management Plans.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are solicited from eligible coastal States and other eligible applicants by notification from the National Marine Fisheries Service, Northeast and Southeast Regional Offices. Proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.477 FISHERIES DISASTER RELIEF

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
NATIONAL MARINE FISHERIES SERVICE, DEPARTMENT OF
COMMERCE

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act of 1996, Section 312(a), 16 U.S.C. 1861a; Departments of Justice and State, the Judiciary, and Related Agencies Appropriations Act, Title II.

OBJECTIVES:

To deal with commercial fishery failures due to fishery resource disasters. Disaster causes may be (a) natural; (b) man-made (if they are "beyond the control of fisheries managers to mitigate through conservation and management measures"); or (c) undetermined.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds can be used for assessing the effects of commercial fishery failures, or restoring fisheries or preventing future failures, and assisting fishing communities affected by the failures. However, the Secretary of Commerce must first determine that the activity will not expand the commercial fishery failure in that fishery or into other fisheries or other geographical regions.

Applicant Eligibility:

Eligible applicants are agencies of State governments or fishing communities for programs to provide assistance to fishing communities (including fishing vessel owners, operators, and crew and United States fish processors that are based in such community).

Beneficiary Eligibility:

This program directly benefits fishing communities (including fishing vessel owners, operators, crew, and U.S. fish processors based in such communities) that are adversely affected by commercial fishery failures due to a fishery resource disaster.

Credentials/Documentation:

Applicants are required to satisfy all Department of Commerce, National Oceanic and Atmospheric Administration (DOC/NOAA) standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular No. A- 87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the agency. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the National Marine Fisheries Service. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent National Marine Fisheries Service (NMFS) office, region science center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 120 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and DOC financial assistance procedures.

Appeals:

None.

Renewals:

Grants and cooperative agreements are approved for a fixed period, but may be continued beyond that period, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding, extend the period of an award to increase funding, or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

The Federal share of any activity under this program must not exceed 75 percent of the total costs of the activity. The minimum 25 percent contribution from the recipient may be as cash or in-kind contributions.

Length and Time Phasing of Assistance:

Varies. Award funds must be spent in the indicated budget period and are expended in accordance with OMB Cost Principles and DOC financial and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted on a semi-annual basis.

Audits:

Audits are required at least biennially by DOC Office of the Inspector General auditors, or an independent public accountant, and are subject to the Audit Act of 1984, 312 U.S.C. 7501-7507. Recipients that are subject to the provisions of OMB Circular No. A-133; Audit of States, Local Governments, and Nonprofit Organizations; and that expend \$500,000 or more in Federal awards shall have an audit performed in accordance with the requirements contained in OMB Circular No. A-133. In accordance with 15 CFR Part 14.26, for-profit recipients shall have a project audit performed no less than once every two years in accordance with the Generally Accepted Government Auditing Standards. See OMB Circular No. A-133, (Revised June 27, 2003) (Audits of States, Local Governments, and Nonprofit Organizations and Information Collection Under OMB Review).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years from the date when the final financial status report is submitted unless otherwise directed by DOC/NOAA pursuant to the exception or 15 CFR Part 24, as applicable. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$343,500 to \$7,000,000. Average: \$2,781,167. FY 2006 Defense appropriations bill supplemental. Amount from USDA = \$199.8M to refurbish/rehabilitate oyster beds damaged by 2005 hurricanes and HUD = \$11.5B for disaster relief, long term recovery, restoration of infrastructure and mitigation in communities in 2005 hurricane disaster areas.

PROGRAM ACCOMPLISHMENTS:

Fishery resource assessment, contaminant monitoring, debris mapping, and development of fishing effort reduction and market-based approaches to fishery management.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants administration will be in accordance with provisions of 15 CFR Part 24 for State and Local Governments. For other projects developed to provide assistance to universities, nonprofits, and individuals, grants administration will be in accordance with 15 CFR Part 14 and costs will be determined in

accordance with OMB Circular No. A-21 for Institutions of Higher Education, Circular No. A-122 for Nonprofit Organizations, and for Commercial Organizations 48 CFR Part 31.

Regional or Local Office:

Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845, Fax: (907) 586-7255. Use the same numbers for FTS. E-mail: Shawn.Carey@noaa.gov. Northeast: Harold C. Mears, Director, State, Federal, and Constituent Programs Office, National Marine Fisheries Service; Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978)281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Steve Freese, Northwest Regional Office, NMFS, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-6113. Fax: (206) 526-4461. E-mail: steve.freese@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Staff, Southeast Regional Office, 263 13th Ave., South, St. Petersburg, FL 33702-2432. Telephone: (727) 551-5324. Fax: (727) 551-5364. E-mail: jeff.brown@noaa.gov. Southwest: Tricia Culver, Federal Program Officer, Southwest Regional Office, 501 W. Ocean Blvd., Long Beach, CA 90802-4213. Telephone: (562) 980-4239. Fax: (562) 980-4047. E-mail: tricia.culver@noaa.gov..

Headquarters Office:

Steve Aguzin, NOAA National Marine Fisheries Service, Financial Services Division, 1315 East-West Highway, Rm. 13134, Silver Spring, MD 20910 (301) 713-2358 Ext. 215. Fax: (301) 713-1306. E-Mail: Stephen.Aguzin@noaa.gov.

Web Site Address:

Alaska: <http://www.fakr.noaa.gov/omi/grants/default.htm>. Southeast: <http://caldera.sero.nmfs.gov/grants/programs/disaster.htm>.

RELATED PROGRAMS:

11.407, Interjurisdictional Fisheries Act of 1986; 11.452, Unallied Industry Projects.

EXAMPLES OF FUNDED PROJECTS:

Refurbish/rehabilitate oyster beds damaged by 2005 hurricanes and disaster relief, long term recovery, restoration of infrastructure and mitigation in communities in 2005 hurricane disaster areas.

CRITERIA FOR SELECTING PROPOSALS:

Proposals may be selected from several sources, e.g., unsolicited and solicited proposals, Congressionally-mandated projects, and applications received as a result of notices published in the Federal Register. All proposals must undergo rigorous technical and merit review. Recipients, subrecipients and their proposals are subject to all applicable Federal laws and Federal and departmental policies, regulations, and procedures applicable to Federal financial assistance awards.

11.478 CENTER FOR SPONSORED COASTAL OCEAN RESEARCH-COASTAL OCEAN PROGRAM

(CSCOR/(COP))

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA), NATIONAL OCEAN SERVICE (NOS), DEPARTMENT OF COMMERCE

AUTHORIZATION:

16 U.S.C. 1456c; 33 U.S.C. 883d; 33 U.S.C 1442; 15 U.S.C. 1540, Public Law 105-383. Act of National Weather Service and Related Agencies Authorization 1999, as amended.

OBJECTIVES:

NOAA's Coastal Ocean Program in the Center for Sponsored Coastal Ocean Research (CSCOR/COP) is a unique Federal- academic partnership designed to provide predictive capability for managing coastal ecosystems. COP seeks to deliver the highest-quality science in a timely manner for important coastal policy decisions by supporting high-priority research and interagency initiatives related to NOAA's mission in three goal areas: coastal ecosystem oceanography, cumulative coastal impacts and coastal forecasting/natural hazards. COP supports research on critical issues which exist in the Nation's estuaries, coastal waters and the Great Lakes; and translates its findings into accessible information for coastal managers, planners, lawmakers and the public.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants may be used to fund research and interagency initiatives under specific CSCOR/ COP programs related to NOAA's mission in coastal ecosystem oceanography, cumulative coastal impacts, and coastal forecasting/natural hazards.

Applicant Eligibility:

Institutions of higher education, not-for- profit institutions, State, commercial organizations, local and Indian tribal governments and Federal agencies. CSCOR/COP will accept proposals that include foreign researchers as collaborators with researchers who are affiliated with a U.S. academic institution, Federal agency, or other nonprofit organization. Nonfederal and Federal applicants will be competed against each other. For the Oceans and

Human Health Initiatives Program, eligible applicants are: Institutions of higher education, hospitals and other not-for-profit institutions, commercial organizations, State, local and Indian tribal governments. Federal agencies are not eligible to receive federal assistance under this program.

Beneficiary Eligibility:

Organizations and individuals with interests in coastal oceanography. And for the OHHI program, organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102. And is not considered significant for purposes of E.O 12866.

Application Procedure:

Send an application for financial assistance (Standard Form 424) to CSCOR/COP with statement of work to be performed and proposed amount in response to a current funding announcement only. This program is subject to the requirements of CFR Part 14, "Uniform Administrative Requirements for Grants and Agreements with Institutions of High Education, Hospitals, Other Nonprofit and Commercial Organization, which replaces OMB Circular No. A-110 as the administrative rules applicable to these awards. In addition, this program is subject to the requirements of 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments", which replaces OMB A-102 as the administrative rule applicable to these awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. Application package will then be submitted directly to the Center for Sponsored Coastal Ocean Research/Coastal Ocean Program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of competitive peer review of proposals.

Deadlines:

Proposals must be submitted in accordance with instructions published in the Federal Register notice. The deadline date will be at least 30 days after the date of publication in the Federal Register.

Range of Approval/Disapproval Time:

From 90 to 210 days from the time of proposal receipt.

Appeals:

None.

Renewals:

By negotiation with the CSOR/COP Office. Multi- year awards of two to five years may be approved. Once awarded, multi- year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

From one to five years, with funding approved annually.

Reports:

Implementation plans are required annually. Progress reports are due annually. Financial status reports are required semi- annually. Final reports are due ninety days after expiration of award.

Audits:

Recipients and subrecipients that are State and local governments, institutions of higher education or other nonprofit organizations shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (3 U.S.C. 7501-7507) and revised OMB Circular No. A-133. For the OHHI program, In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

FY 07 \$16,000,000; FY 08 est not available; and FY 09 est not reported. For the OHHI program, those funds are allocated within the COP budget dollar amounts.

Range and Average of Financial Assistance:

\$25,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

The Coastal Ocean Program is currently funding over 68 competitively awarded ongoing extramural research commitments to over 80 external marine science institutions. This cutting-edge research has already and will in the future benefit the management of U.S. coastal resources and increase our understanding of the coastal ocean. Projects are underway to unravel the ecology and oceanography of harmful algal blooms and help coastal states manage HAB associated health and economic risks; understand the effects of human impacts on coral reef ecosystems in the Caribbean and Micronesia; assess the potential impacts of the Everglades restoration project on South Florida ecosystems; understanding the effects of climate variability on fish stocks in the Northwest Pacific and Northwest Atlantic; and detecting and assessing the extent of the hypoxic area in the Northern Gulf of Mexico. CSCOR studies will also examine the impacts of multiple stressors on coastal ecosystems in Louisiana and integrate the findings of recently completed east coast research projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Rob Magnien, Director, NOAA/CSCOR/COP, 1305 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-3338, Fax: (301) 713-4044. For the OHHI program: Hal Stanford, 1305 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-3020, Ext. 135, Fax (301) 713-4044.

Headquarters Office:

Center for Sponsored Coastal Ocean Research, Coastal Ocean Program, National Ocean and Atmospheric Administration, 1305 East West Highway, Room 8243, Silver Spring, MD 20910. Telephone: (301) 713-3338.

Web Site Address:

<http://www.cop.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Coastal Ocean Program uses a regional, multi-disciplinary approach to understanding and predicting the impacts of natural and anthropogenic variability on coastal ecosystems, communities, and economies. The main goal of the Coastal Ocean Program (COP) is to improve predictions for coastal management through research to understand and describe coastal systems, data synthesis and modeling to assess and predict ecosystem conditions, and translation of scientific results to engage, inform, and advise the public. The COP sponsors competitive, peer-reviewed research to collaborate with and augment NOAA-based research programs focused on the coastal ocean, estuaries, and coastal margins.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to COP's research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.480 NATIONAL OCEAN SERVICE INTERN PROGRAM

(NOS Intern Program)

FEDERAL AGENCY:

DEPARTMENT OF COMMERCE, NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, NATIONAL OCEAN SERVICE

AUTHORIZATION:

15 U.S.C. 1540.

OBJECTIVES:

To establish an Intern Program which will provide unique opportunities for cooperative study, research, and development that would be of major benefit in advancing the number and diversity of skilled engineers, scientists, and managers in the environmental arena who are familiar with the techniques and technologies used by the National Ocean Service (NOS).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Primary grantee may use funds to recruit and evaluate candidate interns and to administer and fund stipends for implemented internships.

Applicant Eligibility:

Primary awards will be available to nonprofit organizations. Internships are restricted to recent college graduates and have a maximum cumulative duration of 24 months for any individual.

Beneficiary Eligibility:

Benefits to the Nation are increased opportunities for recent college graduates to increase public awareness and gain exposure to government programs that affect the Nation's coastal areas and resources. This program will also promote interest in civil service as a career. Benefits also include a transfer of the techniques, technologies, and methods used by NOS to the next generation of engineers, scientists, and managers in the environmental arena. Interns who are selected by the program will receive increased training and skill enhancement as identified by the particular Internship opportunity in which they participate.

Credentials/Documentation:

Applicants are required to satisfy all Department of Commerce (DOC) and National Oceanic and Atmospheric Administration (NOAA) standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular Nos. A-21 for educational institutions and A-22 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

Grantee: Candidate partners will submit an application for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR 24, Grants and Cooperative Agreements with State and Local Governments, as applicable. The Statement of work should include the proposed plan for advertising and recruitment, costs for recruiting, selecting, and administering an intern based on duration and stipend level of the internship. Intern: Intern candidates will apply for internships developed, documented, and funded by a component of NOS, and transmitted, after review by the project office, to the grantee who shall advertise them according to their proposed plan. The grantee will screen the applicants based on the documented requirements of the internship and transmit the highest qualified (typically 5 to 10) to NOS for further review. NOS will assign priority sequences to the candidates who will be offered the internship by the grantee in that order. Funding of the intern and administrative supervision will be through the grantee according to their proposal. The interns will work in Governmental offices under the technical supervision of the Government. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Office of Coast Survey. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable. In FY06 this grant closed and no further funding accepted.

Award Procedure:

Awards are made on the basis of proposal evaluation, technical review, and administrative review.

Deadlines:

Applications must be received by NOS 45 days following announcement in the Federal Register.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 180 days, which includes processing of the award through the NOS, NOAA, and DOC financial assistance functions.

Appeals:

None.

Renewals:

Cooperative activities are generally approved on an annual fiscal year basis, but may be continued beyond the first year, subject to satisfactory progress and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Five years with funding approved annually.

Reports:

Implementation plans are required annually. Progress reports are due semi-annually. Financial status reports are required quarterly. Final reports are due ninety days after expiration of reward.

Audits:

Recipients will be subject to the provisions of the Single Audit Act Amendments of 1996 and revised OMB Circular No. A-133.

Records:

None.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Indeterminate and highly variable. Minimum of \$40,000 and maximum of \$1,800,000 per year (1 to 50 interns).

PROGRAM ACCOMPLISHMENTS:

The initial grant was issued on March 12, 1999, for \$267,690 to Environmental Careers Organization covering seven (7) internships, after an open competition which closed October 5, 1998, in response to a Federal Register Notice published August 25, 1998, Vol 63, #164, p. 45223-45227. Additional grants were issued on 10/1/99 covering 2 interns, 7/17/00 covering 4 new interns and extending 2 previously initiated interns. Grants were issued on 7/30/01 covering 12 new interns and extending 3 previously initiated interns and on 9/30/01 covering 8 new interns and extending 3 previously initiated interns. On 12/31/01 a grant covering 4 new interns and extending 5 others was issued. A grant was issued on September 30, 2002 to Environmental Careers Organization covering seven twenty (20) new internships and extending sixteen (16) Internships, after an open competition which closed June 10, 2002, in response to a Federal Register Notice published April 25, 1998, Vol 67, #164, pg 20487-20491. On September 30, 2003, a renewal of the grant covering 12 new interns and extending 13 others was issued. Currently, the grant covers over 30 interns working in varied fields including cartography, oceanography, web design, and international policy. Currently, the grant has closed and no longer accepts funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Office of Coast Survey, National Ocean Service, 1315 East West Highway, 6th Floor, Silver Spring, MD 20910. Telephone: (301) 713-2780, ext. 137. Attn: Curtis C. Loy.

Web Site Address:

None at this time.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to a review process taking into consideration the proposed recruiting plan, qualifications of the applicant, costs, and previous experience.

11.481 EDUCATIONAL PARTNERSHIP PROGRAM

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA),
NOAA RESEARCH, DEPARTMENT OF COMMERCE

AUTHORIZATION:

15 U.S.C. 1540, Executive Orders 13256, 13230 13021 and 13339.

OBJECTIVES:

To continue development of a program aimed at increasing research and educational partnerships between NOAA and Minority Serving Institutions. Partnerships are achieved through collaboration with NOAA Cooperative Science Centers in atmospheric, oceanic, environmental sciences, environmental technology, and remote sensing. An Environmental Entrepreneurship Program has been implemented to support restoration projects in environmentally depleted zones and program development in NOAA-related sciences at minority serving institutions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used to support a distinguished professor, research, development programs and students undertaking course work and/or research in NOAA related sciences at minority serving institutions.

Applicant Eligibility:

Applicants are defined as qualified minority serving institutions based on the 2003 United States Department of Education definition of minority serving institutions. Non-minority institutions may also participate in this program when partnered with a minority serving institution. Institutions applying to be considered a designated Cooperative Science Center in atmospheric, oceanic and environmental sciences, environmental technology and remote sensing must have a graduate program in the respective category.

Beneficiary Eligibility:

The benefits to the Nation are an increased number of academic institutions capable of providing services and products in support of the agency's mission to describe and predict changes in the environment, and to conserve and manage the nation's coastal and marine resources to ensure sustainable economic opportunities. Collaboration among NOAA and academic institutions will not only increase the number of trained professionals in the NOAA related sciences, it will also lead to a larger number of institutions participating in collaborative

research and co-management of the nation's natural resources with State, local and tribal governments.

Credentials/Documentation:

Applicants are required to satisfy all DoC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of an application package which includes the Standard Form SF-424 Application for Federal Assistance, SF-424A Budget Information Non-Construction Programs, SF-424B Assurances Non-Construction Programs, statement of work, budget and supporting details, and CD-511 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug Free Workplace Requirements, and Lobbying must be used when applying for Federal funds. This program is subject to the provisions of 15 CFR Part 14.

Award Procedure:

Awards are made on the basis of proposal evaluation, technical review and administrative review.

Deadlines:

Applications for funding must be received by NOAA Educational Partnership Program, at least 45 days following the announcement in the Federal Register.

Range of Approval/Disapproval Time:

Approval time is anticipated to range from 90 to 150 days, which includes processing of the award through NOAA Research, NOAA and DoC financial assistance functions.

Appeals:

None.

Renewals:

Cooperative activities are generally approved on an annual fiscal year basis, but may be continued for up to five years, subject to approved time frame, program development plan, satisfactory progress, and availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From one to five years with funding approved annually.

Reports:

Periodic progress and financial reports are required. However, the reporting frequency may be changed to be more or less frequent progress reports should summarize work accomplished and explain situations where expected work has not been completed. Financial reports must indicate use of funds during the semi-annual period. Final reports are due ninety days after expiration of the award.

Audits:

Recipients will be subject to the provisions of the Single Audit Act Amendments of 1996 and revised OMB Circular A-133, "Audits of States and Local Governments and Nonprofit Organizations".

Records:

Financial records and support documents and all other records, i.e., property, performance, work products, patents etc. pertinent to the agreement shall be maintained for a period of three years from the date on which the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Grants; Cooperative Agreements) FY 07 \$14,400,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$20,000 to \$2,500,000 per year.

PROGRAM ACCOMPLISHMENTS:

NOAA funded four Cooperative Science Centers established at minority institutions in the areas of atmospheric, oceanic and environmental sciences and remote sensing. In addition, NOAA funded proposals in support of environmental restoration and high school training in the full range of natural and social sciences that support the agency's mission. To date, NOAA has hired 24 students from underrepresented communities in FTE positions since the program began in FY 2001. Twelve of these hires are Ph.D., and twelve are MS students. In addition, 15 Ph.D., 69 MS and 192 BS degree students have graduated from the designated Cooperative Science Centers with degrees in NOAA related sciences. There are currently 79 Ph.D. 100 MS and 223 BS students in training at the Science Centers sponsored by NOAA's Educational Partnership Program with Minority Serving Institutions.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular No. A-21, "Cost Principles for Educational Institutions". For grants administration requirements, see 15 CFR Part 14, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Regional or Local Office:

None.

Headquarters Office:

National Oceanic and Atmospheric Administration, Educational Partnership Program, Office of Oceanic and Atmospheric Research, Education Partnership Program, 1315 East-West Highway, SSMC 3, Room 10600, Silver Spring, MD 20910. Telephone: (301) 713-9437. Attn: Jacqueline J. Rousseau.

Web Site Address:

<http://epp.noaa.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(a) Cooperative Science Centers (b) High School Pipeline Projects Enhancement Projects (c) Demonstration Research Projects (d) Student Scholarship Programs.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to a review process taking into consideration the applicant(s) Performance Plan, research plans, scientific merit, investigator's qualifications, cost and available funds. Proposals must undergo a rigorous technical review and comply with all OMB, DoC and NOAA grant policies and procedures. Specific information about the selection process will be included in the solicitation.

11.550 PUBLIC TELECOMMUNICATIONS FACILITIES PLANNING AND CONSTRUCTION

(PTFP)

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Communications Act of 1934, Title III, Part IV, 47 U.S.C 390-394, 397-399 (b) as amended, Public Law 87-447, 76 Stat. 64; Science, State, Justice, Commerce, and Related Agency Appropriations Act of 2006; Public Law 109-108.

OBJECTIVES:

To assist in the planning, acquisition, installation, and modernization of public telecommunications facilities, through planning grants and matching construction grants, in order to: (a) extend delivery of public telecommunications services to as many citizens of the United States and territories as possible by the most efficient and economical means, including the use of broadcast and nonbroadcast technologies; (b) increase public telecommunications services and facilities available to, operated by and owned by minorities and women; and (c) strengthen the capability of existing public television and radio stations to provide public telecommunications service to the public.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides grants for the planning and construction of public telecommunications facilities. Matching grants are given for apparatus necessary for the production, dissemination, interconnection, captioning, broadcast, or other distribution of noncommercial educational, cultural radio and television programs, as well as noncommercial instructional or informational services. Buildings and renovations of buildings, land, operational expenses, and indirect costs are ineligible.

Applicant Eligibility:

A public or noncommercial educational broadcast station; a noncommercial telecommunications entity; a system of public telecommunications entities; a nonprofit foundation, corporation, institution or association organized primarily for educational or cultural purposes; State, local, and Indian Tribal governments (or an agency thereof); or a political or special purpose subdivision of a State. Special consideration is given to applications which would increase minority and women's ownership of, operation of, and participation in public telecommunications entities.

Beneficiary Eligibility:

General public and students.

Credentials/Documentation:

All applicants must supply proof of eligibility. Applicants must supply proof of their Federal Communications Commission (FCC) license, permit, or application if FCC authorization is required for any aspect of the proposed project. Applicants must provide assurances required under Program Rules and Regulations.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance in preparing application forms. This program is eligible for coverage under E.O.12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants must coordinate their project plans with the State educational television, radio, or telecommunications agency and must file an application with the FCC if an FCC authorization is required for the proposed project.

Applicants must show evidence of participation in comprehensive planning in the area to be served including an evaluation of alternate technologies.

Application Procedure:

The application forms as furnished by the Federal Agency and approved by OMB must be used for this program. The Application Kit can be requested from the Agency or retrieved from the web-site at www.ntia.doc.gov/ptfp. By the deadline, applicants must file a completed PTFP Application Form, Project Narrative, Project Budget forms, relevant exhibits, CD-511, CD 346, SF 424B, and SF LLL. Where applicable, applicants must notify the State Telecommunications Agency, State Single Point of Contact, and the Federal Communications Commission. Applications postmarked or received after the deadline are returned to applicants.

Award Procedure:

Applications are reviewed on the basis of funding criteria for construction and planning applications as set forth in the regulations. The Administrator of NTIA may select an application for funding, in whole or in part, and will notify the applicant, the relevant State agency, the FCC, and the Corporation for Public Broadcasting.

Deadlines:

The Administrator of NTIA shall select and publish in the Federal Register the date by which applications must be filed in order to be considered for funding in the current fiscal year. For fiscal year 2008 consideration, the deadline for filing applications is February 22, 2008.

Range of Approval/Disapproval Time:

Awards for the current fiscal year are generally announced in 180 days.

Appeals:

None.

Renewals:

Applications that are not funded may be reactivated upon request of the applicant during the two consecutive years following the applicant's initial receipt by the agency, as provided by program regulations.

Formula and Matching Requirements:

47 U.S.C 392 (b) and (c); 15 CFR 2301.6. The Administrator may provide up to 100 percent of the funds necessary for the planning of a public telecommunications facility. The maximum amount of a federal grant for the construction of a public telecommunication facility is 75 percent of the eligible project costs.

Length and Time Phasing of Assistance:

Projects must be completed within a reasonable period of time, generally one to two years as specified in the award document. Prompt completion is expected. Extensions, however, may be requested in writing if circumstances require additional time. Extensions must be approved by the Grants Officer. Financial assistance is generally released as required during the award period.

Reports:

During construction, quarterly progress reports must be submitted. The Federal Government maintains a ten-year reversionary interest in the use of the facilities from the date of completion. Annual reports must be submitted before April 1st of each year during such period.

Audits:

Audits will be conducted in accordance with the terms and conditions of the award and OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Recipients of construction grants shall keep a complete and itemized inventory of equipment for all public telecommunications facilities under their control. All grantees shall keep complete, current and accessible financial records on all funds associated with the total project. All records of accounts, supporting documents and project records must be retained by the recipient and subrecipient for a period of three years from the date of submission of the final Financial Status Report.

Account Identification:

13-0551-0-1-503.

Obligations:

FY 07 \$22,450,443; FY 08 est \$19,456,872; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$6,787 to \$1,189,250. Average: \$190,258.

PROGRAM ACCOMPLISHMENTS:

During 2007, the program awarded 118 grants for \$22.45 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Telecommunications Facilities Program and Policy Statement, Final Rules, 15 CFR 2301 printed in 61 FR 57966 (November 8, 1996). Written request to the Director, Public Telecommunications Facilities Program, will obtain application forms containing regulations, authorizing legislation, and other pertinent materials. Costs will be determined in accordance with OMB

Circular Nos. A-21 for institutions of higher education, A-87 for State, Local, and Indian Tribal Governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and Local Governments.

Regional or Local Office:

None.

Headquarters Office:

Mr. William Cooperman, Director, Public Telecommunications Facilities Program, Office of Telecommunications and Information Applications/NTIA, Room 4812, Department of Commerce, 1401 Constitution Avenue, NW, Washington, DC 20230. Telephone: (202) 482- 5802.

Web Site Address:

<http://www.ntia.doc.gov/ptfp>.

RELATED PROGRAMS:

10.861, Public Television Station Digital Transition Grant Program.

EXAMPLES OF FUNDED PROJECTS:

(1) A project to replace the antenna and STL system as part of digital conversion and improvement of WVPE Public Radio, Elkhart Community Schools' coverage; (2) a project to convert the WHYY-DT production facilities to HD by the purchase of HD cameras and production control room equipment, including switching, audio console, and special effects; and (3) a project in which the Foundation for the California Community Colleges will purchase a video control unit and server for a video-on-demand distance learning system for the California Community Colleges' statewide network.

CRITERIA FOR SELECTING PROPOSALS:

In order to achieve the objectives of Section 393 of the Communications Act, the Administrator, in determining whether to approve a construction grant application in whole or in part and the amount of such grant, will consider the projects conformance with priorities, and funding criteria set forth in the Act and the PTFP Rules and Regulations.

11.553 SPECIAL PROJECTS

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Science, State, Justice, Commerce, and Related Agencies Appropriations Act. Communications Act of 1934, 47 U.S.C. 309(j)(8)(E) as amended, Public Law 109-171 Deficit Act of 2005, Title III - Digital Television Transition and Public Safety, February 8, 2006.

OBJECTIVES:

To assist various organizations identified by Congress or through non-competitive grants to achieve agency objectives.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress or through non-competitive grants.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation or other authority that provides for non-competitive grants.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

None.

Preapplication Coordination:

Preapplications are not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Deadlines:

None. However, to ensure processing during the fiscal year that funds are appropriated, applications should be submitted to NTIA by June 30.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements, except when specified by Congress.

Length and Time Phasing of Assistance:

None.

Reports:

Financial and progress reports are to be submitted in accordance with the terms

and conditions of the award. Financial status reports (SF-269) are submitted on a quarterly basis. Performance reports are submitted on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), recipients that are States, Local Governments, Non-profit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). In accordance with the provisions of OMB Circular No.A-133, Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub- recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0500-0-1-376; 13-0515-0-1-376.

Obligations:

(Grants) FY 07 \$7,855,000; FY 08 est \$21,645,000; FY 09 est \$4,500,000.

Range and Average of Financial Assistance:

From \$7,855,000 to \$21,645,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. Grants management will be in accordance with the provisions of 15 CFR Part 14 for institutions of higher education, other nonprofit organizations, for-profit organizations; and 15 CFR Part 24 for State and local governments

Regional or Local Office:

None.

Headquarters Office:

Bernadette McGuire-Rivera, Ph.D., Associate Administrator, Office of Telecommunications and Information Applications/NTIA, Room 4812, U.S. Department of Commerce, 1401 Constitution Avenue, NW, Washington, DC 20230. Telephone: (202) 482-5802. FAX: (202) 501-8009.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

11.554 LOW-POWER TELEVISION AND TRANSLATOR DIGITAL-TO-ANALOG CONVERSION

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Communications Act of 1934, 47 U.S.C.309(j)(8)(E) as amended, Public Law 109-171 Deficit Reduction Act of 2005, Title III - Digital Television Transition and Public Safety, February 8, 2006.

OBJECTIVES:

To convert the incoming digital signal of the eligible low-power station's corresponding full-power television station to analog format for transmission on the low-power television stations analog channel.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides compensation toward the cost of the purchase of a digital-to-Analog conversion device.

Applicant Eligibility:

Low-Power television broadcast station, Class A television station, television translator station, or television booster station that is itself broadcasting exclusively in analog format and has not purchased a digital-to-analog conversion device prior to the enactment of the Digital Television Transition and Public Safety Act of 2005, February 8, 2006. Priority compensation shall be

given to eligible low-power television stations in which the license is held by a non-profit corporation and eligible low-power television stations that serve rural areas of fewer than 10,000 viewers.

Beneficiary Eligibility:

General public in area served by the eligible station.

Credentials/Documentation:

All applicants must supply proof of eligibility. Applicants must certify proof of Federal Communications Commission (FCC) license. Applicants must provide assurances required under Program Rules and Regulations.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance in preparing application forms. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms as furnished by the Federal Agency/LPTV and approved by OMB must be used for this program. The Application Kit can be retrieved from the web-site at www.ntia.doc.gov/. By the deadline applicants must file a completed application form, required certifications and complete ACH payment form. Applications postmarked or received after the deadline are returned to applicants.

Award Procedure:

Applications are reviewed for completeness and verified with FCC data. Payments are direct electronic transfers through the Automated Clearing House (ACH) system.

Deadlines:

An eligible low-power television station may receive compensation from this program only if it submits a request for such compensation on or before February 17, 2009.

Range of Approval/Disapproval Time:

Award payments are generally within 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

ACH payments are generally within 90 days.

Reports:

None.

Audits:

Audits may be conducted in accordance with the terms and conditions of the award and Department of Commerce Financial Assistance Standard Terms and Conditions.

Records:

Grant recipient must retain records for three years.

Account Identification:

13-5396-0-1-503.

Obligations:

FY 07 \$10,000,000; FY 08 est \$10,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from www.ntia.doc.gov/lptv.

Regional or Local Office:

None.

Headquarters Office:

Mr. William Cooperman, Director, Broadcast Division, Office of Telecommunications and Information Applications/NTIA, Room 4812, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230. Telephone: (202) 482-5802.

Web Site Address:

www.ntia.doc.gov/lptv.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Priority compensation shall be given to eligible low-power television stations in which the license is held by a nonprofit corporation and eligible low-power television stations that serve rural areas of fewer than 10,000 viewers.

11.555 PUBLIC SAFETY INTEROPERABLE COMMUNICATIONS GRANT PROGRAM

(PSIC)

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATION AND INFORMATION

ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

The Deficit Reduction Act of 2005, (Public Law 109-171), as amended by Section 2201 of Public Law 110-53, and Section 4 of the Call Home Act of 2006, Public Law 109-459.

OBJECTIVES:

To assist public safety agencies in the acquisition of, deployment of, or training for the use of interoperable communications systems that can utilize or enable interoperability with communications systems that can utilize reallocated public safety spectrum for radio communications.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides grants to assist public safety agencies in the planning and coordination associated with, acquisition of, deployment of, or training for the use of interoperable communications equipment, software and systems that utilize reallocated public safety spectrum for radio communication; enable interoperability with communications systems that can utilize reallocated public safety spectrum for communication; or otherwise improve or advance the interoperability of public safety.

Applicant Eligibility:

The PSIC grant will be awarded to the 56 States and Territories. The Governor of each State and Territory has designated a State Administrative Agency (SAA), which can apply for and administer the funds under the PSIC Grant Program. The SAA is the only agency eligible to apply for PSIC funds.

Beneficiary Eligibility:

The SAA is responsible for obligating PSIC funds to eligible pass-through, public safety recipients.

Credentials/Documentation:

All applicants must be a public safety agency that is a State, local, or tribal government entity or nongovernmental organization authorized by such entity, whose sole or principal purpose is to protect safety of life, health, or property.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance in preparing application forms. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The SAAs must apply for PSIC Grant Program funding through the online Grants.gov system through the Authorized Organization Representative (AOR.) Instructions are available on the Grants.gov website (www.grants.gov).

Award Procedure:

Each applications will be reviewed by NTIA and DHS for completeness, adherence to programmatic guidelines, feasibility, and how well the proposed solution addresses the identified need or capability shortfall. If submissions do not meet PSIC requirements, they will be returned to the submitting State or Territory and funds will be withheld until the applicant meets all PSIC requirements. Upon approval of the application, the grant will be awarded to the SAA. Grantees can draw down and expend funds through the DHS Payment and Reporting System.

Deadlines:

The deadline for filing applications was August 22, 2007. The deadline for submitting the required Statewide Communications Interoperability Plans and Investment Justifications was December 3, 2007.

Range of Approval/Disapproval Time:

Award payments are generally within 90 days of application approval.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Each public safety agency receiving PSIC funds is required to meet and document the 20 percent statutory match requirement for each project, during the period of performance of the grant program.

Length and Time Phasing of Assistance:

Payment and Reporting System payments are generally within 90 days.

Reports:

None.

Audits:

Audits may be conducted in accordance with the terms and conditions of the award and Department of Commerce Financial Assistance Standard Terms and Conditions. The Implementing Recommendations of the 9/11 Commission Act of 2007, requires the Departments Office of Inspector General to report annually on the management of the NTIA PSIC program to Congress, and conduct financial audits on not fewer than 25 States or territories receiving NTIA PSIC grant awards.

Records:

Grant recipient must retain records for three years.

Account Identification:

13-5396-0-1-503.

Obligations:

FY 07 \$968,385,000; FY 08 \$0; and FY 09 est not reported.

Range and Average of Financial Assistance:

PSIC funds were allocated using a two-part process. First, each State, the District of Columbia and Puerto Rico will receive a minimum of \$3 million and each Territory will receive a minimum of \$500,000 to ensure that it will be able to make meaningful improvements to its interoperable communications capabilities. The remaining 80 percent of funds are allocated to States and Territories using a formula similar to the current Homeland Security Grant Program (HSGP) risk formula, this formula is the product of three variables: threat, vulnerability and consequence.

PROGRAM ACCOMPLISHMENTS:

The PSIC Grant Program awarded \$968,385,000 to fund interoperable communications projects from the 56 States and Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from www.ntia.doc.gov/psic.

Regional or Local Office:

None.

Headquarters Office:

Laura Pettus, Program Specialist, Office of Telecommunications and Information Applications/NTIA, Room 4812, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230. Telephone: (202) 482-5802.

Web Site Address:

www.ntia.doc.gov/psic.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Priority compensation shall be given to public safety agencies that seek solutions that (1) achieve meaningful and measurable improvements in the state of interoperability for public safety communications and (2) fill interoperability gaps identified in the Statewide Plans.

11.556 TV CONVERTER BOX COUPON PROGRAM

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Communications Act of 1934, 47 U.S.C.309(j)(8)(E) as amended, Public Law 109-171 Deficit Reduction Act of 2005, Title III - Digital Television Transition and Public Safety, February 8, 2006.

OBJECTIVES:

After February 17, 2009, all full-power television stations will broadcast only in digital. If you use "rabbit ears" or a rooftop antenna with your analog television set, you must take some action to continue receiving television broadcasts. The Federal Government is offering US households up to two \$40 coupons to help with the cost of certified TV converter boxes. A converter box is a one-time purchase that will allow your analog TV to work after February 17, 2009. Coupons are free but supply is limited. Coupons will be mailed by the Federal Government. Applications will be accepted from January 1, 2008 until March 31, 2009. Coupons expire after 90 days and cannot be re-issued.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides financial assistance to off-set the cost of a certified digital-to-analog converter box.

Applicant Eligibility:

All consumers in the 50 U.S. States and territories are eligible for the first 22.25 million coupons. Only households who rely on over-the-air broadcasts are eligible for the additional 11.25 million coupons.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Program contractor maintains telephone and website 24/7. Telephone operations can accommodate English, Spanish, French, Russian, simple Chinese, Vietnamese, and Tagalog. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Application can be retrieved from the website at www.DTV2009.gov; or consumers may call (1-888) 388-2009 (24 hour hot-line), TTY - (English) (1-877)530-2634, or TTY (Spanish) (1-866) 495-1161. Applicants may also mail requests to DTV Coupon Program, P.O. Box 9000, Portland, OR 97208-2000; or Fax to (1-877)388-4632. Applicants must request coupon(s) by March 31, 2009.

Award Procedure:

Applications are reviewed for completeness. Coupons are mailed directly to applicant's home.

Deadlines:

Consumers must request coupons on or before March 31, 2009.

Range of Approval/Disapproval Time:

Coupons are generally mailed within 14 TO 21 days after the application is accepted.

Appeals:

Yes. Appeals can be made through a mail-in process.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Coupons expire 90 days after they are mailed. Each coupon has an expiration date printed on it.

Reports:

None.

Audits:

Audits may be conducted in accordance with the terms and conditions of the award and Department of Commerce Financial Assistance Standard Terms and Conditions.

Records:

None.

Account Identification:

13-5396-0-1-503.

Obligations:

FY 07 \$0; FY 08 est \$429,424,000; and FY 09 est \$471,870,000.

Range and Average of Financial Assistance:

\$40 - \$80.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from www.ntia.doc.gov/dtvcoupon.

Regional or Local Office:

None.

Headquarters Office:

Anthony Wilhelm, Consumer Education Director, Office of Telecommunications and Information Applications/NTIA, Room 4812, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230. Telephone: (202) 482-2048.

Web Site Address:

www.dtv2009.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

11.601 CALIBRATION PROGRAM

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 271 et seq.

OBJECTIVES:

To provide a consistent system of physical measurement in the United States of America.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Provides traceability to the National Standards for measurements that are used for assurance of interchangeability and uniformity of manufactured items, for process control, for informational and scientific purposes, and for fairness and objectivity in commerce and regulation. There are no use restrictions.

Applicant Eligibility:

Calibrations and tests are provided on a fee basis to state and local governments, academic institutions, scientific laboratories, industrial firms, corporations, and individuals.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of purchase order to appropriate office for service of interest: Calibration Program, 100 Bureau Drive Stop 2330, National Institute of Standards and Technology, Department of Commerce, Gaithersburg, MD 20899-2330; or to M.C. 818.01, National Institute of Standards and Technology, Department of Commerce, Boulder, CO 80303.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

13-4650-0-4-376.

Obligations:

(Operating expenses) FY 07 \$7,877,400; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Standards and Technology Special Publication 250 "Calibration and Related Measurement Services of the National Institute of Standards and Technology, and NIST 250 appendix, "Fee Schedule"" available on the web. Program Literature: The NIST Technical Notes (TN's), Special Publications (SP's), and Monographs listed in SP 250, may be obtained at the price indicated from the Superintendent of Documents, Government Printing Office, Washington, DC 20402; or from the National Technical Information Service, Springfield, VA 22151.

Regional or Local Office:

Not applicable.

Headquarters Office:

Calibration Program, National Institute of Standards and Technology, Department of Commerce, 100 Bureau Drive, Stop 2330, Gaithersburg, MD 20899-2330. Telephone: (301) 975-2092. E-mail: calibrations@nist.gov. Fax: (301) 869-3548.

Web Site Address:

<http://ts.nist.gov/ts/htdocs/230/233/calibration>.

RELATED PROGRAMS:

11.603, National Standard Reference Data System; 11.604, Standard Reference Materials; 11.606, Weights and Measures Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.603 NATIONAL STANDARD REFERENCE DATA SYSTEM

(NSRDS)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Standard Reference Data Act, Public Law 90-396, 15 U.S.C. 290; National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 271 et seq.

OBJECTIVES:

To make evaluated scientific and technical data readily available to scientists, engineers, and the general public.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

NIST standard reference data aid scientists and engineers by providing reliable evaluated information on the chemical, physical, and other properties of

substances and systems. Evaluations are carried out through a network of data centers and projects. Data compilations published, and databases and online systems established by the program are for sale without restriction. There are no specific use restrictions.

Applicant Eligibility:

Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are for sale subject to copyright restrictions set forth in 15 USC 290.

Beneficiary Eligibility:

Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are for sale subject to copyright restrictions set forth in 15 USC 290.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Purchase orders should be directed to the Standard Reference Data Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 2320, Gaithersburg, MD 20899-2320, or <http://www.nist.gov/srd>.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

No renewals. Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-0500-0-1-376; 13-4650-0-4-376.

Obligations:

FY 07 \$4,281,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Publications and computerized databases needed by the scientific and engineering communities are issued at a rate of approximately 20 publications and 5-10 databases per year. In addition, data are available via several online web based systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Catalog available on Internet at <http://www.nist.gov/srd>.

Regional or Local Office:

Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Standard Reference Data Program, National Institute of Standards and Technology, Department of Commerce, 100 Bureau Drive Stop 2320, Gaithersburg, MD 20899-2320. Telephone: (301) 975-2208. Fax: (301) 926-0416.

Web Site Address:

<http://www.nist.gov/srd>.

RELATED PROGRAMS:

11.604, Standard Reference Materials; 11.650, National Technical Information Service; 42.007, Reference Services in Science, Technology and Business.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.604 STANDARD REFERENCE MATERIALS

(SRM)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 272 et seq.

OBJECTIVES:

Standard Reference Materials are certified and issued by NIST to develop accurate methods of analysis; to calibrate measurement systems used to: (1) facilitate the exchange of goods, (2) institute quality control, (3) determine material performance characteristics, (4) measure materials at state-of-the-art limits, and (5) assure the long-term adequacy and integrity of measurement quality assurance programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Standard Reference Materials are used as primary reference measurement standards in such areas as clinical laboratories testing, air and water pollution monitoring, low-level radioactive pollution monitoring, and production control of basic materials, such as steel, rubber, cement, and plastics. Standard Reference Materials are intended for measurement quality control, regulatory compliance, product development, and scientific research.

Applicant Eligibility:

Federal agencies, State and local governments, societies, institutions, firms, corporations, and individuals may purchase the materials.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Purchase orders should be directed to the Standard Reference Materials Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2322, Gaithersburg, MD 20899-2322.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-4650-0-4-376.

Obligations:

(Operating expenses) FY 07 \$12,025,100; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006 sales are estimated to be 30,500 units.

REGULATIONS, GUIDELINES, AND LITERATURE:

General information is available at no cost from the Standard Reference Materials Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 2322, Building 820, Room 135, Gaithersburg, MD 20899-2322. E-mail: srminfo@nist.gov. Catalogs and price lists may be viewed electronically by accessing URL <http://www.nist.gov/srm> or can be obtained from the Superintendent of Documents, Government Printing Office, Washington, DC 20402 (Order C13.10.260).

Regional or Local Office:

Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Standard Reference Materials Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2322, Building 820, Room 113, Gaithersburg, MD 20899-2322. Telephone: (301) 975-6776. Use the same number for FTS. Fax: (301) 948-3730. E-mail: srminfo@nist.gov.

Web Site Address:

<http://www.nist.gov/srm>.

RELATED PROGRAMS:

11.601, Calibration Program; 11.603, National Standard Reference Data System; 11.650, National Technical Information Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.606 WEIGHTS AND MEASURES SERVICE**FEDERAL AGENCY:**

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 271 et seq.; 15 U.S.C. 201 et seq.

OBJECTIVES:

To provide leadership and technical resources to assure the accuracy of the quantities and quantity representations in all commercial transactions in the United States, to promote a uniform national weights and measures system, to promote harmonization of national and international legal metrology standards, and to facilitate the transition to the metric system.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Provision of Specialized Services; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

This program provides education, technical advice, and assistance to States, State and industry metrologists, manufacturers of weights and measures devices, and other device users; manages U.S. representation and participation in the International Organization of Legal Metrology (OIML) and in regional legal metrology organizations to harmonize legal metrology standards; provides leadership and assistance on metric use and conversion to businesses, state and local governments, standards organizations, trade association, and the education community; coordinates the metric transition activities of all Federal agencies; develops model laws and regulations; upgrades State laboratories; conducts technical studies; and provides technical support to the National Conference on Weights and Measures. It includes publications, related activities, special studies, technical advice, technology transfer through audit of State laboratories, technical training, and development of training material. There are no restrictions on the use of this material.

Applicant Eligibility:

States, political subdivisions of States, private industry, and the general public.

Beneficiary Eligibility:

States, political subdivisions of States, private industry, and the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter requesting services should be sent to Chief, Weights and Measures Division, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2600, Gaithersburg, MD 20899-2600.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

13-0500-0-1-376; 13-4650-0-4-376.

Obligations:

(Operating expenses) FY 07 \$4,532,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Ensures economic equity and uniformity in commerce. The agency provides classroom training, computer-based training, and technical assistance to State weights and measures laboratories to help assure that State measurement standards are traceable to national standards. A wide range of proficiency tests

are conducted on an annual basis. It also develops device performance and commodity packaging standards with representatives of manufacturers, packagers, distributors, consumer groups, and officials in Federal, State, and local jurisdictions who enforce laws and regulations governing packages and measuring devices. The agency provides technical support to the National Conference on Weights and Measures (NCWM) and aids in the development of uniform and compatible State weights and measures laws and regulations, codes for measuring device design and performance, and the design and implementation of test procedures and prototype device evaluation. The Weights and Measures Division staff participates actively in NCWM affairs, and provides technical assistance to its members, to Federal, State, and local government, and to private manufacturing and service businesses. The Fourth Edition of NIST Handbook 133 was published in January 2003. A comprehensive CD-ROM-based training course in laboratory mass calibration procedures and concepts was completed in September 2003. A CD-ROM-based training course in the use of electronic audit trails for commercial weighing and measuring devices was completed in June 2004. Workshops for weights and measures administrators were held in 2001 and in 2004 to address management and budget issues confronting weights and measures enforcement programs. A benchmark study of the national weights and measures system was completed in February 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Model laws, regulations, codes, and test procedures relating to weights and measures enforcement, packaging and labeling, method of sale of commodities, unit pricing, open dating, field inspections, and registration of service persons, and service agencies, engine fuel quality, and weighmasters. Manuals for coordinating the system of State laboratories, testing specific measuring devices, and training State officials. Design and performance codes for scales, liquid and vapor meters, distance and time measuring devices, and other measuring equipment. Most publications available from the Government Printing Office. List of Publications is available from the Weights and Measures Division at no charge.

Regional or Local Office:

None.

Headquarters Office:

Carol Hockert, Chief, Weights and Measures Division, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2600, Gaithersburg, MD 20899-2600. Telephone: (301) 975-4004. Use the same number for FTS

Web Site Address:

<http://ts.nist.gov/ts/htdocs/230/235/owmhome.htm>

RELATED PROGRAMS:

11.601, Calibration Program.

EXAMPLES OF FUNDED PROJECTS:

Awards not available. Funds support standards development for devices and packaging, the development of training materials for State and local weights and measures officials, and the delivery of weights and measures related training to weights and measures officials, industry representatives, and State and industry laboratory metrologists.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.609 MEASUREMENT AND ENGINEERING RESEARCH AND STANDARDS

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY.
DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 15 U.S.C. 271 et seq, 19 U.S.C 2343-45.

OBJECTIVES:

To provide scientific research and technology transfer for measurement and engineering research and standards.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grant money will be used to further scientific research and technology transfer, in areas of fire research, building research, precision measurement, automation manufacturing, materials science, chemistry, physics, biotechnology, electronics, optical technologies, mathematics, communications and information, science and technology and other areas of specific research.

Applicant Eligibility:

Institutions of higher education (e.g. universities and colleges), professional institutes and associations, nonprofit organizations, State and local governments, laboratories, and commercial organizations.

Beneficiary Eligibility:

Universities, colleges, professional institutes and associations, nonprofit organizations, State and local governments, and commercial organizations.

Credentials/Documentation:

Applicants must have and demonstrate scientific competence in areas of

research for which grants are requested. Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should reply to notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Deadlines for proposal submissions are contained in announcements described in the application procedure.

Range of Approval/Disapproval Time:

Approval times are from 6 to 9 months.

Appeals:

None.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Usually one to five years in length.

Reports:

Semi-annual and final program and financial status reports are required; unless specified in award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-0500-0-1-376; 13-0525-0-1-376; 13-4650-0-4-376.

Obligations:

FY 07 \$34,362,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Dependent upon nature and type of grant.

PROGRAM ACCOMPLISHMENTS:

Three hundred and nine grants, cooperative agreements, and amendments were funded in fiscal year 2005. It is estimated that approximately the same level will be funded in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

Not applicable.

Headquarters Office:

National Institute of Standards and Technology, 100 Bureau Drive, Bldg. 411, Room A120, Mail Stop 3580, Gaithersburg, MD 20899-3580. Telephone: (301) 975-6328.

Web Site Address:

<http://www.nist.gov>.

RELATED PROGRAMS:

11.601, Calibration Program; 11.603, National Standard Reference Data System; 11.604, Standard Reference Materials; 11.606, Weights and Measures Service.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include: Development and Study of Strong and Ductile Magnesium Alloys; Experimental Investigation of Vitiated Flame Behavior and Self-Extinction in Fires; Interaction of Laser and Synchrotron Radiation with Semiconductor Photodetectors; Measurements of Molecular Assemblies on Surfaces; and Ultra-Thin Oxide Metrology and Reliability.

CRITERIA FOR SELECTING PROPOSALS:

11.610 NATIONAL CENTER FOR STANDARDS AND CERTIFICATION INFORMATION

(NCSCI)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

National Bureau of Standards Organic Act, Public Law 56-177, as amended, 31 Stat. 1449, 15 U.S.C. 271 et seq; Trade Agreements Act of 1979, Public Law 96-39, 93 Stat. 299, 19 U.S.C. 2416.

OBJECTIVES:

To serve as a standards information and conformity assessment center and referral service, by maintaining a reference collection of standards and specifications, regulations, certification rules, directories, reference books and special publications. To respond to inquiries, develop and publish lists, bibliographies, indexes, and directories of standards and related information, and to provide trade related regulations and certification information to U.S. exporters and manufacturers. To serve as U.S. Inquiry Point for the WTO TBT Agreement, NAFTA, and ISONET. To serve as U.S. Notification Authority for the WTO TBT Agreement.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Responds to the needs of government, industry, U.S. exporters, manufacturers, and the general public for information on domestic and foreign standards, regulations, certification procedures, and standards related activities. Information on standards, collections, copyrights and lending restrictions are provided on site. NCSCI does not analyze, evaluate, or interpret standards.

Applicant Eligibility:

State and local government, private, public, profit organizations, nonprofit institutions and individuals.

Beneficiary Eligibility:

State and local government, private, public, profit organizations, nonprofit institutions and individuals will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Inquiry may be made by telephone, letter, fax, or e-mail to the National Center for Standards and Certification Information, 100 Bureau Drive, Stop 2160, Gaithersburg, MD 20899-2160. Telephone: (301) 975-4040. Fax: (301) 926-1559. E-mail: ncsci@nist.gov.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Depends on the nature of the request. Response time for 90 percent of the inquiries ranges from immediate to several weeks. Trade related inquiries that depend on information from abroad may take longer for response.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

13-0500-0-1-376; 13-4650-0-4-376.

Obligations:

(Operating expenses) FY 07 \$700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published directories and indexes on standards related information. Publications

are available from NCSCI, GPO, NTIS, or other designated private publishers.

Regional or Local Office:

None.

Headquarters Office:

Dr. Carmina Londono; Telephone: (301) 975-2573 or Global Standards and Information Group, Standards Services Division, 100 Bureau Drive, Stop 2100, Gaithersburg, MD 20899-2100. Telephone: (301) 975-4040. Fax: (301) 926-1559.

Web Site Address:

<http://ts.nist.gov/ncsci/>

RELATED PROGRAMS:

11.606, Weights and Measures Service.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.611 MANUFACTURING EXTENSION PARTNERSHIP

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, 15 U.S.C. 278K, as amended by Public Law 100-418; American Technology Preeminence Act of 1991; Technology Administration Act of 1998.

OBJECTIVES:

To establish, maintain, and support manufacturing extension centers and services, the functions of which are to improve the competitiveness of firms accelerating the usage of appropriate manufacturing technology by smaller U.S. based manufacturing firms, and partner with the States in developing such technical assistance programs and services for their manufacturing base.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Federal funding provided under this program shall be used for the creation and support of manufacturing extension services, or used by the States to plan for and pilot test state-wide extension services. It may also be used to plan for and pilot test services within a multi-state region which has sufficient regional linkages to justify such services. Extension service providers shall be affiliated with a U.S. based nonprofit institution or organization or group thereof. Funds may be used for the purpose of demonstrations, technology deployment, active transfer and dissemination of research findings and extension service expertise to a wide range of companies and enterprises, especially small manufacturers with fewer than 500 employees. Congressional funding has been provided annually since fiscal year 1988 (under Manufacturing Technology Centers and the State Technology Extension Program, which were combined into the Manufacturing Extension Partnership in fiscal year 1993).

Applicant Eligibility:

For extension services, eligible applicants shall be U.S.-based nonprofit institutions or organizations or groups thereof. Applicants must contribute 50 percent or more of the proposed service's capital, annual operating and maintenance costs. At least 50 percent of the applicant's share must be as cash or full-time personnel. For extension service planning and pilot services agreements, eligible applicants shall be State governments and State affiliated nonprofit organizations. For multi-state regional planning and pilot services agreements, eligible applicants shall be State and local governments, representing either themselves or a consortium of States, and appropriate private or public nonprofit organizations, operating on behalf of a consortium of States or as a representative of States.

Beneficiary Eligibility:

Beneficiary shall be U.S.-based manufacturing firms, especially smaller companies.

Credentials/Documentation:

An applicant for manufacturing extension centers must submit a proposal in accordance with 15 CFR 290, which provides assurances that they will contribute 50 percent or more of the proposed center's capital, annual operating and maintenance costs. Proposals also must contain sufficient information regarding management, technical, and technology strengths sufficient for a formal merit review of the proposal. Applicants must have and demonstrate competence in areas for which financial assistance is requested. Costs will be determined in accordance with applicable cost principles found in OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, A-122 for nonprofit organizations, 48 CFR 31 for commercial organizations, and 45 CFR 74, Appendix E for hospitals.

Preapplication Coordination:

The extension service planning and pilot testing portion of this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the

State requires to be followed in applying for assistance, if the State has selected the program for review. For manufacturing extension centers, no formal preapplication is required, although applicants are advised to discuss their proposal during the early stages of development with NIST MEP regional managers. This portion of the program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit proposals in accordance with deadlines and other details as published in formal program announcements including Standard Form 424 and Commerce Department Form 511. Applicants for manufacturing extension centers must follow the format set out in 15 CFR 290.

Award Procedure:

All timely submitted and qualified proposals are reviewed by a NIST selection panel. For proposals for manufacturing extension centers, the finalists' proposals are selected on the basis of NIST evaluations and judgments based on published evaluation criteria, and may undergo a site visit.

Deadlines:

Notices of availability of funds and deadlines are published in the Federal Funding Opportunity at www.grants.gov.

Range of Approval/Disapproval Time:

Applicants should be notified within 60 to 90 days of the application deadline for extension service planning/pilot testing agreements, and 120 days for manufacturing extension centers.

Appeals:

None.

Renewals:

Future or continued funding will be based on availability of funds, and will be based on satisfactory performance, and may be subject to competition.

Formula and Matching Requirements:

A State may be required to provide adequate assurances that it will increase its spending on technology extension by an amount at least equal to the amount of Federal assistance requested. For manufacturing extension centers, the program has no statutory formula. For years 1-3, applicants must provide at least 50 percent of the capital, annual operating and maintenance funds required to create and maintain the center. For year 4, applicants must provide 60 percent, and 66.7 percent for year 5 and beyond. Funds may be provided by any non-Federal source. A minimum of 50 percent of the applicant's share must be as cash or full-time personnel.

Length and Time Phasing of Assistance:

For extension service planning and pilot-testing agreements, one year. For manufacturing extension centers, duration of support is based upon positive evaluation every two years, and availability of funds.

Reports:

Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of awardees or subawardees are required to be maintained by the terms of the agreement. The awardees must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

Account Identification:

13-0525-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 \$35,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Individual awards for extension service planning and pilot testing agreements should range between \$25,000 and \$100,000. Awards for manufacturing extension centers fall in the range of \$200,000 to \$6,500,000 annually.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, the MEP program will continue to increase U.S. manufacturers productivity and competitiveness as well as to ensure reliable and secure supply chains. NIST MEP will leverage the manufacturing extension infrastructure by cooperating with other federal agencies to encourage innovative practices, to develop a workforce ready to meet the demands of our

nation's high growth industries and to expand manufacturers participation in the global economy. The most recent performance results of the MEP program can be found on the MEP website at:

<http://www.mep.nist.gov/impacts/impacts.html>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 15 CFR 290-92. A Federal Register Notice announcing the request for proposals from qualified organizations will be published when funds are available. This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

Mr. Roger Kilmer, Director, Manufacturing Extension Partnership, National Institute of Standards and Technology, 100 Bureau Drive Stop 4800, Gaithersburg, MD 20899-4800. Telephone: (301) 975-5020.

Web Site Address:

<http://www.mep.nist.gov>.

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.650, National Technical Information Service; 43.002, Technology Transfer; 59.037, Small Business Development Centers.

EXAMPLES OF FUNDED PROJECTS:

A map of the current funded MEP centers is available at <http://www.mep.nist.gov/about-mep/center-info.html>.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of merit. Selection criteria are published in the Federal Funding Opportunity, and for manufacturing extension centers, appear in 15 CFR 290.

11.612 ADVANCED TECHNOLOGY PROGRAM

(ATP)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Section 5131(a); American Technology Preeminence Act of 1991, Public Law 102-245, Section 201(c), 15 U.S.C. 278n.

OBJECTIVES:

To work in partnership with industry to foster the development and broad dissemination of challenging, high-risk technologies that offer the potential for significant, broad-based economic benefits for the nation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Single for-profit company recipients can receive ATP funds for R&D activities for up to 3 years, with ATP funding not to exceed \$2 million. ATP funds may only be used to pay for direct costs for single company recipients. Single company recipients are responsible for funding all of their overhead/indirect costs. Small and medium sized companies applying as single company proposers are not required to provide cost-sharing of direct costs. Large companies applying as single company proposers, however, must cost-share at least 60 percent of the total project costs (direct plus indirect costs). A large company is defined as any business, including any parent company plus related subsidiaries, having annual revenues in excess of \$3.043 billion. (Note that this number will likely change for future competitions and, if so, will be noted in future annual announcements of availability of funds and ATP Proposal Preparation Kits.) Joint ventures can receive ATP funds for R&D activities for up to 5 years, with ATP funding a minority share of the total project costs. Joint ventures must cost-share (matching funds) more than 50 percent of the total project costs (direct plus indirect costs). Joint ventures must consist of at least two separately-owned for-profit companies, both of which are substantially involved in the R&D and both contributing towards the matching fund requirement. The joint venture may include additional for-profit companies, universities, governmental laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, which may or may not contribute funds (other than Federal funds) to the project and perform R&D activities. The joint venture need not be a legally constituted entity but can consist of companies who simply agree to collaborate on the R&D and divide tasks. ATP funding may not be used to fund product development or be used to fund existing or planned research programs that would otherwise be conducted in the same period.

Applicant Eligibility:

U.S. businesses and U.S. joint research and development ventures. Foreign-owned businesses are eligible for funding, provided they meet the requirements of Public Law 102-245, Sec. 201(c)(6-7). Universities, government laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, may participate as a member of a joint venture that includes at least two separately owned for-profit companies, both of which are

substantially involved in the R&D and both contributing towards the matching-fund requirement.

Beneficiary Eligibility:

U.S. businesses and U.S. joint ventures; and foreign-owned businesses that meet the requirements of Public Law 102-245, Section 201(c)(6-7).

Credentials/Documentation:

Proposals with statements of work, business plans, and detailed budgets. Costs will be determined in accordance with applicable Federal costs principles found in OMB Circular Nos. A-21 for educational institutions, A-122 for nonprofit organizations, 48 CFR 31 for commercial organizations, and 45 CFR 74, Appendix E for hospitals.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposal are submitted under a multi-stage and sequential review process to reduce the amount of information required at one time. Required information is submitted at different stages as determinations are made by ATP that proposals have high merit based on the selection criteria. These stages in the review process are called "gates." Proposals must pass through each gate in order to receive funding. Proposals should be submitted only in response to formal competition announcements and requests for proposals published in the "Federal Register" and "Federal Funding Opportunity" available at www.grants.gov.

Award Procedure:

Competitive award process based upon published selection criteria.

Deadlines:

Deadlines for proposal submissions are contained in the formal competition announcements and requests for proposals published in the "Federal Register" and "Federal Funding Opportunity" available at www.grants.gov.

Range of Approval/Disapproval Time:

120 to 180 days.

Appeals:

None.

Renewals:

ATP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds from Congress.

Formula and Matching Requirements:

ATP funds may only be used to pay for direct costs for single company recipients. Single company recipients are responsible for funding all of their overhead/indirect costs. Small and medium sized companies applying as single company proposers are not required to provide cost-sharing of direct costs. Large companies applying as single company proposers, however, must cost-share at least 60 percent of the total project costs (direct plus indirect costs). A large company is defined as any business, including any parent company plus related subsidiaries, having annual revenues in excess of \$3.043 billion. (Note that this number will likely change for future competitions and, if so, will be noted in future annual announcements of availability of funds and ATP Proposal Preparation Kits.) Joint ventures must cost-share (matching funds) more than 50 percent of the total project costs (direct plus indirect costs).

Length and Time Phasing of Assistance:

Funding for joint research and development ventures may be provided for no more than five years. Funds for single company awards may not exceed three years. The funds are released on an advance payment basis. Continued funding is contingent on satisfactory performance and availability of appropriated funding from Congress.

Reports:

Quarterly and annual technical progress and business reports are required.

Audits:

Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS), issued by the Comptroller General of the United States (the Yellow Book). Other requirements are as follows: 1) For single companies, the NIST Program-Specific Audit Guidelines for Advanced Technology Program (ATP) Cooperative Agreements with Single Companies, issued by the DoC/OIG; 2) for joint venture recipients, the NIST Program-Specific Audit Guidelines for Advanced Technology Program (ATP) Cooperative Agreements with Joint Ventures, issued by the DoC/OIG; and 3) ATP recipients covered under OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," should have an audit performed in accordance with the requirements of the OMB Circular. The program-specific audit guidelines may be useful in identifying the allowability of specific cost elements and other programmatic compliance. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Documents, papers, and financial records are required to remain available to the Federal government for 3 years from the date of submission of the final financial

status report. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients are required to be maintained in accordance with the terms of the agreement.

Account Identification:

13-0525-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range: \$434,000 to \$31,000,000. Average: \$2,900,000.

PROGRAM ACCOMPLISHMENTS:

The ATP has made 768 awards since its first competition in 1990 - 218 to joint ventures and 550 to single companies. In fiscal year 2004, the ATP held a single competition open to all technology areas. ATP did not hold a competition in fiscal year 2005 and will not hold a competition in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published at 15 CFR Part 295. The ATP Proposal Preparation Kit may be obtained by contacting the ATP toll-free "hotline" number (1-800) ATP-FUND or (1-800) 287-3863. The Kit is also available on the Internet on the ATP website www.atp.nist.gov. An electronic form for requesting general information from ATP and/or to have your name on the ATP mailing list is available at <http://www.atp.nist.gov/atpform.htm>. This program is subject to the provisions of 15 CFR Part 14.

Regional or Local Office:

Advanced Technology Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 4701 Gaithersburg, MD 20899-4701. Telephone: (1-800) ATP-FUND. Fax: (301) 926-9524. E-mail: atp@nist.gov.

Headquarters Office:

Barbara Lambis, Advanced Technology Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 4700, Gaithersburg, MD 20899-4700. Telephone: (301) 975-4447. Use the same number for FTS. FAX: (301) 869-1150. E-mail: barbara.lambis@nist.gov. To receive application kits, contact ATP customer service staff, at (1-800) ATP-FUND or E-mail: atp@nist.gov.

Web Site Address:

<http://www.atp.nist.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Printed wiring board manufacturing technology, flat panel display manufacturing, handwriting recognition, magnetoresistive random access memories, deep ultraviolet lasers, high temperature superconducting material processes, superconducting motors, stem cell expansion, viral inactivation, scalable high-density electronics, polymeric switches, nanocrystalline ceramics, polymer compatibilization, catalysis, biocatalysis, process chemistry, combinatorial methods, aquaculture, net-shaped ceramic processing, neural network controls, thermoplastic liquid composite molding, autonomous robots, digital image compression, software for managing complex healthcare data, and biochips for DNA diagnostics.

CRITERIA FOR SELECTING PROPOSALS:

(1) Scientific and Technological Merit and (2) Potential for Broad-Based Economic Benefits.

11.616 TECHNOLOGY INNOVATION PROGRAM (TIP)

(TIP)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 3012 of the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act, Public Law 110-69 (August 9, 2007) 15 U.S.C.A. § 278n (2008).

OBJECTIVES:

Technology Innovation Program (TIP), is a cost-shared federal financial assistance program to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Single small or medium sized company recipients can receive up to a total of \$3 million in TIP funding for R and D activities spanning up to 3 years. TIP funds may only be used to pay direct costs. Single company recipients are responsible for funding all of their indirect/overhead costs. Small and medium sized companies applying as single company proposers must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A large-sized company is not eligible to apply for TIP funding. Joint venture recipients can receive up to a total of \$9 million for R and D activities spanning up to 5 years. TIP funds may only be used to pay direct costs. Joint ventures are responsible for funding all of their indirect/overhead costs. Joint ventures

must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A Joint Venture is a business arrangement that includes (1) At least two separately owned small-sized or medium-sized for-profit companies that are both substantially involved in the project and both of which are contributing to the cost-sharing requirement, with the lead entity of the joint venture being an eligible company as defined in the TIP regulations (15 CFR Part 296); or (2) at least one eligible company and one institution of higher education or other organization, such as a national laboratory, governmental laboratory (not including NIST), or nonprofit research institute, that are both substantially involved in the project and both of which are contributing to the cost sharing requirement, with the lead entity of the joint venture being either the eligible company or the institution of higher education.

Applicant Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Beneficiary Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in OMB Circular Nos. A-21 for institutions of higher education, A-122 for nonprofit organizations, 48 C.F.R. 31 for commercial organizations, and 45 C.F.R. 74, Appendix E for hospitals.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the TIP Proposal Preparation Kit.

Award Procedure:

Competitive award process based upon published evaluation and award criteria.

Deadlines:

Deadlines for proposal submissions are contained in the formal competition announcements and requests for proposals published in the Federal Register and Grants.gov.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days.

Appeals:

None.

Renewals:

TIP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds.

Formula and Matching Requirements:

Recipients must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs).

Length and Time Phasing of Assistance:

Single recipients may receive TIP funding for up to 3 years. Joint venture recipients may receive funding for up to 5 years.

Reports:

Quarterly technical performance, impact assessment, and financial reports.

Audits:

Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States (the Yellow Book). TIP recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

All financial and programmatic records are required to remain available to the federal government for 3 years from the date of submission of the final financial status report.

Account Identification:

13-0525-0-1-376.

Obligations:

(Cooperative Agreements) FY 08 est \$9,000,000; FY 09 est not available; FY 10 est not available.

Range and Average of Financial Assistance:

New program; therefore, no range and average available.

PROGRAM ACCOMPLISHMENTS:

New program; therefore, no accomplishments available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published at 15 C.F.R. Part 296. The TIP Proposal Preparation Kit is available at www.nist.gov/tip/helpful.html. This program is also subject to the provisions of 15 C.F.R. Part 14.

Regional or Local Office:

Technology Innovation Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 4701, Gaithersburg, MD 20899-4701. Telephone: 1 (888) 847-6478. Fax: (301) 926-9524. Email: tip@nist.gov.

Headquarters Office:

Barbara Lambis, Technology Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 4700, Gaithersburg, MD 20899-4700. Telephone: (301) 975-4447. FAX: (301) 975-1150. Email: barbara.lambis@nist.gov. To have your name added to the TIP mailing list complete the electronic request at http://tipmailing.nist.gov/forms/mailling_list.cfm.

Web Site Address:

www.nist.gov/tip.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

New program; therefore, none available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria listed in 15 C.F.R. § 296.21 and the award criteria listed in 15 C.F.R. § 296.22. Additionally, no proposal will be funded unless TIP determines that it has scientific and technical merit and that the proposed research has strong potential for addressing a societal challenge within the TIP-identified areas of critical national need.

11.617 CONGRESSIONALLY-IDENTIFIED PROJECTS

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Science, State, Justice, Commerce, and Related Agencies Appropriations Act.

OBJECTIVES:

To assist various organizations identified by Congress to achieve objectives specified by Congress.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

None.

Preapplication Coordination:

Preapplications are not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Award Procedure:

Applications are reviewed by NIST and awards are issued by the Grants and Agreements Management Division.

Deadlines:

None. However, to ensure processing during the fiscal year that funds are appropriated, applications should be submitted to NIST by June 30.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements, except when specified by Congress.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Financial and progress reports are to be submitted in accordance with the terms

and conditions of the award. Financial status reports (SF-269) are submitted on a quarterly basis. Performance reports are submitted on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), recipients that are States, Local Governments, Non-profit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0500-0-1-376; 13-0515-0-1-376.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$900,000 to \$20,000,000.

PROGRAM ACCOMPLISHMENTS:

NIST grant recipients receiving funding have developed projects fostering a wide range of economic, industrial, educational and other public benefits as specified by Congress in Appropriations Acts. Examples of funded projects include: School Safety and Preparedness; School of Public Health Construction; Museum of Science - Program for Technological Literacy; and Bio-commodity Engineering Research Initiative.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. Grants management will be in accordance with the provisions of 15 CFR Part 14 for institutions of higher education, other nonprofit organizations, for-profit organizations; and 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Not applicable.

Headquarters Office:

Karen S. Williams, Office of the Director NIST, Department of Commerce, 100 Bureau Drive, MS 1001, Bldg. 101, Room A1126, Gaithersburg, MD 20899. Telephone: (301) 975-2397. Fax: (301) 869-8972.

Web Site Address:

<http://www.nist.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

11.618 NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY CONSTRUCTION GRANT PROGRAM

(NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY CONSTRUCTION GRANT PROGRAM)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
DEPARTMENT OF COMMERCE

AUTHORIZATION:

Consolidated Appropriations Act, 2008 (Public Law 110-161) (the "Act"). Additional information on the program was provided in an explanatory statement that under Section 4 of the Act has the same effect as a Conference Report: The research buildings should span all the applicable sciences, as they relate to DoC. These grants shall be awarded to colleges, universities, and other non-profit science research organizations on a competitive basis. 153 Congressional Record H15790 (December 17, 2007).

OBJECTIVES:

To provide competitively awarded grant funds for research science buildings

through the construction of new buildings or expansion of existing buildings. For purposes of this program, "research science building" means a building or facility whose purpose is to conduct scientific research, including laboratories, test facilities, measurement facilities, and/or observatories.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for the construction of new or expansion of existing research science buildings whose purpose is to conduct scientific research, including laboratories, test facilities, measurement facilities, and observatories. Projects to construct or expand a building not intended for performing research or that will predominately be equipped with routine office equipment and/or lecture/class room furnishings are ineligible.

Applicant Eligibility:

Institutions of higher education and nonprofit organizations are eligible to apply.

Beneficiary Eligibility:

Institutions of higher education and nonprofit organizations are eligible to apply.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in OMB Circular A-21 for institutions of higher education and OMB Circular A-122 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the Announcement of Federal Funding Opportunity (FFO) published in Grants.gov.

Award Procedure:

Competitive award process based upon published evaluation criteria.

Deadlines:

Deadlines for proposal submissions are contained in the formal competition announcements and requests for proposals published in the Federal Register and Grants.gov.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Although cost sharing is not required it is encouraged for a proposal to be competitive. A minimum of 25 percent would be viewed favorably; 50 percent even more favorably.

Length and Time Phasing of Assistance:

Up to five (5) years.

Reports:

Quarterly technical performance and financial reports.

Audits:

Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States (the Yellow Book). Recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

Pursuant to 15 CFR 14.53.(b)(2), all financial and programmatic records are required to remain available to the federal government for 3 years after final disposition.

Account Identification:

13-0515-0-1-376.

Obligations:

(Grants) FY 08 \$29,000,000; FY 09 estimate not available; FY 10 estimate not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR Part 14.

Regional or Local Office:

Stella Fiotes, Chief Facilities Management Officer, National Institute of Standards and Technology, 100 Bureau Drive, Stop 1900, Gaithersburg, MD 20899-1900. Telephone: (301) 975-8836. Fax: (301) 975-8835. E-mail: stella.fiotes@nist.gov.

Headquarters Office:

Stella Fiotes, Chief Facilities Management Officer, National Institute of Standards and Technology, 100 Bureau Drive, Stop 1900, Gaithersburg, MD 20899-1900. Telephone: (301) 975-8836. Fax: 301-975-8835. E-mail: stella.fiotes@nist.gov.

Web Site Address:

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria published in the Federal Register and Grants.gov notices.

11.650 NATIONAL TECHNICAL INFORMATION SERVICE

(NTIS)

FEDERAL AGENCY:

NATIONAL TECHNICAL INFORMATION SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Research and Technical Services Act, Public Law 81-776, 15 U.S.C. 1151-1157, 3710; Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418; National Institute of Standards and Technology Authorization Act, Title II, Subtitle B; National Technical Information Act of 1988, Public Law 100-519, 15 U.S.C. 3704; American Technology Preeminence Act of 1991, Public Law 102-245.

OBJECTIVES:

To provide products and services designed to increase the flow of technical information and technology into the world marketplace. To serve as a principal source for the public sale of U.S. Government-sponsored scientific, engineering and technical information products, related business information, research in progress information, machine-processed data files, computer software, and to serve the information dissemination needs of the Federal Government.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provides an extensive inventory of over 3,000,000 information products, of which approximately 2,500,000 are under computerized inventory control. Customers may quickly locate summaries of interest using public vendor online systems, NTIS Catalogs, or by referring to NTIS' web site, www.ntis.gov. NTIS is a permanent archive of information on research conducted or sponsored by the U.S. government. With over 3,000,000 titles, NTIS is the nation's largest collection of scientific, technical, engineering and related business information produced by or for hundreds of government agencies and complementary materials from international sources. NTIS maintains an extensive, user-friendly website, www.ntis.gov in which individuals can browse, search and retrieve full text reports, downloading from the NTIS site or ordering from NTIS in a variety of formats. In addition, NTIS acts as a point of entry for key online services providing important business information, such as export regulations, research in progress and international news. See NTIS' Homeland Security Information Center website www.ntis.gov/hs. This is the place to go to get information products related to Homeland Security, especially in the areas of health and medicine, food and agriculture, biological and chemical warfare, preparedness and response, and safety training. NTIS is also the home of the National Audiovisual Center, www.ntis.gov/nac. The center offers more than 9,000 titles in a range of subjects as varied as the 200 agencies that produce them -- subjects like business, education and training, firefighting, health and safety, languages, law enforcement, personal enrichment, entertainment as well as training materials.

Applicant Eligibility:

Any United States and most foreign organizations or individuals are eligible to purchase NTIS products and services.

Beneficiary Eligibility:

Any United States and most foreign organizations or individuals.

Credentials/Documentation:

Payment options available for all products and services.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Write NTIS, 5285 Port Royal Road, Springfield, VA 22161, for information concerning products and services ask for NTIS PR-827.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-4295-0-3-376.

Obligations:

(NTIS Revolving Fund) FY 07 \$41,000,000; FY 08 est not available; and FY 09 est not reported. Normal operating costs are funded through the sale of NTIS products and services.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

NTIS has over 3,000,000 scientific, technical, and engineering reports permanently available for sale. Each report added to the collection is abstracted, catalogued, and indexed so that it can be identified and merged into the permanent bibliographic database for future generations of researchers and the public-at-large who may benefit from this valuable research and innovations.

REGULATIONS, GUIDELINES, AND LITERATURE:

NTIS descriptive brochures, and product and service brochures are available on request.

Regional or Local Office:

Not applicable.

Headquarters Office:

Ellen Herbst(Director), 5285 Port Royal Road, Room 1014 Sills, Springfield, VA 22161. Telephone: (703) 605-6400. Sales Division: Telephone: 1-800-553-6847 or E-mail: info@ntis.gov (for Placing orders and for information on NTIS products and services).

Web Site Address:

<http://www.ntis.gov>.

RELATED PROGRAMS:

11.603, National Standard Reference Data System; 11.604, Standard Reference Materials; 11.900, Patent and Trademark Technical Information Dissemination; 39.009, Federal Citizen Information Center; 40.001, Depository Libraries for Government Publications; 40.002, Government Publications Sales and Distribution; 42.007, Reference Services in Science, Technology and Business; 43.002, Technology Transfer; 81.003, Granting of Patent Licenses.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

11.702 INTERNSHIP PROGRAM FOR POSTSECONDARY STUDENTS

(Postsecondary Internship Program)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

5 U.S.C. 7201.

OBJECTIVES:

To provide college students interested in future Federal employment with experiential learning opportunities related to their academic studies. Students must be U.S. citizens enrolled in colleges or universities (to include graduate and law schools). The educational institution must be accredited by an organization recognized by the U.S. Department of Education. No employment relationship is created between the government and the student by virtue of participation in this program.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Selected institutions will perform the following functions: Outreach and recruitment, application process and referral, selection notification and follow up, logistics, including temporary housing and accommodations, orientation and communication, enrichment activities program, intern personnel and pay administration, intern supervision, intern monitoring, intern evaluation, program evaluation, and evaluation reporting. The number of available internships will vary depending upon the financial position of the potential host offices and bureaus. Student opportunities will be primarily located in the Washington,DC metropolitan area; however a limited number of opportunities may exist outside of the area.

Applicant Eligibility:

Accredited universities and colleges (2- year, 4-year, graduate and law schools) and nonprofit organizations are eligible to apply.

Beneficiary Eligibility:

Postsecondary educational students who wish to have experiential training at the

Department of Commerce. Students may be assigned to work in Federal agencies other than DOC.

Credentials/Documentation:

An applicant should propose an intern program design that represents a comprehensive approach to a work-study experience and its own philosophy about workforce preparedness. For the purposes of the proposal, plans and budgets should be presented separately for the summer and academic year sessions. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education and with Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A standard application package is required. This includes SF-424, SF-424A, SF-424B, CD-511, CD-346, SF-LLL (if applicable), Project Plans, and Budgets.

Award Procedure:

A notice of availability of funding will be published in the Federal Register and on Grants.gov website. Each responsive application will receive an independent, objective review by a panel qualified to evaluate the applications submitted. The final decision on awards will be based upon the numerical review panel ranking, availability of funding, and Selecting Official's determination of which proposals best meet the objectives of the program, specifically related to ensuring who will best reach the targeted audience of intern candidates.

Deadlines:

Contact the information contact for application deadlines.

Range of Approval/Disapproval Time:

Applicants should be notified of approval or disapproval within 120 days from deadline date.

Appeals:

None.

Renewals:

If an application is selected for funding, DOC has no obligation to provide any additional future funding in connection with that award. Renewal of an award to increase funding or extend the period of performance is at the total discretion of DOC.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The award period for the internship project will be 3 years and three months. Funding will be provided annually at the discretion of the DOC and will depend upon satisfactory performance by the recipient and availability of funds for the DOC to continue funding the project.

Reports:

Department of Commerce Standard Terms and Conditions require performance and financial reports to be submitted semi-annually. Final reports will be due within 90 days of award expiration.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations.

Account Identification:

13-0120-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 \$1,270,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$196,209 to \$513,550; \$288,898.

PROGRAM ACCOMPLISHMENTS:

In 2005, 110 students received internships. It is anticipated that a similar number of students will receive internships in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 14.

Regional or Local Office:

Ms. Cynthia Brice, Office of Human Resources Management, Department of Commerce, Washington, DC 20230. Telephone: (202) 482-6402. Beverly Manley, Office of Acquisition Management and Financial Assistance, Department of Commerce, Washington, DC 20230. Telephone: (202) 482-1370.

Headquarters Office:

Office of Human Resources Management, Department of Commerce, Washington, DC 20230.

Web Site Address:

http://www.ohrm.os.doc.gov/Career/PROD01_000871.html

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Project activities are designed to give college students an opportunity to gain experience in public service that will foster future employment in Federal government agencies or public service in general.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria will be used to evaluate applications: Quality of Program Plan, Proposed Costs, Key Personnel Qualifications, and Capabilities of the applicant Organization.

11.800 MINORITY BUSINESS ENTERPRISE CENTERS

(MBDC)

FEDERAL AGENCY:

MINORITY BUSINESS DEVELOPMENT AGENCY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Executive Order 11625, October 13, 1971; Department of Commerce and Related Agencies Appropriations Act, Public Law 105-277.

OBJECTIVES:

To provide electronic and one-on-one business development services for a nominal fee to minority firms and individuals interested in entering, expanding or improving their efforts in the marketplace. MBDA's funded Minority Business Enterprise Center operators provide a wide range of services to clients, from initial consultations to the identification and resolution of specific business problems.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Minority Business Development Agency (MBDA) competitively solicits and will fund in Fiscal Year 2007 twenty-six MBEC's to provide standardized business assistance services to rapid growth potential minority businesses directly; to develop a network of strategic partnerships; to charge client fees; and to provide strategic business consulting through one-on-one management and technical assistance in designated states and/or Metropolitan Statistical Areas (MSA) throughout the country. Recipients of MBDA's MBEC financial assistance awards provide clients with advice and counseling in such areas business assessment and strategic business consulting, access to capital and access to markets. These services may include preparing financial packages, business planning and counseling, business information and management assistance, accounting, marketing, business/industrial site analysis, production, engineering, construction assistance, procurement, and identification of potential business opportunities. MBDA does not have the authority nor does it make loans to minority businesses. Program funds in this category are restricted to providing management and technical assistance.

Applicant Eligibility:

There are no eligibility restrictions for this program. Applicants eligible to operate a MBDC may include individuals, nonprofit organizations, for-profit firms, local and State governments, Native American Tribes, and educational institutions.

Beneficiary Eligibility:

Recipient operators are to provide electronic and one-on-one business assistance to rapid growth potential minority-owned businesses. Eligible beneficiaries of this program have been designated as African American, Native American, Aleut, Asian Indian, Asian Pacific American, Eskimo, Hasidic Jew, Puerto Rican, and Spanish-Speaking Americans.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for awards to State, local and Federally Recognized Indian Tribal Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for other recipients; and 15 CFR Part 24 contains administrative requirements for States, local and Federally Recognized Indian Tribal Governments. All other recipients are subject to the administrative requirements contained in OMB Circular No. A-110.

Preapplication Coordination:

Pre-application and post award conferences will be scheduled for most MBDA projects. These conferences will be announced in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by 15 CFR Part 24 must be used for this program. This program is subject to the provisions of 15 CFR Part 14.

Award Procedure:

The Federal Register and Grants.gov will advise the applicant where to submit the application. Each application will be reviewed and evaluated by MBDA. Name checks, verification of academic credentials and post-award audits may be required from applicants.

Deadlines:

Deadlines for formal competitive awards are outlined in the Federal Register, Grants.gov, and as detailed in the Federal Funding Opportunity Announcement.

Range of Approval/Disapproval Time:

From four to six months. Unsuccessful applicants shall be notified in writing within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There is no administrative appeal process.

Renewals:

MBEC awards are typically made for a period of three years with funding provided on an annual basis at the discretion of MBDA and the Department of Commerce. Performance evaluations will be conducted and funding levels will be established for each of the budget periods. The MBEC will receive continued funding after the initial competitive year at the discretion of MBDA based upon the availability of funds, the MBEC's performance, and agency priorities. The current funding cycle (2004 - 2006) will terminate December 31, 2006. The next formal competition is tentatively scheduled for the Summer of 2006 with new awards beginning in January 2007.

Formula and Matching Requirements:

This program has no statutory formula. In most program areas, MBDA has established minimum requirements for matching or cost sharing by the recipient. New awards are generally required to provide a minimum 15 percent cost sharing through in-kind contributions and cash, including client service fees. MBDA reserves the right to decide on a case-by-case basis whether a nonfederal contribution is required in other program areas so as to successfully implement the program.

Length and Time Phasing of Assistance:

Awards may be granted for one to three years.

Reports:

Financial (quarterly and annually); program narrative reports (semi-annual and annual); statistical reports on each client are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Documents, papers, and financial records relating to the MBEC are required to remain available to the Federal Government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

Account Identification:

13-0201-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 \$8,400,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$155,000 to \$400,375.

PROGRAM ACCOMPLISHMENTS:

MBDA estimates in calendar years 2006 and 2007, the MBEC program will assist 4,254 clients and achieve a minimum of \$614,269,965 in combined financings and contracts respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no applicable regulations in the Code of Federal Regulations (CFR).

Regional or Local Office:

Contact the nearest Minority Business Development Agency Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Business Development, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-1940. Use the same number for FTS.

Web Site Address:

<http://www.mbd.gov>.

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.801, Native American Business Enterprise Centers; 11.803, Minority Business Opportunity Center (MBOC); 15.850, Indian Arts and Crafts Development; 39.001, Business Services; 59.005, Internet-Based Technical Assistance; 59.006, 8(a) Business Development Program; 59.007, 7(j) Technical Assistance; 59.009, Procurement Assistance to Small Businesses.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Competitive awards for the MBDC program are made based on a panel evaluation of the applications submitted. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques, methodology, resources and costs. MBDA publishes notices in the Federal Register, Grants.gov website and the MBDA website when seeking applications for this program. Competitive applications are normally sought every three years, upon the availability of funds and depending on the Agency's priorities.

11.801 NATIVE AMERICAN BUSINESS ENTERPRISE CENTERS

(NABEC)

FEDERAL AGENCY:

MINORITY BUSINESS DEVELOPMENT AGENCY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Executive Order 11625, October 13, 1971; Department of Commerce and Related Agencies Appropriations Act, Public Law 105-277.

OBJECTIVES:

To provide electronic and one-on-one business development service to Native Americans interested in entering, expanding or improving their efforts in the marketplace. MBDA's Native American Business Enterprise Center operators provide a wide range of services to clients, from initial consultations to the identification and resolution of specific business problems.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Minority Business Development Agency (MBDA) competitively selects and will fund in Fiscal Year 2007 approximately eight Native American Business Enterprise Centers (NABEC's) to deploy standardized business assistance services to the Native American business public directly, develop a network of strategic partnerships and provide strategic business consulting within the geographic services area. Recipients of MBDA's NABEC financial assistance awards provide clients with advice and counseling in such areas as business assessment and strategic business consulting, access to capital and access to markets. These services may include preparing financial packages, business counseling, business information and management, accounting guidance, marketing, business/industrial site analysis, production, engineering, construction assistance, procurement and identification of potential business opportunities. MBDA does not have the authority nor does it make loans to Native American firms. Program funds are restricted to providing management and technical assistance.

Applicant Eligibility:

There are no eligibility restrictions for this program. Applicants eligible to operate a NABEC may include individuals, nonprofit organizations, for-profit firms, local and State governments, Native American Tribes, and educational institutions.

Beneficiary Eligibility:

Native Americans and minorities will benefit. Award recipients must provide assistance to Native Americans and minorities interested in starting, expanding, or maintaining a business. Assistance emphasis is on Native Americans.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for awards to States, local governments and Federally Recognized Indian Tribal Governments. OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 will be used for other recipients. Administrative requirements for States, local governments and Federally Recognized Indian Tribal Governments are contained in 15 CFR, Part 24. All other recipients are subject to the administrative requirements contained in 15 CFR Part 14.

Preapplication Coordination:

Preapplication and post award conferences may be scheduled for NABEC projects. The conferences will be announced in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by 15 CFR Part 24 must be used for this program. This program is subject to the provisions of 15 CFR Part 14.

Award Procedure:

The Federal Register and Grants.gov will advise the applicant where to submit the application. Each application will be reviewed and evaluated by MBDA. Name checks, verification of academic credentials and pre-award audits may be required from applicants.

Deadlines:

Deadlines for formal competitive awards are outlined in the Federal Register, Grants.gov and as detailed in the Federal Funding Opportunity Announcement.

Range of Approval/Disapproval Time:

From 4 to 6 months. Unsuccessful applicants shall be notified in writing within 10 days of notification to the successful applicant.

Appeals:

All decisions are final. There is no administrative appeal process.

Renewals:

NABEC awards are typically made for a period of 3 years with funding provided on an annual basis at the discretion of MBDA and the Department of Commerce. Performance evaluations will be conducted, and funding levels will be established for each of three budget periods. The NABEC recipient will receive continued funding after the initial competitive year at the discretion of MBDA based upon the availability of funds, the NABDC's performance, and agency priorities. The current cycle (2004 - 2006) of projects will terminate on December 31, 2006. The next formal competition is tentatively scheduled for the Summer of 2006 with new awards beginning in January 2007.

Formula and Matching Requirements:

This program has no statutory formula. The Native American Program currently does not require any matching or cost-sharing by the recipient.

Length and Time Phasing of Assistance:

Awards may be granted for 1 to 3 years.

Reports:

Financial (quarterly and annually); program narrative reports (semi-annual and annual); statistical reports on each client are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Documents, papers and financial records relating to the NABEC are required to remain available to the Federal Government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

Account Identification:

13-0201-0-1-376.

Obligations:

(Cooperative Agreements) FY 07 \$1,591,500; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$155,500 to \$287,500.

PROGRAM ACCOMPLISHMENTS:

In calendar year 2005, MBDA funded Native American Business Enterprise Centers in eight locations throughout the United States to provide management and technical assistance to minority and Native American Business Enterprise Center clients. A total of 742 clients were assisted and obtained \$315,375,112 in combined financings and contracts. In 2006 and 2007, we estimate that the NABECs will assist 1229 clients and obtain \$117,776,442 in combined financings and contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no applicable regulations in the Code of Federal Regulations.

Regional or Local Office:

Contact the nearest Minority Business Development Agency Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Business Development, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, N.W., Washington, DC 20230. Telephone: (202) 482-1940. Use the same number for FTS.

Web Site Address:

<http://www.mbda.gov>.

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.800, Minority Business Enterprise Centers; 11.803, Minority Business Opportunity Center (MBOC); 15.850, Indian Arts and Crafts Development; 39.001, Business Services; 59.005, Internet-Based Technical Assistance; 59.006, 8(a) Business Development Program; 59.007, 7(j) Technical Assistance; 59.009, Procurement Assistance to Small Businesses.

EXAMPLES OF FUNDED PROJECTS:

In FY 2004, an organization was awarded \$235,000 in federal funds to operate a NABDC. It delivered one-to-one management and technical assistance to eligible minority and Native American business clients to develop business plans and promote the development and operation of businesses. NABDC program funding is not designed for the financing of individual businesses.

CRITERIA FOR SELECTING PROPOSALS:

Competitive awards for the NABDC are made based upon a panel evaluation of the applications submitted. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques, methodology,

resources and costs. MBDA publishes notices in the Federal Register, Grants.Gov website and the MBDA website when seeking applications for this program. Competitive applications are normally sought very three years, upon the availability of funds and depending on the Agency's priorities.

11.803 MINORITY BUSINESS OPPORTUNITY CENTER (MBOC)**FEDERAL AGENCY:**

MINORITY BUSINESS DEVELOPMENT AGENCY, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Department of Commerce and Related Agencies Appropriations Act, Executive Order 11625, Public Law 105-277.

OBJECTIVES:

To promote access to key decision makers in the public and private sectors, facilitate the brokering of contracts and financial transactions, and identify and coordinate local business resources to benefit minority business entrepreneurs. Facilitate business opportunities for minority businesses through the utilization of public and private sector organizations that provide minority business owners/individuals with enhanced access to the marketplace by identifying and brokering procurement and contract awards, marketing and sales opportunities, financing transactions and potential joint ventures or merger and acquisitions; by promoting relationship building and sharing of business information between organizations in a geographic service area; by conducting media outreach, by disseminating economic data, by sponsoring workshops, conferences and seminars within service area; and by maintaining inventories of resources such as bid opportunities, sources of debt and equity funds, business ownership possibilities such as franchises, and prospective joint venture partners.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds will be used in support of the MBDA policy statement on funding business development programs. Minority Business Development Agency (MBDA) is charged under Executive Order 11625 with fostering new minority business enterprises and maintaining and strengthening existing firms to increase their opportunities to participate and receive the benefits of our economic system. MBDA uses a portion of its program funds to award contracts, grants, and cooperative agreements to public and private sector entities which have the greatest potential for developing access to capital, market, and other opportunities on behalf of minority business. The performance of each funded recipient is evaluated on a scheduled basis. Renewals are based on Agency priorities, funding availability, and performance of recipients.

Applicant Eligibility:

Applicants for this program are Federal, State or local government entities or quasi governmental entities, American Indian Tribes, colleges, universities, nonprofit organizations, and for project organizations.

Beneficiary Eligibility:

Client/Beneficiaries of this program are minority business persons/firms. Eligible client/ beneficiaries of this program have been designated as African American, Native American, Aleut, Asian Indian, Asian Pacific American, Eskimo, Hasidic Jew, Puerto Rican, and Spanish- Speaking Americans.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for awards to State, local and Federally Recognized Indian Tribal Governments; A-21, Cost Principles For Educational Institutions; and A-122 for other recipients. Administrative requirements are found in 15 CFR Part 24 for State and Local governments and Indian tribes and all others are at 15 CFR Part 14.

Preapplication Coordination:

A pre-application conference may be scheduled for recipients of these awards. Conferences will be announced in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Department of Commerce must be used for this program. Recipients are subject to the administrative requirements contained in 15 CFR Part 14 or 15 CFR Part 24.

Award Procedure:

The application package will advise the applicant where pre-award audits may be required from applicants.

Deadlines:

Deadlines for formal competitive awards are outlined in the Federal Register, Grants.gov and as detailed in the Federal Funding Opportunity Announcement.

Range of Approval/Disapproval Time:

From approximately four to six months after closing date for submission of applications. Successful and unsuccessful applicants shall be notified in writing at the same time.

Appeals:

All decisions are final. There are no administrative appeals.

Renewals:

Minority Business Opportunity Center (MBOC) awards may be made for a

period of two years with funding provided on an annual basis at the sole discretion of MBDA and the Department of Commerce. Performance evaluations will be conducted, and funding levels will be established for each of the three budget periods. The MBOC will receive continued funding after the initial competitive year at the discretion of MBDA based upon the availability of funds, the MBOC's performance, and agency priorities.

Formula and Matching Requirements:

Projects may be required to provide up to 50 percent minimum amount of cost-sharing in the form of in-kind contributions and/or cash.

Length and Time Phasing of Assistance:

For one to two years depending on the requirements of the projects.

Reports:

Financial (quarterly and annually), narrative (semi annually); and, statistical reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the MBOC program are required to remain available to the Federal Government for three years from the date of submission of the final financial status report in accordance with the applicable administrative requirements.

Account Identification:

13-0201-0-1-376.

Obligations:

FY 07 \$1,655,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$150,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

It is estimated that in FY 2006 and FY 2007, the MBOC program will generate \$562 million in combined financings and contracts. In 2006, the MBOC program was redesigned to include goals for Clients and Jobs Created as well as Number of Contracts and Financial Transactions.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no applicable program-specific regulations in the Code of Federal Regulations (CFR) for this program.

Regional or Local Office:

Contact the nearest Minority Business Development Agency Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Business Development, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, N.W., Washington, DC 20230. Telephone: (202) 482-1940. Use the same number for FTS.

Web Site Address:

<http://www.mbda.gov>.

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.800, Minority Business Enterprise Centers; 11.801, Native American Business Enterprise Centers; 15.850, Indian Arts and Crafts Development; 39.001, Business Services; 59.005, Internet-Based Technical Assistance; 59.006, 8(a) Business Development Program; 59.009, Procurement Assistance to Small Businesses.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Competitive awards for the MBOC program are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project; techniques and methodology, creativity and innovation, resources and costs. MBDA publishes notices in the Federal Register, Grants.Gov, and the MBDA website when seeking applications for this program. Competitive proposals are normally sought every three years, upon availability of funds, and depending on the Agency's priorities.

11.900 PATENT AND TRADEMARK TECHNICAL INFORMATION DISSEMINATION

FEDERAL AGENCY:

PATENT AND TRADEMARK OFFICE, DEPARTMENT OF COMMERCE

AUTHORIZATION:

35 U.S.C. 1-42.

OBJECTIVES:

To promote the continued growth of American technology and commerce through the utilization and dissemination of technical information available through patents and trademarks, and maintenance of public search centers containing patents and trademarks.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The Patent and Trademark Office examines patent applications to determine the patentability of an invention and grants patents when requirements of law are met. It publishes and disseminates information, mainly through its Internet Web site (www.uspto.gov), which contains the texts and images of over 7,000,000 patents (full-text since 1976, full-page images since 1790) and over three million registered, pending, and abandoned trademarks dating back to 1870. The Patent and Trademark Office also sells copies of patents for \$3.00 each, and maintains on site Public Search Facilities where Patent and Trademark information may be searched and copied. Access is open to all adults who obtain a free User ID, issued only in the Patent Search Room. The Patent Search Room is located at 600 Dulany Street (Madison East), Lobby Level, and is open from 8:00 a.m. to 8:00 p.m. weekdays. The Trademark paper facility is located at 2900 Crystal Drive (South Tower Building), Room 2B30 and is open from 8:00 a.m. to 5:00 p.m. weekdays. Patent and Trademark information is available in these locations in a variety of formats including online and optical disk automated search systems, microfilm, print documents and publications. Access is free, and print and photocopies have a \$.25 per page, \$.50 for color fee. Customers nationwide are also served through PTO's national network of 84 Patent and Trademark Depository Libraries (PTDLs). PTDLs offer a variety of information resources and services and are staffed by information professionals trained by the PTO. Additional information on the Public Search Facilities and the PTDLs may be found on the USPTO Web site. There are approximately 7,400,000 U.S. patents issued to date. These documents are arranged by subject classes and subclasses for searching. The Patent Search Room is open from 8 a.m. to 8 p.m. weekdays and may be used by anyone at no charge. The device, discovery or process described in a patent cannot be manufactured, used or sold except by the inventor or assignee for 20 years after the date of patent filing, after which it enters the public domain. However, technical information contained in a patent can be used in many other ways.

Applicant Eligibility:

Anyone is eligible.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

13-1006-0-1-376.

Obligations:

(Direct obligations) FY 07 \$1,842,966,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The PTO's information dissemination program provides patent and trademark information via a diverse line of products and services.

REGULATIONS, GUIDELINES, AND LITERATURE:

"General Information Concerning Patents," and "Basic Facts About Registering a Trademark" are available at no cost.

Regional or Local Office:

Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

General Information Services, (1-800) 786-9199 or (703) 308-4357 or usptoinfo@uspto.gov. Mailing Address: Patent and Trademark Office, Mailstop USPTO Contact Center PO Box 1450, Alexandria VA 22313-1450.

Web Site Address:

<http://www.uspto.gov> .

RELATED PROGRAMS:

11.650, National Technical Information Service; 42.002, Copyright Service;
81.003, Granting of Patent Licenses.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

DEPARTMENT OF DEFENSE

12.002 PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS

(Procurement Technical Assistance (PTA) Cooperative Agreement Program)

FEDERAL AGENCY:

DEFENSE LOGISTICS AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Public Law 98-525, as amended, Title 10, Chapter 142, as amended, Section 2411.

OBJECTIVES:

To increase assistance by the DoD for eligible entities furnishing PTA to business entities, and to assist eligible entities in the payment of the costs of establishing and carrying out new PTA Programs and maintaining existing PTA Programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The purpose of the PTA Cooperative Agreement Program is to generate employment and to improve the general economy of a locality by assisting business firms in obtaining and performing under Federal, State and local government contracts. Recipients are to provide marketing and technical assistance to business firms in selling their goods and services to the Department of Defense (DoD), other Federal agencies, and State and local governments. The DoD cost-share will not exceed 50 percent of the net cost of a single PTA Program, excluding any Federal funds and other income. The DoD share may be increased up to 75 percent for an existing program or new start program that qualifies solely as servicing a distressed area. In no event, shall the DoD share of the net program cost exceed \$150,000 for a program providing less than statewide coverage, or \$300,000 in the case of a statewide program or a program providing multi-area coverage (Indian Program).

Applicant Eligibility:

Existing or new start PTA Programs established by State and local governments, private nonprofit organizations, American Indian tribal organizations, and American Indian economic enterprises (nonprofit and for-profit).

Beneficiary Eligibility:

Existing or new start PTA Programs established by State and local governments, private nonprofit organizations, American Indian tribal organizations, and American Indian economic enterprises (nonprofit and for-profit).

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21, "Cost Principles for Educational Institutions," and OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations."

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

This program is subject to the provisions of OMB Circular Nos. A-102 and A-110.

Award Procedure:

All State and local governments, eligible private nonprofit organizations, American Indian tribal organizations and American Indian economic enterprises (nonprofit and for-profit), including those which have cooperative agreements with the Defense Logistics Agency (DLA), can submit applications for participation in the DoD cost-sharing cooperative agreement program in accordance with the Solicitation for Cooperative Agreement Applications (SCAA). If selected for an award, the applicant is bound to perform the services described in its application, when the application is incorporated into the cooperative agreement award document. Cooperative agreements will be awarded on a competitive basis consistent with the SCAA.

Deadlines:

Please contact the program contact listed in the Information Contacts or Headquarters Office section below.

Range of Approval/Disapproval Time:

From 120 to 160 calendar days after the solicitation closes.

Appeals:

A written objection "protest," by an interested party to SCAA may be made, concerning a proposed award of a cooperative agreement or the award of an agreement. The protest is filed with the applicable Grants Officer. The subsequent decision of the Grants Officer shall be final unless the recipient appeals the decision to the Headquarters, DLA PTA Cooperative Agreement Program Policy Committee. The Policy Committee is the final administrative appeal authority for disputes and protests.

Renewals:

Cooperative agreements will be awarded for a base year (FY 96), or a base year with one option period (FY 96 through FY 97), or a base year with two option periods (FY 96 through FY 98) of twelve months each. Awards are based on competitive evaluation of the applications submitted in response to the SCAA.

Formula and Matching Requirements:

Public Law 98-525, as amended; Chapter 142, 10 U.S.C., Section 2411, Procurement Technical Assistance Program, requires the use of annual DoD appropriations. The DoD share shall not exceed 50 percent of the net program cost of a single PTA Program, excluding any Federal funds and other income. The DoD share may be increased up to 75 percent for an existing or a new start program that qualifies solely as a distressed area. In no event shall the DoD share of the net program cost exceed \$150,000 for programs providing less than statewide (general program) or multi-area coverage (Indian program), or \$300,000 in the case of those programs providing statewide or providing multi-area coverage.

Length and Time Phasing of Assistance:

Twelve months from the beginning of the performance period. Recipients may submit requests for reimbursement of the Government's share of total costs incurred to the Administrative Grants/Contract Officer at the appropriate post award administration activity on a periodic basis, but not more often than monthly. Recipients are required to furnish a final reimbursement request for services rendered within 90 days after the expiration date of the cooperative agreement.

Reports:

Recipients are required to submit quarterly reports (DLA Form 1806) not later than 30 calendar days after the end of each quarter to the Administrative Grants Officer at the cognizant post award administration activity. Reports are to include, as a minimum, the following information: Budgeted versus actual expenditures; goals versus performance against goals; problems encountered in implementing the program; and problems encountered by clients in the performance of government contracts which cannot be resolved by the recipient. A final report using the same format as the quarterly report is to be prepared and submitted not later than 90 days after the expiration date of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure that the required cost of participation is achieved. Records must be maintained for 3 years following the date of submittal of a final reimbursement request for services rendered.

Account Identification:

97-0100-0-1-051.

Obligations:

(Cooperative Agreements) FY 07 est not available; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$30,000 to \$300,000. Average: \$160,185.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2002, it is anticipated that approximately 115 applications will be received and 66 agreements will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines, and Literature: Title 10, U.S.C., Chapter 142, as amended, "Procurement Technical Assistance Cooperative Agreement Program;" DLA Regulation 9040.2, "Cooperative Agreement Program;" and Cooperative Agreement Revised Procedures published in the Federal Register March 5, 1996.

Regional or Local Office:

Not applicable.

Headquarters Office:

Defense Logistics Agency, Office of Small and Disadvantaged Business Utilization (DDAS), 8725 John J. Kingman Road, Suite 2533, Fort Belvoir, VA 22060-6221. Telephone (703) 767-1650. FTS is not available.

Web Site Address:

<http://www.d/a.mil/ddas>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None available at this time.

CRITERIA FOR SELECTING PROPOSALS:

Applications are competitively evaluated and awarded. They are evaluated on the likelihood of achieving the stated program objectives, considering past

performance (existing programs), Management, technical, qualifications, services area (geographic and demographic), and cost realism. In the event that insufficient funds are available to award all applicants that meet the minimum requirements of the SCAA, only those applicants found to be the most meritorious will be funded for an award.

12.100 AQUATIC PLANT CONTROL

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

River and Harbor Act of 1958, as amended, Section 104.

OBJECTIVES:

To provide for the cooperation of the Army Corps of Engineers with State and local government agencies in the control of obnoxious aquatic plants in rivers, harbors, and allied waters.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

To supply Federal assistance for the control and eradication of obnoxious aquatic plants in rivers, harbors and allied waters. Nonfederal interests must agree to hold the government of the United States free from damages and to finance 50 percent of the costs of control operations. The program is designed to deal primarily with weed infestations of major economic significance including such weeds as water hyacinth, alligatorweed, hydrilla, elodea, and watermilfoil.

Applicant Eligibility:

States and their political subdivisions or instrumentalities.

Beneficiary Eligibility:

States and their political subdivisions or instrumentalities.

Credentials/Documentation:

Letters of intent from applicants to cost-share, as required by law, for control operations. Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Cooperative action of local, State, Federal and other interests in support of the project. This program requires development of environmental documents to comply with the National Environmental Policy Act (Public Law 91-190). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A letter to the District Engineer at the nearest U.S. Army Corps of Engineers District Office, requesting a reconnaissance study of the problem.

Award Procedure:

Preparation, approval and budget of a plan for control of problem aquatic plants.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 6 to 18 months.

Appeals:

There are no appeals procedures as such. The reasons for refusal will be fully stated. If reconsideration is desired, the applicant should make the changes as appropriate to the situation.

Renewals:

Not applicable.

Formula and Matching Requirements:

Fifty percent of the cost of developing a plan for control and fifty percent of the cost of control operations must be obtained from local and State resources.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

Audit Office. This program is subject to the requirements of OMB Circular No. A-133.

Records:

District Engineer.

Account Identification:

96-3125-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 1130- 2-412 (33 U.S.C. 273) and information about the

program are available from the District Engineer.

Regional or Local Office:

Persons are encouraged to communicate with the office of the nearest U.S. Army District Engineer listed in Appendix IV of the Catalog.

Headquarters Office:

Commander, U.S. Army Corps of Engineers, Attn: CECW-ON, Washington, DC 20314-1000. Telephone: (202) 272-0247. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

10.025, Plant and Animal Disease, Pest Control, and Animal Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.101 BEACH EROSION CONTROL PROJECTS

(Small Beach Erosion Control Projects)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

River and Harbor Act of 1962, Section 103, as amended, Public Law 87-874, 33 U.S.C. 426g.

OBJECTIVES:

To control beach and shore erosion to public shores through projects not specifically authorized by Congress.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Corps of Engineers designs and constructs the project. Each project selected must be engineering feasible, complete within itself, and economically justified. The nonfederal sponsoring agency must agree to: (1) Share equally in cash and in-kind services for feasibility studies; (2) share in the cost of the project, including a cash contribution, providing the necessary lands, easements, right-of-way, and relocations required for the project; (3) assume full responsibility for all project costs in excess of the Federal cost limit of \$2,000,000; (4) hold and save the United States free from damages; (5) assure that water pollution that would affect the health of bathers will not be permitted; (6) assure continued public ownership or public use of the beach, and its administration for public use; (7) provide project maintenance; and (8) provide and maintain necessary access roads, parking areas and other public use facilities open and available to all on equal terms.

Applicant Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial capability, and willingness to provide all necessary local participation and required cooperation.

Preapplication Coordination:

State or local government officials should consult the nearest District Engineer regarding specific problems and the possibility of remedial action under this program. An environmental impact statement is also required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Formal letter to the District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation.

Award Procedure:

The Division Engineer approves an individual project upon the basis of a comprehensive investigation and report by the District Engineer. Advertising and award are subject to availability of funds from the Chief of Engineers.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Project planning studies are undertaken in a single feasibility phase. The first \$100,000 is federally funded. Additional study costs are shared 50/50 with local sponsor. Local cost participation requirements and procedures for determining the local share of project cost are similar to those for beach erosion control projects specifically authorized by Congress under regular authorization procedures, but Federal participation cannot exceed \$2,000,000, the determination of local costs is based on the public use and ownership of the beach protected.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A semiannual report is submitted to the District Engineer covering inspections, maintenance, and current project status.

Audits:

Not applicable.

Records:

As necessary to show past project conditions and local actions.

Account Identification:

96-3122-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 1105- 2-10, App. E (33 CFR 263) and information sheets describing this program are available from the District Engineer.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of District Engineers.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CECW-PM Washington, DC 20314-1000. Telephone: (202) 761-1975. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.102, Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.102 EMERGENCY REHABILITATION OF FLOOD CONTROL WORKS OR FEDERALLY AUTHORIZED COASTAL PROTECTION WORKS

(Public Law 84-99, Code 300 Program)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1941, as amended, Public Law 77-228; Public Laws 84-99, 87-874, 93-251, 95-51, 99-662, 100-45, and 101-640, 33 U.S.C. 701n.

OBJECTIVES:

To assist in the repair and restoration of flood control works damaged by flood, or federally authorized hurricane flood and shore protection works damaged by extraordinary wind, wave, or water action.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Authorized assistance includes emergency repair or rehabilitation of flood control works damaged by flood, and restoration of federally authorized coastal protection structures damaged by extraordinary wind, wave, or water action. Assistance does not extend to major improvements of flood control or federally authorized coastal protection structures, nor to reimbursement of individuals or communities for funds expended in repair or rehabilitation efforts.

Applicant Eligibility:

Owners of damaged flood protective works, or State and local officials of public entities responsible for their maintenance, repair, and operation must meet current guidelines to become eligible for Public Law 84-99 assistance: 1. Engineering and maintenance criteria (inspection required); 2. cost-sharing (80 percent Federal and 20 percent nonfederal); and 3. public sponsorship nonfederal (items a through c pertain to nonfederal flood control projects).

Beneficiary Eligibility:

All persons living in areas protected by eligible flood control or coastal protection works are potential beneficiaries of the program.

Credentials/Documentation:

For public entities, copy of resolution or other proceeding constituting official request for assistance. For private owners, public sponsorship is required.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Written application by letter or by form request if such form is locally used by the District Engineer of the Corps of Engineers.

Award Procedure:

Formal notice of approval and/or disapproval will be furnished applicant by the District Engineer of the Corps of Engineers.

Deadlines:

Thirty days after a flood or unusual coastal storm.

Range of Approval/Disapproval Time:

Normally within 30 to 45 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Nonfederal flood control projects: 1. Maintain an acceptable maintenance rating; 2. Provide 20 percent cost-share of construction cost either by monetary value or in-kind services; and, 3. Obtain public sponsorship by a public entity, also local interests are normally required to: (a) provide without cost to the United States all lands, easements, and rights-of-way necessary for the authorized work; (b) hold and save the United States free from damages due to the authorized work; and, (c) maintain and operate, in a manner satisfactory to the Chief of Engineers, all the protective works after completion of repairs. Additionally, work constituting deferred regular maintenance and desired major modifications, if included, will be at local cost.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None. However, Corps district will periodically inspect completed projects to insure that operation and maintenance activities are being accomplished in a manner satisfactory to the Chief of Engineers.

Audits:

None.

Records:

None.

Account Identification:

96-3125-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Midwest flood of 1993 resulted in over 200 rehabilitations of individual projects to date. This is the latest available data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 500- 1-1, pamphlets, and statements are issued by District Engineer Offices and the Headquarters, U.S. Army Corps of Engineers. They are available on request without charge.

Regional or Local Office:

U.S. Army Corps of Engineers Division or District Engineers listed in Appendix IV of the Catalog.

Headquarters Office:

Commander, U.S. Army Corps of Engineers, Attn: CECW-OE, Washington, DC 20314. Telephone: (202) 272-0251. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.101, Beach Erosion Control Projects; 12.103, Emergency Operations Flood Response and Post Flood Response; 12.105, Protection of Essential Highways, Highway Bridge Approaches, and Public Works; 12.108, Snagging and Clearing for Flood Control; 12.111, Emergency Advance Measures for Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.103 EMERGENCY OPERATIONS FLOOD RESPONSE AND POST FLOOD RESPONSE

(Public Law 84-99 Code 200 Program)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1941, Public Law 77-228, as amended; Public Laws 84-99,

OBJECTIVES:

To provide emergency flood response and post flood response assistance as required to supplement State and local efforts and capabilities in time of flood or coastal storm.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Emergency assistance is provided in all phases of flood response and post flood response to supplement State and local efforts. State and local governments must use their own resources to the maximum extent feasible, usually including the furnishing of common labor. No specific restrictions are placed on such assistance.

Applicant Eligibility:

State or local public agencies for flood response and the State for post flood response.

Beneficiary Eligibility:

All persons living in areas subject to floods, and in eligible areas, are potential beneficiaries.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Flood response is provided upon oral or written request by responsible State or local authorities, followed by a written request from the Governor. Post flood response will be provided upon written request by the Governor.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies by situation of request from hours to days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Local cooperation is required to provide necessary lands, easements, and right-of-way; hold and save the Federal Government free from damages due to the authorized work; and, maintain permanent works in a satisfactory manner.

Length and Time Phasing of Assistance:

Flood fight response will normally continue until flood waters recede below bank full and recovery operations commence. Post flood response activities are limited to 10 days following receipt of the Governor's request. All temporary preventive and protective measures constructed by the Corps of Engineers must be removed by local interests.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-3125-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Corps conducts 50 to 250 flood emergency operations annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 500- 1-1 pamphlets and statements are issued by the District Engineer's Office and Headquarters, U.S. Army Corps of Engineers. They are available on request without charge.

Regional or Local Office:

U.S. Army Corps of Engineers or District Engineers listed in Appendix IV of the Catalog.

Headquarters Office:

Commander, U.S. Army Corps of Engineers, Attn: CECW-OE, Washington, DC 20314-1000. Telephone: (202) 272-0251. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.102, Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.104, Flood Plain Management Services; 12.105, Protection of Essential Highways, Highway Bridge Approaches, and Public Works; 12.111, Emergency Advance Measures for Flood Prevention.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.104 FLOOD PLAIN MANAGEMENT SERVICES

(FPMS)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1960, as amended, Section 206, Public Law 86-645, 33 U.S.C. 709a.

OBJECTIVES:

To promote appropriate recognition of flood hazards in land and water use planning and development through the provision of flood and flood plain related data, technical services, and guidance.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Available information identifies areas subject to flooding and flood losses from streams, lakes, and oceans and describes flood hazard at proposed building sites. It can be used as a basis for planning flood plain use, for flood emergency preparedness planning, for hurricane evacuation and preparedness planning, for assistance in developing flood plain regulations, for setting elevations for flood proofing, and implementing flood proofing measures, and for indicating areas to be acquired for open space. Activities also include interpretation of technical information and related planning assistance and guidance toward prudent use of flood plains. Services are available to States and local governments without charge, but within annual funding limitations on request. Services are available to Federal agencies on a fully reimbursable basis. Services are available to the private sector on a pay first basis.

Applicant Eligibility:

States, political subdivisions of States, other nonfederal public organizations and the public.

Beneficiary Eligibility:

States, political subdivisions of States, other nonfederal public organizations and the public.

Credentials/Documentation:

The request must come from an official of a nonfederal public agency.

Preapplication Coordination:

Although not required, discussion of problems and needs with District Engineer's Flood Plain Management Service representative would facilitate request. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests are generally made in the form of a letter to the District Engineer which documents the need for assistance.

Award Procedure:

Response time and approval time may be the same for information readily available. Services and information are provided directly to the applicant except for some special study reports which are coordinated through the designated State agency.

Deadlines:

None.

Range of Approval/Disapproval Time:

From three to 30 days from receipt of request. Approval does not assure an immediate start. Each request takes its place among others and is responded to as capability permits.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

These services are provided to State and local governments at full Federal expense. Federal agencies and the private sector must pay for services.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-3121-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:
Engineer Regulation 1105- 2-100, Section V, Flood Plain Management Services Program.

Regional or Local Office:
Persons are encouraged to communicate with the District Engineer of the nearest U.S. Army Engineer District listed in Appendix IV of the Catalog.

Headquarters Office:
U.S. Army Corps of Engineers, Attn: CECW-PF, Washington, DC 20314-1000.
Telephone: (202) 761-0169. FTS is not available.

Web Site Address:
<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:
10.904, Watershed Protection and Flood Prevention; 12.103, Emergency Operations Flood Response and Post Flood Response; 12.106, Flood Control Projects.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

12.105 PROTECTION OF ESSENTIAL HIGHWAYS, HIGHWAY BRIDGE APPROACHES, AND PUBLIC WORKS

(Emergency Bank Protection)

FEDERAL AGENCY:
OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:
Flood Control Act of 1946, Section 14, Public Law 79-526, 33 U.S.C. 701r, as amended; Public Laws 93-251 and 99-662.

OBJECTIVES:
To provide bank protection of highways, highway bridges, essential public works, churches, hospitals, schools, and other nonprofit public services endangered by flood-caused erosion.

TYPES OF ASSISTANCE:
Provision of Specialized Services.

USES AND USE RESTRICTIONS:
Corps of Engineers designs and constructs the project. Each project selected must be engineering feasible, complete within itself and economically justified. Nonfederal interests are responsible for all project costs in excess of the Federal limit of \$1,000,000. Nonfederal sponsor must share in project costs, including cash and lands, easements, rights-of-way; utility relocations; hold and save the United States free from damages; and, maintain the project at local cost after completion.

Applicant Eligibility:
States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:
States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:
Applicants must furnish evidence of legal authorization, financial ability and willingness to provide all necessary local participation.

Preapplication Coordination:
State or local government officials should consult the nearest District Engineer regarding specific problems and the possibility of remedial action under this program. An environmental assessment is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:
Formal letter to the District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation.

Award Procedure:
The Division Engineer approves an individual project upon the basis of an investigation and report by the District Engineer who investigates and processes all applications. Advertisement and award at construction is subject to availability of funds from the Chief of Engineers.

Deadlines:

None.

Range of Approval/Disapproval Time:
Not applicable.

Appeals:
Not applicable.

Renewals:
Not applicable.

Formula and Matching Requirements:
In most cases project studies will be at Federal expense. Cost-sharing is required for project, but Federal participation cannot exceed \$1,000,000.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
A semiannual report is submitted to the District Engineer covering inspections maintenance, and current project status.

Audits:
Not applicable.

Records:
As necessary to show past project conditions and local actions.

Account Identification:
96-3122-0-1-301.

Obligations:
(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:
Engineer Regulation 1105- 2-10, Chapter 9 (33 CFR 263) and information sheets describing this program are available from the nearest District Engineer.

Regional or Local Office:
See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:
U.S. Army Corps of Engineers, Attn: CECW-PM, Washington, DC 20314-1000.
Telephone: (202) 761-1975. FTS is not available.

Web Site Address:
<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:
12.102, Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103, Emergency Operations Flood Response and Post Flood Response.

EXAMPLES OF FUNDED PROJECTS:
Reinforced barriers at either side of bridge approachments.

CRITERIA FOR SELECTING PROPOSALS:
Not presently available.

12.106 FLOOD CONTROL PROJECTS

(Small Flood Control Projects)

FEDERAL AGENCY:
OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:
Flood Control Act of 1948, Section 205, Public Law 80-858, as amended, 33 U.S.C. 701s; Public Law 93-251, as amended; Public Laws 97-140 and 99-662.

OBJECTIVES:
To reduce flood damages through projects not specifically authorized by Congress.

TYPES OF ASSISTANCE:
Provision of Specialized Services.

USES AND USE RESTRICTIONS:
Corps of Engineers designs and constructs the projects. Each project selected must be engineering feasible, complete within itself, and economically justified. Nonfederal sponsoring agency will share equally in the cost of feasibility studies (cash and in-kind services), shares in the project cost in cash, lands, damages and project costs in excess of the Federal cost limit of \$7,000,000; provides a cash contribution for land enhancement benefits and for project costs assigned to project features other than flood control; prevent future encroachment which might interfere with proper functioning of the project for flood control; and, maintain the project after completion. Local cost participation requirements and procedures for determining the local share of project cost are similar to those for flood control projects specifically authorized by Congress under regular authorization procedures.

Applicant Eligibility:
States, political subdivisions of States, or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibility.

Beneficiary Eligibility:
States, political subdivisions of States, or other responsible local agencies

established under State law with full authority and ability to undertake necessary legal and financial responsibility.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial ability, and willingness to provide necessary local participation.

Preapplication Coordination:

State or local government officials should consult the nearest District Engineer regarding specific problems and the possibility of a remedial project under this program. An environmental impact statement is also required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Formal letter to the District Engineer indicating clear intent to provide all required local participation.

Award Procedure:

The Division Engineer approves an individual project upon the basis of a comprehensive investigation and report by the District Engineer. Advertisement and awards of construction is subject to availability of funds from the Chief of Engineers.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Project Planning Studies are undertaken in a single feasibility phase. The first \$100,000 is federally funded. Additional cost are cost-shared 50/50 with local sponsor. Cost-sharing is required for project construction, and consists of cash, provision of lands, easements, right-of-way, and relocation necessary for the project, and other requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A semiannual report is submitted to the District Engineer covering inspections, maintenance, and current project status.

Audits:

Not applicable.

Records:

As necessary to show past project conditions and local actions.

Account Identification:

96-3122-0-1-301.

Obligations:

(Planning and construction) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 1105- 2-10, App. E (33 CFR 263) and information sheets describing this program are available from the District Engineer.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CECW-PM, Washington, DC 20314-1000. Telephone: (202) 761-1975. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.104, Flood Plain Management Services; 12.108, Snagging and Clearing for Flood Control.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.107 NAVIGATION PROJECTS

(Small Navigation Projects)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

River and Harbor Act of 1960, Section 107, as amended, Public Law 86-645, 33

U.S.C. 577.

OBJECTIVES:

To provide the most practicable and economic means of fulfilling the needs of general navigation, through projects not specifically authorized by Congress.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Corps of Engineers designs and constructs the project. Each project selected must be engineering feasible, complete within itself, and economically justified. The nonfederal sponsoring agency must agree to assume full responsibility for all project costs in excess of the Federal cost limit of \$4,000,000; equally share with the Federal government the cost of feasibility studies; contribute toward project costs for construction and maintenance in view of recreational benefits, land enhancement benefits or other special local benefits; provide all necessary lands, easements, rights-of-way; hold and save the United States free from damages; and, provide adequate public landing or wharf, piers, access roads, parking areas and other needed public facilities open and available to all on equal terms. Local cost participation requirements and procedures for determining the local share of project cost are similar to those for navigation projects specifically authorized by Congress under regular authorization procedures. No project is to be recommended for implementation under the Section 107 authority for which the total constant dollar Federal costs over 50 years exceed the greater of \$4,500,000 or 2.25 times the Federal construction cost, both discounted at the current water project discount rate.

Applicant Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial capability, and willingness to provide all necessary local participation and required cooperation.

Preapplication Coordination:

State or local government officials should consult the nearest District Engineer regarding specific problems and the possibility of remedial action under this program. An environmental impact statement is also required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A formal letter to the District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation. Advertisement and award of construction is subject to availability of funds from the Chief of Engineers.

Award Procedure:

The Division Engineer approves an individual project upon the basis of a comprehensive investigation and report by the District Engineer.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Project planning studies are undertaken a single feasibility phase. The first \$100,000 is Federally funded. Additional study costs are cost-shared 50/50 with local sponsor. Cost-sharing is required for project construction and consists of cash; provision of lands, easements rights-of-way and relocations necessary for the project and other requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

96-3122-0-1-301.

Obligations:

(Planning and construction) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 1105- 2-10, App. E (33 CFR 263) and information sheets describing this program are available from the District Engineer.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

U.S. Army Corp of Engineers, Attn: CECW-PM, Washington, DC 20314-1000.
Telephone: (202) 761-1975. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.109, Protection, Clearing and Straightening Channels.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.108 SNAGGING AND CLEARING FOR FLOOD CONTROL

(Section 208)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1937, as amended, Section 2, Public Law 79-14, 33 U.S.C. 701g; Public Laws 83-780, 93-251, and 99- 662.

OBJECTIVES:

To reduce flood damages.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Corps of Engineers designs and constructs the project. Each project selected must be engineering feasible, complete within itself, and economically justified. The nonfederal sponsor must provide all lands, easements and rights-of-way; provide all project costs in excess of the Federal limit of \$500,000; agree to maintain project after construction; hold and save the United States free from damages; provide a contribution toward construction costs for land enhancement or special benefits; agree to prevent future encroachment which might interfere with proper functioning of the project for flood control. Local cost participation requirements and procedures for feasibility study cost-sharing and determining the local share of project cost are similar to those for flood control projects specifically authorized by Congress under regular authorization procedures.

Applicant Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial capability and willingness to provide all necessary local participation and required cooperation.

Preapplication Coordination:

State or local government officials should consult the nearest District Engineer regarding specific problems and the possibility of remedial action under this program. An environmental assessment in accordance with NEPA is also required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Formal letter to District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation.

Award Procedure:

The Division Engineer approves an individual project upon the basis of an investigation and report by the District Engineer, who investigates and processes all applications. Advertisement and award of construction is subject to availability of funds from the Chief of Engineers.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

In most cases project studies will be at Federal expense. Cost-sharing is required for projects, but the Federal part cannot exceed \$500,000,000.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A semiannual report is submitted to the District Engineer covering inspections, maintenance, and current project status.

Audits:

Not applicable.

Records:

As necessary to show past project conditions and local actions.

Account Identification:

96-3122-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulations 1105-2-10 Chap. 4 (33 CFR 263) and information sheets regarding this program are available from nearest District Engineer.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CECW-PM, Washington, DC 20314-1000.
Telephone: (202) 761-1975. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.102, Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.106, Flood Control Projects.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.109 PROTECTION, CLEARING AND STRAIGHTENING CHANNELS

(Section 3, Emergency Dredging Projects)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

River and Harbor Act of 1945, Section 3, Public Laws 79-14 and 99-662, 33 U.S.C. 603a.

OBJECTIVES:

To restore channels for purposes of navigation or flood control.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Each project selected must be engineering feasible, complete within itself, and economically justified. Work pursuant to this authority is undertaken as an emergency measure to clear or remove unreasonable obstructions to navigation in navigable portions of rivers, harbors, and other waterways of the United States, or tributaries thereof, in order to provide existing traffic with immediate and significant benefit. The nonfederal interest involved must provide all lands, easements and rights-of-way for construction of the project and bear the costs of necessary annual maintenance until such time as the location may become a part of a specifically authorized project. Local cost participation requirements and procedures for determining the local share of project costs are similar to those for navigation or flood control projects specifically authorized by Congress under regular authorization procedures. Annual expenditures limited to \$1,000,000.

Applicant Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial capability and willingness to provide all necessary local participation and required cooperation.

Preapplication Coordination:

State or local government officials should consult the nearest District Engineer regarding problems and possibility of remedial action under this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program review.

Application Procedure:

Formal letter to the District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation.

Award Procedure:

The Chief of Engineers makes final decision to approve or reject individual projects.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-3123-0-1-301.

Obligations:

(Salaries and expenses) FY 07, est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulations 1105-2-50 (33 CFR 263) and sheets describing this program are available from nearest District Engineer.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

Commander, U.S. Army Corps of Engineers, Attn: CECW-OD, Washington, DC 20314-1000. Telephone: (202) 272-8835. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.107, Navigation Projects.

EXAMPLES OF FUNDED PROJECTS:

Provided specialized services to applicants in Shinnecock Inlet, Suffolk Co., NY.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.110 PLANNING ASSISTANCE TO STATES

(Section 22)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Water Resources Development Act of 1974, Section 22, Public Law 93-251, as amended, 42 U.S.C. 1962d-16.

OBJECTIVES:

To cooperate with any State in the preparation of comprehensive plans for the development, utilization and conservation of water and related land resources of drainage basins located within the boundaries of such State.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The State must have a planning program for the development, utilization or conservation of the water and related land resources underway or laid out in sufficient detail so that the relationship of a State's request for Corps input for some particular aspect of the program may be appraised. All Corps input must be an integral part of the State program. The input from the Corps is to be on an

effort or service sharing basis in lieu of an outright grant basis. Federal program funds are limited to \$10,000,000 annually and not more than \$500,000 in Federal funds shall be expended in any one year in any one State.

Applicant Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Beneficiary Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico the Virgin Islands, and Federally recognized Indian tribes.

Credentials/Documentation:

Applicants must furnish evidence in sufficient detail so that the relationship of a State's request for Corps input for some particular aspect of the State program may be appraised.

Preapplication Coordination:

State government officials should consult the designated coordinating District Commander for that State regarding the coordination of specific State water and related land resource problems. This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Requests are made in the form of a letter to the District Engineer documenting the need for assistance.

Award Procedure:

The appropriate Division Engineer reviews and approves State requests. The Office of the Chief of Engineers allocates funds to the appropriate Corps field office.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Technical planning services are provided with Federal program funds. The Corps of Engineers has been authorized to collect contributions from beneficiaries of this program, recovering approximately 50 percent of the cost of such services.

Length and Time Phasing of Assistance:

Funds are released from the Office of the Chief of Engineers on an annual basis shortly after the start of the fiscal year. The length of the study is defined in the plan of study but is subject to some modification, depending on the availability of funds to each of the participating States. Federal assistance is provided as rapidly as possible depending on availability of funds and competent personnel resources.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-3121-0-1-301.

Obligations:

(Salaries and expenses) FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineering Regulation 1105-2-100, Chapter Section VI, available from the nearest District Engineer and Title 33, Part 265 "Planning Assistance to States" in the Federal Register dated 15 September 1975, revised 21 January 1977.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CECW-PF, Washington, DC 20314-1000. Telephone: (202) 272-0169. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

10.906, Watershed Surveys and Planning; 11.420, Coastal Zone Management Estuarine Research Reserves.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.111 EMERGENCY ADVANCE MEASURES FOR FLOOD PREVENTION

(Public Law 84-99 Code 500 Program)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1941, Public Law 84-99, Public Law 77-228, as amended;
Public Laws 87-874, 93-251, 95-51, 100-45, 101-640, and 99-662, 33 U.S.C.
701n.

OBJECTIVES:

To perform activities prior to flooding or flood fight that would assist in
protecting against loss of life and damages to property due to flooding.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Authorized assistance includes work such as removal of waterway obstructions,
work necessary to prevent dam failure, and work necessary to prepare for
abnormal snowmelt. There must be an immediate threat of unusual flooding
present before advance measures can be considered. Any work performed under
this program will be temporary in nature and must have a favorable benefit cost
ratio.

Applicant Eligibility:

The Governor of the affected State must request assistance.

Beneficiary Eligibility:

All persons living in areas subject to floods.

Credentials/Documentation:

Letter from the Governor of the State requesting assistance and detailing State
and local efforts. (Such as the use of highway department and manpower or the
use of National Guard resources.)

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Written request for advance measures assistance by letter or message from the
Governor of the affected State.

Award Procedure:

Formal notice of approval and/or disapproval will be furnished the applicant by
the District Engineer of the Corps of Engineers.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies by situation of request from hours to days after request for assistance is
received.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-3125-0-1-301.

Obligations:

(Provision of Specialized Services) FY 07 \$0; FY 08 est not reported; and FY 09
est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 500- 1-1 pamphlets, and statements available on request
from the District or Division Engineers offices of the Corps of Engineers.

Regional or Local Office:

U.S. Army Corps of Engineers, Division or District Engineers Offices listed in
Appendix IV of the Catalog.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CECW-OE, Washington, DC 20314.
Telephone: (202) 272-0251. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.101, Beach Erosion Control Projects; 12.102, Emergency Rehabilitation of
Flood Control Works or Federally Authorized Coastal Protection Works; 12.103,
Emergency Operations Flood Response and Post Flood Response; 12.105,
Protection of Essential Highways, Highway Bridge Approaches, and Public
Works; 12.108, Snagging and Clearing for Flood Control.

EXAMPLES OF FUNDED PROJECTS:

Emergency drawdown of Spirit Lake, Washington; Emergency levee
construction, Utah Lake, Provo, Utah; Temporary levee raising, Cowlitz River,
Washington; and levee setback, Red River, Louisiana.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.112 PAYMENTS TO STATES IN LIEU OF REAL ESTATE TAXES**FEDERAL AGENCY:**

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Flood Control Act of 1941, as amended, Public Law 83-228; Flood Control Act
of 1946, as amended, Public Law 83-526, Public Law 83-60; Flood Control Act
of 1954, Public Law 83-780, 33 U.S.C. 701C- 3.

OBJECTIVES:

To compensate local taxing units for the loss of taxes from federally acquired
lands, 75 percent of all monies received or deposited in the Treasury during any
fiscal year for the account of leasing of lands acquired by the United States for
flood control, navigation and allied purposes, including the development of
hydroelectric power, are paid at the end of each year to the States in which such
property is situated.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds are to be expended as the State legislature may prescribe for the benefit of
public schools and public roads of the county in which property is located, or for
defraying of the expenses of the county government, including public
obligations of levees and drainage districts for flood control and drainage
improvements.

Applicant Eligibility:

State government in which lands have been Federally acquired for purposes
defined under Objectives.

Beneficiary Eligibility:

State and county government being compensated and their residents.

Credentials/Documentation:

Districts report to CERM-F by State and county the amounts collected. This
program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB
Circular No. A-102.

Application Procedure:

Automatic distribution is made as authorized by 33 U.S.C. 701c-3. No
application is necessary. This program is excluded from coverage under OMB
Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

When property is situated in more than one State or county, the distributive
share to each from the proceeds of such property shall be proportional to its area
therein. There are no matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

96-9921-0-2-999.

Obligations:

(Grants) FY 07 not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

None.

Headquarters Office:

Headquarters, U.S. Army Corps of Engineers, Attn: CERM-FC., 20
Massachusetts Avenue, NW., Washington, DC 20314-1000. Telephone: (202)
272-1931. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.113 STATE MEMORANDUM OF AGREEMENT PROGRAM FOR THE REIMBURSEMENT OF TECHNICAL SERVICES

(DSMOA)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act
(CERCLA) of 1980, Public Law 96-510, as amended, 10 U.S.C. 2810;
Superfund Amendments and Reauthorization Act of 1986, Section 211(d),
Public Law 99-499.

OBJECTIVES:

To reimburse each State and territory for their costs incurred by providing
technical services in support of Department of Defense Environmental
Restoration Program activities. This program seeks to facilitate State and
territory participation in expediting cleanup at DoD hazardous waste sites, and
to foster relations between States, military services, Defense agencies and DoD.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

State/territorial services that qualify for payment under the program include the
following types of assistance provided by the State/territory commencing at site
identification and continuing through operation and maintenance as well as any
other activities that are funded by DERA: 1. Technical review, comments and
recommendations on all documents or data required to be submitted to the
State/territory under an agreement between the State/territory and a DoD
component, all documents or data that a DoD component requests the
State/territory to review, and all documents or data that are provided by a DoD
component to the State/territory for review as a result of a request from the
State/territory made under applicable State/territorial law; 2. identification and
explanation of State/territorial applicable or relevant and appropriate
requirements related to response actions at DoD installations; 3. site visits to
review DoD response actions and ensure their consistency with appropriate
requirements, or in accordance with site-specific requirements established in
other agreements between the State/territory and DoD Component; 4.
participation in cooperation with DoD in the conduct of public education and
public participation activities in accordance with Federal and State/territorial
requirements for public involvement; 5. services provided at the request of DoD
in connection with participation in technical review committees; 6. preparation
and administration of a cooperative agreement (CA) to implement this
agreement, including the estimates of State/territorial costs; and 7. other services
that the State/territory will provide that are set out in the DSMOA or are
included in installation-specific cleanup agreements. The DSMOA program does
not include costs incurred prior to October 16, 1986. Funded from DERA,
BRAC 1, BRAC 91, and Defense stock funds and will soon include selected
formerly used Defense site activities.

Applicant Eligibility:

State and territorial governments only.

Beneficiary Eligibility:

State and territorial governments, local governments, public nonprofit
organization/institution, public institution/organization, profit organization,
private nonprofit institution/organization.

Credentials/Documentation:

Applicants must enter into a Defense/State Memorandum of Agreement (54 FR
31358-31362, July 28, 1989).

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official
designated as the single point of contact in his or her State for more information
on the process the State requires to be followed in applying for assistance, if the
State has selected the program for review.

Application Procedure:

Administration of CAs will be in accordance with Office of management and
Budget (OMB) Circular No. A-102, Grants and Cooperative Agreements with
State and Local Governments, and Title 32 CFR 278, Office of the Secretary of
Defense, Uniform Administrative Requirements for Grants and Cooperative
Agreements to State and Local Governments. A State/territory will submit a
complete application package for Federal assistance, consisting of Standard
Form 424 (SF 424) and attachments, including a proposal narrative, the signed
DSMOA, and a project management plan. The State/territory's application must
also include a description of the type and amount of support services that the
State/territory plans to provide for each installation covered in the DSMOA for
the specific award period of the CA. CAs will be awarded for a term of two
years, based on an annual estimate of requirements. Applications will be
accepted after signature of the DSMOA by both parties; DoD processing time
for applications is expected to be two months. The Corps of Engineers, as
executive agent for DAsD(E) will accept the application, review it, and make a
decision as to the award. This CA agreement, when signed by both the Head of
the Agency signing on behalf of the State/territory, and approved by the chief of
engineers, comprises the contractual relationship between the DoD and the
State/territory. States/territories may request funds in accordance with the
methods outlined in OMB Circular No. A-102 and 32 CFR 278. These
documents provide for the following methods of payment: (1) Advances; and (2)
reimbursement; and a State/territory may request a payment method in its
cooperative agreement application. Allowable costs will be determined in
accordance with OMB Circular No. A-87, Cost Principles for State and Local
Governments. Specific services to be provided by the State/territory will be as
described in the DSMOA. Auditing of State/territorial programs will be
accomplished in accordance with OMB Circular No. A-128, Audits of State and
Local Governments. DoD DAsD(E) will invite State/territories to sign
DSMOAs and submit applications for CAs.

Award Procedure:

Reimbursement will be accomplished, using Federal procedures for CAs, with
State/territories that have signed DSMOAs. Eligible activities are limited to
those authorized for the Defense Environmental Restoration Program (DERP),
and funded by the Defense Environmental Restoration Account (DERA),
Sections 2701 et seq., of Title 10 U.S.C., and as specified in the DSMOA. In
general, these activities will be centralized in the Office of the Deputy Assistant
Secretary of Defense (Environment) with the assistance of the Army Corps of
Engineers who will administer the program on a day to day basis. CAs will be
awarded for a term of two years, based on annual estimate of requirements.
Applications will be accepted after signature of the DSMOA by both parties;
processing time for applications is expected to be two months. The Deputy
Assistant Secretary of Defense (Environment) (DAsD(E)) will approve the
DSMOA, and the Corps of Engineers accept the application, review it, and make
a decision as to the award. This CA agreement, when signed by the Head of the
Agency signing on behalf of the State/territory, and approved by the Corps of
engineers, comprises the contractual relationship between the DoD and the
State/territory. States may request funds in accordance with the methods
outlined in OMB Circular No. A-102 and 32 CFR 278. These documents
provide for the following methods of payment: (1) Advances; and (2)
Reimbursement; and a State may request either payment method in its
cooperative agreement application.

Deadlines:

For receipt of funds in any given year a complete application must be received
by June 1.

Range of Approval/Disapproval Time:

30 to 60 days.

Appeals:

For applications not approved, the Army Corps of Engineers (managing office of
the program) will work with applicants to complete applications.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Two years. Annual budgets are prepared and reimbursement or advances are
awarded on a quarterly basis.

Reports:

Quarterly financial and technical reports by object class and installation.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June
27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"
non federal entities that expend financial assistance of \$500,000 or more in
Federal awards will have a single or a program-specific audit conducted for that
year. Nonfederal entities that expend less than \$300,000 a year in Federal
awards are exempt from Federal audit requirements for that year, except as noted

in Circular No. A-133.

Records:

Copies of State's expenditures by installations quarterly.

Account Identification:

96-8008-0-1-250.

Obligations:

(Salaries and Expenses) FY 07 \$1,700,000 FY 08 est not reported; and FY 09 est not reported. (Cooperative Agreements) FY 07 \$41,844,600; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$104,000 to \$11,400,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

FR Vol. 54, No. 144, July 18, 1989 - 31358-31362 for detailed information on program.

Regional or Local Office:

Not applicable.

Headquarters Office:

Robert Lubbert, CEMP-RI, 20 Massachusetts Avenue, NW., Washington, DC 20314. Telephone: (202) 504-4950.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Thirty eight States and 4 territories have entered into the program; 27 awards have been made.

CRITERIA FOR SELECTING PROPOSALS:

(1) That the State/territory has DoD installations, currently owned by DoD that are being cleaned up under the Defense Environmental Restoration Program; (2) that the State/territory has entered into a DSMOA; (3) total project costs are under ceiling allowed for the CA, directly proportional to the amount; and (4) proposed work and work to be reimbursed is directly attributable to eligible DoD installations and not otherwise reimbursed by other Federal funding sources.

12.114 COLLABORATIVE RESEARCH AND DEVELOPMENT

(Construction Productivity Advancement Research (CPAR) Program)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF THE ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Water Resources Development Act of 1988, Section 7, Public Law 100-676, 33 U.S.C. 2313.

OBJECTIVES:

To facilitate productivity-improving research and development and application of advanced construction technologies through collaborative research and development, field demonstration, licensing agreements, and other means of commercialization and technology transfer.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Projects are based on ideas from the U.S. construction industry on how to improve productivity. Industry partners must agree to substantially produce and market products domestically. Corps laboratory must perform a significant portion of each project.

Applicant Eligibility:

Any U.S. private firm, including corporations, partnerships, limited partnerships and industrial development organizations; public and private foundations; nonprofit organizations; units of State and local governments; academic institutions; and others who have interest in and the capability to address CPAR objectives.

Beneficiary Eligibility:

Beneficiaries of CPAR products will include the U.S. construction industry (builder/contractor/developer, engineer/architect, construction equipment/material suppliers) profit and nonprofit business organizations, the general public, and Federal, State and local government agencies.

Credentials/Documentation:

Representations, certifications and other statements of proposers disclosure of lobbying activities are required.

Preapplication Coordination:

Informal discussions with a Corps laboratory is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Written proposal to the Corps laboratory must be submitted.

Award Procedure:

Proposals recommended by the Corps laboratories are evaluated and prioritized by the CPAR Executive Committee in Corps headquarters. Proposals are funded in descending priority order until available funds are exhausted.

Deadlines:

Time for submission of proposals varies, set annually.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Industry partner must provide at least 50 percent of the total project costs. At least 5 percent of the partner share must be paid in cash for services and supplies that do not originate within the partner organization.

Length and Time Phasing of Assistance:

Variable.

Reports:

Technical report/product data sheets are required at the end of the project.

Audits:

None.

Records:

None.

Account Identification:

96-9921-0-2-999.

Obligations:

(Cooperative Agreement) FY 07 \$6,000,000; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$200,000 to \$900,000. Average: \$400,000.

PROGRAM ACCOMPLISHMENTS:

Seventy-six projects covering a wide range of technologies have been funded at a total investment of over \$70 million. The Corps has provided over \$32 million and the construction industry partners have contributed over \$38 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

CPAR Guidelines for Participation, published annually.

Regional or Local Office:

Corps research and development laboratories.

Headquarters Office:

Headquarters, U.S. Army Corps of Engineers, ATTN: CERD-C, 20 Massachusetts Ave., NW., Washington, DC 20314-1000, David B. Mathis, General Manager. Telephone: (202) 272-1846.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects have been funded in the areas on construction planning and design improvement, improved construction site productivity and advanced materials.

CRITERIA FOR SELECTING PROPOSALS:

Potential impact on U.S. construction industry productivity; potential impact on the Corps of Engineers; commercialization/technology transfer; ease of adoption; probability of achieving projected productivity and effectiveness enhancement; project duration; and research and development investment.

12.116 DEPARTMENT OF DEFENSE APPROPRIATION ACT OF 2003

(Section 8044)

FEDERAL AGENCY:

OFFICE OF THE CHIEF OF ENGINEERS, DEPARTMENT OF ARMY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Department of Defense Appropriation Act 2003, Public Law 107-248.

OBJECTIVES:

For developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities. (1) For the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Funds, as appropriated by Congress, may be used by the State and Tribes of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$10,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of

information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

Applicant Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Beneficiary Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Credentials/Documentation:

Applicants must furnish evidence is sufficient detail so that the relationship of a State's or Tribes request for Corps input for some particular aspect of the State or Tribe program may be appraised.

Preapplication Coordination:

Pre-application and selection by DoD and USACE occurs prior to development of the CA. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests are made in the form of a letter to the District Engineer documenting the need for assistance.

Award Procedure:

The appropriate Division Engineer reviews and approves federally recognized Tribe requests. The Office of the Chief of Engineers allocates funds to the appropriate Corps field office.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

100 percent Federal upon award.

Length and Time Phasing of Assistance:

Funds are released from the Office of the Chief of Engineers on an annual basis after the start of the fiscal year. The length of the agreement is defined in the Cooperative Agreement, but is subject to some modification, depending on the availability of funds to each of the participating Federally recognized Tribe. Federal assistance is provided as rapidly as possible depending on availability of funds and competent personnel resources. Federal assistance is not guaranteed beyond the term of the Cooperative Agreement.

Reports:

Quarterly reports are required including financial (SF 269). SEE MOA/CA for details.

Audits:

internal review is accomplished by BIA of Tribal programs.

Records:

Per OMB Circular No. A-102.

Account Identification:

97-2003-0-1-999.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported. No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The role of the BBRPO is to ensure the timely an proper mitigation of the former BBR to condition that is safe for the anticipated reasonable use of its owners. The BBRPO ensures that land usage issues are evaluated to the proper UXO clearance. The BBRPO is responsible for coordinating the necessary parties required for any and all cultural issues and concerns of the BBR.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD Regulation 3210.6-R Department of Defense Grant and Agreement Regulations.

Regional or Local Office:

See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

U.S. Army Corps of Engineers, Attn: CEMP-RF (KIM DAILY), Washington, DC 20314-1000. Telephone: (202) 761-5145. FTS is not available.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.300 BASIC AND APPLIED SCIENTIFIC RESEARCH

FEDERAL AGENCY:

OFFICE OF NAVAL RESEARCH, DEPARTMENT OF THE NAVY

AUTHORIZATION:

Public Law 79-588, 10 U.S.C. 5150-5153; Public Law 87-651, 10 U.S.C. 2358, as amended; Public Law 97-86, 31 U.S.C. 6304.

OBJECTIVES:

To support basic and applied research at educational or research institutions, which has a potential for leading to the improvement of naval operations, and to support training and stimulation of future researchers in science and engineering disciplines.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Basic and applied research in the physical, mathematical, environmental, engineering and life sciences providing knowledge necessary for the Navy to make informed decisions about further exploratory development to provide the technology base from which naval capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by supporting outstanding graduate, undergraduate and high school students pursuing studies in areas of naval concern. Programs to increase science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and are not organized primarily for profit. Grants cannot be awarded to individuals. Eligibility requirements for Office of Naval Research's (ONR) training and research instrumentation/ equipment programs are also described in the ONR publication "Guide to Programs".

Beneficiary Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational or similar purposes in the public interest, and are not organized primarily for profit. Grants cannot be awarded to individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21, A-87, A-88, and A-122 and Subpart 31.2 of the Federal Acquisition Regulation applicable to commercial firms and those nonprofits exempted from OMB Circular No. A-122. The administrative requirements of OMB Circular Nos. A-102, A-110, A-128 and A-133 apply. Patents, inventions and rights in technical data and computer software are subject to 48 CFR 227 and 252, as amended, and 37 CFR 401.14.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to OMB Circular No. A-110. All eligible private/public educational institutions and other private/public nonprofit organizations may submit proposals in response to the relevant Broad Agency Announcement published annually in the Federal Business Opportunities (www.fedbizopps.gov) Information regarding areas of scientific interest and points of contact is contained in the ONR "Guide to Programs". Application and award procedures for ONR's training and research instrumentation/equipment programs is also contained in separate brochures describing these programs.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant Broad Agency Announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the research described therein.

Deadlines:

Proposals may be submitted at any time during a year period from the date of publication of the Broad Agency Announcement in the Commerce Business Daily.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

None.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Funding will reflect period of performance and may be awarded incrementally.

Reports:

Grantee is expected to publish or otherwise make results of work publicly available. Copies of reports are furnished by the grantee to a prescribed list of addressees (as set forth in the grant), which includes the Defense Technical Information Center. Subsequent distribution to DoD agencies and their

contractors is made by DTIC on a request basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Each grantee will maintain accounting records which will permit determination that the funds were used for the grant purposes.

Account Identification:

17-1319-0-1-051 (Office of Naval Research).

Obligations:

FY 07 est \$480,000,000; FY 08 est not reported; and FY 09 est not reported.
Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$1,000 to \$15,000,000. Average: \$110,907.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

ONR website <http://www.onr.navy.mil>. This site lists the points of contact within the various scientific disciplines.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Naval Research, 800 North Quincy Street, Arlington, VA 22217-5000.
Contact: ONR 22. Telephone: (703) 696-2570. FTS is not available.

Web Site Address:

<http://www.onr.navy.mil>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. Historically Black Colleges and Universities (HBCU), and Minority Institutions (MI) Pilot Program to increase minorities trained at graduate level in mathematics, computer science, and the physical sciences; 2. partial funding of symposia on various areas of scientific research of interest to the Navy; 3. cooperative research associateship program, to provide post-doctoral scientists opportunities for research on problems compatible with the research interests of the sponsoring laboratories furthering research on problems of interest to the Navy; 4. providing funds to universities for a multidisciplinary program to provide new knowledge in science and engineering critical to defense technologies, to improve quality of defense research conducted at universities, and to strengthen the numbers and quality of students trained in science and engineering; and 5. research by a university laboratory on preservation of blood platelets in blood bank storage by freeze-drying.

CRITERIA FOR SELECTING PROPOSALS:

1. Overall scientific, technical or socio-economic merits of the proposal; 2. potential contributions of the effort to the agency's specific mission; 3. the offeror's capabilities, related experience, facilities, techniques or unique combinations of these, which are integral factors for achieving the proposal objectives; 4. the qualifications, capabilities and experience of the proposed principal investigator, team leader or key personnel who are critical in achieving the proposal objectives; 5. realism of the proposed cost and availability of funds; and 6. the extent to which the cost effectiveness of the proposed research is diminished by expenditures for unproductive administrative and other overhead expenses. Academic institutions are especially encouraged to participate.

12.350 DEPARTMENT OF DEFENSE HIV/AIDS PREVENTION PROGRAM

FEDERAL AGENCY:

NAVAL HEALTH RESEARCH CENTER, DEPARTMENT OF THE NAVY

AUTHORIZATION:

Public Laws 108-25, 108-287.

OBJECTIVES:

To train and assist selected foreign militaries in establishing and implementing HIV/AIDS prevention, care, and treatment programs for their military personnel and dependents.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities that support HIV/AIDS prevention programs in militaries around the world. Funds are generally limited for direct support of activities conducted outside the United States.

Applicant Eligibility:

Generally any type of applicant may apply. Grants cannot be awarded to

individuals.

Beneficiary Eligibility:

Beneficiaries are primarily foreign Governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21, A-87, A-88, and A-122 and Subpart 31.2 of the Federal Acquisition Regulation applicable to commercial firms and those nonprofits exempted from OMB Circular No. A-122. The administrative requirements of OMB Circular Nos. A-102, A-110, A-128 and A-133 apply. Further requirements are stated in the BAA.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to OMB Circular No. A-110. All eligible organizations may submit proposals in response to general and specific broad agency announcements (BAAs) published annually or as the need arises. Information regarding areas of interest and points of contact are contained in the BAAs. Application and award procedures are also contained in the BAA brochures.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer review. Evaluations will use the criteria contained in the relevant Broad Agency Announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the work describes therein.

Deadlines:

Proposals may be submitted at any time during the indicated submission time contained in the BAA.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not applicable.

Renewals:

Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding will reflect the period of performance and may be awarded incrementally. Normally, projects are funded for one year of operations. Funds are normally provided in individual grants according to a quarterly schedule of payments.

Reports:

Quarterly financial reports (SF 272) and a final transactions report (SF 269) are required. Additionally, grants require interim technical, progress and final reports as outlined in each BAA. In addition, awardees are required to provide DHAPP copies of media published.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

97-6013-0-1-551; 97-1030-0-1-551.

Obligations:

(Grants) FY 07 \$10,000,000; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-110, A-133, A-88, and A-21; DoD Instruction 3210.6, the DOD Grants and Agreements Regulation are applicable to the project grants.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Defense HIV/AIDS Prevention Program, Naval Health Research Center, PO Box 85122, San Diego, CA 92186-5122. Telephone: (619) 553-8528; E-mail: code02s1@nhrc.navy.mil.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the BAAs when issued.

12.351 BASIC SCIENTIFIC RESEARCH - COMBATING WEAPONS OF MASS DESTRUCTION

FEDERAL AGENCY:

DEFENSE THREAT REDUCTION AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358, as amended (R&D Projects), 10 U.S.C. 2371 (OTA), 10 U.S.C. 2413 (Cooperative Agreements), 10 U.S.C. 2361 (Grants and Contracts to universities-competitive)

OBJECTIVES:

To support and stimulate basic, applied and advanced research and technology development at educational or research institutions, non-profit organizations, and commercial firms, which support the advancement of fundamental knowledge and understanding of the sciences with an emphasis on exploring new and innovative research for combating or countering Weapons of Mass Destruction (WMD).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program BAAs and award documents issued by and codified regulations applicable to awards. These are submitted at the time of proposal or prior to award, as specified by executive agents.

Applicant Eligibility:

As stated in individual program BAAs. Generally, competitions are open to private and public educational accredited institutions of higher education that carry out science and engineering research and/or related science and engineering education on a non-profit basis. Some awards are made to non-profit, commercial or federal laboratories that conduct basic research. Awards are not made to individuals.

Beneficiary Eligibility:

None.

Credentials/Documentation:

If the award is a grant, cooperative agreement or other transactional agreement the applicant must not appear on the non-procurement, debarred or suspended list for DoD. Recipient eligibility is contingent upon submission of representations and certifications that are required by federal statutes and Executive Orders (EO). Costs will be determined in accordance with 2 CFR Part 220 (formerly OMB Circular No. A-21). The administrative requirements of OMB Circular Nos. A-102, A-110, A-128 and A-133 apply. Patents, inventions and rights in technical data and computer software are subject to 48 CFR 227 and 252, as amended, and 37 CFR 401.14.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible applicants may submit proposals in response to relevant BAAs published on www.FedBizOpps.gov under "Other Defense Agencies - DTRA", which will advise potential applicants what activities or research topic areas will be supported, and how to apply.

Award Procedure:

Award decisions are based on evaluations of proposals, using technical merit, peer and/or scientific review. Evaluation criteria are contained in the relevant Broad Agency Announcement. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research related activities described therein.

Deadlines:

Deadlines for submitting proposals are specified in the individual relevant Broad Agency Announcements.

Range of Approval/Disapproval Time:

Award times will be identified in the relevant BAA. Typically, decisions are made within 90-120 days of receipt of proposal for which funding is authorized.

Appeals:

None.

Renewals:

Re-application with new proposals is permitted.

Formula and Matching Requirements:

There are no statutory formulas. Cost-sharing or matching, when required, is specified in the individual BAA.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally for the period of performance as stated in the individual grant, agreement or OTA. Funding is usually provided incrementally in accordance with a payment schedule contained in the award document.

Reports:

Awardee is expected to publish or otherwise make results of work publicly available. Copies of reports are furnished by the awardee to a prescribed list of addressees as set forth in the grant, agreement or OTA. Technical and financial reporting requirements are in accordance with terms and conditions of award documents and with Department of Defense implementation of applicable OMB Circulars A-110 and A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Each awardee will maintain accounting records, in accordance with Department of Defense implementation of OMB Circular A-110, which will permit determination that the funds were used for the grant purposes.

Account Identification:

97-X-0400.34HQ.

Obligations:

FY 07 \$13,298,000; FY 08 \$38,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Average \$450,000.

PROGRAM ACCOMPLISHMENTS:

Initiated a new start program in FY 07 via Broad Agency Announcement BAA. 174 white papers from 86 US Universities were received and down selected via the technical criteria in the BAA to 75 invitations for full proposals. Ninety-three peer-reviewers external to DTRA performed the evaluations, again using the technical criteria in the BAA. Based on their evaluations and other factors, including budget limitations and balance of the entire portfolio as set forth in the BAA, 33 grants were awarded to 27 Universities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines and Literature sources are specified in the individual relevant Broad Agency Announcements.

Regional or Local Office:

None.

Headquarters Office:

Defense Threat Reduction Agency BE-BC, 8725 John J. Kingman Rd - MS 6201, Ft. Belvoir, VA 22060-6201.

Web Site Address:

http://www.dtra.mil/be/business_opp/procurement/acq_procopp.cfm

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Eight topic Areas include: Radiological and Nuclear Detection Material Science; Novel High Energy Materials and Processes for Counter WMD; Particulate and Agent Dynamics in Multipurpose Turbulent Reacting Flows; Understanding Network Response to Attack; Adversarial Social Network Theory; Biodosimetry Biomarkers for Mixed Radiation Exposure; Thermal Bionanosensor Material Science; Novel Methods of WMD Explosives Detection; Sample Projects include: Control of grain boundaries and defects in nano-engineered transparent scintillator ceramics; Radiological and Nuclear Detection Material Science - Investigation of Overpressure Related Brain Injury Mechanism; Polymer-Inorganic Nanohybrid Materials for High-Sensitivity Radiation Detection; High-Energy-Density Structural Energetic Materials for Counter WMD Applications; Fundamental Particle Combustion Kinetics Measurements in the Shock Tube in Support of Enhanced Blast Weapons Development; Coarse-grained Dynamics and Robustness of Networks: An Equation-free Approach; Framework for Adversarial Social Networks; Integrated Adversarial Network Theory; Discovery and Validation of Proteomic Biomarkers for Radiation Exposure; Composite-Nanoparticles Thermal History Sensors; Macrophage-Derived Receptors as Novel Detectors of Explosive-Released Chemicals.

CRITERIA FOR SELECTING PROPOSALS:

Generally, the principal evaluation and selection criteria are the technical or socio-economic merits of the proposal and potential long term contributions of DTRA's specific mission. Other selection criteria vary with the nature of the specific research or research-related activity. Typically, these include the qualifications, capabilities, and experience of the proposed Principal Investigator and/or key personnel who are critical in achieving the proposal objectives; adequacy of current or planned facilities, and equipment; and reasonableness and realism of the proposed costs.

12.352 SCIENTIFIC RESEARCH - COMBATING WEAPONS OF MASS DESTRUCTION

FEDERAL AGENCY:

DEFENSE THREAT REDUCTION AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358 (R&D grants and cooperative agreements), 10 U.S.C. 2361

(grants & contracts to universities- competitive), 10 U.S.C. 2371 (OTA)

OBJECTIVES:

To support and stimulate applied research, advanced research, and technology development at educational or research institutions, nonprofit organizations, and commercial firms, in order to advance the fundamental knowledge and understanding of the sciences with an emphasis on exploring new and innovative research for combating or countering Weapons of Mass Destruction (WMD), which may have a military or dual-use application (10 U.S.C. 2511, recipient must cost share) This support may take the form of grants, cooperative agreements, other transactions or contracts.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

No block or formula grants. Only project grants resulting from scientific proposals. Funds must be expended in furtherance of the research identified in the proposal, in accordance with the cost principles established in 2 CFR Part 220 and CFR Part 230.

Applicant Eligibility:

Any domestic or foreign industrial/commercial concerns including small business; accredited degree granting colleges and universities; not-for profit organizations; DoD- sponsored Federally Funded Research and Development Centers (FFRDCs) specified in DoD FAR Supplement 235.017-1; DOE sponsored FFRDCs With authorization from DOE sponsor. Awards are not made to U.S. Government agencies and organizations, Federal academic institutions, Federal laboratories not identified in DFARS 235-071-1, or individuals.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must not appear on the debarred or suspended list for the Department of Defense. Costs will be determined in accordance with 2 CFR Part 220 (formerly OMB Circular No. A-21), 2 CFR.225 (formerly OMB Circular No. A-87), and 2 CFR Part 230 (formerly OMB Circular A-122). The administrative requirements of OMB Circular Nos. A-102, A-110, A-128 and A-133 apply. Patents, inventions and rights in technical data and computer software are subject to 48 CFR 227 and 252, as amended, and 37 CFR 401.14.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible applicants may submit proposals or white papers in response to relevant Broad Agency Announcements publicized on Grants.gov and in the Federal Business Opportunities (www.fedbizopps.gov) under Other Defense Agencies - DTRA. This program is subject to OMB Circular No. A-110.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant Broad Agency Announcement. If selected for award, the Statement of Work (SOW) and budget are incorporated and the applicant agrees to perform the research described therein.

Deadlines:

White paper submission and invitation proposal instructions will be specified in the Broad Agency Announcement.

Range of Approval/Disapproval Time:

Awards may be made at any Time throughout the year for which funding is authorized.

Appeals:

None.

Renewals:

Reapplication with new proposals is permitted.

Formula and Matching Requirements:

This program has no statutory formula and matching is normally not applicable; however, if award instrument is under 10 U.S.C. 2511 (Defense Dual-Use Critical Technology Program) 50 percent cost sharing is mandatory.

Length and Time Phasing of Assistance:

Funding will reflect period of performance and may be awarded incrementally.

Reports:

Technical and financial reporting requirements are in accordance with terms and conditions of award document. Grantee is expected to publish or otherwise make results of work publicly available. Copies of reports are furnished by the grantee to a prescribed list of addressees (as set forth in the grant), which includes the Defense Technical Information Center (DTIC). 112 Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Each grantee will maintain accounting records which will permit determination that the funds were used for the grant purposes. Financial records must be maintained for at least three years; records Shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

970400.34HQ.

Obligations:

FY 07 \$500,000; FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grants and Agreements Regulations (DoDGARs), and specific program announcements (Broad Agency Announcements) issued by DTRA.

Regional or Local Office:

None.

Headquarters Office:

Defense Threat Reduction Agency, 8725 John J. Kingman Rd, MS 6201, Ft. Belvoir, VA 22060-6201.

Web Site Address:

See Broad Agency Announcement for specific website information.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Overall scientific, technical or socio-economic merits of the proposal; (2) potential contributions of the effort to the DTRA's specific mission; (3) capabilities, related experience, facilities, techniques or unique combinations of these, which are integral factors for achieving the proposal objectives; 4. qualifications, capabilities and experience of the proposed principal investigator, team leader or key personnel who are critical in achieving the proposal objectives; and 5. reasonableness and realism of the proposed cost.

12.360 RESEARCH ON CHEMICAL AND BIOLOGICAL DEFENSE

FEDERAL AGENCY:

JOINT PROGRAM OFFICE FOR CHEMICAL AND BIOLOGICAL DEFENSE, CHEMICAL AND BIOLOGICAL MEDICAL SYSTEMS PROGRAM OFFICE, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

To further the capability to prevent, detect, diagnose and treat the effects of chemical, radiological and biological warfare agents.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of annual or project report.

Applicant Eligibility:

Applicants must be a public or private educational institution, nonprofit organizations operated for purposes in the public interest and commercial firms.

Beneficiary Eligibility:

Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. Applicants must not appear on the DoD debarred or suspended list.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should obtain a copy of The relevant BAA which describes the full research program areas of interest and includes necessary forms and instruction for proposal submissions. The BAA is available at no cost to the applicant and is also available for download at www.fedbizopps.gov (search under USASMD), www.jpeocbd.osd.mil/ and www.grants.gov .

Award Procedure:

None.

Deadlines:

None. Individual research areas may initiate deadlines which will be communicated upon review of the pre-proposal.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Once a grant is awarded, any follow-on research Proposal is treated as a new request. Follow-on work should allow for review time noted above.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Funds are normally released on a quarterly basis.

Reports:

Quarterly financial reports and a final financial transactions report, i.e. SF 272 are required. Additionally, some grants may require midterm and/or final technical reports.

Audits:

Defense Contract Audit Agency or Department of Health and Human Services Audit Agency.

Records:

Recipients are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure that the required costs of participation is achieved. Records must be maintained for 3 years following the date of submission of final request for services rendered.

Account Identification:

97-0400-0-1-051.

Obligations:

FY 07 est \$3,752,500; FY 08 est \$10,000,000 and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD and Federal Regulations.

Regional or Local Office:

None.

Headquarters Office:

Chemical and Biological Medical Systems, 64 Thomas Johnson Drive, Frederick, MD 21702. Telephone: (301) 619-2895 E-mail: cbmsbaa@amedd.army.mil .

Web Site Address:

<http://www.jpeocbd.osd.mil/> .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

See the CBMS BAA for Complete selection criteria.

12.400 MILITARY CONSTRUCTION, NATIONAL GUARD

FEDERAL AGENCY:

DEPARTMENT OF THE ARMY, NATIONAL GUARD BUREAU,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Public Laws 101-510 and 101-519, 10 U.S.C. 2231- 2238.

OBJECTIVES:

To provide a combat-ready reserve force facilities for training and administering the Army and Air Force National Guard units in the 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For armories, to provide offices, storage, assembly area, rifle range and classrooms. For non-armories, to provide for maintenance, supply, training, and other administrative and logistical requirements.

Applicant Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Guam, and the territories. The State National Guard unit must be federally recognized. States must provide real estate for armory projects.

Beneficiary Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Guam, and the territories. The State National Guard unit must be federally recognized. States must provide real estate for armory projects.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program requires annual identification of facility shortfalls and the submission of an environmental impact analysis on proposed construction project. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

The State Adjutant General submits proposed projects in accordance with DoD construction criteria guidelines to the headquarters office listed below. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

After Congress authorizes, approves, and appropriates funds for the project and the National Guard Bureau reviews and approves all necessary plans, specifications, bidding documents, contracts and other documentation, the award can be made.

Deadlines:

February 1, annually.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal funds provide 75 percent of construction costs for armories and 100 percent for non-armory facilities. State provides 25 percent of construction funds, all necessary real estate and 100 percent of operations and maintenance costs for armories. State must provide 25 percent of the operations and maintenance cost for the non-armory projects, except training facilities which are 100 percent Federal.

Length and Time Phasing of Assistance:

None.

Reports:

State must submit certified vouchers for each payment to the U.S. Property and Fiscal Officer of the State, for initial construction and subsequent maintenance.

Audits:

Army Audit Agency, Defense Audit Service, and the General Accounting Office. No specific frequency.

Records:

An inventory of program assets by each State.

Account Identification:

21-2085-0-1-051.

Obligations:

(Grants) FY 07 not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$300,000 and up.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2002, 5 armory and 23 non-armory projects are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Chief of Installations, NGB-ARI, ARNG Readiness Center, 111 S George Mason Drive, Arlington, VA 22204-1382. Telephone: (703) 607-7900. Use the same number for FTS. (Use 327-7900 for DSN).

Web Site Address:

<http://www.ngb.dtic.mil/indexshtml/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Armory, Organizational Maintenance Shop, Army Aviation Support Facility, Mobilization and Training Equipment Sites.

CRITERIA FOR SELECTING PROPOSALS:

The following are the criteria used to select a project for Federal support: (a) Early deployment priority; (b) the total actual strength of the unit(s) assigned is greater than 75 percent of the total required strength of the unit(s) supported by the proposed project; (c) in the case of armory projects matching State funds and the necessary State land must be available and; (d) the Joint Service Reserve Component Facilities Board must have validated the project and recommended either unilateral or joint construction.

12.401 NATIONAL GUARD MILITARY OPERATIONS AND MAINTENANCE (O&M) PROJECTS

FEDERAL AGENCY:

NATIONAL GUARD BUREAU, DEPARTMENT OF DEFENSE

AUTHORIZATION:

32 U.S.C. 106 and 107.

OBJECTIVES:

1. ARNG Real Property O&M Projects (RPOMA) - provide Federal support for services provided by the State for authorized facilities for leases, real property services, real property maintenance, and O & M minor construction costs; 2.

ARNG Environmental and Integrated Training Area Management Programs Resources Management provide Federal support for services provided by the States to accomplish environmental actions such as: a. Environmental Compliance/Corrective Projects - maintenance and repair and construction projects that are initiated to comply with environmental laws, prevent pollution, conserve the environment, and restore the environment; b. Environmental Services - activities that support environmental compliance, pollution prevention, conservation, and restoration; such as studies, plans, surveys, assessments, test, monitoring, hazardous waste management and environmental public affairs requirements; c. Program Management - actions, activities, and tasks which are accomplished to maintain a strong environmental program. Program management includes, but is not limited to items such as salaries and benefits, training, maintaining and environmental reference library and material, file/records administration, travel, per diem, mileage, etc.; d. ITAM program - an Army program designed to sustain future use of federally supported training lands through program components and to integrate other Federal programs and stewardship principles into range, training; 3. ARNG Security Guard Activities - provide Federal support for services provided by the States for authorized facilities and services for security guard costs; 4. ARNG Electronic Security System Operation and Maintenance - provide Federal support for services provided by the States for Joint Services Interior Intrusion Detection Systems (J-SIIDS), Commercial Intrusion Detection Systems (CIDS), Exterior Intrusion Detection Systems, Closed Circuit Television (CCTV) used for security surveillance and associated on site and off site remote monitoring equipment provided by the State for authorized facilities; 5. ARNG Telecommunications - provide Federal support for services provided by the States for installation/activity telephone service; facsimile service; telecommunication services; foreign exchange (FX) service; Wide Area Telecommunications Service (WATS); telephone directories; funding, billing, and internal controls for base telecommunications services; and Defense Switched Network (DSN); 6. ARNG Aviation Training Base Operation - provide Federal support for services provided by the States for Air Traffic Control (ATC) Service, Airport Service Agreements, and Aircraft Rescue Fire Fighting (AFF); 7. ARNG Ranges and Training Land Program - provide Federal support for services provided by the States for range operations, range maintenance, leases, rentals and equipment for authorized ranges and training lands; 8. ARNG Full Time Dining Facility Operations - provide Federal support for services provided by the States for authorized Food Service Operations at ARNG missions and training sites; 9. ARNG Store Front Recruiting Office Activities - provide Federal support for services provided by the States for authorized Store Front Recruiting activities; 10. Office of the Chief - Information Services Activities - provide Federal support for services provided by the States for printing and duplication services, mail distribution services, and records management services; 11. ARNG Air and Surface Petroleum, Oil and Lubricants (POL) - provide Federal support services and maintenance expenses for POL activities; 12. ARNG Aviation Reimbursable Maintenance Operations - Provide Federal support for aviation reimbursable maintenance operations within the State. These operations include the maintenance, fabrication, and/or repair of aircraft, aircraft subassemblies, and systems; 13. Air National Guard(ANG) Facilities O & M - provide Federal support for services provided by the State for authorized facilities for leases, real property operations, real property maintenance and repair, and O + M minor construction costs; 14. ANG Environmental Programs Management provide Federal support for services provided by the States to accomplish environmental actions such as: a. Environmental Compliance/Corrective Projects - maintenance and repair and/or construction projects that are initiated to comply with the environmental laws, prevent pollution, conserve the environment, and restore the environment; b. Environmental Services - activities that support environmental compliance, pollution prevention, conservation, and restoration; such as studies, plans, surveys, tests, monitoring, hazardous waste disposal and management and environmental public affairs requirements; c. Program Management - actions, activities, and tasks which are accomplished to maintain a strong environmental program. Program management includes, but is not limited to items such as environmental corrective actions, Hazardous waste management, ECAMP auditing, Training, Environmental Project reviews, Environmental planning activities, and Natural resources actions; 15. ANG Security Guard - provide Federal support for services provided by the States for authorized expenses incurred in rendering security guard service; and 16. ANG Fire Protection Activities provide Federal support for services provided by the States for authorized fire protection activities. 17. ANG Natural and Cultural Resources Management - provide Federal support for Natural and Cultural resource Management projects which are initiated to comply environmental laws, conserve and restore the environment; 18. ANG Air Traffic Control Activities - provide Federal support to the State for sufficient personnel, equipment (except GFE), tools, materials, supervision and other items/services necessary to perform non-approach control air traffic control, and aviation weather observing/reporting services; 19. ANG Paint Facility Activities - provide Federal support for paint facility activities, which include but are not limited to, painting aircraft, and administrative support; 20. ANG Services Resources Management -

provide Federal support for State services employees training, lodging and food service activities, i.e., cooks, custodial support and, food service accounting. Note: State means any of the States of the United States, District of Columbia, the Commonwealth of Puerto Rico, Virgin Islands, and Guam, including their political subdivisions, counties, municipalities, cities, towns, townships, local public authorities and tax-supported agencies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide a combat-ready reserve force in the Army and Air Force National Guard units in the 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam.

Applicant Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard unit must be federally recognized.

Beneficiary Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard must be federally recognized.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The State Adjutant General submits proposed projects in accordance with National Guard Bureau criteria guidelines.

Award Procedure:

After Congress authorizes, approves, and appropriates funds, the National Guard Bureau reviews and approves all necessary plans, specifications, and other documentation, the award can be made.

Deadlines:

Not later than June 30, the States shall submit a budget to NGB, for review/approval, for each succeeding fiscal year (September 1 through October).

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

None.

Renewals:

Requires submission of a budget proposal for the coming fiscal year.

Formula and Matching Requirements:

Federal funds provide from 75 percent to 100 percent of cost for these programs.

Length and Time Phasing of Assistance:

Twelve month funding.

Reports:

States must submit certified vouchers for each payment to the United States Property and Fiscal Officer of the State.

Audits:

Governed by Single Audit Act of 1984.

Records:

Maintained at State level.

Account Identification:

57-3840-0-1-051.

Obligations:

(Cooperative Agreements) FY 07 \$100,000,000; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 and up.

PROGRAM ACCOMPLISHMENTS:

All programs have been successful. For specific accomplishments for the programs listed in Objectives above, please contact the Program Managers listed in Appendix IV of the Catalog under National Guard Bureau Headquarters Offices.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

See Appendix IV of the Catalog.

Web Site Address:

<http://www.ngb.dtic.mil/indexsh.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

ARNG Real Property O&M Projects (RPOMA); Environmental Resources Management; Security Guard Activities; Electronic Security System; Telecommunications; Aviation Operations; Automated Target Systems; Full Time Dining Facility Operations; Store Front Office Recruiting Lease; Administrative Services Activities; ARNG Air and Surface Petroleum, oil and lubricants; ARNG Aviation Reimbursable Maintenance Operations; Air National Guard (ANG) Facilities O&M; ANG Environmental; ANG Security Guard; (ANG) Fire Protection; (ANG) Natural and Cultural Resources Management; (ANG) Air Traffic Control Activities and (ANG) Paint Facility

Activities.

CRITERIA FOR SELECTING PROPOSALS:

States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager.

12.404 NATIONAL GUARD CIVILIAN YOUTH OPPORTUNITIES

FEDERAL AGENCY:

DEPARTMENT OF THE ARMY, NATIONAL GUARD BUREAU,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Defense Appropriation Act, as amended, 32 U.S.C. 509; 10 U.S.C. 2193.

OBJECTIVES:

The Secretary of Defense, acting through the Chief of the National Guard Bureau, conducts a National Guard civilian youth opportunities program (to be known as the "National Guard ChalleNGe Program") to use the National Guard to provide military-based training, including supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To carry out the National Guard ChalleNGe Program in a State, the Secretary of Defense shall enter into an agreement with the Governor of the State or, in the case of the District of Columbia, with the commanding general of the District of Columbia National Guard, under which the Governor or the commanding general will establish, organize, and administer the National Guard ChalleNGe Program in the State. The agreement may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Applicant Eligibility:

The Secretary of Defense shall provide for the conduct of the National Guard ChalleNGe Program in such States and U.S. territories and possession as the Secretary considers to be appropriate, except that Federal expenditures under the program may not exceed \$62.5M for fiscal year 01.

Beneficiary Eligibility:

The Secretary of Defense shall provide for the conduct of the National Guard ChalleNGe Program in such States and U.S. territories and possession as the Secretary considers to be appropriate, except that Federal expenditures under the program may not exceed \$62.5M for fiscal year 01.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The State shall develop a plan which includes details on student application and selection procedures, number of students to be trained, staffing, curriculum, Post-Residential phase execution, facilities and services, State public and private service to be provided and a detailed budget.

Award Procedure:

After Congress authorizes, approves, and appropriates funds for the project and the National Guard Bureau reviews and approves all necessary plans, specifications, bidding documents, contracts and other documentation, the award can be made.

Deadlines:

Not available.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount of assistance provide under this section to a State program of the National Guard ChalleNGe Program may not exceed: (1) For fiscal year 2000, 65 percent of the costs of operating the State program during the year; and (2) for fiscal year 2001 and each subsequent fiscal year, 60 percent of the costs of operating the State program during that year."

Length and Time Phasing of Assistance:

One year from the approval of the agreement.

Reports:

State must submit certified vouchers for each payment to the U.S. Property and Fiscal Officer of the State. Within 90 days after the end of each fiscal year, the Secretary of Defense shall submit to Congress a report on the design, conduct, and effectiveness of the National Guard ChalleNGe Program during the preceding fiscal year. In preparing the report, the Secretary shall coordinate with the Governor of each State in which the National Guard ChalleNGe Program is

carried out and, if the program is carried out in the District of Columbia, with the commanding general of the District of Columbia National Guard.

Audits:

Governed by the Single Audit Act of 1984. The USPFO shall conduct an annual internal review of the program. The internal review shall commence 90 days after the close of the program budget year.

Records:

An inventory of program assets by each State.

Account Identification:

57-3840-0-1-051.

Obligations:

(Grants) FY 07 \$62,500,000; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Section 509(b) limits the use of Federal funds in an amount not to exceed \$62.5M for fiscal year 05.

PROGRAM ACCOMPLISHMENTS:

The ChalleNGe and Starbase programs have grown during the past 9 years from ideas into the most successful programs of their types in the United States. ChalleNGe has grown from a pilot program of 10 States to a permanent program with 25 States currently participating and more than 22,000 graduates. Starbase has grown from 1 program to the 19 that exist today, and has been adopted by the Navy and Air Force Reserve.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoD and NGB guidance.

Regional or Local Office:

None.

Headquarters Office:

NGB/NGB-YP (Youth Programs Division), 1411 Jefferson Davis Highway, JP1, Arlington, VA 22202-3221. Telephone: (703) 607-5975. (Use 327-5975 for DSN).

Web Site Address:

<http://www.ngb.dtic.mil/indexstm/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

ChalleNGe, Youth Conservation, and STARBASE.

CRITERIA FOR SELECTING PROPOSALS:

States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager.

12.420 MILITARY MEDICAL RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

DEPARTMENT OF ARMY ARMY RESEARCH AND MATERIAL
COMMAND, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

To reduce illness and injury among U.S. military personnel on the battlefield through basic and applied medical research executed largely through grants and contracts with civilian educational and research institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of annual or project report. Project support is limited to fundamental research which is defined as basic and applied research aimed at sustaining the technology base or understanding a biological- medical process, thereby providing a technological edge in solving complex biological-medical problems and countering future military medical threats. Some grants are also awarded to support conferences and symposiums.

Applicant Eligibility:

Applicants must be a public, nonprofit institution/organization, other public institution/organization, private nonprofit institution/organization, specialized group, or quasi-public nonprofit institution/organization.

Beneficiary Eligibility:

Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should obtain a copy of the U.S. Army Medical Research and Development Command's Broad Agency Announcement (BAA) which describes the full research program and includes necessary forms and instructions for proposal submissions. BAA's may be obtained by request in writing from U.S.

Army Medical Research and Development Command, ATTN: MCMR-ACQ-BA, Fort Detrick, Frederick, MD 21702-5012. Pre-proposals are encouraged prior to submission of a formal application. (See also www.fedbizopps.gov, search on Army Medical Command).

Award Procedure:

Proposals are evaluated by in-house committee or by a combination of in-house and extramural review committees against the following factors: (1) Military and program relevance; (2) research objective; (3) scientific feasibility; (4) qualifications; (5) facilities; and (6) budget. The final stage of evaluation is the establishment of an order of merit in which all competing proposals are ranked on the basis of their respective military relevance and scientific merit evaluations. Award amount will be negotiated with those proposals recommended for acceptance. Awards depend upon the availability of funds and the priority which USAMRDC determines to exist at the time of award.

Deadlines:

No overall program deadlines exist. Individual research areas may initiate deadlines which will be communicated upon review of the pre-proposal.

Range of Approval/Disapproval Time:

Pre-proposals are normally reviewed within 60 to 120 days. Formal proposals are usually reviewed and notifications received within 6 months from submission. Grant award dates are normally within 6 months from notification.

Appeals:

Not applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted above.

Formula and Matching Requirements:

This program has no formula or matching requirements.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Funds are normally released on a quarterly basis.

Reports:

Quarterly financial reports and a final financial transactions report, i.e., SF 272 are required. Additionally, some grants may require midterm and/or final technical reports.

Audits:

Defense Contract Audit Agency or Department of Health and Human Services Audit Agency with no specific frequency established.

Records:

Recipients are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure that the required cost of participation is achieved. Records must be maintained for 3 years following the date of submission of final reimbursement request for services rendered.

Account Identification:

21-2040-0-1-051.

Obligations:

(Grants) FY 07 est \$140,000,000; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$100,000 to \$5,000,000. Average: \$650,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Medical Research and Development Command Board Agency Announcement (BAA) available without cost from the sponsoring agency. OMB Circular Nos. A-110 and A-122 are applicable to the program grants.

Regional or Local Office:

Commander, U.S. Army Medical Research Acquisition Activity, Attn: SGRD-RMA-RC, Fort Detrick, Frederick, Maryland 21702-5014. POC: Mr. Craig D. Lebo. Telephone: (301) 619-2036.

Headquarters Office:

U.S. Army Medical Research and Material Command, ATTN: MCMR-ACQ-BA, Fort Detrick, Frederick, MD 21702-5012. Telephone: (301) 619-7216.

Web Site Address:

<http://mrmc-www.army.mil>; <http://cdmmp.army.mil>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Characterization and Utilization of Opioid-Like Hibernation Factors, \$621K; (2) Analysis of Investigational Drugs in Biological Fluids, Method Development and Routine Assay, \$2.4M; (3) Studies of Altered Response to Infection Induced by Severe Injury, \$1.1M; and (4) Mechanisms of Cutaneous Vesication, \$862K.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.431 BASIC SCIENTIFIC RESEARCH

FEDERAL AGENCY:

ARMY RESEARCH OFFICE, ARMY MATERIAL COMMAND

AUTHORIZATION:

Public Laws 79-588, 87-651, 97-86, 10 U.S.C. 5150-5153; 10 U.S.C. 2358, as amended; 10 U.S.C. 2361; 10 U.S.C. 6304.

OBJECTIVES:

To support basic research that is related to or has potential for leading to the improvement of Army programs or operations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Basic Research in the mathematical, physical, engineering, biological and geosciences providing knowledge necessary for the Army to make informed decisions about further exploratory development to provide the technology base from which Army capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by support to outstanding graduate, undergraduate and high school students pursuing studies in areas of Army concern. Programs to increase science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

Educational institutions and nonprofit scientific research organizations.

Beneficiary Eligibility:

Educational institutions and nonprofit scientific research organizations.

Credentials/Documentation:

The following documentation is required to be submitted with offerors proposals: Cover page and information requested thereon (ARO Form 51); Statement of Disclosure Preference (AR Form 52 or 52A); Abstract; Technical Proposal; and Cost Proposal including SF 1411. The technical portion of the proposal should contain the following: A complete discussion stating the background and objectives of the proposed work; information that will identify the magnitude of the research program currently underway by the proposed principal investigator(s) such as (i) description of the work, (ii) the annual dollar volume of the effort, (iii) the names Federal, State, local agencies or other parties presently funding the work or requested to fund such work, and (iv) a complete breakdown of the time of the principal investigator and/or co-principal investigator; brief biographical information and list of recent publications of the offeror's key personnel who will be involved in the research; type of support requested, for example, facilities, equipment, materials; names of other Federal, State, local agencies or other parties receiving the proposal and/or funding the proposed effort; statement regarding possible impact if any of the proposed effort on the environment; brief description of organization; and identity of facilities to be used for the work if appropriate for an understanding of the proposal. The cost proposal must be a complete and thoroughly documented estimate of the proposed costs of the research project.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to OMB Circular No. A-110. All eligible organizations may submit proposals in response to ARO's general and specific broad agency announcements (BAAs) published annually or as the need arises in the Federal Business Opportunities (www.fedbizopps.gov). Information regarding areas of scientific interest and points of contact are contained in the BAAs. Application and award procedures are also contained in the BAA brochures. Pre-proposal contacts are encouraged prior to submission of a formal application.

Award Procedure:

Upon receipt of a proposal, the ARO scientific staff will perform an initial review of its scientific merit and potential contribution to the Army mission and also determine if funds are expected to be available for the effort. Proposals not considered to have sufficient scientific merit or relevance to the Army's needs or those in areas for which funds are not expected to be available may be declined without further review. Proposals not declined as a result of the initial review may be subject to an extensive peer review by highly qualified scientists from within the government and leading scientists and other preeminent experts outside the government. Each proposal will be evaluated based on how the proposal relates to the overall ARO program rather than against other proposals for research in the same general area normally using the following factors: (1) overall scientific and/or technical merits; (2) military and program relevance; (3) capabilities, related experience, facilities, techniques or unique combinations of these; (4) qualifications, capabilities and experience of the proposed principal investigator, team leader or other key personnel; (5) record of past performance; and (6) reasonableness and realism of proposed costs. If selected for award, the proposal is incorporated into a grant document and the awardee agrees to perform the research described therein.

Deadlines:

Proposals may be submitted at any time during the indicated submission time contained in the BAA.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted in the "award procedure" above.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. It is preferred that proposals submitted cover a three year period with each year proposed as a severable unit. The maximum period acceptable for a research proposal is five years. Funds are normally provided in individual grants according to a quarterly schedule of payments.

Reports:

Quarterly financial reports (SF 272) and a final transactions report (SF 269) are required. Additionally, grants require interim technical, progress and final reports as outlined in ARO Form 18, U.S. Army Research Office Reporting Instructions; a copy of which is provided to each awardee. In addition, awardees are required to provide ARO copies of manuscripts and reprints.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-0-1-051.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Research Office Broad Agency Announcement (BAA) is available without cost from the sponsoring agency. OMB Circular Nos. A-110, A-133, A-88, and A-21; DoD Instruction 3218.2; DoD Directive 3210.2; DoD Directive 3210.6; are applicable to the project grants.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. George Neece, U.S. Army Research Office, ATTN: AMXRO-RT, P. O. Box 12211, Research Triangle Park, NC 27709-2211. Telephone: (919) 549-4204; DSN 832-4204.

Web Site Address:

<http://www.amc.army.mil>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. Research entitled Focused Ion Beam Fabrication of Microelectronic Structures at the Massachusetts Institute of Technology; 2. research entitled Manufacturing Science Reliability and Maintainability Technology at the University of Delaware; 3. National Defense Science and Engineering Graduate Fellowship in Materials Science with Dr. Patrick T. Mather at the University of California; 4. Center of Excellence in Rotary Wing Technology at Rensselaer Polytechnic Institute; and 5. Research performed by a historically black college/university entitled Computational Methods for the Simulation of Non-Newtonian Flows at Hampton University.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are as indicated in the Award Procedures.

12.550 NATIONAL FLAGSHIP LANGUAGE PROGRAM GRANTS TO U.S. INSTITUTIONS OF HIGHER EDUCATION

(National Security Education Program (NSEP))

FEDERAL AGENCY:

OFFICE OF THE UNDER SECRETARY OF DEFENSE FOR PERSONNEL AND READINESS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.

OBJECTIVES:

(1) To establish centers for the teaching of critical languages to the ILR level 3 (ACTFL Superior level) and beyond in Arabic, Chinese, Russian, Korean, Persian, Hindi, and Urdu; (2) To develop curricular, institutional, and instructional models for advanced language learning; (3) To test those models; (4) To stimulate institutional support and long term commitment to reforming language learning; and (5) To improve national capacity in critical languages.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant awards are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity and educate U.S. citizens in critical languages & cultures together with a variety of academic and professional disciplines. The National Security Education Act places no special or unique requirements on grantee institutions. Only requirements that govern all Federal grants will apply to NSEP grants. No International exchange programs may be funded by NSEP.

Applicant Eligibility:

Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education and their students.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in requests for applications released on behalf of NSEP by the Institute of International Education Center (IIE) and the Center for Applied Study of Languages (CASL).

Range of Approval/Disapproval Time:

From 45 to 60 days at each stage of a two-stage process.

Appeals:

None.

Renewals:

Renewals are negotiated through IIE, and are conducted annually based on performance.

Formula and Matching Requirements:

No less than 25% of the grant supported program costs are required to be shared by a grant recipient.

Length and Time Phasing of Assistance:

Grants are multi-year renewed each year based on performance.

Reports:

Semi-annual progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 \$12,000,000; FY 08 est \$12,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grants ranges from \$150,000 to \$1,000,000 annually for multi-year projects.

PROGRAM ACCOMPLISHMENTS:

Participants in NSEP grant programs are provided instruction in Arabic, Hindi, Urdu, Central Asian Languages, Russian, Korean, Persian, and Mandarin

through domestic and overseas study, and achieve professional-level proficiency (ILR Level 3/3/3).

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for Applications are released by IIE at <http://www.iie.org/nsep>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Robert O. Slater or Ms. Stacia Falat, Department of Defense, National Defense University, National Security Education Program, 1101 Wilson Boulevard, Suite 1210, Arlington, VA 22209-2248. Telephone: (703) 696-1991, E-Mail Address: nsepo@ndu.edu.

Web Site Address:

<http://www.casl.umd.edu/nflp>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The NFLP grants have been awarded to establish an infrastructure that provides instruction to reach ILR Level 3 in the following language and at the following institutions: Arabic Georgetown University and the University of Maryland, College Park; Chinese Brigham Young University, Ohio State University, University of Oregon (K-16 effort in partnership with Portland Public Schools; Korean University of California, Los Angeles; University of Hawaii, Manoa; Persian University of Maryland, College Park; Russian Bryn Mawr College (consortium).

CRITERIA FOR SELECTING PROPOSALS:

Significance of the problem and proposal's response to the problem; proposals effectiveness, and evaluation plans.

12.551 NATIONAL SECURITY EDUCATION PROGRAM DAVID L. BOREN SCHOLARSHIPS

FEDERAL AGENCY:

OFFICE OF THE UNDER SECRETARY OF DEFENSE, PERSONNEL AND READINESS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.

OBJECTIVES:

(1) To equip Americans with an understanding of less commonly taught languages and cultures; (2) to build a critical base of future leaders both in the marketplace and in government service; (3) to develop a cadre of professionals with more than the traditional knowledge of language and culture; and (4) to enhance institutional capacity and increase the number of faculty who can educate U.S. citizens toward achieving these goals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarship awards are given to degree-seeking undergraduate U.S. citizens enrolled in academic and professional programs at U.S. institutions of higher education. All NSEP scholarship recipients agree to seek employment in the federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

Any U.S. citizen enrolled in an accredited 2 or 4 year public or private U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a)) is eligible to apply for an undergraduate scholarship. Students enrolled in Federal government schools are not eligible.

Beneficiary Eligibility:

U.S. students enrolled in public or private two- or four-year accredited U.S. institutions of higher education.

Credentials/Documentation:

Proof of enrollment and proof of U.S. citizenship.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible, U.S. undergraduate students may apply for scholarships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in annual application, usually in January or February.

Range of Approval/Disapproval Time:

From 70 to 90 days.

Appeals:

None.

Renewals:

Freshmen and sophomore Boren Scholarship recipients are eligible for a second Boren Scholarship by competing as an upperclassman. Juniors and seniors may receive only one Boren Scholarship. Boren Scholarship recipients may be

eligible to apply for David L. Boren Fellowships or National Flagship Language Program Fellowships.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Eligible students may receive Boren Scholarships for no more than twelve (12) months.

Reports:

Semi-annual progress reports are required while receiving funding followed by annual service agreement reports until service requirement is fulfilled.

Audits:

None.

Records:

None.

Account Identification:

97-0100-0-1-051.

Obligations:

(Undergraduate Scholarships) FY 07 est \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Award amounts are based on the study abroad costs and financial aid information provided by the applicant. The maximum award is \$8,000 for a summer, \$10,000 for a semester, or \$20,000 for a full academic year. Scholarship support can be for no less than eight (8) weeks, and no more than twelve (12) months. Average awards are approximately \$10,000 per scholar.

PROGRAM ACCOMPLISHMENTS:

Since 1996, NSEP has awarded Boren Scholarships to nearly 2000 U.S. citizens from all 50 States and the District of Columbia. These scholarships have enabled the study of over 63 less-commonly taught languages in 68 less-commonly studied countries and world regions.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996.

Regional or Local Office:

None.

Headquarters Office:

Ms. Stacia Falat, National Defense University, National Security Education Program, Rosslyn P.O. Box 20010, 1101 Wilson Blvd, Suite 1210, Arlington, VA 22209-2248. Telephone: (703)696-1991, E-Mail: nsepo@ndu.edu or Mr. Christopher Powers, Institute of International Education, NSEP David L. Boren Scholarship Program, 1400 K Street, N.W., Washington, DC 20005-2403. Telephone: (800)618-6737, E-mail: nsep@iie.org.

Web Site Address:

<http://www.iie.org/programs/nsep/undergraduate/>.

RELATED PROGRAMS:

12.552, National Security Education Program David L. Boren Fellowships; 12.553 National Flagship Language Program Fellowships.

EXAMPLES OF FUNDED PROJECTS:

Sophomore international relations major and Southeast Asia area studies minor from Loyola University Chicago learned Hindi in Udaipur, India on a University of Minnesota international development program. Junior political science major from the University of Illinois at Chicago studied Arabic at Al Akhawayn University in Ifrane, Morocco.

CRITERIA FOR SELECTING PROPOSALS:

Boren Scholarship recipients are selected based on merit including (1) academic record and potential to succeed in the proposed study, (2) commitment to federal service, (3) language interests and aptitude, and (4) quality and appropriateness of the proposed program and its relevance to the National Security Education Program.

12.552 NATIONAL SECURITY EDUCATION PROGRAM DAVID L. BOREN FELLOWSHIPS

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE, STRATEGY AND REQUIREMENTS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1910.

OBJECTIVES:

(1) To equip Americans with an understanding of less commonly taught languages and cultures; (2) to build a critical base of future leaders both in the marketplace and in government service; (3) to develop a cadre of professionals with more than the traditional knowledge of language and culture; and (4) to enhance institutional capacity and increase the number of faculty who can educate U.S. citizens toward achieving these goals.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

Fellowship awards are made to degree-seeking graduate-level U.S. citizens who are enrolled in academic and professional programs at a U.S. institution of higher education to study in critical world areas. All Boren Fellowship recipients agree to seek employment with the U.S. federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

Any U.S. citizen enrolled in an accredited public or private U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a)) is eligible to apply for a graduate fellowship. Students enrolled in Federal government schools are not eligible.

Beneficiary Eligibility:

U.S. students enrolled in public or private accredited U.S. institutions of higher education.

Credentials/Documentation:

Proof of enrollment and proof of U.S. citizenship.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible, U.S. graduate students may apply for fellowships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in annual application, usually in January or February.

Range of Approval/Disapproval Time:

From 70 to 90 days.

Appeals:

None.

Renewals:

Boren Fellows who achieve an advanced level of language proficiency (ACTFL Advanced) in Arabic, Korean, Mandarin, Persian, Russian other select languages are eligible to apply for a National Flagship Language Program Fellowship.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Eligible students may receive a fellowship from NSEP to study for no less than one academic semester and no more than 12 months overseas, and up to 4 semesters domestically. Domestic study is not a required application component to receive funding. Domestic study is limited to language or area studies that enhance a degree program. Total maximum duration is two years.

Reports:

Semi-annual progress reports are required while receiving funding followed by annual service agreement reports until service requirement is fulfilled.

Audits:

None.

Records:

Not applicable.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 est \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Up to \$24,000 for overseas study, and up to \$12,000 for domestic study for a maximum of up to \$30,000. Average awards are approximately \$20,000 per fellow. If only requesting funding for overseas study, it must be at least two semesters in duration. If including a domestic study component, the overseas portion of the funding request must be higher.

PROGRAM ACCOMPLISHMENTS:

Since 1996, NSEP has awarded over 1100 Boren Fellowships to U.S. citizens from all 50 States and the District of Columbia. These fellowships have enabled study of over 91 less-commonly taught languages in 105 less-commonly studied countries and world regions.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Kevin Gormley, Department of Defense, National Security Education Program, Rosslyn P.O. Box 20010, 1101 Wilson Blvd, Suite 1210, Arlington, VA 22209-2248. Telephone: (703)696-1991, E-Mail Address: nsepo@ndu.edu or Mr. Christopher Powers, Institute of International Education, NSEP David L. Boren Fellowship Program, 1400 K Street, NW, Washington, DC 20005-2403. Telephone: (800)618-6737, E-mail Address: nsep@iie.org.

Web Site Address:

<http://www.iie.org/programs/nsep/graduate/>

RELATED PROGRAMS:

12.551, National Security Education Program David L. Boren Scholarships;

12.553, National Flagship Language Program Fellowships.

EXAMPLES OF FUNDED PROJECTS:

A Ph.D. candidate researching infectious diseases in Malaysia, while studying Malay; a Master's degree student learning Russian and studying Russian environmental policy and business developments; a Ph.D. student researching nationalist movements in the former Soviet Union, studying both Turkish and Uzbek; a Master's degree student studying both Mandarin and Cantonese while researching U.S. business firms hoping to establish competitive trade platforms in the Far East; and a Ph.D. student reviewing Japan's foreign aid policy towards Africa, while studying Japanese.

CRITERIA FOR SELECTING PROPOSALS:

Boren Fellowship recipients are selected based on merit including (1) academic record and potential to succeed in the proposed study, (2) commitment to federal service, (3) language interests and aptitude, and (4) quality and appropriateness of the proposed program and its relevance to the National Security Education Program.

12.553 NATIONAL FLAGSHIP LANGUAGE PROGRAM FELLOWSHIPS

National Security Education Program (NSEP)

FEDERAL AGENCY:

OFFICE OF THE UNDER SECRETARY OF DEFENSE, PERSONNEL AND READINESS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.

OBJECTIVES:

The National Flagship Language Program (NFLP) is designed to address the urgent and growing need for Americans with professional levels of competency in languages critical to national security. NFLP offers advanced language training in Arabic, Hindi, Korean, Mandarin, Persian, Russian, and Urdu. NFLP is designed to train participants to reach professional working proficiency in a target language, as measured by the federal Interagency Language Roundtable (ILR) level 3 and/or the American Council on the Teaching of Foreign Languages (ACTFL) superior level.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

Fellowship awards are made to U.S. citizens with at least a bachelor's degree who enroll in domestic and overseas study through one of the designated NFLP institutions. Flagship Fellowship recipients agree to seek employment with the U.S. federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

(1) Must be a U.S. citizen at the time of application; (2) Must have a minimum proficiency in the appropriate foreign language of advanced low (as defined by ACTFL) or level 2 (as defined by ILR). You must also possess excellent skills in English (ILR level 3/ACTFL superior language proficiency or higher in all modalities of English); (3) Must complete an undergraduate degree by the time you would begin the NFLP Fellowship. Past recipients of NSEP Boren Undergraduate Scholarships or Graduate Fellowships are eligible and are encouraged to apply to this program; and (4) may not be a current U.S. government employee.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education, their students.

Credentials/Documentation:

Proof of U.S. citizenship and at least a bachelor's degree by the time participation in the NFLP begins.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible, U.S. citizens may apply for fellowships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in annual application, usually in January.

Range of Approval/Disapproval Time:

Between 70 and 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Eligible students may receive a fellowship from NSEP to study for no less than one academic year, and no more than two (2) years in a combination of domestic

and overseas study. The duration of study is dependent upon proficiency in the target language upon entering the NFLP and the progress made to reach ILR level 3. Typical duration is either one or two academic years.

Reports:

Semi-annual progress reports are required on initiatives to fulfill service obligations incurred.

Audits:

None.

Records:

Not applicable.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 \$12,000,000; FY 08 est \$12,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Awards cover all program costs for one or two academic years. Average awards are approximately \$38,000 per fellow, and range from approximately \$30,000 to \$60,000.

PROGRAM ACCOMPLISHMENTS:

Since 2003, NSEP has awarded over 100 Flagships Fellowships to U.S. citizens. This unprecedented program is achieving consistent proficiency results among its graduates of ILR level 3 in Arabic, Mandarin, Korean, and Russian. New programs are underway in Persian, Hindi, and Urdu. Graduates of these programs are proceeding into national security positions throughout the federal government.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996.

Regional or Local Office:

Not applicable.

Headquarters Office:

Ms. Stacia Falat, Department of Defense, National Security Education Program, Rosslyn P.O. Box 20010, 1101 Wilson Blvd, Suite 1210, Arlington, VA 22209-2248. Telephone: (703) 696-1991, E-Mail Address: nsepo@ndu.edu or Ms. Sharon Nishizaki, Institute for International Education, National Flagship Language Program, 1400 K Street, NW, Washington, DC 20005-2403. Telephone: (800)618-6737, E-mail Address: flagship@iie.org.

Web Site Address:

<http://www.iie.org/programs/nsep/flagship/>.

RELATED PROGRAMS:

12.550, National Flagship Language Program Grants To U.S. Institutions Of Higher Education.

EXAMPLES OF FUNDED PROJECTS:

A Flagship Fellow attended the NFLP Russian Language Program at St. Petersburg State University (SPSU) in St. Petersburg, Russia, and developed superior-level Russian language and culture skills. During his studies, he presented a formal academic paper in Russian to the faculty at SPSU, and interned as a consultant on international project development. Programs for Flagship Fellows are highly individualized and are typically two consecutive academic years in duration: first year in language and culture study domestically at a NFLP university program institution, second year overseas in a combination of university study and professional internships. Those with very high advanced-level proficiency upon entering a program may be awarded to study one academic year of overseas study only.

CRITERIA FOR SELECTING PROPOSALS:

Flagship Fellowship recipients are selected based on merit including (1) academic record and potential to succeed in the proposed study, (2) commitment to federal service, (3) language interests and aptitude, and (4) quality and appropriateness of the proposed program and its relevance to the National Security Education Program.

12.554 ENGLISH FOR HERITAGE LANGUAGE SPEAKERS GRANTS TO U.S. INSTITUTIONS OF HIGHER EDUCATION

National Security Education Program (NSEP)

FEDERAL AGENCY:

OFFICE OF THE UNDER SECRETARY OF DEFENSE FOR PERSONNEL AND READINESS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.

OBJECTIVES:

The English for Heritage Language Speakers (EHLS) program is designed to provide intensive English language instruction for U. S. citizens who are native speakers of critical languages. The goal of the program is to enable participants to reach professional working proficiency in English, as measured by the federal Interagency Language Roundtable (ILR) level 3 and/or the American Council on

the Teaching of Foreign Languages (ACTFL) superior level. The program equips participants with the ability to read, write, speak, and listen at a superior level in an effort to build a critical base of future leaders to serve in the federal government service and the broader professional community.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant awards are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity and provide English-as-a-second-language instruction to native speakers of critical languages who are U.S. citizens. The National Security Education Act places no special or unique requirements on grantee institutions. Only requirements that govern all Federal grants will apply to NSEP grants. No International exchange programs may be funded by NSEP.

Applicant Eligibility:

Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a)) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education, their students, and U.S. citizens with superior-level proficiency in their native language.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in requests for applications released on behalf of NSEP by the Center for Applied Linguistic (CAL).

Range of Approval/Disapproval Time:

From 45 to 60 days at each stage of a 2 stage process.

Appeals:

None.

Renewals:

Renewals are negotiated through CAL, and are conducted annually based on performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are multi-year renewed each year based on performance.

Reports:

Monthly progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 \$2,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grants ranges from \$250,000 to \$400,000 annually for multi-year projects. Average award is approximately \$300,000.

PROGRAM ACCOMPLISHMENTS:

The first cohort of participants completed the programs at the University of Washington, Seattle and Georgetown University in August 2006. Results will be available later in the year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for Applications are released by CAL at <http://www.cal.org/ehls>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Kevin Gormley, Department of Defense, National Defense University,

National Security Education Program, 1101 Wilson Boulevard, Suite 1210, Arlington, VA 22209-2248. Telephone: (703) 696-1991, E-Mail Address: nsepo@ndu.edu, or Ms. Deborah Kennedy, Center for Applied Linguistics, 4646 40th Street NW, Washington, DC 20016. Telephone: (202)355-1572, E-mail Address: ehls@cal.org.

Web Site Address:

<http://www.cal.org/ehls>.

RELATED PROGRAMS:

12.555, English for Heritage Language Speakers Scholarships.

EXAMPLES OF FUNDED PROJECTS:

The University of Washington, Seattle and Georgetown University offer professional-level English instruction to native speakers of Arabic, Chinese (Mandarin and Cantonese), and Russian. Participants have additional critical language proficiencies in Korean, Vietnamese, and a variety of regional dialects.

CRITERIA FOR SELECTING PROPOSALS:

None.

12.555 ENGLISH FOR HERITAGE LANGUAGE SPEAKERS SCHOLARSHIPS

National Security Education Program (NSEP)

FEDERAL AGENCY:

OFFICE OF THE UNDER SECRETARY OF DEFENSE FOR PERSONEL AND READINESS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.

OBJECTIVES:

The English for Heritage Language Speakers (EHLS) program is designed to provide intensive English language instruction for U. S. citizens who are native speakers of critical languages. The goal of the program is to enable participants to reach professional working proficiency in English, as measured by the federal Interagency Language Roundtable (ILR) level 3 and/or the American Council on the Teaching of Foreign Languages (ACTFL) superior level. The program equips participants with the ability to read, write, speak, and listen at a superior level in an effort to build a critical base of future leaders to serve in the federal government service and the broader professional community.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Scholarship awards are made to U.S. citizens with at least a bachelor's degree participating in one of the EHLS program sites. Scholarship recipients agree to seek employment with the U.S. federal government, and serve in a position for no less than one year.

Applicant Eligibility:

(1) Must be a U.S. citizen at the time of application; (2) Must have a minimum proficiency in English of advanced low (as defined by ACTFL) or level 2 (as defined by ILR); (3) must also possess excellent skills in your heritage/native language (ILR level 3/ACTFL superior language proficiency or higher in all modalities of English; (4) Must have received at least an undergraduate degree by the time of application; and (5) may not be a current U.S. government employee. Eligible native languages of interest are updated annually, a list of which is available on the program web site.

Beneficiary Eligibility:

U.S. citizens with superior-level proficiency in their native language, and partner institutions of higher education.

Credentials/Documentation:

Proof of U.S. citizenship and Bachelor's degree or higher.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible U.S. citizens may apply for scholarships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Specified in annual application, usually in October.

Range of Approval/Disapproval Time:

Between 70 and 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Eligible students may receive EHLS scholarships for the duration of one program term (approximately six months).

Reports:

Annual progress reports required on initiatives to fulfill service obligations incurred.

Audits:

None.

Records:

Not applicable.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 \$2,000,000; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Scholarships include all tuition and fees and a small monthly stipend for the duration of the program approximately six months. The average scholarship is approximately \$24,000.

PROGRAM ACCOMPLISHMENTS:

The first cohort of participants completed the programs at the University of Washington, Seattle and Georgetown University in August 2006. Results will be available later in the year.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Kevin Gormley, Department of Defense, National Security Education Program, Rosslyn P.O. Box 20010, Arlington, VA 22209-2248. Telephone: (703)696-1991, E-Mail Address: nsepo@ndu.edu or Mr. Christopher Powers, Institute for International Education, English for Heritage Language Program, 1400 K Street, NW, Washington, DC 20005-2403. Telephone: (800)618-6737, E-mail Address: nsep@iie.org.

Web Site Address:

<http://www.cal.org/ehls>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A native Arabic speaker with a Masters degree in agriculture wishes to work in horticultural sciences and issues related to food security. A native Mandarin Chinese speaker with expertise in accounting is currently looking for positions in federal financial management. A native Russian speaker with a Ph.D. in nuclear physics hopes to secure a position with the Nuclear Regulatory Commission.

CRITERIA FOR SELECTING PROPOSALS:

None.

12.607 COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Military Base Reuse Studies and Planning Assistance, 10 U.S.C Section 2391; Defense Base Clouser and Realignment Act of 1990, Section 2905 of Public Law 101-510, as amended.

OBJECTIVES:

Assistance for States and communities to: plan and carry out adjustment strategies; engage the private sector in order to plan and undertake community economic development and base redevelopment; and, partner with the Military Departments in response to the proposed or actual expansion, establishment, realignment or closure of a military installation by the Department of Defense (DoD).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with an affected State and/or local government, and may be used to plan and carry out local economic adjustment programs, including, but not limited to: base redevelopment and business/financial plans; infrastructure assessments and feasibility studies; organizational staffing, operating, and administrative expenses; redevelopment and economic development capacity-building; architecture and engineering activities; land use plans; specialized environmental and legal services; public outreach; and, other activities necessary for a community to capably respond to Defense actions. Assistance may not be used to duplicate or supplant DoD activities in carrying out an expansion, establishment, realignment, or closure of a military installation.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment

to have a Project Manager assigned who, in turn, will work with the applicant to determine eligibility for assistance under this program. States, cities, counties, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is a proposed or actual establishment, realignment, or closure of a military installation. In the case of the establishment or expansion of a military installation, assistance may be made only if community impact assistance is not otherwise available.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests that may be affected by Department of Defense activity.

Credentials/Documentation:

Applicants must document: (1) an establishment, expansion, realignment, or closure of a military installation has occurred or will occur; and (2) any known or anticipated local impacts from the action on the beneficiary. The assigned OEA Project Manager will work with the Applicant to identify other necessary information.

Preapplication Coordination:

Parties interested in obtaining assistance under this program should contact OEA at: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704, (703)604-6020, or by E-mail at oeafeedback@wso.whs.mil. OEA, in turn, will assign a Project Manager to work closely with the affected State or community to craft a responsive program of technical and, where eligible, project grants. The Project Manager will provide necessary information to prepare and submit an application for financial assistance under this program. Additionally, E.O. 12372, "Intergovernmental Review of Federal Programs," applies to this program. An applicant should consult their respective office or official designated as the single point of contact for their State for more information on the process that the State may require to be followed in applying for assistance.

Application Procedure:

Applications are necessary for the project grants available under this program and must be invited by the Office of Economic Adjustment through an assigned Project Manager. The standard application forms required by 32 CFR Part 33, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments will comprise an application for assistance once they are invited. Applications and supporting documentation should be submitted to the Director of the Office of Economic Adjustment, Department of Defense. Applicants will generally be invited to submit their requests for assistance electronically. In instances where, for whatever reason, an electronic application cannot be submitted, provisions will be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, OEA will inform an Applicant of approval within seven business days of the receipt of a final, completed application. OEA will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Generally, not less than 10 percent of the project's total proposed funding is to be comprised of non-federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed on a quarterly basis, or as required.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations".

Records:

None.

Account Identification:

97-0100-0-7-051.

Obligations:

FY 07 \$60,000,000; FY 08 est \$45,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 - \$2,000,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Through the previous four rounds of base closures and realignments, more than \$280 million has been provided to over 70 local adjustment efforts that, in turn, have converted former defense facilities to productive mixed civilian use, including residential, commercial, office, retail, and manufacturing. These

efforts, beyond expanding the local tax base, have created over 135,000 jobs to more than offset the 130,000 jobs lost as a result of the Defense activity. Additionally, important public uses on over 48,000 acres have been facilitated, including public airports, educational facilities, parks and recreational facilities, public health and safety centers, ports, and correctional facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 Audits of States, Local Governments, and Nonprofit Organizations and A-87 Cost Principles for State, Local, and Indian Tribal Governments. 32 CFR Part 25 - Government-wide Debarment and Suspension (Non-procurement); 32 CFR Part 26 - Drug-Free Workplace; 32 CFR Part 28 - New Restrictions on Lobbying; 32 CFR Part 33 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. These and related publications are available on the OEA Website at <http://www.oea.gov>, under eGrants, Other Federal Grant Links.

Regional or Local Office:

Western Region Director, Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020. E-mail: oeafeedback@wso.whs.mil.

Web Site Address:

<http://www.oea.gov>.

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.610, Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614, Community Economic Adjustment Diversification Planning; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.005, Internet-Based Technical Assistance; 59.012, 7(a) Loan Guarantees.

EXAMPLES OF FUNDED PROJECTS:

Assistance was provided to support the actions of a Local Redevelopment Authority to organize itself to speak with one voice on behalf of an impacted area; conduct outreach to identify local homeless and community economic development needs and to balance them in the form of an economically- and environmentally-sustainable local redevelopment plan; and undertake business planning analyses and engage in other economic development activity to redevelop former military installations. Communities anticipating mission growth were provided assistance to work across local political jurisdictions to gauge likely demands/need for hard (housing, roads, schools, water and sewer) and soft (health, child care, public safety) infrastructure, including business plans to identify sustainable public financing and opportunities to leverage private and third party investment. States have received assistance to coordinate various State programs of assistance to assist local adjustment efforts, including staff assistance and regional capacity-building.

CRITERIA FOR SELECTING PROPOSALS:

Magnitude of impact, statutory compliance, immediacy of need, reasonableness of proposed work program and the proposed level of funding.

12.610 COMMUNITY ECONOMIC ADJUSTMENT PLANNING ASSISTANCE FOR JOINT LAND USE STUDIES

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Defense Authorization Act, 10 U.S.C. 2391.

OBJECTIVES:

To assist local and State governments in preparing and adopting a planning study to mitigate or prevent incompatible community development that is likely to impair the continued operational utility of a Department of Defense (DoD) military installation. Grantees and participating governments are expected to adopt and implement the study recommendations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

DoD funding may be provided to develop and recommend civilian measures that need to be taken to prevent public or private development that either presently or in the future will likely impair the operations of a military installation. Funding may also be made available to carry out the recommendations of a study.

Applicant Eligibility:

Local governments, States on behalf of local governments, or regional organizations composed of State or local governments may be eligible if the criteria below are met and the installation they are responding to is located within any of the several States, the District of Columbia, the Commonwealth of Puerto Rico, or Guam. An applicant may be eligible for DoD funding if: (a) the Secretary of Defense determines that encroachment of the civilian community on a military installation is likely to impair the continued operational utility of the

military installation; (b) the installation has been nominated for a study by the appropriate Military Department; and (c) the affected jurisdictions desire to work with the Military Department to address civilian encroachment through local land use planning.

Beneficiary Eligibility:

Local governments, States on behalf of local governments, and regional organizations composed of State and local governments that represent the affected area.

Credentials/Documentation:

Documentation in the form of locally-adopted resolutions or similar actions that indicate the affected government(s) will cooperate and participate in the development and implementation of the Joint Land Use Study. Also required is evidence that the proposed planning process and implementation of study recommendations will prevent further encroachment on the military installation.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact for the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Communities interested in obtaining DoD funding for community economic adjustment planning activities should contact DoD's Office of Economic Adjustment for pre-application assistance.

Application Procedure:

The standard application forms required by 32 CFR Part 33 must be used for this program. Applications and supporting documentation should be submitted to the Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Applicants are encouraged to use the web-based eGrants application option available on the OEA homepage at <http://www.oea.gov>.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, complete applications for planning assistance are responded to within seven business days from receipt.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. A minimum of 25 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Quarterly financial and progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-0-7-051.

Obligations:

FY 07 est \$1,200,000; and FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$45,000 - \$90,000; \$70,000.

PROGRAM ACCOMPLISHMENTS:

Communities developed joint land use studies and implemented recommendations that helped limit encroachment and avoid inappropriate development near military bases.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 and A-87, and 32 CFR Parts 25, 28 and 33. Related publications are available on the OEA website at no charge.

Regional or Local Office:

Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020.

Web Site Address:

E-mail address: oeafeedback@osd.mil Website: <http://www.oea.gov>.

RELATED PROGRAMS:

12.600, Community Economic Adjustment.

EXAMPLES OF FUNDED PROJECTS:

Funds were provided to develop Joint Land Use Studies that helped communities plan for compatible use near military bases.

CRITERIA FOR SELECTING PROPOSALS:

Seriousness of the problem, immediacy of need, benefits to DoD and the participating government(s), and reasonableness of proposed work program and level of funding, and likelihood that the recommendations will be implemented.

12.611 COMMUNITY ECONOMIC ADJUSTMENT PLANNING ASSISTANCE FOR REDUCTIONS IN DEFENSE INDUSTRY EMPLOYMENT

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assistance for States and communities to prepare and carry out community adjustment and economic diversification activities in response to reductions in defense industry employment resulting from: a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with an affected State and/or local government, and may be used to plan and carry out local economic adjustment programs, including, but not limited to; assessment of local economic strengths, weaknesses, opportunities and threats; staffing, operating and administrative costs for an organization to represent the affected community; review of local Defense prime and sub contracting activity; outreach to local small businesses, workers, and other community interests; and, facility evaluations. Funds provided under this program may not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment to have a Project Manager assigned who, in turn, will work with the applicant to determine eligibility for assistance under this program. States, cities, counties, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is; a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests, that may be affected by Defense actions.

Credentials/Documentation:

Applicants must document; 1) the publicly announced Defense action that has occurred or will occur; and 2) the known or anticipated impacts on the applicant due to the closure, reduction, cancellation, termination, or failure to proceed.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact for the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Communities interested in obtaining DoD funding for community economic adjustment planning activities should contact DoD's Office of Economic Adjustment for pre-application guidance.

Application Procedure:

The standard application forms required by 32 CFR Part 33 must be used for this program. Applications and supporting documentation should be submitted to the Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Applicants are encouraged to use the web-based eGrants application option available on the OEA homepage at <http://www.oea.gov>.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, complete applications for planning assistance are responded to within 7 business days from receipt. Complete applications for assistance to carry out community adjustment programs are responded to within 30 business days from receipt, to the extent practicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. A minimum of 25 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Quarterly financial and progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-0-7-051.

Obligations:

(Grants) FY 07 \$2,000,000; FY 08 est \$2,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$75,000 - \$250,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

In past years communities received economic adjustment planning grant assistance for defense industry impacts that resulted in a variety of diversification and job creation initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133, and A-87, and 32 CFR Parts 25, 28 and 33. Related publications are available on the OEA website at no charge.

Regional or Local Office:

Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020.

Web Site Address:

E-mail address: oeafeedback@wso.whs.mil Website: <http://www.oea.gov>.

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.611, Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614, Community Economic Adjustment Diversification Planning; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.012, 7(a) Loan Guarantees.

EXAMPLES OF FUNDED PROJECTS:

Funds were awarded to develop and implement community economic adjustment strategies to create jobs and businesses to replace those lost due to defense industry cutbacks.

CRITERIA FOR SELECTING PROPOSALS:

Magnitude of impact, statutory compliance, immediacy of need, reasonableness of proposed work program and the proposed level of funding.

12.614 COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING

(COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ADVANCE PLANNING)

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assistance for States and communities to plan community adjustment and economic diversification activity to lessen an area's dependence on Defense expenditures.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with a Defense-dependent State and/or local government, and may be used to plan and carry out local economic adjustment programs, including, but not limited to: development of diversification studies; assessment of local economic strengths, weaknesses, opportunities and threats; analyses of local economic Standard Industry Code clusters; enhancement of local economic development capacity to diversify local Defense dependencies; review of local Defense prime and sub contracting activity; and, outreach to local small businesses, workers, and other community interests. Funds provided under this program may not be used to

directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment to have a Project Manager assigned who, in turn, will work with the Applicant to determine eligibility for assistance under this program. Stated, cities, counties, political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if a substantial portion of the economic activity or population of the applicant's geographic area is dependent on defense expenditures.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests that may be dependent upon Defense expenditures.

Credentials/Documentation:

Applicants must document that a substantial portion of the economic activity of a local area is dependent on Defense expenditures, including a statement explaining the direct Defense wage and salary employment as a share of regional total employment and as a share of recent annual changes in regional total employment.

Preapplication Coordination:

Parties interested in obtaining assistance under this program should contact OEA at: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704, (703)604-6020, or by e-mail at oeafeedback@wso.whs.mil. OEA, in turn, will assign a Project Manager to work closely with the affected State or community to craft a responsive program of technical and, where eligible, project grants. The Project Manager will provide necessary information to prepare and submit an application for financial assistance under this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are necessary for the project grants available under this program and must be invited by the Office of Economic Adjustment through an assigned Project Manager. The standard application forms required by 32 CFR Part 33, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local Governments will comprise an application for assistance once they are invited. Applications and supporting documentation should be submitted to the Director of the Office of Economic Adjustment, Department of Defense. Applicants will generally be invited to submit their requests for assistance electronically. In instances where, for whatever reason, an electronic application cannot be submitted, provisions will be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, OEA will inform an Applicant of approval within seven (7) business days of the receipt of a final, completed application. OEA will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Generally, not less than 10 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Interim and final progress and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-1-7-051.

Obligations:

(Grants) FY 07 \$1,500,000; FY 08 est \$1,500,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$175,000 - \$500,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Communities have developed strategies to diversify regional economies to reduce Defense dependency.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 Audits of States, Local Governments, and Nonprofit Organizations and A-87 Cost Principles for State, Local, and Indian Tribal Governments. 32 CFR Part 25 - Government-wide Debarment and Suspension (Non-procurement); 32 CFR Part 26 - Drug-Free Workplace; 32 CFR Part 28 - New Restrictions on Lobbying; 32 CFR Part 33 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Related publications are available on the OEA Website.

Regional or Local Office:

Western Region Director, Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020. E-mail: oeafeedback@wso.whs.mil.

Web Site Address:

<http://www.oea.gov>

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610, Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.012, 7(a) Loan Guarantees.

EXAMPLES OF FUNDED PROJECTS:

Funds were provided to plan and carry out community adjustment and economic diversification activities.

CRITERIA FOR SELECTING PROPOSALS:

The level of economic dependence on military, DoD civilian and Defense industry employment, an appropriate and clear project design to address the need identified, the innovative quality of the proposed approach to stimulating economic adjustment and economic diversification, reasonableness of the proposed work program and the proposed level of funding.

12.615 RESEARCH AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391(c).

OBJECTIVES:

The Office of Economic Adjustment (OEA), a field activity under the Department of Defense (DoD), is authorized to make awards to, or conclude cooperative agreements with State or local governments or any nongovernmental or other private entity, to conduct research and provide technical assistance related to community economic adjustment needs and assistance. Awards provided under this notice support the Office of Economic Adjustment as well as the Defense Economic Adjustment Program (DEAP) by: (1) developing and analyzing information for program and policy considerations; and (2) undertaking special research.

TYPES OF ASSISTANCE:

Subject to need and availability of funds, this program provides financial assistance for approved research and technical assistance projects in the form of grants or cooperative agreements.

USES AND USE RESTRICTIONS:

Topics and areas of specific interest to OEA may be identified in a Federal Register Notice of Funding Availability (the Notice) issued under this program. Proposals outside of a Notice, or beyond the identified topics and areas of interest in a Notice, will also be considered. Activities under this program must relate to assisting State and local governments to plan and/or carry out community adjustments and economic diversification required by: the proposed or actual establishment, realignment, or closure of a military installation; the cancellation or termination of a Department of Defense contract or the failure to proceed with an approved major weapon system program; a publicly announced planned major reduction in Department of Defense spending that would adversely affect a community; the encroachment on a military installation; or, the closure or the significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the facility. Funding may be used only for non-construction activities.

Applicant Eligibility:

Eligible applicants include a State or local government, or any private entity. A "private entity" is defined for purposes of this listing as any entity that is non-governmental.

Beneficiary Eligibility:

Eligible applicants include a State or local government, or any private entity. A "private entity" is defined for purposes of this listing as any entity that is non-governmental.

Credentials/Documentation:

None.

Preapplication Coordination:

A Federal Register Notice of Funding Availability (the Notice) may be used to announce the availability of funds for specific research and technical assistance needs identified by OEA or proposed by the respondent. Proposals will be accepted for a period of sixty (60) days after the publication date of the Notice.

Application Procedure:

The application process is two-part. The first part requires all interested respondents to the Notice to submit a proposal within the advertised solicitation period (sixty (60) days). Each proposal submitted must include a cover or transmittal letter and accompanying text that shall consist of no more than 10 pages (single-sided), consisting of: An abstract of the proposed research or technical assistance; A description of the scope of work to be undertaken and how it responds to OEA and the Defense Economic Adjustment Program; A proposed budget and budget justification; Detailed description of the project team and their relevant experience; A project schedule for completion of the work; A point of contact. (In the case of a proposal on an unsolicited topic) A summary of the problem or issue the project will address. Proposals should be submitted by letter addressed to the Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4707, or may be submitted via the e-mail address (oeafeedback@osd.mil - reference RTA Proposal - in the subject).

Award Procedure:

OEA will notify respondents within ninety (90) days of the date of publication of the Notice in the Federal Register whether their proposal will be invited for a formal application. At this point, OEA will assign a Project Manager to advise and assist with the preparation of the application. Applications will be reviewed for their completeness and accuracy, and, to the extent possible, an award notification will be issued within 30 days of the receipt of a complete application.

Deadlines:

After the Notice is posted in the Federal Register, respondents will have sixty (60) days to submit a proposal.

Range of Approval/Disapproval Time:

OEA will notify respondents within ninety (90) days of the Notice being published in the Federal Register whether their proposal was successful and invite an application. To the extent possible, an award notification will be issued within 30 days of the receipt of a complete application.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

OEA may fund up to 100 percent of the proposed budget depending on the nature of the project.

Length and Time Phasing of Assistance:

Project duration will vary according to the nature of the proposal, but is generally 12 to 18 months.

Reports:

OEA requires interim performance reports and one final performance report for each award. The performance reports will contain information on the following: A comparison of actual accomplishments to the objectives established for the reporting period; Reasons for slippage if established objectives were not met; Additional pertinent information when appropriate; A comparison of actual and projected expenditures for the period; The amount of Federal cash on hand at the beginning and end of the reporting period. The final performance report must contain a summary of activities for the entire award period. All required deliverables should be submitted with the final performance report. The final SF 269A, "Financial Status Report," must be submitted to OEA within ninety (90) days after the end date of the award. Any funds actually advanced and not needed for award purposes shall be returned immediately to OEA. OEA will provide a schedule for reporting periods and report due dates in the Award Agreement.

Audits:

Standard Federal Audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

FY 07 \$643,287; FY 08 \$1,092,332; and FY 09 \$1,735,619.

Range and Average of Financial Assistance:

OEA expects proposals in the range of \$100,000 to \$500,000, supported accordingly by a clear project scope of work, budget justification, and project timeline.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee and any consultant/contractor operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws applicable to its activities including the following: 32 CFR Part 33, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"; OMB Circulars A-87, "Cost Principles for State and Local Governments" and the revised A-133, "Audits of States, Local Governments and Non-Profit Organizations"; 32 CFR Part 25, "Government-wide Debarment and Suspension (Non-procurement)"; 32 CFR Part 26, "Drug-free Workplace"; 32 CFR Part 32, Uniform Administrative Requirements for Grants and Agreements to with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations; 32 CFR Part 34, Administrative Requirements for Grants and Agreements with For-Profit Organizations; OMB Circular A-21 Cost Principles for Educational Institutions; OMB Circular A-122, Cost Principles for Non-Profit Organizations; and 32 CFR Part 28, "New Restrictions on Lobbying (Grants)."

Regional or Local Office:
None.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020. E-mail: oeafeedback@osd.mil.

Web Site Address:

Website: <http://www.oea.gov>.

RELATED PROGRAMS:

12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610, Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611, Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614, Community Economic Adjustment Diversification Planning.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

OEA considers each of the following equally-balanced factors as a basis for inviting formal award applications: Overall conformance with proposal requirements; Overall responsiveness of the proposal to support the Office of Economic Adjustment and Defense Economic Adjustment Program; Overall expertise, experience, qualifications and ability of investigators; Overall reasonableness of budgeted expenditures.

12.630 BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING

FEDERAL AGENCY:

OFFICE OF THE SECRETARY OF DEFENSE, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358, as amended; 10 U.S.C. 2323; 10 U.S.C. 2391 et seq.

OBJECTIVES:

To: (1) Discover new knowledge and gain better understanding in mathematical, physical, engineering, environmental, and life sciences, and other fields with good, long-term potential for contributing to technology for Department of Defense missions; (2) facilitate transition of research results to practical application for defense needs; (3) improve linkages between defense research and the civilian technology and industrial bases, to promote commercial application of the results of defense research and commercial availability of technology for defense needs; (4) foster education of future scientists and engineers in disciplines critical to defense; and (5) strengthen the infrastructure for research and related science and engineering education in those disciplines.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program announcements (Broad Agency Announcements, or BAAs) and award documents issued by and codified regulations applicable to awards. These are submitted at the time of proposal or prior to award, as specified by executive agents.

Applicant Eligibility:

As stated in individual BAAs (generally, competitions are open to private and public educational institutions that carry out science and engineering research and/or related science and engineering education on a nonprofit basis). Some awards are made to other public or private organizations. Awards are not made to individuals.

Beneficiary Eligibility:

Beneficiaries may include individual graduate and undergraduate students (e.g., recipients of fellowships or research traineeships) in science and engineering disciplines important to defense, as well as institutions described under "Applicant Eligibility."

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications and representations that are required by Federal statutes, executive orders.

Preapplication Coordination:

This program is excluded from coverage under E. O. 12372.

Application Procedure:

Institutions eligible to compete may submit proposals in response to Broad Agency Announcements (BAAs) that executive agents publish in Federal Business Opportunities (www.fedbizopps.gov) and circulate broadly to eligible proposers' sponsored programs offices. Each BAA tells potential proposers what activities will be supported, in which science and engineering areas they will be supported, and how to apply.

Award Procedure:

Award decisions are based on a competitive selection of proposals, using a technical merit review. Evaluation criteria are specified in the relevant Broad Agency Announcement. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research-related activities (e.g., science or engineering education or research infrastructure-building activities) described therein.

Deadlines:

Deadlines for submitting proposals are specified in the individual relevant Broad Agency Announcements.

Range of Approval/Disapproval Time:

Times vary, but award decisions are typically made within 90 to 120 days of receipt of the proposal.

Appeals:

Appeal procedures are specified by the executive agents.

Renewals:

Application for a competitive new award is permitted.

Formula and Matching Requirements:

There are no statutory formulas. Cost-sharing or matching, if required, would be specified in the individual Broad Agency Announcement.

Length and Time Phasing of Assistance:

Funding reflects period of performance and may be awarded incrementally.

Reports:

Technical and financial reporting requirements are in accordance with terms and conditions of award documents and with Department of Defense implementation of applicable OMB Circular Nos. A-110 and A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping requirements are in accordance with Department of Defense implementation of 12.300; the air force office of Scientific Research (listing 12.800).

Account Identification:

97-0400-0-1-051.

Obligations:

(Cooperative Agreements) FY 07 est \$215,000,000; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$1,000 to \$3,000,000. Average: \$120,000.

PROGRAM ACCOMPLISHMENTS:

Numerous research accomplishments and research training for more than 1,000 graduate students in science and engineering areas with a high potential for long-term benefit to defense needs (areas such as electronics and electro-optics; materials science; fluid dynamics; biotechnology; manufacturing-related research; mathematics and computer sciences; and environmental science).

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcements (Broad Agency Announcements) issued by executive agents.

Regional or Local Office:

Not applicable.

Headquarters Office:

For information about open competitions or future opportunities, prospective proposers should contact the agents that execute the program. The executive agents are the Army Research Office (see information contacts in listing 12.431 in this Catalog); the Office of Naval Research (listing 12.300); the Air Force Office of Scientific Research (listing 12.800); and the Advanced Research Projects Agency (listing 12.910).

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.300, Basic and Applied Scientific Research; 12.431, Basic Scientific Research; 12.800, Air Force Defense Research Sciences Program; 12.910, Research and Technology Development.

EXAMPLES OF FUNDED PROJECTS:

1. University research grants in areas such as: Materials degradation and fatigue; hypervelocity flow; organic superconductors; high-frequency microelectronics;

biodegradation of military toxic wastes; blue-green optoelectronic emitters; robust geometric calculations; 3-D visualizations and design of large, manufactured objects; 2. augmentation grants, in conjunction with DoD contracts or grants for research performance, to provide additional research assistantships and increase the number of graduate students receiving research training through defense research; and 3. instrumentation grants, to allow the purchase of more costly items of research equipment (\$50,000 and up) that can usually be funded within single-investigator research awards.

CRITERIA FOR SELECTING PROPOSALS:

Generally, the principal evaluation and selection criteria are the technical merits of the proposed research (or research-related activity) and its potential relationship in the long term to missions of the Department of Defense. Other selection criteria vary with the nature of the specific research or research-related activity. Typical examples include: Qualifications of the principal investigator and other key personnel to perform the proposed activity; adequacy of current or planned facilities and equipment to do so; and realism and reasonableness of proposed costs.

12.700 DONATIONS/LOANS OF OBSOLETE DOD PROPERTY

FEDERAL AGENCY:

SECRETARIES OF MILITARY DEPARTMENTS, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2572; 10 U.S.C. 7308; 10 U.S.C. 7545.

OBJECTIVES:

To donate or lend obsolete combat material to veterans' organizations, soldiers' monument associations, State museums, incorporated museums, and incorporated municipalities.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

A donation or loan of books, manuscripts, works of art, drawings, plans, models, and other specified items to be used only for historical, ceremonial or display purposes. Determination of the item and quantity to be provided will be made by the individual Secretaries of the Military Departments. Each donee must certify that the property requested is for the donee's use and, when no longer required by the donee, disposition instruction will be requested from the original donating activity. If the item requires some demilitarization, pursuant to the Defense Demilitarization Manual (DoD 4160.21-M-1), these costs will be borne by the donee. Donees must not, without written consent of the Department of Navy, use vessels for purposes other than as stated in the application, or destroy, transfer or otherwise dispose of a vessel. Cost of packing and handling incident to donations will be paid by the donee before release of the property. Instructions regarding these actions are contained in the defense Utilization and Disposal Manual (DOD 4160.21-M), Chapter X, Paragraphs F and G, and Attachments 20 through 28.

Applicant Eligibility:

Veterans' organizations recognized by the Office of the Deputy Under Secretary of Defense (LIMDM); museums operated and maintained for education purposes only and which are tax-exempt; and incorporated municipalities. The Department of the Navy donates or loans additional types of condemned or obsolete material and obsolete, condemned or captured vessels to States, Territories, Commonwealths, or possessions of the United States, political subdivisions or municipal incorporations thereof, the District of Columbia, the Canal Zone, libraries, historical societies, educational institutions whose graduates or students fought in World War I or World War II, recognized veterans organizations and to nonprofit organizations operated and maintained for educational purposes only.

Beneficiary Eligibility:

Veterans' organizations recognized by the Office of the Deputy Under Secretary of Defense (LIMDM); museums operated and maintained for education purposes only and are tax-exempt; and incorporated municipalities.

Credentials/Documentation:

None.

Preapplication Coordination:

Veterans' organizations must obtain written approval for a donation or loan from Headquarters of their association and must submit a copy of the approval with their application. When material of possible historical interest is being donated, prior approval will be obtained from the appropriate military curator. With regard to vessels, the applicant must locate a vessel in a donable status before submitting an application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application for donations or loans will be made to the Secretary of the Military Department which has control of the desired items in accordance with prescribed procedures. Donation of material under 10 U.S.C. 7308 and 10 U.S.C. 7545 requires referral to Congress.

Award Procedure:

None.

Deadlines:

Requests must be received before items are reported for sale or other scheduled disposal action.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Annual report of the location and condition of loaned or donated property.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

Not applicable.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Note: No current information provided by Agency.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Defense Utilization and Disposal Manual (DOD 4160.21-M, Chapter X, paragraphs F and G and Attachments 20 through 28).

Regional or Local Office:

Nearest military installation for general information on the subject. Requests which cannot be handled at the local level should be referred to the appropriate departmental headquarters.

Headquarters Office:

Appropriate Military Department, Pentagon, Washington, DC 20301.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

39.003, Donation of Federal Surplus Personal Property; 39.007, Sale of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

12.800 AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF THE AIR FORCE, HQ AIR FORCE MATERIAL COMMAND, AIR FORCE OFFICE OF SCIENTIFIC RESEARCH, ARMSTRONG LABORATORY, PHILLIPS LABORATORY, ROME LABORATORY, WRIGHT LABORATORY

AUTHORIZATION:

Public Law 100-370, Section 1(g)(3), 1023 Stat. 846, 10 U.S.C. 2368(a); Public Law 100-456, Division A, Title II, Section 204; 84 Stat. 908, 10 U.S.C. 2358(b)(1), (c)(1); 95 Stat. 1404, 1405, 10 U.S.C. 2361.

OBJECTIVES:

To maintain technological superiority in the scientific areas relevant to Air Force needs; to prevent technological surprise to our nation and create it for our adversaries; to maintain a strong research infrastructure composed of Air Force laboratories, industry, and universities; and to complement the national research effort.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds must be expended in furtherance of the research identified in the proposal, in accordance with the cost principles as established in OMB Circular Nos. A-21 and A-122.

Applicant Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Research proposals shall be prepared in accordance with local instructions. See Federal Business Opportunities (www.fedbizopps.gov).

Award Procedure:

Awards decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review.

Deadlines:

Proposals may be submitted in accordance with requirements of the Broad Agency Announcement (BAA), Program Research and Development Announcement (PRDA), or other solicitation notice in the Commerce Business Daily (CBD).

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

Not applicable.

Renewals:

Re-application with new proposal is permitted.

Formula and Matching Requirements:

Statutory formulas or matching requirements apply in some cases.

Length and Time Phasing of Assistance:

Normally from 12 to 36 months.

Reports:

Technical progress reports, reports of expenditures, and invention reports must be submitted annually (for those projects which exceed 18 months) and after completion of the performance period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain accounting records which will permit determination that the funds were used for the award purposes. Financial records must be maintained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

57-3600-0-1-051.

Obligations:

FY 07 \$275,000,000; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$50,000 to \$5,000,000. Average: \$500,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DODGARS), February 8, 1994, and local publications/procedures.

Regional or Local Office:

Air Force Office of Scientific Research, 110 Duncan Avenue, Suite B115, Bolling AFB, Washington DC 20332-4990; Armstrong Laboratory, 8005 Ninth Street, Brooks AFB, TX 78235-5353; Phillips Laboratory, 3651 Lowry Avenue SE, Kirtland AFB, NM 87117-5777. Telephone: (505) 846-4979; Rome Laboratory, 26 Electronics Pkwy, Griffiss AFB, NY 13441-4514. Telephone: (315) 330-7746; Wright Laboratory, 2530 C Street, Bldg. 7, Wright-Patterson AFB, OH 45433-7607. Telephone: (513) 255-4813.

Headquarters Office:

HQ Air Force Materiel Command/PKT, 4375 Childlaw Road, Suite 6, Wright-Patterson AFB, OH 45433-5006. Telephone: (513) 257-8934. FTS is not available.

Web Site Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(a) Aerospace sciences and engineering sciences, e.g., structural dynamics, mechanics of materials, particulate mechanics, propulsion diagnostics, space, power and propulsion, external aerodynamics and hypersonics, internal fluid dynamics; (b) chemistry and materials sciences, e.g., chemical reactivity and synthesis, polymer chemistry, inorganic materials chemistry, electrochemistry, theoretical chemistry, molecular dynamics, metallic structural materials, ceramics and nonmetallic structural materials; (c) physics and electronics devices, components, and circuits, optoelectric information processing, devices and systems, quantum electronic solids, semiconductor and electromagnetic materials, photonic physics, optics, x-ray physics, atomic and molecular physics, plasma physics; (d) life and environmental sciences, e.g., neuroscience,

chronobiology, perception and recognition, spatial orientation, cognition, bioenvironmental sciences, optical and infrared environment, space sciences; and (e) mathematical and computer sciences, e.g., dynamics and control, physical mathematics and applied analysis, computational mathematics, optimization and discrete mathematics, signal processing, probability and statistics, software and systems, artificial intelligence, neural computation systems, and electromagnetic.

CRITERIA FOR SELECTING PROPOSALS:

(a) Overall scientific and technical merits of the proposed research; (b) potential contribution of the proposed research to the mission of the Air Force; (c) availability of funds; (d) likelihood of the proposed effort to develop new research capabilities and to broaden the research base in support of national defense; (e) offeror's capabilities, related experience, facilities, techniques, or unique combinations of these factors that are integral to achieving the objectives; (f) qualifications, capabilities, experience, and past research accomplishments of the proposed principal investigator and team; and (g) realism and reasonableness of proposed cost.

12.900 LANGUAGE GRANT PROGRAM

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Public Law 95-224.

OBJECTIVES:

To foster foreign language training to Americans.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Investigations must be an employee of a U.S. college, university or nonprofit institution as related to language.

Beneficiary Eligibility:

Private, public educational institutions and other private, public nonprofit organizations which are operated primarily for language and are not organized primarily for profit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21, A-87, A-88, A-122 and Subpart 31.2 of the Federal Acquisition Regulation applicable to commercial firms and those nonprofits exempted from OMB Circular No. A-122. The administrative requirements of OMB Circular Nos. A-102, A-110, and A-133 apply.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All eligible private, public educational institutions and other private, public nonprofit organizations may submit proposals in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a RUA review. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the research described therein.

Deadlines:

December 15 is the deadline for submission of proposals.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

None.

Renewals:

Reapplication with a new proposal.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funding will reflect the period of performance.

Reports:

Interim and final reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee will maintain accounting records which will permit determination that the funds were used for the grant purpose.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 not reported; FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$5,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Provided support which led to the documentation of low density languages; the development of foreign language reference works, and the improved research in training methods and computer assisted instruction technologies.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Chief, Language Training, Department of Defense, National Security Agency, ATTN: E41, Fort George Meade, MD 20755-6000. Telephone: (410) 859-6087. Use the same number for FTS.

Web Site Address:

<http://www.darpa.mil/cmo>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

1. CALICO; 2. development of a hypermedia area studies module; 3. research on student learning center to maintain less commonly taught languages; 4. ALICE prototype natural language processing environment; and 5. monograph defining priorities for listening comprehension research.

CRITERIA FOR SELECTING PROPOSALS:

1. Overall language, technical or socio-economic merits; 2. potential contributions of the effort to the agency's specific mission; 3. the offerer's capabilities, related experience, facilities, techniques or unique combinations of these, which are integral factors for achieving the proposal objectives; 4. the qualifications, capabilities and experience of the proposal principal investigator, team leader or key personal who are critical in achieving the proposal objectives; 5. realism of the proposed cost and availability of funds; and 6. potential contributions of the effort to the American public.

12.901 MATHEMATICAL SCIENCES GRANTS PROGRAM

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

National Security Act of 1959, Sections (13(a) and 10(a), 50 U.S.C. 402, Public Law 86-36.

OBJECTIVES:

The NSA grant program seeks to stimulate developments in the field of cryptography and make careers in these fields of mathematics more attractive to Americans and to make NSA known as a possible employer of such mathematicians.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, graduate student support, postdoctoral support, and conference support. No more than one summer month support. No released time from teaching during the regular academic year.

Applicant Eligibility:

Investigators must be an employee of a U.S. college or university, the principal investigator must be at least a permanent resident of the U.S. Graduate students must be U.S. citizens or intending to become U.S. citizens.

Beneficiary Eligibility:

This will benefit researchers in the mathematical science that are at least permanent residents as well as their students that are permanent residents of the U.S. and intending to apply for U.S. citizenship.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A brochure is available from NSA giving the form of the proposal. A proposal may be sent directly to NSA by a college or university before the yearly deadline. NSA has the authority for the final approval and passes the funds to a college or university. The college or university distributes the funds to the principal investigator, students, postdoctoral students, etc.

Award Procedure:

The agency grant/contracting officer coordinates with the performing organization for the effective administration of the grant.

Deadlines:

October 15 is the deadline for submission of proposals with certain exceptions, such as conference applications for which there is no deadline.

Range of Approval/Disapproval Time:

Generally 12 months.

Appeals:

None.

Renewals:

Only no-cost extensions allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Two years in most cases except for conferences, which are for a shorter period.

Reports:

Interim and final reports are required.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

97-0400-0-1-051.

Obligations:

FY 07 est \$3,000,000; and FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$5,000 to \$60,000. Average: \$15,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSA provides a free brochure describing how to apply for a grant.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Charles F. Osgood, Department of Defense, National Security Agency, ATTN: R51A, Fort George G. Meade, MD 20755-6000. Telephone: (301) 688-0400. Use the same number for FTS.

Web Site Address:

<http://www.darpa.mil/cmo>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Mathematics conference; (2) research in algebra; (3) research in number theory; (4) research in discrete mathematics; (5) research in statistics; and (6) research in probability.

CRITERIA FOR SELECTING PROPOSALS:

Primarily qualifications of the principal investigator. Cost is considered when deciding what to fund, e.g., one month of summer salary and/or student support.

12.902 INFORMATION SECURITY GRANT PROGRAM

(Information Security University Research Program (URP))

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Public Law 97-89, NSA/CSS Directive 60-11.

OBJECTIVES:

The National Security Agency (NSA) is involved with the development of secure computing systems. This grant program is designed to assist in the area of unclassified Information Security research. This research should provide significant advances in our nation's ability to design, build, and maintain computer systems that perform with a high degree of integrity, confidentiality, and service assurance. Additionally, this grant program is aimed at developing computer science graduates who are knowledgeable in the area of Information Security from which NSA can recruit.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, graduate student support, postdoctoral support, and conference support. No more than two summer months support from all sources. No released time from teaching during the regular academic year.

Applicant Eligibility:

Investigators must be an employee of a U.S. college or university, the principal investigator and supported graduate students must be (at least) a permanent resident of the U.S., graduate students must at least have started to apply for U.S. citizenship.

Beneficiary Eligibility:

This will benefit researchers in the information security field of computer science that are at least permanent residents as well as their students that are permanent residents of the U.S. and intending to apply for U.S. citizenship.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A brochure is available from NSA giving the form of the proposal. A proposal may be sent directly to NSA by a college or university before the yearly deadline. NSA has the authority for the final approval and passes the funds to a college or university. The college or university distributes the funds to the principal investigator, students, postdoctoral students, etc.

Award Procedure:

The agency grant and contracting officer coordinates with the performing organization for the effective administration of the grant.

Deadlines:

December 15 is the deadline for submission of proposals with certain exceptions, such as conference applications for which there is no deadline.

Range of Approval/Disapproval Time:

Generally within 6 months, but may be made at any time throughout the year for which funding is authorized.

Appeals:

None.

Renewals:

Only no-cost extensions allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Since this is a research and technology program the assistance is generally available for a 1 to 2-year period.

Reports:

Interim and final reports are required. Additionally, copies of publications, conference presentations, dissertations, and theses published as a result of this research are required.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

97-0400-0-1-051.

Obligations:

FY 07 \$2,000,000, FY 08 est not reported; and FY 09 est not reported. Note: No current information provided by Agency.

Range and Average of Financial Assistance:

\$50,000 to \$100,000. Average: \$80,000.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSA provides a free brochure describing how to apply for a grant.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Director, INFOSEC University Research Program, Department of Defense, National Security Agency, ATTN: R23, 9840 O'Brien Road, Fort George Meade, MD 20755-6000. Telephone: (301) 688-0847. FAX: (301) 688-0255. E-mail urp@tycho.nesc.mil.

Web Site Address:

<http://www.darpa.mil/cmo>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past funded research has been in the following areas: (1) Multilevel Secure Distributed Systems Security; (2) Multilevel Secure Network Systems; (3) Multilevel Secure Databases; (4) Formal Methods; (5) Computer Misuse and Anomaly Detection; (6) Object Oriented Technology; and (7) Secure Applications.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation of proposals is based on the following factors (listed in order of importance): (1) The relevance of the research to the INFOSEC Research and Technology Group and NSA; (2) the perceived quality and realism of the research; (3) qualifications of the principal investigator(s); (4) graduate student involvement and support; and (5) cost realism.

12.910 RESEARCH AND TECHNOLOGY DEVELOPMENT**FEDERAL AGENCY:**

DEFENSE ADVANCED RESEARCH PROJECTS AGENCY, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358; 10 U.S.C. 2371.

OBJECTIVES:

To support and stimulate basic research, applied research and technology development at educational institutions, nonprofit organizations, and commercial firms, which may have military or dual-use application. This support may take the form of grants, cooperative agreements, or other transactions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

No block or formula grants. Only project grants resulting from scientific proposals. Basic research, applied research and technology development in areas of science and technology which may have military or dual-use application. Projects are expected to advance the state of the art or result in fundamental change in technology. Support for scientific symposia, conferences in relevant technology areas, and consortia which deals with relevant technologies. Programs to encourage careers in science, technology and engineering, and to increase the number of graduates from underrepresented minority groups. Programs assisting laboratory research instrumentation at universities. Programs intended to produce fundamentally different approaches to relevant technologies or establish fundamentally new relationships among the parties engaged in technology development. Potential recipients should note that by DARPA these funds are awarded on project-by-project basis and are not block grants. The research focus areas are defined in the advertisement of potential research needs (See references to Broad Agency Announcements in Application and Award Process).

Applicant Eligibility:

For grants, eligibility is limited to public and private educational institutions and nonprofit organizations operated for purposes in the public interest. For cooperative agreements, eligibility is limited to educational institutions, nonprofit organizations, and commercial firms. Eligibility for other transactions is the same as for cooperative agreements. Individuals are not eligible for these awards.

Beneficiary Eligibility:

Public and private educational institutions. Nonprofit organizations operated for purposes in the public interest and commercial firms.

Credentials/Documentation:

Applicants must not appear on the debarred or suspended list for DoD. If the award is a grant, cooperative agreement, or other transaction the applicant must not appear on the nonprocurement debarred or suspended list. In addition, the provisions of OMB Circular Nos. A-21, A-87, A-88, A-110, A-122, and A-133 must be met.

Preapplication Coordination:

None. This program is excluded from coverage under E.O.12372.

Application Procedure:

Eligible organizations may submit proposals or white papers in response to relevant Broad Agency Announcements published in the Federal Business Opportunities (www.fedbizopps.gov)-- look for DARPA under other Defense Agency).

Award Procedure:

Award decisions are based upon competitive selection of specific project proposals resulting from a scientific review. Evaluators use the evaluation criteria contained in the relevant Broad Agency Announcement. Generally, the offeror's proposal is incorporated into the grant document and the offeror agrees to perform the project as described in the proposal, with specific deliverable due from the project.

Deadlines:

Deadlines are specified by the various Broad Agency Announcements.

Range of Approval/Disapproval Time:

A representative range of time required for the proposal to be accepted for award would be 60 to 120 days. Awards on high priority projects generally would take less time.

Appeals:

Not applicable.

Renewals:

Renewals generally require reapplication with a new proposal. Extensions can generally be handled under the terms of the original grant, cooperative agreement, or other transaction.

Formula and Matching Requirements:

This program has no statutory formula. All cooperative agreements require cost-sharing of at least 50 percent.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally available for a 3 to 5-year period, as stated in the individual grant, agreement, or other transactions. Funding is usually provided incrementally in accordance with a payment schedule contained in the award document.

Reports:

Grantees, agreement holders, and other awardees are expected to publish, or otherwise make publicly available, the results of the work. Copies of reports are furnished by the awardees to a prescribed list of addressees, including the Defense Technical Information Center. Further distribution is made by DTIC on a request basis to DoD agencies and their contractors.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Each awardees must maintain sufficient records to permit determination that the funds were used appropriately for the award purpose.

Account Identification:

97-0400-0-1-051.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$100,000 to \$100,000,000. Average: \$1,150,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, projected totals are 30 grant awards and 20 other transactions.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DARPA Brochure, can be obtained from the Headquarters office. This publication lists the points of contact within the various scientific disciplines. For additional information see DARPA's website: <http://www.darpa.mil>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Defense Advanced Research Projects Agency, ATTN: Director, Contract Management Office (CMO), 3701 N. Fairfax Drive, Arlington, VA 22203. Telephone: (703) 696-2399. FTS is not available.

Web Site Address:

<http://www.darpa.mil/cmo>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Research Grants: 1. Biosensors Technology; 2. Electromagnetic material Technology; 3. Silicon nanofabrication and nanoelectronics device manufacturing; 4. High bandgap materials and devices; 5. Advanced Lithography; 6. Simulation based design; 7. Power systems for land vehicles; and 8. Wireless communications devices.

CRITERIA FOR SELECTING PROPOSALS:

Listed in descending order of relative importance: (1) Overall scientific and technical merit; (2) potential contribution and relevance to DARPA mission; (3) offeror's capabilities and related experience; (4) plans and capability to accomplish technology transition; and (5) cost realism.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.103 INTEREST REDUCTION PAYMENTS-RENTAL AND COOPERATIVE HOUSING FOR LOWER INCOME FAMILIES

(236)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 236, Public Law 90-448, 12 U.S.C. 1715.

OBJECTIVES:

To provide good quality rental and cooperative housing for persons of low-and moderate-income by providing interest reduction payments in order to lower their housing costs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Use was limited to Section 236 insured and non-insured mortgages financed by State or Local Housing Finance Agencies. Program is now inactive. No new projects are being approved. Projects with this subsidy are still active in the MF portfolio.

Applicant Eligibility:

Eligible mortgagors included nonprofit, cooperative, builder-seller, investor-sponsor, and limited-distribution sponsors. Public bodies did not qualify as mortgagors under this program.

Beneficiary Eligibility:

Families and individuals, including the elderly and handicapped or those displaced by government action or natural disaster, eligible to receive the benefits of the subsidies must at the time of admission fall within certain locally determined income limits. Families with higher incomes may occupy apartments, but may not benefit from subsidy payments.

Credentials/Documentation:

Documentation regarding the characteristics of the property and qualifications of the mortgagor was submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Documentation regarding property characteristics and mortgagor qualifications was assembled by the mortgagee and submitted with the application. The sponsor held a preapplication conference with the local HUD field office with multifamily processing. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372. An environmental assessment was required for this program. No applications for new projects are being accepted.

Application Procedure:

No applications for new projects are being accepted. Sponsors submitted FHA Form No. 203, application and other documentation for FHA Review of Proposed Development, to local HUD field office with multifamily processing or equivalent documentation to a State or local Housing Finance Agency approved by HUD for participation in the program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local HUD office or State or local Housing Finance Agency reviewed the application to determine site appraisal and market analysis. Market need, correct zoning, environmental assessment and value of site fully improved were considered. The local office granted feasible proposals a tentative reservation of contract authority, and the sponsor was invited to apply for mortgage commitment, at which stage(s) further technical processing was completed. No new applications for project mortgage insurance are being accepted.

Deadlines:

Program is inactive. No new applications are being accepted.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Assistance payments are made monthly by HUD to the mortgagee, and may bring the effective interest rate paid by the mortgagor down to as low as one percent. Benefits received in this way are passed on to those families qualifying for assistance in the form of reduced rents. Assisted families are required to pay for rent at least 30 percent of their adjusted income (income after certain allowable deductions), but not in excess of the fair market rent. This program has maintenance of effort (MOE) requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

The mortgage term normally extends for 40 years. Interest reduction payments may extend for the full term of the mortgage. For individual families, assistance ceases when their income rises to the point where they can afford the full market rent without the benefit of assistance.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors of insured projects are required to submit, electronically, an annual financial statement to HUD audited and prepared in accordance with Generally Accepted Accounting Principals and Generally Accepted Auditing Standards. Approved mortgages, upon request by HUD, must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgage or mortgagor in order to determine their compliance and conformance with FHA regulations and standards.

Records:

Tenant certifications for assistance payments and annual recertification must be forwarded to the HUD Field or Area Office by the sponsor. Regular financial reports are also required. Mortgagees must service and maintain records in accordance with acceptable mortgagee practices and HUD regulations.

Account Identification:

86-0148-0-1-604; 86-4077-0-3-371.

Obligations:

(Outlays) FY 07 not separately identifiable; FY 08 est not separately identifiable; and FY 09 est not separately identifiable. (Note: Program is inactive.)

Range and Average of Financial Assistance:

See uses and use restrictions.

PROGRAM ACCOMPLISHMENTS:

Reservations for additional projects are not being made under the program. No additional mortgages have been insured since 1992. Cumulative totals through September 30, 1992, were 377,680 units insured with a value of \$6,480,013,120.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Rental and Co-op Housing for Lower Income Families. No charge; 24 CFR 236 et seq.

Regional or Local Office:

Contact the appropriate local HUD Office listed in the Catalog Address Appendix IV.

Headquarters Office:

For Management Information: Director, Office of Multifamily Housing Management, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3730.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

14.149, Rent Supplements_Rental Housing for Lower Income Families; 14.852, Public Housing_Comprehensive Improvement Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.108 REHABILITATION MORTGAGE INSURANCE

(203(k))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 203(k), Public Law 95-557, 12 U.S.C. 1709, 1715(k).

OBJECTIVES:

To help families repair or improve, purchase and improve, or refinance and improve existing residential structures more than one year old.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. These loans may be used to rehabilitate an existing 1 to 4 unit dwelling in one of four ways: (1) Purchase a structure and the land on which the structure is located and rehabilitate it; (2) purchase a structure on another site, move it onto a new foundation on the mortgaged property and rehabilitate it; (3) refinance the existing indebtedness and rehabilitate such a structure; or (4) rehabilitate such a structure. Maximum insurable mortgage loans for an occupant mortgagor are the same as prescribed for Section 203(b) - Program 14.117. Rehabilitation cost must be at least \$5,000.

Applicant Eligibility:
Individual and families.

Beneficiary Eligibility:
Individuals and families.

Credentials/Documentation:
Documentation regarding the characteristics of the property and the qualifications of the borrower are assembled by the lender and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:
This program is excluded from coverage under E.O.12372.

Application Procedure:
Application is submitted through a HUD-approved lending institution. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:
See Application Procedure.

Deadlines:
None.

Range of Approval/Disapproval Time:
Varies.

Appeals:
The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:
Not applicable.

Formula and Matching Requirements:
The maximum loan amount is the same as Section 203(b) - Program 14.117. Value is determined by either (a) the value of the property before rehabilitation plus the cost of rehabilitation, or (b) 110 percent of the appraised value of the property after rehabilitation, whichever is less. The loan may be subordinated to an existing first mortgage, or there may be insured advances during the rehabilitation period if the loan is a first lien. The lender may charge the greater of \$350 or an additional 1-1/2 percent supplemental loan origination fee for the portion of the loan which is allocated to rehabilitation when there are insured advances. Also, the lender may charge the mortgagor fees in the nature of discounts.

Length and Time Phasing of Assistance:
The mortgage term may extend for 30 years.

Reports:
Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by FHA must furnish a copy of their latest financial statement.

Audits:
The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with FHA regulations and standards.

Records:
Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:
86-4077-0-3-371.

Obligations:
(Loans insured) FY 07 \$441,844,837; FY 08 est \$583,421,000; and FY 09 est reported under program 14.117.

Range and Average of Financial Assistance:
Maximum insurable mortgage loans for an occupant mortgagor are the same as prescribed for Section 203(b) - Program 14.117. Rehabilitation cost must be at least \$5,000.

PROGRAM ACCOMPLISHMENTS:
The Department insured 3,383 loans in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:
HUD Residential Rehabilitation Program, no charge Fact Sheet: Rehabilitation Mortgage Insurance, no charge. 24 CFR 203.50.

Regional or Local Office:
Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgdocs.cfm>), or the nearest local HUD Office. See Catalog Appendix IV for a list of offices.

Headquarters Office:
None.

Web Site Address:
<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:
14.117, Mortgage Insurance_Homes; 14.172, Mortgage Insurance_Growing Equity Mortgages.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

14.110 MANUFACTURED HOME LOAN INSURANCE-FINANCING PURCHASE OF MANUFACTURED HOMES AS PRINCIPAL RESIDENCES OF BORROWERS

(Title I)

FEDERAL AGENCY:
HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:
National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:
To make possible reasonable financing of manufactured home purchases.

TYPES OF ASSISTANCE:
Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:
HUD insures lenders against loss on loans. Insured loans may be used to purchase manufactured home units by buyers intending to use them as their principal places of residence. The maximum amount of the loan is \$48,600, whether single or multiple modules. The borrower must give assurance that the unit will be placed on a site which complies with local zoning and land development requirements.

Applicant Eligibility:
All persons are eligible to apply.

Beneficiary Eligibility:
Individuals/families.

Credentials/Documentation:
Documentation regarding the characteristics of the manufactured home unit and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Application is submitted to a HUD-approved lender or through a lender's approved dealer for review and approval. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:
None.

Deadlines:
None.

Range of Approval/Disapproval Time:
HUD does not participate in the approval or disapproval of individual loans.

Appeals:
The applicant may reapply.

Renewals:
Not applicable.

Formula and Matching Requirements:
HUD insures private lenders against losses of up to 90 percent of any single loan with maximum insurance coverage limited to a total of 10 percent of the total amount insured. A 5 percent down-payment is required. The interest rate is agreed upon between the borrower and lender. Annual insurance charges are \$1.00 per \$100 of the amount advanced.

Length and Time Phasing of Assistance:
The average loan term is between 15 to 25 years.

Reports:
Not applicable.

Audits:
The Department of Housing and Urban Development reserves the right to audit the accounts of lenders in order to determine their compliance and conformance with FHA regulations and standards.

Records:
Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the FHA regulations.

Account Identification:
86-4077-0-3-371.

Obligations:
(Loans insured) FY 07 \$53,235,426; FY 08 est \$71,121,000; and FY 09 est \$71,121,000.

Range and Average of Financial Assistance:
The maximum amount of the loan is \$48,600, whether single or multiple modules. The average loan amount for FY 2006 is \$37,970.

PROGRAM ACCOMPLISHMENTS:
During fiscal year 2007, 1,386 loans were made.

REGULATIONS, GUIDELINES, AND LITERATURE:
"Financing Manufactured Homes," HUD-265-H(10); 24 CFR Part 201.

Regional or Local Office:
None. Contact HUD at (800) 767-7468 for a brochure, item no. 4340.

Headquarters Office:
Director, Home Mortgage Insurance Division, Department of Housing and Urban Development, 451 7th Street, S.W., Room 9266, Washington, DC 20410. Telephone: (202) 708-2121.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/title/manuf14.cfm>

RELATED PROGRAMS:

14.142, Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures; 64.119, Veterans Housing, Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.112 MORTGAGE INSURANCE FOR CONSTRUCTION OR SUBSTANTIAL REHABILITATION OF CONDOMINIUM PROJECTS

(234(d) Condominiums)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:

National Housing Act, as amended; Housing Act of 1964, as amended, Section 234(d), Public Law 88-560; Section 234(c), Public Law 90-448, 82 Stat. 476, 507, 1969; Section 234(c), Public Law 91-152, 83 Stat. 379, 384, 12 U.S.C. 1715(y).

OBJECTIVES:

To enable sponsors to develop condominium projects in which individual units will be sold to home buyers.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the construction or rehabilitation of multifamily housing structures by a sponsor intending to sell individual units as condominiums, which also would be eligible for the benefits of mortgage insurance under Section 234(c). The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations.

Applicant Eligibility:

Private profit-motivated developers, public bodies, and other sponsors who meet FHA requirements for mortgagors.

Beneficiary Eligibility:

All families are eligible to purchase condominium units. Mortgages for individual units may be insured under Section 234(c). See program 14.133.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor will have a preapplication conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project before an application is submitted. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

The sponsor submits a formal mortgage insurance application through a HUD approved mortgagee to the local HUD Multifamily Hub or Program Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local HUD Multifamily Hub or Program Center reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, HUD issues the lender a commitment to insure the project mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Field Office.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and the workload of field office.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required.

Formula and Matching Requirements:

The maximum amount of the loan is 90 percent of the replacement cost, the sum of the statutory per unit limits, or sum of the unit mortgage amounts, whichever is less. The combined HUD site appraisal and market analysis application fee and commitment fees are \$3.00 per \$1,000 of the mortgage amount, and the HUD inspection fee may not exceed \$5.00 per \$1,000 of the mortgage amount, except that in all cases the combined application, commitment and inspection fee shall aggregate no less than \$65 per unit for new construction or \$50 per unit in rehabilitation cases. The mortgage insurance premium is one-half percent of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-quarters of the remaining economic life, whichever is less, if the project remains a rental.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to HUD. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with FHA regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.135.

Range and Average of Financial Assistance:

The maximum amount of the loan is 90 percent of the replacement cost, the sum of the statutory per unit limits, or sum of the unit mortgage amounts, whichever is less. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations.

PROGRAM ACCOMPLISHMENTS:

No loans were insured in fiscal year 2007 and none are anticipated in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

"HUD Mortgage Insurance Handbook No. 4580.1 For Condominium Housing Insured under Section 234 (d) of the National Housing Act, 24 CFR234; "HUD Mortgage Insurance Handbook No. 4265.1, Home Mortgage Insurance- Condominium Units, Section 234(c)", available on <http://www.hudclips.org>.

Regional or Local Office:

All projects are processed in local HUD Multifamily Hubs or Program Centers. Persons are encouraged to communicate with the nearest local HUD Office. HUD Multifamily Hubs and Program Centers are listed at: http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone (202) 708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.133, Mortgage Insurance. Purchase of Units in Condominiums.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.117 MORTGAGE INSURANCE-HOMES

(203(b))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:

National Housing Act, as amended, Section 203(b), Public Law 73-479, 12 U.S.C. 1709, 1715(b).

OBJECTIVES:

To help people undertake home ownership.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one-to-four family housing, as well as to refinance indebtedness on existing housing. Maximum insurable loans are as follows: one-family \$200,160; two family

\$256,248; three-family \$309,744; and four-family \$384,936; except that the Secretary may increase the preceding maximum dollar amounts on an area-by-area basis to the extent the Secretary deems necessary, after taking into consideration the extent to which moderate and middle income persons have limited housing opportunities in the area due to high prevailing housing sales prices, but in no case may such limits, as so increased, exceed the lesser of (A) 87 percent of the Federal National Mortgage Association's Conforming Loan Limit, or (B) in the case of a one-family residence, 95 percent of the median one-family house price in the area, as determined by the Secretary; in the case of a two-family residence, 107 percent of such median price; in the case of a three-family residence, 130 percent of such median price; or in the case of a four-family residence, 150 percent of such median price. Designated areas of limited housing opportunities and maximum mortgage amounts may be obtained from local HUD Offices.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgage and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement. This program is excluded from coverage under OMB Circular No. A-110.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender

Renewals:

Not applicable.

Formula and Matching Requirements:

For most families, the maximum amount of the loan is the property's sales price (or appraised value, if less) exclusive of any borrower paid closing costs multiplied by a percent that is determined by the sales price or value if less and the average closing cost for the State. This determines the maximum mortgage that FHA will ensure, provided the mortgagor makes a cash investment of at least 3 percent into the property which may include closing costs. The downpayment is the difference between the maximum loan amount and the purchase price of the home. In addition to the downpayment, the purchaser must pay for all items of prepaid expense. Risk-based mortgage insurance premiums are collected including: 1) an up-front premium which may be financed and 2) a periodic premium which is paid monthly. The loan origination charge by the mortgage varies, but may not exceed one percent of the total mortgage (minus the mortgage insurance premium, if being financed). Mortgagors may be charged appraisal and inspection fees in accordance with fee schedules established by HUD. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by FHA must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with FHA regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Mortgages insured) FY 07 \$56,518,703,000; FY 08 est \$72,172,152,000; and FY 09 est \$151,280,082,000.

Range and Average of Financial Assistance:

Maximum insurable loans are as follows: one-family \$200,160; two-family \$256,248; three-family \$309,744; and four-family \$384,936; except that the Secretary may increase the preceding maximum dollar amounts on an area-by-area basis to the extent the Secretary deems necessary, after taking into consideration the extent to which moderate and middle income persons have limited housing opportunities in the area due to high prevailing housing sales prices, but in no case may such limits, as so increased, exceed the lesser of (A) 87 percent of the Federal National Mortgage Association's Conforming Loan Limit, or (B) in the case of a one-family residence, 95 percent of the median one-family house price in the area, as determined by the Secretary; in the case of a two-family residence, 107 percent of such median price; in the case of a three-family residence, 130 percent of such median price; or in the case of a four-family residence, 150 percent of such median price.

PROGRAM ACCOMPLISHMENTS:

FHA insured 403,098 loans in Fiscal Year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Residential Rehabilitation Program, no charge; Fact Sheet: Rehabilitation Mortgage Insurance, no charge. 24 CFR 203.50.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State (see http://www.hud.gov/offices/hsg/sfh/hoc/hsg_hocs.cfm), or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.159, Section 245 Graduated Payment Mortgage Program; 14.172, Mortgage Insurance_Growing Equity Mortgages.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.119 MORTGAGE INSURANCE-HOMES FOR DISASTER VICTIMS

(203(h))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 203(h), Public Law 73-479, 12 U.S.C. 1709, 1715(b).

OBJECTIVES:

To help victims of a major disaster undertake homeownership on a sound basis.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase or reconstruction of a one-family home that will be the principal residence of a borrower that is a victim of a major disaster. The maximum insurable loan for such an occupant mortgagor is the same as prescribed for Section 203(b) (14.117). Disaster victims are not required to meet the 3 percent minimum investment requirements. In order to qualify for assistance, the formerly occupied home must have been in an area designated by the President as a disaster area, and it must have been destroyed or damaged to such an extent that reconstruction or replacement is necessary.

Applicant Eligibility:

Anyone that is a victim of a major disaster as designated by the President is eligible to apply.

Beneficiary Eligibility:

Families or individuals that are victims of a major disaster as designated by the President.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An FHA-approved lender submits the application for review and approval or disapproval to the local HUD Field Office. This program is excluded from

coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement. This program is excluded from coverage under OMB Circular No. A-110.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

The maximum amount of the loan is 100 percent of the HUD estimated value. No downpayment is required. As of July 1, 1991, risk-based mortgage insurance premiums will be collected including: 1) An up-front premium which may be financed and 2) a periodic premium which is paid monthly. The origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage. Mortgagees may be charged appraisal and inspection fees in accordance with fee schedules established by HUD.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years, except 35 years if the mortgagor is unacceptable for a 30-year term and the property was constructed subject to HUD or VA inspection.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Reported under program 14.117.

Range and Average of Financial Assistance:

The maximum amount of the loan is 100 percent of the HUD estimated value. No loans were insured under this program in FY 2006.

PROGRAM ACCOMPLISHMENTS:

The maximum amount of the loan is 100 percent of the HUD estimated value. No loans were insured under this program in FY 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide To Single Family Home Mortgage Insurance," no charge; 24 CFR 203.18(e).

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

14.117, Mortgage Insurance Homes; 59.008, Disaster Assistance Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.122 MORTGAGE INSURANCE-HOMES IN URBAN RENEWAL AREAS

(220 Homes)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1954, Public Law 83-560, 12 U.S.C. 1715(b), 1715(k).

OBJECTIVES:

To help families purchase or rehabilitate homes in urban renewal areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance acquisition or rehabilitation of one- to 11-family housing in approved urban renewal or code enforcement areas. Maximum insurable loans for the occupant mortgagor are the same as prescribed for Section 203(b), plus \$9,165 for each family unit over four. Properties must be constructed or rehabilitated pursuant to an approved urban renewal plan.

Applicant Eligibility:

All families are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD- approved lending institution. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

Formula and Matching Requirements: For most families, the maximum amount of the loan is the same as under Section 203(b) - program 14.117. The downpayment is the difference between the maximum loan amount and the purchase price of the home, or the estimated value, whichever is less. In addition, the purchaser must pay all items of prepaid expense. Special terms are available for qualified veterans. A one-half percent mortgage insurance premium is required. The loan origination charge by the mortgagee varies but may not normally exceed one percent of the total mortgage. Mortgagees may be charged appraisal and inspection fees in accordance with fee schedules established by HUD.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years, except 35 years if the mortgagor is unacceptable under a 30 year term and the property was constructed subject to HUD or VA inspection.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.133.

Range and Average of Financial Assistance:

Maximum insurable loans for the occupant mortgagor are the same as prescribed for Section 203(b), plus \$9,165 for each family unit over four.

PROGRAM ACCOMPLISHMENTS:

There were no mortgage endorsements in Fiscal Year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Fact Sheet; Urban Renewal Housing (Mortgage Insurance)," no charge; "Fact Sheet: Major Home Improvements (Loan Insurance)," no charge; 24 CFR 220.1 et seq.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.117, Mortgage Insurance Homes.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.123 MORTGAGE INSURANCE-HOUSING IN OLDER, DECLINING AREAS

(223(e))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 223(e), Public Law 90-448, 12 U.S.C. 1715(b), 1715(n).

OBJECTIVES:

To assist in the purchase or rehabilitation of housing in older, declining urban areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans under other sections of the National Housing Act, e.g., Section 221(d)(3) or (d)(4), pursuant to Section 223(e). Claims are paid from the Special Risk Insurance Fund, but this is not a separate program. The determination to use Section 223(e) is at the discretion of HUD. These loans may be used to finance the purchase, repair, rehabilitation, and construction of housing in older, declining urban areas where conditions are such that certain normal eligibility requirements for mortgage insurance under a particular program cannot be met. The property must be an acceptable risk giving consideration to the need for providing adequate housing for low-and moderate-income families.

Applicant Eligibility:

HUD-approved mortgagees.

Beneficiary Eligibility:

For single family purposes, an individual or family is eligible to apply through HUD approved mortgagees. Multifamily sponsorship is determined by applicable program requirements.

Credentials/Documentation:

For single family applications, documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Documentation required for multifamily applications is determined by applicable program requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Sponsors of multifamily housing located in older, declining urban areas, may arrange a preapplication conference with the local HUD field office for advice on the best program for the sponsor, and to discuss HUD procedures and requirements. This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applications may be submitted at any time for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may request the HUD-approved mortgagee to seek HUD reconsideration.

Renewals:

Not applicable.

Formula and Matching Requirements:

Mortgages for housing eligible under the special program may be insured under any one of several HUD programs. The maximum amount of the loan, the downpayment, and other mortgage terms vary according to the HUD program under which the mortgage is insured. The mortgage insurance premium is one-half percent per year on the outstanding loan balance. Fees are established under the applicable HUD program. This program has maintenance of effort (MOE) requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved lenders at any time upon request by HUD, must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the lender to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgages are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.133.

Range and Average of Financial Assistance:

Mortgages for housing eligible under the special program may be insured under any one of several HUD programs. The maximum amount of the loan, the downpayment, and other mortgage terms vary according to the HUD program under which the mortgage is insured. There was no activity under this program in FY 2006.

PROGRAM ACCOMPLISHMENTS:

No fiscal activity under this section since Fiscal Year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Handbook 4260.1, "Miscellaneous Type Home Mortgage Insurance, Section 223(a), (e), and (d)," no charge; 24 CFR 207.31a (for multifamily); 24 CFR 203.43a (for single family).

Regional or Local Office:

All projects are processed in Single Family Homeownership Centers, Multifamily Hubs, or local HUD offices. Persons are encouraged to contact the Homeownership Center or HUD serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.108, Rehabilitation Mortgage Insurance; 14.117, Mortgage Insurance_Homes; 14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.126 MORTGAGE INSURANCE-COOPERATIVE PROJECTS

(213 Cooperatives)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 213; Housing Act of 1950, Public Law 81-475; Housing Act of 1956, Public Law 84-1020, 12 U.S.C. 1715(e); Public Law 91-152, 83 Stat. 379, 383; Public Law 84-345, 69 Stat. 635; Public Law 87-70, 75 Stat. 149, 179; Public Law 86-372, 73 Stat. 654, 656; Public Law 89-117, 79 Stat. 451, 469; Public Law 89-754, 80 Stat. 1255-66.

OBJECTIVES:

Enables nonprofit cooperative ownership housing corporations or trusts to develop or sponsor the development of housing projects to be operated as cooperatives. Section 213 allows investors to provide good quality multifamily housing to be sold to such nonprofit corporations or trusts upon completion of construction or rehabilitation.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 213 insures lenders against loss on mortgages. Insured mortgages may be used to finance construction, acquisition of existing, or rehabilitation of detached, semidetached, row, walk-up, or elevator type housing consisting of five or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation housing projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors are nonprofit cooperatives, ownership housing corporations or trusts which may either sponsor projects directly, sell individual units to

cooperative members, or purchase projects from investor-sponsors (builders, developers, or others who meet HUD requirements).

Beneficiary Eligibility:

Members of the cooperative are eligible to occupy a dwelling in the structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor has an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project before a site appraisal and market analysis (SAMA) application (for new construction projects) or a feasibility application (for substantial rehabilitation projects) is submitted. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact for additional information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The sponsor submits the SAMA application or feasibility letter to the local Hub or Program Center. Following HUD's approval and issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved mortgagee to the local Multifamily Hub or Program Center for processing. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local HUD field office decides whether to approve, or reject individual applications and whether to issue a commitment to the lender to insure the mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing times will depend upon the degree of preparation by the sponsor and HUD Multifamily Hub or Program Center workload.

Appeals:

If any application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

Sales-Type: The maximum loan for the sales project is the amount equivalent to the aggregate total of the maximum mortgage amounts that would be allowed for the individual units comprising the project under Section 203(b) Program 14.117 - of the National Housing Act. Investor-Sponsor: The maximum amount of the loan to the investor sponsor is equal to 90 percent of the estimated replacement cost. Management-Type: The maximum amount of the loan is equal to 98 percent of the estimated replacement cost. All Projects: The annual mortgage insurance premium is one half percent of the mortgage amount. The combined FHA application and commitment fees are \$3 per \$1,000 of the mortgage amount. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less, except for sales type cooperatives where the maximum term is 35 years or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with the regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Reported under program 14.117.

Range and Average of Financial Assistance:

Loan sizes ranged from \$1,500,000 to \$10,200,000, with an average of \$4.7 million. Project sizes ranged from 22 units to 120 units with an average of 53 units.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, HUD insured mortgages for seven projects with 373 units, totaling \$33 million. It is estimated that the Department will insure approximately the same number of projects in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Handbooks 4550.1 and 4550.2 "Basic Cooperative Housing Insurance, and Pre-sale Management Type Co-ops", no charge; HUD Handbook 4550.3, "Converting an Existing Project to a Cooperative", no charge; HUD Handbook 4240.3, Section 203 (n), Application Through Insurance (Single Family), no charge; HUD Handbook 4550.4, Supplementary Loan-Cooperative Housing", no charge; HUD Handbook 4550.5, "Investor-Sponsor and Nonprofit Sponsorship of Housing Cooperatives", no charge; HUD Handbook 4550.6, "Sales Type Cooperatives", no charge available on <http://www.hudclips.org>.

Regional or Local Office:

Persons are encouraged to communicate with the Multifamily Hub or Program Center with jurisdiction for the proposed property. HUD Multifamily Hubs and Program Centers are listed on the web at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Office of Multifamily Housing Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202)708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.132, Mortgage Insurance, Purchase of Sales-Type Cooperative Housing Units; 14.135, Mortgage Insurance, Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.127 MORTGAGE INSURANCE-MANUFACTURED HOME PARKS

(207 - Manufactured Home Parks)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 207, Public Law 84-345, 12 U.S.C. 1713.

OBJECTIVES:

To make possible the financing of construction or rehabilitation of manufactured home parks.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The Department's Federal Housing Administration (FHA) insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance the construction or rehabilitation of manufactured home parks consisting of 5 or more spaces. The maximum mortgage limit is \$11,250 per space. In areas where cost levels so require, limits may be increased up to 140 percent on a case-by-case basis. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

Families, individuals, and elderly persons owning manufactured homes and desiring to lease spaces in a manufactured home park.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor has an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project before a Site Appraisal and Market Analysis (SAMA) application (for new construction projects or feasibility application (for substantial rehabilitation projects) is submitted. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the

State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The sponsor submits a site appraisal and market analysis (SAMA) for new construction projects or a feasibility application for substantial rehabilitation projects. Following HUD's issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved lender for processing. Considerations include market need, zoning, capabilities of the borrower, and availability of community resources. Applications are submitted to the local HUD Multifamily Hub Program Center which does multifamily processing. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The HUD Multifamily Hub or Program Center decides whether to approve or reject applications.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and by the workload of the HUD Field Office.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

The maximum amount of the loan may not exceed 90 percent of the estimated value. The mortgage insurance premium is based on the mortgage amount. The application fee is \$3 per \$1,000. The HUD-inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to HUD. All approved mortgagees at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.135.

Range and Average of Financial Assistance:

Loan sizes range from \$1,890,000 to \$7,830,000, with an average of \$4.5 million. Project sizes range from 84 units to 337 units with an average of 175 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD did not insure any projects under this program. It is estimated that no mortgages will be insured under this program in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Manufactured Home Parks; 24 CFR 207.33 et seq.; Mobile Home Parks Financing, no charge; Housing Surveys, Part II; HUD Handbook 4545.1, Mobile Home Park Program, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

All projects are processed in Local HUD Multifamily Hubs or Program Centers. Persons are encouraged to communicate with the nearest HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or in the Catalog Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S. W., Washington, DC 20410. Telephone: (202) 708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.110, Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers; 64.119, Veterans Housing_Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.128 MORTGAGE INSURANCE-HOSPITALS

(242 Hospitals)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:

National Housing Act, as amended, Section 242; 12 U.S.C. 1715z-7; Public Law 108-091.

OBJECTIVES:

To facilitate the affordable financing of hospitals for the care and treatment of persons who are acutely ill or who otherwise require medical care and related services of the kind customarily furnished only or most effectively by hospitals.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgages. The loans may be used to finance the construction, modernization, equipment, or refinancing of acute care hospitals.

Applicant Eligibility:

Qualified applicants can be either profit or not-for-profit hospitals licensed or regulated by the State, municipality, or other political subdivision. At least 50 percent of the care must be for general acute patients (this requirement has been eliminated for hospitals specifically designated as Critical Access Hospitals as part of Public Law 108-091).

Beneficiary Eligibility:

Persons needing the services of these hospitals benefit by using the modernized facilities supported by the insured mortgages.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. A Certificate of Need from the appropriate State agency is needed if that State has a Certificate of Need process. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Interested parties should contact the program office with information about the proposed project before preparing an application. This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372," Intergovernmental Review of Federal Programs." Recipients should consult the office or the official designated as the single point of contact in its respective State for more information on the process the State requires to be followed when funds are used for the planning or the construction (reconstruction or rehabilitation) of water or sewer facilities.

Application Procedure:

The sponsor submits a formal application to the Office of Insured Health Care Facilities, Room 9224, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Federal Housing Commissioner makes final decisions to approve, hold, or reject individual projects.

Deadlines:

None.

Range of Approval/Disapproval Time:

Processing time depends upon the complexity of the proposal and the degree of preparation by the sponsor.

Appeals:

If an application for mortgage insurance is refused, the reasons for the refusal will be stated. The applicant may reapply.

Renewals:

The term of a commitment to insure advances is typically effective for 90 days from the date of issuance and may be extended for good cause.

Formula and Matching Requirements:

The maximum mortgage amount may not exceed 90 percent of the estimated replacement cost of the hospital including the installed equipment. The mortgage insurance premium is based on one-half percent of the average monthly amortized unpaid principal balance for the forthcoming year. The combined HUD application and commitment fees are 0.3 percent of the mortgage amount. The HUD inspection fee may not exceed 0.5 percent of the mortgage amount.

Length and Time Phasing of Assistance:

The mortgage term is 25 years.

Reports:

Defaults in meeting the mortgage terms must be reported. Mortgagors are required to submit annual audited financial statements to HUD. Approved mortgagors must furnish copies of their latest financial statements upon request by HUD.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees and mortgagors are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and FHA regulations. Records must be kept in accordance with State and Federal Regulations during the construction phase.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgage insurance commitments) FY 07 est \$846,348,500; FY 08 est 825,000,000; and FY 09 est \$1,100,000,000.

Range and Average of Financial Assistance:

\$1,950,000 to \$278,500,000; \$71,911,778.

PROGRAM ACCOMPLISHMENTS:

HUD issued 9 insurance commitments for acute care hospitals in FY 2007. It is expected that approximately 7 new mortgages will be insured in FY 2008 through Section 242 and 241.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (Housing and Urban Development) Part 200; Mortgage Insurance for Hospitals, (HUD Handbooks 4615.1; 4615.2) no charge.

Regional or Local Office:

None.

Headquarters Office:

Office of Insured Health Care Facilities, Department of Housing and Urban Development, 451 7th Street, Room 9224, Washington DC 20410. Paul Giaudrone, Senior Financial Analyst. Telephone: (202)708-0599; E-mail: paul.a.giaudrone@hud.gov).

Web Site Address:

<http://www.fha.gov/hospitals>.

RELATED PROGRAMS:

14.129, Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.129 MORTGAGE INSURANCE-NURSING HOMES, INTERMEDIATE CARE FACILITIES, BOARD AND CARE HOMES AND ASSISTED LIVING FACILITIES

(232)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 232; Housing Act of 1959, as amended, Public Law 86-372; Housing and Urban Development Act of 1969, Public Law 91-152, 73 Stat. 654, 83 Stat. 379; Public Laws 95-128, 95-557, 96-153, and 98-181.

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders to facilitate the construction or rehabilitation of nursing homes, intermediate care facilities, board and care homes and assisted living facilities, to allow purchase or refinancing with or without repairs of projects not requiring substantial rehabilitation, and to provide loan insurance to install fire safety equipment.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 232 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance construction or renovation of facilities to accommodate 20 or more patients requiring skilled nursing care and related medical services, or those who while not in need of nursing home care, are in need of minimum but continuous care provided by licensed or trained personnel. Board and care homes and assisted living facilities are also eligible and must contain a minimum of five accommodations or units. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities may be combined in the same facility covered by an insured mortgage or may be in separate facilities. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities must be licensed or regulated by the appropriate state agency, municipality, or other political subdivision where located. Contractors for new construction and substantial rehabilitation projects

must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, public entities, nursing homes and private nonprofit corporations or associations.

Beneficiary Eligibility:

Residents requiring skilled nursing, custodial care, and assistance with activities of daily living are eligible to live in a structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Certification of need and inspection and licensing by the State agency designated by the Public Health Service Act for the State in which the nursing home or intermediate care facility is to be located is required, or, if no State Agency exists, an alternative market study is required. A Certificate of Need may be required for a board and care home or assisted living facility. A statement from the appropriate State Agency is required stating that the State is in compliance with Section 1616 (e) of the Social Security Act. This facility must be licensed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For Traditional Application Processing (TAP) of a mortgage insurance application, the sponsor will have an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility for the project before a site appraisal and market analysis application, or a firm commitment application, is submitted. For Multifamily Accelerated Processing (MAP) for new construction or substantial rehabilitation loans, the sponsor works with a MAP-approved lender who submits certain required exhibits for the preapplication stage. For refinancing or purchase of an existing health care project, under Section 232/223(f), there is no preapplication stage under MAP. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the State office or official designated as the single point of contact additional information.

Application Procedure:

For Traditional Application Processing (TAP), the application for Firm commitment is submitted by the sponsor through a HUD-approved mortgagee, and the application is processed by the Multifamily Hub or Program Center. For Multifamily Accelerated Processing (MAP), the application for a Firm Commitment, including a full under-writing package, is submitted by the MAP lender for HUD Field Office review. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local HUD Field Office makes the final decision to approve, or reject individual projects.

Deadlines:

Deadlines are established on a case-by-case basis by the designated Multifamily Hub or Program Center and are mutually agreed to at the pre-commitment conference.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and whether or not Multifamily Accelerated Processing (MAP) or Traditional Application Processing (TAP) is used.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

The maximum amount of the loan for new construction and substantial rehabilitation would be equal to 90 percent (95 percent for nonprofit sponsors) of the estimated value of the physical improvements and major movable equipment. For existing projects, the maximum is 85 percent (90 percent for nonprofit sponsors) of the estimated value of the physical improvements and major movable equipment. HUD charges one-half percent of the mortgage amount per annum for mortgage insurance premium. The combined HUD site appraisal and market analysis application and commitment fees are \$3 per \$1,000 of the mortgaged amount. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage amount for new construction and substantial rehabilitation projects. The inspection fee for existing projects may not exceed one percent of the total cost of repairs.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years for new construction and substantial rehabilitation. The maximum term for existing projects without substantial rehabilitation is 35 years.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be

approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to file, electronically, an annual financial statement to HUD audited and prepared in accordance with Generally Accepted Accounting Principals and Generally Accepted Auditing Standards. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor, or lesser, in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) FY 07 \$1,219,476,400; FY 08 est \$1,237,500,000; and FY 09 est \$1,762,500,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: The maximum amount of the loan for new construction and substantial rehabilitation would be equal to 90 percent (95 percent for nonprofit sponsors) of the estimated value of the physical improvements and major movable equipment. For existing projects, the maximum is 85 percent (90 percent for nonprofit sponsors) of the estimated value of the physical improvements and major movable equipment.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, the Department insured mortgages for 174 health care facilities with 18,910 beds/units, totaling \$1.1 billion. It is estimated that approximately the same number of projects will be insured during fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Nursing Homes (Mortgage Insurance); Minimum Property Standards for Nursing Homes; (FHA Regulations). Handbook for Nursing Homes and Intermediate Care Facilities; (4600.1); (FHA Regulations 24 CFR 232 and 200), available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

Persons are encouraged to communicate with the Multifamily Hub or Program Center with jurisdiction for the proposed property. HUD Multifamily Hubs and Program Centers are listed in the web at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20412. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.128, Mortgage Insurance_Hospitals; 14.151, Supplemental Loan Insurance_Multifamily Rental Housing.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.133 MORTGAGE INSURANCE-PURCHASE OF UNITS IN CONDOMINIUMS

(234(c))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 234(c), Public Law 87-70, 75 Stat. 149, 1968, Public Law 90-448, 82 Stat. 476, 507, 1969, Public Law 91-152, 83 Stat. 379, 384.

OBJECTIVES:

To enable families to purchase units in condominium projects.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the acquisition of individual units in proposed or existing condominium projects containing four or more units. The maximum insurable loan for an occupant mortgagor is the same as Section 203(b) - Program 14.117. With respect to a unit in any project which was converted from rental housing, no insurance may be provided under this section unless: (1) The conversion occurred more than 1 year prior to the application for insurance; (2) the mortgagor or co-mortgagor was a tenant of that rental housing; or (3) the

conversion of the property is sponsored by a bona fide tenant's organization representing a majority of the households in the project.

Applicant Eligibility:

All families are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the function and characteristics of the project and the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An environmental assessment is required for a proposed project under this program. Owner-occupancy requirements apply as do requirements for transfer of rights to the homeowner association. Eighty percent of HUD-insured mortgages in the project must be made to owner-occupants. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval to the local HUD Field Office through a HUD-approved lending institution. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

The maximum amount of the loan is the same as under 203(b) - Program 14.117. The downpayment is equal to the difference between the maximum loan amount and the purchase price of the unit. The loan origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage amount. Risk based premiums are collected including 1) an upfront premium that may be financed and 2) a periodic premium that is paid monthly.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years, except 35 years if the mortgagor is unacceptable under a 30-year term.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) FY 07 \$27,573,476,420; FY 08 est \$20,361,187,000; and FY 09 reported under program 14.117.

Range and Average of Financial Assistance:

The maximum amount of the loan is the same as under 203(b) - Program 14.117.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured 18,242 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide To Single Family Home Mortgage Insurance," no charge; Questions about Condominiums, HUD 365-H(7), no charge; 24 CFR 234.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>) or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.112, Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects; 14.159, Section 245 Graduated Payment Mortgage Program; 14.172, Mortgage Insurance_Growing Equity Mortgages.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.134 MORTGAGE INSURANCE-RENTAL HOUSING

(Section 207)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 207, Public Law 75-424, 12 U.S.C. 1713.

OBJECTIVES:

To increase the supply of good quality rental housing for middle-income families.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 207 insures lenders against the loss on mortgage defaults. Section 207 mortgage insurance, although still authorized, is no longer used for new construction and substantial rehabilitation. It is however, the primary vehicle for the Section 223(f) refinancing program. Multifamily new construction and substantial rehabilitation projects are currently insured under Section 221(d)(3) and Section 221(d)(4) programs. Insured mortgages may be used to finance the construction or rehabilitation of rental detached, semidetached, row, walk-up, or elevator type structures with 5 or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-value and debt service limitations.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

All families eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

Documentation regarding the characteristics of the property and qualifications of the mortgagor are submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor has an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project before a Site Appraisal and Market Analysis (SAMA) application (for new construction projects) or feasibility application (for substantial rehabilitation projects) is submitted. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the State office or official designated as the single point of contact for additional information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

The sponsor submits an application for a SAMA or feasibility letter. Following HUD's approval and issuance of a SAMA or feasibility letter, the Firm Commitment application is submitted to the local HUD Multifamily Hub or Program Center for processing. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Program Center issues a commitment to the lender to insure the mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and HUD Multifamily Hub or Program Center workload.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

The maximum amount of the loan is equal to 90 percent of the estimated value. The mortgage insurance premium is one-half percent of the mortgage amount. The total HUD application processing and commitment fees are \$5 per \$1,000 of the mortgage amount. Only \$1 per \$1,000 is required at the initial application for SAMA. No fee is required with a feasibility application for a rehabilitation project. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage

amount.

Length and Time Phasing of Assistance:

The mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit annual financial statements to HUD. All approved mortgagees at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Reported under program 14.135.

Range and Average of Financial Assistance:

The maximum amount of the loan is equal to 90 percent of the estimated value.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD did not insure any mortgages under this program. It is estimated that the Department will not insure any mortgages in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 207 et seq.; Fact Sheet: Section 207 Rental Housing, no charge; HUD Handbook 4400.1, Project Mortgage Insurance Basic Section 207 Instructions, available on www.hudclips.org.

Regional or Local Office:

Persons are encouraged to communicate with Multifamily Hub or Program Center with jurisdiction for the proposed property. HUD Multifamily Hubs and Programs Centers are listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or at the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410; Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.138, Mortgage Insurance_Rental Housing for the Elderly; 14.139, Mortgage Insurance_Rental Housing in Urban Renewal Areas; 14.155, Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.135 MORTGAGE INSURANCE-RENTAL AND COOPERATIVE HOUSING FOR MODERATE INCOME FAMILIES AND ELDERLY, MARKET INTEREST RATE

(221(d)(3) and (4) Multifamily - Market Rate Housing)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 221, Public Law 86-372, 12 U.S.C. 1715(i).

OBJECTIVES:

To provide good quality rental or cooperative housing for moderate income families, the elderly, and handicapped. Single Room Occupancy (SRO) may also be insured under this section (see program 14.184).

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 221(d)(3) and Section 221(d)(4) insures lenders against loss on mortgage defaults for market rate rental projects. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, row, walkup, or elevator-type rental or cooperative housing containing 5 or more units. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new

construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act. Section 221(d)(3) mortgages require appropriated credit subsidy, which is limited.

Applicant Eligibility:

Public, profit-motivated sponsors, limited distribution, nonprofit cooperative, builder-seller, investor-sponsor, and general mortgagors.

Beneficiary Eligibility:

All families are eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection. There are no income limits. Projects may be designed specifically for the elderly and handicapped.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Under Traditional Application Processing (TAP), the sponsor has an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project, before the submission of a site appraisal and market analysis (SAMA) or a feasibility application. For Multifamily Accelerated Processing (MAP), the sponsor works with a MAP-approved lender who submits certain required exhibits for the preapplication stage. If HUD determines that the exhibits are acceptable, the lender is invited to submit a Firm Commitment application. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

For Traditional Application Process (TAP) following HUD's issuance of a SAMA letter (new construction) or Feasibility Letter (substantial rehabilitation) the sponsor submits a formal mortgage insurance application through a HUD-approved mortgagee to the local HUD Multifamily Hub and Program Center for processing. For Multifamily Accelerated Processing (MAP), the lender submits the required exhibits, including a full underwriting package, which are then reviewed by the Multifamily Hub and Program Center before a Firm Commitment is issued. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local HUD Multifamily Hub and Program Center reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the HUD Multifamily Hub and Program Center issues the lender a commitment to insure the project mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub and Program Center.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and whether or not TAP or MAP is used.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required.

Formula and Matching Requirements:

Section 221(d)(3): For general and limited distribution mortgagors, the maximum amount of the loan is equal to 90 percent of the estimated replacement cost. For nonprofit, public and cooperative mortgagors the maximum amount of the loan may be up to 100 percent of the estimated replacement cost in most cases. Section 221(d)(4): The maximum amount of the loan is equal to 90 percent of the estimated replacement cost. All projects: The mortgage insurance premium depends on whether the application is under Section 221(d)(3) or Section 221(d)(4). Application processing and commitment fees are \$3 per \$1,000 of the mortgage amount. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to HUD. All approved mortgagees must furnish a copy of their latest financial statements

at any time upon request by HUD.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) FY 07 \$1,254,248,333; FY 08 est \$1,218,750,000; and FY 09 est \$1,715,000,000.

Range and Average of Financial Assistance:

Loan sizes range from \$1,100,000 to \$40,000,000 with an average of \$9.7 million. Project sizes range from 35 to 526 units with an average of 155 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured mortgages for 104 projects with 16,219 units, totaling \$1.0 billion. It is estimated that the Department will insure approximately the same number of projects in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 221 et seq.; Fact Sheet: Rental Housing for Moderate Income Families, no charge; HUD Handbook 4560.2, Mortgage Insurance for Moderate-Income Housing Projects, Section 221(d)(4), no charge; HUD Handbook 4560.1, Section 221(d)(3) Market Interest Rate for Project Mortgage Insurance, no charge; HUD Handbook 4560.3, Mortgage Insurance for Single Room Occupancy Projects; no charge; HUD Handbook 4550.3; Basic Cooperative Housing Insurance Handbook, no charge. Handbooks are on HUDCLIPS at <http://www.hudclips.org>. The Multifamily Accelerated Processing (MAP) Guide is on the web. Refer to HUD's MAP page at <http://www.hud.gov/offices/hsg/mfh/map/maphome.cfm>.

Regional or Local Office:

Persons are encouraged to contact the Multifamily Hub or Program Center with jurisdiction for the proposed project. HUD Multifamily Hubs or Program Centers are listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.134, Mortgage Insurance Rental Housing.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.138 MORTGAGE INSURANCE-RENTAL HOUSING FOR THE ELDERLY

(231)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:

National Housing Act, as amended, Section 231, Public Law 86-372, 73 U.S.C. 654, 12 U.S.C. 1715(V).

OBJECTIVES:

To provide good quality rental housing for the elderly.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 231 insures lenders against loss on mortgages. Section 231 was designed to increase the supply of rental housing specifically for the use and occupancy of elderly persons and/or persons with disabilities. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, walk-up, or elevator type rental housing designed for occupancy by elderly or handicapped individuals and consisting of 8 or more rental units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations.

Applicant Eligibility:

Eligible mortgagors include private profit-motivated developers, and nonprofit sponsors.

Beneficiary Eligibility:

All elderly or handicapped persons are eligible to occupy apartments in a project whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor has an initial conference with the local HUD Multifamily Hub and Program Center to determine the preliminary feasibility of the project before a site appraisal and market analysis (SAMA) application (for new construction projects) or feasibility application (for substantial rehabilitation projects) is submitted. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the state office or official designated as the single point of contact for additional information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

The sponsor submits a formal application through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Program Center issues a commitment to the lender for mortgage insurance.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing time varies depending upon the degree of preparation by the sponsor and HUD Multifamily Hub and Program Center workload.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

Formula and Matching Requirements: For nonprofit and public mortgagors, the maximum amount of the loan is equal to 100 percent of the estimated replacement cost (or 100 percent of project value for projects involving rehabilitation). For all other mortgagors, the maximum amount of the loan is up to 90 percent of the replacement cost (90 percent of project value for projects involving rehabilitation). The annual mortgage insurance premium is one-half percent of the mortgage amount. The HUD application processing and commitment fees are \$3 per \$1,000 of the mortgage amount. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to HUD. All approved mortgagees at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practice of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Reported under program 14.135.

Range and Average of Financial Assistance:

For nonprofit and public mortgagors, the maximum amount of the loan is equal to 100 percent of the estimated replacement cost. For all other mortgagors, the maximum amount of the loan is up to 90 percent of the replacement cost.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, HUD insured 2 mortgages with 262 units totaling \$8.8 million. It is estimated that the Department will insure approximately the same number of projects in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Section 231 for the Elderly; 24 CFR 231.1 et seq. Section 231, Housing for the Elderly for Project Mortgage Insurance, HUD Handbook 4570.1, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

Persons are encouraged to communicate with the Multifamily Hub or Program

Center with jurisdiction for the proposed property. HUD Multifamily Hubs or Program Centers are listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm and in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.134, Mortgage Insurance_Rental Housing; 14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.139 MORTGAGE INSURANCE-RENTAL HOUSING IN URBAN RENEWAL AREAS

(220 Multifamily)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 220, Public Law 83-560, 12 U.S.C. 1745(k).

OBJECTIVES:

To provide good quality rental housing in urban renewal areas, code enforcement areas, and other areas designated for overall revitalization.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 220 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance proposed construction or rehabilitation of detached, semi-detached, row, walk-up, or elevator type rental housing or to finance the purchase of properties which have been rehabilitated by a local public agency. Property must consist of two or more units and must be located in an urban renewal area, urban redevelopment project, or code enforcement program area, urban area receiving rehabilitation assistance as a result of natural disaster, or area where concentrated housing, physical development and public service activities are being carried out in a coordinated manner. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage standards under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include private profit motivated entities, public bodies, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

All families eligible to occupy a dwelling in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For Traditional Application Process (TAP) of a mortgage insurance application, the sponsor will have an initial conference with the local HUD Multifamily Hub or Program Center to determine the preliminary feasibility of the project before a site appraisal and market analysis application, or a firm commitment application is submitted. For Multifamily Accelerated Processing (MAP) for new construction or substantial rehabilitation loans, the sponsor works with a MAP-approved lender who submits certain required exhibits for the preapplication stage. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For traditional processing, the application for a Firm Commitment is submitted by the sponsor through a HUD-approved mortgagee, and the application is processed by the Multifamily Hub or Program Center. For Multifamily Accelerated Processing (MAP), the application for a Firm Commitment, including a full underwriting package, is submitted by the MAP leader for HUD

Field Office review. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The local Multifamily Hub or Program Center reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the Multifamily Hub or Program Center issues the lender a commitment to insure the project mortgage.

Deadlines:

Deadlines are established on a case by case basis by the Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and whether or not Multifamily Accelerated Processing (MAP) or Traditional Application Processing (TAP) is used.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

The maximum amount of the loan may not exceed 90 percent of the estimated replacement cost for new construction. For substantial rehabilitation projects, the maximum mortgage amount is 90 percent of the estimated cost of repair and rehabilitation and the estimated value of the property before the repair and rehabilitation project. The HUD application processing fee is \$3 per \$1,000 of the mortgage amount. The HUD inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit annual financial statements to HUD. All approved mortgagees must furnish copies of their latest financial statements at any time upon request by HUD.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Reported under program 14.135.

Range and Average of Financial Assistance:

Loan sizes range from \$1,365,500 to 45,495,200, with an average of \$17.9 million. Project sizes range from 48 units to 340 units with an average of 138 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured one project with 420 units, totaling \$29.4 million. It is estimated that the Department will insure approximately the same number of mortgages during fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 220.1 et seq.; Fact Sheet: Urban Renewal Housing (Mortgage Insurance), no charge; Rental Housing in Urban Renewal Areas for Project Mortgage Insurance, HUD Handbook 4555.1, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Field Office listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or contact the nearest HUD Field Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.134, Mortgage Insurance Rental Housing; 14.135, Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.142 PROPERTY IMPROVEMENT LOAN INSURANCE FOR IMPROVING ALL EXISTING STRUCTURES AND BUILDING OF NEW NONRESIDENTIAL STRUCTURES

(Title I)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To facilitate the financing of improvements to homes and other existing structures and the building of new nonresidential structures.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to finance alterations, repairs, and improvements for existing structures and the building of new nonresidential structures which substantially protect or improve the basic livability or utility of the properties. The maximum loan amount is \$25,000 for improving a single family home or for improving or building a nonresidential structure. For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

Applicant Eligibility:

Eligible borrowers include the owner of the property to be improved, a lessee having a lease extending at least 6 months beyond maturity of the loan, or a purchaser of the property under a land installment contract.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

The responsibility for credit approval of borrowers is exercised by HUD-insured lenders. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The borrower applies directly to an insured lender, or through a lender's approved dealer. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

HUD does not participate in the approval or disapproval of individual loans.

Appeals:

Reapplication in case of refusal should be submitted to an approved lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

HUD insures private lenders against losses of up to 90 percent of any single loan with maximum insurance coverage limited to a total of 10 percent of the total amount insured. The interest rate is a negotiable interest rate agreed upon between the borrower and lender. The annual insurance charge is \$1 per \$100 of the amount advanced.

Length and Time Phasing of Assistance:

Loans mature in 20 years and 32 days or earlier. Loans may be refinanced, but may not extend beyond 30 years from the date of the original note.

Reports:

None.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of lenders in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Loans insured) FY 07 \$42,413,306; FY 08 est \$54,367,000; and FY 09 est \$54,367,000.

Range and Average of Financial Assistance:

The maximum loan amount is \$25,000 for improving a single family home or

for improving or building a nonresidential structure. For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured 2,970 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Fixing Up Your Home," HUD-52-H(7), no charge.

Regional or Local Office:

Contact HUD at 800-767-7468 for a program brochure #2651 and list of lenders.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

10.417, Very Low-Income Housing Repair Loans and Grants; 14.108, Rehabilitation Mortgage Insurance; 14.110, Manufactured Home Loan Insurance; Financing Purchase of Manufactured Homes as Principal Residences of Borrowers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.149 RENT SUPPLEMENTS-RENTAL HOUSING FOR LOWER INCOME FAMILIES

(Rent Supplement Program)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Urban Development Act of 1965, Public Law 89-117, 12 U.S.C. 1701(s).

OBJECTIVES:

To make good quality rental housing available to low income families at a cost they can afford.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

HUD makes payments to owners of approved HUD-insured and non-insured multifamily rental housing projects to supplement the partial rental payments of eligible tenants. Rental projects were required to be part of an approved workable program for community improvement or be approved by local government officials. Assistance covers the difference between the tenant's payment and the basic market rental, but may not exceed 70 percent of the market rental. The tenant's payment is between 25 and 30 percent of monthly adjusted income or 30 percent of market rental, whichever is greater. This program is inactive. No new projects are being approved; however, tenants may apply for admission to existing projects.

Applicant Eligibility:

Eligible sponsors included nonprofit, cooperative, builder-seller, investor-sponsor, and limited-distribution mortgagors.

Beneficiary Eligibility:

Families incomes must be within the income limits prescribed for admission to Section 8 housing in order to qualify for benefits under this program. Families may continue in occupancy if 30 percent of monthly income exceeds the market rent.

Credentials/Documentation:

Applications for new projects are no longer being accepted. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applications for new projects are no longer being accepted. The sponsor arranged a preapplication conference with the local HUD Multifamily Hub or Program Center to determine the need for rent supplement assistance to the project before a formal application is submitted. Applications for new projects and unit increases for existing projects are no longer being accepted. All existing FHA-insured projects are being converted to the Section 8 Housing Assistance Payments program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372. No applications for new projects are being accepted.

Application Procedure:

No applications for new projects are being accepted. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

HUD makes monthly payments to project owners to make up the difference between the partial rentals paid by assisted tenants and the market rentals. Assisted tenants must pay between 25 and 30 percent of their adjusted monthly income (after certain deductions) or 30 percent of the market rent, whichever is greater. This program has maintenance of effort (MOE) requirements, see funding agency for further details. Statistical factors used for fund allocation do not apply for this program. The statistical factor used for eligibility is 80 percent of the median family income by HUD field office region, the source calculated by HUD from P-25, Census; 1990 Census; County Business Patterns; P-60, Census; and the Annual Housing Survey.

Length and Time Phasing of Assistance:

The rent supplement contract is limited to the term of the mortgage or 40 years from the date of the first payment made under the contract, whichever is less. Individual family's assistance ceases when 30 percent of income is equal to or exceeds the market rent.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage terms must be reported. All mortgagors of HUD-insured projects are required to submit an annual financial statement to HUD.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to maintain records in accordance with acceptable mortgage practices of lending institutions and the HUD regulations. All tenants who benefit from supplements must have their incomes recertified annually.

Account Identification:

86-0129-0-1-604.

Obligations:

(Outlays) FY 07 not separately identifiable; FY 08 est not separately identifiable; and FY 09 est not separately identifiable.

Range and Average of Financial Assistance:

See USES AND USE RESTRICTIONS.

PROGRAM ACCOMPLISHMENTS:

No new commitments for additional projects will be made under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Rent Supplements, no charge; 24 CFR 215; HUD Handbook 4350.3.

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV.

Headquarters Office:

For Management Information, Director, Office of Multifamily Asset Management, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3730.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

14.103, Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families; 14.134, Mortgage Insurance_Rental Housing; 14.138, Mortgage Insurance_Rental Housing for the Elderly; 14.139, Mortgage Insurance_Rental Housing in Urban Renewal Areas.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.151 SUPPLEMENTAL LOAN INSURANCE-MULTIFAMILY RENTAL HOUSING

(241(a))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Section 241, Public Law 90-448, 12 U.S.C. 1715, Public Law 94-375, 12 U.S.C. 1715z-6.

OBJECTIVES:

To provide good quality rental housing for the elderly.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 241(a) insures lenders against loss on loans made either (1) to finance additions and improvements of multifamily housing projects, nursing homes, hospitals and group practice facilities already subject to HUD/FHA insured

mortgages or mortgages held by HUD, or (2) to finance energy conservation improvements. The proceeds of a loan involving an insured nursing home, hospital or a group practice facility may also be used to purchase equipment to be used in the operation of such nursing home or facility. The maximum insurable loan for an insured project is an amount which, when added to the outstanding balance of the existing insured mortgage, does not exceed the amount insurable under the program pursuant to which the mortgage covering such project or facility is insured. Where the project is covered by a mortgage held by the Secretary the principal amount of the loan shall be in an amount acceptable to the Secretary. Contractors of substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act. Section 241(a) for apartments requires appropriated credit subsidy, which is limited.

Applicant Eligibility:

Owners of a multifamily project or facility already subject to a mortgage insured by HUD or held by HUD.

Beneficiary Eligibility:

Individuals/families and owners of multifamily projects.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The sponsor will have a preapplication conference with the local HUD Multifamily Hub and Program Center which does multifamily processing to determine the preliminary feasibility of making the proposed improvements before a formal application is submitted. This program is excluded from coverage under OMB Circular No. A-102. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The sponsor submits a formal application for review and approval or disapproval through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

If the proposed improvements meets program requirements, the local HUD Multifamily Hub and Program Center issues a commitment to the lender to insure the mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub and Program Center.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and workload in the HUD Multifamily Hub and Program Center.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

The amount of the loan may not exceed 90 percent of the estimated value of the improvements, additions, or equipment.

Length and Time Phasing of Assistance:

A loan for a project with a HUD-insured or HUD-held mortgage must have a maturity acceptable to HUD.

Reports:

Any change of the borrower during the period of loan insurance must be approved by HUD. Defaults in meeting the loan terms must be reported. All borrowers are required to submit an annual financial statement to HUD. All approved lenders at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the lender or borrower in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Loans insured) FY 07 \$5,477,300; FY 08 est \$7,500,000; and FY 09 est

\$10,000,000.

Range and Average of Financial Assistance:

Loan sizes typically range from \$250,000 to \$1,500,000, with an average of \$1,375,000. Project sizes range from 20 units to 290 units with an average of 204 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 HUD insured 4 mortgages with 819 units/beds, totaling \$5.5 million. It is estimated that the Department will insure approximately the same number of mortgages in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR, 241 et seq.; HUD Handbook 4585.1 "Supplemental Loans for Project Mortgage Insurance," Section 241, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

All projects are processed in Regional and Local Multifamily Hub or Program Center. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or contact the nearest HUD Field Office in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, Washington, DC 20411. Telephone: (202) 708-2556. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.129, Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.155 MORTGAGE INSURANCE FOR THE PURCHASE OR REFINANCING OF EXISTING MULTIFAMILY HOUSING PROJECTS

(Section 223(f)(207))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, Section 223(f), as amended; Housing and Community Development Act of 1974, as amended, Public Law 93-383. 12 U.S.C. 1715n.

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders for the purchase or refinancing of existing multifamily housing projects, whether conventionally financed or subject to federally insured mortgages at the time of application for mortgage insurance. The program allows for the long term mortgages that can be financed with Ginnie Mae Mortgage-Backed Securities.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Section 223(f) insures lenders against loss on mortgage loans. These loans may be used to purchase or refinance existing multifamily housing projects. Only projects not requiring substantial rehabilitation are acceptable under this section. The estimated cost of required repairs may not exceed 15 percent of the estimated value after repairs or \$6,500 per unit adjusted by a high cost factor, whichever is greater and may not involve the replacement of more than one major system. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-value and debt service limitations. Prevailing wage requirements under the Davis-Bacon Act do not apply to this program.

Applicant Eligibility:

Mortgagors may be either private or public. The property must consist of not less than 5 living units and must meet the following criteria: (1) the mortgage cannot exceed 85 percent of the HUD estimated value of the project; (2) three years must have elapsed from the later date of completion of project construction or substantial rehabilitation, or the beginning occupancy date of application for mortgage insurance; (3) the remaining economic life of the project must be long enough to permit at least a ten-year mortgage term or 75 percent of the estimated life of the physical improvements.

Beneficiary Eligibility:

All persons are eligible to occupy such projects subject to normal occupancy restrictions.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the purchaser or existing mortgagor in refinance projects are assembled by the mortgagee and submitted with the application. Management plan must be submitted at time of application for commitment. This program is

excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For Traditional Application Process (TAP) before the application is filed, the sponsor has a preapplication conference with local HUD Multifamily Hub and Program Center Staff. For Multifamily Accelerated Processing (MAP) there is no preapplication stage for Section 223(f). The MAP-approved lender prepares and submits the application for a Firm Commitment, including a full underwriting package for HUD Multifamily Hub or Program Center review. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

The applicant will submit a formal application for conditional or firm commitment through a HUD approved mortgagee. This program is excluded from coverage under OMB Circular No. A-110. An environmental assessment is required.

Award Procedure:

The local HUD Multifamily Hub and Program Center reviews the application to determine proposal eligibility. If the project meets program requirements, the local HUD Multifamily Hub and Program Center issues the commitment to the lender to insure the project.

Deadlines:

Deadlines are established on a case-by-case basis.

Range of Approval/Disapproval Time:

Processing time depends on the degree of preparation of the sponsor, and whether MAP or TAP is used.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for denial.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

The maximum amount of the loan will not be in excess of 85 percent of the estimated value.

Length and Time Phasing of Assistance:

The mortgage term should not be less than 10 years nor should it exceed the lesser of 35 years or 75 percent of the estimated remaining economic life of the physical improvements.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by HUD. Defaults in meeting the mortgage term must be reported. All mortgagors are required to submit annual financial statements to HUD. All approved mortgagees at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages Insured) FY 07 \$1,509,619,356; FY 08 est \$1,125,000,000; and FY 09 est \$1,575,000,000.

Range and Average of Financial Assistance:

Loan sizes range from \$478,700 to \$14,300,000, with an average of \$3.5 million. Project sizes range from 8 units to 364 units with an average of 121 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured mortgages for 355 projects with 29,284 units, totaling \$1.1 billion. It is estimated that the Department will insure the same number of mortgages in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Section 223(f) Mortgage Insurance; 24 CFR 200, HUD Handbook 4565.1, Mortgage Insurance for the purchase or Refinancing of Existing Multifamily Housing Projects, Section 223(f) available on www.hudclips.org. The Multifamily Accelerated Processing (MAP) guide may be found at <http://www.hud.gov/offices/hsg/mfh/map/maphome.cfm>.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.134, Mortgage Insurance Rental Housing.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.157 SUPPORTIVE HOUSING FOR THE ELDERLY

(202)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1959 (12 U.S.C. 1701q) as amended by section 801 of the Cranston-Gonzalez National Affordable Housing Act (Public Law 101-625 approved November 28, 1990); The Housing and Community Development Act of 1992 (Public Law 102-550 approved October 28, 1992); The Rescissions Act (Public Law 104-19 enacted on July 27, 1995); The American Homeownership and Economic Opportunity Act of 2000 (Public Law 106-569 approved December 27, 2000); and The Consolidate Appropriations Act of 2008 (Public Law 110-161 approved December 26, 2007).

OBJECTIVES:

To expand the supply of housing with supportive services for the elderly, including the frail elderly.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Capital advances shall be used to finance the construction or rehabilitation of a structure or portion thereof, or the acquisition of a structure to provide supportive housing for the elderly, which may include the cost of real property acquisition, site improvement, conversion, demolition, relocation and other expenses of supportive housing for the elderly. Project rental assistance is used to cover the difference between the HUD-approved operating cost per unit and the amount the tenant pays.

Applicant Eligibility:

Eligible Sponsors include private nonprofit organizations and nonprofit consumer cooperatives. Eligible Owner entities include private nonprofit corporations, nonprofit consumer cooperatives, and if the proposed project involves mixed-financing, for-profit limited partnerships with a nonprofit entity as the sole general partner. Public bodies and their instrumentalities are not eligible Section 202 applicants.

Beneficiary Eligibility:

Beneficiaries of housing developed under this program must be elderly (62 years of age or older) and have very low-incomes.

Credentials/Documentation:

The Sponsor/Owner must receive certification of eligibility from HUD. The Owner must submit financial documents to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the HUD-approved capital advance amount, up to a maximum of \$25,000 for national Sponsors or up to \$10,000 if not a national Sponsor. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A Notice of Fund Availability is published in the Federal Register each fiscal year announcing the availability of funds to HUD Field Offices. Applicants must submit a Request for a Fund Reservation, using Form HUD-92015-CA, Section 202 application for capital advance, in response to the Notice of Fund Availability (or a Funding Notification issued by the local HUD Field Office). The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on environment. This program is excluded from coverage under OMB Circular No. A-110. All applications must be submitted via www.grant.gov.

Award Procedure:

Applications are reviewed and selected for funding within the funding allocation of the particular HUD Field Office. Those selected for funding must meet basic program requirements including, but not limited to: eligibility as a private nonprofit organization, commitment to provide the minimum capital investment and prior experience in housing or related supportive service activities. The Request for Capital Advance Financing, using Form HUD-92013, is reviewed to

update the determination of acceptability of project site and market, correctness of zoning, and effect on environment, as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Applications must be submitted within the time period specified in the Notice of Fund Availability (or Funding Notification), usually 60 to 90 days.

Range of Approval/Disapproval Time:

At the fund reservation stage, the Sponsor usually is advised of the decision within 4 to 5 months from the end of the application period, but in any event, usually by the end of the same fiscal year (September 30).

Appeals:

During the period of competition, Sponsors whose applications are rejected by the HUD Field Office due to noncompliance with program requirements, have the right to appeal the rejection within 14 calendar days from the date of HUD's written notice of rejection. Also, HUD Field Offices may issue Notices of Intent to Cancel a Fund Reservation to Sponsors/Owners who have been approved for a fund reservation, but whose projects are not progressing towards a construction start in a satisfactory manner and within the term of the fund reservation, including any approved extensions of time. Under these circumstances, Sponsors/Owners have the right to appeal HUD's Notice of Intent to Cancel a Fund Reservation within 30 days of HUD's written notice.

Renewals:

Not applicable to Section 202 capital advances. However, Project Rental Assistance Contracts may be renewed for up to a one-year term after the initial 3-year term subject to the availability of funds.

Formula and Matching Requirements:

This program has maintenance of effort (MOE) requirements, see funding agency for further details. The formula used for allocating funds consists of one data element from the 2000 Census: Number of one-person elderly renter households (householder age 62 and over) with income at or below the applicable Section 8 very low-income limit, and with housing condition. No match required.

Length and Time Phasing of Assistance:

The capital advance is not repayable so long as the project is available to very low income elderly for 40 years. Project Rental Assistance Contract payments may not exceed 3 years. However, contracts are renewable for up to a one-year term based on availability of funds. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction for work in place.

Reports:

Any change in the Owner during the period of the capital advance must be approved by HUD. All Owners will be required to submit a financial statement and form HUD-96010 Program Outcome Logic Model to HUD on an annual basis.

Audits:

HUD reserves the right to audit the accounts of the Owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project rental assistance contract.

Account Identification:

86-0164-0-3-371; 86-4588-0-3-371; 86-0320-0-3-371.

Obligations:

(Obligations) FY 07 \$750,264,401; FY 08 est \$795,262,000; and FY 09 est \$791,303,000.

Range and Average of Financial Assistance:

\$717,700 to \$12,560,400.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, HUD funded 3,857 units under this program. HUD anticipates a similar level of funding in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 891; HUD Handbooks 4571.3 REV-1 and 4571.5; and Notice H 96-102, as extended.

Regional or Local Office:

Contact the appropriate HUD office listed in the Catalog Address Appendix IV.

Headquarters Office:

Office Housing Assistance and Grants Administration, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3000.

Web Site Address:

<http://www.hud.gov> and www.grants.gov.

RELATED PROGRAMS:

14.181, Supportive Housing for Persons with Disabilities; 14.195, Section 8 Housing Assistance Payments Program, Special Allocations.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.159 SECTION 245 GRADUATED PAYMENT MORTGAGE PROGRAM

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act of 1934, Section 245, Public Law 73-479; Housing and Community Development Act of 1974, Section 308, Public Law 93-383, as amended; Housing and Community Development Amendments of 1979, Section 245(b).

OBJECTIVES:

To facilitate early home ownership for households that expect their incomes to rise. Program allows homeowners to make smaller monthly payments initially and to increase their size gradually over time.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These mortgage loans may be used by owner-occupant mortgagors to finance the purchase of proposed, under construction, or existing single family housing, and condominiums. Maximum insurable mortgage is the property's sales price (or appraised value, if less) exclusive of any borrower paid closing cost multiplied by a percent that is determined by the sales price or value if less and the average closing cost for the State or 97 percent of the appraised value and closing costs of the property, minus all of the deferred interest which would accrue during the graduated term. This determines the maximum mortgage that FHA will insure, provided the mortgagor makes a cash investment of at least 3 percent into the property which may include closing costs.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply for Section 245(a).

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the applicant are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application conference with the mortgage lender submitting the application is suggested. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

None.

Formula and Matching Requirements:

Formula and Matching Requirements: Formula and Matching Requirements: For most families, the maximum amount of the loan is the property's sales price (or appraised value, if less) exclusive of any borrower paid closing cost multiplied by a percent that is determined by the sales price or value, if less and the average closing cost for the State. This determines the maximum mortgage that FHA will insure, provided the mortgagor makes a cash investment of at least 3 percent into the property, which may include closing costs. The downpayment is the difference between the maximum loan amount and the purchase price of the home. In addition to the downpayment, the purchaser must pay for all items of prepaid expense. Risk based premiums are collected including (1) an upfront premium that may be financed and (2) a periodic premium that is paid monthly. The loan origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage (minus the mortgage insurance premium, if being financed). Mortgagors may be charged appraisal and inspection fees in accordance with fee schedules established by HUD.

Length and Time Phasing of Assistance:

The term of the mortgage is limited to 30 years.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

HUD reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.117.

Range and Average of Financial Assistance:

See Uses and Use Restrictions.

PROGRAM ACCOMPLISHMENTS:

No Graduated Payment Mortgage loans were made in 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Move in...With A Graduated Payment Mortgage", no charge; "Lending and Selling With A Graduated Payment Mortgage", no charge; 24 CFR 203.45; HUD Handbook 4240.2, no charge.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.117, Mortgage Insurance_Homes; 14.122, Mortgage Insurance_Homes in Urban Renewal Areas; 14.172, Mortgage Insurance_Growing Equity Mortgages.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.162 MORTGAGE INSURANCE-COMBINATION AND MANUFACTURED HOME LOT LOANS

(Title I)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To make possible reasonable financing for the purchase of a manufactured home and a lot on which to place the home.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. Insured loans may be used to purchase manufactured homes and lots for buyers intending to use them as their principal places of residence. The maximum mortgage amount is \$64,800 for a manufactured home and a suitably developed lot and \$16,200 for a developed lot only. The lot must be appraised by the HUD-approved appraiser. Maximum loan limits may be increased in designated high-cost areas.

Applicant Eligibility:

All persons are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit, HUD-approved appraisal of the lot, and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted to a HUD-approved lender for review and approval. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

HUD does not participate in the approval or disapproval of individual loans.

Appeals:

The applicant may reapply.

Renewals:

None.

Formula and Matching Requirements:

HUD insures private lenders against losses of up to 90 percent of any single loan with maximum insurance coverage limited to a total of 10 percent of the total amount insured. A downpayment is required. The interest rate is a negotiable interest rate agreed upon between the borrower and lender. Annual insurance charges start at \$1.00 per \$100 of the amount advanced.

Length and Time Phasing of Assistance:

The maximum loan term is 20 years for a single-module home and lot; 25 years for a multiple-module home and lot; and 15 years for a lot only.

Reports:

None.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of lenders in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.110.

Range and Average of Financial Assistance:

The maximum mortgage amount is \$64,800 for a manufactured home on a suitably developed lot and \$16,200 for a developed lot only.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured 1,386 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Financing Manufactured Homes," HUD-265-H(10), no charge; 24 CFR Part 201.

Regional or Local Office:

None. Contact HUD at 800-767-7468 for program brochure #4340.

Headquarters Office:

Director, Home Mortgage Insurance Division, Department of Housing and Urban Development, 451 7th Street, S.W., Room 9266, Washington, DC 20410. Telephone: (202) 708-2121.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

14.110, Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers; 14.127, Mortgage Insurance_Manufactured Home Parks; 14.142, Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.163 MORTGAGE INSURANCE-SINGLE FAMILY COOPERATIVE HOUSING

(203(n))

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act; as amended, Section 203(n), Emergency Home Purchase Assistance Act of 1974, Public Law 93-449, 88 Stat. 1364.

OBJECTIVES:

To provide insured financing for the purchase of the Corporate Certificate and Occupancy Certificate for a unit in a cooperative housing project. Ownership of the corporate certificate carries the right to occupy the unit located within the cooperative project.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These insured loans may be used to assist individuals or families in acquiring corporate certificates and occupancy certificates in a cooperative housing project that is covered by a blanket mortgage insured under the National Housing Act. The maximum insurable mortgage loan is limited to the maximum limit under Section 203(b) minus the portion of the unpaid balance of the project mortgage attributable to the dwelling unit.

Applicant Eligibility:

Potential owner-occupant mortgagors are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the

qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval or disapproval to the HUD Homeownership Center having jurisdiction over the area where the property is located through a HUD approved lending institution. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

For most families, the maximum amount of the loan is the same as under 203(b) - program 14.117. The unit's share of the project mortgage is deducted from the maximum potential amount to determine the maximum Section 203(n) loan. As of July 1, 1991, risk-based mortgage insurance premiums will be collected including: 1) an up-front premium which may be financed and 2) a periodic premium which is paid monthly. The origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 30 years or the remaining term of the blanket mortgage covering the cooperative development, whichever is less.

Reports:

Defaults in meeting the mortgage terms must be reported. Any approved mortgagee, at any time upon request by HUD, must furnish a copy of its latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.117.

Range and Average of Financial Assistance:

See Uses and Use Restrictions.

PROGRAM ACCOMPLISHMENTS:

There has been very little activity under this program in recent years.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD 4240.3, Section 203(n) Application Through Insurance (Single Family) no charge; 24 CFR 203.43c and 203.437.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>) or the nearest HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.117, Mortgage Insurance_Homes; 14.132, Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.164 OPERATING ASSISTANCE FOR TROUBLED MULTIFAMILY HOUSING PROJECTS

(Flexible Subsidy Fund; Troubled Projects)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Amendments of 1978, as amended, Public Law 95-557, 12 U.S.C. 17152-1.

OBJECTIVES:

To provide loans to restore or maintain the physical and financial soundness, to assist in the management and to maintain the low-to moderate-income character of certain projects assisted or approved for assistance under the National Housing Act or under the Housing and Urban Development Act of 1965.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Flexible Subsidy funds can be lent to eligible projects in an amount based on the project's total needs. It may be used to correct physical deficiencies resulting from deferral of regular maintenance; to reduce deficiencies in replacement reserve funds; and to fund operating deficits. Flexible Subsidy assistance is limited to projects assisted under Section 236 or Section 221 (d) (5) of the National Housing Act; those assisted under Section 101 of the HUD Act of 1965 (Rent Supplement) or when the Section 101 assistance has been converted to Section 8 Loan Management Set Aside (LMSA); direct loans at below market interest rates made under Section 202 of the Housing Act of 1959; housing assistance payments made under Section 23 of the U.S. Housing Act of 1937 or Section 8 of the U.S. Housing Act of 1937 after conversion from assistance under the Section 236 Rental Assistance Payments Program or the Rent Supplement Program. Some of the Flexible Subsidy resources are being used in connection with Safe Neighborhood Action Plans.

Applicant Eligibility:

Eligible owners are nonprofits, with existing loans from HUD under the Section 202 program. Public bodies do not qualify for this program. Field Offices recommend projects to be assisted.

Beneficiary Eligibility:

Tenants will benefit because this will allow decent, safe and sanitary conditions in projects. Additionally, this program will guarantee good management in those projects selected.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of managing agents and owners are assembled by the owners and submitted to the Field Office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Assurance must be gained from the appropriate unit of local government that essential services will be provided to the project; the real estate taxes will be assessed in a manner consistent with normal assessment procedures; and that assistances not inconsistent with local plans and priorities. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Because of the limited funding, Flexible Subsidy funds are strictly reserved for the emergency needs of 202 projects. Such projects, must have been in occupancy for at least fifteen (15) years and have emergency health and safety needs. Deferred maintenance or items that did not exist at the time the project was finally endorsed, e.g., adding air conditioning to an entire project, will not be approved for Flexible Subsidy Operating Assistance funding.

Award Procedure:

For most of the Flexible Subsidy assistance, funds are assigned from HUD Headquarters to the HUD Field Offices, for specific projects identified by the Field Offices as having priority based on established criteria.

Deadlines:

Funds are awarded until exhausted.

Range of Approval/Disapproval Time:

None.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

No contribution is required for nonprofit sponsors.

Length and Time Phasing of Assistance:

Generally on a monthly basis. Operating Assistance is usually for a one year period and is paid according to pre-approved work schedule. Capital Improvement Loan proceeds are disbursed until construction is completed.

Reports:

Quarterly assessment and review of owner performance. Monthly reporting of individual disbursements and action items. Periodic on-site visits. Owners must electronically file an annual financial statement with HUD, audited and prepared in accordance with Generally Accepted Accounting Principals and Generally Accepted Auditing Standards.

Audits:

Federal audits will be made in accordance with the policies of FMC 73-2 to ensure that funds have been applied efficiently, economically, and effectively.

Records:

Owner keeps all records relating to MIO Plan transactions for at least 5 years. Field Office retains all records relating to assistance for 5 years.

Account Identification:

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

See Uses and Use Restrictions.

PROGRAM ACCOMPLISHMENTS:

No activity is anticipated in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR part 219, HUD Handbook 4355.1.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hubs and Program Centers listed at <http://www.hud.gov/fha/mfh/fhame/mieforms/lkmfdirs.html> or the nearest HUD Field Office listed in the Appendix IV of the Catalog.

Headquarters Office:

Office of Housing Assistance and Grants Administration, Department of Housing and Urban Development, Washington, DC 20420. Telephone: (202) 708-2866. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Section 202 projects for the elderly or disabled with emergency health and safety problems whose sponsors do not have the necessary funds available to cure the immediate problems.

CRITERIA FOR SELECTING PROPOSALS:

First and foremost the applicant must be able to demonstrate that the requested items meet the guideline for emergency. After which, assistance will be granted only if the: (1) assistance, in concert with one or more other relief tools, will restore or maintain physical and financial soundness and the low-or moderate-income nature of the project; (2) assistance is less costly to the Federal government than any other available; (3) project owner, except for nonprofit projects, agrees to provide assistance to the project; (4) project can be made structurally sound with this assistance; (5) project management is at least satisfactory; and (6) project is operated and managed in accordance with the terms of the Management Improvement and Operation (MIO) Plan designed to reduce the operating costs of the project.

14.167 MORTGAGE INSURANCE-TWO YEAR OPERATING LOSS LOANS, SECTION 223(D)

(Two Year Operating Loss Loans)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, Public Law 90- 448, as amended, Public Law 91-152, 12 U.S.C. 1715x.

OBJECTIVES:

To insure a separate loan covering operating losses incurred during the first 2 years following the date of completion or any other 2-year period occurring within 10 years following the date of completion of a multifamily project with a HUD-insured first mortgage.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Eligibility is limited to currently insured HUD projects. Section 223(d) insures a loan to cover the excess of expenses over project gross income incurred during the first two years following the date of completion of the project or for any other two-year period within 10 years of project completion, for such amounts and upon such terms and conditions as HUD may prescribe. A project can receive both loans but not for the same two year period. The loan is insured by HUD under the same section as the original mortgage in an amount not exceeding the excess of operating expenses over project income. The loan term is limited to the unexpired term of the original mortgage. This program requires appropriated credit subsidy, which is limited.

Applicant Eligibility:

Owners of a multifamily project or facility subject to a mortgage insured by HUD are eligible to apply.

Beneficiary Eligibility:

Individuals/families and property owner.

Credentials/Documentation:

A formal application for insurance accompanied by a financial statement prepared by an independent certified public accountant or independent public accountant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The HUD Multifamily Hub and Program Center will hold a preliminary conference with the sponsor to provide general application guidance. This

program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The sponsor submits a formal application through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Information submitted by the applicant will be reviewed and analyzed using data available through office records, field review and general current working knowledge of the project and background. A determination is then made as to the maximum insurable loan amount.

Deadlines:

Application shall be made within three years after the end of the two-year operating loss period for the 2-year loan. A loan covering losses occurring within 10 years of project completion must be made within 10 years of the end of the 2-year loss period covered by the loan.

Range of Approval/Disapproval Time:

Processing time will be dependent upon the degree of preparation by the sponsor and HUD Multifamily Hub or Program Center workload.

Appeals:

If an application for operating loss loan is refused, HUD will state the reason for the refusal.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount of the 2-year loan shall be limited to the lesser of (1) The amount of the operating loss loan requested; (2) the amount of the operating loss established by HUD review or, (3) the maximum loss supported by debt service limitation. The 10-year loan shall be further limited to 80 percent of unreimbursed funds invested in the project.

Length and Time Phasing of Assistance:

The loan may not exceed the remaining term of the insured mortgage.

Reports:

Defaults in meeting the mortgage terms must be reported.

Audits:

HUD reserves the right to audit the accounts of the mortgagee or mortgagor to determine compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Loans insured) FY 07 \$7,044,000; FY 08 est \$11,250,000; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

Loan sizes range from \$750,000 to \$7,000,000 with an average of \$2.0 million. Project sizes range from 117 to 210 units with an average of 151 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 HUD insured mortgages for one operating loss loan with 210 units/beds, totaling \$7.0 million. It is estimated that approximately the same number of projects will be insured in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 207.4(f) et seq; HUD Handbook 4470.1 REV-2 CHG-1 (Chapter 17), "Operating Loss Loans", no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

All projects are processed in HUD Multifamily Hubs or Program Centers. Persons are encouraged to communicate with the nearest local Multifamily Hub or Program Center. These offices are listed under HUD resources on the Multifamily industry website at: http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.168 LAND SALES-CERTAIN SUBDIVIDED LAND

(Interstate Land Sales Registration Program)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Interstate Land Sales Full Disclosure Act, as amended, Public Law 90-448, Title XIV, 15 U.S.C. 1701; Public Law 96-153.

OBJECTIVES:

To provide consumer protection by prohibiting fraudulent practices and requiring full disclosure of conditions surrounding the purchase of subdivided land covered by the Act.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Developers that sell, lease, or offer to sell or lease land divided into 100 or more nonexempt lots, using any means or instruments of transportation or communication in interstate commerce or the mails must register with the Department of Housing and Urban Development. The developers must provide all purchasers with a copy of a Property Report which sets forth important facts about the development and the developer. Failure to provide a Property Report prior to the purchaser signing a purchase agreement subjects the developer to statutory and regulatory penalties. Anti-fraud provisions of the Act are applicable to subdivisions of 25 lots or more. Failure to comply with the anti-fraud provisions subjects developers to civil and criminal penalties. Other consumer protection provisions include a seven-day cooling-off period and required contractual provisions. The Act and regulations provide exemption from full disclosure where a subdivision meets certain requirements.

Applicant Eligibility:

Certain land developers are required to submit a filing in compliance with specific registration requirements.

Beneficiary Eligibility:

Any person who purchases a lot in a covered subdivision is entitled to certain rights and remedies.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Developers wishing to register a subdivision should contact the Office of RESPA and Interstate Land Sales, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Developers file an extensive statement of record (including the Property Report) disclosing all pertinent facts about the land, its ownership, control, amenities, restrictions, nature of purchase or lease transaction, and other required information. Specific requirements set forth in Federal Register, Title 24, Chapter X, Part 1710 as amended by Public Law 96-153. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

An effective date is issued after all materials have been submitted and found to be in compliance with the registration requirements.

Deadlines:

No deadlines. An effective date of registration must be issued by HUD before the developer commences any non-exempt sales or lease program.

Range of Approval/Disapproval Time:

Not applicable. The Federal government does not issue approval/disapproval of subdivision or offering. HUD must notify developers of deficiencies in disclosure materials within 30 days after receipt. Filings become effective at the end of 30 days or can be declared effective upon correction of deficiencies.

Appeals:

Any developer receiving a deficiency notice, notice of proceeding, suspension order, notice of exemption eligibility termination or notice of civil money penalties may request a formal hearing.

Renewals:

An annual report of activity accompanied by any applicable amendments and fees, must be submitted within 30 days of the annual anniversary of the effective date of the initial filing.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

An annual report of activity within 30 days of the annual anniversary of the effective date of the filing. Financial statements are due within 120 days after the close of the developer's fiscal year.

Audits:

Not applicable.

Records:

The developer must retain copies of Property Report receipts for a period of three years from the date of execution or the term of the contract, whichever is longer.

Account Identification:

86-5270-0-2-376.

Obligations:

FY 07 \$665,000; FY 08 est \$650,000; and FY 09 est \$650,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, HUD received 1305 filings, 846 Annual Reports of Activity, 36 requests for exemption from the registration requirements and 40 requests for Voluntary Suspension of existing registrations. Investigation of violators of the Act led to settlement agreements that are posted on web site. Projected program activity is expected to remain the same in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and Exemption Guidelines appear in 24 CFR Parts 1700, 1710, 1715, 1720, and 1730.

Regional or Local Office:

None.

Headquarters Office:

Office of RESPA and Interstate Land Sales, Department of Housing and Urban Development, 451 7th Street, SW., Ivy M. Jackson, Room 9154, Washington, DC 20410. Telephone: (202) 708-0502.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ils/ilshome.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.169 HOUSING COUNSELING ASSISTANCE PROGRAM**FEDERAL AGENCY:**

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended

OBJECTIVES:

To counsel homeowners, homebuyers, prospective renters and tenants under HUD, conventional and other government programs in improving their housing conditions and in meeting the responsibilities of tenancy and homeownership

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide housing counseling grants to HUD-approved local housing counseling agencies; to HUD-approved national and regional intermediaries; and to State housing finance agencies. Grants provide a variety of housing counseling services, including single family home buying, homeownership, mortgage default, HECM, rental, and rental delinquency under HUD and other programs.

Applicant Eligibility:

Qualified public or private nonprofit organizations. There are three categories of eligible applicants: (1) HUD-approved local housing counseling agency; (2) HUD-approved national or regional intermediary; and (3) State housing finance agency.

Beneficiary Eligibility:

Individuals, groups of individuals, and families who are renters, tenants, homeowners, and home buyers under HUD, conventional and other government programs.

Credentials/Documentation:

To be eligible to apply for a housing counseling grant through the SuperNOFA, agencies, except state housing finance agencies must be HUD approved. HUD approval must be secured by the SuperNOFA publication date. To become a HUD-approved housing counseling agency, applicants must (1) meet the requirements of the Housing Counseling Handbook 7610.1 as revised; (2) consult the HUD website to learn about the eligibility requirements for becoming HUD-approved and obtain application (available on the HUD website at www.hud.gov/offices/hsg/sfh/hcc/hcc_homes.cfm); and (3) return application to the HUD Homeownership Center (HOC) with jurisdiction, or, if appropriate, HUD Headquarters.

Preapplication Coordination:

The availability of funds (HUD SuperNOFA) is announced in the Federal Register. There is no application kit. Potential applicants and community-based organizations are invited to view and participate in a satellite broadcast that reviews the application process. For a copy of the SuperNOFA, or for the satellite broadcast schedule, applicants can visit the HUD website (www.hud.gov) or call the SuperNOFA Information Center at 1-800-HUD-8929. Persons with hearing or speech impairments may call the Center's TTY number at 1-800-HUD-2209. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit by the required date the items and forms requested in the SuperNOFA, such as a description of the housing counseling services to be provided, and indicators by which benefits / impact will be measured.

Award Procedure:

Applicants will be evaluated competitively and ranked against all other applicants that applied in the same funding category. National and regional

intermediaries are rated and ranked by HUD Headquarters. Local agencies and State housing finance agencies are rated and ranked by the Homeownership Center (HOC) with jurisdiction. A funding formula outlined in the SuperNOFA is used to calculate awards. This program is subject to the administrative requirements established in OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments, which was implemented by 24 CFR part 85; OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations, which was implemented by 24 CFR part 84; and OMB Circular A-133, Audits of States, Local Governments and Nonprofit Organizations, which was implemented by 24 CFR Parts 84 and 85. Grants awarded under this program are also subject to the cost principles in OMB Circular A-87 for State and local governments and Indian tribal governments and OMB Circular A-122 for nonprofit organizations.

Deadlines:

Due dates and times for the receipt of applications for housing counseling grants are specified in the application.

Range of Approval/Disapproval Time:

Award announcements typically occur 5-7 months after applications are received.

Appeals:

Applicants for housing counseling grants may appeal their denial of a grant award or the insufficiency of the grant amount to HUD.

Renewals:

Grantees must submit a new application and compete through the SuperNOFA each year.

Formula and Matching Requirements:

Formula is outlined in the SuperNOFA. This program has no matching requirements. However, applicants are required to demonstrate funding from other resources.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 1 year. Grantees invoice HUD on a periodic basis for housing counseling services delivered. Payments of grant funds are subsequently made to grantees via direct deposit/electronic funds transfer (DD/EFT).

Reports:

Grantees must file periodic invoices including semi-annual performance reports as well as final reports pursuant to grant agreement. Moreover, Form HUD-96010, Housing Counseling Agency Fiscal Year Activity Report is required at year-end from funded agencies.

Audits:

HUD-approved housing counseling organizations are subject to a biennial performance review by HUD to retain status as a HUD-approved counseling agency.

Records:

As required in grant document and Housing Counseling Handbook, HUD 7610.1 REV-4 dated August 1995.

Account Identification:

86-0205-0-1-604.

Obligations:

(Grants) FY 07 \$38,580,000; FY 08 est \$47,000,000; and FY 09 est \$60,000,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: For Fiscal Year 2006, the grants ranged from \$20,000, the minimum award for a local agency, to \$4 million, the maximum award for an intermediary. The average local agency counseling grant was approximately \$36,000. The average intermediary award was approximately \$1.2 million.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, agencies participating in the program provided having counseling services to approximately 1,700,000 households.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation issued September 28, 2007 at 24 CFR Part 214. The revised Housing Counseling Program Handbook 7610.1 REV 4, Change 1, was distributed in October 1997 to all HUD Offices. Copies are available by contacting Headquarters at (800) 767-7468.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State (see http://www.hud.gov/offices/hsg/sfh/hoc/hsg_hocs.cfm), or the nearest local HUD Office. See Catalog address Appendix IV for a list of offices.

Headquarters Office:

Program Support Division, Office of Insured Single Family Housing, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-0317.

Web Site Address:

http://www.hud.gov/offices/hsg/sfh/hcc/hcc_home.cfm.

RELATED PROGRAMS:

14.316, Housing Counseling Training Program.

EXAMPLES OF FUNDED PROJECTS:

Housing Partnership Network-\$2.14 million awarded October 4, 2007.

CRITERIA FOR SELECTING PROPOSALS:

Outlined in SuperNOFA.

14.171 MANUFACTURED HOME CONSTRUCTION AND SAFETY STANDARDS

(Manufactured Housing)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Manufactured Housing Construction and Safety Standards Act, Title VI, Public Law 93-383; 42 U.S.C. 5401 et seq.; as amended; Public Laws 95-128, 96-399, 100-242, 102-550 and 106-569.

OBJECTIVES:

To protect the quality, durability, safety and affordability of manufactured homes; to facilitate the availability of affordable manufactured homes and to increase home ownership for all Americans; to provide for the establishment of practical, uniform, and, to the extent possible, performance-based Federal construction standards for manufactured homes; to encourage innovative and cost-effective construction techniques for manufactured homes; and to protect residents of manufactured homes with respect to personal injuries and the amount of insurance costs and property damages in manufactured housing.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Manufacturers who build "manufactured homes" as defined by the Act, for sale in the United States are subject to the Act and must comply with preemptive Federal Manufactured Home Construction and Safety Standards (24 CFR 3280). Designs of homes are reviewed for compliance, and homes are inspected during construction by HUD-approved third-party inspection agencies. Consumers receiving defective homes have recourse, ranging from the right to be notified that their home contains a defect, to correction by the manufacturer of serious defects and imminent safety hazards. Retailers are prohibited from selling homes which they know are not in compliance with the Federal Standards. As required by 2000 amendments to the Act, the Department has now established installation standards for siting of new manufactured homes. These installation standards cover joining of sections and anchoring, as well as stabilizing and support systems. As required by the same amendments to the Act, the Department has also established a national manufactured home dispute resolution program.

Applicant Eligibility:

Any purchaser of a manufactured home built on or after June 15, 1976, for residential use is automatically covered by the program.

Beneficiary Eligibility:

Any purchaser of a residential manufactured home built on or after June 15, 1976.

Credentials/Documentation:

None required for the purchaser. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Manufacturers must have designs for each manufactured home model to be produced, reviewed and approved by a HUD-approved third-party design inspection agency. Each home must be inspected during at least one phase of construction and every stage of manufacturing process inspected by a third-party in-plant inspection entity. A purchaser may file a complaint with HUD or a HUD-approved State Administrative Agency (SAA). This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Unless defects or noncompliance with the standards are found in homes, manufacturers may label and retailers may sell the units. If a purchaser complains, HUD or an SAA may request an investigation by the manufacturer or the dealer, and may then initiate enforcement actions under applicable regulations (24 CFR 3282). As required by 2000 amendments to the Act, the Department has established a dispute resolution program to provide for the timely resolution of disputes between manufacturers, installers, and retailers regarding responsibility for repairs. Consumers may file complaints and otherwise participate in this program.

Deadlines:

Each manufactured home must bear a manufacturer's certification label, certifying compliance with the Federal Standards, before the home can be sold to a retailer or purchaser.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

A manufacturer may appeal a preliminary determination, and be granted a hearing or presentation of views by HUD or by a State Administrative Agency (SAA) whenever homes are identified as having "serious defects" or "imminent safety hazards," and notification or correction would be required under the

regulations.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

A retailer is required, after selling a manufactured home, to fill out an information card listing the name of the purchaser and the home's location, and to send it to the home manufacturer. A manufacturer is required to maintain records relating to each home's production and service related work.

Account Identification:

86-5271-0-2-376.

Obligations:

FY 07 \$6,510,000; FY 08 est \$16,000,000; and FY 09 est \$16,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Regulatory oversight of over 100,000 new manufactured homes per year. In FY 08, the program has published Model Manufactured Home Installation Standards and will publish Manufactured Home Installation Program Regulations. The program also implemented the Manufactured Home Dispute Resolution Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Manufactured Home Construction and Safety Standards appear in the Code of Federal Regulations at 24 CFR 3280, et seq.; Manufactured Home Procedural and Enforcement Regulations appear at 24 CFR 3282, et seq.

Regional or Local Office:

None.

Headquarters Office:

Office of Manufactured Housing Programs, Office of Regulatory Affairs and Manufactured Housing, Room 9164, Department of Housing and Urban Development, Washington, DC 20410-8000. Telephone: (202) 708-6409. Fax: (202) 708-4213. Toll-free consumer hotline (leave message only): (800) 927-2891. E-mail: mhs@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/mhs/mhshome.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.172 MORTGAGE INSURANCE-GROWING EQUITY MORTGAGES

(GEMs)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT AUTHORIZATION:

National Housing Act, as amended, Section 245(a).

OBJECTIVES:

To provide a rapid principal reduction and shorter mortgage term by increasing payments over a 10-year period, thereby expanding housing opportunities to the homebuying public.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing single family housing, as well as to refinance indebtedness on existing housing, provided certain conditions are met. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - Program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD- approved mortgagee lending institution. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

The maximum amount of the loan is the same as under Section 203(b) - Program 14.117. The down payment is the difference between the maximum loan amount and the purchase price of the home. In addition to the down payment, the purchaser must pay for all items of prepaid expense. As of July 1, 1991, risk-based mortgage insurance premiums will be collected including: 1) an up-front premium which may be financed and 2) a periodic premium which is paid monthly. If property is a condominium unit; the mortgage insurance premium is one-half percent paid monthly. The loan origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage (minus the mortgage insurance premium, if financed). Mortgagors may be charged appraisal and inspection fees in accordance with fee schedules established by HUD.

Length and Time Phasing of Assistance:

The Mortgage terms vary according to the plan chosen.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the Mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending instructions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.117.

Range and Average of Financial Assistance:

The maximum amount of the loan is the same as under Section 203(b) - Program 14.117.

PROGRAM ACCOMPLISHMENTS:

No new loans were insured in Fiscal Year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Departmental memorandums dated June 4 and July 15, 1982.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>) serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

14.108, Rehabilitation Mortgage Insurance; 14.133, Mortgage Insurance_Purchase of Units in Condominiums; 14.159, Section 245 Graduated Payment Mortgage Program; 14.163, Mortgage Insurance_Single Family Cooperative Housing.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.175 ADJUSTABLE RATE MORTGAGES

(ARMS)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT AUTHORIZATION:

National Housing Act, as amended; Housing and Urban-Rural Recovery Act of

OBJECTIVES:

To provide mortgage insurance for an adjustable rate mortgage which offers lenders more assurance of long term profitability than a fixed rate mortgage, while offering consumer protection features.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one- to four-family housing as well as to refinance indebtedness on existing housing. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

The maximum amount of the loan is the same as under Section 203(b) - Program 14.117. The down payment is the difference between the maximum loan amount and the purchase price of the home. In addition to the down payment, the purchaser must pay all items of prepaid expense. As of July 1, 1991, risk-based mortgage insurance premiums will be collected including 1) an up-front mortgage insurance premium which may be financed; and 2) a periodic premium which is paid monthly. If the property is a condominium, the mortgage insurance premium is one-half percent paid monthly. The loan origination charge by the mortgagee varies, but may not exceed one percent of the total mortgage (minus the mortgage insurance premium, if financed). Mortgagors may be charged appraisal and inspection fees in accordance with fee schedules established by HUD. The interest rate adjustments of the mortgage may not increase more than one percent per year or five percent over the term of the mortgage. There is no negative amortization. The mortgagor must receive a disclosure statement explaining the adjustable rate features of their mortgage.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.117.

Range and Average of Financial Assistance:

See Uses and Use Restrictions.

PROGRAM ACCOMPLISHMENTS:

HUD insured 5,204 ARMs in FY 2007 (excludes ARMs reported under 14.183).

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 203.49; 234.79.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State

(see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohocs.cfm>) or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

None.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.117, Mortgage Insurance_Homes; 14.133, Mortgage Insurance_Purchase of Units in Condominiums; 14.159, Section 245 Graduated Payment Mortgage Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.181 SUPPORTIVE HOUSING FOR PERSONS WITH DISABILITIES

(Section 811)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Affordable Housing Act, Public Law 101-625, 42 U.S.C. 8013, 104 Stat. 4324, 4331; Housing and Community Development Act of 1992, Public Law 102-550; the Rescissions Act, Public Law 104-19; American Homeownership and Economic Opportunity Act of 2000, Public Law 106-569; and the Revised Continuing Appropriations Resolution, 2007, Public Law 110-5.

OBJECTIVES:

To provide for supportive housing for very low-income persons with disabilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Capital advances may be used to construct, rehabilitate or acquire structures to be used as supportive housing for persons with disabilities. Project rental assistance is used to cover the difference between the HUD-approved operating costs of the project and the tenants' contributions toward rent (30 percent of adjusted income).

Applicant Eligibility:

Eligible Sponsors are nonprofit organizations with a Section 501(c)(3) tax exemption from the Internal Revenue Service. Eligible Owner entities are nonprofit organizations with a 501(c)(3) tax exemption from the Internal Revenue Service and, if the proposed project involves mixed financing, for-profit limited partnerships with a nonprofit entity as the sole general partner.

Beneficiary Eligibility:

Beneficiary Eligibility: Beneficiaries of housing developed under this program must be very low-income persons with a physical disability, developmental disability or chronic mental illness (18 years of age or older).

Credentials/Documentation:

The nonprofit sponsor and owner must receive certification of eligibility from HUD. The owner must submit financial statements to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the capital advance amount, up to a maximum of \$10,000. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must forward a copy of their applications to the appropriate state or local agency for a review of the supportive services plan and a completed certification from the agency as to whether the provision of services is well designed to meet the needs of the anticipated occupancy must be included in the applicant's submission of its application to the HUD field office. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for applications containing evidence of site control. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A Notice of Funding Availability is published in the Federal Register each fiscal year announcing the availability of funds to HUD Field Offices. Applicants must submit an electronic application through grants.gov in response to the Notice of Fund Availability. The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on the environment. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are reviewed and selected for funding within the funding allocation of the particular HUD Field Office. Those selected for funding must meet basic

program requirements including, but not limited to: eligibility as a nonprofit entity, eligibility as a for-profit limited partnership with nonprofit entity as the sole general partner for mixed-finance projects, financial commitment and prior experience in housing or related service activities. The request for Capital Advance Financing, using Form HUD-92013 is reviewed to update the determination of acceptability of project site and market, correctness of zoning, and the effect on environment as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Applications must be submitted within the time period specified in the Notice of Fund Availability, usually 60 to 90 days.

Range of Approval/Disapproval Time:

At the fund reservation stage, the sponsor usually is advised of the decision within 4 to 5 months from the end of the application period.

Appeals:

Applicants are afforded the right to appeal HUD's determination of technical rejection.

Renewals:

Not applicable to Section 811 capital advances. However, Project Rental Assistance contracts may be renewed for up to a one-year term after the initial 3-year term subject to the availability of funds.

Formula and Matching Requirements:

This program has maintenance of effort (MOE) requirements; see funding agency for further details. The formula used for allocating funds consists of one data element from the 2000 Census: the number of persons age 16 to 64 with a disability.

Length and Time Phasing of Assistance:

The capital advance is not repayable if the project is available for very low-income persons with disabilities for 40 years. Project Rental Assistance Contracts may not exceed 3 years. However, contracts are renewable for up to a one-year term based on availability of funds. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction.

Reports:

Any change in the owner during the 40-year period of the capital advance must be approved by HUD. All owners will be required to submit an annual financial statement and Outcome Logic Model Report to HUD.

Audits:

HUD reserves the right to audit the accounts of the owners in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project rental assistance contract.

Account Identification:

86-0320-0-3-371; 86-0164-0-3-371; 86-4588-0-3-371.

Obligations:

(Obligations) FY 07 \$246,569,077; FY 08 est \$259,171,000; and FY 09 est \$237,000,000.

Range and Average of Financial Assistance:

\$341,000 to \$3,272,200; \$1,113,460.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD funded 1,004 units. The Department anticipates that the level of funding in Fiscal 2008 will result in about 800 new Section 811 housing units.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 891; HUD Handbooks 4571.2 and 4571.4; and Notice H 96-102, as extended.

Regional or Local Office:

Contact the appropriate HUD field office listed on HUD website address <http://www.hud.gov/offices/adm/grants/fundsavail.cfm>.

Headquarters Office:

Office of Housing Assistants and Grants Administration, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3000. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/grants/nofa07/grpsec811.cfm>.

RELATED PROGRAMS:

14.157, Supportive Housing for the Elderly; 14.195, Section 8 Housing Assistance Payments Program Special Allocations.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.183 HOME EQUITY CONVERSION MORTGAGES

(Section 255)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended; Housing and Community Development Act of 1987, Section 417, Public Law 100-242, 12 U.S.C. 1715z-20.

OBJECTIVES:

To enable elderly homeowners to convert equity in their homes to monthly streams of income or, except for Texas, lines of credit.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on reverse mortgage loans. These loans may be used to provide monthly streams of income or, except for Texas, lines of credit for older homeowners, 62 years of age or older.

Applicant Eligibility:

Eligible borrowers are persons 62 years of age or older and must complete counseling from a HUD-approved agency prior to obtaining the loan. Eligible properties include Single Family one-to-four unit owner-occupied dwelling units, condominiums and Planned Unit Developments, if they meet FHA standards, and manufactured homes, if they meet FHA standards.

Beneficiary Eligibility:

Individuals.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

The borrower is required to receive counseling from a HUD-approved counseling agency prior to application. Approved Direct Endorsement Lenders process all aspects of the loan application and submit it to HUD for insurance endorsement. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

See Application Procedure.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Mortgagee will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not applicable.

Formula and Matching Requirements:

Payments that a borrower can receive from a reverse mortgage are determined by calculating the principle limit. This is determined at closing using a factor corresponding to the age of the youngest borrower, the interest rate, and the lessor of the value of the home or the FHA limits for the area. Mortgage insurance premiums will be collected including: (1) an up-front premium which may be financed and (2) a periodic premium which is paid monthly. The loan origination charge by the mortgagee varies, but the financed portion may not exceed the greater of 2 percent of maximum claim amount or \$2,000. Mortgagors may be charged appraisal and inspection fees in accordance with fee schedules established by HUD. These charges may also be financed.

Length and Time Phasing of Assistance:

The mortgage has no specified term. The mortgage is due and payable at the death of the borrower(s) or if the borrower sells, conveys, or moves from the property.

Reports:

Defaults in meeting the mortgage terms must be reported. All approved mortgagees at any time upon request by HUD must furnish a copy of their latest financial statement.

Audits:

The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) FY 07 \$5,571,350,940; FY 08 est \$4,225,354,720; and FY 09 est not available.

Range and Average of Financial Assistance:

Payments that a borrower can receive from a reverse mortgage are determined by

calculating the principle limit. This is determined at closing using a factor corresponding to the age of the youngest borrower, the interest rate, and the value of the home or the FHA limits, whichever is less. The average loan amount for FY 2006 is \$235,634.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2006, 76,282 loans were insured; in Fiscal Year 2007, 107,367 loans were insured.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Options for Elderly Homeowners: A Guide to Reserve Mortgages and Their Alternatives," no charge; 24 CFR 200 and 206, HUD Handbook 4235.1, Rev. 1.

Regional or Local Office:

Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>) or the nearest HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-2121.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/hecm/hecmabou.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.184 MORTGAGES INSURANCE FOR SINGLE ROOM OCCUPANCY (SRO) PROJECTS

(221(d) Single Room Occupancy)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, Section 221(d), 12 U.S.C. 1751(d); Section 223(g), 12 U.S.C. 1715 (g).

OBJECTIVES:

The Single Room Occupancy (SRO) Program provides mortgage insurance for multifamily properties consisting of single-room units. There are no Federal rent subsidies involved with this SRO Program. It is aimed at those tenants who have a source of income but are priced out of the rental apartment market. SRO projects will generally require assistance from local governing bodies or charitable organizations in order to reduce the rents to affordable levels.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

The SRO Program insures lenders against loss on mortgages used to finance construction or substantial rehabilitation of projects consisting of five or more units comprised primarily of one room residential units. Projects must be designed primarily for residential use. Any commercial activity must be compatible with the use of the project and primarily for the benefit of the residents. Commercial space is limited to 10 percent of the total gross floor area (20 percent in substantial rehabilitation projects), and 15 percent of gross rental income in a project. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible applicants may be nonprofit entities; builder/sellers teamed with a nonprofit purchaser, a limited distribution entity, profit-motivated entities or public entities. Cooperative lenders or investors are not eligible.

Beneficiary Eligibility:

Residents are subject to normal tenant selection procedures. There are no income limits for admission.

Credentials/Documentation:

Along with the Application for Mortgage Insurance, the applicant must provide a market profile demonstrating a clear need for the proposed SRO, experience profiles as to SRO operation, a certification from the local government assuring support of the project, and a relocation plan if needed.

Preapplication Coordination:

For Traditional Application Process (TAP), the sponsor has an initial conference with the local HUD Multifamily Hub and Program Center to determine the preliminary feasibility of the project before a Site Appraisal and Market Analysis (SAMA) Application (for new construction projects or feasibility application (for substantial rehabilitation projects) is submitted. For Multifamily Accelerated Processing (MAP), the sponsor works with the MAP-approved lender who submits required exhibits for the pre-application state. This program is excluded from coverage under OMB Circular No. A-102. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires

to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The sponsor submits an application for a SAMA or feasibility application to the local HUD office for review. Following HUD's issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved mortgagee to the local HUD Multifamily Hub and Program Center for processing. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The project is reviewed to determine its feasibility. If the project meets program requirements, the HUD Multifamily Hub or Program Center issues a commitment to insure the mortgage.

Deadlines:

Deadlines are established on a case-by-case basis by the local HUD Multifamily Hub or Program Center.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and the workload of the HUD Multifamily Hub or Program Center.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

The maximum amount of a Section 221 (d)(4) profit motivated loan may not exceed 90 percent of the estimated replacement cost. The maximum amount of a Section 221(d)(3) non-profit loan is 100 percent of the estimated replacement cost. The mortgage insurance premium is one-half percent of the mortgage amount. The HUD fees for application processing and commitment are \$3 per \$1,000 of the mortgage amount. The HUD-inspection fee may not exceed \$5 per \$1,000 of the mortgage amount.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less.

Reports:

HUD must approve mortgagor changes during the period of mortgage insurance. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to HUD. All approved mortgagees at any time upon request by HUD must furnish copies of their latest financial statements.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Mortgages insured) Reported under program 14.133.

Range and Average of Financial Assistance:

The maximum amount of the loan may not exceed 90 percent of the estimated replacement cost for For Section 221(d)(4). The maximum loan amount for Section 221(d)(3) is 100 percent of the estimated replacement cost.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, the Department did not insure any mortgages under this section. No activity under this section is expected in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 221.565; HUD Handbook 4560.3, Mortgage Insurance for Single Room Occupancy (SRO) Projects available on www.hudclips.org.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and Program Center listed in http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.134, Mortgage Insurance_Rental Housing; 14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.155, Mortgage Insurance for the Purchase or

Refinancing of Existing Multifamily Housing Projects.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.188 HOUSING FINANCE AGENCIES (HFA) RISK SHARING

(542(c) Risk Sharing Program)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1992, Section 542(c), Public Law 102-550, 12 U.S.C. 1707. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily mortgage insurance program.

OBJECTIVES:

Under Section 542(c), HUD provides credit enhancement for mortgages for multifamily housing projects whose loans are underwritten, processed, serviced, and disposed of by Housing Finance Agencies (HFA). HUD and the Housing Finance Agencies share in the risk of the mortgage. The program was originally designed as a pilot program to assess the feasibility of risk-sharing partnerships between HUD and qualified State and local HFAs in providing affordable housing for the Nation. The Section 542(c) program is now permanent multifamily insurance program.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Participating qualified State and local Housing Finance Agencies (HFAs) may underwrite, originate, service, and dispose of properties financed under Section 542(c). Program provides full HUD mortgage insurance to enhance HFA bonds to investment grade. HFA reimburses HUD in the event of a claim pursuant to terms of Risk Sharing Agreement. HFAs may elect to share risk with HUD from 10 to 90 percent of the loss. The program provides new independent insurance authority not under the National Housing Act.

Applicant Eligibility:

Eligible mortgagors, who include investors, builders, developers, public entities, and private nonprofit corporations or associations, may apply to a qualified HFA. To be eligible for HUD's approval, the HFA must: (1) carry the designation of "top tier" or its equivalent as evaluated by Standard and Poors or another nationally recognized rating agency; (2) receive an overall rating of "A" for the HFA for its general obligation bonds from a nationally recognized rating agency; or (3) otherwise demonstrate its capacity as a sound, well-managed agency that is experienced in financing multifamily housing.

Beneficiary Eligibility:

Individuals, families, and property owners may be eligible for affordable housing.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application to a qualified HFA. Proof of nonprofit status is required of nonprofit organizations. Projects must qualify as affordable housing as defined by Section 42(g) of the Internal Revenue Code of 1986.

Preapplication Coordination:

To obtain mortgage insurance an applicant should consult the HFA as the single point of contact for more information on the process. The sponsor will have a preapplication meeting with the HFA. This program is excluded for coverage under E.O. 12372.

Application Procedure:

An applicant submits a formal application directly to a HUD-approved HFA.

Award Procedure:

The HFAs are vested with the maximum amount of processing responsibilities and decision-making to approve or reject individual projects. However, HUD retains authority for environmental review. The HUD-approved HFA is responsible for making the award once the project qualifies for mortgage insurance.

Deadlines:

Deadlines are established on a case-by-case basis by HFAs, and are mutually agreed to at the pre-commitment conference.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor.

Appeals:

If an application for mortgage insurance is refused, HFA will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

HUD will provide mortgage insurance on multifamily housing projects whose

loans were underwritten, processed, serviced, and disposed of by HFAs.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Any change of the mortgagor during the period of mortgage insurance must be approved by the HFA. Defaults in meeting the mortgage terms must be reported. All mortgagors are required to submit an annual financial statement to the HFA.

Audits:

The Department of Housing and Urban Development reserves the right to audit the accounts of the HFA and the mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

HFAs are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the implementing regulations. HFAs must also monitor construction/inspection process.

Account Identification:

86-4077-0-3-371.

Obligations:

(loans insured) FY 07 \$93,880,377; FY 08 est \$90,000,000; and FY 09 est \$120,000,000.

Range and Average of Financial Assistance:

In FY 2007, loan sizes ranged from \$475,000 to \$10,400,000, with an average of \$4.8 million. Project sizes ranged from 34 to 216 units with an average of 91 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 HUD insured mortgages for 36 projects with 3,277 units totaling \$173.3 million. It is estimated that a larger number of projects will be insured in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulatory authority for this program is regulation 24 CFR Part 266. HUD Handbook 4590.1, Housing Finance Agency Risk-Sharing Pilot Program, is located on www.hudclips.org.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hubs and Program Centers listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in the Appendix IV of the Catalog.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410-8000. Telephone: (202) 708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

14.189, Qualified Participating Entities (QPE) Risk Sharing

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.189 QUALIFIED PARTICIPATING ENTITIES (QPE) RISK SHARING

(542(b) Risk Sharing Program)

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1992, Section 542(b), Public Law 102-550, 12 U.S.C. 1701; Public Law 106-377. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily insurance program.

OBJECTIVES:

Under this program HUD provides reinsurance on multifamily housing projects whose loans are originated, underwritten, serviced, and disposed of by qualified participating entities (QPEs) and/or its approved lenders. The program encourages the development and preservation of affordable housing. The Section 542(b) program was originally designed as a pilot to test innovative mortgage insurance and reinsurance products to provide affordable multifamily housing through a partnership between HUD and QPEs, including Government Sponsored Enterprises, financial institutions, and the Federal Housing Finance Board.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

QPEs and/or its approved lenders may originate and underwrite affordable

housing loans. HUD's mortgage credit enhancements are used to support the underwriting and production strengths of Fannie Mae, Freddie Mac, and other qualified Federal, State, and local public financial and housing institutions. In the event of a default, the QPE will pay all costs associated with loan disposition and will seek reimbursement from HUD. In most cases, the HUD risk share will be 50 percent. The program provides a new insurance authority independent of the National Housing Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, public entities, and private nonprofit corporations or associations may apply to a qualified QPE and/or its lender.

Beneficiary Eligibility:

Individuals, families, and property owners may be eligible for affordable housing.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted to the QPE and/or its lender.

Preapplication Coordination:

An applicant should consult the QPE as the single point of contact for more information on the process. The sponsor will have a preapplication meeting with the QPE. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An applicant submits a formal application directly to the QPE.

Award Procedure:

QPEs are vested with the maximum amount of processing responsibilities and decision-making to approve or reject individual projects.

Deadlines:

Deadlines are established on a case-by-case basis by the QPE.

Range of Approval/Disapproval Time:

Within 15 days of HUD's receipt of a complete and acceptable Risk Sharing Request, HUD will execute the Addendum to Risk Sharing Agreement which signifies that HUD has undertaken a Risk Sharing Obligation for a specific mortgage loan.

Appeals:

If a Risk Sharing Request is refused, HUD will state the reasons for the refusal.

Renewals:

HUD will provide reinsurance on multifamily housing projects whose loans are originated, underwritten, serviced, and disposed of by QPEs.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

QPEs must submit a semi-annual report listing (1) the original mortgage amount and outstanding unpaid principal balance for each reinsured loan, and (2) the status of the reinsured loan.

Audits:

The Department of Housing and Urban Development reserves the right to audit the Mortgage Loans which HUD has reinsured.

Records:

QPEs are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions.

Account Identification:

86-4077-0-3-371.

Obligations:

(Insured Loans) FY 07 \$12,424,100; FY 08 est \$11,250,000; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

Loan sizes range from \$1,300,000 to \$5,600,000 with an average of \$3.4 million. Project sizes range from 48 to 160 units with an average of 108 units.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, HUD insured mortgages for 13 projects with 1,415 units, totaling \$44.3 million units. It is estimated that the Department will insure the same number of mortgages in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Section 542(b) program was implemented without regulations.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Office of Multifamily Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1142. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.188, Housing Finance Agencies (HFA) Risk Sharing.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.191 MULTIFAMILY HOUSING SERVICE COORDINATORS

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Affordable Housing Act, Section 808, Public Law 101-625, as amended; Housing and Community Development Act of 1992, 42 U.S.C. 13632, Public Law 104-104, as amended; American Homeownership and Economic Opportunity Act of 2000, Section 851, Public Law 106-569, approved December 27, 2000.

OBJECTIVES:

To link elderly, especially frail and disabled, or disabled non-elderly assisted housing and neighborhood residents to supportive service in the general community; to prevent premature and unnecessary institutionalization; and, to assess individual service needs, determine eligibility for public services and make resource allocation decisions which enable residents to stay in the community longer.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The service coordinator is a social service staff person hired by the development owner/management company to arrange a broad spectrum of services for residents which include, but are not limited to, the following: the provision of formal case management (i.e., the evaluation of health, psychological or social needs); educate residents and development management staff on issues related to aging-in-place and service coordination; monitor the provision of services, and act as an advocate for the resident in dealing with community service providers. The service coordinator may not serve as the recreational or activities director for a development; provide supportive services directly, or assist with other administrative work associated with the development's operation during the time paid for by grant funds.

Applicant Eligibility:

Eligible applicants are owners of Section 8 developments with project-based subsidy (including Rural Housing Service Section 515/8 developments); Section 202 developments as defined under 24 CFR Sections 277 and 885, and 221(d)(3) below-market interest rate and 236 developments which are insured or assisted (funded under Sections 24 CFR 221 Subpart C, 236, 277, 880, 881, 883, 885 and 886). To be eligible, developments must also be current in mortgage payments. Service coordinators for Congregate Housing Service Programs (CHSP), Section 202 developments with a Project Rental Assistance Contract (PRAC), and Section 811 developments are not eligible for funding. Owners of Section 202 PRAC developments may request an increase in their PRAC to hire a Service Coordinator by following procedures in the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8.

Beneficiary Eligibility:

Eligible beneficiaries are residents of eligible housing or community residents who live in the vicinity of such housing. Service Coordination may be provided to elderly or disabled families. In particular, priority is given to residents who are frail (unable to perform at least three activities of daily living (ADLs)) or "at risk" elderly persons who are unable to perform 1-2 ADLs, or non-elderly disabled or temporarily disabled residents. At least twenty-five percent of the residents of a development must be frail, at risk, or non-elderly people with disabilities for that development to be eligible for funding.

Credentials/Documentation:

As required in the annual Notice of Funding Availability (NOFA) published in the Federal Register.

Preapplication Coordination:

None required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted in accordance with the requirements in the NOFA published in the Federal Register.

Award Procedure:

As described in the NOFA. All eligible applications will be selected through a national lottery.

Deadlines:

Deadlines are specified in the NOFA.

Range of Approval/Disapproval Time:

As specified in the NOFA.

Appeals:

None.

Renewals:

At the end of the grant period, subject to the availability of appropriations, continued need, and compliance with grant requirements.

Formula and Matching Requirements:

No mandatory applicant match requirements. A coordinator position may be fully funded from appropriated funds or in combination with the development's residual receipts or surplus cash/excess income. Such combination of funds must be used when the development has some limited resources to fund the coordinator position, but not enough to provide funding on a continuing basis. In this instance, the owner/borrowers' funds would be listed in the funding request and must be used first. Developments with sufficient project funds to fully fund a coordinator are not eligible to receive grant funds.

Length and Time Phasing of Assistance:

Funding term stated in the NOFA.

Reports:

Financial and performance reporting as specified in the grant agreement. On-site management reviews done by HUD consistent with Development monitoring standards contained in HUD Handbook 4350.1, Chapter 6.

Audits:

Review of financial records conducted as part of an on-site management review, including an analysis of at least 10 percent of the financial records maintained to substantiate expenditures made under the program.

Records:

Grantees required to maintain records as required by grant agreement. These requirements conform to OMB Circular No. A-110.

Account Identification:

86-0162-0-1-451, 86-0320-0-1-604.

Obligations:

Reported under program 14.157.

Range and Average of Financial Assistance:

\$88,825 to \$402,196; \$171,064.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 21 applications were selected, representing 22 projects, 2,228 units, and \$3,592,342 in Service Coordinator grant funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations for this program, see the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8. Also see HUD's How-to Guide for Service Coordinators and the Evaluation Study of the Service Coordinator Program available without cost from the NOFA Information Center (1-800-HUD-8929 or 1-800-HUD-2209 (TTY)).

Regional or Local Office:

Contact Multifamily Director in the nearest HUD Field Office. Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Housing Assistance and Grant Administration, Office of Multifamily Housing Programs, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3000, E-mail: Carissa.L.Janis@hud.gov.

Web Site Address:

<http://www.hud.gov>.

RELATED PROGRAMS:

14.181, Supportive Housing for Persons with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded coordinators include (1) An assisted housing complex composed of two 17-story and two 16-story high-rise buildings with a total of 1,093 apartments. Of the 1,256 residents, 30 percent are frail elderly and 3 percent are non-elderly persons with disabilities. Two full-time service coordinators are located on-site and coordinate the provision of transportation, congregate meals, case management and multilingual programs in up to 9 languages; (2) two 8-story mid-rise buildings connected by a community building which contains 231 units with 321 residents, of whom 28 percent are at-risk or frail elderly. One full-time, on-site service coordinator coordinates a cadre of services including an emergency hotline connected to the local hospital.

CRITERIA FOR SELECTING PROPOSALS:

Published in the NOFA.

14.195 SECTION 8 HOUSING ASSISTANCE PAYMENTS PROGRAM-SPECIAL ALLOCATIONS

(Project-based Section 8)

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

United States Housing Act of 1937, Section 8(c)(9).

OBJECTIVES:

To reduce claims on the Department's insurance fund by aiding projects with FHA-insured or Secretary-held mortgages that have immediate or potentially serious financial difficulties.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

This program is inactive. No new projects are being approved; however, tenants

may apply for admission to projects with existing Section 8 assistance contracts. The assistance is paid by HUD to the owner of an assisted unit on behalf of an eligible family. The payment is the difference between the contract rent and the tenant rent. Assistance is currently available only on a renewal basis, i.e., projects currently assisted may receive a 1-year renewal, or multiple years, up to twenty years, upon expiration of the Section 8 contract.

Applicant Eligibility:

No funding is available to new applicants. Funding is currently available only for the owners of record of projects with an existing expiring project-based Section 8 contract. The project must meet minimum decent, safe, and sanitary standards.

Beneficiary Eligibility:

Families currently receiving assistance as long as their income does not exceed 80 percent of area median income adjusted for smaller or larger families.

Credentials/Documentation:

Applications for new projects are no longer being accepted. Project must meet minimum decent, safe, and sanitary standards for HUD to renew the Section 8 contract.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. No applications for new projects are being accepted.

Application Procedure:

Project owner must notify HUD within 120 days of contract expiration that it wishes to renew the Section 8 contract.

Award Procedure:

Contract is renewed upon expiration.

Deadlines:

Owner must notify HUD within 120 days of contract expiration that it intends to renew contract. Owner must notify the residents one year in advance of contract expiration that it intends not to renew the contract.

Range of Approval/Disapproval Time:

Approval given by date of contract expiration.

Appeals:

Not applicable.

Renewals:

Subject to appropriations and owner's interest in renewing contract.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

1 year.

Reports:

The owner must submit to HUD within 60 days after the end of each fiscal year of the project, financial statements for the project audited by an Independent Public Accountant and other statements as to project operation, financial conditions and occupancy.

Audits:

The owner must permit HUD to review and audit the management and maintenance of the project at any time to assure the owner is meeting its obligation to maintain the units and related facilities in decent, safe, and sanitary condition.

Records:

The owner must reexamine the income and composition of all families at least once each year and prepare and furnish other information required under the Section 8 contract.

Account Identification:

86-0319-0-1-604; 86-0164-0-1-604; 86-0311-0-1-604; 86-0316-0-1-604; 86-0303-0-1-604.

Obligations:

FY 07 \$8,421,000; FY 08 est \$8,549,000; and FY 09 est \$8,529,000.

Range and Average of Financial Assistance:

Eligible tenants pay no more than 30 percent of their monthly adjusted income for rent.

PROGRAM ACCOMPLISHMENTS:

No new commitments for additional projects will be made under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Section 8 Renewal Policy Guide (1/15/08); 24 CFR 886 Subpart A - Additional Assistance Program for Projects With HUD-Insured And HUD-Held Mortgages Payments Program_Special Allocations.

Regional or Local Office:

Persons may contact local field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Housing and Urban Development, Office of Housing Assistance and Grant Administration, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-3000.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/mfhsec8.cfm>.

RELATED PROGRAMS:

14.126, Mortgage Insurance_Cooperative Projects; 14.132, Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units; 14.134, Mortgage Insurance_Rental Housing; 14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest

Rate; 14.138, Mortgage Insurance_Rental Housing for the Elderly; 14.139, Mortgage Insurance_Rental Housing in Urban Renewal Areas; 14.155, Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects; 14.157, Supportive Housing for the Elderly; 14.181, Supportive Housing for Persons with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Projects with HUD-insured mortgages; projects with HUD-held mortgages; projects with non-insured mortgages; projects with Section 202 Direct Loans.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.197 MULTIFAMILY ASSISTED HOUSING REFORM AND AFFORDABILITY ACT

(Market-to-Market)

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

The Multifamily Assisted Housing Reform and Affordability Act of 1997 (Title V of Public Law No. 105-65, October 27, 1997, 111 Stat. 1384ff), as amended by section 531 of the Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 2000, (Public Law 106-74, October 20, 1999, 113 Stat. 1109ff), as amended by the Mark-to-Market Extension Act of 2001 (Title VI of Public Law No. 107-166, January 10, 2002, 115 Stat. 2177), and as further amended by H.R. 20 (Public Law 110-5) Revised Continuing Appropriations Resolution, 2007 Section 21043, which made changes to Section 579 to extend the statute and allow new referrals until October 1, 2011. The Office of Affordable Housing Preservation (OAHP) administers the Mark-to-Market program (M2M).

OBJECTIVES:

To retain critical affordable housing resources represented by the supply of FHA-insured Section 8 assisted housing and maintain it in good physical and financial condition while, at the same time, reducing the cost of the ongoing Federal subsidy. In carrying out the Program, HUD works with willing participating administrative entities (PAEs), owners and lenders to reduce Section 8 rents and operating expenses to true market levels and also provide for the project's capital improvement needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Participating owners who undergo a mortgage restructuring must execute a use agreement committing to maintaining affordable housing for 30 years.

Applicant Eligibility:

The project must be subject to a FHA-insured mortgage and supported by project-based Section 8 HAP contracts with rent levels which, in the aggregate, exceed Comparable Market Rents.

Beneficiary Eligibility:

The project owner and individuals/families who are project tenants.

Credentials/Documentation:

Owner will submit a request to enter Mark-to-Market at least 120 days prior to the expiration of the HAP contract and certify the property is eligible to participate. The owner must not have been suspended or disbarred.

Preapplication Coordination:

Owner must submit a letter of intent to the Multifamily Director of the local HUD Field Office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Owner must submit a letter of intent to the Multifamily Director of the local HUD Field Office at least 120 days prior to HAP expiration. Owner may also submit a letter of intent to the local HUD field office during the term of the Section 8 HAP contract to be considered as an outyear transaction.

Award Procedure:

Not applicable.

Deadlines:

Applications are due 120 days prior to expiration of Section 8 contract as well as during the term of the Section 8 HAP contract.

Range of Approval/Disapproval Time:

Eligibility to participate in the program is determined within 30 business days of OAHP's receipt of submission. Restructuring and refinancing process should take no longer than 12 months.

Appeals:

If the owner is not programmatically eligible there is not an appeal process as this is determined by legislative authority. There are two levels of appeals for a "Restructuring Commitment" or rejection of a Restructuring Plan. An appeal may be submitted in writing (with a copy to the Participating Administrative Entity) to the Portfolio Director of Office of Affordable Housing Preservation, within 30 calendar days of the issuance date of Restructuring Commitment. A second level appeal may be made within 10 days of notice, requesting an administrative review by OAHP Headquarters.

Renewals:

Section 8 contracts will only be issued on an annual basis with terms of up to five years for a rent restructuring (M2M Lite) and up to 20 years for a mortgage restructuring (M2M Full).

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Section 8 contracts are issued on an annual basis as long as the property remains eligible. Owners of projects that undergo a mortgage restructuring shall commit to maintain project affordability for 30 years.

Reports:

As required by Section 8 and future program guidelines.

Audits:

Annual audits are required.

Records:

As required by Section 8 and future program guidelines.

Account Identification:

86-4072-0-3-371.

Obligations:

FY 07 \$148,000,000; FY 08 est \$253,000,000; and FY 09 est \$14,000,000.

Range and Average of Financial Assistance:

Partial payment of claims may be made up to the amount of the unpaid principal balance of the FHA insured mortgage. Section 8 contracts will be provided at comparable market rents, or with exception rents in cases where the loss of units would adversely effect the tenants or community because of a lack of affordable housing.

PROGRAM ACCOMPLISHMENTS:

OAHP has completed/closed 3,110 projects representing 264,563 units. The breakdown in Fiscal Years is as follows: 195 projects (15,830) in FY 2007; 260 projects (19,938 units) in FY 2006; 369 projects (33,333 units) in FY 2005; 456 projects (37,520 units) in FY 2004; 408 projects (35,868 units) in FY 2003; 426 projects (37,383 units) in FY 2002; 557 projects (45,717 units) in FY 2001; and 439 projects (38,974 units) in FY 2000 under the M2M Program. In Fiscal Year 2008, OAHP estimates that 110 projects (9,549 units) will be completed/closed under the M2M program. These estimates are based on an OAHP projection of anticipated workload, which is, in part, based on an estimate of market rents for contracts expiring in the future. These projections may be affected by owner decisions, real estate market trends, accuracy of the REMS data base, and future legislative changes relative to M2M eligible properties.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Rule 24 CFR 401 titled Multifamily Housing Mortgage and Housing Assistance Restructuring Program (Mark-to-Market) Final Regulations are published in the Federal Register, Volume 65, No. 56, dated Wednesday, March 22, 2000, as corrected in the September 6, 2000 Federal Register Volume 65, No. 173 (final rule; correction).

Regional or Local Office:

The Office of Affordable Housing Preservation has two preservation office locations: Chicago Preservation Office: Harry West; Preservation Director, Office of Affordable Housing Preservation; Metcalfe Federal Building, 77 West Jackson Blvd., Room 2301, Chicago, IL 60604. Telephone: (312) 886-4133 Ext. 2371. Washington Preservation Office: Donna Rosen; Preservation Director, Office of Affordable Housing Preservation; The Portals Building, Suite 380, 1280 Maryland Ave., S.W., Washington, DC 20024. Telephone: (202) 260-2746 Ext. 3768.

Headquarters Office:

Theodore Toon, Deputy Assistant Secretary, Office of Affordable Housing Preservation, Suite 6230, Weaver Federal Building, 451 7th St, S.W., Washington, DC 20410. Telephone: (202) 708-0001. E-mail: m2minfo@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/hsg/omhar/index.cfm>.

RELATED PROGRAMS:

14.135, Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.138, Mortgage Insurance_Rental Housing for the Elderly; 14.155, Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects; 14.195, Section 8 Housing Assistance Payments Program_Special Allocations.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.198 GOOD NEIGHBOR NEXT DOOR SALES PROGRAM

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

24 CFR 291.500-565, final rule published in Federal Register, October 31, 2006, effective December 1, 2006, following publication in the Federal Register of a proposed rule on September 8, 2005.

OBJECTIVES:

The purpose of the Good Neighbor Next Door Sales Program is to improve the quality of life in distressed urban communities. This is to be accomplished by encouraging law enforcement officers, teachers, and firefighters/emergency medical technicians, and pre-Kindergarten Teachers whose daily responsibilities and duties represent a nexus to the needs of the community, to purchase and live in homes in these communities.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Participants must be employed full-time as a law enforcement officer, pre-K through grade 12 teacher, firefighter or emergency medical technician and agree to live in the property purchased for thirty six months from the date of occupancy following purchase of the home. Violation of the occupancy rule is under penalty of repayment of a prorata share of the discount of fifty percent of the list price. Properties offered through the program are single unit residences located in HUD-designated revitalization areas. The availability of properties is limited. Purchasers must provide financing for the purchases by their own means and are responsible for their portion of closing costs and broker fees. Teachers and Firefighters/EMTs are restricted to purchase homes located in an area served by their employer.

Applicant Eligibility:

Eligible law enforcement officer must be (a) Employed full-time by a law enforcement agency of the federal government, a state, a unit of general local government, or an Indian tribal government; and (b) In carrying out such full-time employment, you are sworn to uphold, and make arrests for violations of, Federal, state, county, or municipal law. A firefighter/EMT must be full-time as a firefighter or emergency medical technician by a fire department or emergency medical services responder unit of the federal government, a state, unit of general local government, or an Indian tribal government serving the area where the home is located. A teacher for the purposes of the GNND Sales Program if the person is: (a) Employed as a full-time teacher by a state-accredited public school or private school that provides direct services to students in grades pre-kindergarten through 12; and (b) The public or private school where the person is employed as a teacher serves students from the area where the home is located in the normal course of business.

Beneficiary Eligibility:

Law enforcement officers, firefighters, and EMTs purchasing a HUD-owned home in a designated revitalization area for use as their sole residence.

Credentials/Documentation:

Sales contract (HUD 9548); employer certification; second mortgage and note.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372, Intergovernmental Review of Federal Programs (or consultation with State and local governments regarding program design).

Application Procedure:

Interested persons apply by submitting an offer to purchase a property listed through HUD's property disposition program. Properties for sale are listed on the Internet at sites accessible to the general public. Offers are submitted electronically. The offer amount submitted must be the list price.

Award Procedure:

A winning offer is selected randomly each week. The selected offeror is offered the property at a 50 percent discount off the list price, subject to the purchaser fully complying with the 36 month occupancy requirement and other program rules. The selected offeror is provided with a document package. The offeror accepts by signing and returning the sales documents. The selected offeror receives title to the home at a sales closing. The selected offeror arranges for financing of the purchase price.

Deadlines:

Properties eligible for this program are offered exclusively to law enforcement officer, firefighters, pre-K through 12 teachers and emergency medical technicians for five days beginning with the first listing of the property.

Range of Approval/Disapproval Time:

Varies.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The assistance is in the form of a 50 percent reduction in the list price of the home selected for purchase. The discount is provided in the form of a self-amortizing note/mortgage reduced each month of occupancy over 36 months.

Length and Time Phasing of Assistance:

The assistance is provided in exchange for the buyer's commitment to occupy the homes as a personal residence for thirty six months. Each month of occupancy results in the forgiveness of one thirty sixth of the discount value. Early vacation of the premises requires repayment of a pro rata amount of the discount.

Reports:

The officer, pre-K through 12 teacher, firefighter or EMT purchasing the home

must submit an annual certification for each of 12-month period that he or she resides in the home.

Audits:

None.

Records:

Not applicable.

Account Identification:

86-4587-0-3-371; 86-4070-0-3-371; 86-4077-0-3-371; 86-4072-0-3-371; 86-4242-0-3-371; 86-4105-0-3-371.

Obligations:

(Sales) Reported under program 14.311.

Range and Average of Financial Assistance:

Fifty percent discount off list price of home. List prices vary widely.

PROGRAM ACCOMPLISHMENTS:

Over 13,000 properties have been sold through the life of the program as of the end of FY 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations at 24 CFR Part 291. Fed. Register, October 31, 2006, Volume, Number, Page. Program information is available on HUD's website at <http://www.hud.gov/offices/hsg/sfh/reo/goodn/gnndabot.cfm>.

Regional or Local Office:

Contact HUD's Homeownership Center (HOC) serving your state. Each Homeownership Center has Good Neighbor Next Door Coordinators. HOCs and their service areas may be found on the web at <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>. Management and Marketing (M&M) contractors provide listings of properties available for purchase through the Good Neighbor Next Door program on the web and are a source of information. Go to <http://www.hud.gov/homes/index.cfm> and click on the state of your choice.

Headquarters Office:

For policy questions not covered on the website, contact the Asset Management and Disposition Division by mail to 451 7th Street SW, Washington, DC 20410; or by phone to (202) 708-1672 (this is not a toll free number).

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/reo/goodn/gnndabot.cfm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.199 MULTIFAMILY PROPERTY DISPOSITION**FEDERAL AGENCY:**

OFFICE OF HOUSING, OFFICE OF MULTIFAMILY HOUSING,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, Section 207(k) and (l); Housing and Community Development Amendments of 1978, Section 203; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1997, Public Law 104-204; Departments of Veterans Affairs, Housing and Urban Development, Section 213, and Independent Agencies Appropriations Act of 1998, Public Law 105-65; Departments of Veterans Affairs, Housing and Urban Development, Section 206, and Independent Agencies Appropriations Act of 1999, Public Law 105-276; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 2000, Public Law 106-74.

OBJECTIVES:

To manage and dispose of multifamily housing projects that are owned by the Secretary or that are subject to a mortgage held by the Secretary in a manner that is consistent with the National Housing Act; protect the financial interests of the Federal government, and will, in the least costly fashion among reasonable available alternatives: preserve certain housing so that it can remain available to and affordable by low-income persons; preserve and revitalize residential neighborhoods; maintain the existing housing stock in a decent, safe and sanitary condition; minimize the involuntary displacement of tenants; maintain housing for the purpose of providing rental housing, cooperative housing, and home ownership opportunities for low-income persons; supporting fair housing strategies; and dispose of such projects in a manner consistent with local housing market conditions.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

This section only applies to multifamily housing projects owned by the Secretary or that are subject to a mortgage held by the Secretary for which a foreclosure sale is to be held. A property can be sold with its current project-based Section 8 contract assigned to the new owner so that contract and its requirements stay in place. A property can be sold with tenant-based Housing Choice Vouchers (14.871) being provided to eligible tenants, which give the tenants the ability to

stay on-site in units that meet HUD standards, or move to another location. In both cases, the residents must meet the eligibility requirements of those programs, which are defined elsewhere. A HUD-owned property may be sold with an up-front grant to assist in paying for rehabilitation or rebuilding provided funds have been appropriated by Congress. To be eligible, in addition to the property being HUD-owned, it must have more than 50 percent of the units in the project occupied by very low-income residents at the time a disposition plan is approved by HUD, or HUD must determine it is essential, as affordable housing, to the revitalization of its community; it must be located in a housing market or submarket in which there is not sufficient habitable, affordable, rental housing, as defined in 24 CFR 290.3; it will generate, after rehabilitation or rebuilding, sufficient rental income in a competitive market to cover all operating expenses, meet after sale debt service requirements, fund required reserves and throw off positive cash flow; will provide affordable housing for at least 20 years, after the rehabilitation and/or rebuilding is completed; meets such other requirements, including deed restrictions, loan provisions, and monetary penalties for non-performance, as HUD may determine are appropriate on a case-by-case basis. The maximum that HUD will fund per project in an up-front grant or loan is 50 percent of total development cost (TDC), or \$40,000 per affordable, finished unit, whichever amount is less (not to exceed the current number of residential units in the project).

Applicant Eligibility:

These properties can be purchased by governmental entities, private individuals, corporations, or nonprofit organizations who have the requisite qualifications and agree to abide by the conditions set by HUD for the particular property sale.

Beneficiary Eligibility:

The ultimate beneficiary of those properties sold for continued use as affordable housing are low-income individuals and families.

Credentials/Documentation:

Interested parties must file HUD form 2530, "Previous Participation Clearance Application," and certify to HUD that any properties owned by the purchaser in the same geographical area of the property being purchased meet all applicable standards and housing codes.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

There is no application process. Foreclosure sales are conducted on an open, oral bid process, with sealed bids also being permitted. HUD-owned properties may be purchased without competition by governmental entities. HUD can sell some properties non-competitively to nonprofits. All other HUD-owned properties are sold through a full and open competition, usually sealed bids, auctions, or a Request for Proposals. HUD maintains a mailing list of individuals and firms interested in buying these projects, and maintains a website which lists all properties currently available for sale.

Award Procedure:

Properties sold at foreclosure are sold to the highest responsive and responsible bidder. HUD-owned projects may be sold to governmental entities who agree to meet HUD's requirements. Certain HUD-owned projects can be sold to nonprofits who agree to meet HUD's requirements. HUD-owned properties can be sold to the highest responsive and responsible bidder, and some are sold to the proposer with the best experience and plan for the future operation of the property.

Deadlines:

Deadlines are established on a property-by-property basis.

Range of Approval/Disapproval Time:

Contingent upon complexity of case.

Appeals:

None.

Renewals:

Renewals of the Section 8 are subject to the rules of the particular program. There are no renewals of Up-Front Grants.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Purchaser must file, electronically, an annual financial statement to HUD audited and prepared in accordance with Generally Accepted Accounting Principals and Generally Accepted Auditing Standards. Other reporting requirements established by Property Disposition Centers.

Audits:

None.

Records:

None.

Account Identification:

86-4072-0-1-371; 86-4077-0-1-371.

Obligations:

(Sales) FY 07 est not available; FY 08 est not available; and FY 09 est \$450,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

HUD closes about 50-125 projects annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reference material can be found at website <http://www.hud.gov/offices/hsg/mfh/pd/multifam.cfm>.

Regional or Local Office:

Interested parties can contact the Multifamily Property Disposition Centers in the Atlanta and Fort Worth HUD Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Multifamily Asset Management, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-3730.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/pd/multifam.cfm>.

RELATED PROGRAMS:

14.871, Section 8 Housing Choice Vouchers.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

For the up-front grants, once HUD identifies an eligible project, the grant is made part of the sale and the project is only sold to a purchaser who has the demonstrated experience to rehabilitate/rebuild the project and maintain it as affordable housing for at least 20 years after the rehabilitation/rebuilding is completed.

14.218 COMMUNITY DEVELOPMENT BLOCK GRANTS/ENTITLEMENT GRANTS

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, as amended, Public Law 93-383.

OBJECTIVES:

To develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Recipients may undertake a wide range of activities directed toward neighborhood revitalization, economic development, and provision of improved community facilities and services. Entitlement communities develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits. Recipients may contract with other local agencies or nonprofit organizations to carry out part or all of their programs. Community-based development organizations may carry out neighborhood revitalization, community economic development or energy conservation projects to further achieve the national objectives of the CDBG program. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. All eligible activities must either benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own.

Applicant Eligibility:

Cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; other cities over 50,000 in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The grantee must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A grantee is required to prepare a consolidated plan in accordance with the requirements of Part 91; have and follow a detailed citizen participation plan; provide information to citizens on the amount of CDBG funds available and the range of community development and housing activities that may be undertaken; hold public hearings; publish a proposed action plan which includes a description of activities in sufficient detail, including location, to afford affected citizens an opportunity to submit views and comments prior to the preparation of a final action plan; prepare and submit a final action plan to HUD. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." Recipients should consult the office or the official designated as the single point of contact in its respective State for more information on the process the State requires to be followed when funds are used for the planning or the construction (reconstruction or rehabilitation) of water or sewer facilities.

Application Procedure:

Submit a Consolidated Plan, an annual action plan, SF Form 424, and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD Community development programs, including CDBG. The annual action plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the annual action plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including reductions in the amount of the final grant.

Award Procedure:

None.

Deadlines:

For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 16 of the fiscal year for which the funds are allocated.

Range of Approval/Disapproval Time:

Generally within 45 days.

Appeals:

Administrative appeals process followed if entitlement grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Every 3 to 5 years, localities submit a Consolidated Plan. Each year localities submit an annual action plan and certifications.

Formula and Matching Requirements:

Entitlements are based on a dual formula under Section 106 of the Act using statistical factors. Each metropolitan city and urban county is entitled to receive an amount equaling the greater of the amounts calculated under two formulas. The factors involved in the first formula are population, extent of poverty and extent of overcrowded housing, weighted 0.25, 0.50, and 0.25, respectively. The factors involved in the second formula are population growth lag, poverty, and age of housing, weighted 0.20, 0.30, and 0.50, respectively. The statistical factors used for fund allocation are (1) most current population estimates from the Bureau of Census 90 days before the end of the fiscal year; (2) number of persons with incomes below the poverty level from the source 2000 Census; (3) number of housing units with 1.01 or more persons per room from the source 2000 Census; (4) age of housing; number of year-round housing units built in 1939 or earlier from the source 2000 Census; (5) growth lag; the lag in population growth as computed from population in 1960 to current population from the source 1960 Census and P25, Census Report. Statistical factors used for eligibility are (1) metropolitan city: principal city of a Metropolitan Area (MA) or city within MA with 50,000 population from the source Census and OMB; (2) urban county: generally, counties in MA having a net population of 200,000 or more, excluding entitlement cities located therein, from the source Census and OMB. Questions concerning the formula should be addressed to the Systems Development and Evaluation Division, Community Planning and Development, 451 7th Street S.W., Washington, DC 20410. Telephone: (202) 708-0790.

Length and Time Phasing of Assistance:

Assistance is for an annual program of activities, but activities generally may be continued beyond one year until completed.

Reports:

An annual performance report is required on the use of funds to meet program requirements including the grantee's objectives and the national objectives of the program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in Federal awards in a year shall generally have a single audit conducted for that year. (The auditee may elect to have a program-specific audit conducted under certain limited circumstances.)

Records:

The applicant must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in regulations. Records shall be retained for four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the published regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

FY 07 \$2,592,790,000; FY 08 est \$2,510,501,000; FY 09 est \$1,909,184,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

Determined by formula.

PROGRAM ACCOMPLISHMENTS:

There are approximately 1,150 units of local government eligible to receive entitlement grants during fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Entitlement Communities Division, Office of Block Grant Assistance, Community Planning and Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1577. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 - Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.225 COMMUNITY DEVELOPMENT BLOCK GRANTS/SPECIAL PURPOSE GRANTS/INSULAR AREAS

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Title I, Public Law 93-383, 42 U.S.C. 5306(a)(2).

OBJECTIVES:

To provide community development assistance to the Pacific Islands of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands in the Caribbean.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Activities must be eligible under 24 CFR 570 Subpart C.

Applicant Eligibility:

The Island Governments of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income for the territory, as adjusted by family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each Insular Area shall submit a consolidated plan or an abbreviated consolidated plan to HUD no later than 45 days before the start of its program year.

Award Procedure:

The Honolulu Field Office and the HUD San Juan Field Office are responsible for reviewing each consolidated plan or abbreviated consolidated plan and approving them if the submissions are in accordance with 24 CFR 91 and the certifications are acceptable to HUD.

Deadlines:

Applicants must submit final statements no later than 45 days before the start of its program year.

Range of Approval/Disapproval Time:

45 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The funds are allocated according to population size of the applicants. There is no matching required.

Length and Time Phasing of Assistance:

Assistance is available until project completion, and is released through a letter of credit.

Reports:

Financial management and annual performance reports as required by the Secretary.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations", nonfederal entities that expend \$500,000 or more in a year in Federal awards should have a single audit conducted for that year in accordance with the provisions of the Circular.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$6,930,000; FY 08 est \$7,000,000; and FY 09 est \$7,000,000.

Range and Average of Financial Assistance:

Funds awarded in FY 2008: American Samoa: \$963,516; Guam: \$2,851,151; Northern Marianas: \$1,374,719; Virgin Islands: \$1,810,614.

PROGRAM ACCOMPLISHMENTS:

In their 2006 program year, the Insular Areas Expended 70 percent of their CDBG funds on public improvements, 12 percent on acquisition, and 7 percent on public services.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart F.

Regional or Local Office:

Honolulu Field Office and the HUD San Juan Field Office as listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>.

Headquarters Office:

Office of Block Grant Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th St., S.W., Washington, DC 20410. Telephone: (202) 708-1322. E-mail: Stephen.M.Rhodeside@hud.gov.

Web Site Address:

http://www.hud.gov/offices/cpd/about/cpd_programs.cfm.

RELATED PROGRAMS:

15.875, Economic, Social, and Political Development of the Territories.

EXAMPLES OF FUNDED PROJECTS:

Housing rehabilitation, infrastructure and public facilities development, and/or improvements, economic development initiatives, microenterprise/small business creation and/or assistance, and first-time home buyer assistance.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.227 COMMUNITY DEVELOPMENT BLOCK GRANTS/TECHNICAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 107 (b)(4), as amended.

OBJECTIVES:

To help States, units of general local government, Indian tribes and area-wide planning organizations to plan, develop and administer local Community Development Block Grant programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance may be used to transfer skills and knowledge in planning, developing and administering the Community Development Block Grant programs from those individuals and institutions which possess them to eligible block grant entities and affiliated CDBG participants which need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. Assistance may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

Applicant Eligibility:

States, units of general local government, national or regional nonprofit organizations that has membership comprised predominately of entities or officials of entities of CDBG recipients, for-profit or nonprofit professional and technical services companies or firms that have demonstrated knowledge of the CDBG program and the capacity to provide technical assistance services, public or private nonprofit or for-profit organizations, including educational institutions and area-wide planning organizations. Specifications for respondents to competitive requests for proposals are stated in the request documents.

Beneficiary Eligibility:

States, units of general local government, Indian tribes and Alaska natives, entities participating or actively intending to participate in the planning, developing, or administering of a Community Development Block Grant program.

Credentials/Documentation:

Applicants need to obtain a DUNS number to receive an award and submit other documentation as described in a solicitation document.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals may be submitted in response to competitions and solicitations. The Federal Register publication detailing each competition spells out the submission requirements.

Award Procedure:

The Secretary has final approval authority; applicants are notified of acceptance or rejection.

Deadlines:

Deadlines are shown in solicitation documents.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None specified by statute.

Length and Time Phasing of Assistance:

Generally, projects will be funded to operate one to three years. Assistance is released on a reimbursement basis through electronic funds transfers.

Reports:

Quarterly and final financial and performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$3,000,000; and FY 09 est \$5,000,000 (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

(Cooperative Agreements) FY 2005 range: \$100,000-\$539,000; average: \$234,750.

PROGRAM ACCOMPLISHMENTS:

Technical assistance was offered to all of the 50 States and over 1,100 entitlement communities through training programs, satellite broadcasts, manuals and publications. This program did not have funds appropriated between 2000 and 2003, nor in FY 2006 or FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart E, 24 CFR 571.

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Technical Assistance Division, Community Planning and Development, Department of Housing and Urban Development, 451 7th St., SW., Washington, DC 20410. Telephone: (202) 708-3176. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/index.cfm>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to criteria set forth in solicitation documents.

14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS/STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, as amended; Public Law 93-383; 88 Stat. 633; 42 U.S.C. 5301-5321.

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income. Each activity funded must meet one of the program's National Objectives by: Benefiting low and moderate income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (nonentitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to three non-entitlement counties. States must distribute CDBG funds to units of general local government (counties, towns, etc.) in nonentitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select subgrantees to carry out approved projects. Such subgrantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of nonentitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The state of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States must distribute the funds to units of general local government in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. For non-metropolitan areas, low and moderate income is generally defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for

family size.

Credentials/Documentation:

The State must certify that with respect to nonentitlement areas the State will: (1) engage in planning for community development activities; (2) provide technical assistance; (3) consult with local officials from nonentitlement areas in determining methods for distributing funds, and (4) each unit of general local government to receive funds must identify its housing and community development needs, the needs of low and moderate-income persons, and the activities to be undertaken to meet such needs. The State must submit a Consolidated Plan and annual action plan, which contains its method of distributing CDBG funds. The State must also certify that (1) it has met the statutory citizen participation requirements, (2) it will conduct its grant in conformance with the Civil Rights Act of 1964 and the Fair Housing Act, (3) all activities will meet one of the three national objectives described under "OBJECTIVES", and (4) it will comply with the other provisions of Title I and all applicable laws. This program is covered under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under 24 CFR Part 85 and E.O. 12372.

Application Procedure:

Each state must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State submits its Consolidated Plan, annual action plan and Certifications.

Deadlines:

Consolidated Plans may be submitted between November 15 and August 16 of each fiscal year in which the State will administer funds.

Range of Approval/Disapproval Time:

HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a State.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart I).

Renewals:

Not applicable.

Formula and Matching Requirements:

Allocations to States are based on Census data using a dual formula prescribed under Section 106 of the Act. Allocations for each State are based on an amount equaling the greater of the amounts calculated under the two formulas. The factors involved in the first formula are population, extent of poverty and extent of overcrowding, weighted 0.25, 0.50, and 0.25, respectively. The factors involved in the second formula are population, poverty, and age of housing, weighted 0.20, 0.30, and 0.50, respectively. The statistical factors used for fund allocation are (1) total resident population for all places in the nation (2) number of persons with incomes below the poverty level; (3) number of housing units with 1.01 or more persons per room; and (4) age of housing (number of year-round housing units built in 1939 or earlier). The statistical factors for each State are adjusted to reflect only the non-entitled area; that is, the State area excluding metropolitan cities and urban counties. There is no matching requirement for the allocation of funds under the formula. Address questions concerning the formula to the Systems Development and Evaluation Division Rm. 7224, Community Planning and Development, 451 7th Street, S.W. Washington, DC 20410. Telephone: (202) 708-0790.

Length and Time Phasing of Assistance:

Assistance is provided to States on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients.

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91.520; SF-272 Federal Cash Transactions Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Non-Profit Organizations", nonfederal entities that expend \$500,000 or more in a year shall have a single audit conducted for that year in accordance with the provisions of the circular.

Records:

Records must demonstrate that the State has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

FY 07 est \$1,111,196,000; FY 08 \$1,075,929,000; and FY 09 \$818,221,000.

(NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 state grants awarded under the State CDBG program in FY 2008, the average (mean) grant amount was \$21,414,231. Grant amounts ranged from \$1,982,003 to \$71,779,088. The average grant amount in FY 2007 was \$22,117,187. These totals do not include

Hawaii's allocations awarded to its 3 non-entitled counties. Hawaii's share of the 2008 allocation is \$5,217,450.

PROGRAM ACCOMPLISHMENTS:

Accomplishments reported by states in fiscal year 2007 provided 17,492 housing benefits for nonentitlement communities, and created or retained 27,487 jobs.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 570, 24 CFR Part 91, 24 CFR Part 58.

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

State and Small Cities Division, Office of Block Grant Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: (202) 708-1322; e-mail: Diane.L.Lobasso@hud.gov.

Web Site Address:

http://www.hud.gov/offices/cpd/about/cpd_programs.cfm.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas.

EXAMPLES OF FUNDED PROJECTS:

Projects are selected by States.

CRITERIA FOR SELECTING PROPOSALS:

All States are eligible if they meet the requirements outlined in Credentials/Documentation. States are responsible for determining the methods of distribution of funds to their units of general local government in nonentitlement areas.

14.231 EMERGENCY SHELTER GRANTS PROGRAM

(ESG)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78.

OBJECTIVES:

To help improve the quality of emergency shelters and transitional housing for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals, and to help prevent homelessness.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grantees may use the grant for one or more of the following activities relating to emergency shelter and transitional housing for the homeless: (1) renovation, major rehabilitation, or conversion of buildings for use as shelters for the homeless; (2) provision of essential services to the homeless (not more than 30 percent of the grant, unless waived by HUD); (3) payment of operations (not more than 10 percent of the grant for staff management costs), maintenance, rent, repair, security, fuel, equipment, insurance, utilities, and furnishings; and (4) homeless prevention activities (not more than 30 percent of the grant), and (5) administrative costs not to exceed five percent of the ESG grant. In the case of State grantees, the grant for administration must be shared with funded recipients.

Applicant Eligibility:

States, metropolitan cities, urban counties, and territories. Local governments receiving formula allocations may distribute all or part of their grants to nonprofit recipients to be used for ESG activities. State grantees must distribute ESG funds to local governments, or directly to nonprofit organizations with the approval of the local government. Only local governments and nonprofit organizations may apply for ESG funds directly from States. The territories receive their allocations based on their population size.

Beneficiary Eligibility:

Homeless families and individuals, and low-income persons in immediate risk of losing their housing due to eviction, foreclosure, or utility shutoffs.

Credentials/Documentation:

States, metropolitan cities, urban counties, and territories that elect to participate in the program must submit (1) an approved Consolidated Plan; and (2) certifications that each grantee will (a) supplement the assistance provided under the program with an equal amount of funds from other sources, except for State grantees under the \$100,000 exemption, (b) ensure that any building using ESG funds will continue as a homeless shelter for specified periods, (c) ensure that assisted rehabilitation is sufficient to make the structure safe and sanitary, (d) provide for a procedure to ensure the confidentiality of victims of domestic violence, and assist homeless individuals in obtaining appropriate supportive

services and other available assistance, and (e) meet other generally applicable requirements, such as nondiscrimination and equal opportunity. Costs will be determined in accordance with OMB Circular No. A-133 for State and local governments. State grantees are exempt from matching the first \$100,000 of their award, but States must certify that they will provide the benefits of that exemption to local government and nonprofit recipients.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the State office or official designated as the single point of contact for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The Consolidated Plan required by 24 CFR Part 91 must be used for this program.

Application Procedure:

Application Procedure: To be eligible, each grantee must have a HUD-approved Consolidated Plan, which contain descriptions of the community's homeless assistance needs, details available resources, and provides a five-year plan and an annual action plan. The annual action plan specifies new projects being funded, along with any revisions in the overall document. Each participating grantee must submit certifications required by HUD.

Award Procedure:

The HUD field office reviews the grantee's Consolidated Plan and, if approved, executes a grant agreement.

Deadlines:

Each State, metropolitan city, urban county, and territory grantee should submit its Consolidated Plan to its local HUD office no later than 45 days before the start of its program year as required by 24 CFR Part 91.

Range of Approval/Disapproval Time:

The Consolidated plan is generally approved in 10 working days or deemed approved by HUD within 45 days of receipt.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

After the ESG appropriation is set aside for the territories, the amount of each grant for formula grantees is determined by the Community Development Block Grant (CDBG) formula using several objective measures of community need, including poverty, population, housing overcrowding, age of housing and growth lag. Allocations among the territories are based on their populations. If, according to the formula, an eligible government receives less than .05 percent of the yearly appropriation, then that grant is added to the allocation for that State. A State must allocate to its local governments or to nonprofit organizations the entire grant, except for the up to five percent used to administer the grant. The administrative funds must be shared with local government grantees in that State. Grantees must match grant funds with an equal amount of funds from other sources including donated material or a building, leasehold value, additional staff salaries, and volunteer time.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Length and Time Phasing of Assistance: Grant awards should be made within 45 days of HUD's receipt and approval of the Consolidated Plan. A State should make available to its recipients all of its grant allocation within 65 days of the date of the grant award by HUD. A State recipient should have its entire grant obligated by 180 days from the date on which the State made the grant available to it, and spent the entire grant within 24 months of this date. For State homeless prevention funds, the State may continue to make available homeless prevention funds within 180 days of grant award. State recipients that are local government agencies and nonprofit organizations then have 30 days to obligate the funds, and up to 24 months to spend them. Each local government grantee should have all of its grant funds obligated by 180 days from its grant award by HUD, and entirely spent within 24 months.

Reports:

Each grantee shall annually report on its uses of funds and program progress in a HUD-approved format within 90 days after the close of its program year. State and local governments report via an on-line computer linkup with HUD, and a consolidated annual performance and evaluation report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Institutions," State and local governments that expend total Federal financial assistance of \$500,000 or more within their fiscal year must have an independent financial audit conducted for that year. This single audit combines the government's annual financial statement audit with additional audit coverage of its Federal funds.

Records:

According to the ESG regulations, grantees and recipients must retain records for four years from the date of submission of the annual performance report.

Account Identification:

86-0192-0-1-604.

Obligations:

(Grants) FY 07 \$160,000,000; FY 08 est \$160,000,000; and FY 09 est \$160,000,000.

Range and Average of Financial Assistance:

Each grantee shall annually report on its uses of funds and program progress in a HUD-approved format within 90 days after the close of its program year. State and local governments report via an on-line computer linkup with HUD, and a consolidated annual performance and evaluation report.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that all 360 365 eligible governments awarded funds will apply, and that all 360 365 awards will be granted in FY 20072008. The States and entitlement communities make grants to thousands of homeless emergency shelters and transitional housing programs to assist them in maintaining and operating their facilities and providing essential services to homeless clients. In additions, financial assistance and services are provided to help prevent families and individuals from becoming homeless.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by streamlined final regulations published in the Federal Register on October 2, 1996, at 24 CFR Part 576. A comprehensive "Emergency Shelter Grants (ESG) Program Desk Guide" is available from HUD at 1-800-998- 9999, Or can be found on the ESG homepage on HUD's Website at <http://www.hud.gov/offices/cpd/homeless/library/esg/esgdeskguide/>.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Headquarters Office: Michael RoanhouseRobyn Raysor, Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-1226402-4891, E-mail: Michael_RoanhouseRobyn.S.Raysor@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/homeless/programs/esg/index.cfm>.

RELATED PROGRAMS:

14.235, Supportive Housing Program; 14.238, Shelter Plus Care; 14.241, Housing Opportunities for Persons with AIDS; 97.024, Emergency Food and Shelter National Board Program; 93.151, Health Center Grants for Homeless Populations.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposed ESG projects are part of the prospective grantee's Consolidated Plan, which are reviewed according to criteria set forth in 24 CFR 91.

14.235 SUPPORTIVE HOUSING PROGRAM

(Transitional Housing; Permanent Housing for Homeless Persons with Disabilities; Innovative Supportive Housing; Supportive Services for Homeless Persons not in Conjunction with Supportive Housing; Safe Havens; and HMIS.)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.

OBJECTIVES:

The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless persons in the transition from homelessness and to enable them to live as independently as possible. Program funds may be used to provide: (i) transitional housing within a 24-month period as well as up to six months of follow-up services to former residents to assist their adjustment to independent living; (ii) permanent housing provided in conjunction with appropriate supportive services designed to maximize the ability of persons with disabilities to live as independently as possible; (iii) supportive housing that is, or is part of, a particularly innovative project for, or alternative method of, meeting the immediate and long-term needs of homeless individuals and families; (iv) supportive services for homeless individuals not provided in conjunction with supportive housing,(v) safe havens for homeless individuals with serious mental illness currently residing on the streets who may not yet be ready for supportive services; and (vi)HMIS for developing management information systems to maintain data on beds, units and homeless individuals.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Eight types of assistance may be provided for Supportive Housing: acquisition of structures for use as supportive housing or in providing supportive services; rehabilitation of structures for use as supportive housing or in providing supportive services; new construction of buildings for use as supportive housing under limited circumstances; leasing of structures for use as supportive housing

or in providing supportive services; operating costs of supportive housing; costs of providing supportive services to homeless persons; costs of implementing and operating management information systems; and administrative costs not to exceed five percent of the SHP grant.

Applicant Eligibility:

States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children.

Credentials/Documentation:

Private nonprofit entities must establish eligibility based on documentation showing they have tax exempt status under Section 501(c)(3) of the Internal Revenue Code; are a certified United Way Agency; or have a voluntary board and a functioning accounting system.

Preapplication Coordination:

An environmental assessment is required for this program. Any application that HUD determines would have a significant adverse impact on the human environment would not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372.

Application Procedure:

One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

A Notice of Fund Availability announces deadlines for completed applications and time frames for HUD selections.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal funding decision if they believe a HUD error has occurred.

Renewals:

Information on renewals is contained in the Notice of Fund Availability and the application package.

Formula and Matching Requirements:

Recipients must match grants for acquisition, rehabilitation, and new construction, with an equal amount of funds from other sources. Recipients must also provide a cash match for support services and operations. The Supportive Housing Program will pay no more than 80 percent of total support service costs, 75 percent of total operating costs or 80 percent of total HMIS costs.

Length and Time Phasing of Assistance:

Grants may be made available for up to 3 years for leasing, operating, supportive services and HMIS costs.

Reports:

Each recipient must keep any records and make any reports as specified by HUD.

Audits:

The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 44. Both 24 CFR Part 44 and 45 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Grants) FY 07 \$951,924,000; FY 08 est \$1,059,172,000 and FY 09 est

\$1,096,317,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

HUD imposes the following limitations: (1) Acquisition and/or rehabilitation construction grants for the Supportive Housing Program may not exceed 200,000 (up to \$400,000 in high cost areas); new construction may not exceed \$400,000; (2) funding of up to 75 percent for operating costs; (3) funding of up to 80 percent for supportive services and HMIS costs; and (4) the program provides grants for leasing costs for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

5,235 applications were received for the Supportive Housing Program as of the end of fiscal year 2007. 4,964 project applications received conditional grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 583 published in the Federal Register. Other program information is available from Community Connections at (1-800) 998-9999.

Regional or Local Office:

Designated contact person for the Supportive Housing Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7266, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-4300. Use the same number for FTS. E-mail: Robyn.S.Raysor@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/homeless/index.cfm>
<http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.231, Emergency Shelter Grants Program; 14.238, Shelter Plus Care; 14.241, Housing Opportunities for Persons with AIDS; 14.249, Section 8 Moderate Rehabilitation Single Room Occupancy.

EXAMPLES OF FUNDED PROJECTS:

Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register.

14.238 SHELTER PLUS CARE

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403.

OBJECTIVES:

The Shelter Plus Care Program provides rental assistance, in connection with supportive services funded from sources other than this program, to homeless persons with disabilities (primarily persons who are seriously mentally ill; have chronic problems with alcohol, drugs, or both, or have acquired immunodeficiency syndrome and related diseases) and their families. The program provides assistance through four components: (1) Tenant-based Rental Assistance (TRA); (2) Sponsor-based Rental Assistance (SRA); (3) Project-based Rental Assistance (PRA); (4) and Single Room Occupancy for Homeless Individuals (SRO).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Homeless persons with disabilities and their families. Homeless persons with disabilities.

Applicant Eligibility:

An eligible applicant is a State, unit of general local government, or public housing agency (PHA).

Beneficiary Eligibility:

Homeless persons with disabilities and their families. Except in single room occupancy dwellings that are only for homeless individuals with disabilities.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Governmental entities and public housing agencies will certify to their eligibility.

Preapplication Coordination:

An environmental assessment is required for this program. Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372.

Application Procedure:

An electronic application package prescribing the specific information that applicants must submit through the local Continuum of Care (CoC) is available from HUD through the annual CoC Notice of Fund Availability, found at

<http://hudhre.info>. The standard electronic application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the Notice of Fund Availability (NOFA).

Deadlines:

A Notice of Fund Availability announces deadlines for completed applications and time frames for HUD selections.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes occurred.

Renewals:

One year funding for projects eligible for renewal as contained in the Notice of Fund Availability and application.

Formula and Matching Requirements:

Recipients must match the rental assistance by supportive services that are equal in value to the aggregate amount of rental assistance and appropriate to the needs of the population to be served.

Length and Time Phasing of Assistance:

HUD will provide rental assistance under the TRA and SRA components for a five year period. Rental assistance under the SRO component will be for a ten-year period. If units are rehabilitated, and meet the standards required, assistance under the PRA component will be for a ten-year period; otherwise it will be for a five-year period.

Reports:

Each recipient must keep any records and make any reports as specified by HUD.

Audits:

The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with OMB Circular No. A-133 as stipulated in 24 CFR 45. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

Grants) FY 07 \$315,226,000; FY 08 est \$350,741,000; and FY 09 est \$363,041,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

HUD imposes the following limitations: No more than ten percent of the assistance available for any fiscal year may be used for programs located within any one unit of general local government. At least ten percent of the funds available must be made available for each of the four components. In FY 2007, the average grant amount was \$374,071.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 HUD funded 138 new Shelter Plus Care projects and 806 Shelter Plus Care renewal projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 582 Shelter Plus Care Program Final Rule.

Regional or Local Office:

Designated contact person or monitor for the Shelter Plus Care Program in HUD Field Offices with a Community Planning and Development Division. Program information may also be obtained from Community Connections at HUD at (1-800)998-9999.

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7266, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-4300. Use the same number for FTS. E-mail Robyn.S.Raysor@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/homeless/index.cfm> and <http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.231, Emergency Shelter Grants Program; 14.235, Supportive Housing Program; 14.241, Housing Opportunities for Persons with AIDS.

EXAMPLES OF FUNDED PROJECTS:

None available.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each Notice of Fund Availability.

14.239 HOME INVESTMENT PARTNERSHIPS PROGRAM

(HOME Program)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Affordable Housing Act, Title II, 1990, as amended.

OBJECTIVES:

(1) To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; (2) to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; (3) to provide both financial and technical assistance to participating jurisdictions, including the development of model programs for developing affordable low income housing; and (4) to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to homebuyers, acquisition of housing and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers. In December 2003, the American Dream Downpayment Act authorized a new program, American Dream Downpayment Initiative (ADDI), to be administered as a part of the HOME Program. ADDI will grant funds to all 50 states and local participating jurisdictions that have a population of at least 150,000 or will receive an allocation of at least \$50,000 under the ADDI formula. Participating jurisdictions may use ADDI funds to provide downpayment, closing costs and rehabilitation assistance to low-income families to help them achieve homeownership. Technical Assistance is available to help HOME participating jurisdictions design and implement HOME programs, including: improving their ability to design and implement housing strategies and incorporate energy efficiency into affordable housing; facilitating the exchange of information to help Participating Jurisdictions carry out their programs; facilitating the establishment and efficient operation of employer-assisted housing programs and land bank programs; and/or encouraging private lenders and for-profit developers of low-income housing to participate in public-private partnerships. Community Housing Development Organization Technical Assistance (CHDO TA) to promote the ability of CHDOs to maintain, rehabilitate and construct housing for low-income homeowners and tenants; and help women who reside in low- and moderate-income neighborhoods to rehabilitate and construct housing in these neighborhoods.

Applicant Eligibility:

States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas. Technical Assistance applicants: a for-profit and non-profit professional and technical services company or firm that has demonstrated capacity to provide technical assistance services; a HOME participating jurisdiction or agency thereof; a public purpose organization responsible to the chief elected official of a PJ and established pursuant to State or local legislation; an agency or authority established by two or more PJs to carry out activities consistent with the purposes of the HOME program; a national or regional non-profit organization that has membership comprised predominantly of entities or officials of entities of PJs or PJs agencies or established organizations. CHDO Technical Assistance: public and private non-profit intermediary organizations that customarily provide services (in more than one community) related to affordable housing or neighborhood revitalization of CHDOs, or similar organizations that engage in community revitalization, including all eligible organization under section 233 of the Cranston-Gonzalez National Affordable Housing Act, as amended.

Beneficiary Eligibility:

For rental housing, at least 90 percent of HOME funds must benefit low and very low income families at 60 percent of the area median income; the remaining ten percent must benefit families below 80 percent of the area median. Assistance to homeowners and homebuyers must be to families below 80 percent of the area median.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Specific requirements of 24 CFR part 85 apply to participating jurisdictions, State recipients and any governmental subrecipient receiving HOME funds. OMB Circular No. A-122 and specific requirements of 24 CFR part 84 apply to nonprofit subrecipients which are not governmental.

Preapplication Coordination:

HUD produces an annual list of each jurisdiction's formula allocation. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOME. The annual action plan must describe how the funds will be used. The technical assistance funds are awarded on a competitive basis through a national competition, under the Notice of Fund Availability. An application package prescribing the specific information that an applicant must submit will be available from HUD when the NOFA is published in the Federal Register.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for formula applicants and for those applications selected on a competitive basis.

Deadlines:

Action plans should be submitted to HUD at least 45 days before the start of the program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which funds are allocated. The Notice of Funding Availability for technical assistance for competitive grants announces deadlines for applications.

Range of Approval/Disapproval Time:

HUD will review for completeness and consistency with the purposes of the Act, within 45 days of receipt from a jurisdiction. The selection of successful competitive technical assistance applicants Will take place at HUD Headquarters.

Appeals:

Formula allocations may not be appealed.

Renewals:

Every fifth year, localities submit a Consolidated Plan. Each year jurisdictions must submit an action plan and certifications. A new application procedure is initiated for each technical assistance request.

Formula and Matching Requirements:

The formula is a system of factors established to reflect a jurisdiction's need for an increased supply of affordable housing for low and very low income families. Designed by HUD to meet statutory criteria, it is based on a jurisdiction's inadequate housing supply, substandard housing, the number of low income households in housing units likely to be in need of rehabilitation, the cost of producing housing, poverty, and the relative fiscal incapacity of the jurisdiction to carry out housing activities without Federal assistance. A separate formula based on need and prior commitment to homebuyer assistance is used to allocate ADDI funds to participating jurisdictions. Each jurisdiction must make matching contributions to affordable housing throughout a fiscal year in an amount not less than 25 percent of the HOME funds drawn from the U.S. Treasury during the federal fiscal year for projects. Jurisdictions in fiscal distress receive full or partial (50 percent) relief from this requirement.

Length and Time Phasing of Assistance:

Grant funds are drawn down from the U. S. Treasury based upon individual project development progress. The HOME program uses an electronic fund transfer disbursement and information system. Once drawn down, funds must be expended within fifteen days. All funds must be committed within 24 months and expended within 5 years.

Reports:

Financial management and annual performance reports.

Audits:

In accordance with 24 CFR Part 44 and OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Records which enable HUD to determine whether the participating jurisdiction has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period.

Account Identification:

86-0205-0-1-604.

Obligations:

FY 07 \$1,715,671,000; FY 08 est \$1,654,000,000; and FY 09 est \$1,966,640,000 (NOTE: Amounts reported reflect allocation of new budget

authority rather than obligation amounts.)

Range and Average of Financial Assistance:

\$256,131 to \$116,046,814; \$2,607,003.

PROGRAM ACCOMPLISHMENTS:

As of March 31, 2008, 844,754 units were committed; 781,101 units were completed; and 162,908 families have received tenant-based rental assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 92.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Clifford Taffet, Director, Office of Affordable Housing Programs, Room 7164, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-2470. Use the same number for FTS. E-mail: Clifford.Taffet@hud.gov.

Web Site Address:

http://www.hud.gov/offices/cpd/homeprogram.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.241 HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

(HOPWA)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

AIDS Housing Opportunity Act, Public Law 101-624.

OBJECTIVES:

To provide States and localities with the resources and incentives to devise long-term comprehensive strategies for meeting the housing needs of persons with AIDS or related diseases and their families.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Grantees and project sponsors may use HOPWA funds to provide for any of the following eligible activities, subject to certain standards and limitations provided in the regulations: (1) Housing information services including, but not limited to, counseling, information, and referral services to assist eligible individuals to locate, acquire, finance and maintain housing; (2) Resource identification to establish, coordinate and develop housing assistance resources; (3) Acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services; (4) New construction (for single room occupancy (SRO) dwellings and community residences only); (5) Project- or tenant-based rental assistance including assistance for shared housing arrangements; (6) Short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagor of a dwelling; (7) permanent housing placement assistance; (8) Supportive services including, but not limited to, health, such as uncompensated costs subject to limitations at 574.310(a)(2), mental health, assessment, drug and alcohol abuse treatment and counseling, day care, nutritional services, intensive care when required, and assistance in gaining access to local, State, and Federal government benefits and services except that health services may not be provided to family members (Per the HOPWA regulations, health services may only be provided to an individual with HIV/AIDS (not family members) and payments for health-care costs are limited by 574.300(b)(7) to items and services that are not made or reasonably expected to be made under compensation programs, insurance policy, or health benefits programs. HUD issued guidance on the restricted use of HOPWA funds for AIDS Drug Assistance and other health-care costs). (9) Operating costs for housing facilities including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; (10) Technical assistance in establishing and operating a community residence, including planning and other pre-development or pre-construction expenses and including, but not limited to, costs relating to community outreach and educational activities regarding AIDS (and the fiscal year 2004 appropriation act and subsequent acts authorize the use of funds for technical assistance, training and oversight); (11) Administrative expenses: 3 percent for grantees and 7 percent for project sponsors, including costs of staff necessary to carry out eligible activities; and (12) For competitive grants only, any other activity proposed by the applicant and approved by HUD. Restrictions: Appropriate supportive services must be provided as part of any HOPWA assisted housing. General standards for housing activities are established, including minimum use periods for structures (10 years for new construction, substantial rehabilitation or acquisition and 3 years for other non-substantial rehabilitation or repair). Resident rent payments are required for

rental assistance and for residing in rental housing. Additional standards for rental assistance, short-term supported housing, and community residences are provided.

Applicant Eligibility:

(1) Entitlement grants will be awarded by formula to States and qualifying cities for eligible metropolitan statistical areas (EMSAs) with the largest number of cases of AIDS. The most populous city will serve as the applicant/grantee for the EMSAs award; and (2) competitively awarded grants will be awarded to: (a) States, local governments and nonprofit organizations for special projects of national significance; and (b) projects submitted by States and localities in areas that do not qualify for HOPWA formula allocations. Nonprofit organizations are eligible to apply for projects of national significance, but may also serve as a project sponsor for other types of grants. As required by the Appropriation Act, HUD gives priority to the renewal of permanent supportive housing projects.

Beneficiary Eligibility:

Eligible beneficiaries are low income persons with HIV or AIDS and their families. However, regardless of income, persons living with HIV/AIDS may receive housing information. Persons living near community residences may receive educational information.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and No. A-110 and A-122 section report to private nonprofit organizations. Governmental entities will certify to their eligibility.

Preapplication Coordination:

An environmental assessment is required for this program. Any activity provided in an application that HUD determines would have a significant adverse impact on the human environment would not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372.

Application Procedure:

Government recipients must have submitted a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOPWA. The annual action plan must describe how funds will be used. Ten percent of HOPWA funds and the technical assistance funds are awarded on a competitive basis through a national competition, under the SuperNOFA, a Notice of Fund Availability. Application packages prescribing the specific information that applicants must submit will be available from HUD when the SuperNOFA is published in the Federal Register. The fiscal year 2008 appropriation act authorizes the Secretary to renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of AIDS Housing Act that meet all program requirements before awarding funds for new contracts and activities authorized under this section: Provided further, That the Secretary may use funds under this heading for training, oversight, and technical assistance activities, and amounts will be adjusted based on approved appropriations for this program.

Award Procedure:

HUD reviews Consolidated Plan submissions and competitive applications under the SuperNOFA. HUD prepares a Grant Agreement for formula applicants and for those applications selected on a competitive basis.

Deadlines:

A Notice of Funding Availability (NOFA) for competitive grants announces deadlines for applications. For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's operating year, but no earlier than December 1 or no later than August 16 of the fiscal year for which funds are allocated.

Range of Approval/Disapproval Time:

Processing of Consolidated Plan submissions will take place in HUD Field Offices and selection of successful competitive applicants will take place in HUD Headquarters with post-selection technical submissions processed in HUD Field Offices. HUD will make its final selections and obligate funds as soon as the applications are processed.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The formula for entitlement grants is found at 24 CFR 574.130. Ninety percent of appropriated amounts are to be distributed by formula entitlement. Seventy-five percent of this 90 percent is distributed to qualifying cities for eligible metropolitan statistical areas (EMSA) and eligible States for as outside of EMSAs, based on each metropolitan area's or State's proportionate share of the cumulative number of AIDS cases in all eligible metropolitan areas and eligible States. The remaining twenty-five percent is allocated among the qualifying cities in eligible metropolitan statistical areas, but not States, where the per capita incidence of AIDS for the year (April 1 to March 31) preceding the fiscal year of the appropriation is higher than the average for all metropolitan areas with more than 500,000 population. The EMSAs allocation is based on its proportionate share of the incidence of AIDS cases. The high incidence factor is computed by multiplying: (1) the population of the metropolitan area; and (2) the difference between its twelve-month-per-capita-incidence rate and the rate

for all metropolitan areas with more than 500,000 population. HUD will use data collected by the Department of Health and Human Services, Public Health Service, Centers for Disease Control and Prevention (CDC), National Center for Infectious Diseases, Division of HIV/AIDS, definition of metropolitan areas issued by OMB, and population data provided by the Census. The CDC data utilized will be as reported as of March 31 of the fiscal year immediately preceding the fiscal year of the appropriation. Some adjustments to allocations are made in the annual appropriations acts.

Length and Time Phasing of Assistance:

Funds should be expended in a timely manner or may be subject to deobligation after 3 years from the date of the signing of the grant agreement or operating start date established in a grant agreement for a competitive grant, with an allowance for a one-year extension, if approved by HUD.

Reports:

Annual performance reporting requirements are applicable to both competitive and formula grantees which will provide information, including the number of persons assisted, the types of assistance provided, and any other information that HUD may require, to assess the overall performance and accomplishments of the grantees program activities under approved goals and objectives. Grantees must complete the annual report for each operating year in which HOPWA funds were expended, including the planned housing output by type of housing assistance, and report on this with actual accomplishment, including results for client outcomes in maintaining stable housing, reducing risks of homelessness and improved access to care. Recipients jurisdiction file a consolidated Annual Performance and Evaluation Report (CAPER) which are required and are due to HUD 90 days following the completion of the grantee's operating year along with data elements to be provided in HUD's information technology system for Consolidated Plan programs (IDIS). Information is collected periodically in the Department's Integrated Disbursement and Information System (IDIS) to provide complete annual information on the use of program and other funds.

Audits:

The financial management systems used by governmental entities that are grantees or project sponsors of other grantees must provide for audits in accordance with 24 CFR 85. A nonprofit organization serving as a grantee or project sponsor for a grantee is subject to the audit requirements set forth in 24 CFR part 84.

Records:

Grantees will ensure that records are maintained for a seven-year period to document compliance with the program. Grantees must maintain current and accurate data on the race and ethnicity of program participants.

Account Identification:

86-0308-0-1-604.

Obligations:

(Grants) FY 07 \$286,110,000; FY 08 est \$300,100,000; and FY 09 est \$300,100,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

The range of Fiscal Year 2008 formula allocations is \$56,811,000 to the largest grant and \$115,000 to the smallest grant; Grants selected under the fiscal year 2008 competition are pending selection as renewal or new projects; the FY 2007 selections range in size from \$269,278 to \$1,424,500.

PROGRAM ACCOMPLISHMENTS:

HOPWA program addresses the housing needs of low-income persons with HIV/AIDS and their families. Assistance enables these special needs households to establish or maintain stable housing, reduce their risks of homelessness and improve their access to health-care and other support. Housing also serves as a base from which to participate in advances in HIV treatment and related care. HOPWA funds have helped many communities establish strategic AIDS housing plans, better coordinate local and private efforts, fill gaps in local systems of care, and create new housing resources. In assisting low-income persons who are living with HIV or AIDS and their families avoid homelessness, grantees have provided for a wide-array of housing with social services and engage in program planning and development activities in connection with health-care, AIDS drug assistance and other support offered through community-wide efforts. Formula and competitive grantees are reporting that 67,850 units of housing have been supported through HOPWA funds in program year 2006-7. Grantees also report that about 91 percent of HOPWA's beneficiaries have family incomes of less than \$1,000 per month and all families are low-income (less than 80 percent of area median income), including 77 percent with extremely low incomes (less than 30 percent of area median incomes).

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Rule at 24 CFR 574, as amended; and Consolidated Plan Final Rule at 24 CFR part 91.

Regional or Local Office:

Designated Community Planning and Development staff in each HUD Field Office. HUD Field Offices are listed in Appendix IV of the Catalog.

Headquarters Office:

David Vos, Director, Office of HIV/AIDS Housing, Community Planning and Development, Department of Housing and Urban Development, 451 Seventh Street S.W., Room 7212, Washington, DC 20410. Telephone: (202) 708-1934,

(1-800) 877-8339 TTY, E-Mail: HOPWA@hud.gov. Information on HOPWA is also available on the HUD home page on the world wide web at <http://www.hud.gov/offices/cpd/aidshousing>. HOPWA Technical Assistance is available to HOPWA grantees and Project Sponsors through the HOPWA National Technical Assistance program.

Web Site Address:

<http://www.hud.gov/offices/cpd/aidshousing>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants.

EXAMPLES OF FUNDED PROJECTS:

AIDS Alabama, Inc., of Birmingham was awarded a 2007 HOPWA renewal grant of \$879,963 to continue the Alabama Rural AIDS Project. This permanent housing support reaches 27 households through the use of small housing facilities operated by local providers in the state's 35 most rural counties. The Delaware HIV Consortium was awarded a HOPWA 2007 renewal grant of \$749,291 to continue Women's Space, involving the operation of a permanent housing facility which provides 10 units of housing and on-site supportive services to assist women with HIV/AIDS address co-occurring substance use and/or mental health disorders. Broward House, Inc., Recently Incarcerated Substance Abuse Housing (RISAH) in Fort Lauderdale, Florida was awarded a new 2007 HOPWA Special Project of National Significance grant of \$1,339,000. RISAH will provide nine units of double occupancy transitional housing and substance abuse treatment to 36 post incarcerated individuals annually. Contact: Greyston Health Services Inc., 23 Park Avenue, Yonkers, NY 10703. Steven Brown, President and CEO, Telephone: (914) 376-3900; Fax: (914) 376-1333; and E-mail: sabrown@greyston.org.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, the Statute establishes rating criteria with more specific detail outlined within the published in a NOFA and by a CPD notice on renewals.

14.244 EMPOWERMENT ZONES PROGRAM

(Empowerment Zones and Enterprise Communities)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1993, Title XIII, Public Law 103-66, Sections 952-954; Taxpayer Relief Act of 1997, Public Law 105-34; Community Renewal Tax Relief Act of 2000, Public Law 106-554.

OBJECTIVES:

The purpose of this program is to establish Renewal Communities (RCs) and Empowerment Zones (EZs) in certain distressed urban and rural areas, to reduce poverty and to stimulate business growth, job creation, and other aspects of economic and community development primarily through billions of dollars in tax incentives for businesses to hire residents of these communities, expand their facilities, and upgrade their equipment needs. The Department of Housing and Urban Development (HUD) has designated 40 RCs in one competition to date. Twenty-eight of these RCs are in urban areas and twelve are in rural areas. HUD has designated 30 EZs to date in three separate competitions and all of these EZs are in urban areas. No RC or EZ has more than 200,000 residents. More information regarding the objectives of the Renewal Community and Empowerment Zone programs is available at www.hud.gov/cr. The tax incentives are described in IRS Publication 954 and on IRS forms including forms 8844 and 8850. total area of the noncontiguous parcels must not exceed 2,000 acres; (f) Is located entirely within the jurisdiction of the unit or units of general local government making the nomination, and is located in no more than two contiguous States; and (g) Does not include any portion of a central business district, as this term is used in the most recent Census of Retail Trade, unless the poverty rate for each census tract in the district is not less than 35 percent.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

DESIGNATION AS AN EMPOWERMENT ZONE. Currently, grant funds are available over the life of the program under the provisions of the appropriations statutes enacted by Congress and the business tax incentives for RCs and EZs extend through 2009.

Applicant Eligibility:

Eligible applicants were one or more units of general local government, along with the State or States in which they were located, that nominated an area meeting the criteria for eligibility of nomination, including population thresholds, poverty, unemployment and distress criteria, and boundary and geographic size limitations. HUD designated areas from among the nominations submitted. The designations continue until December 31, 2009.

Beneficiary Eligibility:

Residents and businesses in designated urban areas. To determine if an address qualifies for the business tax incentives visit www.hud.gov/crlocator.

Credentials/Documentation:

Interested persons should visit HUD's Renewal Community/Empowerment website www.hud.gov/cr and the USDA Empowerment Zone website www.ezec.gov periodically for information on applying for future competitions to designate additional Renewal Communities and/or Empowerment Zones, and for information on taking advantage of the benefits in an existing RC or EZ. HUD designated EZs in 1994, 1998, and 2002, and RCs in 2002. There is currently no authority for HUD to make additional designations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Interested persons should visit HUD's website for the Renewal Community and Empowerment Zone programs www.hud.gov/cr, and the USDA website for the rural Empowerment Zone program www.ezec.hud, periodically for information on any upcoming competitions and detailed information on procedures required to apply for a Renewal Community or Empowerment Zone designation.

Award Procedure:

The Secretary of HUD will review applications for the designation of nominated urban areas to determine the quality of the strategic plans submitted by nominating State local government(s); other factors established by HUD; and geographic diversity.

Deadlines:

Applications must be received by the deadline established by the Secretary of HUD.

Range of Approval/Disapproval Time:

4 to 6 months following application deadline if authority to make additional designations is provided.

Appeals:

The Secretary of HUD may waive for good cause any provision of the program not required by statute, where it is determined that the requirement would produce a result adverse to the purpose and objectives of the program.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Period of designation: The December 31, 2001, designation of areas as new Empowerment Zones and Renewal Communities shall remain in full effect during the period beginning on the date of designation and ending on the earliest of: (a) December 31, 2009; (b) The termination date designated by the State and local governments in their application for nomination; or (c) The date the Secretary modifies or revokes the designation.

Reports:

HUD will require periodic reports for the Empowerment Zones and Renewal Communities designated pursuant to this part. These reports will identify the community, local government and State actions which have been taken in accordance with the strategic plan and tax incentive utilization plan. In addition to these reports, such other information relating to designated Empowerment Zones and Renewal Communities as HUD shall request from time to time, including information documenting nondiscrimination in hiring and employment by business within the designated Empowerment Zone or Renewal Community, shall be submitted promptly.

Audits:

Periodic performance reviews. HUD will regularly evaluate the progress of the strategic plan and tax incentive utilization plan in each designated Empowerment Zone and Renewal Community on the basis of performance reviews to be conducted on site and other information submitted. HUD may also commission evaluations of the Empowerment Zone and Renewal Community programs as a whole by an impartial third party, at such intervals as HUD may establish.

Records:

None.

Account Identification:

86-0315-0-1-451; 86-0315-4-1-451.

Obligations:

FY 07 \$0; FY 08 est \$0; and FY 09 est \$0. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

HUD awarded EZ grants totaling \$25.6 million to each of the 15 round II urban EZs in varying amounts from 1998-2005. The round I EZs received grants funded by the US Department of Health and Human Services. The round I and II EZs also receive the business tax incentives. Under current law, Renewal Communities and Round III EZs receive only the tax incentives.

PROGRAM ACCOMPLISHMENTS:

On December 31, 2001, HUD designated 8 new urban Round III Empowerment Zones, as well as 40 Renewal Communities in urban and rural areas nationwide. The tax credits claimed by sole proprietor business owners for hiring a resident of a Renewal Community or Empowerment Zone to work in the designated area increased from \$61 million in 2002 to \$105 million in 2004 to almost \$128 million in 2005. The certificates filed by employers with state governments to claim the Work Opportunity Tax Credits for hiring youth or summer youth

residents of the areas increased from 35,077 in 2003 to 83,758 in 2005. The allocations of commercial revitalization deductions for construction of commercial and industrial buildings in the Renewal Communities increased from \$209 million in 2003 to \$259 million in 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 598 and 599 Designation of Round III Urban Empowerment Zones and Renewal Communities; Interim Rule. Part 598 was amended on December 13, 2007. Also, see "Tax Incentive Guide for Business in the Renewal Communities, Empowerment Zones and Enterprise Communities", "Tax Incentives + Businesses = Jobs," and "Spotlight on Results," all of which are available by calling (1-800)998-9999.

Regional or Local Office:

All HUD Field offices with a Community Planning and Development Division, ATTN: Director of Community Planning and Development. See Appendix IV of the Catalog for Field Offices addresses/phone numbers.

Headquarters Office:

HUD Office of Community Planning and Development, Office of Community Renewal. Telephone: (202) 708-6339.

Web Site Address:

<http://www.hud.gov/cr>.

RELATED PROGRAMS:

10.772, Empowerment Zones Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Interested parties should visit HUD's website for the Renewal Community and Empowerment Zone programs (www.hud.gov/cr) and the USDA website for the rural Empowerment Zone program (www.ezec.hud) periodically for information on any upcoming competitions and detailed information on criteria to be used to select proposals for Renewal Community and Empowerment Zone designations.

14.246 COMMUNITY DEVELOPMENT BLOCK GRANTS/BROWNFIELDS ECONOMIC DEVELOPMENT INITIATIVE

(BEDI; Section 108)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 108(q), as amended, Public Law 93-383.

OBJECTIVES:

To return Brownfield's to productive economic use by assisting units of general local government in carrying out economic development projects on contaminated land or buildings. BEDI grants are designed to enhance the security of loans guaranteed under the Section 108 program or improve the viability of projects financed with Section 108 funds.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance may be used for any activity eligible under Section 108(a) of the Housing and Community Development Act of 1974, except that fiscal year 2008 grant funds may not be used to fund reserves. Grant funds may only be used in conjunction with a new, that is, not yet approved, Section 108 Loan for the same project.

Applicant Eligibility:

Units of general local government.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Proposed activities must be consistent with a unit of general local government's consolidated plan submitted for HUD's Community Planning and Development programs. Proposed activities must also meet applicable citizen participation requirements. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposals may only be submitted in response to competitions announced in a Federal Register publication. The Federal Register publication of a Notice of Funds Availability (NOFA) will detail the purpose of each separate program, the application deadlines, the funds available for each competition, the submission requirements and the competitive factors that will be considered in awarding grants.

Award Procedure:

Competitive, based upon factors published in a Federal Register NOFA.

Deadlines:

Applications must be submitted within the deadlines established in the published Federal Register NOFA.

Range of Approval/Disapproval Time:

Generally within 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Brownfields EDI grant funds may only be used in conjunction with a new Section 108-Guaranteed loan for the same project.

Length and Time Phasing of Assistance:

Projects should be completed within a five year period. Funds would be accessed by line of credit in the same ratio as the amount of BEDI grant funds compared with the amount of Section 108 Loan funds committed by the applicant for the project.

Reports:

All reporting is made in connection with an eligible public entity's Community Development Block Grant program reporting.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The applicant must maintain records with regard to subrecipient and activity eligibility, national objectives, financial management, citizen participation, relocation, environmental impact, labor standards, equal opportunity and other requirements set forth in the regulations.

Account Identification:

86-0314-0-1-451; 86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$9,900,000; FY 08 est \$10,000,000; and FY 09 est \$0. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

Fiscal Year 2007 range of assistance was \$480,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 46 BEDI applications were received with 14 funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations are the same as for the Community Development Block Grants found at 24 CFR Part 570.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

David Kaminsky, Economic Development Specialist, Office of Economic Development, Community Planning and Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-4612 E-mail: david.kaminsky@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants; 14.228, Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii.

EXAMPLES OF FUNDED PROJECTS:

A wide-range of economic development activities including commercial, industrial and residential economic development projects.

CRITERIA FOR SELECTING PROPOSALS:

Based upon competitive factors contained in the NOFA.

14.247 SELF-HELP HOMEOWNERSHIP OPPORTUNITY PROGRAM

(Self-Help Homeownership Opportunity Program-SHOP)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Opportunity Extension Act of 1996, Section 11, as amended.

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help housing where the homebuyer contributes a significant amount of sweat equity toward the construction of the dwellings.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per dwelling. Additionally, up to 20 percent of the grant amount may be used for administrative costs.

Applicant Eligibility:

Funds are awarded competitively to national or regional nonprofit organizations or consortia that have experience in providing self-help housing homeownership opportunities.

Beneficiary Eligibility:

Eligible homebuyers are low-income families who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity or volunteer labor to the development of the dwellings.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR part 84 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in the Federal Register. The General Section of the SuperNOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the Federal Register SuperNOFA, respondents are notified of acceptance or rejection.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Leveraging of other public and/or private resources and homebuyer sweat equity and volunteer labor are required to complete housing construction.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period. For affiliates that develop 5 or more dwellings units, up to a 36-month period.

Reports:

Quarterly and annual progress reports and final close-out reports.

Audits:

The audit requirements in 24 CFR part 45 (implementing OMB Circular No. A-133) apply. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-604; 86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$19,800,000; FY 08 est \$26,500,000; and FY 09 est \$40,000,000 (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

Four grants were awarded in FY 2007. They ranged from \$2,241,245 to \$8,404,670. The average grant was \$4,699,260.

PROGRAM ACCOMPLISHMENTS:

1,999 units were completed in FY 2007 and over 2,500 units are under development.

REGULATIONS, GUIDELINES, AND LITERATURE:

The statute and SHOP NOFA constitute the regulations.

Regional or Local Office:

None.

Headquarters Office:

Lou Thompson, Office of Affordable Housing Programs, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-2684. E-mail: louise.d.thompson@hud.gov.

Web Site Address:

<http://www.hud.gov/progdesc/cpdindx.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA and SuperNOFA.

14.248 COMMUNITY DEVELOPMENT BLOCK GRANTS-SECTION 108 LOAN GUARANTEES

(Section 108)

FEDERAL AGENCY:

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 108 as amended.

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community. The President's FY 2009 budget proposes to combine several categorical programs, including the Section 108 program, into the CDBG program.

Applicant Eligibility:

Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; nonentitlement communities that are assisted in their submission of applications by States that administer the CDBG program; nonentitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2008 appropriations act authorizes HUD to provide loan guarantees to States borrowing on behalf of local governments in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication requirements are found in 24 CFR Section 570.704. Presubmission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral.

Deadlines:

None; applications can be submitted at any time.

Range of Approval/Disapproval Time:

Approximately 75 days from submission of complete application to appropriate HUD Field Office.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Formula and Matching Requirements: Section 108 has no matching requirement but Section 108 funds (like CDBG funds) may be used as local matching funds for most other Federal programs. Maximum commitment amounts are limited as follows: Entitlement Public Entities an entitlement public entity may apply for

up to five times the public entity's latest (approved) CDBG entitlement amount, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans; State assisted public entities a nonentitlement public entity may apply for up to five times the latest (approved) CDBG amount received by its State, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans for which the State has pledged its CDBG funds as security; and Nonentitlement public entities eligible under the HUD administered Small Cities Program in Hawaii and Insular Areas, the maximum commitment amount is five times the public entity's latest grant under Subpart F of 24 CFR Part 570, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years. Repayment schedules and forms are negotiable.

Reports:

The reporting requirements for Section 108 are the same as the reporting requirements for the CDBG program.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart F of 24 CFR Part 570. The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-0198-0-1-451.

Obligations:

(Loan limitation) FY 07 \$137,500,000; FY 08 est \$200,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$223,000 to \$20,890,000; \$5,425,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 37 commitments are estimated to have generated 4,108 jobs. Fiscal year 2008 estimate: 35 commitments issued and 4,100 jobs created or retained.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Financial Management Division, Room 7180, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410.

Web Site Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants; 14.228, Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii.

EXAMPLES OF FUNDED PROJECTS:

A wide-range of community and economic development projects includes public facilities, housing rehabilitation projects, and economic development loan funds.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR S 570.704.

14.249 SECTION 8 MODERATE REHABILITATION SINGLE ROOM OCCUPANCY

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended.

OBJECTIVES:

The Section 8 Moderate Rehabilitation Single Room Occupancy Program provides rental assistance to homeless individuals. Under the program, HUD enters into Annual Contributions Contracts with public housing agencies (PHAs) in connection with the moderate rehabilitation of residential properties that, when rehabilitation is completed, will contain multiple single room dwelling units.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Homeless (single) individuals.

Applicant Eligibility:

An eligible applicant is a PHA or private nonprofit organization. Private nonprofits have to contract with a PHA to administer the rental assistance.

Beneficiary Eligibility:

Homeless individuals.

Credentials/Documentation:

Private nonprofit organizations and public housing agencies will certify to their eligibility.

Preapplication Coordination:

An environmental assessment is required for this program. Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372.

Application Procedure:

An application package prescribing the specific information that applicants must submit is available from HUD through the annual Continuum of Care Notice of Fund Availability. The standard application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked.

Deadlines:

A Notice of Fund Availability announces deadlines for completed applications and time frames for HUD selections.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters, HUD Headquarters will make selection. Funds, however, will not be obligated until the technical submission is approved.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes has occurred.

Renewals:

On an annual basis, dependent on fund availability.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Under the SRO Program, an eleven-year Annual Contribution Contract (ACC) is signed by the PHA with HUD. After the ACC is signed, the PHA and owner sign an AHAP and the owner has 12 months from the date of ACC execution to complete rehabilitation on the project. Once the rehabilitation is completed and the PHA inspects the units to ensure compliance with HUD's physical condition standards (PCS), a 10-year Housing Assistance Payments (HAP) Contract is signed between the PHA and project owner.

Reports:

Each recipient must keep records and any reports as specified by HUD, such as required annual reports.

Audits:

The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 44. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Grants) FY 07 \$14,450,000; FY 08 est \$16,077,000; and FY 09 est \$16,642,000.

Range and Average of Financial Assistance:

In FY 2007, the three awards ranged from the smallest of \$255,360 to the largest of \$1,027,200 for an average award of \$536,960.

PROGRAM ACCOMPLISHMENTS:

This program has awarded 463 grants through the end of fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882, Subpart H Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals; Final Rule, as well as 24 CFR, Part 5, Subparts A,E and F. Program information also available from Community Connections at HUD at 1-800-998-9999.

Regional or Local Office:

Designated contact person or monitor for the Section 8 SRO Program in the HUD Field Offices with a Community Planning and Development Division (see Appendix IV of the Catalog for a listing of HUD Field Offices).

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7266, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202)

708-4300. Use the same number for FTS. E-mail: Robyn.S.Raysor@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/homeless/programs/sro/index.cfm> and <http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.238, Shelter Plus Care.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each Notice of Fund Availability.

14.250 RURAL HOUSING AND ECONOMIC DEVELOPMENT

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Public Law 105-276 - October 21, 1998.

OBJECTIVES:

To build capacity at the State and local level for rural housing and economic development and to support innovative housing and economic development activities in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants can be used to support innovative housing and economic development activities for this program. More specific information is provided in the Notice of Funding Availability (NOFA).

Applicant Eligibility:

Local rural nonprofit organizations, community development corporations, Federally recognized Indian Tribes, State Housing Financing Agencies and State Community and/or Economic Development Agencies.

Beneficiary Eligibility:

Local and rural communities.

Credentials/Documentation:

As required by the NOFA.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Federal Register NOFA will detail each competition's submission requirements and the competitive funding.

Award Procedure:

Competition is required for grants. Awards to applicants securing the highest scores in relation to the selecting factors outlined in the NOFA.

Deadlines:

The NOFA will contain deadlines.

Range of Approval/Disapproval Time:

September 1, 2008.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

For a period of 36 months.

Reports:

Semi-Annual narrative and statistical reports are required.

Audits:

In accordance with provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, in-kind/cash contributions and information on housing, and other facilities connected with the use of the funds.

Account Identification:

86-0324-0-1-604.

Obligations:

FY 07 \$16,830,000; FY 08 est \$17,000,000; and FY 09 est \$0. (Note: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

Maximum award amount is \$300,000.

PROGRAM ACCOMPLISHMENTS:

854 jobs were created for the period October 1, 2006 to September 30, 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Thann Young, Office of Rural Housing and Economic Development, Community Planning and Development 451 7th St., S.W., Rm. 7137, Washington, DC 20410. Telephone: (202) 708-2290.

Web Site Address:

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Balancing the need for assistance with capacity of applicant to effectively use the assistance.

14.251 ECONOMIC DEVELOPMENT INITIATIVE-SPECIAL PROJECT, NEIGHBORHOOD INITIATIVE AND MISCELLANEOUS GRANTS

(Congressional Grants)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act of 2006, Public Law 109-115, Continuing Appropriations Resolution of 2007, Public Law 110-5.

OBJECTIVES:

The annual appropriation of funds to the Department and the accompanying Conference Report or Congressional Record accompanying the appropriation set forth grants in a specified amount to designated organizations for the activities specified by Congress.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible activities and restrictions are set forth in the authorizing language enacted by Congress for each grant as well as in the appropriation of Economic Development Initiative-Special Project (EDI-SP) Neighborhood Initiative (NI) and Miscellaneous grant funds.

Applicant Eligibility:

The Conference Report or Congressional Record accompanying the annual appropriation of funds to the Department specifies the individual grantees eligible to receive each grant. These typically are nonprofit organizations, units of State or local government and Indian tribes.

Beneficiary Eligibility:

Nonprofit organizations, units of State or local government and Indian tribes.

Credentials/Documentation:

The Congressional authorization for an individual grant may not specify the intended recipient or may identify the intended recipient by its common rather than legal name. In such cases, organizations may be required to submit documentation (e.g., certificate of incorporation) verifying their eligibility for the grant.

Preapplication Coordination:

Grants are excluded from coverage under E.O. 12372.

Application Procedure:

The Department invites each organization authorized by Congress to receive a grant to submit an application for grant funds. The Department provides each invited organization with the required application materials.

Award Procedure:

Awards are made to applicants authorized by Congress to receive funds for activities consistent with the authorized use for each grant and with terms of the relevant fiscal year appropriation.

Deadlines:

There is no deadline for the submission of applications. Applicants are strongly encouraged to submit applications by the end of the calendar year, but applications may be submitted and approved within the time frame for obligation of grant funds, historically three fiscal years.

Range of Approval/Disapproval Time:

Typically, within 4 months of application submission. All applicants are notified in writing of approval. Applications that cannot be approved, either because the proposed activities are not consistent with the authorized use of the grant or the terms of the appropriation; or the organization is not designated by Congress to receive the grant, may require the applicant to seek an amendment

by Congress to the language authorizing the use of grant funds.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Implementation Grants - Funds are typically available for five years following the period of obligation (i.e., typically eight fiscal years). Funds are accessed through an established Line of Credit.

Reports:

Semi-annual narrative and financial reports providing the status of the approved activities. A final narrative and financial report is also required when the grant is closed.

Audits:

In accordance with the provisions of OMB Circular No. A 133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A 133.

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, and information on project activities.

Account Identification:

86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$25,970,000; and FY 09 est \$0. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

\$49,000 to \$3,000,000; Average FY 08 EDI-SP Grant \$242,098; Average FY 08 NI Grant \$713,902.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 84 or Part 85, as applicable; 24 CFR Part 50 or Part 58, as applicable; annual appropriation of funds to the Department and accompanying Conference Report or Congressional Record; Application Kit; Grant Agreement; Certificate of Project Completion and Close-out Agreement.

Regional or Local Office:

None.

Headquarters Office:

Director, Congressional Grants Division, Department of Housing and Urban Development, 451 7th Street S.W., Washington, DC 20410, Room 7149. Telephone: (202) 708-3773. (Use the same number for FTS).

Web Site Address:

<http://www.hud.gov/progdsc/cpdindx.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) annual appropriation of grant funds to the Department; (2) Congressional authorization specifying amount of funds, designated recipient and authorized activity for each grant.

14.252 SECTION 4 CAPACITY BUILDING FOR AFFORDABLE HOUSING AND COMMUNITY DEVELOPMENT GRANTS

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 4, HUD Demonstration Act of 1993, Public Law 103-120, 107 Stat. 1148, 42 U.S.C. 9816 note; as amended, Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To help improve the capacity of community organizations to develop affordable housing and other community development projects.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grantees may use the grant for one or more of the following activities relating to capacity building for affordable housing and community development: (1) Training, education, support, and advice to enhance the technical and administrative capabilities of community development corporations (CDCs) and community housing development organizations (CHDOs); (2) Loans, grants,

development assistance, predevelopment assistance, or other financial assistance to CDCs and CHDOs to carry out community development and affordable housing activities that benefit low-income families and persons; and (3) Such other activities as may be determined by the grantees in consultation with the Secretary or his designee.

Applicant Eligibility:

Four organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, and Habitat for Humanity International.

Beneficiary Eligibility:

Community Development Corporations, Community Housing Development Organizations, and other nonprofit community based organizations that develop affordable housing and community development projects and programs.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR Part 84 (Uniform Administrative Requirements), OMB Circular A-122 (Cost Principles for Nonprofit Organizations), and OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations). After selection for funding but prior to award, applicants must submit financial and administrative information and certifications to comply with applicable requirements. Applicants also must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability.

Preapplication Coordination:

This program is excluded from coverage under E.O.12372.

Application Procedure:

Applications may be submitted in response to the annual publication of the Capacity Building Notice of Funding Availability (NOFA) in the Federal Register. The General Section of the SuperNOFA and the Capacity Building NOFA detail the submission requirements. There is no application kit.

Award Procedure:

Based upon the requirements published in the Federal Register SuperNOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

As indicated in the Federal Register NOFA publication.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None

Renewals:

Not applicable.

Formula and Matching Requirements:

Each dollar awarded must be matched by three (3) dollars in cash or in-kind contributions obtained from private sources.

Length and Time Phasing of Assistance:

The performance period for the capacity building grants is 4 years. Funds must be expended within 5 years of the grant start date.

Reports:

Grantees shall submit semiannual and annual performance and progress reports and final closeout reports.

Audits:

Grantees and sub grantees shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

All records applicable to the program must be kept for three years following closeout.

Account Identification:

86-0176-0-1-604.

Obligations:

(Grants) FY 07 \$29,590,000; FY 08 est \$33,500,000; and FY 09 est \$0.

(NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

For fiscal year 2007 there were two grant awards of \$13,070,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the capacity building program demonstrated success in several areas relating to increasing the organizational capacity of CDCs and CHDOs. Section 4 funds were used: (1) to renovate, preserve, or build 11,727 homes; (2) to provide 326 trainings to CDCs and CHDOs; and (3) to leverage \$1.87 billion in total development costs of community development projects funded by CDCs and CHDOs. The investment ratio of total project development costs to Section 4 funding was over 63:1.

REGULATIONS, GUIDELINES, AND LITERATURE:

The statute and the Capacity Building for Affordable Housing and Community Development NOFA constitute the regulations.

Regional or Local Office:

None.

Headquarters Office:

Karen Daly, Office of Policy Development and Coordination, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW, Washington, DC 20410. Telephone: (202) 402-5552. E-mail: karen.e.daly@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/cpd/affordablehousing/index.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the Capacity Building for Affordable Housing and Community Development NOFA and SuperNOFA.

14.311 SINGLE FAMILY PROPERTY DISPOSITION

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

National Housing Act, as amended, 12 U.S.C 1710, Sections 203(b), 203(k), 204, 24 CFR 200.

OBJECTIVES:

To sell the inventory of HUD-acquired properties in a manner that expands home ownership opportunities, strengthens neighborhoods and communities, and ensures a maximum return to the FHA mortgage insurance fund.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

The Single Family Property Disposition Program is the marketing and sale of one to four unit properties acquired by HUD after foreclosure of an FHA-insured mortgage. Within the general program there are special disposition programs through which a portion of the property inventory is sold. These programs are referred to collectively as the Good Neighbor Initiatives and include Good Neighbor Next Door Sales program for law enforcement officer, pre-K through 12 teachers and firefighters/emergency medical technicians, Discount Sales to Nonprofits and Local Governments program and \$ HOME Sales to Local Governments program. Information for each of these programs is covered separately. The majority of foreclosed one to four unit properties are sold through the general disposition program. HUD contracts with private companies to manage and market the properties. Properties are listed for sale by each company for the company's contract area; generally, a state. The listings may be viewed by going directly to the contractor's website or visiting HUD's website at <http://www.hud.gov/offices/hsg/sfh/reo/goodn/dhmbout.cfm>, where you will be directed to the listings for your state. The listings provide the appraised value of the property, the property address, a brief description of the property and access to a Property Condition Report prepared by the contractor. Complete information explaining the sales process and earnest money requirements is also available. Bids must be submitted electronically by a real estate broker. An earnest money deposit is required with each bid submitted. Special opportunities are available for bidders who intend to occupy the purchased property as their personal residence. Properties not purchased during this Exclusive Listing Period are then available for the general public, including investors, during an Extended Listing Period. FHA financing may be available for purchasing many homes, including the FHA 203(k) and 203 Special (k) program providing a loan to purchase a property and pay for repairs or improvements as permitted by FHA rules. Interested persons should contact their FHA approved lender. Refer to <http://www.hud.gov/ll/code/llplcrit.html> for assistance in locating a lender.

Applicant Eligibility:

Local Governments and Nonprofit Organizations: HUD contractors in the specific area should be contacted regarding eligibility requirements. Contact HUD at Tollfree: (800) CALLFHA or (800) 225-5342 for a listing of nationwide HUD contractors. FHA Insured Financing: Generally, anyone who has a satisfactory credit rating; enough cash to close the loan; sufficient, steady income to make the monthly payments without difficulty; and who will live in the home can be approved for an FHA insured mortgage. Income is only one of several factors that apply in the lending process. Interested buyers should contact their lender for more information.

Beneficiary Eligibility:

Individual, governmental and organizational homebuyers.

Credentials/Documentation:

Nonprofit Organizations must have IRS 501(c)(3) status.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372, Intergovernmental Review of Federal Programs (or consultation with State and local governments regarding program design).

Application Procedure:

HUD homes are managed and marketed by private contractors. A list of contractors and the areas each serves may be found at <http://www.hud.gov/offices/hsg/sfh/reo/mm/mminfo.cfm>. The contractors list all HUD homes for sale on their individual Internet websites. Bids for a particular property can be submitted online. Purchasers must secure financing of their choice. FHA financing may be available. A list of HUD approved mortgage lenders is located at our website, <http://www.hud.gov/ll/code/llsclerit.cfm>.

Award Procedure:

Properties are generally sold awarded to the highest bidder. Direct sales may be made where permitted by regulations.

Deadlines:

Bids must be submitted by the closing date indicated in the listings.

Range of Approval/Disapproval Time:

Varies.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Nonprofit organizations purchasing homes at a discount must submit an annual report (see <http://www.hud.gov/groups/grantees.cfm>).

Audits:

None.

Records:

Nonprofit organizations purchasing homes at a discount must retain information about the acquisition, rehabilitation and resale of discounted properties. This includes copies of HUD-1 Settlement Statements for the resale and other documents (see <http://www.hud.gov/groups/grantees.cfm>).

Account Identification:

86-4070-0-1-371; 86-4072-0-1-371; 86-4077-0-1-371; 86-4587-0-1-371.

Obligations:

(Sales) FY 07 \$3,370,036,717.00; FY 08 est \$6,568,648,990.00; and FY 09 est \$6,722,144,770.00.

Range and Average of Financial Assistance:

10 to 30 percent discount off list price for Local Governments and Nonprofit Organizations.

PROGRAM ACCOMPLISHMENTS:

49,381 homes were sold in Fiscal Year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations at 24 CFR Part 291. Program information is available on HUD's website at <http://www.hud.gov>.

Regional or Local Office:

Contact the appropriate HUD Homeownership Center (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgdocs.cfm>) or HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

For policy questions, contact the Asset Management and Disposition Division, Office of Single Family Housing, Department of Housing and Urban Development. Telephone: (202) 708-1672 (this is not a toll free number).

Web Site Address:

http://www.hud.gov/offices/hsg/sfh/reo/reo_home.cfm

RELATED PROGRAMS:

14.108, Rehabilitation Mortgage Insurance; 14.117, Mortgage Insurance - Homes; 14.198, Good Neighbor Next Door Sales Program; 14.313, Dollar Home Sales.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.313 DOLLAR HOME SALES

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Notice 00-07, dated April 28, 2000 (and subsequent extensions).

OBJECTIVES:

To expand HUD's partnership with local governments in helping to foster housing opportunities for low-to-moderate income families and address specific community needs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Single family homes that are acquired through foreclosure of Federal Housing Administration (FHA) insured loans will be available for purchase by local governments at a price of \$1 plus closing costs, after the properties have been

actively marketed for at least six months and remain unsold. The property must be located with the jurisdiction of the purchasing local government with the intent of using the property for a "clear public purpose".

Applicant Eligibility:

Local government legally authorized by local law to make the purchase.

Beneficiary Eligibility:

Low- to moderate-income families and communities.

Credentials/Documentation:

Evidence of authority to purchase.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit HUD-9548, Sales Agreement, stating a purchase price of one dollar (\$1.00) plus closing costs, together with the Addendum to Sales Contract in the form attached to Housing Notice 00-07, as further detailed in Housing Notice 00-07, to HUD by close of the 10-day listing period for a property.

Award Procedure:

Evaluation of the documentation required by the application procedure evidenced by HUD's execution of the Sales Agreement and approval of the purchaser's plan for use of the property.

Deadlines:

Local governments have 10 calendar days from the time the properties are made available to submit HUD-9548, Sales Agreement, stating a purchase price of one dollar (\$1.00) plus closing costs, together with the Addendum to Sales Contract in the form attached to Housing Notice 00-07.

Range of Approval/Disapproval Time:

Properties assigned to this program are offered for ten days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Local governments must agree to provide the applicable HUD Homeownership Center (HOC) Program Support Staff Director with an annual report that provides the status and details on all properties purchased under this program. The report must include information on the ultimate purchaser, the amount of profit realized on the final sale and where the profit was put back into local housing/community development.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All annual reports submitted by local governments will be maintained by HOC Program Support Staff Director.

Account Identification:

86-0470-0-1-371.

Obligations:

(Sales) FY 07 \$5,571,350,940; FY 08 est \$4,225,354,720; and FY 09 est not available.

Range and Average of Financial Assistance:

The average market value of the homes is approximately \$50,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 70 \$1 homes sales are estimated for 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations will be in 24 CFR Part 291. Program information is available on HUD's website at <http://www.hud.gov/offices/hsg/sfh/reo/goodn/dhmbabout.cfm>.

Regional or Local Office:

Contact the appropriate HUD Homeownership Center listed in Appendix IV of the Catalog.

Headquarters Office:

For policy questions not covered on HUD's website, contact the Asset Management and Disposition Division: 451 7th Street, SW., Washington, DC 20410; Telephone: (202) 708-1672.

Web Site Address:

<http://www.hud.gov/offices/hsg/sfh/reo/goodn/dhmbabout.cfm>.

RELATED PROGRAMS:

14.311, Single Family Property Disposition.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.314 ASSISTED LIVING CONVERSION FOR ELIGIBLE MULTIFAMILY HOUSING PROJECTS

(ALCP)

FEDERAL AGENCY:
OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:
Section 202b of the Housing Act of 1959, as amended and the Consolidated Appropriations Act, 2008 (Public Law 110-161; approved December 26, 2007).

OBJECTIVES:
To provide private nonprofit owners of eligible developments designated primarily for occupancy by the elderly with a grant to allow the conversion of some or all of the dwelling units in the project into Assisted Living Facilities (ALFs) for the frail elderly, as defined in Section 232(B)(6) of the National Housing Act and 202(k).

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
Assisted living facilities (ALFs) are designed to accommodate frail elderly persons and people with disabilities who can live independently but need assistance with activities of daily living (that is, assistance with eating, bathing, grooming, dressing and home management activities.) ALFs must provide support services such as personal care, transportation, meals, housekeeping, and laundry. Typical funding will cover basic physical conversion of existing project units, as well as related common and services space. The ALCP provides funding for the physical costs of converting some or all of the units of an eligible development into an ALF, including unit configuration, common and services space and any necessary remodeling, consistent with HUD or the State's statute/regulations (whichever is more stringent). There must be sufficient community space to accommodate a central kitchen or dining facility, lounges, recreation and other multiple-areas available to all residents of the project, or office/staff spaces in the ALF. Funding for the supportive services must be provided by the owners, either directly or through a third party.

Applicant Eligibility:
Only private nonprofit owners of eligible multifamily assisted housing developments specified in Section 683(2)(B), (C), (D), (E), and (F) (Section 202 projects for the elderly, Rural Housing Section 515 projects receiving Section 8 rental assistance, projects receiving project-based rental assistance under Section 8, projects financed by a below-market interest rate loan or mortgage insured under Section 221(d)(3) of the Housing Act, or housing financed under Section 236 of the National Housing Act) that have been in occupancy for at least five years are eligible for funding. To be eligible, owners must meet the following criteria: (1) Must be in compliance with Loan Agreement, Capital Advance Agreement, Regulatory Agreement, Housing Assistance Payment Contract, Project Rental Assistance Contract, Rent Supplement or LMSA Contract, or any other HUD grant or contract document; (2) Must be in compliance with all fair housing and civil rights laws, statutes, regulations, and executive orders as enumerated in 24 CFR 5.105(a).

Beneficiary Eligibility:
Eligible residents who meet the admissions/discharge requirements as established for assisted living by State and local licensing, or HUD frailty requirements under 24 CFR 891.205 if more stringent. The residents must be able to live independently but may need assistance with activities of daily living (that is, assistance with eating, bathing, grooming, dressing and home management activities).

Credentials/Documentation:
The assisted living facility must be licensed and regulated by the State (or if there is no State law providing such licensing and regulation, by the municipality or other subdivision in which the facility is located). The application must include a firm commitment for the supportive services to be offered at the ALF as part of the application. The Notice of Funding Availability contains all the necessary information for applicants to apply for the grant.

Preapplication Coordination:
Applicants must have contacted the State licensing agency for such a project to determine if the proposed project will meet state licensing requirements. A Supportive Service Plan (SSP) for the services and coordination of the supportive services which will be offered in the ALF must be developed and submitted to the appropriate State or local organization. Applicants must comply with all applicable statutory requirements and statutory requirements under Section 232(b)(6) prior to application submission. This program is eligible for coverage under E.O. 12372.

Application Procedure:
Applications are only accepted in response to the Notice of Funding Availability which is published in the Federal Register each fiscal year. Applicants responding to the NOFA should ensure that their application is complete before submitting it to HUD. Applications must be submitted in accordance with requirements of the NOFA. All applications received by the deadline will be screened for curable deficiencies. After the completeness review, HUD reviews

the application to determine whether it meets the threshold requirements. Only those applications that meet all of the threshold requirements will be eligible to receive an award. Applications submitted in response to the NOFA that are eligible, pass threshold and have a total score of 75 points (or more) are eligible for ranking and selection.

Award Procedure:
Applications are reviewed and selected for funding within the funding allocation of the geographical Hub area. At the end of the technical review, all applications will be either rated or technically rejected. If an application meets all program eligibility requirements after completion of the technical review, it will be rated according to the rating selection factors. The number of points that an application receives will depend on the extent to which the application is responsive to the information requested in the selection criteria. The maximum number of points an application may receive under this program is 102.

Deadlines:
Deadlines are specified in the NOFA. The application deadline is firm as to date and hour. In the interest of fairness to all competing applicants, the Department will treat as ineligible for consideration any application that is received after the deadline. Applicants should take this practice into account and make early submission of their materials to avoid risk of loss of eligibility brought about by any unanticipated or delivery-related problems. A FAX is not acceptable.

Range of Approval/Disapproval Time:
A processing schedule is developed for each funding round. Applicants are provided with a decision by the end of the fiscal year (September 30th).

Appeals:
An applicant will have fourteen (14) calendar days from the date of HUD's written notice to appeal a technical rejection. HUD will make a determination on an appeal before finalizing selection recommendations.

Renewals:
None.

Formula and Matching Requirements:
This program has no matching requirements. However, owners are required to find the supportive services through other funding sources.

Length and Time Phasing of Assistance:
None.

Reports:
Any change in the owner during the period of the capital advance must be approved by HUD. Financial and performance reports are required every six months in accordance with instructions outlined in the Grant Agreement.

Audits:
HUD reserves the right to audit the accounts of the owner in order to determine compliance and conformance with HUD regulations and standards.

Records:
Regular financial reports are required. Owners must service and maintain records in accordance with acceptable HUD and State requirements and regulations as outlined in the grant agreement.

Account Identification:
86-0320-0-1-604.

Obligations:
Reported under program 14.157.

Range and Average of Financial Assistance:
In FY 2007, funded applications range from \$1,315,303 to \$4,276,091 with an average of \$2,777,911.

PROGRAM ACCOMPLISHMENTS:
Funded 218 units in FY 2005; 64 units in FY 2006; and 197 units in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:
Section 202(b) of the Housing Act of 1959, Assisted Living Conversion Program Notice of Funding Availability published May 12, 2008.

Regional or Local Office:
Persons are encouraged to communicate with the nearest local HUD Multifamily Hubs and Program Centers listed at <http://www.hud.gov/localoffices.cfm> or at the Catalog Address Appendix IV.

Headquarters Office:
Office of Grant Policy and Management, Department of Housing and Urban Development, Room 6138, 451 Seventh Street, SW., Washington, DC 20410. Telephone: (202) 708-3000.

Web Site Address:
<http://www.hud.gov/offices/hsg/mfh/progdesc/alcp.cfm>.

RELATED PROGRAMS:
14.157, Supportive Housing for the Elderly; 14.191, Multifamily Housing Service Coordinators.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Criteria for selecting proposals are in the NOFA.

14.315 EMERGENCY CAPITAL REPAIR GRANTS FOR MULTIFAMILY HOUSING PROJECTS DESIGNATED FOR OCCUPANCY

(ECRP)

FEDERAL AGENCY:

OFFICE OF HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 202b of Title II of the Housing Act of 1959, 12 U.S.C. 1701q-2 and Consolidated Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To provide private nonprofit owners of eligible developments designated primarily for occupancy by the elderly with grants to make emergency capital repairs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Emergency capital repair grants will be used to correct a situation that presents an immediate threat to the life, health and safety of project tenants. Assistance is limited to those projects with emergency problems that are of such a magnitude that the problem poses an immediate threat to the quality of life of the tenants and the continuation of the existing problem could potentially result in an evacuation of the tenants or long-term tenant displacement unless the repairs are made. The maximum amount an owner may apply for is \$500,000. The grant, along with funds from other sources, must remove the emergency condition(s).

Applicant Eligibility:

Only private nonprofit owners of eligible multifamily assisted housing developments designated for occupancy by elderly tenants, as specified in Section 683(2)(B), (C), (D), (E), and (F), or G of the Housing and Community Development Act of 1992 (Public Law 102-550, approved October 28, 1992) and are identified as: Section 202 direct loan projects with or without Section 8 rental assistance; Section 202 capital advance projects receiving rental assistance under their Project Rental Assistance Contract (PRAC); Section 515 rural housing projects receiving project-based rental assistance; projects subsidized with Section 221(d)(3) below-market interest mortgage, projects assisted under Section 236 of the National Housing Act; and other projects receiving Section 8 project-based rental assistance. To be eligible, owners must meet the following criteria: (1) Must be in compliance with Loan Agreement, Capital Advance Agreement, Regulatory Agreement, Housing Assistance Payment Contract, Project Rental Assistance Contract, Rent Supplement or LMSA Contract, or any other HUD grant or contract document; (2) Must be in compliance with all fair housing and civil rights laws, statutes, regulations, and executive orders enumerated in 24 CFR 5.105(a) as applicable. The eligible projects must have had closing on or before January 1, 1999.

Beneficiary Eligibility:

Residents of assisted housing projects designated for occupancy by elderly tenants.

Credentials/Documentation:

Applicants are provided all necessary information to apply for the grants in the published Federal Register notice.

Preapplication Coordination:

Applicants must comply with all applicable statutory requirements prior to application submission. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A notice is published in the Federal Register each year announcing the availability of funds to potential applicants. Applicants will submit a complete application, in accordance with requirements of the notice published in the Federal Register. HUD staff will review each application to determine whether the application meets the requirements of the notice. At the end of the review, all applications will be either recommended for funding or rejected. If an application meets all program eligibility requirements after completion of the review, the local Multifamily HUB Director will forward a recommendation for funding to HUD Headquarters.

Award Procedure:

HUD Headquarters will award the funds, if approved, in accordance with the funding queue established by the date and time of receipt of the applications in Grants.gov until the exhaustion of funds.

Deadlines:

There is no application deadline date. Applications are received in Grants.gov, processed in the Field Offices and funded in Headquarters on an as submitted basis.

Range of Approval/Disapproval Time:

The Field Offices will have 15 working days from the date and time of receipt of the application to review the application, forward to the Hub and have the Multifamily HUB Director provide a recommendation for approval/disapproval of the funding request to Headquarters.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no formula or matching requirements.

Length and Time Phasing of Assistance:

None.

Reports:

Financial and performance reports as specified in the ECRP Grant Agreement.

Audits:

HUD reserves the right to audit the accounts of the owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular progress and financial reports are required. Owners must service and maintain records in accordance with acceptable HUD and State requirements and regulations.

Account Identification:

86-0320-0-1-604.

Obligations:

Reported under program 14.157.

Range and Average of Financial Assistance:

\$2,333 to \$500,000; \$235,000.

PROGRAM ACCOMPLISHMENTS:

Funded 53 projects in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Consolidated Appropriations Act, 2008 (Public Law 110-161) provides funding for grants under section 202b of the Housing Act of 1959 (12 U.S.C. 1701q-2) for conversion of eligible projects to assisted living and for emergency capital repairs as determined by the Secretary of HUD.

Regional or Local Office:

Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and/or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

G. DeWayne Kimbrough, Director, Grant and Housing Assistance Field Support Division, Office of Multifamily Housing, Room 6146, Department of Housing and Urban Development, 451 Seventh Street, S.W., Washington, DC 20410; Telephone: (202) 708-3000.

Web Site Address:

<http://www.hud.gov/offices/hsg/mfh/progdsc/ecrp.cfm>.

RELATED PROGRAMS:

14.157, Supportive Housing for the Elderly; 14.314, Assisted Living Conversion for Eligible Multifamily Housing Projects.

EXAMPLES OF FUNDED PROJECTS:

Essex Manor, Saginaw, MI; Exchange Sunshine Home, Copperas, TX; and Kivel Manor, Phoenix, AZ.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are listed in the published Federal Register notice.

14.316 HOUSING COUNSELING TRAINING PROGRAM

FEDERAL AGENCY:

HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended.

OBJECTIVES:

This program supports, under cooperative agreements with HUD, the delivery of a broad array of training activities for counselors from agencies participating in HUD's Housing Counseling program. The primary objectives of the program are to improve and standardize the quality of counseling provided by housing counselors working with HUD-approved housing counseling agencies and to make training accessible and affordable.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To annually provide one or more housing counseling training grants to qualified organizations. The grant will fund the training of counselors of agencies participating in HUD's Housing Counseling program.

Applicant Eligibility:

Applicants must be public or private nonprofit organizations with a least two years of experience providing housing counseling training services nationwide.

Beneficiary Eligibility:

Housing Counselors from HUD-approved housing counseling agencies.

Credentials/Documentation:

Each applicant is required to submit, for itself and for any organization with which it is partnering for the purpose of this NOFA, a legible copy of the document that supports the applicant's claim to be a nonprofit organization (for example, a 501(c) letter issued by the IRS). The documentation must contain the official name, address, and telephone number of the legal authority that granted the nonprofit status. Branches or affiliates of the applicant that are part of the proposed work plan must also be nonprofit entities.

Preapplication Coordination:

The availability of funds (HUD SuperNOFA) is announced in the Federal

Register. For a copy of the SuperNOFA, or for the satellite broadcast schedule, applicants can visit the HUD website (www.hud.gov). Persons with hearing or speech impairments may call the Center's TTY number at (1-800) HUD-2209. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit by the required date the items and forms requested in the SuperNOFA, such as a description of the housing counseling training services to be provided, and indicators by which benefits impact will be measured.

Award Procedure:

Applicants will be evaluated competitively and ranked against all other applicants that applied in the same funding category. A funding formula outlined in the SuperNOFA is used to calculate awards. This program is subject to the administrative requirements established in OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments, which was implemented by 24 CFR Part 85; OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations, which was implemented by 24 CFR Part 84; and OMB Circular A-133, Audits of States, Local Governments and Nonprofit Organizations, which was implemented by 24 CFR Parts 84 and 85. Grants awarded under this program are also subject to the cost principles in OMB Circular A-87 for state and local governments and Indian tribal governments and OMB Circular A-122 for nonprofit organizations.

Deadlines:

Due dates and times for the receipt of applications for housing counseling training grants are specified in the application.

Range of Approval/Disapproval Time:

Award announcements typically occur 5-7 months after applications are received.

Appeals:

Applicants for housing counseling training grants may appeal their denial of a grant award or the insufficiency of the grant amount to HUD.

Renewals:

Grantees must submit a new application and compete through the SuperNOFA each year.

Formula and Matching Requirements:

Formula is outlined in the SuperNOFA. This program has no matching requirements. However, applicants are required to demonstrate funding from other resources.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 1 year. Grantees invoice HUD on a periodic basis for housing counseling training services delivered. Payments of grant funds are subsequently made to grantees via direct deposit/electronic funds transfer (DD/EFT).

Reports:

Grantees must submit quarterly progress reports and periodic invoices as well as final reports pursuant to the cooperative agreement.

Audits:

Awardees shall comply with the audit requirements of the Single Audit Act (31 U.S.C. 7501-07) and OMB Circular A-133, Audits of States, Local Governments, and Nonprofit Organizations (Revised June 24, 1997), as they may be amended from time to time.

Records:

As required in the grant cooperative agreement.

Account Identification:

86-0205-0-1-604.

Obligations:

FY 07 est \$3,000,000; FY 08 est \$3,000,000; and FY 09 est 3,000,000.

Range and Average of Financial Assistance:

HUD typically makes a small number of awards that range in size from \$400,000 to \$2.6 million.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, approximately 2,600 housing counselors have been trained through the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

No housing counseling training regulations have been issued.

Regional or Local Office:

None.

Headquarters Office:

Persons are encouraged to contact HQs Program Support Division, Office of Insured Single Family Housing, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-0317.

Web Site Address:

Persons are encouraged to contact HQs Program Support Division, Office of Insured Single Family Housing, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-0317.

RELATED PROGRAMS:

14.169, Housing Counseling Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Neighborhood Reinvestment Corporation/dba/NeighborWorks Housing counseling training award in the amount of \$2,600,026. Date of Award: October 4, 2007.

CRITERIA FOR SELECTING PROPOSALS:

Outlined in the NOFA.

14.400 EQUAL OPPORTUNITY IN HOUSING

(Fair Housing)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Fair Housing Act, 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide fair housing throughout the United States and an administrative enforcement system which is subject to judicial review.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

With limited exceptions, no housing offered commercially for sale or rental, may be advertised, sold, leased out, financed or appraised in a manner which discriminates on the basis of race, color, national origin, religion, sex, disability or familial status (the presence of children under the age of 18 in a household or a pregnant woman). In addition, housing providers and others must allow reasonable modifications to units and provide reasonable accommodations when these are necessary to allow a person with a disability full housing rights. Finally, multi-family housing built for occupancy after March 13, 1991, must comply with the Accessibility Guidelines established. Complaints of discrimination are investigated and conciliated. When conciliation is unsuccessful and where investigation indicates reasonable cause to believe that discrimination occurred or is about to occur, complainants may pursue their complaints before an Administrative law judge or in Federal district court (The Department of Justice litigates on behalf of complainants in federal district court, or HUD litigates on their behalf in the administrative forum). Also conferences and training sessions are held to explain rights and responsibilities under the law to attorneys, developers, the real estate industry and the general public.

Applicant Eligibility:

Any aggrieved person, or the Assistant Secretary, may file a complaint based on an alleged discriminatory housing practice because of race, color, religion, sex, familial status, disability, or national origin. The complaint may be filed with the Department of Housing and Urban Development or a State or local fair housing agency with processes, procedures and remedies substantially equivalent to those of the Department, and which the Department has approved.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to any HUD Field Office, or to HUD's Washington, DC Headquarters.

Award Procedure:

None.

Deadlines:

An administrative complaint must be filed with HUD within one year of the alleged discriminatory act.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Depends upon result of complaint processing.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, 2,449 cases were filed with HUD, another 7,705 cases were filed with State and local agencies. Many of these cases were settled, achieving relief for the complainants and commitments to conduct activities that would further fair housing. Settlements by both HUD and the State and local agencies had a total monetary value of approximately \$6,140,943 (does not include HUD ALJ or DOJ closure of HUD complaints). For Fiscal Year 2008, it is estimated that 1,932 cases will be filed with HUD and another 7,568 cases will be filed with State and local agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations implementing the Fair Housing Act, 24 CFR Part 100; "Fair Housing It's Your Right," English (HUD-1686-FHEO); Fair Housing - Complaint Processing, 24 Part 103; Administrative Proceedings Under Section 812 of the Fair Housing Act, 24 CFR Part 180; Consolidated HUD Hearing Procedures for Civil Rights Matters Certification of Substantially Equivalent Agencies, 24 CFR 115; Preamble to Final Rule Implementing Fair Housing Amendments Act of 1988, 54 Federal Register 3232 (1989); CFR Ch I, Subch. A, App II.

Regional or Local Office:

HUD Fair Housing and Equal Opportunity Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th St., S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904. Toll-free number for filing complaints: (1-800) 669-9777 (Voice) or (1-800) 927-9275 (TTY). E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/complaints/housediscrim.cfm>.

RELATED PROGRAMS:

16.103, Fair Housing and Equal Credit Opportunity

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.401 FAIR HOUSING ASSISTANCE PROGRAM-STATE AND LOCAL

(FHAP)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Fair Housing Act, 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide assistance to State and local fair housing enforcement agencies to support complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build an intergovernmental enforcement effort to further fair housing at the State and local level by providing funding assistance for State and local fair housing enforcement agencies to increase administration of fair housing laws.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

State and local fair housing enforcement agencies in the first year of participation in the FHAP receive a fixed amount of capacity building funding. Agencies in the second year and beyond are eligible to receive contributions funding which provides funds for complaint processing, administrative costs, and training.

Applicant Eligibility:

Application for participation from State and local government agencies is encouraged for jurisdictions with current or new/pending State and local fair housing laws and ordinances. The funding process includes a requirement to obtain HUD interim certification of the applicant agency's State and local fair housing laws and ordinances as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act. Eligible applicants include State and local government fair housing enforcement agencies administering State and local fair housing laws and ordinances which have been interim certified by HUD as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act and which have executed formal written Agreements with HUD to process housing discrimination complaints.

Beneficiary Eligibility:

Any person or group of persons aggrieved by a discriminatory housing practice because of race, color, religion, sex, handicap, familial status or national origin.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative agreements are executed for one year, they are non-competitive, and provide funding for complaint processing, administrative costs, and training for contribution agencies. Capacity building funds are also provided under a cooperative agreement for a one year period. For detailed program information under each funding component, contact the Fair Housing and Equal Opportunity Hub or Headquarters Office. Fixed price cooperative agreements are anticipated to be awarded with payments to be made in accordance with a schedule of dates set out in the cooperative agreements.

Reports:

On-site review and evaluation and regular monitoring of agency's performance as specified in 24 CFR Part 115 to assure HUD's substantial equivalency standards are met. Payment is in accordance with payment schedules established in the agency's cooperative agreements.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. See exemptions and exceptions in Circular No. A-133.

Records:

State and local agencies are required to maintain housing complaint files for a period of one year after closure.

Account Identification:

86-0144-0-1-751.

Obligations:

FY 07 \$26,000,000; FY 08 est \$25,620,000; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

Contributions for Capacity Building - \$120,000; Complaint Processing, Training, and Administrative Costs.

PROGRAM ACCOMPLISHMENTS:

There are currently 108 jurisdictions receiving financial assistance under this program. In Fiscal Year 2008 HUD estimates that two additional jurisdictions will receive funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 24 CFR Part 115 for regulations on the certification and funding of State and local Fair Housing Enforcement Agencies.

Regional or Local Office:

HUD Field Offices listed in the Catalog Appendix IV.

Headquarters Office:

Kenneth J. Carroll, Director, FHAP Division, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5206, Washington, DC 20410. Telephone: (202) 402-7044.

Web Site Address:

<http://www.hud.gov/offices/fheo/partners/FHAP/index.cfm>.

RELATED PROGRAMS:

14.408, Fair Housing Initiatives Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Funding is contingent upon obtaining and maintaining HUD certification of the applicant agency's State and local fair housing laws and ordinances as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act. Funding for capacity building will be based on a written statement of work within established limitations.

14.402 NON-DISCRIMINATION IN FEDERALLY-ASSISTED PROGRAMS (ON THE BASIS OF AGE)**FEDERAL AGENCY:**

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Age Discrimination Act of 1975.

OBJECTIVES:

The Age Discrimination Act of 1975, as amended, is designed to prohibit discrimination on the basis of age in programs or activities receiving Federal financial assistance.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Subject to legislative restrictions as to coverage, individuals are entitled to services, i.e., housing which is suitable according to needs and financial ability in the area in which they desire to live, etc., without discrimination based on age. Investigations are conducted if complaints cannot be mediated successfully and action is taken to settle the complaints. Beneficiaries and recipients are provided with brochures on the mediation process.

Applicant Eligibility:

Any individual aggrieved by a discriminatory practice because of age may file a complaint against the recipient of Federal financial assistance with the Department of Housing and Urban Development. A complainant may file a civil action following exhaustion of administrative remedies under the Act.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to any Local HUD Fair Housing and Equal Opportunity Office or HUD Headquarters, Washington, DC Office.

Award Procedure:

None.

Deadlines:

A complaint can be filed within 180 days from the date the complainant first knows of the alleged act of discrimination. A complaint based on age must be referred to the Federal Mediation and Conciliation Service for mediation. If the complaint is not resolved, it is returned to HUD for investigation.

Range of Approval/Disapproval Time:

A complaint based on age must be referred immediately upon receipt by HUD for referral to the Federal Mediation and Conciliation Service.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

HUD received two age discrimination complaints during Fiscal Year 2007 and estimates receiving another two cases in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Nondiscrimination on the basis of age in HUD Programs or activities receiving Federal Financial Assistance, 24 CFR Part 146.

Regional or Local Office:

HUD Local Field Office of Fair Housing and Equal Opportunity. Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th St., S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/progdsc/fheoindx.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.404 NON-DISCRIMINATION IN FEDERALLY ASSISTED AND CONDUCTED PROGRAMS (ON THE BASIS OF DISABILITY)

(Section 504)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Rehabilitation Act of 1973, Section 504 (Parts 8 and 9), as amended.

OBJECTIVES:

Section 504 of the Rehabilitation Act of 1973 prohibits discrimination on the basis of disability in federally assisted programs (Part 8) and federally conducted programs (Part 9).

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Pertains to all Housing and Urban Development Programs of Federal financial assistance except contracts of insurance and guaranty (Part 8) programs conducted by the Department (Part 9).

Applicant Eligibility:

Any individual feeling aggrieved because of an alleged discriminatory action in a HUD-assisted or HUD-conducted program or activity on the basis of disability may file a complaint with the Department of Housing and Urban Development.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to any Local HUD Field Office Fair Housing and Equal Opportunity or HUD Headquarters, Washington, DC Office.

Award Procedure:

None.

Deadlines:

Complaints must be filed within 180 days of the date of the alleged act of discrimination. Complaints may be amended; notification letter sent to complainant and recipient within 10 days of receipt of complaint.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, 970 complaints were received under section 504 and 67 compliance reviews were initiated. For Fiscal Year 2008, HUD estimates that 884 complaints will be received and 110 compliance reviews will be initiated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Nondiscrimination Based on Disability in Federally Assisted and Federally Conducted Programs and Activities of the Department of Housing and Urban Development (24 CFR Part 8 and Part 9).

Regional or Local Office:

HUD Local Field Office of Fair Housing and Equal Opportunity: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, ext. 5361. E-mail:

Lynn.M.Grosso@hud.gov.
Web Site Address:
<http://www.hud.gov/progdsc/fheindx.html>.
RELATED PROGRAMS:
None.
EXAMPLES OF FUNDED PROJECTS:
Not applicable.
CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

14.405 NON-DISCRIMINATION IN FEDERALLY ASSISTED PROGRAMS (ON THE BASIS OF RACE, COLOR, OR NATIONAL ORIGIN)

(Title VI)

FEDERAL AGENCY:
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:
Civil Rights Act of 1964, as amended, Title VI.
OBJECTIVES:
Title VI of the Civil Rights Act of 1964 prohibits discrimination on the basis of race, color or national origin in Federally assisted programs.
TYPES OF ASSISTANCE:
Investigation of Complaints.
USES AND USE RESTRICTIONS:
Pertains to all housing and urban development programs that receive Federal financial assistance from HUD, except contracts of insurance and guaranty.
Applicant Eligibility:
Persons believing they have been subjected to a discriminatory action on the basis of race, color or national origin may file a complaint with the Department of Housing and Urban Development.
Beneficiary Eligibility:
Not applicable.
Credentials/Documentation:
None.
Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.
Application Procedure:
Complaints may be sent to any HUD Local Field Office of Fair Housing and Equal Opportunity or HUD Headquarters, Washington, DC.
Award Procedure:
None.
Deadlines:
Complaints must be received within 180 days of the date of the alleged act of discrimination. May be amended.
Range of Approval/Disapproval Time:
Not applicable.
Appeals:
Recipients may request a hearing.
Renewals:
None.
Formula and Matching Requirements:
This program has no statutory formula.
Length and Time Phasing of Assistance:
None.
Reports:
None.
Audits:
None.
Records:
None.
Account Identification:
86-0143-0-1-999.
Obligations:
(Salaries and expenses) Not separately identifiable.
Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
During Fiscal Year 2007, 702 complaints were received under Title VI and 62 compliance reviews were initiated. HUD estimated that in Fiscal Year 2008, 598 complaints will be received and 114 compliance reviews initiated.

REGULATIONS, GUIDELINES, AND LITERATURE:
Housing and Urban Development's Title VI Regulation is published at 24 CFR Part 1.

Regional or Local Office:
HUD Local Field Office of Fair Housing and Equal Opportunity: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.
Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, Ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.
Web Site Address:
<http://www.hud.gov/progdsc/fheindx.html>.
RELATED PROGRAMS:
None.
EXAMPLES OF FUNDED PROJECTS:
Not applicable.
CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

14.406 NON-DISCRIMINATION IN THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (ON THE BASIS OF RACE, COLOR, NATIONAL ORIGIN, RELIGION, OR SEX)

(Section 109)

FEDERAL AGENCY:
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AUTHORIZATION:
Housing and Community Development Act of 1974, Title I, as amended.
OBJECTIVES:
Section 109 of Title I of the Housing and Community Development Act of 1974, as amended prohibits discrimination in Community Development Block Grant Programs on the basis of race, color, national origin, religion, or sex.
TYPES OF ASSISTANCE:
Investigation of Complaints.
USES AND USE RESTRICTIONS:
Pertains to all Title I programs, including employment.
Applicant Eligibility:
Persons believing they have been subjected to discriminatory action in a Title I program on the basis of race, color, national origin, religion, or sex may file a complaint with the Department of Housing and Urban Development.
Beneficiary Eligibility:
Not applicable.
Credentials/Documentation:
None.
Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.
Application Procedure:
Complaints may be sent to any Local HUD Field Office Fair Housing and Equal Opportunity or HUD Headquarters, Washington, DC.
Award Procedure:
None.
Deadlines:
Complaints should be received within 180 days of the date of the alleged act of discrimination. May be amended.
Range of Approval/Disapproval Time:
Approximately 6 months.
Appeals:
May request review within 30 days of preliminary "Letter of Finding."
Renewals:
None.
Formula and Matching Requirements:
This program has no statutory formula.
Length and Time Phasing of Assistance:
None.
Reports:
None.
Audits:
None.
Records:
None.
Account Identification:
86-0143-0-1-999.
Obligations:
(Salaries and expenses) Not separately identifiable.
Range and Average of Financial Assistance:
Not applicable.
PROGRAM ACCOMPLISHMENTS:
During Fiscal Year 2007, 56 complaints were received under Section 109 and 14 compliance reviews were initiated. HUD estimates that 38 complaints will be received in Fiscal Year 2008 and 20 compliance reviews will be initiated.
REGULATIONS, GUIDELINES, AND LITERATURE:
Housing and Urban Development regulations at 24 CFR Part 6.
Regional or Local Office:
HUD Local Field Office of Fair Housing and Equal Opportunity: Director, Fair

Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, Ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/progdesc/theindx.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.407 ARCHITECTURAL BARRIERS ACT ENFORCEMENT

((Section 502) Architectural Barriers Act)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Architectural Barriers Act of 1968, Section 502, as amended.

OBJECTIVES:

To provide that facilities financed, constructed, altered or leased by the Federal government with specific design standards shall be accessible to persons with physical disabilities.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Pertains to all HUD Programs which are leased for occupancy by the Department or which are designed, built or altered with certain Federal funds (e.g., low income public housing).

Applicant Eligibility:

Individuals who believe there has been noncompliance with the Architectural Barriers Act or 24 CFR Part 41 may file a complaint with the Department of Housing and Urban Development or the Architectural and Transportation Barriers Compliance Board.

Beneficiary Eligibility:

None.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to the Department of Housing and Urban Development or the Architectural and Transportation Barriers Compliance Board.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, the Department did not receive any Architectural Barriers Act complaints. The Department does not anticipate any Architectural Barriers Act complaints in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Parts 40 and 41.

Regional or Local Office:

None.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, Ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/sec8.html>.

RELATED PROGRAMS:

14.400, Equal Opportunity in Housing.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.408 FAIR HOUSING INITIATIVES PROGRAM

(FHIP)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new ones.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private-for-profit or not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, as well as faith-based community-based organizations and State or local government agencies.

Applicant Eligibility:

State and local government agencies, public or private nonprofit organizations or institutions and other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices. Applicants for funding of testing activities must have at least one year of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement of meritorious claims. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Fair housing enforcement organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement are also eligible applicants.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin. Also, any person or group of persons, including landlords and real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs for State and local government units will be determined in accordance with OMB Circular No. A-87. Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Costs for applicants that are educational institutions will be determined in accordance with OMB Circular No. A-21. Applicants for enforcement related activities must provide verification of 501(c)(3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide

services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the Federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms as furnished by the Federal agency and required by OMB Circular No. A-110.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the appropriate office identified in the Notices of Funding Availability within the time frames specified in the Notices.

Range of Approval/Disapproval Time:

Two to six months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement.

Reports:

Quarterly progress reports will be required. Additionally, State and local governments must submit all reports required pursuant to OMB Circulars A-102 and A-133. Educational institutions and private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

FY 07 \$19,800,000; FY 08 est \$24,000,000; and FY 09 est \$26,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

FHIP funds are used to increase compliance with the Fair Housing Act and with substantially equivalent fair housing laws. HUD has awarded grants to affirmatively further fair housing in the amounts indicated above. Grants were competitively awarded to emphasize enforcement and education and outreach activities based on the protected bases including race, color, religion, sex, disability, familial status and national origin. For FY 2007 approximately 88 grants were awarded. Enforcement related grants were given to assist private, tax exempt fair housing enforcement organizations in the investigation and enforcement of alleged violations of the Act and substantially equivalent State and local fair housing laws. Education and outreach grants were given to assist projects that inform the public about their rights and obligations under the Act and substantially equivalent State and local fair housing laws. HUD estimates that 88 competitive grants will be awarded in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Myron Newry, Director, FHIP Division, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5224, Washington, DC 20410. Telephone: (202) 402-7095 E-mail: Myron.P.Newry@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>.

RELATED PROGRAMS:

14.401, Fair Housing Assistance Program State and Local; 14.402, Non-Discrimination in Federally-Assisted Programs (On the Basis of Age); 14.412, Employment Opportunities for Lower Income Persons and Businesses.

EXAMPLES OF FUNDED PROJECTS:

The types of projects funded included activities for discovering and providing remedies for fair housing discrimination; conducting investigations of housing discrimination, including systemic discrimination; conducting testing or other investigative support for administrative and judicial enforcement of fair housing laws; and linking fair housing organizations regionally in enforcement activities. Additional projects funded included activities for establishing new fair housing enforcement organizations; discovering and providing remedies for fair housing discrimination; conducting investigations of housing discrimination; and building the capacity of existing organizations to provide fair housing enforcement. Other projects included activities providing fair housing information to the public through public service announcements, advertisements, posters and brochures; providing fair housing counseling services; and developing fair housing training for educational institutions and members of the housing industry on fair housing rights and responsibilities.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.412 EMPLOYMENT OPPORTUNITIES FOR LOWER INCOME PERSONS AND BUSINESSES

(Section 3)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Urban Development Act of 1968, Section 3, 12 U.S.C. 1701u.

OBJECTIVES:

Section 3 of the HUD Act of 1968 requires that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns which provide economic opportunities to low- and very low-income persons.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Section 3 applies to training, employment, contracting and other economic opportunities arising from the expenditure of public and Indian housing development, operating and modernization assistance; and to training, employment and other economic opportunities arising in connection with the expenditure of housing and community development assistance that is used for housing rehabilitation (including reduction and abatement of lead-based paint hazards), housing construction and other public construction. Section 3 also applies to financial assistance in the form of insurance or guaranty or to tenant-based assistance.

Applicant Eligibility:

Public and Indian Housing Programs: (1) Training and employment opportunities --(i) public housing residents; or (ii) an individual who resides in the metropolitan area or non-metropolitan county in which the Section 3 covered assistance is expended, and who is a low- or very low-income person. (2) Contract opportunities -- (i) business concerns that are 51 percent or more owned by residents of the housing development or developments for which the Section 3 covered assistance is expended, or who's full-time, permanent workforce includes 30 percent of these persons as employees; or (ii) business concerns that are 51 percent or more owned by residents of other housing developments or developments managed by the housing authority that is expending the Section 3 covered assistance or whose full-time permanent workforce includes 30 percent of these persons as employees; or (iii) HUD Youth build programs being carried out in the metropolitan area in which the Section 3 covered assistance is expended; or (iv) business concerns that are 51 percent or more owned by Section 3 residents, or whose permanent, full-time workforce includes no less than 30 percent Section 3 residents or that subcontract in excess of 25 percent of the total amount of subcontracts to business concerns identified in (i) and (ii) above. Housing and Community Development Programs: (1) training and employment opportunities -- (i) Section residents residing in the service area or neighborhood in which the Section 3 covered project is located; (ii) participants in HUD Youthbuild programs; (iii) homeless persons residing in the service area or neighborhood in which the Section 3 covered project is located; and (iv) other Section 3 residents. (2) Contract opportunities: -- (i) Section 3 business concerns that provide economic

opportunities for Section 3 residents in the service area or neighborhood in which the Section 3 covered project is located; (ii) applicants selected to carry out HUD Youthbuild programs; and (iii) Other Section 3 business concerns. Any Section 3 resident or Section 3 business concern may file a complaint alleging noncompliance with Section 3.

Beneficiary Eligibility:

Complainant individuals who are Section 3 residents or a Section 3 business concern.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to HUD Headquarters, Office of Fair Housing and Equal Opportunity, Economic Opportunity Division.

Award Procedure:

Not applicable.

Deadlines:

Complaints must be received within 180 days of the date of the alleged non-compliance with Section 3.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the Section 3 Division completed 17 Section 3 compliance and monitoring reviews and responded to more than 14 requests for technical assistance nationwide. The Division estimates completing Section 3 Compliance Reviews and/or providing Technical Assistance to 20 recipients of Section 3 covered financial assistance in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 U.S.C. 1701u; 24 CFR Part 135.

Regional or Local Office:

HUD Field Office, Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Staci Gilliam Hampton, Director, Economic Opportunity Division, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5232, Washington, DC 20410. Telephone: (202) 708-3633, extension 3468. E-mail: Staci.Gilliam.Hampton@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/fheo/section3/section3.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.414 NON-DISCRIMINATION ON THE BASIS OF DISABILITY BY PUBLIC ENTITIES

(Title II of the Americans with Disabilities Act)

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Americans with Disabilities Act of 1990, Title II.

OBJECTIVES:

Title II of the Americans with Disabilities Act prohibits discrimination against

persons with disabilities in all programs, services, and regulatory activities relating to State and local public housing, and housing assistance and referral.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Pertains to all programs, services, and regulatory activities relating to State and local government, public housing, and housing assistance and referral.

Applicant Eligibility:

Individuals who believe they have been subjected to a Title II violation by a HUD-assisted recipient or a public entity involved in housing, housing assistance or housing referral may file a complaint with the Department of Housing and Urban Development.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints may be sent to any HUD Office or to the Department of Justice.

Award Procedure:

None.

Deadlines:

Complaints must be received within 180 days of the date of the alleged act of discrimination. Complaints may be amended.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

May request a review of HUD's findings.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, 305 complaints were received and four compliance reviews were conducted. HUD estimates that in Fiscal Year 2008, 288 complaints will be received and eight compliance reviews conducted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Nondiscrimination on the Basis of Disability in State and Local Government Services (28 CFR Part 35).

Regional or Local Office:

HUD Local Field Office of Fair Housing and Equal opportunity: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, Ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/sec8.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.415 NON-DISCRIMINATION ON THE BASIS OF SEX IN EDUCATION PROGRAMS AND ACTIVITIES RECEIVING FEDERAL FINANCIAL ASSISTANCE

FEDERAL AGENCY:

OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Education Amendments Act of 1972, Title IX, as amended, 20 U.S.C. 1681, et

seq.

OBJECTIVES:

To investigate complaints of discriminatory practices in connection to the Department's administration of Federal assistance programs and activities. Title IX prohibits discrimination on the basis of sex in education programs or activities that receive Federal financial assistance.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Pertains to all education programs or activities that receive Federal financial assistance. The goal of Title IX is to ensure that Federal funds are not utilized for and do not support sex-based discrimination, and that individuals have equal opportunities, without regard to sex, to pursue, engage or participate in, and benefit from academic, extracurricular, research, occupational training, employment, or other educational programs and activities.

Applicant Eligibility:

Any person believing he/she has been subjected to a discriminatory action on the basis of sex may file a complaint with the Department of Housing and Urban Development. Complaints may be filed on behalf of a specific group or individual believed to have been subjected to 24 CFR Part 3 discrimination.

Beneficiary Eligibility:

None.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complaints must be in writing and sent to any HUD local Field Office of Fair Housing and Equal Opportunity or HUD Headquarters, Washington, DC.

Award Procedure:

None.

Deadlines:

Complaints must be received within 180 days of the date of the alleged act of discrimination. May be amended.

Range of Approval/Disapproval Time:

None.

Appeals:

Recipient may request a hearing.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

86-0143-0-1-999.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, the Department did not receive any complaints under Title IX. The Department does not anticipate any complaints in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Housing and Urban Development's Title IX Common Rule is published at 24 CFR Part 3.

Regional or Local Office:

Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lynn Grosso, Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development, 451 7th Street, S.W., Room 5226, Washington, DC 20410. Telephone: (202) 708-2904, Ext. 5361. E-mail: Lynn.M.Grosso@hud.gov.

Web Site Address:

<http://www.hud.gov/progdesc/fheoindx.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.506 GENERAL RESEARCH AND TECHNOLOGY ACTIVITY

FEDERAL AGENCY:

OFFICE OF POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1970, Title V, as amended.

OBJECTIVES:

To carry out research, demonstration and program evaluation and monitoring projects of high priority and pre-selected by the Department to improve the operations of the Department's programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

HUD's Office of Policy Development and Research's (PD&R) funds are awarded through competitive procurement solicitations or Interagency Agreements with other Federal Agencies.

Applicant Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Beneficiary Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Credentials/Documentation:

Capacity and capability of applicant to perform research by grant or cooperative agreement under this program and to manage Federal funding.

Preapplication Coordination:

Contact HUD's Office of the Chief Procurement Officer for information related to competitive solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As identified in request for proposals for competitive procurement awards or as identified in the NOFA for competitive grants.

Award Procedure:

Contact Proposals will be reviewed against criteria contained in the request for proposals issued by HUD's Office of the Chief Procurement Officer for procurement actions. Applications will be reviewed against criteria contained in the NOFA for competitive grant actions.

Deadlines:

As specified in request for proposals or NOFA.

Range of Approval/Disapproval Time:

As identified in request for proposals for competitive procurement awards or as identified in the NOFA for competitive grants.

Appeals:

Office of the Assistant Secretary for Policy Development and Research for grant awards. Office of the Chief Procurement Officer for procurement actions and for all contractual matters.

Renewals:

As provided in agreement arrangements, if any.

Formula and Matching Requirements:

Contracts are either Cost reimbursable or fixed price in nature.

Length and Time Phasing of Assistance:

As specified in the contractual agreement. Awards usually will not exceed twelve months.

Reports:

As specified in agreements.

Audits:

Accounts and records of the project are subject to audit by the Federal government at any time. The number of audits depends on the length of the project and the nature of the agreement. Grantees are subject to the audit requirements of OMB Circular A-133.

Records:

Full and accurate records must be maintained with respect to all matters covered in the agreement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$39,313,731; FY 08 est \$30,478,902; and FY 09 est \$41,300,000.

Range and Average of Financial Assistance:

\$13,580 to \$675,000.

PROGRAM ACCOMPLISHMENTS:

Research and demonstration programs are underway in the following major areas: low-income housing; public housing; Government-Sponsored Enterprises' activities; fair housing practices; community and urban economic development; and international activities. Additionally, there are HUD program evaluation and monitoring projects underway.

REGULATIONS, GUIDELINES, AND LITERATURE:

For competitive grants, refer to the NOFA. For competitive procurements, refer to the request for proposals.

Regional or Local Office:

None.

Headquarters Office:

Assistant Secretary for Policy Development and Research, Department of Housing and Urban Development, 451 7th Street S.W., Washington, DC 20410. Attention: Budget, Contracts, and Program Control Division. Telephone: (202) 708-1796. Use the same number for FTS.

Web Site Address:

<http://www.huduser.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Unsolicited proposals are not accepted.

14.514 HISPANIC-SERVING INSTITUTIONS ASSISTING COMMUNITIES

FEDERAL AGENCY:

POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Act 2008 (Public Law 110-161).

OBJECTIVES:

To assist Hispanic-Serving Institutions of higher education (HSIs) expand their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for persons of low-and moderate-income, consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet both the Community Development Block Grant (CDBG) Program eligibility requirements and at least one national objective. Criteria for determining whether an activity addresses one or more objectives are provided at 24 CFR 570.208. Ineligible activities are listed in paragraph 570.207.

Applicant Eligibility:

Nonprofit Hispanic-Serving Institutions that meet the definition of an HIS of higher education established in Title V of the Higher Education Act of 1965 (20 U.S.C. 1101) as amended are eligible to submit applications for funding. In order to meet this definition, at least 25 percent of the full-time undergraduate student enrolled in an institution must be Hispanic. In addition, all applicants must be institutions of higher education granting two-or four-year degrees that are fully accredited by a national or regional accrediting agency recognized by the Department of Education.

Beneficiary Eligibility:

The principal beneficiaries of the program include any city, county, town, parish, village, any other political subdivision of a State, or certain U.S. territories within which the HSI is located.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations".

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None. Applicants get points in the selection system for securing leveraged funds.

Length and Time Phasing of Assistance:

HUD may make grants for up to 3 years.

Reports:

Semi-annual and final reports are required, as well as Program Logic Model and a final program report and Program Logic Model are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0162-0-1-451; 86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$5,940,000; FY 08 est \$6,000,000; and FY 09 est \$3,929,000.

(NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

\$600,000 per grantee for a three-year grant performance period.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007 10 grants were awarded. It is anticipated that a similar number of awards will be made in 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, Subparts A, C, J, K and O. Provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations."

Regional or Local Office:

None.

Headquarters Office:

For answers to technical questions, contact Madlyn Wohlman-Rodriguez in the Office of University Partnerships, Office of Policy Development and Research, 451 7th Street S.W., Washington, DC 20410. Telephone: (202) 402-5939. E-mail: Madlyn.Wohlmanrodriguez@hud.gov.

Web Site Address:

www.oup.org; or www.hud.gov/grants.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the criteria listed in each NOFA.

14.515 ALASKA NATIVE/NATIVE HAWAIIAN INSTITUTIONS ASSISTING COMMUNITIES

FEDERAL AGENCY:

POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To assist Alaska Native Institutions (ANIs) and Native Hawaiian Institutions (NHIs) of higher education enhance their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for persons of low-and moderate-income, consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet both the Community Development Block Grant (CDBG) Program eligibility requirements and at least one national objective. Criteria for determining whether an activity addresses one or more objectives are provided at 24CFR 570.208. Ineligible activities are listed in paragraph 570.207.

Applicant Eligibility:

Nonprofit Alaska Native and Native Hawaiian Institutions of higher established in Title III, Part A, Section 317 of the Higher Education Act of 1965, as amended by the Higher Education Amendments of 1998 (Public Law 105-244, enacted October 7 1998) are eligible to submit applications for funding. Institutions are not required to be on the list of eligible ANI/NHI prepared by the Department of Education. However, an institution that is not on the list is required to provide a statement that certify that they meet the statutory definition of an ANI or NHI. Each autonomous campus of an ANI or NHI is permitted to

submit a separate application as long as they have a separate administrative structure and budget.

Beneficiary Eligibility:

The principal beneficiaries of the program include any city, county, town, parish, village, any other political subdivision of a State within which an ANI or NHI is located.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations".

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None. Applicants get points in the selection system for securing leveraged funds.

Length and Time Phasing of Assistance:

HUD may make grants for up to 3 years.

Reports:

Quarterly progress and financial progress reports, as well as Program Logic Model and a final program report and Program Logic Model are required.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Records must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0162-0-1-451, 86-0108-0-1-451.

Obligations:

Grants) FY 07 \$2,970,000; FY 08 est \$3,000,000; and FY 09 est \$1,977,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

For Alaska Native Institutions of higher education and Native Hawaiian Institutions of higher education, \$800,000 per grantee.

PROGRAM ACCOMPLISHMENTS:

Since 2001 36 grants have been awarded under this program. It is anticipated that up to four more applicants will receive funding in FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, subparts A, C, J, K and O. Provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations."

Regional or Local Office:

None.

Headquarters Office:

For answers to technical questions, Sherone Ivey, in the Office of University Partnerships, Office of Policy Development and Research HUD, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-4200. Fax: (202) 708-0309. E-mail: Sherone.Ivey@hud.gov.

Web Site Address:

<http://www.oup.org> ; or www.hud.gov/grants.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the criteria listed in each NOFA.

14.516 DOCTORAL DISSERTATION RESEARCH GRANTS

FEDERAL AGENCY:

OFFICE OF POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Resolution Act, 2008 (Public Law 110-161).

OBJECTIVES:

To assist Ph.D. candidates in completing their research and dissertations on housing and urban development issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of a dissertation including stipends, computer software, the purchase of data, travel expenses to collect data, transcription services, and compensation for interviews. Grants cannot be used for tuition, computer hardware, or meals. Indirect cost and honorariums cannot be charged under this program.

Applicant Eligibility:

Applicants must be accredited institutions of higher education recognized by the Department of Education that sponsor doctoral students who are currently enrolled and matriculating and have been accepted into candidacy in an accredited doctoral program. However, the sponsored doctoral student is responsible for the completion of the application (with the assistance of the institution).

Beneficiary Eligibility:

Beneficiaries are doctoral students.

Credentials/Documentation:

Doctoral students must meet the following requirements: (1) Be a U.S. citizen or lawful permanent resident (recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a Green Card) currently enrolled in an accredited doctoral program; (2) Have an approved dissertation proposal; (3) Provide documentation from the dissertation committee chairs that states the feasibility of the following: (a) By the application due date, the student's dissertation will be accepted by the full dissertation committee (b) The student will have an assigned dissertation advisor; (c) by September of the application year, the student will have satisfactorily completed all other written and oral Ph.D. requirements, including all examinations and defense of the proposal, except the dissertation; and (d) The proposed dissertation can be completed within the 2-year grant period.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Application requirements will appear in a Federal Register Notice of Funding Availability (NOFA). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applicants will respond to competitive requirements contained in a NOFA published in the Federal Register each year.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Applicant's institution is required to provide support to the applicant during the grant period.

Length and Time Phasing of Assistance:

This grant is for a 2-year period.

Reports:

Applicants must submit a report on progress halfway through the grant period and a Program Logic Model. At the end of the grant performance period the doctoral student must submit to HUD two copies and a CD-Rom of the approved manuscript in its final version and a final complete Program Outcome Logic Model.

Audits:

None.

Records:

The institution must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$295,783; FY 08 est \$300,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Maximum grant size is \$25,000.

PROGRAM ACCOMPLISHMENTS:

Program helps approximately 12 Ph.D. candidates a year complete their

dissertations on HUD-related research topics.

REGULATIONS, GUIDELINES, AND LITERATURE:

This information is provided in the yearly NOFA. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Susan Brunson, Office of University Partnerships, Department of Housing and Urban Development, Room 8106, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-3852. E-mail: Susan.S.Brunson@hud.gov.

Web Site Address:

<http://www.oup.org> and www.hud.gov.

RELATED PROGRAMS:

14.517, Early Doctoral Student Research Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

14.517 EARLY DOCTORAL STUDENT RESEARCH GRANTS

FEDERAL AGENCY:

POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To enable doctoral students enrolled at institutions of higher education to cultivate their research skills through the preparation of a research manuscript that focuses on policy-relevant housing and urban development issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of a research manuscript including stipends, computer software, the purchase of data, travel expenses to collect data, transcription services, and compensation for interviews. Grants cannot be used for tuition, computer hardware, or meals. Indirect cost and honorariums cannot be charged under this program.

Applicant Eligibility:

Applicants are accredited institutions of higher education recognized by the Department of Education that sponsor doctoral students who are currently enrolled and matriculating and who are in pre-candidacy in an accredited doctoral program. However, the sponsored doctoral student is responsible for the completion of the application (with the assistance of the institution).

Beneficiary Eligibility:

Beneficiaries are doctoral students.

Credentials/Documentation:

Doctoral students must meet the following requirements: (1) Be a U.S. citizen or lawful permanent resident (recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a Green Card) currently enrolled as a full-time student in an accredited doctoral program; (2) Have a major or concentration within a field related to housing and urban development (3) Have not taken the preliminary/comprehensive examinations (4) Completed at least two semesters or three terms of their doctoral studies program (depending on the course structure of the institution); and (5) Have an assigned faculty advisor to supervise the research manuscript.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Application requirements will appear in a Federal Register Notice of Funding Availability (NOFA). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applicants will respond to competitive requirements contained in a NOFA published in the Federal Register each year.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Applicant's institution is required to provide support to the applicant during the grant period.

Length and Time Phasing of Assistance:

This Grant is for a 1-year period.

Reports:

Applicants must submit a report on progress halfway through the grant period and a Program Outcome Logic Model. At the end of the grant performance period the doctoral student must submit to HUD two copies and a CD-Rom of the approved manuscript in its final version and a final complete Program Outcome Logic Model.

Audits:

None.

Records:

The institution must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$102,693; FY 08 est \$100,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Maximum grant size is \$15,000.

PROGRAM ACCOMPLISHMENTS:

Program helps approximately 7 doctoral students a year complete their research manuscripts on HUD-related research topics.

REGULATIONS, GUIDELINES, AND LITERATURE:

This information is provided in the yearly NOFA. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Susan Brunson, Office of University Partnerships, Department of Housing and Urban Development, Room 8106, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-3852. E-mail: Susan.S.Brunson@hud.gov.

Web Site Address:

<http://www.oup.org> and www.hud.gov.

RELATED PROGRAMS:

14.516, Doctoral Dissertation Research Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

14.519 TRIBAL COLLEGES AND UNIVERSITIES PROGRAM

FEDERAL AGENCY:

POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To assist Tribal Colleges and Universities (TCU) build, expand, renovate, and equip their own facilities, and to expand the role of the TCUs into the community through the provision of needed services such as health programs, job training, and economic development activities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds can be used by tribal colleges to build, expand, renovate, and equip their own facilities. No other activities can be funded, nor can facilities not owned by tribal colleges and universities be assisted.

Applicant Eligibility:

Tribal Colleges and Universities that meet the statutory definition of a TCU established in Title III of the 1998 Amendments to the Higher Education Act of 1965 (Public Law 105-244, approved October 7, 1998) that are two-or four-year, fully accredited or that are candidates for accreditation by a regional institutional accrediting association recognized by the Department of Education are eligible to submit applications for funding.

Beneficiary Eligibility:

Tribal Colleges and Universities to help build, expand, renovate, and equip their own facilities. Buildings for which TCUP funding is used that also serves the community are eligible; however, the facilities must be predominantly (at least 51 percent of the time) for the use of the institution (e.g., students, faculty, and staff). In addition, public services and program delivery activities for the community such as health programs, job training and economic development are eligible activities.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Nonprofit Organizations; and (3) provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations".

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register each year.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Applicants are not required to provide matching funds, but will be awarded points in the selection system for securing leveraged funds.

Length and Time Phasing of Assistance:

Projects are funded for a 3-year period.

Reports:

Quarterly progress and financial progress reports and a final program report are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0162-0-1-451; 86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$2,574,000; FY 08 est \$5,000,000; and FY 09 est \$1,687,000.

Range and Average of Financial Assistance:

\$750,000.

PROGRAM ACCOMPLISHMENTS:

Through fiscal year 2007, Tribal Colleges and Universities have received approximately \$20.2 million in grants to further develop facilities on their campuses.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by (1) OMB Circular No. A-133 "Audits of States, Local Governments, and Nonprofit Organizations; and (2) provisions of 24 CFR Part 84, "Grant Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations."

Regional or Local Office:

None.

Headquarters Office:

For answers to technical questions, Sherone Ivey, in the Office of University Partnerships, Office of Policy Development and Research HUD, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-4200. Fax: (202) 708-0309. E-mail: Sherone.Ivey@hud.gov.

Web Site Address:

<http://www.oup.org> or www.hud.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Will be listed in a NOFA each year.

14.520 HISTORICALLY BLACK COLLEGES AND UNIVERSITIES PROGRAM

FEDERAL AGENCY:

POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Continuing Appropriations Resolution, 2008 (Public Law 110-161).

OBJECTIVES:

To assist Historically Black Colleges and Universities (HBCUs) expand their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for person of low-moderate income consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet both the Community Development Block Grant (CDBG) Program eligibility requirements and at least one national objective. Criteria for determining whether an activity addresses one or more objectives are provided at 24CFR 570.208. Ineligible activities are listed in paragraph 570.207. An activity which is otherwise eligible, may not be funded if State or local law requires that it be carried out by a governmental entity.

Applicant Eligibility:

Historically Black Colleges and Universities as determined by the Department of Education in 34 CFR 608.2 pursuant to that Department's responsibilities under Executive Order 13256, dated February 12, 2002.

Beneficiary Eligibility:

The principal beneficiaries of the Historically Black Colleges and Universities program will include any city, county, town, township, parish, village, or other general political subdivision of a State within which the HBCU is located. A HBCU located in a metropolitan statistical area, as established by the Office of Management and Budget, may consider its locality to be one or more of these entities within the entire area.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations".

Preapplication Coordination:

None. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications, subject to the Department's right to make selections out of rank order based on geographic considerations as established in each NOFA.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Deadlines will be announced in each NOFA publication.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

No formula or matching requirement. Applicants get points in the selection system for securing leveraged funds.

Length and Time Phasing of Assistance:

HUD may make funding commitments for up to 3 years.

Reports:

Quarterly progress and financial progress reports, as well as Program Logic Model and a final program report and Program Logic Model are required.

Audits:

OMB Circular No. A-133 establishes audit requirements and defines Federal responsibilities for implementing and monitoring such requirements of higher education and other nonprofit institutions receiving Federal awards. It promotes the efficient and effective use of audit services. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0162-0-1-451; 86-0108-0-1-451.

Obligations:

(Grants) FY 07 \$8,910,000; FY 08 est \$9,000,000; and FY 09 est \$5,907,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

Up to \$700,000 per grant.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, approximately \$8,374,000 was awarded in grants to 14 HBCUs to help them revitalize distressed neighborhoods surrounding their campuses. Activities such as: housing rehabilitation, community center

development, small business enhancement, job creation and training will be made available to residents in low and moderate income neighborhoods.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, Subparts A, C, J, K and O. Provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations."

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of University Partnerships, Office of Policy Development and Research, Dept. of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 402-4390. Fax: (202) 402-0309. E-mail: OPHELIA.WILSON@hud.gov.

Web Site Address:

<http://www.oup.org/> or www.hud.gov/grants.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each NOFA.

14.850 PUBLIC AND INDIAN HOUSING

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1937, as amended.

OBJECTIVES:

To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Operating subsidy funds are provided to PHAs to achieve and maintain adequate operating and maintenance service and reserve funds. Funds are made to PHAs based on the extent to which their projected total allowable expenses (as determined by formula) exceed their projected income (also as determined by formula) from rents and other sources.

Applicant Eligibility:

Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the U.S. Housing Act (of 1937) or the Indian Housing Act.

Beneficiary Eligibility:

Lower income families which include citizens or eligible immigrants. The term "Families" includes but is not limited to: (1) a family with or without children; (2) an elderly family (head, spouse, or sole member 62 years or older), (3) near elderly family (head, spouse, or sole member 50 years old but less than 62 years old); (4) a disabled family; (5) a displaced family; (6) the remaining member of a tenant family; or (7) a single person who is neither elderly, near-elderly, displaced, or a person with disabilities.

Credentials/Documentation:

The applicant must support the application/proposal by furnishing data that the program is consistent with the Public Housing Agency (PHA) Plan (Quality Housing and Work Responsibility Act of 1998). The PHA Plan is designed for both long-term and short-term strategies for addressing the housing needs of the community. The proposed program should demonstrate that it is responsive to the PHA Plan by identifying conditions of the housing stock in the community and the housing assistance needs of lower-income families (including the elderly, persons with disabilities, large families and those displaced or who will be displaced) residing in or expected to reside in the community.

Preapplication Coordination:

Execution of Cooperative Agreement between local government and local PHA. Sites are subject to review by the chief executive officer of the unit of local government in accordance with Section 213 of the Housing and Community Development Act of 1974. An environmental assessment pursuant to NEPA is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Projects approved after September 30, 1986, are covered under OMB Circular No. A-102, except those provisions of the circular which OMB has granted waivers.

Application Procedure:

PHAs may submit a full proposal (HUD-52483-A) which includes, but is not

limited to a project description, development method, offer of sale of real property, site information and financial feasibility pursuant to 24 CFR Part 941 and Handbook 7417.1 REV-1 and, if applicable, additional statutory or policy requirements governing the funds provided for the particular fiscal year. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Usually the HUD Field Office Manager makes final decision to approve individual applications.

Deadlines:

PHA Applications may be submitted at any time; PHA applications must be amended or resubmitted to meet current year funding notice requirements when issued.

Range of Approval/Disapproval Time:

Approximately 60 days from the deadline for applications for processing applications/proposals.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Funds are provided to PHAs to cover the capital cost of a project. Additional operating subsidies are available to maintain adequate operating and maintenance services and reserve funds. There are no matching requirements; however an indirect local contribution results from the difference between full local property taxes and payments in lieu of taxes (PILOT) made by local PHAs. This program has maintenance of effort (MOE) requirements, (see funding agency for further details).

Length and Time Phasing of Assistance:

Contributions made annually for up to 30 years for development and 20 years for modernization program reservations approved through September 30, 1986 for projects with permanent financing. Annual appropriations of capital funds for development and modernization started October 1, 1986. Projects funded with capital funds after October 1, 1986 will have contract terms of 40 years.

Reports:

Annual Operating Budget; periodic occupancy and financial reports.

Audits:

Periodic fiscal, management, maintenance and energy audits

Records:

Those necessary to indicate compliance with Annual Contributions Contract.

Account Identification:

86-0163-0-1-604.

Obligations:

FY 07 \$3,864,000,000; FY 08 est \$4,200,000,000; and FY 09 est \$4,300,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, the Department made available approximately \$3.60 billion in operating subsidies for approximately 1,204,000 public housing units. No development was funded under this program; such development of new or replacement units that did occur was primarily financed with funds from the modernization accounts (14.852 and 14.859) or HOPE VI (14.866).

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 990, 24 CFR 941, Handbook 7417.1 (Rev.-1) (Public Housing-Development Handbook), Handbook 7465.1 Rev-2. (Public Housing Occupancy: Admission).

Regional or Local Office:

HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Assistant Secretary for Public and Indian Housing, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-0950. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/progdesc/pihindx.html>

RELATED PROGRAMS:

14.866, Demolition and Revitalization of Severely Distressed Public Housing; 14.867, Indian Housing Block Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.856 LOWER INCOME HOUSING ASSISTANCE PROGRAM-SECTION 8 MODERATE REHABILITATION

(Section 8 Housing Assistance Payments Program for Very Low Income Families-Moderate Rehabilitation)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1937, Public Law 75-412, 42 U.S.C. 1401-1435, as amended; Housing and Community Development Act of 1974, as amended, Public Law 93-383, 88 Stat. 662, 42 U.S.C. 1437f; Supplemental Housing Authorization Act of 1977, Public Law 95-24, 91 Stat. 53; Housing and Community Development Act of 1977, Public Law 95-128, 91 Stat. 1111; Housing and Community Development Amendments of 1978, as amended, Public Law 95-557, 92 Stat. 2080; Housing and Community Development Amendments of 1979, Public Law 96-153, 93 Stat. 1121; Housing and Community Development Act of 1980, Public Law 96-399, 94 Stat. 1614; Housing and Community Development Amendments of 1981, Public Law 97-35; Housing and Urban-Rural Recovery Act of 1983, Public Law 100-242; Housing and Community Development Act of 1987, Public Law 101-235; Department of Housing and Urban Development Reform Act of 1989; Housing Opportunity Program Extension Act of 1996, Public Law 104-120; Multifamily Assisted Housing Reform and Affordability Act of 1997, Public Law 105-65.

OBJECTIVES:

To aid very low income families in obtaining decent, safe and sanitary rental housing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe and sanitary housing for very low income families at rents they can afford. Housing assistance payments are used to make up the difference between the approved rent due to the owner for the dwelling unit and the occupant family's required contribution towards rent. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent. This program is inactive, i.e., no new projects are being approved.

Applicant Eligibility:

An authorized Public Housing Agency (any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof).

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for small and large families). A very low income or, on an exception basis, lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

Credentials/Documentation:

This program is no longer funded for new applications and awards.

Preamplification Coordination:

No applications for new projects are being accepted. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No applications for new projects are being accepted.

Award Procedure:

No applications for new projects are being accepted.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Eligible owners may request a one-year Housing Assistance Payments Contract renewal. Requests must be made at least 75 days prior to Contract expiration.

Formula and Matching Requirements:

There are no matching requirements. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent.

Length and Time Phasing of Assistance:

Under the Moderate Rehabilitation Program, payments may be made for 180 days. Assistance may be extended for 12 months at a time when an eligible owner requests a Housing Assistance Payments Contract renewal.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; and Requisition for Partial Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Report on Program Utilization, HUD Form 52683; Family Report, HUD Form 50058.

Audits:

Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Assistance Payments Contract.

Account Identification:

86-0303-0-1-604; 86-0319-0-1-604.

Obligations:

FY 07 Reported under 14.157; FY 08 est Reported under 14.157; and FY 09 est Reported under 14.157.

Range and Average of Financial Assistance:

Amount necessary to lease units and cover related administration, management, maintenance and operating expenses including utilities.

PROGRAM ACCOMPLISHMENTS:

Approximately 28,000 units are available for occupancy or receiving subsidies under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882; Section 8 Housing Assistance Payments Program, Existing Housing and Moderate Rehabilitation Processing Handbook, 7420.3; PHA Administrative Practices Handbook for the Section 8 Existing Housing Program, 7420.7; Accounting Handbook 7420.6; Section 8 Rental Certificate, Rental Voucher, and Moderate Rehabilitation Programs Forms, Legal Contracts and Publications, Handbook 7420.7; PIH Notice 2001-13.

Regional or Local Office:

The HUD Office listed in the Catalog Address Appendix IV has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Office of the Deputy Assistant Secretary for Housing and Voucher Programs, Housing Voucher Management and Operations Division, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 402-0477. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/progdsc/pihindx.html>.

RELATED PROGRAMS:

14.871, Section 8 Housing Choice Vouchers; 14.850, Public and Indian Housing.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

**14.862 INDIAN COMMUNITY DEVELOPMENT BLOCK
GRANT PROGRAM**

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 106(a), as amended, 42 U.S.C. 5301 et seq.; Housing and Urban Development Act, Section 7(d), 42 U.S.C. 3535(d).

OBJECTIVES:

To provide assistance to Indian tribes and Alaska Native villages in the development of viable Indian communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Indian tribes and Alaska Native villages may use block grants to improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate homeownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Tribes and Alaska Native villages are restricted from using block grants for construction and improvement of governmental facilities, the purchase of equipment, general government expenses, operating and maintenance expenses, political activities, new housing construction (except through community-based development organizations (CBDOs), and income payments.

Applicant Eligibility:

Any Indian tribe, band, group, nation, or tribal organization, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility:

The principal beneficiaries of ICDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income, as determined by HUD, adjusted for family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments.

Preapplication Coordination:

No preapplication required. Prior to submitting application, applicant must allow for citizen participation in application development. An environmental assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must file an application on forms prescribed by HUD, which describe the community development need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) Area Office is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Dates are published in a Notice of Funding Availability (NOFA) in the Federal Register.

Range of Approval/Disapproval Time:

From 75 to 110 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

None

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance is available until project completion, usually within two years.

Reports:

Annual performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$61,796,147; FY 08 est \$62,000,000; and FY 09 est \$57,420,000.

Range and Average of Financial Assistance:

The estimated average grant in Fiscal Year 2003 (latest year data provided) was approximately \$600,000. The smallest and largest awards were \$177,274 and \$4,950,947, respectively.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1003.

Regional or Local Office:

Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Native American Programs, Department of Housing and Urban Development, 451 Seventh St, S.W., Room 4126, Washington, DC 20410. Telephone: (202) 401-7914.

Web Site Address:

<http://www.hud.gov/offices/pih/ih/grants/icdbg.cfm>.

RELATED PROGRAMS:

14.867, Indian Housing Block Grants; 14.250, Rural Housing and Economic Development; 15.124, Indian Loans_Economic Development; 15.141, Indian Housing Assistance; 93.612, Native American Programs.

EXAMPLES OF FUNDED PROJECTS:

Fire station; housing rehabilitation grant program; cooperative store development; water lines and storage facility, community building.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village which has the capacity to administer a grant. Applications are then rated against factors which measure impact and quality.

14.865 PUBLIC AND INDIAN HOUSING-INDIAN LOAN GUARANTEE PROGRAM

(Loan Guarantees for Indian Housing)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, OFFICE OF NATIVE AMERICAN PROGRAMS, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1992, as amended; Public Law 102-550; 106 Stat. 3739; 12 U.S.C. 1515z-13a.

OBJECTIVES:

To provide homeownership opportunities to Native Americans, Tribes, Indian Housing Authorities including Tribally Designated Housing Entities (TDHEs), and Indian Housing Authorities on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home; and refinancing of existing debt (FY 03). The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

Applicant Eligibility:

The loan applicant must be members of a federally recognized Indian tribe, band or community, which includes Native Americans, Alaska Natives, or an Indian Housing Authority including a Tribally Designated Housing Authority (TDHE) or a Tribe which meets certain requirements. Applicant eligibility is validated by current enrollment in a federally recognized tribe.

Beneficiary Eligibility:

The homeowner is the ultimate beneficiary of the program. When the Indian Housing Authority, TDHE or Tribe is the homebuyer, they may then rent the property. In these cases, the person renting the home would be an indirect beneficiary.

Credentials/Documentation:

Loan applicants must provide the lender with documentation on eligibility, assets, income, debts and liabilities to show ability to obtain and repay the mortgage loan.

Preapplication Coordination:

A Tribe must develop eviction, foreclosure, and priority of lien procedures and adopt a residential mortgage lease acceptable to HUD and the BIA for any property on trust land within their jurisdiction to be eligible for this program. The loan applicant must find an existing property or a builder to construct a home. The proposed home site must be in an Indian area as defined in the statute. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted to eligible financial institutions. With the loan application, the homebuyer must submit documentation such as pay stubs, W-2 forms (income tax returns if self-employed), evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender verifies the land status of the proposed property.

Award Procedure:

The financial institution obtains and reviews all documentation and forwards it to HUD's Office of Native American Programs. HUD sends the Section 184 Loan Guarantee Firm Commitment Form to the Lender, if the mortgage meets the Section 184 Program underwriting criteria. The financial institution is responsible for assuring Tribal eligibility, land status, property acceptability and the creditworthiness of the loan applicant. HUD has responsibility for the approval or disapproval of the loan (the application for loan guarantee). Eligible lenders may originate loans under the Direct Guarantee Program. Direct Guarantee lenders underwrite, approve and close the loan prior to submission to HUD for the Loan Guarantee Certificate.

Deadlines:

None.

Range of Approval/Disapproval Time:

There are no specific time requirements for the processing of these loans.

Appeals:

Applicants whose loans are disapproved may appeal the disapproval if the applicant can provide additional documentation to resolve the issues which lead to the disapproval.

Renewals:

None.

Formula and Matching Requirements:

The loan amount is calculated based on 97.75 percent of the appraised value of the property if over \$50,000 and 98.75 percent if the property is valued at or under \$50,000. The applicant must have sufficient assets in cash (or their equivalent) to make the downpayment. The applicant's total debts (including the mortgage loan) should not exceed 41 percent of their income (unless valid compensating factors are presented).

Length and Time Phasing of Assistance:

The term of the loan cannot exceed 30 years. The processing time varies from

time of application to the time the loan closes, but in general will average from 30 to 120 days unless there are significant land issues; then 6 to 12 months is possible.

Reports:

Participating financial institutions must provide HUD with copies of loan files and must report to HUD on the status of the loan, once it has closed.

Audits:

Participating lenders, and closed loan files, are reviewed to assure compliance with the program's requirements.

Records:

Copies of loans files are maintained by HUD. The lender that originates the loan must maintain a copy of the loan file for 2 years after loan closing. The lender that services the loan must maintain the loan file for the life of the loan plus 3 years.

Account Identification:

86-0223-0-1-371.

Obligations:

(Loans guaranteed/commitment level) FY 07 \$235,074,000; FY 08 est \$367,000,000; FY 09 est \$367,000,000.

Range and Average of Financial Assistance:

The average loan amount in FY 2007 was \$168,009.

PROGRAM ACCOMPLISHMENTS:

The first loan was guaranteed on March 1, 1995. As of September 30 2007, 5,051 loans totaling \$664,841,253 had been guaranteed (cumulative over life of program).

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1005; a Guidebook and literature is available from HUD's Office of Native American Programs.

Regional or Local Office:

None. Inquiries should be addressed to the Headquarters Office below.

Headquarters Office:

Thomas C. Wright, Director, Office of Loan Guarantee, Office of Native American Programs, 451 Seventh Street, S.W., Room 4126, Washington, DC 20410. Telephone: (202) 402-4978, or toll-free, (800) 561-5913.

Web Site Address:

<http://www.hud.gov/offices/pih/ih/homeownership/184>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.866 DEMOLITION AND REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING

(HOPE VI)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

The funding authority for HOPE VI revitalization grants under the fiscal year 2008 HOPE VI NOFA is provided by the Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007) under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)." The program authority for the HOPE VI program is Section 24 of the 1937 Act, as amended by the Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007).

OBJECTIVES:

Revitalization Grants enable PHAs to improve the living environment for public housing residents of severely distressed public housing projects through the demolition, substantial rehabilitation, reconfiguration, and/or replacement of severely distressed units; revitalize the sites on which severely distressed public housing projects are located and contribute to the improvement of the surrounding neighborhood; lessen isolation and reduce the concentration of low-income families; build sustainable mixed-income communities; and provide well-coordinated, results-based community and supportive services that directly complement housing redevelopment and that help residents to achieve self-sufficiency, young people to obtain educational excellence, and the community to secure a desirable quality of life.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

HOPE VI Revitalization grant funds may be used to fund the revitalization of severely distressed public housing developments. Such activities include the demolition of severely distressed public housing developments or portions thereof; relocation costs for affected residents; disposition activities; rehabilitation of existing public housing units and/or community facilities; development of new public and other housing units and community facilities;

homeownership activities; acquisition activities; necessary management improvements and administrative costs; and community and supportive services. Up to 15 percent of a grant may be used for community and supportive services activities.

Applicant Eligibility:

For HOPE VI Revitalization Grants, Public Housing Agencies (PHAs) operating public housing units are eligible to apply. Indian Housing Authorities and PHAs that only administer the Section 8 Program are not eligible to apply.

Beneficiary Eligibility:

For HOPE VI Revitalization Grants, the ultimate beneficiaries are residents of the severely distressed public housing and residents of the revitalized development.

Credentials/Documentation:

Eligibility requirements to apply for a HOPE VI grant are included in each year's Notice of Funding Availability (NOFA). This program is covered under OMB Circular No. A-87.

Preapplication Coordination:

Applicants are provided with guidance from HUD Headquarters and may ask questions of HUD staff while preparing their applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A NOFA is published in the Federal Register announcing the availability of funds and includes necessary application materials. The NOFA and all necessary application materials are made available on the Grants.gov website as well.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected to participate in the HOPE VI program. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment."

Deadlines:

The deadline for submitting an application for HOPE VI is published in each year's NOFA.

Range of Approval/Disapproval Time:

Approximately 3 months from the application deadline date.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

None.

Formula and Matching Requirements:

Grantees must provide a 5 percent overall match. For HOPE VI Revitalization Grants, if more than 5 percent of the grant is used for Community and Supportive Services, any amount over that 5 percent must be matched (up to a maximum of 15 percent).

Length and Time Phasing of Assistance:

Each year's grant agreement sets out the requirements regarding timetables and milestones.

Reports:

Quarterly Progress Report, Quarterly Obligation and Expenditures Report, Closeout Report.

Audits:

HOPE VI Revitalization grants are audited in conjunction with regular HUD or independent public accountant audits of PHA operations in accordance with 24 CFR 85.26, and after grant close-out.

Records:

Copies of budgets and accumulative cost records through the grant period, and other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

(Grants) FY 07 \$97,020,000; FY 08 est \$97,600,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The HOPE VI Revitalization Grants awarded in FY 2006 ranged from \$11.9 to \$20 million, with an average of \$18 million. The maximum grant amount was set at \$20 million. Fiscal year 2007 grants ranged from \$8.855 to \$20 million, with an average of \$17.7 million. The maximum grant amount was set at \$20 million. Fiscal year 2008 grants have not yet been awarded (application deadline is June 20, 2008).

PROGRAM ACCOMPLISHMENTS:

Through fiscal year 1993 to 2007, PHAs received 35 planning, 242 revitalization, and 287 demolition-only grants and 9 HOPE VI Main Street. Fiscal year 2008 grants have not yet been awarded. Over 88,554 severely distressed public housing units have been demolished under the HOPE VI program. Over 67,332 new housing units have been developed.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its

NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to its Home Page (<http://www.hud.gov/offices/pih/programs/ph/hope6/>) that provides Grantees with information on timelines, budgets, financial instructions, and other program guidance. HUD also publishes a Mixed-Finance Guidebook that is available to the public by calling (800) 955-2232.

Regional or Local Office:

The level of field office involvement in the administration of a project varies grant-by-grant. All HOPE VI Demolition grants are administered in the Field, and most Revitalization grants are administered in Headquarters. Applicants should contact the HUD Field Office listed on the internet at <http://www.hud.gov/local/> that has jurisdiction for their area.

Headquarters Office:

Assistant Secretary for Public and Indian Housing, Deputy Assistant Secretary for Public Housing Investments, Office of Urban Revitalization, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 401-8812.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/ph/hope6/>.

RELATED PROGRAMS:

14.850, Public and Indian Housing; 14.872, Public Housing Capital Fund.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

As published in each NOFA.

14.867 INDIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA), Public Law 104-330 as amended October 21, 1998; December 27, 2000; November 13, 2002; October 30, 2004; December 22, 2005; and August 8, 2005.

OBJECTIVES:

To provide Federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities.

Applicant Eligibility:

Indian tribes or tribally designated housing entities (TDHE) (to be eligible for Indian housing block grant funds the tribe or TDHE must submit an Indian housing plan that is in compliance with the requirements of Section 102 of NAHASDA).

Beneficiary Eligibility:

Primarily low-income families and in limited cases, over-income families may be eligible.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No. A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public Law 93-638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None.

Deadlines:

Indian housing plans must be submitted prior to July 1st of each Federal Fiscal Year.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Amounts made available for assistance will be allocated to Indian tribes in compliance with the requirements under NAHASDA.

Length and Time Phasing of Assistance:

Indian Housing Block Grant funds are drawn down from the Treasury based on need using an electronic fund transfer disbursement and information system. Within 2 years of grant award, no less than 90 percent of the grant must be

obligated.

Reports:

Recipients are required to submit Grantee Expenditure Data and an Annual Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Cut off at end of fiscal year or calendar in which all master file events are closed out.

Account Identification:

86-0313-0-1-604.

Obligations:

(Grants) FY 07 \$617,265,000; FY 08 est \$621,770,000; and FY 09 est \$620,750,000.

Range and Average of Financial Assistance:

\$25,000 to \$89,260,522; \$1,715,679.

PROGRAM ACCOMPLISHMENTS:

A variety of affordable housing activities that include, operating of 1937 housing units, development of new rental and homeownership housing, rehabilitation of existing units, housing counseling, housing management, and crime prevention.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1000.

Regional or Local Office:

Inquiries should be addressed to the Administrator, Office of Native American Programs at the appropriate area office (see Catalog Address Appendix IV for HUD Office of Native American Programs area office addresses in Chicago, Denver, Phoenix, Seattle, Oklahoma City and Anchorage).

Headquarters Office:

Headquarters Office of Native American Programs, 451 Seventh Street, S.W., Room 4126, Washington, DC 20410. Telephone: (202) 401-7914.

Web Site Address:

<http://www.hud.gov/progdesc/ihbg1208.cfm>.

RELATED PROGRAMS:

14.862, Indian Community Development Block Grant Program; 14.250, Rural Housing and Economic Development; 15.124, Indian Loans Economic Development; 15.141, Indian Housing Assistance; 93.612, Native American Programs.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.869 TITLE VI FEDERAL GUARANTEES FOR FINANCING TRIBAL HOUSING ACTIVITIES

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, OFFICE OF NATIVE AMERICAN PROGRAMS, OFFICE OF LOAN GUARANTEE, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996, Public Law 104-330, 25 U.S.C. 401-4212.

OBJECTIVES:

To assist an Indian tribe or Tribally Designated Housing Entity (TDHE) to obtain financing for affordable housing activities under Title II of NAHASDA where an obligation cannot be completed without such guarantee.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Limited to the eligible affordable housing activities listed in Section 202 of the NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Model Activities approved by the Secretary.

Applicant Eligibility:

The applicant must be a Federally recognized Indian tribe or TDHE that is either a beneficiary or recipient of Indian Housing Block Grants (IHBG) funds.

Beneficiary Eligibility:

Indian tribes and their members are the beneficiaries. A TDHE acts on behalf of a tribe, as authorized by Tribal and TDHE resolutions.

Credentials/Documentation:

The applicant must provide the lender the documentation detailed in the "Title VI Application Process", including the certifications required under 24 CFR Section 1000.424(d) that the applicant possesses the legal authority to pledge,

and that it will, if approved, make the pledge of IHBG funds required by section 602(a)(2) of NAHASDA.

Preapplication Coordination:

Applicant submits a request for a Preliminary Letter of Acceptance (PLA) to HUD. The PLA is HUD's concurrence to proceed with the Title VI application. The PLA is not an approval of the Title VI application or a commitment to approve or guarantee the Title VI loan. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible lenders are detailed in the statute. The lender assembles the Title VI application package and submits it to HUD. HUD will review the application for compliance with Title VI of NAHASDA and the implementing regulations.

Award Procedure:

HUD issues a Letter of Firm Commitment to the lender when HUD approves the Title VI application and the mortgage meets the Title VI Program underwriting criteria. The lender then closes the loan and submits the closing package to HUD for review. Following a successful review, HUD issues a Certificate of Loan Guarantee to the lender.

Deadlines:

None.

Range of Approval/Disapproval Time:

The Title VI regulation at 24 CFR 1000.430(a) states that HUD will make every effort to approve an application within 30 days of the receipt of a completed application, and if HUD is unable to do so, will notify the lender (the applicant via the lender) within the 30 day time frame of the need for additional time to process the application and/or if additional information is required.

Appeals:

HUD will notify the lender in writing that the application has been reduced or disapproved and the specific reasons for the reduction or the disapproval. The lender may submit additional documentation to reverse the HUD decision.

Renewals:

None.

Formula and Matching Requirements:

Borrowers may be required to pledge security in addition to IHBG funds to secure the remaining 5 percent of the loan balance that is not secured by the 95 percent federal loan guarantee.

Length and Time Phasing of Assistance:

The term of the loan may exceed 20 years, as approved by HUD. The lender and applicant shall determine the terms of the repayment for each project, subject to HUD's approval.

Reports:

The lender will provide HUD a quarterly report on the guaranteed loan, including the status of the guaranteed loan, the current principal balance, and the amount collected during the quarter just ended.

Audits:

Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The originating lender must maintain a copy of the loan file for 2 years after loan is guaranteed. The servicing lender must maintain a loan file for the line of the loan plus 3 years.

Account Identification:

86-0313-0-1-604.

Obligations:

(Loans guaranteed/commitment level) FY 07 \$12,457,000; FY 08 est \$17,000,000; and FY 09 est \$17,000,000.

Range and Average of Financial Assistance:

A borrower's guaranteed obligations may not exceed an amount equal to the borrower's IHBG, less the amount needed to operate and maintain current assisted stock (CAS), times five (IHBG - CAS X 5 = maximum guaranteed amount).

PROGRAM ACCOMPLISHMENTS:

Title VI is a permanent program under Title VI of the NAHASDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000, subpart E; "The Title VI Application Process" and promotional literature are available from HUD's National Program Office of Native American Programs, Office of Loan Guarantee; and the Title VI web page (see the address below).

Regional or Local Office:

None (see Headquarters Office below).

Headquarters Office:

Robert Lamp, Loan Guarantee Specialist, Office of Loan Guarantee, Department of Housing and Urban Development, Office of Native American Programs, Room 4126, 451 Seventh Street, S.W., Washington, DC 20410. Telephone: (202) 402-4134, (This is not a toll free number).

Web Site Address:

<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>

RELATED PROGRAMS:

14.865, Public and Indian Housing Indian Loan Guarantee Program.

EXAMPLES OF FUNDED PROJECTS:

Development of single family and multiple-family housing projects or subdivisions; integration of infrastructure resources on tribal lands; purchase and renovation of a mobile home lot.

CRITERIA FOR SELECTING PROPOSALS:

Title VI and Section 202 of NAHASDA; criteria is detailed in the "The Title VI Application Process" available from HUD's National Program Office, Office of Loan Guarantee, and on the Title VI web site (<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>).

14.870 RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES - SERVICE COORDINATORS

(ROSS Service Coordinators)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 34 of the 1937 Act, 42 U.S.C 1437z-6, as amended by the The Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007).

OBJECTIVES:

To programmatically address the needs of public housing residents by providing service coordinator positions to coordinate supportive services, resident empowerment activities and/or assisting residents in becoming economically self-sufficient or age-in-place.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for the salary of Service Coordinator positions, with some administrative and training funds.

Applicant Eligibility:

Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils and nonprofit entities supported by residents. Applicants must establish partnerships to leverage resources.

Beneficiary Eligibility:

Individuals, families, children, youth, adults as well as elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review, applications in accordance with selection criteria in the NOFA published in the Federal Register.

Deadlines:

The NOFA will announce the deadline for application submission.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will be undertaken by HUD. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

None.

Formula and Matching Requirements:

All applicants must present evidence of matching funds, as specified in the NOFA.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period.

Reports:

Grantees must keep records and make available semi-annual reports as specified by HUD.

Audits:

Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; and OMB Circular Nos. A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

FY 07 reported under 14.876; FY 08 est \$40,000,000; and FY 09 est

\$37,620,000.

Range and Average of Financial Assistance:

\$200,000 to \$720,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 300-350 grants were awarded annually 2001-2007 for all ROSS programs available those years.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Ron Ashford, Director, HOPE VI Community and Supportive Services;
Telephone: (202) 402-4258.

Web Site Address:

<http://www.hud.gov/progdesc/pihindx.html>.

RELATED PROGRAMS:

14.877, Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.871 SECTION 8 HOUSING CHOICE VOUCHERS

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1937, Section 8(o), as amended, 42 U.S.C. 1437(o); Department of Housing and Urban Development Act, Section 7(d), 42 U.S.C. 3535(d); Housing and Urban-Rural Recovery Act of 1983, Public Law 98-181; Housing and Community Development Act of 1987, Part 3, Public Law 100-242; National Affordable Housing Act of 1990, Public Law 101-625; Housing and Community Development Act of 1992, Public Law 102-550; Housing Opportunity Program Extension Act of 1996, Public Law 104-120; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-65; Quality Housing and Work Responsibility Act of 1998, Public Law 105-276; Preserving Affordable Housing for Senior Citizens and Families into the 21st Century Act of 1999, Public Law 106-74; Departments of Veterans Affairs, Housing and Urban Development; Independent Agencies Appropriations Act of 2000, and Violence Against Women and Justice Department Reauthorization Act of 2005, Public Law 109-162.

OBJECTIVES:

To aid very low income families in obtaining decent, safe, and sanitary rental housing. For Welfare-to-Work rental vouchers, families must also meet special welfare-to-work criteria. HUD regulations merged the former Section 8 Rental Voucher program (14.855) with the former Section 8 Certificate program (14.857). Section 502 of the Public Housing Reform Act states that a purpose of the legislation is "consolidating the voucher and certificate programs for rental assistance under Section 8 of the United States Housing Act of 1937 (the "USHA") into a single market-driven program that will assist in making tenant-based rental assistance more successful at helping low-income families obtain affordable housing and will increase housing choice for low-income families."

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area,

adjusted for smaller and larger families). At least 75 percent of families admitted to the voucher program during the PHA fiscal year must be extremely low income families (whose income does not exceed 30 percent of the median income for the area).

Credentials/Documentation:

In the Housing Choice Voucher Program, the PHA must support its application by furnishing data that the program proposed is responsive to the condition of the housing stock in the community and the housing assistance needs of very low income families (including the elderly, handicapped and disabled, large families and those displaced) residing in the community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Department will publish a Notice of Funding availability (NOFA) in the Federal Register identifying allocation areas, amount of funds available for each area, and the selection criteria for rating and ranking applications. Applicants will have at least 30 days to submit an application. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Submission of Application for Housing Assistance Payments Program (Form HUD-52515). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process. Welfare-to-Work vouchers were awarded through a national competition in fiscal year 1999.

Deadlines:

Applications should be submitted in accordance with the time frame stated in the NOFA.

Range of Approval/Disapproval Time:

Generally 45 days from the date of receipt and approval of applications.

Appeals:

None.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD.

Formula and Matching Requirements:

There are no matching requirements.

Length and Time Phasing of Assistance:

New funding is provided for 12 months.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058.

Audits:

Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

FY 07 \$15,970,659,000; FY 08 est \$16,051,000,000; and FY 09 est \$16,253,000,000.

Range and Average of Financial Assistance:

Amount necessary for the PHA to pay housing assistance payments and cover related administrative expenses. The housing assistance payment is generally the difference between the lower of the gross rent or the local payment standard and 30 percent of the family's adjusted income. The family may choose to rent a unit for more or less than the payment standard. When initially leasing a unit where the gross rent exceeds the payment standard, a family may not pay more than 40 percent of adjusted monthly income.

PROGRAM ACCOMPLISHMENTS:

Approximately two million families receive voucher program assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Rental Certificate, Rental Voucher and Moderate Rehabilitation Programs Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G

Regional or Local Office:

HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Office of the Public Housing and Voucher Programs, Housing Voucher Management and Operations Division, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-0477. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.872 PUBLIC HOUSING CAPITAL FUND

(CFP)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Act of 1937, as amended; Quality Housing and Work Responsibility Act of 1998, Public Law 105-276.

OBJECTIVES:

The Capital Fund provides funds annually to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing. The Capital fund also permits PHAs to use Capital Funds for financing activities, including payments of debt service and customary financing costs, in standard PHA developments and in mixed-finance developments which include Public Housing.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis.

Applicant Eligibility:

The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Act.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must submit the following documents with their PHA Plan which includes a component for the Capital Fund Program: Form HUD-50075, PHA Certification of Compliance with The PHA Plan and Related Regulations; State or Local Certification of Consistency with the Consolidated Plan; Form HUD-5070, Certification of a Drug-Free Workplace; Form HUD-50071, Certification of Payments To Influence Federal Transactions; and Standard Form SF-LLL and SF-LLLa, Disclosure of Lobbying Activities (where applicable).

Preapplication Coordination:

PHAs consult with local government officials and PHA residents as they plan for activities to be included in the CFP. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

PHAs annually submit a PHA Plan to the local HUD Field Office that consolidates information submitted by the PHA for various HUD programs into one document. The CFP is one component of the Plan.

Award Procedure:

The Field Office reviews and approves the PHA Plan, which includes information relative to the CFP.

Deadlines:

PHAs must submit a PHA Plan to the Field Office 75 days in advance of the PHA's fiscal year.

Range of Approval/Disapproval Time:

The Field Office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

To be determined through the rule-making process.

Renewals:

None.

Formula and Matching Requirements:

The formula is based on the backlog and accrual needs of PHAs. There are no matching requirements.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be fully obligated within 2 years and expended in 4 years unless the Secretary extends the time period.

Reports:

To be determined through the rule-making process.

Audits:

The CFP is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by

HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604.

Obligations:

(Grants) FY 07 \$2,493,865,000; FY 08 est \$2,327,452,000; and FY 09 est \$1,939,038,000.

Range and Average of Financial Assistance:

\$572 to \$340,826,471; \$667,760.

PROGRAM ACCOMPLISHMENTS:

Section 519 of the Quality Housing and Work Responsibility Act of 1998 authorized the CFP. A Rule was published in the Federal Register on March 16, 2000 on the formula allocation process. A proposed Rule for comment on CFP implementation as well as implementation of the Capital Fund Financing Program will be published in the Federal Register later in this Fiscal Year.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905.

Regional or Local Office:

Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Assistant Secretary for Public and Indian Housing, Washington DC 20410.
Telephone: (202) 708-0950.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.873 NATIVE HAWAIIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Hawaiian Homelands Homeownership Act of 2000, Section 513, Public law 106-569.

OBJECTIVES:

To provide housing assistance to low-income Native Hawaiian families who are eligible to reside on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible affordable housing activities are development, housing services, crime prevention and safety activities, and model activities. NHHBG funds may only be used for eligible activities that are consistent with the Department of Hawaiian Home Lands housing plan.

Applicant Eligibility:

The Department of Native Hawaiian Home Lands (DHHL) is the only eligible grant recipient.

Beneficiary Eligibility:

Native Hawaiian families who are eligible to reside on the Hawaiian Home Lands.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submission of Housing Plan.

Award Procedure:

Native Hawaiian Housing Block Grant funds are provided to the Department of Hawaiian Home Lands (DHHL), the sole eligible grant recipient.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Grant funds are made available on an annual basis, subject to annual congressional appropriations.

Formula and Matching Requirements:

All Native Hawaiian Housing Block Grant funds are provided to DHHL. There are no matching requirements.

Length and Time Phasing of Assistance:

Annual grants.

Reports:

Annual performance reports to HUD.

Audits:

Compliance with the Single Audit Act and OMB Circular A-133.

Records:

Grant files are maintained by HUD for one year after grant closeout and destroyed six years after grant closeout pursuant to the HUD Records Disposition Handbook.

Account Identification:

86-0235-0-1-604.

Obligations:

FY 07 \$8,377,770; FY 08 est \$8,700,000; and FY 09 est \$5,641,000.

Range and Average of Financial Assistance:

Average \$9 million per year.

PROGRAM ACCOMPLISHMENTS:

Project activities that are underway include site and infrastructure improvements for new housing development, technical assistance to families for self-help construction, loan subsidies, and homeownership counseling and training. In FY 2007, 65 Native Hawaiian families received a new home under this program and 164 families were assisted with counseling and training services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule published June 13, 2002. Final regulations effective December 29, 2003.

Regional or Local Office:

None.

Headquarters Office:

Claudine Allen, PIH/Office of Native American Programs, 500 Ala Moana Boulevard, Suite 3A, Honolulu, HI 96813. Telephone: (808) 522-8175 Ext. 223. E-mail: Claudine.C.Allen@hud.gov.

Web Site Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/nhhbgprogram.cfm>.

RELATED PROGRAMS:

14.867, Indian Housing Block Grants.

EXAMPLES OF FUNDED PROJECTS:

Maluohai Phase 3 on the island of Oahu - self-help technical assistance; Waiakea 6 on the island of Hawaii - infrastructure construction and low-interest loans; Kekaha Residence Lots on the island of Kauai - infrastructure construction, self-help technical assistance and subsidies.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.874 LOAN GUARANTEES FOR NATIVE HAWAIIAN HOUSING

(Section 184A)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 514 of the Hawaiian Homelands Homeownership of 2000, Public Law 106-569, added a new Section 184A to the Housing and Community Development Act of 1992, to authorize a new program of loan guarantees for Native Hawaiian housing.

OBJECTIVES:

To provide eligible Native Hawaiian families with greater access to private mortgage resources by guaranteeing loans for one-to four family housing located on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

A loan guaranteed under this part may only be used to construct, acquire, or rehabilitate eligible housing. The housing must be located on Hawaiian Home Lands for which a housing plan has been determined by HUD to be in compliance with regulations.

Applicant Eligibility:

A Native Hawaiian family; the Department of Hawaiian Home Lands; the Office of Hawaiian Affairs; a private nonprofit organization experienced in the planning and development of affordable housing for Native Hawaiians.

Beneficiary Eligibility:

Native Hawaiian homeowners are the beneficiaries of the program.

Credentials/Documentation:

Individual Loan Applicants must meet the definitions of Native Hawaiian and provide the eligible lender with documentation of that eligibility, assets, income, debts and liabilities to show ability to obtain and repay the loan.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted to eligible financial institutions. With the loan application, the homebuyer submits documentation such as pay stubs, W-2 forms, tax returns, evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain

and repay the mortgage. The lender will verify the eligibility of the land status.

Award Procedure:

The financial institution obtains and reviews all documentation and submits it to HUD. HUD is responsible for ensuring the individual applicants Native Hawaiian eligibility, land status per Section 204 of the Hawaiian Homes Commission Act, housing acceptability, and the creditworthiness of the applicant. HUD has responsibility for the approval or disapproval of the applicant and the issuance of the loan guarantee. If the loan guarantee funds are available, a firm commitment is issued to the lender. Firm commitments are valid for a period not to exceed 90 days from issuance.

Deadlines:

None.

Range of Approval/Disapproval Time:

HUD may approve a loan for guarantee under this part and issue a certificate under this section only if HUD determines that there is a reasonable prospect of repayment of the loan.

Appeals:

Applicants whose loans are disapproved may appeal the disapproval. Additional documentation to resolve the issues which led to the disapproval may be required.

Renewals:

None.

Formula and Matching Requirements:

The loan amount will not exceed 97.75 percent of the appraised value of the property or 98.75 percent if the value of the property is \$50,000 or less.

Length and Time Phasing of Assistance:

The loan term shall not exceed thirty years. Processing time of the individual loans will depend on the quality of the lenders submittal and HUD's ability to ascertain proper land and lease status.

Reports:

Lenders will be required to report loan delinquencies on a quarterly basis.

Audits:

Participating lenders will be subject to review and closed loan files are subject to review to assure compliance with the requirements of Section 184A.

Records:

Copies of loan files will be maintained by HUD. The originating lender must maintain copies of the guaranteed loan file for 2 years after loan closing. The servicing lender must maintain the loan file for the life of the loan plus 3 years.

Account Identification:

86-0233-0-1-604.

Obligations:

(Loan Guarantee/Commitment Level) FY 07, \$36,425,000; FY 08 est \$41,504,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1007.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Claudine Allen, PIH/Office of Native American Programs, 500 Ala Moana Boulevard, Suite 3A, Honolulu, HI 96813. Telephone: (808) 522-8175 Ext. 223. E-mail: Claudine.C.Allen@hud.gov.

Web Site Address:

www.hud.gov/codetalk.

RELATED PROGRAMS:

14.865, Public and Indian Housing Indian Loan Guarantee Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

14.875 PUBLIC HOUSING NEIGHBORHOOD NETWORKS GRANTS

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 9(d)(1)(E) of the U.S. Housing Act of 1937.

OBJECTIVES:

To provide Public Housing Authorities with funding to create community technology centers which provide Internet access, job training, and other supportive services to residents of public housing.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A grant provided under the Public Housing Neighborhood Networks program may only be used for the purposes of creating a new Neighborhood Networks

center or expanding an existing center.

Applicant Eligibility:

Only Public Housing Authorities may apply.

Beneficiary Eligibility:

Residents of public housing are the only eligible beneficiaries.

Credentials/Documentation:

Applicants must provide evidence with their application submission that they are eligible and qualified to run the grant program.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Notice of Funding Availability (NOFA) is issued on an annual basis. The NOFA outlines application procedures, application deadlines, and funding available.

Award Procedure:

HUD reviews all applications received for eligibility, threshold requirements, and rating and ranking criteria. Once the review process is completed, award notifications are sent to applicants.

Deadlines:

The NOFA provides this information.

Range of Approval/Disapproval Time:

The application review process can last a few months.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Applicants may not renew an existing grant. However, applicants may apply each year for new funding.

Formula and Matching Requirements:

Applicants are required to demonstrate a firmly committed 25 percent match of cash or in-kind donations.

Length and Time Phasing of Assistance:

The grant lasts for a period of three years.

Reports:

Grantees are required to submit semi-annual performance and financial reports to their local HUD field offices.

Audits:

An audit at the end of the grant term may be required.

Records:

HUD field offices retain copies of grantees' performance and financial reporting submissions. Grantees should retain all grant-related documentation for a period of three years from the date of submission of the final expenditure report.

Account Identification:

86-0304-0-1-604.

Obligations:

FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Though there have been no Congressional appropriations for the Public Housing Neighborhood Networks Program since FY 2006, in November, 2007, a NOFA was made available for the last bit of roll-over funds available for this program. Awards to be made by FY end 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Parts 85, 905, and 968; and the Neighborhood Networks NOFA.

Regional or Local Office:

None.

Headquarters Office:

Ron Ashford, Director, HOPE VI Community and Supportive Services.
Telephone: (202) 401-8812 x4258.

Web Site Address:

www.hud.gov/offices/pih/programs/ph/ross/aboutnn.cfm.

RELATED PROGRAMS:

14.866, Demolition and Revitalization of Severely Distressed Public Housing;
14.870, Resident Opportunity and Supportive Services - Service Coordinators.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are announced in the NOFA.

14.876 RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES - ELDERLY AND PERSONS WITH DISABILITIES

(ROSS Elderly and Persons with Disabilities)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 34 of the 1937 Act (42 U.S.C 1437z-6), as amended by the FY 2007

HUD Appropriations Act.

OBJECTIVES:

To programmatically address the needs of public housing residents by providing supportive services, resident empowerment activities and/or assisting residents in becoming economically self-sufficient. The primary focus of the program is on independent living and "aging in place."

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for supportive services activities, including the employment of project coordinators and/or direct services staff.

Applicant Eligibility:

Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils and nonprofit entities supported by residents. Applicants must establish partnerships to leverage resources.

Beneficiary Eligibility:

Elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Notice of Funding Availability prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review, rate and rank those applications in accordance with selection criteria in the NOFA published in the Federal Register. Awards will be made in rank order in each of the ten federal regions until all funds are expended.

Deadlines:

The NOFA will announce the deadline for application submission.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will be undertaken by HUD. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

None.

Formula and Matching Requirements:

All applicants must present evidence of matching funds, as specified in the NOFA.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period.

Reports:

Grantees must keep records and make available semi-annual reports as specified by HUD.

Audits:

Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; and OMB Circular Nos. A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

FY 07 \$50,000,000; FY 08 est reported under 14.870; and FY 09 est reported under 14.870.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Approximately 300 grants are awarded annually for all ROSS programs. For FY 08 and forward, ROSS Family and ROSS Elderly have been combined to ROSS Service Coordinators.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Ron Ashford, Director, HOPE VI Community and Supportive Services,
Telephone: (202) 401-8812 Ext. 4258.

Web Site Address:

<http://www.hud.gov/progdesc/pihindx.html>.

RELATED PROGRAMS:

14.870, Resident Opportunity and Supportive Services - Service Coordinators;
14.875, Public Housing Neighborhood Networks Grants; 14.877, Public

Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.877 PUBLIC HOUSING FAMILY SELF-SUFFICIENCY UNDER RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES

(Public Housing Family Self-Sufficiency (PH FSS))

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 34 of the 1937 Act, 42 U.S.C 1437z-6, as amended by The Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007).

OBJECTIVES:

To promote the development of local strategies to coordinate the use of assistance under the Public Housing program with public and private resources to enable participating families to achieve economic independence and housing self-sufficiency.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the salary and fringe benefits of a program coordinator.

Applicant Eligibility:

Public Housing Authorities (PHAs) and Tribes and tribally designated housing entities (TDHEs).

Beneficiary Eligibility:

Individuals and families who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFA published in the Federal Register.

Deadlines:

The NOFA will announce the deadline for application submission.

Range of Approval/Disapproval Time:

HUD will undertake processing of applications and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period.

Reports:

Grantees must keep records and make available semi-annual reports as specified by HUD. Grantees must also report using the Family Self Sufficiency Addendum to the HUD-50058 form in the Public and Indian Housing (PIH) Information Center (PIC) unless otherwise arranged for MTW agencies.

Audits:

Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; and OMB Circular Nos. A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

FY 07 Reported under 14.876; FY 08 Reported under 14.870; and FY 09 Reported under 14.870.

Range and Average of Financial Assistance:

\$68,000.

PROGRAM ACCOMPLISHMENTS:

130-200 grants each year since 2004.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

Designated personal in the offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Ron Ashford, Director, HOPE VI Community and Supportive Services 202-401-8812 x4258.

Web Site Address:

<http://www.hud.gov/progdsc/pihindx.html>.

RELATED PROGRAMS:

14.870, Resident Opportunity and Supportive Services - Service Coordinators.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.878 AFFORDABLE HOUSING DEVELOPMENT IN MAIN STREET REJUVENATION PROJECTS

(Main Street)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

The Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007); Section 24, U.S. Housing Act of 1937 (42 U.S.C. 1437v), as amended; Section 402, HOPE VI Program Reauthorization and Small Community Mainstreet Rejuvenation and Housing Act of 2003, Public Law 108-186.

OBJECTIVES:

To assist small communities in the rejuvenation of historic or traditional central business districts or "Main Street Areas" by replacing unused commercial space in buildings with Affordable housing units. The objectives of the program are to: (1) Redevelop Main Street Areas; (2) Preserve historic or traditional architecture or design features in Main Street Areas; (3) Enhance economic development efforts in Main Street Areas; and (4) Provide affordable housing in Main Street Areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Main Street grant funds may be used to rehabilitate or develop new affordable housing that is included in a Main Street rejuvenation effort. Main Street housing units must be maintained as affordable for the initial residents only. Each resident (or family) must have an adjusted income of 80 percent or less of the area median income. Allowable activities that may be funded by a Main Street grant include: development of new housing units; rehabilitation of non-habitable existing housing units; acquisition activities; homeownership activities; necessary management improvement costs; relocation costs for affected residents; and community and supportive services. Main Street grant funds must NOT be used to develop or replace public housing or to repair existing habitable housing. Main Street grant funded activities are limited to: affordable housing development that is part of an existing Main Street rejuvenation effort; development cost up to a maximum of HUD's Total Development Cost for public housing units; maximum fees and soft costs listed in HUD's public housing Safe Harbor Cost Controls; and a maximum of 15 percent of the grant amount to support community and supportive services activities.

Applicant Eligibility:

Eligible applicants include, and are limited to, Units of Local Government ("Local Government") that are subdivisions of State governments, and other governments listed in Section 102 of the Housing and Community Development Act of 1974. The jurisdiction of the Local Government must contain a population of no more than 50,000. The Local Government must either have no Public Housing Agency (PHA) or a PHA that administers no more than 100 public housing units.

Beneficiary Eligibility:

The beneficiaries are low-income families that occupy the newly developed affordable housing, and the local community that is benefiting from the Main Street rejuvenation project.

Credentials/Documentation:

Eligibility requirements to apply for a Main Street VI grant are included in each year's Notice of Funding Availability (NOFA). This program is covered under OMB Circular No. A-87.

Preapplication Coordination:

After Federal Register publication of the Main Street (NOFA), applicants are

provided with guidance from HUD Headquarters and may ask questions of HUD staff while preparing their applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

A NOFA is published in the Federal Register announcing the availability of funds and referring applicants to application materials available from Grants.gov, at <http://www.grants.gov>. Applications must be submitted electronically to Grants.gov. Further information on registration into Grants.gov can be obtained at HUD's <http://www.hud.gov/grants> website.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected receive a Main Street grant. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment."

Deadlines:

The deadline for submitting an application for Main Street is published in each year's NOFA.

Range of Approval/Disapproval Time:

Approximately one month from the application deadline date.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not applicable.

Formula and Matching Requirements:

Grantees must provide a 5 percent match from funding sources other than Section 24 of the 1937 Housing Act, (the Main Street or HOPE VI Programs).

Length and Time Phasing of Assistance:

Grants have a term of 30 months. The applicant must include its program schedule in the application.

Reports:

Quarterly Progress Report, Quarterly Obligation and Expenditures Report, Logic Model, and Closeout Report.

Audits:

Main Street grants are audited with regular audits of the unit of local government and after grant close-out.

Records:

Copies of budgets and accumulative cost records through the grant period, and other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

(Grants) FY 07 \$3,000,000; FY 08 est \$4,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The Main Street Grants have a statutory maximum of \$1 million per grant and a total Annual amount of at most 5 percent of that year's HOPE VI appropriation.

PROGRAM ACCOMPLISHMENTS:

Approximately half of the Main Street grants funded the development of housing units in small, single building projects. The other half of the grants added to the mixed-finance funding of larger developments of up to seventy-five units.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published, although authorizing legislation at Section 24 of the 1937 Housing Act is extremely detailed. Although not specifically applicable, Main Street housing development is processed using HUD's Office of Public and Indian Housing Mixed-finance regulations at 24 CFR 941.606, or HOPE VI homeownership under Section 24 of the 1937 Act. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to its Main Street website at <http://www.hud.gov/offices/pih/programs/ph/hope6/grants/mainstreet/> with links to Main Street's most recent NOFA, program summaries, general guidance materials, mixed-finance guidance materials, and relevant statutes, regulations and notices. This web site provides Grantees with information on timelines, budgets, financial instructions, and other program guidance.

Regional or Local Office:

All Main Street grants are administered at Headquarters by the Office of Public Housing Investments (OPHI). The level of field office involvement in the administration of Main Street grants is limited to issuance of the required environmental review (24 CFR Part 50), and construction inspections. Grantees should contact OPHI at (202) 401-8812, until a HUD Grant Manager is assigned. Grantees should also contact the HUD Field Office Public Housing Division that has jurisdiction for their area. Local Public Housing Divisions are listed on the internet at <http://www.hud.gov/offices/pih/about/focontacts.cfm>.

Headquarters Office:

Assistant Secretary for Public and Indian Housing, Deputy Assistant Secretary for Public Housing Investments, Office of Urban Revitalization, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC

20410. Telephone: (202) 401-8812.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/ph/hope6/grants/mainstreet/>.

RELATED PROGRAMS:

14.866, Demolition and Revitalization of Severely Distressed Public Housing; 14.872, Public Housing Capital Fund.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

As published in each NOFA.

14.879 MAINSTREAM VOUCHERS

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Cranston Gonzalez National Affordable Housing Act, 42 U.S.C. 8013, Public Law 101-625.

OBJECTIVES:

To aid persons with disabilities (elderly and non-elderly) in obtaining decent, safe, and sanitary rental housing For Welfare-to-Work rental vouchers, families must also meet special welfare-to-work criteria.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Public housing agencies (PHA) that is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area and non-profit organization that provide services to the disabled as defined in 42 U.S.C.

Beneficiary Eligibility:

Disabled family that is income-eligible under the Housing Choice Voucher program regulations at 24 CFR 982.201(b)(1) as well as other wise eligible under the regulations at 24CFR 982.201, may receive a voucher awarded on the Mainstream Program Applicants with disabilities must be selected from the PHA's or nonprofit organization's housing choice voucher waiting list.

Credentials/Documentation:

In the Mainstream Voucher Program, the PHA or non-organization must support its application by furnishing data that the program proposed is responsive to the demand for housing for non-elderly and elderly persons with disabilities would equal or exceed the requested number of vouchers.

Preapplication Coordination:

To the extent appropriations are available, the Department will publish a Notice of Funding availability (NOFA) in the Federal Register identifying allocation areas, amount of funds available for each area, and the selection criteria for rating and ranking applications. Applicants will have at least 60 days to submit an application. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Submission of Application for Housing Assistance Payments Program (Form HUD-52515). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Applications should be submitted in accordance with the time frame stated in the NOFA.

Range of Approval/Disapproval Time:

Generally 45 days from the date of receipt and approval of applications.

Appeals:

None.

Renewals:

Expiring increments of Mainstream voucher program assistance are automatically renewed by HUD.

Formula and Matching Requirements:

There are no matching requirements.

Length and Time Phasing of Assistance:

New funding is provided for 5 years.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD Form 96010.

Audits:

Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Mainstream/Housing Choice Voucher Contract.

Account Identification:

86-0237-0-1-604.

Obligations:

FY 07 \$73,662,000; FY 08 \$74,745,000; and FY 09 \$87,100,000.

Range and Average of Financial Assistance:

Amount necessary for the PHA to pay housing assistance payments and cover related administrative expenses. The housing assistance payment is generally the difference between the lower of the gross rent or the local payment standard and 30 percent of the family's adjusted income. The family may choose to rent a unit for more or less than the payment standard. When initially leasing a unit where the gross rent exceeds the payment standard, a family may not pay more than 40 percent of adjusted monthly income.

PROGRAM ACCOMPLISHMENTS:

Approximately 14,000 families receive Mainstream Voucher assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Housing Choice Voucher Program, Form, Legal Contracts, and Publications Handbook, 7420.8; and the Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Office of the Public Housing and Voucher Programs, Housing Voucher Management and Operations Division, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-0477. Use the same 7-digit number for FTS.

Web Site Address:

http://www.hud.gov/offices/pih/programs/hcv/about/fact_sheet.cfm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.880 FAMILY UNIFICATION PROGRAM (FUP)

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Section 8(x) of the United States Housing Act of 1937 (42 U.S.C.1437(x), Title II of Division K of the Consolidated Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To aid families for whom the lack of adequate housing is the primary factor in the separation, or the threat of imminent separation, of children from their families, and youths ages 18-21 years old who left foster care at age 16 or older and lack adequate housing. FUP eligible families and youths can have access to Housing Choice Voucher (HVC) to obtain decent, safe, and affordable housing units on the private market.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Families and youths that are income eligible under the HCV program regulations at 24 CFR 982.201 may receive a voucher awarded under the FUP. Families and youths must be referred to the PHA from the local Child Welfare Agency (CWA).

Credentials/Documentation:

In the FUP, the PHA must support its application by furnishing data that the program proposed is responsive to the demand of FUP eligible families and youths would equal or exceed the requested number of voucher.

Preapplication Coordination:

The Department will publish a Notice of Funding availability (NOFA) in the Federal Register identifying allocation areas, amount of funds available for each area, and the selection criteria for rating and ranking applications. Applicants will have at least 30 days to submit an application. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Submission of Application for Housing Assistance Payments Program (Form HUD-52515). This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Applications should be submitted in accordance with the time frame stated in the NOFA.

Range of Approval/Disapproval Time:

Generally 45 days from the date of receipt and approval of applications.

Appeals:

None.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD.

Formula and Matching Requirements:

There are no matching requirements.

Length and Time Phasing of Assistance:

New funding is provided for 12 months.

Reports:

Supporting Data for Annual Contributions Estimates, form 52672; Estimate of Total Required Annual Contributions, HUD form 52673; Requisition for Partial Payment of Annual Contributions, HUD form 52663; Voucher for payment of Annual contributions and Operating Statement, HUD form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD form 96010.

Audits:

Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

FY 07 \$0; FY 08 est \$20,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Amount necessary for the PHA to pay housing assistance payments and cover related administrative expenses. The housing assistance payment is generally the difference between the lower of the gross rent or the local payment standard and 30 percent of the family's adjusted income. The family may choose to rent a unit for more or less than the payment standard. When initially leasing a unit where the gross rent exceeds the payment standard, a family may not pay more than 40 percent of adjusted monthly income.

PROGRAM ACCOMPLISHMENTS:

Approximately 24,426 families receive voucher program assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Housing Choice Voucher Program Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Office of the Public Housing and Voucher Programs, Housing Voucher Management and Operations Division, Department of Housing and Urban Development, Washington, DC 20410. Telephone: (202) 708-0477. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.881 MOVING TO WORK DEMONSTRATION PROGRAM

FEDERAL AGENCY:

PUBLIC AND INDIAN HOUSING, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Public Law 104-134, 110 Stat. 1321).

OBJECTIVES:

The purpose of this demonstration is to give public housing agencies and the Secretary of Housing and Urban Development the flexibility to design and test various approaches for providing and administering housing assistance that: reduce cost and achieve greater cost effectiveness in Federal expenditures; give incentives to families with children where the head of household is working, seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and increase housing choices for low-income families.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Under the demonstration, notwithstanding any provision of the United States Housing Act of 1937 except as provided in section 204(e) of Public Law 104-134, an agency may combine operating assistance provided under section 9 of the United States Housing Act of 1937, and tenant-based assistance provided under section 8 of such Act, to provide housing assistance for low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937, and services to facilitate the transition to work on such terms and conditions as the agency may propose and the Secretary may approve.

Applicant Eligibility:

Public housing agencies administering the public housing program and/or the section 8 housing assistance payments program may be selected by the Secretary to participate.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must enter into an agreement with the Department that details the terms and conditions of participation in the demonstration.

Preapplication Coordination:

Preapplication Coordination: PHAs consult with local government officials and PHA residents as they plan for activities to be included in the application. The initial application must request authority to combine assistance under sections 8 and 9 of the United States Housing Act of 1937; must be submitted only after the public housing agency provides for citizen participation through a public hearing and, if appropriate, other means; and must include a plan developed by the agency that takes into account comments from the public hearing and any other public comments on the proposed program, and comments from current and prospective residents who would be affected. This program is not eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

PHAs annually submit an MTW Plan to the program office that documents new and ongoing MTW activities. Plans must include criteria for: (A) families to be assisted, which shall require that at least 75 percent of the families assisted by participating demonstration public housing authorities shall be very low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937; (B) establishing a reasonable rent policy, which shall be designed to encourage employment and self-sufficiency by participating families, consistent with the purpose of this demonstration, such as by excluding some or all of a family's earned income for purposes of determining rent; (C) continuing to assist substantially the same total number of eligible low-income families as would have been served had the amounts not been combined; (D) maintaining a comparable mix of families (by family size) as would have been provided had the amounts not been used under the demonstration; and (E) assuring that housing assisted under the demonstration program meets housing quality standards established or approved by the Secretary; and (4) may request assistance for training and technical assistance to assist with design of the demonstration and to participate in a detailed evaluation.

Award Procedure:

The program office reviews and approves the MTW Plan.

Deadlines:

PHAs must submit an MTW Plan to the program office 75 days in advance of the PHA's fiscal year.

Range of Approval/Disapproval Time:

The program office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

To be determined.

Renewals:

None.

Formula and Matching Requirements:

MTW agencies are funded in accordance with their agreement. No additional funds other than those provided under Sections 8 and 9 of the United States Housing Act of 1937 are provided.

Length and Time Phasing of Assistance:

MTW agencies must meet the requirements stated in their MTW agreement.

Reports:

To be determined.

Audits:

The MTW program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0302-0-1-604; 86-0304-0-1-604; 86-0163-0-1-604.

Obligations:

(Grants) FY 07 reported under 14.871, 14.872, 14.850; FY 08 reported under 14.871, 14.872, 14.850; and FY 09 reported under 14.871, 14.872, 14.850.

Range and Average of Financial Assistance:

\$3,849,147 to \$710,871,239; \$99,333,263.

PROGRAM ACCOMPLISHMENTS:

A standard MTW Agreement was developed and implemented in 2008. It has a ten-year term and will mandate evaluations of MTW agencies three years and six years into the demonstration.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Program office addresses).

Headquarters Office:

Assistant Secretary for Public and Indian Housing, Washington DC 20410. Telephone: (202) 708-0950.

Web Site Address:

<http://www.hud.gov/offices/pih/programs/ph/mtw/>.

RELATED PROGRAMS:

14.850, Public and Indian Housing; 14.871, Section 8 Housing Choice Vouchers; 14.872, Public Housing Capital Fund.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

14.900 LEAD-BASED PAINT HAZARD CONTROL IN PRIVATELY-OWNED HOUSING

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 501 and 502 of the Housing and Urban Development Act of 1970; Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

Lead-Based Paint Hazard Control grants assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) Preventing childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) establishing a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (see 59 FR 33881, June 30, 1994).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For Fiscal Year 2008, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

States, Tribes, cities or units of general local government that have a current, approved Consolidated Plan. Federal agencies and Federal employees are not eligible to submit applications. Applicants with outstanding civil rights violations are not eligible for funding.

Beneficiary Eligibility:

Hazard Control Grants shall be for lead-based paint hazard control in eligible target housing, as defined under Section 217 of Public Law 104-134 (the Omnibus Consolidated Rescissions and Appropriations Act of 1996, 110 Stat. 1321, approved April 26, 1996) as amended by Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Funds shall be available only for projects conducted using contractors and inspectors certified, through an EPA authorized program, or trained in lead-safe work practices using a HUD-approved curriculum.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Lead-Based Paint Hazard Control grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead/. For questions related to the application process, E-mail Support@grants.gov, call grants.gov at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Applications must be received and validated by Grants.gov no later than 11:59:59 on July 10, 2008, the date specified in the 2008 NOFA. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The Lead Hazard Control Grant Program requires a minimum of 10 percent local matching requirement. Community Development Block Grant funds are eligible as a local contribution.

Length and Time Phasing of Assistance:

The Hazard Control Grant award is for 36 months. HUD reserves the right to approve no cost time extensions.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with OMB Circular A-133.

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Grants, Contracts, IAAs) FY 07 \$76,400,000; FY 08 est \$86,600,000; and FY 09 est \$95,000,000.

Range and Average of Financial Assistance:

With Fiscal Year 2008 funds an estimated 26 to 40 Lead Hazard Control grants of \$1 million to \$3 million will be awarded totaling \$76.4 million.

PROGRAM ACCOMPLISHMENTS:

Since the inception of the lead programs over 100,000 units have been made lead-safe and over 300,000 units have been tested.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application Kit are self-contained; all necessary information is provided; no other regulations pertain. For Hazard Control Grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Jonnette G. Hawkins, Director, Programs Division, Office of Lead Hazard Control, Department of Housing and Urban Development, 451 Seventh Street, S.W., Room 8236, Washington, DC 20410. Telephone: (202) 402-7593 (this is not a toll-free number). If you are a hearing-or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead/>.

RELATED PROGRAMS:

14.902, Lead Technical Studies Grants; 14.903, Operation Lead Elimination Action Program; 14.904, Lead Outreach Grants; 14.905, Lead Hazard Reduction Demonstration Grant Program.

EXAMPLES OF FUNDED PROJECTS:

The Lead Hazard Control Grant projects are designed to accomplish the following objectives: Perform testing and lead hazard control activities in targeted, low-income, private housing, and conduct general or targeted community awareness and education programs on lead hazard control and poisoning prevention within this designated area.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.901 HEALTHY HOMES DEMONSTRATION GRANTS

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 501 and 502 of the Housing and Urban Development Act of 1970; Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

The purpose of the Healthy Homes Demonstration Program is to develop, demonstrate, and promote cost-effective, preventive measures to correct multiple safety and health hazards that produce serious disease in children and other sensitive subgroups such as the elderly, with a particular focus on low-income households. The Healthy Homes Demonstration program is committed to supporting the Departmental Strategic Goal of strengthening communities by addressing housing conditions that threaten health.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

This program focuses on demonstration projects that implement housing assessment, maintenance, renovation and construction techniques to identify and correct housing-related illness and injury risk factors; they may also include outreach and education activities. Applicants must expend at least 65% of project funds on remediation, be specific as to the locations they are targeting their intervention activities to occur, the residents, individuals or groups targeted to receive interventions, and the organizations targeted to continue to operate effective intervention strategies over the life of the award and thereafter.

Applicant Eligibility:

Eligible applicants include: not-for-profit institutions, and for-profit firms located in the U.S., State and local governments, Federally-recognized Native Indian Tribes and colleges and universities. For-profit firms are not allowed to make profit from the project. Individuals are not eligible to apply.

Beneficiary Eligibility:

Healthy Homes Demonstration grants are intended to serve a broad array of beneficiaries including homeowners, rental property owners, and public housing residents.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally-recognized Indian Tribal governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Healthy Homes Demonstration Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead/. For questions related to the application process, E-mail: Support@grants.gov, call grants.gov at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

To be considered for funding, the application should be submitted electronically through www.grants.gov no later than 11:59:59 p.m. on July 17, 2008, the date and time specified in the 2008 NOFA. Applications for waivers from the electronic submission must be submitted as specified in the General Section. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Healthy Homes Demonstration grants are awarded for a period of performance up to 36 months from the effective date of award. HUD reserves the right to approve no cost time extensions. Payment is on a cost-reimbursable basis.

Reports:

Quarterly financial management and performance reports and benchmark standards are required.

Audits:

In accordance with OMB Circular No. A-133.

Records:

For State, local and Federally-recognized Indian Tribal governments, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Federally-recognized Indian Tribal Governments." For nonprofit and educational institutions, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Grants) FY 07 \$4,897,000; FY 08 est \$4,375,000; and FY 09 est \$5,000,000.

Range and Average of Financial Assistance:

HUD will make about \$5,000,000 available in FY 2006 funds for the Healthy Homes.

PROGRAM ACCOMPLISHMENTS:

Healthy Homes Demonstration Program activities have included hazard assessment and mitigation projects, national and local public education efforts, and housing-related hazard awareness training for health care and day care professionals, environmental specialists, peer educators and hazard remediation workers. Through two grants specifically targeting mold and moisture control in inner city housing, a visual assessment protocol, with linkages to work specifications, was developed. One education grant developed and launched a web-based 'E-house' that enabled parents to 'walk from room to room' identifying household pollutants, pathways of exposure and finding alternative products and services for minimizing potential health risks.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is available at Grants.gov; all necessary information is provided; no other regulations pertain. For Healthy Homes Program Cooperative Agreements, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Dr. Suzanne Gaynor, Office of Lead Hazard Control, Department of Housing and Urban Development, 451 Seventh Street, S.W., Room P-3206, Washington, DC 20410. Telephone: (202) 402-7689 (this is not a toll-free number). If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead/>

RELATED PROGRAMS:

14.902, Lead Technical Studies Grants; 14.903, Operation Lead Elimination Action Program; 14.906, Healthy Homes Technical Studies Grants.

EXAMPLES OF FUNDED PROJECTS:

The New England Asthma Regional Council promotes the adoption of the Asthma Regional Council (ARC) Guidelines for incorporating healthy housing practices in new construction and rehabilitation projects. Training is being provided to 200 code inspectors, 100 affordable housing professionals, and 70 residents. Neighborhood House, in Seattle, in collaboration with public and private partners, will adopt a holistic approach to construct 35 asthma-safe

homes through the HOPE VI projects of the Seattle Housing Authority.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.902 LEAD TECHNICAL STUDIES GRANTS**FEDERAL AGENCY:**

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1992, Title X, Public Law 102-550; Revised Continuing Appropriations Resolution, 2007, Public Law 110-5.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling lead-based paint hazards.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

For fiscal year 2008, potential applicants should consult the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov. The application is an electronic application. You must be registered at www.grants.gov to submit your application.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), State and local governments, and Federally-recognized Indian Tribes in the United States. Federal agencies and Federal employees are not eligible to apply for this program.

Beneficiary Eligibility:

Homeowners, rental property owners, and public housing residents in housing with lead-based paint and/or lead-based paint hazards.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally-recognized Indian Tribal governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Lead Technical Studies Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead. For questions related to the application process, e-mail Support@grants.gov, call grants.gov at 800-518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

To be considered for funding, the application should be submitted electronically through www.grants.gov no later than 11:59:59 p.m. on July 3, 2008, the date and time specified in the 2008 NOFA. Applications for waivers from the electronic submission must be submitted as specified in the General Section. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Lead Technical Studies grants are awarded up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted

Records:

For State, local and Federally-recognized Indian Tribal governments, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Federally-recognized Indian Tribal Governments." For nonprofit and educational institutions, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

FY 07 \$3,499,997; FY 08 est \$2,200,000; and FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$2 million. The anticipated amounts and/or numbers of individual awards will be approximately 3 to 5 awards, ranging from approximately \$200,000 to no more than \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Lead Technical Studies grants have resulted in, for example, improved methods for detecting and controlling lead-based paint hazards, including methods for lead sampling and analysis, such as a protocol for measuring lead in soil using portable X-ray fluorescence analyzers, and an evaluation of various methods for addressing lead contaminated soils.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application Kit are self-contained; all necessary information is provided; no other regulations pertain. For Hazard Control Grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Dr. Robert F. Weisberg, Office of Healthy Homes and Lead Hazard Control, 451 7th Street, S.W., Room 8236, Washington, DC 20410. E-mail: Robert.F.Weisberg@hud.gov. Telephone: (202) 402-7687 (this is not a toll-free number). If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead/techstudies/index.cfm>.

RELATED PROGRAMS:

14.901, Healthy Homes Demonstration Grants; 14.906, Healthy Homes Technical Studies Grants.

EXAMPLES OF FUNDED PROJECTS:

Studies to developing new class of accurate and user friendly color-based spot test kits for lead in household paint and dust, to assess the impact of building demolition on release of lead contaminated dust, and to develop and validate a precise method for collecting surface dust on a variety of surface types.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.903 OPERATION LEAD ELIMINATION ACTION PROGRAM

(LEAP)

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL.
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 501 and 502 of the Housing and Urban Development Act of 1970; Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To leverage private sector resources to eliminate lead poisoning as a major public health threat to young children. Operation LEAP will provide seed money in the form of grants to applicants that demonstrate a concrete ability to leverage substantial private sector resources to be used for lead hazard control related activities. Resources generated through Operation LEAP will be used and/or distributed by non-profits or for-profits to assist National, State and local entities actively engaged in creating lead-safe housing for families with young children on both a preventive and remedial basis.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For Fiscal Year 2008, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

To be eligible to apply for funding under the Operation Lead Elimination Action Program (LEAP), the applicant must be a non-profit or for-profit entity or firm. For-profit institutions are not allowed to earn a fee. Colleges and Universities are also eligible to apply. National and local groups are encouraged to apply. States, cities, counties and units of local government and their departments are not eligible.

Beneficiary Eligibility:

Homeowners, rental property owners, and public housing residents.

Credentials/Documentation:

Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Operation Lead Elimination Action Program (LEAP) Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead/. For questions related to the application process, E-mail: Support@grants.gov, call [grants.gov](tel:800518GRANTS) at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

To be considered for funding, the application should be submitted electronically through www.grants.gov no later than 11:59:59 p.m. on July 10, 2008, the date and time specified in the 2008 NOFA. Applications for waivers from the electronic submission must be submitted as specified in the General Section. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The Operation LEAP grants are awarded for up to 36 months. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. HUD reserves the right to approve no cost time extensions.

Reports:

Quarterly financial management and performance reports are required.

Audits:

In accordance with OMB Circular No. A-133.

Records:

In accordance with OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

FY 07 \$17,500,000; FY 08 est \$17,500,000; and FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

For Operation Lead Elimination Action Program (LEAP) Approximately \$500,000 to maximum of \$2,000,000 per grant. Approximately 9 to 12 grants will be awarded to applicants for Operation LEAP.

PROGRAM ACCOMPLISHMENTS:

Grantees leveraged private sector resources and utilizing leveraged funds for lead-hazard control related work.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application Kit are self-contained; all necessary information is provided; no other regulations pertain. For Hazard Control Grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Jonnette G. Hawkins, Director, Programs Division, Office of Healthy Homes and Lead Hazard Control, 451 Seventh Street S.W. Room 8236, Washington, DC 20410-3000 or by telephone, fax, or E-mail: Jonnette_G._Hawkins@hud.gov (use underscores), Telephone: (202)402-7593 (this is not a toll-free number), Fax: (202) 755-1000. If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal

Information Relay Service at (1-800 877-8339).

Web Site Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

14.900, Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.904, Lead Outreach Grants; 14.905, Lead Hazard Reduction Demonstration Grant Program.

EXAMPLES OF FUNDED PROJECTS:

National Safety Council assists community-based organizations in building local capacity for lead hazard control and to implement lead hazard control projects in low income privately-owned rental or owner occupied housing in high risk neighborhoods. The ACCESS Agency will partner with the Salvation Army, a coalition of low-income homeowners and landlords of low-income housing, a regional municipal health department, a regional hospital, regional municipalities, area banks and local motels to provide temporary housing for families whose homes are undergoing lead hazard control action, mobilize private sector resources, provide meaningful educational activities for residents and landlords that focus on the dangers of lead poisoning in the home and strategies to minimize these dangers, and, provide financial and technical assistance to low-income landlords and homeowners to enable them to upgrade residential units and make them lead-safe for families and children. The Neighborhood Improvement Development Corporation will use health/housing partnerships with the Milwaukee Health Department, local banks, neighborhood associations, apartment owners, and the Milwaukee Foundation. The Energy Program Consortium, a joint venture of low-income home energy assistance program directors; state weatherization assistance program directors, and state energy officials, will raise funds for lead hazard control activities from national foundations, corporations and financial institutions, building upon the successful collaboration between local lead hazard control and weatherization programs.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.904 LEAD OUTREACH GRANTS

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 1011(e)(8) and (g)(1) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 and the Consolidated Appropriations Act, 2008 (Public Law 110-161, approved December 26, 2007). Section 1011(e)(8) and Section 1011(g)(1).

OBJECTIVES:

(1) Increase enrollment of low-income units in HUD or other lead hazard treatment programs. (2) Increase number of residents, housing owners, community leaders, maintenance and rehabilitation workers, and other related persons who are aware of and able to identify lead-based paint hazards. (3) Increase number of residents, housing owners, community leaders, maintenance and rehabilitation workers, and other related persons who understand proper lead hazard control methods. (4) Develop easy to use and sustainable systems for reporting and addressing lead hazards. (5) Develop effective partnerships between public and private entities, including grassroots, community-based and faith-based organizations, dedicated to reducing lead hazards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For Fiscal Year 2008, potential applicants should consult the general section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the United States, states and units of general local government, and federally recognized Native American tribes are eligible to apply. For-profit firms are eligible to apply, but not allowed to earn a fee (i.e., make a profit from the project).

Beneficiary Eligibility:

Homeowners, rental property owners, and public housing residents. Families with children under age six.

Credentials/Documentation:

Costs will be determined for non-profit and for-profit entities in accordance with OMB Circulars No. A-122 and specific requirements of 24 CFR Part 84. Costs will be determined for educational institutions in accordance with OMB Circular No. A-21 and specific requirements of 24 CFR Part 84. Costs will be determined for State, local and Federally-recognized Indian Tribal governments in accordance with OMB Circular No. A-87 and specific requirements of 24 CFR Part 85.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Lead Outreach Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead. For questions related to the application process, E-mail: Support@grants.gov, call grants.gov at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Please contact program office for application deadline.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Ten percent matching by the applicant is required.

Length and Time Phasing of Assistance:

The period of performance cannot exceed 24 months from the date of the award. A period of performance extension for delays due to exceptional conditions beyond the grantee's control will be considered for approval by HUD in accordance with 24 CFR 85.30(d)(2) or 24 CFR 84.25(e)(2), as applicable, and the Office of Healthy Homes and Lead Hazard Control (OHHLHC) Program Guide. Only one extension will be provided for a period not to exceed 12 months.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

All awardees must comply with the audit requirements in OMB Circular No. A-133.

Records:

For State, local and Federally-recognized Indian Tribal governments, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Federally-recognized Indian Tribal Governments." For nonprofit and educational institutions, as required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

FY 07 est \$1,187,519; FY 08 est \$2,000,000; and FY 09 \$2,000,000.

Range and Average of Financial Assistance:

Approximately \$ 1.5 million is available under this program. HUD estimates that approximately 5 to approximately 8 grants will be awarded, ranging between approximately \$100,000 and approximately \$300,000.

PROGRAM ACCOMPLISHMENTS:

Grants to state and local governments and nonprofit organizations have raised public awareness of lead poisoning prevention and referred units to lead treatment programs. This program has also increased local capacity of lead-trained workers.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is self-explanatory; all necessary information is provided; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Jonnette G. Hawkins, Director, Programs Division, Office of Lead Hazard Control, Department of Housing and Urban Development, 451 Seventh Street, S.W., Room 8236, Washington, DC 20410. Telephone: (202) 402-7593 (this is not a toll-free number). If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

14.900, Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.903, Operation Lead Elimination Action Program; 14.905, Lead Hazard Reduction Demonstration Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.905 LEAD HAZARD REDUCTION DEMONSTRATION GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 501 and 502 of the Housing and Urban Development Act of 1970;
Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

Lead Hazard Reduction Demonstration Grant assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) preventing childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) establishing a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (See 59 FR 33881, June 30, 1994).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For Fiscal Year 2008, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

To be eligible to apply for the Lead Hazard Reduction Demonstration Program, the applicant must be a city, county, or other unit of local government. States and Indian Tribes may apply on behalf of units of local government within their jurisdiction, if the local government designates the state or the Indian Tribe as their applicant. Multiple units of a local government (or multiple local governments) may apply as part of a consortium; however, you must identify a prime applicant that will be responsible for ensuring compliance with all requirements specified in this NOFA. State government and Native American tribal applicants must have an EPA approved State Program for certification of lead-based paint contractors, inspectors, and risk assessors in accordance with 40 CFR 745 in effect on the application deadline date to be eligible to apply for Lead Hazard Reduction Demonstration Grant funds.

Beneficiary Eligibility:

Occupants and owners of eligible target housing. Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Lead Hazard Reduction Demonstration Grants shall be for lead-based paint hazard control in this housing. Funds shall be available only for projects conducted using contractors and inspectors certified, and workers trained through an EPA authorized program. Selected beneficiaries must comply with all eligibility criteria and all applicable restrictions presented in the Appropriation language and with all requirements presented in the NOFA.

Credentials/Documentation:

For the Lead Hazard Control Grant Program, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Lead Hazard Reduction Demonstration Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead/. For questions related to the application process, E-mail: Support@grants.gov, call grants.gov at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

To be considered for funding, the application should be submitted electronically through Grants.gov no later than 11:59:59 p.m. on July 17, 2008, the date specified in the 2008 NOFA. Applications for waivers from the electronic submission must be submitted as specified in the General Section. No hand-delivered applications will be accepted. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

25 percent local match, which may be cash, including private sector funding, in-kind contributions, or both. For the Lead Hazard Reduction Demonstration Grant Program, HUD may grant a waiver of the 25 percent match requirement, if the applicant submits a request that meets HUD's criteria. Waiver eligible applicants should provide the 10% match. Except for Community Development Block Grant (CDBG) funds, Federal Revenue Sharing programs, or other programs which by statute allow their funds to be considered local funds and therefore eligible to be used as matching funds, Federal funds may not be used to satisfy the statutory 10 percent requirement, but may be used for contributions above that requirement. You must support each source of contributions by a letter of commitment from the contributing entity, describing the contributed resources and their designated purpose.

Length and Time Phasing of Assistance:

The Lead Hazard Reduction Demonstration Grant award for a period of performance is 36 months. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with OMB Circular A-133.

Records:

As required by OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

FY 07 \$54,700,000; FY 08 est \$44,000,000; and FY 09 \$48,000,000.

Range and Average of Financial Assistance:

Approximately \$44.7 million in fiscal year 2008 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4 million per grant. Approximately 10 to approximately 14 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

PROGRAM ACCOMPLISHMENTS:

Lead Hazard Reduction Demonstration Grant Program projects are designed to accomplish the following objectives: Perform testing and lead hazard control activities in targeted, low-income, owner occupied rental housing, and conduct general or targeted community awareness and education programs on lead hazard control and poisoning prevention within this designated area.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is available at Grants.gov; all necessary information is provided; no other regulations pertain. For Lead Hazard Reduction Demonstration grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Jonnette G. Hawkins, Director, Programs Division, Office of Lead Hazard Control, Department of Housing and Urban Development, 451 Seventh Street, S.W., Room 8236, Washington, DC 20410. Telephone: (202) 402-7593 (this is not a toll-free number). If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (1-800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead/>.

RELATED PROGRAMS:

14.900, Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.903, Operation Lead Elimination Action Program; 14.904, Lead Outreach Grants.

EXAMPLES OF FUNDED PROJECTS:

San Bernardino County, California, works with its partners to provide abatement services to low- and moderate-income families in approximately 300 housing units, especially the homes of children with high blood-lead levels where documented lead-based paint hazards have been identified. The District of Columbia's Department of Housing and Community Development partners with

DC's Department of Health, Department of Consumer and Regulatory Affairs, and Department of Employment Services, with supporting partnerships with the Office of Local Business Development, several low-income community-based organizations, and local environmental organizations, to conduct lead hazard control in high-risk communities.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

14.906 HEALTHY HOMES TECHNICAL STUDIES GRANTS

FEDERAL AGENCY:

OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Sections 501 and 502 of the Housing and Urban Development Act of 1970;
Revised Continuing Appropriations Resolution, 2007, Public Law 110-5.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling housing-related health and safety hazards. The purpose of the Healthy Homes Technical Studies program is to improve our knowledge of housing-related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

For Fiscal Year 2008, potential applicants should consult the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov. The application is an electronic application. You must be registered at www.grants.gov to submit your application.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), State and local governments, and Federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowners, rental property owners, and public housing residents.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The competitive Notification of Funding Availability (NOFA) for fiscal year 2008 Healthy Homes Technical Studies Grants provides information about the application procedure. All grants must be submitted electronically, via www.grants.gov, unless a waiver from electronic submission is received. Copies of the NOFA and required forms can be obtained at Grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, www.hud.gov/offices/lead. For questions related to the application process, E-mail Support@grants.gov, call grants.gov at (800) 518-GRANTS or via TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

To be considered for funding, the application should be submitted electronically through www.grants.gov no later than 11:59:59 p.m. on July 3, 2008, the date and time specified in the 2008 NOFA. Applications for waivers from the electronic submission must be submitted as specified in the General Section. Announcements regarding availability of funding were published in the Federal Register on May 12, 2008.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Healthy Homes Technical Studies grants are awarded up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a

period not to exceed 12 months. Payment is on a cost-reimbursable basis.

Reports:

Quarterly financial management and performance reports are required.

Audits:

In accordance with OMB Circular No. A-133.

Records:

As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Grants) FY 07 \$2,000,000; FY 08 est \$2,100,000; and FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$2 million. The anticipated amounts and/or numbers of individual awards will be approximately 3 to approximately 5 grants or cooperative agreements, ranging from approximately \$200,000 to a maximum of \$1 million.

PROGRAM ACCOMPLISHMENTS:

Healthy Homes Technical Studies grants have resulted in, for example, low cost interventions to reduce moisture intrusion and associated mold problems in urban homes and documenting an improvement in the symptoms of asthmatic children following such interventions; developing improved methods for controlling cockroaches and cleaning cockroach allergen, the development of a scoring system for injury hazards in homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application Kit are self-contained; all necessary information is provided; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Dr. Peter J. Ashley, Office of Healthy Homes and Lead Hazard Control, 451 Seventh Street, S.W., Room 8236, Washington, DC 20410. E-mail: Peter.J.Ashley@hud.gov. Telephone: (202) 402-7595 (this is not a toll-free number). If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339.

Web Site Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

14.901, Healthy Homes Demonstration Grants; 14.902, Lead Technical Studies Grants.

EXAMPLES OF FUNDED PROJECTS:

Studies to design and field test methods of insulating walls that could be installed to permanently eliminate moisture and mold conditions in walls cost-effectively; laboratory testing of different vacuum protocols to collect dust samples for allergen analyses; and improving the assessment of mold exposure in an ongoing cohort study of factors associated with asthma development in young children.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2008 HUD will use the technical and financial selection criteria listed in the NOFA.

DEPARTMENT OF THE INTERIOR

15.020 AID TO TRIBAL GOVERNMENTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Indian tribal governments to support general tribal government operations, to maintain up-to-date tribal enrollment, to conduct tribal elections, and to develop appropriate tribal policies, legislation, and regulations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes in self-government, community planning, and maintenance of membership records.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with a Bureau of Indian Affairs agency representative is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 est \$36,270,000; FY 08 est \$33,887,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$10,000 to \$700,000; \$80,000.

PROGRAM ACCOMPLISHMENTS:

Activities supported by this program to foster strong and stable Tribal governments include Indian tribes developing and implementing plans for the distribution of judgment funds, making revisions to their constitutions, and issuing certificates of degree of Indian blood to individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS 320 SIB, Washington, DC 20240. Telephone: (202) 513-7640. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/tribegovserv/tribegov.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.021, Consolidated Tribal Government Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.021 CONSOLIDATED TRIBAL GOVERNMENT PROGRAM

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To promote Indian self determination and improve the quality of life in Tribal communities by providing greater flexibility in planning programs and meeting the needs of communities. This program allows Tribes to combine various programs and/or grants into one agreement. The simplified contracting procedures enhance program accountability by reducing paperwork and reporting requirements, and reduce Tribal administrative costs to allow for increased services under these contracts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds from various Bureau programs, such as, Scholarships, Johnson O'Malley, Adult Education, and Job Placement and Training can be combined into a single consolidated contract.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representative is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Applications may be submitted at any time.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$63,185,000; FY 08 est \$69,240,000, and FY 09 est not available.

Range and Average of Financial Assistance:

\$1,300 to \$2,400,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Activities supported by this program to foster strong and stable Tribal governments include consolidation of adult education programs, of natural resource programs and of social services programs for more effective and efficient operation of programs providing the potential for an increased level of services.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS-320 SIB, Washington, DC 20240. Telephone: (202) 513-7640. Use the same number for FTS.

Web Site Address:

a) not available b) <http://www.doi.gov/bia/tribegovserv/tribegov.htm>.
c) <http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.022 TRIBAL SELF-GOVERNANCE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title IV, Public Law 93-638, as amended, 25 U.S.C. 458 aa et seq.

OBJECTIVES:

To further the goals of Indian Self-Determination by providing funds to Indian tribes to administer a wide range of programs with broad administrative and programmatic flexibility.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used by Federally Recognized Tribal Governments to support a wide range of programs such as law enforcement, tribal courts, scholarships, social services, road maintenance, forestry, agriculture, real estate services, wildlife and parks, and water resources. Funds may be used only for programs previously administered by the Bureau of Indian Affairs or for programs specifically authorized by Federal statute and may not be used for the operation of elementary and secondary schools or for community colleges. The Indian tribe must ensure adequate protection of trust resources.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal consortia authorized by the Federally Recognized Indian Tribal Governments to be served.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their Members.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe or in the case of a consortia, Indian tribes to be served. First-time applicants must have successfully completed a planning phase and must have demonstrated for the previous three years, financial stability and financial management capability.

Preapplication Coordination:

An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application and selection process is governed by regulations contained in 25 CFR Part 1000. Applicants first apply for inclusion in an applicant pool. Once an Indian tribe/consortium has established eligibility for admission to the applicant pool, it can be selected to become a Self-Governance Tribe. Application information is available from the office(s) listed below under "Information Contacts."

Award Procedure:

The annual dollar value of the funding agreement is subject to negotiation between the applicant, the local Bureau agency office, the servicing Regional office, and the Office of Self-Governance. Final funding agreements are signed by the authorized tribal representative and the Director, Office of Self-Governance.

Deadlines:

An application for inclusion in the applicant pool may be filed at any time. However, an Indian tribe/consortium must submit its application by March 1 of the year in which the initial funding agreement and compact are to be negotiated. The application must be complete before the tribe can be placed in the applicant pool and selected to operate as a Self-Governance Tribe.

Range of Approval/Disapproval Time:

Funding agreements are to be negotiated and submitted to Congress and neighboring Indian tribes at least 90 days before the proposed effective date of the agreement. Negotiation and approval of a new funding agreement may take up to four months.

Appeals:

Applicable appeal procedures are contained in 25 CFR Part 1000.

Renewals:

Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Indian tribe/consortium. The amount of the annual funding agreement may be negotiated each year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds are provided on an annual basis and remain available until expended by the tribe/consortium. When possible, payments are made in a lump sum following enactment of the Department's appropriations.

Reports:

The timing and nature of program accomplishment data will be negotiated with the Indian tribe/consortium. Annual, external reviews of the management of trust assets will be conducted.

Audits:

For awards made under this program, Indian tribes/consortia are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been

resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

FY 07 \$388,643,000; FY 08 est. \$389,500,000; and FY 09 est. \$391,000,000.

Range and Average of Financial Assistance:

\$9,800 to \$34,134,000; \$4,091,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 94 Indian tribes/consortia are participating in the Tribal Self-Governance Program. The 94 annual funding agreements with Indian tribes and tribal consortia will provide an estimated 234 Federally recognized Indian Tribes with the opportunity to exercise discretion in establishing funding priorities, and allow them wide latitude in the design of tribal programs, services, functions, and activities in response to local circumstances.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 1000; OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Regional or Local Office:

Office of Self Governance, Department of the Interior, Northwest Field Office, 500 West 12th Street, Suite 102, Vancouver, WA 98660. Telephone: (360) 699-1011. Use the same number for FTS or the nearest Bureau of Indian Affairs Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Self-Governance, Office of the Deputy Assistant Secretary - Policy and Economic Development, Department of the Interior, 1951 Constitution Avenue, N.W., MS 355-G, Washington, DC 20240. Telephone: (202) 219-0240.

Web Site Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Among the activities supported by one consortium was the organization of a tourism alliance, provision of 300 college scholarships, repair of 16 homes, and the initiation of job readiness training for welfare recipients. A tribe in the northwest managed over 3800 acres of forest land, helped 27 tribal members move from welfare to paid employment, and supported 20 members in adult education/training programs. Another tribal consortium provided life skills assistance (tax preparation, driver's education, resume writing, etc.) to over 500 members, provided assistance to village groups in preparing economic development proposals which resulted in increased funding being provided by other Federal agencies, and worked with the Reindeer Herders Association to improve the health and economic value of the reindeer herds.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the eligibility criteria of 25 CFR Part 1000 will be selected, up to a maximum of 50 new participants annually. An applicant must be a Federally Recognized Tribe or tribal consortium, have an authorizing tribal resolution(s) from the tribal governing body (ies), have successfully completed a planning phase and have demonstrated for the previous three years, financial stability and financial management capability. Applicants are selected in the order in which complete applications are received. For more information contact the offices listed under Information Contacts.

15.024 INDIAN SELF-DETERMINATION CONTRACT SUPPORT

(CONTRACT SUPPORT)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments and to tribal organizations to fund some or all of the indirect costs incurred in administering Federal programs for which direct appropriations are made to the Bureau of Indian Affairs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used in accordance with indirect cost rate proposals which have been approved by the cognizant Federal agency or for lump sum administrative cost rates negotiated with the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Federal approval of indirect cost rate.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

Awards for new or expanded contracts will be awarded based upon the start date of the underlying program award, and the application date, on a first-come-first-served basis. Additional information concerning fiscal year 2002 awards will be published in 2002 as a Notice in the Federal Register.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of a changed indirect cost rate or as a result of pro-rata reductions due to insufficient appropriations to cover all eligible awards.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments for renewals will generally be made in two increments: 70 percent soon after the start date of the award, and the balance during the fourth quarter of the fiscal year.

Reports:

Financial status reports, SF 269A, are required.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of the Awards) FY 07 \$143,628,000; FY 08 est \$149,628,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$10,000 to \$8,000,000; \$190,000.

PROGRAM ACCOMPLISHMENTS:

Annually, approximately 500 Indian Federally Recognized Indian tribal Governments and tribal organizations receive support to fund the overhead costs associated with the administration of programs funded by the Bureau of Indian Affairs.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, DC 20240. Telephone: (202) 513-7640. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/self-determ/idc.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.046, Administrative Cost Grants for Indian Schools.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All renewal awards will be funded; the amount of the awards is subject to the availability of appropriations; first-time awards will be funded in the order of receipt of the application up to an annual limit of \$5,000,000.

15.025 SERVICES TO INDIAN CHILDREN, ELDERLY AND FAMILIES

(Social Services)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Snyder Act of 1921, 25 U.S.C. 13.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to administer welfare assistance programs for both American Indian adults and children; to support caseworkers and counselors; and to support tribal programs to reduce the incidence of substance abuse and alcohol abuse in Indian country.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for staff support and related costs of processing welfare applications, determining suitable placement of American Indian children in need of foster care, staffing and operational costs of emergency shelters, and similar services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, adult American Indians in need of financial assistance or social services counseling, American Indian children who require foster care services, and American Indian youth requiring temporary, emergency shelter.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representatives is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been

resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total amount of awards) FY 07 \$21,032,000; FY 08 est \$21,690,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$10,000 to \$4,800,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

On an annual basis Indian tribes provide a range of social services in order to strengthen American Indian families and American Indian communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" and 25 CFR Part 20.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Division of Human Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, DC 20240. Telephone: (202) 513-7642. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/childw-2.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.113, Indian Social Services Welfare Assistance; 15.144, Indian Child Welfare Act Title II Grants.

EXAMPLES OF FUNDED PROJECTS:

These funds support staff who process applications for assistance, handle referrals and provide counseling and intensive case management; or who are responsible for collecting, compiling, and reporting monthly statistics regarding child abuse and neglect, child welfare placements, and general assistance caseloads.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.026 INDIAN ADULT EDUCATION

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To improve the educational opportunities for Indian adults who lack the level of literacy skills necessary for effective citizenship and productive employment and to encourage the establishment of adult education programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

All funds must be for direct services. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

The applicant should consult the Agency/Area Program Administrator for Education. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency or area office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of

Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program, grantees are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133, Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

FY 07 \$2,464,000; FY 08 est \$2,434,000; and FY 09 est \$2,429,000.

Range and Average of Financial Assistance:

\$100 to \$629,400; \$25,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 140 tribes receive funding to provide educational opportunities for adults.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 46; 25 CFR, Part 900.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MB, 1849 C Street, N.W., Washington, DC 20240. Contact: Keith Neves; Telephone: (202) 208-3601.

Web Site Address:

<http://www.oiep.bia.edu/contact.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.114, Indian Education Higher Education Grant Program; 15.027, Assistance to Tribally Controlled Community Colleges and Universities.

EXAMPLES OF FUNDED PROJECTS:

Courses include Adult Basic Education (ABE), preparation for the General Educational Development Test (GED); life-coping skills such as budgeting, driver training and filing of Federal and State taxes; and continuing education courses such as typing and computer literacy.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected.

15.027 ASSISTANCE TO TRIBALLY CONTROLLED COMMUNITY COLLEGES AND UNIVERSITIES

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Tribally Controlled Community College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants for the operation and improvement of tribally controlled community colleges to insure continued and expanded educational opportunities for Indian students, and to allow for the improvement and expansion of the physical resources of such institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds must not be used in connection with religious worship or sectarian instruction. Funds may be used for the general operating costs of the Tribally Controlled Community College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College.

Applicant Eligibility:

Colleges sponsored by Federally Recognized Indian Tribes or tribal organizations which are governed by a board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school.

Preapplication Coordination:

An applicant should consult the Branch Chief of Post-Secondary Education. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The organization should submit written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college to the Director, Office of Indian Education.

Award Procedure:

After receiving approval of the application by the governing body or governing bodies, the Director will designate a study team to determine whether there is justification to encourage maintaining a college.

Deadlines:

Colleges must submit the tribe's approval of the application by March 1 of the fiscal year preceding the year in which they wish to be eligible for funding. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1 of the preceding year in which they wish to be eligible for funding.

Range of Approval/Disapproval Time:

The study team will be designated within 30 days. Within 60 days the team must complete its eligibility study. The tribe will be notified of approval within 60 days of completion of the study.

Appeals:

A negative determination may be appealed to the Assistant Secretary - Indian Affairs. Within 30 days of receiving a notice of appeal the Assistant Secretary must conduct a hearing at which the applicant may present evidence and offer arguments in support of the appeal. Within 30 days after the hearing the Assistant Secretary must issue a written ruling on the appeal. Specifics relative to the appeals process are found in 25 CFR 41.7.

Renewals:

Colleges must file an annual application to receive continued funding

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee.

Reports:

OMB 1076-0105, Annual Report.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants)FY 07 \$54,612,000; FY 08 est. \$56,713,000; and FY 09 est. \$56,712,000.

Range and Average of Financial Assistance:

\$213,000 to \$11,118,000; \$2,080,000. The amount of the award is determined by the number of eligible Indian students enrolled in the college. Indian Tribes may choose to supplement the funding provided by the grant program by identifying additional amounts in the Tribal Priority Allocations portion of the Bureau of Indian Affairs budget.

PROGRAM ACCOMPLISHMENTS:

Annually, over 20,000 Indian students receive an education above the secondary level, and approximately 1,000 Indian students receive an associate, bachelors or masters degree or a vocational certificate.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 41; 25 CFR, Part 276.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Keith Neves, Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, NW., Washington, DC 20240. Telephone: (202)208-3601. (Use the same 7-digit number for FTS.).

Web Site Address:

<http://www.oiep.bia.edu.>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.114, Indian Education_Higher Education Grant Program; 15.026, Indian Adult Education.

EXAMPLES OF FUNDED PROJECTS:

Twenty-five Tribally Controlled Community Colleges received financial assistance.

CRITERIA FOR SELECTING PROPOSALS:

Applicants which the study team determines as eligible will be funded.

15.028 TRIBALLY CONTROLLED COMMUNITY COLLEGE ENDOWMENTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Tribally Controlled College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants to establish endowments for the Tribally Controlled Community Colleges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Approved status as a Tribally Controlled Community College.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Colleges must complete an Endowment Fund agreement in accordance with 25 U.S.C. 1832(b).

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the Branch Chief of Post- Secondary Education.

Deadlines:

Established by the Branch of Post-Secondary Education.

Range of Approval/Disapproval Time:

None.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals. Full appeal procedures are found in 25 CFR Part 2.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

The Colleges must match an endowment grant with a capital contribution equal

to half of the amount of the Federal contribution. Personal or real property received as a donation or gift may be applied toward the matching requirement.

Length and Time Phasing of Assistance:

Awards are made on an annual basis.

Reports:

OMB 1076-0105, Annual Report.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 \$109,000; FY 08 est. \$107,000; and FY 09 est. \$109,000.

Range and Average of Financial Assistance:

\$10,179 to \$64,190; \$46,849.

PROGRAM ACCOMPLISHMENTS:

Twenty-five Tribally Controlled Community Colleges received endowments grants in FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 41.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Keith Neves. Telephone: (202) 208-3601. Use this same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu.>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.027, Assistance to Tribally Controlled Community Colleges and Universities.

EXAMPLES OF FUNDED PROJECTS:

Using interest earnings from the endowment, a distance learning program utilizing satellites was established in one college and social science laboratories were updated.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.029 TRIBAL COURTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to operate a judicial branch of government.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, probation officers, juvenile officers, and other court personnel.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising law enforcement jurisdiction on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representatives is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be

submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under the program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$8,168,840; FY 08 est \$11,604,200; and FY 09 est not available.

Range and Average of Financial Assistance:

\$15,000 to \$800,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

More than 250 tribal justice systems and Courts of Indian Offenses (serving 40 Indian tribes) are supported by this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS 320-SIB, Washington, DC 20240. Contact: Ralph Gonzales; Telephone: (202) 513-7641. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ots/otshome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.030 INDIAN LAW ENFORCEMENT

(Law Enforcement)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Law Enforcement Reform Act, Public Law 101-379, 25 U.S.C. 2801.

OBJECTIVES:

To provide funds to Indian Tribal Governments to operate police departments and detention facilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of criminal investigators, uniformed officers, detention officers, radio dispatchers, and administrative support.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising Federal criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes on their reservations and operating a Law Enforcement Services program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs field representative or district commander is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs field or district office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that had been previously prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' budget formulation process and upon identified program needs.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 est \$116,560,200; FY 2008 est \$132,159,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$20,000 to \$20,000,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

On an annual basis, approximately 210 law enforcement services programs and approximately 80 detention facilities are supported by this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" 25 CFR Parts 10, 11 and 12; Indian Affairs Manual, Chapter 40, "Law Enforcement and Detention;" and Detention and Law Enforcement Handbooks.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' law enforcement field or district office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Law Enforcement Services, Bureau of Indian Affairs, 1849 C Street NW, MS 2429 MIB, Washington, DC, 20240. Telephone: (202) 208-5787. Use the same number for FTS.

Web Site Address:

<http://www.bialaw.fedworld.gov/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who exercise criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes and who currently operate a Law Enforcement Services program will be selected. Additional funding provided under the Initiative will be distributed on the basis of need.

15.031 INDIAN COMMUNITY FIRE PROTECTION

(Community Fire Protection)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to perform fire protection services for Indian Tribal Governments that do not receive fire protection support from State or local government.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used to support staff, train volunteer fire-fighters, repair existing fire-fighting equipment, and purchase additional equipment. Funds may also be used to purchase smoke detectors, fire extinguishers, fire escapes, and emergency lights for public building.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments performing fire protection services on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of an initial contract proposal has already been provided, a reference to that resolution.

Preapplication Coordination:

An informal conference with the Bureau of Indian Affairs agency representatives is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures

are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-1-1-999.

Obligations:

(Total Amount of Awards) FY 07 \$903,760; FY 08 est \$948,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$200 to \$138,000; \$10,000.

PROGRAM ACCOMPLISHMENTS:

On an annual basis, funding is provided to over 40 tribal fire protection programs on reservations.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications should be filed with the local Bureau of Indian Affairs' agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Tribal Service, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, DC 20240. Telephone: (202) 513-7640. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ots/otshome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.032 INDIAN ECONOMIC DEVELOPMENT

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To assist Federally Recognized Indian Tribal Governments by providing the resources necessary to develop a self-sustaining economic base. The program provides opportunities for business development, the coordination and integration of programs through out the Federal government, and the partnering of Federally Recognized Indian Tribal Governments with local government and the public and private business sector.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are used to administer tribal revolving loan programs and guaranty loan programs in order to develop resources to improve access to capital in tribal economies. Final approval of loan guarantees is restricted to the Bureau of Indian Affairs. Funds can also be used by Federally Recognized Indian tribal Governments to develop essential tribal ordinances and commercial business codes which provide the necessary separation and exercise of sovereign immunity between business activities and tribal regulatory activities to promote a pro-business environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog. In some instances, the application will be forwarded to the Regional Director for approval.

Award Procedure:

In most cases, the application to administer the program can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

A Federally Recognized Tribal Government or Native American Organization whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Renewals may be granted indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Some awards under this program may be one-time grants for a specific purpose and may not be subject to renewal.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the contractor/grantee.

Reports:

Federally Recognized Indian Tribal Governments and Native American Organizations administering the program must submit financial status reports, SF 269A. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

Grantees and subgrantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$1,940,530; FY 08 est \$1,477,230; and FY 09 est not available.

Range and Average of Financial Assistance:

\$5,000 to \$300,000; \$215,000.

PROGRAM ACCOMPLISHMENTS:

Several Federally Recognized Indian Tribal Governments administer programs that monitor the status of existing loans made to its members and collect on loans made by re-lending programs and credit associations. The programs that may be administered include: guaranteed loans from the Loan Guaranty and Insurance Fund, Direct Loans, and grants from the Indian Business Development Program and Community and Economic Development Program. Some of the programs listed may not be open for new applications. The programs also

provide assistance to American Indian owned businesses and individual American Indians in obtaining financing from private sources.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Assistant Secretary - Policy and Economic Development, Office of the Assistant Secretary - Indian Affairs, 1849 C Street, N.W., MS-4071 MIB, Washington, DC 20240. Mike Lugar; Telephone: (202) 219-0005.

Web Site Address:

<http://www.doi.gov/bia/ecodev/index.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

11.300, Investments for Public Works and Economic Development Facilities; 15.124, Indian Loans Economic Development.

EXAMPLES OF FUNDED PROJECTS:

A Commercial Center Expansion in New Mexico creating/retaining 25 jobs. A combined dental office and social services center in the Washington which created 20 new jobs.

CRITERIA FOR SELECTING PROPOSALS:

Proposals to administer an economic development program are evaluated on the capability of the prospective applicant to successfully conduct the program and on budget and its effective use. All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.033 ROAD MAINTENANCE-INDIAN ROADS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Highway Act of 1921, as amended, Public Law 70-520, 45 Stat. 750, 25 U.S.C. 318(a); Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide limited routine maintenance on paved, gravel, earth, and unimproved roads; bridges; and airstrips.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

These funds are used to repair and maintain public roads serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation, or maintenance of the 745 bridges on Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations which are not maintained by other governmental entities. Access to these funds is restricted to tribes with qualifying road miles or other facilities required to be maintained by this program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Tribal governments which have jurisdiction over roads or other facilities that qualify for this program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and individual American Indians.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Tribes must submit a needs request to have roads or facilities added to the system being maintained under this program. An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$7,442,550; FY 08 est \$7,014,870; and FY 09 est not available.

Range and Average of Financial Assistance:

\$300 to \$500,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 50,000 miles of roads, 745 bridges, a ferry, and numerous airstrips are operated and/or maintained under this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 170.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities Tribal Services, Division of Transportation, Bureau of Indian Affairs, 1849 C Street NW, MS-4058 MIB, Washington, DC 20240. Contact: LeRoy Gishi. Telephone: (202) 208-4359.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects are funded in the areas of Routine Maintenance and Administration which maintains public roads serving Indian reservations; Emergency Maintenance which provides for snow removal, ice control, washout repair and landslide removal; Bridge Maintenance which inspects and maintains 745 bridges on Indian reservations; Ferry Boat Operation and Maintenance which operates and maintains a ferry across Lake Roosevelt serving the Colville Indian Reservation; and Airstrip Maintenance which provides maintenance services for public airstrips located on Indian reservations.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.034 AGRICULTURE ON INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; American Indian Agriculture Resource Management Act, Public Law 103-177, 107 Stat. 2011, 25 U.S.C. 3701.

OBJECTIVES:

To protect and restore the agricultural (cropland and rangeland) resources on trust lands and facilitate the development of renewable agricultural resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Advisory Services and Counseling; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Funds are used to support inventory, analysis, management, and improvement of Indian agricultural lands; to administer authorized use of Indian agricultural lands; and to support efforts to control noxious weeds and other invasive species on Indian lands.

Applicant Eligibility:

Native American individuals, tribal governments and native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Beneficiary Eligibility:

Native American landowners, Indian tribes and their members, native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Credentials Documentation:

Must have a landownership, tribal government, or authorized-user interest in the Indian agricultural land or resource that is the basis of assistance request.

Preapplication Coordination:

For Public Law 93-638 requests, coordination recommended before a submission of request for noxious weed control funding. Pre-application coordination not needed for individual advisory services and counseling or provision of specialized service requests. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For Public Law 93-638 requests, awards of noxious weed control funding are made by the Central Office of the BIA. Individual advisory services and counseling, and provision of specialized services are handled at the Agency, Region, or Central Office level as appropriate.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Agriculture awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process (TPA).

Deadlines:

None.

Range of Approval/Disapproval Time:

For Public Law 93-638 requests applications will be processed within 90 days.

Appeals:

For Public Law 93-638 requests an unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

For Public Law 93-638 requests agriculture awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Noxious Weed Eradication assistance is awarded for projects on a year-by-year basis and is not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Noxious Weed Eradication requires a minimum 50 percent cost-share agreement for the control projects.

Length and Time Phasing of Assistance:

For Public Law 93-638 requests noxious weed control funding is awarded annually and must be obligated by the second year.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under Public Law 93-638 awards, grantees and subgrantees are responsible for obtaining audits. All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133. Non-federal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Total Amount of Awards) Agriculture: FY 07 \$3,337,950; FY 08 est \$3,504,600; and FY 09 est not available. Noxious Weed Eradication: FY 07

\$147,450; FY 08 est \$154,650; and FY 09 est not available.

Range and Average of Financial Assistance:

Agriculture: \$200 to \$575,000; \$50,000. Noxious Weed Eradication: \$500 to \$300,000; \$6,200.

PROGRAM ACCOMPLISHMENTS:

Annually, program funds, through the TPA process, assist nearly 30,000 farmers, ranchers, and landowners in the management and development of over 45 million acres of Indian land used for farming and grazing. Fifty tribes/tribal organizations receive competitive Noxious Weed Eradication awards, treating approximately 80,000 acres of trust lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Parts 161, 162, 166, 167, 168, and 900.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW, MS 4513 MIB, Washington, DC 20240. Contact: David Edington. Telephone: (202)513-0866.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.033, Road Maintenance_Indian Roads; 15.035, Forestry on Indian Lands; 15.040, Real Estate Programs_Indian Lands; 15.049, Irrigation Operations and Maintenance on Indian Lands.

EXAMPLES OF FUNDED PROJECTS:

Inventories to identify vegetative cover, condition, and level of annual utilization; preparation of programmatic and unit-specific planning documents; rangeland pest control and livestock trespass investigation; leasing and permitting support and administration; chemical, mechanical and biological control of noxious weeds; and integrated pest management.

CRITERIA FOR SELECTING PROPOSALS:

For contracts under the Indian Self-Determination and Education Assistance Act: All applicants for assistance meeting the criteria in 25 CFR part 900 will be funded. Noxious Weed Eradication: Project proposals are ranked according to land use and indicators of cooperator support, pest management integration, degree of establishment of targeted weed, and support of noxious weed control.

15.035 FORESTRY ON INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Forest Resources Management Act of 1990, 36 Stat. 857, 25 U.S.C. 406 and 407; Act of June 25, 1910, Public Law 61-313; 62 Stat. 787 and 788, 18 U.S.C. 1853, 1855, and 1856; Rumsey Indian Rancheria Act, Sections 304-320, 104 Stat. 4531.

OBJECTIVES:

To maintain, protect, enhance, and develop Indian forest resources through the execution of forest management activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Funds are used to support activities of reforestation and commercial forest stand improvement; timber sales management; forest inventories and plans; forest program management and administration; and forest protection activities including Wild land Fire Management. Forest Inventory, Management Planning and Forest Development funds are awarded competitively.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance (Public Law 93-638) must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office

listed in Appendix IV of the Catalog. Requests for technical services can be made through the local BIA agency office.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Forestry awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Forestry. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Forestry Management Inventories, Planning and Forest Development funds are awarded for projects on a year-by-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required for contract awards. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.), OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Total Amount of Awards) FY 07 \$15,649,135; FY 08 est \$14,500,000; and FY 09 est \$14,000,000.

Range and Average of Financial Assistance:

\$10,000 to \$1,000,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the program supported reforestation activities on about 11,000 acres and pre-commercial thinning on 37,000 acres. Completed hazardous fuel reduction treatments on 200,000 acres of Indian land. Timber sales managed through the program created about 9,000 person years of employment and generated revenues of approximately \$68 million. To date, 123 management plans have been developed to direct forestry activities on over 15 million acres of Indian forest lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; 25 CFR 163 -- General Forestry Regulations.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Division of Forestry, Bureau of Indian Affairs, 1849 C Street N.W., MS-4513 MIB, Washington, DC 20240. Contact: Bill Downes. Telephone: (202) 208-4837.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm#Forests>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funds are used for planting trees; greenhouse operations; weeding and fertilization; development or revision of reservation specific long-term sustained yield forest management plans; and development of environmental compliance documents, sales documents, and timber sale contracts.

CRITERIA FOR SELECTING PROPOSALS:

Forestry: All applicants meeting the criteria in 25 CFR Part 900 will be funded. Forestry Management and Development: Criteria include need, benefit/cost analysis, capability of the applicant to successfully conduct the project, and budget and its effective use. Awards are based on the commercial timber base, the annual forest development inventory, and on periodic priority needs as determined by Regional Offices.

15.036 INDIAN RIGHTS PROTECTION

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101 et seq.; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To protect Indian rights guaranteed through treaty or statute by obtaining the services or information needed to litigate challenges to these rights.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Awards fund projects to collect information needed by the Federal government to litigate challenges to Indian rights which have been guaranteed through treaty or statute.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this Program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$393,110; FY 08 est \$415,910; and FY 09 est not available.

Range and Average of Financial Assistance:

\$1,000 to \$100,000; \$25,000.

PROGRAM ACCOMPLISHMENTS:

Awards under this program have helped Tribes to successfully establish and defend rights guaranteed through treaty or statute.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Bureau of Indian Affairs, 1849 C Street NW., MS-4510 MIB, Washington, DC 20240; Telephone: (202) 208-7737. Contact: Arch Wells.

Web Site Address:

<http://www.doi.gov/bia/Rightsprot.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects are funded to support research and collection of data on rights issues in the areas of water, land title disputes, hunting and fishing rights, and environmental problems.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.037 WATER RESOURCES ON INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208.

OBJECTIVES:

To support Indian tribes in the effective and efficient management, planning, and use of their water resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Funds are used by tribes to conduct water management and planning project and activities for the purpose of managing and conserving their water resources and to participate in the on-going water rights negotiation and litigation activities to protect and secure their lawful water rights. All awards are project/proposal specific and are the result of a nationwide competitive process.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Bureau's application and prioritization procedure for water programs funding has been published as a Notice in the Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005 ("Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests"). Completed applications should be submitted by the established deadline to the local BIA

agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a six-person review team. Awards are usually made shortly after the review team has completed its reviews of all proposals received in Central Office by the due date of September 15th. Any awards are subject to the availability of the congressional appropriations for the new fiscal year.

Deadlines:

As published in the Federal Register Notice, all applications must be submitted through the Regional Director of the BIA region with jurisdiction to the Deputy Bureau Director, Office of Trust Services, Bureau of Indian Affairs, by September 15th for funding consideration the following fiscal year.

Range of Approval/Disapproval Time:

No set times.

Appeals:

No appeal process. The Water Programs funds are annual, non-recurring congressional appropriations and any awards made on the basis of the published prioritization process and the availability of funds.

Renewals:

Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Applications are evaluated and scored based on weighted criteria that have a maximum scoring range of 100 points (congressional and court mandates automatically score 100). No matching requirement, however, applications with available matching funds may score higher.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee.

Reports:

Financial status reports, SF 269A, are required. Water program funds awarded to tribes through Public Law 93-638, as amended, are subject to the requirements of the Act and 25 CFR Part 900.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Total Amount of Awards) FY 07 \$4,094,495; FY 08 est \$3,846,150; and FY 09 est \$3,850,000.

Range and Average of Financial Assistance:

\$10,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Annually, approximately 25 to 40 percent of the requests for Water Management, Planning, and Pre-development financial assistance are at least partially funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests, Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Division of Water and Land Resources, Bureau of Indian Affairs, 1849 C Street N.W., MS 4650 MIB, Washington, DC 20240. Contact: Division Chief, Telephone: (202)208-3956.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects include hydrologic studies of groundwater supply, groundwater

modeling, quantitative and qualitative monitoring and analysis of ground and surface water, aquifer investigations, stream gaging; comprehensive water management plans, etc., and also participation in tribal water rights negotiations/litigation.

CRITERIA FOR SELECTING PROPOSALS:

Water Management, Planning, and Pre-development proposals are evaluated according to the type of proposal (as published in the Federal Register Notice, Vol. 70, No. 201, October 19, 2005): Water Management - Indian Water and Associated Resources; Water Management - Coordination/Cooperation/Consultation/Conservation; Water Management - Other; Water Planning and Pre-development - Indian Water; and Water Planning and Pre-development - Other: In addition, all proposals are evaluated on the basis of: Cost Effectiveness, Compliance with Requesting Guidelines; and Efficiency and Accomplishment. Projects are funded based on rankings of the projects Bureau wide, and in accordance with the national program priorities established for the program. Some preference is given to proposals that offer matching funds. Proposals are evaluated on the capability of the prospective applicant to successfully conduct the project, and on the budget and its effective use.

15.038 MINERALS AND MINING ON INDIAN LANDS

FEDERAL AGENCY:

ASSISTANT SECRETARY - INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Minerals Development Act, Public Law 97-382, 98 Stat. 1938, 25 U.S.C. 2101 et seq.; Umatilla Basin Project Act, Public Law 101-557, 16 U.S.C. 1271 et seq.

OBJECTIVES:

The objectives of the Energy and Mineral Development Program are to: (1) provide funds to Tribes to perform technical evaluations of the energy (both renewable and conventional) and mineral resource potential of Indian reservations; (2) provide Tribes with geological, geophysical and engineering reports, maps, and other data concerning their energy and mineral resources; (3) provide Tribes technical assistance on using and interpreting assessment information so that Tribes can understand and plan for the potential development of these resources; and (4) provide Tribes with an outreach vehicle to promote their lands and resources to potential partners if they so desire. Those projects that fell into economic development categories will be considered for funding through the Office of Indian Energy and Economic Development.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Minerals and Mining: Funding may be used to facilitate the inventory, assessment, promotion and marketing of both renewable and nonrenewable energy and mineral resources on Indian lands. Energy and Mineral Assessments: Funds are awarded competitively to support assessment and inventory programs and/or develop baseline data, but cannot be used for development purposes.

Applicant Eligibility:

Federally Recognized Indian Tribes and Individual American Indian mineral owners.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members, Native American Organizations, and/or individual American Indian mineral property owners.

Credentials/Documentation:

Minerals and Mining: Initial application for assistance must be accompanied by a resolution of the governing body of the Indian tribe. Mineral Assessments: Proposals must include: (1) a current tribal resolution authorizing the proposed project; (2) a proposal describing the proposed activities and the planned deliverable products; (3) a detailed budget estimate; and (4) a review by the BIA Agency Superintendent verifying that the work to be performed occurs on trust or restricted fee lands (the Superintendent will notify DEMD in writing if that is not case).

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications for Minerals and Mining should be submitted to the Division of Energy and Mineral Development office in Lakewood, Colorado and to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Mineral and Mining/Mineral Assessments. Proposals are paneled by the Office

of Indian Energy and Economic Development. Funds are awarded according to the order of ranking of the proposals, although some elements of the budget may not be fully funded due to the Division's own ability to perform some tasks at lower costs.

Deadlines:

Energy and Mineral Assessments: Proposals are usually submitted within 90 days of the original solicitation, usually occurring in December, although this may vary due to the appropriations process.

Range of Approval/Disapproval Time:

Mineral Assessments: Within 120 days after the solicitation period.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Minerals and Mining/Mining Assessment: A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

Consideration in the final ranking score is given to proposal's that include contribution by the Tribe. The contribution can be either monetary or staff time of Tribal employees devoted to the project.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments is negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Technical reports describing project outcomes are defined as deliverables in an Energy and Mineral Development award and the data generated must be presented in a compatible computer format as specified by the Division of Energy and Mineral Development.

Audits:

For awards made under this program, grantees and subgrantees are responsible for obtaining audits. All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.), OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved. For Mineral Assessment awards, one paper copy of all data (field data, processed data, analyses, assays, etc.) must be submitted at completion of contract.

Account Identification:

14-2100-0-1-302.

Obligations:

(Grants) FY 07 \$1,224,840; FY 08 est \$1,000,000; and FY 09 est \$1,000,000.

Range and Average of Financial Assistance:

Minerals and Mining: Currently not contracted by any of the tribal governments. Mineral Assessments: \$25,000 to \$150,000; \$75,000.

PROGRAM ACCOMPLISHMENTS:

Minerals and Mining facilitates over \$400 million in annual minerals income to tribes and allottees. Between 25 and 50 Tribes request Energy and Mineral Development projects annually; generally 10 to 20 projects are funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900 and Subchapter I - Energy and Minerals.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Secretary - Indian Affairs, Division of Energy and Mineral Development, 12136 W. Bayaud Ave., Suite 300, Lakewood, CO 80228.
Contact: Steve Manydeeds. Telephone: (303) 969-5270, Ext. 225.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm#Energy>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.222, Cooperative Inspection Agreements with States and Tribes; 15.252, Abandoned Mine Land Reclamation (AMLR) Program.

EXAMPLES OF FUNDED PROJECTS:

Projects support the development of energy (both renewable and conventional)

and mineral resources on Indian lands through assessment studies, economic analysis and market studies, developing strategies for leasing feasibility studies and mining plans, establishing terms for exploration agreements, environmental reviews, and training. Mineral Assessments include seismic exploration, inventories of existing geophysical and geological data, development of an oil and gas resource database and mapping system, and evaluation and assessment of mineral resources.

CRITERIA FOR SELECTING PROPOSALS:

Energy and Mineral Assessments: Proposals are evaluated according to technical merits of the mandatory elements of the proposal. Additionally, selection criteria for ranking contain six criteria: (1) Resource Potential; (2) Commodity Marketability; (3) Economic Benefit; (4) Willingness to Develop; (5) Tribal Commitment; and (6) Additional funding or participation from other entities.

15.040 REAL ESTATE PROGRAMS-INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 60 Stat. 1097, 25 U.S.C. 176; Indian Land Consolidation Act; Act of March 3, 1901; Act of March 3, 1921; Reorganization Plan No. 3, 1946; Public Law 56-382, 31 Stat. 1084, 25 U.S.C. 311; Public Law 66-359, 41 Stat. 1232, 25 U.S.C. 393; Public Law 97-459, 96 Stat. 2515, 25 U.S.C. 2201 et seq.; Public Law 98-608, 98 Stat. 3171; Public Law 102-238.

OBJECTIVES:

To provide real property management, counseling, and land use planning services to individual Indian allottees and Indian tribal and Alaska Native entities who own an interest in almost 56 million acres of trust land; to provide real estate appraisal services required in processing land transactions, and to protect and enhance the Indian leasehold estate by providing individual Indian landowners and Indian tribes with lease compliance activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Real Estate Services: decision-making processes are developed through cooperative efforts with the Indian landowners for the proper utilization, development, and enhancement of Indian trust lands. Real Estate Appraisals are one element in trust land transactions and are restricted to American Indians who have an ownership interest in property held in trust by the Federal government. Lease Compliance: program resources are used to ensure that leases on trust and restricted Indian lands and their assignees comply with the terms of the leases.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations authorized by tribes, and individual American Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. For real estate appraisals, documented ownership interest in property held in trust is required.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications for real estate services and lease compliance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog. Requests for real estate appraisals should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog along with documentation of ownership interest in the property.

Award Procedure:

For Real Estate Services and Real Estate Appraisals, the dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process. For Lease Compliance, funding is distributed to the Area offices on the basis of number of existing leases and the number of inspections planned for the year. For Lease Compliance awards, in some cases, the award can be approved at the agency level. In most instances, the application will be forwarded to the Area Director for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal

procedures are found in 25 CFR, Part 900.

Renewals:

Awards for Real Estate Services and Lease Compliance may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Awards for Real Estate Appraisals are a single-action item completed for a specific property and are not subject to renewal.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this Program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$3,606,000; FY 08 est \$3,987,550; and FY 09 est not available.

Range and Average of Financial Assistance:

For Real Estate Services, tribal award amounts vary from approximately \$1,000 to \$500,000. For Real Estate Appraisals, the cost of most appraisals is less than \$500 but can range up to approximately \$2,500 or more for large and/or complex properties; the average is \$1000. Lease Compliance awards range from \$250 to \$30,000 with most awards less than \$5,000.

PROGRAM ACCOMPLISHMENTS:

Real Estate Services: To date, more than 12,000 surface leases were approved, and approximately 7,800 acquisitions and 4,000 land sales were processed. Real Estate Appraisals: More than 20,000 appraisals were conducted for trust property transactions. Lease Compliance: approximately 25,000 physical inspections were completed on more than 100,000 leases.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 162; 30 CFR; 43 CFR; Uniform Standard of Professional Appraisal Practices as promulgated by the Appraisal Standards Board of the Appraisal Foundation.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Division of Real Estate Services, Bureau of Indian Affairs, 1849 C Street NW, MS 4510 MIB, Washington, DC 20240. Contact: Arch Wells. Telephone: (202) 208-7737.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm#Land>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Real Estate Services funds land sales, leasing, preparation of probate documents and estate planning. Real Estate Appraisals are conducted for commercial, residential, and industrial properties, including leased agricultural trust lands. Lease Compliance funds reviews of lease documents, investigations of lease violations and trespass issues, and physical inspections of leased property including assessments of improvements.

CRITERIA FOR SELECTING PROPOSALS:

Funding levels for Real Estate Services and Real Estate Appraisals are determined by the individual Tribe through participation in the BIA's budget formulation process. All applicants meeting the criteria in 25 CFR Part 900 will be funded. Appraisals are conducted for all transactions involving trust property. The time required to complete an appraisal depends on the number of requests being processed by a specific office and the resources available to meet the requests. Lease Compliance funds are distributed to the area offices based on three factors: (1) total number of leases, (2) total number of acres, and (3) total amount of income derived from leases. Awards for lease compliance are determined by the number of qualifying leases reported to the Agency and Area

Offices. Minimum funding of \$50,000 is allocated to each area office. In allocating program resources, priority is given to activities involving agriculture, oil and gas, mining, industrial, business, recreational, and other income-producing leases.

15.041 ENVIRONMENTAL MANAGEMENT-INDIAN PROGRAMS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; 42 U.S.C. 4321, et seq.; National Environmental Policy Act; Solid Waste Disposal Act, 42 U.S.C. 6901-6992k; Resource Conservation and Recovery Act, Public Law 94-580; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, 42 U.S.C. 9601- 9675; 16 U.S.C. 470; National Historic Preservation Act.

OBJECTIVES:

To determine environmental impacts of Federal projects on Indian lands; to conduct surveys of Bureau of Indian Affairs controlled Federal lands and facilities, and of Indian lands, in order to identify hazardous waste sites, evaluate the potential threat to health and the environment, and develop the necessary remedial actions; to train area, agency and tribal staff in waste management principles; and to respond to emergencies and alleviate adverse health or environmental impacts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Use of these funds are two-fold. One, they facilitate the Bureau's fulfilling statutory responsibilities: they are used to prepare Environmental Assessments (EA's); advise agency superintendents on environmental quality, waste disposal and cultural resource management; assist area offices in gathering information for EA's and Environmental Impact Statements (EIS's); review proposed actions to determine compliance with the National Environmental Policy Act (NEPA); and assist area offices in obtaining information for compliance with the National Historic Preservation Act and the Archeological Resources Protection Act. Two, they are used for individual tribal projects that enhance or protect the local environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by the Tribes.

Beneficiary Eligibility:

Federally Recognized Indian tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

The award is approved by the Director, Office of Facilities, Environmental and Cultural Resources, Indian Affairs.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For continuing, funded projects, awards may be renewed upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the

grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.), OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$4,500,000; FY 08 est \$4,000,000; and FY 09 est \$4,000,000.

Range and Average of Financial Assistance:

\$5,000 to \$250,000; \$25,000.

PROGRAM ACCOMPLISHMENTS:

Each year more than 3,000 compliance issues on Indian lands are addressed under the National Environmental Policy Act and the National Historic Preservation Act. Over the past several years, cleanup and compliance activities have been initiated on over 100 sites, including underground storage tanks, solid waste disposal facilities, and oil wells. An average of 50 emergencies that have the potential to adversely impact trust resources and health and safety are responded to annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR 800 and 40 CFR 1500-1508.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Facilities, Environmental and Cultural Resources, Division of Environmental and Cultural Resources Management, Bureau of Indian Affairs, 2051 Mercator Drive, Reston, VA 20191. Contact: Kevin Tennyson. Telephone: (703)390-6437.

Web Site Address:

<http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects include on-site inspections, site testing and environmental data collection, closure of municipal waste dumps, asbestos abatement, removal of leaking underground storage tanks, and report preparation.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must provide the Bureau of Indian Affairs or the tribes with assistance on a current compliance issue; work must be consistent with conservation of trust resources; and applicant must have the experience and qualifications necessary to complete the technical aspects of the work.

15.042 INDIAN SCHOOL EQUALIZATION PROGRAM

(ISEP)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funding for primary and secondary education.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for the education of Indian children in BIA funded schools. Funds may not be used for construction.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a

Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school.

Preapplication Coordination:

An applicant should consult the Agency/Area Education Program Administrator who will provide technical assistance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Agency/Area Education Program Administrator will ensure the application is complete and forward the application to the Director, Office of Indian Education Programs, with a recommendation for approval/disapproval.

Deadlines:

Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the Agency/Area Education Program Administrator.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Approximately ninety-nine percent of the ISEP funds will be allocated in accordance with the ISEP formula contained in 25 CFR 39. One percent of the funds shall be reserved for the Director's discretion.

Length and Time Phasing of Assistance:

Funds may be paid in advance, are awarded annually on an indefinite basis based on performance, and remain with the Self Determination contractor or grantee until spent.

Reports:

Annual Financial Report, Narrative Report, and a program evaluation by an impartial third party such as an accrediting agency.

Audits:

For awards made under this program, grantees are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.) and OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Total Available for Awards) FY 07 \$211,824,000; FY 08 est \$215,800,000; and FY 09 est \$219,494,000.

Range and Average of Financial Assistance:

The estimated range is \$119,500 to \$7,091,000. The average is \$1,700,000 per school.

PROGRAM ACCOMPLISHMENTS:

Approximately 30,000 students are served by 122 tribally operated schools.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Joe Herrin. Telephone: (202) 208-7658. Use the same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.043, Indian Child and Family Education; 15.044, Indian Schools, Student Transportation; 15.045, Assistance for Indian Children with Severe Disabilities.

EXAMPLES OF FUNDED PROJECTS:

ISEP funds are used to provide basic education and residential programs to Indian students not served by public or sectarian schools.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.043 INDIAN CHILD AND FAMILY EDUCATION

(FACE)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.

OBJECTIVES:

To begin educating children at an early age through parental involvement, to increase high school graduation rates among Indian parents, and to encourage life-long learning.

TYPES OF ASSISTANCE:

Project Grants; Training.

USES AND USE RESTRICTIONS:

Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded elementary schools may apply to administer the program.

Beneficiary Eligibility:

Parents and their Indian children under 5 years of age who live on a reservation with a Bureau-funded school.

Credentials/Documentation:

The initial application must be accompanied by a resolution of the tribal governing body of the Tribe of the children served by or to be served by the organization. No documentation is required of the parents or children.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Tribe should contact the Area/Agency Administrator for Education Programs.

Award Procedure:

Once an application is received, the Coordinator of the FACE program appoints a panel of readers from such organizations as the Indian Health Service, the Department of Education and others who are familiar with early childhood development. The panel reads, rates and ranks the applications. The coordinator then recommends to the Director, Office of Indian Education Programs, those applications for approval.

Deadlines:

Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Office of Indian Education Programs. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every 3 years based upon satisfactory performance. A notice of intent to renew should be submitted yearly and a full application must be submitted every 3 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor/grantee.

Reports:

Monthly progress reports, annual participation data, screening, Work Sampling, and other program data requested is required.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 \$4,565,000; FY 08 est. \$5,683,000; and FY 09 est. \$4,641,000.

Range and Average of Financial Assistance:

Each site receives \$220,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Annually, over 1,800 children and 1,800 adults from 1,700 families receive services.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the Area/Agency Education Program Administrators.

Regional or Local Office:

See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, Office of the Deputy Director, Early Childhood, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, NM 87104. Contact: Debbie Lente-Jojola. Telephone: (505) 563-5258. Use the same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu/sirc.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The sites provide such services as parenting skills, adult education and early childhood education in an effort to increase student achievement.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator. Final determination made by the Director, OIEP, based on the Coordinator's recommendation.

15.044 INDIAN SCHOOLS-STUDENT TRANSPORTATION

(Student Transportation)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funds to each school for the round trip transportation of students between home and the school site.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are to be used only for the transportation of students who are eligible under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a Bureau funded school or dormitory.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least

90 percent of the students served by such school.

Preapplication Coordination:

An applicant should consult the Agency/Area Education Program Administrator who will provide technical assistance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Agency/Area Education Program Administrator will ensure the application is complete and forward the application to the Director, Office of Indian Education Programs, with a recommendation for approval/disapproval.

Deadlines:

Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the Agency/Area Education Program Administrator.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Funds are allocated in accordance with 25 CFR 39 Subpart G. The Director, Office of Indian Education Programs, will review transportation allotment factors each year and make changes in factors based on changes in transportation costs.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments are made in advance or by way of reimbursement.

Reports:

Annual Financial Report, Narrative Report, and a program evaluation by an impartial third party such as an accrediting agency.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133, the OMB Circular Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Total amount of awards) FY 07 \$29,305,000; FY 08 est \$32,734,000; and FY 09 est \$32,096,000.

Range and Average of Financial Assistance:

\$2,000 to \$1,133,000; \$204,000.

PROGRAM ACCOMPLISHMENTS:

Annually, more than 20,000 students are provided daily bus transportation to and from tribally-operated schools.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Joe Herrin, Telephone (202) 208-7658.

Web Site Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042, Indian School Equalization Program; 15.043, Indian Child and Family Education; 15.045, Assistance for Indian Children with Severe Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Funds are provided to each school to provide daily transportation of students to and from school, and for boarding students, transportation at the beginning and end of the year as well as one mid-year round trip home. Additional funding is provided where miles driven is on unimproved roads.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.045 ASSISTANCE FOR INDIAN CHILDREN WITH SEVERE DISABILITIES

(Institutionalized Handicapped)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Individuals with Disabilities Education Act (IDEA), Public Law 101-476, 20 U.S.C. 1400.

OBJECTIVES:

To provide for the special education and related services of Indian children with severe disabilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for physical and occupational therapy, speech therapy, direct academic services and administration including staff development. Funds may only be used for students who are enrolled in a Bureau-funded school.

Applicant Eligibility:

Members or direct descendants of members of Federally recognized tribes and enrolled in a BIA-funded school.

Beneficiary Eligibility:

Indian children enrolled in a Bureau-funded school who are between the ages of five and twenty-one who have been determined by a multi-disciplinary team comprised of parents, teachers, school administrators and other concerned parties to be in need of specialized services available only in residential settings. Children whose fifth birthday occurs on or before December 31 or whose twenty-second birthday occurs during the course of the regular school year shall be regarded as eligible children for the entire school year.

Credentials/Documentation:

An Individual Education Program must be developed by a multi-disciplinary team.

Preapplication Coordination:

A parent, classroom teacher, Tribal educator or other concerned party must identify a student as needing services and inform the head of the school attended by the student. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Individual Education Program is submitted to either the Institutionalized Program Coordinator at the Cheyenne River Education Line Office, the Ft. Defiance Education Line Office or the Office of Indian Education Programs Office's Center for School Improvement in Albuquerque, NM.

Award Procedure:

The multi-disciplinary team in conjunction with the concerned Institutionalized Coordinator determines in what institution the child will receive services.

Deadlines:

None.

Range of Approval/Disapproval Time:

10 to 45 days.

Appeals:

The appeals process is outlined in the Individuals with Disabilities Education Act, Public Law 101-476, 20 U.S.C. 1400.

Renewals:

Individual Education Programs must be updated annually on the anniversary date of the Individual Education Program for as long as the student requires services.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Payments are made directly to the institution in which the eligible child is placed.

Reports:

None from the applicant or beneficiary.

Audits:

None.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

(Total Amount of Awards) FY 07 \$3,713,000; FY 08 est. \$3,715,000; and FY 09 est. \$3,737,000.

Range and Average of Financial Assistance:

The average education cost for students in institutions is between \$140 and \$300 per day.

PROGRAM ACCOMPLISHMENTS:

Approximately 170 students had disabilities so profound as to require institutionalized services on a 24 hour basis which were paid for by the Bureau of Indian Affairs.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 38; 25 CFR 39; 25 CFR 42; 25 CFR 43; 25 CFR 45; 25 CFR 900; 34 CFR 300; 34 CFR 300 (Appendix C); 34 CFR 303.

Regional or Local Office:

See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, 500 Gold Avenue S.W., 7th Floor, PO Box 1088, Albuquerque, NM 87103-1088. Contact: Chief, Center for School Improvement. Telephone: (505) 248-6942. Use the same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu/sped.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042, Indian School Equalization Program; 15.043, Indian Child and Family Education.

EXAMPLES OF FUNDED PROJECTS:

Funding may include but not be limited to occupational and physical therapy, counseling, audiology, and psychological services.

CRITERIA FOR SELECTING PROPOSALS:

All Individual Education Programs are funded.

15.046 ADMINISTRATIVE COST GRANTS FOR INDIAN SCHOOLS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide grants to tribes and tribal organizations operating schools for the purpose of paying administrative and indirect costs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for program services related administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Indian Tribal Governments or tribal organizations operating an elementary or secondary school under a grant or Self-determination contract with the Bureau of Indian Affairs.

Credentials/Documentation:

Approved status as a grant or contract school.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No separate application is required. The additional allocation will be calculated by the Bureau of Indian Affairs and added to the underlying award.

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the Education Line Officer.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are renewed automatically based on satisfactory performance by the

grantee.

Formula and Matching Requirements:

Funds are allocated in accordance with 25 U.S.C. 2008(a).

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee.

Reports:

Annual Financial Report, Narrative Report, and a program evaluation by an impartial third party such as an accrediting agency.

Audits:

For awards made under this program, grantees are responsible for obtaining audits. All nonfederal entities that expend \$500,000, or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 \$44,060,000; FY 08 est. \$43,373,000; and FY 09 est. \$43,373,000.

Range and Average of Financial Assistance:

\$49,000 to \$1,785,000; \$363,000.

PROGRAM ACCOMPLISHMENTS:

One hundred and twenty-two tribally operated schools received grants under this program. These funds were used to provide related administrative overhead support without reducing direct program services.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Joe Herrin. Telephone: (202) 208-7658. Use the same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu/administration.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042, Indian School Equalization Program.

EXAMPLES OF FUNDED PROJECTS:

Examples of some administrative costs include fiscal, personnel, property and procurement management and costs of necessary insurance, auditing, legal, safety and security services.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.047 INDIAN EDUCATION FACILITIES, OPERATIONS, AND MAINTENANCE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, 25 U.S.C. 2501.

OBJECTIVES:

To provide funds to BIA funded elementary or secondary schools or peripheral dormitories for facilities operations and maintenance.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or tribal organizations currently served by a BIA funded elementary or secondary school or peripheral dormitory.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of BIA funded elementary or secondary schools or peripheral dormitories.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required.

Preapplication Coordination:

An applicant should consult the Agency/Regional Education Program Administrator who will provide technical assistance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications for status as a contract school must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Initial applications for status as a grant school should be developed in accordance with 25 U.S.C. 2501 et seq.

Award Procedure:

Applications should be submitted to the Agency/Regional Education Program Administrator (EPA) who will ensure that the application is complete. The application is forwarded by the EPA to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval. The dollar value of the awards is based upon school age and condition, size, utilities costs, and other pertinent factors.

Deadlines:

First-time applications should be submitted by March 1.

Range of Approval/Disapproval Time:

Applications will be processed within 90 to 180 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 Operations \$32,600,000 Maintenance \$27,400,000; FY 08 est Operations \$33,000,000 Maintenance \$26,800,000; and FY 09 est Operations \$33,000,000 Maintenance \$26,800,000.

Range and Average of Financial Assistance:

\$9,600 to \$1,326,000; \$360,000.

PROGRAM ACCOMPLISHMENTS:

Almost 100 elementary and secondary schools on or near Indian reservations are operated by Indian tribes or Tribal School Boards. These funds help ensure that the facilities used to educate these students are safe, clean, and well maintained.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency/regional education program administrator as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Joe Herrin. Telephone: (202) 208-7658. FTS is not available.

Web Site Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042, Indian School Equalization Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 or the requirements of the Tribally Controlled Schools Act will be selected if they are currently served by a BIA-funded school.

15.048 BUREAU OF INDIAN AFFAIRS FACILITIES-OPERATIONS AND MAINTENANCE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide funds for basic operating services to Bureau-owned or Bureau-operated non-education facilities and to maintain these facilities in a safe operating condition for the conduct of Bureau programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for essential services for non-education physical plant and utility systems throughout the Bureau. The Operation and Maintenance may be used for personnel services, supplies, materials, equipment and support for planning, reporting and managing the operations and resources of the organization as well as heating, cooling, electricity, water, sewage, refuse disposal, basic telecommunications equipment, unscheduled and preventive maintenance, GSA vehicle rental, lease agreements, and custodial and protection services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments who have Bureau-owned or Bureau-operated facilities on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of Bureau-owned or Bureau-operated facilities.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with the Bureau of Indian Affairs agency representative is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C "Contract Proposal Contents." Completed applications should be submitted to the local Bureau agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that would otherwise be spent for the program through direct services provided by the Bureau. Operation and Maintenance is based upon age and condition, size, utilities costs, and other pertinent factors of the facility.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 \$1,384,040; FY 08 est \$1,440,670; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Approximately 1,263 Bureau owned or operated buildings, excluding quarters, containing approximately 3,500,000 square feet receive funds. These funds help ensure that the facilities used are safe, clean, and well-maintained.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 201 Third Street, NW, Suite 500, P.O. Box 1248, Albuquerque, NM 87103. Telephone: (505) 346-6522. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ofmc/om.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who have Bureau-owned or Bureau-operated facilities on their reservation.

15.051 ENDANGERED SPECIES ON INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Endangered Species Act, Public Law 93-205.

OBJECTIVES:

To comply with the Endangered Species Act, the Northern Spotted Owl Recovery plan, and to implement the Endangered Species Recovery on Indian lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Dissemination of Technical Information; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The program monies are species specific. Appropriated funds allow those tribes in areas of endangered species to conduct activities that are necessary to comply with the Endangered Species Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments whose reservations are in areas inhabited by these specific endangered species.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal application conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Financial assistance is in the form of nonrecurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees and subgrantees are responsible for obtaining audits. All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

FY 07 \$153,000; FY 08 est \$872,900; and FY 09 est not available.

Range and Average of Financial Assistance:

\$20,000 to \$140,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

About a dozen tribes are involved in endangered species driven activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900; 50 CFR Part 17 Endangered and Threatened Wildlife and Plants.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Services, Division of Natural Resources, Branch of Fish and Wildlife Recreation, Bureau of Indian Affairs, MS-4656 MIB. 1849 C Street N.W., Washington, DC 20240. Telephone: (202)208-4088. Contact: Ira New Breast.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.034, Agriculture on Indian Lands; 15.035, Forestry on Indian Lands.

EXAMPLES OF FUNDED PROJECTS:

Program activities include the development of water impoundments, cross fencing and vegetative management for the holistic management of a prairie resource; owl surveys; and radiotelemetry and habitat studies.

CRITERIA FOR SELECTING PROPOSALS:

Criteria such as the geographic extent of potential critical habitat and the amount of harvested acres (forest, range, agricultural, etc.) are considered, as well as the methodologies proposed and the capability of the applicant to successfully conduct the project.

15.052 LITIGATION SUPPORT FOR INDIAN RIGHTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Public Law 103-399; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To establish or defend Indian property or treaty rights through judicial, administrative, or settlement actions.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

This program supports requests from tribes for expert witnesses, research, data collection, technical support, and other evidence-gathering activities required for the United States to defend the government's position in litigation cases involving Indian rights issues such as hunting, fishing and gathering rights issues; trespass; title issues, such as property line disputes; rights-of-way disputes; allotment claims; mineral entry; pollution and other activities which have harmed or could harm the health and safety of the reservation population. Funds may be used for the payment of Equal Access to Justice Act (EAJA) settlements or other payments ordered by the Courts, the Department of Justice and the Department of the Interior Office of the Solicitor.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by these Tribes.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Applications must be submitted each year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee for the purposes specified in the application. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this Program, grantees/contractors are responsible for obtaining audits. All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

FY 07 \$2,324,000; FY 08 est \$1,386,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$20,000 to \$320,000; \$75,000.

PROGRAM ACCOMPLISHMENTS:

Approximately 30 funding requests are received on an annual basis; between 20 and 25 are funded each year.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS-4620 MIB, Washington, DC 20240. Telephone: (202) 208-5831. Robin Forrest.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.053, Attorney Fees-Indian Rights.

EXAMPLES OF FUNDED PROJECTS:

Types of projects funded include studies supporting litigation and negotiation, record searches, and information research activities.

CRITERIA FOR SELECTING PROPOSALS:

Selection of proposals is dependent on the type of assistance being requested and the availability of funds. Cases for which assistance is requested must have progressed beyond Tribal courts to local, county, State, or Federal courts.

15.053 ATTORNEY FEES-INDIAN RIGHTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To assist Federally Recognized Tribes in protecting their treaty rights and other rights established through Executive Order or court action.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

These funds are used to provide assistance to tribes to obtain legal representation in situations where the United States cannot represent them as authorized in 25 U.S.C. 175. The funds are distributed in accordance with regulations governing the expenditure of appropriated funds for the fees of private attorneys representing tribes, and the eligibility requirements are found in 25 U.S.C. 89. Bureau policy determines the attorney fee hourly rates.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR 89. Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

New application required each year.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until

expended for the legal services specified in the contractor/grantee application. Payments are made as billings are received. The timing of payments will be negotiated with the grantee.

Reports:

None.

Audits:

For awards made to Tribes under this Program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133. For payments made directly by the Bureau of Indian Affairs to attorneys on behalf of a Tribe, no audit is required.

Records:

Financial records must be retained by Tribes for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved. Records maintained by the attorneys are subject to provisions of the contract with the Tribe.

Account Identification:

14-2100-0-1-452.

Obligations:

FY 07 \$1,826,000; FY 08 est \$1,764,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$10,000 to \$100,000; \$60,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 89.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS 4620 MIB, Washington, DC 20240. Contact: Vicki Forrest. Telephone: (202) 208-5831.

Web Site Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.052, Litigation Support for Indian Rights.

EXAMPLES OF FUNDED PROJECTS:

Cases funded from this program may involve environmental damage claims; water rights negotiation/litigation; boundary disputes; treaty hunting, fishing, and gathering rights; and off-reservation rights.

CRITERIA FOR SELECTING PROPOSALS:

Cases must first have been turned down by the Department of Justice and the Department of the Interior Office of the Solicitor, and then can be submitted for review to the Attorney Review Committee for a recommendation to the Assistant Secretary for approval.

15.055 ALASKAN INDIAN ALLOTMENTS AND SUBSISTENCE PREFERENCE-ALASKA NATIONAL INTEREST LANDS CONSERVATION ACT

(ANILCA)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS. DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To fulfill the directives prescribed in the Alaska National Interest Lands Conservation Act (ANILCA) which affect Alaska Natives and their allotments. The Bureau of Indian Affairs is responsible for assisting Alaska Native applicants acquire title to lands they occupy. Under the subsistence portion of the program, activities seek to establish that Alaska Native groups have traditionally and customarily used a particular resource for subsistence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

This program provides for the coordination and consultation with land managing agencies and the State of Alaska on subsistence preference for Alaska Natives and administration of programs affecting native allotments under the 1906 Native Allotment Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments in Alaska, Native American Organizations authorized by the Tribes, and individual Alaska Natives.

Beneficiary Eligibility:

Federally Recognized Alaskan Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the Juneau Area Office of the Bureau of Indian Affairs listed in Appendix IV of the Catalog.

Award Procedure:

The application will be forwarded to the Juneau Area Director for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Annual applications required.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this Program, grantees/contractors are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133. Non-Federal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

FY 07 \$136,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$12,000 to \$221,000 for the Native allotment awards; \$15,000 to \$40,000 for subsistence preference awards.

PROGRAM ACCOMPLISHMENTS:

For Native allotments, more than 9,000 parcels have been finalized and approximately 2,800 more have been approved and are awaiting final action. Separate awards are made for the subsistence preference portion of the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 2561; 25 CFR 271.31 to 271.34.

Regional or Local Office:

Applications may be filed with the Juneau Area Office of the Bureau of Indian Affairs as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS-4620 MIB, Washington, DC 20240. Contact: Vicki Forrest. Telephone: (202)

Web Site Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.040, Real Estate Programs Indian Lands; 15.036, Indian Rights Protection.

EXAMPLES OF FUNDED PROJECTS:

For the Native allotment program, tribal realty offices assist Alaska Native applicants in acquiring title to lands they occupy. For the subsistence preference program, projects are awarded to study past subsistence uses and conduct population studies on subsistence resources.

CRITERIA FOR SELECTING PROPOSALS:

If a tribe has allotment cases pending and operates a realty office, they will be allotted a portion of the funds available based on the number of pending cases. Proposals for funding of subsistence preference projects are evaluated on the basis of the degree to which the work addresses subsistence issues and the potential for affecting the largest number of Alaska Natives.

15.057 NAVAJO-HOPI INDIAN SETTLEMENT PROGRAM**FEDERAL AGENCY:**

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Public Law 93-531, 25 U.S.C. 640 et seq.; Navajo-Hopi Settlement Act; Public Law 102-180, 105 Stat. 1230; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To implement those provisions of the Navajo-Hopi Settlement Act of 1974, as amended, which are assigned to the Department of the Interior; and to institute conservation practices and methods to restore the grazing potential of rangelands lying within the former Navajo/Hopi Joint Use Area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Use is restricted to approved projects within the Navajo/Hopi Joint Use Area. Funds will be used for restoration of rangeland within the former Navajo/Hopi Joint Use Area (JUA). This includes livestock monitoring on the JUA, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity, and removal of trespass livestock on the Hopi Partitioned Lands; initiation of grazing control on the Navajo Partitioned Lands; and natural resources restoration on the affected lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and Native American Organizations authorized by either Tribe.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and individual members of both Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Annual application required.

Formula and Matching Requirements:

By agreement, 40 percent of the funds are used on Hopi Partitioned Lands and 60 percent are used on Navajo Partitioned Lands.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this Program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$229,600; FY 08 est \$239,200; and FY 09 est not available.

Range and Average of Financial Assistance:

\$5,000 to \$150,000; \$75,000.

PROGRAM ACCOMPLISHMENTS:

Ongoing activities to accomplish the goal of rangeland restoration within the former Joint Use Area include livestock monitoring, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity. Program activities on the Hopi Partitioned Lands include range, woodlands and wildlife restoration of 910,021 acres and maintenance on 630 miles of fencing and 123 stock water facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 161, 166, and 168.

Regional or Local Office:

Applications may be filed with the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Responsibilities, Bureau of Indian Affairs, 1849 C Street N.W., MS-3061 MIB, Washington, DC 20240. Contact: David Edington. Telephone: (202)513-0886.

Web Site Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects are funded in the areas of windmill maintenance, boundary fence maintenance, erosion control measures, and re-seeding.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of the need and urgency of the proposed work.

15.058 INDIAN POST SECONDARY SCHOOLS

Haskell Indian Nations University (Haskell) and Southwestern Indian Polytechnic Institute (SIPI)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921 Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide postsecondary educational opportunities for American Indian Students.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs with minimal charge for tuition, room and board. Married student housing is not available on campus.

Applicant Eligibility:

A member of a Federally Recognized Indian tribe.

Beneficiary Eligibility:

American Indians.

Credentials/Documentation:

Application must be accompanied by a verification of Tribal affiliation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Interested applicants may obtain a Standard Form 5-6621 from a local Area/Agency education program office or Tribal Government education office, or applicants may contact the schools directly.

Award Procedure:

Applications will be reviewed by the colleges' admissions committees.

Deadlines:

Haskell: Fall Semester-June 30; Spring Semester-November 15; Summer School-(if funds are available)-April 15. SIPI: Fall Trimester-September 1; Spring Trimester-December 29; Summer Trimester-May 5.

Range of Approval/Disapproval Time:

Students will be notified within 4 weeks of having submitted a completed application packet.

Appeals:

None.

Renewals:

Haskell: Fulltime students are considered making satisfactory academic progress if they are not on academic probation and have not completed the minimum hours to graduate with an associate degree in six semesters or with a baccalaureate degree in 12 semesters. SIPI: Awards may be renewed until the student completes the chosen program of study so long as satisfactory progress is maintained and the student adheres to the student code of conduct.

Formula and Matching Requirements:

Haskell Indian Nations University: \$210 per semester for housing, student activities, and textbooks; SIPI: Lodging full-time: \$280.00, Commuter full-time: \$225.00, Commuter part-time: \$150.00. New fees are effective Fall/2005 trimester. All Fees are non-refundable.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

None.

Audits:

None.

Records:

Applicants are to submit official transcript from high school or other institution attended or certificate of GED.

Account Identification:

14-2100-0-1-501.

Obligations:

Fiscal Years 2006-2008 Not separately identifiable.

Range and Average of Financial Assistance:

Not identifiable.

PROGRAM ACCOMPLISHMENTS:

Haskell Indian Nations University enrolls approximately 900 students, approximately 174 students graduate each year. SIPI has an average enrollment of 600 students per trimester of whom about 100 graduate each year. SIPI also offers technical certificate programs in addition to associate degrees.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40; Haskell Indian Nations University Catalog; Southwestern Indian Polytechnic Institute Catalog.

Regional or Local Office:

Applications may be obtained at the local Bureau of Indian Affairs agency/area education program administrator as listed in Appendix IV.

Headquarters Office:

Haskell Indian Nations University, 155 Indian Avenue, Lawrence, KS 66046. Telephone: (785) 749-8454; Southwestern Indian Polytechnic Institute, 9169 Coors Road, NW, Albuquerque, NM, 81774. Telephone: (505)346-2348.

Web Site Address:

<http://www.oiep.bia.edu/>; WWW.Haskell.edu; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.027, Assistance to Tribally Controlled Community Colleges and Universities; 15.114, Indian Education_Higher Education Grant Program; 15.060, Indian Vocational Training_United Tribes Technical College.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

To the extent that space is available, all applicants meeting the eligibility criteria and demonstrating the ability to benefit from post-secondary education will be accepted.

15.059 INDIAN GRADUATE STUDENT SCHOLARSHIPS

(Special Higher Education Scholarships)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to obtain advanced degrees.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to defray the costs of obtaining a graduate degree.

Applicant Eligibility:

Individual applicants must be Indian students who are members of Federally Recognized Indian Tribes, who have been admitted to a graduate program and have unmet financial need.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are a member of or are at least one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Individual applicants must have proof of membership or one-fourth degree of Indian blood or descendant of a member of a Federally Recognized Indian Tribe.

Preapplication Coordination:

Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms may be obtained from the local BIA agency or area education office listed in Appendix IV of the Catalog.

Award Procedure:

The applicant will be notified of the amount of the award by the financial aid office of the admitting university.

Deadlines:

None.

Range of Approval/Disapproval Time:

20 to 30 days from the receipt of the application by the American Indian Graduate Center.

Appeals:

None.

Renewals:

Individuals must reapply annually.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards made are not to exceed one academic year.

Reports:

Successful applicants are required to show proof of satisfactory performance.

Audits:

None.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

(Grants) FY 07 \$2,198,000; FY 08 est \$2,164,000; and FY 09 est \$2,164,000.

Range and Average of Financial Assistance:

\$250 to \$4,000; \$3,200.

PROGRAM ACCOMPLISHMENTS:

Annually approximately 325 Indian students receive support to help finance graduate studies. Law and health professions are the most often selected areas of concentration.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40.

Regional or Local Office:

None.

Headquarters Office:

American Indian Graduate Center, 4520 Montgomery Boulevard, Suite 1-B, Albuquerque, NM, 87109. Telephone: (505) 881-4584.

Web Site Address:

<http://www.oiep.bia.edu/contact.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.026, Indian Adult Education; 15.027, Assistance to Tribally Controlled Community Colleges and Universities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

15.060 INDIAN VOCATIONAL TRAINING-UNITED TRIBES TECHNICAL COLLEGE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended;

Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide vocational training to individual American Indians through the United Tribes Technical College, located in Bismarck, North Dakota.

TYPES OF ASSISTANCE:

Training; Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training at the United Tribes Technical College, located in Bismarck, North Dakota. Restricted to American Indian people who are determined eligible for these services.

Applicant Eligibility:

Application to administer the program is limited to the United Tribes Technical College. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Individual American Indians who are members of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application by the United Tribes Technical College to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs' Aberdeen Regional Office. Individual American Indian applicants should apply for program services using the application form designated by the College. Individual application forms may be obtained directly from the United Tribes Technical College or from Bureau of Indian Affairs Agency or Regional Offices listed in appendix IV of the Catalog.

Award Procedure:

Applications for individual subsistence benefits are approved by the designated United Tribes Technical College official.

Deadlines:

None.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Tribes Technical College and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of a college official's decision must be made under the college's appeal procedures.

Renewals:

Awards to the United Tribes Technical College to administer the program may be renewed indefinitely upon satisfactory performance by the college and the availability of appropriations. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Renewals of grants to individual beneficiaries are granted based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards to the United Tribes Technical College to administer the program are made on an annual basis and the funds remain available until expended by the college. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the college. Grants to individual beneficiaries for subsistence are released monthly or quarterly, based upon individual needs. Vocational and employment counseling are provided by the college. Funding is limited in duration to the length of the vocational program, which varies by area of study.

Reports:

The United Tribes Technical College must submit financial status reports, SF 269A. The timing and nature of program accomplishment data will be negotiated with the College.

Audits:

For awards administered by the United Tribes Technical College, the College is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

The United Tribes Technical College must retain financial records for three years from the date of submission of the single audit report. Procurement records

must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 not available; FY 08 est \$4,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$500 to \$3,000; \$2,500.

PROGRAM ACCOMPLISHMENTS:

The United Tribes Technical College offers 23 vocational certificates and degrees. They have approximately 160 new students each year.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to United Tribes Technical College see also 25 CFR Part 900.

Regional or Local Office:

Applications may be obtained from the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the United Tribes Technical College. For direct contact: United Tribes Technical College, 3315 University Dr., Bismarck, North Dakota 58504. Contact: Vivian Gillette, Admissions. Telephone: (701) 255-3285, ext. 334.

Headquarters Office:

Office of Self-Governance and Self-Determination, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW, MS 2542 MIB, Washington, DC 20240. Contact: Lynn Forcia. Telephone: (202) 219-5270.

Web Site Address:

<http://www.united-tribes.tec.nd.us/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

17.250, Job Training Partnership Act; 15.114, Indian Education_Higher Education Grant Program; 15.850, Indian Arts and Crafts Development; 15.108, Indian Employment Assistance; 15.061, Indian Job Placement_United Sioux Tribes Development Corporation.

EXAMPLES OF FUNDED PROJECTS:

On average about 80 percent of attendees are place in jobs upon completion of a vocation certificate program and about 20 percent continue on to higher education.

CRITERIA FOR SELECTING PROPOSALS:

Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the College.

15.061 INDIAN JOB PLACEMENT-UNITED SIOUX TRIBES DEVELOPMENT CORPORATION

(United Sioux Tribes)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956; Public Law 84-959; 70 Stat. 986, as amended; Public Law 88-230; 77 Stat. 471; 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended; 25 U.S.C. 450.

OBJECTIVES:

To provide job development, counseling, social adjustment guidance, and referrals to job training programs and other assistance programs through the United Sioux Tribes Development Corporation, located in Pierre, South Dakota.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians in finding permanent employment. A weekly or monthly (depending on type of services received) stipend is paid until the participant is employed. Upon receiving employment, assistance may be provided until the first full paycheck is received.

Applicant Eligibility:

Application to administer the program is limited to the United Sioux Tribes Development Corporation. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Must be an American Indian member of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled

member of a Federally Recognized Indian Tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application by the United Sioux Tribes Development Corporation to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs Aberdeen Regional office. Individual American Indian applicant should apply for program services using the application form designated by the Corporation. Individual application forms may be obtained directly from the Corporation.

Award Procedure:

Applications for individual benefits are approved by the designated United Sioux Tribes Development Corporation official.

Deadlines:

None.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Sioux Tribes Development Corporation and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or the corporation may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of the corporate official's decision must be made under the corporation's appeal procedures.

Renewals:

Awards to the United Sioux Tribes Development Corporation to administer the program may be renewed indefinitely upon satisfactory performance by the corporation and the availability of appropriated funds. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Individual renewals are based on the availability of funds, but the job placement service may not be used more than three times or more frequently than every two years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards to the United Sioux Tribes Development Corporation to administer the program are made on an annual basis and the funds remain available until expended. Payments may be made in advance or by reimbursement. Payments to individual beneficiaries for subsistence, support services, uniforms, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives their first full paycheck.

Reports:

The United Sioux Tribes Development Corporation must submit financial status reports, SF 269A. The timing and nature of program accomplishment data will be negotiated with the Corporation.

Audits:

For awards administered by the United Sioux Tribes Development Corporation, the Corporation is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

The United Sioux Tribes Development Corporation must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 not available; FY 08 est not available (program not funded); and FY 09 est not available.

Range and Average of Financial Assistance:

\$500 to \$1,200; \$1,000.

PROGRAM ACCOMPLISHMENTS:

Less than 30 percent of the funds provided to the Corporation are used for administrative purposes.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900, 25 CFR 26, and 25 CFR 27.

Regional or Local Office:

United Sioux Tribes Development Corporation, 1830 Lombardy Drive, Rapid City, South Dakota, 57701. Contact: Ken Lock; Telephone: (605) 226-7426.

Headquarters Office:

Office of Economic Development, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW., MS-2412 MIB, Washington, DC 20240. Contact: Lynn Forcia. Telephone: (202) 219-5270.

Web Site Address:

<http://www.doi.gov>.

RELATED PROGRAMS:

15.108, Indian Employment Assistance; 17.251, Native American Employment and Training Programs.

EXAMPLES OF FUNDED PROJECTS:

An unemployed husband and wife with two children were placed as a handyman in a garage and a housekeeper in a hotel, respectively.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for direct employment participants. Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the Corporation.

15.062 REPLACEMENT AND REPAIR OF INDIAN SCHOOLS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413; Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide safe, functional, code-compliant, economical, and energy-efficient education facilities for American Indian students attending Bureau of Indian Affairs owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Replacement School Construction: Funds are provided for advanced planning, design, and construction of major expansions or major replacements of Bureau of Indian Affairs owned or funded education facilities for the direct support of primary and secondary schools and/or dormitories. An education facility may include an entire school facility or building, or a component(s) of a school facility or building, such as, classrooms, multi-purpose rooms or gymnasiums, or cafeteria/kitchens; and may include other improvements to real property such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. School facilities are replaced only in those instances where rehabilitation and upgrading are not feasible because of eligible American Indian student capacity needs, functional changes or costs. Facilities Improvement and Repair: Funds are provided for advanced planning, design, and construction for facilities improvement and repair of education facilities for the direct support of primary and secondary schools and/or dormitories and the Bureau's two post secondary schools. Funds are used to improve, repair and rehabilitate facilities and in some instances replace components of education facilities and may include other improvements to real property as noted under Replacement School Construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Replacement School Construction or Facilities Improvement and Repair project for which funds have been appropriated.

Beneficiary Eligibility:

American Indian children attending Bureau owned or funded primary and secondary schools and/or American Indian children residing in Bureau owned or funded dormitories.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution.

Preapplication Coordination:

An informal conference with Bureau agency representatives is strongly recommended to determine the application and award procedures to be followed. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Award Procedure:

Projects are prioritized before the funds are appropriated. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Deadlines:

Applications must be submitted in accordance with applicable procedures.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days or as provided under applicable procedures.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Depending upon the size and complexity of the construction project, it may take several increments of funding assistance to complete the project. The initial award is issued as a new contract/grant, with second and subsequent funding increments issued as renewals to existing contracts/grants.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with terms of the award.

Reports:

Financial status reports, SF 269A, are required. Program progress reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

Replacement School Construction: FY 07 \$73,824,080; FY 08 est \$13,037,200; and FY 09 est not available. Facilities Improvement and Repair: FY 07 \$48,876,070; FY 08 est \$56,092,020; and FY 09 est not available.

Range and Average of Financial Assistance:

Replacement School Construction: The amount of financial assistance can range from approximately \$6 million to \$25 million depending on the size of the school, both grade level and student enrollment, the program requirements, and the location. For the higher amounts, funding may be incremental over 2, 3, or even 5 years. Because of the limited number of schools that have received funding in the last few years and the increasing costs for construction, there is no way to determine a true representative "average" of financial assistance for this program. Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$7 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing Replacement/Repair, Portable Classrooms. The average amount of financial assistance can vary depending on the category.

PROGRAM ACCOMPLISHMENTS:

Replacement School Construction: A grades 9 through 12 junior/senior high school in Arizona and a grades pre-K through 8 in Iowa were recently completed. Facilities Improvement and Repair: Funds are used to address environmental projects, AHERA requirements, minor repairs, emergency repairs, major repair/replacement projects and safety and health and other code deficiencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable; OMB Circulars No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments," A-122, "Cost Principles for Non-Profit Organizations," A-21, "Cost Principles for Educational Institutions," as applicable; and Bureau of Indian Affairs Manual, Chapter 25, Supplements 18 and 19; Bureau of Indian Affairs Education Space Guidelines.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 201 Third Street, NW., Suite 500, P. O. Box 1248, Albuquerque, NM 87103. Telephone: (505) 346-6522. Use the same number of FTS.

Web Site Address:

<http://www.doi.gov/bia/ofmc/cm.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Replacement School Construction: A pre-Kindergarten through Grade 12 school complex was funded in the State of Washington. Because of the size of the project, funds were appropriated in increments for this project. In recent years funds have been provided to build elementary and middle schools in North Dakota, New Mexico, and Mississippi, a high school in South Dakota and a library in Mississippi. Facilities Improvement and Repair: The emphasis of this program is minor replacement construction, major and minor repairs, and emergency projects to remedy life safety and health related deficiencies in facilities. Examples of projects include: boiler repair and replacement; roofing repair and replacement of gymnasium; removal of underground storage tanks; installation of fire exit lights, emergency lights, fire rated doors, and other safety related items; and replacement of water storage tanks.

CRITERIA FOR SELECTING PROPOSALS:

Replacement School Construction: Funds are appropriated based on the "Replacement Facilities Replacement Construction Priority List" as published in the FEDERAL REGISTER on March 24, 2004. Criteria emphasizes providing safe, functional, code-compliant, economical and energy-efficient educational facilities that meet applicable accreditation standards and space guidelines. Facilities Improvement and Repair: Criteria to evaluate projects emphasize eliminating critical health and safety-related deficiencies and reducing the substantial backlog of needed improvements and repairs. Potential projects are identified by facility users, regional office facilities personnel and the Office of Facilities Management and Construction. Facilities Improvement and Repair projects are then prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that deficiencies and projects for a Bureau funded school or dormitory be identified in the automated inventory of Bureau facilities. In addition there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other programs or on the priority ranking process for Replacement School Construction or Facilities Improvement and Repair projects, contact the Headquarters Office listed above or the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

15.063 IMPROVEMENT AND REPAIR OF INDIAN DETENTION FACILITIES

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413.

OBJECTIVES:

To provide safe, functional, code and standards compliant, economical, and energy-efficient adult and/or juvenile detention facilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are provided for advanced planning, design, and construction for facilities improvement and repair to Bureau detention facilities (e.g. renovations, improvements, demolitions, or additions) when economically justified with emphasis on addressing critical health and safety needs identified in Bureau safety reports and meeting emergency needs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments who have a prioritized Facilities Improvement and Repair project for a Bureau detention facility for which funds have been appropriated.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution.

Preapplication Coordination:

An informal conference with BIA agency representatives is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act."

Award Procedure:

Projects are prioritized before the funds are appropriated. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act."

Deadlines:

Applications must be submitted in accordance with applicable procedures.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Depending upon the size and complexity of the construction project, it may take several increments of funding assistance to complete the project. The initial award is issued as a new contract/grant, with second and subsequent funding increments issued as renewals to the existing contract/grant.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with the terms of the award.

Reports:

Financial status reports, SF 269A, are required. Program progress reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996, (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 est \$7,292,700; FY 08 est \$9,999,900; and FY 09 est not available.

Range and Average of Financial Assistance:

The amount of financial assistance can range significantly from a few thousand dollars to approximately \$1,000,000. Because funding is on a project basis based on the work to be accomplished, an average financial assistance can not be determined.

PROGRAM ACCOMPLISHMENTS:

Funds are used to address environmental projects, asbestos abatement, minor repairs, emergency repairs, and safety and health and other code deficiencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900, Contracts under the Indian Self-Determination and Education Assistance Act; OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments; Bureau of Indian Affairs Manual, Chapter 25, Supplements 18 and 19; Indian Affairs Manual, Chapter 40, Law Enforcement and Detention; and BIA's Adult Detention Handbook, Juvenile Detention Handbook, and/or Model Inmate Handbook, as applicable.

Regional or Local Office:

Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Director, Office of Law Enforcement Services, Bureau of Indian Affairs, P.O. Box 66, Albuquerque, NM 87103. Telephone: (505) 248-7937. Use the same number for FTS; or Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 201 Third St., NW., Suite 500, P.O. Box 1248, Albuquerque, NM 87103. Telephone: (505) 346-6522. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ofmc/cm.htm#3>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

16.596, Correctional Grant Program for Indian Tribes.

EXAMPLES OF FUNDED PROJECTS:

The emphasis of this program is to remedy life safety and health related deficiencies in existing facilities. An emergency stand-by generator to power security systems and enlargement of exercise yard to comply with program requirements were done at a detention facility in Arizona. Another project at a Montana detention facility addressed miscellaneous life safety, handicap, and environmental deficiencies including installation of rest rooms to accommodate the handicapped, new electrical wiring, and asbestos removal. A project at a facility in New Mexico included heating and cooling system improvements, site drainage, and a new back-up generator to power security systems.

CRITERIA FOR SELECTING PROPOSALS:

Criteria to evaluate Bureau owned and operated detention facilities for prioritization emphasize eliminating critical health and safety-related

deficiencies. For more information on the priority ranking process for Facilities Improvement and Repair of Bureau owned and operated detention facilities, contact the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog or the Headquarters Offices listed above.

15.064 STRUCTURAL FIRE PROTECTION-BUREAU OF INDIAN AFFAIRS FACILITIES

(Fire Protection)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413; Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide for the installation of fire protection and prevention equipment in schools, dormitories, detention centers and other BIA facilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for training, breathing apparatus equipment; other equipment such as hoses; fire trucks; protective clothing for fire personnel; installation of fire sprinkles and fire/smoke alarms in schools and dormitories; and support other fire protection and preventive measures.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Fire Protection project for which funds have been appropriated.

Beneficiary Eligibility:

Indian children attending Bureau owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories and occupants and visitors of detention centers and other BIA facilities.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of an initial contract proposal has already been provided, a reference to that resolution.

Preapplication Coordination:

An informal conference with a Bureau agency representative is strongly recommended to determine the application and award procedures to be followed. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Award Procedure:

Fire protection projects have been identified and prioritized. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Deadlines:

Applications must be submitted in accordance with applicable procedures.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days or as provided under applicable procedures.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are for one-time projects. Depending upon the size and complexity of the project, it may take a couple of increments of funding assistance to complete the project. The initial award is issued as a new contract/grant, with second and any subsequent funding increments issued as renewals to existing contracts/grants.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with the terms of the award.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee.

Audits:

For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$532,960; FY 08 est \$532,960; and FY 09 est not available.

Range and Average of Financial Assistance:

\$5,000 to \$250,000; \$120,000.

PROGRAM ACCOMPLISHMENTS:

Funds were used to retrofit smoke detectors, fire alarms, and sprinkler systems in identified schools, dormitories, and other Bureau facilities and used to replace fire trucks.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable; OMB Circulars A-87, "Cost Principles for State, Local, and Indian Tribal Governments," A-122, "Cost Principles for Non-Profit Organizations," A-21, "Cost Principles for Educational Institutions," as applicable; and Bureau of Indian Affairs Manual, Chapter 25, Supplements 18 and 19; National Fire Protection Association Standards.

Regional or Local Office:

Applications should be filed with the local Bureau of Indian Affairs agency or regional office representative or education program administrator as listed in Appendix IV.

Headquarters Office:

Structural Fire Protection Program Manager; Office of Facilities Management and Construction, Bureau of Indian Affairs, 201 Third Street, NW, Suite 500, P. O. Box 1248, Albuquerque, NM 87103. Telephone: (505) 346-6522. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ofmc/sp.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Installation or repair of sprinkler systems; installation of smoke detectors; installation or repair of fire alarm systems; acquisition/replacement of fire extinguishers; replacement of fire trucks.

CRITERIA FOR SELECTING PROPOSALS:

Projects have been identified and prioritized based on assessed risk to occupants. Dormitories and schools housing Indian children were given highest priority. Applicants must have a prioritized project. For more information contact the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog or the Structural Fire Protection Program Manager at the location shown under "Headquarters Office."

15.065 SAFETY OF DAMS ON INDIAN LANDS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208; Indian Dams Safety Act of 1994, Public Law 103-302, 108 Stat. 1560; Secretarial Order No. 3048.

OBJECTIVES:

To improve the structural integrity of dams on Indian lands, including operations and maintenance of these dams.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds allow for the inspection, operation and maintenance, early warning systems, and emergency action plans of high and significant hazard dams under the responsibility of the Bureau of Indian Affairs, and for safety of dam rehabilitation construction necessary to correct identified deficiencies on the

most unsafe dams. Financial awards are specific to a given site and awards are restricted to Indian tribes or tribal organizations affected by the projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments to be benefited by the award.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

The application must be accompanied by a resolution of the governing body of the Indian tribe.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." For the rehabilitation construction, applications must contain the information specified in 25 CFR Part 900, Subpart J, "Construction." An Indian tribe or tribal organization that wishes to secure a construction project should contact the appropriate local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Area Director for approval.

Deadlines:

Notification of specific fund allocations for construction projects is made to Indian tribes or tribal organizations to be benefited by the availability of the funds. A 30-day time period is usually set for the tribe to notify the Bureau of Indian Affairs of its intent to seek award of the available project.

Range of Approval/Disapproval Time:

Applications for construction projects will be processed within 90 days after receipt of the final proposal.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900, Subpart L.

Renewals:

Projects are generally multi-year agreements with no renewal. Under extenuating circumstances, extensions may be granted.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Contracts are for the duration of the project, often one to five years, and the funds remain available until expended by the contractor/grantee. The timing of payments will be negotiated with the grantee.

Reports:

Financial status reports, SF 269A, are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

For awards made under this program, grantees and subgrantees are responsible for obtaining audits. All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and Circular A-133. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Total Amount of Awards) FY 07 \$8,900,000; FY 08 est \$6,900,000; and FY 09 est \$9,100,000.

Range and Average of Financial Assistance:

Highly variable, depending on the scope of work. Awards are commonly awarded for various phases of the safety program. For example, conception design \$100,000 to \$300,000; final design \$300,000 to \$1,000,000; and construction repair \$1,000,000 to \$17,000,000. For non-construction portion, awards can be from \$10,000 up to \$250,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the design phase was completed on three project and two

construction projects were completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; and Department of the Interior Safety of Dams (SOD) Program.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Trust Services, Division of Irrigation, Power and Safety of Dams, Bureau of Indian Affairs, 1849 C Street N.W., MS 4655 MIB, Washington, DC 20240. Telephone: (202) 208-5480. Contact: Ross Mooney.

Web Site Address:

None.

RELATED PROGRAMS:

15.049, Irrigation Operations and Maintenance on Indian Lands.

EXAMPLES OF FUNDED PROJECTS:

Projects commonly encompass three phases of program work: conceptual design, involving engineering studies of construction alternatives; final design, including structural dam design and cost estimation; and construction repair, the physical rehabilitation of existing structures. Also, included are recurring operations and maintenance of the dams, early warning systems and emergency action plans.

CRITERIA FOR SELECTING PROPOSALS:

The relative probability of dam failure (risk-of-failure) is determined from the technical factors used in the SEED report to determine placement on the Department of the Interior's Technical Priority Rating List. This priority list is then used to prioritize budget requests for repair of the Bureau's most unsafe dams. Approximately \$22,000,000 of the funds for the Safety of Dams program are distributed in accordance with the Technical Priority Rating listing for Department of the Interior dams.

15.108 INDIAN EMPLOYMENT ASSISTANCE

(Employment Assistance Program)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide vocational training and employment opportunities to eligible American Indians and reduce Federal dependence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training and to assist those who have a job skill to find permanent employment. Vocational and employment counseling are provided by the program. Eligible American Indians may receive vocational training or job placement on or near the reservation or in an urban Regional.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian Tribal Governments may apply to administer the program. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are unemployed, underemployed, or in need of training to obtain reasonable and satisfactory employment. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicants must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications by Indian Tribal Governments to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV. Individual American Indian applicants should apply for program services on Bureau of Indian Affairs Form BIA-8205 at the nearest Bureau Employment Assistance office or tribal government offices.

Award Procedure:

The dollar value of the awards to Indian Tribal Governments depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Applications for individual benefits are approved by the Bureau Agency Superintendent or authorized tribal representative.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications by Tribal Governments to administer the program will be processed within 90 days. Applications by individuals for benefits will be processed within 30 days.

Appeals:

A Tribal Government whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An individual may appeal the BIA agency Superintendent's decision to the Regional Director. The complete appeal process for an individual is found in 25 CFR Part 2, "Appeals from Administrative Action." An appeal of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Awards to Tribal Governments to administer the program may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Renewals of grants to individual beneficiaries are based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards to Indian Tribal Governments and Native American Organizations to administer the program are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the Tribal Government. Grants to individual beneficiaries for subsistence, tuition and related training costs, supportive services, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives the first full paycheck from employment. Individual beneficiaries may not receive more than 24 months of full-time training, except for Registered Nursing students who may receive 36 months of training.

Reports:

Indian Tribal Governments administering the program must submit financial status reports, SF 269A. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Individuals must provide evidence of satisfactory progress.

Audits:

For awards administered by Indian Tribal Governments, the Tribe is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Indian Tribal Governments administering the program must retain financial records for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 \$7,261,840; FY 08 est \$6,923,860; and FY 09 est not available.

Range and Average of Financial Assistance:

For awards to Indian Tribal Governments: \$7,000 to \$350,000; \$46,000. For awards to individual beneficiaries: \$200 to \$10,000; \$5,100.

PROGRAM ACCOMPLISHMENTS:

Approximately 175 awards are made annually to Indian Tribal Governments; approximately 1500 individuals receive assistance annually either through Tribal programs or as direct grants from the BIA.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to Indian Tribal Governments see also 25 CFR Part 900.

Regional or Local Office:

Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Office of Indian Energy and Economic Development, Division of Workforce Development, 1951 Constitution Avenue, NW, Mail Stop 20-SIB, Washington

DC 20245.

Web Site Address:

<http://www.doi.gov/bia/ecodev/index.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.114, Indian Education Higher Education Grant Program; 15.850, Indian Arts and Crafts Development.

EXAMPLES OF FUNDED PROJECTS:

The Southwestern Indian Polytechnic Institute in Albuquerque, New Mexico trains students in ophthalmology and provides job placement upon completion of the program. Adult vocational training subsistence funds are provided to individuals with families who live off campus. One individual received 3 years of nursing training in Phoenix, Arizona and was subsequently employed by a medical center.

CRITERIA FOR SELECTING PROPOSALS:

All Tribes meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Applications from individuals are received for both vocational training and direct employment participants. Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the Tribe or Agency.

15.113 INDIAN SOCIAL SERVICES-WELFARE ASSISTANCE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES:

To provide financial assistance for basic needs of needy eligible American Indians who reside on or near reservations, including those American Indians living under Bureau of Indian Affairs service area jurisdictions, when such assistance is not available from State or local public agencies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Provides cash payments to eligible American Indian individuals and families to meet basic needs (i.e., food, clothing, shelter, etc.). Provides extra monthly benefits to tribal members on general assistance who also work on tribal projects under the Tribal Work Experience Program. Provides monthly assistance for non-medical institutional or custodial care of adults who are not eligible for care from Indian Health Services, Social Security, or any other county, state or Federal program. Provides foster home care and appropriate institutional (non-medical) care for dependent, neglected, and handicapped American Indian children in need of protection. This program also pays the burial expenses of indigent American Indians whose estates do not have sufficient resources to meet funeral expenses and provides emergency assistance to prevent hardship caused by fire, flood or acts of nature.

Applicant Eligibility:

An American Indian who is a member of a federally recognized Indian Tribe, who resides on or near a federally recognized Indian reservations, who is in need of financial assistance and who meets the eligibility criteria in 25 CFR Part 20.

Beneficiary Eligibility:

American Indians who are members of federally recognized Indian Tribes.

Credentials/Documentation:

Proof of American Indian status, residency, and income/resources available must be provided by applicant.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A verbal or written request for assistance to the Bureau of Indian Affairs agency or regional representative or designated tribal authority.

Award Procedure:

Assistance is provided by the Bureau of Indian Affairs agency or regional office or contracted tribal program.

Deadlines:

None.

Range of Approval/Disapproval Time:

Generally within 10 days depending upon applicants needs and the extent of investigation required. Decisions will take no longer than 45 days.

Appeals:

An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 20, Subpart D "Hearings and Appeals" and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length of assistance is indefinite, depending on continued need and available resources. Assistance is usually provided monthly or as needed.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total program funds including direct payments) FY 07 \$59,332,460; FY 08 est \$59,332,460; and FY 09 est not available.

Range and Average of Financial Assistance:

May range from a few hundred to several hundred dollars monthly depending upon the assistance provided.

PROGRAM ACCOMPLISHMENTS:

General assistance is provided to over 41,000 persons on a monthly basis. Indian Child Welfare Assistance is provided to an estimated 3,000 children on a monthly basis and Non-Medical Institutional or Custodial Care is provided monthly to about 1,100 disabled American Indian adults.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 20.

Regional or Local Office:

Information can be secured from the Agency Superintendents and from Regional Directors (see Catalog Appendix IV for addresses). Applications for general assistance are made at the local agency or tribal level.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., NW., MS 320 SIB, Washington, DC 20245. Telephone: (202) 513-7642. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/childw-2.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Individual financial assistance (welfare) grant to a single American Indian person or to an American Indian family of eight based upon public assistance standards of the State where recipients reside.

CRITERIA FOR SELECTING PROPOSALS:

Must be an American Indian residing on or near a reservation as defined in 25 CFR 20 and must be in need as defined in 25 CFR Part 20. All eligible applicants will be funded subject to availability of funds.

15.114 INDIAN EDUCATION-HIGHER EDUCATION GRANT PROGRAM

(Higher Education)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments may apply to administer the program. Individuals who are members of Federally Recognized Indian Tribes may submit applications for benefits directly to the Bureau of Indian Affairs if the Bureau agency serving their reservation provides direct services for this program. Individuals must be enrolled in an accredited college and have financial need as determined by the institution's financial aid office.

Beneficiary Eligibility:

Members of a Federally Recognized Indian Tribe who are enrolled or accepted for enrollment in an accredited college and have financial need as determined by the institution's financial aid office.

Credentials/Documentation:

Initial application by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Individual student applicants must submit a certificate of Indian blood; college financial aid package; statement of acceptance by college and Bureau of Indian Affairs grant application.

Preapplication Coordination:

The applicant should consult the Agency/Area Program Administrator for Education. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications by Indian tribes and tribal organizations to administer the program must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Individual students must be accepted by a college or university and should complete application forms in accordance with instructions available upon request from the Education Line Office, or tribal contractor administering the program. Completed forms are submitted to the student's higher education program office at the appropriate office.

Award Procedure:

In most cases, the application by an Indian tribe or tribal organization to administer the program can be approved by the Area/Agency Education Program Administrator or the Area/Agency Bureau Contracting Officer. Individual students are notified by the Area/Agency Education Program Administrator or tribal contractor, and funds are sent to the applicant in care of the college or university financial aid office.

Deadlines:

Applications to administer the program may be submitted at any time. Deadlines for individual student applications are determined by the Education Line Office and/or tribal contractor.

Range of Approval/Disapproval Time:

Applications by Indian tribes and tribal organizations to administer the program will be processed within 90 days. Applications by students will be processed within 6 to 10 weeks after all required documents are submitted.

Appeals:

Indian tribes and tribal organizations whose application to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900. Individual students may appeal a Bureau of Indian Affairs official's decision to the Area/Agency Education Program Administrator under the procedures contained in 25 CFR Part 2, "Appeals from Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Grants to students may be continued through the undergraduate level if the student maintains acceptable progress and academic standing. Renewal applications must be submitted annually with updated grades or transcript.

Formula and Matching Requirements:

Not applicable to Indian tribes and tribal organizations. Students are expected to take advantage of the campus-based financial aid programs offered to all students, and any other scholarships which may be available to them.

Length and Time Phasing of Assistance:

Awards to Indian tribes and tribal organizations are made on an annual basis. Payments may be made in advance or by way of reimbursement. The time of the payments will be negotiated with the Indian tribe or tribal organization. Grants to students are generally made for the academic year on a quarter or semester basis. A grant is for a period not to exceed 5 years, or the period of time required to complete the course of study.

Reports:

Indian tribes and tribal organizations are required to submit financial status reports, SF 269A. Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Students applying for continuation of grants must submit grade reports at the end of each academic year.

Audits:

For awards administered by Indian tribes and tribal organizations, the contractor/grantee is responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be maintained by the Indian tribe or tribal organization for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of

disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

FY 07 \$25,832,000; FY 08 est \$25,959,000; and FY 09 est \$20,138,000.

Range and Average of Financial Assistance:

\$300 to \$5,000; \$3,000.

PROGRAM ACCOMPLISHMENTS:

Annually an estimated 9,800 students receive assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40; 25 CFR Part 900.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Office of Indian Education Programs, Room MS 3609-MIB, 1849 C Street, NW., Washington, DC 20240. Contact: Keith Neves. Telephone: (202)208-3601. Use the same number for FTS.

Web Site Address:

<http://www.oiep.bia.edu/scholarships.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.108, Indian Employment Assistance.

EXAMPLES OF FUNDED PROJECTS:

The Bureau's scholarship program provides about 25 percent of the student's total financial aid.

CRITERIA FOR SELECTING PROPOSALS:

All Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Final determination to fund student applications is based on the individual's eligibility and total dollars available to the Indian tribe or tribal organization or local Bureau office.

15.124 INDIAN LOANS-ECONOMIC DEVELOPMENT

(Loan Guaranty, Insurance, and Interest Subsidy Program)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, OFFICE OF INDIAN ENERGY AND ECONOMIC DEVELOPMENT, DEPARTMENT OF THE INTERIOR.

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide assistance to Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians in obtaining financing from private sources to promote business development initiatives to improve the economies of Federally Recognized Indian Reservations.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Loans may be used to finance commercial, industrial, agricultural, or business activities, which benefit Federal Indian Reservation economies. Loan guarantees to private lenders will only be provided if funds otherwise would be unavailable to the borrower.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments, and individual American Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians or Alaska natives. Complete information on beneficiary eligibility is found in 25 CFR, Part 103.

Credentials/Documentation:

Individual applicants must submit a certificate signed by Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally recognized Indian tribal government. Federally Recognized Indian Tribal Governments and Native American Organizations must submit a resolution of the governing body of the Indian Tribe. All applicants must demonstrate their inability to obtain financing through the same institutions serving other citizens.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for loan guarantees should be submitted by the lender at the local Bureau of Indian Affairs Agency or Tribal Loan Administration Office. Lenders should contact the local office for information on documentation needed to

complete an application.

Award Procedure:

Action approving or disapproving loans is taken at various levels pursuant to delegated authority. The Bureau of Indian Affairs Regional or Field Office or Tribal Loan Administration Office notifies applicants of action taken on applications.

Deadlines:

None.

Range of Approval/Disapproval Time:

15 to 30 days depending upon completeness of loan package.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application by a Bureau of Indian Affairs official to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 2.

Renewals:

None.

Formula and Matching Requirements:

The maximum percentage of guaranty is 90 percent of unpaid principal and interest. Borrower must have 20 percent equity in business being financed.

Length and Time Phasing of Assistance:

Loan guarantees are limited to no more than 30 year terms.

Reports:

Lenders will submit quarterly reports on the status of loans to the Director of Indian Affairs Region in which the loan was made.

Audits:

Federally Recognized Indian Tribal Governments, Native American Organizations, and tribal enterprises are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Loan records must be maintained for the life of the loan.

Account Identification:

14-4415-0-3-452.

Obligations:

(Guaranteed Loans) FY 07 \$85,485,456; FY 08 est \$84,172,203; and FY 09 est \$84,172,203.

Range and Average of Financial Assistance:

For individuals and tribal enterprises, \$150,000 to \$10,500,000. For Federally Recognized Tribal Governments and Native American Organizations, \$10,000,000 to \$12,000,000.

PROGRAM ACCOMPLISHMENTS:

Guarantees of over eight hundred and forty-seven million dollars of loans have been awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 103.

Regional or Local Office:

Lenders must submit applications to the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Office of Indian Energy and Economic Development, Assistant Secretary Indian Affairs, 1951 Constitution Ave, NW., MS-20-SIB, Washington, DC 20240. Contact: Michael A. Lucero. Telephone: (202) 513-7681.

Web Site Address:

<http://www.doi.gov/bia/ecodev/loanpgm.html>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

10.766, Community Facilities Loans and Grants.

EXAMPLES OF FUNDED PROJECTS:

A Bureau of Indian Affairs 90 percent loan guarantee of \$5.5 million for a Tribe to construct and operate a tire recycling business, which is one of the first vertically integrated crumb rubber processing facilities in North America. Another example is a BIA 90 percent loan guarantee of \$763,500 for a Tribe's health clinic expansion. This expansion was required to provide the quality and level of services the Tribal members needed. Another example is a BIA 90 percent loan guarantee of \$4.5 million for a Tribe's 96-unit apartment complex expansion. This expansion will assist in diversifying the Tribal economy in the Northern New Mexico region.

CRITERIA FOR SELECTING PROPOSALS:

Economic enterprises must demonstrate a reasonable prospect for repayment, and be at least 51 percent Indian owned. Project must provide economic development to a federally recognized Indian reservation.

15.130 INDIAN EDUCATION-ASSISTANCE TO SCHOOLS

(Johnson-O'Malley)

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Johnson-O'Malley Act of April 16, 1934; as amended, 25 U.S.C. 452; Indian Self Determination and Education and Assistance Act, Public Law 93-638; 25 U.S.C. 455-457.

OBJECTIVES:

To fund programs that meet the unique and specialized needs of eligible Indian students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds may be used for supplemental programs to meet the special educationally related needs of eligible Indian students. Funds under this program may not be used for capital expenditures.

Applicant Eligibility:

Tribal organizations, Indian Corporations, school districts or States which have eligible Indian children attending public school districts and have established Indian Education Committees to approve supplementary or operational support programs beneficial to Indian students.

Beneficiary Eligibility:

Children who are enrolled members of, or at least one-fourth or more degree of Indian blood descendant of a member of a federally recognized Indian tribal government eligible for service by the Bureau, and are between age 3 through grade 12 with priority given to those residing on or near Indian reservations.

Credentials/Documentation:

As required for contract under 25 CFR Part 900.

Preapplication Coordination:

BIA will provide technical assistance when requested by tribal organization. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms may be obtained from the Area/Agency Education Program Administrator. Completed applications which include an education plan based on needs assessments and an estimated budget are sent to the appropriate BIA Area or Agency Office.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Contracts with Indian corporations, States and school districts are negotiated with the Agency/Area Education Program Administrator.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Annual reports required following the close of the year, as specified in 25 CFR 273.

Audits:

For awards made under this program, grantees are responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A-133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

FY 07 \$16,371,000; FY 08 est \$12,000,000; and FY 09 est \$16,500,000.

Range and Average of Financial Assistance:

\$700 to \$4,359,000; \$72,000.

PROGRAM ACCOMPLISHMENTS:

Annually approximately 272,000 students in 33 States are served.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 273; 25 CFR Part 900.

Regional or Local Office:

See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Office of Indian Education Programs, Room MS 3609-MIB, 1849 C Street, N.W., Washington, DC 20240. Contact: Jim Martin. Telephone: (202) 208-5820.

Web Site Address:

<http://www.oiep.bia.edu/jom.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Home school coordinators; remedial tutoring, educational field trips; and cultural programs.

CRITERIA FOR SELECTING PROPOSALS:

Johnson-O'Malley Parent Committee determination through needs assessments.

15.133 NATIVE AMERICAN BUSINESS DEVELOPMENT INSTITUTE

(NABDI)

FEDERAL AGENCY:

OFFICE OF INDIAN ENERGY AND ECONOMIC DEVELOPMENT (IEED),
DIVISION OF ECONOMIC DEVELOPMENT, DEPARTMENT OF THE
INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

Under its Native American Business Development Institute (NABDI) conceived in FY 2006, IEED has developed partnerships with U.S. business schools to assist tribal business assess financial opportunities and prepare economic feasibility studies. Assistance by way of Public Law 93-638 agreements between tribes and participating business schools. During FY 2006- 2008, NABDI assisted tribes to analyze the potential of economic opportunities as diverse as a business park, a meat packing plant, a wind energy project, a security business, a medical supply business, upland bird hunting, new uses for a dormat tribal wellness/recreation center, and a greenhouse heated by way of woody biomass.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government.

Applicant Eligibility:

Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal governments and their members.

Credentials/Documentation:

Tribal applicants must submit a tribal resolution requesting funding of a Public Law 93-638 contract and describing the project for which a feasibility study is requested. This program is excluded from coverage under E.O. 12372.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications should be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW Washington DC 20245.

Award Procedure:

As funding is limited, applications are juried by IEED staff and selections are approved by the Director, IEED.

Deadlines:

Applications are considered on a competitive basis until available funds are exhausted.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements are made on an annual basis and the funds remain available until expended by the contractor. The timing of payments will be negotiated by the Regional Office, Contract Officer and the contractor.

Reports:

Financial Status Reports, SF 269.

Audits:

All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996, and OMB Circular A-133. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-452.

Obligations:

FY 07 \$0; FY 08 est \$150,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

PROGRAM ACCOMPLISHMENTS:

IEED has developed partnerships with U.S. business schools to assist tribal business assess financial opportunities and prepare economic feasibility studies. During FY 2006-FY 2008, NABDI assisted tribes to analyze the potential of economic opportunities as diverse as a business park, a meat packing plant, a wind energy project, a security business, a medical supply business, upland bird hunting, new uses for a dormat tribal wellness/recreation center, and a greenhouse heated by way of woody biomass.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Regional Office Contract Officer.

Headquarters Office:

IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, DC 20245, Phone: (202) 219-0740, Fax: (202) 208-4564.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Potential for creating jobs and fostering economic activity on the reservation.

15.141 INDIAN HOUSING ASSISTANCE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To use the Housing Improvement Program (HIP) resources of the Bureau of Indian Affairs to eliminate substantially substandard Indian owned and inhabited housing for very low income eligible Indians living in approved tribal service areas. This effort is assisted by the Indian Health Service (Department of Health and Human Services) which provides water and sanitary systems for houses repaired or built with HIP funds.

TYPES OF ASSISTANCE:

Project Grants; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The program is primarily devoted to providing decent, safe, and sanitary housing through renovations, repairs, or additions to existing homes. The program will build an entire house in situations where no other program can meet the need in the immediate or near future. Technical assistance is provided to Indian tribes to establish housing plans and determine the extent and use of the Bureau's Housing Improvement Program. The program is restricted to use within reservations and approved tribal service areas.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations to administer the program who have eligible applicants with identified housing needs. Individual members of Federally recognized Indian tribes living in approved tribal service areas in need of housing assistance who are unable to obtain assistance from any other source, and who meet the eligibility criteria of the HIP regulations (25 CFR Part 256 "Housing Improvement Program").

Beneficiary Eligibility:

Individual members of Federally recognized Indian tribes.

Credentials Documentation:

Initial applications by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe(s) to be served. Individual Indians must furnish information required by 25 CFR Part 256, basically proving membership in a Federally-recognized Indian tribe and financial inability to obtain assistance for repairs or new housing.

Preapplication Coordination:

Funds may be used in conjunction with other Federal programs, such as Indian Health Service for water and sanitary facilities, or privately financed programs that are appropriate to repair or build housing. An informal conference with Bureau of Indian Affairs agency representative is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial applications from Indian tribes and tribal organizations must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed application should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV. Individual Indians should submit written applications to the local tribal servicing housing office, or local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

The dollar value of the award to Indian tribes and tribal organizations is determined through the use of annual tribal work plans identifying eligible applicants and through prioritization under the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

For Indian tribes and tribal organizations, applications may be submitted at any time. For individual Indians, as set at the local level.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

Applicants whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR part 900. An individual whose request is denied may appeal a Bureau of Indian Affairs official's decision to the Regional Director under the procedures contained in 25 CFR Part 2, "Appeals From Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations to administer the program may be renewed indefinitely upon satisfactory performance by the contractor. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of changes in the identified eligible applicants and in individual tribal priorities established in the budget formulation process. For individual Indians, receipt of program services represent one-time direct assistance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

For Indian tribes and tribal organizations awards are made on an annual basis and the funds remain available until expended by the contractor. Payments may be made in advance or by way of reimbursement. The timing of payment will be negotiated with the Indian tribes.

Reports:

For Indian tribes and tribal organization administering the program financial status reports, SF-269A, are required. Program progress reporting requirements will be negotiated with the contractor.

Audits:

For awards administered by Indian tribes and tribal organizations, the contractor is responsible for obtaining audits. All nonfederal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.) and OMB Circular A - 133 Compliance Supplement and Government Auditing Standards. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A - 133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the

date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452

Obligations:

(Total Amount of Awards: Self-Determination Contracts and Direct Grants) FY 07 \$23,000,000; FY 08 est \$13,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

For HIP, maximum of \$35,000 for repairs and renovations; \$2,500 for interim improvements. The average cost of repair has been approximately \$17,500. New housing does not have a specified maximum amount, but is intended to provide only a modest standard dwelling. Average new housing construction cost has been approximately \$55,000.

PROGRAM ACCOMPLISHMENTS:

On an annual basis over 500 families receive services under the HIP program through repairs/renovations or new or replacement construction.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 256, "Housing Improvement Program;" 25 CFR Part 900, "Contracts under the Indian Self-Determination and Educational Assistance Act;" and OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments."

Regional or Local Office:

See Catalog Appendix IV for Regional Office addresses.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., N.W., MS 4513 MIB, Washington, DC 20240. Telephone: (202) 513-7640.

Web Site Address:

<http://www.doi.gov/bia/tsservices/hip/housing.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

10.410, Very Low to Moderate Income Housing Loans; 14.850, Public and Indian Housing.

EXAMPLES OF FUNDED PROJECTS:

The HIP does not fund multi-unit projects as such, the assistance is granted to repair Indian owned and inhabited individual houses with each house considered to be a project. Occasionally, several individual projects may be constructed simultaneously in close proximity giving the appearance of a multi-unit project. One such occurrence was the construction of several units in California. Prior to HIP undertaking there was no housing in this area. No other federally-assisted housing program was able to meet this need. The result of constructing housing in this area has been significant. The effort proved decent housing could be a reality in remote geographic areas.

CRITERIA FOR SELECTING PROPOSALS:

Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if a viable tribal work plan identifying eligible applicants, the category of assistance needed, the estimated project cost for each eligible applicant, and a report of prior year accomplishments is submitted and the tribe has high priority ranking eligible applicants for which sufficient funding is available for the needed project or the program has been prioritized by the Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Individual Indians must meet the eligibility requirements contained in 25 CFR Part 256. Requests are made by individuals to the local tribal servicing housing office or to local BIA agencies for repair or new housing assistance. Starting with the most needy, regardless of the category of services required, applicants are served until available funds are exhausted.

15.144 INDIAN CHILD WELFARE ACT-TITLE II GRANTS

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Child Welfare Act; Public Law 95-608, 92 Stat. 3075, 25 U.S.C. 1901.

OBJECTIVES:

To promote the stability and security of American Indian tribes and families by protecting American Indian children and preventing the separation of American Indian families and providing assistance to Indian tribes in the operation of child and family service programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Operation and maintenance of counseling facilities, family assistance (homemaker and home counselors), protective day care, and after school care, recreational activities, respite care, employment of professionals to assist tribal courts personnel, education and training, foster care subsidy programs, legal advice and representation, home improvement programs with the primary emphasis of upgrading unsafe home environments, preparation and implementation of child welfare codes, and providing matching shares for other Federal programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

American Indian children and families.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency or regional representative is recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are contained in 25 CFR Part 23, Subpart C "Grants to Indian Tribes for Title II Indian Child and Family Service Programs." The application must contain the information specified in Section 23.33 "Tribal Government Application Content." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

In most instances, awards can be approved at the agency or regional level. The dollar value of the grant depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs budget formulation process.

Deadlines:

Contact local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 23, Subpart F "Appeals," and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Grants may be renewed indefinitely upon satisfactory performance by the grantee. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are made on an annual basis and the funds remain available until expended by the tribe/grantee.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements are specified in 25 CFR Part 23, Subpart E, Section 23.47 "Reports and Availability of Information to Indians."

Audits:

For awards made under this program, tribes/grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Contracts and Grants) FY 07 \$10,063,000; FY 08 est \$10,974,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$26,449 to \$750,000; \$60,000.

PROGRAM ACCOMPLISHMENTS:

There are over 27,000 referrals each year to the more than 500 Bureau and tribal programs for child abuse and neglect investigations.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 23; 25 CFR 2.

Regional or Local Office:

Information can be secured from the Agency Superintendents and from Regional Directors. See Appendix IV of the Catalog for addresses.

Headquarters Office:

Deputy Director, Office of Tribal Services, Services, Bureau of Indian Affairs, 1849 C Street, N.W., MS 320 SIB, Washington, DC 20240. Telephone: (202) 513-7640.

Web Site Address:

<http://www.doi.gov/bia/ots/otshome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

An Indian Child Welfare Program operated by an Indian tribe in North Carolina.

CRITERIA FOR SELECTING PROPOSALS:

Only applicants who received Indian Child Welfare Act Title II grants in 1994 and who meet the requirements contained in 25 CFR Part 23 will be funded if the program has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.146 IRONWORKER TRAINING PROGRAM**FEDERAL AGENCY:**

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309.

OBJECTIVES:

To provide ironworker vocational training, apprenticeships, and job placement to eligible American Indians through the National Ironworkers Training Program, located in Broadview, Illinois.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible American Indians who reside on or near an Indian reservation may receive vocational training in ironworking and assistance with job placement upon completion of the program.

Applicant Eligibility:

Applicants must be an American Indian who is a member of a Federally Recognized Indian Tribe, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indian who is a member of a Federally Recognized Indian Tribal Government, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Part 26.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should apply for program services on Bureau of Indian Affairs Form BIA-8205 at the nearest Bureau Employment Assistance office or tribal government office.

Award Procedure:

Individual applications are processed at the Bureau agency or tribal contract offices to determine eligibility.

Deadlines:

None.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Appeals may be made to the Bureau of Indian Affairs' Agency Superintendent or to the Regional Director. The complete appeal process for an individual is found in 25 CFR, Part 2, "Appeals of Administrative Actions." Appeals of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance for Ironworker training is not to exceed 12 weeks. Payments for subsistence and related training costs are released as required by beneficiary. Job placement assistance is provided upon completion of training.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available (program not funded); and FY 09 est not available.

Range and Average of Financial Assistance:

Students receive \$185 per week for the duration of the program for room and board and miscellaneous expenses. Work clothes and tools are also provided.

PROGRAM ACCOMPLISHMENTS:

Over 120 individuals have participated in this program; over 80 completed their training and over 60 of these individuals were subsequently employed as ironworkers.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26.

Regional or Local Office:

Applications may be filed at the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog. For direct contact: Mr. Russell Geishwond, Director, National Ironworkers Training Program for American Indians, 1819 Beach Street, Broadview, Illinois 60153. Telephone (708)345-2344.

Headquarters Office:

Office of Indian Energy and Economic Development, Division of Workforce Development, Assistant Secretary of Indian Affairs, 1951 Constitution Ave., N.W., MS-20-SIB, Washington, DC 20245. Contact Lynn Forcia. Telephone (202)219-5270.

Web Site Address:

<http://www.doi.gov/bia/ecodev/index.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.108, Indian Employment Assistance; 15.114, Indian Education_Higher Education Grant Program; 15.850, Indian Arts and Crafts Development; 15.060, Indian Vocational Training_United Tribes Technical College.

EXAMPLES OF FUNDED PROJECTS:

This program provides vocational training and job placement in ironworking to individuals.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for both vocational training and direct employment participants. Final determination to fund an application is based on the individual's eligibility.

15.147 TRIBAL COURTS-TRUST REFORM INITIATIVE

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; American Indian Trust Fund Management Reform Act of 1994, Public Law 103-412, 25 U.S.C. 4001 et seq.

OBJECTIVES:

To provide grant funds to Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115--Trust Funds for Individual Indians Money accounts.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Under 25 CFR Part 115, tribal courts may assume responsibility for appointing guardians, determining competency, awarding child support from Indian Individual Money (IIM) accounts, determining paternity, sanctioning adoptions, marriages and divorces, making presumptions of death. Funds under this program may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, and other court personnel. However, funds provided are specifically made available to tribal courts that assume the additional responsibility under 25 CFR Part 115 and are not intended to be used as general operating funds for a judiciary and may not be reprogrammed for other tribal uses.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments that operate a tribal judicial branch which has assumed the increased responsibilities required by 25 CFR Part 115 and its members.

Credentials/Documentation:

Application must be accompanied by a Certification of the governing body of the Federally Recognized Indian Tribal Government attesting to the fact that: (1) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (2) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate, and (3) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A notice of fund availability will be published in the Federal Register to

announce the availability of grant funds, the amount of funding available and the requirements for eligibility including a deadline for filing applications. An application must be submitted on the form entitled "Application for Federal Assistance," (Standard Form 424, Rev 7-97). The Federally Recognized Tribal Government will be required to provide a certification as a response to Item #11 "Descriptive Title of Applicant's Project", in the SF-424, that the threshold requirements for the grant funds are met. Applications will be submitted to the Headquarters Office.

Award Procedure:

Funds will be distributed to tribal courts based on the cost per case as determined by the data submitted on SF 424 by all qualified applicants.

Deadlines:

Contact the Headquarters Office for application deadline.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may appeal the decision under the regulations contained in 25 CFR Part 2.

Renewals:

Grants will be awarded on an annual basis. An application will need to be submitted each year to qualify to receive grant funds, until it is determined that the fund will be awarded as a part of the permanent tribal base.

Formula and Matching Requirements:

Formula and Matching Requirements: The program has no statutory formula. In partnership with tribes the Bureau of Indian Affairs developed a distribution formula to allocate the funds among qualified applicants. Funds will be distributed to tribal courts based on the cost per case as determined by the data submitted on SF 424 by all qualified applicants. The amount each qualified applicant will receive may vary from year to year depending on the total number of cases projected the amount available for grant awards under this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Awards are made on an annual basis and the funds remain available until expended by the grantee. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the grantee, a report at the end of the fiscal year reconciling the Supervised IIM account case load will also be required.

Audits:

For awards made under the program, grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Total Amount of Awards) FY 07 est not available, FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$3,000 to \$216,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 276; 25 CFR Part 2; 25 CFR Part 115.

Regional or Local Office:

Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Tribal Services, Bureau of Indian Affairs, MS 320-SIB, 1849 C Street, NW., MS 320-SIB Washington, DC 20240. Contact: Ralph Gonzales. Telephone: (202) 513-7641. Use the same number for FTS.

Web Site Address:

<http://www.doi.gov/bia/ots/otshome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

15.029, Tribal Courts.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All qualified applicants will be funded. A qualified applicant is a tribal government submitting an application for funding by the deadline specified for a tribal court meeting the following threshold requirements: (1) The tribal government has enacted the codes necessary for the tribal justice system to carry

out its responsibility under 25 CFR Part 115; (2) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (3) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate; and (4) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government.

15.148 TRIBAL ENERGY DEVELOPMENT CAPACITY GRANTS

(TEDC)

FEDERAL AGENCY:

OFFICE OF INDIAN ENERGY AND ECONOMIC DEVELOPMENT,
BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Title XXVI (Indian Energy) of the Energy Policy Act of 1992, as amended (25 CFR, Section 3502(a)(2)(A)).

OBJECTIVES:

To provide development grants to Indian tribes for use in developing and sustaining the managerial and technical capacity needed to develop their energy resources, and to properly account for resulting energy production and revenues. Proposals from tribes should strive to achieve the following stated goals: Evaluated the type and range of energy development activities that a tribe may want to assume under a TERA; Determine the current level of scientific, technical, administrative, for financial management capacity of the tribe to assume responsibility for the identified development activities; and determine which scientific, technical, administrative, or financial management capacities need enhancement and what process and/or procedures the grantee may use to eliminate these capacity gaps.

TYPES OF ASSISTANCE:

Direct payments for specified use.

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government.

Applicant Eligibility:

Federally recognized Indian tribal governments.

Beneficiary Eligibility:

Federally recognized tribal governments and their members.

Credentials/Documentation:

Application for financial assistance must contain a formal signed resolution of the governing body of the tribe; a proposal describing the planned activities and deliverable products; and a detailed budget estimate.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must meet all requirements published in the Federal Register notice and be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, DC 20245.

Award Procedure:

Recommendations from an IEED technical evaluation committee must be approved by the Director, IEED.

Deadlines:

Due 60 days after announcement of grant availability in the Federal Register.

Range of Approval/Disapproval Time:

30 to 45 days.

Appeals:

An applicant with a rejected TEDC proposal may appeal the denial of the application to the Department of the Interior's Board of Indian Appeals or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

The TEDC program cannot award multi-year funding for a project. Funding available for building energy development capacity is subject to annual appropriations by Congress and therefore IEED can only consider single-year projects.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. The timing of payments will be negotiated by the Regional Office, Contract Officer, and the recipient.

Reports:

During the life of the TEDC project, Financial Status Reports and quarterly progress reports are to be submitted to the IEED project coordinator assigned to the project. The beginning and ending quarter periods are based on the actual start date of the TEDC project. This date can be determined between the IEED project coordinator and the tribe. The quarterly report can be a one to two page summary of events, accomplishments, problems and/or results that took place

during the quarter. Quarterly reports are due two weeks after the end of the a project's fiscal quarter. For Final Report, the tribe must deliver all products and data generated by the proposed assessment project to IEED through the TEDC project coordinator within two weeks after completion of the project.

Audits:

All non-Federal entities that expend \$500,000 or more of Federal awards in a year (\$300,000 for fiscal year ending on or before December 30, 2003) are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996 and OMB Circular A-133. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-302.

Obligations:

FY 07 \$374,773; FY 08 \$1,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations. In FY 07 awards were limited to \$50,000.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published in Federal Register Notice annually.

Regional or Local Office:

Regional Office Contract Officer.

Headquarters Office:

IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, D.C. 20245, Phone: (202)219-0740, Fax: (202) 208-4564.

Web Site Address:

None.

RELATED PROGRAMS:

Energy and Mineral Development Program.

EXAMPLES OF FUNDED PROJECTS:

Chippewa Cree Tribe of Rocky Boys Reservation - Tribal Energy Resource Development Plant; Passamaquoddy Tribe of Pleasant Point - Development of tribal governmental development entity and skills needs assessment; Prairie Band Potawatomi - Develop energy vision, viable energy development options, and action plan.

CRITERIA FOR SELECTING PROPOSALS:

The proposal ranking criteria factors are as follows: (1) Resource potential; (2) Energy development history and current status; (3) Existing energy development capabilities; (4) Intent to develop and retain energy development capacity within tribal government or business entities; (5) Demonstrated willingness to develop independent energy development business entity; and (6) Tribal commitment to staff, training, or monetary resources.

15.214 NON-SALE DISPOSALS OF MINERAL MATERIAL

(Free Use of Mineral Material)

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Materials Act of 1947, Public Law 80-291, 30 U.S.C. 601-604, 611, as amended.

OBJECTIVES:

To permit free use of certain mineral material from federally owned lands under the jurisdiction of the Bureau by governmental units and nonprofit organizations.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Free use permits are granted to governmental units without regard to number of permits or value of mineral material, provided the mineral material is for use on a public project. Permits are granted to nonprofit organizations for mineral material not to exceed 5000 cubic yards during any period of twelve consecutive months. All free-use mineral material must be extracted in accordance with approved conservation practices, terms, and conditions that provide for protection of environment and rehabilitation of any damage caused by mineral material extraction.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Any Federal or State agency, unit, or subdivision, including municipalities,

where material will be used for public project; or any nonprofit association or corporation. A free use permit will not be issued upon the determination that the applicant owns or control an adequate supply of suitable mineral materials that are readily available and can be mined in a manner which is economically and environmentally acceptable. Mineral material obtained under a free use permit may not be bartered or sold.

Beneficiary Eligibility:

Federal or State agencies, units, or subdivisions, including municipalities, where material will be used for public project; or any nonprofit association or corporation.

Credentials/Documentation:

Evidence of eligibility, i.e., nonprofit status, delegation of authority for signing official to take such action, surety bond, etc.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

This program may require submission of an environmental impact statement and/or performance bond. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit application on Form 5510-1 to the proper Bureau of Land Management office.

Award Procedure:

If mineral material is available, applicant is qualified and application does not conflict with other management practices or uses, application is approved and one copy of the executed form is returned to the applicant. Environmental analysis is accomplished and environmental impact statement is prepared if necessary.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies. Term of permit not to exceed 10 years to any Federal or State agency, unit, or subdivision, including municipalities. Permits to nonprofit organizations are not to exceed 1 year.

Appeals:

Appeals may be made to the Board of Land Appeals, Office of Hearings and Appeals as specified in 43 CFR Part 4 et seq.

Renewals:

Any free use permits may be extended for a single additional period not to exceed 1 year.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Annual production report.

Audits:

Must be available if requested to confirm production.

Records:

None.

FINANCIAL INFORMATION:

Account Identification:

14-1109-0-1-302.

Obligations:

(Free-Use Permits Issued) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

This information is available in the Public Land Statistics Publication which can be found in most public libraries.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3620; 43 CFR Part 4.

INFORMATION CONTACTS:

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Team Leader, Use Authorization Team, Bureau of Land Management, Department of the Interior, Washington, DC 20240. Telephone: (202) 452-0350.

Web Site Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.222 COOPERATIVE INSPECTION AGREEMENTS WITH STATES AND TRIBES

(Section 202 Agreements)

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, Title II, Section 202, 30 U.S.C 1732.

OBJECTIVES:

To provide for the Bureau of Land Management (BLM) to enter into cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance to be used only for costs directly required to carry out the agreed upon activities as described in the signed agreement.

Applicant Eligibility:

Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States may enter into a cooperative agreement for inspection of tribal oil and gas leases with the permission of the Tribe.

Beneficiary Eligibility:

Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States that have tribal permission to enter into cooperative agreements to conduct inspections on tribal oil and gas leases.

Credentials/Documentation:

None. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

States or Tribes may request oil and gas inspection information necessary to prepare a proposal. The standard application form as furnished by the Federal agency and required by 43 CFR, Subpart C, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Completed SF 424, Application for Federal Assistance; 424A, Budget Information - NonConstruction Programs; and 424B, Assurances - Nonconstruction Programs and proposal must be submitted to the appropriate BLM State Director. The proposal must include a description of the type and extent of inspection activities proposed under the agreement, the number of proposed inspectors, the proposed period or time the agreement will be in effect; and the name, address and telephone number of the State or Tribal contact.

Award Procedure:

Within 30 days of receipt of the proposal BLM will determine if the application is complete. If the application is acceptable, a start date will be given for negotiating the agreement. Negotiations will be carried out by the appropriate Field Office of the BLM.

Deadlines:

None.

Range of Approval/Disapproval Time:

Within 30 days the District or Resource Area Office will notify the State or Tribe if the application is complete and acceptable.

Appeals:

Not applicable.

Renewals:

Agreements may be renewed with the consent of the BLM and the State or Tribe.

Formula and Matching Requirements:

As provided in 43 CFR 3190.2-2, funding will be up to 100 percent of allowable cost.

Length and Time Phasing of Assistance:

Funding shall be at least quarterly, upon submission of invoice or request for reimbursement.

Reports:

As specified in the agreement.

Audits:

For awards made under this program, grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.), and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records are to be maintained for a period of 6 years or as specified in the agreement.

Account Identification:

14-1109-0-1-302.

Obligations:

(Grants) FY 07 \$1,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$664 to \$260,000; \$67,877.

PROGRAM ACCOMPLISHMENTS:

To date, Tribal Oil and Gas Inspectors conducted 655 Inspections resulting in 394 violations being detected.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3190; 43 CFR 3192.

Regional or Local Office:

See Catalog Appendix IV for list of BLM offices.

Headquarters Office:

Assistant Director, Resource Use and Protection (300), Department of the Interior, Washington, DC 20240. Telephone: (202) 208-4201.

Web Site Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

To date there are four funded inspection agreements with Tribes.

CRITERIA FOR SELECTING PROPOSALS:

Availability of funding and inspection workload.

15.224 CULTURAL RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Federal Land Policy and Management Act, Public Law 94-579; Archaeological Resources Protection Act, Public Law 96-95; National Historic Preservation Act of 1966, Public Law 89-665, as amended.

OBJECTIVES:

To manage and protect cultural resources on the public lands and to increase public awareness and appreciation of these resources. Most of these lands are located in the Western United States and Alaska.

TYPES OF ASSISTANCE:

Project Grants; Sale, Exchange, or Donation of Property and Goods; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training; Investigation of Complaints.

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for cooperation in managing cultural resources, e.g., stabilization of structures and public contact/education or for improving information about cultural resources, consistent with needs identified in BLM planning documents. No regular discretionary fund is available but is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Offers of Assistance are coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and other Nonprofit Organizations," must be used by this program.

Award Procedure:

Projects are reviewed at BLM State Office level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the Washington Office.

Deadlines:

None.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no matching requirements except for Challenge Cost-Share and Cooperative Conservation Initiative projects.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but will probably take place at least once during the life of a project.

Audits:

Projects will be audited at least once during life cycle. Grants awarded to educational institutions and nonprofit organizations are subject to the audit requirements of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations". In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained as required by in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administration Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 est not available; FY 08 est \$3,350,000; and FY 09 est \$9,750,000.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

BLM's cultural resources program continues its efforts to promote Heritage Education through partnerships and its Adventures in the Past initiative. BLM worked with over 20 university archaeology field schools to train students in archaeological methods, worked to identify almost 7,000 new cultural properties, and developed interpretive signing for over 300 properties. BLM uses challenge cost-share and Cooperative Conservation Initiative grants whenever possible on projects located on lands managed by the Federal Government, to enhance cultural and heritage resource management on Public Lands. Projects are restricted to lands administered by BLM in the Western United States and Alaska.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's cultural resource management program is basically guided by the provisions in 43 CFR Part 3, 43 CFR Part 7, 36 CFR Part 60 and 36 CFR Part 800. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for cultural resources (8100) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Group Administrator, Cultural and Recreation Group, Bureau of Land Management (WO 340), 1849 C St., NW., Washington, DC 20240. Telephone: (202) 452-0330.

Web Site Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative agreements with universities to conduct management-focused archaeological field schools and scholarly research on public lands located in the Western United States and Alaska and cooperative agreements to produce education materials enhancing public awareness and appreciation of cultural resources.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit and cost effectiveness.

15.225 RECREATION RESOURCE MANAGEMENT**FEDERAL AGENCY:**

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act, Public Law 94-579.

OBJECTIVES:

To manage recreational resource values on the public lands administered by the Bureau of Land Management and to increase public awareness and appreciation of these values.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by the BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping the BLM manage and/or upgrade recreational resources and related facilities, and in providing related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Coordinate cooperative project proposals with BLM State or District Offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Offers of Assistance are coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

None.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has matching requirements under the challenge cost-share program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project.

Audits:

Projects will be audited at least once during life cycle. Grants awarded to educational institutions and nonprofit organizations are subject to the audit requirements of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations". In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend

less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$3,500,000; FY 08 est \$4,340,000; and FY 09 est \$5,950,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$500 to \$250,000. Average amounts run about \$10,000 or less.

PROGRAM ACCOMPLISHMENTS:

BLM continues to maintain and expand partnerships in the Tread Lightly, Leave No Trace, Interpretive programming, Recreational Fishing, corridor management (rivers and trails), and numerous travel and tourism activities. BLM uses challenge cost share and cooperative conservation initiative grants whenever possible on projects of mutual benefit to rural communities, counties, and cities in the western United States for management of Public Lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's recreation program is generally guided by provisions in 43 CFR Part 8000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 8300 and 8400) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Group Manager, Recreation Group, Bureau of Land Management (WO 250), 1849 C St, NW., 302 LS, Washington, DC 20240- 9998. Telephone: (202) 452-5041. Please contact the specific BLM State Office where you wish to apply.

Web Site Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of recreation facilities on BLM managed lands; cooperative visitor services to the general public using BLM managed lands; agreements to manage public land resources cooperatively; and assistance agreement to promote more effective management of caves on Public Lands.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM land management and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.226 PAYMENTS IN LIEU OF TAXES

(PILT)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Payment for Entitlement Land, Public Law 97-258, as amended, 31 U.S.C. 6901-6907.

OBJECTIVES:

To compensate local taxing units (usually County governments) for the loss of taxes from Federally-owned and acquired lands. Payment amounts are determined by several codified formulas (U.S.C. 6901-6907) and is designed to supplement other Federal land revenue sharing payments that local units may be receiving.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Payments made under Section 6902 of the Law may be used for any governmental purpose. Payments made under Sections 6904 and 6905 must be distributed to units and school districts that lost real property taxes because of the acquisition of lands by the Federal government.

Applicant Eligibility:

Local units containing eligible acres of PILT entitlement land as defined in Section 6901 will receive automatic payment distribution as computed in accordance with Section 6903 of the Law.

Beneficiary Eligibility:

County governments in which Federal lands are located or have been acquired

for purposes defined under Objectives.

Credentials/Documentation:

Payments under Section 6902 are computed based on: 1) the number of acres of PILT entitlement land owned or administered by the Federal government, 2) the amount of prior year payments received by the unit under other Federal programs, and 3) the population of the unit as reported by the U.S. Census Bureau. Payments under Section 6904 and Section 6905 are computed based on: 1) the fair market value of the acquired land, and 2) the amount of real property taxes paid on the land in the year prior to Federal acquisition. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Automatic distribution is made as authorized by 31 U.S.C. 6901-6907. No application is necessary. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Payments under Section 6902 are computed based on: 1) the number of acres of PILT entitlement land owned or administered by the Federal government, 2) the amount of prior year payments received by the unit under other Federal programs, and 3) the population of the unit as reported by the U.S. Census Bureau. Payments under Section 6904 and Section 6905 are computed based on: 1) the fair market value of the acquired land, and 2) the amount of real property taxes paid on the land in the year prior to Federal acquisition. There are no matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

The Governor of each State is required under Section 6903 to submit audited or certified information to the authorized officer for the PILT program on the amounts of payments received by the units in the prior year under other Federal programs as detailed in Section 6903.

Records:

None.

Account Identification:

14-1114-0-1-806.

Obligations:

(Grants) FY 07 \$198,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Not applicable.

Headquarters Office:

PILT Specialist, Office of Budget, Department of the Interior, 1849 C St N.W., (Room 4012), Washington, DC 20240. Telephone: (202) 208-3157. FTS is not available.

Web Site Address:

<http://www.doi.gov/budget/pilt>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.227 DISTRIBUTION OF RECEIPTS TO STATE AND LOCAL GOVERNMENTS

(Revenue Sharing, Public Lands and Resources)

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Mineral Lands Leasing Act, 30 U.S.C. 191, 275, 286 and 355, Public Law 85-88, as amended; Taylor Grazing Act, 43 U.S.C. 315i and 315m, Section 15; as amended, 48 Stat. 1275; Executive Orders 10322, 10787, 10890, and 10046, Section 15; Taylor Grazing Act, 43 U.S.C. 315i, and 315m, Section 15, 43 U.S.C. 315b, Section 3; 48 Stat. 1270 and 1275; The Department of the Interior and Related Agencies Appropriations Act of 1981, 42 U.S.C. 6508, Public Law 96-514, 94 Stat. 2964; Outer Continental Shelf Lands Act, 43 U.S.C. 1337, 67 Stat. 462, as amended; Lake Tahoe Basin-U.S. Lands-Disposal and Acquisition, Sections 1 and 2, Public Law 96-586, 94 Stat. 3381-3382; Federal Land Policy and Management Act of 1976, Title I, Section 102, Public Law 94-579, 90 Stat. 2744; Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901, Public Law 105-263, 90 Stat. 2343; Lincoln County Land Act of 2000, Public Law 106-298; 30 U.S.C. 230, 62 Stat. 576; 65 Stat. 248; Public Buildings Omnibus Act, 30 U.S.C. 603; Money and Finance, 31 U.S.C. 711 and 717, Public Law 97-258, 96 Stat. 889 and 893; National Reclamation Law, 43 U.S.C. 391, 32 Stat. 388; Chapter 139, 18 Stat. 474; 58 Stat. 745; 65 Stat. 252; Department of the Interior and Related Agencies Appropriations Act of 1993, Title I, Public Law 102-381, 106 Stat. 1378; Federal Land Transaction Facilitation Act, Title II, Public Law 106-248, 106 Stat. 613; Public Law 85-569, 7 U.S.C. 1012, 72 Stat. 348; Federal Land Policy and Management Act of 1976, Title II, Section 213, Public Law 94-579, 90 Stat. 2760, Mineral Revenue Payments Clarification Act of 2000, Title V, Public Law 106-393.

OBJECTIVES:

By various Laws, the Bureau of Land Management (BLM) shares revenue with State, county, and local governments from fees charged for sale or use of public lands, minerals, and vegetation. Payment amounts are determined by several codified formulas and supplements other Federal land revenue sharing payments that local units may be receiving. Payments may be used for any governmental purpose as specified in the various Public Laws.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Are specified in the various Public Laws.

Applicant Eligibility:

No application is necessary. Local units which generate revenue from public land and resources will receive automatic payment distribution as computed in accordance with the Law for that distribution.

Beneficiary Eligibility:

State and county governments in which Federal lands and minerals are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

None. The distributions are automatic and are computed based on receipts.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Automatic distribution is made as authorized by the various Laws for that distribution subject. No application is necessary.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Distributions are made in accordance with the various Public Laws. There are no matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-1110-0-2-806.

Obligations:

FY 07 \$256,733,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not Applicable.

Headquarters Office:

Distribution of Receipts Specialist, Bureau of Land Management BC- 621
Building 50, Denver Federal Center, Denver, CO 80225. Telephone: (303) 236-7135.

Web Site Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.228 NATIONAL FIRE PLAN - WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas:
Provide community programs that develop local capability including; assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training, protective clothing and equipment purchase, and mitigation methods on a cost share basis.

TYPES OF ASSISTANCE:

Project Grants: Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Coordinate cooperative project proposals with BLM State or District Offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Wildland Urban Interface Community Assistance is coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

None.

Range of Approval/Disapproval Time:

Wildland Urban Interface community assistance and rural fire projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program may include matching requirements, for example: Rural fire departments must have the capability to meet cost share at a minimum of 10 percent, which may include in-kind services.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project.

Audits:

Projects will be audited at least once during life cycle. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

FY 07 \$8,100,000; FY 08 est \$7,360,000; and FY 09 est \$1,757,000.

Range and Average of Financial Assistance:

\$1,000 to \$50,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Community Protection and Assistance, Planning-Resources Group, Bureau of Land Management (FA-130), National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5150.

Web Site Address:

<http://www.blm.gov/nhp/index.htm>; <http://www.nifc.gov>.

RELATED PROGRAMS:

15.242, National Fire Plan - Rural Fire Assistance.

EXAMPLES OF FUNDED PROJECTS:

(1) Assessment and planning for reducing wildland fire risks to communities and their associated watersheds and natural resources. (2) Hazard fuel reduction activities and projects that mitigate wildfire hazards to communities and natural resources. (3) Implementation of programs, workshops or training that distribute educational materials and develop partnerships between stakeholders in wildland urban interface communities. (4) Assistance and technical transfer of information that promotes homeowner's and rural fire department's action to implement community wildfire mitigation activities. (5) Wildland fire assistance to rural fire departments through mitigation education, training, and providing necessary safety equipment.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their ability to reduce the risk of wildland fire to community values and to increase the capabilities of rural fire organizations, in the vicinity of Federal lands.

15.229 WILD HORSE AND BURRO RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Wild Free-Roaming Horses and Burros Act of 1971, Public Law 92-195, as amended; Federal Land Policy and Management Act of 1976, Public Law 94-579; Public Rangelands Improvement Act of 1978, Public Law 95-514.

OBJECTIVES:

The goal of the Wild Horses and Burro Resource Management program is to achieve and maintain healthy, viable wild horse and burro populations on the public lands.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Projects are designated to manage and protect wild free-roaming horses and burros to achieve and maintain a thriving natural ecological balance on the public lands. Project assistance can be used in researching for improved censusing techniques and fertility control methods; and adopting excess animals removed from the range.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Coordinate cooperative project proposals with BLM State or District Offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Offers of Assistance are coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

None.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory matching funds requirement, however, applicants offering matching funds are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project.

Audits:

Projects will be audited at least once during life cycle. Grants awarded to educational institutions and nonprofit organizations are subject to the audit requirements of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations". In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is

no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$1,490,093; FY 08 est \$2,950,000; and FY 09 est \$5,700,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$10,000 to \$400,000. Average amounts run about \$60,000 or less.

PROGRAM ACCOMPLISHMENTS:

The BLM has made significant progress in achieving appropriate management levels of wild horses and burros on public lands. The BLM implemented close coordination with its advisory board and interested non-profits to gain efficiencies in the adoption program. BLM is continuing the investigation of fertility control, which has shown promise as a population control tool.

REGULATIONS, GUIDELINES, AND LITERATURE:

The wild horse and burro program is generally guided by provisions in 43 CFR Part 4700. BLM Manuals and Handbooks 4700 - 4770 provide basic program operational guidance for the wild horses and burro program and may be obtained by accessing the BLM library through the internet.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Group Manager, Wild Horses and Burros Group, Bureau of Land Management (WO 260), 1849 C St, N.W., 402 LS, Washington, DC 20240-9998. Telephone: (202) 452-5073. Please contact the specific BLM State Office where you wish to apply.

Web Site Address:

<http://www.wildhorseandburro.blm.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of the National Wild Horse and Burro Foundation working in partnership with the Bureau of Land Management to ensure healthy wild horses and burros through the successful placement of excess animals for future generations. BLM's continued research into population level fertility control along with research on population census techniques. Cooperative program with the States to provide for long-term holding of excess wild horses on private lands.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM's management of wild horse and burro herds and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.230 INVASIVE AND NOXIOUS PLANT MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF INTERIOR
AUTHORIZATION:

Federal Noxious Weed Act of 1974, 7 U.S.C. 2814; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended, Public Law 105-277, Section 135; and Executive Order 13112, Invasive Species, February 3, 1999.

OBJECTIVES:

To encourage interested State and local governments and Federal agencies to work together to inventory, manage, educate, reduce the spread of, and prevent the further invasion and establishment of noxious, invasive weeds, and other species. These entities will develop and implement Integrated Pest Management Plans to develop and implement projects that foster consultation and cooperation among stakeholders, interested parties, and the public and to organize, finalize, and develop projects to implement integrated pest management plans for invasive species within a specific geographic area.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Funds may be used on public, State county, and private lands for approved projects that prioritize and target undesirable plant species or group of species to be controlled or contained within a specific geographic area; describe the integrated pest management system to be used to control or contain the targeted undesirable invasive species or groups of species; and detail the means of implementing the integrated pest management system, define the duties of the Federal agency and the State agency in prosecuting that method, and establish a timeframe for the initiation and completion of the tasks specified in the

integrated management system.

Applicant Eligibility:

State and local governments.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standards Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposals should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirements. However, applicant's matching funds are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annually, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$2,718,000; FY 08 est \$2,800,000; and FY 09 est \$2,900,000.

Range and Average of Financial Assistance:

The previous fiscal year average was about \$20,000.

PROGRAM ACCOMPLISHMENTS:

The State and the Bureau of Land Management have worked together with private and non-federal landowners to prevent, contain, and control the spread of noxious weeds. Because the plants move rapidly from one owner's land to another, joint efforts to eradicate, contain or control noxious weeds reduces adverse impacts on public and private lands and reduce costs to all land owners.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management invasive and noxious plant management program can be found at <http://www.blm.gov/wo/st/en/prog/more/weeds.html>.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Rangeland Resources, Bureau of Land Management, 1849 C Street, MS: 201LS, Washington, DC 20240. Telephone: (202) 452-5084.

Web Site Address:

For general information see website

<http://www.blm.gov/wo/st/en/prog/more/weeds/html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past projects funded encouraged the State and the Bureau of Land Management to work together to control, manage and eradicate undesirable invasive species on Federal, State, and private lands. By working together entire areas are treated to control and prevent the spread of noxious or undesirable plants and other invasive species within the close proximity of public lands.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.231 FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act, as amended, Public Law 94-579; The Endangered Species Act of 1973, as amended, Public Law 93-205; Sikes Act, Public Law 93-452; Fish and Wildlife Conservation and Water Resources Developments Coordination Act, as amended, Public Law 85-624; Oceans Act of 1992, Public law 102-587; The Wyden Amendment, 16 U.S.C. 1011(a), as Amended by Public Law 105-277, Section 136.

OBJECTIVES:

To manage fish, wildlife and plant conservation resources on the public lands administered by the Bureau of Land Management.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance fish, wildlife, and plant conservation resources and to provide related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Coordinate cooperative project proposals with BLM State or District/Field Offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Offers of Assistance are coordinated by Bureau State and District/Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or District/Field Office Manager.

Deadlines:

None.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory matching funds requirement, however, applicants offering matching funds are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once annually and upon completion a final project report.

Audits:

Projects will be audited at least once during life cycle. Grants awarded to educational institutions and nonprofit organizations are subject to the audit requirements of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations". In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$4,000,000; FY 08 est \$2,274,000; and FY 09 est \$3,125,000.

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to \$100,000. Average amounts run about \$10,000 or less.

PROGRAM ACCOMPLISHMENTS:

BLM continues to maintain and expand partnerships in the management of fish, wildlife and botany resources. BLM uses challenge cost share and cooperative conservation initiative grants whenever possible on projects of mutual benefit to rural communities, counties, and cities in the western United States for management of Public Lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's fish, wildlife and botany program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 6500) and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Group Manager, Fish, Wildlife and Botany Group, Bureau of Land Management (WO 230), 1849 C St, N.W., LSB 204, Washington, DC 20240-9998.

Telephone: (202) 452-5133. Please contact the specific BLM State Office where you wish to apply.

Web Site Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded include vegetation, rare and threatened and endangered plants and botanical surveys; fish and wildlife survey and monitoring; habitat use and condition surveys; habitat improvement or protection projects.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM land management and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.232 WILDLAND FIRE RESEARCH AND STUDIES PROGRAM

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act, as amended, Public Law 94-579; The Consolidated Appropriations Act of 2005, Division E, Department of the Interior and Related Appropriations Act of 2005, Title I and Title 4, Public Law 108-447. The Joint Fire Science Program authorization is in the Department of the Interior and Related Agencies Appropriation Act of 1998, Public Law 106-291 and funded yearly.

OBJECTIVES:

To encourage interested parties to perform research and studies pertaining to wildland fire and resource management, to develop products and tools for all levels of decision making to meet the objectives of the National Fire Plan, and to seek information to improve decision making in wildland fire management. In addition to generally funded wildland fire research and studies there are two separate research programs; Climate applications with the National Climate Data Center: The purpose of the program is to develop products and tools for wildland fire resource management utilizing the climate data archives of the National Climate Data Centers. The National Climate Data Center is authorized to describe the climate of the United States. For example, the Western Regional Climate Center is one of the six regional centers. Western Regional Climate Center is the archive of interagency fire weather station observations. The objectives for the National Climate Data Center and its regional centers are to perform basic climate and ecosystem studies pertaining to wildland fire and resource management, to develop climate and ecosystem products and tools for all levels of decision-making. The Joint Fire Science Program: This program seeks research proposals that respond to its announcements for proposals that seek information on seven critical wildland fire and fuels issues: These issues are: Wildland fuels and inventory mapping; Effects of fuels treatments; Scheduling of fuels treatments; Monitoring and evaluation of fuels treatments; Evaluating post fire stabilization and rehabilitation projects; Aircraft-based remote sensing; and Regional and local offices.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for approved projects that address wildland fire management needs of federal and local government land managers. Joint Fire Science Program applicants must clearly identify a federal cooperator. Successfully awarded projects can only be funded through a federal cooperator.

Applicant Eligibility:

Anyone/General Public

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative project proposals with program leaders and the local State or District Officers. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, a budget breakdown, and any other requirements specified in the funding opportunity announcement. No State Plan is required with this application.

Award Procedure:

Projects are reviewed at the National and State level and funding recommendations are made through National and State's annual work plan. Final budget approvals rest with the National Office and State Director. For the Joint Fire Science Program all applications are peer-reviewed. The ten person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions.

Deadlines:

The deadline will be published in the Grants.gov announcement. Applications for projects for the Joint Fire Science program will also be posted at www.firescience.gov generally in the fall of each year.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 to 180 days or less after the announcement closes.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirements. However, the applicant's matching funds are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year. For the Joint Fire Science Program, projects are generally funded for no more than three years. Most projects funded are awarded in one lump sum at the start of the project; however some projects are occasionally funded year-by-year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end

date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1125-0-1-302.

Obligations:

FY 07 \$231,000; FY 08 est \$230,000; and FY 09 est \$230,000.

Range and Average of Financial Assistance:

The previous fiscal year average was about \$60,000 and for the Joint Fire Science Program was \$350,000.

PROGRAM ACCOMPLISHMENTS:

Program accomplishments provided new information and tools to improve decision-making in wildland fire management. Program accomplishments include Climate and Ecosystem studies that benefit fire and resource management programs both directly and through the inherently interagency nature of many of the climate-related projects. Projects have had direct benefit to, among others, fire management, Predictive Services, meteorologists, fuels analysts, intelligence officers, fire behavior analysts, fire specialists, fire planners, resource managers and State and local government leaders. Specific accomplishments include user access to Western Regional Climate Center Remote Automated Weather System data archive through field-requested queries; lightning climatologies; comparisons of drought indices for fire management; operational 15-day fire danger forecasts; operations of an interagency regional modeling facility; model output statistics products; real-time drought assessment for rangelands; and quality-checked, complete Remote Automated Weather System weather data sets for the Fire Program Analysis effort. The Joint Fire Science Program funded projects are helping to improve the overall effectiveness of wildland fire management by providing the scientific information and tools necessary to integrate fire into land and resource management plans and their implementation. Researchers and managers have communicated results and new tools to on-the-ground users through published papers, field trips with managers, participation in workshops and symposia, training sessions, and the development of Web pages for posting current and relevant information, and other technology transfer media.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the National Fire Plan can be found at website: <http://www.forestsandrangelands.gov/>. Western Regional Climate Center reports and publications pertaining to projects and accomplishments are posted on <http://cefa.dri.edu/>. Joint Fire Science information, including announcements for proposals, project information, and literature can be found at website www.firescience.gov/.

Regional or Local Office:

See Catalog Appendix IV for addresses of BLM State Offices.

Headquarters Office:

Group Manager, Planning and Resources Group, Bureau of Land Management, Office of Fire and Aviation, 3833 S. Development Ave., Boise, ID 83705. Telephone: (208)387-5153. For the Joint Fire Science Program: Program Manager, Joint Fire Science Program, 3833 S. Development Ave., Boise, ID 83705. Telephone: (208) 387-5349.

Web Site Address:

For general information see website <http://www.forestsandrangelands.gov/>. For information on the Western Regional Climate Center website see <http://cefa.dri.edu/>. Joint Fire Science Program information may be found at <http://www.firescience.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of Web Access to Remote Automated Weather System Data and Products; Development of US Operational Fire Danger 15-Day Forecasts; Role of Climate in Prescribed Fire; Understanding Drought for BLM Business; Real-time Drought Assessment for Rangelands. Reports are available at <http://cefa.dri.edu/>.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal wildland fire management, qualifications of principal investigator and institution, and a balanced review including relevance to program objectives, merit and cost effectiveness. Joint Fire Science Program proposals will be reviewed for relevancy in relation to the posted announcement for proposals task statement, scientific methods and study design, science deliverables, collaboration/leverage, and administrative adequacy. Successful

proposals will provide a strong science delivery and application plan that clearly demonstrates the use of both passive and active technology transfer methods.

15.233 FORESTS AND WOODLANDS RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737.(b), Public Law 94-579, as amended; and Stewardship End Result Contracting Projects, 16 U.S.C. 2104, Public Law 108-7, Consolidated Appropriation Resolution, 2003, Division F, Title III, Section 323 as amended Public Law 105-277, Department of the Interior and Related Agencies Appropriations Act, 1999, Division A, Section 101(e), Title III, Section 347, as amended Public Law 106-113, Making Consolidated Appropriations For the Fiscal Year Ending September 30, 2000, and For Other Purposes, Division B, Section 1000(a)(3), Title III, Section 341 and Public Law 107-63, Department of the Interior and Related Agencies Appropriations Act, 2002, Title III, Section 332.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of forests on public lands. Improvements will include the maintenance of forest health, forest regeneration, restoration, rehabilitation, insect and disease control, forest development, implementation of stewardship projects and providing for biomass utilization of public lands.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Projects are limited to forestry projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative forestry project proposals with Bureau of Land Management local State or District office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposals was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirements. However, applicant's matching funds are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using form Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting

period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$45,000; FY 08 est \$500,000; and FY 09 est \$1,000,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$10,000 to \$250,000. Average amounts run about \$100,000 or less.

PROGRAM ACCOMPLISHMENTS:

BLM continues to maintain and expand partnerships to include funding for continued support of collaborative efforts to build community capacity and support for ecological restoration efforts in forest and woodlands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's Forestry program is generally guided by provisions in 43 CFR Part 5000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for Forestry are found in BLM Manuals 500 series and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Mangement State Offices.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., N.W., 204 LS, Washington, DC 20240. Telephone: (202)452-0316.

Web Site Address:

http://www.blm.gov/wo/st/en/prog/more/forests_and_woodland.html.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Stewardship projects that removed vegetation to promote healthy forest stands and reduced fire hazards. Projects that promoted an integrated approach that included local community participation in developing strategies for biomass utilization, recognizing the connections between biomass utilization and forest restoration, and encouraged sustainable development through a variety of enterprises at different and appropriate scales. Projects that cooperatively supported common goals and interests in managing, developing and protecting federal and private land and water resources in an environmentally and economically sound manner.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to Federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.234 SECURE RURAL SCHOOLS AND COMMUNITY SELF-DETERMINATION

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II.

OBJECTIVES:

To restore stability and predictability to the annual payments made to States and counties containing National Forest System lands and public domain lands managed by the Bureau of Land Management for use by the counties for the benefit of public schools, roads, and other purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Project funds shall be expended solely on projects that meet the requirements of Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II. Project funds may be used for the purpose of entering into

and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners for protection of restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the law on Federal land and on nonfederal land where projects would benefit these resources on Federal land.

Applicant Eligibility:

State and local governments, public nonprofit institutions/organizations, other public institution/organization, private nonprofit institution/organization, other private institution/organization and landowners.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written technical and cost proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Title II Project Application Information may be received from the BLM Western Oregon Districts or their websites. No State plan is required with this application.

Award Procedure:

Bureau of Land Management District staff screen applications to ensure they meet project criteria. The projects are then forwarded to the Resource Advisory Committees whose members consider each project for funding and make recommendations to the Designated Federal Officials by September 30 for each fiscal year. Final budget approvals rest with the delegated Bureau of Land Management District Managers.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project. Completed project application forms are due to the Designated Federal Officials by April 15 each year for funding requests in the following year.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Projects are approved by the delegated District Managers at their sole discretion. Within 30 days after making a rejection decision, the District Manager shall notify the Resource Advisory Committee in writing with the reasons for the rejection.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirements. However, applicant's matching funds are encouraged and may be looked upon more favorably by the Resource Advisory Committees.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Funds that are not expended during a particular fiscal year may be carried forward to the next fiscal year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5485-0-1-302; 14-5884-0-1-302; and 14-5984-0-1-302.

Obligations:

FY 07 \$8,500,000; FY 08 \$8,800,000; and FY 09 \$0. The Act sunsetted in FY 07, so no further funds are available to obligate unless the Act is renewed.

Range and Average of Financial Assistance:

Past partnership projects have run between \$4,500 to \$600,000. Average amounts run about \$10,000 or less.

PROGRAM ACCOMPLISHMENTS:

The Secure Rural Schools and Community Self-Determination Act program continues its efforts to make investments in and create additional employment opportunities through projects that improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality. Simultaneously, improve cooperative relationships among the people that use and care for Federal lands and the agencies that manage these lands. Since the implementation of projects in this program in fiscal year 2002, over 1000 projects have been reviewed by the Resource Advisory Committee, with 582 projects recommended to the Designated Federal Officials and subsequently approved. 250 projects have been completed to date and many of the projects are multi-year projects that are funding in phases.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 106-393 and the Resource Advisory Committee Charters basically guide BLM's County Payments Program. For links to the Law and additionally information see website <http://www.blm.gov/or/rac/ctypayhistory.php>.

Regional or Local Office:

County Payments Coordinator (OR931), Oregon State Office, Bureau of Land Management, 333 S.W. 1st Ave., P.O. Box 2965, Portland, OR 97204. Telephone: (503) 808-6451. E-mail: Lindsey.Goetz@blm.gov.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., N.W., 204 LS, Washington, DC 20240. Telephone: (202) 452-0316.

Web Site Address:

<http://www.blm.gov/or/rac/ctypayhistory.php>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Assistance agreements with cooperators, watershed councils, counties to perform road and trail construction, maintenance or obliteration, culvert replacements for fish passage; vegetation and density management, wildfire hazard reduction, stream channel enhancement; watershed restoration; wildlife and fisheries habitat restoration and improvement; control of noxious and exotic plants; and reestablishment of native species.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to approve project proposals are: The project complies with all applicable Federal laws and regulations; the project is consistent with the applicable resource management plan and with any watershed or subsequent plan developed pursuant to the resource management plan and approved by the Secretary of the Interior; the project has been approved by the Resource Advisory Committee in accordance with section 205 of the Act; a project description has been submitted by the Resource Advisory Committee to the Secretary of the Interior; and the project will improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality.

15.235 SOUTHERN NEVADA PUBLIC LAND MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901 Public Law 105-263, as amended; Clark County Conservation of Public Land and National Resources Act of 2002, 16 U.S.C. 460qqq, Public Law 107-282; Department of the Interior and Related Agencies Appropriation Act of 2004, Public Law 108-108, Section 147, Title I, Section 146; Lincoln County Conservation, Recreation and Development Act of 2004, 16 U.S.C. 1241, Public Law 108-424; and White Pine County Conservation, Recreation, and Development Act of 2006, 16 U.S.C. 1241, Public Law 109-432, Division C, Title III.

OBJECTIVES:

To provide for the orderly disposal of certain Federal lands in Clark County, Nevada, and to provide for the acquisition of environmentally sensitive lands in the State of Nevada.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative projects are restricted to the development of parks, trails, and natural areas on lands owned by local governments and units of as designated in Public Law 105-263, as amended, in Clark County, Lincoln County, and White

Pine County, Nevada, and, to the development and implementation of comprehensive, cost-effective, multijurisdictional hazardous fuels reduction and wildfire prevention plans, including sustainable biomass and biofuel energy development and production activities, for the Lake Tahoe Basin (Nevada and California), for the Carson Range in Douglas and Washoe Counties, and Carson City in the State of Nevada, and that are: subject to approval by the Secretary of the Interior; and not more than 10 years in duration; and as further in the Southern Nevada public Land Management Act Implementation Agreement.

Applicant Eligibility:

Local governments and regional government entities within the State of Nevada as specifically identified in Public Law 105-263, as amended.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

For any grants awarded as a component of any project, approved by the Secretary of the Interior, and funded under Public Law 105-263 and subsequent amendments, for any eligible beneficiary entity, all applicable Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after announcement closes. Projects are approved in accordance with Public Law 105-263, as amended, and as further delineated in the Southern Nevada Public Land Management Act Implementation Agreement. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula requirements. However, applicant's matching funds are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal funds will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-5232-0-1-302.

Obligations:

FY 07 \$425,000,000; FY 08 est \$96,000,000; and FY 09 est \$45,000,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$52,800 to \$42,000,000. Average amounts run about \$5,000,000 or less.

PROGRAM ACCOMPLISHMENTS:

Cooperative Agreements with local government and units of local governments, has resulted in project selection for projects focused on wetlands preservation, regional and community park recreation opportunities, multi-use trail systems for pedestrians & equestrians, preservation of native vegetation, evaluation, monitoring and protection of threatened or endangered species, improved water quality, protection of cultural sites, responsible fire management, and enhanced public safety.

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Southern Nevada Public Land Management Act of 1998, as amended, Public Law 105-263, and can be found on website <http://www.nv.blm.gov/snpnlma/>.

Regional or Local Office:

SNPLMA Business Manager, Nevada State Office, Bureau of Land Management, 1340 Financial Blvd., P.O. Box 12000, Reno, NV 89520-0006. Telephone: (775)861-6613. E-mail: Sharon_Gore@blm.gov.

Headquarters Office:

Division Chief, Lands, Realty, and Cadastral Survey, Bureau of Land Management (WO 350), 1849 C St., N.W., 1000 LS, Washington DC 20240. Telephone: (202)452-7779.

Web Site Address:

<http://www.nv.blm.gov/snpnlma/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development of parks, trails and natural area and the Clark County Wetlands Park, which serves as a regional wildlife preserve, affording education and recreation, while providing habitat restoration and associated wildlife, water systems, and wetlands protection, for a unique resource of the Las Vegas Valley; City of Las Vegas Centennial Hills Regional Park, with playgrounds, aquatic, picnic, and amphitheatre areas, providing recreational opportunities for the rapidly expanding northwest Las Vegas Valley area, and; Alamo Children's Park, a basic community recreational park providing picnic and barbeque areas, a volley ball court, and shaded playground area, for the small agricultural community of Alamo, NV.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting projects is outlined and defined in the Southern Nevada Public Lands Management Act Implementation Agreement, based upon the established Ranking and Rating Criteria, a balanced review including relevance to program objectives, merit and cost effectiveness, and consistent with the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, as amended.

15.236 ENVIRONMENTAL QUALITY AND PROTECTION RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended Public Law 105-277, Section 135; and Consolidated Appropriations Act of 2008, Public Law 110-161, Division F, Section 125.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements as a partnership to reduce or remove pollutants in the environment for the protection of human health, water and air resources; to restore damaged or degraded watersheds; and to respond to changing climate. Objectives are implemented through core programs such as: the Abandoned Mine Land program which addresses physical safety hazards and water quality through restoration of abandoned hardrock mines; the Hazard Management and Resource Restoration, also known as Hazmat program, which remediates sites impacted by hazardous materials and illegal activities, coordinates emergency response actions, and ensures the Bureau of Land Management facilities and operations comply with applicable environmental regulations; and the Soil, Water and Air (SWA) program which develops guidance for land use plans and plan implementation. The Soil, Water, and Air program also assures that fundamental resources are inventoried and assessed, managed, and monitored to support appropriate management response to public land conditions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Partnership projects are limited to public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. Hazmat and Abandoned Mine Land projects will support: inventory and site assessments; mitigation, remediation, and restoration activities; and maintenance and monitoring of actions taken. Funded projects will protect public health, safety and environmental resources by eliminating contamination

and hazards and restoring natural resources.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative rangeland project proposals with the Bureau of Land Management local State or District office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applications with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula matching requirements. However, applicant's matching funds or in-kind services are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability.

Reports:

Recipients of funding are required to submit quarterly financial status reports using form Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$7,221,600; FY 08 est \$7,500,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$5,000 to \$1,000,000. Average amounts run about \$45,000 or less.

PROGRAM ACCOMPLISHMENTS:

States, other partners, and the Bureau of Land Management have worked together with private and non-federal landowners to enhance public health and safety and eliminate environmental degradation due to impacts from past hardrock mining activities, illegal dumping of solid and hazardous wastes, and unexploded ordnance. Natural resources adversely impacted by oil spills and releases of hazardous substances have been restored. Soil, water and air

resources have been sampled and monitored; impacts have been controlled.

REGULATIONS, GUIDELINES, AND LITERATURE:
Information about the Bureau of Land Management environmental quality and protection programs can be found at www.blm.gov, including: the Cooperative Conservation Based Strategic Plan for the Abandoned Mine Lands Programs, Abandoned Mine Land Program Policy Bureau of Land Manual 3720 and Handbook H-3720-1, Hazard Management and Resource Restoration Manual 1703, and CERCLA Response Actions Handbook H-1703-1. Manuals providing basic program policy guidance for the Soil, Water and Air Program are found in Bureau of Land Management Manual 7000 series and may be obtained at www.blm.gov.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Nancy E. Dean, Division Chief, Environmental Quality and Protection, Bureau of Land Management (WO 280), 1849 C St., N.W., 204 LS, Washington, DC 20240. Telephone: (202) 452-5060.

Web Site Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past projects funded encouraged the State and the Bureau of Land Management to work together within close proximity of public lands. Examples of Abandoned Mine Land projects can be found in the Bureau of Land Management - Forest Service joint report entitled "Abandoned Mine Lands: A Decade of Progress Reclaiming Hardrock Mines," BLM publication BLM-WO-GI-07-013-3720. This report is available at www.blm.gov. Projects designed to reduce salt loading in the Colorado River, which include plugging saline wells, reducing saline runoff from areas of saline soils through improved vegetation management and restoration of OHV trails and other surface disturbance, organization and presentation of a road maintenance workshop to teach erosion control methods, and a study on relationship between grazing and vegetation green-up to maintain vegetation cover in areas of saline geology and soils. Past completed projects were completed to improve stream bank and channel conditions and projects to analyze movement and management of groundwater in areas threatened by groundwater over-draught and ground water contamination.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.237 RANGELAND RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Public Rangelands Improvement Act of 1978, 43 U.S.C. 1906, Public Law 95-514 and the Taylor Grazing Act of 1934, 43 U.S.C. 315a, as amended.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements to manage, develop and protect public lands and enhance the understanding of rangeland and watershed resources, their ecological processes, and capabilities in order to meet rangeland and water quality standards for the improvement of rangelands on public lands. Projects and livestock administration for the management of rangeland on public lands. Projects and livestock administration for the management of rangeland ecosystems are conducted in a coordinated manner and consider the interrelationships of living organisms of plants and animals, the physical environment of soil, water, air, and landscape characteristics when developing and implementing resource objectives and management actions. Systematic assessments and evaluations are conducted at the watershed, allotment, and in some instances, the landscape scale to determine in the standards and fundamentals for rangeland ecosystem health are being achieved.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

Projects are limited to rangeland projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative rangeland project proposals with Bureau of Land Management local State or District office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via a hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula matching requirements. However, applicant's matching funds or in-kind services are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability.

Reports:

Recipients of funding are required to submit quarterly financial status reports using form Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$322,369; FY 08 est \$325,000; and FY 09 est \$325,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$3,000 to \$75,000. Average amounts run about \$13,000 or less.

PROGRAM ACCOMPLISHMENTS:

The Bureau of Land Management continues to maintain and expand partnerships to include funding for activities within this program to include resource monitoring, conducting upland health assessments and evaluations, use authorizations, allotment planning and administration, development of vegetation objectives, integrated weed management, and activity plan development in connection with land use planning.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Rangeland and Management program is generally guided by the provisions in 43 CFR Part 4000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and handbooks providing basic program operational guidance for Rangeland Management are found in BLM Manuals

4000 series and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division of Chief, Rangeland Resources, Bureau of Land Management (WO 220), 1849 C St., N.W., 201 LS, Washington, DC 20240. Telephone: (202)785-6569.

Web Site Address:

<http://www.blm.gov/wo/st/en/pro/grazing.1.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative rangeland management projects that developed criteria and indicators for measuring sustainability of rangelands. Projects that provided range management education and training for grazing permittees and agency staff. Projects that supported technical and applied rangeland and grazing management information for dissemination, such as support for Grazinglands Conservation Initiative Convention and the International Rangelands Congress. Projects that promoted general public education and information on values of proper livestock grazing management.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.238 CHALLENGE COST SHARE

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I.

OBJECTIVES:

The Challenge Cost Share Program works through cooperative partners to help accomplish high priority work to support habitat improvement, comprehensive travel management, recreation and cultural projects. The types of projects that can be funded include monitoring and inventoring of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences, including visitor services, information, and facilities for public health and safety.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Use of Property, Facilities, and Equipment; Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the Bureau of Land Management or that directly benefit public lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to leverage funds with partners and other external funding sources to enhance the Bureau of Land Management's ability/capacity to survey, monitor and inventory resources; to restore and maintain land in a healthy condition; to support threatened and endangered species management; to manage heritage resources; to enhance recreational experiences; provide visitor services or facilities; to conduct public outreach and education projects; and to support emerging partnership opportunities.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District Office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in Grants.gov announcements each year.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has statutory non-federal matching funds or in-kind service requirements. Funds are required to be matched one nonfederal dollar for each federal dollar. The matching funds must be nonfederal in origin.

Length and Time Phasing of Assistance:

No specific restrictions for more projects, however, most projects are awarded for one to five year period and funded on a year-by-year basis and funds are expended during a particular year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$3,789,000; FY 08 est \$3,800,000; and FY 09 est \$3,900,000.

Range and Average of Financial Assistance:

Past partnership projects have run between \$10,000 to \$100,000. Average amounts run about \$20,000 or less.

PROGRAM ACCOMPLISHMENTS:

Past partnership projects have completed wildlife habitat improvement, protection, and restoration projects with durable lasting results, conducted wildlife studies, and developed threatened and endangered species recovery plans. Cultural resource projects have been conducted to protect and stabilize cultural sites and conduct surveys to find artifacts. Recreation projects have been conducted to build trails, obliterate roads and trails, and enhance visitor recreation experiences on public lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, manuals and information about the Bureau of Land Management fish, wildlife, botany, recreation and cultural programs can be found at website <http://www.blm.gov/>.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Management Program Analyst, Assistant Director for Renewable Resources and Planning, Bureau of Land Management (WO 200), 1849 C St., N.W., 201 LS, Washington, DC 20240. Telephone: (202) 785-6594.

Web Site Address:

For general information see website <http://www.blm.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Past projects funded include monitoring and inventoring of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing, or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences including visitor services, information, and facilities for public health and safety.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced view including relevance to program objectives, merit and cost effectiveness.

15.239 MANAGEMENT INITIATIVES

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR.

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended.

OBJECTIVES:

To provide a variety of Bureau of Land Management financial assistance awards not covered by any other program entry. This program will support mission program efforts for the management, protection, and development of public lands managed by the Bureau of Land Management. Awards are typically supported by funding one-time specific legislation and internal projects and programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Training; Use of Property, Facilities, and Equipment; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Assistance support for the management, protection, and development of public lands within the Bureau's area of responsibility and supported by specific authorities. Awards are restricted to activities on Federal public lands for activities that benefit resources on Federal land. Most of these public lands are located in the Western United States and Alaska. The assistance may support outreach and education efforts associated with partnership programs.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District Office for more information and local requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard 424A, Budget Information for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be able for each project at the time of funding opportunity announcement is posted on www.grant.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all applicants with information on why their proposals were not selected for award.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula requirements unless specifically required. However, applicant's matching funds are encouraged and those projects are more likely to be funded.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, some projects are awarded for one to five year period and funded on a year basis. Funds are normally expended during a particular fiscal year.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending Dec. 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities

that expend less than \$500,000 (for fiscal years ending after Dec. 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

FY 07 \$3,045,000; FY 08 est \$2,000,000; and FY 09 est \$1,600,000.

Range and Average of Financial Assistance:

Past partnerships have run between \$4,000 to \$250,000. Average amounts run about \$4,000 or less.

PROGRAM ACCOMPLISHMENTS:

Developing partnerships to include the funding for continued support of collaborative efforts to build community capacity and support for the management, protection and development of public lands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals, available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Procurement Analyst, Property, Acquisition, and Headquarters Services Group, Bureau of Land Management (WO 850), 1849 C St., NW, LS-1075, Washington, DC 20240. Telephone: (202) 452-5186.

Web Site Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Assistance for State and local governments, Indian Tribal governments, public and private colleges and universities, conservation corps, and nonprofit organizations, as directed in the annual appropriations. Support of Historical Black Colleges and Universities and Hispanic Association of Colleges and Universities in support of students interested in pursuing careers in the natural resource area.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the applicant's direct relationship to the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.240 HELIUM RESOURCE MANAGEMENT

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Helium Privatization Act of 1996, 50 U.S.C 167, Public Law 104-273.

OBJECTIVES:

To meet the requirements of the Helium Privatization Act of 1996, Section 8, a crude helium enrichment unit was designed, constructed, and is now operated to ensure that the U.S. Government can upgrade and deliver crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to Private Helium plants located along the governments pipeline. Private plants refine the helium, supply private industry, government agencies and research projects. To pay back the helium debt, the cost that was incurred by purchasing the helium, and to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline system in order to meet private and Government customer needs, as defined in the Helium Privatization Act.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Projects are limited to entities that have a direct involvement with the implementation of the Helium Privatization Act and projects related to the elimination of the Helium Debt.

Applicant Eligibility:

Public nonprofit institution/organization, Other public institution/organization, and Profit organization.

Beneficiary Eligibility:

Anyone/general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Coordinate cooperative project proposals with the Bureau of Land Management, New Mexico State Office for more information and requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management, New Mexico State Office. Final approvals rest with the State Director.

Deadlines:

The deadline will be published in the Grants.gov announcement for each project.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less after the announcement closes. Further information will be available for the project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all unsuccessful applicants with information on why their proposal was not selected for award.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula matching requirements.

Length and Time Phasing of Assistance:

Projects are awarded for a one to five year period and funded on a year-by-year basis. Continuing funding will be re-evaluated each year based on performance, merit, and funding availability.

Reports:

Recipients of funding are required to submit quarterly financial status reports using Standard Form 269, Financial Status Report, and quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. Final performance and financial status reports are due 120 days after the end date of grant performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1108-0-1-302.

Obligations:

FY 07 \$6,900,000; FY 08 est \$6,900,000; and FY 09 est \$6,900,000.

Range and Average of Financial Assistance:

Past partnership projects range between \$714,600 and \$6,129,800. Average amount is \$3,400,000 or less.

PROGRAM ACCOMPLISHMENTS:

Through a partnership the Bureau of Land Management, Helium Operation, now delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting through the governments conservation pipeline which supplies helium to private helium plants located along the government's pipeline. Private plants refine the helium, and supply private industry, government agencies and research projects. The helium sold is used to pay back the helium debt to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information can be found at the website listed below.

Regional or Local Office:

Bureau of Land Management, New Mexico State Office, Division of Minerals, 1474 Rodeo Road, Santa Fe, NM 87505.

Headquarters Office:

Tim Spisak, Group Manager, Fluid Minerals, Bureau of Land Management, (WO-300), 1849 C St. N.W., 501-LS, Washington, DC 20240, Telephone: (202) 452-5061.

Web Site Address:

http://www.blm.gov/nm/st/en/fo/Amarillo_Field_Office.html

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Construction of a compressor station and the Crude Helium Enrichment Unit with Partners that upgrades and delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the governments pipeline. Private plants refine the helium and supply private industry, government agencies and research projects.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to helium projects and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.242 NATIONAL FIRE PLAN - RURAL FIRE ASSISTANCE

(National Fire Plan - Rural Fire Assistance)

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, BUREAU OF INDIAN AFFAIRS, FISH AND WILDLIFE SERVICE, NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2005, Title I, Public Law 108-447. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan by increasing firefighter safety and enhancing the knowledge and fire protection capability of rural fire departments by providing assistance in education and training, protective clothing and equipment purchase, and support to public education efforts on a cost share basis.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Emphasis is placed on fire departments that protect rural communities and play a substantial cooperative role in the protection of the Department of the Interior managed public lands.

Applicant Eligibility:

State and local government, Public nonprofit institution/organizations, Other public institution/organization, Federally Recognized Indian Tribal Government, Private nonprofit institution/organization, Native American Organization, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface. All applicants must be rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Other Public Institution/Organization, Federally Recognized Indian Tribal Government, Small Business, Profit Organization, Private Organization, Anyone/General Public, Native American Organization, Farmer/Rancher/Agriculture Producer, Homeowner, Land/Property Owner, Suburban, and Rural.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Coordinate applications with the local BLM State or District Office, Bureau of Indian Affairs Regional Office, Fish and Wildlife Service Regional Office, or a National Park Service Regional Office or National Park unit. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Rural Fire Assistance is coordinated through each State Forester and the Bureau/Service State or Regional Office, or National Park unit. Procedures may be found on the National Fire Plan website at: <http://www.fireplan.gov>. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

To ensure consistency within existing rural fire assistance programs, the Department of the Interior bureaus work with the State Foresters and other

Federal partners to ensure that funding is allocated in the amount of the award to qualified and suitable rural fire districts. The following criteria applies for a fire department to participate in the program: Statewide agreement with the State Forester, who maintains cooperative fire agreements with the rural fire department/volunteer fire department, or a cooperative fire agreement with an Interior Bureau; rural fire department serving a community with a population of 10,000 or less in the wildland/urban interface; and the funding request is limited to training, equipment, and prevention activities. The rural fire department must have the capability to meet cost share at a minimum of 10% which may include in-kind services.

Deadlines:

Contact the State or regional office, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Rural fire assistance projects are approved by the Bureau/Service/Park Unit in coordination with the State Forester and other Federal partners. Normally all grant requests are received and awards made during the same fiscal year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program includes matching requirements. For example, rural fire departments must have the capability to meet cost share at a minimum of 10 percent, which may include in-kind services.

Length and Time Phasing of Assistance:

Most projects are funded on a single year basis and funds are expended during the fiscal year of the award.

Reports:

Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project.

Audits:

Projects will be audited at least once during life cycle. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the recipient. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

Bureau of Land Management - 14-1125-0-1-302; Bureau of Indian Affairs - 14-1125-0-1-302; Fish and Wildlife Service - 14-1125-0-1-302; National Park Service - 14-1125-0-1-302.

Obligations:

FY 07 \$0; FY 08 est \$6,032,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$1000 to \$20,000; \$6000.

PROGRAM ACCOMPLISHMENTS:

An average of 1,200 Rural Fire Departments are provided assistance each year for technical assistance, training, supplies, equipment and public education support.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Interior's rural fire assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Bureau/Service Office or the National Fire Plan's web site at: <http://www.fireplan.gov>.

Regional or Local Office:

Contact Regional/State/Local Office: See Catalog Appendix IV for addresses.

Headquarters Office:

BLM: Chief, Community Protection and Assistance, Planning Resources Group, Bureau of Land Management (FA 130), National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5169. BIA: Assistant Director, Fire Operations, Bureau of Indian Affairs, National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5372. FWS: Fire Management Specialist, Fish and Wildlife Service, National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5976. NPS: National Fire Operations Program Leader, National Park Service, National Interagency Fire Center, 3833 S. Development Ave, Boise, ID 83705. Telephone: (208) 387-5226.

Web Site Address:

RELATED PROGRAMS:

15.228, National Fire Plan - Wildland Urban Interface Community Fire Assistance.

EXAMPLES OF FUNDED PROJECTS:

Wildland fire assistance to rural fire departments through technical assistance, training, supplies, equipment and public education support.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for ranking eligible Rural Fire Departments, relative to other applicants, for funding allocation are: The fire department's frequency of responses to wildland/urban fire incidents in the local area; the fire department's wildland prevention and education program needs; the fire department's training program needs; the communities and Department of the Interior's values to be protected; the percentage of wildland/urban lands; and the number of wildland fire engines in the department relative to the percentage of wildland/urban interface acres protected.

15.250 REGULATION OF SURFACE COAL MINING AND SURFACE EFFECTS OF UNDERGROUND COAL MINING

FEDERAL AGENCY:

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To assist the States in administering approved regulatory programs.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Grants for permanent program development are available to fund staff and related costs in connection with preparing State legislative proposals; preparing State regulations; preparing permanent program applications to the Office of Surface Mining Reclamation and Enforcement (OSM); and for other related purposes. Administration and enforcement grants are awarded to assist States that have received approval of permanent regulatory programs. Funded cooperative agreements provide for State regulation of coal mining activities on Federal lands. Technical assistance is available on any aspect of regulating surface impacts of coal mining.

Applicant Eligibility:

The State must have an approved program and a designated State agency to receive and administer grants.

Beneficiary Eligibility:

State agencies responsible for regulation, reclamation and enforcement of provisions protecting the environment from negative effects of coal mining operations. Coal mining operators with annual production of less than 300,000 tons total at all mining locations may apply to State or Federal regulatory authorities for assistance in meeting certain technical permit application requirements.

Credentials/Documentation:

The Governor of a State designates an agency to act as recipient of the grant or funded cooperative agreement.

Preapplication Coordination:

No preapplication is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application SF 424 and other forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. State Regulatory grant applicants are required to submit applications to their appropriate Regional/OSM Field Office. State applications must meet specific criteria described in the Federal Register, 30 CFR Part 725, 735 and 795. Copies of the regulations and application forms are available from the appropriate OSM Regional/Field Office.

Award Procedure:

Review by appropriate regional/field staff and approval by the OSM Regional/Field Office is required.

Deadlines:

None.

Range of Approval/Disapproval Time:

60 days.

Appeals:

Not applicable.

Renewals:

Annual applications required for grants.

Formula and Matching Requirements:

Permanent program development grants and administration and enforcement grants are limited to 80 percent of costs in the first year, 60 percent in the second, and 50 percent thereafter.

Length and Time Phasing of Assistance:

All administration and enforcement grants are for a one year period and are annually renewable. SOAP operational grants have a 3-year performance period and are annually renewable.

Reports:

Progress and financial reports (OMB SF-269) as specified by the Agency are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1801-0-1-302; 14-1802-0-1-302.

Obligations:

Administration and Enforcement Grants: FY 07 \$56,365,000; FY 08 est \$60,559,000; and FY 09 est \$70,323,518.

Range and Average of Financial Assistance:

\$30,430 to \$12,417,655; \$2,087,605.

PROGRAM ACCOMPLISHMENTS:

Twenty-four coal producing States have approved programs and are receiving administration and enforcement grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 42, No. 62706, Tuesday, December 13, 1977 and Volume 47, No. 169, Tuesday, August 31, 1982, Volume 48, No. 12, Tuesday, January 18, 1983; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Catalog Appendix IV.

Headquarters Office:

Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2788.

Web Site Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.252, Abandoned Mine Land Reclamation (AMLR) Program; 15.253, Not-for-Profit AMD Reclamation.

EXAMPLES OF FUNDED PROJECTS:

Governor-designated State agencies in coal producing States receive annual grants to assist in administering and enforcing the States' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977.

CRITERIA FOR SELECTING PROPOSALS:

Designated agencies that choose to comply with the Surface Mining Reclamation and Enforcement Provisions, Parts 725, 735, and 795 (Federal Register, Vol. 423, No. 239, Tuesday, December 13, 1977, Vol. 47, No. 169, August 31, 1982 and Vol. 48, No. 12, January 18, 1983), are eligible for awards. Criteria for amounts awarded are the State's need relative to other eligible States.

15.252 ABANDONED MINE LAND RECLAMATION (AMLR) PROGRAM

(Abandoned Mine Lands)

FEDERAL AGENCY:

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT,
DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To protect the public, health, safety and general welfare, and restore land, water and environmental resources affected by coal and noncoal mining practices that occurred prior to August 3, 1977.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Grants support the operation of an approved State or Tribal Abandoned Mine

Land (AML) reclamation program. Approved programs use grant funds for mine site reclamation projects on eligible lands, which are lands and waters mined or affected by coal mining processes that occurred prior to August 3, 1977 (as well as certain post-1977 and noncoal mining activities). Grants also support project administration. Grants may also include funding for AML-related activities including: the Emergency program, to abate sudden mining-related dangers to public health and safety; the Appalachian Clean Streams program, to treat water affected by acid mine drainage; Set-Aside funds, to establish special accounts to fund future acid mine drainage treatment or coal mining reclamation; and the Subsidence Insurance program, to develop a self-sustaining State subsidence insurance program.

Applicant Eligibility:

The program is restricted to States with (1) an approved coal mining regulatory program, (2) lands eligible for reclamation, and (3) active coal mining operations within their borders that are paying coal reclamation fees into the Abandoned Mine Reclamation Fund; and to federally-recognized Indian Tribes with (1) eligible lands, and (2) active mining operations paying fees into the Fund. An eligible State or Tribe may submit a State reclamation plan to the Office of Surface Mining for approval.

Beneficiary Eligibility:

Coal field citizens and the general public benefit from reduced exposure to safety and health risks from abandoned mine lands.

Credentials/Documentation:

A State or Tribal Indian reclamation plan must be approved by the Assistant Secretary for Land and Minerals Management. AML grants to approved projects must be approved by an authorized official of the appropriate OSM Regional or Field Office.

Preapplication Coordination:

Preapplication is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application SF 424 and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," may be used for this program. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement (OSM) Regional/Field Office for details. Grant applications covering all AML programs/activities are to be submitted to the applicable OSM Regional/Field Office annually.

Award Procedure:

The Regional/Field Office should act upon a grant application within 60 days of submittal of a complete application. If the application is not approved, the Regional/Field Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The applicant may submit the revised application or appropriate revised portions of the application.

Deadlines:

State/Indian Reclamation Program: Grant application may be submitted at any time to the applicable OSM Regional/Field Office. Applications should be submitted 60 days prior to the start of the proposed grant performance period.

Range of Approval/Disapproval Time:

Normally 60 days.

Appeals:

An applicant may appeal the OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM no later than 30 days after the Regional or Field Office official made the decision.

Renewals:

New grants may be awarded annually upon satisfactory performance by the State/Tribal AML Program.

Formula and Matching Requirements:

Grants are 100 percent Federally funded. Grant funds are no-year funds congressionally appropriated from coal mining reclamation fees in the Abandoned Mine Reclamation Fund. The formula is based on historical coal production and annual fee collections. However, States/Tribes may be granted additional funds for emergency and other high priority abandoned mine land reclamation projects.

Length and Time Phasing of Assistance:

Grant periods are variable; however, under normal conditions, funds for construction activities must be expended three years after the award.

Reports:

State/Indian Reclamation Program (Project Grants): Annual performance and financial reports (SF 269) are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted

in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-5015-0-2-302.

Obligations:

FY 07 \$145,352,582; FY 08 est \$285,400,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$200,000 to \$87,200,000; \$9,800,000.

PROGRAM ACCOMPLISHMENTS:

Twenty-four States and three Indian tribes have approved reclamation programs. Since 1978, over 236,000 acres of mined land with safety, health and environmental problems have been reclaimed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Guidelines for Reclamation Programs and Projects, Federal Register (66 FR 31250-31258), June 11, 2001.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1951 Constitution Avenue, N.W., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2788. Use the same number for FTS.

Web Site Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.250, Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining.

EXAMPLES OF FUNDED PROJECTS:

State and Indian Tribal AML programs reclaim landslides, and mine openings, impoundments, highwalls, underground mine fires and burning refuse banks, mine subsidence, coal refuse piles, acid mine drainage, and dangerous impoundments.

CRITERIA FOR SELECTING PROPOSALS:

Section 403 of Public Law 95-87, cited Abandoned Mine Land Reclamation Program regulation, and approved State/Indian Reclamation plans.

15.253 NOT-FOR-PROFIT AMD RECLAMATION

FEDERAL AGENCY:

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To fund local organizations and not-for-profit groups that undertake local acid mine drainage (AMD) reclamation projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To assist local organizations, especially watershed groups, to begin actual construction projects to clean streams impacted by AMD. Lands and water eligible for reclamation or drainage abatement expenditures under Section 404 of Public Law 95-87 are those which were mined for coal or which were affected by such mining, wastebanks, coal processing, or other coal mining processes, except as provided for under Section 411 of Public Law 95-87, prior to August 3, 1977, and for which there is no continuing reclamation responsibility under State or other Federal laws. For other provisions relating to lands and waters eligible for such expenditures, see Section 402(g)(4), Section 403(b)(1), and Section 409 of Public Law 95-87. Surface coal mining operations on lands eligible for remining shall not affect the eligibility of such lands for reclamation and restoration under this title after the release of the bond or deposit for any such operation as provided under Section 509. In the event the bond or deposit for a surface coal mining operation on lands eligible for remining is forfeited, funds available under this title may be used if the amount of such bond or deposit is not sufficient to provide for adequate reclamation or abatement, except that if conditions warrant, the secretary shall immediately exercise his authority under Section 410.

Applicant Eligibility:

Primary recipients must be not-for-profit, established organizations. Federal, State, local governments, colleges, and universities are not eligible to receive funding directly.

Beneficiary Eligibility:

Communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit IRS 501(c)(3) status is required of nonprofit organizations

and institutions. A State certification of project eligibility is required.

Preapplication Coordination:

Preapplication is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application SF-424 and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," must be used for this program. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement (OSM) Regional/Field Office for details listed in the Catalog Address Appendix IV.

Award Procedure:

Approval is made by OSM Director or designee.

Deadlines:

Applications will be accepted until all available funds have been awarded.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of 2 years.

Reports:

Financial and programmatic reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, Section 3052.215(a) and Section 3052.235.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations".

Account Identification:

14-5015-0-1-302.

Obligations:

(Cooperative Agreements) FY 07 \$1,500,000; FY 08 est \$1,500,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$6,195 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Restore polluted streams affected by acid mine drainage to a safe level for drinking and recreational activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87.

Regional or Local Office:

See Catalog Appendix IV.

Headquarters Office:

Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2788. Use the same number for FTS.

Web Site Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.252, Abandoned Mine Land Reclamation (AMLR) Program.

EXAMPLES OF FUNDED PROJECTS:

In one project, the site has 30 miles of streams, with 17 miles severely impacted by drainage from abandoned coal mines in the watershed. Two different limestone treatment systems were used. With the first system limestone was added to the streams and two windmills that operated air pumps pumping air into the water causing the metals to fall out of solution. The second system was an underground anoxic limestone drain that the acidic water flows through dissolving the limestone in the water and raising the pH enough cause the metals to fall out in the wetlands.

CRITERIA FOR SELECTING PROPOSALS:

Section 404 of Public Law 95-87, State certification of eligibility is required. No opposition to the project from the State Abandoned Mine Land (AML) agency. All applicable Federal and State clearances and permits must be

secured. The project should propose to use proven or innovative technology that has a high probability of success and produce tangible results, e.g., fishery restored, stream miles improved, educational and community benefits, pollutants removed from the streams. Funds must be used for the construction phase of a project and there must be demonstrated public support for the project. Also, there must be a plan to address any ongoing operation/maintenance considerations.

15.254 SUMMER WATERSHED INTERN

FEDERAL AGENCY:

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT,
DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977; Public Law 95-87; 91 Stat. 445-532.

OBJECTIVES:

The Office of Surface Mining (OSM) Watershed Intern Program provides funds to assist watershed groups by providing funds for the watershed organizations to hire interns to work on specific watershed projects. The project must clearly enhance the sustainability of the watershed organizations and must contribute directly to the remediation of acid mine drainage. The AmeriCorps*VISTA generally concentrates on fighting poverty and building capacity. OSM's primary goal is to fight acid mine drainage (AMD) in the watersheds of the Appalachia. The combined efforts of OSM and AmeriCorps*VISTA is to bring environmental and economic improvement in the Appalachia region by targeting problems associated with pre-regulatory coal mining in the Appalachia watersheds affected by AMD.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The OSM Watershed Intern Program is to assist watershed groups by providing funds for the watershed organizations to hire interns to work on specific watershed projects. The OSM/AmeriCorp*VISTA provides a one time payment for support for the watershed organizations to obtain OSM/AmeriCorp*VISTA volunteers to work on specific projects. Projects for both programs must clearly enhance the sustainability of the watershed organization, and must contribute directly to the remediation of acid mine drainage.

Applicant Eligibility:

The OSM Watershed Intern Program recipients are required to be public or private non-profit institutions/organizations, established watershed organizations in the following States to be eligible to participate: Alabama, Illinois, Indiana, Iowa, Kentucky, Maryland, Missouri, Ohio, Oklahoma, Pennsylvania, Tennessee, Virginia, and West Virginia. Undergraduate and Graduate Students, throughout the United States, interested in helping to clean up the environment are also eligible. OSM/AmeriCorps VISTA requires qualified watershed groups to be non-profit organizations or be sponsored by a local non-profit group such as a Resource Conservation and Development District, a local historical society, or a Soil and Water Conservation Service. The program currently serves the following Appalachia States: Alabama, Kentucky, Maryland, Ohio, Pennsylvania, Tennessee, Virginia and West Virginia

Beneficiary Eligibility:

Watershed organizations in communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit, IRS 501(c)(3), status is required of nonprofit watershed organizations. Proof of student status is required.

Preapplication Coordination:

Preapplication is not required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used. Guidelines and application procedures can be obtained from the OSM Website, www.osmre.gov. Paper copy of guidelines and application procedures can be obtained from the OSM Headquarters.

Award Procedure:

Approval by the Director or his designee.

Deadlines:

Sponsorships and internships will be awarded on a rolling basis until all funds are allocated.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Partners are encouraged to make

monetary contributions or provide in-kind services.

Length and Time Phasing of Assistance:

Each internship is for 12 weeks of full-time work by an intern. This 12-week period can be split with two interns or worked part-time over 24 weeks.

Reports:

Quarterly reports (financial and programmatic) are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5015-0-1-302.

Obligations:

(Direct payments) FY 07 \$65,000; FY 08 est \$70,000; and FY 09 est \$75,000.

Range and Average of Financial Assistance:

Each internship includes a \$2,000 stipend for 12 weeks of full-time work by an intern and \$500 for related expenses.

PROGRAM ACCOMPLISHMENTS:

Ten college students working in five States throughout the Appalachian region pioneered the Summer Watershed Intern Program during the summer of 1999. In 2000 and 2001, 20 college students participated as summer watershed interns. During the summer of 2002, together with financial support of the Department of Energy's National Energy Technology Laboratory, OSM placed 33 interns in eight Appalachian States. In FY 03, with additional EPA funding, OSM placed 30 interns in seven States. In 2004, OSM placed 22 interns in six States. In 2005, OSM placed 22 interns in eight States. We are currently reviewing applications for 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., NW, Washington, DC 20240. Contact: Division of Reclamation Support. Telephone: (202) 208-2585.

Web Site Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.253, Not-for-Profit AMD Reclamation.

EXAMPLES OF FUNDED PROJECTS:

In 1999, ten college students pioneered the program, completing projects dealing with various aspects of cleaning up acid mine drainage.

CRITERIA FOR SELECTING PROPOSALS:

Each proposed watershed project must clearly enhance the sustainability of the watershed organization and must contribute directly to the remediation of acid mine drainage. Candidates must be able to organize their work, work well with community groups and on their own, have an understanding of acid mine drainage remediation and the national Clean Streams program, and write well.

15.255 APPLIED SCIENCE PROGRAM COOPERATIVE AGREEMENTS RELATED TO COAL MINING AND RECLAMATION

FEDERAL AGENCY:

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT,
DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977 (SMCRA), Public Law 95-87; Public Law 95-224.

OBJECTIVES:

To support of two efforts: (1) develop and demonstrate improved technologies to address public safety and environmental issues related to the mining of coal and reclamation of the lands affected after mining; and (2) encourage efforts to collect, preserve and convert into digital format, maps of underground mines that provide valuable information regarding protection of public and miner safety, mine pool evaluation, and mine subsidence investigation for previously mined areas.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Projects must focus on issues related to mining in the United States and its territories. Funding will be limited to projects that can be completed without additional funding from the Office of Surface Mining (OSM) beyond those funds awarded in the current fiscal year. Applicants may request funding not to exceed an amount established each year prior to the solicitation for proposals. OSM encourages cost-sharing through an advantaged score in the rating process, but cost-share is not required for the applicant to supply a proposal.

Applicant Eligibility:

Applied Science Projects: Recipients may be any public, private, nonprofit, state, local or Tribal governments, colleges, and universities located in the USA. Mine Mapping: Recipients may be any state or Indian Tribal agency or U.S. Territory.

Beneficiary Eligibility:

Communities impacted by coal mining and reclamation of the land after mining.

Credentials/Documentation:

Applied Science Projects: All proposals must either be initiated by or include a letter of endorsement, (on official letterhead) from an appropriately authorized management official representing a State, Indian, or Federal Title IV or V Surface Mining Control and Reclamation Act (SMCRA) Mining Regulatory or Abandoned Mine Land Reclamation Authority. Mine Map Projects: Proposals must be from an official State or Tribal agency or U.S. territory within whose borders underground mining has occurred.

Preapplication Coordination:

A preapplication proposal is required to be considered for this program. OSM will announce the opportunity for interested parties to submit preapplication proposals in Grants.gov/, and/or on other Federal government websites generally viewed by the public to access such solicitations, and through direct mailings or email to organizations that have shown a past interest in SMCRA related activities. Specific details of the items to be addressed in the pre-application proposal may also be itemized on an OSM web site. The application process consists of two parts, a preapplication proposal with is used in the project review and selection process, and a formal application that will be submitted only by those organizations whose projects are selected for further consideration. The specifics of the project solicitation, review and selection process are itemized below for Applied Science Projects and for Mine Map Projects. Applied Science Projects: Following is a description and approximate timeline for Applied Science Projects: (1) On an annual basis, OSM announces the solicitation of preapplication proposals for eligible projects during the first quarter of each fiscal year that funding is available for the Applied Science Program. (2) OSM allows at least 60 days for interested parties to submit preapplication proposals. (3) Approximately 60 days after the last date to submit proposals, OSM staff and appropriate regional and national technology team members complete initial reviews of preapplication proposals and forward rankings to the National Technology Team Leader (all reviewers are required to sign confidentiality agreements assuring protection of information contained in pre-applications and fairness of the selection process). (4) Approximately 30 days later the National Technology Transfer Team reaches consensus on final ranking of preapplication proposals and submits a final recommendation of pre-application proposals for funding to be submitted to the OSM Core Leadership Team. 5) Within 30 days of receipt from the National Technology Team Leader, the OSM Core Leadership Team may make a final decision of which preapplication proposals to fund (pending receipt by OSM of a complete formal cooperative agreement application within the allowed timeframe). Mine Mapping Projects: (1) On an annual basis, OSM announces the solicitation of preapplication proposals for eligible projects during the first quarter of each fiscal year that funding is available. In addition, OSM notifies the individuals responsible for SMCRA Title IV and Title V programs, and the state geologist for each state where coal mining has occurred. (2) OSM allows approximately 60 days for interested parties to submit preapplication proposals. (3) Approximately 60 days after the last date to submit proposals, members of the Underground Mine Map UGMM) Steering Committee excluding OSM members, plus one representative from each OSM region, one representative from OSM headquarters, and one representative from the Mine Map Repository, will review and rank all of the proposals; (4) Approximately 30 days later, the UGMM Steering Committee Review Team reaches consensus on final ranking of preapplication proposals and submits a recommendation for funding to the OSM Core Leadership Team; (5) Within 30 days of receipt from the Team Leader, the OSM Core Leadership Team may make a final decision of which preapplication proposals to fund (pending receipt by OSM of a complete formal cooperative agreement application within the allowed timeframe). This program is excluded from coverage under E.O.12372.

Application Procedure:

Within 7 days of the decision by the Core Leadership Team of which preapplications to support, OSM notifies successful preapplicants to submit formal applications. Application materials consist of a cover letter with SF-424 Form, OSM-51 Form, and OSM-47 Form. By June 15, each year, OSM begins approving cooperative agreements and awarding funds.

Award Procedure:

Following the review of the pre-application stage, the formal applications are reviewed by the OSM Core Leadership Team. Approval of cooperative

agreements is made by the OSM Director or designee.

Deadlines:

The deadline for submission of pre-proposals is during the second quarter of each fiscal year. Please contact program office for application deadline.

Range of Approval/Disapproval Time:

Estimated from 60 to 120 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula and no mandatory cost-share or matching requirements. However, OSM recommends that applicants participate in the support of the Project in the following manner: Applied Science Projects: OSM recommends a minimum cost-share of 25 percent with the applicant providing some portion of this percentage. The remainder may come from academia, industry, or other non-federal sources. Mine Map Projects: OSM encourages cost-sharing through an advantaged score in the rating process, but cost-share is not required for the applicant to supply a proposal. Cost-share can be comprised at any percentage from the following: applicant, academia, industry, or other nonfederal sources.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of 1 year.

Reports:

Financial and programmatic reports are required no more than quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after Dec.1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subparts C and F.

Account Identification:

14-5015-0-1-302; 14-1801-0-1-302.

Obligations:

FY 07 \$1,200,000; FY 08 \$1,400,000; and FY 09 est \$1,400,000.

Range and Average of Financial Assistance:

Applied Science Project Awards will not to exceed \$100,000. Mine Map Projects have no Limit other than the annual appropriation to this program.

PROGRAM ACCOMPLISHMENTS:

It is anticipated that Applied Science Projects will result in improved technologies to address environmental issues related to the mining of coal and reclamation of the land after mining, and for regulatory processes that ensure compliance with the Surface Mining Control and Reclamation Act. It is anticipated that Mine Map Projects will result in increased protection of public and Miner safety, environmental quality and property from adverse effects of underground mines through the cooperative efforts between OSM and various state agencies and through increased preservation and public access to mine map resources.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87; Grants Common Rule at 43 CFR Part 12.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2788. Use the same number for FTS.

Web Site Address:

See www.grants.gov/. For additional details on specific proposal requirements, please see OSM's website at www.mcrc.osmre.gov/.

RELATED PROGRAMS:

15.250, Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.252, Abandoned Mine Land Reclamation (AMLR) Program; 15.253, Not-for-Profit AMD Reclamation.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applied Science Projects: In order for a preapplication proposal to be considered, it must include the following: Electronic submission must be received on or before the due date. The proposal must follow the application instructions. Instructions for providing the 1st round ranking of projects is as follows: (1) Individual reviewers rank the proposals such that the best proposal receives the highest rank. When the scores are averaged this will give the best

proposals the highest numerical value. (Every reviewer must fill out a non-disclosure agreement in order to access the proposal website.) (2) Regional Team Ranking: Each regional team AR, WR, and MCR will allow each state/Tribe team member to provide one ranking of proposals. Each state may have more than one person involved in the ranking in order to get the appropriate technical expertise. (3) Each technology transfer member must provide their ranking to the National Technology Team Leader by a specified date each year. (4) National Technology Transfer Team scoring Each National Team member needs to score all projects based upon the following criteria: (a) Does the proposal address a topic of special interest to OSM as identified in the annual solicitation of proposals; (b) What is the level of external financial support; (c) What is the technology transfer potential; (d) What is the technical merit; (e) What is the overall proposal quality, innovation, and viability; Project score spreadsheets should be sent to the National Technology Team Leader by a specified date each year (see Application Process above for 2007 dates). The national technology transfer team is composed of members from OSM and from States that administer coal mining activities. The members from OSM represent Headquarters, the National Technical Training Program (NTP), Technical Innovation and Professional Services (TIPS) program, and the three OSM Regions (Appalachian, Mid-Continent, and Western). The States are represented by the Interstate Mining Compact Commission and the Western Interstate Energy Board. Each regional team is composed of one representative from the OSM region and one from each of the State regulatory authorities within that region. Mine Map: After all proposals are received, the first review will be conducted as follows: (1) members of the Underground Mine Map Steering Committee excluding OSM members, one representative from each OSM region, one representative from OSM headquarters, and one representative from the Mine Map Repository, will review and rank all of the proposals. The voting members must rank each proposal in ascending order. The summation of each voting member's score for each proposal will be used to compute the overall ranking. The proposal/severable piece with the lowest score will have the highest priority for funding. Likewise the proposal/severable piece with the highest score will have the least priority for funding. If there is a proposal that a member feels should not be considered, the rank should be filled in with a score of 40 rather than the concurrent ascending numerical value. (2) the highest ranked proposals will then be submitted to the OSM Core Leadership Team for decisions concerning final approval of proposed projects.

15.406 NATIONAL PARK SERVICE CENTENNIAL CHALLENGE.

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Challenge Cost Share Provision, Public Law 104-333, 16 U.S.C.; Centennial Challenge Provision (2008), FY 2008 Appropriations Act (December 17, 2007)(see H.R. Rep. No. 110-497, Division F, Title I, to accompany H. Res. 878, at 266).

OBJECTIVES:

The National Park Centennial Initiative is a ten year effort to prepare national parks for another century of conservation, preservation, and public enjoyment. Project efforts will improve parks and serve all Americans, especially our nation's youth. Centennial Challenge signature projects provide no less than fifty percent (50% of the total cost of each project or program is derived from non-Federal sources).

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

For the purpose of sharing costs or services in carrying out authorized functions and responsibilities of the Secretary of Interior with respect to any unit or program of the National Park System through Centennial Challenge projects within the following five areas of emphasis: Stewardship, Environmental Leadership, Recreational Experience, Educational and Professional Excellence.

Applicant Eligibility:

Non-federal partners may include state agencies, Tribes, local governments, non-governmental organizations, private companies, and private individuals. Non-federal partners are expected to match NPS Centennial Challenge Cost Share funds at or above 1:1 for their respective projects.

Beneficiary Eligibility:

State and local governments, private, public, profit, nonprofit organizations and institutions who are positioned to contribute at least 50% of the value of projects included in the list of approved projects and which support the NPS Centennial Challenge.

Credentials/Documentation:

Proposals that support the goals of the NPS Centennial Challenge including commitment letters from partners demonstrating ability to contribute the required match and readiness to complete the project. Proposals include description of the project, proposed budget, commitments from recipients.

Preapplication Coordination:

Proposals are developed in coordination with NPS managers which are then

submitted through the NPS Project Management Information System (PMIS) for priority setting by a panel who recommends to Regional Directors and the NPS Director projects for approval in accordance with Centennial Challenge priorities. The approved projects are placed on an eligible list to receive funding, when available. The NPS makes the final decisions on funding. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon determination of funded proposals by the NPS, selected applicants will complete SF-424, SF 424B Assurances for Non-Construction.

Award Procedure:

Applicants/Proposals selected for funding are notified regarding the next steps to implement a project and are required to submit a project plan that outlines the project objectives and milestones and additional required documentation depending upon the method of project execution. A panel of NPS staff recommend approval to the NPS Director, who makes the final decision on awards.

Deadlines:

Determined by NPS management and posted when available on <http://www.nps.gov/2016> and www.grants.gov.

Range of Approval/Disapproval Time:

Projects eligible for funding are submitted up to 12 months in advance of funding determinations.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Requested funds shall not exceed 50 percent (50%) of eligible projects costs and shall be made on the condition that non-Federal sources, including in-kind contributions of services or materials, provide the remainder of eligible project costs.

Length and Time Phasing of Assistance:

The project approval, execution and completion period is typically for a time period not to exceed 24 months. Specific requirements for project execution and completion are outlined in detailed project plans and budgets.

Reports:

For projects under \$100,000 the NPS will require a budget status update and project progress report on an annual basis. Projects over \$100,000 must submit quarterly reports to the Centralized Centennial Acquisitions and Financial Assistance Team. All projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports and the Financial Status Report SF-269. Recipients are required to submit an SF-270 for reimbursement payments.

Audits:

Partners under cooperative agreements with the NPS for centennial projects must adhere to auditing requirements outlined in OMB Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations." Partners who receive more than \$500,000 in federal awards in a year are required to have an audit conducted by a third party. The NPS will conduct reviews of a sample of Centennial Challenge projects each year to assess compliance with the program's requirements and the effectiveness of the project in advancing centennial goals. The centennial project reviews will be conducted as part of the Service's annual A-123 programmatic review process and Acquisition Management Reviews.

Records:

The recipient must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained three years after submission for final request for reimbursement.

Account Identification:

14-2645-0-1-303.

Obligations:

FY 07 \$0; FY 08 est \$25,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies - new program in fiscal year 2008.

PROGRAM ACCOMPLISHMENTS:

None. New program in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Future of America's National Parks, May 2007, a report to the President presenting a bold vision for our National Parks.

Regional or Local Office:

None.

Headquarters Office:

Program Manager, Centennial Initiative, Department of the Interior, National Park Service, 1849 C Street, NW, Washington DC 20240.

Web Site Address:

www.nps.gov/2016.

RELATED PROGRAMS:

15.916, 15.921, and any authorized functions and responsibilities of the Secretary of the Interior with respect to any unit or program of the National Park System (as defined in section 16 U.S.C. 1c(a)).

EXAMPLES OF FUNDED PROJECTS:

None. New program for fiscal year 2008.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects and programs must: Provide for authorized activities to benefit one or more of the 391 units of the National Park System; Contribute toward at least one of the five centennial overarching goals as stated in The Future of America's National Parks; Be consistent with all Federal, Department of the Interior, and the National Park Service (NPS) management policies; and park planning, compliance, and acquisition/assistance law, regulation and policy; Require little or not additional recurring NPS operating funds to be sustainable; Have a partner(s) willing to contribute at least 50% of the project cost in cash from non-Federal sources as evidenced by a letter of commitment from the partner(s); Are for projects that support specific performance goals. Priority will be given to those identified in the centennial report, such as: address a critical, high priority need of the NPS, have a ready, willing and able partner, improve the efficiency and effectiveness of park management, operations, and employees, imaginative, innovative and collaborative in meeting centennial goals, benefit multiple parks or contribute to national initiatives, produce measurable results, and consistent with Federal assistance regulations and laws.

15.407 KEWEENAW NATIONAL HISTORICAL PARK (NHP) AND KEWEENAW NHP ADVISORY COMMISSION PARTNER ENHANCEMENT GRANTS

(Keweenaw Heritage Grants)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Keweenaw NHP's Enabling Legislation: 16 U.S.C. 410: 410-6. Cooperative Agreements: The Secretary, after consultation with the Commission, may enter into cooperative agreements with owners of property within the park of nationally significant historic or other cultural resources in order to provide for interpretive exhibits or programs; 410-7(a). Financial and Technical Assistance: The Secretary may provide to any owner of property within the park containing nationally significant historic or cultural resources, in accordance with cooperative agreements or grant agreements, as appropriate, such financial and technical assistance to mark, interpret, and restore non-Federal properties within the park as the Secretary determines appropriate to carry out the purposes of this subchapter; and 410-8(a)(7). Keweenaw NHP Advisory Commission. Establishment and Duties: The Commission shall be authorized to carry out historical, educational, or cultural programs which encourage or enhance appreciation of the historic resources in the park, surrounding areas, and on the Keweenaw Peninsula. 16 U.S.C. 470a. The National Historic Preservation Act of 1966 and 16 U.S.C. 461. The Historic Sites Act of 1935.

OBJECTIVES:

Provide funds to enhance partner projects that will mark, interpret, or restore non-Federal properties.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

NPS Grants: Funding is to be used by owners of properties containing nationally significant historic or cultural resources to mark, interpret, and restore non-Federal properties within the park. The National Park Service shall have right of access at reasonable times to public portions of the property related to the enhancement funds for the purpose of conducting visitors through such property and interpreting them to the public. No changes or alterations shall be made in such properties except by mutual agreement between the NPS and the other parties to the grant agreement(s). The availability of discretionary funding is determined by Keweenaw NHP each fiscal year. Keweenaw NHP Advisory Commission Grants: Funding is to be used by owners or operators of properties containing local, state, or nationally significant historic or cultural resources to mark, interpret, and restore properties on the Keweenaw Peninsula. The availability of discretionary funding is determined by Keweenaw NHP Advisory Commission each fiscal year.

Applicant Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources. For Keweenaw NHP Advisory Commission Grants: Owners or operators of property containing local, state, or nationally significant historic or cultural resources on the Keweenaw Peninsula.

Beneficiary Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners. For Keweenaw NHP Advisory Commission Grants: Owners or operators of properties on the Keweenaw Peninsula containing nationally significant historic or cultural resources including state and local governments;

public and private nonprofit organizations; and individual, partnership, and corporate private property owners

Credentials/Documentation:

For NPS Grants: Documentation of ownership of the subject property is required of all applicants in the form of a registered deed or presentation of the most recent tax bill. All applicants other than individual property owners are required to cite laws or by-laws that authorize the organization to accept funds. For Keweenaw NHP Advisory Commission Grants: Documentation of ownership of the subject property or, for operators, permission of the property owner as well as documentation of ownership is required of all applicants in the form of a registered deed or presentation of the most recent tax bill. All applicants other than individual property owners are required to cite laws or By-Laws that authorize the organization to accept funds.

Preapplication Coordination:

Consultation or assistance from the agency regarding this application may be available from the NPS or the Keweenaw NHP Advisory Commission, as appropriate. There are no pre-application requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For NPS Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP, ATTN: Grants Manager, PO Box 471, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds. For Keweenaw NHP Advisory Commission Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP Advisory Commission, ATTN: Grants Manager, PO Box 471, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds.

Award Procedure:

Application announcements will be posted utilizing the <http://www.grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by a panel of reviewers. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement or Grant Agreement by the Agreements Technical Representative within the time specified on the announcement. Successful applicants must register on the Central Contractor Register (CCR) website at <http://www.ccr.gov> within 15 days of notice of award.

Deadlines:

Application deadlines will be announced with the announcement of the specific opportunity.

Range of Approval/Disapproval Time:

Generally, 30 - 90 days.

Appeals:

None.

Renewals:

No. Awards will be project-specific or for a specified time period.

Formula and Matching Requirements:

For NPS Grants: The property owner/organization must be able to provide a cash match in accordance with the prevailing legislation at the time of disbursement. Current legislative match requirements will be posted with the specific grant opportunity. For Keweenaw NHP Advisory Commission Grants: The property owner or operator must be able to provide a match in accordance with the Keweenaw NHP Advisory Commission guidelines for the specific grant opportunity.

Length and Time Phasing of Assistance:

Assistance is provided on a project-specific basis or within a specified time period. Most projects are completed within months, but may vary depending on complexity and other factors.

Reports:

Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of Standard Form 270, Request for Advance or Reimbursement; Standard Form 272 Federal Cash Transaction Report; and a quarterly submittal of Standard Form 269 the Financial Status Report as outlined in 2 CFR 215.52. Additionally grant recipients will be required to submit a yearly narrative summary of accomplishments report to the National Park Service at Keweenaw NHP or the Keweenaw NHP Advisory Commission, as appropriate, at the end of each Fiscal Year until the project is completed and a final report is submitted. All projects and activities are monitored for progress and compliance with a mutually agreed upon scope of work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, non-federal entities that expend financial assistance \$500,000 (for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133. For commercial

organizations, in accordance with the provisions of OMB Circular A-110 (Revised, November 19, 1993; Further Amended September 30, 1999), Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. Audit requirements will be incorporated into the award document.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement or Grant Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

None (variable, depending on the specific grant opportunity).

Obligations:

Funds will be obligated within the fiscal year of the award. Nothing in the Agreements will be construed as binding the NPS or the Keweenaw NHP Advisory Commission to expend in any fiscal year any sum in excess of the appropriation made by Congress for purposes of the Agreements in that fiscal year.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

This is a new series of grant programs, with no history to report.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information on Keweenaw NHP grant programs is available at <http://www.nps.gov/kewe/grants>.

Regional or Local Office:

None.

Headquarters Office:

Tom Baker, Management Assistant, Keweenaw NHP, P.O. Box 471, 25970 Red Jacket Road, Calumet, MI 49913. Phone: (906) 337-1104 ext. 131, Email: thomas_m_baker@nps.gov.

Web Site Address:

<http://www.nps.gov/kewe/grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None at this time.

CRITERIA FOR SELECTING PROPOSALS:

Demonstrate ability of the organization or individual to successfully complete the project. Past performance in Federal Financial Assistance programs. Organization's ability to provide the required match. For NPS grants, ability to provide public access in accordance with Keweenaw NHP's enabling legislation. 16 U.S.C. 410yy-6(1) and 7(1). Other specific project criteria developed for each grant cycle.

15.421 ALASKA COASTAL MARINE INSTITUTE

(ALASKA CMI)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint

monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Alaska Coastal Marine Institute is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the MMS-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates up to \$750,000 per year for cooperative research at the University of Alaska. The University of Alaska invites proposals that are reviewed by a Technical Steering Committee including the University, State of Alaska, and MMS, and funds individual awards. Applications must be for scientific research projects which are within the MMS' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, and marine minerals projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

University of Alaska may make application for support by a named principal investigator. Non-UA scientists may participate in collaboration with a UA principal investigator.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. Executive Order 12372 does not apply.

Application Procedure:

Proposals are requested for mission-relevant research likely to provide needed information for OCS oil and gas resource management decisions. Proposals must include a full statement of work, estimated budget, and resumes. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that 6-12 applications will be received and 6 awards will be granted in FY 2009.

Deadlines:

Proposals are due by November 15, 2008.

Range of Approval/Disapproval Time:

From 150 to 360 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Recipients share costs on a one-dollar-for-one-dollar contribution across the program. In any fiscal year, the collective CMI match commitment must equal or exceed MMS contributions. On rare occasions exceptions may be considered.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held MMS Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Annual financial reports using OMB Standard Form SF-269 are also required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations",

nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302; 14-8370-0-7-302.

Obligations:

(Cooperative Agreements) FY 07 \$500,000; FY 08 \$750,000; FY 09 estimate \$750,000.

Range and Average of Financial Assistance:

\$75,000 to \$250,000; \$169,000.

PROGRAM ACCOMPLISHMENTS:

The Alaska Coastal Marine Institute currently funds over 30 competitively awarded ongoing extramural research funding commitments. This cutting-edge research has already and will in the future benefit the management of the OCS and increase understanding of the potential impacts of offshore oil and gas activities. Projects are underway to improve understanding of: ocean circulation and physical transport processes and their role in fate and behavior of potential spilled oil in areas offshore Alaska; marine biological resources their health and habitat use; background levels of chemicals that can be associated with oil and gas development activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Kate Wedemeyer, Telephone: 907-334-5278; Fax 907-334-5242; Email address: kate.wedemeyer@mms.gov.

Headquarters Office:

James Cimato, Minerals Management Service, 381 Elden Street, MS-4041, Herndon, VA 20170. Telephone: (703) 787-1721; Fax: (703) 787-1053; E-mail address: james.cimato@mms.gov.

Web Site Address:

<http://www.mms.gov/eppd/sciences/esp/profiles/partners/cmi/index.htm> and
<http://www.sfos.uaf.edu/cmi/>.

RELATED PROGRAMS:

15.422, Louisiana State University (LSU) Coastal Marine Institute (CMI);
15.423, Minerals Management Service (MMS) Environmental Studies Program (ESP).

EXAMPLES OF FUNDED PROJECTS:

The MMS Alaska Coastal Marine Institute funds studies for better understanding marine, coastal or human environments affected or potentially affected by offshore oil and gas or other mineral exploration and extraction on the outer continental shelf. Recent efforts include field observations, laboratory experiments and modeling studies of environmental, social, economic, or cultural processes related to offshore OCS gas and oil activities.

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.422 LOUISIANA STATE UNIVERSITY (LSU) COASTAL MARINE INSTITUTE (CMI)

(LSU CMI)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments.

Outer Continental Shelf Lands Act Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Louisiana State University Coastal Marine Institute (CMI) is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the MMS-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates \$1,000,000 for cooperative research via LSU. Applications must be for scientific research projects which are within the MMS area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

To apply for a research award, the recipient is asked to provide the name of the Principal Investigator. If an applicant other than LSU wants to apply, they must do so in collaboration with an LSU research scientist.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. Executive Order 12372 does not apply.

Application Procedure:

Applicants will be encouraged to post their applications on Grants.gov. Proposals must include a full statement of work, estimated budget, and resumes. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that ten applications will be received and six awards will be granted in FY 2010.

Deadlines:

Deadline for proposals is November 18, 2008.

Range of Approval/Disapproval Time:

From 180 to 270 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Recipients share costs on a dollar-for-dollar contribution across the program. In any fiscal year, the collective LSU CMI match commitment must equal or exceed MMS contributions.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held MMS Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Annual financial

reports using OMB Standard Form SF-269 are also required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302; 14-8370-0-7-302.

Obligations:

(Cooperative Agreements) FY 07 \$1,400,000; FY 08 est \$1,000,000; and FY 09 est \$1,000,000.

Range and Average of Financial Assistance:

\$32,000 to \$500,000; \$190,000.

PROGRAM ACCOMPLISHMENTS:

The Louisiana State University Coastal Marine Institute currently funds over 50 competitively awarded ongoing research awards. This cutting-edge research has already and will in the future benefit the management of the OCS, and increase understanding of the potential impacts of offshore oil and gas activities. Projects are underway to improve understanding of: ocean circulation and physical transport processes and their role in the fate and behavior of potential spilled oil in Gulf of Mexico; marine biological resources - their health and habitat use; and background levels of chemicals that can be associated with oil and gas development activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Quarterly reports are available at <http://cmi.lsu.edu/reports.asp>. Final reports are available online in the Minerals Management Service Environmental Studies Program Information System at: <http://www.gomr.mms.gov/homepg/espis/espisfront.asp>.

Regional or Local Office:

Deborah Epperson, Telephone: 504-736-3257; Fax: 504-736-2901; E-mail: Deborah.Epperson@mms.gov.

Headquarters Office:

James Cimato, Minerals Management Service, 381 Elden Street, MS-4041, Herndon, VA 20170; Telephone: 703-787-1721; Fax: (703) 787-1053; E-mail: james.cimato@mms.gov.

Web Site Address:

<http://www.mms.gov/eppd/sciences/esp/profiles/partners/cmi/index.htm>.

RELATED PROGRAMS:

15.421, Alaska Coastal Marine Institute; 15.423, Minerals Management Service (MMS) Environmental Studies Program (ESP).

EXAMPLES OF FUNDED PROJECTS:

The MMS LSU Coastal Marine Institute funds studies for better understanding marine, coastal or human environments affected or potentially affected by offshore oil and gas or other mineral exploration and extraction on the outer continental shelf. Recent efforts include field observations, laboratory experiments and modeling studies of environmental, social, economic, or cultural processes related to offshore OCS gas and oil activities.

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.423 MINERALS MANAGEMENT SERVICE (MMS) ENVIRONMENTAL STUDIES PROGRAM (ESP)

(ESP)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS

continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, alternate energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the MMS' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, state and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedure:

Applicants are encouraged to post their applications on www.grants.gov. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five applications will be received and five cooperative agreement awards will be granted in FY 2009.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

None.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

No statutory requirements. Cost sharing of 1:1 is encouraged.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 8 years, with funding awarded as a lump sum, or added as funds become available.

Reports:

Quarterly progress reports; a presentation at periodic MMS Information Transfer Meetings; a draft final, proof copy, and final report; draft and final technical

summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Annual financial reports using OMB Standard Form SF-269 are also required.

Audits:

Audit requirements are in accordance with relevant OMB regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302; 14-8370-0-7-302.

Obligations:

(Cooperative Agreements) FY 08 est \$1,000,000; FY 09 est \$1,500,000; and FY 10 est \$1,000,000.

Range and Average of Financial Assistance:

\$100,000 to \$700,000; \$300,000.

PROGRAM ACCOMPLISHMENTS:

The Environmental Studies Program currently funds more than a dozen ongoing research cooperative agreements. This cutting-edge research has already and will in the future benefit the public through an increase in understanding the potential impacts of offshore oil and gas and marine mineral and alternative energy activities on the marine environment. Some of the projects underway to improve understanding include: the physical and biological characteristics of offshore borrow areas for sand and gravel for beach and coastal restoration; the reproductive ecology and health of fish assemblages at oil and gas platforms; ecology and health of intertidal communities in proximity to oil and gas production; and the behavior of sperm whales in response to seismic industry activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available at online in the Minerals Management Service Environmental Studies Program Information System at: <http://www.gomr.mms.gov/homepeg/espis/espisfront.asp>.

Regional or Local Office:

Not applicable.

Headquarters Office:

James Cimato, Minerals Management Service, 381 Elden Street, MS-4041, Herndon, VA 20170; Telephone: (703) 787-1721; Fax: (703) 787-1053; E-mail address: james.cimato@mms.gov.

Web Site Address:

<http://www.mms.gov/eppd/sciences/esp/index.htm>

RELATED PROGRAMS:

15.421, Alaska Coastal Marine Institute; 15.422, Louisiana State University (LSU) Coastal Marine Institute (CMI).

EXAMPLES OF FUNDED PROJECTS:

The MMS ESP funds studies for better understanding of the marine, coastal or human environments affected or potentially affected by offshore oil and gas or other mineral exploration and extraction on the outer continental shelf. Recent efforts include field observations, laboratory experiments and modeling studies of environmental, social, economic, or cultural processes related to offshore OCS gas and oil and marine mineral extraction activities.

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.424 MARINE MINERALS ACTIVITIES

(Marine Minerals Activities)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331.

OBJECTIVES:

To evaluate OCS sand deposits for coastal restoration and beach nourishment needs, and to foster good working relationships regarding OCS mineral issues with coastal States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The funds must be spent for OCS sand activities and not inside State waters. These cooperative agreements are awarded to assist States in order to find sand supplies for beach nourishment projects.

Applicant Eligibility:

Proposals are received from coastal States in need of coastal restoration.

Beneficiary Eligibility:

State agencies and organizations participating in the project, as well as the general public.

Credentials/Documentation:

States submit proposals to the MMS which furnish evidence of the applicant's qualifications for performance of the proposed research.

Preapplication Coordination:

Applicants attend a workshop held by MMS, and define their requirements for the upcoming year. At this time the applicants and the MMS decide which projects will be funded for the upcoming year. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office of official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedure:

This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

An internal MMS review of the State's proposal is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

November of each year or as soon as the MMS is given funding.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years.

Formula and Matching Requirements:

The Leasing Division Marine Minerals Activities encourages a 1-to-1 match of each Federal dollar with a nonfederal dollar.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods.

Reports:

Annual and final technical and financial reports using the OMB SF-269 form are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-5003-0-2-999.

Obligations:

FY 07 \$750,000; FY 08 est \$1,280,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$30,000 to \$760,000.

PROGRAM ACCOMPLISHMENTS:

Sand resources identified and evaluated by this program have been used in 3 beach nourishment projects in the State of Maryland, 5 projects in Virginia, 1 in North Carolina, 4 in South Carolina, 8 in Florida, and 3 in Louisiana.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Endangered Species Act, the National Environmental Policy Act (NEPA) of 1969, and the Coastal Zone Management Act.

Regional or Local Office:

New Orleans Office: MMS, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394; Pacific Office: MMS, 770 Paseo Camarillo, 2nd Floor, Camarillo, CA 93010.

Headquarters Office:

MMS, 381 Elden Street, MS-4021, Herndon, VA 20170.

Web Site Address:

<http://www.mms.gov/sandandgravel>.

RELATED PROGRAMS:

15.423, Minerals Management Service (MMS) Environmental Studies Program (ESP).

EXAMPLES OF FUNDED PROJECTS:

Offshore Cooperative Sand Programs with the following States: Delaware, New Jersey, Massachusetts, New Hampshire, Maine, Maryland, Virginia, North Carolina, South Carolina, Florida, Alabama, Louisiana, Texas, and California. Studies were funded in support of the Hurricane Katrina and Rita Relief efforts in Alabama, Mississippi, Louisiana, and Texas.

CRITERIA FOR SELECTING PROPOSALS:

Cost of the project is the most important criteria followed by relevance to State and Federal needs, and technical ability of the State agency.

15.425 OFFSHORE RESEARCH TECHNOLOGY CENTER (OTRC) TEXAS ENGINEERING EXPERIMENT STATION (TEES)

(OTRC, TEES)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

43 U.S.C. 1331-1356, Outer Continental Shelf Lands Act.

OBJECTIVES:

The MMS, as authorized by the Outer Continental Shelf Lands Act (OCSLA) (43 U.S.C. 1331-1356), is responsible for ensuring that any oil and gas activities located on the OCS conserve resources, operate safely, and protect the coastal and marine environment. The Technology Assessment and Research Program (TA&R) is a research element encompassed by the MMS Regulatory Program. The TA&R Program supports research associated with operational safety and pollution prevention as well as oil spill response and cleanup capabilities. The TA&R Program was established in the 1970's to ensure that industry operations on the Outer Continental Shelf incorporated the use of the Best Available and Safest Technologies (BAST) subsequently required through the 1978 OCSLA amendments. Congress provides TA&R funding to be used by OTRC in order to perform research through the MMS.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

University of Texas and Texas A&M, otherwise known as the Texas Engineering Experiment Station (TEES).

Applicant Eligibility:

The TEES is qualified to receive funding through this cooperative agreement program.

Beneficiary Eligibility:

Research scientists, engineers, and the general public.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including resumes, and lists of past projects. This includes resumes and lists of past projects. No environmental impact statement required. Executive Order 12372 applies.

Preapplication Coordination:

The OTRC must submit ideas and proposals to the MMS. The OTRC consists of the researchers and other faculty from the University of Texas and Texas A&M. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedure:

The OTRC submits proposals to the MMS in order to determine the benefits to the offshore oil and gas operations in a safe and environmentally responsible manner in order to benefit the industry and the public. The MMS determines which proposals will be the most beneficial to the industry and to the public. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Notification of an award is issued by the MMS.

Deadlines:

Deadline for proposals will be on or around December 12 of each year.

Range of Approval/Disapproval Time:

From 90 days to 180 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

No statutory requirement.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month yearly intervals for a total of 60 months.

Reports:

Annual and final technical and financial reports using the OMB Standard Form SF-269 are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

083E4029AAS.

Obligations:

(Cooperative Agreements) FY 07 \$400,000; FY 08 est \$400,000; and FY 09 est \$400,000.

Range and Average of Financial Assistance:

\$20,000 to \$200,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

The MMS has funded OTRC projects to conduct research and assessment studies to promote the development of deep water oil and gas resources in a safe and environmentally sound manner, and to facilitate the development of emerging technologies for the efficient and pollution-free development of deepwater oil and gas resources.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Alaska Office MMS 3801 Centerpoint Drive, Suite 500, Anchorage, AK., 99503-5820; New Orleans Office MMS GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA., 70123-2394; Pacific Office MMS 770 Paseo Camarillo, 2nd Floor, Camarillo, CA., 93010.

Headquarters Office:

MMS 381 Elden Street, MS-4021, Herndon, VA., 20170.

Web Site Address:

<http://www.mms.gov/tarphone>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Research on Suction Caissons and Vertically Loaded Anchors; Deep Sea Furrows; and Seafloor Interaction with Steel Catemary Risers.

15.426 COASTAL IMPACT ASSISTANCE PROGRAM (CIAP)

(CIAP)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE (MMS), DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, as amended; 31 U.S.C. 6301-6305.

OBJECTIVES:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Can only be used to directly benefit an authorized use to conserve, restore, enhance, and protect renewable natural resources. Funding cannot be used for projects that would not provide a direct benefit, including but not limited to, supporting litigation or to fund publicity or lobbying efforts for purposes of influencing or attempting to influence a member of the U.S. Congress or an agency of the Federal government.

Applicant Eligibility:

States eligible to receive funding are: Alabama, Alaska, California, Louisiana, Mississippi, and Texas and 67 coastal political subdivisions among the six

states.

Beneficiary Eligibility:

The producing coastal states, their eligible coastal political subdivisions, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Applicants must provide documentation that they have met state and local permitting requirements.

Preapplication Coordination:

Prior to submitting any grant applications an eligible state must submit to MMS, for approval, a State Plan that provides detail on how a State and its Coastal Political Subdivisions (CPS) will use the CIAP funds and implement the state plan. To assist the States in developing a plan the MMS issued final Coastal Impact Assistance Program Guidelines, and published a Notice of Availability in the Federal Register, September 29, 2006. Coastal Political Subdivisions and State are required by the Act to work together to develop their Plan. The plan should contain prescribed components from Section 384 of the amended OCS Lands Act, which includes an implementation program representing both parties' interests. The State point of contact submits the Plan to the MMS for approval. The MMS will determine within 20 calendar days after receipt of a Plan or Plan amendment whether additional information is needed. If no deficiencies are identified and the required number of copies has been received, the Plan or amendment will be deemed complete. Once a Plan or amendment is determined complete, MMS will have 90 calendar days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). If MMS fails to provide such written notice to the State, the Plan or amendment is deemed to be disapproved. If MMS notifies the State that the Plan or amendment remains under review, MMS will also provide the State with an estimate of the amount of additional time necessary to complete its review. If a Plan or amendment is disapproved, the State may revise and resubmit it. The MMS will then have 20 calendar days to determine completeness; once MMS determines that the revised Plan or amendment is complete, MMS will then have 90 calendar days to approve or disapprove the revised Plan or amendment. On April 16, 2007 MMS released the recipient allotment amounts that will be eligible to spend in 2007 and 2008. The States and CPSs will use this information to develop grant project applications with detailed descriptions of their individual projects, including detailed breakdowns of costs. The recipients will provide in each application the current status of Federal, State and local permits required for the project. A completed grant project application is to be submitted electronically through Grants.gov. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Specific projects submitted to the MMS for funding are subject to the Department of the Interior Regulations at 43 CFR Part 12, Subparts C and E, or any subsequent revisions. The OMB SF-424 application forms must be used for this program. Applications are submitted electronically through Grants.gov.

Award Procedure:

The MMS provides a notice of award to the recipient.

Deadlines:

A Plan or acknowledgement of good intent to provide a Plan must be submitted no later than July 1, 2009.

Range of Approval/Disapproval Time:

MMS will have 90 days or as time is needed to approve or disapprove State Plans. No time limit is established for review of projects.

Appeals:

Applicants can appeal disapproval of Plans or project applications no later than December 2010.

Renewals:

Proposals are submitted for a project period. Projects that were approved and funded during the previous project period may be submitted as renewals for subsequent project periods.

Formula and Matching Requirements:

In accordance with the language of the Act no State will receive less than 1 percent of the \$250 million to be disbursed per year. Of the percentage received by a State, 65 percent remains with the State, and 35 percent is awarded to the coastal political subdivisions (CPS).

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12-month budget periods.

Reports:

Annual and final technical and financial reports (using the SF-269) are required.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-5572-0-2-306.

Obligations:

FY 07 \$250,000,000; FY 08 \$250,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

This program begins in Fiscal Year 2007. There are no program accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.mms.gov/offshore/CIAP/PDFs/CIAPFinalGuidelines100206.pdf.

Regional or Local Office:

Alaska: MMS Regional CIAP Representative, Minerals Management Service, OCS Region, 3801 Centerpoint Drive, Suite 500, Anchorage, Alaska 99503; Alabama, Louisiana, Mississippi, and Texas: MMS Regional CIAP Representative, Minerals Management Service, Gulf of Mexico OCS Region, 1201 Elmwood Park Boulevard, MS 5400, New Orleans, Louisiana 70123; California: MMS Regional CIAP Representative, Minerals Management Service, Pacific OCS Region, 770 Paseo Camarillo, Camarillo, California 93010

Headquarters Office:

National CIAP Coordinator, 381 Elden Street, MS 4040, Herndon, Virginia 20170.

Web Site Address:

www.mms.gov/offshore/CIAPmain.htm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A Coastal Impact Assistance Program is managed by the National Oceanic and Atmospheric Administration. It was a one-year (2001) disbursement of \$150 million to 7 states and 147 coastal political subdivisions. It was enacted with language similar to that guiding the CIAP presently under MMS direction. "Bayou Lamoque Floodgate Removal," Remove existing floodgates from a freshwater introduction structure to allow for the unimpeded flow of water from the Mississippi River into Bayou Lamoque and the surrounding marshes. This will create approximately 620 acres of new marsh over 20 years providing the coastline needed buffering and reduction in coastline erosion.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for approving proposals are identified in the Coastal Impact Assistance Program Guidelines located at: <http://www.mms.gov/offshore/CIAP/PDFs/CIAPFinalGuidelines100206.pdf> and the program announcement found on Grants.gov.

15.427 FEDERAL OIL AND GAS ROYALTY MANAGEMENT

(FOGRMA)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.

OBJECTIVES:

To ensure that all oil, gas, and solid minerals originated on the public lands and on the Outer Continental Shelf are properly accounted for under the direction of the Secretary of the Interior, and for other purposes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All projects are restricted to State and Tribal Governments. No discretionary funds are available under this program.

Applicant Eligibility:

State and Tribal Governments as specified in Title II of the Federal Oil and Gas Royalty Management Act of 1982, as amended.

Beneficiary Eligibility:

States and Federally recognized Tribal Governments that receive funds collected as a result of the compliance activities on Federal and Indian mineral leases.

Credentials/Documentation:

Must follow 30 CFR Parts 200 to 699 Mineral Resources, General Accountability Offices (GAO) Generally Accepted Government Audit Standards (Yellowbook), and Minerals Management Service Audit and Compliance Procedures.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point-of-contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

For grants awarded, the standard application forms furnished by the Federal agency as required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" will apply. Also, reference the procedures outlined in 30 CFR 227.103 entitled, "What must a States delegation proposal contain?" For Indian tribes reference the procedures outlined in 30 CFR 228.100 entitled, "Entering into an Agreement."

Award Procedure:

Projects are reviewed at the Minerals Management Service (MMS) Minerals Revenue Management (MRM) Program level, and funding recommendations are made through each State and Tribe's annual work plan. Final budget approvals rest with the MMS/MRM Associate Directors Washington Office.

Deadlines:

For recipients on the Federal fiscal year cycle, applications are due on July 1st of each year. For recipients operating on an alternate fiscal year, applications are due 90 days prior to award.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires 90 days to receive funding.

Appeals:

None.

Renewals:

For State agreements, 30 CFR 227.110 entitled, When and for how long are delegation agreements effective? For Tribal agreements, 30 CFR 228.101 entitled, Terms of Agreement.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance:

No specific restrictions, however, projects are funded on a year to year basis, and funds are expended during a particular fiscal year. Funding is released either monthly or quarterly through the fiscal year.

Reports:

Performance monitoring schedules and/or progress reports are required quarterly. Financial status reports are required at the end of the yearly performance period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations, Nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 07 \$9,490,000; FY 08 \$10,180,000; and FY 09 est \$10,480,000.

Range and Average of Financial Assistance:

\$70,000 to \$2,100,000; \$536,000.

PROGRAM ACCOMPLISHMENTS:

State and Tribal compliance activities contributed to meeting Government Performance and Results Act (GPRA) goals for the MMS. For fiscal year 2008, 18 applications were received and awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

MMS's cooperative (tribal) and delegated (State) audit program is basically guided by the provisions in 30 CFR Parts 227, 228, and 229. States and Tribes use the guidance contained in the GAO Yellowbook and two MMS procedural manuals for audits and compliance activities.

Regional or Local Office:

Mr. Patrick Milano, P.O. Box 25165, Mail Stop 390B2, Denver, CO 80225-0165. Telephone: (303) 231-3124, fax (303) 231-3473, email: patrick.milano@mms.gov.

Headquarters Office:

Associate Director for Minerals Revenue Management, Minerals Management Service, 1849 C St., NW., Mail Stop 4230, Washington, DC 20240. Telephone: (202) 208-3500.

Web Site Address:

<http://www.mms.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative agreements with States and Tribes to conduct audits, investigations and inspections related to mineral payments made to the MMS which are attributable to leased Federal and Indian lands within State and Tribal boundaries and on the Outer Continental Shelf.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.428 MARINE GAS HYDRATE RESEARCH ACTIVITIES

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act and the Marine Minerals Research Act.

OBJECTIVES:

To characterize and monitor gas hydrate deposits on OCS Block MC-118 in the Gulf of Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The funds must be used for gas hydrate research activities in the OCS of the Gulf of Mexico, specifically for a permanent gas hydrates monitoring station in Block MC-118. The cooperative agreements are awarded to the Center for Marine Resources and Environmental Technology (CMRET) and the Gulf of Mexico Gas Hydrates Research Consortium (GOMGHR), based at the University of Mississippi.

Applicant Eligibility:

Proposals are received from the CMRET (and qualified subcontractors through CMRET) for research and scientific sensory equipment for the monitoring station. Applicants must have expertise in marine gas hydrates.

Beneficiary Eligibility:

Organizations participating in the project, as well as the general public.

Credentials/Documentation:

Technical Proposals are submitted to the MMS which furnish evidence of the applicant's qualifications for performance of the proposed research.

Preapplication Coordination:

Applicants attended workshops and general meetings of the Gulf of Mexico Gas Hydrates Research Consortium held by the CMRET at the University of Mississippi. Research requirements were developed at those meetings for the upcoming year. At that time the applicants and the MMS decided which projects will be funded for the upcoming year. E.O. 12372 applies to this program.

Application Procedure:

This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

An internal MMS review of the proposals is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

June of each year, as long as the MMS has received specific funding for this program.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years.

Formula and Matching Requirements:

The MMS Gas hydrates Research Program encourages matched contributions from other interested Federal agencies.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods.

Reports:

Annual and final technical and financial reports using the OMB SF-269 form are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

FY 07 \$0; FY 08 est \$850,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$0 to \$850,000.

PROGRAM ACCOMPLISHMENTS:

To date, about 2/3 of the components of the monitoring station have been placed at the site and data are being retrieved. The site has also been extensively surveyed and sampled.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Gas Hydrates Research Act, and the Marine Minerals Research Act (1996).

Regional or Local Office:

New Orleans Office: MMS, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394.

Headquarters Office:

MMS, 381 Elden Street, MS-4010, Herndon, VA 20170.

Web Site Address:

<http://www.mms.gov/offshore/gashydrates.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Research work and the development and placement of sensory components for the gas hydrates monitoring station at Block MC-118 was funded by the MMS in 2001-2006.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.504 WATER RECLAMATION AND REUSE PROGRAM

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Reclamation Wastewater and Groundwater Study and Facilities Act, Title XVI, Public Law 102-575, as amended; Reclamation Recycling and Water Conservation Act of 1996, Public Law 104-266; Oregon Public Lands Transfer and Protection Act of 1998, Public Law 105-321; Consolidated Appropriation Act of 2000, Public Law 106-544; Hawaii Water Resources Act of 2000, Public Law 106-566; Lakehaven Utilities District, WA, Project, 2002, Public Law 107-344; Consolidated Appropriations Resolution, 2003, Public Law 108-7; San Diego Creek Watershed, CA, Project, 2004, Public Law 108-233; Williamson County, TX, Project, Public Law 108-316; Hawaii Water Resources Act of 2005, Public Law 109-70.

OBJECTIVES:

This Title gives Reclamation general authority to conduct appraisal and feasibility studies on water reclamation and reuse projects. It also provides general authority for research and demonstration programs to test water reclamation and reuse technologies. Reclamation may also participate in construction of reuse projects after congressional authorization of the project. The original Act provided authority to participate in the design and construction of five specific projects in California and Arizona. The 1996 Act authorized 16 additional recycling projects and 2 desalination demonstration projects. Subsequent amendments to Title XVI and other individual acts authorized nine additional construction projects.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Reclamation is restricted by law to activities in the 17 Western States, unless otherwise authorized by Congress, as was done by Public Law 106-566, which extended Reclamation's authority to conduct Title XVI investigations to include Hawaii. The nonfederal sponsor must complete a feasibility study, including NEPA (National Environmental Policy Act) compliance, complete a cost-share agreement with Reclamation, and furnish a statement of financial capability of the project sponsor to fund the nonfederal share to Reclamation prior to receiving construction appropriations from Congress for the Federal cost share. Operation and Maintenance (O&M) costs for the constructed project must be furnished by the nonfederal sponsor. Federal contributions for demonstration projects that exceed 25 percent of the cost cannot be made unless the Secretary (of the Interior) determines that the project is not feasible without such Federal contribution. Although the Act provides that Reclamation may furnish up to 50 percent of O&M costs for demonstration projects, it is Reclamation policy not to provide funds for this purpose.

Applicant Eligibility:

A legally organized nonfederal entity to sponsor the project is required, such as an irrigation district or a municipality. The applicant must be able to furnish the nonfederal cost share and be able to assume the operation and maintenance of the project upon completion of construction. Research projects may also be

undertaken by colleges and universities and architectural and engineering firms.

Beneficiary Eligibility:

A legally organized nonfederal entity such as an irrigation district or an organization within a municipality, such as the water department.

Credentials/Documentation:

A feasibility report must be completed, either by the nonfederal sponsor alone or with the assistance of the Federal government. A statement of financial capability by the nonfederal sponsor must be provided to Reclamation. This statement demonstrates the capability of the sponsor to fund its portion of the feasibility report, its share of construction costs, and the ability to fund and assume responsibility for the operation and maintenance of the completed project. A cost-share agreement must be completed with Reclamation before funds can be requested for appropriation for construction.

Preapplication Coordination:

An environmental impact assessment or environmental impact statement may be required. This program is excluded from coverage under E.O. 12372. It is recommended nonfederal entities contact the appropriate Reclamation office to discuss the processes and procedures for participation.

Application Procedure:

Discretionary funding opportunities will be posted on Grants.gov. The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subparts C and F, must be used for this program. The required documents must be furnished to Reclamation prior to authorization for construction. Upon satisfactory completion of these documents, Reclamation may seek authorization for the project and initiate appropriation requests. Reclamation has limited funding authority for this program, therefore requests for project construction authority may be delayed until a funding request can be made that is within the authorized limit.

Award Procedure:

After funds are appropriated, Reclamation will complete the necessary paperwork to transfer funds.

Deadlines:

None.

Range of Approval/Disapproval Time:

Authorization of a project and appropriation of funds for its construction are dictated by the schedule of Congress with respect to appropriating funds. Because of the limitation on the ability of Reclamation to request funding for this program, delay can be anticipated in requesting funds for construction. Depending on the number of requests for funding, this delay could be several years.

Appeals:

None.

Renewals:

Continuation of funding from one fiscal year to the next to complete a project is at the discretion of the Congress.

Formula and Matching Requirements:

Appraisal level studies are nonreimbursable, that is, if the Federal government either completes or participates in an appraisal study, its funding requirements are nonreimbursable. Reclamation can cost share up to 50 percent of the cost of a feasibility study with the nonfederal sponsor supplying the balance. Environmental compliance costs are considered to be part of the planning costs and are cost shared accordingly. If the project proceeds to construction, the Federal share of planning becomes part of the total project cost. Reclamation may furnish up to 25 percent of the total project cost up to a maximum of \$20 million per project. The balance of the construction cost must be furnished by the nonfederal sponsor. The entire O&M cost for the project must be borne by the nonfederal sponsor. Ownership of the completed facilities is with the sponsor.

Length and Time Phasing of Assistance:

There are no time restrictions placed on the use of the funds and the funds have generally been made available periodically throughout the year on a reimbursable basis. A schedule is planned between Reclamation and the recipient when the cost share agreement is arranged. However, this schedule can be impacted by funds availability during the year.

Reports:

Reporting requirements are as prescribed by 43 CFR 12, Subparts C and F, as applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The required records will be specified as part of the audit procedure discussed above.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$10,100,000; FY 08 est \$9,780,000; FY 09 est \$9,780,000.

Range and Average of Financial Assistance:

Construction funding is limited to 25 percent of the total project cost or \$20 million per project, whichever is less.

PROGRAM ACCOMPLISHMENTS:

The Arsenic Wellhead Demonstration Project in Albuquerque, NM has been completed, and the Mission Basin Brackish Groundwater Desalting Demonstration Project in Oceanside, CA should be completed in 2006. Construction projects in El Paso, TX, and San Diego, San Gabriel, Watsonville and San Jose, CA, and Albuquerque, NM are progressing. The Long Beach (CA) Desalination Research and Development Project is in the pilot development stage. The comprehensive studies for Southern California and the San Francisco Bay area have been completed. Feasibility studies in Phoenix and Tucson, AZ, Santa Fe, NM, Pasadena and Desert Hot Springs, CA, and Austin and Williamson County TX, are underway. In addition, four new construction projects in southern California were initiated in 2000. They are the North San Diego County Area Water Recycling Project, the Orange County Regional Water Reclamation Project (Phase 1), the Calleguas Municipal Water District Recycling Project, and the Long Beach Area Water Reclamation and Reuse Project.

REGULATIONS, GUIDELINES, AND LITERATURE:

Brochure containing guidelines for nonfederal sponsors to participate in program. No charge.

Regional or Local Office:

Regional Director, Bureau of Reclamation. For addresses, see Appendix IV of the Catalog.

Headquarters Office:

Office of the Commissioner, Bureau of Reclamation, P.O. Box 25007, D-5000, Denver Federal Center, Denver, CO 80225. Contact: Richard A. Martin. Telephone: (303) 445-3710. E-mail: rmartin@do.usbr.gov.

Web Site Address:

<http://www.usbr.gov/pmts/writing/guidelines/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

San Diego Area Water Reclamation Program, CA; San Gabriel Basin Project, CA; Los Angeles Area Water Reclamation and Reuse Project, CA; San Jose Area Water Reclamation and Reuse Program, CA; Watsonville Area Water Recycling Project, CA; Tooele Wastewater Treatment and Reuse Project, UT; Southern Nevada Water Recycling Project, NV; City of North Las Vegas Water Reuse Project, NV; Phoenix Metropolitan Water Reclamation and Reuse Project, AZ; Albuquerque Metropolitan Area Water Reclamation and Reuse Project, NM; and Northwest El Paso Water Reclamation and Reuse Project, TX.

CRITERIA FOR SELECTING PROPOSALS:

Reclamation will place priority on funding projects that are economically justified and environmentally acceptable in a watershed context, not eligible for funding under another Federal program, and that directly address Administration priorities for the Reclamation program, such as providing instream flows for Federally endangered or threatened species, meeting the needs of Native American communities, and meeting international commitments.

15.506 WATER DESALINATION RESEARCH AND DEVELOPMENT PROGRAM

(Desal R&D Program)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Water Desalination Act of 1996, Public Law 104-298, 42 U.S.C. 10301

OBJECTIVES:

The Water Desalination Act of 1996 is based on the fundamental need in the United States and world-wide for additional sources of high quality water. Therefore, the primary objective of the program is to develop more cost-effective, technologically efficient, and implementable methods by which usable water can be produced from saline water or water otherwise impaired or contaminated. The two principal parts of the program are: (1) perform research on desalination technologies and related issues to advance the state of the art forward (research and studies), and (2) conduct development and demonstration activities to test technological advancements, confirm economics, and gain public acceptance (development projects).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To be used to support research and studies, demonstration and development projects and related activities, as described in the Act.

Applicant Eligibility:

Any responsible source, to include individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit

organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations may submit a proposal which will be considered by Reclamation. Foreign entities, other than United States-Mexico binational research foundations and inter-university research programs established by the two countries, are not eligible for funding under the Act. Federal agencies are not eligible to apply.

Beneficiary Eligibility:

Individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and United States-Mexico binational research foundations and inter-university research programs established by the two countries.

Credentials/Documentation:

(1) A detailed written technical proposal including the current status of the technology supporting the proposed research, technical approach proposed to accomplish the work, a scope of work which separates the work into major tasks, applicability to local and/or national water problems, type of personnel participating in the research, type and location of facilities and equipment that will be utilized by the project applicant, experience in this type of research, the environmental impact of the research, an estimate of the costs and/or cost savings (\$/1000 gal) and economic benefit of the technology, and other information that may be useful for proposal evaluation. (2) A detailed project cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task.

Preapplication Coordination:

On an annual basis, a pre-proposal solicitation announcement is issued by Reclamation. Submission of a pre-proposal is not mandatory; however, offerors are strongly encouraged to do so in order to receive the benefit of the initial pre-proposal screening process and thereby eliminate the possibility of submitting a full proposal that would not be considered for award. The pre-proposal application limits the description of a proposed project to six pages. The project description must address each of the following areas: (1) Description of the project objective(s). (2) Description of the approach to be taken to meet the project objectives. (3) Description of the relevance of the project to the Program objectives and specific research Task area. (4) Estimate of the costs and/or cost savings (\$/1000 gal) and economic benefits of the technology. (5) Anticipated funding partners, if any. Applicants must submit an SF-424 with their pre-proposal. Questions regarding the pre-proposal process should be directed to the Grants and Cooperative Agreements Officer identified in the pre-proposal solicitation package. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A full proposal solicitation package is issued annually by Reclamation. There will be a general solicitation and one for pilot plants or a demonstration project in FY02. Submission of full technical and cost proposals in accordance with the instructions stated in the solicitation document is mandatory. Additionally, applicants must submit all applicable SF-424 forms and a DI-2010 form which will be included in the solicitation document.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the annual solicitation are reviewed on the basis of a competitive, merit- review process, and are rated in accordance with evaluation criteria stated in the solicitation document. Reclamation will make awards for the highest rated proposals based on the amount of funding available each year. Reclamation reserves the right to make awards to maintain balance among the program objectives listed in the solicitation document.

Deadlines:

Contact Reclamation for solicitation and proposal dates and deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not applicable. Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of the Congress. Yearly solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration.

Formula and Matching Requirements:

The Federal share of the cost of a project or activity carried out under this Act shall not exceed 50 percent of the total cost of the project or activity. A Federal contribution in excess of 25 percent for a project carried out under this Act may not be made unless the Secretary of the Interior determines that the project is not feasible without such increased Federal contribution. Costs of operation, maintenance, repair, and rehabilitation of facilities funded under the authority of this Act shall be nonfederal responsibilities. In each fiscal year, up to \$1,000,000 may be awarded to institutions of higher education, including United States-Mexico binational research foundations and interuniversity research programs established by the two countries, for research grants or cooperative agreements without any cost-sharing requirement.

Length and Time Phasing of Assistance:

Solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration.

Reports:

The Recipient shall furnish quarterly reports and a final report as a permanent record of the work accomplished under the agreement. A midterm project review shall be held at the Recipient's site approximately 3 to 6 months after project award. Approximately at the time of delivery of the draft final report, the Project Manager shall make a presentation in Denver on the overall progress of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain project records in accordance with the applicable OMB Circular regulations.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$30,000; FY 08 est \$2,275,000; and FY 09 est \$1,075,000.

Range and Average of Financial Assistance:

\$20,000 to \$150,000; \$85,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Reclamation for a copy of the solicitation document.

Regional or Local Office:

None.

Headquarters Office:

Technical/Program Information: Bureau of Reclamation, Water Treatment Engineering and Research Group, Attn: Kevin Price, Mail Code: D-8230, P.O. Box 25007, Denver Federal Center, Denver, CO 80225. Telephone: (303) 445-2260. Fax: (303) 445-6329. E-mail: kprice@do.usbr.gov. Solicitation documents: Bureau of Reclamation, Acquisitions Operations Group, Attn: Randy Jackson, Mail Code: D-7810, P.O. Box 25007, Denver Federal Center, Denver, CO 80225. Telephone: (303) 445-2432. Fax: (303) 445-6345. E-mail: rjackson@do.usbr.gov.

Web Site Address:

<http://www.usbr.gov/water/desal.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of ongoing agreements awarded in FY 01 are: Development of membranes and membrane holding device for direct contact membrane distillation; Predicting membrane flux decline using parameters derived from field flow fractionation measurements; Determination of impact of magnetic fields on reverse osmosis separation; Study of two-phase technique for removal of biofilm and other foulants from spiral wound reverse osmosis membranes; Optimization at pilot plant level of membrane bioreactor systems for water reclamation; and Pilot study of membrane pretreatment (ultrafiltration and microfiltration) for seawater reverse osmosis desalination. In FY 02 Congress instructed Reclamation to develop a desalination technology progress plan and a Tulerosa Basin Desalination R & D Feasibility Study in partnership with Sandia National Laboratories.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: Technical Factors: (1) Demonstrated familiarity with the current technology in the field of work and understanding of the potential difficulties in carrying out the work. (2) Impact of the proposed work on the current technology and on its related economics if a completely successful outcome were achieved. (3) Novelty of approach to the work. (4) Probability of a successful outcome of work. (5) Availability of equipment, instruments, and test facilities required for the work.

Managerial Factors: (1) The qualifications, capabilities, and experience of the proposed project manager and other key personnel who are critical to achievement of the proposed objectives. (2) Adequacy, completeness and realism of the research schedule, task phasing, and milestones. (3) The offeror's capabilities, related experience, facilities, techniques, or unique combinations of these which are integral factors for achieving the proposed objectives. Pricing Factors: (1) Cost realism and reasonableness. This requires that costs be directly relatable to items in the research work plan, reasonable, and be appropriate to the project in terms of dollar amount and quantity. (2) Cost-share. Offerors proposing to provide the most cost-share shall be given greater consideration.

15.507 WATER 2025

(Water 2025 Challenge Grant Program)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Energy and Water Development Appropriations Act, 2006, Title II, Section 205, Public Law 109-103.

OBJECTIVES:

To prevent crises and conflict over water in the western United States. The Challenge Grant Program is designed to contribute to this goal by providing 50 percent funding for projects that will conserve water, increase water use efficiency, or enhance water management, using advanced technology, improvements to existing facilities, and water banks and markets.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To be used for projects that will result in water conservation, more efficient water use, or better management of existing water supplies, which can be completed within 24 months from the date of award. Types of eligible projects include: (1) use of water banks and water markets; (2) modernization of existing facilities using new technology; (3) canal lining; (4) installation of measuring devices; and (5) the development of analytical tools to better manage water resources. Financial assistance under this program is limited to 50% of the total project cost, and generally may not exceed \$300,000 per project. Congress may also authorize the Secretary to enter into grants or cooperative agreements with universities or non-profit institutions to fund water use efficiency research.

Applicant Eligibility:

Irrigation and/or water districts, state governmental entities with water management authority (e.g., state agencies, departments, boards, etc.) and other entities with water delivery authority, located in the states identified in the Reclamation Act of June 17, 1902, as amended, specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming; universities and non-profit research institutions.

Beneficiary Eligibility:

The general public,, irrigation or water districts, and state governmental entities with water management authority, located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing the conservation measures and/or water banking activities to be undertaken, how the amount of saved water will be estimated, the level of cooperation with other parties involved in the proposal, and how the proposal will reduce conflict over water resources. (2) When applicable, a funding plan showing how the applicant will pay for its 50 percent cost share requirement, and an official resolution adopted by the irrigation or water district's governing board of directors, resolving that, if selected, it will negotiate and execute a grant or cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation and maintenance costs, and the value of in-kind contributions of goods and services.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A request for proposals (RFP) is posted annually by Reclamation at Grants.gov. Submission of a complete technical proposal and a project budget proposal in accordance with the instructions in the RFP is mandatory. In addition, applicants must submit all applicable SF-424 forms, which are referenced in the RFP.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual

basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the RFP are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the RFP. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the RFP.

Deadlines:

Visit the Department of the Interior Water 2025 website, <http://www.doi.gov/water2025/>, for current information on any upcoming RFP dates and deadlines, or contact Reclamation.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not applicable. Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be nonfederal responsibilities. When funding is available to universities and nonprofit institutions for research projects, the 50% cost share may not be applicable.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less. However, funding for multi-year projects may be considered if annual accomplishments can be demonstrated.

Reports:

The recipient must furnish quarterly and final financial reports; quarterly, annual and final performance reports; and significant development reports as needed.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

The recipient shall maintain project records in accordance with applicable OMB Circular requirements.

Account Identification:

14-1968-0-1-301.

Obligations:

(Cooperative Agreements) FY 07 \$9,200,000; FY 08 est \$11,000,000; and FY 09 est \$14,475,000.

Range and Average of Financial Assistance:

Challenge Grant Program ranges from \$19,338 to \$300,000; averages \$140,331. Water Efficiency Research averages \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; Standard Forms, http://www.whitehouse.gov/omb/grants/grants_forms.html; Program information, <http://www.doi.gov/water2025/>. Documents may also be obtained by contacting Reclamation.

Regional or Local Office:

None.

Headquarters Office:

Technical/Program Information: Bureau of Reclamation, Office of Program and Policy Services, Attn: Avra Morgan, Mail Code: D-5500, P.O. Box 25007, Denver Federal Center, Denver, CO 80225. Telephone: (303) 445-2906. Fax: (720) 544-4207. E-mail: aomorgan@do.usbr.gov. Solicitation documents: Available through Grants.gov at www.grants.gov.

Web Site Address:

<http://www.doi.gov/water2025/>.

RELATED PROGRAMS:

15.506, Water Desalination Research and Development Program.

EXAMPLES OF FUNDED PROJECTS:

A total of 68 Water 2025 Challenge Grant projects have been funded to date, including 43 projects by irrigation and water districts and other local entities, and 6 more by western states. The funded projects include, among others, 28 projects that will save water by eliminating evaporation and seepage by

converting a total of 74 miles of dirt canals to pipeline and lining a total of 8.6 miles of dirt canals; 46 projects that will improve the efficiency and control of water deliveries by installing water measurement and SCADA devices, and automating delivery systems; and 10 projects that involve marketing water to other water users in conformance with state laws. Short descriptions of each project funded under Water 2025 are available at <http://www.doi.gov/water2025/grant.html>.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: (1) inclusion of water banking or marketing elements; (2) amount of water conserved as a percent of normal supplies; (3) reasonableness of the costs for the benefits gained; (4) the degree to which conserved water contributes toward an established or new water market or bank; (5) documentation of the projected results (i.e. identified the number of acre-feet to be managed, conserved or marketed), and tracking of the post-project results; (6) consistency with applicable water plan(s); (7) ability to pay for project cost and any increase in operation and maintenance costs; (8) costs are reasonable and appropriate for work proposed (including consideration of the percentage of the proposal used for capital improvements); (9) whether more than 50% non-federal funding was proposed; and (10) the relevance to Water 2025, including, the degree of stakeholder involvement and likelihood of reducing conflict, whether the project is in a hot spot, and whether the project is "in a basin with connections to Reclamation project activities."

15.508 PROVIDING WATER TO AT-RISK NATURAL DESERT TERMINAL LAKES

(Desert Terminal Lakes)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Sec. 2507, Public Law 107-171; Energy and Water Development Appropriations Act, 2003, Title II, Sec. 207, Public Law 108-7 (to implement the Truckee River Settlement Act, Public Law 101-618); and Energy and Water Development Appropriations Act, 2004, Title II, Sec. 217, Public Law 108-137; and Public Law 109-103, Energy and Water Development Appropriations Act, 2006, Title II, Sec. 208.

OBJECTIVES:

Under this authority, Reclamation will fund various activities that will provide water to at-risk natural desert terminal lakes or fund earmarked activities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding is directed to be used to provide water only to Walker Lake, Pyramid Lake, and Summit Lake in the State of Nevada. Funding is earmarked in legislation for other specific projects. Reclamation is restricted from using the funds to purchase or lease water rights, unless specifically directed otherwise.

Applicant Eligibility:

State and local public agencies, Indian tribes, nonprofit organizations, and individuals may submit a proposal which will be considered by Reclamation. Foreign entities and Federal agencies are not eligible to apply.

Beneficiary Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, profit organizations, private organizations, quasi-public nonprofit organizations, other private institutions/organization, general public, native American organizations, farmers/ranchers/agriculture producers, and land/property owners.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, benefits to be received by implementation of the project(s), environmental impact(s), an estimate of the amount of water that will reach the impacted desert terminal lake as a result of accomplishing the work, how that amount of water was estimated, timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed cost proposal by project including a budget covering labor categories, salaries and wages with estimated hours, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, other funding sources and commitments, cost-sharing, value of in-kind contributions of goods and services, and a narrative discussion.

Preapplication Coordination:

Funding is allowed for work that will provide water to three specified desert terminal lakes in Nevada. An Environmental Impact Statement or Environmental Assessment may be required depending on the nature of the proposal and its impact on the environment. Summit and Walker Lakes Preapplication Coordination: Although it is not mandatory, Reclamation

strongly encourages offerors to submit a pre-proposal to eliminate the possibility of submitting a full proposal that would not be considered for award. The pre-proposal should describe 1) how the proposal will provide water to the terminal lake, 2) the quantity of water anticipated to be provided, 3) the associated estimated cost, 4) potential environmental impacts, 5) state, local and other permits or approvals needed to implement the proposal. Pyramid Lake Preapplication Coordination: On a periodic basis, a pre-proposal solicitation announcement is issued by Reclamation; the initial solicitation occurred in FY05. This program is excluded from coverage under E.O. 12372. No additional solicitation is planned in FY06.

Application Procedure:

Funding is allowed for work that will provide water to three specified desert terminal lakes in Nevada. For Summit Lake, Reclamation will only accept proposals from the Summit Lake Paiute Tribe, since the lake is entirely within the reservation boundaries and any proposal affecting the lake would need the consent of the Tribe. For Walker Lake, Reclamation will accept applications after the applicant provides enough preapplication information to Reclamation to assure that the proposed work will provide water to Walker Lake, the associated cost is reasonable, and it is likely Reclamation can satisfy its federal Indian trust, endangered species, environmental, and other responsibilities. For Pyramid Lake, a Request for Proposals (RFP) is issued periodically by Reclamation. Discretionary funding opportunities will be posted on Grants.gov. Submission of a complete technical proposal and a project budget proposal in accordance with the instructions in the RFP is mandatory. In addition, applicants must submit all applicable SF424 forms, which are referenced in the RFP.

Award Procedure:

For Summit Lake and Walker Lake, proposals are evaluated using a merit-based review process. For Pyramid Lake, proposals received in response to the RFP are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the RFP. Reclamation will make awards to the highest rated proposals based on the amount of funding available each solicitation period. Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the RFP.

Deadlines:

Contact Reclamation for solicitation and proposal dates and deadlines.

Range of Approval Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

None. Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Funding is available until all funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. On March 2, 2006, the Commissioner of Reclamation proposed to Congress that \$88 million of unobligated Desert Terminal Lakes Program funding be used for a budget rescission; until this is resolved by Congress, no funds will be available beyond those now being processed or that have been previously legislatively earmarked.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Applicants are encouraged to cost share with other funding partners when possible.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Reports:

The recipient must furnish quarterly and final financial reports; quarterly, annual and final performance reports; and significant development reports as needed.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

The recipient shall maintain project records in accordance with applicable OMB Circular regulations.

Account Identification:

14-0680-0-1-301.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$7,000,000; FY 08 est. \$75,000,000; and FY 09 est. \$20,000,000.

Range and Average of Financial Assistance:

Will be provided in the RFP issued and future updates.

PROGRAM ACCOMPLISHMENTS:

During FY04, 4 of 8 earmarked proposals were awarded in the amount of \$1,633,000.00 and two (2) other projects in the amount of \$2,396,811.00. During FY05, 2 earmarked proposals were awarded in the amount of \$1.5 million. During FY06 it is anticipated that \$95 million of earmarked funding will be awarded, along with two other projects in the amount of \$1.5 million. It is estimated that proposals will be issued in subsequent fiscal years until the funding is expended. The number of awards will be based on the funding requested in the proposal and based on the merit to the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; Standard Forms, http://www.whitehouse.gov/omb/grants/grants_forms.html. Documents may also be obtained by contacting Reclamation.

Regional or Local Office:

Technical/Program Information - contact Bureau of Reclamation, Lahontan Basin Area Office, Attention: Carol Grenier, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015. Telephone: (775) 882-3436. Fax (775) 882-7592. Email cgrenier@mp.usbr.gov. Acquisition Office - contact Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone: (916) 978-5130. Fax (916) 978-5175/5182. Email 2WG3@mp.usbr.gov.

Headquarters Office:

None.

Web Site Address:

http://www.usbr.gov/mp/lbao/desert_terminal/index.html

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Infrastructure improvement at a state wildlife management area that will improve water management and consequently allow conserved water to flow to a terminal lake. Infrastructure design and analysis at a geothermal plant to determine whether their current discharge could be transferred to a terminal lake instead of being allowed to evaporate. River restoration with an associated water right transfer. Increased use of recirculated water at a tribal fish hatchery.

CRITERIA FOR SELECTING PROPOSALS:

None.

15.509 COLORADO RIVER BASIN SALINITY CONTROL PROGRAM

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Colorado River Basin Salinity Control Act, Public Law 93-320, 59 Stat. 1219, as amended; Section 2, Public Law 98-569; Section 1, Public Law 104-20; Title III, Subtitle D, Chapter 4, Public Law 104-127, 110 Stat. 1006; Public Law 106-459, 114 Stat. 1987; 43 U.S.C. 1571 and 43 U.S.C. 1592(c).

OBJECTIVES:

To provide financial and technical assistance to: (1) identify salt source areas; (2) develop project plans to carry out conservation practices to reduce salt loads; (3) install conversation practices to reduce salinity levels; (4) carry out research, education, and demonstration activities; (5) carry out monitoring and devaluation activities; and (6) to decrease salt concentration and salt loading which causes increased salinity levels within the Colorado River and to enhance the supply and quality of water available for use in the United States and the Republic of Mexico. Such programs shall consist of cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Eligible owners or operators in approved project areas may receive assistance to treat salinity problems.

Applicant Eligibility:

Federal, Interstate, Intrastate, State, Local, Sponsored organizations, Public nonprofit institution/organizations, Federally Recognized Indian Tribal Government, Individual/Family, Minority Group, Specialized Group, Small Business, Profit Organization, Other private institution/organization, general public, and Native American Organizations.

Beneficiary Eligibility:

Any person in the Colorado River Basin will benefit who uses or reuses water for irrigation, domestic, municipal or industrial water supply, or for fish and wildlife habitat.

Credentials/Documentation:

Some projects may require compliance with the National Environmental Policy Act and Endangered Species Act. Information regarding whether these requirements must be met will be included in the funding opportunity announcement posted on www.grants.gov.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Reclamation will solicit proposals and award funding through a Request for Application (RFA) process. The RFA will request proposals from public and private sectors that would control salinity based on cost per ton. The proposals will be ranked based on their cost effectiveness for preventing salt from entering the Colorado River system. The ranking would consider risk factors that might affect the project's performance. Upon receipt of applications/proposals by the date identified in the announcement(s), the applications will be reviewed to determine if application/proposal(s) are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed against identified criteria by a Federal technical team of experts, that may include representatives of states as advisors. Based on the review, a ranking will be defined. Negotiations will be conducted and/or awards will be made based on recommendations from the technical experts. Award will be based on those applications best meeting the needs of the program, including cost and risk factors.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Approximately 90 Days.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final Program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

FY 07 \$16,408,500; FY 08 est \$7,850,000; and FY 09 est \$6,900,000.

Range and Average of Financial Assistance:

Range - \$12,916 to \$2,570,000; average - \$923,552.

PROGRAM ACCOMPLISHMENTS:

Since the inception of the program, an estimated 570,000 tons of salt have been controlled from entering the river.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Salinity Program: Mr. Kib Jacobson, Bureau of Reclamation, 125 South State

Street, Salt Lake City, UT 84138-1147, Telephone: (801) 524-3753, Fax: (801) 524-5499, E-mail: kjacobson@uc.usbr.gov; or Mr. John Redlinger, Bureau of Reclamation, 400 Railroad Ave, Boulder City, NV 89005, Telephone: (702) 293-8592, Fax: (702) 293-8042, E-mail: jredlinger@lc.usbr.gov. Western Colorado: Mr. Mike Baker, Bureau of Reclamation, 2764 Compass Drive, Grand Junction, CO 81506, Telephone: (970) 248-0637, Fax: (970) 248-0601, E-mail: mbaker@uc.usbr.gov; or Mr. Stan Powers, Bureau of Reclamation, 835 E Second Avenue, Suite 300, Durango, CO 81301, Telephone: (970) 385-6555, Fax: (970) 385-6539, E-mail: spowers@uc.usbr.gov. Eastern Utah and Western Wyoming: Mr. Lee Baxter, Bureau of Reclamation, 302 East 1860 South, Provo, UT 84606, Telephone: (801) 379-1174, Fax: (801) 379-1159, E-mail: lbaxter@uc.usbr.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/dataweb/html/crwq.html>, and
<http://www.usbr.gov/uc/progact/salinity/index.html>.

RELATED PROGRAMS:

10.070, Colorado River Basin Salinity Control Program.

EXAMPLES OF FUNDED PROJECTS:

Salinity Improvement Project; Identifying Sources of Salt and Water in Grand Valley, CO; Eden Valley Salinity Project.

CRITERIA FOR SELECTING PROPOSALS:

In 1984, the Salinity Control Act was amended to direct the Secretary of the Interior to give preference to units which reduce salinity at the least cost per unit of salinity reduction (or cost-effectiveness). Cost-effectiveness is defined as the Salinity Program's annual cost per ton of salt prevented from entering the Colorado River system. Conceptually, cost-effectiveness is analogous to determining the cost per mile to own and operate a car. That computation combines the annual expenses (loan payments, gas, maintenance, etc.) and divides by the miles traveled each year. The key to understanding this approach is to appreciate that the government evaluates all projects as if the money is borrowed from a loan institution and repaid in annual installments over the life of the project. This economic evaluation principle is required by the "Economic and Environmental Principles Guidelines for Water and Related Land Resources Implementation Studies", March 1983. This method lends consistency in the comparison of non-federally financed alternatives (which must borrow funds) to Federally financed projects. Each proposal will be evaluated by a technical review panel in accordance with the following criteria and corresponding percentage weights: (a) Technical merit - those passing will be evaluated further, (b) Cost effectiveness 70 percent of the annual cost for each ton of salt load reduction, expressed in dollars per ton, (c) Performance risk 30 percent of performance risk will evaluate the cost and effectiveness risks of the proposal. More detailed information will be included in each funding announcement or may be obtained from the Salinity Control Program contacts listed above.

15.510 COLORADO UTE INDIAN WATER RIGHTS SETTLEMENT ACT

(Colorado Ute Settlement Act/Animas La Plata)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat. 2763A-266.

OBJECTIVES:

To supply both irrigation and municipal and industrial water supply to the Ute Mountain Ute and Southern Ute Indian Tribes from the Animas-La Plata Project in settlement of water rights claims for the Tribes, and also fulfill other project activities that may be required as a result of the construction, such as relocation of roads and moving power lines. To complete construction of, and to operate and maintain, a reconfigured Animas-La Plata Project, consisting of facilities to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water, to be used for municipal and industrial water supply only, for the Ute Mountain Ute and Southern Ute Indian Tribes, and other tribal and non-tribal entities in the Four Corners Region of the United States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Bureau of Reclamation is specifically authorized to: (1) Construct, operate and maintain a reservoir, a pumping plant, a reservoir inlet conduit, and appurtenant facilities with sufficient capacity to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water to be used for a municipal and industrial water supply; (2) Meet specific annual depletion rates for water allocation delivery. The design and construction functions of the Bureau of Reclamation with respect to the Dolores and Animas-

LaPlata Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Government - Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs; and for projects not awarded subject to Public Law 93-638: State, local, Federally recognized Indian Tribal governments, small businesses, individuals, and profit organizations.

Beneficiary Eligibility:

Federally recognized Indian Tribal Government members and the general public in southwestern Colorado and northwestern New Mexico.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Bureau of Reclamation is required by Public Law 100-585 to use Public Law 93-638 procedures to contract for construction of the Animas-La Plata Project with the Ute Mountain Ute Tribe and the Southern Ute Indian Tribe. Construction contracts for estimated quantities and with firm fixed unit pricing are issued to accomplish the work. For projects under this program that are not required to be awarded subject to Public Law 93-638, funding opportunity announcements, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on <http://www.grants.gov/>.

Award Procedure:

An initial statement of work is issued to the Tribe for review and pricing by the Contract Specialist. The Tribe reviews the initial statement of work, submits and proposal with an estimate for completion of the work. The proposal with cost estimate is evaluated by the Government Technical Team, the Government Cost and Price Analyst, Contract Specialist, Contract Administrator, and Contracting Officer. Negotiations are held on the various elements until an agreement is reached and an award is then made. For projects not awarded subject to Public Law 93-638, all applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Public Law 93-638 contracts and agreements are written for a specific period of time to complete a project, but normally not over 5 years. Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Section 207, Section 17(b) of the Act as amended extended the project period through Fiscal Year 2012.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

Audits are performed by the Inspector General for the overhead rates on the Tribes. In accordance with the provisions of OMB Circular No. A-133

(Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

FY 07 \$57,420,000; FY 08 est \$35,000,000; and FY 09 est \$47,400,000.

Range and Average of Financial Assistance:

FY 05 Range \$210 - \$84,851,143, average \$200,000. FY 06 Range \$600 - \$2,229,810, average \$20,000.

PROGRAM ACCOMPLISHMENTS:

Since 2002, construction contracts in excess of \$211 million have been awarded for the Animas-La Plata Project. Construction on Ridges Basin Dam and pumping plant continued in FY 2005. Excavation for the outlet works tunnel and foundation of the dam and the pumping plant was completed. Construction of 5 of 11 drop structures also was completed. Grouting of the dam foundation is well underway, with placement of dam embankment currently in progress. Placement of concrete for the pumping plant began in 2004 and continues. Final design of components to Navajo Nation Municipal Pipeline and of the inlet conduit is underway. Construction of the entire Animas-La Plata Project is nearly 25 percent complete.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and 18, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; Public Law 93-638, http://www.access.gpo.gov/nara/cfr/waisidx_06/25cfr900_06.html; these documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Rich Ehat, REhat@uc.usbr.gov, Construction Engineer, ALP 100, Western Colorado Area Office, 835 East 2nd Avenue, Suite 300 Durango, Colorado 81301 Telephone: (970) 259-1110 Ext. 1004, Fax: (970) 259-0059.

Headquarters Office:

None.

Web Site Address:

http://www.usbr.gov/library/annual_reports/FY2005/MDandA3.pdf.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Construction of Durango Pumping Plant Stage 2, Ridges Basin Dam Completion, and Outlet Works Tunnel Excavation; Archaeology: Cultural Resources Investigations; and Relocation of Atmos Gas Pipeline and Associated Facilities.

CRITERIA FOR SELECTING PROPOSALS:

There are no criteria for selecting proposals for projects awarded under Public Law 93-638 procedures. When needed work is identified, a request for proposal is issued with a statement of work. The Tribe submits a proposal based on the statement of work. Negotiations are held to arrive at a mutually agreed upon Public Law 93-638 contract for award of the project and the costs associated with performance of the work. For any projects that may be identified that are not subject to Public Law 93-638 procedures, specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.511 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

National Historic Preservation Act of 1966, Pub. L. 89-665, as amended; Archaeological and Historic Preservation Act of 1974, P.L. 93-291 and 16 U.S.C. 469-469 c.

OBJECTIVES:

To manage and protect cultural resources on Reclamation land and to provide for the curation of and public access to collectible heritage assets, including the increase of public awareness, appreciation, and knowledge of these resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

All activities are related to Reclamation programs and activities. Cooperative agreements are used for providing long-term care of Reclamation-owned heritage assets. Awards made under the National Historic Preservation Act are limited to project grants for providing heritage education services and, per 36

CFR Part 79, the curation of museum property. The amount of funding available for projects is highly variable from year to year. Projects are approved through the budget cycle and may require up to three years for funding approval.

Applicant Eligibility:

State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional requirements.

Beneficiary Eligibility:

State and local governments, Tribes, universities, general public, entities that have an education mission or mission-component, and repositories that meet the standards of the Department of the Interior, Department Manual, Part 411: Managing Museum Property, Chapters 1, 2, and 3, for facilities managing Federal museum property. Further information regarding the general purpose and scope of the Department Manual (DM) is included in Part 001, Chapter 1, of the DM. The DM may be accessed at http://elips.doi.gov/app_DM/index.cfm?fuseaction=home.

Credentials/Documentation:

Repositories must meet the standards for managing museum property at 411 DM Chapters 1 through 3; and repositories holding archeological collections must also meet the standards for "Curation of Federally-owned and Administered Archeological Collections" included in the Code of Federal Regulations at Title 36, Part 79, available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>. Activities under the Archaeological and Historic Preservation Act must be conducted by educational or scientific organizations, or any institution, corporation, association, or qualified individual.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and award assistance agreements within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years

ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after final request of reimbursement. For museum property, records must be in accordance with the specifications of 36 CFR Part 79 and 411 DM 1 through 3.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$899,669; FY 08 est not separately identifiable; and FY 09 est \$289,000.

Range and Average of Financial Assistance:

Heritage education agreements: one annually in the amount of \$15,000. Curation agreements: Range \$5,000 - \$190,000. Agreements under the Archaeological and Historic Preservation Act: Range \$25,000 - \$250,000. Average approximately \$50,000.

PROGRAM ACCOMPLISHMENTS:

Heritage education grants have been awarded since 1993. Numerous assistance agreements have been awarded to state and governmental entities, and universities for the curation of museum property. Assistance agreements have been awarded to universities for activities associated with the Archaeological and Historic Preservation Act.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reclamation's cultural resources management program is governed by 36 CFR Part 800; 43 CFR Part 7; Department of the Interior Manual Chapter 411, Chapters 1 through 3; and Reclamation Policy and Directives & Standards for Cultural Resources Management and Museum Property Management available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page1/. 43 CFR 12 and 18, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page1/; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

Please see listing of contacts at www.usbr.gov/cultural/crmstaff.html.

Headquarters Office:

Thomas Lincoln, Federal Preservation Officer, Bureau of Reclamation, P.O. Box 25007 (Mail Code: 84-53000), Denver, Colorado 80225-0007.

Web Site Address:

<http://www.usbr.gov/cultural/> and <http://www.usbr.gov/museumproperty/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Cooperative agreements with entities to provide long-term curation of Reclamation-owned museum property, and grants to provide heritage education to the public including lesson plans to be used in promoting heritage education on Indian tribal schools and development of web sites to Reclamation's contribution to archaeology site preservation and protection.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov/.

15.512 CENTRAL VALLEY PROJECT IMPROVEMENT ACT, TITLE XXXIV

(CVPIA)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575.

OBJECTIVES:

The purposes of the CVPIA are (1) to protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California, (2) to address impacts of the Central Valley Project on fish, wildlife, and associated habitats, (3) to improve the operational flexibility of the Central Valley Project, (4) to increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation, (5) to contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary, and (6) to achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, and the effects of the CVP on anadromous fish populations. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities.

Applicant Eligibility:

Irrigation and/or water districts; state, local, and county governmental entities with water management authority (e.g., State and Federal agencies, departments, boards, etc.); and other entities with water delivery authority as well as private nonprofit or profit organizations, and individuals located in the State of California

Beneficiary Eligibility:

General public, government agencies, and water management authorities located in the State of California.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

For current information on any upcoming funding opportunity announcement dates and deadlines, contact the Mid-Pacific Region, MP-400 Division of Resources Management, Sacramento, California, as listed in the Contacts section below.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

The Federal share of the costs of a project or activity carried out under this Program varies in accordance with the provisions of the CVPIA. Cost-share requirements will be included in the funding opportunity announcement posted on www.Grants.gov.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less; however, funding for multi-year projects may be considered if annual accomplishments can be demonstrated.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, Except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5173-0-2-301.

Obligations:

FY 07 \$5,500,000; FY 08 not separately identifiable; and FY 09 est \$18,000,000.

Range and Average of Financial Assistance:

\$5,000 to \$2,400,000; average \$307,000.

PROGRAM ACCOMPLISHMENTS:

Programmatically, the number of awards has been based on the level of funding received and the need to solicit for proposals. For FY 2004 to present, the Habitat Restoration Program (Section 3406 (b)(1) Other has provided approximately 80 percent to 85 percent of its yearly budget toward project grants (grants and cooperative agreements). The Anadromous Fish Screen Program Section 3406 (b)(21) has awarded funds toward cooperative agreements sharing the costs associated with the activities but not to exceed 50 percent of the total cost of any such activity (Total fish screen obligations for FY 1992 to date are about \$92,400,000). Other programs may provide funding for grants and/or cooperative agreements based on project needs, types of projects to be implemented, interested parties, and funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. Program information, http://www.usbr.gov/mp/cvpia/title_34/public_law_complete.html. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

Frank Michny, Acting Assistant Regional Director, MP 115, (916) 978-5010
Micheal Heaton, Acting Chief Division of Resources Management, MP-400, (916) 978-5556. Shana Kaplan, CVPIA Program Manager, MP 400, (916) 978-5190.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/mp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Anadromous fish habitat restoration, implementation of the Trinity River fishery restoration program, fish screen improvements, canal lining, conveyance of water to wildlife refuges, and watershed monitoring, operation and maintenance.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.513 DUTCH JOHN FEDERAL PROPERTY AND DISPOSITION ASSISTANCE ACT

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Dutch John Federal Property Disposition and Assistance Act of 1998, Public Law 105-326, 16 U.S.C. 460v.

OBJECTIVES:

To privatize certain lands in and surrounding Dutch John, Utah; to transfer jurisdiction to certain Federal property Between the Secretary of Agriculture and the Secretary of the Interior; to improve the Flaming Gorge National Recreation Area; to dispose of certain residential units, public buildings, and facilities; to provide interim financial assistance to local government to defray the cost of providing basic governmental services; to achieve efficiencies in operation of the Flaming Gorge Dam and Reservoir and the Flaming Gorge National Recreation Area; to reduce long-term Federal outlays; and to serve the interests of the residents of Dutch John and Daggett County, Utah, and the general public.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For the purpose of defraying costs of transition in administration and provision

of basic community services, an annual payment of \$300,000 (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) shall be provided from the Upper Colorado River Basin Fund to Daggett County, Utah, for a period not to exceed 15 years. At the present time, no new project awards are being made under this authority and none are anticipated. Funding is only available for continuation of the existing grant with Daggett County.

Applicant Eligibility:

The residents in and around Daggett County, Utah.

Beneficiary Eligibility:

The residents in and around Daggett County, Utah.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

At the present time, program funding is limited to providing additional annual funding to the existing project agreement with Daggett County. The Bureau of Reclamation will transfer on an annual basis the sum of \$300,000 to Daggett County, Utah, (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) by a amendment to the existing agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grant funding is for a total of 15 years.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after Dec. 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after Dec. 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after submission for final request of reimbursement. State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

FY 07 \$367,763; FY 08 est \$376,000; and FY 09 est \$400,000.

Range and Average of Financial Assistance:

The range is from \$345,668 to \$373,874 with an average of \$359,679.

PROGRAM ACCOMPLISHMENTS:

A years worth of funding was provided to assist in turning the facilities over to Dutch John. Increased funding was provided in fiscal year 2006 to provide assistance for repairs of the sewer treatment facility. This funding was used to restore the sewer treatment facility that was partly discontinued from use by the Bureau of Reclamation. As of March, 2000, twenty residential properties and two commercial parcels have been sold, nine additional residential properties, the State of Utah storage yard has been transferred without cost. During April 2000, 1810 acres surrounding the developed area were transferred to Daggett County. The school properties have been transferred to the Daggett County School District. Daggett County is maintaining all vacant grounds and roads and operating the Fire Department and conference hall.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>;
OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html.

These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Mr. Steve Hulet, Acting Manager, Telephone: (435) 885-3238, Fax: (435) 885-3224, E-mail: Shulet@uc.usbr.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/uc/news/dutchjohnfacts.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

During April 2000, 1810 acres surrounding the developed area were transferred to Daggett County. As of December 1, 1999, Bridger Valley Electric Association took over the operation and maintenance of the electrical system. A three acre parcel of land which was sold has a new commercial building under construction and should be doing limited business by April 17, 2000. Daggett County is maintaining all vacant grounds and roads and Operating the Fire Department and conference hall.

CRITERIA FOR SELECTING PROPOSALS:

No proposals are issued. Funding is transferred annually to Daggett County, Utah, in accordance with Section 13 of the Act.

15.514 RECLAMATION STATES EMERGENCY DROUGHT RELIEF

(Emergency Drought Relief and Drought Contingency Planning)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Reclamation States Emergency Drought Relief Act of 1991, Title II, Public Law 102-250, 43 U.S.C. Section 2201-2214, as amended; Hawaii Water Resources Act of 2000, Title II, Public Law 106-566.

OBJECTIVES:

To provide planning assistance that would minimize and mitigate losses and damages resulting from drought conditions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

In consultation with other appropriate Federal and State officials, Indian tribes, public, private, and local entities, Reclamation may prepare, or participate in the preparation of, plans for the prevention or mitigation of drought impacts. Financial assistance for the development of drought contingency plans may be provided in the form of cooperative agreements to States, Indian tribes, public, private, and local entities located within the 17 Reclamation States (Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming) and Hawaii. Pursuant to Public Law 93-638, an Indian tribe is entitled to contract for any activities that Reclamation would otherwise conduct pursuant to Section 201 or 202 of Title II of the Act on the tribe's behalf which would benefit the tribe exclusively. Reclamation may provide technical assistance for drought contingency planning in any of the 50 U.S. States and territories and to local and tribal government entities.

Applicant Eligibility:

State and local entities and Federally Recognized Indian Tribal Governments within the following 17 Western U.S. States: Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Wyoming, Utah, and Washington; and Hawaii. Technical assistance can also be requested for all 50 U.S. States and the following U.S. Territories: Puerto Rico, the Republic of the Marshall Islands, the Federated States of Micronesia, the Trust Territory of the Pacific Island, and upon termination of the Trusteeship, the Republic of Palau, the United States Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

General public; Federal, State and local governments; and Federally Recognized Indian Tribal Governments within the 50 U.S. States and Territories.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other

forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Applications will be received by the Bureau of Reclamation and forwarded to the respective Regional Offices, where they are prioritized, merited and consolidated. Regional Directors will then forward their prioritized lists of funding requests to the Bureau of Reclamation Drought Coordinator who will make the final recommendations regarding which applications will receive awards. If a request is not approved, the Bureau of Reclamation Drought Coordinator will prepare a response letter to notify the applicant that their project was not selected for an award. If a request is approved, a letter of approval will be prepared by the Reclamation Drought Coordinator that includes a delegation of authority to the appropriate Regional Director and includes funding information. This letter will be forwarded to the appropriate Regional/Area Office responsible for implementing the approved actions. The respective Regional/Area Office will develop their agreements and Public Law 93-638 contracts/agreements in compliance with applicable regulations.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Extensions may be granted by the Commissioner of the Bureau of Reclamation or the Regional Office may be granted authority to implement the approved assistance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance may be approved at any time of the year and agreements are generally awarded for a six month period, unless an extension is granted by the Commissioner (or the Regional Office granted authority to implement the approved assistance).

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$475,000; FY 08 est \$436,000; and FY 09 est \$500,000.

Range and Average of Financial Assistance:

Range \$25,000 to \$75,000; Average \$470,000.

PROGRAM ACCOMPLISHMENTS:

No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; Documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Great Plains Region: Rick DeVore, Regional Drought Coordinator, GP-4500, P.O. Box 36900, Billings, MT 59107-6900, Telephone: (406) 247-7757, Fax: (406) 247-7793; Lower Colorado Region: Steve Jones, Regional Drought

Coordinator, B4230, P.O. Box 61470, Boulder City, NV 89006-1470, Telephone: (702) 293-8186, Fax: (702) 293-8042; Mid-Pacific Region: Lucille Billingsley, Regional Drought Coordinator, MP-410, Federal Office Building - 2800 Cottage Way, Sacramento, CA 95758, Telephone: (916) 978-5215, Fax: (916) 978-5290; Pacific Northwest Region: Megan Montague, Regional Drought Coordinator, PN-6436, 1150 N. Curtis Rd, Suite 100, Boise, ID 83706-1234, Telephone: (208) 378-5396, Fax: (208) 378-5305; Upper Colorado Region: Mike Stuver, Regional Drought Coordinator, UC-439, 125 S. State Street, Room 6107, Salt Lake City, UT 84138-1147, Telephone: (801) 524-3745, Fax: (801) 524-5499.

Headquarters Office:

Lilas V. Lindell, Reclamation Drought Coordinator, Bureau of Reclamation, Mail Code 84-52000, P.O. Box 25007, Denver, CO 80225-0007. Telephone: (303) 445-2889.

Web Site Address:

<http://www.usbr.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects typically funded under Title II of the Reclamation States Emergency Drought Relief Act of 1991, as amended, are drought contingency plans. These drought contingency plans have been prepared by States, counties, and local entities and Federally Recognized Indian Tribal Governments.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov, and on the basis of funding availability and priority needs in a particular fiscal year.

15.515 FEDERAL RESOURCE PROTECTION

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Cooperation of the Secretary of the Interior with State and Local Regulatory and Law Enforcement Officials, Public Law 98-552, 42 U.S.C. 1962d-19.

OBJECTIVES:

The Secretary of the Interior, in connection with Federal resource protection and administration of the use and occupancy of lands and waters within a Department of the Interior water resource development project, is authorized to cooperate with regulatory and law enforcement officials of any State or State political subdivision in the enforcement of the laws or ordinances of that State or political subdivision.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Awarded projects are limited to the enforcement of State, or political subdivision, laws and ordinances on water resource development projects within the jurisdiction of the Department of the Interior. Payment for recipient expenses is limited to the reimbursement method.

Applicant Eligibility:

Regulatory and law enforcement agencies of any State or political subdivision thereof.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Applicants must have authority to enforce the laws or ordinances of their State or political subdivision.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the law enforcement and security areas. Applications will be reviewed by the Bureau of Reclamation Law Enforcement Administrator against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, his designee, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting

the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Agreements are typically awarded for one year, and may include up to four annual renewals. Funding is restricted to the reimbursement method, but there is no restriction on how frequently reimbursement may be requested.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$628,843; FY 08 not separately identifiable; and FY 09 not separately identifiable.

Range and Average of Financial Assistance:

Range \$5,276 - \$800,000; Average \$69,655.

PROGRAM ACCOMPLISHMENTS:

Law enforcement activities at Bureau of Reclamation dams, reservoirs, water projects, and associated buildings and grounds. Information is not currently available on the number of future funding opportunity announcements.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None.

Headquarters Office:

Mr. Vincent Parolisi, Chief of Law Enforcement, Telephone: (303) 445-3245.

Web Site Address:

<http://www.usbr.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Law enforcement activities at Canyon Ferry Dam and Reservoir, Colorado Big Thompson Facilities, Pueblo Dam, Brantley Reservoir, and Flaming Gorge Dam and Related buildings and grounds.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.516 FORT PECK RESERVATION RURAL WATER SYSTEM

(Fort Peck Water Supply Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Fort Peck Reservation Rural Water System Act of 2000, Public Law 106-382.

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Fort Peck Indian Reservation in the State of Montana; and to assist the citizens in those portions of Roosevelt, Sheridan, Daniels, and Valley Counties that are outside the Fort Peck Indian Reservation, in developing safe and adequate municipal, rural, and industrial water supplies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The project is for the construction of municipal, rural, and industrial water supply systems that will serve the Fort Peck Indian Reservation (Assiniboine and Sioux Rural Water System), and all or portions of Valley, Daniels, Sheridan and Roosevelt counties in northeastern Montana (Dry Prairie Rural Water System). At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Fort Peck Tribal Executive Board, Dry Prairie Rural Water Association Incorporated (or any successor non-Federal entity).

Beneficiary Eligibility:

The Fort Peck Indian Reservation, the Dry Prairie Rural Water Association, and the residents of all or portions of Valley, Daniels, Sheridan and Roosevelt counties in the State of Montana.

Credentials/Documentation:

None.

Preapplication Coordination:

These projects have met the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of these projects will be reviewed for continued compliance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable

Appeals:

Not applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing and in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The Federal share of the Fort Peck project is 100 percent (Sec. 4(j)); the Federal share of the Dry Prairie Rural Water System project is 76 percent (Sec. 5(a)(2)). Construction costs for both projects will total \$193 million (1998 dollars) with Dry Prairie's cost being \$68 million and the Reservation's portion being \$125 million. Funds are to be available until expended.

Length and Time Phasing of Assistance:

Funding for these projects was authorized to be appropriated over a period of 10 fiscal years.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$5,000,000; FY 08 est \$6,763,000, and FY 09 est \$0.

Range and Average of Financial Assistance:

Average \$10,167,000.

PROGRAM ACCOMPLISHMENTS:

Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; partial construction of the systems in accordance with the final engineering report.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137, Telephone: 406.247.7298, E-mail: djewell@gp.usbr.gov.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov.

Web Site Address:

<http://www.nemontel.net/~dprw/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Construction of municipal, rural, and industrial water supply systems for the Fort Peck Indian Reservation and for all or portions of Valley, Daniels, Sheridan, and Roosevelt counties in northeastern Montana.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.517 FISH AND WILDLIFE COORDINATION ACT

(FWCA)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended; as limited by the Secretary of the Interior delegation of authority at 255 DM 14.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of fish and wildlife habitats associated with water systems or water supplies affected by Bureau of Reclamation projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

In accordance with the delegation of authority to the Bureau of Reclamation in the Department of the Interior Department Manual, Part 255, Chapter 14, available at http://elips.doi.gov/app_DM/act_getfiles.cfm?relnum=3062, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal

evaluation panel comprised of qualified experts in the program area.

Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$12,323,628; FY 08 not separately identifiable; and FY 09 not separately identifiable.

Range and Average of Financial Assistance:

Range of \$5,000 to \$3,123,390.

PROGRAM ACCOMPLISHMENTS:

Improvements to fish and wildlife habitat through award of projects that track fish and wildlife movement, habitat temperature monitoring, collection and analysis of invertebrates, genetics studies, surveying and monitoring of fish and wildlife and salinity studies.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Bureau of Reclamation Office listed in the funding announcement.

Regional or Local Office:

Specific contact information will be provided in each funding announcement.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Wetland habitat research, study of riparian vegetation, habitat restoration.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.518 GARRISON DIVERSION UNIT

(Garrison Diversion Unit Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; Dakota Water Resources Act of 2000, Public Law 106-554.

OBJECTIVES:

To meet the water needs within the State of North Dakota by providing funds on a non-reimbursable basis for the planning and construction of a multi-purpose water resource development project within the State of North Dakota for irrigation; municipal, rural, and industrial water; fish, wildlife, and other natural resource conservation and development; recreation; flood control; augmented stream flows; ground water recharge; and other project purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Different projects and programs under the authorization have different cost ceilings. The Bureau of Reclamation's Great Plains Region shall enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa to undertake the authorized activities, programs and projects as the Secretary of the Interior determines necessary.

Applicant Eligibility:

The State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Beneficiary Eligibility:

The citizens of the State of North Dakota and the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Credentials/Documentation:

See Public Law 99-294, Section 2, for considerations to be given to fish and wildlife conservation, including habitat impacts, mitigation procedures, and enhancement. See Public Law 99-294, Section 3, regarding when it may be necessary for applicants to complete a comprehensive report covering feasibility, capability, impacts, cost and efficiency measures, National Environmental Policy Act requirements, and other matters. Please contact the Bureau of Reclamation personnel listed in the Contacts section, below, for further information regarding these requirements.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. This program is excluded from coverage under E.O. 12372. If requested, the Bureau of Reclamation's Great Plains Region will assist the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa in preparing their respective proposals.

Application Procedure:

Applications shall include: (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services. Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with their technical and budget proposals.

Award Procedure:

Once the parties agree with their respective scopes of work and cooperative agreements, the Bureau of Reclamation's Great Plains Region will finalize the agreements. The Bureau of Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa. The tribes may choose to enter into an agreement under Public Law 93-638, the Indian Self-Determination and Education Assistance Act. For those agreements that are in place, the Bureau of Reclamation negotiates annually the workplan and budget for that fiscal year.

Deadlines:

Varies by project. Contact the Regional Office listed below for deadline dates.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$24,221,000; FY 08 est \$17,642,000; and FY 09 est \$23,960,000.

Range and Average of Financial Assistance:

Range - \$2,000 to \$1,427,500; Average - \$320,000.

PROGRAM ACCOMPLISHMENTS:

Technical and environmental reports required by the various activities, projects, and programs; construction of municipal, rural and industrial water supply systems; and enhancement of recreation facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: dbreitzman@gp.usbr.gov.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov.

Web Site Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The tribal municipal, rural and industrial water supply systems projects; the tribal operation and maintenance activities; the Red River Valley feasibility study and environmental assessment; the State of North Dakota municipal, rural and industrial water supply systems projects; the enhancement of Garrison recreation facilities.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling, and any stated evaluation criteria.

15.519 INDIAN TRIBAL WATER RESOURCES DEVELOPMENT, MANAGEMENT, AND PROTECTION

(Indian Tribal Water Resources)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Division D Energy and Water Development Appropriations, Title II, Section 201, Public Law 108-7.

OBJECTIVES:

To increase opportunities for Indian tribes to develop, manage, and protect their water resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and cooperative agreements, pursuant to 31 U.S.C. 6301-6308, are authorized to increase opportunities for Indian tribes to develop, manage, and protect their water resources. Nothing in this Act is intended to modify or limit the provisions of the Indian Self-Determination Act (25 U.S.C. 45 et seq.).

Applicant Eligibility:

Federally recognized Indian tribes, institutions of higher education, national Indian organizations, and tribal organizations located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Beneficiary Eligibility:

Federally recognized Indian tribes in the 17 western states.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of Bureau of Reclamation program managers who are qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects generally last one to two years.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82. Institutions of higher education shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$3,829,061; FY 08 not separately identifiable; and FY 09 not separately identifiable.

Range and Average of Financial Assistance:

Range \$4,000 to \$800,000, average \$110,000.

PROGRAM ACCOMPLISHMENTS:

Projects have been awarded for improvement of infrastructure, restoration of fish and wildlife, and habitat improvement. Information is not available on the number of projects that will be announced this year.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Pacific Northwest Region: Telephone: (208) 378-5012, Web: <http://www.usbr.gov/native/regions/pn/index.html>; Mid-Pacific Region: Telephone: (916) 978-5000, Web: <http://www.usbr.gov/native/regions/mp/index.html>; Lower Colorado Region: Telephone: (702) 293-8411, Web: <http://www.usbr.gov/native/regions/lc/index.html>; Upper Colorado Region: Telephone: (801) 524-3600, Web: <http://www.usbr.gov/native/regions/uc/index.html>; Great Plains Region: Telephone: (406) 247-7600, Web: <http://www.usbr.gov/native/regions/gp/index.html>.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/native/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Well closures, drought relief, water needs assessments, aquifer studies, water management database, infrastructure improvement, and water quality monitoring.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects must be related to the development, management and protection of Indian tribal water resources. Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.520 LEWIS AND CLARK RURAL WATER SYSTEM

(Lewis and Clark Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246.

OBJECTIVES:

To provide safe and adequate municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This project is for the planning and construction of a bulk water supply system to provide municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa. Congress has specifically authorized the Bureau of Reclamation to administer this project in southwestern Minnesota and northwestern Iowa. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Lewis and Clark Rural Water Supply System, Inc., and its member entities (rural water systems or municipalities that meet the Lewis and Clark Rural Water Supply System, Inc., requirements for membership).

Beneficiary Eligibility:

The communities and five rural water systems in Lake, McCook, Minnehaha, Turner, Lincoln, Clay, and Union Counties, in southeastern South Dakota; Rock and Nobles Counties, in Southwestern Minnesota; and Lyon, Sioux, Osceola, O'Brien Dickinson, and Clay Counties, in northwestern Iowa.

Credentials/Documentation:

The project requires submission of a final engineering report and a plan for water conservation and mitigation plans for fish and wildlife losses in

accordance with Section 4104 of the Act.

Preapplication Coordination:

Preapplication is not required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Amendments to incorporate annual work plans and budgets are negotiated annually. The recipient must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The Federal share of the project is 80 percent; except that Federal funds for the City of Sioux Falls, South Dakota, shall be 50 percent of the incremental cost to the city of participation in the project. (Public Law 106-246, Section 4108).

Length and Time Phasing of Assistance:

As of September 30, 2004, the federal appropriation ceiling as prepared by the Bureau of Reclamation was \$332,355,000 and the projected cost ceiling from all funding sources was \$423,198,000. Funds are to be available until expended.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$21,000,000; FY 08 est \$26,000,000; and FY 09 est \$4,850,000.

Range and Average of Financial Assistance:

Average \$17,500,000.

PROGRAM ACCOMPLISHMENTS:

Required technical and environmental reports for the construction of the municipal, rural, and industrial systems; construction of the systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Bureau of Reclamation office listed below.

Regional or Local Office:

Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: dbreizman@gp.usbr.gov.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, Email: kbanks@gp.usbr.gov.

Web Site Address:

<http://www.lcrws.org/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Continuing construction of the Lewis and Clark water supply system.

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.521 LOWER RIO GRANDE VALLEY WATER RESOURCES CONSERVATION AND IMPROVEMENT

(Lower Rio Grande Valley Irrigation Projects).

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.

OBJECTIVES:

To investigate and identify opportunities to improve the supply of water in the program area identified as the counties in the State of Texas in the Rio Grande Regional Water Planning Area known as Region M.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cost ceilings of \$8,000,000 for conservation and improvements (section 3(g)) and \$47,000,000 for construction (section 4(c)). Reclamations Great Plains Region will enter into cooperative agreements with the State of Texas, water users in the program area, specified irrigation districts, and other non Federal entities to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient. A substantial portion of this program is directed toward funding 19 construction projects specifically identified in the Lower Rio Grande Valley Water Resources Act, as amended.

Applicant Eligibility:

The State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities.

Beneficiary Eligibility:

The general public located in the state of Texas.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.(2) Applicants shall provide a report in accordance with Section 3(c) of the Act that includes, but is not limited to, (a) estimated project costs, conservation measures, and operational efficiency improvements, (b) a project plan and map, and (c) a cost-sharing commitment for applicant funding of its proportional share of project construction costs on an annual basis.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Before providing funding for a project to the nonFederal project sponsor, the Secretary of the Interior shall determine that the nonFederal project sponsor is financially capable of funding the projects nonFederal share of the project costs. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of

Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The nonfederal share of total project costs shall be 50 percent. Not more than 40 percent of the project costs may be paid by the State. The remainder of the nonfederal share may include in-kind contributions of goods and services, and the actual funds previously spent on feasibility and engineering studies.

Awarded agreements must contain a commitment by the nonfederal project sponsors to fund their proportionate share of the project's construction costs on an annual basis. (Public Law 106-576, Section 4(b), as amended).

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established with the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$1,200,000; FY 08 est \$2,519,000; and FY 09 est \$50,000.

Range and Average of Financial Assistance:

Average \$1,460,000.

PROGRAM ACCOMPLISHMENTS:

Reclamation has entered into several cooperative agreements with irrigation districts in the project area for planning, design, and construction activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

Oklahoma Texas Area Office: Mr. Mark Trevino, Area Manager, Bureau of Reclamation, Address: 5316 Highway 290 West, Suite 510, Austin, TX 78735-8931, Telephone: (512) 899-4150, E-mail: mtrevino@gp.usbr.gov.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings MT 59101, Telephone: (406)247-7710, fax: (406)247-7695, E-mail: kbanks@gp.usbr.gov.

Web Site Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Replacement of river pumping plant and construction of canal interconnect system, main canal replacements, water conservation improvement project, reservoir renovation telemetry and flow measurement projects, and lateral rehabilitation.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.522 MNI WICONI RURAL WATER SUPPLY PROJECT

(Mni Wiconi Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434.

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Pine Ridge Indian, Rosebud Indian, and Lower Brule Indian Reservations in South Dakota; to assist the citizens of Haakon, Jackson, Jones, Lyman, Mellette, Pennington, and Stanley Counties, South Dakota, to develop safe and adequate municipal, rural, and industrial water supplies; to promote the implementation of water conservation programs at these locations; to provide certain benefits to fish, wildlife, and the natural environment of South Dakota; and in consultation with the Oglala, Rosebud, and Lower Brule Sioux Tribes, to conduct feasibility studies on the need to develop water disposal facilities and systems and rehabilitate existing waste water disposal facilities and systems on the Pine Ridge, Rosebud, and Lower Brule Indian Reservations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Agreements are limited to planning, designing, constructing, operating, maintaining, and replacing the Oglala Sioux, the Rosebud, and the Lower Brule Sioux Rural Water Supply Systems; and for planning and construction of the West River Rural Water System and the Lyman-Jones Rural Water System. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

West River/Lyman-Jones Water Systems and the Oglala, Rosebud, and Lower Brule Sioux tribes.

Beneficiary Eligibility:

The citizens of the southwest quarter of the State of South Dakota, including the Oglala, Rosebud, and Lower Brule Indian Reservations.

Credentials/Documentation:

Cooperative agreements for construction of projects entered into for the Lyman-Jones Rural Water System must be in accordance with the final engineering reports submitted by each project applicant.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

At the present time, program funding is limited to amendments of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The Federal share of tribal projects is 100 percent. The Federal share of the West River/Lyman Jones projects is 80 percent. (Public Law 103-434, Section 805)

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipients at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$24,221,000; FY 08 est \$26,309,000; and FY 09 est \$30,650,000.

Range and Average of Financial Assistance:

Average-\$26,000,000.

PROGRAM ACCOMPLISHMENTS:

Required technical and environmental reports for the construction of municipal, rural, and industrial systems; and construction of the systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: dbreitzman@gp.usbr.gov/.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, PO Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov/.

Web Site Address:

<http://www.usbr.gov/gp/> or <http://www.wrlj.com/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The tribal municipal, rural and industrial projects; the tribal operation and maintenance activities; the West River/Lyman-Jones System municipal, rural and industrial water system.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.523 PERKINS COUNTY RURAL WATER SYSTEM

(Perkins County Water Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Perkins County Rural Water System Act of 1999, Public Law 106-136.

OBJECTIVES:

To ensure conservation of the resources and to make the systems functional under the new water supply system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the members of the Perkins County Rural Water System, Inc. in Perkins County, South Dakota. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Perkins County Rural Water System, Inc., Perkins County, South Dakota.

Beneficiary Eligibility:

The members of Perkins County Rural Water System, Inc. in Perkins County, South Dakota.

Credentials/Documentation:

Completion of a final engineering report and plan for a water conservation program; plans for mitigation of fish and wildlife losses in accordance with Section 5 of the Act.

Preapplication Coordination:

Preapplication is not required. This project has met the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of this project will be reviewed for continued compliance. This program is excluded from coverage under E.O. 12372.

Application Procedure:

At the present time, program funding is limited to amendments to the existing project agreement. Amendments to incorporate annual work plans and budgets are negotiated annually with Perkins County Rural Water System, Inc.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The Federal share of the project is 75 percent. (Section 7).

Length and Time Phasing of Assistance:

None.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$125,000; FY 08 est \$3,060,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Average-\$2,381,000.

PROGRAM ACCOMPLISHMENTS:

Required technical and environmental reports for the construction of water supply systems; and continued work on construction of the system.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: dbreitzman@gp.usbr.gov/.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov/.

Web Site Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Continuing construction of the water supply system.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.524 RECREATION RESOURCES MANAGEMENT

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Water Projects Recreation Act of 1965, Public Law 89-72 as amended; Reclamation Recreation Act of 1992, Public Law 102-575, Title XXVIII.

OBJECTIVES:

To provide opportunities for public recreation at Bureau of Reclamation or other publicly-owned water projects, and to encourage State, county, and local governments to fund and manage recreation resources at these project areas.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cost sharing agreements are used to provide assistance to non-Federal managing partners for the long-term management and care of publicly-owned recreation resources, and for the planning, construction and other facets of improving

recreation access and assets. Concession contracts are not authorized by the above authorities.

Applicant Eligibility:

State and local government entities that are willing to manage and maintain publicly-owned recreation facilities and assets.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Public Law 89-72 requires that State and local governments indicate their intent in writing to agree to administer project land and water.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov/.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications are reviewed by the Bureau of Reclamation office having administrative jurisdiction of the land and water areas being considered for public recreation purposes. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. There are no other additional requirements and the office having jurisdiction may issue a management agreement.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov/.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov/ and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. Management agreements are renewable if both the Bureau of Reclamation and the State or local partner are in mutual agreement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Funding announcements will include information on cost sharing requirements applicable to the specific project. In the case of recreation development, a minimum cost share of 50 percent would be required from a non-Federal partner. For fish and wildlife enhancement projects, the Federal share may be up to 75 percent of the project cost. (16 U.S.C. 4601-13(a)).

Length and Time Phasing of Assistance:

Agreements are typically for one to five years depending on the type of project.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non-Federal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Non-Federal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$3,232,789; FY 08 not separately identifiable; and FY 09 est \$4,000,000.

Range and Average of Financial Assistance:

Range \$5,000 to \$6,000,000 Average-\$272,000.

PROGRAM ACCOMPLISHMENTS:

Cost-sharing agreements have been awarded since 1965. Numerous agreements have been awarded to State and local governmental entities for the management of recreation resources. The funds awarded through cost-sharing agreements have for the most part gone to plan, develop, operate, and maintain recreation facilities on Bureau of Reclamation land and water.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/; Program information is included in the Reclamation Manual, LND PO4, available at <http://www.usbr.gov/recman/lnd/lnd-p04.pdf/>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Pacific Northwest Region: (208) 378-5312; Mid-Pacific Region: (916) 978-5558; Lower Colorado Region: (702) 293-8375; Upper Colorado Region: (801) 524-3765; Great Plains Region: (406) 247-7714.

Headquarters Office:

Vernon Lovejoy, Bureau of Reclamation, P.O. Box 25007 (84-53000), Denver, Colorado 80225-0007. Telephone (303) 445-2913. Fax 303-445-6690. E-mail: vllovejoy@do.usbr.gov.

Web Site Address:

<http://www.usbr.gov/prmts/planning/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Management agreements with State and local governments providing for long-term management of public recreation resources at Horsetooth, Jordanelle, Cascade, Folsom, and Coachella Reservoirs; shoreline stabilization; Americans with Disabilities Act compliance projects; boat ramp replacement; and operation and maintenance of designated fish and wildlife areas and facilities.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov/.

15.525 ROCKY BOY'S/NORTH CENTRAL MONTANA REGIONAL WATER SYSTEM

(North Central Montana Rural Water Supply Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Financing Amendments Act of 2002, Title IX; Rocky Boy's/North Central Montana Regional Water System Act of 2002, Public Law 107-331.

OBJECTIVES:

To ensure a safe and adequate rural, municipal, and industrial water supply for the residents of the Rocky Boy's Reservation in the State of Montana, and to assist the citizens residing in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana, but outside the Reservation, in developing safe and adequate rural, municipal, and industrial water supplies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the Chippewa-Cree of the Rocky Boy's Indian reservation and the surrounding, off-reservation communities in north central Montana. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and the North Central Montana Regional Water Authority.

Beneficiary Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and inhabitants of the areas served by the North Central Montana Regional Water Authority in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana.

Credentials/Documentation:

None.

Preapplication Coordination:

The Bureau of Reclamation Great Plains Region, will assist the Chippewa-Cree of the Rocky Boy's Indian Reservation and members of the North Central Montana Regional Water Authority, in preparing their respective annual funding agreements. This program is excluded from coverage under E.O.12372.

Application Procedure:

At the present time, program funding is provided only for the continuation of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually with the current recipients. Current recipients submit applicable SF-424 forms, technical proposals, and budget narratives for Bureau of Reclamation review.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

The Federal share of the Rocky Boy's Rural Water System project is 100 percent.

The Federal share of the North Central Montana Regional Water Authority project is 80 percent. (Section 904(b) and (c), Section 905(b)).

Length and Time Phasing of Assistance:

Funds are to be available until expended.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$7,000,000; FY 08 est \$5,704,015; and FY 09 est \$0.

Range and Average of Financial Assistance:

Average \$4,250,000.

PROGRAM ACCOMPLISHMENTS:

Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; beginning construction of the systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137. Telephone: (406) 247-7298, E-mail: djewell@gp.usbr.gov/.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, PO Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov/.

Web Site Address:

<http://northcentralmontanawater.org/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Initial construction activities for the water supply system.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.526 SAN GABRIEL BASIN RESTORATION PROJECT

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.

OBJECTIVES:

To design, construct, operate, and maintain water quality projects within the San Gabriel Basin, Los Angeles County, California.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project grants can only be issued to reimburse recipients for the Federal share of costs associated with the design, construction, operation, and maintenance of water quality improvement projects within the San Gabriel Basin, located in Los Angeles County, California.

Applicant Eligibility:

San Gabriel Basin Water Quality Authority (or its successor agency) and/or the Central Basin Municipal Water District.

Beneficiary Eligibility:

General public, all users and indirect users of groundwater supplies in the San Gabriel Basin.

Credentials/Documentation:

None.

Preapplication Coordination:

Applicants are encouraged to consult with the Bureau of Reclamation, Southern California Area Office (SCAO), for assistance in preparing project proposals and preliminary review of project descriptions and budgets, including assurance of compliance with the National Environmental Policy Act and related laws and orders. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

None.

Range of Approval/Disapproval Time:

Typical range of 2 to 6 weeks.

Appeals:

None.

Renewals:

Extensions may be applicable and are subject to appropriated funds. Revised SF 424 forms are required when applicable.

Formula and Matching Requirements:

A minimum of 35 percent nonFederal cost share is required by Public Law 106-554, Section 110(a)(3)(B), as amended. The cost share can be provided from any nonFederal source; and, in accordance with Section 110(a)(3)(B)(iii), credit toward the nonFederal cost share can be given for the value of certain prior project expenditures.

Length and Time Phasing of Assistance:

Agreements for planning and construction projects are generally awarded for up to 5 years. Funds for operation and maintenance can be provided for a period not to exceed 10 years following the initial date of operation of the project, or until the project funding ceiling has been reached, whichever occurs first.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF 269/SF 269a Financial Status Reports, (2) SF 272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF 269/SF 269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A 133 (Revised, June 27, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A 133.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. State, Local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5483-0-2-301.

Obligations:

FY 07 \$753,313; FY 08 est \$400,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Average \$3,890,646.

PROGRAM ACCOMPLISHMENTS:

Five grant agreements have been executed to design, construct, operate, and maintain facilities that will extract contaminated groundwater from the San Gabriel Basin, treat it to potable standards, and use the water for potable purposes. The projects will provide a safe source of potable water while remediating groundwater contamination that has been designated as operable units under the EPA superfund program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. Program guidelines: Department of the Interior, Delegation of Authority for the San Gabriel Basin Restoration Project, Order No. 3241, Amendment No. 4; San Gabriel Basin Water Quality Authority, Federal Funding Program Administration guidelines, <http://www.wqa.com/pdfs/FFPA3.pdf>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Dennis Wolfe, Area Engineer, Southern California Area Office, Telephone: (951) 695-5310; Fax: (951) 695-5319; E-mail: dwolfe@lc.usbr.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/lc/socal/>.

RELATED PROGRAMS:

15.504, Water Reclamation and Reuse Program.

EXAMPLES OF FUNDED PROJECTS:

San Gabriel Valley Groundwater Remediation, Central Basin Water Quality Protection Plan.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the San Gabriel Basin Water Quality Authority or the Central Basin Municipal Water District. Projects must improve the quality of the groundwater in the San Gabriel Basin, located in Los Angeles County, California. The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.527 SAN LUIS UNIT, CENTRAL VALLEY PROJECT

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.

OBJECTIVES:

Construction and operation of drainage facilities designed to serve the general area of which the lands to be served by the San Luis Unit are a part.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To be used for projects that will result in construction and operation of drainage facilities in the San Luis Unit and adjacent areas.

Applicant Eligibility:

Any responsible source, to include irrigation and/or water districts, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, and property owners.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Funding opportunity announcements for this program, along with registration

procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov/.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area; however, nonFederal experts may be utilized for specific project reviews. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

There is no statutory requirement for cost sharing; however, applicants are encouraged to provide a cost share whenever possible.

Length and Time Phasing of Assistance:

Length and time phasing information, as applicable, will be included in funding announcements for this program on www.grants.gov/.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$3,500,000; FY 08 not separately identifiable; and FY 09 est \$800,000.

Range and Average of Financial Assistance:

Range: \$16,345 to \$1,650,000; Average: \$246,770.

PROGRAM ACCOMPLISHMENTS:

During FY 2006, projects were awarded for drainage water reuse and drainage water treatment projects dealing with drainage water from approximately 90,000 acres of San Luis Unit area lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Bureau of Reclamation.

Regional or Local Office:

Technical/Program Information: Bureau of Reclamation, South-Central California Area Office, Attention: Michael Delamore, 1243 N Street, Fresno, CA, 93721. Telephone: (559) 487-5039. Fax: (559) 487-5130. E-mail: mdelamore@mp.usbr.gov/. Acquisition Office: Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-

1815, Sacramento, California 95825-1898. Telephone: (916) 978-5130. Fax: (916) 978-5175/5182. E-mail: 2WG3@mp.usbr.gov/.

Headquarters Office:

None.

Web Site Address:

<http://www.mp.usbr.gov/>.

RELATED PROGRAMS:

15.506, Water Desalination Research and Development Program.

EXAMPLES OF FUNDED PROJECTS:

Agricultural drain water reuse and drainage water treatment.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov/.

15.528 ST. MARY STORAGE UNIT FACILITIES REHABILITATION PROJECT

(St. Mary Rehabilitation Project)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Energy and Water Development Appropriations Act, 2006, Title II, Public Law 109-103.

OBJECTIVES:

To fund the collection of technical and environmental data to be used to evaluate potential rehabilitation of the St. Mary Storage Unit facilities, Milk River Project, Montana.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cost ceiling of \$500,000. Cooperative agreements may be awarded to the State of Montana and/or the Blackfeet Tribe to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient.

Applicant Eligibility:

The State of Montana and the Blackfeet Tribe of Montana.

Beneficiary Eligibility:

The citizens of the State of Montana and the Blackfeet Tribe of Montana

Credentials/Documentation:

None.

Preapplication Coordination:

If requested, the Bureau of Reclamation's Great Plains Region will assist both the State of Montana and the Blackfeet Tribe of Montana in preparing their respective proposals. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed project cost proposal, including a budget with the estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the value of any inkind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective scopes of work, Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with both the State of Montana and the Blackfeet Tribe. The Tribe may choose to enter into an agreement under Public Law 93-638 the Indian Self-Determination and Education Assistance Act.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Reports:

Draft and final technical reports; SF-269A (quarterly), financial reports (quarterly), progress reports (quarterly); annual report; reports on significant developments (as necessary).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$452,453; FY 08 \$0; and FY 09 \$0.

Range and Average of Financial Assistance:

\$250,000.

PROGRAM ACCOMPLISHMENTS:

Reports that provide technical and environmental data necessary to evaluate the potential rehabilitation of the St. Mary Storage Unit facilities, Milk River Project, Montana.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, Address: PO Box 30137, Billings, MT, 59107-0137, Telephone: (406) 247-7298, E-mail: djewell@gp.usbr.gov.

Headquarters Office:

Great Plains Region Office: Mr. Kimball M. Banks, Tribal and Financial Assistance Officer, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, MT 59101, Telephone: (406) 247-7710, Fax: (406) 247-7695, E-mail: kbanks@gp.usbr.gov.

Web Site Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.529 UPPER COLORADO AND SAN JUAN RIVER BASINS ENDANGERED FISH RECOVERY PROGRAMS

(Upper Colorado and San Juan River Recovery Implementation Program)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs, Public Law 106-392, 114 Stat. 1602, as amended; Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs Extension, Public Law 107-375, 116 Stat. 3113.

OBJECTIVES:

To authorize the Bureau of Reclamation to provide cost sharing for the endangered fish recovery implementation programs for the Upper Colorado and San Juan River Basins.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Recovery Implementation Programs are designed to help recover the Colorado Pikeminnow, the Razorback Sucker, Bonytail, and the Humpback Chub while allowing water development to continue in the Upper Colorado and San Juan River Basins. The term "Recovery Implementation Programs" means the intergovernmental programs established pursuant to the 1988 and 1992 Cooperative Agreement described in Section 2 of the Act. The major portion of the work (between 90 percent to 97 percent) will be accomplished through mandatory grant and cooperative agreement awards established by these intergovernmental Cooperative Agreements. The types of projects authorized by these Cooperative Agreements include Administration of Water Rights;

Oversight of the Development of Water Resources; Protection and Management of Fish and Wildlife Resources; Projects related to Tribal Sovereignty; Long Range Plan and Program Goal Development; Protection, Management and Augmentation of Habitat; Interactions Between Native and Non-Native Fish Species; and Monitoring and Data Management. Periodically, the Bureau of Reclamation will have discretionary funding available to make awards for certain types of projects. When this project funding becomes available, funding opportunity announcements will be posted to www.grants.gov.

Applicant Eligibility:

Federal and non-Federal entities.

Beneficiary Eligibility:

Indian Tribes in the location of the San Juan River Basin and in the Duchesne River Basin, and the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Depending on the project to be accomplished, an environmental impact statement may be required for this program, but not until after receipt of applications. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

All discretionary funding opportunities will be posted on www.grants.gov. The funding opportunity will include the name of the Federal agency, the Funding Opportunity Title of work associated with the project, the application due date, eligible applicants, the federal funding amounts per agreement, the estimated number of agreements to be awarded, and the overall total amount of funding available for awards. All applicants, whether submitting projects under the mandatory or discretionary portions of this authority, will be required to submit a Standard Form 424, Application for Federal Assistance, and Standard Forms 424B or 424D for Assurances must also be submitted. A detailed budget worksheet guide form will be included to assist in preparation of the budgeted amount requested. The Funding Opportunity posting will identify the program description, background and purpose, program objectives, objective or request for proposals, eligible projects, types of projects, and program authority. General provisions and special provisions, along with reporting requirements will be identified to provide applicants with information that must be followed if an award is made. The funding opportunity will identify a point of contact for assistance or consultation for preparing the form.

Award Procedure:

Upon receipt of applications by the date identified in the announcement(s), the applications will be reviewed to determine if applications are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed by a Federal technical team of experts (along with state and private advisors) against identified criteria. Negotiations, revised applications, or awards will be made based on recommendations from the technical experts. Proposals submitted under the mandatory authority portion of this program will undergo a similar review and screening procedure.

Deadlines:

Any required deadlines for discretionary projects will be posted at www.grants.gov.

Range of Approval/Disapproval Time:

90 Days.

Appeals:

Not applicable. The Bureau of Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

None.

Formula and Matching Requirements:

Non-Federal contributions to capital projects shall be made in accordance with the provisions of Section 3(c) of the Act. Contact the Regional Office listed in the contacts section, below, for further information regarding cost sharing requirements.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003)

a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments, or awards achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after final request of reimbursement.

Account Identification:

14-4081-0-3-301.

Obligations:

FY 07 \$11,480,000; FY 08 est \$1,600,000; and FY 09 est \$1,900,000.

Range and Average of Financial Assistance:

From \$16,080 to \$289,394; with an average of \$136,808.

PROGRAM ACCOMPLISHMENTS:

No applications were received in FY 2006. In prior years, an average of approximately 121 scopes of work per year are funded for both the Upper Colorado and the San Juan Programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

Brent Uilenberg, 2764 Compass Drive, Grand Junction, CO 81506, Telephone: (970) 248-0641, E-mail: builenberg@uc.usbr.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/uc/wcao/rm/sjrip/> and
<http://www.fws.gov/southwest/sjrip/index.html/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Geomorphology projects, Fish Hatchery work (Raising and monitoring endangered Fish), Water Temp and Data Collection, Identification of characteristics of species spawning, population and habitat, Channel Morphology, Assessing population of endangered species and trophy fish, Habitat mapping, Rearing Pond Improvements, and Research Support for a Larval Fish Lab.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov.

15.530 WATER CONSERVATION FIELD SERVICES PROGRAM (WCFSF)

(WCFSF)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Soil and Moisture Conservation Act of 1935, Public Law 74-46, 49 Stat 163, 16 U.S.C. 590 et seq., (through Section 6 of Reorganization Plan No. IV - 1940); Fish and Wildlife Coordination Act, Public Law 85-624, 75 Stat. 563, 16 U.S.C. 661 et seq. (through Secretarial delegation at 255 DM 14); Reclamation Projects Authorization and Adjustment Act of 1992, Title XVI-Reclamation Wastewater and Groundwater Studies, and Title XXXIV-Central Valley Project Improvement Act, Public Law 102-575; Energy and Water Development Appropriations Act, 2006, Title II, Section 205, Publication Law 109-103.

OBJECTIVES:

The goal of the Water Conservation Field Services Program (WCFSF) is to actively encourage water conservation and efficient use of water supplies on Federal Reclamation projects, assist water districts with their responsibility to develop water conservation plans, implement measures identified in their plans, and complement and support State and other conservation programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funding is to be used for projects that fall under the following categories: (1) development of water management and conservation plans; (2) demonstration activities; and (3) implementation of efficiency measures. Financial assistance is generally limited to \$25,000 or less.

Applicant Eligibility:

Depending on the authorizing legislation, agricultural and/or municipal/industrial water districts, irrigation districts, water districts or entities, communities, state or local water agencies, water-related non-profit organizations, small entities (public or private) that have contracts for water with Reclamation under the Warren Act, Native American Tribes, universities or educational institutions proposing to conduct water conservation activities within Reclamation boundaries.

Beneficiary Eligibility:

The general public and irrigation or water districts located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. The number of awards and maximum funding amount per award are determined on an annual basis and are dependent upon the amount of available funding in each Region.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov or you may contact the appropriate WCFSP Coordinator, listed below, for further information.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement, or you may contact the appropriate WCFSP Coordinator, listed below, for information.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for activities within the WCFSP from one fiscal year to the next is at the discretion of Congress. Generally, applicants should propose projects that can be completed within 24 months from the project start date. However, multi-year proposals may be considered if it can be demonstrated that there will be measurable on-the-ground accomplishments each year.

Formula and Matching Requirements:

The Federal share of the cost of a project or activity carried out under the WCFSP shall generally not exceed 50 percent of the total cost of the project or activity, and dependent on the project and authorizing legislation, the Federal share of project funding may be less than 50 percent. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority of this program shall be nonfederal responsibilities. Cost-share requirements may vary by Region. Please contact the Regional or Area Office Water Conservation Coordinator located in the Region in which the proposed project is located for specific information. Projects awarded under the following authorities have mandatory recipient cost sharing requirements as follows: Reclamation Projects Authorization and Adjustment Act of 1992, Title XVI-Reclamation Wastewater and Groundwater Studies, requires up to a 50 percent cost share for projects awarded under Section 1604 and requires a 50 percent cost share for projects awarded in accordance with Section 1605; Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV-Central Valley Project Improvement Act, Public Law 102-575, cost share varies in accordance with the type of project (see Section 3406 and 3407); and the Energy and Water Development Appropriations Act, 2006, Title II, Public Law 109-103, requires a 50% cost share in accordance with Section 205.

Length and Time Phasing of Assistance:

Projects typically are awarded for up to 24 months; however, some projects may be awarded for periods of up to 5 years.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit

a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1968-0-1-301.

Obligations:

FY 07 \$1,548,998; FY 08 est \$14,340,000; and FY 09 est \$4,594,000.

Range and Average of Financial Assistance:

Not separately identifiable.

PROGRAM ACCOMPLISHMENTS:

Assistance to districts towards development of water conservation plans, assistance with implementation of efficiency improvement measures identified in plans, demonstrations of new technology, leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

Regional contact information may be found at <http://www.usbr.gov/waterconservation/wtrctr.html>. This website includes a map to help you locate the appropriate office for your area. Not Applicable.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/waterconservation/>.

RELATED PROGRAMS:

15.507, Water 2025.

EXAMPLES OF FUNDED PROJECTS:

Development of water conservation plans, implementation of water management efficiency improvements identified in plans such as canal lining, installation of automated control gates, installation of sprinkler and drip irrigation systems, and basin leveling and grading.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.531 YAKIMA RIVER BASIN WATER ENHANCEMENT PROJECT (YRBWEP)**FEDERAL AGENCY:**

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title XII, Yakima River Basin Water Enhancement Project, Public Law 103-434.

OBJECTIVES:

Within the Yakima River Basin: (1) to protect, mitigate, and enhance fish and wildlife through improved water management; improved instream flows; improved water quality; protection, creation and enhancement of wetlands; and by other appropriate means of habitat improvement; (2) to improve the reliability of water supply for irrigation; (3) to authorize a Yakima River basin water conservation program that will improve the efficiency of water delivery and use; enhance basin water supplies; improve water quality; protect, create and enhance wetlands, and determine the amount of basin water needs that can be met by water conservation measures; (4) to realize sufficient water savings from the Yakima River Basin Water Conservation Program so that not less than 40,000 acre-feet of water savings per year are achieved by the end of the fourth year of the Basin Conservation Program; (5) to encourage voluntary transactions among public and private entities which result in the implementation of water conservation measures, practices and facilities; and (6) to provide for the implementation by the Yakima Indian Nation at its sole discretion of (A) an irrigation demonstration project on the Yakima Indian Reservation using water savings from system improvements to the Wapato Irrigation Project, and (B) a Toppenish Creek corridor enhancement project integrating agricultural, fish, wildlife, and cultural resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To participate in the Conservation Basin Program an entity must submit a proposed water conservation plan to the Secretary of the Interior. Assistance is limited to those entities (districts, irrigation districts, individuals, or other entities) that equip all surface water delivery systems within their boundaries with volumetric water meters or equally effective water measuring methods within five years of the date of enactment of this Act. The Yakima Nation is not included in the provisions of this, Section 1203, except as to any funds specifically applied for from the Basin Conservation Program. The Secretary of the Interior may use appropriated funds to purchase, or lease, land, water, or water rights from an entity or individual willing to limit or forego water use on a temporary or permanent basis. Funds used for purchase or lease under this paragraph are not subject to the cost sharing provisions of Section 1203(d).

Applicant Eligibility:

State of Washington, and Federally Recognized Indian Tribal Governments, water and irrigation districts, and water rights owners located in the project area.

Beneficiary Eligibility:

Anyone/General Public, Intrastate, Local, Individual/Family, and Federally Recognized Indian Tribal Governments within the project area.

Credentials/Documentation:

Eligible entities must submit a proposal to develop a Water Conservation Plan. Once the Water Conservation Plan has been developed it is to be submitted to the Bureau of Reclamation, Pacific Northwest Region, Upper Columbia Area Office, in accordance with the information included in the funding opportunity announcement that will be posted at www.grants.gov. Only entities with approved Water Conservation Plans are eligible for consideration for feasibility studies. Only entities with an approved Water Conservation Plan and approved feasibility study are eligible for potential implementation of conservation measures.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of personnel from the Bureau of Reclamation, Pacific Northwest Regional Office, who are qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Entities meeting the basic criteria for participation in the YRBWEP must enter into a cost sharing agreement prior to beginning project work.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

None.

Formula and Matching Requirements:

Unless otherwise stated in the Act: (1) Development of water conservation plans 50 percent nonFederal share, but not more than \$200,000 per recipient; (2) Investigation of specific water conservation measures 50 percent State share and 20 percent local share, but sum of (1) and (2) shall not be greater than \$200,000 per recipient; (3) and (4) Implementation and post implementation monitoring and evaluation 17.5 percent State share, and 17.5 percent local share.(Section 1203(d)).

Length and Time Phasing of Assistance:

Agreements are normally written for a 1 to 5 year period. Implementation agreements may be written to cover the period estimated in the approved Water Conservation Plan and Feasibility Study.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status

Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 \$9,865,864; FY 08 est \$6,500,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

Range from \$5,000 to \$21,000,000; Average \$6,557,087.

PROGRAM ACCOMPLISHMENTS:

In FY 2006, SDBOC will continue their improvement projects and an award to The Benton Irrigation District is expected to be finalized for an implementation grant to launch a multi-year project to improve their water delivery systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html; these documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

James A. Esget, YRBWEP Manager, 1917 Marsh Rd., Yakima, WA 98901. Telephone: (509) 575-5848 Ext. 267, Fax: (509) 454-5611, E-mail: jesget@pn.usbr.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/pn/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development/Updating of Water Conservation Plan, Conduct Feasibility Study of Measures Identified in Water Conservation Plan, Implementation of Water Conservation Measures.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Initial selection based on projects that would meet/fulfill the objectives of YRBWEP. After Water Conservation Plans are approved, feasibility studies are approved for those projects/measures that appear to result in the greatest benefit to YRBWEP. Implementation awards are based on those that are the most cost effective and result in the greatest water savings.

15.532 CENTRAL VALLEY PROJECT, TRINITY RIVER DIVISION, TRINITY RIVER FISH AND WILDLIFE MANAGEMENT

(Trinity River Restoration Program)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Central Valley Project Improvement Act (CVPIA), 1992, Public Law 102-575, Title 34, Section 3406(b)(1).

OBJECTIVES:

To address impacts of the Central Valley Project (CVP) on fish, wildlife, and associated habitats in the Trinity River basin of California by protecting, restoring, and enhancing such habitats and to address other identified adverse environmental impacts of the Central Valley Project.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

All funding is discretionary and intended for projects that support the goals and objectives of the Program and meet the requirements specified in the CVPIA and Record of Decision.

Applicant Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, federally recognized Indian Tribal governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A request for applications (RFA) will be posted at Grants.gov as funding is made available by the Bureau of Reclamation. Applications shall include: 1) A detailed written technical proposal that should include information such as a scope of work which separates the work into major tasks, the approach proposed to accomplish the work, type of personnel who will participate in accomplishing the work, background and location of applicant, any equipment that will be utilized by the project applicant, experience in this type of work, an estimate of the costs and/or cost savings, any economic benefit, and any other information that may be useful for proposal evaluation. 2) A detailed cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. In addition, applicants must submit all applicable SF 424 forms, which are referenced in the RFA.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the RFA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the RFA. The review team will consist of Federal personnel and qualified non-Federal personnel when specific expertise is required by the review team. The Bureau of Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. The Bureau of Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the RFA.

Deadlines:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be provided for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements; however, project cost sharing is encouraged.

Length and Time Phasing of Assistance:

Proposals will be requested for projects of varying durations.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-300.

Obligations:

FY 07 \$1,705,038; FY 08 est \$1,000,000; and FY 09 est \$1,500,000.

Range and Average of Financial Assistance:

Range \$24,000 - \$550,000; Average \$170,000.

PROGRAM ACCOMPLISHMENTS:

To date, program accomplishments include completion of modifications on four bridges; modification of floodplain infrastructures allowing for peak releases of up to 11,000 cubic feet per second; completion of the two largest releases for fishery restoration purposes since the opening of the dams in 1963; and construction of several channel rehabilitation projects. It is anticipated that 8 to 10 projects will be awarded funding in fiscal year 2007. Depending on funding appropriations, a similar number of awards are projected for fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html.

Regional or Local Office:

Bureau of Reclamation, Trinity River Restoration Program, Attn: Doug Schleusner, P.O. Box 1300, Weaverville, CA 96093. Telephone: (530) 623-1800. Fax: (530) 623-5944.

Headquarters Office:

None.

Web Site Address:

<http://www.usbr.gov/mp/ncao/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Public Information and Outreach, Watershed Coordination, Target Watershed Implementation, Grass Valley Creek Watershed Monitoring, and Habitat Assessment.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will vary according to project and will be included in the funding announcements posted on www.grants.gov.

15.533 CALIFORNIA WATER SECURITY AND ENVIRONMENTAL ENHANCEMENT

(CALFED)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Water Supply, Reliability, and Environmental Improvement Act, Title I California Water Security and Environmental Enhancement, Public Law 108-361.

OBJECTIVES:

The purpose of the CALFED Bay-Delta Authorization Act is to authorize the Secretary of the Interior and the heads of the other Federal implementing agencies to carry out specific activities identified in the Act in furtherance of the CALFED Bay-Delta Program as set forth in the CALFED Bay-Delta Program Record of Decision dated August 28, 2000. The primary objectives of the program are to expand water supplies to ensure efficient use through an array of projects and approaches, improve water quality from source to tap, improve the health of the Bay-Delta system through restoring and protecting habitats and native species, and improve the Bay-Delta levees to provide flood protection, ecosystem benefits, and protect water supplies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

For Fiscal Year 2007, appropriations provided to the Bureau of Reclamation are limited to supporting the development of activities that will contribute to implementation of Delta Vision under Section 103(f)(4)(A) and (B) of Public Law 108-361. Available funding is limited to grants and cooperative agreements with agencies of the State of California that will lead toward the establishment of a sustainable management program for the Sacramento-San Joaquin Bay. Of the amounts made available under Section 103(f)(A), not less than 50 percent shall be provided to the California Bay-Delta Authority to carry out Program-wide management, oversight, and coordination activities.

Applicant Eligibility:

Agencies of the State of California.

Beneficiary Eligibility:

The general public located in and near the California Bay-Delta area.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought.

Award Procedure:

Applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal or non-Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Maximum funding amount is dependent upon the annual appropriation from Congress.

Deadlines:

Contact the Bureau of Reclamation, Mid-Pacific Region, MP-120 Special Projects Office, Sacramento, CA at (916) 978-5022.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project or activity.

Appeals:

None.

Renewals:

Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority. Costs of operation, maintenance, repair and rehabilitation of facilities shall be a non-Federal responsibility. Per Section 103(f)(4)(B), PROGRAM-WIDE ACTIVITIES, of the amount referred to in subparagraph (A), not less than 50 percent of the appropriated amount shall be provided to the California Bay-Delta Authority to carry out Program-wide management, oversight, and coordination activities.

Length and Time Phasing of Assistance:

Generally for 24 months or less; multi-year projects may be considered if justified and annual accomplishments can be demonstrated.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-269/SF-269a Financial Status Reports, (2) SF-272 Report of Federal Cash Transactions, and (3) Program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final: (1) SF-269/SF-269a Financial Status Report, (2) final program performance report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non-Federal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

10-0687-0-1-301.

Obligations:

(Grants) FY 07 \$1,000,000; FY 08 not separately identifiable; and FY 09 est. \$3,500,000.

Range and Average of Financial Assistance:

Range \$35,000 - \$1,650,000; average \$281,663.

PROGRAM ACCOMPLISHMENTS:

For Fiscal Year 2006, the Bureau of Reclamation awarded \$2.8 million in CALFED Water Use Efficiency (WUE) grants for projects located throughout California. As a participating agency in the CALFED Bay-Delta Program, the Bureau of Reclamation's Mid-Pacific and Lower Colorado Regions worked in partnership with the California Department of Water Resources, State Water Resources Control Board, and CALFED to award WUE projects that had a defined relationship to the Bay-Delta. When the matching contributions of non-Federal partners are included, the selected projects represent a combined investment of more than \$6.5 million towards enhancing water management throughout the CALFED focus area.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1; OMB Circulars www.whitehouse.gov/omb/grants/grants_circulars.html; Standard Forms

www.whitehouse.gov/omb/grants/grants_forms.html; Documents may also be obtained by contacting the Bureau of Reclamation or CALFED at calwater.ca.gov.

Regional or Local Office:

Bureau of Reclamation, Department of the Interior, Mid-Pacific Region, 2800 Cottage Way, Special Projects Office (MP-120), Sacramento, CA 95825; Telephone: (916) 978-5022; Fax: (916) 978-5528.

Headquarters Office:

Contact Regional Office.

Web Site Address:

www.mp.usbr.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The CALFED WUE Grants program funded agricultural and urban projects that focused on improving ecosystem health, water supply reliability, or water quality of the Bay-Delta. Cost sharing was provided for system modernization improvements, measurement devices, seepage reduction, leak detection, landscape incentives, and weather-based irrigation controllers.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in application packages and any funding announcements posted on www.grants.gov.

15.534 MISCELLANEOUS PUBLIC LAW 93-638 CONTRACTS, GRANTS, AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

BUREAU OF RECLAMATION, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, Title I, 25 U.S.C. 450 est. seq., as amended; and statutory authorities that authorize each specific project.

OBJECTIVES:

Project funds are to be provided on a non-reimbursable basis for construction and/or non-construction activities which are primarily for the benefit of Indians because of their status as Indians.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Contracts, grants, and cooperative agreements are authorized for construction and non-construction projects. Certain restrictions will be applicable based on the specific statutory authority that authorizes the project. This CFDA number will not be utilized if there is a more specific CFDA number applicable to the project such as for projects awarded under the Colorado Ute Indian Water Rights Settlement Act.

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations in the 17 western states are eligible applicants; however, eligibility alone does not establish a "program."

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations.

Credentials/Documentation:

(1) Each contract, grant, or cooperative agreement requires project specific authority. (2) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. (4) The awarded contract, grant, or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial proposals must contain the information specified as 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with responsibility for the specific project for which a Tribe or tribal organization proposes to participate. Reclamation will provide technical assistance to Tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and

regulations. Reclamation and the Tribe also negotiate an Annual Funding Agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property list, list of any applicable provisions, terms, and conditions.

Deadlines:

As specified within 25 CFR Part 900.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not applicable.

Renewals:

Will vary with project and/or award.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Length of projects will vary.

Reports:

The reporting (financial status report and progress reports) requirements will be negotiated within the AFA or overarching terms and conditions.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82, Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-0680-0-1-301.

Obligations:

FY 07 not separately identifiable; FY 08 not separately identifiable; and FY 09 not separately identifiable.

Range and Average of Financial Assistance:

Average \$653,575.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 U.S.C. 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (2 CFR 225), and OMB Circular A-133.

Regional or Local Office:

None.

Headquarters Office:

Office of the Commissioner, Bureau of Reclamation, 1849 C Street N.W., Washington, DC, 20240-0001, Telephone: (202) 513-0501.

Web Site Address:

<http://www.usbr.gov/native/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Operation and maintenance of Navajo Indian irrigation project facilities, conducting studies to identify water needs for the Tule River Reservation, pre-construction work for creation of a fish hatchery at Walker Lake, Rio Grande Restoration Project at Pueblo of Santa Ana, identify and evaluate the rehabilitation and betterment needs of the Crow Irrigation Project.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must meet the requirements of Public Law 93-638, 25 CFR Part 900 and any additional criteria specific to the authorizing legislation for the project. The project must be contractible under Public Law 93-638.

15.602 CONSERVATION LAW ENFORCEMENT TRAINING ASSISTANCE

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1958; 16 U.S.C. 661-666; Fish and Wildlife Improvement Act of 1978, 16 U.S.C. 7421.

OBJECTIVES:

To provide training assistance to State conservation officers in criminal law, and the principles, techniques, and procedures of wildlife law enforcement.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Special Agents are available to assist in State conservation law enforcement training efforts as instructors for short periods of time. FWS Special Agents are available to assist and instruct State conservation law enforcement training

efforts for short periods of time. Funding represents cost to FWS.

Applicant Eligibility:

State agencies.

Beneficiary Eligibility:

State conservation law enforcement officers and officials.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Written request to the Assistant Director, Office of Law Enforcement.

Award Procedure:

Requests are acknowledged, and decisions on training are made according to availability of personnel.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 15 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-1611-0-1-303.

Obligations:

(Salaries and expenses) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, assistance was provided to various State conservation agencies for staff training within the States.

REGULATIONS, GUIDELINES, AND LITERATURE:

Law Enforcement Workshop Notebook, Endangered Species Literature.

Regional or Local Office:

Federal Law Enforcement Training Center, Bldg. 69, Rm. 100, Glynco, GA 31524. Telephone: (912) 267-2370.

Headquarters Office:

Assistant Director, Office of Law Enforcement, Fish and Wildlife Service, Department of the Interior, 4401 N. Fairfax Drive, Room 520, Arlington, VA 22203. Telephone: (703) 358-1949.

Web Site Address:

[HTTP://www.le.fws.gov](http://www.le.fws.gov).

RELATED PROGRAMS:

16.300, Law Enforcement Assistance_FBI Advanced Police Training; 16.012, Alcohol, Tobacco, and Firearms_Training Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.605 SPORT FISH RESTORATION PROGRAM

(Dingell-Johnson Program or D-J Program)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Dingell-Johnson Sport Fish Restoration Act of 1950, 64 Stat. 430, as amended 16 U.S.C. 777-777n.

OBJECTIVES:

Sport Fish Restoration funds support activities designed to restore, conserve, manage, or enhance sport fish populations and the public use and benefits from these resources; and to support activities that provide boating access to public waters. Sport fisheries research and management activities, boating access development and maintenance, aquatic resource education projects, lake construction and maintenance, land acquisition, technical assistance, planning, habitat enhancement, administration, coordination, and hatchery construction are eligible under the Act. Law enforcement and public relations are not eligible under the Act.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Approvable activities include land acquisition, development (including boating access sites), research, operations and maintenance, sport fish population management and program coordination. Prohibited activities are law enforcement and public relations.

Applicant Eligibility:

Participation is limited to State, Commonwealth, or territorial agencies with lead management responsibility for fish and wildlife resources. States, Commonwealths, and territories must pass laws (assent legislation) for the conservation of fish, which includes a prohibition against diversion of license fees paid by anglers for purposes other than the administration of the State, Commonwealth, or territorial fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these fishery conservation measures.)

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary of the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid angler license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on the process required by the State, Commonwealth, or territory when applying for assistance, if the State, Commonwealth, or territory selected a program for review.

Application Procedure:

Grantee may document initial program by submission of a satisfactory fish conservation plan. Grantees will submit grant proposals that include a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justified and if funds are available.

Formula and Matching Requirements:

Formula-based apportionment; 40 percent based on land and water area of the State and 60 percent based on licensed anglers; no State receives more than 5 percent or less than one-third of 1 percent of the total funds apportioned to the program; the Commonwealth of Puerto Rico is apportioned 1 percent; and Guam, U.S. Virgin Islands, the Commonwealth of Northern Mariana Islands, American Samoa, and the District of Columbia are each apportioned up to one-third of 1 percent of the total apportioned. States may be reimbursed up to 75 percent of the total project cost. Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director. The non-Federal share could come from license fees paid by hunters. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Sport Fish Restoration funds are available for a period of two years. Any funds not obligated within two years by a State, Commonwealth, or territorial fish and wildlife agency will revert to the U.S. Fish and Wildlife Service and placed back into the Sport Fish Restoration and Boating Trust Fund for obligation to States, Commonwealths, or territories in the following year's apportionment.

Reports:

A Performance Report and Financial Status Report are required for each grant or grant segment annually within 90 days after the anniversary date and/or end of

the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Grants) FY 07 \$349,089,063; FY 08 \$398,337,729; and FY 09 est \$410,000,000.

Range and Average of Financial Assistance:

\$900,000 to \$14,700,000; \$5,200,000.

PROGRAM ACCOMPLISHMENTS:

The Dingell-Johnson Sport Fish Restoration Act grant programs contribute significantly to the nation's aquatic recreation and fisheries conservation efforts. Already successful, the programs' accomplishments will continue to increase in the coming years because of the increased funding that is resulting from the SAFETEA-LU (Public Law 109-059) legislation. Some examples of activities planned by State, Commonwealth, and territorial fish and wildlife agencies in FY 2008 include: Acquire 494 acres of tidal marshes, 81 acres of tide flats, and 49 acres of uplands habitat in Oregon that is critical to Federal and State listed species, migratory shorebirds, and waterfowl. In addition, this will provide opportunities for a variety of public educational and recreational uses in Oregon; Replace the boat ramp and boat dock at Placid Lake State Park and pave the boating ramp access road and parking lot at Salmon Lake State Park in Montana; Conduct restoration and enhancement projects on American shad and hickory populations in three tributary rivers to the Chesapeake Bay in Maryland; and Operate and maintain 23 public lakes that will supply approximately 240,000 angler trips per year in 20 Alabama Counties.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80; Aquatic Resource Education Guide; and Fish and Wildlife Service Manual. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program; 11.407, Interjurisdictional Fisheries Act of 1986; 15.608, Fish and Wildlife Management Assistance; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS:

Strawberry Reservoir Sport Fish Management - Utah: Biologists with the Utah Division of Wildlife Resources are managing the most popular sport fishery in Utah with 75% of the funding coming from the Sport Fish Restoration Fund. Strawberry Reservoir is 17,000 surface acres at maximum pool. Approximately 1.4 million angler hours of fishing occur on Strawberry Reservoir annually. In 2004, the average summer catch rate was 0.61 fish per hour. This was the third highest catch rate ever recorded for Strawberry Reservoir going back to 1947. Two full time biologist and many days of volunteer help from Strawberry Anglers (a volunteer angling group) manage this project. Perry R. Bass Marine Fisheries Station - Texas: Through funds from the Sport Fish Restoration program, the Perry R. Bass Marine Research Facility continues operation and maintenance practices to provide research on several saltwater species. In addition to the innovative marine research, the associated hatchery annually produces 4.5 million fry/fingerling of red drum and spotted sea trout. These fish generate more than 300,000 angler days annually along the Texas Gulf Coast.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of the State's, Commonwealth's, or territorial's fish resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.608 FISH AND WILDLIFE MANAGEMENT ASSISTANCE

FEDERAL AGENCY:**FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:**

Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j; Sikes Act of 1974, as amended, 16 U.S.C. 670(a)-670(o); Alaska National Interest Lands Conservation Act, 16 U.S.C. 3101; Marine Mammal Protection Act of 1972, Public Law 92-522, as amended, 16 U.S.C. 1361-1421; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C. 4701-4741; Great Lakes Fish and Wildlife Restoration Act as amended 1998.

OBJECTIVES:

To provide technical information, advice, and assistance to other Federal agencies, other nations, States, and Native Americans on the conservation and management of fish and wildlife resources, including co-management by Alaskan Natives of marine mammals for subsistence use.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Assistance in the form of biological, chemical, and physical advice regarding land and water management and specific recommendations and plans based on the conditions found to exist. Stocking of fishes from National Fish Hatcheries and advising on wildlife management techniques may be management tools considered. The program does not provide financial assistance for construction of ponds, lakes, wood duck boxes, or for other purposes.

Applicant Eligibility:

Applicants may be other Federal agencies, State agencies, local governments, Native American Organizations, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests which support the mission of the Service on a cost recoverable basis.

Beneficiary Eligibility:

Federal agencies, State agencies, local governments, Native Americans, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests which support the mission of the Service on a cost recoverable basis.

Credentials/Documentation:

There must be factual evidence of the need for management assistance.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Generally in the form of a letter to the Regional Directors of the U.S. Fish and Wildlife Service stating the need for management assistance and requesting the services available.

Award Procedure:

After considering program commitments and obligations, State, Federal agencies, or Native Americans will generally be informed by letter from the Director or Regional Director, followed by development of a formal Memorandum of Agreement (MOA) or understanding (MOU).

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable, however, assistance is provided only on a cost recoverable basis.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Reports are submitted annually to the entities involved. Occasionally, progress reports are considered to be advisable. Fish and Wildlife Management Plans are developed as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance

with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Grants) FY 07 \$250,000; FY 08 \$250,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fish and Wildlife Service provides technical assistance to more than 100 Native American Tribes, 254 National Wildlife Refuges, and 150 Department of Defense installations. Cooperative programs with the State conservation agencies and military installations have included joint studies of fishery and wildlife problems of major watersheds, large reservoirs, or streams. Through the Sikes Act, the Service has established a Memorandum of Understanding with the Department of Defense whereby fish and wildlife values are considered on military installations. The Service developed a policy in cooperation with Native Americans for fish and wildlife management on/off Tribal lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual Project Reports, Special Reports, and Fish and Wildlife Management Plans.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Division of Fish and Wildlife Management and Habitat Restoration, Room 840 ARLSQ, U.S. Fish and Wildlife Service, Department of the Interior, Washington, DC 20240. Telephone: (703) 358-1718. Use the same number for FTS.

Web Site Address:

HTTP://fisheries.fws.gov.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.611 WILDLIFE RESTORATION

(Pittman-Robertson Program or P-R Program)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669-669k.

OBJECTIVES:

The Act provides funding for the selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition, coordination; development of facilities; facilities and services for conducting a hunter education and safety program; and provisions for public use of wildlife resources. Some eligible activities include planting food plots for wildlife; posting boundaries on wildlife management areas; building roads and trails; controlling noxious vegetation; and providing public access to wildlife-related recreation opportunities. Section 4(c) Hunter Education program could include training in the safe handling and use of firearms and archery equipment; hunter responsibilities and ethics; construction, operation, and maintenance of public shooting ranges; and basic wildlife management and identification.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Approved activities include selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordination; development of facilities; facilities and services for conducting a hunter safety program; and provisions for public use of wildlife resources. Prohibited activities are law enforcement and public relations.

Applicant Eligibility:

Participation is limited to State, Commonwealth, or territorial agencies with lead management responsibility for fish and wildlife resources. States, Commonwealths, and territories must pass laws (assent legislation) for the conservation of wildlife, which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, Commonwealth, or territorial fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary of the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on the process required by the State, Commonwealth, or territory when applying for assistance, if the State, Commonwealth, or territory selected a program for review.

Application Procedure:

Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

None.

Range of Approval/Disapproval Time:

Average 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justified and if funds are available.

Formula and Matching Requirements:

Formula-based apportionment; 50 percent based on land area of the State, Commonwealth, or territory and 50 percent based on paid hunting license holders; no State may receive more than 5 percent or less than one-half of 1 percent of the total apportionment; the Commonwealth of Puerto Rico is apportioned up to one-half of 1 percent; and Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands each receive up to one-sixth of 1 percent of the total apportionment. One-half of the 11-12.4 percent excise tax on archery equipment and 10 percent excise tax on handguns, pistols, and revolvers make up the funding for Hunter Education program. The other one-half of the excise tax collected are for wildlife restoration purposes. Hunter Education funds are formula-based apportionment based on population of the States. No State may receive more than 3 percent or less than 1 percent of the total Hunter Education funds apportioned. Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment. Statistical factors used for fund allocation are (1) States' percentage share of land area from 2000 Census of Population; (2) States' percentage share of number of paid hunting license holders, from the source annually certified by each State's fish and wildlife agency; (3) State's percentage share of population (hunter education) from the source 2000 Census of Population. Statistical factors used for eligibility do not apply for this program. States may be reimbursed up to 75 percent of the total project cost. Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director. The non-Federal share could come from license fees paid by hunters. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation for a period of two years. Balances remaining unobligated after the period of availability revert to the U.S. Fish and Wildlife Service. Any funds not obligated within two years by a State, Commonwealth, or territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and will be spent under the provision of the Migratory Bird Conservation Act. Also, under the provisions of the Wildlife Restoration Act, the interest accumulated by Wildlife Restoration account is available to the North American Wetland Conservation program.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Grants) FY 07 \$241,310,443; FY 08 \$301,686,579; and FY 09 est \$310,000,000.

Range and Average of Financial Assistance:

\$268,000 to \$7,187,000; \$2,750,000.

PROGRAM ACCOMPLISHMENTS:

The Pittman-Robertson Wildlife Restoration Act grant programs contribute significantly to the nation's wildlife conservation and hunter education efforts annually. Already successful, the programs' accomplishments will continue to increase in the coming years because of the increased funding that is resulting. Some specific accomplishments by State, Commonwealth, and territorial fish and wildlife agencies in FY 2006 include: Operations and maintenance of State facilities and wildlife management areas encompassing more than 30.3 million acres; 3.5 million acres of habitat improvements for wildlife species; 3,680 scientific research projects for wildlife species - including propagation, biology, utilization, and habitat needs; technical assistance for wildlife management provided to 47,872 private landowners; 209,237 acres of waterfowl impoundment improvements conducted for waterfowl management, and 553,788 students certified in Hunter Education.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Hunter Education Guide, Fish and Wildlife Service Manual, Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Contact: Policy and Programs, Telephone: (703)358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.615, Cooperative Endangered Species Conservation Fund; 15.626, Hunter Education and Safety Program.

EXAMPLES OF FUNDED PROJECTS:

The Wildlife Restoration program has provided a stable Federal funding source for State Fish and Wildlife agencies for over 70 years. This funding stability is critical to the recovery of many United States' wildlife species. Some examples of activities planned by State, Commonwealth, and territorial fish and wildlife agencies in FY 2008 include: operation and maintenance of 89 wildlife management areas in Georgia that provide approximately one million acres habitat for wildlife and related wildlife recreation such as hunting and wildlife viewing; manage hunter education and safety programs in 50 States, the U.S. Virgin Islands, Commonwealth of Puerto Rico; continued population surveys on black bear, wild turkey, white-tailed deer, moose, and waterfowl in Vermont; enhancement of 10,200 acres of shallow wetland and wet meadow habitat types unique to Carson Lake, Nevada; and design and implementation of landscape scale habitat improvement projects in critical wildlife areas throughout New Mexico.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of the State's Commonwealth's, or territorial's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.614 COASTAL WETLANDS PLANNING, PROTECTION AND RESTORATION ACT

(National Coastal Wetlands Conservation Grants)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Coastal Wetlands Planning, Protection and Restoration Act, Section 305, Title III, Public Law 101-646, 16 U.S.C. 3951-3956.

OBJECTIVES:

To provide competitive matching grants to coastal States for coastal wetlands conservation projects. The Coastal Wetlands Planning, Protection, and Restoration Act (Section 305, Title III, Public Law 101-646 U.S.C. 3954) established the National Coastal Wetlands Conservation Grant Program to acquire, restore, and enhance wetlands in coastal States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are available to coastal States for acquisition of interests in coastal lands or waters, and for restoration, enhancement or management of coastal wetlands ecosystems on a competitive basis. Proposed projects must provide for long-term conservation of such lands or waters and the hydrology, water quality, and fish and wildlife dependent thereon. Ineligible activities include: (1) projects that primarily benefit navigation, irrigation, flood control or mariculture; (2) acquisition, restoration, enhancement or management of lands to mitigate habitat losses; (3) creation of wetlands where wetlands did not previously exist; (4) enforcement of fish and wildlife laws and regulations, except when necessary for the accomplishment of approved project purposes; (5) research; (6) planning as a primary project focus; (7) operations and maintenance; (8) acquisition and/or restoration of upper portions of watersheds where benefits to the coastal wetlands ecosystem are not significant and direct; and (9) projects providing less than 20 years of conservation benefits.

Applicant Eligibility:

Eligible applicants include any agency or agencies designated by the Governor of a coastal State. It is usually a State natural resource or fish and wildlife agency. Eligible coastal States are States bordering the Great Lakes (Illinois, Michigan, Minnesota, New York, Ohio, Pennsylvania, and Wisconsin); States bordering the Atlantic, Gulf (except Louisiana), and Pacific coasts (Alabama, Alaska, California, Connecticut, Delaware, Florida, Georgia, Hawaii, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Texas, Virginia, and Washington); and American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

States, Commonwealths, or territories as designated in the applicant eligibility section.

Credentials/Documentation:

None.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. Environmental assessment is required where actions will significantly affect the human environment. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her State, Commonwealth, or territory for more information on the process the State, Commonwealth, or territory requires to be followed in applying for assistance, if the State, Commonwealth, or territory has selected a program for review.

Application Procedure:

Participating States will submit an Application for Federal Assistance SF-424, a statement of assurances, and a narrative description of the project. Additional information on the application process can be found at <http://www.fws.gov/coastal/CoastalGrants> or <http://www.grants.gov>.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects to be funded based on established criteria.

Deadlines:

Deadline for State proposals is in June 30. The U.S. Fish and Wildlife Service will provide details to eligible State, Commonwealth, or territory partner agencies prior to the deadline.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

The Federal share of project costs is not to exceed 50 percent; except that the Federal share may be increased to 75 percent if a coastal State, Commonwealth, or territory has established a trust fund for acquiring coastal wetlands, other natural areas, or open spaces.

Length and Time Phasing of Assistance:

Projects normally funded through annual proposals.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, they are subject to Program specific audits contracted by the U.S. Fish and Wildlife Service.

Records:

Cost records must be maintained separately for each project. Grantees must retain records, accounts, and supporting documents for three years after submission final request for reimbursement.

Account Identification:

14-8151-0-7-303.

Obligations:

(Grants) FY 07 \$18,750,000; FY 08 est \$20,575,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$75,000 to \$1,000,000; \$752,598.

PROGRAM ACCOMPLISHMENTS:

Projects awarded funding in the last three years have proposed to protect, restore, or enhance 39,000 acres of coastal wetlands.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations were published in the Federal Register July 30, 2002.

Regional or Local Office:

See Catalog Appendix IV for Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

The program is co-managed by the Division of Wildlife and Sport Fish Restoration Program and Fish and Wildlife Management and Habitat Restoration. U.S. Fish and Wildlife Service, Division of Wildlife and Sport Fish Restoration Program, Mail Stop FA-4020, 4401 North Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358-2156 or Division of Fish and Wildlife Management and Habitat Restoration Mail Stop MAHR-720 ARL, 4401 N Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358-2236.

Web Site Address:

<http://wsfrprograms.fws.gov> and http://www.fws.gov/coastal/coastal_grants.

RELATED PROGRAMS:

15.630, Coastal Program; 15.623, North American Wetlands Conservation Fund; 15.632, Conservation Grants Private Stewardship for Imperiled Species.

EXAMPLES OF FUNDED PROJECTS:

Restore wetlands under State ownership; acquire new wetlands.

CRITERIA FOR SELECTING PROPOSALS:

The project proposal must be submitted by State, Commonwealth, and territorial agencies designated by the Governor as having responsibility for acquisition of interests in coastal lands or waters, and for the restoration, management, or enhancement of coastal wetlands ecosystems. Purpose of the project must be consistent with the purpose and conditions of the Act. The specific criteria for selection are included in the rule for administering the program.

15.615 COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; Consolidated Appropriations Act 2008, P.L. 110-161.

OBJECTIVES:

To provide Federal financial assistance to any State or Territory (hereafter, "States"), through its appropriate State or territorial agency, to assist in the development of programs for the conservation of endangered and threatened species.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The assistance provided to the State fish and wildlife agency can include animal, plant, and habitat surveys; research; planning; monitoring; habitat protection, restoration, management, and acquisition; and public education. Assistance is restricted to those State agencies with which the Fish and Wildlife Service has a current cooperative agreement for the species involved.

Applicant Eligibility:

Participation limited to State agencies that have a cooperative agreement with the Secretary of the Interior. The annual Request for Proposals announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html> will describe

the criteria that must be satisfied for a proposal to be eligible for funding.

Beneficiary Eligibility:

All States that have entered into a cooperative agreement with the Secretary of the Interior.

Credentials/Documentation:

A current cooperative agreement(s) between the Secretary of the Interior and the State Conservation agency(ies) concerning endangered and threatened species is necessary. Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable costs. Separate agreements for animals and plants are normally made with each State's responsible agency.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. This program is eligible for coverage under E.O. 12372. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

An applicant must complete the Standard Form (SF)424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non Construction; or SF 424C and SF 424D - Construction). The project description section of the application should address the evaluation factors identified in the annual request for proposals that will be posted at www.grants.gov and to the U.S. Fish and Wildlife Service website at <http://www.fws.gov/endangered/grants/section6/index.html>.

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Directors of the U.S. Fish and Wildlife Service. Funds are obligated by an authorized signature on an award agreement.

Deadlines:

Variable. Information regarding deadline dates will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Range of Approval/Disapproval Time:

Information regarding approval/disapproval time frames will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Appeals:

Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

Renewals can be made through submission of a project proposal and approval of a grant award document.

Formula and Matching Requirements:

States may receive up to 75 percent of the program costs. When two or more States have a common interest in one or more endangered or threatened species and enter into a joint agreement, the Federal share of the total project cost may be increased to 90 percent.

Length and Time Phasing of Assistance:

So much of the annual appropriation allocated for obligation to any State for any fiscal year as remains unobligated at the close thereof is authorized to be made available to that State until the close of the succeeding fiscal year. Any amount allocated to any State which is unobligated at the end of the period during which it is available for expenditure is authorized to be made available for expenditure by the Secretary for conducting programs authorized by Section 6 of the Endangered Species Act.

Reports:

A performance report is required for each project segment within 90 days following the close of the segment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003, "Audits of States, Local Governments, and Non-Profit organizations," non-federal entities that receive financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of request for final reimbursement.

Account Identification:

14-5143-0-2-302.

Obligations:

(Grants) FY 07 \$81,001,000; FY 08 \$73,831,000; and FY 09 est \$75,501,000.

Range and Average of Financial Assistance:

\$500 to \$24,000,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, approximately \$73,831,000 was available for projects and administration of the Cooperative Endangered Species Conservation Fund (CESCF) (Section 6 of the Endangered Species Act). Through the traditional Conservation Grants program, \$9,845,000 was allocated regionally, based on the number of species covered by cooperative agreements within each U.S. Fish and Wildlife Service region. Habitat Conservation Planning (HCP) Land Acquisition Grant funding was used to fund 9 individual projects in 6 states out of the 19 proposals received. The Recovery Land Acquisition Grant program awarded 22 out of 30 projects located in 12 states and one territory. The Habitat Conservation Planning Assistance Grant program awarded 19 out of 20 proposals to provide project funding in 15 states. In fiscal year 2009, the U.S. Fish and Wildlife Service anticipates providing approximately \$10,001,000 to States for traditional Conservation Grants; \$7,642,000 for Habitat Conservation Planning Assistance Grants; \$36,008,000 for Habitat Conservation Land Acquisition Grants; and \$14,186,000 for Recovery Land Acquisition Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; 50 CFR 81, The U.S. Fish and Wildlife Service Manual Chapter 521 FW 4 (<http://www.fws.gov/policy/521fw4.html>); The Wildlife and Sport Fish Restoration Program Toolkit (<http://wsfrprograms.fws.gov/Subpages/ToolkitFiles/toolkit.pdf>); and the annual request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Regional or Local Office:

Please visit <http://www.fws.gov/endangered/grants/section6/index.html> and click on the "How to contact us for information" link to access a list of regional program contacts.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior, 1849 C Street, NW., (MS-420 ARLSQ), Washington, DC 20240. Contact: Chief, Endangered Species: Division of Consultation, HCPs, Recovery and State Grants. Telephone: (703) 358-2171. Use the same number for FTS.

Web Site Address:

<http://www.fws.gov/endangered/grants/section6/index.html>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.611, Wildlife Restoration; 15.634, State Wildlife Grants.

EXAMPLES OF FUNDED PROJECTS:

A variety of projects were funded through the Cooperative Endangered Species Conservation Fund in FY 2008, including Habitat Conservation Planning Assistance grants to develop Habitat Conservation Plans (HCP) across the country in areas ranging from the bottomland forests of Arkansas to sagebrush-steppe habitat in Utah. These HCPs will benefit a wide range of plants and animals, including the endangered Butte County meadowfoam, threatened Monetary spineflower, endangered Ivory-billed woodpecker and endangered Indian bat. Most of the HCPs will address multiple species, many of them on a city, county or large watershed basis. Recovery Land Acquisition grants awarded in fiscal year 2008 will be used to acquire and protect important forest, riparian, coastal, desert, wetland, and mountain areas that represent critical portions of species' last remaining habitats. Some of these acquisitions support more than one endangered or threatened species, as well as, provide important habitat for migratory birds, other plants, and wildlife. For example, acquisition of property in northern Idaho will benefit grizzly bear, mountain caribou, bull trout, Canada lynx, and gray wolf. The acquisition of relatively pristine coastal dune, coastal prairie and riparian wetlands habitats in California will enhance recovery of three federally listed species: Western snowy plover, Howell's spineflower, and Menzie's wallflower. HCP Land Acquisitions in fiscal year 2008 will be used to help acquire vital habitat for threatened and endangered species ranging from red-cockaded woodpecker in Georgia to the Lake Erie water snake in Ohio. The land acquired under the HCP Land Acquisition program are purchased only from willing sellers, and are intended to complement but not replace the conservation responsibilities contained in the HCP.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for grant funding must be submitted by the State fish and wildlife agency. The State must have an approved cooperative agreement with the Secretary of the Interior which provides for sharing responsibilities for endangered species, prior to receiving Cooperative Endangered Species Conservation Fund (section 6 of the Endangered Species Act) funds. Individual project proposals must compete with other State submissions for funding.

15.616 CLEAN VESSEL ACT

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Clean Vessel Act of 1992, Section 5604, Public Law 102-587, Subtitle F; 16 U.S.C. 777c, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

To provide funding to States, Commonwealths, and territories for the construction, renovation, operation, and maintenance of sewage pumpout stations, waste reception facilities and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for development of surveys and plans for installing sewage pumpouts and dump stations for recreational boats in the coastal zone of coastal States, and for the construction of sewage pumpouts and dump stations for recreational boats and implementation of an educational programs. These stations must provide protection of sensitive areas from recreational boat sewage. Prohibited activities include law enforcement, construction/renovation of upland restroom facilities, construction, renovation, operation and maintenance of sewage treatment plants, and any activity that does not provide public benefits.

Applicant Eligibility:

States, Commonwealths, and territories.

Beneficiary Eligibility:

General public, recreational boaters, municipalities, and private marinas within eligible States.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule) identifies Federal cost principles for determining allowable costs.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth or territory for more information on the process required by the State, Commonwealth or territory requires when applying for assistance, if the State, Commonwealth, or territory has selected the program for review.

Application Procedure:

Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the Fish and Wildlife Service will select projects to be funded based on established criteria. Regional Offices make awards to approved applicants.

Deadlines:

Application deadlines are typically in late December or early January. The Fish and Wildlife Service notifies all States, Commonwealths, and territories about the grant program annually.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion over the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

The Federal share of the project costs cannot exceed 75 percent.

Length and Time Phasing of Assistance:

Projects normally funded through annual proposals.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Grants) FY 07 \$12,300,000; FY 08 est \$13,250,000; and FY 09 est \$13,630,000.

Range and Average of Financial Assistance:

\$10,000 to \$1,500,000; \$394,191.

PROGRAM ACCOMPLISHMENTS:

Between 1993 and 2003, the Fish and Wildlife Service issued \$87.6M in grants to 49 States and resulted in the construction of over 3,000 pumpouts and 1,900 dump stations.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 85; Final Technical Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; final Grant Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; and final Pumpout Symbol Guidelines published in the Federal Register, Vol. 62, No. 166, August 27, 1997.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program.

EXAMPLES OF FUNDED PROJECTS:

Surveys for the number and location of operational pumpout stations/dump stations, for the number of recreational vessels in coastal waters with type III marine sanitation devices and the areas where those vessels congregate; development of plans for construction of stations and/or facilities; construction, renovation, operation and maintenance of pumpout/dump stations; and programs for education of recreational boaters about the problem of waste discharge from vessels and the location of pumpout/dump stations.

CRITERIA FOR SELECTING PROPOSALS:

Project must be submitted by the State, Commonwealth, or territorial agency given responsibility for the program by the Governor of that State, Commonwealth, or territory. Purpose of the project must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals for coastal States are based upon the extent the project will contribute to conducting a survey, developing a plan for installing pumpouts/dump stations, and constructing pumpouts/dump stations according to the approved plan. Criteria for selecting proposals for all States, Commonwealths, or territories are based upon the extent the project will contribute to public/private partnerships, innovative approaches to increasing availability and use of pumpouts/dump stations, implementation of an education program, contribution toward protecting sensitive areas, and lowering the ration of vessels with holding tanks and portable toilets to pumpouts and dump stations.

15.619 RHINOCEROS AND TIGER CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of rhinoceros and tigers. This program supports projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of rhinoceros and tiger or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-rhinoceros and human-tiger conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur within the rhinoceros/tiger range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to rhinoceros/tiger conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros and/or tiger conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros and/or tiger conservation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/animals/rhinoprogram.htm>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are competitively selected for funding using criteria from the Rhinoceros and Tiger Conservation Act (16 USC 5301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/animals/rhinoprogram.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

None.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula. To the extent possible, grant funds are matched by nonfederal funds. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting

requirements can be found at this program's web page at

<http://www.fws.gov/international/animals/rhinoprogram.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular No. A 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non Profit Organizations," nonfederal entities that expend \$500,000 or more in Federal awards shall have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A 133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5199-0-2-303.

Obligations:

(Assistance Awards) FY 07 \$1,496,000; FY 08 \$1,870,000; and FY 09 est \$890,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the Service funded 36 rhinocero and tiger projects. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/animals/rhinoprogram.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/animals/rhinoprogram.htm> or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

Not applicable.

Headquarters Office:

U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, 4401 North Fairfax Drive, ARLSQ 100, Arlington, Virginia 22203. Telephone: (703) 358 1754, fax (703) 358 2115. E-mail: MSCF_RhinoTiger@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.620, African Elephant Conservation Fund; 15.621, Asian Elephant Conservation Fund; 15.629, Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at <http://www.fws.gov/international/animals/tigerprogram.htm> and <http://www.fws.gov/international/animals/rhinoprogram.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation. Telephone: (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the requirements of the Rhinoceros and Tiger Conservation Act (16 U.S.C. 5301 et. seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Rhinoceros and Tiger Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/animals/tigerprogram.htm>. Hard copies of the criteria are available upon request from the Division of International Conservation at (703) 358-1754.

15.620 AFRICAN ELEPHANT CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

African Elephant Conservation Act, Title II Sec. 2001 et seq., Public Law 100-

OBJECTIVES:

To provide financial assistance to support projects that will enhance sustainable conservation programs to ensure effective, long-term conservation of African elephants. The African Elephant Conservation Fund supports projects that promote conservation through: Applied research on elephant populations and their habitat, including surveys and monitoring; Development and execution of elephant conservation management plans; Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of elephants or regulate the use and management of elephant habitat; Conservation education and community outreach; Enhanced protection of at-risk elephant populations; Efforts to decrease human-elephant conflict; Habitat conservation and management; Protected area/reserve management in important elephant range; Strengthening local capacity to implement conservation programs; Transfrontier elephant conservation; and Wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur within the range of the African elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to African elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Beneficiary Eligibility:

Any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/afecf/afecf.htm>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the African Elephant Conservation Fund (16 USC et seq.) Review criteria can be found in the application information at <http://www.fws.gov/international/afecf/afecf.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g., time extensions or budget changes.

Formula and Matching Requirements:

To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/afecf/afecf.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-8154-0-7-303.

Obligations:

FY 07 \$1,299,000; FY 08 \$1,378,000; and FY 09 est \$890,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

During FY 2007, the Service funded 25 African elephant conservation projects. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/afecf/afecf.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources the regulations found in 43 CFR part 12 and the U.S. Fish and Wildlife Service Administration Manual. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/grants/grants.html> or in hard copy by contacting the Division of International Conservation. Telephone: (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703)358 1754. Fax: (703) 358-2115. E-mail: MSCF_AfricanElephant@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.619, Rhinoceros and Tiger Conservation Fund; 15.621, Asian Elephant Conservation Fund; 15.629, Great Apes Conservation Fund; 15.645, Marine Turtle Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at our website at <http://www.fws.gov/international/afecf/afecf.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation. Telephone: (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the African Elephant Conservation Act (16 USC 4201 et seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to

proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the African Elephant Notice of Funding Availability and Application Instructions found on our website at <http://www.fws.gov/international/asecf/asecf.htm>. Hard copies are available upon request from the Division of International Conservation. Telephone: (703) 358-1754.

15.621 ASIAN ELEPHANT CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Asian Elephant Conservation Act, Public Law 105- 96, 111 Stat. 2150, 16 U.S.C. 4261-4266.

OBJECTIVES:

To provide financial assistance to support the effective long-term conservation of Asian elephants. This program provides support for projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of Asian elephant or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-elephant conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur within the range of the Asian elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to Asian elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan, and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Beneficiary Eligibility:

Any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/asecf/asep.htm>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 730, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Asian Elephant Conservation Act (16 USC 4261 4266). Review criteria can be found in the application information at <http://www.fws.gov/international/asecf/asep.htm>. Once a proposal has been selected for funding an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by a FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other

aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g., time extensions or budget changes.

Formula and Matching Requirements:

To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures.

Reports:

Progress and final reports are due in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/asecf/asep.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-303.

Obligations:

(Assistance Awards) FY 07 \$1,299,000; FY 08 est \$1,378,000; and FY 09 est \$890,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 the Service funded 27 Asian elephant conservation projects. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/asecf/asep.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/asecf/asep.htm> or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703) 358-1754. Fax: (703) 358-2115. MSCF_AsianElephant@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.619, Rhinoceros and Tiger Conservation Fund; 15.620, African Elephant Conservation Fund; 15.629, Great Apes Conservation Fund; 15.645, Marine

Turtle Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at <http://www.fws.gov/international/asecf/asep.htm>. Hard copies of this information are available upon request from the Division of International Conservation at (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Asian Elephant Conservation Act (16 U.S.C. 4261-4266). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Asian Elephant Notice of Funding Availability and Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.622 SPORTFISHING AND BOATING SAFETY ACT

(Boating Infrastructure Grant Program)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Sportfishing and Boating Safety Act, Title VII, Subtitle D, Section 7404, Public Law 105-178, 16 U.S.C. 777g-l, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

Provides funds for States to construct, renovate, and maintain tie-up facilities for transient, recreational boats 26 feet or more in length.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for constructing, renovating, or maintaining tie-up facilities for transient, recreational boats 26 feet or more in length and to produce and distribute information and educational materials about the program. Prohibited activities include; law enforcement, construct, renovate, or maintain tie up facilities for boats less than 26 feet in length and any activity that does not provide public benefits.

Applicant Eligibility:

Available to all States, Commonwealths, and territories.

Beneficiary Eligibility:

General Public, specifically owners and/or users of transient, recreational boats more than 26 feet in length, and municipalities and private marinas within those eligible States, Commonwealths, and territories.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule) identifies Federal cost principles for determining allowable costs.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on the process the State, Commonwealth, or territory requires when applying for assistance, if the State, Commonwealth, or territory selected the program for review.

Application Procedure:

Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," must be used. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the Fish and Wildlife Service will select projects for funding based on established criteria. Regional Offices make awards to approved applicants.

Deadlines:

50 CFR 86.50 provides the guidelines for this program.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

The Federal share of the project costs cannot exceed 75 percent.

Length and Time Phasing of Assistance:

Projects are normally funded through annual proposals.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after final submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Grants) FY 07 \$12,200,000; FY 08 est \$13,400,000; and FY 09 est \$17,100,000.

Range and Average of Financial Assistance:

Tier 1 grants average at \$100,000; Tier 2 range from \$100,000 to \$1,500,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

The U.S. Fish and Wildlife Service has awarded \$72,000,000 for improving boating infrastructure facilities throughout the States, Commonwealth, and territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 86.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded projects include the construction of floating docks, breakwaters, and fuel docks for transient boats. Other examples include the construction of associated support infrastructure such as restrooms and showers for transient boaters.

CRITERIA FOR SELECTING PROPOSALS:

The designated State, Commonwealth, or territorial agency must submit proposals to participate in this program. The purpose of these projects must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals are based upon the extent the project will contribute to constructing tie-up facilities according to the State's, Commonwealth's, or territory's approved plan; contribute to public/private partnerships; innovative approaches to increasing availability and use of tie-up facilities; that include private, local, or other State, Commonwealth, or territorial funds in addition to the 25 percent match; that are cost efficient; provide a significant link to prominent destination way points such as those near cultural or natural sites; provide access to recreational, historic, cultural, natural, or scenic opportunities of local, regional, or national significance; that provide significant economic impacts to a community; and include multistate efforts that result in coordinating location of tie-up facilities.

15.623 NORTH AMERICAN WETLANDS CONSERVATION FUND

(NAWCF)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

North American Wetlands Conservation Act, Public Law 101-233, as amended, 16 U.S.C. 4401.

OBJECTIVES:

To provide grant funds for wetlands conservation projects in the United States, Canada, and Mexico.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to acquire real property interest in lands or waters, including

water rights. Funds may also be used to restore, manage, and/or enhance wetland ecosystems and other habitat for migratory birds and other fish and wildlife. Projects must provide long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife. Coastal Wetlands Planning, Protection and Restoration act-derived funds eligible for NAWCA projects may be used only in U.S. coastal wetlands ecosystems. More specific restrictions are outlined on the NAWCA grants information and instructions website.

Applicant Eligibility:

Available to private or public organizations or to individuals who have developed partnerships to carry out wetlands conservation projects in the U.S., Canada, and Mexico.

Beneficiary Eligibility:

Available to any private or public organization or individual.

Credentials/Documentation:

None.

Preapplication Coordination:

A grant announcement and application instructions are published annually on grants.gov and the FWS website (see below). Prospective applicants may consult with staff on questions related to the details of match eligibility, project feasibility, overhead, cost levels, etc. Associated materials and guidelines are posted on the FWS website and are available in printed form upon request. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complete program information and application instruction can be found on the Division of Bird Habitat Conservation (DBHC) website, at <http://www.fws.gov/birdhabitat/Grants/NAWCA>, or can be accessed through grants.gov. Grant applications, including Standard Form 424 Application for Federal Assistance, are submitted directly to the Coordinator, North American Wetlands Conservation Council, Division of Habitat Conservation, U.S. Fish and Wildlife Service, Mail Stop MBSP 4075, 4401 North Fairfax Drive, Arlington, VA 22203; 703-358-1784.

Award Procedure:

Applications are screened by the Coordinator's staff, and further reviewed and ranked by the North American Wetlands Conservation Council (Council) Staff and North American Waterfowl Management Plan Joint Venture Coordinators. Site visits are conducted as needed. Grant proposals are recommended for approval by the Council to the Migratory Bird Conservation Commission (Commission). The U.S. Fish and Wildlife Service conducts a National Environmental Policy Act and National Historic Preservation Act review of the projects that the Council selects to recommend to the Commission. The Council selects proposals in March, July, and December that are recommended to the Commission for final funding approval in September, March, and June respectively. The grant is administered by FWS DBHC.

Deadlines:

U.S. Standard grants are due in March and July; specific proposal due dates are published on www.grants.gov and on the NAWCA website.

Range of Approval/Disapproval Time:

The range of time from proposal submission to the Commission meeting for standard grants is 7 to 11 months. Small grants approvals are usually known in 4 months.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must complete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

Proposals must have at least a 1:1 match of nonfederal U.S. dollars; although nonfederal dollars for Mexico proposals do not have to be U.S. dollars.

Length and Time Phasing of Assistance:

Grant funds should be spent within the 1-2 year grant period, although the grant period can be extended for reasonable cause.

Reports:

Progress and final reports must be submitted in accordance with the terms and conditions of the grant agreement. Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project termination date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and

expenditures of nonfederal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission for final request of reimbursement.

Account Identification:

14-5241-0-2-303.

Obligations:

(Grants) FY 07 \$67,079,040; FY 08 est \$80,144,640; and FY 09 est not available.

Range and Average of Financial Assistance:

Range is \$0 to \$75,000 for Small Grants; over \$75,000 to \$1,000,000 for U.S. Standard Grants. Average award is approximately \$42,000 and \$710,000 for Small Grants and U.S. Standard Grants, respectively.

PROGRAM ACCOMPLISHMENTS:

From September 1990 through September 2007, more than 3,547 partners have been involved in 1,697 Standard and Small Grant Programs' projects combined. More than \$836.8 million in Act grants has leveraged some \$1.6 billion in matching funds and \$946 million in nonmatching funds to affect approximately 23.8 million acres of wetlands and associated uplands across the continent.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Standard Grants Application Instructions; Small Grants Instructions; U.S. Grant Administration Standards; 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

Regional Office or Local Office For additional program information, see Appendix IV for addresses of USFWS Regional Offices.

Headquarters Office:

Headquarters Office Fish and Wildlife Service, Department of the Interior, Washington, DC 20240. Contact: Chief, Division of Bird Habitat Conservation, MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703) 358-1784.

Web Site Address:

<http://www.fws.gov/birdhabitat/Grants/NAWCA>

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.611, Wildlife Restoration; 15.614, Coastal Wetlands Planning, Protection and Restoration Act; 15.635, Neotropical Migratory Bird Conservation.

EXAMPLES OF FUNDED PROJECTS:

Wetlands conservation projects funded by NAWCA acquire, restore and enhance wetlands and wetlands ecosystems. Summaries of some funded projects are available on the website.

CRITERIA FOR SELECTING PROPOSALS:

The Council selects proposals based on factors given in Section 5 of the North American Wetlands Conservation Act, results of site visits, available funds and other factors deemed important to meeting Act objectives. U.S. standard and small grant proposals are additionally evaluated against answers to Technical Assessment Questions taken from Section 5 of the Act and annually published on the web site in application instructions.

15.625 WILDLIFE CONSERVATION AND RESTORATION

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of Transportation and Related Agencies (i.e. Commerce, Justice, State) Appropriations Act of 2001, Public Law 106-553, Title IX, Sec. 901; 16 U.S.C. 669-669i.

OBJECTIVES:

To provide financial to States, Commonwealths and territories under the Pittman-Robertson Wildlife Restoration Act to plan and implement projects for benefit of a diverse array of wildlife and associated habitats, including species that are not hunted or fished; and to fulfill unmet needs of wildlife within the States, Commonwealths and territories, including wildlife education and recreation activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Approved activities include those, which plan, enhance, and implement programs for wildlife and their habitats, including species that are not hunted or fished; States, Commonwealths and territories must pass laws for the conservation of wildlife, which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, Commonwealth, and territorial fish and wildlife agencies. No funds must be used for projects that oppose legal hunting. Federal share may not exceed 75 percent of total funding.

Applicant Eligibility:

Participation limited to State, Commonwealth, or territorial fish and wildlife agencies with lead management responsibility for fish and wildlife resources. States, Commonwealths, and territories must pass laws (assent legislation) for the conservation of wildlife, which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, Commonwealth, or territorial fish and wildlife agency. In addition, the

States, Commonwealths, and territories must have an approved comprehensive plan as described by the Appropriations Act.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

Only the agency that has lead management responsibility for fish and wildlife resources with the State, Commonwealth, or territory may apply for these grants. The State, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Plan must consider the broad range of State, Commonwealth, or territory agencies' wildlife and associated habitats, with appropriate policy placed on those species of greatest conservation need. States, Commonwealths, or territories must notify the Secretary of the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife agency Director must furnish a certification of the number of paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on the process the State, Commonwealth or territory when applying for assistance, if the State, Commonwealth, or territory has selected a program for review.

Application Procedure:

Grantee may document initial program by submission of a satisfactory Comprehensive Wildlife Conservation Plan. Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justified and if funds are available.

Formula and Matching Requirements:

This program is required by statute to provide funds using a specified formula. The authorizing Appropriation Act of states: (1) The Secretary of the Interior shall make the following apportionment from the Wildlife Conservation and Restoration Account: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; (B) to Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof; (2) (A) The Secretary of the Interior, after making the apportionment under paragraph (a), must apportion the remaining amount in the Wildlife Conservation and Restoration Account for each fiscal year among the States in the following manner: (i) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (ii) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. (B) The amounts apportioned under this paragraph must be adjusted equitably so that no such State shall be apportioned a sum which is less than one percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount. The State, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Federal share of funded programs or projects may not exceed 75 percent. More discussion on Matching and Cost-sharing requirements can be found in 43 CFR 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A Performance Report and Financial Status Report are required for each grant

award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

For awards made under this Program, grantees and subgrantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996, 31 U.S.C. 7501 et seq. and OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Conservation Grants) FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Based on statutory formula - \$121,000 to \$2,425,000; average \$904,000.

PROGRAM ACCOMPLISHMENTS:

Funding for this program was for one year only, FY 2002. This program closely parallels the State Wildlife Grant program under CFDA 15.634, which has nearly the same goals and objectives. For the purposes of accomplishments, the WCRP is combined with State Wildlife Grants. Together these two programs have appropriated to States, Commonwealths, and territories approximately \$380 million for use in restoring and protecting species of greatest concern.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80; Fish and Wildlife Service Manual; Federal Aid in Fish and Wildlife Restoration Annual Report (limited number available at no cost).

Regional or Local Office:

See Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.608, Fish and Wildlife Management Assistance; 15.611, Wildlife Restoration; 15.615, Cooperative Endangered Species Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

New Jersey Grassland Habitat Project - The grant restored early successional habits on selected wildlife management areas in New Jersey. Within three years, approximately 715 acres of scrub-shrub, native warm-season grasslands, and savanna developed, largely on existing fallow agricultural lands. A variety of wildlife species benefited from this working, including one Federal candidate species (Argos skipper), at least 9 State-listed species (red-headed woodpecker, grasshopper, savanna, Henslow's and vesper sparrows; upland sandpiper, bobolink, frosted elfin, and loggerhead shrike), three specials of special concern (Eastern meadowlark, American kestrel, and yellow-breasted chat); and four important game species (bobwhite quail, cottontail rabbit, woodcock, and wild turkey). Oregon East Alton Baker Park Habitat Restoration - This grant restored degraded habitats (Willamette River Riparian Forest Upland Prairie) on 237 acres in East Alton Baker Park in Eugene, OR. It protected and enhanced wildlife habitat and provided accessible, high quality public educational opportunities. The habitat enhancement benefited a number of species of concern, including Spring Chinook Salmon, Western Meadowlark, Western Pond Turtles, Townsend big-eared bats, and many others. Reintroduction of Osprey into Suitable Sites along the Missouri River in South Dakota - This grant evaluated the first year of hatching of osprey chicks at suitable sites along the Missouri River. The work contributes toward increasing the numbers of nesting new pairs and distribution of nesting ospreys in South Dakota. The long-term goal is restoration of this species to the point that it can be removed from the State list of threatened species.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of the State's, Commonwealth's, or territorial's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.626 HUNTER EDUCATION AND SAFETY PROGRAM

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669h-1.

OBJECTIVES:

To provide Section 10 funds for the enhancement of hunter, bow, and archery education programs and the enhancement or construction of firearm shooting ranges and archery ranges.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Section 10 funds supplement Section 4(c) Hunter Education funds, thereby enhancing Hunter Education. The Congressional Record affirms that Section 10 funds should be used to: hire additional staff for Hunter Education programs; provide additional materials for Hunter Education courses; enhance and modernize materials as needed; investigate new technologies and delivery methods; develop and evaluate home study courses; create advanced hunter education courses; perform programmatic evaluations and monitoring of hunter education classes; and improve and enhance training for Hunter Education instructors. This policy is consistent with what Congress intended when they passed the Pittman-Robertson Wildlife Restoration Act. It provides States, Commonwealths and territories with flexibility, while enhancing hunting and shooting activities and projects. Again, these funds may be used for the enhancement of hunter education programs and construction of ranges until the State, Commonwealth, or territory obligates all of their Section 4(c) Hunter Education funds apportioned to them for hunter education and safety as stated in 16 U.S.C. 669c. Ineligible activities include law enforcement and public relation.

Applicant Eligibility:

Participation is limited to State, Commonwealth or territorial agencies with lead management responsibility for fish and wildlife resources. States, Commonwealths, and territories must pass laws (assent legislation) for the conservation of wildlife which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, Commonwealth, or territorial fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these hunter safety programs.)

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth or territory for more information on the process required by the State, Commonwealth, or territory requires when applying for assistance, if the State, Commonwealth, or territory selected a program for review.

Application Procedure:

Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider the differences of opinion about eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justified and if funds are available.

Formula and Matching Requirements:

One-half of the 11 percent manufacturer's excise tax on bows, arrows, and archery equipment and 10 percent manufacturer's excise tax on handguns,

pistols, and revolvers makeup the funding for the Hunter Education program. The other one-half of the manufacturer's excise tax are for wildlife restoration purposes including the 11 percent manufacturer's excise tax on firearms and ammo. Formula-based apportionment; based on State population compared to the total U.S. populations using last census figures; No State may receive more than 3 percent on less than 1 percent of the total Hunter Education funds apportioned; and the Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment. Statistical factors used for fund allocation are (1) States' percentage share of land area from the 2000 Census of Population; (2) States' percentage share of the number paid hunting license holders, from the source annually certified by each State's fish and wildlife agency; (3) States' percentage share of population (hunter education) from the source 2000 Census of Population. Statistical factors used for eligibility do not apply for this program. States may be reimbursed up to 75 percent of the total project cost. Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of Guam, the U.S. Virgin Islands, and the American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director. The non-Federal share could come from license fees paid by hunters. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation for a period of one year. Balances remaining un-obligated after one year are returned to be reapportioned to States, Commonwealths, and territories that obligate all of the funds apportioned to them under section 4(c) and section 10 of the Pittman-Robertson Wildlife Restoration Act for hunter safety related activities. For more information, see the Hunter Education Guide at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Reports:

A Performance Report and Financial Status Report SF-269 are required for each grant or grant proposals annually within 90 days after the anniversary date or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Grants) FY 07 \$8,000,000; FY 08 \$8,000,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

\$13,300 to \$240,000; \$145,000.

PROGRAM ACCOMPLISHMENTS:

About \$8 million is available for 2008 to help States, Commonwealths, and territories enhance their Hunter Education and Safety program. Specific 2006 accomplishments funded by Section 10 Hunter Education and Safety include enhancements to 284 shooting ranges, education facilities, etc.; construction of six shooting ranges; funding to the National Archery in the Schools program across the United States; and operation and maintenance of 56 shooting ranges.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Hunter Education Guide, Fish and Wildlife Service Manual, matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203. Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.615, Cooperative Endangered Species Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Projects funded by Section 10 Hunter Education Program could include bow hunter education, Archery in the Schools Program, Becoming an Outdoor Woman, Youth Hunter Mentoring Program, Muzzleloader Training Program, and construction or renovation of recreational shooting and archery ranges.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territory agency having responsibility for the management of the States, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.628 MULTISTATE CONSERVATION GRANT PROGRAM

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000, Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.

OBJECTIVES:

To provide funding for sport fish and wildlife restoration projects identified by the Association of Fish and Wildlife Agencies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Sport Fish and Wildlife Restoration funds used for sport fisheries and wildlife research projects, boating access development, hunter safety, aquatic education, habitat improvements, and other projects consistent with the purposes of the enabling legislation.

Applicant Eligibility:

States, groups of State, Fish and Wildlife Service (for national Survey of Fishing, Hunting, and Wildlife-Associated Recreation only), and non-governmental organizations. By October 1st of each year the Association of Fish and Wildlife Agencies (AFWA) will provide the U.S. Fish and Wildlife Service a priority list of projects recommended for funding. The U.S. Fish and Wildlife Service Director may only fund project proposals on the priority list.

Beneficiary Eligibility:

Projects must benefit at least 26 States, or a majority of the States in a region of the U.S. Fish and Wildlife Service, or a regional association of State fish and game departments.

Credentials/Documentation:

Costs for grants to States or groups of States will be determined in accordance with 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87). Cost for grants to non-governmental groups who are nonprofit organizations will be determined according to 2 CFR Part 230, Cost Principles for Non-Profit Organizations (OMB Circular A-122). Cost principles for non-governmental groups which are commercial will be determined the Federal Acquisition Regulations (FAR) 31.2. Non-government applicants must certify that they will not use grant funds for activities, projects, or programs that promote or encourage opposition to regulated hunting or trapping of wildlife, or to the regulated taking of fish.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires when applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants will use standard Federal Application and Assurance forms recently approved by OMB, as well as proposal formatting requirements established by the Association of Fish and Wildlife Agencies in their spring request for Multi-State Conservation Grant project proposals. Standard Federal forms include the Application for Federal Assistance (SF-424), the Budget Information for Non-construction Program (SF-424A), and the Compliance Assurances for Non-construction Programs (SF-424-B). Specific application procedures for States are described in 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Specific application procedures for Nonprofits are described in 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. Specific application procedures for commercial applicants and Fish and Wildlife Service applicants are described in the FAR, Subpart 31.2, Contracts with Commercial Organizations, and 50 CFR 80, Administrative Requirements, Dingell-Johnson Sport Fish Restoration and Pittman-Robertson Wildlife Restoration Acts.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding from a priority list developed by the Association of Fish and Wildlife Agencies (AFWA) based on established criteria.

Deadlines:

The exact date in June of each year for submitting proposals to the Association

of Fish and Wildlife Agencies (AFWA) will be announced generally in the Spring of each year at http://www.fishwildlife.org/multistate_grants.html. AFWA must submit its priority list of project proposals to the U.S. Fish and Wildlife Service Assistant Director for Wildlife and Sport Fish Restoration Programs by October 1. The list of priority projects submitted by AFWA will be published in the Federal Register by the end of each calendar year.

Range of Approval/Disapproval Time:

Approximately 6 to 9 months from the deadline established by AFWA for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director of the Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects may be approved for up to three years with funding dependant on annual competition.

Reports:

Reporting requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Reporting for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. Commercial grantees and U.S. Fish and Wildlife Service grantees must use reporting procedures described in 43 CFR 12.80 and FAR Subpart 31.2.

Audits:

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project for three years after submission of the final Financial Status Report. Record keeping requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Record keeping requirements for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. For Commercial grantee and U.S. Fish and Wildlife Service grantees must use record keeping procedures described in 43 CFR 12, Subpart C, and OMB Circular A-110.

Account Identification:

14-8151-0-7-303; 14-5029-0-2-303.

Obligations:

(Grants) FY 07 \$6,000,000; FY 08 est \$6,000,000; and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

\$25,000 to \$500,000; \$140,000.

PROGRAM ACCOMPLISHMENTS:

From over 100 project proposals received each year, between 10 and 20 project grants are awarded annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12; 50 CFR 80; Sections 521, 522, and 523 of the Fish and Wildlife Service Manual.

Regional or Local Office:

See Catalog Appendix IV for Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Division of Federal Assistance, 4401 N. Fairfax Drive, Room 4020, Arlington, VA 22203. Contact: Multistate Conservation Grant Program Coordinator. Telephone: (703) 358-2156.

Web Site Address:

<http://federalasst.fws.gov>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS:

Education and training, analysis and focus on the values and use of sport fish and wildlife programs and new technologies, and evaluation in restoration, recreation, enhancement, or protection of sport fish and wildlife and their habitats.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed and evaluated for scope, significance, feasibility, and cost effectiveness. In addition, projects must address one or more focus areas as described in the National Conservation Needs established annually by the Association of Fish and Wildlife Agencies (AFWA).

15.629 GREAT APES CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Great Ape Conservation Act of 2000, Public Law 106-411, 16 U.S.C. 6301.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of great apes and their habitats. This program supports projects that focus on one or more of the following areas: applied research on ape populations and their habitats, including surveys and monitoring; development and execution of ape conservation management plans; compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat; conservation education and community outreach; enhanced protection of at-risk ape populations; efforts to decrease human-ape conflicts; habitat conservation and management; protected area/reserve management in important ape range; strengthening local capacity to implement conservation programs; transfrontier ape conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training Federal Government personnel.

Applicant Eligibility:

Applicants may be submitted by any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on gaprogram.htm. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Great Ape Conservation Act (16 USC 6301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/animals/gaprogram.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.; time extensions or budget changes.

Formula and Matching Requirements:

To the extent possible, grant funds are matched by non-federal funds. This

program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at the program web page at <http://www.fws.gov/international/animals/gaprogram.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-303.

Obligations:

(Assistance Awards) FY 07 \$1,279,000; FY 08 \$1,870,000; and FY 09 est \$890,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY 2006 the Service funded 29 great ape conservation projects. A summary of each of those projects can be found at the program webpage at <http://www.fws.gov/international/animals/gaprogram.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources the regulations found in 43 CFR Part 12 and the Fish and Wildlife Service Administration Manual. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/grants/grants.html> or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

Not applicable.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358 1754. Fax: (703) 358 2115. E-mail: MSCF_GreatApe@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.619, Rhinoceros and Tiger Conservation Fund; 15.620, African Elephant Conservation Fund; 15.621, Asian Elephant Conservation Fund; 15.645, Marine Turtle Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at <http://www.fws.gov/international/animals/gaprogram.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Great Ape Conservation Fund (16 U.S.C. 6301 et seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Great Ape Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/animals/gaprogram.htm>. Hard copies are available upon request from the Division of International

15.630 COASTAL PROGRAM

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 742a-c, 747e-747j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 667(e).

OBJECTIVES:

To provide technical and financial assistance via partnerships to identify, protect, and restore or improve habitats in priority coastal areas for fish and wildlife.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

Funds are used for habitat assessments, protection and restoration of coastal habitats on private or public lands. The Coastal Program is geographically limited to 22 high priority areas. These are Gulf of Maine, Southern New England/New York Bight, Delaware Bay, Chesapeake Bay, Albemarle/Pamlico Sound, South Carolina Coast, South Florida/Everglades, Panama City, Florida, Florida Tampa Bay, the Caribbean, Texas Coast (Upper), Texas Coast (Southern), Great Lakes (Northern), Great Lakes (Southern), San Diego Bay, San Francisco Bay, Humboldt Bay, California, Oregon Coast, Puget Sound, Alaska (South East), Alaska (South Central), and the Pacific Islands.

Applicant Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Beneficiary Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The local Coastal Program Coordinator works with cooperators to design a project that will benefit Federal trust resources. Contact the appropriate Coastal Program Office for application procedures. Information is also available on <http://www.grants.gov/>.

Award Procedure:

After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None. However, project partners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Projects normally funded through annual segments.

Reports:

Reporting requirements are stated in each cooperative agreement. Contact the appropriate Coastal Program Office for additional information and specific requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or program- specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$12,984,000; FY 08 \$12,900,000; and FY 09 est \$12,000,000.

Range and Average of Financial Assistance:

\$5,000 to \$50,000.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, the Coastal Program worked with partners to protect an estimated 11,600 acres of wetlands, 7,800 acres of uplands, and 19 miles of streams/shoreline; and restore an estimated 41,700 acres of wetlands, 13,300 acres of uplands, and 120 miles of streams/shoreline; and remove 11 barriers to fish passage. This was accomplished through 191 partnership agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See <http://www.fws.gov/coastal> for contact information and addresses.

Headquarters Office:

Fish and Wildlife Service, Division of Fish and Wildlife Management and Habitat Restoration, Branch of Habitat Restoration, 4401 N. Fairfax Drive, MS 730, Arlington, VA 22203. Telephone: (703) 358-2201. Use the same number for FTS. Fax: (703) 358-2232.

Web Site Address:

<http://www.fws.gov/coastal>.

RELATED PROGRAMS:

15.631, Partners for Fish and Wildlife.

EXAMPLES OF FUNDED PROJECTS:

See web page. Restoration of tidal flow to a degraded salt marsh, customized habitat assessments using GIS, dam removal for fish passage.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species and be cost effective. Project selection occurs at the field level. See the annual "Notice of Availability of Federal Assistance" in <http://grants.gov> for more details.

15.631 PARTNERS FOR FISH AND WILDLIFE

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774; Fish and Wildlife Act of 1956; 16 U.S.C. 742a-c, 742e-j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e).

OBJECTIVES:

Provide technical and financial assistance to private landowners and Native American Tribes interested in voluntarily restoring or otherwise improving native habitats for fish and wildlife on their lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance provided to private landowners (defined to include individuals, local governments, non-government organizations) and Native American Tribes. Program goal is to achieve a 50 percent cost share on projects with matching funds and in-kind contributions provided by the landowners and other partners.

Applicant Eligibility:

Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land this is not owned by the Federal Government or a State. Private land includes tribal land and Hawian homeland. Private landowners, Tribal Governments, local governments, educational institutions and organizations are eligible for financial and technical assistance from the Partners for Fish and Wildlife Program.

Beneficiary Eligibility:

Private landowners, Tribal Governments, local governments, educational institutions and organizations and the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The local Partners for Fish and Wildlife Program Coordinator works with private landowners and makes a site visit to design a project that will benefit Federal trust resources and is acceptable for the landowner's needs. Contact the appropriate Partners for Fish and Wildlife Program Office for application procedures. Information is also available at <http://www.grants.gov>.

Award Procedure:

After a project has been designed and the cost calculated, the local Partners Program coordinator will be able to inform the private landowner whether or not the Service will provide cost-share assistance. When the landowner has signed a cooperative agreement (a minimum of 10 years) work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Not applicable. Private landowners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula. The Program goal is to obtain a 50 percent match of Federal monies by partnering with landowners, local community groups and other local and national conservation organizations.

Length and Time Phasing of Assistance:

Cost-share is a one time event that occurs after project construction.

Reports:

Reporting requirements are stated in each cooperative agreement. Contact the appropriate Partners for Fish and Wildlife Program Office for additional information and specific requirements. Detailed biological reports are prepared on a percentage of projects funded. All projects are monitored for accurate construction.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$42,660,000; FY 08 \$42,600,000; and FY 09 est \$42,000,000.

Range and Average of Financial Assistance:

Cost-share range per project is from \$200 to \$25,000. The average cost per project is \$5,400.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, the Partners Program helped restore or enhance an estimated: 91,000 acres of wetlands; 420,000 acres of native grasslands and other uplands; 600 miles of riparian corridors, streambanks and in-stream habitats; and 140 fish passage barriers. This was accomplished using 1,850 landowner agreements for fish and wildlife habitat restoration on private lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see the Partners for Fish and Wildlife Program Management Policy in the Fish and Wildlife Service Manual, 640 FW1 (at <http://www.fws.gov/policy/640fw1.html>).

Regional or Local Office:

See <http://www.fws.gov/partners> for addresses and contact information.

Headquarters Office:

Chief, Branch of Habitat Restoration, Fish and Wildlife Service, Department of the Interior, 4401 N. Fairfax Drive, Room 750, Arlington, VA 22203.
Telephone: (703)358-2201. Use the same number for FTS. Fax: (703)358-2232.

Web Site Address:

<http://www.fws.gov/partners>.

RELATED PROGRAMS:

15.630, Coastal Program.

EXAMPLES OF FUNDED PROJECTS:

Typical wetland restoration and enhancement techniques involve restoring the natural hydrology of a site through the blocking of surface ditches, breaking sub-surface drain tiles, building shallow berms to trap water, filling in concentration pits, removing eroded sediment which fills in basins, installing grass buffers, or installing fences along wetlands or stream corridors that prevent cattle grazing within the stream or wetland. Other wetland enhancement activities include working with the landowners to better manage the wetlands through the use of grazing, haying, discing, and burning. Upland restoration and enhancement and riparian areas are restored and enhanced through re-seeding or planting native grass, forbs, woody shrubs and trees. The installation of cross fencing along with providing alternative sources of water, assist landowners develop grassland/grazing management plans that have greater benefits for wildlife. Removing barriers to fish migration and using natural channel design techniques is the main focus of the Partners Program in-stream restoration work. Riparian fencing and improved grazing systems compliments stream restoration work.

CRITERIA FOR SELECTING PROPOSALS:

In general, projects must benefit Federal trust species, involve on-the-ground habitat restoration, and be cost-effective. Specific criteria for reviewing and ranking projects were established in the national Partners policy, at <http://www.fws.gov/policy/640fw1.html>. The program gives high priority to proposed projects that benefit the National Wildlife Refuge System and/or species at risk. Projects also receive priority if they were identified as priority

by Service ecosystem teams; reduce habitat fragmentation; conserve globally or nationally imperiled habitats; and are self-sustaining. Also take a look at the annual Notice of Availability of Federal Assistance referenced in <http://grants.gov>.

15.632 CONSERVATION GRANTS PRIVATE STEWARDSHIP FOR IMPERILED SPECIES

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1361 et seq., as amended; Department of the Interior and Related Agencies Appropriations Act of 2004, H.R. 2691; Public Law 108-108.

OBJECTIVES:

To provide Federal financial and other assistance to individuals and groups engaged in local, private, and voluntary conservation efforts to be carried out on private lands that benefit species listed or proposed as endangered or threatened under the U.S. Endangered Species Act, candidate species, or other at-risk species.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is provided to individuals and groups to fund the voluntary restoration, management, or enhancement of habitat on private lands for endangered, threatened, proposed, candidate, or other at-risk species. Funds will not be used for the acquisition of real property either through fee title or easements.

Applicant Eligibility:

Sponsored organizations, individual/family, specialized group, public nonprofit institution/organization, private nonprofit institution/organization, small business, profit organization, or other private institution/organization. The annual Request for Proposals announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html will describe the criteria that must be satisfied for a proposal to be eligible for funding.

Beneficiary Eligibility:

Anyone/General Public.

Credentialed Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of the Interior.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A Request for Proposals is published annually through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html. Additional information and instructions are printed and distributed annually. The standard application for Federal Assistance is submitted. The project title/description section of the application will address the evaluation factors identified in the annual Request for Proposals posted to the internet locations identified above. Submit a request for a proposal package, which includes specific information on requirements, to the U.S. Fish and Wildlife Service Regional Office.

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Director of the Fish and Wildlife Service. Funds are obligated by signature on a project agreement.

Deadlines:

Information regarding deadline dates will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html.

Range of Approval/Disapproval Time:

Information regarding approval/disapproval time frames will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a one-time basis. Requests for future funding must compete with other requests for funding.

Formula and Matching Requirements:

A 10 percent cost-share on the part of the landowner or other nonfederal partner

is required.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with DOI/FWS financial and reporting procedures.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions of the grant agreement and be submitted within the Period of Performance of the grant agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5495-0-1-302.

Obligations:

(Grants) FY 07 \$8,930,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

\$0 to \$300,000 (FY 05).

PROGRAM ACCOMPLISHMENTS:

The program was not funded in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act; 43 CFR 12, United States Standard Grants Application Instructions, and the annual Request for Proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

U.S. Fish and Wildlife Service, Division of Fish and Wildlife Management and Habitat Restoration, Branch of Habitat Restoration, 4401 N. Fairfax Drive, MS 730, Arlington, VA 22203. Telephone: (703)358-2201. Use the same number for FTS. Fax: (703)358-2232.

Web Site Address:

http://endangered.fws.gov/grants/private_stewardship/index.html.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.611, Wildlife Restoration; 15.618, Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration; 15.615, Cooperative Endangered Species Conservation Fund; 15.633, Landowner Incentive Program.

EXAMPLES OF FUNDED PROJECTS:

Examples of the types of projects that may be funded include using prescribed burning to restore grasslands that support imperiled species, management or removal of nonnative and invasive species for protection of imperiled species, fencing to exclude animals from sensitive habitats, reintroduction of imperiled species to suitable habitat, or planting native vegetation to restore degraded habitat.

CRITERIA FOR SELECTING PROPOSALS:

The Request for Proposals announced annually through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at http://www.fws.gov/endangered/grants/private_stewardship/index.html describes the selection criteria. Factors used to evaluate the merit of the proposals include: (1) the number of endangered or threatened species, species proposed or candidates for such listing, and at-risk species that will directly benefit from the project; (2) the importance of the project to the conservation of the target species, including the duration of the benefits, the magnitude of the benefits, and the urgency of the project; (3) other proposal merits, such as whether the project complements other conservation projects in the area, the project's unique qualities, or any other appropriate justifications, including particular strengths in the above categories (e.g., extraordinary benefits or cost share).

15.633 LANDOWNER INCENTIVE PROGRAM

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2006, Public Law 109-148; Title I; Land and Water Conservation Fund Act of 1965; 16 U.S.C. 4601-4 through 11.

OBJECTIVES:

This program establishes or supplements existing landowner incentive programs that provide technical or financial assistance. This includes habitat protection and restoration, to private landowners for the protection and management of habitat to benefit Federally listed, proposed, or candidate species, or other at-risk species on private lands; and to encourage States, Commonwealths, or territories to enhance private landowner conservation by providing these benefits to private landowners in an efficient manner.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Tier 1 projects provide the States, Commonwealths, or territories to support development of a new program, or to enhance an existing landowner incentive program, through administration, development of plans, outreach, and other associated activities that assist in the accomplishment of projects on private lands. Tier 2 projects should place a priority on the implementation of State, Commonwealth, or territorial programs that provide technical and financial assistance to private landowners for the protection and restoration of habitats that benefit federally listed, proposed, or candidate species, or other species-at-risk.

Applicant Eligibility:

Participation is limited to State, Commonwealth, or territorial agencies with lead management responsibility for fish and wildlife resources.

Beneficiary Eligibility:

Private landowners and the public. (While direct participation is limited to State, Commonwealth, or territorial fish and wildlife agencies, private landowners will directly benefit from financial and technical assistance and the public will ultimately benefit from these wildlife conservation measures implemented on private lands.)

Credentials/Documentation:

Only the agency that has lead management responsibility for fish and wildlife resources within the State, Commonwealth, or territory may apply for these grants.

Preapplication Coordination:

None. This program is not subject to E.O. 12372.

Application Procedure:

Application procedures are in the Fiscal Year 2007 Landowner Incentive Program Guidelines, (<http://wsfrprograms.fws.gov>). For further instructions and forms go to, <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>, or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee.

Deadlines:

60 days after the request for grant proposals is published in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 60 working days after due date.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

The Federal share of project costs cannot exceed 75 percent. More detailed discussion concerning matching and cost-sharing requirements found in 43 CFR Part 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Funds are available until expended.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5496-0-1-303.

Obligations:

(Grants) FY 07 \$21,301,500; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

In 2007, the range for Tier 2 grants was \$527,000 to \$849,510. The 2007 average for Tier 1 grants was \$180,000.

PROGRAM ACCOMPLISHMENTS:

The Fish and Wildlife Service appropriated to States, Commonwealths, and territories approximately \$119.8 million since 2002, for the protection and restoration of habitats on private lands to benefit species classified as Special Concern by the State, or Federally listed, proposed, or candidate species or other species determined to be at-risk. In addition, funds have provided technical and financial assistance to private landowners for habitat protection and restoration. In FY 2007, the Fish and Wildlife Service apportioned \$20.6 million. National accomplishments funded by the Landowner Incentive Program include: 576,750 acres of habitat improvement or restoration; 16,338 acres of land were acquired through easements; and 1,624 private landowners were provided assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act, 2006, Public Law 109-148, Title I, language on Landowner Incentive Program, and 43 CFR 12.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203.
Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.608, Fish and Wildlife Management Assistance; 15.611, Wildlife Restoration; 15.615, Cooperative Endangered Species Conservation Fund; 15.634, State Wildlife Grants.

EXAMPLES OF FUNDED PROJECTS:

In 2007, the Fish and Wildlife Service awarded Landowner Incentive Tier 2 funding to the following State projects. The Idaho Department of Fish and Game (IDFG) received \$849,510 to provide technical assistance to implement selected projects that protected, restored, or enhanced approximately 1,800 acres of upland habitat, 300 acres of wetlands, 4 miles of streams, and obtained conservation easements on 115 acres of Palouse Prairie remnants to benefit targeted at-risk species. IDFG focused their work in the Upper Henrys Fork, Bear River Basin, and Palouse Prairie Conservation Priority Areas. The Nebraska Game and Parks Commission (NGPC) received \$765,000 to continue Natural Legacy Plan implementation in twelve Biologically Unique Landscapes to conserve and enhance 2,420 acres of at-risk species habitat through invasive tree clearing, 11,300 acres through prescribed burning, 12,600 acres through planned grazing, 1,000 acres through ecologically sensitive weed control and 100 acres through wetland restoration. The Oregon Department of Fish and Wildlife (ODFW) received \$849,510 to provide technical assistance to implement selected projects that restored or enhanced approximately 840 acres of sagebrush, wetland, or riparian habitat, and 9 miles of stream habitat to benefit the greater sage-grouse and coho salmon, as well as other at-risk species. ODFW focused in their eastern Oregon Ecoregions (sage-grouse) and in the Coast Range Ecoregion (coho salmon).

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of the State's, Commonwealth's, or territorial's fish and wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.634 STATE WILDLIFE GRANTS

(SWG)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2006, Public Law 109-54; Title I.

OBJECTIVES:

To develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Approved activities include those, which develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or

fished. Competitive State Wildlife Grants - It is designed to encourage multi-state projects, partnering with public and private individuals and entities, large scale projects, climate change wildlife-related issues, and "emerging issues" in context with the State Comprehensive Wildlife Plans.

Applicant Eligibility:

Participation is limited to State, Commonwealth, or territorial agencies with lead management responsibility for fish and wildlife resources.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

Only that agency that has lead management responsibility for fish and wildlife resources within the State may apply for these grants. The State, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Plan must consider the broad range of the State, Commonwealth, or territory, agencies' wildlife and associated habitats, with appropriate priority placed on those species of the greatest conservation need.

Preapplication Coordination:

None. This program is not covered under E.O. 12372.

Application Procedure:

Grantees will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by the 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov. Grant proposals must clearly indicate how the proposed objectives address the conservation needs identified within the State's, Commonwealth's, or territory's Comprehensive Wildlife Conservation Plan.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee.

Deadlines:

Non-competitive State Wildlife Grants - None; and Competitive State Wildlife Grants - Anticipate deadline late FY 08.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justified and if funds are available.

Formula and Matching Requirements:

The Department of the Interior and Related Agencies Appropriations Bill for FY 05, Public Law 108-447, Title I requires that: (1) The Secretary of the Interior shall, after deducting administrative expenses and \$6,000,000 for Tribal grants, apportion the remaining amount in the following manner: (A) to the District of Columbia and to Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to Guam, American Samoa, the Commonwealth of Virgin Islands, and the Commonwealth of Northern Mariana Islands, each a sum equal to not more than one-fourth of one percent thereof. (2) The Secretary must apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. The amounts apportioned under this paragraph must be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: The Federal share of project costs is not to exceed 75 percent for planning or competitive grants, nor 50 percent for an implementation grant. More discussion is in the matching and cost sharing requirements found in 43 CFR 12.64 and 43 CFR 12.923.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the State, Commonwealth, or territory for a period of two years. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment.

Reports:

A Performance Report and Financial Status Report are required for each grant award annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June

27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5474-0-1-303.

Obligations:

(Non-competitive Grants) FY 07 \$60,754,843; FY 08 est \$61,522,997; and FY 09 est \$69,492,000. (Competitive Grants) FY 07 \$0; FY 08 est \$5,000,000; and FY 09 est \$5,000,000.

Range and Average of Financial Assistance:

\$155,000 to \$3,104,000; \$1,109,000.

PROGRAM ACCOMPLISHMENTS:

Since the program's 2002 inception, the Fish and Wildlife Service appropriated to States, Commonwealths, and territories approximately \$380 million for use in restoring and protecting species of greatest concern. In FY 2008, the Fish and Wildlife Service apportioned \$61.5 million. In FY 2009, the Fish and Wildlife Service will continue to appropriate funds to States, Commonwealths, and territories for use in restoring and protecting species of greatest concern. Specific 2007 accomplishments funded by the State Wildlife Grant program include: 212,561 acres of habitat improvement or restoration to protect species of greatest concern; 3,300 animals were stocked across the United States to restore populations; 4,830 acres of land were acquired in fee simple; 17,241 acres of land were acquired through easements or lease land; and 5,276 private landowners received technical assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Bill for FY 04, Public Law 108-108 language on State Wildlife Grants, and 43 CFR Part 12.

Regional or Local Office:

See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service, Wildlife and Sport Fish Restoration Programs - Policy and Programs, 4401 North Fairfax Drive, FA-4020, Arlington, VA 22203.

Contact: Policy and Programs, Telephone: (703) 358-2156.

Web Site Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.608, Fish and Wildlife Management Assistance; 15.611, Wildlife Restoration; 15.615, Cooperative Endangered Species Conservation Fund; 15.633, Landowner Incentive Program.

EXAMPLES OF FUNDED PROJECTS:

Colorado - Working Cooperatively to Conserve Western Great Plains Species and Ecosystems: The State Wildlife Grant program is supporting two ongoing multi-state efforts, involving twelve Western states, to develop and implement conservation plans to maintain and enhance sagebrush, shrub-steppe, and prairie ecosystems across the Western Great Plains. New Mexico - Partnership between State and Turner Endangered Species Fund Benefits Chiricahua leopard frog. State Wildlife Grant funds and the Turner Endangered Species Funds were used to return the Federally threatened Chiricahua leopard frogs to the mountains, valleys, and river drainages where it historically lived within Mexico. Other funded projects in New Mexico and Arizona focus on finding the cause of declining Chiricahua leopard frog populations and restoring the frog in its native range.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of the State's, Commonwealth's, or territorial's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.635 NEOTROPICAL MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Neotropical Migratory Bird Conservation Act of 2000, Public Law 106-247, 16 U.S.C. 6101.

OBJECTIVES:

To assist in the conservation of neotropical migratory birds by providing financial resources for the projects of partnerships in countries within the ranges of neotropical migratory birds.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for approved neotropical migratory bird conservation projects. Projects must: enhance the conservation of neotropical bird species in the U.S., Latin America, or the Caribbean; ensure adequate local public participation in project development and implementation; be implemented in consultation with relevant wildlife management authorities and other appropriate government officials with jurisdiction over the resources addressed by the project; be sensitive to local historic and cultural resources and comply with applicable laws; promote sustainable effective, long-term programs to conserve neotropical migratory birds; and other requirements the Secretary considers to be necessary. The Act requires that not less than 75 percent of the amounts made available each fiscal year shall be expended for projects outside of the U.S. Projects are approved for up to a 2-year period of time.

Applicant Eligibility:

An individual, corporation, partnership, trust, association, or other private entity; an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Beneficiary Eligibility:

An individual, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Credentials/Documentation:

None. Outside the U.S., circulars and regulations are applicable only to the extent U.S. Federal law provides.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant application, including Standard Form 424, Application for Federal Assistance, is to be submitted electronically to the address referenced on the website, at <http://www.fws.gov/birdhabitat/Grants/NMBCA>. Information may also be accessed through www.grants.gov. If unable to access the website, request a proposal package, which includes specific requirement information, from the Chief, Division of Habitat Conservation, U.S. Fish and Wildlife Service, Mail Stop MBSP 4075, 4401 North Fairfax Drive, Arlington, VA 22203; Telephone: (703)358-1784. Instructions are available in English, Portuguese and Spanish.

Award Procedure:

Proposals are reviewed by a panel of experts from the Fish and Wildlife Service, who make funding recommendations to the Director. After projects are selected for funding, a grant agreement between the Fish and Wildlife Service and the grantee is drafted by the Division of Bird Habitat Conservation (DBHC). The agreement is then processed by DBHC and sent to the recipient. The agreement is considered to be executed on the date of receipt by the recipient, as evidenced by return of registered mail receipt to DBHC. Other than the registered mail signature, an agreement signature is not required of the recipient.

Deadlines:

Application submittal dates are announced on a yearly basis; dates set are for the latest date that an application may be postmarked and still be accepted for funding consideration.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposal.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must complete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

In determining whether to accept projects for funding approval, applicants must guarantee a 3:1 match amount. That is, the applicant must match each Act dollar with 3 non-Federal dollars. Federal dollars refers to U.S. Federal dollars. Federal dollars of non-U.S. governments are eligible. In the U.S., match must be in cash; outside of the U.S., match may either be in cash or in-kind.

Length and Time Phasing of Assistance:

Unless the project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with financial and reporting procedures specified in the grant agreement.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions of the grant agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1696-0-1-303.

Obligations:

(Grants) FY 07 \$3,822,915; FY 08 est. \$4,296,906; and FY 09 est. not available.

Range and Average of Financial Assistance:

Variable amounts. Awards have ranged from about \$2,000 to about \$250,000, with an average of about \$88,000. For FY 2006, the maximum award may not exceed \$250,000.

PROGRAM ACCOMPLISHMENTS:

Between 2002 and 2007, the program supported 225 projects, coordinated by partners in 44 U.S. states/territories and 34 countries. More than \$21 million from NMBCA grants have leveraged about \$97 million in partner contributions. Projects involving land conservation have affected about 3 million acres of bird habitat. In 2007, 37 projects were approved for funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

NMBCA Grant Application Instructions; U.S. Grant Administration Standards (for grants within the U.S.); 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

None.

Headquarters Office:

U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of Bird Habitat Conservation, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703) 358-1784. Fax: (703) 358-2282.

Web Site Address:

<http://www.fws.gov/birdhabitat/Grants/NMBCA>.

RELATED PROGRAMS:

15.623, North American Wetlands Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Conservation projects that have as their focus enhancement and/or protection of neotropical migratory birds and their habitats, and that encourage and incorporate local jurisdictional and public participation in the project planning and execution process.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be screened and selected for funding primarily on the basis of criteria contained in 16 U.S.C. 6101. However, proposals will also be evaluated on: scope and significance of effort; importance of resource values; relationship of project to other efforts locally/regionally; feasibility and cost-effectiveness.

15.636 ALASKA SUBSISTENCE MANAGEMENT

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Title VIII, Public Law 96-487, 16 U.S.C. 3101-3233, Section 809. Fish and Wildlife Coordination Act (16 U.S.C. 661 to 666).

OBJECTIVES:

To identify and provide information needed to sustain subsistence fisheries and wildlife management on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Three programs are administered under ANILCA to fulfill this objective. The Fisheries Resource Monitoring Program (Monitoring Program) funds approximately 80 studies annually to provide information on stock status and trends, harvests, and traditional ecological knowledge to manage and regulate Federal subsistence fisheries. The Partners for Fisheries Monitoring Program (Partners Program) strengthens Alaska Native and rural involvement subsistence fisheries and research. The program provides funds directly to Alaska Native and rural organizations to hire fishery biologists, social scientists, and educators to implement fisheries monitoring projects and rural internship programs. The Liaison and Staff Support Grant to the State of Alaska is directed specifically to the Alaska Department of Fish and Game. The

grant defrays salary and travel expenses that occur in support of the coordination between State and Federal subsistence fish and wildlife programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for approved fish and wildlife subsistence management, fisheries monitoring and traditional ecological knowledge projects.

Applicant Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska; Federally recognized Indian Tribal Government (including any Native village as defined in the Alaska Native Claims Settlement Act).

Beneficiary Eligibility:

An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska, or of any foreign government.

Creditentials/Documentation:

None.

Preapplication Coordination:

None. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used. For both the Monitoring and Partners programs, a Request for Proposal (RFP) will be posted biennially on <http://fedbizopps.gov> and <http://grants.gov>. Complete application information can be found on the Office of Subsistence Management (OSM) website at: <http://alaska.fws.gov/asm/fisindex.cfm> or, if unable to access the website a proposal package containing specific requirement information can be requested from Attn: Kathleen Orzechowski, Program Specialist, Office of Subsistence Management, Fisheries Division, 3601 C Street, Suite 1030, Anchorage, Alaska 99503. For the Liaison and Staff Support Grant, a Request for Proposal will be posted annually on <http://grants.gov/APPLY>. Information can be requested from Attn: Greg Risdahl, Wildlife Biologist, Office of Subsistence Management, Fisheries Division, 3601 C Street, Suite 1030, Anchorage, AK 99503.

Award Procedure:

For the Monitoring Program, an inter-agency technical review committee evaluates and ranks proposals, and, for those selected for further consideration, subsequently the full investigation plans. The committee develops a draft monitoring program from the highest priority projects, based on their technical review and available funds. The draft monitoring plan is reviewed by ten regional advisory councils and the public. An inter-agency staff committee reviews all recommendations, and reconciles differences between staff and public recommendations. The Federal Subsistence Board approves biennial monitoring plans with the benefit of both a technical recommendation by the Technical Review Committee and public review by the Regional Advisory Councils. For the Partners Program, an internal review committee evaluates proposals and makes recommendations for funding. The committee evaluations are based on the criteria outlined in the request for federal assistance. The review committee ranks proposals and the assistant regional director selects proposals that best support the program within available funding. Once a Monitoring Program project or Partners Program position is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted by the OSM. The award is processed by OSM through the contracting office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the contracting office has obligated funds to the agreement. The awards are administered by OSM. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-Federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

Deadlines:

Request for Proposals are announced biennially. For the Fisheries Monitoring Program and the Partners Program complete application information can be found on the Office of Subsistence Management website at: <http://alaska.fws.gov/asm/fisindex.cfm> or, if unable to access the website, a proposal package containing the specific requirement information can be requested from Attn: Kathleen Orzechowski, Program Specialist, Office of Subsistence Management, Fisheries Division, 3601 C Street, Suite 1030, Anchorage, Alaska 99503. For the Liaison and Staff Support Grant, a Request for Proposal will be posted annually on <http://grants.gov/APPLY>. Information can be requested from Attn: Greg Risdahl, Wildlife Biologist, Office of

Subsistence Management, Fisheries Division, 3601 C Street, Suite 1030, Anchorage, AK 99503.

Range of Approval/Disapproval Time:

Federal Subsistence Board decisions on funding of Monitoring Program projects are made no later than January 20. OSM decisions on Partners Program positions are announced within one year of the Request for Proposals.

Appeals:

Not applicable.

Renewals:

Monitoring projects and positions may be approved for one to four years duration. Applicants may re-apply during subsequent Request for Proposals.

Formula and Matching Requirements:

There is no requirement for cost sharing, matching, or cost participation. However, applicants are encouraged to document matching contributions. Proposals with substantial cost sharing will be ranked higher than similar proposals with no identified cost sharing.

Length and Time Phasing of Assistance:

For the Monitoring and Partners Programs, upon approval, the project may be extended or the agreement modified for a period not to exceed five years from initial award. Awarded funds must be spent during the approved Period of Performance of the award, and in accordance with financial and reporting procedures specified in the award. For the Liaison and Staff Support Grant, award is for one year.

Reports:

Progress, annual, final, performance, and significant development reports are to be submitted in accordance with terms and conditions of the award. Financial reports (SF-269) are required a minimum of annually in accordance with 43 CFR 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Records for cooperative agreements awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for cooperative agreements awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$6,393,000; FY 08 \$5,200,000; and FY 09 est \$5,200,000.

Range and Average of Financial Assistance:

Variable amounts. In 2007, awards ranged from \$3,000 to \$550,000. In FY 2008, awards will range from \$3,000 to \$610,000.

PROGRAM ACCOMPLISHMENTS:

The Monitoring and Partners programs provide critical information needed for the management and regulation of Alaska's subsistence resources, as directed by the ANILCA. The Monitoring Program provides over \$4 million annually for research and monitoring projects in Alaska. Approximately 80 projects are conducted annually. Projects provide information on over 70 fish populations important for subsistence fishers, who annually consume over 220 pounds of fish per person in rural Alaska. Over 100 Alaska Native and rural organizations have participated in the program. By providing sound scientific data, building capacity in rural organizations forging partnerships, and promoting local involvement, the Monitoring Program has enabled Federal and State governments to better manage fisheries and provide for subsistence uses. Through the Partners for Fisheries Monitoring Program, the U.S. Fish and Wildlife Service is building the capability and expertise of Alaska Native organizations to participate more fully in subsistence fishery management. With both Monitoring and Partners programs, subsistence fisheries are actively managed and sustained, proposed regulatory changes are analyzed, local concerns are addressed, conflicts among user groups are minimized, and Alaska Native and rural organizations are meaningfully involved in subsistence fisheries management and monitoring. Successful partnerships among government, Alaska Native, and other organizations have promoted more effective information collection, promoted internships and mentoring in rural Alaska, and improved local understanding and support for the subsistence management program. The Liaison and Staff Support Grant provides for effective dual management and coordination between the Alaska Department of Fish and Game and the Office of Subsistence Management. The grant provides funding for the State to meet its responsibilities to maintain healthy fish and wildlife

resources while providing contained harvest opportunities for Alaska residents. In addition to the development of State and Federal harvest regulations, i.e., seasons, harvest limits, and methods and means, dual management also includes the recognition of customary and traditional uses and subsistence use amounts, as well as the sharing of harvest data. More specifically it is intended to protect and promote the sustained health of fish and wildlife populations, to ensure conservation and stability in fisheries and wildlife management and to include meaningful public involvement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants will be administered in conformance with the Fish and Wildlife Service Administrative Service Manual and 43 CFR 12.

Regional or Local Office:

For the Fisheries Monitoring and Partners for Fisheries Monitoring Programs: Kathleen Orzechowski, Program Specialist, Office of Subsistence Management, Fisheries Information Service Division, 3601 C Street, Suite 1030, Anchorage, Alaska 99503. Telephone: (907)786-3645, E-mail: kathleen_orzechowski@fws.gov. For the Liaison and Staff Support Grant: Greg Risdahl, Wildlife Biologist, Office of Subsistence Management, Fisheries Division, 3601 C Street, Suite 1030, Anchorage, AK 99503, Telephone: (907) 786-3678, E-mail: reg_risdahl@fws.gov.

Headquarters Office:

Karie Crow, Administrative Officer, Office of Subsistence Management, 3601 C Street, Suite 1030, Anchorage, Alaska 99503. Telephone: (907) 786-3387, E-mail: karie_crow@fws.gov.

Web Site Address:

<http://alaska.fws.gov/asm/fisindex.cfm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Monitoring Program: There are more than 330 known steelhead streams in Southeast Alaska. The numbers of adult fish in these streams were estimated in the late 1980s. Most populations are thought to be quite small, with an annual run size of 100 or fewer adult steelhead. Approximately 56 streams are estimated to have run sizes of more than 200 fish, of which 12 are thought to contain more than 500 fish. Several systems may contain adult runs of 1,000 or more fish. Of the approximately 330 steelhead streams in Southeast Alaska, 75 are located on Prince of Wales Island. A three-year project to assess adult steelhead populations through the use of weirs on five Prince of Wales Island streams concluded in 2007 and a final report is being prepared. The data gathered will help ensure careful management of steelhead stocks. The steelhead population assessment project is a cooperative effort of the Alaska Department of Fish and Game, the Organized Village of Kasaan, the Bureau of Indian Affairs, and the Forest Service. A study documenting traditional methods of harvest, use and processing of steelhead was completed in 2005. This was a cooperative effort of the Alaska Department of Fish and Game, Craig Community Association, Klawock Cooperative Association, and Hydaburg Cooperative Association. Partners Program: The success of the Fisheries Resource Monitoring Program depends upon a well informed public, and support of the program and resulting projects within rural communities. However, most rural communities and organizations do not have the capacity to meaningfully participate in the program, and many people do not understand how the program operates. Within the Kuskokwim region, Kuskokwim Native Association was recently awarded two positions, a fishery biologist and an educator position. The biologist will serve as a co-investigator on seven Monitoring Program projects and serve as a mentor for rural college student interns. The educator will mentor students working on Monitoring Program projects during the summer and assist students in the fall while they bring what they learned into their classrooms.

CRITERIA FOR SELECTING PROPOSALS:

For the Monitoring Program, each proposal is evaluated using the following four criteria: (1) Strategic Priorities: Studies must be responsive to identified issues and information needs. All projects must have a direct association to a Federal subsistence fishery, and either the subsistence fishery or fish stocks in question must occur in waters within or adjacent to Federal public lands. (2) Technical and Scientific Merit: Technical quality of the study design must meet accepted standards for information and collection, compilation, analysis, and reporting. Studies must have clear objectives, appropriate sampling design, correct analytical procedures, and specified progress and final reports. (3) Investigator Ability and Resources: Investigators Ability and Resources: Investigators and their organizations should have demonstrated technical and administrative expertise to complete prior studies, or have co-investigators or appropriate partnerships with other organizations to meet all requirements of the study. (4) Partnership-Capacity Building: Studies must include appropriate partners and contribute to building capacities of rural organizations, local communities, and residents to participate in fisheries resource management. Investigators and their organizations should be able to demonstrate the ability to maintain effective local relationships and a commitment to capacity building. For the Partners Program, each proposal is evaluated using the following equally weighted criteria: (1) Ability to successfully implement the program as described. (2) Strategic priority and past performance of the Monitoring Program project. (3)

Letters of support from tribal and rural organizations, government agencies, project investigators, and schools/universities. (4) Annual and total cost of the proposal, including the use of in-kind or matching contributions that either decrease cost or expand support for implementing the Partners Program. (5) Past performance on implementing Monitoring Program projects. (6) Past performance on current or past agreements with the Office of Subsistence Management. (7) Previous experience in mentoring, training, and supervising personnel. (8) Past and current accounting practices to ensure deliverables and accountability. (9) The following items must be included in the application for successful submission and review. Each of these items carries a "Go/No-Go" impact: lack of direct linkage of a Monitoring Program project, enrollment in CCR; affirming in writing in your application that you have enrolled in CCR; providing your DUNS Number; submission of completed SF-424, SF-424A, and SF-424B package; and submission of indirect rate documentation (the agreement, the pool, and the base) if requesting reimbursement for indirect costs, unless no indirect reimbursement is being requested. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

15.637 MIGRATORY BIRD JOINT VENTURES

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR
AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, (16 U.S.C. 709a).

OBJECTIVES:

To protect, restore and enhance wetland and upland ecosystems for the conservation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Joint Ventures are public/private partnerships, are guided by a Management Board of public/private representatives and use a Fish and Wildlife Service approved Implementation Plan that establishes conservation goals, priorities, and strategies. The Implementation Plan typically includes stepped down goals and objectives from a variety of national and international bird planning efforts. A Joint Venture Coordinator facilitates the coordination and implementation of the Joint Venture. The U.S. Fish and Wildlife Service provides basic administrative funding to Joint Ventures to cover costs associated with coordination; planning; monitoring, evaluation and applied research; communications and outreach; and project development and implementation. Joint Ventures may provide federal assistance to partners within these categories to achieve the goals of the Joint Venture. The FWS does not fund the entire scope of Joint Venture operations. Joint Venture partners are expected to contribute funding towards one or more of these categories. Non-federal match is not required, but leveraging FWS dollars provided for Joint Venture administration is a critical component of the Joint Venture program.

Applicant Eligibility:

Federal, State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; profit organizations, interstate and intrastate entities, and individuals or families who are also private landowners.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Must participate or intend to participate in strategic conservation planning, implementation and evaluation activities designed to meet Joint Venture objectives as identified in an approved Implementation Plan and all related plans.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Joint venture partner/potential partner contact Joint Venture Coordinator to discuss Joint Venture (JV) needs and objectives, or JV Coordinator may initiate the contact. Coordinators work with partners/potential partners to design projects and discuss cost-share programs/activities that will contribute to meeting Joint Venture goals. Preparation of Environmental Impact Statements is not a prerequisite for receiving federal assistance/funding. State Plans are not required.

Award Procedure:

Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non Construction; or SF 424C and SF 424D - Construction). Once the project is designed and either fits within an approved Implementation Plan or is reviewed and approved by a Joint Venture Management Board, a cooperative agreement or grant agreement is

written and work can begin.

Deadlines:

None. Funding decisions are made at least annually. All applications received will be held for consideration during the next review period.

Range of Approval/Disapproval Time:

180 Days.

Appeals:

None.

Renewals:

None. Partners/potential partners may request additional projects to meet Joint Venture goals and objectives.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Cooperative agreements are normally written for a 1 to 5 year period. For project cost-share grants, a 2 year period for expenditure of funds is the norm. Payments are generally made on a quarterly basis.

Reports:

All projects and activities are monitored for progress and compliance with agreed on scope of work. Written reports on completion of the project are generally required. Recipients are required to submit annual Financial Status Reports using SF 269 or SF 269A within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Financial Status Report is required 90 calendar days after the expiration or termination of the assistance agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Financial Status Report.

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 07 \$4,019,000; FY 08 est \$5,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$2,400 to \$900,000; \$225,000.

PROGRAM ACCOMPLISHMENTS:

Six original Joint Ventures were formed in 1987 to implement the North American Waterfowl Management Plan. As of 2007, there are 17 joint ventures that focus on regions containing critical habitats and 3 that focus on individual species in the US, Canada, Mexico. Joint venture partners have invested more than \$2.2 billion to protect, restore or enhance more than 9 million acres of wetlands, grasslands, forests and riparian habitats in the U.S. alone. Joint ventures adapt program principles to their own geographic and cultural conditions and pool limited resources to successful conservation actions that involve a variety of public and private stakeholders.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Service Policy 721 FW6, Joint Venture Implementation Plans, Joint Venture Accomplishment Reports.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

U.S. Fish and Wildlife Service, U.S. Department of the Interior, Division of Bird Habitat Conservation, Joint Venture Liaison Officer, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358-1784; Fax: (703) 358-2282. Email: DBHC@fws.gov.

Web Site Address:

www.grants.gov; http://www.fws.gov/birdhabitat/JointVentures/index.shtm.

RELATED PROGRAMS:

15.631, Partners for Fish and Wildlife; 15.623, North American Wetlands Conservation Fund; 15.647, Migratory Bird Conservation; 15.635, Neotropical Migratory Bird Conservation.

EXAMPLES OF FUNDED PROJECTS:

Administration, coordination and assessment of management practices necessary to ensure the effective operation and implementation of a joint venture. Develop integrated migratory bird habitat landscape planning, including development of biological models, spatial analysis and Geographic Information systems to develop joint venture objectives. Monitoring, evaluation and applied research including testing planning assumptions, management uncertainties, development

of baseline data and data bases, biological assessments, species and habitat surveys and research projects necessary to assess biological performance; socio-economic surveys to better understand economic and cultural issues affecting conservation in the Joint Venture. Habitat conservation, such as protection, restoration and enhancement of wetland, riparian, grassland, forest, coastal and estuarine habitats, including work with private landowners, to achieve joint venture objectives. Communications and outreach efforts include newsletters, educational pamphlets, web sites, technical assistance, workshops and training for joint venture partners.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be an existing or potential partner of a Joint Venture, and must agree to support Joint Venture goals and objectives. Project must fall within scope of a Management Board approved Joint Venture Implementation Plan and related conservation plans. Projects must contribute to overall Joint Venture goals and objectives. Project must be cost-effective. Projects that leverage grant funds with partner funds or in-kind services will receive preference.

15.638 TRIBAL LANDOWNER INCENTIVE PROGRAM

(TLIP)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, 2004, Title I, Public Law 108-108; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601-4 through 11.

OBJECTIVES:

To establish or supplement existing programs that provide technical or financial assistance including habitat protection and restoration, to Federally recognized Indian tribes for the protection and management of habitat to benefit Federally listed, proposed, or candidate species, or other at-risk species.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

These discretionary grants are available for conservation efforts to be carried out on private lands, to provide technical or financial assistance to private landowners for the purpose of benefiting Federally listed, proposed, or candidate species, or other at-risk species on private lands. Assistance must be to protect, restore, or manage habitat on private lands. (No new funds are expected in FY 09, the Fish and Wildlife Service will not be accepting proposals.

Applicant Eligibility:

Participation is limited to Federally recognized Indian tribal governments. For the purposes of inclusion under TLIP, tribal trust lands are treated similarly to lands under private ownership.

Beneficiary Eligibility:

Anyone/General Public (While direct participation is limited to Federally Recognized Indian Tribal governments, the general public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including federally recognized Tribes, pueblos, rancherias, and Alaska native villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed in the Federal Register (68 FR 68180; December 5, 2003).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of project costs is not to exceed 75 percent. Matching and cost sharing requirements are discussed in 43 CFR Part 12, Section 12.64.

Length and Time Phasing of Assistance:

Funds are available until expended. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated or unspent after the final grant closeout are returned to the Service for reappportionment.

Reports:

A Performance Report and Financial Status Report are required for each grant annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of final Financial Status Report.

Account Identification:

14-5496-0-1-303.

Obligations:

(Grants) FY 07 \$2,366,690; FY 08 \$0; and FY 09 \$0.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 08, TLIP was not funded and no funds are expected in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act, 2004, Public Law 108-108, Title I, language on Landowner Incentive Program, and 43 CFR 12.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Fish and Wildlife Service, Division of External Affairs, ATTN: Native American Liaison, Mail Stop-3251, 1849 C Street N.W., Washington, DC 20240.
Telephone: (202) 208-4133, Fax: (202) 501-3524.

Web Site Address:

<http://grants.fws.gov/tribal.html>.

RELATED PROGRAMS:

15.631, Partners for Fish and Wildlife; 15.623, North American Wetlands Conservation Fund; 15.630, Coastal Program; 15.639, Tribal Wildlife Grants Program.

EXAMPLES OF FUNDED PROJECTS:

Habitat improvement, augmentation, and monitoring of Roundtail chub (Gila Robusta) in the Navajo River; restoring the Penobscot River ecosystem; and a perennial stream study of the Topeka Shiner.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria will be used for selecting proposals: (1) Benefit: What are the probable significant outcomes to protect and restore habitats that benefit federally listed, proposed, or candidate species, or other at-risk species on tribal lands if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TLIP and Service and tribal Performance Goals in its proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear and should provide demonstrable benefits to the target species of the action. These measures must support the goals and objectives of the TLIP, the Service, and the Tribe. (3) Work Plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to implement actions and activities that protect and restore habitats that benefit Federally listed, proposed, or candidate species, or other at-risk species on tribal lands? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?

15.639 TRIBAL WILDLIFE GRANTS PROGRAM

(TWG)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, FY 2004, Title I, Public Law 108-108, Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601-4 through 11.

OBJECTIVES:

To develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs for

the benefit of wildlife and their habitat, including species that are not hunted or fished.

Applicant Eligibility:

Participation is limited to Federally recognized Indian tribal governments. For the purposes of inclusion under TLIP, tribal trust lands are treated similarly to lands under private ownership.

Beneficiary Eligibility:

Anyone/General Public (While direct participation is limited to Federally recognized Indian tribal governments, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including Federally recognized Tribes, pueblos, rancherias, and Alaska native villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed in FR/Vol. 73, No. 66, p. 18553/April 4, 2008.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are spelled out in the "Tribal Wildlife Grant Application Kit" available electronically at: <http://grants.fws.gov/tribal.html>. To receive a paper copy, contact the regional Office of the Native American Liaison.

Award Procedure:

The Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee and will coordinate the development of the grant agreement.

Deadlines:

60 days after the request for grant proposals is announced and published on Grants.gov.

Range of Approval/Disapproval Time:

Proposals will be scored, ranked and selected approximately 90 working days after the deadline for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director, Fish and Wildlife Service. Final determination rests with the Secretary of the Interior.

Renewals:

None. Any requests for future funding must compete with requests for new projects.

Formula and Matching Requirements:

There is no matching requirement, however, the Service will consider matching funds as an indication of tribal commitment to the program and to encourage partnerships. Matching and cost sharing requirements are discussed in 43 CFR Part 12, Section 12.64.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the tribe until expended. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated or unspent after the final grant closeout are returned to the Service for reapportionment.

Reports:

A Performance Report and Financial Status Report are required for each grant annually within 90 days after the anniversary date and/or end of the grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5474-0-1-303.

Obligations:

(Grants) FY 07 \$6,000,000; FY 08 \$6,000,000; and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

Awards may be granted up to a maximum of \$250,000 and there is no minimum. Tribes may submit more than one proposal however no tribe may receive more than \$250,000 in any single TWG cycle.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, 38 proposals were selected for funding from a pool of 112 submissions. We are expecting a slightly larger number of submissions in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Bill for FY

2002, Public Law 107-63 language on State Wildlife Grants, and 43 CFR Part 12.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Fish and Wildlife Service, Division of External Affairs, ATTN: Native American Liaison, Mail Stop-3251, 1849 C Street N.W., Washington, DC 20240.
Telephone: (202) 208-4133, Fax: (202) 501-3524.

Web Site Address:

<http://grants.fws.gov/tribal.html>

RELATED PROGRAMS:

15.631, Partners for Fish and Wildlife; 15.623, North American Wetlands Conservation Fund; 15.630, Coastal Program; 15.638, Tribal Landowner Incentive Program.

EXAMPLES OF FUNDED PROJECTS:

Nooksack Cumulative Watershed Effects Assessment South Fork Nooksack In-Stream Restoration Project; Red Lake Wildlife Habitat Preservation and Maintenance, Enhancement and Evaluation Project; Habitat Use, Seasonal Movements and Stock Structure of Bearded Seals in Kotzebue Sound, Alaska.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria is used to select proposals: (1) Benefit: What are the expected benefits to fish and wildlife resources, including species that are not hunted or fished, and their habitat if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TWG and Service and tribal Performance Goals in their proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and a means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear, and should provide demonstrable benefits to the target species of the action. These actions must support the goals and objectives of the TWG, the Service and the Tribe. (3) Work plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to provide for the benefit of wildlife and their habitat? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?

15.640 WILDLIFE WITHOUT BORDERS- LATIN AMERICA AND THE CARIBBEAN

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The Wildlife Without Borders-Latin America and the Caribbean Program focuses on strengthening the ability of Latin American and Caribbean institutions to manage and conserve species, habitats and ecological processes for the benefit of the people of the Americas and the world. Of particular interest are projects that build the human and institutional capacity to effectively conserve and manage natural protected areas in Latin America and the Caribbean. Program objectives are: (1) To advance, through capacity building, the transition of protected areas from legally established but under-implemented paper parks to effectively managed landscapes, benefiting species of regional concern; and (2) To develop, strengthen and replicate high-quality conservation training programs that reach the priority audiences associated with protected areas. The priority audiences for the training supported by this Program include: Protected area managers and administrators; Protected area guards and rangers; Protected area outreach and education specialists; Community leaders (living in and around protected areas); Upper-level students (working on projects in and around protected areas); and Decision-makers who influence protected areas (including private landowners, legislators, and public officials).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects should take place in Latin America or the Caribbean, ideally in a recognized high priority ecosystem or ecoregion of biome, or a key location associated with flagship, endangered or migratory species of regional concern. If work is to be conducted outside that region, the proposal must explain why it is preferable that the project is implemented elsewhere. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or the country in which

the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website. The Notice of Funding Availability and Application Instructions are also located on the program website at <http://www.fws.gov/international/DICprograms/lac.htm>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Latin America & the Caribbean, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed specifically for this program. Review criteria can be found in the application information at <http://www.fws.gov/international/DICprograms/lac.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is October 15 each year. The second deadline is April 15 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes made.

Formula and Matching Requirements:

Applicants and/or other project partners must contribute funds to the project, which may include cash or in-kind contributions.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance indicated on the Assistance Award, and in accordance with the financial and performance reporting procedures specified in the Assistance Award.

Reports:

Financial and performance reports are to be submitted in accordance with the terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this programs web page at <http://www.fws.gov/international/DICprograms/lac.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-303.

Obligations:

(Grants) FY 07 \$691,000; FY 08 \$1,229,000; and FY 09 est \$532,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$25,000.00. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 the Service funded 39 conservation projects under this program. A summary of each of those projects can be found at the program webpage at <http://www.fws.gov/international/DICprograms/lac.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at <http://www.fws.gov/international/DICprograms/lac.htm> or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

Not applicable.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, VA 22203-1622. Telephone: (703) 358-1754. Fax: (703) 358-2115. E-mail: WWB_LAC@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.641, Wildlife Without Borders-Mexico; 15.651, Wildlife Without Borders-Africa Program.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at <http://www.fws.gov/international/DICprograms/lac.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$25,000. A complete list of the criteria used to select projects under this program can be found in the Latin America & the Caribbean Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DICprograms/lac.htm>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.641 WILDLIFE WITHOUT BORDERS-MEXICO

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, as amended, 16 U.S.C. 1531-43

OBJECTIVES:

To provide support for projects that build human and institutional capacity for biodiversity conservation and management in Mexico through training associated with Mexican nature reserves. Of interest are projects that provide training in biodiversity conservation or natural resource management associated with federal, state, or local nature reserves, or areas in the process of acquiring protection status, as well as other priority biodiversity areas designated by the Government of Mexico.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding will be provided for training projects, including short-term (2-3 week) on-the-job courses certified by an educational institution (Diplomados), workshops, personnel exchanges, training provided through on-the-ground practices, among other delivery mechanisms, with the following objectives: (1) develop specific conservation/management skills of resources managers by addressing critical gaps in formal and informal training in Mexico while increasing the number of personnel trained; (2) promote training that links sound management practices in nature reserves to the creation of economic opportunities for buffer zone communities; and (3) raise the level of awareness and capacity of key stakeholder groups to deliver conservation. Applicants

should be proposing work to be conducted in Mexico. If work is to be conducted in the United States, the proposal must show a clear connection to capacity building for biodiversity conservation in Mexico to be eligible for funding. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or Mexico. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government; public nonprofit institution/organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website. The Notice of Funding Availability and Application Instructions are also located on this programs website at <http://www.fws.gov/international/DICprograms/mexico.htm>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Mexico, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are simultaneously reviewed by a panel convened by the U.S. Fish and Wildlife Service and a panel convened by the Mexican Ministry of the Environment and Natural Resources (SEMARNAT). Final funding decisions are made jointly by representatives of the Service and SEMARNAT based on the recommendations of the two review panels. Review criteria can be found in the application information at <http://www.fws.gov/international/DICprograms/mexico.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Proposals must be submitted to both the Fish and Wildlife Service and SEMARNAT on or before October 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes made.

Formula and Matching Requirements:

Applicants and/or other project partners must contribute funds to the project, which may include cash or in-kind contributions. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance indicated on the Assistance Award, and in accordance with the financial and performance reporting procedures specified in the Assistance Award document.

Reports:

Financial and performance reports are to be submitted in accordance with the terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this programs web page at <http://www.fws.gov/international/DICprograms/mexico.htm>. For financial reporting, Domestic Recipients with no program income to report shall use

Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that expend \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-303.

Obligations:

(Grants) FY 07 \$630,000; FY 08 \$697,000; and FY 09 est \$425,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$50,000.00. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY07 the Service awarded 17 Assistance Awards for projects in Mexico. A summary of each of those projects can be found at the programs webpage at <http://www.fws.gov/international/DICprograms/mexico.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at <http://www.fws.gov/international/DICprograms/mexico.htm> or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

Not applicable.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, VA 22203-1622. Telephone: (703) 358-1754. FAX: (703) 358-2115. E-mail: WWB_Mexico@fws.gov.

Web Site Address:

<http://www.fws.gov/international/grants/grants.html>.

RELATED PROGRAMS:

15.640. Wildlife Without Borders- Latin America and the Caribbean; 15.651, Wildlife Without Borders-Africa Program.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at <http://www.fws.gov/international/DICprograms/mexico.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$50,000.00. A complete list of the criteria used to select projects under this program can be found in the Wildlife Without Borders-Mexico Notice of Funding Availability and Application Instructions, located on line at <http://www.fws.gov/international/DICprograms/mexico.htm>. Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754.

15.642 CHALLENGE COST SHARE

(CCS)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, 2004, Title I, Public Law 108-108.

OBJECTIVES:

Encourage partnerships with nonfederal governments, private individuals and organizations, educational institutions, philanthropic and charitable groups, and businesses to support the mission of the Service. Projects must conserve, protect, and enhance fish, wildlife, and plants for the continuing benefit of the American

people.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for approved Challenge Cost Share projects. It is the policy of the Service to encourage the use of Challenge Cost Share agreements to complete projects on and off Service lands. The program requires that the Service expend no more than 50% of the cost to complete project and that a minimum of the other 50% of the funds be of nonfederal origin.

Applicant Eligibility:

Applicants may be an individual/family, minority group, specialized group, small business, profit organization, private nonprofit/organization, quasi-public nonprofit institution/organization, native American, Federal, Interstate, Intrastate, State, Local, Sponsored organization, public nonprofit institution/organization, other public institution/organization, U.S. territory, or any organization with interests which support the mission of the Service. This program requires the cooperator(s) to provide a minimum of 50 percent of cost share from non-Federal sources.

Beneficiary Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or any other entity subject to the jurisdiction of the United States with interests which support the mission of the Service.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Interested party contacts the local National Wildlife Refuge, Partners for Wildlife or other Fish and Wildlife Service Office to design a project that will support the mission of the Service. Proposals, including Standard Form 424 "Application for Federal Assistance," are submitted to the cooperating Service office that forwards them to their servicing Regional Office.

Award Procedure:

The Regional Challenge Cost Share Coordinator assembles a committee of Fish and Wildlife Service employees from a variety of disciplines to review, rank and select the proposals for funding.

Deadlines:

Application submittal dates are announced on a yearly basis, by Region. Contact the appropriate Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposals. If funding is approved, a Challenge Cost Share Agreement (CCSA) is written between the Service and a cooperator(s) in which there is an equal partnership between the Federal government and the cooperator(s) that produces or provides a tangible product which mutually benefits Service objectives and the public. The Regional Contracting and General Services offices will review all CCSA's for contractual sufficiency. The agreements will be signed by the cooperator(s) and the Regional Director.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the National Wildlife Refuge System, a Challenge Cost Share Agreement may be modified.

Formula and Matching Requirements:

As required in Public Law 108-108, proposals must have a minimum of a 1:1 match of nonfederal funds or in-kind services.

Length and Time Phasing of Assistance:

Challenge Cost Share Agreements are written for funds that should be spent within 1 year; upon approval, agreements may be extended beyond the 1 year for reasonable cause.

Reports:

The Challenge Cost Share Management Information System is used to monitor project accomplishment. Each Region certifies that all CCS projects funded for each fiscal year were completed and provides a summary report noting project accomplishment.

Audits:

None.

Records:

None.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$12,000,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Cost share range per project is from \$300 to \$25,000. The average cost per project is \$7,800.

PROGRAM ACCOMPLISHMENTS:

These projects focus on restoration of natural resources and/or the establishment or expansion of wildlife habitats with durable, lasting results; and/or wildlife-oriented recreational and education programs. In fiscal year 2003, more than 1,400 partners provided approximately \$10.5 million in dollars and in-kind matches. This effort indicates the importance of these conservation efforts by leveraging approximately \$1.60 for every \$1 in Federal funding for National Wildlife Reserve System projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Assistant Director, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670, Arlington, VA 22203. Telephone (703) 358-1744; Fax: (703) 358-2248.

Web Site Address:

www.fws.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A wide variety of projects focusing on conservation, protection, and enhancement of fish, wildlife and plants involving partners who provide a 1:1 match.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the lasting affect on conservation, enhancement and protection. They will also be evaluated on scope of effect, number of partners involved, importance of resource values, feasibility, and cost effectiveness.

15,643 ALASKA MIGRATORY BIRD CO-MANAGEMENT COUNCIL

(AMBCC)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 to 666C; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.

OBJECTIVES:

To facilitate and administer regional programs to involve subsistence hunters of migratory birds in the management and regulation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to help offset travel, administrative and associated expenses required to establish a regional migratory bird subsistence program in keeping with the recently amended Migratory Bird Treaty. This regional program will become a part of the statewide (Alaska) and national migratory bird management program, for which the - Fish and Wildlife Service (Service) has responsibility.

Applicant Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, and Local governments.

Beneficiary Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, Federally recognized Indian Tribal Governments, local governments, and Alaska Native American Indians.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Awards are made on a non-competitive basis pursuant to the authorities mandated in the 1997 Migratory Bird Treaty Act Amendment Protocols. Applicant must complete the Standard Form (SF) 424, SF 424A and SF 424B.

Award Procedure:

The Executive Officer for the Alaska Migratory Bird Co-Management Council reviews the applications and makes recommendations. The process ends with concurrence by the Service.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Renewals are available annually, and are based on available funding levels.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Awards must be spent during the approved period of performance of the grant agreement.

Reports:

Progress and financial status reports are to be submitted in accordance with terms and conditions of the grant agreement. Additional reports, such as meeting agendas and summaries, may be required.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003) "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$222,600; FY 08 \$222,600; and FY 09 est \$222,600.

Range and Average of Financial Assistance:

\$14,000 to \$26,500.

PROGRAM ACCOMPLISHMENTS:

Regional management bodies have been established and maintained with semi-annual meetings held to provide vital local input to the Alaska Migratory Bird Co-Management Council. Council representatives were elected by the regional management bodies. Travel has been supported for regional representatives to attend Alaska Migratory Bird Co-Management Council and committee meetings, as well as represent the program at national Flyway Council and Fish and Wildlife Service Regulations Committee meetings. Outreach activities have been sponsored to reach the rural villages within the region.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with 43 CFR and the Fish and Wildlife Service Administrative Manual.

Regional or Local Office:

See Appendix IV for addresses.

Headquarters Office:

Office of the Alaska Migratory Bird Co-Management Council, Fish and Wildlife Service, Donna Dewhurst, 1011 E. Tudor Road, MS 201, Anchorage, AK 99503. Telephone: (907) 786-3499; Fax: 907-786-3641; E-mail: donna_dewhurst@fws.gov.

Web Site Address:

<http://Alaska.fws.gov/ambcc/index.htm>.

RELATED PROGRAMS:

15.608, Fish and Wildlife Management Assistance.

EXAMPLES OF FUNDED PROJECTS:

The set-up and maintenance of 11 regional management bodies serving the Alaska Migratory Bird Co-Management Council.

CRITERIA FOR SELECTING PROPOSALS:

You must be a regional partner organization of the council to be selected. Criteria included, but is not limited to, past performance, ability to provide administrative support, ability to send an elected representative from the regional management body to meetings.

15.644 FEDERAL JUNIOR DUCK STAMP CONSERVATION AND DESIGN

(Junior Duck Stamp Contest)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 103-340, 108 Stat. 3119-3120, 16 U.S.C. 719; Reauthorization of the Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 106-316, 114 Stat. 1276, 16 U.S.C. 719.

OBJECTIVES:

To use visual arts programs and a nation-wide art contest to teach students in kindergarten through high school environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Assistance may be used to: 1. provide awards and scholarships to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; 2. provide awards to schools and other program participants in order to promote the conservation goals of the program; and 3. cover program outreach and marketing costs. The Federal Duck Stamp Office provides cash awards intended for scholarship purposes to winners of the national round of the Junior Duck Stamp Contest.

Applicant Eligibility:

Individual/Family. Students in kindergarten through twelfth grades attending a public, private, or home school in the United States and U.S. Territories are eligible to participate. A First Place winner of the national round of the Junior Duck Stamp Contest cannot submit an entry again for two years.

Beneficiary Eligibility:

Individual/Family, Student/Trainee, Artist/Humanist, U.S. citizens, resident aliens, or nationals who are in kindergarten through twelfth grades at a public, private, or home school in the United States or U.S. territories.

Credentials/Documentation:

Must be a student in kindergarten through twelfth grade and attend a public, private, or home school in the United States or U.S. Territory. Must verify that entry submitted is student's original artwork and grant the Fish and Wildlife Service permission to use, alter, publish and display artwork.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An eligible student must submit a visual arts entry to the corresponding State/Territory Junior Duck Stamp Program coordinator. A student is limited to one entry per contest year. A completed and signed Federal Junior Duck Stamp Conservation and Design Entry form must be affixed to the back of the entry using glue or adhesive tape. If the student wishes to enter the conservation message contest as well, he or she must write an original message on this form.

Award Procedure:

1. State/Territory rounds: An eligible entry is judged first in the home State/Territory contest of the submitting student. These contests are held in late March or early April. Entries are divided into four categories based on the grade-level of the submitting student and reviewed by a panel of five judges. In each State/Territory contest, Judges select three 1st place, three 2nd place, three 3rd place, and sixteen honorable mention winners for each category. From among the twelve 1st place entries, the judges select a Best of Show entry to represent that State or Territory in the national round of the contest. In some State/Territory contests, the conservation messages written by the students and entered on their entry forms are also judged and awarded prizes. In mid-April, State/Territory coordinators present certificates to all participants and award prizes to the winners of this round. 2. National contest: State/Territory coordinators submit the Best of Show entries to the Federal Duck Stamp Office. In late April, these entries are judged in the national round of the contest by a panel of five judges. From among all State/Territory Best of Show entries, the judges select a winning entry to become the next Junior Duck Stamp. One 2nd place, one 3rd place, seven Top Ten, and 15 honorable mention entries are also selected. The judges select 1st, 2nd, and 3rd place conservation messages as well. In mid-May, the Federal Duck Stamp office awards prizes to the national contest winners.

Deadlines:

Entries must be postmarked by midnight, March 15th of the corresponding contest year. Note: for South Carolina, entries must be postmarked by midnight, January 30th, and for Ohio, entries must be postmarked by midnight, March 1st of the corresponding contest year.

Range of Approval/Disapproval Time:

State/Territory rounds: March-April. National round: April-May.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Prizes are awarded within one year of contest win.

Reports:

Not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$164,000; FY 08 est \$150,000; and FY 09 est not available.

Range and Average of Financial Assistance:

At the State/territory level, range is from \$0 to \$2,350. At the national level, range is from \$200 to \$5,000.

PROGRAM ACCOMPLISHMENTS:

The program attracts approximately 26,500 participants each year from all 50 States, the District of Columbia, American Samoa, and the Virgin Islands. Since 1994, sales of Junior Duck Stamps have raised hundreds of thousands of dollars for conservation education. The 2004-2005 Junior Duck Stamp will be the eleventh design produced.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Fish and Wildlife Service Manual, Part 901 FW 5. Copies of the Federal Junior Duck Stamp Art Competition Rules and Entry Form and the Junior Duck Stamp Conservation and Design Program Curriculum Guide can be obtained free of charge from the Federal Duck Stamp Office.

Regional or Local Office:

Alabama: Kevin Hamrick, Wheeler NWR, 2700 Refuge Hdqtrs. Rd., Decatur, AL 35603; Telephone: (256) 350-6639, kevin_hamrick@fws.gov; Alaska: Barry Whitehill, Yukon Flats NWR, 101 12th Ave., R.264, Box 14, Fairbanks, AK 99701; Telephone: (907) 456-0440, Education_Specialist@fws.gov; American Samoa: Sue Thomas, USFWS, 911 NE 11th Avenue, Portland, OR 97232; Telephone: (503) 231-6164, sue_thomas@r1.fws.gov; Arizona: Margot Bissell, Cabeza Prieta NWR, 1611 N. 2nd Ave., Ajo, AZ 85321; Telephone: (520) 387-6483, margot_bissell@fws.gov; Arkansas: Shannon Caldwell, One 4H Way, Little Rock, AR 72223; Telephone: (501) 821-6884, scaldwell@uaex.edu; California: Marilyn Gamette, Sacramento NWR Complex, 752 County Rd 99W, Willows, CA 95988; Telephone: (530) 934-2801, marilyn_gamette@fws.gov; Colorado: Kristen Gilbert, Alamosa/Monte Vista NWR, 9383 El Rancho Lane, Alamosa, CO 81101; Telephone: (719) 589-4021, kristen_gilbert@fws.gov; Connecticut: Chris Samor, CN Waterfowl Assoc., 29 Bowers Hill Rd., Oxford, CT 06478, csamor16@adelphia.net; Delaware: Trina Cale-Rosario, DE Div./Fish and Wildlife, 4876 Hay Point Landing Rd., Smyrna, DE 19977; Telephone: (302) 653-2882 x104, trina.rosario@state.de.us; District of Columbia: Ira Palmer, DC Fish and Wildlife Div., 51 N St., NE, Rm 5002, Washington, DC 20002; Telephone: (202) 535-2266, ira.palmer@dc.gov; Florida: Toni Westland, J.N. "Ding" Darling NWR, 1 Wildlife Drive, Sanibel, FL 33957, 239/472-1100, toni_westland@fws.gov; Georgia: Deborah Harris, GA Ecological Svcs., 247 S. Milledge, Athens, GA 30605; Telephone: (706) 613-9493, Deborah_c_harris@fws.gov; Hawaii: Sandy Hall, USFWS, Rm. 5-311, Box 50187, Pacific Islands Office, 300 Ala Moana Blvd., Honolulu, HI 96850; Telephone: (808) 792-9530, sandra_hall@R1.fws.gov; Idaho: Todd Fenzl, Deer Flat NWR, 13751 Upper Embankment Rd., Nampa, ID 83686; Telephone: (208) 467-9378 or 79, todd_fenzl@fws.gov; Illinois: Mary Allen, IL DNR, Div. of Ed., 1 Natural Resources Way, Springfield, IL 62702; Telephone: (217) 524-4126, mallen@dnrmail.state.il.us; Indiana: Donna Stanley, Muscatatuck NWR, 12985 E. US Hwy 50, Seymour, IN 47274; Telephone: (812) 522-4352, donna_stanley@fws.gov; Iowa: Doreen VanRyswyk, Neal Smith NWR, 9981 Pacific Street, P.O. Box 399, Prairie City, IA 50228; Telephone: (515) 994-3400, doreen_vanryswyk@fws.gov; Kansas: Lorrie Beck, Great Plains Nature Ctr., 6232 E. 29th North, Wichita, KS 67220; Telephone: (316) 683-5499 x108, lorrie_beck@fws.gov; Kentucky: Laura Lang, KY Dept./Fish and Wildlife, #1 Game Farm Road, Frankfort, KY 40601; Telephone: (502) 564-3400, Laura.Lang@ky.gov; Louisiana: John P. Barr, Jr., Natchitoches NFH, 615 South Dr., Natchitoches, LA 71457; Telephone: (318) 352-5324, john_barr@fws.gov; Maine: Graham Taylor, Rachel Carson NWR, 321 Port Rd., Wells, ME 04090; Telephone: (207) 646-9226, graham_taylor@fws.gov; Maryland: Maria Vonderheid, Patuxent Research Refuge, 10901 Scarlet Tanager Loop, Laurel, MD 20708-4027; Telephone: (301) 497-5761, maria_vonderheid@fws.gov; Massachusetts: Pam Landry, MA Wildlife Federation, One Rabbit Hill Rd., Westboro, MA 01581; Telephone: (508) 792-7270 x110, pam.landry@state.ma.us; Michigan: Chuck Marsh, MI Duck Hunters Tourn., 3517 Anna, Trenton, MI 48183; Telephone: (734) 676-1995, cwmthree@juno.com; Minnesota: Mara Lundeen, MN Valley NWR, 3815 American Blvd. E., Bloomington, MN 55425; Telephone: (952) 858-0710, mara_lundeen@fws.gov; Mississippi: Rebecca Gruneberg, MS Museum of Natural Science, 2148 Riverside Dr., Jackson, MS 39202; Telephone: (601) 354-7303, rebeccag@mmns.state.ms.us; Missouri: Tim Haller, Big Muddy NWR, 4200 New Haven Dr., Columbia, MO 65102; Telephone: (573) 441-2799, 1-800-611-1826, tim_haller@fws.gov; Montana: Outdoor Recreation Planner, Lee Metcalf NWR, P.O. Box 247, Stevensville, MT 59870; Telephone: (406) 777-5552, leemetcalf@fws.gov; Nebraska: Brad McKinney, Crescent Lake NWR Complex, 115 Railway, Scottsbluff, NE 69361; Telephone: (308) 635-7851, brad-mckinney@fws.gov; Nevada: Donna Withers, Stillwater NWR, 1000 Auction Rd., Fallon, NV 89406; Telephone: (775) 423-5128, donna-withers@fws.gov; New Hampshire: Victor Young, NH Fish and Game Department, 11 Hazen Drive, Concord, NH 03301; Telephone: (603) 271-3211, vyoung@wildlife.state.nh.us; New Jersey: LysaHelm, TheWetlands Institute, 1075 Stone Harbor Blvd., Stone Harbor, NJ

08247; Telephone: (609) 368-1211, lhelm@wetlandsinstitute.org; New Mexico: Steve Alvarez, Bitter Lake NWR, 4065 Bitter Lakes Rd., Roswell, NM 88201; Telephone: (505) 622-6755 x29, steve-alvarez@fws.gov; New York: Liz Gonzalez-Spagnuolo, Wertheim NWR, P.O. Box 21, 360 Smith Road, Shirley, NY 11967; Telephone: (631) 874-4814; North Carolina: Patty Matteson, USFWS Ecological Survey, 551 F. Pylon Drive, Raleigh, NC 27606; Telephone: (919) 856-4520 x25, patty-matteson@fws.gov; North Dakota: Kristine Askerooth, Tewaukon NWR, 9754 143 1/2 Ave. SE, Cayuga, ND 58013; Telephone: (701) 724-3598, kristine-askerooth@fws.gov; Ohio: Rebecca Hinkle, Ottawa NWR, 14000 W. State Rt. 2, Oak Harbor, OH 43449; Telephone: (419) 898-0014, rebecca-hinkle@fws.gov; Oklahoma: Claudine Daniel, Wichita Mountains Wildlife Refuge, Route 1, Box 448, Indianahoma, OK 73552; Telephone: (580) 429-3221, claudine-daniel@fws.gov; Oregon: Pam Johnson, OR Coast NWR Complex, 2127 SE Marine Science Dr., Newport, OR 97365; Telephone: (541) 867-4550, pam-johnson@fws.gov; Pennsylvania: Joyce Sliter, Erie NWR, 11296 Wood Duck Lane, Guys Mills, PA 16327; Telephone: (814) 789-3585, joyce-sliter@fws.gov; Rhode Island: Valerie Crane-Slocumb, USFWS Div. of Migratory Birds-R5, 300 Westgate Center Drive, Hadley, MA 01035; Telephone: (413) 253-8643, valerie-crane@fws.gov; South Carolina: Steve Bates, SC Dept. of Nat. Resources, 1000 Assembly St. #209, Columbia, SC 29202; Telephone: (803) 734-3885, steveb@scdnr.state.sc.us; South Dakota: Karrie Schmidt, USFWS, Federal Bldg. Rm 309, 200 4th Street, SW, Huron, SD 57350; Telephone: (605) 352-5894, karrie-schmidt@fws.gov; Tennessee: Joan Stevens, Tennessee NWR, 3006 Dinkins Lane, Paris, TN 38242; Telephone: (731) 642-2091, joan-stevens@fws.gov; Texas: Michael Carlo, Santa Ana NWR, RR2, Box 202A, Alamo, TX 78516; Telephone: (956) 787-7630, michael-carlo@fws.gov; Utah: Betsy Beneke, Bear River Bird Refuge, 58 South 950 West, Brigham City, UT 84302; Telephone: (435) 723-5887 x16, betsy-beneke@fws.gov; Vermont: Eileen Nunez, Missisquoi NWR, 371 N. River Street, Swanton, VT 05488; Telephone: (802) 868-4781, eileen-nunez@fws.gov; Virginia: Valerie Crane-Slocumb, USFWS Div. Of Migratory Birds-R5, 300 Westgate Center Drive, Hadley, MA 01035; Telephone: (413) 253-8643, valerie-crane@fws.gov; Virgin Islands: William Coles, VI Div. of Fish and Wildlife, 45 Mars Hill, Fredriksted, VI 00840; Telephone: (340) 713-2422, wcoles@vitelcom.net; Washington: David Falzetti, Nisqually NWR, 100 Brown Farm Rd., Olympia, WA 98516; Telephone: (360) 753-9467, david-falzetti@r1.fws.gov; West Virginia: Janet Butler, Ohio River Islands NWR, P.O. Box 1811 (mail), 3004 7th St., Parkersburg, WV 26102; Telephone: (304) 422-0752, janet-butler@fws.gov; Wisconsin: Molly Mehl, Necedah NWR, W7996 20th St. West, Necedah, WI 54646, 608/565-2551, molly-mehl@fws.gov; Wyoming: Jane Lavino, National Museum of Wildlife Art, P.O. Box 6825 (mail), 2820 Rungius Rd., Jackson, WY 83002; Telephone: (307) 733-5771, jlavino@wildlifeart.org.

Headquarters Office:

National Junior Duck Stamp Program Coordinator, Federal Duck Stamp Office, U.S. Fish and Wildlife Service, Mail Stop: MBSP-4070, 4401 N. Fairfax Drive, Arlington, VA 22203; Telephone: (703) 358-2000, Fax: 703/358-2009, duckstamps@fws.gov.

Web Site Address:

<http://duckstamps.fws.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

An eligible entry meets the following criteria: 1. Entry is a 9' x 12' horizontal image, with total thickness not to exceed 1/2". 2. Entry is the student's original, hand-done creation and has not been traced or otherwise replicated from another artist's photographs or other published works. 3. Entry is not created through the use of computers or other mechanical device. Note: Air brush is allowed. 4. The prominent feature of the entry is one of the following North American waterfowl species: Tundra Swan, Trumpeter Swan, Greater White-fronted Goose, Snow Goose, Ross' Goose, Brant, Canada Goose, Emperor Goose, Fulvous Whistling Duck, Black-bellied Whistling Duck, Wood Duck, Green-winged Teal, Blue-winged Teal, Cinnamon Teal, Mottled Duck, Northern Pintail, Gadwall, American Black Duck, Mallard, Northern Shoveler, American Wigeon, Canvasback, Redhead, Ring-necked Duck, Greater Scaup, Lesser Scaup, Common Eider, Spectacled Eider, King Eider, Steller's Eider, Harlequin Duck, Long-tailed Duck (formerly Oldsquaw), Black Scoter, Surf Scoter, White-winged Scoter, Common Goldeneye, Barrow's Goldeneye, Bufflehead, Hooded Merganser, Red-breasted Merganser, or Ruddy Duck. These Hawaiian species are also permitted: Koloa, Nene Goose, or Laysan Duck. Note: Entries from students in U.S. Territories may include a species of waterfowl that naturally occurs in that specific territory. 5. No lettering, signature, or initials appear on the front of the design. 6. An entry done in chalk or pastel must be sprayed with a fixative. 7. Entry is not matted, framed, or otherwise covered with glass, plastic, or irremovable protective wrapper. 8. Entry is postmarked by midnight, March 15th of the corresponding contest year and mailed to the appropriate state/territory Junior Duck Stamp Program coordinator. Note: for South Carolina, entries must be postmarked by midnight, January 30th, and for Ohio,

entries must be postmarked by midnight, March 1st of the corresponding contest year. In addition to meeting eligibility requirements, entries are judged according to the following criteria: biological and anatomical accuracy, habitat accuracy, artistic composition, and suitability of design for reproduction as a stamp.

15.645 MARINE TURTLE CONSERVATION FUND

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Marine Turtle Conservation Act of 2004, Public Law 108-266.

OBJECTIVES:

To assist in the conservation of marine turtles and the nesting habitats of marine turtles in foreign countries by supporting and providing financial resources for projects to conserve the nesting habitats, conserve marine turtles in those habitats, and address other threats to the survival of marine turtles.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur in foreign countries (outside of the United States) that have within their boundaries marine turtle nesting habitats and be conducted by any person or group with the demonstrated expertise required for the conservation of marine turtles. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Beneficiary Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website. The Notice of Funding Availability and Application Instructions are also located on the program website at <http://www.fws.gov/international/animals/marineturtleprogram.htm>. If you are unable to access the Internet, hard copies are available upon request from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance".

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Marine Turtle Conservation Act of 2004 (Public Law 108-266). Review criteria can be found in the application information at <http://www.fws.gov/international/animals/marineturtleprogram.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

This program has two annual deadlines. The first deadline is October 1 each year. We strongly encourage applicants with nesting season projects beginning April/May to submit proposals on or before the October 1 deadline. The second deadline is April 1 each year. We strongly encourage applicants with nesting season projects beginning September/October to submit proposals on or before the April 1 deadline.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.; time extensions or budget changes.

Formula and Matching Requirements:

To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the budget period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at the program web page at <http://www.fws.gov/international/animals/marineturtleprogram.htm>. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after Dec. 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1652-0-1-303.

Obligations:

(Grants) FY 07 \$621,000; FY 08 \$906,000; and FY 09 est \$216,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 the Service funded 22 marine turtle conservation projects. A summary of each of those projects can be found at the program webpage at <http://www.fws.gov/international/animals/marineturtleprogram.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/grants/grants.html> or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

Fish and Wildlife Service, University of West Georgia, Department of Biology, Carrollton, Georgia 30118-6300. Telephone: (770) 214-9293. Fax: (678) 839-6548.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358 1754. Fax: (703)358 2115. E-mail: MSCF_MarineTurtle@fws.gov.

Web Site Address:

<http://international.fws.gov/grants/grants.html>.

RELATED PROGRAMS:

15.619, Rhinoceros and Tiger Conservation Fund; 15.620, African Elephant Conservation Fund; 15.621, Asian Elephant Conservation Fund; 15.629, Great Apes Conservation Fund.

EXAMPLES OF FUNDED PROJECTS:

Annual summaries of the projects funded under this program can be found at

<http://www.fws.gov/international/animals/marineturtleprogram.htm>. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Marine Turtle Conservation Fund (Public Law 108-266). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Marine Turtles Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/animals/marineturtleprogram.htm>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.647 MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

To maintain and enhance populations and habitats of migratory bird species found in the Upper Midwest (IL, IN, IA, MI, MN, MO, OH, WI).

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act and occurring in the Fish and Wildlife Service (FWS) Region 3 (Upper Midwest, including IL, IN, IA, MI, MN, MO, OH, and WI). Special emphasis will be placed on the bird species listed in USFWS Region 3's Fish and Wildlife Resource Conservation Priorities document (<http://www.fws.gov/midwest/News/documents/priority.pdf>) as these species are experiencing known or suspected population declines, are recreationally important, or are causing biological or social conflicts. Implementing national, regional, and flyway bird conservation plans, including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>), North American Waterbird Conservation Plan (<http://www.waterbirdconservation.org>), North American Waterfowl Management Plan (<http://www.fws.gov/birdhabitat/NAWMP/index.shtml>), and Mississippi Flyway waterfowl management plans, is the FWS's highest priority, and proposals related to the priorities identified in these plans and Bird Conservation Region and state-level bird conservation planning efforts will receive the greatest funding consideration. Proposals should address one or more of the following activities: (a) Population surveys and monitoring to determine the status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this grant program; (b) Applied research, including studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques and programs for poorly-surveyed species; and use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; (c) Compilation of technical information, such as status reviews of bird species of concern and development of habitat management and restoration guidelines.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; and public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; and general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard SF 424 Application for Federal Assistance is required. A written proposal should be submitted that includes: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, methodology and study design, time frame, budget breakdown, and list of cooperators and their contributions. Note that the FWS may circulate proposals to others for review.

Award Procedure:

The Regional Migratory Bird Program Chief convenes a committee of FWS biologists to review, rank, and select proposals for funding. Once a proposal is accepted, a grant agreement is written and work can begin.

Deadlines:

Contact the Regional Office for application deadlines. Funding decisions are made at least annually.

Range of Approval/Disapproval Time:

Decisions on funding of a proposal are usually made no later than 180 days after receipt of the proposal.

Appeals:

Not applicable.

Renewals:

A grant agreement may be modified at the discretion of the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Unless a project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement and in accordance with financial and reporting procedures specified in the agreement. Grants are normally written for a period of 1 to 5 years and payments can be made in a lump sum or on a periodic basis.

Reports:

All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress, final reports, and SF 269 Financial Status Report on the project are generally required.

Audits:

None.

Records:

None.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$250,500; FY 08 est \$365,800; and FY 09 est \$200,000.

Range and Average of Financial Assistance:

\$7,600 to \$41,800; \$17,400 average in FY 08.

PROGRAM ACCOMPLISHMENTS:

USFWS Region 3 has provided small grants to cooperators for migratory bird conservation projects since the late 1980s. These projects have addressed the general activities outlined under the Uses section (070) above. The number of projects funded and dollars provided varies annually, depending upon annual appropriations and other program priorities. In FY 2007, Region 3 received 30 proposals requesting \$576,100 and was able to fund 9 of the proposals for \$250,500. In FY 2008, Region 3 received 32 proposals requesting \$583,400, and was able to fund 21 proposals for \$365,800. In FY 2009, we estimate that a maximum of \$200,000 will be available for small grants from the Region's migratory bird program budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Steve Lewis, Division of Migratory Birds, Fish and Wildlife Service, 1 Federal Drive, Fort Snelling, MN 55111-4056; Telephone: (612) 713-5473; Fax: (612) 713-5393; E-mail: steve_j_lewis@fws.gov. Sean Kelly, same address; Telephone: (612) 713-5470; Fax: (612) 713-5393; E-mail: sean_kelly@fws.gov.

Headquarters Office:

None.

Web Site Address:

<http://www.fws.gov/midwest/midwestbird>.

RELATED PROGRAMS:

15.637, Migratory Bird Joint Ventures.

EXAMPLES OF FUNDED PROJECTS:

Activities related to the implementation of national, regional, and flyway bird conservation plans, including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>), North American Waterbird Conservation Plan (<http://www.waterbirdconservation.org>), North American Waterfowl Management Plan (<http://www.fws.gov/birdhabitat/NAWMP/index.shtml>), and Mississippi Flyway waterfowl management plans; population surveys and monitoring to determine the status, trends, and distribution of bird species and groups of concern; applied research, including studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques for poorly-surveyed species, and use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas; and compilation of technical information, such as status reviews and conservation plans for bird species of concern and development of habitat management and restoration guidelines.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed to determine how well they address the migratory bird program priorities outlined above, including relevance to bird conservation plan priorities, Resource Conservation Priority species, and the bird conservation activities listed above. Proposals will also be evaluated for their

biological and statistical soundness, feasibility, geographic scope of applicability, and cost effectiveness. Projects involving matching funds, in-kind services, materials, and equipment from other agencies and organizations will be given greater consideration for funding than similar projects without matching resources.

15.648 CENTRAL VALLEY PROJECT IMPROVEMENT (CVPI) ANADROMOUS FISH RESTORATION PROGRAM (AFRP)

(CVPI, AFRP)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Central Valley Project Improvement Act, Title 34, Public Law 102-575; Federal Grant and Cooperative Agreement Act, Public Law 97-258, 31 U.S.C. 6301-6308.

OBJECTIVES:

(a) To protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) To address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) To improve the operational flexibility of the Central Valley Project; (d) To increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) To contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) To achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for research to improve management and increase Anadromous fish resources; spawning area improvement; installation of fish passages; construction of fish protection devices, and data collection.

Applicant Eligibility:

Applicants may be State, local governments, Native American Organizations, other public nonprofit institutions/organizations, private nonprofit organization. No other Federal agency may apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None other than completion of the Standard Form 424 set.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application should include the project proposal and be submitted through Grants.gov. The proposal contains the narrative description and budgetary information of the project. Only information that is pertinent to the project should be included. The project proposal should also indicate whether partial funding of the project is practicable, and, if so, what specific portion(s) of the project could be implemented with what level of funding. A project proposal that is part of a longer term initiative will be considered, however, the proposed project's objectives, benefits, and tasks must stand on their own, as there are no assurances that additional funding would be awarded in subsequent years for associated or complementary projects. An incomplete proposal will not be considered for funding.

Award Procedure:

The successful applicant will be notified after selection and confirmation of available funding. An applicant should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

The deadline will be publicized within the grants.gov announcement.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The matching requirement is based on the project. For specific information see the Central Valley Project Improvement Act, Section 3406 Fish, Wildlife and Habitat Restoration.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the Period of Availability of Funds limited to the five (5) year rule.

Reports:

The successful applicant shall submit a written progress report on the project on a quarterly basis in Adobe PDF or Microsoft Word format presenting the activities that occurred and provide a description of the project accomplishments during each quarter of the calendar year beginning with the quarter covering October through December. The reports shall be submitted within 30 days following the end of each quarter. Draft final performance reports are due no later than 60 days after completion of the project. Final reports will be due 30 days after receipt of the program comments on the draft report. The successful applicant shall submit, within 90 calendar days of completion of the project, a final financial report. The successful applicant shall account for any real and personal property acquired with Federal funds or received from the Federal Government according to requirements of regulations referenced in the award. The final report will be provided in hardcopy using Adobe PDF or Microsoft Word format, and also an electronic copy.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after Dec.1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after Dec.1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1611-0-1-302.

Obligations:

FY 07 \$8,754,544; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$152,335.

PROGRAM ACCOMPLISHMENTS:

Since 1995, the Anadromous Fish and Restoration Program (AFRP) within the Central Valley Project Improvement Act, has been pursuing program objectives by helping to design, fund and manage restoration actions, technical evaluations, and monitoring activities that focus on solving or better understanding a wide variety of problems faced by wild anadromous fish populations at all stages of their life cycles. Many of these projects stem directly from the list of proposed actions developed during the AFRP planning process; others have developed in response to new ideas proposed by a variety of program partners. AFRP-funded projects to improve habitat have included removal of artificial barriers to migration, installing or upgrading fish ladders, expanding and/or improving the quality of spawning grounds, improving salmon rearing and riparian habitat, developing and nurturing educational programs, investigating salmonid natural history, and acquiring permanent easements in floodplains and riparian corridors. To ensure project effectiveness, all projects include a monitoring component to document pre- and post- project conditions.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Potential applicants may contact the local office at: Anadromous Fish Restoration Program Stockton Fish and Wildlife Service Office 4001 N. Wilson Way, Stockton, California 95205. Telephone: (209) 946-6400, Fax (209) 946-6355.

Headquarters Office:

CNO- California Nevada Office, 2800 Cottage Way, Room W-2606. Sacramento, California 95825. Telephone (916) 414-6464.

Web Site Address:

<http://www.delta.dfg.ca.gov/afrp/title34.asp#top>

RELATED PROGRAMS:

11.405, Anadromous Fish Conservation Act Program.

EXAMPLES OF FUNDED PROJECTS:

Restoration action on Butte and Big Chico Creeks for spring-run salmon; Evaluate the distribution and relationship of resident and anadromous life history types of rainbow trout in the Central Valley; Comprehensive assessment of genetic population structure/diversity of Central Valley salmon; Lower Calaveras River Chinook salmon and steelhead life history limiting factors analysis; Cosumnes River salmonid barrier program.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a team of Federal staff based on the following criteria: Addresses high priority need as described in the Request for Proposal (RFP) "Purposes and Funding Priorities"; Clearly stated goals, objectives, hypotheses, and relevance of idea; Approach is valid and technically sound; Primary Investigators and/or subcontractors record of performance and expertise; Budget is reasonable and provides good value for funds requested; and Cost sharing or in-kind contributions.

15.649 SERVICE TRAINING AND TECHNICAL ASSISTANCE (GENERIC TRAINING)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of fish and wildlife species and their habitat (16 U.S.C. 661 and 742 et seq.), Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by supporting and providing financial resources for training, meetings, workshops and conferences to promote the public's conservation awareness.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Training and technical assistance are used to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include natural resources related education, meetings, training, workshops, and conferences. Details on funding opportunity can be found on www.Grants.gov.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged (see www.Grants.gov/FIND and <http://www.fws.gov/offices/>). This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form (SF)424 and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note that the USFWS may circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Deadline varies depending on the funding opportunity announcements.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicant may revise and resubmit proposal for consideration.

Renewals:

Not applicable. Projects are for a specified duration as identified in each award.

Formula and Matching Requirements:

Applicant cost-share requirements will vary based on the nature of the opportunity, but are highly encouraged and applicants should refer to the individual funding announcement for details on these requirements.

Length and Time Phasing of Assistance:

None.

Reports:

All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress and final reports on the project are generally required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$156,032; FY 08 \$91,000; and FY 09 est \$150,000.

Range and Average of Financial Assistance:

Estimated range is \$1000 to \$500,000 with an average of \$10,000 to \$50,000 per award of financial assistance funding. Specific funding information is available in funding announcement through www.Grants.gov.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2004, the Fish and Wildlife Service awarded a total of 2,527 actions totaling \$149,000,000 in grants and cooperative agreements. It is anticipated that the awards issued under this CFDA will be a small percentage of the overall action and award totals. All Service financial assistance funding opportunities will be posted on www.Grants.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the Fish and Wildlife Service programs, including its Guide for Submission of Unsolicited Proposals is available at: <http://fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located at <http://www.whitehouse.gov/omb/circulars/index.html>, <http://www.doi.gov/pam/financialassistance.html>.

Regional or Local Office:

Contact Information related to each funding opportunity will be stated in each announcement. Or visit website <http://www.fws.gov/contracts/index.html>.

Headquarters Office:

Chief, Division of Administration and Information Management, Wildlife and Sport Fish Restoration Programs, 4401 North Fairfax Drive, FA-4020, Arlington, Virginia 22203. Awards are not centrally managed or coordinated. Awards are made in all Regional, Local, and the Washington Offices. Refer to Regional Office contacts at: <http://www.fws.gov/org/html>.

Web Site Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Workshop - Pheasants Forever; Midwest Conservation Internship.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.650 RESEARCH GRANTS (GENERIC)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753), Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), Endangered Species Act of 1973 (16 U.S.C. 1531-1543).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by providing financial assistance for land management, research, and data collection/analysis to further the preservation of natural resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Research grants will be used for improving scientific support for natural resource management, to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include land management practices; literature research, data/information analysis and dissemination improving scientific support for natural resource management. Details on funding opportunities can be found on www.Grants.gov.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged (see www.Grants.gov/FIND and <http://www.fws.gov/offices/>). This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form (SF) 424 and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note, the USFWS may

circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is by the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Deadlines vary depending on the funding opportunity announcements.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicants may revise and resubmit proposals for consideration.

Renewals:

Not applicable. Projects are for a specified duration as identified in each award.

Formula and Matching Requirements:

Applicant cost-share requirements will vary based on the nature of the opportunity, but are highly encouraged and applicants should refer to the individual funding announcement for details on these requirements.

Length and Time Phasing of Assistance:

None.

Reports:

All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress and final reports on the project are generally required.

Audits:

In accordance with the provisions of OMB Circular NO. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after December 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Grants) FY 07 \$0; FY 08 \$50,000; and FY 09 est \$50,000.

Range and Average of Financial Assistance:

Estimated range is \$1,000 to \$500,000 with an average of \$10,000 to \$50,000 per award of financial assistance funding.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2004, the Fish and Wildlife Service awarded a total of 2,527 actions totaling \$149,000,000 in grants and cooperative agreements. It is anticipated that the awards issued under this CFDA will be a small percentage of the overall action and award totals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the Fish and Wildlife Service programs, including its Guide for Submission of Unsolicited Proposals is available at: <http://fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located at <http://www.whitehouse.gov/omb/circulars/index.html>, <http://www.doi.gov/pam/financialassistance.html>.

Regional or Local Office:

Contact Information related to each funding opportunity will be stated in each announcement. Or visit website: <http://www.fws.gov/contracts/index.html>.

Headquarters Office:

Chief, Division of Contracting and Facilities Management, Washington Office 4401, North/Fairfax Drive, Mail Stop 7118-43, Arlington, VA 22203. Telephone: (703) 358-1901.

Web Site Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized U.S. Fish and Wildlife Service program purposes.

15.651 WILDLIFE WITHOUT BORDERS-AFRICA PROGRAM

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

To strengthen the ability of African institutions to manage and conserve species, habitats, and ecological process for the benefit of the people of African and the world. Of particular interests are projects that build the human and institutional capacity of effectively conserve and manage natural protected areas in Africa. Of particular interest are projects that provide training to: (1) Increase human and institutional capacity to mitigate the impact of extractive industries, climate change, human/wildlife conflict, illegal trade in bushmeat, and/or wildlife disease in and around protected areas; (2) Strengthen human and institutional capacity to administer protected areas by focusing on: human resource management, financial management, vehicle and facility maintenance, grant writing and project implementation, community outreach and education, conflict resolution, and coalition building; (3) Increase the capacity of universities, colleges or other institutions to deliver training programs on protected area management; and (4) Strengthen decision makers' knowledge of concepts relevant to protected area design, legislation, policy, and finance, and the importance of harmonizing these with other national sectoral policies; (5) Assess the capacity of protected areas and/or institutions responsible for their management.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects should take place in Africa. If work is to be conducted outside Africa, the proposal must explain how the proposed activities will further protected area conservation and management within Africa to be eligible for funding. The Division of International Conservation will not fund: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who misrepresent their identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. This program is administered in compliance with the Federal Grant and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training Federal government personnel.

Applicant Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; and public and private institutions of higher education, or any of the stated associate entities on behalf of an eligible individual.

Beneficiary Eligibility:

Federal, State and local government agencies; nonprofit, non-governmental organizations; and public and private institutions of higher education. All recipients, both individual and institutional, must have a bank account and have the ability to receive funds directly.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov/search/basic.do>. Complete application information can also be found at http://www.fws.gov/international/dicprograms/africa_program.htm, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at http://www.fws.gov/international/dicprograms/africa_program.htm. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

For fiscal year 2009 proposals must be submitted to the Fish and Wildlife Service on or before June 15, 2009.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes.

Formula and Matching Requirements:

This program has no statutory formula. Applicants and/or other project partners are encouraged, to the extent possible, to contribute funds to the project, which may include cash or in-kind contributions.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures.

Reports:

Financial and performance reports are to be submitted in accordance with the terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this programs web page at http://www.fws.gov/international/dicprograms/africa_program.htm. For financial reporting, Domestic Recipients with no program income to report shall use Standard Form 269a. Domestic recipients with program income to report shall use Standard Form 269. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period, and describes any deviations from the approved project budget.

Audits:

In accordance with the provisions of OMB Circular A-133(Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,00 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$0; FY 08 \$500,000; and FY 09 est \$307,000.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

This is a new program that will begin funding projects in FY 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/dicprograms/africa_program.html or by contacting the Division of International Conservation at (703)358-1754.

Regional or Local Office:

Region 1, (Washington, Oregon, California, Nevada, Idaho, Hawaii, Trust Territories of the Pacific) Regional Director: Renne Lohofener, 911 NE 11th Avenue, Portland, OR 97232-4181. Telephone: (503) 872-2716; Fax: (503)231-6118. California Nevada Operations Office Regional Director: Steve Thompson, 2800 Cottage Way, Suite W2606 Sacramento, CA 95825. Telephone: (916) 414-6486; Fax: (916) 414-6464 Region 2 (New Mexico, Arizona, Oklahoma, Texas) Regional Director: Benjamin Tuggle, P.O. Box 1306, Albuquerque, NM 87103. Telephone: (505) 248-6910; Fax: (505) 248-6282. Region 3, (Minnesota, Indiana, Missouri, Michigan, Wisconsin, Ohio, Illinois, Iowa). Regional Director, Robyn Thorson Federal Building, 1 Federal Drive, Fort Snelling, MN 55111. Telephone: (612) 713-5284; Fax: (612) 713-5301. Region 4, (Kentucky, Arkansas, Tennessee, North Carolina, South Carolina, Georgia, Alabama, Mississippi, Louisiana, Florida, Virgin Islands, Puerto Rico). Regional Director: Sam Hamilton, 1875 Century Boulevard, Atlanta, GA 30345. Telephone: (404)679-4006 Fax: (404) 679-4000. Region 5, (Virginia, West Virginia, Maryland, Pennsylvania, New York, Delaware, New Jersey, Connecticut, Maine, Massachusetts, Vermont, New Hampshire, Rhode Island) Regional Director: Marvin Moriarty, 300 Westgate Center Drive, Hadley, MA 01035. Telephone: (413) 253-8308. Fax: (413) 253-8300. Region 6, (Colorado, Montana, Nebraska, Utah, Wyoming, Kansas, North Dakota, South Dakota) Regional Director, Mitch King, P.O. Box 25486, DFC Denver, CO 80225. Telephone: (303) 236-7920 Fax: (303) 236-8295 Region 7 (Alaska) Regional Director: Tom Melius, 1011 East Tudor Road, Anchorage, AK 99503. Telephone: (907) 786-3306 Fax: (907) 786-3542.

Headquarters Office:

Fish and Wildlife Service, Department of the Interior. Contact: Chief, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, VA 22203-1622. Telephone: (703) 358-1754. Fax: (703) 358-2115. E-mail: WWB_Africa@fws.gov.

Web Site Address:

http://www.fws.gov/international/dicprograms/africa_program.html

RELATED PROGRAMS:

15.640, Wildlife Without Borders- Latin America and the Caribbean; 15.641, Wildlife Without Borders-Mexico.

EXAMPLES OF FUNDED PROJECTS:

After this programs first cycle of funding is complete, annual summaries of the projects funded will be located at http://www.fws.gov/international/dicprograms/africa_program.html Hard copies of this information will also be available upon request from the Division of Division of International Conservation at (703) 358-1754 or WWB_Africa@fws.gov.

CRITERIA FOR SELECTING PROPOSALS:

Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. A complete list of the criteria used to select projects under this program will be included as part of the Wildlife Without Borders-Africa Notice of Funding Availability and Application Instructions at http://www.fws.gov/international/dicprograms/africa_program.html Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754 or WWB_Africa@fws.gov.

15.652 UNDESIRABLE/NOXIOUS PLANT SPECIES

(Weeds Program)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); and National Wildlife Refuge System Administration Act, 16 U.S.C. 668dd.

OBJECTIVES:

To encourage coordination and integration of efforts between the U.S. Fish and Wildlife Service (USFWS) and interested parties to accomplish successful management of undesirable plant species. Projects must conserve, protect, and enhance fish, wildlife and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for approved projects on Service lands that facilitate the identification, management and eradication of undesirable plant species.

Applicant Eligibility:

Applicants may be State and local governments, educational institutions, private and public nonprofit organizations, and institutions and private individuals which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

None.

Preapplication Coordination:

None. Currently this program is excluded from the E.O. 12372, Intergovernmental Review or Federal Programs' requirement, however it may be selected to participate at a later date.

Application Procedure:

A Standard Form 424 and a written proposal should be submitted electronically through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, time frame, and a budget breakdown. No State plan is required with this application. Note that the USFWS may circulate proposals to others for review.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or designee approves or disapproves submitted proposals. Criteria for selection are outlined in the funding announcement and application package posted to Grants.gov. Successful applicant(s) will be notified after selection and confirmation of available funding. An applicant(s) should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Deadline varies depending on funding opportunity announcements.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the period of availability of funds, which is limited to the five-year rule.

Reports:

Recipients of funding are required to submit quarterly financial reports using form SF-269, and semi-annual performance reports 30 days following the end of each reporting period. All projects and activities are monitored for progress and compliance with the agreed upon scope of work. Final performance and financial reports are due 120 days after the end date of the grant performance reports.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend Financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,000 to \$25,000; \$5,500.

PROGRAM ACCOMPLISHMENTS:

Fish and Wildlife Service has worked in conjunction with private, State, county and local governments to jointly address issues surrounding undesirable plant species.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Assistant Director, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670, Arlington, VA 22203. Telephone: (703) 358-1744; Fax: (703) 358-2248.

Web Site Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Award to Jackson County Noxious Weed Management and Arapaho NWR (\$3,300); awards to Ravalli County Weed District and Charles M. Russell NWR (\$14,400).

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of lasting effect on conservation, preservation, wildlife and their habitats.

15.653 NATIONAL OUTREACH AND COMMUNICATION PROGRAM

(NOC)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR AUTHORIZATION:

Transportation Equity Act for the 21st Century 1998, Public Law 105-178, 112 Stat. 107, 23 U.S.C. 101, Sportfishing and Boating Safety Act of 1998, Section 7402, Public Law 105-178, Subtitle D.

OBJECTIVES:

To improve communications with anglers, boaters, and the general public regarding angling and boating opportunities, to reduce barriers to participation in these activities, to advance adoption of sound fishing and boating practices, to promote conservation and the responsible use of the nation's aquatic resources, and to further safety in fishing and boating.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project work should occur within the scope of the Strategic Plan for the Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998, or if work is to be conducted outside of the range, the proposal should show a clear relevance to the Strategic Plan. Applied research projects should address specific management needs and actions.

Applicant Eligibility:

Participation limited to applicant with expertise to conduct work within the scope of the Strategic Plan for the Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998, or if work is to be conducted outside of the range, the proposal should show a clear relevance to the Strategic Plan.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

To apply for grant funds, the applicant must provide documentation of marketing expertise in Sport fishing and Boat Safety and submit an online application through Grants.gov.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications may be submitted online using Grants.gov and must contain the information and meet the requirements outlined in the Program Guidelines and Application Kit. The standard application forms including the SF 424 as furnished by the Federal agency will be used for this program.

Award Procedure:

Funding recommendations, based upon a process outlined in the funding announcements, will be forwarded to the Awarding Official. Applicants will be notified by Awarding Official.

Deadlines:

Submission dates will be detailed in the funding announcement posted on the Grants.gov website.

Range of Approval/Disapproval Time:

Within 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program does not have statutory matching requirements, but does encourage matching funds or in-kind contributions.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12-month budget periods.

Reports:

The recipient shall submit quarterly progress reports detailing activities for the reporting periods. An SF 269 will be submitted with the quarterly report detailing expenditures made during the period. An annual report is to be submitted summarizing accomplishments, as well as, include an explanation of any milestones not accomplished, and one copy of all printed products and news articles published about the project. A final report is to be submitted summarizing accomplishments, as well as, include an explanation of any milestones not accomplished.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for three years after submission of the financial report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Grants) FY 07 \$12,000,000; FY 08 \$13,400,000; and FY 09 est \$13,000,000.

Range and Average of Financial Assistance:

The previous FY average award was \$12,000,000.

PROGRAM ACCOMPLISHMENTS:

Awards under the National Outreach and Communication Program supported boating, fishing and aquatic demographics research; workshops to assist State agencies with marketing plans; and other education and public safety activities. The number of projects identified and funded annually varies depending on program priorities and funds availability.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the U.S. Fish and Wildlife Service programs is available at: <http://fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located

at <http://www.whitehouse.gov/omb/circulars/index.html>,
<http://www.doi.gov/pam/financialassistance.html>.

Regional or Local Office:

None.

Headquarters Office:

Recreational Boating and Fishing Liaison, 4401 N. Fairfax Drive, MS-3103-AEA, Arlington, VA 22203. Telephone: (703) 358-2435.

Web Site Address:

<http://www.fws.gov/conservationpartnerships/>.

RELATED PROGRAMS:

15.605, Sport Fish Restoration Program; 15.616, Clean Vessel Act; 15.622, Sportfishing and Boating Safety Act; 15.628, Multistate Conservation Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Each grant application will be reviewed for documentation required in the Program Guidelines and Application Kit.

15.654 VISITOR FACILITY ENHANCEMENTS - VEHICLE PULLOUTS, INTERPRETIVE TRAILS, AND KIOSKS

(Turnout Program)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); and National Wildlife Refuge System Administration Act, 16 U.S.C. 668dd.

OBJECTIVES:

To encourage partnerships with interested parties to enhance wildlife viewing opportunities for the public. Projects must conserve, protect, and enhance fish, wildlife and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for approved projects on Service lands that enhance wildlife viewing opportunities for the public while providing access in the form of interpretive pullouts, trails, and interpretive kiosks which provide a public benefit. Details for each funding opportunity is posted on www.Grants.gov.

Applicant Eligibility:

Applicants may be State and local governments, private, public, nonprofit organizations, institutions and private individuals, which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

A Standard Form 424 and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined in the funding opportunity), objectives, time frame, and a budget breakdown. No State plan is required with this application. Note that the USFWS may circulate proposals to others for review.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or designee approves or disapproves submitted proposals. Criteria for selection are outlined in the funding announcement and application package posted to Grants.gov. Successful applicant(s) will be notified after selection and confirmation of available funding. An applicant(s) should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

The deadline will be publicized in the Grants.gov announcement.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula and no matching requirements. FWS encourages recipients to consider cost sharing.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five-year restriction on the length of time is due to the period of availability of funds, which is limited to the five-year rule.

Reports:

Recipients of funding are required to submit quarterly financial reports using form SF-269, and semi-annual performance reports 30 days following the end of each reporting period. Final performance and financial reports are due 120 days after the end date of the grant performance reports.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend Financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1612-0-1-303; 14-1611-0-1-303.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The previous FY average award was \$102,000.

PROGRAM ACCOMPLISHMENTS:

USFWS Region 6 has provided funding for tour routes, kiosks, vehicle pull-outs, scenic overlooks, interpretive signage, etc. The number of projects funded and funding levels depend on available funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

U.S. Fish and Wildlife Service, Department of the Interior. Contact: Assistant Director, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670, Arlington, VA 22203. Telephone: (703) 358-1744; Fax: (703) 358-2248.

Web Site Address:

www.fws.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Construction of a highway pullout including interpretive exhibits to allow the traveling public to observe resident wildlife populations on refuges.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the lasting effect on conservation, enhancement, and public enjoyment of wildlife and habitats.

15.655 MIGRATORY BIRD MONITORING, ASSESSMENT AND CONSERVATION

(Migratory Bird)

FEDERAL AGENCY:

FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

To maintain, enhance, and better understand the ecology and habitats of migratory birds species.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act. Special emphasis will be placed on bird species listed in USFWS conservation and management priorities documents (e.g. USFWS Birds of Conservation Concern 2002, Focal Species list). Implementing national, regional, flyway Bird Conservation Region, and state-level bird conservation plans (e.g., Partners in Flight North American Landbird Conservation (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>) North American Waterbird Conservation Plan (<http://birdhabitat.fws.gov/NAWMP/nawmphp.htm>) are among the Service's highest priorities. Projects related to the priorities identified in these plans will receive the greatest funding consideration. Projects should

address one or more of the following activities: a) Population surveys and monitoring to determine the status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this program; b) Applied research, including but not limited to, studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques and programs for poorly-surveyed species; and use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; c) Compilation of technical information, such as status reviews for bird species of concern, development of habitat management and restoration guidelines, and other outreach and education tools. Habitat management projects are generally not covered under this program.

Applicant Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization; or Native American Organization.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization; Anyone/General Public; Native American Organization; Education Professional; Student/Trainee; Graduate Student; Scientist/Researcher; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; or Land/Property Owner.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Projects and informal or formal proposals may be requested by the Regional or National Migratory Bird Program office directly from appropriate eligible applicants. These funding opportunities will be posted at www.grants.gov and will clearly outline the required forms or supplements (e.g. SF-424, full budget, etc.). Unsolicited project proposals from eligible applicants may also be submitted for consideration to Regional or National offices. Projects may also be mutually developed by the Regional or National office and appropriate eligible applicants. All projects contain mutually agreed upon deliverables and funding.

Award Procedure:

The Migratory Bird Program Chief reviews and approves conservation projects based on proposals meeting the objectives. Once a proposal is accepted and mutually agreed upon deliverables and funding are approved, a formal agreement is written. Upon signing both parties, work can begin.

Deadlines:

Deadlines vary according to the funding opportunity announcements.

Range of Approval/Disapproval Time:

Decisions on funding a proposal are usually made no later than 180 days after receipt of the proposal. However, funding opportunities may present themselves at a later opportunity at which time projects may be reconsidered.

Appeals:

None.

Renewals:

An award may be modified at the discretion of the issuing Regional or National office.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The period of performance of awards will vary based on the funding opportunity and appropriations.

Reports:

All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress reports are generally required. Financial reports using form SF-269 and final performance reports on the project are required. Details for project reporting are contained in the final project agreements. All project reports may be evaluated and reviewed by project supervisor and his or her appointees, including but not limited to outside sources such as other appropriate federal staff at national and regional levels, state and local government employees, and other individuals with project area expertise,

to insure quality and fulfillment of obligation.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superceding regulations if required by the agency. In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance \$500,000 (for fiscal years ending after Dec. 1, 2003) or more a year in Federal awards will have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 (for fiscal years ending after Dec. 1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Cooperative Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

FY 07 \$2,278,500; FY 08 est \$2,205,000; and FY 09 est \$1,908,600.

Range and Average of Financial Assistance:

\$500 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

USFWS Regional Migratory Bird offices have provided monetary assistance to recipients for migratory bird conservation projects since the late 1980s. These projects have addressed the general activities outlined under the Uses section above. The number of projects funded and dollars provided varies annually, depending upon annual appropriations and other program priorities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information about the Federal financial assistance regulations and relevant OMB circulars is located on <http://www.whitehouse.gov/omb/circulars/index.html>. Guidelines and literature on the U.S. Fish and Wildlife Service programs is located at <http://www.fws.gov/migratorybirds/>.

Regional or Local Office:

Additional information is available from Regional and National Migratory Bird Offices as listed in Appendix IV of the Catalog.

Headquarters Office:

None.

Web Site Address:

<http://www.fws.gov/migratorybirds/>.

RELATED PROGRAMS:

15.635, Neotropical Migratory Bird Conservation; 15.637, Migratory Bird Joint Ventures; 15.642, Challenge Cost Share; 15.647, Migratory Bird Conservation; 15.649, Service Training and Technical Assistance (Generic Training); 15.650, Research Grants (Generic); 15.812, Cooperative Research Units Program; 47.074, Biological Sciences.

EXAMPLES OF FUNDED PROJECTS:

Aerial Surveys of Waterfowl and other Migratory Birds in the Central and Western Arctic (\$46,300); Analysis of Banding Data (\$95,000); Assessment of Buff-breasted Sandpiper Toxicology Levels during migration (\$118,000).

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed to determine how well they address the migratory bird program priorities outlined above, including relevance to bird conservation plan priorities, conservation and management priority species, and the bird conservation activities listed above. Projects will also be evaluated for their biological and statistical soundness, feasibility, geographic scope of applicability, and cost effectiveness. Projects may also be evaluated and reviewed by outside sources including but not limited to other appropriate federal staff at national and regional levels, state and local government employees, and other individuals with project area expertise before selection is approved to insure cost effectiveness, biological and statistical methodology, and feasibility. Projects involving matching funds, in-kind services, materials, and equipment from other agencies and organizations may be given greater consideration for funding than similar projects without matching resources.

15.805 ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES

(Water Research Institute Program)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Water Resources Research Act of 1984, Section 104, Public Law 98-242 as

amended, 42 U.S.C. 10301 et seq.

OBJECTIVES:

To provide financial support to Water Resources Research Institutes located at designated State universities in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Federated States of Micronesia for research, information transfer and student training on one or more aspects of priority water problems.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

This is a mandatory grant program to provide the State institutes with funds to support a State and regional program of water resources research and information transfer. This may include basic or applied research, setting up conferences to discuss research needs or results, conduct of research, information dissemination, and training of students as a byproduct of research. In general the funds are used to study regional, State, or local water problems, but the results may often have national significance. Federal funds cannot be used for (1) formal instructional activities and general education, and (2) the cost of permanent buildings, either directly or indirectly.

Applicant Eligibility:

One University Water Research Institute is authorized in each State and other jurisdictions specified in Section 104. Other colleges and universities within a State are encouraged to participate in the program in cooperation with the designated institute.

Beneficiary Eligibility:

Researchers at qualified universities and colleges in the State through the designated institute for the State.

Credentials/Documentation:

Proposed Research and Information Transfer Program application responsive to instructions issued by the U.S. Geological Survey.

Preapplication Coordination:

Requires each Institute to collaborate with State interests in defining research priorities and to coordinate with other institutes in region to avoid duplication of effort. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110 and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. State institutes must submit proposed annual programs on the Internet at <https://niwr.net>, as required by the individual program announcement.

Award Procedure:

Geological Survey approves or rejects, in part or as a whole, the program submitted by each State institute. The State institutes are notified of any amendments necessary to obtain Geological Survey approval.

Deadlines:

For fiscal year 2009, applications under the formula grant portion must be filed on the Internet at <https://niwr.net> not later than January 15, 2009.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Deficiencies noted by the U.S. Geological Survey can normally be corrected by the State institutes.

Renewals:

None.

Formula and Matching Requirements:

Amount to each State Water Research Institute under the formula grant is on a basis of one Federal share to not less than two nonfederal shares for fiscal year 2008.

Length and Time Phasing of Assistance:

Assistance is on fiscal year basis. Payments are advanced at least quarterly upon application and consistent with Financial Plan submitted previously by institute for use of grant.

Reports:

Each State institute makes a final report on accomplishments with grant, including synopses of research projects.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Directors of the State institutes are responsible for conduct of programs and maintaining books and records reflecting financial and technical transactions of

the program. Records shall be retained and made available for audit for three years after allottee's last disbursement of funds.

Account Identification:

14-0804-0-1-306.

Obligations:

(Grants) FY 07 \$5,171,000; FY 08 \$5,985,179; and FY 09 est not available.

Range and Average of Financial Assistance:

\$92,335 to \$277,005, \$95,755 under the formula grant program; \$61,312 to \$242,508, \$162,883 under the competitive grant program.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2007 and 2008, 54 applications were received and approved under the formula grant portion of the program. In fiscal year 2007, approximately 60 applications were received under the competitive grant portion of the program and 5 grants were awarded using FY 08 funds. Proposals were not sought under the competitive grant program in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rule, 30 CFR Part 401, 50 FR 27203, June 7, 1993; U.S. Geological Survey Request for Applications under the Water Resources Research Institute Grant Program (Section 104), Announcement 06HQPA0002, October 2007 at <https://niwr.net>.

Regional or Local Office:

None.

Headquarters Office:

Department of the Interior, Geological Survey, MS 424 National Center, Reston, VA 20192. Contact: John Scheffer, Chief, Office of External Research. Telephone: (703) 648-6800. Fax: (703) 648-5070. Email: scheffer@usgs.gov.

Web Site Address:

<http://water.usgs.gov/wri/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples include: Model Development for Conjunctive Use Planning and Aquifer Protection in Semi-arid Regions; Assessing the Effectiveness of Local Water Institutions in Water Management; Characterizing sources and growth potential of indicator bacteria in cold region streams; Treatment of Nitrate in Groundwater with Autotrophic Bioreactors; Imperial Valley Agriculture and Water: A Regional Economic Analysis; Mechanisms and Modeling of Soft-Bed Nutrient Release in Lakes; The Flow Dimension of Groundwater Resources in Northeastern Illinois. Descriptions of projects funded recently are provided on the Internet at <http://water.usgs.gov/wri/>.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to announced priorities, technical merit, prospect of attaining objectives in period specified and potential for student training.

15.807 EARTHQUAKE HAZARDS REDUCTION PROGRAM

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

42 U.S.C. 7701 et seq.

OBJECTIVES:

To support earthquake hazards research in order to develop information, knowledge, and methods relevant to the major Earthquake Hazards Program elements: national and regional earthquake hazards assessments; research related to earthquake information, monitoring, and notification; research on earthquake occurrence, physics, and effects; and earthquake safety policy. Specific objectives and research tasks identified as measures of progress towards the goals of these program elements are described in greater detail in the Research Priorities section of the annual Program Announcement.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Specific research objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Colleges, universities, profit-making, and nonprofit organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above

regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Links to USGS program announcements are available through the internet site <http://www.usgs.gov/contracts>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a contracting officer.

Deadlines:

The application period for grants is generally open from mid-February until mid-May each year. Deadline for proposals for funding in fiscal year 2009 was May 16, 2008.

Range of Approval/Disapproval Time:

From 5 to 9 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

No statutory requirement. Recipients are encouraged to share in the cost of each research project.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in 12 or 36 month budget periods.

Reports:

Progress reports covering 12 months of activities and final technical and financial reports are required, and must be submitted electronically as described in the award package.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Grants and Cooperative Agreements) FY 07 \$12,800,000; FY 08 \$13,138,000; and FY 09 est \$9,800,000.

Range and Average of Financial Assistance:

\$6,000 to 1,300,000; average \$75,000.

PROGRAM ACCOMPLISHMENTS:

The National Earthquake Hazards Reduction Program has funded projects covering recent tectonics and earthquake potential, research on earthquake occurrence and effects, and evaluation of regional and urban earthquake hazards. During fiscal year 2007, 92 out of 195 applications received funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Lemersal, External Research Support Manager, Earthquake Hazards Program Office, Geologic Division, Geological Survey, 905 National Center, Reston, VA 20192. Telephone: (703) 648-6701. Use same number for FTS. Fax: (703) 648-6642. E-mail: gd-erp-coordinator@usgs.gov.

Web Site Address:

<http://earthquake.usgs.gov/research/external/>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.050, Geosciences.

EXAMPLES OF FUNDED PROJECTS:

Source zone characteristics; Earthquake monitoring and forecasting experiments; Induced seismicity studies; and Fault zone studies; and Seismic zonation and engineering studies.

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists and engineers drawn from government, universities, and private industry evaluate all grant applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.808 U.S. GEOLOGICAL SURVEY- RESEARCH AND DATA COLLECTION

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Organic Act of 1879, 43 U.S.C. 31, 43 U.S.C. 36c.

OBJECTIVES:

To support research complementary to USGS program efforts in classification of the public lands and examination of the geological structure, water, mineral, and biological resources, and products of the national domain. This entry covers a variety of USGS assistance awards not covered by any other CFDA program entries. Awards are typically supported by funding from internal projects and programs, and funds are not separately budgeted or reserved for external projects or proposals under this entry.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Applications must be for scientific research projects which are within the Survey's area of responsibility. The majority of funds are used to support research that will enhance existing, long-term collaborative projects. Funds can be used for attendance at national conferences for the dissemination of project results. Further, conferences and symposia will be supported only if it is clear that equivalent results cannot be obtained at regular meetings of professional societies. Office furniture, office equipment, and foreign travel are not normally considered for support.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions organizations, and State and local governments may make application for support by a named principal investigator. Due to limited availability of funds to support new external projects, consultation with USGS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Preapplication Coordination:

Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

These are typically individually evaluated and funded projects; there is no annual program announcement, prospectus or other invitation for applications. The standard application form SF 424 and other forms required by OMB Circular Nos. A-102 and A-110, or their successor(s), and the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or its revisions, must be used. See also <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a contracting officer.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not applicable except that application may be revised and resubmitted.

Renewals:

None.

Formula and Matching Requirements:

Generally, no statutory requirement. Recipients are encouraged to share in the cost of each research project. Appropriations language requires cooperating State and local governments to contribute at least one-half the cost of certain cooperative water resources investigation and cooperative mapping projects.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12 month budget periods.

Reports:

Annual and final technical and financial reports are required.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are

subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

FY 07 \$41,000,000; FY 08 est \$41,000,000; and FY 09 est \$41,000,000.

Range and Average of Financial Assistance:

\$1,000 to \$971,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 for this category approximately 270 grants and cooperative agreements were made to support the Geological Survey's earth science research mission. Approximately the same number is projected for fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS Guide for Submission of Unsolicited Proposals is available at: <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

Contact the appropriate regional office, depending on the location of the study. For Alabama, Connecticut, District of Columbia, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, and Wisconsin, write: Regional Director for Eastern Region, U.S. Geological Survey, 12201 Sunrise Valley Drive, MS 150, Reston, VA 20192. Telephone (703) 648-4582. For Arkansas, Colorado, Iowa, Kansas, Louisiana, Minnesota, Missouri, Montana, Nebraska, New Mexico, North Dakota, Oklahoma, South Dakota, Texas and Wyoming, write: Regional Director for Central Region, U.S. Geological Survey, Denver Federal Center, Denver, CO 80225. Telephone: (303) 202-4740. For Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, and Washington, write: Regional Director for Western Region, U.S. Geological Survey, 345 Middlefield Road, Menlo Park, CA 94025. Telephone: (650) 329-4011.

Headquarters Office:

This is not a single formal program; awards are not centrally managed or coordinated. Refer to Regional or Local Office contacts.

Web Site Address:

<http://www.usgs.gov/contracts>.

RELATED PROGRAMS:

47.050, Geosciences.

EXAMPLES OF FUNDED PROJECTS:

Support for various boards and committees of the National Academy of Science, National Coal Resources Data System, <http://energy.er.usgs.gov/NCRA>; support for collaborative coastal and marine geology studies (Woods Hole, MA and St. Petersburg, FL), collaborative volcano monitoring, and continuing support of university observatories and earth science research facilities.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.809 NATIONAL SPATIAL DATA INFRASTRUCTURE COOPERATIVE AGREEMENTS PROGRAM

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Organic Act of March 3, 1879, 43 U.S.C. 31; Executive Order 12906, April 13, 1994.

OBJECTIVES:

To improve the efficient discovery, access, transfer and use of geospatial data among organizations among and between Federal, state, and local government; academia; and profit and nonprofit organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Proposals from State and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations and Federally

acknowledged or state-recognized Native American tribes or groups are invited.

Beneficiary Eligibility:

Agencies and organizations participating in the project, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form SF 424, 424-A, 424-B, and Department of the Interior Certifications and Representations must be used.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a contracting officer.

Deadlines:

December 16, 2008 for FY 2009 proposals.

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There is no statutory formula, however, the recipients are expected to cost-share depending upon the category of project up to 100 percent of the amount of funding received from the Federal government. For the FY 2008 the matching requirements were as follows: Category 1-50%, Category 2-50%, Category 3-50%, Category 4-100%, Category 5-100%, and Category 6-50%.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods.

Reports:

Semi-annual and final technical report, formal oral presentation, and second and third year follow-up reports.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. For profit organizations, principles for determining the allowableness of cost will be in accordance with the FAR, subchapter E, part 31.2. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-300.

Obligations:

(Cooperative Agreements) FY 07 \$1,318,636; FY 08 \$1,164,220; and FY 09 est \$1,300,000.

Range and Average of Financial Assistance:

\$25,000 to \$100,000; average \$48,500.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 25 cooperative agreements, were awarded to support the NSDI. 29 agreements were awarded in fiscal year 2007. 24 agreements were awarded in fiscal year 2008. For more information see <http://www.fgdc.gov/grants>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Vol. 60, No. 242, December 18, 1995, page 65064; Commerce Business Daily announcement January 25, 1996, Section T, program Announcement No. 8187; internet URL <http://www.fgdc.gov/funding/cap2002.html>.

Regional or Local Office:

Brigitta Urban-Mathieux, FGDC CAP Coordinator; Telephone: (703) 648-5175, Fax: (703) 648-5755, burbanma@fgdc.gov.

Headquarters Office:

Geological Survey, National Center, Federal Geographic Data Committee Secretariat, 12201 Sunrise Valley Drive, Mail Stop 590, Reston, VA 20192. Telephone: (703)648-4150, Fax: (703)648-5755, or E-mail: gdc@usgs.gov.

Web Site Address:

<http://www.fgdc.gov/grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Project support implementation of different aspects of the National Spatial Data Infrastructure such as: implementation of metadata standards through training

and outreach assistance; implementation of framework standards; strategic and business plan development in support of the NSDI Future Directions Fifty States Initiative; collaboration between organizations in the U.S. and Canada to maintain and share geospatial data over a common geography; and assisting organizations and consortia to develop and provide access to geospatial information that serves community needs. See the FGDC website (<http://www.fgdc.gov>) for summaries of funded projects.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated through formal review panels. Proposals will be evaluated on the quality and relevance of the project to the NSDI; the technical approach taken to implement the project; the level and diversity of participation and the applicant's experience and competence to perform the proposed effort successfully; and the extent to which the applicant shows evidence of a capability and willingness to continue and build upon the project when the agreement period ends.

15.810 NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM

(STATEMAP and EDMAP)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

National Geologic Mapping Reauthorization Act of 1999, Public Law 106-148.

OBJECTIVES:

To produce geologic maps of areas in which knowledge of geology is important to the economic, social, or scientific welfare of individual States. STATEMAP supports: (1) projects which produce new geologic maps with attendant explanatory information including correlation of map units, description of map units and symbols, stratigraphic columns, geologic sections, and other pertinent information; and (2) projects which compile existing geologic data in a digital form at a scale of 1 to 100,000 for inclusion in the National Digital Geologic Map Database. OBJECTIVES OF EDMAP: Provide funding for graduate students in academic research programs, through cooperative agreements, that involve geologic mapping and scientific data analysis as major components; expand research and educational capacity of graduate programs that teach earth science students the techniques of geologic mapping and field data analysis; and facilitate the publication and distribution of geologic maps generated in field-based graduate academic research programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

STATEMAP Two types of projects are supported by this program: (1) those which produce new geologic maps with attendant explanatory information, and (2) those which compile existing geologic data in a digital form at a scale of 1 to 100,000 for inclusion in the National Geologic Map Database. Only one application will be accepted from each State, however, each proposal can have four sub-projects; three for new geologic mapping and one for compilation. State proposals cannot include in-kind services by the Geological Survey. Travel to meetings of professional societies is not an acceptable expense for the program, nor are funds intended for the purchase of computer hardware, software, or other nonexpendable property. EDMAP Only one cooperative agreement will be awarded to each academic institution, but the agreement may consist of more than one project, more than one faculty supervisor and several students. Proposals cannot include a request for in-kind services by the Geological Survey. Funds are not intended for the purchase of capital equipment.

Applicant Eligibility:

STATEMAP program is restricted by statute to State geological surveys. Where State surveys are organized under a State university system, an application may be submitted by a State college or university on behalf of the State geological survey. EDMAP program is restricted to universities with geoscience or related departments or programs.

Beneficiary Eligibility:

State geological surveys participating in this program and the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals for STATEMAP must include a letter of support from the State Geologic Mapping Advisory Committee or equivalent to qualify for funding. Proposals for EDMAP must include a letter of support from a State Geologist or USGS Project Chief.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals must include copies of letters of support, figures, tables, and application form SF 424 and 424-B. Proposals including forms cannot exceed 35 pages for STATEMAP and 15 pages for EDMAP.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement signed by a

contracting officer.

Deadlines:

STATEMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP). EDMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP).

Range of Approval/Disapproval Time:

STATEMAP Approval/disapproval will be announced by mid-February.

EDMAP Approval/disapproval will be announced by late February.

Appeals:

None.

Renewals:

STATEMAP Proposals must be submitted for a 1-year project period only. However, projects that were approved and funded during the previous fiscal year may be submitted as renewals (competing continuations). A completely new proposal subject to full review, must be submitted each year. EDMAP Proposals may be submitted which request performance periods of either 1 or 2 years. However, if approved the proposal will be funded for the first year only. Funding for year two will be determined through the annual competitive announcement.

Formula and Matching Requirements:

The STATEMAP program is required by Public Law 106-148 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be contributions of services or cash provided to contractors to perform geologic mapping or other services directly applicable to proposed work on the project. The specific source(s) of the State contribution, such as State legislative appropriation, must be provided in the proposal. The EDMAP program is required by Public Law 106-148 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be a contribution of funds or services. Such services can include those related to the student research project or cash provided to contractors, i.e., field assistants to perform geologic mapping or other services directly applicable to proposed work on the project.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods.

Reports:

STATEMAP and EDMAP All deliverables (geologic maps and accompanying information) are due on or before the last day of the grant year. The recipient of the grant shall use Standard Form (SF) 270, Request for Advance or Reimbursement, to request payment under all resulting awards. The SF-270 shall be submitted to the Administrative Contracting Officer (ACO) whose address will be identified in the award document. Submit the SF-270 no more frequently than quarterly. The recipient shall submit a completed SF-269A, Financial Status Report, to the ACO within ninety (90) days after the end of the performance period.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(STATEMAP) FY 07 \$6,202,882; FY 08 \$6,489,290; and FY 09 est \$6,500,000. (EDMAP) FY 07 \$480,431; FY 08 \$492,365; and FY 09 est \$490,000.

Range and Average of Financial Assistance:

STATEMAP The range of awards to State geological surveys in FY 08 was from \$20,061 to \$228,592. The average award in fiscal year 2007 was \$147,883. EDMAP the range of awards to universities in fiscal year 2007 was from \$5,881 to \$14,972 per student. The average award in fiscal year 2007 was \$12,832. The maximum award allowed per student is \$15,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 45 awards were made to State geological surveys which matched every federal dollar with a State dollar. EDMAP: In fiscal year 2007, a total of 58 students from 30 universities were funded. Each federal dollar was matched 1:1 by a university dollar.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcements are available on the Internet at

<http://www.grants.gov> and <http://www.usgs.gov/contracts>. The FY 2009 announcements will be available at this site by September of 2008.

Regional or Local Office:

None.

Headquarters Office:

Program Coordinator of the National Cooperative Geologic Mapping Program, Randall C. Orndorff, Geological Survey, National Center 908, 12201 Sunrise Valley Drive, Reston, VA 20192.

Web Site Address:

<http://www.ncgmp.usgs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals for both STATEMAP and EDMAP are released in Geological Survey Program Announcements in mid summer and can be obtained from Lydia Quintana, Geological Survey, National Center 908, 12201 Sunrise Valley Drive, Reston, VA 20192, or can be found at <http://www.usgs.gov/contracts>.

15.811 GAP ANALYSIS PROGRAM

(GAP)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., Fish and Wildlife Act of 1956, 16 U.S.C. 742 et seq., Fish and Wildlife Conservation Act, 16 U.S.C. 661 et seq., Omnibus appropriations Act, Public Law 108-7.

OBJECTIVES:

Studies where native animal species and natural plant communities occur and identifies "gaps" in their representation to support conservation of biodiversity.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

GAP is used by Federal agencies, State agencies and other governmental bodies to develop land use or acquisition plans that incorporate information about the presence and distribution of biodiversity. There are no use restrictions.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, State and local governments, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form SF-424, 424-A, and 424-B. Applications must be submitted electronically through www.grants.gov.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a contracting officer.

Deadlines:

The application submission deadline is available on www.grants.gov.

Range of Approval/Disapproval Time:

The timeframe is available on www.grants.gov.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12 month budget periods.

Reports:

Annual and final technical and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

FY 07 \$1,800,000; FY 08 est \$2,100,000; and FY 09 est \$1,500,000.

Range and Average of Financial Assistance:

\$23,745 to \$462,197; Average of \$98,713.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 13 cooperative agreements were awarded to carry out the GAP Analysis Program. The number of agreements in future years is expected to be similar.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcement is available on the Internet at <http://www.gap.analysis.nbii.gov> and www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Program Coordinator of the National GAP Program, 530 S Asbury Suite 1, Moscow, ID 83843.

Web Site Address:

<http://gapanalysis.nbii.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In FY 08, the project, "A distributed graduate seminar to analyze the priorities, obstacles and opportunities that exist for the implementation of the U.S. State Wildlife Action Plans" was funded. Please see website for additional projects and information.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications submitted. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Generally, applications will be funded only to the extent permitted by available funds.

15.812 COOPERATIVE RESEARCH UNITS PROGRAM

(CRUP)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Cooperative Research Units Act, Public Law 86-686, Sec. 1, Sept. 2, 1960, 74 Stat. 733, as amended by the Fish and Wildlife Improvement Act of 1978, Public Law 95-616, Sec. 2, Nov. 8, 1978, 92 Stat. 3110.

OBJECTIVES:

To work in partnership with States and universities to address the information needs of local, State, and Federal fish, wildlife, and natural resource agencies through research, technical assistance, and education.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Authority is limited to research with an educational component conducted through one of 40 Cooperative Fish and Wildlife Research Units and their host universities. Awards are limited to information needs related to fish, wildlife, ecology, and natural resources of interest to program cooperators and partners.

Applicant Eligibility:

Universities hosting Cooperative Fish and Wildlife Research Units established under authority of the Cooperative Research Units Act of 1960.

Beneficiary Eligibility:

Federal, State and local governments, industry and public decision makers, research scientists, State institutions of higher education, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS.

Preapplication Coordination:

Requires each research project to be conducted in collaboration and cooperation with USGS personnel stationed at Cooperative Fish and Wildlife Research Units, and cooperating agency representatives. Discussions to determine interest and appropriateness of research projects for a Cooperative Fish and Wildlife Research Unit are required before proposals are submitted. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form Standard Form (SF) 424 must be used. This program is subject to the provisions of OMB Circular No. A-110, or its successor, and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a Research Work Order document signed by a contracting officer.

Deadlines:

Established annually.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

Not applicable. The application may be revised and resubmitted.

Renewals:

None. All projects have a limited duration, not to exceed 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Project duration may not exceed 5 years. Funds are released as required.

Reports:

Specified in the conditions of each award, but typically have annual and final reports as well as other products.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Research Work Orders funded with reimbursable funds provided by other Federal programs) FY 07 \$15,405,511; FY 08 est \$16,000,000; and FY 09 est \$16,000,000. (NOTE: No funds appropriated to the program are set aside or are separately identifiable for project grants.)

Range and Average of Financial Assistance:

\$5,000 to \$615,000; \$33,660.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 414 Research Work Orders were active at cooperating universities. The number of Research Work Orders active in FY 2009 is expected to be about 414.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided to each of the 40 universities participating in the Cooperative Research Units Program.

Regional or Local Office:

None.

Headquarters Office:

Inquiries regarding the Cooperative Research Units program may be addressed to the Cooperative Research Units, 12201 Sunrise Valley Drive, Mail Stop 303, Reston, VA 20192. Point of Contact (POC): Dr. Kevin G. Whalen, Deputy Chief, Telephone: (703)648-4062, FAX: (703)648-4269, E-mail: kwhalen@usgs.gov.

Web Site Address:

<https://www.coopunits.org>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Development and assessment of Adaptive Management methods; lamprey and salmonid migration and survival past dams; grassland birds following Hurricane Katrina; king rail habitat models; risk of fish disease introductions; biomarkers of fish endocrine disruptors; effects of commercial harvest on shovelnose sturgeon; human disturbance effects on warbler behavior; fish and amphibian conservation genetics; invasive species control techniques; use of UAV systems for natural resource management; climate change and water management effects in ridge-slough mosaics; hierarchical soil nutrient mapping for improved ecosystem change detection; endangered/threatened species research; factors effecting spread of CWD; effects of contaminants and toxins on fish; blood fractions as indicators of protein balance in reindeer and caribou; modeling the dynamics of avian influenza in wild birds; assessment of wildlife vulnerability to energy development.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues of concern to federal agencies and be acceptable to local program cooperators and universities hosting Cooperative Fish and Wildlife Research Units. The Unit Leader of each Unit must determine this before the Unit and its host university submits a proposal to USGS. Projects must be for research and have educational components for graduate training.

15.813 COOPERATIVE RESEARCH UNITS TRAINING PROGRAM

(CRUTP)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Organic Act of 1879, 20 U.S.C. 1060.

OBJECTIVES:

To provide financial support to Minority Serving Institutions (MSIs) to introduce a culturally diverse group of students, primarily undergraduates, to the fields of freshwater fisheries and aquatic sciences and train them for careers in the natural resources professions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide MSIs with funds to offer training opportunities supporting the program objectives in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the grants program of the USGS.

Applicant Eligibility:

Accredited 4-year Historically Black College or Universities (HBCUs) under Title III Part B of the Higher Education Act of 1965, as amended (20 U.S.C. 1060 et seq.) with program studies in the freshwater fisheries or aquatic sciences.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; who maintain satisfactory academic progress in accordance with the eligibility standards and practices of the grantee institution.

Credentials/Documentation:

Proposed program application responsive to instructions issued by the U.S. Geological Survey.

Preapplication Coordination:

Discussions regarding funding availability and program interests are strongly encouraged as limited funding is available for new projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form Standard Form (SF) 424 Application for Federal Assistance must be used. This program is subject to the provisions of OMB Circular No. A-110, or its successor, and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. Applicant must submit proposed projects on <http://www.Grants.gov> as required by the individual program announcement.

Award Procedure:

A panel of CRU scientists and program managers that may include external peers will review the proposals. All proposals will be evaluated and rated in accordance with established criteria. Final award determination will be made by the CRU project officer. Notification of an award is by signed grant document from a USGS contracting officer.

Deadlines:

Applicants are to submit proposals electronically at <http://www.grants.gov>. Applications will be due approximately 15 days following the release of the Program Announcement. Details regarding the release date of the Program Announcement may be obtained by contacting the office specified in Section 152.

Range of Approval/Disapproval Time:

2 to 4 months.

Appeals:

Not applicable. The application may be revised and resubmitted.

Renewals:

Not applicable. All projects have a limited duration not to exceed 5 years.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are normally awarded in, but not restricted to, 12 month budget periods. Project duration may not exceed 5 years.

Reports:

Recipients shall be required to submit SF-269 Financial Status Report, SF-272 Federal Cash Transaction Report, and an Interim Report providing annual progress of the project and a Final Report which documents and summarizes the results of the entire project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
Grantees are required to maintain records for 3 years after submission of the final financial report.

Account Identification:
14-0804-0-1-300.

Obligations:
FY 07 \$150,000; FY 08 \$150,000; and FY 09 est \$150,000.

Range and Average of Financial Assistance:
\$100,000 to \$180,000, \$150,000.

PROGRAM ACCOMPLISHMENTS:
Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:
USGS guide for submission of proposals is available to:
<http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:
Not applicable.

Headquarters Office:
Inquiries regarding the Cooperative Research Units Training Program may be addressed to the Cooperative Research Units, 12201 Sunrise Valley Drive, MS303, Reston, VA 20192. POC: Dr. Kevin G. Whalen, Deputy Chief (703)648-4062, E-mail: kwhalen@usgs.gov.

Web Site Address:
www.usgs.gov.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Relevance to announced priorities, technical merit, prospect of attaining objectives in period specified and potential for student training.

15.814 NATIONAL GEOLOGICAL AND GEOPHYSICAL DATA PRESERVATION PROGRAM

FEDERAL AGENCY:
GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:
The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.

OBJECTIVES:
To preserve and provide access to geological, geophysical, and engineering samples (and any related data) that have been extracted from the Earth to improve the breadth of information available, to inform science and decision making now and in the future.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
Specific objectives are identified in the annual program announcement.
Applications for proposals not covered by one of the objectives are not solicited.

Applicant Eligibility:
State geological surveys are eligible to apply. For State geological surveys organized under a State university system, such universities may submit a proposal on behalf of or through the State geological survey.

Beneficiary Eligibility:
Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Links to USGS program announcements are available through the internet site <http://www.usgs.gov/contracts/>.

Award Procedure:
Notification of an award is by a grant document signed by the contracting office.

Deadlines:
Please contact program office for application deadline.

Range of Approval/Disapproval Time:
Three to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:
None.

Renewals:
None.

Formula and Matching Requirements:
In order to gain the greatest leverage of limited Federal and State funds, each

proposed State-originated program must show a 1:1 Federal/State match of direct-and indirect-cost dollars.

Length and Time Phasing of Assistance:
Grants will normally be awarded in 12-month budget periods.

Reports:
Quarterly financial reports and final technical and financial reports are required and must be submitted as described in the award terms and conditions.

Audits:
Applicants agree to give the sponsoring agency, the Comptroller General, or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:
Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:
14-0804-0-1-306.

Obligations:
(Grants) FY 07 \$180,000; FY 08 \$500,000; and FY 09 est \$500,000.

Range and Average of Financial Assistance:
In FY 07: \$5,000. In FY 08: \$5,000 to \$60,000.

PROGRAM ACCOMPLISHMENTS:
In FY 07, 36 states applied for and received funds to inventory their collections.

REGULATIONS, GUIDELINES, AND LITERATURE:
None.

Regional or Local Office:
None.

Headquarters Office:
Department of the Interior, Geological Survey, MS 911 National Center, Reston, VA 20192. Contact Tamara Dickinson, Program Coordinator, Office of the Chief E-mail: tdickinson@usgs.gov.

Web Site Address:
None.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
In Fiscal year 2007, State geological surveys had the opportunity to apply for \$5,000.00 from National Geological and Geophysical Data Preservation Program to inventory their collections. Thirty-six states applied for and were granted these funds.

CRITERIA FOR SELECTING PROPOSALS:
Relevance to announced priorities, technical merit, and prospect of attaining program objectives.

15.815 NATIONAL LAND REMOTE SENSING-EDUCATION OUTREACH AND RESEARCH

(National Cooperative Geographic Information System)

FEDERAL AGENCY:
GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:
Public Law 110-161, Organic Act 1879, 43 U.S.C. 31.

OBJECTIVES:
To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
Specific objectives are identified in the annual program announcement.
Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:
Nonprofit organizations, Public and Private colleges and universities, and State and local governments may make an application for support by a named principal investigator.

Beneficiary Eligibility:
States, research scientists, engineers, education (0-13+) and the general public will ultimately benefit from the program.

Credentials/Documentation:
Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach and research activities.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Links to USGS program announcements are available through the internet site <http://www.usgs.gov/contracts>.

Award Procedure:

A panel of scientists and program managers that may include external peers will review the proposals. All proposals will be evaluated and rated in accordance with established criteria. Notification of an award is by an award document signed by a contracting officer.

Deadlines:

Contact the headquarters for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12 or 24 month budget periods. The project duration is not to exceed 5 years.

Reports:

Quarterly Financial Report, progress reports covering each year's activities and final technical and financial reports are required and must be submitted as described in the award terms and conditions.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

FY 07 \$0; FY 08 \$960,000; and FY 09 est \$960,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Thomas Cecere, Land Remote Sensing Program Office, Geography Division, Geological Survey, 517 National Center, Reston, VA 20192. Telephone: (703) 648-5551. Use the same number for FTS. Fax: (703) 648-5939. E-mail: tcecere@usgs.gov.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The National Land Remote Sensing Education, Outreach and Research Program has funded projects that assure that all users of remotely sensed data have equal, affordable access to the data and can establish the means to conduct research using the data. Additionally, this program has funded projects focusing on K-16 outreach, remote sensing course development for the public, and the tailoring of remotely sensed imagery for State and local scientific and public use.

CRITERIA FOR SELECTING PROPOSALS:

Panel composed of expert scientists and administrators drawn from government evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.816 MINERALS RESOURCES EXTERNAL RESEARCH PROGRAM**FEDERAL AGENCY:**

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

30 U.S.C. 1601 et. seq.

OBJECTIVES:

To conduct research in topics related to non-fuel mineral resources in order to (1) ensure availability of up-to-date quantitative assessments of potential for undiscovered mineral deposits, (2) Ensure availability of up-to-date geoenvironmental assessments of priority Federal lands, (3) ensure availability of reliable geologic, geochemical, geophysical, and mineral locality data for the United States, and (4) ensure availability of long-term data sets describing mineral production and consumption.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement.

Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Colleges, universities, profit-making, and nonprofit organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Research scientists and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This program is subject to the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be announcements are available through the internet site <http://www.usgs.gov/contracts/grants/>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a contracting officer.

Deadlines:

Deadline for proposals for funding in fiscal year 2009 is February 27, 2009.

Range of Approval/Disapproval Time:

From 7 to 9 months.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

No statutory requirement. Recipients are encouraged to share in the cost of each research project.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in 12 or 24 month budget periods.

Reports:

Progress reports covering each year's activities and final technical and financial reports are required and must be submitted electronically as described in the award package.

Audits:

Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

FY 07 \$0; FY 08 est \$115,478; and FY 09 est not available.

Range and Average of Financial Assistance:

\$15,000 to \$151,000; \$60,303.

PROGRAM ACCOMPLISHMENTS:

Since its initiation in 2004, the Mineral Resource External Research Program (MRERP) has awarded more than \$1.8 million to 30 different research projects across the country. The MRERP has funded projects covering mineral deposit genesis, regional controls on minerals systems, mineral environmental characteristics of ore deposits, and materials flow studies.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Jeff Doebrich, Associate Coordinator, Mineral Resources Program, Geological Survey, 913 National Center, Reston VA 20192. Telephone: (703) 648-6103. Use same number for FTS. Fax: (703) 648-6057. E-mail address: mrrerp@usgs.gov .

Web Site Address:

http://minerals.usgs.gov/mrrerp .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Geochronology of mineralizing systems; Characterization of mine waste using state-of-the-art analytical techniques; Reconstruction of structurally dismembered mineral systems; Service lifetimes of mineral end uses.

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists drawn from government, universities, and private industry evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonbleness of the budget.

15.850 INDIAN ARTS AND CRAFTS DEVELOPMENT

FEDERAL AGENCY:

INDIAN ARTS AND CRAFTS BOARD, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Act to Promote the Development of Indian Arts and Crafts as amended; Public Law 74-355, 42 Stat. 891-2, 62 Stat. 759, 25 U.S.C. 305,18 U.S.C. 1158-9; Indian Arts and Crafts Act of 1990, Title I, Sections 101-7; Public Law 101-644, 104 Stat. 4662-5; Indian Arts and Crafts Enforcement Act of 2000, Public Law 106-497, 114 Stat. 2219.

OBJECTIVES:

To encourage and promote the development of American Indian and Alaska Native arts and crafts.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment; Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Program planning assistance, such as the development of innovative educational, production, promotion, and economic concepts related to Native culture. Complaints about imitation American Indian arts and crafts that are misrepresented as genuine handcrafts are referred to appropriate Federal or local authorities for action. The three museums operated by the Board serve Indians and the general public: the Sioux Indian Museum, in Rapid City, South Dakota; the Museum of the Plains Indian, in Browning, Montana; and the Southern Plains Indian Museum, in Anadarko, Oklahoma. Advisory assistance only; no grants or other direct financial assistance is offered.

Applicant Eligibility:

American Indian and Alaska Native individuals and organizations, federally recognized Indian tribal governments, State and local governments, and nonprofit organizations.

Beneficiary Eligibility:

American Indian and Alaska Native individuals and organizations, federally recognized Indian tribal governments, State and local governments, and nonprofit organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit request to headquarters office.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Acknowledgement usually in 14 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Required only if appropriate to the type of assistance offered.

Audits:

Not applicable.

Records:

None.

Account Identification:

14-2100-0-1-999.

Obligations:

(Salaries and expenses) FY 07 \$1,057,000; FY 08 \$1,189,700; and FY 09 est \$1,195,700.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, advisory and other services were extended to the general public and to an estimated 6,500 Native artists and craftsmen on a continuing basis. In addition, the operation of three Indian museums provided professional museum services to over 200,000 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 301, 304, 307, 308, 309, 310. "Fact Sheet: General Information about the Activities of the Indian Arts and Crafts Board." No charge.

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Indian Arts and Crafts Board, Room 4004-Main Interior Building, Washington, DC 20240. Contact: Meridith Z. Stanton, Director. Telephone: (202) 208-3773. Use the same number for FTS.

Web Site Address:

http://www.iacb.doi.gov.

RELATED PROGRAMS:

11.800, Minority Business Enterprise Centers.

EXAMPLES OF FUNDED PROJECTS:

Assistance to an Indian craftsmen's cooperative to plan a series of exhibitions to recognize and promote outstanding work by its members; assistance to an Indian tribe to plan fund-raising to operate a new museum facility; assistance to an Indian craftsman to locate a grant to set aside time for creative experimentation; assistance to an Indian artist to organize, publicize, and professionally install a one-person sales exhibition at an Indian Arts and Crafts Board museum; assistance to an Indian nonprofit organization to develop a comprehensive plan for a multi-facility cultural center.

CRITERIA FOR SELECTING PROPOSALS:

All requests receive a response. The depth and extent of assistance offered depend on: relevance to agency objectives; extent to which American Indians and Alaska Natives have had and will have control over any decision-making involved; artistic and/or professional quality and feasibility of the proposed project; anticipated benefits to American Indian and Alaska Native artists and craftsmen.

15.875 ECONOMIC, SOCIAL, AND POLITICAL DEVELOPMENT OF THE TERRITORIES

FEDERAL AGENCY:

OFFICE OF INSULAR AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188.

OBJECTIVES:

To promote greater self-sufficiency through the social, economic, and political development of the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the commonwealth of the Northern Mariana Islands.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Financial assistance is limited to the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands as well as to organizations whose missions directly benefit these four territories. A notable exception is Compact Impact aid to Hawaii which is mandated by Public Law 108-188. Grant funds are provided for capital improvements, government operations, and technical assistance activities.

Applicant Eligibility:

Eligible applicants are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, as well as organizations whose missions directly benefit these four territories.

Beneficiary Eligibility:

Beneficiaries are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12 Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62, identifies Federal cost principles for determining allowable costs.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102, OMB Circular No. A-110, and E.O. 12372.

Application Procedure:

Applications for technical assistance grants may be submitted at any time. Directed assistance application procedures, which make up the majority of the Office of Insular Affairs' (OIA) financial assistance, vary depending on the purpose of the funding. For capital improvement funds, call letters are sent out annually requesting spending plans from the 4 U.S. territories while government operations awards are made automatically once the funds are appropriated by the U.S. Congress. Direct payments are provided in accordance with laws and reports for Virgin Islands Rum Excise Tax advances and Guam Section 30 Income Tax Collection advances.

Award Procedure:

A grant award is made to each territorial government entity in the specific amounts and for the specific purposes set forth in the annual Department of the Interior and Related Agencies Appropriation Act signed by the President.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There are no formula requirements. The majority of OIA's financial assistance programs do not have a matching requirement. The two exceptions are the American Samoa Operations and Maintenance Set-Aside Program, which has a 50% local match requirement, and OIA's Operations and Maintenance Improvement Program (OMIP). However, OMIP match requirements are left to the discretion of the grant manager and may be waived should the application warrant it.

Length and Time Phasing of Assistance:

The period of performance for OIA grants is dependent on the activity to be funded and is specified in the grant terms and conditions. Payments are generally made through wire transfer arrangements.

Reports:

Reports that are required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," must be submitted on a timely basis as specified in the grant terms and conditions. Final reports are due within 90 days of grant completion or termination.

Audits:

In accordance with the provisions of OMB Circular A-133, (Revised, June 27, 2003) "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. Grants are also subject to inspection and audit by Interior's Office of the Inspector General, OIA staff, or any other authorized representative of the Federal government.

Records:

Record retention requirements are the same as those contained in 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

Account Identification: 14-0412-0-1-806; 14-0414-0-1-806.

Obligations:

FY 07 \$225,892,000; FY 08 est \$223,475,000; and FY 09 est \$209,621,000.

Range and Average of Financial Assistance:

OIA financial assistance awards range from a few thousand dollars to tens of millions.

PROGRAM ACCOMPLISHMENTS:

OIA financial assistance has enabled the U.S. territories to develop economically, socially, and politically thereby fostering their self-sufficiency.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

The Budget and Grants Management Division or the Technical Assistance Division, Office of Insular Affairs, Department of the Interior, 1849 C Street, N.W., Washington, DC 20240. Telephone: (202) 208-6971 and Fax: (202) 208-

7585.

Web Site Address:

<http://www.doi.gov/oia>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Operational support to the American Samoa Government and grants for the construction of basic infrastructure including roads, water systems, power, sewer, schools, and hospitals.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded:

(1) Directed appropriations, (2) Availability of funds; and (3) Relevance to program priorities.

15.904 HISTORIC PRESERVATION FUND GRANTS-IN-AID**FEDERAL AGENCY:**

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, 16 U.S.C. 470 et seq.

OBJECTIVES:

To provide matching grants to States for the identification, evaluation, and protection of historic properties by such means as survey, planning technical assistance, acquisition, development, and certain Federal tax incentives available for historic properties; to provide matching grants to States to expand the National Register of Historic Places, (the Nation's listing of districts, sites, buildings, structures, and objects significant in American history, architecture, archaeology, engineering and culture at the National, State and local levels) to assist Federal, State, and Local Government agencies, nonprofit organizations and private individuals in carrying out historic preservation activities; and to provide grants to Indian Tribes and Alaskan Native Corporations to preserve their culture.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Matching grants can directly finance State staff salaries, equipment, and materials, and travel necessary to accomplish program purposes. States may transfer funds to third parties to carry out historic preservation activities such as surveys, preservation plans, National Register nominations, architectural plans and specifications, historic structures reports, and engineering studies necessary to restore properties listed on the National Register of Historic Places, and for acquisition or repair of these properties. Development projects must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). These treatments are preservation, restoration, rehabilitation, and reconstruction. Major reconstruction is not eligible. Other activities must meet the applicable Secretary of the Interior's Standards for Archeology and Historic Preservation. In 2004, the amount appropriated from the Historic Preservation Fund for financial assistance to the States, Territories, and the Freely Associated States of Micronesia, was \$35 million, with an additional \$3 million for grants to Indian tribes. In accordance with Section 102(a)(5) of the National Historic Preservation Act, as amended, grantees must agree to assume, after completion of the restoration project, the total cost of the continued maintenance, repair, and administration of the property in a manner satisfactory to the Secretary.

Applicant Eligibility:

Eligible applicants are States and Territories as defined in the National Historic Preservation Act, as amended, operating programs administered by a State Historic Preservation Officer appointed by the Governor or according to State law, and which are otherwise in compliance with the requirements of the Act. Eligible applicants for the Tribal Grant Program are Federally recognized Indian Tribes, Alaska Native Corporations, and Native Hawaiian organizations.

Beneficiary Eligibility:

Eligible beneficiaries include State and local governments, public and private nonprofit organizations, and individuals. According to their own priorities and plans, States select their own projects and may subgrant to public and private parties, including local governments, federally recognized Indian tribal governments, nonprofit and for-profit organizations, and/or individuals to accomplish program objectives. At least ten percent of each year's appropriation must be subgranted to local governments certified as eligible to carry out preservation functions according to 36 CFR 61. Grants are awarded at a ratio of 60 percent Federal, 40 percent State (public and/or private funds and/or allowable in-kind donations). American Samoa, Guam, Commonwealth of Northern Mariana Islands, Federated States of Micronesia, Republic of Palau, Republic of Marshall Islands and Virgin Islands are exempt from matching share per Public Law 96-205.

Credentials/Documentation:

Each State must have a qualified Review Board, employ professionally qualified staff, and maintain an approved statewide historic preservation plan in accordance with 36 CFR 61.

Preapplication Coordination:

The Annual Application from the State uses the standard application forms furnished by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Environmental impact assessment is required. E.O. 12372, "Intergovernmental Review of Federal Programs," applies. An applicant should consult the office or official designated as the Single Point of Contact in the State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application is made by the States for an annual grant in the form of planned activities and projects. 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements For Grants and Cooperative Agreements to State and Local Governments," applies to awards to States. Applicants for financial aid for subgrants and contracts must contact the State Historic Preservation Office for application information. Applicants for HPF Tribal Grant projects may contact the National Park Service; Telephone: (202) 354-2068.

Award Procedure:

The Annual appropriation is allocated by the Secretary of the Interior among States and Territories.

Deadlines:

Set dependent upon the date of enactment of appropriations for the fiscal year for which assistance is requested. States set subgrant deadlines.

Range of Approval/Disapproval Time:

Up to 1 month for Federal grants; subgrant time depends on State procedures.

Appeals:

None.

Renewals:

None. Grants to States are for 2 years, subject to "Use or Lose" procedures established by the Federal agency.

Formula and Matching Requirements:

Funds are allocated to States based upon population, land area, and previous funding levels. Award amounts are subject to the availability of funds.

Length and Time Phasing of Assistance:

Fiscal year in which funds are appropriated and one succeeding fiscal year.

Reports:

An annual performance report is required comparing planned accomplishments with actual results. Summary completion reports must be maintained for subgrant expenditures. Expenditure reports as specified in 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" or in OMB Circular No. A-110 are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full fiscal and project records to be maintained by grantees in accordance with OMB Circular Nos. A-87, and 43 CFR Part 12 for States and Tribes; OMB Circular Nos. A-122 and A-110 as required.

Account Identification:

14-5140-0-2-303.

Obligations:

(Grants) FY 07 est \$42,663,000; FY 08 \$45,774,600; and FY 09 est \$41,658,000.

Range and Average of Financial Assistance:

\$197,073 to \$1,194,000; \$661,017.

PROGRAM ACCOMPLISHMENTS:

See 15.914, National Register of Historic Places for the number of historic districts, individual properties, and National Historic Landmarks nominated because of the Historic Preservation Fund Grants-in-Aid Program. Since 1968, over \$1.3 billion has been awarded to 50 States and Territories, the National Trust, and Tribes. Over 850 subgrants were awarded by 59 State and Territorial grantees in Fiscal Year 2003. These categorical matching grants administered by the National Park Service provide partial funding support to State Historic Preservation Offices (SHPOs) in carrying out statutory responsibilities under the National Historic Preservation Act, as amended, for the following types of activities: (1) conducting a comprehensive survey of historic properties (12.4 million acres and 123,000 properties assessed) and maintaining inventories of information gained from such survey; (2) nominating properties to the National Register of Historic Places (1,450 nominations); (3) assisting and advising Federal and State agencies and local governments in carrying out their historic preservation responsibilities (e.g., 105,000 Federal projects reviewed); (5) cooperating with local governments in developing local historic preservation programs (total of 1,431 Certified Local Governments (CLGs); and (6) advising and assisting in the evaluation of proposals for rehabilitation projects that may qualify for Federal tax incentives (e.g., 3,400 Federal Tax Credit applications

reviewed). Some of the indicators of program success or outcome include: historic resources saved, customers satisfied, timely responses to requests, and increases in grant products such as those noted above.

REGULATIONS, GUIDELINES, AND LITERATURE:

A brochure describing the grant and related programs are available upon request from the Department of the Interior, National Park Service, 1849 C Street, N.W., Mail Stop 2255, Washington, DC 20240. See the "Catalog of Historic Preservation Publications." Important examples include: "The National Register of Historic Places," 1966-1994 issue, a softbound volume describing National Register properties published in conjunction with the National Trust for Historic Preservation Press and the National Conference for State Historic Preservation Officers, (John Wiley and Sons, Preservation Press, 1 Wiley Drive, Somerset, New Jersey 08875, Telephone 1-800-225-5945, Reference ISBN 0471-144-037), the Historic Buildings Preservation Briefs series; the Preservation Planning series; the Preservation Tech Note Series; and, the National Register Bulletin series. Program regulations are specified in Volume 36 of the Code of Federal Regulations. Program standards are found in "The Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation."

Regional or Local Office:

State programs applicants should refer to Catalog Appendix IV for list of State Historic Preservation Offices. Contact the appropriate State agency for subgrant eligibility information. This list is also available on the National Park Services ParkNet, Links to the Past, World Wide Web site(<http://www.2cr.nps.gov>). Corrections and additions should be directed to Tawana Jackson, Heritage Preservation Services Division, National Park Service, 1849 C Street, NW., Mail Stop 2255, Washington, DC 20240, or E-mail: Tawana_Jackson@nps.gov. Program inquiries should be directed to Hampton Tucker at Hampton_Tucker@nps.gov.

Headquarters Office:

Associate Director, Cultural Resource Stewardship and Partnerships, National Park Service, Department of the Interior, Washington, DC 20240. Telephone: (202) 354-2054.

Web Site Address:

<http://www.family.info.gov.cfda.index.htm>.

RELATED PROGRAMS:

15.910, National Natural Landmarks Program; 15.912, National Historic Landmark; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

The listing of the first post-World War II development in the National Register of Historic Places. Designed by developer Edward Hawkins, Colorado's Arapaho Acres residential district, contains houses reflecting the International Style of architecture influenced by architect Frank Lloyd Wright; documentation of Blackbeard's flagship Queen Anne's Revenge, lost at Beaufort Inlet in 1718, by researchers with the North Carolina Department of Cultural Resource's Underwater Archeology Unit; restoration of the Chicago's Reliance Building, a National Historic Landmark, using the combined resources of the Federal Historic Preservation Tax Incentives Program, city, and private funding; and restoration of the Chickasaw White House by the Chickasaw Nation of Oklahoma. The Chickasaw White House, designed by former Chickasaw Governor Douglas Johnston and built in 1895, is listed in the National Register of Historic Places.

CRITERIA FOR SELECTING PROPOSALS:

Each State selects subgrant proposals for funding in accordance with its own priorities.

15.912 NATIONAL HISTORIC LANDMARK

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Historic Sites Act of 1935, Public Law 74-292, 16 U.S.C. 461 et seq.; National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470(t); National Historic Preservation Act Amendments of 1980, Public Law 96-515; 16 U.S.C. 470.

OBJECTIVES:

To study, identify, and encourage preservation of nationally-significant historic properties; a bronze plaque is awarded in a presentation ceremony when requested. This provides permanent identification of nationally significant properties.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Properties recognized as National Historic Landmarks will, upon application by the owner or administrator, be awarded a bronze plaque attesting to the property's national significance. At the same time, the applicant is requested to observe certain simple preservation precepts with regard to the property. Should subsequent occurrences alter the historic qualities of the property, landmark status may be revoked and the plaque removed. Automatic listing of National

Historic Landmark properties in the National Register of Historic Places affords a degree of Federal protection under Section 106 of the Historic Preservation Act of 1966, and fulfills one of the prerequisites for Federal assistance under the National Register grants-in-aid program (see 15.904). Certain landmarks are also made eligible for Federal tax benefits and protections and technical preservation assessment and assistance.

Applicant Eligibility:

Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Beneficiary Eligibility:

Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Credentials/Documentation:

Request should be supported by full discussion of national significance of property and documented integrity.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

If the property is not listed in the National Register of Historic Places, write the National Historic Landmarks Survey giving a brief resume of the history of the property and its present condition and advising what assistance can be provided in the nomination process. If the property is already listed in the National Register, provide a brief resume of the historical facts that justify consideration of national significance. Properties nominated to, or already listed in, the National Register of Historic Places at a national level of significance by the State Historic Preservation Officer may be reviewed by the National Historic Landmarks Survey staff.

Award Procedure:

When the property has been investigated, findings, in the form of a thorough NHL nomination form, are presented to the National Park System Advisory Board which evaluates the property's national significance and high integrity and recommends a final action to the Secretary of the Interior. Owners of proposed landmarks are contacted prior to a landmark study, given an opportunity to comment on a final study, and then notified after designation of the landmark.

Deadlines:

Varies with dates of twice yearly Advisory Board meetings. National Historic Landmarks Survey staff sets deadlines for nominations before Advisory Board meetings.

Range of Approval/Disapproval Time:

Determined by scheduling of theme studies, availability of staff or funds, and proximity to twice yearly Advisory Board meetings.

Appeals:

Owner comments are sought prior to the meeting of the Advisory Board. Board meetings are open to the public. Appeals process is outlined in program regulations.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

National Park Service personnel make periodic inquiries and inspections to determine continuing eligibility of National Historic Landmark properties and to identify those which exhibit known or anticipated damage or threats to the integrity of their resources.

Audits:

None.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Salaries and expenses) FY 07 \$787,000; FY 08 est \$790,000; and FY 09 est not available

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

List of National Historic Landmarks available upon request. Regulations were published in the "Federal Register" on February 2, 1983. Copies of regulations

also available upon request. Upon request, a bulletin on "How to Prepare National Historic Landmark Nominations" is available. The documents are also available at the NHL website <http://www2cr.nps.gov/nhl>.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

National Historic Landmarks Survey, NRHE, National Park Service, Department of the Interior, 1849 C St., N.W., Mail Stop 2280, Washington, DC 20240. Telephone: (202) 354-2210. Program inquiries should be directed to Paul Loether E-mail: Paul_Loether@nps.gov.

Web Site Address:

<http://www.cr.nps.gov/nhl>.

RELATED PROGRAMS:

15.904, Historic Preservation Fund Grants-In-Aid; 15.910, National Natural Landmarks Program; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

National Historic Landmarks are automatically entered in the National Register of Historic Places. See Uses and Use Restrictions for funding project capability.

CRITERIA FOR SELECTING PROPOSALS:

See program 15.914, National Register of Historic Places.

15.914 NATIONAL REGISTER OF HISTORIC PLACES

(National Register)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470, 80 Stat. 915 et seq.; Executive Order 11593, May 13, 1971; Tax Reform Act of 1976, Public Law 94-455; Public Law 94-442; 90 Stat. 1519; Revenue Act of 1978; Public Law 95-600; National Historic Preservation Act Amendments of 1980; Public Law 96-515; Tax Treatment Extension Act of 1980; Public Law 96-541; Economic Recovery Tax Act of 1981, Public Law 97-340; Tax Reform Act of 1984, Public Law 98-369; Tax Reform Act of 1986, Public Law 99-514; National Historic Preservation Act Amendments of 1992, Public Law 102-575; Executive Order 13287, March 3, 2003.

OBJECTIVES:

To expand and maintain the National Register of Historic Places for planning, preservation, research, public education and tourism efforts; to make the information on districts, sites, buildings, structures and objects of historical, architectural, archeological, engineering and cultural significance more accessible to the public in order to increase and broaden the public's understanding of the information, foster a national preservation ethic, and to promote greater appreciation of America's heritage; to coordinate with Federal agencies, State and Tribal preservation offices, and the Advisory Council on Historic Preservation in the implementation of laws and policies pertaining to historic preservation; and to establish liaison with and give guidance to communities under Title I of the Housing and Community Development Act of 1974 (see 14.218).

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Professional advice is provided in determining whether a property qualifies for inclusion in the National Register according to the Criteria for Evaluation contained in 36 CFR Part 60. Listing does not affect the range of actions an owner may take, as long as Federal funding, licensing or assistance is not involved and no governmental unit receives any authority or control over the property, including its use and disposition. However, if a Federal project might have an effect on a property listed or eligible for listing, the agency involved must provide the Advisory Council on Historic Preservation a reasonable opportunity to comment pursuant to 36 CFR Part 800. Registered properties also become eligible to receive grants from the Historic Preservation grant-in-aid program of the Department of the Interior (see 15.904), when funding is available, to receive home improvement loans from the Department of Housing and Urban Development under Title I of the National Housing Act as amended on October 18, 1974, or to participate in the community grant program provided by the Housing and Community Development Act of 1974. Major Federal tax advantages can be received on certain rehabilitation expenditures for "certified historic structures" and for charitable contributions for conservation purposes of partial interests in historic properties.

Applicant Eligibility:

Eligible applicants are the States and territories as defined in the National Historic Preservation Act, operating under programs administered by State Historic Preservation Officers appointed by the Governors (listed in Appendix IV of the Catalog); or the Tribal Preservation Officers; Federal agencies required to nominate and consider historic properties within their jurisdiction or as a result of the National Environmental Policy Act of 1969 and Executive Order 11593, and the National Historic Preservation Act operating under programs

administered by representatives (listed in Appendix IV of the Catalog) appointed by the heads of the agencies; and, in States without approved State Historic Preservation Programs, persons and local governments. Applicants eligible for Federal Tax benefits include owners of individually listed properties and properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Beneficiary Eligibility:

Public and private owners of historic properties listed in the National Register of Historic Places or of properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Credentials/Documentation:

Nominations are submitted on standard nomination forms provided upon request to the State Historic Preservation Officers, Federal Preservation Officers, and Tribal Preservation Officers who must certify that each property was properly nominated. Procedures for National Register nominations are found in 36 CFR Part 60. Request for determinations of eligibility must be adequately documented as described in Appendix A of 36 CFR Part 63. Requests for certification of historic properties must be documented as described in Section 36 CFR Part 67 and requests for certification of State and local statutes and districts must be documented as described in Section 36 CFR Part 67. To participate in the program, each State must have an approved review board, an approved State staff, and an accepted State historic preservation plan.

Preapplication Coordination:

For National Register nominations the signature of the State Historic Preservation Officer on the nomination form certifies that the State review board has reviewed the nomination and that all applicable procedures, including notification to the property owner at least once during the nomination process, have been followed. The signature of the Federal Preservation Officer for Federal property or the Tribal Preservation Officer on Tribal lands on the nomination form certifies that the property has been nominated in compliance with the National Historic Preservation Act. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Anyone may prepare a National Register nomination. After the property is approved by the State Historic Preservation Officer, Tribal Preservation Officer, or Federal Preservation Officer, the nomination form or letter of request for a determination of eligibility should be forwarded to the Keeper of the National Register, National Park Service, Department of the Interior, 1849 C Street, NW, Mail Stop 2280, Washington, DC 20240. Nominations submitted for review are announced in the Federal Register to provide a period of comment. Documentation for certification for Federal tax benefits of historic buildings and structures, State and local statutes and districts should be forwarded to the appropriate State Historic Preservation Office, which sends it to the appropriate National Park Service Office.

Award Procedure:

The professional review staff of the National Register determines whether the properties meet the National Register criteria as contained in 36 CFR Part 60. Properties that meet the criteria are then officially listed in the National Register and become eligible for Federal tax benefits and Historic Preservation Fund grants when they are available.

Deadlines:

None.

Range of Approval/Disapproval Time:

After nomination by State, 45 days at Federal level.

Appeals:

A nomination returned to the States, Tribes, or Federal agencies may be resubmitted, depending upon the reason for its rejection. Appeals for listing and removal may be made in accord with 36 CFR 60.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Salaries and expenses) FY 07 \$1,700,000; FY 08 est \$1,700,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 60; 36 CFR Part 63; 36 CFR Part 67, The National Register of Historic Places, a free leaflet explaining the program, Guidelines for Completing National Register of Historic Places Forms, and other technical guidance are available from the National Register of Historic Places Reference Desk, National Register, History, and Education, 1849 C Street, N.W., (Mail Stop 2280) Washington, DC 20240 (www.cr.nps.gov/nr/publications). Lists of nominations submitted to the National Register for review are published in the Federal Register. The National Register database of all listings is accessible through the Internet at <http://www.cr.nps.gov/nr>.

Regional or Local Office:

See Appendix IV for list of State Historic Preservation Officers and National Park Service Regional Offices.

Headquarters Office:

Keeper of the National Register of Historic Places, National Register of Historic Places, National Register, History, and Education, National Park Service, Department of the Interior, 1849 C Street, N.W., Mail Stop 2280, Washington, DC 20240. Telephone: (202) 345-2213. Program inquiries should be directed to Paul Loether at Paul_Loether@nps.gov.

Web Site Address:

<http://www.cr.nps.gov/nr>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 15.904, Historic Preservation Fund Grants-In-Aid; 15.910, National Natural Landmarks Program; 15.915, Technical Preservation Services; 15.912, National Historic Landmark.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for Evaluation: The following criteria are designed to guide the States and the Secretary of the Interior in evaluating potential entries (other than areas of the National Park System and National Historic Landmarks) to the National Register: The quality of significance in American history, architecture, archeology, engineering, and culture is present in districts, sites, building, structures, and objects that possess integrity of location, design, setting, materials, workmanship, feeling, and association, and: (A) that are associated with events that have made a significant contribution to the broad patterns of our history; or (B) that are associated with the lives of persons significant in our past; or (C) that embody the distinctive characteristics of a type, period, or method of construction, or that represent the work of a master, or that possess high artistic values, or that represent a significant and distinguishable entity whose components may lack individual distinction; or (D) that have yielded, or may be likely to yield, information important in prehistory or history. Ordinarily cemeteries, birthplaces, or graves of historical figures, properties owned by religious institutions or used for religious purposes, structures that have been moved from their original locations, reconstructed historic buildings, properties primarily commemorative in nature, and properties that have achieved significance within the past 50 years shall not be considered eligible for the National Register. However, such properties will qualify if they are integral parts of districts that do meet the criteria or if they fall within the following categories. (A) a religious property deriving primary significance from architectural or artistic distinction or historical importance; or (B) a building or structure removed from its original location but which is significant primarily for architectural value, or which is the surviving structure most importantly associated with a historic person or event; or (C) a birthplace or grave of a historical figure of outstanding importance if there is no other appropriate site or building directly associated with his productive life; or (D) a cemetery which derives its primary significance from graves of persons of transcendent importance, from age, from distinctive design features, or from association with historic events; or (E) a reconstructed building when accurately executed in a suitable environment and presented in a dignified manner as part of a restoration master plan, and when no other building or structure with the same association has survived; or (F) a property primarily commemorative in intent if design, age, tradition, or symbolic value has invested it with its own historical significance, or (G) a property achieving significance within the past 50 years if it is of exceptional importance.

15.915 TECHNICAL PRESERVATION SERVICES

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Executive Order 11593, May 13, 1971; Federal Property and Administrative Services Act of 1949, as amended, Public Law 81-152, 40 U.S.C. 471; National Historic Preservation Act of 1966, as amended, Public Law 89-665, 80 Stat. 915, 16 U.S.C. 470 et seq.; Tax Treatment Extension Act of 1980, Public Law 96-541, Public Law 95-600; General Authorities Act of 1976; Mining in National Parks Act of 1976; Tax Reform Act of 1986, Public Law 99-514.

OBJECTIVES:

To establish technical preservation policies and standards and to develop technical information for the treatment of historic properties. This includes

development and distribution of the "Secretary of the Interior's Standards for Historic Preservation Projects," which provide guidance regarding all aspects of preservation work for the use of Federal, State and local officials, property owners, developers, and architects. To review rehabilitations of investment property for the 20% investment tax credit. To advise Congress and Federal agencies on the preservation of historic properties. This includes developing and disseminating policies and the regional inspection procedures for the monitoring of national historic landmarks, and preparing the annual report to Congress on endangered national historic landmarks. To provide policy direction and guidance on Federal surplus properties which are available for transfer for historic monument purposes.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Technical information is provided to assist Federal agencies, State and local governments, and owners of certified historic structures to preserve and maintain historic properties under their jurisdiction or control. For matching grants, see 15.904, Historic Preservation Grants-in-Aid.

Applicant Eligibility:

Federal agencies, State and local governments, and individuals. For Grants-in-Aid program, see 15.904, Historic Preservation Grants-in-Aid.

Beneficiary Eligibility:

Federal agencies, State and local governments, and individuals. For Grants-in-Aid see program 15.904, Historic Preservation Grants-in-Aid.

Credentials Documentation:

None.

Preapplication Coordination:

Contact by letter or telephone communication to the State Historic Preservation Officer or the Headquarters Office for technical information or for information on the Historic Preservation Tax Incentives Program; through NPS regional office for surplus property; for Grants-in-Aid see 15.904, Historic Preservation Grants-in-Aid. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By Historic Preservation Certification Application (OMB No. 1024-0009) through the appropriate State official or NPS regional office. For Grants-in-Aid, see 15.904, Historic Preservation Grants-in-Aid.

Award Procedure:

The Annual appropriation is allocated by the Secretary of the Interior among States and Territories.

Deadlines:

None. Applications are taken at any time during the year. Applicants are strongly urged to apply before rehabilitation work begins on a historic building.

Range of Approval/Disapproval Time:

Decisions normally are made within 30 days of receipt of review fee, but the time can vary according to the nature of problems encountered and range of alternatives.

Appeals:

None.

Renewals:

None. Grants to States are for 2 years, subject to "Use or Lose" procedures established by the Federal agency.

Formula and Matching Requirements:

None. Tax credit is determined by the amount of qualified rehabilitation expenditures.

Length and Time Phasing of Assistance:

Fiscal year in which funds are appropriated and one succeeding fiscal year.

Reports:

Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c).

Audits:

The Secretary of the Interior reserves the right to inspect the property at any time up to 5 years after the completion of the rehabilitation and to withdraw certification of rehabilitation work not undertaken as described or of additional unapproved project work undertaken inconsistent with the Secretary of the Interior's Standards for Rehabilitation.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Salaries and expenses) FY 07 \$1,600,000; FY 08 est \$1,600,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The Heritage Preservation Services Program prepares publications on historic structure preservation and rehabilitation for wide distribution to Federal, State, and local agencies, including a series of Preservation Briefs. Each Preservation Brief provides useful information about a technical problem encountered

frequently at all levels of preservation. The division is also responsible for the review and evaluation of rehabilitation proposals and certification of work for certified historic structures under the Tax Reform Act of 1986 and other applicable legislation. As of September 30, 2003, an estimated 31,000 rehabilitations of historic buildings have been approved, representing an investment in America's historic resources of \$31.4 billion. The division, through the regional offices, is responsible for the review of rehabilitation and reuse proposals submitted with State and local government applications for the transfer of federally-owned surplus historic properties. The division provides general technical assistance to private organizations, individuals, and local governments; evaluates the inspection reports prepared by the field area offices and compiles them for reports to Congress on the status of endangered and threatened national historic landmarks. The Heritage Preservation Services Program is responsible for monitoring acquisition and development awards made under the Historic Preservation Fund grant program (15.904), as available.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 67 (for tax incentives program). Treasury Regulations Section 1.48-12. For a free catalog listing publications of the Cultural Resources programs of the National Park Service, write Headquarters Office listed below or see website at <http://www2.CR.NPS.GOV>.

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Heritage Preservation Services Program, National Park Service, Department of the Interior, 1849 C Street, N.W., ORG 2255, Washington, DC 20240. Telephone: (202) 513-7270. Program inquiries should be directed to Andrew Ferrell E-mail: Andrew_Ferrell@nps.gov.

Web Site Address:

[HTTP://WWW.CR.NPS.GOV](http://WWW.CR.NPS.GOV).

RELATED PROGRAMS:

15.904, Historic Preservation Fund Grants-In-Aid; 15.910, National Natural Landmarks Program; 15.914, National Register of Historic Places.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

15.916 OUTDOOR RECREATION-ACQUISITION, DEVELOPMENT AND PLANNING

(Land and Water Conservation Fund Grants)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

16 U.S.C. 1-4 et seq.; Land and Water Conservation Fund Act of 1965, Public Law 88-578, 78 Stat. 897, as amended; Public Law 90-401, 82 Stat. 354; Public Law 91-485, 84 Stat. 1084; Public Law 91-308, 84 Stat. 410; Public Law 92-347, 86 Stat. 460; Public Law 93-81, 87 Stat. 178; Public Law 94-422, 90 Stat. 1313; Public Law 95-42, 91 Stat. 210; Public Law 100-203, 101 Stat. 1330.

OBJECTIVES:

To provide financial assistance to the States and their political subdivisions for the preparation of Statewide Comprehensive Outdoor Recreation Plans (SCORPs) and acquisition and development of outdoor recreation areas and facilities for the general public, to meet current and future needs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, outdoor swimming pools, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Development of basic rather than elaborate facilities is favored. Fund monies are not available for the operation and maintenance of facilities. Grants are also available to States only for revising and updating existing SCORPs preparation of new plans and for statewide surveys, technical studies, data collection and analysis and other planning purposes which are clearly related to SCORP refinement and improvement. Every site acquired or developed with assistance under this program must remain available and accessible for public outdoor recreation use in perpetuity.

Applicant Eligibility:

For planning grants, only the State agency formally designated by the Governor or State law as responsible for the preparation and maintenance of the Statewide Comprehensive Outdoor Recreation Plan is eligible to apply. (Treated as States in this regard are the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, and Guam.) For acquisition and development grants, the above designated agency may apply for assistance for itself, or on behalf of other State agencies or political subdivisions, such as cities, counties, and park districts. Additionally, Indian tribes which are

organized to govern themselves and perform the function of a general purpose unit of government qualify for assistance under the program. Individuals and private organizations are not eligible.

Beneficiary Eligibility:

The general public. For planning grants, same as Applicant Eligibility.

Credentials/Documentation:

The State Liaison Officer (SLO), appointed by the Governor to administer the program in the State, must furnish assurance that the project is in accord with the State Comprehensive Outdoor Recreation Plan; i.e., that it meets high priority recreation needs shown in the action program portion of the plan. The State's apportionment balance of fund monies must be adequate for the project, and the sponsoring agency must permanently dedicate the project to public outdoor recreation and assume responsibility for operation and maintenance. SCORPs must cite the State's legal authority to participate in the Land and Water Conservation Fund program. Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable costs.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. Applicant is required to furnish basic environmental information or evaluation. Assessment by the National Park Service will determine whether an environmental impact statement will be prepared. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is covered under OMB Circular No. A-102.

Application Procedure:

Project proposals are submitted to the National Park Service through the State liaison officer designated by the Governor. The State Liaison Officer has the initial prerogative of determining acquisition and development project eligibility, priority need, and order of fund assistance within the State. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Proposals are reviewed by the NPS field office, where final action may be taken.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 20 days for acquisition and development projects; 60 days for planning projects.

Appeals:

State may appeal to the Secretary of the Interior.

Renewals:

Project agreements may be amended to change the scope, amount, or duration. Must be approved by the National Park Service.

Formula and Matching Requirements:

The Land and Water Conservation Fund Act specifies that not more than 50 percent of the project cost may be federally financed. Under certain conditions, all or part of the project Sponsor's matching share may be from certain other Federal assistance programs, such as Title I Community Development, Appalachia and all other Regional Commissions. Forty percent of the first \$225 million; thirty percent of the next \$275 million; and twenty percent of all additional appropriations is apportioned equally among the States. The remaining appropriation is apportioned on the basis of need. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available for obligation during the fiscal year in which appropriated and for the two following fiscal years. The assistance period for individual projects varies and may be extended. Complex projects may be broken down into stages, with one being initially approved and the remainder qualified for activation at a later date. Except for project preparation costs, all costs must be incurred within the project period. Planning projects may not be phased.

Reports:

State inspection reports are submitted every 5 years on completed projects stating whether the properties acquired and/or developed with fund assistance are used in accordance with the agreement. For planning projects, end products are specified in the application for assistance. Reports are required with billings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Maintain records to facilitate audit, including records that fully disclose the amount and disposition of assistance; the total cost of the project; and the amount and nature of that portion of the cost supplied by other sources.

Account Identification:

14-5035-0-2-303.

Obligations:

(Grants) FY 07 \$27,994,976; FY 08 \$23,133,400; and FY 09 est \$6,314,000.

Range and Average of Financial Assistance:

\$150 to \$5,450,000; \$68,178.

PROGRAM ACCOMPLISHMENTS:

Since the programs inception in 1964, over \$3.5 billion had been made available to State and local units of government to assist in planning, acquiring and developing public outdoor recreation areas and facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Brochure available from the Department of the Interior, National Park Service, MS-2225, 1849 C Street, Washington, DC 20240, free. Regulation: 36 CFR Part 59, FR 51 No. 186, September 25, 1986 (amended June 15, 1987).

Regional or Local Office:

See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Recreation Programs, National Park Service, (2225), Department of the Interior, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 354-6900. FAX: (202) 371-5179, e-mail: waso_recgrants@nps.gov. Program inquiries should be directed to Michael D. Wilson at Michael_D_Wilson@nps.gov.

Web Site Address:

<http://www.nps.gov/lwcf>.

RELATED PROGRAMS:

15.919, Urban Park and Recreation Recovery Program; 15.918, Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments.

EXAMPLES OF FUNDED PROJECTS:

Acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, outdoor swimming pools, and support facilities such as roads, water supply, etc.

CRITERIA FOR SELECTING PROPOSALS:

At the Federal level each project must be in accord with a State Comprehensive Outdoor Recreation Plan. Beyond this, the selection of projects is made by the State Liaison Officer of each State who is responsible for the administration of the 15.916 program in his State.

15.918 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY FOR PARKS, RECREATION, AND HISTORIC MONUMENTS

(Surplus Property Program; Federal Lands to Parks Program; Historic Surplus Property Program)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

40 U.S.C. 550(b), (e), and (h), Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949, Section 203(k), 63 Stat. 385 as amended, 40 U.S.C. 484, Public Law 91-485; Federal Lands for Parks and Recreation, 16 U.S.C. 4601-5.

OBJECTIVES:

To transfer surplus Federal real property for public park and recreation use, or for use of historic real property.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

Surplus real property may be conveyed for public park and recreation use at discounts up to 100 percent of fair market value and for historic purposes without monetary consideration. Property conveyed for park and recreation use or historic purposes must be used for these purposes in perpetuity or be reverted to Federal ownership.

Applicant Eligibility:

Only State or local units of government are eligible to apply for surplus real property for public park and recreation and historic purposes, and must agree to manage the property in the public interest and for public use (in the case of park and recreation purposes). Only properties listed in or eligible for listing the National Register of Historic Places may be transferred through the Historic Surplus Property Program.

Beneficiary Eligibility:

Only State or local units of government are eligible to apply for surplus real property for public park and recreation and historic purposes, and must agree to manage the property in the public interest and for public use (in the case of park and recreation purposes). Property conveyed for historic purposes may under certain circumstances be used for revenue-producing activities to support the

historic property, and such activities may be eligible for Federal Historic Preservation Tax Incentives.

Credentials/Documentation:

The applicant must submit an application, proposed program of use for the property and evidence of its ability to finance the program. Additionally, applicants under the Historic Surplus Property Program must submit an architectural plan. This program is excluded from coverage under OMB Circular No. A-87.

Praeapplication Coordination:

Park and recreation and historic applications are coordinated by the National Park Service, Department of the Interior, and the General Services Administration, or in the case of legislated military base closures, the Department of Defense and its military components. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applications for park and recreation use and historic uses are submitted to the appropriate National Park Service Regional Office. Application forms are issued by the National Park Service. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Upon approval of a park and recreation application, the National Park Service will request GSA or DOD military service, in the case of base closures, to assign the property to the Secretary of the Interior for transfer. Upon assignment by DOD or GSA, the National Park Service will transfer the property to the applicant, in the case of park and recreation transfers. Historic transfers are made by GSA or DOD.

Deadlines:

The National Park Service (or an eligible applicant for a historic monument transfer) must notify the General Services Administration within 20 calendar days after the date of the notice of determination of surplus if it has an eligible applicant interested in acquiring the property, or otherwise comply with the DOD Base Realignment and Closure planning and disposal schedules. For a park and recreation transfer, the National Park Service must request assignment of property from GSA within 25 calendar days after the expiration of the 20 day period, or otherwise comply with DOD Base Realignment and Closure (BRAC) planning and disposal schedules. For a historic monument transfer, GSA or the DOD military service, in the case of base closures, deeds the property directly to the approved applicant.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Recipients of surplus properties are required to submit biennial compliance reports on the use of the property.

Audits:

The National Park Service conducts periodic on-site compliance inspections to assure that the properties are being utilized for the purposes for which they are conveyed. Properties in noncompliance may be reverted back to the Federal Government.

Records:

The National Park Service maintains official records concerning the property.

Account Identification:

14-1042-0-1-303.

Obligations:

Federal Lands to Parks: FY 07 \$556,000; FY 08 \$565,000; and FY 09 est \$575,000. Historic Monuments: FY 07 \$145,000; FY 08 \$150,000; and FY 09 est \$150,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Since 1949, over 1,400 properties comprising over 156,000 acres have been transferred to State and local governments for park and recreation purposes, and approximately 130 properties for historic purposes. In fiscal year 2004, 25 properties (3,494 acres) were transferred for park and recreation purposes through the Federal Lands to Parks Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Federal Lands to Parks Program," 1997 brochure (no charge), "Historic Surplus Property Program," 1998 brochure published by the National Park Service (no charge), "Disposal of Surplus Real Property," booklet published by the General Service Administration (no charge). 40 U.S.C. 550(b), (e), and (h), Public Law 107-217.

Regional or Local Office:

Applicants for historic purposes are requested to contact the following National

Park Service Offices: the Alaska Support Office; Intermountain Region-Santa Fe Office; Midwest Region; Northeast Region-Philadelphia Office; Pacific West Region-Seattle Office; or the Southeast Region. (See Catalog Appendix IV for addresses.) Applicants for parks and recreation purposes should contact the National Park Service Offices: Northeast Region, Boston, MA office; Southeast Region, Atlanta, GA; Western Region, Oakland, CA.

Headquarters Office:

National Park Service, Heritage Preservation Services, Historic Surplus Property Program, 1849 C Street, N.W., (Org. Code 2255), Washington, DC 20240. Contact: Gary Sachau, Telephone (202) 354-2044. National Park Service, Recreation Programs Division, Federal Lands to Parks Program, 1849 C Street N.W., Org. Code 2225, Program Contacts: Michael D. Wilson and Wendy Ormant, Telephone: (202) 354-6915.

Web Site Address:

Historic Surplus Property Program: http://www.cr.nps.gov/hps/tps/hssp_p.htm. Federal Lands to Parks Program: <http://www.nps.gov/flp>.

RELATED PROGRAMS:

15.916, Outdoor Recreation Acquisition, Development and Planning; 39.002, Disposal of Federal Surplus Real Property; 93.291, Surplus Property Utilization; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

Hiking, Bicycling and/or Equestrian Trails; Nature Study Areas - Wildlife Conservation Areas; Developed Play Areas; State and Regional Parks; Arts/Crafts Centers; Youth and Senior Citizen Areas; Historic Buildings and/or Archeological Areas.

CRITERIA FOR SELECTING PROPOSALS:

Suitability of real property for the proposed use and the ability of the applicant unit of government to carry out the proposed program of use.

15.921 RIVERS, TRAILS AND CONSERVATION ASSISTANCE

(Rivers and Trails or RTCA)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended, Public Law 90-542; National Trails System Act, 16 U.S.C. 1241 as amended, Public Law 90-543; Outdoor Recreation Act of 1963, 16 U.S.C. 4601-3, Public Law 88-29; Federal Power Act, 16 U.S.C. 797-828c.

OBJECTIVES:

The Rivers, Trails and Conservation Assistance Program implements the natural resource conservation and outdoor recreation mission of the National Park Service in communities across America. RTCA will help create local, regional and state networks of parks, rivers, trails, greenways and open spaces by collaborating with community partners and National Park areas in every state.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Beneficiary Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Praeapplication Coordination:

Program advice and information is available from the appropriate NPS Regional Office or the National Office. Consultation with an RTCA staff before an application for assistance is made helps clarify RTCA roles and contributions and also helps identify how the selection criteria might apply to your conservation and outdoor recreation goals. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Information is available electronically at <http://www.nps.gov/rta> or you may contact the appropriate regional RTCA program leader. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Decisions are based upon adherence to program criteria and the level of available staff time.

Deadlines:

Proposals should be submitted by August 1 for the following fiscal year.

Range of Approval/Disapproval Time:

Generally about 4 months.

Appeals:

None.

Renewals:

Assistance is for one year and may be renewed for a second year if warranted.

Formula and Matching Requirements:

No fixed amount. Applications must demonstrate commitment by interested public agencies and non-profit organizations.

Length and Time Phasing of Assistance:

Generally 1 to 2 years.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

FY 07 \$7,697,000; FY 08 est \$7,600,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None. Staff assistance only.

PROGRAM ACCOMPLISHMENTS:

NPS Rivers and Trails has played a major role in community conservation and recreation through citizen-led, partnership approaches to river protection, trail development and land conservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project reports are available from NPS offices and the Web.

Regional or Local Office:

Applicants are requested to communicate with National Park Service Regional Offices. Refer to Catalog Appendix IV or the web site, <http://www.nps.gov/rta>, for addresses.

Headquarters Office:

Chief, Rivers, Trails and Conservation Assistance, National Park Service, 1849 C Street, N.W., (ORG CODE 2235), Washington, DC 20240. Telephone: (202) 354-6900. Program inquiries should be directed to Charlie Stockman at E-mail: Charlie_Stockman@nps.gov.

Web Site Address:

<http://www.nps.gov/rta>.

RELATED PROGRAMS:

15.916, Outdoor Recreation Acquisition, Development and Planning; 15.918, Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments; 15.919, Urban Park and Recreation Recovery Program.

EXAMPLES OF FUNDED PROJECTS:

Develop new trails and greenways; conserve and restore river resources, access and views; convert abandoned railways to multi-purpose trails; promote and develop systems of trails and greenways; preserve open space; and establish new conservation organizations and alliances. Examples of Rivers and Trails projects include: (1) The Lakes-To-Locks Water Trail in Puget Sound includes over 100 miles of shoreline and 100 launch and landing sites. The Rivers & Trails Program worked with the Washington Water Trails Association to build a broad-based constituency, to provide assistance in trail development, marketing, and map publication, and to help empower our partners to see their project goals come to life. (2) In New York, a 15-mile multi-use trail is being planned to connect four state parks and the communities of Ithaca and Trumansburg. Rivers & Trails has facilitated community involvement in the planning for the Black Diamond Trail, one success of which was to organize a Community Volunteer Work Day bringing together a local hospital, college students, youth groups and neighbors. (3) Along the Los Angeles River, Rivers & Trails worked with Los Angeles County to complete a master plan which has spun off multiple open space projects. For example, the opening of the one-mile Los Feliz section was celebrated by volunteers, neighborhood residents, and representatives of government associated with the project. The Los Angeles River offers an example of an effort enjoying lasting success. (4) Rivers & Trails Philadelphia based staff developed one of our first partnerships with the health care community in a project known as Wyoming Valley Wellness Trails in Luzerne county, Pennsylvania. The project focused on the development of a sustainable partnership to implement a trail system in a region with a strong community health initiative and provided opportunities for public participation through workshops and information meetings.

CRITERIA FOR SELECTING PROPOSALS:

(1) Initial project partners are identified and a lead project partner is designated. Note: The lead partner may be a federal agency, state or local agency, tribe, non-profit organization, or citizens' group. Federal agencies, including the National Park Service, maybe the lead partner only in collaboration with a nonfederal partner. (2) Project has specific, partner- defined goals and anticipated measurable results that are: clearly stated; support the National Park Service mission and RTCA mission and strategic plan goals; protect important natural resources or enhance outdoor recreation opportunities; and will have results that occur within an appropriate timeframe. (3) There is a commitment by lead project partners to significant public involvement and outreach in the project. (4) Roles and contributions of initial project partners, including RTCA, are identified. There is a commitment by initial project partners to cooperate and to provide or obtain the resources necessary to implement the project. (5) There is

evidence of broad support for the project. Preference is given to a project that also: (a) provides physical connections among resources; (b) includes an NPS area as an actively involved project partner; (c) includes both natural resource conservation and outdoor recreation; (d) partners with a health organization.

15.922 NATIVE AMERICAN GRAVES PROTECTION AND REPATRIATION ACT

(NAGPRA)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Native American Graves Protection and Repatriation Act of 1990 (NAGPRA); Section 10, Public Law 101-601, 25 U.S.C. 3008.

OBJECTIVES:

To provide grants to museums to assist in the consultation on and documentation of Native American human remains and cultural items; to provide grants to Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in identifying human remains and cultural items, including sacred objects, with which they are culturally affiliated; and to provide grants to museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in the repatriation of human remains and cultural items which they are culturally affiliated.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project grants may support the following: Consultation/Documentation grants for museums may be used to: (a) bring lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to inspect collections and consult regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American cultural items; (b) bring traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to inspect collections and consult regarding culturally unidentifiable Native American human remains; (c) provide NAGPRA training for staff members; (d) fund travel by staff members to consult with lineal descendants, traditional religious leaders, and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials regarding the proper care, treatment, and disposition of Native American cultural items; and (e) update documentation of unassociated funerary objects, sacred objects, and objects of cultural patrimony in consultation with lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations. Consultation/Documentation grants for Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used to: (a) assess and evaluate summaries, inventories, and other documentation provided by museums and Federal agencies; (b) provide NAGPRA training for staff members; (c) communicate with museum and Federal agency officials regarding culturally affiliated human remains and cultural items; (d) travel to inspect collections and consult with museum and Federal agency officials regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American human remains and other cultural items; (e) travel to consult with museum and Federal agency officials regarding culturally unidentifiable Native American human remains; (f) document the cultural affiliation of Native American human remains and other cultural items currently in the control of museums and Federal agencies. Repatriation grants for museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used for: (a) travel by authorized representatives of Indian tribes, Alaska Native villages and corporations, Native Hawaiian organizations, or museums to accept and/or accompany human remains or other cultural items that are being repatriated; (b) transportation of Native American human remains or other cultural items that are being repatriated; and (c) construction of appropriate containers for the transport and disposition of repatriated Native American human remains and other cultural items. Grant funds may not be used for: activities related to the documentation or repatriation of human remains and cultural items from the Smithsonian Institution; activities related to the inadvertent discovery and/or intentional excavation of Native American human remains and other cultural items on Federal or tribal lands pursuant to NAGPRA Section 3 and 43 CFR 10 Subpart B; museum or tribal litigation costs, including expert testimony; care and curation of repatriated Native American human remains and cultural items; construction or renovation of facilities; or purchase of land or buildings; costs associated with the transport of cultural items for which control is not transferred to a lineal descendant, Indian tribe, or Native Hawaiian organization; overhead or indirect cost rates above 25 percent; projects proposed by applicants who have previously received a NAGPRA grant, but whose grant expired without successfully completing major elements of the proposed work, or without meeting the condition of the grant award.

Applicant Eligibility:

Eligible applicants for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, of Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible applicants for tribal documentation and repatriation awards are Indian tribes, Alaska Native villages or corporations, and Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in NAGPRA as any tribe, band, nation, or other organized group or community of Indians, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Alaska Native villages and corporations include those groups or communities defined in, or established pursuant to, the Alaska Native Claims Settlement Act. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna 'O Hawai'i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Beneficiary Eligibility:

Eligible beneficiaries for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, of Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible beneficiaries for tribal documentation and repatriation awards are Indian tribes, Alaska Native villages or corporations, or Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in the statute as any tribe, band, nation, or other organized group or community of Indians, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Alaska Native villages and corporations include those groups or communities defined in, or established pursuant to, the Alaska Native Claims Settlement Act. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna 'O Hawai'i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Credentials/Documentation:

Office of Management and Budget (OMB) Circular A-87 "Cost Principles for State and Local Governments" applies for Indian tribes and State institutions. OMB Circular A-122 applies for non-profit institutions. OMB Circular A-21 applies for universities.

Preapplication Coordination:

This program is excluded from coverage under Executive Order 12372. An Environmental Impact Statement/Assessment is not required for this program.

Application Procedure:

Museums, federally recognized Indian tribes, and Native Hawaiian organizations may apply for annual grants in the form of planned activities and projects. Provisions of 43 CFR Part 12 apply. Applicants may contact the National Park Service National NAGPRA program by telephone at (202) 354-2203, by E-mail: NAGPRA_Grants@nps.gov, or on the Web at <http://www.cr.nps.gov/nagpra/grants> OR <http://grants.gov>.

Award Procedure:

An annual appropriation is allocated by the Secretary of the Interior.

Deadlines:

Set dependent upon the date of enactment of appropriations for the fiscal year for which assistance is requested, but generally set approximately February or March each year. For application deadlines, contact the National Park Service National NAGPRA program by telephone at (202) 354-2203, by e-mail at NAGPRA_Grants@nps.gov, or on the Web at <http://www.cr.nps.gov/nagpra/grants>.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There is no statutory apportionment formula; grants are awarded competitively.

Length and Time Phasing of Assistance:

Grants are awarded for up to 18 months. Payments are made upon request for costs incurred.

Reports:

An Interim Progress Report and a Final Progress Report are required from each grantee. A Federal Cash Transaction Report (SF-272) must be submitted to report expenditure of any Advance Payments made to a grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full fiscal and project records to be maintained by grantees in accordance with OMB Circular Nos. A-87 and A-102 (for Indian tribes and State and local governments) or OMB Circular Nos. A-110 (for nonprofit institutions) and A-122 or OMB Circular Nos. A-21 and A-110 (for all other grantees).

Account Identification:

14-1042-0-1-303.

Obligations:

(Grants) FY 07 \$2,400,000; FY 08 \$2,400,000; and FY 09 est \$2,350,000.

Range and Average of Financial Assistance:

\$5,000 to \$75,000 for museum and tribal consultation/documentation grants; any amount up to \$15,000 for repatriation grants. Average amount for a documentation grant: \$63,380. Average amount for a repatriation grant: \$10,931.

PROGRAM ACCOMPLISHMENTS:

As of fiscal year 2006, 523 grants totaling over \$27 million has been awarded to museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations for projects that include the creation of museum/tribe partnerships through staff exchanges; workshops and training opportunities; the coordination of inter-tribal and intra-museum discussions; hiring tribal repatriation coordinators to prepare and review NAGPRA-related documentation, and returning human remains and cultural items to Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and proposal materials are available upon request from the National Park Service, National NAGPRA Program, 1849 C Street, N.W. (2253), Washington, DC 20240. Requests may also be directed to the National NAGPRA Program at (202) 354-2203, or via E-mail: NAGPRA_Grants@nps.gov.

Regional or Local Office:

None.

Headquarters Office:

National NAGPRA, National Park Service, 1849 C Street, N.W. (2253), Washington, DC 20240-0001. Telephone (202) 354-2203; Fax: (202) 371-5197; E-mail: NAGPRA_Grants@nps.gov. Program inquiries should be directed to Sherry Hutt E-mail: Sherry_Hutt@nps.gov.

Web Site Address:

<http://www.cr.nps.gov/nagpra/grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects include but are not limited to: NAGPRA Consultation/Documentation Projects: a type of grant which provides tribes with the funds necessary to set-up a NAGPRA office and begin organizing information received from museums, researching cultural affiliation and communicating with museums; Consultation with Tribes: a type of grant which provides museums with the funds necessary to finance tribal visits which facilitate consultation and allow dialogue about collections; NAGPRA Compliance Workshops: a type of grant which provides funding for regional workshops and training sessions allowing the broad dissemination of information on the implementation of NAGPRA as well as information tailored to suit a particular locale.

CRITERIA FOR SELECTING PROPOSALS:

Grant proposals will be evaluated according to four criteria: Criterion A - Are the objectives of the project consistent with the goals of Native American Graves Protection and Repatriation Act? (Projects that are inconsistent with NAGPRA's goals will not be considered for funding). Are the project objectives clearly described? Special consideration will be given to collaborative proposals,

especially proposals that focus on sharing and disseminating information among multiple museums and/or Indian tribes, or that outline a plan to work with specific Indian tribes and/or museums. Criterion B - Is the project designed to accomplish the project objectives efficiently? Are the activities clearly described in detail, with an explicitly stated link between the activities and the stated objectives? Are activities organized logically? Are project methods and techniques described clearly? Are the roles and responsibilities of all participants defined clearly and described? Applicants with previously funded NAGPRA grants will have to include a description of the progress achieved and describe how the new project fits with the previously funded one. Criterion C - Is the budget reasonable to accomplish project tasks and activities? Are budget items necessary to accomplish project activities? Is the budget justification sufficient to explain project costs in detail? Criterion D - Are project personnel qualified to accomplish project objectives? Are project personnel are qualified for the activities and tasks assigned? Are unfilled positions and consultancies clearly described? Are search criteria defined for all unfilled positions and consultancies?

15.923 NATIONAL CENTER FOR PRESERVATION TECHNOLOGY AND TRAINING

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

National Historic Preservation Act Amendments of 1992, Public Law 102-575, Section 4022, 16 U.S.C. 470x.

OBJECTIVES:

To develop and distribute preservation and conservation skills and technologies for the identification, evaluation, conservation, and interpretation of prehistoric and historic resources; to develop and facilitate training for Federal, State and local resource preservation professionals, cultural resource managers, maintenance personnel, and others working in the preservation field; to apply preservation technology benefits from ongoing research by other agencies and institutions; to facilitate the transfer of preservation technology among Federal agencies, State and local governments, universities, international organizations, the private sector; and to cooperate with related international organizations for the benefit of cultural resource conservation in the United States and worldwide.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Support work in archeology, historic architecture, historic landscapes, objects and materials conservation, ethnography, and interpretation through cooperative agreements and the reservation Technology and Training Grants program. The following types of grants are supported: Applied Research and Technology Transfer in all areas of preservation and conservation; Applied Environmental and Materials Research related to understanding and preventing damage to cultural resources caused by air pollution and other contaminants; Information Management to develop, promote, and disseminate information about preservation technologies; Training and Education to share recent research findings and to promote technology transfer from other disciplines to the field of preservation; Publications to develop books, manuscripts, and articles that focus on collating, synthesizing, reviewing, or interpreting existing preservation knowledge. Ineligible projects include those whose principal focus is the treatment of a specific site, structure, object or collection; proposals exclusively for undergraduate internships or graduate fellowships; projects that are underway prior to the grant award date; projects that duplicate proposals previously submitted; or proposals for conferences for which equivalent results can be obtained at regular meetings of professional societies. Detailed use and use restrictions are specified in the applicable Request for Proposals.

Applicant Eligibility:

Eligible applicants include: U.S. universities and two and four year colleges; U.S. private nonprofit institution/organization and quasi-public nonprofit institution/organization that are directly associated with educational or research activity; Federal, State, local government agencies, Federally recognized Indian Tribal governments and their Tribal Historic Preservation Offices; Profit organization. Non U.S. institutions, organizations or government agencies, or unaffiliated non-U.S. citizens may submit proposals only in partnership with an eligible U.S. academic institution, U.S. non-profit organization or U.S. government agency.

Beneficiary Eligibility:

Individuals involved through their organizations in interdisciplinary efforts to advance the art, craft, and science of historic and prehistoric preservation in the fields of archeology, historic architecture, historic landscapes, objects and materials conservation, and interpretation.

Credentials/Documentation:

Grants and cooperative agreements are awarded to eligible public and private sector practitioners who demonstrate institutional capability and commitment to the Center's mission to preserve historic and prehistoric resources; and who evidence the capacity to perform the proposed work successfully. Proof of

nonprofit status is required of nonprofit organizations and institutions.

Preapplication Coordination:

The program is covered by E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A Call for Proposals is published annually that includes guidelines for preparing proposals within each project type. The information is available upon request via mail, e-mail (pttgrants@ncptt.nps.gov), or our website <http://www.ncptt.nps.gov>. Only materials described under proposal requirements for each project type within the annual Call for Proposals will be considered. Standard application forms, as furnished by the National Park Service must be completed.

Award Procedure:

Applications are evaluated by NCPTT staff, peer reviewers, and a designated National Park Service grants administrator according to selection criteria for each Project Type stated in the Guidelines for Preparing PTT Grants Proposals. Reviews determine the feasibility of the proposed project, and consistency with National Park Service financial and policy requirements concerning the project's scope and purpose. Final award is by the Director of the National Park Service.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

Not applicable. Applicants may reapply if a revised application is submitted in the subsequent competition.

Renewals:

Renewal may be requested through a new, competing application. Extensions of time may be requested subject to acceptable work progress and reasons for the delay.

Formula and Matching Requirements:

Award amounts are subject to availability of funds. In accordance with Title IV, Public Law 102-575, Section 405(b): (1) Grants shall be allocated in such fashion to reflect the diversity of the historic preservation fields and shall be geographically distributed. (2) No recipient may receive more than 10 percent of the awards allocated within any year. (3) Total administrative costs, direct and indirect, charged for carrying out these grants may not exceed 25 percent of aggregate costs. Matching share is not required by law, but may be a selection criterion as stated in the Guidelines.

Length and Time Phasing of Assistance:

Except for Project Type 4, Environmental Effects of Outdoor Pollutants on Cultural Resources - Research and Treatment Development, the period of support is one year. NCPTT's Guidelines specify requirements for Project Type 4 multi-year applications. The Treasury Check method of payment is used for advances or reimbursement. A SF 270, Request for Advance or Reimbursement, must be submitted for each payment requested. Requests for reimbursement or advanced funds may be requested monthly in amounts greater than \$500. Expenses charged to the grant or cooperative agreement may not be incurred prior to the beginning date specified in the agreement or subsequent or the grant end date, and incurred only to carry out the approved objectives, scope of work, and budget.

Reports:

An interim progress report and a final report are required. If an advance payment is requested, an SF 272, Federal Cash Transactions Report, must be submitted demonstrating that advanced funds have been promptly expended.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For programs under OMB Circular No. A-133, financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for 3 years from the date of submission of the final expenditure report. If any audit is started before the 3-year period, the records shall be retained until all issues have been resolved and final action taken. For programs under the purview of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," records must be retained at least 3 years; and must be retained beyond 3 years if audit findings are not resolved.

Account Identification:

14-1042-0-1-303.

Obligations:

(Grants) FY 07 \$345,667; FY 08 \$165,415; and FY 09 est \$250,000.

Range and Average of Financial Assistance:

\$7,856 to \$50,000; \$36,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for Preparing PTT Grants Proposals are available from NCPTT for each Project Type. The "Common Rule" adopting relevant OMB Circulars governing grants and cooperative agreements implemented by the Department of the Interior is published at 43 CFR Part 12.

Regional or Local Office:

Direct inquiries to PTT Grants, NCPTT, 645 College Avenue, Natchitoches, LA 71457. Telephone: (318) 356-7444; Fax: (318) 356-9119; E-mail: ncptt@ncpti.nps.gov. Mary Striegel, Environmental and Materials Research Program Director.

Headquarters Office:

Bob Ruff, Grants Administrator, Heritage Preservation Services Program, National Center for Cultural Resource Stewardship and Partnership, National Park Service, 1849 C St., N.W., MS 2255, Washington, DC 20240. Telephone: (202) 354-2088. Program inquiries should be directed to Andrew Ferrell E-mail: Andrew_Ferrell@nps.gov.

Web Site Address:

<http://family.info.gov/cfda/index.htm>.

RELATED PROGRAMS:

15.904, Historic Preservation Fund Grants-In-Aid; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

The Powered Parachute as an Archaeological Aerial Reconnaissance Vehicle; Development and Testing of Organic Coatings for the Protection of Outdoor Bronze Sculpture from Air-Pollutant Enhanced Corrosion; Olmsted Online Research Guide; Interpreting Ground Penetrating Radar Data, a User's Workshop; and publications.

CRITERIA FOR SELECTING PROPOSALS:

Principal criteria for selecting proposals are: (1) Project activity, results, and audience are clearly described. (2) The project contributes substantially to a better understanding or distribution of knowledge in historic preservation. (3) The project is innovative. (4) The project is cost-effective. (5) The project work will reach an appropriate and adequate audience. Selected projects also share these characteristics: The project is based on creative ideas in preservation or conservation; is significant for the national preservation and conservation community; is clear, concise, and complete, with clearly defined goals, objectives, and results that increase access to and dissemination of information; and is proposed by capable principal investigators and organization.

15.926 AMERICAN BATTLEFIELD PROTECTION

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Omnibus Parks and Public Lands Management Act of 1996; American Battlefield Protection Act of 1996, Section 604, Public Law 104-333, 110 Stat. 4173, 16 U.S.C. 469k.

OBJECTIVES:

Promote the protection and preservation of battlefield lands on American soil by funding non-acquisition preservation methods such as planning, education, survey and inventory.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of battlefield lands and their associated sites on American soil. No funding is given to projects dealing with acquisition of properties or land in fee or interest, payment of rent on properties or land, fund raising, lobbying, battle reenactments, new construction or reconstruction of historic resources, permanent staff positions, object or material culture curation or other on-going activities, academic scholarships, capital construction or improvement projects (site excavation, trail or road construction, parking lots, visitor centers, scene restoration, etc.). Applications for projects associated with NPS-owned sites must focus on resources outside the NPS boundaries.

Applicant Eligibility:

Applicant may be Federal, intrastate, interstate, State and local agencies, public or private nonprofit institutions/organizations, Federally recognized Indian tribal governments, U.S. territory and possessions, Native American organizations, State colleges and universities, public and private colleges and universities. Multi-organizational applications are encouraged.

Beneficiary Eligibility:

Federal, intrastate, interstate, State and local agencies, public and private nonprofit institutions/organizations, Federally recognized Indian tribal governments, U.S. territories and possessions, Native American organizations, State college or universities, public and private colleges and universities.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State's program has been selected for review.

Application Procedure:

Applicants should contact the American Battlefield Protection Program in early September to obtain an application for funding. Applicants will receive a Standard Form 424- Request for Federal Assistance, and a Certificate Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying, DI-2010. Forms must be completed and returned to the American Battlefield Protection Program by the date listed in the Application Guidelines.

Award Procedure:

Award amounts are based on the review panel's recommendations. Reviewers include American Battlefield Protection Program staff and regional supporting staff, NPS historians and NPS archeologists. Each application is scored individually based on a standardized series of questions.

Deadlines:

Contact the American Battlefield Protection Program for application deadlines at (202) 354-2037.

Range of Approval/Disapproval Time:

Applications are reviewed and approved or disapproved by the National Park Service by the end of the Federal fiscal year.

Appeals:

None.

Renewals:

Provision of additional funding will require that the applicant submit a follow-up proposal for the additional funding. The period of performance for a grant may be extended without provision of additional funds through mutual agreement of the beneficiary and the National Park Service.

Formula and Matching Requirements:

Awardees must comply with Department of the Interior regulations and requirements governing grants stipulated in 43 CFR 12. There are no matching fund requirements.

Length and Time Phasing of Assistance:

Project duration should generally be for one year or less. Project funds may be requested as advances and/or reimbursements, with the final payment being a reimbursement. The project must be complete before final payment may be requested. All awarded funds must be expended by the grant end date specified in the grant agreement.

Reports:

Quarterly progress/accounting reports, sent directly to the American Battlefield Protection Program, are required for all projects. A final project report is required of all projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments must be maintained in accordance with the provisions of 43 CFR 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations must be maintained in accordance with the provisions of 43 CFR 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1042-0-1-303.

Obligations:

(Grants) FY 07 \$492,184; FY 08 \$1,367,144; and FY 09 est \$3,000,000.

Range and Average of Financial Assistance:

\$1,000 to \$75,000; \$25,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulated by 43 CFR Part 12 (all sections).

Regional or Local Office:

None.

Headquarters Office:

American Battlefield Protection Program, National Park Service, 1849 C Street, N.W., MS 2255, Washington, DC 20240. Telephone: (202) 354-2037 or (202) 354-2023, Fax: (202) 371-1616. Program inquiries should be directed to

Hampton Tucker E-mail: Hampton_Tucker@nps.gov.

Web Site Address:

<http://www.cr.nps.gov/hps/abpp/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) \$21,000 in technical assistance helped in creating a county-wide Vision and Protection Plan for a county and its local battlefield. (2) \$20,000 in assistance has been awarded for the development of a state-wide heritage trail. (3) \$18,050 used to complete and submit a National Register Nomination for a Virginia battlefield. (4) \$20,000 in funding has gone towards an archeological survey of a Missouri battlefield. (5) \$25,000 was given to a local battlefield commission to pay for the services of a part-time staff person to implement the local battlefield protection plan.

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: Site significance; Level of Threat; Preservation Opportunity; Well-Planned Objectives, Tasks, and Schedules; Qualifications and Coordination of Partner Organization(s); Realistic Budget and Matching Resources.

15.927 HYDROPOWER RECREATION ASSISTANCE

(Rivers and Trails, Hydropower Licensing)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Federal Power Act, 16 U.S.C. 797-828c; Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended, Public Law 90-542; Outdoor Recreation Act of 1963, 16 U.S.C. 4601-3, Public Law 88- 29.

OBJECTIVES:

To serve as a national technical resource to support government, industry, and nonprofit partnerships in ongoing consultations and negotiations about applications for hydropower licensing; to meet present and future outdoor recreation and river conservation needs; to maintain and enhance a project's riparian areas.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Staff assistance is available to stakeholders in FERC hydropower licensing proceedings to assist with recreation issues and river conservation. Assistance is applicable to hydropower projects both within and outside units of the National Park System.

Applicant Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies and hydropower licensing applicants.

Beneficiary Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies and hydropower licensing applicants, as well as the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

Program advice and information is available from the appropriate NPS Regional Office. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the program leader for Rivers, Trails and Conservation Assistance in the appropriate NPS Regional Office.

Award Procedure:

Decisions are based upon adherence to program criteria and the availability of staff.

Deadlines:

Follows requirements of Federal Energy Regulatory Commission; rolling.

Range of Approval/Disapproval Time:

Project specific.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Length of licensing process.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

FY 07 \$818,000; FY 08 \$839,000; and FY 09 est \$850,000.

Range and Average of Financial Assistance:

None. Staff assistance only.

PROGRAM ACCOMPLISHMENTS:

National Park Service Rivers and Trails hydropower program has played a major role in licensing efforts through partnership approaches to river protection and outdoor recreation for responsible hydropower development.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available on the Web. Assistance complies with Federal Energy Regulatory Commission requirements for licensing nonfederal hydropower projects; 18 CFR Parts 4, 5, and 16. Policy and Guidelines for Recreational Technical Assistance in Hydropower Licenses, 57 FR 61915, December 29, 1992.

Regional or Local Office:

Applicants are requested to communicate with National Park Service Regional Offices. See Appendix IV in the Catalog or www.nps.gov/rtca.

Headquarters Office:

Rivers and Hydro Leader, Rivers, Trails and Conservation Assistance, National Park Service, 1849 C Street, N.W., (Org Code 2220), Washington, DC 20240. Telephone: (202) 354-6900. Program inquiries should be directed to Joan Harn at E-mail: Joan_Harn@nps.gov.

Web Site Address:

www.nps.gov/hydro.

RELATED PROGRAMS:

15.921, Rivers, Trails and Conservation Assistance; 15.916, Outdoor Recreation_Acquisition, Development and Planning.

EXAMPLES OF FUNDED PROJECTS:

Lower Chippewa River Settlement Agreement, WI--Technical assistance in establishing recreational flows, recreational facility planning, protection of riparian land and open space, and settlement agreement negotiations between Northern States Power Co. (D.B.A. Xcel Energy), City of Eau Claire, WI, Wisconsin Department of Natural Resources, Fish and Wildlife Service, Army Corps of Engineers, Wisconsin Conservation Congress, Lake Holcombe Improvement Association, Inc., Lake Wissota Improvement Association, Lower Chippewa Restoration Coalition, Inc., Chippewa Rod and Gun Club, River Alliance of Wisconsin, and the National Park Service.

CRITERIA FOR SELECTING PROPOSALS:

Project should have clear opportunity to enhance recreation and lead to tangible conservation of significant natural and cultural resources.

15.928 CIVIL WAR BATTLEFIELD LAND ACQUISITION GRANTS

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Department of the Interior Appropriations Act, Title I, National Park Service, Land and Water Conservation Fund, Public Law 107-63; American Battlefield Protection Act of 1996, Section 604, Public Law 104-333, 110 Stat. 4173, 16 U.S.C. 469k.

OBJECTIVES:

Help States and local communities acquire and preserve threatened Civil War battlefields.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are available for the fee simple acquisition of land, or for the acquisition of permanent, protective interests in land, at Civil War Battlefields listed in the Civil War Sites Advisory Commission's (CWSAC) 1993 Report on the Nation's Civil War Battlefields. Funds may not be used to acquire land or interests in land within the legislative boundary of a National Park. Grantees must provide for the appropriate public access to and enjoyment of any lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the beneficiary to protect the historic features of the battlefield from damage or loss. Grantees may not charge costs for administering the project to the grant or to the required matching share.

Applicant Eligibility:

Eligible applicants are State and local governments. Private nonprofit organizations seeking to acquire battlefield land with assistance from this program must apply in partnership with the State or local government agency that has jurisdiction over the proposed acquisition parcel. The government agency may then subgrant the Federal funds to the nonprofit organization. In any case where a local government or a private non-profit organization acquires land or an interest in land with assistance from this program, it must convey an acceptable perpetual protective easement on the land to the State Historic Preservation Office or other governmental agency acceptable to the National Park Service.

Beneficiary Eligibility:

State and local governments benefit.

Credentials/Documentation:

Prior to the expenditure of grant funds for the purchase of real property, a current appraisal must be obtained. Appraisal preparation, documentation and reporting must be made in conformance with the standards and practices of the Uniform Appraisal Standards for Federal Land Acquisitions (UASFLA), as codified in 49 CFR 24.103, and the Uniform Standards of Professional Appraisal Practices (USPAP Standards 1 and 2) published by the Interagency Land Acquisition Conference.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Proposal packages must be submitted in hard copy. Faxed proposals and proposals submitted electronically will not be accepted. Incomplete proposals will not be considered. Proposals must contain all of the following: 1) a USGS quadrangle map showing the boundaries of the Civil War battlefield and identifying within those boundaries the specific lands to be acquired; 2) a statement defining the threat to the battlefield and the proposed acquisition parcel; 3) a statement from the property owner(s) indicating a willingness to sell or to negotiate for the sale of the property; and 4) a list of sources of the required nonfederal matching share and verification of the availability of the matching funds. Applicants will receive a Standard Form 424-Request for Federal Assistance, and a Certificate Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying, DI-2010. Forms must be completed and returned to the National Park Service.

Award Procedure:

The Director of the National Park Service makes awards to approved applicants.

Deadlines:

Applicants may submit their proposals to National Park Service at any time. Applications for Priority I and II battlefields will be reviewed as they are received. Applications for Priority III and IV battlefields will be reviewed every four months beginning on June 1, 2008, and thereafter on October 1, 2008, February 1, 2009, June 1, 2009, and October 1, 2009, or until funds are no longer available. For example, a Priority III application received on February 2, 2008 will not be reviewed until June 1, 2008.

Range of Approval/Disapproval Time:

The National Park Service will notify the applicant of its decision within 30 days of receipt of proposals concerning Priority I and II battlefields, and within 45 days of the review date of proposals concerning Priority III and IV battlefields.

Appeals:

None. Unsuccessful applicants may resubmit a more complete proposal for consideration in a later round of awards until funds are no longer available.

Renewals:

None.

Formula and Matching Requirements:

A dollar-for-dollar nonfederal match is required for all projects receiving funding through this program.

Length and Time Phasing of Assistance:

Battlefield land or interests in land are purchased immediately after receipt of funds, usually no later than one year after receipt of funds.

Reports:

Progress reports must be submitted twice a year to the National Park Service.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Land acquired with these funds must be preserved in perpetuity. To that end, grantees must encumber the title to the acquired battlefield property with a preservation easement running with the land, in favor of and enforceable in court by the State Historic Preservation Office, or by another government agency acceptable to National Park Service, in perpetuity.

Account Identification:

14-5035-0-2-303.

Obligations:

FY 07 \$4,000,000; FY 08 est \$3,000,000; and FY 09 est \$3,000,000.

Range and Average of Financial Assistance:

\$47,000 to \$540,000; \$287,000.

PROGRAM ACCOMPLISHMENTS:

In FY 02, this program obligated more than \$1.4 million to protect 590 acres of land at five Civil War battlefields in Maryland, Virginia, and West Virginia.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim guidelines are available online at www2.cr.nps.gov/abpp/.

Regional or Local Office:

None.

Headquarters Office:

American Battlefield Protection Program, National Park Service, 1849 C Street, N.W., 2255, Washington, DC 20240-0001. Telephone: (202) 354-2023. Fax: (202) 371-1794.

Web Site Address:

<http://www2.cr.nps.gov/abpp/>; interim guidelines at www2.cr.nps.gov/abpp/lwcf2002.htm

RELATED PROGRAMS:

15.926, American Battlefield Protection.

EXAMPLES OF FUNDED PROJECTS:

Awards are made only for the purchase of land or interests in land (easements) at Civil War battlefields listed in the Civil War Sites Advisory Commission's Report on the Nation's Civil War Battlefields and outside the legislative boundaries of National Parks.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated based on significance of the battlefield, existing threats to the land, willingness of current property owners to sell, and availability of required nonfederal matching funds.

15.929 SAVE AMERICA'S TREASURES

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Omnibus Parks and Public Lands Management Act of 1996; National Historic Preservation Act of 1966, as amended, Section 108; Public Law 108-108, 110 Stat. 4174; 16 USC 470.

OBJECTIVES:

To provide matching grants for preservation and/or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of nationally significant historic structures and sites, as well as nationally significant collections of intellectual and cultural artifacts, documents, sculpture and works of art. Projects to repair structures must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). These treatments are preservation, rehabilitation, restoration, and reconstruction. No funding is given to projects dealing with acquisition of properties or, collections, payment of rent on properties or land, fund raising, and lobbying. The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property to the State Historic Preservation Officer (SHPO) for at least 50 years from the end date of the grant agreement.

Applicant Eligibility:

Federal (if part of the Interior and Related Agencies Appropriation), Intrastate, Interstate, State and Local agencies, Public or Private nonprofit institutions/organizations, State Colleges and Universities, Public and Private Colleges and Universities, and Federally Recognized Indian Tribes. Individuals are not eligible applicants. Properties receiving Save America's Treasures (SAT) grant assistance must be listed in the National Register of Historic Places for National significance.

Beneficiary Eligibility:

Any Federal, Intrastate, Interstate, State and local agencies, Public or Private nonprofit institution/organization, State Colleges or University, Public and Private College or University, or Federally recognized Indian tribes.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should contact the Save America's Treasures Program in January to obtain an application for funding. Applicants will receive a Save America's Treasures Program application and instructions. Forms must be completed and returned to the Save America's Treasures Program by the date listed in the Application Guidelines. The Application Guidelines can be found at www2.cr.nps.gov/treasures. The Program is included in Grants.gov FIND and APPLY. If you don't have internet access, the request for an application may be sent to: Grants Manager, Save America's Treasures, National Park Service, 1849 C Street, NW, Mail Stop 2255, Washington, DC 20240.

Award Procedure:

Award amounts are based on the review panel recommendations. Each application is scored individually based on standardized criteria.

Deadlines:

Contact the Save America's Treasures Program for application deadlines at (202) 513-7270, Ext. 6.

Range of Approval/Disapproval Time:

Applications are reviewed and approved or disapproved by the Save America's Treasures Program by the end of the Federal fiscal year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Grants must be fully matched on a dollar-for-dollar basis.

Length and Time Phasing of Assistance:

Project duration is generally for two years. Funds for the project may be requested as advances and/or reimbursements via SMARTLINK electronic payment system, with the final payment being a reimbursement. All conditions of the project must be complete at the time of requesting the final payment. All awarded funds must be expended within 90 days of the grant end date specified in the Grant agreement.

Reports:

Quarterly performance and financial reports (SF-270 and SF-272), sent directly to the Save America's Treasures Program, are required for all projects. Final performance and financial status reports are required within 90 days of the grant end date. The final payment request will not be approved until an acceptable final report has been submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments must be maintained in accordance with the provisions of 43 CFR 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations must be maintained in accordance with the provisions of 43 CFR 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1042-0-1-303.

Obligations:

FY 07 \$8,074,000; FY 08 \$24,610,000; and FY 09 est \$15,000,000.

Range and Average of Financial Assistance:

\$50,000 to \$500,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulated by 43 CFR Part 12.

Regional or Local Office:

None.

Headquarters Office:

Save America's Treasures Program, National Park Service, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 513-7270, Ext. 6; Fax: (202) 371-1794. Program inquiries should be directed to e-mail: Hampton_Tucker@nps.gov.

Web Site Address:

www2.cr.nps.gov/treasures

RELATED PROGRAMS:

15.904, Historic Preservation Fund Grants-In- Aid; 15.914, National Register of Historic Places.

EXAMPLES OF FUNDED PROJECTS:

Star-Spangled Banner Flag House, Baltimore, Maryland - This National Historic Landmark was the home of the seamstress who sewed the flag that inspired Francis Scott Key to write the National Anthem. Funds used to address invasive moisture problems. Historic Drawings and Documents Collection, Yellowstone National Park, Yellowstone, NPS, Wyoming - The 15,000 drawings in this collection document work in the nation's first national park from the 19th century to the present. This grant will support conservation of the collection. Pollock-Krasner House and Study Center, East Hampton - This National Historic Landmark was the home and studio of 20th-century American painters Jackson Pollock and Lee Krasner. It contains their personal effects, artist materials and the studio floor on which Pollock created his masterpieces of direct painting.

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: critical preservation need; well-planned objectives, tasks, and schedules; qualifications and coordination of partner organization(s); and realistic budget and matching resources.

15.930 CHESAPEAKE BAY GATEWAYS NETWORK

(Chesapeake Bay Gateways)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Chesapeake Bay Initiative Act of 1993, Public Law 105-312, Section 501-2.

OBJECTIVES:

To aid State and local governments, local communities, nonprofit organizations and the private sector in conserving, restoring and interpreting important historic, cultural, recreational and natural resources within the Chesapeake Bay watershed and the Chesapeake Bay Gateways Network.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Gateways grants assist projects at designated Gateways in the Chesapeake Bay Gateways Network. Only projects related to a currently designated Gateway are eligible for assistance. Gateways can be sites, water trails, land trails, byways, regional information centers or hubs and are designated through a separate nomination process. Information on the nomination process can be found at www.baygateways.net.

Applicant Eligibility:

Eligible applicants are limited to designated Gateways, their managing organizations or partners acting on their behalf including state and local governments and non-profit organizations. Only projects related to a currently designated Gateway are eligible for assistance. Gateways are designated through a separate nomination process.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applications may be submitted by managing organization for a designated Gateway or partners acting on behalf of a designated Gateway, including state and local governments and non-profit organizations.

Preapplication Coordination:

None required, but it is suggested that applicants contact the Chesapeake Bay Gateways Network program office and discuss the proposed project to aid in the applicants proposal process. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The grant opportunity and SF 424 application package will be posted on www.grants.gov. Additional information can be found at the Chesapeake Bay Gateways network web site, www.baygateways.net. The application package includes information about the application process and project activities that may receive priority attention.

Award Procedure:

The application request is reviewed by a panel made up of representatives of the Gateways Network Working Group and National Park Service (NPS) staff. The members independently review and rank each proposal, meet as a panel to discuss the proposals and recommend to the Superintendent, NPS Chesapeake Bay Program Office, proposals to be funded. The NPS makes the final decision on funding. Applicants selected for funding are then contacted regarding next steps.

Deadlines:

For public information, deadlines are displayed at www.grants.gov and at the Gateways website, www.baygateways.net. The application deadline is typically set for May, June, or July.

Range of Approval/Disapproval Time:

It typically takes 45 to 60 days after the application deadline for selection and award or disapproval.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Per Public Law 105-312, Section 501 requested funds shall not exceed 50 percent (50 percent) of eligible project costs and shall be made on the condition that nonfederal sources, including in-kind contributions of services or materials, provide the remainder of eligible project costs. This means that Federal funds must be matched by an equal or greater amount of nonfederal funds which may include in-kind contributions of services or materials including volunteer labor, cash or revenue sources dedicated to the same project. Applicants should read the grant program guidelines for details on the various kinds and qualifications of matching funds.

Length and Time Phasing of Assistance:

The project period is typically for a time period not to exceed 18 months.

Reports:

All Gateway projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports, a Financial Status Report and an SF 269. Recipients are also required to submit an SF 270 for reimbursement payments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised June 27, 2003), Audits of States, Local Governments, and NonProfit Organizations, non-federal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1042-0-1-303.

Obligations:

FY 07 \$480,350; FY 08 est \$550,000; and FY 09 est \$600,000.

Range and Average of Financial Assistance:

Awards range from \$5,000 to \$150,000. The average awards in past years are: FY 06 est \$60,346.

PROGRAM ACCOMPLISHMENTS:

From 2000-2006 requests for grants totaled \$10,092,487 and \$7,226,291 in requests were funded, or 71 percent.

REGULATIONS, GUIDELINES, AND LITERATURE:

Gateways grant guidelines, qualifications, and application instructions for applicants are all available for free on the Gateways program web site www.baygateways.net.

Regional or Local Office:

John Maounis, Chesapeake Bay Program Office, NPS, 410 Severn Avenue, Suite 109, Annapolis, MD 21403. Telephone: (410) 267-5720, Fax: (410) 267-5777, E-mail: jmaounis@chesapeakebay.net.

Headquarters Office:

Chrysandra Walter, Acting Regional Director, National Park Service, Northeast Region, 200 Chestnut Street, Philadelphia, PA 19106; Telephone: (215) 597-7013, Fax: (215) 597-0815, E-mail: chrysandra_walter@nps.gov. Program inquiries should be directed to Peggy Wall at peggy_wall@nps.gov.

Web Site Address:

www.baygateways.net.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Developed an 80 mile water trail on the Juniata River in Pennsylvania with a map and guide and 14 interpretive signs; an interpretive plan and new decoy exhibit for the Havre de Grace Decoy Museum; an interpretive exhibit on the working waterman at Smith Island; tidal wetland restoration with public involvement at the Blackwater NWR; interpretive map of the Chesapeake Bay watershed and its three major environments in a park at the National Aquarium in Baltimore; improving access to and interpretation of the Thomas Point Lighthouse; interpretive signage for city trail and development of stewardship messages in Baltimore; science education curriculum for grades 6 through high school at Pickering Creek Audubon Center; orientation video for the USS Constellation; interpretive signs and access to the Bay at Watermens Museum in Virginia.

CRITERIA FOR SELECTING PROPOSALS:

Grant proposals are reviewed by Gateways Network Working Group members and National Park Service staff. Proposals are evaluated on the basis of their: Contributions/measurable results toward established Gateways Network goals; Appropriateness to specific program priorities; Clarity of project objectives and deliverables, and the plans for sustaining them over time; Clarity and credibility of the projects scope of work, timeline and budget; importance in enhancing a Gateways effectiveness. Consideration is given to project cost effectiveness, matching share and geographic distribution.

15.931 CONSERVATION ACTIVITIES BY YOUTH SERVICE ORGANIZATIONS

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Omnibus Consolidated Appropriations Act, 1997, Public Law 104-208, 16 U.S.C. 1g, Cooperative Agreements, 110 Stat. 3009181, 3009189, Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C. 1f, Challenge-Cost Share Agreement Authority, 110 Stat. 4199, Youth Conservation Corps Act of 1970, as amended, Public Law 91-378, Public Law 10-382, 107 Stat. 850, Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109-154, 16 U.S.C. 1701-1706, 1723, and 119 Stat. 2891, 2893.

OBJECTIVES:

To utilize qualified youth or conservation corps to carry out appropriate conservation projects which the Secretary is authorized to carry out under other

authority of law on public lands.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year.

Applicant Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources.

Beneficiary Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources; Individuals/Families; Graduate Students; youth or corps located in a specific area that have a substantial portion of members who are economically physically, or educationally disadvantaged (Public Land Corps Act of 1993); General Public, specifically, young people, minority groups, social and economically disadvantaged individuals will benefit from the education and skill development in the area of conservation as well as instilling a conservation ethics.

Credentials/Documentation:

Documentation demonstrating that an organizations purpose is strongly related or solely for the purpose of youth/student education in a conservation oriented environment. Documentation supporting affiliation with The Corps Network, formerly The National Associate of Service and Conservation Corps, and Proof of past performance in the areas of resource management, conservation and cultural resources and assistance to youth. If applying as a nonprofit organization, proof of nonprofit status will be required. Additional information on awards made under Challenge Cost Share, is available at the NPS web site Challenge Cost Share Program Guidelines. Each organization submitting an application will be required to follow the Grants.gov registration requirements in advance of submitting an application. The procedures are provided at http://www.Grants.gov/applicants/get_registered.jsp.

Preapplication Coordination:

Consultation or assistance from the agency with this application may be available from the NPS. There are no pre-application requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible applicants will be required to submit an Application, SF-424, and a written proposal through the <http://www.Grants.gov> website following the procedures outlined in the announcement, and will include a title, geographic area(s) of requested assistance, objectives, and a budget proposal to reflect a typical project.

Award Procedure:

Application packages will be posted for an Eligible Applicants response utilizing the <http://www.Grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by the NPS and may be circulated for others to review. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement by the Awarding Official within the time specified on the announcement. Additional information on awards made under Challenge Cost Share, is available at the NPS web site Challenge Cost Share Program Guidelines.

Deadlines:

Application deadlines will be announced with the announcement of the specific opportunity.

Range of Approval/Disapproval Time:

Generally, 30 to 90 days.

Appeals:

None.

Renewals:

Yes. Renewals are available at the end of each term and will be negotiated between the awardee and the National Park Service.

Formula and Matching Requirements:

With the exception of Challenge Cost Share, this program has no statutory formula. Additional information on awards made under Challenge Cost Share, is available at the NPS web site, Challenge Cost Share Program Guidelines.

Length and Time Phasing of Assistance:

Assistance is provided on a Project basis. Most projects are two to three months in duration depending on complexity and other factors.

Reports:

Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form 270 Request for Advance or Reimbursement, Standard Form 272 Federal Cash Transaction Report, and a quarterly submittal of Standard Form 269 the Financial Status Report as outlined in 2 CFR 215.52. Additionally all organizations will be required to submit a

yearly narrative summary accomplishments reports to the National Park Service WASO Youth Programs Office at the end of each Fiscal Year. All projects and activities are monitored for progress and compliance with agreed upon scope of work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and nonprofit organizations, nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after December 1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after December 1, 2003 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1042-0-1-303.

Obligations:

(Grants) FY 07 \$12,000,000; FY 08 est \$11,000,000; and FY 09 est \$11,000,000.

Range and Average of Financial Assistance:

In FY 2006 there were 227 completed Public Land Corp projects for a total of \$5.6 million with a average dollar amount of \$25,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2006 there were 227 completed Public Land Corp projects. There were more than 78 programs for high school age students in FY 06 and more than 200 Park sites had conservation projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

NPS Youth Programs at <http://www.nps.gov/youthprograms/index.htm>.

Regional or Local Office:

Alaska Region, Clara Wooden, 2525 Gambell Street, Room 107, Anchorage, AK 99503; Telephone: (907) 644-3416. Northeast Region, Frank Middleton, 14 Beacon Street, Room 503, Boston, MA 02108; Telephone: (617) 227-6537. Midwest Region, Nora Lehmer, 601 Riverfront Drive, Omaha, NE 68102; Telephone: (402) 661-1672; National Capital Region Cynthia, Salter-Stith, 110 Ohio Drive S.W., Washington, DC 20242; (202) 619-7055, Intermountain Region, Carol J. Bennetts, 12795 West Alameda Parkway, Denver, CO 80225-0287; Telephone: (303) 969-2030, Southeast Region, Priscilla Nalls, Atlanta Federal Center, 100 Alabama Street S.W., Atlanta, GA 30303; Telephone: (404) 562-3103 Ext. 652, Pacific West Region, Sonya Capek, Seattle System Support Office, 909 First Avenue, Seattle, WA 98104; Telephone: (206) 220-4271.

Headquarters Office:

George McDonald, Management Analyst-Acting WASO Youth Programs Coordinator, National Park Service, 1201 I Street N.W., Washington DC 20005; Telephone: (202) 513-7146, Fax: (202) 371-2263; E-mail: george_mcdonald@nps.gov.

Web Site Address:

<http://www.nps.gov/youthprograms/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Wilderness Restoration Program Removal and ecological restoration of inappropriate campsites, the removal of invasive non-native plants, and the obstruction and ecological restoration of multiple trail ruts. Work tasks include: hand pulling of invasive plants, placement of obstructions in trail ruts, fire-ring and charcoal dispersal, obstruction of the site through the placement of logs and rocks, decomposition of the soil and the placement of locally generated organic material. The goal of this project is to facilitate the return of natural processes in areas affected by humans. 2-month duration (2) Ranger Assistance Provide hiking and Leave No Trace camping information to visitors in the field and at the park visitor center; issue backcountry permits; assist backcountry rangers on a variety of projects; patrol backcountry on foot; assist with search and rescue and/or supervise groups of volunteers on short work projects in the backcountry. 3-month duration (3) Restoration and Conservation Project Take the lead in the reclamation of an abandoned mine; prepare the site with erosion control textile and assist in the development of methods to mitigate acidity levels in the soil; conduct site preparation and eventual revegetation. 3-month duration (4) Cultural Resource Project Conduct research, participate in meeting and develop a narrative history of a Historic Site. 2-month duration (5) Resource Management Assist park conservation staff in historic building structural rehabilitation; maintain the historic appearance of the structure; assist with

sheep habitat restoration, 6-month duration.

CRITERIA FOR SELECTING PROPOSALS:

(1) Qualifications of the organization or institution; (2) The Secretary may give preference to qualified youth or conservation corps located in a specific area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area. The Secretary may give preference to qualified youth or conservation corps located in a specific geographic area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area; (3) Past performance in the area of youth conservation corps activities; and (4) Organizations ability to reach underserved and disadvantaged population groups.

15.978 UPPER MISSISSIPPI RIVER SYSTEM LONG TERM RESOURCE MONITORING PROGRAM

(LTRMP)

FEDERAL AGENCY:

GEOLOGICAL SURVEY, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Upper Mississippi River Management Act of 1986, Public Law 99-662, Section 1103, 33 U.S.C. 652.

OBJECTIVES:

To provide decision makers with information needed to maintain the Upper Mississippi River System (UMRS) as a sustainable large river ecosystem given its multiple-use character. Congress has declared the UMRS to be both a nationally significant ecosystem and a nationally significant commercial navigation system. The long-term goals of the LTRMP are to: understand the UMRS ecosystem, monitor trends and effects with respect to selected resources, develop resource management alternatives, manage information, and develop useful products.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting resource monitoring and research as specified in the LTRMP Operations Plan and for other activities to carry out the purposes of the Water Resources Development Act of 1986.

Applicant Eligibility:

States, local governments, intrastate/interstate agencies, sponsored organizations, private nonprofit institutions/organizations for the purposes stated in the Water Resources Development Act of 1986.

Beneficiary Eligibility:

UMRS State, Federal, and private natural resource managers, users, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed activity.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application form Standard Form (SF) 424, 424-A, and 424-B. The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin; the Upper Mississippi River Basin Association; or any other agency established under paragraph (d)(1) of the Water Resources Development Act of 1986, Public Law 99-662 are exempt from the application procedures by virtue of the Act. In order to comply with the Department of Interior regulations, the United States Geological Survey is now requiring all applications for grants and cooperative agreements to be submitted electronically via the Grants.gov website. Grants.gov is a central online system for applicants to find and apply for grants and cooperative agreements across the Federal government.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a contracting officer.

Deadlines:

None.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

None. The application may be revised and resubmitted.

Renewals:

The LTRMP is a permanent program. Agreements may be renewed on an annual basis dependent upon funding availability.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative Agreements will be awarded in 12-month budget periods. Funds are released as required.

Reports:

Annual and final technical and financial reports are required.

Audits:

Covered awardees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.). In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 07 \$2,950,136; FY 08 \$2,691,425; and FY 09 est \$2,429,261.

Range and Average of Financial Assistance:

\$307,493 to \$777,324; \$448,571.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 6 cooperative agreements were made to support the LTRMP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 99-662, Section 1103, as amended. Information regarding LTRMP publications may be found at the web site address.

Regional or Local Office:

Inquiries regarding the LTRMP may be addressed to the Upper Midwest Environmental Sciences Center, 2630 Fanta Reed Road, La Crosse, Wisconsin 54603, POC: Michael Jawson, Center Director, (608) 781-6221, Fax: (608) 783-6066, E-mail: Linda_Leake@usgs.gov; or Thomas S. Kelly, Management Analyst, (608) 781-6229, Fax: (608) 783-6066, E-mail: Tom_Kelly@usgs.gov; UMESC web site address, <http://www.umesc.usgs.gov>.

Headquarters Office:

None.

Web Site Address:

<http://www.usgs.gov/ltrmp.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

To date, cooperative agreements and amendments in the amount of approximately \$44,089,000 have been granted to States, universities, and other recipients to conduct resource (fisheries, water quality, invertebrate, vegetation) monitoring and research of the Upper Mississippi River System.

CRITERIA FOR SELECTING PROPOSALS:

The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, and their institutions and agencies; States, universities, and others having expertise and experience conducting monitoring and research of the Upper Mississippi River System; States, universities, and others having expertise and experience conducting monitoring and research of large, impounded river systems.

DEPARTMENT OF JUSTICE

16.001 LAW ENFORCEMENT ASSISTANCE-NARCOTICS AND DANGEROUS DRUGS-LABORATORY ANALYSIS

FEDERAL AGENCY:

DRUG ENFORCEMENT ADMINISTRATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970;

Reorganization Plan No. 1, 1968; Reorganization Plan No. 2, 1973.

OBJECTIVES:

To provide technical assistance to duly constituted state, county, and municipal law enforcement agencies regarding specialized forensic examinations involving drug evidence.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

DEA laboratories will conduct specialized forensic chemical analysis and examinations involving drug evidence for duly constituted state, county, and municipal law enforcement agencies on an as needed basis, in accordance with DEA policy and procedures. This service supplements but does not replace services provided by state, county, or municipal laboratories. The agencies/laboratories requesting the service must not have the capability of conducting the examination(s) requested. Examinations are made with the understanding that the evidence is connected with an official investigation of a criminal matter related to the mission of the DEA, and that the resulting laboratory report will be used only for official purposes related to the investigation or subsequent prosecution. Laboratory services and follow-up court testimony, if required, will be provided free of cost to agencies. DEA will assist state, county, and municipal agency laboratories with the training of their forensic chemists, as necessary and where appropriate. NOTE: THIS IS NOT A GRANT PROGRAM. NO FUNDING IS AVAILABLE.

Applicant Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

A formal written request is required from an appropriate official of the requesting law enforcement agency.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Made in the form of a letter on official letterhead to the Director of the DEA Laboratory which services the regional area where the agency is located; or to DEA Headquarters, Washington, DC 20537; Attn: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

Note: This is not a grant program. No funding is available.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Salaries and expenses) FY 07 \$4,077,245; FY 08 est \$4,754,523; and FY 09 est \$5,229,975.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 4,829 State and local exhibits were analyzed.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Please contact the field laboratories of the DEA; see Appendix IV of the catalog for a list of addresses.

Headquarters Office:

Deputy Assistant Administrator, Office of Forensic Sciences, Drug Enforcement Administration, Washington, DC 20537. Telephone: (202) 307-8866.

Web Site Address:

<http://www.dea.gov>.

RELATED PROGRAMS:

16.301, Law Enforcement Assistance_FBI Crime Laboratory Support; 16.303, Law Enforcement Assistance_FBI Fingerprint Identification.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.003 LAW ENFORCEMENT ASSISTANCE-NARCOTICS AND DANGEROUS DRUGS TECHNICAL LABORATORY PUBLICATIONS

(Microgram)

FEDERAL AGENCY:

DRUG ENFORCEMENT ADMINISTRATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970;

Reorganization Plan No. 2, 1973.

OBJECTIVES:

To disseminate scientific information on the detection and analysis of narcotics and dangerous drugs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

To provide current information on detection and analysis of narcotics and dangerous drugs.

Applicant Eligibility:

Forensic laboratories or scientists doing work for law enforcement agencies.

Beneficiary Eligibility:

Forensic laboratories or scientists doing work for law enforcement agencies.

Credentials/Documentation:

The applicant must apply on official letterhead of a law enforcement agency.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Made in the form of a letter on official letterhead to DEA Headquarters, DC 20537; ATTN: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Expenses) FY 07 \$650; FY 08 est \$2,500; and FY 09 est \$650.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 12 Microgram Bulletin issues and one Microgram Journal issue were published. Since the publication is currently available on the internet, the number of issues disseminated via U.S. mail has been greatly reduced. In fiscal year 2007, approximately 1.3 million page views were made on the Microgram site,

<http://www.usdoj.gov/dea/program/forensicsci/microgram/index.html>.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Microgram" -no charge to eligible applicants.

Regional or Local Office:

None.

Headquarters Office:

Deputy Assistant Administrator, Office of Forensic Sciences, Drug Enforcement Administration, Washington, DC 20537. Telephone: (202) 307-8866.

Web Site Address:

<http://www.dea.gov>.

RELATED PROGRAMS:

16.005, Public Education on Drug Abuse_Information; 93.242, Mental Health Research Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.004 LAW ENFORCEMENT ASSISTANCE-NARCOTICS AND DANGEROUS DRUGS TRAINING**FEDERAL AGENCY:**

DRUG ENFORCEMENT ADMINISTRATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970; Reorganization Plan No. 2, 1973.

OBJECTIVES:

To acquaint appropriate professional and enforcement personnel with (1) techniques in the conduct of drug investigations; (2) aspects of physical security in legitimate drug distribution; (3) techniques in analysis of drugs for evidential purpose; (4) pharmacology, socio-psychological aspects of drug abuse, drug education, and; (5) management and supervisory training for drug unit Commanders.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

For use by accredited members of agencies or organizations involved in the control of drug abuse or regulation of the drug industry.

Applicant Eligibility:

State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Beneficiary Eligibility:

State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Credentials/Documentation:

The applicant must furnish suitable credentials.

Preapplication Coordination:

Nominations received through DEA Field Division Offices. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Made in the form of a letter or a pre- printed application to the Divisional Office in the area or to the DEA Office of Training.

Award Procedure:

By written communication to applicant (teletype, letter, etc).

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

(Salaries and Expenses) FY 07 \$3,099,850; and FY 08 est \$3,434,610; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year (FY) 2006, the Office of Training (TR) provided training to 41,313 state and local law enforcement personnel. In FY 2007, TR provided

training to 42,204 state and local law enforcement personnel. In FY 2008, TR will provide training to approximately 36,580 state and local law enforcement personnel.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See the list of regional offices of the DEA in the Catalog address Appendix IV.

Headquarters Office:

Drug Enforcement Administration, Office of Training, P.O. Box 1475, Quantico, VA 22134-1475. Contact: Donald R. Webb; Telephone: (703) 632-5141; Fax: (703) 632-5167.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.300, Law Enforcement Assistance_FBI Advanced Police Training; 16.302, Law Enforcement Assistance_FBI Field Police Training; 16.012, Alcohol, Tobacco, and Firearms_Training Assistance; 97.015, Secret Service_Training Activities.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.012 ALCOHOL, TOBACCO, AND FIREARMS-TRAINING ASSISTANCE**FEDERAL AGENCY:**

BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND EXPLOSIVES, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title XVIII, Public Law 90-351; Gun Control Act of 1968, Title XVIII, Public Law 90-618, 18 U.S.C. 44; Organized Crime Control Act of 1970, Title XVIII, Public Law 91-452, 18 U.S.C. 40.

OBJECTIVES:

To help the participant identify the laws relating to alcohol, tobacco, firearms and explosives, and provide training in specific investigative skills and techniques, and to help State, county, and local law enforcement officers improve their law enforcement capabilities in the organized crime area, including arson, undercover, firearms and explosives investigations.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Training programs are conducted in the areas of: Identification of the Firearms Problem; Motives and Analysis of Applicable Federal, State and Local Laws; Laboratory Capability; Sources of Information; Interviewing; Investigative Techniques; and Case Management.

Applicant Eligibility:

State, county, and local law enforcement agencies. Participation is limited to non-uniformed police personnel engaged in firearms and arson investigations or in the process of being assigned or promoted to such non-uniformed assignments.

Beneficiary Eligibility:

State, county, and local law enforcement agencies.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit requests to the Assistant Director (Training and Professional Development), Bureau of Alcohol, Tobacco and Firearms, 99 New York Avenue, NE., Washington, DC 20226.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-0700-0-1-751.

Obligations:

(Travel and Materials) FY 07 \$2,500,000; FY 08 est \$3,200,000; and FY 09 est not reported. (Note: Agencies will bear the cost of training on a reimbursable basis.)

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Each year about 600 law enforcement officers participate in ATF arson/explosives investigative training, cigarette tax audit enforcement, undercover investigative training, and armed criminal training.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Chief, National Center for Explosives Training and Research, 99 New York Avenue, NE., Washington, DC 20226. Telephone: Commercial (202) 648-8401.

Web Site Address:

<http://www.atf.gov>.

RELATED PROGRAMS:

16.004, Law Enforcement Assistance_Narcotics and Dangerous Drugs Training; 16.300, Law Enforcement Assistance_FBI Advanced Police Training; 16.302, Law Enforcement Assistance_FBI Field Police Training; 21.004, Exchange of Federal Tax Information With State Tax Agencies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.013 VIOLENCE AGAINST WOMEN ACT COURT TRAINING AND IMPROVEMENT GRANTS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

This program was authorized by the Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 105, Public Law 109-162, 42 U.S.C. 14043a.

OBJECTIVES:

To improve court responses to adult and youth domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purposes: (1) improved internal civil and criminal court functions, responses, practices, and procedures; (2) education for court-based and court-related personnel on issues relating to victims' needs, including safety, security, privacy, confidentiality, and economic independence, as well as information about perpetrator behavior and best practices for holding perpetrators accountable; (3) collaboration and training with Federal, State, tribal, territorial, and local public agencies and officials and nonprofit, nongovernmental organizations to improve implementation and enforcement of relevant Federal, State, tribal, territorial, and local law; (4) enabling courts or court-based or court-related programs to develop new or enhance current -- (A) court infrastructure (such as specialized courts, dockets, intake centers, or interpreter services); (B) community-based initiatives within the court system (such as court watch programs, victim assistants, or community-based supplementary services); (C) offender management, monitoring, and accountability programs; (D) safe and confidential information-storage and -sharing databases within and between court systems; (E) education and outreach programs to improve community access, including enhanced access for underserved populations; and (F) other projects likely to improve court responses to domestic violence, dating violence, sexual assault, and stalking; and (5) providing technical assistance to Federal, State, tribal, territorial, or local courts wishing to improve their practices and procedures or to develop new programs.

Applicant Eligibility:

Federal, State, tribal, territorial, or local courts or court-based programs; and national, State, tribal, territorial, or local private, nonprofit organizations with demonstrated expertise in developing and providing judicial education about domestic violence, dating violence, sexual assault, or stalking.

Beneficiary Eligibility:

Courts and nonprofit organizations.

Credentials/Documentation:

Applicants must certify in writing that: (A) any courts or court-based personnel working directly with or making decisions about adult or youth parties experiencing domestic violence, dating violence, sexual assault, and stalking

have completed or will complete education about domestic violence, dating violence, sexual assault, and stalking; (B) any education program developed under section 14043 of this title has been or will be developed with significant input from and in collaboration with a national, tribal, State, territorial, or local victim services provider or coalition; and (C) the grantee's internal organizational policies, procedures, or rules do not require mediation or counseling between offenders and victims physically together in cases where domestic violence, dating violence, sexual assault, or stalking is an issue.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her state for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

This is a newly established program. OVW expects to issue a solicitation in Fiscal Year 2009. Check for online announcements at www.ovw.usdoj.gov.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an Application Kit for the program. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est 1,579,200.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural

Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.014 ENGAGING MEN AND YOUTH IN PREVENTING DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

This program was authorized by the Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IV, Section 401, Public Law 109-162, 42 U.S.C. 14043d-4.

OBJECTIVES:

To develop or enhance programs related to engaging men and youth in preventing domestic violence, dating violence, sexual assault, and stalking by helping them to develop mutually respectful, nonviolent relationships.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grants may be awarded for the following statutory purposes: (A) to develop or enhance community-based programs, including gender-specific programs in accordance with applicable laws that--(i) encourage children and youth to pursue nonviolent relationships and reduce their risk of becoming victims or perpetrators of domestic violence, dating violence, sexual assault, or stalking; and (ii) that include at a minimum--(I) information on domestic violence, dating violence, sexual assault, stalking, or child sexual abuse and how they affect children and youth; and II) strategies to help participants be as safe as possible; or (B) to create public education campaigns and community organizing to encourage men and boys to work as allies with women and girls to prevent violence against women and girls conducted by entities that have experience in conducting public education campaigns that address domestic violence, dating violence, sexual assault, or stalking.

Applicant Eligibility:

Eligible entities under the first statutory purpose area are--(A) nonprofit, nongovernmental domestic violence, dating violence, sexual assault, or stalking victim service providers or coalitions; (B) community-based child or youth services organizations with demonstrated experience and expertise in addressing the needs and concerns of young people; (C) a State, territorial, tribal, or unit of local governmental entity that is partnered with an organization described in subparagraph (A) or (B); or (D) a program that provides culturally specific services. Eligible entities under the second statutory purpose area (public education campaigns and community organizing) are nonprofit, nongovernmental organizations or coalitions that have a documented history of creating and administering effective public education campaigns addressing the prevention of domestic violence, dating violence, sexual assault or stalking.

Beneficiary Eligibility:

Other nonprofit, nongovernmental organizations including community and faith-based organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her state for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

This is a newly established program. OVW expects to issue a solicitation in Fiscal Year 2009. Check for online announcements at www.ovw.usdoj.gov.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Grants under this program will be for a period of two years. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est \$1,579,200.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.016 CULTURALLY AND LINGUISTICALLY SPECIFIC SERVICES PROGRAM

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

This program was authorized by the Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 121, Public Law 109-162, 42 U.S.C. 14045a.

OBJECTIVES:

To enhance culturally and linguistically specific services for victims of domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds shall be used for the following statutory program purposes: (A) working with State and local governments and social service agencies to develop and enhance effective strategies to provide culturally and linguistically specific services to victims of domestic violence, dating violence, sexual assault, and stalking; (B) increasing communities' capacity to provide culturally and linguistically specific resources and support for victims of domestic violence, dating violence, sexual assault, and stalking crimes and their families; (C) strengthening criminal justice interventions, by providing training for law enforcement, prosecution, courts, probation, and correctional facilities on

culturally and linguistically specific responses to domestic violence, dating violence, sexual assault, and stalking; (D) enhancing traditional services to victims of domestic violence, dating violence, sexual assault, and stalking through the leadership of culturally and linguistically specific programs offering services to victims of domestic violence, dating violence, sexual assault, and stalking; (E) working in cooperation with the community to develop education and prevention strategies highlighting culturally and linguistically specific issues and resources regarding victims of domestic violence, dating violence, sexual assault, and stalking; (F) providing culturally and linguistically specific programs for children exposed to domestic violence, dating violence, sexual assault, and stalking; (G) providing culturally and linguistically specific resources and services that address the safety, economic, housing, and workplace needs of victims of domestic violence, dating violence, sexual assault, or stalking, including emergency assistance; or (H) examining the dynamics of culture and its impact on victimization and healing.

Applicant Eligibility:

Community-based programs whose primary purpose is providing culturally and linguistically specific services to victims of domestic violence, dating violence, sexual assault, and stalking; and community-based programs whose primary purpose is providing culturally and linguistically specific services who can partner with a program having demonstrated expertise in serving victims of domestic violence, dating violence, sexual assault, and stalking.

Beneficiary Eligibility:

Community and faith-based programs.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her state for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

This is a newly established program. OVW expects to issue a solicitation in Fiscal Year 2009. Check for online announcements at www.ovw.usdoj.gov.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Awards will be two years. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est \$4,119,080.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.017 SEXUAL ASSAULT SERVICES PROGRAM

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

This program was authorized by the Violence Against Women and Department of Justice Reauthorization Act of 2005, 42 U.S.C. 14045a.

OBJECTIVES:

To increase intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Project Grants; Formula Grants.

USES AND USE RESTRICTIONS:

Funds are for intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Eligible applicants are States and territories.

Beneficiary Eligibility:

Beneficiaries are rape crisis centers and other nonprofit, nongovernmental organizations including community and faith-based organizations.

Credentials/Documentation:

Each application submitted under this program shall-- (i) set forth procedures designed to ensure meaningful involvement of the State or territorial sexual assault coalition and representatives from underserved communities in the development of the application and the implementation of the plans; (ii) set forth procedures designed to ensure an equitable distribution of grants and grant funds within the State or territory and between urban and rural areas within such State or territory; (iii) identify the State or territorial agency that is responsible for the administration of programs and activities; and (iv) meet other such requirements as the Attorney General reasonably determines are necessary to carry out the purposes and provisions of this section.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her state for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the online Grants Management System (GMS) portal. The receipt, review, and analysis of applications will follow Office on

Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

This is a newly established program. OVW expects to issue a solicitation in Fiscal Year 2009. Check for online announcements at www.ovw.usdoj.gov.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

By statute, OVW will allocate to each State not less than 1.50 percent of the total amount appropriated in a fiscal year for grants under this program, except that the United States Virgin Islands, American Samoa, Guam, the District of Columbia, Puerto Rico, and the Commonwealth of the Northern Mariana Islands shall each be allocated 0.125 percent of the total appropriations. The remaining funds shall be allotted to each State and each territory in an amount that bears the same ratio to such remaining funds as the population of such State and such territory bears to the population of all the States and the territories. The District of Columbia shall be treated as a territory for purposes of calculating its allocation under the preceding formula. Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

OVW will make awards for at least one year. Funds are released on an as-needed basis to the grantee.

Reports:

Annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est 5,264,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.019 TRIBAL REGISTRY

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE AUTHORIZATION:

This program was authorized by the Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 905(b), Public Law 109-162, 28 U.S.C. 534 note.

OBJECTIVES:

To develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

Funds may be used to develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

Applicant Eligibility:

Eligible applicants are tribes, tribal organizations, or tribal nonprofit organizations.

Beneficiary Eligibility:

Beneficiary Eligibility: Beneficiaries include tribes and tribal law enforcement.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or office designated as the single point of contact in his or her State for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 est not reported; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.100 DESEGREGATION OF PUBLIC EDUCATION

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Civil Rights Act of 1964, as amended, Title IV, Public Law 88-352, 42 U.S.C. 2000(c), Title VI, 42 U.S.C. 2000(d), Title IX, 42 U.S.C. 2000(h-2); Equal Educational Opportunities Act of 1974, Public Law 93-380, 20 U.S.C. 1701, et seq.; Education Amendments of 1972, Title IX, Public Law 92-318, 20 U.S.C. 1681.

OBJECTIVES:

To secure equal educational opportunity for persons regardless of race, color, religion, sex, or national origin.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Justice Department may go to court to obtain an order to desegregate a public school (elementary or secondary levels) or public college. The Attorney General may initiate legal proceedings to further orderly desegregation upon receiving a meritorious written complaint from (1) any parent or group of parents whose minor children are being deprived by a school board of equal protection of the laws; or (2) any individual or parent of an individual who has been denied admission to or not permitted to continue in attendance at a public college or university because of race, color, religion, sex or national origin. The Attorney General must certify that the suit will materially further the orderly process of desegregation. The Attorney General may also intervene in any case in which the plaintiff alleges a denial of equal protection of the law on the basis of race, color, religion, sex, or national origin. In addition, the Attorney General may litigate Title VI, Title IX (of the Education Amendments of 1972), Section 504 of the Rehabilitation Act of 1973 referrals received from the Department of Education, previously the Department of Health Education and Welfare, to vindicate the rights of individuals excluded from participation in, denied the benefits of, or subjected to discrimination under any program or activity receiving Federal financial assistance on account of their race, color, sex, national origin, or disability. The Attorney General may also go to court to ensure that school districts take appropriate steps to overcome language barriers that impede equal participation in the district's instructional program pursuant to the Equal Educational Opportunities Act.

Applicant Eligibility:

Parent or group of parents in the case of public schools. An individual or his/her parents in the case of a public college.

Beneficiary Eligibility:

Parent or group of parents in the case of public schools. An individual or his/her parents in the case of a public college.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 \$5,890,000; FY 08 est \$5,916,000; and FY 09 est \$6,091,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Among the Section's most important priorities is its responsibility to monitor approximately 240 school districts currently covered by desegregation orders in cases in which the United States is a party. To ensure that districts comply with their obligations, the Section routinely reviews matters relating to student assignment, faculty assignment and hiring, transportation policies, extracurricular activities, the availability of equitable facilities, and the distribution of resources. The Section also routinely responds to requests by other parties to modify court orders to reflect current circumstances, and to requests by parties, as well as courts, regarding unitary status and the ultimate dismissal of the lawsuit. As a result of these activities, the Section obtained various types of relief including: improved facilities for minority students; the elimination of one-race classrooms and schools; the desegregation of faculty and recruitment of minority faculty and staff; more equitable transportation routes for minority students; the elimination of segregative transfers; and the elimination of race-based extracurricular activities. Also, where appropriate, EOS has agreed that school districts have eliminated the vestiges of the dual system to the extent practicable and thus the district has achieved unitary status. For instance, in FY 2008, in *Graham and United States v. Evangeline Parish Sch. Bd.* (W.D. LA), the Division filed a motion for further relief because of the poor facilities at a majority-black high school and the failure of the district to provide agreed upon programming. The parties are engaging in discovery and the case is set for a hearing. In *U.S. v. Claiborne Parish Sch. Dist.* (LA), EOS has reached an agreement with the district to close a virtually one-race school and re-assign students on a desegregated basis to the extent practicable. In *United States v. McComb Mun. Sep. Sch. Sys.* (MS), the court issued a decision resolving our motion for further relief and the district's motion for unitary status. The court agreed that the district could not be declared unitary in the area of student assignment because its student assignment policies at Otken and Kennedy elementary schools violated the governing desegregation order and federal law. The court further ruled that it could not grant the district unitary status with respect to its homecoming court, because new policies that replaced the district's prior race-based regime had not been in place for a reasonable period of time. The court asked the district to formulate new student assignment policies for Otken and Kennedy and submit them to the United States for approval. We have received a plan for the district and are in the process of providing the district with comments and drafting a consent decree. In *Monteith and United States v. St. Landry Parish Sch. Bd.* (LA), the Division conducted a review of the district's personnel assignment, at the request of the court. The Division notified the District that it operated dual principal assignment which was inconsistent with its constitutional obligations to desegregate. The court ordered the District to reassign its principals in a manner that furthered desegregation. The district has since reassigned principals for the 2008-2009 school year. Other notable achievements in safeguarding the educational opportunities for all students in FY 2008 included: (1) since the start of FY 2001, initiating over 275 case reviews; (2) continuing to monitor school districts under court order and notifying 41 school districts regarding compliance concerns and working to resolving those concerns; (3) filing motions seeking relief in Crisp County, Georgia, Chicago, Illinois, and Evangeline Parish, Louisiana; (4) working with parties in longstanding desegregation cases to ensure that requests for unitary status were properly evaluated and agreeing to unitary status in 29 cases where our efforts helped achieve unitary school systems; and (6) opposing requests for declarations of unitary status in cases, and attempting where appropriate to resolve the cases amicably. The Section continued its efforts in cases involving religious discrimination. Specifically, investigations were opened into complaints alleging discrimination on the basis of religion in, among other areas, freedom of expression, religious dress, access to facilities, and harassment. For instance, we are negotiating a settlement agreement addressing the harassment of a student based on religion and national origin, in addition to the district's overall anti-harassment policies. To ensure equal educational opportunities for English Language Learners (ELL), the Section, as part of a nationwide effort,

opened four investigations in FY 2008 and is actively pursuing ongoing investigations in school districts in California, Colorado, Connecticut, Illinois, Massachusetts, New York, and Virginia. These districts have significant or new ELL populations. The purpose of the investigations is to ensure that ELL students are receiving proper services to enable them to overcome language barriers that impede equal participation in the school districts educational programs. In *U.S. v. Chicago Bd. of Educ. (IL)*, the Section filed a motion to enforce the ELL provisions of the August 2006 Second Amended Consent Decree because the Chicago Public Schools (CPS) have failed to comply with the following ELL provisions: (1) CPS has not demonstrated that a significant number of its special education ELLs are appropriately served; (2) CPS has not provided thousands of ELLs with appropriate or timely ELL services; and (3) CPS has failed to provide native language instruction and materials for many of its Transitional Bilingual Education programs. At the court's direction, the parties are negotiating the content and format of EOS' requested relief. In *Lau v. Hopp (CA)*, the Section is negotiating a consent decree with the San Francisco Unified School District addressing outstanding ELL issues.

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR 0.50; Civil Rights Act of 1964; Summary of the Civil Rights Act of 1964.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Educational Opportunities Litigation Section, Washington, DC 20530. Telephone: (202) 514-4092. Contact: Office of Public Affairs, Department of Justice. Telephone: (Voice) (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

<http://www.usdoj.gov/crt/edo/index.html>.

RELATED PROGRAMS:

16.200, Community Relations Service; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 84.004, Civil Rights Training and Advisory Services; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.101 EQUAL EMPLOYMENT OPPORTUNITY

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, 42 U.S.C. 2000(e), as amended; Executive Order 11246, September 24, 1965; Reorganization Plan No. 1, 1978. Uniformed Services Employment and Reemployment Rights Act of 1994.

OBJECTIVES:

To enforce Federal laws providing equal employment opportunities for all without regard to race, religion, national origin, and sex, and to defend the lawfulness and constitutionality of Federally authorized affirmative action programs.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Title VII of the 1964 Civil Rights Act, as amended, and other Federal Laws and regulations forbid discrimination in employment by private employers, labor organizations, employment agencies, State and local governments and public agencies. The Attorney General is authorized to sue to enjoin and remedy discrimination in employment by State and local government employers under Title VII. Upon referral from the Department of Labor, the Attorney General is authorized to sue to enforce the non-discrimination in employment provisions of Executive Order 11246, as amended, with respect to government contractors and subcontractors. The Section also enforces against state and local government employers and private employers the Uniformed Services Employment and Reemployment Rights Act of 1994 ("USERRA"), which prohibits employers from discriminating or retaliating against an employee or applicant for employment because of such person's past, current or future military obligation. The Attorney General does not, as such, represent specific individuals, though the public interest is served by obtaining relief for them through pattern or practice or 706 referral lawsuits. In addition, the Civil Rights Division represents other Federal agencies in challenges to laws and orders that authorize affirmative action in employment, procurement and contracting on federally-assisted projects.

Applicant Eligibility:

All persons.

Beneficiary Eligibility:

All persons.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 est \$9,963,000; FY 08 est \$10,007,000; and FY 09 est \$10,306,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the Civil Rights Division's Employment Litigation Section filed nine Section 706 complaints, two Section 707 complaints and six Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) complaints. The Section also settled four Section 706 cases, and six USERRA cases.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Guidelines on Employee Selection Procedures (1978), 43 F.R. 38290.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Employment Litigation Section, Washington, DC 20530. Telephone: (Voice) (202) 514-3831; 800-578-5404 (TDD). Contact: Office of Public Affairs, Department of Justice. Telephone: (202) 514-2007; (TDD): (202) 514-1888.

Web Site Address:

<http://www.usdoj.gov/crt/emp/index.html>.

RELATED PROGRAMS:

16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 17.700, Women's Bureau; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.103 FAIR HOUSING AND EQUAL CREDIT OPPORTUNITY

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Civil Rights Act of 1964, as amended, Title II and Title III; Civil Rights Act of 1968, as amended, Title VIII, Public Law 90-284, Fair Housing Amendments Act of 1988, Public Law 100-430, 42 U.S.C. 3601; Equal Credit Opportunity Act of 1974, Public Law 93-495; Equal Credit Opportunity Act of 1976, Public Law 94-239; 15 U.S.C. 1691; Religious Land Use and Institutionalized Persons Act of 2000, Public Law 106-274, 42 U.S.C. 2000cc.; Servicemembers Civil Relief Act of 2003, Public Law 108-189, 50 U.S.C App. 501.

OBJECTIVES:

The Fair Housing Act provides freedom from discrimination on the basis of race, color, religion, sex, national origin, handicap and familial status in connection with the sale, rental, and financing of housing and other related activities. The Equal Credit Opportunity Act (ECOA) prohibits discrimination in credit transactions on the basis of race, color, religion, national origin, sex, marital status, or age (provided the applicant has the capacity to contract), because all or a part of the applicant's income is derived from a public assistance program, or

because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. Title II of the 1964 Civil Rights Act prohibits discrimination on the basis of race, color, national origin and religion in places of public accommodation, which are defined to include hotels, motels, restaurants, gas stations, and places of entertainment. Title III prohibits the denial of equal protection rights in public facilities. Section 2 of the Religious Land Use and Institutionalized Persons Act (RLUIPA) prohibits local governments from significantly burdening the exercise of religion or discriminating against religious institutions in their land use and zoning decisions. The Servicemembers Civil Relief Act provides for the temporary suspension of judicial and administrative proceedings and civil protections in areas such as housing and credit for military personnel while they are on active duty.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Fair Housing Act, which is found in Title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, is designed to ensure freedom from discrimination in the sale, rental and financing of housing, and other related activities. The Act provides two major avenues of enforcement by the Department. First, the Attorney General has independent authority to bring civil actions in Federal courts whenever he/she has reasonable cause to believe that any person or group of persons is engaged in a pattern or practice of discrimination or when he/she has reasonable cause to believe any group has been denied such rights in a case of general public importance. Under its pattern or practice authority, the Department may seek appropriate injunctive relief, actual and punitive damages for any persons injured by the discrimination, and civil penalties of up to \$55,000 against a defendant for the first violation and up to \$110,000 for subsequent violations of the Act. Second, the Department also has authority to seek relief on behalf of individuals in certain circumstances, as follows. The Department of Housing and Urban Development (HUD) is required to investigate and conciliate any complaint of housing discrimination filed with that agency. If it cannot be conciliated, HUD is authorized to file an administrative charge if it determines there is reasonable cause to believe the Fair Housing Act has been violated. At that point, either party may elect to have such charge heard in Federal court, and if such election is made, the Department of Justice brings suit in Federal court on behalf of the complainant and may seek actual and punitive damages in such an action. Aside from enforcement by the Federal government, private suits alleging illegal discrimination may be filed in the appropriate Federal or State court within two years of alleged discrimination. The ECOA, as amended, is designed to prohibit certain types of discrimination in all aspects of credit transactions. Persons who believe that they are victims of such discrimination may file complaints with one of the appropriate Federal regulatory agencies or may bring the information to the attention of the Attorney General. The Department of Justice is authorized to institute litigation in Federal court when a matter is referred to the Attorney General by an agency responsible for administrative enforcement of the Act or when he/she has reasonable cause to believe that one or more creditors are engaged in a pattern or practice of discrimination in violation of the Act. The Act gives the United States authority to seek actual and punitive damages for any persons aggrieved by the discrimination. In addition, an aggrieved person may institute suit in a Federal court pursuant to the ECOA. Title II of the 1964 Civil Rights Act is designed to prohibit discrimination in certain kinds of public accommodations. It gives the Attorney General authority to bring a legal action when he/she determines that there is reasonable cause to believe that any person or group is engaged in a pattern or practice of discrimination which violates the provisions of Title II. Remedies available in such cases are limited to injunctive relief and the Department does not have authority to seek monetary relief. Private individuals also may bring legal action under Title II. In addition, there are other civil rights laws which give such individuals authority to take legal action against public accommodations not covered by Title II. Title III allows the Attorney General to file a civil action in federal district court after receiving a written complaint from an individual who alleges the denial of equal protection rights in a public facility, but is unable to initiate or maintain an independent action. 42 U.S.C. 2000b(a). The Religious Land Use and Institutionalized Persons Act passed in September, 2000 prohibits State and local governments from using their authority to burden the exercise of religion, exclude religious assemblies from their jurisdictions, or discriminate against religious institutions. It gives the Attorney General the authority to seek injunctive or declaratory relief for violations of the Act. Private individuals may also bring actions under the statute. The Servicemembers Civil Relief Act of 2003 provides for the temporary suspension of judicial and administrative proceedings and civil protections in areas such as housing and credit for military personnel while they are on active duty.

Applicant Eligibility:

All persons.

Beneficiary Eligibility:

All persons.

Credentials/Documentation:

Not applicable.

Preadaptation Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

There is no deadline for the filing of pattern or practice cases seeking injunctive relief with respect to housing or lending discrimination under the Fair Housing Act or public accommodations. However, any individual filing a complaint with HUD pursuant to the enforcement scheme discussed in part 070, must file the complaint with HUD within 1 year of the occurrence or termination of an alleged discriminatory housing practice. Under the ECOA, any legal action must be taken within 2 years of the discriminatory occurrence.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 est \$14,168,000; FY 08 est \$14,287,000; and FY 09 est \$15,022,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Housing and Civil Enforcement Section's enforcement efforts continue to achieve significant accomplishments. In fiscal year 2007, the Section filed a total of 19 pattern or practice complaints and successfully resolved 24 pattern or practice cases. The Section continues its consistent efforts to enforce the accessibility provisions of the Act in conjunction with the President's New Freedom Initiative. Loans; successful resolution of the Department's second case involving a violation of the land use provisions of the Religious Land Use and Institutionalized Persons Act (RLUIPA); and the filing of the Department's third and fourth new cases under RLUIPA challenging land use or zoning decisions by local governments that discriminate against houses of worship.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Housing and Civil Enforcement Section, Washington, DC 20530. Telephone: (202) 514-4713. Contact: Office of Public Affairs, Department of Justice. Telephone: (Voice) (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

<http://www.usdoj.gov/crt/housing/hcehome.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.104 PROTECTION OF VOTING RIGHTS

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Voting Rights Act of 1965, Public Law 89-110, 42 U.S.C. 1973-1973bb-1; Voting Rights Act Amendments of 1970, 1975, and 1982; Voting Rights Language Assistance Act of 1992; Public Laws 91-285, 94-73, 97-205, and 102-344; 42 U.S.C. 1971, 42 U.S.C. 1974; Uniformed and Overseas Citizens Absentee Voting Act, Public Law 99-410, 42 U.S.C. 1973ff; Voting Accessibility for the Elderly and Handicapped Act, Public Law 98-435, 42 U.S.C. 1973ee; National Voter Registration Act of 1993, Public Law 103-31, 42 U.S.C. 1973gg; Help America Vote Act of 2002, 42 U.S.C. 15301; Fannie Lou Hamer, Rosa Parks, and Coretta Scott King Voting Rights Act Reauthorization and Amendments Act of 2006, Public Law 109-246, 120 Stat. 577.

OBJECTIVES:

To provide protection of an individual's right to register and vote in all local, State and Federal elections without discrimination based on race, color, membership in a language minority group, or age. To assure the rights of persons who are disabled or are unable to read or write to receive assistance in voting from a person of their choice. To assure the right to vote in Federal elections to United States citizens residing overseas. To assure access to voter registration and to polling places for the elderly and handicapped, and to assure compliance with the National Voter Registration Act of 1993. To ensure that States and local government units responsible for administration of federal elections meet minimum election administration standards.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Voting Rights Act of 1965, as amended, prohibits state and local governments from imposing or applying any voting standard, qualification, prerequisite, or procedure in a manner that results in a denial or abridgment of the right of any U.S. citizen to vote on account of race or color or because an individual is a member of a language minority group. The VRA bans the use of literacy and similar tests as a prerequisite to voter registration or voting, authorizes voters in need of assistance in voting (because of illiteracy or disability) to receive help from the person of their choice (other than their employer or union leader), and lets registered voters vote for president even if they change their residence shortly before a presidential election. Certain specially covered States and political subdivisions are required to submit any voting changes either to the District Court for the District of Columbia for a declaratory judgment that the changes do not have a discriminatory purpose or effect, or, in the alternative, to the U.S. Attorney General, who has 60 days in which to object to the proposed changes. Changes to which the Attorney General has objected are legally unenforceable unless a subsequent declaratory judgment is issued by the District Court for the District of Columbia. The VRA requires certain counties (under formulas in the act) to provide voting and election information bilingually and authorizes the Attorney General to send Federal voting observers to certain designated counties to monitor election day polling place activities to prevent discrimination. Under the Uniformed and Overseas Citizens Absentee Voting Act, 42 U.S.C. 1973ff, the Attorney General enforces the right of U.S. citizens living outside of the United States to vote in Federal elections. Under the Voting Accessibility for the Elderly and Handicapped Act, 42 U.S.C. 1973ee, the States must provide accessible registration and voting facilities for Federal elections. Under the National Voter Registration Act of 1993, 42 U.S.C. 1973gg, the States must conduct voter registration for Federal elections by mail and through motor vehicle and other agencies. Under the Help America Vote Act of 2002, 42 U.S.C. 15301, the States must administer federal elections in accord with minimal voting systems standards, requirements for provisional voting and voting information and requirements for computerized, statewide voter registration lists and voters who register by mail.

Applicant Eligibility:

All U.S. citizens old enough to vote.

Beneficiary Eligibility:

All U.S. citizens old enough to vote.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

There is no statutory formula or matching requirements for this program.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 \$11,810,000; FY 08 est \$11,912,000; and FY 09

est \$15,944,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Civil Rights Division's Voting Section vigorously enforces the Voting Rights Act ("VRA") and other laws that protect the right to vote. Primary enforcement efforts in FY 2007 were (1) monitoring of elections to ensure compliance with federal voting rights laws; (2) litigation to enforce federal voting rights laws; (3) the administrative review of voting changes pursuant to Section 5 of the Voting Rights Act; (4) enforcement responsibility for Title III of the Help America Vote Act ("HAVA"); and (5) enforcement of the Uniformed and Overseas Citizens Absentee Voting Act ("UOCAVA") and (6) enforcement of the National Voter Registration Act (NVRA). During the past few years, the Civil Rights Division has significantly increased its election monitoring activities, particularly in the use of Departmental staff in those areas of the country where the Attorney General cannot send federal observers because the jurisdictions are not subject to the special provisions of the Voting Rights Act. In FY 2007, the Voting Section coordinated and monitored elections in 91 jurisdictions located in 24 different states. Overall, the Department covered 107 elections with 479 Department personnel and the assignment of 875 federal observers. The Division continues to establish new landmarks in protecting the voting rights of individuals with limited English proficiency. Section 203 of the Voting Rights Act requires certain jurisdictions to provide bilingual voting materials and assistance to American Indians, Asian Americans, Alaskan Natives, and/or persons of Spanish heritage. In FY 2007, lawsuits included actions to protect persons of Spanish heritage in the City of Philadelphia, PA; Galveston County, City of Earth, Littlefield ISD, Post ISD, Seagraves ISD, and Smyer ISD, TX, and Kane County, IL, and protection for Korean Americans in the City of Walnut, CA. Meanwhile, the Division continued to enforce the provisions of Section 2 of the Voting Rights Act, which provides that the right to vote may not be denied or abridged on account of race or color, or because of membership in a language minority group. In the Fiscal Year, the Division filed a lawsuit against the Village of Port Chester, NY and successfully resolved lawsuits against Osceola County, FL, Noxubee County, MS, and the City of Euclid, OH. The Division also continued its enforcement of the NVRA, a law designed to expand registration opportunities for all citizens and to ensure proper maintenance of voter registration lists. Two cases remain pending against the State of New York for failure to provide adequate voter registration opportunities to voters, including public assistance agencies and at public college offices that provide services to persons with disabilities. The Division also brought a NVRA and HAVA lawsuits against the States of New Jersey regarding the State's list maintenance practices which was successfully resolved and brought HAVA and NVRA claims against Cibola County, NM which were also resolved. The Division continues to be active in enforcing the Help America Vote Act of 2002 (HAVA). On January 1, 2006, requirements for voting system standards and statewide voter registration databases took effect. The Division is working to ensure that states comply with the new requirements. During FY 2007, with new provisions under the Help America Vote Act taking effect, the Division received 5,817 submissions of voting changes for administrative review under Section 5 of the VRA; 44 of these were redistricting plans. The Division is working to ensure that states promptly comply with the new requirements. In 2006, the Division was able to enter into a settlement agreement with the state of California. Negotiations are pending with several other states. During FY 2006, with new provisions under the Help America Vote Act taking effect, the Division received 7,216 submissions of voting changes for administrative review under Section

5 of the VRA; 75 of these were redistricting plans.

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR 0.50; "Voting Rights Act of 1965, A Citizens Guide to Understanding the Voting Rights Act of 1965," (a copy of this pamphlet can be purchased from the Commission on Civil Rights-Clearinghouse Publication No. 84); 28 CFR 51; 45 CFR 801; 28 CFR 55.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Voting Section, Washington, DC 20530. Telephone: (202) 307-3143, (800) 253-3931. Contact: Office of Public Affairs, Department of Justice. Telephone: (Voice) (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

www.usdoj.gov/crt/voting/index.htm.

RELATED PROGRAMS:

16.200, Community Relations Service; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.105 CIVIL RIGHTS OF INSTITUTIONALIZED PERSONS

(Equal Enjoyment of Rights in Public Facilities; Protection of Rights to Reproductive Health Services)

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Civil Rights of Institutionalized Persons Act, Public Law 96-247, 42 U.S.C. 1997; Religious Land Use and Institutionalized Persons Act of 2000, 42 U.S.C. 2000cc-1; Civil Rights Act of 1964, as amended, Title III; Freedom of Access to Clinic Entrances Act, 18 U.S.C. 248; Violent Crime Control and Law Enforcement Act of 1994, Section 210401, 42 U.S.C. 14141; Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3789d.

OBJECTIVES:

To initiate actions for redress in cases involving deprivations of rights of institutionalized persons secured and protected by the Constitution or laws of the United States. To prevent any government from imposing a substantial burden on the religious exercise of a person residing in or confined to a publicly operated institution. To provide equal utilization of any public facility owned or operated by any State or subdivision thereof, without regard to race, religion, or national origin. To obtain civil relief for certain violent, threatening, obstructive, and destructive conduct intended to injure, intimidate, or interfere with persons seeking to obtain or provide reproductive health services, or interfere with the First Amendment right of religious freedom, or destroy the property of a place of religious worship. To seek relief to redress a pattern or practice of conduct by law enforcement officers that deprives citizens of the United States of their constitutional rights.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Attorney General is authorized to initiate or intervene in actions for equitable relief on behalf of institutionalized persons residing in public institutions wherein a pattern or practice of egregious or flagrant conditions exists. Such institutions include: facilities for persons who are mentally ill or mentally retarded, nursing homes, prisons, jails, and juvenile detention and correctional facilities. The Attorney General may bring suit to protect the rights of persons in publicly operated institutions to be allowed to exercise their religion without substantial burdens being imposed by the public entity operating the institution. The Attorney General may also bring suit and request injunctive relief prohibiting discrimination on the basis of race, color, religion, and national origin in the operation of public facilities when he/she "has reasonable cause to believe" that a State of political subdivision is engaged in such discrimination. The Attorney General is authorized to investigate and, where appropriate, initiate actions for relief from certain violent, threatening, obstructive, and destructive actions intended to injure, intimidate, or interfere with persons seeking reproductive health services, or deny religious freedom, or destruction of property at places of religious worship. The Attorney General is authorized to investigate patterns or practices of conduct by law enforcement officials which deprive U.S. citizens of their constitutional rights, and may initiate civil actions for relief from such constitutional deprivations.

Applicant Eligibility:

Any person notifying the Attorney General of a pattern or violations of Federal rights of persons confined in a public institution in any State, territory, or Commonwealth of the United States. Any person notifying the Attorney General of violations of the Freedom of Access to Clinic Entrances Act (FACE). Any person notifying the Attorney General of pattern or practice conduct by law enforcement officers which deprive citizens of the U.S. of their constitutional rights under Section 14141.

Beneficiary Eligibility:

Institutionalized persons confined in public facilities such as prisons, jails, mental health and mental retardation facilities, nursing homes, and juvenile correctional facilities where there is a pattern of unlawful conditions. Any persons in a publicly operated institution not allowed to exercise their religion because of substantial burdens imposed by the public entity operating the institution. Any persons who have been denied access to or the ability to provide clinic reproductive health services, or has been denied religious freedom, or suffered the destruction of property at a place of religious worship. Any persons who, as a part of a pattern or practice of conduct by law enforcement officers, have been deprived of their constitutional rights.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Department of Justice, Civil Rights Divisions, Special Litigation Section, Washington, DC 20530 or any United States Attorney's Office.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 est \$12,656,000; FY 08 est \$12,765,000; and FY 09 est 13,426,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Civil Rights of Institutionalized Persons Act (CRIPA): covers public institutions, including prisons, jails, juvenile justice facilities, mental retardation facilities, psychiatric hospitals and nursing homes. From May 1980, when CRIPA was enacted, through May 2008, the Division investigated conditions in over 453 nursing homes, mental health facilities, centers for persons with developmental disabilities, residential schools for children with disabilities, jails, prisons, and juvenile justice facilities. Presently, the Section has open CRIPA investigations and cases involving approximately 200 facilities. Our work regarding over one third of those facilities is in the investigative stage, including 55 new investigations of 88 facilities initiated since January 2004. The Section also has undertaken a nursing home initiative to address constitutional violations in publicly operated nursing homes, and a juvenile justice initiative to protect the federal rights youth confined to juvenile justice facilities. From FY 1998 to date, we have settled over 95 percent of our CRIPA cases. Since FY 2003 alone, the Section conducted over 711 on-site inspections of institutions. In addition, we have resolved many investigations pre-suit because jurisdictions voluntarily corrected the identified problems. In FY 2007 and the three-quarters of FY 2008, CRT conducted over 200 investigatory and compliance tours, and handled CRIPA matters and cases involving over 205 facilities in 32 States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Territories of Guam and the Virgin Islands. SPL also continued its investigations of 105 facilities, and monitored the implementation of consent decrees, settlement agreements, memoranda of understanding, and court orders involving over 100 facilities. During FY 2007-08, SPL opened 25 new investigations of 53 facilities, including a country-wide investigation involving 19 juvenile facilities, and obtained ten settlement agreements and issued 18 findings letters. Moreover, the Section continues to participate in a pre-CRIPA case regarding persons with developmental disabilities residing in approximately 200 community-based facilities in the District of Columbia. Police Misconduct: The Section continues to play a major role in promoting law enforcement management policies and practices that foster police integrity through its outreach, technical assistance and enforcement efforts under 42 U.S.C. 14141 and the Safe Streets Act. The Section has open investigations of 10 law enforcement agencies. The subject matter of the investigations usually includes allegations of a pattern or practice of excessive force or discriminatory policing tied to management deficiencies. The investigations are complex, usually requiring the review of voluminous records, extensive interviews, creation and analysis of sophisticated databases, and the use of police practices and other consultants. Investigations also include provision of technical assistance through the continuing identification of deficient policies and management practices and, in some instances, direct technical assistance presentations to agency personnel by our police practices consultants. In six of our seven lawsuits alleging a pattern or practice of police misconduct, consent decrees were negotiated and were lodged simultaneously with our complaints; the seventh lawsuit was ultimately resolved by an out of court settlement. In addition, eight other investigations have resulted in out-of-court settlements including police departments in the District of Columbia, Prince George's County, MD, Detroit, MI, and Villa Rica, GA. The settlements require the adoption and implementation of policies and procedures designed to prevent excessive force, improper searches and seizures, and other misconduct. Finally, during FY 2007 and into FY 2008, we focused our resources on vigorously monitoring the enforcement of its eight existing settlement agreements to ensure timely, compliance with the terms of those agreements. As a result, we successfully and timely terminated our police reform settlements with Mt. Prospect, Illinois (January 2007); Prince George's County, MD (March 2007), and Cincinnati, OH

(April 2007), and Cleveland, OH (March 2008). We also expect to successfully conclude our settlement with the District of Columbia's Metropolitan Police Department in June 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

28 C.F.R. 0.50, Summary of the Civil Rights Act of 1964, 45 C.F.R. Part 84.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Special Litigation Section, Washington, DC 20530. Telephone: (202) 514-6255. Contact: Office of Public Affairs. Telephone: (Voice) (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

<http://www.usdoj.gov/crt/split/index.html>.

RELATED PROGRAMS:

29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 93.613, President's Committee for People with Intellectual Disabilities (PCPID).

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.108 AMERICANS WITH DISABILITIES ACT TECHNICAL ASSISTANCE PROGRAM

(ADA)

FEDERAL AGENCY:

CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Americans with Disabilities Act, Public Law 101-336, Section 506.

OBJECTIVES:

To ensure that public accommodations and commercial facilities and State and local governments learn of the requirements of Titles II and III of the Americans with Disabilities Act (ADA) and acquire the knowledge needed to comply voluntarily with these requirements.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Training; Investigation of Complaints; Project Grants.

USES AND USE RESTRICTIONS:

Grants limited to the provision of technical assistance and educational activities that have a wide impact, including the development and dissemination of materials, the conduct of seminars, conferences, and training, and the provision of technical assistance on a state, regional or national basis depending on the funding priorities announced each year. Because the grant program is educational in nature, the Department does not fund projects to research or resolve issues that are outside the scope of the Department's current ADA regulations and court interpretations. The program is not intended to fund or support site-specific compliance implementation (e.g., funding to make specific facilities more accessible), or to fund or support inspections, reviews, or tests to determine whether an entity is meeting its compliance obligations. The program does not provide funding to help people with disabilities become more productive or acquire services they need because of their disability.

Applicant Eligibility:

Nonprofit organizations, including trade and professional associations or their subsidiaries, organizations representing State and local governments or their employees, other organizations representing entities covered by the ADA, State and local governments agencies, national and State-based organizations representing persons with disabilities, and individuals.

Beneficiary Eligibility:

The target audiences of funded grants will include State and local governments, businesses and nonprofit organizations that operate public accommodations and commercial facilities, and individuals who want information about their rights or responsibilities under the ADA.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

When funds are available, a notice of solicitation of grant applications is published in Federal Register. Applications are typically submitted in 45 to 60 days prior to award. Solicitation requires that the following forms be submitted with the application: SF 424 and 424A Application for Federal Assistance; Office of Justice Programs (OJP) Form 4000-3 (attached to SF 424); OJP Form 4061/6 (3-91); certifications regarding lobbying, debarment, suspension, and other responsibility matters; and Drug-Free Workplace Requirement; OJP Form 7120/1 (1/85), Accounting System and Financial Capability Questionnaire.

Award Procedure:

Final award decisions are made by the Assistant Attorney General, Civil Rights Division. Civil Rights Division Program personnel receive and review proposals and make recommendations to the Assistant Attorney General. All grants are

made directly to applicants. No State Plan required.

Deadlines:

Variable. Announced in the solicitation published in the Federal Register.

Range of Approval/Disapproval Time:

The range is 60-120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Normally 12 months. Release by Letter of Credit and as required.

Reports:

Quarterly financial (SF 269A (Rev. 4/88)) and program (OJP 4587/1 (Rev. 2/90)) reports.

Audits:

Grants may be audited by the Office of Justice Programs using standard audit procedure mandated by GAO.

Records:

Organization financial audits for each calendar year in which grant was effective.

Account Identification:

15-0128-0-1-752.

Obligations:

(Grants) FY 07 est not available; FY 08 est not available; and FY 09 est not available. (Salaries and Expenses) FY 07 \$17,400,000; FY 08 est \$17,550,000; and FY 09 est \$18,467,000.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, The Department entered into comprehensive settlement agreements with Mandalay Corporation and with Circus Circus Mississippi, Inc., resolving investigations into the accessibility of the Mandalay Bay Casino Resort in Las Vegas and the Gold Strike Casino Resort in Tunica Resorts, Mississippi. Both resorts, subsidiaries of MGM Mirage, were constructed after the ADA Standards for Accessible Design went into effect. Under the agreements, each casino resort has agreed to ensure that its facility complies with the new construction standards of the ADA, ensuring that guests and visitors with disabilities have access to a total of four hotels, more than 6,500 guest rooms and suites, 36 restaurants and bars, 3 theaters, more than 30 specialty shops, 3 convention centers, an events center seating up to 12,000 people, and other amenities. The Department entered into a settlement agreement with Doctor Associates Inc. (DAI), the privately held corporation that franchises more than 20,000 Subway sandwich shops throughout the United States. Because the individual Subway shops are franchisees, the agreement recognizes that DAI will facilitate the efforts to ensure compliance while the individual Subway shops will be responsible for removing barriers to access at more than 20,000 Subway shops nationwide. The U.S. District Court for the Eastern District of Pennsylvania approved an agreement resolving a lawsuit against Philadelphia for violating the ADA in providing emergency medical care. The Department intervened in a lawsuit filed by an individual alleging that paramedics employed by the city refused to provide him with appropriate medical care upon learning of his HIV status. Under the agreement, Philadelphia will provide paramedics employed by the city with ongoing training on nondiscriminatory treatment of patients with infectious diseases, specifically HIV/AIDS. The city also agreed to pay \$50,000 in damages to the plaintiff. Much of the Department's enforcement effort focuses on resolution without litigation or formal agreements. For example, under a contract the Department refers complaints to professional mediators who have been trained in the legal requirements of the ADA. This has resulted in many mediated agreements. The Department's successful enforcement and educational efforts are detailed in quarterly reports published by the Section and available online. The Technical Assistance Program, mandated under Section 506 of the ADA, provides answers to questions and free publications to businesses, state and local governments, people with disabilities, and the general public. In FY 2007, more than 47,000 calls to the ADA Information Line were answered by ADA Specialists who assisted callers in applying the ADA to their own unique situations. The Section develops and disseminates free ADA publications, provides training and information at meetings nationwide, and carries out a variety of outreach and educational initiatives to reach audiences affected by the ADA. The Section's technical assistance publications range from detailed technical assistance manuals to basic Question-and-Answer booklets and illustrated guides addressing specific topics. Publications can be obtained 24 hours a day through a free fax-on-demand service or through the Section's ADA Website. This web site, one of the top five in the Department, received almost 57 million visits to its pages and graphics in FY 2007. The Section also chairs an ADA Technical Assistance Coordinating Committee and works with other agencies to coordinate technical assistance activities nationwide. In FY 2007, continued development of Expanding Your Market, a new series of concise, reproducible documents offering resources for businesses working to improve

access and everyday examples of how accessibility can serve diverse market segments. The first four publications in the series are titled Customers with Disabilities Mean Business, Tax Incentives for Businesses, Accessibility Benefits Older Adult Customers and Building a Diverse Customer Base. The Section participated in 71 speaking and outreach events reaching more than 250,000 people in FY 2007, including sending staff to distribute information and answer questions at 11 national conferences and one state fair to promote public awareness of the ADA. The Section also publishes annually an article about the ADA-related tax credits and deductions in an IRS newsletter that reached more than seven million businesses nationwide. In FY 2007, the ADA Business Connection conducted four Leadership meetings in New York City, Washington, DC, Charlotte, NC, and Chicago, IL. This initiative, headed by the Assistant Attorney General for Civil Rights, seeks to improve access to goods and services and increase employment of people with disabilities by fostering increased understanding of ADA requirements within the business community and increased dialogue and cooperation between the business and disability communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of solicitation of grant applications, Federal Register 25980-25983, June 5, 1991. Notice of solicitation of grant applications, Federal Register 13797-13208, March 15, 1993, and Federal Register 15523, March 23, 1993. Notice of solicitation of grant applications, Federal Register 29160-29168, June 3, 1994. Notice of solicitation of grant applications, Federal Register 28484-28489, May 31, 1995. Notice of solicitation of grant applications, Federal Register 25744-25749, May 22, 1996.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Disability Rights Section, Washington, DC 20530. Telephone: (800) 514- 0301 (Voice) (800) 514-0383 (TDD). Contact: Office of Public Affairs, Telephone: (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

www.ada.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Police Executive Research Forum was awarded funding to create a set of materials on protecting the rights of persons with seizure disorders, speech and hearing impairments, mental retardation, and mental illness, to be integrated into police academy training and others for use in on-the-job roll-call training. The Chief Officers of State Library Agencies was awarded funding to place a collection of ADA material in 15,000 local libraries nationwide. The National Association of Towns and Township was awarded funding to produce ADA training materials to assist regional and State ADA technical assistance providers in educating officials from small towns across the country on how to comply with the ADA. The American Association of Retired Persons was awarded funding to create materials and conduct training sessions throughout the country to educate older persons with disabilities about their rights under the ADA. In 1995 and 1996, the Program funded state based grant projects to increase knowledge about the requirements of the ADA and awareness of resources available locally and at the State, regional, and national levels.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection of projects published in the solicitation of application.

16.109 CIVIL RIGHTS PROSECUTION

(Criminal Section)

FEDERAL AGENCY:

CRIMINAL SECTION, CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

18 U.S.C. 241, 242, 245, 247, 248, 1581, 1583, 1584, 1589, 1590, 1592; 1594; 42 U.S.C. 3631.

OBJECTIVES:

To reduce police and other official criminal misconduct, to reduce violent activity by private citizens (including organized hate groups) against others because of their race, religion, national origin, or sex, which interferes with the Federal and constitutional rights of individuals, (including church arson); and to curtail acts of modern-day slavery and violent interference with access to reproductive health care.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Section prosecutes cases of national significance involving the deprivation of personal liberties which either cannot be, or are not, sufficiently addressed by State or local authorities. Its jurisdiction includes acts of racial violence, misconduct by local, State, or Federal law enforcement officials, violations of the peonage and involuntary servitude statutes that protect migrant workers and

others held in bondage and violations of the Freedom of Access to Clinic Entrances Act. The Section ensures that complaints are reviewed on a timely basis for investigation and potential prosecution.

Applicant Eligibility:

All persons regardless of citizenship or immigration status.

Beneficiary Eligibility:

All persons regardless of citizenship or immigration status.

Credentials/Documentation:

Individuals alleging deprivation of their civil rights should provide information describing the sequence of events (including the type of force or coercion involved), the date of the incident, the names of identifiable perpetrators, involved law enforcement officers and witnesses, a description of any physical injuries and medical treatment, if needed, and the nature of work being forced (if applicable).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Criminal Section, Civil Rights Division directly, the local office of the Federal Bureau of Investigation, the local U.S. Attorney's Office, or the national toll-free complaint line for worker exploitation (1-888-428-7581).

Award Procedure:

Complaints alleging deprivation of civil rights are reviewed and, in those cases involving complaints of official abuse that are investigated by the FBI, the individual is notified in writing of the outcome of that review, whether it be to decline investigation or prosecution or to seek an indictment.

Deadlines:

The federal criminal civil rights statutes generally have a five year statute of limitations from the date of the incident, except in cases involving an intentional killing (generally not bound by the statute of limitations) or religious interference incidents (seven year statute of limitations).

Range of Approval/Disapproval Time:

Initial complaints that are reviewed and deemed inappropriate for investigation are generally reviewed within 3 months of their being received. Investigated complaints in which prosecution is sought are usually resolved within a year of the indictment.

Appeals:

If new information, not considered by the Section in its original review of complaints for investigation and prosecution, is made available within the applicable statute of limitations, the Section will review the complaint again in light of that new information.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries and Expenses) FY 07 est \$13,140,000; FY 08 est \$13,255,000; and FY 09 est \$13,953,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, the Criminal Section filed a total of 93 cases charging 193 defendants in cases involving official misconduct, racial violence/hate crimes, human trafficking, church burnings, and the Freedom of Access to Clinic Entrances Act (FACE). A total of 189 defendants, including some defendants charged in prior years, were successfully prosecuted.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Attorneys' Manual, Title 8, Chapter 3 Sentencing Guidelines; Attorney General Guidelines for Victim and Witness Assistance.

Regional or Local Office:

Local U.S. Attorney's Office and Federal Bureau of Investigation.

Headquarters Office:

Department of Justice, Civil Rights Division, Criminal Section, Washington, DC 20530. Telephone: (202) 514-3204. Contact: Office of Public Affairs. Telephone: (Voice) (202) 514-2007; (TDD) (202) 514-1888.

Web Site Address:

<http://www.usdoj.gov/crt/crim/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See Program Accomplishments.

CRITERIA FOR SELECTING PROPOSALS:

Each statute has elements of the offense that must be met in order for there to be Federal jurisdiction. Furthermore, there must be evidence to prove beyond a reasonable doubt that a violation occurred. Official misconduct cases: (1) the defendant must have been acting under color of the law, that is, while using or misusing power possessed by reason of the law (Private citizens jointly engaged with State officials, who are themselves acting under color of the law, in prohibited activity, are acting under color of law for purposes of Section 242.); (2) the conduct of the defendant must have deprived the victim of some right secured or protected by the Constitution or laws of the United States; and (3) there must have been an intent on the part of the defendant willfully to subject the victim to the deprivation of the right described above. Racial violence cases: (1) the defendant must have acted with force or the threat of force; (2) the defendant must have injured, intimidated, or interfered with or attempted to injure, intimidate or interfere with the victim; (3) the defendant must have acted because of the victim's race, color, religion or national origin and because the victim was participating or engaged in a federally protected activity (as enumerated in 18 U.S.C. 245 (b)(2)(A) through (F) and 42 U.S.C. 3631; and (4) finally, the defendant must have acted willfully. Human trafficking/ involuntary servitude cases: (1) a person must be made to work against his will by the defendant; (2) the period of involuntary servitude must be for a "term"; (3) the defendant must have caused the involuntary servitude by his acts; and (4) the defendant must have intended to cause involuntary service by his acts. Forced labor: (1) defendant did, or attempted to, provide or obtain the labor or services of a person, (2) defendants used either threats of serious harm to, or physical restraint against, that person or another person; used a scheme, plan, or pattern intended to cause the person to believe that non-performance would result in serious harm to, or physical restraint against, that person or another person; or abused or threatened abuse of the law or legal process, and (3) defendant acted knowingly. Reproductive health clinic access cases: (1) facility providing reproductive health services; (2) defendant used force, threat of force, or physical obstruction; (3) defendant intended or attempted to injure, intimidate, or interfere with; and (4) someone providing or obtaining reproductive health services.

16.110 EDUCATION AND ENFORCEMENT OF THE ANTIDISCRIMINATION PROVISION OF THE IMMIGRATION AND NATIONALITY ACT

FEDERAL AGENCY:

OFFICE OF SPECIAL COUNSEL FOR IMMIGRATION RELATED UNFAIR EMPLOYMENT PRACTICES, CIVIL RIGHTS DIVISION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Immigration and Nationality Act, 8 U.S.C. Section 1324b.

OBJECTIVES:

To educate employers and workers about their rights and responsibilities under the Immigration and Nationality Act (INA), which prohibits employment discrimination based on citizenship status and national origin, and unfair documentary practices with respect to verification of employment eligibility.

TYPES OF ASSISTANCE:

Project Grants; Provision of Specialized Services; Investigation of Complaints.

USES AND USE RESTRICTIONS:

The anti-discrimination provisions of the INA prohibit employment discrimination based upon national origin and citizenship status against U.S. citizens and other legally authorized workers with respect to hiring, firing, and recruitment or referral for a fee, and unfair documentary practices during verification of employment eligibility. The INA also prohibits retaliation for the purpose of interfering with any right or privilege secured by the anti-discrimination provisions, or because an individual has participated in a proceeding to enforce those provisions. The Office of Special Counsel for Immigration-Related Unfair Employment Practices (OSC) was created by Congress to enforce these provisions, and to educate the public about its responsibilities and rights under the law. The goal of OSC's grant program is to educate workers and employers about the anti-discrimination provisions of the INA in order to reduce incidents of employment discrimination. Grants are open to all applicants including labor and immigrant organizations, small and large businesses and associations, employer groups and associations, public service or community-based organizations, faith-based organizations and state and local government agencies. The INA's prohibition against citizenship status discrimination applies to employers with more than three employees, and covers: (1) U.S. citizens and nationals; (2) lawful permanent residents; (3) temporary residents under the Immigration Reform and Control Act of 1986, including Special Agricultural Worker program (SAW) and Replenishment Agricultural Worker program (RAW); (4) refugees and (5) asylees. The INA's prohibition against national origin discrimination applies to employers with four to 14 employees and covers U.S. citizens, and all individuals with work authorization. Employers with 15 or more employees are subject to Title VII of the Civil Rights Act of 1964, 42 U.S.C. 2000e, et seq., Which also prohibits discrimination on the basis of national origin, and is enforced by the U.S. Equal Employment

Opportunity Commission (EEOC). Charges incorrectly filed with OSC are automatically referred to the EEOC and vice versa. The INA's prohibition against unfair documentary practices applies to employers with more than three employees, and protects U.S. citizens and all individuals with work authorization.

Applicant Eligibility:

The OSC welcomes grant proposals from organizations such as local, regional, and national ethnic and immigrant rights advocacy organizations, labor organizations, trade associations, industry groups, professional organizations, state and local government agencies, employer organizations and associations, and others, including for-profit entities, that provide information services to potential victims of discrimination and/or employers.

Beneficiary Eligibility:

The educational efforts under the grant should be cost effective and directed to (1) work-authorized non-citizens (since this group is especially vulnerable to employment discrimination); (2) citizens who are most likely to become victims of prohibited employment discrimination under the INA; and/or (3) employers, especially small businesses.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit the following forms electronically through www.grants.gov: Application for Federal Assistance (SF 424); Disclosure Form to Report Lobbying (SF LLL), and Assurances (DOJ/OJP Form 4000/3). In addition, the application package must include an abstract, a program narrative not to exceed 15 pages, a proposed budget, and resumes of professional staff proposed in the budget.

Award Procedure:

Federal officials will review and rate grant applications according to standards published in the announcement of availability of funds posted on www.grants.gov. Final award decisions are made by the Special Counsel for Immigration-Related Unfair Employment Practices, or in the absence of the Special Counsel, by the Assistant Attorney General for Civil Rights.

Deadlines:

Contact the Office of Special Counsel listed below.

Range of Approval/Disapproval Time:

4 to 5 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirement.

Length and Time Phasing of Assistance:

12 months. Funds are released quarterly.

Reports:

Quarterly Financial and Categorical Assistance Project Reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), ("Audits of States, Local Governments, and Nonprofit Organizations,") nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Any documents related to the items of expenses under the grant.

Account Identification:

15-0128-0-1-752.

Obligations:

(Grants) FY 07 \$725,000; FY 08 est \$710,500; and FY 09 est \$750,000. (Salaries and Expenses) FY 07 \$4,672,000; FY 08 est \$4,693,000; and FY 09 est \$4,832,000.

Range and Average of Financial Assistance:

For grants approved in FY 07, the range was \$40,000 to \$90,000, average \$65,909 (\$725,000/11). For FY 08 the grant program announced range is \$35,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the Office of Special Counsel continued to conduct outreach activities, including providing grant funds to community-based and nonprofit organizations and government entities to educate employers, employees, immigrant service providers and the general public about employer and immigrant rights and responsibilities under the anti-discrimination provision. OSC staff members made numerous educational presentations across the country, which included presentations sponsored by the U.S. Equal Employment Opportunity Commission, OSC grantees, state and local fair employment practices agencies, unions, and employer and worker organizations. Directly and through its grantees, OSC participated in 843 outreach presentations during the

fiscal year. In addition, the OSC maintained its 50 memoranda of understanding (MOUs) with state and local jurisdictions to promote the protection of immigrant worker rights. During the fiscal year, OSC initiated 165 charges of discrimination and independent investigations, ultimately resolving 89 matters through dismissal, settlement or letters of resolution. In addition, OSC received over 29,797 calls on its worker and employer hotlines, and was able on many occasions to save the jobs of authorized workers or assist employers in modifying their employment eligibility verification procedures to comply with the anti-discrimination provision of the Immigration and Nationality Act.

REGULATIONS, GUIDELINES, AND LITERATURE:

8 U.S.C. 1324b.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division-NYA, Office of Special Counsel for Immigration-Related Unfair Employment Practices, 950 Pennsylvania Ave., N.W., Washington, DC 20530. Telephone: (voice) (202) 616-5594, (TDD) (202) 616-5525; toll-free: (voice) (1-800) 255-7688, (TDD) 1-800- 237-2515.

Web Site Address:

<http://www.usdoj.gov/crt/osc/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Colorado Legal Services of Denver, CO; Legal Aid Foundation of Los Angeles, Los Angeles, CA; Catholic Charities of Dallas, Dallas, TX; National Immigration Law Center (NILC) of Los Angeles, CA; Asian Pacific American Legal Center of Southern California, Los Angeles, CA; Catholic Charities of the Diocese of Palm Beach, W. Palm Beach, FL; Legal Services of South Central Michigan, Ann Arbor, MI, Legal Aid of Nebraska, Omaha, NE; Nachman & Associates, Ridgewood, NJ; New York City Human Rights Commission, New York, NY; and The Association of Farmworker Opportunity Programs of Washington, D. C.

CRITERIA FOR SELECTING PROPOSALS:

The standards for making grant awards are published in the solicitation posted on www.grants.gov (see Award Procedure). Included among them are: 1) sound program design and cost-effective strategies for educating the intended population, including evidence of in-depth knowledge of the goals and objectives of the project, and the applicant's qualifications to reach effectively the intended audience(s); 2) capability of the applicant to define the intended audience, reach it, and implement the public education and evaluation components of the campaign; 3) staff capability and previous experience in having successfully carried out programs or work of a similar nature.

16.200 COMMUNITY RELATIONS SERVICE

(CRS)

FEDERAL AGENCY:

COMMUNITY RELATIONS SERVICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Civil Rights Act of 1964, as amended, Title X, Public Law 88-352, 42 U.S.C. 2000g-1-2000g-2.

OBJECTIVES:

To provide free Federal conciliation and mediation services to communities in preventing and resolving community tensions, conflicts, and civil disorders arising from actions, policies, and practices that are perceived to be based on race, ethnicity, or national origin. No funds are granted to outside organizations to provide these services.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Community Relations Service (CRS) employs conciliation, mediation, technical assistance, and training techniques to provide free services to communities in preventing, reducing, and resolving racial and ethnic conflicts. Through conciliation, CRS facilitates communication among disputing parties. Through mediation, CRS intervenes to promote the settlement of disputes through the terms of an oral or written agreement between the disputing parties. Through technical assistance, CRS provides subject matter expertise to communities by providing such services as: participating in and facilitating community meetings and task forces; and sponsoring, cosponsoring, or making presentations at conferences and forums. CRS conducts training in such areas as community policing, police/community relations, response to hate crimes, and conflict resolution techniques (e.g., law enforcement mediation). CRS also develops resource materials and prepares articles for publication on preventing and resolving racial and ethnic conflicts in communities.

Applicant Eligibility:

CRS provides services without cost assistance to representatives of groups or communities or Federal, State or local government agencies that seek to resolve, reduce or prevent conflicts related to race, ethnicity, or national origin. CRS does not provide grants to communities for conciliation and mediation

assistance programs.

Beneficiary Eligibility:

CRS does not provide grants to communities, but beneficiaries may include any group, community, or Federal, State or local government agency that experiences tensions involving race, ethnicity, or national origin.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Parties interested in requesting free conciliation and mediation service may write, phone, or contact in person, headquarters or regional offices. Please see below for contact information. No standard form is used. CRS does not provide grant funds to purchase assistance or to fund community programs.

Award Procedure:

Assistance is provided by direct response from an appropriate agency official to the applicant in the form of conflict prevention and resolution services. CRS will provide on-site services in major racial or ethnic crisis situations within 24 hours from the time when your community notifies CRS or CRS becomes aware of the crisis. In non-crisis situations, CRS will contact you within three days of when your community notifies CRS or CRS becomes aware of the situation to discuss your request for CRS services.

Deadlines:

None.

Range of Approval/Disapproval Time:

In major racial or ethnic crisis situations, on-site services are provided within 24 hours of notifying CRS. In non-crisis situations, CRS will contact the requestor within 3 days to discuss the request. Since CRS has only a limited number of conciliators to service the entire country, CRS cannot guarantee service delivery. CRS will, however, make every effort to service every request.

Appeals:

Applicant can request assistance again. If the agency is not authorized to provide a response, the applicant will be referred to an appropriate agency or resource for assistance.

Renewals:

Each request for service is given a separate response by CRS.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

CRS provides continuous service until the conflict is resolved or CRS no longer has jurisdiction under 42 U.S.C. 2000g-1.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-0500-0-1-752.

Obligations:

(Salaries and expenses) FY 07 est \$10,229,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

No financial assistance is provided by CRS. Rather, CRS staff provides appropriate services at no cost to the requestor.

PROGRAM ACCOMPLISHMENTS:

Each year, CRS is called upon to resolve or prevent racial and ethnic community conflicts throughout the country. CRS usually has an active case load of approximately 1,200 cases each year. Most of CRS' conflict prevention services focused on reducing racial and ethnic tensions between law enforcement and communities across the U.S., preventing racial and ethnic violence in public schools, and resolving community tensions associated with hate crimes.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations or guidelines for requesting assistance from CRS, except as noted above. Pamphlets and other materials developed by CRS for use by communities include: "Principles of Good Policing: Avoiding Violence Between Police and Citizens"; "Responding to Hate Crimes and Bias-Motivated Incidents on College/University Campuses"; "Guidelines for Effective Human Relations Commissions"; "Police Use of Excessive Force: A Conciliation Handbook for the Police and the Community"; "Managing Major Public Events: A Planning Guide for Municipal Officials, Law Enforcement, Community Leaders, Organizers, and Promoters"; "Avoiding Racial Conflict: Guide for Municipalities." These publications can be found on CRS' website at <http://www.usdoj.gov/crs>.

Regional or Local Office:

For a list of regional and local offices, please either visit <http://www.usdoj.gov/crs> or call (202) 305-2935.

Headquarters Office:

For general information, Community Relations Service, Department of Justice, Suite 6000, 600 E St. NW., Washington, DC 20530. Telephone: (202) 305-2935. Use the same number for FTS.

Web Site Address:
<http://www.usdoj.gov/crs>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable. CRS does not fund projects. Rather, its staff provide services directly to the public.

16.202 PRISONER REENTRY INITIATIVE DEMONSTRATION (OFFENDER REENTRY)

FEDERAL AGENCY:
OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:
H.R. 5548, as approved under H.R. 4577, the Consolidated Appropriations Act of 2001, 42 U.S.C. 3793w, Public Law No. 90-351, Title I, Part FF.

OBJECTIVES:
The Offender Reentry Initiative (Reentry Grant Program) is a combined federal effort to assist jurisdictions in facing the challenges presented by the return of offenders from prison to the community. The goal is to support the effective delivery of pre-release assessments and services, and to develop transition plans in collaboration with other justice and community-based agencies and providers, for supervised and non-supervised non-violent offenders. The current initiative addresses the continuing problem of offenders entering the community after incarceration with little or no surveillance, accountability, or resource investment.

TYPES OF ASSISTANCE:
Project Grants (Discretionary).

USES AND USE RESTRICTIONS:
The Reentry Initiative encourages the development of model reentry programs that begin in correctional institutions and continue through an offender's transition (release) to and stabilization in the community. These programs provide for individual reentry plans that address issues confronting offenders as they return to their communities. The initiative encompasses three phases and is implemented through appropriate programs. Phase 1-Protect and Prepare: Institution-Based Programs, Phase 2-Control and Restore: Community-Based Transition Programs Phase 3-Sustain and Support: Community-Based Long-Term Support Programs.

Applicant Eligibility:
An applicant must select a lead agency responsible for the operational aspects of the grant. The applicant must also establish a multidisciplinary collaborative partnership, which includes members of faith-based and/or community organizations; e.g., State and local corrections agencies, the State and local mental health and substance abuse agencies, and the State and local Workforce Investment Boards (WIB). The partnership must select the lead agency to serve as the applicant and the fiscal agent or grantee. If the lead agency designates another to serve as fiscal agent, the lead will remain as grantee with ultimate responsibility for the grant. The lead agency and/or fiscal agent must be a State or tribal agency's unit of government. Federally Recognized Indian Tribes must establish a partnership that includes (but is not limited to) entities or agencies that address corrections, criminal justice, law enforcement, employment services, substance abuse, and mental health. Tribes may involve State level agencies as their key partners, although this is not a requirement. Eligibility is limited to jurisdictions in which grants were awarded to community- and faith-based organizations through the U.S. Department of Labor's FY 2005 Prisoner Reentry Initiative (See www.dol.gov/opa/media/press/eta/eta20052123list.htm). State agencies are encouraged to partner with local and regional jails when submitting applications, or to serve as a pass-through for funding. All applicants must coordinate applications with their community- and faith-based partners.

Beneficiary Eligibility:
State, units of local government, and federally recognized tribes.

Credentials/Documentation:
The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs.

Preapplication Coordination:
A considerable amount of preapplication coordination is required amongst the required and suggested stakeholders. For State applicants, this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected

the program for review. The Offender Reentry Program (Prisoner Reentry Initiative (PRI)) strengthens urban communities characterized by large numbers of returning, nonviolent prisoners. With the support of several federal agencies, PRI is designed to reduce recidivism by helping returning inmates find work and access other critical services in their communities.

Application Procedure:
All competitive grant applications must be submitted electronically at:
<http://www.grants.gov/>.

Award Procedure:
All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination.

Deadlines:
See solicitation for deadline. Faxed or mailed applications or supplemental materials will not be accepted.

Range of Approval/Disapproval Time:
Applications will generally be approved or denied within 120 days of receipt of a completed application.

Appeals:
None.

Renewals:
Subject to congressional appropriations.

Formula and Matching Requirements:
A minimum 25 percent match is required for PRI; federal funding for this project may not exceed 75 percent of the total project costs. Contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:
Up to 20 awards of \$450,000 will be made under the PRI program depending on the availability of funding. The minimum project period is 24 months; the maximum project period is 36 months.

Reports:
Recipients are required to submit quarterly Financial Reports, and semi-annual Progress Reports.

Audits:
In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:
In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:
15-0401-0-1-754.

Obligations:
FY 07 \$14,879,140; FY 08 \$11,750,000; and FY 09 est not available.

Range and Average of Financial Assistance:
Not available.

PROGRAM ACCOMPLISHMENTS:
None.

REGULATIONS, GUIDELINES, AND LITERATURE:
Program Application Kit and Questions and Answers posted regularly on the OJP website at <http://www.ojp.usdoj.gov/BJA/grant/reentry.html>.

Regional or Local Office:
None.

Headquarters Office:
Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531. Contact Robert Hendricks, Policy Advisor. Telephone: (202) 616-6500 or (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:
<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
See solicitation for selection criteria and required elements.

16.203 COMPREHENSIVE APPROACHES TO SEX OFFENDER MANAGEMENT DISCRETIONARY GRANT (CASOM)

Formula and Matching Requirements:

Grants are discretionary and are awarded based on the merits of the application submitted to the SMART Office. A 25 percent match may be required for this grant program, and the funding for this project may not exceed 75 percent. Contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus one additional year.

Reports:

Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 est not available; FY 08 \$4,162,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

See program web site.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Jacqueline O'Reilly, Grant Program Specialist. Telephone: (202) 514-5024.

Web Site Address:

<http://www.ojp.usdoj.gov/smart>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Visit web site address.

CRITERIA FOR SELECTING PROPOSALS:

A review panel convened by the SMART Office will evaluate all applications on the basis of the following criteria: (1) The application clearly exhibits a commitment to collaboration, including meaningful collaboration across public sector agencies and with nonprofit, non-governmental victim services organizations; (2) the application identifies a problem that is consistent with the purposes of this initiative; (3) The application describes a project that effectively addresses the problem statement; (4) The implementation strategy, time line, and capability of the organization and staff are sound; (5) The application articulates clearly the jurisdiction's goals, outcomes, and objectives and describes the accountability system and performance measures to determine progress towards achieving them; (6) The project demonstrates meaningful attention to the safety of victims of sex offenses and holds offenders accountable for their criminal behavior by enhancing capacity through training and technical assistance; (7) The application identifies specific individuals, or a process to identify individuals, to serve as the Grant Manager, Grant Coordinator, and Research Coordinator for the effort, and demonstrates the skills, roles, and involvement of these individuals; and (8) The budget is reasonable as it relates to project activities.

16.300 LAW ENFORCEMENT ASSISTANCE-FBI ADVANCED POLICE TRAINING

(FBI Academy, Advanced Specialized Courses)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, SEX OFFENDER SENTENCING, MONITORING, APPREHENDING, REGISTERING AND TRACKING (SMART) OFFICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Public Law 102-322, Sec. 40152, codified at 42 U.S.C. Sec. 13941, the Comprehensive Approaches to Sex Offender Management (CASOM) Discretionary Grant.

OBJECTIVES:

To assist state, local and tribal jurisdictions in improving their adult and/or juvenile sex offender management policies and practices by critically examining existing approaches to monitoring and managing the population; identifying significant gaps and needs in the monitoring and management of sex offenders and developing training and technical assistance to address these identified needs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary); Training.

USES AND USE RESTRICTIONS:

The Sex Offender Management Discretionary Grant funds may be used to provide training and technical assistance to jurisdictions with reference to sex offender management and accountability. Training and technical assistance should be targeted to probation and parole officers and other personnel who provide case management, supervision, or relapse prevention services to non-incarcerated sex offenders or who are responsible for sex offender registration compliance.

Applicant Eligibility:

Non-profit and for-profit organizations, institutions of higher education, tribal entities, States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, local, and tribal communities who are interested in addressing the management of juvenile, adult, or a mixed population of sex offenders through the provision of training and technical assistance are eligible to apply for this grant program. Applicants must coordinate their proposal with others in their jurisdiction to ensure a collaborative response to this solicitation as well as to ensure that agencies within a single jurisdiction are not competing against one another in the grant process.

Beneficiary Eligibility:

State, local and tribal agencies (e.g. parole, probation, pretrial services, etc.) responsible for sex offender management, accountability, registration and supervision to implement training programs to enhance strategies for managing sex offender in the community. Non-profit or for-profit organizations, institutions of higher learning and tribal entities with demonstrated experience in sex offender management and accountability issues and delivery of training and technical assistance to implement national training and technical assistance program on sex offender management, accountability, registration and supervision.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applications must be submitted electronically at: <http://www.grants.gov>.

Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Grantees are notified by e-mail 7 to 10 days after Congress has been notified.

Grantees must print award, have signed by the authorized official and returned to the Office of Justice Programs by facsimile or mail.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, SMART Office, Telephone: 202-514-4689 or by e-mail at GetSMART@usdoj.gov.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 120 days of receipt of a completed application.

Appeals:

Discretionary grant applicants will be notified in writing of reasons for disapproval of their application.

Renewals:

Grants can be extended by submitting a written justification for an extension to the SMART Office for review. Extensions are subject to approval by the

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; Crime Control Act of 1973, Public Law 93-83, Section 404(a)(1), 42 U.S.C. 3701; Comprehensive Crime Control Act of 1984, Public Law 98-473; Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322.

OBJECTIVES:

To provide advanced training to experienced personnel of local, county, State, and selected Federal law enforcement agencies, with special emphasis on developing each graduate as a capable manager or administrator for his own department. To afford specialized advanced training to the above personnel.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

The curriculum of the FBI National Academy program includes criminal law and investigations, behavioral science, forensic science, education, management, and health/fitness. The courses are continually adapted to meet the changing needs of law enforcement administration. Training is provided at the FBI Academy at Quantico, VA. Advanced specialized courses of instruction are provided on specific topics such as Firearms Administration, White-Collar and Computer-Related Crimes, Latent Fingerprint Examinations, Police Legal Issues, Hostage Negotiations, Executive Development, Advanced Training Technology, and Laboratory Matters, with conferences and seminars on topics such as Budgeting, Scientific Technical Analysis, Death Investigation, Crime Prevention, Bombing and Arson Investigations, Violent Crimes Against the Elderly, and Sexual Exploitation of Children.

Applicant Eligibility:

Regular, full-time personnel of a criminal justice agency serving a municipality, county, local, or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Beneficiary Eligibility:

Regular, full-time personnel of a criminal justice agency serving a municipality, county, local or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Credentials/Documentation:

The applications of candidates for enrollment in the Academy must be approved and submitted by the head of their agency.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal application to nominate representative to attend the FBI Academy National program (FBI Form FD-164) submitted by nominee's agency head, followed by an interview of qualified applicants at FBI field office level. Applications of those nominated by agency heads to attend the specialized course are submitted to local office of the FBI for processing.

Award Procedure:

By letter to applicant.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

National Academy training period of 11 weeks. Specialized training varies with subject, from one to four weeks.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Salaries and Expenses) FY 07 \$15,865,981; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

No award, as such, involved; however, round-trip travel from residence to Washington, DC, provided where necessary, and where eligible, to local, county, and State police officers. Housing, food, laundry furnished all students at FBI Academy, Quantico, VA.

PROGRAM ACCOMPLISHMENTS:

The fiscal year 2007 estimate is 1,675. Law enforcement officials enrolled in the

11 week FBI National Academy general curriculum in fiscal year 2004 was 991, the fiscal year 2005 and 2006 estimate is 1,025.

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR Section 0.85(e); Booklets entitled "The FBI National Academy," and "FBI Training Programs," no cost.

Regional or Local Office:

Persons are encouraged to communicate with the nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:

Director, Federal Bureau of Investigation, Department of Justice, Washington, DC 20535. Telephone: (202) 324-3000. Use the same number for FTS.

Web Site Address:

<http://www.fbi.gov>.

RELATED PROGRAMS:

16.004, Law Enforcement Assistance_Narcotics and Dangerous Drugs Training; 16.302, Law Enforcement Assistance_FBI Field Police Training; 16.012, Alcohol, Tobacco, and Firearms_Training Assistance; 97.015, Secret Service_Training Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.301 LAW ENFORCEMENT ASSISTANCE-FBI CRIME LABORATORY SUPPORT

(FBI Laboratory)

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Public Law 90-351, Section 404, 5 U.S.C. 301, 42 U.S.C. 3744, and 28 U.S.C. 85 (g).

OBJECTIVES:

To provide forensic services to the FBI and any other duly constituted law enforcement agency; and provide technical and forensic assistance through research, training, technology transfer and access to information and forensic databases.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Training.

USES AND USE RESTRICTIONS:

Submitted evidence is examined and the FBI Laboratory also furnishes the experts necessary to testify in connection with the results of these examinations. These examinations are made with the understanding that the evidence is connected with an official investigation of a criminal or terrorism matter and that the laboratory report will be used only for official purposes related to the investigation or a subsequent prosecution. The FBI Laboratory will not accept cases from other crime laboratories which have the capability of conducting the requested examinations. Additionally, specialized forensic training in many forensic disciplines is conducted. This training is provided to eligible applicants commensurate with available resources. Finally, through available resources, the FBI Laboratory provides new enhanced equipment and tools to State and forensic and law enforcement personnel.

Applicant Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

Formal request, normally written, from appropriate official of the requesting law enforcement agency.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Inquiries may be sent to the Director, Federal Bureau of Investigation, Washington, DC 20535. Attention: FBI Laboratory.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:
Not applicable.

Audits:
Not applicable.

Records:
Not applicable.

Account Identification:
15-0200-0-1-751.

Obligations:
(Salaries and expenses and VCRP*) FY 07 \$186,164,015; FY 08 est not available; and FY 09 est not reported. (*Note: The Lab no longer has funding related to VCRP).

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Nonfederal scientific examinations made in FY 07 estimate is 33,569.

REGULATIONS, GUIDELINES, AND LITERATURE:
28 CFR Section 0.85(g). "Procedures and Services of the FBI Laboratory," no cost.

Regional or Local Office:
The FBI Laboratory is located at 2501 Investigation Parkway, Quantico, VA 20135. See Catalog Appendix IV for addresses and telephone numbers of FBI Field Offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:
Director, Federal Bureau of Investigation, Department of Justice, Washington, DC 20535. Telephone: (202) 324-3000. Use the same number for FTS.

Web Site Address:
<http://www.fbi.gov>.

RELATED PROGRAMS:
16.001, Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis; 16.303, Law Enforcement Assistance_FBI Fingerprint Identification.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

16.302 LAW ENFORCEMENT ASSISTANCE-FBI FIELD POLICE TRAINING

(FBI Field Police Training)

FEDERAL AGENCY:
FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:
Omnibus Crime Control and Safe Streets Act of 1968, as amended; Crime Control Act of 1973, Public Law 93-83, Section 404 (a) (3), 42 U.S.C. 3701; Comprehensive Crime Control Act of 1984, Public Law 98-473; Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322.

OBJECTIVES:
To develop the professional skills of criminal justice personnel.

TYPES OF ASSISTANCE:
Training.

USES AND USE RESTRICTIONS:
Courses available from FBI instructors range from basic recruit training to specialized instruction in such areas as fingerprinting, legal topics, police-community relations, hostage negotiation, white collar crime, organized crime, computer fraud, management techniques, investigative support, criminal investigative analysis, etc. FBI training assistance is available in complete programs of instruction or as supplemental courses to already existing local police training sessions.

Applicant Eligibility:
All authorized municipal, county, local, and State criminal justice personnel.

Beneficiary Eligibility:
All authorized municipal, county, local, and State criminal justice personnel.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Contact FBI Headquarters in Washington, DC or, preferably, the nearest FBI Field Office.

Award Procedure:
By letter to applicant

Deadlines:
None.

Range of Approval/Disapproval Time:
Not applicable.

Appeals:
Not applicable.

Renewals:
Not applicable.

Formula and Matching Requirements:
Not applicable.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
Not applicable.

Audits:
Not applicable.

Records:
Not applicable.

Account Identification:
15-0200-0-1-751.

Obligations:
(Salaries and expenses) FY 07 est \$10,985,058; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Criminal justice personnel attending field training courses in fiscal year 2005 numbered 92,500. The estimate for fiscal years 2006 and 2007 is 96,000.

REGULATIONS, GUIDELINES, AND LITERATURE:
28 CFR Section 0.85(e). "FBI Training Programs;" no cost.

Regional or Local Office:
Persons are encouraged to communicate with their nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:
Director, Federal Bureau of Investigation, Department of Justice, Washington, DC 20535. Telephone: (202) 324-3000. Use the same number for FTS.

Web Site Address:
<http://www.fbi.gov>.

RELATED PROGRAMS:
16.004, Law Enforcement Assistance_Narcotics and Dangerous Drugs Training; 16.300, Law Enforcement Assistance_FBI Advanced Police Training; 16.305, Law Enforcement Assistance_Uniform Crime Reports; 16.012, Alcohol, Tobacco, and Firearms_Training Assistance; 97.015, Secret Service_Training Activities.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

16.303 LAW ENFORCEMENT ASSISTANCE-FBI FINGERPRINT IDENTIFICATION

(FBI Criminal Justice Information Services Division)

FEDERAL AGENCY:
FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:
28 U.S.C. 534; Public Laws 91-452, 92-544, 94-29, 95-405, 97-444, 99-169, 99-399, 100-181, 100-413, 101-604, 101-630, 101-647, 103-159, 103-209, 103-322, 104-120, 105-135, 105-251, 105-277, 106-56, 106-560, 107-71, 107-188, 107-273, 107-295, 107-296, 108-21, 108-173, and 108-458.

OBJECTIVES:
To provide fingerprint and arrest-record services to U.S. Government and criminal justice agencies; and to provide arrest- record services to State and local governmental authorities, as well as certain authorized nongovernmental entities for employment and licensing purposes.

TYPES OF ASSISTANCE:
Provision of Specialized Services.

USES AND USE RESTRICTIONS:
Criminal identification by means of fingerprints; determination of a person's past criminal history, if any; fingerprint identification of missing persons, unknown deceased persons, unknown living persons and victims of major disasters; and processing of physical evidence for latent finger and palm prints. Information must be used for official purposes only and is not furnished for public dissemination.

Applicant Eligibility:
All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Beneficiary Eligibility:
All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Credentials/Documentation:
Initial request for services must be from a statutorily authorized agency; request must be written on letterhead of agency and list address of agency and title of official signing request; it must indicate that information is to be used only for criminal investigative or other official purpose authorized by statute; and reply will be sent only to head of requesting organization.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Inquiries must be sent to the Assistant Director, Criminal Justice Information Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road, Clarksburg, WV 26306.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

(Salaries and expenses) FY 07 \$154,931,714; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fingerprint cards processed during FY 2007 estimate is 21,019,630.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, CFR, Sections 0.85(b) and (j), 16.30-16.34, 16.96(e) 20.1-20.3, 20.3020.38; and 50.12; Booklet entitled "Science of Fingerprints," for sale by the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402 - Stock Number 027-001-00024-6; Article Entitled "Development of Latent Impressions with Powder", no cost; "Chemical Development of Latent Impressions," no cost; "Lifting Latent Impressions," no cost; "Techniques for Taking Good Fingerprints," no cost.

Regional or Local Office:

See Catalog Appendix IV for addresses and telephone number of FBI field offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:

Assistant Director, Criminal Justice Information Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road, Clarksburg, WV 26306. Telephone: (304) 625-2222. Use the same number for FTS.

Web Site Address:

<http://www.fbi.gov>.

RELATED PROGRAMS:

16.001, Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis; 16.301, Law Enforcement Assistance_FBI Crime Laboratory Support.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.304 LAW ENFORCEMENT ASSISTANCE-NATIONAL CRIME INFORMATION CENTER

(NCIC)

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

28 U.S.C. 534.

OBJECTIVES:

The FBI National Crime Information Center (NCIC) is designed to complement the development of similar metropolitan and statewide criminal justice information systems and provide a computerized central index to documented files of local and State criminal justice agencies.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The FBI National Crime Information Center (NCIC) is a computerized index of

crime and criminals of nationwide interest. Included are records concerning wanted persons, missing persons, unidentified persons, persons on supervised release, persons subject of a protection order, registered sexual offenders, persons violating immigration laws, persons associated with a violent gang or terrorist organization, and stolen property. Also provided is access to an index of criminal history records. The NCIC, located at the FBI's Criminal Justice Services Division in Clarksburg, WV, serves as a nucleus of a vast, high-speed criminal justice communications network which includes authorized local, State, and Federal criminal justice agencies throughout the United States, Canada, Puerto Rico, the U.S. Virgin Islands, Guam, and American Samoa. Through use of computer storage and retrieval, the NCIC is able to locate and transmit in seconds essential data for the efficient performance of criminal justice personnel.

FBI personnel are available to assist States in operating their systems which are connected to the NCIC computer. Technical and other consultant services as well as training are provided at no expense to State agencies.

Applicant Eligibility:

Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Beneficiary Eligibility:

Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Credentials/Documentation:

Agencies accessing NCIC must be an authorized criminal justice agency or authorized entity.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Federal, State and local criminal justice agencies applying for access should contact their control terminal agency, or the Director, Federal Bureau of Investigation, 10th and Pennsylvania Ave., NW., Washington, DC 20535.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Salaries and expenses) FY 07 \$24,924,785; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Transactions handled during FY 2007 estimate is 2,409,847,645.

REGULATIONS, GUIDELINES, AND LITERATURE:

"NCIC Operating Manual," no charge; "NCIC Code Manual," no charge; "NCIC Newsletter*", no charge; "The Investigative Tool*", no charge; "NCIC: An Overview," no charge; "NCIC File Reference Card," no charge; "NCIC 2000 Protection Order File," no charge. (Items marked * are restricted to criminal justice agencies.)

Regional or Local Office:

None.

Headquarters Office:

Director, Federal Bureau of Investigation, Department of Justice, Washington, DC 20535. Telephone: (202) 324-3000. Use the same number for FTS.

Web Site Address:

<http://www.fbi.gov>.

RELATED PROGRAMS:

16.305, Law Enforcement Assistance_Uniform Crime Reports; 16.550, State Justice Statistics Program for Statistical Analysis Centers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.305 LAW ENFORCEMENT ASSISTANCE-UNIFORM CRIME REPORTS

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

28 U.S.C. 534.

OBJECTIVES:

To furnish data which will assist heads of law enforcement agencies in administration and operation of their departments. Further, to make information/data available to judges, penologists, sociologists, legislators, media, students, and others interested in crime and its social aspects.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The FBI collects, analyzes, and publishes certain crime statistics which it receives on a regular and voluntary basis from law enforcement agencies nationwide. These data are published annually in the publication, "Crime In The United States-Uniform Crime Reports," and are supplemented with preliminary annual and semiannual releases. The annual publication provides information on (1) crime trends; (2) offenses known to police; (3) age, sex, and race of persons arrested; (4) police disposition of juveniles arrested; (5) police employee; and (6) other information. Additionally, the FBI annually publishes statistics on Hate Crimes and Law Enforcement Officers Killed and Assaulted.

Applicant Eligibility:

All participating law enforcement agencies including State and local governments are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited annual copies of statistics on Hate Crime and Law Enforcement Officers Killed and Assaulted, along with preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available to the general public for purchase from the Superintendent of Documents, U.S. Government Printing Office, 710 North Capitol Street NW., Washington, DC 20401.

Beneficiary Eligibility:

All participating State and local law enforcement agencies are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited copies of preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available for sale from the Superintendent of Documents, U.S. Government Printing Office, 710 North Capitol Street, NW., Washington DC 20401.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Inquiries may be sent to the Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Salaries and expenses) FY 07 \$26,112,993; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During FY 2005, 25,000 copies of the FBI's annual publication, Crime in the United States, were distributed. As part of the electronic government initiative, the document will be published on the Internet and will no longer be available as a hardcopy publication or a CD ROM beginning in FY 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR section 0.85 (f). "Crime In The United States-Uniform Crime Reports," Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Regional or Local Office:

None.

Headquarters Office:

Assistant Director, Criminal Justice Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road. Clarksburg, WV 26306 Telephone: (304) 625-2000.

Web Site Address:

<http://www.fbi.gov>.

RELATED PROGRAMS:

16.304, Law Enforcement Assistance National Crime Information Center;

16.550, State Justice Statistics Program for Statistical Analysis Centers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.307 COMBINED DNA INDEX SYSTEM

(CODIS)

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

DNA Identification Act of 1994, Public Law 103-322.

OBJECTIVES:

To develop or improve forensic DNA analysis capabilities in State and local crime laboratories. The Combined DNA Index Systems (CODIS) allows State and local crime laboratories to store and match DNA records.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CODIS program enables State and local laboratories to establish databases of convicted offenders, unsolved crime scenes, and missing persons. CODIS allows the forensic laboratories to exchange and compare DNA profiles electronically thereby linking serial violent crimes to each other and to identify suspects by matching DNA from crime scenes to convicted offenders.

Applicant Eligibility:

To receive CODIS software and technical assistance, participants must be publicly funded forensic laboratories performing DNA analysis, or private forensic laboratories performing DNA analysis under contract with crime laboratories.

Beneficiary Eligibility:

To be eligible for DNA grants, participants must be publicly funded State or local forensic laboratories performing DNA analysis.

Credentials/Documentation:

Formal written application from appropriate official of the requesting forensic laboratory to NIJ under the Forensic DNA Laboratory Improvement Program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Formal written application from appropriate official of the requesting forensic laboratory to NIJ under the Forensic DNA Laboratory Improvement Program.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

FY 07 \$7,947,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

As of March 2005, there are 17 State and local laboratories. CODIS is installed in 50 States, FBI, US Army Crime and Laboratory and Puerto Rico.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

None.

Headquarters Office:

For the CODIS program, contact Director, Federal Bureau of Investigation, Department of Justice, Washington, DC 20535. For the DNA grant program, contact Director, National Institute of Justice, Department of Justice, Washington, DC 20531. For information on the FBI's CODIS Program, Forensic Science Systems Unit, Laboratory Division. Contact: John Behun. Telephone: (202) 324-9440.

Web Site Address:<http://www.fbi.gov>.**RELATED PROGRAMS:**

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable proposals must support development or improvement of forensic DNA analysis capabilities by State and local crime laboratories in a manner which supports the CODIS program.

16.308 INDIAN COUNTRY INVESTIGATIONS**FEDERAL AGENCY:**

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322, 108 Stat. 1796.

OBJECTIVES:

To provide training to the Bureau of Indian Affairs (BIA) and Tribal Law Enforcement Officers in conjunction with the Bureau of Indian Affairs and the Federal Law Enforcement Training Center (FLETC) to better conduct investigations in Indian Country. Coordination of the development of training curriculum with the BIA and the FLETC; and conduct training seminars in law enforcement techniques throughout the country. To coordinate investigative activities within Indian Country.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Funding may be utilized for expenses associated with investigative and managerial training for Indian Country law enforcement.

Applicant Eligibility:

BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

Beneficiary Eligibility:

BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

FBI and BIA select students for training in conjunction with Indian country police.

Award Procedure:

Not applicable.

Deadlines:

Funding is one-year and is available until expended.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

(Training and investigative assistance) FY 07 \$1,197,500; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Training FY 07 estimate 605 students.

PROGRAM ACCOMPLISHMENTS:

Developed and provided uniform courses of instruction in death investigations, child sexual/physical abuse, gaming, evidence recovery, supervision and management, and critical incident response, child forensic interviewing, and street officer safety to all levels of law enforcement in Indian Country to include, local, State, tribal, BIA and FBI officers/agents; funded training in basic and advanced law enforcement skills provided by the BIA IPA and FLETC; funded individual field office training initiatives for law enforcement in Indian Country. Provided training to more than 5,500 Indian Country law enforcement officers in fiscal years 1996-2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Bureau of Investigation, Department of Justice, 935 Pennsylvania Avenue, N.W., Washington, DC 20535. Contact: (202) 324-3336.

Web Site Address:<http://www.fbi.gov>.**RELATED PROGRAMS:**

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.309 LAW ENFORCEMENT ASSISTANCE-NATIONAL INSTANT CRIMINAL BACKGROUND CHECK SYSTEM

(NICS)

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Gun Control Act, 18 U.S.C. Chapter 44, Public Law 103-159; National Firearms Act, 26 U.S.C. Chapter 53.

OBJECTIVES:

To provide a system so that any Federal Firearm Licensee (FFL) could gain information, by telephone or by other electronic means, on whether receipt of a firearm by a prospective buyer would violate Federal or State laws through the proper completion of Bureau of Alcohol Tobacco and Firearms (ATF) Form 4473.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The NICS Operations Center conducts NICS background checks by analyzing and evaluating criminal history records to determine whether the transfer of a firearm to an individual may violate State or Federal law. The staff at the Operations Center provides technical and operational support to users, provides customer service to FFLs and State Point of Contacts (POCs), processes appeals of denied transactions, and prepares management and statistical reports on the operation of the NICS.

Applicant Eligibility:

All persons purchasing firearms.

Beneficiary Eligibility:

The Federal Firearm Licensees and American public.

Credentials/Documentation:

Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Operation Center by telephone via a toll-free number or, in the future, electronically by computer. If a NICS background check results in no matching records, the transfer will be allowed, and the FFL will be provided with a unique NICS Transaction Number (NTN). If one or more matching records are found, an FBI examiner will review the record(s) to determine if the subject of the record may be identical with the prospective purchaser and to determine if the record contains information which may prohibit the sale of the firearm. If so, the transfer will be denied, and the prospective buyer will be advised of the process for appealing the decision if he/she believes it is incorrect. The FBI may contact local, State, and Federal law enforcement agencies and courts to resolve questions about an individual who appears to be prohibited from purchasing a firearm, as well as to request

clarification regarding information in a prior record or disposition. After analyzing all information, the FFL is then contacted with a "Proceed" or a "Deny" status.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Operation Center by telephone via a toll-free number or, in the future, electronically by computer.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Three business days.

Appeals:

Persons denied the right to purchase a firearm may appeal the denial by writing to the NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278. Another option that is available is e-mailing the appeal to: a_nics@leo.gov.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

The CJIS Division internal audit staff conducts audits of Point of Contact states to ensure compliance with the Brady Handgun Violence Prevention Act requirements, FBI NICS regulation, and the validation of the completeness and accuracy of the states criminal history records. Additionally, the audit staff conducts audits of Protection Order File (POF) records nationwide. The accuracy of the criminal history records and the POF data is critical to the NICS Program since this is the information that the NICS bases its decision on whether the transfer of the firearm would violate state or federal laws. Furthermore, the internal audit staff conducts audits to determine the accuracy of data submitted by federal agencies for inclusion in the NICS Index.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

FY 07 \$24,880,582; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, CFR, Part 25 National Instant Criminal Background Check System Regulation, Public Laws 103-159 Federal Regulations, NICS Brochure, Appeals Brochure, POC Manual and FFL Manual.

Regional or Local Office:

NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278.

Headquarters Office:

Assistant Director, Criminal Justice Information Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road, Clarksburg, WV 26306. Telephone: (304) 625-2222. FTS: (304) 625-2222.

Web Site Address:

<http://www.fbi.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.320 SERVICES FOR TRAFFICKING VICTIMS

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

22 USC 7105(b)(2)(A).

OBJECTIVES:

To provide assistance to victims of severe forms of trafficking (i.e., sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or the recruitment, harboring, transportation, provision or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the

purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery) without regard to the immigration status of the victim. A set aside of the total appropriation for the following purposes is as follows: three percent for research, evaluation, and statistics; two percent for training and technical assistance; and one percent for management and administration of grant funds.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funding under this program is intended primarily, but not exclusively, to meet victims' pre-certification needs. Pre-certification refers to the period of time between when trafficking victims are initially identified by law enforcement and officially certified by the Federal government as such. In FY 04, 10 cooperative agreements to provide comprehensive victim services were awarded under this program. During FY 05, OVC made one award of \$281,595 to support training and technical assistance to victim service organizations to enhance their services to trafficking victims. OVC also made one award of \$499,155 to a grantee for the provision of comprehensive services. Beginning in 2004, OVC joined with its sister agency, the Bureau of Justice Assistance (BJA) to support an expanded strategy of funding anti-trafficking task forces in cities throughout the country known to have a high concentration of trafficking victims. This task force strategy focuses on enhancing law enforcement's ability to identify and rescue victims of human trafficking; providing law enforcement with the resources and training to identify and rescue victims of trafficking; and to ensuring that comprehensive services are available wherever trafficking victims are found. OVC and BJA released a joint competitive solicitation in FY 06 that resulted in the creation of 10 new law enforcement task forces and funding for victim service provision in areas where new task forces were formed. In FY 07, BJA awarded supplemental funding to six task forces. BJA has provided funding to 42 local and state law enforcement anti-trafficking task forces across the country. This year, OVC awarded supplemental funding to 13 Services for Trafficking Victims grantees to continue providing services to pre-certified trafficking victims. To date, OVC has funded 31 victim service agencies to provide a wide range of services to trafficking victims in the United States. Comprehensive Services awards support the creation and/or enhancement of collaborative networks that will provide critical services for trafficking victims within a given community or region. These awards are intended to build community-based networks of comprehensive, integrated, and culturally appropriate services for trafficking victims. Applicants must demonstrate to capacity to quickly mobilize resources to accommodate the needs of potentially large numbers of victims and the ability to coordinate with other trafficking programs and other service providers. OVC anticipates releasing a competitive solicitation to fund new cooperative agreements to provide comprehensive victim services contingent on the availability and amount of FY 2008 funding. In order to receive Department of Justice funding support and the assistance of the local U.S. Attorney, local law enforcement agencies and victim services providers are required to enter into a Memorandum of Understanding with all task force members committing themselves to collaborating together on investigations and assistance to victims.

Applicant Eligibility:

The Attorney General may make grants to States, Indian tribes, units of local government, and nonprofit, non-governmental victims service organizations.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Applications for this program must be submitted electronically via Grants.gov; at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133.

Preapplication Coordination:

The standard application form furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule) must be used for all grants made by the Office for Victims of Crime. This program is excluded from coverage under

Application Procedure:

In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program electronically via grants.gov. Forms for funds other than grants or for use by Federal Agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director of the Office for Victims of Crime. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Deadlines will be announced by OVC in its Trafficking Victims Protection Act Discretionary Grant solicitation and application guidelines, available on the OVC homepage at <http://www.ojp.usdoj.gov/ovc/>.

Range of Approval/Disapproval Time:

Approximately 3-5 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Supplements are considered on an individualized basis, based on grantee performance and the need for service provisions to trafficking victims within the geographic area of coverage, as well as the existence of anti-trafficking task forces funded through the Bureau of Justice Assistance.

Formula and Matching Requirements:

Eligible applicants must match the Trafficking Victims Protection Act grant program funds with a 25 percent cash contribution or the value equivalent of an in-kind contribution(s). In-kind match refers to the value of something received or provided that does not have a cost associated with it.

Length and Time Phasing of Assistance:

Fiscal Year 2003, 2004, 2005, 2006 and 2007 trafficking funds may be carried for obligation by the grantee for the duration of their grant award. Funds are released via electronic funds transfer. Grantees must become enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds.

Reports:

Quarterly financial reports and semi-annual progress reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$9,400,000; and FY 09 est not available.

Range and Average of Financial Assistance:

In FY 08, OVC may award grants ranging from \$200,000 to \$500,000 for 1-3 years to support services to trafficking victims. Additional awards to support research and evaluation and training and technical assistance may be made.

PROGRAM ACCOMPLISHMENTS:

Since the inception of the program over 1,900 pre-certified trafficking victims have been served by grantee recipients. Grantees have also provided substantive training on trafficking to over 89,000 individuals, including law enforcement officers. OVC now funds a total of 31 direct service projects, as well as one project that provides mobile services. Comprehensive services grants provide direct services to meet the broad range of needs of trafficking victims, including case management; legal services; medical, dental, and mental health services; advocacy, emergency and longer-term housing; education and job skills training; and interpreter services. The specialized services grants provide a quickly mobilized single service over a broad geographical area, such as mental health assessment and crisis intervention. OVC continues to coordinate closely with the Office for Refugee Resettlement at the Department of Health and Human Services through periodic coordination meetings to ensure that their respective programs for trafficking victims, outreach efforts, and training and technical assistance priorities for trafficking grantees are not duplicative and proactively address issues that arise from the field on service provision to trafficking victims. Funding from this program was previously transferred to the National Institute of Justice to support a ground-breaking evaluation of three of the OVC funded comprehensive service sites. Final results were completed this year. Through its Training and Technical Assistance Center, OVC has developed substantive resources for its grantees to collect data and track performance of their projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

OVC discretionary grant solicitations and application guidelines and the current edition of the OJP Financial Guide are available on-line at the OVC webpage: <http://www.ojp.usdoj.gov/ovc/>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 307-5983, or Joye Frost, Director, Program Development and Dissemination Division, Office for Victims of Crime; Telephone: (202) 305-1715.

Web Site Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

93.598, Services to Victims of a Severe Form of Trafficking; 93.566, Refugee and Entrant Assistance, State Administered Programs.

EXAMPLES OF FUNDED PROJECTS:

(a) Comprehensive Services projects provide a holistic approach to assisting trafficking victims by either directly providing services or coordinating access to services that provide shelter and sustenance, general health and mental health care, legal services, jobs skills training, cultural support from the community, and educational services. Applicants must establish an advisory committee to guide the project; conduct an assessment of existing community services, resources, and needs; identify key partners including law enforcement and governmental and non-governmental entities; and develop a plan to sustain the project after Federal funding ends. (b) Supplemental and Specialized Services projects must demonstrate capacity to provide discrete services such as legal, housing, or mental health services to trafficking victims with very little notice and lead time within a given geographical area; for fluctuating numbers of victims; for victims with extremely limited resources; for victims from diverse cultural and linguistic backgrounds; and for victims who have experienced distinct or multiple forms of victimization, including psychological and sexual assault, forced labor, denial of medical care, etc. Programs must collaborate as appropriate with recipients of funding for Comprehensive services, and ensure trafficking victims have access to: emergency and long-term medical and mental health care, legal services including immigration advocacy, interpreter and translation services, social service and criminal justice system-based advocacy, outreach services directed toward immigrant populations, special services for victims who are juveniles, and job skills training.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals have been developed by the Office for Victims of Crime and are included in the OVC Services for Trafficking Victims Discretionary Grant program Solicitations and Application Guidelines.

16.321 ANTITERRORISM EMERGENCY RESERVE**FEDERAL AGENCY:**

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME, DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603b(a).

OBJECTIVES:

Encompasses two programs for victims of terrorism and/or mass violence: (1) Antiterrorism and Emergency Assistance Program (AEAP) and (2) The International Terrorism Victim Expense Reimbursement Program (ITVERP). The AEAP provides assistance and compensation services for victims of domestic terrorism and mass violence and assistance for victims of international terrorism. ITVERP provides compensation for victims of acts of international terrorism that occur outside the United States for expenses associated with that victimization.

TYPES OF ASSISTANCE:

Project Grants (Contracts); Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

AEAP funds are available specifically for services to victims of terrorism and/or mass violence. Funded activities may include compensation, emergency relief, including crisis response efforts, assistance, training and technical assistance, and ongoing assistance, including during any investigation or prosecution to victims of terrorist acts or mass violence occurring within and outside the United States. ITVERP provides reimbursement for victims of international terrorism specifically for expenses incurred for medical, mental health, funeral and burial, property loss, and other miscellaneous costs.

Applicant Eligibility:

AEAP: Criteria will vary depending on the grant. For terrorism or mass violence occurring within or outside the U.S., eligible applicants for funding under VOCA, Title II, 42 U.S.C. 1603b, 1404B, include states, victim service organizations, and public agencies (including federal, State and local governments) and non-governmental organizations that provide assistance to victims of crime. With the exception of ITVERP, OVC does not provide funding directly to individual crime victims. ITVERP: For an applicant to be eligible to receive reimbursement under ITVERP as authorized under VOCA,

Title II, 42 U.S.C. 10603c, 1404C, an individual must be a national of the United States or an officer or employee of the U.S. Government, as of the date on which the act of terrorism occurred. In addition, the individual must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after December 21, 1988, with respect to which an investigation or prosecution was ongoing after April 24, 1996.

Beneficiary Eligibility:

AEAP: Public and private nonprofit victim assistance agencies; victims of domestic and international terrorism. Eligibility depends on the nature of the grant. ITVERP: The law requires that the individual victim must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after December 21, 1988, with respect to which an investigation or prosecution was ongoing or was commenced after April 24, 1996. In the case of a victim who is a minor, incompetent, incapacitated, or is killed, a family member or legally designated representative of the victim may receive expense reimbursement on behalf of the victim. In addition to the victim, claimants may include the following: Spouse of the victim, Parents of the victim, Children of the victim, Siblings of the victim, Legally designated victim representative.

Credentials/Documentation:

All potential applicants shall submit a written proposal along with a budget and budget narrative to the OVC Director for consideration. Proposals submitted by State crime victim compensation program administrators should include the following: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the states maximum award amount by category, such as medical, mental health, lost wages, funeral, etc.; and (4) a description of the range of expenses covered by the program and the amount of state funding available to cover victim claims. Proposals submitted by State crime victim assistance administrators, and all other applicants seeking crime victim assistance, should include the following: (1) type of crime and description of the criminal event; (2) identification of the lead law enforcement agency conducting the investigation; (3) estimated number of victims affected by the crime; (4) description of the applicant's role in responding to the victim population since the date of the incident; (5) description of services that this funding will support and how these efforts will complement services already in place or will respond to an unmet need; (6) the amount of funding requested and the time frame for support; and (7) description of outreach and coordination with other public and private entities during the process of preparing the request for assistance. Once approved for applying, an AEAP solicitation, with detailed instructions on applying as well as reporting requirements, will be posted on the OJP electronic Grants Management System (GMS). Applicants, except Federal agencies, must submit through GMS (1) SF-424, Application for Federal Funding and applicable assurances and forms; and (2) Budget and budget narrative including a description of all other federal and nonfederal contributions (cash or in-kind). Applications for the AEAP must be submitted to the OVC Director as soon as practical following a terrorist or mass violence event by the appropriate State or federal official or private victim service and non-governmental organizations. ITVERP: All potential applicants shall submit a written application along with all supporting documentation to OVC for consideration. There are three application types: An Itemized application should be used by those eligible claimants who are asking a first-time request for reimbursement of out-of-pocket expenses that were paid following an international terrorism event. An Interim Emergency application should be used by eligible claimants seeking funds for an immediate need such as medical treatment, short-term lodging, or emergency transportation. A Supplemental application should be used by eligible claimants whose expenses have changed since they submitted an initial application. The supplemental application is for bills that may have been received late, or for new services that were not originally thought necessary.

Preapplication Coordination:

The standard application form furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule) must be used for all grants made by OVC. This program is excluded from coverage under E.O. 12372.

Application Procedure:

AEAP: Applications will be accepted following an incident of terrorism or mass violence in accordance with the timetable set forth in the AEAP Guidelines. There are two factors that determine the application submission requirements for the AEAP: (1) the applicant status, i.e., government agency (Federal, State, or local), non-governmental organization, or victim service organization; and (2) the type of support requested, i.e., crisis response, consequence management, criminal justice, victim compensation, technical assistance (no direct funding). Application requirements are listed below. Application requirements for State crime victim compensation programs - Funding will be made available to State crime victim compensation programs in response to an incident of terrorism within the United States in the form of a grant. Requests for funding from State crime victim compensation programs may be made at any time in the aftermath of an incident and should include: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the State's maximum award amount by category, i.e., medical, mental health, loss wages, funeral, etc.; and (4) SF-424, Application for

Federal Assistance and applicable assurances and forms. The request should also describe the range of expenses covered by the program and the amount of State funding available to cover victim claims. Application requirements for all other recipients of funds -All other applicants seeking Antiterrorism Emergency Reserve support are required to submit a letter of request containing the following information: (1) Type of crime and description of the criminal event; (2) Identification of the lead law enforcement agency conducting the investigation; (3) Estimated number of victims affected by the crime; (4) Description of the applicant's role in responding to the victim population since the date of the incident; (5) Description of services that funding will support and how these efforts will complement services in place or respond to an unmet need; (6) The amount of funding requested and the time frame for support; and (7) Description of outreach and coordination with other public and private entities in the process of preparing the request for assistance. To Request Training and Technical Assistance Support - Training and Technical Assistance may be requested by submitting a letter describing the nature of the problem; the type of expertise or assistance needed; the duration of assistance; and the projected outcomes of the technical assistance or training. See OVC guidelines published in the Federal Register (Vol. 67, No. 21, Thursday, January 31, 2002) for additional information on application requirements for the AEAP. ITVERP: Step 1: Identify the type of application you are applying for by marking the appropriate type at the top of the application form. In addition, a supplemental sheet must be filled out by applicants. Sections B and F should be answered by all applicants; section G should be answered by Itemized and Supplemental applicants only. Detailed instructions are included. Step 2: If you believe that you are an eligible victim of a "designated" international terrorism event, please use the following forms and information to prepare your application for reimbursement. Required Documentation: A victim is required to submit documentation that provides proof of identity, citizenship, employment, and damage/injury sustained during the international terrorism event. A victim's family member or representative is required to submit documentation of his/her relationship to the victim plus medical information or a death certificate as appropriate, in addition to the items named above. In all cases, receipts for expenses incurred in the recovery process will be required. If any of the required documents have been destroyed and are unavailable from any other source, you must provide and certify a list of destroyed documents and how each would apply to your ITVERP application.

Award Procedure:

AEAP: Upon receipt and internal review of a complete application, it is the intention of OVC to notify applicants of funding decision within 5 business days or as soon as practicable. The applicant may be notified by telephone, Internet or facsimile. ITVERP: After review of each application, the Director shall determine the eligibility of the victim or representative and the amount, if any, eligible for reimbursement, specifying the reasons for such determination and the findings of fact and conclusions of law supporting it. A copy of the determination shall be mailed to the claimant at his last known address.

Deadlines:

AEAP: The established time frames for funding are flexible and not intended to prohibit the submission of applications at a different time, if warranted. However, applicants should make every available effort to submit applications within the maximum parameters of the grant (Crisis Response Grant, up to 9 months; Consequence Management Grant, up to 18 months; Criminal Justice Support Grant, up to 36 months). ITVERP: The deadline for an application is three years from the date of the act of international terrorism. At the discretion of the Director, the deadline for filing a claim may be extended to a date not later than three years from the date of the determination that there is a reasonable indication that an act of international terrorism has occurred, under 94.21(a). For claims related to acts of international terrorism that occurred after December 21, 1988, but before the establishment of this program, the application deadline is three years from the effective date of program implementation (October 6, 2006).

Range of Approval/Disapproval Time:

AEAP: It is OVC's intention to make a funding decision within 5 business days of receipt of a complete application or as soon as practicable. ITVERP: It is OVC's intention to review and process payments as soon as practicable. For itemized and supplemental claims, range of approval/disapproval is dependant upon receipt of an official determination of a reasonable indication of an act of international terrorism from the Assistant Attorney General of National Security for a particular event. Processing time is also dependant upon timely response by claimants of any outstanding documents or information required to process claim applications. Claimants may apply for an interim emergency payment, prior to a determination under Section 94.21(a). If the Director determines that such payment is necessary to avoid or mitigate substantial hardship that may result from delaying reimbursement until complete and final consideration of an application, such payment may be made to cover immediate expenses such as those of medical care, funeral and burial, short-term lodging, and emergency transportation.

Appeals:

For both programs, hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

AEAP: See OVC guidelines for Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes published in the Federal Register, Vol. 67, No. 21, Thursday, January 31, 2002. ITVERP: Not applicable.

Formula and Matching Requirements:

AEAP: There are no formula or matching requirements. Nonfederal contributions (cash or in-kind) are expected for Antiterrorism Emergency Reserve assistance program grants. Federal agencies are not expected to make a financial contribution. ITVERP: Not applicable to the ITVERP, except that the amount awarded will be offset by collateral sources.

Length and Time Phasing of Assistance:

AEAP: For grants, dollars remaining unspent by the State or program within 3 fiscal years after the end of the fiscal year in which the award was made, will revert to the Crime Victims Fund/Antiterrorism Emergency Reserve. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the recipient.

Reports:

AEAP: Quarterly financial reports and semi-annual progress reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. ITVERP: There are no reporting requirements for claimants under ITVERP.

Audits:

AEAP: All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Not applicable to ITVERP.

Records:

AEAP: Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years. ITVERP: Files are retained on hard copy and on a computer database. All claim files and automated data pertaining to a claim are destroyed 10 years after the date the claim has been fully processed and/or payment made, as approved by the National Archives and Records Administration (NARA). Automated data is retained in its most current form only, however, and as information is updated, outdated information is deleted.

Account Identification:

15-5041-0-2-754.

Obligations:

FY 07 \$50,000,000; FY 08 \$50,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies depending on terrorism/mass violence event and specific need(s).

PROGRAM ACCOMPLISHMENTS:

OVC has and continues to provide mental health counseling and other services or compensation to students, faculty, and emergency services personnel following fatal school shootings in 2005 and 2006 at the Nickel Mines Amish Schoolhouse in Pennsylvania, Platte Canyon High School in Colorado, and Red Lake High School on the Red Lake Indian Reservation in Minnesota, and to witnesses of a courthouse shooting and subsequent carjacking in 2005 in Atlanta, Georgia. OVC has supported mental health services for New York City firefighters, police officers, emergency medical technicians, and other emergency responders who experienced emotional and psychological trauma at Ground Zero. OVC has also provided funding for assistance and services for victims of the September 11, 2001 terrorist attacks in the USA, Oklahoma City, the bombings of Pan Am 103, the US Embassies in Kenya and Tanzania, Khobar Towers, the USS Cole, and the terrorist attacks in Bali, Indonesia, Saudi Arabia, Afghanistan and Iraq (e.g. bombing of UN Headquarters in Baghdad). Assistance has included toll-free information lines, family web sites, informational briefings, travel to criminal justice proceedings, and mental health counseling. In addition, OVC works in liaison with other Federal agencies to coordinate services for victims of terrorism; has worked with the Center for Mental Health Services at HHS to develop training for mental health service providers on assisting victims of terrorism and mass violence; and is working with the Federal Emergency Management Agency (FEMA) to better coordinate and collaborate on victim services in the event of a mass crisis incident. ITVERP: As of October 2007, ITVERP has received twenty-five applications. Of those, six applications have been approved, processed, and paid. Over \$25,000 in reimbursement payments has been paid out to applicants.

REGULATIONS, GUIDELINES, AND LITERATURE:

AEAP Application Kit and current edition of the OJP Financial Guide are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983. ITVERP application materials and program information are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983.

Regional or Local Office:

None.

Headquarters Office:

For AEAP and ITVERP information, contact the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-5983.

Web Site Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.575, Crime Victim Assistance; 16.576, Crime Victim Compensation; 16.582, Crime Victim Assistance/Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

AEAP: Potential projects may include, but are not limited to: crisis response grants to provide resources to help victims rebuild adaptive capacities, decrease stressors, and to reduce symptoms of trauma immediately following the terrorism or mass violence event; consequence management grants designed to provide supplemental resources to help victims adapt to the trauma event and to restore victims' sense of equilibrium; criminal justice support grants to facilitate victim participation in an investigation or prosecution directly related to the terrorist and mass violence event; crime victim compensation grants to provide supplemental funding to a State crime victim compensation program that reimburses victims for out-of-pocket expenses related to their victimization in cases of terrorism or mass violence occurring within the United States; training and technical assistance for States and local communities to help when responding to terrorism and mass violence; and assistance to victims of acts of international terrorism that occur outside the United States. ITVERP: Applicants have received payments for the following terrorism events: bombing of a housing compound in Riyadh, Saudi Arabia; nightclub bombings in Bali, Indonesia; the airport bombing in Davao City, Philippines; the U.S. embassy bombings in Nairobi, Kenya; and the Khobar Towers bombing in Dhahran, Saudi Arabia.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the guidelines, regulations, and application kits for these programs. Additional criteria may be developed by the Office for Victims of Crime and will be published in the application packages.

16.523 JUVENILE ACCOUNTABILITY BLOCK GRANTS

(JABG)

FEDERAL AGENCY:

OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION,
OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Law 107-273.

OBJECTIVES:

To provide States and units of local government with funds to develop programs to strengthen and promote greater accountability in the juvenile justice system. To survey the field and identify projects that would benefit from research, demonstration, and evaluation in the 16 purpose areas identified in the JABG Program. To provide training and technical assistance to States and units of local government so they may develop programs outlined in the 16 program areas to promote greater accountability in the juvenile justice system.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

A total of \$49,361,400 has been appropriated in FY 2007 to be used as follows: Formula grant funds in the amount of \$40,245,992 will be available for use in the following areas: (1) Developing, implementing, and administering graduated sanctions for juvenile offenders. (2) Building, expanding, renovating or operating temporary or permanent juvenile correction, detention, or corrections facilities. (3) Hiring juvenile court judges, probation officers, and court-appointed defenders and special advocates, and funding pretrial services (including mental health screening and assessment) for juvenile offenders, to promote the effective and expeditious administration of the juvenile justice system. (4) Hiring additional prosecutors so that more cases involving violent juvenile offenders can be prosecuted and case backlogs reduced. (5) Providing funding to enable prosecutors to address drug, gang, and youth violence problems more effectively and for technology, equipment and training to assist prosecutors in identifying and expediting the prosecution of violent juvenile offenders. (6) Establishing and maintaining training programs for law enforcement and other court personnel with respect to preventing and controlling juvenile crime. (7) Establishing juvenile gun courts for the prosecution and adjudication of juvenile firearms offenders. (8) Establishing drug court programs for juvenile offenders that provide continuing judicial supervision over juvenile offenders with substance abuse problems and the integrated administration of other sanctions and services for such offenders. (9) Establishing and maintaining a system of juvenile records designed to promote public safety. (10) Establishing and maintaining interagency information-sharing programs that enable the juvenile and criminal justice systems, schools, and social services agencies to make more informed decisions regarding the early identification, control, supervision, and treatment of juveniles who repeatedly commit serious

delinquent or criminal acts. (11) Establishing and maintaining accountability-based programs designed to reduce recidivism amount juveniles who are referred by law referred by law enforcement personnel or agencies. (12) Establishing and maintaining programs to conduct risk and needs assessments of juvenile offenders that facilitate effective early intervention and the provision of comprehensive services, including mental health screening and treatment and substance abuse testing and treatment, to such offenders. (13) Establishing and maintaining accountability-based programs that are designed to enhance school safety. (14) Establishing and maintaining restorative justice programs. (15) Establishing and maintaining programs to enable juvenile courts and juvenile probation officers to be more effective and efficient in holding juvenile offenders accountable and reducing recidivism. (16) Hiring detention and corrections personnel and establishing and maintaining training programs for such personnel, to improve facility practices and programming. (17) Establishing, improving and coordinating pre-release and post-release systems and programs to facilitate the successful reentry of juvenile offenders from state and local custody in the community. Funds in the amount of \$3,948,912 are available to support research, evaluation, and demonstration projects consistent with this program. Input will be solicited from the States to determine which of the 17 areas are most important and where the greatest needs are. This information will guide and provide insight for research, evaluation, and demonstration activities under this program. Training and technical assistance funds, in the amount of \$987,228, are available to support the 17 program areas targeted by the JABG Program.

Applicant Eligibility:

Each State and territory (except Palau) is eligible to receive an allocation and award of funds for State and units of local government if the Governor certifies-, consistent with guidelines established by the Attorney General.

Beneficiary Eligibility:

All States and territories are eligible to receive an allocation and award of funds for State and units of local government. Funds are available to public and private agencies, organizations, or individuals to apply for discretionary and training and technical assistance funds.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. For Research and Evaluation grants, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. E.O. 12372 requires applicants from State and local units of government or other organizations providing services within a State to submit a copy of the application to the State Single Points of Contact (SPOC), if one exists. Research and evaluation grants are excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit completed applications via the Office of Justice Programs, Grants Management System. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. The Demonstration Program Division is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive JABG funds through the applicant agency subgrant award process. Each State and territory that receives monies under the JABG program must establish an interest-bearing trust fund to deposit program funds. Each State and territory (recipient) or unit of local government (subrecipient) that receives program funds must establish a coordinated enforcement plan for reducing juvenile crime, developed by an Advisory Board.

Deadlines:

Consult the Application Kit or contact the State Relations and Assistance Division, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs; Telephone: (202) 307-5924 for application deadlines.

Range of Approval/Disapproval Time:

Formula grant applications will generally be approved within 30 to 45 days of receipt of a complete application. Discretionary grant applications generally take 2 to 4 months.

Appeals:

Hearing and appeal procedures will follow 28 CFR, Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

Public Law 107-273 allocates 0.5 percent of the appropriated amount for each State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available. The Program requires a cash match of 10 percent of total program costs; Federal funds may not exceed 90 percent of total program costs. (Each

State and territory that receives money under the JABG program must establish an interest-bearing trust fund to deposit program funds.) Interest derived from the award does not have to be matched, but interest generated from the trust fund cannot be used to match the Federal award. Matching contributions need not be applied at the exact time or in proportion to the obligation of Federal funds. However, the full match amount must be obligated by the end of the 36 month project period. For discretionary grants, no match is required.

Length and Time Phasing of Assistance:

Formula grant awards are made for 36 months; discretionary grants are generally for 1 to 3 years.

Reports:

Quarterly financial, annual, and semiannual progress reports are required.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as described in OJP's Financial Guide, Chapter 19. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754 and 15-0405-0-1-754.

Obligations:

FY 07 \$49,361,000; FY 08 \$51,700,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

OJJDP's National Training and Technical Assistance Center (NTTAC) serves as the national training and technical assistance provider for the JABG program to ensure that comprehensive support is available to states and units of local government. SRAD is working with the Designated State Agencies (DSAs) to help assure the timely award of allocations to Units of local government, and where appropriate approve waiver requests to retain a percentage of funds at the State level equal to the State's level of primary financial burden for the administration of juvenile justice within the twelve program purpose areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

Gregory Thompson, Associate Administrator, State Relations and Assistance Division, Telephone: (202) 307-5924, or Thomas Murphy, Program Manager; Telephone: (202) 353-8734; and Jeff Slowikowski, Associate Administrator, Demonstration Programs Division, Telephone: (202) 616-3646.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, 810 7th Street, NW., Washington, DC 20531. Contact State Relations and Assistance Division; Telephone: (202) 307-5924.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In addition to the FY 2007 Block Grant awrds, funds were awarded to several tribal entities and a tribal training and technical assistance provider to enhance OJJDP's effort to provide funds to Indian Country. Additionally, an award was made to the National Training and Technical Assistance Center.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is established by the Juvenile Accountability Block Grants (JABG) FY 2002 Guidance Manual as established by the Office of Juvenile Justice and Delinquency Prevention.

16.524 LEGAL ASSISTANCE FOR VICTIMS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violence Against Women Act of 2000, Section 1201, 42 U.S.C. 3796gg-6, as amended; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 103, Public Law 109-162.

OBJECTIVES:

These grants are for increasing the availability of civil and criminal legal services to victims of domestic violence, dating violence, sexual assault, and stalking in matters arising from the abuse or violence. The goal is to develop

innovative, collaborative programs within the legal system that promote victim safety and increase victim economic autonomy.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The Legal Assistance for Victims Grant Program provides an opportunity for communities to enhance legal assistance for victims. Funds may be used: (1) to implement, expand, and establish cooperative efforts and projects between domestic violence, dating violence, and sexual assault victim services organizations and legal assistance providers to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault; (2) to implement, expand, and establish efforts and projects to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault by organizations with a demonstrated history of providing direct legal or advocacy services on behalf of these victims; and (3) to provide training, technical assistance, and data collection to improve the capacity of grantees and other entities to offer legal assistance to victims of domestic violence, dating violence, stalking, and sexual assault.

Applicant Eligibility:

Eligible grantees for this program are private, nonprofit entities, Indian tribal governments and tribal organizations, territorial organizations, and publicly funded organizations not acting in their governmental capacity, such as law schools.

Beneficiary Eligibility:

Beneficiaries include public or private nonprofit entities providing legal assistance primarily to victims of domestic violence, sexual assault, and/or stalking.

Credentials/Documentation:

Grantees must certify that any person providing legal assistance has completed or will complete training that was developed with a domestic violence, dating violence, stalking, or sexual assault coalition or program and that the grantee's policies do not require mediation or counseling of offenders and victims together. Grantees must also verify that any person or organization providing legal assistance has informed and will continue to inform State, local, or tribal domestic violence or sexual assault programs and coalitions and appropriate State, territorial, tribal, and local law enforcement of their work.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply online through the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Continuation grant awards are competitively selected and determined on a case-by-case basis.

Formula and Matching Requirements:

This is not a formula grant program. Grants will be made for amounts up to 100 percent of the costs of the project contained in the approved applications. Matching is not required for this grant program; however applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the effective edition of M7100.1, Financial and Administrative Guide for Grants. Progress reports should explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$32,201,088; FY 08 est \$27,897,281; and FY 09 est \$19,528,097.

Range and Average of Financial Assistance:

\$138,000 to \$600,000; \$416,377.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 277, Awards: 71; FY 08: Applications: 242, Awards: 66; FY 09: est. Applications: 242, Awards: 46.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable. Legal Assistance for Victims Grantee Policy Guidebook.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments.

EXAMPLES OF FUNDED PROJECTS:

Direct legal services (e.g., representation in protection order, family law, or housing matters) for victims of domestic violence, dating violence, sexual assault and stalking; law school clinical programs and curricula on domestic violence; projects that establish partnerships between local domestic violence or sexual assault programs and legal services providers.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual Application Kit.

16.525 GRANTS TO REDUCE DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING ON CAMPUS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 304, Pub. L. No. 109-162, 42 U.S.C. 14045b.

OBJECTIVES:

To develop and strengthen effective security and investigation strategies to combat domestic violence, dating violence, sexual assault, and stalking on campuses, and to develop and strengthen victim services in cases involving such crimes against women on campuses, which may include partnerships with local criminal justice authorities and community-based victim services agencies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds may be used for one or more of the following statutory program purpose areas: (1) To provide personnel, training, technical assistance, data collection, and other equipment with respect to the increased apprehension, investigation, and adjudication of persons committing domestic violence, dating violence, sexual assault, and stalking on campus. (2) To develop and implement campus policies, protocols, and services that more effectively identify and respond to crimes of domestic violence, dating violence, sexual assault, and stalking, and to train campus administrators, campus security personnel, and personnel serving on campus disciplinary or judicial boards on such policies, protocols, and services. (3) To implement and operate education programs for the prevention of domestic violence, dating violence, sexual assault, and stalking. (4) To develop, enlarge, or strengthen victim services programs on the campuses of the institutions involved, including programs providing legal, medical, or psychological counseling, for victims of domestic violence, dating violence, sexual assault, and stalking, and to improve delivery of victim assistance on campus. To the extent practicable, such an institution shall collaborate with any entities carrying out nonprofit and other victim services programs, including

domestic violence, dating violence, sexual assault, and stalking victim services programs in the community in which the institution is located. If appropriate victim services programs are not available in the community or are not accessible to students, the institution shall, to the extent practicable, provide a victim services program on campus or create a victim services program in collaboration with a community-based organization. The institution shall use not less than 20 percent of the funds made available through the grant for a victim services program provided in accordance with this paragraph. (5) To create, disseminate, or otherwise provide assistance and information about victims' options on and off campus to bring disciplinary or other legal action, including assistance to victims in immigration matters. (6) To develop, install, or expand data collection and communication systems, including computerized systems, linking campus security to the local law enforcement for the purpose of identifying and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions with respect to the crimes of domestic violence, dating violence, sexual assault, and stalking on campus. (7) To provide capital improvements (including improved lighting and communications facilities but not including the construction of buildings) on campuses to address the crimes of domestic violence, dating violence, sexual assault, and stalking. (8) To support improved coordination among campus administrators, campus security personnel, and local law enforcement to reduce domestic violence, dating violence, sexual assault, and stalking on campus.

Applicant Eligibility:

Institutions of higher education as defined under the Higher Education Amendments of 1998 that are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Sec. 486 (e) (1998). A consortia of institutions of higher education may also apply for these grants provided that each individual consortium member is also eligible to apply.

Beneficiary Eligibility:

Institutions of higher education.

Credentials/Documentation:

Applicants must certify that they are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Sec. 486 (e) (1998). In addition, applicants must meet the following minimum requirements: 1) Collaborate with at least one nonprofit, nongovernmental victim services agency within the community and criminal justice or civil legal agencies 2) Create a coordinated community response to violence against women on campus 3) Establish a mandatory intervention and education campaign for all incoming students 4) Train campus police to respond effectively to domestic violence, dating violence, sexual assault, and stalking 5) Train members of campus disciplinary boards to respond effectively to charges of domestic violence, dating violence, sexual assault, and stalking.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule) must be used for this program.

Application Procedure:

Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110

Award Procedure:

Upon approval by the Office on Violence Against Women, an electronic notification will be sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis and competitively selected.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Matching is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects.

Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as needed basis to the grantee.

Reports:

Each grantee receiving funds under this Subpart shall submit semi-annual

progress reports. Upon completion of the grant period, the institution shall file a performance report explaining the activities carried out and including an assessment of the effectiveness of those activities in achieving the purposes of the program. In addition, grantee must provide the Office on Violence Against annual statistics on the numbers of victims served, gender, ethnicity, relationship to offender, type of victimization, language, disability, and the number of victims seeking services who were turned away for services. Grantees must also submit quarterly financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete and accurate records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$8,432,311; FY 08 est \$6,537,812; and FY 09 est. \$4,576,468.

Range and Average of Financial Assistance:

\$178,709 - \$499,999; \$311,324.

PROGRAM ACCOMPLISHMENTS:

FY 2007 Applications: 117; Awards: 17; FY 08: Applications: 131, Awards: 21; FY 09: est. Applications: 131, Awards: 15.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women Office, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments.

EXAMPLES OF FUNDED PROJECTS:

Examples of previously funded activities include: creating a sexual assault and domestic violence community task force, which includes representatives from campus and community organizations, as well as local police and victim services agencies; educating resident assistants, incoming students, sorority and fraternity members, athletes and university staff about violence against women and consequences for offenders; training for student health center doctors and nurses and Medical Center emergency room staff on responding to domestic violence; training campus judicial board members on violence against women; training campus and local law enforcement on responding to and investigating violence against women on campus; and providing prevention education to incoming students.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by Violence Against Women and Department of Justice Reauthorization Act of 2005 and published program guidelines.

16.526 OVW TECHNICAL ASSISTANCE INITIATIVE

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, Section 3(a), 42 U.S.C. 13925(b)(11).

OBJECTIVES:

To provide communities with critical resources that help them forge partnerships across agencies and disciplines to respond effectively to violent crimes against women. OVW's Technical Assistance Program was created to provide grantees with the expertise and support they need to develop and implement successful local projects, increase victim safety, and bolster offender accountability. Grantees participate in educational initiatives, conferences, peer-to-peer consultations, and targeted assistance that allow them to learn from experts and one another about how to overcome obstacles and incorporate promising practices in their efforts to address violence against women. In addition, the Technical Assistance Program focuses on building the capacity of national criminal justice and victim advocacy organizations to effectively address violent crimes against women and examine issues of special interest to OVW and its constituents.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

OVW funds a constellation of technical assistance projects to support the activities of its grantees and to forge partnerships among organizations that have not traditionally worked together to address domestic violence, dating violence, sexual assault, or stalking. Grantees learn from national experts and each other how best to develop and implement locally responsive programs. OVW encourages applicants to the Technical Assistance Program to design educational initiatives that are interactive and experiential and that seek to change values and attitudes that have traditionally prevented victim advocates and criminal justice professionals from responding effectively to sexual assault, domestic violence, dating violence, and stalking. Applicants proposing education programs must detail the adult education methods they will use to promote new insight, knowledge, skills, and abilities in the individuals with whom they propose to work.

Applicant Eligibility:

Eligible applicants are organizations or individuals partnered with organizations.

Beneficiary Eligibility:

Beneficiaries are organizations or individuals partnered with organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-110 for Institutions of Higher Education, Hospitals and Other Nonprofit Organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule) must be used for this program.

Application Procedure:

Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

No statutory rights of appeal.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the projects contained in the approved applications. Matching is not required for this grant.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as needed basis to the grantee.

Reports:

Each grantee receiving funds under this program shall submit semi-annual progress reports. Upon completion of the grant period, the institution shall file a performance report explaining the activities carried out and including an assessment of the effectiveness of those activities in achieving the purposes of the program. Grantees are also required to submit quarterly financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$14,107,409; FY 08 est \$12,734,568; and FY 09 est \$8,914,198.

Range and Average of Financial Assistance:

\$20,000 to \$1,500,000; \$318,364.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applicants: 188, Awards: 57; FY 08: Applicants: 41, Awards: 41; FY 09 est: Applicants: 41, Awards: 21.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.524, Legal Assistance for Victims; 16.525, Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588, Violence Against Women Formula Grants; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

OVW's TA projects have offered educational opportunities, conferences, peer-to-peer consultations, site visits, and tailored assistance that has allowed grantees and others to learn from experts and one another about how to effectively respond to crimes of violence against women. plement the Peaceful Families Project, designed to provide culturally appropriate assistance to victims of domestic violence, sexual assault, and stalking in the Muslim communities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual Call for Concept Papers.

16.527 SUPERVISED VISITATION, SAFE HAVENS FOR CHILDREN

(Supervised Visitation)

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Victims of Trafficking and Violence Protection Act of 2000; Public Law 106-386, Sec. 1301, as amended; 42 U.S.C. 10420; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 306, Public Law 109-162.

OBJECTIVES:

To provide an opportunity for communities to support supervised visitation and safe exchange of children, in situations involving domestic violence, dating violence, child abuse, sexual assault, or stalking.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are available for one or more of the following statutory purposes: (1) to provide supervised visitation and safe visitation exchange of children by and between parents in situations involving domestic violence, dating violence, child abuse, sexual assault, or stalking; (2) to protect children from the trauma of witnessing domestic or dating violence or experiencing abduction, injury, or death during parent and child visitation exchanges; (3) to protect parents or caretakers who are victims of domestic and dating violence from experiencing further violence, abuse, and threats during child visitation exchanges; and (4) to protect children from the trauma of experiencing sexual assault or other forms of physical assault or abuse during parent and child visitation and visitation exchanges.

Applicant Eligibility:

Eligible applicants are States, Indian tribal governments, and units of local government.

Beneficiary Eligibility:

Beneficiaries include public and private nonprofit entities that provide supervised visitation and safe exchange of children.

Credentials/Documentation:

Applicants must demonstrate expertise in the area of family violence, including the areas of domestic violence or sexual assault, as appropriate; ensure that any fees charged to individuals for use of programs and services are based on the income of those individuals, unless otherwise provided by court order; demonstrate that adequate security measures, including adequate facilities, procedures, and personnel capable of preventing violence, are in place for the operation of supervised visitation programs and services or safe visitation exchange; and prescribe standards by which the supervised visitation or safe visitation exchange will occur. In addition, all applicants are required to enter into a collaborative working relationship with state or local courts and a nonprofit, nongovernmental victim services provider in the local community to be served, such as the State or tribal domestic violence coalition, State or tribal sexual assault coalition, local shelters, and programs for domestic violence and sexual assault victims.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Length of assistance is provided in an annual application kit. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports should explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources, including pass-through sub-awards) in the organization's fiscal year shall have a single organization-wide audit conducted in accordance with the provisions of OMB Circular A-133. The audit report is due to the Federal Audit Clearinghouse not later than nine months after the end of the recipient's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$10,434,453; FY 08 est \$11,268,417; and FY 09 est \$7,887,892.

Range and Average of Financial Assistance:

\$200,000 to \$546,000; \$321,955.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 93, Awards: 33; FY 08: Applications: 88, Awards: 29; FY 09 est: Applications: 88, Awards: 20.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Grantees provide supervised visitation services and safe exchange of children by and between parents in cases involving domestic violence, dating violence, sexual assault, stalking, or child abuse.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

(Elder Abuse, Neglect, and Exploitation)

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE
AUTHORIZATION:

Victims of Trafficking and Violence Protections Act of 2000; Public Law 106-386; 42 U.S.C. 14041a; Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, section 205(a).

OBJECTIVES:

To provide training, services, and collaboration to address the needs of victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, and stalking, who are 50 years of age or older.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for the following statutory purposes: (1) training programs to assist law enforcement, prosecutors, governmental agencies, victim assistants, and relevant officers of Federal, State, tribal, territorial, and local courts in recognizing, addressing, investigating, and prosecuting instances of elder abuse, neglect, and exploitation, including domestic violence, dating violence, and sexual assault, or stalking against victims who are 50 years of age or older; (2) providing or enhancing services for victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, who are 50 years of age or older; (3) creating or supporting multidisciplinary collaborative community responses to victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, and stalking, who are 50 years of age or older; and (4) conducting cross-training for victim service organizations, governmental agencies, courts, law enforcement, and nonprofit, nongovernmental organizations serving victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, and stalking, who are 50 years of age or older.

Applicant Eligibility:

Eligible applicants include States, tribal governments, units of local government, and nonprofit, nongovernmental victim services organizations with demonstrated experience in assisting elderly women or demonstrated experience in addressing domestic violence, dating violence, sexual assault, and stalking.

Beneficiary Eligibility:

Beneficiaries include States, tribal governments, units of local government, and nonprofit, nongovernmental victim services organizations with demonstrated experience in assisting elderly women or demonstrated experience in addressing domestic violence, dating violence, sexual assault, and stalking.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-110 for Institutions of Higher Education, Hospitals and Other Nonprofit Organizations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants are notified by the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants are made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 36 months for new recipients; up to 24 months for continuation recipients.

16.528 ENHANCED TRAINING AND SERVICES TO END VIOLENCE AND ABUSE OF WOMEN LATER IN LIFE

Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress and quarterly financial reports are required, as stipulated in the effective edition of the OJP Financial Guide. Upon completion of the grant period, grantees shall file a performance report explaining the activities carried out and including an assessment of the effectiveness of those activities in achieving the purposes of the program.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources, including pass-through sub-awards) in the organization's fiscal year shall have a single organization-wide audit conducted in accordance with the provisions of OMB Circular A-133. The audit report is due to the Federal Audit Clearinghouse not later than nine months after the end of the recipient's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$3,468,729; FY 08 est \$3,726,679; and FY 09 est \$2,608,675.

Range and Average of Financial Assistance:

\$150,000 to \$500,000; \$310,557.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 35, Awards: 10; FY 08: Applications: 53, Awards: 10; FY 09 est: Applications: 53, Awards: 7.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.529, Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Specialized trainings for prosecutors and investigators on interviewing elder abuse victims with cognitive and/or communication impairments and on forensic wound identification. Educating county law enforcement and prosecutors regarding the unique obstacles encountered by older victims of domestic violence, sexual assault, and neglect. Developing training materials on mental and physical abuse, financial exploitation and domestic violence among older individuals, in order to increase the identification, investigation and prosecution of crimes against older individuals.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.529 EDUCATION, TRAINING, AND ENHANCED SERVICES TO END VIOLENCE AGAINST AND ABUSE OF WOMEN WITH DISABILITIES

(Disability Grant Program)

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Victims of Trafficking and Violence Protections Act of 2000, Sec. 1402, 42 U.S.C. 3796gg-7), as amended; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 204, Pub. L. No. 109-162.

OBJECTIVES:

To provide training, consultation, and information on domestic violence, dating violence, stalking, and sexual assault against individuals with disabilities (as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102)); and to enhance direct services to such individuals.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants awarded under this program shall be used for the following statutory program purposes: (1) to provide personnel, training, technical assistance, advocacy, intervention, risk reduction and prevention of domestic violence, dating violence, stalking, and sexual assault against disabled individuals; (2) to conduct outreach activities to ensure that disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault receive appropriate assistance; (3) to conduct cross-training for victim service

organizations, governmental agencies, courts, law enforcement, and nonprofit, nongovernmental organizations serving individuals with disabilities about risk reduction, intervention, prevention and the nature of domestic violence, dating violence, stalking, and sexual assault for disabled individuals; (4) to provide technical assistance to assist with modifications to existing policies, protocols, and procedures to ensure equal access to the services, programs, and activities of victim service organizations for disabled individuals; (5) to provide training and technical assistance on the requirements of shelters and victim services organizations under Federal antidiscrimination laws, including: (A) the Americans with Disabilities Act of 1990; and (B) section 504 of the Rehabilitation Act of 1973; (6) to modify facilities, purchase equipment, and provide personnel so that shelters and victim service organizations can accommodate the needs of disabled individuals; (7) to provide advocacy and intervention services for disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault; or (8) to develop model programs providing advocacy and intervention services within organizations serving disabled individuals who are victims of domestic violence, dating violence, sexual assault, or stalking. (Grants for this purpose may only be awarded to an eligible agency as defined in section 410 of the Rehabilitation Act of 1973 (29 U.S.C. 796f-5)).

Applicant Eligibility:

States, units of local government, Indian tribal governments or tribal organizations, and nonprofit, nongovernmental victim services organizations, such as state domestic violence or sexual assault coalitions or nonprofit, nongovernmental organizations serving disabled individuals. For profit organizations and individuals are not eligible.

Beneficiary Eligibility:

Beneficiaries include victim service agencies who respond to crime victims who are individuals with disabilities.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the on-line Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as-needed basis to the grantee.

Reports:

Quarterly financial reports are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Grantees shall also file a semi-annual performance reports explaining the activities carried out and including an assessment of the effectiveness of those activities in achieving the purposes of the program as well as a final performance report.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources, including pass-through sub-awards) in the organization's fiscal year shall have a single organization-wide audit conducted in accordance with the provisions of OMB Circular A-133. The audit report is due to the Federal Audit Clearinghouse not later than 9 months after the end of the recipient's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$7,497,863; FY 08 est \$7,055,000; and FY 09 est \$4,938,500.

Range and Average of Financial Assistance:

\$600,000 - \$600,000; \$600,000.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 47; Awards: 110; FY 08: Applications: 27; Awards: 7; FY 09: est. Applications: 27; Awards: 5.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.529, Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Examples include services for victims with disabilities and cross training for victim service agencies, governmental agencies, courts, law enforcement, and organizations serving people with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.540 JUVENILE JUSTICE AND DELINQUENCY PREVENTION-ALLOCATION TO STATES

(State Formula Grants)

FEDERAL AGENCY:

OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act, Sections 221-223, 42 U.S.C. Sections 5631-5633.

OBJECTIVES:

To increase the capacity of State and local governments to support the development of more effective education, training, research, prevention, diversion, treatment, accountability based sanctions, and rehabilitation programs in the area of juvenile delinquency and programs to improve the juvenile justice system.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

This program, established by the Juvenile Justice and Delinquency Prevention Act of 2002, allocates formula grant funds to States and territories on the basis of their relative population under age 18. The minimum allocation to each State is \$600,000 and to the Territories is \$100,000. States/Territories must demonstrate compliance with four core requirements of the JJDP act in order to receive their full allocation of Formula Grants funds. The core requirements are: Section 223(a) (11) deinstitutionalization of status offenders; (12) separation of adults and juveniles in secure custody; (13) removal of juveniles from adult jails and lockups; and (22) the elimination of the disproportionate contact of minority juveniles, where such conditions exist. The State's allocation will be reduced by 20 percent for each core requirement with which the State is in non-compliance. Technical Assistance: Not in excess of two percent of the funds available each fiscal year to formula grants is available for grants and contracts with public and private agencies, organizations and individuals to provide assistance to States, units of general local governments, and combinations thereof, and local private agencies to facilitate compliance with Section 223 of the JJDP Act and implementation of the State Plan approved by OJJDP. Technical assistance provided under this provision must be coordinated with the State agencies designated to implement the formula grants program. To be eligible for formula grant funds, a State must submit electronically a comprehensive plan applicable to a 3-year period embodying the purposes of the Act and including provisions that: (1) provide for an advisory group appointed by the chief executive of the State to carry out specified functions and to participate in the development and review of the State's juvenile justice plan; (2) provide that juveniles who are charged with or who have committed offenses that would not be criminal if committed by an adult, or offenses which do not constitute violations of valid court orders or such non-offenders as dependent and neglected children, are removed from secure juvenile detention and secure correctional facilities; (3)

provide that juveniles alleged to be or found to be delinquent and youths within the purview of the deinstitutionalization core requirement not be confined or detained in any institution in which they have contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on criminal charges; (4) provide that no juvenile shall be detained or confined in any jail or lockup for adults (with specified exceptions); and (5) provide for programs to eliminate the disproportionate contact of minority juveniles where such condition exists. Once the plan is approved, each State determines the specific programs to be funded. The States are responsible for processing applications for subgrants and administering funded projects. Two-thirds of funds must be passed through to units of general local government, private nonprofit agencies, and Indian Tribes performing law enforcement functions unless a waiver is granted.

Applicant Eligibility:

The Chief Executive of each State which chooses to apply for a formula grant shall establish or designate a State agency as the sole agency for supervising the preparation and administration of the plan, in accordance with the Juvenile Justice and Delinquency (JJDP) Act of 2002. Technical Assistance: Grants and contracts may only be made to agencies, organizations and individuals that have experience in providing technical assistance to State agencies in implementing State plans, and in facilitating compliance with Section 223 of the JJDP Act, Public Law 102-586.

Beneficiary Eligibility:

Units of a State and its local government, public and private organizations, Indian tribes performing law enforcement functions, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. An environmental impact assessment is necessary for this program to determine if an environmental impact statement is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must submit completed applications via the Office of Justice Programs, Grants Management System following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award and special conditions are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official to the Office of Justice Programs.

Deadlines:

Deadlines are included with the GMS instructions, appropriate Application Kits and Guideline Manuals, and other procedural guides.

Range of Approval/Disapproval Time:

Formula Grants Plans will generally be approved within 30 to 45 days of receipt of a complete application. Technical Assistance: Approval/disapproval time is provided on three levels (immediate response, total system response, and specialized, developmental response) from one to two hours to ten days.

Appeals:

Hearings held by OJJDP. Technical assistance: Federal Acquisition Regulations apply.

Renewals:

Comprehensive Plan submission required every 3 years. Annual updates and applications required each of the other 2 years. Technical Assistance: Contracts are renewed throughout contract modifications and competition processes.

Formula and Matching Requirements:

Formula based on juvenile population. Grantees are required to provide dollar for dollar match on planning and administration funds. Action programs allow no match. At least 66 2/3 percent of the funds received by the State under Section 222(a) of the JJDP Act of 1974, must be "expended by" or "passed through to" programs of units of general local government, private nonprofit agencies, and Indian tribes performing law enforcement functions, insofar as they are consistent with the State Plan. This provision may be waived at the discretion of the OJJDP Administrator for any State depending upon the extent to which the services for delinquent or potentially delinquent youth are supported on a statewide basis.

Length and Time Phasing of Assistance:

Fiscal year action funds may be carried forward for obligation for 2 years subsequent to the fiscal year of award. Under a Letter of Credit, drawdowns may be made. Technical Assistance: Three year incremental contract is funded.

Reports:

Financial, subgrant data and others are required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP Guideline Manuals and other procedural guides.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than thirteen (13) months after the close of each fiscal year during the term of the award (for fiscal years beginning on/after July 1, 1998, audit report packages are due nine (9) months after the close of the fiscal year).

Records:

Grantee must keep complete records on disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$78,978,240; FY 08 \$74,260,000; and FY 09 est. not available.

Range and Average of Financial Assistance:

Allocation of formula grants to States and territories are based on of relative populations under 18.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 55 out of 56 eligible States and territories participated in the Formula Grant Program. All participating States and territories are required to establish systems for monitoring jails, lockups and other facilities which may be used to detain or incarcerate juveniles. Substantial progress has been made in the removal of nonoffender juveniles from these institutions. All participating States must collect data and initiate activities to eliminate the disproportionate confinement of minority juveniles, where such conditions exist.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants (28 CFR Part 31) and OJP Financial Guide applicable editions.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, DC 20531. Contact: Gregory Thompson, Associate Administrator, State Relations and Assistance Division. Telephone: (202) 307-5924. Use the same number for FTS.

Web Site Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

16.541, Part E - Developing, Testing and Demonstrating Promising New Programs; 16.542, Part D - Research, Evaluation, Technical Assistance and Training; 16.548, Title V - Delinquency Prevention Program; 16.549, Part E, State Challenge Activities.

EXAMPLES OF FUNDED PROJECTS:

Individual projects receive funding at the discretion of the responsible designated State agencies. These include programs such as community-based services for the prevention and control of juvenile delinquency, group homes and halfway houses, screening and intake services to permit increased diversion from juvenile court processes, law enforcement training, expanded use of probation and training for related personnel, and those activities which would remove status offenders from secure detention, separate juveniles from adults in institutions where they have contact with incarcerated adults, or remove juveniles from adult jails or lockups.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the regulations governing the Formula Grant Program provisions of the Juvenile Justice and Delinquency Prevention Act as published in the Federal Register.

16.541 PART E - DEVELOPING, TESTING AND DEMONSTRATING PROMISING NEW PROGRAMS

(Program Grants, Discretionary Grants and Contracts)

FEDERAL AGENCY:

OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Sections 261, 262, Public Law 93-415, as amended; Public Laws 95-503, 95-115, 96-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601 et seq.

OBJECTIVES:

To develop and implement programs that design, test, and demonstrate effective approaches, techniques and methods for preventing and controlling juvenile delinquency such as community based- alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the

traditional juvenile justice system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit including self-help programs; prevention and treatment programs relating to juveniles who commit serious crimes; programs to prevent hate crimes; programs to provide aftercare and reintegration services; programs to prevent youth gun and gang violence.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Provision of Specialized Services.

USES AND USE RESTRICTIONS:

To be eligible for an Award or contract under Part E, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP and the State's comprehensive juvenile justice and delinquency prevention plan; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Part E funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public and private nonprofit agencies, organizations, individuals, Indian Tribal government, State and local units of government, combinations of State or local units. (Section 263 of JJDP Act as Amended.)

Beneficiary Eligibility:

Public and private youth serving agencies/organizations, State and local units of government, combinations of such units, or other private agencies, organizations, institutions or individuals.

Credentials/Documentation:

Administration and cost appropriateness will be determined in accordance with the provisions of OMB circulars and government-wide common rules applicable to grants and cooperative agreements, including OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

Program announcements will provide instructions regarding the necessity of submission to single State agencies. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has identified the program for review. This program is excluded from coverage in E.O.12372.

Application Procedure:

The applicant must submit an application electronically through the Office of Justice Programs' Grant Management System or grants.gov as directed by the program announcement. Applicants are expected to address each concern or requirement in the guidelines as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Assistance awards and contracts are awarded directly to applicants or may be awarded to State agencies established to administer the JJDP Act Formula Grant Program or a National Program Coordinator with a subgrant or contract to successful applicants for program administration and implementation. In either instance, both grantees and subgrantees are notified of a pending award.

Deadlines:

Published in program announcements or requests for proposals.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants, administrative hearings for assistance award terminations. See CFR Part 18, 50 FR 28199, July 11, 1985.

Renewals:

Continuation grant, supplemental award or contract modification.

Formula and Matching Requirements:

Grants awarded under the Juvenile Justice and Delinquency Prevention Act do not require a cash match.

Length and Time Phasing of Assistance:

Initial Awards usually are made for 12 to 36 months with further funding based upon the project period, grantee performance, and availability of funds. Drawdowns are possible under a Letter of Credit.

Reports:

Semi-annual progress reports and quarterly financial reports are required.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any

fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years after the date of the final report.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$0; FY 08 \$830,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 2006, OJJDP provided funding to over 350 Congressional earmark programs across the nation. Programs funded focused on preventing, reducing, and controlling juvenile delinquency. Examples of the types of programs funded include: mentoring, gang prevention and intervention, truancy reduction, bullying, life skills development for youth, school-based mental health, school-based probation, aftercare/reentry, family strengthening/parenting, and diversion programs. In FY 2007, Part E funds were used to support over 30 grant awards in three program areas: national juvenile justice programs; substance abuse prevention and intervention programs; and prevention and intervention programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Developing, Testing and Demonstrating Promising New Programs awards are governed by the OJP Financial Guide which is available upon request.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, Washington, DC 20531. Telephone: (202) 307-5914. Use the same number for FTS.

Web Site Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

16.540, Juvenile Justice and Delinquency Prevention_Allocation to States; 16.542, Part D - Research, Evaluation, Technical Assistance and Training.

EXAMPLES OF FUNDED PROJECTS:

Under the Demonstration Programs discretionary program Developing, Testing and Demonstrating Promising New Programs Program, several programs were continued in FY 2007. Examples of funded projects include Girls and Boys Town USA Father Flanagan; Big Brothers/Big Sisters of America; FirstPic; and Boys and Girls clubs of America. In addition, over 30 grant awards were made in three program areas: national juvenile justice programs; substance abuse prevention and intervention programs; and prevention and intervention programs.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.543 MISSING CHILDREN'S ASSISTANCE

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act, Section 405, 42 U.S.C. Section 5775.

OBJECTIVES:

To coordinate Federal missing and exploited children activities and to support research, training, technical assistance, and demonstration programs to enhance the overall response to missing children and their families. Establish and maintain a national resource center and clearinghouse dedicated to missing and exploited children issues that: (1) provides a toll-free hotline where citizens can report investigative leads and parents and other interested individuals can receive information concerning missing children; (2) provides technical assistance to parents, law enforcement, and other professionals working on missing and exploited children cases; (3) promotes information sharing and provides technical assistance by networking with regional nonprofit organizations, State missing children clearinghouses, and law enforcement agencies; (4) develops publications that contain practical, timely information; and (5) provides information regarding programs offering free or low-cost transportation services that assist in reuniting children with their families. On a

periodic basis, as funding is designated by Congress for this purpose, conduct national incidence studies to determine the type and extent of missing children in America. Support law enforcement demonstration programs (e.g., the Internet Crimes Against Children Task Force Program) to enhance the investigative response to missing and exploited children cases. Support research to broaden understanding of a wide range of missing and exploited children issues (e.g., abduction homicide investigation solvability factors), to inform training and technical assistance efforts and to identify promising practices and programs for replication. Develop training programs for law enforcement, child protective services, medical personnel, and prosecutors to enhance coordination and effectiveness of missing and exploited children investigations and to enhance the overall system response. Identify service gaps and develop programs to meet specialized needs of parents or guardians of children who are reported missing.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Administrator is authorized to make grants to and enter into contracts with public agencies or private nonprofit organizations, or combinations thereof, for research, demonstration projects, or service programs designed (1) to educate parents, children, and community agencies and organizations in ways to prevent the abductions and sexual exploitation of children; (2) to provide information to assist in the locating and return of missing children; (3) to aid communities in the collection of materials which would be useful to parents in assisting others in the identification of missing children; (4) to increase knowledge of and develop effective treatment pertaining to the psychological consequences, on both parents and children, of (a) the abduction of a child, both during the period of disappearance and after the child is recovered; and (b) the sexual exploitation of a missing child; (5) to collect detailed data from selected States or localities on the actual investigative practices utilized by law enforcement agencies in missing children's cases; (6) to address the particular needs of missing children by minimizing the negative impact of judicial and law enforcement procedures on children who are victims of abuse or sexual exploitation and by promoting the active participation of children and their families in cases involving abuse or sexual exploitation of children; (7) to address the needs of missing children and their families following the recovery of such children; and (8) reduce the likelihood that individuals under 18 years of age will be removed from the control of such individuals legal custodians without such custodians' consent; (9) and to establish or operate statewide clearinghouses to assist in recovering or locating missing children.

Applicant Eligibility:

Missing Children's funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public and private nonprofit agencies, organizations, individuals, State and local units of government, combinations of State or local units

Beneficiary Eligibility:

State and local units of government, private nonprofit agencies, organizations, institutions or individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments and A-122 for nonprofit organizations.

Preapplication Coordination:

In carrying out the programs authorized by the Missing Children's Assistance Act, the OJJDP Administrator establishes annual research, demonstration, and service program priorities for grants and contracts and the criteria based on merit for making such grants and contracts. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit completed applications following directions from the Office of Justice Programs. Unsolicited applications are not accepted. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Successful applicants are notified by the Office of Justice Programs according to established procedures. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Deadlines are included with the application instructions, appropriate Application Kits and Guideline Manuals, and other procedural guides.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

See 28 CFR Part 18.

Renewals:

Supplemental grants or contract modification.

Formula and Matching Requirements:

No match required.

Length and Time Phasing of Assistance:

Initial awards usually are made for 12 to 36 months with further funding based upon the project period and grantee performance. Drawdowns are possible under a Letter of Credit.

Reports:

Financial, subgrant data and others are required by effective edition of the OJP Financial Guide and other agency policy on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP Guideline Manuals and other procedural guides.

Audits:

Effective 6/30/2004 (increase from 300,000 to \$500,000) All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$47,386,944; FY 08 \$50,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 Missing Children's Assistance funds were used to support the operation of the National Center for Missing and Exploited Children, the AMBER Alert training and technical assistance program, the Association of Missing and Exploited Children's Organizations, and the Internet Crimes Against Children Task Force Program. Program accomplishments include the following: The Missing Child Hotline (1-800-THE-LOST). During FY 2007, NCMEC answered 109,004 calls on its hotline and assisted in the recovery of 11,066 children. The Child Victim Identification Program (CVIP), a mechanism to identify victims of child pornography, has been used to date to identify more than 260 children in pornographic images and videos. To date there are a total of 1,247 identified child victims in the system. NCMEC works with the private sector to distribute photos of missing children. During FY 2005, 221 children were found as a result of this program. NCMEC uses computer technology and graphic artists to age progress photos of long-term missing children. Almost 600 missing children whose photographs were computer age enhanced have been located, and 20 unidentified deceased children have been identified as a result of NCMEC's imaging specialists' work on facial reconstructions. Project Alert. NCMEC provides targeted fax distribution for missing-child posters. Utilizing the coded databases of 4 million fax numbers. NCMEC distributes more than 50,000 fax transmissions per month to law-enforcement personnel, media outlets, and the general public. Now numbering 59, the ICAC Task Forces are on the front line addressing computer facilitated child sexual exploitation through aggressive investigations, prosecutions, computer forensics and community outreach. The Task Forces have become regional centers of technical and investigative expertise offering both prevention and investigative services to youth, parents, educators, law enforcement, and others working on child sexual exploitation issues. Since the program's inception in 1998, the Task Forces have reviewed nearly 200,000 complaints over the last nine years, resulting in the arrest of almost 11,000 individuals across the country intent on sexually victimizing children. Once source of complaints reviewed by the ICAC Task Forces is CyberTipline referrals forwarded from the National Center for Missing Exploited Children's. The CyberTipline has received over 500,000 tips and complaints since the system was activated in 1998. The ICAC Training and Technical Assistance Program, an OJJDP supported effort, provides training to tens of thousands of law enforcement personnel and prosecutors in the United States and around the world in 17 countries. In fiscal year 2007 alone, ICAC investigations led to more than 2,400 arrests and more than 10,500 forensic examinations. To date there have been 399 successful AMBER alert child recoveries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards are governed by the OJP Financial Guide which is available upon request.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3637.

Web Site Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2007, OJJDP made awards to State and local law enforcement agencies to support regional task forces to prevent, interdict, or investigate crimes against children by sex offenders using the Internet. Additional awards supported the National Center for Missing and Exploited Children national resource center and clearinghouse functions, operation of the Cyber Tipline, and continuation of the Jimmy Ryce Law Enforcement Training Center. OJJDP supported training and technical assistance programs to provide assistance to State clearinghouses, law enforcement, prosecutors, and other individuals or community organizations working on missing and exploited children issues.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded.

16.547 VICTIMS OF CHILD ABUSE

(Judicial Child Abuse Training, Investigation and Prosecution of Child Abuse Through the Criminal Justice System, Court Appointed Special Advocates (CASA), and Children's Advocacy Centers)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

OBJECTIVES:

To develop model technical assistance and training programs to improve the courts' handling of child abuse and neglect cases to facilitate the adoption of laws to protect children against the potential second assault of the courtroom proceeding; to address the present situation in which many States have adopted innovative procedures that have far outpaced Federal law, leaving those children who do enter the Federal system inadequately protected; to address the inconsistency and disparity among State laws on child abuse; to train criminal justice system personnel on up-to-date, innovative techniques for investigating and prosecuting child abuse cases; and, to promote a multidisciplinary approach to coordinating the investigations and prosecution of child abuse cases and, thereby, limiting the number of pre-trial interviews a child must go through as well as better assure the accuracy of each interview, and to increase the number of communities making use of a Children's Advocacy Center approach to the investigation, prosecution and treatment of child abuse cases. The National Court Appointed Special Advocate Association provides technical assistance, information and support to local CASA programs, as well as assists communities in developing new programs, and provides support to existing and developing State organizations on issues such as the development of goals and objectives, State legislation, and State standards to strengthen local programs. Assist communities in developing child-focused programs designed to improve the resources available to children and families; provide support to non-offending family members; enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases. The American Prosecutors Research Institute's National Center for the Prosecution of Child Abuse (NCPCA) pursues improvement of the quality of child abuse prosecution by providing training and technical assistance in the subject area.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Judicial Child Abuse Training, Investigation and Prosecution of Child Abuse Through the Criminal Justice System, Court Appointed Special Advocates (CASA), and the Regional and Local Advocacy Centers.

Applicant Eligibility:

Eligible applicants are designated in the congressional appropriations process for judicial child abuse training, investigation and prosecution of child abuse through the criminal justice system, and Court Appointed Special Advocates (CASA). Local nonprofit agencies/organizations may apply to National Court Appointed Special Advocates (NCASA) for State CASA programs and to start or expand local court appointed special advocate programs. Advocacy centers or agencies/organizations interested in start-up of children's advocacy centers may apply to the National Children's Alliance (NCA) for funds awarded to them by OJJDP for this purpose.

Beneficiary Eligibility:

Public or private agencies/organizations addressing child maltreatment.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Procedures for application for funds to support CASA programs will be available through competitive proposals issued by National Court Appointed Special Advocates (NCASA), 100 W. Harrison St., North Tower, Suite 500, Seattle, Washington 98119-4123; and funds to support local children's advocacy centers will be available through solicitations issued by the National Children's Alliance (NCA) 1612 K Street, NW., Ste 500, Washington, DC 20006.

Award Procedure:

Grantees are notified via the Grant Management System when an award is made.

Procedures for applications for CASA and local children's advocacy funds will be provided in the solicitations for competitive proposals issued by NCASA and NCA.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

The terms for these grant program budget periods range from 12 to 36 months.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants are generally awarded for a 12 to 24 month time period, but may be awarded for up to 36 month project period.

Reports:

Final financial reports and semi-annual and final program performance reports and performance measure data submission will be required as stipulated in the effective edition of the OJP Financial Guide and in award documents. Similar reporting requirements will be required by NCASAA and NCA to satisfy Federal requirements.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0404-0-1-754; 15-0405-0-1-754.

Obligations:

FY 07 \$3,240,000; FY 08 \$3,290,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The Victims of Child Abuse Act Programs enhance the coordination among community agencies and professionals responding to child abuse and neglect by: Establishing and strengthening facility-based, child-focused programs that coordinate the response to victims of child abuse through multidisciplinary teams; Preventing the inadvertent revictimization of an abused child by the justice and social service systems in their efforts to protect the child; Providing information, technical assistance and training at the local, state, regional, and national levels; Assisting communities seeking to improve their response to child abuse by supporting the development, growth, and continuation of children's advocacy centers; Providing training, technical assistance, and networking opportunities to local children's advocacy center programs nationally; Providing training and technical assistance to child abuse professionals across the country, especially those working within a child advocacy center or a multidisciplinary team; Providing training and technical assistance to prosecutors, investigators, and other professional personnel in the child protection field; Developing training curricula and guideline materials for the field; Developing State level capacity to train child abuse prosecutors, investigators, and related child protection practitioners.

REGULATIONS, GUIDELINES, AND LITERATURE:

The current edition of the OJP Financial Guide is available at <http://www.ojp.usdoj.gov/finguide06/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Ron Laney, Director, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, DC 20531.
Telephone: (202) 616-3637.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

By congressional designation, funds will be made available specifically for the Judicial Child Abuse Training to the National Council of Juvenile and Family Court Judges, for the Investigation and Prosecution of Child Abuse through the Criminal Justice System to the American Prosecutor Research Institute, Court Appointed Special Advocates and the National Court Appointed Special Advocate Association, for the development of local Children's Advocacy Centers through the National Children's Alliance Centers and the four Regional Children's Advocacy Centers.

16.548 TITLE V-DELINQUENCY PREVENTION PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION (OJJDP), DEPARTMENT OF JUSTICE

AUTHORIZATION:

The Incentive Grants for Local Delinquency Prevention Programs Act of 2002.

OBJECTIVES:

To increase the capacity of State and local governments to support the development of more effective prevention programs to improve the juvenile justice system through risk and protective factor focused programming approach.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

This program authorizes the Administrator to make grants to a State, to be transmitted through the State Advisory Group (SAG), to units of local government for delinquency prevention programming.

Applicant Eligibility:

All State agencies designated by the Chief Executive under Section 223(a)(1) of the 2002 JJDP Act are eligible to apply for Title V funds. States will invite units of local government that meet the statutorily mandated eligibility requirements to apply for funding and competitively select for funding those jurisdictions that meet the minimum selection criteria specified in the guidelines as published in the Federal Register, and other such criteria as the State shall adopt.

Beneficiary Eligibility:

Youth at risk of juvenile justice involvement and their families.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The Juvenile Justice State Advisory Group established pursuant to Section 223 (a)(3) of the Juvenile Justice and Delinquency Prevention Act must be involved in the development and approval of the application. The application requirements for units of local government seeking funding from States include SAG certification of compliance with the JJDP core requirements, designation of a local Prevention Policy Board, submission of a 3-year delinquency plan, a 50 percent cash or in-kind match of the subgrant amount, if not provided by States, and other additional criteria established by SAG. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedure:

State applicants are submitted to OJJDP electronically following pre-established criteria.

Award Procedure:

After OJJDP awards grants to the States, the SAG, in consultation with the State Agency, awards subgrants to units of local government through a competitive process.

Deadlines:

All State Title V grant applications are due no later than March 31 of the fiscal year for which the funds are allocated. States should award all subgrants to units of local government within 180 days after receipt of the award from OJJDP

Range of Approval/Disapproval Time:

None.

Appeals:

Hearings for State applicants held by OJJDP, subject to applicable Federal regulations. Appeal process for units of local government are determined by each State, subject to applicable Federal regulations.

Renewals:

Applications are invited annually. Fund availability will be determined by Congressional appropriation.

Formula and Matching Requirements:

Formula based on population of youth under the maximum age of original juvenile court delinquency jurisdiction. State and/or units of local government must match Title V fund with a 50 percent cash or the value of in-kind contributions.

Length and Time Phasing of Assistance:

Grants to States will be awarded for a 36-month project period.

Reports:

Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than thirteen (13) months after the close of each

fiscal year during the term of the award (for fiscal years beginning on/after July 1, 1998, audit report packages are due nine (9) months after the close of the fiscal year.

Records:

Recipients must keep complete records on disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$64,169,820; FY 08 \$61,100,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Eligible States will receive an amount determined by a formula based on the population of youth under the maximum age of original juvenile court delinquency jurisdiction.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2002, 55 out of 56 eligible States and territories participated in the program. The Report to Congress for FY 2003 is available through NCJRS. This report fulfills the requirements of Section 504(4) of Title V, which states that the Administrator of OJJDP shall submit a report to the Committee on Education and the Workforce, in the U.S. House of Representatives and the Committee of the Judiciary in the U.S. Senate describing activities and accomplishments of grant activities under this title; describing procedures followed to disseminate grant activity products and research findings; describing activities conducted to develop policy and to coordinate Federal agency and interagency efforts related to delinquency prevention; and identifying successful approaches and making recommendations for future activities conducted under the title. There were no State allocations of Title V funds in fiscal year 2003. More than 1,500 communities across the Nation have conducted community assessments, developed comprehensive delinquency prevention plans, and received prevention grants. In 2003, 63 communities received community team orientation training; 54 communities received data collection and analysis training, and 40 communities received program and plan development training. Fifty-five (55) communities participated in customized training and technical assistance, which included evaluation training and Model Programs Guide presentations. OJJDP has developed the Model Programs Guide and Database (http://www.dsgonline.com/mpg2.5/mpg_index.htm) which can be used by communities to locate scientifically tested and proven (evidence-based) programs and strategies to ensure that their efforts can produce measurable and positive impact. In 2002 and 2003, two issues of Title V Newsletter were distributed to all Title V subgrantees and used in local, State, and national training conferences. The themes were "sustainability" and "performance measures," two important skills areas to enhance/document success of Title V-funded prevention activities. The draft Title V National Evaluation Report is completed. This report presents the experience of the 11 national Title V evaluation communities. Lessons learned from this evaluation project will inform future implementation and evaluation of Title V-funded activities. While some communities are just beginning the grant process, others have completed the implementation of their 3-year delinquency prevention plans and are reporting encouraging results in terms of enhanced coordination of youth resources, family strengthening, school performance, and youth behavior. Supported by community-wide commitment and measurable outcomes, many projects have been sustained following their grant periods through a variety of State and local funding sources. As such, the initial seed money is creating momentum for the focused, coordinated, and long-term efforts necessary to address juvenile crime and delinquency in a meaningful way.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants and the OJP Financial Guide applicable editions, and Title V guidelines issued by OJJDP.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, DC 20531. Contact: Elizabeth Wolfe. Telephone: (202) 307-5924 or email: Elizabeth.Wolfe@ojp.usdoj.gov. Use the same number for FTS.

Web Site Address:

<http://www.ojjdp.ncjrs.org/titleV>.

RELATED PROGRAMS:

16.540, Juvenile Justice and Delinquency Prevention_Allocation to States; 16.541, Part E - Developing, Testing and Demonstrating Promising New Programs; 16.542, Part D - Research, Evaluation, Technical Assistance and Training; 16.549, Part E - State Challenge Activities.

EXAMPLES OF FUNDED PROJECTS:

Programs implemented by participating communities include a broad range of prevention activities, from early child development strategies such as nurse home visitation and preschool/parent training programs to youth development initiatives involving the use of mentoring, after-school activities, tutoring, truancy and dropout reduction, substance abuse prevention, gang prevention outreach, and police/probation teams.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the Guideline governing the Title V Grant Program provisions

of the JJDP Act as published in the Federal Register.

16.550 STATE JUSTICE STATISTICS PROGRAM FOR STATISTICAL ANALYSIS CENTERS

(SACs)

FEDERAL AGENCY:

BUREAU OF JUSTICE STATISTICS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3732.

OBJECTIVES:

To provide financial and technical assistance to State governments for the establishment and operation of Statistical Analysis Centers (SACs) to collect, analyze, and disseminate justice statistics.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

To improve the administration of justice by encouraging the development of State-level capabilities for collecting, analyzing, utilizing, and disseminating statistical information pertaining to crime, criminal justice, sex offenses, incident based reporting, and for providing statistical information to the Federal Government for national compilations. To analyze particular criminal justice issues of current concern and significance to criminal justice practitioners, as identified by BJS in conjunction with other Office of Justice Programs components, the Justice Research and Statistics Association, and selected SAC Directors. Further information is available in the "State Justice Statistics Program for Statistical Analysis Centers: Program Application Guidelines, 2008.

Applicant Eligibility:

Eligible applicants are State agencies whose responsibilities include statistical activities consistent with the goals of the specific programs and are designated as the State Statistical Analysis Center through an Executive Order or legislation.

Beneficiary Eligibility:

Eligible beneficiaries are State agencies whose responsibilities include statistical activities consistent with the goals of the specific programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Stephanie L. Burroughs, Bureau of Justice Statistics, U.S. Department of Justice, Washington, DC 20531. Telephone: (202) 514-9012 or email Stephanie.Burroughs@usdoj.gov. The standard application forms as furnished by the Office of Justice Programs (OJP), in accordance with 28, CFR Part 66 (Common Rule), must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the Headquarters Office, Stephanie L. Burroughs, Bureau of Justice Statistics, U.S. Department of Justice, Washington, DC 20531. Telephone: (202) 514-9012. Email: Stephanie.Burroughs@usdoj.gov.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Hearing by Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

There is no statutory requirement for matching funds. For most programs, however, provision of cash or in-kind match by the recipient is encouraged.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and

the Automated Standard Application for Payment (ASAP).

Reports:

Reporting requirements include: financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS; and Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for reporting periods June 30 and December 31.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources including pass through sub awards) in the organizational fiscal year (12 month turn around reporting period) shall have a single organizational audit conducted in accordance with the provisions of OMB Circular A-133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from audit requirements for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least three years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$34,552,980; FY 08 \$34,780,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$50,000.

PROGRAM ACCOMPLISHMENTS:

There were 46 cooperative agreements awarded in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Stephanie L. Burroughs, Bureau of Justice Statistics, U.S. Department of Justice, Washington, DC 20531. Telephone: (202) 514-9012. Email: Stephanie.Burroughs@usdoj.gov. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

16.304, Law Enforcement Assistance National Crime Information Center;
16.305, Law Enforcement Assistance Uniform Crime Reports.

EXAMPLES OF FUNDED PROJECTS:

Establishment of State statistical analysis centers; continued support of State-level statistical analysis centers; support for States to provide data for national reporting, and support for projects covered by SJS themes.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement.

16.554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM (NCHIP)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE STATISTICS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 105-251; 42 U.S.C. 3760.

OBJECTIVES:

To enhance the quality and completeness of the nation's criminal history record systems; to provide financial and technical assistance to States for the establishment or improvement of computerized criminal history record systems and in their efforts to collect data on stalking and domestic violence; to improve data accessibility and support data transmissions to national systems will permit the immediate identification of persons who are prohibited from purchasing firearms, are subject to domestic violence protective orders, or are ineligible to hold positions of responsibility involving children, the elderly, or the disabled; to support the development of accurate and complete State sex offender identification and registration systems which interface with the FBI's Sex Offender Registry and meet applicable Federal and State requirements; to develop and improve the processes for identifying, classifying, collecting, and entering data regarding stalking and domestic violence into local, State, and national crime information databases; to ensure that criminal justice systems are designed, implemented, or upgraded to be compliant where applicable, with the FBI operated National Instant Criminal Background Check System and Interstate Automated Fingerprint Identification System, meet other applicable statewide or regional criminal justice information sharing standards and plans; and, build upon ongoing efforts so as to support the wide range of technology based, criminal justice information, identification, and communications needs identified by the States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NCHIP fiscal year 2008 Program Announcement released December 2007.

Applicant Eligibility:

Awards will be made to the agency designated by the Governor to administer the NCHIP program. An award will be made to each eligible applicant State. The designated agency may be the agency with primary responsibility for implementing the major activity to be funded with NCHIP funds or a parent agency with programmatic oversight over, and legislative authority to transfer funds to, such agency.

Beneficiary Eligibility:

Funds awarded to the State may be allocated for use in State or local agencies or the courts. Private organizations may receive funds under contract arrangements with a State agency or its subgrantees to which NCHIP funds are allocated by the State.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Gerald Ramker, NCHIP Branch Chief, Bureau of Justice Statistics, U.S. Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: Gerald.Ramker@usdoj.gov, to obtain a copy of the program announcement. The standard application forms as furnished by the Office of Justice Programs (OJP), in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which can be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the Headquarters Office, Gerald Ramker, NCHIP Branch Chief, Bureau of Justice Statistics at (202) 307-0765 or E-mail: Gerald.Ramker@usdoj.gov for application deadlines. The NCHIP Program Announcement, also contains submission information.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Hearing by Director.

Renewals:

Awards may be for up to 12 months. Activities must be completed by September 30, 2009.

Formula and Matching Requirements:

Provision of a 20 percent cash or in-kind match by the recipient is required.

Length and Time Phasing of Assistance:

Project duration period will be for up to 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP).

Reports:

Reporting requirements include: Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS; and Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for reporting periods June 30 and December 31.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources including passthrough sub awards) in the organizational fiscal year (12 month turn around reporting period) shall have a single organizational audit conducted in accordance with the provisions of OMB Circular A133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from audit requirements for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754; 15-0404-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$9,400,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The NCHIP grant program is designed to improve the Nation's safety and security by enhancing the quality, completeness, and accessibility of criminal history record information and by insuring the nationwide implementation of criminal justice and noncriminal justice background check systems. For more information on program accomplishments, go to <http://www.ojp.usdoj.gov/bjs/nchip.htm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Gerald Ramker, NCHIP Branch Chief, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: Gerald.Ramker@usdoj.gov. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the fiscal year 2008 NCHIP Program Announcement at <http://www.ojp.usdoj.gov/bjs/pub/html/nchip08sol.htm#contents>.

16.556 GRANTS TO STATE SEXUAL ASSAULT AND DOMESTIC VIOLENCE COALITIONS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(c) and 2007(b)(2) and (3), 42 U.S.C. 3796gg and gg-1, as amended; Violence Against Women Act of 2000, Public Law 106-386; Violence Against Women and Department of Justice Reauthorization Act, Title I, Section 101, Public Law 109-162.

OBJECTIVES:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

Applicant Eligibility:

Each State domestic violence coalition as determined by the Secretary of Health and Human Services under the Family Violence Prevention and Services Act and each State sexual assault coalition as determined by the Center for Injury Prevention and Control at the Centers for Disease Control and Prevention under the Public Health Service Act.

Beneficiary Eligibility:

State domestic violence and sexual assault coalitions.

Credentials/Documentation:

None.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements, the research priorities of the Institute, and application and selection procedures. The standard application form as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Applicants must contact their State Point of Contact (SPOC) to determine if the program has been selected for review by the State. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The date that the application was sent to the SPOC or the reason such submission is not required must be included in the application. A copy of the application must also be submitted to the State office that administers the Edward Byrne Memorial State and Local Law Enforcement Assistance Formula Grant Program.

Application Procedure:

Applicants must apply through the Office of Justice Programs' Grants Management System (GMS). The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of

OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Each eligible entity receives 1/112 of the funding available for this program (except that dual domestic violence and sexual assault coalitions receive 2 shares). Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 12 months. Funds are released on an as-needed basis to the grantee.

Reports:

Quarterly and final financial reports will be required, as stipulated in the effective edition of the OJP Financial Guide. Grantees must also file semi-annual reports explaining the activities carried out and an assessment of the effectiveness of those activities.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit conducted for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$8,804,194; FY 08 est \$8,877,110; and FY 09 est \$6,213,977.

Range and Average of Financial Assistance:

\$82,695 to \$165,930; \$102,037.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 87, Awards: 87; FY 08: Applications: 87, Awards: 87; FY 09 est: Applications: 87, Awards: 87.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Providing technical assistance to member agencies, developing or enhancing appropriate standards of service for member programs, bringing local programs together to identify gaps in services and coordinate activities, increasing representation of underserved populations in coordination activities.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants will receive the appropriate share of funding.

16.557 TRIBAL DOMESTIC VIOLENCE AND SEXUAL ASSAULT COALITIONS PROGRAM

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(d) and 2007(b)(4), 42 U.S.C. 3796gg and gg-1, as amended; Violence Against Women Act of 2000, Public Law 106-386; Violence Against Women and Department of Justice Reauthorization Act, Title I, Section 101, Public Law 109-162.

OBJECTIVES:

To increase awareness of domestic violence and sexual assault against American Indian and Alaska Native women; enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State

levels; and identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas: (A) increasing awareness of domestic violence and sexual assault against American Indian and Alaska Native women; (B) enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State levels; and (C) identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence.

Applicant Eligibility:

Established nonprofit, nongovernmental tribal coalitions addressing domestic violence and sexual assault against American Indian and Alaska Native women; and individuals or organizations that propose to incorporate as nonprofit, nongovernmental tribal coalitions to address domestic violence and sexual assault against American Indian and Alaska Native women.

Beneficiary Eligibility:

Tribal sexual assault and domestic violence coalitions.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. This would be if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the on-line Grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office of Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of programs or projects contained in approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and an end-of-grant program performance report will be required, as stipulated in the effective edition of the OJP Financial Guide. progress Reports explain the activities carried out and an assessment of the effectiveness of those activities, including the number of persons served and the numbers of persons seeking services who could not be served.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants)FY 07 \$3,171,961; FY 08 est \$5,108,911; and FY 09 est \$3,576,238.

Range and Average of Financial Assistance:

\$150,000 to \$540,000; \$319,307.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 19, Awards: 12; FY 08: Applications: 11, Awards: 11; FY 09: est. Applications: 11, Awards: 8.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Increasing awareness about domestic violence and sexual assault against American Indian and Alaska Native women, enhancing the response to violence against American Indian and Alaska Native women at the tribal, state, and federal levels, and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic violence and sexual assault.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.560 NATIONAL INSTITUTE OF JUSTICE RESEARCH, EVALUATION, AND DEVELOPMENT PROJECT GRANTS

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, NATIONAL INSTITUTE OF JUSTICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To encourage and support research, development, and evaluation to further understanding of the causes and correlates of crime and violence, methods of crime prevention and control, and criminal justice system responses to crime and violence and contribute to the improvement of the criminal justice system and its responses to crime, violence, and delinquency.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, systems, and technologies and to carry out programs of research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures, and responses to crime, violence, and delinquency.

Applicant Eligibility:

The National Institute of Justice (NIJ) is authorized to make grants to, or enter into contracts or cooperative agreements with State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

State and local governments; private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

A potential grantee or contractor should contact the Institute to determine whether a similar proposal has already been funded or whether the proposal is within the objectives and priorities of the Institute. The standard application form (SF 424) as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or

calling toll free to request a copy. Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at 1-888-549-9901.

Award Procedure:

All applications are reviewed and evaluated by program managers for compatibility with the needs of the Institute's research plan, on-going or prior studies, and the particular promise of the proposal itself. The Institute uses external peer review processes, in addition to NIJ program manager review, in order to weigh each application in terms of proposed methodology, economy, and other criteria bearing on the technical merit of the proposal. The Institute Director makes final award decisions, incorporating the recommendations of the relevant NIJ staff and management-level personnel and external peer reviewers.

Deadlines:

Throughout fiscal year 2008, NIJ will release multiple announcements for research funding. Program deadlines will be included in the announcements on FedGrants at www.fedgrants.gov, on NIJ's website at www.ojp.usdoj.gov/nij/funding.htm, and on the Office of Justice Programs website at www.ojp.usdoj.gov/fundopps.htm.

Range of Approval/Disapproval Time:

Approximately 60 days for concept papers and 180 days for full proposals.

Appeals:

Hearing by the Director.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula requirement. However, the Institute shall require, whenever feasible, as a condition of approval of a grant or contract that the recipient contribute money, facilities, or services to carry out the purpose for which the grant or contract is sought.

Length and Time Phasing of Assistance:

Project durations are normally up to 24 months but longer awards are possible.

Reports:

Fiscal Report, consisting of quarterly expenditures and budget expenditure reports; final financial reports setting forth costs and expenditures of the complete project; and Project Reports, consisting of bi-annual project reports, and a final report. Other reports may be requested.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Records and accounts concerning the expenditure of Institute and grantee or contractor contributed funds shall be maintained during the grant and contract period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$54,297,540; FY 08 \$17,260,000; and FY 09 est not available.

Range and Average of Financial Assistance:

In amounts consistent with the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

Project reports and program implementation manuals are available from the National Criminal Justice Reference Service, P.O. Box 6000, Rockville, Maryland 20850. Telephone: (301) 514-5500. In fiscal year 2004, more than 1040 applications were received and 55 awards were made. In fiscal year 2005, approximately 1270 applications will be received and it is anticipated that 67 awards will be made. In fiscal year 2006, approximately 1550 applications will be received and it is anticipated that 80 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and funding information are available free from the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000. Telephone: (800) 851-3420. It is also available electronically through the NCJRS web site at www.ncjrs.org or through the NIJ website under "Funding Opportunities" at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Department of Justice, 810 7th Street, NW., Washington, DC 20531. Telephone: (202) 307-2942. Use same number for FTS. Fax Telephone: (202) 307-6394.

Web Site Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

16.542, Part D - Research, Evaluation, Technical Assistance and Training.

EXAMPLES OF FUNDED PROJECTS:

Priority is given to concerns such as: violent crime; alcohol-and drug-related crime; community crime prevention; criminal justice system improvement; forensic science research; and technology research, development and evaluation.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated according to the criteria specified in the program solicitation. With few exceptions, all proposals are reviewed competitively by a peer review panel consisting of outside experts in the field for technical quality and the general merits of the problems addressed. The Institute uses the peer review process to ensure fair and knowledgeable evaluation of preapplications and proposals. Program solicitations are announced in the Federal Register and advertised on the Institute's website at www.ojp.usdoj.gov/nij.

16.562 CRIMINAL JUSTICE RESEARCH AND DEVELOPMENT-GRADUATE RESEARCH FELLOWSHIPS

(Graduate Research Fellowship Program)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, NATIONAL INSTITUTE OF JUSTICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To improve the quality and quantity of knowledge about crime and the criminal justice system, while, at the same time, helping to increase the number of persons who are qualified to teach in collegiate criminal justice programs, to conduct research related to criminal justice issues, and to perform more effectively within the criminal justice system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible students are doctoral candidates engaged in dissertation research and writing on a problem related to law enforcement, crime or criminal justice. A fellowship is funded for up to 18 months. This competitive program provides fellowship stipends, major project costs, and certain university fees for a maximum amount of \$15,000. Students who do not qualify for the doctoral program may consider applying for other research grants under the fellowship solicitation.

Applicant Eligibility:

Accredited institution of higher education offering a doctoral degree program. Degree does not have to be in criminal justice, but proposed dissertation work must be related to criminal justice issues.

Beneficiary Eligibility:

The student must be engaged in writing a doctoral dissertation directly relevant to crime, law enforcement and/or criminal justice.

Credentials/Documentation:

Eligible graduate students interested in competing for a fellowship must furnish along with the application for a grant, a letter of endorsement from the faculty advisor. Applicants must have completed all degree requirements except the research writing, and defense of the dissertation or an internship prior to the start of the grant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Detailed information is provided in the program solicitation which is obtained by sending a self-addressed mailing label to the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000 or calling toll free to request a copy at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities". This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of criminal justice experts from outside reviewers and Institute staff.

Deadlines:

Applications may be submitted at any time throughout the year. Proposals will be due and subsequently reviewed three times a year, in February, June, and October, with funding decisions made within 60-90 days of the review date.

Range of Approval/Disapproval Time:

Approximately 90 days from application deadline.

Appeals:

Hearings by the Director.

Renewals:

None.

Formula and Matching Requirements:

This program has no formula and matching requirements.

Length and Time Phasing of Assistance:

Project durations are not normally less than 6 months nor more than 18 months.

Reports:

Quarterly financial status and bi-annual project reports are required. Three copies of the dissertation and an Executive Summary are required upon completion.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

All project records shall be retained for 3 years.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

In amounts consistent with the Institute's plans, priorities, and levels of financing.

PROGRAM ACCOMPLISHMENTS:

In FY 2003, a total of 41 applications were received under the Graduate Research Fellowship Solicitation, with five awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program solicitation, "Fellowship Opportunities," is available by sending a self-addressed mailing label to: National Criminal Justice Reference Service, Box 6000, Rockville, MD 20850, or call toll free to request a copy. Telephone: (800) 851-3420. Also available electronically from the Justice Information Center on the world wide web at www.ncjrs.org or on NIJ's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities".

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Washington, DC 20531. Telephone: (202) 307-2942. FTS Telephone: (202) 367-2942.

Web Site Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

84.032, Federal Family Education Loans; 84.038, Federal Perkins Loan Program Federal Capital Contributions.

EXAMPLES OF FUNDED PROJECTS:

Resisting Drug Use Among Adolescents; Controlling the Offender in the Community; Eyewitness Memory and Aging; Sentencing Child Sexual Abusers; An Analysis of Police Post Assault Reactions Measuring Procedural Justice; The Fear of Crime by Older Americans; the Criminal Justice System's Handling of Drug Offenders, and Influences of Adolescent Delinquent Behavior. Fear of Crime and Its Antecedents; Drug Use and Help-Seeking Patterns Among University Students; Differential Drug-Use Patterns Between Institutionalized White and Black Male Delinquents in Georgia; Court Orders of Protection for Battered Women; Forensic Evidence in Sexual Assault Cases; A Survey of Federal, State and Local Prosecutors on Computer Crime; Community Policing and Domestic Violence; Female Gang Involvement in the Midwest: A Two-City Comparison; Violation of Probation Release Conditions and Criminal Recidivism; Impact on Crime of Criminal and Juvenile Justice System Policies and Practices; Specifying Public Support for Corrections Rehabilitation: A Factual Survey Approach; Impact of Managerial Style on the Colombian Distribution of Cocaine to the Wholesale Level; Influence of Neighborhood Disadvantage or Delinquency and Drug Abuse; Evaluation and Review of the Peacemaker Court of the Navajo Nation; Non-Fatal Workplace Violence Epidemiology: Risk Factors and Legal Implications; Variation in Community Policing Activities Across Neighborhoods; The Peer Group Revisited: A Network Approach for Understanding Adolescent Delinquency; and New Estimates of the Cost of Crime: A Hedonic Evaluation.

CRITERIA FOR SELECTING PROPOSALS:

Grasp of issues and the relationship of issues to significant problems confronting criminal justice in the United States, description of design and methodology, the potential utility of results, and qualifications of applicant to produce acceptable doctoral dissertation (based upon proposal paper, university endorsement, and researcher's background statement).

16.566 NATIONAL INSTITUTE OF JUSTICE W.E.B. DUBOIS FELLOWSHIP PROGRAM**FEDERAL AGENCY:**

OFFICE OF JUSTICE PROGRAMS, NATIONAL INSTITUTE OF JUSTICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To provide opportunities for researchers with an interest in building knowledge for the enhancement of justice system administration, delinquency prevention, and violence reduction with opportunities to pursue projects aimed at addressing these issues from diverse cultural perspectives and evaluating the operational complexities of justice system administration manifested in various cultural contexts.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds may be used to conduct research for both adult and juvenile systems on crime causation, crime measurements, crime prevention, law enforcement, criminal justice administration, and the effectiveness and efficiency of anti-crime programs. Fellows conduct their studies while based at the National Institute of Justice.

Applicant Eligibility:

Fellowship grants are awarded to individuals or to their parent agencies or organizations. IPA appointments also may be negotiated with Fellows' parent agencies. Generally, researchers and academicians working in the criminal justice field, including university or college-based academic researchers and upper-level managers in criminal justice agencies are eligible.

Beneficiary Eligibility:

Generally, researchers and academicians with research experience in criminal-justice or criminal-justice relevant fields are eligible for grants; those working for law enforcement related branches of State or local government units are eligible for grants or IPA appointments. Each prospective candidate must have at least a doctoral-level or a legal degree of J.D. or higher.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, a resume, a project description which includes the project's scope, a discussion of the state-of-the-art methodology, project period, and the anticipated impact of the study on the justice system. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements, the research priorities of the Institute, and application and selection procedures. The standard application form (SF 424) as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy. Telephone: (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities."

This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of the Institute staff and outside reviewers.

Deadlines:

NIJ will release multiple announcements for research funding during the fiscal year. Program deadlines will be included in the announcements of Requests for Proposals in the Federal Register, on NIJ's website at www.ojp.usdoj.gov/nij, and on the NCJRS bulletin board and in electronic and hard copy publications.

Range of Approval/Disapproval Time:

Approximately 90 days from application deadline.

Appeals:

Hearing by the Director.

Renewals:

None.

Formula and Matching Requirements:

This program has no formula or matching requirements.

Length and Time Phasing of Assistance:

Project durations are normally not less than 6 months nor more than 12 months.

Reports:

Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project; program reports consisting of bi-annual progress reports; and a final report including a summary. Other reports may be requested. Financial reporting for IPAs will be negotiated in the appointment agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profits Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

None. Program will be funded for the first time during fiscal year 2000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants may obtain program information by accessing the Institute's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities" or calling NCJRS toll free. Telephone: (800) 851-3420.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Department of Justice, 810 7th Street, NW., Washington, DC 20531. Telephone: (202) 307-2942. Telephone: FTS (202) 307-2942.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.561, National Institute of Justice Visiting Fellowships; 16.562, Criminal Justice Research and Development Graduate Research Fellowships.

EXAMPLES OF FUNDED PROJECTS:

None. Fellowship to be offered for the first time during calendar year 2000.

CRITERIA FOR SELECTING PROPOSALS:

All proposals will be reviewed by a peer review panel. Their selection will be based on the applicant's experience, the significance and conceptualization of the project topic, the quality and feasibility of the research design, and the potential impact of the project on the criminal justice system.

16.571 PUBLIC SAFETY OFFICERS' BENEFITS PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Safety Officers' Benefits Act of 1976, as amended (42 U.S.C. 3796, Section 1201, Public Law No. 90-351, part L of Title I of the Omnibus Crime Control and Safe Streets Act of 1968).

OBJECTIVES:

To provide a \$275,658 death benefit (FY 2005) to the eligible survivors of Federal, State or local public safety officers whose death is the direct and proximate result of a personal (traumatic) injury sustained in the line of duty. Effective November 29, 1990, the Act also provides the same benefit to a public safety officer who has been permanently and totally disabled as the direct result of a catastrophic personal injury sustained in the line of duty. The injury must permanently prevent the officer from performing any gainful work.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

To provide a one-time financial death benefit to the eligible survivors of Federal, State or local public safety officers, and disability benefit to the Federal, State or local public safety officer, whose permanent and total disability is the direct and proximate result of a catastrophic personal injury sustained in the line of duty. There are no restrictions as to how the monies are spent once they reach the recipient with the exception that monies paid on behalf of minor children must be used for their care and benefit.

Applicant Eligibility:

Public safety officers—A public safety officer is a person serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, firefighter or member of a public rescue squad or ambulance crew. Law enforcement officers include but are not limited to police, corrections, probation, parole and judicial officers. Volunteer firefighters and members of volunteer rescue squads and ambulance crews are covered if they are officially recognized or designated members of legally organized volunteer fire, rescue or ambulance departments. Disabled public safety officers and eligible survivors of deceased public safety officers in the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, the Pacific Trust Territories and the Northern Mariana Islands are also entitled to benefits under the Act. Death benefit coverage for (1) State and local law enforcement officers and firefighters applies to deaths occurring on or after September 29, 1976; (2) Federal law enforcement officers and firefighters applies to deaths occurring on or after October 12, 1984; (3) Federal, State and local rescue squad and ambulance crew members applies to death occurring on or after October 15, 1986; and (4) Federal Emergency Management Agency (FEMA) personnel and State, local, and tribal emergency

management and civil defense agency employees are covered for deaths occurring on or after October 30, 2000. Disability benefit coverage for Federal, State and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and State, local and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Disability benefit coverage for Federal, State and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and State, local and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

Beneficiary Eligibility:

The Public Safety Officers' Benefits (PSOB) Act, enacted in 1976, was designed to offer peace of mind to men and women seeking careers in public safety and to make a strong statement about the value American society places on the contributions of those who serve their communities in potentially dangerous circumstances. The PSOB Program provides a one-time financial benefit to the eligible survivors of public safety officers whose deaths are the direct and proximate result of a traumatic injury sustained in the line of duty. As of October 1, 2007, the death benefit amount is \$303,064.

Credentials Documentation:

Claim forms entitled "Claim for Death Benefits" and "Report of Public Safety Officers' Death" or "Report of Public Safety Officer's Permanent and Total Disability," and supporting family, medical and investigative documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Employing agencies and/or claimants should initiate a claim by writing to or telephoning the Payments and Benefits Division, Public Safety Officers' Benefits Program, Bureau of Justice Assistance, Washington, DC 20531. Telephone: 1-888-SIGNAL 13 (744-6513). Upon receiving such notification, program staff will provide claim forms and advise the employing agency and claimant of claim documentation requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit "Claim for Death Benefits" and "Report of Public Safety Officers' Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting documentation to the same. Benefits Office, Public Safety Officers' Benefits Program, Bureau of Justice Assistance, Washington, DC 20531. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, eligible claimants are awarded a lump sum benefit. For Death Benefit: If the officer is survived by spouse, but there are no eligible children, the spouse will receive 100% of the benefit. If the officer is survived by spouse and eligible children, the spouse will receive 50% of the benefit and the children will receive equal shares of the remaining 50%. If the officer is survived by eligible children but no spouse, the children will receive equal shares of the benefit. If the officer is survived by neither a spouse, nor eligible children and does not have a life insurance policy, then the benefit will be made payable to the surviving parents in equal shares.

Deadlines:

Claim may be filed up to 1 year following a public safety officer's death or prerequisite disability certification. (A preliminary determination by the PSOB Office that the officer is medically retired from his/her agency, and receiving the maximum disability compensation allowed by his/her jurisdiction).

Range of Approval/Disapproval Time:

Depending on circumstances of death, sufficiency of claim documentation, and/or the need for expert medical reviews. This varies from case to case.

Appeals:

A claimant may, within 30 days after notification of denial of eligibility, request an oral appeal hearing. When making this request, the claimant may waive the oral appeal hearing and within 60 days, present written evidence for reconsideration by an Administrative Hearing Officer. A claimant may initiate an appeal by writing the Chief of Payments and Benefits Division, Public Safety Officers' Benefits Program, Bureau of Justice Assistance, Washington, DC 20531. Telephone: 1-888-744-6513.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None

Account Identification:

15-0403-0-1-754.

Obligations:

FY 07 \$68,821,622; FY 08 \$70,854,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2006, PSOB developed the E-package. Using the E-package, program staff proactively email claim packages to public safety agencies when a public safety officer is either killed or becomes permanently and totally disabled in the line of duty. All standard documents have been translated from English to Spanish. All PSOB applications are now web-based.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, Code of Federal Regulations, Part 32.

Regional or Local Office:

None.

Headquarters Office:

Public Safety Officers' Benefits Program, Bureau of Justice Assistance, Washington, DC 20531. Contact: Valerie Neal, Senior Benefits Officer. Telephone: (202) 616-6500 or (1-888)744-6513 or by E-mail: AskPSOB@usdoj.gov.

Web Site Address:

http://www.ojp.usdoj.gov/BJA/grant/psob/psob_main.html.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.575 CRIME VICTIM ASSISTANCE

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. 10603(a).

OBJECTIVES:

Enacted in 1984, the Victims of Crime Act (VOCA) is the central source of federal financial support for direct services to victim of crime. VOCA is administered at the federal level through the U.S. Department of Justice, Office for Victims of Crime which annually awards a grant to each state, the District of Columbia and U.S. Territories to support victim assistance services for victims and survivors of domestic violence, sexual assault, child abuse, drunk driving, homicide, and other crimes. Each state has a designated VOCA assistance agency to administer VOCA grants. Those state agencies in turn, subgrant to organizations that provide direct services to victims of crime. While minimal federal requirements must be met, each state is given great discretion in awarding specific subgrants.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds under this program shall be used by States and territories to provide direct services to crime victims with the following exception: States may retain up to 5 percent of their grant for administrative and training purposes. All States and most Territories receive an annual VOCA victim assistance grant. Each State, the District of Columbia, the U.S. Virgin Islands, and Puerto Rico receive a base amount of \$500,000. The territories of the Northern Mariana Islands, Guam, and American Samoa, each receive a base amount of \$200,000. Additional funds are distributed based on populations. That portion of the then remaining funds will be divided among all States or territories according to population (U.S. Census Bureau). If the amount available for grants under this program is insufficient to provide \$500,000 to each State, the base amount available shall be distributed equally among the States and territories. A victim assistance program is an eligible crime victim assistance program under VOCA if it: (A) is operated by a public agency or a nonprofit organization, or combination; (B) meets the appropriate match requirements; (C) uses volunteers unless the VOCA administrator grants a waiver for a compelling reason; (D) promotes coordinated public and private efforts to aid crime victims within the community served; (E) assists victims in applying for crime victim compensation; (F) complies with Federal rules regulating VOCA, including Program Guidelines/Rules and the effective edition of the OJP Financial Guide; (G) maintains civil rights information; (H) complies with additional eligibility or service criteria established by the State grantees; (I) provides services to victims of Federal crimes on the same basis as services are provided to victims of State and local crimes; (J) provides services to crime victims without charge unless a waiver is obtained from the State grantee; and, (K) maintains confidentiality of client-counselor and research information. An eligible crime victim assistance program shall expend sums received under subsection (a) only for providing direct services to victims of crime. The chief executive of each State shall (A)

certify that priority shall be given to eligible crime victim assistance programs providing assistance to victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crime, as determined by the State, (B) certify that funds awarded to eligible crime victim assistance programs will not be used to supplant State and local funds otherwise available for crime victim assistance; and provide such other information and assurances related to the purposes of this section as the Director may reasonably require. As used in this program, (1) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, and any other territory or possession of the United States; and (2) the term "services to victims of crime" includes (a) crisis intervention services to provide emotional support in cases arising from the occurrence of crime; (b) providing, in an emergency, transportation to court, short-term child care services, and temporary housing and security measures; (c) assistance in participating in criminal justice proceedings; and (d) payment of all reasonable costs for a forensic medical examination of sexual assault victims, to the extent that such costs are otherwise not reimbursed or paid; (3) the term "chief executive" includes a person designated by the governor to perform the functions of the chief executive under this section.

Applicant Eligibility:

Any State, the District of Columbia, all Commonwealths and any other territory or possession of the United States are eligible. Funds will be subgranted to eligible public and nonprofit organizations or combinations of such agencies or organizations or of both such agencies and organizations, who provide direct services to victims of crime.

Beneficiary Eligibility:

Any member of the general public who has been a victim of a crime or those who are survivors of victims of crime.

Credentials/Documentation:

Applications from the designated State agency for this program must be submitted on Standard Form 424 electronically via the Office of Justice Programs Grants Management System (GMS), at a time specified by the Office for Victims of Crime, Office of Justice Programs. The State grant applicant, by completing the grant application, and by receiving a VOCA victim assistance grant award, certifies: (1) that funds awarded to eligible crime victim assistance programs will not be utilized to supplant State and/or local funds that would otherwise be available for crime victim assistance; (2) that the State will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) that the State shall give priority to programs aiding victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crimes as determined by the State; (4) that the State will submit to the Office of Justice Programs Subgrant Award Reports and Performance Reports concerning the activities carried out with the Federal funds received and will maintain and report such data and information as required; (5) that the State will adhere to the audit and financial management requirements set forth in the OJP Financial Guide; (6) that the State will comply with all applicable Federal nondiscrimination requirements; and, (7) certify that the information in the application is correct and that the State will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency in accordance with 28-CFR Part 66 (Common Rule), must be used for this program. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

The applicant applies on-line at <http://www.ojp.usdoj.gov> under "Grants/Funding" once posted by the Office for Victims of Crime.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Once an award is granted to a State, the State will subgrant the monies to private nonprofit and/or public agencies for the purpose specified in the application and in conformance with all general and special conditions.

Deadlines:

Contact the Headquarters Office: Eligible States will be notified of the date for submission of applications through an OVC listserv message.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years; applications will be submitted annually.

Formula and Matching Requirements:

Awards will be made on the basis of population with a base amount of \$500,000 for States; \$200,000 for the territories of N. Mariana Islands, Guam, and American Samoa. Fund availability may necessitate a base change.

Length and Time Phasing of Assistance:

States have the year of award plus 3 years to expend the funds.

Reports:

Subgrant Award Report for each subrecipient of VOCA funds; Quarterly, final financial reports and Annual Program Performance reports will be required as stipulated in the Program Guidelines/Rules and the OJP Financial Guide.

Audits:

All organizations that expend \$500,000 or more in federal funds per organizational fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. The audit report and transmittal letter must be submitted within 9 months from the end of the state fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least three years after the grant has been closed.

Account Identification:

15-5041-0-2-754.

Obligations:

FY 07 \$370,600,000; FY 08 \$268,064,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Base of \$500,000 to each State, Commonwealth, and the District of Columbia. Territories of the United States receive a base of \$200,000. Remaining dollars will be divided based on population.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at <http://www.usdoj.gov/oc>, or call the Office of the Comptroller, Customer Service Center at 1-800-458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Director, State Compensation and Assistance Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 616-3579. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

16.576, Crime Victim Compensation.

EXAMPLES OF FUNDED PROJECTS:

Potential projects include, but are not limited to: funding to support (a) domestic violence shelter services; (b) rape crisis programs, including coverage for sexual assault examinations; child abuse treatment programs; and (d) support groups for survivors of homicide victim and DUI/DWI crash victims, etc.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specifically spelled out in the Victims of Crime Act of 1984, as amended. Additional criteria will be developed by the Office for Victims of Crime. These criteria are listed on the OVC web page www.ojp.usdoj.gov.

16.576 CRIME VICTIM COMPENSATION

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. 10602 (a)

OBJECTIVES:

The Office for Victims of Crime (OVC), U.S. Department of Justice, administers the Crime Victim Compensation formula grant program. OVC annually awards a grant to each state, the District of Columbia, the U.S. Virgin Islands, Guam, and Puerto Rico to support state crime victim compensation programs. These programs help pay for some of the expenses resulting from crimes involving violence or abuse.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds under this program shall be used by the States for awards of compensation benefits to crime victims, with the following exception: States may retain up to 5 percent of their total grant for administrative and training purposes. As provided in recent VOCA amendments, formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. A crime victim compensation program is an eligible crime victim compensation program if (1) such program is operated by a State and

offers compensation to victims and survivors of victims of criminal violence, including drunk driving and domestic violence for: (A) medical expenses attributable to a physical injury resulting from a compensable crime, including expenses for mental health counseling and care; (B) loss of wages attributable to a physical injury resulting from a compensable crime; and (C) funeral expenses attributable to a death resulting from a compensable crime; (2) such program promotes victim cooperation with the reasonable requests of law enforcement authorities; (3) such State, possession or territory certifies that grants received under this program will not be used to supplant funds otherwise available to provide crime victim compensation; (4) such program, as to compensable crimes occurring within the State, possession or territory, makes compensation awards to victims who are nonresidents of the State, possession or territory on the basis of the same criteria used to make awards to victims who are residents of the State, possession or territory; (5) such program provides compensation to victims of Federal crimes occurring within the State on the same basis that such program provides compensation to victims of State crimes; (6) such program does not, except pursuant to rules issued by the program to prevent unjust enrichment of the offender, deny compensation to any victim because of that victim's familial relationship to the offender, or because of the sharing of a residence by the victim and the offender; (7) such program does not provide compensation to any person who has been convicted of an offense under Federal law with respect to any time period during which the person is delinquent in paying a fine, other monetary penalty, or restitution imposed for the offense; and (8) such program provides such other information and assurances related to the purposes of this section as the Director may reasonably require. Section 1403(c), any amount of crime victim compensation that the applicant receives through a crime victim compensation program under this section shall not be included in the income of the applicant until the total amount of assistance that the applicant receives from all such programs is sufficient to fully compensate the applicant for losses suffered as a result of the crime. As used in this section; (1) the term "property damage" does not include damage to prosthetic devices, eyeglasses or other corrective lenses, or dental devices; (2) the term "medical expenses" includes, to the extent provided under the eligible crime victim compensation program, expenses for eyeglasses and other corrective lenses, for dental services and devices and prosthetic devices, and for services rendered in accordance with a method of healing recognized by the law of the State; (3) the term "compensable crime" means a crime the victims of which are eligible for compensation under the eligible crime victim compensation program, and includes crimes involving terrorism, driving while intoxicated, and domestic violence; and (4) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, and any other possession or territory of the United States.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, and any other possession or territory of the United States who have an established eligible crime victim compensation program, and who meet the eligibility requirements discussed above.

Beneficiary Eligibility:

Victims of crime that results in death or physical or personal injury and are determined eligible under the State victim compensation statute. State compensation statutes either declare that coverage extends generally to any crime resulting in physical or personal injury, or they list all specific crimes that can be covered.

Credentials/Documentation:

The Governor designated State agencies are required to submit the following information and assurance along with the application for assistance: (1) a statement certified by the individual designated by the Governor to administer the VOCA crime victims compensation grant. This statement should specify the total amount of money spent by the program from State funding sources for crime victim compensation awards in the Federal fiscal year October 1, 2004-September 30, 2005; (2) the amount of such compensation paid for "property damage"; (3) the total amount and each source of revenue for the program; (4) a copy of the State statute or other legal authority establishing the program and any amendments thereto, and (5) an assurance that funds received under the Act will not be used to supplant State funds otherwise available for crime victim compensation. Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency in accordance with 28-CFR, Part 66 (Common Rule), must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant applies on-line at <http://ojp.usdoj.gov> under "Grants/Funding" once posted by the Office for Victims of Crime.

Award Procedure:

An award is granted by the Director of the Office for Victims of Crime, the Office of Justice Programs. The award must be accepted and signed by the applicant with assurance of compliance with standard and special conditions of the grant award.

Deadlines:

Contact the Headquarters Office. Eligible State victim compensation programs will be notified of the date for submission of applications through an OVC listserv message.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years. Applications will be annually.

Formula and Matching Requirements:

The formula will be based on 60 percent of the amounts awarded by the State from State funding sources during the preceding fiscal year, other than amounts awarded for property damage. The State must certify that the Federal funds received under the program will not supplant State funds otherwise available for victim compensation. Fund availability may require a base change. Section 1403(a)(2).

Length and Time Phasing of Assistance:

Compensation funds may be carried forward for obligation by the grantee for 3 years subsequent to the fiscal year of award. Funds are released via electronic funds transfer. States must be enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds.

Reports:

Both quarterly and final financial status reports are required as stipulated in the effective edition of the OJP Financial Guide. In addition, an annual program performance report to OVC on the effect that the Federal funds had on the program will be required as stipulated in the Program Guidelines/Rules.

Audits:

All organizations that expend \$300,000 or more in federal funds per organizational fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. The audit report and transmittal letter must be submitted within 9 months from the end of the state fiscal year.

Records:

The annual program reporting requirement and accounts concerning the expenditures of the award shall be maintained during the award and retained for three years thereafter.

Account Identification:

15-5041-0-2-754.

Obligations:

FY 07 \$165,716,000; FY 08 \$268,064,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Each State receives 60 percent of its prior year payout of State compensation funds.

PROGRAM ACCOMPLISHMENTS:

Since Fiscal Year 1986, awards totaled \$1,868,620,429.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at <http://www.usdoj.gov/oc>, or call the Office of the Comptroller, Customer Service Center at (1-800) 458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Director, State Compensation and Assistance Division, Office for Victims of Crime, Department of Justice, Washington, DC 20531.
Telephone: (202) 616-3579. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

16.575, Crime Victim Assistance.

EXAMPLES OF FUNDED PROJECTS:

Program funds State formula grants to be used for crime victim compensation only.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Victims of Crime Act of 1984, as amended, and Program Guidelines/Rules published in the Federal Register.

16.578 FEDERAL SURPLUS PROPERTY TRANSFER PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, as amended, and codified at 40 U.S.C. Section 553(b)(1)(2). Property for correctional facility, law enforcement, and emergency management response purposes.

OBJECTIVES:

To facilitate the possible no cost conveyance, by the General Services Administration, to State and local governments, of surplus real and related personal property determined by the Attorney General to be required for correctional facility use, under programs or projects for the care or rehabilitation

of criminal offenders, and for law enforcement purposes.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Deed of Conveyance. The Deed of Conveyance of any surplus real and related personal property disposed of under this program - (1) shall provide that all of the property be used and maintained for the purpose for which it was conveyed in perpetuity, and that if the property ceases to be used or maintained for that purpose, all or any portion of the property shall, in its then existing condition, at the option of the Government, revert to the Government; and (2) may contain additional terms, reservations, restrictions, and conditions that the Administrator determines are necessary to safeguard the interests of the Government.

Applicant Eligibility:

State governments, and political subdivision or instrumentality of State governments. Under this program, the term "State" is defined by 40 U.S.C. Section 553(a) to include the District of Columbia, Puerto Rico, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, The Marshall Islands, Palau, and the Northern Mariana Islands.

Beneficiary Eligibility:

State, local and territorial governments, that are engaged in activities to control or reduce crime and juvenile delinquency or the enforcement of criminal law or the care or rehabilitation of criminal offenders.

Credentials/Documentation:

DOJ/OJP/BJA application for a correctional facility use or law enforcement purpose determination.

Preapplication Coordination:

Notify BJA and GSA or DOD by letter, of the State's interest in an identified property. This program requires coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Applicants interested in applying for property under the Base Realignment and Closure Act (BRAC) must consult with their designated Local Redevelopment Authority for the community's plan for economic recovery. A list of the recognized LRAs can be viewed at: <http://www.oea.gov/OEAWeb.nsf/Home?OpenForm>.

Application Procedure:

Submission of a completed DOJ/OJP/BJA application kit, including environmental information.

Award Procedure:

(1) Applicant must be a State or local governmental entity; (2) applicant must propose a correctional facility use or law enforcement purpose consistent with the requirements set forth in 40 U.S.C. Section 553(b)(1) and (2).

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Under 40 U.S.C. Section 553(e), the Administrator of the General Services Administration determines and enforces compliance with the terms contained in the instrument by which the transfer or conveyance is made.

Audits:

Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

None.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Twenty-seven properties have been conveyed to State and/or to local government entities for correctional purposes and seven have been conveyed for law enforcement purposes. A total of 40 determinations by the Assistant Attorney General have been made.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

General Services Administration: www.propertydisposal.gsa.gov. Office of Economic Adjustment: <http://www.defenselink.mil/brac/>.

Headquarters Office:

Bureau of Justice Assistance, 810 Seventh Street, N.W., 4th Floor, Washington,

DC 20531. Contact: M. Berry, Senior Project Manager, Telephone: (202) 616-6500 or (1-866) 859-2687.

Web Site Address:

Federal Surplus Real Property Conveyance Program:
<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Applicant must be a governmental entity; (2) Applicant must use property for viable program for the care and/or rehabilitation of criminal offenders; (3) The General Services Administration determines that a correctional purpose is the highest and best use for the applied for property.

16.579 EDWARD BYRNE MEMORIAL FORMULA GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I, 42 U.S.C. 50 et seq. Public Law 109-162, Title XI - Department of Justice Reauthorization, Subtitle B-Improving the Department of Justice's Grant Programs, Chapter I-Assisting Law Enforcement and Criminal Justice Agencies, Sec. 1111. Merger of Byrne Grant Program and Local Law Enforcement Block Grant Program.

OBJECTIVES:

To reduce and prevent illegal drug activity, crime, and violence and to improve the functioning of the criminal justice system.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used to support 29 specified purpose areas as outlined in the authorizing legislation. Funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment for more widespread apprehension, prosecution and adjudication of persons who violate state and local laws relating to the production, possession and transfer of controlled substances and to improve the criminal justice system. The Act restricts the use of these funds for supplanting state and local funds and land acquisition, and construction other than penal or correctional facilities.

Applicant Eligibility:

All States, the District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico, Virgin Islands and Northern Mariana Islands.

Beneficiary Eligibility:

State and units of local governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used with this program.

Application Procedure:

Applications are submitted to BJA following the criteria set out in the Act, OJP Financial Guide, and Byrne Formula Grant Program Guidance. This program is excluded from coverage under OMB Circular No. A-110. All applications must be submitted electronically by the Office of Justice Programs Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Letter to the Governor upon approval by BJA, with copies of the grant award sent to the designated State Administrative Agency. One copy of the grant award must be signed by Chief Executive's designee and returned to BJA.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadline at 1-866-859-2687 or by e-mail at: AskBJA@usdoj.gov. Applications must be submitted within 60 days of the signing of the appropriation by the President.

Range of Approval/Disapproval Time:

The State Administering Agency will receive a grant award notification, no later than 45 days after receipt of application. BJA will also notify the State congressional representatives, the governor and State U.S. attorneys.

Appeals:

See 28 C.F.R., Part 18.

Renewals:

Annual application is required.

Formula and Matching Requirements:

Each participant State will receive a base amount of \$500,000 or 25 percent of the amount available for the program, whichever is greater, with the remaining funds allocated to each State on the basis of the State's relative share of total U.S. population. If a State elects not to participate, all funds may be awarded directly to local units of government and combinations of units of local governments within the State. (a) Funds from the Act may be used to pay up to 75 percent of the cost of a program or project. The remaining nonfederal share will be provided in cash. Match for the formula grant programs will be provided for on a project-by-project basis, State-wide basis, unit-of-government basis, or a combination of the above. Requests will be contained in the application. (b) Funds distributed to an Indian tribe which performs law enforcement functions (as determined by the Secretary of the Interior) for any program or project described in the Act shall be 100 percent of such costs.

Length and Time Phasing of Assistance:

48 months/4 years.

Reports:

Financial reports are required quarterly, as required by the OJP financial guide. An annual performance report is also required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Grantee must keep complete records on disposition of funds.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$0; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies. This is a formula based funding program.

PROGRAM ACCOMPLISHMENTS:

See State Annual Reports.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide, Byrne Formula Grant Program Guidance.

Regional or Local Office:

Please contact your local State Administrative Agency (SAA).

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, Washington, DC 20531. Contact: Programs Office. Telephone: (202) 616-6500 or (1-866) 859-2687.

Web Site Address:

Edward Byrne Memorial Local Law Enforcement Justice Assistance Grant (JAG) <http://www.ojp.usdoj.gov/BJA/grant/jag.html>.

RELATED PROGRAMS:

16.580, Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program; 16.738, Edward Byrne Memorial Justice Assistance Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Multi-Jurisdictional Drug Enforcement Task Forces, Criminal Justice System Improvement, Violent Crime Prevention, DARE Education, Community Policing Training and Technical Assistance Projects.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.580 EDWARD BYRNE MEMORIAL STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE DISCRETIONARY GRANTS PROGRAM

(Byrne Discretionary Program)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Not applicable.

OBJECTIVES:

To provide leadership and direction in improving the functioning of the criminal justice system.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Discretionary grant funds provide federal financial assistance to public or private agencies and private nonprofit organizations for law enforcement program; prosecution and court program; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement program; programs, planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than compensation). This assistance supports site-based demonstration programs that in view of previous research or experience are likely to be a success in more than one jurisdiction; projects that are national or multijurisdictional in scope; and many training and technical assistance initiatives. Funds are not to be used for supplanting of state and local funds, land acquisition or construction projects.

Applicant Eligibility:

Federal, State and local government agencies as well as public and private nonprofit organizations and federally recognized Indian Tribal governments are eligible to receive funds including faith-based and community organizations, under this program.

Beneficiary Eligibility:

State and local governments, public and private organizations and Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Varies. See the program solicitation or guidance letter or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance. Telephone: 1 (866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Grants may be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA),

P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$189,255,557; FY 08 \$187,513,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

This is a general purpose assistance program for all components of the criminal justice system with programs ranging from crime prevention to correctional alternatives to drug law enforcement to community justice interventions. It supports a broad range of technical assistance and training agents as well as site-based demonstration programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Telephone: (202) 616-6500 or (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/bja/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.582 CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME, DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and 42 U.S.C. 10603e(a).

OBJECTIVES:

The Office for Victims of Crime (OVC) administers a discretionary grant program and other assistance programs for crime victims with amounts set-aside from deposits into the Crime Victims Fund for (a) demonstration projects and training and technical assistance services to eligible crime victims assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. The purpose of the demonstration and training and technical assistance grants is to improve the overall quality of services delivered to crime victims through the provision of training and technical assistance to providers. Of the amount available for training and technical assistance and services to victims of Federal crimes, no less than 50 percent shall be used for demonstration programs and training and technical assistance, and not more than 50 percent for services to victims of Federal crimes.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are available specifically for (a) demonstration projects and training and technical assistance service to eligible crime victim assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. For the purpose of the grants authorized in 42 U.S.C. 10603, an eligible crime victim assistance program is defined as: (a) operated by a public agency or a nonprofit organization, or a combination of such agencies or organizations or both such agencies and organizations, and providing service to victims of crime; (b) demonstrating (i) a record of providing effective services to victims of crime and financial support from sources other than the Fund; or (ii) substantial financial support from sources other than the Fund; (c) utilizing volunteers in providing such services, unless to the extent the chief executive determines that compelling reasons exist to waive this requirement; (d) promoting within the community served coordinated public and private efforts to the crime victims; and (e) assisting potential recipients in

seeking crime victim compensation benefits. For the purpose of grants authorized for assistance to victims of federal crime, services includes (a) training of law enforcement personnel in the delivery of services to victims of Federal crime; (b) preparation, publication, and distribution of informational materials, setting forth services offered to victims of crime; and concerning services for victims of Federal crime for use by Federal law enforcement and other responsible Federal officials; and (c) salaries of personnel who provide services to victims of crime, to the extent that these personnel provide such services.

Applicant Eligibility:

Criteria will vary depending on the grant or grant program. Generally, eligible applicants may include American Indian/Alaska Native Tribes and tribal organizations, States, United States Attorneys' offices, universities and colleges, eligible public agencies that provide victim services and private nonprofit agencies. Applicants for Tribal Victim Assistance grants must be an Indian Tribe, Tribal organization, partnership or nonprofit organization that provides direct services to victims of crime in Indian Country.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Competitive applications under this program must be submitted electronically via Grants.gov. OVC develops solicitations and application guidelines that includes funding strategy, eligibility requirements, required certifications that must be submitted at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. Applicants from other types of agencies will use forms to be provided by OVC.

Preapplication Coordination:

The standard application form furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule) must be used for all grants made by OVC. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In accordance with the Common Rule, Standard Form 424 must be submitted electronically at Grants.gov by nonfederal agencies in applying for funding under this program. Forms for funds other than grants or for use by Federal agencies will be supplied by OVC. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Deadlines are announced by OVC in as it releases solicitations throughout the fiscal year. Information is available only on-line at the OVC homepage at <http://www.ojp.usdoj.gov/ovc/>.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards range from 6 to 24 months, unless otherwise noted. Supplements are considered on a case by case basis. (See OVC guidelines for the Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes.)

Formula and Matching Requirements:

There are no formula or matching requirements for discretionary funding, unless stated in the funding applications. The State Victim Assistance Academy grants require a 25 percent "in-kind" match. The Tribal Victim Assistance Discretionary Grants requires a 10 percent "in-kind" match, which increases to 15 percent and 25 percent, respectively, for the 2nd and 3rd years of the award. The Counseling for Crime victims in Indian Country by Faith-Based

Organizations grants require a 10 percent "in-kind" match per year. Federal agencies are not expected to provide a financial contribution, but in general are asked to demonstrate a commitment to continuing the funded effort after OVC funding is terminated.

Length and Time Phasing of Assistance:

Any amount awarded that remains unspent at the end of a fiscal year in which the award is made may be expended for the purpose for which the award is made at any time during the three succeeding fiscal years, at the end of which period, any remaining unobligated sums shall be available for deposit into the emergency reserve fund referred to in subsection (d)(5) of the VOCA at the discretion of the Director. Any remaining unobligated sums shall be returned to the Fund. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the Recipient.

Reports:

Quarterly financial reports and semi-annual progress reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-5041-0-2-754.

Obligations:

FY 07 \$675,000,000; FY 08 \$590,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

For the Federal Crime Victims Division, approximately \$40,000 to \$500,000. For the Program Development and Dissemination Division from \$25,000 to \$1,000,000 with an average of \$150,000.

PROGRAM ACCOMPLISHMENTS:

OVC supports the development of training, technical assistance, and demonstration projects to expand and improve the quality of services to crime victims. In recent years, OVC has directed substantial funding to developing resources for the field that will assist victim advocates and other allied practitioners in reaching and serving victims from populations that have been largely underserved including victims with disabilities, immigrant victims, victims in rural areas or large urban centers, and victims from cultural, ethnic and racial minority groups. Serving child and adult victims of sexual assault remains problematic for many communities, and OVC continues to support several training and technical resources that foster the replication of Sexual Assault Response Teams across the nation, OVC also funds a biennial Sexual Assault Response Team (SART) National Training Conference to provide multidisciplinary training to SART members. Various other solicitations provide funding for projects in Indian Country. These grants support victim assistance programs for Indian tribes under Federal criminal justice jurisdiction, including 28 victim assistance programs, one elder abuse demonstration program, a training and technical assistance grant for OVC's American Indian/Alaska Native grantees, tribal CASA programs, grants to support faith-based organizations which provide counseling services to American Indian/Alaska Native crime victims, and a project to provide training to tribal leaders on the critical role of their support of tribal victim assistance programs. OVC also has funded the planning and implementation of State Victim Assistance Academies to meet the entry-level educational and training needs of a broad range of victim assistance providers and allied professionals who work directly with victims of crime. OVC also supports public awareness initiatives on crime victims' rights and issues, including the annual dissemination of a resource kit to the field to assist their activities during National Crime Victims Rights Week (NCVRW) and the sponsorship of an annual NCVRW awards ceremony for exemplary service providers and organizations. During FY 2005, OVC implemented an innovative strategy to provide top-quality Public Service Announcements (PSAs) on crime victims' rights and services to every television broadcaster in the nation, incorporating the ability to track when the PSAs are aired and the estimated audience and audience demographics. During FY 2006, OVC discretionary funding continues to be directed at several areas, including the faith-based response to crime victims, implementation and enforcement of victims' rights, underserved crime victims in both urban and rural settings, a demonstration program to assist victims of identify theft, victim assistance in Indian Country, working with grass roots organizations to identify and replicate promising practices in serving victims, as well as the development and dissemination of information and training for a wide variety of practitioners who work with crime victims. In the past 3 years, OVC has funded several key projects that focus on strengthening collaboration and cross-training between victim assistance and faith-based providers, in order to improve services for crime victims. In FY07, OVC has funded discretionary programs to assist victims of identify theft and financial fraud.udi Arabia, Afghanistan and Iraq

(e.g. bombing of UN Headquarters in Baghdad.). Assistance has included toll-free information lines, Family web sites, informational briefings, travel to criminal Justice proceedings, and mental health counseling. In addition, OVC works in liaison with other Federal agencies to coordinate services for victims of terrorism; has worked with the Center for Mental Health Services at HHS to develop training for mental health service providers on assisting victims of terrorism and mass violence; is working with the Office for Domestic Preparedness to provide training to enhance the capacity of first responders to deal effectively with victims of terrorism; provides funding for a community-based assessment and planning process to help states and local communities design and implement a strategic plan for responding to victims of criminal mass violence; and provides funding to track kidnapped children taken across international borders (in parental abductions) to help their left-behind parents attend court hearings and return to the US with their children. In FY 2006, OVC will continue many of these projects as well as setting aside money in the Antiterrorism Emergency Reserve to respond to future incidents of terrorism and mass violence occurring both within the United States and abroad and to fund an International Terrorism Victim Expense Reimbursement Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current solicitations and application guidelines and the current edition of the OJP Financial Guide, are available on line at the OVC webpage at <http://www.ovc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 307-5983.

Web Site Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.321, Antiterrorism Emergency Reserve; 16.320, Services for Trafficking Victims; 16.575, Crime Victim Assistance.

EXAMPLES OF FUNDED PROJECTS:

Potential projects include, but are not limited to: demonstrations programs that assist victims in rural communities or those programs meeting the needs of special populations such as immigrant victims, victims with disabilities, and victims of identity theft and financial fraud; training and technical assistance for States and local communities to help when responding to terrorism and mass violence; training to improve or expand victim services provided by particular groups of professionals such as judges, prosecutors, law enforcement professionals, and medical personnel.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Victims of Crime Act, 42 U.S.C. Section 10601-10604 (Supp. 1981). Additional criteria may be developed by the Office for Victims of Crime and will be published in the OJP grant packages.

16.583 CHILDREN'S JUSTICE ACT PARTNERSHIPS FOR INDIAN COMMUNITIES

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Victims of Crime Act of 1984, as amended, Public Law 98-473; Children's Justice and Assistance Act of 1986, Public Law 99-401, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402 (g)(L); Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act of 1995; Violent Crime Control and Law Enforcement Act of 1994; Subtitle C, Public Law 104-132; Anti-Terrorism and Effective Death Penalty Act of 1996; Child Abuse Prevention and Treatment Enforcement Act of 2000; Omnibus Consolidated Appropriations Act of 1997, Public Law 104-298; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

Fifteen percent of the first \$20 million of funds from the Crime Victims Fund that are transferred to the Department of Health and Human Services as part of the Children's Justice Act are to be statutorily reserved by the Office for Victims of Crime (OVC) to make grants for the purpose of assisting Native American Indian tribes in developing, establishing, and operating programs designed to improve the handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the child victim and improves the investigation and prosecution of cases of child abuse.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds are available specifically for the purpose of assisting Indian tribes in developing, establishing, and operating programs designed to improve (a) the

handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the victim and (b) the investigation and prosecution of cases of child abuse, particularly child sexual abuse.

Applicant Eligibility:

Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to American Indians and Alaska Natives. Specific criteria will vary depending on the grant.

Beneficiary Eligibility:

American Indian youth who are victims of child abuse and/or child sexual abuse.

Credentials/Documentation:

Applications must be on Standard Form 424 at a time specified by the Office for Victims of Crime, Office of Justice Programs and must: (1) contain OJP Form 4061/6 (Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements); (2) assure that the grantee will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) assure that the Grantee will submit to the Office of Justice Programs a semiannual program performance report of data and information as required; (4) assure that the Grantee will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide, and (5) certify that the information in the application is correct and that the Grantee will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars, including Subtitle A, Title II of the Americans With Disabilities Act (ADA) 1990.

Preapplication Coordination:

The standard application form furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule) must be used for all grants made by OVC. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies applying for funding under this program. Competitive solicitations are announced on Grants.gov.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Grants are made directly to Indian tribes as defined in Section 450(b) of the Indian Self Determination and Education Assistance Act. Awards may also be granted to nonprofit organizations that provide services to American Indians or State or local governments. Generally, awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director.

Deadlines:

Deadlines are listed as part of the solicitation at www.ojp.usdoj.gov/fundopps.htm and on Grants.gov. To have your name placed on OVC's mailing list, contact the Office for Victims of Crime, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-5983.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards range from 12 to 36 months. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

In-kind match is required as follows: 10 percent each year.

Length and Time Phasing of Assistance:

Grants are generally awarded for a 12 to 36 month time period.

Reports:

Quarterly and final financial reports and semi-annual program performance reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-5041-0-2-754.

Obligations:

FY 07 \$3,000,000; FY 08 \$3,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Seventy-five tribal programs to improve the investigation and prosecution of

child sexual abuse cases have been funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications and current edition of the Financial Guide are available by writing to the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531.

Regional or Local Office:

None.

Headquarters Office:

Renee Williams, CJA Program Manager, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 616-3218 or (202) 616-9559. E-mail: Renee.Williams@usdoj.gov.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.582, Crime Victim Assistance/Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

Tribes will implement a variety of programs to improve the way in which child sexual abuse cases are handled in Indian country. Examples of some of the activities are: development of written protocols between agencies to minimize the number of child interviews and improve case management; provision of child advocacy in the court process; reduction in the amount of time required to investigate cases of child sexual abuse; revision of tribal codes to include child abuse; establishment of special multidisciplinary child interviewing teams; provision of specialized training for investigators and judicial personnel; and hiring of staff to increase the numbers of child sexual abuse cases prosecuted in tribal, State, and Federal courts. CJA funds will also be used for training and technical assistance for tribes in implementing the grants awarded.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402. Additional criteria will be developed by the Office for Victims of Crime and will be announced at www.ojp.usdoj.gov/funddops.htm.

16.585 DRUG COURT DISCRETIONARY GRANT PROGRAM

(Drug Court)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

The Drug Courts Program is authorized under Title I, Part EE, of the Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351d, Title I, 82 Stat. 197, as amended by the 21st Century Department of Justice Appropriations Authorization Act, Public Law 107-273, Division B, Title II, Subtitle C, 116 Stat. 1758 (2002).

OBJECTIVES:

The Drug Court Discretionary Grant provides financial and technical assistance to states, state courts, local courts, units of local government, and Indian tribal governments to develop and implement treatment drug courts that effectively integrate substance abuse treatment, mandatory drug testing, sanctions and incentives, and transitional services in a judicially supervised court setting with jurisdiction over nonviolent, substance-abusing offenders.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the Drug Court Program Guideline available from the Department of Justice Response Center. Drug Court Programs under Title V will be for nonviolent adult and juvenile offenders and involve early and continuous judicial supervision over the nonviolent substance abusing offenders and the integrated administration of sanctions and services including: (1) mandatory periodic testing for the use of controlled substances or other addictive substances during any period of supervised release or probation; (2) substance abuse treatment for each participant; (3) diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements; and (4) programmatic, offender management and aftercare services.

Applicant Eligibility:

Grants can be given to States, State courts, local courts, units of local government and Indian tribal governments, acting directly or through agreements with other public or private entities. Applicants may choose to submit joint applications with other eligible jurisdictions for statewide, regional, and multi-jurisdictional drug court programs. With joint applications, one organization must be designated as the applicant and any coapplicants designated accordingly. The applicant organization must be eligible and the other agencies/organizations must provide supporting documentation. All applicants must demonstrate that they have the management and financial capabilities to effectively plan and implement projects of the size and scope

described in the application kit. Nonprofit and for-profit agencies are not eligible applicants. For an application from a subunit of government (e.g., county probation department, district attorney's office, pretrial services agency) to be considered, it must be designated by letter as representing an eligible applicant (described above). For example, the county court or county executive may designate the county probation or county district attorney's office as its representative for the purpose of application. In this instance, the applicant continues to be the designating State, court system, or unit of local government. The county probation, district attorney's office, or other designated subunit, is the organization authorized to submit an application on behalf of the eligible applicant.

Beneficiary Eligibility:

States, local governments, Indian tribal governments, public or private entities.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Applicant Information page; a one-page program abstract summarizing the goals and objectives of the grant request; program narrative; letters of support; detailed budget and budget narrative; administrative requirements; and assurances and certifications. See program announcement for more information.

Preapplication Coordination:

Prospective applicants may view the preapplication requirements by visiting the web site at <http://www.ojp.usdoj.gov/BJA/grant/drugcourts.html>. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadlines. Telephone: 1-866-859-2687 or by e-mail at AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals by congressional funding.

Formula and Matching Requirements:

The Federal share of a grant received under this subtitle may not exceed 75 percent of the total cost of an applicant's projected budget. The matching requirement is a 25 percent match provided by the applicant. A portion of the match must be cash. This is required by statute; the term "portion" is not defined.

Length and Time Phasing of Assistance:

Project duration period will be up to 3 years for Implementation Grants, up to 2 years for Enhancement Grants.

Reports:

Reports required include: "Fiscal Reports", which consist of quarterly budget expenditure reports, "Progress Reports", which consist of semi-annual program progress reports, and the Drug Court Grantee Data Collection Survey.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$15,200,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Up to \$500,000 for Implementation Grants; and up to \$300,000 for Enhancement grants.

PROGRAM ACCOMPLISHMENTS:

The overall purpose of this program is to assist jurisdictions in the development and implementation of new and existing drug courts. Through early court intervention and substance abuse treatment, drug courts help reduce recidivism among these types of offenders. Funds will be made available to support the planning process, conduct implementation, or offer additional support to pre-existing drug court programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Eunice Pierre, Policy Advisor, Bureau of Justice Assistance. Telephone: (202) 616-6500 or (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

16.579, Edward Byrne Memorial Formula Grant Program; 16.580, Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program; 93.959, Block Grants for Prevention and Treatment of Substance Abuse; 16.523, Juvenile Accountability Block Grants.

EXAMPLES OF FUNDED PROJECTS:

Please visit web site address for current information.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement.

16.586 VIOLENT OFFENDER INCARCERATION AND TRUTH IN SENTENCING INCENTIVE GRANTS

(Prison Grants)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994, Title II, Subtitle A, as amended, Public Law 103-322; 42 U.S.C. 13701 et seq.

OBJECTIVES:

To provide funds to individual States and States organized as regional compacts to build or expand 1) correctional facilities to increase the bed capacity for the confinement of Part 1 violent offenders; 2) temporary or permanent correctional facilities including facilities on military bases, prison barges and boot camps for the confinement of nonviolent offenders for the purpose of freeing prison space for violent offenders; 3) jails.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to build or expand permanent or temporary correctional facilities to increase bed space for the confinement of adult and juvenile violent offenders. Up to 15 percent of a State's formula grant may be awarded to counties and other units of local government to construct, develop, expand, modify or improve jails and other correctional facilities. Under exigent circumstances, funds may be used to increase capacity for the confinement of nonviolent juvenile offenders. Beginning with fiscal year 1999 grant funds, ten percent of the award may be used to implement drug testing policies that meet the Attorney General's Guidelines.

Applicant Eligibility:

States and States organized in multi-State compacts are eligible to apply. State means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. All awards are made to the State Office. Local units of government must apply directly to the States for grant funds.

Beneficiary Eligibility:

State and local correctional agencies.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (standard form 424), including signed assurances that it will comply with statutory and administrative requirements. Applicants must also demonstrate compliance with statutory requirements for the three Tiers of the Violent Offender Incarceration Program and those for Truth In Sentencing to qualify for funding from each allocation. States must also demonstrate an ability to operate facilities built with these funds, recognize the rights of crime victims, and have implemented a program of drug testing and intervention for offenders under

corrections supervision.

Preapplication Coordination:

This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedure:

Applicants must submit a completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Bureau of Justice Assistance. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. All applications must be submitted electronically through the Office of Justice Programs, Grant Management System at <https://grants.ojp.usdoj.gov>.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance. Telephone: (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Applications for Violent Offender Incarceration Tier 1 funds will be approved or denied within 30 days of receipt of a complete application. Applications for Violent Offender Incarceration Tiers 2 and 3 and Truth In Sentencing will generally be approved or denied within 90 days of receipt of a complete application.

Appeals:

Formula grant applicants will be notified in writing of reasons for disapproval of their application and will be given an opportunity for reconsideration.

Renewals:

Subject to appropriations.

Formula and Matching Requirements:

The Federal share of a grant funded project may not exceed 90 percent of the total costs of the project. The 10 percent matching funds must be in the form of a cash match.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus four additional years.

Reports:

Recipients are required to submit Individual Project Reports as subawards are made, quarterly Financial Reports, and a semiannual progress report.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$0; FY 08 \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

Please visit web site for current information at <http://www.ojp.usdoj.gov/BJA/grant/voitis.html>.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, Answers to Frequently Asked Questions, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Contact: James Burch: Telephone: (202) 616-6500 or 1-866-859-2687 or by email at AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/bja/grant/voitis.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals under the formula grant awards within the authorized purpose areas will be defined by States.

16.587 VIOLENCE AGAINST WOMEN DISCRETIONARY GRANTS FOR INDIAN TRIBAL GOVERNMENTS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 906, Public Law 109-162, 42 U.S.C. 3796gg-10.

OBJECTIVES:

To increase tribal capacity to respond to violent crimes against Indian women, and to develop and strengthen victim services in cases involving violent crimes against Indian women.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Grants may be awarded for the following statutory purposes: (1) develop and enhance effective governmental strategies to curtail violent crimes against and increase the safety of Indian women consistent with tribal law and custom; (2) increase tribal capacity to respond to domestic violence, dating violence, sexual assault, and stalking crimes against Indian women; (3) strengthen tribal justice interventions including tribal law enforcement, prosecution, courts, probation, correctional facilities; (4) enhance services to Indian women victimized by domestic violence, dating violence, sexual assault, and stalking; (5) work in cooperation with the community to develop education and prevention strategies directed toward issues of domestic violence, dating violence, and stalking programs and to address the needs of children exposed to domestic violence; (6) provide programs for supervised visitation and safe visitation exchange of children in situations involving domestic violence, sexual assault, or stalking committed by one parent against the other with appropriate security measures, policies, and procedures to protect the safety of victims and their children; (7) provide transitional housing for victims of domestic violence, dating violence, sexual assault, or stalking, including rental or utilities payments assistance and assistance with related expenses such as security deposits and other costs incidental to relocation to transitional housing, and support services to enable a victim of domestic violence, dating violence, sexual assault, or stalking to locate and secure permanent housing and integrate into a community; and (8) provide legal assistance necessary to provide effective aid to victims of domestic violence, dating violence, sexual assault, or stalking who are seeking relief in legal matters arising as a consequence of that abuse or violence, at minimal or no cost to the victims. Applicants must demonstrate their proposal was developed in consultation with a nonprofit, nongovernmental Indian victim services program, including sexual assault and domestic violence victim services providers in the tribal or local community, or a nonprofit tribal domestic violence and sexual assault coalition to the extent that they exist. In the absence of such a demonstration, the applicant may meet the requirement of this subsection through consultation with women in the community to be served.

Applicant Eligibility:

Indian tribal governments and authorized designees of tribal governments. The term "tribal government" means-- (A) the governing body of an Indian tribe; or (B) a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.)), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

Tribal governments and authorized designees of tribal governments.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule) must be used for this program.

Application Procedure:

Applicants must apply through the on-line Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

At least one year. Funds are released on an as needed basis to the grantee.

Reports:

Quarterly financial reports are required, as stipulated in the effective edition of the OJP Financial Guide. Grantees shall also file semi-annual performance reports explaining the activities carried out and an assessment of the effectiveness of those activities in achieving the purposes of the program, including the number of persons served and the number of persons seeking services who could not be served, as well as a final performance report.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$31,649,599; FY 08 est \$30,012,240; and FY 09 est \$21,008,568.

Range and Average of Financial Assistance:

\$100,000-\$900,000; \$441,356.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 106, Awards: 82; FY 08: Applications: 66, Awards: 65; FY 09: est. Applications: 66, Awards: 46.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice; 800 K Street NW, Suite 920; Washington, DC, 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Training for tribal law enforcement officers and prosecutors; establishment of a coordinated tribal community response to violence against women; creation of a tribal court to handle sexual assault and domestic violence cases; hiring of domestic violence victim advocates; creation of an automated communication system to connect tribal courts, police officers, prosecutors, and victim services providers; provision of transitional housing for victims; education and prevention campaigns; and provision of supervised visitation and safe exchange services.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Violence Against Women and Department of Justice Reauthorization Act of 2005 and program guidelines published annually.

16.588 VIOLENCE AGAINST WOMEN FORMULA GRANTS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001, 2007-2011, and 2013, 42

OBJECTIVES:

To assist States, Indian tribal governments, tribal courts, State and local courts, and units of local government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and develop and strengthen victim services in cases involving crimes against women. The Program encourages the development and implementation of effective, victim-centered law enforcement, prosecution, and court strategies to address violent crimes against women and the development and enhancement of victim services in cases involving violent crimes against women.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas: (1) training law enforcement officers, judges, other court personnel, and prosecutors to more effectively identify and respond to violent crimes against women, including the crimes of sexual assault, domestic violence, and dating violence; (2) developing, training, or expanding units of law enforcement officers, judges, other court personnel, and prosecutors specifically targeting violent crimes against women, including the crimes of sexual assault and domestic violence; (3) developing and implementing more effective police, court, and prosecution policies, protocols, orders, and services specifically devoted to preventing, identifying, and responding to violent crimes against women, including the crimes of sexual assault and domestic violence; (4) developing, installing, or expanding data collection and communication systems, including computerized systems, linking police, prosecutors, and courts or for the purpose of identifying and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions for violent crimes against women, including the crimes of sexual assault and domestic violence; (5) developing, enlarging, or strengthening victim services programs, including sexual assault, domestic violence and dating violence programs, developing or improving delivery of victim services to underserved populations, providing specialized domestic violence court advocates in courts where a significant number of protection orders are granted, and increasing reporting and reducing attrition rates for cases involving violent crimes against women, including crimes of sexual assault and domestic violence; (6) developing, enlarging, or strengthening programs addressing stalking; (7) developing, enlarging, or strengthening programs addressing the needs and circumstances of Indian tribes in dealing with violent crimes against women, including the crimes of sexual assault and domestic violence; (8) supporting formal and informal statewide, multidisciplinary efforts, to the extent not supported by State funds, to coordinate the response of State law enforcement agencies, prosecutors, courts, victim services agencies, and other State agencies and departments, to violent crimes against women, including the crimes of sexual assault, domestic violence, and dating violence; (9) training of sexual assault forensic medical personnel examiners in the collection and preservation of evidence, analysis, prevention, and providing expert testimony and treatment of trauma related to sexual assault; (10) developing, enlarging, or strengthening programs to assist law enforcement, prosecutors, courts, and others to address the needs and circumstances of older and disabled women who are victims of domestic violence or sexual assault, including recognizing, investigating, and prosecuting instances of such violence or assault and targeting outreach and support, counseling, and other victim services to such older and disabled individuals; (11) providing assistance to victims of domestic violence and sexual assault in immigration matters; (12) maintaining core victim services and criminal justice initiatives, while supporting complementary new initiatives and emergency services for victims and their families; (13) supporting the placement of special victim assistants (to be known as "Jessica Gonzales Victim Assistants") in local law enforcement agencies to serve as liaisons between victims of domestic violence, dating violence, sexual assault, and stalking and personnel in local law enforcement agencies in order to improve the enforcement of protection orders. Jessica Gonzales Victim Assistants shall have expertise in domestic violence, dating violence, sexual assault, or stalking and may undertake the following activities-- (A) developing, in collaboration with prosecutors, courts, and victim service providers, standardized response policies for local law enforcement agencies, including triage protocols to ensure that dangerous or potentially lethal cases are identified and prioritized; (B) notifying persons seeking enforcement of protection orders as to what responses will be provided by the relevant law enforcement agency; (C) referring persons seeking enforcement of protection orders to supplementary services (such as emergency shelter programs, hotlines, or legal assistance services); and (D) taking other appropriate action to assist or secure the safety of the person seeking enforcement of a protection order; and (14) to provide funding to law enforcement agencies, nonprofit nongovernmental victim services providers, and State, tribal, territorial, and local governments, (which funding stream shall be known as the Crystal Judson Domestic Violence Protocol Program) to promote-- (A) the development and implementation of training for local victim domestic violence service providers, and to fund victim services personnel, to be known as "Crystal Judson Victim Advocates," to

provide supportive services and advocacy for victims of domestic violence committed by law enforcement personnel; (B) the implementation of protocols within law enforcement agencies to ensure consistent and effective responses to the commission of domestic violence by personnel within such agencies (such as the model policy promulgated by the International Association of Chiefs of Police ("Domestic Violence by Police Officers: A Policy of the IACP, Police Response to Violence Against Women Project" July 2003)); (C) the development of such protocols in collaboration with State, tribal, territorial and local victim service providers and domestic violence coalitions. States must allocate a minimum of 25 percent of each year's grant award to each of the following areas: prosecution and law enforcement. In addition, States must allocate a minimum of 30 percent to victim services, a minimum of 5 percent to courts. Within the 30% for victim services, states must allocate 10% for culturally-specific community-based organizations. The remainder of the funds may be spent at the discretion of the state within the statutory purpose areas.

Applicant Eligibility:

All States, Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and the District of Columbia, are eligible.

Beneficiary Eligibility:

State and local units of government, nonprofit nongovernmental victim services programs, state, local, and tribal courts, and Indian tribal governments.

Credentials/Documentation:

Grantees under this program are subject to 4 certification requirements: 1) A State, Indian tribal government, or unit of local government shall not be entitled to funds under this program unless the State, Indian tribal government, or another governmental entity incurs the full out-of-pocket cost of forensic medical examinations for victims of sexual assault. A State or Indian tribal government may use Federal grant funds under this part to pay for forensic medical exams performed by trained examiners for victims of sexual assault, except that such funds may not be used to pay for forensic medical exams by any State, Indian tribal government, or territorial government that requires victims of sexual assault to seek reimbursement for such exams from their insurance carriers. Nothing in this section shall be construed to permit a State, Indian tribal government, or territorial government to require a victim of sexual assault to participate in the criminal justice system or cooperate with law enforcement in order to be provided with a forensic medical exam, reimbursement for charges incurred on account of such an exam, or both. 2) A State shall not be entitled to funds under this program unless it certifies that its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence offense, or in connection with the filing, issuance, registration, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal or local jurisdiction. 3) States must certify that their judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of title 18, United States Code, and any applicable related Federal, State, or local laws; or give the Attorney General assurances that their judicial administrative policies and practices will be in compliance with this requirement within the later of the period ending on the date on which the next session of the State legislature ends or January 5, 2008. 4) In order to be eligible for grants under this part, a State, Indian tribal government, territorial government, or unit of local government shall certify that, not later than January 5, 2009, their laws, policies, or practices will ensure that no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of an alleged sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. This would be if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the Office of Justice Programs' online Grants Management System (GMS). The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

120 days after receipt of an application.

Appeals:

Contact the Office on Violence Against Women for further information.

Renewals:

Applications are submitted on an annual basis.

Formula and Matching Requirements:

Each eligible entity receives a base amount of \$600,000. Remaining funds are divided in an amount that bears the same ratio to the amount of remaining funds as the population of the State bears to the population of all of the States that results from a distribution among the States on the basis of each State's population in relation to the population of all States (not including populations of Indian tribes). The Federal share of these grants may not exceed 75 percent of the total costs of the projects described in the applications. States may satisfy this 25 percent match through in-kind services. All funds designated as match are restricted to the same uses as the Office on Violence Against Women funds and must be expended within the grant period. States and subgrantees may apply for a waiver of the match requirement based on an adequate demonstration of financial need. Match may not be required in subgrants for victim services or tribes.

Length and Time Phasing of Assistance:

Funds can be accessed by the State via the Phone Activated Paperless System (PAPRS) on an as needed basis. The State in turn subgrants the money to eligible public and/or private nonprofit programs via drawdowns.

Reports:

Quarterly and final financial reports plus annual and end-of-grant program performance reports will be required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. In the progress reports, States must provide a statistical summary of persons served, detailing the nature of victimization and providing data on age, sex, relationship of victim to offender, geographic distribution, race, ethnicity, disability, and the number of persons served in any underserved population. The reports must also explain the activities carried out and provide an assessment of the effectiveness of those activities, including the number of persons served and the numbers of persons seeking services who could not be served. States are responsible for submitting State reports and ensuring that subgrantees submit annual progress reports as well.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

The award recipient must keep complete and accurate records on the disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$114,783,946; FY 08 est \$114,354,000; and FY 09 est \$80,047,800.

Range and Average of Financial Assistance:

\$615,364 to \$10,227,267; \$1,874,656.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 56, Awards: 56; FY 08: Applications: 61, Awards: 61; FY 09: est. Applications: 61, Awards 61.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women Office, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>

RELATED PROGRAMS:

16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Training and technical assistance for prosecutors, investigators, and law enforcement officers on issues relating to sexual assault and domestic violence; enhancement of services to victims of sexual assault and domestic violence; and creation of investigative and prosecutorial units to handle cases involving violent crimes against women.

CRITERIA FOR SELECTING PROPOSALS:

All applications submitted by the Chief Executive Officer of a State, or his or

her designee, will be accepted by the grantor Federal Agency for consideration.

16.589 RURAL DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, AND STALKING ASSISTANCE PROGRAM

FEDERAL AGENCY:

OFFICE OF VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994, Section 40295, 42 U.S.C. 13971, as amended; Violence Against Women Act of 2000, Public Law 106-386; Violence Against Women and Department of Justice Reauthorization Act, Title II, Section 203, Public Law 109-162.

OBJECTIVES:

(1) To identify, assess, and appropriately respond to child, youth, and adult victims of domestic violence, sexual assault, dating violence, and stalking in rural communities, by encouraging collaboration among-- (A) domestic violence, dating violence, sexual assault, and stalking victim service providers; (B) law enforcement agencies; (C) prosecutors; (D) courts; (E) other criminal justice service providers; (F) human and community service providers; (G) educational institutions; and (H) health care providers; (2) to establish and expand nonprofit, nongovernmental, State, tribal, territorial, and local government victim services in rural communities to child, youth, and adult victims; and (3) to increase the safety and well-being of women and children in rural communities, by-- (A) dealing directly and immediately with domestic violence, sexual assault, dating violence, and stalking occurring in rural communities; and (B) creating and implementing strategies to increase awareness and prevent domestic violence, sexual assault, dating violence, and stalking.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding may be used to carry out programs serving rural areas or rural communities that address domestic violence, dating violence, sexual assault, and stalking by implementing, expanding, and establishing cooperative efforts and projects among law enforcement officers, prosecutors, victim advocacy groups, and other related parties to investigate and prosecute incidents of domestic violence, dating violence, sexual assault, and stalking; providing treatment, counseling, advocacy, and other long- and short-term assistance to adult and minor victims of domestic violence, dating violence, sexual assault, and stalking in rural communities, including assistance in immigration matters; and working in cooperation with the community to develop education and prevention strategies directed toward such issues.

Applicant Eligibility:

States, Indian tribes, local governments, and nonprofit, public or private entities, including tribal nonprofit organizations, are eligible to carry out programs serving rural areas or rural communities that address domestic violence, dating violence, sexual assault, and stalking.

Beneficiary Eligibility:

Beneficiaries include criminal and tribal justice practitioners and service providers who respond to victims of domestic violence, dating violence, sexual assault, and stalking in rural jurisdictions and Indian country.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at 1-888-549-9901.

Award Procedure:

Subject to the availability of appropriated funds, NIJ anticipates that it will make an award to each eligible applicant that satisfies the specific application requirements outlined in this announcement (including those concerning allocation of funds, unobligated FY 2005-FY 2006 Backlog Reduction Awards, and permissible expenses), the general requirements for NIJ and OJP grants, and

all other applicable legal requirements. Reasons for rejection: NIJ may reject applications that are incomplete, do not respond to the scope of the solicitation, do not comply with format requirements, or are submitted after the deadline.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified before the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

A range of 25-40 percent of funds (depending on the size of appropriations) are set aside for services that meaningfully address sexual assault in rural communities. 75 percent of funds is allocated to eligible entities in rural states. "Rural state" is defined as a state that has a population density of 52 or fewer persons per square mile or a state in which the largest county has fewer than 150,000 people, based on the most recent decennial census. Eighteen States qualify as rural for the purposes of this grant program: Alaska, Arkansas, Arizona, Colorado, Idaho, Kansas, Maine, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Vermont, and Wyoming. Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, and quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports should explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$33,495,246; FY 08 est \$28,965,535; and FY 09 est \$20,275,875.

Range and Average of Financial Assistance:

\$81,000 - \$850,000; \$490,941.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 160, Awards: 64; FY 08: Applications: 244, Awards: 57; FY 09: est. Applications: 244, Awards: 40.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, N.W., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Partnerships among government agencies, community based organizations, and faith based organizations to address domestic violence, dating violence, sexual assault, and stalking more comprehensively; coordinated community responses to domestic violence, dating violence and child victimization that involve training for criminal justice practitioners and protocol development; and initiatives addressing the needs of diverse populations in rural areas, including bilingual advocacy and assistance in immigration matters.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.590 GRANTS TO ENCOURAGE ARREST POLICIES AND ENFORCEMENT OF PROTECTION ORDERS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994, 42 U.S.C. 3796hh, as amended; Violence Against Women Act of 2000, Public Law 106-386; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 102, Public Law 109-162.

OBJECTIVES:

To encourage States, Indian tribal governments, State and local courts (including juvenile courts), tribal courts, and units of local government to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants may be used for the following statutory program purposes: (1) To implement proarrest programs and policies in police departments, including policies for protection order violations. (2) To develop policies, educational programs, protection order registries, and training in police departments to improve tracking of cases involving domestic violence, dating violence, sexual assault, and stalking. Policies, educational programs, protection order registries, and training described in this paragraph shall incorporate confidentiality, and privacy protections for victims of domestic violence, dating violence, sexual assault, and stalking. (3) To centralize and coordinate police enforcement, prosecution, or judicial responsibility for domestic violence, dating violence, sexual assault, and stalking cases in teams or units of police officers, prosecutors, parole and probation officers, or judges. (4) To coordinate computer tracking systems to ensure communication between police, prosecutors, parole and probation officers, and both criminal and family courts. (5) To strengthen legal advocacy service programs for victims of domestic violence, dating violence, sexual assault, and stalking, including strengthening assistance to such victims in immigration matters. (6) To educate judges in criminal and civil courts (including juvenile courts) about domestic violence, dating violence, sexual assault, and stalking and to improve judicial handling of such cases. (7) To provide technical assistance and computer and other equipment to police departments, prosecutors, courts, and tribal jurisdictions to facilitate the widespread enforcement of protection orders, including interstate enforcement, enforcement between States and tribal jurisdictions, and enforcement between tribal jurisdictions. (8) To develop or strengthen policies and training for police, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence and sexual assault against older individuals (as defined in section 3002 of this title) and individuals with disabilities (as defined in section 12102(2) of this title). (9) To develop State, tribal, territorial, or local policies, procedures, and protocols for preventing dual arrests and prosecutions in cases of domestic violence, dating violence, sexual assault, and stalking, and to develop effective methods for identifying the pattern and history of abuse that indicates which party is the actual perpetrator of abuse. (10) To plan, develop and establish comprehensive victim service and support centers, such as family justice centers, designed to bring together victim advocates from non-profit, non-governmental victim services organizations, law enforcement officers, prosecutors, probation officers, governmental victim assistants, forensic medical professionals, civil legal attorneys, chaplains, legal advocates, representatives from community-based organizations and other relevant public or private agencies or organizations into one centralized location, in order to improve safety, access to services, and confidentiality for victims and families. Although funds may be used to support the colocation of project partners under this paragraph, funds may not support construction or major renovation expenses or activities that fall outside of the scope of the other statutory purpose areas. (11) To develop and implement policies and training for police, prosecutors, probation and parole officers, and the judiciary in recognizing, investigating, and prosecuting instances of sexual assault, with an emphasis on recognizing the threat to the community for repeat crime perpetration by such individuals. (12) To develop, enhance, and maintain protection order registries. (13) To develop human immunodeficiency virus (HIV) testing programs for sexual assault perpetrators and notification and counseling protocols.

Applicant Eligibility:

Grants are available to States, Indian tribal governments, units of local government, and State, tribal, territorial, and local courts.

Beneficiary Eligibility:

Beneficiaries include criminal and tribal justice practitioners, domestic violence, dating violence, sexual assault and stalking victim advocates, and other service providers who respond to victims of domestic violence, dating violence, sexual assault, and stalking.

Credentials/Documentation:

Eligible applicants must: (1) certify that their laws or official policies-- (A) encourage or mandate arrests of domestic violence offenders based on probable

cause that an offense has been committed; and (B) encourage or mandate arrest of domestic violence offenders who violate the terms of a valid and outstanding protection order; (2) demonstrate that their laws, policies, or practices and their training programs discourage dual arrests of offender and victim; (3) certify that their laws, policies, or practices prohibit issuance of mutual restraining orders of protection except in cases where both spouses file a claim and the court makes detailed findings of fact indicating that both spouses acted primarily as aggressors and that neither spouse acted primarily in self-defense; (4) certify that their laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence offense, or in connection with the filing, issuance, registration, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local jurisdiction; and (5) certify that, not later than 3 years after January, 5, 2006, their laws, policies, or practices will ensure that-- (A) no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of a sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense; and (B) the refusal of a victim to submit to an examination described in subparagraph (A) shall not prevent the investigation of the offense. In addition, a State or unit of local government shall not be entitled to 5 percent of the funds allocated under this program unless the State or unit of local government-- (1) certifies that it has a law or regulation that requires-- (A) the State or unit of local government at the request of a victim to administer to a defendant, against whom an information or indictment is presented for a crime in which by force or threat of force the perpetrator compels the victim to engage in sexual activity, testing for the immunodeficiency virus (HIV) not later than 48 hours after the date on which the information or indictment is presented; (B) as soon as practicable notification to the victim, or parent and guardian of the victim, and defendant of the testing results; and (C) follow-up tests for HIV as may be medically appropriate, and that as soon as practicable after each such test the results be made available in accordance with subparagraph (B); or (2) gives the Attorney General assurances that its laws and regulations will be in compliance with requirements of paragraph (1) within the later of-- (A) the period ending on the date on which the next session of the State legislature ends; or (B) 2 years.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of

persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Grants) FY 07 \$53,319,675; FY 08 est \$43,148,196; and FY 09 est \$30,203,737.

Range and Average of Financial Assistance:

\$200,000 to \$1,190,000; \$616,403.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 181, Awards: 96; FY 08: Applications: 170, Awards: 67; FY 09: est. Applications: 170, Awards: 47.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530; Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Community-wide approaches to reduce and prevent domestic violence that actively involve the police department, the prosecutor's office, the courts, and nonprofit, nongovernmental victim services agencies; initiatives within law enforcement to address police officers who batter; the development of computer systems to track domestic violence cases, protection orders, and violations of protection orders; legal advocacy for domestic violence victims; the implementation of pro-arrest or mandatory arrest programs and policies in police departments; and proactive judicial monitoring, sanctions, and intensive supervision to manage offender behavior and ensure victim safety.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.593 RESIDENTIAL SUBSTANCE ABUSE TREATMENT FOR STATE PRISONERS

(RSAT)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, CORRECTIONS PROGRAM OFFICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Title I, Section 1001, as amended, Public Law 90-351, 42 U.S.C. 3796ff et seq.

OBJECTIVES:

To assist States and units of local government develop and implement residential substance abuse treatment programs within State and local correctional facilities in which prisoners are incarcerated for a period of time sufficient to permit substance abuse treatment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The Residential Substance Abuse Treatment formula grant funds may be used to implement residential substance abuse programs that provide individual and group treatment activities for offenders in residential facilities operated by State and local correctional agencies. These programs must 1) last between 6 and 12 months; 2) be provided in residential treatment facilities set apart from the general correctional population; 3) focus on the substance abuse problems of the inmate; and 4) develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems. Grant funds shall not be used for land acquisition or construction projects.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands are eligible to

apply. The State office may award subgrants to State agencies and units of local government. Applicant States must agree to implement or continue to require urinalysis and/or other proven reliable forms of drug and alcohol testing of individuals assigned to residential substance abuse treatment programs in correctional facilities.

Beneficiary Eligibility:

State and local correctional agencies will implement programs to provide treatment to incarcerated offenders.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedure:

Application Procedure: Immediately after enactment of the appropriations (usually in the first quarter of the fiscal year). BJA notifies the Byrne State Administering Agencies (SAAs) that the program solicitation is available online. The Byrne SAAs then have 60 days in which to submit an application through the online, Internet-based Grants Management System (GMS).

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Consult the Application Kit or contact the Bureau of Justice Assistance in the office of Justice Programs for application deadlines by contacting: Bureau of Justice Assistance, Programs Office, 810 Seventh Street N.W., Washington, DC 20531. Telephone: (202) 514-6638.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Formula grant applicants will be notified in writing of reasons for disapproval of their application and will be given an opportunity for reconsideration.

Renewals:

Formula grants will be renewed each year through fiscal year 2000, subject to appropriations.

Formula and Matching Requirements:

Grant funds are allocated to the States using the following formula: a) each participating State is allocated a base amount of 0.4 percent of the total funds available for the program; and b) the remaining funds are allocated to each participating State in the ratio its prison population bears to the total prison population of all participating States. The most recent National Prisoner Statistics collected by the Bureau of Justice Statistics will be used to make these allocations. The Federal share of a grant-funded project may not exceed 75 percent of the total costs of the project. The 25 percent matching funds must be in the form of a cash match.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus two additional years.

Reports:

Recipients are required to submit Individual Project Reports as subawards are made, quarterly Financial Reports, and an annual Evaluation Report.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$9,400,000; and FY 09 est not available.

Range and Average of Financial Assistance:

California received the largest award of \$6,801,978 followed by Texas which received \$6,821,936. The smallest award of \$258,912 went to Northern Mariana Islands.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Timothy Wight, Associate Deputy Director. Telephone: (202) 616-6500.

Web Site Address:

<http://www.ojp.usdoj.gov/bja>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals to implement State and local residential substance abuse treatment programs for prisoners with formula grant funds will be defined by the States.

16.596 CORRECTIONAL GRANT PROGRAM FOR INDIAN TRIBES

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, CORRECTIONS PROGRAMS OFFICE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Section 114, Public Law 104-134; Violent Crime Control and Law Enforcement Act of 1994, Title II, Subtitle A, Public Law 103-322, 105 Stat. 1796, as amended, 42 U.S.C. 13701 et seq.

OBJECTIVES:

To assist Indian tribes with the construction of jails on tribal lands for the incarceration of offenders subject to tribal jurisdiction.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Grant Program for Indian Tribes may be used to assist Indian tribes in developing or expanding jail facilities for both adult and juvenile offenders.

Applicant Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Beneficiary Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description of the program, the implementation process, and a timetable for implementation.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Targeted tribes are invited to submit applications for construction of juvenile correctional facilities.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals are subject to appropriation and program priorities.

Formula and Matching Requirements:

The Federal share of a grant-funded program may not exceed 90 percent of the total costs of the project.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 months.

Reports:

Semi-annual financial and progress reports are required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$8,885,052; FY 08 \$8,630,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance Application Kit and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Robert Brown, Senior Policy Advisor. Telephone: (202) 616-6500.

Web Site Address:

<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of grants made under the tribal grant program include regional detention centers and tribes on the Bureau of Indian Affairs priority list for new construction.

CRITERIA FOR SELECTING PROPOSALS:

Past projects were selected on the basis of need for correctional facility space for tribal youth and demonstrated ability to operate the facilities.

16.597 MOTOR VEHICLE THEFT PROTECTION ACT PROGRAM

(Watch Your Car)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994, Title XXII, Public Law 103-322, 42 U.S.C 14171 et. seq.

OBJECTIVES:

The Motor Vehicle Theft Prevention Act of 1994 (MVTPA) authorizes the Attorney General to develop, in cooperation with the States, a national voluntary motor vehicle theft prevention program. The national "Watch Your Car" program is designed as a cooperative initiative between the States, local governments, and the U.S. Department of Justice, Bureau of Justice Assistance (BJA). It allows owners of motor vehicles to voluntarily display a decal or device on their vehicles to alert police that their vehicle is not normally driven between the hours of 1:00 a.m. and 5:00 a.m. Motorists may also choose to display another decal or device to signify their vehicle is not normally driven across or in the proximity of international land borders or ports.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Federal grant funds may be used for the printing or purchase and distribution of decals, registration and consent forms, public information materials and awareness campaigns, auto glass etching, training programs for law enforcement personnel, registration campaigns, equipment such as audio/visual aids and exhibits, administrative costs, upgrading of computer databases, additional

personnel, travel expenses, and overtime for Watch Your Car activities. No funds shall be used for land acquisition nor may funds be used to supplant State or local funds that would otherwise be made available for such purposes. There is no matching requirement.

Applicant Eligibility:

A State may apply on behalf of itself and its respective units of local government. If a State's chief motor vehicle theft prevention activities are currently administered by a local agency rather than a State agency, then the local agency may apply on behalf of itself and the other local jurisdictions. Applications are submitted by the point of contact of the State or unit of local government, in writing, and in accordance with established BJA application guidelines.

Beneficiary Eligibility:

Eligible beneficiaries include State agencies, county and municipal police departments, crime prevention organizations, and the general public.

Credentials/Documentation:

Applicants should submit the original copy of the Standard Form 424, signed by the project point of contact to the Bureau of Justice Assistance, U.S. Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Applicants should submit a complete line item budget and accompanying detailed budget narrative that explains all costs for which Watch Your Car funding is requested and provides sufficient information to document how costs were derived. Finally, applicants should develop an implementation plan to identify the steps they will take to implement the program along with a schedule for the completion of each step.

Preapplication Coordination:

Presently, no program notices will be announced, nor will any awards be made until a programmatic assessment is completed by the National Institute of Justice (NIJ). Applicants also may contact the Department of Justice Response Center in Washington, DC. Telephone: (800) 421-6770 or (202) 307-1480 to obtain a copy. The standard application form as furnished by the Office of Justice Programs (OJP), in accordance with 28, CFR Part 66 (Common Rule), must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application guidelines and forms are available by contacting the Department of Justice Response Center as noted above. This application procedure has been on hold since February 2002.

Award Procedure:

An award must be accepted by the applicant agency or institution according to the special conditions of the grant.

Deadlines:

Contact the Department of Justice Response Center for application deadlines. Both the program announcement published in the Federal Register along with the Bureau of Justice Assistance motor vehicle theft prevention program announcement will note the application deadline.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Determined by the Director of the Bureau of Justice Assistance.

Renewals:

Awards will be for periods of up to 24 months.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the program or projects contained in the approved application. There is no cash match required of grant recipients.

Length and Time Phasing of Assistance:

Varies, generally one fiscal year.

Reports:

"Fiscal Reports", which consist of quarterly budget expenditure reports and "Progress Reports" which consist of semi-annual program progress reports and final financial and progress reports.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 06 \$ estimate not available; FY 07 \$ estimate not available; and FY 08 estimate not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

16 States were implementing the program. BJA held a conference for grantees on auto theft prevention.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

The Department of Justice Response Center. Telephone: (800) 421-6770 or (202) 307-1480.

Headquarters Office:

James Burch, Deputy Director, Bureau of Justice Assistance, 810 Seventh Street, NW., Department of Justice, Washington, DC 20531. Telephone: (202) 616-6500.

Web Site Address:

www.usdoj.gov/bja.

RELATED PROGRAMS:

16.579, Edward Byrne Memorial Formula Grant Program; 16.580, Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program.

EXAMPLES OF FUNDED PROJECTS:

During fiscal year 1998, awards were made to Alabama, Connecticut, Massachusetts, Minnesota, North Carolina and the Virgin Islands. During fiscal year 1999, awards were made to Colorado, Delaware, Tennessee, and the State of Washington. In Fiscal Year 2000, funds were provided to Arizona, Colorado, Connecticut, Delaware, Maryland, Massachusetts, Minnesota, New York, Tennessee, Utah, Virgin Islands, Washington, DC, and Washington.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the Watch Your Car Program Announcement.

16.601 CORRECTIONS-TRAINING AND STAFF DEVELOPMENT

FEDERAL AGENCY:

NATIONAL INSTITUTE OF CORRECTIONS, FEDERAL PRISONS SYSTEM, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, as amended; Public Law 93-415, 18 U.S.C. 4351-4353.

OBJECTIVES:

To devise and conduct in various geographical locations, seminars, workshops, and training programs for law enforcement officers, judges and judicial personnel, probation and parole personnel, correctional personnel, welfare workers and other personnel, including lay ex-offenders and paraprofessionals, connected with the treatment and rehabilitation of criminal and juvenile offenders. To develop technical training teams to aid in the development of seminars, workshops, and training programs within the several States and with the State and local agencies which work with prisoners, parolees, probationers, and other offenders.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Project Grants (Contracts); Provision of Specialized Services; Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

Provides assistance for upgrading the operation of correctional programs at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

States, general units of local government, as well as public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations.

Preapplication Coordination:

When applying for cooperative agreements, the standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and OMB Circular No. A-110 (as applicable) must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For cooperative agreements, applicant submits proposal on Standard Form 424 - Federal Assistance. This program is subject to the provisions of OMB Circular Nos. A-102, A-110, A-133, and A-128. Environmental assessment is required for federally funded projects that are a major Federal action significantly affecting the human environment as defined in the National Environmental

Policy Act of 1969.

Award Procedure:

A formal application (Standard Form 424-Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the award recipient.

Deadlines:

Deadlines for applications are given in the announcement of the project in the Federal Register.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Appeals may be made to the Director of NIC.

Renewals:

Continuation cooperative agreements.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds advanced as required.

Reports:

Monthly and quarterly financial reports, quarterly programmatic progress reports, and final evaluation and financial reports.

Audits:

Full fiscal and program audits at close of cooperative agreement and on-site inspections as needed throughout the project.

Records:

Cooperative agreement recipients must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

(Cooperative agreements and contracts) FY 07 \$7,219,268; FY 08 est \$6,500,000; and; FY 09 est \$7,200,000.

Range and Average of Financial Assistance:

\$1,500 to \$300,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

Over 63,000 practitioners in adult corrections were provided training through NIC activities (Including Video Conference and E-Learning) during fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Corrections Annual Program Plan. National Institute of Corrections: Financial Management Guidelines for Grantees. National Institute of Corrections Guideline Manual; Instructions for Applying for Federal Assistance.

Regional or Local Office:

For training information, contact Chief, NIC Academy Division, 791 N. Chambers RD, Aurora, CO 80011. Telephone: (303) 365-4400. Toll-Free: (800) 995-6429. Fax: (303) 365-4455. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

National Institute of Corrections, 320 First Street N.W., Room 5007, Washington, DC 20534. Telephone: (202) 307-3106. Toll-Free: (800) 995-6423. Fax: (202) 307-3361.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.602, Corrections Research and Evaluation and Policy Formulation; 16.603, Corrections Technical Assistance/Clearinghouse.

EXAMPLES OF FUNDED PROJECTS:

(1) Training for correctional agency trainers; (2) strategic management training for high-level correctional administrators; (3) management training for managers of community residential centers; institutions; and (4) management training for jail administrators and sheriffs.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relatedness of proposed project to agency's mission, mandates, and program focuses; (2) quality and feasibility of project goals and objectives, design, implementation plan, and evaluation component when applicable; (3) past experience, qualifications, and capability of applicant to perform the proposed project; and (4) appropriateness of cost to perform proposed tasks.

16.602 CORRECTIONS-RESEARCH AND EVALUATION AND POLICY FORMULATION

FEDERAL AGENCY:

NATIONAL INSTITUTE OF CORRECTIONS, FEDERAL PRISONS SYSTEM, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, as amended; Public Law 93-415, 18 U.S.C. 4351-4353.

OBJECTIVES:

To conduct, encourage, and coordinate research relating to corrections including the causes, prevention, diagnosis, and treatment of criminal offenders. To conduct evaluation programs which study the effectiveness of new approaches, techniques, systems, programs, and devices employed to improve the corrections system.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Provision of Specialized Services; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provides assistance for upgrading correctional programs, services, and techniques at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

States, general units of local government, public and private agencies, educational institutions, organizations and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

OMB Circular No. A-87 cost principles applicable to grants with State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations.

Preapplication Coordination:

When applying for grants, the standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-102 (as applicable) must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For project grants, applicant submits proposal on Standard Form 424 - Federal Assistance. This program is subject to the provisions of OMB Circular Nos. A-102, and A-110, A-128, and A-133. Environmental assessment is required for federally funded projects that are a major Federal action significantly affecting the human environment as defined in the National Environmental Policy Act of 1969. This program is excluded from coverage under E.O. 12372.

Award Procedure:

A formal application (Standard Form 424 - Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the grantee.

Deadlines:

Deadlines for applications are given by project in agency's Annual Program Plan document.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Appeals may be made to the Director of NIC.

Renewals:

Continuation grant.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds advanced as required.

Reports:

Monthly and quarterly financial reports, quarterly programmatic progress reports, and final evaluation and financial reports.

Audits:

Full fiscal and program audits at close of grant and on-site inspections as needed throughout the project period.

Records:

Grantee must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

(Cooperative Agreements and Contracts) FY 07 \$3,238,211; FY 08 est \$3,238,000; and FY 09 est \$3,238,000.

Range and Average of Financial Assistance:

\$1,500 to \$200,000; \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007 funds were largely directed to the design and implementation of classification systems at the State and local levels; projects in probation parole and intermediate sanctions; mental health care, Prison Rape Elimination Act (PREA), accelerated management, training, and staff recruitment/retention in prisons; and jail services and programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Corrections Annual Program Plan. National Institute of Corrections: Financial Management Guidelines for Grantees. National Institute

of Corrections Guideline Manual: Instructions for Applying for Federal Assistance.

Regional or Local Office:

Contact Chief, Research and Evaluation Division, 320 First Street NW Room 5007, Washington, DC 20534. Telephone: (202) 307-2598. Toll-free: 800-995-6423. FAX: 303-307-3361. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

National Institute of Corrections, 320 First Street NW., Room 5007, Washington, DC 20534. Telephone: (202) 307- 3106. Toll-free: 800-995-6423. FAX: 202-307-3361. TDD: 202-307-3156.

Web Site Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

16.601, Corrections, Training and Staff Development; 16.603, Corrections Technical Assistance/Clearinghouse.

EXAMPLES OF FUNDED PROJECTS:

Awards have been made: (1) to research and develop an information base on classification systems and methods being used in corrections; (2) to design and implement community corrections options; and (3) to conduct communications audits in State departments of corrections.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relatedness of proposed project to agency's mission, mandates, and program focuses; (2) quality and feasibility of project goals and objectives, design, implementation plan, and evaluation component when applicable; (3) past experience, qualifications, and capability of applicant to perform the proposed project; and (4) appropriateness of cost to perform proposed tasks.

16.603 CORRECTIONS-TECHNICAL ASSISTANCE/CLEARINGHOUSE

FEDERAL AGENCY:

NATIONAL INSTITUTE OF CORRECTIONS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Public Law 93-415, 18 U.S.C. 4351-4353, as amended.

OBJECTIVES:

To encourage and assist Federal, State, and local government programs and services, and programs and services of other public and private agencies, institutions, and organizations in their efforts to develop and implement improved corrections programs. To assist and serve in a consulting capacity to Federal, State, and local courts, departments, and agencies in the development, maintenance, and coordination of programs, facilities, services, training, treatment, and rehabilitation with respect to criminal and juvenile offenders.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts); Provision of Specialized Services; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provides assistance for upgrading and operation of correctional facilities, programs, and services at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

States, general units of local government, public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations.

Preapplication Coordination:

When applying for grants, the standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For project grants, applicant submits proposal on Standard Form 424 - Federal Assistance. Applications under this program are subject to State Level Review under E.O. 12372 "Intergovernmental Review of Federal programs" which provides for a 30 or 60 day review of proposed Federal action and transmittal of comments through a Single State Point of Contact. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular Nos. A-102, A-110, A-133. Environmental assessment is required for federally funded projects that are a

major Federal action significantly affecting the human environment as defined in the National Environmental Policy Act of 1969.

Award Procedure:

A formal application (Standards Form 424 - Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the grantee.

Deadlines:

Deadlines for applications are given by project in agency's Annual Program Plan Document. Agency requests for technical assistance are accepted throughout the year.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Appeals may be made to the Director of NIC.

Renewals:

Continuation grant.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Funds advanced as required.

Reports:

Monthly and quarterly financial reports, quarterly programmatic progress reports, and final evaluation and financial reports.

Audits:

Full fiscal and program audits at close of grant and on-site inspections as needed throughout the grant.

Records:

Grantee must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

(Cooperative Agreements and Contracts) FY 07 \$4,095,086; FY 08 est \$4,000,000; and FY 09 est \$4,000,000.

Range and Average of Financial Assistance:

\$10,000 to \$100,000; \$40,000.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, technical assistance was provided in response to 252 requests from State and local correctional agencies in 50 States and the District of Columbia. NIC (Cooperative Agreements and Contracts) are available also to enable correctional agencies to engage technical assistance directly.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Corrections Annual Program Plan. National Institute of Corrections: Financial Management Guidelines for Grantees. National Institute of Corrections Guideline Manual: Instructions for Applying for Federal Assistance.

Regional or Local Office:

For jail-related information, contact Chief NIC Jails Division, 320 First Street NW Room 5007, Washington DC 20534. Telephone: (202)307-5811 Toll-Free (800) 995-6423 FAX: (202)-307-3361 For technical assistance related to staff training, contact Chief NIC Academy Division, 791 N. Chambers RD, Aurora, CO 80011. Telephone: (303) 365-4400. Toll-free: (800)995-6429. FAX: (303) 365-4455. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

Technical Assistance Coordinator, (for Prisons or Community Corrections) National Institute of Corrections, 320 First Street NW., Room 5007 Washington, DC 20534. Telephone: (202)307-3106. Toll-free: 800-995-6423. FAX: (202)307-3361. TDD: (202)307-3156.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.601, Corrections Training and Staff Development; 16.602, Corrections Research and Evaluation and Policy Formulation.

EXAMPLES OF FUNDED PROJECTS:

(1) grants to jails to improve jail operations, programs and services; (2) grants to correctional agencies to evaluate offender classification systems; (3) grants to correctional agencies to improve programs and services for female offenders; (4) contracts to individuals to provide specialized assistance to correctional agencies, (5) grants to probation and parole agencies to improve decision making and revocation process; and (6) grants to local jurisdictions to develop a range of community sanctions.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relatedness of proposed project to agency's mission, mandates, and program focuses; (2) quality and feasibility of project goals and objectives, design, implementation plan and evaluation component when applicable; (3) past experience, qualifications, and capability of applicant to perform the proposed project; and (4) appropriateness of cost to perform proposed tasks.

16.606 STATE CRIMINAL ALIEN ASSISTANCE PROGRAM

(SCAAP)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Appropriations Act, Public Law 106-113, Division B, Section 1000(a); Immigration and Nationality Act, 8 U.S.C. 1252, Section 242 as Amended; Title II, subtitle C, Section 20301; Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322.

OBJECTIVES:

The State Criminal Alien Assistance Program (SCAAP) is a payment program designed to provide federal assistance to states and localities that incur costs for incarcerating undocumented criminal aliens who are being held as a result of state and/or local charges or convictions. BJA administers this program in conjunction with the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services branches.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

The Department of Justice Reauthorization Act of 2005 (Public Law 109-162, Title XI) included the following requirement regarding the use of SCAAP funds: "Amounts appropriated pursuant to the authorization of appropriations in paragraph (5) that are distributed to a State or political subdivision of a State, including a municipality, may be used only for correctional purposes." Beginning with FY 2007 SCAAP awards, all funds must be used for correctional purposes only. To be eligible for reporting, inmates must have been convicted of a felony or second misdemeanor for violations of state or local law, and housed in the applicant's state or local correctional facility for 4 or more consecutive days during the reporting period. Once a person meets these criteria, all pre-trial and post-conviction time served from July 1, 2005 through June 30, 2006 may be included in the FY 2007 application. Salary information reported in the SCAAP application must reflect the total salaries and wages paid to full and part-time correctional officers and others who meet the SCAAP definition. See the FY 2007 SCAAP Guidelines at http://www.ojp.usdoj.gov/BJA/grant/2007_SCAAP_Guidelines.pdf.

Applicant Eligibility:

Eligible applicants include States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions exercising authority with respect to the incarceration of an undocumented criminal alien. This covers state prison facilities (including those housing juveniles convicted as adults of felony offenses or two or more misdemeanors) and local jails, whether operated by counties or cities. Applicants are generally State and units of local government. Note: Only one application is accepted from each separate political division (i.e., State, county, city).

Beneficiary Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions.

Credentials/Documentation:

Applicants who are seeking funding must complete an electronic application form via the Office of Justice Programs Grant Management System (GMS). The authorized official certifies compliance with all SCAAP requirements, including that: the applicant has been authorized by the Chief Executive Officer of the applicable unit of government; the inmate identifiers required have been submitted to BJA in the proper format(s) for the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services verification within the prescribed deadlines; all inmates counted by the applicant have been convicted of either a felony or two misdemeanor offenses under the applicant's law; and, only salary costs for corrections officers were included in the Total Salary Costs. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically.

Preapplication Coordination:

No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. This program is excluded from coverage under E.O. 12372 "Intergovernmental Review of Federal Programs."

Application Procedure:

SCAAP applications are administered via the Office of Justice Programs Internet-based Grants Management System. Inmate data, including the proper inmate identifiers, may either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of their inmate databases. Awards are announced after the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services inmate

verification process is done. Technical assistance is available to applicants for preparation of the on-line application process by calling the OJP Grants Management System Hotline at 1-888-549-9901.

Award Procedure:

The final payment process requires that all applications and all data be fully processed and verified before any payments are made. Inmate data will be verified by the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services. They will attempt to match the inmate data records provided to records in its databases to obtain the maximum number of confirmed matches. These matches are expected to include three categories: 1) those positively identified (matched) as qualifying undocumented criminal aliens; 2) those unknowns and 3) those positively identified as non criminal aliens. Applicants will be given credit for a percentage of inmates whose eligibility cannot be determined through positive identification or "match," but have valid foreign countries of birth. Payments will be based on the days of incarceration for the verified number of aliens incarcerated by each applicant. Payments are granted by the Director of the Bureau of Justice Assistance, Office of Justice Programs, Department of Justice. The payment must be accepted on-line and the acceptance will effect a simultaneous assurance of compliance with conditions. Acceptance of the payment online initiates the automatic electronic transfer of funds from OJP to the recipient.

Deadlines:

Varies annually. See current solicitation.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

If additional funding for the State Criminal Alien Assistance Program is allocated by Congress, applications can be submitted annually.

Formula and Matching Requirements:

This program has no statutory formula. Payments are based on the days of incarceration for the verified number of aliens incarcerated by each applicant and cost considerations which are used to determine relative percentage of total available funding each applicant is eligible to receive.

Length and Time Phasing of Assistance:

Payments are made within 90 days of application end date.

Reports:

No reports are required under this payment program.

Audits:

Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, a copy of the inmate records submission, and all other records pertinent to a grant shall be retained for a period of at least three years following the closeout of the grant.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$399,827,340; FY 08 \$410,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

This program has assisted states and localities which have requested assistance in defraying the cost incurred for the imprisonment of undocumented criminal aliens, resulting in more than \$4 billion in SCAAP funds being distributed to over 660 unique jurisdictions in the last 9 years.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide and the State Criminal Alien Assistance Program Guidelines.

Regional or Local Office:

None.

Headquarters Office:

State Criminal Alien Assistance Program, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Linda Hammond-Deckard. Telephone: (202) 616-6500 or (1-866) 859-2687.

Web Site Address:

<http://www.ojp.usdoj.gov/bja/grant/scaap.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Awards will be made on a pro rata share of the applicants' relative costs of incarceration for its qualifying alien prisoners, based on total days of incarceration for qualifying undocumented criminal aliens and the applicant's per diem salary cost as calculated using the total salary cost and total days of incarceration for all inmates, as submitted by each applicant.

16.607 BULLETPROOF VEST PARTNERSHIP PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Bulletproof Vest Partnership Grant Act of 1998, Public Law 105-181, June 16, 1998, and Bulletproof Vest Program Act of 2000, Public Law 106-517. Consolidated Appropriations Act, 2008, P.L. 110-161.

OBJECTIVES:

To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The program pays up to 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, vest carriers, attachments, inserts, and covers considered integral or essential for its proper care, use, and wearability, shipping, handling, fitting charges, and applicable taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the Federal match. This program only allows the purchase of body armor that has been tested and found to comply with applicable ballistic and stab standards promulgated by the National Institute of Justice's (NIJ) Standard 0101.03 Ballistic Resistance of Police Body Armor. In FY 2001, this program allowed the purchase of stab-resistant body armor.

Applicant Eligibility:

Only chief executives of jurisdictions (or their designees) may apply for funds. Jurisdictions are defined as general purpose units of local government (e.g., cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

Beneficiary Eligibility:

Only law enforcement officers may receive vests through this program. According to the Act, "law enforcement officer" means any officer, agent, or employee of a State, unit of local government, or an Indian tribe authorized by law or by a government agency to engage in or supervise the prevention, detection, or investigation of any violation of criminal law, or authorized by law to supervise sentenced criminal offenders. Eligible officers may be full-time, part-time, paid or volunteer.

Credentials/Documentation:

Eligible jurisdictions must be general purpose units of local government, Federally-recognized Indian tribes, the 50 state governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The validity of the on-line registration process is verified through independent reviews which may include certification by the banking institutions serving the jurisdiction, the U.S. Census Bureau, and agencies within the U.S. Department of Justice.

Preapplication Coordination:

This is an Internet-based program. The jurisdiction is required to complete and submit an on-line registration form, providing information about the jurisdiction's chief executive officer, program contact person, and electronic banking information. The registration process is handled through the program's web site <http://vests.ojp.gov>. For jurisdictions without Internet capability, other means of registration are available. Only those jurisdictions with approved registrations are permitted to make application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The jurisdiction submits the application to BJA via the Internet web site <http://vests.ojp.gov>. On-line instructions guide the jurisdiction through the application process. Law enforcement agencies or agencies with law enforcement functions which meet the Act's definition, will assist in completing the jurisdiction's on-line application by indicating the types, numbers, and costs of vests they intend to order. For jurisdictions and/or agencies without Internet capability, other means of application are available. Only those jurisdictions that complete and submit applications will be eligible for matching funds from

BJA.

Award Procedure:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements. Each application is then checked against the availability of funds to cover up to 50 percent of the application's total cost, in keeping with established criteria for program fund allocation. When all checks are complete and the application approved, the jurisdiction is notified through e-mail that the necessary funds have been obligated.

Deadlines:

Jurisdictions are permitted to apply only once per Federal fiscal year.

Range of Approval/Disapproval Time:

A minimum of 15 working days may be required for complete registration validation, although jurisdictions and law enforcement agencies will have immediate access to certain program components during this validation process. A minimum of 20 working days may be required after the program closes for approval of the jurisdiction's application for funding. A minimum of 25 working days may be required for the electronic transfer of funds from the U.S. Treasury to the jurisdiction's bank account, once the request for funds has been received, reviewed, and approved by the Office of Justice Programs.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The program requires that each applicant jurisdiction be responsible for providing at least 50 percent of the cost of each vest purchased. Tribal governments may use Federal funds to provide this match; all other jurisdictions must use nonfederal match funds. Nonfederal fund sources include State and/or local jurisdiction revenues, private or personal funds, and contributions from insurance or workman's compensation consortiums. Asset forfeiture funds may also be used to meet the jurisdiction's matching requirement. Beginning in FY 2002, changes (Bulletproof Vest Partnership Act of 2000) (Public Law 106-517) gives preference to small jurisdictions (those populations below 100,000) guaranteeing them the full 50 percent match payment before payments are calculated and approved for larger jurisdictions.

Length and Time Phasing of Assistance:

Jurisdictions with approved applications must request the federal match no later than September 30th of the fourth federal fiscal year following the end of the federal fiscal year in which their application was approved. In other words, the jurisdiction has four years beyond the year of their application to request payments for vests contained in that application. Payments of the federal match will be made whenever the jurisdiction indicates that at least part of the approved vest order was received and invoiced.

Reports:

None.

Audits:

Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local procurement policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$26,616,840; FY 08 \$23,970,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

This program approved 5,129 applications from states, territories, municipalities and tribal governments. These applications are expected to result in the purchase of approximately 190,000 vests. BJA experiences over a 4 year period of program operations show that system design, technology and hardware adequately supported the program. The technical support help desk successfully fielded thousands of calls and e-mail inquiries from participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Final Rule, Federal Register, September 23, 1998 (Volume 63, Number 184), Internet web site <http://vests.ojp.gov>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, 4th floor, 810 7th Street, N.W., Washington, DC,

20531. Contact: Linda Hammond-Deckard, Telephone: (202) 616-6500, Fax: (202) 616-0314, E-mail: askbjja@ojp.usdoj.gov/bjja. Justice Response Center. Telephone: 1-800-421-6770. Vest Technical Support Help Desk. Telephone: (1-877) 758-3787.

Web Site Address:

www.usdoj.gov/bja and vests.ojp.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.608 TRIBAL COURT ASSISTANCE PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Consolidated Appropriations Act, 2008, P.L. 110-161.

OBJECTIVES:

To assist tribal governments in the development, enhancement, and continuing operation of tribal judicial systems, including inter-tribal court systems.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Planning grants are designed to help tribal governments without tribal judicial systems develop a strategy and implementation plan. Tribes must establish a development team that will guide the development of partnerships and identify local community priorities for the new court. Implementation/enhancement grants are designed to establish a core structure for a tribal court based upon a formal plan, or enhance continuing tribal court personnel, acquiring additional equipment, enhancing prosecution and indigent defense, and other related activities.

Applicant Eligibility:

Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program. Tribes that received FY 2006 or FY 2007 funding are not eligible to apply for a FY 2008 grant.

Beneficiary Eligibility:

Indian Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 C.F.R., Part 225 for State, local and tribal governments.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by the Common Rule, must be used for this program. Awards through this program will be competitive, based upon the extent and urgency of the need of each applicant.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

The program solicitation contains application deadlines.

Range of Approval/Disapproval Time:

Normally, 90 days after receipt of applications.

Appeals:

See 28 CFR Part 18.

Renewals:

Any further grant awards are dependant on further tribal court funding and a competitive process.

Formula and Matching Requirements:

Grant may be made for amounts up to 100 per cent of the costs of the program or projects contained in the approved applications.

Length and Time Phasing of Assistance:

Generally, up to 18 months.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$7,897,824; FY 08 \$8,630,000; and FY 09 est not available.

Range and Average of Financial Assistance:

CATEGORY I: PLANNING AND IMPLEMENTING AN INTERTRIBAL COURT SYSTEM FOR SMALLER POPULATIONS. Grant maximum: \$200,000. CATEGORY II: PLANNING AND IMPLEMENTING A SINGLE-TRIBE COURT SYSTEM. Grant maximum: \$200,000. CATEGORY III: ENHANCING OR CONTINUING THE OPERATION OF TRIBAL COURTS. Grant maximum: \$150,000 to \$175,000.

PROGRAM ACCOMPLISHMENTS:

Congress appropriated approximately \$7.982 million to continue the tribal court initiative. BJA issued a competitive solicitation announcement calling for potential grantees to submit concept papers. Applications submitted will request funding under one of two categories: (1) planning for development of tribal courts; and (2) the implementation, enhancement, and continuing operation of tribal courts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2c, Policies and Procedure for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Robert Brown, Sr. Policy Advisor. Telephone: (202) 616-6500 or (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Web Site Address:

www.ojp.usdoj.gov/bja.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.609 COMMUNITY PROSECUTION AND PROJECT SAFE NEIGHBORHOODS

(Community Prosecution Program)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I; 42 U.S.C. 50 et seq.; Crime Control Act of 1990, Public Law 101-647; Appropriations Act of 2001, Public Law 106-553; Appropriations Act of 2002, Public Law 107-77. Appropriations Act of 2003, 2004, 2006.

OBJECTIVES:

Project Safe Neighborhoods (PSN) is a nationwide commitment and a comprehensive, strategic approach to reducing gun crime in America by networking existing local programs that target gun crime and providing those programs with additional tools.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Community Prosecution program focuses on problem solving, strategic planning, and working in partnership with the community to prevent crime and violence and improve public safety. The Community Gun Violence Prosecution program allocates resources directly to chief prosecutors across the country to improve the long-term ability of prosecution agencies to more fully address the issue of firearm-related violent crime within their jurisdictions. Project Safe Neighborhoods is a new national strategy designed to remove gun wielding criminals from the streets and local neighborhoods. In addition to hiring new state and local prosecutors, the funds will be available to support investigations, provide training, develop and promote community outreach efforts, and improve

public safety by supporting other gun crime reduction strategies.

Applicant Eligibility:

A PSN Task Force has been established in each of the 94 U.S. Attorney Districts. Each task force selects a fiscal agent to apply for this program. Eligible fiscal agents include states, units of local government, educational institutions, faith-based and other community organizations, and private nonprofit organizations.

Beneficiary Eligibility:

State and local governments, public and private organizations, Indian Tribal government, prosecutor offices.

Credentials/Documentation:

Eligible applicants must be certified by the U.S. Attorney's Office.

Preapplication Coordination:

The Project Safe Neighborhoods program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their respective states for more information on the process the state requires to be followed in applying for assistance.

Application Procedure:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. The application procedure and time lines will be contained in the program solicitation. Applications must be submitted on-line at the Office of Justice Programs, Grant Management System: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

The Project Safe Neighborhoods program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their respective states for more information on the process the state requires to be followed in applying for assistance.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadline. Telephone: 1 (866) 859-2687 or by e-mail: AskBJA@usdoj.gov. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Range of Approval/Disapproval Time:

120 days after receipt of applications.

Appeals:

None.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

Grants may be made for amounts up to 100 per cent of the costs of the programs or projects contained in the approved applications. Districts may use up to 10 percent of their award for costs associated with administering the funds.

Length and Time Phasing of Assistance:

Varies. 24 to 36 months.

Reports:

Unless otherwise specified in the awards special conditions, financial reports are due quarterly and progress reports are due semi-annually. In some cases, evaluation reports may be required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$21,000,000; FY 08 \$20,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Prior awards have ranged from approximately \$200,000 to \$1,100,000.

PROGRAM ACCOMPLISHMENTS:

See web site for current program updates. Bureau of Justice Assistance:

<http://www.ojp.usdoj.gov/BJA/grant/psn.html>; Project Safe Neighborhoods:
<http://www.psn.gov/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2c, Policies and Procedure for the Administration of OJP Grants, are applicable.

Regional or Local Office:

The Project Safe Neighborhoods program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their respective states for more information on the process the state requires to be followed in applying for assistance.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Contact: Jonathan Faley or Dara Schulman. Telephone: (202) 616-6500 or 1-866-859-2687 or by email at AskBJA@usdoj.gov.

Web Site Address:

Bureau of Justice Assistance: <http://www.ojp.usdoj.gov/BJA/grant/psn.html>
Project Safe Neighborhoods: <http://www.psn.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Project Summaries: <http://www.ojp.usdoj.gov/BJA/grant/04PSNsummary.pdf>.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, criteria for selecting proposals is contained within the individual program solicitations. For non-competitive grants, applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.610 REGIONAL INFORMATION SHARING SYSTEMS

(RISS)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351; 42 U.S.C. 3796h.

OBJECTIVES:

To enhance the ability of the state and local criminal justice agencies to identify, target, and remove criminal conspiracies and activities that span jurisdictional boundaries. The first objective of the Regional Information Sharing Systems Program (RISS) is to encourage and facilitate the rapid exchange and sharing of information among federal, state, and local law enforcement agencies pertaining to known or suspected terrorist, or criminal activity. The second objective is to enhance coordination and communication among agencies that are in pursuit of criminal conspiracies determined to be interjurisdictional in nature. In addition, the RISS Program may provide technical and financial resources to member agencies, such as specialized equipment, training, and investigative funds, to augment existing multijurisdictional enforcement resources and operations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through Federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, projects are encouraged to obtain and utilize additional funds through voluntary contributions, membership fees or dues, fees for service, or other sources from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the Federal share of project costs.

Applicant Eligibility:

Six RISS projects are authorized as eligible to receive funding to provide services to law enforcement agencies throughout the nation. The projects are: The Middle Atlantic Great-Lakes Organized Crime Law Enforcement Center (MAGLOCLN), the Mid-States Organized Crime Information Center (MOCIC), the New England State Police Information Network (NESPIN), the Regional Organized Crime Information Center (ROCIC), the Rocky Mountain Information Network (RMIN), and the Western States Information Network (WSIN).

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, State, and local law enforcement agencies and personnel benefit from this program.

Credentials/Documentation:

The six RISS projects are required to submit a Federal application for assistance, as well as a detailed program and budget narrative.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their respective states for more

information on the process the state requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

The standard application forms furnished by the Federal agency and required by the Common Rule, must be used for this program.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements.

Deadlines:

Contact the Bureau of Justice Assistance for application deadlines.

Range of Approval/Disapproval Time:

About 90 days prior to the expiration of the project's current active grant.

Appeals:

None.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

Projects are awarded 100 percent of the project costs and no match is required.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months.

Reports:

Reporting requirements for grants/projects awarded under the RISS Program are articulated in the OJP Financial Guide, as well as the Funding and Administration Guidelines of the Regional Information Sharing Systems (RISS) Program Guide.

Audits:

Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$39,719,145; FY 08 \$40,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$25 million to be divided among the six RISS projects. (Note: May want to tie this dollar amount to a specific fiscal year since amount will fluctuate from year-to-year).

PROGRAM ACCOMPLISHMENTS:

See web site for current information. Regional Information Sharing Systems: <http://www.rissinfo.com/> RISS/ATIX: <http://www.rissinfo.com/rissatix.htm>
<http://www.iir.com/riss/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of Justice Programs, Office of the Comptroller; BJA's Funding and Administration Guidelines of the Regional Information Sharing Systems Program, and The Criminal Intelligence Systems Operating Policies (28 CFR Part 23).

Regional or Local Office:

See <http://www.iir.com/riss/> for the six regions.

Headquarters Office:

Patrick McCreary, Assoc. Deputy Director, Bureau of Justice Assistance, Fourth Floor, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 616-6500 or the Justice Response Center, (1-800) 421-6770.

Web Site Address:

Regional Information Sharing Systems: <http://www.rissinfo.com/> RISS/ATIX: <http://www.rissinfo.com/rissatix.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.611 CLOSED-CIRCUIT TELEVISION OF CHILD VICTIMS OF ABUSE

(CCTV)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Victims of Child Abuse Act, Public Law 90-351, as amended, 42 U.S.C.
3796aa, et seq.

OBJECTIVES:

To provide equipment and personnel training for the closed-circuit televising and videotaping of the testimony of children in criminal proceedings for the violation of laws relating to the abuse of children.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Up to 75 percent of total project grant costs may be funded through Federal assistance.

Applicant Eligibility:

Limited competition--a state or local unit of government that has in effect a law allowing the closed-circuit televising or video taping of testimony of children in criminal proceedings relating to the abuse of children.

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, state, and local law enforcement agencies and personnel; public non-profit organizations, and youth benefit from this program.

Credentials/Documentation:

Eligible states and units of local government.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her respective State for more information on the process the state requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must follow the instructions contained in the solicitation for the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program and submit a fully executed application to the Bureau of Justice Assistance, 810 Seventh Street, NW, Fourth Floor, Washington, DC 20531, Attention: Closed-Circuit TV Program Manager. Applicants should submit an original and two copies of the application, along with an electronic copy of the application on disk in WordPerfect or Word for Windows, to BJA for review. BJA must receive the application at least 90 days prior to the anticipated start date of a new or continuation award.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

The deadline dates vary. Contact BJA for application deadlines.

Range of Approval/Disapproval Time:

Applications must be approved no later than 60 days after first received unless the Director of BJA informs the applicant of specific reasons for disapproval.

Appeals:

None.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

Projects are awarded 75 percent of the total project costs. A match of 25 percent is required.

Length and Time Phasing of Assistance:

Grantees selected for awards will be funded for a period of 12 months.

Reports:

Reporting requirements for grants and projects awarded under this program are articulated in the OJP Financial Guide, as well as in the program solicitation.

Audits:

Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local

government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$976,000; FY 08 \$940,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Approximately 10 to 15 grants ranging from a minimum of \$50,000 to a maximum of \$100,000 per grant.

PROGRAM ACCOMPLISHMENTS:

The American Bar Association (ABA) received an award of \$363,731 to evaluate the CCTV projects to determine the effectiveness and impact of the funded projects in reducing the trauma to child abuse victims during criminal hearings or trials. The ABA organized a two-day evaluation conference to assist grantees in developing individual evaluation plans; provide technical assistance to grantees in developing individual evaluation plans and obtaining the data needed to assess the projects; and, on the preparation of a final report documenting the assessment, best practices, lessons learned, and recommendations to improve the CCTV Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of the Comptroller, Office of Justice Programs; BJA's Program Guide and Application Kit for the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: K. Norris, Senior Policy Advisor at telephone: (202) 616-6500 or (1-866) 859-2687. Or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

www.usdoj.gov/bja.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Applicants can use grant funds to: purchase videotape and closed-circuit television equipment to remain in courthouses and/or portable equipment to be used by courthouses in other jurisdictions; provide training in videotape and closed-circuit interviewing to law enforcement, prosecutors, and related staff; prepare booklets outlining the legal requirements and procedures involved in the use and operation of videotape and closed-circuit televising; and provide training to appropriate staff in the setup and operation of the equipment.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are contained in the Program Solicitation.

16.612 NATIONAL WHITE COLLAR CRIME CENTER

(NWCCC)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351, as amended; 42 U.S.C. 3760.

OBJECTIVES:

NW3C is a federally-funded, non-profit corporation, whose mission is to provide a nationwide support system for agencies involved in the prevention, investigation, and prosecution of economic and high-tech crimes and to support and partner with other appropriate entities in addressing homeland security initiatives, as they relate to economic and high-tech crimes. It provides training, technical assistance and/or resources to state, local and tribal law enforcement agencies on how to prevent, combat, investigate and prosecute economic and cyber space crimes. And, provide information to the general public on how to prevent and protect against personal identity theft, fraud (telemarketing, bank card, insurance, etc.) and formally register Internet crime complaints with various authorities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, the project is encouraged to obtain and utilize additional funds, through voluntary contributions, membership fees or dues, fees for service, or other sources, from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the federal share of project costs.

Applicant Eligibility:

State and local law enforcement authorities.

Beneficiary Eligibility:

State and local criminal justice agencies; federal, state, and local law enforcement agencies, as well as regulatory agencies benefit from this program.

Credentials/Documentation:

The NWCCC is required to submit a federal application for assistance, as well as a detailed program and budget narrative.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her respective state for more information on the process the state requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Application is submitted electronically through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

The application is due 90 days prior to completion of previous fiscal year funding.

Range of Approval/Disapproval Time:

Approximately 90 days prior to the expiration of the project's current active grant.

Appeals:

None.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

Project is awarded 100 percent of the project costs and no match is required.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months.

Reports:

Reporting requirements for grants and projects awarded under the NWCCC program are articulated in the OJP Financial Guide.

Audits:

Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 06 \$8,885,052; FY 07 est \$8,885,052; and FY 08 est not available.

Range and Average of Financial Assistance:

\$7,000,000 to \$10,000,000. (Note: May want to tie this amount to a specific fiscal year since the amount will fluctuate from year-to-year.)

PROGRAM ACCOMPLISHMENTS:

This program has significantly enhanced the ability of federal, State and local agencies to identify, investigate, apprehend, and prosecute white collar criminals. Approximately 1300 enforcement personnel were trained in computer crime subjects and the NWCCC hosted its second annual economic crime summit.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of Justice Programs, Office of the Comptroller, and The Criminal Intelligence Systems Operating Policies (28 CFR 23).

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Program Development Division, Fourth Floor, 810 Seventh Street, N.W., Washington, DC 20531. Contact: David Lewis, Telephone: (202) 616-6500 or (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

National White Collar Crime Center: <http://www.nw3c.org/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

16.614 STATE AND LOCAL ANTI-TERRORISM TRAINING

(SLATT)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Anti-Terrorism and Effective Death Penalty Act of 1996, Section 822;
Consolidated Appropriations Act of 2008, P.L. 110-161.

OBJECTIVES:

To provide delivery of specialized, multi-agency anti-terrorism preparedness training. This training, along with related research, law enforcement intelligence, operational issues development, and technical assistance support activities, is delivered to state and local law enforcement and prosecution authorities. While state and local law enforcement preparation and readiness issues addressed in this project are tailored to interventions in domestic terrorism, major portions of the program's preparedness and operational readiness outcomes are equally applicable to any terrorist threat or incident whether domestically or internationally inspired.

TYPES OF ASSISTANCE:

Training; Dissemination of Technical Information; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Grant program funds are intended to: (1) provide state and local law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and "political" extremist movements; (2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; (3) provide a general planning orientation to State and local law enforcement pertaining to crisis and consequence management and incident command, including essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents; and 4) maintain and enhance a domestic terrorism public source database. Standard restrictions as outlined in OJP's Financial Guide apply.

Applicant Eligibility:

For-profit (commercial) organizations; nonprofit organizations; faith-based and community organizations; institutions of higher education; and consortiums with significant and demonstrated experience in terror prevention strategies and in delivering training and technical assistance to law enforcement and tribal communities are eligible to apply. For-profit organizations must agree to waive any profit or fees for services. Joint applications from a consortium must identify the primary applicant and co-applicant(s), and letters of support that outline the partners' responsibilities must be provided. Faith-Based and other community organizations consistent with President George W. Bush's Executive Order 13279, dated December 12, 2002, and 28 C.F.R. Part 38. It is DOJ's policy that faith-based and other community organizations that statutorily qualify as eligible applicants under DOJ programs are invited and encouraged to apply for assistance awards to fund eligible grant activities. Faith-based and other community organizations will be considered for awards on the same basis as other eligible applicants and, if they receive assistance awards, will be treated on an equal basis with all other grantees in the administration of such awards. No eligible applicant or grantee will be discriminated for or against on the basis of

its religious character or affiliation, religious name, or the religious composition of its board of directors or persons working in the organization. Faith-based organizations receiving DOJ assistance awards retain their independence and do not lose or have to modify their religious identity (e.g., removing religious symbols) to receive assistance awards. DOJ grant funds, however, may not be used to fund any inherently religious activity, such as prayer or worship. Inherently religious activity is permissible, although it cannot occur during an activity funded with DOJ grant funds; rather, such religious activity must be separate in time or place from the DOJ-funded program. Further, participation in such activity by individuals receiving services must be voluntary. Programs funded by DOJ are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion.

Beneficiary Eligibility:

State and local criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

All applicants are required to submit an application for assistance, as well as a detailed program and budget narrative.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her respective State for more information on the process the State requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

The applicant must follow the instructions pertinent to completing the SF-424, and the financial conditions prescribed in the OJP Financial Guide, and submit a fully executed application to the Bureau of Justice Assistance, 810 7th Street, NW., Fourth Floor, Washington, DC 20531. Complete instructions can be found at www.grants.gov. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline. Telephone: 1-800-518-4726.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

The applicant must submit the application at such time as to enable program continuation without interruption, allowing for a 90-day application processing period, and not later than 90 days prior to the end of the current fiscal year.

Range of Approval/Disapproval Time:

Approximately 90 days prior to the expiration of the project's current active grant.

Appeals:

None.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant within 60 days.

Formula and Matching Requirements:

The project is awarded at 100 percent of project costs; no match is required.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months.

Reports:

Reporting requirements for grants awarded under this program are articulated in the OJP Financial Guide.

Audits:

Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds must retain all project related documentation for 3 years from the date of the final financial status report unless an audit has been initiated or an unresolved audit finding remains. This is in accordance with Federal retention requirements to ensure the availability of complete information should an audit be conducted.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$0; FY 08 \$2,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

One cooperative agreement for up to \$4.75 million will be awarded for a project period of 12 months.

PROGRAM ACCOMPLISHMENTS:

The Institute for Intergovernmental Research (IIR) coordinated curriculum development activities, secured appropriate facilities for training delivery, and delivered training through the Center for Task Force Training, SLATT Project. SLATT conducted a total of 50 workshops, training sessions, and focus groups with 7600 line officers and 586 law enforcement executive officers trained.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of Justice Programs, Office of the Comptroller.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Michael Medaris, Senior Policy Advisor. Telephone: (202) 616-6500 or (1-866) 859-2687; or E-mail: AskBJA@usdoj.gov.

Web Site Address:

www.usdoj.gov/bja.

RELATED PROGRAMS:

16.006, County and Municipal Agency Domestic Preparedness Equipment Support Program; 97.004, State Domestic Preparedness Equipment Support Program; 16.577, Emergency Federal Law Enforcement Assistance.

EXAMPLES OF FUNDED PROJECTS:

Anti-terrorist preparedness training, anti-terrorist research, operational issues development, and technical assistance support activities.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.615 PUBLIC SAFETY OFFICERS' EDUCATIONAL ASSISTANCE

(PSOEA)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Educational Assistance to Dependents of Civilian Federal Law Enforcement Officers Killed or Disabled in the Line of Duty, 42 U.S.C. 3796d.

OBJECTIVES:

The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to the spouses and children of public safety officers killed in line of duty. The PSOEA Program also makes assistance available to spouses and children of public safety officers permanently and totally disabled by catastrophic injuries sustained in the line of duty. Under the PSOEA program, the families of state and local police, fire, and emergency public safety officers are covered for line-of-duty deaths that occurred on or after January 1, 1978. The families of Federal law enforcement officers and firefighters are covered for line of duty deaths occurring on or after October 12, 1984. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996. Families of state and local police, fire, and emergency public safety officers are covered for line-of-duty permanent and totally disabling injuries that occurred on or after October 1, 1997. Families of Federal Emergency Management Agency (FEMA) personnel and state, local and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Program funds are intended solely to defray eligible applicants' educational expenses, which may include tuition, fees, room and board, books, supplies, and other expenditures consistent with the educational, professional or vocational objectives of the applicant. Funds are restricted to defray above costs only.

Applicant Eligibility:

Dependents who (1) attend a program of education at an eligible institution; or (2) are the spouse and/or surviving children under the age of 27 of Federal, state and local public safety officers, whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (42 U.S.C. 3796 et seq.) are eligible for this program. A public safety officer is a person serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, firefighter or member of a public rescue squad or ambulance crew.

Beneficiary Eligibility:

The spouse and surviving children of Federal, state and local public safety officers receive the ultimate benefits from this program. The children may receive benefits for classes taken before their twenty-seventh birthday. There is no age restriction for spouses.

Credentials/Documentation:

Documentation needed to apply for assistance includes: the Application for Public Safety Officer's Educational Assistance (42 U.S.C. 3796 d); educational

transcripts; acceptance letters to educational/professional institutions; schedule of classes; verification of full or part-time status; and certification of other forms of educational assistance. Contact the PSOB office. Telephone: (1-888) 744-6513 or E-mail: AskPSOB@usdoj.gov, for full credential/documentation requirements.

Preapplication Coordination:

Claimants may initiate a claim by writing or telephoning the PSOE Program, Benefits Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (1-888) 744-6513 or E-mail: AskPSOB@usdoj.gov. This program is excluded from E.O. 12372 "Intergovernmental Review of Federal Programs."

Application Procedure:

The applicant must submit a fully executed application to the PSOE Program, Benefits Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, claimants are typically awarded funds in 4 month increments for prospective assistance or more if requesting retroactive reimbursement.

Deadlines:

There are no deadlines for applicants to request benefits. Spouses and children of public safety officers (who have been found eligible under the PSOB Program) can, at any time, request retroactive assistance for educational costs. These costs must have been incurred following the officer's death and, for the children, prior to their twenty-seventh birthday. There is no age restriction for officers spouses.

Range of Approval/Disapproval Time:

Dependent on sufficiency of claim documentation.

Appeals:

Applicants may appeal within 30 days of denial notification. The appeal process consists of a record review by an administration hearing officer.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Assistance is available for 45 months of full-time education or training, or for a proportional period of time for a part-time program. Assistance is typically awarded in 9-month installments to coincide with the length of a school semester.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

FY 07 \$4,012,095; FY 08 \$3,980,000; and FY 09 est not available.

Range and Average of Financial Assistance:

For classes taken since October 1, 2002, the rates of assistance are \$600 per month for full-time students. \$510 for three-quarter-time student. \$340 for half-time students and \$170 for less-than-half-time students. The amount of assistance is subject to change consistent with the current computation of educational assistance allowance set forth in Title IV of the Higher Education Act, Section 3532 of Title 38, U.S.C.

PROGRAM ACCOMPLISHMENTS:

PSOE has developed an E-package. The PSOE application can be downloaded from the PSOB website at:
<http://www.ojp.usdoj.gov/BJA/index.html>, or
http://www.ojp.usdoj.gov/BJA/grant/psob/psob_education.html.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Bureau of Justice Assistance's Public Safety Officers' Educational Assistance Program Fact Sheet (August 1999); Federal Register guidelines are available.

Regional or Local Office:

None.

Headquarters Office:

office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Eric Martin, Benefits Specialist. Telephone: (888) 744-6513 or (202) 616-6500, or E-mail: AskPSOB@usdoj.gov.

Web Site Address:

Public Safety Officers' Benefits:
http://www.ojp.usdoj.gov/BJA/grant/psob/psob_main.html.

RELATED PROGRAMS:

16.571, Public Safety Officers' Benefits Program; 16.575, Crime Victim Assistance; 16.576, Crime Victim Compensation; 16.615, Public Safety Officers' Educational Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.616 INDIAN COUNTRY ALCOHOL AND DRUG PREVENTION

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Department of Justice Appropriations Act of 2001, as enacted by Section 1(a) of the District of Columbia Appropriations Act of 2001, Public Law 106-533, and as amended by Section 1(a)(4) of the Consolidated Appropriations Act of 2001, Public Law 106-553.

OBJECTIVES:

To assist tribal governments in the development and implementation of programs to reduce alcohol abuse and crime.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Indian Alcohol and Substance Abuse Program (IASAP) provides funding and technical assistance to federally recognized tribal governments to plan, develop, implement, or enhance tribal justice strategies involving alcohol and crime, as well as substance abuse, as strategies have indicated a correlation between alcohol and substance abuse. No match is required for personnel. However, if a position under IASAP is supported with BJA funds, total costs associated with salary and fringe benefits may not exceed 50 percent of the grant. Funds awarded through this program to the following tribes may not be used for courts or law enforcement officers for a tribe or village, pursuant to Public Law 108-199 S. 112(a)(1): (1) tribes in which fewer than 25 Native members live in the village year round; and (2) tribes that are located within the boundaries of the Fairbanks North Star Borough, the Matanuska Susitna Borough, the Municipality of Anchorage, the Kenai Peninsula Borough, the City and Borough of Juneau, the Sitka Borough, or the Ketchikan Borough.

Applicant Eligibility:

Pursuant to 28 U.S.C. Section 524 note, applicants are limited to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia see 25 U.S.C. Section 450. Intertribal consortia must have and retain written authorization from each member tribe prior to this solicitation's submission deadline and certify the same in the application. Tribes that received funding from the FY 2006 or FY 2007 program ARE NOT ELIGIBLE to apply for a FY 2008 supplemental award.

Beneficiary Eligibility:

Federally recognized Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. Awards through this program will be competitive, based upon the extent and the urgency of the need of each applicant.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to the Bureau of Justice Assistance (BJA).

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadline. Telephone: 1-866-859-2687 or by e-mail at: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 90 days of the receipt of a completed application.

Appeals:

See 28 CFR Part 28 for standards.

Renewals:

Any further grant awards are dependent on further funding and grant performance.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Generally 18 months, however, they could vary depending on program goals and objectives.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are

due quarterly and progress reports are due semi-annually. In some cases, evaluation reports may be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all programs transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$4,936,140; FY 08 \$5,180,000; and FY 09 est not available.

Range and Average of Financial Assistance:

The program announcement will contain information regarding the range and average level of financial assistance. Additional information will be posted on the BJA website (www.ojp.usdoj.gov/BJA) at a later date.

PROGRAM ACCOMPLISHMENTS:

For information on tribal justice strategies and best practices, visit the National Tribal Justice Resource Center's web site at <http://www.tribalresourcecenter.org>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2C, Policies and Procedures for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Robert Brown, Senior Policy Advisor, Telephone: (202) 616-3297 or (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Web Site Address:

Indian Country Alcohol and Drug Prevention Program: <http://www.ojp.usdoj.gov/BJA/grant/indian.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.710 PUBLIC SAFETY PARTNERSHIP AND COMMUNITY POLICING GRANTS

FEDERAL AGENCY:

OFFICE OF COMMUNITY ORIENTED POLICING SERVICES,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3796, as amended; Violent Crime Control and Law Enforcement Act of 1994, Title I, Part Q, Public Law 103-322.

OBJECTIVES:

To advance the practice of community policing as an effective strategy in communities' efforts to improve public safety. COPS grants support innovative programs that respond directly to the existing and emerging needs of state, local, and tribal law enforcement, to shift law enforcements focus to preventing, rather than solely responding to crime and disorder within their communities; develop state-of-the-art training and technical assistance to enhance law enforcement officers problem- solving and community interaction skills, promote collaboration between law enforcement and community members to develop innovative initiatives to prevent crime, and provide responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants provide funding to (1) provide state, local, and tribal law enforcement agencies with grants for equipment, technology, officers, and training that enable law enforcement to build and strengthen their community policing infrastructure, and provided technical assistance to ensure that agencies are

properly and effectively implementing the grant funding; (2) provide training, convening conferences, providing publication products, disseminating best practices, promoting law enforcement and community partnerships, and conducting program evaluations.

Applicant Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Beneficiary Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicant submits application and budget forms as well as other required documentation (including required assurances, certifications, retention information, lobbying, etc.) prescribed by the grantor agency and approved by OMB, as outlined in the Application Kit. Application Kits are available from the grantor agency. At the time of submission, an original and two copies of the application are required with original signatures of duly authorized representatives (usually the Law Enforcement and Government Executive). The COPS Office offers the option of applying for COPS Grants online using the collaborative, multiple-agency E-government initiative Grants.gov. If applicants apply using Grants.gov, the agency is required to complete the mandatory online forms and submit the application through their Authorized Organizational Representative.

Award Procedure:

Upon approval by the Director, Office of Community Oriented Policing Services, award notification letters will be sent to grantees. The grant award must be signed by a duly authorized representative and returned to the designated office within 90 days of the award mail date.

Deadlines:

Application deadlines vary among grant programs and are noted on grant application materials. Contact the grantor agency for specific application deadlines.

Range of Approval/Disapproval Time:

Normally between 4-6 months after receipt of application. Award announcements vary depending on the grant program.

Appeals:

See 28 C.F.R. Part 18.

Renewals:

COPS grants are made for between 1 and 3 years depending on the program. Renewals and/or extensions may be available under some grant programs and will be considered on a case-by-case basis. If available, extensions should be requested not sooner than 90 days prior to the expiration of the original project period.

Formula and Matching Requirements:

Awards can be made for 75 percent of allowable project costs. Innovative grant programs may cover 100 percent of the total project cost, up to a maximum amount as specified in the application, with no local match required. Unless the requirement of a nonfederal share is waived, nonfederal funds must pay the difference. The nonfederal share of hiring grants must increase year-to-year over the grant period.

Length and Time Phasing of Assistance:

COPS grants are made for between 1 and 3 years depending on the program. Assistance is released on a reimbursement or limited advance basis.

Reports:

Annual programmatic reports and quarterly financial status reports will be required as stipulated in the effective edition of the relevant grant owner's manual distributed by the grantor agency. Other reporting requirements, such as a final progress report and a closeout report may also be required depending on the grant program.

Audits:

In accordance with the provisions of OMB Circular No. A-133, as amended, all grantees that expend Federal financial assistance of \$500,000 or more during the fiscal year shall have an audit made for the year. Grantees receiving less than \$500,000 a year in Federal awards are exempt from Federal audit requirements, but records must be retained and available for review by appropriate officials of the Federal grantor agency.

Records:

Financial records, supporting documents, and all other records pertinent to a grant shall be retained for a period of three years following the conclusion of Federal assistance.

Account Identification:

15-0406-0-1-754.

Obligations:

(Grants) FY 07 \$555,445,730; FY 08 est \$4,000,000(President's Budget Request); and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,775 to \$6,000,000; \$556,579.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, COPS received 1,377 applications. A total of 449 grants were awarded. FY 07 awards included the Tribal Resource Grant Program, Methamphetamine Initiative, Technology Program, Secure our Schools program, and Community Policing Development grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Kits and current edition of M7100.1, which are available from Headquarters.

Regional or Local Office:

None.

Headquarters Office:

Office of Community Oriented Policing Services, 1100 Vermont Avenue, N.W., Washington, DC 20530. Telephone: U.S. Department of Justice Response Center: 1 (800) 421-6770, or (202) 307-1480. Direct applications to: COPS Office, 1100 Vermont Avenue, N.W., Washington, DC 20530. Send to the attention of the control desk for the specific program name.

Web Site Address:

<http://usdoj.gov/cops>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Since 1994, funded projects include the hiring of additional officers to engage in community policing under the COPS Phase I, COPS FAST, COPS AHEAD, Distressed Neighborhoods Program, Universal Hiring Program and the COPS in Schools program. Under the COPS MORE grant program awards were made for the acquisition of technology and equipment, the hiring of civilian support resources, and, in the case of MORE '95 only, for the payment of overtime to redeploy in a cost-effective manner, sworn officers to community policing activities. Since 1999, the Tribal Resource Grant Program has provided grants to Federally Recognized Indian tribes to hire additional officers to engage in community policing and to purchase law enforcement equipment and technology. In addition to the above programs, funding for projects that advance community policing, combat the proliferation of methamphetamine, increase the use of interoperable technologies, combat gangs, combat firearms violence, build faith based initiatives, and combat domestic violence have been awarded.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are set forth in the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. Additional criteria may be developed by the Office of Community Oriented Policing Services and will be published in the Federal Register and COPS application materials.

16.726 JUVENILE MENTORING PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Section 288, as amended, Public Law 93-415, as amended; Public Law 94-503, 95-115, 96-509, 98-473, 100-690, and 102-586, and Public Law 110-161, 42 U.S.C. 5667c.

OBJECTIVES:

This program includes three OJJDP youth mentoring programs for FY 2008: OJJDP FY 2008 Latino Youth Mentoring Program, OJJDP FY 2008 National Mentoring Programs, and OJJDP FY 2008 Strengthening Youth Mentoring Through Community Partnerships, which together seek to make grants available across a wide spectrum of communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

FY 06 Mentoring Initiatives for System Involved Youth Solicitation - mentoring programs and strategies designed to meet the needs of youth involved in the juvenile justice system; re-entry youth; and youth in foster care. FY 07 Support for Mentoring Initiatives - the type of guidance or mentoring support includes assisting the guidance recipient with mentoring recruitment strategies and mentor retention, mentor training, mentor matching, developing and implementing evaluation plans, data collection and analysis, developing action plans and strategic plans, cultural competence, developing mentoring collaboratives and coalitions, and educating community members. The purpose of this program is to support organizations to implement initiatives that will

develop new mentoring approaches or strengthen/expand existing mentoring programs. Examples may include support to address new mentoring approaches in underserved communities such as public housing or Native American reservations or special efforts to recruit underutilized groups, i.e., college students, youth groups, associations and fraternal organizations. This initiative also seeks to promote collaboration among community organizations and agencies committed to supporting mentoring services. Applicants will assess, develop, implement, and pilot mentoring strategies, and are encouraged to incorporate best practices based on research and to consider a variety of mentoring approaches.

Applicant Eligibility:

Please see individual solicitations for applicant eligibility.

Beneficiary Eligibility:

States, Territories, and public/private nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-110 for Institutions of Higher Education, Hospitals and Other Nonprofit Organizations.

Preapplication Coordination:

This program is eligible for coverage under E. O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or office designated as the single point of contact in his or her State for more information on the processes the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must submit a proposal to the Office of Justice Programs through the Grants Management System (GMS). The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office of Justice Programs, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Published in program announcements, requests for applications.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants; administrative hearings for assistance award terminations, SCE CFR Pat. 18, 50 FR 28199, July 11, 1985.

Renewals:

None.

Formula and Matching Requirements:

Grants awarded under this part of the JJDP Act do not require a cash match; except for construction projects, where the match is 50 percent on community-based facilities of 20 beds or less.

Length and Time Phasing of Assistance:

Under Title II, Part G of the JJDP Act, awards will be made for three years. Drawdowns are possible under a letter of credit.

Reports:

Demonstration Programs Division grantees are required to submit semi-annual progress and quarterly financial reports.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$70,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Mentoring Initiatives for System Involved Youth - Up to \$1,600,000 was provided to four mentoring partnerships, not to exceed \$400,000 each over a four-year period; \$500,000 for training and technical assistance to support the project sites for a 24-month period; \$500,000 to evaluate the programs and/or strategies implemented by the project sites for a period of 48 months.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2004, no funding was available under this program. In Fiscal Year 2005, OJJDP provided funding to Big Brothers/Big Sisters of America and funded State projects to enhance and expand existing mentoring strategies and/or programs to develop, implement, and pilot test mentoring strategies

and/or programs designed for juvenile justice system involved youth; reentry youth; and youth in foster care. States were required to partner with mentoring organizations and/or other public/private non-profit organizations to develop the mentoring strategies and/or programs targeted for the identified populations and to establish statewide mentoring partnerships. Through this program, States advocated and promoted public policies and legislation that strengthened mentoring for youth involved in the juvenile justice system; youth transitioning from the juvenile justice system to the community; and youth in foster care. Also, States developed statewide systems to track the prevalence of mentoring strategies and/or programs targeted for these youth.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 810 Seventh Street, N.W., Washington, DC 20531. Telephone: (202) 307-5914.

Web Site Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.727 ENFORCING UNDERAGE DRINKING LAWS PROGRAM

FEDERAL AGENCY:

OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION,
OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

The statutory authority for the EUDL Block Grant Program may be found within Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the U. S. Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2008, P.L. 110-161.

OBJECTIVES:

The EUDL Program supports and enhances states' and local jurisdictions' efforts to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. (For the purpose of this solicitation, "minors" are defined as individuals under the age of 21.) Activities under the EUDL Program may include: -Statewide task forces of state and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of state laws governing the sale and consumption of alcohol by minors. -Public advertising programs to educate establishments about statutory prohibitions and sanctions. -Innovative programs to prevent and combat underage drinking.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Enforcing the Underage Drinking Laws (EUDL) Program allocates block grants for every state and the District of Columbia to enforce state laws prohibiting the sale of alcoholic beverages to minors and to prevent the purchase or consumption of alcoholic beverages by minors. From fiscal years (FYs) 1998 to 2008, Congress appropriated \$25 million annually to OJJDP for its EUDL program. In fiscal year 2008, a total of \$25 million will be awarded as block and discretionary grants in the following manner: Funds will be dispersed in the amount of \$350,000 to each State, each Territory, and the District of Columbia in block grants totaling \$19,600,000.00 to support and enhance efforts by States, in cooperation with local jurisdictions, to prohibit the sale of alcoholic beverages to, or consumption of alcoholic beverages by minors. Funds will be dispersed to support discretionary program activities in local jurisdictions, as well as training and technical assistance to all States. To support local discretionary programming activity, funds plan to be competitively awarded to State agencies receiving block grants. The local programs implement the comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of laws pertaining to underage alcohol purchase, possession, and use. For purposes of this initiative, minors are defined as individuals under 21 years of age. These funds cannot be used to supplant existing programs and activities (Supplanting means to deliberately reduce State or local funds because of the existence of Federal funds).

Applicant Eligibility:

To receive a Block Grant, Governors and the Mayor of the District of Columbia designated an agency to serve as the point of contact to apply for, receive, and administer the targeted grant funds. The designated State agencies may apply to receive a Discretionary Grant. Their applications must detail a comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of underage drinking laws and how that approach will be implemented by State-selected local communities. States are encouraged to link with ongoing public and private efforts (including those of foundations and national organizations). Training and technical assistance were made available through a separate application process.

Beneficiary Eligibility:

States are eligible to apply for discretionary funds through a separate application process.

Credentials/Documentation:

Each applicant applying for funds must submit a completed application, including signed assurances that it will comply with administrative requirements.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Applicants must submit completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Each applicant must detail a comprehensive approach to enforcing underage drinking laws and describe how the Federal funds will be used to contribute to the implementation of that comprehensive approach. States are encouraged to link with ongoing public and private efforts (including law enforcement agencies and those of foundations and national organizations). The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award is sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by an authorized official and returned to the Office of Justice Programs. Local units of government will receive Enforcing Underage Drinking Laws funds through the applicant agency subgrant award process.

Deadlines:

Contact the State Relations and Assistance Division, OJJDP. Telephone: (202) 307-5924, and the Demonstration Programs Division OJJDP. Telephone: (202) 307-5914, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved within 30 to 45 days of receipt of a complete application.

Appeals:

Hearings and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

In fiscal year 2007 a total of \$24,817,385 was appropriated and allocated. These funds were disbursed through assistance awards of \$350,000 to each State and the District of Columbia; discretionary funds in the amount of \$6,640,000. For fiscal year 2008, a total of \$19,600,000.00 will be awarded as block grants in the following manner: Funds will be dispersed in the amount of \$350,000 to each State, each Territory, and the District of Columbia to support and enhance EUDL efforts.

Length and Time Phasing of Assistance:

Awards are made for 12 to 36 months.

Reports:

Quarterly financial and semiannual progress reports are required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as described in OJP's Financial Guide, Chapter 19.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, recipients must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$24,680,700; FY 08 \$25,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The Appropriations Act for the Departments of Commerce, Justice and State, the Judiciary, and related agencies for the fiscal year ending September 30, 2007, and for other purposes (Appropriations Act) appropriated \$25 million to the Office of Juvenile Justice and Delinquency Prevention. Block grants of up to \$360,000, less congressional rescissions, were to be made available to States and the District of Columbia to build the following types of approaches into a state strategy: 1) Statewide task forces of State and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of State laws governing the sale and consumption of alcohol by minors; 2) public advertising programs to educate establishments about statutory prohibitions and sanctions; and 3) innovative programs to prevent and combat underage drinking. In addition, up to \$6,640,000 was to support discretionary awards and training and technical assistance to support program activity at the State and local levels.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, 810 7th Street, N.W., Washington, DC 20531. Telephone: (202) 307-5924. For information on the EUDL Block Grant Program, please contact Scott Pestridge, Program Manger with the State Relations and Assistance Division on (202) 514-5655 or via e-mail at scott.pestridge@usdoj.gov. For information on EUDL Discretionary funds or Training and Technical Assistance, please contact Sharie Cantelon, Program Manger for the Demonstration Programs Division on (202) 616-3658 or via e-mail at sharie.cantelon@usdoj.gov.

Web Site Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Training and Technical Assistance Division has the responsibility for administering training and technical assistance projects to support States and units of local government in addressing the four program purpose areas. In fiscal year 2007, a continuation award was made to Pacific Institute for Research (PIRE), the national technical assistance provider.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Enforcing Underage Drinking Laws Program Guideline of FY 2008, as established by the Office of Juvenile Justice and Delinquency Prevention.

16.730 REDUCTION AND PREVENTION OF CHILDREN'S EXPOSURE TO VIOLENCE

(Safe Start)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Law 105-277.

OBJECTIVES:

To develop an initiative to understand, prevent and reduce the impact of family and community violence on young children by helping communities to expand existing partnerships between service providers (such as law enforcement, mental health, health, early childhood education and others) to create a comprehensive service delivery system. To study the efficacy of these service delivery systems, replicate the most effect systems, and study the scope of the problem of children's exposure to violence in the United States.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Applicant selected to receive Safe Start cooperative agreements may use funds to establish and enhance a broad range of local prevention, intervention and treatment services for young children who have been exposed and are at risk of being exposed to violence; develop effective multi-agency protocols; coordinate services to develop a community-wide system for responding to the needs of children exposed or at risk of exposure to violence; study the efficacy of these systems; and study the scope of the problem of children's exposure to violence in the U.S.

Applicant Eligibility:

To be eligible for a Safe Start cooperative agreement, applicants must be public agencies (including state agencies, local units of government, and tribal governments) and/or private organizations who agree to waive any profit or fee.

Beneficiary Eligibility:

Eligible applicants for the Safe Start Demonstration Projects are communities that have formed a strong collaborative group (or shown the ability and commitment to expand coordination with key partners such as courts, law

enforcement, early childhood development and domestic violence agencies, and mental health services) to prevent and address the impact that exposure to violence has on young children. Applicants for the research and evaluation projects are public and private agencies (private, for-profit organizations must agree to waive any profit for fee), organizations, institutions, or individuals that have demonstrated experience in evaluating broad-based community initiatives and whose experience includes the design of studies capable of analyzing process and measuring impact across multiple communities and the development and delivery of evaluation-based training and technical assistance.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, Circular No. A-21 for educational institutions, and Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

OJJDP will determine whether applications for funding be submitted through the Internet using the Office of Justice Programs online Grants Management System (GMS) or through Grants.gov. Applicants are expected to address each concern or requirement in the solicitation as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Cooperative agreements are awarded directly to selected applicants, who are notified of a pending award.

Deadlines:

Published in the program announcement/solicitation for proposals.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

None.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

Grants awarded under the Juvenile Justice and Delinquency Prevention Act do not require a cash match; except for construction projects, where the match is 50 percent on community based facilities of 20 beds or less.

Length and Time Phasing of Assistance:

Awards may be for projects from 12 to 48 months. Specific direction about the length of the projects to be funded is given in the solicitation.

Reports:

Biannual progress reports, performance measure data submission and quarterly financial reports are required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years after the date of the final report.

Account Identification:

15-0404-0-1-754 and 15-0405-0-1-754.

Obligations:

FY 07 \$9,898,000; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

OJJDP's Safe Start Initiative, originally funded through a Congressional set aside of \$10 M annually, promotes practice innovation, research, and evaluation to build knowledge in the emerging area of children's exposure to violence. The purpose of the initiative is to prevent and reduce the impact of violence on children (particularly young children) and their families. The initiative currently encompasses both an original Safe Start demonstration project and the Safe Start Promising Approaches pilot project, and includes several evaluation components such as case level outcomes studies, experimental and quasi-experimental evaluation of the pilot project, and development of a first-ever national incidence and prevalence study on children's exposure to violence. Both the demonstration and pilot programs are designed to create evidence-based practice innovation through expanding current partnerships among service providers in key areas

such as early childhood education, health, mental health, child welfare, family support, substance abuse prevention/intervention, domestic violence/crisis intervention, law enforcement, the courts, and legal services. These projects are aimed at enhancing the accessibility, delivery, and quality of services provided young children who have been exposed to violence or who are at high risk for exposure. In addition, other key initiative components include capacity-building work such as training and technical assistance, publications, information dissemination, and public and professional awareness. Through the Safe Start program, more than 15,000 children have been identified and provided with appropriate services in the aftermath of their exposure to violence. Close to 44,000 children serving professionals have been trained to identify and respond appropriately to these child victims in the Safe Start sites. In addition to the 27 communities directly funded to develop new practices to serve these children, national efforts to increase professional knowledge and specialized training to identify these children and get them critical services to ameliorate the impact of trauma from this exposure to violence in their daily lives. The Safe Start program supports the development of a long-range strategic plan for knowledge building in the area of children's exposure to violence. This plan relies on innovation and an evidence base for research, evaluation, practice, and capacity-building in information dissemination and training on children's exposure to violence (CEV).

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe Start solicitation/guideline is published in the Federal Register and awards are governed by the OJP Financial Guide, which is available upon request. Reports and studies developed through the OJJDP National Institute are available and can be secured by contacting OJJDP in Washington, DC.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Child Protection Division. Telephone: (202) 616-7323.

Web Site Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2001, nine 5-1/2 year demonstration sites and three 2-year program sites continued and completed strategic planning efforts and OJJDP later made awards to an additional two demonstration sites in tribal communities. The National Center for Children Exposed to Violence continues to provide training and technical assistance to Safe Start communities and serves as a clearinghouse for information about violent traumatization and successful approaches to intervention, while further promoting public and professional awareness of the effects of violence on children. In FY05 OJJDP funded the Safe Start Promising Approaches Pilot Sites (SSPA) as the second phase of the Safe Start Initiative. SSPA is a four-year project that will provide funds to communities to implement evidence-based services for children exposed to violence and their families. The National Study on the Incidence and Prevalence of Children's Exposure to Violence is a five-year two-tiered study that will collect national data on the children's exposure to violence to inform the field about the types of exposure and the intensity, frequency and chronicity of such exposure. These numbers will provide the first robust comprehensive numbers on children's exposure to violence as well as providing a tool for local communities to collect their own local data.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.731 TRIBAL YOUTH PROGRAM

(TYP)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act, Public Law 105-277.

OBJECTIVES:

To support and enhance tribal efforts for comprehensive delinquency prevention, control, and juvenile justice system improvement for Native American youth.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

To reduce, control, and prevent crime both by and against tribal youth; to provide interventions for court-involved tribal youth; to improve tribal juvenile

justice systems; and to provide prevention programs focusing on alcohol and drugs.

Applicant Eligibility:

Applications are only invited from Federally recognized tribes and Alaskan Native villages, however, tribes and villages may partner with others as applicable. If partnering with others, a Memorandum of Understanding (MOU) should be submitted with the application. Additionally, one tribe must be chosen as the primary agency for funding and reporting purposes. Only tribal governments and tribal organizations that have a tribal resolution sharing the endorsement of the tribal government are eligible to apply. A tribal resolution must be included with the application.

Beneficiary Eligibility:

All Federally recognized tribes and Alaskan Native villages.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

OJP requires that applications for funding be submitted through OJP's Grants Management System (GMS). Access the system at <https://grmgs.ojp.usdoj.gov>. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award are sent to the applicant tribe upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Consult the Application Kit or contact the Demonstration Programs Division, Office of Juvenile Justice and Delinquency Prevention in the Office of Justice Programs for application deadlines. Telephone: (202) 307-5911 or 5914.

Range of Approval/Disapproval Time:

Applications will generally be approved within 45-60 days of receipt of a complete application.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for 36 months.

Reports:

Quarterly financial and semiannually progress reports are required.

Audits:

All tribes that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than thirteen (13) months after the close of each fiscal year during the term of the award (for fiscal years beginning on/after July 1, 1998, audit report packages are due nine (9) months after the close of the fiscal year).

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$9,872,280; FY 08 \$14,100,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Tribal Youth Program: In fiscal year 2004, OJJDP awarded TYP grants totaling \$108.7 million to 3929 American Indian and Alaskan Native tribal communities to develop programs to prevent and control youth violence and substance abuse. Awards ranged from \$300,000 to \$500,000 and were based on the size of the population the tribe serves. A broad spectrum of geographically diverse tribal communities responded to the TYP solicitation, an indication that OJJDP is achieving its goal of working with tribes and communities of various population sizes and geographic locations. OJJDP also noted a marked increase in the number of applications from Alaska and in the number of first-time applicants for TYP funds, another indication that the Office's outreach efforts are succeeding. Tribal Youth Mental Health Initiative: OJJDP is also supporting a mental health program, which will provide diagnostic and treatment services to tribal youth involved in juvenile justice systems. The four objectives of this program are the same as those of the Tribal Youth Program discussed above, except that each objective must have a specific mental health and juvenile

justice focus. OJJDP awarded grants under this program to nine American Indian and Alaskan Native tribal communities. The grants totaled nearly \$1 million in awards up to \$300,000. Research Projects: OJJDP also supports a number of research and evaluation activities through the Tribal Youth Program. Based on suggestions and feedback from numerous meetings and focus groups with Indian practitioners and researchers, OJJDP established three principles to guide the TYP research and evaluation activities it funds. First, the research should provide practical results that are useful to the parties who are the focus of the research. Second, the projects should include local community members in decision making and in implementing the project. Third, researchers must understand and be sensitive to local customs, traditions, value, and history. OJJDP's TYP research activities, which will provide empirical evidence about juvenile justice and delinquency prevention policies and practice for American Indian and Alaska Native youth.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 810 7th Street, N.W., Washington, DC 20531. Telephone: (202) 307-5924. Contact: Laura Ansera, Program Manager, State and Tribal Assistance Division. Telephone: (202) 307-5924.

Web Site Address:

<http://www.ojp.usdoj.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Suquamish Tribe, Washington is addressing substance abuse related delinquency among Indian youth through a prevention program targeting young people ages 10 to 21. The program consists of after school activities focusing on teaching youth leadership skills, communication skills, and traditional survival skills. The program includes cultural activities and opportunities for youth to become involved with the community. The goal of the activities and skills building is to improve young people's ability to avoid involvement with drugs and alcohol and delinquent behavior, and to strengthen youth, family, and community interactions. s implementing a graduated sanctions program for youth convicted of underage drinking and curfew violations. The program is administered through the joint effort of the Tribal Court and the Menominee Office of Family Preservation and Support. Juveniles are referred to the program by the Tribal Court. The program seeks to reduce recidivism amongst juveniles that enter the program. The program includes alcohol abuse education classes to teach juveniles about the danger, both physically and spiritually, that alcohol can cause. The program also provides drug and alcohol abuse assessment and treatment along with a community service component. Muscogee (Creek) Nation proposes to establish a Juvenile Delinquency Prevention and Services Program to provide prevention services to impact risk factors for delinquency and improve its juvenile justice system. The Nation's proposed project will promote delinquency prevention by providing intervention and education, resource, and support activities to tribal youth identified as at-risk; provide advocacy to tribal youth entering the state juvenile justice system; and develop and implement juvenile probation services. During the three year project period, the Nation will provide the following: delinquency prevention services, including individual/family needs assessment, service plan development, and individual/family home-based counseling, to at least seventy-five 12-17; advocacy to at least thirty (30) youth under the age of 17 entering the State of Oklahoma juvenile justice system; and probation services, including home visits, community service, and case management, to between 10-15 youth under the age of 17, who are identified as delinquent by the Nation's District Court. The Cheyenne and Arapaho Tribes of Oklahoma intend to develop a program that will reduce the high incidence of violence among the Tribal Youth that reside within the eight county service area through a community-based approach. The project will be developed in order to prevent the widespread use of alcohol and drugs through the use of education awareness, recreational activities, and tradition/cultural activities by developing on-site classes and on-site activities in the four target communities during the summer months for the tribal youth, ages 6 - 17. The other objective of this grant shall be to reduce the high incidence of school truancy, the high percentage rate of dropouts from the local school system through increased awareness of the importance of a formal education from the local school districts. The project will work with the whole family unit by providing a Mentor within the communities that will strive to increase the self-esteem of the Cheyenne and Arapaho students, and to reduce the incidence of child neglect, teen pregnancy, through the use of Parenting Skills Training and Sex Education classes. The Southern Ute Indian Tribe in Colorado is attempting to reduce delinquency and substance abuse among at-risk and court-involved Native American youth. Using FY04 Tribal Youth Program funds, the Southern Ute Tribe is supporting the collaborative efforts of two tribal government departments (the Southern Ute Social Services Division and the Southern Ute Tribal Court) and a tribally affiliated nonprofit (Youth Services Division of

SouthernUteCommunity Action Programs, Inc.) to address juvenile delinquency on multiple fronts. The program, which serves approximately 85 juveniles a year (ages 8 to 17), includes a variety of elements such as an evidence-based parenting program which aims to improve the communication, problem solving, and life skills of at-risk youth and their parents, and a Teen Court which allows Native American youth to participate in the sentencing portion of certain juvenile misdemeanor and felony cases. In addition, the Teen Court is developing its sentencing procedures to use sentencing circles, a process that engages youth in meaningful interactions with defendants and is design d t increase their connection to the community. The Swinomish Indian Tribal Community of Washington is a relatively small rural community, gripped by significant drug and alcohol abuse. Recognizing the importance of stemming the tide of youth substance abuse and delinquent behavior, the Swinomish Tribal Community has established a team of tribal court and social services personnel to plan and implement the Swinomish Youth Wellness Court (SYWC). The focus is to improve the tribal juvenile justice system and provide alcohol and drug abuse prevention programs. The expected target population for the SYWC is youths between the ages of 12 and 17. There are currently 64 tribal members within the target age and 228 members under the age of 18. It is anticipated that the SYWC will provide services to 10 to 15 clients yearly when fully operational.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated and rated by a modified peer review process according to prescribed selection criteria.

16.734 SPECIAL DATA COLLECTIONS AND STATISTICAL STUDIES

(Statistics)

FEDERAL AGENCY:

BUREAU OF JUSTICE STATISTICS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3735.

OBJECTIVES:

To produce official national statistics on crime and the administration of justice to be used to guide Federal, State, and local policy-making and improve the quality of and access to information used for decision making.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of BJS's statistical programs including: to conduct data collection and processing activities; carry out statistical and methodological research; provide technical assistance to State, local, and tribal governments to develop their capabilities to produce justice statistics; and provide dissemination and clearinghouse services to data users.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372. excluded from coverage under E.O. 12372.

Application Procedure:

The Office of Justice Programs (OJP)now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

Awards are made by OJP and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Not applicable.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

There are no statutory requirements for matching funds. requirements for matching funds.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee. generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee.

Reports:

Reporting requirements include: Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS; and Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for the reporting periods June 30 and December 31. containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS; and Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for the reporting periods June 30 and December 31.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133. 133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report. Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) Not separately identified.

Range and Average of Financial Assistance:

\$50,000 to \$600,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide. Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Lisa Price-Greer, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3561. Email: price@ojp.usdoj.gov. Use the same number for FTS. Statistics, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3561. Email: price@ojp.usdoj.gov. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations. program announcements or solicitations.

16.735 PROTECTING INMATES AND SAFEGUARDING COMMUNITIES DISCRETIONARY GRANT PROGRAM

(Prison Rape Elimination)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Prison Rape Elimination Act of 2003.

OBJECTIVES:

To make grants to States and to assist those States in ensuring that budgetary circumstances (such as reduced State and local spending on prisons) do not compromise efforts to protect male and female inmates in adult and juvenile correctional facilities from prison rape as well as safeguarding the communities to which inmates return.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

This program is divided into two parts: Protecting Inmates and Safeguarding Communities. Protecting Inmates focuses on providing funding to State and local agencies for the costs of personnel, training, technical assistance, data collection, and equipment necessary for the proper prevention, investigation, and prosecution of prison rape and the provision of victim service and treatment. Safeguarding Communities focuses on training and technical assistance to states on moderating the growth of prison populations without compromising public safety; developing and utilizing analyses of prison populations and risk assessment instruments to educate State and local governments' understanding of risks to the community of inmate reentry; preparing and updating maps that provide on a community-by-community basis a roster of returning offenders; promoting collaborative efforts with State and local governments to understand and address the risks involved when a large number of inmates reenter a community; and developing policies and programs that reduce spending on prisons by effectively reducing rates of parole and probation revocation without compromising public safety. Grant awards of up to \$1,000,000 will be made, with a grant period not to exceed 24 months.

Applicant Eligibility:

Eligible applicants are States which are interested in: (1) protecting inmates by undertaking efforts to more effectively prevent, investigate, and prosecute prison rape incidents and providing the appropriate victim services and treatment, and (2) safeguarding communities through various mediums such as intergovernmental collaboration, assessment, training, and technical assistance. Applicants in varying stages of developing a prison rape initiative are eligible and encouraged to apply. Applicants must coordinate their proposal with other stakeholders in their State to ensure a collaborative response to this solicitation and ensure that agencies within a single jurisdiction are not competing against one another in the grant process. The Chief Executive of the State shall submit one application which designates which State agency will manage the grant. (Note: The Chief Executive must certify that his/her State has adopted, or will consider adopting, the national prison rape standards.) States are encouraged to make sub-awards to local jurisdictions (e.g., jails, lock-ups, detention centers) for such purposes consistent with this solicitation.

Beneficiary Eligibility:

Eligible applicants are States.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes: (1) program goals; (2) implementation process; (3) timetable for implementation; and (4) documentation that includes organizational experience, name of grantee agency or fiscal agent, identification of management structure, letters of support/commitment and/or memoranda of understanding, and description of previous collaboration.

Preapplication Coordination:

Applicants must coordinate their proposal with other stakeholders in their State to ensure a collaborative response to this solicitation and ensure that agencies within a single jurisdiction are not competing against one another in the grant process. The Chief Executive of the State shall submit one application which designates which state agency will manage the grant. (Note: The Chief Executive must certify that his/her state has adopted, or will consider adopting, the national prison rape standards.) States may make sub-awards to local jurisdictions (e.g., jails, lock-ups, detention centers) for such purposes consistent with this solicitation. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit a completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Bureau of Justice Assistance. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Applications must be submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be

signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadline at (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

24 months.

Reports:

Recipients are required to submit Individual Project Reports as sub-awards are made, quarterly Financial Reports, and an annual Evaluation Report.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$17,942,869; FY 08 \$17,860,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Elizabeth Griffith, Assoc. Deputy Director, Telephone: (202) 616-6500.

Web Site Address:

Protecting Inmates and Safeguarding Communities:
<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored based upon ability to clearly articulate strategy in the following areas: Budget Applicants must provide a budget that is complete, allowable, and cost effective in relation to the activities to be undertaken. Program Narrative Project Abstract Applicants must briefly describe in 200 words or less the project's purpose, goals and objectives, and summarize the activities that will be implemented to achieve the goals and objectives, as well as the infrastructure and collaboration necessary to manage the proposed activities. Problem To Be Addressed -- Applicants must demonstrate an understanding of the problem; discuss historical and current efforts for addressing the problem; provide statistical evidence of the problem; illustrate what the applicant is proposing to do and how the applicant intends to do it; and provide qualitative and quantitative data to evaluate outcomes. Goals and Objectives Applicants must identify the progressive steps to be taken in order to accomplish the goal; identify how the agency's purpose area goal will enhance or expand an existing strategy; and include how achievement of the enhancement of expansion will be measured. Project Design/Strategy Applicants must provide a strategy that discusses the program's concept, identifies the program's target population(s) and target area(s), and explains how this strategy will achieve the project's goals and objectives. Management and Organizational Capability.

16.736 TRANSITIONAL HOUSING ASSISTANCE FOR VICTIMS OF DOMESTIC VIOLENCE, DATING VIOLENCE, STALKING, OR SEXUAL ASSAULT

(Transitional Housing)

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN, DEPARTMENT OF JUSTICE
AUTHORIZATION:

Section 611 of the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (PROTECT Act), Public Law 108-21, 42 U.S.C. 13975, as amended; The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title VI, Section 602, Public Law 109-162.

OBJECTIVES:

To provide transitional housing assistance and related support services to minors, adults, and their dependents who are homeless, or in need of transitional housing or other housing assistance, as a result of fleeing a situation of domestic violence, dating violence, sexual assault, or stalking; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are available to provide transitional housing, including funding for the operating expenses of newly developed or existing transitional housing; short term housing assistance, including rental or utilities payment assistance and assistance with related expenses, such as payment of security deposits and other costs incidental to relocation to transitional housing; and support services designed to enable a minor, an adult, or a dependant of such minor or adult, who is fleeing a situation of domestic violence, dating violence, sexual assault, or stalking to locate and secure permanent housing and integrate into a community by providing that minor, adult, or dependant, with services, such as transportation, counseling, child care, case management, employment counseling, and other assistance. Participation in the support services must be voluntary. Receipt of the benefits of the housing assistance shall not be conditioned upon the participation of the youth, adults, or dependents in any or all of the support services offered them. Housing assistance is limited to 24 months with a possible extension for an additional 6 months if the client has made a good faith effort to acquire permanent housing and has been unable to acquire permanent housing.

Applicant Eligibility:

Eligible applicants are States, Indian tribal governments, units of local government, and other organizations, including domestic violence and sexual assault victim service providers, domestic violence and sexual assault coalitions, other nonprofit, nongovernmental organizations, or community-based and culturally specific organizations, that have a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking

Beneficiary Eligibility:

Beneficiaries include minors, adults, and their dependants who are homeless or in need of transitional housing or other housing assistance, as a result of fleeing a situation of domestic violence; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

Credentials/Documentation:

Applicants must provide assurances that supportive services offered to participants are voluntary and that refusal to receive such services shall not be grounds for termination from the program or eviction from the housing.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program.

Application Procedure:

Applicants must apply through the on-line grants.gov portal.. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the Office on Violence Against Women for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal grant dollars by contributing to the costs of their projects. Supplemental contributions may be cash, in-kind services, or a combination of both.

Length and Time Phasing of Assistance:

Up to 36 months. Funds are released on an as-needed basis to the grantee.

Reports:

Semi-annual progress and quarterly financial reports are required, as stipulated in the effective edition of the Office of Justice Programs Financial Guide. Upon completion of the grant period, grantees shall file a performance report explaining the activities carried out and including an assessment of the effectiveness of those activities in achieving the purposes of the program.

Audits:

Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources, including pass-through sub-awards) in the organization's fiscal year shall have a single organization-wide audit conducted in accordance with the provisions of OMB Circular A-133. The audit report is due to the Federal Audit Clearinghouse not later than nine months after the end of the recipient's fiscal year.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

FY 07 \$12,898,534; FY 08 est \$14,271,762; and FY 09 est \$9,990,223.

Range and Average of Financial Assistance:

\$150,000 to \$475,000; \$246,065.

PROGRAM ACCOMPLISHMENTS:

FY 07: Applications: 215, Awards: 51; FY 08: Applications: 112, Awards: 58; FY 09: Applications: 112, Awards: 41.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office on Violence Against Women, Department of Justice, 800 K Street, NW., Washington, DC 20530. Telephone: (202) 307-6026.

Web Site Address:

<http://www.ovw.usdoj.gov>.

RELATED PROGRAMS:

16.588, Violence Against Women Formula Grants; 16.587, Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589, Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.590, Grants to Encourage Arrest Policies and Enforcement of Protection Orders.

EXAMPLES OF FUNDED PROJECTS:

Examples include rental subsidies for victims or direct provision of housing, counseling, child care, job training and readiness programs, and life skills training programs.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.737 GANG RESISTANCE EDUCATION AND TRAINING

(G.R.E.A.T.)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

The Consolidated Appropriations Act 2004, Public Law 108-109.

OBJECTIVES:

To help prevent youth crime, violence and gang association while developing a positive relationship among law enforcement, families, and our young people, to create safer communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Law enforcement agencies can only use the funds provided to administer the G.R.E.A.T. Program. This includes providing G.R.E.A.T. training for law enforcement officers and the purchasing of materials and supplies for the implementation and ongoing operation of the program.

Applicant Eligibility:

All State, county, tribal and municipal units of general purpose government and law enforcement agencies and other special purpose law enforcement agencies (i.e., independent school districts) in the United States and U.S. Territories.

Beneficiary Eligibility:

All State, county, tribal and municipal governments, law enforcement agencies and schools in the United States and U.S. Territories.

Credentialed/Documentation:

Jurisdiction or agency head must enter into the award agreement with the Office of Justice Programs (OJP).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted by the Chief Executive Officer of a jurisdiction, or formal designee or law enforcement agency head, via the Internet-based Office of Justice Programs (OJP) Grants Management System (GMS) at www.ojp.usdoj.gov. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about the OJP GMS, call the OJP GMS Hotline. Telephone: 1-888-549-9901; or access the BJA home page at www.ojp.usdoj.gov/BJA. For general information about the G.R.E.A.T. Program, contact the U.S. Department of Justice. Telephone: 1-866-859-2687 or by email at AskGreat@usdoj.gov.

Award Procedure:

Awards are issued by the Assistant Attorney General, Office of Justice Programs via the Internet-based Grants Management System (GMS). Grantees will be notified of the availability of the award via e-mail. The award must be accepted by the jurisdiction or agency head, with assurance of compliance with standard and special conditions of the grant award. To accept the award, grantees must print the award document from the GMS. The award must be accepted (signed) by applicant jurisdiction or agency head or his/her official designee and faxed to the Office of the Comptroller Control Desk.

Deadlines:

Applications must be received on or before each fiscal year's posted deadline. Application period dates are always posted on the Bureau of Justice Assistance web page at www.ojp.usdoj.gov/BJA.

Range of Approval/Disapproval Time:

Grant awards and denials are generally made within 90 days of application submission.

Appeals:

None.

Renewals:

All applicants must apply annually for funds.

Formula and Matching Requirements:

The Federal share of a grant-funded project may not exceed 90 percent of the total costs of the project. The 10 percent matching funds must be in the form of a cash match.

Length and Time Phasing of Assistance:

Grant awards are issued for 12 or 18 months. Grantees may request extensions, not to exceed 12 additional months.

Reports:

Applicants are required to submit a quarterly Financial Status report and semi-annual Categorical Assistance Progress Report.

Audits:

Program/project reviews will be conducted by Department of Justice personnel, as needed, to ensure correct usage of funds and compliance with program requirements. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Agencies are required to maintain a system of standardized records for inspection or disclosure.

Account Identification:

15-0405-0-1-754.

Obligations:

FY 07 \$24,680,700; FY 08 \$18,800,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Level I: Up to \$125,000. Agencies implementing the G.R.E.A.T. middle school component or the G.R.E.A.T. middle school component and up to two other components. Level II: Up to \$150,000. Agencies implementing the G.R.E.A.T. middle school component and the three other components or are collaborative efforts between at least three contiguous governmental subdivisions and/or municipal and county agencies (e.g., multiple precincts, county and city governments, school police departments and police/sheriff departments, or police departments and prosecutors or parole offices).

PROGRAM ACCOMPLISHMENTS:

As of July 29, 2004, 7,027 officers from 5,485 agencies, representing 50 States, D.C., Puerto Rico, Canada, and military personnel from overseas bases have been trained to present the core curriculum in elementary and middle school classrooms. Almost 3.9 million children have been trained with the G.R.E.A.T. curriculum. For fiscal year 2002, more than 364,000 and in fiscal year 2003 nearly 307,000 children received G.R.E.A.T. certificates for completing the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Funding guidelines and criteria are published annually in the National Federal Register. Information on the program can be obtained by contacting the G.R.E.A.T. Program Headquarters office. A cross-sectional evaluation of the

program can be found in the National Institute of Justice Research in Brief, November 1997 issue, "National Evaluation of G.R.E.A.T." by Finn-Aage Esbensen and D. Wayne Osgood.

Regional or Local Office:

The G.R.E.A.T. Program currently has five regional offices that are each run by a local law enforcement agency. The offices and contacts are: Southeast Region, Lieutenant Brett Meade, Orange County Sheriff's Office, 2500 W. Colonial Drive - 2nd Floor., Orlando, Florida 32804. Telephone: (407) 254-7369; Northeast Region, Sergeant Steve Naughton, Philadelphia Police Department, Community Relations Division, 1328 Race Street - 2nd Floor., Philadelphia, Pennsylvania 19107. Telephone: (215) 686-1477; Midwest Region, Lieutenant Raj Ramnarace, La Crosse Police Department, 400 La Crosse Street, La Crosse, Wisconsin 54601. Telephone: (608) 789-8202; Southwest Region, Sergeant Wayne Bensfield, Phoenix Police Department, 620 West Washington Street, Phoenix, Arizona 85003. Telephone: (602) 495-0432; Northwest Region, Sergeant George Weatheroy, Portland Police Bureau, 449 NE Emerson, Portland, Oregon 97211. Telephone: (503) 823-2111.

Headquarters Office:

Contact Linda Hammond-Deckard, Bureau of Justice Assistance - Policy Office; 810 Seventh Street, NW; 4th Floor; Washington, DC 20531. Telephone: (202) 616-6500 or 1-866-859-2687 or by email at AskGreat@usdoj.gov.

Web Site Address:

Bureau of Justice Assistance, GREAT:
<http://www.ojp.usdoj.gov/BJA/grant/great.html> GREAT On-Line:
<http://www.great-online.org/> GREAT Officer Training: <http://www.great-nline.org/greatofficertraining.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The City of Sylvester, Georgia Police Department will engage 1,000 students in the classroom and provide them with the G.R.E.A.T. core curriculum. The funds will also allow the Sylvester, Georgia Police Department to sponsor a Summer G.R.E.A.T. program for at-risk youth. The City of Flagstaff Police Department will operate its 6th annual G.R.E.A.T. Summer Camp, involving more than 80 youth.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated on a variety of criteria in order to determine funding, including: the number of children to which the applicant teaches the G.R.E.A.T. Program, other programs applicants have in place that could reinforce the program goals and the applicant's participation in the national administration of the program are all taken into consideration. Additional considerations include community population size, scope of gang-related issues in the community, and applicant's past performance. During each funding period, due consideration will be given to funding new applicants whose applications meet the program criteria.

16.738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Part E of title I of the Omnibus Crime Control and Safe Streets Act of 1968 as amended Subpart 1 of such part (42 U.S.C. 3751-3759). Consolidated Appropriations Act, 2008, Public Law 110-161. Public Law 109-162, Title XI, Department of Justice Reauthorization, Subtitle B, Improving the Department of Justice's Grant Programs, Chapter 1, Assisting Law Enforcement and Criminal Justice Agencies, Section 1111. Merger of Byrne Grant Program and Local Law Enforcement Block Grant Program.

OBJECTIVES:

To provide States and units of local government with funds to provide additional personnel, equipment, supplies, contractual support, training, technical assistance, and information systems for criminal justice.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

All States, the District of Columbia, Guam, America Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible consistent with established guidelines.

Beneficiary Eligibility:

States, Territories, and units of local government.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements

specified in the program guidance. Costs will be determined in accordance with 2 CFR, Part 225 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their State for more information on the process the State requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28CFR, Part 66 must be used with this program.

Application Procedure:

Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Bureau of Justice Assistance. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Applications must be submitted within 90 days of the signing of the Appropriation by the President, or as otherwise specified by the Bureau of Justice Assistance.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance shall not finally disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity to correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18 Code of Federal Regulations.

Renewals:

Are subject to annual appropriations.

Formula and Matching Requirements:

The JAG formula includes a State allocation consisting of a minimum base allocation with the remaining amount determined on a population and Part 1 violent crime statistics, and a direct allocation to units of local government. Once the State allocation is calculated, 60 percent of the funding is awarded to the State and 40 percent to the eligible units of local government. State allocations also have a required "variable pass through to units of local governments, as calculated by the Bureau of Justice Statistics (BJS) from each State's crime expenditures. There is no match required at the Federal level. However matching is an effective strategy for states and local units of government to expand justice funds and build buy-in for local criminal justice initiatives.

Length and Time Phasing of Assistance:

The period of formula grant awards under this program is 4 years.

Reports:

Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit in accordance with OMB Circular No. A-133, as described in Chapter 19 of the Office of Justice Programs Financial Guide, and as required by award special conditions.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$525,171,000; FY 08 \$170,433,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$10,000 to \$37,000,000.

PROGRAM ACCOMPLISHMENTS:

See program web site <http://www.ojp.usdoj.gov/BJA/index.html> or contact the State Administering Agency <http://www.ojp.usdoj.gov/saa/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide, the Bureau of Justice Assistance Justice Assistance Grant Program Guidance Manual.

Regional or Local Office:

Contact the State Administering Agency <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, 4th Floor, State Policy Office, 810 Seventh Street N.W., Washington, DC 20531; Eileen Garry Deputy Director, Telephone: (202) 616-6500 or (1-866) 859-2687 or by E-mail:

AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

16.592, Local Law Enforcement Block Grant Program; 16.579: Edward Byrne Memorial Formula Grant Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.739 NATIONAL PRISON RAPE STATISTICS PROGRAM

FEDERAL AGENCY:

BUREAU OF JUSTICE STATISTICS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3732, and Prison Rape Elimination Act, Public Law 108-79.

OBJECTIVES:

To collect and analyze data on the incidence of sexual assault among individuals held in Federal and State prisons, local jails, and juvenile facilities as well as former inmates.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of the Implementation of the National Prison Rape Statistics program including: to conduct data collection and processing activities, and carry out statistical and methodological research.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Lisa Price-Grear, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3561 or E-mail: lisa.price-grear@usdoj.gov. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

None.

Deadlines:

Contact the Headquarters Office, Lisa Price-Grear, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3561. E-mail: lisa.price-grear@usdoj.gov.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Hearing by Director.

Renewals:

Most awards are for periods of 12 - 18 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Project duration period is generally 12-18 months. Assistance is released by "direct disbursement" at request of grantee.

Reports:

Reporting requirements include: Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS; and Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for the reporting periods June 30 and December 31.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations,"

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for a least three years following the closure of the most recent audit report.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$14,808,420; FY 08 \$2,488,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$1,000,000 to \$3,500,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, BJS continues to work to fully implement the data collection and reporting requirements under the Prison Rape Elimination Act of 2003. Between April and August 2007, BJS completed the first National Inmate Survey (NIS), using Audio Computer-Assisted Self Interview methods, of 146 State and Federal prisons. A total of 23,398 inmates participated in the survey. Survey procedures and questionnaires for the National Survey of Youth in Custody (NSYC) have been tested and results were presented to juvenile administrators and other stakeholders on August 28, 2007. National implementation is expected in June 2008. When fully implemented, the NSYC will include about 15,000 adjudicated youth in a sample of 208 State operated facilities and 48 large non-State facilities. In early 2008 national implementation began of the Former Prisoner Survey (FPS). The survey will include about 16,500 former inmates in a sample of 285 parole offices. BJS has also begun work on the National Prison Rape Surveillance Project, which is a study using medical indicators and medical surveillance methodologies to measure the incidence and prevalence of sexual assault in correctional facilities. Latest data can be found in the BJS reports, Sexual Victimization in State and Federal Prisons as Reported by Inmates, 2007 (NCJ 219414) and Sexual Violence Reported by Correctional Authorities, 2006 (NCJ 218914).

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Ms. Lisa Price-Grear, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531. Telephone: (202) 616-3561. E-mail: lisa.price-grear@usdoj.gov. Use the same number for FTS.

Web Site Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

16.735, Protecting Inmates and Safeguarding Communities Discretionary Grant Program.

EXAMPLES OF FUNDED PROJECTS:

National Prisoner Survey of Sexual Assault; National Survey of Sexual Assault Reported by Former Prisoners; National Prison Rape Surveillance Project; National Survey of Youths in Custody.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations.

16.740 STATEWIDE AUTOMATED VICTIM INFORMATION NOTIFICATION (SAVIN) PROGRAM

(SAVIN)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

The purpose of the SAVIN grant program is to assist States in building, implementing or improving their statewide automated victim notification system, thereby enabling them to provide critical information to victims in near-real time and to build a nationwide information sharing capability.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Technology improvement programs. SAVIN grantees are required to use the National Information Exchange Model (NIEM) (www.niem.gov), follow associated SAVIN implementation guidelines, and adopt the guidelines as part of their SAVIN program planning.

Applicant Eligibility:

Qualified agencies of State governments or other agencies authorized by State governments are eligible to apply for grant funds to establish new statewide automated victim notification programs in their States, or to expand the

functionality of existing statewide automated victim notification programs. American Indian Tribes and Alaska Native Tribes and/or Tribal Organizations: All tribal applications must be accompanied by a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal council or comparable government body. If the grant will benefit more than one tribal entity, a current authorizing resolution or other enactment of the tribal council or comparable government body from each tribal entity must be included. If the grant application is being submitted on behalf of a tribal entity, a letter or similar document authorizing the inclusion of the tribal entity named in the application must be included.

Beneficiary Eligibility:

States, Territories and Tribal governments.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 must be used with this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted to BJA following the criteria set out in this solicitation, as well as in the Office of Justice Programs Financial Guide.

Award Procedure:

A letter and copies of the grant award are sent to the applicant agency upon approval by the Assistant Attorney General. One copy of the grant award must be signed by the grantee's authorized official and returned to the Office of Justice Programs.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for application deadline at (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance shall not finally disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity to correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18, Code of Federal Regulations.

Renewals:

Renewals are subject to annual appropriations.

Formula and Matching Requirements:

Congress has mandated that Federal funds provided under the SAVIN program "require a 50 percent match from State, local or private sources." For each Federal dollar awarded, the managing agency must provide \$0.50 towards the planning, implementation, operation and/or assessment of the project from state, local or private sources. Matching contributions may be a cash match, an 'in-kind' match, or a combination of the two. For the purpose of this grant, cash match contributions consist of cash funds contributed to the planning, implementation, operation and/or assessment of the SAVIN project. For the purpose of this grant, in-kind matching contributions consist of indirect expenditures in support of the SAVIN project, including the cost of state/local program staffing, facilities, communication infrastructure, and equipment used in the planning, implementation, operation, management or assessment of the program.

Length and Time Phasing of Assistance:

BJA will award funding to deploy and operate the program for 24 months from the date of award.

Reports:

Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide.

Audits:

All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit in accordance with OMB Circular No. A-133, as described in Chapter 19 of the Office of Justice Programs Financial Guide. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$8,885,052; FY 08 \$34,780,000; and FY 09 est not available.

Range and Average of Financial Assistance:

BJA will determine the number of awards based on available resources, the number of submissions received, criteria set forth in this grant announcement.

PROGRAM ACCOMPLISHMENTS:

BJA combined the funding available in FY 2005 (\$7,393,000) and FY 2006 (\$8,965,825) for an open solicitation for applications. BJA selected 16 statewide systems for award, with \$3,372,964 carried over and made available in FY 2007. BJA also established the SAVIN Guidelines and Standards Advisory Committee and published the SAVIN Guidelines and Standards document in October 2006 for planning, managing and operating a successful SAVIN system.

The SAVIN Guidelines and Standards document also includes a set of technology standards to ensure newly developed systems conform to national criminal justice information sharing standards. In FY 2007, the \$8,829,239 in new funding combined with carry-over for a total of \$12,202,203 in available funding. BJA issued a competitive solicitation in FY 2007 and selected 11 new awards and 4 supplemental awards for system enhancements. The FY 2007 awards brought the total number of statewide SAVIN systems to 27. BJA in partnership with the Integrated Justice Information Systems (IJIS) Institute held the first annual SAVIN conference in April, 2007, and brought together two member teams from 42 states to share progress and highlight innovative strategies for system design and implementation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Michael Dever, Policy Advisor, 810 7th Street, N.W., 4th Floor, Washington, DC., 20531; Telephone (202) 616-6500 or (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.741 FORENSIC DNA BACKLOG REDUCTION PROGRAM

FEDERAL AGENCY:

NATIONAL INSTITUTE OF JUSTICE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Department of Justice Appropriations Act, 2005, Public Law 108-447, 118 Stat. 2809, 2853, 2865 (2004).

OBJECTIVES:

The goal of NIJ's Forensic DNA Backlog Reduction Program for Fiscal Year (FY) 2008 is to assist eligible States and units of local government to reduce forensic DNA case turnaround time, increase the throughput of public DNA laboratories, and reduce DNA forensic casework backlogs. These improvements are critical to preventing future DNA backlogs and to helping the criminal justice system use the full potential of DNA technology.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Expenditures from Forensic DNA Backlog Reduction Program awards may include the following: (1) Salary and benefits of additional laboratory employees: Funds may be used to hire additional full-time or part-time laboratory employees who will be directly engaged in handling, screening, and/or analyzing forensic evidence that may contain DNA, or in validating new DNA analysis technologies. Matching funds are not required. NIJ makes no assurance that funds will be available for this purpose in future award announcements. (2) Overtime for existing laboratory staff: Funds may be used to pay overtime for existing laboratory employees who will be directly engaged in handling, screening, and analyzing forensic evidence that may contain DNA, or in validating new DNA analysis technologies. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <http://www.ojp.usdoj.gov/finguide06>. (3) Training: Funds may be used for appropriate training of DNA laboratory personnel. - Existing members of the DNA unit: Appropriate training may include internal or external training, appropriate continuing education/training opportunities, and/or applicable graduate-level coursework that is directly related to the applicant's forensic DNA laboratory operation and is for members of the DNA Unit. (Reasonable travel expenses directly associated with training may be paid in accordance with the provisions of the OJP Financial Guide.) Funds associated with internal or external training, appropriate continuing education/training opportunities, and/or applicable graduate-level coursework for existing members of the DNA Unit (including travel expenses) are limited to no more than 5

percent of the total award. Such funds will be awarded with the intended purpose of aiding existing members of the DNA Unit to meet the continuing educational requirements mandated in DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. - New members of the DNA Unit: States and units of local government that wish to use funds to train new employees to handle, screen or analyze DNA evidence should submit a detailed plan for training these individuals with their proposal. There is no fixed percentage cap on the funds for this purpose. (4) Travel (Limited): Funds may be used for travel to public or private accredited laboratories that will conduct DNA analyses to review procedures and practices prior to initial sample shipment, and to make one additional unannounced site visit. Funds may also be used for travel associated with DNA training as described above. Travel expenses must be reasonable and must comply with the applicable provisions of the OJP Financial Guide. (5) Equipment: Funds may be used for upgrading, replacing, and purchasing laboratory equipment, instrumentation, and computer hardware or software (e.g., LIMS or expert systems) for forensic DNA analyses and data management. (6) Supplies for Validation: Allowable supply expenses include the purchase of laboratory supplies that can be directly attributed to the validation of new DNA analysis technologies. Convicted offender-related evidence collection kits may also be purchased. (7) Supplies for in-house laboratory handling, screening, and analysis of forensic DNA casework samples: Funds may be used for costs associated with in-house laboratory handling, screening, and analysis of forensic DNA casework samples. These costs include supply expenses (to include any supplies necessary for the handling, screening, and analysis of backlogged forensic casework samples in addition to supplies discussed above) directly attributable to forensic DNA casework. (8) Renovation: Funds may be used for renovations to existing DNA laboratory facilities if it can be demonstrated that the purchases will directly and specifically improve the efficiency of the forensic DNA laboratory. Expenses that may be allowable include the purchase or upgrade of benches, cabinets, interior dividing walls, plumbing, HVAC systems, electrical wiring, evidence examination and preparation rooms, evidence storage rooms, drying rooms, walk-in freezers, and extraction or amplification rooms. (9) Consultant and contractor services other than sending forensic DNA casework samples to accredited fee-for-service laboratories for analysis: Funds may be used to hire consultants and/or temporary contract staff to handle, screen, and analyze forensic evidence that may contain DNA or to validate new DNA analysis technologies. Funds may also be used to hire consultants or contractors to assist laboratories with the installation of LIMS or expert systems, or with the implementation of DNA process-improvement measures. (10) Sending forensic DNA casework samples to public or private accredited forensic DNA laboratories for analyses: Funds may be used to send samples to accredited fee-for-service laboratories to conduct DNA analyses. Funds may also be used to enter into agreements with accredited government-owned laboratories to conduct DNA analyses, perform data review, enter eligible forensic DNA profiles into CODIS, and, where applicable, upload to NDIS. If an eligible applicant chooses to send samples to an external accredited forensic DNA laboratory, the applicant will be responsible for ensuring that applicable NDIS Data Acceptance Standards are met for all profiles uploaded to NDIS. Administrative expenses: Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct and indirect administrative expenses specifically related to grant administration and management.

Applicant Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) Accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., or (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

Beneficiary Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) Accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., or (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

Credentials/Documentation:

The applicant must furnish a copy of their current accreditation certificate.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements, the research priorities of the Institute, and application and selection procedures. The standard application form as furnished by the Federal agency, in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Applicants must contact their

State Point of Contact (SPOC) to determine if the program has been selected for review by the State. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The date that the application was sent to the SPOC or the reason such submission is not required must be included in the application. A copy of the application must also be submitted to the State office that administers the Edward Byrne Memorial State and Local Law Enforcement Assistance Formula Grant Program.

Application Procedure:

Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications will be reviewed by the Program Office. All final decisions will be made by the NIJ Director.

Deadlines:

There is normally one deadline each year, usually in the Spring. The deadline varies based on when program appropriations is passed into law. Throughout the fiscal year, NIJ releases multiple announcements for research and technology development funding. Program deadline will be included in the announcements on FedGrants at www.fedgrants.gov, on NIJ's website at www.ojp.usdoj.gov/nij/funding.htm, and on the Office of Justice Programs website at www.ojp.usdoj.gov/fundopps.htm.

Range of Approval/Disapproval Time:

Approximately 90 days from application deadline.

Appeals:

Hearing by the NIJ Director.

Renewals:

None.

Formula and Matching Requirements:

Grant funds shall not be used to pay salaries and/or benefits of existing State or local personnel. Applicants, however, may include the cost of hiring new laboratory personnel directly associated with the project.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 18 months.

Reports:

Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project; program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Other reports may be requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofits Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$44,249,591; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Forensic DNA Backlog Reduction Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Department of Justice, 810 7th Street, N.W.,

Washington, DC 20531. Telephone: (202) 307-2942. FTS number is (202) 307-3942. For assistance with the requirements of this solicitation, contact Senior Program Manager: Mark Nelson; Telephone: (202) 616-1960 or Mark.S.Nelson@usdoj.gov, or Program Manager: Minh Nguyen; Telephone: (202) 305-2664 or Minh.Nguyen@usdoj.gov. This application must be submitted through OJP's online Grants Management System (GMS). For technical assistance with submitting the application, call the Grants Management System Customer Support Hotline at (1-888) 514-9901.

Web Site Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All eligible State and units of local government with existing crime laboratories conducting DNA analysis that are accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., and undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

16.742 PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM

FEDERAL AGENCY:

NATIONAL INSTITUTE OF JUSTICE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Paul Coverdell National Forensic Sciences Improvement Act of 2000, Public Law 106-561; as amended by Public Law 107-273; as amended by the Justice for All Act of 2004, Public Law 108-405.

OBJECTIVES:

To improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants may be used to improve the quality and timeliness of forensic science and medical examiner services, including services provided by laboratories operated by States and those operated by units of local government. Among other purposes, funds may be used to eliminate backlogs in the analysis of general forensic evidence, or to train and employ forensic laboratory personnel to eliminate such backlogs. State Administering Agencies and units of local government may apply directly to NIJ for funding. Funds may be used for personnel, computerization, laboratory equipment, supplies, accreditation, education, training, and certification, facilities' a formula, found in the funding announcement, determines the limit to the amount of funding that can be used for facilities, and administration expenses (not to exceed 10 percent). Funds may not be used for expenses other than those listed above.

Applicant Eligibility:

Coverdell State Administering Agencies and units of local government may apply directly to NIJ for funding. All applicants for Coverdell grants - whether States or units of local government - must have developed a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services, and must specifically describe the manner in which grant funds will be used to carry out that plan. States are expected to consider the needs of laboratories operated by units of local government as well as those operated by the State. Any forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant must use generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies. Each applicant for a Coverdell grant must be able to certify with respect to any forensic laboratory system, medical examiner's office, coroner's office, law enforcement storage facility, or medical facility that will receive any portion of the grant that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results.

Beneficiary Eligibility:

In accordance with the Paul Coverdell National Forensic Sciences Improvement Act of 2000, Public Law 106-561, amended by Public Law 107-273, and the amendments in the Justice for All Act, Public Law 108-405, eligible applicants must be State or local (i.e., county and municipal) governments or combinations thereof. The purpose of this solicitation is to request applications for grants from

State and local (i.e., county and municipal) governments to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes in State and local forensic laboratories.

Credentials/Documentation:

The applicant must furnish the following along with the application for a grant: a certification that states there exists a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services; a certification that states each forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant uses generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies; a certification that states that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results, and resumes of key personnel. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements and application and selection procedures. The standard application form as furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Applicants must contact their State Administering Agency to determine if the program has been selected for review by the State. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The date that the application was sent to the State Administering Agency or the reason such submission is not required must be included in the application. A. Base Funds for States: Seventy-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). To be eligible, a State must satisfy the specific application requirements outlined in this announcement and all other general requirements for NIJ and OJP grants. Applications for State base funding must be submitted by the Coverdell State Administering Agency listed on the Office of Justice Programs web site at:

<http://www.ojp.usdoj.gov/state.htm>. B. Competitive Funds for States and Units of Local Government: Twenty-five percent of the available funds will be allocated among States and units of local government through a competitive process. Units of local government that provide forensic science or medical examiner services (whether through a forensic science laboratory, medical examiner's office, or coroner's office) may apply directly to NIJ for competitive funds. Coverdell State Administering Agencies may apply for competitive funds for forensic sciences improvements above and beyond those it can accomplish with its base funds. State applications for competitive funding will only be accepted from Coverdell State Administering Agencies. Other State agencies or departments who wish to apply for competitive funds must coordinate with their respective State Administering Agency.

Application Procedure:

Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll-free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at 1 (888) 549-9901.

Award Procedure:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this program. The NIJ Director makes the final award decision.

Deadlines:

There is normally one deadline each year, usually in the Spring. The deadline varies based on when program appropriations are passed into law. Program deadline will be included in the announcements on FedGrants at www.fedgrants.gov, on NIJ's website at www.ojp.usdoj.gov/nij/funding.htm, and on the Office of Justice Programs website at www.ojp.usdoj.gov/fundopps.htm.

Range of Approval/Disapproval Time:

It generally takes 3 to 6 months after the closing date of the announcement to receive Coverdell funding.

Appeals:

Hearing by the NIJ Director.

Renewals:

None.

Formula and Matching Requirements:

Seventy-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population. Twenty-five percent of the available funds will be allocated among States and units of local government through a competitive process. There are no matching requirements.

Length and Time Phasing of Assistance:

In general, NIJ will limit grants under the Coverdell program to a maximum of 12 months.

Reports:

Each State and unit of local government that receives funding under the Coverdell program must submit semiannual progress reports and quarterly financial status reports for the life of the award. Fiscal reports consist of quarterly expenditures and budget expenditure reports; the final financial report gives costs and expenditures of the complete project. In addition, Coverdell grantees must submit a final report that must include a summary and assessment of the program carried out with the fiscal year grant funds and must cite the specific improvements in the quality and/or timeliness of forensic science and medical examiner services (including any reduction in forensic analysis backlog) that occurred as a direct result of the grant award. Other reports may be requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter. Each State or local unit of government within the State that receives funding under this program must maintain adequate records relating to the receipt and use of the total grant amount. The Attorney General and the Comptroller General of the United States (or designees thereof) will have access to these records for audit and examination purposes.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$18,263,718; FY 08 \$18,800,000; and FY 09 est. not available.

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

For fiscal years (2006 and 2007), the Paul Coverdell Forensic Sciences Improvement Grant program provided assistance to State and local crime laboratories and medical examiner/coroner's offices to improve the quality and timeliness of forensic science and medical examiner services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Coverdell grant announcement may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, DC 20531. Telephone: (202) 307-2942. FTS number is (202) 307-2942.

Web Site Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Arizona Criminal Justice Commission \$207,068 (base), State of Connecticut - \$79,312 (base), Georgia Criminal Justice Coordinating Council - \$275,550 (base), Maryland Governor's Office of Crime Control and Prevention - \$125,430, North Dakota - \$95,318 (formula), State of Texas - \$503,611 (base), Erie County, New York - \$54,510, Town of Fishers Police Department, Indiana - \$13,994, City of Quincy Massachusetts - \$78,783, County of Allegheny Pennsylvania - \$70,000. To obtain information about additional projects funding through this program, potential applicants may contact the National Institute of Justice by addressing correspondence to National Institute of Justice, Office of Science and Technology, 810 7th Street, N.W., Washington, DC, 20531; Telephone: (202) 307-2942.

CRITERIA FOR SELECTING PROPOSALS:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer

review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this solicitation. The NIJ Director makes the final award decision.

16.744 ANTI-GANG INITIATIVE

(Anti-Gang Initiative)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Law 110-5, embedded Sections 101-104; Public Law 109-108, 119 Stat. 2290, 2302.

OBJECTIVES:

To provide support for new and expanded anti-gang enforcement and prevention activities under the Project Safe Neighborhood Initiative (PSN).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to support anti-gang enforcement and prevention activities as specified in the authorization legislation. Generally, funds may be used to provide additional personnel and equipment for widespread prevention, apprehension, prosecution and adjudication of persons who violate Federal, State, and local laws relating to violent criminal gang activity. Federal funds must be used to supplement existing funds for program activities and cannot replace, or supplant, nonfederal funds that have been appropriated for the same purpose. For additional unallowable costs, please refer to the OJP, Office of the Comptroller's Financial Guide.

Applicant Eligibility:

The PSN or gang task force must identify the fiscal agent for the district, which then must be certified by the U.S. Attorney's Office. Eligible fiscal agent applicants include states, units of local government, educational institutions, faith-based and other community organizations, and private nonprofit organizations. Sub-recipient eligibility is determined by the PSN Task Force Selection Subcommittee in each of the 94 USA Districts. Each District's chosen fiscal agent submits the application to BJA.

Beneficiary Eligibility:

State and units of local governments.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically.

Preapplication Coordination:

Interested parties should contact their local United States Attorney's Office. This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used with this program.

Application Procedure:

Applications are submitted to BJA following the criteria set out in the Anti-Gang Initiative Program Guidance.

Award Procedure:

None.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, at 1 (866) 859-2687 or by E-mail: at AskBJA@usdoj.gov. Website: <http://www.ojp.usdoj.gov/BJA/grant/psn.html>.

Range of Approval/Disapproval Time:

Not available.

Appeals:

See 28 CFR Part 18.

Renewals:

None.

Formula and Matching Requirements:

There is no match requirement for this grant program. Each USAO District will receive a formula-based award based on the district population and crime problem, including gang crime. Applicants must submit a program narrative, budget detail worksheet, and a U.S. Attorney certification letter identifying the fiscal agent. USAO Districts may use up to 10 percent of their approved award

for costs associated with administering the grant funds.

Length and Time Phasing of Assistance:

Each award will be for a period of up to 36 months.

Reports:

Grant recipients will be required to submit online quarterly financial (Standard Form 269A) reports and semi-annual progress reports, as required by the OJP financial guide.

Audits:

Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Grantee must keep complete records on the disposition of funds, and adhere to the Federal Retention Requirements Act.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$45,000,000; FY 08 est not available; and FY 09 est. not available.

Range and Average of Financial Assistance:

Each Federal judicial district will be eligible to receive allocation based on a formula that consists of the districts population and crime statistics, including gang crime. FY 2007 Anti-Gang Initiative funding totaled \$49 million. Funding amounts ranged from \$54,987 to \$1,691,216.

PROGRAM ACCOMPLISHMENTS:

Please see: <http://www.psn.gov/press/News.aspx?nt=p>.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide and H.R. 2862.

Regional or Local Office:

Los Angeles, Tampa, Cleveland, Dallas/Ft. Worth, Milwaukee, and the "222 Corridor" Easton to Lancaster, Pennsylvania, near Philadelphia, PA.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, Washington DC 20531. Contact: Jonathan Faley, Division Chief. Telephone: (202) 514-2350 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

Bureau of Justice Assistance: www.ojp.usdoj.gov/bja, Project Safe Neighborhood: <http://www.psn.gov/>.

RELATED PROGRAMS:

16.609, Community Prosecution and Project Safe Neighborhoods.

EXAMPLES OF FUNDED PROJECTS:

Multi-Jurisdictional Drug/Gang Enforcement Task Forces, Community Policing, Training and Technical Assistance Projects.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.745 CRIMINAL AND JUVENILE JUSTICE AND MENTAL HEALTH COLLABORATION PROGRAM

(Criminal & Juvenile Justice And Mental Health Collaboration Program)

FEDERAL AGENCY:

BUREAU OF JUSTICE ASSISTANCE, OFFICE OF JUSTICE PROGRAMS, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Law 108-414, Mentally Ill Offender Treatment and Crime Reduction Act.

OBJECTIVES:

To increase public safety by facilitating collaboration among the criminal justice, juvenile justice, mental health treatment, and substance abuse systems to increase access to treatment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding for planning grants can be used to support efforts to develop a comprehensive plan which targets preliminarily qualified offenders to promote public safety and public health. Implementation funding can be used to support the following activities: Mental Health Courts and Diversion/Alternative

Prosecution and Sentencing Programs - Funds may be used to create or expand existing mental health courts or other court-based programs, or diversion and alternative prosecution and sentencing programs (including crisis intervention teams and treatment accountability services). Training - Funds may be used to create or expand programs such as crisis intervention training, which offer specialized training to criminal justice system personnel to identify and respond to the unique needs of people with mental illness or to mental health system personnel to respond to the treatment needs of mentally ill offenders. Service Delivery - Funds may be used to create or expand programs that promote public safety by providing services, including housing. In-Jail and Transitional Services - Funds may be used to create or expand mental health and support programs and services that promote public safety. There are limitations on funding certain activities, including construction funds. See also the OJP financial guide for other funding prohibitions.

Applicant Eligibility:

Applicants are limited to States, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must include a mental health agency as well as a unit of government with responsibility for criminal justice activities.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

All applications will be reviewed the program office. The Assistant Attorney General, Office of Justice Programs, US Department of Justice makes the final award decision.

Deadlines:

There is normally one deadline each year, usually in the Spring. The deadline varies based on when program appropriations is passed into law. The Program deadline will be included in the announcements on FedGrants at www.fedgrants.gov, on NIJ's website at <http://www.ojp.usdoj.gov/BJA/funding/current-opp.html>, and on the Office of Justice Programs website at <http://www.ojp.usdoj.gov/funding/>.

Range of Approval/Disapproval Time:

Approximately 90 days from application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

A minimum 20 percent match is required; federal funding for this project may not exceed 80 percent of the total project costs. Match can include cash and in-kind sources.

Length and Time Phasing of Assistance:

None.

Reports:

Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project; program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Other reports may be requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofits Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Records and accounts concerning the expenditure of grants funds and grantee contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$4,936,140; FY 08 \$6,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the BJA's plans,

priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

None. New program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Criminal and Juvenile Justice and Mental Health Collaboration Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at <http://www.ojp.usdoj.gov/BJA/funding/index.html>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Office of Justice Programs, 810 Seventh St, NW., Washington, DC 20531. Telephone: (202) 616-6500 or by email at AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/BJA>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are defined specifically in the solicitation, including stating the need for the program, the strategy proposed, management plan and capacity to implement, program assessment, evaluation and sustainment and the budget.

16.746 CAPITAL CASE LITIGATION

(CCL)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. Section 14163; 42 U.S.C. Section 14163a.

OBJECTIVES:

To provide attorneys and judges who litigate death penalty cases, with specialized legal training and technical assistance on death penalty issues in an effort to improve the quality of representation, produce reliable jury verdicts and minimize post conviction litigation.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Priority will be given to States that currently enforce their death penalty statutes. Funding under this initiative cannot be used for training on the appellate process. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

Limited to State-based agencies or organizations and units of local government that serve defense counsel, prosecutors and judges; and are located in States that currently enforce their death penalty statutes. American Indian Tribes and Alaska Native Tribes and/or Tribal Organizations: All tribal applications must be accompanied by a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal counsel or comparable government body. If the grant will benefit more than one tribal entity, a current authorizing resolution or other enactment of the tribal counsel or comparable government body from each tribal entity must be included. If the grant application is being submitted on behalf of a tribal entity, a letter or similar document authorizing the inclusion of the tribal entity named in the application must be included.

Beneficiary Eligibility:

Defense counsel, prosecutors and judges in the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands that currently enforce their death penalty statutes.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all Federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically.

Preapplication Coordination:

No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. This program is excluded from coverage under E.O. 12372 "Intergovernmental Review of Federal Programs."

Application Procedure:

Applications must be submitted through the online, Internet-based Grants

Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, at (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov. Website: <http://www.ojp.usdoj.gov/BJA/index.html>. Consult the Application Kit or contact the Bureau of Justice Assistance in the Office of Justice Programs for application deadline.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

None.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date.

Reports:

Recipients are required to submit quarterly Financial Reports, and semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws.

Audits:

Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Records In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$987,228; FY 08 \$2,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Applicant can request up to \$50,000.00. The maximum project period is 18 months.

PROGRAM ACCOMPLISHMENTS:

In preparation for this initiative, the National Judicial College (NJC), National District Attorneys Association (NDAA), the National Legal Aid and Defenders Association (NLADA) respectively, outlined a strategy defining their constituents' (judges, prosecutors, and defense counsel) need for training and technical assistance. Each group conducted gap analysis, compiled current resources and developed a training curriculum that was piloted at a national level. The developed curriculum has been adapted to include relevant state statutes that will be delivered by State agencies. NDAA conducted pilot trainings in AR and FL; NJC conducted pilot trainings in AR, VA, NC, TX and PA; and NLADA conducted pilot trainings in CA, TX, SC, PA, and IL. The defenders had approximately 34-36 participants per training, the judges had approximately 30 participants per training, and the prosecutors trained 53 students in Florida and 39 in Arkansas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/>. OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Kim Norris, JD., Senior Policy Advisor, Bureau of Justice Assistance, State Policy Office, 810 Seventh Street N.W., Washington, DC 20531. Telephone: (202)616-6500 or (1-866) 859-2687. E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/BJA/grant/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.747 CRIME VICTIMS' RIGHTS ACT

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, OFFICE FOR VICTIMS OF CRIME,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

42 U.S.C. 10603d(d)(4).

OBJECTIVES:

The purpose of the FY 2007 project is to enhance and establish crime victim legal clinics to ensure enforcement of victims' rights. It will also place a social worker/community liaison within each clinic for outreach to victims and other participants in the criminal justice system. The clinics will establish and implement education strategies for participants in the criminal justice system. The agreements will support the enforcement of victims' rights at the federal, state and tribal level. The specific objectives for FY 2008 funding have not been established.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

With the funding provided in FY 07, grantees will establish victim legal clinics that provide direct legal representation to victims of crime in criminal court, as well as support services to those victims. Organizations and agencies can apply for funding to establish one legal clinic or to provide subgrants to multiple organizations that will establish such clinics. Organizations that provide subgrant funding to several legal clinics also will provide the subgrantees with intensive technical assistance. Funding recipients also must provide education and training to criminal justice professionals on victims rights. Specific criteria for FY 08 funding have not been determined.

Applicant Eligibility:

Eligible applicants for FY 07 funding are public agencies, colleges and universities, state governments, and private, nonprofit organizations, including faith-based organizations, that can demonstrate capability to carry out all activities required by the funded project. Eligibility for FY 08 funding has not been determined.

Beneficiary Eligibility:

The immediate beneficiaries are individual victims of crime, as well as the general public. Because victims' rights will be enforced in criminal court, the rights of future victims of crime are more likely to be recognized in the criminal justice system.

Credentials/Documentation:

Applications for this program must be on Standard Form 424 at a time specified by the Office for Victims of Crime, Office Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective addition of OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of Federal Laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program.

Award Procedure:

This award will be made on a non-competitive basis. The application will be assessed based on established criteria and will be forwarded to the Director of the Office for Victims of Crime. The Assistant Attorney General, Office of Justice Programs has the final approval authority.

Deadlines:

Please contact the program office for application deadline.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 12-18 months.

Reports:

Quarterly financial reports and semi-annual progress reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required.

Audits:

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$1,974,456; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

FY 07 three awards (\$1,600,000; \$184,693; \$185,024).

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 307-5983.

Web Site Address:

<http://www.udoj.gov/ovc>.

RELATED PROGRAMS:

16.582, Crime Victim Assistance/Discretionary Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by the OVC Point-of-Contact for the project, using the following criteria: Problem(s) to be Addressed and Goals and Objectives, Project Design/Implementation Plan, Organizational Capability, Plans for Measuring Progress and Outcomes, and Budget and Budget Narrative. The problem statement must provide a strong rationale for the project and clearly describe how the proposed project will be of value to the victims' field by meeting a stated goal. The goals and objectives must be clearly specified and related directly to the problem statement. The goal(s) should state the overall purpose of what is to be accomplished. If the application is for continuation funding, these new goals and objectives should be addressed within the context of what the project has already accomplished. The program strategy/methodology must include sufficient detail so that the OVC Point-of-Contact or other reviewers can understand what will be accomplished, how it will be accomplished, and who will accomplish it. All proposed tasks should be presented in a way that allows a reviewer to see the logical progression of tasks and to be able to relate the tasks directly to the accomplishment of the project goal(s) and objectives. Projected activities should be realistic and reflect the time, staff, and funding allocated to the project. A clear picture of the contents or components of the product or training is important as well as a detailed plan for packaging and disseminating the product to user groups. Detailed procedures for pilot testing and refining the products should be included if applicable. Applicants must demonstrate how their resources, capabilities, and experience will enable them to achieve the goals and objectives. Organizational capability will be assessed on the basis of (1) the applicant's described management structure, financial capability, and if the application is for continuation funding, the results of current grant efforts; and (2) the applicant's project management plan and documentation of the professional staff member's unique qualifications to perform their assigned tasks. Applicants must describe their plan for measuring project progress and success. All applications must contain a plan for evaluating the accomplishment of project goal(s) and objectives. They must describe how data will be collected to report on the performance measures established for this solicitation, and they must describe how the evaluation data will be gathered, analyzed and the resources that are being committed for this purpose. Finally, the OVC Point-of-Contact will examine the identified project tasks, milestones, and assignment of staff resources within the framework of the proposed budget. They must demonstrate that there is sufficient staff and time to accomplish the proposed tasks in a cost-effective manner. Applicants must show cost effective and efficient use of grant

resources, demonstrating that all grant-related expenses are necessary for project completion. All identified costs should accurately reflect the tasks, staff time, supplies, and travel necessary to accomplish the grant-related work, if applicable.

16.748 CONVICTED OFFENDER AND/OR ARRESTEE DNA BACKLOG REDUCTION PROGRAM (IN-HOUSE ANALYSIS AND DATA REVIEW)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF JUSTICE, OFFICE OF JUSTICE PROGRAMS,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

For FY 06, Science, State, Justice, Commerce, and Related Agencies
Appropriations Act, 2006, Public Law 109-108. FY 07 authorization as yet
undetermined.

OBJECTIVES:

The objective of this program is to accelerate the analysis of convicted offender and/or arrestee DNA samples collected by States pursuant to applicable law for databasing purposes (hereinafter, "DNA database samples"), in order to provide timely Combined DNA Index System (CODIS)- compatible data for all 13 CODIS core STR loci for State and national DNA databases. Funds are to be used by a State's designated existing and accredited DNA database laboratory to reduce the backlog of DNA database samples in one of three ways: a) Through in-house analysis. The DNA database laboratory will determine what its backlog is and can apply for \$35.00 per sample to be analyzed. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for an additional \$5.00 per DNA profile generated in-house for a total of \$40.00 per sample tested in-house. b) Through sending samples to be tested by accredited fee-for-service laboratories. Funding requests for this option are to be calculated in exactly the same fashion as for the in-house testing option above. c) Through data review of sample profiles generated by an accredited fee-for-service laboratory. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for an additional \$5.00 per DNA profile generated. All profiles obtained from DNA database samples with funding provided under this announcement must be entered in the Combined DNA Index System (CODIS) within 90 days of completion of analysis and uploaded to the National DNA Index System (NDIS). Laboratories that receive funds from awards made under this announcement for sample testing and review must track and report the number of DNA database samples analyzed and/or reviewed under this fiscal year (FY) 2008 program and the number of resulting CODIS hits.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

All expenditures from DNA Backlog Reduction Program Awards must directly relate to analysis and data review of Convicted Offender and /or Arrestee DNA database samples by the State's designated DNA database laboratory or to data review profiles generated by an accredited-fee for service laboratory from such samples. Types of expenditures from awards under this program for in-house testing may include the following: Overtime - funds may be used for overtime of in-house laboratory staff directly engaged in (a) handling and analyzing DNA database samples, including handling and analyzing quality assurance samples, and (b) data review of profiles generated by an accredited fee-for-service vendor from DNA database samples that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS; Salary and Benefits of Additional Laboratory Employees - funds may be used to hire additional full-time or part-time laboratory employees who will be directly engaged in the handling or analysis of DNA database samples, or the data review of DNA profiles generated from such samples (including profiles generated by accredited fee-for-service vendors); Consultant and Contractor Services for Data Review - Funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service vendor from DNA database samples that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS; Laboratory and Computer Equipment for the DNA database laboratory - funds may be used for upgrading, replacing, and purchasing laboratory equipment, instrumentation, and computer hardware or software (e.g., expert systems and Laboratory Information Management Systems) for use in the DNA database laboratory; Certain Supplies - funds may be used to acquire commercially available PCR kits accepted by NDIS, and other laboratory supplies for analysis of DNA database samples, including analysis of quality assurance samples; Renovations - funds may be used for the renovation of facilities that will directly and specifically improve the effectiveness or efficiency of the DNA database laboratory operation; and Administrative Expenses - up to 3 percent of the Federal portion of the award may be used for administrative and grant management expenses directly related to the performance of the project. Types of expenditures from awards under this program for sending samples out for analysis by an accredited fee-for-service laboratory may include the following: O review of DNA database profiles

generated by an accredited fee-for-service laboratory. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <http://www.ojp.usdoj.gov/financialguide/>. Contracts. Funds may be used to establish a contract for testing DNA Database samples with an accredited fee-for-service laboratory. Agencies will follow their own procurement procedures for establishing these contracts. Any sole source requests in excess of \$100,000 must be submitted and approved through GMS as required in the OJP Financial Guide. Contracts may only be established under this solicitation with accredited fee-for-service laboratories that are on the GSA Blanket Purchase Agreement list for Convicted Offender DNA Backlog Reduction Program (Outsourcing) used by the OJP Contract Office. Consultant and Contractor Services for Data Review. Funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service laboratory that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS. Consultants or contract laboratory personnel must meet all NDIS requirements for the review of DNA data. Administrative Expenses. Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to grant administration and management.

Applicant Eligibility:

Eligible applicants are States with a designated existing crime laboratory that conducts analysis of DNA database samples, provided the designated DNA database laboratory meets all of the following requirements: The laboratory is accredited by a nonprofit professional association of persons actively involved in forensic science that is nationally recognized within the forensic science community. If the laboratory requests assistance with analysis of samples in-house, then the laboratory must possess sufficient in-house DNA analysis capacity to analyze at least 50 percent of its annual receipt of DNA database samples, or a minimum of 5,000 DNA database samples per month. The laboratory is a participant in the National DNA Index System (NDIS). The laboratory undergoes external audits, not less than once every 2 years, to demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. For purposes of this announcement, the term "State" includes the District of Columbia and the Commonwealth of Puerto Rico. For those States that use a laboratory operated by a unit of local government for analysis of the State's DNA database samples, the application must be submitted by the unit of local government having oversight of the DNA database program.

Beneficiary Eligibility:

Eligible applicants are States with a designated existing crime laboratory that conducts analysis of DNA database samples, provided the designated DNA database laboratory meets all of the following requirements: The laboratory is accredited by a nonprofit professional association of persons actively involved in forensic science that is nationally recognized within the forensic science community. If the laboratory requests assistance with analysis of samples in-house, then the laboratory must possess sufficient in-house DNA analysis capacity to analyze at least 50 percent of its annual receipt of DNA database samples, or a minimum of 5,000 DNA database samples per month. The laboratory is a participant in the National DNA Index System (NDIS). The laboratory undergoes external audits, not less than once every 2 years, to demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. For purposes of this announcement, the term "State" includes the District of Columbia and the Commonwealth of Puerto Rico. For those States that use a laboratory operated by a unit of local government for analysis of the State's DNA database samples, the application must be submitted by the unit of local government having oversight of the DNA database program.

Credentials/Documentation:

Applicants must submit a copy of the accreditation certificate of the State's designated DNA database laboratory.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements, the research priorities of National Institute of Justice (NIJ), and application and selection procedures.

Indicating Environmental Impact Statement: An environmental impact statement may be required for this program, depending upon the purpose for which funding under this program is awarded. Identifying Programs Pertaining to Executive Order 12372, "Intergovernmental Review of Federal Programs": This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy at; Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at 1-888-549-9901.

Award Procedure:

All applications will be reviewed by the program office. The NIJ Director makes all final decisions.

Deadlines:

There is normally one deadline each year, usually in the Spring. The deadline varies based on when program appropriation is passed into law. The Program deadline will be included in the announcements on FedGrants at www.fedgrants.gov, on NIJ's website at www.ojp.usdoj.gov/nij/funding.htm, and on the Office of Justice Programs website at www.ojp.usdoj.gov/fundopps.htm.

Range of Approval/Disapproval Time:

Approximately 90 days from application deadline.

Appeals:

Hearing by the NIJ Director.

Renewals:

None.

Formula and Matching Requirements:

There is no State or local match required under this program. However, while funds may be used to hire consultants and/or temporary contract staff to conduct data review of profiles generated by an accredited fee-for-service vendor, NIJ makes no assurance that funds will be available for this purpose in future award announcements.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 18 months.

Reports:

Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project; program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Other reports may be requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofits Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for three years thereafter.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$5,486,756; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

Awards are made in amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2005 through 2007, the Convicted Offender DNA Backlog Reduction Program (In-House Analysis and Data Review) made a total of 45 awards to States with existing crime laboratories that conduct DNA analysis of convicted offender DNA in order to pay for samples to reduce backlogs of convicted offender DNA samples through in-house analysis and data review.

REGULATIONS, GUIDELINES, AND LITERATURE:

For specific information on eligibility, deadlines, specific uses of funds, application requirements, selection criteria, and all additional requirements, please access the "Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review)" solicitation, which is available

on NIJ's web site at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

National Institute of Justice, Department of Justice, 810 7th Street, NW., Washington, DC 20531. Telephone: (202) 307-2942. FTS: (202) 307-2942. Please contact Mark Nelson; Telephone: (202) 616-1960, E-mail: Mark.S.Nelson@usdoj.gov; or Minh Nguyen, Program Manager; Telephone: (202)305-2664; E-mail: Minh.Nguyen@usdoj.gov.

Web Site Address:

www.ojp.usdoj.gov/nij.

RELATED PROGRAMS:

16.741, Forensic DNA Backlog Reduction Program.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2006, NIJ made awards to all eligible applicants that satisfied the specific application requirements outlined in the funding announcement, the general requirements for NIJ and OJP grants, and all other applicable legal requirements. As a result, States that received such awards accelerated the analysis of convicted offender samples that they collected, law enforcement was provided with critical investigative information in a timely manner, and the convicted offender DNA profiles obtained with funding from this announcement were entered into State and national DNA databases. Specific examples of funded projects include: Alaska Department of Public Safety; Alabama Department of Forensic Science; California Department of Justice; Georgia Bureau of Investigation; Illinois State Police; Kansas Bureau of Investigation; Commonwealth of Kentucky; Missouri State Highway Patrol; North Dakota; New Jersey Department of Law and Public Safety; New York State Police; Oklahoma State Bureau of Investigation; Pennsylvania State Police; Texas Department of Public Safety; Vermont Department of Public Service; Washington State Patrol.

CRITERIA FOR SELECTING PROPOSALS:

Subject to the availability of appropriated funds and to any modifications or additional requirements imposed by law, NIJ anticipates that it will make an award to each eligible applicant that satisfies the specific application requirements outlined in this announcement (including those concerning permissible expenses), the general requirements for NIJ and OJP grants, and all other applicable legal requirements. NIJ may also reject applications from applicants with prior awards for convicted offender (and/or arrestee) in-house analysis that remain entirely unobligated as of the posting date of this solicitation.

16.749 RADIATION EXPOSURE COMPENSATION PROGRAM

FEDERAL AGENCY:

CIVIL DIVISION, DEPARTMENT OF JUSTICE.

AUTHORIZATION:

Radiation Exposure Compensation Act, Public Law 101-426, 104 Stat. 920, as amended and codified at 42 U.S.C. Section 2210 (note).

OBJECTIVES:

The Radiation Exposure Compensation Act (the Act) offers an apology and monetary compensation to individuals who contracted certain cancers and other serious diseases following exposure to radiation released during above-ground atmospheric nuclear weapons tests or as a result of their employment in the uranium production industry.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

There are five different categories of claimants under the Radiation Exposure Compensation Program (the Program): uranium miners, uranium millers, ore transporters, onsite participants, and downwinders. Uranium miners: Individuals who were exposed to 40 or more working level months of radiation while employed in a uranium mine or who were employed in a uranium mine for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Uranium millers: Individuals who were employed in a uranium mill for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Ore transporters: Individuals who were employed in the transport of uranium ore or vanadium-uranium ore from a mine or mill for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Onsite participants: Individuals who participated onsite in a test involving atmospheric detonation of a nuclear device in certain locations and who have been diagnosed with a specified compensable disease are eligible for a payment of \$75,000. Downwinders: Individuals who were physically present in one of the affected areas downwind of the Nevada Test Site

during a period of atmospheric nuclear testing and who have been diagnosed with a specified compensable disease are eligible for a payment of \$50,000. If an eligible individual dies before filing a claim or receiving payment, an eligible surviving beneficiary may file a claim or receive payment.

Beneficiary Eligibility:

There are five different categories of claimants under the Program: uranium miners, uranium millers, ore transporters, onsite participants, and downwinders. Uranium miners: Individuals who were exposed to 40 or more working level months of radiation while employed in a uranium mine or who were employed in a uranium mine for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Uranium millers: Individuals who were employed in a uranium mill for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Ore transporters: Individuals who were employed in the transport of uranium ore or vanadium-uranium ore from a mine or mill for at least one year in certain states at any time between January 1, 1942 and December 31, 1971 and who have been diagnosed with a specified compensable disease are eligible for a payment of \$100,000. Onsite participants: Individuals who participated onsite in a test involving atmospheric detonation of a nuclear device in certain locations and who have been diagnosed with a specified compensable disease are eligible for a payment of \$75,000. Downwinders: Individuals who were physically present in one of the affected areas downwind of the Nevada Test Site during a period of atmospheric nuclear testing and who have been diagnosed with a specified compensable disease are eligible for a payment of \$50,000. If an eligible individual dies before filing a claim or receiving payment, an eligible surviving beneficiary may file a claim or receive payment.

Credentials Documentation:

Necessary documentation establishing the eligibility criteria is required when an individual files a claim with the Program.

Preapplication Coordination:

this program is excluded from coverage under F.O.12372.

Application Procedure:

Individuals seeking to file a claim with the Program must submit an appropriate claim form (Radiation Exposure Compensation Program Claim Form, OMB-approved information collection #1105-0052). These claim forms are available on the internet (<http://www.usdoj.gov/civil/torts/const/reca/index.htm>), by calling the Program (1-800-729-RECP, 1-800-729-7327), by writing to the Program (U.S. Department of Justice Radiation; Exposure Compensation Program; P.O. Box 146; Ben Franklin Station; Washington, D.C. 20044-0146), or by emailing the Program (civil.reca@usdoj.gov). Once claims are submitted to the Program, the Assistant Director within the Constitutional and Specialized Torts Staff, Torts Branch, Civil Division, U.S. Department of Justice (the Assistant Director) shall review each claim to determine whether the Acts eligibility criteria have been met. The Assistant Director may also request additional information from the claimant. Ultimately, the Assistant Director shall make a decision approving or denying the claim.

Award Procedure:

If the Assistant Director approves the claim, the Program notifies the claiming of approval and authorizes payment by the Departments of Treasury or Labor.

Deadlines:

A claim will be barred unless the claim is filed within 22 years after enactment of the Radiation Exposure Compensation Act of Amendments of 2000 (P.L. 106-245), which was enacted on July 10, 2000.

Range of Approval/Disapproval Time:

The statute requires that the Department of Justice make a determination on each claim not later than 12 months after the claim is filed.

Appeals:

An individual wishing to appeal the decision of the Assistant Director may submit a written appeal to the Program. The Program must receive this written appeal within 60 days of the date of the Decision denying the claim. Upon receipt of an appeal, the Program shall forward the appeal, the Decision, the claim, and all supporting documentation to the Appeals Officer for action on the appeal. The Appeals Officer shall review any appeal and other information forwarded by the Program. Within 90 days after the receipt of an appeal, the Appeals Officer shall issue a Memorandum either affirming or reversing the Assistant Directors Decision or, when appropriate, remanding the claim to the Assistant Director for further action. A Memorandum affirming or reversing the Assistant Directors Decision shall be deemed to be the final action of the Department of Justice on the claim. Once the appeals procedures are completed, an individual may seek judicial review in a district court of the United States.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Benefits are not length-based. Lump-sum payments of \$50,000, \$75,000, or \$100,000 are provided depending upon the category of claimant.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

15-8116-0-7-054.

Obligations:

FY 07 \$38,000,000; FY 08 est \$31,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Compensation is determined by statute: Lump-sum payments of \$50,000, \$75,000, or \$100,000 are provided depending upon the category of claimant. When all categories of claimants are combined, in FY 2006, the average award from the Program was \$73,077. When all categories of claimants are combined, in FY 2007, the average award from the Program was \$68,002.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the Program received 1,845 claims and awarded \$154.5 million in compensation to 2,272 individuals. In FY 2008, it is estimated that the Program will receive 1,302 claims and award \$79.3 million in compensation to 1,130 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims Under The Radiation Exposure Compensation Act, 28 C.F.R. part 79.

Regional or Local Office:

Not applicable.

Headquarters Office:

Department of Justice, Radiation Exposure Compensation Program, P.O. Box 146; Ben Franklin Station; Washington, D.C. 20044-0146. Telephone: 1-800-729-RECP (1-800-729-7327). Email: civil.reca@usdoj.gov.

Web Site Address:

<http://www.usdoj.gov/civil/torts/const/reca/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM

(ADAM WALSH ACT)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, SEX OFFENDER SENTENCING, MONITORING, APPREHENDING, REGISTERING AND TRACKING (SMART) OFFICE, DEPARTMENT OF JUSTICE.

AUTHORIZATION:

Adam Walsh Child Protection and Safety Act of 2006 (AWA).

OBJECTIVES:

To assist State, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement requirements of the Sex Offender Registration and Notification Act (SORNA) under AWA. In summary, SORNA requires: (1) all States, the District of Columbia, the principal U.S. territories, and federally recognized American Indian tribes to maintain a sex offender registry; and (2) sex offenders to register and maintain a current registration in each jurisdiction where the offender resides, is an employee, and is a student. SORNA also sets forth requirements for sex offender registries, to include: specified required information, duration of registration, and in-person verification of sex offender identity as well as participation in the Dru Sjodin National Sex Offender Public Web site.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

The Sex Offender Management Assistance grant funds must be used to assist jurisdictions in implementing requirements of the Sex Offender Registration and Notification Act (SORNA), Title I of the Adam Walsh Child Protection and Safety Act of 2006. Funds must be used to implement or enhance sex offender registration and tracking programs in jurisdictions for the purpose of substantial compliance with SORNA.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, units of local government, and Federally recognized American Indian tribes who have elected to carry out the requirements of SORNA. Only applicant jurisdictions that have the intention of substantially implementing the requirements of SORNA are eligible to apply.

Beneficiary Eligibility:

State, local and tribal government agencies that have sex offender registry and tracking responsibilities. Collaborative endeavors are permissible which can

include public as well as private organizations.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the activities of the project will assist the jurisdiction in complying with SORNA.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

All applications must be submitted electronically at: <http://www.grants.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority. Grantees are notified by E-mail seven to ten days after Congress has been notified. Grantees must print award, have signed by the authorized official and returned to the Office of Justice Programs by facsimile or mail.

Deadlines:

See the program solicitation or contact the Department of Justice, Office of Justice Programs, SMART Office, at (202) 514-4689, or by E-mail: GetSMART@usdoj.gov.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 180 days of receipt of a completed application.

Appeals:

Determined by the Assistant Attorney General, OJP

Renewals:

Awards range from 12 to 18 months, unless otherwise noted.

Formula and Matching Requirements:

Grants are discretionary and are awarded based on the merits of the application submitted to the SMART Office. A 25 percent match is required for this grant program, and the funding for this project may not exceed 75 percent. Contributions may be cash, in-kind services, or a combination of both

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 12 to 18 months.

Reports:

Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than nine months after the end of the grantee's fiscal year.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least three years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$6,040,000; FY 08 \$18,960,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies. Awards up to \$300,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

SMART Office, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531. Telephone: (202) 514-4689.

Web Site Address:

<http://www.ojp.usdoj.gov/smart>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

A review panel convened by the SMART Office will evaluate all applications on the basis of the following criteria: (1) the application identifies a problem that is consistent with the purposes of this initiative; (2) the application describes a project that effectively addresses the problem statement; (3) the implementation strategy, time line, and capability of the organization and staff are sound; (4) the application articulates clearly the jurisdiction's goals, outcomes, and objectives and describes the accountability system and performance measures to determine progress towards achieving them; (5) the project demonstrates meaningful attention to the safety of victims and the general public by supporting jurisdiction's efforts to substantially comply with SORNA thus ensuring sex offender registration and tracking; (8) the application identifies specific individuals, or a process to identify individuals, to serve as the Grant Manager/Grant Coordinator, and demonstrates the skills, roles, and involvement of these individuals; and (9) the budget is reasonable as it relates to project activities.

16.751 EDWARD BYRNE MEMORIAL COMPETITIVE GRANT PROGRAM

(Byrne Competitive Program)

FEDERAL AGENCY:

DEPARTMENT OF JUSTICE, OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE

AUTHORIZATION:

Consolidated Appropriations Act, 2008.

OBJECTIVES:

To improve the functioning of the criminal justice system, such as activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Byrne Competitive Program helps improve the capacity of local criminal justice systems and provides for national support efforts such as training and technical assistance projects to strategically address needs. Funds can be used for national scope replication, expansion, enhancement, training, and/or technical assistance programs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local governments, public and private organizations, individuals and tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and 2 CFR Part 230 for nonprofit organizations.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program.

Application Procedure:

The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Varies for competitive programs. See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance. Telephone: (1-866) 859-2687; E-mail: byrne.discretionary@usdoj.gov.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Grants may be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$0; FY 08 est \$16,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

This is a general purpose assistance program for all components of the criminal justice system with programs ranging from crime prevention to correctional alternatives to law enforcement to community justice interventions. It supports a broad range of technical assistance and training agents as well as site-based demonstration programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instruction (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Telephone: (202) 616-6500 or (1-866) 859-2687; E-mail: AskBJA@usdoj.gov.

Web Site Address:

www.ojp.usdoj.gov/BJA.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Neighborhood Watch; preventing and investigating motor vehicle title fraud and theft; law enforcement gang investigations; community prosecution; problem-solving courts; state and local prosecutor training; offender reentry initiatives; substance abuse and mental health needs of offenders; information sharing within the criminal justice system; and training and technical assistance to prevent crime and drug abuse; enhance local law enforcement, courts, and corrections; and facilitate justice information sharing.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.752 ECONOMIC HIGH-TECH AND CYBER CRIME PREVENTION

FEDERAL AGENCY:

DEPARTMENT OF JUSTICE, OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE

AUTHORIZATION:

Consolidated Appropriations Act of 2008.

OBJECTIVES:

To provide a nationwide support system for agencies involved in the prevention, investigation, and prosecution of economic electronic and cyber crimes and to support and partner with other appropriate entities in addressing homeland security initiatives, as they relate to electronic and cyber crimes. It provides training, technical assistance, and/or resources to State, local and tribal law enforcement, prosecutor, and other agencies on how to prevent, combat, investigate and prosecute electronic and cyber crimes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Economic High-Tech and Cyber Crime Prevention program helps improve the capacity of local criminal justice systems to address electronic and cyber crimes and provides for national support efforts such as training and technical assistance projects to strategically address needs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local law enforcement agencies, prosecutor, and other criminal justice agencies.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and 2 CFR Part 230 for nonprofit organizations.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. For State applicants, this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Varies for competitive programs. See the program solicitation or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance. Telephone: (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Grants may be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA),

Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

FY 07 \$0; FY 08 \$5,000,000 and FY 09 est not available.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

This program will enhance the ability of federal, State, and local agencies to identify, prevent, investigate, apprehend, and prosecute electronic and cyber criminals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm), Criminal Intelligence Systems Operating Policies (28 CFR 23), Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Telephone: (202) 616-6500 or (1-866) 859-2687 or E-mail: AskBJA@usdoj.gov.

Web Site Address:

www.ojp.usdoj.gov/BJA.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.753 CONGRESSIONALLY RECOMMENDED AWARDS

(Congressionally Recommended)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE, DEPARTMENT OF JUSTICE

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To provide leadership and direction in improving the functioning of the criminal justice system.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Congressionally recommended grant funds provide federal financial assistance to public or private agencies and private nonprofit organizations for law enforcement program; prosecution and court program; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement program; programs, planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than compensation).

Applicant Eligibility:

State and local government agencies as well as public and private nonprofit organizations and federally recognized Indian Tribal governments are eligible to receive funds including faith-based and community organizations, under this program.

Beneficiary Eligibility:

State and local governments, public and private organizations, and tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Varies. See the program solicitation or guidance letter or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance. Telephone: (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 CFR Part 18.

Renewals:

None.

Formula and Matching Requirements:

Grants may be made for amounts up to 100 per centum of the costs of the programs or projects contained in the approved applications.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months.

Reports:

Unless otherwise specified in the award's special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$0; FY 08 \$187,500,000; FY 09 est not available.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

This is a general purpose assistance program for all components of the criminal justice system with programs ranging from crime prevention to correctional alternatives to drug law enforcement to community justice interventions. It supports a broad range of technical assistance and training agents as well as site-based demonstration programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531. Contact: Telephone: (202) 616-6500 or (1-866) 859-2687 or by E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/bja/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.754 HAROLD ROGERS PRESCRIPTION DRUG MONITORING PROGRAM

(Harold Rogers PDMP)

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, BUREAU OF JUSTICE ASSISTANCE,
DEPARTMENT OF JUSTICE

AUTHORIZATION:

Public Law 110-161, 121 Stat. 1909; Public Law 110-329.

OBJECTIVES:

To enhance the capacity of regulatory and law enforcement agencies to collect and analyze controlled substance prescription data through a centralized database administered by an authorized State agency.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding for planning grants can be used to assist in planning for a data collection and analysis system by engaging key stakeholders in the state in the planning process. Funding for implementation grants may be used to plan, establish, and build a data collection and analysis system; develop an infrastructure to support programmatic activities; facilitate the exchange of information and collected prescription data among states; facilitate the establishment of collaborations; develop a training program for system users; produce and disseminate educational materials; and assess the efficiency and effectiveness of the program. Funding for enhancement grants may be used to enhance a data collection and analysis system; develop infrastructure to support programmatic activities; enhance an existing training program; support collaborations with law enforcement and prosecutors; support collaborations with treatment providers and drug courts; facilitate information sharing among states; expand monitoring to Schedules III, IV, and V; and assess the efficiency and effectiveness of the program. Up to 25 percent of enhancement funds can be used towards operating expenses. The remaining funds should be used to enhance the functioning of an existing program.

Applicant Eligibility:

Applicants are limited to states ("States" includes the 50 states, the District of Columbia, Commonwealth of Puerto Rico, Northern Mariana Islands, U.S. Virgin Islands, Guam, and American Samoa). States are eligible for implementation and enhancement grants if they have in place an enabling statute or regulation requiring the submission of controlled substance prescription data to an authorized state agency. State agencies are eligible for planning grant funds if they do not have enabling statutes or regulations in place.

Beneficiary Eligibility:

Beneficiaries are limited to states ("States" includes the 50 states, the District of Columbia, Commonwealth of Puerto Rico, Northern Mariana Islands, U.S. Virgin Islands, Guam, and American Samoa). States are eligible for implementation and enhancement grants if they have in place an enabling statute or regulation requiring the submission of controlled substance prescription data to an authorized state agency. State agencies are eligible for planning grant funds if they do not have enabling statutes or regulations in place.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically.

Preapplication Coordination:

No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. This program is excluded from coverage under E.O. 12372 "Intergovernmental Review of Federal Programs."

Application Procedure:

Applications must be submitted through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination.

Deadlines:

See the program solicitation, consult the Application Kit, or contact the Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, at (1-866) 859-2687 or by e-mail at AskBJA@usdoj.gov for application deadline.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

None.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date.

Reports:

Recipients are required to submit quarterly Financial Reports, and semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws.

Audits:

Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Records In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

FY 07 \$7,500,000; FY 08 \$6,600,000; and FY 09 est \$6,600,000.

Range and Average of Financial Assistance:

For planning grants, applicant can request up to \$50,000. The maximum project period for planning grants is 15 months. For implementation grants, applicant can request up to \$400,000. The maximum project period for implementation grants is 24 months. For enhancement grants, applicant can request up to \$400,000. The maximum project period for enhancement grants is 24 months.

PROGRAM ACCOMPLISHMENTS:

Since inception of the program in 2002, over 100 grants have been awarded through the Harold Rogers Prescription Drug Monitoring Program to states to support them in their efforts to plan for, implement, or enhance a prescription drug monitoring program. Prior to fiscal year 2002, there were 15 states operating PDMPs. Since the inception of the Harold Rogers Prescription Drug Monitoring Program, this funding opportunity has resulted in 21 states receiving new program grants and 13 states netting planning grants. There are now 26 operating PDMPs and 9 states with legislation in place to establish a program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/>. The OJP Financial Guide can be viewed at: <http://www.ojp.usdoj.gov/financialguide/>.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Rose, Senior Policy Advisor, Bureau of Justice Assistance, State Policy Office, 810 Seventh Street N.W., Washington, DC 20531. Telephone: 202-616-6500 or (1-866) 859-2687. E-mail: AskBJA@usdoj.gov.

Web Site Address:

<http://www.ojp.usdoj.gov/BJA/grant/> or
<http://www.ojp.usdoj.gov/BJA/grant/prescripdrugs.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Please refer to website for funded projects.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

DEPARTMENT OF LABOR

17.002 LABOR FORCE STATISTICS

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Laws 58-57, 62-426, 71-537, 82-203, 93-203, 93-567, 94-206, 94-311, and 94-369; 29 U.S.C. 1, 2, 2b, 5, 8, 882, 1601.

OBJECTIVES:

To provide statistical data on labor force activities and to provide data for analyzing the effect of current and long-run economic developments on employment trends.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provide data for: (a) employment and unemployment analysis on the current economic status of workers, based on data from households as well as employment, hours and earnings statistics collected from business establishments; (b) labor force studies, yielding information on such characteristics as educational attainment, work experience, earnings and family relationships; (c) occupational employment statistics, including wages available for a wide variety of occupations, by industry, State and metropolitan area; (d) State and local area labor force employment and unemployment data used for labor market analysis, to identify areas of high unemployment and for allocations of funds under various Federal assistance programs; (e) monthly employment and quarterly wage data (Quarterly Census of Employment and Wages (QCEW) program) for the U.S., States, MSAs, counties, and other areas for workers covered by State unemployment insurance programs for administration of the Unemployment Insurance (UI) system, major inputs to BEA's Personal Income Accounts serving as the BLS sample frame and employment benchmark, for improving Census Bureau industry codes and other purposes; (f) Business Employment Dynamics measures of job creation and destruction derived from the QCEW data; (g) monthly potential and quarterly extended mass layoff and plant closing data, including data on offshoring and outsourcing; (h) projections of the labor force, economic growth, industry employment, and occupational employment 10 years into the future; (i) monthly job openings and labor turnover (hires and separations); and (j) measures of the amount of time people spend doing various activities, such as paid work, childcare, volunteering, and socializing. Funds in the form of cooperative agreements are available to State Agencies designated by the Governor, usually State Workforce Agencies (SWA), for employment, hours and earnings; occupational employment statistics; local area labor force and employment; mass layoff statistics; and quarterly census of employment and wages.

Applicant Eligibility:

SWAs designated under Section 4 of the Wagner-Peyser Act are eligible to apply for cooperative agreement funding to operate the Current Employment Statistics (CES), Local Area Unemployment Statistics (LAUS), Quarterly Census of Employment and Wages (QCEW), Occupational Employment Statistics (OES), and Mass Layoff Statistics (MLS) programs in the States. BLS may select an alternative applicant if a SWA declines to apply for cooperative agreement funding or otherwise substantially fails to meet BLS application and performance requirements. Requests for technical information may be made by the general public.

Beneficiary Eligibility:

General public may request information from the SWAs and the Bureau of Labor Statistics.

Credentials/Documentation:

None.

Preapplication Coordination:

No preapplication is required. Application packages are mailed to each SWA in late spring of each year. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Draft and final applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the SWA.

Deadlines:

Cooperative agreements which cover a given Federal fiscal year are executed prior to the beginning of the fiscal year (October 1).

Range of Approval/Disapproval Time:

Guidelines and application materials are mailed to SWA in mid-May. Initial drafts are required by early July, and final applications by August 31. The

cooperative agreements are executed by mid-September, and become effective October 1.

Appeals:

None.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate SWAs.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year.

Reports:

LMI Cooperative Agreement reporting requirements are based on the SWAs Cost Accounting System (CAS) reports or their Financial Accounting and Reporting System (FARS) equivalents. CAS report 65, or FARS Report 11, is submitted monthly. CAS reports 61, and 94B, or FARS Report 12A and 17, are submitted quarterly. SWAs choosing not to use the CAS- or FARS- generated reports must submit the LMI Cooperative Statistics Financial Report in lieu of CAS reports 61, 65 and 94B or FARS reports 11, 12A and 17. This report is submitted monthly for data which would appear on CAS report 65 or FARS report 11, and quarterly for CAS reports 61 and 94B, or FARS reports or 12A and 17.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003, published in Federal Register), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping is in accordance with 29 CFR 97, implementing OMB Circular A-102.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$246,672,511; FY 08 est \$242,713,000, and FY 09 est \$262,170,000. (Grants) FY 07 \$81,663,861; FY 08 est \$86,998,000; and FY 09 est \$88,463,000.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and non-personal resources required to operate the programs in a State. The range of awards in fiscal year 2007 is from \$49,784 (Guam) to \$7,764,357 (California). The average is \$1,512,294.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007 activities: Labor force survey-approximately 60,000 in monthly sample; employment, hours and earnings survey - about 390,000 establishments in the monthly sample; occupational employment and wage statistics - 400,000 establishments surveyed during the year; State and local area unemployment statistics-monthly publications for approximately 7,200 areas; data from 9.0 million establishments providing monthly employment and quarterly wages of workers covered by State unemployment insurance program; publication of new series on Business Employment Dynamics at the state level of job creation and destruction, expansions, and contractions; monthly and quarterly reporting and publication of mass layoffs and plant closings; work on the 2006-2016 projections and on the 2008-09 editions of the Occupational Outlook Handbook, Career Guide to Industries, and Occupational Projections and Training Data; and monthly publication of measures of job openings and labor turnover, including hires and separations. Release 2006 time use microdata and estimates.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Employment and Earnings"; "Monthly Labor Review"; "Occupational Employment and Wages"; BLS Handbook of Methods"; "Major Programs of the Bureau of Labor Statistics"; "Publications of the Bureau of Labor Statistics"; "Handbook of Labor Statistics"; "Unemployment in States and Local Areas"; "Geographic Profile of Employment and Unemployment"; and "Employment and Wages"; Charting the Projections, 2006-16; "Occupational Outlook Handbook, 2008-09 Edition (BLS Bulletin number to be assigned)"; "Career Guide to Industries, 2008-09 Edition (BLS Bulletin number to be assigned)"; "Occupational Projections and Training Data, 2006-07 Edition (BLS Bulletin 2602)." Monthly Press Releases: "The Employment Situation"; "Metropolitan Area Employment and Unemployment"; "Regional and State Employment and Unemployment"; "Real Earnings"; "Mass Layoffs"; and "Job Openings and Labor Turnover." Quarterly Press Releases: "County Employment and Wages", Occupational Outlook Quarterly" Business Employment Dynamics", and "Extended Mass Layoffs." Cooperative agreement application instructions, including all applicable regulations, are available from Bureau of

Labor Statistics regional offices free of charge. Annual news releases on families, union membership, veterans' labor force characteristics, foreign born labor force characteristics, and volunteering.

Regional or Local Office:

Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Bureau of Labor Statistics, Office of Employment and Unemployment Statistics, Department of Labor, Washington, DC 20212. Contact: John M. Galvin, Telephone: (202) 691-6400.

Web Site Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

11.001, Census Bureau Data Products; 11.005, Census Special Tabulations and Services; 17.005, Compensation and Working Conditions; 11.025, Measures and Analyses of the U.S. Economy; 17.004, Productivity and Technology Data.

EXAMPLES OF FUNDED PROJECTS:

Each State, the Virgin Islands, Puerto Rico, the District of Columbia, and Guam operated LMI Cooperative Agreements.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable application and specific program deliverables and cost.

17.003 PRICES AND COST OF LIVING DATA

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Laws 62-426, 71-537, and 94-206, 29 U.S.C. 1-2.

OBJECTIVES:

To provide statistical data for assisting in the evaluation of consumer, producer, export and import prices and price changes, and consumer expenditures.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provides for Consumer Price Indexes (CPI) which measure changes in prices of goods and services consumed by all urban households as well as urban wage earners and clerical workers. As the basis for most estimates of changes in the purchasing power of the consumer's dollar, the CPI is a major economic indicator and has widespread use in escalation adjustments in both private and public sectors. Provides for Producer Price Indexes (PPI) which measure average changes in selling prices received by domestic producers for their output and which are widely cited within price escalation clauses of long term sales contracts to protect both parties against inflation. Provides Export and Import Price Indexes to measure the effect of international trade prices on U.S. economy. The Consumer Expenditure (CE) Surveys provide periodic updates to the market basket and the weights used to calculate the CPI. In addition, the CE supplies information on consumers' spending and income by characteristics such as income, age, race, family size, and region.

Applicant Eligibility:

Request for information may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for information and assistance may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$177,796,698; FY 08 est \$177,986,000; and FY 09 est \$198,464,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, the Consumer Price Indexes (CPI), Producer Price Indexes (PPI), International Price Indexes (IPP), and Consumer Expenditure Surveys (CE) continue, as will regular publication of data from these price statistics programs. During 2008, the CPI program will continue publication of the new superlative measure of changes in living costs. The new measure more fully reflects the impact of changes in relative prices on consumers. IPP will have offered its new web-based repricing collection to 95 percent of its respondents by the end of 2008. PPI expanded its coverage of the service sector to include management consulting, blood banks, computer training schools, and industrial machinery equipment and repair. PPI completed a major quality improvement initiative to implement a probability-based methodology for prescription drugs. Coverage in the PPI also expanded with the publication of the new office construction index. In 2008, the CE added questions about the new tax rebate and began collecting the data, revised and tested changes to the upcoming 2009 Interview questionnaire.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Consumer Price Index"; "Producer Price Index"; "Export Price Index"; "Import Price Index"; "Consumer Expenditure Survey," "Monthly Labor Review"; "BLS Handbook of Methods," BLS Bulletin 2490; "Major Programs of the Bureau of Labor Statistics"; "Publications of the Bureau of Labor Statistics."

Regional or Local Office:

Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Bureau of Labor Statistics, Office of Prices and Living Conditions, Washington, DC 20212. Telephone: (202) 691-6960. Contact: Michael W. Horrigan.

Web Site Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

10.950, Agricultural Statistics Reports; 11.025, Measures and Analyses of the U.S. Economy; 17.005, Compensation and Working Conditions.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.004 PRODUCTIVITY AND TECHNOLOGY DATA

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Laws 54-249, 62-426, 71-537, 76-77, and 94-206, 29 U.S.C. 1, 29 U.S.C. 2b.

OBJECTIVES:

To provide and analyze data on productivity in major sectors of the U.S. economy and specific industries and develop productivity and other measures for selected countries.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Provides for: (a) Productivity measures of output per hour of all persons for the business sector and for major sub-sectors; (b) multifactor productivity indexes (output per unit of combined labor and capital inputs) for private business, private nonfarm business, manufacturing, and major industries, and indexes of output per unit of capital; (c) multifactor productivity measures for selected industries including capital, labor, energy, materials, and purchased business services inputs; (d) industry measures of output per employee-hour, output per employee, unit labor costs, and related data for selected industries; and (e) international comparisons of productivity, labor costs, and the labor force and unemployment.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:
Not applicable.

Renewals:
Not applicable.

Formula and Matching Requirements:
Not applicable.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
Not applicable.

Audits:
Not applicable.

Records:
Not applicable.

Account Identification:
16-0200-0-1-505.

Obligations:
(Salaries and Expenses) FY 07 \$10,995,429; FY 08 est \$10,870,000; and FY 09 est \$11,706,000.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:

Quarterly labor productivity and cost measures for major sectors are published regularly. Multifactor productivity measures for major-sectors, and industry labor and multifactor productivity measures are published each year. International comparisons of productivity, compensation, and unemployment are also ongoing activities of this Office. Approximately 29 reports, studies, and articles were completed in fiscal year 2008, and 3,006 labor productivity and international comparative series were updated.

REGULATIONS, GUIDELINES, AND LITERATURE:

"BLS Handbook of Methods"; "Major Programs Bureau of Labor Statistics"; "BLS Publications on Productivity and Technology"; "Estimating Capital Inputs for Productivity Measurement: An Overview of Concepts and Methods"; "The Impact of Research and Development on Productivity Growth"; "Trends in Multifactor Productivity, 1948-81"; "Labor Composition and U.S. Productivity Growth, 1948-90"; "Monthly Labor Review."

Regional or Local Office:

Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Labor, Bureau of Labor Statistics, Office of Productivity and Technology, 2 Massachusetts Avenue, NE., Washington, DC 20212.
Telephone: (202) 691-5600. Contact: Office of the Associate Commissioner.

Web Site Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

11.025, Measures and Analyses of the U.S. Economy; 17.006, Employment Projections Data.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.005 COMPENSATION AND WORKING CONDITIONS

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Laws 62-426, 71-537, 91-596 and 94-206, 29 U.S.C. 1-2; 29 U.S.C. 2b; 29 U.S.C. 4; 5 U.S.C. 5305; 29 U.S.C. 651; 29 U.S.C. 673.

OBJECTIVES:

To provide timely and relevant data on levels and trends in wages; employee benefits; occupational safety and health; and work stoppages.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

This program provides the following information: (A) trend data on employee wages and compensation; (B) occupational wage data in about 150 localities nationwide and studies of employee benefits plans; (C) national and State data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to State agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to States or local governments to assist them in developing and administering programs.

Applicant Eligibility:

State agencies or designated local governments are eligible to apply for cooperative agreement funding to share costs in operating statistical programs dealing with occupational safety and health statistics. Request for copies of

published studies and reports may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None for the general public requesting data; OMB Circular No. A-87 requirements apply as well as agency regulations implementing OMB Circulars No. A-102 and No. A-110 requirements, to State and local governments.

Preapplication Coordination:

In early summer, BLS begins discussions with existing and potential agencies concerning the next fiscal year's cooperative agreement, and provides them the appropriate application materials. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the catalog. Applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the State or local government.

Deadlines:

Cooperative agreements which cover a given Federal fiscal year are executed prior to the beginning of the fiscal year (October 1).

Range of Approval/Disapproval Time:

The typical process would include guidelines and application material being mailed to State or local government in early summer, with initial drafts required by mid-summer, and final applications due by late summer. Cooperative agreements are executed by early-September and become effective October 1.

Appeals:

None.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate State or local governments.

Formula and Matching Requirements:

The Federal share for each State's or local government's statistical program may be up to 50 percent of the State's or local government's total cost.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year.

Reports:

Cooperative agreements with State or local government specify quarterly financial reports and periodic program reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, in Federal Register June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping is in accordance with 29 CFR 97 (implementing OMB Circulars No. A-102 and No. A-110).

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$81,595,015; FY 08 est \$82,251,000; and FY 09 est \$86,281,000. (Grants) FY 07 \$5,812,910; FY 08 est \$6,339,000; and FY 09 est \$6,574,000.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and nonpersonal resources required to operate the program. The range of awards in fiscal year 2007 is from \$4,100 (Idaho) to \$630,900 (California). The average is \$111,787.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the Office of Compensation Levels and Trends within the Office of Compensation and Working Conditions published approximately 138 bulletins, news releases, and articles. Titles of these publications are included in the literature below. The National Compensation Survey (NCS) produces data on wages, salaries, and employee benefits from an integrated survey design. During 2007, the program completed transitioning to new industry and occupational classification systems and began using a new sample and revised definitions of metropolitan areas. The Occupational Safety and Health Statistics program produces data that allow for State publications, and provides tools for the States to conduct research and outreach. In 2007, the program continued to release data earlier in the year and produced nonfatal injury and illness rates for demographic characteristics for the first time. BLS provides establishments the option of responding to the Survey Occupational Injuries and Illnesses via the internet and now collects a significant portion of its annual data using this method.

REGULATIONS, GUIDELINES, AND LITERATURE:

NCS - Regional Wage Data for each of the nine Census Divisions (web only); National Compensation Survey - National bulletin, National summary, Locality bulletins and summaries; NCS: Employee Benefits in Private Industry - National bulletin, National summary, and News Releases; "Employment Cost Index"; "Employer Costs for Employee Compensation"; Major Work Stoppages - monthly news releases (web only) and annual summary; "Occupational Injuries and Illnesses: Counts, Rates, and Characteristics" (Annually); "Fatal Workplace Injuries: A Collection of Data and Analysis" (Annually); "Workplace Injuries and Illnesses," "Nonfatal Occupational Injuries and Illnesses Requiring Days Away from Work," and "National Census of Fatal Occupational Injuries" (annual news releases); "Major Programs of the Bureau of Labor Statistics"; "Bureau of Labor Statistics Customer Service Guide"; "BLS Handbook of Methods."

Regional or Local Office:

Contact the appropriate regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog

Headquarters Office:

None.

Web Site Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

17.002, Labor Force Statistics; 17.003, Prices and Cost of Living Data.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable application and specific program deliverables and cost.

17.150 EMPLOYEE BENEFITS SECURITY ADMINISTRATION

(EBSA)

FEDERAL AGENCY:

EMPLOYEE BENEFITS SECURITY ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Employee Retirement Income Security Act of 1974, as amended, Public Law 93-406, 29 U.S.C. 1001 note.

OBJECTIVES:

The primary mission of EBSA is to protect the pension health and other benefits of American workers and their families. EBSA is committed to educating and assisting the 150 million Americans covered by more than 683,000 private retirement plans, 2.5 million health plans, and similar numbers of other welfare benefit plans, as well as, plan sponsors and members of the employee benefits community. EBSA promotes voluntary compliance and facilitates self-regulation, working diligently to provide quality assistance to plan participants and beneficiaries. It is the policy of EBSA to provide the highest quality of service to stakeholders and the regulated community. EBSA carries out its mission by: (1) deterring and correcting violations of ERISA; (2) helping plan officials understand and comply with the legal requirements of ERISA; (3) educating workers on their benefit rights; and, (4) developing policies that encourage the growth of employment-based benefits.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Plan professionals, participants and beneficiaries of employee pension, health care and welfare benefit plans obtain information about the legal requirements for plan administration, plan provisions, financial operations or how to obtain a benefit.

Applicant Eligibility:

Plan administrators, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration may obtain assistance in complying with the Act. Interested persons may obtain certain plan documents which are required to be filed by plan administrators.

Beneficiary Eligibility:

Plan officials, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the nearest field office of the Employee Benefits Security Administration listed in Appendix IV of the Catalog, EBSA's web site at www.dol.gov/ebsa or EBSA's hotline at (1 866) 444-EBSA (3272). (Participants and beneficiaries should first contact their plan administrator for plan information.)

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-1700-0-1-601.

Obligations:

(Salaries and Expenses) FY 07 \$134,509; FY 08 \$143,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

EBSA is proactive in its efforts to enhance pension and health benefits security. In this regard, EBSA's customer service staff provides assistance to approximately 150,000 participants, employers and plan administrators each year. The nature of assistance ranges from receiving information brochures, booklets and/or regulations to individualized assistance relative to a specific problem along with information on how the law applies, sometimes resulting in a direct or indirect recovery of an appropriate benefit. As part of its technical assistance program, EBSA conducts educational outreach workshops and participates in additional outreach conferences sponsored by professional organizations. Additionally, through its enforcement program, EBSA actions resulted in monetary recoveries to employee benefit plans of approximately \$1.4 billion in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the final regulations, proposed regulations, and other directives are available from the national office or on EBSA's website at www.dol.gov/ebsa. Certain audio-visual material also are available for hearing-impaired audiences. EBSA publishes a variety of free publications directed to employers and participants which can be obtained from its website and its publication hotline at (1 866) 444-EBSA (3272).

Regional or Local Office:

Persons desiring assistance should contact the nearest Department of Labor, Employee Benefits Security Administration field office listed in Appendix IV of the Catalog.

Headquarters Office:

Employee Benefits Security Administration, Department of Labor, 200 Constitution Avenue, NW., Room N5623, Washington, DC 20210. Telephone: (202) 693-8666. Contact Gloria Della.

Web Site Address:

<http://www.dol.gov/ebsa>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.201 REGISTERED APPRENTICESHIP AND OTHER TRAINING

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

National Apprenticeship Act of 1937, as amended, Public Law 75-308, 50 Stat. 664, 57 Stat. 518, 29 U.S.C. 50, 50a, 50b.

OBJECTIVES:

To stimulate and assist industry in the development, expansion, and improvement of registered apprenticeship and other training programs designed to provide the skilled workers required by U.S. employers, ensure equal employment opportunities in registered apprenticeship and other training programs, and ensure the quality of all new and existing registered apprenticeship programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Project Grants.

USES AND USE RESTRICTIONS:

Under the Office of Apprenticeship (OA) apprenticeship training programs and

apprentices are registered in 23 States. OA also provides technical assistance and works closely with State Apprenticeship Councils/State Apprenticeship Agencies (SACs/SAAs) in the remaining 27 States, the District of Columbia, and three territories that register programs and apprentices in accordance with Federal standards. OA provides information on existing and recommended standards of training in registered apprenticeship as well as on other types of industrial skill improvement programs. The wage rates of apprentices in registered programs (Federal and State) are exempt from the prevailing wage requirements of the Davis-Bacon Act and the Service Contract Act. Registered Apprenticeship is the combination of learning on-the-job and related technical and theoretical instruction in a skilled occupation. Registered programs are driven directly by the demands of the labor market. The training is industry-driven, voluntarily sponsored by individual employers, employer associations, and/or by joint employer-employee groups. OA brings these entities together, as appropriate, to formulate registered apprenticeship training programs which meet the federal standards. Various educational institutions and sponsors provide theoretical and related instruction. Special efforts are being made to introduce the apprenticeship concept of training into high-growth industries and occupations. The Advisory Committee on Apprenticeship, representing employers, labor, vocational education, and others with an interest in skill training, advises the Secretary of Labor on apprenticeship and training issues. Special grants are provided to eligible organizations to assist employer and labor unions in placement and retention of women in apprenticeship and nontraditional occupations.

Applicant Eligibility:

Employers, a group of employers, or an association of employers, or individual employers with or without in each case the participation of a labor union. For grants: community based organizations, which may be faith based - see announcement of solicitation for grant applications.

Beneficiary Eligibility:

Individuals applying for acceptance into an apprenticeship training program must be at least 16 years old and must satisfy the apprenticeship program sponsor that they have sufficient ability, aptitude, and education to master the rudiments of the trade/occupation and to satisfactorily complete the related theoretical instruction required in the program.

Credentials/Documentation:

Along with the completed application form, each prospective apprentice may be required by the sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school diploma or equivalency certificate (if applicable). References from all previous employers may be required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Prospective program sponsors must meet with an OA or SAC/SAA field representative, as appropriate, to draw up a set of apprenticeship training program standards. These include the age and educational background of apprentices, and a schedule of the work processes and related theoretical instruction subjects to be covered during the training program. The program will be registered if it meets Federal requirements covering (a) the apprenticeability of the occupation(s) and (b) the suitability of the training standards for providing apprentices with sufficient knowledge to become skilled workers. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Not applicable for advisory services and counseling type of assistance. Procedures for grant projects are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

For grants, specified in the applicable request for proposals, but generally 45 to 60 days from announcement.

Range of Approval/Disapproval Time:

For grants, from 45 to 90 days.

Appeals:

OA refusal to register programs and OA deregistration of existing programs may be appealed to the Secretary of Labor as provided in Title 29 CFR Part 29. For grants, procedures for each project are specified in the applicable request for

proposals.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable

Reports:

The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAC/SAA field representative. For grants, quarterly financial and performance reports are normally required. See SGA for further information.

Audits:

Not applicable for advisory services and counseling type of assistance. For grants, subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Apprenticeship selection records showing compliance with the nondiscrimination requirements set out in 29 CFR Part 30 are required to be kept for 5 years.

Account Identification:

16-0172-0-1-504, 16-0174-0-1-504.

Obligations:

(Federal salaries and expenses) FY 07 \$21,000,000; FY 08 est \$21,000,000; and FY 09 est \$23,000,000. (Project grants) FY 07 \$1,000,000; FY 08 est \$1,000,000; and FY 09 est \$1,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2006, more than 450,000 apprentices, received training in more than 29,200 registered programs. There were more than 187,521 new apprentices registered. It is estimated that more than 454,500 apprentices will receive training during fiscal year 2007, and more than 459,000 in Fiscal Year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found in 29 CFR 29: "Labor Standards for the Registration of Apprenticeship Programs"; 29 CFR 30: "Equal Employment Opportunity in Apprenticeship and Training".

Regional or Local Office:

Regional or Local Office Persons are encouraged to communicate with the Regional Directors of the Office of Apprenticeship (OA), as appropriate. The Regional Directors are the following: Region I (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, Virgin Islands), Mr. Joseph T. Hersh, Boston Acting Regional Director, USDOL/ETA/OA, 170S. Independence Mall West, Suite 825 East, Philadelphia, PA 19106-3314, Telephone (215) 861-4830, FAX (215) 861-4833, E-mail: hersh.joseph@dol.gov; Region II (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia), Mr. Joseph T. Hersh, USDOL/ETA/OA, 170 S. Independence Mall West, Suite 825 East, Philadelphia, PA 19106-3314, Telephone (215) 861-4830, FAX (215) 861-4833, E-mail: hersh.joseph@dol.gov; Region III (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee), Mr. Garfield G. Garner, Jr., Atlanta Regional Director, USDOL/ETA/OA, 61 Forsyth Street S.W., Room 6T71 Atlanta, GA 30303-8938, Telephone (404) 302-5478 FAX (404) 302-5479, E-mail: garner.garfield@dol.gov; Region IV (Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, Wyoming), Mr. Steven D. Opitz, Dallas Regional Director, USDOL/ETA/OA, Federal Building, 525 Griffin Street Room 303, Dallas, TX 75202, Telephone (972) 850-4681, FAX (972) 850-4688, E-Mail: opitz.steve@dol.gov; Region V (Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, Wisconsin), Mr. Terrence Benewich, Chicago Regional Director, USDOL/ETA/OA, 230 South Dearborn Street Room 656 Chicago, IL 60604-1505; Telephone (312) 596-5500, FAX (312) 596-5501, E-Mail: benewich.terrence@dol.gov; Region VI (Alaska, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, Washington), Mr. Michael W. Longueay, San Francisco Regional Director, USDOL/ETA/OA, 90 Seventh St., Suite 17-100, San Francisco, CA 94103- 1516, Telephone (415) 625-2230, FAX (415) 625-2235, E-Mail longueay.michael@dol.gov.

Headquarters Office:

Office of Apprenticeship (OA), Anthony Swoope, OA Administrator, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Room N-5311, Washington, DC 20210 Telephone: (202) 693-2796 Fax: (202) 693-2808.

Web Site Address:

<http://www.doleta.gov/>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.260, WIA Dislocated Workers; 17.303, Wage and Hour Standards.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.207 EMPLOYMENT SERVICE/WAGNER-PEYSER FUNDED ACTIVITIES

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Wagner-Peyser Act of 1933, 48 Stat. 113 as amended, Public Law 105-220; Workforce Investment Act of 1998.

OBJECTIVES:

To assist persons to secure employment and workforce information by providing a variety of job search assistance and information services without charge to job seekers and to employers seeking qualified individuals to fill job openings.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

The Wagner-Peyser Act of 1933 established a nationwide system of public employment offices which is known as the Employment Service. Wagner-Peyser was amended by the Workforce Investment Act of 1998 making the Employment Service part of the One-Stop delivery system. The Wagner-Peyser funded activities are an integral part of the One-Stop delivery system that provides an integrated array of high-quality services so that workers, job seekers, and businesses can access the services they need under one roof in easy-to-reach locations, with many services also offered through self-service electronic access. These services include assessment of skill levels, abilities and aptitudes, career guidance when appropriate, job search workshops, and referral to training as appropriate. The services offered to employers, in addition to referral of job seekers to job openings, include matching job requirements with job seeker experience, skills and other attributes, helping with special recruitment needs, assisting employers analyze hard-to-fill job orders, assisting with job restructuring and helping employers deal with layoffs. Additional services may include: 1) a computerized career information system including access to state job bank resources and institutions and organizations that provide training; 2) the development and distribution of state and local workforce information which allows job seekers, employers, and providers and planners of job training and economic development to obtain information pertaining to job opportunities, labor supply, labor market or workforce trends, and the market situation in particular industries. Veterans receive priority referral to jobs as well as special employment services and assistance. In addition, the system provides specialized service to individuals with disabilities, as well as, such groups as Indians and Native Americans, migrant and seasonal farmworkers, ex-offenders, youth, and older workers. These Wagner-Peyser-funded programs involve a Federal-state partnership between the U.S. Department of Labor (DOL), Employment and Training Administration (ETA) and the state workforce agency. The Federal government provides general direction, funding, and oversight. In addition the Federal government partners with states to provide for a number of tools and resources such as development and maintenance of the Occupational Information Network (O*NET), Analyst Resource Center, and CareerOneStop (www.careeronestop.org), a self-service, integrated suite of workforce information Web sites that provide career resources and workforce information to businesses, job seekers, students, and workforce professionals. CareerOneStop includes: 1. Career InfoNet (www.careerinfonet.org) which helps individuals explore their career opportunities to make informed career choices by providing national, state, and local career information and workforce data; 2. America's Service Locator (www.servicelocator.org) which connects individuals to employment and training opportunities available at their local One-Stop Career Center and links them to a range of local workforce services; 3. Career Voyages (www.careervoyages.gov) which provides information on in-demand occupations in high-growth industries along with skills and education requirements to advance in a career path toward a brighter future; 4. O*Net Online (<http://online.onetcenter.org>) is the nation's primary source of occupational skills and competency information, providing comprehensive information on key attributes and characteristics of workers and occupations; 5. Competency Model Clearinghouse (www.careeronestop.org/CompetencyModel) which provides access to competency models for careers in high-growth industries, giving educators, the workforce system, and businesses a common industry-driven framework for developing curriculum, and increasing awareness of industry skill requirements; 6. KeytoCareerSuccess (www.careeronestop.org/militarytransition) offers career information and links to services that help veterans and military service members successfully transition to civilian careers; and, 7. Online Coaches

(www.workforcetools.org/online-coach.asp) which provide interactive, step-by-step instructions on using ETA-funded Web-based tools. Under Wagner-Peyser Act Section 7(b), ten percent of the total sums allotted to each state workforce agency shall be reserved for use by the governor to provide performance incentives; services for groups with special needs; and for the extra costs of exemplary models for delivering job services. In addition, a Toll Free Help Lines is funded to provide customer service: 1-877-US2-JOBS or TTY 1-877-889-5627.

Applicant Eligibility:

States, the District of Columbia, Virgin Islands, Puerto Rico, and Guam.

Beneficiary Eligibility:

All employers seeking workers, persons seeking employment, and associated groups. Priority of service is given to veterans; veterans with disabilities receive preferential treatment over other veterans.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for state and local governments.

Preapplication Coordination:

State workforce agencies are provided preliminary planning estimates in January of each year preceding the program year for which funds are allotted. Final planning estimates are issued annually by late March. The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Janet Sten), Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Established each year (contact Federal agency for deadline for application submission).

Range of Approval/Disapproval Time:

As provided in regulations.

Appeals:

As provided in regulations.

Renewals:

Not applicable.

Formula and Matching Requirements:

Funds are allotted to each state workforce agency in accordance with the formula set forth at Section 6 of the Wagner-Peyser Act (29 U.S.C. 493). The formula is based on each state's share of the civilian labor force and unemployment. The Secretary of Labor is required to set aside up to three percent of the total available funds to assure that each state workforce agency will have sufficient resources to maintain statewide Wagner-Peyser-funded activities, as required under Section 6(b)(4) of the Wagner-Peyser Act. There are no matching requirements.

Length and Time Phasing of Assistance:

Formula funds are provided at the beginning of a program year and are available for expenditure during that program year and the succeeding two program years.

Reports:

As provided in regulations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), audits of states, local governments, and nonprofit organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 07 \$729,000,000; FY 08 est \$704,000,000; and FY 09 est \$0 (Project Grants) FY 07 \$107,000,000; FY 08 est \$54,000,000; and FY 09 est \$33,000,000.

Range and Average of Financial Assistance:

No established range.

PROGRAM ACCOMPLISHMENTS:

Program accomplishments are published annually in the Annual Report. The report may be obtained from the Division of Adult Services, 200 Constitution Ave, N.W., Room C 4512, Washington, DC 20210 or on the Employment and Training Administration Web page at <http://www.doleta.gov>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 - Establishment and Functioning of State Employment Services; Part 662 - Description of the One-Stop System under Title I of the Workforce Investment Act; Part 663 - Adult and Dislocated Worker Activities under Title I of the Workforce Investment Act; Subpart A - Delivery of Adult and Dislocated Worker Services Through the One-Stop Service Delivery System; Part 1001 - Services for Veterans.

Regional or Local Office:

Contact the nearest office of the local One-Stop Career Center or state workforce agency by checking the local telephone directory or visit the Web site, America's Service Locator at www.servicelocator.org.

Headquarters Office:

Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Christine Ollis, Division Chief, Adult Services, Room C-4510. Telephone: (202) 693-33046.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

10.768, Business and Industry Loans; 10.766, Community Facilities Loans and Grants; 17.272, Permanent Labor Certification for Foreign Workers; 17.273, Temporary Labor Certification for Foreign Workers; 17.225, Unemployment Insurance; 17.235, Senior Community Service Employment Program; 17.245, Trade Adjustment Assistance; 27.001, Federal Civil Service Employment; 27.002, Federal Employment Assistance for Veterans; 27.003, Federal Student Temporary Employment Program; 27.005, Federal Employment for Individuals With Disabilities; 53.001, Employment Promotion of People with Disabilities; 17.258, WIA Adult Program; 17.259, WIA Youth Activities; 17.260, WIA Dislocated Workers; 17.801, Disabled Veterans' Outreach Program (DVOP); 17.804, Local Veterans' Employment Representative Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.225 UNEMPLOYMENT INSURANCE**FEDERAL AGENCY:**

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Social Security Act, as amended, 42 U.S.C. 501-504, 1101-1109; Trade Act of 1974, as amended, Public Law 93-618, 88 Stat. 2024, 19 U.S.C. 2311; Federal Unemployment Tax Act, as amended, 26 U.S.C. 3404 note; Federal Employees and Ex-Service Members, 5 U.S.C. 8501 and 8521; Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, Public Law 100-707, 88 Stat. 153, 42 U.S.C. 5171.

OBJECTIVES:

To administer a program of unemployment insurance for eligible workers through Federal and State cooperation; to administer payment of trade adjustment assistance, alternative trade adjustment assistance, disaster unemployment assistance, unemployment compensation for Federal employees and ex-service members.

TYPES OF ASSISTANCE:

Formula Grants; Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

The states have the direct responsibility for establishing and operating their own unemployment insurance programs, while the Federal Government finances the cost of administration. State unemployment insurance tax collections are used solely for the payment of benefits. Federal unemployment insurance tax collections are used to finance expenses deemed necessary for proper and efficient administration of the state unemployment insurance laws; to reimburse state funds for one-half the costs of extended benefits paid under the provisions of state laws which conform to the provisions of the Social Security Act and the Federal Unemployment Tax Act; and to make repayable advances to states when needed to pay benefit costs. Funds used for benefit payments may not be used for any program administration costs nor for training, job search, and job relocation payments. Disaster Unemployment Assistance (DUA) is paid out of funds provided by the Federal Emergency Management Agency (FEMA). Benefits for former Federal civilian employees, including postal workers, and former members of the Armed Forces are paid out of the Federal Employees Compensation Account (FECA) in the Unemployment Trust Fund, subject to reimbursement by the former employing agency.

Applicant Eligibility:

State workforce agencies, including those in the District of Columbia, Puerto Rico and the Virgin Islands.

Beneficiary Eligibility:

All workers whose wages are subject to State unemployment insurance laws, Federal civilian employees, ex-service members, trade readjustment allowance for workers who become unemployed or underemployed because of the adverse effect of increased imports or because of shifts in production outside the U.S., and workers whose unemployment is caused by a Presidentially declared disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, are eligible if they are involuntarily unemployed, able to work, available for work, meet the eligibility and qualifying requirements of the state law, and are free from disqualifications. Individual State information on eligibility requirements is available from local One-Stop Career Centers.

Credentials/Documentation:

Awards of grants to states are conditioned on the Secretary of Labor's determination that the states' unemployment compensation laws are in conformity with the Social Security Act and the Federal Unemployment Tax Act and that State practices substantially comply with these laws. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Eligible applicants are furnished applications (State Quality Service Plan) by the Employment and Training Administration for this program. States submit the plans to apply for grants to the appropriate regional office of the Employment and Training Administration, based on target performance levels issued to the states through ETA regional offices pursuant to nationally-developed workload estimates. All plans are to be constructed in terms of selected workloads to be undertaken and the resources and numbers of employees needed to accomplish them. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Regional Administrator for Employment and Training issues a preliminary approval for a State within the dollar target provided by the ETA National Office, based on the State plan submitted.

Deadlines:

State plans are submitted to the appropriate Regional Office for final approval no later than mid-September.

Range of Approval/Disapproval Time:

Approximately 45 days at the Regional Office level.

Appeals:

Contact Federal agency.

Renewals:

None.

Formula and Matching Requirements:

Matching requirements - not applicable. Grants for administration of programs is determined by formula based on State workload projections pursuant to economic assumptions and nationally developed workload estimates.

Length and Time Phasing of Assistance:

Annual grants for base operation of programs, plus additional quarterly funding based on actual workloads. Financing of administrative costs is accomplished through Letter of Credit drawdowns needed to meet immediate cash requirements.

Reports:

Weekly, monthly, quarterly, and yearly statistical reports of unemployment insurance workloads. Separate quarterly financial status reports are required for grants used for administration of each type of program.

Audits:

Subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State requirements are to be in conformity with Federal statutes.

Account Identification:

20-8042-0-7-999, 16-0326-0-1-999, 16-0179-0-1-999.

Obligations:

(Grants) FY 07 \$2,510,000,000; FY 08 est \$2,474,000,000; and FY 09 est \$2,646,000,000 (Benefits) FY 07 \$33,350,000,000; FY 08 est \$35,356,000,000; and FY 09 est \$37,975,000,000.

Range and Average of Financial Assistance:

Grants: \$1,900,000 to \$359,000,000. Average: \$45,400,000.

PROGRAM ACCOMPLISHMENTS:

State agencies pay unemployment compensation to eligible workers and collect state unemployment taxes from employers. These agencies also pay unemployment benefits and adjustment allowances to eligible former Federal civilian employees (UCFE), ex-service members (UCX), disaster unemployment assistance (DUA), and trade-impacted workers (TRA & ATAA).

REGULATIONS, GUIDELINES, AND LITERATURE:

"Unemployment Insurance For Ex-Service Members;" "Unemployment Insurance For Federal Workers;" "Significant Provisions of State Unemployment Insurance Laws;" "Comparison of State Unemployment Insurance Laws;" and regulations, factsheets, and other program information are available on the Internet at <http://workforcesecurity.doleta.gov/unemploy>.

Regional or Local Office:

Local One-Stop center; State Workforce Agency; Employment and Training Administration regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator, Office of Workforce Security, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W. Washington, DC 20210. Telephone: (202) 693-3029. Contact: Cheryl Atkinson.

Web Site Address:

<http://workforcesecurity.doleta.gov/>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 57.001, Social Insurance for Railroad Workers; 17.245, Trade Adjustment Assistance; 97.034, Disaster Unemployment Assistance.

EXAMPLES OF FUNDED PROJECTS:

See Uses and Use Restrictions Section of the program.

CRITERIA FOR SELECTING PROPOSALS:

None

17.235 SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM

(SCSEP)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Older Americans Act of 1965, Title V, as amended by Public Law 109-365; (OAA-2006); 120 Stat. 2522, 42 U.S.C. 3001.

OBJECTIVES:

To foster individual economic self sufficiency; provide training in meaningful part-time opportunities in community service activities for unemployed low-income persons who are age 55 years of age or older, particularly persons who have poor employment prospects; and to increase the number of older persons who may enjoy the benefits of unsubsidized employment in both the public and private sectors.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Organizations that receive grants use the funds to create and pay for part-time community service training positions for persons age 55 and above whose income is at or below 125 percent of the poverty level. The individuals who are enrolled may be trained in work assignments at local service agencies (e.g., schools, hospitals, day care centers, park systems, etc.). A portion of the funds may be used to provide participants with classroom training and supportive services. No more than 13.5 percent of the Federal share of the costs may be spent for administration. (If justified, a waiver to 15 percent may be provided by the Department of Labor.) Participants may not train in projects involving political activities, sectarian activities, nor may participants displace any employed worker or perform work which impairs existing contracts for service. Each local project is required to coordinate its activities with local Workforce Investment Act projects and One-Stop Career Centers and the State Office of the Aging.

Applicant Eligibility:

The following types of organizations are eligible to receive grants: (1) states and U.S. territories; (2) national public and private non-profit institutions/organizations, other than political parties but including faith-based and community organizations; and (3) tribal organizations.

Beneficiary Eligibility:

Adults 55 years or older with a family income at or below 125 percent of the Department of Health and Human Services (DHHS) poverty level. Prospective participants must provide documentation relative to age and personal financial status which is required to determine whether the individual is program eligible. With certain exceptions, the Census Bureau's Current Population Survey definition of income governs the determination of SCSEP applicant income eligibility. Section 518 (a)(3)(A)OAA-2006 specifies that any income that is unemployment compensation, a benefit received under title XVI of the Social Security Act; a payment made to or on behalf of veterans or former members of

the Armed forces under the laws administered by the Secretary of Veterans Affairs, or 25 percent of a benefit received under title II of the Social Security Act is excluded from SCSEP income eligibility determinations.

Credentials/Documentation:

Applicants must meet the eligibility test under Section 514(c) and the responsibility test under Section 514(d) of the Older Americans Act.

Preadmission Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

National nonprofit organizations are required under Section 502(d) of the Older Americans Act to submit an application to the state agency, in addition to the Department of Labor. The Division of Adult Services in the Employment and Training Administration will provide information concerning proper application, format, and content and furnish standard application forms. Governors may comment on SCSEP grant proposals prior to award and may make recommendations to the Secretary of Labor to improve the distribution of SCSEP services.

Award Procedure:

Awards are made directly to eligible applicants by the Employment and Training Administration of the Department of Labor.

Deadlines:

Contact the Department of Labor for application deadlines.

Range of Approval/Disapproval Time:

Approximately eight weeks.

Appeals:

Appeals may be filed according to the regulations at 20 CFR 641.900.

Renewals:

Grantees must meet the eligibility and responsibility test each year in order to be funded. In addition, grantees must meet negotiated performance measures described in Section 513(b) of OAA-2006, in administrative guidance, and in an Interim Final Rule on SCSEP Performance Accountability to be published prior to July 1, 2007.

Formula and Matching Requirements:

The statutory allocation formula at Section 506 of OAA -2006 provides for the distribution of funds on the basis of a hold-harmless factor, the number of persons aged 55 and over residing in each state and the per capita income (PCI) in each state. The statistical sources used for fund allocation are based on census data. Below the state level, funds are distributed on the basis of persons over the age of 55 at or below 125 percent of the poverty guidelines as determined by the DHHS and Census P-60 Current Population Reports. Grantees must provide or arrange through third parties at least 10 percent of the cost of the project from non-Federal sources. The grantee share of the cost may be contributed in cash or in-kind. This program has Maintenance of Effort requirements described at Section 502(b)(1)(G) of OAA-2006 and 20 CFR 641.844 of the 2004 Final Rule.

Length and Time Phasing of Assistance:

Funding is provided annually. The program year runs from July 1 to June 30.

Reports:

(1) Quarterly Progress Reports; (2) Quarterly Financial Status Report (FSR); (3) Annual Equitable Distribution Report; and (4) A 4-year strategic State Plan, to be reviewed and updated no less frequently than every two years. The Quarterly Progress Reports are generated by the SCSEP Performance and Results QPR (SPARQ) system located within the Department of Labor. All other reports are submitted electronically by the grantees. For the quarterly FSR, permitted applicant organizational costs will be determined in accordance with OMB Circular Nos. A-87 for state and local governments, and A-122 for nonprofit organizations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of states, local governments, and nonprofit organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normally to be retained by the grant or contract recipient for a three-year period following the completion of the grant or contract. Grantees are required to submit participant data via high-speed Internet access to a DOL-sponsored data collection system.

Account Identification:

16-0175-0-1-504.

Obligations:

(National program grants) FY 07 \$375,000,000; FY 08 est \$391,000,000; FY 09 est \$273,000,000 (State program grants) FY 07 \$109,000,000; FY 08 est \$131,000,000; and FY 09 est \$77,000,000.

Range and Average of Financial Assistance:

Grant awards have ranged from \$86 million to \$330,000.

PROGRAM ACCOMPLISHMENTS:

For Program Year (PY) 2006, 60,400 subsidized, part-time training positions were available. Approximately 93,500 people were served in these positions in PY 2006. Approximately 20,000 participants exited the program to begin a job. In a typical program year, approximately 74 grant awards are made.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 641; Training and Employment Guidance Letters, Training and Employment Notices, previously issued Older Worker Bulletins that are still in effect and other SCSEP directives.

Regional or Local Office:

Region 1, Dana Bourne, Telephone: (617) 788-0122; Region 2, Chantal Watler, Telephone: (215) 861-5224; Region 3, Deborah Bradley, Telephone: (404) 302-5379; Region 4, Marilyn Brandenburg, Telephone: (214) 767-2257; Region 5, Celeste Moerle, Telephone: (312)596-5422; Region 6, Ingrid Nyberg, Telephone: (415) 625-7947.

Headquarters Office:

Judith Gilbert, Division of Adult Services, Office of Workforce Investment, Employment and Training Administration, Department of Labor, Room S-4209, 200 Constitution Avenue, N.W., Washington, DC 20210. Telephone: (202) 693-3938. Fax: (202) 693-3817.

Web Site Address:

<http://www.doleta.gov/seniors/>.

RELATED PROGRAMS:

17.258, WIA Adult Program.

EXAMPLES OF FUNDED PROJECTS:

The Employment and Training Administration makes grant awards available to 50 states, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, and Virgin Islands, along with 18 national non-profit organizations.. A variety of organizational arrangements are used to administer the SCSEP projects. Many of the grantees have contractual relationships and agreements with local government and non-profit organizations. In many cases the SCSEP program is operated by the state aging agency and within sub-state areas by area agencies on aging. Some of the national grantee organizations administer the SCSEP local projects directly through their affiliates, while others sub-grant funds to local agencies. Most of the projects operated under the auspices of the SCSEP provide service through host agencies to the community at large or to the elderly community. Some of the activities have included transportation for the elderly, serving the community as librarians, teachers' aides, nutritional aides, etc. The ultimate goal of the program is to place participants into unsubsidized employment and foster economic self-sufficiency.

CRITERIA FOR SELECTING PROPOSALS:

The Department annually renews grant agreements. The Department may have an open competition from time to time to select national grantees. National grants were competed in 2003 and 2006. The next competition opportunity, as specified in the authorizing legislation, will be in 2011. When such a competition occurs, a notice will be published in the Federal Register.

17.245 TRADE ADJUSTMENT ASSISTANCE

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Trade Act of 1974, Title II, Public Law 93-618, 88 Stat. 1979, 19 U.S.C. 2271-2322, as amended; Omnibus Budget Reconciliation Act of 1981, Title XXV, Public Law 97-35, as amended; Miscellaneous Revenue Act of 1983, Public Law 97-362, as amended; Amendment to the International Coffee Agreement Act of 1980, Public Law 98-120, as amended; Deficit Reduction Act of 1984, Public Law 98-369, as amended, Public Law 99-190; Third Continuing Resolution for Fiscal Year 1986 Funds, as amended; Consolidated Omnibus Budget Reconciliation Act of 1985, Title XIII, Public Law 99-272; Omnibus Trade and Competitiveness Act of 1988, Title I, Public Law 100-418; North American Free Trade Agreement Implementation Act, Title V, Public Law 103-182; Consolidated Appropriations Act of 2000, Public Law 106-113; Trade Act of 2002, Title I-II, Public Law 107-210.

OBJECTIVES:

To provide adjustment assistance to qualified workers adversely affected by foreign trade which will assist them to obtain suitable employment.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

State Workforce Agencies (SWAs) serve as agents of the United States for administering the worker adjustment assistance benefit provisions of the Trade Act. SWAs, through the local offices, provide testing, counseling, and job placement services; job search and relocation assistance; training; and payment of weekly trade readjustment allowances (TRA). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid

subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Applicant Eligibility:

A petition for Trade Adjustment Assistance (TAA) may be filed by a group of adversely affected workers and be signed by at least three workers; alternatively, a petition may be filed by a company official, one-stop operators or one-stop partners, including State employment security agencies or the State dislocated worker unit, union representative, or other authorized representatives, requiring only one signature. After receiving a TAA petition, Division of Trade Adjustment Assistance (DTAA) investigators will establish if the workers' company produces a product and that a required minimum of the workforce has been laid off in the 12 months preceding the date of the petition or is threatened with layoffs (3 workers in groups of fewer than 50, 5 percent of the workforce in groups of 50 or more, or at least 50 workers in groups of 1000 or more). Additionally, DTAA will analyze facts contributing to the workers' layoffs or work reductions in order to determine one of the following sets of conditions have been met: (1) Increased imports contributed importantly to an actual decline in sales or production and to a layoff or threat of a layoff; or (2) There has been a shift in production to certain countries which contributed to a layoff or threat of a layoff; or (3) there has been a shift in production outside of the United States and there has been or is likely to be an increase in the import of like or similar articles; or (4) loss of business as a supplier of component parts, a final assembler, or a finisher where workers were TAA certified and contributed importantly to an actual decline in sales or production, and to a layoff or threat of a layoff. In accordance with the requirement of Section 246 of the Trade Adjustment Assistance Reform Act of 2002, the Secretary implemented on August 6, 2003, the Alternative Trade Adjustment Assistance (ATAA) for Older Workers. A request for ATAA consideration is part of the TAA petition and is filed at the same time the TAA petition is filed. In order for the Department to certify a worker group eligible to apply for the ATAA, the petitioning worker group must be determined eligible to apply for TAA. The criteria that the Department must consider for ATAA certification are: (1) a significant number of workers in the firm must be 50 years of age or older, (2) whether the workers possess skills that are easily transferable in the local area, and (3) the competitive conditions within the workers' industry.

Beneficiary Eligibility:

A TAA beneficiary must: (1) be found by the Labor Department to have been adversely affected by increased imports or a shift in production to certain countries, (2) be certified by the Secretary of Labor as eligible to apply for adjustment assistance, and (3) meet the following individual requirements: (a) his or her unemployment or underemployment must have begun on or after the impact date specified in the Secretary's certification as the beginning of the import-impacted unemployment or underemployment; (b) his or her unemployment must begin before the expiration of the two-year period beginning on the date on which the Secretary issued the certification for his or her group or before the termination date, if any, specified in the certification. In addition, to be eligible for weekly trade readjustment allowance (TRA) payments he or she must: (1) have been employed with wages at a minimum of \$30 per week by the import-affected firm for at least 26 of the previous 52 weeks including the week of total layoff (up to seven weeks of employer-authorized leave may be counted as qualifying weeks of employment or up to 26 weeks of disability compensation); and (2) be enrolled in or have completed a TAA approved job training program, unless the determination is made that training is either not feasible or not appropriate, in which case a waiver of the training requirement may be issued. To receive TRA, the claimant must be enrolled in an approved training program within eight weeks of the Secretary's issuance of the certification or within 16 weeks of the worker's most recent qualifying separation, whichever is later.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To apply for TAA under the Trade Act of 1974, as amended, a "Petition for Trade Adjustment Assistance" must be filed directly with the Division of Trade Adjustment Assistance at the address listed below and with the State official or organization designated by the Governor of the State in which the workers' firm or subdivision is located. DTAA and State contact information, as well as petition forms in English and Spanish, are available through the State Workforce Agencies or from the Division of Trade Adjustment Assistance listed below. The Petition forms may also be downloaded from our web-site at: <http://www.doleta.gov/tradeact>. Filing a petition also constitutes an application for Alternative Trade Adjustment Assistance (ATAA). Petitions must be filed with both the DTAA and the State agency in which the worker group's plant is located. Notice of such filing shall be promptly published in the Federal Register. Within 10 days after publication, the petitioner or any other person found by the Secretary to have a substantial interest may request a hearing and be afforded the opportunity to be present, to present evidence, and to be heard.

Award Procedure:

A Certification of Eligibility to apply for worker adjustment assistance and

alternative trade adjustment assistance may be issued by the Secretary of Labor to groups of workers who have been found by the Department of Labor to have met the requirements concerning foreign trade-affected separations from employment. Individual workers covered by the certification can apply to the local office of their State Workforce Agency for individual determinations of eligibility to receive benefits.

Deadlines:

None.

Range of Approval/Disapproval Time:

For the TAA program, eligibility investigations begun by the receipt of a valid petition are completed, and a determination issued, within 40 calendar days of the receipt of the petition.

Appeals:

There are two courses of appeal, one administrative and the other judicial. Petitioners aggrieved by a final determination by the Secretary may, within 30 days after notice of such determination is published in the Federal Register, file an application for reconsideration with the Director of the Division of Trade Adjustment Assistance. If the determination following reconsideration is negative, they may, within 60 days after notice of the negative determination upon reconsideration, file a petition for review of such determination with the United States Court of International Trade in New York City. Aggrieved petitioners may request judicial appeal without first seeking administrative reconsideration, within 60 days after notice of such determination is published in the Federal Register.

Renewals:

None.

Formula and Matching Requirements:

Base funding of grants to States for training services uses a formula based on States' previous year allocations, accrued expenditures and participant levels; additional funds may be requested by States based on additional needs above the base funding provided.

Length and Time Phasing of Assistance:

Cash trade readjustment allowances (TRA) become payable only after workers have exhausted their entitlement to State unemployment compensation including extended benefits. For TAA certifications on petitions filed on or after November 4, 2002, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 52 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes remedial education. Participation in ATAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The ATAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$10,000 for up to two years. The individual must obtain employment within 26 weeks of layoff, earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided.

Reports:

Quarterly financial reports on grants for administration of TAA/TRA program are required.

Audits:

Subject to audits by the U.S. Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

16-0326-0-1-999.

Obligations:

(TAA Training Grants) FY 07 \$260,000,000; FY 08 est \$260,000,000; FY 09 est \$260,000,000.

Range and Average of Financial Assistance:

Weekly allowance payments are the same as the amount of weekly State unemployment compensation.

PROGRAM ACCOMPLISHMENTS:

From April 1975 through September 2006, the Department of Labor issued certifications in 35,549 cases involving 4,357,803 workers. (These figures are continuously revised to account for actual experience and include both TAA and NAFTA-TAA.)

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations at 29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance, and 20 CFR 617, Trade Adjustment Assistance for

Workers.

Regional or Local Office:

Regional offices of the Employment and Training Administration, Department of Labor listed in Appendix IV, and local offices of affiliated State Employment Security Agencies.

Headquarters Office:

Ralph DiBattista, Director, Division of Trade Adjustment Assistance, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Room C-5311, Washington, DC 20210. Telephone: (202) 693-3098.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.225, Unemployment Insurance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.258 WIA ADULT PROGRAM

(WIA-Adult Program)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998 (WIA), Title I, Subtitle B, Chapter 5, Public Law 105-220, 20 U.S.C. 9201.

OBJECTIVES:

The purpose of this program is to improve the quality of the workforce, reduce welfare dependency, and enhance the productivity and competitiveness of the nation's economy by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and earnings. For cross-cutting goals, the program intends to enhance customer satisfaction for participants and for employers. The employment goals will be measured using Unemployment Insurance Wage Records systems and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The Act specifies that most services for adults will be provided through One Stop Career Centers. The Act authorizes three levels of service, which are available to all jobseekers. "Core" services include outreach, job search, placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to all those who could not find employment through core services. Those customers who cannot find employment through intensive services may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from a qualified training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program.

Applicant Eligibility:

Under WIA, the organizations eligible to receive funding from the Department are the 50 States, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula. The states in turn allocate funds to local workforce investment boards (approximately 600), by formula.

Beneficiary Eligibility:

All adults 18 years and older are eligible for core services. Priority for intensive and training services must be given to recipients of public assistance and other low-income individuals where funds are limited. States and local areas are responsible for establishing procedures for applying the priority requirements.

Credentials/Documentation:

Formula-funded programs are subject to Governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidances provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other ETA and non-ETA DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Each State shall submit its State Plan on or before the date set by the Assistant Secretary for Employment and Training. Contact headquarters office for an application deadline date.

Range of Approval/Disapproval Time:

State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the State Plan is in consistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the State Plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in Section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Contact the Headquarters Office to obtain information on appeal procedures.

Renewals:

None.

Formula and Matching Requirements:

Adult Activities funds are allocated to states under the formula described in the Act, which includes three factors based on the distribution of unemployed individuals and disadvantaged adults by State.

Length and Time Phasing of Assistance:

Formula funds are allotted every year to a State in two portions during a program year and are available for expenditure by the State for that program year and the two succeeding program years.

Reports:

Financial and performance reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300.

Audits:

Subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of states, local governments, and nonprofit organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 07 \$864,000,000; FY 08 est \$850,000,000; and FY 09 est \$712,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

The Program Year 2005 WIA Adult program served 1,052,611 participants. Of those who exited the program, 134,005 entered employment. The entered employment rate was 77 percent. Program accomplishments are published annually and posted on the Internet at:

http://www.doleta.gov/performance/results/wia_national_performance.cfm. A copy of the report may be obtained by writing to: Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000.

Regional or Local Office:

Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Workforce Investment, Employment and Training Administration,

Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210.

Contact: Christine Ollis, Division Chief, Adult Services, Room S-4209.

Telephone: (202) 693-3046.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.259, WIA Youth Activities; 17.260, WIA Dislocated Workers.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

17.259 WIA YOUTH ACTIVITIES

(WIA Formula Youth)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 4, Public Law 105-220, 20 U.S.C. 9201.

OBJECTIVES:

To help low income youth, between the ages of 14 and 21, acquire the educational and occupational skills, training, and support needed to achieve academic and employment success and successfully transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Title I of the Act authorizes the new Workforce Investment System and establishes state workforce investment boards with oversight responsibility by the governors for local workforce investment boards; and establishes the process by which eligible providers of training and youth activities are identified. The Act authorizes the use of funds for youth employment and training activities that will provide eligible youth assistance in achieving careers and academic and employment success; ensures ongoing mentoring opportunities; provides opportunities for training; provides continued supportive services; provides incentives for recognition and achievement; and provides opportunities for leadership, development, decision making, citizenship, and community service.

Applicant Eligibility:

Under WIA, 50 states, Puerto Rico, the District of Columbia, and the outlying areas are identified as the recipients of youth training activities funds. For a state to be eligible to receive youth funds, the governor of the state will submit to the Secretary for consideration by the Secretary, a single state plan that outlines a 5-year strategy for the statewide workforce investment system.

Beneficiary Eligibility:

An eligible youth is an individual who: (1) is 14 to 21 years of age; and (2) is an individual who received an income or is a member of a family that received a total family income that, in relation to family size, does not exceed the higher of (a) the poverty line; or (b) 70 percent of the lower living standard income; and (3) meets one or more of the following criteria: is an individual who is deficient in basic literacy skills; a school dropout; homeless; a runaway; a foster child; pregnant or a parent; an offender; or requires additional assistance to complete their education or secure and hold employment.

Credentials/Documentation:

In order to establish a continuing relationship under the Act, the governor and the Secretary of Labor shall sign a governor/Secretary Agreement. This agreement assures that the State will comply with the Workforce Investment Act and the applicable rules and regulations.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to follow in applying for assistance, if the State has selected the program for review.

Application Procedure:

The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Janet Sten), Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Each State shall submit its plan on or before the date set by the Assistant Secretary of the Employment and Training Administration. Contact Headquarters Office for an application deadline date.

Range of Approval/Disapproval Time:

A State plan submitted to the Secretary will be considered to be approved by the Secretary at the end of the 90-day period beginning on the day the Secretary received the plan, unless the Secretary makes a written determination, during the 90-day period, that the plan is inconsistent with the provisions of Title I.

Appeals:

Contact Headquarters Office to obtain information on appeal procedures.

Renewals:

None.

Formula and Matching Requirements:

Youth activities funds are allotted to states under the formula described in the Act based on the distribution of unemployed individuals and disadvantaged youth by state.

Length and Time Phasing of Assistance:

Formula funds allotted to a State each year are available for expenditure by the State for that program year plus two succeeding program years.

Reports:

Reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), audits of states, local governments, and nonprofit organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

FY 07 \$929,000,000; FY 08 est. \$861,000,000; and FY 09 est. \$828,000,000.

Range and Average of Financial Assistance:

There is no established range; grant awards are based on formula allocation.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR PART 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000. Federal Register: Thursday, February 28, 2002 (Volume 67, Number 403) "Solicitation of Comments on the Reauthorization of the Workforce Investment Act (WIA) and Linkages with the Temporary Assistance for Needy Families (TANF); Notice.

Regional or Local Office:

Contact the appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Evan Rosenberg, Division of Youth Services. Telephone: (202) 693-3593. Fax: (202) 693-3532.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.260 WIA DISLOCATED WORKERS

(WIA-Dislocated Workers)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Public Law 105-220, 20 U.S.C. 9201.

OBJECTIVES:

The purpose of the program is to reemploy dislocated workers, improve the

quality of the workforce, and enhance the productivity and competitiveness of the nation's economy by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and extent of recovery of prior earnings. For cross cutting goals, the program intends to enhance customer satisfaction for participants and for employers. The employment goals will be measured using Unemployment Insurance Wage Records systems and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

The Act authorizes three levels of service, which are available to all job seekers. "Core" services include outreach, job search and placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to those who could not find employment through core services. Those customers who cannot find employment through intensive services may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from a qualified training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. National Emergency Grants (NEGs) are used to provide targeted services to workers affected by mass layoffs, natural disasters, Federal government actions, or other specific circumstances. Funds reserved by the Secretary are also used for technical assistance and training and demonstration programs.

Applicant Eligibility:

Under WIA, the organizations eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia, and the outlying areas. Funds are allotted based on a statutory formula and states in turn allocate funds to local workforce investment boards (approximately 600), by formula prescribed by the governor. Applicants for NEGs may be states, outlying areas, local workforce boards, and non-profit and private organizations whose purpose is to provide targeted services to eligible beneficiaries.

Beneficiary Eligibility:

Individuals eligible for assistance through the applicants receiving the funds include workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who have been dependent on income of another family member, but are no longer supported by that income. NEG Program has National Emergency Grants have identical eligibility to the above and also includes certain military personnel and defense employees. Services through NEGs are targeted on individuals affected by mass layoffs, natural disasters, Federal government actions, and other circumstances specified by the Secretary.

Credentials/Documentation:

Formula-funded programs are subject to governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

The governor submits a single Strategic State Plan in accordance with one of the Planning guidance, either Stand-Alone or Unified, issued through the Federal Register. The planning guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210. Application for National Emergency Grant funds is made through a governor's or other authorized applicant's submission of a proposal in accordance with guidelines issued by the Secretary of Labor. Please see http://www.doleta.gov/neg/apply_neg.cfm for a description.

Award Procedure:

Formula Funds: Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing

legislation. NEGs: Submitted applications are reviewed by the Assistant Secretary for the Employment and Training Administration and approved by the Secretary of Labor.

Deadlines:

Each state shall submit its State Plan on or before the date set by the Assistant Secretary for Employment and Training. Contact Headquarters Office for an application deadline date.

Range of Approval/Disapproval Time:

State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Contact the Headquarters Office listed below to obtain information on appeal procedures.

Renewals:

None.

Formula and Matching Requirements:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements.

Length and Time Phasing of Assistance:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements.

Reports:

Financial and performance reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300.

Audits:

Subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of states, local governments, and non-profit organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 07 \$1,190,000,000; FY 08 est \$1,151,000,000; and FY 09 est \$1,065,000,000 (NEGs) FY 07 \$117,000,000; FY 08 est \$100,000,000; and FY 09 est \$93,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Formula program: The WIA Dislocated Worker program served 306,968 participants. Of those who exited the program, 120,433 entered employment. The entered employment rate was 83.2 percent. Program accomplishments are published annually and posted on the Internet at: http://www.doleta.gov/performance/results/wia_national_performance.cfm. A copy of the report may be obtained by writing to: Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Christine Ollis, Division Chief, Adult Services, Office of Workforce Investment, Room S-4209. Telephone: (202) 693-3046.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258, WIA Adult Program; 17.259, WIA Youth Activities.

EXAMPLES OF FUNDED PROJECTS:

Formula funds: not applicable. National Emergency Grants: (1) Louisiana Department of Employment and Training, \$40,700,000, to hire individuals

impacted by Hurricane Katrina in temporary jobs that: (a) worked on projects providing food, clothing, shelter and other humanitarian assistance for disaster victims; (b) provide manpower to help the affected communities with demolition, cleaning, repair, renovation, and reconstruction of damaged or destroyed public structures, facilities and lands located within the disaster areas. (2) Massachusetts Department of Workforce Development, \$2,890,474, for layoffs affecting approximately 1200 workers at Fleet Bank/Bank of America. (3) District of Columbia Department of Employment Services, \$950,000, to respond to the workforce development needs of employers, communities, and workers resulting from scheduled closures and realignments of military installations as a result of the approved actions for Base Realignment and Closing (BRAC) in 2005.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.261 WIA PILOTS, DEMONSTRATIONS, AND RESEARCH PROJECTS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle D, Section 171, Public Law 105-220.

OBJECTIVES:

Address national employment and training problems through demonstration, pilot projects, and applied research. Employment and training issues and/or workforce development interventions are implemented to aid policymakers and stakeholders in addressing these problems and evaluating program efficiencies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects shall include the provision of direct services to individuals to enhance employment opportunities and an evaluation component and may include (1) building a demand driven workforce investment system; (2) building the capacity of workers to obtain good jobs with good wages; (3) focusing on key segments of the workforce; (4) building a comprehensive workforce system through new regional economic strategic approaches; and (5) evaluating program effectiveness.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for-profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Generally limited to the economically disadvantaged and those who are underemployed, unemployed, need to upgrade their skills in order to retain jobs, at-risk youth, and/or to those who evidence barriers to employability.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls, organizational capacity to undertake the project, and qualified key personnel among other credentials described in the particular announcement or solicitation.

Preapplication Coordination:

None except as specified in solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in a Solicitation for Grant Applications (SGA) in the Federal Register and also on ETA's Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will address the criteria of the particular announcement or solicitation. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable SGA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Specified in the applicable SGA, but generally 45 to 60 days from announcement date.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Procedures for each project are specified in the applicable SGA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

In certain instances for particular solicitations or groups of awards, matching or leveraging requirements may be imposed because of statutory requirements and/or administrative decisions.

Length and Time Phasing of Assistance:

Most projects last one to two years.

Reports:

At a minimum, progress and financial reports are required on a quarterly basis as well as a final project report. Additional requirements are included in the SGA or grant package.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 07 \$26,000,000; FY 08 est \$40,000,000; and FY 09 est \$17,000,000.

Range and Average of Financial Assistance:

\$100,000 to \$1.75 million.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, approximately 26 new pilot and demonstration projects or major modifications of existing projects were initiated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of SGAs are published periodically in the Federal Register on the ETA Web site at www.doleta.gov.

Regional or Local Office:

Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Grants and Contract Management, Office of Financial and Administrative Management, Employment and Training Administration, Department of Labor, 200 Constitution Ave., N.W., Room N-4716, Washington, DC 20210. Contact: James DeLuca, Telephone: (202) 693-3754, E-mail: DeLuca.James@dol.gov.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

In PY 2006, ETA maintained oversight and continued support of on-going multi-year studies such as Project Gate, a large scale demonstration testing the impact of micro-enterprise assistance as a workforce development tool. ETA continues large-scale cooperative research efforts with the Departments of Housing and Urban Development (HUD), and Health and Human Services (HHS) addressing the needs of low wage workers. In PY 2006, ETA initiated projects to carry out its goal of building a demand-driven system. These projects support the President's High Growth Job Training Initiative (HGJTI), the Community Board Job Training grants (CBJTG), and the Workforce Innovation in Regional Economic Development (WIRED) initiative. These efforts draw on public-private partnerships. Other new research efforts in FY 2006 focused on existing and emerging target groups such as migrant and seasonal farm workers, people with limited English proficiency, women, ex-offenders reentering their communities through the President's Reentry Initiative (PRI), and workers seeking to start their own businesses. Many of these activities make use of partnerships among federal agencies, state and local agencies, and research institutions. These efforts help to increase the cost effectiveness of projects by making use of administrative data for research and evaluations of ETA programs. Offer training to approximately 100 individuals (30 incumbent workers, 70 new students) that can lead to professional certification and/or an Associate's degree in automotive services; a \$ 900,000 grant to a community college to develop a blended training delivery model accessible to any automotive and collision program across the nation interested in becoming certified by the National Automotive Technicians Education Foundation (NATEF); a \$ 200,000 grant to a not-for-profit organization to offer

resources and hands-on opportunities to build girls' knowledge of and skills in car maintenance; a \$ 300,000 grant to identify and certify more automotive service technicians by translating eight certification exams into Spanish and by having these exams administered throughout the country; a \$ 95,000 grant to an industry association to educate, train, and employ new automotive service technicians through work-based training opportunities, or on-the-job mentor/intern programs; a \$ 1,496,680 grant to a community college to develop curriculum based on a new set of NATEF standards for an entry-level General Service Technician (GST) and train approximately 175 automotive service technicians adapting the new curriculum; a \$ 136,000 grant to a not-for-profit organization to develop a career-lattice model for automotive service technicians and match it to a coaching approach that includes one-on-one mentoring and follow-up mentoring via e-mail; a \$ 250,000 grant to a state workforce investment board to engage the state education system and the biotech business community to enhance youth participation in life sciences; a \$ 5,000,000 grant to a consortium of community colleges to develop a National Center for a Biotechnology Workforce with 5 participating community colleges to identify industry skill needs and to develop skill standards and training for five different biotech sectors: Biotech R&D, Biomanufacturing, Agriculture and Food Processing, Bioinformatics and Bioprocessing; a \$ 1,372,250 grant to a not-for-profit industry education foundation to stimulate the life sciences workshop pipeline at the high school level by developing and launching a demo model of the BioCareer Lab in 25 urban and high-need public schools; a \$ 2,510,117 grant to a local workforce investment system to create a clearinghouse for local and national biotechnology labor market and to coordinate student internships (from high-school to post-doctoral levels) and teacher externships for the regional biotechnology community; a \$ 235,500 grant to an industry association to work with its partners to sustain existing construction career academies in Chattanooga and San Antonio, and will develop additional career academies in eight local communities; a \$ 2,092,343 grant to a not-for-profit organization to launch an outreach campaign to attract women into the construction industry; a \$ 1,400,000 grant to a community college to create a Construction Academy that provides high school students with an array of construction-specific courses and career opportunities in the construction industry; a \$ 2,187,1078 grant to a labor management organization to train and license high school instructors in skill standard certifications so that they can teach and certify students in advanced manufacturing and construction skills; a \$ 4,268,454 grant to an industry association to create a systemic approach to construction industry workforce development that provides a continuum of recruitment, career exploration, education, and training, beginning with middle and high school and continuing through post-secondary education and life-long learning; a \$ 2,000,000 grant to community college to develop a career and education advancement ladder and user-focused curriculum for the land records management and utilities' geospatial technology applications; a \$ 1,000,000 grant to a not-for-profit organization to establish a geospatial technology education and awareness initiative to develop and deliver learning resources like video programming and live web casts, provide professional development services, and integrate geospatial concepts into existing programming and ETA's Career Voyages website; a \$ 187,939 grant to a community college to assess local geospatial workforce needs and use the findings to develop new and innovative curriculum and career ladder workforce development systems for a cross-section of industries; a \$ 990,125 grant to a training institution to establish a Geospatial Technology education training center to support workforce applications; a \$ 2,599,979 grant to a community college to develop a system of resources that not only addresses workforce development needs, but also promotes growth of existing businesses, especially small and medium business sector, as well as new business development -- all within identified targeted industries.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable SGA.

17.264 NATIONAL FARMWORKER JOBS PROGRAM**FEDERAL AGENCY:**

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998; Title I, Subtitle D, Section 167; Public Law 105-220.

OBJECTIVES:

To provide job training and other employability development services and related assistance for those individuals, including their dependents, who are primarily employed in agricultural labor that is characterized by chronic unemployment and underemployment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

In the National Farmworker Jobs Program (NFJP), eligible seasonal farmworkers and their dependents may be offered core services (including initial assessment, One-Stop Center services, job placement, and eligibility determination) intensive

services developed under a case management system (such as objective assessment, individual employment planning, basic education, allowance payments, dropout assistance, and work experience) training services (such as classroom training that is occupationally specific and on the job training), and related assistance (such as emergency assistance and other supportive services that allow a farmworker to stay employed or continue his or her participation in program activities).

Applicant Eligibility:

Public agencies and units of government (State and local); and private non-profit institutions/organizations authorized by their charters or articles of incorporation to operate employment and training programs.

Beneficiary Eligibility:

The ultimate beneficiaries are low income individuals and their dependents who have, during any consecutive 12-month period in the 24 months preceding their application for enrollment, been primarily employed in agricultural labor that is characterized by chronic unemployment or under employment due to the seasonal or migratory nature of the work. Individuals must also be legally available for work and males must not have violated the Selective Service Act registration requirement.

Credentials/Documentation:

Applicants must be able to demonstrate an understanding of the problems of seasonal farmworkers and the capacity to respond to their needs, a familiarity with the local agricultural industry, and the administrative capacity to administer workforce investment programs, including their capacity to be an effective One Stop system partner.

Preapplication Coordination:

The standard preapplication forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the state requires to be followed in applying for assistance if the state has selected the program for review.

Application Procedure:

Grants for operating NFJP programs are awarded under a biennial competitive process. Applications are solicited, normally for each state's service area, through a Solicitation for Grant Applications (SGA) announcement published in the Federal Register and on ETA's Web site, www.doleta.gov. Applicants may be required under the solicitation to provide their proposed plan to operate NFJP services in the areas where they propose to operate. A separate program is funded to serve the geographic area of each State, except as follows: more than one applicant may be selected to serve areas within California and some service areas comprise the geography of two adjoining states. (A provision for waiver of competition for a maximum four-year period may be exercised by the Department).

Award Procedure:

Competing applications will be rated according to criteria included in the Solicitation for Grant Application. Awards are made directly to eligible applicants by the Employment and Training Administration.

Deadlines:

Contact the headquarters for application deadlines.

Range of Approval/Disapproval Time:

Thirty to ninety days.

Appeals:

Petition for reconsideration of non-selection as a potential grantee may be made to an Administrative Law Judge within 21 days of notification of the Department's decision.

Renewals:

Grants may be renewed without further competition for a third and fourth year provided performance for the first two years has been satisfactory.

Formula and Matching Requirements:

A formula is used to distribute funding by state service area based on estimates of each state's share of the nation's eligible seasonal farmworkers. The formula relies primarily on data from the Census of Agriculture and the National Agricultural Workers Survey. This program has no matching requirements.

Length and Time Phasing of Assistance:

Awards initially apply for one or two years, with the option of a subsequent two-year renewal if performance is satisfactory and a national competition is not held.

Reports:

Grantee recipients are required to provide quarterly program and financial status reports and quarterly reporting of individual participant information. Program performance is calculated from quarterly reporting of individual participant information.

Audits:

Programs are subject to financial and compliance audits conducted by the Office of Inspector General (OIG). In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of states, local governments, and non-profit organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific

audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain complete accounting, personnel, and individual participant records in accordance with OMB Circulars A-102 and A-110. Participant records must support eligibility and the need for services provided.

Account Identification:

16-0174-0-1-504.

Obligations:

(Grants) FY 07 \$80,000,000; FY 08 est \$80,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Grants may range between \$100,000 and \$7,000,000. The average is not calculated.

PROGRAM ACCOMPLISHMENTS:

In Program Year (PY) 2005, an estimated 16,907 farmworkers received job training and supportive services. Of those who were provided intensive and job training services, 81 percent were placed in jobs with an average annual wage gain of \$6,754 above the level earned prior to participation in the NFJP. Under the competition for the PY 2005, beginning July 1, 2005, there were 68 applications from which 53 grants were awarded. (The most recent prior competition was for the PY 2003.)

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 669.

Regional or Local Office:

None.

Headquarters Office:

Division of Adult Services, Office of Workforce Investment, Employment and Training Administration, Department of Labor, Room S-4209, 200 Constitution Avenue, N.W., Washington, DC 20210. Telephone: (202) 693-3046. Fax: (202) 693-3945.

Web Site Address:

<http://www.doleta.gov/msfw/>.

RELATED PROGRAMS:

17.258, WIA Adult Program.

EXAMPLES OF FUNDED PROJECTS:

Projects assist farmworkers to complete educational goals and learn specific job skills in order to acquire the qualifications employers require for occupations offering permanent full-time employment, or to advance into other, more stable agricultural employment. This is accomplished through a case management-driven system that uses customer choice to guide the farmworker customer in the development of a strategy for acquiring the job skills and related education that are needed to qualify for higher-skilled jobs, and consequently the opportunity for earning a better livelihood. Projects also assist farmworkers seeking to remain in farmwork by providing emergency assistance and other supportive services that promote their continued participation in the agricultural workforce.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the Solicitation for Grant Application published in the Federal Register whenever there is a competition for grant awards. (Also, see paragraph 92, above.).

17.265 NATIVE AMERICAN EMPLOYMENT AND TRAINING

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle D, Section 166 and Subtitle B, Chapter 2; Public Law 105-220; 112 Stat. 936; 29 U.S.C. 2801 et seq.

OBJECTIVES:

To support employment and training activities for Indian, Alaska Native, and Native Hawaiian individuals in order: to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce; and to promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with the goals and values of such communities. Supplemental youth funding is also awarded to help low income Native American youth and Native Hawaiian youth, between the ages of 14 and 21, acquire the educational skills, training and the support needed to achieve academic and employment success and successfully transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be utilized for employment and training programs and services, including classroom training, on the job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, and transportation allowances designed to assist eligible participants to obtain employment. There are specified restrictions on the amount of grant

funds which can be used for administrative costs. Supplemental youth funds may be utilized to provide employment and training activities that assist youth in achieving academic and employment success. Such activities may include; mentoring, career exploration, work experience, community service, education programs including cultural education, leadership development and supportive services. Funds are restricted to Native American youth and Native Hawaiian youth between the ages of 14 and 21 living on or near reservations and the States of Oklahoma, Hawaii, and Alaska. Administrative costs are limited to 15% but are negotiable up to 20% upon prior agency approval.

Applicant Eligibility:

Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)). Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) selected by the Secretary on a competitive basis. Tribes, bands, and groups may also form consortia in order to qualify for designation as a grantee. An independently eligible grantee shall be a Federally-recognized tribe or other Indian or Native American entity which has: (1) A governing body as defined in 20 CFR 668.200(a); (2) (for new grantees) an identifiable Native American resident population sufficient to generate the funding level(s) outlined at 20 CFR 668.200(a)(3) within its designated service area; and (3) the capability to administer Indian and Native American employment and training programs as outlined at 20 CFR 668.220. Detailed requirements for consortium grantee applicants are set forth at 20 CFR 668.200(b). Supplemental funding is automatically awarded to entities that serve Native American youth and Native Hawaiian youth living on or near reservations, and the States of Oklahoma, Hawaii, and Alaska.

Beneficiary Eligibility:

American Indians (members of federally- recognized Indian tribes, bands, and groups); other individuals of Native American descent, such as, but not limited to, the Klamaths in Oregon, Micmac and Maliseet in Maine, the Lumbees in North Carolina and South Carolina; Indians variously described as terminated or landless, Eskimos and Aleuts in Alaska, and Hawaiian Natives. ("Hawaiian Native" means an individual any of whose ancestors were natives prior to 1778 of the area which now comprises the State of Hawaii.) Applicants must also be economically disadvantaged, unemployed, or underemployed. A Native American grantee may in some cases enroll participants who are not economically disadvantaged, unemployed, or underemployed in upgrading and retraining programs. See 20 CFR 668.300(b)(4) and (5). Native American youth between the ages of 14 and 21 who live on or near a reservation or in the States of Oklahoma, Alaska, and Hawaii and are low income, are eligible to receive supplemental youth services.

Credentials/Documentation:

An entity requesting to apply for a grant must submit a notification of intent to apply biennially. Consortium applicants must include a formal consortium agreement with attachments specified in 20 CFR 668.200. A Comprehensive Services Plan is to be submitted by the designated grantees. It will include, among other things: (1) a program narrative description; (2) a planning summary; and (3) a brief budget summary. Individuals requesting services through this grant must provide documentation of Native American Descent such and Certificate Degree of Indian Blood (CDIB). Individuals must also provide documentation that they are low income or unemployed, or working part-time but are seeking full-time work or employed in a job that is not commensurate with the individual's demonstrated level of education or skill achievement. Males eligible for Selective Service must provide proof of registration. Youth receiving services under the Supplemental Youth Services Program (SYSP) must be low income and be between the age of 14 and 21.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Employment and Training Administration publishes a Solicitation for Grant Application (SGA) in the Federal Register every two years. The SGA provides the application and award process for interested entities to apply for funding to serve specified geographic areas. The latest SGA was published in the Federal Register on February 13, 2006, and can be found on the Internet at: <http://www.doleta.gov/dinap/cfml/docs/CompetitionWaivers.cfm>. After prospective grantees have filed a notice of intent, and new applicants have additionally provided the information cited in 20 CFR 668.240, designation decisions are made. Subsequently, designated grantees must submit a Comprehensive Services Plan to the Employment and Training Administration, Office of Workforce Investment, Indian and Native American Programs (INAP), Department of Labor. In addition, grantees must describe the administrative, planning, and operational elements needed to implement a WIA Section 166 program. Instructions for completing these documents are issued by the Employment and Training Administration through a Training and Employment Guidance Letter (TEGL).

Award Procedure:

Grants will be made directly to eligible grantees for their service areas.

Deadlines:

Notices of intent to apply for a grant are due by October 1 of every odd-numbered year. Designation decisions are made by March 1 of the following even-numbered year. Submission of the Comprehensive Services Plan generally occurs in mid-March or April, after designated grantees have been notified of their approximate allocation amount.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Applicants will be notified of approval or disapproval of the Comprehensive Services Plan and if disapproved, given a reasonable time to make adjustments and resubmit the Plan. Final disapproval of an application or plan submitted by a designated grantee will not be made without affording the grantee an opportunity for reconsideration.

Renewals:

Grant awards may be renewed annually, while designation as a grantee applies for two years.

Formula and Matching Requirements:

This program has no matching requirements. All of WIA Section 166 funds are distributed by formula codified at 20 CFR 668.296 based on the number of unemployed Indians and other Native Americans within the grantee's geographic service area and the number of members of Indian and other Native American households whose income is at or below the poverty level, within the grantee's geographic service area. Supplemental Youth Services funding is similarly distributed to grant recipients based on the number of Native American youth between the ages of 14 and 21 living in poverty on reservation areas or the States of Oklahoma, Alaska, or Hawaii, in the grant recipient's designated service area.

Length and Time Phasing of Assistance:

Funds are made available through annual program year grants. The program year runs from July 1 to June 30, except that the Supplemental Youth Services Program runs from April 1 to March 31. Funds obligated for any program year may be expended by each recipient during the program year and the two succeeding program years.

Reports:

Grant recipients must submit quarterly financial reports and quarterly participant reports to the Employment and Training Administration.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Rev. June 27, 2003) "Audits of states, local governments, and nonprofit organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards in a year will have a single or program specific audit conducted for that year. Nonfederal entities which receive less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0174-0-1-504.

Obligations:

FY 07 \$69,000,000; FY 08 est \$67,000,000; FY 09 est \$58,000,000.

Range and Average of Financial Assistance:

CSP (Adult Program) \$15,071 to \$5,951,298. Average: \$296,660 (PY 06 actual average). SYSP (Youth Program) \$2,415 to \$2,904,164. Average: \$102,228 (PY 06 actual average).

PROGRAM ACCOMPLISHMENTS:

In Program Year 2005 (July 1, 2005 - June 30, 2006), the Section 166 Indian and Native American (INA) program assisted 17,115 low income and unemployed Native Americans with occupational skills training, employment related training, and other employment related services. Of the 17,115 individuals that participated in the program, 12,539 participants exited the program after completing training or receiving an employment related service. Of the 12,539 exiters: 7,143 (57.0 percent) were placed in jobs. 10,834 (86.4 percent) recorded a positive exit, meaning that they were placed in jobs, successfully completed their training, or entered a training program (s) not funded by Section 166. On average, individuals who exited with a job increased their hourly wage rate by \$4.80. In addition, 6,103 Native American youth participated in the INA youth program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 65, No. 156, Friday, August 11, 2000, 20 CFR Parts 667 and 668 (20 CFR 652 et al.), Employment and Training Administration, United States Department of Labor.

Regional or Local Office:

Not applicable.

Headquarters Office:

Indian and Native American Programs, Division of Adult Services, Office of Workforce Investment, Employment and Training Administration, Department

of Labor, Room S-4209, 200 Constitution Avenue, N.W., Washington, DC 20210. Telephone: (202) 693-3046 Fax: (202) 693-3818.

Web Site Address:

<http://www.doleta.gov/dinap>

RELATED PROGRAMS:

15.108, Indian Employment Assistance; 15.124, Indian Loans_Economic Development; 17.207, Employment Service/Wagner-Peyser Funded Activities; 93.612, Native American Programs; 17.258, WIA Adult Program.

EXAMPLES OF FUNDED PROJECTS:

All funded projects have in common the provision of a comprehensive set of job training services. Examples of these services are training, referral to employment, counseling, work experience, child care, testing, job orientation, and follow up on terminated participants.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the regulations and DINAP administrative instructions issued biennially in the Solicitation for Grant Application published in the Federal Register. In general, designation is based on the regulatory requirements found at 20 CFR 668.210, 668.220, and 668.230, concerning legal status, ability to administer Federal funds, and prior experience and success in providing employment and training services to the client population. In addition, the other requirements for designation found at 20 CFR Part 668, Subpart B, must also be met.

17.266 WORK INCENTIVE GRANTS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Key provisions are included in Sections 121, 134(c), and 189(c)(c) of the Workforce Investment Act (29 U.S.C 2841, 2864 (c), and 2939(c) and the Wagner-Peyser Act (29 USC 49f). Key regulations governing the Workforce Investment Act (WIA) and Wagner-Peyser Act programs are at 20 CFR Parts 651-652 and 660-671.

OBJECTIVES:

The Work Incentive Grants (WIGs) and Disability Program Navigators (DPNs) have been established to increase the labor force participation and career advancement of persons with disabilities by effecting systemic change in the One-Stop Career Centers. These programs support the capacity of the One-Stop Career Center system to achieve integrated, seamless, and comprehensive services for people with disabilities, thereby increasing their employment, retention, and earnings. The competitive WIG Program has been reoriented from a competitive solicitation to the Disability Program Navigator (DPN) cooperative agreement, thereby assuring that the essential goals of the WIGs are achieved in the workforce investment system. The Department of Labor (DOL) and the Social Security Administration (SSA) jointly established a new position, the Disability Program Navigator (DPN), in the DOL's One-Stop Career Centers. The DPN guides the One-Stop Career Center staff in helping people with disabilities access and navigate the various programs that impact upon their ability to gain/retain employment. Complex rules surrounding entitlement programs, along with the fear of losing cash assistance and health benefits, can often discourage people with disabilities from working. Consequently, the DPN initiative was established to better inform beneficiaries and other people with disabilities about the work support programs now available at the DOL-funded One-Stop Career Centers. The major objectives of the DPN initiative are: (1) creating systemic change and transforming the culture of how the One-Stop Career Center system serves customers with disabilities; (2) promoting meaningful and effective access, both physical and programmatic, to the One-Stop Career Center system for all customers, including persons with disabilities; (3) creating attitudinal change about the abilities of people with disabilities to work in a variety of jobs and industries; (4) developing new/ongoing partnerships to achieve comprehensive services to people with disabilities; (5) expanding and enhancing the workforce development system's capacity to serve customers with disabilities and employers through the implementation of effective DPN strategies and practices; and (6) increasing the number of people with disabilities served under the WIA and achieving quality employment outcomes for jobseekers with disabilities through accessing WIA Title I and Wagner-Peyser Programs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds are used for establishing Disability Program Navigators in One-Stop Career Centers and improving the centers' physical and programmatic access for customers with disabilities. All proposed costs should be necessary and reasonable according to the federal guidelines set forth in the Uniform Administrative Requirements for Grants and Cooperative Agreements to state and local governments, codified at 289 CFR Part 97, and must comply with the applicable OMB cost principles circulars, as identified in 29 CFR 96.27 and 29 CFR 97.22(b). Awardees must comply with the all other applicable administrative standards and national policy provisions (listed in the SGA).

Indirect costs cannot exceed 10 percent of the award. The DOL will negotiate performance goals with successful applicants that will be consistent with the Department's GPRA goals.

Applicant Eligibility:

State WIA administrating agencies.

Beneficiary Eligibility:

Limited to persons with disabilities eligible for employment and training services under the WIA.

Credentials/Documentation:

None.

Preapplication Coordination:

This funding opportunity is not subject to Executive Order (EO) 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Solicitations for cooperative agreements will be published on the ETA Web site: <http://www.doleta.gov/disability/>. All information concerning the proper application, format, content, and applicable forms are contained in the solicitation and published cooperative agreement. The State WIA administrative entity in the states that meets the eligibility criteria will receive a cooperative agreement solicitation requesting a response within 30 days of receipt.

Award Procedure:

Awards for cooperative agreements are made directly to successful applicants by the Employment and Training Administration (ETA) of the Department of Labor.

Deadlines:

Contact the Employment and Training Administration, Office of Workforce Investment, Division of Adult Services for application deadlines.

Range of Approval/Disapproval Time:

Up to 120 days.

Appeals:

Contact the Employment and Training Administration, Office of Workforce Investment, Division of Adult Services, to obtain information on appeal procedures.

Renewals:

The cooperative agreements may be extended beyond the initial period of award, depending upon the availability of funds, to provide additional support.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The period of performance will be up to approximately 24 months from the date of execution by the Department. The Department may extend the period of performance based on the availability of funds and satisfactory performance. Payment will be made through electronic funds transfer utilizing the computerized Payment Management System (PMS).

Reports:

Awardees will be required to submit quarterly financial reports. Additional data or narrative reports may be required. In addition, ETA will continue to evaluate the DPN program consistent with the evaluation process for the first 17 DPN states. This will include a qualitative evaluation for the DPN program, Submission of Workforce Investment Act Standard Record Data (WIASRD), and Wagner-Peyser Act data related to services and outcomes for customers with disabilities may also be required. Awardees must also provide to DOL semi-annual data for the workforce investment areas served by the DPN that includes Wagner-Peyser and WIA Title I data on individuals with disabilities who are participants, entered employment, are retained in employment, and their average earnings compared to the same data for individuals without disabilities., consistent with the requirements that will be in place under the common measures. It is also necessary for all applicants that receive grants to meet performance targets that are consistent with the Department of Labor's Government Performance Results Act (GPRA) goals for the DPN initiative.

Audits:

In accordance with the provision of OMB Circular A-133 (Revised June 2003), audits of states, local governments, and nonprofit organizations, nonfederal entities that received financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to maintain records in accordance with 29 CFR 95 and 97. Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0179-0-1-999.

Obligations:

(Project Grants) FY 07 \$30,000,000; FY 08 est \$14,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Forty-five states and the District of Columbia and Puerto Rico have been awarded statewide cooperative agreements under the SGAs to eligible applicants. The cooperative agreement awards will be for a two-year period and will range from \$300,000 to \$2,000,000, depending upon the request of the State applying for the cooperative agreement and its size and population.

PROGRAM ACCOMPLISHMENTS:

There has been continued expansion and implementation of disability resource specialists or DPNs in One-Stop Career Centers to assure access to comprehensive, seamless services, and expertise. Currently, there will be approximately 450 Navigators in One-Stop Career Centers in One-Stop Career Centers across the country funded under this initiative as of June 2007 WIASRD data for PY 2005 for workforce investment boards (WIBs) with Navigators show the following outcome data for people with disabilities: 6.90 percent exiters; 80.4 percent employment retention rate; 69.9 entered employment rate; and \$3,256 earnings change. Universal access has been promoted in the One-Stop Career Centers by expanding the availability of assistive technologies, training One-Stop Career Center staff and workforce investment boards on the utilization of assistive technology, reasonable accommodation resources and strategies, disability etiquette, WIA Section 188 non-discrimination regulations, Section 504 of the Vocational Rehabilitation Act, and the Americans with Disabilities Act provisions. Enhanced partnerships have been expanded at the Federal, regional, state, and local levels with both mandated and other WIA partners by coordinating access to services in the One-Stop Career Centers. This included outreach to public and non-profit agencies that serve individuals with disabilities and facilitating coordinated services to this customer group. By including non-WIA mandated partners (e.g., the state Medicaid agencies or the SSA's Ticket to Work implementation) in their efforts, the WIGs/DPNs have demonstrated that expanding the scope of available services through innovative partnerships can have a positive effect on long-term employment outcomes of people with disabilities. A number of DPNs facilitated access to new Medicaid demonstration and waiver projects that are intended to remove health care barriers and facilitate employment for beneficiaries of the SSA. The One-Stop Toolkit (<http://onestoptoolkit.org>) Web site was utilized to share tools and products that grantees have developed for their state and local areas. These include training curriculum, self-assessment accessibility tools, memoranda of understanding with Vocational Rehabilitation or other agencies, mystery shopping protocols, effective strategies, etc. The Webcourse (www.wiawebcourse.org) for One-Stop-Career Center staff: "At Your Service: Welcoming Customers with Disabilities" was revised and disseminated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the WIA are at 20 CFR Parts 651 through 652, 660 through 671 (65 FR 49294, August 11, 2000). The Uniform Requirements for Grants and Cooperative Agreements to State and Local Governments (also known as the "Common Rule") are applicable. The "Common Rule" is codified at 29 CFR Part 97 (97.22).

Regional or Local Office:

Contact the Federal Project Officer (FPO) for the DPN Cooperative Agreements in the appropriate Regional Employment and Training Administrative Office listed in Appendix IV of the Catalog.

Headquarters Office:

Older Workers and Disability Unit, Division of Adult Services, Office of Workforce Investment Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210, (202) 693-3046; Fax: (202) 693-3818; TTY: (202) 693-2871.

Web Site Address:

<http://www.doleta.gov/disability>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities.

EXAMPLES OF FUNDED PROJECTS:

The cooperative agreement funds are to establish positions for Disability Program Navigators in the One-Stop Career Centers. These positions facilitate universal access to the One-Stop Career Center for job seekers with disabilities through reaching out to the disability community, assuring the availability of trained and expert staff, and enhancing coordination across programs and services.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the Solicitation for Cooperative Agreement published on ETA's Web site.

17.267 INCENTIVE GRANTS - WIA SECTION 503

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR; OFFICE OF VOCATIONAL AND ADULT EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Workforce Investment Act of 1998, Public Law 105-220, Section 503, 20 U.S.C. 9273; Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Perkins Act), Public Law 105-332, 20 U.S.C. 2301 et seq.

OBJECTIVES:

To carry out innovative programs consistent with the purposes of Title I of WIA (Workforce Investment Systems), Title II of WIA (Adult Education and Family Literacy Act (AEFLA), 20 U.S.C. 9201 et seq.), the Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Public Law 105-332, 20 U.S.C. 2301 et seq.) or a combination of two or more of these acts.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

States have great flexibility in using these funds and are not limited to conducting only one type of innovative program. However, the use of grant funds must be consistent with WIA Section 503, including the requirement that they be used for innovative programs which further the purposes of WIA Titles I and II and/or the Perkins Act. In addition, grantees must comply with the requirements of whichever program the innovation affects. For example, if the incentive funds will be used for innovations in the delivery of youth activities under WIA Title I, the program must be consistent with WIA Title I provisions applicable to youth activities and the WIA regulations at 20 CFR part 664. States must submit a plan describing the innovative activities to be funded with this grant. States are expected to use funds for services and activities: (1) beyond those provided with regular funds from the three programs, particularly those authorized by one of these programs and another program; (2) which serve the needs of populations intended to be served by one or more of these programs; and (3) targeted to improving the performance of State systems of employment, training, and education. In addition, States are encouraged to plan activities that promote cooperation and collaboration among the agencies administering WIA Title I, AEFLA, and Perkins Act programs.

Applicant Eligibility:

States, including Washington, DC, the Virgin Islands, Puerto Rico, and Guam. A listing of States eligible to receive incentive grants for program year 2005 performance will be published in the Federal Register in May 2007. To qualify for a grant, a State must exceed performance levels agreed to by the Secretary of Education, the Secretary of Labor, the Governor, and the State Education Officer, for outcomes in Titles I and II of the Workforce Investment Act (WIA) and the Perkins Act (Public Law 105-332, 20 U.S.C. 2301 et seq.), which include placement after training, retention in employment, and improvement in literacy levels, among other measures.

Beneficiary Eligibility:

States, including Washington, DC, the Virgin Islands, Puerto Rico, and Guam.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87.

Preapplication Coordination:

The application must include assurances that: The State legislature was consulted with respect to the development of the application; the application was approved by the Governor, the eligible agency for adult education, as defined in WIA Section 203(4) (20 U.S.C. 9202(4)); and the State agency responsible for vocational and technical education programs, as defined in Perkins Act Section 3(9) (20 U.S.C. 2302(9)); and The State and the eligible agency, as appropriate, exceeded the State adjusted levels of performance for WIA Title I, the State adjusted levels of performance for AEFLA, and the performance levels established for Perkins Act programs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance. ETA will coordinate review of applications with the Department of Education.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Specified in the applicable request for proposals, but generally 45 to 60 days from announcement.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Appeal procedures are at 20 CFR Part 667, Subpart H.

Renewals:

None.

Formula and Matching Requirements:

There are no matching requirements for this program. The formula used for determining the amount of funds available to each eligible State is based on each State's relative share of the combined WIA Title I, AEFLA, and Perkins Act formula grants awarded to that State. The amount each State is eligible to receive is determined by the Departments of Education and the Labor and is based on WIA section 503(c) (20 U.S.C.9273(c)) which provides minimum and maximum award amounts and procedures for proportionate reductions where insufficient appropriations are available.

Length and Time Phasing of Assistance:

Funds are awarded on a program year (July to June) basis and are available for expenditure for two years (the year of award and the succeeding year).

Reports:

Reporting requirements are specified in the grant document.

Audits:

Audits shall be conducted in accordance with 20 CFR 667.200(b).

Records:

States are required to maintain adequate records in accordance with DOL uniform administrative requirements in 29 CFR Sections 97.20 and 97.42.

Account Identification:

91-0400-0-1-501.

Obligations:

(Grants) FY 07 \$16,000,000; FY 08 est \$10,000,000; FY 09 est \$10,000,000.

Range and Average of Financial Assistance:

\$750,000 to \$3,000,000. However, the Secretary has the authority to award grants less than \$750,000 based on the amount of award money available and the number of states eligible.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 666.200 - 666.230; Training and Employment Guidance Letter No. 20-01, change5 to be released in May 2007.

Regional or Local Office:

None.

Headquarters Office:

Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Karen Staha, Chief, Division of System Accomplishments and Accountability, Office of Performance and Technology, Room S5206. (202) 693-3031.

Web Site Address:

<http://www.doleta.gov/performance>.

RELATED PROGRAMS:

17.258, WIA Adult Program; 17.259, WIA Youth Activities; 17.260, WIA Dislocated Workers; 84.002, Adult Education - Basic Grants to States; 84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

17.268 H-1B JOB TRAINING GRANTS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

American Competitiveness and Workforce Improvement Act of 1998, Section 414 (c), Public Law 105-227.

OBJECTIVES:

The H-1B Job Training Grant Program consists of two parts the High Growth Job Training Initiative and the Workforce Innovation in Regional Economic Development (WIRED) Initiative. The President's High Growth Job Training Initiative represents the first step in a series of actions that the Department of Labor's Employment and Training Administration (ETA) has taken to engage business, education and the workforce investment system to work together to develop solutions to the workforce challenges facing high growth industries. By expanding the local workforce system's capacity to be market-driven, responsive to local economic needs, and a contributor to the economic well-being of the community, the Employment and Training Administration is promoting workforce quality, enhanced productivity, and economic competitiveness. The High Growth Job Training Initiative continues to invest in national models and demonstrations of workforce solutions in these sectors designed to achieve the following outcomes: Targeted investment of workforce development resources and support for private and public sector partnerships to ensure the development of workers skills in occupations where industry has identified needs. Increased integration of community and technical college efforts with business and the public workforce system activities to meet the skills training needs of high-growth industries. Increased opportunities for employers to use apprenticeship training as skills development methodology, combining on-the-job training and academics, to ensure a pipeline of skilled workers. Providing workers with paths to career enhancing opportunities in high-growth occupations. The goal of the

WIRED initiative is to expand employment and advancement opportunities for American workers and catalyze the creation of high-skill and high-wage opportunities in the regional economies. The WIRED Initiative focuses on labor market areas that are comprised of multiple jurisdictions within state or across state borders. It supports innovative approaches to workforce development, economic development, and education that go beyond traditional strategies preparing workers to compete and succeed both within the United States and globally. This initiative is designed for regions that have been affected by global trade, are dependent on a single industry or are recovering from natural disasters.

One of the key elements of the WIRED Initiative is a strong regional partnership composed of all the organizations necessary to transform the regional economy, including workforce, civic, business, investor, education, government, entrepreneurial, and philanthropic organizations. WIRED grantees have a unique opportunity to design and implement strategic approaches that will transform their economies and the systems that support those economies.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

ETA identified 14 sectors that fit within the following criteria: (1) they are projected to add substantial numbers of new jobs to the economy or affect the growth of other industries; or (2) they are existing or emerging businesses being transformed by technology and innovation requiring new skills sets for workers. The sectors include: Advanced Manufacturing, Aerospace, Automotive, Biotechnology, Construction, Energy, Financial Services, Geospatial Technology, Health Care, Homeland Security, Hospitality, Information Technology, Retail, and Transportation. Funds are to be used to provide job training services and related capacity-building activities that are designed to assist workers in gaining the skills and competencies needed to obtain or upgrade career ladder employment positions in high-growth industries and economic sectors. In order to facilitate these job training services, funds may be used to assist in the development and implementation of model activities such as developing appropriate curricula to build core competencies and train workers, identifying and disseminating career and skill information, and increasing the integration of community and technical college activities with activities of businesses and the public workforce investment system to meet the training needs for high-growth industries and economic sectors.

Applicant Eligibility:

In the majority of grants funded under these programs, applicants may be public, private for-profit, and private nonprofit organizations. This includes businesses or business-related nonprofit organizations (such as trade associations), Workforce Investment Boards and One-Stop Career Centers, units of state and local government, economic development agencies, education and training providers including community colleges, and other community and faith-based organizations. However, applicant eligibility may be restricted to one or more applicant classes under particular announcements and solicitations.

Beneficiary Eligibility:

Generally, the scope of potential trainees under these programs is very broad. Training may be targeted to a wide variety of populations, including unemployed individuals and incumbent workers.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner.

Preapplication Coordination:

None except as specified in solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Specified in the applicable request for proposals, but generally 45 to 60 days from announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

Some grants are required to provide cash or in-kind matching resources equal to at least fifty percent of the grant funds awarded. Information about match requirements for future grants will be specified in the applicable Solicitations for Grant Applications.

Length and Time Phasing of Assistance:

Most projects last one to three years. Payments to grantees are usually made by Letter of Credit draw-down procedures.

Reports:

At a minimum, quarterly progress and financial reports and final reports are required. Additional requirements are included in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) Audits of states, local governments, and nonprofit organizations, nonfederal entities that receive financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for 3 years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

FY 07 \$111,000,000; FY 08 est \$125,000,000; and FY 09 est \$125,000,000.

Range and Average of Financial Assistance:

Under the High Growth Job Training Initiative, the majority of grants range from \$750,000 to \$1.5 million. Under the WIRED Initiative, grants are awarded for either \$15 million or \$5 million for three years.

PROGRAM ACCOMPLISHMENTS:

As of March 2006, 131 grants worth over \$258 million have been awarded under the High Growth Job Training Initiative. Between July 1, 2005 and March 30, 2006 over \$54 million in H 1B funds have been awarded under the High Growth Job Training Initiative. During 2006, competitions are expected for talent development investments in the advanced manufacturing, construction, and energy under the President's High Growth Job Training Initiative. Under the WIRED Initiative, ETA has awarded \$195 million in grants lasting three years to thirteen regions. An additional competition under the WIRED Initiative was announced in February 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in applicable Solicitation for Grant Applications.

Regional or Local Office:

Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Business Relations Group, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., N.W., Room N-4643, Washington, DC 20210. Contact: Mindy Feldbaum. Telephone: (202) 693-3949, E-mail: businessrelations@dol.gov.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.269, Community Based Job Training Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) A \$2 million grant to provide apprenticeship training, short-term training, and skill certification for 3,312 bus mechanics, rail mechanics, rail signal maintainers, elevator/escalator technicians, and equipment maintainers; (2) A \$2,480,852 grant to a state community college system to organize an advanced manufacturing training center organized as a factory rather than as a school, and training over 3600 students; (3) A \$2,305,995 grant to develop and disseminate a two-year Associate of Applied Science Degree in Nuclear Technology program and train at least 160 students to be hired by nuclear power plants and national laboratories; (4) A \$15 million grant to help transform a regional economy that been supported by a high wage automotive industry that is now in decline, by building on the research and development of its universities to create and build new technologies, such as alternative fuels, to encourage new companies and new jobs across the region; and (5) A \$15 million grant to help transform a regional economy whose key manufacturing industries -textiles, apparel,

tobacco, and furniture- have been devastated by globalization and the availability of inexpensive offshore labor by integrating workforce development, education, cluster-focused economic development, and entrepreneurship.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Applications.

17.269 COMMUNITY BASED JOB TRAINING GRANTS**FEDERAL AGENCY:**

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle D, Section 171 (d), Public Law 105-220.

OBJECTIVES:

Technology and innovation, globalization, and the aging of America's workforce are continuously changing the nature of work and, indeed, the workplace. Businesses in high-growth, high-demand industries face increasing difficulties in finding workers with the right skills. As a result, community colleges are important training providers for workers needing to develop, retool, refine, and broaden their skills in high-growth, high-demand occupations because of their close connection to local labor markets. Community Based Job Training Grants strengthen the role of community colleges in promoting the U.S. workforce's full potential. Community Based Job Training Grants are awarded through a competitive process to support workforce training for high-growth industries through the national system of community and technical colleges. The primary purpose of these grants is to build the capacity of community colleges to train workers to develop the skills required to succeed in: (i) local industries and occupations that are expected to experience high growth; and (ii) industries where demand for qualified workers is outstripping the supply. These competitive grants build on the High Growth Job Training Initiative, a national model for demand-driven workforce development, implemented by strategic partnerships among the workforce investment system, employers, and community colleges. All grantees engage in a combination of capacity-building and training activities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds must be used for both of the following activities: (1) increasing the capacity of community colleges to provide training in a local high-growth, high-demand industry through activities such as the development of training curricula with local industry, hiring qualified faculty, arranging on-the-job experiences with industry, and using up-to-date equipment; and (2) training new and experienced workers in identified high-growth, high-demand industries, with the aim of employing and/or increasing the retention and earnings of trained workers, while meeting the skill needs of businesses within targeted industries in the context of the regional economy.

Applicant Eligibility:

Eligible applicants, under the second Solicitation for Grant Applications (SGA) for Community-Based Job Training Grants, included (1) community colleges, as defined by 20 U.S.C. 2371. 20 U.S.C. 2371 states: The term community college (A) means an institution of higher education as defined in 20 U.S.C. 1001 that provides not less than a two-year program that is acceptable for full credit towards a bachelor's degree; and (B) includes tribally-controlled colleges and universities; (2) community college districts; (3) State community college systems; and (4) One-Stop Career Centers who meet specific criteria. Under the exception to eligible applicants for rural and other educationally underserved areas with no access to community colleges, additional eligible applicants included: Publicly-funded Institutions of Higher Education, as defined in 20 U.S.C. 1001; and Alternate Educational Entities that are governmental or not-for-profit organizations that directly deliver, or broker for delivery, post secondary education opportunities.

Beneficiary Eligibility:

Generally, the scope of potential trainees under Community-Based Job Training Grants is very broad. WIA Section 171(d) authorizes demonstration programs to serve dislocated workers, incumbent workers, and new entrants to the workforce. This authorization supports a broad range of training for a variety of populations, including: incumbent workers who need new skills for jobs in demand up the career ladder or because the skill needs for their current job have changed; untapped labor pools such as immigrant workers, individuals with disabilities, veterans, older workers, youth, etc; or entry level workers who need basic skills and/or specific occupational skill training. The identification of targeted and qualified trainees should be part of the larger project planning process undertaken by the required partnership and should relate to the workforce issue that is being addressed by the training.

Credentials/Documentation:

Grantees must demonstrate an adequate organization capacity, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner.

Preapplication Coordination:

None except as specified in solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable Solicitation for Grant Applications. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Specified in the applicable Solicitation for Grant Applications, but generally 45 to 60 days from announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

In the first two solicitations, there was not a match requirement. Information about match requirements for future competitions will be specified in the applicable Solicitation for Grant Applications.

Length and Time Phasing of Assistance:

Most projects last two to three years. Payments to grantees are usually made by Letter of Credit draw-down procedures.

Reports:

At a minimum, quarterly progress and financial reports and final reports are required. Additional requirements are included in the Solicitation for Grant Applications.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) Audits of States, local governments, and nonprofit organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

FY 07 \$125,000,000; FY 08 est \$125,000,000; and FY 09 est \$125,000,000.

Range and Average of Financial Assistance:

\$500,000 to \$2 Million.

PROGRAM ACCOMPLISHMENTS:

In October 2005, DOL awarded \$250 million in Community-Based Job Training Grants to 142 community colleges in 45 states. The Department of Labor will conduct a third competition for Community-Based Job Training Grants in late spring 2007. For more information on these grants, please visit the Department of Labor's Web site at <http://www.doleta.gov/business/Community-BasedJobTrainingGrants.cfm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Division of Adult Services, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., N.W.,

Room S-4209, Washington, DC 20210. Contact: Maggie Ewell. Telephone: (202) 693-3160, E-mail: CommunityBased.Grant@dol.gov.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.268, H-1B Job Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Examples include: (1) A \$2,465,656 grant to a community college to offer students training for new healthcare career opportunities in nursing, surgical technology, radiography, and clinical laboratory technology; (2) A \$1,271,550 grant to a community college to expand entry-level and advanced certified training and procure new equipment and technology to train workers for automotive, diesel, collision repair, and truck driving occupations; (3) A \$2,150,357 grant to a community college consortium to identify technical competencies for advanced manufacturing and alternative energy, prepare modules in response to employer-identified skill and competency gaps, and establish Centers of Expertise or technology training in support of target industries; (4) A \$3,018,928 grant to a community college to design and implement a two-year curriculum to prepare out-of-school youth, Job Corps students, community college students, and incumbent workers as automotive manufacturing technicians; and (5) A \$1,937,112 grant to a One-Stop Career Center and its two community college partners to develop a healthcare career ladder model that will produce new Registered Nurses, Paramedics and Emergency Medical Technicians (EMT).

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Applications.

17.270 REINTEGRATION OF EX-OFFENDERS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle D, Section 171, Public Law 105-220.

OBJECTIVES:

This program includes both Prisoner Reentry Initiative (PRI) grants to serve adult returning offenders and Youthful Offender grants aimed at youth involved or at risk of involvement in crime and violence. The objectives of the PRI grants include increasing the employment rate, employment retention rate, and earnings of released prisoners, and decreasing their recidivism. The objectives of the Youthful Offender grants include preventing in-school youth from dropping out of school, increasing the employment rate of out-of-school youth, increasing the reading and math skills of youth, reducing the involvement of youth in crime and violence, and reducing the recidivism rate of youth.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Services under the PRI grants include assistance in finding employment, mentoring, job training, remedial education, and other comprehensive transitional services. Services under the Youthful Offender grants include a wide array of educational, employment, mentoring, case management, and violence reduction efforts.

Applicant Eligibility:

Eligible applicants for PRI grants are faith-based and community organizations (FBCOs) that are located in, or have a staff presence in, the urban community being served. Eligible applicants for Youthful Offenders vary depending on the solicitation, but have included community-based organizations, school districts, and juvenile justice agencies.

Beneficiary Eligibility:

PRI grants serve individuals, 18 years old and older, who have been convicted as an adult and have been imprisoned for violating a state or federal law, and who have never been committed a sex-related offense. Depending on the solicitation, enrollment may be limited based on whether the presenting offense was violent or whether the individual has previously committed a violent crime. Individuals eligible for Youthful Offender grants vary depending on the solicitation.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls.

Preapplication Coordination:

None except as specified in solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance. Please note that competitions

for PRI and Youth Offender grants do not necessarily occur every year, depending on availability of funds and the extent to which existing grants are continuing.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. The general procedure is as follows. A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Specified in the applicable request for proposals, but generally 45 days from announcement

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Procedures for each project are specified in the applicable request for proposals.

Renewals:

Extensions available upon approval; renewals are not automatic.

Formula and Matching Requirements:

Matching requirements vary by grant announcement.

Length and Time Phasing of Assistance:

The period of performance varies by grant announcement. The possibility of additional years of funding varies by grant announcement. Payments to grantees are usually made by Letter of Credit draw-down procedures.

Reports:

Quarterly progress and financial reports and final reports are required. Depending on the solicitation, grantees also may be required to participate in DOL's Management Information System data collection system.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), audits of states, local governments, and nonprofit organizations, non-Federal entities that receive financial assistance of \$500,000 or more in Federal awards must have a single or a program-specific audit conducted for that year.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 07 \$74,000,000; FY 08 est \$73,000,000; FY 09 est \$40,000,000.

Range and Average of Financial Assistance:

Initial awards for PRI Grants have ranged from \$130,000 to \$667,000 a year. Initial awards for Youthful Offender grants have recently ranged from \$1 million to \$3.2 million a year.

PROGRAM ACCOMPLISHMENTS:

Now in their third year of operation, PRI grants have thus far enrolled 13,881 participants, with 8,778 of these participants finding employment. The recidivism rate for the participants in the program is 15 percent. Youthful Offender grants typically serve about 9,000 individuals each year, and these programs have placed 56 percent of out-of-school participants in jobs, education, or training. The recidivism rate in Youth Offender programs is 12 percent for 14 to 17 year-olds and 11 percent for participants ages 18 and above.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in SGA Announcement.

Regional or Local Office:

Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Division of Youth Services, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., N.W., Room N-4511, Washington, DC 20210. Contact for PRI Grants: Jenn Smith. Telephone: (202) 693-3597, E-mail: Smith.Jenn@dol.gov. Contact for Youthful Offender Grants: Richard Morris. Telephone: (202)-693-3603, E-mail: Morris.Richard@dol.gov.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

PRI Grants: Grants of \$660,000 to community and faith-based organizations to support a project director, three job counselors, one job developer, a mentoring

supervisor, an administrative assistant, tryout jobs for participants, materials and supplies. Youthful Offender Grants: Grants to school districts, community-based organizations, and juvenile justice agencies to start or enhance alternative school serving juvenile offenders.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable request for proposals.

17.271 WORK OPPORTUNITY TAX CREDIT PROGRAM (WOTC)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Small Business Job Protection Act of 1996, as amended, Public Law 104-188; Tax Payer Relief Act of 1997, as amended Public Law 105-34; Working Families Tax Relief Act of 2004, Public Law 108-311; Tax Relief and Health Care Act of 2006, Public Law 109-432; Internal Revenue Code Sections 51 and 51 (A).

OBJECTIVES:

The tax credit was designed to help individuals from nine target groups who consistently have faced significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses in the private sector to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

States are to use these formula grants for: determining eligibility of individuals as members of targeted groups; issuing employer certifications or denials; developing working agreements with partner agencies in the One-Stop Service Delivery system and coordinating efforts to promote WOTC to employers and job seekers and other Workforce Investment Act (WIA) partners. The Federal government provides general direction, funding, and oversight.

Applicant Eligibility:

States (not individuals), the District of Columbia, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

All employers seeking WOTC target group workers and target group members seeking employment. The members of the different target groups have statutory definitions (per Public Law 109-432) with specific eligibility requirements that must be verified by the state workforce agencies before a certification can be issued to an employer or his representatives. Participating employers and their representatives must file their certification requests using IRS Form 8850 and ETA Form 9061 or 9062 within 28 days after the employment-start day of the new hires. This timeliness requirement cannot be waived and must be met before a state can issue a certification for eligible target group members.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for State and local governments.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

State workforce agencies (SWAs) must submit an annual grant plan and statement of work to ETA according to the requirements issued in the Guidance for Work Opportunity Tax Credit (WOTC) Allotments and Grant Plan Submission Training Guidance and Employment Letter. SWAs may request extensions through ETA regional offices.

Award Procedure:

Review of State Plans occurs at Employment and Training Administration National Office. WOTC grant funds for approved state plans are provided under separate annual funding agreements for each program year with the designated SWA.

Deadlines:

Established each year (contact Federal agency for deadline for application submission).

Range of Approval/Disapproval Time:

As provided in regulations.

Appeals:

As provided in regulations.

Renewals:

Not applicable.

Formula and Matching Requirements:

Under the WOTC Program, funds are allotted to each SWA by administrative formula based on each state's relative share of: total certifications issued for the WOTC Program in the previous year, civilian labor force, and adult recipients of Temporary Assistance for Needy Families. There are no matching requirements.

Length and Time Phasing of Assistance:

Funding is provided throughout the year as appropriated funds become available to meet immediate cash requirements.

Reports:

Quarterly financial reports and reports on certifications, workload, and characteristics of certified individuals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of states, local governments, and nonprofit organizations; nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required. The Internal Revenue Service requires that the SWAs keep and maintain certification records for four years and denial records for one year in the event of an employer audit by IRS or an employer appeal to the SWAs.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 07 \$17,000,000; FY 08 est \$17,000,000; and FY 09 est \$18,000,000.

Range and Average of Financial Assistance:

No established range.

PROGRAM ACCOMPLISHMENTS:

Data can be obtained by contacting Carmen Ortiz, WOTC National Coordinator, Office of Workforce Investment, Division of Adult Services, Employment and Training Administration, Department of Labor, 200 Constitution Avenue N.W., Room S-4209, Washington, DC 20210. Telephone: (202) 693-2786.

REGULATIONS, GUIDELINES, AND LITERATURE:

ETA Handbook No. 408, Third Edition, November 2002. An e-copy can be obtained at: <http://www.ows.doleta.gov/employ/tax.asp>. The report may be obtained from the Division of Adult Services, 200 Constitution Ave, N.W. Room S-4209 Washington, DC 20210 or on the Employment and Training Administration Web page at <http://www.doleta.gov>.

Regional or Local Office:

Contact the nearest office of the Regional or state workforce agencies by checking the local telephone directory or obtaining directories of WOTC Regional and State Coordinators at: <http://www.ows.doleta.gov/employ/tax.asp>.

Headquarters Office:

Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Christine Ollis, Division Chief, Adult Services, Room S-4209. Telephone: (202) 693-3046.

Web Site Address:

<http://www.doleta.gov/business/Incentives/opptax/>.

RELATED PROGRAMS:

10.551, Supplemental Nutrition Assistance Program; 93.558, Temporary Assistance for Needy Families; 64.116, Vocational Rehabilitation for Disabled Veterans; 27.002, Federal Employment Assistance for Veterans; 27.003, Federal Student Temporary Employment Program; 27.005, Federal Employment for Individuals With Disabilities; 53.001, Employment Promotion of People with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.272 PERMANENT LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101 (a) (15) H (II), 214 (c) and 212 (a) (5) (A), Public Law 82-414, 66 Stat. 163, 8 U.S.C. 1101 et seq.

OBJECTIVES:

To protect the jobs of American workers; ensure the wages and working conditions of U.S. workers will not be adversely affected by the admission of permanent foreign workers; and assist employers by supplementing the work force with needed skills.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Under Section 212 (a)(5)(A) of the Immigration and Nationality Act, foreign workers who seek to immigrate to the United States for employment shall be excluded from admission unless the Secretary of Labor determines and certifies to the Secretary of State and to the Secretary of Homeland Security that there are not sufficient U.S. workers available for the position, and that the employment

of such foreign workers will not adversely affect the wages and working conditions of U.S. workers similarly employed. The certified employer must hire the foreign worker as a full-time employee; there must be a bona fide job opening available to U.S. workers; job requirements must adhere to what is customarily required for the occupation in the U.S. and may not be tailored to the worker's qualifications. In addition, the employer shall document that the job opportunity has been and is being described without unduly restrictive job requirements, unless adequately documented as arising from business necessity. The employer must pay at least the prevailing wage for the occupation in the area of intended employment.

Applicant Eligibility:

(1) Any employer who is unable to find qualified U.S. workers to meet his or her needs and seeks to hire a foreign worker to fill a given job vacancy on a permanent basis is eligible to file an application for permanent labor certification with the Department of Labor. (2) An employer who seeks to employ a foreign worker whose category of employment is included in the Department of Labor, Schedule A list of precertified occupations contained in Part 656, Title 20, Code of Federal Regulations is eligible to file an application directly with the appropriate U.S. Citizenship and Immigration Services Office.

Beneficiary Eligibility:

Employers and foreign workers.

Credentials/Documentation:

Employers seeking labor certification, and thereafter filing an immigration petition to sponsor a foreign worker for employment-based permanent residency, must document that worker's education, experience, and job qualifications to the satisfaction of the U.S. Citizenship and Immigration Services.

Preapplication Coordination:

Prior to filing the application for permanent employment certification, the employer must request a prevailing wage determination from the State Workforce Agency (SWA) having jurisdiction over the proposed area of intended employment and must attest, in addition to a number of other conditions of employment, to having conducted recruitment prior to filing the application. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Employers must file labor certification applications for permanent employment directly with the appropriate National Processing Center (except for Schedule A occupations). See <http://workforcsecurity.doleta.gov/foreign/perm.asp> for additional details.

Award Procedure:

If the appropriate National Processing Center approves the application, the application form is "certified" (stamped) by the Certifying Officer and returned to the employer/agent who submitted the application. The labor certification is then filed by the sponsoring employer in support of its immigrant worker petition with USCIS.

Deadlines:

None.

Range of Approval/Disapproval Time:

From six months to one year.

Appeals:

The permanent labor certification program provides for reconsideration by a Certifying Officer of denial of an application, upon the employer's request. Instead of or following a request for reconsideration, requests for administrative review of final determinations (denials of permanent labor certification applications) may be made directly to the Department of Labor's Board of Alien Labor Certification Appeals (BALCA).

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Selected audits are conducted by the Department of Labor.

Records:

The employer is required to retain all supporting documentation for five years from the date of filing the Application for Permanent Employment Certification.

Account Identification:

16-0172-0-1-504, 16-0180-0-1-504.

Obligations:

(Federal salaries and expenses) FY 07 \$50,000,000; FY 08 est \$63,000,000; and FY 09 est \$72,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2006, 112,345 new permanent labor certification applications were received.

REGULATIONS, GUIDELINES, AND LITERATURE:

References in the Code of Federal Regulations: For permanent employment-Title

Regional or Local Office:

The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence sent to either the Atlanta or Chicago National Processing Center, envelopes should be clearly marked according to the appropriate program type. Atlanta Processing Center Address: Department of Labor, Employment and Training Administration, Harris Tower, 233 Peachtree Street, Suite 410 Atlanta, GA 30303, Telephone: (404) 893-0101 Fax: (404) 893-4642. Chicago Processing Center Address: Department of Labor, Employment and Training Administration, 844 North Rush Street, 12th Floor, Chicago, IL 60611 Telephone: (312) 886-8000 Fax: (312) 886-1688.

Headquarters Office:

Administrator, Office of Foreign Labor Certification, Department of Labor, 200 Constitution Avenue, NW, C-4312, Washington, DC 20210. Telephone: (202) 693-3010. Contact: William L. Carlson.

Web Site Address:

<http://www.foreignlaborcert.doleta.gov/>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.273 Temporary Labor Certification for Foreign Workers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.273 TEMPORARY LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c) and 214(i); Public Law 82-414, 66 Stat. 163, 8 U.S.C. 1101 et seq.; Immigration Act of 1990, Public Law 101-649, 104 Stat. 4978, 8 U.S.C. 1182(n) and 1184; Public Law 102-232, 105 Stat. 1733, 8 U.S.C. 1182 note. Public Law 82-414, 66 Stat. 163, 8 U.S.C. 1101, et seq.; Immigration Reform and Control Act of 1986, Public Law 99-603, 100 Stat. 3359, 8 U.S.C. 1186.

OBJECTIVES:

To provide greater protection for U.S. and foreign workers without interfering with an employer's right to obtain temporary foreign workers. Also, to enable agricultural and other employers to obtain foreign workers for temporary or seasonal jobs when domestic workers are not available; to protect domestic workers against unfair competition from foreign workers; and to assure adequate working and living conditions for domestic and foreign workers employed in similar tasks.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Formula Grants.

USES AND USE RESTRICTIONS:

The Department of Labor issues labor certifications for temporary employment under several programs: H-1B Specialty (Professional) Worker Program, H-1B1 Specialty Worker Program (professionals from Chile or Singapore working in specialty occupations), E-3 Specialty Worker Program (professionals from Australia working in specialty occupations), H-2A Temporary Labor Certification (Agricultural), H-2B Temporary Labor Certification (Non-Agricultural), and D-1 Crewmember Program. Under Section 101(a)(15)(H)(i)(b) of the Immigration and Nationality Act, nonimmigrant foreign workers cannot be admitted to work in a specialty occupation or as a fashion model unless the Secretary of Labor determines and certifies to the Secretary of Homeland Security the intending employer filed with and had approved by the Secretary an application under section 212(n)(1). The labor condition application requires the employer to attest to compliance with program requirements, including certain working conditions and wages for foreign and U.S. workers. Employers who need workers for temporary agricultural jobs may be certified to use foreign workers after the Secretary of Labor has determined that there are not sufficient domestic workers capable of performing the job duties and that the employment of foreign workers will not adversely affect the wages and working conditions of similarly employed domestic workers. The Secretary of Labor will render only advisory opinions to the Secretary of Homeland Security on applications for foreign worker employment for temporary nonagricultural work under Section 101(a)(15)(H)(ii) of the Act. Certifications will be made only after efforts to recruit domestic workers through the Federal-State employment service system are unsuccessful. Performance of longshore work at U.S. ports by D-1 crewmembers on foreign vessels is generally prohibited with few exemptions. The Department of Labor is responsible for administering two of those exemptions. Employers in these ports are required to file an attestation stating that the use of alien crewmembers to

perform longshore work is the prevailing practice for the activity at that port, there is no strike or lockout at the place of employment, and that notice has been given to U.S. workers or their representatives. Another exception requires that, before using alien crewmen to perform longshore activities in the State of Alaska, the employer will make a bona fide request for and employ U.S. longshore workers who are qualified and available in sufficient numbers from contract stevedoring companies, labor organizations recognized as exclusive bargaining representatives of U.S. longshore workers, and private dock operators. State Workforce Agencies receive grants to perform the following labor certification activities: provide prevailing wage determinations for all non-agricultural labor certification programs; process H-2B temporary non-agricultural labor certification applications; and receive new applications for H-2A temporary agricultural labor certifications, performing prevailing wage and prevailing practice surveys, ensuring housing inspections are conducted and processing job orders.

Applicant Eligibility:

H-2A Program: An agricultural employer who anticipates a shortage of U.S. workers needed to perform agricultural labor or services of a temporary or seasonal nature. The employer may be an individual proprietorship, a partnership or a corporation. An association of agricultural producers may file as a sole employer, a joint employer with its members, or as an agent of its members. An authorized agent, whether an individual (e.g., and attorney) or an entity (e.g., an association), may file an application on behalf of an employer. Associations may file master applications on behalf of their members. **H-2B Program:** The job and the employer's need must be one time, seasonal, peak load or intermittent; the job must be for less than one year; and there must be no qualified and willing U.S. workers available for the job. An employer can file an application for labor certification with the appropriate National Processing Center of the Employment and Training Administration. State Workforce Agencies are federally funded to issue prevailing wage determinations for foreign labor certification programs.

Beneficiary Eligibility:

Employers and foreign workers to be employed in specialty occupations or as fashion models or in temporary agricultural or non-agricultural employment.

Credentials/Documentation:

In most of these programs, employers sponsoring aliens for employment-based permanent residency file the certification from the Department of Labor as documentation in support of their respective I-140 immigrant worker petitions with the Department of Homeland Security, United States Citizenship and Immigration Services (USCIS). In the H-1B1 and E-3 programs, a USCIS petition is not needed for initial visa issuance, and the alien may use proof of certification in support of the visa application.

Preapplication Coordination:

None, this program is excluded from coverage under E.O. 12372.

Application Procedure:

Employers contact the appropriate office of the Employment and Training Administration. Also see <http://www.doleta.gov/business/gw/guestwkr/> for additional details on specific programs.

Award Procedure:

Certifications are sent to the employer in support of the petition to be filed with the U.S. Citizenship and Immigration Services which makes the final decision whether to grant or deny the admission of foreign workers. Certified copies of accepted attestations for the D-1 Crewmember program are returned to the employer and the USCIS is notified of the filing in writing. Grants: State Workforce Agencies receive funds upon acceptance of their work plans.

Deadlines:

None.

Range of Approval/Disapproval Time:

From one to seven days. H-1B: From one to seven days. H-2A: Statute requires an initial acceptance by ETA within seven days of filing, and a final determination 30 days prior to the employer's date of need.

Appeals:

There is no procedure for appealing Employment and Training Administration determination to accept or reject a Labor Condition Application. Complaints regarding misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor.

Renewals:

Treated as filing of new labor condition applications.

Formula and Matching Requirements:

Grants to State Workforce Agencies are distributed using a formula based on state workloads.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

The Employment and Training Administration compiles and maintains on a current basis for public examination in Washington, DC, a list of the labor condition applications. Such list is by employer, showing the occupational classification(s), wage rate(s), number of foreign workers sought, period(s) of intended employment, and date(s) of need for each employer's application.

Grants: Quarterly financial reports are required.

Audits:

Employer has to make a filed labor condition application and necessary supporting documentation available for public examination at the employer's principal place of business in the United States, or at the place of employment within one working day after the date on which the labor condition application is filed with the Employment and Training Administration. Complaints concerning misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor. The Administrator, Wage and Hour Division, performs the entire Secretary's investigative and enforcement functions under 8 U.S.C. 1182(n) and subparts I and K of 29 C.F.R. Part 504.

Records:

Employer shall make the Labor Condition Application (LCA) and necessary supporting documentation available for public examination at the employer's principal place of business in the United States or at the place of employment within one working day after the date on which the LCA is filed with Employment and Training Administration.

Account Identification:

16-0172-0-1-504; 16-0179-0-1-999; 16-0180-0-1-504.

Obligations:

(Federal salaries and expenses) FY 07 \$9,000,000; FY 08 est \$11,000,000; and FY 09 est \$14,000,000 (Formula grants) FY 07 \$13,000,000; FY 08 est \$13,000,000; and FY 09 est \$18,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, the Office of Foreign Labor Certification issued 385,835 LCA determinations. In the H-2A program, OFLC certified 6,550 employers and 59,112 slots for foreign workers. In the H-2B program, employers requested certification of 247,218 workers (199,734 certified).

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found at 20 CFR 655.

Regional or Local Office:

Contact the appropriate State Workforce Agency (See Appendix IV) or Employment and Training Administration National Processing Center. The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence sent to either the Atlanta or Chicago National Processing Center, or the ETA National Office, envelopes should be clearly marked according to the appropriate program type, i.e. H-1B, H-1B1, E-3, H-2A, H-2B, or D-1. Atlanta Processing Center Address: U.S. Department of Labor, Employment and Training Administration, Harris Tower, 233 Peachtree Street, Suite 410, Atlanta, GA 30303 Phone: (404) 893-0101 FAX: (404) 893-4642. Chicago Processing Center Address: U.S. Department of Labor, Employment and Training Administration, 844 North Rush Street, 12th Floor, Chicago, IL 60611 Phone: (312) 886-8000 FAX: (312) 886-1688.

Headquarters Office:

Administrator, Office of Foreign Labor Certification, Department of Labor, 200 Constitution Avenue, N.W., C-4312, Washington, DC 20210. Telephone: (202) 693-3010. Contact: William L. Carlson.

Web Site Address:

<http://www.foreignlaborcert.doleta.gov/>.

RELATED PROGRAMS:

17.272, Permanent Labor Certification for Foreign Workers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.274 YOUTHBUILD

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act(WIA) of 1998, Title I, Subtitle D, Section 173A, YouthBuild Program, Public Law 109-281,Public Law 105-220, 20 U.S.C. 9201.

OBJECTIVES:

Grant funds will be used to provide disadvantaged youth with: the education and employment skills necessary to achieve economic self sufficiency in occupations in high demand and postsecondary education and training opportunities; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among youth in low-income communities. As part of their programming, YouthBuild grantees will tap the energies and talents of

disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to assist youth develop the leadership, learning, and high-demand occupational skills needed to succeed in today's global economy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The funds must be used for: (1) Education and Workforce Activities, such as: basic skills instruction and remedial education; language instruction educational programs for individuals with limited English proficiency; secondary education services and activities, including tutoring, study skills training, and dropout prevention activities, designed to lead to the attainment of a secondary school diploma, General Education Development (GED) credential, or other State-recognized equivalent (including recognized alternative standards for individuals with disabilities); counseling and assistance in obtaining postsecondary education and required financial aid; alternative secondary school services; work experience and skills training (coordinated, to the maximum extent feasible, with pre-apprenticeship and registered apprenticeship programs) in housing rehabilitation and construction activities; occupational skills training; and other paid and unpaid work experiences, including internships and job shadowing. (2) Counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral; (3) Youth development activities, such as: community service and peer-centered activities encouraging responsibility and other positive social behaviors, and activities related to youth policy committees that allow YouthBuild participants to engage in local policy and decision-making related to the program. (4) Supportive services and provision of need-based stipends necessary to enable individuals to participate in the program. (5) Supportive services to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to postsecondary education; and job search and assistance. (6) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals. (7) Supervision and training for participants in the rehabilitation or construction of community and other public facilities. (8) Payment of a portion of the administrative costs of the grantee. (9) Mentoring of participants by qualified adults (10) Provision of wages, stipends, or benefits to participants in the program. (11) Ongoing training and technical assistance for staff that are related to developing and carrying out the program. (12) Follow-up services. (13) Equipment and/or supplies related to the YouthBuild activities funded through this grant.

Applicant Eligibility:

Eligible applicants for these grants are public or private nonprofit agency or organization (including a consortium of such agencies or organizations), including: community-based organizations; faith-based organizations; an entity carrying out activities under this WIA, such as a local workforce investment board or One-Stop Career Center; a community action agency; a State or local housing development agency; an Indian tribe or other agency primarily serving Indians; a community development corporation; a State or local youth service conservation corps; or any other relevant public or private nonprofit entity that provides education or employment training and can meet the required elements of the grant.

Beneficiary Eligibility:

An eligible youth is an individual who is (1) between the ages of 16 and 24 on the date of enrollment; and (2) a member of a disadvantaged youth population such as a member of a low-income family, a youth in foster care (including youth aging out of foster care), a youth offender, a youth who is an individual with a disability, a child of an incarcerated parent, or a migrant youth; and (3) a school dropout. Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet the education and disadvantaged criteria above but who are: (1) basic skills deficient, despite attainment of a secondary school diploma, General Education Development (GED) credential, or other State-recognized equivalent (including recognized alternative standards for individuals with disabilities); or (2) have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity to implement a YouthBuild program. Additionally, grantees must provide evidence of the capacity to track and report performance outcomes.

Preapplication Coordination:

None except as specified in solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Specified in the applicable request for proposals, but generally 45 to 60 days from announcement.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time From 90 to 120 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

Cost sharing, matching, or cost participation is not required for eligibility; however, applicants are encouraged to leverage the resources of the partnership whenever possible.

Length and Time Phasing of Assistance:

Most projects last 2 to 3 years. Payments to grantees are usually made by Letter of Credit draw-down procedures.

Reports:

At a minimum, quarterly progress and financial reports and final reports are required. Additional requirements are included in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) Audits of states, local governments, and nonprofit organizations, non-federal entities that receive financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants); FY 07 \$0; FY 08 est \$108,000,000; and FY 09 est \$50,000,000.

Range and Average of Financial Assistance:

Grant awards will range from \$350,000 - \$550,000 for up to two years (so \$700,000 1.1M). Most grants should average around \$500,000 (1 M over 2 years).

PROGRAM ACCOMPLISHMENTS:

This is the first year that the Department of Labor is administering the YouthBuild program so there are no program accomplishments to report.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Division of Youth Services, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., N.W., Room N-4511 Washington, DC 20210. Contact: Anne Stom. Telephone: (202) 693-3377; E-mail: youthbuild@dol.gov.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

14.243, Opportunities for Youth_Youthbuild Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Applications.

17.301 NON-DISCRIMINATION AND AFFIRMATIVE ACTION BY FEDERAL CONTRACTORS AND FEDERALLY ASSISTED CONSTRUCTION CONTRACTORS

(Office of Federal Contract Compliance Programs (OFCCP))

FEDERAL AGENCY:

EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Executive Order 11246, September 24, 1965; Executive Order 11375, October 13, 1967; Executive Order 12086, October 5, 1978; Rehabilitation Act of 1973, Section 503, Public Law 93- 112, as amended; Vietnam Era Veterans' Readjustment Assistance Act of 1974, Public Law 93-508; Veterans Codification Act of 1991, Section 5(a), Public Law 102-83, as amended, 38 U.S.C. 4212; Americans with Disabilities Act of 1990, Title I, Public Law 101-336; Immigration Reform and Control Act of 1986, Public Law 99-603, 8 U.S.C. 1324, 8 U.S.C. 1101 (a) (15)(H)(ii)(a), 8 U.S.C. 1160, 8 U.S.C. 1161, 8 U.S.C. 1184(c), 8 U.S.C. 1186, 8 U.S.C. 1824, 29 U.S.C. 1802, 1813 (a).

OBJECTIVES:

To assure non-discrimination and affirmative action in employment by covered Federal contractors, including Federal construction contractors, and federally assisted construction contractors.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Setting policy, monitoring and evaluating the compliance of Federal contractors and federally assisted construction contractors with their obligation to ensure non-discrimination and affirmative action in employment without regard to race, religion, color, sex, or national origin; ensuring non-discrimination and affirmative action in employment for individuals with disabilities and covered veterans, i.e., Vietnam-era and special disabled veterans. (Note: The terms "subcontract" and "subcontractor" are subsumed under the terms "contract" and "contractor", respectively.)

Applicant Eligibility:

Complaints against Federal contractors and federally assisted construction contractors which allege employment discrimination on the basis of race, sex, religion or national origin, may be filed with OFCCP. In addition, any employee, former employee, or applicant for employment with a Government contractor who believes he or she has been the subject of discrimination on the basis of disability or covered veteran status may file an individual complaint of discrimination. Program benefits are available to applicants and employees of Federal contractors performing work in the United States; including the District of Columbia, the Commonwealth of Puerto Rico, the Panama Canal Zone, and the possessions of the United States (Virgin Islands, Guam, American Samoa, Trust Territories of the Pacific). Applicants and employees who were recruited in the United States by a Federal contractor to perform work outside of the United States are also covered.

Beneficiary Eligibility:

Employees, former employees, or applicants with a Government contractor or federally assisted construction contractor, including construction sub-contractors.

Credentials/Documentation:

None for Executive Order or Section 503 regulations; Veterans need DD 214 (discharge); special disabled veterans need evidence of qualifying disability.

Preapplication Coordination:

None. This program is excluded from coverage under all OMB Circulars and E.O. 12372.

Application Procedure:

Any person seeking information or wishing to file a complaint may contact the nearest Office of Federal Contract Compliance Programs, Employment Standards Administration. Complaints are forwarded to the appropriate OFCCP or EEOC (in the case of non-class type complaints under E.O. 11246) office for processing. Complainants who submit incomplete information will be requested to make additional submittal, and must do so within 60 days of the request.

Award Procedure:

Not applicable.

Deadlines:

Under the Executive Order and veterans programs, complaints must be filed within 180 days for alleged violations, and within 300 days for Section 503 complaints, unless the time for filing is extended by the Deputy Assistant Secretary for OFCCP for good cause shown.

Range of Approval/Disapproval Time:

Under the Executive Order program, complaints must be investigated within 60 days. The Deputy Assistant Secretary for OFCCP may extend this time for good cause shown. Under the veterans and Section 503 programs investigations must be instituted promptly.

Appeals:

Under the Executive Order program, findings by OFCCP District and Regional Directors are subject to review by the Deputy Assistant Secretary for OFCCP. In

the Section 503 and veterans programs, complainants may request a review by the Deputy Assistant Secretary for OFCCP within 30 days of notification of a finding of no violation, or where the Director decides not to initiate administrative or legal proceedings against the contractor.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries and Expenses, Labor Department only) FY 07 \$85,622; FY 08 est \$84,060; and FY 09 est \$92,212.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2005, OFCCP completed over 2,734 compliance evaluations, covering more than three million workers. Financial remedies totalling over \$45 million were obtained for minority, female, and disabled workers. (More information is available to the public on the OFCCP web page (<http://www.dol.gov/esa/ofccp/>).

REGULATIONS, GUIDELINES, AND LITERATURE:

Equal Employment Opportunity, Executive Order 11246 as amended by Executive Orders 11375 and 12086; Regulations 41 CFR Part 60-1, Obligations of Contractors and Subcontractors; 41 CFR Part 60-2, Affirmative Action Programs (Revised Order 4); 41 CFR Part 60-3, Uniform Guidelines on Employee Selection Procedures (1978); 41 CFR Part 60-4, Construction Contractors Affirmative Action Requirements; 41 CFR Part 60-20, Sex Discrimination Guidelines; 41 CFR Part 60-30, Rules of Practice for Administrative Proceedings; 41 CFR Part 60-40, Examination and Copying of OFCCP Documents; 41 CFR Part 60-50, Guidelines on Discrimination Because of Religion or National Origin; 41 CFR Part 60-250, Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors regarding special Disabled Veterans and Veterans of the Vietnam Era; 41 CFR Part 60-741, Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors regarding Individuals with Disabilities. Questions and Answers on the Federal Executive Agency Uniform Guidelines on Employee Selection Procedures, March 1979, 44 CFR 11996. There is no charge for these materials.

Regional or Local Office:

See Appendix IV of the Catalog for addresses and telephone numbers of regional offices of the Office of Federal Contract Compliance Programs, listed under the Employment Standards Administration, Department of Labor.

Headquarters Office:

Deputy Assistant Secretary, Office of Federal Contract Compliance Programs, Employment Standards Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-0101. Contact: Charles E. James, Sr., Deputy Assistant Secretary for Federal Contract Compliance Programs.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 17.207, Employment Service/Wagner-Peyser Funded Activities; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.302 LONGSHORE AND HARBOR WORKERS' COMPENSATION

FEDERAL AGENCY:

OFFICE OF WORKERS' COMPENSATION PROGRAMS, EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Longshore and Harbor Workers' Compensation Act as extended, Public Laws 92-576, and 98-426; 5 U.S.C. 8171; 33 U.S.C. 901-952; 42 U.S.C. 1651; 42 U.S.C. 1701; 43 U.S.C. 1331.

OBJECTIVES:

To provide compensation for disability or death resulting from injury, including

occupational disease, to eligible private employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

This program provides income replacement and supplement; schedule awards for the loss of sight or hearing, dismemberment, disfigurement etc., medical expenses (including hospital care); and funeral expenses up to \$3,000. Benefits are paid by private insurance carriers or self-insured employers. Appropriated Federal funds are available in certain cases of permanent total disability, and death.

Applicant Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Beneficiary Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of nonappropriated fund instrumentalities, employees of private employers engaged in work outside of the United States under contracts with the United States Government, and other as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Credentials/Documentation:

Necessary documentation is developed when claim is filed by employee/employer or insurance carrier. The claimant may be required to submit to physical examination.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Employee or his or her survivor files written claim for compensation (Form LS-203 or LS-262) to the local district office of the Office of Workers' Compensation Programs (OWCP). Employer may pay benefits voluntarily.

Award Procedure:

Authority to adjudicate claims informally under this program rests with the District Director in (OWCP) district offices. Claims unresolved on voluntary basis are referred for formal hearing under the Administrative Procedure Act.

Deadlines:

Claim must be filed within one year after (a) the injury or death (2 years if injury is an occupational disease which does not immediately result in death or disability), or (b) the last compensation payment. Time begins to run when prudent person should have been aware of relationship between injury or death and employment. For hearing loss claims, time does not begin to run until an audiogram together with a report showing a hearing loss, is given claimant.

Range of Approval/Disapproval Time:

Insurance carrier or self-insured employer must pay compensation after 14 days of having knowledge of an injury causing disability or death, or controvert claim.

Appeals:

Application for modification of awards must be filed within 1 year after (a) the last compensation payment, or (b) rejection of a claim by an Administrative Law Judge or District Director Office of Workers' Compensation Programs. Appeal from Administrative Law Judge's decision must be filed within 30 days; Appeals from Benefits Review Board decision must be filed within 60 days.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Injury: The period of total or partial disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined in the Act. Employers or their insurance carriers are required to begin compensation within 14 days of (a) knowledge of the injury or death or (b) injured employee's report of loss of wages. Payments are generally disbursed on a bi-weekly basis.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-1521-0-1-601.

Obligations:

(Benefits and Administration) FY 07 \$149,539; FY 08 est \$150,080; and FY 09 est \$150,833.

Range and Average of Financial Assistance:

Disability - 66-2/3 percent of average weekly wage; death benefits 50 percent average wages of deceased to such widow or widower, plus 16-2/3 percent for one or more surviving children with 66-2/3 percent limit. Average benefit unknown. Weekly compensation payments limited to 200 percent of national average weekly wage.

PROGRAM ACCOMPLISHMENTS:

The program currently receives on average 20,000 new lost time injury claims per year from longshore and harbor workers, District of Columbia workers in private industry, and specified other workers covered by extensions of the Act, or their survivors. While most cases are paid directly by the injured worker's employer or insurance carrier, the program is responsible for initially adjudicating disputed claims for benefits and ensuring that injured workers and their survivors receive the benefits to which they are entitled in a timely manner.

REGULATIONS, GUIDELINES, AND LITERATURE:

Longshore and Harbor Workers' Compensation Act, as extended. Copies may be obtained from the Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, Washington, DC 20210.

Regional or Local Office:

Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs. See Appendix IV of the Catalog for addresses and areas of jurisdiction listed under Employment Standards Administration.

Headquarters Office:

Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, Washington, DC 20210. Telephone: (202) 693-0038. Contact: Michael Niss.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

57.001, Social Insurance for Railroad Workers.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.303 WAGE AND HOUR STANDARDS

(Federal Wage-Hour Laws)

FEDERAL AGENCY:

EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Fair Labor Standards Act, Public Law 75-718, as amended, 29 U.S.C. 201-219; Walsh-Healey Public Contracts Act, Public Law 74-846, as amended, 41 U.S.C. 35-45; McNamara-O'Hara Service Contract Act of 1965, Public Law 89-286, as amended, 41 U.S.C. 351 et seq.; Davis-Bacon Act, 40 U.S.C. 276a et seq.; Contract Work Hours and Safety Standards Act, 40 U.S.C. 327 et seq.; Copeland Act, 18 U.S.C. 874, as amended; 40 U.S.C. 276C; National Foundation on the Arts and the Humanities Act of 1965, 20 U.S.C. 954(3), as amended; Employee Polygraph Protection Act of 1988, Public Law 100-347, 29 U.S.C. 2001; Immigration and Nationality Act, as amended; Immigration Reform and Control Act of 1986, Public Law 99-603, 8 U.S.C. 1324, 8 U.S.C. 1101(a)(15)(H)(ii)(a), 1160, 1161, 1182(n) 1184(c) and 1186, 1824, 29 U.S.C. 1802, 1813(a); Family and Medical Leave Act of 1993, Public Law 103-3, 107 Stat. 6.

OBJECTIVES:

To provide standards protecting wages of working persons by requiring a minimum hourly wage rate and overtime pay, or prevailing hourly wage rates, fringe benefits and overtime pay under the Government Contract Acts. Additional standards apply to child labor, to wage payment standards for professional performers and related or supporting professional employees of productions assisted by grants from the National Endowments for the Arts and Humanities, to curtail employer use of lie detector tests, including polygraph tests, for pre-employment screening or during the course of employment and to enforce contractual obligations regarding wages and other working conditions between certain temporary, alien agricultural workers (H-2A) and their employers, to enforce worker protection provisions applicable to nonimmigrant workers in "specialty occupations" (H-1B) and foreign crew members performing longshore work, and to require private employers with 50 or more employees and all schools and public employers to provide eligible employees up to 12 weeks of unpaid leave, job restoration, and continued employer provided health insurance due to the employee's serious health condition, the

birth of or placement for adoption/foster care of a child or for the care of a child, spouse or parent with a serious health condition.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Federal wage and hour standards apply generally to employees engaged in interstate or foreign commerce or in the production of goods for such commerce or in enterprises so engaged and, in addition, to employees of Federal, State and local governments, hospitals, businesses providing medical or nursing care for residents, schools and preschools. Generally, for covered employment the minimum hourly wage is \$5.15 per hour (effective September 1, 1997) with time and one-half the regular rate of pay required for hours worked over 40 in a workweek. There are special rules applicable to employees of State and local governments, including provisions concerning volunteer work, compensatory time-off, and outside employment. To the extent necessary to prevent curtailment of employment opportunity, certificates authorizing special minimum wage rates are issued for learners, workers with disabilities, full-time students, student workers, and apprentices. In nonagricultural employment, the basic minimum age is 16 years for general employment, 14 for work outside school hours in certain occupations under specified conditions, and 18 in occupations found hazardous by the Secretary. In agricultural employment, the basic minimum age is 16 years during school hours and in occupations found hazardous by the Secretary; otherwise, age 14 for work outside school hours except for 12- and 13-year-olds with written parental consent or on farms where their parents are employed, or under age 12 with written parental consent on a farm not subject to Federal minimum wage or under a limited waiver for local 10- and 11-year-old hand-harvesters of short-season crops under specified conditions. Failure to comply with the child labor provisions of the Fair Labor Standards Act or regulations issued there under may result in an assessment of a civil money penalty not to exceed \$11,000 for each minor involved in violations. Employees of contractors performing on most federally financed or assisted construction projects, or providing services under contract to Federal agencies, are subject to special standards. These special standards require that employees receive no less than the wages and fringe benefits prevailing in the locality as determined by the Secretary of Labor, and that overtime compensation, with few exceptions, be paid for hours worked on such contracts in excess of 40 in a week. The standards apply to performance on direct Federal contracts and also to most construction projects financed in whole or in part by the Federal Government (e.g., projects under the National Housing Act). Under current law, for Federal and federally assisted construction contracts over \$2,000 and Federal service contracts over \$2,500 subject to prevailing wage requirements, contracting agencies must obtain a wage determination issued by the Wage and Hour Division. Also under current law, employees working on Federal contracts in excess of \$10,000 calling for the manufacture or furnishing of supplies are required to be paid not less than the Federal minimum wage and overtime pay for hours worked in excess of 40 a week. Labor standards are set under the Arts and Humanities Act which require the payment of the prevailing minimum compensation as determined by the Secretary of Labor to professional performers or supporting personnel who work on projects or productions assisted by grants from the National Endowments for the Arts and Humanities. The Employee Polygraph Protection Act prohibits most private employers from administering lie detector tests to employees or prospective employees but permits the use of polygraph tests under certain conditions. The H-2A provisions of the Immigration and Nationality Act provide for the enforcement of contractual obligations concerning wages and other working conditions between certain temporary, alien agricultural workers and their employers. Section 203 of the Immigration Act of 1990 places limitations on the performance of Longshore work by alien crew members in U.S. ports; Section 212(n) places limitations on the employment of nonimmigrant workers in H-1B Specialty Occupations. The Family and Medical Leave Act requires a private employer of 50 or more employees and all public employers and schools to grant up to 12 weeks of unpaid leave in a 12-month period to any employee who was employed at least 12 months for 1,250 hours of service during the preceding 12 months, and is employed at a work site where there are 50 employees within 75 miles.

Applicant Eligibility:

Any covered employee, unless specifically exempt, is entitled to be paid in accordance with applicable monetary standards in the United States, District of Columbia, Puerto Rico, Virgin Islands, American Samoa, Guam, Wake Island, Johnston Island, and the Outer Continental Shelf Lands defined in the Outer Continental Shelf Lands Act. (Geographic application varies under the respective statutes and eligibility is dependent upon specific statutory language.) For family and medical leave, coverage includes any employer with 50 or more employees but excludes any employer at a worksite with fewer than 50 employees within a 75-mile radius.

Beneficiary Eligibility:

Employees.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting additional information concerning these standards, should contact the nearest office of the Wage and Hour Division, listed in the telephone Directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in Appendix IV of the Catalog under the Employment Standards Administration. Information should be provided describing the type of business and the nature of the work performed. Unless otherwise authorized, the names of persons making inquiries are kept confidential to the maximum extent possible under existing law. For Federal construction contracts or Federal service contracts subject to prevailing wage requirements, the contracting agency must obtain a wage determination issued by the Wage and Hour Division. The wage determination must be furnished to bidders and made a part of the contract along with certain contract stipulations.

Award Procedure:

Not applicable.

Deadlines:

For most of the Acts, a 2-year statute of limitations applies to the recovery of back wages; in the case of willful violations, a three-year limitation applies. Any action against an employer for violation of the Employee Polygraph Protection Act must be filed within three years of the alleged violation.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

An appeal process is available where child labor civil money penalties are assessed, where civil money penalties are assessed for minimum wage and overtime violations, and under the Government contracts statutes.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Federal and federally assisted construction contractors must submit information with respect to wages paid to contracting agencies.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$177,286; FY 08 \$185,092 and FY 09 est \$202,832.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Employment Standards Administration's Wage and Hour Division (WHD) recovered more than \$196 million in back wages in fiscal year 2004. Back wage collections have increased steadily since fiscal year 2001, with record breaking amounts collected in fiscal year 2003. The number of workers receiving back wages has increased by over 33 percent since fiscal year 2001. The average number of cases concluded by WHD investigators in each fiscal year has shown a steady increase from just over 40 cases concluded per investigator in 2001 to 46 in 2004, as WHD increased its enforcement productivity. WHD investigators have also significantly decreased the number of days it takes to conclude a complaint case. The agency concluded some 37,842 compliance actions in fiscal year 2004. WHD assessed nearly \$9 million in civil money penalties this past fiscal year, a slight decrease from the nearly \$10 million assessed in 2003.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications furnished free in limited quantities: "Handy Reference Guide to the Fair Labor Standards Act," "A Guide to the Walsh-Healey Public Contracts Act," "Guide to the Service Contract Act," "A Guide to the Child Labor Provisions of the FLSA" (Nonagricultural and Agricultural Occupations) "Compliance Guide to the Family and Medical Leave Act." Additional technical and nontechnical materials are also available at no cost.

Regional or Local Office:

Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting information or publications should contact the nearest office of the Wage and Hour Division, listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in Appendix IV of the Catalog under the Employment Standards Administration.

Headquarters Office:

Administrator, Wage and Hour Division, Employment Standards

Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-0051.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

17.201, Registered Apprenticeship and Other Training; 17.203, Labor Certification for Alien Workers; 17.306, Consumer Credit Protection; 17.308, Farm Labor Contractor Registration.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.306 CONSUMER CREDIT PROTECTION

(Federal Wage Garnishment Law)

FEDERAL AGENCY:

EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Consumer Credit Protection Act, Public Law 90-321, 5 U.S.C. 1671-1677, as amended; Public Law 95-30.

OBJECTIVES:

To provide restrictions on the amount of an employee's wages or salary which may be garnished, and prohibit employers from discharging employees by reason of garnishment for any one indebtedness.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

The general rules for applying the Federal restrictions on garnishment, effective September 1, 1997, are: If an individual's weekly disposable earnings are \$154.50 or less, no amount may be garnished; if the weekly disposable earnings are more than \$154.50 but less than \$206.00, only the amount in excess of \$154.50 may be garnished; and if the weekly disposable earnings are in excess of \$206.00 or more, 25 percent of disposable earnings may be garnished. The Act, as amended, limits court orders for the support of any person to 50 percent of the disposable earnings of an individual supporting another spouse or child and to 60 percent of disposable earnings if not. An additional 5 percent may be garnished for support payments more than 12 weeks in arrears. The order must be issued by a court of competent jurisdiction or in accordance with an administrative procedure, which is established by law, which affords substantial due process, and which is subject to judicial review. The restrictions do not apply under Federal bankruptcy proceedings for wage-earners, nor do they apply to debts due for State or Federal taxes. In addition, garnishments issued under the laws of any State may be exempted from the operation of the Federal law where the Secretary of Labor determines that such laws provide substantially similar restrictions. Under no circumstances may an employee be discharged from employment by reason of garnishment for any one indebtedness, although State laws may provide additional protection.

Applicant Eligibility:

Any person whose earnings have been subjected to garnishment is entitled to the protection provided by the Act in the United States, the District of Columbia, Puerto Rico, the Virgin Islands; Outer Continental Shelf lands defined in the Outer Continental Shelf Lands Act (Chapter 345, 67 Stat. 462); American Samoa, Guam, Wake Island; and Johnston Island. Under the law, earnings are defined as compensation paid or payable for personal services, including wages, salary, commissions, bonuses, and including periodic payments pursuant to a pension or retirement program.

Beneficiary Eligibility:

Any person whose earnings have been subjected to garnishment.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons seeking advice or assistance concerning the application of the Federal wage garnishment law to specific situations, including persons seeking remedy to an illegal action under the law, should contact the nearest office of the Employment Standards Administration, Wage and Hour Division listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in Appendix IV of the Catalog under the Employment Standards Administration. Unless otherwise authorized, the names of persons making inquiries are kept confidential to the maximum extent possible under existing law.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries and Expenses) Not Separately Identifiable. (Note: Obligations for 17.306 are included in program 17.303.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Joint agreements to refer inquiries, complaints and leads have been entered into by the Employment Standards Administration and the Federal Trade Commission.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications furnished free in limited quantities: Pamphlet: The Federal Wage Garnishment Law, Basic Information. Regulations, 29 CFR Part 870, Restriction on Garnishment.

Regional or Local Office:

Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting information or publications should contact the nearest Office of the Wage and Hour Division, listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Employment Standards Administration, Wage and Hour Division, listed in the Appendix IV of the Catalog.

Headquarters Office:

Administrator, Wage and Hour Division, Employment Standards Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-0051.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.307 COAL MINE WORKERS' COMPENSATION

(Black Lung)

FEDERAL AGENCY:

OFFICE OF WORKERS' COMPENSATION PROGRAMS, EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, as amended, Public Laws 91-173, 92-303, 95-239, and 97-119.

OBJECTIVES:

To provide benefits to coal miners who have become totally disabled due to coal workers' pneumoconiosis (CWP), and to widows and other surviving dependents of miners who have died of this disease.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to coal miners disabled from coal workers' pneumoconiosis and to widows and other survivors of miners who have died of this disease. There are no restrictions on the use of these benefits by a beneficiary. Medical payments for treatment related to coal workers' pneumoconiosis are available to miners only after January 1, 1974.

Applicant Eligibility:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner

shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply.

Beneficiary Eligibility:

Disabled coal miners, widows and other surviving dependents of the deceased.

Credentials/Documentation:

Medical tests, at Department of Labor expense, which include an x-ray, pulmonary function study, physical examination, and blood gas tests. Proof of work in or around a coal mine or coal preparation facility. Also proof of death, relationship and dependency required for widows and other survivors applying. Identification of a responsible mine operator is made as specified in regulations published in the Code of Federal Regulations (20 CFR 725).

Preapplication Coordination:

Applicants are required to state on the claim form whether a claim for disability benefits due to the same condition has been filed under any State or other Federal workers' compensation law. Benefits received as a result of such a claim could be offset against Federal benefits. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Telephone, write-in, or visit the local Division of Coal Mine Workers' Compensation district or local office listed in Appendix IV of the Catalog.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

A miner must file a claim for benefits within 3 years after a medical determination of total disability due to pneumoconiosis or within 3 years after the date of enactment of Public Law 95-239, whichever comes later, (Black Lung Benefits Reform Act of 1977). There are no time limitations for dependent survivors to file claims.

Range of Approval/Disapproval Time:

From 2 months to 8 months for initial findings depending on availability of supporting evidence.

Appeals:

Notify Office of Workers' Compensation Programs (OWCP), Employment Standards Administration. The appeal process includes formal hearings, Benefits Review Board consideration, and review by Federal courts, as appropriate.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The period of total disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined by the Act unless under a disability as defined in Section 223(d) of the Social Security Act, 42 U.S.C. 423(d). Employers or their insurance carriers are required to begin compensation within 30 days of the initial determination of eligibility. Payments are disbursed on a monthly basis.

Reports:

Any change in an eligibility requirement or in a factor affecting the amount of benefits, such as, State workers' compensation awards, or change in status of a dependent, must be reported to the Office of Workers' Compensation Programs when it occurs.

Audits:

None.

Records:

None.

Account Identification:

20-8144-0-7-601.

Obligations:

(Benefits) FY 07 \$303,373; FY 08 est \$276,221; and FY 09 est \$250,130. These are benefit under Part B only Est. Part C Obligations: FY 07 \$224,000, FY 08 203,000, FY 09 183,000.

Range and Average of Financial Assistance:

On January 1, 2006, new monthly rates went into effect for Black Lung benefits that included a 2.1 percent increase over the 2005 benefit amounts. The new rates are as follows: Part-B BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Social Security Administration - payments received around the 3rd of each month) Primary beneficiary.. \$574.00 Primary beneficiary and one dependent.. \$861.00 Primary beneficiary and two dependents ... \$1005.00 Primary beneficiary and three or more dependents.. \$1,149.00 Part-C BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Department of Labor - payments received around the 15th of each month) Primary beneficiary. \$574.60 Primary beneficiary and one dependent.. \$861.80 Primary beneficiary and two dependents.. \$1,005.50 Primary beneficiary and three or more dependents.. \$1,149.10.

PROGRAM ACCOMPLISHMENTS:

Over 102,000 beneficiaries and 18,000 dependents received benefits in 2005. During Fiscal Year 2005, the Black Lung Disability Trust Fund provided over \$292 million in monthly benefits to beneficiaries. DCMWC administered another \$380 million in monthly benefits under Part B. The Trust Fund paid out over \$54 million for the diagnosis and treatment of miners for pneumoconiosis. The Division of Coal Mine Workers Compensation also monitored direct monthly benefits paid to beneficiaries by coal mine operators or their insurance companies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 410.401 et seq., 718, 722, 725, 726, and 727. Also, Black Lung Amendments of 1981, 30 U.S.C. 901 et seq. "Black Lung Benefits," Fact Sheet No. ESA 91-14.

Regional or Local Office:

Local Division of Coal Mine Workers' Compensation district offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Division of Coal Mine Workers' Compensation, Office of Workers' Compensation Programs, Employment Standards Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-0046. Contact: James L. DeMarce, Director.

Web Site Address:

<http://www.dol.gov/esa/regs/compliance/owcp/bltable.htm>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.308 FARM LABOR CONTRACTOR REGISTRATION

(Crew Leader)

FEDERAL AGENCY:

EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Migrant and Seasonal Agricultural Worker Protection Act, Public Law 97-470, as amended, 96 Stat. 2583; 29 U.S.C. 1801-1872.

OBJECTIVES:

To provide protection in the areas of wages, housing and transportation for migrant and seasonal agricultural workers.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

The program requires the contractor, agricultural employer or agricultural association to keep certain records and to comply with appropriate Federal and State safety and health standards for transportation and housing. It requires that contractors, agricultural employers and agricultural associations transporting migrant or seasonal farmworkers obtain motor vehicle liability insurance or, in some instances, Workers' Compensation Insurance and that they insure the migrant/seasonal agricultural worker and his/her personal property against injury or damage arising out of a transportation accident. Contractors, agricultural employers and agricultural associations must also comply with the applicable regulations of the Department of Transportation, Bureau of Motor Carrier Safety. The Act distinguishes between the traditional farm labor contractor and fixed-situs agricultural employers. It provides important worker protections such as vehicle safety and insurance coverage against both personal liability and property damage while being transported in connection with their employment; housing safety and health; disclosure of wages, hours and working conditions; maintenance of necessary records and furnishing to workers itemized information concerning pay and withholding. It further provides exemptions for both family businesses and small businesses. Any farm labor contractor who, for any money or other valuable consideration paid or promised to be paid, recruits, solicits, hires, employs, furnishes, or transports any migrant or seasonal agricultural worker (excluding members of his/her immediate family) for agricultural employment, must register under the Act, unless qualified for specific exemptions. Agricultural employers and agricultural associations must comply with the worker protection standards, but are not required to register. Persons having knowledge of any practices or omissions which are not in compliance with the requirements of the Act, and persons requesting additional information concerning the Act are encouraged to write, telephone or visit the nearest State employment service office or the nearest Wage and Hour Division Office listed in Appendix IV of the Catalog. Information should be provided describing the type of business activity and the nature of the work performed. The names of persons making inquiries are treated in complete confidence. Users of the services of a farm labor contractor are prohibited from engaging the services of one who is unregistered. Failure to comply with this Act and its regulations may result in criminal prosecution, civil injunctive action, civil money damages and assessment of civil money penalties of up to \$1,000 for

each violation.

Applicant Eligibility:

Farm labor contractors and their full-time or regular employees who recruit, solicit, hire, employ, furnish or transport migrant or seasonal agricultural workers for any money or other valuable consideration paid or promised to be paid for agricultural employment, must register with the Department of Labor.

Beneficiary Eligibility:

Migrant and seasonal agricultural workers.

Credentials/Documentation:

Certificate of Registration or Employee Certificate of Registration.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Farm labor contractors and their full-time or regular employees who recruit, solicit, hire, employ, furnish, or transport migrant or seasonal agricultural workers for any money or other valuable consideration paid or promised to be paid for agricultural employment, should contact the local office of the Employment Service or the nearest district office of the Wage and Hour Division for application forms and assistance.

Award Procedure:

Not applicable.

Deadlines:

Farm labor contractor certificates expire twelve months from date of issuance unless earlier suspended or revoked. Farm labor contractor employee renewal certificates expire from twelve to twenty-four months after renewal.

Range of Approval/Disapproval Time:

Applications for Farm labor contractor employee certificates of registration or farm labor contractor employee certificates of registration are usually processed within 2 to 3 weeks.

Appeals:

Applicants whose applications have been denied or revoked have 30 days to request a hearing on the decision to refuse to issue, refuse to renew or revoke. Persons who have been assessed civil money penalties have 30 days to request a hearing on the decision to assess.

Renewals:

Same procedure as making initial application. When application for renewal of a valid certificate is submitted prior to at least 30 days before the expiration date, the applicant may continue to operate under the terms of the existing certificate until a final determination is made on the renewal application.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A registered farm labor contractor is required to keep the Department of Labor informed of his/her permanent address and any change of vehicle which is operated by him/her or caused to be used to transport workers or change of housing for workers if such housing is controlled or owned by him or her.

Audits:

Not applicable.

Records:

Any employer subject to the Act is required to keep payroll records.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries and Expenses) Not Separately Identifiable. (Note: Obligations for 17.308 are included in program 17.303.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Program emphasis continues to be placed upon registration activities and achieving greater compliance by farm labor contractors with the provisions of the Migrant and Seasonal Agricultural Worker Protection Act. In fiscal year 2004 the agency collected over \$1,194,000 in back wages for approximately 3,700 agricultural workers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Migrant and Seasonal Agricultural Worker Protection Act. 29 CFR Part 500, contains information about the Act. Fact sheet ESA-13, "Agricultural Workers--Your Rights Under the Migrant and Seasonal Agricultural Worker Protection Act," is available free of charge in limited quantities.

Regional or Local Office:

Persons are encouraged to communicate with the State Employment Service Office, or contact the nearest Wage and Hour Division Office listed in the telephone directory under United States Government, Labor Department Employment Standards Administration. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in Appendix IV of the Catalog under the Employment Standards Administration.

Headquarters Office:

Administrator, Wage and Hour Division, Employment Standards Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-0051.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.303, Wage and Hour Standards.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.309 LABOR ORGANIZATION REPORTS

(Landrum-Griffin Act)

FEDERAL AGENCY:

OFFICE OF LABOR-MANAGEMENT STANDARDS, EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Labor-Management Reporting and Disclosure Act of 1959, Public Law 86-257, as amended, Public Laws 89-216, 98-473, 99-217, and 100-182, 29 U.S.C. 401.

OBJECTIVES:

To provide for the reporting and disclosure of, financial transactions and administrative practices of labor organizations, employers, labor consultants and others required to report under the Landrum-Griffin Act; to provide standards for the election of union officers, trusteeships, fiduciary responsibilities of union officers, and rights of union members.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Provides union members the authority to bring civil action in the district courts of the United States to enforce the rights and protections granted them under the Bill of Rights of Members of Labor Organizations; enables union members and employees whose rights are affected by a collective bargaining contract, to obtain or examine a copy of the agreement; enables union members to secure information on the organization, administrative practices, and financial transactions of their unions and to prevent abuses in these areas and in the administration of trusteeships imposed upon labor organizations; assures union members free and democratic officer elections through enforcement of standards and procedures with respect to such elections, and the removal of officers; and safeguards assets of labor organizations by imposing upon union officials fiduciary responsibility, enforceable in the courts, and by requiring the bonding of persons who handle union funds.

Applicant Eligibility:

Officers of unions may obtain assistance in preparing reports or otherwise complying with the Act. Union members or union organizations may request assistance in investigating alleged violations. All reports required to be filed are available for inspection and disclosure to the general public.

Beneficiary Eligibility:

Union officers, union members, union organizations. All reports required to be filed are available for inspection and disclosure to the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Write or call the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Union members may file an election of officers complaint with the Department of Labor within one calendar month after having exhausted internal union remedies or within one calendar month after having invoked internal union remedies without obtaining a final decision within three calendar months after invoking them.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0104-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 49,595; FY 08 est \$46,635; and FY 09 est \$60,350.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, OLMS received and processed approximately 42,830 reports, 525 criminal investigations, conducted 619 compliance audits, and supervised 35 union officer elections.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 401-453, 29 CFR 457-459. The Labor-Management Reporting and Disclosure Act of 1959, as amended, Rights and Responsibilities under the LMRDA; Reports Required under the LMRDA (Spanish edition also available); Bonding Requirements under LMRDA; Trusteeship Requirements under the LMRDA, Electing Union Officers (Spanish edition also available); LMRDA Regulations and Interpretative Bulletins: Election of Officers of Labor Organizations, 29 CFR 452; Standards of Conduct Regulations, 29 CFR 457-459. The above literature is available free of charge in limited quantities from the Headquarters Office listed below or field offices in Appendix IV of the Catalog. Conducting Local Union Officer Elections - a guide for election officials is available for sale by the U.S. Government Printing Office.

Regional or Local Office:

Persons desiring assistance should contact the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Labor-Management Standards, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210, Room N-5605. Telephone: (202) 693-0123. For LMRDA assistance, Telephone: (202) 693-0123. For Electronic Forms software technical support, Telephone: (toll-free): (1 866) 487-2365.

Web Site Address:

<http://www.dol.gov>. E-mail: OLMS-Public@dol.gov

RELATED PROGRAMS:

17.150, Employee Benefits Security Administration.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.310 ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION**FEDERAL AGENCY:**

OFFICE OF WORKERS' COMPENSATION PROGRAMS, EMPLOYMENT STANDARDS ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Energy Employees Occupational Illness Compensation Program Act of 2000, as amended; 42 U.S.C. 7384 et seq.

OBJECTIVES:

Part B provides lump-sum monetary payments of and medical benefits to covered employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies and certain of its vendors, contractors and subcontractors. Part B also provides lump-sum payments and medical benefits to individuals found eligible by the Department of Justice (DOJ) under section 5 of the Radiation Exposure Compensation Act (RECA) and, where applicable, to their survivors. Part E of the Act provides variable lump-sum payments (based on a worker's permanent impairment and/or years of qualifying wage-loss) and medical benefits for covered DOE contractor employees and, where applicable, provides variable lump-sum payments to survivors of such employees (based on a worker's death due to a covered illness and any years of established wage-loss). Part E also provides these same payments and benefits to uranium miners, millers and ore transporters covered by section 5 of RECA and, where applicable, to survivors of such employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

This program provides lump-sum monetary payments to employees and former employees as compensation for occupational illnesses under Part B, and covered illnesses under Part E; medical expenses (including hospital care). Benefits are paid from a mandatory appropriation of Federal funds.

Applicant Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Beneficiary Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Credentials/Documentation:

Necessary documentation is developed when claim is filed with the program.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Employee or his or her survivor(s) files written claim for compensation (Form EE-1 or EE-2) with the local district office of the Office of Workers' Compensation Programs (OWCP), or with a Resource Center.

Award Procedure:

Authority to issue recommended decisions under this program rests with the District Director in OWCP district offices. Authority to issue final decisions rests with the Final Adjudication Branch.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Request for review of or hearing on a recommended decision must be made within 60 days. Request for reconsideration of a final agency decision must be filed within 30 days of issuance of the final decision. Request to reopen claim after issuance of a final decision may be made at any time, if supported by material evidence.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Benefits are not length-based. Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-1523-0-1-053.

Obligations:

(Benefits) FY 07 \$898,090; FY 08 est \$965,555; and FY 09 est \$948,487.

Range and Average of Financial Assistance:

Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

PROGRAM ACCOMPLISHMENTS:

The program currently receives on average 20,000 new claims per year from employees (or their survivors) of DOE, its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act, 20 CFR part 30, are available on the Internet at <http://www.dol.gov>, or from OWCP.

Regional or Local Office:

Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs. See Appendix IV of the Catalog for addresses and areas of jurisdiction listed under Employment Standards Administration.

Headquarters Office:

Office of Workers' Compensation Programs, Division of Energy Employees Occupational Illness Compensation, Washington, DC 20210. Telephone: (202)693-0081. Contact: Peter Turcic.

Web Site Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.502 OCCUPATIONAL SAFETY AND HEALTH-SUSAN HARWOOD TRAINING GRANTS

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION,
DEPARTMENT OF LABOR

AUTHORIZATION:

Occupational Safety and Health Act, Public Law 91- 596; 29 U.S.C. 670 (c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of workplace hazards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants to nonprofit organizations to provide training or other educational services to employees and/or employers in priority areas designated by OSHA.

Applicant Eligibility:

Nonprofit organizations.

Beneficiary Eligibility:

Individuals employed in workplaces covered by the OSH Act that receive training and/or educational services under grants.

Credentials/Documentation:

Applicants must show nonprofit status.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are returned to the Directorate of Training and Education. OMB Circular No. A-110 governs application requirements.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Application deadlines are established annually in a Federal Register Notice that announces the availability of funds for new grants. Contact Headquarters listed below for application deadlines.

Range of Approval/Disapproval Time:

From three to five months

Appeals:

None.

Renewals:

Grants are awarded for 12 months. Renewals are not usually granted.

Formula and Matching Requirements:

Matching is not required.

Length and Time Phasing of Assistance:

Grants are awarded for 12 months. Grantees receive funds through the Department of Health and Human Services' Payment Management System.

Reports:

Program and fiscal reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for three years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Grants) FY 07 \$10,152,000; FY 08 est \$9,939,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

From \$61,000 to \$459,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007, a total of 55 training and education grants were awarded. Fiscal year 2008, estimate is that 50 training and education grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95 and 96; OMB Circulars A-21 (2 CFR Part 220), A-110 (2 CFR Part 215), and A-122 (2 CFR Part 230).

Regional or Local Office:

See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Assistant Secretary, Occupational Safety and Health Administration, Department of Labor, Washington, DC 20210. Telephone: (202) 693-2000. Contact: Cynthia Bencheck, Telephone: (847) 297-4810.

Web Site Address:

<http://www.osha.gov>.

RELATED PROGRAMS:

17.504, Consultation Agreements; 17.503, Occupational Safety and Health_State Program; 17.505, OSHA Data Initiative; 17.602, Mine Health and Safety Education and Training; 93.263, Occupational Safety and Health_Training Grants.

EXAMPLES OF FUNDED PROJECTS:

A labor organization developing a safety and health program for its membership and providing training to key staff from its locals; a safety council providing training and assistance to area small businesses in complying with OSHA standards; a trade association developing training material on a new OSHA Standard and using it to train its membership.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications. They include program, administration and budget categories.

17.503 OCCUPATIONAL SAFETY AND HEALTH-STATE PROGRAM

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION,
DEPARTMENT OF LABOR

AUTHORIZATION:

Occupational Safety and Health Act, Section 23(g), Public Law 91-596, 29 U.S.C. 60 (c).

OBJECTIVES:

To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Applicant Eligibility:

Designated State agencies which have federally approved occupational safety and health plans.

Beneficiary Eligibility:

Any employer, worker or their representative from a business engaged in interstate commerce except those under jurisdiction of other Federal agencies.

Credentials/Documentation:

State agency responsible for occupational safety and health must show that its program is "at least as effective" as the Federal occupational safety and health program. This is accomplished by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate the program.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

Application instructions are distributed by the Occupational Safety and Health Administration to the approved State plan States through OSHA's Directives System. Completed applications are returned to the National Office through the Regional Offices. OMB Circular No. A-102 governs application requirements.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Annual schedules are established by OSHA's National Grants Office. Contact National Grant Office for deadline schedules.

Range of Approval/Disapproval Time:

Two months.

Appeals:

None.

Renewals:

Grants to States for administering their own safety and health programs that can be renewed indefinitely, provided they continue to meet the "at least as effective" requirements. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Matching is required. The Federal share may not exceed 50 percent of the total cost to the State.

Length and Time Phasing of Assistance:

State program grants are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System.

Reports:

Program and fiscal reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations,

nonfederal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for three years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Grants) FY 07 \$91,093,000; FY 08 est \$89,502,000; and FY 09 est \$91,093,000.

Range and Average of Financial Assistance:

Fiscal year 2007 grants ranged from \$201,000 to \$23,013,900. These awards represent approximately 50 percent of total program cost.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, State inspections totaled 57,380; fiscal year 2008 estimate, 50,900 State enforcement inspections; fiscal year 2009 estimate, 49,000 State enforcement inspections.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 1902, 1903, 1904, 1905, 1908, 1952, 1953, 1954, 1955, 1956, 2200; OMB Circulars A-102 and A-87 (2 CFR Part 225); and 29 CFR Parts 96 and 97.

Regional or Local Office:

See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Assistant Secretary, Occupational Safety and Health Administration, Department of Labor, Washington, DC 20210. Contact: Pavlo Oborski. Telephone: (202) 693-1919.

Web Site Address:

<http://www.osha.gov>.

RELATED PROGRAMS:

17.502, Occupational Safety and Health_Susan Harwood Training Grants; 17.504, Consultation Agreements; 17.505, OSHA Data Initiative; 17.600, Mine Health and Safety Grants; 17.601, Mine Health and Safety Counseling and Technical Assistance; 17.602, Mine Health and Safety Education and Training; 93.262, Occupational Safety and Health Program; 93.263, Occupational Safety and Health_Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Twenty-six State agencies operate approved State plans by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate their programs.

CRITERIA FOR SELECTING PROPOSALS:

Grants may be awarded only to a State agency, designated by the Governor of a State, which has had its State Plan approved by the Assistant Secretary and which has submitted an application for a grant.

17.504 CONSULTATION AGREEMENTS

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION,
DEPARTMENT OF LABOR

AUTHORIZATION:

Occupational Safety and Health Act, Section 21(d) Public Law 105-197, 29 U.S.C. 651.

OBJECTIVES:

To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Applicant Eligibility:

Designated State agencies which have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds as well as State funds as required.

Beneficiary Eligibility:

Any private employer operating within a State, with priority given to smaller employers with the more hazardous operations.

Credentials/Documentation:

State agency responsible for providing consultative services to employers must meet the minimum requirements of the consultation program set forth in 29 CFR 1908.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies through OSHA's Regional Offices. Completed applications are returned to OSHA's National Office. OMB Circulars No. A-102 and A-110 govern application requirements.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Annual schedules are established by OSHA's National Grants Office. Contact National Grant Office for deadline schedules.

Range of Approval/Disapproval Time:

Two months.

Appeals:

None.

Renewals:

Funding to States for administering their consultation program can be renewed indefinitely, provided that State consultation projects continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Matching is required. The Federal share may not exceed 90 percent of the total cost to the recipient.

Length and Time Phasing of Assistance:

Consultation Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System.

Reports:

A program review and fiscal reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, non-Federal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for three years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

FY 07 \$53,357,000; FY 08 est \$52,425,000; and FY 09 est \$54,531,000.

Range and Average of Financial Assistance:

Fiscal year 2007 grants ranged from \$199,000 to \$5,161,000. These awards represent approximately 90 percent of total program costs.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, State consultations visits totaled 31,911; fiscal year 2008 estimate is 29,700 State consultation visits; and fiscal year 2009 estimate is 29,050 State consultation visits.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95, 97, and 1908; and OMB Circular Nos. A-21, A-87, A-102 and A-110.

Regional or Local Office:

See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Assistant Secretary, Occupational Safety and Health Administration, Department of Labor, Washington, DC 20210. Contact: Pavlo Oborski. Telephone: (202) 693-1919.

Web Site Address:

<http://www.osha.gov>.

RELATED PROGRAMS:

17.502, Occupational Safety and Health_Susan Harwood Training Grants; 17.503, Occupational Safety and Health_State Program; 17.505, OSHA Data Initiative; 17.600, Mine Health and Safety Grants; 17.601, Mine Health and Safety Counseling and Technical Assistance; 17.602, Mine Health and Safety Education and Training; 93.262, Occupational Safety and Health Program; 93.263, Occupational Safety and Health_Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Fifty State agencies and public Universities operate consultation programs by providing workplace safety and health assistance to employers.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.505 OSHA DATA INITIATIVE

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION,
DEPARTMENT OF LABOR

AUTHORIZATION:

Occupational Safety and Health Act, Section 7(c)(1) Public Law 91-596, 29 U.S.C. 651.

OBJECTIVES:

To fund collection of occupational injury and illness data from employers in specified industries nationwide.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to collect occupational injury and illness data from employers in specified industries.

Applicant Eligibility:

Designated State agencies that have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds.

Beneficiary Eligibility:

Any state agency that is capable of collecting data.

Credentials/Documentation:

State agency that is capable of collecting data.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies. Completed applications are returned to OSHA's National Office. OMB Circulars No. A-102 and A-110 govern application requirements.

Award Procedure:

Final approval of funding requests is given annually by the Director of Administrative Programs.

Deadlines:

Annual schedules are established by OSHA's National Office. Contact National Office for deadline schedules.

Range of Approval/Disapproval Time:

One month.

Appeals:

None.

Renewals:

Funding to States for administering their collection program can be renewed indefinitely, provided that the States continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Matching is not required.

Length and Time Phasing of Assistance:

Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System.

Reports:

A program review and fiscal reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, non federal entities that expend \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for three years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

FY 07 \$1,477,000; FY 08 est \$1,321,000; and FY 09 est \$1,321,000.

Range and Average of Financial Assistance:

Fiscal year 2007 grants ranged from \$5,750 to \$186,024.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 78,779 employer samples, fiscal year 2008 estimate is 80,000 employer samples, and fiscal year 2009 estimate is 80,000 employer samples.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 96, 97 and 1904, and OMB Circular Nos. A-102 and A-87 (2 CFR Part 225).

Regional or Local Office:

See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Assistant Secretary, Occupational Safety and Health Administration, Department of Labor, Washington, DC 20210. Contact: Pavlo Oborski. Telephone: (202) 693-1919.

Web Site Address:

RELATED PROGRAMS:

17.502, Occupational Safety and Health Susan Harwood Training Grants; 17.503, Occupational Safety and Health State Program; 17.504 Consultation Agreements; 17.600, Mine Health and Safety Grants; 17.601, Mine Health and Safety Counseling and Technical Assistance; 17.602, Mine Health and Safety Education and Training; 93.262, Occupational Safety and Health Program; 93.263, Occupational Safety and Health Training Grants.

EXAMPLES OF FUNDED PROJECTS:

Thirty State agencies collect occupational injury and illness rates that are used to fill OSHA's basic data needs to target interventions (i.e., inspections, outreach, technical assistance and leveraging programs).

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.600 MINE HEALTH AND SAFETY GRANTS

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, as amended, Public Law 95-164, Section 503.

OBJECTIVES:

To assist States in providing safety and health training and developing programs to improve mine health and safety conditions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides grants (1) To assist States in developing and enforcing effective coal or other mine health and safety laws and regulations consistent with the provisions of section 506 of the Federal Mine Safety and Health Act of 1977; (2) to improve State workers' compensation and occupational disease laws and programs related to coal or other mine employment; and (3) to provide Federal-State coordination and cooperation in improving the health and safety conditions in the coal or other mines.

Applicant Eligibility:

Any mining State of the United States.

Beneficiary Eligibility:

States.

Credentials/Documentation:

In addition to items as stated in Grant Solicitation from Mine Safety and Health Administration (MSHA), State official mine inspection or safety agency has or will employ an adequate and competent staff of qualified trainers to provide health and safety training for miners and trained inspectors qualified under the laws of the State to make mine inspections within the State. Costs will be determined in accordance with OMB Circular No. A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. The application will be submitted in an original and two copies to the Assistant Secretary of Labor for Mine Safety and Health. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Applications are due in May each year.

Range of Approval/Disapproval Time:

Within three months.

Appeals:

Within 30 days from the final decision date, an appeal may be filed with the U.S. Court of Appeals for the District of Columbia.

Renewals:

Renewals may be made on a fiscal year basis, or a portion thereof when necessary, in the same manner as that required for the original request.

Formula and Matching Requirements:

A maximum of 80 percent of the amount expended by any mining State for a fiscal year is paid from Federal funds and at least 20 percent are paid by the Applicant State.

Length and Time Phasing of Assistance:

Length of assistance is on a fiscal year basis or a portion thereof. Time phasing of assistance is on a cost reimbursable basis, normally quarterly.

Reports:

Semi-annual Technical reports as required by MSHA shall be furnished to the Assistant Secretary of Labor for Mine Safety and Health stating work accomplished, benefits derived, project status. Quarterly Financial Reports listing expenditures and amounts obligated are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. On-site audits will be made by representatives of the Secretary of Labor and the Comptroller General of the United States.

Records:

Records relating to each grant shall be retained and made available until the expiration of three years after the grantees' last disbursement of such funds. Prescribed guidelines are set forth in attachment C, OMB Circular No. A-102 (revised).

Account Identification:

16-1200-0-1-554.

Obligations:

(Grants) FY 07 \$8,204,000; FY 08 est \$8,441,000; and FY 09 est \$8,441,000.

Range and Average of Financial Assistance:

From \$9,357 to \$606,088. Average: \$160,000.

PROGRAM ACCOMPLISHMENTS:

New and renewal grants for programs in fiscal year 2007 have been made in the following amounts: Alabama - \$193,000; Alaska - \$50,000; Arizona - \$279,000; Arkansas - \$96,000; California - \$327,000; Colorado - \$204,000; Connecticut - \$43,000; Delaware - \$4,000; Florida - \$166,000; Georgia - \$186,000; Idaho - \$89,000; Illinois - \$212,000; Indiana - \$185,000; Iowa - \$112,000; Kansas - \$80,000; Kentucky \$606,000; Louisiana - \$79,000; Maine - \$54,000; Maryland - \$56,000; Massachusetts - \$64,000; Michigan - \$209,000; Minnesota - \$264,000; Mississippi - \$59,000; Missouri - \$201,000; Montana - \$133,000; Nebraska - \$65,000; Nevada - \$227,000; New Hampshire - \$39,000; New Jersey - \$49,000; New Mexico - \$152,000; New York - \$251,000; North Carolina - \$148,000; North Dakota - \$58,000; Ohio - \$261,000; Oklahoma - \$112,000; Oregon - \$103,000; Pennsylvania - \$517,000; Rhode Island - 9,000; South Carolina - \$64,000; South Dakota - \$57,000; Tennessee - \$135,000; Texas - \$415,000; Utah - \$166,000; Vermont - \$69,000; Virginia - \$268,000; Washington - \$144,000; West Virginia - \$545,000; Wisconsin - \$186,000; Wyoming - \$187,000; and Navajo Nation - \$26,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Part 97.

Regional or Local Office:

None.

Headquarters Office:

Assistant Secretary of Labor for Mine Safety and Health, Mine Safety and Health Administration, Department of Labor, 1100 Wilson Boulevard, Arlington, VA 22209. Contact: Jeffrey A. Duncan. Telephone: (202) 693-9570 or Linda Davis (303) 231-5954.

Web Site Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

17.601, Mine Health and Safety Counseling and Technical Assistance; 93.262, Occupational Safety and Health Program; 93.263, Occupational Safety and Health Training Grants.

EXAMPLES OF FUNDED PROJECTS:

(1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in Maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs.

CRITERIA FOR SELECTING PROPOSALS:

Responses related to the following proposal criteria enable the applicant to show that the proposed grant is consistent with the purposes of the Act: (a) Programs, policies, and methods to be followed in administering the grant, (b) designation of the State mining agency as the sole agency with authority and responsibility for administering the grant throughout the State, (c) assurances that such agency has an adequate and competent staff of trained and qualified inspectors, (d) use of the grant will extend and improve mine health and safety in the State while providing for no advance notice of an inspection, (e) assurances that grant will supplement not supplant existing State mine health and safety programs, (f) period over which program will be pursued, (g) financial plan, (h) fiscal control and accounting procedures, (i) name and title of person who will direct the program, (j) approximate number of qualified personnel who will work on the program, (k) location or locations where program will be pursued, (l) assurance that matching funds from nonfederal sources will be forthcoming, (m) indication of whether the programs or any part have been or will be submitted to other organizations for the purpose of obtaining a grant, and (n) agreement that the official State mine agency shall make required reports.

17.601 MINE HEALTH AND SAFETY COUNSELING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, as amended, Public Law 95-164. Public Law 109-236, Mine Improvement and New Emergency Response Act of 2006 (MINER Act).

OBJECTIVES:

To improve conditions of health and safety in and around coal, metal and nonmetallic mines and mineral facilities through technical advice, special studies, investigations, development of State mine health and safety programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Assistance can be used for establishing or improving State mine health and safety programs. Technical advice and assistance is available to States, organizations, companies, or persons with mine health and safety programs and/or problems.

Applicant Eligibility:

Any State, organization or person interested in employing healthful and safe mine or mineral industry working conditions can apply.

Beneficiary Eligibility:

States, organizations, individuals.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

In the form of a letter to the Mine Safety and Health Administration.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries and Expenses) FY 07 \$29,062,000; FY 08 est \$29,476,000; and FY 09 est \$29,117,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

To reduce mine accidents and disasters, technical assistance is provided to help mine inspectors, State agencies, labor, and the industry in identifying and resolving complex mine safety and health issues. Activities include equipment testing, engineering and scientific evaluation of health and safety hazards, environmental sampling, and emergency response.

REGULATIONS, GUIDELINES, AND LITERATURE:

30 Code of Federal Regulations; Bureau of Mines Information Circulars; Bureau of Mines Reports of Investigations; MSHA Informational Reports.

Regional or Local Office:

See Appendix IV of the Catalog for a list of the addresses of Mine Safety and Health Administration Field Installations. Information on health and safety programs, inspections, and enforcement should be sought from Coal Mine Safety and Health or Metal and Nonmetal Mine Safety and Health District Offices listed in Appendix IV of the Catalog, or the Headquarters Office. Technical information can be sought from the same sources or from the Technical Support Field Centers.

Headquarters Office:

Assistant Secretary of Labor for Mine Safety and Health, Mine Safety and Health Administration, Department of Labor, 1100 Wilson Boulevard, Arlington, VA 22209. Contact: Mark E. Skiles. Telephone: (202) 693-9472 or Linda F. Zeiler. Telephone: (202) 693-9478.

Web Site Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

17.600, Mine Health and Safety Grants; 17.602, Mine Health and Safety Education and Training; 17.603, Brookwood-Sago Grant.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

17.602 MINE HEALTH AND SAFETY EDUCATION AND TRAINING

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, as amended, Public Law 95-164. Public Law 109-236, Mine Improvement and New Emergency Response Act of 2006 (MINER Act).

OBJECTIVES:

To provide technical training for Federal mine inspectors and representatives of the mining industry.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Provide initial and advanced Mine Safety and Health Training for Federal Mine Inspectors. This Technical training is available to Mine Operators, Miners, States, and labor Organizations. Assistance is also provided in the form of written and audio visual training materials. These materials deal with Mining Safety and Health Technical Materials.

Applicant Eligibility:

Any mine operator, miner or their agent can request training or training materials.

Beneficiary Eligibility:

Mine operators, miners or their agent, organizations and individuals.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

By telephone or written request.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries and Expenses) FY 07 \$10,414,000; FY 08 est \$11,000,000; and FY 09 est \$12,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Training is provided through approximately 1,516 course days for Federal and other employees and 250 course days for the Mining Industry, as well as distributing approximately 6,990,240 mine safety publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Appendix IV of the Catalog for listing of Mine Safety and Health Administration Offices.

Headquarters Office:

Director Educational Policy and Development, Mine Safety and Health Administration, Department of Labor, 1100 Wilson Boulevard, Arlington, VA

22209. Contacts: Jeffrey A. Duncan, Telephone: (202) 693-9570; Janet R. Bertinuson, Manager of Academy Operations. Telephone: (304) 256-3265.

Web Site Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

17.600, Mine Health and Safety Grants; 17.603, Brookwood-Sago Grant; 93.263, Occupational Safety and Health Training Grants.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

17.603 BROOKWOOD-SAGO GRANT

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Law 109-236, Mine Improvement and New Emergency Response Act of 2006 (Miner Act), 30 U.S.C. 965. Brookwood-Sago Mine Safety Grants.

OBJECTIVES:

To provide mine safety and health training and education programs for workers and mine operators, to better identify, avoid, and prevent unsafe working conditions in and around mines. To establish and implement education and training programs, or to develop training materials for employers and miners, concerning safety and health topics in mines, as determined appropriate by Mine Safety and Health Administration (MSHA).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Provides grants to states, public, and private nonprofit organizations to provide training and other educational programs or develop training materials for employers and miners concerning safety and health topics in priority areas designated by MSHA.

Applicant Eligibility:

Any mining State of the United States, nonprofit public and private organizations.

Beneficiary Eligibility:

Recipient of the training workers at mines, any organization employing these individuals, and mine operators.

Credentials/Documentation:

Any credential or documentation requirements are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are detailed in each solicitation for grant applications. See the Federal Register for each solicitation for grant applications.

Award Procedure:

Competitive grant applications, submitted in response to a solicitation for grant application, will be reviewed by a panel for consistency with the solicitation for grant application requirements, and are subject to availability of funds and performance achievement. Funding decisions are at the discretion of the Assistant Secretary.

Deadlines:

Application deadlines are established annually in a Federal Register Notice that announces the availability of funds for new grants. Contact Headquarters listed below for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Appeals:

None.

Renewals:

Renewals and option year availability is detailed in each solicitation for grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See the Federal Register for solicitation for grant applications.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for grant applications. See the Federal Register for current solicitations for grant applications.

Reports:

All grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All grants require cooperation and sharing of program reports with an independent external evaluator. See the Federal Register for solicitations for grant applications.

Audits:

Subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout of final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

FY 07 \$500,000; FY 08 est. \$500,000; and FY 09 est. \$500,000.

Range and Average of Financial Assistance:

At a minimum, \$50,000, depending on the project needs as detailed in the solicitation for grant application and availability of funds.

PROGRAM ACCOMPLISHMENTS:

New and renewal grants for programs in fiscal year 2007 have been made in the following amounts: Pennsylvania - \$135,000; Indiana - \$50,000; West Virginia - \$50,000; Colorado - \$53,000; Virginia - \$85,000; Utah - \$54,000; and the United Mine Worker of America - \$73,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Mine Safety and Health Act of 1977, Public Law 91-173 as amended by Public Law 95-164.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, Director, Educational Policy and Development Department of Labor, Mine Safety and Health Administration, 1100 Wilson Boulevard, Room 2102, Arlington, Virginia 22209-3939. Robert Glatter, Administrative Officer and Outreach Manager Mine Safety and Health Administration Department of Labor, 1100 Wilson Boulevard, Room 2102, Arlington, Virginia 22209-3939. Telephone: (202) 693-9570.

Web Site Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications.

17.604 SAFETY AND HEALTH GRANTS

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977 (Mine Act), as amended, Public Law 109-236, Mine Improvement and New Emergency Response Act of 2006 (MINER Act) and 30 U.S.C. Section 962 and any other authority.

OBJECTIVES:

To provide mine safety and health training and education to miners, mine operators, and other individuals who may work at a mine; and to develop training and other programs (to include research and equipment development) to improve health and safety conditions at mines.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are restricted to the use, services, mine type, or any other priority that MSHA has designated or is mandated by its legislation.

Applicant Eligibility:

Any mining State of the United States, non-profit public and private organizations, commercial entity, or the legislatively mandated entity.

Beneficiary Eligibility:

Individuals employed in workplaces covered by the Mine Act, as amended by Miner Act that receive training and/or educational services and owners and employers covered by the Mine Act receiving the benefits of the health and safety project under these grants.

Credentials/Documentation:

All applicants must produce the required documents for the grant, including any expertise requested. All applicants that are non-profits must show non-profit status. If MSHA issues a solicitation for grant application (SGA), applicants must produce all items as requested in the SGA.

Preapplication Coordination:

No preapplication is required. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

Application instructions are distributed by MSHA. The standard application forms furnished by the Federal agency and required by the applicable OMB Circular must be used for this program. Completed applications are returned to MSHA as noted in the application.

Award Procedure:

Final award decisions are made by the Assistant Secretary. Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Application deadlines, if established, are announced annually in a Federal Register Notice announcing the availability of funds for new grants. Contact Headquarters listed below for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 5 months.

Appeals:

None.

Renewals:

Primarily, grants are awarded for 12 months and may be renewed for an additional 12 months. In certain circumstances, MSHA may award grants up to a three-year performance period with a one-year renewal.

Formula and Matching Requirements:

Matching is not required.

Length and Time Phasing of Assistance:

Grants are awarded for 12 months. Grantees receive funds through the Department of Labor, Mine Safety and Health Administration, Educational Policy and Development.

Reports:

Program and fiscal reports are required quarterly.

Audits:

OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-profits Organizations, requires nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards in a year will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Grants) FY 07 est not available; FY 08 \$2,200,000; and FY 09 \$2,200,000.

Range and Average of Financial Assistance:

From \$10,000 to, \$2,200,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2008, the estimate is one training and education grant will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 31, 32, 33, 35, 36, 93, 94, 95, 96, 97, 98, and 99; OMB Circulars A-21, A-110 and A-122.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, Director, Educational Policy and Development, Department of Labor, Mine Safety and Health Administration, 1100 Wilson Boulevard, Room 2100 Arlington, VA 22219-3939. Telephone: (202) 693-9572. Robert Glatter, Administrative Officer and Outreach Manager, Mine Safety and Health Administration, Department of Labor, 1100 Wilson Boulevard, Room 2103, Arlington, VA 22219-3939. Telephone: (202) 693-9575. Linda Zeiler, Deputy Director, Technical Support, Department of Labor, Mine Safety and Health Administration, 1100 Wilson Boulevard, Room 2330, Arlington, VA 22219-3939. Telephone: (202) 693-9478. C. Bryan Don, Management Officer, Mine Safety and Health Administration, Department of Labor, 1100 Wilson Boulevard, Room 2365, Arlington, VA 22219-3939. Telephone: (202) 693-9477.

Web Site Address:

<http://www.msh.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications, if applicable.

17.700 WOMEN'S BUREAU

(Women's Bureau)

FEDERAL AGENCY:

WOMEN'S BUREAU, OFFICE OF THE SECRETARY, DEPARTMENT OF LABOR

AUTHORIZATION:

Public Law 66-259; 29 U.S.C. 11-16.

OBJECTIVES:

To improve the status of wage-earning women, improve their working conditions, increase their efficiency, advance their opportunities for profitable employment, and to provide employers with more alternatives to meet their labor needs. Through its Technology and Strengthening the Family Initiatives, worker shortage, financial security, workplace flexibility, and Corporate Mentoring, the Bureau works to promote an environment that is responsive to the demands and challenges of the 21st Century workforce; it establishes and maintains linkage with national, community and faith-based organizations, business and industry, trade unions, research foundations, academic, and Federal, State, and local government agencies for cooperative projects that address the employment and supportive service needs of women.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Technical information, including program models and advisory services that increase opportunities for women to plan for their economic and retirement security; aid in the development of training and educational programs designed to increase employment and earning opportunities; and support the establishment of programs that encourage a balance between home and work-life through flexible policies and programs.

Applicant Eligibility:

Any individual or group located in the United States or its territories.

Beneficiary Eligibility:

Individuals (particularly women) and groups.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for information or advice may be made to the appropriate Department of Labor, Women's Bureau regional office listed in Appendix IV of the Catalog, or to the Headquarters Office listed under the Information Contacts section of this program. The Women's Bureau does not provide financial assistance to individuals.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

16-0165-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$9,574,000; FY 08 est. \$9,666,000, and FY 09 est. \$10,237,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2007, the Women's Bureau continued to support and develop on-going projects and also designed and implemented several major projects in its effort to promote an environment that is responsive to the demands and challenges of the 21st century workforce. These targeted initiatives were designed to enhance opportunities for women under the Department's goals of A Competitive Workforce, A Prepared Workforce, and Strengthened Economic Protections. The following examples are highlights of the Bureau's accomplishments: A COMPETITIVE WORKFORCE -- The Women's Bureau continued its Flex-Options for Women project, which started in January 2004. The project links corporate mentors who have experience in developing flexible workplace policies and procedures with women business owners who want to develop flexible workplace policies and procedures. A PREPARED WORKFORCE -- The Bureau's Working Women in Transition (WWIT) program continued its efforts in support of women who are making a transition in their work lives. Building on the best practices of Better Jobs! projects, WWIT uses the Bureau's unique high-touch and high-tech strategy that

combines online and face-to-face resources. Participants have access to online mentors (e-mentoring), online employment tools, and distance learning opportunities while working with local service providers. A SECURE WORKFORCE -- WiSeUp is a financial education demonstration project targeted to Generation X women ages 22 to 35. The centerpiece of the program is an eight-module curriculum offered online, as well as in a classroom setting in educational institutions and other organizations. In the online program, the curriculum is complemented by an "Ask the Experts" feature, which permits participants to send questions by e-mail to volunteers with financial expertise. Another feature available to participants in both the online and classroom-based versions is a series of monthly one-hour teleconference calls with featured speakers and a question and answer session. The Women's Bureau also continues to host financial security and education events throughout the country. OUTREACH -- Through its National and Regional offices, web page, speaking engagements, and publications, the Women's Bureau continued to provide up-to-date, consistent, and relevant information and resources to employees, employers, and interested constituents on a variety of work-related topics, including job options, training and employment, flexible work schedules, and women's employment-related statistics.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Women's Bureau regularly updates its website to include new as well as on-going programs, projects and initiatives, utilizing user-friendly options to increase visibility for frequently-visited webpages.

Regional or Local Office:

Contact the nearest Department of Labor, Women's Bureau regional office in Appendix IV of the Catalog listed under the Office of the Secretary.

Headquarters Office:

Director, Women's Bureau, Office of the Secretary, Department of Labor, Washington, DC 20210. Telephone: (202) 693-6710. Contact: Office of Information and Support Services, Women's Bureau, Room S3305, Office of the Secretary, Department of Labor, Washington, DC 20210. Telephone: (202) 693-6710.

Web Site Address:

<http://www.dol.gov/wb/>

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 17.303, Wage and Hour Standards; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.720 DISABILITY EMPLOYMENT POLICY DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF DISABILITY EMPLOYMENT POLICY, DEPARTMENT OF LABOR

AUTHORIZATION:

The Consolidated Appropriations Act, 2001 Section 1(a)(1); Public Law 106-554; 29 U.S.C. 557b.

OBJECTIVES:

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP develops and fosters implementation of comprehensive and integrated disability employment policies and strategies throughout the workforce development system and with employers. Implementation of these effective policies and practices will increase employment opportunities and the recruitment, retention and promotion of people with disabilities. ODEP's response to low employment rates among people with disabilities is comprehensive and aggressive, and includes securing the active involvement and cooperation among a number of stakeholders, including Federal, State and local government agencies, private and public employers, educational and training institutions, and the disability community.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Uses and use restrictions are detailed in each solicitation for cooperative agreement or grant applications and in each request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current Solicitations for Grant Applications (SGAs) and Requests for Proposals (RFPs).

Applicant Eligibility:

While individuals are not eligible for ODEP's cooperative agreements and grants, nonprofit and for-profit organizations, State and local government agencies, academic institutions, and other entities are eligible. Eligibility requirements are detailed in each SGA and in RFPs. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Beneficiary Eligibility:

Beneficiary eligibility is detailed in each solicitation for cooperative agreement

or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Credentials/Documentation:

See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Preapplication Coordination:

Coordination requirements are detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures are detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Award Procedure:

Competitive cooperative agreement and grant applications, submitted in response to a current solicitation for cooperative agreement or grant application, will be reviewed by a Federal panel for fidelity to Federal principles, to ensure adherence to solicitation for cooperative agreement or grant application requirements, and are subject to availability of funds and performance achievement. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Deadlines:

Deadlines are detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Appeals:

Appeal information and contact procedures for each solicitation are contained and detailed within the solicitation for cooperative agreement or grant application and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Renewals:

Renewal and option year availability is detailed in each solicitation for cooperative agreement or grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Formula and Matching Requirements:

ODEP does not conduct solicitations requiring formula or matching requirements.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Reports:

All cooperative agreement and grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All cooperative agreement and grants require cooperation and sharing of program reports with ODEP's independent external evaluator. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

Audits:

Audits are conducted by the Inspector General, General Accountability Office, or independent public accountants selected by the Department of Labor.

Records:

None.

Account Identification:

16-0166-0-1-505.

Obligations:

(Salaries and expenses) FY 07 \$27,712,000; FY 08 est. \$27,228,000; and FY 09 est. \$12,441,000.

Range and Average of Financial Assistance:

Previous awards for cooperative agreement, grants and contracts have ranged from approximately \$15,000 to \$3,500,000 per funding year, depending on the project needs as detailed in the solicitation for cooperative agreement or grant application or proposal and availability of funds. Awards have been to nonprofit and for-profit organizations, State and local government agencies, academic institutions, and other entities.

PROGRAM ACCOMPLISHMENTS:

Documenting the Unemployment Rate of People with Disabilities Multi-year collaborative effort between ODEP and the Bureau of Labor Statistics (BLS) to develop a valid and reliable method of measuring the unemployment rate of people with disabilities. The results will, for the first time, document the actual unemployment rate of people with disabilities. Improving Professional Development of Youth Service Practitioners: ODEP developed the "Knowledge, Skills, and Abilities of Youth Service Practitioners: The Centerpiece of a Successful Workforce Development System," which outlines the knowledge,

skills, and abilities (KSAs) needed by professionals to better connect youth with disabilities to workforce development opportunities. 4,500 members of the National Association of Workforce Development Professionals (NAWDP), an organization created in 1989 with start-up funding from DOL, are now offered a youth credential based on the KSAs. The 900 member organizations at the National Partnership for Juvenile Services used the KSAs to develop their Youth Workforce Development Training Curriculum. Increasing Access to Youth Services for Youth with Disabilities: ODEP drafted the language in the Youth Vision Training and Employment Guidance Letter No. 28-05 (TEGL) and other Employment and Training Administration (ETA) policy guidance that specifically identifies and includes youth with disabilities and their needs with regard to Workforce Investment Act (WIA)-funded programs and services. WIA-funded youth services now explicitly recognize the accommodation needs of youth with disabilities in programs, removing disincentives to serving youth with disabilities, and increasing their access to ETA youth services resources. Improving Transition Plans for Youth with Disabilities: ODEP developed the "Guideposts for Success" (Guideposts), a common language guide of key educational and career development interventions for youth transitioning from high school to post-secondary education and/or employment. Forty-six states are now using the Guideposts to develop better transition improvement plans for thousands of school districts and their students. Maryland and Iowa are using the Guideposts as the basis for developing statewide cooperative agreements with local school districts and evaluating state transition programs, respectively. South Carolina, Indiana, Wisconsin and Texas are using the Guideposts to replicate ODEP's High School/High Tech demonstration programs. Influencing Employer Policies and Practices: Thousands of employers now have updated, valid and credible information on the low cost of workplace accommodations and the increase in overall company productivity that results from providing accommodations for employees with disabilities. Through an Alliance Agreement with the 210,000 member-strong Society for Human Resource Management (SHRM), SHRM has committed to promoting the employment of people with disabilities through jointly developed training, education, outreach, and technical assistance activities. Promoting Workplace Safety and Security for Federal Employees with Disabilities: ODEP led the development of "Preparing the Workplace for Everyone: Accounting for the Needs of People with Disabilities: A Framework of Emergency Preparedness Guidelines for Federal Agencies" (Framework), for all Federal agencies to use to evaluate and strengthen their emergency plans. Thousands of personnel responsible for effective emergency preparedness, including Federal building managers at the national, regional, and field levels through GSA; human resource and disability program managers through OPM; Federal safety and health officials through OSHA; and others are now using the Framework to ensure that the needs of agency employees with disabilities are considered in all aspects of emergency planning. Ensuring Access to One-Stop Career Centers for People with Disabilities: ODEP and the DOL's Employment and Training Administration jointly produced the WIA Section 188 Disability Checklist and ODEP developed "Strategies and Practices for Effectively Serving all One-Stop Customers: A Framework for Systems Change," both of which increase access to One-Stop Career Centers for people with disabilities. Thousands of One-Stop Career Centers in the workforce system now have a way to measure their compliance with Section 188 of the Workforce Investment Act (WIA). The Workforce System now acknowledges people with disabilities as potential entrepreneurs, encouraging the workforce system to make entrepreneurial training opportunities available. Improving the Workforce Investment System's Effectiveness with 'hard to serve' Customers: ODEP developed customized employment policy for the WIA system that set forth successful models of partnership and leveraging of funds and expertise so that 'hard to serve' customers can realize increased employment outcomes. SSI and SSDI; Medicaid; TANF; transition programs under IDEA; and other programs (e.g., mental health agencies, agencies serving persons with mental retardation and developmental disabilities, and independent living programs); and thousands of workforce investment system professionals now use customized employment strategies. Improving Coordination of Employment-Related Transportation Efforts: ODEP collaborated on drafting Executive Order in 2004, which created "United We Ride", comprised of private and public sector partners. Up to \$80 million in new funding has been authorized through the Safe, Accountable, Flexible, and Efficient Transportation Equity Act (SAFETEA-LU) for new resources to assist communities in meeting the mobility needs of people with disabilities seeking employment. Thousands of transportation professionals and service providers now have access to employment-related mobility management models (travel navigators, transportation brokers, and trip planners) to enhance transportation options for workers and job seekers with disabilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Office of Disability Employment Policy is located at www.dol.gov/odep. Information and resources on disability employment and other disability-related topics can be found at www.DisabilityInfo.gov, for which ODEP is the managing partner with 22 Federal Agencies.

Regional or Local Office:

None.

Headquarters Office:

Office of Disability Employment Policy (ODEP), 200 Constitution Avenue, N.W., Room S1303, Washington, DC 20210. Telephone: (202) 693-7880; (202) 693-7881/TTY; (202)693-7888/FAX. E-mail: infoodep@dol.gov.

Web Site Address:

www.dol.gov/odep.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of funded technical assistance and research projects that support policy development can be found at www.dol.gov/odep.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are detailed in each solicitation for cooperative agreement or grant application and request for proposal. Criteria always include the availability of ODEP funds, alignment with ODEP's mission to develop national policy to reduce barriers to employment for people with disabilities, provision of data to support performance reporting, and performance achievement. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs and RFPs.

17.801 DISABLED VETERANS' OUTREACH PROGRAM (DVOP)

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4103A; Public Laws 107-288 and 109-461.

OBJECTIVES:

To provide intensive services to meet the employment needs of disabled and other eligible veterans; and, to provide maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, including homeless veterans and veterans with barriers to employment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds must be used only for salaries and expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) Specialists who shall be assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A.

Applicant Eligibility:

The administrative entity designated by each Governor.

Beneficiary Eligibility:

Veterans and eligible persons with emphasis on Special Disabled veterans, disabled veterans, economically or educationally disadvantaged veterans, and veterans with other barriers to employment.

Credentials/Documentation:

Determination of allowable cost shall be made in accordance with cost principles contained in OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 (29 CFR Part 97) must be used for this program.

Application Procedure:

Standard Form 424, Application for Federal Assistance, submitted to the Director for Veterans' Employment and Training (DVET) in each State, as listed in Appendix IV along with program narratives and Fiscal Plans in accordance with instructions in a Solicitation for Grant Application (SGA) or annual modification instructions. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards approved annually by a Department of Labor Grant Officer for the Assistant Secretary for Veterans' Employment and Training (ASVET) are based on reviews and recommendations by Regional Administrators for Veterans' Employment and Training (RAVETs) and DVETs.

Deadlines:

Application submittal deadlines established by SGA, distributed by ASVET through the Grant Officer for each applicable fiscal year.

Range of Approval/Disapproval Time:

Ninety days following the submission of an application pending the availability of fiscal year appropriation.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Public Law 107-288, signed on November 7, 2002 amended 38 U.S.C. and changed the grants to States for this functional staffing grant to a fiscal formula grant to support staffing. Therefore, there is no longer a formula staff level to be assigned to a State based on the number of veterans registering for employment services. Instead, a new funding distribution formula was developed. The relative allocation of funding to States is developed based on a combination of the ratio of the general unemployment level in each State compared with the unemployment level in all States using Local Area Unemployment Statistics (LAUS) data and the ratio of the number of veterans in the civilian labor force in the State as compared to the number of veterans in the civilian labor force in all states using the Current Population Survey CPS) data. This methodology uses data provided by the Bureau of Labor Statistics. No matching is required.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate funds to provide assistance for a fifth fiscal year quarter (until December 31).

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided case management, referred to training and employment, received staff-assisted services and entered employment.

Audits:

Not applicable.

Records:

Cost accounting and performance records to be maintained in accordance with each grant agreement, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Grants) FY 07 \$77,403,555; FY 08 \$78,900,000 and FY 09 est not available. Listed below are the estimated obligations by State for FY 08 (amounts in \$thousands; subject to change). AK-\$291; AL-\$911; AR-\$688; AZ-\$1,554; CA-\$10,619; CO-\$1,110; CT-\$932; DC-\$266; DE-\$158; FL-\$4,632; GA-\$2,528; HI-\$122; IA-\$1,253; ID-\$358; IL-\$3,034; IN-\$1,436; KS-\$894; KY-\$811; LA-\$890; MA-\$1,296; MD-\$1,633; ME-\$450; MI-\$2,600; MN-\$1,461; MO-\$1,092; MS-\$627; MT-\$456; NC-\$1,282; ND-\$122; NE-\$305; NH-\$300; NJ-\$2,581; NM-\$402; NV-\$608; NY-\$3,966; OH-\$6,220; OK-\$520; OR-\$1,475; PA-\$3,150; PR-\$172; RI-\$280; SC-\$1,309; SD-\$498; TN-\$1,474; TX-\$5,596; UT-\$983; VA-\$1,701; VI-\$0; VT-\$144; WA-\$1,559; WI-\$1,519; WY-\$242; WY-\$390.

Range and Average of Financial Assistance:

Range from \$0 to \$10,718,000 based on awards during FY 07 and FY 08 year to date. Approximate average award based on FY 07 and FY 08: \$1,475,000 (rounded).

PROGRAM ACCOMPLISHMENTS:

In Program Year 2005, (July 1, 2005 - June 30, 2006) 207,545 veterans and other eligible persons served by a DVOP specialist entered employment, for a rate of 62.6 percent; 79.8 percent of those were retained in employment for more than 180 days. During the same period, 24,377 disabled veterans served by a DVOP specialist entered employment, for a rate of 57.3 percent; 78.9 percent of those were retained in employment for more than 180 days. In Program Year 2006, (July 1, 2006 - June 30 2007) 166,439 veterans and other eligible persons served by a DVOP specialist entered employment, for a rate of 62.7 percent; 79.3 percent of those were retained in employment for more than 180 days. During the same period, 19,815 disabled veterans served by a DVOP specialist entered employment, for a rate of 57.5 percent; 78.6 percent of those were retained in employment for more than 180 days.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4103A; 20 CFR 1001.

Regional or Local Office:

Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of the Assistant Secretary for Veterans' Employment and Training, Department of Labor, 200 Constitution Avenue, N.W., Room S-1312, Washington, DC 20210. Telephone: (202) 693-4708 Contact: Pamela Langley or (202) 693-4732, Linda Chambers.

Web Site Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.804, Local Veterans' Employment Representative Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Solicitation for Grant Application.

17.802 VETERANS' EMPLOYMENT PROGRAM

(Veterans' Workforce Investment Programs (VWIP))

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Section 168 Veterans' Workforce Investment Programs; Public Law 105-220; 29 USC 2913.

OBJECTIVES:

To provide services to assist in reintegrating eligible veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing eligible veterans.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Programs supported with these funds shall include: 1) Activities to enhance services provided to eligible veterans by other providers of employment and training services funded by Federal, State, local or tribal governments; 2) activities to provide innovative employment and training services and projects to eligible veterans not currently provided by other public employment and training service providers; and 3) outreach and public information activities to develop and promote maximum job and training or retraining opportunities for eligible veterans.

Applicant Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private non-profit organizations and community-based organizations (including faith-based and community organizations) are eligible to compete for these grant funds.

Beneficiary Eligibility:

Service-connected disabled veterans, veterans who have significant barriers to employment, veterans who served on active duty in the armed forces during a war or in a campaign or expedition for which a campaign badge has been authorized, and veterans who are recently separated from military service (48 months).

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) and Standard 424, Application for Federal Assistance.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 (29 CFR, Part 97) must be used for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Office of the Assistant Secretary for Veterans' Employment and Training, in conjunction with the Office of the Assistant Secretary for Administration and Management, is responsible for all funding decisions regarding this competitive grant program.

Deadlines:

The specific deadlines for submission of applications for this program are published in the Solicitation for Grant Application Document and the Federal Register. SGAs may be issued periodically for a specific portion of the funds for special emphasis grants.

Range of Approval/Disapproval Time:

About 120 days.

Appeals:

Within 21 days of the determination denying financial assistance the applicant may request a hearing through the Chief Administrative Law Judge, Department of Labor.

Renewals:

Not applicable.

Formula and Matching Requirements:

Recommended, not required.

Length and Time Phasing of Assistance:

Funding is normally available during a 12-month period (program year). The methods of payment will be with an automatic payment management system.

Reports:

Quarterly reporting of outlays, and other financial information using SF-269, Financial Status Report, and quarterly reporting of program activity such as number enrolled, entered employment and retention in employment.

Audits:

"In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." For nongovernmental grant recipients, audits shall be performed in accordance with OMB Circular No. A-133.

Records:

None.

Account Identification:

16-0174-0-1-504.

Obligations:

(Grants and Contracts) Program operates on a program year (PY) beginning on July 1 and ending on June 30. PY 07 \$7,435,000; PY 08 est \$7,351,000 and PY 09 est not available. PY 2007 (Performance period July 1, 2007 - June 30, 2008) PY 2008 (Performance period July 1, 2008 - June 30, 2009).

Range and Average of Financial Assistance:

In PY 2007, twelve competitive grants received 2nd year funding at a total of \$6.7 million and \$300,000 was provided to support the collaborative Chronic Homelessness Initiative between the Department of Labor and the Department of Housing and Urban Development.

PROGRAM ACCOMPLISHMENTS:

For PY 2006, there were 2,333 veterans placed into employment out of 3,870 total participants for a rate of 60 percent, the average hourly wage was \$13.08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Information regarding program funding and eligibility can be found on our website at www.dol.gov/vets.

Headquarters Office:

Office of the Assistant Secretary for Veterans' Employment and Training, 200 Constitution Avenue, N.W., Room S- 1312, Washington, DC 20210. Telephone: (202) 693-4708 Contact: Pamela Langley or Contact: Linda Chambers (202) 693-4732.

Web Site Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.246, Employment and Training Assistance_Dislocated Workers; 17.248, Employment and Training Evaluation Projects; 17.249, Employment Services and Job Training Pilots_Demonstrations and Research.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.803 UNIFORMED SERVICES EMPLOYMENT AND REEMPLOYMENT RIGHTS

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

38 U.S.C. Chapter 43.

OBJECTIVES:

To protect and facilitate the smooth and prompt reemployment of members of the uniformed services (to include National Guard and Reserves) following performance of qualifying service. Also, to prohibit discrimination in employment and acts of reprisal against persons because of their obligation in the uniformed services, filing a claim, seeking assistance concerning an alleged violation, testifying in a proceeding, or otherwise participating in an investigation.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

To assist persons who perform service in the uniformed services to secure employment or prompt reinstatement with their pre-service employers, and to secure the crediting of all seniority rights and benefits to that employment. To provide information and assistance to employers and to labor organizations and other elements of the community concerned with veterans' employment and reemployment. The Department of Labor - Veterans' Employment and Training Service investigates complaints with the goal of voluntary resolution of veterans' employment and reemployment problems. Unresolved complaints are referred to the Department of Justice or to the Office of Special Counsel upon request for consideration for representation in the Federal District courts or before the Merit Systems Protection Board.

Applicant Eligibility:

Persons performing voluntary or involuntary service in the uniformed services

(includes active duty, active duty for training, initial active duty for training, inactive duty training, full time National Guard duty, the period absent from employment while being examined to determine fitness for such, and specified duty or training by intermittent appointees of the National Disaster Medical System) Claimants must conform to all statutory eligibility requirements, including notification, intent, and timeliness of actions. Entitlement terminates upon separation from uniformed service with a bad conduct or dishonorable discharge or upon separation under other than honorable conditions, a "dismissal" or "dropping from the rolls" pursuant to sections 1161(a) and 1161(b) of Title 10, U.S. code.

Beneficiary Eligibility:

Persons performing voluntary or involuntary service in the uniformed services (includes active duty, active duty for training, initial active duty for training, inactive duty training, full time National Guard duty, the period absent from employment while being examined to determine fitness for such and specified duty or training by intermittent appointees of the National Disaster Medical System). Claimants must conform to all statutory eligibility requirements, including notification, intent, and timeliness of actions.

Credentials/Documentation:

Applicants should be able to provide evidence of pre-service employment, proper notification, length and character of service, and proper request for reinstatement.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the nearest Department of Labor, Veterans' Employment and Training Service (USDOL-VETS) office listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

There are no deadlines to request assistance from USDOL-VETS. However, time limits exist for individuals to request reinstatement with their pre-service employers.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

The statute authorizes court review for unresolved complaints. Private action is also authorized; contact the nearest USDOL-VETS office listed in Appendix IV of the Catalog for the procedure.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0164-0-1-702.

Obligations:

(Salaries and Expenses) Not Separately Identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Processed 895 new complaint cases in fiscal year 2004, and closed 1,440 cases, of which 84 percent (1,213) were closed in 90 days or less. In addition to providing technical assistance in response to over 186,600 public inquiries, presentations were given to civic, employer, attorney and military organizations and groups. There are no applications for funds involved in this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Uniformed Services Employment and Reemployment Rights Act (USERRA) of 1994 - Chapter 43 of Title 38, United States Code (USC) is available free of charge from USDOL-VETS offices listed in Appendix IV of the Catalog. The "Veterans' Reemployment Rights Handbook," dated 1988 is available at most public libraries. The Handbook is under revision to reflect changes resulting from the enactment of USERRA. However, the information in the 1988 edition retains general applicability. Regulations have not been developed.

Regional or Local Office:

Contact the nearest Department of Labor, Veterans' Employment and Training Service office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Veterans' Employment and Training, Department of Labor, 200 Constitution Avenue, N.W., Room S-1312, Washington, DC 20210. Telephone: (202) 693-4701. Contact: Robert Wilson.

Web Site Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 27.002, Federal Employment Assistance for Veterans; 64.115, Veterans Information and Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.804 LOCAL VETERANS' EMPLOYMENT REPRESENTATIVE PROGRAM

(LVER PROGRAM)

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4104; Public Laws 107-288 and 109-461.

OBJECTIVES:

Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job search groups; and to facilitate employment, training, and placement services furnished to veterans in a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans in gaining and retaining employment.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4103 and 4104.

Applicant Eligibility:

The designated administrative entity that operates the employment service delivery system within the State.

Beneficiary Eligibility:

Veterans and eligible persons.

Credentials/Documentation:

Determination of allowable cost shall be made in accordance with cost principles contained in OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 (29 CFR 97) must be used for this program.

Application Procedure:

Standard Form 424, Application for Federal Assistance, Jobs for Veterans State grant narrative and financial plans, staff directory, assurances and certifications are submitted to the Director for Veterans' Employment and Training (DVET) in each State, (see Appendix IV of the Catalog) in accordance with Jobs for Veterans Solicitations for Grant Application or annual modification instructions. The standard application forms or acceptable facsimiles to those furnished by the Federal grantor agency and required by OMB Circular A-102 (29 CFR, Part 97) must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards approved annually by Assistant Secretary for Veterans' Employment and Training (ASVET) are based on review and recommendations by Regional Administrators for Veterans' Employment and Training (RAVETs) and DVETs.

Deadlines:

Application submittal deadlines established by a Solicitation for Grant Application or Veterans' Program Letter distributed by the ASVET through the Grant Officer for each applicable fiscal year.

Range of Approval/Disapproval Time:

Ninety days following submission of an application pending the availability of a Fiscal Year appropriation.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Public Law 107-288, signed on November 7, 2002 amended 38 U.S.C. and changed the grants to States for this functional staffing grant to a fiscal formula grant. Therefore, there is no longer a formula staff level to be assigned to a State based on the number of veterans registering for employment services. Instead, a new funding distribution formula was mandated based upon the ratio of the

number of veterans residing in the State that are seeking employment compared to the total number of veterans seeking employment in the State. Of resultant amounts, States determine the amount attributed to this and other programs funded under the Jobs for Veterans State grants. No matching is required.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September), but provided in quarterly allocations in accordance with approved spending plans. States may continue to obligate Fiscal Year funds until December 31 of each year.

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided case management, referred to training and employment, received staff-assisted services and entered employment.

Audits:

Not applicable.

Records:

Cost accounting and performance records to be maintained according to grant agreement, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Grants) FY 07 \$72,374,083; FY 08 \$71,812,000; and FY 09 est not available. Listed below are the current allocations by State for FY 08 (Amounts in \$thousands; subject to change): AK-\$197; AL-\$1,240; AR-\$796; AZ-\$1,143; CA-\$4,792; CO-\$1,298; CT-\$714; DC-\$224; DE-\$328; FL-\$3,847; GA-\$2,366; HI-\$523; IA-\$212; ID-\$353; IL-\$3,093; IN-\$1,911; KS-\$661; KY-\$1,432; LA-\$1,256; MA-\$1,670; MD-\$1,208; ME-\$373; MI-\$3,297; MN-\$1,195; MO-\$2,389; MS-\$989; MT-\$58; NC-\$3,172; ND-\$356; NE-\$624; NH-\$471; NJ-\$878; NM-\$687; NV-\$476; NY-\$4,348; OH-\$568; OK-\$1,364; OR-\$932; PA-\$3,329; PR-\$262; RI-\$280; SC-\$1,401; SD-\$71; TN-\$1,961; TX-\$6,316; UT-\$10; VA-\$2,209; VI-\$58; VT-\$334; WA-\$2,036; WI-\$1,430; WV-\$506; WY-\$96.

Range and Average of Financial Assistance:

Ranges from \$10,000 to \$6,316,000 based on awards during FY 07 and FY 08 year to date. Approximate average during FY 07 and FY 08: \$1,360,246.

PROGRAM ACCOMPLISHMENTS:

In Program Year 2005, (July 1, 2005 - June 30, 2006) 216,830 veterans and other eligible persons who were served by LVER staff entered employment, for a rate of 60.9 percent; 79.5 percent of those retained employment for more than 180 days. During the same period, 38,830 recently separated veterans who were served by LVER staff entered employment, for a rate of 63.2 percent; 79.3 percent of those retained employment for more than 180 days. In Program Year 2006, (July 1, 2006 - June 30, 2007) 175,837 veterans and other eligible persons who were served by LVER staff entered employment, for a rate of 62.3 percent; 78.6 percent of those retained employment for more than 180 days. During the same period, 27,440 recently separated veterans who were served by LVER staff entered employment, for a rate of 61.2 percent; 76.6 percent of those retained employment for more than 180 days.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4104; 20 CFR 1001.

Regional or Local Office:

Regional Administrators and State Directors for Veterans' Employment and Training Service listed in Appendix IV of the Catalog.

Headquarters Office:

Office of the Assistant Secretary for Veterans' Employment and Training, Department of Labor, 200 Constitution Avenue, NW, Room S-1312, Washington, DC 20210. Telephone: (202) 693-4708 Contact: Pamela Langley or Contact: Linda Chambers (202) 693-4732.

Web Site Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.801, Disabled Veterans' Outreach Program (DVOP); 17.807, Transition Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on Solicitation for Grant Application.

17.805 HOMELESS VETERANS REINTEGRATION PROJECT**FEDERAL AGENCY:**

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987; Title II, Part C; Public Law 100-77, as amended; Public Laws 100-628, 101-645, 102-590, 104-275, 105-41; 105-114, and 107-95; 42 U.S.C. 1447-1450; 38 U.S.C., 4111.

OBJECTIVES:

To provide services to assist in reintegrating homeless veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach is necessary and is to be performed by formerly homeless veterans.

Applicant Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private non-profit organizations (including faith-based and community organizations) and for-profit/commercial entities. Potential jurisdictions are metropolitan areas of the largest U.S. cities or Non-Urban areas in need, as announced in the solicitation for grant applications (SGA).

Beneficiary Eligibility:

Individuals who are homeless veterans. The term "homeless" or "homeless individual" includes: (1) An individual who lacks a fixed, regular, and adequate night-time residence; and (2) an individual who has a primary night-time residence that is: (a) a supervised publicly or privately operated shelter designed to provide temporary living accommodations including welfare hotels, congregate shelters, and transitional housing for the mentally ill; (b) an institution that provides a temporary institutionalized; or (c) a public or private place not designed for, or ordinarily used as, a regular sleeping accommodations for human beings (Reference: 42 U.S.C. 1302). A "veteran" is an individual who served in the activity military, naval, or air service, and who was discharged or released there from under conditions other than dishonorable. (Reference: 33 U.S.C. 101 (2).)

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) or Standard 424, Application for Federal Assistance.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Standard Form 424, Application for Federal Assistance, and Standard Form 424A, Budget Information shall be submitted, along with the technical proposal and budget narrative as required by the application SGA. This program is subject to the provisions of OMB Circular Nos. A-21, A-87, A-110, A-122, and A-133, 48 CFR 31, 29 CFR 2, 30, 31, 32, 33, 35, 36, 37, 93, 94, 95, 96, 97, 98, and 99.

Award Procedure:

The Office of the Assistant Secretary for Administration and Management, and the Assistant Secretary for Veterans' Employment and Training are responsible for all funding decisions regarding this program.

Deadlines:

The specific deadlines for submission of applications for this program are published in the SGA. document.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Debriefings of unsuccessful applicants are provided upon request.

Renewals:

As noted in the SGA.

Formula and Matching Requirements:

No matching is required.

Length and Time Phasing of Assistance:

Usually awarded for a full program year (July through June period).

Reports:

Quarterly reporting of outlays, and other financial information using SF-269, FinFinancial Status Report, and quarterly reporting of program activity such as number enrolled, entered employment and retention in employment.

Audits:

Subject to the provisions of 29 CFR Part 96 Audits of Federally Funded Grants, Contracts, and Agreements.

Records:

Financial and performance records to be maintained in accordance with the grant agreement.

Account Identification:

16-0164-0-1-702.

Obligations:

FY 07 \$20,285,412; FY 08 \$23,630,000; FY 09 estimate not available.

Range and Average of Financial Assistance:

Average award is \$100,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

For FY 2005, there were 8,191 homeless veterans who entered employment out of 13,060 total enrollments for an entered employment rate of 63 percent.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of the Assistant Secretary for Veterans' Employment and Training, 200 Constitution Avenue, N.W., Room S-1312, Washington, DC 20210. Telephone: (202) 693-4708. Contact: Pamela Langley.

Web Site Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

17.801, Disabled Veterans' Outreach Program (DVOP); 17.802, Veterans' Employment Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.806 VETERAN'S PREFERENCE IN FEDERAL EMPLOYMENT

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Veterans Preference Act of 1944, Public Laws 78-359, 93-508, 94-502, 95-520, 95-454, 97-72, 97-306, 98-543, 99-576, 101-237, 102-16, 102-568, 105-339, and 106-117; 109-163; Executive Order 11521, March 26, 1970.

OBJECTIVES:

To provide assistance to preference eligible individuals who believe that their rights under veterans' preference statutes and regulations have been violated. Recognizing their sacrifice, Congress enacted laws to prevent veterans seeking Federal employment from being penalized for their time in military service. Veterans' preference recognizes the economic loss suffered by citizens who have served their country in uniform, restores veterans to a favorable competitive position for Government employment, and acknowledges the larger obligation owed to disabled veterans. Veterans' preference is not so much a reward for being in uniform as it is a way to help make up for the economic loss suffered by those who answered the nation's call to arms. Historically, preference has been reserved by Congress for those who were either disabled or who served in combat areas. Eligible veterans receive many advantages in Federal employment, including preference for initial employment and a higher retention standing in the event of layoffs. However, the veterans' preference laws do not guarantee the veteran a job, nor do they give veterans' preference in internal agency actions such as promotion, transfer, reassignment, and reinstatement. By law, veterans who are disabled or who served on active duty in the Armed Forces during certain specified time periods or in military campaigns are entitled to preference over others in hiring from competitive lists of eligibles and also in retention during reductions-in-force. Preference applies in hiring for virtually all Federal jobs, whether in the competitive or excepted service. In addition to receiving preference in competitive appointments, veterans may be considered for special noncompetitive appointments for which only they are eligible.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

To assist persons who perform service in the uniformed services to secure Federal employment and to ensure a higher retention standing in the event of a reduction-in-force. To provide information and assistance to veterans, employers, labor organizations, and other elements of the community concerned with veterans' preference in Federal employment and retention. The Department of Labor - Veterans' Employment and Training Service investigates complaints with the goal of voluntary resolution of veterans' preference problems. Unresolved complaints can be pursued by the complainant veteran to the Merit Systems Protection Board.

Applicant Eligibility:

(1) Five-point preference is given to those honorably separated veterans (this means an honorable or general discharge) who served on active duty (not active duty for training) in the Armed Forces: During any war (this means a war declared by Congress, the last of which was World War II); during the period April 28, 1952, through July 1, 1955; for more than 180 consecutive days, any part of which occurred after January 31, 1955, and before October 15, 1976; during the Gulf War period beginning August 2, 1990, and ending January 2, 1992; for more than 180 consecutive days, any part of which occurred during the period beginning on September 11, 2001, and ending on the date perscribed by Presidential proclamation or by law as the last date of Operation Iraqi Freedom; or in a campaign or expedition for which a campaign medal has been authorized, such as El Salvador, Lebanon, Granada, Panama, Southwest Asia, Somalia, and Haiti. Medal holders and Gulf War veterans who originally enlisted after September 7, 1980, or entered on active duty on or after October 14, 1982, without having previously completed 24 months of continuous active duty, must have served continuously for 24 months or the full period called or ordered to active duty. Effective on October 1, 1980, military retirees at or above the rank

of major or equivalent, are not entitled to preference unless they qualify as disabled veterans. Ten-point preference is given to those honorably separated veterans who: (1) Qualify as disabled veterans because they have served on active duty in the Armed Forces at any time and have a present service-connected disability or are receiving compensation, disability retirement benefits, or pension from the military or the Department of Veterans Affairs; or (2) are Purple Heart recipients; the spouse of a veteran unable to work because of a service-connected disability; the unmarried widow of certain deceased veterans; and the mother of a veteran who died in service or who is permanently and totally disabled. When applying for Federal jobs, eligible veterans should claim preference on their application or resume. Applicants claiming 10-point preference must complete form SF- 15, Application for 10-Point Veteran Preference. Veterans who are still in the service may be granted 5 points tentative preference on the basis of information contained in their applications, but they must produce a DD Form 214 prior to appointment to document entitlement to preference. Note: Reservists who are retired from the Reserves but are not receiving retired pay are not considered "retired military" for purposes of veterans' preference. (2) Veterans who have been separated from the armed forces under honorable conditions shortly before completion of 3 years, or completion of 3 years or more of active duty military service are eligible to compete for vacant Federal positions for which the agency making the announcement will accept applications from individuals outside its own workforce under merit promotion procedures. This does not make them preference eligible, but allows access to certain Federal job openings for which they would otherwise not be entitled to apply. (3) Public Law 94-502 authorizes Federal agencies to provide unpaid training or work experience, as a part of the Department of Veterans Affairs vocational rehabilitation for a disabled veteran. Such training may be designed to provide noncompetitive appointment.

Beneficiary Eligibility:

Non-disabled veterans, disabled veterans and certain wives or husbands, widows, widowers, and mothers of veterans.

Credentials/Documentation:

For veterans' preference, general proof of honorable separation such as discharge certificate or DD Form 214. In addition, for 10-point preference, a letter from the Department of Veterans Affairs or military department certifying existence of service-connected disability. When the 10-point preference is based on the award of a Purple Heart, official documentation of the award is sufficient.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms are available from Service Centers of the Office of Personnel Management and from Federal agency personnel offices. Veterans eligible for noncompetitive appointment should make personal contact with the Federal agency where they would like to be considered for employment. Veterans who believe that they have not been properly accorded their rights have several different avenues of complaint, depending upon the nature of the complaint and the individual's veteran status: The Veterans Employment Opportunities Act of 1998 allows preference and other eligibles to file a complaint with the Department of Labor's Veterans' Employment and Training Service (VETS) when the person believes an agency has violated his or her rights under any statute or regulation relating to veterans' preference. Eligible veterans seeking employment who believe that an agency has not properly accorded them their veterans' preference, or failed to provide special placement consideration noted above, may file a complaint with the local Department of Labor VETS representative (located at State employment service offices). To be eligible to file a complaint a veteran must: Have served on active duty for more than 180 days and have other than a dishonorable discharge; have a service-connected disability; or served on active duty during a period of war, or received a campaign badge or expeditionary medal (e.g., the Southwest Asia Service Medal). The Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) prohibits discrimination in employment, retention, promotion, or any benefit of employment on the basis of a person's service in the uniformed services. Complaints under this law should also be filed with the local Department of Labor VETS representative (located at State employment service offices). Since a willful violation of a provision of law or regulation pertaining to veterans' preference is a Prohibited Personnel Practice, a preference eligible who believes his or her veterans' preference rights have been violated may file a complaint with the local department of Labor VETS representative, as noted above. A disabled veteran who believes he or she has been discriminated against in employment because of his or her disability may file a handicapped discrimination complaint with the offending agency under regulations administered by the Equal Employment Opportunity Commission.

Award Procedure:

None.

Deadlines:

Under Public Law 95-454, retired members of the armed forces will no longer be considered preference eligible as of October 1, 1980, unless they are disabled veterans or retired below the rank of Major or the equivalent. Under Public Law 97-306, enacted October 14, 1982, a 2-year minimum active duty service condition for those entering military service after September 7, 1980, or the full

period for which called or ordered to active duty, must be met, unless they are disabled veterans.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

16-0164-0-1-702.

Obligations:

Obligations are devoted to Federal Management; and, are not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2004, VETS processed 351 veterans preference cases: 231 cases dealt with the issue of hiring and 10 cases dealt with the issue of reduction in force, 95 percent of these cases were closed within 90 days.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Veterans' Employment Opportunities Act of 1998 is available free of charge from USDOL-VETS offices listed in Appendix IV of the Catalog. The Department of Labor's Office of the Assistant Secretary for Policy and Veterans' Employment and Training Service developed an "expert system" to help veterans receive the preferences to which they are entitled. Two versions of this system are currently available, both of which help the veterans determine the type of preference to which they are entitled, the benefits associated with the preference and the steps necessary to file a complaint due to the failure of a Federal Agency to provide those benefits. To find out whether you qualify for veterans' preference, visit the Department of Labor (DOL). The Internet address for the veterans' preference program is: <http://www.dol.gov/dol/vets/public/programs/programs/preference/main.htm> "Veteran Preference," EL-3 (8/95); "Special Appointing Authorities for Veterans," EL-4 (8/95); "Medical Disqualification of Preference Eligible," EL-8 (8/95); "Job Opportunities for Persons with Disabilities," EL-11 (8/95) (for use by Disabled Veterans); Regulations: 5 CFR 211, 5 CFR 213, 5 CFR 307 and 5 CFR 720.

Regional or Local Office:

Contact the nearest Department of Labor, Veterans' Employment and Training Service office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Veterans' Employment and Training, Department of Labor, 200 Constitution Ave., N.W., Room S-1312, Washington, DC 20210. Telephone: (202) 693-4700. Contact: Robert Wilson.

Web Site Address:

<http://www.dol.gov/dol/vets/public/programs/programs/preference/main.htm>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 17.207, Employment Service/Wagner-Peyser Funded Activities; 17.803, Uniformed Services Employment and Reemployment Rights; 27.001, Federal Civil Service Employment; 27.005, Federal Employment for Individuals With Disabilities; 64.115, Veterans Information and Assistance; 64.116, Vocational Rehabilitation for Disabled Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

17.807 TRANSITION ASSISTANCE PROGRAM

(TAP)

FEDERAL AGENCY:

OFFICE OF THE ASSISTANCE SECRETARY FOR VETERANS' EMPLOYMENT AND TRAINING, DEPARTMENT OF LABOR

AUTHORIZATION:

Defense Reauthorization Act of 1991, Public Law 101-510, 10 U.S.C. 1144.

OBJECTIVES:

To provide employment instruction, information and assistance to separating and retiring military personnel and their spouses through domestic and overseas installations and or facilities by offering job search and other related services.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

These services are provided through comprehensive workshops at military installations worldwide. TAP Employment Workshops provide service members and spouses with labor market information as they return to the world of civilian employment; resume assistance; and information on available service benefits. TAP Employment Workshops are provided through State Employment Agencies (Local Veterans Employment Representative program---17.804), and through a competitively awarded training and assistance contract.

Applicant Eligibility:

State Workforce Agencies designated under Section 4 of the Wagner-Peyser Act, as amended, or entities designed by the Governor. Contractors, as determined by the Department of Labor's Contracting Officer.

Beneficiary Eligibility:

Service members within two years of retirement or one year of separation and their spouses.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office of the official designated as single point of contact for more assistance, if the State has selected the program for review.

Application Procedure:

Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information Non-Construction Programs, along with a State Plan narrative and a fiscal narrative in accordance with instructions in a Solicitation for Grant Application (SGA) or annual modification instructions. For competitive contracts, as determined by the Department of Labor's Contracting Officer.

Award Procedure:

Grant awards are approved by a Department of Labor grant officer annually for the Assistant Secretary for Veterans' Employment and Training based on the recommendations of Regional Administrators and Directors for Veterans' Employment and Training (RAVETs/DVETs). Contract awards are approved approximately annually based on a determination by the Department of Labor's Contracting Officer.

Deadlines:

Application submittal deadlines established by a Solicitation for Grant Application or Veterans' Program Letter distributed by the ASVET through the Grant Officer for each applicable fiscal year. Contract deadlines are irregular and depend upon fluctuating demand and the ability of the LVER program to provide TAP Employment Workshops.

Range of Approval/Disapproval Time:

Ninety days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Quarterly reporting of TAP participants.

Audits:

Not applicable.

Records:

Cost accounting and performance records to be maintained in accordance with Veterans' Program Letters.

Account Identification:

16-0174-1-0-702.

Obligations:

(Grants) FY 07 \$3,030,000; FY 08 est \$3,087,000; and FY 09 est not available.
(Contracts) FY 07 \$2,588,155; FY 08 est \$3,335,048; and FY 09 est not available.

Range and Average of Financial Assistance:

Funds are used to purchase training manuals and are not contracted out.

PROGRAM ACCOMPLISHMENTS:

Participants for FY 07 - 146,942 and FY 08 est. 160,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, Chapter 41.

Regional or Local Office:

Contact the Regional administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of this Catalog.

Headquarters Office:

Office of the Assistant Secretary for Veterans' Employment and Training.
Department of Labor, Room S-1312, Washington, DC.20210. Telephone: (202) 693-4708 Contact: Pamela Langley or (202) 693-4732 Contact: Linda Chambers.

Web Site Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

17.804, Local Veterans' Employment Representative Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Solicitation for Grant Applications.

DEPARTMENT OF STATE

19.204 FISHERMEN'S GUARANTY FUND

(Section 7)

FEDERAL AGENCY:

OFFICE OF MARINE CONSERVATION, BUREAU OF OCEANS AND INTERNATIONAL ENVIRONMENTAL AND SCIENTIFIC AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Fishermen's Protective Act of 1967, Section 7, Public Law 90-482, as amended, Public Law 92-569, 22 U.S.C. 1971-1977; Public Law 94-265, as amended, Public Law 99-659; Public Law 104-43; Public Law 106-450; Public Law 108-219.

OBJECTIVES:

To provide for reimbursement of losses incurred as a result of the seizure of a U.S. commercial fishing vessel by a foreign country on the basis of rights or claims in territorial waters or on the high seas, which are not recognized by the United States. Effective November 28, 1990, the United States acknowledges the authority of coastal States to manage highly migratory species, thus reducing the basis for valid claims under the Fishermen's Protective Act.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Must be a U.S. citizen and the owner or charterer of a fishing vessel documented as such by the United States. Reimbursement of losses and costs (other than fines, license fees, registration fees and other direct costs which are reimbursable through the Secretary of State) incurred as a result of seizure. The vessel must be documented or certified under the laws of the United States.

Beneficiary Eligibility:

Must be a U.S. citizen and the owner or charterer of a fishing vessel documented as such by the United States, and must have paid a premium fee, if one is required, for the year in which the seizure occurs.

Credentials Documentation:

Proof of documentation of vessel and proof of claim.

Preapplication Coordination:

Application forms may be obtained by writing or calling: Office of Marine Conservation, Bureau of Oceans and International Environmental and Scientific Affairs, Room 5806, Department of State, Washington, DC 20520-7818. Telephone: (202) 647-1948. FAX: (202) 736-7350. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Any owner desiring to enter into an agreement with the Secretary of State under the authority of Section 7 of the Act shall make application to the Office Director, Office of Marine Conservation, Bureau of Oceans and International Environmental and Scientific Affairs, Room 5806, Department of State, Washington, DC 20520-7818. The application shall be accompanied by a fee if required, in the amount established by a fee schedule in effect at the time of application. After agreement is executed, no fee paid or portion thereof is returnable. Application for reimbursement of losses will be accepted only from insured owners.

Award Procedure:

Review and approval will be made by the Assistant Secretary for Oceans and International Environmental and Scientific Affairs, Department of State.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 1 to 4 months.

Appeals:

All determinations under this section are final and are not subject to arbitration or appeal.

Renewals:

Agreement is renewable upon payment of a premium fee, if required, and completion of current Application for Guaranty Agreement.

Formula and Matching Requirements:

Losses payable are generally limited to the market value of fish caught before seizure which were spoiled or confiscated, market value of fishing gear or vessel if confiscated, and up to 50 percent of gross income lost as a direct result of such seizures.

Length and Time Phasing of Assistance:

From effective date of agreement for balance of fiscal year ending on September 30.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

19-5121-0-2-376.

Obligations:

(Reimbursement of Losses) FY 07 \$500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, no guaranty agreements were executed and no applications for participation in the fund were received. For fiscal year 2006, 10 guaranty agreements are projected.

REGULATIONS, GUIDELINES, AND LITERATURE:

22 U.S.C 1971-77; 22 CFR 33, as amended.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Marine Conservation, Bureau of Oceans and International Environmental and Scientific Affairs, Room 5806, Department of State, Washington, DC 20520-7818. Contact: Mr. Stetson Tinkham. Telephone: (202) 647-3941. Use the same number for FTS. FAX: (202) 736-7350.

Web Site Address:

<http://www.state.gov>.

RELATED PROGRAMS:

19.200, Claims Against Foreign Governments; 19.201, Protection of Ships From Foreign Seizure.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

19.300 PROGRAM FOR STUDY OF EASTERN EUROPE AND THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

(Title VIII)

FEDERAL AGENCY:

BUREAU OF INTELLIGENCE AND RESEARCH, DEPARTMENT OF STATE

AUTHORIZATION:

Research and Training for Eastern Europe and the Independent States of the Former Soviet Union Act of 1983, Public Law 90-164, Title VIII, 97 Stat. 1948, as amended by Public Law 103-199.

OBJECTIVES:

To sustain and strengthen American expertise on the independent states of the former Soviet Union and countries of Southeast Europe, by supporting graduate training; advanced research; public dissemination of research data, methods, and findings; contact and collaboration among Government and private specialists; and firsthand experience of these countries by American specialists, including on site conduct of advanced training and research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For grants to nonprofit organizations and institutions of higher education with interest and expertise in conducting research and training programs on the independent states of the former Soviet Union and Southeast Europe. These organizations act as intermediaries for the Federal funds by conducting their own national, open competitions to make awards at the graduate level and above to individual students, scholars or other institutions.

Applicant Eligibility:

Applicants must be nonprofit organizations or institutions of higher education with an established track record in conducting research and training programs on the independent states of the former Soviet Union and countries of Southeast Europe. These organizations must run national programs of: advanced research; graduate training; language training; public dissemination of research data, methods, and findings; contact and collaboration among Government and private specialists; and/or firsthand experience of the area by U.S. specialists.

Beneficiary Eligibility:

Graduate students, individual scholars, nonprofit organizations and institutions of higher education active in the study of the Independent States of the former Soviet Union and countries of Southeast Europe.

Credentials/Documentation:

Applications must include vitae of professional staff.

Preapplication Coordination:

Applicants may call the program office with specific questions in responding to the call for applications. There is no preapplication form or need for

preapplication conference. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The call for applications is published in the Federal Register. Applications must be prepared and submitted in 20 copies and on diskette in the form of a statement, the narrative part of which should not exceed 20 double-spaced pages. It must be accompanied by a one-page executive summary, a budget, and vitae of professional staff. Applications must be submitted to the program office by the competition deadline.

Award Procedure:

An Advisory Committee mandated by the legislation reviews the application and rates it according to its responsiveness to the call in proposing a national, competitive program; the organization's established track record in administering a research and training program; its mechanisms for sharing the cost of the program; how it intends to advertise its program to the broad constituency of scholars; how successfully an organization targets individuals most likely to make a career commitment to the study of the region; etc. The Committee makes funding recommendations to the Deputy Secretary of State for approval.

Deadlines:

Contact the program office for application deadline.

Range of Approval/Disapproval Time:

About 3 months following the deadline, the Advisory Committee will meet in public to evaluate applications and make funding recommendations. Assuming the program's funding is in place, the Advisory Committee will forward its recommendations to the Deputy Secretary of State who usually will act on them within one or two weeks. All applicants then are notified by mail regarding their funding status.

Appeals:

Not applicable.

Renewals:

Each competition is open and national; applicants are free to apply as often as they wish.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Grant recipients have about 3 years to spend their awards depending upon the appropriation legislation. Grant recipients draw down on the grant as needed by requesting funds through the State Department's Program Office.

Reports:

Grant recipients are required to provide quarterly Program Narrative and Cash Transaction Reports (SF-269) and Technical Performance Reports including, a breakdown of expenditures by county and a semi-annual status of obligation reports (SF-270).

Audits:

In accordance with the provisions of OMB Circular No. A 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Grant recipients are required to keep standard accounting records for 3 years beyond the life of the grant.

Account Identification:

72-1093-0-1-150.

Obligations:

FY 07 \$4,200,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Grants in fiscal year 2005 ranged from \$100,000 to \$1,107,000. Average of total awards was \$568,750.

PROGRAM ACCOMPLISHMENTS:

The fiscal year 2006 competition closed on January 11, 2006. The call for applications for fiscal year 2007 will be published in the Federal Register in November 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current and past calls for applications, and lists of grant recipients are available from the program office and at the State Department website www.state.gov/s/inr/grants.

Regional or Local Office:

None.

Headquarters Office:

For program information, contact: Susie Baker, Program Officer, Title VIII Program (Program for the Study of Eastern Europe and the Independent States of the Former Soviet Union), INR/RES, Department of State, Room 2251, 2201 C Street, NW, Washington, DC 20520-6510. Telephone: (202) 736-4572. FAX: (202) 736-4851.

Web Site Address:

<http://www.state.gov/s/inr/grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Responsiveness to the substantive provisions set forth in the Call for Applications, Part II, Program Information; the professional qualifications of the applicant's key personnel and their experience conducting national competitive award programs of the type the applicant proposes for the study of Eastern Europe and the independent states of the former Soviet Union, and budget, and cost effectiveness.

19.400 EDUCATIONAL EXCHANGE-GRADUATE STUDENTS

(Fulbright Program)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To improve and strengthen international relations of the United States by promoting better mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants are designed to give U.S. students the opportunity to live and study in a foreign country for one academic year with possible renewals or extensions. Grants specify terms and conditions to meet the educational goals proposed by the grantee and approved by the J. William Fulbright Foreign Scholarship Board in making the award. Full grants: round trip transportation, tuition, books, maintenance for one academic year in one country, and health and accident insurance. Travel grants: the grants supplement maintenance and tuition scholarships granted to American students by universities, private donors, and foreign governments, which do not cover the cost of transportation.

Applicant Eligibility:

To individuals with the following qualifications: (a) U.S. citizenship at the time of application; (b) with certain exceptions, B.A. degree or its equivalent before the beginning date of the grant; (c) candidates may not hold a doctoral degree at the time of application; (d); preference will usually be given to candidates who have not had extensive recent experience in the country to which they are applying; (e) language proficiency sufficient to communicate with the people of the host country and to carry out the proposed study; (f) language proficiency is especially important for students wishing to undertake projects in the social sciences and the humanities; and (g) good health.

Beneficiary Eligibility:

U.S. citizens who are graduate students and who want to live and study in a foreign country for one academic year.

Credentials/Documentation:

See Applicant Eligibility.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants who are enrolled in U.S. colleges and universities should contact their Fulbright program advisers on campus. Others should contact the Institute of International Education (IIE): 809 United Nations Plaza, New York, NY 10017 (www.iie.org).

Award Procedure:

The presidentially appointed J. William Fulbright, Foreign Scholarship Board, makes final selection of grantees.

Deadlines:

Competitions normally open on May 1. Enrolled applicants must submit applications to the campus Fulbright program adviser by the date set by him. At-large applicants must normally submit applications to the New York office of IIE by October 25. Note: Travel grants application deadline is October 25.

Range of Approval/Disapproval Time:

Variable.

Appeals:

None.

Renewals:

Extensions possible.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

One academic year.

Reports:

Reports by all academic grantees are required at the end of their grants.

Audits:

Not applicable.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$60,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,500 to \$45,400. Average: \$18,906 in FY 05.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual announcement: Fulbright U.S. Student Program, Grants for Graduate Study, Research, and Teaching, issued by Institute of International Education, 809 United Nations Plaza, New York, NY 10017. Guidelines are also available at www.fulbrightonline.org.

Regional or Local Office:

See application procedure.

Headquarters Office:

Institute of International Education, 809 United Nations Plaza, New York, NY 10017.

Web Site Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

19.401 EDUCATIONAL EXCHANGE-UNIVERSITY LECTURERS (PROFESSORS) AND RESEARCH SCHOLARS

(Fulbright-Hays Program)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To improve and strengthen the international relations of the United States by promoting mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants for professors (university lecturers) and research scholars are for three months to a full academic year. Most are tenable in one country. The lectureships permit the grantees to lecture, usually serving as visiting professors in institutions of higher learning. The research grants offer opportunities for U.S. citizens to undertake postdoctoral research at overseas universities, colleges, and certain research centers and institutes. Grants specify terms and conditions to meet the educational goals proposed by the grantee and approved by the J. William Fulbright Foreign Scholarship Board in making the award. Individual grants generally include round-trip transportation for the grantee, and in some cases for one or two dependents, a maintenance allowance, and an allowance for travel, books and services essential to the assignment. Short-term grants also available. Visit www.cies.org.

Applicant Eligibility:

To individuals with the following qualifications: (1) U.S. citizenship at the time of application. (2) Foreign language proficiency, in some cases, for lecturing in certain countries, and for doing field-based research. (3) For lecturing: college or university teaching experience at the level for which application is made or equivalent professional standing in non-academic fields. (4) For research: a doctoral or terminal degree or, in some fields, recognized professional standing as demonstrated by faculty rank, publications, compositions, exhibition record, concerts, etc. Candidates for a doctorate and persons wishing to undertake other pre-doctoral professional training abroad should write to the Institute of International Education, 809 United Nations Plaza, New York, NY 10017.

Beneficiary Eligibility:

U.S. citizens who are educational professionals on the post graduate level, or able to lecture or conduct research in professional fields such as law, business, journalism, etc.

Credentials/Documentation:

See Applicant Eligibility.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons who meet the eligibility requirements and who wish to receive a catalog announcing openings (includes application forms) should contact the Council for International Exchange of Scholars, 3007 Tilden Street, NW, Suite 5L, Washington, DC 20008. Telephone: (202) 686-4000. This material is also available at www.cies.org. Applicants are encouraged to submit their proposals online. Information may also be obtained from U.S. college and university campus contacts.

Award Procedure:

Applications are reviewed by multidisciplinary peer review committees convened by CIES to screen applicants and to recommend candidates. Binational Fulbright Commissions or the Public Affairs Sections of U.S. Embassies abroad, together with prospective host institutions, review CIES-recommended candidates. The presidentially-appointed J. William Fulbright Foreign Scholarship Board makes final selection of grantees.

Deadlines:

Subject to change, but generally applications are to be submitted by August 1; May 1, November 1, and February 1 for a small number of specialized positions. Major deadlines can be waived under very special circumstances such as an inadequate number of sufficiently qualified candidates for specific awards. After deadlines, applicants should contact CIES to determine availability of awards.

Range of Approval/Disapproval Time:

Applicants are notified promptly if they are ineligible. Final notification of selection for an opening occurs in 6 to 9 months.

Appeals:

Not applicable.

Renewals:

Extensions considered where appropriate.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Up to 1 academic year.

Reports:

Reports by all grantees are required at the end of their grant period.

Audits:

Under the total audit concept, audits will be made on an organization-wide basis (rather than grant- by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$43,550,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,500 to \$80,000. Average: \$28,000 in FY05.

PROGRAM ACCOMPLISHMENTS:

In FY05, approximately 820 grants were awarded in the traditional Fulbright Scholar Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual announcement: Fulbright Scholar Program: Grants for U.S. Faculty and Professionals issued by Council for International Exchange of Scholars, 3007 Tilden Street, NW, Suite 5L, Washington, DC 20008. Guidelines are also available at www.cies.org.

Regional or Local Office:

None.

Headquarters Office:

Council For International Exchange of Scholars, 3007 Tilden Street, NW., Suite 5M, Washington, DC 20008.

Web Site Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

19.400, Educational Exchange Graduate Students.

EXAMPLES OF FUNDED PROJECTS:

Projects were funded: 1) Secularism, Islam and Nonviolence: Discussing Jihad and Pluralism in a Contemporary Muslim Society (Indonesia); 2) Peace building in Africa: Women as Partners for Peace (Uganda); and 3) Marine Resource Management in Tropical Environments (Nicaragua).

CRITERIA FOR SELECTING PROPOSALS:

1) Is proposal feasible and practical. 2) Is proposal responsive to Fulbright priorities of international collaboration and increased mutual understanding. 3) Applicant's professional qualifications and likelihood for effectiveness as a lecturer/researcher abroad. 4) Anticipated contribution of the results of the proposed project to the applicant's field, and plans for their dissemination in the U.S. and abroad upon return.

19.402 INTERNATIONAL VISITORS PROGRAM

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451, et seq.

OBJECTIVES:

To improve and strengthen the international relations of the United States by promoting mutual understanding among the peoples of the world through professional and educational exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding for nonprofit organizations to support their work in planning, organizing and directing programs of travel, observation, consultation, study and practical experience for foreign visitors from countries throughout the world selected and assigned by the Department. Programs are intended to bring U.S. embassy selected international visitors into contact with influential Americans and representative organizations and institutions across the United States, with the intention of increasing communication and mutual understanding between the people of the United States and the people of other countries. Recipients are responsible for insuring that programs are balanced, nonpolitical, non-partisan, and representative of the diversity of American political, social, and cultural life. The Bureau of Educational and Cultural Affairs seeks balance and diversity in terms of itinerary, geography and community-size; the range of opinions to which visitors are exposed; the professional backgrounds of those commenting on the issues; the ethnic, socio-economic and age diversity of the American people; and other factors which may be of particular relevance to the particular subject or particular visitor(s) for whom programming is being arranged.

Applicant Eligibility:

To be eligible for consideration, organizations must: (1) Be incorporated in the U.S.; (2) have not-for-profit status as determined by the IRS; and (3) a proven record of at least 4 years of successful work in international educational and cultural exchange, including responsible fiscal management.

Beneficiary Eligibility:

To be eligible for consideration, organizations must: 1) Be incorporated in the U.S.; 2) have not-for-profit status as determined by the IRS; and 3) a proven record of at least 4 years of successful work in international educational and cultural exchange, including responsible fiscal management.

Credentials/Documentation:

To be eligible for consideration, organizations must: (1) Be incorporated in the U.S.; (2) have not-for-profit status as determined by the IRS; and (3) a proven record of at least 4 years of successful work in international educational and cultural exchange, including responsible fiscal management.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Announcements are made in the Federal Register for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to Department of State's website: <http://e.usia.gov/education>.

Award Procedure:

Final selection of grantees is made by the Assistant Secretary for Educational and Cultural Affairs on recommendation of an Advisory Committee made up of senior Department staff experienced in international exchanges.

Deadlines:

As set out in Federal Register announcements.

Range of Approval/Disapproval Time:

From 6 weeks to 2 months from deadline.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Cost sharing-requirements set out Federal Register announcement.

Length and Time Phasing of Assistance:

As set out in Federal Register announcements.

Reports:

Financial and Program Reports are required.

Audits:

Audits will be made on an organization-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$11,360,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$137,000 to \$4,690,000. Average: \$1420,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Announcements; requests for proposals listed on website: <http://www.exchanges.state.gov/education>.

Regional or Local Office:

None.

Headquarters Office:

Department of State, Bureau of Educational and Cultural Affairs, Office of International Visitors, Community Relations Branch, Room 255, 301 4th Street, SW., Washington, DC 20547. Contact: Nan P. Bell or William Wellman. Telephone: (202) 452-8624. Email: bellnpj@state.gov or wellmanwm@state.gov.

Web Site Address:

<http://www.exchanges.state.gov/education>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Vary.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program idea; Program planning; Ability to achieve project objectives; Multiplier effect/impact; Support of diversity; International capacity; Institution's record/ability; Cost-effectiveness; and Cost-Sharing.

19.403 AMERICAN COUNCIL OF YOUNG POLITICAL LEADERS

(ACYPL)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To enable emerging political leaders in the U.S. to experience firsthand the political and cultural dynamics of other countries; to offer politically active young people in other countries a similar experience in the United States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant supports partial costs of travel, insurance, program activities, and orientation. Program alumni participate in arranging programming for visiting delegations.

Applicant Eligibility:

Private nonprofit institution/organization. The ACYPL conducts two-way exchanges of young political leaders with many countries. The programs are generally for 2 weeks.

Beneficiary Eligibility:

Individuals who participate in the program will benefit.

Credentials/Documentation:

American participant nominations are solicited annually from State legislative leaders, governors, political party officials, alumni, ACYPL members/trustees, and co-sponsors. Selection is made by a Delegate Selection Committee with Democratic and Republican co-chairs. Delegates must be aged 25- 40 and generally include State and local elected officials as well as staff and party activists. The objective is political, geographic, ethnic/racial, and gender diversity that reflects the pluralistic nature of American society. Foreign delegates are selected by ACYPL partner organizations in conjunction with American Embassy officers.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The State Department solicits a proposal from ACYPL annually based on the level of appropriated funds available. A portion of the funding may be competed from one year to the next.

Award Procedure:

Funding decisions are at the discretion of the Assistant Secretary for Educational and Cultural Affairs and based on the advice of a panel of Bureau reviewers and various State offices. Final technical authority resides with the Grants Division.

Deadlines:

The deadline for submission of a proposal is established in the request for proposals or letter of solicitation.

Range of Approval/Disapproval Time:

Decisions are generally made within 3 months of the submission of proposals, subject to the availability and timing of funding.

Appeals:

None.

Renewals:

At the Bureau's discretion, in a given year ACYPL may be invited to submit a

renewal proposal.

Formula and Matching Requirements:

ACYPL raises 50 percent of its operating budget from sources other than the government.

Length and Time Phasing of Assistance:

The grant is generally awarded for a 12-month period.

Reports:

Quarterly program and financial reports are required.

Audits:

All ECA grants are subject to OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$890,098; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

One grant per year.

PROGRAM ACCOMPLISHMENTS:

ACYPL's 2005 program involves 30 country projects involving 270 participants, including exchanges with countries with significant Muslim populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants are distributed in conjunction with the request for proposals, letter of solicitation; OMB Circulars.

Regional or Local Office:

American Council of Young Political Leaders, 1612 K Street, NW., Suite 300, Washington, DC 20006. Telephone: (202) 857-0999.

Headquarters Office:

Shalita Jones, Youth Programs Division (ECA/PE/C/PY), Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street, SW., Washington, DC 20547. Telephone: (202) 203-7507. Fax: (202) 203-7529.

Web Site Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

19415, Professional Exchanges, Annual Open Grant.

EXAMPLES OF FUNDED PROJECTS:

ACYPL conducts mainly bilateral delegation projects (e.g., US to Indonesia, Moroccans to the US), generally 2 weeks in length.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for judging proposals include quality of the proposed activities and planning, track record, institutional capacity to take on additional work, cost-effectiveness and cost-sharing, attention to diversity goals, value to U.S. foreign policy objectives, and the quality of the evaluation plan.

19.408 EDUCATIONAL EXCHANGE-TEACHERS FROM SECONDARY AND POSTSECONDARY LEVELS AND SCHOOL ADMINISTRATORS

(Fulbright Program Teacher and Administrator Program)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To improve and strengthen international relations of the United States by promoting mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Fulbright Teacher Exchange Program (ECA/A/S/X) provides opportunities for teachers, administrators and other school or college faculty to participate in direct exchanges of positions or in non-symmetrical programs for six weeks, a semester or a year. For semester and academic year exchanges, educators usually receive leave with pay and benefits from their respective university/college/school authorities. U.S. educators teach in all fields, including foreign languages. Other programs bring educators from targeted countries and regions to the U.S. on shorter professional development programs under grants administered by U.S. non-profit organizations and universities. Most grants include round trip transportation and health and accident insurance.

Applicant Eligibility:

(a) Hold at least a Bachelor's degree; (b) be a U.S. citizen fluent in English at the

time of application; (c) have a current full-time teaching or administrative assignment in the U.S. or one of its territories; (d) be in at least the third year of full-time teaching or administration (teachers applying to the Classics Seminar only need to be in their second full-year; (e) have not participated in a Fulbright Teacher Exchange longer than 8 weeks in the last 2 years (there is no required waiting period for teachers who have participated in exchanges of 8 weeks or less).

Beneficiary Eligibility:

U.S. and foreign teachers and administrators who want to live and study in a foreign country for 6 weeks, a semester, or an academic year.

Credentials/Documentation:

Documentation of educational qualifications and language proficiency may be required.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

U.S. educators apply through a nation-wide open competition for the Fulbright Program. Seventy Peer Review Committees screen applications and conduct personal interviews. U.S. Embassies, Fulbright Commissions, or counterpart agencies screen and nominate foreign educators. The Office of Global Educational Programs and counterpart staffs abroad arrange the pairing of U.S. and foreign educators and supervise the foreign educators while on assignment. U.S. non-profit organizations, including colleges and universities, apply to the Office for grants to administer shorter-term U.S. based programs for educators from targeted countries or regions.

Award Procedure:

Final selection of grantees is made by the Presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Outreach and recruitment efforts are ongoing all year. Applications are available in March. The application is available on the internet: www.fulbrightexchanges.org.

Range of Approval/Disapproval Time:

Variable.

Appeals:

None.

Renewals:

Extensions are considered on exceptional occasions.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

From two weeks, to an academic year.

Reports:

Reports by all academic grantees are required at the end of their grants.

Audits:

Not applicable.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$12,390,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$27,000.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

The USDA Graduate School issues application booklets for the Fulbright Teacher Exchange Program on behalf of the Office of Global Educational Programs. Contact: Fulbright Teacher Exchange Program, 600 Maryland Avenue, SW., Washington DC 20024. Telephone: (202) 314-3520. Fax: (202) 479-6806. E-mail: fulbright@grad.usda.gov. Website: www.fulbrightexchanges.org For institutional grants, refer to the Federal Register.

Regional or Local Office:

None.

Headquarters Office:

Department of State, ECA/A/S/X, Fulbright Teacher and Administrator Exchange Branch, SA-44, 301 4th Street SW., Washington DC, 20547. Program Contact: Ruta Chagnon. Telephone: (202) 453-8645. Fax: (202) 453-8890. E-mail: chagnonrx@state.gov.

Web Site Address:

www.fulbrightexchanges.org.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

19.409 ARTS EXCHANGES ON INTERNATIONAL ISSUES

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended; 22 U.S.C. 2451, et seq.

OBJECTIVES:

The Arts Exchanges on International Issues Program is only open to non-profit arts, cultural and educational organizations. The program works with nonprofit organizations to develop cooperative international group projects that introduce American and foreign participants to each other's cultural and artistic life and traditions. Our projects emphasize the relationship between the arts and broader social and diplomacy issues. We seek organizations that have a disciplinary expertise in the arts as well as broad outreach and networking capabilities with American artists.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

International projects in the United States or overseas may involve an international exchange of composers, choreographers, playwrights, theater designers, writers and poets, filmmakers, arts administrators and visual artists. Projects should involve our posts worldwide to carry out activities supportive of the Department of State's mission to increase mutual understanding between the United States and other countries and to promote international cooperation in educational and cultural fields. Ineligible proposals would include those focusing on: sending students, other youth or individual artists overseas, speaking tours, research projects, the exchange of amateurs or semi-professionals, community-level arts presentations or vocational and technical long-term academic study programs.

Applicant Eligibility:

Applicants must be public or nonprofit organizations that demonstrate disciplinary expertise in the arts and meet the provisions described in IRS regulation 501 (3). Organizations must have 4 years of experience in exchange to qualify for grants of more than \$60,000.

Beneficiary Eligibility:

Individual participants must be professional artists and arts administrators over the age of 25. There is no age limit for participants. Some exchanges require participants to have a minimum level of English language ability.

Credentials/Documentation:

Specific criteria for the selection of individual participants is determined by the grantee organization in conjunction with the Department of State program officer.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

For open competitions, the request for proposals is published in the Federal Register.

Award Procedure:

Funding decisions are at the discretion of the The Department of State's Bureau of Educational And Cultural Affairs and are based on the advice of a panel of Department reviewers and various Department officers. Final technical authority resides with the Bureau's Grants Division.

Deadlines:

Deadlines for submission of proposals are established in the request for proposals or letters of solicitation.

Range of Approval/Disapproval Time:

Decisions are generally made within 3 months of the submission of proposals, subject to the availability and timing of funding.

Appeals:

None.

Renewals:

Renewal grants for several of our long-term projects have been awarded in the past, in order to build on existing successful projects.

Formula and Matching Requirements:

Usually a 30 percent cost-share is requested from grantee organizations.

Length and Time Phasing of Assistance:

Awards range in length from 12 to 36 months.

Reports:

Quarterly program and financial reports are required.

Audits:

All grants are subject to OMB Circular A-133 audits.

Records:

As prescribed by USIA in grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$1,000,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

\$60,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of State regulations governing exchange visitor (J-1) programs.

Guidelines for grants are distributed in conjunction with the request for proposals/letter of solicitation.

Regional or Local Office:

Not applicable.

Headquarters Office:

Jill Staggs, Creative Arts Exchanges Program, Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, Department of State, 301 4th Street SW., Washington DC 20547. Telephone: (202) 203-7500.

Web Site Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Previous grantee organizations and examples of projects are available from the program office

CRITERIA FOR SELECTING PROPOSALS:

Programmatic planning, project objectives and quality; organization's capacity and track record; support for diversity; expertise; cost-effectiveness; project evaluation plan; value to US-partner country relations; cost-sharing; and multiplier effect.

19.410 EDUCATIONAL EXCHANGE-CONGRESS-BUNDESTAG YOUTH EXCHANGE

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451, et seq.

OBJECTIVES:

Established in 1983 to honor 300 years of German settlements in America. Goals are: To foster interaction between young people from the U.S. and Germany to promote mutual understanding; to foster close ties between Germany and the US.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

CBYX is a reciprocal exchange of U.S. and German young people, three quarters of whom are high school students and one quarter are practical trainees.

Annual grants are awarded to American private nonprofit organizations for administering the CBYX American selection process and for placement and monitoring of participating students. The German Government provides grants simultaneously to the German partners of the American organizations. Grants support the costs of travel, insurance, enhancement activities, orientation, selection, and administration.

Applicant Eligibility:

Organizations must be incorporated not-for-profit and have 4 years of experience in exchanges to qualify for grants of more than \$60,000. For the grants to place and monitor year program participants, experience conducting academic year high school exchanges is required. Each U.S. organization must have a German partner that meets the German eligibility requirements. The organization providing overall administration and recruitment/selection must have experience administering a national competition.

Beneficiary Eligibility:

There are certain selection criteria (e.g., age, language ability, social skills, academic achievement) for American applicants. Similar criteria are applied by the German side in its selection, with the added layer of the recommendation of an individual Bundestag member.

Credentials/Documentation:

Applicants for participation in the exchange are required to have proof of citizenship or permanent residency, and proof of academic standing. They fill out application forms and submit to interviews during semi-finalist screening.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An open competition for all components is conducted every 4 years; for placement grants, either an open competition or a limited solicitation is used. For open competitions, the request for proposals is published in the Federal Register.

Award Procedure:

Funding decisions are at the discretion of the Assistant Secretary for Educational and Cultural Affairs and are based on the advice of a panel of State reviewers and various offices. Final technical authority resides with the Grants Division.

Deadlines:

Deadlines for submission of proposals are established in the request for proposals or letters of solicitation. Most solicitations are offered in the fall with proposals due by the end of the calendar year. The open competition for the 4-year cycle commencing in 2005 was announced on October 3, 2003. The deadline for proposals was November 7, 2003. Organizations were selected for

grant awards beginning FY 2005 and eligible for renewal grants through FY 2008.

Range of Approval/Disapproval Time:

Decisions are generally made within 3 months of the submission of proposals, subject to the availability and timing of funding.

Appeals:

None.

Renewals:

Grantee organizations are eligible for renewal grants in the years between open competitions, providing they maintain a good track record.

Formula and Matching Requirements:

Formula and matching requirements: Some cost-sharing is desirable but there is no minimum percentage.

Length and Time Phasing of Assistance:

Grants are generally awarded for an 18-month period.

Reports:

Quarterly program and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$3,256,000; and FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Grants for the high school student component are each for 50 U.S. and 50 German students. The young professionals component is for 75 U.S. and 100 German participants. The vocational students component is for 25 participants.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

State regulations governing exchange visitor (J-1) programs for high school students. Guidelines for grants are distributed in conjunction with the request for proposals letter of solicitation.

Regional or Local Office:

The high school component is administered by five nonprofit organizations. The Young Professionals Component is administered by CDS International, 330 Seventh Ave., New York, NY 10001. Telephone: (212) 497-3509. The vocational component is administered by Nacel/Open Door, 3410 Federal Drive, Suite 101, St. Paul, MN 55122. Telephone: (651) 686-0080.

Headquarters Office:

Youth Programs Division (ECA/PE/C/PY), Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street SW., Washington DC 20547. Contact: Shalita Jones. Telephone: (202)203-7507. Fax: (202) 203-7529.

Web Site Address:

<http://usagermanyscholarships.org>.

RELATED PROGRAMS:

19.411, Educational Exchange NIS Secondary School Initiative.

EXAMPLES OF FUNDED PROJECTS:

A list of grantee organizations is available from the State Department program office.

CRITERIA FOR SELECTING PROPOSALS:

Programmatic planning, objectives, and quality; organization capacity and track record; support for diversity; cost-effectiveness; project evaluation plan; value to US- partner country relations; multiplier effect.

19.415 PROFESSIONAL EXCHANGES-ANNUAL OPEN GRANT

(Office of Citizen Exchanges)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To foster, improve, and strengthen international relations of the United States by promoting mutual understanding among the peoples of the world through educational and professional exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Office of Citizen Exchanges develops international exchange grant projects

with nonprofit American institutions including voluntary community organizations, professional associations, and universities. The program involves thousands of American and foreign visitors who take part in exchanges at the grassroots level both in the U.S. and overseas. Professional programs are based on competition, substantive rigor and cross-cultural sensitivity. Primary objectives include development of institutional links which live beyond the duration of State Department funding support; creation of consortia, associations and information networks; transfer of useful information overseas; development of internships and extended learning programs; expansion of international awareness and programming among U.S. nonprofit institutions and the introduction of ideas which may contribute to the solution of long-term international problems among others.

Applicant Eligibility:

To public and private nonprofit organizations meeting the provisions described in IRS regulation 26CFR 1.501(c).

Beneficiary Eligibility:

U.S. citizens and foreign nationals.

Credentials Documentation:

To public and private nonprofit organizations meeting the provisions described in IRS regulation 26CFR 1.501(c).

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

This competition is advertised in the Federal Register annually. Interested applicants should read the complete Federal Register announcement before addressing inquiries to the Office of Citizen Exchanges.

Award Procedure:

Following a thorough review and independent paneling of all technically eligible proposals, and based on recommendations by various State Department offices, funding decisions are made by the Assistant Secretary for Educational and Cultural Affairs.

Deadlines:

Per announcement in Federal Register.

Range of Approval/Disapproval Time:

Four months after closing date.

Appeals:

None.

Renewals:

Extensions considered where appropriate.

Formula and Matching Requirements:

All proposals should maximize cost sharing through private sector support, as well as institutional direct funding contributions.

Length and Time Phasing of Assistance:

Varies.

Reports:

Financial and program reports are required at the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$7,050,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Under \$150,000. Organizations with less than 4 years' successful experience in managing international exchange programs are limited to grants of \$60,000 or less.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual announcement published in the Federal Register.

Regional or Local Office:

None.

Headquarters Office:

Department of State, Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street, SW., Room 220, Washington, DC 20547. Telephone: (202) 619-5348. Fax: (202) 619-4350. NEW PHONE AND FAX NUMBERS: Telephone: (202) 453-8181. Fax: (202) 453-8168.

Web Site Address:

<http://exchanges.state.gov/education/citizens/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

To comply with goals and objectives outlined in Federal Register announcement and compatibility with office objectives stated previously.

19.418 EDUCATIONAL EXCHANGE, AMERICAN STUDIES INSTITUTE

(Study of the U.S. Program-Office of Academic Exchange Programs)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451.

OBJECTIVES:

To provide faculty and other professional participants with a deeper understanding of American society, culture and institutions, past and present, in order to improve courses and teaching about the United States abroad. To provide student participants an introduction to American society and values, including principles of leadership, team building and volunteerism.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Through an integrated series of lectures, readings, interactive discussions, research and independent study opportunities, and site visits, the 6-week American Studies Institutes provide participants with a multi-dimensional view of the U.S. Host institutions are responsible for institute design and implementation, and all logistical aspects of the program, including: lodging and subsistence; books and materials; allowances; and transportation.

Applicant Eligibility:

Accredited colleges, universities, consortia of colleges and universities, and other not-for-profit academic organizations that have an established reputation in American studies and related disciplines, and that can demonstrate expertise in conducting post-graduate level programs for foreign educators, or undergraduate level programs for foreign students are eligible to apply. Applicant institutions must have a minimum of four years' experience in conducting international exchange programs. Proposals from consortia may be submitted by a member institution with documented authority to represent all members. The project director or one of the key program staff responsible for the academic program must have an advanced degree in American studies or a related discipline.

Beneficiary Eligibility:

Participants should be highly-motivated and experienced foreign university faculty, including: teachers; teacher trainers; administrators; department chairs; and, curriculum developers and textbook writers and other professionals with government or NGOs in a position to have an impact. They will have demonstrated an ability and desire to include aspects of American civilization in their teaching and professional work in their home countries. Participants must be nominated by a U.S. Embassy or Consulate, or by a Fulbright Commission.

Credentials/Documentation:

See eligibility requirements above.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial proposals are generally solicited through open competition, and only occasionally through sole-source or limited solicitations.

Award Procedure:

Proposals are analyzed by the Study of the U.S. Branch, and are reviewed by an impartial panel of senior Department of State officers. Final funding decisions are at the discretion of the Assistant Secretary of State for Public Diplomacy at the U.S. Department of State. Final technical authority rests with the Grants Division of the Office of the Executive Director.

Deadlines:

Deadline dates are specified in the requests for proposals (RFP's) or in the letter of solicitation.

Range of Approval/Disapproval Time:

Grant decisions are usually complete within three months of the due date of the proposals.

Appeals:

Not applicable.

Renewals:

Based on host institution performance and demand for the program among the overseas audience, applicants may be asked to submit renewal proposals for up to two years following the initial award.

Formula and Matching Requirements:

Cost-sharing is strongly encouraged, although there is no minimum requirement.

Length and Time Phasing of Assistance:

Cooperative agreements are usually for periods of 6 to 8 months.

Reports:

Interim and final program and budget reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Not applicable.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$3,200,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

\$260,000 to \$385,000. Average: \$322,500.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Calls for proposals, including guidelines, are published in the Federal Register, the Chronicle of Higher Education, and posted on the internet.

Regional or Local Office:

None.

Headquarters Office:

Department of State, ECA/A/E/USS - Study of the U.S. Branch, Attention: (until July 31, 2006) Robert C. Schmidt, Room 314 - State Annex 44, 301 4th Street, SW, Washington, DC, 20547. Telephone: (202) 453-8538. FAX: 202-453-8533. E-mail: schmidtrc@state.gov; (after July 31, 2006) Sheila Casey, Telephone: (202) 453-8536. Fax: (202) 453-8533. E-mail: CaseySD@state.gov.

Web Site Address:

<http://exchanges.state.gov/education/amstudy>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

American Studies Institute on National Security at UC/San Diego; American Studies Institute on U.S. Politics and Political Thought at the University of Massachusetts/Amherst; American Studies Institute on the Civilization of the U.S. at New York University.

CRITERIA FOR SELECTING PROPOSALS:

Overall quality; program planning; institutional capacity; diversity; experience with foreign educators; evaluation and follow-up; administration and management; and, cost effectiveness.

19.421 EXCHANGE-ENGLISH LANGUAGE FELLOW PROGRAM

(English Language Programs)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

The English Language Fellow Program places American citizens holding advanced degrees in Teaching English as a Foreign Language (TEFL) or Teaching English as a Second Language (TESL) or Applied Linguistics who have teacher training and/or materials development experience and also places graduates with recent TEFL/TESL Master's degrees in all regions of the world at universities, teacher-training institutions, ministries of education, bi-national centers and other related language education institutions for ten-month assignments. The objectives of the program are to enhance English teaching capacity overseas in order to provide foreign teachers and students with communication skills they will need to participate in the global economy, to improve their access to diverse perspectives on a broad variety of issues, and to give them information that will better enable them to understand and convey concepts about American values, democratic representative government, free enterprise, and the rule of law. The Department of State, Bureau of Educational Affairs Exchanges Appropriation funds the program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Individual grants are awarded at two levels: 1) English Language Fellows are teachers who have received their M.A. degrees within the last seven years. They serve as full time teachers of English as a foreign language while gaining international teaching experience. They may teach up to 20 classroom hours of English per a week and also participate in or organize additional activities such as curriculum and materials development and in-service training workshops and seminars. 2) Senior Fellows are experienced TEFL/TESL professionals, preferably with overseas teaching experience, who engage in teacher training

and participate in broad-reaching activities such as designing curricula, organizing and conducting workshops and seminars, conducting program evaluation, needs analysis and teaching English for Specific Purposes (ESP). All applicants for EL Fellow positions have a history of working with international exchanges, strong cross cultural experience and management expertise and are placed in host countries at local ministries of education, universities, teacher training institutions and other ESL educational institutions. Program agency grants, specifying terms and conditions to meet the program goals are recommended by a grant review panel and approved by the Bureau of Educational and Cultural Affairs before award. Individual Fellow grants, administered by the program grantee organization, include basic stipend, round trip transportation, living allowance, medical insurance, miscellaneous and book allowances.

Applicant Eligibility:

Individuals with the following qualifications: (1) United States citizenship. (2) Senior Fellows must have a Master's degree or higher in TESL/TEFL, Applied Linguistics or a closely related field and have previous overseas teaching experience. (3) Fellows must have received a Master's degree in TESL/TEFL within the last seven years.

Beneficiary Eligibility:

U.S. citizens who are willing to teach for 10 months overseas according to the needs of the overseas host institution as requested by a U.S. embassy.

Credentials/Documentation:

Individuals with the following qualifications: (1) U.S. citizenship at the time of application; and (2) M.A. or Ph.D. degree and experience in TESL/TEFL or a closely related field.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons who meet the eligibility requirements and who wish to receive information and an application form should contact Georgetown University, Center for Intercultural Education and Development, P.O. Box 579400, Washington, DC 20057-9400. Telephone: (202) 687-6339, elf@georgetown.edu

Award Procedure:

The Bureau of Educational and Cultural Affairs selects the grantee program agency. Overseas embassies and host institutions make final selections of individuals.

Deadlines:

Subject to change, but generally applications are to be submitted by April 15. Deadlines can be waived under very special circumstances such as an inadequate number of qualified candidates for specific awards. After deadlines, applicants should contact the Bureau of Educational and Cultural Affairs to determine the availability of awards.

Range of Approval/Disapproval Time:

Applicants are notified promptly if they are ineligible. Final notification of selection for an opening occurs in 3 to 5 months.

Appeals:

Not applicable.

Renewals:

Extensions are granted for an additional year in the same country. Extensions beyond 2 years must be requested and approved by the Bureau of Educational and Cultural Affairs.

Formula and Matching Requirements:

This program has no statutory formula.

Length and Time Phasing of Assistance:

Up to 1 academic year.

Reports:

Grantee agency provides quarterly statistics and program reports and a final evaluation report by September 30 of the grant year. Individuals provide mid year and final reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in OMB Circular No. A-133.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$6,800,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

\$55,000 to \$75,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the English Language Fellow Program awarded 100 individual grants to qualified teachers of TEFL/TESL to teach in 63 countries. In

fiscal year 2006, approximately 112 individual grants will be awarded. This program enables grantees, teachers of English as a foreign/second language, to acquire overseas teaching experience while providing the host institutions with professional expertise in current theories and methods of teaching and learning English as a foreign or second language. Fellows have provided specially designed courses for targeted high level groups such as members of parliaments and their staff, judiciary officials, and leading finance and business officials.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual announcements for recruitment of applicants for the English Language Fellow Program are placed at the annual Teachers of English to Speakers of Other Language Convention's employee clearinghouse service on TESL/TEFL websites/listservs, and by direct mailings to TESL/TEFL professional preparation programs.

Regional or Local Office:

None.

Headquarters Office:

Georgetown University, Center for Intercultural Education and Development, P.O. Box 579400, Washington, DC 20057-9400. Telephone: (202) 687-6339.

Web Site Address:

<http://exchanges.state.gov/education/engteaching/fellows.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Fellows teach English language skills/techniques, American culture, English for Specific Purposes (ESP) in fields such as journalism, economics, banking, tourism, business/management, and law, train teachers of English, and develop curriculum. They arrange and conduct conferences, workshops, and seminars, and work with ministries of education and work in communities assisting the local educational institutions to develop and maintain teaching and learning resource centers. They also facilitate the establishment of NGO teachers associations and organizations.

CRITERIA FOR SELECTING PROPOSALS:

- 1) The extent to which the proposal is feasible, practical and cost-effective; and
- 2) the extent to which the proposal is responsive to ESL/EFL language priorities and objectives.

19.423 EXCHANGE-ENGLISH LANGUAGE SPECIALIST/SPEAKER PROGRAM

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To improve the teaching and learning of English around the globe through short-term (up to 6 weeks) projects. Projects include curriculum evaluation and development, teacher training seminars, textbook development, program evaluation, and English for Specific Purposes (ESP). The program supports U.S.A. Embassy mission goals to improve mutual understanding of U.S. society and its institutions, to promote democratic institution building, and to assist participants to become active citizens of an international civil society.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants awarded to U.S. academics with degrees in Teaching English as a Foreign Language/ Second Language (TEFL/TESL), Applied Linguistics, or a related field. Applicants must have teacher training experience and preferably overseas teaching experience. Grants specify terms and conditions to meet the educational goals proposed by the requesting U.S. Embassy. Individual grants generally include international round-trip coach-class airfare for the grantees, visa, a materials allowance, a \$100 final report payment, and honorarium of U.S. \$200 per day. Expenses in the host country for domestic travel, food, and lodging are covered by the requesting U.S. Embassy in conjunction with the hosting institutions. The Bureau provides medical insurance for the duration of the program.

Applicant Eligibility:

Individuals with the following qualifications: (1) U.S. citizenship at the time of application; and (2) M.A. or Ph.D. degree and experience in TESL/TEFL or a closely related field.

Beneficiary Eligibility:

U.S. citizens who are willing to conduct workshops, seminars, deliver lectures and provide consultation services in areas related to teaching and learning English as a foreign language for periods up to 6 weeks to meet the needs of the overseas host institution.

Credentials/Documentation:

Individuals with the following qualifications: (1) U.S. citizenship at the time of application; and (2) M.A. or Ph.D. degree and experience in TESL/TEFL or a closely related field.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons who meet the eligibility requirements and who wish to receive information and be considered for a grant should contact Julia Walters, Programs Officer, Department of State, Bureau of Educational and Cultural Affairs, Office of English Language Programs, 301 4th Street, SW., Washington, DC 20547. Telephone: (303) 205-3636. Fax: 202-401-1250. Email: WaltersJL@state.gov. Web Site address: <http://exchanges.state.gov/education/engteaching/specialists.htm>.

Award Procedure:

Final selection and approval of grantees are made by the Bureau of Educational and Cultural Affairs.

Deadlines:

Recruitment is continual, depending on requests from U.S. Embassies and their constituent host institutions in the country.

Range of Approval/Disapproval Time:

Applicants' information is entered into the data base of English Language Specialists and Speakers who are contacted whenever the needs arise.

Appeals:

Not applicable.

Renewals:

Specialists are eligible for projects and programs as their skills are needed or requested by U.S. Embassies. Normally, one participant is given only two assignments per academic year.

Formula and Matching Requirements:

This program has no statutory formula requirements.

Length and Time Phasing of Assistance:

Up to two projects per academic year; each project for a period of 2 to 6 weeks.

Reports:

Grantee must provide a trip or project report within 30 days of completion of the program.

Audits:

In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in OMB Circular No. A-133.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$600,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,500 to \$9,000. Average: \$5,500.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Advertisement at the annual Teachers of English to Speakers of Other Languages, Inc. Conference for recruitment of applicants for the English Language Specialist/Speaker Program. Further information can be found on the Office of English Language Programs website: <http://exchanges.state.gov/education/engteaching/specialists.htm>.

Regional or Local Office:

None.

Headquarters Office:

Julia Walters, Programs Officer, Department of State, Bureau of Educational and Cultural Affairs, Office of English Language Programs, 301 4th Street, SW., Washington, DC 20547. Telephone: (303) 205-3636. Fax: (202) 401-1250. Email: WaltersJL@state.gov.

Web Site Address:

<http://exchanges.state.gov/education/engteaching/specialists.htm>

RELATED PROGRAMS:

19.421, Exchange English Language Fellow Program.

EXAMPLES OF FUNDED PROJECTS:

In the United Arab Emirates and Oman, a specialist delivered plenaries at major conferences in those two countries discussing testing and curriculum design giving recommendations for language instruction improvement within tightly controlled national English language curriculums. In Panama, a specialist performed a needs assessment for the Panamanian Ministry of Education to determine requirements and resources available for teaching English to all Panamanian students ages 4 through 6 grade. In Thailand and Laos, a specialist delivered plenary addresses at the Thai TESOL Convention in Bangkok, Thailand; the Lao TESOL Conference in Vientiane, Laos; and the Post Thai TESOL Convention in Khon Kaen, Thailand.

CRITERIA FOR SELECTING PROPOSALS:

1) The credentials of the English Language Specialist or Speaker to meet the requests from U.S. Embassies and their constituent host institutions.

19.425 BENJAMIN GILMAN INTERNATIONAL SCHOLARSHIP

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256, as amended. The International Academic Opportunity Act of 2000.

OBJECTIVES:

To provide financial assistance to U.S. citizen undergraduates to pursue study abroad.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

To promote mutual understanding between the United States and other countries through scholarships for U.S. undergraduates with financial need to study abroad.

Applicant Eligibility:

U.S. citizen, enrolled as undergraduates and receiving a Pell Grant.

Beneficiary Eligibility:

Student/Trainee.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Scholarships announced by IIE through newsletters, e-mail, websites, and other appropriate means. Applications must be submitted to IIE.

Award Procedure:

Selection panel chooses scholarship recipients.

Deadlines:

Contact the Headquarters Office listed below.

Range of Approval/Disapproval Time:

1 month.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Financial need; Pell grant.

Length and Time Phasing of Assistance:

One semester or one academic year.

Reports:

Within 30 days of completion of programs.

Audits:

Not applicable.

Records:

As prescribed by grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$5,000,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Average award: \$4,000.

PROGRAM ACCOMPLISHMENTS:

There were 351 scholarships awarded to recipients from all 50 U.S. States, plus Puerto Rico and the District of Columbia, to study abroad in 63 foreign countries in fiscal year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

IIE: Ms. Michelle Dass Pickard, Institute of International Education, Southern Regional Office, 515 Post Oak Blvd., Suite 150, Houston, TX 77027-9407 Telephone: (713) 621-6300 ext. 20; Fax: (713) 621-7958; E-mail: mpickard@iie.org Website: <http://www.iie.org/gilman>.

Headquarters Office:

Educational Information and Resources (ECA/A/S/A), Educational and Cultural Affairs Bureau, U.S. Dept. of State, SA-44, 301 4th St. SW, Washington DC 20547, Attn: Coleen Gatehouse. Telephone: (202) 453-8887. Fax: (202) 453-8890.

Web Site Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

U.S. undergraduate students received scholarships for study abroad in 63 countries.

CRITERIA FOR SELECTING PROPOSALS:

Demonstrated financial need, intended participation in a credit-bearing study abroad program, plan for individual project upon return to the U.S.

19.430 INTERNATIONAL EDUCATION TRAINING AND RESEARCH

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To foster mutual understanding between the United States and other countries by supporting professional development for international education professionals at U.S. institutions of higher education and by supporting research on international educational exchange.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding for nonprofit organizations to support training of international education professionals on U.S. campuses and to conduct research on international exchange programs specified by requests for grant proposals published in the Federal Register and on the Bureau of Educational and Cultural Affairs website: <http://exchanges.state.gov/education/rfgps/>.

Applicant Eligibility:

To be eligible for consideration, organizations must: 1) Be incorporated in the U.S.; 2) have not-for-profit status as determined by the IRS; and 3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Beneficiary Eligibility:

To be eligible for consideration, organizations must: (1) Be incorporated in the U.S.; (2) have not-for-profit status as determined by the IRS; and (3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Credentials/Documentation:

To be eligible for consideration, organizations must: (1) Be incorporated in the U.S.; (2) have not-for-profit status as determined by the IRS; and (3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Announcements are made in the Federal Register for organizations meeting eligibility requirements. Announcements are posted to Department of State's website: <http://exchanges.state.gov/education/rfgps/>.

Award Procedure:

Final selection of grantees is made by the Assistant Secretary for Educational and Cultural Affairs on recommendation of an Advisory Committee made up of Department staff experienced in international exchanges.

Deadlines:

See <http://exchanges.state.gov/education/rfgps/>.

Range of Approval/Disapproval Time:

Six weeks to three months from deadline.

Appeals:

None.

Renewals:

Grants may be renewed for two years after initial competition, following ECA review of program.

Formula and Matching Requirements:

Formula and Matching Requirements Cost-sharing requirements stated in Federal Register Announcement.

Length and Time Phasing of Assistance:

As stated in Federal Register announcements.

Reports:

Financial and Program Reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$1,625,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

In FY06, \$190,000 for international educational exchange research; \$535,000 for international education professional training at U.S. institutions of higher education; \$900,000 for student advising training for overseas educational advisers.

PROGRAM ACCOMPLISHMENTS:

In 2005 DCS/ECA gave grants to NAFSA, IIE and the College Board. NAFSA's Academy for International Education enabled over 400,000 U.S. and international students and scholars, community members, faculty and campus staff to participate in activities that increase international understanding on campuses and in communities across the country. Open Doors, published by the Institute of International Education (IIE), is the only comprehensive information resource on international student mobility. The publication includes statistics on foreign students and scholars in the U.S. and on U.S. students abroad, as well as scholarly analyses of the data and their implication for policy makers. The College Board provided training and professional development opportunities to over 150 overseas educational advisers on topics including the admissions process at U.S. higher education institutions, standardized testing, pre-departure orientation and understanding the student visa process.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Regional or Local Office International Education Training: NAFSA: Association of International Educators, 1307 New York Avenue, NW, Eighth Floor, Washington, DC 20005-4701, Attn: Mr. Nick Seamons. Telephone: (202) 737-3699. Fax: (202) 737-3657. E-mail: NickS@nafsa.org. The College Board, 1233 20th St. NW, Washington, DC 20036-2304, Attn: Carol Blythe. Research: Institute of International Education (IIE), 809 United Nations Plaza, New York, NY 10017; Attn: Hey-Kyung Koh, Assistant Editor. Telephone: (212) 984-5331. E-mail: HKOH@iie.org.

Headquarters Office:

Headquarters Office Educational Information and Resources (ECA/A/S/A), SA-44, 301 4th St. SW, Washington DC 20547, Attn: Mary Thompson-Jones, Branch Chief. Telephone: (202) 453-8872. FAX: (202) 453-8890.

Web Site Address:

<http://exchanges.state.gov> <http://www.nafsa.org>; <http://www.iie.org>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Varied.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program idea; Program planning; Ability to achieve project objectives; Multiplier effect/impact; Support of diversity; International capacity; Institution's record/ability; Cost-effectiveness; and Cost-Sharing.

19.431 EDUCATIONAL EXCHANGE-SCHOLAR-IN-RESIDENCE (U.S. INSTITUTIONS OF HIGHER EDUCATION HOST LECTURING FACULTY FROM ABROAD)

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To improve and strengthen the international relations of the United States by promoting mutual understanding among the people of the world through educational exchanges.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants enable accredited U.S. institutions of higher education to host a faculty lecturer from abroad for a semester or an academic year. Preference is given to institutions that are traditionally less involved in international exchange programs, including hosting visiting scholars and/or those serving minority audiences. Priority institutions include historically Black colleges and universities, Hispanic serving institutions, tribal colleges and universities, small liberal arts colleges and community colleges. Institutions sometimes propose to formally or informally share the visiting scholar with neighboring institutions or consortia, and consortia applications are welcome. Institutional applications may designate a specific scholar by name or request that U.S. Embassies or binational Fulbright Commissions abroad recruit one for them. The Scholar-in-Residence's primary role is teaching at the undergraduate level. Teaching assignments often focus on global issues, or on courses where a foreign lecturer's services can provide a cross-cultural or international perspective. Subject fields are generally in the social sciences and humanities but may be in a wide range of other fields as well, such as education, law, architecture, urban planning, intercultural studies, geography, religious studies, journalism, women's studies, etc. Institutions requesting scholars from countries with significant Muslim populations in the fields of Islamic history, culture and society broadly defined are particularly encouraged to apply. In addition to teaching courses, scholars give campus-wide lectures, help initiate international programs and contribute to curriculum development. Beyond campus activities, host institutions are

expected to involve the scholar with professional organizations and with community associations, with outreach often including the K-12 education community. Fulbright scholars-in-residence often play an important role in assisting colleges and universities to begin to internationalize curricula by providing a unique addition to academic offerings and activities on campus. They also may offer institutions already engaged in international activities, the opportunity to broaden or deepen their international or world area-specific capacities. Individual grant benefits generally include round-trip transportation for the grantee and in some cases for one dependent, a monthly stipend, a dependents allowance, a settling in allowance, a professional enhancement allowance for books and services and basic accident/sickness insurance. (Note that all Fulbright Scholar-in-Residence funds are paid to the scholar, not to the U.S. host institution.)

Applicant Eligibility:

Accredited U.S. institution of higher education.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

U.S. institutions of higher education that wish to receive an application booklet for the Fulbright Scholar-in-Residence Program should contact the Council For International Exchange of Scholars, 3007 Tilden Street, NW, Suite 5M, Washington, DC 20008. Telephone: (202) 686-7866. In many cases this material is also available from U.S. college and university Fulbright campus contacts.

Award Procedure:

The U.S. Department of State and the Presidentially-appointed J. William Fulbright Foreign Scholarship Board make final selection of grantees.

Deadlines:

Subject to change, but generally applications are to be submitted by mid-October.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time: Applicant institutions are notified promptly if they are ineligible. Applications recommended for funding are notified ten weeks after the application deadline date. Final notification of institutional approval and of scholar placement (recruitment and placement, if necessary) occurs in 5 to 9 months after the application deadline date.

Appeals:

Not applicable.

Renewals:

Extensions considered where appropriate and when funds are available.

Formula and Matching Requirements:

U.S. host institutions are required to provide some type of cost sharing, whether monetary or in-kind.

Length and Time Phasing of Assistance:

Up to 1 academic year (10 months).

Reports:

Reports by host institutions and by Scholars-in-Residence are required at the end of the grant period.

Audits:

Under the total audit concept, audits will be made on an organization-wide basis (rather than grant- by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Records:

None.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$1,300,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Awards are between \$16,000 and \$40,000 based on grant length, U.S. location and the scholar's number of accompanying dependents. An average grant award is \$27,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, an estimated 36 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual announcement: Fulbright Scholar Program: Guidelines for Scholar-in-Residence Proposals issued by the Council for International Exchange of Scholars, 3007 Tilden Street, NW, Suite 5M, Washington, DC 20008.

Regional or Local Office:

None.

Headquarters Office:

Council for International Exchange of Scholars, 3007 Tilden Street, NW, Suite 5M, Washington, DC 20008.

Web Site Address:

<http://www.cies.org/sir/sir.htm>.

RELATED PROGRAMS:

19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars.

EXAMPLES OF FUNDED PROJECTS:

A Historically Black institution in the Mid-Atlantic region hosted a scholar from Nigeria who taught history, philosophy and religion from an Islamic perspective. Also, he helped develop the college's new concentration in religious and philosophical studies, served as a resource person to faculty and the academic honors program, and gave guest lectures in the local community. Hispanic-serving institution in Texas hosted a scholar from India to help bring an Asian perspective to campus and to internationalize its World History and American History courses. The scholar was engaged in all aspects of university life, from teaching, to curriculum development to student advising. A community college in the Northwest, in order to serve its growing resident immigrant and refugee population, hosted a scholar from Nicaragua. The scholar taught courses and advised in the fields of indigenous peoples, economic development and environment protection, in assisting the college in developing a new Latin American Studies program. A rural, Hispanic-serving community college in the West hosted a scholar from Slovakia, to help internationalize its nursing program. The scholar added a comparative perspective to the college, teaching courses in cultural assessment and intervention, commonalities of nursing, and nursing management, and participated in the colleges' program entitled: "Our National Heritage." A small, private, liberal arts college in New England hosted a Javanese puppet-master, musician and scholar from Indonesia to help support and enhance its growing gamelan music program and the development of its Asian Studies program. The scholar taught beginning gamelan, two courses on shadow puppet theatre (wayang), gave guest lectures, and participated in community outreach in the local schools and museum. Two institutions in New York State jointly hosted a Chinese environmental ecologist. The scholar helped initiate a new environmental science major at one institution, where he taught their undergraduate environmental science courses and contributed to the second institution's Center for Environmental Policy. He also became involved with local environmental organizations. As a consequence of hosting the Fulbrighter, both institutions are seeking a long-term, ongoing relationship in environmental science and policy with the scholar's home university.

CRITERIA FOR SELECTING PROPOSALS:

Is the institution within the preferred institutional profile? Does the proposal adequately describe the institution's short-term and long-term international education objectives? Does the proposed scholar adequately meet those goals and objectives? Is the proposed program for the scholar practical and feasible? Is rationale provided for requesting a scholar from a specific country or region? If a particular scholar is requested, does that scholar's qualifications and experience mesh with the institution's goals and the proposed teaching assignment and other duties? Does the proposal include plans for other campus activities? Does the proposal include plans for community involvement and outreach? Does the proposal demonstrate how the scholar's impact will be sustained after the grant is completed? Has the institution provided monetary or in-kind cost sharing?

19.432 OVERSEAS EDUCATIONAL ADVISING

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION:

Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES:

To foster mutual understanding between the United States and other countries by promoting U.S. higher education through a global network of Education USA educational advising/information centers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding for nonprofit organizations to support implementation of overseas educational advising programs, educational advising coordination, and training for educational advisers to ensure that students in specific international locations receive unbiased, ethical, up-to-date information on U.S. higher education. Locations specified by requests for grant proposals published in the Federal Register and on the Bureau of Educational and Cultural Affairs website: <http://exchanges.state.gov/education/rfgps/>.

Applicant Eligibility:

To be eligible for consideration, organizations must: 1) Be incorporated in the U.S.; 2) have not-for-profit status as determined by the IRS; 3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Beneficiary Eligibility:

To be eligible for consideration, organizations must: 1) Be incorporated in the U.S.; 2) have not-for-profit status as determined by the IRS; and 3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Credentials/Documentation:

Not applicable. To be eligible for consideration, organizations must: 1) Be incorporated in the U.S.; 2) have not-for-profit status as determined by the IRS; and 3) a proven record of successful work in international educational and cultural exchange, including responsible fiscal management.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Announcements are made in the Federal Register for organizations meeting eligibility requirements. Announcements are posted to Department of State's website: <http://exchanges.state.gov/education/rfgps/>.

Award Procedure:

Final selection of grantees is made by the Assistant Secretary for Educational and Cultural Affairs on recommendation of an Advisory Committee made up of Department staff experienced in international exchanges.

Deadlines:

See <http://exchanges.state.gov/education/rfgps/>.

Range of Approval/Disapproval Time:

Six weeks to three months from deadline.

Appeals:

None.

Renewals:

Grants may be renewable for two years after initial competition, following ECA review of program.

Formula and Matching Requirements:

Cost-sharing requirements set out in Federal Register announcement.

Length and Time Phasing of Assistance:

As set out in Federal Register announcements.

Reports:

Financial and Program Reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Prescribed in the grant terms.

Account Identification:

19-0201-0-1-154.

Obligations:

FY 07 \$1,775,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

\$60,000 to \$450,000. Average: \$200,000.

PROGRAM ACCOMPLISHMENTS:

In 2005, DOS/ECA awarded grants to AMIDEAST, ACIE, IIE, and OSI to provide overseas educational advising, orientation, and information services on opportunities in U.S. education for foreign students and scholars at field offices the Middle East, Eurasia, East Asia and Mexico. Centers received more than 20 million queries in 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Announcements; requests for proposals listed on website: <http://exchanges.state.gov/education/rfgps/>.

Regional or Local Office:

None.

Headquarters Office:

Educational Information and Resources (ECA/A/S/A), SA-44, 301 4th St. SW, Washington DC 20547, Attn: Mary Thompson-Jones, Branch Chief. Telephone: (202) 453-8872. Fax: (202) 453-8890.

Web Site Address:

<http://educationusa.state.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Varied.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program idea; Program planning; Ability to achieve project objectives; Multiplier effect/impact; Support of diversity; International capacity; Institution's record/ability; Cost-effectiveness; and Cost-Sharing.

19.500 MIDDLE EAST PARTNERSHIP INITIATIVE (MEPI)

FEDERAL AGENCY:

DEPARTMENT OF STATE

AUTHORIZATION:

Foreign Assistance Act of 1961, as Amended.

OBJECTIVES:

To support democracy in the Middle East and North Africa by encouraging political, economic & educational reform and the empowerment of women..

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements to support political, economic, and educational reform efforts and the empowerment of women in the Middle East and North Africa. MEPI encourages applications from partnerships or consortia led by local organizations based in the Middle East and North Africa. Awards are designed to benefit principally the non-governmental organizations and citizens of countries in the Middle East and North Africa. Preference will be given to countries in the region with less developed civil societies, and with limited experience in developing and implementing issue based campaigns on economic, democratic, or educational reforms, and advocacy for women's rights, aimed at increasing the number of active stakeholders and building effective local coalitions. MEPI has particular interest in supporting programs that are innovative and that meet needs and opportunities not already addressed by current donor funding, particularly through USAID bilateral agreements. Generally MEPI does not fund bricks and mortar type projects or stand-alone scholarships. Middle East Peace Process projects are generally funded out of the separate Department of State Multilateral Peace Process Account. Development projects in Egypt, Jordan, or Iraq are generally funded by USAID bilateral ESF funds.

Applicant Eligibility:

Non-profit, for-profit organizations or state and local governments interested in partnering with the Middle East Partnership Initiative to promote change in the Middle East. Applicants must have an established track record in conducting training programs and promoting reform through development projects.

Beneficiary Eligibility:

Country covered by the State Department's Bureau of Near Eastern Affairs, not currently subject to foreign assistance restrictions. Could include: Algeria, Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Libya, Morocco, Oman, Qatar, Saudi Arabia, Syria, Tunisia, UAE, West/Bank Gaza, Yemen.

Credentials/Documentation:

CVs of main program staff.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Respond to a general MEPI Standing Program Announcement or specific Request for Application (RFA) posted on www.grants.gov and www.mepi.state.gov. SF 424 and full proposal required. Public Diplomacy and Alumni Outreach Plan must be incorporated in proposal.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant.

Deadlines:

As announced on www.grants.gov and www.mepi.state.gov.

Range of Approval/Disapproval Time:

25 to 180 days.

Appeals:

None.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

No requirements, but applications that reflect cost sharing arrangements, especially for programs in the following Gulf countries (Kuwait, Saudi Arabia, Qatar, Bahrain, and UAE) will be viewed more favorably. Cost-sharing arrangements between MEPI and the recipients are negotiated prior to award.

Length and Time Phasing of Assistance:

Varies.

Reports:

Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. One web-postable success story from program to be posted on www.mepi.state.gov.

Audits:

As mandated in OMB circular A-133.

Records:

Above reports will be retained for three years from the date of submission of the final financial report.

Account Identification:

19-1014-0-1-150.

Obligations:

FY 07 \$120,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

See specific funding announcement for details.

PROGRAM ACCOMPLISHMENTS:

As a Presidential Initiative, the Middle East Partnership Initiative (MEPI) through Economic Support Funds (ESF) and has funded over 350 programs aimed at promoting political, economic, and education reform and women's empowerment in the Middle East and North Africa. Program descriptions can be found on www.mepi.state.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the request for applications or program announcements. Extensive background and program information is available on www.mepi.state.gov.

Regional or Local Office:

MEPI has Regional Offices in Tunis, Tunisia, Abu Dhabi, and UAE.

Headquarters Office:

Office of the Middle East Partnership Initiative (MEPI) Department of State
Bureau of Near Eastern Affairs 2201 C Street N.W. Rm 5253A Washington
D.C. 20520.

Web Site Address:

www.mepi.state.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See www.mepi.state.gov for a complete listing of MEPI funded programs, including those awarded to non-US based institutions and through pre-existing USAID grant and contract mechanisms and the Bureau of Educational and Cultural Affairs (ECA) and Department of Commerce. Region-wide programs funded include: Middle East Judicial and Legal Reform Forum; Corporate Governance; Creating Child Centered Schools; Open and Pluralistic Media. Country-specific programs including Election Monitoring in Yemen; and Judicial Reform in Oman.

CRITERIA FOR SELECTING PROPOSALS:

MEPI is looking for new and innovative proposals to promote political, economic, and education reform and empower women in the Middle East and North Africa. Proposals that include cost-sharing, partnership with local NGOs or governments, a public outreach plan, and an alumni component will be viewed favorably. In addition, proposals should be responsiveness to the substantive provisions set forth in each Program Announcement or Request for Application posted www.grants.gov and www.mepi.state.gov.

19.510 U.S. REFUGEE ADMISSIONS PROGRAM

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES, AND MIGRATION
DEPARTMENT OF STATE

AUTHORIZATION:

Immigration and Nationality Act, as amended (INA) and the Refugee Migration and Assistance Act, as amended (MRA).

OBJECTIVES:

Initial reception and placement program for refugees approved for admission in the United States. Program objectives include: (1) arranging for the refugees, resettlement by ensuring that approved refugees are offered appropriate assistance during their initial resettlement in the United States; (2) providing refugees with basic necessities and core services during their first 90-days of resettlement in the United States; and (3) assisting refugees in achieving economic self-sufficiency through employment as soon as possible after arrival in the United States in coordination with publicly sponsored refugee service and assistance programs.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

The cooperative agreement allocates partial funds for the identified services based on a fixed per capita of \$850 per refugee resettled in the United States. Allocation of funds are also based on the organization's reported expenses for its headquarters each fiscal year.

Applicant Eligibility:

State governments and private non-profit organizations.

Beneficiary Eligibility:

Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O 12372. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Participating organizations respond to the Bureau's request for proposals on an annual basis. All proposals are submitted to the Office of Refugee Admissions within the Bureau. There is prescribed format for proposals, as stated in the request.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on the Director of the Office of Refugee Admissions recommendations for all reception and placement awards and the Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

See the cover letter of the request for proposal for submission deadline

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Formula: Funds are awarded on a reimbursement basis through a per capita and reported expenses. Organizations are expected to contribute private funds to assist with reception and placement services.

Length and Time Phasing of Assistance:

Funds are awarded on a fiscal year basis, October 1 through September 30.

Reports:

Quarterly financial reports are due sixty days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. Program reports are due as set forth in the award document.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular No. A- 133. Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain a case file for each refugee family unit admitted into the United States.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 \$74,600,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Range \$20,000 - \$2,873,029; Average \$1,021,203.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 53,813 refugees were resettled in the United States through nine private non-profit organizations and one State government.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic - U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of Refugee Admissions, SA-1, Suite L505, Washington, DC 20522-0105, Telephone: 202/663-1056. Financial - U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of the Comptroller, SA-1, Suite L505, Washington, DC 20522-0105. Telephone: (202) 663-1022.

Web Site Address:

www.state.gov/g/prm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are made on the basis of a panel review using evaluation criteria specified in the request for proposal.

19.511 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR EAST ASIA

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq.

OBJECTIVES:

There are more than 140,000 Burmese refugees in Thailand through UNHCR (protection) and NGOs. NGOs address assistance needs not covered by UNHCR or other international organizations, including public health programs (including gender-based violence prevention), water and sanitation, food, fuel, and clothing, as well as some basic household assistance, such as blankets and mosquito nets. Please note that funding for 2006 is extremely limited.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals anytime throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For new proposals, the deadline is no later than June 30 of the current fiscal year (unless an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular A-133- Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 est not available; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

For FY 05 under MRA, assistance for East Asia was given to three IOs. One was for \$ 970,000 and two were for just over \$ 8 million. Assistance for two NGOs ranged from \$1,150,000 to \$ 4,544,775 with an average of \$2,847,388.

PROGRAM ACCOMPLISHMENTS:

In FY 05 more than \$608million was provided for programs worldwide, by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: Richard Albright, Office Director, (202) 663- 1063; Larry Bartlett, Deputy Director, (202) 663-1065; Rafael Foley Eileen Kelley, Southeast Asia, (202) 663-3715; Melissa Pitotti, Northeast Asia, (202) 663-1950; U.S. Department of State, Bureau of Population, Refugees, and Migration, SA-1, Suite L505, Washington, DC 20522-0105. Financial: Office of the Comptroller, SA-1, Suite L303, Washington, DC 20522-0105. (202) 663-1022.

Web Site Address:

www.state.gov/g/prm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.517 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR AFRICA

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq.

OBJECTIVES:

Assistance will contribute to the basic needs of some 3 million refugees and millions more conflict victims spread across the African continent (both Sub-Saharan and North Africa). NGOs are key partners of international organizations in Africa, often in specialized areas such as health care, water, sanitation, food distribution, and education. Funds will be provided directly to NGOs to complement the programs of UNHCR and to bring basic assistance up to international life-sustaining standards of care.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non- governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals any time throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and the Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For most proposals, the deadline is no later than June 30 of the current fiscal year (unless

an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular No. A-133- Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 est not available; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

For FY05 under MRA, assistance for Africa was given to six IO's totaling \$196,010,197. Assistance for 15 NGO's ranged from \$99,000 to \$2.2 million, with an average of \$2.1 million.

PROGRAM ACCOMPLISHMENTS:

In FY 05, more than \$608 million was provided for programs worldwide by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Of this amount, over \$270 million supported programs in Africa. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: Margaret McKelvey, Office Director, (202) 663-1027; Mary Lange, Deputy Director, Central/Sahel/North Africa/Southern Africa, (202) 663-1014; Carol-Anne Chang, Coastal West Africa, (202) 663-1031; Amy Wendt, Horn of Africa, (202) 663-1014; Vacant, Great Lakes/Central Africa, (202) 663-1476; Neil Ahlsten, Chad (Sudanese refugees), (202) 663-1029. U.S. Department of State, Bureau of Population, Refugees, and Migration, SA-1, Suite L505, Washington, DC 20522-0105. Financial: U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of the Comptroller, SA-1, Suite L303, Washington, DC 20522-0105, Telephone: 202/663-1022.

Web Site Address:

www.state.gov/g/prm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for

humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.518 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR WESTERN HEMISPHERE

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended, (MRA) and 22 U.S.C. 2601 et seq.

OBJECTIVES:

The civil conflict in Colombia continues to be a primary cause of displacement and humanitarian suffering in the Western Hemisphere. For the past several years, the Bureau in conjunction with the Government of Colombia, International Organizations, NGOs, and the international community has sought to address the needs of almost 3 million internally displaced persons (IDPs) inside Colombia, and thousands of refugees outside Colombia. While Colombia represents the most significant humanitarian assistance requirement in the region, ongoing programs of protection and humanitarian law dissemination throughout the hemisphere are needed to maintain capacity for dealing with the needs of refugees and conflict victims now and in the future, particularly in the Caribbean.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals at any time throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and other Bureau Offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For new proposals, the deadline is no later than June 30 of the current fiscal year (unless an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular A-133- Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 est not available; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

For FY 05 under MRA, assistance for the Western Hemisphere Andean region was approximately \$25.4 million, with the bulk (\$16.4 million) going to UNHCR and ICRC, our primary international organization partners in the region. Assistance for five NGOs ranged from \$356,500 to \$1,287,858, with an average of \$979,667.

PROGRAM ACCOMPLISHMENTS:

In FY 05, more than \$608 million was provided for programs worldwide, by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: c/o Director, Office of Assistance for Europe, Central Asia and the Americas, Email address: prm-eca@state.gov, Phone: 202/663-1062, FAX: 202/663-1530. U.S. Department of State, Bureau of Population, Refugees, and Migration, SA-1, Suite L505, Washington, DC 20522-0105. Financial: Office of the Comptroller, SA-1, Suite L303, Washington, DC 20522-0105, Telephone: 202/663-1022.

Web Site Address:

www.state.gov/g/prm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.519 OVERSEAS REFUGEE ASSISTANCE PROGRAM FOR NEAR EAST AND SOUTH ASIA**FEDERAL AGENCY:**

BUREAU OF POPULATION, REFUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq.

OBJECTIVES:

In the Near East: Return and reintegration assistance of up to two million Iraqi refugees, recent returnees, and internally displaced persons (IDPs) is a high

priority to the Bureau. Programs will support return and reintegration activities for voluntary returnees, as well as assist existing populations in neighboring countries until refugees elect for voluntary return. Programs inside Iraq will also help strengthen local structures so that Iraqi government policies and programs will be responsive to refugees and other victims of conflict. The Bureau supports UN programs that provide some stability in the lives of the 4.3 million Palestinian refugee population in an increasingly difficult environment and contributes to building a climate conducive to a peaceful resolution of regional problems. In South Asia: The Bureau will continue support for the unprecedented, large-scale return and reintegration programs for Afghan refugees and IDPs, although at reduced financial levels. The Bureau will also continue supporting protection and assistance activities for many of the 3.5 million refugees who remain in Pakistan and Iran and who may not repatriate in 2006 due to the security situation, persecution of certain ethnic groups, and Afghanistan's limited capacity to absorb additional returnees. India is host to over 130,000 Tibetan refugees. Congressionally earmarked funds administered by PRM supports the Tibet Fund's assistance of approximately 2,500 new Tibetan refugees who arrive in India each year. PRM's regional contribution to UNHCR also supports protection, care and maintenance for 106,000 Bhutanese refugees in southeastern Nepal.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals any time throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and the Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For new proposals, the deadline is no later than June 30 of the current fiscal year (unless an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular A-133- Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 not available; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

For FY 05, assistance for the Near East and South Asia was given to four IO's totaling \$142.8 million. Assistance for 16 NGO's ranged from \$55,481 to \$2 million, with an average of \$938,861.

PROGRAM ACCOMPLISHMENTS:

In FY 05, more than \$608 million was provided by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: Richard Albright, Office Director, (202) 663-1063; Larry Bartlett, Deputy Director (202) 663-1065; Afghan Team: Dan Kronenfeld, (202) 663-3717 and Martha Amlin, (202) 663-1037; elsewhere in South Asia and Northeast Asia; Melissa Pitotti, (202) 663-1950; Near East/UNRWA: George Aldridge (202) 663-1531; Iraq Team: Bill Lehmborg, (202) 663-3442 and Liana Brooks-Rubin (202) 663-1012; Southeast Asia and Tibet: Eileen Kelley, (202) 663-3715. U.S. Department of State, Bureau of Population, Refugees, and Migration, SA-1, Suite L505, Washington, DC 20522-010. Financial: U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of the Comptroller, SA-1, Suite L505, Washington, DC 20522-0105, Telephone: 202/663-1022.

Web Site Address:

www.state.gov/g/prm

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.520 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR EUROPE

FEDERAL AGENCY:

BUREAU OF POPULATION, REGUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq.

OBJECTIVES:

Funding of organizations that assist refugees and IDPs in Europe and Central Asia will be at a reduced level this year compared to FY05. In the North and South Caucasus the Bureau - in conjunction with International Organizations, NGOs, and the international community -- will continue to provide life-sustaining assistance to the most vulnerable IDPs and refugees produced by the continuing conflict in Chechnya and the frozen conflicts in Georgia, Armenia,

and Azerbaijan. In the Balkans, programs that assist minority IDP returns to Kosovo from Serbia-Montenegro and Macedonia, as well as the local integration of refugees and IDPs in Serbia are the focus of our funding. In Central Asia, the Bureau will continue support for refugees, IDPs, asylum seekers and stateless people.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non- governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals at any time throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For new proposals, the deadline is no later than June 30 of the current fiscal year (unless an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular A-133- Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151

Obligations:

FY 07 \$40,000,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

In FY 05 under MRA, assistance for Europe was given to 6 IO's totaling \$41.1 million. Assistance for 10 NGOs ranged from \$115,264 to \$1,797,419, with an average of \$803,176.

PROGRAM ACCOMPLISHMENTS:

In FY05, more than \$608 million was provided for programs worldwide, by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: PRM c/o Director, Office of Assistance to Europe, Central Asia and the Americas. Email address: prm-eca@state.gov, Phone: 202-663-1062, FAX: 202/663-1530. U.S. Department of State, Bureau of Population, Refugees, and Migration, SA-1, Suite L505, Washington, DC 20522-0105. Financial: U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of the Comptroller, SA-1, Suite L303, Washington, DC 20522-010: Telephone: (202) 663-1022.

Web Site Address:

www.state.gov/g/prm

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.522 OVERSEAS REFUGEE ASSISTANCE PROGRAMS FOR STRATEGIC GLOBAL PRIORITIES**FEDERAL AGENCY:**

BUREAU OF POPULATION, REFUGEES, AND MIGRATION,
DEPARTMENT OF STATE

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended; (MRA) and 22 U.S.C. 2601 et seq.

OBJECTIVES:

Bureau support under this program includes mostly contributions to the headquarters and global program costs of the United Nations High Commissioner for Refugees (UNHCR), the headquarters budget of the International Committee of the Red Cross (ICRC) and to a smaller extent, the multiregional refugee activities of other international and non-governmental organizations. A particular emphasis of this support is to promote initiatives in areas that are cross-cutting, core global Bureau priorities, such as refugee women, refugee children, prevention and response to gender-based violence (including sexual exploitation), enhancing refugee protection, and health, including HIV/AIDS prevention.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

None.

Preapplication Coordination:

Application Procedure. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Procedure: Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration (international organizations submit appeals to the Bureau). NGOs may submit proposals at any time throughout the year to fill a need as it arises, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite NGOs to submit proposals by a specific deadline for Bureau review. NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Deadlines vary according to the type of proposal being submitted. For new proposals, the deadline is no later than June 30 of the current fiscal year (unless an unforeseen emergency occurs that would necessitate additional funding beyond the stated deadline.) Proposals for follow-on programs are due 90-days before expiration of the current agreement. Proposals tied to specific program guidelines are due per the deadline of the program-issued guidelines.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Renewals or extensions require additional approval.

Formula and Matching Requirements:

Formula: Bureau's funds are intended to partially cover an organization's budget request with remaining funds expected from other resources.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized.

Reports:

Quarterly financial reports are due forty-five days after the close of a calendar year quarter. A preliminary final financial report is due 90 days after the expiration of an agreement with a final financial due upon issuance of final indirect cost rates. The Bureau program office may require additional reporting; the organization will be notified of any additional reporting requirements informally.

Audits:

The Bureau's funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit must be performed to meet the provisions of OMB Circular A-133-Revised, Audits of States, Local Governments, and Non-Profit Organizations. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

The grantee shall maintain files for a three-year period after submission of final financial report.

Account Identification:

19-1143-0-1-151.

Obligations:

FY 07 \$63,000,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

In FY05, assistance for Strategic Global Priorities was given to four IOs totaling \$55.3 million. Assistance for nine NGOs ranged from \$27,375 to \$390,515, with an average of \$382,506.

PROGRAM ACCOMPLISHMENTS:

In FY 05, more than \$608 million was provided by the Bureau multilaterally through the United Nations and international organizations and bilaterally to NGOs that fill gaps in the international community's multilateral response. Bureau funding was focused on three priority areas: (1) promoting access to effective protection and first asylum for refugees and conflict victims, with protection of women and children as a priority; (2) providing humanitarian assistance across geographic regions and according to internationally accepted standards; and (3) supporting voluntary repatriation, including sustainable reintegration of refugees in countries of origin.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Programmatic: Margaret Pollack, Office Director/MCE, (202) 663-1075; Nicole Gaertner, PRM/MCE, Officer for refugee women (including GBV) and refugee children's issues, (202) 663-1481; Tim Fitzgibbons, PRM/MCE, NGO Liaison, (202) 663-1482; Kelly Clements, Acting Office Director/PRP, (202) 663-3954; Nicole Green, Program Officer, Policy Office, (202) 663-3881; Hoa Tran, Program Officer, Policy Office, (202) 663-3104; Bryan Schaaf, Health Officer, Policy Office. Financial - U.S. Department of State, Bureau of Population, Refugees, and Migration, Office of the Comptroller, SA-1, Suite L505, Washington, DC 20522-0105, Telephone: 202/663-1022.

Web Site Address:

www.state.gov/g/prm.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

DEPARTMENT OF TRANSPORTATION

20.100 AVIATION EDUCATION

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Airport and Airway Development Act of 1970, as amended, Section 301, Public Law 94-353, Section 21, 49 U.S.C. 1346a.

OBJECTIVES:

To promote "Aviation Knowledge through Education"; create a public awareness of the need to promote the development and enhancement of education in aviation; establish a civil aviation information distribution program within each region and center of the Federal Aviation Administration; promote "safety in the skies through aviation education"; create "career awareness" in aviation at the elementary and secondary educational levels; prepare qualified individuals to meet the future need of aviation; stimulate public and private sector initiative in meeting the American and worldwide competitive challenge in science and technology; aid educators by providing aviation information they can readily use in their normal classroom curriculum or in special classroom projects, to improve communication skills, math, science, technology, and computer literacy as it relates to aviation; and help educators identify the learning needs of our society in this rapidly changing technological era.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Encouragement of the development of Civil Aviation Information Distribution programs. EDUCATIONAL SERVICES. This is "aviation in the classroom" and includes all aspects of student, teacher, school, coordination with other national educational programs; INTERNATIONAL, NATIONAL, REGIONAL AND STATE COMMUNITY RELATIONS. Develops and gives support to strengthening the regions, state, and local communities in their ability to perform the tasks and functions of Aviation Education within their respective areas. Includes workshops, conferences, youth programs, teacher education, public education, and other programs, materials, and resource planning support. Liaison with all International, National, Regions, and State aviation and education officials and organizations. INFORMATION SERVICES. Includes electronic websites, data gathering and dissemination, and communications. The Aviation Education Program utilizes all segments of the FAA workforce as catalysts and aviation interest groups' support of education in assuring the availability of qualified human resources and improving public understanding of the economic and social importance of an efficient and safe air transportation system.

Applicant Eligibility:

Aviation and education administrators, school, college and university officials, officers of civil organizations, and domestic and international governments, aviation industry, and education organizations.

Beneficiary Eligibility:

Aviation and education administrators, school, college and university officials, officers of civil organizations, and domestic and international governments, aviation industry, and education organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

None. There are no financial awards or grants available. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications take the form of a written request for Aviation Education materials or counseling to an FAA regional or Headquarters office.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

69-1301-0-1-402.

Obligations:

(Salaries and expenses) FY 07 \$0; FY 08 est \$0; and FY 09 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Continued to implement Aviation and Space Education (AVSED) Local Counselor Program (volunteer FAA employees); provided consultant assistance to schools and colleges; continued to establish "Partnerships-in-Education" among industry, education, and government. Priorities established by the AVSED Strategic Plan included creative leadership that commits FAA to become the internationally recognized authority on aviation and space education. This would be both consistent and reinforcing of FAA's mission to maintain America's preeminence in aviation. In addition, creative leadership in education demonstrates FAA's commitment to support the President's initiative of No Child Left Behind. AVSED's plan is the integration of aerospace thematic Science Technology Engineering and Mathematics (STEM) curriculum into education and to expand and create synergistic partnerships that support this inclusion into state education frameworks. FAA's existing base of enthusiastic volunteers, aviation and space education national, regional, and center program managers offer a national network focused upon promoting U.S. aviation. For example, we continue to work with the National Association of State Aviation Officials (NASAO) and the National Coalition for Aviation Education (NCAE) to emphasize and expand outreach linkages. The states have Constitutional authority for education; therefore, State partnerships provide the infrastructure and mechanism for implementing and sustaining federally initiated programs on a national level. Thus, to achieve this goal, FAA must continue to pro-actively increase FAA's internal and external partnerships. Consistent with the National Performance Review, the AVSED plan calls for a refocusing and reengineering of aviation and space education programs. Refocusing permits the FAA to better accomplish its mission to "foster the growth of aviation." Also, declining resources and new technologies makes it imperative that aviation programs be reengineered. Following is the list of aviation and space education programs. (1) Aviation and Space Education web site, www.faa.gov/education, is an on-line computer-based information service that links the education community and the Federal government to educational information services. The web site provides a complete agency organizational and program profile for university administrators, professors, teachers, students and the general public. Information on aviation and space education programs and resources available from FAA national, regions, and centers, and facilities may be included in the database. Details on aviation and space education initiatives and aviation industry special interest groups are also available. All FAA Aviation and Space Education materials and curriculum are located on the web site and are down loadable for reproduction. (2) FAA Aviation and Space Education Volunteer Counselor Program: FAA will select, provide orientation, and appoint volunteer resource personnel to coordinate with headquarters, region and center aviation and space education program managers to implement FAA aviation and space education programs. FAA volunteers are designed as official Aviation and Space Education Counselors. The Counselors will assist FAA in promoting aviation and space education and fostering a wider knowledge, and better understanding of FAA, the National Airspace System, and civil aviation in America. There has been one counselor workshop conducted in headquarters in 2006 and there are plans to conduct six counselor workshops in regions in 2007. (3) Government-Industry-Education Partnerships: partnerships such as, NASAO, Organization of Black Airline Pilots (OBAP), International Black Aerospace Council (IBAC) and NCAE, increase public awareness and enhancement of the role of aviation, and support aviation and space education programs at all levels of the educational system. These private/public sector or government educationpartnerships are increasingly important as we move towards our goal of increasing STEM educational awareness. Each partnership yields additional resources to support the FAA's aviation and space education program initiatives. In fiscal year 2004, FAA formalized two new partnership; one with Women in Aviation International and one with the Experimental Aircraft Association. In 2005, FAA formed a partnership with Civil Air Patrol.

REGULATIONS, GUIDELINES, AND LITERATURE:

"FAA order 1250.2A".

Regional or Local Office:

Contact the Aviation Information Distribution Program person listed in appendix IV.

Headquarters Office:

Office of the Assistant Administrator for Region and Center Operations, 800 Independence Avenue S.W., Washington, DC 20590. National Headquarter/s Office for the Program Implementation, Office of the Regional Administrator, 12 New England Executive Park, Burlington, MA 01803.

Web Site Address:

<http://www.faa.gov/education>.

RELATED PROGRAMS:

43.001, Aerospace Education Services Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.106 AIRPORT IMPROVEMENT PROGRAM

(AIP)

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 103-272.

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics.

TYPES OF ASSISTANCE:

Project Grants; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passenger boarding each year and receive scheduled passenger service. Passenger boarding refers to revenue passenger boarding on an aircraft in service in air commerce.

The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a non traffic purpose. Passenger boarding at airports that receive scheduled passenger service are also referred to as Enplanements. Nonprimary Commercial Service Airports are Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boarding each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boarding each year. These airports are further categorized as Hub Airports, based on the level of passenger boarding. Hub categories for Primary Airports are defined as a percentage of total passenger boarding in the most current calendar year ending before the start of the current fiscal year. The definition and formulae used for designating Primary Airports by Hub Type and Percentage of Annual Passenger Boarding are: Large 1 percent or more; Medium - at least 0.25 percent, but less than 1 percent; Small - at least 0.05 percent, but less than 0.25 percent; and Non hub - more than 10,000, but less than 0.05 percent. Cargo Service Airports are airports that, in addition to any other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever Airports are airports designated by the FAA to relieve congestion at a Commercial Service Airport and to provide more general aviation access to the overall community. The remaining airports, while not specifically defined in Title 49 U.S.C., are referred to as General Aviation Airports and comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking is eligible. Grants may not be made for the construction of hangars, most automobile parking facilities, buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Discretionary funds may be used at any eligible facility.

Applicant Eligibility:

States, counties, municipalities, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia, are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain units of local government may be eligible for grants to implement noise compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service are eligible.

Beneficiary Eligibility:

States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, The Federated States of Micronesia, and private owners of reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A Preapplication conference is recommended but not required. Consultation and assistance available at FAA Offices. Applications should be reviewed under the provisions of the National Environmental Policy Act of 1969, 83 Stat. 852; and Section 4(f) of the Department of Transportation Act, 49 U.S.C. 1653. An environmental assessment will be needed for some projects. The standard application forms as furnished by the Federal agency and required by 49 CFR 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, must be used for this program.

Application Procedure:

Preapplication for Federal Assistance, SF 424, Part I (facesheet) filed with FAA field office, reviewed by the regional office and/or Washington office for program approval, as appropriate. For master plans (may be combined as part of development project), noise compatibility plans, and system plans. SF-424 and Parts II through V of FAA Form 5100-101, Application for Federal Assistance, must be submitted to FAA field offices. Level of approval is dependent on the type of airport and amount of FAA monies requested. No State plan is required. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (facesheet) and remaining parts of FAA Form 5100-100 to FAA field office. Master, noise compatibility, and system plan grant applications are submitted to FAA field offices and upon approval, grant offers are made by FAA field offices. Either the district or regional office prepares Grant Offer, FAA Form 5100-37, for planning and development for execution by FAA applicant.

Deadlines:

Primary airport sponsors must notify FAA by January 31 or another date specified in the Federal Register of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. A reminder is published annually in the Federal Register. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA field office for any local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

Current Federal government share of allowable costs are as follows. (1) Projects at large and medium hub primary commercial service airports: Airport development, 75 percent; terminal development, 75 percent; noise compatibility program implementation, 80 percent; master planning and noise compatibility planning, 75 percent. (2) Projects at all other public use airports (includes commercial service other than large and medium hub general aviation, reliever, other commercial service, and eligible privately owned airports): Airport development, noise compatibility program implementation, terminal development, airport planning and noise compatibility planning all at 90 percent. Currently through FY 2007, there is a temporary increase to 95 percent for these airports. Entities eligible to sponsor system planning studies include State, local and federally recognized tribal governments, designated metropolitan planning organizations, U.S. Territories and possessions, the Republics of the

Marshall Islands and Palau, and the Federated States of Micronesia. The range of financial or other matching assistance required from nonfederal sources, varies from 10 percent to 25 percent depending on the category of the sponsor, the type of project and the amount of public land in the State.

Length and Time Phasing of Assistance:

No set period of time. Assistance is released upon application for reimbursement of expenses or by letter of credit.

Reports:

During the project, the sponsor monitors performance to ensure that time schedules are being met. Periodic reports, as required, are forwarded to FAA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in circular No. A-133.

Records:

Sponsors' records are required to be made available for inspection by FAA, OIG/DOT and the General Accounting Office. An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report.

Account Identification:

69-8106-0-7-402.

Obligations:

(Grants) FY 07 \$3,401,659,000; FY 08 \$3,310,112,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$4,180 to \$44,059,334. Average: \$1,682,324.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 2,022 grant agreements were executed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Aviation Administration Order and Advisory Circulars (FAA Order 5100.38C, Airport Improvement Program Handbook, and FAA Advisory Circulars in the 150/5100 series).

Regional or Local Office:

Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831.

Web Site Address:

http://www.faa.gov/airports_airtraffic/AIP/.

RELATED PROGRAMS:

39.002, Disposal of Federal Surplus Real Property; 39.003, Donation of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

Construct new public airports; improve and rehabilitate existing public airports; extend runways at existing public airports; purchase fire fighting, rescue, security, snow removal and noise suppressing equipment; acquire land; and install navigation aids. Planning at individual airports includes demand/capacity analysis, airport noise control and land use compatibility analysis, environmental studies, and system plans for states, regions, and metropolitan areas.

CRITERIA FOR SELECTING PROPOSALS:

Only those Airport Improvement Program (AIP) projects considered by the FAA Administrator to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

20.108 AVIATION RESEARCH GRANTS

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508; Aviation Security Improvement Act of 1990, Federal Aviation Administration Authorization Act, Public Law 103-305.

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

The grants and cooperative agreements may be used to support research and development projects at colleges, universities and nonprofit institutions in the following areas: (1) Capacity and Air Traffic Control Technology; (2) Communications, Navigation and Surveillance; (3) Aviation Weather; (4) Airports; (5) Aircraft Safety Technology; (6) Human Factors and Aviation Medicine; (7) Environment and Energy; (8) Systems Science and Operations Research; (9) Commercial Space Transportation. Discretionary funds are not currently available. Potential grantees are encouraged to contact FAA Research Technical Program Offices for sponsorship.

Applicant Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Beneficiary Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency.

Preapplication Coordination:

Preapplication form not required. Consultation and or assistance is available by calling (609) 485-4781. No preapplication conference needed. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

A solicitation and application kit may be accessed on the internet at <http://www.tc.faa.gov/logistics/grants>, or by writing to the Aviation Research Grants Program, AJP-7550, William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405 or by calling (609) 485-4781.

Award Procedure:

Award authority has been delegated to the FAA Grants Officer for the Aviation Research Grants Program, AJP-7550, at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards will be made throughout the year. Solicitation FAA-06-01 will remain open indefinitely. Any change to this will appear on the internet site mentioned above.

Deadlines:

Proposals are accepted throughout the calendar year.

Range of Approval/Disapproval Time:

120 Days.

Appeals:

Formal protests are not an option, however, a debriefing may be requested.

Renewals:

Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions available upon application.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements, however, cost-sharing by the grantee is highly encouraged.

Length and Time Phasing of Assistance:

Minimum award duration is 6 months. No other time restrictions. Assistance is disbursed monthly. Advances are possible with prior approval from the FAA Grants Officer.

Reports:

Technical Reporting Requirements per Subpart C of OMB Circular A-110 and OMB Circular A-102, Paragraph 7. Semi-annual and final technical reports required. Financial reporting requirements as per Subpart C of OMB Circular A-110 and of OMB Circular A-102, Paragraph 7. Final reports are required for closeout. Reporting requirements are spelled out in the terms and conditions of each award document.

Audits:

Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA reserves the right to conduct individual audits as needed within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

(Grants) FY 07 \$23,457,466.38; FY 08 \$3,578,211.00; FY 09 est. not available.

Range and Average of Financial Assistance:

Proposals received to date have requested from \$15,000 to \$5.0 million with most between \$50,000 and \$250,000. Larger proposals are for multi-year research.

PROGRAM ACCOMPLISHMENTS:

Information available at the above internet web site.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-122, A-133, A-110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 95507A. A solicitation and application kit containing the appropriate forms and directions is available.

Regional or Local Office:

None.

Headquarters Office:

Aviation Research Grants Program, AJP-7550 William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Telephone: (609) 485-4781. Fax: (609) 485-6509.

Web Site Address:

<http://www.tc.faa.gov/logistics/grants>.

RELATED PROGRAMS:

20.109, Air Transportation Centers of Excellence.

EXAMPLES OF FUNDED PROJECTS:

Research and development in Satellite navigation; Aircraft Structural mechanics and materials; De-icing Fluids; Cockpit Human Factors; Aviation Security.

CRITERIA FOR SELECTING PROPOSALS:

The FAA has established four criteria which should be met for a proposal to be eligible for funding. These four criteria are: (1) Intrinsic Value; (2) Relevance to the FAA Mission; (3) Technical Soundness of the Proposal; (4) Research Performance Competence.

20.109 AIR TRANSPORTATION CENTERS OF EXCELLENCE

(FAA Centers of Excellence)

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101- 508; Catastrophic Failure Prevention Centers of Excellence, Section 9208; Aviation Research Centers of Excellence, Section 9209.

OBJECTIVES:

To conduct long term continuing research in specific areas of aviation related technology. Responsibilities of Centers may include but not limited to: the conduct of research concerning catastrophic failure of aircraft; airspace and airport planning and design; airport capacity enhancement techniques; human performance in the air transportation environment; aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics; and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system, and the interpretation, publication, and dissemination of the results of such research.

TYPES OF ASSISTANCE:

Project Grants; Use of Property, Facilities, and Equipment; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Centers of Excellence are established for long term continuing mission critical research in specific areas of technology. Funding may be used for the establishment and operation of the Centers of Excellence and the related training, education and research projects. The FAA determines a need for a Center of Excellence in a specific area of technology and publishes a Notice of Availability of Solicitation in Grants.gov. In response to this announcement, each applicant submits a description of their institutional capabilities, available faculty, facilities and equipment, and additional information as required in the solicitation. Researchers at each Center are expected to maintain a close working relationship with relevant FAA program offices that have responsibility in areas related to their research. Centers conduct semi-annual reviews, and a major symposium at the conclusion of each 3-year phase of research to satisfy Congressional requirements to disseminate results to a broad audience. CONGRESSIONAL RESTRICTIONS: (1) Matching Funds - Federal grants awarded shall be 50 percent of the cost of establishing and operating the Center of Excellence and related research activities. (2) Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 5, 1990. (3) Locations shall be geographically equitable. 4. Contract tasks are awarded on a negotiable cost share basis*.

Applicant Eligibility:

Applicants are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal funds and maintenance of effort. Academic institutions may partner with industry affiliates and other government laboratories.

Beneficiary Eligibility:

Recipients are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal grants and maintenance of effort. In conducting research, a Center of Excellence may contract with nonprofit research organizations and other appropriate persons.

Credentials/Documentation:

Required documentation is outlined in each solicitation package. After each Notice of Solicitation is published, copies of the Solicitation are available during a Public Meeting and/or from the FAA Centers of Excellence Program Office,

FAA Technical Center, Atlantic City International Airport, NJ 08405. To establish a Center of Excellence, a competitive process is conducted to include a public announcement, publication of a solicitation, a management/fiscal review and technical evaluation. Public Law 101-508 established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of the needs of the region for improved air transportation services and facilities. (2) The demonstrated research and extension resources available to the applicant for carrying out this program. (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long- range and immediate air transportation problems. (4) The extent to which the applicant has an established air transportation program. (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program. (6) The projects which the applicant proposes to carry out under the grant.* Each proposal must include the following: (a) description of current research projects being conducted in the specified research area, (b) a schedule of anticipated expenses for establishing and operating the Center of Excellence, (c) a plan for meeting the 50 percent nonfederal contribution toward the matching requirements, (d) a statement showing its aggregate expenditures from all other sources for aviation research in its 2 fiscal years preceding November 5, 1990, (e) all data sufficient for the evaluation team to determine the extent to which the applicant is able to satisfy the six selection criteria outlined. Each proposal must contain: 1. a completed Application for Federal Assistance (SF-424 Research and Related); 2. table of contents; 3. a technical statement addressing the problems inherent in the specific topic area(s) and probable solutions; 4. a summary of research results from FAA funding in the past five years; 5. biographical sketches of senior personnel and a list of their principal accomplishments and publications during the past five years; 6. proposed budget for the initial three years including the anticipated costs of managing a new Center of Excellence, and a management plan; 7. current and pending support on all research awards from all sources; 8. available facilities and major items of equipment to be available to conduct research, and that which is necessary to acquire. 9. letters of support from various industry representatives, state and local officials, etc. Other required forms are Disclosure of Lobbying Activities form SF-LLL and certification of Compliance with Civil Rights Act.

Preapplication Coordination:

FAA may hold a public information meeting prior to submission of proposals. Questions may be submitted to the FAA Centers of Excellence Program Director, AAR-400, FAA Technical Center, Atlantic City International Airport, NJ 08405. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Colleges and universities may apply after the FAA has published a Notice of Availability of Solicitation for a Center of Excellence in a specific research area on the Grants.gov website. Solicitation and application packages are available from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405.

Award Procedure:

Each Air Transportation Center of Excellence is awarded on behalf of the FAA Administrator. The FAA Centers of Excellence Program Director, issues solicitations, receives all proposals, convenes evaluation teams to evaluate eligible institutions for Centers of Excellence awards. Applicants should be prepared to host an onsite inspection of existing research facilities.

Deadlines:

Contact the FAA Center of Excellence Program Office for applications and application deadlines. Deadlines will also be published in the Notice of Solicitation.

Range of Approval/Disapproval Time:

From the closing date for applications, the FAA requires at least 6 months for evaluation and selection procedures.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Funds - The Federal share shall be 50 percent of the costs of establishing and operating the Center of Excellence and related research activities. Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 1990.

Length and Time Phasing of Assistance:

The FAA intends to support a Center of Excellence in three year increments over a maximum of ten years. Each Center will undergo a reassessment every three years. Research is reviewed semi-annually. Budgets and research projects are

negotiated yearly. As a consequence of changing needs, or diminished requirements, the agency reserves the right not to renew support for a Center of Excellence at any time. Funds from program offices and additional appropriations may increase at any time providing the institution can demonstrate matching funds.

Reports:

Technical Reporting Requirements per Attachment H of OMB Circular No. A-110 and Attachment I to OMB Circular No. A-102. Financial reporting requirements as per Attachment G of OMB Circular No. A-110 and Attachment H of OMB Circular No. A-102. Semi-annual reports on matching Funds and technical reports, as well as an annual review of the research completed and in progress at each Center of Excellence. The annual review focuses on the relevance, merit and direction of research efforts in the designated area, and negotiation of projects and budget for the next year. A symposium is required by the end of each 3-year period as part of close-out activities and serves to highlight research results and discuss plans for the next 3-year phase. The symposium helps satisfy legislative requirements to disseminate information.

Audits:

Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA calls for an audit of each Center every 3 years as a part of close-out activities, and reserves the right to conduct individual audits annually, and as needed, within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following termination of the Center of Excellence.

Account Identification:

69-8108-0-7-402.

Obligations:

(Grants) FY 07 12,000,000; FY 08 est \$8,500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Program started in fiscal year 1992. Grant assistance is expected to be a minimum of \$500,000 per year for each Center, in addition to IDIQ contract awards.

PROGRAM ACCOMPLISHMENTS:

(1) Program planning completed; (2) Agency Directive written and currently under revision; (3) A Center was established in 2003 for Aircraft Noise and Aviation Emissions Mitigation; (4) A Center was established in 2004 for Advanced Materials Research; (5) A Center was established in 2004 for Airliner Cabin Environment Research; and (6) The program currently reflects \$300,000,000 in contract awards, grants, and matching funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-133, A-122, A-110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 9550. When the FAA is soliciting for a Center of Excellence, a solicitation and application package containing the appropriate forms and directions will be available. A Notice of Solicitation Availability will be announced in Grants.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Patricia Watts, Ph.D, FAA Centers for Excellence Program Director, AAR-400, FAA Technical Center Office of Aviation Research, Atlantic City International Airport, NJ 08405. Telephone: (609) 485-5043. Fax: (609) 485-9430. patricia.watts@faa.gov.

Web Site Address:

http://www.coe.faa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Centers of Excellence in Computational Modeling of Aircraft Structures, Airport Pavement Research, Operations Research, Airworthiness Assurance, General Aviation, Aircraft Noise and Aviation Emissions Mitigation, Advanced Materials, and Airliner Cabin Environment Research.

CRITERIA FOR SELECTING PROPOSALS:

Public Law 101-508 has established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of needs of the region for improved air transportation services and facilities; (2) The demonstrated research and extension resources available to the applicant for carrying out this program; (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long-range and immediate air transportation problems; (4) The extent to which the applicant has an established air transportation program; (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program; and (6) The projects which the applicant proposes to carry out under the grant. Public Law 101-508 mandates geographic equity in location and funding of Centers of Excellence: therefore, geographic location may become an additional selection factor during the evaluation process.

20.200 HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C. Section 101 et seq., as amended.

OBJECTIVES:

To carry out the highway research and development program as authorized by SAFETEA-LU. To conduct research needed to maintain and grow our vital transportation infrastructure. SAFETEA-LU addresses the many challenges facing our transportation system today challenges such as improving safety, reducing traffic congestion, improving efficiency in freight movement, increasing intermodal connectivity, and protecting the environment as well as laying the groundwork for addressing future challenges. SAFETEA-LU promotes more efficient and effective Federal surface transportation programs by focusing on transportation issues of national significance, while giving State and local transportation decision makers more flexibility for solving transportation problems in their communities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Applicant Eligibility:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Beneficiary Eligibility:

State (State DOTs) Local Sponsored Organization General Public.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with SAFETEA-LU and the Office of Management and Budget (OMB) cost principles applicable to the recipient/sub-recipient.

Preapplication Coordination:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) This program is excluded from coverage under E.O. 12372.

Application Procedure:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, per directions contained in the RFAs, applicants submit applications online via www.Grants.gov by the due date specified in the RFA.

Award Procedure:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, FHWA evaluates applications and selects awardee(s).

Deadlines:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Range of Approval/Disapproval Time:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally 1 to 3 months after application submittal.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Cost sharing requirements for individual projects, as required by SAFETEA-LU, will be designated in the RFA.

Length and Time Phasing of Assistance:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in SAFETEA-LU.

Reports:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally quarterly progress reports and annual budget reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards from all sources during the fiscal year must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in

Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Project records and documents must be retained by the Recipient as specified in 2 CFR Part 15 (Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations) or 49 CFR 18.42 as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

Obligations are reported under Federal-aid Highway program totals.

Range and Average of Financial Assistance:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

PROGRAM ACCOMPLISHMENTS:

The two landmark bills that brought surface transportation into the 21st century the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA) and the Transportation Equity Act for the 21st Century (TEA-21) shaped the highway program to meet the Nation's changing transportation needs. SAFETEA-LU builds on this firm foundation, supplying the funds and refining the programmatic framework for investments needed to maintain and grow our vital transportation infrastructure.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways", 49 CFR, "Transportation", and 2 CFR, "Grants and Agreements".

Regional or Local Office:

State-level division offices of the FHWA (as listed in Appendix IV of the Catalog)

Headquarters Office:

Federal Highway Administration, Office of Acquisition Management (HAAM), 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Web Site Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction.

EXAMPLES OF FUNDED PROJECTS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

CRITERIA FOR SELECTING PROPOSALS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

20.205 HIGHWAY PLANNING AND CONSTRUCTION

(Federal-Aid Highway Program, Federal Lands Highway Program).

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C. 101 et seq., as amended.

OBJECTIVES:

Federal-aid Highway Program: To assist State transportation agencies in the planning and development of an integrated, interconnected transportation system important to interstate commerce and travel by constructing and rehabilitating the National Highway System (NHS), including the Eisenhower Interstate System; and for transportation improvements to most other public roads; to provide aid for the repair of Federal-aid highways following disasters; to foster safe highway design; to replace or rehabilitate deficient or obsolete bridges; and to provide for other special purposes. This program also provides for the improvement of roads in Puerto Rico, Guam, the U.S. Virgin Islands, American Samoa, the Northern Mariana Islands, and the Alaska Highway. The Federal Lands Highway Program (FLHP), as an adjunct to the Federal Aid Highway Program, provides assistance to the Federal Land Management Agencies (FLMAs) for Federally owned roads. It provides transportation engineering services for planning, design, construction, and rehabilitation of the highways and bridges providing access to federally owned lands. The Federal Lands Highway organization also provides training, technology, deployment, engineering services, and products to other customers.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Federal-aid highway funds are generally apportioned by statutory formulas to the States. They are generally restricted to roads open to the public and not functionally classified as rural minor collectors or local. Exceptions to this highway functional classification restriction include: planning and research activities; bridge, bicycle and pedestrian, and safety improvements that may be on any public road; transportation enhancement activities; the recreational trails program (see program 20.219); safe routes to school, nonmotorized

transportation, the FLHP, and public transportation improvement. The FLHP is not a grant program. For highway projects, funds may be used for environmental studies, engineering and design services, right-of-way acquisition and relocation assistance, and construction for capital improvement projects classified as new construction, reconstruction, restoration, rehabilitation, and resurfacing, or for functional, geometric, or safety reasons. Funds may also be used for planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation, traffic management and control improvements; improvements necessary to accommodate other transportation modes, development and establishment of transportation management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; transportation enhancements such as scenic and historic highway improvements; and recreational trails. Funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, or maintenance, unless it is preventative maintenance. Also, funds authorized for the NHS, Surface Transportation Program (STP), Congestion Mitigation and Air Quality (CMAQ) Improvement Program, Equity Bonus (BE) program, and some additional programs may be used for mass transportation improvements; CMAQ funds are limited to projects and programs in air quality, non-attainment and maintenance areas for ozone, carbon monoxide, and small particulate matter that reduce transportation related emissions. Eligibility criteria for the programs differ, so program guidance should be consulted. Projects in urban areas of 50,000 or more population must be based on a transportation planning process carried out by a Metropolitan Planning Organization (MPO) in cooperation with the State and transit operators, and the projects must be included in metropolitan transportation plans and improvement programs. Projects in non-metropolitan areas of a State must be consistent with a statewide transportation plan. Projects in both metropolitan and non-metropolitan areas must also be included in a fiscally constrained Statewide Transportation Improvement Program (STIP) developed as part of the required statewide transportation planning process. The FHWA and the Federal Transit Administration (FTA) must approve the STIP jointly.

Applicant Eligibility:

By law, the Federal-aid highway program is a federally assisted State program that requires each State to have a suitably equipped and organized transportation department. Therefore, most projects are administered by or through State transportation departments (State DOTs). Projects to be funded under the Federal-aid highway program are generally selected by State DOTs or MPOs, in cooperation with appropriate local officials, as specified in 23 U.S.C. and implementing regulations. Territorial highway projects are funded in the same manner as other Federal aid highway projects, with the territorial transportation agency functioning in a manner similar to a State transportation department. Most FLHP projects are administered by the FHWA Office of Federal Lands Highway and its Divisions or by the various FLMAs. Under the FLHP, projects in the Indian Reservation Road (IRR) Program are selected by Tribal governments and are approved by the Bureau of Indian Affairs (BIA) and the Federal Highway Administration. Due to recent legislation, Tribal governments meeting certain requirements may now administer various IRR projects on behalf of the BIA and FHWA. The Fish and Wildlife Service (FWS) and the National Park Service (NPS) select projects in the Refuge Road and Park Roads and Parkways programs, respectively. For the Forest Highway Program, the Forest Service, the States and the Federal Highway Administration jointly select projects.

Beneficiary Eligibility:

State transportation departments, and in some instances, Federal agencies, other State agencies, local agencies, and private, community-based organizations.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with Title 23 and the OMB cost principles applicable to the recipient/sub-recipient.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for most projects under this program, although the level of review varies with the project. Projects under the FLHP have similar requirements; however, an interested applicant would need to contact the designated FLMA or the local FLH Division for more information.

Application Procedure:

In general, projects/programs proposed for funding must be included in a fiscally constrained STIP, submitted by the State DOT, and approved jointly by the FHWA and the FTA. In addition, the State DOT may need to submit statements of work or plans, specifications, and estimates for certain proposed projects to the FHWA division office located in each State for approval. The FHWA has an agreement with each State that details the extent to which the State assumes the responsibilities of the FHWA for projects in that State. For projects on the Interstate System costing more than \$1 million, FHWA oversight of design and construction is required. For projects that are on the National Highway System

but not on the Interstate System, the State may assume the responsibilities of the FHWA for oversight of design and construction, unless the State or the FHWA determines that such assumption is not appropriate. For projects that are not on the National Highway System, the State shall assume the responsibilities of the FHWA for oversight of design and construction, unless the State determines that such assumption is not appropriate. For the discretionary portion of public lands highways, State DOTs submit desired projects to the FHWA division office located in each State. Following the appropriate project selection process, a Transportation Improvement Program (TIP) for the Park Roads and Parkways, Forest Highway and Refuge Road programs is developed by one of the Federal Lands Highway Division offices, and forwarded to the respective State for inclusion in the appropriate MPO TIP and STIP. For the Indian Reservation Roads program, a TIP is submitted to the FHWA Office of Federal Lands Highway for approval and then forwarded to the respective State for inclusion in the appropriate MPO TIP and STIP. Projects under the FLHP are subject to the metropolitan and statewide planning requirements.

Award Procedure:

The State DOTs generally decide which projects will be developed within funding levels but the FHWA division office located in each State makes the final decision on the eligibility of specific projects. There are several categories where funding is allocated at the discretion of the Secretary of Transportation and administered by the FHWA. Candidate projects for discretionary allocations are usually solicited before the start of the Federal fiscal year (October 1) and must be submitted by the State DOTs. FHWA approval of a project and execution of a project agreement constitute a commitment to pay the Federal share of the project's allowable costs. Projects administered by the FHWA Office of FLH or the FLMA's are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

There are no deadlines for applications for projects using apportioned funds. For discretionary project allocations, applications are usually considered late in the fiscal year before the year for which the funds are available. Allocations to specific projects are generally made early in the fiscal year. Contact Federal agency for deadline date(s). All allocations are made through the State DOT, which is advised of schedules for submission of candidates.

Range of Approval/Disapproval Time:

From 1 day to 5 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Most Federal-aid highway funds are distributed by statutory formula. Eligibility requirements for most programs are also determined by legislative criteria. Some categories, including part of the bridge and Interstate Maintenance funds, are distributed on a discretionary basis. The normal maximum Federal share is 90 percent for the EIS projects, and 80 percent for most other projects. The Federal share for some programs may be increased in the case of States with large areas of Federal lands. Some projects, including territorial highway projects, FLHP projects, certain safety improvements, and emergency relief projects require no matching of Federal funds.

Length and Time Phasing of Assistance:

Federal-aid highway funds generally become available at the beginning of the fiscal year for which they are authorized and must be obligated within 3 years after the close of that fiscal year. However, some categories of funds are available until expended.

Reports:

Except for projects that a state has oversight responsibility for, the FHWA division office in each State monitors and reviews State projects and programs during highway project location, design and construction. After the project is completed State maintenance of the project is reviewed periodically. Certain non-construction activities, such as transportation planning, require periodic progress reports. Similar procedures apply to the FLMA's and FLHP projects with the FHWA Office of FLH providing the mandatory stewardship and oversight. A value engineering analysis or other cost-reduction analysis is required for each project on the Federal-aid system with an estimated total cost of \$25,000,000 or more, a bridge project with an estimated total cost of \$20,000,000 or more; and any other project determined to be appropriate. Annual financial plans are required for projects with an estimated total cost of \$100,000,000 or more. In addition, a project management plan must be submitted for projects with an estimated total cost of \$500,000,000 or more.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards from all sources during their fiscal year must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State or other governmental recipients/ subrecipients as specified in 49 CFR Part 18 or by non-profit recipients/subrecipients as specified in 49 CFR Part 19. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

(Grants) FY 07 \$34,154,000; FY 08 est. \$40,068,000; and FY 09 est. \$37,849,000.

Range and Average of Financial Assistance:

From \$100,000 to \$3,505,000,000. Average \$700,000,000.

PROGRAM ACCOMPLISHMENTS:

The Federal-aid Highway Program has made significant contributions to the safer operation of the nation's highways, to the better intermodal connectivity of roads to other forms of transportation, and to the improvement of pavement and bridge conditions throughout the country, while helping to safeguard environmental conditions. It has provided funding for a wide range of alternatives to traditional highway construction projects to better serve transportation needs. It has developed and advanced critical new technologies, such as Intelligent Transportation Systems, and shared them with the States. The FLHP works to create the best transportation system in balance with the values of the Federal and tribal lands. The mission of the program is to improve transportation access to and within Federal and tribal lands and provide technical services to the highway community.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

State-level division offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or State transportation agencies.

Headquarters Office:

For Forest Highways, Indian Reservation Roads, Refuge Roads, and Park Roads and Parkways, contact the Associate Administrator for Federal Lands Highway, Federal Highway Administration, 1200 New Jersey Avenue, Room E61-202, S.E., Washington, DC 20590-0001. Telephone: (202) 366-9494. For all others, contact the Director, Office of Program Administration, Federal Highway Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590-0001. Telephone: (202) 366-0494.

Web Site Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.215, Highway Training and Education; 20.219, Recreational Trails Program; 20.500, Federal Transit Capital Investment Grants; 20.505, Federal Transit Metropolitan Planning Grants; 20.507, Federal Transit Formula Grants; 20.509, Formula Grants for Other Than Urbanized Areas; 20.600, State and Community Highway Safety; 23.003, Appalachian Development Highway System; 23.008, Appalachian Local Access Roads.

EXAMPLES OF FUNDED PROJECTS:

In fiscal year 2005, almost \$8 billion was obligated for projects to relieve congestion and improve safety on the National Highway System. Over \$443 million were obligated for Forest Highways, Public Lands Highways, Indian Reservation Roads, Refuge Roads, and Park Roads and Parkways in fiscal year 2007.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, most projects must be located on public roads that are not functionally classified as local. The major exceptions are the Highway Bridge Replacement and Rehabilitation Program, which provides assistance for bridges on and off the Federal-Aid highways; highway safety activities; bicycle and pedestrian projects, transportation enhancement activities, the recreational trails program, and planning, research, development, and technology transfer. Proposed projects meeting these and other planning, design, environmental, safety, etc., requirements can be approved on the basis of State and local priorities within the limit of the funds apportioned or allocated to each State.

20.215 HIGHWAY TRAINING AND EDUCATION

FEDERAL AGENCY:

NATIONAL HIGHWAY INSTITUTE, FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C. Section 101 et seq., as amended.

OBJECTIVES:

National Highway Institute (NHI) Training Program: To develop and administer, in cooperation with those in the highway community, educational, training, and technical assistance programs for the Federal Highway Administration, State and local highway agency employees, as well as the

private sector and members of the international organizations. Dwight David Eisenhower Transportation Fellowship Program (DDETFP): To attract qualified students to the field of transportation education and research, and advance transportation workforce development. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): To improve the preparation of students, particularly women and minorities, in science, technology, engineering, and mathematics through curriculum development and other transportation-related activities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships): Training; Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

States may use funds not to exceed one half of 1 percent of the amount apportioned to each State under Section 104(b)(3) for the surface transportation program for training their employees or employees of local transportation agencies. Such expenditures may not exceed 80 percent of the total cost of tuition and direct education expenses (excluding salaries) in connection with the education and training activities. Courses and study programs may be obtained from universities, other government agencies, the private sector, and/or the National Highway Institute.

Applicant Eligibility:

NHI Training Program: Employees of State and local transportation agencies. DDETFP: Some restrictions apply. Undergraduate (juniors and seniors at Minority Institutes of Higher Education (MIHE)) and graduate students matriculating full-time at a U.S. university or college in a transportation-related discipline. GAMTTEP: Local educational agencies and State educational agencies, which may enter into a partnership agreement with institutions of higher education, businesses, or other entities.

Beneficiary Eligibility:

None.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Dwight David Eisenhower Transportation Fellowship Program (DDETFP): Students may apply to the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI) to compete for three award categories; annually for the Eisenhower Graduate, Grants for Research Fellowships, and Eisenhower Intern Fellowships. The remaining Eisenhower Fellowships are competed at participating MIHEs. These include the Historically Black Colleges and Universities (HBCUs) Hispanic Serving Institutions (HSIs), and Tribal Colleges (TCs) and selected applications are forwarded to the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI) for final selection. A new fellowship category, the People with Disabilities (PWD) Eisenhower Fellowship (PWDEF) is currently competed at Gallaudet University, Washington, DC, and the selected application is forwarded to the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI) for final selection. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): Vendors may apply through Grants.Gov annually. The application process has not yet been finalized.

Award Procedure:

Awards are made annually for the academic year for the Dwight David Eisenhower Transportation Fellowship Program by the Chief, Partnership for Workforce Development and the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI) for all categories. Awards for the Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP) will be made on the basis of competitive review by the Director, National Highway Institute and the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI).

Deadlines:

NHI Training Program: None. DDETFP Graduate Fellowships and Grants for Research Fellowships: annually by mid-February; DDETFP HBCUs, HSIs, TCs, PWDs: annually by April 1st. Grants for Research Fellowships and Eisenhower Intern Fellowships: Open until filled. GAMTTEP: Varies, but usually March.

Range of Approval/Disapproval Time:

NHI Training Program: None. DDETFP: 3 months (applicants acceptance/rejection period).

Appeals:

None.

Renewals:

NHI Training Program: None. Dwight David Eisenhower Transportation Fellowship Program: as applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

DDETFP on-site assignments, 6 to 12 months with extension upon approval from the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI); Masters degree awards, up to 2 years, not to exceed 3 years, in duration; and PhD Awards, up to 3 years-not to exceed 5 years in

duration; and Bachelors degree, 1 year.

Reports:

DDETFP: Final output/product required upon completion of fellowship.

Audits:

1997-1998 audit.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

Obligations are reported under Federal-aid Highway program totals.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

NHI Training Program: 23 CFR, "Highways." DDETFP: The first guidelines for the DDETFP were issued in August 1992. National guidelines are reissued annually in the program announcement by the National Highway Institute.

Regional or Local Office:

State-level (division) offices of the Federal Highway Administration as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Partnership for Workforce Development, Federal Highway Administration, 4600 North Fairfax Drive, Suite 800, Arlington, Virginia 22203-1553. Telephone: (703) 235-0500. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): Program Manager, University and Grants Program, National Highway Institute, Federal Highway Administration, 4600 North Fairfax Drive, Suite 800, Arlington, VA 22203-1553. Telephone: (703) 235-0538.

Web Site Address:

<http://www.nhi.fhwa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

DDETFP: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions Fellowships, Tribal College Fellowships, People with Disabilities Fellowships, and FHWA program-related fellowships.

CRITERIA FOR SELECTING PROPOSALS:

Courses conducted fill major agency needs as defined by high priority areas, and are presented within time, staff, and budget constraints. DDETFP fellowships are awarded on competition on the basis of demonstrated merit, including pursuit of transportation related careers, academic credentials, academic recommendations, and study proposal.

20.218 NATIONAL MOTOR CARRIER SAFETY

(MCSAP)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Surface Transportation Assistance Act of 1982, Sections 401-408, Public Law 97-424; Motor Carrier Safety Act of 1991, Public Law 102-240; 49 U.S.C. App. 2301-2307; Transportation Equity Act for the 21st Century, Public Law 105-178.

OBJECTIVES:

To reduce the number and severity of accidents and hazardous material incidents involving commercial motor vehicles by substantially increasing the level and effectiveness of enforcement activity and the likelihood that safety defects, driver deficiencies, and unsafe carrier practices will be detected and corrected.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Assistance to States for the implementation of programs for the adoption and uniform enforcement of safety rules, regulations and standards compatible with the Federal Motor Carrier safety regulations and Federal hazardous materials regulations for both interstate and intrastate motor carriers and drivers.

Applicant Eligibility:

A qualified State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, Guam, or the Commonwealth of the Northern Marianas (a State).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. Submission of a State Plan addressing the commercial motor carrier and highway hazardous materials safety within the State. Cost will be determined in

accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Scheduled regional pre-planning conferences. This program is excluded from coverage under E.O. 12372.

Application Procedure:

File with FMCSA Division Office an FMCSA Form MCSAP-1, which includes a certification of eligibility, and the State Commercial Vehicle Safety Plan. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The amount of the basic MCSAP grant to a qualifying State will be determined by the Federal Motor Carrier Safety Administration based on the availability of funds, the eligibility of a State, and the formula set in 49 CFR 350. State will be required to sign a grant agreement.

Deadlines:

Application for a grant must be filed with the FMCSA Division Office by August 1 of each year.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

State will be notified in writing of failure to submit a satisfactory State Plan. State will have 30 days to modify and resubmit the plan.

Renewals:

Application for a grant must be made annually.

Formula and Matching Requirements:

The MCSAP formula is described in 49 CFR 350.323 and is based on the most current approved statistics available. Most funds will be allocated each year among the States according to a formula based on four equally weighted (25 percent) factors: (1) road miles (all highways) as defined by the FHWA; (2) All vehicle miles traveled as defined by the FHWA; (3) Population - annual census estimates as issued by the U. S. Census Bureau; and (4) special fuel consumption (net after reciprocity adjustment) as defined by the FHWA. The Federal share shall not exceed 80 percent of the approved total project amount. The State must maintain the average level of expenditure of the State and its political subdivisions (not including amounts of the Government or State matching funds) for commercial motor vehicle safety programs, for enforcement of commercial motor vehicle size and weight limitations, drug interdiction, and State traffic safety laws and regulations for the 3 full fiscal years beginning after October 1 of the year 5 years prior to the beginning of each Government fiscal year. The State's share is at least 20 percent. The FMCSA shall, upon request, waive the requirement for matching funds to be provided by the Virgin Islands, American Samoa, Guam, or the Commonwealth of the Northern Marianas. Additional funds are allocated under the provisions of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users.

Length and Time Phasing of Assistance:

Grants are available for up to 1 year. Obligations to a State will remain available for expenditure for the fiscal year in which they were obligated and the next full fiscal year.

Reports:

A quarterly Activity Report is to be filed with the FMCSA Division Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, "nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records of all program activities covered in the State's Safety Plan and statistics pertaining to commercial motor vehicle accidents. Such records must be kept for 3 years following the year of funding.

Account Identification:

69-8048-0-7-401.

Obligations:

FY 07 \$197,000,000; FY 08 est \$202,000,000; FY 09 est not reported.

Range and Average of Financial Assistance:

Not less than 0.44 percent or more than 4.94 percent of available funds for basic program grants.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the program supported approximately 10,000 full and part-time State personnel nationwide who performed approximately 3 million inspections, resulting in increased uniformity in the enforcement of motor vehicle safety regulations throughout the United States and the promotion of commercial vehicle safety. Training was provided for State enforcement personnel through the delivery of commercial vehicle safety courses reaching over 13,000 State personnel nationwide. The program also supported national commercial vehicle safety activities related to inspection process improvements, data collection and analysis, judicial outreach, new entrant safety audits and drug interdiction.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines, and Literature: 49 CFR 1.48. 49 CFR 386-399,

Federal Motor Carrier Safety regulations; 49 CFR 100-180, Hazardous Materials Regulations, Government Printing Office, \$14-\$27 per volume.

Regional or Local Office:

State-level office of the Federal Motor Carrier Safety Administration, as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Motor Carrier Safety Administration, State Programs, MC-ESS, 400 Seventh Street, SW., Washington, DC, 20590. Telephone: (202) 366-9579.

Web Site Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Roadside driver and vehicle inspections.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must meet the statutory and administrative requirements contained in 49 CFR 350. The State Plan must provide for an effective commercial vehicle safety program.

20.219 RECREATIONAL TRAILS PROGRAM

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C. 104, 206.

OBJECTIVES:

To provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise non motorized trails. The USDOT encourages States to enter into contracts and cooperative agreements with qualified youth conservation or service corps to perform construction and maintenance of recreational trails under this program.

Applicant Eligibility:

The Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses.

Beneficiary Eligibility:

The State agency designated by the Governor.

Credentials/Documentation:

Allowable costs will be determined in accordance with applicable cost principles listed in 49 CFR Part 18.22 for the kind of organization receiving the grant or subgrant.

Preapplication Coordination:

Some States require preapplication submittals. Trail and trail-related projects must be planned and developed under the laws, policies, and administrative procedures within each State, and be identified in, or further a specific goal of, a recreational trail plan, or a statewide comprehensive outdoor recreation plan required by the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601 4 et seq.), that is in effect. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The States must submit project proposals to the FHWA division office located in each State for approval. The State may submit individual projects or consolidate similar projects for the purposes of program approval. FHWA approval

constitutes a commitment to pay the Federal share of the project's cost. The State's projects also must be included in State transportation improvement programs and applicable metropolitan transportation improvement programs.

Award Procedure:

The State agency or agencies designated by the Governors decide which projects will be developed within funding levels, but the FHWA division office located in each State makes the final decision on the eligibility of specific projects for funding.

Deadlines:

There are no Federal deadlines for funding requests. Each State establishes deadlines for projects within that State.

Range of Approval/Disapproval Time:

From 5 days to 5 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funds are distributed to the States by statutory formula: 50 percent of the funds are apportioned equally among all States, and 50 percent are apportioned in proportion to the estimated amount of non highway recreational fuel use in each State. In general, the Federal share is determined in accordance with 23 U.S.C. 120(b): generally 80%, with higher Federal shares in States with larger proportions of Federal lands. A Federal agency project sponsor may provide additional Federal funds, provided the total Federal share does not exceed 95 percent. Funds from any other Federal program may be used for the non Federal match if the project also is eligible under the other program. Recreational Trails Program funds may be used to match other Federal funds if the project also is eligible under the other program. States also may allow a programmatic match: if some project sponsors in a State provide more match funds than required, other sponsors in the State may provide less. Some in-kind materials and services may be credited toward the project match. States may allow some pre-approval planning and environmental assessment costs toward the project match.

Length and Time Phasing of Assistance:

Funds generally become available at the beginning of the fiscal year for which they are authorized, and must be obligated within 3 years after the close of that fiscal year.

Reports:

Generally, the FHWA division office in each State monitors and reviews State projects and programs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of State, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 in a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State for 3 years following the final submission for Federal payment in accordance with 49 CFR 18.41.

Account Identification:

69-8083-0-7-401.

Obligations:

(Grants) Obligations are reported under Federal-aid Highway program totals.

Range and Average of Financial Assistance:

Apportionments to the States are based on statutory formula. All 50 States and the District of Columbia are eligible to receive apportionments. For fiscal year 2007 funds ranged from \$727,059 to \$5,555,406; the average was \$1,473,725 (however, some States rescinded funds). For fiscal year 2008 funds ranged from \$776,078 to \$6,037,429, but were subject to rescissions resulting about an 11.5 percent decrease.

PROGRAM ACCOMPLISHMENTS:

States selected projects located on Federal, State, local, and private lands for all kinds of recreational trail uses including projects such as: grooming snow trails, maintaining trails, constructing nature trails, constructing urban multiple use trails, developing rail-trails, repairing motorized and non motorized trails, constructing and rehabilitating trail bridges, improving trail access for people with disabilities, developing trailside and trailhead facilities, assessing trails for accessibility and maintenance, improving trail information and signs, and providing trail safety patrols and trail-related training. Many projects use youth conservation or service corps.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, Highways and 49 CFR, Transportation. There are no regulations specifically for this program. Program guidance was completed on April 1, 1999; and is posted at <http://www.fhwa.dot.gov/environment/rectrails/guidance.htm> along with program updates.

Regional or Local Office:

State-level offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or the State agency designated by the Governor to administer this program.

Headquarters Office:

Christopher B. Douwes, Office of Planning and Environment (HEPN-50), Federal Highway Administration, 1200 New Jersey Ave S.E., Washington DC 20590. Telephone: (202) 366-5013. Fax: 202-366-3409. E-mail: christopher.douwes@dot.gov.

Web Site Address:

<http://www.fhwa.dot.gov/environment/rectrails.html>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction.

EXAMPLES OF FUNDED PROJECTS:

Trail projects to develop and maintain recreational trails and trail-related facilities for both non motorized and motorized recreational trail uses. Examples include maintenance and construction of trails for hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles; development and rehabilitation of trailside and trailhead facilities; operation of educational projects and programs to promote safety and environmental protection related to recreational trails; State administrative costs related to this program. Many projects use youth conservation or service corps. See specific project examples at: Award winning projects: <http://www.americantrails.org/awards/CRTawards.html> Project database: <http://www.funoutdoors.info/rtrphone.html>.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, projects must be selected on the basis of State priorities within the limit of the funds apportioned to each State.

20.223 TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION ACT (TIFIA) PROGRAM

(TIFIA Credit Program)

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

23 U.S.C. 601-609; 49 CFR 80.

OBJECTIVES:

The TIFIA program's purpose is to finance projects of national or regional significance by filling market gaps and leveraging substantial non-Federal and private co-investment. TIFIA credit assistance is intended to facilitate the financing of projects that would otherwise have been significantly delayed because of funding limitations or difficulties accessing the capital markets. Through TIFIA, the DOT provides Federal credit assistance to eligible highway, transit, rail, and intermodal freight projects, including access to seaports.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Highway, transit, rail, freight facilities, and certain port projects may receive credit assistance through the TIFIA program. The TIFIA statute requires all projects receiving TIFIA assistance to comply with 23 U.S.C. (for highway projects) and chapter 53 of 49 U.S.C. (for transit projects), as applicable. In addition, all projects receiving TIFIA assistance must comply with generally applicable Federal laws and regulations, including title VI of the Civil Rights Act of 1964, the National Environmental Policy Act of 1969, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

Applicant Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project may apply for TIFIA assistance. Examples of such entities include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Beneficiary Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project. Examples include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant.

Preapplication Coordination:

To begin the application process, an applicant should submit to the DOT a Letter of Interest, not to exceed 10 pages. A Letter of Interest template can be found at <http://www.tifia.fhwa.dot.gov/>; it identifies the specific information that must be provided. The DOT requests that applicants submit the Letter of Interest by attaching it via email to TIFIAcredit@dot.gov. This letter serves to familiarize the DOT with basic information relating to the project and the applicant. It also permits the DOT and the applicant to ensure that the project meets the basic eligibility requirements for participation. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Upon receiving the DOT's notification that the project meets the basic eligibility criteria, the applicant may submit an application. An application fee, currently \$30,000, must be submitted with the proposal. The application form is available on the TIFIA web site at <http://tifia.fhwa.dot.gov/>.

Award Procedure:

Final approval is granted by the Secretary of Transportation.

Deadlines:

None.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 60 days. The average time to execute a TIFIA credit agreement from the date of Secretarial approval in FY 2006 was 4.3 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The principal amount of the requested credit assistance must not exceed 33 percent of eligible project costs. Applicants should calculate and represent all costs, including both eligible project costs and the credit assistance request, on a cash (year-of-expenditure) basis.

Length and Time Phasing of Assistance:

The maximum maturity of all TIFIA credit instruments is 35 years after a project's substantial completion.

Reports:

Each credit agreement between the DOT and a borrower will specify the types of on-going documentation required by the DOT and the frequency of such information requests. The credit agreement will also authorize the DOT to commence increased monitoring and reporting, as may be necessary, to ensure the continued credit quality of the project and minimize the government's risk.

Audits:

See Index of Current Regulations, Federal Highway Administration.

Records:

Documentary evidence that may be requested for each project includes: audited financial statements, updated budget and cash flow projections, audit reports, sources and uses of funds, coverage ratios, project schedules, operating statistics, and management updates (within no more than 180 days following the recipient's fiscal year-end). In addition, the credit agreement obligates the borrower to provide the DOT an annual update to the project's financial plan in accordance with specified requirements. Each borrower will be required to give notice to the DOT of material events, including litigation, which could affect project development or the credit quality of the project.

Account Identification:

69-4123-0-3-401; 69-4145-0-3-401; 69-4173-0-3-401.

Obligations:

FY 07 \$782,000,000; FY 08 est \$2,391,000,000; FY 09 est not available.

Range and Average of Financial Assistance:

Historically, loans have ranged from \$42 million to \$917 million.

PROGRAM ACCOMPLISHMENTS:

For FY 2007, as of March 31, 2007, one project loan in the amount of \$516 million has been approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

See TIFIA website at <http://tifia.fhwa.dot.gov/> Legislation and Regulations, Program Guidance and application Materials, and Background Reference.

Regional or Local Office:

Not applicable.

Headquarters Office:

Room 4310, 400 7th Street, S.W., Washington, DC 20590.

Web Site Address:

<http://tifia.fhwa.dot.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples include \$917 million loan for the Central Texas Turnpike, \$215 million loan for the Cooper River Bridge, \$600 million loan guarantee for the Washington DC Metro Capital Improvement Program, \$159 million loan for the Staten Island Ferries and Ferry Terminals, \$439 million in two loans for the Miami Intermodal Center.

CRITERIA FOR SELECTING PROPOSALS:

The DOT has assigned specific weights to the selection criteria, as follows: National or Regional Significance (20 percent); Private Participation (20 percent); Environment (20 percent); Project Acceleration (12.5 percent); Creditworthiness (12.5 percent); Use of Technology (5 percent); Consumption of Budget Authority (5 percent); Reduced Federal Grant Assistance (5 percent).

20.231 PERFORMANCE AND REGISTRATION INFORMATION SYSTEMS MANAGEMENT

(PRISM)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Congress authorized funding for The Performance and Registration Information Systems Management Program (PRISM) through the Motor Carrier Safety Act of 1991, Public Law 102-240; the Transportation Equity Act for the 21st Century of 1998, Public Law 105-178; and The Flexible, Efficient Transportation Equity Act of 2006, Public Law 109-59.

OBJECTIVES:

To identify motor carriers responsible for the safety of commercial motor vehicles and monitor the safety fitness of those motor carriers by linking the vehicle registration process to safety performance monitoring and enforcement. Furthermore, causing the carrier to improve their safety performance through an improvement process, and where necessary, the application of registration sanctions.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Assistance to States for the implementation of the PRISM program, including training, outreach, and the development of system requirements linking motor carrier safety to the States commercial vehicle registration processes. This process allows States to capture the USDOT number of the motor carrier responsible for the safety of each vehicle at the vehicle level during registration.

Applicant Eligibility:

A qualified State of the United States and the District of Columbia.

Beneficiary Eligibility:

States.

Credentials/Documentation:

Submission of a State grant request and PRISM Implementation Plan addressing the program requirements outlined in the PRISM Implementation Guide.

Preapplication Coordination:

At the State's request, FMCSA will conduct an initial briefing of the PRISM program for State officials. If a State decides to join PRISM, FMCSA requests they state their intentions to implement the program in a Letter of Intent to FMCSA. A two-day in-depth PRISM training session is then scheduled with the respective State to provide a general overview of the program and a thorough review of the grant requirements included in the PRISM Implementation Guide. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

File with the FMCSA Division Office a Letter of Intent, or a SF 424 Short Form. The State's PRISM Implementation Plan specifying how the State will meet the program requirements, time frames for completion, and associated costs. A sample Letter of Intent, together with a SF 424 Short and a sample State Implementation Plan are available on the [grants.gov](http://www.grants.gov) website <http://www.grants.gov>.

Award Procedure:

The amount of the PRISM grant funding allocated to a qualifying State will be determined by the Federal Motor Carrier Safety Administration based on the assessed needs of the State, the eligibility of the State, and the availability of funds. Once the State's PRISM Implementation Plan has been approved, the grant will be awarded and the State will be required to sign a grant agreement with FMCSA.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

From 21 to 60 days.

Appeals:

States will be notified via the Division Administrator of failure to submit a satisfactory PRISM Implementation Plan. States will then be requested to modify and resubmit the plan for approval.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. PRISM grants are 100 percent federally

funded and require no State matching of funds.

Length and Time Phasing of Assistance:

Grants are available for up to two years. Obligations to a State will remain available for expenditure during the two-year period specified in the grant agreement and may be extended under special circumstances with FMCSA approval.

Reports:

Quarterly activity reports, including a summary of grant expenditures, are required to be filed with the FMCSA Division Office.

Audits:

When a State has fully implemented the PRISM Program an Implementation Review is scheduled to ensure that States meet the requirements of the PRISM Program. Items needing modification are identified for corrective action with progress monitored on a bi-annual basis.

Records:

Records of all program activities covered in the State's PRISM Implementation Plan and records pertaining to other supporting activities must be kept for 3 years following the year of funding.

Account Identification:

69-8048-0-7-401.

Obligations:

FY 07 Grants \$5,000,000; Contracts \$1,000,000; FY 08 \$5,000,000; and FY 09 \$5,000,000.

Range and Average of Financial Assistance:

Financial assistance averages approximately \$500,000 per State. Funding is determined based upon individual State needs for full implementation of the program.

PROGRAM ACCOMPLISHMENTS:

As Of July 1st 2008 46 States plus the District of Columbia have signed grant agreements with the FMCSA to implement the PRISM program, including: AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, ME, MN, MO, MS, MT, NH, NJ, NC, NE, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, and WY. On average it takes approximately 2 to 2 1/2 years for a State to acquire the necessary hardware and software required to build, populate, and maintain the necessary DMV PRISM data files to fully realize the potential of PRISM. Twenty nine States are presently PRISM capable, with four states in the process of implementation and nine states and DC committed to implement the program at their discretion sometime in the future. PRISM states that actively exercise their authority to deny, revoke, and or suspend a carriers registration include: AL, AR, CT, GA, IA, IN, KY, ME, MN, MO, NC, NE, NH, NM, OH, OK, PA, RI, SC, SD, TN, TX, UT, VT, WA, and WV.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Implementation Guide, Procedures Manual, and System Specifications for the PRISM program maybe obtained by contacting PRISMTechnicalSupport@Volpe.dot.gov. Regulations, Guidelines, and Literature: 49 CFR 1.48, 49 CFR 386-399, Federal Motor Carrier Safety regulations; 49 CFR 100-180, Hazardous Materials Regulations, Government Printing Office, \$14-\$27 per volume.

Regional or Local Office:

State Division Offices of the Federal Motor Carrier Safety Administration, as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Motor Carrier Safety Administration, Office of Enforcement and Compliance, MC-ECE, 1200 New Jersey Ave, S.E., Washington, DC, 20590. Telephone: (202) 366-9699.

Web Site Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State improvements to data information and registration systems, bar-coding, training and outreach activities.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must submit and receive formal approval of a State PRISM Implementation Plan specifying how the State will meet the program requirements, time frames for completion, and associated costs of the program.

20.232 COMMERCIAL DRIVER LICENSE STATE PROGRAMS

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 521, 3136, 31301 et seq., 31502; Section 103, Public Law 106-159, 113 Stat. 1753; Section 214 of Public Law 106-159, 113 Stat. 1766; 49 CFR 1.73. Section 31313 of Public Law 109-59.

OBJECTIVES:

To help or prevent truck and bus accidents, fatalities, and injuries by requiring

drivers to have a single commercial motor vehicle license and by disqualifying drivers who operate commercial motor vehicles in an unsafe manner.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A State may use grants only for expenses directly related to its compliance with section 31311 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU - Public Law 109-59); except that a State that is making good faith efforts toward substantial compliance with the requirements of 31311 and 31313 of SAFETEA-LU may use grants to improve implementation of the State's commercial driver's license program, including expenses for computer hardware and software, publications, testing, personnel, training, fraud prevention, and quality control. The grant may not be used to rent, lease, or buy land or buildings.

Applicant Eligibility:

Only those agencies in each State or the District of Columbia that is designated as the primary driver licensing agency responsible for the administration of the CDL program may apply.

Beneficiary Eligibility:

States may receive grant funds to comply with the requirements of section 31311 of SAFETEA-LU or if making good faith efforts toward substantial compliance with the requirements of section 31311 and 31313 receive grant funds to improve implementation of the commercial driver's license program.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. States must submit a self assessment of their CDL program and agree to maintain CDL program expenditures at a level at least equal to the average of the past two State fiscal years that ended prior to August 10, 2005. The State is also required to submit a self-assessment of its CDL program that addresses and supports the specific requests indicated in their application for a grant. Costs will be determined in accordance with OMB Circular A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov after approval by the State's FMCSA Division Office.

Award Procedure:

The amount of the CDL grant to a qualifying State will be determined by the CDL Division based on the documented needs of the State and the availability of funds. The Federal Register Notice will outline the current year's priorities. All proposals will be evaluated by a panel to determine which proposals have the greatest impact on the effectiveness of the CDL program in improving highway safety and reducing commercial motor vehicle related fatalities. States will be required to sign a grant agreement.

Deadlines:

Grant applications must be approved by the FMCSA Division Administrator in the State and submitted via grants.gov by the date indicated in the Federal Register Notice.

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

States will be notified of a denial of funding of their grant application. If denied funding, a State may revise and resubmit their application within 30 days. FMCSA will consider their revised application and may award a grant based on remaining funds at that time of consideration.

Renewals:

A new grant application must be made whenever a new Federal Register Notice is published.

Formula and Matching Requirements:

CDL Program Improvement grants are 100 percent federally funded and require no State matching of funds.

Length and Time Phasing of Assistance:

Grants are available for up to one year. Obligations to a state remain available until expended.

Reports:

Project activity reports and financial status reports (SF-269) must be submitted quarterly to the FMCSA Division office.

Audits:

In accordance with OMB Circular A-133, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards must have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for three years following the year of funding.

Account Identification:

69-8158-0-7-401.

Obligations:

FY 07 \$25,000,000; FY 08 \$25,000,000; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

Fiscal Year 2008 award range: \$46,775 - \$1,604,089; award median: \$409,971.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2003, the program supported six States in connecting to the Social Security Administration's (SSA) on-line and batch verification system. Currently 42 States are using the SSA on-line and batch verification system. With States instituting this check they were able to eliminate one potential means of fraudulently obtaining a Commercial Drivers License. As of fiscal year 2007, the program has helped jurisdictions meet substantial compliance with the Motor Carrier Safety Improvement Act of 1999.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 386-399; 49 CFR 100-180.

Regional or Local Office:

State-level office of the Federal Motor Carrier Safety Administration, as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Motor Carrier Safety Administration, Office of Safety Programs, CDL Division (MC-ESL), 1200 New Jersey Avenue, S.E., Washington DC 20590. Telephone: (202) 366-3030.

Web Site Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

20.238, Commercial Drivers License Information System.

EXAMPLES OF FUNDED PROJECTS:

Social Security online verification; covert and overt monitoring operations for third party testers and State examiners; automated knowledge tests; conviction automation projects; examiner and third party tester education and training.

CRITERIA FOR SELECTING PROPOSALS:

The set of criteria for evaluating proposals is outlined in the Federal Register notice.

20.233 BORDER ENFORCEMENT GRANTS

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Feasible, and Efficient Equity Act, 49 U.S.C. Section 31107.

OBJECTIVES:

To ensure motor carriers operating commercial vehicles entering the United States from a foreign country are in compliance with commercial vehicle safety standards and regulations, financial responsibility regulations and registration requirements of the United States, and to ensure drivers of those vehicles are qualified and properly licensed to operate the commercial vehicle.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Assistance is provided to entities and States that share a land border country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects.

Applicant Eligibility:

Entities and States that share a land border with a foreign country.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Certification by the entity or State that it meets the conditions for grant approval and the State agrees that the total expenditure of amount of the State and its political subdivisions, exclusive of Federal amounts, for carrying out border commercial motor safety programs and related enforcement activities will be maintained at a level at least equal to the average level of that expenditure by the State for the last two fiscal years prior to October 1, 2005.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

File with the Federal Motor Carrier Safety Administration (FMCSA) SF-424 and SF424A forms, a certification that the State meets all eligibility requirements, and a State Border Enforcement Plan.

Award Procedure:

The amount of the award to a qualifying applicant will be based on a panel review of all applications, recommendation of the panel, and funding availability. Applicants will be required to sign a grant agreement.

Deadlines:

Applications must be filed with a FMCSA Division office in accordance with FMCSA guidance issued through a Federal Register notice.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Applicants will be notified in writing by the Division Administrator if the application is not approved. There is no appeal process.

Renewals:

Eligible applicants must submit a new grant application to receive additional funding.

Formula and Matching Requirements:

The Federal share of the grant is 100 percent of the expenditures approved in the State's Border Enforcement Plan provided the required maintenance of expenditure amounts are met.

Length and Time Phasing of Assistance:

Funds are available for two fiscal years. Amounts not expended at the end of two years will revert to FMCSA for reallocation.

Reports:

Quarterly activity reports, and a program evaluation report at the completion of the program, to be filed with the FMCSA Division office.

Audits:

In accordance with the provisions of OMB Circular A-133, nonfederal entities that expend financial assistance of \$500,000 or more in any one year shall have a single or program-specific audit conducted for that year. Nonfederal entities that spend less than \$500,000 are exempt from Federal audit requirements except as noted in the OMB circular.

Records:

Records of all programs activities covered in the State's Border Enforcement Plan must be kept for three years after the grant is closed.

Account Identification:

69-8158-0-7-401.

Obligations:

FY 07 \$32,000,000; FY 08 est \$32,000,000; FY 09 est not reported.

Range and Average of Financial Assistance:

New program, data not yet available.

PROGRAM ACCOMPLISHMENTS:

New program, data not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 386-399; 49 CFR 100-180; 49 CFR 19. Publications available through the Government Printing Office.

Regional or Local Office:

Division Office of FMCSA as listed in Appendix IV of the Catalog.

Headquarters Office:

General Motor Carrier Safety Administration, Borders Division, MC-ESB, 400 Seventh Street SW, Washington DC 20590. Telephone: (202) 366-4049.

Web Site Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

20.217, Motor Carrier Safety; 20.218 National Motor Carrier Safety.

EXAMPLES OF FUNDED PROJECTS:

New program, information not yet available.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must meet the requirement contained in the SAFETEA Act. Additionally the State must submit an application including a State Border Enforcement Plan consistent with the national criteria identified the FMCSA.

20.234 SAFETY DATA IMPROVEMENT PROGRAM

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 31102.

OBJECTIVES:

The objectives are to fund State programs to improve the overall quality of commercial motor vehicle (CMV) data, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of truck and bus crash and inspection data.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Examples of uses for funding include: hire staff to manage data quality improvement programs, revise outdated crash report forms, hire staff to code and enter safety data, train law enforcement officers in collecting crash data, develop software to transfer data from the State repository SAFETYNET and purchase software for field data collection and data transfer.

Applicant Eligibility:

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the fifty States and the District of Columbia. The State agency must clearly articulate a goal that is related to the quality of State CMV crash and inspection data. Project objectives must be clearly articulated, time-limited, action-oriented, measurable and achievable. Project plans must include performance measures for monitoring the improvement in the quality of State-reported CMV safety data.

Beneficiary Eligibility:

Federal, State and local entities are the beneficiary.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O.12372.

Application Procedure:

Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp. Registration with grants.gov may take two to five days before the system will allow access to the grant application package. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available.

Deadlines:

Application deadlines are posted to Grants.gov and are included in the application package. Grant awards are contingent upon Congressional approval of Fiscal Year budgets.

Range of Approval/Disapproval Time:

If basic eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Appeals will be considered upon demonstration that the proposal meets SaDIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

The recipient may request monthly reimbursements of costs incurred in the performance of the grant-funded project, as are allowable under the applicable cost provisions under 49 CFR Part 18. The cost sharing is 20% State contribution and 80% Federal contribution.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 18 months; grant program extensions are allowable and funds are available until expended.

Reports:

The recipient shall submit quarterly reports on the 15th of the month following the end of the quarter being reported.

Audits:

The recipient is responsible for ensuring that independent audits are conducted in accordance with the existing Federal auditing and reporting standards set forth in OMB Circular A-133. The audit report must be submitted to the FMCSA to support the indirect cost proposal.

Records:

The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data. The FMCSA shall have rights pursuant to 49 CFR Part 18 Section 18.34.

Account Identification:

69-8055-0-7-401.

Obligations:

FY 07 \$3,000,000; FY 08 \$3,000,000; and FY 09 \$3,000,000.

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000. Average amount of award \$250,000.

PROGRAM ACCOMPLISHMENTS:

Improve the overall quality of CMV crash and inspection data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104.

Regional or Local Office:

Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>)

Headquarters Office:

Betsy Benkowski, State Safety Data Quality Program Manager; Office of Analysis, Research and Technology-Analysis Division (MC-RRA); FMCSA 1200 New Jersey Avenue, S.E., W68-324, Washington, DC 20590; Telephone: (202) 366-5387.

Web Site Address:

E-mail: betsy.benkowski@dot.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See "Uses and Use Restrictions" above.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are defined in the evaluation criteria included with the full application package available on Grants.gov; criteria are based on the defined goals and objectives included in, and the feasibility and cost

effectiveness of, the proposed project plan.

20.235 COMMERCIAL MOTOR VEHICLE OPERATOR TRAINING GRANTS

(Federal Motor Carrier Safety Administration (FMCSA), Department Of Transportation)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59.

OBJECTIVES:

To promote the safe operation of commercial motor vehicles (as defined in 49 U.S.C. 31301) through the training of operators and future operators of such vehicles.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Assistance to persons who train operators and future operators of commercial motor vehicles (as defined in 49 U.S.C. 31301) in the safe operation of such vehicles.

Applicant Eligibility:

State governments, local governments, and accredited postsecondary educational institutions (public or private) such as colleges, universities, vocational-technical schools and truck driver training schools.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

State government agencies, local government agencies or accredited postsecondary educational institutions recognized by the U.S. Department of Education. The list of accredited postsecondary educational institutions is available on the U.S. Department of Education website at www.ed.gov.

Preadmission Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

File application package (SF-424, SF-424A, SF-424B, and project plan) with FMCSA Division office in the State where the applicant is located or electronically through Grants.gov (www.grants.gov), including a detailed project proposal, following procedures in accordance with FMCSA guidance issued through a Federal Register notice.

Award Procedure:

The amount of award to a qualified applicant will be at the discretion of FMCSA based upon a panel review of all applications, recommendations of the review panel, and funding availability. Successful applicants will be required to sign a grant agreement with FMCSA.

Deadlines:

Applications must be filed with an FMCSA Division office or through Grants.gov in accordance with FMCSA guidance issued through a Federal Register notice.

Range of Approval/Disapproval Time:

60-90 days.

Appeals:

Applicants will be notified in writing by FMCSA if the application is not approved. There is no appeal process.

Renewals:

Eligible applicants must submit a new grant application to receive additional funding.

Formula and Matching Requirements:

The Federal share of the grant shall not exceed 80 percent of the approved expenditures in the project plan. A 20 percent matching share by the recipient is required.

Length and Time Phasing of Assistance:

Grants are available for the fiscal year in which obligated plus the next full fiscal year. Amounts not expended by the end of this period will revert back to FMCSA for reallocation.

Reports:

Quarterly activity reports are required to be filed with the FMCSA Division office in the State where the recipient is located. A program evaluation report is also required to be submitted no later than 90 days after completion of the grant period.

Audits:

In accordance with the provisions of revised OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities, including institutions of higher education, that expend \$500,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from the Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Records of all program activities, including financial and programmatic records, statistical records and any other records, covered in the approved project plan must be kept for a period of 3 years after the grant is closed.

Account Identification:

69-8159-0-7-401.

Obligations:

FY 07 \$1,000,000; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

FY 05 \$1,000,000 (single award).

PROGRAM ACCOMPLISHMENTS:

New program; no data yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 18; 49 CFR 19; 49 CFR 20; 49 CFR 386-399, Federal Motor Carrier Safety Regulations; 49 CFR 100-180, Hazardous Materials Regulations, available through Government Printing Office.

Regional or Local Office:

FMCSA Division office as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Motor Carrier Safety Administration, State Programs Division, MC-ESS, 400 Seventh Street, SW., Washington, DC, 20590. Telephone: 202-366-9579.

Web Site Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grant awarded to enhance the State of Florida's commercial motor vehicle operator training program through community vocational education (FY 05).

CRITERIA FOR SELECTING PROPOSALS:

Applicant must submit an application including a detailed project plan consistent with criteria identified by the FMCSA. The plan must provide for the effective administration of a commercial motor vehicle operator training program.

20.236 THERMAL IMAGING INSPECTION SYSTEM DEMONSTRATION PROJECT

(TIIS Demonstration Project)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA),
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Act of 2005, Title V, Subtitle E, Section 5513(a), Public Law 109-59.

OBJECTIVES:

The Secretary of Transportation shall carry out a demonstration project that uses a thermal imaging inspection system (TIIS) that leverages state-of-the-art thermal imagery technology, integrated with signature recognition software, providing the capability to identify, in real time, faults and failures in tires, brakes and bearings mounted on commercial motor vehicles.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds under this project shall be used to employ a TIIS in a field environment, along the Interstate, to further assess the system's ability to identify faults in tires, brakes, and bearings mounted on commercial motor vehicles; to establish, through statistical analysis, the probability of failure for each component; and to develop and integrate a predictive tool into the TIIS, which identifies an impending tire, brake, or bearing failure and provides the use a time frame in which this failure may occur. This project shall be conducted at multiple sites including the FMCSA's Roadside Test Lab located at an operational weigh station on Interstate 81 in Eastern Tennessee. The project shall involve the participation of the Tennessee Department of Safety.

Applicant Eligibility:

The following entities are eligible to apply for funding under this project: Public nonprofit institution/organizations, Federal agencies and laboratories, Profit organizations, Private nonprofit institutions/organizations, and Other private institutions/organizations. Institutions of higher education are eligible may include public, private, or State colleges and universities. State and local governments are not eligible to apply.

Beneficiary Eligibility:

State, Public Nonprofit Institution/Organization, Other Public Institution/organization, Profit Organization, Private Organization, Quasi-Public Nonprofit Organization, Other Private Institution/organization, Education Professional, Student/Trainee, Graduate Student, Scientists/Researcher, Engineer/Architect, Builder/Contractor/Developer, Industrialist/Business Person.

Credentials/Documentation:

None.

Preapplication Coordination:

None. The Secretary may enter a cooperative agreement with a public or private organization including institutions of higher education that has the most responsive proposal to the objectives of this project. The applicant must submit an application form (SF-424, SF-424A, and SF-424B) and proposal no later than June 30, 2006 to the FMCSA Office of Research and Analysis, Technology Division. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit an application form (SF-424, SF-424A, and SF-424B) via www.grants.gov no later than 30 days after the Federal Register Notice for this project is published. The estimated publishing date for the Federal Register notice is by the end of June, 2006. Applicants must register with www.grants.gov in order to submit grant applications. Applicants are strongly encouraged to register well before the application deadline since this one-time registration process can take several days to complete.

Award Procedure:

A grant agreement will be awarded to the most responsive proposal within 60 days after the Federal Register notice for this project is published. The estimated publishing date for the Federal Register notice is by the end of June, 2006.

Deadlines:

Proposals are due 30 days after the Federal Register notice for this project is published. The estimated publishing date for the Federal Register notice is by the end of June, 2006. Applicants must register with www.grants.gov in order to submit grant applications. Applicants are strongly encouraged to register well before the application deadline since this one-time registration process can take several days to complete.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

As required by SAFETEA-LU, Section 5513(m), the Federal share of the total cost of activities carried out in accordance with Section 5513(a) shall be 80 percent. The 20 percent match may be in the form of dedicated funding, equipment, or in-kind services for this project.

Length and Time Phasing of Assistance:

The funding for this project shall be made available to the recipient on a cost reimbursable basis. The funding for this project, once awarded, is available until fully expended. The period of performance for this project is 24 months from the date of award.

Reports:

The recipient shall be required to submit monthly reports documenting project accomplishments, expenditures, performance problems encountered, and planned activities in the next reporting period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or program-specific audit conducted for that year.

Records:

The entity that receives funding under this program shall follow the Records requirements of OMB Circular A-110.

Account Identification:

69-8048-0-7-401.

Obligations:

FY 07 est not reported; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

A single award of \$1,412,044 will be made for this project. This is a new project with no prior history. This is a discretionary grant program. FMCSA anticipates making one award to one recipient. Total available funding is \$1,412,044. Incremental payments will be made at intervals corresponding with performance milestones to be agreed upon by the parties.

PROGRAM ACCOMPLISHMENTS:

Expected accomplishments are the demonstration of a thermal imaging inspection system (TIIS) that leverages state-of-the-art thermal imagery technology, integrated with signature recognition software, providing the capability to identify, in real time, faults and failures in tires, brakes and bearings mounted on commercial motor vehicles.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Parts 18 and 19 OMB Circulars: A-102, A-110, A-87, A-21, A-133.

Regional or Local Office:

None.

Headquarters Office:

Jeff Loftus, Federal Motor Carrier Safety Administration, Office of Research and Analysis, Technology Division (MC-RRt), Telephone: (202) 385-2363, Fax:

(202) 385-2422, jeff.loftus@dot.gov, 400 Virginia Avenue, S.W., Suite 600, Washington, DC 20024. Office hours are from 9:30 AM to 6:00 PM, ET, Monday through Friday, except Federal holidays.

Web Site Address:

www.fmcsa.dot.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Upon receipt, the proposals will be evaluated by FMCSA for potential funding. Selection of a successful applicant will be made based upon the evaluation criteria of: Past Performance, Technical and Management Approach, and Price. Price proposals will be analyzed for reasonableness and completeness to include the period of performance and tasks. This evaluation may include a comparison of the applicant's proposed prices (including the required additional price information) to those of other applicants and to prices paid under the same or similar contracts. A price proposal found to be unrealistically low may reflect a lack of understanding of requirements. The Government may use the price realism analysis as part of its evaluation of technical and management approach and/or its responsibility determination.

20.237 SAFETY DATA IMPROVEMENT PROGRAM

(SADIP)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA)

AUTHORIZATION:

49 U.S.C. 31102.

OBJECTIVES:

The objectives are to fund State programs to improve the overall quality of commercial motor vehicle (CMV) data, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of truck and bus crash and inspection data.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Examples of uses for funding include: hire staff to manage data quality improvement programs, revise outdated crash report forms, hire staff to code and enter safety data, train law enforcement officers in collecting crash data, develop software to transfer data from the State repository SAFETYNET and purchase software for field data collection and data transfer.

Applicant Eligibility:

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the fifty States and the District of Columbia. The State agency must clearly articulate a goal that is related to the quality of State CMV crash and inspection data. Project objectives must be clearly articulated, time-limited, action-oriented, measurable and achievable. Project plans must include performance measures for monitoring the improvement in the quality of State-reported CMV safety data.

Beneficiary Eligibility:

Federal, State and local entities are the beneficiary.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded From coverage under E.O. 12372.

Application Procedure:

Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp. Registration with grants.gov may take two to five days before the system will allow access to the grant application package. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available. When an account has been established in DOT Delphi accounting system for the grant funds. Notify the FMCSA Division Administrator (or a designee) of the project code associated with the grant. Members of the FMCSA, FHWA, and FAA accounting, legal, and acquisitions departments also will play key roles in the grant management process.

Deadlines:

Application deadlines are posted to Grants.gov and are included in the application package. Grant awards are contingent upon Congressional approval of Fiscal Year budgets.

Range of Approval/Disapproval Time:

If basic eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Appeals will be considered upon demonstration that the proposal meets SADIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

The recipient may request monthly reimbursements of costs incurred in the performance of the grant-funded project, as are allowable under the applicable cost provisions under 49 CFR Part 18. The cost sharing is 20 percent State contribution and 80% Federal contribution.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 18 months; grant program extensions are allowable and funds are available until expended.

Reports:

The recipient shall submit quarterly reports on the 15th of the month following the end of the quarter being reported.

Audits:

The recipient is responsible for ensuring that independent audits are conducted in accordance with existing Federal auditing and reporting standards set forth in OMB Circular A-133. The audit report must be submitted to the FMCSA to support the indirect cost proposal.

Records:

The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data. The FMCSA shall have rights pursuant to 49 CFR Part 18 Section 18.34.

Account Identification:

69-8055-0-7-401.

Obligations:

FY 07 \$3,000,000; FY 08 est \$3,000,000; and FY 09 \$3,000,000.

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000; Average award \$250,000.

PROGRAM ACCOMPLISHMENTS:

Improve the overall quality of CMV crash and inspection data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104.

Regional or Local Office:

Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>).

Headquarters Office:

Betsy Benkowski, State Safety Data Quality Program Manager; Office of Analysis, Research and Technology -Analysis Division (MC-RRA); FMCSA, 1200 New Jersey Avenue, S.E., W68-324, Washington, DC 20590; Telephone: (202) 366-5387.

Web Site Address:

E-mail: betsy.benkowski@dot.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See "Uses and Use Restrictions" above.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are defined in the evaluation criteria included with the full application package available on Grants.gov; criteria are based on the defined goals and objectives included in, and the feasibility and cost effectiveness of, the proposed project plan.

20.238 COMMERCIAL DRIVERS LICENSE INFORMATION SYSTEM

Commercial Drivers License Information System (CDLIS)

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

AUTHORIZATION:

49 U.S.C. 521, 31136, 31301 et seq., 31502; Section 103, Public Law 106-159, 113 Stat. 1753; Sec. 214 of Public Law 106-159, 113 Stat. 1766; 49 CFR 1.73. Section 31309 of Public Law 109-59.

OBJECTIVES:

To modernization the Commercial Driver's License Information System (CDLIS). Following modernization CDLIS will: (1) comply with applicable Federal information technology security standards; (2) provide for the electronic exchange of all information including the posting of convictions; (3) contain self auditing features to ensure that data is being posted correctly and consistently by

the States; and (4) integrate the commercial driver's license and the medical certificate.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A State may use grants only for expenses directly related to modernizing its CDLIS to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code. A grant to a State may not be for more than 80 percent of the costs incurred by the State in a fiscal year in modernizing CDLIS of the State to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code.

Applicant Eligibility:

A qualified state and the District of Columbia. An organization representing agencies and officials of a State.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Certification by the state that it meets the conditions for grant approval. States will fund future efforts to modernize CDLIS. Costs will be determined in accordance with OMB Circular A-87.

Preapplication Coordination:

This program is excluded from coverage E.O. 12372.

Application Procedure:

Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov after pre application review by the State's FMCSA Division Office.

Award Procedure:

The amount of the CDL Modernization grant to a qualifying state will be determined by the State Programs CDL Division based on the availability of funds and the eligibility of the State. The Grants.gov announcement will outline the current year's priorities. All proposals will be evaluated by a panel to determine which proposals have the greatest impact on the effectiveness of the CDLIS Modernization program. States will be required to sign a grant agreement.

Deadlines:

Grant applications must be filed with the FMCSA Division Office by the date stated on Grants.gov.

Range of Approval/Disapproval Time:

60 to 90 days.

Appeals:

States will be notified in writing.

Renewals:

A new grant application must be made whenever a grant opportunity is published.

Formula and Matching Requirements:

A grant under this CFDA may not be for more than 80 percent of the costs incurred by the State in a fiscal year in modernizing CDLIS.

Length and Time Phasing of Assistance:

Grants are available for up to one year. Obligations to a state remain available until expended.

Reports:

Quarterly activity reports are to be filed with the FMCSA Division office.

Audits:

In accordance with OMB Circular A-133, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards must have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for three years following the year of funding.

Account Identification:

69-8055-0-7-401.

Obligations:

FY 07 \$7,000,000; FY 08 \$8,000,000 and FY 09 \$8,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 386-399; 49 CFR 100-180.

Regional or Local Office:

State-level office of the Federal Motor Carrier Safety Administration, as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Motor Carrier Safety Administration, State Programs-CDL Division, MC-ESL, 400 Seventh Street S.W., Washington DC 20590. Telephone: (202) 366-2964.

Web Site Address:

<http://www.fmcsa.gov>.

RELATED PROGRAMS:

20.217, Motor Carrier Safety; 20.218, National Motor Carrier Safety; 20.232,

Commercial Driver License State Programs.

EXAMPLES OF FUNDED PROJECTS:

Hardware and software upgrades.

CRITERIA FOR SELECTING PROPOSALS:

Compliance with CDLIS Modernization plan. States must be in substantial compliance with the requirements of sections 31309 and 31311 of title 49 of the United States Code.

20.240 FUEL TAX EVASION-INTERGOVERNMENTAL ENFORCEMENT EFFORT

(Fuel Tax Evasion-Intergovernmental Enforcement Efforts)

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C. Section 1101 (a)(21).

OBJECTIVES:

To increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal fuel tax evasion. Funds made available shall be used only to expand or enhance intergovernmental efforts to increase motor fuel tax enforcement and payments; to supplement motor fuel tax examinations and criminal investigations; and to increase research and training in the area of Federal fuel tax evasion.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Any funds administered under this program shall be used in accordance with the specifications in OMB Circular A-87, Cost Principles Applicable to State, local and Indian Tribal Governments.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Beneficiary Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must submit all proposals electronically through www.Grants.gov.

Award Procedure:

Awards are made annually through fiscal year 2009. An interagency review group will be established to review all proposals after the application deadline. FHWA and IRS will jointly recommend and approve projects for Federal funding for fuel tax evasion intergovernmental enforcement efforts. States selected to receive funding will enter into negotiations with FHWA and be required to enter into a project agreement with FHWA.

Deadlines:

Not available at this time.

Range of Approval/Disapproval Time:

The approval/disapproval time will take approximately 2 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Projects will not be funded for a period of more than 2 years.

Reports:

Annual reports will be required for each project and will be outlined in the project agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards, will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 in a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

FY 07 \$1,000,000; FY 08 \$1,000,000 and FY 09 \$1,000,000.

Range and Average of Financial Assistance:

Minimum: \$10,000 Maximum: \$250,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Program Manager, Office of Transportation Policy Studies (HPTS), Federal Highway Administration, 400 Seventh St. S.W., Washington, DC 20590-0001, Telephone: (202) 366-9234.

Web Site Address:

<http://www.fhwa.dot.gov/policy/otps/fueltax.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are encouraged to submit innovative proposals to reduce fuel tax evasion and increase collaboration and coordination among several public agencies. Priority will be given to proposals that involve more than one public agency.

20.301 RAILROAD SAFETY

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Department of Transportation Act of 1966, as amended, Public Law 89-670, 49 U.S.C. 1651-1659; Federal Railroad Safety Act of 1970, as amended, Public Law 91-458, as amended, Public Law 93- 90, 45 U.S.C. 421-441.

OBJECTIVES:

To reduce railroad-related casualties and accidents.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

Enforcement of all Federal laws and related regulations designed to promote safety of all railroads including Federal Track Safety Regulations, Safety Appliance and Freight Car Safety Standards, Hazardous Materials Regulations, Employee Hours of Service and Power Brake Rules. Investigation of safety-related complaints and evaluation of petitions requesting waivers from standards, rules, and regulations.

Applicant Eligibility:

Anyone concerned with railroad safety.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None required.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact Federal Railroad Administration headquarters, preferably by letter.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

69-0702-0-1-401.

Obligations:

(Salaries and Expenses) FY 07 est not available; FY 08 est not available; and FY 09 est not reported. Note: Total salaries and expenses under the appropriation include regulatory functions in addition to investigation of complaints.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In May 2004 FRA completed the coordination and publication of the Secretary's Action Plan for Highway-Rail Crossing Safety and Trespassing Prevention. In addition to FRA, the multifaceted Action Plan was created with valuable input from FHWA, FTA and FMCSA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142. Telephone: (617) 494-2302. Regional Administrator, International Plaza II, Suite 550, Philadelphia, PA 19113. Telephone: (610) 521-8200. Regional Administrator, 61 Forsyth Street, SW., Suite 16T20, Atlanta Federal Center, Atlanta, GA 30303- 3104. Telephone: (404) 562-3800. Regional Administrator, 111 West Adam Street, Suite 310, Chicago, IL 60606. Telephone: (312) 353-6203. Regional Administrator, 8701 Bedford Euless Road, Suite 425, Hurst, TX 76053. Telephone: (817) 284-8142. Regional Administrator, 901 Locust Street, Suite 464, Kansas City, MO 64106. Telephone: (816) 329-3840. Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540. Regional Administrator, 500 E. Broadway, Suite 240, Vancouver, WA, 98660. Telephone: (360)696-7536.

Headquarters Office:

Associate Administrator for Safety, Federal Railroad Administration, Mail Stop 25, 1120 Vermont Ave., NW., Washington, DC 20590. Telephone: (202) 493-6304.

Web Site Address:

<http://www.fra.gov/o/safety>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Investigation of complaints against railroads for violation of railroad safety rules and regulations.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.303 GRANTS-IN-AID FOR RAILROAD SAFETY-STATE PARTICIPATION

(State Participation in Railroad Safety)

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Federal Railroad Safety Act of 1970, Sections 202, 205-208, as amended, 45 U.S.C. 431, 434-437; Hazardous Materials Transportation Uniform Safety Act of 1990, Section 28; Public Laws 91- 458 and 96-423; 94 Stat. 1812 and 104 Stat. 3244.

OBJECTIVES:

To promote safety in all areas of railroad operations; reduce railroad related accidents and casualties; and to reduce damage to property caused by accidents involving any carrier of hazardous materials by providing State participation in the enforcement and promotion of safety practices.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Federal funds, when appropriated, subsidize participating States for necessary personnel, training, equipment, and activities related to enforcement of Federal railroad standards, as called for under the Federal Railroad Safety Act of 1970, as amended. State inspectors are authorized to work in all five safety inspection disciplines. The Federal Railroad Administration (FRA) fully funds comprehensive inspector classroom training for State inspectors meeting eligibility requirements of 49 CFR part 212, State Safety Participation Regulations.

Applicant Eligibility:

A State may participate in investigative and surveillance activities in connection with regulations promulgated by FRA under this Act which are applicable to track and rolling equipment, signal and train control, operating practices, and hazmat inspections. The State must provide the Administrator with an annual (or multi-year) certification that the State agency (1) has regulatory jurisdiction, (2) has been furnished a copy of each Federal rail safety regulation, and (3) is conducting investigative and surveillance activities prescribed by the FRA Administrator. State safety personnel must be bona fide state employees. State inspectors must meet minimum Federal qualifications, as well as successfully complete the inspector technical evaluation.

Beneficiary Eligibility:

States.

Credentials/Documentation:

Annual (or multi-year) certification, as outlined under the Applicant Eligibility section, includes a report showing (1) the safety jurisdiction of the State agency;

(2) an annual work plan developed through coordination with the Regional Safety Director's Office; and (3) other information as the Secretary of Transportation may require. States must provide proof of employee experience qualifications and provide an annual plan of activities.

Preapplication Coordination:

It is recommended that interested States contact the Associate Administrator for Safety, Office of Safety Analysis, Federal Railroad Administration, 1120 Vermont Avenue, NW., Washington, DC 20590. Telephone: (202) 493-6300. Consultation and assistance is available from the Federal Railroad Administration (FRA) preparing the application form, furnished by the FRA and required by OMB Circular No. A-102 and FRA regulations (49 CFR 212). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Completion of the form entitled "State Participation Program" is required for certification under Section 206 of the Act and 49 CFR 212. The information required in this form is specified in 49 CFR 212.105 and 212.107 for the Initial Certification and Report. The form includes provisions for a description of the State's planned program with respect to the investigative and surveillance activities prescribed for each Federal railroad safety rule, regulation, order, or standard for which a certification is submitted, or into which an agreement is entered. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

When Federal appropriations are available to the State Participation Program, the application for payments and approval by the Federal Railroad Administrator requires certification under Section 206, Subsection (a); the report required under Subsection (b); or agreements with noncertifying States as outlined under Subsection (c); and satisfactory assurances to the Administrator that the State agency will provide the remaining costs, of a safety program, as reflected under Subsection (d).

Deadlines:

Federal appropriations for the State Participation Program are made on a Federal fiscal year basis for activities to be conducted in the ensuing fiscal year.

Range of Approval/Disapproval Time:

Sixty (60) days.

Appeals:

Not applicable.

Renewals:

Certification required annually when federal appropriations are available to the State Participation Program; agreements with noncertifying states required annually.

Formula and Matching Requirements:

When appropriations are available to the State Participation Program, the Secretary of Transportation shall pay up to 50 percent of cost of the personnel, equipment, and activities of a State agency which are reasonably required during the ensuing fiscal year to carry out a safety program under such certification or agreement. No payment may be made unless the State agency making application gives satisfactory assurances to the Secretary of Transportation that the State agency will provide the remaining cost of a safety program and that the aggregate expenditures of State funds exclusive of Federal grants, will be maintained at a level which does not fall below the average level of such expenditures for the last two fiscal years preceding the date of enactment of this title.

Length and Time Phasing of Assistance:

Annually, subject to certification and the availability of Federal funds.

Reports:

The State agency shall submit a copy of each semiannual expenditure/performance report, and an annual coordination work plan to the Federal Railroad Administration. Participating States shall submit daily inspection reports and violation reports (where applicable).

Audits:

The State agency shall maintain a financial management system which is in compliance with the standards listed in 49 CFR Part 18. In addition, the State agency shall permit authorized representatives of the Federal Railroad Administration or other Federal Government agencies to review investigative and surveillance activities and to inspect payrolls, personnel records, invoices, and other relevant data and records pertaining to payment agreements and implementation activities. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients of more than \$300,000 shall submit one copy of the audit report within 30 days after issuance to the Department of Commerce, Bureau of Census, Data Preparation Division, 1201

East 10th Street, Jeffersonville, Indiana 47132, Attn: Single Audit Clearinghouse.

Records:

(See Reports and Audits section of the program.) The State agency is authorized to substitute microfilm copies in lieu of original records. The Federal Railroad Administration shall request the State agency to transfer certain records to Federal custody when the FRA determines that the records possess long-term retention value.

Account Identification:

69-0706-0-1-401.

Obligations:

FY 07 \$1,400,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The Federal share in fiscal year 1988 was estimated at \$2,000 to \$16,000 per State inspector with the average at \$9,000 per State inspector. No Federal funds were available in fiscal years 1989 to 2004.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 04 30 States are participating with 153 inspectors (44 track inspectors, 34 equipment inspectors, 30 operating practices inspectors, 24 signal and train control inspectors, and 22 hazardous materials inspectors). In fiscal year 2004, State rail safety inspectors filed 17,503 reports and recorded 73,021 rail safety defects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Railroad Safety Act of 1970, as amended; the Federal safety standards published there under; and the State Participation Regulations, 49 CFR 212.

Regional or Local Office:

Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142. Telephone: (617) 494-2302; Regional Administrator, International Plaza II, Suite 550, Philadelphia, PA 19113. Telephone: (610) 521-8200; Regional Administrator, Suite 16T20, Atlanta Federal Center, 61 Forsyth Street, SW., Atlanta, GA 30303. Telephone: (404) 562-3800; Regional Administrator, 200 West Adams Street, Suite 310, Chicago, IL 60606. Telephone: (312) 353-6203; Regional Administrator, 4100 International Plaza, Suite 450, Fort Worth, TX 76109. Telephone: (817) 862-2200; Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540; Regional Administrator, DOT Building, 911 Locust Street, Suite 464, Kansas City, MO 64106. Telephone: (816) 426-2497; and Regional Administrator, Murdock Executive Plaza, Suite 650, 703 Broadway, Vancouver, WA 98660. Telephone: (360) 696-7536.

Headquarters Office:

Associate Administrator for Safety, Federal Railroad Administration, Mail Stop 25, 1120 Vermont Avenue NW., Washington, DC 20590. Telephone: (202) 493-6300.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

20.301, Railroad Safety; 20.502, Federal Transit Grants for University Research and Training; 20.900, Transportation_Consumer Affairs; 33.001, Shipping_Dispute Resolution and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

When appropriations are available to the State Participation Program, six types of inspection programs are funded - one for track safety standards, one for freight car locomotive safety (motive power and equipment) standards, one for signal and train control standards, one for grade crossing signal system standards, one for operating practices standards and one for a hazardous materials inspection program. States may apply for one program or for all. No Federal funds are available in fiscal year 2003 or are anticipated in fiscal year 2004.

CRITERIA FOR SELECTING PROPOSALS:

The application forms for State participation provide the necessary detail for the selection process of State proposals. It is important that the State meet the eligibility requirements and project a comprehensive safety program plan for involvement. Key criteria are listed in the State Participation Regulations, (49 CFR 212), which are integral to the selection and qualifying process.

20.312 HIGH SPEED GROUND TRANSPORTATION-NEXT GENERATION HIGH SPEED RAIL PROGRAM

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Departments of Transportation and Treasury, and Independent Agencies Appropriations Act of Fiscal Year 2004; Public Law 108-99; Transportation Equity Act for the 21st Century, Public Law 105-178.

OBJECTIVES:

To stimulate the implementation of High Speed Passenger Rail Systems.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Projects must show a demonstrable benefit to the research, development, design,

or ultimate operation of incremental High Speed Passenger Rail Systems.

Applicant Eligibility:

Any United States private business, educational institution located in the United States, State or local government or public authority, or agency of the Federal government is eligible for assistance relating to Next Generation High Speed Passenger Rail Systems.

Beneficiary Eligibility:

No restrictions.

Credentials/Documentation:

As specified in program solicitations and Federal Register Notices.

Preapplication Coordination:

The FRA will announce specific pre-application coordination activities for each project area in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As specified in NOTICES for specific project areas.

Award Procedure:

As specified in notices for specific project areas.

Deadlines:

As specified in notices for specific project areas.

Range of Approval/Disapproval Time:

Four to 16 weeks after application.

Appeals:

To Administrator, Federal Railroad Administration.

Renewals:

Grants, cooperative agreements or contracts contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

Not applicable to technology development efforts.

Length and Time Phasing of Assistance:

As specified in individual project NOTICES.

Reports:

A final report detailing the work conducted and the progress accomplished will be required for all projects.

Audits:

For projects assisting States, local governments, and nonprofit organizations in accordance with OMB Circular No. A-133. For projects assisting private businesses in accordance with 48 CFR Chapter I Subpart 31.2, Contracts with Commercial organizations. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with requirements of the specific project agreement.

Account Identification:

69-0722-0-1-401; 69-9973-0-7-401.

Obligations:

FY 07 \$2,529,100; FY 08 est \$960,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$100,000 to \$7,000,000. Average: \$250,000.

PROGRAM ACCOMPLISHMENTS:

This program was initiated in fiscal year 1995, and focuses on technology development in (a) High Speed, High Acceleration Fossil-Fueled Locomotives; (b) Advanced Communications Based Train Control Systems; (c) Highway-Rail Grade Crossing Sensor and Warning Systems; and (d) Low Cost, Innovative Technologies. Federal Register Notice was issued on September 8, 1994, requesting applications for a demonstration of High Speed Positive Train Control (HSPTC) on one or more corridors designated under Section 1010 of ISTEA. Awards totaling \$20 million have been made in fiscal 1995 through fiscal year 2001 to the State of Michigan. Awards totaling \$28 million have been made to the State of Illinois. Four Advanced Locomotive Awards totaling \$12.5 million of Federal funding have been made to the State of New York with 50/50 matching provided by the New York State Department of Transportation. A cooperative agreement has produced a 150 mph turbine-powered locomotive with Federal funding totaling \$13 million to Bombardier Transit, Inc. with 50/50 matching by Bombardier. Awards totaling over \$20 million have been made to various contractors and States for technology to mitigate grade crossing hazards. In FY 2003, FRA awarded a \$4 million cooperative agreement to the Florida DOT for a demonstration of Diesel Multiple Unit (DMU) Passenger Rail Cars, which fully comply with all current federal standards.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Appropriations Act of Fiscal Year 2002, Public Law 107-87. Prospective applications should note that the Department of Transportation and Related Agencies Appropriation Acts and associated congressional report language may have designated applications of program funding. Broad Agency Announcement BAA-2001-02, Funds Availability for Research Projects and Technology Advancements under the NGHSR Program, June 2001.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Railroad Development, 1200 New Jersey Avenue, SE, Washington, DC 20590.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples are described in the paragraph on program accomplishments.

CRITERIA FOR SELECTING PROPOSALS:

For technology development activities: (1) Overall scientific and/or technical merit; (2) Degree to which the overall technical effort will advance U.S. High Speed Passenger Rail Technology; (3) Technical qualifications and demonstrated experience of key personnel proposed to perform technical efforts; (4) Administrative qualifications and demonstrated experience of the proposing organization(s); (5) Reasonableness and realism of proposed costs and fee (if any); and, (6) The degree to which Federal funds are leveraged by private, nonfederal, and/or Federal funds from sources other than this program.

20.313 RAILROAD RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 103-44, Section 216.

OBJECTIVES:

To foster long-range enhancement of the Federal Railroad Administration's program of research in support of rail safety by developing cooperative research relationships between the Administration and university organizations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Projects must show a relationship towards advancing railroad safety. Applicants must demonstrate 5 years of railroad research activity.

Applicant Eligibility:

Applicants must be major academic research institutions with backgrounds in the rail transportation arena. A minimum of 5 years of railroad or railroad related research experience is required.

Beneficiary Eligibility:

No restrictions.

Credentials/Documentation:

As specified in program solicitations and Federal Register Notices.

Preapplication Coordination:

The FRA will announce specific pre-application coordination activities for each project area in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As specified periodically in the Federal Register, eligible applicants must submit a Program Application that consists of a University Profile and Proposed Research Projects. The applications are subject to the requirements of OMB Circular A-110. Applications may be obtained from the Office of Research and Development, Mail Stop 20, 1120 Vermont Avenue N.W., Washington, DC 20590. Telephone: (202) 493-6384. Fax: 202-493-1313. Email: Judy.Pharris@FRA.DOT.gov.

Award Procedure:

As specified in Federal Register notices, applicants must first demonstrate satisfactory background and experience to the Office of Research and Development, Federal Railroad Administration. Second, proposed research projects are reviewed to determine those which most closely match the Administration's research objectives. Those applicants with one or more projects satisfying the second step criteria will be rank ordered based on qualifications and probability of success. From this rank ordering, the Administration established a group of selected universities as pre-qualified to perform solicited or approved research projects. Applicants within this group will be invited to enter into cooperative agreements.

Deadlines:

As specified in Federal Register notices.

Range of Approval/Disapproval Time:

Four to 16 weeks after application.

Appeals:

To Administrator, Federal Railroad Administration.

Renewals:

Grants contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

There are no formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

A final report detailing the work conducted and the progress accomplished will be required. Presentations at an annual workshop are expected.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

In accordance with requirements of the specific project agreement

Account Identification:

69-0745-0-1-401.

Obligations:

(Grants) FY 07 \$1,097,262; FY 08 est \$1,000,000; and FY 09 est. not available.

Range and Average of Financial Assistance:

\$50,000 to \$250,000. Average: \$100,000.

PROGRAM ACCOMPLISHMENTS:

New program, not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Not applicable.

Headquarters Office:

Dr. Magdy El-Sibaie, Office of Research and Development, Federal Railroad Administration, 1200 New Jersey Avenue, S.E., Washington DC 20590.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

New program, not applicable.

CRITERIA FOR SELECTING PROPOSALS:

In descending order of relative importance: (1) Overall scientific and technical merit; (2) Degree to which it may improve upon or advance railroad safety; (3) Likelihood for its near term adoption and implementation of possible recommendations; (4) Degree to which the proposed project fits into the Administration's overall research objectives; (5) Reasonableness and realism of the proposed cost, and the availability of funds (to include due consideration for proposed cost-sharing by the applicant).

20.314 RAILROAD DEVELOPMENT

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59.

OBJECTIVES:

To provide financial assistance for: planning and developing railroad corridors (including environmental studies), purchasing rail equipment, rail line relocation and improvement projects, construction projects that improve rail lines, enhance service, and add capacity to the national rail system, capital construction, rehabilitation and improvements benefiting train operations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

- (1) The financial assistance may fund, but is not limited to, rehabilitation or construction of railroads, including planning activities and associated studies.
- (2) Specific descriptions are based on the language in the authorizing or appropriating legislation and any associated regulations.

Applicant Eligibility:

State governments, Regional and local governments, For-profit organizations, such as railroads.

Beneficiary Eligibility:

State governments Regional and local governments For-profit organizations, such as railroads.

Credentials/Documentation:

US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions o Certification Regarding Drug-Free Workplace Requirements o Certification Regarding Lobbying o Assurances-Non-Construction Programs, SF 424E o Certificate of Indirect Costs Assurances - Construction Programs SF-424D.

Preapplication Coordination:

None. The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance SF-424 Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>: Certification Regarding Debarment, suspension, and other Responsibility Matters Primary Covered Transactions. o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions. Certification Regarding Drug-Free Workplace Requirements. o Certification Regarding Lobbying. o Assurances Non-Construction Programs, SF 424E Certificate of Indirect Costs. Assurances - Construction Programs SF-424D Statement of Work Budget. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance SF-424 Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>: Certification Regarding Debarment, suspension, and other Responsibility Matters Primary Covered Transactions. o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions. Certification Regarding Drug-Free Workplace Requirements. o Certification Regarding Lobbying. o Assurances Non-Construction Programs, SF 424E Certificate of Indirect Costs. Assurances - Construction Programs SF-424D Statement of Work Budget.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Competitive comparison o applications to determine relative satisfaction of published selection criteria might occur for some grants. following selection (if applicable) and after the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

On a case-by-case basis as posted for a project on www.grants.gov.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Formula and matching Requirements: These programs have no statutory formula. Some grantees will be required to provide a match from non-program funds toward the grant budget. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 269 or 269A, Financial Status Report.. Quarterly Federal Cash Transactions reports using SF 272, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must keep satisfactory records with regard to the use of the property, equipment, and supplies and submit these records to FRA upon request.

Account Identification:

21-0730-0-1-401; 21-8083-0-1-401; 21-0715-0-1-401.

Obligations:

FY 07 \$3,500,000; FY 08 est \$66,100,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Grants within this program range from \$50,000 to over \$700,000,000. The average financial assistance varies considerably.

PROGRAM ACCOMPLISHMENTS:

This program has assisted the rehabilitation and construction of railroad projects and associate studies. Specific grants are provided for in legislation, usually one application is submitted for each grant. Starting in FY 2008, there might be competition for some grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59).

Regional or Local Office:

If necessary to contact a regional office, the contact information will be in a grant agreement

Headquarters Office:

Alice Alexander, Office of Railroad Development, 1200 New Jersey Ave S.E., Washington, DC 20005. Telephone: (202) 493-6363. Fax: (202) 493-6330.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Alaska Railroad Access to Joint Tanana Training Complex; Tacoma Rail Mountain Division Track Improvements.

CRITERIA FOR SELECTING PROPOSALS:

The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.315 NATIONAL RAILROAD PASSENGER CORPORATION GRANTS

(Amtrak Grants)

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION

AUTHORIZATION:

49 U.S.C. 24104(a); Annual appropriation laws (for 2008: The Department of Transportation Act, 2008, included as Title I in Division K (the Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008) of the Consolidated Appropriations Act of 2008, Public Law No. 110-161).

OBJECTIVES:

To provide financial assistance to support the operation of and capital investment in intercity passenger rail service in the United States.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be used to fund operating expenses, capital investment activities, and/or payment of debt service obligations.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

The applicant must submit all documentation required under the terms of the applicable appropriations law.

Preapplication Coordination:

Preliminary review of project descriptions and budgets with applicant, including assurance of compliance with the National Environmental Policy Act and related laws and orders. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit the following information: Application for Federal Assistance SF-424; Detail project descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Review of the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law. Review of project budgets and description to ensure the appropriation language requirements are met. Review of project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

None, unless otherwise specified in the governing appropriation law.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependant on the specific appropriating and/or authorizing law governing the grant opportunity.

Reports:

The grantee is required to submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly financial status reports using SF 269 or 269A, Financial Status Report. Monthly Federal Cash Transactions reports using SF 272, Federal Cash Transaction Report. Monthly detailed reports regarding performance against project budgets and schedules, and forecasts of future performance. Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

Since the eligible applicant has been and will continue to be subject to audits conducted by its own independent auditors, the Department of Transportation's Inspector General, and the General Accounting Office, the FRA does not require the applicant to prepare an additional specific audit for the purposes of the grant agreement. However, the applicant must agree to obtain such audits as may be reasonably required by FRA and to allow the Secretary of Transportation, the FRA and their authorized representatives to conduct such audits as they deem appropriate with respect to the financial assistance provided to the Grantee under the agreement. Closeout does not alter the applicant's audit responsibilities. Audit costs for program administration and management are allowable under the agreement to the extent authorized by OMB Circular A-87, Revised; OMB Circular A-21, Revised; or OMB Circular A-122, Revised.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

For operating assistance grants: 69-0121-0-1-401. For capital investment grants: 69-0125-0-1-401.

Obligations:

(Grants) FY 07 \$1,293,633,000; FY 08 \$1,325,000,000; and FY 09 \$0.

Range and Average of Financial Assistance:

Awards under this program range from \$10,000,000 to \$850,000,000, and depend on the amount and breakdown of assistance made available through specific appropriations laws.

PROGRAM ACCOMPLISHMENTS:

The Amtrak Grants program provides financial assistance to support operation of rail passenger service in 46 states and the District of Columbia. Financial assistance for capital investment provided through this program allows for the recapitalization and improvement of passenger rolling stock, railway infrastructure, and related business systems. The program also provides incentives to improve the cost effectiveness of intercity passenger rail operations and reduce the need for federal operating subsidies.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Railroad Development, Passenger Programs Division (RDV-13), Federal Railroad Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funding provided through this program has supported Amtrak's ongoing operation of intercity passenger rail service in the U.S. Funding for capital investments has been used to support the majority of Amtrak's annual capital investment program, including projects such as fire and life safety improvements to the rail tunnels into New York Pennsylvania Station, the return of Northeast Corridor rail infrastructure to a state of good repair through the replacement or rehabilitation of Amtrak-owned infrastructure, and the acquisition, repair, and/or rebuilding of various types of passenger rolling stock.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation

statutes, and of the Secretary of Transportation, and be accompanied by detailed scope and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.316 RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

(RRIF Loan Program)

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.)

OBJECTIVES:

The RRIF program provides direct loans and loan guarantees to State and local governments, government sponsored authorities, government sponsored corporations, railroads, and joint ventures that include at least one railroad.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Funds provided by direct loans or loan guarantees under the RRIF Program can be used to: (1) Acquire, improve, or rehabilitate intermodal or rail freight or passenger equipment or facilities, including track, components of track, bridges, yards, buildings and shops (2) Refinance outstanding debt incurred for purposes described in the previous paragraph (3) Develop or establish new intermodal or railroad facilities. The maximum loan amount will be based on the remaining available funds under the Program's authorization. The repayment period for direct loans and loan guarantees is up to 25 years. The interest rate on direct loans will be equal to the rate on Treasury securities of a similar term.

Applicant Eligibility:

Eligible applicants include State and local governments, government-sponsored authorities, government-sponsored corporations, railroads, and joint ventures that include at least one railroad.

Beneficiary Eligibility:

The beneficiaries of the Program will be the State or local government organizations, railroad, or joint ventures that include a railroad that will receive the financial assistance to permit them to complete the specified projects.

Credentials/Documentation:

None.

Preapplication Coordination:

A pre-application meeting may be held if requested by a potential applicant. Procedures for requesting such a meeting are available on the FRA website. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Complete and submit application form available on the FRA website.

Award Procedure:

FRA will evaluate application and advise applicant of approval or disapproval.

Deadlines:

There are no application submission deadline dates.

Range of Approval/Disapproval Time:

Application will be approved or disapproved within 90 days after a complete application is deemed to have been submitted.

Appeals:

There is no appeal process.

Renewals:

Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available as progress payments to pay directly or reimburse the Borrower for prior payment of allowable costs incurred in connection with the project.

Reports:

Yearly audited and certified profit and loss statement, balance sheet and statement of cash flows must be submitted for the life of the financial assistance.

During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget.

Audits:

Audits of project expenditures will be conducted upon completion.

Records:

None.

Account Identification:

69-0700-0-1-401.

Obligations:

FY 07 \$183,500,000; FY 08 est \$123,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

Range: Fiscal 2007-\$48.0 million to \$59.0 million. Average: Fiscal 2007-\$53.5 million.

PROGRAM ACCOMPLISHMENTS:

Since 2002 the program has approved almost \$800 million in direct loans. In the current fiscal year, nine applications have been approved for a total of \$248 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Railroad Rehabilitation and Improvement Financing Program, 49 CFR 260.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Freight Programs, Federal Railroad Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington DC 20590
Telephone: (202) 493-6381. Fax: (202) 493-6330.

Web Site Address:

<http://www.fra.dot.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Funded projects include track rehabilitation, equipment acquisition, line acquisition, new construction, and debt refinancing.

CRITERIA FOR SELECTING PROPOSALS:

Initially the criteria are based on the relevance to the programs' objectives and uses. The program provides direct loans and loan guarantees to State and local governments, government-sponsored authorities and corporations, railroads, and joint ventures that include at least one railroad. Eligible projects include: (1) refinancing outstanding debt that was incurred for eligible purposes, (2) developing or establishing new intermodal or railroad facilities, or (3) acquisition, improvement or rehabilitation of intermodal or rail equipment or facilities (including tracks, components of tracks, bridges, yards, buildings and shops).

20.317 CAPITAL ASSISTANCE TO STATES - INTERCITY PASSENGER RAIL SERVICE

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Authorized by appropriation for FY 2008 in Division K of Public Law 110-161: Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008. Continuation of this program would be subject to its inclusion, if any, in subsequent- annual appropriation legislation and/or authorizations.

OBJECTIVES:

To provide financial assistance to fund capital improvements (and related planning activities) necessary to support improved or new intercity passenger rail service.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The financial assistance may fund capital improvements and directly-related planning activities necessary to achieve tangible improvements to, or institute new, intercity passenger rail service. Examples of capital improvements include (but are not strictly limited to) the purchase of passenger rolling stock, the improvement of existing track to allow for higher maximum speeds, the addition or lengthening of passing tracks to increase capacity, the improvement of interlockings to increase capacity and reliability, and the improvement of signaling systems to increase capacity and maximum speeds, and improve safety. (With respect to planning activities, only proposed planning projects which lead to (1) a programmatic Environmental Impact Statement for the purpose of route selection, and/or (2) a corridor transportation plan prepared in accordance with the methodologies set forth in FRA's publication, entitled "Railroad Corridor Transportation Plans: A Guidance Manual," (see below for citation), will be considered for possible funding, subject to published selection criteria.) Proposed projects must be specifically included in the applicant State's Statewide Transportation Improvement Plan at the time of application.

Applicant Eligibility:

State departments of transportation (including that of the District of Columbia). Applicant States must include intercity passenger rail service as an integral part of statewide transportation planning as required under section 135 of Title 23, United States Code.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with

a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms (<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>) including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying; (5) Assurances Non-Construction Programs - SF 424B; and (6) Certificate of Indirect Costs Assurances.

Preapplication Coordination:

Prospective applicants may contact FRA prior to submission of applications to inquire about applicant eligibility, the competitive prospects of their proposed project, the National Environmental Policy Act and related legal requirements, and other requirements. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance - SF-424; Detailed Statement of Work; Project justification based on published selection criteria; Detailed Budget, and Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms (<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>) including: 1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying; (5) Assurances Non-Construction Programs - SF 424B; and (6) Certificate of Indirect Costs Assurances.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review Statement of Work for technical issues and budget for appropriateness of costs. Competitive comparison of applications to determine relative satisfaction of published selection criteria. Following selection of successful applicants, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Applications may be submitted until the earlier of September 30, 2009, or the date on which all available funds will have been committed under this program. The last-mentioned date will be announced in the Federal Register. Applications submitted by June 30, 2008 will be considered in the first round of awards on the basis of application materials that FRA has received as of that date. Any subsequent rounds of awards will depend on the availability of funds after the first round of awards.

Range of Approval/Disapproval Time:

Notification of Approval/Disapproval generally occurs within 8 months of application.

Appeals:

To Administrator, Federal Railroad Administration.

Renewals:

None.

Formula and Matching Requirements:

These programs have no statutory formula. Grantees must provide a minimum 50 percent match from non-program funds toward the grant budget. This program has Maintenance of Effort requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: (1) Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant; (2) Quarterly financial status reports using SF 269 or 269A, Financial Status Report; (3) Quarterly Federal Cash Transactions reports using SF 272, Federal Cash Transaction Report; (4) Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must keep satisfactory records with regard to the use of the property, equipment, and supplies and submit these records to FRA upon request.

Account Identification:

27-0716-0-1-401.

Obligations:

FY 07 \$0; FY 08 est not available and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is provided by The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws, the FRA publication entitled, Railroad Corridor Transportation Plans: A Guidance Manual (available at http://www.fra.dot.gov/Downloads/RRdev/corridor_planning.pdf), and Federal Register notices announcing the availability of funding pursuant to this program.

Regional or Local Office:

If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Peter Schwartz, RDV-11, Office of Railroad Development, 1200 New Jersey Avenue, S.E. Washington, DC 20590, Telephone: (202) 493-6360 Fax: (202) 493-6333).

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All selection criteria will be specified in Federal Register notices announcing the availability of funding pursuant to this program, and shall include, but shall not be limited to, prioritizing criteria defined in relevant law, and criteria intended to ensure the timely completion of funding projects and the full realization of the benefits the projects are intended to generate.

20.318 MAGLEV PROJECT SELECTION PROGRAM - SAFETEA-LU

(Maglev Selection Program)

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Public Law 109-59, August 10, 2005), and the SAFETEA-LU Technical Corrections Act (Public Law 110-244, June 6, 2008).

OBJECTIVES:

To provide financial assistance for a demonstration magnetic levitation transportation project.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds may be used for eligible project costs, including preconstruction planning activities, the capital cost of fixed guideway infrastructure of a maglev project, including land, piers, guideways, propulsion equipment and other components attached to guideways, power distribution facilities (including substations), control and communications facilities, access roads, and storage repair and maintenance facilities, but not including costs incurred for a new station.

Applicant Eligibility:

State governments with existing maglev projects located east of the Mississippi River.

Beneficiary Eligibility:

State governments.

Credentials/Documentation:

None.

Preapplication Coordination:

Ensure that National Environmental Policy Act and related legal requirements are fulfilled as needed. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered

Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E; Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement-of-work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance, or projected compliance, with the National Environmental Policy Act and related laws/orders. Applications will be competitively compared to determine relative satisfaction of published selection criteria. Following selection (if applicable) and after the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Refer to announcement for further information.

Range of Approval/Disapproval Time:

Refer to announcement for further information.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has a 20 percent matching requirement. See the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) (Public Law 109-59, August 10, 2005), and the SAFETEA-LU Technical Corrections Act (Public Law 110-244, June 6, 2008).

Length and Time Phasing of Assistance:

The period of performance is up to 60 months.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant; Quarterly financial status reports using SF 269 or 269A, Financial Status Report; Quarterly Federal Cash Transactions reports using SF 272, Federal Cash Transaction Report; and final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

69-8083-0-1-401.

Obligations:

FY 08 \$22,500,000; FY 09 \$22,500,000 and FY 10 est not available.

Range and Average of Financial Assistance:

TBD.

PROGRAM ACCOMPLISHMENTS:

Building on work completed as a result of the funding from the Maglev Deployment Program (as well as other miscellaneous sources of funding), this program will advance the goal of constructing a demonstration maglev project.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. Section 4321 et seq.), and related laws; Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); SAFETEA-LU Technical Corrections Act (Public Law 110-244); Final Rule on the Magnetic Levitation Transportation Technology Deployment Program (23 CFR Part 322; OMB Circular No. A-87 for State and local governments; OMB Circular No. A-102 grants and cooperative agreements with State and local governments; A-133 for audits. Solicitation guidelines will be made available.

Regional or Local Office:

Not applicable.

Headquarters Office:

John Cikota, Office of Railroad Development, 1200 New Jersey Ave S.E., Washington, DC 20590. Telephone: (202) 493-6364; Fax: (202) 493-6330.

Web Site Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

20.314, Railroad Development.

EXAMPLES OF FUNDED PROJECTS:

Funding provided through the Maglev Deployment Program advanced two existing maglev projects that will be eligible for the current funding: (1) the Pittsburgh project; and (2) the Baltimore-Washington project. For both of those projects, preliminary engineering and environmental work has been completed.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the announcement for further information.

20.500 FEDERAL TRANSIT-CAPITAL INVESTMENT GRANTS

(Fixed Guideway Modernization Bus and Bus Facility New Starts)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5309.

OBJECTIVES:

To assist in financing the acquisition, construction, reconstruction, and improvement of facilities, rolling stock and equipment for use in public transportation service. Funds are currently made available in three categories: Fixed Guideway Modernization formula program; Bus and Bus Facilities discretionary program; and New Starts discretionary program (new fixed guideway systems and extensions of existing systems).

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in financing: capital projects for new fixed guideway systems, and extensions to existing fixed guideway systems, including the acquisition of real property, the initial acquisition of rolling stock for the systems, and the acquisition of rights of way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; capital projects, the acquisition, construction, reconstruction and improvement of facilities and equipment for use by operation or lease or otherwise in mass transportation service, including property and improvements needed for an efficient and coordinated mass transportation system, including buses and bus facility equipment; the capital costs of coordinating transit with other transportation; and the introduction of new technology, through innovative and improved transportation; and the introduction of new technology, through innovative and improved products. Consideration may also be given for projects which enhance urban economic development; establish new or enhanced coordination between transit and other transportation; or enhance the effectiveness of a transit project and are related physically or functionally to that transit project. It could also include financing for transit projects planned, designed, and carried out to meet the special needs of elderly individuals and individuals with disabilities; for the development of corridors to support fixed guideway systems, including protecting rights of way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park-and-ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased transit usage in the corridor.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Fixed Guideway formula funds are apportioned by formula to urbanized areas over 200,000 population with fixed guideway segments at least one mile long that are over seven years old. Bus and New Starts programs are allocated entirely to projects designated by Congress. Private non-profit organizations are not eligible direct recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and

approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. For fiscal year 2008, the publication date was January 28, 2008. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment may be required for this program. An environmental impact statement may also be required upon completion of review of the assessment.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Funds designated by Congress for New Starts or Bus projects must be obligated within three fiscal years, unless extended by Congress. Fixed Guideway Modernization formula funds must be obligated within four fiscal years.

Range of Approval/Disapproval Time:

In FY 2005, FTA obligated Section 5309 Capital Grants in an average of 40 days after submission of a complete application.

Appeals:

Not applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Funds for fixed guideway modernization are apportioned by statutory formula to eleven legislatively specified areas, and to other areas that have fixed guideway mileage that is over 7 years old. Funds for bus and bus related improvements and New Starts are allocated on a discretionary basis, and can be allocated on a statutory basis. A grant may be made up to 80 percent of net project cost. Vehicle related equipment required to comply with ADA or Clean Air Act may be funded at 90 percent Federal share.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants may be awarded in one lump sum or in smaller increments as specified by the grantee.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local Governments and Nonprofit Organizations, nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1134-0-1-401; 69-8350-0-7-401.

Obligations:

Capital Investment Grants FY 07 est \$2,089,825,532; FY 08 est \$1,733,343,126; and FY 09 est \$2,012,683,287 not yet available. Formula and Bus Grants FY 07 \$2,638,128,990; FY 08 est \$2,492,631,268; and FY 09 est \$3,274,810,658 not available. Note: Since FY 2006 Fixed Guideway

Modernization and Bus and Bus Facilities have been funded from FTA's Formula and Bus Grants account.

Range and Average of Financial Assistance:

Fixed Guideway Modernization In FY 2008 apportionments ranged from \$108,589 to \$439,275,949 with an average of \$25,074,629. Bus and Bus Facilities in FY 2008 earmarks ranged from \$43,472 to \$6,467,000. New Starts in FY 2008 earmarks ranged from \$294,000 to \$210,700,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, \$4.7 billion was obligated under the capital grants for Bus and bus facilities, to replace over-aged buses and expand service; fixed guideway modernization; and New Starts projects in 13 States and the District of Columbia.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998.

Regional or Local Office:

See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Federal Transit Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. FTA Office of Transit Programs, Henrika Buchanan-Smith (202) 366-2053.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction; 20.505, Federal Transit Metropolitan Planning Grants; 20.507, Federal Transit Formula Grants; 20.515, State Planning and Research; 20.509, Formula Grants for Other Than Urbanized Areas; 20.513, Capital Assistance Program for Elderly Persons and Persons with Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Bus and Bus Facilities: Flint, Michigan, Mass Transportation Authority Rehabilitation/Construction of the downtown Flint Transfer Center. New Starts: Portland, Oregon, Tri-County Metropolitan Transportation District Design and construct a 14.7 mile commuter rail line. Fixed Guideway Modernization: Ventura County, California, Ventura County Transportation Commission Rehabilitation of rail tracks.

CRITERIA FOR SELECTING PROPOSALS:

1. Major, non-recurring capital investments. 2. Congressional designation (Bus and New Starts) 3. Formula allocation (Fixed Guideway Modernization) 4. New Starts projects are subject to extensive rating and evaluation process and must have FTA approval before entering into the Preliminary Engineering and Final Design Phases.

20.505 FEDERAL TRANSIT-METROPOLITAN PLANNING GRANTS

(Metropolitan Planning)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5303.

OBJECTIVES:

To assist in development of transportation improvement programs, long-range transportation plans, and other technical studies in metropolitan areas.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Activities assisted under this section may include preparation of transportation plans including transportation improvement programs and management systems; studies related to transportation management, operations, capital requirements, and economic feasibility; evaluation of previously funded capital projects; and other related activities in preparation for the construction, acquisition, or improved operation of transportation systems, facilities, and equipment.

Applicant Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organization to be used in urbanized areas within each State.

Beneficiary Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organizations to be used in urbanized areas within each State.

Credentials/Documentation:

The Federal Register dated January 28, 2008, (FTA Fiscal Year 2008 Apportionments, Allocations and Program Information; Notice), provides in Table 2 the State apportionments. For other fiscal years, contact the FTA Regional Office or access http://www.fta.dot.gov/funding/grants_financing_38.html.

Preapplication Coordination:

Following each decennial Census, each State must submit to FTA for approval a formula, developed in cooperation with affected MPOs, for the distribution of a State's Section 5303 apportionment to MPOs in each urbanized area. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." MPOs should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application for a grant should be addressed to the appropriate Regional Office of the Federal Transit Administration. FTA Circular 8100.1B dated October 25, 1996, Program Guidance and Application Instructions for Metropolitan Planning Grants, provides detailed application information. Available on Internet at: http://www.fta.dot.gov/laws/circulars/leg_reg_4123.html. States may apply through a paperless electronic grant application, review, approval, acceptance, and management process. This program is excluded from coverage under 49 CFR Part 19.

Award Procedure:

FTA will approve and release the grant by the end of the quarterly cycle or earlier provided the application is complete.

Deadlines:

Grant applications are due in the appropriate FTA Regional Office no later than the first business day of the quarter.

Range of Approval/Disapproval Time:

In FY 04, FTA awarded planning grants to the states within an average of 9 days after submission of a complete application.

Appeals:

Not applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

The new budget authority for Fiscal Year 2008 Section 5303 appropriation to States for Metropolitan Planning Organizations totals \$88,510,400. A basic allocation of 80 percent of the amount available annually is distributed to the States based on urbanized area population for State distribution. A supplemental allocation of the remaining 20 percent is also provided to the states based on an FTA administrative formula to address planning needs in the larger urbanized areas. A January 28, 2008 Federal Register Notice, FTA Fiscal Year 2008 Apportionments and Allocations, provides a table (Table 2) which contains the final combined State apportionments. The funding ratio for these grants is 80 percent Federal, 20 percent local match of net project costs. In addition, under provisions enacted in SAFETEA-LU, current year funds can be transferred from the Federal Highway Administration to form Consolidated Planning Grants. FTA funds can also be transferred to FHWA to form consolidated Planning Grants.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Project sponsor is required to retain intact, for 3 years following submission of the final Financial Status Report pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$135,959,000; FY 08 est \$73,845,000; and FY 09 est \$99,930,000 not available.

Range and Average of Financial Assistance:

\$20,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Since 1992, \$180,000,000 have been obligated for transportation planning activities in metropolitan areas. Multimodal transportation planning studies are emphasized through coordinated funding with other DOT administrations. Refinement of the transit elements of long-range plans and an expansion of short-range planning for improvements to the existing urban transportation system are also emphasized. Capital transportation improvement programs are produced annually/biennially as well as metropolitan transportation plans.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Fiscal Year 2008 Apportionments, Allocations, and Program Information;

Notice and Fiscal Year 2008 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice, published annually. For fiscal year 2008, the publication date was January 28, 2008. For other fiscal years, contact the FTA Regional Office to obtain the publication date for other fiscal years. FTA website: www.fta.dot.gov/funding/grants_financing_93.html.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices and DOT Metropolitan Offices.

Headquarters Office:

FTA Office of Planning and Environment (TPE-10), Candace Noonan, (202) 366-1648, Federal Transit Administration, Department of Transportation, 1200 New Jersey Ave. S.E., Washington, DC 20590.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction; 20.500, Federal Transit Capital Investment Grants; 20.507, Federal Transit Formula Grants; 20.514, Public Transportation Research; 20.515, State Planning and Research.

EXAMPLES OF FUNDED PROJECTS:

The Metropolitan Council of Twin Cities area received planning funds from the State of Minnesota to support the transportation planning process in the Minneapolis-St. Paul urbanized area.

CRITERIA FOR SELECTING PROPOSALS:

Funding is allocated to the States for pass through to various Metropolitan Planning Organizations within their jurisdictions.

20.507 FEDERAL TRANSIT-FORMULA GRANTS

(Urbanized Area Formula Program)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5307.

OBJECTIVES:

To support public transportation services in urbanized areas (cities over 50,000 population).

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Funds may be used for capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for transit enhancements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs. Recipients of these grants are required to make information available to the public and to publish a program of projects to afford affected citizens opportunities through public hearings to submit comments on the proposed program and the performance of the recipient.

Applicant Eligibility:

Funds will be made available to urbanized areas (as defined by the U.S. Census Bureau) through designated recipients, which must be public entities and have the legal capacity to receive and dispense Federal funds. The Governor, responsible local officials, and publicly owned operators of mass transportation services must jointly select the designated recipient(s) for an urbanized area with a population of 200,000 or more. The Governor or his designee is the designated recipient for an urbanized area with a population of 50,000 to 199,999. Recipients must submit a program of projects to FTA; submit a program application to FTA; enter into formal agreements with FTA; and certify that public notification has been conducted.

Beneficiary Eligibility:

The general public, both users and non-users, and publicly owned operators of public transportation services.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Eligible costs must be in accordance with OMB Circular No. A-87 for State and local governments. A notice is published annually in the Federal Register on FTA's fiscal year apportionments and allocations. The notice contains list of the amount apportioned to each urbanized area. The final apportionment amounts for fiscal year 2008 were published in the January 28, 2008 notice. Prior year notices can be found on the FTA website at www.fta.dot.gov/ or by contacting

the appropriate FTA Regional Office to obtain copies or publication dates.

Preapplication Coordination:

An environmental impact assessment may be required. In addition, an environmental impact statement may also be required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance under the FTA 49 U.S.C. 5307 program.

Application Procedure:

This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are to be submitted in the Transportation Electronic Award Management system. (TEAM-Web), which is the FTA electronic grant-making and record keeping system.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Applications may be submitted throughout the fiscal year. Funds are usually available for obligation approximately 10 days after the President signs the appropriation bill for a particular fiscal year.

Range of Approval/Disapproval Time:

In FY 07, FTA obligated Urbanized Area formula grants in 26 days (on average) after submission of a complete application.

Appeals:

Opportunity for public hearing for interested persons.

Renewals:

Funds are apportioned annually to each urbanized area. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Funding is apportioned on the basis of legislative formulas. For urbanized areas with populations 50,000 to 199,999 the formula is based on population and population density, and performance statistics for those areas eligible for funding under the Small Transit Intensive Cities formula. For urbanized areas with populations of 200,000 or more, the formula is based on a combination of bus revenue vehicle miles, bus passenger miles, fixed guideway revenue miles, and fixed guideway route miles as well as population and population density. Also, funding made available to an urbanized under the Growing States and High Density States formula factors (49 USC 5340) are combined with funds apportioned to an area under the Urbanized Area Formula program. The program operates under the statutory formula prescribed in 49 USC 5336. The Federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clear Air Act. The Federal share may also be 90 percent for projects or portions of projects related to bicycles facilities. The Federal share may not exceed 50 percent of the net cost for operating assistance.

Length and Time Phasing of Assistance:

Funds apportioned under the Urbanized Area Formula program are available for obligation by the Governor, designated recipient, or authorized grant recipient for a period of 3 years following the close of the fiscal year for which such funds are apportioned. Any amounts remaining unobligated at the end of this period are distributed among all urbanized areas.

Reports:

(1) Progress reports. (2) Financial status reports, which must be submitted quarterly for recipients in urbanized areas over 200,000 population; annually for other recipients and states. (3) Construction reports where applicable. (4) Annual report to the National Transit Database (NTD).

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$5,540,822,000; FY 08 \$4,230,751,000; and FY 09 \$5,498,651,000.

Range and Average of Financial Assistance:

Varies according to local programming of available formula funds and the level of operating expenses incurred.

PROGRAM ACCOMPLISHMENTS:

Federal funds have supported the replacement and rehabilitation of the existing

rail fleet and restoration of rail facilities such as stations, track, and yards and shops; helped to increase the percentage of bus and rail maintenance facilities in good or better condition; and contributed significantly to the continuing effort to make the national fixed-route bus system 100 percent lift-or-ramp equipped. In fiscal year 2007 \$5.5 billion was awarded in grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grant Application Instructions may be accessed on the FTA website or secured at no charge from the FTA Regional Offices - FTA Circular 9030.1C, "Urbanized Area Formula Program: Grant Application Instructions," October 1, 1998.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

FTA Office of Program Management, Director, Office of Transit Programs, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Scott Faulk, Telephone: (202) 366-2053.

Web Site Address:

<http://www.fta.dot.gov/>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction; 20.500, Federal Transit Capital Investment Grants; 20.505, Federal Transit Metropolitan Planning Grants; 20.509, Formula Grants for Other Than Urbanized Areas.

EXAMPLES OF FUNDED PROJECTS:

Grants were awarded to: (1) Kansas City Area Transportation Authority for engineering, design and construction of the Kansas City Union Station Intermodal Facility; (2) Flint, MI Mass Transportation Authority for expansion of existing bus garage and renovation of operations/maintenance facility; (3) Golden Gate Bridge, Highway and Transportation District for 48 replacement buses, one expansion ferry vessel, and operating assistance; (4) Southwest Ohio Regional Transit Authority for Park and Ride lots and right-of-way acquisition; and (5) Southeastern Pennsylvania Transportation for Rail Transit Way Lines, rail station stops/terminals and rail support equipment and facilities.

CRITERIA FOR SELECTING PROPOSALS:

Projects must be eligible public transportation projects and meet all formula grant requirements.

20.509 FORMULA GRANTS FOR OTHER THAN URBANIZED AREAS

(Nonurbanized Area Formula Program)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5311.

OBJECTIVES:

To improve, initiate, or continue public transportation service in nonurbanized areas (rural and small areas under 50,000 population) and to provide technical assistance for rural transportation providers.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Section 5311 funds may be used for eligible capital expenses, project administration, and operating expenses needed to provide efficient and coordinated public transportation service in nonurbanized areas. States may use up to 15 per cent of the annual apportionment for planning and program administration. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

Applicant Eligibility:

Only designated State agencies and Indian Tribes may apply directly to FTA for grants. Eligible sub-recipients may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Private intercity bus operators may participate as subrecipients or through contracts. Urbanized areas, as defined by the Bureau of the Census, are not eligible.

Beneficiary Eligibility:

The general public, both users and nonusers, and private and public providers of public transportation in nonurbanized areas.

Credentials/Documentation:

The State agency must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. The state and subrecipients must agree to the standard labor protection warranty for the program. Environmental assessments may be required. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible coast must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9040.1F issued April 4, 2007. FTA annually issues a Federal Register Notice of apportionments, allocations and program information. For fiscal year 07, the publication date was March 23, 2007. Prior year notices can be found on the FTA website at www.fta.dot.gov or by contacting the appropriate FTA regional office to obtain copies or publication dates.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Eligible sub-recipients should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate and select eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR, Part 18, apply to the state and governmental bodies, and 49 CFR Part 19 applies to the private non-profit organizations.

Award Procedure:

The Federal Transit Administration approves the State's program of projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the state must execute the grant agreement. The state implements grant agreements or other instructions with the subrecipients.

Deadlines:

States may submit applications to FTA throughout the fiscal year. Funds are available for obligation for three fiscal years. Local transit operators apply to the state as subrecipients on cycle as prescribed by the State agency designated to administer the Section 5311 program.

Range of Approval/Disapproval Time:

As prescribed by the State agency designated to administer the Section 5311 program. FTA approves grants on a quarterly release cycle.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

Subrecipients typically receive funding through the designated State agency.

Formula and Matching Requirements:

Program funds are apportioned annually to the states according to a statutory formula based on 20 percent of the funds are allocated by the ratio of nonurbanized land area of each State to the nonurbanized land area of all of the States with no State receiving more than five percent of these funds. Eighty percent are allocated by the ratio of nonurbanized population of each State to the nonurbanized population of all of the states. In addition to the funds made available to States under section 5311, approximately 16 percent of the funds authorized for the new section 5340 Growing States and High Density States formula factors will be apportioned to States for use in nonurbanized areas. Rural Transit Assistance Program (RTAP) is funded as a two percent takedown from the amount authorized and appropriated for Section 5311 and can be used for technical assistance, training. Research and related support activities. From the amounts made available for RTAP, up to 15 percent may be used by the Secretary to carry-out projects of national scope with the remaining balance to the states. Funds are allocated annually based on an administrative formula that provides a base amount to each state and allocates the balance according to the nonurbanized area population. The maximum Federal share eligible capital and project administration costs is 80 percent, except for three categories of projects that can be funded at 90 percent share: projects designed specifically to increase the accessibility of public transportation to bicycles and vehicle related equipment required to comply with the ADA or the Clean Air Act. The maximum FTA share for operating assistance is 50 percent of the net operating deficit. Other Federal funds may be used for half of the local match requirement. Income from purchase of service contracts with human service agencies may be used for the entire local share for operating assistance. There is no matching requirement for RTAP or for state administration.

Length and Time Phasing of Assistance:

Funds are apportioned annually to the States. Sums apportioned are available for obligation by the State designated agency for a period of 2 years following the close of the fiscal year for which sums are apportioned, and amounts remaining unobligated at the end of such period shall be apportioned among the States for the succeeding fiscal year. States usually fund local recipients on an annual cycle.

Reports:

States submit progress reports and Financial Status Reports annually to FTA.

States may require additional reports from recipients.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

FTA: 69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 est \$492,837,736; and FY 08 est \$452,410,960; and FY 09 est \$601,781,050.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Since 1979 assistance has been provided to over 1,300 providers of rural and small urban transportation.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9040.1, Nonurbanized Area Formula Program Guidance and Grant Application instructions.

Regional or Local Office:

See Appendix IV of the Catalog for the address of Federal Transit Administration Regional Offices. State Designated Agency: The Governor in each State has designated a State agency to administer the Section 5311 program. This should be the first contact point. Regional Offices: A person from each Federal Transit Administration Regional Office is available to answer questions about Federal regulations related to the Nonurbanized Formula Program.

Headquarters Office:

Federal Transit Administration, Office of Program Management, Office of Capital and Formula Assistance, 1200 New Jersey Avenue, SE., Washington, DC 20590. Lorna Wilson Telephone: (202) 366-0893.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500, Federal Transit Capital Investment Grants; 20.507, Federal Transit Formula Grants; 20.513, Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.516, Job Access Reverse Commute; 20.521, New Freedom Program.

EXAMPLES OF FUNDED PROJECTS:

A typical local recipient of Section 5311 operates a fleet of about six vans or small buses in demand responsive and fixed route service. Section 5311 provides replacement vehicles and covers about a quarter of the average \$316,000 annual operating budget. The State receives Federal funds for a statewide program of projects.

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency in its procedures or State management plan.

20.513 CAPITAL ASSISTANCE PROGRAM FOR ELDERLY PERSONS AND PERSONS WITH DISABILITIES

(Elderly and Persons with Disabilities Program)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5310.

OBJECTIVES:

To provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas - urbanized, small urban, and rural.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Section 5310 funding may be used for eligible capital expenses needed to provide efficient and coordinated specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services assisted under this section with transportation assisted by other Federal sources and must provide for the

maximum feasible participation of private-for-profit operators.

Applicant Eligibility:

Only designated states may apply for FTA grants. Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to the governor that no nonprofit corporations or associations are readily available in an area to provide the service.

Beneficiary Eligibility:

Elderly persons and persons with disabilities.

Credentials/Documentation:

The State must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible costs must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9070.1E. FTA annually issues a Federal Register Notice of apportionments, allocations, and program information. For fiscal year 06, the publication date was November 30, 2005. Prior year notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional office to obtain copies or publication dates

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedure:

Local agencies should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate, select and approve eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR Part 18 apply to the State and governmental bodies and 49 CFR Part 19 applies to private nonprofit organizations.

Award Procedure:

The Federal Transit Administration approves the State's Program of Projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the State must execute the grant agreement. The State implements grant agreements or other instruments with the subrecipients.

Deadlines:

States may submit applications to FTA throughout the fiscal year. Funds are available for obligation for three years, which includes the year funds are apportioned plus two additional years. Local agencies apply to the state as subrecipients on a cycle prescribed by the state agency designed to administer the Section 5310 program.

Range of Approval/Disapproval Time:

Each state has its own cycle for subrecipients. In FY 05, FTA obligated Section 5310 formula grants to the States in an average of 4 days after submission of a complete application.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

As determined by the designated State agency.

Formula and Matching Requirements:

Funds for this program are allocated among the States by a formula which is based on the population of elderly persons and persons with disabilities in each State according to the latest U.S. Census population figures. Grants may be made for 80 percent of the eligible project costs. SAFETEA-LU allows states eligible for the sliding scale match under FHWA programs to use that match ratio for section 5310 capital projects. Funds provided under other Federal programs (other than those of the Department of Transportation, with the exception of the Federal Lands Highway Program established by section 204 of Title 23 U.S.C.) may be used as match for capital funds provided under section 5310.

Length and Time Phasing of Assistance:

Section 5310 program funds are available to the States for three years, which includes the fiscal year in which they were allocated plus two additional years. Unobligated Section 5310 funds may be transferred to the Section 5311 or Section 5307 programs within the last 90 days of the fiscal year. Any funds not obligated or transferred by the end of the period of availability will be redistributed to all the States by formula in the succeeding fiscal year.

Reports:

As requested by the State and Federal agencies administering the program. States must submit annual status reports and annual financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final financial report, all contract documents, financial records, and supporting documents with the following qualification: If any litigation, claim or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$157,781,000; FY 08 est \$117,242,000; and FY 09 est \$153,805,000.

Range and Average of Financial Assistance:

Statewide: For FY 2006, \$62,456 - \$11,687,113.

PROGRAM ACCOMPLISHMENTS:

This special program of assistance was begun in fiscal year 1975, when \$20 million was distributed to more than 1,000 private nonprofit organizations to purchase vehicles to transport the elderly and persons with disabilities. Today, nearly 4,000 local subrecipients receive funding, and continuation of this program has enabled thousands of elderly persons and persons with disabilities to achieve greater mobility and independence.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9070.1E, The Elderly and Persons with Disabilities Program Guidance and Application Instructions, dated October 1, 1998.

Regional or Local Office:

See Appendix IV of the Catalog for the address of Federal Transit Administration's Regional Offices. State- Designated Agency: The Governor in each State has designated a State agency to administer the Section 5310 program.

Headquarters Office:

Federal Transit Administration, Office of Program Management, Office of Resource Management and State Programs, 1200 New Jersey Avenue S.E., East Building-Fourth Floor, Washington, DC 20590. Telephone: (202) 493-0175. David Schneider, Program Specialist.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500, Federal Transit Capital Investment Grants.

EXAMPLES OF FUNDED PROJECTS:

A typical project provided \$1 million to a State that used the funds to purchase 35 accessible vehicles for 30 local subrecipients throughout the State.

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency.

20.514 PUBLIC TRANSPORTATION RESEARCH

(National Research Programs)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5314(a).

OBJECTIVES:

The Federal Transit Administration's research program seeks to deliver solutions that improve public transportation. Its primary goals are to increase transit ridership, improve safety and emergency preparedness, improve capital operating efficiencies, protect the environment and promote energy independence, and provide transit research leadership. To accomplish this, FTA funds research on mobility management, transit operational efficiency, safety and emergency preparedness, transit capacity building, energy independence and environmental protection, infrastructure and equipment protection and innovation, and strategic research program planning.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Dissemination of Technical Information; Training.

USES AND USE RESTRICTIONS:

This program includes several types of projects, including research, development, demonstration and training projects (49 U.S.C., Section 5312), and human resource programs (49 U.S.C., Section 5322).

Applicant Eligibility:

Grants and cooperative agreements: Public bodies, nonprofit institutions, State and local agencies, universities, and legally constituted public agencies and operators of public transportation services, and private for-profit organizations.

Beneficiary Eligibility:

State and local governments, transit agencies, private organizations, profit organizations, nonprofit organizations, and universities.

Credentials/Documentation:

Grants and cooperative agreements: Initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for universities, A-122 for private nonprofit organizations, and the Federal Acquisition Regulations for private for profit organizations. Contracts are awarded in response to specific requests for proposal.

Preapplication Coordination:

Although applications for research, development and demonstration projects may be submitted at any time, potential applicants are encouraged to communicate with FTA before submitting formal applications. The initial contact may be by telephone, by submitting a written outline, or by personally meeting with appropriate FTA Headquarters staff. This preliminary contact will serve to: (1) establish a base for communication between FTA and the applicants; (2) determine the applicant's eligibility; (3) eliminate any proposal which have little or no chance for Federal funding before applicants incur expenditures involved in proposal preparation; and (4) determine funding available and conformance to FTA policy and program objectives. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in their State for more information on the process the State requires in applying for assistance if the State has selected the program for review. The standard application forms as furnished by the Federal agency and required by 49 CFR Part 18 or 49 CFR Part 19 must be used for this program.

Application Procedure:

Potential applicants should follow instructions found in FTA Circular 6100.1C, "Transit Research and Technology Programs: Application and Program Management Guidelines." This Circular may be found at the FTA web site at www.fta.dot.gov. This program is subject to the provisions of 49 CFR Part 18 for State and local governments and 49 CFR Part 19 for universities and private nonprofit organizations.

Award Procedure:

The Administrator, Federal Transit Administration, makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement.

Deadlines:

No fixed or standard deadlines for research, development, and demonstration projects.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Research, development, and demonstration projects: FTA encourages cost-sharing by performing organizations to the extent feasible and equitable. Cost-sharing is not a prerequisite to funding. However, it is actively considered in the evaluation of proposals. The amount of such sharing is determined by mutual agreement between FTA and the recipient. More weight is given to programs with a greater local share and proposals with cash contributions than to in-kind contributions.

Length and Time Phasing of Assistance:

Varies with the complexity of the project.

Reports:

(1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Transit Planning and Research Grants and Contracts) FY 07 est \$34,414,761; FY 08 est \$39,990,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

(1) FTA initiated the Bus Rapid Transit Demonstration Program integrating vehicle technology, Intelligent Transportation Systems (ITS), coordinated services, traffic engineering enhancements, and innovative urban design. Seventeen cities have formed a BRT consortium to share ideas and experience in implementing BRT. (2) The Advanced Technology Transit Bus (ATTB) test vehicle was successfully developed and tested. This revolutionary low-floor, light-weight, easily accessible bus features low-emission propulsion. (3) FTA, working with an industry committee (natural gas suppliers and transit operators) produced a detailed technical guideline document defining basic safety requirements for fueling facilities, vehicles, bus garages and storage areas. (4) Four-quadrant gates linked to a vehicle detection system are being demonstrated on a commuter-rail highway grade crossing in the Boston area to prevent motorists from attempting to drive around closed crossing gates. (5) Project ACTION (Accessible Community Transportation in Our Nation) has implemented accessible transportation initiatives, made national presentations on accessible transportation and distributed thousands of copies of "how-to" publications. (6) The JobLinks program provided Welfare-to-Work pilot demonstrations, evaluations and technical assistance that were instrumental in designing the Job Access and Reverse Commute Program enacted in TEA-21. (7) In collaboration with the Federal Highway Administration, FTA published a 3-volume guidance manual on developing and implementing Transportation Demand Management (TDM) measures and developed and tested a pilot training course on TDM. (8) FTA prepared and disseminated descriptions of FTA Research and Technology programs and projects and annotated bibliographies of recent technical reports related to transit; these are available on the FTA Research and Technology website: <http://www.fta.dot.gov>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fiscal Year 2008 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice, published October 1, 2008. For other fiscal years, contact the FTA Office of Research Demonstration and Innovation to obtain the publication dates. FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator for Research, Demonstration and Innovation (TRI-1), Federal Transit Administration, Department of Transportation, 1200 New Jersey Ave. S.E., Washington, DC 20590. Telephone: (202) 366-4052. Bruce Robinson, Office of Research, Demonstration and Innovation, (202) 366-4209.

Web Site Address:

<http://www.fta.dot.gov/research>.

RELATED PROGRAMS:

20.500, Federal Transit Capital Investment Grants; 20.507, Federal Transit Formula Grants; 20.515, State Planning and Research.

EXAMPLES OF FUNDED PROJECTS:

Nearly all projects funded in recent years were at the direction of the Congress under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU). or annual appropriations acts. Most projects funded in recent years were at the direction of the Congress under annual appropriation actions. Projects funded during FY 2008 included: (1) Transit safety and Security Statistics; (2) Transit Safety and Security Training; (3) Rail safety research (4) Fuel Cell Research; (5) Hybrid Electric Vehicle research; (6) Rail Capacity Improvement Research and (7) Mobility Services for All Americans Research.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must support the FTA Strategic Plan, available on the FTA Home Page of the Internet at <http://www.fta.dot.gov>. If a preliminary proposal is judged to meet program and funding availability, FTA will invite formal proposal submission or cooperative agreement application. Criteria for preliminary approval include: (1) compatibility with FTA Strategic Plan and Strategic Research Plan; (2) availability of funding; (3) potential for impact on the state-of-the-art from the viewpoint of innovation in transit technology, services and operations; (4) technical feasibility and potential for successful introduction into use in the transit industry; (5) background, experience, and demonstrated capabilities of the applicant; (6) partnerships with public and private organizations; (7) non-FTA funding match; and (8) other pertinent matters, such as geographic distribution of projects and questions of environmental and labor impact.

20.515 STATE PLANNING AND RESEARCH

(State Planning and Research Program)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5304.

OBJECTIVES:

To assist in the development of cost effective multimodal transportation improvement programs which include the planning, engineering, and designing of Federal Transit projects, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

This is a consolidated program which is apportioned to the States under 49 U.S.C., Chapter 53 which includes such activities as planning, technical studies and assistance, innovative demonstrations, management training, and cooperative research. In addition, a State may authorize a portion of these funds to be used to supplement and administer Metropolitan Planning funds allocated by the State to its urbanized areas, as the State deems appropriate.

Applicant Eligibility:

Apportionments are made to the States.

Beneficiary Eligibility:

Apportionments are made to the States.

Credentials/Documentation:

Federal Register Notice, "FTA Fiscal Year 2008 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2008, the publication date was January 28, 2008. For other fiscal years, contact the FTA Regional Office or refer to the FTA web site to obtain the publication dates. The FTA website is: www.fta.dot.gov/funding/grants_financing_38.html.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." A sub-recipient should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

FTA Circular 8200.1 dated December 27, 2001, Program Guidance and Application Instructions for State Planning and Research Program Grants" provides detailed application information. It is available at www.fta.dot.gov/laws/circulars/leg_reg_4124.html.

Award Procedure:

If the application is complete, FTA will approve and release the grant.

Deadlines:

Applications may be submitted throughout the fiscal year.

Range of Approval/Disapproval Time:

If completed applications are submitted to FTA no later than the first business day of the quarter, FTA will then award grants no later than the last business day of the quarter. In FY 04, FTA awarded State Planning and Research Program grants in an average of 9 days of submission of a complete application.

Appeals:

Not applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

The matching requirements vary. Funds obligated for State or metropolitan planning are granted as an 80 percent Federal, 20 percent local ratio. In addition, current year funds can be transferred from the Federal Highway Administration to form State Consolidated Planning Grants.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project sponsor is required to retain intact, for 3 years following submission of the final financial status report pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$19,991,000; FY 08 est \$15,467,000; and est FY 09 \$20,875,000.

Range and Average of Financial Assistance:

\$45,000 to \$1,000,000 plus per State based on a statutory formula.

PROGRAM ACCOMPLISHMENTS:

Block grant funds to States for projects they identify to accomplish statewide planning and research.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice, "FTA Fiscal Year 2008 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2008, the publication date was January 28, 2008. For other fiscal years, contact the FTA Regional Office or consult the FTA web site to obtain the publication dates. The FTA website is:

http://www.fta.dot.gov/funding/grants_financing_38.html.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

FTA Office of Planning and Environment (TPE-10), Candace Noonan, Telephone: (202) 366-1648, Federal Transit Administration, Department of Transportation, 1200 New Jersey Ave., S.E., Washington, DC 20590.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction; 20.500, Federal Transit Capital Investment Grants; 20.502, Federal Transit Grants for University Research and Training; 20.507, Federal Transit Formula Grants; 20.505, Federal Transit Metropolitan Planning Grants; 20.514, Public Transportation Research.

EXAMPLES OF FUNDED PROJECTS:

California did a study of performance measures for small and rural transit.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.516 JOB ACCESS-REVERSE COMMUTE

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU), Title 49, Chapter 53, Section 5316, 49 U.S.C 5317.

OBJECTIVES:

To provide grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low-income persons to employment and support services. Job Access grants will be to finance planning, capital and operating cost of projects. The Reverse Commute grants will assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urban, rural and other suburban locations to suburban work places.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The funds are to be used for delivery of services as well as to administer, plan and provide technical assistance for projects.

Applicant Eligibility:

State and local government agencies, nonprofit agencies, and transit providers.

Beneficiary Eligibility:

Low income individuals; individuals traveling to suburban work places.

Credentials/Documentation:

The applications submitted were derived from a locally developed, coordinated public transit-human services transportation plan and developed through a process that included representatives of public, private and nonprofit transportation and human services providers and participation by the public.

Preapplication Coordination:

Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served, and other stakeholders. The program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the area's recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate FTA regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for JARC funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

The JARC Program is a formula program. This information is published in the Annual Apportionment Federal Register. Funds are normally available for three years. Applications can be submitted throughout the year.

Range of Approval/Disapproval Time:

In the past Fiscal years, FTA approved JARC grants on an average of 42 days following submission of a complete application.

Appeals:

Not applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

An 80 percent cost share for capital projects, and a 50 percent match for operating projects is required. Certain other Federal funds may be used for this match, such as the Department of Health and Human Services temporary assistance to needy families, the Department of Labor Welfare-to-work, and the Federal Highway's State Planning and Research funds. Sixty percent funding shall be distributed among designated recipients in urbanized areas with a population of 200,000 or more in the ratio that the number of eligible low-income individuals and welfare recipients in each such urbanized area bears to the number of eligible low-income individuals and welfare recipients in all such urbanized areas. Twenty percent of the funds shall be distributed among the States in the ratio that the number of eligible low-income individuals and welfare recipients in urbanized areas with a population of less than 200,000 in each State bears to the number of eligible low-income individuals and welfare recipients in urbanized areas with a population of less than 200,000 in all States. Twenty percent of the funds shall be distributed among the States in the ratio that the number of eligible low-income individuals and welfare recipients in other than urbanized areas in each State bears to the number of eligible low-income individuals and welfare recipients in other than urbanized areas in all States.

Length and Time Phasing of Assistance:

Generally 1 year. Can be up to 4 years.

Reports:

(1) Progress reports; (2) Financial status reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1125-0-1-401; 69-8350-0-7-401.

Obligations:

FY 07 \$59,714,258; FY 08 est \$238,961,230; and FY 09 est \$283,102,480.

Range and Average of Financial Assistance:

\$23,550 to \$1,500,000. Average: \$356,000.

PROGRAM ACCOMPLISHMENTS:

Job Access and reverse Commute services in 45 States and the District of Columbia have been funded through more than 450 grants and grant amendments. JARC services in Fiscal Year 2006 provided access to approximately 43.4 million jobs, including 21.2 million low-wage jobs and provided approximately 22.9 million one-way trips.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9050.1, Job Access and Reverse Commute Program Guidelines and Application Instructions, updated May 1, 2007.

Regional or Local Office:

See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Office of Program Management, Federal Transit Administration, Department of Transportation, 1200 New Jersey Avenue, SE, East Building-Fourth Floor Washington, DC 20590. Telephone: (202) 366-2053. David Schneider telephone (202)493-0175..

Web Site Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3550.html

RELATED PROGRAMS:

20.505; 20.507; 20.509; 20.513; 20.515.

EXAMPLES OF FUNDED PROJECTS:

Projects funded include Extended service hours, new demand, responsive service, fixed route extensions, emergency childcare transportation, guaranteed ride home programs, additional bus lines; mobility management projects.

CRITERIA FOR SELECTING PROPOSALS:

49 U.S.C. 5316(f) of SAFETEA-LU states factors that must be fair and equitable. Proposals must also meet legal and administrative requirements, available on the FTA web site at <http://www.fta.dot.gov>.

20.518 CAPITAL AND TRAINING ASSISTANCE PROGRAM FOR OVER-THE-ROAD BUS ACCESSIBILITY

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

The program is authorized under Section 3038 of the Transportation Equity Act for the 21st Century (TEA-21), Public Law 105-85 as amended by the Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059, August 10, 2005.

OBJECTIVES:

To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's Over-the-Road Bus Accessibility regulation, "Transportation for Individuals with Disabilities" (49 CFR Part 37, Subpart H).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Program funds may be used to finance the incremental capital and training costs of complying with DOT's Over-the-Road Bus Accessibility regulation. Capital projects eligible for funding include adding wheelchair lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs include training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training.

Applicant Eligibility:

Private operators of over-the-road buses that provide intercity fixed route bus service, and other providers, including operators of over-the-road buses of local fixed-route service, commuter service, and charter or tour service.

Beneficiary Eligibility:

Persons with disabilities.

Credentials/Documentation:

Costs are determined in accordance with program guidance in an annual Federal Register Notice soliciting applications.

Preapplication Coordination:

None required. The program is not covered by E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

FTA conducts a national solicitation for applications annually. Grant awards will be made on a competitive basis. Applications may be submitted through Grants.Gov. Paper applications are also accepted. An original and two copies must be submitted to the appropriate FTA Regional Office. The over-the-road bus operators should submit their applications to the office in the region in which its headquarters is located. The application should provide information on all items for which the applicant is requesting funding. Applications for FY 07/08 funding were due on November 13, 2007. A solicitation was issued in FY 07 for FY 07/08 funding.

Award Procedure:

The announcement of awards is published in a Federal Register Notice. Successful applicants file a grant application with FTA. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

As prescribed in the annual Federal Register Notice soliciting applications. The deadline for FY 07/08 applications was November 13, 2007. Following competitive selection, successful applicants may apply for grant funding throughout the fiscal year.

Range of Approval/Disapproval Time:

Project selections are announced approximately six months following the application deadline for competitive consideration.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Federal funds will be provided for up to 90 percent of the eligible costs.

Length and Time Phasing of Assistance:

Funds are available for obligation to grantees for 3 fiscal years. Any funds not obligated by FTA by the end of this period will be added to the succeeding year's program allocation.

Reports:

Final progress report and Financial Status Report upon closing the grant, and annual reports for grants remaining open the end of each Federal fiscal year. Documentation is required for payment.

Audits:

As requested by FTA.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$6,856,849; FY 08 est \$10,908,290; and FY 09 est \$13,358,290.

Range and Average of Financial Assistance:

\$20,000 to \$180,000. Average: \$20,000.

PROGRAM ACCOMPLISHMENTS:

During FY 06, 91 companies were provided funding to make 212 vehicles wheelchair accessible and provide training.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notices, published annually. Current guidance contained in Federal Register Notice dated February 2, 2006, "Over-the-Road Bus Accessibility Program Grants".

Regional or Local Office:

See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Federal Transit Administration, Office of Program Management, Office of Transit Programs, 1200 New Jersey Avenue, S.E., Washington DC 20590. Blenda Younger, Program Manager. Telephone: (202) 366-2053.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Operators of intercity fixed-route services received \$29,460 for the incremental capital cost of making vehicles wheelchair accessible, and \$1,800 for training as required by the American Disability Act.

CRITERIA FOR SELECTING PROPOSALS:

Current guidance contained in Federal Register Notice dated February 2, 2006, "Over-the-Road Bus Accessibility Program Grants".

20.519 CLEAN FUELS

(Clean Fuels)

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C 5308.

OBJECTIVES:

To assist in financing the acquisition of clean fuel buses and related facilities for agencies providing public transportation and operating in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Eligible projects include the purchase or lease of clean fuel buses, the construction or lease of clean fuel buses or electrical recharging facilities and related equipment for such buses, and construction or improvement of public transportation facilities to accommodate clean fuel buses. A clean fuel bus is a passenger vehicle used to provide public transportation that is powered by compressed natural gas, liquefied natural gas, biodiesel fuels, batteries, alcohol-based fuels, hybrid electric systems, fuel cells, clean diesel (to the extent allowed by law), or another low or zero emissions technology. Buses that employ lightweight composite primary structure may also be eligible.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Applicant must also operate in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. If the urbanized area is less than 200,000 in population, the State in which the area is located will act as the recipient.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on labor

agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances.

Preapplication Coordination:

Pursuant to the National Environmental Policy Act (NEPA), an environmental impact assessment and/or environmental impact statement may be required. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed in applying for assistance under this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://fteamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Applications may be submitted throughout the fiscal year. Funds are available for obligation for three fiscal years including the year of appropriation.

Range of Approval/Disapproval Time:

It is a goal of the Federal Transit Administration to obligate grants within an average of 36 days of the submission of a complete grant application.

Appeals:

None. Award recipients are chosen by Congress during the appropriations process.

Renewals:

Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Funding is apportioned on a discretionary basis. The Federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment or facilities attributable to compliance with the American with Disabilities Act and the Clear Air Act.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement.

Reports:

(1) Progress reports, (2) Financial status reports, (3) construction reports where applicable.

Audits:

Pursuant to requirements established by the Office of Management and Budget (OMB), nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$15,920,000; FY 08 est \$46,538,470; and FY 09 est \$60,663,470 not available.

Range and Average of Financial Assistance:

\$160,000 - \$5,250,000. Average \$1,112,000.

PROGRAM ACCOMPLISHMENTS:

The program has provided funding for clean fuel projects as directed by Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See list of region contacts listed in Appendix IV.

Headquarters Office:

Department of Transportation, Federal Transit Administration-Office of Program Management, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Telephone: (202)366-2053 Contact: Kimberly Sledge, E-mail:

kimberly.sledge@fta.dot.gov.

Web Site Address:

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects receiving funding under the program include the replacement of existing diesel buses with hybrid diesel-electric and other alternative fuel buses, as well as the construction of facilities to support vehicles utilizing clean fuel technology.

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation.

20.521 NEW FREEDOM PROGRAM

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Efficient, Flexible Transportation Equity Act: A legacy for Users (SAFETEA-LU), Title 49, Chapter 53, Section 5317; 49 U.S.C. 5317.

OBJECTIVES:

To provide grants to recipients for new public transportation services and public transportation alternatives beyond those required by the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et. Seq.) that assists individuals with disabilities with transportation, including transportation to and from jobs and employment support services.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To be eligible, a project must either be a new public transportation service or a public transportation alternative beyond those required by the ADA and the project must assist individuals with disabilities with transportation, including transportation to and from jobs and employment support services. Capital and operating expenses for projects that meet the purpose of the statute are eligible to be funded. The recipient may use up to 10 percent of apportioned funds for administration, planning, and technical assistance.

Applicant Eligibility:

An eligible recipient is defined as a recipient to be designated by the Governor of the State in consultation with local officials and local public transportation providers in urbanized areas above 200,000 in population. In urbanized areas between 50,000 and 200,000 in population and nonurbanized (rural) areas, the State is the eligible recipient. Public agencies, nonprofit agencies, public transportation providers, private transportation providers, and human services transportation providers are eligible to be subrecipients under the New Freedom program.

Beneficiary Eligibility:

Individuals with Disabilities.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in the local coordinated public transit human service transportation plan, an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances.

Preapplication Coordination:

Pursuant to Section 102 (2) (C) of the National Environmental Policy Act of 1969 (Public Law 91-190), 42 U.S.C. 4332 (2) (c), Executive Order 11514 (34 FR 4247) of March 4, 1970, an environmental impact assessment and/or environmental impact statement may be required for construction projects. Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served and stakeholders. The program is covered under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed in applying for assistance under this program.

Application Procedure:

The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the areas recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate Federal Transit Administration, FTA, regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for New Freedom funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA New Freedom grant is awarded and funds are obligated in an electronic

grant agreement. The recipient must execute the grant agreement, and access FTA's electronic funds management system; the funds management system allows the grantee to drawdown funds after invoices are received. The grantee directs funds to subrecipients.

Deadlines:

Available formula funds are published in the Annual Apportionment Federal Register. Funds are available for obligation for three fiscal years including the year of appropriation.

Range of Approval/Disapproval Time:

It is a goal of the Federal Transit Administration to obligate grants within 36 days of the submission of a complete grant application.

Appeals:

Not applicable.

Renewals:

Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Funding is apportioned on a formula basis. 60 percent of funds are apportioned for areas of 200,000 or more in population in the ratio that the number of individuals with disabilities in each such urbanized area bears to the number of individuals with disabilities in all such areas; 20 percent is apportioned among the states in the ratio that the number of individuals with disabilities in urbanized areas with a population of less than 200,000 in each State bears to the number of individuals with disabilities in areas with a population of less than 200,000 in all States; 20 percent is apportioned among the states in the ratio that the number of individuals with disabilities in other than urbanized areas of each State bears to the number of individuals with disabilities in other than urbanized areas in all States. 49 U.S.C. 5317 (c) (1)(A-C). The population factor used in the formula consists of the number of disabled individuals, over the age of five, in each area divided by the total population of disabled individuals over the age of five in all areas in the corresponding population category. The population factor is multiplied by the total funding available by category to determine an area's allocation. The Federal share is not to exceed 80 percent of the net project cost for capital expenses, or 50 percent of net operating costs of the projects for operating assistance. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clear Air Act. Up to 10 percent of a recipient's New Freedom allocation may be used for planning, administration, and technical assistance. Funds used for these purposes may be funded at 100 percent federal share.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. Funds are awarded using an electronic financial management system as funds are expended and invoices received.

Reports:

(1) Annual Progress reports, (2) Annual Financial status reports, (3) construction reports where applicable, and (4) Annual report to the National Transit Database (NTD).

Audits:

Pursuant to requirements established by the Office of Management and Budget (OMB), nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Grants) FY 07 \$9,323,016; FY 08 \$139,230,000; and FY 09 \$164,161,310 est not available.

Range and Average of Financial Assistance:

Project funding varies based on competitive selection process at State or urbanized area level. Urbanized area apportionments range from \$45 K to \$5.7 M. State apportionments for small urbanized areas range from \$35 K to \$1.6 M and for rural areas from \$48K to \$1 M.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) Federal Transit Administration Transit Program Changes, Authorized Funding Levels and Implementation of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, 70 Federal Register 71950, 71968 (November 30, 2005). (2) FTA Circular 9045.1, New Freedom Program Guidelines and Application Instructions, enacted May 1, 2008.

Regional or Local Office:

Region 1, Transportation Systems Center, Kendall Square, 55 Broadway, Suite

920 Cambridge, MA 02142-1093. Telephone: (617) 494-2055 Region 2, One Bowling Green, Room 429, New York, NY 10004-1415. Telephone: (212) 668-2170, Region 3, 1760 Market Street, Suite 500, Philadelphia, PA 19103-4124. Telephone: (215) 656-7100, Region 4, Atlanta Federal Center, Suite 17T50, 61 Forsyth St., S.W. Atlanta, GA 30303. Telephone: (404) 562-3500, Region 5, 200 West Adams Street, Suite 320, Chicago, IL 60606. Telephone: (312) 353-2789, Region 6, 819 Taylor Street, Room 8A36, Fort Worth, TX 76102. Telephone: (817) 978-0550, Region 7, 901 Locust Ste. 404, Kansas City, MO 64106. Telephone: (816) 329-3920, Region 8, 12300 West Dakota Ave. Suite 310 Lakewood, CO 80228-2583. Telephone: (720) 963-3300 Region 9, 201 Mission Street, Room 2210 San Francisco, CA 94105-1839. Telephone: (415) 744-3133 Region 10, Jackson Federal Building, 915 Second Avenue, Suite 3142, Seattle, WA 98174-1002. Telephone: (206) 220-7954 LOWER MANHATTAN RECOVERY OFFICE (LMRO), 1 Bowling Green, Room 436 New York, NY, 10004. Telephone: (212) 668-1770.

Headquarters Office:

Office of Program Management Federal Transit Administration Department of Transportation 1200 New Jersey Avenue S.E., East Building-Fourth Floor, Washington, DC 20590 Contact: David Schneider, Telephone: (202) 493-0175.

Web Site Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3549.html

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Enhancing ADA complementary paratransit services beyond the ADA; (2) New Feeder services; (3) Making accessibility improvements to transit and intermodal stations not designated as key stations; (4) travel training; (5) volunteer driver and aide programs; (6) Accessible taxi, ridesharing, or vanpooling programs (7) mobility management.

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation, recipients must conduct a competition to determine which projects should be funded.

20.522 ALTERNATIVES ANALYSIS

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5339.

OBJECTIVES:

To assist in financing the evaluation of all reasonable modal and multimodal alternatives and general alignment options for identified transportation needs in a particular, broadly defined travel corridor.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in conducting alternatives analyses as part of the early planning for capital projects for new fixed guideway systems and extensions to existing fixed guideway systems.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not directly eligible recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation and public agencies. Private consultants may participate through contractual arrangements with a public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Unified Planning Work Program (UPWP) and approved by FTA and FHWA. Applicant must complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement may be required for this program. An environmental impact statement may also be required upon completion of the review.

Application Procedure:

Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the

availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://fateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Applications may be submitted throughout the fiscal year. Funds are available for obligation for three fiscal years including the year of appropriation.

Range of Approval/Disapproval Time:

FTA awards more than 80 percent of grants within 60 days of receipt of a completed application, with an average processing time of 36 days or less.

Appeals:

Not applicable.

Renewals:

Funding is made available annually, FY 2006-2009, through the appropriations process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Funds for Alternatives Analysis projects are allocated based on statutory or discretionary basis. A grant may be made up to 80 percent of total project cost.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants may be awarded in one lump sum or in smaller increments as specified by the grantee.

Reports:

(1) Progress reports; (2) Financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local Governments and Nonprofit Organizations; nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

FY 07 \$15,745,000; FY 08 est \$32,798,330; and FY 09 est \$39,202,780.

Range and Average of Financial Assistance:

In FY 2006 Congressional designations range from \$300,000 to \$2,500,000 with an average of \$138,889.

PROGRAM ACCOMPLISHMENTS:

The program will support alternatives analysis for over 18 new fixed guideway transit systems, ultimately resulting in more effective decision making at the local level and to support future Federal investment in major capital projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See list of regional office contacts located in Appendix IV.

Headquarters Office:

Department of Transportation, Federal Transit Administration, Office of Program Management, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202)366-2053 Contact: Kimberly Sledge, E-mail: kimberly.sledge@fta.dot.gov.

Web Site Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction; 20.500, Federal Transit_Capital Investment Grants; 20.505, Federal Transit_Metropolitan Planning Grants.

EXAMPLES OF FUNDED PROJECTS:

Projects funded include studies conducted as part of the transportation planning process to include an assessment of a wide range of public transportation alternatives designed to address a transportation problem in a corridor or subarea.

CRITERIA FOR SELECTING PROPOSALS:

Major, non-recurring capital investment Congressional designation.

20.600 STATE AND COMMUNITY HIGHWAY SAFETY

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Highway Safety Act of 1966, as amended, 23 U.S.C. 401 et seq.

OBJECTIVES:

To provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Formula grant funds may be used for problems identified within the nine national priority program areas of Alcohol and other Drug Countermeasures, Police Traffic Services, Occupant Protection, Traffic Records, Emergency Medical Services, Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

Applicant Eligibility:

States, federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

Beneficiary Eligibility:

Political subdivisions, through the State Highway Safety Agencies.

Credentials/Documentation:

Performance plan approved by the agency is required and Highway Safety plan, certification statement, and Program Cost Summary. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. State Plan due date is September 1 for the formula grant program.

Preapplication Coordination:

NHTSA Regional Administrators and FHWA Division Administrators review each State's Performance Plan and Highway Safety Plan. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is exempt from the standard application forms required by the Governmentwide Common Grant Rule for State, Local, and Indian Tribal Governments. Assistance from NHTSA and FHWA is continuously available to participants in this program.

Application Procedure:

Submission of Highway Safety Plan covering State and community highway safety activities for the year to NHTSA regional offices.

Award Procedure:

Awards are made by NHTSA Regional Administrators.

Deadlines:

Highway Safety Plans must be submitted by September 1 each year.

Range of Approval/Disapproval Time:

Two months.

Appeals:

Appeals of decisions by NHTSA Regional Administrators are to the NHTSA Associate Administrator for Regional Operations and Program Delivery.

Renewals:

Each year, States submit Performance Plans and Highway Safety Plans as part of continuing formula grant program.

Formula and Matching Requirements:

75 percent apportioned on total resident population; 25 percent apportioned against public road mileage in States. Federal share shall not exceed 80 percent or applicable sliding scale.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of funds method. Funds placed under obligation are available until expended.

Reports:

States are required to submit annual program reports to the Federal Highway Administration and to the National Highway Traffic Safety Administration.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. Nonfederal entities that expend less than \$500,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-133, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401; 69-8019-0-7-401.

Obligations:

(Formula Grants) FY 07 \$219,870,000; FY 08 est \$225,000,000; and FY 09 est. \$235,000,000.

Range and Average of Financial Assistance:

\$549,000 to \$21,000,000. Average: \$3,200,000.

PROGRAM ACCOMPLISHMENTS:

The federally assisted State and Community Highway Safety programs have contributed to reducing and, subsequently, leveling out the sharp rise in traffic deaths in the period from 1966 to 2006. The fatality rate per hundred million passenger miles has been reduced from 5.5 in 1966 to 1.12 in 2006. This has been achieved in spite of more cars, more drivers, and more miles traveled on our Nation's highways.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter II, State and Community Highway Safety Grant Program; Manual on Uniform Traffic Control Devices; Handbook of Highway Safety Design and Operating Practice, available from Government Printing Office, Washington, DC 20402.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Marlene Markison, Associate Administrator for Regional Operations and Program Delivery, National Highway Traffic Safety Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-2121. Byron Dover, Transportation Specialist, Safety Technology Division, Office of Highway Safety, Federal Highway Administration, Washington, DC 20590. Telephone: (202) 366-2161 (use the same number for FTS).

Web Site Address:

<http://www.nhtsa.whatsapp/fedassist/index.html>.

RELATED PROGRAMS:

20.205, Highway Planning and Construction.

EXAMPLES OF FUNDED PROJECTS:

Selective traffic enforcement programs, both at the State and local level - Funds were used for equipment (police vehicles, communications, speed detection devices, breath testing devices, etc.), training of police personnel, and overtime salaries. Upgrading of Emergency Medical Services (EMS) at the local level: Funds were used for training of emergency medical personnel improved ambulance medical equipment, survey of EMS needs, and salaries for statewide EMS coordinators. Programs to reduce alcohol-related crashes: Funds were used for studies to identify the magnitude of the problem, personnel services (police, investigators, and court personnel), equipment (breath testing devices, radar equipment), and training of involved personnel to detect the drinking driver and to use testing equipment. Traffic records system improvement projects: Funds were used for survey of needs and requirements, system designs, system implementation, ADP equipment and supplies, traffic records, personnel, and training. Occupant Protection programs: Funds were used to develop and distribute public information and education materials relating to the use of safety belts and infant/child safety seats, and the implementation of infant/child safety seat loaner programs. Networks of public and private agencies and groups were developed and assisted regarding programs to increase awareness of the benefits of occupant protection, and to increase overall use. Activities that directly support the identification of highway hazards and the scheduling and implementation of roadway improvements (construction, operational or otherwise) to improve their safety: Funds were used for personal services, training and equipment to establish accident data systems; conduct engineering studies and analyses of high accident locations; conduct workshops in street design and capacity and work zone safety; inventory skid resistance of pavement surfaces; and provide traffic engineering assistance to local jurisdictions.

CRITERIA FOR SELECTING PROPOSALS:

The Federal/State relationship for the highway safety grant program is a partnership. Each State is given flexibility in the administration of its own highway safety program. Federal funds are obligated in support of a highway safety program based on problems identified by the State. States have latitude in determining projects upon which they spend their Federal monies.

20.601 ALCOHOL TRAFFIC SAFETY AND DRUNK DRIVING PREVENTION INCENTIVE GRANTS

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007, Public Law 109-59, as amended, 23 U.S.C. 410.

OBJECTIVES:

To encourage States to adopt effective programs to reduce crashes resulting from persons driving while under the influence of alcohol and other controlled

substances.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement effective programs to reduce drunk and drugged driving, in compliance with established criteria.

Applicant Eligibility:

States, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. States are provided with two alternative means to qualify for a Section 410 grant. Under the first alternative, States may qualify as a low fatality rate State if they have an alcohol-related fatality rate of 0.5 or less per 100 million vehicle miles traveled (VMT). Under the second alternative, States may qualify as a programmatic State if they demonstrate that they meet three of eight grant criteria for fiscal year 2006, four of eight grant criteria for fiscal year 2007, and five of eight grant criteria for fiscal years 2008 and 2009. Qualifying under both alternatives would not entitle the State to receive additional grant funds. SAFETEA-LU directs that States with low alcohol-related fatality rates, based on the agency's Fatality Analysis Reporting System (FARS), be awarded grants without the need to satisfy any of these programmatic criteria. There is also an additional Section 410 grant available to assist the 10 States with the highest impaired driving related fatalities as determined by the most recent FARS data.

Beneficiary Eligibility:

State Highway Safety Agency.

Credentials/Documentation:

State submits certification and application that it meets eligibility requirements; after being informed by the National Highway Traffic Safety Administration (NHTSA) that it is eligible for a grant, State submits to the agency a plan that describes the programs the State will implement using the funds.

Preapplication Coordination:

Low fatality rate States: Prior to the start of the application period (on or about June 1 of that fiscal year), the agency will inform States that qualify for a grant based on low fatality rates. These States will not be required to submit an application demonstrating compliance with the programmatic requirements. They will, however, be required to submit information that identifies how the grant funds will be used in accordance with the requirements of SAFETEA-LU. If the agency experiences a delay in making fatality rate information available, all States should prepare and submit information demonstrating compliance with the required number of programmatic criteria. A State should not assume qualification for Section 410 funding as a low fatality rate State until the information is made available by the agency. Programmatic states: To qualify for a Section 410 grant in FY 2006 based on programmatic criteria, SAFETEA-LU requires a State to demonstrate compliance with three of the following eight criteria: A high visibility impaired driving enforcement program; a prosecution and adjudication outreach program; a BAC testing program; a high-risk drivers program; an alcohol rehabilitation or DWI court program; an underage drinking prevention program; an administrative driver's license suspension or revocation system; and a self-sustaining impaired driving prevention program. States will be required to meet four of eight criteria to qualify in FY 2007 and five of eight criteria to qualify in each subsequent fiscal year. High Fatality Rate States: Any State that is determined to have one of the ten highest fatality rates will be eligible for a separate grant under Section 410. The agency will calculate the alcohol fatality rate per 100 million vehicle miles traveled (VMT) for each State using the most recent final FARS data available prior to the date of the grant. A qualifying high fatality rate State would be required to submit a plan that details expenditures for the funding provided. NHTSA Regional Administrator coordinates qualification process with Office of Injury Control Operations and Resources. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

State submits certification and application that it meets eligibility requirements; after being informed that it is eligible for a grant, State submits to the agency a plan that describes the programs the State will implement using the funds.

Award Procedure:

The State submits information that reflects its eligibility for the award based on the criteria for which it is applying. NHTSA staff review the information to determine the eligibility of the State. If the State is deemed eligible to receive an award, it will be notified by the Region and will also receive an official letter of award from the NHTSA Administrator. The release of grant funds shall be subject to the availability of funds for that fiscal year.

Deadlines:

Applications would be required to be submitted to the agency no later than August 1 of the fiscal year in which the States are applying for grant funds.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

States are eligible for up to 4 years under the 410 program. Certification and plan must be approved each year.

Formula and Matching Requirements:

Authorizes \$515 million for Fiscal Years 2006-2009. No more than 15 percent of Section 410 funding in a fiscal year shall be made available for High Fatality Rate Grants. High Fatality Rate Grants will be allocated to States that qualify on the basis of the Section 402 apportionment, except that no State may receive more than 30 percent of the funds available for this subsection. After a deduction for High Fatality Rate Grants, available Section 410 funds will be allocated to States that qualify under the Low Fatality Rate or Programmatic Criteria on the basis of the Section 402 apportionment. The Federal share of programs funded under this section shall not exceed 75 percent in the first and second years in which a State receives a grant, and 50 percent in the third and fourth years in which a State receives a grant. The Secretary may transfer any amounts remaining available under Sections 405, 408, and 410 to the amounts made available under any other of these programs to ensure, to the maximum extent possible, that each State receives the maximum incentive funding for which it is eligible. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Reports:

Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401.

Obligations:

(Incentive Grants) FY 07 \$124,500,000; FY 08 est \$131,000,000; and FY 09 est \$139,000,000.

Range and Average of Financial Assistance:

\$258,000 to \$9,770,407.

PROGRAM ACCOMPLISHMENTS:

Implementation of impaired driving activities found in the Programmatic Criteria section, as well as costs for high visibility enforcement; the costs of training and equipment for law enforcement; the costs of advertising and educational campaigns that publicize checkpoints, increase law enforcement efforts and target impaired drivers under 34 years of age; the costs of a State impaired operator information system, and the costs of vehicle or license plate impoundment. In FY 2007, 50 states and Puerto Rico received grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Part 1313.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Marlene Markison, Associate Administrator for Regional Operations and Program Delivery, National Highway Traffic Safety Administration, 1200 New Jersey Avenue, SE, Washington, DC 20590. Telephone: (202) 366-2121.

Web Site Address:

www.nhtsa.dot.gov

RELATED PROGRAMS:

20.600, State and Community Highway Safety.

EXAMPLES OF FUNDED PROJECTS:

Driving while intoxicated (DWI) prevention programs with special emphasis on law enforcement of drunk driving laws and public awareness, advertising and education about such laws; police training in a variety of drunk and impaired driving issues; and the procurement of technology and equipment, including video equipment, and passive alcohol sensors.

CRITERIA FOR SELECTING PROPOSALS:

States must meet criteria established by statute and regulation including, for example, prompt license suspension for drunk driving, mandatory sentencing for repeat drunk driving offenders and self-sustaining drunk driving prevention programs.

20.602 OCCUPANT PROTECTION

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Part 1345, Section 2004, Public Law 109-59, as amended, 23 U.S.C. 402.

OBJECTIVES:

To encourage States to adopt effective programs to reduce highway deaths and injuries resulting from individuals riding unrestrained or improperly restrained in motor vehicles.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement and enforce occupant protection programs, in compliance with established criteria.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, Virgin Islands, and the Bureau of Indian Affairs.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds.

Preapplication Coordination:

NHTSA Regional Administrator coordinates qualification process with the Office of Injury Control Operations and Resources. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Certifications, signed by the Governor's Representative for Highway Safety, are due no later than February 15 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a State must submit the certification required by Federal Register, Vol. 7, No. 218, Monday, November 14, 2006, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant. The letter also describes the amount of the grant award.

Deadlines:

Application proposals and certifications are due by February 15 of each year.

Range of Approval/Disapproval Time:

Program plan and funds should be obligated within 30 days of receipt of award letter.

Appeals:

Not applicable.

Renewals:

States are eligible for up to 6 years under this program. They must be certified and a plan must be submitted each year.

Formula and Matching Requirements:

Under the 405 program, basic grant funding is up to 100 percent of their Section 402 apportionment for FY 2003. The Federal share shall not exceed 75 percent in the first and second years, 50 percent in the third and fourth years, and 25 percent in the fifth and subsequent years.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Reports:

Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are

exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

Grants FY 07 \$25,000,000; est FY 08 \$25,000,000; and FY 09 est \$25,000,000.

Range and Average of Financial Assistance:

FY 07 Range: \$79,937 - \$2,989,991.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 36 States, DC, Puerto Rico and 2 Territories received Section 405 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Part 1345, Section 2004, Public Law 109-59, as amended, 23 U.S.C. 402.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Judy Hammond, Office of Traffic Injury Control, Office of Regional Operations and Program Delivery (NTI-200), NHTSA, 1200 New Jersey Avenue, S.E., Washington, DC, 20590, by telephone at (202) 366-2121 or by e-mail at judy.hammond@dot.gov.

Web Site Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

None

EXAMPLES OF FUNDED PROJECTS:

Funds can only be used to implement and enforce occupant protection programs.

CRITERIA FOR SELECTING PROPOSALS:

States must meet 4 out of 6 criteria established by statute and regulation including safety belt use law for all passengers, primary safety belt use law, minimum fine or penalty points, statewide special traffic enforcement programs, child passenger protection education program, and child passenger protection law.

20.605 SAFETY INCENTIVES TO PREVENT OPERATION OF MOTOR VEHICLES BY INTOXICATED PERSONS

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Highway Safety Act of 1998, as amended, 23 U.S.C. 163.

OBJECTIVES:

To encourage States to establish a 0.08 percent blood alcohol concentration as the legal limit for drunk driving.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement effective programs to reduce drunk and drugged driving, in compliance with established criteria.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, Virgin Islands, and the Bureau of Indian Affairs.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds.

Preapplication Coordination:

NHTSA Regional Administrator coordinates qualification process with the Office of Injury Control Operations and Resources. Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The State submits certification and application that it meets eligibility requirements. After being informed that it is eligible, the State submits to the agency a plan that describes the program it will implement using the funds.

Award Procedure:

Awards will be made by the NHTSA Regional Administrator.

Deadlines:

Application proposals must be submitted by July 15 of each year.

Range of Approval/Disapproval Time:

The Program plan should be submitted by September 1 if eligibility has been approved.

Appeals:

Not applicable.

Renewals:

States are eligible for funding for up to 7 years under this program.

Certifications and plans must be approved each year.

Formula and Matching Requirements:

Under the 163 program, funds are apportioned among all eligible States according to the Section 402 formula. The Federal share is 100 percent.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Reports:

The Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000,000 to \$4,000,000. Average: \$1,850,000.

PROGRAM ACCOMPLISHMENTS:

Initial grant projects still active; information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1309, 1313.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, Associate Administrator for Injury Control Operations and Resources, National Highway Traffic Safety Administration, Department of Transportation, Washington, DC 20590. Telephone: (202) 366-2121.

Web Site Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Driving while intoxicated (DWI) prevention programs with special emphasis on law enforcement of drunk driving laws and public education about such laws; purchase of breath testing devices and the training of law enforcement personnel in their proper use; and overtime pay for police personnel doing selective traffic enforcement programs related to alcohol and drug impaired driving.

CRITERIA FOR SELECTING PROPOSALS:

States must meet criteria established by statute and regulation including, for example, an 0.08 percent blood alcohol concentration law.

20.607 ALCOHOL OPEN CONTAINER REQUIREMENTS**FEDERAL AGENCY:**

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Highway Safety Act of 1998, as amended, 23 U.S.C 154.

OBJECTIVES:

To encourage States to enact and enforce an alcohol open container law.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving

under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a State meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds.

Preapplication Coordination:

None. This program is excluded From coverage under E.O. 12372.

Application Procedure:

NHTSA Regional Administrators coordinate the qualification process with the Office of Injury Control Operations and Resources. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides the agency with a split letter to NHTSA, the State will be given access to the transfer and can obligate the funds.

Deadlines:

States must have a compliant law by September 30 of each year.

Range of Approval/Disapproval Time:

Applicant should receive a response from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

States are eligible for the penalty for up to four years.

Formula and Matching Requirements:

If a State has not enacted or is not enforcing an alcohol open container law by September 30 of each fiscal year, it will receive a transfer in the amount of three-percent of the funds apportioned to the State under Section 402.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local governments, and Nonprofit Organizations, nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt for Federal audit requirements for that year. For direct procurement contracts, audit will be conducted in accordance with the Federal Acquisition Regulation.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Grants) FY 07 \$92,971,359; FY 08 and FY 09 est not available.

Range and Average of Financial Assistance:

\$2,500,000 to \$16,281,000. Average: \$6,400,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 11 States and Puerto Rico received Section 154 transfer funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

For program issues, Tami Levitas, Office of Regional Operations and Program Delivery (NTI-200), NHTSA, 1200 New Jersey Avenue, S.E., Washington, DC 20590, by telephone at (202) 366-2121 or by E-mail at tami.levitas@dot.gov. For legal issues, Roland Baumann, Office of Chief Counsel, NCC-113, NHTSA, 1200 New Jersey Avenue, SE, Washington, DC 20590, by telephone at (202) 366-1834 or by E-mail at roland.baumann@dot.gov.

Web Site Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

20.608 MINIMUM PENALTIES FOR REPEAT OFFENDERS FOR DRIVING WHILE INTOXICATED

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Highway Safety Act of 1998 as amended, 23 U.S.C. 164.

OBJECTIVES:

To encourage States to enact and enforce Repeat Intoxicated Offender laws.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A state may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a state meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the state will implement using the funds.

Preapplication Coordination:

NHTSA Regional Administrators coordinate the qualification process with the Office of Injury Control Operations and Resources. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Application Procedure:

If a state has not enacted and is not enforcing a Repeat Intoxicated driver Law, then a state meets eligibility requirements. After being informed that it is eligible, the state submits to the agency a plan that describes the program it will implement using the funds.

Award Procedure:

Awards will be made by the NHTSA Regional Administrator.

Deadlines:

States must have a compliant law by September 30 each year.

Range of Approval/Disapproval Time:

Applicant should receive a response from the agency between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

States are eligible for funding for the penalty for up to four years.

Formula and Matching Requirements:

If a State has not enacted or is not enforcing a repeat intoxicated offender law by September 30 of each fiscal year, it will receive a transfer in the amount of three-percent of the funds apportioned to the State under section 402.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Reports:

Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local governments, and Nonprofit Organizations, nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt for Federal audit requirements for that year. For direct procurement contracts, audit will be conducted in accordance with the Federal Acquisition Regulation.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Grants) FY 07 \$124,501,824; FY 08 and FY 09 est not available.

Range and Average of Financial Assistance:

\$2,299,000 to \$47,500,000. Average: \$7,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 11 States and Puerto Rico received Section 164 transfer funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Marlene Markison, Associate Administrator for Regional Operations and Program Delivery, National Highway Traffic Safety Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington DC 20590.
Telephone: (202) 366-2121.

Web Site Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

20.609 SAFETY BELT PERFORMANCE GRANTS

(Section 406)

FEDERAL AGENCY:

STATE TRAFFIC SAFETY INFORMATION SYSTEM IMPROVEMENT
GRANTS, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406, Public Law, as amended, 23 U.S.C. 408.

OBJECTIVES:

Increase safety belt use by encouraging States to enact and enforce primary safety belt laws. A primary safety belt law permits law enforcement officers to stop and cite motorists for failing to wear safety belts without requiring that some other motor vehicle violation first be observed.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A state may use grant funds for any safety purpose under Title 23 or for any project that corrects or improves a hazardous roadway location or feature or proactively addresses highway safety problems. However, at least \$1 million of amounts received by States must be obligated for behavioral highway safety activities.

Applicant Eligibility:

The 50 States, District of Columbia, Puerto Rico, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam and the Virgin Islands are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States that apply as either New Primary Law States or Pre-2003 Primary Law States must submit a certification that their primary safety belt law is in effect and is being enforced, and must also provide a citation where a copy of the law may be obtained for review. States that apply as Safety Belt Performance States must submit the result of a statewide safety belt observational survey for each of the two calendar years preceding the fiscal year of the grant application, along with certifications that the surveys were conducted in conformance with NHTSA's Uniform Criteria for State Observational Surveys of Seat Belt Use (23 CFR Part 1340).

Preapplication Coordination:

Program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

A State is eligible for a grant if it did not have a conforming primary safety belt law for all passenger motor vehicles in effect on or before December 31, 2002, and either: Enacts for the first time after December 31, 2002, and has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles (States meeting this criterion are called New Primary Law States); or, After December 31, 2005, has a State safety belt use rate of 85 percent or more for each of the 2 consecutive calendar years immediately preceding the fiscal year of the grant (States meeting this criterion are called Safety Belt Performance States). A State that meets either of the above two criteria will receive a one-time grant equal to 475 percent of the State's apportionment under Section 402 for fiscal year 2003. If a State does not meet either of the above two criteria, and if funds remain after grants have been awarded to all States that do meet either of the two criteria by July 1 each year, the State will qualify for a one-time grant equal to 200 percent of its apportionment under Section 402 for fiscal year 2003 if it has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles that was in effect before January 1, 2003 (States in this category are called Pre-2003 Primary Law States). States that are applying as

either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation (see Credentials / Documentation above) by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the survey result and related certification for each of the two consecutive calendar years preceding the year of the grant application by March 1 of the calendar year immediately following the year in which the survey was conducted.

Award Procedure:

NHTSA will review the information contained in each State's application for compliance with Section 406 and notify qualifying States in writing of grant awards.

Deadlines:

States applying as either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the safety belt survey result and related certification by March 1 of the year immediately following the year in which the survey was conducted.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

Not applicable. These are one-time grants.

Formula and Matching Requirements:

The Federal share payable for grants under Section 406 is 100 percent. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as Stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

FY 07 \$124,500,000; FY 08 est 124,500,000; and FY 09 est 124,500,000.

Note: SAFETEA-LU authorizes \$124.5 million for each of the three fiscal years from FY 07 to FY 09.

Range and Average of Financial Assistance:

FY 07 - FY 09 Range: \$300,000 - \$35,000,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 18 States, District of Columbia, Puerto Rico and 4 territories received Section 406 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

For program issues, Tami Levitas, Office of Regional Operations and Program Delivery, (NTI-200), NHTSA, 1200 New Jersey Avenue, S.E., Washington, D.C., 20590, by telephone at (202) 366-2121 or by E-mail at tami.levitas@dot.gov.

Web Site Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Behavioral highway safety activities to which Section 406 funds are likely to be applied include but are not limited to highly visible enforcement of impaired driving and safety belt violations; paid and earned media campaigns to support

stepped up enforcement mobilizations and crackdowns; motorcycle rider education; pedestrian safety; speed management; DWI courts; and, technical training of traffic law enforcement officers, prosecutors and state highway safety officials.

CRITERIA FOR SELECTING PROPOSALS:

Applications from States attempting to qualify as New Primary Law States or Pre-2003 Primary Law States will be reviewed to determine if the State is enforcing a primary safety belt use law that applies to all passenger motor vehicles. Applications from States attempting to qualify as Safety Belt Performance States will be reviewed to determine if the State's safety belt use rate was at or above 85% during each of the two consecutive calendar years immediately preceding the year of the grant application. To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

20.610 STATE TRAFFIC SAFETY INFORMATION SYSTEM IMPROVEMENT GRANTS

(Section 408)

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA),
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406, 23 U.S.C. 408.

OBJECTIVES:

Encourage States to adopt and implement effective programs to improve the timeliness, accuracy, completeness, uniformity, integration and accessibility of State data; to evaluate the effectiveness of efforts to make such improvements; to link these State data systems, including traffic records, with other data systems within the State; and to improve the compatibility of the State data systems with national data systems and data systems of other States to enhance the ability to observe and analyze national trends in crash occurrences, rates, outcomes, and circumstances.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A State may use grant funds only to implement data improvement programs.

Applicant Eligibility:

To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

A State would submit information unique to each criterion for which it chooses to demonstrate compliance. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the

state will implement using these funds.

Preapplication Coordination:

Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for a first fiscal year grant, a State must submit the certification required by Appendix 1, signed by the Governor's Representative for Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year. To apply for a successive fiscal year grant, a State must submit the certification required by Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with section 408 and notify qualifying States in writing of grant awards. SAFETEA-LU provides that the amount of each first fiscal year grant shall be the higher of \$300,000 or an amount determined by multiplying the amount appropriated to carry out the section 408 Program for that fiscal year by the ratio that the funds apportioned to the State under Section 402 for FY 2003 bears to the funds apportioned to all eligible States under Section 402 for FY 2003. Each State that qualifies for a successive fiscal year shall be eligible to receive the higher of \$500,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to all eligible States under Section 402 for FY 2003.

Deadlines:

States must submit their certifications by June 15 (each year).

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

States are eligible for this grant for up to four years.

Formula and Matching Requirements:

SAFETEA-LU provides that the amount of each first fiscal year grant shall be the higher of \$300,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to the State under Section 402 for FY 2003 bears to the funds apportioned to all eligible States under Section 402 for FY 2003. Each State that qualifies for a successive fiscal year shall be eligible to receive the higher of \$500,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to the State under Section 402 for FY 2003.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Reports:

The Annual Report required under Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-0605-0-1-401.

Obligations:

(Grants) FY 07 \$34,500,000; FY 08 est \$34,500,000; and FY 09 est \$34,500,000.

Range and Average of Financial Assistance:

FY 07-FY 09 Range: \$300,000 - \$2,255,376.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 49 States, the District of Columbia and 4 Territories received Section 408 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

For program issues, Jack Oates, Office of Regional Operations and Program Delivery, NHTSA, 1200 New Jersey Avenue, SE, Washington, DC 20590, by telephone at (202) 366-2121 or by E-mail at jack.oates@dot.gov. For legal issues, Dana Sade, Office of Chief Counsel, NCC-113, NHTSA, 1200 New Jersey Avenue, SE, Washington, DC 20590, by telephone (202) 366-1834 or by E-mail at dana.sade@dot.gov.

Web Site Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The State Traffic Safety Information System Improvement Grants provide funding to improvement of State data systems, the evaluation of efforts to make improvements, link State data systems, including traffic records with other data systems that contain medical, roadway, and economic data; and improve the compatibility and interoperability of the States data systems with national traffic safety data systems and data systems of other States and enhance NHTSA's ability to observe and analyze national trends in crash occurrence, rates, and circumstances.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the section 408 by demonstrating that it has an established Traffic Records Coordinating Committee (TRCC), a multi-year safety data and traffic records strategic plan approved by the TRCC, certify that the State has adopted and is using the Model Minimum Uniform Crash Criteria (MMUCC) or certify that it will use grant funds toward adopting and using the most elements practicable. To qualify in subsequent years, a State must certify that an assessment or audit of the State traffic records system has been conducted in the past five years, certify that the TRCC continues to support and operate the multi-year plan and submit a report showing measurable progress in the implementation of the multi-year plan.

20.611 INCENTIVE GRANT PROGRAM TO PROHIBIT RACIAL PROFILING

(Section 1906)

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA),
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L. 109-59, as amended, 23 U.S.C. 402.

OBJECTIVES:

Encourage States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to enact and enforce laws to prohibit racial profiling, in compliance with the following established criteria. Law States may use Section 1906 grant funds for: collecting and maintaining data on traffic stops; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Assurances States may use Section 1906 grant funds for: funding activities to prohibit racial profiling in the enforcement of State laws regulating the use of Federal-aid highways; collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds.

Preapplication Coordination:

Program is eligible for coverage under E.O. 12372, "Intergovernmental Review

of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All certifications must be submitted by July 1. To apply for grant funds in a fiscal year, a Law State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 1 and an Assurances State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Applications must be received by the appropriate NHTSA Regional Office on or before July 1 of the fiscal year for which a State seeks a grant.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

Certifications must be submitted each year; however, a State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Formula and Matching Requirements:

As stated in the Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L. 109-59, as amended, 23 U.S.C. 402: States qualifying for a grant will receive an amount determined by multiplying the amount available for awards under the Section 1906 Program in a fiscal year by the ratio that the funds apportioned to the State under Section 402 for that fiscal year bears to the funds apportioned to all eligible States under Section 402 for that fiscal year, up to a maximum award of 5 percent of the amount made available to carry out Section 1906 in that fiscal year. The Federal share of programs funded under this section shall not exceed 80 percent.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Grants) FY 07 \$8,613,632; FY 08 est \$7,500,000; and FY 09 \$7,500,000.

Range and Average of Financial Assistance:

FY 07 Average award \$538,352.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 16 States received Section 1906 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L. 109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

For program issues, Tamara Webster, Office of Regional Operations and

Program Delivery (NTI-200), NHTSA, 1200 New Jersey Avenue, S.E., Washington, DC 20590, by telephone at (202) 366-0543 or by E-mail at tamara.webster@dot.gov. For legal issues, Dana Sade, Office of Chief Counsel, NCC-113, NHTSA, 1200 New Jersey Avenue, S.E., Washington, DC 20590, by telephone at (202) 366-1834 or by email at dana.sade@nhtsa.dot.gov.

Web Site Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

The Incentive Grant Program to Prohibit Racial Profiling provides funding for the following projects: collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 1906 Program in one of two ways: (a) by enacting and enforcing a law that prohibits the use of racial profiling in the enforcement of State laws regulating the use of Federal-aid highways and maintaining and allowing public inspection of statistical information on the race and ethnicity of the driver and any passengers for each such motor vehicle stop made by a law enforcement officer on a Federal-aid highway (a Law State); or (b) by providing satisfactory assurances to the Secretary that the State is undertaking activities to prohibit racial profiling and to maintain and provide public access to data on the race and ethnicity of the driver and passengers for each motor vehicle stop made by a law enforcement officer on a Federal-aid highway (an Assurances State).

20.612 INCENTIVE GRANT PROGRAM TO INCREASE MOTORCYCLIST SAFETY

(Section 2010)

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59.

OBJECTIVES:

Encourage States to adopt and implement effective programs to reduce the number of single and multi-vehicle crashes involving motorcyclists.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

As specified in SAFETEA-LU, a State may use section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists. The State may sub-allocate funds to non-profit organizations incorporated in the State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

Eligibility for the section 2010 grants is based on 6 specified grant criteria. To qualify for a section 2010 grant for the first fiscal year the State seeks to qualify, it must demonstrate compliance with at least 1 of the 6 grant criteria. To qualify for a section 2010 grant for the second and subsequent fiscal years that it seeks to qualify, a State must demonstrate compliance with at least 2 of the 6 grant criteria. A State would submit information unique to each criterion for which it chooses to demonstrate compliance.

Preapplication Coordination:

Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

To apply for grant funds in a fiscal year, a State must submit a completed application to the appropriate NHTSA Regional Administrator by the due date. NHTSA will review each State's application for compliance with section 2010 and notify qualifying States in writing of grant awards. Upon initial review of

the application, the proposed procedures would allow NHTSA to request additional information from the State prior to making a determination of award in order to clarify compliance with the statutory criteria and grant application procedures. SAFETEA-LU specifies that the amount of a grant made to a State for a fiscal year under this grant program may not be less than \$100,000 and may not exceed 25 percent of the amount apportioned to the State for fiscal year 2003 under section 402 of title 23, United States Code. However, the release of the full grant amounts under section 2010 is subject to the availability of funding for each fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with section 2010 and notify qualifying States in writing of grant awards. Once the State is notified, it will submit to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Applications must be received by the appropriate NHTSA Regional Office on or before August 1 of the fiscal year for which a State seeks a grant.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not applicable.

Renewals:

Certifications must be submitted each year showing that changes have not been made to any information related to the criterion or criteria previously satisfied. A State may certify each subsequent year of the grant program.

Formula and Matching Requirements:

As stated in the Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Pub. L. 109-59, States qualifying for a grant will receive at least \$100,000 and may not exceed 25 percent of the amount apportioned to the State for fiscal year 2003 under section 402 of title 23, United States Code. The Federal share of programs funded under this section will be 100 percent.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

FY 07 \$6,000,000; FY 08 \$6,000,000; and FY 09 est \$7,000,000.

Range and Average of Financial Assistance:

FY 07 Range: \$100,000 - \$365,542; Average: \$120,193.

PROGRAM ACCOMPLISHMENTS:

IN FY 2007, 47 States and Puerto Rico received Section 2010 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59. Regulations are forthcoming and will be published in the 23 CFR Part 1350.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

For program issues, Tami Levitas, Office of Regional Operations and Program Delivery (NTI-200), NHTSA, 1200 New Jersey Avenue, S.E., Washington, DC, 20590, by telephone at (202) 366-2121 or by E-mail at tami.levitas@dot.gov.

Web Site Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

As specified in SAFETEA-LU, a State may use section 2010 grants to fund motorcycle rider training and motorcyclists awareness.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 2010 by satisfying one or more of six criteria. States must satisfy one criterion in the first year and two criteria in the subsequent years. In sum, the criteria are: (1) offering effective motorcycle rider training courses, (2) developing an effective motorcyclists awareness program, (3) showing a reduction in of fatalities and crashes involving motorcycles, (4) implementing an impaired driving program, (5) showing a reduction of fatalities and accidents involving impaired motorcyclists, and/or (6) showing that all fees collected from motorcyclists are used for motorcyclists safety training and motorcycle awareness. A State must submit documents unique to each criterion the State wishes to qualify showing how the State demonstrates compliance with the criterion. The Notice of Proposed Rulemaking will be published April 2006. There will be a 30 day comment period. The Final Rule is scheduled to be published in June 2006.

20.613 CHILD SAFETY AND CHILD BOOSTER SEATS INCENTIVE GRANTS

(Section 2011)

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, as amended, 23 U.S.C. 402.

OBJECTIVES:

To encourage States to enact and enforce a child restraint law that requires children up to 65 pounds and under 8 years of age to be properly restrained in a child restraint, unless they are 4' 9" tall.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

No more than 50 percent of the grant a State receives in a fiscal year shall be used to fund programs for purchasing and distributing child safety seats and restraints to low income families. The remaining amounts can be used to carry out child safety seat and child restraint program, including the following: enforcement of child restraint laws; training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints; and educating the public concerning the proper use and installation of child safety seats and child restraints.

Applicant Eligibility:

The grant program is available to the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States submit certifications that it meets eligibility requirements no later than July 1 of each fiscal year.

Preapplication Coordination:

Program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Certifications, signed by the Governor's Representative for Highway Safety, are due no later than July 1 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a New or Changed Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 1 and an Unchanged Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 2011 grant. The letter also describes the amount of the grant award.

Deadlines:

Certifications must be received by the appropriate NHTSA Regional Administrator no later than July 1 of each fiscal year.

Range of Approval/Disapproval Time:

Applicants should receive a response from the agency between 30 to 90 days of receipt of certification whether their child passenger safety laws meet the Section 2011 criteria.

Appeals:

Not applicable.

Renewals:

Certifications must be submitted each year no later than July 1 of that fiscal year.

Formula and Matching Requirements:

As stated in the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Pub. L. 109-59, as amended 23 U.S.C. 402; States qualifying for a grant will receive an amount that shall not exceed 25 percent of the amount apportioned to the State for FY 2003 under 23 U.S.C. 402. The release of grant funds shall be subject to the availability of funding for that fiscal year. As required by SAFETEA-LU, in the first 3 fiscal years, it shall be reimbursed for up to 75 percent of the costs of the programs and activities authorized by Section 2011 (d) of SAFETEA-LU, and in the fourth fiscal year for which a State receives a grant, it shall be reimbursed for up to 50 percent of the costs of programs and activities authorized by Section 2011 (d) of SAFETEA-LU.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering the eligible costs incurred. All participants have converted to the Electronic Transfer of Funds method.

Reports:

The Annual Report required under the Section 402 State and community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and local Governments, and Nonprofit Organizations, nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years, following submission of a final expenditure report and other project deliverables, all product contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

FY 07 \$6,000,000; FY 08 est \$6,000,000; and FY 09 est \$7,000,000.

Range and Average of Financial Assistance:

FY 07 Range: \$143,709 - \$763,390; Average: \$366,069.

PROGRAM ACCOMPLISHMENTS:

The grant shall be used to fund programs for purchasing and distributing child safety seats and restraints to low-income families. The remaining amounts shall be used to carry out child safety seat and child restraint programs including the following: enforcement of child restraint laws, training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints and educating the public concerning the proper use and installation of child safety seats and child restraints. In FY 2007, 13 States and the District of Columbia received Section 2011 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Pub. L. 109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006.

Regional or Local Office:

See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

For program issues, Judy Hammond, Office of Regional Operations and Program Delivery (NTI-200). NHTSA, 1200 New Jersey Avenue, SE, Washington, DC, 20590, by phone at (202) 366-2121 or by e-mail at judy.hammond@dot.gov. For legal issues, David Bonelli, Office of Chief Counsel, NCC-113, NHTSA, 1200 New Jersey Avenue, SE, Washington, DC 20590, by phone at (202) 366-1834 or by e-mail at david.bonelli@dot.gov.

Web Site Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Section 2011 allows States to use up to 50 percent of their grant funds in a fiscal year for the purchase of child safety seats for low income families; enforcement of child restraint laws, training of professionals, law enforcement, fire and rescue personnel in child passenger safety; and for educating the public on the importance of properly restraining children in an age, weight and height appropriate restraint device.

CRITERIA FOR SELECTING PROPOSALS:

A certification from the Governor's Representative that the State is enforcing a child restraint law that conforms to the criteria established in Section 2011.

20.614 NATIONAL HIGHWAY TRANSPORTATION SAFETY ADMINISTRATION (NHTSA) DISCRETIONARY SAFETY GRANTS

(Highway Safety Research And Development)

FEDERAL AGENCY:

DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Highway Safety Act of 1966, as amended, 23 U.S.C. Chapter 4, Public Law 103-272, 49 U.S.C. Chapter 327.

OBJECTIVES:

To provide funds to conduct research and development on transportation safety and related issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The grants and cooperative agreements can only be used for those projects identified in DOT's Annual Appropriation Legislation.

Applicant Eligibility:

Grantee application eligibility will be developed on an individual grant or cooperative agreement basis by the NHTSA Purchase Team.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; U.S. Territory or Possession; Private Non-Profit Institution/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Cost will be determined in accordance with OMB Circular Nos. A-87 for State and Local governments, A-21 for Educational Institutions, A-122 for Non-Profit and For-Profit Organizations.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application shall be submitted to the grantee via grants.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The application package shall be returned via grants.gov. Award will be made by NHTSA Office of Acquisition Management personnel.

Deadlines:

None. The deadlines shall be established in each grant or cooperative agreement application package.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the NHTSA Office of Acquisition Management for approval.

Formula and Matching Requirements:

This program is not a statutory formula.

Length and Time Phasing of Assistance:

The length is specific to each grant or cooperative agreement. The funds can be released in a lump sum, but normally the grantees are reimbursed for funds expended.

Reports:

Quarterly progress and expenditures reports are required. The final report is due at the end of the grant or cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local Governments, and Nonprofit Organizations, nonfederal entities (including universities) that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from federal audit requirements for that year, with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with federal Acquisition Regulations.

Records:

Records are due in accordance with the terms and conditions of each grants or cooperative agreement. Records will be retained in accordance with provisions of OMB Circular No. A-110 for Institutions of Higher Education, Other Nonprofit Organizations, and Commercial Organizations and in accordance with 15 CFR Part 24 for State and Local Governments.

Account Identification:

69-0650-0-1-401.

Obligations:

FY 07 \$40,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The average amount changes depending on the DOT's annual appropriation legislation.

PROGRAM ACCOMPLISHMENTS:

To be determined on individual grant or cooperative agreement basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

Highway Safety Act of 1966, as amended (23 U.S.C. Chapter 4) or Public Law 103-272, 49 U.S.C. Chapter 327, formerly the Motor Vehicle Information and Cost Savings Act.

Regional or Local Office:

Not applicable.

Headquarters Office:

Lloyd S. Blackwell, US Department of Transportation/NHTSA, Office of Acquisition Management, 400 7th Street, SW, Room 5301, Washington, DC 20590. Telephone: (202) 366-9564, (202) 366-9555 (fax).

Web Site Address:

lloyd.blackwell@dot.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Study Underlying Risk Assessment Iowa Click It or Ticket Next Generation Virginia Click It or Ticket Next Generation EMS System Planning and Development The Biomechanical Response of Human Surrogates to Impact.

CRITERIA FOR SELECTING PROPOSALS:

Grantee criteria for selecting proposals will be developed on an on individual grant or cooperative agreement basis by the NHTSA Purchase Team.

20.700 PIPELINE SAFETY PROGRAM BASE GRANTS

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Natural Gas Pipeline Safety Act of 1968, Public Law 90-481, 49 U.S.C. 1971, as amended; Public Law 92-401, 86 Stat. 616; Public Law 93-403, 88 Stat. 802; Public Law 94-477, 90 Stat. 2073; Pipeline Safety Act of 1979, Title I and Title II, Public Law 96-129, 93 Stat. 989; Pipeline Safety Reauthorization Act of 1988, Public Law 100-561; Pipeline Safety Act of 1992, Public Law 102-508; 49 U.S.C. 601; Public Law 103-272; Accountable Pipeline Safety and Partnership Act of 1996, Public Law 104-304.

OBJECTIVES:

To develop and maintain State natural gas, liquefied natural gas, and hazardous liquid pipeline safety programs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as inspection and testing of gas pipelines as well as related travel, training, and research. Funds must be expended for personnel, equipment, and activities reasonably required for the pipeline safety program.

Applicant Eligibility:

The Department provides Federal matching funds, up to 50 percent to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines. State must agree that the aggregate expenditures of State funds, exclusive of Federal funds, on the pipeline program for the calendar year to which the Federal funds apply are/not less than the average level of such expenditures on the program for the last 2 fiscal years preceding enactment of the Pipeline Safety Acts.

Beneficiary Eligibility:

State agency.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and "Procedural Guide for the Pipeline Safety Grant Program." Application (plan) due date is September 30.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedure:

Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to the Pipeline and Hazardous Materials Safety Administration, DOT. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are made by the Pipeline and Harardous Materials Safety Administration, DOT, Washington, DC.

Deadlines:

Applications are submitted by September 30 for the next calendar year. A later date for filing applications is established if appropriations are not available by September 30.

Range of Approval/Disapproval Time:

From 60 to 90 days from date of receipt.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. The Federal Pipeline Safety Law authorizes Federal reimbursement of up to 50 percent of a State's expenditure during the year for personnel, equipment, and activities reasonably required by the State agency for the conduct of its pipeline safety program. The Law further stipulates that the State expenditures in any given year, without Federal assistance, cannot be less than the average amount expended by the State for gas pipeline safety during fiscal years 1967 and 1968 and for the last 2 fiscal years preceding the date of enactment of the Pipeline Safety Acts. The State agency must also agree to provide the remaining cost of the safety program(s). Within these limitations, the available funds are allocated by a method designed to reflect the degree to which a State has met certain goals established. The allocation is determined by assigning point values to the States for having achieved certain levels of program participation, dividing this point score by the sum of the States' point scores, and multiplying this ratio by the amount available for the distribution. PHMSA distributed the remaining funds according to certain criteria designed to seek improvements in State programs. The criteria used by PHMSA were the extent of intrastate jurisdiction, inspector qualifications, recommended number of inspection person-days, State adoption of applicable Federal regulations, and other relevant criteria. In 2006, the Federal allocations represented 42 percent of the estimated State requests in the natural gas program (range: 37 to 43 percent) and the Federal allocations represented 42 percent of the estimated State requests in the hazardous liquid program (range: 34 to 43 percent).

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in July for the Federal share of the cost of actual expenditures between January 1 and June 30 of the year, and in January for Federal share of the cost of actual expenditures between July and December 31 of the year.

Reports:

Annual activity reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records relating to the program shall be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Grants) FY 07 \$19,518,000; FY 08 est \$20,000,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$1,511 to \$1,396,400.

PROGRAM ACCOMPLISHMENTS:

Forty-nine State agencies requested and received allocations for fiscal year 2001 for gas and/or liquid grant funds. No eligible State applicant was rejected. State agencies are increasing their knowledge and activities to help operators in their State in operating and maintaining safe pipeline systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, Pipeline Safety Program, Oklahoma City, Oklahoma 73125. "Procedural Guide for the Pipeline Safety Grant Program." "Guidelines for States Participating in the Pipeline Safety Program." "Guidance Manual for Operators of Small Gas

Systems."

Regional or Local Office:

See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590. Contact: Tom Fortner. Telephone: (202) 366-4595.

Web Site Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

State Pipeline Safety Program.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible State utility regulatory agencies are considered for funding to the limit of available grant money.

20.701 UNIVERSITY TRANSPORTATION CENTERS PROGRAM

FEDERAL AGENCY:

RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5338, 5505, and 5506.

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Two competitive selections for new grants will be held during 2006 for 1) one grant in each of ten standard Federal regions of the U.S. and 2) ten other grants with no locational restrictions. Applications will consist of standard Federal grant application and related forms and a proposal prepared to specifications that the agency will provide.

Award Procedure:

Applications will be reviewed by a Departmental panel. Selection will be made by the RITA Administrator.

Deadlines:

Competition is anticipated for spring/summer 2010, if the program is reauthorized in new legislation passed in 2009.

Range of Approval/Disapproval Time:

Approximately six weeks.

Appeals:

Not applicable.

Renewals:

Competitive grants are for a four-year period; noncompetitive grants are for periods stated in the authorizing legislation. Grants are renewable each year upon satisfactory completion of prior-year work.

Formula and Matching Requirements:

The Federal share of a grant shall be 50 percent of the costs of establishing and operating the university transportation center.

Length and Time Phasing of Assistance:

Three, four, or five years as designated in the authorizing legislation.

Reports:

Semi-annual and annual progress and financial reports; final technical report at the end of each research project.

Audits:

Consistent with OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401; 69-8083-0-7-401.

Obligations:

FY 07 \$76,700,000; FY 08 \$76,700,000; and FY 09 \$76,700,000.

Range and Average of Financial Assistance:

Between \$300,000 and \$3,500,000 per center per fiscal year depending upon language in authorizing legislation.

PROGRAM ACCOMPLISHMENTS:

The University Transportation Centers Program began in fiscal year 1988. Each center is extensively involved in research directly related to regional and national transportation issues. The centers also educate hundreds of students each year and built partnerships with state and local government as well as industry.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

None.

Headquarters Office:

Research and Innovative Technology Administration; Office of Innovation, Research, and Education (RDT-30); Department of Transportation; 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (800) 853-1351.

Web Site Address:

<http://utc.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See Agency website.

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation; established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C. 5506).

20.703 INTERAGENCY HAZARDOUS MATERIALS PUBLIC SECTOR TRAINING AND PLANNING GRANTS

(Hazardous Materials Emergency Preparedness Training and Planning Grants, (HMEPP))

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Federal Hazardous Materials Transportation Act, 49 U.S.C. 5105 et seq.

OBJECTIVES:

To increase State, local, territorial and Native American tribal effectiveness to safely and efficiently handle hazardous materials accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA); and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grant program will be used to increase the emphasis on transportation in ongoing efforts and to improve the capability of communities to plan for and respond to the full range of potential risks posed by accidents and incidents involving hazardous materials. The grants have two principal uses: First, to assist States, Territories and Native American Tribes in developing, improving and implementing emergency response plans under EPCRA; including the determination of flow patterns of hazardous materials within a State, between States and Native American lands; determining the need for regional hazardous materials response teams. Second, to stimulate support for training of Public Sector employees to respond to accidents and incidents involving hazardous materials.

Applicant Eligibility:

States, U. S. Territories and Federally recognized Native American Tribes may apply for either or both planning and training grants. The Governor or Official of each eligible applicant has been asked to designate an agency responsible for managing the program. DOT will work with the designated organization.

Beneficiary Eligibility:

All segments of the U.S. including Territories and Native American tribal populations that are involved with management of or possible exposure to

hazardous materials are benefited. Specifically Federal, State, and local responsibilities are assisted through the HMTA grant program. Students and trainees in emergency response and local emergency planning activities are program beneficiaries since grant funds will be used to benefit local programs.

Credentials/Documentation:

Applicants must certify that they meet or exceed a nonfederal maintenance of effort level equivalent to the average of aggregate nonfederal applicant expenditures for the preceding 2 years. They must also certify that the State, Territory or Native American Tribe is complying with sections 301 and 303 of EPCRA. Those States, Territories or Native American Tribes must agree to make at least 75 percent of the Federal planning funds awarded available to Local Emergency Planning Committees (LEPCs) for developing, improving, or implementing emergency plans, and 75 percent of the benefit of training funds available to local emergency responders.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact his or her, State, Territory or Native American Tribe for more information on the process required to follow in applying for assistance.

Application Procedure:

Applicants are to complete and submit an original and 1 copy of Standard Form 424. The application must follow guidance provided in the HMEP Application Kit that was transmitted to the Governor's designated agency in each State, Territory or Native American Tribe. The kit may be obtained from the HMEP Grants Manager. Completed applications are to be sent to HMEP Grants Manager, Research and Special Programs Administration, 400 Seventh Street, SW., DHM-64, Washington, DC 20590. Funded applications will be subject to the Public Sector Planning and Training Grants Program regulation 49 Code of Federal Regulations (CFR), part 110, and 49 CFR, part 18, the A-102 based regulation, which governs all DOT grant programs.

Award Procedure:

The decision to fund will be made by the HMEP Grants Manager after considering internal review.

Deadlines:

The deadline for submitting applications is July 1 for States, Territories, and Native American Tribes. Grant awards will be made in September.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

None.

Renewals:

The statement of work will normally call for 6 years of project activities within shorter budget periods (usually 1 year). Each budget period after the first award will be funded subject to availability of funds and satisfactory progress as determined by review of continuation application proposals.

Formula and Matching Requirements:

This program has no statutory formula for distribution of funds among applicants. However, nonfederal cost sharing of at least 20 percent of total project costs is required under provisions of HMEP, Section 117A(d). This program has maintenance of effort requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

The length of project periods may extend from time of initial award through fiscal year 2010; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request For Advance or Reimbursement Form 270 using DOT automated clearinghouse electronic transfer procedures.

Reports:

Requirements are specifically indicated in the award document and may vary from project to project. All applications for continuation grants must be supported by progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Records of technical and financial accomplishments must be maintained for 3 years after submission of an acceptable final Financial Status Report, or until any unresolved audit issues are resolved.

Account Identification:

69-5282-0-2-407.

Obligations:

FY 07 \$12,800,000; FY 08 est \$26,800,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$4,000 to \$968,000. Average: \$180,281.

PROGRAM ACCOMPLISHMENTS:

Since the beginning of the grant program, all States and territories and 41 Indian tribes have received over \$72 million in assistance. The HMEP program has set aside 3 percent of planning and training funds to support Federally recognized Native American tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 Code of Federal Regulations, Part 110, Hazardous Materials Public Sector Training and Planning Grants; 49 Code of Federal Regulations, Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and Indian tribes. A grant application kit is available from DOT that includes all guidance, regulatory and administrative requirements.

Regional or Local Office:

None.

Headquarters Office:

Charles Rogoff, HMEP Grants Manager, Department of Transportation, Pipeline and Hazardous Materials Administration, 400 Seventh Street, S.W., PMH-64, Washington, DC 20590. Telephone: (202) 366-0001.

Web Site Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Hazardous Materials Awareness, Technician and Specialist training, LEPC planning preparation, hazards analyses studies, commodity flow studies, and needs assessment for regional response teams and number of response personnel needing to be trained.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable for grants to States and Territories. Grants made from the set aside for Native American Tribes will be in accordance with the following selection criteria: (1) Potential Benefit (30 points); (2) Priority Need (40 points); (3) Number of Public Sector Employees Trained (20 points); (4) Long Term Benefit to Tribe (10 points); (5) Past Performance (10 points).

20.704 RITA HYDROGEN

(SAFTEA-LU Hydrogen R&D)

FEDERAL AGENCY:

RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

23 U.S.C. 502, Section 5513.

OBJECTIVES:

To Provide research grants to nonprofit institutions of higher learning for the purpose of conducting transportation related research, education, and technology transfer programs concerning national transportation issues in the areas of propulsion technology and alternative fuels.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in 23 U.S.C. 502 SEC. 5513. The Department's role will be to manage the grants, coordinate with the grantees to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Earmark recipients as designated by 23 U.S.C. 502 SEC. 5513.

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No new grants will be awarded during the program's remaining authorization (through 2009).

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of this grant shall be 20 percent of the funds received by the

grantee.

Length and Time Phasing of Assistance:

4 years, as designated in 23 U.S.C. 502 SEC. 5513.

Reports:

Quarterly progress reports, annual project reports, and a final technical report at the end of each research project.

Audits:

In accordance with the provisions of OMB Circular No. A-113 (Revised June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single of a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-113.

Records:

Receipt is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401.

Obligations:

(Grants) FY 07 \$1,322,807; FY 08 \$1,750,188; and FY 09 est. not available.

Range and Average of Financial Assistance:

Totals Range Between \$370,000 to \$580,000 per fiscal year.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Research and Innovative Technology Administration, Office of Research Development and Technology (RDT) Department of Transportation, 1200 New Jersey Avenue, S.E., E33-302, Washington, DC 20590 (202) 366-5459.

Web Site Address:

<http://www.hydrogen.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.720 STATE DAMAGE PREVENTION PROGRAM GRANTS

(SDPP Grants)

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIAL SAFETY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

PIPES Act Section 2(b), which added Section 60134 to the Pipeline Safety Law (49 U.S.C.).

OBJECTIVES:

To improve State Damage prevention programs, which are intended to protect underground facilities from excavation damage.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Personnel, equipment, and activities that are needed by the State authority to enhance damage prevention programs. Grant funds may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

Any State (including U.S. Territory or possessions) authority designated by the Governor is eligible to apply for a grant as long as an agency within the State (including U.S. Territory or possessions) has an annual Section 60105 (49 U.S.C.) certification or Section 60106 (49 U.S.C.) agreement in effect with PHMSA. If a State (including U.S. Territory or possessions) does not have a certification or agreement with PHMSA, then no State (including U.S. Territory or possessions) authority can receive a grant.

Beneficiary Eligibility:

State Government, U.S. Territory and possessions would receive the ultimate benefit from this program.

Credentials/Documentation:

A written application describing the current status of the State Damage Prevention Program and plans for improvements.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Through the website at www.Grants.gov.

Award Procedure:

Panel of stakeholders will review and score applications. Grants will be awarded to applicants with the highest score until the available funds are exhausted.

Deadlines:

Applications due two months after opportunity is posted to www.grants.gov.

Range of Approval/Disapproval Time:

Sixty days after application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

There is a restriction on the time permitted to spend the money awarded. It must be spent within the calendar year of the grant.

Reports:

Status report is due six months after the start date of the grant, and a final report within 30 days of the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipient must maintain: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

FY 07 \$0; FY 08 est \$1,500,000 and FY 09 est not available.

Range and Average of Financial Assistance:

\$0 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Material Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE East Building, 2nd Floor, Washington, DC 20590, Telephone: (202) 366-4595 Fax: (202) 366-4566.

Regional or Local Office:

None.

Headquarters Office:

Pipelines and Hazardous Materials Safety Administration, Department of Transportation, East Building, Room E-22-103, 1200 New Jersey Avenue, S.E., Washington, DC 20590, (202) 366-6942, Attn: Max Kieba; E-mail: max.kieba@dot.gov.

Web Site Address:

<http://www.phmsa.dot.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible state agencies are considered for funding based on their statewide damage prevention program (or a detailed plan to develop a program within 4 years) that implements the 9 elements of an effective damage prevention program identified in Section 2(b) of the PIPES Act (Pipeline Safety Laws 49 U.S.C. Section 60134).

20.721 PHMSA PIPELINE SAFETY PROGRAM ONE CALL GRANT

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Federal Hazardous Materials Transportation Act, 49 U.S.C. 5105 et seq.

OBJECTIVES:

To provide funding to State agencies in promoting damage prevention, including changes with their State underground damage prevention laws, related compliance activities, training and public education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary).

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics, compliance/noncompliance statistics, One-call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for locators, development and/or distribution of promotional items or materials, damage prevention awareness campaigns, public service announcements, informational mailings, advertisements and One Call center promotional items.

Applicant Eligibility:

This optional grant is only open for states that have a certification or agreement with US DOT Pipeline and Hazardous Materials Safety Administration (PHMSA) to do pipeline safety inspections. State agencies who participate in the pipeline safety program are eligible to apply for One Call grant funding on an annual basis. This optional grant program has a maximum amount request of \$50,000 per state and supports initiatives to further promote efforts specifically for damage prevention, including one-call legislation, related compliance activities, training and public education.

Beneficiary Eligibility:

State agency.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular Number A-87 for State and local governments, and "One Call Application Instructions". Application (plan) is opened on October 15 and due November 15.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Each State submits a detailed description of the proposed project activity in one or more of the three priority categories available with requested costs on how the money would be spent and why. The maximum amount requested per state is limited to \$50,000. The application will be specific regarding what the funding would buy, and what otherwise unmet need the funding would help resolve. If the proposed activity was previously submitted and approved, the applicant will be required to provide a description of results from previous action of the activity.

Award Procedure:

Awards are made by the DOT, Pipeline and Hazardous Materials Safety Administration, Washington, DC.

Deadlines:

Applications are submitted by November 15 for the next calendar year. A later date for filing applications is established if appropriations are not available by November 15.

Range of Approval/Disapproval Time:

From 60 to 90 days of receipt.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The maximum amount requested per state is limited to \$50,000. A state can not request grant funds to implement proposed legislation - the legislation must have already been passed. Additional instructions and guidelines for applying to this opportunity will be given to the earmarked applicant group when the opportunity approaches its availability. The grant allocation is determined by reviewing the total amounts in priority one, two and three projects and distributing funds across all projects based on the total grant amount.

Length and Time Phasing of Assistance:

Expenditures must be used during the calendar year when the grant is awarded. Funds not used will be deducted from the State agencies Pipeline Safety Program End-Year reimbursement request.

Reports:

Annual activity report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No.A-133.

Records:

All records relating to the projects shall be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

FY 07 \$1,021,000; FY 08 est \$1,043,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,666 to \$35,400.

PROGRAM ACCOMPLISHMENTS:

Thirty-seven State agencies requested \$1,695,592 and received allocations for fiscal year 2007 for the optional one call grant fund in the amount of \$1,021,000. No eligible State applicant was rejected. State agencies are awarded grants funds to help educate the public and contractors about the prevention of damage to all underground facilities. This awareness program and other similar projects assisted in reducing accidents and damages from occurring on natural gas and liquid pipeline systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program."

Regional or Local Office:

See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Department of Transportation, Pipeline and Hazardous Materials Safety Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Contact: State Program Director. Telephone: (202) 366-4595.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Compliance Enforcement and Monitoring, One Call Membership Initiatives for Operators, Implementation of One Call Laws and Regulations, Excavator and Public Awareness, Public Service Announcements, Equipment to support on-going enforcement program.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible State utility regulatory agencies are considered for funding to the limit of available grant money. The maximum amount requested per state is limited to \$50,000.

20.760 UNIVERSITY TRANSPORTATION CENTERS

(University Transportation Centers)

FEDERAL AGENCY:

RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION,
DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5338, 5505, and 5506.

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Two competitive selections for new grants will be held during 2006 for 1) one grant in each of ten standard Federal regions of the U.S. and 2) ten other grants with no locational restrictions. Applications will consist of standard Federal grant application and related forms and a proposal prepared to specifications that the agency will provide.

Award Procedure:

Applications will be reviewed by a Departmental panel. Selection will be made by the RITA Administrator.

Deadlines:

Not available at this time.

Range of Approval/Disapproval Time:

Six weeks.

Appeals:

Not applicable.

Renewals:

Competitive grants are for a three-year period; noncompetitive grants are for periods stated in the authorizing legislation. Grants are renewable each year upon satisfactory completion of prior-year work.

Formula and Matching Requirements:

The Federal share of a grant shall be 50 percent of the costs of establishing and operating the university transportation center.

Length and Time Phasing of Assistance:

Three, four, or five years as designated in the authorizing legislation.

Reports:

Semi-annual and annual progress and financial reports; final technical report at the end of each research project.

Audits:

Consistent with OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401; 69-8083-0-7-401.

Obligations:

FY 07 \$76,700,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Between \$300,000 and \$3,500,000 per center per fiscal year depending upon language in authorizing legislation.

PROGRAM ACCOMPLISHMENTS:

The University Transportation Centers Program began in fiscal year 1988. Each center is extensively involved in research directly related to regional and national transportation issues. The centers also educate hundreds of students each year and built partnerships with state and local government as well as industry.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

None.

Headquarters Office:

Research and Innovative Technology Administration; Office of Innovation, Research, and Education (RDT-30); Department of Transportation; 400 Seventh Street, SW., Room 2440; Washington, DC 20590. Telephone: (202) 366-4434.

Web Site Address:

<http://utc.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See Agency website.

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation; established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C. 5506).

20.761 BIOBASED TRANSPORTATION RESEARCH

(Biobased R&D)

FEDERAL AGENCY:

DEPARTMENT OF TRANSPORTATION, RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION

AUTHORIZATION:

SAFTEA- LU, Public Law 109-59, Aug. 10, 2005, 119 Stat. 1781, Subtitle B, Title V section 5101 (m).

OBJECTIVES:

Carry out biobased research of national importance at the National Biodiesel Board and at research centers identified in section 9011 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8109).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781) Subtitle B Title V Section 5101 (m) The Department's role will be to manage the grants, coordinate with the grantees to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Earmark recipients as designated in SAFTEA- LU, Public Law 109-59, August 10, 2005 119 Stat. 1781, Subtitle B Title V Section 5101 (m).

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular nos A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No new grants will be awarded during the program's remaining authorization (through 2009).

Award Procedure:

No new grants will be awarded during the program's remaining authorization (through 2009).

Deadlines:

Not available at this time.

Range of Approval/Disapproval Time:

Fifteen working days from receipt of proposal.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of this grant shall be 50% of the funds received by the grantee.

Length and Time Phasing of Assistance:

Four years as designated in: SAFTEA-LU (Public Law 109-59, August 10, 2005, 119 Stat. 1781) Subtitle B Title V Section 5101 (m).

Reports:

Quarterly progress reports, annual project reports, and a final technical report at the end of each research project.

Audits:

In accordance with the provisions of OMB Circular No. A-113) Revised June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single of a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-113.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401.

Obligations:

FY 07 \$12,500,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$12,500,000/year.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU, Public Law 109-59), enacted in 2005, Section 5201(m).

Regional or Local Office:

Not applicable.

Headquarters Office:

Research and Innovative Technology Administration, Research Development and Technology (RDT), Department of Transportation, 400 Seventh Street, SW., Room 2438, Washington, DC 20590 (202) 366-4999.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.762 RESEARCH GRANTS

FEDERAL AGENCY:

RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 5513.

OBJECTIVES:

A. Grant Award to Cold Region and Rural Transportation Research, Maintenance, and Operations, Western Montana Transportation Institute; B. Grant Award to Rural Transportation Research Initiative Upper Great Plains Transportation Institute, North Dakota State University; C. Grant Award to Appalachian Regional Commission.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

A. The Research Grant will be used to develop a research facility in Lewiston, Montana for basic and applied research and testing on surface transportation issues facing rural and cold regions. B. The Research Grant will be used to carry out the Rural Transportation Research Initiative. C. The Research Grant will be used to conduct a feasibility study for the creation of a system of inland ports and distribution centers in Appalachia.

Applicant Eligibility:

Legislated grants in SAFETEA-LU Section 5513(d),(g) and (i).

Beneficiary Eligibility:

Public Institutions of Higher Learning, Partnerships between Federal and State agencies.

Credentials/Documentation:

A formal application outlining in detail how the institution meets the grant mission and goals; proposed management of the research; detail delineation of organization, staff, and budget.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

It is recommended tha applicants use the procedures prescribed in grants.gov to facilitate an electronic application process. This will allow applicants the opportunity to submit all required forms, along with the necessary technical and cost proposals as presecied in the relevant Opportunity description.

Award Procedure:

The department staff will review the application for the program.

Deadlines:

See award procedures.

Range of Approval/Disapproval Time:

See award procedures.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of the grant shall be 80 percent of the costs of the activities carried out by the program.

Length and Time Phasing of Assistance:

A. Four years ? FY 2006 through FY 2009. B. Four years ? FY 2006 through FY 2009. C. One year FY 2006.

Reports:

As specified in the application proposal.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As specified in the application proposal.

Account Identification:

69-1136-0-1-401.

Obligations:

FY 07 \$1,000,000; FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Between \$300,000 to \$707,000 per center.

PROGRAM ACCOMPLISHMENTS:

Each transportation center is extensively involved in innovative research in areas of transportation. This research aims to advance the theoretical and the practical nature of applications in transportation planning, logistics, engineering, and operations within all modes of transportation.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Research and Innovative Technology Administration, RD&T, Office of Intermodalism, 400 Seventh Street, SW, Room 2420, Washington, DC 20590.

Web Site Address:

None.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.763 RESEARCH AND INNOVATIVE TECHNOLOGY (RIT) HYDROGEN ALTERNATIVE FUEL LIFE CYCLE

(RIT H2 Life Cycle)

FEDERAL AGENCY:

RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

SAFTEA- LU Public Law 109-9, August 10, 2005 119, Stat. 178, Subtitle B, Title 1, Section 1702 4591.

OBJECTIVES:

Conduct research evaluating Hydrogen and Alternative Fuel Life Cycle.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-9, August 10, 2005 119 Stat. 1781, Subtitle B, Title 1, Section 1702 4591. The Department's role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Earmark recipients as designated in SAFTEA- LU Public Law 109-59, August 10, 2005, 119 Stat. 1781, Subtitle B, Title 1 Section 1702 459.

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

No new grants will be awarded during the Program's remaining authorization (through 2009).

Award Procedure:

No new grants will be awarded during the Program's remaining authorization (through 2009).

Deadlines:

Not available at this time.

Range of Approval/Disapproval Time:

15 Working Days from receipt of proposal.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of this grant shall be 20 percent of the funds received by the grantee.

Length and Time Phasing of Assistance:

See SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781) Subtitle B, Title 1 section 1702 4591.

Reports:

Quarterly progress reports, annual project reports, and a final technical report at the end of each research project.

Audits:

In accordance with the provisions of OMB Circular No. A-113)Revised June 24, 1997), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single of a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-113.

Records:

Receipt is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1730-0-1-407.

Obligations:

FY 07 \$1,000,000; FY 08 \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000,000 / year.

PROGRAM ACCOMPLISHMENTS:

This is a new program.

REGULATIONS, GUIDELINES, AND LITERATURE:
See SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781, Subtitle B, Title 1 Section 1702 4591.

Regional or Local Office:
None.

Headquarters Office:
Headquarters Office: Research and Innovative Technology Administration, Research Development and Technology (RDT), Department of Transportation, 400 Seventh Street, S.W., Room 2438, Washington, DC 20590 (202) 366-4999.

Web Site Address:
None.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable, directed grant.

20.764 HYDROGEN STORAGE RESEARCH AND DEVELOPMENT

(RIT H2 Life Cycle)

FEDERAL AGENCY:
RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:
SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1406) number 3891.

OBJECTIVES:
To conduct research to develop hydrogen storage technologies.

TYPES OF ASSISTANCE:
Project Grants.

USES AND USE RESTRICTIONS:
The grantees will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005, 119 Stat. 1406) number 3891. The Department's role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:
Earmark recipients as designated in SAFTEA-LU (Public Law 109-59, August 10, 2005, 119 Stat. 1406.) number 3891.

Beneficiary Eligibility:
Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:
A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:
This program is excluded from coverage under E.O. 12372.

Application Procedure:
No new grants will be awarded during the program's remaining authorization (through 2009).

Award Procedure:
No new grants will be awarded during the program's remaining authorization (through 2009).

Deadlines:
Not available at this time.

Range of Approval/Disapproval Time:
15 working days from receipt of proposal.

Appeals:
Not Applicable.

Renewals:
Not Applicable.

Formula and Matching Requirements:
The Federal share of this grant shall be 20 percent of the funds received by the grantee.

Length and Time Phasing of Assistance:
SAFTEA- LU (Public Law 109-59, August 10, 2005, 119 Stat 1406. number 3891.

Reports:
Quarterly progress reports, annual project reports, and a final technical report at the end of each research project.

Audits:
In accordance with the provisions of OMB Circular No. A-113 (Revised June 24, 1997), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$300,000 or more in

Federal awards will have a single program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-113.

Records:
Receipt is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:
69-1730-0-1-407.

Obligations:
FY 07 \$41,200,000; FY 08 est \$400,000; and FY 09 est not reported.

Range and Average of Financial Assistance:
\$400,000/year (FY 07 represents FY 05 through FY 07).

PROGRAM ACCOMPLISHMENTS:
Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:
None Available.

Regional or Local Office:
Not Applicable.

Headquarters Office:
Research and Innovative Technology Administration, Research Development and Technology (RDT); U.S. Department of Transportation, 400 Seventh Street, SW., Rm 2438, Washington, DC 20590. Telephone: (202) 366-4999.

Web Site Address:
None.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
None.

20.802 FEDERAL SHIP FINANCING GUARANTEES

(Title XI)

FEDERAL AGENCY:
MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:
46 U.S.C. Ch. 537.

OBJECTIVES:
To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards and guarantee obligations for advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States to stimulate commercial ship construction for domestic and export sales, encourage shipyard modernization, and support increased productivity.

TYPES OF ASSISTANCE:
Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:
Guarantees are available to aid in financing of: (1) advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States, and (2) vessels (including eligible export vessels) which are designed for research or for commercial use (a) in coastwise or intercostal trade; (b) on the Great Lakes or on bays, sounds, rivers, harbors, or inland lakes of the United States; (c) in foreign trade; (d) as an ocean thermal energy conversion facility or plantship; (e) as floating drydocks, or (f) with respect to an eligible export vessel, in world-wide trade. Any vessel of not less than 5 net tons, (other than a towboat, barge, scow, lighter, car float, canal boat or tank vessel of less than 25 gross tons) is eligible.

Applicant Eligibility:
An individual with the ability, experience, financial resources, and other qualifications necessary for the adequate operation and maintenance of a vessel or an eligible shipyard.

Beneficiary Eligibility:
U.S. and foreign shipowners, or eligible U.S. shipyards.

Credentials/Documentation:
Financial history, economic feasibility of project, operating history, citizenship of applicant. This program is excluded from coverage design and specification information under OMB Circular No. A-87.

Preapplication Coordination:
Informal preapplication meeting is recommended. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Application Form MA-163 must be filed with the Office of Shipyards and Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB

Award Procedure:

Final approval granted by the Maritime Administrator.

Deadlines:

No guarantee will be entered into later than 1 year after the delivery of a new vessel or shipbuilding technology, except for refinancing to provide funds for additional vessel construction or to refinance existing obligations issued for the purposes contained in Title XI.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 60 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Act provides a limitation of 87 1/2 percent of the amount of "Actual Cost" which can be guaranteed. The remaining costs are to be provided by the applicant.

Length and Time Phasing of Assistance:

Assistance provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed.

Reports:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Audits:

See "Index of Current Regulations," Maritime Administration.

Records:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Account Identification:

69-1752-0-3-403.

Obligations:

(New loan guarantee commitments and administrative expenses) FY 07 \$4,085,000; FY 08 \$8,408,000; and FY 09 est. \$0.

Range and Average of Financial Assistance:

Historically projects have ranged from less than \$1 million to several hundred million. (Average is not entered, because it would not be typical of the breadth of the program.)

PROGRAM ACCOMPLISHMENTS:

No applications were approved in fiscal year 2007. As of March 31, 2008, no applications have been approved in fiscal year 2008. There are no applications pending.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 298. Booklet entitled, "Federal Ship Financing Program."

Regional or Local Office:

See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

11.415, Fisheries Finance Program; 20.808, Capital Construction Fund.

EXAMPLES OF FUNDED PROJECTS:

In the past approvals were granted to aid in the construction of: tankers, cargos, LNGs, Bulk/OBOs, integrated tug/barge units, drill rigs, drill service vessels, ocean tugs and barges, river tugs and barges, shipboard lighters, passenger vessels, ferries, and miscellaneous vessels and advanced and modern shipbuilding technology.

CRITERIA FOR SELECTING PROPOSALS:

Projects which involve the construction, reconstruction or reconditioning of vessels in the United States and advanced and modern shipbuilding technology for a general shipyard facility are eligible for Title XI financing. In order to receive approval, applicants must possess the necessary ability, experience, financial resources and other qualifications. The financial resources necessary for the project are determined by the project's costs and the financial position and resources available to the applicant. Additionally, all projects must be determined to be economically feasible before approval can be granted. Applications are generally processed with a view towards accommodating the time frame of each and every applicant.

20.803 MARITIME WAR RISK INSURANCE

(Title XII, MMA, 1936)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Merchant Marine Act of 1936, Title XII, as amended, Public Law 81-763, 46 U.S.C. 1281-1294; Public Law 104-106.

OBJECTIVES:

To provide war risk insurance whenever it appears to the Secretary of Transportation that adequate insurance for waterborne commerce cannot be obtained on reasonable terms and conditions from licensed insurance companies in the United States.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

Insurance binders or policies on certain vessels in operation or under construction are provided for nominal cost in peacetime.

Applicant Eligibility:

All U.S. flag vessels, and certain foreign flag vessels meeting specific criteria as determined by the Maritime Administrator.

Beneficiary Eligibility:

Vessel owners, their crews, and certain designated beneficiaries of deceased crew members.

Credentials/Documentation:

Applications, vessel data, unqualified contract of commitment, and other supporting data as required by 46 CFR Part 308.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Complete and proper filing of applications, supporting documents, and payment of appropriate binding fees or promises as specified in 46 CFR Part 308.

Award Procedure:

Binders issued only to those applicants that qualify.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 5 to 15 working days.

Appeals:

Not applicable.

Renewals:

This program has been extended until December 31, 2010.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Indeterminate; depends on war situation and posture of the country.

Reports:

Not applicable in peacetime.

Audits:

Not applicable in peacetime.

Records:

Not applicable in peacetime.

Account Identification:

69-4302-0-3-403.

Obligations:

Obligations (Contractual fees and underwriting agents' fees) FY 07 \$50,000; FY 08 \$60,000; and FY 09 est. \$0.

Range and Average of Financial Assistance:

Applicable only in designated combat areas, subject to terms of the binder.

PROGRAM ACCOMPLISHMENTS:

As of March 1, 2008, 299 war risk binders have been issued to U.S. citizen-owned and foreign-flag registry and their crews. Insurance effected under these binders cannot be obtained commercially during wartime.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations are set forth under 46 CFR 308 (as published under authority of Public Law 101-115) and 309 as amended from time to time in the Federal Register.

Regional or Local Office:

American War Risk Agency, 30 Broad Street 7th floor, New York, NY 10004 Telephone: (212) 405-2814.

Headquarters Office:

Director, Office of Insurance and Shipping Analysis, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-2400.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.806 STATE MARITIME SCHOOLS

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 96-453.

OBJECTIVES:

To train future merchant marine officers in State Maritime Schools.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

Operation and maintenance of State Maritime Schools; maintenance and repair of training vessels loaned by Federal Government; student incentive payments to selected cadets.

Applicant Eligibility:

States. Limited to one academy in any one State.

Beneficiary Eligibility:

Students, meeting eligibility requirements.

Credentials/Documentation:

Formal application from State Governor setting forth evidence of establishment of school; State appropriation for the school; suitable curriculum and facilities.

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Letter from a State Governor to the Secretary of Transportation or the Maritime Administrator. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Need for training must be established. The final award is approved by the Maritime Administrator.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Federal/State contracts for assistance automatically extended year by year unless cancelled.

Formula and Matching Requirements:

Annual assistance payment of \$297,000 to regional academies if matched by State appropriations and if out-of-State students are enrolled. Federal assistance consists of (1) lump-sum annual assistance payment to schools, (2) loan of vessel and payment for its maintenance, and (3) student incentive payments. States are required to show that their annual funding for the school at least matches the Federal lump sum assistance payment.

Length and Time Phasing of Assistance:

Annual payments to the schools are given on a lump-sum basis.

Reports:

Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Pertaining to each school, its officers, faculty and students, the vessel and crew, and the shore base are required to be kept for the period of employment/enrollment, plus 6 years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Program Level) FY 07 \$11,099,000; FY 08 \$13,181,000; and FY 09 \$0.

Range and Average of Financial Assistance:

Annual assistance payment of \$315,000 to each regional school, provided State matches funds and admits eligible out-of-State students. An allowance of \$4,000 per academic year, not to exceed 4 years, paid to selected students in good standing in the Student Incentive Payment Program.

PROGRAM ACCOMPLISHMENTS:

A total of 515 licensed mariners graduated from the State maritime schools in 2007. Forty-eight cadets who received student incentive payments graduated as Merchant Marine officers.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310A; Standard college catalogs are available from the schools listed below.

Regional or Local Office:

(a) Federal Government: none; (b) standard information for prospective students:

Maine Maritime Academy, Castine, ME 04421; Massachusetts Maritime Academy, Buzzards Bay, MA 02532; State University of New York Maritime College, Fort Schuyler, NY 10465; Texas Maritime Academy, Galveston, TX 77550; California Maritime Academy, Vallejo, CA 94591; Great Lakes Maritime Academy, Traverse City, MI 49684.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 20.807, U.S. Merchant Marine Academy.

EXAMPLES OF FUNDED PROGRAMS:

See USES AND USE RESTRICTIONS section of this program.

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.807 U.S. MERCHANT MARINE ACADEMY

(Kings Point)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 96-453.

OBJECTIVES:

To educate and train merchant marine officers.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

This program is a federally operated maritime academy providing education, training, subsistence, books, quarters, uniforms, medical care, and program travel to its U.S. citizen students without cost. Appropriations restricted to the above and the operation and maintenance of the school. International student costs subject to legislation.

Applicant Eligibility:

High school graduates who are U.S. citizens and international students in accordance with legislation. In general, criteria are similar to those generally used for college admission.

Beneficiary Eligibility:

High school graduates who are U.S. citizens and eligible international students.

Credentials/Documentation:

Usual documents for college admission and a comprehensive physical examination.

Preapplication Coordination:

U.S. citizens desiring appointment to the school apply to Senators or Congresspersons for nomination. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Nominating officials submit nominations to school's Office of Admission. Selection is competitive by merit.

Award Procedure:

Appointment approved by the Maritime Administrator.

Deadlines:

Nominations must be submitted by January 31 of the year preceding that in which admission is desired. Applications must be submitted by March 1 of the year in which admission is desired.

Range of Approval/Disapproval Time:

From 3 to 8 months.

Appeals:

To the school.

Renewals:

Applicants not accepted may reapply the following year.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Students are furnished with all required uniforms and textbooks during their 4 years at the Academy.

Reports:

At the request of the Maritime Administration.

Audits:

Not applicable.

Records:

Copies will be furnished at the request of the Maritime Administration. Those pertaining to its officers, faculty, staff and midshipmen shall be kept for the period of enrollment plus eight years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Program Level) FY 07 \$61,925,000 (result of reprogramming); FY 08

\$62,747,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

Allowance prescribed for all personnel for uniforms and textbooks. During the sea year a midshipman will earn \$700 per month from his or her steamship company employer.

PROGRAM ACCOMPLISHMENTS:

A total of 207 merchant marine officers graduated in June 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310C; Standard college catalogs and brochures.

Regional or Local Office:

See Maritime Administration Field Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202)366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

11.417, Sea Grant Support.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS section of this program.

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.808 CAPITAL CONSTRUCTION FUND

(CCF)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Merchant Marine Act of 1936, Section 607, as amended; Public Law 91-469, 84 Stat. 1018.

OBJECTIVES:

To provide for replacement vessels, additional vessels or reconstructed vessels, built and documented under the laws of the United States for operation in the United States foreign, Great Lakes or noncontiguous domestic trades.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The capital construction fund program is a program created by the Merchant Marine Act of 1970 to help rejuvenate the American Merchant Marine. The assistance provided is not through payment of appropriated funds, but rather allows the fund holder to defer a portion of tax monies that would otherwise be paid to the U.S. Government during the tax year. The extension of tax deferral privileges encourages the accomplishment of the program objective by allowing the fund holder to accumulate and use otherwise taxable earnings for the purposes of acquiring, constructing or reconstructing vessels built and documented in the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade and in the fisheries.

Applicant Eligibility:

An applicant must be a U.S. citizen, own or lease one or more eligible vessels, have a program for the acquisition, construction or reconstruction of a qualified vessel and demonstrate the financial capabilities to accomplish the program.

Beneficiary Eligibility:

U.S. citizen.

Credentials/Documentation:

Be able to provide proof of requirements called for in the Applicant Eligibility section of this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Ship Financing. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

See Federal Register Notice (46 CFR Part 390, Appendix I) dated January 29, 1976. Persons seeking to enter into a Capital Construction Fund Agreement may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Office of Shipyards and Marine Financing reviews the application to determine the citizenship of the applicant, whether the applicant owns or leases one or more eligible vessels, has an acceptable program for the acquisition, construction or reconstruction of a qualified vessel and has the financial capability to accomplish the program. Approved by the Associate Administrator for Business and Workforce Development.

Deadlines:

Applicant must execute a Capital Construction Fund Agreement prior to final due date for filing of Federal tax return to receive tax deferment for a given tax year.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Each agreement provides for a minimum deposit over a 3-year period to insure that the party is making a sufficient commitment to continue the agreement.

Length and Time Phasing of Assistance:

Depends upon the individual program agreed to between the Maritime Administration and the applicant.

Reports:

Annual reports specified in the agreement and the rules and regulations.

Audits:

All financial records are subject to audit by the Internal Revenue Service and/or the Maritime Administration, Department of Transportation.

Records:

See Reports.

Account Identification:

69-1750-0-1-403.

Obligations:

(Salaries and expenses) FY 07 \$0; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Applicant receives tax benefits for depositing assets in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

As of March, 2008, there were a total of 160 active individual and consolidated Capital Construction Fund Agreements, with reported cumulative deposits since program inception in excess of \$4.2 billion to accomplish construction and acquisition programs. Thus far in calendar year 2008, 2 applications have been approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Capital Construction Fund Agreement; Parts 390 and 391, Title 46, CFR; Part 3, Title 26, CFR.

Regional or Local Office:

See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Office of Shipyards and Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Department of Transportation, Washington DC 20590. Telephone: (202) 366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

20.802, Federal Ship Financing Guarantees; 20.812, Construction Reserve Fund.

EXAMPLES OF FUNDED PROJECTS:

The types of projects funded through the CCF are the acquisition, construction, and reconstruction of qualified agreement vessels such as tugs, barges, break bulk cargo vessels, LNG carriers, tankers, etc. In addition, these vessels must be documented under the laws of the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade. The definition of noncontiguous domestic trade includes trade between fixed drilling and production platforms located on the Outer Continental Shelf of the United States at a distance more than three miles from shore and the U.S. mainland.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedure section of this program.

20.810 SUPPLEMENTARY TRAINING

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Merchant Marine Act of 1936, Title XIII, as amended, Public Law 91-469, 46 U.S.C. 1119 et seq.

OBJECTIVES:

To train seafarers in shipboard fire fighting, and other such essential subjects related to safety and operations where this training is not or cannot be provided by the industry directly. Maritime defense and intermodal freight transportation courses are also included in this category.

TYPES OF ASSISTANCE:

Training.

USES AND USE RESTRICTIONS:

Licensed and unlicensed U.S. seafarers in U.S. maritime industry are instructed on a fee-paid basis in safety and marine operation subjects.

Applicant Eligibility:

Qualified U.S. merchant seafarers, operators of inland waterway, offshore drilling, and mining vessels; maritime academy students; and personnel of the National Oceanic and Atmospheric Administration, U.S. Coast Guard, U.S. Naval Reserve, U.S. Army Corps of Engineers, qualified representatives of

marine industry, State and local governments.

Beneficiary Eligibility:

Qualified U.S. merchant seafarers, operators of inland waterway, offshore drilling, and mining vessels; maritime academy students; and personnel of the National Oceanic and Atmospheric Administration, U.S. Coast Guard, U.S. Naval Reserve, U.S. Army Corps of Engineers, U.S. Transportation Command qualified representatives of marine industry, State and local governments.

Credentials/Documentation:

Merchant mariner's document issued to U.S. citizens by the U.S. Coast Guard.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Seafarers contact applicable Maritime Administration registrar at the agency's enrollment offices.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Nonfinancial - training provided on a fee basis.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Form MA-823 Training Course Application, written examinations, practical tests sheets and classroom attendance records are retained for five years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Program Level) FY 07 \$67,000; FY 08 \$75,000; and FY 09 est. \$0.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 212 seafarers completed fire fighting training.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

See Maritime Administration Field Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.812 CONSTRUCTION RESERVE FUND

(CRF)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Merchant Marine Act of 1936, Section 511, as amended, Public Law 74-835, 46 U.S.C. 1161.

OBJECTIVES:

To promote the construction, reconstruction, reconditioning, or acquisition of merchant vessels built and documented under the laws of the United States for purposes of national defense and the development of U.S. commerce.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The Construction Reserve Fund (CRF), authorized under Section 511 of the Act, is a financial assistance program which provides tax deferral benefits to U.S. flag operators. The CRF permits the fundholder to deposit gains attributable to the sale of or indemnification for loss of vessels and to defer tax on such gains provided the gains are to be reinvested in vessels. Also the CRF allows the

accumulation of earnings from operations of vessels documented in the U.S., or the earnings from the investment of the Fund. The ability to accumulate funds and the tax deferral provision have enabled fundholders to construct or reconstruct in the United States and documented under the laws of the United States larger, better-equipped vessels, reduce mortgage debt on the vessels and construct a greater number of vessels than would be possible without the program.

Applicant Eligibility:

A Construction Reserve Fund (CRF) may be established by any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S., or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual may establish a CRF.

Beneficiary Eligibility:

Any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S. or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual.

Credentials/Documentation:

Be able to provide proof of requirements called for in Applicant Eligibility section of this program. The program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Shipyards and Marine Financing. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

See Federal Register Notice (46 CFR 287.4). Persons seeking to establish a Construction Reserve Fund may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. A-102 and A-110.

Award Procedure:

Review of the application by the Office of Shipyards and Marine Financing to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

Deadlines:

Applicant must execute a Construction Reserve Fund (CRF) within 60 days after the receipt of the proceeds of the sale or indemnification for loss of a vessel. Tentative authorization to establish the CRF and deposit the proceeds may be granted by the Maritime Administration.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Within 3 years from the date of any deposit in the Construction Reserve Fund, such deposits must be obligated under a contract for the construction or acquisition of a new vessel or vessels. Also deposits into the CRF must be made within 60 days after receipt by the taxpayer of amounts representing proceeds of the sale or indemnification for loss of a vessel.

Length and Time Phasing of Assistance:

Depends on each individual program agreed upon between the Maritime Administration and the applicant.

Reports:

None.

Audits:

None.

Records:

See Reports section of this program.

Account Identification:

69-1750-0-1-403.

Obligations:

(Salaries and expenses) FY 07 \$0; FY 08 est not available; FY 09 est not reported.

Range and Average of Financial Assistance:

Defer tax on gains by depositing the gains attributable to the sale of or indemnification for loss of vessels in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

As of March 2008, there were 26 construction reserve fund contracts with deposits totaling approximately \$100,000,000 for the construction, reconstruction and acquisition of the proposed programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Part 287, Title 46 CFR; Order and Form of Resolution which outlines details pertaining to the establishment and maintenance of the Construction Reserve Fund.

Regional or Local Office:

See Maritime Administration Regional Offices listed in Appendix IV of the

Catalog.

Headquarters Office:

Associate Administrator for Business and Workforce Development, Office of Shipyards and Marine Financing, Maritime Administration, 1200 New Jersey Avenue, SE, Department of Transportation, Washington, DC 20590. Telephone: (202) 366-5737.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

20.802, Federal Ship Financing Guarantees; 20.808, Capital Construction Fund.

EXAMPLES OF FUNDED PROJECTS:

Vessels such as coastwise tankers, ocean tugs, chemical barges, and crewboats are particular programs that have been or will be constructed, reconstructed or acquired through the Construction Reserve Fund. These vessels must be constructed in the United States and documented under the laws of the United States. If the vessel is less than 12 knots speed and 2,000 gross tons, it must be determined as useful to the United States in case of war or national emergency.

CRITERIA FOR SELECTING PROPOSALS:

Review of the application by the Office of Shipyards and Marine Financing to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

20.813 MARITIME SECURITY FLEET PROGRAM

(Maritime Security Program)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

The Maritime Security Act of 2003, Public Law 108-136.

OBJECTIVES:

To maintain a U.S.-flag merchant fleet crewed by U.S. mariners to serve both the commercial and national security needs of the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Vessel's Operations restricted to U.S. foreign trade.

Applicant Eligibility:

U.S. citizens and operators of U.S. flag vessels.

Beneficiary Eligibility:

Ownership and operation of vessels and facilities useful to the United States in time of war or national emergency.

Credentials/Documentation:

Ownership of U.S. documented vessels.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Solicitation for applications in the Federal Register.

Award Procedure:

Office of Sealift Support and the Department of Defense review applications against criteria established in the Maritime Security Act of 2003.

Deadlines:

As published in application solicitation.

Range of Approval/Disapproval Time:

90 Days from closing of application.

Appeals:

Decisions concerning administration of the Maritime Security Program will be referred to the Maritime Administrator.

Renewals:

Program is based on 1-year contracts renewable each year subject to funding not to extend past fiscal year 2015.

Formula and Matching Requirements:

Program provides for payment of up to \$2.6 million per vessel per year for FY 2006-2008, \$2.9 million for FY 2009-2011, and \$3.1 million for FY 2012-2015.

Length and Time Phasing of Assistance:

One year contracts, renewable yearly, payable in monthly installments.

Reports:

Vouchers on a monthly basis.

Audits:

Maritime Security Program subject to both internal and external audit. These audits will be made by the Office of the Inspector General (DOT) in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance.

Records:

Vouchers on a monthly basis.

Account Identification:

69-1711-0-1-054.

Obligations:

(Direct payments) FY 07 \$154,440,000; FY 08 \$156,000,000; and FY 09 \$0.

Range and Average of Financial Assistance:

\$2.6 million per vessel per year (FY 2006-2008). \$2.9 million per vessel per year (FY 2009-2011). \$3.1 million per vessel per year (FY 2012-2015).

PROGRAM ACCOMPLISHMENTS:

As of January 1, 2007, 60 ships were enrolled in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 296.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Sealift Support, Maritime Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-2323.

Web Site Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Maritime Security Program payments are paid to vessels operating in U.S. foreign commerce. Vessels include containerships and Roll-on/Roll-off vessels (RO/RO), tankers and heavy lift ships will be eligible in FY 2008-2015.

CRITERIA FOR SELECTING PROPOSALS:

(1) Vessel is required to maintain U.S.-flag presence in foreign commerce and/or necessary for meeting military sealift requirements; (2) Applicant possesses operational expertise and intermodal assets useful to the United States in meeting its sealift requirements; (3) Maritime Security Program participants are required to commit sealift and intermodal capacity to the Emergency Preparedness Program (EPP), approved by the Secretary of Defense (SECDEF).

20.814 ASSISTANCE TO SMALL SHIPYARDS

(Small Shipyard Grants)

FEDERAL AGENCY:

MARITIME ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Section 3506 of Public Law 109-163.

OBJECTIVES:

To award grants for capital improvements and related infrastructure improvements at qualified shipyards that will facilitate the efficiency, cost effectiveness, and quality of domestic ship construction for commercial and Federal Government use.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

For capital and related infrastructure improvements. Cannot be used for physical facility construction or land acquisition unless specifically approved by Administrator as consistent with capital and infrastructure improvements. Federal funds cannot exceed 75 percent of project cost unless (1) cost is less than \$26,075, or (2) determination is made that project merits support and requires additional assistance.

Applicant Eligibility:

Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Beneficiary Eligibility:

Shipyard.

Credentials/Documentation:

As required by application.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

SF-424 application form must be filed with the Maritime Administration. There are specific agency instructions for additional information which should be provided as an addendum to Form SF-424. These instructions were published in the Federal Register on January 10, 2008, in Vol.73, No. 7, pp.1912-1913. Applicants can go to www.grants.gov to apply.

Award Procedure:

Awards will be made by the Maritime Administrator in such amounts and under such conditions as he determines.

Deadlines:

Applications must be received by the Maritime Administration no later than 5:00 PM EST on February 25, 2008.

Range of Approval/Disapproval Time:

No later than April 24, 2008.

Appeals:

None.

Renewals:
None.

Formula and Matching Requirements:
Section 3506 of the National Defense Authorization Act for Fiscal year 2006 (Public Law 109-163) provides that no more than 75 percent of a project's costs may be paid from Federal funds unless the cost is no greater than \$26,075 or the Administrator determines otherwise.

Length and Time Phasing of Assistance:
To be individually determined based on project.

Reports:
There will be Progress Reports, Annual Reports, and/or Status Reports depending on the nature of the project and the period of time it is expected to take.

Audits:
Nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:
Recipient is required to retain intact, for three years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:
69-1750-0-1-403.

Obligations:
FY 07 \$0; FY 08 \$0; and FY 09 est \$10,000,000.

Range and Average of Financial Assistance:
Awards can be in any amount up to \$10,000,000 (if matching requirement is waived).

PROGRAM ACCOMPLISHMENTS:
FY 07 - 0. In FY 08, it is anticipated that 15 applications will be received and 10 awards will be granted. FY 09 - 0.

REGULATIONS, GUIDELINES, AND LITERATURE:
Federal Register publication on January 10, 2008 in Vol.73, No.7, pp.1912-1913. Implementing legislation: Section 3506 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109-163) and section entitled "Assistance to Small Shipyards" in Consolidated Appropriations Act, 2008.

Regional or Local Office:
None.

Headquarters Office:
Associate Administrator for Business and Workforce Development, Room W21-318, Maritime Administration, 1200 New Jersey Ave., S.E., Washington, DC 20590; Telephone: (202) 366-5737; E-mail: jean.mckeever@dot.gov; Fax: (202) 366-6988.

Web Site Address:
None.

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
(1) How well the project will facilitate the efficiency, cost-effectiveness, and quality of domestic ship construction for commercial and Federal Government use, and (2) the economic factors set forth in section 081 above.

20.815 FIREBOAT CONSTRUCTION

FEDERAL AGENCY:
US DEPARTMENT OF TRANSPORTATION, MARITIME ADMINISTRATION

AUTHORIZATION:
P.L. 108-7 (HJRes 2) Portland Safety Enhancement, Maine and the Economy in Government Act (31 U.S.C. 1535)

OBJECTIVES:
The purpose of this grant is for Construction of a Fireboat/Harbor Patrol Vessel for the Portland Fire Department, Portland Maine. The purchase of a new fireboat will be used to serve the Portland Fire Department (PFD) in protecting Portland Harbor and surrounding waters.

TYPES OF ASSISTANCE:
Project Grant

USES AND USE RESTRICTIONS:
The grant can only be used for those projects identified in DOT's Annual Appropriation Legislation.

Applicant Eligibility:
This grant is mandated by Congress in DOT's Annual Appropriation Legislation. Therefore, only those organizations specifically identified in the appropriation legislation can apply.

Beneficiary Eligibility:

State and Local Governments and for profit organizations.

Credentials/Documentation:
Proposal submitted with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular A-87 for State and Local Governments, and A-122 for Non-Profit and For Profit-Organizations.

Preapplication Coordination:
This program is excluded from coverage under E.O.12372.

Application Procedure:
Not Applicable.

Award Procedure:
The award will made by Acquisition Services personnel.

Deadlines:
None.

Range of Approval/Disapproval Time:
Not Applicable.

Appeals:
None.

Renewals:
Renewals and/or extensions may be available. Requests must be submitted to the Acquisition Services office for approval.

Formula and Matching Requirements:
This program has no statutory formula.

Length and Time Phasing of Assistance:
This grant will be a period of sixteen months from date of award. The funds will be reimbursed for costs incurred

Reports:
Annual Audit Reports & An annual A-133 audit relating to the use of Grant Funds shall be completed within 120 days after the end of each City of Portland, Maine Fire Department fiscal year. Status Reports & Written reports shall display the type of report, the period covered by the report, the name and the Grant Number. Quarterly Status Reports - The City of Portland, Maine Fire Department shall submit a quarterly status report, using Standard Form 269, to keep the Government informed of the City of Portland, Maine Fire Department activity during the reporting period, accomplishments/progress made, planned activities for the next period, and a list of any supplies and/or equipment purchased during the reporting period. In addition, the report shall include an explanation for any deviation from the projected budget and timeline, and shall also contain, at a minimum, the following: (1) a statement as to whether the City of Portland, Maine Fire Department has used the Grant Funds consistent with the terms contemplated in the grant Agreement; (2) if applicable, a description of the budgeted activities not procured by the City of Portland, Maine Fire Department (3) if applicable, the rationale for the City of Portland, Maine Fire Department failure to procure the budgeted activities.

Audits:
Audits shall be in accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), & Audits of States, Local Governments, and Non-Profit Organizations, & nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that extend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:
Records will be retained in accordance with 15 CFR Part 24 for State and Local governments.

Account Identification:
69-X064-1-1-710.

Obligations:
FY 07 \$0; FY 08 est \$993,500; and FY 09 est \$993,500.

Range and Average of Financial Assistance:
\$993,500.00.

PROGRAM ACCOMPLISHMENTS:
The City of Portland, Maine to replace their 48-year-old fireboat, with a new aluminum Fireboat/Harbor Patrol vessel. The vessel will protect the Port of Portland, which harbors one of the nation's largest oil ports. It will also serve as the Fire Rescue vessel for the city of Portland, the islands of Cisco Bay, and will assist the City's Mutual Aid partners. This is the only fireboat in Portland Harbor.

REGULATIONS, GUIDELINES, AND LITERATURE:
None.

Regional or Local Office:
N/A.

Headquarters Office:
1200 New Jersey Avenue, SE, Washington, DC 20590.

Web Site Address:
www.MARAD.gov

RELATED PROGRAMS:
None.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
Congressional Earmark Recipients.

20.900 TRANSPORTATION-CONSUMER AFFAIRS

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION
AUTHORIZATION:

Federal Aviation Act of 1958, as amended, Section 1002(a), 49 U.S.C. 1301; Sections 204, 302.200, and 384.7, Public Laws 85-726, 95-504 and 98-443.

OBJECTIVES:

To improve service provided to the public by U.S. and foreign air carriers.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

The Aviation Consumer Protection Division provides assistance and information to consumers, including air travelers with disabilities, trying to resolve complaints against airlines, travel agents, and air tour operators. The Division also investigates alleged violations of airline passengers' civil rights and provides information about specific air travel service problems such as lost baggage, oversold flights, scheduling problems and overcharges, and may refer people to local Small Claims courts if informal mediation efforts fail.

Applicant Eligibility:

All users of air transportation.

Beneficiary Eligibility:

All users of air transportation.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Division requests that complainants first contact the airline in an attempt to resolve the complaint. If that is unsuccessful, they can call or email the Division or send a letter stating pertinent facts about the incident that caused the complaint as well as copies (never originals) of tickets or baggage tags, if these documents help to explain the nature of the problem. Please include with your letter of complaint a daytime telephone number.

Award Procedure:

None.

Deadlines:

None, but complaint should be filed as soon as possible after the problem occurs.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

69-0102-0-1-407.

Obligations:

(Salaries and expenses) FY 07 \$1,644,823; FY 08 est \$2,046,430; and FY 09 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

For calendar year 2007 the total number of consumer complaints, information requests, opinions and compliments received totaled 16,072.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the pamphlet "Fly-Rights, A Guide to Air Travel in the U.S." are available from the Consumer Information Center-6A, P.O. Box 100, Pueblo, CO 81002. Enclose check or money order payable to Superintendent of Documents in the amount of \$1.75 per copy with a 25 percent discount on orders of 100 copies or more. Copies of the "Air Travel Consumer Report" issued monthly and "Plane Talk", a series of air travel fact sheets, are available free of charge by writing to the headquarters office listed. These publications can also be found on the Department of Transportation's world wide web site at: <http://airconsumer.ost.dot.gov>.

Regional or Local Office:

Alaska Aviation Statistics Field Office, Department of Transportation, 801 B Street Room 506, Anchorage, AK 99501-23657. Telephone: (907) 271-5147.

Headquarters Office:

Aviation Consumer Protection Division, C-75 (Norman Strickman), Room W96-432, Department of Transportation, 1200 New Jersey Ave., S.E., Washington,

DC 20590. Telephone: (202) 366-5957. Consumer Complaints (Airline Service), (202) 366-2220; TTY (202) 366-0511. Toll Free Hotline for Airline Disability-Related Problems: (1-800) 778-4838 (voice); (1-800) 455-9880 (TTY)

Web Site Address:

<http://airconsumer.ost.dot.gov>

RELATED PROGRAMS:

33.001, Shipping_Dispute Resolution and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

20.901 PAYMENTS FOR ESSENTIAL AIR SERVICES

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

49 U.S.C. 41731 - 41742.

OBJECTIVES:

To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

When necessary subsidy payments are made to air carriers providing air services at certain points. Subsidy is paid to cover the carrier's prospective operating loss, plus a profit element.

Applicant Eligibility:

Air carrier must be found fit and be selected by the Department to perform the subsidized service.

Beneficiary Eligibility:

Air carriers and eligible local communities.

Credentials/Documentation:

Financial statements and detailed operating statistics.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

In accordance with 14 CFR, Part 271, and specific Department requests in docketed cases.

Award Procedure:

Evaluation of applications according to factors established in 49 U.S.C. 41731, et seq., and any Department-established factors.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 2 to 4 months.

Appeals:

In accordance with 14 CFR, Part 302.14.

Renewals:

If selected air carrier is willing to renew, renewal may be by show-cause procedures under Part 302 of the Department's regulations; otherwise, application procedures are repeated.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

In accordance with Department evaluation and determination of demonstrated need.

Reports:

None.

Audits:

Air carriers are subject to audits of financial and operational fitness, of major contested claims of compensation for continued service, and of monthly billings to the Department for subsidy.

Records:

Air carriers must retain all books, records and other source and summary documentation for 7 years to support subsidy claims.

Account Identification:

69-8304-0-7-402.

Obligations:

(Direct payments) FY 07 \$50,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

For continental United States: \$247,122 to \$1,733,997 annually per point; \$636,161 annually per point average.

PROGRAM ACCOMPLISHMENTS:

Essential air service has been maintained with subsidy support at approximately 154 communities affected by airline deregulation.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41731, et seq.; 14 CFR, Parts 204, 271, 323, 325, 398.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Aviation Analysis, X-50, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590. Telephone: (202) 366-5903 Use the same number for FTS.

Web Site Address:

<http://ostpxweb.dot.gov/aviation/index.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Great Lakes Aviation-Essential Air Service to Alliance, Chadron, Kearney, and McCook, Nebraska. (2) Big Sky Airlines-Essential Air Service to Glasgow, Glendive, Havre, Lewistown, Miles City, Sidney, and Wolf Point, Montana. (3) Alaska Airlines-Essential Air Service to Cordova, Gustavus, Petersburg, Wrangell, and Yakutat, Alaska.

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and by precedent in individual docketed cases.

20.904 BONDING ASSISTANCE PROGRAM**FEDERAL AGENCY:**

OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 97-449, 49 U.S.C. 332.

OBJECTIVES:

To enhance and further the opportunity for Small and Disadvantaged Business Enterprises (SDBEs) to obtain bid, performance and payment bonds for transportation-related contracts emanating from the DOT, its grantees, recipients, their contractors and subcontractors.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

The bonds are issued in support of transportation-related contracts. Transportation-related means a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

Applicant Eligibility:

The recipient of a bond must be a certified DBE, minority-owned business enterprise or women-owned business enterprise. These certifications must be performed by an agency using DOT guidelines, 49 CFR Part 26. All firms certified by the Small Business Administration as 8(a) companies are eligible to participate in the DOT Bonding program.

Beneficiary Eligibility:

Certified minority, women-owned and disadvantaged business enterprises.

Credentials/Documentation:

Application and supplemental information, including a certification letter from the recipient agency(s), using DOT guidelines 49 CFR Part 26.

Preapplication Coordination:

Information and consultation is available from the Office of Small and Disadvantaged Business Utilization (OSDBU), Telephone: (202) 366-1169. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Completed applications should be submitted to Pilot Bonding Program Agents for underwriting and bonds are issued by one of DOT's Participating Sureties. Applicants may obtain a copy of the application and a list for the Pilot Bonding Agents on the OSDBU internet site at: <http://osdbuweb.dot.gov> or by contacting OSDBU.

Award Procedure:

All bonds are approved and issued directly to the DBE by the Sureties.

Deadlines:

Based upon bid or contract documents.

Range of Approval/Disapproval Time:

Bonds are issued on-site by local agents as representatives of the Sureties.

Appeals:

Not applicable.

Renewals:

Bonds are issued on a project by project basis.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Guarantees run to termination of bond (usually 1 year after completion of contract).

Reports:

Monthly, internal OSDBU reports; Reports to the Secretary of Transportation on all bond approvals.

Audits:

DOT has the right to audit its accounts with the Participating Sureties.

Records:

OSDBU and the surety agents maintain records of current applicant files, such as approvals. Bond approvals will be maintained by the participating sureties, with a copy provided to DOT.

Account Identification:

69-0102-0-1-407.

Obligations:

FY 07 est not available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Average dollar amount of bonds issued is \$330,000.

PROGRAM ACCOMPLISHMENTS:

During the last 3 fiscal years, an average of 70 performance and payment bonds were issued annually, averaging a total of \$15 million a year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C.332.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590. Contact: Bonding Manager. Telephone: (800) 532-1169 or (202) 366-2852. Website: <http://osdbuweb.dot.gov>.

Web Site Address:

<http://osdbuweb.dot.gov>.

RELATED PROGRAMS:

59.006, 8(a) Business Development Program; 59.016, Surety Bond Guarantees; 59.043, Women's Business Ownership Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.905 DISADVANTAGED BUSINESS ENTERPRISES-SHORT TERM LENDING PROGRAM

(Short Term Lending Program)

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 97-449, 49 U.S.C. 332.

OBJECTIVES:

To advance the opportunities for small, minority-owned disadvantaged businesses, including women and service-disabled veteran owned businesses and Disadvantaged Business Enterprises (DBE) (to obtain accounts receivable financing for the performance of eligible transportation-related contracts originating from the Department of Transportation (DOT), its grantees, recipients, their contractors and subcontractors.

TYPES OF ASSISTANCE:

Loan Guarantees/Grants.

USES AND USE RESTRICTIONS:

The line of credit is for account receivable financing to support the work being performed on eligible transportation related contracts. The line of credit cannot be used for equipment purchase or other long term uses; contract mobilization; refinancing of existing debt; payment of taxes; or distribution to stock holders.

Applicant Eligibility:

The recipient of a line of credit must be a certified DBE, or certified small business, including: 8(a); Small Disadvantaged Business (SDB); HUBZONE; Women-Owned Small Business (WOSB); and Service-Disabled Veteran-Owned Business (SDVOB). For DBE's, this certification must be performed under DOT guidelines, 49 CFR Part 26. The applicant must be in business for 2-3 years. This program is not for start-up businesses. There must be an existing transportation-related contract(s). Transportation-related contract is a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

Beneficiary Eligibility:

Small Business/Small Business Person.

Credentials/Documentation:

STLP application dated and signed; 3 years of business financial statements and taxes; 3 years of personal income taxes and current personal financial statement; current work-in-progress; cash flow projection to cover the length of the term of the loan (one year); current DBE or SBA certification; business formation information; company history; resume of key management; business and trade reference letters; and a copy of the signed transportation related contract(s).

Preapplication Coordination:

Information and consultation is available at <http://osdbuweb.dot.gov/financial/index.cfm> or by calling DOT's Office of Small and Disadvantaged Business Utilization (OSDBU). Applicants may also request technical assistance from the nearest Small Business Transportation Resource Center (SBTRC) <http://osdbuweb.dot.gov/regional/all.cfm> or the nearest SBA's Small Business Development Development Center (www.sba.gov). Telephone: (800) 532-1169. (202) 366-5577 or (202) 366-2852. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are submitted directly to one several Participating Leaders (PLs). Applicant may obtain a copy of the application and identify the bank servicing their region from the OSDBU internet site at <http://osdbuweb.dot.gov>.

Award Procedure:

All lines of credit are approved through separate decisions by the Participating Lender (PL) and by DOT. Lines of credit are serviced by approving PL.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

OSDBU staff will review the application for completeness. This review can be within 5 business days. Once it has been determined that the application is ready to be submitted to the PL, the applicant will submit to the PL a \$150.00 application fee along with the complete application. Decisions are made within 30 to 60 days from the time the complete application is submitted to the PL.

Appeals:

Not applicable.

Renewals:

Applicant may apply for renewal of line of credits as long as they remain eligible (certification is current and they have a current transportation related contract). Renewals and increases in the line of credit are possible. The maximum length in the program is 5 years.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Lines of credit is for one year term with the possibility of renewal for four additional years based upon continued eligibility.

Reports:

Not applicable.

Audits:

DOT has the right to audit its accounts with the banks.

Records:

OSDBU maintains records of all applicant files, such as approvals, pending review, loan readiness, denials, etc. All line of credit approvals will be maintained for 7 years and non-approvals will be maintained for 3 years.

Account Identification:

69-0102-0-1-407.

Obligations:

(Loans) FY 07 est not available; FY 08 est not available; and FY 09 est not available.

Range and Average of Financial Assistance:

The maximum line of credit is \$750,000, and there is no minimum amount.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C. 332.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, Department of Transportation, 1200 New Jersey Avenue, S.E. Suite W56-497 Washington, DC 20590. Telephone: (202) 366-5577; toll free (800) 532-1169.

Web Site Address:

<http://www.osdbuweb.dot.gov>.

RELATED PROGRAMS:

59.006, 8(a) Business Development Program; 59.043, Women's Business Ownership Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.910 ASSISTANCE TO SMALL AND DISADVANTAGED BUSINESSES

(OSDBU's Small Business Transportation Resource Centers)

FEDERAL AGENCY:**OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION AUTHORIZATION:**

The Department of Transportation (DOT), Office of Small and Disadvantaged Business Utilization (OSDBU) was established in accordance with Public Law 95-507, an amendment to the Small Business Act and the Small Business Investment Act of 1958. OSDBU is responsible for the implementation and execution of the USDOT activities on behalf of small businesses in accordance with Sections 8, 15 and 31 of the Small Business Act (SBA), as amended. The OSDBU also administers the provisions of Title 49 of the United States code, Section 332, the Minority Resource Center (MRC) which includes the duties of advocacy, outreach and financial services on behalf of small and disadvantaged businesses and those certified under CFR 49 Parts 23 and or 26 as

Disadvantaged Business Enterprises (DBE).

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations, to establish regional Small Business Transportation Resource Centers (SBTRCs) to provide business assessment, technical assistance, technical assistance referrals, business training, and the dissemination of information regarding DOT and DOT funded contracting opportunities, as well as OSDBU services to the Disadvantaged Business Enterprise (DBE) and Small Business (SB) community in their regions.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Regional SBTRCs work with OSDBU's Financial Assistance Division and STLP participating lenders to organize seminars and workshops on this accounts receivable financial access program that is available to eligible DBEs and small businesses. The seminars and workshops cover the entire STLP process, from completion of STLP loan application and preparation of the loan package to approval and loan management.

Applicant Eligibility:

Established 501 C(6) tax-exempt Chambers of Commerce, Trade Associations and 501 C(3) nonprofit organization, community colleges, minority educational institutions, tribal colleges and universities that have the documented experience and capacity necessary to successfully operate and administer a coordinated, Small Business Transportation Resource Center (SBTRC) within their regions.

Beneficiary Eligibility:

For the purpose of this program, the term small businesses refers to: 8 (a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women-owned small business (WOB), HubZone, service-disabled veteran-owned business, and veteran owned small business.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and provide documentation as verification. No application will be accepted without proof of tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for which applicant is submitting the proposal, that is readily accessible to the public.

Preapplication Coordination:

Consultation and assistance is available from the Office of Small and Disadvantaged Business Utilization (OSDBU). This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must be registered by using <http://www.grants.gov/>. Applications must be submitted electronically to OSDBU through <http://www.grants.gov/>.

Award Procedure:

Applications will be reviewed by a selection panel and selection will be made based upon the evaluation criteria included in the announcement. The Department of Transportation, Office of Small and Disadvantaged Business Utilization will award the Cooperative Agreements.

Deadlines:

No new awards are contemplated for FY 08 and FY 09.

Range of Approval/Disapproval Time:

Notification of awards will be made by April 16, 2007.

Appeals:

Not applicable.

Renewals:

Optional.

Formula and Matching Requirements:

Selected SBTRC partners will be expected to provide a designated SBTRC space, administrative support, and all other general and administrative expenses. Submitted proposals must contain an alternative funding source with which the SBTRC will fund the general and administrative costs. Proposals containing alternative funding sources in excess of general and administrative costs to directly fund additional services to the small business transportation community will receive favorable consideration.

Length and Time Phasing of Assistance:

Awards are generally made for a minimum of 12 months. Extensions over a longer period are possible, contingent upon the needs of the OSDBU. This is a cost reimbursable agreement subsequent to expenses incurred, and disbursements are made on a monthly basis, subject to activities successfully performed and approved by OSDBU.

Reports:

Implementation Plan and Monthly Reports; Implementation Plans are due within 7 days of the effective date of the Cooperative Agreement. Monthly Reports are due on the 5th day of each subsequent month.

Audits:

DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and conduct audits in the offices of the

recipient, all documents, papers, books and records, relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of not less than 3 years, except, if any litigation, dispute, exception, or audit is commenced, the records and other materials shall be retained until the litigation, dispute, exception, or audit is judicially or administratively made final.

Account Identification:

69-0119-0-1-407.

Obligations:

(Cooperative Agreements) FY 07 \$0; FY 08 \$1,352,547,000; FY 09 \$1,352,547,000.

Range and Average of Financial Assistance:

Average, \$150,000.

PROGRAM ACCOMPLISHMENTS:

Program activities have resulted in accomplishments in the following areas: No. hours Counseling small businesses - 2,110.80 hours; No. Marketing Events attended - 198; No. Businesses Served - 1,410; No. STLP Workshops held - 8.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Code of Federal Regulations Parts 26 and 23 as Disadvantaged Business Enterprises; Public Law 95-507; Section 8,15, and 31 of the Small Business Act as amended; OMB Circular A-110.

Regional or Local Office:

Not applicable.

Headquarters Office:

Director, Office of Small and Disadvantaged Business Utilization, S-40, Office of the Secretary, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone (202) 366-1930; toll-free (800) 532-1169; Fax: (202) 366-7228.

Web Site Address:

<http://www.osdbu.dot.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated on a numerical point scale using the following factors: Approach and Strategy; Linkages; Organizational Capability; Staff Capabilities and Experience; and Cost.

20.930 PAYMENTS FOR SMALL COMMUNITY AIR SERVICE DEVELOPMENT

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21), 49 U.S.C. 41743, Public Law 106-181; Vision 100-Century in Aviation Reauthorization Act, Public Law 108-176.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants for specified use, provided on a reimbursable basis. Grant funds can be used to cover a variety of expenses depending on the approved project. For example, funds can be expended for marketing, advertising or promotional activities, new studies designed to measure air service deficiencies, or to measure traffic loss or diversion to other communities; employment or use of consulting firms, universities, public relations firms, and new, dedicated air service development staff on a long term basis. In addition, grant funds may also be used for financial incentives, including subsidy or revenue guarantees to cover the air carrier's prospective operating loss, or to ground service providers in providing access to air transportation services.

Applicant Eligibility:

For calendar year 1997, the airport serving the community or consortium was not larger than a small hub airport, and had insufficient air carrier service; or unreasonably high air fares. Eligible communities/airports are those within the United States and its territories and possessions.

Beneficiary Eligibility:

Eligible local communities or consortia of communities, local airports serving those communities, and air carriers.

Credentials/Documentation:

Communities must apply for the grant program by submitting a project proposal with required data to justify its proposal in accordance with the Department-issued Request for Proposals.

Preapplication Coordination:

None. This program is excluded from coverage under Executive Order 12372.

Application Procedure:

A request for proposals (RFP) soliciting applications from eligible communities is issued each year. The RFP can be accessed on the internet through

<http://www.grants.gov>. Additionally, the solicitation is published in the Federal Register and served on the Council of Mayors, the National League of Cities, the National Governors Association, the National Association of State Aviation Officials, the Association of County Executives, the American Association of Airport Executives (AAAE), and the Airports Council International-North America (ACI).

Award Procedure:

Applications will be evaluated according to factors established in the RFP and under the guidance of the Vision 100-Century in Aviation Reauthorization Act, P.L. 108-176.

Deadlines:

The deadline for filing of proposals is established and specified for each fiscal year in the FRP and can vary from year to year. However, the Department generally provides grant applicants a minimum of 60 days to submit an application.

Range of Approval/Disapproval Time:

Can vary from year to year. However, the target is to awards grants within 120 days from the established application deadline.

Appeals:

None.

Renewals:

Application procedures are repeated each year. However, grant recipients are precluded by statute from seeking additional grants in support of the same project.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. However, cost sharing by the grant applicant is highly encouraged and can accord the grant applicant priority consideration under certain circumstances set forth in the statute.

Length and Time Phasing of Assistance:

The period of time for awards will vary with the complexity of the project. Minimum grant duration is one year. The statute limits use of grant funds for air carrier subsidy to a maximum period of three years. The same limitation applies to other forms of ongoing support for air carrier programs. Financial assistance is provided on a reimbursable basis; cash advances will not be made. Funds obligated remain available until expended.

Reports:

Quarterly progress and emplacement reports, and a final report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of State and Local governments, and Nonprofit Organizations, nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt for Federal audit Requirements for that year. For direct procurement contracts, audit will be conducted in accordance with the Federal Acquisition Regulation.

Records:

The grant recipient is expected to retain all documents Relevant to the grant award for a period of seven years from completion of the project.

Account Identification:

69-8106-0-7-402.

Obligations:

(Grants) FY 07 \$8,875,678 \$; FY 08 est. \$10,000,000; and FY 09 est. \$10,000,000.

Range and Average of Financial Assistance:

Range: 2007, \$50,000 to \$1.0 million. Average: \$345,000.

PROGRAM ACCOMPLISHMENTS:

Because most grant awards span a period of between two and four years, very few projects have reached the end of the grant terms. Of the 30 communities that sought new or expanded air service and have filed final reports as of September 30, 2005, 28 have experienced increased enplanements following the implementation of their respective grants. 25 of the 30 communities reported reduced passenger leakage to other airports. 16 of the 30 communities experienced a reduction in air fares. Furthermore, 26 of the 30 communities received improved air service in the form of increased frequencies, larger aircraft, or additional air carriers. Of these 26 airports, 19 continue to benefit from the improved service following the conclusion of the grant funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41743, et. Seq.; 14 CFR 302.12 (Rule 12); 49 CFR 1.56a(f), 49 CFR 18.36; Public Law 106-181; Public Law 106-176; Public Law 108-199.

Regional or Local Office:

None.

Headquarters Office:

Aloha Ley, Acting Associate Director, Small Community Air Service Development Program, Office of Aviation Analysis, X-50, Department of Transportation, 1200 New Jersey Ave., W86-310, Washington, DC 20590. Telephone: (202) 366-2347. Fax: (202) 366-7638.

Web Site Address:

<http://ostpxweb.dot.gov/aviation/index.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

20.931 TRANSPORTATION PLANNING, RESEARCH AND EDUCATION

(Transportation Planning, Research and Development)

FEDERAL AGENCY:

DEPARTMENT OF TRANSPORTATION, OFFICE OF THE SECRETARY

AUTHORIZATION:

Department of Transportation's FY 2006 Appropriation Bill (Conference Report HR 109-307)

OBJECTIVES:

To provide funds to conduct research and development on transportation and transportation inter-modal systems and related issues.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants can only be used for those projects identified in DOT's Annual Appropriation Legislation.

Applicant Eligibility:

These grants are mandated by Congress in DOT's Annual Appropriation Legislation. Therefore, only those organizations specifically identified in the appropriation legislation can apply.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; US Territory or Possession; Private Non-Profit Institutions/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and Local Governments, A-21 for Educational Institutions and A-122 for Non-Profit and For-Profit Organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application shall be submitted to the grantee via grants.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The application package shall be returned via grants.gov. Award will be made by Acquisition Services personnel.

Deadlines:

None. The deadlines, if any, shall be established in each grant application package.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the Acquisition Services office for approval.

Formula and Matching Requirements:

This program has no statutory Formula.

Length and Time Phasing of Assistance:

The length is specific to each grant. The funds can be released in a lump sum, but normally the grantees are reimbursed for funds expended.

Reports:

Quarterly progress and expenditure reports are required. The final report is due at the end of the grant or cooperative agreement.

Audits:

Audits shall be in accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Reports are due in accordance with the terms and conditions of each grant. Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

69-0102-0-1-407.

Obligations:

FY 07 \$3,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

The average may change depending on the DOT's Annual Appropriation Legislation.

PROGRAM ACCOMPLISHMENTS:

In FY 2006, 10 new grants were awarded for Transportation Planning, Research and Education on Infrastructure, Environment, and Inter-modal Transportation Systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Transportation's FY 2006 Appropriation Bill (Conference Report HR 109-307).

Regional or Local Office:

Not applicable.

Headquarters Office:

Cynthia Blackmon, US Department of Transportation/OST, Acquisition Services, 400 7th Street, SW, Room 5106, Washington, DC 20590, (202) 366-4968, (202) 366-9848 (fax).

Web Site Address:

Cyndy.blackmon@dot.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Evaluation of Shipper Requirement and Rail Services; Infrastructure and Transportation Support; Agriculture Transportation Pilot Project; Eastern Seaboard Intermodal Transportation Study; Research & Equipment of Coastal & Environmental Engineering Related to Costal Highways & Bridges.

CRITERIA FOR SELECTING PROPOSALS:

Grantees are mandated in the DOT Annual Appropriation Legislation.

DEPARTMENT OF THE TREASURY

21.003 TAXPAYER SERVICE

FEDERAL AGENCY:

INTERNAL REVENUE SERVICE, DEPARTMENT OF THE TREASURY

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C. 7801.

OBJECTIVES:

To assist taxpayers and taxpayer organizations in locating services and Federal tax information established by the Federal tax system.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The Internal Revenue Service (IRS) produces and provides publications, forms and other tax material and information to help taxpayers meet their tax responsibilities. Taxpayers can call the toll-free phone service at (800) 829-1040 for general inquiries. Taxpayers are encouraged to visit the IRS website at www.irs.gov where they can find all this information and can download forms and publications with a keystroke. The Business and Specialty Tax Line at (800) 829-4933 is available Monday thru Friday, 7 a.m. until 10 p.m. local time (with the exception of Alaska and Hawaii, which are on Pacific Time). The days and hours of operation may expand during the filing season, January through April 15, for general and specialized tax lines. The TeleTax system at (800) 829-4477, available 24 hours a day, 7 days a week, provides recorded tax information on more than 150 subjects to taxpayers who have touchtone telephones. For a directory of topics, taxpayers should listen to topic #123. The Refund Hotline (800) 829-1954 is also available Monday thru Friday, 7 a.m. until 10 p.m. local time (with the exception of Alaska and Hawaii, which are on Pacific Time). However, taxpayers can check their refunds on-line at www.irs.gov, "Where's My Refund". The site is easy, quick and secure. Educational workshops for new small businesses and self employed persons are available on-line at the IRS website at www.irs.gov/businesses/small/index.html and provide guidance on tax-related responsibilities. The IRS also partners with the tax professional community. The IRS holds annual nationwide Tax Forums, provides an electronic newsletter called "e-news for Tax Professionals," regularly updates the Tax Professionals page on the IRS website, and organizes Tax Practitioner Institutes. Information on these programs can be found on the website. IRS Taxpayer Assistance Centers (TAC) in 50 states and Puerto Rico provide face-to-face help for account, tax law, and need based return preparation services. Visit www.irs.gov/local/contacts/index.html for TAC locations, services and hours of operation. release, revenue ruling, revenue procedure, notice or announcement of other guidance. The IRS also partners with the tax professional community. The IRS holds annual nationwide Tax Forums, provides an electronic newsletter called "e-news for Tax Professionals," regularly updates the Tax Professionals page on the IRS website, and organizes Tax Practitioner Institutes. Information on these programs can be found on the website.

Applicant Eligibility:

Any individual or group interested in the tax system or have questions about his/her tax return(s).

Beneficiary Eligibility:

Taxpayers.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

20-0912-0-1-803.

Obligations:

(Salary and expenses) FY 07 \$564,665,658; FY 08 est \$706,172,667; and FY 09 est \$582,401,587 (Note: This data includes the following areas: Media and Publications, Stakeholder Partnerships, Education and Communication, and Field Assistance).

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Approximately 30 million additional contacts per year are achieved through partnership programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS regulations, guidelines and literature are available at www.irs.gov.

Regional or Local Office:

Field Assistance: Jane Brough, Wage & Investment, Customer Assistance, Relationships and Education, Field Assistance, 401 West Peachtree Street NW, Atlanta, GA 30308. Phone: (404) 338-8994. Tax Law Interpretation and Published Guidance: Frances Royal, Media and Publications, Customer Assistance, Relationships and Education, 1111 Constitution Avenue, Washington, DC 20224-0001. Phone: (202) 927-9568. Stakeholder Partnerships, Education & Communication: Mikki Betker, Customer Assistance Relationships and Education, 401 West Peachtree Street, NW, Atlanta, GA 30308. Phone: (404) 338-8893. Electronic/Correspondence Assistance: Bart Jones, Customer Accounts Services (CAS), 401 West Peachtree Street NW, Atlanta, GA 30308. Phone: (404) 338-8892. Area or Local Office: Taxpayer Assistance Centers (TAC) are a one-stop resource for face-to-face tax help. Visit www.irs.gov for local office locations, services and hours of operation. Forms and Publication telephone orders, operated Monday thru Friday, 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time): (800) 829-3676. National Taxpayer Advocate's Help Line, in service Monday thru Friday 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time) at (877) 777-4778. Telephone Device for the Deaf (TDD), operational Monday thru Friday, 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time) at (800) 829-4059.

Headquarters Office:

Headquarters Office: Office of the Commissioner, Department of the Treasury, Internal Revenue Service, Room 3000IR, 1111 Constitution Ave., NW., Washington, DC 20224.

Web Site Address:

<http://www.irs.gov>.

RELATED PROGRAMS:

21.004, Exchange of Federal Tax Information With State Tax Agencies.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

21.004 EXCHANGE OF FEDERAL TAX INFORMATION WITH STATE TAX AGENCIES

(Agreement on Coordination of Tax Administration)

FEDERAL AGENCY:

INTERNAL REVENUE SERVICE, DEPARTMENT OF THE TREASURY

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C. 6103(d).

OBJECTIVES:

To increase tax revenues and taxpayer compliance, and to reduce duplicate resource expenditures.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

State tax agencies may use the tax data provided for justified tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213, 7213A and 7431.

Applicant Eligibility:

Any agency responsible for tax administration within any of the 50 States, the District of Columbia, certain U.S. Territories, and municipalities with populations in excess of 250,000 that impose taxes on income or wages and with which the Commissioner of Internal Revenue has entered into a disclosure agreement with safeguard and confidentiality protections.

Beneficiary Eligibility:

State Governments, U.S. Territories, Municipal Governments.

Credentials/Documentation:

Agency head must enter into Agreement with the Commissioner of IRS regarding disclosure.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

There are a variety of application procedures depending on the circumstances. For the Governmental Liaison Data Exchange Program which includes recurring aggregate data extracts, applicants complete an Annual Registration Form. For specialty programs such as ATAT and EOAD/Fedstar, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office, in their area jurisdictions. Addresses can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula nor matching requirement.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Agency Officials are required to submit a Safeguard Procedures Report 45 days prior to receipt of Federal tax data, and an annual Safeguard Activity Report. 6103 Accounting Report for the Joint Committee on Taxation is an annual report filed by IRS to show the number of disclosures made on an annual basis.

Audits:

On-site Safeguard Reviews will be conducted by IRS personnel every three years to ensure confidentiality and security of Federal tax data. On-site Need and Use Reviews are conducted tri-annually, in conjunction with the Safeguard Review, by IRS personnel to confirm that agencies receiving Federal Tax Information have a need for the data, and to ensure the agencies are using the data for tax administration purposes.

Records:

Agencies are required to maintain a system of standardized records of requests for inspection or disclosure.

Account Identification:

20-0912-0-1-803.

Obligations:

(Support and Services) FY 07 est not available; FY 08 est not available; and FY 09 est not available. (Note: In 1994, IRS decided to waive the fees charged to State and local agencies. These agencies can obtain tax data extract information through various IRS disclosure offices for free).

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, American Samoa, Guam, Puerto Rico, the Virgin Islands, New York City, Louisville, St. Louis, Cincinnati, Cleveland, Toledo, Philadelphia, Pittsburgh, Detroit, Kansas City, Columbus, the city of Lexington-Fayette Urban County Government, Regional Income Tax Authority (RITA), Ohio Central Collection Agency (CCA), and Jefferson County Government.

REGULATIONS, GUIDELINES, AND LITERATURE:

- Internal Revenue Manual 11.3.32, Disclosure of Official Information. Disclosure to States for Tax Administration Purposes, found at <http://www.irs.gov/irm/part11/ch03s34.html> - Publication 1075, Tax Information Security Guidelines, found at <http://www.irs.gov/pub/irs-pdf/p1075.pdf>.

Regional or Local Office:

Disclosure Officer, in all area jurisdictions, found at <http://www.irs.gov/foia/article/0,,id=120681,00.html>.

Headquarters Office:

Director, Governmental Liaison and Disclosure, complete addresses can be found in local directories, Internal Revenue Service, Room 2010, 1111 Constitution Ave., NW., Washington, DC 20224. Telephone: (202) 622-6200. Use the same number for FTS. Office of Safeguards Internal Revenue Service (OS/MA/PR/S), 1111 Constitution Ave., NW, IR-3619, Washington, DC 20224. E-mail: SafeguardReports@irs.gov.

Web Site Address:

<http://www.irs.gov/businesses/small/article/0,,id=177651,00.html>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Individual Tax Models used by State tax agencies in determining their rate structure; Individual/Business Master File Tax Data Extracts; Individual/Business Returns Transaction File Extracts; Information Returns Master File Extracts.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

21.006 TAX COUNSELING FOR THE ELDERLY**FEDERAL AGENCY:**

INTERNAL REVENUE SERVICE, DEPARTMENT OF THE TREASURY

AUTHORIZATION:

Revenue Act of 1978, Section 163, Public Law 95- 600, 92 Stat. 2810.

OBJECTIVES:

To authorize the Internal Revenue Service to enter into agreements with private or public nonprofit agencies or organizations; to establish a network of trained volunteers to provide free income tax information and return preparation assistance to elderly taxpayers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding may be used for reimbursing volunteers for their out-of-pocket expenses including transportation, meals, and other expenses incurred by them in providing tax counseling assistance at locations convenient to the taxpayers.

Applicant Eligibility:

Tax Counseling for the Elderly sponsors must be private or public nonprofit organizations with experience in coordinating volunteer programs. Federal, State, and local governmental agencies and organizations are not eligible to sponsor a program.

Beneficiary Eligibility:

Elderly taxpayers, 60 years or older.

Credentials/Documentation:

None. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each Tax Counseling for the Elderly applicant must complete a Tax Counseling for the Elderly application package. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

IRS reviews applications and selects Tax Counseling for the Elderly Program sponsors based on their evaluative score and prior performance (if returning sponsor).

Deadlines:

Applications are generally available in June and due to IRS 60 days after the date the program is announced in the Federal Register.

Range of Approval/Disapproval Time:

Applicants whose proposals are accepted will generally be notified of award decisions by October 1st or as soon as Congress has appropriated TCE funds.

The IRS will advise those who were not selected or did not qualify based on their application package.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Cooperative Agreements expire on September 30 of each year unless an earlier date is set in the Cooperative Agreement.

Reports:

Form 8654, Tax Counseling for the Elderly Quarterly/Final Program Report is required to be submitted to the IRS quarterly.

Audits:

Audit requirements applicable to grant sponsors are described in OMB Circular A-133, 62 FR 35278-35302 (June 30, 1997). If you expend less than \$500,000 a year in total Federal awards, no audit requirements are applicable. If you expend \$500,000 or more a year in Federal awards, you must provide IRS with a copy of your Single Audit Report. You must arrange for an audit by an independent auditor in accordance with the Government Auditing Standards developed by the Comptroller General of the United States.

Records:

Organizations must maintain records on expenses volunteers incur and for which they are reimbursed.

Account Identification:

20-0912-0-1-803.

Obligations:

FY 07 \$3,950,000; FY 08 \$4,004,000; and FY 09 est \$3,950,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Last year, nearly 60 sponsors shared \$3.95 million and assisted nearly 1.5 million taxpayers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published each year in the Federal Register (June 1st).

Regional or Local Office:

None.

Headquarters Office:

Tax Counseling for the Elderly, Internal Revenue Service, 401 West Peachtree Street NE, Atlanta, GA 30308. Attention: Elizabeth Blair; Telephone: (404) 338-8424.

Web Site Address:

<http://www.irs.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Quality of Programs and Services for age 60 and over (i.e., qualifications of employees, time devoted to the program, employee/volunteer training, publicity, hours of operation, site location convenient to target elderly taxpayers 60 years and above and ensure elderly taxpayers are given priority services). The maximum number of points will be awarded to an organization whose programs focus/serve elderly taxpayers. (30 points); (2) Geographic coverage and extent of coverage for age 60 and over (i.e., the number of proposed assistance sites, number of volunteers, number of Federal income tax returns to be prepared and e-filed for elderly taxpayers, and other assistance to be provided) (20 points); (3) Electronic Filing services for age 60 and over (i.e., the proposed number of Federal income tax returns to be prepared and electronically filed for elderly taxpayers). Returning sponsors prior year e-file volume will be reviewed and the maximum number of points will be awarded for organizations that exceed 50 percent e-file for senior taxpayers. (20 points); (4) Prior experience in Federal Tax Return Counseling and Tax Preparation (10 points); (5) Quality of Cooperative Agreement Administration and Internal Accounting Procedures (i.e., experience in providing volunteer service and services to the elderly, organizational structure, experience in managing federal grant programs, reasonableness of proposed budget (70 percent of grants funds should be used for reimbursement expenses and 30 percent should be used for administrative expenses) and qualifications of the TCE management staff) (10 points); and (6) Past performance of a returning sponsor (10 points).

21.008 LOW-INCOME TAXPAYER CLINICS**FEDERAL AGENCY:**

INTERNAL REVENUE SERVICE, DEPARTMENT OF THE TREASURY

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C. 7526.

OBJECTIVES:

To provide matching grants to organizations providing: (1) Representation of low income taxpayers in controversies with the Internal Revenue Service (IRS), or (2) programs to inform individuals for whom English is a second language about their taxpayer rights and responsibilities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial assistance that enhances the awardee's ability to represent low-income taxpayers in controversies with the Internal Revenue Service (IRS) or inform individuals for whom English is a second language of their taxpayer rights and responsibilities. Each awardee is required to enter into an assistance agreement that requires the awardee to comply with performance goals and abide by other terms and conditions pertinent to assistance received under this program.

Applicant Eligibility:

Qualifying organizations that represent low income taxpayers involved in controversies with the IRS and organizations that provide education and outreach on the taxpayer rights and responsibilities of U.S. taxpayers to individuals who speak English as a second language. IRC Section 7526 requires clinics to provide services for free or for no more than a nominal fee. The term "clinic" includes, but is not limited to, a clinical program at an accredited law, business, or accounting school in which students represent low income taxpayers in controversies with the IRS, and an organization described in IRC Section 501(c) and exempt from tax under IRC Section 501(a) that either directly represents taxpayers or refers taxpayers to qualified representatives.

Beneficiary Eligibility:

Low-income taxpayers with incomes which do not exceed 250 percent of the Federal Poverty Guidelines published annually by the Department of Health and Human Services, or taxpayers for whom English is a second language.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each Low-Income Taxpayer Clinic applicant must complete a Low-Income Taxpayer Clinic Application Package. See IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines, which you may

obtain by calling (1-800) TAX-FORM (1-800) 829-3676) or by visiting www.irs.gov. This program is subject to the provisions of OMB Circular No. A-110. Access www.irs.gov or call (1-800) TAX-FORM (1-800) 829-3676) to obtain a copy of Publication 3319.

Award Procedure:

The IRS reviews applications and selects grant recipients.

Deadlines:

An annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates are generally 60 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Grantees are generally selected 120 days after the application submission deadline.

Appeals:

None.

Renewals:

A multi-year award may be issued up to 3 years maximum.

Formula and Matching Requirements:

All awards of financial assistance shall be matched by the recipient on a dollar-for-dollar basis. In general, funds from sources other than from other Federal government sources cannot be counted as matching funds.

Length and Time Phasing of Assistance:

Generally, an award may be issued up to 3 years maximum.

Reports:

Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year.

Audits:

Costs incurred are subject to audits throughout the award period subject to the award terms and conditions. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Award recipients are required to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB Circular No. A-110.

Account Identification:

20-0912-0-1-803.

Obligations:

FY 07 \$8,000,000; FY 08 \$9,000,000; and FY 09 est \$8,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In calendar year 2006, 150 organizations received funding, representing all 50 states, the District of Columbia, and Puerto Rico. In calendar year 2007, 153 organizations received funding, representing 49 states, the District of Columbia, Puerto Rico, and Guam. A supplemental application period was announced for the 2007 calendar year to recruit a clinic in Colorado, resulting in an additional two organizations receiving funding in Colorado, bringing the total to 155 organizations. In each of these years, LITCs provided needed assistance to low income taxpayers that otherwise might not have received assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Low Income Taxpayer Clinic Program Application Package (IRS Publication 3319).

Regional or Local Office:

None.

Headquarters Office:

Internal Revenue Service, Taxpayer Advocate Service, Attn: Shawn Collins, Grants Administration Manager, 1111 Constitution Ave., N.W., Room 1034, Washington, DC 20224.

Web Site Address:

<http://www.irs.gov/advocate> E-mail: LITCProgramOffice@irs.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Number of taxpayers who will be served by the clinic, including the number of taxpayers in the area for whom English is a second language; (2) Existence of other low-income taxpayer clinics serving the same population; (3) Quality of the program offered by the clinic, including the qualifications of the clinic's administrators and representatives as well as the clinic's record in providing service to low-income taxpayers; (4) Alternative funding sources available to the clinic; and (5) Other factors deemed appropriate under this program.

21.020 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS PROGRAM

(CDFI)

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND,
DEPARTMENT OF TREASURY.

AUTHORIZATION:

Public Law 103-325, 12 U.S.C. 4701 et. seq.

OBJECTIVES:

Through Financial Assistance (FA) awards, the CDFI Fund invests in CDFIs that demonstrate that they have the financial and managerial capacity to: provide affordable and appropriate financial products and services that positively impact their communities; be viable financial institutions; and use and leverage CDFI Fund dollars effectively. Through Technical Assistance (TA) awards, the CDFI Fund provides grants to build the internal capacity of start-up and existing CDFIs by acquiring prescribed types of products or services including technology (usually efficiency enhancing technology such as computers and loan management software), staff training, consulting services to acquire needed skills or services (such as a market analysis or lending policies and procedures), or staff time to conduct discrete, capacity-building activities (such as website development).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial and/or technical assistance that enhance the awardee's ability to make loans or development investments and to provide development services that will promote economic revitalization and community development. Each awardee is required to enter into an assistance agreement that requires the awardee to comply with performance goals and abide by other terms and conditions pertinent to assistance received under this program. No awards may be issued to Federal Government agencies, departments or instrumentalities. No awards may be issued to any State or local government or any agency or instrumentality thereof.

Applicant Eligibility:

Private nonprofit institutions/organizations, other private institutions/organizations, profit organizations. Only Community Development Financial Institutions or entities that provide information necessary for the awarding agency to certify the applicant organization as a Community Development Financial Institution or is seeking to become a CDFI, are eligible to apply for this program.

Beneficiary Eligibility:

Private nonprofit institutions/organizations, other private institutions/organizations, profit organizations. Awards to eligible applicants under this program provide financial and/or technical assistance in order to enhance their ability to make loans and development investments and provide development services that benefit a designated investment area(s), targeted population(s), or both.

Credentials/Documentation:

An applicant shall provide information necessary to establish that it is, or will be, a Community Development Financial Institution.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each applicant must complete a Community Development Financial Institutions application package which includes the submission of a Comprehensive Business Plan.

Award Procedure:

Applications are reviewed and awardees are selected by the Community Development Financial Institutions Fund.

Deadlines:

Annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates generally range from 30 to 90 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Generally, approximately 6 months from submission of application.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

All awards of financial assistance shall be matched by the recipient on a one dollar to one dollar basis using funds from sources other than the Federal government. Also matching funds must be at least comparable in form (e.g., equity investments, deposits, credit union shares, loans or grants).

Length and Time Phasing of Assistance:

Generally, awards may be issued up to a 3 year maximum. Disbursement of funds may be provided in a lump sum or over the life of the award depending on

the terms and conditions established at time of award issuance.

Reports:

The type and schedule of reports is specified in the Notice of Funding Availability and in the agreement governing the award.

Audits:

See the Notice of Funding Availability.

Records:

Award recipients are expected to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB Circular No. A-110.

Account Identification:

20-1881-0-1-451.

Obligations:

(Grants) FY 07 \$27,386,573; FY 08 est \$55,250,000; and FY 09 est \$55,250,000.

Range and Average of Financial Assistance:

(FY 2007 data) \$45,045 to \$960,000; \$396,906.

PROGRAM ACCOMPLISHMENTS:

For FY 2007, 69 awards were made for the FA and TA CDFI program.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805, Notice of Funding Availability. Community Development Financial Institutions Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Office Contact: Ms. Ruth Jaure, Program Manager, Community Development Financial Institution Fund, 601 13th Street, NW., Suite 200 South, Washington, DC 20005. Telephone: (202) 622-9156, Fax: (202) 622-7754. Awards Office Contact: Ms. Ayisa Crowe, Awards Manager, Community Development Financial Institution Fund, 601 13th Street, NW., Suite 200 South, Washington, DC 20005. Telephone: (202) 622-8691, Fax (202) 622-6453.

Web Site Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.021, Bank Enterprise Award Program.

EXAMPLES OF FUNDED PROJECTS:

One award of \$1,500,000, along with required matching funds, was issued to retain and expand manufacturing companies and other businesses that employ low-income resident of a city empowerment zone. Another award, with matching funds, is providing funding so that loans can be originated to assist in working to restore or establish small business development and affordable housing opportunities in targeted population areas.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Financial strength and organizational capacity of applicant organization, (2) External resources or matching fund commitment/ability to obtain commitments, (3) Community Impact (The community need for award and activities to be executed), (4) Community Partnerships (Ability to carry out activities and work effectively), and (5) Other factors deemed appropriate under this program and published in the notice of funds availability.

21.021 BANK ENTERPRISE AWARD PROGRAM

(Bank Enterprise Award Program (BEA))

FEDERAL AGENCY:

DEPARTMENT OF TREASURY, UNDER SECRETARY FOR DOMESTIC FINANCE, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND.

AUTHORIZATION:

Public Law 103-325, 12 U.S.C. 1834a; 12 U.S.C. 4713.

OBJECTIVES:

To encourage insured depository institutions to increase their level of community development activities in the form of loans, investments, services and technical assistance within distressed communities and to provide assistance to Community Development Financial Institutions through grants, equity investments, loans, deposits and other forms of financial and technical assistance. The program rewards participating insured depository institutions for increasing their activities in economically distressed communities and investing in community development financial institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Under this program, awards are issued to selected applicants that: (1) Invest in or otherwise support Community Development Financial Institutions; (2) Increase lending and investment activities within Distressed Communities; and/or (3) Increase the provision of certain services and technical assistance within Distressed Communities. An award is based upon a percentage of the increase in qualifying activities that are carried out by the applicant between an established Baseline Period and Assessment Period. This information is announced in the annual notice of funds availability published in the Federal Register. No award

under this program will be issued if the applicant has: an application pending under the Community Development Financial Institutions (CDFI) Program, received assistance under the CDFI Program within the preceding 12-month period, or ever received assistance under the CDFI Program for the same activities for which it is seeking a Bank Enterprise Award. Only banks or savings associations the deposits of which are insured by the Federal Deposit Insurance Corporation may apply for an award under this program.

Applicant Eligibility:

Profit Organization and Other Private Institution/Organization in the form of insured depository institutions.

Beneficiary Eligibility:

Profit Organization and Other Private Institution/Organization in the form of an insured depository institutions.

Credentials/Documentation:

An applicant must provide Federal Deposit Insurance Corporation certificate number.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each applicant must complete a Bank Enterprise Award Application package.

Award Procedure:

Applications are reviewed and grant awardees are selected by the Community Development Financial Institutions Fund.

Deadlines:

The annual Notice of Funds Availability published in the Federal Register announces deadline dates for submission of applications for this program. Deadline dates generally range from 30 to 90 days subsequent to the notice publication.

Range of Approval/Disapproval Time:

Generally, 6 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are issued on a percentage basis for selected and approved activity increases under this program.

Reports:

The schedule of reports is arranged during the pre-award negotiation stage.

Audits:

Costs incurred for awards issued as part of this program are subject to audit in accordance with the award terms and conditions.

Records:

Award recipients must maintain records to substantiate all award costs incurred and to assure that all performance measures are met. Records will be retained in accordance with the provisions of 12 CFR Part 1806 and OMB Circular No. A-110.

Account Identification:

20-1881-0-1-451.

Obligations:

(Grants) FY 07 \$11,167,711; FY 08 est \$20,000,000; and FY 09 est \$20,000,000.

Range and Average of Financial Assistance:

\$6,000 to \$500,000; \$218,971.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 51 awards were issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1806, Revised Interim Rule, published in the February 4, 2003 issue of the Federal Register. Bank Enterprise Award Program Application Package.

Regional or Local Office:

None.

Headquarters Office:

Program Office Contact: Ms. Ruth Jaure, Program Manager, Community Development Financial Institutions Fund, 601 13th Street, N.W., Suite 200 South, Washington, DC, 20005. Telephone: (202) 622-9156, Fax: (202) 622-7754. Awards Office Contact: Ms. Ayisa Crowe, Awards Manager, Community Development Financial Institutions Fund, 601 13th Street, N.W., Suite 200 South, Washington, DC, 20005. Telephone: (202) 622-8691, Fax: (202) 622-6453.

Web Site Address:

www.cdfifund.gov.

RELATED PROGRAMS:

21.020, Community Development Financial Institutions Program.

EXAMPLES OF FUNDED PROJECTS:

The Bank Enterprise Award recipients issued more than \$58 million in new loans to finance multi-family housing in distressed communities around the nation. In addition, recipients made available nearly \$83 million for new loans,

investments, financial services and technical assistance in economically distressed communities.

CRITERIA FOR SELECTING PROPOSALS:

Award recipients are selected based upon a priority ranking of qualified activities. Qualified activities include, in order of importance, the following: (1) Community Development Financial Institution Related Activities; and, (2) Development and Service Activities.

APPALACHIAN REGIONAL COMMISSION

23.001 APPALACHIAN REGIONAL DEVELOPMENT (SEE INDIVIDUAL APPALACHIAN PROGRAMS)

(Appalachian Program)

FEDERAL AGENCY:

APPALACHIAN REGIONAL COMMISSION

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704; Appalachian Regional Development Act Amendments of 2002, Public Law 107-149.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to be a strategic partner and advocate for sustainable community and economic development. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Increase job opportunities and per capita income in Appalachia to reach parity with the nation; (2) Strengthen the capacity of the people of Appalachia to compete in the global economy; (3) Develop and improve Appalachia's infrastructure to make the Region economically competitive; and (4) Build the Appalachian Development Highway System to reduce Appalachia's isolation. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Appalachian funds enable the States and local areas to develop networks of facilities and services. Individual programs following this description illustrate the types of investments that can be made. In considering programs and projects to be given assistance under this Act, and in establishing a priority ranking of the requests for assistance presented to the Commission (ARC), the ARC follows procedures insuring consideration of the following factors: (1) The relationship of the project or class of projects to overall regional development and the reduction of economic distress; (2) the population and area to be served including the relative per capita income and the unemployment rates in the area; (3) the relative financial resources available to the State or political subdivision or instrumentalities thereof which seek to undertake the project; (4) the importance of the project or class of projects in relation to other activities which may compete for the same funds; (5) the prospects that the project for which assistance is sought will improve the opportunities for sustained employment, income growth, or socioeconomic development of the area; and (6) the degree and manner of private sector involvement. No financial assistance can be used to assist establishments relocating from one area to another. Each State is required to file a State Appalachian development plan, appraising prospects for development in its Appalachian area and relating to them a strategic program for which Appalachian funding is requested in that year. Once an application is submitted for the individual projects and given final approval, the grant is administered either by the basic Federal agency involved in that type of program or directly by the ARC. The counties (including any political subdivision located within such area) in which investment under the Appalachian Act (40 U.S.C. 14102) can be made are: in Alabama, the counties of Bibb, Blount, Calhoun, Chambers, Cherokee, Chilton, Clay, Cleburne, Colbert, Coosa, Cullman, DeKalb, Elmore, Etowah, Fayette, Franklin, Hale, Jackson, Jefferson, Lamar, Lauderdale, Lawrence, Limestone, Macon, Madison, Marion, Marshall, Morgan, Pickens, Randolph, Saint Clair, Shelby, Talladega, Tallapoosa, Tuscaloosa, Walker, and Winston; in Georgia, the counties of Banks, Barrow, Bartow, Carroll, Catoosa, Chattooga, Cherokee, Dade, Dawson, Douglas, Elbert, Fannin, Floyd, Forsyth, Franklin, Gilmer, Gordon, Gwinnet, Habersham, Hall, Haralson, Hart, Heard, Jackson, Lumpkin, Madison, Murray, Paulding, Pickens, Polk, Rabun, Stephens, Towns, Union, Walker, White, and Whitfield; in Kentucky, the counties of Adair, Bath, Bell, Boyd, Breathitt, Carter, Casey, Clark, Clay, Clinton, Cumberland, Edmonson, Elliott, Estill, Fleming, Floyd, Garrard, Green, Greenup, Harlan, Hart, Jackson, Johnson, Knott, Knox, Laurel, Lawrence, Lee, Leslie, Letcher, Lewis, Lincoln, McCreary, Madison, Magoffin, Martin, Menifee, Monroe, Montgomery, Morgan, Owsley, Perry, Pike, Powell, Pulaski, Rockcastle, Rowan, Russell, Wayne, Whitley, and Wolfe; in Maryland, the counties of Allegany, Garrett, and Washington; in Mississippi, the counties of Alcorn, Benton, Calhoun, Chickasaw, Choctaw, Clay, Itawamba, Kemper, Lee, Lowndes, Marshall, Montgomery, Monroe, Noxubee, Oktibbeha, Panola, Pontotoc, Prentiss, Tippah, Tishomingo, Union, Webster, Winston, and Yalobusha; in New York, the counties of Allegany, Broome, Cattaraugus, Chautauq, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins; in North Carolina, the counties of Alexander, Alleghany, Ashe, Avery, Buncombe, Burke, Caldwell, Cherokee,

Clay, Davie, Forsyth, Graham, Haywood, Henderson, Jackson, McDowell, Macon, Madison, Mitchell, Polk, Rutherford, Stokes, Surry, Swain, Transylvania, Watauga, Wilkes, Yadkin, and Yancey; in Ohio, the counties of Adams, Athens, Belmont, Brown, Carroll, Clermont, Columbiana, Coshocton, Gallia, Guernsey, Harrison, Highland, Hocking, Holmes, Jackson, Jefferson, Lawrence, Meigs, Monroe, Morgan, Muskingum, Noble, Perry, Pike, Ross, Scioto, Tuscarawas, Vinton, and Washington; in Pennsylvania, the counties of Allegheny, Armstrong, Beaver, Bedford, Blair, Bradford, Butler, Cambria, Cameron, Carbon, Centre, Clarion, Clearfield, Clinton, Columbia, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Juniata, Lackawanna, Lawrence, Luzerne, Lycoming, McKean, Mercer, Mifflin, Monroe, Montour, Northumberland, Perry, Pike, Potter, Schuylkill, Snyder, Somerset, Sullivan, Susquehanna, Tioga, Union, Venango, Warren, Washington, Wayne, Westmoreland, and Wyoming; in South Carolina, the counties of Anderson, Cherokee, Greenville, Oconee, Pickens, and Spartanburg; in Tennessee, the counties of Anderson, Bledsoe, Blount, Bradley, Campbell, Cannon, Carter, Claiborne, Clay, Cocke, Coffee, Cumberland, De Kalb, Fentress, Franklin, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hawkins, Jackson, Jefferson, Johnson, Knox, Loudon, McMinn, Macon, Marion, Meigs, Monroe, Morgan, Overton, Pickett, Polk, Putman, Rhea, Roane, Scott, Sequatchie, Sevier, Smith, Sullivan, Unicoi, Union, Van Buren, Warren, Washington, and White; in Virginia, the counties of Alleghany, Bath, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Giles, Grayson, Highland, Lee, Montgomery, Pulaski, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, and Wythe; all the counties of West Virginia.

Applicant Eligibility:

States, and through the States, public bodies and private nonprofit organizations.

All proposed projects must meet the requirements of the State Appalachian plan and the annual State strategy statement, both of which must be approved annually by the Commission.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

(See individual Appalachian program descriptions.)

Preapplication Coordination:

General Nature and Administration of Appalachian Regional Development Program. The Appalachian Regional Development program is a joint Federal-State partnership for the development of the Appalachian region. Responsibility for the development of plans and programs authorized under the Act is vested in the ARC, composed of the 13 State Governors (who may appoint alternates) and a Federal Co-Chairman. General policies and procedures, and the allocation of Appalachian funds among the various programs and States are established by the ARC. Application for assistance may only be made through a State member of the ARC. The State Alternate's Offices are the coordinators for the Governors for Appalachian investments. Preapplication conferences can determine within a few weeks if the project conforms to the State Appalachian Development Plan. The appropriate local development district director should be the first contact. The State Alternate's Offices will provide guidance on specific problems and technical assistance in the preparation of applications. (See individual Appalachian program descriptions.) This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for individual projects must be submitted through and with the approval of the State Alternate to the Appalachian Regional Commission (listed in the appendix). (See individual Appalachian program description.)

Award Procedure:

Upon receipt of project applications approved by the State, the Federal Co-Chairman determines that the project satisfies all requirements for assistance under the Act and approves the application. If a basic Federal agency will administer the project, it is then notified and will disburse funds when appropriate. The ARC notifies Congressional offices and the office of the Governor of grant awards. (See individual Appalachian program descriptions.)

Deadlines:

(See individual Appalachian Program descriptions.)

Range of Approval/Disapproval Time:

(See individual Appalachian program descriptions.)

Appeals:

There are no appeal procedures as such, project review allows for full and free interchange with applicants.

Renewals:

Generally renewals are not applicable except for administrative expenses, including technical services, of local development districts (23.009).

Formula and Matching Requirements:

See individual Appalachian program descriptions. This program has maintenance of effort (MOE) requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

Not applicable except for operating assistance beyond start-up and the first year of operation.

Reports:

Reporting and performance monitoring as required by the basic Federal agency or ARC for those programs directly administered by the Commission. The Commission requires semi-annual reports of local development districts and technical assistance grants and annual reports on housing grants. (See individual Appalachian program descriptions.)

Audits:

Audits are required by the basic Federal agency and the Commission. In accordance with the provisions of OMB Circular No. A-133 (revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records generally are required by the basic Federal agency, but see local development districts (23.009).

Account Identification:

46-0200-0-1-452.

Obligations:

FY 06 \$ not separately identifiable. FY 07 \$ not separately identifiable. FY 08 \$ estimate not available. (Obligations under individual ARC programs).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

See individual Appalachian programs for output information.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution); "Appalachian Regional Commission Project Guidelines" (limited distribution); applicable State Appalachian Plans and Guidelines; "Appalachia" - a Journal devoted to the special problems of regional development; Performance and Accountability Reports, no charge.

Regional or Local Office:

See Appendix IV of the Catalog.

Headquarters Office:

Inquiries and proposals for projects should be submitted to the Appalachian State office designated by the Governor. See address appendix. Address other inquiries to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, NW., Washington, DC 20009. Telephone: (202) 884-7700. Use the same number for FTS.

Web Site Address:

<http://www.arc.gov>.

RELATED PROGRAMS:

11.300, Investments for Public Works and Economic Development Facilities; 11.307, Economic Adjustment Assistance.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.002 APPALACHIAN AREA DEVELOPMENT

(Supplemental and Direct Grants)

FEDERAL AGENCY:

APPALACHIAN REGIONAL COMMISSION

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704; Appalachian Regional Development Act Amendments of 2002, Public Law 107-149.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to be a strategic partner and advocate for sustainable community and economic development. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Increase job opportunities and per capita income in Appalachia to reach parity with the nation; (2) Strengthen the capacity of the people of Appalachia to compete in the global economy; (3) Develop and improve Appalachia's infrastructure to make the Region economically competitive; and (4) Build the Appalachian Development Highway System to reduce Appalachia's isolation. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants may be used for providing supplemental funds under Federal grant-in-aid programs. To be eligible for special basic grants, projects must be of high priority in the State's Appalachian development plan and be either of critical importance to a phased investment and development program for a multicounty area, or of unusual economic benefit to such area. Refer to "General Provisions for Area Development Program" of the Appalachian Regional Commission Code for additional use restrictions.

Applicant Eligibility:

States, their subdivisions and instrumentalities and private nonprofit agencies.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Any finding, report, certification, or documentation required to be submitted to the head of the department, agency, or instrumentality of the Federal Government responsible for the administration of the basic Federal grant-in-aid program shall be accepted by the Federal Co-chairman with respect to a supplemental grant for any project under such program. Projects must conform to the ARC Code, the ARD Act, the State Appalachian Plan and annual State Strategy Statement. The Appalachian State Development Plan and the annual State Strategy Statement must be submitted by the Governors and approved by the Commission. Costs will be determined in accordance with OMB Circular applicable to the grantee.

Preapplication Coordination:

The State Alternate's Office is the coordinator for Appalachian investments. Preapplication conferences with the Appalachian local district director or the State Alternate's Office can determine within a few weeks if the project can be related to the State Appalachian development plan. The State Alternate's Office will provide guidance on specific problems and technical assistance in preparation of applications. An environmental impact statement may be required for this program, depending on the basic Federal grant-in-aid program being assisted. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application must be submitted and approved by the State member of the ARC. All proposed projects must conform to the State Strategy Statement submitted annually prior to the Fall Commission meeting. Guidelines and forms for funding Appalachian development projects are available from the local development district director and the State Alternate's Office. A letter of transmittal signed by the State member and an executed ARC Form 1 is required with the submission.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-Chairman determines that the project satisfies all Federal requirements. If a basic Federal agency will administer the project it is then notified and will disburse the grant funds when appropriate. The ARC notifies Congressional Offices and the Office of the Governor of grant awards.

Deadlines:

Proposed projects are submitted by the States and must be submitted according to deadlines set by each State.

Range of Approval/Disapproval Time:

From 30 to 60 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Generally grants are limited to 50% of project costs. For projects in counties designated as economically distressed, this limit can be raised to 80%. For projects in counties designated as competitive (those that are approaching national economic norms), funding is usually limited to 30% of project costs. Funding is usually not available for projects located in counties that have attained or exceeded national economic norms. If otherwise eligible for funding, projects involving construction assistance for housing under the Appalachian Regional Development Act (ARDA) (40 U.S.C. 14503) cannot exceed 10% of total project costs regardless of location. Health operations continuation projects under ARDA (40 U.S.C. 14502) are limited to 75% of project costs after the second year of operations, even in distressed counties. The ARC Code and "Appalachian Regional Commission Project Guidelines" furnish details.

Length and Time Phasing of Assistance:

Assistance is provided until completion; funds are transferred to the basic Federal agency and disbursed as appropriate. ARC reserves the right to withdraw grants for projects not under contract within 18 months of approval.

Reports:

Reporting and performance monitoring as required by the basic Federal agency or the Commission, as appropriate.

Audits:

Audits as required by the basic Federal agency and the Commission. In

accordance with the Single Audit Act Amendments of 1996, Public Law 104-156. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organization-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

46-0200-0-1-452.

Obligations:

FY 07 \$58,917,132; FY 08 est \$73,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1 to \$1,782,000; \$38,102.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 493 projects were approved. The States concentrated efforts on meeting a variety of pressing needs in the Region including projects for water and sewer systems, industrial parks, entrepreneurship, training, vocational education, leadership, export promotion, health care, child development, revolving loans, and business incubators, in conjunction with private sector commitments.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution); "Appalachian Regional Commission Project Guidelines" (limited distribution); applicable State Appalachian Plans and Guidelines; "Appalachia" - a journal devoted to the special problems of regional development; Performance and Accountability Report, no charge.

Regional or Local Office:

Refer to Appendix IV of the Catalog.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog.) Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, N.W., Washington, DC 20009. Telephone: (202) 884- 7700. Use the same number for FTS.

Web Site Address:

<http://www.arc.gov>.

RELATED PROGRAMS:

84.048, Career and Technical Education -- Basic Grants to States.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.003 APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

(Appalachian Corridors)

FEDERAL AGENCY:

APPALACHIAN REGIONAL COMMISSION

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 201, Public Law 89-4, as amended, 40 U.S.C. 14501.

OBJECTIVES:

To provide a highway system which, in conjunction with other federally-aided highways, will open up areas with development potential within Appalachia where commerce and communication have been inhibited by lack of adequate access.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The grants may be used for preliminary engineering, right-of-way, and construction of highways meeting the objectives stated above. Total highway construction may not exceed 3,090 miles for the 13-State system. The highways must be accepted and maintained as a Federal-aid highway. Refer to general use restrictions stated in the Appalachian Regional Development program (23.001).

Applicant Eligibility:

State governments only are eligible for development highways within their Appalachian portions.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Segments must be approved by the ARC and projects must be processed in

accordance with State Highway department and Federal Highway Administration procedures.

Preapplication Coordination:

An environmental impact statement is required for this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. The State highway department coordinates with the Federal Highway Administration, Department of Transportation (DOT), as in the case of federally aided highway construction. The District Director or State Alternate's Office will provide guidance on specific problems and technical assistance in the preparation of applications. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The ARC has established a corridor system. The Appalachian State office designated by the State Member submits an application for construction within this system to the Commission for consideration. ARC must concur in detailed highway locations and construction centerlines. Upon such approval, State highway departments may submit plans to implement projects to the State-level office of Federal Highway Administration. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian Highway Plan, the Federal Co-chairman notifies FHWA of centerline approval after determining that the project satisfies all Federal requirements. The Department of Transportation is then notified, administers the grants and disburses the funds.

Deadlines:

None.

Range of Approval/Disapproval Time:

Usually within 60 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Funding for work on the Appalachian Development Highway System is allowed at 80%, wherever the project is located. The ARC Code and ARC Project Guidelines furnish details.

Length and Time Phasing of Assistance:

Assistance is provided as required.

Reports:

The Federal Highway Administration provides the ARC with periodic reports on the progress and status of projects. Each participating State makes fiscal year reports to the Commission of its allocations expected to be obligated during the fiscal year with the following information concerning each project to be funded: highway corridor segment description, project number, type of work, and estimated cost.

Audits:

The Federal Highway Administration, DOT, supervises audits for the Commission. In accordance with the provisions of OMB Circular No. A-133 (revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and time of record maintenance as required by the Federal Highway Administration, DOT.

Account Identification:

46-0200-0-1-452.

Obligations:

FY 07 \$423,820,097; FY 08 est \$470,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Ranges and averages vary with type of use. Allocations are within predetermined system of highways. Funding is provided from the Highway Trust Fund authorized in SAFETEA-LU.

PROGRAM ACCOMPLISHMENTS:

At the end of fiscal year 2007, 2,655 miles were fully completed or under construction and open to traffic. This represents 86 percent of the total mileage authorized for the system.

REGULATIONS, GUIDELINES, AND LITERATURE:

The ARC Code (limited distribution); "Appalachian Regional Commission Project Guidelines" (limited distribution); applicable State Appalachian Plans and Guidelines; "Appalachia" a journal devoted to the special problems of regional development; Performance and Accountability Reports, no charge.

Regional or Local Office:

Refer to Appendix IV of the Catalog.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog.)

Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Ave., NW., Washington, DC 20009.
Telephone: (202) 884-7700. Use the same number for FTS.

Web Site Address:
<http://www.arc.gov>

RELATED PROGRAMS:

20.205, Highway Planning and Construction.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.009 APPALACHIAN LOCAL DEVELOPMENT DISTRICT ASSISTANCE

(LDD)

FEDERAL AGENCY:

APPALACHIAN REGIONAL COMMISSION

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended, 40 U.S.C. 14101-14704; Appalachian Regional Development Act Amendments of 2002, Public Law 107-149.

OBJECTIVES:

To provide planning and development resources in multicounty areas; to help develop the technical competence essential to sound development assistance; and to meet the objectives stated under the program entitled Appalachian Regional Development (23.001).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Appalachian Development Program (23.001), the local development district may use grant funds for the purchase of real estate and vehicles; and for space improvement and construction only with the express approval of ARC. Funds may be used only in the performance of grantees program and in accord with the budget, and amendments thereto, as approved by the Commission.

Applicant Eligibility:

Multicounty organizations certified by the State.

Beneficiary Eligibility:

Multicounty organizations.

Credentials/Documentation:

Certificate by the Governor. State Appalachian Plan and Strategy Statement must describe the procedures for the participation of local development districts in the Appalachian development planning process. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The State Alternate's Office is the coordinator for the Governor for Appalachian investments. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications must be submitted and approved by the State Member of ARC. All proposed projects must meet the requirements of the State Appalachian Plan and Strategy Statement. Strategy statements are submitted to the Commission for approval annually prior to the Fall Commission meeting. A letter of transmittal signed by the State Member and Standard Form 424 are required.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-chairman determines that the project satisfies all Federal requirements.

Deadlines:

Those imposed by each State and the general requirement of the Commission.

Range of Approval/Disapproval Time:

Usually within 45 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

Renewals and amended applications follow the same procedures as the original application.

Formula and Matching Requirements:

Administrative grants may be funded at 50%, wherever the local development district is located, except that a state may request that ARC participation be increased to as much as 75 percent for a local development district that includes a distressed county or counties within its service area. The ARC Code and ARC Project Guidelines furnish details.

Length and Time Phasing of Assistance:

Assistance is provided annually for 12 months.

Reports:

Annual progress and financial reports in a form and manner prescribed by the Commission.

Audits:

The Commission, the Federal Co-chairman of the Commission, and the Comptroller General of the United States shall have access for the purpose of audit and examination. In accordance with the provisions of OMB Circular No. A-133 (revised, June 27, 2003), "Audit of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall keep records for not less than 3 years which fully disclose the purpose, amount and disposition of ARC funds and other contributions.

Account Identification:

46-0200-0-1-452.

Obligations:

FY 07 \$6,134,562; FY 08 est \$7,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$224 to \$189,200; \$28,944.

PROGRAM ACCOMPLISHMENTS:

There were 72 planning districts aided in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution); "ARC Travel Regulations;" "ARC Section 302A Guidelines" and "Audit Guide for Grants Made Under Section 302(A)(1) of the Appalachian Regional Development Act of 1965, as amended", and applicable OMB Circulars.

Regional or Local Office:

Refer to Appendix IV of the Catalog.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog.) Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, N.W., Washington, DC 20009.
Telephone: (202) 884-7700. Use the same number for FTS.

Web Site Address:

<http://www.arc.gov>

RELATED PROGRAMS:

11.303, Economic Development, Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.011 APPALACHIAN RESEARCH, TECHNICAL ASSISTANCE, AND DEMONSTRATION PROJECTS

(State Research)

FEDERAL AGENCY:

APPALACHIAN REGIONAL COMMISSION

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended; 40 U.S.C. 14101-14704; Appalachian Regional Development Act Amendments of 2002, Public Law 107-149.

OBJECTIVES:

To expand the knowledge of the region to the fullest extent possible by means of research (including investigations, studies, technical assistance and demonstration projects) in order to assist the Commission in accomplishing the objectives of the Act, and implementation of the ARC strategic plan.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To research or demonstrate the feasibility of plans and programs for concerted economic and community development. Priority will be given to technical assistance related to job creation projects. Project activities can include investigations, research, studies, evaluations, and assessments of needs, potentials, or attainments of the people of the region, technical assistance, training programs, demonstrations and the construction of necessary facilities incident to such activities. All developments resulting from such research, and demonstration projects must be made freely available to the general public. Existing research by other agencies is to be utilized as much as possible. Research projects are also subject to the uses and use restrictions described under the Appalachian Regional Development program (23.001).

Applicant Eligibility:

Qualified candidates with subject matter experience who respond to Commission issued requests for proposals that are advertised on the Appalachian Regional Commission website (www.arc.gov).

Beneficiary Eligibility:

States and local public bodies.

Credentials/Documentation:

Projects must conform to the Appalachian Regional Commission Strategic Plan. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

The Appalachian Regional Commission reviews and prioritizes research and evaluation projects necessary to further the goals of the agency's strategic plan. The Executive Director approves individual projects. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Candidates with subject matter expertise can respond to publicly advertised requests for proposals. Requests for proposals are located on the ARC website: www.arc.gov. The Commission, comprised of the Federal Co-Chair and the 13 State governors, review and prioritize research projects to be completed each year. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Upon determination that the approved project is eligible within a Commission approved Appalachian Strategic Plan, the Federal Co-chairman and Executive Director determines that the project satisfies all Federal requirements.

Deadlines:

None, except those imposed by each State and the general requirement of the Commission.

Range of Approval/Disapproval Time:

From 36 to 60 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

Yes, processed in the same manner as the original application.

Formula and Matching Requirements:

A limited amount of discretionary authority is made available to the Commission under (40 U.S.C. 14321) of the Appalachian Regional Development Act. Annually the Commission allocates this authority to the Co-Chairmen's Committee and among the Appalachian States. The authority can be used to raise the statutory limits on ARC funding in projects implementing special regional initiatives approved by the Commission. It can also be used, with the approval of the Co-Chairmen's Committee, in cases of emergency economic distress. This discretionary authority, however, cannot be used to eliminate the funding restrictions on projects in competitive and attainment counties.

Length and Time Phasing of Assistance:

Usually 12 months. Assistance is provided as required.

Reports:

A member of the Commission staff is designated as project coordinator to maintain liaison with the contractor, and monitor and evaluate progress and performance under the contract.

Audits:

As required by the Commission, in accordance with the Single Audit Act Amendments of 1996, Public Law 104-156. In accordance with the provisions of OMB Circular No. A-133 (revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by the terms of the contract.

Account Identification:

46-0200-0-1-452.

Obligations:

FY 07 \$763,536; and FY 08 est \$1,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$6 to \$239,706; \$13,234.

PROGRAM ACCOMPLISHMENTS:

The fiscal year 2006 program included initial or continued support of the following topics: Assessment of the National and State Energy Policy Trends; Appalachian Regional Energy Blueprint Research Brief; Non-Renewable Energy Innovation, Research to Support the Appalachian Energy Initiative; Assessment of Energy Efficiency and Renewable Energy in Appalachia: Policy and Potential; Economic Development Potential of Conventional and Potential Alternative Sources in Appalachian Counties; An Evaluation of the Outcomes of the ARC-Oak Ridge National Laboratory Summer Math/Science/Technology Institutes; Initial Design Study for Impact Assessment of Completing the ADHS; An Assessment of Economic Base of Distressed and Transitional Counties in the Appalachian Region; Assessment of Access to Capital and Credit in the Appalachian Region; An Examination of Regional Performance and Gaps in Lending, Bank Services and Development Finance; A Program Evaluation of ARC's Infrastructure and Public Works Projects; An Analysis of the College-going and Perseverance Rates in Appalachia; Continuity and Change in

Appalachia: An Analysis of Long Form Decennial Census Data for Trends in Family Income, Inequality, Earnings and Skill Levels, and Consumption Measures of Quality of Life; Assessment of Socioeconomic Correlates of Health Disparities in the Appalachian Region; Assessment of the Role of Highway Investments on Economic Growth in Appalachia, 1969-2000: Update and Extension of Twin County Growth Study.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution); "Appalachian Regional Commission Project Guidelines" (limited distribution); "Appalachia" a journal devoted to the special problems of regional development (no charge); Research Program Prospectus (limited distribution) Performance and Accountability Report.

Regional or Local Office:

Refer to Appendix IV of the Catalog.

Headquarters Office:

Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog). Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Avenue, N.W., Washington, DC 20009. Telephone: (202) 884-7700. Use the same number for FTS.

Web Site Address:

<http://www.arc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

See USES AND USE RESTRICTIONS.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

OFFICE OF PERSONNEL MANAGEMENT

27.001 FEDERAL CIVIL SERVICE EMPLOYMENT

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

Civil Service Reform Act of 1978, Public Law 95- 454; Reorganization Plan No. 2, 1978.

OBJECTIVES:

To fill U.S. Government job vacancies with the best qualified applicants available.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

Positions with Federal agencies are filled without discrimination with regard to race, religion, sex, politics, age or any other nonmerit factor. In most cases, applicants qualify through a competitive examination. Requirements are set in relation to the duties to be performed. Veterans receive preference as provided by law.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Any United States citizen, age 18 or older, (in certain cases, citizens age 16 may apply). There are special programs to help place individuals with physical disabilities, mental retardation, those who have been mentally restored, and the disadvantaged.

Beneficiary Eligibility:

U.S. Citizens, age 18 (and, in certain cases, age 16) or older.

Credentials/Documentation:

Dependent upon prerequisite requirements for each position.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms are available as indicated in the specific vacancy announcement.

Award Procedure:

None.

Deadlines:

Provided in the specific vacancy announcement.

Range of Approval/Disapproval Time:

From 1 to 8 weeks.

Appeals:

An applicant may request reconsideration of his or her rating, whether eligible or ineligible, from the examining office that assigned the rating.

Renewals:

If a continuing list of applicants is maintained, eligible ratings may normally be extended, upon request, beyond the initial eligibility period.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

24-0100-0-1-805.

Obligations:

(Salaries and Expenses) Obligations devoted to administration, not spending identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, a total of 10,211,636 inquiries were answered.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about open examinations and Job Vacancy Announcements are available from sources listed below under "Headquarters Office."

INFORMATION CONTACTS:

Regional or Local Office:

None. Contacts made directly to personnel offices of Federal agencies of interest or through national job information sources listed below under "Headquarters Office."

Headquarters Office:

Federal employment information is available nationwide from the following sources: USA JOBS - OPM's Web site: www.usajobs.opm.gov; Federal Job Opportunities Board (FJOB): (912) 757- 3100; Touch Screen Computer Kiosks: Located in OPM offices and Federal buildings throughout the country. Job information is also available by telephone on the USA JOBS Telephone Listing System: Atlanta, GA, (404) 331-4315; Chicago, IL, (312) 353-6192; Dayton, OH, (937) 225-2720; Denver, CO, (303) 969-7050; Detroit, MI, (313) 226-6950; Honolulu, HI, (808) 541-2791; Huntsville, AL, (205) 837-0894; Kansas City, MO, (816) 426-5702; Norfolk, VA, (757) 441-3355; Philadelphia, PA, (215) 597-7440; Raleigh, NC, (919) 790-2822; San Antonio, TX, (210) 805-2402; San Francisco, CA, (415) 744-5627; Seattle, WA, (206) 553-0888; Twin Cities, MN, (612) 725-3430; Washington, DC, (202) 606-2700; Nationwide, (912) 757-3000; and TDD Service, (912) 744-2299.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 27.002, Federal Employment Assistance for Veterans; 27.003, Federal Student Temporary Employment Program; 27.005, Federal Employment for Individuals With Disabilities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

27.002 FEDERAL EMPLOYMENT ASSISTANCE FOR VETERANS

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

Veterans Preference Act of 1944, Public Laws 78- 359, 93-508, 94-502, 95-520, 95-454, 97-72, 97-306, 98-543, 99-576, 101- 237, 102-16 and 102-568; Executive Order 11521, March 26, 1970.

OBJECTIVES:

To provide assistance to veterans in obtaining Federal employment.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

Veterans may obtain employment information from the U.S. Office of Personnel Management (OPM) offices. (1) Disabled veterans and certain wives or husbands, widows or widowers, and mothers of veterans receive 10 points added to earned eligible ratings in Civil Service examinations. (2) Veterans separated from the armed forces under honorable conditions before October 15, 1976, receive 5 points. As of October 15, 1976, 5 point veterans' preference is awarded to veterans with campaign and expeditionary medals or who served on active duty during the period beginning August 2, 1990, and ending January 2, 1992. (3) Under the Veterans Readjustment Appointment (VRA) Program, excepted appointments of eligible Vietnam-era veterans and post-Vietnam-era veterans may be made by agencies to positions in the competitive service up to grade GS-11 in accordance with regulations issued by OPM. Veterans must meet minimum qualification requirements for the positions. Written tests, if required, may be waived for veterans readjustment appointees by an agency. Eligible veterans must have served more than 180 days active duty and have other than a dishonorable discharge from military service. (4) Under the special appointing authority for 30 percent or more disabled veterans, veterans with service-connected disability ratings of 30 percent or more may be appointed noncompetitively to any grade level in the competitive service. (5) OPM became responsible for planning, implementing and monitoring the Disabled Veterans Affirmative Action Program (DVAAP) in the Federal service on October 1, 1982. Agencies must have current DVAAP plans for the employment and advancement and retention of disabled veterans.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

(1) Five-point preference is given to veterans separated under honorable conditions, and who served on active duty in the armed forces of the United States during certain periods of time or have a campaign or expeditionary medal. Ten-point preference is given to disabled veterans and certain wives or husbands, widows or widowers, and mothers of veterans. (2) Certain veterans who served in the armed forces after the period August 5, 1964, are eligible for a veterans readjustment appointment. (3) Public Law 94-502 authorizes Federal agencies to provide unpaid training or work experience, as a part of the

Department of Veterans Affairs vocational rehabilitation for a disabled veteran. Such training may be designed to provide noncompetitive appointment.

Beneficiary Eligibility:

Non-disabled veterans, disabled veterans and certain wives or husbands, widows, widowers, and mothers of veterans.

Credentials/Documentation:

For veterans preference, general proof of honorable separation such as discharge certificate or DD Form 214. In addition, for 10-point preference, a letter from the Department of Veterans Affairs or military department certifying existence of service-connected disability. When the 10-point preference is based on the award of a Purple Heart, official documentation of the award is sufficient.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

By application forms available from Service Centers of the Office of Personnel Management and from Federal agency personnel offices. Veterans eligible for the noncompetitive appointment mentioned above should make personal contact with the Federal agency where they would like to be considered for employment.

Award Procedure:

None.

Deadlines:

Under Public Law 95-454, retired members of the armed forces will no longer be considered preference eligible as of October 1, 1980, unless they are disabled veterans or retired below the rank of Major or the equivalent. Under Public Law 97-306, enacted October 14, 1982, a 2-year minimum active duty service condition for those entering military service after September 7, 1980, or the full period for which called or ordered to active duty, must be met, unless they are disabled veterans.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

24-0100-0-1-805.

Obligations:

(Salaries and expenses) Obligations are devoted to administration, and are not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

As of September 30, 2001, 480,464 received assistance, veterans or 26.9 percent of the non-Postal Federal work force, excluding Army and Air Force National Guards. Disabled veterans totaled 81,131 or 16.9 percent of these veterans. There were 11,059 veterans' readjustment appointments in the Federal work force. Data are not yet available for fiscal year 2002.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Veteran Preference," EL-3 (8/95); "Special Appointing Authorities for Veterans," EL-4 (8/95); "Medical Disqualification of Preference Eligibles," EL-8 (8/95); "Job Opportunities for Persons with Disabilities," EL-11 (8/95) (for use by Disabled Veterans); Regulations: 5 CFR 211, 5 CFR 213, 5 CFR 307 and 5 CFR 720.

INFORMATION CONTACTS:

Regional or Local Office:

For further information contact the National Office shown below under the Headquarters Office listing.

Headquarters Office:

For information on Disabled Veterans Affirmative Action Programs, contact: Office of Diversity, Employment Service, U.S. Office of Personnel Management, 1900 E Street, NW., Room 2445, Washington, DC 20415. Telephone: (202) 606-1059. Contact: Armando Rodriguez. For information on Veterans'

Preference and Special Hiring Authorities for Veterans, contact: Office of Staffing Reinvention, Employment Service, U.S. Office of Personnel Management, Room 6500, 1900 E Street, NW., Washington, DC 20415. Telephone: (202) 606-0830. Contact: Pat Paige.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.803, Uniformed Services Employment and Reemployment Rights; 27.001, Federal Civil Service Employment; 27.005, Federal Employment for Individuals With Disabilities; 64.115, Veterans Information and Assistance; 64.116, Vocational Rehabilitation for Disabled Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

27.003 FEDERAL STUDENT TEMPORARY EMPLOYMENT PROGRAM

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

5 U.S.C. 1101.

OBJECTIVES:

To give students an opportunity for part-time temporary employment with Federal agencies in order to allow them to continue their education without interruptions caused by financial pressures.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

Students enrolled at least half-time at accredited secondary, vocational, or technical schools, or at institutions of higher learning through the graduate level, are permitted to work during the school year while attending school and while on official school breaks. Appointments not-to exceed year may be made at any time during the course of the year.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Students: Must be accepted for, or enrolled in, an accredited secondary, vocational, or technical school or institution of higher learning.

Beneficiary Eligibility:

Students.

Credentials/Documentation:

None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Students submit application for employment and proof of school enrollment directly to employing Federal agencies.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

Funded by accounts of numerous participating Federal agencies.

Obligations:

Program policy is coordinated by OPM, but carried out by numerous Federal agencies. Obligations are devoted to administration and are not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, approximately 32,000 youths participated in the program. It is projected that the program will experience increases in fiscal year 2001 due to Federal budget reductions and rightsizing activities affecting permanent full-time positions.

REGULATIONS, GUIDELINES, AND LITERATURE:

5 CFR 213.3202(a).

INFORMATION CONTACTS:

Regional or Local Office:

Information is available directly from the personnel offices of Federal agencies of interest.

Headquarters Office:

For Policy issues only--Staffing Reinvention Office, Employment Service Office of Personnel Management, 1900 E Street, NW., Washington, DC 20415.

Telephone: (202) 606-0830. Contact: Mike Mahoney.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 27.001, Federal Civil Service Employment.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

27.005 FEDERAL EMPLOYMENT FOR INDIVIDUALS WITH DISABILITIES

(Selective Placement Program)

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

Rehabilitation Act of 1973, Public Law 93-112, as amended; Public Laws 93-508, 93-516, 95-454, 95-602, 96-923, and 102-16.

OBJECTIVES:

To encourage Federal agencies to provide assistance to persons with disabilities, including disabled veterans, in obtaining and retaining Federal employment, with or without reasonable accommodations including readers, interpreters, and other personal assistants.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

The Federal government provides employment opportunities to persons with physical, cognitive, or mental disabilities in positions for which they qualify. The Selective Placement Program is concerned with providing avenues for agencies to develop methodologies related to recruitment referral, placement, advancement, and the retention of Federal employees with disabilities. The program has strong relationships with State vocational rehabilitation agencies, Department of Veterans Affairs facilities, and other public and private agencies concerned with rehabilitation and placement of persons with disabilities.

Applicant Eligibility:

Persons who qualify under the definition of being "disabled" under title 29, Rehabilitation Act of 1973, as amended. The coverage applies to applicants and Federal employees with disabilities.

Beneficiary Eligibility:

Persons with physical, cognitive, or mental disabilities.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Persons with disabilities, disabled veterans, or vocational rehabilitation counselors working on their behalf, should contact the Selective Placement Coordinator in Federal agencies where they want to work for more information and eligibility requirements.

Award Procedure:

None.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

24-0100-0-1-805.

Obligations:

(Salaries and Expenses) Not separately identifiable. Policy guidance and program coordination are provided by OPM, but carried out by individual Federal agencies. Summary obligation data are not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

As of September 2001, there were 127,076 Federal employees with targeted and non-targeted disabilities in non- postal Federal Executive Branch agencies. In fiscal year 2002, there were 11,847 full time permanent (FTP) hires of persons with disabilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

5 CFR 213.

Regional or Local Office:

Information may be obtained from the Personnel Office in Federal agencies of interest.

Headquarters Office:

Diversity Office, Employment Service, Office of Personnel Management, 1900 E Street, NW., Room 2445, Washington, DC 20415. Telephone: (202) 606-1059. Contact: Armando E. Rodriguez, Director.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 27.001, Federal Civil Service Employment; 27.002, Federal Employment Assistance for Veterans; 53.001, Employment Promotion of People with Disabilities; 84.128, Rehabilitation Services, Service Projects; 93.613, President's Committee for People with Intellectual Disabilities (PCPID).

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

27.006 FEDERAL SUMMER EMPLOYMENT

(Summer Jobs in Federal Agencies)

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

Civil Service Reform Act of 1978, Public Law 95- 454.

OBJECTIVES:

To provide summer employment primarily for college students and high school students.

TYPES OF ASSISTANCE:

Federal Employment.

USES AND USE RESTRICTIONS:

Most Federal agencies employ individuals during summer vacation periods. The jobs may be clerical, crafts and trades, administrative or subprofessional related to career interests. Employees are paid at the regular Federal pay rate for the position.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Any U.S. citizen may apply. The minimum age requirement is 16 years at time of appointment. Summer jobs are filled through agency staffing plans.

Beneficiary Eligibility:

U.S. Citizens, 16 years old at time of appointment.

Credentials/Documentation:

Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application forms and instructions are available at individual Federal agency personnel offices.

Award Procedure:

None.
Deadlines:
Applicants apply directly to agencies. Deadlines vary by agency and job location.
Range of Approval/Disapproval Time:
Not applicable.
Appeals:
Not applicable.
Renewals:
Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:
Not applicable
Length and Time Phasing of Assistance:
Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:
Not applicable.
Audits:
Not applicable.
Records:
Not applicable.

FINANCIAL INFORMATION:

Account Identification:
Funded by accounts of numerous participating Federal agencies.
Obligations:
Program is coordinated by OPM, but is carried out by numerous Federal agencies. Obligations devoted to administration are not separately identifiable.
Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
Data are not yet available for fiscal years 2001 and 2002.

REGULATIONS, GUIDELINES, AND LITERATURE:
Information about open examinations and/or Job Vacancy Announcements are available from sources listed below under "Headquarters Office."

INFORMATION CONTACTS:

Regional or Local Office:
Information may be obtained from Federal agency personnel offices or through the National Job Information sources listed in paragraph #152 below.

Headquarters Office:
Federal employment information is available nationwide from the following sources: USAJOBS - OPM's Web site: www.usajobs.opm.gov; Federal Job Opportunities Board (FJOB): Telephone: (912) 757-3100; Touch Screen Computer Kiosks: Located in OPM offices and Federal buildings throughout the country. USAJOBS by Telephone Listing System: Atlanta, GA, (404) 331-4315; Chicago, IL, (312) 353-6192; Dayton, OH, (937) 225-2720; Denver, CO, (303) 969-7050; Detroit, MI, (313) 226-6950; Honolulu, HI, (808) 541-2791; Huntsville, AL, (205) 837-0894; Kansas City, MO, (816) 426-5702; Norfolk, VA, (757) 441-3355; Philadelphia, PA, (215) 597-7440; Raleigh, NC, (919) 790-2822; San Antonio, TX, (210) 805-2402; San Francisco, CA, (415) 744-5627; Seattle, WA, (206) 553-0888; Twin Cities, MN, (612) 725-3430; Washington, DC, (202) 606-2700; Nationwide, (912) 757-3000; and TDD Service, (912) 744-2299.

Web Site Address:
<http://www.opm.gov>.

RELATED PROGRAMS:
27.001, Federal Civil Service Employment; 27.003, Federal Student Temporary Employment Program.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

27.011 INTERGOVERNMENTAL PERSONNEL ACT (IPA) MOBILITY PROGRAM

FEDERAL AGENCY:
OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:
Intergovernmental Personnel Act of 1970, as amended, Public Law 91-648, 5 U.S.C. 3371-3376; Indian Self-Determination and Education Assistance Act, Section 105, Public Law 93-638, 25 U.S.C. 450; Executive Order 11589, April 1, 1971.

OBJECTIVES:
To facilitate Federal-State-local cooperation and to aid in solving problems and delivering improved services at all government levels through the sharing of professional, administrative, and technical expertise.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Permits the temporary assignment of personnel back and forth between Federal agencies, State and local governments, Indian tribes or tribal organizations, institutions of higher education and other eligible organizations. Assignments are for specific work beneficial to both the State, local government, Indian tribe, or other eligible organizations and the Federal agency concerned. An assignment agreement may not exceed 2 years but can be extended for 2 additional years. Cost sharing is negotiable. The employee, after receiving full information about the proposed assignment and his benefits under the various options, must also agree to the assignment before the agreement can be implemented. An employee of a Federal agency must serve in the Civil Service upon the completion of his or her assignment for a period equal to that of the assignment. Should the Federal employee fail to fulfill this requirement (except for good and sufficient reason as determined by the head of the Federal agency from which assigned), the Federal employee shall be liable to the United States for all expenses (excluding salary and benefits) of the assignment.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Federal agencies, State, local and Indian tribal governments, and institutions of higher education are generally eligible. Other organizations, which includes: (1) A national, regional, Statewide, areawide, or metropolitan organization representing member State or local governments; (2) an association of State or local public officials; or (3) a nonprofit organization which has as one of its principal functions the offering of professional advisory, research, educational, or development services, or related services to governments or universities concerned with public management, must have their eligibility certified by the Office of Personnel Management before they will be eligible to enter into an assignment agreement with a Federal agency.

Beneficiary Eligibility:

Federal agencies, State, local and Indian tribal governments, and institutions of higher education are generally eligible. Other organizations, which includes: (1) A national, regional, Statewide, areawide, or metropolitan organization representing member State or local governments; (2) an association of State or local public officials; or (3) a nonprofit organization which has as one of its principal functions the offering of professional advisory, research, educational, or development services, or related services to governments or universities concerned with public management.

Credentials/Documentation:

Intergovernmental assignments will be undertaken on the basis of a joint agreement between a State or local government, Indian tribe or tribal organization or institution of higher learning, or other eligible organization, the Federal agency and the employee. Each assignment will be implemented by a written agreement between the Federal agency, the State or local government, Indian tribe or tribal organization, educational institution, or other eligible organization and the employee to be assigned, to assure full understanding of the rights, responsibilities, conditions, and duration of the assignment.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

The nature and conditions of the assignment, including fiscal obligations and employee benefits, are subject to agreement between the participating organizations and the employee, within the provisions of the IPA. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A proposal for assignment may be initiated either by the State or local government, institution of higher education, Indian tribe or other eligible organization which must then obtain the agreement of the Federal agency; alternatively the request may be originated by the Federal agency which must then obtain the agreement of the State or local jurisdiction, or Indian tribe, institution of higher education, or other eligible organization.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Assignments may be extended for up to an additional 2 years when the need and value of the extension can be agreed upon by the three parties involved.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Original assignments not to exceed 2 years, with extensions for an additional 2

years. Assignments cannot exceed 48 months.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

24-0100-0-1-805.

Obligations:

Program oversight is provided by OPM. Federal agencies develop the Intergovernmental Personnel Act agreement. Obligations are devoted to administration and are not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

There are approximately 1,400 mobility assignments each fiscal year. All States, Puerto Rico, the Virgin Islands, American Samoa, Guam, the Northern Mariana Islands, the District of Columbia, 519 local governments, 512 universities, 106 Indian tribal governments, and 161 other organizations have had mobility assignments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information may be obtained from the offices listed under Information Contacts section of the program.

INFORMATION CONTACTS:

Regional or Local Office:

Contact the nearest Office of Personnel Management Service Center listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Merit Systems Oversight and Effectiveness Office of Personnel Management, 1900 E Street, NW., Room 7463, Washington, DC 20415-001. Telephone: (202) 606-1181. Contact: Tony Ryan.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

27.013 PRESIDENTIAL MANAGEMENT INTERN PROGRAM

FEDERAL AGENCY:

OFFICE OF PERSONNEL MANAGEMENT

AUTHORIZATION:

Executive Order 12008, August 25, 1977; Executive Order 12364, May 24, 1982; Executive Order 12645, July 12, 1988.

OBJECTIVES:

To attract to the Federal service graduate students of exceptional potential who are receiving advanced degrees in a variety of academic disciplines and who have a clear interest in and a commitment to a career in the analysis and management of Public Policies and Programs.

TYPES OF ASSISTANCE:

Federal Employment; Training; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Interns employed by Federal agencies can rotate to other branches of the Federal Government and/or to State and local governments for temporary assignments during their 2-year internships. Internships can be extended for a third year, however, this is the exception rather than the rule.

Applicant Eligibility:

State and local governments and organizations eligible to participate in the IPA mobility program are eligible to host Presidential Management interns on rotational assignments.

Beneficiary Eligibility:

State and local governments and organizations.

Credentials/Documentation:

Written agreement with the employing Federal Department or agency to hire or host an intern.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter to intern's Federal agency outlining duties and responsibilities and eligibility requirements of interns for rotational assignments.

Award Procedure:

Rotational work assignments must be negotiated between the participating government and the Federal agency.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Rotational assignments generally do not exceed 4 months in length but may be negotiated.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Mobility assignment agreement forms are required for all temporary intergovernmental assignments.

Account Identification:

24-4571-0-4-805.

Obligations:

(Salaries and Expenses) Not separately identifiable. OPM accepts reimbursement from participating agencies through a revolving fund for certain program costs. Employing agencies pay salaries, benefits and other program costs directly.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Each year Presidential Management Intern nominations are submitted by Deans of graduate level programs to the Office of Personnel Management (OPM). The nomination deadline is in October and finalists are notified in March. Interns are eligible for conversion to the competitive service after the 2-year internship. Interns are currently working for more than 54 departments and agencies. In fiscal year 2001, approximately 350 PMI's were hired (out of maximum of 400) by Federal agencies. More than 1,500 applications were received.

REGULATIONS, GUIDELINES, AND LITERATURE:

PMI Program Information and Application Package; further information may be obtained from the offices listed under Information Contacts section of the program.

Regional or Local Office:

Students may obtain information from their college career guidance office, via telephone by contacting the Career America Connection at (912) 757-3000 or via internet at WWW.opm.gov., under Presidential Manager Intern Program.

Headquarters Office:

U.S. Office of Personnel Management, Philadelphia Service Center, Presidential Management Intern Program, Federal Building, 600 Arch Street, Philadelphia, PA 19106. Telephone: (215) 597-7136 or (215) 597-1920.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

27.011, Intergovernmental Personnel Act (IPA) Mobility Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

COMMISSION ON CIVIL RIGHTS

29.001 CLEARINGHOUSE SERVICES, CIVIL RIGHTS DISCRIMINATION COMPLAINTS

FEDERAL AGENCY:

COMMISSION ON CIVIL RIGHTS

AUTHORIZATION:

Civil Rights Commission Reauthorization Act of 1991, Public Law 102-167, 42 U.S.C. 1975 et seq.

OBJECTIVES:

- (1) To serve as a national clearinghouse for information to the public in respect to discrimination or denials of equal protection of the Laws because of race, color, religion, sex, age, disability or national origin; to hold public hearings and collect and study information on discrimination or denials of equal protection;
- (2) To receive and refer complaints alleging denial of civil rights because of the aforementioned factors; to receive, investigate, and refer complaints alleging denial of voting rights.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

- (1) The Commission on Civil Rights (CCR) conducts research and uses publications, liaison with private and public groups, the media, and a variety of other techniques to provide civil rights information to the above groups and to those who have responsibilities to comply with Federal civil rights laws and policies, those who implement these laws and policies, and the general public;
- and (2) the CCR receives and refers complaints alleging denial of equal protection of the laws to the appropriate Federal agencies.

Applicant Eligibility:

Anyone can seek information; no criteria must be satisfied.

Beneficiary Eligibility:

General public.

Credentials Documentation:

Complaint should contain a clear statement of the facts on which it is based.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Direct request to the Commission.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

95-1900-0-1-751.

Obligations:

(Salaries and Expenses) FY 07 \$8,740,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, the Commission processed approximately 6,000 complaints and completed 8 publications. In both fiscal years 2002 and 2003, the Commission estimates the processing of approximately 10,000 complaints, and completion of 12 publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Catalog of Publications of Commission on Civil Rights, no charge. Commission on Civil Rights Brochure, no charge. All publications of the Commission are available from the Publications Office, Commission on Civil Rights, Washington, DC 20425.

Regional or Local Office:

Western Regional Office: Philip Montez, 3660 Wilshire Boulevard, Suite 810, Los Angeles, CA 90010. Telephone: (213) 894-3437, TDD (213) 894-3435.
Eastern Regional Office: Ki-Taek Chun, 624 Ninth Street, NW., Suite 500, Washington, DC 20425. Telephone: (202) 376-7533, TDD (202) 376-8116.

Central Regional Office: Melvin Jenkins, Gateway Tower II, 400 State Avenue, Suite 908, Kansas City, Kansas 66101-2406. Telephone: (913) 551-1400, TDD (913) 551-1414. Rocky Mountain Regional Office: John F. Dulles III, 1700 Broadway, Suite 710, Denver, CO 80290. Telephone: (303) 866-1040, TDD (303) 866-1049. Southern Regional Office: Bobby Doctor, 61 Forsyth Street, SW, Suite 1840T, Atlanta, GA, 30303. Telephone: (404) 562-7000, TDD (404) 562-7004. Midwestern Regional Office: Constance Davis, 55 West Monroe Street, Suite 410, Chicago, IL 60603. Telephone: (312) 353-8311, TDD (312) 353-8362.

Headquarters Office:

Commission on Civil Rights, 624 Ninth Street NW., Washington, DC 20425. Telephone: (202) 376-8177. TDD (202) 376-8116. Complaints: (202) 376-8582 or Toll Free 1-800-552-6843 or Regional Office listed above.

Web Site Address:

<http://www.opm.gov>.

RELATED PROGRAMS:

14.400, Equal Opportunity in Housing; 16.100, Desegregation of Public Education; 16.101, Equal Employment Opportunity; 16.103, Fair Housing and Equal Credit Opportunity; 16.104, Protection of Voting Rights; 16.105, Civil Rights of Institutionalized Persons; 16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 17.700, Women's Bureau; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 EMPLOYMENT DISCRIMINATION-TITLE VII OF THE CIVIL RIGHTS ACT OF 1964

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, as amended, Public Laws 88-352 and 92-261, 42 U.S.C. 2000e; Civil Rights Act of 1991, Public Law 102-166.

OBJECTIVES:

To provide for education, technical assistance and enforcement of the Federal prohibition against employment discrimination in the private and public sector based on race, color, religion, sex and national origin.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of race, color, religion, sex and national origin by employers with 15 or more employees, by Federal, state, and local governments, by employment agencies, and by labor organizations. Charges of discriminatory employment practices by or on behalf of an individual or group of individuals claiming to be aggrieved are received. Mediation of the dispute may be offered. If mediation is not used or is not successful, investigations ensue. If reasonable cause is not found, the Commission issues a right to sue letter. If reasonable cause is found, the charge is conciliated. If conciliation proves to be unsuccessful and the employer is not a State or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter. If conciliation fails on a charge against a State or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter.

Applicant Eligibility:

Any aggrieved individual, or any individual, labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title VII, as amended, has been committed by an employer with 15 or more employees, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining. Prohibits employment discrimination on the bases of race, color, national origin, religion and sex.

Beneficiary Eligibility:

Applicants, current employees, and former employees of the named respondent(s) in a charge who have been subjected to unlawful employment practices.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made in person or by mail. An allegation must be in writing, signed, and notarized (only when necessary to meet State and local requirements) or supported by an unsworn declaration in writing under penalty of perjury. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed either by mail or in person at the appropriate field office of the EEOC.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written statement sufficiently precise to identify the parties and to describe generally the action or practices complained of.

Deadlines:

Individuals with complaints or desiring to bring their own suit under Title VII must file a charge of discrimination alleging discrimination with the EEOC within 180 days of the alleged unlawful practice (or within 300 days if there is a State or local agency with its own discrimination law and authority to grant relief). When EEOC completes its investigation without obtaining relief for persons covered by a charge, covered persons will be given written notice of their right to sue. Persons can also request a notice of right to sue before EEOC finishes its investigation after the charge has been on file 180 days. When a right to sue is issued on request, EEOC usually stops investigating. Suit must be brought within 90 days of receipt of the notice of right to sue.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries and expenses) Note: Includes obligations for programs 30.008 and 30.010. FY 07 \$331,228,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, received 45,846 charges to process and resolved 46,885 which monetarily benefited 11,548 persons in the sum of \$145,976,264. In fiscal year 2005 for all statutes which EEOC has legislative responsibility to enforce, EEOC filed 417 suits and resolved 370 suits with a benefit sum of \$107,730,067 in monetary relief which benefited more than thousands of victims of employment discrimination. The filed and resolved suits included direct, intervention, administrative settlement enforcement and preliminary relief. In fiscal year 2005, filed 31 appellate briefs, including 27 as amicus curiae filed in the courts of appeal and Supreme Court. In fiscal year 2005, EEOC filed 297 lawsuits on the merits raising Title VII issues either as a sole statute or concurrent with other statutes and resolved 242 merit suits with a direct monetary benefit of \$101,311,816. Projected data are unavailable for fiscal year 2006. (Litigation and systemic data are for programs 30.001, 30.008, 30.010 and 30.011 combined.)

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are furnished free in limited quantities. Procedural Regulations and Guidelines, 29 CFR Part 1601; Guidelines on Discrimination because of Sex, 29 CFR Part 1604; Guidelines on Discrimination because of Religion, 29 CFR Part 1605; Guidelines on Discrimination because of National Origin, 29 CFR Part 1606. Enforcement Guidance: Vicarious Employer Liability for Unlawful Harassment by Supervisors; Enforcement Guidance: Compensatory and Punitive Damages Available under Section 102 of the Civil Rights Act of 1991; Enforcement Guidance: Application of EEO Laws to Contingent Workers Placed by Temporary Employment Agencies and Other Staffing Firms; Enforcement Guidance: Vicarious Employer Liability for Unlawful Harassment by Supervisors; Compliance Manual Section: "Retaliation;" Compliance Manual Section: "Compensation Discrimination;" Compliance Manual Section: "Threshold Issues;" Compliance Manual Section: "Employee Benefits" as revised, August 20, 2001; Compliance Manual Section: "National Origin Discrimination;" Questions and Answers: Definition of Job Applicant for Internet and Related Electronic Technologies; Questions and Answers about workplace Rights of Muslims, Arabs, South Asians and Sikhs under EEO Laws; Questions and Answers about employer Responsibilities concerning the employment of Muslims, Arabs, South Asians, and Sikhs. Commission Decision on Coverage of Contraception. Fact Sheets: National Origin Discrimination; Religious Discrimination; Race/Color Discrimination; Sexual Harassment Discrimination; Employment Discrimination based on Religion, Ethnicity, or country of Origin. Contact: Publications Distribution Center, (1-800) 669-3362 (Voice) or (1-800) 800-3302 (TTY). EEOC Web Site: <http://www.eeoc.gov>; EEOC, 1801 L Street, N.W., Washington, DC 20507.

Regional or Local Office:

Any EEOC office listed in Appendix IV of the Catalog.

Headquarters Office:

Contact: Communications Staff, Office of Communications and Legislative Affairs, Equal Employment Opportunity Commission, 1801 L Street, N.W., Washington, DC 20507. Telephone: (202) 663-4900 (Voice) or (202) 663-4494 (TTY).

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 17.700, Women's Bureau; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.008, Employment Discrimination Age Discrimination in Employment; 30.010, Employment Discrimination Equal Pay Act; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act; 93.001, Civil Rights and Privacy Rule

Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

30.002 EMPLOYMENT DISCRIMINATION-STATE AND LOCAL FAIR EMPLOYMENT PRACTICES AGENCY CONTRACTS

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, as amended, Public Laws 88-352 and 92-261, 42 U.S.C. 2000e; Age Discrimination in Employment Act of 1967, Public Law 90-202, as amended; Public Law 93-259, 88 Stat. 75; Public Law 95-256, 92 Stat. 189; Public Law 99-592, 100 Stat. 3342, Public Law 101-433, 104 Stat. 978; Reorganization Plan No. 1, 1978, 29 U.S.C. 621 et seq; Civil Rights Act of 1991, Public Law 102-166; Americans with Disabilities Act, Public Law 101-336.

OBJECTIVES:

To assist EEOC in the enforcement of Title VII of the Civil Rights Act of 1964, as amended, the Age Discrimination in Employment Act of 1967 and the Americans with Disabilities Act of 1990 by investigating and resolving charges of employment discrimination based on race, color, religion, sex, national origin, age, and/or disability.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Contracts are made with official State and local Fair Employment Practices Agencies based on the resolution of individual charges of employment discrimination filed concurrently with those agencies and the EEOC and for the identification, investigation, conciliation and elimination of unlawful employment practices.

Applicant Eligibility:

Official State and local government agencies charged with the administration and enforcement of State and/or local fair employment practices law, where there exists an appropriate law (State or local) authorizing the agency to grant or seek relief from unlawful employment practices. Eligible applicants must be designated by EEOC as Fair Employment Practices Agencies. Local agencies requesting a contract for the first time are subject to additional considerations.

Beneficiary Eligibility:

Employees, potential employees and former employees covered by Title VII of the Civil Rights Act of 1964 as amended, the Age Discrimination in Employment Act of 1967, and/or the Americans with Disabilities Act of 1990.

Credentials/Documentation:

Funding must be determined by negotiation with the applicant and approval by the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

EEOC District Director will provide guidance. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Application must be made to the EEOC District Office which will review it and make a recommendation to the Office of Field Programs, EEOC Headquarters. This program is excluded from coverage under OMB Circular Numbers A-102 and A-110.

Award Procedure:

Recommendation made to EEOC Commissioners. Decision made by final vote of the EEOC Commissioners.

Deadlines:

Contact Office of Field Programs, EEOC Headquarters for deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Not applicable.

Renewals:

By negotiation and vote of the Commission.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Cycles of one year to three years. Paid by a combination of advance vouchers and for actual services.

Reports:

Periodic program reports as required by contract.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

As required by the contract.

Account Identification:

45-0100-0-1-751.

Obligations:

(Contracts) FY 07 est \$28,000,000; and FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$6,500 to \$2,354,000.

PROGRAM ACCOMPLISHMENTS:

Ninety-six agencies are projected to receive contracts to resolve more than 47,000 discrimination charges in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Request for Application and Proposals for EEOC Funding of Programs Under Title VII, Section 709(b), Public Law 88-352.

Regional or Local Office:

EEOC District office listed in Appendix IV of the Catalog.

Headquarters Office:

Contact Michael J. Dougherty, Director, State and Local Programs, Office of Field Programs, Equal Employment Opportunity Commission, 1801 L Street NW., Room 8030, Washington, DC 20507. Telephone: (202) 663-4801.

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.008, Employment Discrimination Age Discrimination in Employment; 30.009, Employment Discrimination Project Contracts Indian Tribes; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Charge resolution contracts are funded for the receipt and resolution of individual charges of employment discrimination.

CRITERIA FOR SELECTING PROPOSALS:

Requests for charge resolution contracts are submitted by designated official State and local government agencies to EEOC District offices in their geographic area. EEOC District Offices then submit recommendations based on information provided by the agencies, as well as past performance, if applicable, to EEOC Headquarters. Selection is based on meeting the provisions of current Contracting Principles promulgated by the Commission.

30.005 EMPLOYMENT DISCRIMINATION-PRIVATE BAR PROGRAM

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, as amended, Public Laws 88-352 and 92-261, 42 U.S.C. 2000e 4(g) (1) (3); Civil Rights Act of 1991, Public Law 102-166; Age Discrimination in Employment Act of 1967, Public Law 90-202, as amended; Public Law 93-259, 88 Stat. 75; Public Law 95-256, 92 Stat. 189; Public Law 99-592, 100 Stat. 3342, Public Law 101-433, 104 Stat. 978; Reorganization Plan No. 1, 1978, 29 U.S.C. 621 et seq; Civil Rights Act of 1991, Public Law 102-166; Equal Pay Act of 1963, Public Law 88-38, as amended; Fair Labor Standards Act Amendment of 1974; Fair Labor Standards Act Amendment of 1977; Reorganization Plan No 1, 1978, 29 U.S.C. 206; Americans with Disabilities Act, Titles I & V, as amended, Public Law 101-336, 42 U.S.C. 12101-12117; 12202-12213; Rehabilitation Act of 1973, as amended, 29 U.S.C. 501,505.

OBJECTIVES:

(a) To assist individuals who have filed a charge with the Commission, or on whose behalf a charge has been filed, in contacting members of the private bar; (b) to provide technical assistance to aggrieved individuals and their attorneys in Title VII, Equal Pay, Age Discrimination in Employment and Americans with Disabilities Act cases; to establish the attorney referral mechanism; and (d) to coordinate the strategies of the private bar with those of the Commission.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Upon request, provide assistance to individuals in locating lawyers who are prepared to litigate Title VII, Americans with Disabilities Act, Equal Pay Act and Age Discrimination in Employment Act issues.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf

a charge has been filed.

Beneficiary Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Credentials/Documentation:

None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Requests for assistance may be made in writing or orally to nearest EEOC District Office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries and expenses) FY 04 not separately identifiable; FY 05 est not separately identifiable; and FY 06 est not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Data not available for fiscal years, 2004, 2005 and 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

EEOC Compliance Manual, Section 81 - Private Bar Referral Program

INFORMATION CONTACTS:

Regional or Local Office:

EEOC District Offices (see Appendix IV of the Catalog for addresses).

Headquarters Office:

Contact Jerome Scanlan, Office of General Counsel, Equal Employment Opportunity Commission, 1801 L Street, NW., Washington, DC 20507. Telephone: (202) 663-4702.

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.008, Employment Discrimination Age Discrimination in Employment; 30.010, Employment Discrimination Equal Pay Act; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

30.008 EMPLOYMENT DISCRIMINATION-AGE DISCRIMINATION IN EMPLOYMENT

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Age Discrimination in Employment Act of 1967, Public Law 90-202, as amended; Public Law 93-259, 88 Stat. 75; Public Law 95-256, 92 Stat. 189; Public Law 99-592, 100 Stat. 3342, Public Law 101-433, 104 Stat. 978;

Reorganization Plan No. 1, 1978, 29 U.S.C. 621 et seq; Civil Rights Act of 1991, Public Law 102-166.

OBJECTIVES:

To prohibit age discrimination in hiring, advancement in employment, terms and conditions, and other employment practices, promote the employment of older workers based on ability rather than age, and help employers and employees find ways to meet problems arising from the impact of age on employment.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Individuals 40 years of age and older are protected from age discrimination by private employers who have 20 or more employees, by Federal and local governments, by employment agencies and by labor organizations. It is unlawful for employers, employment agencies, or labor organizations to use advertisements indicating any preference, limitation, specification, or discrimination based on age. Exceptions to the Act's provisions are provided where age is a bona fide occupational qualification, the differentiation is based on reasonable factors other than age or if an otherwise prohibited practice is in observance of the terms of a bona fide seniority system or employee benefit plan (except that no such seniority system or employee benefit plan shall excuse the failure to hire, or require or permit the involuntary retirement of any individual). Exemptions are provided for the mandatory retirement of bona fide executive and high policy making employees 65 years of age and older who are entitled to at least \$44,000 a year from a retirement plan. Some maximum hiring ages and mandatory retirement are permitted for police and firefighters. The EEOC may establish such reasonable exemptions as it may find necessary and proper in the public interest. Charges of discriminatory employment practices by or on behalf of an individual or group of individuals claiming to be aggrieved are received. Mediation of the dispute may be offered. If mediation is not used or is not successful, investigations ensue and if reasonable cause is found, the charge is conciliated. If conciliation proves to be unsuccessful the Commission may bring a civil action against respondent(s) named in the charge.

Applicant Eligibility:

Individuals age 40 and over who believe that they have been discriminated against because of age or who believe that such discrimination is occurring against others 40 and over.

Beneficiary Eligibility:

Individuals age 40 and over.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A charge may be filed by any aggrieved individual or by any organization, i.e. labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed either by mail or in person at the appropriate field office of the EEOC. (The names of persons making inquiries are held in confidence if requested.)

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written statement sufficiently precise to identify the parties and to describe generally the action or practices complained of.

Deadlines:

Individuals who wish to assert their rights under the Age Act must file a charge with the Commission or its designated agent within 180 days of the alleged discriminatory action (or in a case where the alleged discrimination occurs in a State which has its own age discrimination law, within 300 days of the alleged discriminatory action, or 30 days after receipt of notice of termination of State proceedings, whichever is earlier). A civil action may be commenced at any time 60 days after a charge has been filed with the EEOC. When EEOC completes its investigation without obtaining relief for persons covered by a charge, covered persons will be given written notice of their right to sue. A civil action may be brought within 90 days after the date of the receipt of such notice.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, received 13,776 charges and resolved 14,076 which monetarily benefited 2,218 persons in the sum of \$77,680,803. In fiscal year 2005 EEOC filed 43 lawsuits on the merits raising ADEA issues either as a sole statute or concurrent with other statutes and resolved 38 merit suits with a direct monetary benefit of \$2,020,065. Projected data unavailable for fiscal years 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are furnished free in limited quantities. The Age Discrimination in Employment Act of 1967; 29 CFR Part 1625, ADEA Interpretative Regulations, 29 CFR Part 1626, ADEA Procedural Regulations; and 29 CFR Part 1627 Record keeping Regulations; Enforcement Guidance on O'Connor v. Consolidated Coin Caterers Corp.; Compliance Manual Section: "Threshold Issues;" Compliance Manual Section: "Retaliation;" Compliance Manual Section: "Compensation Discrimination;" Compliance Manual Section: "Employee Benefits" as revised, August 20, 2001. Fact Sheet: Age Discrimination. Contact: Publications Distribution Center, 1-800-669-3362 (Voice) and 1-800-800-3302(TTY). Web Site <http://www.eeoc.gov>; EEOC, 1801 L Street, NW., Washington, DC 20507.

Regional or Local Office:

Persons should contact the nearest office of EEOC listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communications and Legislative Affairs, Communications Staff, Equal Employment Opportunity Commission 1801 L Street, NW., Washington, DC 20507. Telephone: 202-663-4900. (Voice) or 202-663-4494 (TTY).

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 17.700, Women's Bureau; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints, 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.010, Employment Discrimination Equal Pay Act; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

30.009 EMPLOYMENT DISCRIMINATION PROJECT CONTRACTS-INDIAN TRIBES

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, as amended; Public Laws 88-352 and 92-261, 42 U.S.C. 2000e 4(g)(3); Civil Rights Act of 1991, Public Law 102-166.

OBJECTIVES:

To insure the protection of the employment rights of Indians working on or near reservations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Contractors selected must have an enforceable tribal ordinance, signed by the tribal chairman, protecting Indian employment rights and establishing a Tribal Employment Rights Office (TERO). Tribe must also have a TERO with a sitting director.

Applicant Eligibility:

Must be a Federally registered, land based American Indian Tribe that has a tribal employment rights office established under an ordinance passed by the tribal council. The office must have a sitting director.

Beneficiary Eligibility:

American Indians employed or seeking employment on or near reservations.

Credentials/Documentation:

Funding must be approved by the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Application must be made to the EEOC District Office which will review it and make a recommendation to the Office of Field Programs, EEOC Headquarters.

This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Recommendation made to EEOC Commissioners. Decision made by final vote of the Commissioners.

Deadlines:

Contact Office of Field Programs, EEOC Headquarters, for deadline.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Not applicable.

Renewals:

Dependent upon performance under previous contract.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

One fiscal year

Reports:

Quarterly reports are addressed to the State and Local Programs, Office of Field Programs, through the EEOC District Office which administers the contract. Charges, interviews and settlement agreements must be submitted to the EEOC District Office.

Audits:

Audits are conducted by the EEOC Office of Inspector General as appropriate.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Contracts) Not separately identifiable.

Range and Average of Financial Assistance:

\$26,000 to each tribe.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, EEOC contracted with 63 TEROs. EEOC expects to contract with 64 TEROs in fiscal years 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

The EEOC District Office listed in Appendix IV of the catalog which has jurisdiction over inquirer's geographic location.

Headquarters Office:

Michael J. Dougherty, Director, State and Local Programs, Office of Field Programs, Equal Employment Opportunity Commission, 1801 L Street NW., Room 8030, Washington, DC 20507. Telephone: (202) 663-4944.

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Tribal Employment Rights Offices are funded under contracts to assist EEOC in its enforcement efforts and to facilitate the employment rights of Indians working or seeking work on or near reservations.

CRITERIA FOR SELECTING PROPOSALS:

Qualification criteria adopted by the Commission are made available on an annual basis to TEROs interested in the program.

30.010 EMPLOYMENT DISCRIMINATION EQUAL PAY ACT

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Equal Pay Act of 1963, Public Law 88-38, as amended; Fair Labor Standards Act Amendment of 1974; Fair Labor Standards Act Amendment of 1977; Reorganization Plan No. 1, 1978, 29 U.S.C. 206(d).

OBJECTIVES:

To prohibit sex discrimination in the payment of wages to men and women performing equal work in the same establishment. The jobs need not be identical, but they must be substantially equal.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Employers engaged in commerce or in the production of goods for commerce (i.e. subject to The Fair Labor Standards Act of 1938, as amended, 29 U.S.C. 201, et seq.), including the Federal Government, and State and local governments, are prohibited from paying sex-based wage differentials to men and women performing equal work in the same establishment. Labor

organizations representing employees of a covered employer are prohibited from causing or attempting to cause employers to violate the Act. Exceptions are permitted only where payment is made pursuant to a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or a differential based on any other factor other than sex. The Commission may investigate allegations of violations of the Act and may initiate and conduct litigation.

Applicant Eligibility:

An employee on behalf of herself or himself and other employees similarly situated who believes s/he has been paid in violation of the Equal Pay Act in any State of the United States, the District of Columbia, or any territory or possession of the United States.

Beneficiary Eligibility:

Individuals covered by the Fair Labor Standards Act of 1938, as amended.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A charge may be filed either orally or in writing to the appropriate field office of the EEOC listed in Appendix IV of the Catalog. (The names of persons filing complaints are held in confidence.)

Award Procedure:

Not applicable.

Deadlines:

A 2-year statute of limitations applies to the recovery of any amounts owing to a person as a result of violation of this Act (may be extended to 3 years in cases of willful violations). There is no agency notification requirement for individuals desiring to bring their own suit under the Equal Pay Act.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, received 774 complaints and resolved 889 monetarily benefitting 161 people in the sum of \$3,072,871. In fiscal year 2005, EEOC filed 13 lawsuits on the merits raising EPA issues either as a sole statute or concurrent with other statutes and resolved no EPA merits suits with EPA as the sole statute but resolved 17 suits with EPA concurrent with other statutes with monetary benefits of \$2,328,000. Projected data unavailable for fiscal years 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are furnished free in limited quantities. 29 CFR Part 1620, Equal Pay Act Interpretive Regulations and 29 CFR Part 1621, Equal Pay Act Procedural Regulations; Compliance Manual Section: "Threshold Issues;" Compliance Manual Section: "Retaliation;" Compliance Manual Section: "Compensation Discrimination;" Compliance Manual Section: "Employee Benefits" as revised, August 20, 2001. Contact: Publications Distribution Center, 1-800-669-3362 (Voice) and 1-800-800-3302 (TTY). Web Site www.eeoc.gov; EEOC, 1801 L Street, NW, Washington, DC 20507.

Regional or Local Office:

Persons should contact the nearest office of EEOC listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communications and Legislative Affairs, Communications Staff, Equal Employment Opportunity Commission, 1801 L Street, NW., Washington, DC 20507. Telephone: (202) 663-4900 (Voice) or (202) 663-4494 (TTY).

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards;

17.700, Women's Bureau; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.008, Employment Discrimination Age Discrimination in Employment; 30.011, Employment Discrimination Title I of The Americans with Disabilities Act; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

30.011 EMPLOYMENT DISCRIMINATION-TITLE I OF THE AMERICANS WITH DISABILITIES ACT

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Americans with Disabilities Act, Titles I & V, as amended, Public Law 101-336, 42 U.S.C. 12101-12117; 12201-12213; Rehabilitation Act of 1973, as amended, 29 U.S.C. 501, 505.

OBJECTIVES:

To provide for enforcement of the Federal prohibition against employment discrimination by private employers and local governments against qualified individuals with disabilities.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, by Federal, state, and local governments, by employment agencies, and by labor organizations. Charges of discriminatory employment practices by or on behalf of an individual or group of individuals claiming to be aggrieved are received. Mediation of the dispute may be offered. If mediation is not used or is not successful, investigations ensue. If reasonable cause is not found, the Commission issues a right to sue letter. If reasonable cause is found, the charge is conciliated. If conciliation proves to be unsuccessful and the employer is not a State or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter. If conciliation fails on a charge against a State or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter.

Applicant Eligibility:

Any aggrieved individual, or any individual, or any labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title I of the ADA has been committed by an employer with 15 or more employees, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining.

Beneficiary Eligibility:

Applicants, current employees, and former employees of the named respondents in a charge who have been subjected to unlawful employment practices.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made in person or by mail. An allegation must be in writing, signed, and notarized (only when necessary to meet State and local requirements) or supported by an unsworn declaration in writing under penalty of perjury. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an entity or on behalf of an aggrieved individual. Charges may be filed either by mail or in person at the appropriate field office of the EEOC.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written statement sufficiently precise to identify the parties and to describe generally the action or practices complained of.

Deadlines:

Individuals who wish to assert their rights under the ADA must file a charge of discrimination with the EEOC within 180 days of the alleged unlawful practice (or within 300 days if there is a State or local agency in a State with its own discrimination law). When EEOC completes its investigation without obtaining relief for persons covered by a charge, covered persons will be given written notice of their right to sue. Persons can also ask for a notice of right to sue before EEOC finishes its investigation. When a right to sue is issued on request, EEOC usually stops investigating. Suit must be brought within 90 days of

receipt of the notice of right to sue letter.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries and Expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, received 14,922 charges and resolved 15,357 which monetarily benefited 2,352 persons in the sum of \$44,843,117. In fiscal year 2005, EEOC filed 42 merit lawsuits raising ADA issues either as a sole statute or concurrent with other statutes and resolved 38 merit suits with direct monetary benefit of \$2,484,400. Projected data unavailable for fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are furnished free in limited quantities. Title I of the Americans with Disabilities Act; Procedural Regulations, 29 CFR Part 1601; Substantive Regulations, 29 CFR Part 1630 and Appendix to Part 1630-Interpretive Guidance on Title I of the Americans with Disabilities Act; Recordkeeping and Reporting Regulations, 29 CFR Part 1602; Coordination Regulations with the Department of Justice, 29 CFR Part 1640; Coordination Regulations with the Department of Labor, 29 CFR Part 1641. Interim Enforcement Guidance: Application of the Americans with Disabilities Act of 1990 to Disability-based Distinctions in Employer-Provided Health Insurance; Enforcement Guidance: Pre-employment Disability-Related Questions and Medical Examinations; Enforcement Guidance: Workers' Compensation and the ADA; EEOC Enforcement Guidance on The Americans with Disabilities Act and Psychiatric Disabilities; Enforcement Guidance on The Effect of Representations Made in Applications for Benefits on the Determination of Whether a Person is a "Qualified Individual with a Disability" under the Americans with Disability Act of 1990 (ADA); Enforcement Guidance on Disability-Related Inquires and Medical Examinations of Employees under the ADA. Enforcement Guidance: Application of the ADA to Contingent Workers Placed by Temporary Agencies and Other Staffing Firms; Policy Guidance on Executive Order 13164: Establishing Procedures to Facilitate the Provision of Reasonable Accommodation; Policy Guidance on Executive Order 13145: To prohibit Discrimination in Federal Employment Based on Genetic Information (July 26, 2000); Enforcement Guidance: Handling ADA Charges against State Government Respondents After Board of Trustees of the University of Alabama vs. Garrett (May 23, 2001); Enforcement Guidance: Reasonable Accommodation and Undue Hardship Under the Americans with Disabilities Act (revised Oct. 17, 2002); Instructions for Field Offices Analyzing ADA Charges After Supreme Court Decisions Addressing "Disability" and "Qualified"; Questions and Answers on the ADA; Your Responsibilities as an Employer Under the ADA; Your Employment Rights as an Individual with a Disability; ADA Title I Technical Assistance Manual; Fact Sheet on Disability and Service Retirement Plans Under the ADA; Fact Sheet: The Family and Medical Leave Act, The Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964. Compliance Manual Section: "Definition of the Term Disability;" Compliance Manual Section: "Threshold Issues;" Compliance Manual Section: "Retaliation;" Compliance Manual Section: "Compensation Discrimination;" Compliance Manual Section: "Employee Benefits" as revised, August 20, 2001. The Americans with Disabilities Act: A Primer for Small Business. Fact Sheet on Work At Home/Telework as a Reasonable Accommodation; Fact Sheet on Obtaining and Using Employee Medical Information as Part of Emergency Evacuation Procedure; Questions and Answers About Blindness and Vision Impairments in the Workplace and the Americans with Disabilities Act; Question and Answers About the Association Provision of the Americans with Disabilities Act; Questions and Answers About Diabetes in the Workplace and the Americans with Disabilities Act; Questions and Answers About Epilepsy in the Workplace and the Americans with Disabilities Act; Questions and Answers About Persons with Intellectual Disabilities in the Workplace and the Americans with Disabilities Act; Questions and Answers About Cancer in the Workplace and the Americans with Disabilities Act. Contact: Publications Distribution Center 1-800-669-3362

(Voice) or 1-800-669-6820 (TTY), or Web Site at <http://www.eeoc.gov>. EEOC, 1801 L Street, NW, Washington, DC 20507.

Regional or Local Office:

Any EEOC Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communications and Legislative Affairs, Communications Staff, Equal Employment Opportunity Commission, 1801 L Street, NW., Washington, DC 20507. Telephone: (202) 663-4900. (Voice) or (202) 663-4494 (TTY).

Web Site Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101, Equal Employment Opportunity; 16.200, Community Relations Service; 17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 17.700, Women's Bureau; 29.001, Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.002, Employment Discrimination State and Local Fair Employment Practices Agency Contracts; 30.008, Employment Discrimination Age Discrimination in Employment; 30.010, Employment Discrimination Equal Pay Act; 93.001, Civil Rights and Privacy Rule Compliance Activities.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

FEDERAL COMMUNICATIONS COMMISSION

32.001 COMMUNICATIONS INFORMATION AND ASSISTANCE AND INVESTIGATION OF COMPLAINTS

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Communications Act of 1934, as amended, 47 U.S.C. 1, 2, 303, 307-310, 312.

OBJECTIVES:

To increase public knowledge of communications function and participation in Federal Communications Commission (FCC) proceedings. To help maintain efficient and responsible systems of public communications by stimulating participation in FCC activities and through investigation of complaints.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Field offices handle complaints from the public concerning such issues as interference to radio services. Matters such as the quality and rates of telephone and telegraph services should be directed to the Enforcement Division, Common Carrier Bureau, FCC, Washington, DC 20554. Matters such as equal time for political candidates and fairness in presentation of issues should be directed to Fairness and Political Broadcasting Branch, Mass Media Bureau, FCC, Washington, DC 20554. The Commission provides information and education services through its Office of Public Affairs, Public Service Division, 1919 M Street NW., Washington, DC 20554.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility:

Anyone may seek information from, or file a complaint with the FCC.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the FCC Public Service Division or the appropriate field office listed under Information Contacts below. Upon request, the FCC will furnish specific information regarding its licensing and regulatory procedures.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

FINANCIAL INFORMATION:

Account Identification:

27-0100-0-1-376.

Obligations:

Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, approximately 23,070 broadcast and cable complaints, and 3,098 comments and requests for information were received; approximately 32,264 common carrier complaints, inquiries and comments were received; approximately 30,000 interference complaints were handled.

REGULATIONS, GUIDELINES, AND LITERATURE:

"FCC in Brief;" "Mass Media Services;" "Common Carrier Services;" "How

Rules are Made;" "Glossary of Communication Terms;" "FCC Information Seekers Guide;" FCC Annual Report. For listing of other information bulletins and fact sheets, contact the Public Service Division.

INFORMATION CONTACTS:

Regional or Local Office:

Regional Directors: Quincy, MA: 1 Batterymarch Park, Quincy, MA 02169-7495; Chicago, IL: 1550 Northwest Highway, Room 306 (Park Ridge, IL 60068-1460); Kansas City, MO: Room 320, 8800 East 63rd St., 64133-4895; Hayward, CA: 3777 Depot Road, Room 420, 94545-1914; District Offices: Anchorage, AK: 6721 West Raspberry Rd., 99502-1896; Duluth, GA: 3575 Koger Blvd., Koger Center-Gwinnett, Room 320, 30136-4958; Quincy, MA: 1 Batterymarch Park, Quincy, MA 02169-7495; Buffalo, NY: 1307 Federal Bldg., 111 West Huron St., 14202-2398; Chicago, IL: Park Ridge Office Center, Room 306, 1550 Northwest Highway, Park Ridge, IL 60068-1460; Dallas, TX: 9330 LBJ Freeway-Room 1170, 75243-3429; Denver, CO: 165 So. Union Blvd, Suite 860, Lakewood, CO 80228-2213; Detroit, MI: 24897 Hathaway St., Farmington Hills, MI 48335-1552; Honolulu, HI: P.O. Box 1030, WaiPahu, HI 96797-1030; Houston, TX: 1225 North Loop West, Rm. 900, 77008-1775; Kansas City, MO: Room 320, 8800 East 63rd St., 64133-4895; Cerritos, CA: Cerritos Corp. Tower, 18000 Studebaker Rd., Room 660, 90701-3684; Miami, FL: 8390 NW., 53rd St., Rochester Bldg., Room 310, 33166-4668; New Orleans, LA: 800 W. Commerce Rd., Room 505, 70123-3333; New York, NY: 201 Vatic St., 10014-4870; Norfolk, VA: 1200 Communications Circle, (Virginia Beach, VA) 23455-3725; Longhorn, PA: (Philadelphia Office): One Oxford Valley Office Bldg., 2300 East Lincoln Highway, Room 404, 19047-1859; Portland, OR: 1782 Federal Office Bldg., 1220 SW. Third Ave., 97204-2898; Maplewood, MN: 2025 Slogan Place, Suite 31, Maplewood MN 55117-2058; San Diego, CA: 4542 Refiner St., Room 370, 92111-2216; San Francisco, CA: 3777 Depot Rd., Room 420 Hayward, CA 94545-1914; Hat Rye, PR: (San Juan Field Office): Room 747 U.S. Federal Building, 00918-1731; Seattle, WA: 11410 NE 122nd Way, Suite 312, Kirkland, WA 98034-6927; Tampa, FL: Room 1215, 2203 N. Lois Ave., 33607-2356.

Headquarters Office:

Public Service Division, Federal Communications Commission, Room 244, 1919 M St. NW., Washington, DC 20554. Telephone: FEES (202) 418-0220; CAB (202) 418-0190. See FCC entry in Appendix IV of the Catalog for names and phone numbers of engineers-in-charge of individual field offices.

Web Site Address:

<http://www.fcc.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

FEDERAL MARITIME COMMISSION

33.001 SHIPPING-DISPUTE RESOLUTION AND INVESTIGATION OF COMPLAINTS

FEDERAL AGENCY:

FEDERAL MARITIME COMMISSION

AUTHORIZATION:

Shipping Act of 1984, as amended, 46 U.S.C. app. 1710. Administrative Dispute Resolution Act, of 1996, 5 U.S.C. 571, et seq.

OBJECTIVES:

To provide assistance to those engaged in international ocean shipping, including those making household goods shipments. Assistance may include helping locate missing cargo and helping resolve issues with respect to costs or service. To provide a forum for settling disputes between or among carriers, shippers, and ocean transportation intermediaries through the use of various means of alternative dispute resolution. To provide information to passengers seeking reimbursement of payments when dealing with cruise line issues.

TYPES OF ASSISTANCE:

Investigation of Complaints.

USES AND USE RESTRICTIONS:

The Commission considers informal complaints and assists in the development of voluntary settlements. The Commission also accepts allegations regarding unlawful rates or practices and may award reparations for an injury resulting from a violation.

Applicant Eligibility:

Any person may file a complaint alleging a violation of the Shipping Act of 1984 and make seek reparation for any injury resulting from the violation.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

A complaint alleging specific violations of the Shipping Act of 1984 or Commission regulations should be supported by facts sustaining such allegations. For canceled cruises, passengers need to document payments and provide identifying passenger and/or voyage information.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Informal complaints or requests for the mediation or other alternative dispute resolution (ADR) procedures may be submitted to the Commission in an e-mail to complaints@fmc.gov. To the extent available, all complaints other than cruise complaints should include the following information: Bill of lading/dock receipts/arrival notices/invoices; Description of cargo; Origin; Port of origin (may be the same as origin); Destination; Port of destination (may be the same as destination); Date of shipment or sailing; Name of individual(s) filing complaint (if you are representing a complainant, what is your name and your relationship to the complainant); Company name of complainant(s); Contact information for complainant(s) (and/or representative(s)) include telephone, fax, address including zip code, and email; Name of shipping company or party against whom the complaint is being filed; Contact information for that company or party, (including name(s) of responsible individuals, telephone and fax numbers, address including zip code and email); Description of issue. Include as much information as you believe we will need when we contact the other party(s) to the complaint. Desired solution. Explain what you would like to see accomplished - getting cargo released, negotiating a monetary solution, etc. Cruise complaints should include the following information: Ticket/passenger invoice; Date of sailing; Length and nature of cruise; Port of origin; Port of destination; Did you purchase transportation from your home to the port of origin from the cruise line? Did you purchase transportation from the port of destination to your home from the cruise line? People and companies involved; Description of Issue; A formal complaint, as well as a complaint requesting informal (small claims) adjudication may be submitted pursuant to Section 11 of the Shipping Act of 1984 to the Secretary, Federal Maritime Commission. (See the Commission's Rules of Practice and Procedure at 46 CFR Part 502). Questions regarding these procedures may be directed to the Office of the Secretary, at (202) 523-5725, Fax: (202) 523-0014 or E-mail: Secretary@fmc.gov. The Federal Maritime Commission is located at: 800 North Capitol Street, N.W., Washington, DC 20573. Visit our website at www.fmc.gov.

Award Procedure:

None.

Deadlines:

There is a 3 year statute of limitations for complaints filed under the Shipping Act of 1984.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

65-0100-0-1-403.

Obligations:

(Salaries and Expenses) FY 07 est \$1,198,000; FY 08 est \$1,245,000; and FY 09 \$1,295,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the Commission responded to more than 1,509 informal inquiries and complaints. Of those, 509 informal complaints required informal resolution services under the ombuds function. These included 218 complaints about cruise issues, 136 household goods matters, and 155 other matters affecting cargo shipments. In addition, a small number of claims cases and formal complaints were received.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Area Representatives: South Florida: Andrew Margolis and Eric Mintz, Federal Maritime Commission, P. O. Box 813609, Hollywood, FL 33081-3609. Telephone: (954) 963-5362. Fax: (954) 963-5630. E-mail: ANDREW@fmc.gov ERICO@fmc.gov. Seattle: Michael A. Moneck, c/o U.S. Customs Service, #7 South Nevada Street, Suite 100, Seattle, WA 98134. Telephone: (206) 553-0221. Fax: (206) 553-0222. E-mail: MICHAELM@fmc.gov. New Orleans: Alvin N. Kellogg, P.O. Box 7806, Metairie, LA 70010-7806. Telephone: (504) 589-6662. Fax: (504) 589-6663. E-mail: ALVINK@fmc.gov. Los Angeles: Oliver E. Clark, P.O. Box 230, 839 S. Beacon Street #320, San Pedro, CA 90733-0230. Telephone: (310) 514-4905. Fax: (310) 514-3931. E-mail: OLIVERC@fmc.gov. New York: Emanuel J. Mingione, Joseph A. Castellano, Bldg. # 75, Room 205-B, JFK Intl Airport, Jamaica, NY 11430. Telephone: 718-553-2228, Fax: 718-553-2229 E-Mail: EMANUELM@fmc.gov; JCastellano@fmc.gov.

Headquarters Office:

Office of Consumer Affairs and Dispute Resolution Services; Federal Maritime Commission; 800 North Capitol Street, N.W., Washington, DC 20573; Telephone: (202) 523-5807. Fax: (202) 275-0059. E-mail: COMPLAINTS@fmc.gov.

Web Site Address:

None.

RELATED PROGRAMS:

20.900, Transportation Consumer Affairs; 36.001, Fair Competition Counseling and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.001 LABOR MEDIATION AND CONCILIATION

FEDERAL AGENCY:

FEDERAL MEDIATION AND CONCILIATION SERVICE

AUTHORIZATION:

Labor-Management Relations Act of 1947, as amended, Title II, Public Law 80-101, 29 U.S.C. 172; Federal Labor Management Relations Statute, Public Law 95-454, 5 U.S.C. 7119; Administrative Dispute Resolution Act, Public Law 101-552, 5 U.S.C. 5571-588; Negotiated Rulemaking Act of 1990, Public Law 101-648, 5 U.S.C. 561- 570.

OBJECTIVES:

To prevent or minimize work stoppages caused by disputes between labor and management in industries affecting the free flow of commerce; to provide mediation assistance in Federal labor disputes; to provide training and dispute resolution services to Federal agencies.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Actual services include: (a) Providing mediation assistance to employers and labor organizations having collective bargaining contract disputes; (b) providing assistance to companies and their unions in the prevention of disputes by seeking to improve their day-to-day relationships and creating a better degree of understanding and cooperation; (c) providing assistance to companies and labor organizations in the selection of impartial arbitrators to hear and decide disputes under collective bargaining agreements. The agency is involved in special notice and mediation procedures designed to minimize work stoppages in contract disputes at all private health care institutions throughout the nation. The agency has no jurisdiction in railroad and airlines industries. The agency assists in dispute resolution involving Federal employees and agencies. The agency assists in dispute resolutions involving employees of state and local governments where other mediation services are unavailable and where Federal Mediation and Conciliation Service assistance is requested. The agency also provides mediators to hear complaints by individuals alleging age discrimination in federally funded programs. The agency provides mediators to train, mediate, and facilitate administrative disputes involving federal agencies. The agency also assists in the facilitation and mediation of negotiation and rulemaking for federal agencies, in response to requests by the agencies for assistance.

Applicant Eligibility:

Employers and labor organizations involved in industries affecting interstate commerce. Public sector and federal sector employers and labor organizations.

Beneficiary Eligibility:

Employers involved in industries affecting interstate commerce and related labor organizations.

Credentials/Documentation:

Contact the federal agency.

Preapplication Coordination:

Advance notice prior to expiration of existing contract or reopening thereof, as required by 29 U.S.C. 158 (d) and (g). This program is excluded from coverage under E.O. 12372.

Application Procedure:

FMCS Form F-7 (Notice) may be filed electronically at www.fmcs.gov or mailed to: Notice Processing Unit, The Federal Mediation and Conciliation Service, 2100 K Street NW, Washington, DC 20427. Telephone (202) 606-5499 Fax: (202) 606-4253.

Award Procedure:

Not applicable.

Deadlines:

As required by 29 U.S.C. 158(d) and (g), and 5 U.S.C. Section 7119. Contact the federal agency for more information.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

93-0100-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$42,848,824; FY 08 \$43,800,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 06, there were 15,072 collective bargaining mediation cases assigned in the private sector; in FY 07, 14,663; in FY 08, 15,270 (est). In FY 06, there were 1,632 grievance mediation cases assigned in the private sector; in FY 07, 1,788; in FY 08, 1,700 (est). In FY 06, there were 2,445 relationship development and training programs provided in the private sector; in FY 07, 2,548; and in FY 08, 2,390 (est). In FY 06, there were 1,022 cases of employment mediation; in FY 07, 1,060; in FY 08, 1,150 (est). In FY 06, there were 16,854 arbitration panels submitted to disputing parties. In FY 07, 16,264; and in FY 08, 16,500 (est).

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 1400. "Annual Report" and "Labor-Management Committees: Planning for Progress."

Regional or Local Office:

Interested persons may contact the appropriate regional office of Federal Mediation and Conciliation Service listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Mediation and Conciliation Service, 2100 K Street N.W., Washington, DC 20427. Contact: John E. Arnold, Director, Office of Public Affairs. Telephone: (202) 606-8100.

Web Site Address:

<http://www.fmcs.gov>.

RELATED PROGRAMS:

46.001, Labor-Management Relations.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

FEDERAL TRADE COMMISSION

36.001 FAIR COMPETITION COUNSELING AND INVESTIGATION OF COMPLAINTS

FEDERAL AGENCY:

FEDERAL TRADE COMMISSION

AUTHORIZATION:

Federal Trade Commission Act, 15 U.S.C. 41-58, as amended; Clayton Act, 15 U.S.C. 12-27, as amended; Hart-Scott-Rodino Antitrust Improvements Act of 1976, 15 U.S.C. 18a, as amended; Energy Policy and Conservation Act, 42 U.S.C. 6201-6422, as amended; Lanham Trade-Mark Act, 15 U.S.C. 1051-1127, as amended; Packers and Stockyards Act, 7 U.S.C. 181-229, as amended; Muhammed Ali Boxing Reform Act, 15 U.S.C. 6301-6313; Fairness to Contact Lens Consumers Act, 15 U.S.C. 7601-7610; Energy Policy Act of 2005, Public Law No. 109-58, 119 Stat. 594; Energy Independence and Security Act of 2007, Public Law No. 110-140; 121 Stat. 1492; Webb-Pomerene Act, 15 U.S.C. 61-66, as amended; Deepwater Port Act of 1974, 33 U.S.C. 1501-1524; Defense Production Act of 1950, 50 U.S.C. App. 2061-2169, as amended; Outer Continental Shelf Lands Act Amendments of 1978, 43 USC 1337; Conservation Service Reform Act of 1986, codified in scattered sections of 42 U.S.C. 8201 et seq.; Deep Seabed Minerals Act, 30 U.S.C. 1401-1473, as amended; National Cooperative Research and Production Act of 1993, 15 U.S.C. 4301-4306; International Antitrust Enforcement Assistance Act of 1994, 15 U.S.C. 46, 57b-1, 1311, 1312, 6201, 6201 note, 6202-6212; Interstate Commerce Commission Termination Act of 1995, codified in scattered sections of the U.S. Code, particularly 49 U.S.C. Sections 10101-11917; Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law No. 108-173, 117 Stat. 2066, codified in relevant part at 42 U.S.C. 1395w-101 note (Section 110), 21 U.S.C. 355 note (Sections 1111-1118), 21 U.S.C. 355(j)5 (Section 1102)); Consolidated Appropriations Act, 2004, amending the Magnuson-Stevens Fishery Conservation and Management Act, Public Law No. 108-199, codified in relevant part at 16 U.S.C. 1862; Standards Development Organization Act of 2004, 15 U.S.C. 4301-4306; Pandemic and All-Hazards Preparedness Act, Public Law No. 109-417, 120 Stat. 2831; Postal Accountability and Enhancement Act, Public Law No. 109-435, 120 Stat. 3198; Wool Products Labeling Act, 15 U.S.C. 68-68j, as amended; Fur Products Labeling Act, 15 U.S.C. 69-69j; Textile Products Identification Act, 15 U.S.C. 70-70k, as amended; Federal Cigarette Labeling and Advertising Act of 1966, 15 U.S.C. 1331-1340, as amended; Fair Packaging and Labeling Act, 15 U.S.C. 1451-1461; Truth in Lending Act, 15 U.S.C. 1601-1667f, as amended; Fair Credit Billing Act, 15 U.S.C. 1666-1666j; Fair Credit Reporting Act, 15 U.S.C. 1681-1681u, as amended; Fair Credit and Charge Card Disclosure Act, codified in scattered sections of US Code, particularly 15 U.S.C. 1637 (c)-(g); Equal Credit Opportunity Act, 15 U.S.C. 1691-1691f; Fair Debt Collection Practices Act, 15 U.S.C. 1692-1692a, as amended; Electronic Fund Transfer Act, 15 U.S.C. 1693-1693f; Consumer Leasing Act, 15 U.S.C. 1667-1667f, as amended; Magnuson Moss Warranty-Federal Trade Commission Improvements Act, 15 U.S.C. 2301-2312; Hobby Protection Act, 15 U.S.C. 2101-2106; Petroleum Marketing Practices Act, 15 U.S.C. 2801-2841; Postal Reorganization Act of 1970, 39 U.S.C. 3009(a); Comprehensive Smokeless Tobacco Health Education Act of 1986, 15 U.S.C. 4401-4408; Federal Deposit Insurance Corporation Improvement Act of 1991, 12 U.S.C. 1831t, as amended; Dolphin Protection Consumer Information Act, 16 U.S.C. 1385, as amended; Energy Policy Act of 1992, codified in scattered sections of the US Code, particularly 42 U.S.C. 6201 et seq.; Telephone Disclosure and Dispute Resolution Act of 1992, codified in relevant part at 15 U.S.C. 5701 et seq.; Telemarketing and Consumer Fraud and Abuse Prevention Act, 15 U.S.C. 6101-6108; Violent Crime Control and Law Enforcement Act of 1994, Public Law. No. 103-322, Section 320993, 108 Stat. 1796; Telecommunication Act of 1996, Public Law No. 104-104, Section 701(b)(1), 110 Stat. 56; Home Equity Loan Consumer Protection Act, codified in scattered sections of the U.S. Code, particularly 15 U.S.C. 1637 and 1647; HomeOwnership and Equity Protection Act, 15 U.S.C. 1639; Credit Repair Organization Act, 15 U.S.C. 1679-1679j; The Children's Online Privacy Protection Act, 15 U.S.C. 6501-6506; Identity Theft Assumption and Deterrence Act of 1998, 18 U.S.C. 1028 note; Gramm-Leach-Bliley Act, 15 U.S.C. 6801-6809 and 6821-6827, as amended; College Scholarship Fraud Prevention Act of 2000, 20 U.S.C. 1092d; Crimes Against Charitable Americans Act of 2001, section 1011 of the USA Patriot Act, Public Law No. 107-56, codified in relevant part at 15 U.S.C. 6201, 6106; Do-Not-Call Implementation Act, 15 U.S.C. 6101 note; Do-Not-Call Registry Act of 2003, 15 U.S.C. 6102 note; Fair and Accurate Credit Transactions Act of 2003, codified as amendments to the Fair Credit Reporting Act, 15 U.S.C. 1681-1681x; Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2003, 15 U.S.C. 7701-7713; Sports Agent Responsibility and Trust Act, 15 U.S.C. 7801-7807; Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Public Law No. 109-8, 119 Stat. 23; U.S. Safe Web Act, Public Law No. 109-455, codified to the FTC Act, 15 U.S.C. 41 et seq.; Unlawful Internet Gambling Enforcement Act, 31 U.S.C. 5361 et seq.; Sober Truth on Preventing

Underage Drinking Act, Public Law No. 109-422, 120 Stat. 2890.

OBJECTIVES:

To prevent business practices that are anticompetitive or deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish these missions without unduly burdening legitimate business activity.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Investigation of Complaints.

USES AND USE RESTRICTIONS:

To prevent consumer fraud and deception, anticompetitive mergers, and unfair and other anticompetitive business practices in the marketplace.

Applicant Eligibility:

Assistance is available to the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Complaints must contain facsimiles of questioned advertising, labeling or other practice, or a summary of any oral misrepresentation involved, together with name and address of the alleged offender.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Send complaint to FTC. Questionable local practices should be referred to State or local authorities.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

29-0100-0-1-376.

Obligations:

(Salaries and Expenses) FY 07 \$220,230,800; FY 08 est \$243,864,000; and FY 09 est \$256,200,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Investigations initiated (formal): Fiscal year 2007 516; 2008 est. 429; and 2009 est. 472; Investigations completed or closed: Fiscal year 2007 614; 2008 est. 309; and 2009 est. 340; Orders to cease and desist issued: Fiscal year 2007 31; 2008 est. 20; and 2009 est. 23; Trade regulation rules and guides promulgated or amended: Fiscal year 2007 6; 2008 est. 5; and 2009 est. 5.

REGULATIONS, GUIDELINES, AND LITERATURE:

A list of publications is available by writing the Federal Trade Commission, 600 Pennsylvania Ave., N.W., Washington, DC 20580. Publications are available in local Depository Libraries or by using our Web Site Address: <http://www.ftc.gov/>.

Regional or Local Office:

Persons are encouraged to communicate with the local FTC Regional Director: 10877 Wilshire Blvd., Suite 700, Los Angeles, CA 90024. Telephone: (310) 824-4343; Use the same number for FTS; 901 Market Street, Suite 570, San Francisco, CA 94103. Telephone: (415) 848-5100; Use the same number for FTS; 225 Peachtree Street, NE., Suite 1500, Atlanta, GA 30303. Telephone: (404) 656-1390; Use the same number for FTS; 55 East Monroe Street, Suite 1860, Chicago, IL 60603-5713. Telephone: (312) 960-5634; Use the same number for FTS; One Bowling Green, Suite 318, New York, NY 10004. Telephone: (212) 607-2829; Use the same number for FTS; Eaton Center, Suite 200, 1111 Superior Avenue, Cleveland, OH 44114-2507. Telephone: (216) 263-3455; Use the same number for FTS; 1999 Bryan Street, Suite 2150, Dallas, TX 75201. Telephone: (214) 979-9350; Use the same number for FTS; 915 Second Avenue, Suite 2896, Seattle, WA 98174. Telephone: (206) 220-6350; Use the same number for FTS.

Headquarters Office:

Consumer Protection Matters: Director, Bureau of Consumer Protection,
Telephone: (202) 326-3240. Restraint of Trade Matters: Director, Bureau of
Competition, Telephone: (202) 326-3175. Both are in the Federal Trade
Commission Building, 600 Pennsylvania Avenue, N.W., Washington, DC
20580.

Web Site Address:

<http://www.ftc.gov/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

10.155, Marketing Agreements and Orders; 10.800, Livestock, Meat and Poultry
Market Supervision; 39.009, Federal Consumer Information Center; 58.001,
Securities--Investigation of Complaints and SEC Information; 59.009,
Procurement Assistance to Small Businesses.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

GENERAL SERVICES ADMINISTRATION

39.002 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203, as amended, 63 Stat. 385, 40 U.S.C. 484; Surplus Property Act of 1944, Section 13(g), as amended, 50 U.S.C. 1622(g); Public Law 80-537, as amended, 62 Stat. 240, 16 U.S.C. 667b-d; Section 218, Public Law 91-646, 84 Stat. 1902, 42 U.S.C. 4638; Stewart B. McKinney Homeless Assistance Act of 1987, Title V, as amended, 42 U.S.C. 11411, Public Laws 100-77, 100-628, and 101-645.

OBJECTIVES:

To dispose of surplus real property by lease, permits, sale, exchange, or donation.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Surplus real and related personal property may be conveyed for: public park or recreation use and public health or educational purposes at discounts up to 100 percent; public airport purposes, wildlife conservation, correctional facility, replacement housing and for historic monument purposes without monetary consideration; and for general public purposes without restrictions at a negotiated price of not less than the estimated fair market value of the property. Properties are made available for discount conveyance where the public purposes to be served reflect the highest and best use of the property. Properties determined suitable by the Department of Housing and Urban Development may be made available by permit, lease, or deed for homeless assistance use. Restrictions: Surplus real property conveyed for public park or recreation use, historic monument, public airport use, correctional facility use and wildlife conservation use must be used for the purposes so conveyed in perpetuity. Property conveyed for health (including homeless) or education use must be used for those purposes for a period of not less than 30 years. Properties made available for homeless use by lease or permit must be used for that purpose for a period of not less than one year, unless the provider requests a shorter term. Surplus real property which is not deeded to public bodies or made available for homeless purposes is generally offered for sale to the public on a competitive bid basis.

Applicant Eligibility:

State and local government agencies are eligible to apply for surplus real property for park, recreation, correctional facility, historic monument, public airport, health, educational, homeless, replacement housing, and general public purposes. Eligibility for property for wildlife conservation use, other than for migratory birds, is limited to the States. Tax-supported and nonprofit medical and educational institutions which have been held exempt from taxation under 501(c)(3) of the Internal Revenue Code are also eligible to apply for property for health, educational and homeless use.

Beneficiary Eligibility:

General public may apply.

Credentials/Documentation:

The applicant must submit a proposed program of use of the property and evidence of its ability to finance the program.

Preapplication Coordination:

Applicants for property coordinate with other Federal agencies as follows: health and homeless use--Department of Health and Human Services; education--Department of Education; public airport purposes--Federal Aviation Administration; park or recreational and historic monument use--National Park Service, Department of the Interior; wildlife conservation--Fish and Wildlife Service, Department of the Interior; correctional facility use--Office of Justice Programs, Department of Justice; replacement housing--any Federal agency having a requirement for property involving housing for displaced persons in connection with a Federal or federally assisted project. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for health and homeless assistance use are submitted to the Department of Health and Human Services (HHS) which requests assignment of the property from GSA; applications for educational use are submitted to the Department of Education which requests assignment of the property from GSA; applications for park and recreation use are submitted to the National Park Service, Department of the Interior which requests assignment of the property from GSA, applications for correctional use are submitted to the Office of Justice Programs, Department of Justice. Applications for other uses are submitted to GSA, which then obtains the recommendation of the Federal agency which sponsors the use program.

Award Procedure:

When possible, awards are made through the participating agency. Other awards are made to State or local units of government by the Administrator of

GSA.

Deadlines:

Advice of interest must be submitted within 20 days from date notice of availability of the property was released. Reasonable time thereafter is allowed for the filing of applications.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

The Federal agencies sponsoring the use programs are responsible for enforcing compliance with the restrictions, except that GSA is responsible for compliance with respect to conveyances for wildlife conservation and correctional facility use.

Audits:

The Federal agencies sponsoring the use program and GSA are responsible for audits.

Records:

None.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries and expenses) FY 07 Actual \$21,748,000; FY 08 est \$49,481,000; and FY 09 est \$42,259,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The Disposal Program activity was as follows: Actual for fiscal year 2007 sales, donations and other discounts 378 properties having an actual value of \$251.2 million; estimate for fiscal year 2008: Sales, donations and other discounts 343 properties having an estimated value of \$337 million; fiscal year 2009 estimates: Sales, donations, and other discounts 333 properties having an estimated value of \$317 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Disposal of Surplus Real Property" - no charge; 41 CFR 101-47, Utilization and Disposal of Real Property, "U.S. Real Property Sales List," - no charge.

Regional or Local Office:

Director, Office of Property Disposal, General Services Administration. Applicant's initial contact should be at the regional level. See the Office of Property Disposal for each Region listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Deputy Commissioner, Office of Real Property Disposal, Public Building Service, General Services Administration, Washington, DC 20405. Telephone: (202) 501-0084.

Web Site Address:

<http://propertydisposal.gsa.gov/property>.

RELATED PROGRAMS:

15.916, Outdoor Recreation Acquisition, Development and Planning; 39.003, Donation of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

39.003 DONATION OF FEDERAL SURPLUS PERSONAL PROPERTY

(Donation Program)

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Older Americans Act of 1965, 40 U.S.C. 549; Section 213, as amended, Section 502, Public Law 100-77; E.O. 12999, April 17, 1996, Public Law 105-50.

OBJECTIVES:

To donate Federal personal property no longer required for Federal use to public agencies and qualifying nonprofit entities in order to reduce the cost of State and local government.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Surplus items are used by State and local public agencies for carrying out or

promoting one or more public purposes, such as conservation, parks and recreation, education, public health, public safety, economic development and programs for older individuals; by certain nonprofit, tax-exempt activities for public health or educational purposes, including research for such purposes, and for use in programs for older individuals; or by public airports for airport development, operation or maintenance. Federal restrictions require that, at a minimum, all surplus property be placed into use by the donee within 1 year of acquisition and be used at least for 1 year thereafter. Other restrictions, terms and conditions are imposed by GSA and the agencies determining eligibility (shown under Applicant Eligibility, section below) for items with original acquisition value above \$5,000 and for certain commodities, such as vehicles and aircraft.

Applicant Eligibility:

(a) State participation is contingent upon the acceptance by the General Services Administration (GSA) of a State plan of operation as being in conformance with Public Law 107-217. This State plan must establish a State agency which is responsible for the distribution of Federal surplus personal property to eligible recipients within the State on a fair and equitable basis. Eligible donee categories for the distribution of property through the State Agencies for Surplus Property are defined as: (1) Public agencies which include any (i) State or department, agency, or instrumentality thereof; (ii) political subdivision of the State, including any unit of local government or economic development district, or any department, agency or instrumentality thereof; (iii) instrumentality created by compact or other agreement between State or political subdivisions; (iv) multijurisdictional sub-State district established by or pursuant to State law; and (v) Indian tribe, band, group, pueblo, or community located on a State reservation. Eligibility for public agencies is determined by the State Agency for Surplus Property. (2) Nonprofit, tax-exempt activities such as schools, colleges, universities, public libraries, schools for the mentally challenged or physically challenged, educational radio or TV stations, child care centers, museums, medical institutions, hospitals, clinics, health centers, drug abuse treatment centers, providers of assistance to the homeless, providers of assistance to the impoverished and programs for older individuals. (b) Service educational activities as determined by the Deputy Under Secretary of Defense (L/SCI). (c) Public airports. Eligibility is determined by the Federal Aviation Administration.

Beneficiary Eligibility:

State and local public agencies; nonprofit educational or public health institutions or organizations, including providers of assistance to the homeless; nonprofit and public programs for the elderly; educational activities of special interest to the armed services; and public airports.

Credentials/Documentation:

Contact appropriate State or Federal agency shown under Applicant Eligibility section of this program.

Preapplication Coordination:

(1) Public agencies, nonprofit educational and public health applicants, providers of assistance to the homeless, providers of assistance to the impoverished and programs for the elderly coordinate with the State Agency for Surplus Property. (2) Service Educational Activity schools and national organizations coordinate with their sponsoring military service or national headquarters. (3) Public airports coordinate with the regional Airports District Office of the Federal Aviation Administration. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact appropriate agency shown under Preapplication Coordination section of this program.

Award Procedure:

Upon determination of eligibility, applicant maintains contact with the appropriate agency shown under Preapplication Coordination section of this program.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Applicants participating through State agencies for surplus property may appeal a determination of ineligibility through the State agency for review by GSA.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Audits:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Records:

Prescribed by agencies shown under Applicant Eligibility section of this

program.

Account Identification:

47-0110-0-1-804.

Obligations:

FY 07 est \$11,507,000; FY 08 est \$12,645,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, property with an acquisition cost of \$473 million was donated. In fiscal year 2008, property with an acquisition cost of \$380 million was donated. In fiscal year 2009, the estimate is \$430 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 102-37, "Donation of Surplus Personal Property," available for purchase from Superintendent of Documents, Government Printing Office, Washington, DC 20402. Pamphlet, "The Federal Surplus Personal Property Donation Program," available from General Services Administration at no charge.

Regional or Local Office:

Initial contacts (except Service Educational Activities) should be at regional or local level. See Appendix IV of the Catalog for addresses of GSA Federal Acquisition Service Regional Property Division serving the geographical area of interest. Individual units of Service Educational Activities national organizations such as Boy Scout or Girl Scout troops, Boys and Girls Clubs, etc., should contact their regional or national headquarters; Service Educational Activity Schools should contact their sponsoring military service.

Headquarters Office:

Director, Office of Personal Property Management, Office of General Supplies and Services, Federal Acquisition Service, General Services Administration, Washington, DC 20406. Telephone: (703) 605-5610. Information concerning the designation of Service Educational Activities can be obtained from the Deputy Under Secretary of Defense, (L/MDM) Production and Logistics, The Pentagon, Washington, DC, 20301.

Web Site Address:

<http://www.gsa.gov/property>

RELATED PROGRAMS:

12.700, Donations/Loans of Obsolete DOD Property; 39.002, Disposal of Federal Surplus Real Property; 39.007, Sale of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

39.007 SALE OF FEDERAL SURPLUS PERSONAL PROPERTY

(Sales Program)

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.

OBJECTIVES:

To sell personal property no longer needed by the Government in an expeditious, economical and efficient manner and to obtain the maximum net return from sales. General Services Administration conducts the sale of personal property for most of the civil agencies; the Department of Defense handles the sale of its own surplus property.

USES AND USE RESTRICTIONS:

This program provides individuals, business concerns, and other organizations with the opportunity to enter competitive bids for purchase of a wide variety of personal property whenever it is determined surplus to the needs of the Federal Government and available for sale. Included are vehicles, aircraft, hardware, electronic and medical equipment, furniture, office supplies and equipment, exotic gifts and collectables, and many other items. This program has been expanded offering assets available to the general public via online capabilities. GSA Auctions (www.gsaauctions.gov) provides the general public with an Internet website to bid electronically on a wide variety of federal personal property. The website allows GSA to offer assets located across the country to any interested buyer, regardless of location. GSA Auctions provides item descriptions and pictures of items available for sale. Successful bidders can also make payments online. Normally, there are no use restrictions on property purchased from the Government.

Applicant Eligibility:

Competitive bid sales are open to the general public, however bidders must be at least 18 years of age. Individuals cannot successfully register to bid on items without providing a TIN. A TIN is defined as an individual's Social Security Number (SSN) or business entity's Employer Identification Number (PIN).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

A credit card is required for all registered users in our online community. Credit card validation assists in the prevention of fraudulent bidding activity and ensures that bidders are prepared to accept responsibility for their bidding activity and all submitted bids are valid. This card number is recorded but will not be automatically charged if a bidder is the successful bidder of an item. For security reasons and to prevent fraudulent bidding activities, all registered bidders are subject to billing address verification. No P.O. Box address will be accepted unless accompanied by a street address. By entering the credit card information, you are attesting you are an authorized user of the card. It is required that the bidder creates and secures their username and password.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Interested individuals may participate in GSA sales of Federal personal property by visiting the GSA Auctions website at www.gsaauctions.gov, or may visit www.surplussales.fss.gov for information on sales not conducted on the internet. Also, information is available at the Federal Asset Sales website at www.govsales.gov.

Award Procedure:

Property is awarded to that responsible bidder whose bid, conforming to the invitation, is most advantageous to the Government, price and other factors considered. For internet sales, the successful bidders will be notified by email and must make payment within 2 business days from the date & time the award email notification was sent. Bid results from other sale types may be furnished by U.S. mail notification, via telephone, fax, or at www.surplussales.fss.gov. It is the bidder's responsibility to follow-up on the status of his/her bid and to ensure that his/her email address and all registration data are kept accurate and up-to-date.

Deadlines:

None. Bids must be submitted by the time and date indicated in the sales offering.

Range of Approval/Disapproval Time:

It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or None. It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or unverifiable information, or information which is deemed to have been given in bad faith. Indebted bidders will be ineligible for contract awards. Bidders will not be able to bid on items specified on GSA Auctions until all debts have been cleared, and will not be awarded items when participating in other methods of sale, such as sealed bid or fixed price.

Appeals:

Disputes, claims and/or refunds request are not a frequent issues at GSA. Contracts resulting from the sale of any offer, and particularly GSA Auctions are subject to the Contract Disputes Act of 1978 (41 U.S.C. 601-613). A claim or request for refund must be substantiated in writing to the Contracting Officer for issues regarding mis-described property, missing property and voluntary defaults.

Renewals:

If at any time, a participants information changes, it is their responsibility to update the appropriate information with GSA and in GSA Auctions.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

47-4530-0-4-804.

Obligations:

(Salaries and expenses) FY 07 \$16,129,000; FY 08 \$23,999,000; and FY 09 \$24,476,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, gross proceeds from sales were \$76.9 million. In fiscal year 2007, gross proceeds from sales were \$169.5 million. In fiscal year 2008, the estimate is \$131 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 101-45, Sale, Abandonment, or Destruction of Personal Property. FMR 102-38, Sale of Personal Property. FMR 102-39, Replacement of Personal Property Pursuant to the Exchange/Sale Authority. Pamphlet "For Public Sale! Used Federal Government Personal Property."

Regional or Local Office:

Federal Supply Service, General Services Administration, (select address from Appendix IV of the Catalog for the GSA Federal Acquisition Services, Office of Personal Property Management Sales Program Division which serves the geographical area of interest); or Defense Reutilization and Marketing Service (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49017-3092. Internet: <http://www.gsa.gov>.

Headquarters Office:

GSA Federal Acquisition Service, Office of Personal Property Management, Sales Program Division, 2200 Crystal Drive, Crystal Plaza 4, Suite 703, Arlington, VA 22202 or Defense Reutilization and Marketing Service (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49017-3092. Headquarters Office: Director, Office of Personal Property Management, Office of General Supplies and Services, Federal Acquisition Service, General Services Administration, Washington, DC 20406. Telephone: (703) 605-5610.

Web Site Address:

<http://www.gsa.gov>; www.gsaauctions.gov; and www.govsales.gov.

RELATED PROGRAMS:

12.700, Donations/Loans of Obsolete DOD Property; 20.805, Ship Sales; 39.003, Donation of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

39.009 FEDERAL CITIZEN INFORMATION CENTER

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Executive Order 11566, October 26, 1970; Public Law 95-491, 40 U.S.C. 751.

OBJECTIVES:

To provide citizens direct access to information about and from their government through an array of information channels including USA.gov and other targeted websites, the National Contact Center, and print materials distributed through Pueblo, Colorado. Also provides agencies government-wide with easy and cost-effective access to contact center services through the USA Contact. Also provides guidance and support to web and contact center managers across the government through Web Manager University and through the organization and management of interagency steering committees.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Maintains a nationwide toll-free contact center to respond to telephone and e-mail inquiries from the general public regarding Federal programs or activities. Maintains several websites including USA.gov, pueblo.gsa.gov, kids.gov, and consumeraction.gov. USA.gov is the official Internet portal to all U.S. government information and services. It is the most comprehensive place available online for U.S. government information and services, including federal grants and loans. The Pueblo site provides access to the full text of hundreds of the most helpful and popular federal documents and links to thousands of useful federal and private sector sites. Provides a quarterly catalog of selected government and private sector publications of consumer interest in English. Publishes the Consumer Action Handbook, which helps the public find the best source for solving consumer problems.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For responses to questions about the Federal government, call the Federal Citizen Information Center at 1 (800) FED INFO (333-4636) from 8:00 a.m. to 8:00 p.m. eastern time, Monday through Friday, except Federal holidays. Recorded responses to the most popular topics are always available. For access to federal government information online or to e-mail an inquiry about government services, visit USA.gov. For printed materials, visit www.pueblo.gsa.gov to read or order publications online, or call 1-888-8-PUEBLO (878-3256), or write to the Federal Citizen Information Center, Pueblo, CO 81009. To subscribe to free e-mail newsletters, visit USA.gov or to subscribe to the free quarterly Catalog, visit www.pueblo.gsa.gov.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.
Appeals:
None.
Renewals:
None.
Formula and Matching Requirements:
None.
Length and Time Phasing of Assistance:
None.
Reports:
None.
Audits:
None.
Records:
None.
Account Identification:
47-4549-0-3-376.

Obligations:
(Salaries and expenses) FY 07 \$14,789,000; FY 08 \$17,328,000; and FY 09 est \$36,558,000 for FCIC. (Note: In addition to these amounts, other Federal agencies and private sector entities pay the cost of reimbursing the Government Printing Office for distribution of free consumer publications from Pueblo, Colorado and for providing contact center services. These costs are as follows: FY 07 \$13,890,000; FY 08 est \$10,167,000 ; and FY 09 est \$10,450,000. Public Law 98-63 established a revolving fund for the Consumer Information Center (CIC). Under this fund, FCIC activities are financed from the following: Annual appropriations from the general funds of the Treasury, reimbursements from agencies for distribution of publications and contact center services, user fees collected from the public, gifts to defray the cost of printing, publishing, and distributing informational and educational materials and any other income incident to FCIC activities. All are available as authorized in appropriation acts without regard to fiscal year limitations.).

Range and Average of Financial Assistance:
None.

PROGRAM ACCOMPLISHMENTS:
During fiscal year 2007, the Federal Citizen Information Center had more than 222 million citizen touchpoints through a variety of channels including USA.gov and other websites, the National Contact Center program, distribution of printed materials through Pueblo, CO, as well as e-mail and web chat inquiries and subscription mailings. In support of these efforts, FCIC prepared and distributed 4 editions of the Consumer Information Catalog, maintained and enhanced the websites, produced and distributed a new edition of the Consumer Action Handbook along with the first Spanish language edition, prepared and distributed news releases and public service announcements to broadcast and print outlets, continued to distribute consumer publications nationwide, and continued to respond to citizen inquiries through a toll-free contact center and e-mail from the public directed to USA.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

With a few exceptions, services and printed materials obtained through the Federal Citizen Information Center are free to the public. Some government publications listed in the Catalog are offered for sale at prices indicated and there is a user fee of \$2.00 per total order when ordering free publications through the Catalog via telephone or mail.

Regional or Local Office:
None.

Headquarters Office:
Director, Federal Citizen Information Center, General Services Administration, 1800 F Street, NW (XCC) Washington, DC 20405. Telephone: (202)501-1794.

Web Site Address:
USA.gov; Pueblo.gsa.gov; ConsumerAction.gov; Kids.gov; USAServices.gov; gobiernoUSA.gov; consumidor.gov.

RELATED PROGRAMS:
40.002, Government Publications Sales and Distribution.

EXAMPLES OF FUNDED PROJECTS:
None.

CRITERIA FOR SELECTING PROPOSALS:
None.

39.012 PUBLIC BUILDINGS SERVICE

FEDERAL AGENCY:
GENERAL SERVICES ADMINISTRATION, PUBLIC BUILDINGS SERVICE
AUTHORIZATION:
Public Law 110-161, Consolidated Appropriations Act, 2008; Division D- Financial Services and General Government Appropriations Act, 2008; Title V, General Services Administration.

OBJECTIVES:
To cultivate innovative regional coordination, design, and planning practices for accommodating federal offices in the Washington region over the next half-century. Decisions about the form, nature, and location of federal work will have a tremendous influence on the long-term social, economic, environmental,

and cultural health of the region. Developing an urban planning vision for how to locate and develop federal work places will require close collaboration with both public and private stakeholders. The federal government seeks to encourage this effective regional coordination and plan intelligently, guided by mutual regional concerns such as sustainability, energy consumption, transportation infrastructure, security, new technologies, including those that facilitate telework opportunities, as well as the changing regional economics of land, housing, and workforce issues. In order to promote a guiding vision for the future of federal work in the Washington region, the General Services Administration's (GSA) Public Building Service (PBS) will award competitive grants in the range of \$50,000 to \$500,000 for coordination, planning, and research efforts that explore fundamental questions related to the form, location, and design of federal offices over the next 10 to 50 years in the National Capital Region. The maximum aggregate value of the grants is \$500,000. Regarding the above, the government may choose to fund multiple proposals that take very different approaches to these issues, if such proposals meet the government's interests.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Through this competitive grant opportunity, GSA seeks to facilitate the research and coordination efforts that would help regional stakeholders better understand how long-term federal facility needs may be met in concert with long range regional transportation, housing, employment, telework opportunities, sustainability, and socio-economic goals and trends; the range of risks and opportunities affecting federal facility siting and development decisions; and the long-range planning and coordination needed to meet related regional challenges. The envisioned grants may be for the purposes of directly assisting in the coordination of long-term federal workplace siting decisions with regional stakeholders; providing the research needed to better inform such coordination; or a combination of the above purposes. GSA is not soliciting positions on policy issues pending before Congress, the executive branch, or other domestic bodies and will not fund grant proposals of a partisan political nature. However, projects that lead to policy recommendations for governments, international organizations, or nongovernmental organizations are welcome and indeed encouraged, although such recommendations will be those of the grantee and not GSA.

Applicant Eligibility:

Institutions of higher education, nonprofit organizations, commercial organizations, State or local governments, or individuals with experience in the analysis or coordination of the planning issues described above. Joint ventures between any of these types of entities are welcome.

Beneficiary Eligibility:

Institutions of higher education, nonprofit organizations, commercial organizations, State or local governments, or individuals with experience in the analysis or coordination of the planning issues described above.

Creditentials/Documentation:

Applicants who wish to be considered for these competitive grants must submit a grant proposal that includes an executive summary describing the proposed work; an SF-424 Facesheet, as referenced in OMB Circulars A-102 and A-110, a description of proposed work, detailed explanation of your funding request in a SF-424a, as referenced in Circulars A-102 and A-110; a description of the grantee's prior experience; and three references outside of the requesting entity who the government may contact as a reference for the entity's past performance.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Grant proposals will be evaluated by a panel consisting of GSA officials and GSA Design Excellence Peers, who are nationally recognized private sector experts in the field of urban planning and design. Successful grantees will be notified by July 31, 2008.

Award Procedure:

Grant funds will be issued upon the selection of grantees, as described in the Application Procedure. Upon approval of the Assistant Commissioner for the Office of Budget and Financial Management and the Deputy Commissioner and Commissioner of the Public Buildings Service.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Applications must be received by 5pm on June 20, 2008. Grants will be announced by July 31, 2008.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Deliver as promised in Grantee proposal.

Audits:

None.

Records:

None.

Account Identification:

47-4542-0-4-804.

Obligations:

FY 07 \$0; FY 08 \$500,000; and FY 09 est not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None.

Headquarters Office:

Frank Giblin, 1800 F Street, N.W., Washington, DC 20405. Telephone: (202) 501-1856.

Web Site Address:

www.gsa.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

GSA will give preference to those proposals that it believes would best promote the purpose stated in Public Law 110-161 to encourage better understanding and coordination among State and local governments, the commercial sector, and other major stakeholders in the region about federal facilities siting decisions and long term regional needs and trends, as described above. Additionally, important factors for consideration of the grant proposals will include assessment of the following: The potential for effective use by relevant stakeholders, including the federal and regional governments, the private sector, and other key regional decision makers; the potential to advance regional understanding or coordination in a new or creative way; the ability of the requesting organization to deliver their proposed work effort; how the proposed grant funding would be leveraged by other investments or efforts, to maximize its effect; and how the proposed work supports GSA's mission and goals of leveraging the buying power of the federal government to acquire best value for taxpayers and our federal customers to deliver superior workplaces. Finally, GSA will consider the totality of submitted proposals and award grants based, in part, on how the collective body of work proposed by all the grantees would best advance the goals expressed in this grant opportunity.

GOVERNMENT PRINTING OFFICE

40.001 DEPOSITORY LIBRARIES FOR GOVERNMENT PUBLICATIONS

FEDERAL AGENCY:

GOVERNMENT PRINTING OFFICE

AUTHORIZATION:

44 U.S.C. 1901-1915.

OBJECTIVES:

To provide a class of libraries in the United States and its possessions known as depository libraries, in which tangible and electronic U.S. Government information products are made available by the Superintendent of Documents for use by the public.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The purpose of this program is to make U.S. Government information products available for reference in local areas. As of March 2006, there were 1,266 Depository Libraries. There are no restrictions on the use of U.S. Government information products in depository libraries other than the general rules and regulations of the individual libraries. Public access is guaranteed by law. Depository publications remain the property of the U.S. Government.

Applicant Eligibility:

Depository libraries are designated by members of Congress, with two Representative designations provided for in each congressional district and four Senatorial designations provided for in each State at large. By law, all State libraries, highest State appellate court libraries, libraries of land grant colleges, and law school libraries are also eligible to be designated depositories.

Beneficiary Eligibility:

Services are available to the general public.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

04-0201-0-1-808.

Obligations:

FY 07 est 43,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Through this program, selected U.S. Government information products are made available to Federal depository libraries for use by the general public. During fiscal year 2005, over 5.3 million copies of approx. 10,301 titles in paper, microfiche and CD ROM were distributed to depository libraries. Additionally, the Federal Depository Library Program Electronic Collection (FDLP/EC) provided no fee access to approx. 301,633 online titles located on the GPO Access servers and by linking to agency web resources. The online resources of the FDLP/EC are available via the Web at: <http://www.access.gpo.gov>.

REGULATIONS, GUIDELINES, AND LITERATURE:

The "Federal Depository Library Directory" describes the depository library system and contains a list of the libraries currently designated. It is available without charge and revised annually. An online version of the directory is available at: http://www.access.gpo.gov/su_docs/fdlp/tools/direct.html. This directory is also available free from the Federal Bulletin Board (202) 512-1387; telnet to: fedbbs.access.gpo.gov; or FTP to: [ftp://fedbbs.access.gpo.gov](http://fedbbs.access.gpo.gov); and web: <http://fedbbs.access.gpo.gov>.

Regional or Local Office:

The libraries are listed in the program literature. As of March 2006, there were 1,266 libraries located in the United States and its possessions.

Headquarters Office:

U.S. Government Printing Office, Information Dissemination Department (Superintendent of Documents), 732 North Capitol Street, NW., Washington, DC 20402. Contact: U.S. Government Printing Office, Judy Russell, Superintendent of Documents, 732 North Capitol Street, NW., Washington, DC 20402. Telephone: (202) 512-1114. The Gpo Contact Center: Hours: 7:00 a.m. to 8:00 p.m. EST Monday through Friday (except Federal Holidays) Toll-Free: 1-866-512-1800 DC Area: 202-512-1800 E-Mail: ContractCenter@gpo.gov Online: www.gpoaccess.gov/help Fax: 202-512-2104.

Web Site Address:

http://www.access.gpo.gov/su_docs/fdlp/libpro.html.

RELATED PROGRAMS:

11.650, National Technical Information Service; 40.002, Government Publications Sales and Distribution.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

40.002 GOVERNMENT PUBLICATIONS SALES AND DISTRIBUTION

(The Government Bookstore)

FEDERAL AGENCY:

GOVERNMENT PRINTING OFFICE

AUTHORIZATION:

44 U.S.C. 17.

OBJECTIVES:

To make U.S. Government publications available for purchase.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

The United States Government Printing Office, Information Dissemination Department (Superintendent of Documents), is an authorized sales agent for information published by the various departments and agencies of the Federal Government. Approximately 5,860 titles covering nearly every field of interest are available for purchase at nominal prices. If purchasers order 100 copies or more of one publication to be mailed to a single address, they will receive a 25 percent discount. Bookdealers, regardless of the quantity purchased, will be allowed a 25 percent discount when the publications, pamphlets, periodicals or subscription services are mailed, delivered, or forwarded to the dealer's normal place of business. No discounts are allowed when the publication, pamphlet, periodical, or subscription service is mailed to a third party (unless in quantities of 100 or more), or on those periodicals and subscription services which fall into a special pricing category as noted in, "U.S. Government Subscriptions" which is described below. Generally speaking, there are no restrictions on reprinting or quoting from U.S. Government Publications, as the code of laws of the United States provides that they cannot be copyrighted. However, Government publications occasionally contain copyrighted material which was used with permission of the copyright owner, and publication in a Government document does not authorize any use or appropriation of such copyright material without the consent of the owner. As the Government Printing Office serves merely as a printing and distribution agency for Government publications and has no jurisdiction over their content or subject matter, it is suggested that the originating department or agency or its successor be consulted prior to reprinting or quoting from any given publication. In those instances in which permission to reprint material from Government publications is granted, customary credit should be given to the Government Department which prepared the material. By law, every publication printed through the GPO, whether it is designated for sale or not, must be cataloged and indexed. The "Monthly Catalog of United States Government Publications" is produced to fulfill this obligation. The approximately 5,860 titles sold by the Superintendent of Documents include about 358 subscription services. These subscription items are listed in the Superintendent of Documents, "U. S. Government Subscriptions," <http://bookstore.gpo.gov/collections/subscriptions/index.jsp> which is revised quarterly. All titles sold by GPO are listed in maybe browsed by subject at <http://bookstore.gpo.gov>. The Sales Product Catalog list the entire inventory. The Sales Product Catalog is on the Internet at: <http://bookstore.gpo.gov>.

Applicant Eligibility:

The services of the Superintendent of Documents are available to all interested parties and there are no eligibility requirements.

Beneficiary Eligibility:

General public.

Credentials/Documentation:
None.

Preapplication Coordination:
Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:
Not applicable.

Award Procedure:
Not applicable.

Deadlines:
None.

Range of Approval/Disapproval Time:
None.

Appeals:
None.

Renewals:
None.

Formula and Matching Requirements:
Not applicable.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
None.

Audits:
None.

Records:
None.

Account Identification:
04-4505-0-4-808.

Obligations:
(Revolving Fund) FY 07 est to available; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
GPO's Sales Program provides the public an opportunity to purchase copies of tangible Government publications. In fiscal year 2005, GPO sold over 2 million tangible products and processed over 186,000 orders.

REGULATIONS, GUIDELINES, AND LITERATURE:
GPO's U.S. Government Online Bookstore, <http://bookstore.gpo.gov>, includes a searchable Sales Product Catalog, along with a Search by Topic function that provides access to approximately 160 subject-specific publications lists, a number of special collections of new and noteworthy publications in many fields, and other sales information. The Catalog of U.S. Government Publication, <http://www.gpoaccess.gov/cgp/ondex.html>, provides an index to both print and electronic publications created by Federal agencies. When available, links are provided to the full text of these publications. Additionally, the Locate Libraries feature enables users to find libraries by state or area code that can make print publications available for their use. Coverage is January 1994- April 2005.

Regional or Local Office:
Orders may be submitted to the Government Printing Office via the Internet, phone, fax, postal mail, or e-mail. Internet: <http://bookstore.gpo.gov> Phone: DC Metro Area: (202) 512-1800 Toll-Free: (866) 512-1800, Monday through Friday, 7:00 am - 9:00pm EST Fax: (202) 512-2104 Mail: Superintendent of Documents P.O. Box 371954, Pittsburgh, PA 15250-7954 E-Mail: Contactcenter@gpo.gov. When ordering, always include stock number, unit of issue, quantity, and price (if available). If the items is a subscription service, include the list ID as well.

Headquarters Office:
Mail orders and inquiries should be addressed to: United States Government Printing Office, Superintendent of Documents, 732 N. Capitol Street, Washington, DC 20402. Contact: Order Desk. Telephone: (toll free) (866) 512-1800 or DC area (202) 512-1800. Fax: (202) 512-2104, E Mail: orders@gpo.gov or gpo@custhelp.com.

Web Site Address:
<http://bookstore.gpo.gov>.

RELATED PROGRAMS:
11.650, National Technical Information Service; 39.009, Federal Citizen Information Center; 40.001, Depository Libraries for Government Publications; 42.005, Library of Congress Publications; 43.002, Technology Transfer.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

LIBRARY OF CONGRESS

42.001 BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED

FEDERAL AGENCY:

LIBRARY OF CONGRESS

AUTHORIZATION:

Public Laws 71-787, 87-765, and 89-522, 2 U.S.C. 135a, 135a-1, 135b.

OBJECTIVES:

To provide library service to the blind and physically handicapped residents of the United States and its Territories, and to American citizens living abroad.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

The program provides books on cassette, disc, in Braille, and talking book and recorded cassette machines. There are 57 regional libraries and 75 subregional libraries in the United States with access to approximately 423,000 titles in recorded and braille formats and more than 22,000 music scores, textbooks, and instructional materials in braille, large type and recorded formats worldwide.

Applicant Eligibility:

An applicant must provide a certificate of his inability to read or manipulate standard printed material from a competent authority, defined in cases of blindness, visual disability, or physical limitations, as doctors of medicine, doctors of osteopathy, ophthalmologists, optometrists, registered nurses, therapists, professional staff of hospitals, institutions, and public or welfare agencies. In the absence of any of these, certification may be made by professional librarians or by any person whose competence under specific circumstances is acceptable to the Library of Congress. In the case of reading disability from organic dysfunction, competent authority is defined as doctors of medicine and doctors of osteopathy who may consult with colleagues in associated disciplines.

Beneficiary Eligibility:

Blind and physically handicapped residents of the United States and its Territories, and American citizens living abroad will benefit.

Credentials/Documentation:

An applicant must provide a certificate of his inability to read or manipulate standard printed material from a competent authority, defined in cases of blindness, visual disability, or physical limitations, as doctors of medicine, doctors of osteopathy, ophthalmologists, optometrists, registered nurses, therapists, professional staff of hospitals, institutions and public or welfare agencies. In the absence of any of these, certification may be made by professional librarians or by any person whose competence under specific circumstances is acceptable to the Library of Congress. In the case of reading disability from organic dysfunction, competent authority is defined as doctors of medicine and doctors of osteopathy who may consult with colleagues in associated disciplines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Procedure: Applications can be made to the National Library Service for the Blind and Physically Handicapped in Washington, DC, or the 57 regional libraries, or 75 subregional libraries. This program is excluded from coverage under OMB Circular No. A 110.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

03-0141-0-1-503.

Obligations:

Obligations: (20 percent salaries and expenses; 80 percent equipment and

support) FY 07 \$38,039,736; FY 08 est \$75,623,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

PROGRAM ACCOMPLISHMENTS: In FY 06, 811,015 blind and physically handicapped readers were served by regional and subregional libraries throughout the United States with a collection of 423,000 titles in recorded and braille formats. Circulation of volumes and containers was 26,296,198. Actual readership: FY 06, 793,891. Estimated readership: FY 07, 793,891; and FY 08, 811,015. Actual circulation: FY 06, 24,677,023. Estimated circulation: FY 07, 24,677,023; and FY 08, 26,296,198.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Reading is for Everyone." Application form, list of regional and subregional libraries, 36 CFR (Chapter VII).

Regional or Local Office:

Regional or Local Office: Fifty seven regional and 75 subregional libraries in the United States. Each State has an agency distributing talking book machines.

Local public libraries have information available. Otherwise contact the headquarters office listed in this program.

Headquarters Office:

Frank Kurt Cylke, Director, National Library Service for the Blind and Physically Handicapped, Library of Congress, 1291 Taylor Street, NW., Washington DC 20542. Telephone: (202) 707-5100. E-mail: nls@loc.gov.

Web Site Address:

<http://www.loc.gov/nls>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

42.002 COPYRIGHT SERVICE

FEDERAL AGENCY:

LIBRARY OF CONGRESS

AUTHORIZATION:

Public Law 102-307, 17 U.S.C. 101-710, as amended.

OBJECTIVES:

OBJECTIVES: To administer the U.S. copyright law, to examine and decide the acceptability of all applications, deposit copies, and other materials presented for registration of original and renewal copyright claims; and preregistration of certain unpublished works; to process deposits submitted in compliance with the mandatory deposit provision of the copyright law; to record and publish facts of copyright and certain bibliographic data pertaining to each registered work; to furnish information to the public about the procedures for making registration and the practices of the Office; to record transfers of copyright ownership or other documents pertaining to a copyright; to provide reports on copyright facts found in the records of the Copyright Office; to provide certified copies of office records; and to promulgate copyright regulations. To examine cable system and satellite carrier statements of account and collect compulsory license royalties for distribution to copyright owners; to examine quarterly and annual statements of account and receive royalties on behalf of copyright owners pursuant to the statutory obligation provided for the manufacture and distribution of digital audio recording equipment and media; to examine and record documents relating to compulsory and statutory licenses and obligations and to assist the Copyright Royalty Board and review the legal underpinnings of its decisions.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

USES AND USE RESTRICTIONS: Anyone may request information about the copyright law, the Copyright Office regulations, the method of securing copyright, and procedures for registering claims and recording documents. This information is free of charge. Reports on copyright facts found in the records of the Copyright Office may be obtained for a statutory search fee of \$150 an hour or fraction thereof; however, any member of the public may use, without charge, the Copyright Card Catalog and the online catalog of registrations made since 1978 in the Copyright Office. The Office has established a single statutory fee for registration of claims to copyright for most categories of work with the exception of group registration of serials and daily newspapers, which have separate fee schedules. Fees provided by law for registration of claims to copyright are \$45 (\$35 for online submissions starting in FY 2008) for most categories with the exception of group registration of serials and daily newspapers, which have separate fee schedules. There are also separate schedules of statutory fees for recordation of transfers of copyright ownership, certified copies of records, etc. The latest fee information can be obtained by contacting the Copyright Office or checking the Copyright Office Website at

www.copyright.gov.

Applicant Eligibility:

Information is supplied to anyone on request. Registration may be made by creators of the work, their assignees, or their exclusive licensees, and others designated by law, or by their agents.

Beneficiary Eligibility:

Information supplied to anyone, on request. Registration may be made by authors, their assignees, or their exclusive licensees, and others designated by law, or by their agents.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Walk-in service or written requests for information. Applications for registration are on forms provided by the Copyright Office. Application forms are also available on the Copyright Office Forms Hotline at (202) 707-9100 24 hours a day, and at the Copyright Office Website at www.copyright.gov.

Award Procedure:

Not applicable.

Deadlines:

The owner of a copyright or the right of first publication in a work published in the United States has a legal obligation to deposit in the Copyright Office two complete copies or phonorecords for the use of the Library of Congress. Copyright registration under the 1976 Copyright Act is generally voluntary, although copyright owners are required to register their claim in order to obtain certain legal remedies; registration can be made at any time during the copyright term; however, prompt registration is advisable to preserve the ability to obtain statutory damages and attorney's fees for copyright infringement. Cable systems, satellite carriers, importers, and manufacturers of digital audio recording products have deadlines for filing statements of account and royalty fees.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Request for Reconsideration: Denials of registration may be appealed to the Registration and Recordation Program by letter. A second appeal, also by letter, may be made to a Review Board.

Renewals:

Renewals: The copyright law was amended to extend automatically the term of copyrights secured between January 1, 1964, and December 31, 1977, to a further term of 47 years and made renewal optional. The term of copyright in works published or copyrighted between January 1, 1964, and December 31, 1977, is 95 years. There is no need to make the renewal filing in order to extend the original 28 year copyright term to the full 95 years. However, some benefits accrue to making a renewal registration. Note: It is no longer possible to do a renewal during the 24th year. The filing fee for renewals is \$75. This fee applies to all renewal applications filed on or after July 1, 2007. For more information, contact the Renewals Section at (202) 707 8180.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

03-0102-0-1-376.

Obligations:

Obligations: (82 percent salaries and expenses; 12 percent materials) FY 06 \$51,676,000; FY 07 \$48,650,670; FY 08 est \$51,562,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 07, it made 525,146 registrations. The estimated registrations for FY 08 are 510,000. In FY 07, the Office recorded 11,534 documents relating to copyrights. The Office estimates 12,000 document recordings in FY 08. In FY 07, the value of such transfers was more than \$45 million. The value of transferred material for FY 08 is estimated at \$45 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications, copies of regulations, and printed informational circulars are available from the Copyright Office at the mailing address given below.

Regional or Local Office:

Not applicable.

Headquarters Office:

Register of Copyrights, Copyright Office, James Madison Memorial Building, Library of Congress, Washington, DC. Telephone: (202) 707-3000. Mailing

address: Library of Congress, Copyright Office, 101 Independence Ave., S.E., Washington, DC 20559-6000.

Web Site Address:

http://www.copyright.gov.

RELATED PROGRAMS:

11.900, Patent and Trademark Technical Information Dissemination.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

42.008 SEMICONDUCTOR CHIP PROTECTION SERVICE

FEDERAL AGENCY:

LIBRARY OF CONGRESS

AUTHORIZATION:

Public Law 98-620, 98 Stat. 3347 (1984) (as amended)

OBJECTIVES:

To administer the Semiconductor Chip Protection Act of 1984; to examine and decide the acceptability of all application and identifying material for registration of the claim of protection; to establish by regulation the fees for filing of applications and for other services provided by the Copyright Office; to record and publish legal facts or data pertaining to each registered work; to furnish information to the public about the procedures for applying for registration and the practices of the Office; to record documents of transfer or other documents pertaining to mask works.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

USES AND USE RESTRICTIONS: Anyone may request information about the Semiconductor Chip Protection Act, the Copyright Office regulations, the method of securing registration and the procedures for registering claims of protection and recording documents. This information is free of charge. Reports on facts found in the records of the Copyright Office may be obtained for a statutory fee of \$150 an hour. However, any member of the public may (without charge) use the catalog records in the Copyright Office. The records are available via the Copyright Office website. The fee set by regulation for registration of claims of protection is \$95. There are also separate schedules of regulatory fees for recordation of transfers of ownership and certified copies of record. The latest fee information can be obtained by contacting the Copyright Office or checking the Copyright Office Website at www.copyright.gov.

Applicant Eligibility:

Information is supplied on request to anyone. Registration may be made by the owner of a qualified mask work, as designated by law, or the duly authorized agent of such owner.

Beneficiary Eligibility:

Information is supplied to anyone on request. Owners of qualified mask works as designated by law will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Walk-in service or written requests for information. Applications for registration are made on forms MW provided by the office. Application forms are available on the Copyright Office Website at http://www.copyright.gov. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Applications for registration of a claim of protection must be made within 2 years after the date in which the work was first commercially exploited anywhere in the world.

Range of Approval/Disapproval Time:

If the Copyright Office fails to act upon the application within four months of its receipt, the Office is deemed to have refused to register the application. However, an applicant has the right to initiate an infringement suit in court before registration is made, provided that notice of the action is served on the Register of Copyrights.

Appeals:

Requests for Reconsideration: Refusal of the Register of Copyrights to issue a certificate of registration may be brought for review in an appropriate United States district court not later than sixty days after the refusal. Informal administrative reconsideration of a refusal to register may be requested by letter to the Registration and Recordation Program.

Renewals:

None. The duration of protection is a single ten year term terminating at the end of the tenth calendar year.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

03-0102-0-1-376.

Obligations:

(Salaries and Expenses) FY 07 \$0; FY 08 \$0; and FY 09 est not reported. Note: The cost of the semiconductor chip protection service has been absorbed by the Copyright Office under the appropriation for copyright services. (Fees collected are returned to the U.S. Treasury to offset the costs of the program.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the Office made 284 mask work registrations. The Office estimates 250 such registrations for FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications, copies of regulations, and printed informational circulars are available from the Copyright Office at the mailing address given below.

Regional or Local Office:

Not applicable.

Headquarters Office:

Register of Copyrights, Copyright Office, James Madison Memorial Building, Library of Congress, Washington, DC. Telephone: (202) 707-1497. Mailing Address: Library of Congress, Copyright Office, 101 Independence Ave. S.E., Washington, DC 20559-6000.

Web Site Address:

<http://www.copyright.gov>.

RELATED PROGRAMS:

42.002, Copyright Service; 11.900, Patent and Trademark Technical Information Dissemination.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

42.009 VESSEL HULL DESIGN PROTECTION SERVICE**FEDERAL AGENCY:**

LIBRARY OF CONGRESS

AUTHORIZATION:

Public Law 105-304, 112 Stat. 2860 (1998), as amended.

OBJECTIVES:

To administer the Vessel Hull Design Protection Act of 1998; to examine and decide the acceptability of all applications and identifying material for registration of claims of protection; to establish by regulation the fees for filing of applications and for other services provided by the Copyright Office; to record and publish legal facts or data pertaining to each registered work; to furnish information to the public about the procedures for applying for registration and the practices of the Office; to record documents of transfer or other documents pertaining to vessel hull designs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Anyone may request information about the Vessel Hull Design Protection Act, the Copyright Office regulations, the method of securing registration and the procedures for registering claims of protection and recording documents. This information is free of charge. Reports on facts found in the records of the Copyright Office may be obtained for a statutory fee of \$150 an hour. However, any member of the public may (without charge) use the catalog records in the Copyright Office. The fee set by regulation for registration of a claim of protection to one design is \$200. There are also separate schedules of regulatory fees for additional deposit copies, recordation of distinctive identification of an owner, special handling of a registration application, recordation of transfers of ownership and certified copies of record. The latest fee information can be obtained by contacting the Copyright Office or checking the Copyright Office Website at www.copyright.gov.

Applicant Eligibility:

Information is supplied on request to anyone. Registration may be made by the owner of a qualified vessel hull design, as designated by law, or the duly authorized agent of such owner.

Beneficiary Eligibility:

Information is supplied to anyone on request. Owners of qualified vessel hull designs as designated by law will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Walk-in service or written requests for information. Applications for registration are made on form D-VH provided by the Office. Application forms are available on the Copyright Office Website at <http://www.copyright.gov>. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Applications for registration of a claim of protection must be made within 2 years after the date in which the vessel hull design was first made public anywhere in the world.

Range of Approval/Disapproval Time:

There is no time period prescribed by law within which the Copyright Office must accept or reject an application for registration of a vessel hull design.

Appeals:

If the Register of Copyrights refuses to issue a certificate of registration of a vessel hull design, the applicant may, upon written request, seek reconsideration within three months after the date on which the notice of refusal is sent. Final refusal of the Register of Copyrights to issue a certificate of registration may be brought for review in an appropriate United States district court.

Renewals:

None. The duration of protection is a single ten-year term terminating at the end of the tenth calendar year.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

03-0102-0-1-376.

Obligations:

(Salaries and Expenses) FY 07 \$0; FY 08 \$0; and FY 09 est not reported. Note: The cost of the vessel hull design protection service has been absorbed by the Copyright Office under the appropriation for copyright services. (Fees collected are returned to the U.S. Treasury to offset the costs of the program.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the Office made 26 vessel hull design registrations. The Office estimates 30 such registrations for FY 08.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications, copies of regulations, and printed informational circulars are available from the Copyright Office at the mailing address given below.

Regional or Local Office:

Not applicable.

Headquarters Office:

Register of Copyrights, Copyright Office, James Madison Memorial Building, Library of Congress, Washington, DC. Telephone: (202) 707-1497. Mailing Address: Library of Congress, Copyright Office, 101 Independence Ave. SE., Washington, DC 20559-6000.

Web Site Address:

<http://www.copyright.gov>.

RELATED PROGRAMS:

42.002, Copyright Service; 42.008, Semiconductor Chip Protection Service; 11.900, Patent and Trademark Technical Information Dissemination.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

43.001 AEROSPACE EDUCATION SERVICES PROGRAM

(Spacemobile)

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

National Aeronautics and Space Act of 1958, Section 203(a) (3), as amended, Public Law 85-568, 42 U.S.C. 2473.

OBJECTIVES:

NASA's Aerospace Education Services Program (AESP) is a specialized group of educators using NASA's unique assets to support local, state, regional and national mathematics, science, and technology education systemic change efforts through collaboration of internal and external stakeholders in high-impact activities. This well-trained, well-informed and well-equipped workforce uses the NASA Mission and Vision to support increased instruction in mathematics, science, and technology content, enhance pedagogical skills, and address equity issues.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

AESP provides in-service and preservice workshops for K-12 teachers to initiate dramatic and enduring education systemic change that directly impacts teaching and learning science, mathematics, and technology in existing classrooms. AESP Specialists support and complement teacher training by visiting in individual classrooms to model and assist teachers in implementing the strategies demonstrated in teacher workshops. Programs cannot be used where there are commercial overtones, for promotional purposes, or where admission is charged.

Applicant Eligibility:

Schools, teacher training institutions, colleges, universities, civic groups, museums and planetaria.

Beneficiary Eligibility:

Schools, teacher training institutions, colleges, universities, civic groups, museums and planetaria.

Credentials/Documentation:

None.

Preapplication Coordination:

Not necessary, but may be helpful to contact Educational Programs office at any NASA Field Center or headquarters or the science supervisor of the respective State department of education. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter request to NASA Field Centers listed below.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Approximately 3 or 4 weeks.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Participants are requested to provide feedback about the program and its results through the NASA Education Evaluation and Information System (NEEIS) or by written evaluations.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

80-0114-0-1-999.

Obligations:

(Salaries and Expenses) FY 07 est \$6,340,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During Fiscal Year 2005, NASA Aerospace Education Services Project (AESP) visited 1,609 schools in all 50 states and two U.S. territories. Aerospace education specialists taught 1,258 workshops for a total of 18,747 educators and presented 4,913 assembly programs and classroom visits for a total of 244,371 students. In addition, programs for the informal and local communities emphasizing parents (or caregivers) totalled 112 programs with an audience of

296,696.

REGULATIONS, GUIDELINES, AND LITERATURE:

"NASA Aerospace Education Services Program," free of charge.

Regional or Local Office:

Contact education officer at nearest NASA Field Center: Ames Research Center, Moffett Field, CA 94035; Dryden Flight Research Center, Edwards, CA 93523; Goddard Space Flight Center, Greenbelt, MD 20771; John F. Kennedy Space Center, Kennedy Space Center, FL 32899; Langley Research Center, Langley Station, Hampton, VA 23365; Glenn Research Center, 21000 Brookpark Road, Cleveland, OH 44135; Johnson Space Center, Houston, TX, 77058; Marshall Space Flight Center, Huntsville, AL 35812; Stennis Space Center, Stennis Space Center, MS 39529.

Headquarters Office:

Office of Education, NASA Headquarters, Washington, DC 20546. Telephone: (202) 358-1110. Initial contact should be at NASA Field Center.

Web Site Address:

<http://www.nasa.gov>.

RELATED PROGRAMS:

20.100, Aviation Education; 43.002, Technology Transfer.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

43.002 TECHNOLOGY TRANSFER

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

National Aeronautics and Space Act of 1958, Section 203(a) (3), as amended, Public Law 85-568, 42 U.S.C. 2473.

OBJECTIVES:

To insure that technologies resulting from Government-sponsored civilian aerospace research and development are made available to the widest extent practicable and appropriate for the Nation's benefit.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Discoveries, innovations, inventions, and improvements developed throughout the aeronautics and space program are brought to the attention of private industry, academic institutions, and Federal, State and local government organizations in several ways. These technologies can be accessed with NASA's TechTracS data system via the Internet. NASA Tech Briefs is a monthly periodical with an industrial readership of approximately 500,000 containing articles which describe such innovations. Subscription is currently free to qualified engineers, scientists and business people in U.S. industry and to technology transfer agents. NASA technology transfer offices at NASA field centers work with public sector organizations, medical institutions, industry associations and others to identify problems of national scope and significance suitable for joint-sponsored NASA/industry applications engineering projects. The objective of such projects is to apply or otherwise adapt existing aerospace technology to meet identified non-aerospace needs in the public and private sectors of the Nation's economy.

Applicant Eligibility:

Engineers and managers of domestic enterprises, professionals, and others involved in technology transfer are entitled to free subscriptions to NASA Tech Briefs. Technical information search and retrieval services beyond that available via the Internet (<http://www.nasatech.com>), and NASA-owned computer programs/documentation are available to domestic organizations on a free or paying basis. Technology Transfer products are available to those who can demonstrate a national public need and would be served by applying NASA technology.

Beneficiary Eligibility:

U.S. industry will benefit.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None required. This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

80-0114-0-1-999.

Obligations:

FY 07 \$24,200,000; FY 08 est \$31,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

The NASA Innovative Partnerships Program has helped many industrial, educational, and government organizations develop new products, increase productivity, reduce costs, and improve the quality of life for US citizens. Typically, the IP Program provides user access to aerospace technology in support of technical problem solving. For example, sensor technology, microelectronics and telemetry systems have been adapted and applied in the development of a range of biomedical implantable devices to control or otherwise administer corrective treatment of diseases or bodily functions or ailments, such as diabetes or intractable pain. Many industrial uses of aerospace technology have occurred, including: wireless infrared communications systems; manufacturing scheduling enhancements; structural analysis of industrial products and systems, and a host of materials applications in new and improved products. NASA computer programs are routinely adapted and used by hundreds of U.S. companies annually, with substantial savings in software development costs. During the past year, over 200,000 individuals and firms were given access to new ideas and improvements from NASA resulting in new products and processes. Over 27,000 technical innovations from the space program have been published and are now available for use by American industry by subscribing to NASA Tech Briefs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Internet access at <http://nctn.hq.nasa.gov>; and "NASA Tech Briefs"

<http://www.nasatech.com>.

Regional or Local Office:

Persons are encouraged to contact the Innovative Partnership Program Office at a NASA Field Center: Ames Research Center, Mail Stop 202A-3, Moffett Field, CA 94035-1000, Telephone: (650) 604-1754; Goddard Space Flight Center, Mail Stop 504, Greenbelt, MD 20771-0001, Telephone: (301) 286-5810; Dryden Flight Research Center, Mail Stop 4839, P.O. Box 273, Edwards, CA 93523-0273, Telephone: (661) 276-3872; NASA Management Office-Jet Propulsion Laboratory, Mail Stop 301-350, 4800 Oak Grove Drive, Pasadena, CA 91109-8099, Telephone: (818) 354-3821; Kennedy Space Center, Mail Stop: KT-A2, Kennedy Space Center, FL 32899-0001, Telephone: (321) 861-7158; Langley Research Center, Mail Stop 218, 11 Langley Blvd. Hampton, VA 23681-0001, Telephone: (757) 864-4015; Glenn Research Center, Mail Stop 3-7, 21000 Brookpark Road, Cleveland, OH 44135, Telephone: (216) 433- 2802; Johnson Space Center, Mail Stop AF2, 2101 NASA Road 1, Houston, TX 77058-3696, Telephone: (281) 483-8051; Marshall Space Flight Center, Mail Stop CD30, Marshall Space Flight Center, AL 35812, Telephone: (256) 544-7604; Stennis Space Center, Innovative Partnerships Program, Bldg. 3226, Mail Stop EA41, Stennis Space Center, MS 39529-600, Telephone: (601) 688-1660. NASA Headquarters, Innovative Partnerships Program Office, Washington, DC 20546-0001, Telephone: (202) 358-2560. Persons or organizations desiring comprehensive technical information service should contact the National Technology Transfer Center (NTTC), Wheeling Jesuit University, 316 Washington Avenue, Wheeling, WV 26003, Telephone: (800) 678-6882.

Headquarters Office:

Innovative Partnerships Program, NASA Headquarters, Washington, DC 20546-0001. Telephone: (202) 358-2560.

Web Site Address:

<http://www.nctn.hq.nasa.gov>.

RELATED PROGRAMS:

11.650, National Technical Information Service; 40.002, Government Publications Sales and Distribution; 43.001, Aerospace Education Services Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

NATIONAL CREDIT UNION ADMINISTRATION

44.001 CREDIT UNION CHARTER, EXAMINATION, SUPERVISION, AND INSURANCE

FEDERAL AGENCY:

NATIONAL CREDIT UNION ADMINISTRATION

AUTHORIZATION:

Federal Credit Union Act, as amended, 12 U.S.C. 1751 et seq.

OBJECTIVES:

To offer to groups that have a common bond the opportunity to establish and operate their own credit unions.

TYPES OF ASSISTANCE:

Insurance; Provision of Specialized Services; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Staff will explain Federal credit union chartering requirements to any interested group, will help with the preparation of the charter application, will assist a newly chartered credit union to begin operation, and will assist credit unions and their members in consumer matters. Grant of a Federal charter will provide \$100,000 depositor insurance on individual accounts and \$250,000 on Retirement accounts. State-chartered credit unions are eligible to apply for depositor insurance in accordance with applicable State laws.

Applicant Eligibility:

Based on a combination of interest by group, economic feasibility, and other factors. However, as a general guideline, associations, employee groups, and communities with 500 or more potential members may qualify for a Federal credit union charter, if economic feasibility can be shown.

Beneficiary Eligibility:

Public nonprofit institutions and organizations, individuals and families and private nonprofit institutions and organizations.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Made in the form of a request to the Chairman, National Credit Union Administration (NCUA). Requests are submitted through regional offices.

Award Procedure:

The organization certificate, if approved by the NCUA, shall constitute the charter of the Federal credit union and will be mailed to the key official.

Deadlines:

None.

Range of Approval/Disapproval Time:

Once the necessary investigations are completed, the processing will take approximately two weeks.

Appeals:

The NCUA board delegates authority to its regional directors to approve Federal credit union charters. If disapproved by the regional director, group seeking charter may appeal to the NCUA Board.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

25-4056-0-3-373; 25-4468-0-3-373.

Obligations:

(Federal credit union program is supported by fees and insurance premiums). FY 07 \$268,000,000; FY 08 est \$274,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

All Federal credit unions have depositor insurance. As of December 31, 2006, 3,173 natural person State credit unions were federally insured. At year end 2006, 5,189 natural person Federal credit unions were in operation. Federal credit union and State credit unions were serving 85.7 million members. Federal credit unions' assets were \$394.2 billion, loans outstanding were \$270.5 billion, and members' savings were \$333.9 billion. There were 6 new Federal credit union charters granted in 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

Credit Union Information Package available upon request. Some Information Packages are at no charge and others require a fee. A complete list of literature and charges can be obtained through the Office of Public and Congressional

Affairs (703) 518-6330.

Regional or Local Office:

9 Washington Square, Washington Avenue Extension, Albany, NY 12205. Telephone: (518) 862-7400; Suite 4206, 1775 Duke Street, Alexandria, VA 22314-3437. Telephone: (703) 519-6400; Suite 1600, 7000 Central Parkway, Atlanta, GA 30328. Telephone: (678) 443-3000; 4807 Spicewood Springs Road, Suite 5200, Austin, TX 78759-8490. Telephone: (512) 342-5600; 1230 West Washington Street, Suite 301, Tempe, AZ 85281. Telephone: (602)302-6000. Asset Management Assistance Center, 4807 Spicewood Springs Road, Suite 5100, Austin, TX 78759-8490. Telephone: (512) 231-7900.

Headquarters Office:

Chairman, NCUA Board, National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314-3428. Telephone: (703) 518-6300.

Web Site Address:

<http://www.ncua.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

44.002 COMMUNITY DEVELOPMENT REVOLVING LOAN FUND PROGRAM FOR CREDIT UNIONS

(CDCU)

FEDERAL AGENCY:

NATIONAL CREDIT UNION ADMINISTRATION

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Sections 623, 633, and 681, Public Law 97-35, 95 Stat. 494, 498 and 518, 42 U.S.C. 9812, 9822 and 9910; Public Law 98-63, 97 Stat. 331; Public Laws 99-609, 101-144, 103-325, and 109-115.

OBJECTIVES:

To support low-income credit unions in their efforts to: (1) Stimulate economic development activities which result in increased income, ownership, and employment opportunities for low-income residents; and (2) provide basic financial and related services to residents of their communities.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

In order to meet the objectives of the Community Development Revolving Loan Program for Credit Unions, an applicant low-income credit union approved for participation must provide a variety of financial and related services designed to meet the particular needs of the low-income community served. Federal funds loaned under the Revolving Loan Program may be used for services that include activities aimed towards: (1) Supporting and stimulating economic development and revitalization efforts aimed at benefiting the community it serves. Recipients are encouraged to use funds available through the Revolving Loan Program to serve as a catalyst to attract and stimulate the investment of capital from other private and public sources to promote economic development activities within the community; (2) providing member services such as financial counseling; and (3) increasing the membership and the capitalization base such as: (a) membership drives; (b) campaigns to encourage members to increase their share deposits through systematic savings, utilizing such methods as payroll deductions allotments; and (c) businesses and other organizations serving the community to maintain share deposits or contribute financially in other ways to projects supported by the credit union. Loans up to \$300,000, will be made to credit unions. All loans must be repaid to the Community Development Revolving Loan Fund for Credit Unions within the shortest time compatible with sound business practice and with the objectives of the program, but in no case will the term exceed 5 years. Loans made under this program shall bear interest at a rate of not less than 1 or greater than 3 percent per annum. Semi-annual interest and principal payments are required by the Revolving Loan Program.

Applicant Eligibility:

All State and federally chartered credit unions with a low-income designation are eligible. This program is for established, financially sound low-income credit unions that wish to increase member services in their communities. Credit unions in the territories and the possessions are eligible to apply.

Beneficiary Eligibility:

A credit union wishing to participate must serve a field of membership which is comprised primarily of low-income individuals. The credit union's field of membership should correspond geographically to the designated program area described in its application and may include employees who regularly work in the area, businesses located within the area, and the residents of the area. In particular cases, the community served may include a number of contiguous

neighborhoods constituting a target area. A target area is defined as that area designated by the Economic Development Administration or another Federal agency or by a State or local government agency for special assistance to low-income residents such as special impact areas or enterprise zones.

Credentials/Documentation:

The Community Development Revolving Loan Fund Program for Credit Unions requires low-income credit unions to provide evidence of chartering from the appropriate Regional Office of the National Credit Union Administration or a State credit union regulatory agency. The board of directors will prepare a Community Needs Plan (Plan) and submit it with its loan application. The Plan will contain a list of needed community services that the credit union will provide. The board of directors will provide a status report on the Plan's objectives to the credit union members once a year either at the annual meeting or in a written report sent to all members. The Plan's yearly status report will also be submitted to NCUA annually. This Program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for loans competitively awarded in response to a program announcement are to be addressed to: National Credit Union Administration, Community Development Revolving Loan Program for Credit Unions, 1775 Duke Street, Alexandria, VA 22314-3428. Applicants are urged to inform and to coordinate with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This Program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NCUA will notify applicant credit unions as to whether they have qualified for a loan under the Revolving Loan Program.

Deadlines:

Notices of availability of funds will be published in the Federal Register.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Any applicant whose qualification is denied may appeal that decision to the NCUA Board.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Revolving Loan Program has no statutory formula. Loans made available under the program must be matched by the participating credit union. Any loan monies matched by member share deposits will be credited as a two-for-one match. Non-member deposits are a dollar-for-dollar match.

Length and Time Phasing of Assistance:

Financial assistance made available in this program will be in the form of a loan which is to be deposited in the credit union as either a non-member deposit or as a note payable and must be repaid to the Revolving Loan Program at a rate of not less than 1 or greater than 3 percent per annum. The term of the loans will not exceed 5 years from the date of award of the loan. Funds will be released directly to the credit union by NCUA, Community Development Revolving Loan Program for Credit Unions.

Reports:

Loan recipients must submit a copy of the Credit Union's Community Needs Plan with their application, annual audit report of the Supervisory Committee, quarterly, semi-annual or other financial, business or program reports as required by NCUA and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives.

Audits:

The recipient credit union must submit to NCUA annually the Supervisory Committee's audit report and the annual State or Federal examiner's report within 30 days of issuance.

Records:

Loan recipients are required to keep all financial, business and program reports necessary for program review and audit to ensure that funds have been expended in accordance with the regulations, loan agreement and terms and conditions of the Revolving Loan Program.

Account Identification:

25-4472-0-3-373.

Obligations:

FY 07 \$7,300,000; FY 08 est \$2,500,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

From \$5,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

\$2.9 million in new loans were made in fiscal year 2006.

REGULATIONS, GUIDELINES, AND LITERATURE:

CDRL Program, Federal Register, Volume 52 No. 179, September 16, 1987 (12 CFR 705) and Volume 54 No. 240, December 15, 1989.

Regional or Local Office:

Not applicable.

Headquarters Office:

Tawana James, Community Development Revolving Loan Fund Program for Credit Unions, National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314-3428. Telephone: (703) 518-6610.

Web Site Address:

<http://www.ncua.gov>.

RELATED PROGRAMS:

44.001, Credit Union Charter, Examination, Supervision, and Insurance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Community Development Revolving Loan Fund Program will use the following to base its consideration for awards on the following priorities: (1) Purpose; (2) Financial Factors and (3) Non-Financial Factors as listed in the application for funds.

NATIONAL ENDOWMENT FOR THE ARTS

45.024 PROMOTION OF THE ARTS-GRANTS TO ORGANIZATIONS AND INDIVIDUALS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE ARTS, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To support excellence in the arts, both new and established; bring the arts to all Americans; and provide leadership in arts education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants support projects that include opportunities for artists to create, refine, perform, and exhibit their work; the presentation of artistic works of all cultures and periods; the preservation of significant works of art and cultural traditions; opportunities for arts organizations and artists to expand and diversify their audiences; opportunities for individuals to experience and participate in a wide range of art forms and activities; opportunities to enhance the effectiveness of arts organizations and artists; the strengthening of communities through the arts; the recognition of artists for their contributions to our nation's cultural heritage; nationally distributed television and radio programs on the arts; the acquisition by children and youth of knowledge, skills, and understanding of the arts consistent with national or state arts education standards; partnerships with other federal agencies to carry out quality projects or initiatives of mutual interest; activities, carried out in partnership with foundations or other government agencies, which provide increased opportunities for international cultural exchange; activities that acquaint foreign artists and cultural officials with the best of American culture; a limited number of leadership projects intended to advance the arts significantly and demonstrate national or field-wide importance; and national initiative projects and activities of outstanding artistic quality that benefit Americans in communities throughout the country. Consult the current funding opportunities, guidelines, or program announcements for the area of interest for complete information.

Applicant Eligibility:

Tax-exempt organizations meeting the following conditions may apply: (1) No part of any earnings may benefit a private stockholder or individual, and (2) donations to the organization are allowable as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of state and local governments, federally recognized tribal communities and Indian tribes. Generally, an organization may submit only one application for a single project under one of the category deadlines; must have a three-year history of programming prior to the application deadline; and must have submitted acceptable final reports by the due date for all Arts Endowment grants previously received. Individuals must be U.S. citizens or permanent residents and, according to 20 U.S.C. 954 (c), must demonstrate exceptional talent. Currently, the only individuals eligible to apply directly are published creative writers. Master artists in the folk & traditional arts and in jazz are eligible for honorary fellowships awarded on the basis of nominations. See respective funding opportunities, guidelines, or program announcements for further information.

Beneficiary Eligibility:

Nonprofit organizations, state and local governments, local arts agencies, local education agencies (school districts), federally recognized tribal communities and Indian tribes, literary artists, and master artists in the folk & traditional arts and in jazz. Through activities and services supported, beneficiaries include artists and the general public.

Credentials/Documentation:

For institutions of higher education, allowable costs will be determined according to OMB Circular No. A-21; for other nonprofit organizations making application, allowable costs will be determined according to OMB Circular No. A-122. For local and state governments, costs will be determined in accordance with OMB Circular No. A-87. Awards to individuals: Literature fellowship applicants must be published writers. Nominators of candidates for NEA Jazz Masters Fellowships or NEA National Heritage Fellowships must document the candidate's contribution to the art form. See respective funding opportunities, guidelines, or program announcements for additional requirements.

Preapplication Coordination:

Applicants should consult current funding opportunities, guidelines, or program announcements for the funding area under which they intend to apply. This program is excluded from coverage under E.O.12372, "Intergovernmental Review of Federal Programs."

Application Procedure:

Applicants must obtain current Arts Endowment funding opportunities,

guidelines, or program announcements (available at www.arts.gov). Applicants must adhere to instructions, and should submit their applications through Grants.gov. As applicable, this program is subject to provisions of OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations" and OMB Circular No. A-102, "Grants and Cooperative Agreements with State and Local Governments."

Award Procedure:

Applications generally receive three independent levels of review. First, they are reviewed by independent, national panels of artists and other arts experts. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards.

Deadlines:

See the Arts Endowment Web site at www.arts.gov for information about application deadlines.

Range of Approval/Disapproval Time:

Dependent on meetings of the National Council on the Arts.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

None.

Formula and Matching Requirements:

Awards to organizations generally must be matched at least 1:1 with nonfederal funds. Awards to individuals do not require a match.

Length and Time Phasing of Assistance:

Length of assistance period and timing of funds disbursement will vary according to project or grant category. Many grants cover a period of support of up to two years. Generally, requests for payment to cover immediate allowable project expenses may be submitted at any time during the period of support.

Reports:

Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final reports are required for all grants within 90 days after the end of the grant period. No reports are required from recipients of NEA Jazz Masters Fellowships or NEA National Heritage Fellowships.

Audits:

Awards to organizations: All awardees must comply with audit requirements mandated by OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations." Nonprofit institutions expending \$500,000 or more a year in Federal awards shall have an audit made in accordance with A-133's provisions. State and local governments expending \$500,000 or more in Federal awards within the State's fiscal year shall have an audit made for that year in accordance with A-133's provisions. Awards to individuals: No audits required.

Records:

Award-related records must be retained by grantee for three years following submission of the final financial status report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Grants) FY 07 \$60,384,000; FY 08 est \$71,762,000; and FY 09 est \$60,979,000. (All figures include funds for the Challenge America: Reaching Every Community and American Masterpieces initiatives.)

Range and Average of Financial Assistance:

Fiscal year 2008: Most grants range from \$5,000 to \$100,000. Grants of \$100,000 or more are made only in rare instances, and only for projects that the Arts Endowment determines demonstrate exceptional national or regional significance and impact. In the past few years, well over half of the agency's grants have been for amounts less than \$25,000.

PROGRAM ACCOMPLISHMENTS:

As a result of projects supported in FY 2007: an estimated 70 million people across the nation, including 6.5 million youth, are being provided access to the arts exclusive of broadcasting; 3,700 different communities are benefitting from direct Arts Endowment support; 34,000 concerts, performances, and readings and 4,000 exhibitions in the visual and media arts are occurring; 10,000 artist residencies are taking place in schools and other locations; and thousands of artists and teachers/administrators are participating in agency-supported projects. FY 07: 5,169 applications, 2,158 grants; FY 08 est: 5,300 applications, 2,250 grants; FY 09 est: 5,200 applications, 2,200 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit the Arts Endowment's Web site at www.arts.gov for funding opportunities, guidelines, or program announcements.

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Telephone: (202) 682-5400. Individuals who are deaf or hard of hearing should call: (202) 682-5496 (Voice/T.T.Y.). Individuals unable to use conventional print may access funding opportunities, guidelines, or program announcements on the Web site or contact the Arts Endowment's Office for AccessAbility (202) 682-5532 for help.

Web Site Address:

www.arts.gov.

RELATED PROGRAMS:

45.025, Promotion of the Arts Partnership Agreements.

EXAMPLES OF FUNDED PROJECTS:

Grant listings are posted at www.arts.gov. Examples include: (1) the creation and performance of a dance theater work; (2) an after-school artist residency program; (3) a creative writing fellowship in poetry; (4) an initiative that spotlights jazz masters; (5) a program that brings mayors together with design professionals to enhance the livability of communities; (6) a festival featuring American choral music including new work; (7) the publication of a museum exhibition catalogue; (8) an initiative that provides citizens within a community the opportunity to read and discuss a single book; (9) a nationwide tour of Shakespeare plays including performances, workshops, symposia, and school programs.

CRITERIA FOR SELECTING PROPOSALS:

Primary criteria by which all applications are assessed are artistic excellence and artistic merit. For additional criteria, consult respective funding opportunities, guidelines, or program announcements.

45.025 PROMOTION OF THE ARTS-PARTNERSHIP AGREEMENTS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE ARTS, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To develop and maintain partnerships with the state and jurisdictional arts agencies (SAAs) and their regional organizations (RAOs) to advance the mission of the National Endowment for the Arts.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

SAA Partnership Agreements support four components: 1) the state arts plan for priorities identified at the state level (including activity for Poetry Out Loud); 2) elements of the state plan that address arts education; 3) elements of the state plan that foster the arts in underserved communities; and 4) projects that strengthen a state or region's infrastructure of support for the folk & traditional arts. RAO Partnership Agreements offer support for: 1) a regional arts plan; 2) touring intended to give all Americans access to excellent, live performing arts; and 3) projects that strengthen a region's infrastructure of support for the folk & traditional arts. (State and regional activities addressing the Arts Endowment's Challenge America and American Masterpieces initiatives are now included in the components noted above.) Limited Partnership funds also are available for national services provided by membership organizations of SAAs and RAOs. Arts Endowment Partnership Agreement funds must be used to supplement and not to supplant non-Federal funds.

Applicant Eligibility:

Agencies officially designated as the state arts agency by its state government in each of the 50 States and six special U.S. jurisdictions; regional arts organizations representing state arts agencies; and organizations providing support services to state and regional arts agencies at a national level.

Beneficiary Eligibility:

The official arts agencies of the 50 states and six U.S. jurisdictions, regional arts organizations, and organizations providing support services to SAAs and RAOs at the national level. Through activities and services supported, beneficiaries include local arts agencies, nonprofit organizations, artists, and the general public.

Credentials/Documentation:

The State Partnership Agreement requires that the agency be legally designated as the official State arts agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Where applicable, applications from State government agencies for Partnership Agreements must be reviewed under the State's intergovernmental review process, if one has been established, per E.O.12372, "Intergovernmental Review of Federal Programs." The Regional Partnership Agreement stipulates that the regional organization must be authorized by two or more state arts agencies to apply for funds on their behalf.

Preapplication Coordination:

Applicants should consult the Partnership Agreements guidelines (available at www.arts.gov). This program is eligible for coverage under E.O.12372,

"Intergovernmental Review of Federal Programs." If the program has been selected for review in an applicant's state, the applicant should consult the state office or official designated as the single point of contact for more information.

Application Procedure:

Applicants must obtain current Partnership Agreements guidelines and adhere to instructions. This program is covered under OMB Circular Nos. A-102 (State agencies) and A-110 (regional organizations).

Award Procedure:

Applications receive three independent levels of review. First, they are reviewed by an independent, national panel of individuals with expertise in the arts and the state and regional arts agency field. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards. Partnership Agreements receive panel review on a three-year cycle -- one-third of the states are reviewed each year -- with funding subject to annual recommendation and approval by the Council and the Chairman.

Deadlines:

The Partnership Agreements deadline is generally in October. See the Arts Endowment's Web site at www.arts.gov for the specific date.

Range of Approval/Disapproval Time:

Dependent on meetings of the National Council on the Arts.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

The Arts Endowment uses staggered, multi-year review for Partnership Agreements. Funding is subject to annual review and approval.

Formula and Matching Requirements:

Formula and competitive grants must be matched at least 1:1 with non-federal funds. The Congress has stipulated that no less than 40 percent of all grantmaking funds appropriated for the Arts Endowment be reserved for grants to SAAs and RAOs. It also has directed that support for program activity in underserved rural and inner-city communities within each state be established as a funding priority. Formula: State Partnership Agreement awards: 1) Of the SAA-RAO reserve, at least \$200,000 will be allotted to each SAA with an approved state plan. Up to a quarter of any funds remaining in this reserve will be apportioned in line with Arts Endowment policy. Any funds still remaining will be divided equally among the 52 SAAs serving populations over 200,000. Funds available for the Poetry Out Loud initiative will be allotted equally among SAAs in the 50 states and participating jurisdictions. 2) Funds available to SAAs for arts education first will be apportioned by formula among SAAs with plans meeting relevant review criteria; funds will not exceed \$50,000 per state. The remaining arts education funds will be awarded competitively among SAAs with the strongest plans and accomplishments according to the review criteria. The annual maximum awarded to any one SAA for arts education is \$100,000. 3) Underserved funds to be administered through Partnership Agreements first will be apportioned by formula among SAAs with plans that meet the review criteria, with remaining funds awarded on the basis of competition to those SAAs with the strongest plans and accomplishments in relation to the review criteria. 4) Funds for Folk & Traditional Arts Infrastructure support will be awarded competitively among SAAs that request such funds and have the strongest plans and accomplishments in relation to the review criteria. Funding to any one SAA will range from \$10,000 to \$50,000. Formula: Regional Partnership Agreement awards: 1) Funds available will be distributed in equal base amounts per regional organization and shares per state. 2) For the NEA Regional Performing Arts Touring program, funds will be allotted based on fixed historic amounts, equal shares per region, and regional population. 3) Funds for Folk & Traditional Arts Infrastructure support will be awarded competitively among RAOs that request such funds and have the strongest plans and accomplishments in relation to the review criteria. Funding to any one RAO will range from \$10,000 to \$50,000. National services funding is derived from the portion of the agency's appropriation for the SAAs and RAOs.

Length and Time Phasing of Assistance:

Each award is for the full fiscal year or as designated. Generally, requests for payment to cover immediate allowable project expenses may be received at any time. FY 2009 grants support activities scheduled to begin on or after July 1, 2009.

Reports:

Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final reports are required within 90 days after the end of the grant period.

Audits:

Applicant and grantee-initiated audits: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State governments expending \$500,000 or more in federal awards within the state's fiscal year shall have an audit made for that year. Recipients other than State governments must comply with audit requirements for nonprofit institutions mandated by A-133. Nonprofit institutions expending

\$500,000 or more in federal awards shall have an audit made in accordance with A-133's provisions.

Records:

Grant-related records to be retained by grantee for three years following submission of a final financial status report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Grants) FY 07 est \$40,328,000; FY 08 est \$47,842,000; and FY 09 est \$40,653,000. (All figures include Basic Plan support and Underserved funding. FY 07 figures also include funding for the Challenge America: Reaching Every Community and American Masterpieces initiatives).

Range and Average of Financial Assistance:

FY 2008: The range of SAA awards was \$281,300 to \$1,125,700, averaging \$739,851. The range of RAO awards was \$1,047,200 to \$1,606,000, averaging \$1,338,433.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, the Arts Endowment made 64 Partnership awards. SAAs use their state and federal funds to support more than 28,000 arts projects in more than 5,500 communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Partnership Agreements guidelines are available on the Arts Endowment's Web site at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

State and Regional Director, National Endowment for the Arts, 1100 Pennsylvania Avenue, NW., Washington, DC 20506-0001. Telephone: (202) 682-5429. Individuals who are deaf or hard of hearing should call: (202) 682-5496 (Voice/T.T.Y.). Individuals unable to use conventional print may access guidelines on the Web site or contact the Arts Endowment's Office for Access Ability (202) 682-5532 for help.

Web Site Address:

www.arts.gov.

RELATED PROGRAMS:

45.024. Promotion of the Arts. Grants to Organizations and Individuals.

EXAMPLES OF FUNDED PROJECTS:

Grant listings and links to SAAs and RAOs are posted at www.arts.gov. Partnership Agreements with SAAs support arts education activities; fostering of arts in underserved communities; and grant, service, or administrative programs. Partnership Agreements with RAOs support arts presenting and touring, and grant, service, or administrative programs.

CRITERIA FOR SELECTING PROPOSALS:

SAAs: Quality of process by which plan was developed; quality of plan; quality of implementation; and quality of work with underserved communities. RAOs: Quality of partnerships with member SAAs; identification of needs and opportunities through inclusive planning; evaluation of accomplishments; quality of plan; program decision-making criteria that take artistic excellence and merit into account; cost-effective operation; development of substantial, diversified non-federal support for programming; extension of resources and effectiveness through partnerships and other means. For additional criteria, consult the Partnership Agreements guidelines.

45.129 PROMOTION OF THE HUMANITIES-FEDERAL/STATE PARTNERSHIP

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To promote local, statewide, and regional humanities programming through annual grants to humanities councils in each of the 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Northern Mariana Islands, Guam, and American Samoa. The 56 councils conduct humanities projects and grant programs for local nonprofit organizations, institutions, and groups, and occasionally for individuals. Under the provisions of 20 U.S.C. 956(f)(8), only one entity in each State may receive assistance from NEH as the State humanities council.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Grants may be used to fund local, statewide, and regional humanities projects, subject to guidelines determined by each State humanities council.

Applicant Eligibility:

Nonprofit citizen councils in the several States and territories which conform to the requirements of 20 U.S.C. 956(f). If the State matches a certain percentage of the Federal grant and meets other legislated requirements, the Governor may

designate the existing Council as a State agency. The resulting agency becomes an eligible applicant.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government general; minority organizations; other specialized groups; and quasi-public nonprofit institutions which apply directly to the State Humanities Council.

Credentials/Documentation:

Submission of an annual compliance plan and a self-assessment every five years from the council. Compliance requirements are outlined in 20 U.S.C. 956(f). Costs will be determined in accordance with OMB Circular No. A-122 as amended for nonprofit organizations. Currently, the 56 councils are excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Filing of a compliance plan is required from all councils annually and a self-assessment every five years. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Compliance plans are reviewed by Federal/State Partnership staff. Self-assessments are reviewed by teams of visiting scholars and other professionals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

June 1 for annual compliance plans. Timetable for submission of self-assessment material is flexible.

Range of Approval/Disapproval Time:

Variable: Six months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

As established by the Congressional funding formula, each State council receives \$200,000 of the definite funds appropriated to Federal/State Partnership. Of the remaining funds, 44 percent is divided equally among the States which have a population of 200,000 or more, 22 percent is allocated to each council on the basis of population, and 34 percent is awarded at the discretion of the Chairman of the agency. Total matching of the grant on a one-to-one basis is required. Source: 20 U.S.C. 956 (f)(4).

Length and Time Phasing of Assistance:

Thirty-six months. Funds must be expended during the grant period. Funds are released as required.

Reports:

Compliance plans are required annually. Cash report and an expenditure report are required quarterly. Final expenditure reports are due within 90 days after completion or termination of project support by NEH.

Audits:

For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133, "Audits of Institutions of Higher Education and Other Nonprofit Organizations." In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Grants) FY 07 \$38,818,257; FY 08 est \$31,215,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

Range of assistance was \$238,600 to \$1,644,700; average \$686,270.

PROGRAM ACCOMPLISHMENTS:

A singular achievement of Federal/State Partnership has been the establishment, in all 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, the Northern Marianas, American Samoa, and Guam of 56 continuing nonprofit organizations which have succeeded at serving as the link between the humanities and the interests and concerns of the States' citizens. In the last year, councils supported thousands of programs that reached millions of Americans. Councils are continuing to design and sponsor programs and produce materials that reach new audiences. In 2005 reports indicate that 48 councils sponsored K-12 teacher projects for a total of 158,182 participants; 42 councils sponsored literacy programs that drew 340,049 participants; 1,168,705 participated in

reading and discussion programs; 5,598,165 participated in local history projects; 1,169,748 attended scholar-led seminars, conferences, and lectures; and 340,794 people attended Chautauqua type performances. Council-funded exhibitions attracted approximately ten million visitors, while council-funded media projects attracted 200 million viewers. Finally, some 534,073 students participated in council-funded projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Information about the National Endowment for the Humanities and program guidelines are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign). Addresses and phone numbers for the 56 State humanities councils are available from Federal/State Partnership, NEH, Room 603, Washington, DC 20506, and from the NEH web site, <http://www.neh.gov>.

Regional or Local Office:

Located in each State. Addresses available from National Endowment for the Humanities.

Headquarters Office:

Federal/State Partnership, National Endowment for the Humanities, Room 603, Washington, DC 20506. Telephone: (202) 606-8254. Fax: (202) 606-8365. E-Mail: fedstate@neh.gov. Use the same telephone number for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.168, Promotion of the Humanities _ We the People; 45.164, Promotion of the Humanities Public Programs.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Each State council sets its own guidelines and criteria for assessing proposals, which are widely disseminated within the State. The criteria for State council funding from NEH are established in the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. Section 950, 956, and by the NEH Federal/State Partnership.

45.130 PROMOTION OF THE HUMANITIES-CHALLENGE GRANTS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To support educational and cultural institutions and organizations in order to increase their financial stability and to sustain or improve the quality of humanities programs, services, or resources. Normally, institutions requesting their first NEH challenge grant must raise at least three dollars in new donations from nonfederal sources to receive each Federal dollar. Institutions requesting subsequent challenge grants must raise at least four dollars for each Federal dollar. A special category of challenge grants, called We the People, is designed to help institutions and organizations secure long-term improvements in humanities activities that explore significant themes and events in American history and culture, with special attention to advancing knowledge of the nation's founding principles.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be applied to a variety of institutional needs which an applicant has demonstrated serve both the humanities and the institution's long-term objectives. The major purpose of a Challenge Grant is to help institutions and organizations attain or sustain a high level of quality in humanities programs or activities by building long-term capital resources. The most common expenditure of grant and matching funds is the creation or augmentation of endowments. Also possible are direct expenditures such as library acquisitions, technological enhancement, construction and renovation, and other costs clearly attributable to improvements in humanities programs and activities. Funds may not be used directly for general operating support or undergraduate scholarships and prizes or for projects eligible for support from other NEH programs.

Applicant Eligibility:

Any public or private U.S. nonprofit institution or organization working wholly or in part within the humanities may apply, including the following: two-year and four-year colleges; universities; museums; historical societies; research libraries; public libraries; advanced study centers; media organizations; university presses; professional societies; or State humanities councils. State and local governments and U.S. Territories are eligible to apply on their own behalf or on behalf of nonprofit institutions, associations or organizations within their

jurisdictions. Individuals and public and private elementary and secondary schools or school districts are not eligible to apply.

Beneficiary Eligibility:

All applicant organizations and institutions and all users of their humanities resources, programs, or activities.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, but preliminary discussion with Endowment staff and the submission of a draft application at least six weeks before the deadline are strongly encouraged. The standard application forms as furnished by the Endowment and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by administrators of humanities institutions, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

May 1 for all challenge grants except We the People Challenge Grants in United States History, Institutions and Culture, which have a February 1 deadline. Deadlines are receipt deadlines. Write or call the NEH Office of Challenge Grants.

Range of Approval/Disapproval Time:

Seven months (approximately 210 days) after deadline.

Appeals:

None, but applicants may request written reasons for rejection and reapply with a revised proposal.

Renewals:

Eligibility to apply for a subsequent award begins in the fourth year after the close of the previous challenge grant. Eligibility to apply for a subsequent We the People challenge grant begins in the second year.

Formula and Matching Requirements:

All challenge grant funds are matching funds. First-time grantees must raise three nonfederal dollars for every federal dollar offered; subsequent awards require four nonfederal dollars for every federal dollar offered. All We the People challenge grants, and all Digital Humanities challenge grants require three nonfederal dollars for every Federal dollar offered.

Length and Time Phasing of Assistance:

From one to five years. Funds must be expended during the grant period. Funds expended include funds deposited into an income earning account. Federal funds up to the amount offered in any year are released as matching amounts are certified to the Endowment and minimum annual matching requirements are met.

Reports:

Narrative progress reports are required biennially; reports on nonfederal contributions raised and sources of giving are required annually. Final narrative and financial reports are due within 90 days after the grant ending date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

To be retained by the grantee for three years from the date of submission of the final narrative and financial reports. Records include financial documentation on all matching gifts and on all expenditures of Federal and matching funds.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$10,273,705*; FY 08 est \$9,331,000; and FY 09 est \$7,082,000.

*Includes additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Applicants may request up to \$1,000,000 in Federal funds over four years. In fiscal year 2007, the largest award offered was \$1,000,000, the smallest was \$200,000; and the average award was approximately \$400,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 113 applications were received and 20 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Challenge Grants, Room 420, National Endowment for the Humanities, Washington, DC 20506. (202) 606- 8309. Use the same number for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.168, Promotion of the Humanities We the People.

EXAMPLES OF FUNDED PROJECTS:

(1) A community college will use its \$500,000 challenge grant to endow a humanities institute in partnership with a major museum. (2) A liberal arts college will use its \$625,000 We the People challenge grant to restore an historic house as a residence for research fellows and an endowment for fellowships to study the Founding Era and its legacy. (3) A public library will use its \$500,000 challenge grant to restore and enhance technology in its historic building. (4) A museum will use its \$575,000 challenge grant as the cornerstone of a larger fund-raising campaign for an endowment to support a new regional art center. (5) A professional humanities association is using its \$246,000 challenge grant to endow staff positions in humanities educational programming.

CRITERIA FOR SELECTING PROPOSALS:

To reach decisions about funding, all reviewers of Challenge Grants applications are asked to evaluate the existing and potential quality of an applicant's programs in the humanities, its needs, management, and fund-raising capability. In light of the goals of the Challenge Grants Program, reviewers assess each application in accordance with the following criteria: (1) The significance of existing and planned humanities activities. (2) The appropriateness of institutional resources and plans. (3) The impact of challenge grant funds. (4) The feasibility of fund raising. Applicants for second or subsequent awards must describe and assess the effects of their prior awards.

45.149 PROMOTION OF THE HUMANITIES-DIVISION OF PRESERVATION AND ACCESS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To fund projects that will promote preserving, creating, and providing intellectual access to resources held in libraries, museums, archives, historical organizations, and other collections that are important for research, education, and public programming in the humanities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants support: the digitization, arrangement, description, and preservation of archival collections, still and moving images, and recorded sound collections; the documentation of collections of art and material culture; projects to produce research tools and reference works such as databases and electronic archives, bibliographies, dictionaries, and encyclopedias; professional training in preservation administration and in the care of collections; the stabilization of humanities collections; the work of regional preservation services; general preservation assessments and special consultations for smaller cultural institutions; research and development projects to develop technical standards, best practices, and tools for preserving and creating access to humanities collections; projects to document endangered languages; and a national program for the digitization of historic U.S. newspapers. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private

nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for commercial and nonprofit organizations.

Preapplication Coordination:

Before submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal and, in some programs, read draft applications. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are evaluated by scholars in the humanities, museum curators, professionals with expert knowledge of preservation and access methodologies and administrators of libraries, archives, and museums. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

March 18 for Advancing Knowledge applications; May 14 for Preservation Assistance Grants for Smaller Institutions applications; July 1 for Education and Training applications and for Research and Development applications; July 15 for Humanities Collections and Resources applications; September 15 for Documenting Endangered Language applications; October 1 for Stabilizing Humanities Collections applications; and November 4, for National Digital Newspaper Program applications. Write to the Division of Preservation and Access for specific information.

Range of Approval/Disapproval Time:

Approximately 7 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Cost sharing consists of the cash contributions made to the project by the applicant, third parties and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing includes gift money that will be raised to release federal matching funds. Cost sharing is not required. NEH, however, is rarely able to support the full costs of projects approved for funding. Consult the individual program guidelines for more information.

Length and Time Phasing of Assistance:

Up to 24 months. Funds must be expended during the grant period. Funds are released as required.

Reports:

Progress reports are required semiannually or annually. Cash reports are required quarterly. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$23,905,060*; FY 08 est \$18,380,000; and FY 09 est \$13,861,000.

*Includes additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 07 from \$5,000 to \$650,000; average \$325,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 417 applications were received and 183 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants.html> or upon request from National Endowment for the Humanities, Washington, DC 20506. It is also available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of Preservation and Access, National Endowment for the Humanities, Room 411, Washington, DC 20506. Telephone: (202) 606-8570. Use the same number for FTS. Fax: (202) 606-8639. E-mail: PRESERVATION@NEH.GOV.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.164, Promotion of the Humanities Public Programs.

EXAMPLES OF FUNDED PROJECTS:

(1) an award to a university to digitize 100,000 pages of historic state newspapers; (2) an award to a historic house museum to install climate control and fire suppression systems to preserve material culture, library and archival collections; (3) an award to a university to train hundreds of humanities scholars and graduate students in digital text coding; (4) an award to a state university to develop and test best practices for preserving analog sound recordings by converting them into digital form; (5) an award to a historical society to hire a consultant to conduct a preservation assessment and develop a long-range preservation plan for the society's library, archival, and material culture collections; (6) an award to a university to compile a digital reference work on the changes in the boundaries, names, and organization of every U.S. county from 1619 to 2000; (7) an award to a university and public library to explore best practices for monitoring and evaluating environmental storage conditions in cultural repositories.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the significance and potential impact of the project, either for creating, preserving, and establishing intellectual access to important humanities resources or for improving the infrastructure for preservation and access activities in the country's academic and cultural institutions; the soundness of the project's methodology, including its adherence to accepted professional and technical standards or practice; the viability, efficiency, and productivity of the project's plan of work; the professional training and experience of the project's staff in relation to the activity for which support is requested; and the appropriateness of the project's budget. Preference is given to projects that provide free, online access to digital materials produced with grant funds.

45.160 PROMOTION OF THE HUMANITIES-FELLOWSHIPS AND STIPENDS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

Fellowships and Summer Stipends provide support for scholars to undertake full-time independent research and writing in the humanities. Grants are available for 6 to 12-month fellowships and 2 months of summer study. Faculty Research Awards strengthen research and teaching at Historically Black or Tribal Colleges and Universities or Institutions with High Hispanic Enrollment. Teaching Development Fellowships strengthen teaching at undergraduate institutions through research fellowships of 3 to 5 months.

TYPES OF ASSISTANCE:

Project Grants (Fellowships).

USES AND USE RESTRICTIONS:

Fellowships and Summer Stipends: Projects may contribute to scholarly knowledge or to the general public's understanding of the humanities. The proposed study or research may be completed during the grant period or it may be part of a longer project. Faculty Research Awards assist full-time faculty members as they engage in advanced research in the humanities. These awards are restricted to Historically Black or Tribal Colleges and Universities or Institutions with High Hispanic Enrollment, as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021, and to faculty members at these institutions. Teaching Development Fellowships support research designed to strengthen undergraduate teaching. Applications for planning institutional curricula, for educational research projects, for the creation or performance of works of art, and that lack

humanities content or methodology are not eligible.

Applicant Eligibility:

All applicants must be U.S. citizens, native residents of U.S. jurisdictions, foreign nationals who have been legal residents in the U.S. or its jurisdictions for at least the three years immediately preceding the application deadline. Fellowships: Faculty members at colleges and universities, individuals affiliated with other institutions, independent scholars, and others who work in the humanities are eligible. Applicants need not have advanced degrees, but they must have completed their formal academic training. Active candidates for degrees and persons seeking support for work leading to degrees are not eligible. Summer Stipends: University and college faculty members must be nominated by their academic institutions. Faculty members with terminating appointments, independent scholars, and nonfaculty college and university staff members are exempt from nomination and may apply directly. Faculty Research Awards are offered to full-time faculty members at Historically Black or Tribal Colleges and Universities or Institutions with High Hispanic Enrollment, as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021. Teaching Development Fellowships: Full-time faculty members at colleges and universities.

Beneficiary Eligibility:

Fellowships and Stipends: College and university faculty and staff, individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently. Faculty Research Awards: faculty members at Historically Black or Tribal Colleges and Universities or Institutions with High Hispanic Enrollment. Teaching Development Fellowships: College and university faculty.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under OMB Circular Nos. A-102 and E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Fellowships, Summer Stipends, and Teaching Development Fellowships application materials are available online at <http://www.neh.gov> and from the headquarters office. This program is excluded from coverage under OMB Circular No. A-110. For Faculty Research Awards: NEH application instructions are available online (<http://www.neh.gov>) or upon request to the Research division. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by panels of scholars and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Fellowships: Annual receipt deadline of May 1, for projects beginning after January 1 of the following year. Summer Stipends: annual receipt deadline of October 1, for projects beginning after May 1 of the following year. Faculty Research Awards: Annual receipt deadline of May 1 for projects beginning after January 1 of the following year. Teaching Development Fellowships: Annual receipt deadline of October 1 for projects beginning as early as the following June 1.

Range of Approval/Disapproval Time:

Five to seven months.

Appeals:

None, but applicant may reapply.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Source: Program Guidelines. Contact: See Headquarters Office below.

Length and Time Phasing of Assistance:

Fellowships are held from 6 to 12 months, with payment in quarterly installments. Summer Stipends are held for 2 consecutive months, with stipends disbursed in one payment. Faculty Research Awards may support activities over 2 years.

Reports:

A final report is required within 90 days after the end of the tenure period describing the results of the work done during the period of the award.

Audits:

Subject to audit by Endowment auditors or their representatives.

Records:

Not applicable.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$6,796,000*; FY 08 est \$5,338,000; and FY 09 est \$5,338,000. *Includes additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Fellowships, Faculty Research Awards, and Teaching Development Fellowships:

An award of \$4,200 per month for a grant period of from 3 to 12 months.
Summer Stipends: All awards are \$6,000 for a grant period of 8 weeks.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 2,289 applications were received and 240 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Fellowship, Faculty Research Award, and Summer Stipends guidelines are available online at <http://www.neh.gov/grants/grantsbydivision.html#research>. For assistance, contact the National Endowment for the Humanities, 1100 Pennsylvania Ave., NW., Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

Division of Research Programs, National Endowment for the Humanities, Room 318, 1100 Pennsylvania Ave., N.W., Washington, DC 20506. Telephone: (202) 606-8200. Use the same number for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.161, Promotion of the Humanities Research.

EXAMPLES OF FUNDED PROJECTS:

(1) Fellowships: America's Soviet Experts in the Cold War; (2) Summer Stipends: Cultural Institutions of the Transatlantic World, 1930-1970; (3) Faculty Research Awards: An Environmental History of China; (4) Teaching Development Fellowships: Research for the improvement of a course on the Western tradition in literary studies.

CRITERIA FOR SELECTING PROPOSALS:

For Fellowships, Summer Stipends, and Faculty Research Awards: The intellectual significance of the proposed project, including its value to scholars and general audiences in the humanities; the quality or promise of quality of the applicant's work as an interpreter of the humanities; the quality of the conception, definition, organization, and description of the project and the applicant's clarity of expression; the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans; and, the likelihood that the applicant will complete the project. For Teaching Development Fellowships: The project's intellectual significance, including its value for undergraduate teaching; the quality of the applicant's intellectual work as preparation for undertaking the proposed research; the quality of the conception, definition, organization, and description of the research project and the applicant's clarity of expression; and the feasibility of the proposed plan of work and the likelihood that the applicant will complete the project.

45.161 PROMOTION OF THE HUMANITIES-RESEARCH

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To strengthen the intellectual foundations of the humanities through the collaboration of scholars and the support of post-doctoral fellowship programs at independent research institutions and at digital humanities centers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Collaborative Research and Scholarly Editions grants support up to three years of research. Awards support direct costs, including salaries, travel, supplies, and appropriate research assistance and consultation. Grants also support fellowships offered through independent research centers and institutions and through digital humanities centers.

Applicant Eligibility:

For Collaborative Research and Scholarly Editions, institutions of higher education, nonprofit professional associations, scholarly societies, and other nonprofit organizations in the United States may apply, as may eligible individuals (U.S. citizens and foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application). For support of fellowship programs, U.S. independent research centers, scholarly societies, and international research organizations with existing fellowship programs may apply. For digital humanities fellowships, digital humanities centers affiliated with either American institutions of higher education or U.S. nonprofit organizations or institutions with IRS 501 (C) (3) tax exempt status may apply. Individual scholar eligibility is the same as described above.

Beneficiary Eligibility:

U.S. citizens and residents, State and local governments, sponsored organizations, public and private nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian tribal governments, Native American organizations, U.S. territories; non-governmental-general; minority organizations, other specialized groups; and quasi-public nonprofit institutions benefit.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by e-mail attachment to: odh@neh.gov This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. NEH application instructions are available online (<http://www.neh.gov/grants/grants.html>) or upon request to the Division of Research Programs. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals outside the agency. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

October 16 and April 2 for Digital Humanities Start-Up Grant applications; April 9 for Institutes for Advanced Topics in the Digital Humanities applications; and July 15 for NEH/DOE Humanities High Performance Computing Program applications. E-Mail the Office of Digital Humanities for specific information.

Range of Approval/Disapproval Time:

For Collaborative Research, Scholarly Editions and fellowship programs offered through independent research centers and international research organizations, approximately seven months. For Fellowships at Digital Humanities Centers, approximately six months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal applications are eligible; they are evaluated in competition with new applications.

Formula and Matching Requirements:

Cost sharing consists of the cash contributions made to the project by the applicant, third parties and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing includes gift money that will be raised to release federal matching funds. Cost sharing is not required. NEH, however, is rarely able to support the full costs of projects approved for funding. Consult the individual program guidelines for more information.

Length and Time Phasing of Assistance:

Up to 36 months for Collaborative Research, Scholarly Editions, and Fellowship Programs at Independent Research Institutions. Funds are released as required and must be expended during the grant period. For Fellowships at Digital Humanities Centers, six to twelve months.

Reports:

For Collaborative Research, Scholarly Editions, and Fellowship Programs at Independent Research Institutions: Progress reports are required at least annually, no more frequently than quarterly. Cash reports are required quarterly. Final progress and expenditure reports are due within 90 days after completion or termination of project support by NEH. For Fellowships at Digital Humanities Centers: Cash reports are required quarterly. A final performance report from the center that incorporates a report by the visiting fellow is required. Final performance and financial status reports are due within 90 days after the completion date of the award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and

Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$8,969,769*; FY 08 est \$7,371,000; and FY 09 est \$7,371,000. *Includes additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 07 from \$17,000 to \$450,000; \$190,000 average.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 206 applications were received; 45 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of Research Programs, Room 318, National Endowment for the Humanities, Washington, DC 20506. Telephone: (202) 606-8200.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.149, Promotion of the Humanities Division of Preservation and Access;

45.160, Promotion of the Humanities Fellowships and Stipends.

EXAMPLES OF FUNDED PROJECTS:

(1) A grant was awarded to a university for the preparation of a translation of two plays by Miguel de Cervantes. (2) A grant was made to a university to support a conference looking at the connections between the Atlantic and Indian Ocean worlds in the early modern period. (3) A grant was made to a college to support the creation of a critical text of a portion of Homer's Iliad, together with detailed commentary and essays about the text. (4) A grant was made to a university to support archaeological investigation of the creation, character, and decline of the ancient Maya kingdom of El Zotz, near ancient Tikal, in present-day Guatemala. (5) A grant was made to a university to support the preparation of an edition of the papers of Benjamin Franklin. (6) A grant was made to a university for the preparation of a critical edition of the poetry of British Romantic poet Percy Bysshe Shelley. (7) A grant was made to support research opportunities at an independent research center in San Marino, California. (8) A grant was made to support research opportunities at an Americans overseas research center in Munich, Germany.

CRITERIA FOR SELECTING PROPOSALS:

For Collaborative Research, the principal criteria considered by evaluators are:

(1) Intellectual significance of the project, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes in the humanities; and the significance of the material on which the project is based. (2) Pertinence of the research questions being posed, the appropriateness of research methods, translation approaches, or conference design; the appropriateness of the technology employed in the project; the feasibility of the work plan; in the case of translation projects, the quality of samples, e.g., their content, accuracy, readability, and the clarity and helpfulness of annotations; and the appropriateness of the field work to be undertaken, the archival or source materials to be studied, and the research site. (3) Qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) Soundness of the dissemination and access plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, microform, digital format, or a combination of media; and in the case of archaeology projects, the likelihood that the project will produce an interpretive study. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) Potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results. For Scholarly Editions, the principal criteria considered by evaluators are: (1) The intellectual significance of the proposed work, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes or issues in the humanities; and the significance of the material on which the project is based. (2) The appropriateness of the research methods, critical apparatus, and editorial policies; the appropriateness of selection criteria; the thoroughness and feasibility of the work plan; the quality of the samples, e.g., their content and

accuracy; and the clarity and helpfulness of annotation. (3) The qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) The soundness of the dissemination and access plans, including benefit to the audience identified in the proposal; the strength of the case for producing print volumes, microform, electronic format, or a combination of media; and the appropriateness of the technology to be used. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) The potential for success, including the likelihood that the proposed project will be successfully completed within the projected time frame; when appropriate, the edition's previous record of success; and the reasonableness of the budget in relation to its likely results. For Fellowship Programs at Independent Research Institutions, the principal criteria considered by evaluators are: (1) How important to the advancement of the humanities is the fellowship program for which funding is requested? (2) Are fellows likely to pursue their research more successfully because of the research collections, facilities, services, and other resources provided by the applicant institution? In the case of centers with residential programs, does the application provide evidence that the fellows' projects benefit significantly from the location of the center and the intellectual exchange among the fellows? (3) How strong is the institution's previous record in offering fellowships? Have former fellows been productive? Have the scholarly contributions resulting from their FPIRI fellowships been of value to scholars and general audiences in the humanities? (4) Is the fellowship selection process, including the choosing of selection committee members, expert and objective? (5) Does the application make a persuasive case for the amount of NEH support requested for fellowships? (6) How effective is the publicity for the competition for fellowships? (7) How effective is the administration of the fellowship program? Is the institution's research misconduct policy adequate? (8) Are there ways in which the fellowship program could be improved? For Fellowships at Digital Humanities Centers, the principal criteria considered by evaluators are: (1) The intellectual significance of the project, including its value to scholars and general audiences in the humanities. (2) The quality or promise of quality of the visiting fellow's work in the digital humanities. (3) The appropriateness of the proposed collaboration between fellow and center, including the quality of resources provided by the center, the anticipated intellectual contribution of the fellow to the center, and the potential benefit to the fellow. (4) The feasibility of the proposed work plan, including the sustainability of the project beyond the grant period. (5) The accessibility and interoperability of the final product. All other considerations being equal, preference will be given to projects that provide free online access to digital materials produced with grant funds.

45.162 PROMOTION OF THE HUMANITIES-TEACHING AND LEARNING RESOURCES AND CURRICULUM DEVELOPMENT

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

Humanities Initiatives at Presidentially Designated Institutions--Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities--strengthen humanities teaching and learning at these institutions.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Humanities Initiatives at Presidentially Designated Institutions provide funds for faculty study programs, institutional planning, and the acquisition of library and advanced technology resources that can assist institutions in enhancing humanities opportunities for their students and their communities, typically through strengthening the capacity of faculty to engage student or community beneficiaries in substantive study of the humanities. Humanities Initiatives for Faculty awards are restricted to Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities, as designated by the White House offices charged with the implementation of Executive Orders 12876, 12900, 13021, and to faculty members at these institutions. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported.

Applicant Eligibility:

Humanities Initiatives at Presidentially Designated Colleges and Universities offer Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities, as designated by the White House offices charged with the implementation of Executive Orders 12876, 12900, 13021.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private

nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for entities of State and local government, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

Informal inquiry with the headquarters office, followed by submission of a preliminary draft is encouraged. Endowment staff should be sent preliminary applications at least six weeks in advance of final application deadline for eligibility review. The standard application forms as furnished by the Endowment and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Guidelines are available online (<http://www.neh.gov>) or upon request to the headquarters office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by panels of scholars, teachers, and educational administrators at all academic levels and by other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice of the National Council on the Humanities.

Deadlines:

Materials and Curriculum Development Grants: October 1, 2008 for projects beginning after April 1 of the following year. January 16, 2009 for Humanities Initiatives for Faculty, for projects beginning after June 1, 2009.

Range of Approval/Disapproval Time:

Approximately 4-6 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Applications for renewal must compete against new applications. Applications for renewal must demonstrate a record of success and the potential to serve new audiences.

Formula and Matching Requirements:

This program has no statutory formula. Source: Program Guidelines. Contact: See Headquarters Office below.

Length and Time Phasing of Assistance:

Funds must be expended during the grant period. Funds are released as required. Grants are available for projects for a maximum of 3 years.

Reports:

Progress reports are required at least annually. Cash reports on project expenditures are required quarterly. Final progress and expenditure reports are due within 90 days after completion or termination of project support by NEH.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$2,223,567*; FY 08 est \$2,520,000; and FY 09 est \$2,520,000. *Includes additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Humanities Initiatives at Presidentially Designated Colleges and Universities do not exceed \$75,000.

PROGRAM ACCOMPLISHMENTS:

For FY 08, 140 applications were received and 23 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. The publication entitled "National Endowment for the Humanities Grant Programs, 2000-2001" is available upon request from the National Endowment for the Humanities, Washington, DC 20506. It is also available online at <http://www.neh.gov>. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues

annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Humanities Initiatives at Presidentially Designated Colleges and Universities, National Endowment for the Humanities, Room 302, Washington, DC 20506. Telephone: (202) 606-8463. Use the same number for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.163, Promotion of the Humanities Professional Development; 45.129, Promotion of the Humanities Federal/State Partnership; 45.130, Promotion of the Humanities Challenge Grants; 45.149, Promotion of the Humanities Division of Preservation and Access; 45.160, Promotion of the Humanities Fellowships and Stipends; 45.161, Promotion of the Humanities Research; 45.164, Promotion of the Humanities Public Programs.

EXAMPLES OF FUNDED PROJECTS:

(1) An Historically Black University received a month-long summer program of study of Homer, Dante, Marie de France, Christine de Pizan, and Shakespeare for six to eight faculty members from the institution and others nearby; (2) A Tribal College received an award to support a year-long series of workshops for its faculty that would explore seminal works in Native American literature, including Louise Erdrich's *Love Medicine*, N. Scott Momaday's *House Made of Dawn*, James Welch's *The Indian Lawyer*. (3) A College with a High Hispanic Enrollment received an award to conduct a year-long faculty development seminar series in the field of law and literature, including the study of such works as Sophocles' *Antigone*, Dickens' *Great Expectations*, Kafka's *The Trial*, and Shakespeare's *Measure for Measure*.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are read and evaluated on whether the intellectual quality of the project is excellent; whether the project is well designed; and whether the project will have significant results. See the program guidelines for detailed criteria. For Humanities Initiatives at Presidentially Designated Colleges and Universities, projects will be selected for funding on the basis of the quality of planned activities and the potential of these activities to strengthen the humanities capacity of the institution; the ability of the institution to carry out the proposed plan; the qualifications of project leaders and consultants; and the cogency of the plan to evaluate the outcomes of the project.

45.163 PROMOTION OF THE HUMANITIES-PROFESSIONAL DEVELOPMENT

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

Seminars and Institutes promote better teaching and research in the humanities through faculty development. Landmarks of American History and Culture, part of the NEH We the People initiative, promote better pre-collegiate and community college teaching of American history and culture through intensive, rigorous faculty and staff development workshops at historical sites, colonial settlements, battlefields, artists' and writers' homes--while enabling the participants to gain experience in conveying the importance of historical places and enhancing their teaching materials. The Picturing America School Collaboration Projects grant opportunity was designed to help teachers and librarians whose schools display images of great works of art included in Picturing America (and that help to tell America's story) to form connections with courses in the core curriculum.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants support Summer Seminars and Institutes, Landmarks of American History and Culture Workshops, Picturing America School Collaboration Projects. Awards support direct costs, including salaries, participant stipends, selection costs, travel, and supplies. Projects should engage participants in deepening the knowledge of the subjects they teach and strengthen their capacity to engage students in substantive study of the humanities. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported.

Applicant Eligibility:

Distinguished scholar/teachers in the humanities may apply through a sponsoring institution to direct a seminar or institute for college teachers or school teachers. For Landmarks in American History and Culture, the following may apply: State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments;

Native American organizations; U.S. Territories; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions. For Picturing America School Collaboration Project, the following may apply: Sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions.

Beneficiary Eligibility:

Primarily K-12 or college teachers, depending on the particular project--as well as their colleagues and students.

Credentials/Documentation:

For educational institutions, costs will be determined in accordance with OMB Circular No. A-21 and Circular No. A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Informal inquiry is encouraged for prospective directors. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Proposal instructions are available on line (<http://www.neh.gov>) or from the headquarters office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

March 2, 2009, to direct a seminar or institute during the summer of the following year. March 2, 2009, to participate in a seminar or institute held during the summer of the same year. March 16, 2009, to direct a Landmarks of American History Workshop project during the summer of the following year; March 16, to participate in a Landmarks of American History and Culture workshop held during the summer of the same year. October 1, 2008, for Picturing America School Collaboration projects beginning after April 1, 2009.

Range of Approval/Disapproval Time:

Approximately four to five months; six weeks for participants in Seminars and Institutes, Landmarks of American History and Culture Workshops, and Picturing America School Collaboration Projects.

Appeals:

None, but director/applicant may request a critique of the proposal and reapply.

Renewals:

Applications for renewal must demonstrate a record of success and the potential to serve new audiences. These applications compete against new applications.

Formula and Matching Requirements:

This program has no statutory formula. Source: Program Guidelines. Contact: See Headquarters Office below.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funds must be expended during the grant period. Funds are released as required.

Reports:

Cash reports are due quarterly. A final narrative report and a final expenditures report are required within 90 days after completion or termination of the grant period. In addition, reports are required from the scholars participating in the seminar assessing the value of the seminar for their professional development.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

FY 07 \$14,359,170*; and FY 08 est \$10,081,000; and FY 09 est \$10,081,000.

*Includes additional funding provided by We the People. See 45.168

PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 221 applications were received and 79 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Headquarters Office: Professional Development, Division of Education Programs, National Endowment for the Humanities, Washington, DC 20506. Telephone: (202) 606-8463. Use the same numbers for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Poetry as a Form of Life, Life as a Form of Poetry (summer seminar for school teachers); (2) Narrative Theory: Rhetoric and Ethics in Fiction and Nonfiction (summer seminar for college teachers); (3) Houses of Mortals and Gods: Latin Literature in Context (summer institute for school teachers); (4) Bharata Darshan: The Past and the Present in the Study of India's History and Culture (summer institute for college teachers); (5) Two one-week school teacher workshops, held at Mount Vernon, for 100 school teachers, on George Washington and the genesis of the United States Constitution (Landmarks of American History and Culture); (6) Two one-week workshops for fifty community college faculty to focus on the region surrounding Concord, Massachusetts, as a center of Transcendentalism and social reform in the nineteenth century (Landmarks of American History and Culture); (7) A major metropolitan library received an award to conduct two-day Picturing America conferences on the theme "Art and the American Revolution" for two groups of forty K-6 educators each; the library's educational staff and visiting scholars will collaborate in using selections from Picturing America and poems, biographies, and other literature for young readers as a stimulus to discuss themes in American history and American life, as well as to develop art appreciation skills (Picturing America School Collaboration Projects).

CRITERIA FOR SELECTING PROPOSALS:

In evaluating proposals, the following criteria apply: (1) Intellectual quality and significance; (2) Impact; (3) Feasibility. Applicants to Workshops, Seminars and Institutes selected to receive stipends are those who can derive the greatest benefit from participation in and who can make the greatest contribution to the program.

45.164 PROMOTION OF THE HUMANITIES-PUBLIC PROGRAMS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television and radio and other digital media.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are made to support planning, scripting, development, production, and implementation costs.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions may apply.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and

OMB Circular No. A-122 for nonprofit organizations apply.

Preapplication Coordination:

Applicants are strongly encouraged, but not required, to submit to the program a preliminary draft for response by program staff at least four weeks in advance of the application deadline. The standard application forms as furnished by the Federal agency and required by OMB Circular No. 102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. Application forms and guidelines are available online (<http://www.neh.gov/grants/grants.html>) and upon request from the headquarters office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

August 27, 2008 and January 21, 2009 for planning and implementation for Interpreting America's Historic Places, America's Historical and Cultural Organizations, and America's Media Makers.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

None, but applicant may reapply.

Renewals:

Renewals may be granted and are processed as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Source: Program Guidelines. Contact: See Headquarters Office below.

Length and Time Phasing of Assistance:

Varies, but usually 6 months to 2 years. Funds must be obligated during the grant period.

Reports:

Progress reports are required at least annually but no more frequently than quarterly. Cash reports are required quarterly. Final progress and expenditure reports are due within 90 days after completion or termination of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Grants) FY 07 \$13,847,063*; FY 08 est \$12,709,000; and FY 09 est \$12,709,000. * Includes additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 06 from \$10,000 to \$800,000; \$122,000.

PROGRAM ACCOMPLISHMENTS:

fiscal year 06,672 applications and 225 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of Public Programs, National Endowment for the Humanities, Room 426, Washington, DC 20506. Telephone: (202) 606-8269 Use the same number for FTS.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129, Promotion of the Humanities_Federal/State Partnership; 45.168, Promotion of the Humanities_We the People.

EXAMPLES OF FUNDED PROJECTS:

(1) A nonprofit organization received a grant for a national film viewing and discussion program in libraries on the history and interpretation of jazz. (2) A public television station received a grant for a four-hour television documentary exploring the life and times of Andrew Jackson. (3) A museum received a grant for an exhibition and guided tours at the cottage that Abraham Lincoln used as a seasonal retreat from 1862 to 1864. (4) A community college received a grant for a website, conference, guided tours, and lecture series exploring the Civil War in the interior Mid-Atlantic region.

CRITERIA FOR SELECTING PROPOSALS:

A successful application will demonstrate national significance or impact, outreach to groups of Americans not often engaged in public humanities projects, collaboration among cultural institutions and organizations as well as other similar or different groups, and use of a broad spectrum of the most effective formats, technologies, and venues for lifelong learning in the humanities. Although every proposal will not be expected to meet all of the criteria outlined above, the Division will give priority to applications that further these objectives. As part of the We the People initiative, the division currently has two special initiatives: Family and Youth Programs in American History and America's Historic Places. See program guidelines for details.

45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

AUTHORIZATION: To support scholarly, educational, preservation, and public projects that explore significant events and themes in our nation's history and culture and that advance knowledge of the principles that define America.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

For We the People Bookshelf: Libraries launch community programs based on readings on important themes such as courage and freedom. For We the People Picturing America: Libraries are required to encourage the use of the posters by teachers in the classroom, keep the posters on continual exhibit in classrooms or public locations in the school. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

Applicant Eligibility:

As part of its We the People initiative, NEH is collaborating with the American Library Association to distribute the We the People Bookshelf, a program to encourage young people to read and understand great literature while exploring themes in American history. Applications are accepted from all public libraries, including systems and branches, and school libraries (K-12), including public, private, parochial, or charter schools, in the United States and its territories. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively. NEH is collaborating with the American Library Association to present a new program called We the People Picturing America. This program introduces young people to some of America's art treasures and is designed to promote the teaching, study, and understanding of American history and culture in K-12 schools.

Beneficiary Eligibility:

For We the People Picturing America, applications will be accepted from all K-12 public, private, parochial, and charter schools and home school consortia in the United States and its territories. School and public libraries are invited to apply to receive the We the People Bookshelf, which consists of fifteen thematically related books and supplemental materials to help with publicity and the organization of public programs. For Landmarks of American History: Workshops for School Teachers Workshops and Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

Credentials/Documentation:

None.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have

no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by e-mail attachment to: odh@neh.gov This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications to NEH must be submitted via Grants.gov. We the People Bookshelf and We the People Picturing America: printed application materials are available by calling (202)606-8446, sending an e-mail to info@neh.gov, or writing to NEH, Office of Public Affairs, 1100 Pennsylvania Avenue, N.W., Washington, DC 20506. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty, program guidelines are available through the NEH Division of Education Programs; and for We the People Challenge Grants in United States History, Institutions and Culture, the NEH Office of Challenge Grants.

Award Procedure:

We The People Bookshelf and We the People Picturing America: Each application is assessed by staff at NEH in collaboration with the staff of the American Library Association. Evaluators may take geographical and demographic distribution into consideration when selecting participating libraries. The Chairman of the National Endowment for the Humanities makes the final decision.

Deadlines:

October 16 and April 2 for Digital Humanities Start-Up Grant applications; April 9 for Institutes for Advanced Topics in the Digital Humanities applications; and July 15 for NEH/DOE Humanities High Performance Computing Program applications. E-Mail the Office of Digital Humanities for specific information.

Range of Approval/Disapproval Time:

Approximately four - seven months.

Appeals:

We The People Bookshelf and We the People Picturing America: None. For other We the People grants, applicant may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Not applicable.

Formula and Matching Requirements:

Contact NEH Office of Challenge Grants for information on matching requirements for We the People Challenge Grants in United States History, Institutions and Culture.

Length and Time Phasing of Assistance:

Full-time or part-time activities for periods ranging from eighteen to thirty-six months.

Reports:

We The People Bookshelf and We the People Picturing America: Not applicable. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

Audits:

We The People Bookshelf and We the People Picturing America: Not applicable. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

Records:

We The People Bookshelf and We the People Picturing America: Not applicable. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

Account Identification:

59-0200-0-1-503.

Obligations:

(Grants) FY 07 Not separately identifiable.; FY 08 est \$1,969,000; and FY 09 est \$2,000,000.

Range and Average of Financial Assistance:

We the People Bookshelf winners receive fifteen books and promotional materials, including bookplates, bookmarks, and posters. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

PROGRAM ACCOMPLISHMENTS:

For We The People Bookshelf and We the People Picturing America, contact the

Division of Public Programs. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, contact the Division of Education Programs and the Office of Challenge Grants respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. We the People Bookshelf and We the People Picturing America: application materials are available by calling (202)606-8446, sending an e-mail to info@neh.gov, or writing to NEH, Office of Public Affairs, 1100 Pennsylvania Avenue, NW., Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Public Information, National Endowment for the Humanities, Room 402, Washington, DC 20506. Telephone: (202) 606-8400. Office of Challenge Grants, National Endowment for the Humanities, Room 420, Washington, DC 20506. (202) 606-8309. Division of Education Programs, National Endowment for the Humanities, Room 302, Washington, DC 20506. (202)606-8463. Questions about the We the People Bookshelf can be answered by the American Library Association staff at (1 800)545-2433, ext. 5045 and publicprograms@ala.org or by NEH staff at (202) 606-8589 and info@neh.gov. Hearing-impaired applicants can contact NEH via TDD at (1 866) 372-2930.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129, Promotion of the Humanities_Federal/State Partnership; 45.130, Promotion of the Humanities_Challenge Grants; 45.149, Promotion of the Humanities_Division of Preservation and Access; 45.162, Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development; 45.164, Promotion of the Humanities_Public Programs.

EXAMPLES OF FUNDED PROJECTS:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

CRITERIA FOR SELECTING PROPOSALS:

We The People Bookshelf applications are evaluated according to the following criteria: Are the proposed programs well conceived, and do they have broad community appeal? Do the proposed programs explore the theme of courage? Will the proposed programs engage young readers? Does the library provide a long-term plan for promoting ongoing interest in the Bookshelf? For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for We the People Challenge Grants in United States History, Institutions and Culture, consult the guidelines of the NEH Division of Education Programs and Office of Challenge Grants respectively.

45.169 PROMOTION OF THE HUMANITIES-OFFICE OF DIGITAL HUMANITIES

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To support projects that utilize or study the impact of digital technology, including those that deploy digital technologies and methods to enhance our understanding of a topic or issue; those that study the impact of digital technology on the humanities--exploring the ways in which it changes how we read, write, think, and learn; and those that digitize important materials thereby increasing the public's ability to search and access humanities information.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Digital Humanities Start-Up Grants support the planning or initial stages of digital humanities initiatives in all areas of the humanities, including research that brings new digital approaches to the study of the humanities or that examines the implications for humanities scholarship on the use of emerging technologies; new digital modes of publication facilitating the dissemination of humanities scholarship in advanced academic as well as informal or formal educational settings at all academic levels; exploration of digital methods or approaches to preserve, archive, and make accessible traditional (i.e., analogue) and "new media" resources in the humanities; planning new digital tools for preserving, making accessible and analyzing humanities data; and programs addressing the innovative use of emerging digital technologies in formal and

informal educational settings, including public forums such as museums, libraries, historic sites, and broadcast media, and K-12 schools and post-secondary institutions. Digital Humanities Start-Up Grants support full-time or part-time activities for periods up to eighteen months. Support is available for various combinations of scholars, consultants, and research assistants; project-related travel; and technical support and services. Up to 20% of the total grant may also be used for the acquisition of computing hardware and software. All grantees are expected to communicate the results of their work to appropriate scholarly and public audiences. In order to facilitate dissemination and the maximum usage of the projects that are ultimately developed through the Digital Humanities Start-Up Grants, applicants are strongly encouraged to base their projects on open source and fully accessible software.

Applicant Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Beneficiary Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by E-mail attachment (DHI@neh.gov), Fax: (202) 606-8216, or overnight mail (Digital Humanities Start-Up Grants, c/o OIRM, Room 203, 1100 Pennsylvania Avenue N.W. Washington, DC 20506. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for this program must be submitted via Grants.Gov. Before using Grants.gov for the first time, each organization must register with the Web site to create an institutional profile.

Award Procedure:

Knowledgeable persons outside NEH will read each application and advise the agency about its merits. The Endowment's staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman on grants. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

None.

Range of Approval/Disapproval Time:

Applicants will be notified by mail within five months after the submission of the application.

Appeals:

None, but applicant may reapply.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements. Source: Program Guidelines. Contact: See Headquarters Office below.

Length and Time Phasing of Assistance:

Digital Humanities Start-Up Grants support full-time or part-time activities for periods up to eighteen months.

Reports:

Final performance reports will be required. A Federal Cash Transactions Report will be due within 30 days of the end of each calendar quarter. A final Financial Status Report will be due within 90 days after the completion date of the award period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental recipients, audits are to be carried

out in accordance with the provisions set forth in OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations Uniform Administrative Requirements" and with OMB Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

Not separately identifiable.

Range and Average of Financial Assistance:

Digital Humanities Start-Up Grants can comprise up to \$30,000 in outright funds. The use of federal matching funds is encouraged.

PROGRAM ACCOMPLISHMENTS:

New program; not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Public Information, National Endowment for the Humanities, Room 402, Washington, DC 20506. Telephone: (202) 606-8400.

Web Site Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.149. Promotion of the Humanities Division of Preservation and Access.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Evaluators apply the following criteria: (1) intellectual significance of the long-term project, including its potential to enhance research, teaching, and learning in the humanities; the likelihood that it will stimulate new research or approaches to the humanities or use new digital technologies to communicate humanities scholarship to broad audiences; its relationship to larger themes in the humanities; and the significance of the material on which the project is based; (2) quality of the specific start-up activities that will be funded through the grant as an initial step leading to the fulfillment of the long-term project goals; the appropriateness of the proposed methods; the appropriateness of the technology employed in the project; the feasibility of the work plan; (3) qualifications, expertise, and levels of commitment of the project director and key project staff or contributors; (4) promise of quality, usefulness to the targeted audience, and/or impact on scholarship of the long-term project; soundness of the dissemination plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, microform, digital format, or a combination of media; (5) quality of the assessment and evaluation of the start-up activities, and the potential contribution of this evaluation to the realization of the long-term goals; and (6) potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results.

45.201 ARTS AND ARTIFACTS INDEMNITY

FEDERAL AGENCY:

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Laws 89-209, and 94-158, 20 U.S.C. 971 et seq.

OBJECTIVES:

To provide for indemnification against loss or damage for eligible art works, artifacts, and objects (1) when borrowed from abroad for exhibition in the U.S.; (2) when borrowed from the U.S. for exhibition abroad, preferably when there is an exchange exhibition from a foreign country; and (3) when borrowed from the U.S. for exhibition in the U.S. as part of exhibitions from abroad which include foreign-owned objects.

TYPES OF ASSISTANCE:

Insurance.

USES AND USE RESTRICTIONS:

Certificates of Indemnity will be issued for the following items insuring them against loss or damage: (1) Works of art, including tapestries, paintings, sculpture, folk art, graphics, and craft arts; (2) manuscripts, rare documents,

books, and other printed or published materials; (3) other artifacts or objects; and (4) photographs, motion pictures, or audio and video tape. Items must be of educational, cultural, historical or scientific value; and their exhibition must be certified by the Department of State to be in the national interest. Restrictions: No indemnity agreement for a single exhibition shall exceed \$1.2 billion. Deductible amounts are on a sliding scale based on the value of certificate, as follows: \$15,000 deductible for exhibitions of up to \$2 million in value; \$25,000 for valuation of \$2 million to \$10 million; \$50,000 for valuation of \$10 million to \$125 million; \$100,000 for valuation of \$125 million to \$200 million; \$200,000 for valuation of \$200 million to \$300 million; \$300,000 for valuation of \$300 million to \$400 million; \$400,000 for valuation of \$400 million to \$500 million; and \$500,000 for valuation above \$500 million. The total amount of indemnities that can be outstanding at any one time is \$10 billion.

Applicant Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions may apply.

Beneficiary Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions will benefit. Audiences of indemnified exhibitions will also benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Assistance is available from the Indemnity Administrator, National Endowment for the Arts, Washington, DC 20506-0001. This program is excluded from coverage under OMB Circular No. A-102; it is also excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should obtain guidelines and application instructions from the Arts Endowment's Web site at www.arts.gov. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Federal Council on the Arts and the Humanities makes final decisions on all awards based on recommendations from consulting panels of professionals in the field.

Deadlines:

April 1 and October 1 of each year.

Range of Approval/Disapproval Time:

Dependent on meetings of the Federal Council on the Arts and the Humanities; approximately three months.

Appeals:

None.

Renewals:

Requests for extensions and renewals must compete against new applications.

Formula and Matching Requirements:

Coverage does not include the first \$15,000 to \$500,000 based on the deductible formula for the value of an exhibition. The applicant must assume the deductible for the exhibition.

Length and Time Phasing of Assistance:

The time of assistance varies.

Reports:

Reports of loss or damage at termination of exhibit.

Audits:

Final audits may be made at the discretion of the Federal Council on the Arts and the Humanities for up to three years after the termination of the indemnity time period.

Records:

Applicants are responsible for complete packing, shipping, security and condition reports on all indemnified items.

Account Identification:

59-0100-0-1-503.

Obligations:

(Claim payments) FY 07 \$0; FY 08 \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Thirty-nine certificates of indemnity were issued in fiscal year 2007 for 39 exhibitions. It is estimated that 45 certificates of indemnity will be issued in fiscal year 2008 for 45 exhibitions. It is anticipated that 50 certificates of indemnity will be issued in fiscal year 2009 for 50 exhibitions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines are available on the Arts Endowment's Web site at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Indemnity Administrator, National Endowment for the Arts, Washington, DC 20506-0001. Contact: Alice M. Whelihan. Telephone: (202) 682-5574.

Web Site Address:

www.arts.gov.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Current indemnified exhibitions are listed on the Web site, www.arts.gov.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Indemnity Administrator.

45.301 MUSEUMS FOR AMERICA

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION OF THE ARTS, AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To support projects and activities that strengthen museums as active resources for lifelong learning and as important institutions in the establishment of livable communities.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Funds can be used for ongoing museum programs, exhibitions, or activities, research, institutional planning, collections management, purchase of equipment or services, or other activities that support the efforts of museums to upgrade and integrate new technologies into their overall institutional effectiveness.

Applicant Eligibility:

All types of museums, large and small, are eligible for funding. Eligible museums include aquariums, arboretums and botanical gardens, art museums, general museums, historic houses and sites, history museums, nature centers, natural history and anthropology museums, planetariums, science and technology centers, specialized museums, youth museums, and zoological parks. Federally operated and for-profit museums may not apply for funds. An eligible applicant must be: (1) either a unit of state or local government or a private nonprofit organization that has tax-exempt status under the Internal Revenue Code; (2) located in one of the 50 states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; and (3) a museum that, using a professional staff, (i) is organized on a permanent basis for essentially educational or aesthetic purposes, (ii) owns or uses tangible objects, either animate or inanimate, (iii) cares for these objects, and (iv) exhibits these objects to the general public on a regular basis through facilities which it owns or operates. An organization uses a professional staff if it employs at least one professional staff member, or the full-time equivalent, whether paid or unpaid, primarily engaged in the acquisition, care, or exhibition to the public of objects owned or used by the institution. An organization "exhibits objects to the general public" if such exhibition is a primary purpose of the institution. Further, an organization that exhibits objects to the general public for at least 120 days a year shall be deemed to exhibit objects to the general public on a regular basis. An organization that exhibits objects by appointment may meet the requirement to exhibit objects to the general public on a regular basis if it can establish, in light of the facts under all the relevant circumstances, that this method of exhibition does not unreasonably restrict the accessibility of the institution's exhibits to the general public. Please note that an organization which does not have as a primary purpose the exhibition of objects to the general public, but which can demonstrate that it exhibits objects to the general public on a regular basis as a significant, separate, distinct, and continuing portion of its activities, and that it otherwise meets the museum eligibility requirements, may be determined to be eligible as a museum under these guidelines. A museum located within a parent organization that is a state or local government or multipurpose nonprofit entity, such as a municipality, university, historical society, foundation, or cultural center, may apply on its own behalf if the museum: (1) is able to independently fulfill all the eligibility requirements listed above, (2) functions as a discrete unit within the parent organization, (3) has its own fully segregated and itemized operating budget, and (4) has the authority to make the application on its own. When any of the last three conditions cannot be met, a museum may apply through its parent organization. Prospective applicants that cannot fulfill all of these requirements should contact IMLS to discuss their eligibility before applying. IMLS may require additional supporting documentation from the applicant to determine the museum's autonomy. Each eligible applicant within a single parent organization should clearly delineate its own programs and operations in the application narrative. A parent organization that controls multiple museums that are not autonomous but are otherwise eligible may submit only one application per grant program; the application may be submitted by the parent organization on behalf of one or more of the eligible museums.

Beneficiary Eligibility:

Public and private nonprofit museums.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and

other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

November 1.

Range of Approval/Disapproval Time:

Approximately nine months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Awards will not exceed \$150,000 and must be matched one-to-one, but may include in-kind contributions.

Length and Time Phasing of Assistance:

Projects generally may be carried out for a period of up to 24 months from the start date, but up to 36 months with strong justification.

Reports:

The Institute of Museum and Library Services requires interim and final financial and performance reports from Museums for America grant recipients.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant and contract recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$17,400,000; FY 08 est \$16,852,000; and FY 09 est \$22,165,000.

Range and Average of Financial Assistance:

Between \$5,000 and \$150,000.

PROGRAM ACCOMPLISHMENTS:

For FY 07, the Museums for America grant program made 158 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at <http://www.imls.gov> for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, NW, Ninth Floor, Washington, DC 20036-5802. Contacts: Sandra Narva, Telephone: (202) 653-4634, E-mail: snarva@imls.gov. Stephen Schwartzman, Telephone: (202) 653-4641, E-mail: sschwartzman@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.302, Museum Assessment Program; 45.303, Conservation Project Support; 45.304, Conservation Assessment Program; 45.307, 21st Century Museum Professionals; 45.308, Native American/Native Hawaiian Museum Services Program; 45.309, Museum Grants for African American History and Culture; 45.312, National Leadership Grants.

EXAMPLES OF FUNDED PROJECTS:

Museums for America grants support projects and activities that strengthen museums as active resources for lifelong learning and as key players in the establishment of livable communities.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines. Criteria include but are not limited to: evidence the project or activities fit into and further the institution's strategic plan and mission; extent to which the project is of sufficient scope to enhance or expand institutional capacity in order to carry out strategic goals; evidence the project

proposes efficient, effective, and reasonable approaches to accomplish clear goals and objectives; evidence that the applicant will effectively complete the project activities through the deployment and management of resources, including money, facilities, equipment, and supplies; evidence of sound financial management, coupled with an appropriate and cost-efficient budget; evidence that the museum's audience(s) will be better served by the successful completion of this project, and evidence of the beneficial impact the project activities will have on the institution, its staff, and its audience(s); qualifications and how they will be able to accomplish the necessary tasks for the project, especially if they are current museum staff with other duties. If hiring new personnel, describe the position in detail and the qualifications of appropriate candidates. If the project includes digitization of museum collections, complete Specifications for Projects Involving Digitization on pages 5.8, 5.9. Review Criteria: Evidence that the project personnel are qualified to accomplish project goals and activities. Extent to which personnel can commit adequate time to manage and implement the project activities. Extent to which personnel demonstrate appropriate experience and expertise in the specific area the project addresses. If project includes digitization, evidence that appropriate procedures will be followed.

45.302 MUSEUM ASSESSMENT PROGRAM

(MAP)

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To help museums assess their strengths and weaknesses, and to plan for the future. The Museum Assessment Program is supported through a cooperative agreement between the Institute of Museum and Library Services and the American Association of Museums.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The program provides technical assistance for four kinds of assessments: (1) collections management, (2) governance, (3) institutional, and (4) public dimension. Collections management assessment supports a review of collections use, planning, and policies and procedures in the context of overall museum operations. Governance assessment supports an examination of the roles, responsibilities, and performance of governing authorities and advisory boards. The assessment improves the ability of the museum's governing authority to fulfill its stewardship responsibilities and contribute to the success of the organization. Institutional assessment supports an overview of the management and operations of the entire museum and helps the museum set priorities, prepare for strategic planning, and operate more efficiently, thereby improving its services to the community and increasing its base of support. Public dimension assessment supports an evaluation of the way in which the museum serves its community and audiences through exhibits, programs, and other services, and communicates with its audience through public relations, planning, and evaluation.

Applicant Eligibility:

A museum located in the 50 states of the United States, the Commonwealth of Puerto Rico, American Samoa, the Virgin Islands, the Northern Mariana Islands, the Trust Territories of the Pacific Islands, Guam, the Republic of the Marshall Islands, The Federated States of Micronesia, and the Republic of Palau, or the District of Columbia may apply for a grant under this Act. A public or private nonprofit organization, such as a municipality, college, or university which is responsible for the operation of a museum, if necessary, may apply on behalf of the museum. A museum operated by a department or agency of the Federal government is not eligible to apply. Under the IMLS definition, a museum is a public or private nonprofit institution which is organized on a permanent basis for essentially educational or aesthetic purposes and which, using a professional staff: (1) Owns or uses tangible objects, whether animate or inanimate; (2) cares for these objects; and (3) exhibits them to the general public on a regular basis. A museum must have at least one staff member, paid or unpaid, who devotes his or her time primarily to the acquisition, care or exhibition of objects. The definition makes clear that the term "museum" includes aquariums and zoological parks; botanical gardens and arboretums; nature centers; art, history, (including historic buildings and sites), natural history, children's, general and specialized museums, science and technology centers and planetariums.

Beneficiary Eligibility:

Public and private nonprofit museums benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the American Association of Museums must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To participate in the Museum Assessment Program, a museum must contact the American Association of Museums (AAM), 1575 Eye Street, NW, Suite 400, Washington, DC 20005. Telephone: (202) 289-9118. E-mail: map@aam-us.org.

Award Procedure:

Proposals for participation are reviewed by the American Association of Museums.

Deadlines:

February 15.

Range of Approval/Disapproval Time:

Five months.

Appeals:

Appeals may be made in writing to the director of the American Association of Museums.

Renewals:

Not applicable.

Formula and Matching Requirements:

MAP is funded by the Institute of Museum and Library Services. MAP museums share a percentage of the cost of the assessment.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Participants submit a final report to the American Association of Museums.

Audits:

Audits not required for application.

Records:

Grant and contract recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$442,000; FY 08 \$434,278; FY 09 est \$471,000.

Range and Average of Financial Assistance:

In FY 07, 111 museums participated in MAP. Grants were awarded in the following areas: collections management (26), governance (11), public dimension (27), and institutional assessment (47).

PROGRAM ACCOMPLISHMENTS:

In FY 07, 111 museums participated in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Christopher J. Reich, Senior Program Officer; Telephone: (202) 653-4685; E-mail: creich@imls.gov.

Web Site Address:

www.imls.gov; www.aam-us.org.

RELATED PROGRAMS:

45.301, Museums for America; 45.303, Conservation Project Support; 45.304, Conservation Assessment Program; 45.312, National Leadership Grants; 15.904, Historic Preservation Fund Grants-In-Aid; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

The Museum Assessment Program funds all types of museums, including historic house museums, science and technology centers, botanical gardens, and art museums.

CRITERIA FOR SELECTING PROPOSALS:

To participate in MAP, a museum must contact the American Association of Museums, 1575 Eye Street, N.W., Suite 400, Washington, DC 20005. Telephone: (202) 289-9118. E-mail: map@aam-us.org.

45.303 CONSERVATION PROJECT SUPPORT

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To help museums identify conservation needs and priorities and perform activities to ensure the safekeeping of their collections.

TYPES OF ASSISTANCE:

Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Conservation Project Support grants help museums develop a logical,

institution-wide approach to caring for their collections. Collections may be in one of four categories: (1) nonliving, (2) natural history, (3) living plants, or (4) living animals. Grants are available for four broad types of conservation activities: (1) surveys (general, detailed condition, or environmental), (2) training, (3) treatment, and (4) environmental improvements. An institution may submit one application each fiscal year. Applications must demonstrate that the primary goal of the project is conservation care and not collection management or maintenance.

Applicant Eligibility:

All types of museums, large and small, are eligible for funding. Eligible museums include aquariums, arboretums and botanical gardens, art museums, general museums, historic houses and sites, history museums, nature centers, natural history and anthropology museums, planetariums, science and technology centers, specialized museums, youth museums, and zoological parks. Federally operated and for-profit museums may not apply for funds. An eligible applicant must be: (1) either a unit of state or local government or a private nonprofit organization that has tax-exempt status under the Internal Revenue Code; (2) located in one of the 50 states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; and (3) a museum that, using a professional staff, (i) is organized on a permanent basis for essentially educational or aesthetic purposes, (ii) owns or uses tangible objects, either animate or inanimate, (iii) cares for these objects, and (iv) exhibits these objects to the general public on a regular basis through facilities which it owns or operates. An organization uses a professional staff if it employs at least one professional staff member, or the fulltime equivalent, whether paid or unpaid, primarily engaged in the acquisition, care, or exhibition to the public of objects owned or used by the institution. An organization "exhibits objects to the general public" if such exhibition is a primary purpose of the institution. Further, an organization that exhibits objects to the general public for at least 120 days a year shall be deemed to exhibit objects to the general public on a regular basis. An organization that exhibits objects by appointment may meet the requirement to exhibit objects to the general public on a regular basis if it can establish, in light of the facts under all the relevant circumstances, that this method of exhibition does not unreasonably restrict the accessibility of the institution's exhibits to the general public. Please note that an organization which does not have as a primary purpose the exhibition of objects to the general public, but which can demonstrate that it exhibits objects to the general public on a regular basis as a significant, separate, distinct, and continuing portion of its activities, and that it otherwise meets the museum eligibility requirements, may be determined to be eligible as a museum under these guidelines. A museum located within a parent organization that is a state or local government or multipurpose nonprofit entity, such as a municipality, university, historical society, foundation, or a cultural center, may apply on its own behalf, if the museum: (1) is able to independently fulfill all the eligibility requirements listed above, (2) functions as a discrete unit within the parent organization, (3) has its own fully segregated and itemized operating budget, and (4) has the authority to make the application on its own. When any of the last three conditions cannot be met, a museum may apply through its parent organization. Prospective applicants that cannot fulfill all of these requirements should contact IMLS to discuss their eligibility before applying. The IMLS may require additional supporting documentation from the applicant to determine the museum's autonomy. Each eligible applicant within a single parent organization should clearly delineate its own programs and operations in the application narrative. A parent organization that controls multiple museums that are not autonomous but are otherwise eligible may submit only one application per grant program; the application may be submitted by the parent organization on behalf of one or more of the eligible museums.

Beneficiary Eligibility:

Public and private nonprofit museums benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single website for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field reviewers, panels of experts, and the director to determine the grants awarded to eligible applicants.

Deadlines:

October 1.

Range of Approval/Disapproval Time:

Approximately seven months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

IMLS may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Grants will not exceed \$150,000. Grants require a 1:1 match on the total request.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 24 months, though 36 months may be approved with strong justification.

Reports:

The Institute of Museum and Library Services requires interim and final reports.

Audits:

Audits not required for application.

Records:

Grant recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$2,772,000; FY 08 \$2,724,000; FY 09 est \$3,801,000.

Range and Average of Financial Assistance:

In fiscal year 2008, awards ranged from \$8,900 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2008, 34 grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at <http://www.imls.gov> for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Christine Henry, Telephone: (202) 653-4674, E-mail: chenry@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.301, Museums for America; 45.302, Museum Assessment Program; 45.304, Conservation Assessment Program; 45.312, National Leadership Grants; 15.904, Historic Preservation Fund Grants-In-Aid; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

Conservation Project Support grants are for general conditions/surveys of museum collections and environments, staff conservation training, treatment of collections, and improving existing environmental conditions to better care for living plant and animal collections.

CRITERIA FOR SELECTING PROPOSALS:

Museums must document that the project is the museum's highest priority for collections care. See program guidelines.

45.304 CONSERVATION ASSESSMENT PROGRAM

(CAP)

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To support the efforts of museums to conserve the nation's historic, scientific, and cultural heritage. The Conservation Assessment Program (CAP) is supported through a cooperative agreement between the Institute of Museum and Library Services and Heritage Preservation, Inc.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements).

USES AND USE RESTRICTIONS:

CAP funds an overall assessment of the conditions of a museum's environment and collections to identify conservation needs and priorities.

Applicant Eligibility:

A museum located in the 50 states of the United States, the Commonwealth of Puerto Rico, American Samoa, the Virgin Islands, the Northern Mariana Islands, the Trust Territories of the Pacific Islands, Guam, the Republic of the Marshall Islands, The Federated States of Micronesia, and the Republic of Palau, or the District of Columbia may apply for a grant under this Act. A public or private nonprofit organization, such as a municipality, college, or university which is

responsible for the operation of a museum, if necessary, may apply on behalf of the museum. A museum operated by a department or agency of the Federal government is not eligible to apply. Under the IMLS definition, a museum is a public or private nonprofit institution which is organized on a permanent basis for essentially educational or aesthetic purposes and which, using a professional staff: (1) Owns or uses tangible objects, whether animate or inanimate; (2) cares for these objects; and (3) exhibits them to the general public on a regular basis. A museum must have at least one staff member, paid or unpaid, who devotes his or her time primarily to the acquisition, care or exhibition of objects. The definition makes clear that the term "museum" includes aquariums and zoological parks; botanical gardens and arboreta; nature centers; art, history, (including historic buildings and sites), natural history, children's, general and specialized museums, science and technology centers and planetariums.

Beneficiary Eligibility:

Public and private nonprofit museums benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by Heritage Preservation, Inc. must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

To participate in CAP, a museum must contact Heritage Preservation, Inc., 1012 14th Street, N.W., Suite 1200, Washington, DC 20005. Telephone: (202) 233-0800. Fax: (202) 233-0807.

Award Procedure:

Proposals for participation are reviewed by Heritage Preservation, Inc.

Deadlines:

December 1.

Range of Approval/Disapproval Time:

Five months.

Appeals:

Appeals may be made in writing to the director of Heritage Preservation, Inc.

Renewals:

Not applicable.

Formula and Matching Requirements:

45 CFR 1180. CAP is funded by the Institute of Museum and Library Services. CAP museums share a percentage of the cost of the assessment.

Length and Time Phasing of Assistance:

None.

Reports:

Participants submit a final report to Heritage Preservation, Inc.

Audits:

Audits not required for application.

Records:

Grant and contract recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$807,000; FY 08 \$793,000; and FY 09 est \$814,000.

Range and Average of Financial Assistance:

In FY 08, 101 museums participated in the program.

PROGRAM ACCOMPLISHMENTS:

In FY 08, 101 museums participated in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Christine Henry, Telephone: (202) 653-4674, E-mail: chenry@imls.gov.

Web Site Address:

www.imls.gov; www.heritagepreservation.org/.

RELATED PROGRAMS:

45.301, Museums for America; 45.302, Museum Assessment Program; 45.303, Conservation Project Support; 45.312, National Leadership Grants; 15.904, Historic Preservation Fund Grants-In-Aid; 15.914, National Register of Historic Places; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

CAP is appropriate for small to medium-sized museums. All types of museums, including historic houses, botanical gardens, anthropology museums, ethnic museums, and zoos are eligible to participate in CAP.

CRITERIA FOR SELECTING PROPOSALS:

To participate in CAP, a museum must contact Heritage Preservation, Inc., 1012 14th Street, N.W., Suite 1200, Washington, DC 20005. Telephone: (202) 233-0800. Fax: (202) 233-0807.

45.307 21ST CENTURY MUSEUM PROFESSIONALS

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To support projects that address the preparation of museum professionals for the future by updating and expanding their knowledge and skills.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The program supports a range of activities, including professional training in all areas of museum operations and leadership development. Examples of activities may include development and implementation of classes, seminars, or workshops; resources and activities to support the development of museum leaders; organizational support for the development of internship and fellowship programs; support for the enhancement of preprofessional training programs; collection, assessment, or development of information that leads to better museum operations; dissemination of information to museum professionals; and activities that increase or strengthen the use of contemporary technology tools. Grants under the 21st Century Museum Professionals program are intended to affect multiple museums by reaching broad groups of museum professionals throughout a city, county, state, region, or the nation, and increase their capacity to serve their audiences. Grant funds may not be used for construction, contributions to endowment funds, social activities, ceremonies, entertainment, collection acquisition, fundraising costs, or pre-grant costs.

Applicant Eligibility:

An eligible applicant must (1) be either a unit of state or local government or a private nonprofit organization that has tax-exempt status under the Internal Revenue Code; (2) be located in one of the 50 states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; and (3) qualify as one of the following three types of organizations: (i) a museum that, using a professional staff, is organized on a permanent basis for essentially educational or aesthetic purposes; owns or uses tangible objects, either animate or inanimate; cares for these objects; and/or exhibits these objects to the general public on a regular basis through facilities which it owns or operates (an institution uses a professional staff if it employs at least one professional staff member, or the full-time equivalent, whether paid or unpaid, primarily engaged in the acquisition, care, or exhibition to the public of objects owned or used by the institution. An institution exhibits objects to the general public if such exhibition is a primary purpose of the institution. An institution that exhibits objects to the general public for at least 120 days a year is deemed to exhibit objects to the general public on a regular basis. An institution that exhibits objects by appointment may meet the requirement to exhibit objects to the general public on a regular basis if it can establish, in light of the facts under all the relevant circumstances, that this method of exhibition does not unreasonably restrict the accessibility of the institution's exhibits to the general public. An institution that does not have as a primary purpose the exhibition of objects to the general public, but that can demonstrate that it exhibits objects to the general public on a regular basis as a significant, separate, distinct, and continuing portion of its activities, and that otherwise meets the museum eligibility requirements, may be determined to be eligible as a museum under these guidelines); (ii) an organization or association that engages in activities designed to advance the well-being of museums and the museum profession; or (iii) an institution of higher education, including public and nonprofit universities. A museum located within a parent organization that is a state or local government or multipurpose nonprofit entity, such as a municipality, university, historical society, foundation, or a cultural center, may apply on its own behalf if the museum: (1) is able to independently fulfill all the eligibility requirements listed above, (2) functions as a discrete unit within the parent organization, (3) has its own fully segregated and itemized operating budget, and (4) has the authority to make the application on its own. When any of the last three conditions cannot be met, a museum may apply through its parent organization. Prospective applicants that cannot fulfill all of these requirements should contact IMLS to discuss their eligibility before applying. IMLS may require additional supporting documentation from the applicant to determine the museum's autonomy. Each eligible applicant within a single parent organization should clearly delineate its own programs and operations in the application narrative. A parent organization that controls multiple museums that are not autonomous but are otherwise eligible may submit only one application per grant program; the application may be submitted by the parent organization on behalf of one or more of the eligible museums.

Beneficiary Eligibility:

All types of museums.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for state and local governments. Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions.

Preapplication Coordination:

The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

March 15.

Range of Approval/Disapproval Time:

Six months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Awards will not exceed \$500,000 and must be matched by a one-to-one applicant cost share. Applicant cost share may include in-kind contributions.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 36 months from the project start date.

Reports:

Grant recipients are required to submit semi-annual performance reports every six months during the grant period, and an annual financial report. Final performance and financial reports are also required at the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$2,074,017; FY 08 \$965,000; FY 09 est \$2,141,000.

Range and Average of Financial Assistance:

In FY 07, the average grant was \$207,402, and the range was \$29,848 to \$423,214.

PROGRAM ACCOMPLISHMENTS:

The program funded 10 projects in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, NW, Ninth Floor, Washington, DC 20036-5802. Contact: Christopher J. Reich, Telephone: (202) 653-4685, E-Mail: creich@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.301, Museums for America; 45.302, Museum Assessment Program; 45.312, National Leadership Grants.

EXAMPLES OF FUNDED PROJECTS:

The 21st Century Museum Professionals grant program funds projects that address the preparation of museum professionals for the future by updating and expanding their knowledge and skills.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.308 NATIVE AMERICAN/NATIVE HAWAIIAN MUSEUM SERVICES PROGRAM

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To enable Indian tribes and Alaska Native villages and corporations and nonprofit organizations that primarily serve and represent Native Hawaiians to benefit their communities and audiences through strengthened museum services in the areas of programming, professional development, and enhancement of museum services.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants are intended to support activities of Indian tribes and Alaska Native villages and corporations and nonprofit organizations that primarily serve and represent Native Hawaiians in museums and museum-related organizations, such as cultural centers. The grant program will support services and activities in the following areas: (1) programming: services and activities that support the educational mission of museums and museum-related organizations; (2) professional development: education or training that builds skills, knowledge, or other professional capacity for individuals who provide or manage museum services activities. These persons may be paid or volunteer and may be involved with museum services either currently or in the future; (3) enhancement of museum services: support for activities that enable and improve museum services.

Applicant Eligibility:

Eligible applicants are Indian tribes, Alaska Native villages and corporations, and organizations that primarily serve and represent Native Hawaiians. Museums are not eligible to apply for this grant program. However, they may partner with eligible applicants on projects. Entities such as libraries, schools, tribal colleges, and departments of education are not eligible applicants, although they may be involved in the administration of this program and their staff may serve as project directors, in partnership with an eligible applicant. For the purposes of funding under this program, "The term 'Indian tribe' means any tribe, band, nation, or other organized group or community, including any Alaska native village, regional corporation, or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. Section 1601 et seq.), that is recognized by the Secretary of the Interior as eligible for the special programs and services provided by the United States to Indians because of their status as Indians" (definition taken from the Library Services and Technology Act). Eligible entities are listed on the Bureau of Indian Affairs Web site (www.doi.gov/bureau-indian-affairs.html), except for the recognized Alaska Native villages, regional corporations, and village corporations (Alaskan entities should refer to applicable provisions in the Alaska Native Claims Settlement Act, referenced above). Under this program, "organizations that primarily serve and represent Native Hawaiians--that is, any not-for-profit organization that primarily serves and represents Native Hawaiians, as the term is defined in 20 U.S.C. Section 7517--are also eligible for funding. The term "Native Hawaiian" means any person who is a citizen of the United States and a descendant of the aboriginal people who, before 1778, occupied and exercised sovereignty in the area that is now the state of Hawaii, as evidenced by genealogical records, Kapuna (elders) or Kamaaina (long-term community residents) verification, or certified birth records.

Beneficiary Eligibility:

Indian tribes, nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Preapplication Coordination:

The standard application forms as furnished by the Institute of Museum and Library Services and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

April 1.

Range of Approval/Disapproval Time:

Approximately five months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

The minimum grant is \$5,000, and awards will not exceed \$50,000. There are no matching requirements.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 24 months from the project start date.

Reports:

The Institute of Museum and Library Services requires interim and final financial and narrative performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant and contract recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$1,007,740; FY 08 \$895,000; FY 09 est \$945,000.

Range and Average of Financial Assistance:

In FY 07, awards ranged between \$13,793 and \$50,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the program awarded 23 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Sandra Narva, Telephone: (202) 653-4634, E-mail: snarva@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.301, Museums for America; 45.302, Museum Assessment Program; 45.303, Conservation Project Support; 45.304, Conservation Assessment Program; 45.307, 21st Century Museum Professionals; 45.311, Native American and Native Hawaiian Library Services.

EXAMPLES OF FUNDED PROJECTS:

The Native American/Native Hawaiian Museum Services Program supports projects that strengthen museum services for Native Americans and Native Hawaiians by enhancing museum programming and services, and professional development for museum staff.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.309 MUSEUM GRANTS FOR AFRICAN AMERICAN HISTORY AND CULTURE

FEDERAL AGENCY:

OFFICE OF MUSEUM SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, 20 U.S.C. 9101 et seq.

OBJECTIVES:

Museum Grants for African American History and Culture are intended to build professional capacity in the African American museum community. The goals of the program are to develop and strengthen knowledge, skills, and other expertise of current staff at African American museums; to attract and retain professionals with the skills needed to strengthen African American museums; and to attract new staff to African American museum practice and provide them with the expertise they need to sustain them in the museum field.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used to support a wide range of museum activities, including staff exchange, mentoring, education, or training, or other strategies

that increase the skills needed to improve African American museum services; attendance at museum conferences and other professional meetings; enrollment in courses or workshops; technical assistance or consultation with museum or business professionals from outside the institution; and organizational support for the development and implementation of internship and fellowship programs (awards are not made to individuals). IMLS grant funds may be used only for costs directly related to the project, such as costs for salaries for museum personnel, project supplies and materials, membership fees, telecommunication services and equipment, and other fees or expenses associated with the project. Grant funds may not be used for construction, contributions to endowment funds, social activities, ceremonies, entertainment, collection acquisition, fundraising costs, or pre-grant costs.

Applicant Eligibility:

An applicant must: be either a unit of state or local government, or a private not-for-profit organization that has tax-exempt status under the Internal Revenue Code; be located in one of the fifty states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; and qualify as one of the following three types of organizations: (1) a museum whose primary purpose, as reflected in its mission, is African American life, art, history, and/or culture, encompassing the period of slavery, the era of Reconstruction, the Harlem Renaissance, the civil rights movement, and other periods of the African American Diaspora; (2) a museum service organization or association whose primary purpose, as reflected in its mission, is to support museums identified above; or (3) historically black colleges and universities as defined by the Higher Education Act of 1965, as amended, 20 U.S.C. Section 1061, and pursuant to Executive Order 13256, dated February 12, 2002. A museum located within a parent organization that is a state or local government or multipurpose not-for-profit entity, such as a municipality, university, historical society, foundation, or cultural center, may apply on its own behalf if the museum (1) is able to independently fulfill all the eligibility requirements listed heretofore, (2) functions as a discrete unit within the parent organization, (3) has its own fully segregated and itemized operating budget, and (4) has the authority to make the application on its own. When any of criteria 2 through 4 cannot be met, a museum may apply through its parent organization, and the parent organization may submit a single application for one or more of its museums.

Beneficiary Eligibility:

Public and private nonprofit museums.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

January 15.

Range of Approval/Disapproval Time:

Six months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Awards will not exceed \$150,000 and must be matched by a one-to-one applicant cost share. Applicant cost share may include in-kind contributions.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 24 months from the project start date.

Reports:

Grant recipients are required to submit semi-annual performance reports every six months during the grant period, and an annual financial report. Final performance and financial reports are also required at the end of the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$880,028; FY 08 est \$827,000; FY 09 est \$1,350,000.

Range and Average of Financial Assistance:

Grants range from \$5,000 to \$150,000. In FY 07, the average grant was \$88,003, and the range was from \$35,865 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

The program funded 10 projects in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

Not applicable.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Christopher J. Reich, Telephone: (202) 653-4685, E-mail: creich@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.301, Museums for America; 45.302, Museum Assessment Program; 45.303, Conservation Project Support; 45.304, Conservation Assessment Program; 45.307, 21st Century Museum Professionals; 45.312, National Leadership Grants.

EXAMPLES OF FUNDED PROJECTS:

The Museum Grants for African American History and Culture program funds projects that build professional capacity in African American museums.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.310 GRANTS TO STATES

FEDERAL AGENCY:

OFFICE OF LIBRARY SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To promote improvement in library services in all types of libraries to better serve the people of the United States. To facilitate access to resources in all types of libraries for the purpose of cultivating an educated and informed citizenry. And to encourage resource sharing among all types of libraries for the purpose of achieving economical and efficient delivery of library services to the public.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To make grants to state library administrative agencies which shall expend funds, either directly or through subgrants, for: (1) expanding services for learning and access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages; (2) developing library services that provide all users access to information through local, state, regional, national, and international electronic networks; (3) providing electronic and other linkages between and among all types of libraries; (4) developing public and private partnerships with other agencies and community-based organizations; (5) targeting library services to help increase access and ability to use information resources for persons of diverse geographic, cultural, and socioeconomic backgrounds; persons with disabilities; and persons with limited functional literacy or information skills; and (6) targeting library and information services to help increase access and ability to use information resources for persons who have difficulty using a library and for underserved urban and rural communities, including children from birth through age 17 from families with incomes below the poverty line.

Applicant Eligibility:

State library administrative agencies (from the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau) that have authority to administer federal funds and have financial resources sufficient to match the federal funding requirement may apply.

Beneficiary Eligibility:

Users of libraries and information services will benefit.

Credentials/Documentation:

A state five-year plan is the program application.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult IMLS or the official designated as the single point of contact in the state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

To qualify for grants, states must submit a five-year plan as defined in Section 205 of the Act, for approval by the director of IMLS. The plan is submitted directly to the Institute of Museum and Library Services, Office of Library Services, Grants to States Program, 1800 M Street, NW, Ninth Floor, Washington, DC 20036-5802.

Award Procedure:

Grants are awarded to state library administrative agencies.

Deadlines:

Contact the program contact listed in the Headquarters section below.

Range of Approval/Disapproval Time:

A time has not been specified.

Appeals:

If IMLS determines that the state plan does not meet the requirements of the Museum and Library Services Act of 2003, the director shall notify the state. During this process, the state has the opportunity for a hearing.

Renewals:

A new State plan is required every five years; the next one is due June 30, 2012.

Formula and Matching Requirements:

The amount a State receives under this program consists of a minimum allotment set by Congress (20 USCS 9131(b)) plus an additional amount based on the most current published population estimates available the first day of the federal fiscal year from the Bureau of the Census. The federal share is 66 percent and the state matching requirement is 34 percent.

Length and Time Phasing of Assistance:

All funds are awarded to each state on approval of its five-year plan. Funds must be expended by the end of the federal fiscal year following the year of allotment as noted in grant award documentation.

Reports:

IMLS requires annual financial and narrative reports from each state library administrative agency. Each state library administrative agency must independently evaluate and report to IMLS regarding the activities assisted during this program before the end of the state five-year plan.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In 45 CFR 1183.42 (a) and (b), federal regulations state that records must be kept for three years after the grantee (i.e., the state library administrative agency) submits the last expenditure report for that period. (For the Grants to States program, "period" means the five-year period covered by a five-year plan.)

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$163,746,000; FY 08 \$160,885,357; FY 09 est \$171,500,000.

Range and Average of Financial Assistance:

The formula determining the assistance is based on population.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2008, the Grants to States program made 59 awards totaling \$160,885,357.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, Office of Library Services, Grants to States Program, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Telephone: (202) 653-4678.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.311, Native American and Native Hawaiian Library Services; 45.312, National Leadership Grants; 45.313, Laura Bush 21st Century Librarian Program.

EXAMPLES OF FUNDED PROJECTS:

The Grants to States program funded library programs and services that promote

access to learning and information resources in all types of libraries for individuals of all ages.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

45.311 NATIVE AMERICAN AND NATIVE HAWAIIAN LIBRARY SERVICES**FEDERAL AGENCY:**

OFFICE OF LIBRARY SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To support Indian tribes, Alaska Native villages and corporations, and organizations that serve and represent Native Hawaiians in providing library services to their communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Native American Library Services Basic Grants are available to Indian tribes and Alaska Native villages and corporations to support existing library operations and to maintain core library services. The Education/Assessment Option is supplemental to the Basic Grant and provides funding for library staff to attend library-related continuing education courses and training workshops on- or offsite; for library staff to attend or give presentations at conferences related to library services, and to hire a consultant for an onsite professional library assessment. Native American Library Services Enhancement Grants and Native Hawaiian Library Services grants are available to Indian tribes and Alaska Native villages and corporations and organizations serving and representing Native Hawaiians to enhance existing library services or implement new library services, particularly as they relate to the goals of the Library Services and Technology Act listed here: to expand services for learning and access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages; to develop library services that provide all users with access to information through local, state, regional, national, and international electronic networks; to provide electronic and other linkages between and among all types of libraries; to develop public and private partnerships with other agencies and community-based organizations; to target library services to help increase access and ability to use information resources for individuals of diverse geographic, cultural, and socioeconomic backgrounds, individuals with disabilities, and individuals with limited functional literacy or information skills; and to target library and information services to help increase access and ability to use information resources for persons having difficulty using a library and for underserved urban and rural communities, including children from birth to age 17 from families with incomes below the poverty line (as defined by the Office of Management and Budget).

Applicant Eligibility:

Federally recognized Indian tribes, Alaska Native villages and corporations, and organizations primarily serving and representing Native Hawaiians may apply.

Beneficiary Eligibility:

Indian tribes, Alaska Native villages and corporations, and organizations that serve and represent Native Hawaiians benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments and federally recognized Indian tribal governments.

Preapplication Coordination:

The standard application forms as furnished by the Institute of Museum and Library Services and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single website for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Competitive proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Native American Library Services Basic Grants and Native American Library Services Basic Grants with Education/Assessment Option: March 1. Native American Library Services Enhancement Grants: May 1. Native Hawaiian Library Services grants: May 15.

Range of Approval/Disapproval Time:

Three to four months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

No matching funds required.

Length and Time Phasing of Assistance:

Native American Library Services Basic Grants, Basic Grants with Education/Assessment Option, and Native Hawaiian Library Services grants are for one year. Native American Library Services Enhancement Grants may run for a period of up to 24 months from the project start date.

Reports:

The Institute of Museum and Library Services requires final financial and narrative reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$3,638,000; FY 08 \$3,574,000; FY 09 est \$3,717,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the Native American Library Services grant program awarded a total of 251 grants. The Native Hawaiian Library Services grant program awarded one grant.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

Not applicable.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Alison Freese, Telephone: (202) 653-4665, E-mail: afreese@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.310, Grants to States; 45.312, National Leadership Grants; 45.313, Laura Bush 21st Century Librarian Program.

EXAMPLES OF FUNDED PROJECTS:

The Native American Library Services Basic Grant supports existing library operations and maintains core library services. The Education/Assessment Option is supplemental to the Basic Grant and provides funding for library staff to attend library-related continuing education courses and training workshops on- or offsite, to attend or give presentations at conferences related to library services, and to hire a consultant for an onsite professional library assessment. Native American Library Services Enhancement Grants support projects to enhance existing library services or implement new library services to better serve the needs of Indian tribal communities and Alaska Native villages and corporations. Native Hawaiian Library Services grants support enhancement and expansion of existing library services to the Native Hawaiian population.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.312 NATIONAL LEADERSHIP GRANTS**FEDERAL AGENCY:**

INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To enhance the quality of library and museum services nationwide and to provide coordination between libraries and museums.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Institute restored the research component to its Library-Museum Community Collaboration category. Collaboration grants provide opportunities for libraries and museums to partner with each other and with a host of other community organizations, including public broadcasters, schools, universities,

cultural and performing arts organizations, and health and social service providers. A partnership of at least one eligible library entity and one eligible museum entity is required. This category supports projects that address community civic and educational needs; increase the organizations' capacity to serve as effective venues and resources for learning; use technology in innovative ways to serve audiences more effectively; conduct collaborative research; or advance knowledge and best practices through collaborative digital projects. The Building Digital Resources category, renamed Advancing Digital Resources, supports the creation, use, preservation, and presentation of significant digital resources as well as the development of tools to manage digital assets incorporating new technologies or new technology practice. Projects should enhance learning and innovation by ensuring that the digital assets promote individual and community access to museum and library resources. This category encourages explorations of all types of digital resources, from use of resources on the Web to digital content through video, audio, or television. IMLS will support projects that digitize, preserve, and aggregate digital content from libraries, museums, and/or archives on a large-scale, statewide, or thematic basis; develop and disseminate new tools to enable libraries and museums to effectively manage, preserve, present, and/or use digital resources; increase community access to institutional resources through innovative approaches and/or improved practice; increase community access to institutional resources by improving practice in use, dissemination, and support of existing technology-based tools; develop or advance participation in museum and/or library communities using social technologies in new ways; or develop new approaches or tools for digital curation. The Research and Demonstration category has been split into two separate categories. Research grants support projects that have the potential to improve museum and library practice, resource use, programs, and services. Both basic and applied research projects are encouraged. Research proposals should pose a question and explain through the plan of work how the question will be investigated, how the data will be gathered and analyzed, and how the results will be evaluated and disseminated. Additionally, methodologies must be replicable and results valid and predictable. Successful proposals will place the proposed work within the context of current research. Applied research may include testing in a real-world environment, but must be carried out through investigative methodology. Results of research must be generalizable and of broad benefit to the library or museum field. Research conducted by a collaboration between a library and a museum should be submitted under the Library-Museum Collaboration Grants category. This category supports projects that evaluate the impact of library or museum services; investigates how learning takes place in museums and libraries and how use of library and/or museum resources enhances learning; investigates how to improve the quality, effectiveness, or efficiency of library or museum management, programs, or services; investigates ways to enhance the archiving, preservation, management, discovery, and use of digital assets and resources; or investigates or conducts research to add new knowledge or make improvements on the conservation and preservation of collections. Demonstration projects use available knowledge to address key needs and challenges facing libraries and museums, transforming that knowledge into formal practice. Projects applying under this category should produce a replicable model usable by other institutions for improving practice. The new category supports projects that: demonstrate and/or test new practices in museum and/or library operations; demonstrate how museums and/or libraries serve their communities by fostering public value, and how they implement systemic changes in the field; establish and/or test standards and tools for innovative learning; or demonstrate and/or test an expansion of preservation or conservation practices. Across all categories, IMLS particularly encourages projects that meet community needs through innovative collaborations between museums and libraries and with other organizations as appropriate. Partnerships between libraries and museums are particularly encouraged under this program. In addition, collaborative planning grants of \$40,000 are available to enable project teams from more than one institution to work together to plan a collaborative project in any of the four categories. The lead applicant must be an eligible library or museum entity. Applicants in this category are expected to have a basic framework (concept, team, work plan) that has the potential for national leadership. The planning grant will support the activities required to develop the idea fully. Planning grants should result in such products as plans, prototypes, or proofs of concept, which could lead to a National Leadership Grant implementation project. Applicants must have at least one formal partner. Applicants may submit a planning grant application under any of the National Leadership Grant categories listed above. Funds may support the full range of planning activities, including salaries, consultant fees, travel, convenings, services, and materials and supplies. The receipt of a collaborative planning grant neither guarantees nor implies continued support beyond the completion of the planning grant.

Applicant Eligibility:

LIBRARIES: All types of libraries, except federal and for-profit libraries, may apply. Eligible libraries include public, school, academic, special, private (not-for-profit), archives, library agencies, library consortia, and library associations. Also eligible are research libraries and archives that give the public access to

services and materials suitable for scholarly research not otherwise available and that are not part of a university or college. Digital libraries that make library materials publicly available and provide services including selection, organization, description, reference, and preservation under the supervision of at least one permanent professional staff librarian are eligible to apply. Institutions of higher education, including public and not-for-profit universities and colleges, also are eligible. An academic unit, such as a graduate school of library and information science, may apply as part of an institution of higher education. Library applicants may apply individually or as partners. **MUSEUMS:** All types of museums, large and small, are eligible for funding. Eligible museums include aquariums, arboreta and botanical gardens, art museums, youth museums, general museums, historic houses and sites, history museums, nature centers, natural history and anthropology museums, planetariums, science and technology centers, specialized museums, and zoological parks. Federally operated and for-profit museums may not apply for IMLS funds.

Beneficiary Eligibility:

Libraries, archives, and museums will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the Institute of Museum and Library Services and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

February 1.

Range of Approval/Disapproval Time:

Approximately eight months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Projects requesting \$250,000 or more must be matched one-to-one. This requirement is waived for research projects. Cost sharing of at least one-third is encouraged for projects requesting less than \$250,000 and for research projects. Demonstration projects are subject to nonresearch matching provisions.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 36 months from the project start date.

Reports:

The Institute of Museum and Library Services requires interim and final financial and narrative reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$20,295,000; FY 08 est \$19,941,000; FY 09 est \$20,925,000.

Range and Average of Financial Assistance:

Between \$50,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

For FY 07, the National Leadership Grants program for libraries made 27 awards, including 6 planning grants, and the program for museums made 19 awards, including 4 planning grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS Web site at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, NW, Ninth Floor, Washington, DC 20036-5802. Library contacts: Rachel Frick, Senior Program Officer (Advancing Digital Resources, Research, Demonstration, Collaborative Planning Grants), Telephone: (202) 653-4667, E-mail: rfrick@imls.gov; Susan Malbin (Library-Museum Community Collaboration Grants, Collaborative Planning Grants), Telephone: (202) 653-4768, E-mail: smalbin@imls.gov. Museum contact: Dan Lukash, Telephone: (202) 653-4644, E-mail: dlukash@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.301, Museums for America; 45.303, Conservation Project Support; 45.307, 21st Century Museum Professionals; 45.310, Grants to States; 45.311, Native American and Native Hawaiian Library Services; 45.313, Laura Bush 21st Century Librarian Program.

EXAMPLES OF FUNDED PROJECTS:

National Leadership Grants enable libraries and museums to help all individuals attain the knowledge, skills, attitudes, behaviors, and resources that enhance their engagement in community, work, family, and society. Projects should enable libraries and museums to address current problems in creative ways, develop and test innovative solutions, and expand the boundaries within which cultural heritage institutions operate. The results of these projects will help equip tomorrow's libraries and museums to better meet the needs of a Nation of Learners. Successful proposals will show evidence that they will have national impact and generate results--whether new tools, research, models, services, practices, or alliances--that can be widely adapted or replicated to extend the benefit of federal support. Proposals will reflect an understanding of current issues and needs, showing the potential for far-reaching impact throughout the museum and library communities. Projects will provide creative solutions to issues of national importance and provide leadership for other organizations.

CRITERIA FOR SELECTING PROPOSALS:

See guidelines.

45.313 LAURA BUSH 21ST CENTURY LIBRARIAN PROGRAM

FEDERAL AGENCY:

OFFICE OF LIBRARY SERVICES, INSTITUTE OF MUSEUM AND LIBRARY SERVICES, NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

AUTHORIZATION:

Museum and Library Services Act, codified at 20 U.S.C. Section 9101 et seq.

OBJECTIVES:

To support projects to develop faculty and library leaders, to recruit and educate the next generation of librarians, to conduct research, to attract high school and college students to consider careers in libraries, to build institutional capacity in graduate schools of library and information science, and to assist in the professional development of librarians and library staff.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Categories of funding are: (1) doctoral programs, (2) master's programs, (3) research, (4) preprofessional programs, (5) programs to build institutional capacity, and (6) continuing education.

Applicant Eligibility:

All types of libraries, except federal and for-profit libraries, may apply. Eligible libraries include public, school, academic, special, private (not-for-profit), archives, library agencies, library consortia, and library associations. In addition, research libraries that give the public access to services and materials suitable for scholarly research not otherwise available to the public and that are not part of a university or college are eligible. Institutions of higher education, including public and not-for-profit universities and colleges, also are eligible. Graduate schools of library and information science may apply as part of an institution of higher education. See program guidelines for specific eligibility criteria.

Beneficiary Eligibility:

Libraries and institutions of higher education will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and other nonprofit organizations also applies.

Preapplication Coordination:

The standard application forms as furnished by the Institute of Museum and Library Services and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

As part of the president's E-government initiative, the federal government developed Grants.gov, a single website for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-

making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

December 15.

Range of Approval/Disapproval Time:

Seven months.

Appeals:

Appeals may be made in writing to the director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Projects are required to match one half of the project costs minus funds for student support. The matching requirement is waived for research projects only.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 36 months from the project start date. Doctoral program projects may be carried out for a period of up to 48 months.

Reports:

The Institute of Museum and Library Services requires interim and final financial and narrative reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will be required to maintain standard financial statements.

Account Identification:

59-0300-0-1-503.

Obligations:

(Grants) FY 07 \$23,760,000; FY 08 \$23,345,000; FY 09 est \$26,500,000.

Range and Average of Financial Assistance:

\$50,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

For FY 07, the Laura Bush 21st Century Librarian Program funded 43 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Institute of Museum and Library Services, 1800 M Street, N.W., Ninth Floor, Washington, DC 20036-5802. Contact: Kevin Cherry, Telephone: (202) 653-4662, E-mail: kcherry@imls.gov.

Web Site Address:

<http://www.imls.gov>.

RELATED PROGRAMS:

45.310, Grants to States; 45.311, Native American and Native Hawaiian Library Services; 45.312, National Leadership Grants.

EXAMPLES OF FUNDED PROJECTS:

The Laura Bush 21st Century Librarian Program helps increase the number of students enrolled in schools of library science at the master's and doctoral levels as well as fund continuing education opportunities.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

NATIONAL LABOR RELATIONS BOARD

46.001 LABOR-MANAGEMENT RELATIONS

(NLRB)

FEDERAL AGENCY:

NATIONAL LABOR RELATIONS BOARD

AUTHORIZATION:

Labor-Management Relations Act of 1947, as amended, Public Law 86-257, 29 U.S.C. 141 et seq.; Public Law 91-375, 39 U.S.C. 1201-1209; Public Law 93-95, 29 U.S.C. 186; Public Law 93-360, 29 U.S.C. 152, 158, 168, 169, 171, 183; Public Law 96-245, 29 U.S.C. 161 (4); Public Law 96-593; Public Law 97-375, 29 U.S.C. 153(c).

OBJECTIVES:

To avoid or substantially minimize industrial strife affecting interstate commerce by providing orderly and peaceful procedures to protect the rights of employers, employees, labor organizations, and the general public, and to prevent unlawful interference with these rights.

TYPES OF ASSISTANCE:

Provision of Specialized Services; Investigation of Complaints.

USES AND USE RESTRICTIONS:

(1) Determines and implements, through secret-ballot elections, the free democratic choice by employees as to whether they wish to be represented by a union in dealing with their employer and, if so, by which union; and (2) prevents and remedies unlawful acts, called unfair labor practices, by either employers or unions or both. NLRB may seek injunctive relief where a labor dispute results in violence, disruption of employment, or disruption of business relations, potentially in violation of the Labor Management Relations Act. The NLRB does not initiate actions or investigations on its own volition. NLRB processes start only when a covered individual, organization, or employer files an unfair labor practice charge or a petition for an election.

Applicant Eligibility:

Any covered employer, employee, labor organization, or other person who believes a violation of the Labor Management Relations Act has occurred, or who desires the Board to determine whether a labor organization is to be the choice of employees for purposes of representation, may receive information or assistance. The Act limits the term "employee" to exclude: agricultural laborers; domestic workers; individuals employed by spouse or parent; independent contractors; supervisors (as defined by the Act) and individuals employed by an employer subject to the Railway Labor Act or employed by any person who is not an employer as defined by the Act. The United States Government, State governments, political subdivisions of U.S. and State governments, government corporations (except the U.S. Postal Service), Federal Reserve Banks and employers subject to the Railway Labor Act are ineligible employers.

Beneficiary Eligibility:

The covered employer, employee, or the labor organization will benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Charges of unfair labor practices and petitions for determination of representatives are the two principal forms for the purpose of initiating agency action. Such charges and petitions shall be in writing and signed, and shall be sworn to and filed with the Regional Director for the region in which the unfair labor practice allegedly has occurred or in which the proposed or actual bargaining unit exists.

Award Procedure:

Not applicable.

Deadlines:

A party alleging a violation of the Labor Management Relations Act must file its charge within 6 months after incident has occurred. If the filing of a charge was prevented by service with the Armed Forces, the 6-month limitation is computed from the day of discharge. Deadlines for filing representation petitions vary with the type of petition filed and the representation status of employees sought to be represented. Those wishing to file representation petitions with the agency should contact the appropriate regional office of the National Labor Relations Board listed in Appendix IV of the Catalog.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Charges: If the Regional Director refuses to issue an unfair labor practice complaint, an appeal may be filed with the General Counsel in Washington, DC, within 10 days. Petitions: If the Regional Director dismisses the representation petition, an appeal may be filed with the Board in Washington, DC, within 10 days.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

63-0100-0-1-505.

Obligations:

(Salaries and Expenses) FY 07 \$249,888,000 (includes \$.099 million in reimbursables); FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2005, the actual case intake was 29,879 and, for fiscal year 2006, the estimated intake is 31,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Basic Guide to the National Labor Relations Act" (s/n 031-000-00352-1) \$4.75 (available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402). "Your Government Conducts an Election for You on the Job," also in Spanish, is available from NLRB Headquarters Office. These publications are available on the NLRB Web Site.

Regional or Local Office:

Contact should be made directly with the appropriate regional office of the National Labor Relations Board listed in Appendix IV of the Catalog.

Headquarters Office:

Division of Information, National Labor Relations Board, 1099 14th Street, NW., Washington, DC 20570. Telephone: (202) 273-1991.

Web Site Address:

<http://www.nlr.gov>.

RELATED PROGRAMS:

17.504, Consultation Agreements; 17.600, Mine Health and Safety Grants; 30.001, Employment Discrimination Title VII of the Civil Rights Act of 1964; 34.001, Labor Mediation and Conciliation.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

NATIONAL SCIENCE FOUNDATION

47.041 ENGINEERING GRANTS

(ENG)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

NSF's Directorate for Engineering (ENG) seeks to improve the quality of life and the economic strength of the Nation by fostering innovation, creativity, and excellence in engineering education and research. Specifically, ENG enables the Nation's long-term capacity to perform by: (1) Investing in the creation of new engineering knowledge and the development of human capital within disciplines and at their interfaces; (2) making critical investments to enable an intelligent, agile and adaptable physical infrastructure for engineering education and research; (3) improving the quality and effectiveness of engineering education and research through the integration of and systemic reform of these processes; and (4) enabling knowledge transfer connections among diverse constituencies and communities. Areas of research include: tissue engineering; metabolic pathway engineering; bioinformatics; protein drug processing, fluid flow; combustion; heat transfer; fuel cells; sensors; integrated modeling of the behavior of materials and structures; civil infrastructure; structures and mechanical systems; engineering in geologic materials; reducing risks of natural and technological hazards; enterprise-level integration technologies; innovative design strategies; manufacturing processes and materials; production systems; microelectronic, nanoelectronic, micromagnetic, photonic, and electromechanical devices and their integration into circuits and microsystems; design and analysis of systems and the convergence of control, communications and computation; Engineering Research Groups; Engineering Research Centers; Industry/University Cooperative Research Centers; Engineering Education; Human Resources Development; cross cutting activities and special studies and analyses. Support is also provided for undergraduate student research, graduate research fellowships, research equipment and instrumentation, Small Business Innovation Research (SBIR), and Grant Opportunities for Academic Liaison with Industry (GOALI). ENG also provides support for Foundation-wide programs including the Faculty Early Career Development (CAREER) program and the Integrative Graduate Education and Research Training (IGERT) Program, and Small Business Technology Transfer Program (STTR).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for paying costs to conduct research, such as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. This program does not provide support for inventions, product development, marketing, pilot plant efforts, technical assistance, or research requiring security classifications.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

The proposal must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required for unsolicited proposals, but preliminary discussions with relevant National Science Foundation program officer, by telephone or mail, is encouraged if specific program information is needed. Special proposal competitions may specify preliminary proposal requirements. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals must be submitted electronically via FastLane to the Engineering Programs and should follow the general instructions and guidelines in the NSF "Grant Proposal Guide." Research proposals for support under the Small Business Innovation Research (SBIR) program must be submitted in response to an annual solicitation. All proposals are acknowledged. These programs are subject to the provisions of OMB Circular No. A-110.

Award Procedure:

NSF staff members review and evaluate all proposals with the assistance and advice of scientists and engineers who are specialists in the field covered by the proposal, of prospective users of research results when appropriate, and of specialists in other Federal agencies when appropriate.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates. See the Directorate's web pages for deadlines for unsolicited research proposals (<http://www.nsf.gov/dir/index.jsp?org=ENG>). Proposers should contact the program officer listed under the Information Contacts section of this program for dates on specific programs.

Range of Approval/Disapproval Time:

Approximately 3 to 7 months.

Appeals:

The Principal Investigator may request, in writing, that the Foundation reconsider its action in declining any proposal, renewal, or continuing grant proposal.

Renewals:

NSF awards the following types of grants: 1) Standard Grants, in which NSF agrees to support a specified level of effort for a specified period of time, with no statement of NSF intent to provide additional future support. Proposals for renewal of a Standard Grant compete with all other pending proposals. 2) Continuing Grants, in which NSF agrees to support a specified level of effort for a specified period of time, with a statement of intent to provide additional support for the project, provided funds are available and the results achieved warrant further support. Funding is normally in one-year increments. Some awards are made as cooperative agreements when substantial NSF involvement is required during the project performance period. Renewals are not allowed for the Small Business Innovation Research (SBIR) program.

Formula and Matching Requirements:

The Grant Proposal Guide (GPG)(Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing. The Small Business Innovation Research (SBIR) program is a phased project program. Phase I is a feasibility study up to 6 months. Phase II is the principal research program for up to 24 months.

Length and Time Phasing of Assistance:

Normally 6 months to 3 years, occasionally longer.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which the award was made. Records are subject to inspection during the life of the award and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants and Contracts) FY 07 \$629,990,000; FY 08 est \$636,870,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$5,000 to \$4,000,000; \$120,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 9,427 proposals were received and 1,737 awards were made. In fiscal year 2007, 9,575 proposals were received and 1,958 awards were made, and in fiscal year 2008 approximately 9,890 proposals will be received and about 1,978 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Chapter VI; 48 CFR Chapter 25; "NSF Guide to Programs, fiscal year 2004," NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); and "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>); selected solicitations include "Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Programs Phase I Solicitation," NSF 06-553; "Faculty Early Career Development (CAREER)," NSF 05-579; "Grant

Opportunities for Academic Liaison with Industry (GOALI)," NSF 98-142; "Major Research Instrumentation (MRI)," NSF 05-515. For descriptions of ENG funding opportunities, please check the NSF Directorate for Engineering web site. The URL address is: <http://www.eng.nsf.gov/>.

Regional or Local Office:

None.

Headquarters Office:

For information and publications: Dr. Donald Senich, Program Director, Grant Opportunities for Academic Liaison with Industry, Directorate for Engineering, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292-7082. E-mail: dsenich@nsf.gov, NSF World Wide Web site, URL: <http://www.nsf.gov/>. For general inquiries: enginfo@nsf.gov.

Web Site Address:

<http://www.eng.nsf.gov/>.

RELATED PROGRAMS:

11.609, Measurement and Engineering Research and Standards; 15.807, Earthquake Hazards Reduction Program; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.079, International Science and Engineering (OISE); 81.049, Office of Science Financial Assistance Program; 84.133, National Institute on Disability and Rehabilitation Research.

EXAMPLES OF FUNDED PROJECTS:

1) Cell-Based Sensors that link Nanotechnology with Microfluidics. (2) Nanostructural Engineering of Complex Functional Particles. (3) Network for Earthquake Engineering Simulation (NEES). (4) Rapid Fabrication of Non-Assembly Mechanisms with Embedded Components. (5) Development of Biosensors for Rapid Screening. (6) Health Monitoring of Critical Civil Infrastructure for Safety and Security. (7) Liquid Logic-The 3rd Wave in Electronics. (8) Internally Mounted Engines for Aircraft of the Future. (9) Particle Laden Tubeless Siphon With Applications to Homeland Defense. (10) MEMS Tuners for Multi-band High Efficiency Wireless Transmitters. (11) Nanotube-Based Electronic Pressure Sensor. (12) SBIR Research: Development of a Novel Sensing Material for Waterborne Pathogens.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board's approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and

education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.049 MATHEMATICAL AND PHYSICAL SCIENCES

(MPS)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To promote the progress of the mathematical and physical sciences and thereby strengthen the Nation's scientific enterprise; to increase the store of scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project grants in the following disciplines: astronomical sciences, chemistry, materials research, mathematical sciences, physics, as well as support for symposia and conferences. Basic research in multidisciplinary areas related to these disciplines is especially encouraged. Support is also provided for state-of-the-art user facilities in astronomy, physics, and many areas of materials science; science and technology centers; institutes; undergraduate student research; faculty enhancement; curriculum development; instrumentation; laboratory improvement; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies, such as salaries and wages, permanent equipment, expendable materials and supplies, travel, participant support; publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By electronic submission via FastLane or Grants.gov of a formal proposal describing the research or study to be undertaken. Guidelines are contained in publication, "Grant Proposal Guide," and "Guide to Programs Fiscal Year 2004" NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals generally with the assistance and advice of other qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are accepted on the basis of merit to the extent permitted by available funds. States will be notified of Federal assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide,

Section I.F. for a further description of these types of due dates. Links to MPS funding opportunities and relevant deadlines or submission windows can be found at: http://www.nsf.gov/funding/pgm_list.jsp?org=MPS.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

The principal investigator may request, in writing, that the Foundation reconsider its action in declining any proposal application, renewal application, or continuing application.

Renewals:

Proposals for renewals, containing the same type of information as the original proposal, should be submitted at least 6 months before the expiration date of the award. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

Some equipment programs have cost-sharing. Cost-sharing is not required for awards made solely for symposia, conferences and workshops, publication, education and training, facilities, or travel. The Grant Proposal Guide (GPG) (Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 3 to 5 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which the grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$1,150,730,000; FY 08 est \$1,167,310,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$45,000,000; \$130,100.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 7,316 proposals were received and 2,361 awards were made. In fiscal year 2008, approximately 7,680 proposals is expected to be received and 2,400 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "NSF Guide to Programs, fiscal year 2004," NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); and "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>).

Regional or Local Office:

None.

Headquarters Office:

Assistant Director, Mathematical and Physical Sciences, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292-8801. NSF World Wide Web site URL: <http://www.nsf.gov/>.

Web Site Address:

<http://www.nsf.gov/dir/index.jsp?org=MPS>.

RELATED PROGRAMS:

47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.070, Computer and Information Science and Engineering; 47.041, Engineering Grants; 47.050, Geosciences; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

1) Arizona, "Characterization of Nanoparticle-Linked Biomolecule Assemblies for Advanced Bioelectronic Detection;" 2) Montana, "Growth and Physical Properties Measurements of Novel Condensed Matter Materials;" 3) California, "Large-Scale Phenomena in Models of Statistical Mechanics;" 4) New York, "Spatiotemporal Control of Cardiac Electrical Dynamics;" and 5) Pennsylvania, "Empirical Tests of Galactic Black Hole Growth."

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF

will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board's approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.050 GEOSCIENCES

(GEO)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the integrated Earth system through the support of basic research in the atmospheric, earth and ocean sciences. Major objectives include the discovery of new knowledge of the atmosphere from the sun to the Earth's surface over the entire spectrum of physical and chemical phenomena; a better understanding of the physical, chemical, and biological character of the Earth and the processes that govern its evolution; and increased insight into the world's oceans, their composition, structure, behavior, and tectonics. Support is also provided for science and technology centers, undergraduate Student research, facility enhancement, instrumentation, and laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, but preliminary discussions with the relevant National Science Foundation program officer are encouraged, particularly for projects requiring logistic or facility support or involving coordination with other projects and programs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By electronic submission via FastLane of a formal proposal describing the research or study to be undertaken. Guidelines are contained in publication, "Grant Proposal Guide," and "Guide to Programs Fiscal Year 2004," NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals, usually with the assistance and advice of other qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Awards are made based on merit and program relevance to the extent permitted by available funds. States will be notified of Federal assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The Principal Investigator whose proposal for support has been declined may request, in writing, and receive from the cognizant program officer the reasons for declination. The applicant may also obtain verbatim copies of reviews of his/her proposals, though not the names of reviewers. If not satisfied, the Principal Investigator may request the NSF Assistant Director for Geosciences to reconsider the procedural aspects of the declination action. Request for reconsideration must be received within 90 days of the declination letter.

Renewals:

Standard grants may be renewed once by amendment of the original grant. Proposals for renewal should be submitted 6 months prior to the expiration of the original grant and should contain the same type of information as the original proposal plus a summary of progress to date, a proposed budget for the ensuing period, and a statement of expenditures to date and existing commitments that will require expenditure of residual funds from the original grant after the requested renewal date. Renewals compete with other proposals for available funds.

Formula and Matching Requirements:

The Grant Proposal Guide (GPG)(Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 1 to 5 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the general purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$745,850,000; FY 08 est \$752,660,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000 to \$60,000,000; \$147,857.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 4,373 proposals were received and 1,347 awards were made, and in fiscal year 2008 approximately 4,500 proposals are expected to be received and approximately 1,400 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "Guide to Programs, fiscal year 2004," NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>). In addition, information is made available on the World Wide Web at <http://www.nsf.gov/> and <http://www.geo.nsf.gov/>.

Regional or Local Office:

None.

Headquarters Office:

National Science Foundation; 4201 Wilson Blvd., Arlington, VA 22230. Atmospheric Sciences: Dr. Jarvis Moyers, Telephone: (703) 292-8520; Fax: (703) 292-9022; Earth Sciences: Dr. Arthur Goldstein Telephone: (703) 292-8550; Fax: (703) 292-9025; Ocean Sciences: Dr. Julie Morris, Telephone: (703) 292-8580; Fax (703) 292-9085.

Web Site Address:

NSF World Wide Web site URL: <http://nsf.gov/>. Geosciences World Wide Web site URL: <http://www.geo.nsf.gov/>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.430, Undersea Research; 47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

Atmospheric Sciences: Research on meteorology, climate, paleoclimate, chemistry and physics of the lower and upper atmosphere; and solar-terrestrial relationships. Earth Sciences: Research on structure, composition, history, and the physical, chemical, and biological processes affecting the Earth. Ocean Sciences: Research on physical, chemical, geological, and biological processes in the ocean.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which

he/she is qualified to make judgements. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.070 COMPUTER AND INFORMATION SCIENCE AND ENGINEERING

(CISE)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To support investigator-initiated research in all areas of computer science and engineering and related fields and contribute to the education and training of future generations of computing professionals, ensuring a supply of qualified technical personnel commensurate with national needs.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to pay costs of conducting research, and obtaining access to advanced computing and networking capabilities, salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs.

Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

The proposal must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for educational institutions and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, except in specific cases, but preliminary discussions with relevant National Science Foundation program officers, by email, telephone or mail, are encouraged. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals must be submitted electronically via FastLane to the Computer and Information Science and Engineering Directorate and should follow the general instructions and guidelines in the "Grant Proposal Guide." All proposals are acknowledged. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals, with the advice and assistance of scientists and engineers who are specialists in the field covered by the proposal, of prospective users of research results when appropriate, and of specialists in other Federal agencies.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates. The Directorate website lists funding opportunities at: <http://www.cise.nsf.gov/>.

Range of Approval/Disapproval Time:

Approximately 6 months or less, except in special instances.

Appeals:

The Principal Investigator may request, in writing, that the National Science Foundation reconsider its action in declining any proposal application, renewal application, or continuing application.

Renewals:

Proposals for renewal of NSF grants compete with all other pending proposals

Formula and Matching Requirements:

The Grant Proposal Guide (GPG) (Chapter II) and the Grant Policy Manual (Sec. 330) provide information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 6 months to 3 years; occasionally longer.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program officer at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which each grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$526,680,000; FY 08 est \$534,530,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$19,000,000; \$136,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 5,745 proposals were received and 1,633 awards were made. In fiscal year 2008, we estimate approximately 7,400 proposals will be received and approximately 1,635 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "NSF Guide to Programs, fiscal year 2004," NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); and "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>).

Regional or Local Office:

Not applicable.

Headquarters Office:

Assistant Director, Computer and Information Science and Engineering, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292-8900. NSF World Wide Web site URL: <http://www.cise.nsf.gov/>.

Web Site Address:

<http://www.cise.nsf.gov/>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.050, Geosciences; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

(1) Cooperative Steady-Hand Augmentation of Human Skill in Micromanipulation Tasks: The primary focus of this research is on development of a cooperatively controlled 'steady hand' robot for microsurgery and other fine manipulation tasks, research exploring and extending the steady hand paradigm, and application of the system for prototypical microsurgical tasks in areas such as ophthalmology and otology. Researchers at John Hopkins University have

conceived of and begun prototyping a new class of highly dexterous robotic devices suitable for minimally-invasive microsurgical procedures in the throat and airways, as well as for other precise, multi-handed tasks in confined spaces. The mechanical architecture of these robots consists of a snake-like unit and a modular detachable parallel unit that attaches at the tip of the snake-like unit. The snake-like unit uses a novel design utilizing multiple continuous backbones for its actuation. The parallel manipulation unit uses flexible links to accurately manipulate the payload in a small workspace and eliminates the need for small mechanical joints. All these features support the down-size scalability of these designs to diameters smaller than 5 mm - a critical dimension beyond which standard designs of snake-like units and parallel robots for payload manipulation becomes extremely expensive and mechanically complicated. These smaller diameters are needed for many surgical applications. (2) ITR: Learning-Centered Design Methodology: Meeting the Nation's Need for Computational Tools for K-12 Science Education (Engineering Scaffolded Work Environments): The University of Michigan's Center for Highly-Interactive Computing in Education (HI-CE) has designed, classroom-tested, and has freely-distributed a suite of educational applications for handheld computers through ITR funding. They have recorded over 100,000 downloads of this software over the past two years. Of the 12 educational applications ranked by eSchool News, nine were produced under ITR funding at HI-CE. In effect, HI-CE software enables educators to take handheld computers designed for business and repurpose them for use in K-12. Under supplemental Research Experiences for Teachers (RET) funding, HI-CE investigators have worked with over a dozen K-12 teachers across the country to develop curricular materials that provide science and math teachers with concrete ways in which to use HI-CE's software on handheld computers. The group has produced two International Society for Technology in Education (ISTE) published books with two more books in press for 2004. Moreover, HI-CE software is used in the curricular examples in many books published for K-12 about handheld computers. From basic research to commercialization, with nationwide, free distribution in between, this effort has demonstrated how a University-based project can "fill the pipeline" with cutting-edge, provocative technology. (3) Cardiovascular Informatics: Sudden heart attacks remain the leading cause of death in the US. Since the majority of sudden cardiac deaths occur in people with no prior symptoms, there is an urgent need for computational tools to assist in screening for the conditions that underlie these cardiac events. Researchers at the University of Houston are developing advanced computer vision technology for a variety of applications, including assisting with the diagnosis of coronary heart disease. Patients who already present risk factors undergo an intravascular ultrasound procedure that is capable of analyzing in detail any plaques present in coronary blood vessels. In particular, those plaques that are considered vulnerable (i.e., likely to rupture and cause a heart attack) have been found to encourage the growth of new microvessels in their vicinity. These microvessels are small vessels and are generally difficult to detect. However, using the contrast-enhanced intravascular ultrasound acquisition techniques developed by researchers at the University of Houston, evidence of their presence can be detected. (4) Recovery Oriented Computing: The time required to restart a system after failure continues to be a major concern for systems that must be continuously available. Safety-critical systems are particularly affected by a lengthy interval for recovery and restart. In an innovative NSF CAREER research project, Armando Fox, Assistant Professor, Stanford University, earned recognition as one of Scientific American magazine's 50 outstanding young scientists for 2003. Dr. Fox has generalized a concept of "recovery through rebooting" to "micro-reboot" individual components of existing applications, significantly improving their availability with no application changes and no a priori knowledge of application structure. Dr. Fox successfully demonstrated that a technique called "statistical-anomaly based failure detection" finds and localizes faults in these applications. While traditional techniques typically leave systems in unpredictable states, this research pursues a new design philosophy called Crash-Only Software. A crash-only system or component can be safely and predictably crashed at any time using mechanisms orthogonal to the component itself, allowing rebooting to be safely used as a recovery mechanism from many fault types. The ultimate goal is self-managing systems technology for future reliable distributed systems. (5) Data Mining for Detecting Network Intrusions: Novel data mining based anomaly detection techniques developed under NSF support have been incorporated in the Minnesota Intrusion Detection System (MINDS) that help cybersecurity analysts detect intrusions and other undesirable activity in real life networks. MINDS is being used at the Army Research Laboratory (ARL) Center for Intrusion Monitoring and Protection (CIMP) and at the University of Minnesota to successfully detect novel intrusions, policy violations, and insider abuse that cannot be identified by widely used signature-based tools such as Snort. MINDS allows cybersecurity experts to quickly analyze massive amounts of network traffic, as they only need to evaluate the most anomalous connections identified by the system. Further summarization of these anomalous connections using association pattern analysis helps in understanding the nature of cyber attacks, as well as in creating new signatures for use in intrusion detection systems. The underlying techniques have applicability in many areas beyond

cybersecurity, such as financial and health care fraud detection. 6) New System Up and Running: Nearly half of PSC's brand new XT3 "Red Storm" system, more than 1,000 processors mustering roughly five teraflops of capability, was installed and humming in PSC's machine room in time to bring in 2005. This is the first installed system of the Cray, Inc. XT3 product line, which Cray announced in October 2004. The XT3 architecture is based on the "Red Storm" system developed at the Sandia National Laboratories. The PSC system will soon provide 10 teraflops of capability for NSF science and engineering research and education. Applications already running on the new system include storm forecasting, earthquake modeling, quantum chromodynamics, cosmology and numerical relativity. A quantum materials science application, LSMS, shows per-processor performance on the XT3 more than twice that of LeMieux, PSC's existing terascale computer system. Other application areas expected to benefit significantly from the PSC XT3 system include molecular dynamics modeling of complex biological systems, modeling of cellular microphysiology, fluid dynamics and turbulence, blood flow, climate modeling, and network simulation and modeling.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.074 BIOLOGICAL SCIENCES

(BIO)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To promote the progress of the biological sciences and thereby strengthen the Nation's scientific enterprise; to increase scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project grants in the following disciplines: molecular and cellular biosciences, integrative organismal biology; environmental biology; biological infrastructure; and plant genome research. Support is also provided for the purchase of multi-user scientific equipment and instrument development, and for research workshops, symposia, and conferences. In addition, awards are made to improve the quality of doctoral dissertations in environmental biology and integrative organismal biology; for postdoctoral fellowships in biological informatics and postdoctoral fellowships to minority scientists in all areas of research supported by the biological sciences.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment, expendable materials and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By electronic submission via FastLane of a formal proposal describing the research or study to be undertaken. Guidelines are contained in publications, "Grant Proposal Guide." This program is subject to the provisions of coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals generally with the assistance and advice of other qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Proposals are accepted in order of merit to the extent permitted by available funds. States will be notified of Federal assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

6 months.

Appeals:

The principal investigator may request, in writing, that the Foundation reconsider its action in declining any application.

Renewals:

Proposals for renewal, containing the same type of information as the original proposal, should be submitted 6 to 9 months before funding from the current award is expected to be exhausted, renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

Cost-sharing is not required for awards made solely for symposia, conferences and workshops, publication, education and training, or travel. The Grant Proposal Guide (GPG) (Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost sharing.

Length and Time Phasing of Assistance:

Up to 5 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to insure that funds are used for the general purpose for which the grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$608,540,000; FY 08 est \$612,020,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$2,000 to \$12,389,000; \$184,040.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 6,726 proposals were received and 1,303 awards were made. In fiscal year 2008, approximately 7,000 proposals are expected to be received and 1,250 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>).

Regional or Local Office:

None.

Headquarters Office:

Assistant Director, Biological Sciences, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703)292-8400. NSF World Wide Web site URL: <http://www.nsf.gov/>.

Web Site Address:<http://www.nsf.gov/bio/>.**RELATED PROGRAMS:**

10.206, Grants for Agricultural Research, Competitive Research Grants; 47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.070, Computer and Information Science and Engineering; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure; 93.281, Mental Health Research Career/Scientist Development Awards.

EXAMPLES OF FUNDED PROJECTS:

1) BioLit: Open Source Tools for Integrating Biological Literature and Databases. (2) Potential for the Recovery of Biogeochemical Function in Degraded Stream Ecosystems. (3) Evolution of Cavefish Eye Degeneration. (4) Sequencing the Maize Genome. (5) The Energy Landscapes of Protein Folding and Function: Connecting Theory and Experiments. (6) The Emergence of Life: From Geochemistry to the Genetic Code.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include

considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.075 SOCIAL, BEHAVIORAL, AND ECONOMIC SCIENCES

(SBE)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C 1861 et seq.

OBJECTIVES:

To contribute to the scientific strength and welfare of the Nation through the promotion of basic research and education in the social, behavioral and economic sciences and through monitoring and understanding the resources invested in science and engineering in the United States. Supported activities include research and education in the following disciplines: Anthropological and geographic sciences; cognitive, psychological and language sciences; economics, decision risk and management sciences; sociology, political sciences; innovation and organizational change, measurement methods and statistics, law and social science and science and society. Other SBE grants build infrastructure, and develop methods, support science and technology centers, including climate change and science of learning centers, and fund research workshops, symposia, and conferences. Educational activities include awards to improve the quality of doctoral dissertations in the behavioral and social sciences; grants for graduate traineeships and postdoctoral fellowships; and grants to promote K-12 education in the SBE sciences. Support is also provided for junior faculty and mid-career development opportunities, undergraduate student research, and for research opportunities for women, minorities, and the differentially abled. The Directorate also funds science studies; studies of scientists and engineers, R&D funding and expenditures, and educational attainment in science, mathematics and engineering.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Programs in social, behavioral and economic sciences and in science resources statistics provide funds that may be used for paying costs necessary to conduct research or studies, such as salaries and wages, equipment and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for the supervision of grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities, as well as for submitting progress and final reports on research activities. Grants are made on a competitive basis. Funds must be used for purposes specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic

organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For some competitions, a preliminary proposal may be required. If applicable, the program solicitation will provide specific information. For all programs it is suggested that an initial inquiry be made before a proposal is submitted to determine whether a potential project qualifies for NSF support. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Project support requires the submission of a formal proposal, signed electronically by an official authorized to commit the institution or organization in financial affairs, fully documenting the planned activity and proposed amount of award. Guidelines are contained in publications, "Grant Proposal Guide," and "2004 Guide to Programs," NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

NSF staff members review and evaluate all proposals. To assist them, they usually obtain the advice of scientists and engineers who are specialists in the field covered by the proposal. Proposals are supported on the basis of merit to the extent permitted by available funds.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

The normal duration is from 5 to 9 months with most decisions being made within six months.

Appeals:

The project director or principal investigator may request, in writing, that the Foundation reconsider its action in declining any proposal, renewal, or continuing grant proposal.

Renewals:

Proposals for renewal of awards, containing the same type of information as the original proposal, should be submitted 6 to 9 months before the expiration of the original award. Renewals are treated in competition with pending proposals.

Formula and Matching Requirements:

Cost sharing is normally not required. The Grant Proposal Guide (GPG)(Sec. II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Up to 5 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. For travel grants, a travel report is required. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each award to ensure that funds are used for the general purpose for which the award was made. Records are subject to inspection during the life of the award and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$214,540,000; FY 08 est \$215,130,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,100 to \$4,980,000; \$85,395.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 4,284 competitive proposals were received and 1,143 awards were made, and in fiscal year 2008 approximately 4,250 competitive proposals are expected to be received and about 1,148 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "2004 Guide to Programs," NSF 04-009 (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf04009); and "Grant Proposal Guide," (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf0423&org=NSF).

Regional or Local Office:

None.

Headquarters Office:

Directorate for Social, Behavioral and Economic Sciences, National Science Foundation, 4201 Wilson Blvd., Suite 905, Arlington, VA 22230. Telephone: (703) 292-8700. Division of Science Resources Statistics, National Science Foundation, 4201 Wilson Blvd., Suite 965, Arlington, VA 22230. Telephone: (703) 292-8780. <http://www.nsf.gov/sbe/srs>. Division of Social and Economic Sciences, National Science Foundation, 4201 Wilson Blvd., Suite 995, Arlington, VA 22230. Telephone: (703) 292-8760. <http://www.nsf.gov/sbe/ses>. Division of Behavioral and Cognitive Sciences, National Science Foundation, 4201 Wilson Blvd., Suite 995, Arlington, VA 22230. Telephone: (703) 292-8740. <http://www.nsf.gov/sbe/bcs>.

Web Site Address:

<http://www.nsf.gov>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.050, Geosciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

Topics of recently funded research include: brain activity associated with truth and deception; the influence of fear on perceptions and decision making; network modeling; rebuilding from disasters; and the effects of terrorist assaults and natural disasters on people removed from physical harm but emotionally engaged with those who have directly suffered. With major support from the SBE-managed Human and Social Dynamics priority area and SBE's core programs, NSF recently announced new Small Grants for Exploratory Research (SGER) awards to study the impact of Hurricane Katrina on people and social systems in the hard-hit Gulf Coast region. SGER awards are limited in size and support exploratory, high-risk research and have proven to be especially well-suited for rapid-response situations in which the need for timely response is crucial in order to capture time-sensitive and perishable data. SBE previously used SGERs effectively to field research teams in the aftermath of both the September 11th terrorist attacks and the 2004 Indian Ocean tsunami. Other recently funded projects investigate the human dimensions of ecological issues such as climate change and the social and ethical issues that surround advances in nanotechnology. SBE also provides statistical data for critical analyses of the role of foreign citizens in the U.S. science and engineering workforce. In addition, SBE awards foster the development of new information technology systems and software, the sharing of data within and across disciplines, the development of new social research infrastructures, and education at all levels in the SBE sciences.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of two merit review criteria: Intellectual Merit and Broader Impacts (see Grant Proposal Guide (GPG) chapter III.A). In some instances NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. Chapter II of the GPG specifies that Principal Investigators must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. While proposers must respond to both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which they are qualified to make judgments. Below are considerations that help define the two merit review criteria. These considerations are suggestions; not all will apply to any given proposal. (1) What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To

what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? (2) What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? Additionally, in making funding decisions NSF will also give careful consideration to integrating research and education, and integrating diversity into NSF programs. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. This supports these institutions in their efforts to provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports and enriches research through the diversity of learning perspectives. Integrating Diversity into NSF Programs,

Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.076 EDUCATION AND HUMAN RESOURCES

(EHR)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C 1861 et seq.

OBJECTIVES:

To provide leadership and ensure the vitality of the Nation's science, technology, engineering and mathematics (STEM) education enterprise. The Education and Human Resources (EHR) component of the National Science Foundation sponsors programs that support the development of models and strategies for providing all students with access to high-quality STEM education. The portfolio of EHR programs in STEM education is comprised of efforts spanning pre-K to 12 (with special emphasis on projects that join institutions of higher education with K-12 education units), undergraduate, graduate and post-doctoral levels, as well as informal education and life-long learning. Long-term goals include: Supporting infrastructure (especially broad-based collaborative partnerships) that will enable development of high-quality educational experiences for all students; ensuring that our educational pathways yield an adequately educated and diverse corps of individuals for both the highly technical workplace and the professional STEM community; developing a cadre of professionally educated and well-trained teachers and faculty; and providing the research necessary to inform educational practice. The strength of EHR programming resides in its ability to integrate research and education, combining the expertise of the research and education communities. Its programs include support for STEM workforce development; educational materials, including use of learning technologies, effective in increasing student achievement; research on learning and teaching that informs education practice; strategies for developing deep content knowledge and teaching skills for K-12 teachers; and informal science education. The EHR activity also sponsors projects under the Experimental Program to Stimulate Competitive Research (EPSCoR) program, which builds the capacity of participating states to compete successfully for Federal research funding. EHR programs are subject to continuous improvements based on program reviews, evaluation, dissemination of best practices, and educational research.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for costs necessary to conduct research, educational activities or studies, including salaries and expenses, permanent equipment, expendable materials and supplies, travel, publication costs, and other direct and indirect costs. Some programs provide funds for undergraduate scholarships managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Graduate

fellowships provide for stipends and allowances to be paid to the awardee through his/her institution; a fixed cost-of-education allowance is paid directly to the institution.

Applicant Eligibility:

Graduate students, public and private colleges (2-year and 4-year) and universities, State and local education agencies, tribal entities, nonprofit and private organizations, professional societies, science academies and centers, science museums and zoological parks, other informal science education institutions, research laboratories, and other institutions with an educational mission may apply.

Beneficiary Eligibility:

Elementary, secondary and undergraduate science, technology, engineering, and mathematics teachers and faculty; preK-12, undergraduate and graduate students; public and private colleges (2-year and 4-year) and universities; State and local educational agencies; tribal entities, nonprofit and private organizations; professional societies; science academies and centers; science museums and zoological parks; other informal science education institutions, research laboratories, and other institutions with an educational mission.

Credentials Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular No. A-21 for colleges and universities, No. A-87 for State and local governments, and No. A-122 for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average.

Preapplication Coordination:

In selected areas, discussion with NSF program staff is strongly recommended and/or submission of a preliminary proposal is required before submitting formal proposals. Other areas are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." A proposer should consult the office or official designated as the single point of contact in his or her state for more information on the process that the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

By electronic submission via FastLane of a formal proposal, and, in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the "Grant Proposal Guide."

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board. In most cases reviews are undertaken with the advice of scientists, engineers, educators and other appropriate persons who are specialists in the fields covered by the proposals. External reviewers, who are conversant with the fields covered by the applications, review and evaluate all graduate fellowship applications. NSF makes awards on a competitive basis.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

NSF is striving to be able to advise proposers whether their proposals have been recommended or declined for funding within six months. The time interval begins on the closing date of an announcement or solicitation, or the date of proposal receipt (whichever is later). The time interval ends when the cognizant NSF Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing within 90 days of a declination or return, that the Foundation reconsider its action in declining or returning any proposal or application.

Renewals:

Contact NSF program staff for specific renewal policies and procedures.

Formula and Matching Requirements:

Programs vary with regard to the required level of institutional contribution or cost-sharing; requirements are provided in program announcements and solicitations. The Grant Proposal Guide (GPG)(Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information.

Length and Time Phasing of Assistance:

Up to 5 years. For NSF Graduate Fellowships, up to 3 years of support. Assistance is disbursed to the institution for monthly stipend allotment to the fellow.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements

may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter. Special record keeping requirements apply to fellowships.

Account Identification:

49-0106-0-1-251.

Obligations:

(Grants) (Excludes H-1B Nonimmigrant Petitioner Fees, EPSCoR) FY 07 \$695,650,000; FY 08 est \$725,600,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$3,000 to \$15,000,000; \$255,472.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 4,249 proposals were received, and 904 awards were made. In fiscal year 2008, it is anticipated that approximately 4,550 proposals will be received and about 910 awards will be made. In addition, H-1B Nonimmigrant Petitioner scholarship activity made 133 awards to institutions in fiscal year 2006, resulting in over 9,700 scholarship opportunities.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Chapter VI; 48 CFR Chapter 25; "2004 NSF Guide to Programs," NSF 04-009 (<http://www.nsf.gov/pubsys/ods/getpub.cfm?gp>); "Grant Proposal Guide," (<http://www.nsf.gov/pubsys/ods/getpub.cfm?gpg>).

Regional or Local Office:

None.

Headquarters Office:

Assistant Director, Education and Human Resources, National Science Foundation, 4201 Wilson Blvd., Room 805 Arlington, VA 22230. Telephone: (703) 292-8600. NSF World Wide Web site URL: <http://www.nsf.gov/dir/index.jsp?org=EHR>.

Web Site Address:

<http://www.nsf.gov/dir/index.jsp?org=EHR>.

RELATED PROGRAMS:

47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure; 84.120, Minority Science and Engineering Improvement; 84.168, Eisenhower Professional Development Federal Activities; 84.186, Safe and Drug-Free Schools and Communities State Grants; 84.203, Star Schools.

EXAMPLES OF FUNDED PROJECTS:

1) In a study conducted under a grant to the Educational Testing Service supported by EHR's Research on Learning and Education (ROLE) program, researchers and educators have successfully demonstrated a computer-based learning system for mathematics that can accommodate both visually impaired and sighted students. The system uses text enlargement on normal displays for low vision students, a Talking Tactile Tablet for blind students, and normal displays for fully sighted students. In addition, the system develops a model for each student's understanding of mathematical concepts, and then uses those models to provide problem-solving advice to students regardless of their visually acuity. The student models have been validated in a pilot test of 50 students, and the system is now being tested with over 300 blind, visually impaired, and fully sighted students. 2) Funded by EHR's Information Technology Experiences for Students and Teachers (ITEST) program, an innovative effort to demonstrate its capabilities is being developed by the Center for Embedded Networked Sensing Education (CENSEi) at the University of California-Los Angeles. CENSEi's web-supported curricular materials allow middle school students to explore scientific data collected from embedded sensor networks deployed in Southern California ecosystems. CENSEi draws on the expertise of education researchers, natural scientists, information scientists, and teachers to overcome the challenges students face in using scientifically rich data. Their efforts investigate how a data rich sensor environment can become a learning environment with an appropriate interface and supports for student inquiry. CENSEi capitalizes on NSF's investment in the Center for Embedded Network Sensing, a Science and Technology Center. 3) EHR's Informal Science Education (ISE) program supports Peep and the Big Wide World (PEEP), a daily, half-hour television series with accompanying web and outreach activities for 3- to 5-year-olds. PEEP gives wings to the innovative idea of teaching science to preschoolers. Airing on TLC and the Discovery Kids Channel, the TV series is tied for the top-rated program for children 2-5 in the weekday morning time block. The series is also reaching parents, providing them with information on how to extend their children's science learning in everyday situations. And PEEP is impacting the field of informal science education, as well, by giving preschool educators resources and training in how to nurture young children's science learning. PEEP is achieving this impact in part through

its community of collaborators. Partners include educational organizations (e.g., 4-H, the National Education Association, Parents as Teachers), libraries (American Library Association), museums (via the Boston Children's Museum), and promotional media outlets. 4) The NSF Graduate Teaching Fellowships in K-12 Education (GK-12) program supports graduate students in STEM fields while providing them an opportunity to serve as resources in K-12 schools. Graduate Fellows at the University of Colorado Boulder taught engineering to more than 1,600 girls and boys through its integrated teaching and learning program. In the Denver School of Science and Technology, a high needs school, GK-12 Fellows initiated an engineering technical elective course. During fall 2005 engineering lessons were developed on several topics, including weather, biodomes, dams, states of matter, the human body and natural disasters. 5) With a grant from the Integrative Graduate Education and Research Traineeships (IGERT) program, IGERT students at the University of Alaska, Fairbanks, are assessing the sustainability of high-latitude regions, and working to discover how to reduce the vulnerability of these regions to both ecological and social change. One of the students contributed to the polar chapter of the UNESCO-sponsored Millennium Assessment, which is investigating the impacts of global change on human well-being. Four students worked with Native village councils or with the Alaska Native Science Commission to develop plans to assess changes in the subsistence resource base for Native Alaskans. 6) In December 2005, the National Science Digital Library (NSDL), in partnership with the Digital Library for Earth System Education (DLESE), offered free online professional development workshops to K-12 science and math teachers in hurricane-impacted schools, as well as to those teaching hurricane-displaced students. The workshops provided practical ideas for finding and using digital library resources, with a particular emphasis on strategies that are easy to implement in storm-stressed classrooms and in distance learning courses being offered to displaced students. 7) The state of North Carolina has experienced an increase in new STEM graduates as a result of EHR's Louis Stokes Alliances for Minority Participation (LSAMP) program. Led by North Carolina A&T State University, the NC-LSAMP is a partnership of eight North Carolina colleges and universities. The project increases the quantity and quality of underrepresented minority students receiving bachelor's degrees in STEM disciplines. The newly instituted "Bridge to the Doctorate" initiative is in high gear supporting graduate students in a broad range of science and engineering disciplines. 8) Improving teacher quality in mathematics and science education, K-12, is a key goal of the Math and Science Partnership (MSP) program. Graduates of the Florida Atlantic University Teacher Institute, for example, will receive a master's degree that includes a newly developed strand for middle school mathematics teaching. MSP Summer Institutes respond to the differentiated needs of the teachers they serve. In its work with inservice teachers in central Appalachia, the Appalachian Mathematics and Science Partnership (AMSP), a Comprehensive Partnership, reports significant gains with teachers in the project's innovative 2005 summer Algebra Institutes. 9) Building on an earlier NSF-supported project at the Indiana University of Pennsylvania (which was funded by NSF's Course, Curriculum, and Laboratory Improvement (CCLI) program), educators at the University of Wisconsin, Eau Claire, have developed the Computational Laboratory for Information and Computer Security, or CLICS. Funded by NSF's Federal Cyber Service: Scholarship for Service (SFS) program, CLICS is a two day "cyber-war exercise" that gives undergraduate students hands-on experience with the issues, strategies, and tools involved in computer security and information assurance. The Cyber-war exercises involve students in real-world, team-based efforts to harden their systems, detect intrusions and respond in real time - without threatening the wider campus network. 10) With funding from EHR's Centers of Research Excellence in Science and Technology (CREST) the Florida International University CREST Center has created TerraFly (<http://terrafly.fiu.edu>), a Web-enabled system developed to aid in the visualization of spatial imagery, the first step toward building geospatial skills. The system allows Web surfers to "fly" over the Earth's surface and explore spatial data such as aerial photography, satellite imagery, street maps, and locale information. The web site is designed for users of all levels, from grade school to university researchers. Unlike other geographic information systems, TerraFly runs via standard Web browsers, with no need to download software or data prior to visualization. This ease of use and accessibility is evidenced by the most recent user statistics that report over 10,000 hits a day. 11) The Experimental Program to Stimulate Competitive Research (EPSCoR) is funding the Quarked project which creates an entertaining multimedia experience about quarks aimed at children ages 7-12, but accessible to all ages. The educational goals of the project are to introduce quarks and the people who work with them into the common language of kids; investigate how young people are introduced to and grasp the scientific world of the unseen and abstract; and to demystify subatomic physics, while changing the perception that science is difficult and inaccessible. Developments include a half-hour animated TV series, an interactive web site (www.quarked.org), museum programs, and educational outreach activities. 12) With support from NSF's Robert Noyce Scholarship Program, Drexel University recruits science, engineering, and mathematics majors who are committed to teaching

mathematics and science in the School District of Philadelphia. The program provides strong teacher preparation addressing content knowledge, pedagogical knowledge, learner knowledge and technology integration. Mentoring and induction activities provide support to the new teachers. To broaden the exposure of students to teaching, the Noyce Seminar Series provides a venue for Noyce Scholars to interact with teacher leaders, teacher scientists, and experts who are leaders in pedagogy, science and mathematics teaching or scientists with a penchant for teaching.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens - women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.078 POLAR PROGRAMS

(OPP)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the polar regions. To encourage and support basic research in the Arctic and Antarctic

focused on the solid earth, glacial and sea ice, terrestrial ecosystems, the oceans, the atmosphere and beyond. Major objectives include understanding of the natural phenomena and processes in the Antarctic and Arctic regions and their role in global systems. To provide postdoctoral fellowships for the development and training of new investigators in polar regions research with goals to support innovative research in emerging areas, encourage interdisciplinary research, foster activities that create broader impacts for science and society, and to increase the participation of underrepresented groups. Support is also provided for science and technology centers, undergraduate student research, facility enhancement, instrumentation, and laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, but preliminary discussions with the relevant National Science Foundation program officer are encouraged, particularly for projects requiring logistic or facility support or involving coordination with other projects and programs. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By electronic submission via FastLane of a formal proposal describing the research or study to be undertaken. Guidelines are contained in publication, "Grant Proposal Guide," and "Guide to Programs Fiscal Year 2004," NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals usually with the assistance and advice of other qualified scientists, and other appropriate persons, who are specialists in the field covered by the proposal. Awards are made in order of merit and program relevance to the extent permitted by available funds. States will be notified of Federal assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

The Principal Investigator whose proposal for support has been declined may request, in writing, and receive from the cognizant program officer the reasons for declination. The proposer may also obtain verbatim copies of reviews of his/her proposals, though not the names of reviewers. If not satisfied, the Principal Investigator may request that the Foundation reconsider the procedural aspects of the declination action. Request for reconsideration must be received within 90 days of the declination letter.

Renewals:

Standard grants may be renewed once by amendment of the original grant, provided the cumulative duration does not exceed 5 years. Proposals for renewal should be submitted 6 months prior to the expiration of the original grant and should contain the same type of information as the original proposal plus a summary of progress to date, a proposed budget for the ensuing period, and a statement of expenditures to date and existing commitments that will require expenditure of residual funds from the original grant after the requested renewal date. Renewals compete with other proposals for available funds.

Formula and Matching Requirements:

Cost-sharing is not required for awards made solely for symposia, conferences and workshops, publication, education and training, facilities, equipment, ship

operations, or travel. The Grant Proposal Guide (GPG) (Chapter II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 1 to 3 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

49-0100-0-1-251; 49-0551-0-1-251; 49-0100-0-1-054.

Obligations:

(Grants) FY 07 \$145,920,000; FY 08 est \$151,200,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$1,000 to \$5,000,000; \$180,500.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 1,202 proposals were received and 372 awards were made. In fiscal year 2008, it is anticipated that approximately 1,230 proposals will be received and approximately 396 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "Guide to Programs, Fiscal Year 2004," NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); and "Grant Proposal Guide," (<http://www.nsf.gov/pub?nsf0423>); "Arctic Research Program Opportunities," NSF 05-618 (no charge); "Antarctic Research," NSF 06-549 available from: Forms and Publications, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230.

Regional or Local Office:

None.

Headquarters Office:

National Science Foundation; 4201 Wilson Blvd., Arlington, VA 22230. Arctic Sciences: Dr. Michael Van Woert, Acting; Telephone: (703) 292-8029; Fax: (703) 292-9082; Antarctic Sciences: Dr. Scott Borg; Telephone: (703) 292-8033; Fax: (703) 292-9079; Polar Research Support: Mr. Erick Chiang; Telephone: (703) 292-8032; Fax: (703) 292-9080; Environment, Safety and Health: Dr. Michael Montopoli; Telephone: (703) 292-8031; Fax: (703) 292-9079. NSF World Wide Web site URL: <http://www.nsf.gov>.

Web Site Address:

<http://www.nsf.gov/od/opp/>.

RELATED PROGRAMS:

11.417, Sea Grant Support; 11.430, Undersea Research; 47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.050, Geosciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

Polar Sciences: Investigations of atmospheric, earth, biological and ocean sciences, glaciology in the Arctic and the Antarctic, and of social sciences in the Arctic.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project

Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens, women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.079 INTERNATIONAL SCIENCE AND ENGINEERING (OISE)

(International)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To enable the U.S. research community to maintain its excellence in science, engineering, and leadership within the global scientific community by strengthening international partnerships that foster the advancement of scientific discovery and thereby contribute to the continued scientific strength and welfare of the Nation; to promote research excellence through international collaboration; to develop a diverse, globally engaged U.S. science and engineering workforce by providing U.S. students and junior faculty with international research and education experience. The research supported is basic in character. The program includes support of international research and education projects that are innovative and catalytic. OISE programs are designed to complement and enhance the Foundation's research and education portfolio and to overcome barriers involved in international collaboration. Grants are made in all the disciplinary fields supported by NSF. Support is provided for international collaborative research; research workshops and planning visits; activities that will develop the next generation of U.S. scientists and engineers such as graduate traineeships, postdoctoral fellowships, special opportunities for junior faculty for research support, and dissertation enhancements; and for U.S. Government support to key multilateral organizations to enable U.S. scientists to participate in such global efforts. Support is also provided for undergraduate student international research and education experiences, and for research opportunities for women, minorities, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

International cooperative scientific activities provide funds for U.S. scientists and engineers to carry out studies abroad, to conduct research, to engage in joint research projects with foreign counterpart organizations, and to support international workshops focused on well-defined scientific opportunities in the United States and abroad; travel must be on U.S. flag carriers. In some programs, support is provided by paying costs necessary to conduct research, such as salaries, equipment, supplies, travel, publications, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For some international bilateral research programs, proposals may be developed between the two scientists for submission to the implementing agencies in both countries at the same time. Proposals must be cooperative in nature and be approved by implementing agencies in both countries. For other programs a preliminary proposal may be required. If applicable, the program solicitation will provide specific information. For all programs it is suggested that an initial inquiry be made before a proposal is submitted to determine whether a potential project qualifies for NSF support. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For project support, submission of a formal proposal, signed electronically by an official authorized to commit the institution or organization in financial affairs, fully documenting the planned activity and proposed amount of award. Guidelines are contained in publications, "Grant Proposal Guide," and "FY 2004 Guide to Programs," NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

NSF staff members review and evaluate all proposals. To assist them, they usually obtain the advice of scientists and engineers who are specialists in the field covered by the proposal. Proposals are supported on the basis of merit to the extent permitted by available funds.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

The normal duration is from 5 to 9 months.

Appeals:

The project director or principal investigator may request, in writing, that the Foundation reconsider its action in declining any proposal, renewal, or continuing grant proposal.

Renewals:

Proposals for renewal of awards, containing the same type of information as the original proposal, should be submitted 6 to 9 months before the expiration of the original award. Renewals are treated in competition with pending proposals.

Formula and Matching Requirements:

For some international cooperative research projects, funds may be contributed from both countries. In these cases, each country assumes responsibility for support of its own participation in the project within its own territory. Cost-sharing does not apply to any international program. The Grant Proposal Guide (GPG)(Sec. II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Up to 5 years.

Reports:

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the

expiration of a grant, the PI is required to submit a final project report. For travel grants, a travel report is required. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each award to ensure that funds are used for the general purpose for which the award was made. Records are subject to inspection during the life of the award and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

(Grants) FY 07 \$40,360,000; FY 08 est \$41,340,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$500 to \$2,000,000; \$40,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2006, 713 competitive proposals were received and 320 awards were made. In FY 2007, 778 proposals were received and 355 awards were made. In FY 2008 approximately 875 competitive proposals are expected to be received and about 350 awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; "2004 Guide to Programs," NSF 04-009 (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf04009); and "Grant Proposal Guide,"

(http://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf0423&org=NSF).

Regional or Local Office:

None.

Headquarters Office:

Office of International Science and Engineering, National Science Foundation, 4201 Wilson Blvd., Suite 935, Arlington, VA 22230. Telephone: (703) 292-8710. <http://www.nsf.gov/div/index.jsp?org=OISE>.

Web Site Address:

<http://www.nsf.gov>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.050, Geosciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

"The Consortium of the Americas for Interdisciplinary Sciences: Present-day Kinematics and Dynamics of the Eastern Mediterranean and Caucasus;" "US-Africa Planning Visit: Collaborations in Cultural Astronomy;" "NSF East Asia Summer Institutes for US Graduate Students;" "US-Africa Planning Visit: Undergraduate Engineering Research in Ghana and Kenya"; US-France (INRIA) Cooperative Research: Symbolic and Numerical Methods for Geometric Modeling.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include

considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.080 OFFICE OF CYBERINFRASTRUCTURE

(OCI)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To coordinate and support the acquisition, development and provision of state-of-the-art cyberinfrastructure resources, tools and services essential to the conduct of frontier 21st century science and engineering research and education.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to pay costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

The proposal must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for educational institutions and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, except in specific cases, but preliminary discussions with relevant National Science Foundation program officers, by telephone or mail, are encouraged. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals being submitted to the Office of Cyberinfrastructure should follow the general instructions and guidelines in the "Grant Proposal Guide." All proposals are acknowledged. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals, with the advice and

assistance of scientists and engineers who are specialists in the field covered by the proposal, of prospective users of research results when appropriate, and of specialists in other Federal agencies.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for a further description of these types of due dates.

Range of Approval/Disapproval Time:

Approximately six months or less, except in special instances.

Appeals:

The Principal Investigator may request, in writing, that the National Science Foundation reconsider its action in declining any proposal, renewal, or continuing grant proposal.

Renewals:

Proposals for renewal of NSF grants compete with all other pending proposals.

Formula and Matching Requirements:

The Grant Proposal Guide (GPG, Chapter II) and the Grant Policy Manual (Sec. 330) provide information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 6 months to 3 years; occasionally longer.

Reports:

For multi-year grants (including both standard and continuing grants), the PI must submit an annual progress report to the cognizant program officer at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit final project report. Quarterly Cash Transaction Report are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audit of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which each grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

Obligations: (Grants) FY 07 \$182,420,000; FY 08 est \$185,330,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$20,000,000; \$339,956.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 130 proposals were received and 42 awards made. In fiscal year 2007, 307 proposals were received and 70 awards were made. In fiscal year 2008, approximately 320 proposals are expected to be received 71 awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

48 CFR Chapter 25; 45 CFR Chapter VI; NSF Guide to Programs, fiscal year 2004, NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?/nsf04009>); and "Grant Proposal Guide," (<http://www.nsf.gov/cgi-bin/getpub?/nsf0423>). NSF World Wide Web site URL: (<http://www.nsf.gov/oci/>).

Regional or Local Office:

None.

Headquarters Office:

Office of the Director, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292-8970.

Web Site Address:

<http://www.nsf.gov/oci/>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.050, Geosciences; 47.070 Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE).

EXAMPLES OF FUNDED PROJECTS:

1) The OptIPuter: Essentially, the OptIPuter is a "virtual" parallel computer in which the individual "processors" are widely distributed clusters; the "backplane" is provided by IP delivered over multiple dedicated lambdas (each 1-10 Gbps); and, the "mass storage systems" are large distributed scientific data repositories, fed by scientific instruments as OptIPuter peripheral devices, operated in near real-time. Furthermore, collaboration will be a defining OptIPuter characteristic; goals include implementing a next-generation Access Grid with optical multicast, enabling tiled stereo HDTV screens of reality-matching visual resolution. The OptIPuter is an embodiment of the vision of the "hollowing out of the computer" prophesized in the mid-1990s. The old

"computer-in-a-box" is being blown up and scattered across the Net. The OptIPuter's fundamental inventions include software and middleware abstractions to deliver unique capabilities in a lambda-rich world, a world in which endpoint-delivered bandwidth is greater than individual computers can saturate. The OptIPuter project explores a new architecture for the distributed information infrastructure (which NSF terms infrastructure) required by a number of this decade's science and engineering shared facilities. The project is driven by a close collaboration with leaders of two of these community systems, NSF's EarthScope and NIH's Biomedical Imaging Research Network (BIRN) - both of which are beginning to produce an accelerating flood of data which will be stored in distributed federated data repositories. One characteristic blocking such science is that the individual data objects (a 3D brain image or a terrain dataset) are large (Gigabytes) compared to what can be interactively manipulated or visualized over today's networks. What these scientists require are ultra-high-speed predictable "clear-channel" networks linking PC clusters, storage and visualization systems, enabling collaborating scientists to explore interactively massive amounts of previously uncorrelated data. An important opportunity exists over the next few years to develop a radical new architecture for this needed scientific infrastructure. 2) Engaging People in Cyberinfrastructure (EPIC): TeacherTECH program reached 540 K-12 educators from February 2005 to December 2005 through monthly and summer workshops in science, technology, engineering and math. These educator participants represented all of San Diego County, from urban to rural, from the tip of San Diego County all the way to the border with Mexico. Seventy educators were reached last year. Workshops include TeacherTECH Technology Tools workshops, TeacherTECH Advanced Technology Tools workshops, TeacherTECH Science Series and a TeacherTECH Math Series. Many of the TeacherTECH Science Series workshop presentations are archived on our TeacherTECH site (<http://education.sdsc.edu/teachertech>) for viewing by teachers across the nation. Ten thousand people have visited the new TeacherTECH website since its inception in May 2005. The site includes standards-based curriculum submitted by TeacherTECH participants, science lectures, scientific visualizations, notes, resources and much more. Our community partners and educators alike have praised our site. 3) TornadoGenesis within a simulated supercell storm: Using the Advanced Regional Prediction System model and supercomputing facilities a realistic supercell storm is simulated and successfully reproduced vorticity and other features of the most-intense tornado ever simulated. The use of a uniform resolution grid large enough to contain the entire parent storm is a first, and eliminates the uncertainties of artificial human control associated with nested grid simulations or simulations using horizontally stretched grids. The peak wind speed, reaching 120 meters per second, places the tornado within the F5 intensity scale of Fujita. Atmospheric data from this simulation will be used to develop algorithms for a new system of cell-phone tower based Doppler radar, called CASA (Center for Collaborative Adaptive Sensing of the Atmosphere), projected to reduce tornado false-alarm forecasts from the current 75 percent to 25 percent. 4) The Pacific Rim Application and Grid Middleware Assembly (PRAGMA): An intensive international effort involving researcher from more than ten institutions to improve grid-based application, collaboration, discourse and exchange of scientific personnel among Pacific Rim institutions. It builds on an initial series of workshops that focused on assessing the state of grid-based infrastructure, evaluated a collection of scientific applications and provided a recurring venue to nurture sustainable collaborations across the Pacific Rim. The original award provided funds to host the first workshop and allow both computing infrastructure specialists and applications scientists to attend follow on workshops. This ongoing effort created the initial impetus to move forward in both building long long-term international collaborations and creating a venue for applications scientists to effectively understand and use grid-based systems. 5) Keeping Condor Flight Worthy: This proposal outlines an effort to sustain the Condor High Throughput Computing Software (Condor) by the Condor Project at the University of Wisconsin-Madison (UW). The activities proposed are categorized into four areas of work: Support, Release, Enhance, and Use. "Support" activities aid users with installation, configuration, and usage through ticket-tracked email. Support also includes outreach work in the scientific computing community. This outreach work includes hosting an annual international Condor workshop, participating in online forums dedicated to Condor and distributed computing, writing articles and book chapters on using Condor, and delivering invited tutorials at workshops and conferences. "Support" functions serve mainly to deliver new versions of the Condor software. Support also includes maintenance - ongoing bug fixing, support for new operating system releases and new versions of dependent software packages, and updates to documentation. "Enhance" activities will generate basic improvements to the Condor software, such as enhancements in scalability and reliability, new capabilities on Win32, and the incorporation of recent advances in distributed computing technology. "Use" activities leverages the Grid Laboratory of Wisconsin (GLOW) project. GLOW is a multi disciplinary team of researchers across the University of Wisconsin campus that develops, implements, tests and deploys grid-enabled capabilities.

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued Important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposal Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.081 OFFICE OF EXPERIMENTAL PROGRAM TO STIMULATE COMPETITIVE RESEARCH

020 (EPSCoR)

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, Public Law 107-368, 42 U.S.C. 1861 et seq.

OBJECTIVES:

As an investment strategy, Co-funding enables members of the research communities within EPSCoR jurisdictions not only to improve their ability to compete for National Science Foundation (NSF) program funds, but also to increase their active participation and visibility as members of the national scientific research and education enterprise. To assist those jurisdictions that has historically received lesser amounts of academic research and development funding. The NSF EPSCoR Office established the Co-funding Initiative in FY 1998 to accelerate the movement of more researchers and institutions from EPSCoR jurisdictions into the mainstream of NSF support, and thereby contribute to the NSF EPSCoR concept of a Trajectory for Sustainable Scientific

Success.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to pay the costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists under special circumstances. See the Grant Proposal Guide for a full description of eligibility requirements.

Beneficiary Eligibility:

See the Grant Proposal Guide, Section I.E. for a full description of eligibility requirements.

Credentials/Documentation:

The proposal must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 for educational institutions and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, except in specific cases, but preliminary discussions with relevant National Science Foundation program officers, by telephone or mail, are encouraged. This program is excluded from coverage under E.O. 12372.

Application Procedure:

By electronic submission via FastLane of a formal proposal describing the research or study to be undertaken. Guidelines are contained in publication, "Grant Proposal Guide," and "Guide to Programs Fiscal Year 2004," NSF 04-009. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from the coverage under OMB Circular No. A-102.

Award Procedure:

NSF staff members review and evaluate all proposals, with the advice and assistance of scientists and engineers who are specialists in the field covered by the proposal, of prospective users of research results when appropriate, and of specialists in other Federal agencies.

Deadlines:

Many NSF program accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF utilizes Target Dates, Deadline Dates, and Submission Windows. Consult the Grant Proposal Guide, Section I.F. for further description of these types of due dates.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The Principal Investigator whose proposal for support has been declined may request, in writing, that the National Science Foundation reconsider its action in declining any proposal, renewal, or continuing grant proposal.

Renewals:

Proposals for renewals, containing the same type of information as the original proposal, should be submitted at least 6 months before the expiration date of the award. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

The Grant Proposal Guide (GPA, Chapter II) and the Grant Policy Manual (Section 330) provide information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance:

Normally 6 months to 3 years; occasionally longer.

Reports:

For multi-year grants (including both standard and continuing grants), the PI must submit an annual progress report to the cognizant program officer at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit final project report. Quarterly cash Transaction Report are required. Other reporting requirements may be imposed via the grant instrument.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audit of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are expected to maintain separate records for each grant to ensure that funds are used for the general purpose for which each grant was made. Records are subject to inspection during the life of the grant and for 3 years thereafter.

Account Identification:

49-0100-0-1-251.

Obligations:

FY 07 \$102,110,000; FY 08 est \$111,100,000; and FY 09 est not available.

Range and Average of Financial Assistance:

\$99,472 to \$9,200,000; \$5,918,265.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 14 proposals were received and 11 awards made. In fiscal year 2007, 18 proposals received and 18 awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR Chapter 25; 45 CFR Chapter VI; NSF Guide to Programs, fiscal year 2004, NSF 04-009 (<http://www.nsf.gov/cgi-bin/getpub?nsf04009>); and Grant Proposal Guide, (<http://www.nsf.gov/cgi-bin/getpub?nsf0423>). NSF World Wide Web site URL: <http://www.nsf.gov/oci/>.

Regional or Local Office:

None.

Headquarters Office:

Office of the Director, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703)292-8970.

Web Site Address:

<http://www.nsf.gov/oia>.

RELATED PROGRAMS:

47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.050, Geosciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.075, Social, Behavioral, and Economic Sciences; 47.076, Education and Human Resources; 47.078, Polar Programs; 47.079, International Science and Engineering (OISE); 47.080, Office of Cyberinfrastructure.

EXAMPLES OF FUNDED PROJECTS:

(1) With support from NSF's Experimental Program to Stimulate Competitive Research (EPSCoR), the SUNRISE research group of the University of North Dakota has developed an oilseed-based biojet fuel for aviation turbines and diesel engines that withstands cold temperatures and is more stable than traditional biodiesel fuels. The SUNRISE team is developing the technology to reduce the oil extraction cost specifically for biojet fuel application so that it is more cost effective than other fuels. SUNRISE also incorporates the research into chemistry and chemical engineering courses at the university, and educates the state's agricultural and financial communities and political leaders about biofuels and their potential economic impact. (2) The University of Puerto Rico and the Center for Hierarchical Manufacturing at the University of Massachusetts, Amherst made a scientific and technological breakthrough that enables the direct integration of diamond nanoparticles into electronic components for widespread applications. These applications include protective coating for medical implants, environmental sensors, optical components exposed to harsh environments, and improved electrodes for electrical uses. The Diamond Nanotechnology Project was sponsored by NSF through EPSCoR. The University of Puerto Rico recently submitted to the U.S. Patent and Trademark Office a disclosure document for this development entitled, "Method to Synthesize Diamond on Polymers, Semiconductors, and Other Temperature-Sensitive Materials." (OIA/EPSCoR).

CRITERIA FOR SELECTING PROPOSALS:

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1977 (NSF 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On July 8, 2002, the NSF Director issued important Notice 127, Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This important Notice reinforces the importance of addressing both criteria in the preparation and review of all merit review criteria are addressed when making funding decisions.

In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative. Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposals preparation and processing guidelines will more clearly articulate the importance of broader impacts of NSF-funded projects. The two National Science Board approved merit review criteria are listed below (see the Grant Proposals Guide Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative and original

concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens, women and men, underrepresented minorities, and persons with disabilities is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

RAILROAD RETIREMENT BOARD

57.001 SOCIAL INSURANCE FOR RAILROAD WORKERS

FEDERAL AGENCY:

RAILROAD RETIREMENT BOARD

AUTHORIZATION:

Social Security Act of 1935, as amended, Public Law 74-271, 49 Stat. 620, 42 U.S.C. 7, Subchapter 2; Railroad Unemployment Insurance Act, as amended, Public Law 75-722, 52 Stat. 1094, 45 U.S.C. 351-367; Railroad Retirement Act of 1974, as amended, Public Law 93-445, 88 Stat. 1305, 45 U.S.C. 231-231u.

OBJECTIVES:

To pay rail social security, rail industry pensions, Federal windfall benefits, supplemental annuities, permanent and occupational disability, and sickness and unemployment benefits to workers and their families.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

Under the provisions of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, benefits are provided for: (1) workers who retire because of age or disability; including supplemental annuities for long-service employees; (2) eligible spouses and divorced spouses of retired employees; (3) surviving widows, widowers, divorced spouses, children, and dependent parents of deceased employees; (4) unemployed workers; and (5) workers who are sick or injured. In addition, the Railroad Retirement Board participates in the administration of the Federal medical health insurance program for the aged and the disabled, which covers railroad retirement beneficiaries on the same basis as other eligible persons.

Applicant Eligibility:

Under the Railroad Retirement Act, for employee, spouse and survivor benefits the employee must have had 10 or more years of railroad service or, for annuities beginning January 2002 or later, 5 years of railroad service rendered after 1995. For survivors to be eligible for benefits, the employee must also have been insured at death. Under the Railroad Unemployment Insurance Act, an employee must have earned at least \$2,875.00 in railroad wages in calendar year 2005, \$2,987.50 in calendar year 2006, and \$3,075.00 in calendar year 2007 (counting no more than \$1150 in any month in calendar year 2005, \$1,195 in calendar year 2006 and \$1,230 in calendar year 2007) and, if a new employee, must have worked for a railroad at least 5 months in a calendar (base) year to be a qualified employee in the applicable benefit year.

Beneficiary Eligibility:

Individuals, families, pension recipients.

Credentials/Documentation:

Proof of age for retirement benefits; proof of marriage for spouse's or survivor's benefits; medical evidence for disability or sickness benefits; registration for proof of unemployment; proof of relationship; proof of military service; and other proofs as required by law.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application for retirement, disability, survivor, unemployment or sickness benefits should be made to any office of the Railroad Retirement Board.

Award Procedure:

The Office of Programs makes awards under the Railroad Retirement Act and the Railroad Unemployment Insurance Act.

Deadlines:

Benefits not payable earlier than specified period prior to application, varying with type of benefits; insurance lump-sum death payments application deadline is two years after employee's death. Applicants may contact any Railroad Retirement Board Office to obtain information relative to a specific type of benefit administered under the Railroad Retirement Act or the Railroad Unemployment Insurance Act.

Range of Approval/Disapproval Time:

Varies depending upon complexity of claim.

Appeals:

Initial appeals are made to the Bureau of Hearings and Appeals. Subsequent appeals from initial determinations may be made to the Board and ultimately to the U.S. Court of Appeals.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Various conditions explained on the benefit application certification form as affecting eligibility, e.g., earnings, marriage, divorce, recovery from disability, return to employer service, should be reported.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

60-8011-0-7-601; 60-8010-0-7-601; 60-8051-0-7-603; 60-0111-0-1-601; 60-0113-0-1-601.

Obligations:

(Benefit Payments) FY 07 \$9,907,000,000; FY 08 est \$10,178,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

(1) Employee age annuities-monthly maximum \$3,713.00, average \$1,789.00; (2) employee disability-monthly maximum \$3,676.00, average \$1,920.00; (3) employee supplemental annuities-monthly maximum \$70.00, average \$42.00; (4) spouse benefits-monthly maximum \$1,773.00, average \$678.00; (5) widows and widowers monthly maximum \$3,666.00, average \$1116.00; (6) widowed mothers and fathers monthly maximum \$3,187.00, average \$1,423.00; (7) children-monthly maximum \$2,107.00, average \$823.00; (8) unemployment and sickness-weekly maximum for benefit year 2007 to 2008 \$295.00, expected average \$295.00.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, benefits were paid to an estimated 643,000 retirees and their families, survivors of deceased railroad workers, and unemployed or sick railroad workers. Under the provisions of the Railroad Retirement Act, in fiscal year 2006, there were 35,617 applications for benefits and 33,993 awards made. Under the provisions of the Railroad Unemployment Insurance Act, in benefit year 2005 to 2006, there were 37,840 applications for benefits and 27,568 beneficiaries paid.

REGULATIONS, GUIDELINES, AND LITERATURE:

A series of free leaflets is available from Public Affairs, Railroad Retirement Board.

Regional or Local Office:

Colorado: Louis E. Austin, 1999 Broadway, Suite 3300 Box 7, Denver, CO 80202-5737, Telephone: (303) 844-0800; Georgia: Patricia Lawson, 401 W. Peachtree St., Suite 1703, Atlanta, GA 30308-3519, Telephone: (404) 331-2691; Pennsylvania: Richard D. Baird, Nix Federal Building, 900 Market Street, Suite 304, Philadelphia, PA 19107-4228. Telephone: (215) 597-2647.

Headquarters Office:

Public Affairs, Railroad Retirement Board, 844 North Rush Street, Chicago, IL 60611-2092. Telephone: (312) 751-4777, Contact: Anita Rogers.

Web Site Address:

<http://www.rrb.gov>.

RELATED PROGRAMS:

17.225, Unemployment Insurance; 17.302, Longshore and Harbor Workers' Compensation; 93.773, Medicare_Hospital Insurance; 93.774, Medicare_Supplementary Medical Insurance; 96.001, Social Security_Disability Insurance; 96.002, Social Security_Retirement Insurance; 96.003, Social Security_Special Benefits for Persons Aged 72 and Over; 96.004, Social Security_Survivors Insurance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

SECURITIES AND EXCHANGE COMMISSION

58.001 SECURITIES-INVESTIGATION OF COMPLAINTS AND SEC INFORMATION

(Complaints and Inquiries)

FEDERAL AGENCY:

SECURITIES AND EXCHANGE COMMISSION

AUTHORIZATION:

Securities Act of 1933, Public Law 73-22, 15 U.S.C. 77a et seq.; Securities Exchange Act of 1934, Public Law 73-291, 15 U.S.C. 78a to 78j, as amended, Public Laws 92-29 and 94-29; Public Utility Holding Company Act of 1935, Public Law 74-333, 15 U.S.C. 79 to 79z-6; Trust Indenture Act of 1939, Public Law 76-253, 15 U.S.C. 77aaa to 77bbbbb; Investment Company Act of 1940, Public Law 76-768, 15 U.S.C. 80a-1 to 80a-52; Investment Advisers Act of 1940, 15 U.S.C. 80b-1 to 80b-21; National Bankruptcy Act, Chapter X, Public Law 75-696, 11 U.S.C. 501-676; Securities Investor Protection Act of 1970, Public Law 91-598, 15 U.S.C. 78aaa, as amended, Public Law 95-283.

OBJECTIVES:

To serve investors who complain about investment fraud or the mishandling of their investments by securities professionals. To respond to a wide range of investor inquiries, produce and distribute educational materials, and organize investor education events. To advise the SEC and its staff about problems frequently encountered by investors and possible solutions. To represent the interests of individual investors in connection with SEC rule-making proceedings.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Investigation of Complaints.

USES AND USE RESTRICTIONS:

Investor complaints provide an important source of information about possible securities laws violations and can assist the SEC in its law enforcement and regulatory functions. Investors who believe that possible securities laws violations have occurred should advise the SEC so that it may take appropriate regulatory action. However, the SEC is not a collection agency. It does not advise investors whether particular transactions about which they may have complained violate the federal securities laws, nor does it seek recovery on behalf of investors through court action or otherwise. These laws do provide important remedies to investors, and investors should consider seeking the advice of an attorney as to any recourse available to them if they believe they have been defrauded.

Applicant Eligibility:

Anyone may seek information from or file a complaint with the SEC.

Beneficiary Eligibility:

The SEC's actions are designed to protect not only those who seek its help directly, but also all other similarly situated investors and the general public. Moreover, the SEC's public files contain financial and other information about several thousand companies, broker-dealers, investment companies, investment advisers, transfer agents, and banks. Members of the public may examine such material at the SEC's headquarters office in Washington, DC, or they may order copies of the material by contacting the SEC at: Public Reference Branch, Mail Stop 1-2, 450 Fifth Street, NW., Washington, DC 20549. Telephone: (202) 942-8090. Fax: (202) 628-9001. Email: publicinfo@sec.gov. The SEC also maintains a web site on the internet at www.sec.gov where the public can read or download public files on public companies whose securities are registered with the SEC, educational information, and investor alerts. Also, investors who believe they have been defrauded, or believe that another party has violated the federal securities laws are urged to present their complaint and/or information to the SEC for use in its enforcement and regulatory activities. SEC public actions do not necessarily result in any monetary benefits to investors. However, aggrieved investors may find the information the SEC discloses in its actions helpful in any private action they may bring to recover their losses.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Contact the Office of Investor Education and Assistance in Washington, DC, or any regional office Investor Assistance Specialist.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

50-0100-0-1-376.

Obligations:

(Salaries and expenses) FY 07 \$875,456,000; FY 08 est \$906,000,000; and FY 09 est 913,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Investor Assistance Specialists at headquarters and in our regional and district offices handled a total of 76,221 complaints, questions, and other investor contacts during fiscal year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SEC publishes and distributes free brochures that describe in plain English how the securities industry works, how to invest wisely and avoid fraud, and where to turn for help. Our print (hard-copy) brochures include "Ask Questions," "Invest Wisely: An Introduction to Mutual Funds," "Variable Annuities: What You Should Know," "Certificates of Deposit: Tips for Investors," "Microcap Stock: A Guide for Investors," "Internet Fraud," and "Get the Facts on Saving and Investing." All of these publications and many others also are available for free on the SEC's website at www.sec.gov/investor/pubs.shtml. To order a hard-copy brochure, investors should call our toll-free publications line at (1-800) 732-0330, or send a written request to the SEC's Publications Unit, 100 F Street, N.E., Washington, DC 20549.

Regional or Local Office:

Regional Offices: Joseph Connolly, Steven Sanchez, and Donna Smith, 3World Financial Center, Room 4300, New York, NY 10281, (212) 336-1100; Doreen K. Mosaphir, Suite 1800, 801 Brickell Avenue, Miami, FL 33131, (305) 982-6300; Pailine Zelkin, Suite 900, 175 West Jackson Blvd., Chicago, IL 60604, (312) 353-7390; Rhonda Erickson and Lucille V. Casias, Suite 4800, 1801 California Street, Suite 1500, Denver, CO 80202-2648, (303) 844-1000; and Joanne Morris, S. Junai Lewis, and Joanne Morris, 11th Floor, 5670 Wilshire Boulevard, Los Angeles, CA 90036-3648, (213) 965-3998. District Offices: Celia Moore, 33 Arch Street, 23rd Floor, Boston, MA 02110-1424, (617) 573-8900; Michale Novakovic and Nancy Carrieri, The Mellon Independence Center, 701 Market Street, Philadelphia, PA 19106-1532, (215) 597-3100; Vellamaray Graham, Suite 1000, 3475 Lenox Road, N.E., Atlanta, GA 30326-1232, (404) 842-7600; Barbara Ray, Suite 800, 801 Cherry Street, Fort Worth, TX 76102, (817) 978-6465; 15 W. South Temple Street, Suite 1800, Salt Lake City, UT 84101, (801) 524-5796; and Cheryl M. Lawson and Susan Lee, Suite 2600, 44 Montgomery Street, San Francisco, CA 94104, (415) 705-2500.

Headquarters Office:

Office of Investor Education and Assistance, Securities and Exchange Commission, 100 F Street, N.E., Washington, DC 20549-0213. Telephone: (202) 551-6551; Facsimile: (202) 772-9295. The public may submit questions and complaints electronically using our online question and complaint forms at <http://www.sec.gov/complaint.shtml>.

Web Site Address:

<http://www.sec.gov>.

RELATED PROGRAMS:

36.001, Fair Competition Counseling and Investigation of Complaints.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

SMALL BUSINESS ADMINISTRATION

59.006 8(A) BUSINESS DEVELOPMENT PROGRAM

(Section 8(a) Program)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, as amended, Section 8(a), 15 U.S.C. 634(b)(6), 636(j), 637(a) and (d); Public Laws 95-507 and 99-661, Section 1207; Public Laws 100-656 and 101-37.

OBJECTIVES:

To foster business ownership by individuals who are both socially and economically disadvantaged; and to promote the competitive viability of such firms by providing business development assistance including, but not limited to, management and technical assistance, access to capital and other forms of financial assistance, business training and counseling, and access to sole source and limited competition Federal contract opportunities, to help the firms to achieve competitive viability.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

This program utilizes authority provided to SBA under Section 7(j) and 8(a) of the Small Business Act to enter into procurement contracts with other Federal agencies and to subcontract the performance of these contracts to eligible program participants, and to provide access to capital and other forms of financial assistance, and to provide business training and counseling.

Applicant Eligibility:

Firms applying for 8(a) program participation must meet certain requirements which include, but are not limited to: (a) Status as a small business; (b) at least 51 percent unconditional ownership, control and management of the business by an American citizen(s) determined by SBA to be socially and economically disadvantaged, or by an economically disadvantaged Indian Tribe, Alaska Native Corporation, or Native Hawaiian Organization; and (c) demonstrated potential for success; and (d) possess good character. Absent evidence to the contrary, the following individuals are presumed to be socially disadvantaged: African Americans, Hispanic Americans, Native Americans, Asian Pacific Americans and Subcontinent Asian Americans. Individuals who are not members of the named groups may establish their social disadvantage on the basis of a preponderance of evidence of personal disadvantage stemming from color, national origin, gender, physical handicap, long-term residence in an environment isolated from the American society, or other similar cause beyond the individual's control. Economic disadvantage must be demonstrated on a case-by-case basis.

Beneficiary Eligibility:

Socially and economically disadvantaged individuals and small businesses owned and operated by such individuals; economically disadvantaged Indian tribes including Alaskan Native Corporations and economically disadvantaged Native Hawaiian organizations.

Credentials/Documentation:

Documentation to establish that all program eligibility criteria are met.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Electronic application to SBA offices through SBA's webpage at www.sba.gov. Detailed instructions, and if necessary, assistance in completing application is available at SBA District offices and on SBA's home page at <http://www.sba.gov>.

Award Procedure:

Applicant is notified of program participation approval or decline by a letter from the SBA headquarters office. Broad range of business development assistance, including procurement, and access to capital and other forms of financial assistance and business training and counseling is provided to approved program participants.

Deadlines:

Applications for program participation are accepted on an ongoing basis.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Within 45 days of the date of decline letter, applicant has right to request that the decision be reconsidered. For applications declined solely on the basis of negative finding with regard to social disadvantage, economic disadvantage, ownership or control of business by socially or economically disadvantaged individuals, the applicant within 45 days of the date of the decline letter or reaffirmation of decline, has a right to appeal the decision to the SBA's Office of Hearing and Appeals.

Renewals:

Not applicable.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Maximum of 9 years.

Reports:

Annual business financial statements; annual business plan update; annual personal financial statements for each disadvantaged owner; annual certification of continuing compliance with program eligibility criteria; records of all payments, compensation and distributions made by the participant firm to each of its owners or to any person or entity affiliated with such owners; and other reports deemed necessary by SBA.

Audits:

Program participation audits as needed to evaluate progress. Contract audits as and when required by Federal Acquisition Regulations.

Records:

Standard business/accounting records.

Account Identification:

73-0100-0-1-376.

Obligations:

FY 07 \$41,191,000; FY 08 est \$44,550,000; and FY 09 \$48,320,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, 32,091 contract actions valued at approximately \$6.59 billion were awarded to companies participating in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Rules and Regulations, 13 CFR Section 124, SOP 80-05-02.

Regional or Local Office:

Initial contact should be made with the field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for 8(a) Business Development, Small Business Administration, 409 Third Street, S.W., Washington, DC 20416.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

11.800, Minority Business Enterprise Centers; 59.007, 7(j) Technical Assistance; 59.009, Procurement Assistance to Small Businesses; 59.011, Small Business Investment Companies.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

59.007 7(J) TECHNICAL ASSISTANCE

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, as amended, Section 7(j), Public Law 85-536, as amended.

OBJECTIVES:

The purpose of the program is to provide Business Development Assistance for Socially and Economically Disadvantaged Businesses. SBA performs this mission by entering into grants, cooperative agreements and contracts with qualified service providers who have the capability to provide business development assistance to eligible businesses and individuals under Sections 7(i), 7(j) and 8(a) of the Small Business Act.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

Financial assistance under this Section may be given for projects that respond to requirements as outlined in each respective program solicitation announcement, or for an unsolicited proposal that could provide valuable business development assistance for 8(a) and other socially and economically disadvantaged small businesses and individuals. Types of business development assistance may include: Accounting and marketing services, proposal/bid preparation workshops, feasibility studies, marketing/presentation analyses and advertising expertise, loan packaging, industry specific technical assistance and other specialized management training and technical services. The program funds can also be used to establish and strengthen business service agencies, including trade associations and cooperatives. The 7(j) program funds are not available to finance or purchase a business nor are they available to use as expansion capital for an existing business.

Applicant Eligibility:

Educational institutions, public or private organizations and businesses, individuals, State and local governments, Indian tribes and lending and financial institutions and sureties that have the capability to provide the required business development assistance.

Beneficiary Eligibility:

8(a) program certified firms, small disadvantaged businesses, businesses operating in areas of low-income or high-unemployment, and firms owned by low-income individuals.

Credentials/Documentation:

As specified in the individual "Request for Application Proposals." Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB circular No. A-21 for educational institutions.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application proposal forwarded to District Office Director for appropriate geographic area. (See Appendix IV under Small Business Administration for a listing of field Offices.) The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program by State and local governments. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Decisions on acceptance are made by the Office of Procurement and Grants Management based upon recommendations of the Assistant Administrator for Management and Technical Assistance, Office of Business Development. The Grants Management Officer notifies successful applicants by Notice of Award.

Deadlines:

As announced within individual "Request for Application Proposals."

Range of Approval/Disapproval Time:

Variable.

Appeals:

None.

Renewals:

Contact the Federal agency.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Awards are made for a maximum of 1 year with options as stated in the individual announcements. Disbursements are made within a few days of receipt of all required documents along with the request for disbursement. Disbursements are made in partial payments based on work successfully performed.

Reports:

Program and fiscal reports, as described in each award. A final report is also due within 30 days after completion of agreement.

Audits:

Pre-award accounting system survey. Final audit by a certified or licensed public accountant. Other audits are required by SBA. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Appropriate records as needed for above requirements.

Account Identification:

73-0100-0-1-376.

Obligations:

Administration: FY 07 \$3,340,000; FY 08 \$4,670,000; and FY 09 \$3,756,000.

Range and Average of Financial Assistance:

Amount subject to negotiation commensurate with management and technical assistance to be provided.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2001, 14 cooperative agreements and 3 contracts were awarded thereby providing management and technical assistance to approximately 3,000 7(j) eligible clients.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact sheet upon request.

Regional or Local Office:

SBA District Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator for Management and Technical Assistance, Office of Business Development, 409 3rd Street, S.W., Washington, DC 20416.
Telephone: (202) 205-7343.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

As described in each announcement.

59.008 DISASTER ASSISTANCE LOANS

(7(b) Loans (DL))

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Section 7(b)(1), as amended, Public Law 85-536, 15 U.S.C. 636(B)(1); Disaster Relief Act of 1970, Sections 231, 234, 235 and 237, Public Law 91-606, 42 U.S.C. 4401; Public Laws 93-24, 94-305, 95-89, 96-302, 97-35, 98-166, 98-270, 99-272, 99-349, 100-590, 102-27, 102-395, and 103-75.

OBJECTIVES:

To provide loans to the victims of declared disasters for uninsured or otherwise uncompensated physical damage.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Loans made to homeowners, renters, businesses of all sizes, and nonprofit organizations to repair or replace damaged or destroyed real property and/or personal property to its pre-disaster condition. Credit elsewhere test required for all applicants to determine interest rate. Loans to homeowners/tenants are made for up to 30 years. Limit of \$200,000 for repair/replacement of real property; \$40,000 for repair/replacement of personal property. The loan limit may be increased by 20 percent to provide protective measures from damages caused by physical disasters. The interest rate for homeowners/tenants who have credit available elsewhere is determined by a formula based on the cost of money to the U.S. Government, but not to exceed 8 percent. The interest rate for homeowners/tenants who do not have credit available elsewhere is one-half of the formula rate, but not to exceed 4 percent. Loans to business may not exceed \$1,500,000. For businesses determined to be able to obtain credit elsewhere, the interest rate is based on a statutory formula, but not to exceed 8 percent, with maturities not to exceed 3 years. For nonprofit organizations determined to be able to obtain credit elsewhere, the interest rate is based on a statutory formula, with maturities not to exceed 30 years. For businesses and nonprofit organizations determined to be unable to obtain credit elsewhere, the interest rate may not exceed 4 percent, with maturities up to 30 years. Under certain circumstances, homeowners and businesses may be eligible for refinancing of existing liens. There are statutory restrictions on the use of funds for voluntary relocation. In the case of a Major Source of Employment, the \$1,500,000 limitation on disaster business loans may be waived. Provisions of Flood Disaster Protection Act of 1973 and the National Flood Insurance Reform Act of 1994 apply. Collateral is required on loans greater than \$10,000.

Applicant Eligibility:

Eligible applicants must have suffered physical property loss as a result of a disaster which occurred in an area declared by the President or SBA. They must also demonstrate an ability and willingness to repay the loan. Individuals, business concerns, charitable and nonprofit organizations are eligible to apply for assistance.

Beneficiary Eligibility:

Individuals, business concerns, charitable and nonprofit organizations. Agricultural enterprises are ineligible.

Credentials/Documentation:

A signed loan application, financial information, and a signed IRS Form 8821 to enable SBA to obtain tax return information from IRS for the three years prior to the disaster (one year for homeowners and renters). Complete copies of Federal Tax returns for the past three years for business applicants. Financial information on principals and affiliates for business applicants.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Whenever feasible, interviews are held with disaster victims and the program is explained. Assistance on filing of application is provided.

Application Procedure:

Application is made on a standard form for either a home or business loan and filed by mail or with the nearest SBA Disaster office.

Award Procedure:

Applicant is notified of approval by authorization letter from SBA.

Deadlines:

Eligibility to file terminates 60 days from the date of the disaster declaration, unless extended by SBA.

Range of Approval/Disapproval Time:

Generally, from 7 to 21 days depending on complexity of case and availability of resources.

Appeals:

Reconsideration of a declined application can be requested within 6 months of the initial decline, and appealed within 30 days from the reconsideration decline.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Funds must be disbursed within six months of authorization of the loan, unless extended.

Reports:

All borrowers must maintain records on how loan proceeds are used for 3 years after last disbursement.

Audits:

Not applicable.

Records:

Complete financial records must be maintained by business borrower.

Account Identification:

73-1152-0-1-453.

Obligations:

Administration - FY 07 \$323,853,000; FY 08 \$230,982,000; and FY 09 \$191,776,000. Loan Program - FY 07 \$1,663,000,000; FY 08 \$959,000,000; and FY 09 \$1,061,000,000. Loan Subsidies: FY 07 \$290,000,000; FY 08 \$155,800,000; and FY 09 \$158,300,000.

Range and Average of Financial Assistance:

Direct home loans up to a \$240,000 limit plus \$200,000 additional in some special cases to refinance existing liens and \$48,000 additional for protective measures. Direct business loans up to \$1,500,000 with additional amounts available for major employment. In fiscal year 2004, average loans were: \$25,097 (Home); \$75,313 (Business).

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2004, 25,024 loans were made for \$883,490,400.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 123; "Disaster Loans for Homes and Personal Property," DA-1: "Physical Disaster Business Loans," DA-2.

Regional or Local Office:

For reference to the Disaster Area Office, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Office of Disaster Assistance, Small Business Administration, 409 3rd Street, S.W., Washington, DC 20416. Program Contact: Herbert Mitchell. Telephone: (202) 205-6734. E-Mail: disaster.assistance@sba.gov.

Web Site Address:

<http://www.sba.gov/DISASTER>.

RELATED PROGRAMS:

10.404, Emergency Loans; 59.002, Economic Injury Disaster Loans; 97.022, Flood Insurance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.009 PROCUREMENT ASSISTANCE TO SMALL BUSINESSES

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Sections 2, 8, 9, and 15, Public Law 85-536, as amended, 15 U.S.C. 631, 637, 698 and 640; Economic Opportunity Act of 1964, Sections 401, 402, 406 and 407, Public Law 88-452, as amended, 42 U.S.C. 2901, 2902, 2906b, and 2906c; Section 501, Public Law 95-89; Section 502, 15 U.S.C. 644; Public Law 95-507, Section 221; Public Laws 98-577, 98-369, 100-590, 100-656, 101-515, 101-574, 103-355, 103-403, 106-50, 106-554, and 108-183.

OBJECTIVES:

To assist small business in obtaining a "fair" share of contracts and subcontracts for Federal government supplies and services and a "fair" share of property sold by the government.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Assistance includes: (1) Application of small business set-asides (restriction of bidding/award to small business only) to increase the Federal procurement and disposal requirements awarded to small business; (2) consultation with procuring activities on structuring of procurement and sales planning to optimize small business participation; (3) review and analysis of small firms capacity, credit, integrity, perseverance, and tenacity when challenged by contracting officers and certifying competence of such firms to perform as prime contractors, as appropriate, and monitoring performance of certificate of competency holders throughout contract life; (4) review of subcontracting plans and programs of large prime contractors to determine the extent that they are providing subcontracting opportunities to small, veteran-owned, service-disabled veteran-owned, HUBZone, small disadvantaged, and women-owned small businesses; (5) consultation and advice for small firms requesting assistance on government procurement or property sales matters; (6) assistance in specific contract administration problems; (7) determination of small business eligibility for SBA's procurement and financial programs; (8) advocate breakout of items

from sole source for procurement through full and open competition in order to achieve savings; (9) review small business program at Federal procuring activities to evaluate effect on small business participation and recommend changes, and (10) management of the Central Contractor Registration's Dynamic Small Business Search, a nationwide Internet database of information on small business, a marketing tool for small firms and a "link" to procurement opportunities.

Applicant Eligibility:

Existing and potential small businesses are eligible to apply. A small business is a business entity organized for profit, with a place of business located in the United States and which makes a significant contribution to the U.S. economy through payment of taxes and/or use of American Products, materials and/or labor. Generally, an employee based size standard not in excess of 500 employees is used for manufacturers for wholesalers, average employment not in excess of 500 is used; for general construction, a revenue based size standard not over \$28,500,000 is used; for specialty trade construction, revenues not over \$12,000,000 is used; for retail and services contracts, revenues not over \$6,000,000 is used; and for agricultural enterprises, gross annual sales not over \$750,000 is used.

Beneficiary Eligibility:

Existing and potential small businesses will benefit.

Credentials/Documentation:

Self-certification of documentation is sufficient for representation as a small business, women-owned small business, veteran-owned small business and service-disabled veteran-owned small business. SBA certification is required for status as a HUBZone small business, 8(a) small business, and Small Disadvantaged Business.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Forms to obtain necessary assistance are provided by SBA field offices.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

The range is about 15 days for certificate of competency; no approval is required on other programs.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

There is no time restriction for small businesses.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

73-0100-0-1-376.

Obligations:

Administrative Expenses: FY 07 \$28,148,000; FY 08 \$32,924,000; and FY 09 \$31,784,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2004, \$11.2 billion in government prime contracts was set-aside for procurement limited to small businesses.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13, Code of Federal Regulations, Part 125; "Government Contracting Programs;" Government Contracting home page on the Internet at <http://www.sba.gov/gc> and information from SBA offices. (See listing of field offices in Appendix IV of the Catalog.)

Regional or Local Office:

Initial contact should be made with the field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator for Government Contracting, Small Business Administration, 409 3rd Street, S.W., Washington, DC 20416. Telephone: (202) 205-6460.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

17.301, Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303, Wage and Hour Standards; 36.001, Fair Competition Counseling and Investigation of Complaints; 39.001, Business Services; 43.002, Technology Transfer; 59.006, 8(a) Business

Development Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.011 SMALL BUSINESS INVESTMENT COMPANIES

(SBIC; SSBIC)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, as amended, Public Law 85-699, 15 U.S.C. 681 et seq.

OBJECTIVES:

To establish privately owned and managed investment companies, which are licensed and regulated by the U.S. Small Business Administration; to provide equity capital and long term loan funds to small businesses; and to provide advisory services to small businesses.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The investment companies provide management and financial assistance on a continuing basis to eligible small business concerns. Financial assistance is provided by making long-term loans to these small concerns, and/or by the purchase of debt or equity type securities issued by these firms. Emphasis is on providing assistance to the pioneering, innovating-type concerns developing new products, processes, and markets. Specialized investment companies organized/licensed under former Section 301(d) of the authorizing statute (SSBICs) must restrict eligibility of small concerns financed by such investment companies to those owned and operated by individuals whose participation in the free enterprise system has been hampered by social or economic disadvantages. Debentures and participating securities are issued by the SBIC and guaranteed by SBA for a term not to exceed ten years. All investment companies generally may not self-deal, finance "big business," or invest over 20 percent (30 percent for SSBICs) of private capital in any single small concern.

Applicant Eligibility:

Any chartered small business investment company having private capital of not less than \$3,000,000 (for those choosing to not receive leverage from SBA) or \$5 million (for those receiving leverage from SBA), having qualified management, and giving evidence of sound operation, and establishing the need for SBIC financing in the geographic area in which the applicant proposes to operate.

Beneficiary Eligibility:

Individual businesses (single proprietorship, partnership or corporation) which satisfy the established criteria of a small business. SSBICs beneficiary must also be a business owned and operated by socially or economically disadvantaged individuals.

Credentials/Documentation:

An investment company must be chartered as a corporation, limited partnership (with individuals, corporation, partnership, or limited liability company as general partner), or limited liability company. Determination is made as to need in area, general business reputation and character of proposed owners and management and probability of successful operations. All loans to, or investments in, small concerns shall be of such sound value as reasonable to assure repayment.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Request information and appropriate forms from SBA's Investment Division. Complete application requirements and submit with required application fee to SBA's Investment Division.

Award Procedure:

Applicant is notified by issuance of a license from the Investment Division of the Small Business Administration.

Deadlines:

None.

Range of Approval/Disapproval Time:

Average of 180 days.

Appeals:

Proponents may reapply at any time.

Renewals:

None.

Formula and Matching Requirements:

An SBIC or an SSBIC in good standing, with a demonstrated need for funds, may receive leverage based on its private capital. An SBIC or SSBIC may receive leverage of as much as 300 percent of its private funds. The maximum amount of leverage varies according to the SBIC's private capital. The maximum amount of leverage is currently \$130,600,000. This amount is

adjusted annually for increases in the Consumer Price Index. To obtain leverage, SBICs issue their debentures or participating securities which are guaranteed by SBA. Pools of the SBA-guaranteed debentures or participating securities are formed, and SBA-guaranteed participation certificates, representing an undivided interest in the pools, are sold to investors through a public offering. Under current procedures, regular SBIC debentures have a term of 10 years, and they provide for semi-annual interest payments and a lump-sum principal payment at maturity. Debentures may be prepaid with a penalty during the first five years of their term or without penalty thereafter. The debenture rate is determined by market conditions at the time of the sale. As with debentures, the rate on participating securities is based on the 10-year Treasury-yield curve. However, the participating security provides for payment of dividends or interest and profit participation to SBA only when an SBIC has earnings, as defined by regulation. A unique feature of the participating securities is that SBA shares in the profits of the SBIC.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Financial report (annual); program financing reports; any other report furnished to stockholders.

Audits:

Annual audit by a certified public accountant and periodic examinations by SBA personnel.

Records:

Current financial records, minutes of meetings of stockholders, general partners, directors, executive committees, and time spent and charges made for management consulting services performed must be maintained for 6 years.

Account Identification:

73-1154-0-1-376.

Obligations:

SBIC Debenture Guarantees: FY 07 \$707,470,000; FY 08 \$3,000,000,000; and FY 09 \$3,000,000,000. Administrative Expenses: FY 07 \$4,382,000; FY 08 \$1,195,000; and FY 09 \$5,377,000.

Range and Average of Financial Assistance:

SBIC and SSBIC Leverage: \$50,000 to \$130,600,000. Average: \$19,300,000.

PROGRAM ACCOMPLISHMENTS:

As of September 2007, the SBA portfolio of active companies was composed of 369 SBICs with capital resources of \$18.6 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Chapter I, Part 107.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator for Investment, Investment Division, Small Business Administration, 409 Third Street, S.W., Washington, DC 20416. Telephone: (202) 205-6510. Use the same number for FTS.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

59.006, 8(a) Business Development Program; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.052 Native American Outreach

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

59.012 7(A) LOAN GUARANTEES

(Regular Business Loans 7(a) Loans)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Section 7(a), as amended, Public Law 97-35.

OBJECTIVES:

To provide guaranteed loans from lenders to small businesses which are unable to obtain financing in the private credit marketplace, but can demonstrate an ability to repay loans if granted, in a timely manner. Guaranteed loans are made available to for profit small businesses. The SBA's 7(a) lending authority includes: (1) regular 7(a) (2) the Low Documentation Loan Program (Low Doc); (3) SBAExpress Program; (4) the Cap Line Program (5) PLP and (6) International Trade.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans (including Immediate Participation Loans).

USES AND USE RESTRICTIONS:

To construct, expand, or convert facilities; to purchase building equipment or materials; and for working capital. Excludes gambling establishments, nonprofit enterprises, speculators in property, lending or investment enterprises, and financing of real property held for investment; also excludes funds to indiscriminately relocate the business. Funds must not otherwise be available on

reasonable terms, nor used to pay off a loan to an unsecured creditor who is in a position to sustain loss.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Small businesses that meet the size and eligibility standards.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are filed by the participating lender to an SBA processing center (85 percent). Fifteen percent are processed by an SBA district office.

Award Procedure:

Applicant is notified by authorization letter from the District SBA Office, or participating bank.

Deadlines:

None.

Range of Approval/Disapproval Time:

From one to ten days from date of application acceptance, depending on type of loan and type of lender program.

Appeals:

If a reconsideration is requested within six months after decline or withdrawal, no new application is required.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Periodic financial statement.

Audits:

When necessary, on a case-by-case basis.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Loan Guarantees: FY 07 \$13,517,000,000; FY 08 \$17,500,000,000; FY 09 \$17,500,000,000. Administration: FY 07 \$41,822,000; FY 08 \$43,942,000; and FY 09 \$48,027,000.

Range and Average of Financial Assistance:

Guarantee Loans: Up to \$2,000,000. Average loan size was \$143,492 in FY 2007.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 99,607 loans were approved for \$14.3 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

"SBA Business Loans from the SBA," OPC-6.

Regional or Local Office:

Initial contact should be with the District Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Financial Assistance, Small Business Administration, 409 Third Street, SW., Washington, DC 20416. Telephone: (202) 205-7562.

Web Site Address:

<http://www.sba.gov/financing>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.016 SURETY BOND GUARANTEES

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, Title IV, Part B, Sections 410 and 411, as amended, 15 U.S.C. 687 b and c, 15 U.S.C. 694 a and b, Inspector General Act of 1978, 5 U.S.C. Appendix I, Public Law 108-447.

OBJECTIVES:

To guarantee surety bonds issued by commercial surety companies for small businesses unable to obtain a bond without an SBA guarantee. The guarantees range between 70 and 90% and cover losses and expenses incurred by a participating surety should the small business default on the contract.

TYPES OF ASSISTANCE:

Insurance (Guaranteed Surety Bonds).

USES AND USE RESTRICTIONS:

Contracts not exceeding \$2 million, where a surety bond is required but not

obtainable privately without an SBA guarantee. Guarantee is for bid, payment, and performance bonds (and ancillary bonds incidental to the performance of a specific contract). It is provided directly to the surety for a particular contract. Under the Prior Approval program, sureties must apply to SBA for each guarantee. Sureties in the Preferred Bond Program are empowered to issue, service, and monitor bonds without prior SBA approval.

Applicant Eligibility:

Guarantees are limited to those surety companies holding certificates of authority from the Secretary of the Treasury as an acceptable surety for bonds on Federal contracts. Specific criteria apply to the Prior Approval and PSB Sureties.

Beneficiary Eligibility:

For Federal contracts, a small business is eligible for the surety bond program if it qualifies as a small business under Code of Federal Regulations Subpart 121, Size Eligibility Provisions and Standards. For State, local, and commercial contracts, a small business is eligible if its average annual revenues do not exceed \$6.5 million.

Credentials/Documentation:

Application and supplement information.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

A small business must apply directly to a surety agent who represents a participating surety company. The application includes background, credit, and financial information. Under the Prior Approval Program, the surety company deals directly with the SBA Area Office concerning the bond guarantee. Headquarters, Area and District SBA Offices serve as contact points for program information purposes.

Award Procedure:

Under the Prior Approval Program, SBA notifies the surety company of SBA's approval of the guarantee and furnishes the surety with written authorization. Surety company (or agent/broker) notifies the bond applicant and issues the bond. Preferred sureties issue bonds guaranteed by SBA without SBA's prior approval. Prior approval and PSB sureties remit 26 percent of the premium they charge small businesses to SBA. Small businesses pay SBA a fee of .729% of the contract price.

Deadlines:

None.

Range of Approval/Disapproval Time:

The goal for completing the review of a bond guarantee application is 3 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Guarantee runs to termination of bond (usually completion of contract).

Reports:

Monthly Internal SBA Reports.

Audits:

SBA has the right to audit its accounts with any participating surety. Each participating PSB surety must be audited every three years.

Records:

SBA Headquarters maintains records on contractors in default and/or claim status (including recoveries on paid claims) as furnished by sureties. SBA Headquarters and each SBA Area Office have information about participating small businesses for its assigned geographic area.

Account Identification:

73-4156-0-3-376.

Obligations:

Guarantee Program: FY 07 \$547,000,000; FY 08 \$1,000,000,000; and FY 09 \$1,000,000,000. Subsidies: FY 07 \$2,824,000; FY 08 \$3,000,000; and FY 09 \$2,000,000. Administration: FY 07 \$6,926,000; FY 08 \$7,553,000; and FY 09 \$8,094,000.

Range and Average of Financial Assistance:

Size range of contracts awarded and bonded, \$475 to 2,000,000; Average Contract: \$325,000; Average Guarantee: \$325,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2004, 5,809 bid bond applications were approved and 1,617 final bond guarantees were issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR 115.

Regional or Local Office:

Applicants must contact a local agent or broker of surety bonds. General program particulars may be obtained from SBA Area Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Surety Guarantees, Small Business Administration, 409 3rd Street, S.W., Washington, DC 20416. Contact: Johnnie Albertson. Telephone: (202) 205-6540.

Web Site Address:

<http://www.sba.gov/osg>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

59.026 SERVICE CORPS OF RETIRED EXECUTIVES

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, as amended, Public Law 95-510, 15 U.S.C. 637.

OBJECTIVES:

To use the management experience of retired and active business management professionals to counsel and train potential and existing small business owners. All client counseling information is confidential.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Training.

USES AND USE RESTRICTIONS:

SCORE members volunteer their small business counseling and mentoring services to the public free of charge. Small business training workshops are offered for a low fee. SCORE is a resource partner with the Small Business Administration and works with the agency to serve aspiring and existing small business owners. A small business owner interested in getting help from SCORE should contact the local chapter, the SBA District Office, or SCORE's website, www.score.org. The SCORE national office and the Small Business Administration's field offices can make referrals. Counseling is also available from the SCORE web site at www.score.org. SCORE volunteers are reimbursed for out-of-pocket expenses and do not accept compensation for counseling.

Applicant Eligibility:

All existing and potential small business owners are eligible. The business must be independently owned and operated, and not dominant in its field, and must conform to SBA size standards.

Beneficiary Eligibility:

Small business people benefit.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Personal or written application (SBA Form 641, Request for Counseling).

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

The length varies as appropriate.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$4,936,000; FY 08 \$4,950,000; and FY 09 \$4,950,000.
Administrative Expenses: FY 07 \$4,863,000; FY 08 \$5,080,000; FY 09 \$5,272,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Since SCORE was established in 1964, it has helped 7 million people start or grow their small business. SCORE links volunteer business professionals, who have management expertise, with the owners/managers of small businesses and community organizations in need of management counseling. There are approximately 11,500 SCORE volunteers serving in all 50 States and U.S. possessions.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Act, SOP 60-10-2A, SCORE Operating Manual, Counselor's Professional Development Program Annual Report and Brochures.

Regional or Local Office:

The initial contact can be made directly with SCORE chapters, with SBA District Office, or with the SCORE website at www.score.org. See Appendix IV of the Catalog.

Headquarters Office:

National SCORE Association Office, 1175 Herndon Parkway, Suite 900 Herndon, VA 20170. Telephone: (202) 205-6762.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

59.037 SMALL BUSINESS DEVELOPMENT CENTERS

(SBDC)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Section 21, as amended, Public Laws 96-302, 98-395 and 105-135.

OBJECTIVES:

To provide management counseling, training, and technical assistance to the small business community through Small Business Development Centers (SBDCs).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements); Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses.

Applicant Eligibility:

SBA is authorized to make grants (including contracts and cooperative agreements) to any public or private institution of higher education, including but not limited to any land-grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college. SBA is also authorized to renew the funding of other entities currently funded as SBDCs providing SBA affirmatively determines that such applicants have their own budget and will primarily utilize institutions of higher education to provide the services to the small business community.

Beneficiary Eligibility:

Current and potential Small business persons.

Credentials/Documentation:

Documentation must include approval and support of the proposal from the State government for state hosted SBDCs. Documentation should also include, but not be limited to: (a) Endorsement of the proposal by State officials; (b) endorsement of the proposal by the university hierarchy; and (c) a statement of commitment by the agencies and organizations listed as resources in the proposal.

Preapplication Coordination:

Submission of proposal (including State endorsement) to the Central Office of SBA through www.grants.gov. The standard application forms as furnished by the Small Business Administration and required by the Code of Federal Regulations Chapter 13, Part 143 (13 CFR 143) must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All eligible entities within a State interested in establishing an SBDC should coordinate with each other in order to develop a proposal for a statewide SBDC service plan. This program is subject to the provisions of 13 CFR 143 (for State and local governments) and A-110 for institutions of higher education and nonprofit organizations. The proposal should be submitted to the local SBA District Office, listed in Appendix IV of the Catalog.

Award Procedure:

Central Office of SBA executes cooperative agreements to approved applicants.

Deadlines:

Refer to the SBDC Program Announcement located at www.sba.gov/sbdc.

Range of Approval/Disapproval Time:

Varies with individual proposals.

Appeals:

Varies with individual proposals.

Renewals:

This program is on-going and is renewed annually subject to availability of funds. SBDCs are required to update their proposal and submit a current year budget

Formula and Matching Requirements:

The SBA will provide funds, the amount being determined on a pro-rata share of of approximately \$89,000,000 based on the population to be served by the SBDC as compared to the total population in the United States, with no State receiving less than \$500,000, or whichever is greater. The entity generally is required to provide nonfederal funds of at least a 1:1 match, although additional funds may also be contributed by nonfederal sources. At least 50 percent of matching funds must be in cash; the balance may be made up of in-kind contributions and indirect costs. Occasionally legislation will not require matching funds.

Length and Time Phasing of Assistance:

Cooperative agreements are usually limited to 1 year. Payments are made by cost reimbursement or in advance as specified in the Notice of Award.

Reports:

Quarterly or semi-annual reports to the local SBA office no later than 30 days following the end of the required time period. A final report is due 90 days after the end of the cooperative agreement year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The SBDC shall maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the SBDC service provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$88,973,000; FY 08 \$97,120,000; and FY 09 \$87,120,000. Administration: FY 07 \$12,268,000; FY 08 \$13,348,000; and FY 09 \$14,480,000.

Range and Average of Financial Assistance:

From \$500,000 to \$5,927,231. Average: \$1,298,246.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2004, SBDCs reported that they counseled 279,905 individuals and provided 27,371 training sessions to 445,894 people.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBDC Program Announcement, SBDC Policy Guidelines, SBDC Handbook for SBA Project Officers.

Regional or Local Office:

For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Small Business Administration, Office of Small Business Development Center, 409 Third Street, S.W., 6th Floor, Washington, DC 20416. Telephone: (202) 205-6766 Fax: (202) 205-7727.

Web Site Address:

<http://www.sba.gov/sbdc>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Ability to match funds (2) Ability to provide technical assistance, training, counseling, and specialized services to the small business community, and (3) State approval.

59.041 504 CERTIFIED DEVELOPMENT LOANS

(504 Loans)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, as amended, Title V, Section 504 and 505, Public Law 85-699, 15 U.S.C. 696; Public Law 100-590.

OBJECTIVES:

To assist small business concerns by providing long-term, fixed-rate financing for fixed assets through the sale of debentures to private investors.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Loans are to assist small businesses in the acquisition of land and buildings; the construction, expansion, renovation or modernization of buildings; or the acquisition and/or installation of machinery and equipment. Loans may have

either a 10 or 20-year term.

Applicant Eligibility:

Certified Development Companies (CDCs) package, close, and service these SBA-guaranteed loans to small businesses. A CDC must be incorporated under general State corporation statute, on a nonprofit basis, for the purpose of promoting economic growth in a particular area.

Beneficiary Eligibility:

Small businesses must be independently owned and operated for profit. More specific criteria defining a small business are established by the Small Business Administration. Refer to 59.012 for general eligibility requirements. Size standards can be those of 59.012 or not-to-exceed \$6 million net worth and \$2 million net profit (averaged over 2 years).

Credentials/Documentation:

To become a Certified Development Company (CDC), the applicant should include the following information: (1) Name and address of applicant; (2) type of CDC, State or local; (3) area of operation; (4) list of members or stockholders with address, occupation and telephone number; (5) list of officers and directors; (6) SBA Form 1081 on all officers, directors, and staff; (7) certified statement that no member or stockholder controls more than 10 percent of the voting control; (8) resume' on each officer and director; (9) the name of each individual who provides the CDC with the professional staff capability; (10) if contracted out, a copy of the contract is required to be submitted to SBA; (11) proof of compliance of representatives from the following groups: local government, private sector lending institution, community organization and business concern; (12) an organization chart and operating plan; (13) a flow chart on the CDC (evaluating, packaging, processing, closing and servicing functions); (14) address and description of the place of business, telephone number and financial statement; (15) the CDC's charter and certified by-laws; (16) articles certified by the State; and (17) a resolution of the Board of Directors certified by a corporate officer in which a company resolves to become a CDC, naming the person who is authorized to execute all documents.

Preapplication Coordination:

Approval by a Certified Development Company. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Loan applications must be made on SBA Form 1244 and requirements set forth thereon must be fully complied with by the Certified Development Company and the small business being assisted.

Award Procedure:

CDC is notified in writing by the SBA Office. CDC notifies applicant.

Deadlines:

None.

Range of Approval/Disapproval Time:

Within 10 working days after formal acceptance of application.

Appeals:

Requests for reconsideration of a declined loan will be granted at any time within 6 months from the date of decline, providing that reasonable evidence is submitted to substantially overcome the stated reasons for decline.

Renewals:

None.

Formula and Matching Requirements:

Generally at least 10 percent of the project cost must be injected by the small business concern and 50 percent of the project cost must be provided by a private lender.

Length and Time Phasing of Assistance:

None.

Reports:

Annual financial, management and operating reports from the Certified Development Company.

Audits:

Small Business Administration has the right to conduct an audit of the books of the Certified Development Company and the small business concern at its discretion.

Records:

During the term of the loan, both the Certified Development Company and the small business concern assisted must maintain financial records and information adequately reflecting all transactions and results of operations.

Account Identification:

73-1154-0-1-376.

Obligations:

Guarantees: FY 07 \$6,282,000,000; FY 08 \$7,500,000,000; FY 09 \$7,500,000,000. Administration: FY 07 \$16,028,000; FY 08 \$16,521,000 and FY 09 \$18,041,000.

Range and Average of Financial Assistance:

Up to \$1,000,000. FY 2007 average loan size was \$591,785. Up to \$1.5 million reg. 504; 2) 504 meets public policy \$2.0 million; 3) small manufacturers \$4.0 million.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 10,668 loans were approved through the 504 Development Company Program for a total gross amount of \$6.31 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Rules and Regulation, Section 120.800 and 120.900; Certified Development Company Program - Program Guide.

Regional or Local Office:

See Appendix IV of the Catalog for a listing of the Small Business Administration offices.

Headquarters Office:

Director, Office of Financial Assistance, Small Business Administration, 409 3rd Street S.W., Washington, DC 20416. Telephone: (202) 205-7562.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

59.043 WOMEN'S BUSINESS OWNERSHIP ASSISTANCE

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, 2(h) and 29, 15 U.S.C. 631(h) and 656 (as amended).

OBJECTIVES:

To fund private, nonprofit organizations to assist, through training and counseling, small business concerns owned and controlled by women, and to remove, in so far as possible, the discriminatory barriers that are encountered by women in accessing capital and promoting their businesses.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS:

To establish women's business centers (WBCs) for the benefit of small business concerns owned and controlled by women. The services provided by the women's business centers must include financial, management, procurement and marketing assistance to start-up or established concerns, as well as mentoring and Internet services. Annual awards are issued through a 5-year term to successful applicants. Also, previously funded centers that have completed an award cycle may compete to receive additional funding through a 5-year term under the 4-year pilot program established under the Women's Business Center Sustainability Act of 1999 (and as extended by Congress for fiscal years 2004, 2005 and 2006).

Applicant Eligibility:

Private, nonprofit organizations having experience in effectively training and counseling business women. Public educational institutions and State and local governments are not eligible.

Beneficiary Eligibility:

Women entrepreneurs starting their own business or expanding their existing business.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are accepted in accordance with and up to the date specified in the annual program announcement as posted at www.grants.gov and www.onlinewbc.gov. The applicant must complete the Application for Federal Assistance (Standard Forms 424, 424A and 424B) and submit a written proposal addressing all of the selection criteria stated in the program announcement. The applicant must submit applications/proposals electronically through www.grants.gov (free training on electronic filing is available on the site) by the application closing date.

Award Procedure:

Proposals of eligible applicants are evaluated by a review panel. Candidates for sustainability awards also undergo a site review. The SBA/Office of Procurement and Grants Management issues an award to each approved applicant.

Deadlines:

Applications/proposals must be submitted by the date indicated in the Program Announcement.

Range of Approval/Disapproval Time:

Within 120 days from filing deadline.

Appeals:

None.

Renewals:

Continued annual option awards are issued to successful award recipients through a five-year term without recompetition.

Formula and Matching Requirements:

The recipient organization must obtain contributions from non-Federal sources to match the Federal award. Up to one-half of the non-Federal matching assistance may be in the form of in-kind contributions; the remainder must be in

cash. The match requirement for recipients through the 5-year term are as follows: one non-Federal dollar for each two Federal dollars in the first and second years; and one non-Federal dollar for each Federal dollar in the third, fourth and fifth years. The match requirements for sustainability awards are: one non-Federal dollar for each Federal dollar each year through the 5-year term. The Administration may disburse up to 25 percent of each year's Federal share awarded to a recipient organization after notice of the award has been issued and before the non-Federal matching funds are obtained.

Length and Time Phasing of Assistance:

Initial and option year awards are issued annually, without recompetition, through the five-year grant term to compliant centers.

Reports:

The recipient must submit quarterly performance reports during the term of the project. These performance reports consist of two sections: data and narrative. The data section of the performance reports provides statistical information. The narrative section of the performance reports includes a comparison of actual accomplishments to the estimated milestones established for the reporting period, reasons milestones were not met, and a plan of action to address issues; and information relating to actual financial expenditures. All reports are electronically transmitted.

Audits:

The recipient must have an outside accountant certify the conditions of the WBC's financial management system as part of SBA's final Programmatic and Financial Examination. Also, the award recipient must comply with audit requirements as prescribed in OMB Circular No. A-133, as applicable.

Records:

The awardee shall maintain and submit detailed, complete and accurate client activity records to reflect clearly the nature and variety of services provided. Financial records must be kept until three years after the completion and submission of the final report.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$12,340,000; FY 08 \$13,000,000; FY 09 \$11,880,000.
Administration: FY 07 \$8,092,000; FY 08 \$8,848,000; FY 09 \$9,721,000.

Range and Average of Financial Assistance:

From \$75,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, training and counseling will be offered at approximately 100 centers across the nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-110, A-122, A-21, A-133.

Regional or Local Office:

Women's business ownership representatives in each SBA district office. See Appendix IV of the Catalog for a listing of the Small Business Administration district and regional offices.

Headquarters Office:

Small Business Administration, Office of Women's Business Ownership, 409 Third Street, S.W., Washington, DC 20416. Telephone: (202) 205-6673. Use the same number for FTS. Contact: Sally Murrell.

Web Site Address:

<http://www.sba.gov/onlinewbc>.

RELATED PROGRAMS:

59.005, Internet-Based Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

A typical project funded is the Women's Economic Self Sufficiency Team in Albuquerque, NM. The project provides in-depth and quality training and counseling to women entrepreneurs at numerous locations throughout New Mexico.

CRITERIA FOR SELECTING PROPOSALS:

As stated in each solicitation for proposals, but including: B. EVALUATION CRITERIA FOR WBC APPLICANT TECHNICAL PROPOSAL 1. List of Evaluation Criteria a. Applicant Experience and Internal Organizational Structure. (20 Points) b. Ability of Applicant to Reach a Representative Number of Women Who Are Both Socially and Economically Disadvantaged. (10 Points) c. Needs Assessment of Target Location. (10 Points) d. Services and Activities the Applicant Plans to Offer to Start-up and Existing Small Businesses Owned or Controlled by Women; and the Applicant's Ability to Assist Clients in Addressing Sustainability and Growth Issues. (30 Points) e. Plans for Coordination with SBA and other Community and Small Business Organizations. (10 Points) f. Financial Ability (20 Points) g. Geographic Location. (10 points).

59.044 VETERANS BUSINESS DEVELOPMENT

(VBOP)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Sections 8(b)(1)(A) and (B), 15 U.S.C. 637(B)(17).

OBJECTIVES:

To provide long term training, counseling, and mentoring to benefit small business concerns and potential small business concerns owned and controlled by eligible veterans. Eligible veterans means (A) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary, (B) a person who was discharged or released from active duty because of a service-connected disability, (C) a veteran as defined in Section 101 (2) of Title 38, United States Code, or (D) a member of a reserve component of the armed forces of the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements.

USES AND USE RESTRICTIONS:

To establish Veteran Business Outreach Centers (VBOC) for the benefit of small business concerns and potential small business concerns owned and controlled by eligible veterans.

Applicant Eligibility:

An eligible applicant for the VBOC Program is a responsible educational institution, private business, veterans' nonprofit community-based organization, and Federal, State and local departments and agencies for the establishment and implementation of outreach programs for veterans and service disabled veterans.

Beneficiary Eligibility:

First beneficiaries are eligible veterans and former POWs who seek to start and manage a small business; second beneficiaries are all others.

Credentials/Documentation:

Credentials and documentation can be found on the annual program announcement.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants will submit proposals based on a request for proposal (RFP). The RFP may request that the applicant apply for a grant or contract. This will be made clear at the time of the RFP is issued. Instructions for applying will be contained in the RFP document.

Award Procedure:

The proposal will be subject to an independent panel review. The elements of the panel review will include a review of a technical and cost proposals, each under a separate cover. The technical proposal will consist of four sections: (1) Programmatic concept, (2) understanding the problem or need, (3) coordination of resources, and (4) organizational qualifications. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112. Additionally the cost proposal must contain (1) a comprehensive development plan, (2) budget preparation, and (3) expenditures for fundraising activities may not be charged as a cost item and demonstration of adequate community based resources to achieve matching formula for nonfederal funds.

Deadlines:

Designated on the RFP.

Range of Approval/Disapproval Time:

Usually within 30 to 90 days after closing date of the RFP.

Appeals:

In accordance with FAR Part 33 if a contract; or not applicable if a grant.

Renewals:

Subject to the exercise of option years.

Formula and Matching Requirements:

The applicant must be capable of meeting the non-SBA matching requirements. The applicant must provide letters of commitment from other sources to certify the ability to match SBA contributions. The applicant who becomes a recipient/contractor must be prepared to report receipt of such contributions no later than the end of each program year.

Length and Time Phasing of Assistance:

Usually not to exceed 12 months for the basic effort and four option years. Funds are made available on a cost reimbursement basis.

Reports:

Quarterly performance and financial reports to the SBA Central Office no later than 30 days following the end of the quarter. A final report is due 90 days after the completion of the project year.

Audits:

When necessary, on a case-by-case basis.

Records:

The recipient should be prepared to maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the services provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later. The recipient should be prepared to participate in the development of a program-wide intranet system.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$741,000; FY 08 \$743,000; and FY 09 \$743,000.

Administration: FY 07 \$3,440,000; FY 08 \$4,007,000; and FY 09 \$4,720,000.

Range and Average of Financial Assistance:

From \$75 to \$1,500 per client.

PROGRAM ACCOMPLISHMENTS:

Success stories must be published on the program-wide intranet system and/or shared with the SBA.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Federal agency for more information.

Regional or Local Office:

For addresses of the SBA field offices, see Appendix IV of the Catalog under Small Business Administration.

Headquarters Office:

Associate Administrator, Office of Veterans Business Development, Small Business Administration, Fifth Floor, 409 Third Street, S.W., Washington DC 20416. Telephone: (202) 205-6773. Contact: Ramona Peyton.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

59.005, Internet-Based Technical Assistance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The technical proposal will consist of four sections: (1) Programmatic concept, (2) understanding the problem or need, (3) coordination of resources, and (4) organizational qualifications. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112. Additionally the cost proposal must contain (1) a comprehensive development plan, (2) budget preparation, and (3) expenditures for fundraising activities may not be charged as a cost item and demonstration of adequate community based resources to achieve matching formula for nonfederal funds.

59.046 MICROLOAN PROGRAM

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Public Law 102-140, Public Law 102-302, Public Law 102-366 and Public Law 105-135.

OBJECTIVES:

To assist women, low-income, and minority entrepreneurs, business owners, and other individuals possessing the capability to operate successful business concerns and to assist small business concerns in those areas suffering from a lack of credit due to economic downturns. Under the Program, the Small Business Administration (SBA) will make loans or provide guaranties to private, non-profit, and quasi-governmental organizations (intermediary lenders) that will use the loan funds to make short-term, fixed interest rate microloans in amounts up to \$35,000 to start-up, newly established, and growing small business concerns. These microloans are to be used exclusively for working capital, inventory, supplies, furniture, fixtures, machinery, and/or equipment. In addition, the SBA will make grants to participating intermediary lenders to provide marketing, management, and technical assistance to prospective borrowers and borrowers receiving microloans. In addition, the SBA will make grants to non-profit organizations, which are not intermediary lenders, to provide marketing, management, and technical assistance to low-income individuals seeking private sector financing for their businesses. Under the Program, SBA will also provide training for intermediary lenders and non-lenders participating in the Program.

TYPES OF ASSISTANCE:

Formula Grants: Direct Loans.

USES AND USE RESTRICTIONS:

Loans to intermediaries with terms and restrictions as provided in Public Laws 102-140, 102-366 and 105-135 and subsequent regulations as published in the Federal Register.

Applicant Eligibility:

An applicant is considered eligible to apply if it meets the definition of an intermediary lender as published in program materials, 13 CFR, and PL 102-140, and meets published minimum experience and capability requirements.

Beneficiary Eligibility:

Small businesses, minority entrepreneurs, nonprofit entities, business owners, women and low-income, and other individuals possessing the capability to operate successful business concerns.

Credentials/Documentation:

An entity may apply to SBA to become an Intermediary lender. A small business may apply to an intermediary for a microloan. Small business seeking funding must: (1) Meet SBA size standard requirements as defined in Chapter 13 of the U.S. Code of Federal Regulations; (2) meet type of business requirements as published by SBA; and (3) meet lending requirements of local intermediary lenders.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Microloan Borrowers: Apply directly to intermediary lenders. Intermediary Lenders: Apply to the SBA Office of Financial Assistance prior to deadlines and according to established procedures.

Award Procedure:

Intermediaries are notified by SBA. Microborrowers are notified by the intermediaries.

Deadlines:

None.

Range of Approval/Disapproval Time:

Loan applicants can expect an answer from the SBA approved intermediary lender within 15 days from the date of application acceptance.

Appeals:

Decisions on the part of the SBA are final. SBA will not become involved in appeals by microborrowers to intermediary lenders except in the case of suspected violation of Federal regulations.

Renewals:

Based on performance.

Formula and Matching Requirements:

No statutory formula in current CFR.

Length and Time Phasing of Assistance:

The maximum life of a loan to an intermediary lender is 10 years. Grants are based on the outstanding balance of the loan amount.

Reports:

Reports will be required of the intermediary on a quarterly basis and at the time of each Microloan closing.

Audits:

Required annually.

Records:

Systems sufficient to generate accurate and acceptable reports.

Account Identification:

(Loans) 73-1154-0-1-376.

Obligations:

Loan Guarantees: FY 07 \$19,000,000; FY 08 \$21,000,000; and FY 09 \$25,000,000. Subsidies: FY 07 \$2,000,000; FY 08 \$2,200,000; and FY 09 \$0. Grants: FY 07 \$12,800,000; FY 08 \$15,000,000; and FY 09 \$0. Administration: FY 07 \$1,775,000; FY 08 \$1,867,000; and FY 09 \$1,996,000.

Range and Average of Financial Assistance:

Information is not available at this time.

PROGRAM ACCOMPLISHMENTS:

Microloan Funds became available to end users in August 1992. Since that time, over \$267 million have been loaned to microborrowers. The average amount of microloan is about \$13,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the SBA Office of Financial Assistance, Microenterprise Development Branch, 409 3rd Street S.W., Mail Code 7881, Washington, DC 20416.

Regional or Local Office:

SBA District Offices.

Headquarters Office:

Small Business Administration, Office of Financial Assistance, Microenterprise Development Branch, 409 Third Street S.W., Eighth Floor, Washington, DC 20416. Mail Code 7881. Telephone: (202) 205-6490.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) Organization in Mid-South operating in thirty-two counties in the State, all but one classified as rural. Established in 1987, this organization has approved numerous microloans and provided the much needed technical assistance to small businesses and entrepreneurs. (2) Urban organization founded in 1976, established a loan pool in 1982. Through a program of financial assistance and other aid to business concerns, including small businesses, that are not able to obtain funds from conventional commercial sources, the organization has been able to assist in the relief of poverty, lessening of neighborhood tensions and assist in combating community deterioration in certain economically depressed areas. (3) Rural organization founded in 1976, has provided services that have included economic development, planning, grant writing and administration, small business incubator operations, business counseling services and loans to small businesses. Approximately 12.4 percent of the service population is below poverty level.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated individually. Each is rated on its own merits or, in the case of an affiliated group, on the merits of each of the organizational parts that make up the whole. Qualitative and quantitative information regarding the applicant is reviewed. Criteria include but may not be limited to: (1) Organizational qualifications; (2) Knowledge of the local economy; (3) Lending experience and lending operations; (4) Current and proposed technical assistance program; (5) Current and Proposed Microloan Operation; (6) Availability and probability of matching contributions; (7) Information

submitted in the grant package.

59.049 SMALL DISADVANTAGED BUSINESSES

(OSDBC&E)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, as amended, Section 7(b)(2), Public Law 85-536, 15 U.S.C. 636(b); Public Law 95-507.

OBJECTIVES:

To ensure compliance with the constitutional standards established by the Supreme Court in *Adarand Contractors, Inc vs. Pena*, relative to Federal action programs that use racial or ethnic criteria as a basis for decision making.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

The Small Disadvantaged Business (SDB) program is a procurement tool designed to assist the government in finding firms capable of providing needed services, while at the same time, helping to address the traditional exclusion firms owned by disadvantaged individuals from contracting opportunities. Participation in the SDB program and the benefits are restricted to those firms who have satisfied the eligibility requirements and have been certified by the Small Business Administration as an SDB.

Applicant Eligibility:

Eligible applicant must be: A small business concern as defined in SBA rules and regulations; firms must be at least 51 percent unconditionally owned and controlled by one or more U.S. citizens who are socially and economically disadvantaged as defined by 13 CFR 124.105, 124.109, 124.110, and 124.111.

Beneficiary Eligibility:

Socially and economically disadvantaged individuals and small businesses owned and operated by such individuals; economically disadvantaged Indian tribes including Alaskan Native Corporations and economically disadvantaged Native Hawaiian organizations.

Credentials/Documentation:

Documentation to establish that all program eligibility criteria are met.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Electronic application through SBA's webpage at www.sba.gov.

Award Procedure:

Applicant is notified of a decision by letter from the Office of Small Disadvantaged Business Certification and Eligibility.

Deadlines:

Applications accepted on an ongoing basis.

Range of Approval/Disapproval Time:

Approximately 75 days. The majority are completed within 45 days.

Appeals:

Requests for reconsideration of a declined application may be made within 45 days from the date of service of the decline letter.

Renewals:

SDB Certification lasts, generally, for a three (3) year period. Firms may reapply after their initial certification has expired.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Three years renewable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Completed applications must be maintained.

Account Identification:

73-0100-0-1-376.

Obligations:

Administrative Costs: FY 07 \$1,235,000; FY 08 \$1,294,000; FY 09 \$1,459,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2004, nearly 1000 new certifications were issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 124 8(a) Business Development; 124 Subpart B, Eligibility Certification and Protests Relating to Small Disadvantaged Business Programs.

Regional or Local Office:

Not applicable.

Headquarters Office:

Office of Small Disadvantaged Business Certification and Eligibility, Small Business Administration, 409 3rd Street, S.W., Washington, DC 20416. E-Mail address: www.sba.gov/sdb.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.050 PRIME TECHNICAL ASSISTANCE

(PRIME)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, 15 U.S.C. 6901 et seq., as amended; Gramm-Leach-Bliley Act, Title VIII, Public Law 106-102.

OBJECTIVES:

To increase the number of microenterprises and to enhance the management capability of microentrepreneurs to provide training and technical assistance at little or no cost to microentrepreneur and/or microenterprises to assist in starting, expanding and/or growing their business; to provide training and capacity building services to enhance existing MDOs and expand the universe of MDOs that provide microenterprise development training programs and services; and to fund research and development of best practices for training and technical assistance consistent with the PRIME Act.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The recipients of the services provided by the grantees are those persons/businesses who satisfy the eligibility criteria as defined in the Act.

Applicant Eligibility:

Grant recipients shall be non-profit microenterprise development or program (or a collaborative thereof) that has a demonstrated record of delivering microenterprise services to disadvantaged entrepreneurs, an intermediary, a microenterprise development organization or program that is accountable to a local community, working in conjunction with a State or local government or Indian tribe, or an Indian tribe acting on its' own, if the tribe can certify that no private organization or program referred to in this paragraph exists within its' jurisdiction.

Beneficiary Eligibility:

Disadvantaged entrepreneurs, microenterprises, and microenterprise development organizations as defined in the Act.

Credentials/Documentation:

None.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Subject to the availability of funds, SBA will issue a Notice of Funds Availability (NOFA) soliciting responses from qualified organizations for the services to be provided under the Act.

Award Procedure:

Successful offerors will be notified of a decision by letter from the Office of Financial Assistance.

Deadlines:

The deadlines for applications will be stated in the NOFA.

Range of Approval/Disapproval Time:

Sixty to 90 days.

Appeals:

None.

Renewals:

The period of performance for grants awarded under the Act is for one year with four twelve month options exercised at the discretion of the government.

Formula and Matching Requirements:

Grant recipients are expected to provide a 50 percent match which may come from fees, nonfederal grants, loan sources, and in-kind resources.

Length and Time Phasing of Assistance:

None.

Reports:

Grant recipients are required to provide performance and financial reports.

Audits:

SBA reserves the right to perform pre- and post award audits. Grant recipients are required to provide audited financial statements on an annual basis.

Records:

Grant recipients are required to collect and maintain data on recipients of technical assistance which shall be included in the grant recipient's performance reports.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$1,835,000; FY 08 \$3,000,000; and FY 09 \$0. Administration: FY 07 \$28,000; FY 08 \$28,000; and FY 09 \$0.

Range and Average of Financial Assistance:

Seventy-five percent of the funds appropriated shall be used for training and technical assistance of microentrepreneurs with no one organization receiving a single grant in excess of ten percent of the total funds appropriated for training and technical assistance, 15 percent of the total appropriated funds shall be directed to training and capacity building services to existing MDOs, and the remaining funds may be used for the research and development of best practices and other activities as the Administrator determines are consistent with the purposes of the Act.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations are available in 13 CFR.

Regional or Local Office:

None.

Headquarters Office:

Office of Financial Assistance Small Administration, 409 3rd Street, S.W., Washington, DC 20416. Program Contact: George Dale, Telephone: (202) 205-6515. www.sba.gov/financing/sbaloan/microloans.html.

Web Site Address:

<http://www.sba.gov/inv>.

RELATED PROGRAMS:

59.006, 8(a) Business Development Program; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.052 Native American Outreach.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Past performance, approach, personnel, and cost.

59.051 NEW MARKETS VENTURE CAPITAL PROGRAM**FEDERAL AGENCY:**

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, as amended, Title III, Part B, Sections 351-368; 15 U.S.C. 689 - 689q.

OBJECTIVES:

To promote economic development and the creation of wealth and job opportunities in low-income geographic areas and among individuals living in such areas, through developmental venture capital investments in smaller enterprises located in such areas.

TYPES OF ASSISTANCE:

Project Grants; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Recipients are SBA approved New Markets Venture Capital Companies (NMVCCs) that use funds from debentures guaranteed by SBA to make equity capital investments in smaller enterprises located in low-income geographic areas. Recipients use OA grant funds to provide management and technical assistance to smaller enterprises in which they intend or make such investments. Recipients must not use grant funds for their own general and administrative expenses. Term of guaranteed debenture is up to 10 years, with no payments required in years 1 to 5, interest only payments due semi-annually in years 6 to 10, and a balloon repayment of principal due at maturity. Cost is approximately 100 basis points over comparable U.S. Treasury Securities. Prepayment is allowed without penalty after year 1.

Applicant Eligibility:

No new funding will be requested for this program.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

SBA will request financial information including, but not limited to, financial statements, economic impact and community development information, and portfolio financing reports and valuations. SBA will require both annual and quarterly reports.

Audits:

SBA will require an annual financial report performed by an independent public accountant and an annual examination performed by SBA's internal examiners.

Records:

Appropriate records as needed for requirements of 111 and 112.

Account Identification:

Guarantees (Loans) 73-1154-0-1-376; Operational Assistance grants (Grants) 73-0100-0-1-376.

Obligations:

Administrative: FY 07 \$210,000; FY 08 \$242,000; FY 09 \$251,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 108.

Regional or Local Office:

None.

Headquarters Office:

SBA, Investment Division, New Markets Venture Capital Program, 409 3rd Street, N.W., Suite 6300, Washington, DC 20416. Telephone: (202) 205-6510.

Web Site Address:

<http://www.sba.gov/INV/NMVC>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Equity venture capital finance experience, community development finance experience, Federal grant administration experience and technical assistance experience.

59.052 NATIVE AMERICAN OUTREACH**FEDERAL AGENCY:**

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, as amended; Public Law 108-447; Consolidated Appropriations Act, 2005.

OBJECTIVES:

To fund economic development projects that will provide small business opportunities and empower American Indians, Native Alaskans and Native Hawaiian entrepreneurs located in disadvantaged and under-served Indian reservations and tribal areas within the U.S.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

The projects must have the ability to provide increased entrepreneurial development opportunities for Native Americans, serve as effective models to address the issue of access to SBA information and resources, and have the potential to become best practices for implementation by other entities around the country. Program initiatives must assist small businesses in tribal areas on the basis of need, realizing that not all Native American tribes, particularly those in remote areas experiencing severe economic hardship, may be aware of this and other SBA programs. Projects must also include a strong outreach component to ensure that underserved Native American tribes have the opportunity to participate in the program.

Applicant Eligibility:

Organizations must have experience in effectively training, counseling, developing and measuring small business development in Indian Country.

Beneficiary Eligibility:

Native American entrepreneurs who are starting their own business or expanding their existing business.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals are accepted per an annual Request for Proposal advertised in the Federal Register.

Award Procedure:

On a project by project basis, proposals of eligible applicants are evaluated by a review panel. The SBA/Office of Procurement and Grants Management issues an award to each approved applicant.

Deadlines:

Applications/proposals must be submitted by the date indicated in the Request for Proposal.

Range of Approval/Disapproval Time:

Within 120 days from filing deadline.

Appeals:

Not applicable.

Renewals:

On a case by case need basis, as determined by the contracting officer and the program office.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

The performance period varies based upon the accepted proposals.

Reports:

Monthly performance and financial reports are due by the middle of the month subsequent to the accomplishment of work. Content of the performance reports varies dependent upon the nature of the work and as stipulated in the Request for Proposal and resulting contract. Funded projects may be subject to follow-up impact analysis as determined by the program office.

Audits:

Not applicable.

Records:

The awardee shall maintain and submit detailed, complete and accurate client activity records to reflect clearly the nature and variety of services provided. Financial records must be kept until 3 years after the completion and submission of the final report.

Account Identification:

73-0100-0-1-376.

Obligations:

FY 07 \$1,415,000; FY 08 \$1,637,000; FY 09 \$1,685,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not available at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Small Business Administration for more information. OMB Circulars A-110, A-122, A-21, A-133.

Regional or Local Office:

Not applicable.

Headquarters Office:

Small Business Administration, Office of Native American Affairs, 409 Third Street, S.W., Washington, DC 20416. Contact the Office of Native American Affairs.

Web Site Address:

<http://www.sba.gov/naa/>.

RELATED PROGRAMS:

59.026, Service Corps of Retired Executives; 59.037, Small Business Development Centers.

EXAMPLES OF FUNDED PROJECTS:

Typical projects include, but not limited to: small business development incubator activities; small business training; marketing outreach to Indian Country and business development services for specialized projects such as reforestation, etc.

CRITERIA FOR SELECTING PROPOSALS:

Established on a project by project basis to include, but not limited to: viability and feasibility, performance history, etc.

59.053 OMBUDSMAN AND REGULATORY FAIRNESS BOARDS**FEDERAL AGENCY:**

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Regulatory Enforcement Fairness Act of 1996, Public Law 104-121, (March 29, 1996); 5 U.S.C. 601 note; H.R. 3136.

OBJECTIVES:

To serve the small business community by receiving comments from small businesses, small non-profit organizations or small government entities (representing less than 50,000 people) regarding unfair and/or excessive actions by Federal Agencies or Agency employees conducting compliance or enforcement activities.

TYPES OF ASSISTANCE:

Investigation of Complaints (Compliance Reviews).

USES AND USE RESTRICTIONS:

Received comments may be confidential or not and be discussed with appropriate Federal Agency personnel to seek a timely response to an excessive and/or unfair Federal regulatory issue. Hold Hearings throughout the U.S. to receive testimony and commentary from small entities about unfair and/or excessive Federal regulatory enforcement actions. Comments are also received online, by fax, mail or personal delivery.

Applicant Eligibility:

Small businesses, small nonprofit organizations or small government entities (representing less than 50,000 people).

Beneficiary Eligibility:

The Ombudsman's actions are designed to assist not only those who seek direct assistance but all other similarly situated small entities.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit SBA Form 1993.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

As needed.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Based upon substantiated comments received from small business concerns and the Boards, annually report to Congress and affected Federal Agencies evaluating the enforcement activities of Agency personnel, including a rating of the responsiveness to small business of the various regional and program offices of each agency.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

73-0100-0-1-376.

Obligations:

FY 07 \$1,257,000; FY 08 \$1,404,000; and FY 09 \$1,527,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Presented in each annual report to Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.sba.gov/ombudsman>.

Regional or Local Office:

Not applicable.

Headquarters Office:

Nicholas N. Owens, National Ombudsman, Office of the National Ombudsman, 409 Third Street, S.W., Washington, DC 20416.

Web Site Address:

<http://www.sba.gov/ombudsman>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.054 7(A)EXPORT LOAN GUARANTEES

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act of 1953, Section 7(a), as amended, Public Laws 97-35, 85-536, Section 2(b).

OBJECTIVES:

To aid and assist small-business to increase their ability to compete in international markets by enhancing their ability to export; facilitating technology transfers; enhancing their ability to compete effectively and efficiently against imports; increasing the access of small businesses to long-term capital for the purchase of new plant and equipment used in the production of goods and services involved in international trade; disseminate information concerning, State, Federal, and private programs and initiatives to enhance the ability of small businesses to compete in international Markets; and ensure that the interests of small businesses are adequately represented in bilateral and multilateral negotiations.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

To construct, expand, or convert facilities; to purchase building equipment or

materials; inventory and for export working capital.

Applicant Eligibility:

A small business is eligible, which is independently owned and operated and not dominant in its field. They also need to meet the SBA size standards.

Beneficiary Eligibility:

Small businesses, including those owned by low-income and handicapped individuals located in high unemployment areas.

Credentials/Documentation:

Statement of personal history, personal financial statement, company financial statements, summary of collateral and a resume. Loan must be of such sound value or so secured as to reasonably assure repayment.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are filed by the participating lender in the field office serving the territory in which the applicant's business is located. Where the participating lender is in another territory, applications may be accepted and processed by the field office serving that territory, provided there is mutual agreement between the two field offices involved.

Award Procedure:

Applicant is notified by authorization letter.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 1 to 10 days from date of application acceptance, depending on type of loan and type of lender program.

Appeals:

If a reconsideration is requested within 6 months after decline or withdrawal, no new application is required.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Periodic financial statements.

Audits:

When necessary on a case-by-case basis.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Administrative Costs: FY 07 \$5,258,000; FY 08 5,988,000; and FY 09 \$6,430,000.

Range and Average of Financial Assistance:

OIT has three guaranty loan programs: The Export Working Capital Program. This programs guarantees export loans for us to 90 percent of the loan; The Export Express Loan Program guarantees loans for up to 85 percent of the loan; and the International Trade Loan program guarantees the loan for up to 75 percent of the loan.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Business Loans from the SBA.

Regional or Local Office:

For reference to the U.S. Export Assistance Centers, see Service Centers in Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Director, Office of International Trade, 409 3rd., S.W., Washington, DC 20416 (202) 205-6720.

Web Site Address:

<http://www.sba.gov>.

RELATED PROGRAMS:

59.006, 8(a) Business Development Program; 59.037, Small Business Development Centers; 59.043, Women's Business Ownership Assistance; 59.044, Veterans Business Development; 59.052, Native American Outreach.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.055 HUBZONE PROGRAM

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

The HUBZone Act of 1997, the HUBZones in Native America Act of 2000 and the Small Business Administration Authorization and Manufacturing Assistance Act of 2004, and program regulations. 13 CFR 126.100.

OBJECTIVES:

The purpose of the HUBZone program is to provide federal contracting assistance for qualified SBCs located in historically underutilized business Zones in an effort to increase employment opportunities, investment, and economic development in such areas.

TYPES OF ASSISTANCE:

Project Grants (Contracts).

USES AND USE RESTRICTIONS:

The HUBZone Program stimulates capital investment, creation of jobs, and economic development in distressed rural and urban communities by providing Federal contracting preferences to firms certified by SBA as 'qualified' HUBZone small businesses.

Applicant Eligibility:

To be eligible to participate in the program, a firm must (1) be a small business (as defined by SBA Size Standards), (2) be owned and controlled at least 51 percent by one or more U.S. citizens, a Community Development Corporation, an agricultural cooperative or an Indian tribe, (3) be located (principal office) in a HUBZone, and (4) certify that least 35 percent of its employees are residents of a HUBZone.

Beneficiary Eligibility:

The HUBZone Program is precisely targeted to provide contract opportunities - revenue sources - to firms located in approximately 11,600 metropolitan census tracts, 1,400 non-metropolitan counties, Indian Lands, and 150 areas affected by base closures and realignments.

Credentials/Documentation:

Application for HUBZone status is accomplished on-line.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are made on-line via the Intranet at www.sba.gov/hubzone.

Award Procedure:

Applicant is notified of program participation approval electronically within 30 days of receipt of a completed application.

Deadlines:

Applications for program participation are accepted on an on-going basis.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

On-line re-certification every three years.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

73-0100-0-1-376.

Obligations:

FY 07 \$6,767,000; FY 08 \$7,499,000; FY 09 \$8,150,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

HUBZone small businesses certified annually: FY 2001 = 2,145; FY 2002 = 2,796; FY 2003 = 2,338; FY 2004 = 2,294. Program examinations completed: FY 2004 = 505. Contract awards goal accomplishment: FY 2001 = 0.72 percent; FY 2002 = 0.71 percent; FY 2003 = 1.24. HUBZone small businesses certified annually: FY 2001 = 2,145; FY 2002 = 2,796; FY 2003 = 2,338; FY 2004 = 2,294. Program examinations completed: FY 2004 = 505. Contract awards goal accomplishment: FY 2001 = 0.72 percent; FY 2002 = 0.71 percent; FY 2003 = 1.24.

REGULATIONS, GUIDELINES, AND LITERATURE:

The HUBZone Act of 1997, the HUBZones in Native America Act of 2000, and the Small Business Administration Authorization and Manufacturing Assistance Act of 2004, and program regulations. 13 CFR Part 126. See the HUBZone website: www.sba.gov/hubzone.

Regional or Local Office:

Not applicable.

Headquarters Office:

Associate Administrator for HUBZone Program, Small Business Administration, 409 Third Street, S.W., Washington, DC 20416.

Web Site Address:

<http://www.sba.gov/hubzone>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

59.070 DRUG-FREE WORKPLACE PROGRAM**FEDERAL AGENCY:**

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 21(c)(3)(T) and Section 27 (15 U.S.C. Sections 648(c)(3)(T) and 654) (Title IX of Public Law 105-277, Public Law 108-447).

OBJECTIVES:

This Program permits the Small Business Administration (SBA) to make grants to eligible intermediaries to assist small businesses financially and technically in establishing DFWP programs. That statute also amended the Small Business Act (15 U.S.C. Section 631 et seq.) to require recipients of Small Business Development Center (SBDC) grants to provide information and assistance to small businesses with respect to establishing DFWP programs and authorized SBA to provide additional funding to SBDCs for that purpose.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses.

Applicant Eligibility:

A current small business owner.

Beneficiary Eligibility:

Small businesses, including those owned by low-income and handicapped individuals located in high unemployment areas.

Credentials/Documentation:

Statement of, company financial statements, resumes and job descriptions, organizational chart, technical proposal, milestones and letters of intended partnerships/collaborative efforts.

Preapplication Coordination:

Submission of proposal to the central Office of SBA through www.grants.gov. The standard application forms as furnished by the SBA must be used for this program. The eligible intermediary should coordinate with potential resources. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are filed by the eligible intermediary through www.grants.gov.

Award Procedure:

Applicant receives an executed cooperative agreement.

Deadlines:

None.

Range of Approval/Disapproval Time:

Range from 30 to 60 days.

Appeals:

Varies with individual proposals.

Renewals:

This program is for a period of two years with one two-year option period and is subject to availability of funds. After the four years, the grantees must reapply.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Cooperative agreements run for a period of two years with one two-year option period.

Reports:

Quarterly narrative and financial reports are required to be submitted to the SBA Central Office no later than 30 days following the end of the required time period. A final report is due 90 days after the end of the cooperative agreement year.

Audits:

None.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Administration: FY 07 \$91,000; FY 08 \$96,000; and FY 09 \$108,000 Grants: FY 07 \$758,000; FY 08 \$990,000; and FY 09 \$990,000.

Range and Average of Financial Assistance:

From \$100,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the grantees assisted 453 small businesses in implementing full or partial drug free workplace programs. The grantees educated 2,731 small businesses on the benefits of a drug free workplace program. Additionally, the grantees financially assisted 1,506 small businesses and technically assisted 1,745 small businesses.

REGULATIONS, GUIDELINES, AND LITERATURE:

DFWP Program Announcement, Small Business Act, Section 21(c)(3)(T) and Section 27 (15 U.S.C. Sections 648(c)(3)(T) and 654) (Title IX of Public Law 105-277, Public Law 108-447).

Regional or Local Office:

For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Associate Administrator, Office of Small Business Development Centers, 409 3rd, S.W., Washington, DC 20416. Telephone: (202) 205-6766; Fax: (202) 205-7727.

Web Site Address:

<http://www.sba.gov/sbdc>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are as posted in the program announcement.

DEPARTMENT OF VETERANS AFFAIRS

64.005 GRANTS TO STATES FOR CONSTRUCTION OF STATE HOME FACILITIES

(State Home Construction)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 88-450, 89-311, 90-432, 91-178, 93-82, 94-581, 95-62, 96-151, 96-330, 97-251, 97-295, 98-528, 99-166, 99-576, and 100-322, 38 U.S.C. 8131-8137.

OBJECTIVES:

To assist States to acquire or construct State home facilities for furnishing domiciliary or nursing home care to veterans, and to expand, remodel, or alter existing buildings for furnishing domiciliary, nursing home, or hospital care to veterans in State homes.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grant funds must be used for: The construction of new domiciliary or nursing home buildings or acquisition of such facilities; the expansion, remodeling, or alteration of existing buildings for the provision of domiciliary, nursing home, or hospital care in State homes, and the provision of initial equipment for any such buildings. All new domiciliary buildings must be constructed according to nursing home care standards for convertibility. Construction cost means the amount found by the Secretary to be necessary for a construction project, including architect fees, but excluding land acquisition costs.

Applicant Eligibility:

Any State may apply after assuring that the assisted facility will be owned by the State; and will be used primarily for veterans.

Beneficiary Eligibility:

Veterans meeting VA and State admission criteria.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

(1) The standard application forms as furnished by the Federal agency and required by Title 38, CFR Part 43, "Uniform Administrative Requirements" must be used for this program. (2) The initial application forms are Standard Form 424, 424C, and 424D, Initial Application for Federal Assistance, with attachments. (3) Consultation or assistance is available from Headquarters Office personnel to aid in the preparation of initial application. (4) An informal initial application conference is usually not needed; however, notification by telephone of intent to submit an initial application is recommended. (5) An environmental assessment is required for this program if outside construction exceeds 75,000 gross square feet to determine whether an environmental impact statement is necessary. (6) This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The standard application forms as furnished by the Federal agency and required by Title 38, CFR Part 43, "Uniform Administrative Requirements" must be used for this program. (Standard Form 424, Application for Federal Assistance for Construction Programs, with attachments.) This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Formal approval of the award of the assistance grant to the State is made by the Secretary of Veterans Affairs with program administered by Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group.

Deadlines:

Initial application is due by April 15 for all projects. State assurance of matching funds is due by August 15 to receive priority group one status.

Range of Approval/Disapproval Time:

From 30 to 60 workdays after receipt of bid tabulations, revised budget page based on bid, and signed Memorandum of Agreement.

Appeals:

No application will be disapproved without the opportunity of a hearing.

Renewals:

Not applicable.

Formula and Matching Requirements:

38 U.S.C. 8135 (D)(2). Federal participation provides up to 65 percent of total project cost, with the State providing the remainder.

Length and Time Phasing of Assistance:

Accounts are canceled five years after appropriation expires. Appropriations received in fiscal year 1994 or later do not expire. Reimbursement payments are

made to the State based on Federal share of cost incurred.

Reports:

Required in accordance with 38 CFR 59.124. Approved Final VA Grant Inspection and equipment list, if applicable, required prior to final payment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records should be maintained for the period established by Memorandum of Agreement (7 to 20 years depending upon the amount of the grant). If any claim or audit is started before the expiration of this time period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

36-0181-0-1-703.

Obligations:

(Obligations) FY 07 \$73,515,000; FY 08 est \$174,588,000; and FY 09 est \$86,650,000.

Range and Average of Financial Assistance:

\$22,866 to \$14,312,110; \$2,531,505.

PROGRAM ACCOMPLISHMENTS:

In FY 2007 committed to 23 projects valued at \$172 million. Twenty-two of the 23 projects were designated life safety. On 9/27/07, Secretary Nicholson approved the priority List of Pending State Home Construction Grant Applications for FY 2008. The Priority List is used as the basis for awarding grants during that fiscal year. There are a total of 185 projects on the FY 2008 Priority List and are currently valued at \$978,308,000. The first 100 projects had certified 35 percent state matching funds and are ranked in priority group 1. The current value of the 100 priority group 1 projects is \$542,151,000. The remaining 85 projects will be considered for priority group 1 status once the state provides the required certification of 35 percent state matching funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations 59, Grants to States for Construction of State Home Facilities.

Regional or Local Office:

Not applicable.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group (114), Department of Veterans Affairs, Central Office, Washington, DC 20420. Telephone: (202) 273-8536.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.014, Veterans State Domiciliary Care; 64.015, Veterans State Nursing Home Care; 64.016, Veterans State Hospital Care.

EXAMPLES OF FUNDED PROJECTS:

(1) Constructed new 200 bed State home nursing home replacement building; (2) Constructed new 168 bed State nursing home; (3) Remodeled existing State home nursing home building; (4) Remodeled existing State home domiciliary building; and (5) Remodeled existing State home hospital building to meet Americans With Disabilities Act requirements.

CRITERIA FOR SELECTING PROPOSALS:

As required by Title 38, U.S.C. Section 5035, all applications are reviewed and accorded priority in the following order: (1) adequate State financial support (matching funds); (2) construction/renovations to remedy life/safety issues; (3) states without state nursing homes constructed or acquired under Title 38 U.S.C. 8131-8137; (4) states with great need for new beds; (5) renovations not listed in (2); (6) states with significant need for new beds; (7) states with limited need for new beds; and (8) other criteria determined appropriate and published in regulations (38 CFR 59).

64.007 BLIND REHABILITATION CENTERS

(Blind Center)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 101(2), 1705, 1710, and 5303A.

OBJECTIVES:

To provide personal and social adjustment programs and medical or health-related services for eligible blinded veterans at selected VA Medical Centers

maintaining blind rehabilitation centers.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

To assist in the rehabilitation of blinded veterans.

Applicant Eligibility:

Any visually impaired or blind veteran who meets the following requirements: Must have enlisted in the armed forces before September 7, 1980. Veterans who enlisted in the armed forces after September 7, 1980, or entered on active duty after October 16, 1981, must have 24 continuous months of active duty service or completed the full period of time for which the individual was called or ordered to active duty. In either case the individual must have been discharged or released from active duty under conditions other than dishonorable. Must be enrolled in the VA health care system or have: A VA service-connected rating of 50 percent or greater, an adjudicated service-connected disability, or; been recently discharged from the military (within the past 12 months) for a disability the military determined was incurred or aggravated in the line of duty. When approved, the VA and at the Department of Defense request, active duty personnel may be transferred to a Blind Center.

Beneficiary Eligibility:

All blinded veterans.

Credentials/Documentation:

Military discharge papers.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application may be made by completing a VA Form 10-10, "Application for Health Benefits." Applications may be made: (1) In person at any VA health care facility; (2) through any veterans organization's representative; (3) by mailing the 10-10 to the nearest VA health care facility or (4) contacting the Visual Impairment Service Team (VIST) Coordinator at a VA facility.

Award Procedure:

VA ward physician determines, with recourse to Hospital Director.

Deadlines:

None.

Range of Approval/Disapproval Time:

Immediately.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Following admission, veterans are provided specialized inpatient rehabilitation in a VA Blind Rehabilitation Center plus any necessary medical or health related services. The time that a veteran spends in a Blind Rehabilitation Center is individual to each veteran. Normally the stay is three to five weeks, but will vary.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 07 \$71,818,000; FY 08 est \$86,507,000; and FY 09 est \$92,494,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During fiscal year 2007, 2,118 veterans received the intensive inpatient rehabilitation program for severely disabled blind and visually impaired patients nationally at the 10 Blind Rehabilitation Centers. Blind Rehabilitation Service deployed the national BR v.5.0 database to enhance patient referral and streamline clinical data management; additional functionality enhancements are underway. Mechanisms for tracking OEF/OIF service personnel have been developed and deployed. Sixty-eight OEF/OIF veterans and active duty personnel have been provided intensive rehabilitation for severe disability in the centers; some patients have received multiple episodes of care, resulting in a total of 81 inpatient stays at the centers. Blind Rehabilitation Centers have developed and deployed new assessments and interventions for visual consequences of traumatic brain injury following a joint VHA/DoD conference providing current practices and innovative research ongoing in this area.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Federal Benefits for Veterans and Dependents," VA Fact Sheet 80-991-1, \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20402. Fax orders (202) 512-2250. Mail Requests to P.O. Box 371954, Pittsburgh, PA 15250-7954. Coordinated Services for

Blinded Veterans, IB 11-59 (Revised), available from Director, Blind Rehabilitation Service (117B), Department of Veterans Affairs, Central Office, Washington, DC 20420.

Regional or Local Office:

Initial contact should be made at the nearest VA Medical Center or outpatient clinic listed in Appendix IV under the Veterans Health Administration.

Headquarters Office:

Blind Rehabilitation Service (117B), Patient Care Services, Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-7317.

Web Site Address:

<http://www.va.gov/blindrehab>

RELATED PROGRAMS:

64.009, Veterans Medical Care Benefits; 64.011, Veterans Dental Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.008 VETERANS DOMICILIARY CARE

DOMICILIARY RESIDENTIAL REHABILITATION AND TREATMENT PROGRAM (DRRTP)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 89-358, 94-581, and 100-322, Section 136, 38 U.S.C. 601 and 610; Executive Order 5398, July 21, 1930. Title 38 USC 1710, 1746-1748.

OBJECTIVES:

To provide the least intensive level of inpatient care for ambulatory veterans disabled by age or illness who are not in need of more acute hospitalization and who do not need the skilled nursing services provided in nursing homes. To rehabilitate the veteran in anticipation of his/her return to the community in a self-sustaining and independent or semi-independent living situation, or to assist the veteran to reach his/her optimal level of functioning in a protective environment.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

VA and State Home Domiciliary care provides necessary inpatient medical care and physical, social and psychological support services in a therapeutic environment. It also includes a program to prepare veterans who have the potential to function more independently to return to community living.

Applicant Eligibility:

Veterans who meet VA eligibility requirements for VA health care. Veterans of the various military services who were discharged for a disability or are in receipt of disability compensation and suffering from a permanent disability, have no adequate means of support, are incapacitated from earning a living and meet certain other requirements. Veterans with nonservice-connected disabilities that incapacitate them from earning a living, but which are not so severe as to require hospitalization, are also eligible if they are unable to defray the expense of domiciliary care and if they meet certain other requirements for care in a domiciliary. An income limitation criterion is applied to all applicants.

Beneficiary Eligibility:

Veterans meeting the above requirements.

Credentials/Documentation:

Military discharge papers (DD214).

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit Application for Medical Benefits, VA Form 10-10, to nearest VA medical facility.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:
None.

Account Identification:
36-0160-0-1-703.

Obligations:
(Salaries and Expenses) FY 07 \$379,248,000; FY 08 est \$468,169,000; and FY 09 est \$526,816,000.

Range and Average of Financial Assistance:
Not applicable.

PROGRAM ACCOMPLISHMENTS:
In fiscal year 2007, 27,560 patients were provided care in this program with an average daily census of 8,246. In fiscal years 2008 and 2009, it is estimated that 26,962 and 26,520 patients, respectively, will be provided care with an average daily census of 8,157 in 2008 and 8,072 in 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:
VA Manual M-5, Part IV "Domiciliary Program, Extended Care"; VA IS-1 Fact Sheet "Federal Benefits for Veterans and Dependents," available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402.

Regional or Local Office:
See Appendix IV, of the Catalog under Veterans Health Administration for Veterans Hospital Facilities.

Headquarters Office:
Chief Consultant, Office of Mental Health Services, Department of Veterans Affairs (116), Washington, DC 20420. VA Director, Residential Rehabilitation and Treatment Services. Telephone: (202) 273-8443 or 8446.

Web Site Address:
<http://www.va.gov>.

RELATED PROGRAMS:
64.014, Veterans State Domiciliary Care.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

64.009 VETERANS MEDICAL CARE BENEFITS

(Hospitalization and Medical Services)

FEDERAL AGENCY:
VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:
38 U.S.C. 102(2), 1705, 1710, and 5303A.

OBJECTIVES:
To provide outpatient medical services, hospital care, medicines and supplies to eligible veterans in receipt of VA health care.

TYPES OF ASSISTANCE:
Provision of Specialized Services.

USES AND USE RESTRICTIONS:
Hospital care includes: (1) Medical services rendered in the course of the hospitalization of any eligible veteran; (2) for certain eligible veterans, an established rate of travel, currently 11 cents a mile with a deductible imposed; (3) when medically indicated, payment for specialized modes of transportation, such as by ambulance, of any veteran with a service-connected disability or receiving, or eligible to receive, VA pension, or whose income does not exceed the applicable maximum annual VA pension rate; (4) such mental health services, consultations, professional counseling, and training of an eligible veteran or members of the immediate family as may be necessary or appropriate to the effective treatment and rehabilitation of the veteran; and (5) medical services rendered an eligible dependent of a veteran totally and permanently disabled from a service-connected disability or the widow, widower or child of a veteran who died as a result of a service-connected disability, or who at the time of death had total disability permanent in nature, resulting from a service-connected disability, or who is the surviving spouse or child of a person who died while on active duty and is not eligible for Civilian Health and Medical program of the Uniform Service (CHAMPUS), Medicare, or under the Civilian Health and Medical program, Veterans Affairs (CHAMPVA). Outpatient medical services includes examination, treatment, certain home health services, podiatric, optometric, dental, supportive medical services and surgical services are provided to veterans in VA facilities or under fee basis hometown care programs when properly authorized. Persian Gulf veterans and veterans of the Panama, Grenada, and Lebanon hostilities, in VA operated outreach centers, on contract, or in a VA facility. Sexual trauma counseling may be provided to a woman veteran which resulted from a physical assault of sexual nature, battery of a sexual nature, or sexual harassment which occurred while serving on active duty.

Applicant Eligibility:
Must have enlisted in the armed forces before September 7, 1980. Veterans who enlisted in the armed forces after September 7, 1980, or entered on active duty

after October 16, 1981, must have 24 continuous months of active duty service or completed the full period of time for which the individual was called or ordered to active duty. In either case the individual must have been discharged or released from active duty under conditions other than dishonorable. Must be enrolled in the VA health care system or have: A VA service-connected rating of 50 percent or greater, an adjudicated service-connected disability; or been recently discharged from the military (within the past 12 months) for a disability the military determined was incurred or aggravated in the line of duty. When approved by the VA and at the Department of Defense request, active duty personnel may be transferred to/from a military hospital to a VA health care facility.

Beneficiary Eligibility:

Dental care may be provided for those veterans: (1) Who have a service-connected compensable dental disability or condition; (2) who have a service-connected, non-compensable dental condition or disability and were former prisoners of war; (3) who have a service-connected, non-compensable condition or disability resulting from combat wounds or service trauma; (4) who were held prisoner of war for a period of not less than 90 days; (5) who have a service-connected disability rated as total; (6) who have been found in need of training authorized under 38 U.S.C. Chapter 31; (7) who have a dental condition which is having a direct and material detrimental effect upon a service-connected condition; (8) who have service-connected, non-compensable disability and who apply for treatment of such condition within 90 days following discharge or release for active duty during the Persian Gulf War, 90 days (treatment under this latter authority is limited to one-time correction of the service-connected dental condition); and (9) those receiving outpatient or scheduled for inpatient care may receive dental care if the dental condition is clinically determined to be complicating a medical condition currently under treatment.

Credentials/Documentation:

A copy of DD Form 214 or proof of active military service and discharge, as applicable verification of: (a) establishment of service-connected disability; (b) service during World War I; (c) military retirement; (d) letter of authorization; (e) receipt of increased pension or additional compensation or allowance based on the need of regular aid and attendance or by reason of being permanently housebound, or who but for the receipt of military retired pay would be otherwise eligible; or (f) when applicable, family household income and asset information.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application may be made by completing VA Form 10-10EZ, "Application for Health Benefits." Application may be made: (1) In person at any VA health care facility; (2) through any veteran's service organization's representative; or (3) by mailing the 10-10EZ to the nearest VA health care facility.

Award Procedure:

Not applicable.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

The same day if the applicant applies in person; generally within 15 days if by mail.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 07 \$35,232,474,000; FY 08 est \$40,478,018,000; and FY 09 est \$41,448,363,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, visits totaled 62,936,000 which included 6,177,000 for contract visits and 1,055,000 for readjustment counseling. In fiscal year 2007, 811,388 patients received inpatient hospital treatment. In fiscal year 2008, total outpatient visits are estimated at 64,856,000, including 6,604,000 for contract visits and 1,113,000 for readjustment counseling. It is estimated that 827,808 patients will receive inpatient hospital treatment in fiscal year 2008. In fiscal year 2009, total outpatient visits are estimated at 70,457,000 including

7,211,000 for contract visits and 1,222,000 for readjustment counseling. It is estimated that 843,438 inpatients will be treated in fiscal year 2009 in VA, State and contract inpatient facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.42, 17.43, 17.43(b), 17.46; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-99-1, \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20420. Fax orders to (202) 512-2250. Telephone orders (202) 512-1800 or mail requests to: Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954.

Regional or Local Office:

Contact the nearest VA Medical Center. (See Appendix IV of the Catalog for the list of addresses of VA facilities under Veterans Health Administration.)

Headquarters Office:

Director, Health Administration Services (10C3), Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 273-8302 or 273-8303.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.007, Blind Rehabilitation Centers; 64.008, Veterans Domiciliary Care; 64.010, Veterans Nursing Home Care; 64.011, Veterans Dental Care; 64.012, Veterans Prescription Service; 64.013, Veterans Prosthetic Appliances; 64.019, Veterans Rehabilitation Alcohol and Drug Dependence; 64.022, Veterans Home Based Primary Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.010 VETERANS NURSING HOME CARE

(Nursing Home Care)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 88-450, 89-311, 89-358, 91-500, 93-82, 94-581, 96-22, 97-37, 97-72, and 97-452, 38 U.S.C. 610 and 5010.

OBJECTIVES:

To accommodate eligible veterans who are not acutely ill and not in need of hospital care, but who require skilled nursing care, related medical services, supportive personal care, and individual adjustment services (including social, diversion, recreational and spiritual activities and opportunities) in a homelike atmosphere.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Veterans in need of care in a VA, State Home, or Contract Nursing Home Care Unit may be admitted or transferred according to the following priorities: (1) Any veteran who has a service-connected disability for any disability; (2) Any veteran whose discharge or release from the active military, naval, or air service was for a disability incurred or aggravated in line of duty for any disability; (3) Any veteran who, but for a suspension pursuant to 38 U.S.C. 1151 (or both suspension and the receipt of retired pay), would be entitled to disability compensation, but only to the extent that such veteran's continuing eligibility for such care is provided for the judgment or settlement described in such section, for any disability; (4) Any veteran who is a former prisoner of war, for any disability; (5) Any veteran who served in Vietnam during the Vietnam era and who may have been exposed to Agent Orange or other toxic substance and who, during such service needs care for a condition possibly related to such exposure, and to veterans who were exposed while on active duty to ionizing radiation from nuclear testing or participation in the American occupation of Hiroshima and Nagasaki following World War II and who are in need of care for a condition possibly related to such exposure; (6) Any veteran of World War I, for any disability; and (7) Any nonservice-connected veteran who is: (a) in receipt of VA pension, or who is unable to defray the expenses of necessary care as determined by the Category A threshold amounts; or (b) eligible for VA hospital care, who exceeds the income threshold amounts if the veteran agrees to pay the applicable co payments for the care rendered by the VA.

Applicant Eligibility:

The need for placement in a nursing home is based upon medical and nursing care considerations. The eligible veteran should require skilled nursing supervision, observation and care by an interdisciplinary team, and/or rehabilitative programs and supportive health services found only in a hospital based nursing home program.

Beneficiary Eligibility:

There are two categories of veteran eligibility (Mandatory and Discretionary) for VA hospital care. VA shall provide hospital care to veterans within the Mandatory Category and is permitted to furnish care on a resource-available basis to veterans in the Discretionary Category. The Mandatory Category

consists of all veterans including those nonservice-connected disabled with annual incomes below \$22,064 for a single veteran and below \$26,480 for a veteran with one dependent plus \$1,476 for each additional dependent. Nonservice-connected veterans with incomes above the established threshold for mandatory care are in the Discretionary Category. They may be treated by the VA on a resource-available basis if they agree to pay a copayment.

Credentials/Documentation:

Military discharge papers.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Submit Application for Medical Benefits, VA Form 10-10, to nearest VA medical facility. A medical determination as to need for nursing home care will be made by a VA physician.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

No limit.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 08 \$3,518,428,000; FY 08 est \$3,895,145,000; and FY 09 est \$4,003,488,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 91,096 patients were treated with an average daily census of 34,579. The projected corresponding levels for fiscal year 2008 are 92,144 patients treated and 34,633 average daily census. For fiscal year 2009, the levels are 93,002 patients treated and 34,970 average daily census.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 12, "Nursing Home Care"; VA Manual M-5, Part II, Chapter 2; VA IS-1 Fact Sheet, Federal Benefits for Veterans and Dependents, available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Hospital Facilities listed under Veterans Health Administration.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Strategic Health Group (114), Department of Veterans Affairs, Washington, DC 20420. VA Nursing Home Care Program Chief. Telephone: (202) 273-8540.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.015, Veterans State Nursing Home Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.011 VETERANS DENTAL CARE

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1712.

OBJECTIVES:

To provide dental services.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Must have enlisted in the armed forces before September 7, 1980. Veterans who enlisted in the armed forces after September 7, 1980 or entered on active duty after October 16, 1981, must have 24 continuous months of active duty service or completed the full period of time for which the individual was called or ordered to active duty.

Beneficiary Eligibility:

Dental care may be provided for those veterans (1) who have a service-connected compensable dental disability or condition; (2) who have a service-connected, non-compensable dental condition or disability and were former prisoners of war; (3) who have a service-connected, non-compensable condition or disability resulting from combat wounds or service trauma; (4) who were held as a prisoner of war for a period of not less than 90 days; (5) who have a service-connected disability rated as total; (6) who have been found in need of training authorized under 38 U.S.C. Chapter 31; (7) who have a dental condition which is having a direct and material detrimental effect upon a service-connected condition; (8) who have service-connected, non-compensable disability and who apply for treatment of such condition within 90 days following discharge or release from active duty of not less than 180 days, or in the case of a veteran who served on active duty during the Persian Gulf War, 90 days (treatment under this latter authority is limited to one-time correction of the service-connected dental condition); and (9) those receiving outpatient or scheduled for inpatient care may receive dental care if the dental condition is clinically determined to be complicating a medical condition currently under treatment.

Credentials/Documentation:

Copy of military discharge, and as applicable verification of: (a) establishment of service-connected disability; (b) service during World War I; (c) military retirement; (d) letter of authorization; or (e) receipt of increased pension or additional compensation or allowance based on the need of regular aid and attendance or by reason of being permanently housebound, or those who, but for the receipt of military retired pay, would be otherwise eligible, and as applicable, family income and expense information.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application may be made: (1) personally at a VA medical center, outpatient clinics or regional offices with outpatient clinics; (2) through any veteran's service organization representative; or (3) by mailing VA form 10-10EZ, Application for Health Benefits, to the nearest VA health care facility.

Award Procedure:

Not applicable.

Deadlines:

Application for dental treatment for service-connected non-compensable condition or disability shown to have been in existence at time of discharge or release from service must be made within 90 days after discharge from active duty.

Range of Approval/Disapproval Time:

The same day if applicant applies in person; generally within 10 days if applicant applies by mail.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

No limit.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 07 \$418,671,000; FY 08 est \$451,490,000; and FY 09 est \$485,454,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, there were 3,180,876 dental procedures; The fiscal year 2007 and 2008 estimates are 3,475,395 and 3,620,884 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.45a, 17.60(a) - (i), 17.60 (d) - (f) and 17.123(a) - (g). "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-99-1, \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20402, or Fax (202) 512-2250. Mail requests to P.O. Box 371954, Pittsburgh, PA 15250-7954.

Regional or Local Office:

Any VA Medical Center. See Appendix IV of the Catalog for listing under Veterans Health Services and Research Administration.

Headquarters Office:

VA Central Office: Headquarters Health Administration Service (10C3), Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 273-8303 or 8302.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.007, Blind Rehabilitation Centers; 64.009, Veterans Medical Care Benefits; 64.010, Veterans Nursing Home Care; 64.012, Veterans Prescription Service; 64.013, Veterans Prosthetic Appliances; 64.019, Veterans Rehabilitation Alcohol and Drug Dependence; 64.022, Veterans Home Based Primary Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.012 VETERANS PRESCRIPTION SERVICE

(Medicine For Veterans)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 91-500, 93-82, 94-581, and 101-508; 38 U.S.C. 612.

OBJECTIVES:

To provide eligible veterans and certain dependents and survivors of veterans with prescription drugs and expendable medical supplies from VA pharmacies upon presentation of prescription(s) from a VA provider or VA authorized provider.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods.

USES AND USE RESTRICTIONS:

Veterans receiving Veterans Outpatient Care (64.011) can receive prescription drugs and expendable medical supplies prescribed by a VA provider or VA authorized provider. These prescriptions will be dispensed by VA Pharmacies either directly to veterans or mailed upon request. In territories where there are no VA pharmacies, payment for prescribed drugs is provided for certain eligible veterans on a reimbursable basis. Contact the Health Administration Center, Denver, CO, at 1-800-733-8387 for information. Widows and dependent children may be eligible for reimbursement for medications through the ChampVA program. Contact the Health Administration Center, Denver, Co for information. Use Restrictions: Limited to drugs prescribed by VA physicians, dentists, podiatrists or other VA provider or authorized VA provider based on the VA National Formulary System. Veterans receiving medications on an outpatient basis from VA facilities, for the treatment of a nonservice-connected disability or condition, are required to make a co-payment of \$2.00 for each 30-day or less supply of medication. Veterans receiving medications for the treatment of a service-connected condition and veterans rated 50 percent or more service-connected are exempt from the co-payment requirement for medications. Prescriptions are not filled for alcoholic beverages or dietary supplements used for weight control. Nonservice-connected Veterans living abroad are ineligible for program benefits. However, service-connected veterans may be eligible for reimbursement for medications. Contact the Health Administration Center, Denver, CO, at 1-800-733-8387 for information.

Applicant Eligibility:

Veterans receiving outpatient care (see Veterans Outpatient Care 64.011); service connected veterans and World War I veterans if they are authorized non-VA care (Fee Basis) are eligible for prescriptions. Also, veterans in receipt of aid and attendance or housebound benefits are eligible for prescriptions. Veterans whose pension payments have been discontinued because their annual income exceeds the applicable maximum limitations may continue to be eligible for prescription drugs and expendable medical supplies until their income exceeds the maximum limitation by more than \$1,000.

Beneficiary Eligibility:

Veterans receiving outpatient care (see Veterans Outpatient Care 64.011); service connected veterans and World War I veterans if they are authorized non-VA care (Fee Basis) are eligible for prescriptions. Also, veterans in receipt of aid and attendance or housebound benefits are eligible for prescriptions. Veterans whose pension payments have been discontinued because their annual income exceeds the applicable maximum limitations may continue to be eligible for prescription drugs and expendable medical supplies until their income exceeds the maximum limitation by more than \$1,000.

Credentials/Documentation:

Eligible veterans must furnish prescriptions(s) from a VA physician, dentist, podiatrist, or VA authorized provider. Only veteran patients in receipt of aid and attendance or housebound benefits may furnish prescriptions from any licensed physician, dentist, or podiatrist.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Veterans should follow the application process for Veterans Outpatient Care (64.011). Once veterans are accepted for outpatient care the eligibility information is shared with the pharmacy computer system, veterans may then present prescriptions to a VA Pharmacy.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 07 \$4,324,952,000; FY 08 est \$4,688,246,000; and FY 09 est \$5,100,409,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Data unavailable.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Pamphlet 80-99-1, 38 CFR 17.60; "Federal Benefits for Veterans and Dependents", \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20402.

Regional or Local Office:

Initial contact should be made with the nearest Veterans Hospital Facility listed in Appendix IV of the Catalog under Veterans Health Administration.

Headquarters Office:

Chief Consultant, Pharmacy Benefits Management, Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 273-8429.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.011, Veterans Dental Care; 93.774, Medicare_Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.013 VETERANS PROSTHETIC APPLIANCES

(Prosthetics Services)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1162, 38 U.S.C. 1701, 38 U.S.C. 1710, 38 U.S.C. 1712, 38 U.S.C. 1713, 38 U.S.C. 1714, 38 U.S.C. 1717, 38 U.S.C. 1719, 38 U.S.C. 1723, 38 U.S.C. 1724, 38 U.S.C. 3104, 38 U.S.C. 3901, 38 U.S.C. 3902, 38 U.S.C. 3903, and 38 U.S.C. 8123.

OBJECTIVES:

To provide, through purchase and/or fabrication, prosthetic and related appliances, equipment and services to eligible veterans so that they may live and work as productive citizens.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Funds may be used for case management of the eligible veteran by administrative control, purchasing and/or fabrication and repair of prosthetic appliances and equipment. Appliances and services are provided only for the use and benefit of the disabled veteran to whom they are furnished. The program also includes the replacement and repair of appliances and training in the use of artificial limbs, artificial eyes, wheelchairs, aids for blind, hearing aids, braces, orthopedic shoes, eyeglasses, crutches and canes, medical equipment, surgical

and dental implants, medical supplies, and automobile adaptive equipment.

Applicant Eligibility:

Any disabled veteran or authorized representative on his behalf meeting the criteria below may apply for prosthetic appliances or services. VA may not furnish sensory-neural aids, e.g., eye glasses, hearing aids, etc., other than in accordance with guidelines established by the Secretary.

Beneficiary Eligibility:

Veterans eligible for prosthetic services are service-connected veterans seeking care for a service-connected disability; veterans with compensable service-connected disabilities generally rated 10 percent or more; former prisoners of war, veterans discharged or released from active military service for a disability that was incurred or aggravated in the line of duty, and veterans who are in receipt of Section 1151 benefits; veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound; veterans who have annual income and net worth below the "means test" threshold; all other veterans who are not required to pay a copayment for their care, i.e., veterans of the Mexican border period and World War I, compensated zero (0) percent service-connected veterans who are receiving statutory awards, veterans exposed to a toxic substance, radiation or environmental hazard (limited to certain disabilities); and veterans who must pay a copayment for their care. Ineligible veterans are nonservice-connected veterans residing or sojourning in foreign lands.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible veteran may request prosthetic services through their primary care provider when reporting in person to any VA Medical Center as well as by correspondence, telephone, or authorized community physician prescription.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

All prosthetic requests require an initial action within five working days.

Custom fabrication or design may increase the time before an item/service can be delivered.

Appeals:

A veteran who is administratively refused prosthetics services by a local VA health care facility may appeal to the Board of Veterans Appeals. Determinations of the Veterans Health Administration involving the need or nature of medical treatment as distinguished from legal or basic eligibility for medical services, are not appealable.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

For veterans in receipt of special monthly compensation or increased pension based on the need for regular aid and attendance, assistance is maintained for as long as eligibility continues (usually for life). Veterans are entitled to receive prosthetic services for as long as they are receiving VA treatment.

Reports:

None.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Value and Repair of Prosthetic Appliances, Home Oxygen, and Home Respiratory Therapy Supplies) FY 07 \$1,236,275,000; FY 08 est \$1,320,834,000; and FY 09 est \$1,454,528,000.

Range and Average of Financial Assistance:

\$10 to \$25,000. Average: \$118.

PROGRAM ACCOMPLISHMENTS:

The program provided 5,700,000 prosthetic item/services in the form of prosthetic appliances, sensory aids, medical equipment, medical supplies, surgical and dental implants, therapeutic devices and repair services during fiscal year 2005. The Veterans Health Care Eligibility Reform Act of 1996 significantly impacted the number of prosthetic item/services provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.150, 17.151, 17.152, 17.153, 17.154, 17.155, 17.156, 17.157, 17.158, 17.159.

Regional or Local Office:

Initial contact should be made with the Prosthetic Representatives in 124 VA field stations, any VA outpatient clinic, CBOC or any veterans' service organization representative. See Appendix IV of the Catalog for the address of the nearest Veterans Hospital Facility.

Headquarters Office:

CHIEF Prosthetics and Clinical Logistics Officer (10f), Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 254-0440. Fax: (202) 254-0470.

Web Site Address:

<http://vaww1.va.gov/prosthetics/>

RELATED PROGRAMS:

64.009, Veterans Medical Care Benefits; 64.011, Veterans Dental Care; 64.100, Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces; 64.116, Vocational Rehabilitation for Disabled Veterans; 93.774, Medicare Supplementary Medical Insurance; 93.778, Medical Assistance Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.014 VETERANS STATE DOMICILIARY CARE**FEDERAL AGENCY:**

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Act of August 27, 1888, as amended, Public Laws 66-126, 76-250, 78-202, 80-531, 81-823, 83-613, 86-625, 88-450, 90-432, 93-82, 94-417, 94-581, 96-151, 97-251, 98-160, and 100-322, 38 U.S.C. 1741-1743.

OBJECTIVES:

To provide financial assistance to States furnishing domiciliary care to eligible veterans in State Veterans' Homes which meet the standards prescribed by the Secretary of Veterans Affairs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The assistance provided is for domiciliary care; The provision of shelter, sustenance, and incidental medical care on an ambulatory self-care basis to assist eligible veterans, disabled by age or illness to attain physical, mental, and social well-being through rehabilitative programs.

Applicant Eligibility:

Applicant is any State which operates a designated facility to furnish domiciliary care primarily for veterans.

Beneficiary Eligibility:

Veterans eligible for care in a VA facility needing domiciliary care and meeting one of the following conditions: (a) has a service-connected disability for which such care is being provided; (b) has a nonservice-connected disability and states under oath his inability to defray the expenses of necessary care; (c) was discharged or released from active military, naval and air service for a disability incurred or aggravated in line of duty; or (d) is in receipt of, or but for the receipt of, retirement pay would be entitled to receive disability compensation. A veteran must also meet State admission criteria.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter of application from State addressed to Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group (114), Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420.

Award Procedure:

Recognition by Secretary of Veterans Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

38 U.S.C. 1741. For each veteran provided domiciliary care, formula payments to the State are \$33.01 per diem, or one-half the cost of care, whichever is less.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly or quarterly to the State.

Reports:

Monthly reports and quarterly statements of Federal aid claimed are filed by the State with VA field station having jurisdiction over the State Home.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

36-0160-0-1-703.

Obligations:

(Grants) FY 07 \$45,034,000; FY 08 est \$49,339,000; and FY 09 est \$51,190,000.

Range and Average of Financial Assistance:

\$5,000 to \$3,600,000. Average: \$427,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, the average daily census was 3,890 and is projected to be 3,892 and 3,894 in FY 2008 and FY 2009 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 3, "State Veterans' Homes," and VA Manual M-5, Part VIII, Chapters 1 through 5.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Assistant Chief Medical Director for Geriatrics and Extended Care Service (114B), Department of Veterans Affairs, Washington, DC 20420. Chief, State Home Per Diem Program. Telephone: (202) 461-6771.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.008, Veterans Domiciliary Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.015 VETERANS STATE NURSING HOME CARE**FEDERAL AGENCY:**

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 88-450, 90-432, 93-82, 94-417, 94-581, 96-151, 97-251, 98-160, and 100-322, 38 U.S.C. 1741-1743.

OBJECTIVES:

To provide financial assistance to States furnishing nursing home care to eligible veterans in State Veterans' Homes which meet the standards prescribed by the Secretary of Veterans Affairs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The assistance provided is for nursing home care: the accommodation of convalescents or other persons who are not acutely ill and not in need of hospital care or domiciliary care but who require skilled nursing care and related medical services.

Applicant Eligibility:

Applicant is any State which operates a designated facility to furnish nursing home care primarily for veterans.

Beneficiary Eligibility:

A veteran eligible for care in a VA facility needing nursing home care and meeting one of the following conditions: (a) has a service-connected disability for which such care is being provided; (b) has a nonservice-connected disability and states under oath his inability to defray the expenses of necessary nursing home care; (c) was discharged or released from active military, naval and air services for a disability incurred or aggravated in line of duty; or (d) is in receipt of, or but for the receipt of retirement pay would be entitled to receive disability compensation. A veteran must also meet State admission criteria.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter of application from State addressed to Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group (114) Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420.

Award Procedure:

Recognition by Secretary of Veteran Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

38 U.S.C. 1741. For each veteran provided nursing home care, formula payments to the State are \$71.42 per diem, or one-half the cost of care, whichever is less.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly or quarterly to the State.

Reports:

Monthly reports and quarterly statements of Federal aid claims are filed by the State with VA field station of jurisdiction.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

36-0160-0-1-703.

Obligations:

(Grants) FY 07 \$451,644,000; FY 08 est \$501,046,000; and FY 09 est \$524,739,000,000.

Range and Average of Financial Assistance:

\$267,590 to \$11,448,271. Average: \$5,857,931.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the average daily census was 18,087 and is projected to be 18,268 and 18,451 in FY 2008 and FY 2009 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 3, "State Veterans' Homes," and M-5, Part VIII, Chapters 1 through 5.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Care Service(114), Department of Veteran's Affairs, Washington, DC 20420. Chief, State Home Per Diem Program. Telephone: (202) 461-6771.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.010, Veterans Nursing Home Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.016 VETERANS STATE HOSPITAL CARE

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 76-250, 78-202, 80-531, 81-823, 83-613, 86-625, 88-450, 90-432, 91-178, 94-417, 94-581, 96-151, 97-271, 98-160, and 100-322, 38 U.S.C. 1741-1743.

OBJECTIVES:

To provide financial assistance to States furnishing hospital care to eligible veterans in State veterans' homes which meet the standards prescribed by the Secretary of Veterans Affairs.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

The assistance provided is for hospital care: The provision of diagnosis and treatment for in-patients with medical, surgical, or psychiatric conditions who generally require the continuous services of a physician with attendant diagnostic, therapeutic, and rehabilitative services.

Applicant Eligibility:

Applicant is any State which operates a designated facility to furnish hospital care primarily for veterans.

Beneficiary Eligibility:

A veteran eligible for care in a VA facility needing hospital care and meeting one of the following conditions: (a) has a service-connected disability for which such care is being provided; (b) has a nonservice-connected disability and States under oath his inability to defray the expenses of necessary care; (c) was

discharged or released from active military, naval and air service for a disability incurred or aggravated in line of duty; or (d) is in receipt of, or but for the receipt of retirement pay, would be entitled to receive disability compensation. A veteran must also meet State admission criteria.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter of application from State addressed to Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group (114), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420.

Award Procedure:

Recognition by Secretary of Veterans Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

38 U.S.C. 1741. For each veteran provided hospital care, formula payments to the State are \$71.42 per diem, or one-half the cost of care, whichever is less.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly or quarterly to the State.

Reports:

Monthly reports and quarterly statements of Federal aid claimed are filed by the State with VA field station of jurisdiction.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

36-0160-0-1-703.

Obligations:

(Grants) FY 07 \$6,565,000; FY 08 est \$3,346,000; and FY 09 est \$3,414,000.

Range and Average of Financial Assistance:

\$2,022 to \$3,217,481. Average: \$1,609,752.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the average daily census was 124 and is projected to be 122 in FY 2008 and 120 in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 3, "State Veterans' Home", and Chapter 3 and M-5, Part VIII, Chapters 1 through 5.

Regional or Local Office:

See Appendix IV for Veterans Hospital Facilities.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Care Service (114), Department of Veterans Affairs, Washington, DC 20420. Chief, State Home Per Diem Program. Telephone: (202) 461-6771.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.009, Veterans Medical Care Benefits.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.018 SHARING SPECIALIZED MEDICAL RESOURCES

(Sharing Contracts (Exchange of Use or Mutual Use))

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 89-785, 91-496, 93-82, 96-151, 101-366 and 103-210; 38 U.S.C. 8151-8153.

OBJECTIVES:

To receive and share the most advanced medical techniques; to secure the use of healthcare resources which otherwise might not be feasibly available to the VA and to provide services to the community through resources which are not being utilized to their maximum effectiveness within the VA. (These medical resources are underutilized resources in the sense that they are unique in the medical community or can have maximum utilization only through mutual use.)

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

To provide for the exchange of or mutual use of specialized medical resources when such an agreement will obviate the need for a similar resource to be provided in a VA facility, or for the mutual use, or exchange of use, of specialized medical resources in a VA facility which have been justified on the basis of veterans' care, but which are not utilized to their maximum effective capacity. Such agreements must be made with health care facilities including hospitals, medical schools, clinics, research centers, and blood and organ banks. VA may also enter into sharing agreements with State Veterans Homes for the purpose of sharing health care resources which include inpatient and outpatient care, rehabilitation services, and other health care support services.

Applicant Eligibility:

(For sharing of medical resources) Health care facilities including medical schools, hospitals, clinics, research centers and blood and organ banks. ("Hospitals" include any Federal, State, local, or other public or private hospital.)

Beneficiary Eligibility:

Patients of VA medical facilities or patients of health care facilities including hospitals, medical schools, clinics, research centers and blood and organ banks.

Credentials/Documentation:

Written authorization from the VA (or other party to the agreement or contract, as provided) by the terms of the agreement or contract.

Preapplication Coordination:

Negotiated between VA and the applicant. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Competitive sharing agreements greater than \$1.5 million and non-competitive contracts greater than \$500,000 must be approved by the Director, Sharing and Purchasing Office (175), Department of Veterans Affairs, Washington, DC, prior to contract execution. Proposed contracts less than these thresholds may be negotiated and awarded without prior VA Central Office reviewed approval.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Sharing contracts may include the exercise of four one-year, pre-priced options beyond the first year of the contract.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Annually as required by Title 38 U.S.C. 8153(e).

Audits:

As required by contract terms.

Records:

Maintain records in accordance with the contract or agreements which will facilitate an effective audit.

Account Identification:

36-0160-0-1-703.

Obligations:

(Services Purchased and Provided) FY 07 services purchased \$888,215,000 and services provided \$36,859,000; FY 08 est services purchased \$1,051,927,000 and services provided \$37,466,000; and FY 09 est services purchased \$1,245,814,000 and services provided \$38,044,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

There were over 4,816 contracts for resources purchased and provided in fiscal year 2005.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.210; VA Manual M-I, Part I, Chapter 34, Section II; VA Acquisition Regulations VAAR 815.7.

Regional or Local Office:

Contact nearest VA Facility listed in Appendix IV of the Catalog under Veterans Health Administration.

Headquarters Office:

Director, Sharing and Purchasing Office (175), Veterans Health Administration,

Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420. Telephone: (202) 273-8406.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.019 VETERANS REHABILITATION-ALCOHOL AND DRUG DEPENDENCE

(Substance Abuse Treatment Program, Mental Health and Behavioral Sciences Service)

FEDERAL AGENCY:

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 17.

OBJECTIVES:

To provide medical, social, vocational and rehabilitation therapies to eligible alcohol and drug dependent veterans.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

The Substance Abuse Treatment programs are located in VA medical centers and clinics. The programs offer various modalities of treatment including: detoxification, substance abuse rehabilitation (education; individual, group and family therapies; drug free modalities; relapse prevention; continuing care and other treatments), psychiatric treatment and psychotropic medication, social services, opioid substitution therapy and vocational rehabilitation. In the case of opioid substitution therapy, the VA is bound by the regulations for minimum standards established by the Food and Drug Administration and Drug Enforcement Administration.

Applicant Eligibility:

Any veteran who requires treatment for substance abuse is eligible if meeting the following conditions: (1) has served in the active military, naval or air service; and (2) was discharged or released under conditions other than dishonorable; and (3) meets the general eligibility requirements as defined in program 64.009.

Beneficiary Eligibility:

Veterans meeting the criteria listed in the Applicant Eligibility section of this program.

Credentials/Documentation:

Discharge certificate.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Apply personally to any VA medical center, outpatient clinic, or regional office; through any veterans service organization representative; or by mailing VA Form 10-10 (Application for Medical Benefits) to nearest medical center.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

The same day if the applicant applies in person; generally within 7 days if by mail.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Salaries and Expenses) FY 07 \$436,748,000; FY 08 est \$497,580,000; and FY 09 est \$583,074,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

During 2007, over 338,000 veterans were provided substance abuse treatment services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

Contact the nearest VA medical center, outpatient clinic, domiciliary, or Veterans Regional Office. (See Appendix IV of the Catalog for the list of addresses under Veterans Health Administration).

Headquarters Office:

Director, Associate Chief Consultant, Addictive Disorders (116B), Department of Veterans Affairs, 810 Vermont Ave., Washington, DC 20420. Telephone: (202) 461-7352.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.009, Veterans Medical Care Benefits; 64.011, Veterans Dental Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.022 VETERANS HOME BASED PRIMARY CARE**FEDERAL AGENCY:**

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 93-82, 94-581, 96-151, and 99-166, 38 U.S.C. 1717.

OBJECTIVES:

To provide primary health care in the home, through a VA interdisciplinary team, to homebound and often bedridden eligible veterans whose caregivers are capable and willing to assist in their care.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Veterans are admitted to home based primary care.

Applicant Eligibility:

The eligible veteran must require intermittent skilled nursing care and related medical services for a time-limited or a protracted period. Medical determination as to need for home health services will be made by a VA Medical Center physician. The veteran must meet the eligibility requirements as defined in program 64.009.

Beneficiary Eligibility:

Veterans meeting the criteria listed in the Applicant Eligibility section of this program.

Credentials/Documentation:

Military discharge papers indicating veteran served on active duty, meeting appropriate requirements within applicable laws.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Medical determination as to need for home health services will be made by a VA Medical Center physician or VA authorized private health care physician.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

No limit.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

(Services) FY 07 \$173,506,000; FY 08 est \$185,631,000; and FY 09 est \$263,868,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, the average daily census was 13,222 and is projected to be 14,146 in FY 2008 and 20,108 in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1 Part 1, Chapter 30, Section II, "Hospital Based Home Care."

Regional or Local Office:

Not applicable.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Care Strategic Healthcare Group (114), Department of Veterans Affairs, Washington, DC 20420. Home Based Primary Care Program Coordinator, Telephone: (202) 273-6488/8540.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.011, Veterans Dental Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.024 VA HOMELESS PROVIDERS GRANT AND PER DIEM PROGRAM**FEDERAL AGENCY:**

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Homeless Veterans Comprehensive Service Programs Act of 1992, Sections 3 and 4, Public Law 102-590; 38 U.S.C. 7721 note; Public Law 104-110.

OBJECTIVES:

To assist public and nonprofit private entities in establishing new programs and service centers to furnish supportive services and supportive housing for homeless veterans through grants that may be used to acquire, renovate or alter facilities, and to provide per diem payments, or in-kind assistance in lieu of per diem payments, to eligible entities which established programs after November 10, 1992 that provide supportive services and supportive housing for homeless veterans. (Note: The number of vans was limited to 20 for the life of this grant. This Van Restriction has not been lifted.)

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The Homeless Veterans Comprehensive Service Programs Act of 1992 (Public Law 102-590) established the VA Homeless Providers Grant and Per Diem Program. The Act authorizes VA to assist public or non-profit private entities with partial funding for "bricks and mortar" to create supportive housing or services centers or to purchase vans for outreach and transportation. The Act also authorizes VA to partially fund operating expenses for these facilities through per diem payments.

Applicant Eligibility:

Applicants eligible for grants include public and nonprofit private entities with the capacity to effectively administer a grant; which demonstrate that adequate financial support will be available to carry out the project; and which agree to and demonstrate capacity to meet the applicable criteria and requirements of the grant program. Applicants eligible for per diem payments include public or nonprofit private entities who are either grant recipients, or who are eligible to receive a grant. Programs eligible for coverage under per diem payments must have been established after November 10, 1992.

Beneficiary Eligibility:

Veterans, meaning a person who served in the active military, naval or air service, and who was discharged or released there from under conditions other than dishonorable.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

Application documents include a Standard Form 424, 424C and 424D, as well as VA Form 10-0361. Once per year if funds are available for the program, VA will publish in the Federal Register a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive per diem payments. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The application forms required by 38 CFR 17.700, "VA Homeless Providers Grant and Per Diem Program," and furnished by the Federal agency must be used for the program.

Award Procedure:

Formal approval of the award of assistance is made by the Secretary of Veterans Affairs; the program is administered by Mental Health Strategic Healthcare Group.

Deadlines:

Deadlines for program applications are established in the notice of fund availability.

Range of Approval/Disapproval Time:

For grant applicants, from 30 to 60 work days after receipt of items in the Second Submission section of the grant application package, including documentation on acquisition, rehabilitation and new construction; match and feasibility; matching funds; supportive services; and operating budget. For recognition by VA as eligible to receive per diem payments, 30 to 60 days after formal recognition is approved, pending availability of funds.

Appeals:

If an application would have been selected but for a procedural error committed by VA, VA will select that application for potential funding when sufficient funds become available, if there is no material change in the information that resulted in its selection. A new application will not be required for this purpose.

Renewals:

Not applicable.

Formula and Matching Requirements:

Refer to 38 CFR 17.706. Federal participation provides up to 65 percent of total project cost, with the grant recipient providing the remainder. Once funds are obligated for a grant project, VA will not increase the amount obligated.

Length and Time Phasing of Assistance:

For the grant program, appropriation expires at the end of each fiscal year. Length of time limitations for the per diem programs are not established, however, per diem is contingent on availability of VA program funds. Reimbursement payments are made to the recipient based on the Federal share of costs incurred.

Reports:

Required in accordance with 38 CFR 17.700.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Three categories of records should be maintained by VA and the contractor: (a) contractor fiscal records; (b) contractor treatment records; and (c) VA record summaries of treatment provided. Contractor fiscal records should be maintained by the contractor until they are certified by the General Accounting Office, as guided by Records Control Schedule 10-1. Contractor treatment records should be maintained by the contractor for a period of three years after the date of last patient activity before being disposed of by the contractor. Contractor summaries and related records will be maintained by the appropriate VA health care facility until three years after the last episode of care, then they will be filed with VA's Consolidated Health Records for each veteran patient. Consolidated Health Records are retained by the VA facility for 75 years from the last episode of care.

Account Identification:

36-0160-0-1-703.

Obligations:

(Grants & Per Diem) FY 07 \$81,187,000; FY 08 est \$107,180,000; and FY 09 est \$122,000,000.

Range and Average of Financial Assistance:

\$12,610 to \$541,000.

PROGRAM ACCOMPLISHMENTS:

During the first five rounds of funding, more than 400 applications were received, requesting approximately \$200 million to acquire, renovate, or construct supportive housing or service center facilities for homeless veterans or to purchase vans for outreach and transportation. Total VA funding for these projects was over \$26 million. When these projects are completed, approximately 2,500 new community-based beds will be available for homeless veterans. Additionally, under this funding, 14 homeless veterans service centers will be established; 20 vans have been purchased by entities to conduct outreach or provide transportation for homeless veterans; and five mobile service center units will provide medical care and/or counseling services for homeless veterans with limited access to treatment. Most programs have been awarded grants for acquiring and renovating facilities. A small number of programs have been awarded a grant for new construction of buildings or acquiring already renovated facilities. Twenty programs were awarded grants to purchase vans for outreach and transportation. The majority of grant funding for housing will create transitional housing for homeless veterans. Of this transitional housing or in addition to it, supportive housing beds will be created for special populations including homeless elderly veterans, female veterans, veterans experiencing mental health or substance abuse problems, and homeless terminally ill veterans.

In addition to housing, the funding will also create service centers that provide linkages with other community resources, job training, and/or emergency services in an effort to engage the homeless veteran in more longer term support.

The projects funded to purchase vans will be using the vehicles for expanding outreach efforts and providing transportation for homeless veterans to VA and community services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations 17.700 - 17.731, VA Homeless Providers Grant and Per Diem Program.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Manager, VA Homeless Providers Grant and Per Diem Program, Mental Health Strategic Healthcare Group (116E), Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420. Telephone: (202) 273-8966. (This is not a toll-free number.) Toll-free (1 877) 322-0334. Contacts: Victor Harris - Telephone: (202) 273-8443. Roger Casey - Telephone: (1 877) 322-0334.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

As required by 38 CFR 17.700, applications are reviewed, rated and ranked in priority order. Selection criteria include: (a) Quality of the project. (b) Targeting to persons on street and in shelters. (c) Ability of the applicant to develop and operate a project. (d) Need for the type of project proposed in the area to be served. (e) Innovative quality of the proposal. (f) Leveraging. (g) Cost effectiveness. (h) Coordination with other programs.

64.026 VETERANS STATE ADULT DAY HEALTH CARE**FEDERAL AGENCY:**

VETERANS HEALTH ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Law 106-117, Section 101.

OBJECTIVES:

To provide a community-based program designed to meet the needs of adults with impairments through individual plans of care. This type of structured, comprehensive, nonresidential program provides a variety of health, social, and related support services in a protective setting. By supporting families and caregivers, an adult day services program enables the person to live in the community. An adult day services program assesses the needs of the persons served and offers services to meet those needs. The persons served attend on a planned basis. Nothing in this generic description of adult day services may be construed to modify the specific services or eligibility requirements referenced in the definition of adult day care and adult day health.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Adult day health is a supervised daytime program providing skilled nursing and rehabilitative therapy services in addition to core services outlined in Public Law 106-117 Section 101. (1) Adult day health services are only appropriate for adults with medical or disabling conditions that require the intervention or services of a registered nurse or licensed rehabilitative therapist acting under the supervision of the client's physician. (2) The adult day health center must offer and provide on site the following services: (a) All core services under PL 106-117 Section 101; (b) Skilled nursing services other than routine health monitoring with nurse consultation; (c) At least one of the following skilled therapy services: physical therapy, occupational therapy, or speech-language pathology or audiology, as those services are defined under PL 106-117 Section 101 respectively; and (d) Psychological or counseling services, including assessing for psycho-social therapy need, dementia, abuse or neglect, and alcohol or drug abuse; making appropriate referrals; and providing brief, intermittent supportive counseling. (a) The following personal care services as defined in PL 106-117 Section 101, "personal care services," or its successor: (i) Ambulation; (ii) Body care; (iii) Eating; (iv) Positioning; (v) Self-medication; (vi) Transfer; (vii) Toileting; (viii) Personal hygiene at a level that ensures client safety and comfort while in attendance at the program; and (ix) Bathing at a level that ensures client safety and comfort while in attendance at the program. (b) Social services on a consultation basis, which may include: (i) Referrals to other providers for services not within the scope of Medicaid reimbursed adult day care services; (ii) Caregiver support and education; or (iii) Assistance with coping skills. (c) Routine health monitoring with consultation from a registered nurse that a consulting nurse acting within the scope of practice can provide with or without a physician's order. Examples include: (i) Obtaining baseline and routine monitoring information on a client's health status, such as vital signs, weight, and dietary needs; (ii) General health education such as providing information about nutrition, illnesses, and preventive care; (iii) Communicating changes in the client's health status to the client's caregiver; (iv) Annual and as

needed updating of the client's medical record; (v) Assistance as needed with coordination of health services provided outside of the adult day care program. (d) General therapeutic activities that an unlicensed person can provide or that a licensed person can provide with or without a physician's order. These services are planned and provided as an integral part of the client's plan of care and are based on the client's abilities, interests and goals. Examples include: (i) Recreational activities; (ii) Diversionary activities; (iii) Relaxation therapy; (iv) Cognitive stimulation; (v) Group range of motion or conditioning exercises. (e) General health education that an unlicensed person can provide or that a licensed person can provide with or without a physician's order, including but not limited to topics such as: (i) Nutrition; (ii) Stress management; (iii) Disease management skills; (iv) Preventive care. (f) A nutritional meal and snacks provided each four-hour period at regular times comparable to normal meal times, including modified diet if needed and within the scope of the program, as provided under Public Law 106-117 Section 101; (g) Supervision and/or protection for clients who require supervision or protection for their safety; (h) Assistance with arranging transportation to and from the program; and (i) First aid and provisions for obtaining or providing care in an emergency.

Applicant Eligibility:

52.50 Eligible veterans. A veteran is an eligible veteran under this part if VA determines that the veteran meets the definition of a veteran in 38 U.S.C. 101, is not barred from receiving this VA care under 38 U.S.C. 5303, 5303A, needs adult day health care, and is within one of the following Categories listed below: Public Law 106-117 Section 101, Adult Day Health-Eligibility. (a) Veterans with service-connected disabilities; (b) Veterans who are former prisoners of war; (c) Veterans who were discharged or released from active military service for a disability incurred or aggravated in the line of duty; (d) Veterans who receive disability compensation under 38 U.S.C. 1151; (e) Veterans whose entitlement to disability compensation is suspended because of the receipt of retired pay; (f) Veterans whose entitlement to disability compensation is suspended pursuant to 38 U.S.C. 1151, but only to the extent that such veterans continuing eligibility for adult day health care is provided for in the judgment or settlement described in 38 U.S.C. 1151; (g) Veterans who VA determines are unable to defray the expenses of necessary care as specified under 38 U.S.C. 1722(a); (h) Veterans of the Mexican Border period or of World War I; (i) Veterans solely seeking care for a disorder associated with exposure to a toxic substance or radiation or for a disorder associated with service in the Southwest Asia theater of operations during the Gulf War, as provided in 38 U.S.C. 1710(e); (j) Veterans who agree to pay to the United States the applicable copayment determined under 38 U.S.C. 1710(f) and 1710(g), if they seek VA (Department of Veterans Affairs) hospital, nursing home, or outpatient care. (Authority: 38 U.S.C. 101, 501, 1741, 1743).

Beneficiary Eligibility:

A veteran eligible for care in a VA facility needing adult day health care and meeting one of the following conditions: (a) has a service-connected disability for which such care is being provided; (b) has a non-service connected disability and sates under oath his inability to defray the expenses of necessary adult day health care; (c) was discharged or related from active military, naval and air services for a disability incurred or aggravated in the line of duty; or (d) is in receipt of, or but for the receipt of retirement pay would be entitled to receive disability compensation. A veteran must also meet State admission criteria.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Letter of application from State addressed to the Chief Consultant, Geriatrics and Extended Care (114) Department of Veterans Affairs, 810 Vermont Avenue, N.W. Washington DC 20420.

Award Procedure:

Recognition by the Secretary of Veterans Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

38 U.S.C. 1741. For each veteran provided adult day health care, formula payments to the State are \$64.13 per diem, or one-half the cost of care, whichever is less.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly to the state.

Reports:

Monthly reports and quarterly statements of Federal aid claims are filed by the State with VA field station of jurisdiction.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003). "Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$400,000 a year in Federal awards are exempt from Federal audit requirement for that year, except as noted in Circular No. A-133."

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

36-0160-0-1-703.

Obligations:

(Grants) FY 07 \$362,000; FY 08 est \$380,000; and FY 09 est \$399,000.

Range and Average of Financial Assistance:

\$22,686 to \$165,077; average \$93,882.

PROGRAM ACCOMPLISHMENTS:

The State Home ADHC program was able to provide outpatient adult day healthcare to 20 participants in FY 2007 and is estimated to provide for 21 and 22 participants in FY 2008 and FY 2009, respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 3, State Veterans Homes, and M-5, Part VIII.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Chief Consultant, Geriatrics and Extended Care Service (114), Department of Veterans Affairs, Washington DC 20420. Chief, State Home Per Diem Program. Telephone: (202) 461-6771.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.010, Veterans Nursing Home Care.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.100 AUTOMOBILES AND ADAPTIVE EQUIPMENT FOR CERTAIN DISABLED VETERANS AND MEMBERS OF THE ARMED FORCES

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 39.

OBJECTIVES:

To provide financial assistance to certain disabled servicepersons and veterans toward the purchase price of an automobile or other conveyance and an additional amount for adaptive equipment deemed necessary to insure the eligible person will be able to operate or make use of the automobile or other conveyance. To provide adaptive equipment only for certain other disabled veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Assistance toward purchase of an automobile or other conveyance is a one-time payment only. Necessary adaptive equipment may be furnished, repaired, replaced, or reinstalled on a conveyance which may be purchased with assistance or any other conveyance subsequently or previously acquired. Adaptive equipment will be provided for no more than two conveyances during any four-year period unless one of those two vehicles becomes unavailable to the veteran.

Applicant Eligibility:

Veterans with honorable service and servicepersons on active duty having a service-connected disability due to loss or permanent loss of use of one or both feet, one or both hands, or a permanent impairment of vision of both eyes to a prescribed degree. For adaptive equipment only, eligibility also exists if there is service-connected ankylosis of one or both knees or one or both hips. Servicepersons on active duty also qualify under the same criteria as veterans.

Beneficiary Eligibility:

Disabled servicepersons and veterans.

Credentials/Documentation:

DD Form 214, "Certificate of Release or Discharge from Active Duty".

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-4502) may be obtained from any VA Office or Regional Office (see Appendix IV of the Catalog) and submitted to the Regional Office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the Regional Office.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not available.

Appeals:

Available. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments) FY 07 \$53,443,000; FY 08 est \$57,300,000; and FY 09 est \$61,600,000.

Range and Average of Financial Assistance:

No statutory maximum for adaptive equipment; \$11,000 maximum for automobiles and other conveyances.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 1,371 vehicles were purchased; estimate for fiscal years 2008 and 2009 is 1,371 vehicles each year.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.808. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.013, Veterans Prosthetic Appliances.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.101 BURIAL EXPENSES ALLOWANCE FOR VETERANS**FEDERAL AGENCY:**

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 2301, 2302, 2303, 2306, 2307, 2308.

OBJECTIVES:

To provide a monetary allowance not to exceed \$300 toward the plot or interment expense for certain veterans not buried in a national cemetery. Up to \$300 as a burial allowance is payable toward the burial expense of certain veterans. If death is the result of a service-connected disability, payments can be made to reimburse burial and funeral expenses not to exceed \$2,000 or that amount authorized under 5 U.S.C. 8134(a) whichever is greater. In addition to the statutory burial allowance, the cost of transporting the veterans' remains from place of death to site of burial is paid by VA if death occurs in a VA facility.

The cost of transporting the remains from place of death to the national cemetery in which space is available nearest the veteran's last place of residence may also be paid if death was due to service-connected disability, or at the time of death the veteran was in receipt of or entitled to receive disability compensation, and burial is in a national cemetery. A headstone or marker may be authorized. Also, an American flag to drape the casket of a veteran may be provided.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

The person who bore the veteran's burial expense or the Funeral Director, if unpaid, is eligible for reimbursement of the burial expense. The next of kin, friend or associate of the deceased veteran is eligible for the flag.

Beneficiary Eligibility:

Bearer of veteran's burial expense or Funeral Director if unpaid.

Credentials/Documentation:

Burial and plot allowances are payable for a veteran whose discharge was under other than dishonorable conditions and who meet the following criteria: the deceased veteran was discharged or released from active duty for a disability incurred or aggravated in line of duty; or at the time of death the veteran was entitled to compensation or pension, or the deceased veteran was indigent or the deceased veteran was properly hospitalized at VA expense. In lieu of plot and burial allowances, the service-connected allowance is payable toward the burial expenses of a deceased veteran who died of a service-connected cause. Each wartime veteran, and each peacetime veteran who served at least one enlistment or was discharged or released from active duty because of a disability incurred or aggravated in line of duty is entitled to a flag to drape the casket.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-530) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office for burial benefits. Headstones and markers must be applied for on VA Form 40-1330 in accordance with the "General Information Sheet" and instructions attached to the application and submit it to the Department of Veterans Affairs. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office except for headstones and markers.

Deadlines:

Application for the burial allowance must be filed within two years of the veteran's burial. Public Law 110-157 effectively exempts state cemeteries from any time limits for submitting a claim for reimbursed plot or interment costs for unclaimed remains only, effective retroactively for interments or inurnments occurring on or after October 1, 2006. There is no deadline on receipt of the flag.

Range of Approval/Disapproval Time:

Approximately 79 percent completed in 90 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

36-0155-0-1-701.

Obligations:

(Direct Payments) FY 07 \$168,875,000; FY 08 est \$212,428,000; and FY 09 est \$192,598,000.

Range and Average of Financial Assistance:

Up to \$300 for plot or interment expenses. Up to \$300 for burial allowance if death is not service-connected. Up to \$2,000 if death is service-connected. Transportation charges may be covered in certain cases.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 67,219 burial allowances and 500,000 burial flags were given. FY 2008 estimates are 82,111 burial allowances and 500,000 burial flags. FY 2009 estimates are 84,038 burial allowances and 500,000 burial flags.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.1600-3.1611. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-6-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.105, Pension to Veterans Surviving Spouses, and Children; 64.201, National Cemeteries; 64.202, Procurement of Headstones and Markers and/or Presidential Memorial Certificates.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.103 LIFE INSURANCE FOR VETERANS

(GI Insurance)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

War Risk Insurance Act, as amended, Public Law 65-90; World War Veterans Act, Public Law 68-242, as amended; National Service Life Insurance Act, Public Law 76-801, as amended; Servicemen's Indemnity and Insurance Act, Public Law 82-23; Public Law 88-664; Public Law 92-95, as amended; Servicemembers' Group Life Insurance, Public Law 89-214, Public Law 105-368; as amended; Family Servicemembers' Group Life Insurance, Public Law 107-14; Traumatic Servicemembers' Group Life Insurance, Public Law 109-13; Public Law 109-80; Veterans Group Life Insurance, Public Law 93-289; Public Law 105-368; 38 U.S.C. 1903, 1922, 1923, 1925, 1941, 2106 and Subchapter III.

OBJECTIVES:

To provide life insurance protection for veterans of World War I, World War II, Korean conflict, Vietnam conflict, Gulf era conflicts and service-disabled veterans separated from active duty on or after April 25, 1951, and to provide mortgage protection life insurance for those disabled veterans who are given a VA grant to secure specially adapted housing under Chapter 21, Title 38, U.S.C. Also to provide life insurance protection for current members of the uniformed services with coverage on their lives and the lives of their spouses and children.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

Life insurance settlement upon death of insured; or the insured may personally surrender a permanent plan policy for cash; or the insured may be granted a loan up to 94 percent of the cash surrender value of a permanent plan policy. Interest on United States Government Life Insurance (WWI) policy loans is charged at the rate of 5 percent per annum. Interest is charged at a variable rate which may change annually for National Service Life (WWII), Veterans Special Life (Korean conflict), Service-Disabled Veterans (Korean Conflict and Continuing) and Veterans Reopened Insurance policies. The variable rate equals the yield on the Ten-Year Constant Maturities Index for U.S. Treasury Securities for the month of June of each year rounded down to the next whole percentage. This loan rate is effective from the first day of October of each year and will not exceed 12 percent or be lower than 5 percent per annum. Dividends are paid on United States Government Life (WWI), National Service Life (WWII), Veterans Special Life (Korean Conflict), and Veterans Reopened Insurance. Dividends are not paid on Service-Disabled Veterans Insurance (Korean Conflict and continuing), or the Veterans Mortgage Life Insurance. The face amount of the policy, less any indebtedness on the policy, is payable to the designated beneficiary upon the insured's death under a regular life policy, and only to the mortgage holder under Veterans Mortgage Life Insurance coverage. In Servicemembers' Group Life Insurance, life insurance settlement upon death of insured, insured's spouse or insured's dependent children and the Accelerated Benefits Option can be used on both the member's own coverage and coverage of the member's spouse. In Veterans' Group Life Insurance, life insurance settlement upon death of the insured.

Applicant Eligibility:

All of the programs are closed for new issues except Service-Disabled Veterans Insurance, Veterans Mortgage Life Insurance, Service members' Group Life Insurance and Veterans Group Life Insurance. A veteran discharged from active military duty, on or after April 25, 1951, who has been granted a service connection for a new disability, and who, if not for the disability would be otherwise insurable in accordance with the established standards of good health, applies for such coverage within 2 years from the date of notice of the VA service-connected rating, may be issued Service-Disabled Veterans Insurance. (The time period in which to apply is limited to one year from the date of notice of the VA service-connected rating if the notice was provided before September 1, 1991.) A veteran insured under Service-Disabled Veterans Insurance who qualifies for waiver of premiums, who is under age 65 and who applies within one year from date of notice from VA that he/she qualifies for waiver of premiums, may be issued Supplemental Service-Disabled Veterans Insurance coverage. A veteran who has been given a VA grant for specially adapted housing will be sent notice that he/she is automatically insured for mortgage protection unless he/she elects in writing not to be so insured, or fails to respond within 60 days after a final request for information on which premium can be based is mailed to him/her. Service members are automatically covered for the maximum coverage amount of life insurance on themselves and a spouse, unless the member declines or reduces the coverage in writing. Service members are also automatically covered by Servicemembers' Traumatic Injury Protection (TSGLI), which provides automatic traumatic injury coverage to all service

members under SGLI. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to SGLI members who sustain a traumatic injury that results in certain severe losses. Coverage of children is on "dependent" children and no premium is paid. Veterans are eligible to convert their Service members' Group Life Insurance in an amount up to the coverage held on their date of discharge. If a veteran is totally disabled at time of discharge, the veteran is eligible for a one-year disability extension of their Service members' Group Life Insurance and the conversion to Veterans' Group Life Insurance.

Beneficiary Eligibility:

The veteran may apply for either type of insurance. However, if the veteran is mentally incompetent, a VA recognized fiduciary may apply on his/her behalf for Service-Disabled Veterans Insurance. Service-Disabled Veterans Insurance may also be granted under certain conditions to mentally incompetent veterans who were otherwise eligible for such insurance, but due to their incompetency, died without filing an application.

Credentials/Documentation:

The veteran may apply for either type of insurance. However, if the veteran is mentally incompetent, a VA recognized fiduciary may apply on his/her behalf for Service-Disabled Veterans Insurance. Service-Disabled Veterans Insurance may also be granted under certain conditions to mentally incompetent veterans who were otherwise eligible for such insurance, but due to their incompetency, died without filing an application.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

None.

Award Procedure:

None. This program is excluded from coverage under E.O. 12372.

Deadlines:

Upon receipt of VA's notice of eligibility for Service-Disabled Veterans Insurance, a veteran may apply by submitting the application on VA Form 29-4364. Upon receipt of notice from VA that he/she qualifies for waiver of premiums, an individual insured under Service-Disabled Veterans Insurance may apply for additional supplemental coverage by submitting an application on VA Form 29-0190. He/she may be guided by VA Pamphlet 29-9 in selecting the type of policy. The form and pamphlet may be obtained from the nearest VA office (listed in Appendix IV of the Catalog) and submitted to the Philadelphia VA Regional Office and Insurance Center, P.O. Box 7208, Philadelphia, PA 19101. A veteran eligible for Veterans Mortgage Life Insurance need only submit information and documentation to the Philadelphia VA Regional Office and Insurance Center, P.O. Box 7208, Philadelphia, PA 19101. For Veterans' Group Life Insurance, veterans must make application on SGLV 8283. Veterans must apply for the Disability Extension of Service members' Group Life Insurance on SGLV 8715. These applications are sent to OSGLI, P.O. Box 41618, Philadelphia, PA 19176. For a TSGLI payment, the member must use the TSGLI Certification form, GL.2005.261 to apply for TSGLI benefits. The TSGLI certification form has three parts. Part A must be completed by the service member, the appointed guardian, or the attorney-in-fact and Part B must be completed by the attending medical professional. The form must then be submitted to the member's branch of service TSGLI certifying official for completion of Part C. Names and addresses of the TSGLI certifying officials for each branch of service can be found in the TSGLI Procedures Guide on the Department of Veteran's Affairs Insurance website. Once this determination is made, the certifying official will forward the certification form to OSGLI.

Range of Approval/Disapproval Time:

Service-Disabled Veterans Insurance is issued by the Philadelphia Regional Office and Insurance Center to any veteran meeting the requirements listed under Applicant Eligibility above. Veterans Mortgage Life Insurance is automatically issued to all veterans receiving a grant for specially adapted housing. Veterans' Group Life Insurance and Disability Extension of Service members' Group Life Insurance are issued by the Office of Service members' Group Life Insurance to any veteran meeting the requirements listed under the Applicant Eligibility above.

Appeals:

Generally within 2 years following the date of notice of VA's service-connected rating for Service-Disabled Insurance (the time period in which to apply is limited to one year from the date of notice of the VA Service-Connected rating if the notice was provided before September 1, 1991.) Check with local VA office for exceptions. For Service-Disabled Veterans Insurance supplemental coverage, the time period in which to apply is one year from the date of VA's notice that the veteran qualifies for waiver of premiums. For Veterans Mortgage Life Insurance the veteran must apply before age 70. For Veterans' Group Life Insurance, the veteran has 120 days from discharge to convert with no requirement of good health. A veteran has another year in which conversion can be done, but good health required. For the Disability Extension of Servicemembers' Group Life Insurance, the veteran must make application within the two-year period for which the extension would be granted. There are currently no time limits for TSGLI claims.

Renewals:

From 1 to 3 months upon application for Service-Disabled Veterans Insurance after VA's notice of the service-connected rating. The time frame for approval/disapproval after an application for Supplemental Service Disabled Veterans Insurance coverage is received in VA is 1 month. Mortgage Protection Life Insurance is effective immediately upon approval of a specially adapted housing grant. The time frame for approval/disapproval after an application for Veterans' Group Life Insurance or Disability Extension of Servicemembers' Group Life Insurance is received by the Office of Servicemembers' Group Life Insurance is 2 to 4 weeks.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

36-8150-0-7-701; 36-8132-0-7-701; 36-8455-0-8-701; 36-4012-0-3-701; 36-4010-0-3-701; 36-0120-0-1-701.

Obligations:

(Death claims, disability claims, mature endowments, cash surrenders, dividends, interest paid on dividend credits and deposits, payments to VA's General Operating Expenses appropriation for administrative expenses, policy liens established.) FY 07 \$3,149,116; FY 08 est \$3,117,896; FY 09 est \$2,599,540.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

For all States, contact the Department of Veterans Affairs, P.O. Box 42954, Philadelphia, PA 19101. This office should also be contacted about Mortgage Protection Life Insurance, Servicemembers' Group Life Insurance and Veterans' Group Life Insurance.

Headquarters Office:

Department of Veterans Affairs Regional Office and Insurance Center, P.O. Box 42954, Philadelphia, PA 19101. For all States and Mortgage Protection Life Insurance call (1-800) 669-8477.

Web Site Address:

<http://www.insurance.va.gov>.

RELATED PROGRAMS:

64.105, Pension to Veterans Surviving Spouses, and Children; 64.106, Specially Adapted Housing for Disabled Veterans; 64.109, Veterans Compensation for Service-Connected Disability; 96.001, Social Security Disability Insurance; 96.004, Social Security Survivors Insurance.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

64.104 PENSION FOR NON-SERVICE-CONNECTED DISABILITY FOR VETERANS

(Pension)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1511, 1512, 1521.

OBJECTIVES:

To assist wartime veterans in need whose non-service-connected disabilities are permanent and total preventing them from following a substantially gainful occupation.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Those veterans who have had 90 days or more of honorable active war-time service in the Armed Forces or if less than 90 days wartime service were released or discharged from such service because of a service-connected disability, who are permanently and totally disabled for reasons not necessarily due to service. Income restrictions are prescribed. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance. Veterans discharged on or after October 16, 1981, must have served for 2 years or the

length to which called, if less than 2 years, or have a service-connected disability (38 U.S.C.5303(A)).

Beneficiary Eligibility:

Disabled veterans.

Credentials/Documentation:

Military discharge certificate showing the separation from service was under other than dishonorable conditions. The veteran must have a permanent and total disability preventing a substantially gainful occupation. His or her income and net worth must be limited as prescribed by statute.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-526) may be obtained from a local or regional VA Office (see Appendix IV of the Catalog) and submitted to the Regional Office. Veterans may also apply on-line a www.va.gov.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

None. Entitlement is established from the date of receipt of the application, or the date the veteran became permanently and totally disabled, if disability prevents the veteran from applying for 30 days or more and if the application is received within 1 year of the date of disablement.

Range of Approval/Disapproval Time:

Approximately 87 percent completed in 180 days or less.

Appeals:

Available. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

The veteran must promptly report any change in his or her income, net worth or dependency status. Additionally, income verification requests are sent to the veteran periodically.

Audits:

None.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments) FY 07 \$2,834,696,000; FY 08 est \$2,972,505,000; and FY 09 est \$3,053,154,000.

Range and Average of Financial Assistance:

Payments are \$11,181 annually, reduced by countable income for a veteran without dependents (\$18,654 if in need of aid and attendance and \$13,664 if housebound) and \$14,643 for a veteran with one dependent (\$22,113 if in need of aid and attendance and \$17,126 if housebound) plus \$1,909 for each additional dependent. Also, an additional \$2,538 is added if a veteran is of the WWI or Mexican Border Period. These payments apply for the Improved Law Program.

PROGRAM ACCOMPLISHMENTS:

During FY 07, 325,378 veterans received pensions. Estimates for subsequent years are: FY 08, 322,066 and FY 09, 318,786.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.3. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-79054. The stock number is 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

96.005, Special Benefits for Disabled Coal Miners.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.105 PENSION TO VETERANS SURVIVING SPOUSES, AND CHILDREN

(Death Pension)

FEDERAL AGENCY:
VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:
38 U.S.C. 1541, 1542, 1543.

OBJECTIVES:
To assist needy surviving spouses, and children of deceased war-time veterans whose deaths were not due to service.

TYPES OF ASSISTANCE:
Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:
No restrictions.

Applicant Eligibility:
Unmarried surviving spouses and children of deceased veterans who had at least 90 days of honorable active war-time service or, if less than 90 days during war-time, were discharged for a service-connected disability. Veterans discharged on or after October 16, 1981, must have served for two years or the length to which called to active duty, if less than two years, or have a service-connected disability (38 U.S.C. 5303(A)). Income restrictions are prescribed. A child must be unmarried and under 18, between 18 and 23 if in school, or disabled before 18 and continuously incapable of self-support. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance.

Beneficiary Eligibility:
Needy surviving spouses and children of deceased war-time veterans.

Credentials/Documentation:
Military discharge certificate, marriage certificate, and birth certificate(s). Income and net worth must be limited as prescribed by statute.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:
An application (VA Form 21-534) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:
Awards are authorized by the Regional Office.

Deadlines:
Effective December 2004, entitlement may be established from the first day of the month in which the veteran died if the application is received within one year of the death; otherwise, entitlement is established from the date of receipt of the claim.

Range of Approval/Disapproval Time:
Approximately 72 percent completed in 180 days or less.

Appeals:
Available. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:
Not applicable.

Formula and Matching Requirements:
Not applicable.

Length and Time Phasing of Assistance:
Not applicable.

Reports:
A surviving spouse, or child must promptly report a change in marital status and income. Additionally, a change in schooling for a child over 18 but under 23 must be reported. Eligibility verification requests are sent periodically.

Audits:
None.

Records:
None.

Account Identification:
36-0102-0-1-701.

Obligations:
(Direct Payments) FY 07 \$828,121,000; FY 08 est \$896,414,000; and FY 09 est \$952,792,000.

Range and Average of Financial Assistance:
\$7,498 annually, reduced by countable income for a spouse without children (\$11,985 if in need of aid and attendance and \$9,164 if housebound) and \$9,815 for a surviving spouse with one child (\$14,298 if in need of aid and attendance and \$11,478 if housebound) plus \$1,909 for each additional child. These rates were effective December 1, 2007 for Improved Law Pensions.

PROGRAM ACCOMPLISHMENTS:
In FY 07, 198,047 survivors cases received pension. The figures for subsequent periods are: FY 08, an estimated 200,317 cases; and FY 09, an estimated 198,949 cases.

REGULATIONS, GUIDELINES, AND LITERATURE:
38 CFR 3.3. "Federal Benefits for Veterans and Dependents," Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number: 051-000-00-233-4.

Regional or Local Office:
See Appendix IV of the Catalog for Veterans Benefits Administration field

offices.

Headquarters Office:
Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:
<http://www.va.gov>.

RELATED PROGRAMS:
64.101, Burial Expenses Allowance for Veterans; 64.103, Life Insurance for Veterans; 96.004, Social Security_Survivors Insurance.

EXAMPLES OF FUNDED PROJECTS:
Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

64.106 SPECIALLY ADAPTED HOUSING FOR DISABLED VETERANS

(Paraplegic Housing)

FEDERAL AGENCY:
VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:
Public Laws 80-702, 96-385, 105-178, and 109-233; 38 U.S.C. 2101-2106.

OBJECTIVES:
To help certain severely disabled veterans acquire a home which is suitably adapted to meet the special needs of their disabilities.

TYPES OF ASSISTANCE:
Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:
a. The Specially Adapted Housing (SAH) program provides 50 percent of the cost to the veteran of the adapted house, land, and allowable expenses, not to exceed a maximum grant of \$50,000. The money may be used to help (1) construct a suitable home on suitable land either already owned or to be acquired by the veteran, or (2) remodel an existing home if it can be suitably adapted, or (3) acquire a suitably adapted home or reduce the outstanding mortgage on a suitably adapted home already owned by the veteran. In computing the amount of the grant, the costs may include incidental expenses such as connections to public facilities and customary fees for the services of an attorney, architect, loan closing, etc. There are restrictions on the use of a grant; if a loan is necessary to supplement the grant, monthly loan payments and the cost of maintaining the property must bear a proper relation to the veteran's present and anticipated income. The housing unit must also be suitable for the veteran's special needs, and the veteran must acquire acceptable title to the property. b. The Special Housing Adaptations (SHA) program provides the actual cost to the veteran of certain adaptations and/or equipment, not to exceed a maximum grant of \$10,000. The home to be adapted must be owned by the veteran or by a member of the veteran's family, and the veteran must intend to continue residing there. c. The Temporary Residence Adaptations (TRA) program provides adaptation assistance to veterans who are residing, but do not intend to permanently reside, in the a residence owned by a family member. If a veteran is otherwise eligible for SAH, the assistance is limited to \$14,000. If a veteran is otherwise eligible for SHA, the assistance is limited to \$2,000. d. SAH and SHA grants may be used up to three times, as long as the aggregate grant amount does not exceed the statutory dollar limitation. TRA grants may only be used once (and count as a grant usage for purposes of the limit of three), and the amount of assistance provided will be subtracted from the veteran's available statutory maximum.

Applicant Eligibility:
For a SAH grant, veterans are eligible if they are entitled to compensation under chapter 11 for a permanent, total, and service-connected disability due to: (1) loss or loss of use of both lower extremities, such as to preclude locomotion without the aid of braces, canes, crutches, or a wheelchair; (2) blindness in both eyes, having only light perception, plus loss or loss of use of one lower extremity; (3) loss or loss of use of one lower extremity, together with residuals of organic disease or injury, or the loss or loss of use of one upper extremity, which so affect the functions of balance or propulsion as to preclude locomotion without the aid of braces, crutches, canes, or a wheelchair; or (4) loss or loss of use, of both upper extremities such as to preclude use of the arms at or above the elbows. It must be medically feasible for the veteran to reside in the particular home involved. For a SHA grant, veterans are eligible if they are entitled to compensation under chapter 11 for a permanent and total service-connected disability that (1) is due to blindness in both eyes with 5/200 visual acuity or less, or (2) includes the anatomical loss or loss of use of both hands.

Beneficiary Eligibility:
Permanently and totally disabled veterans.

Credentials/Documentation:
None.

Preapplication Coordination:
None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Initial application for determination of basic eligibility may be made on VA Form 26-4555 to the VA regional office holding the veteran's claim folder. After eligibility is determined, a VA employee will personally assist the veteran throughout subsequent processing, including advice on a suitable house plan and lot, adaptive features, location of a qualified architect and builder, and submission of the documents necessary for final grant approval.

Award Procedure:

The VA Regional Office releases the grant proceeds after conditions of the contract and grant approval are fulfilled.

Deadlines:

None.

Range of Approval/Disapproval Time:

Initial interview completed within 40 days of determination of eligibility. Grant approval timeline varies and is dependent upon veteran's desires to move forward with securing contractors and drafting construction plans.

Appeals:

Denial of eligibility is appealable through the Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

For a SAH grant, VA provides 50 percent of the cost to the veteran of the housing unit, land, fixtures and allowable expenses, not to exceed a maximum grant of \$50,000. For a SHA grant, VA provides the lesser of (1) the actual cost of adaptations, or (2) \$10,000.

Length and Time Phasing of Assistance:

Usually, if a veteran already owns a suitable house, the grant is released in lump sum. In remodeling and construction cases, the grant is released by specified construction completion phases approved by VA.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments) FY 07 \$27,764,000; FY 08 est \$35,910,000; and FY 09 est \$35,910,000.

Range and Average of Financial Assistance:

SAH: For the housing unit, land, fixtures, and allowable expenses, up to \$50,000. SHA: For adaptations to the veteran's residence, up to \$10,000.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 805 grants were made to disabled veterans. In both FY 08 and FY 09, 811 expected to be made to disabled veterans. Output for FY 08 is expected to be 620.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.809, 36.4400-36.4411, and title 38, United State Code, chapter 21; "Questions and Answers on Specially Adapted Housing for Veterans," VA Pamphlet 26-69-1, no charge; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4. "Handbook for Design, Specially Adapted Housing," VA Pamphlet 26-13, available to eligible veterans.

Regional or Local Office:

Applicants should communicate with the nearest VA Regional Office. Case development and assistance will be provided by the VA office with jurisdiction over the location of the property involved. See Appendix IV of the Catalog for addresses of these offices listed under Department of Veterans Affairs. Information may be obtained from the nearest Regional Office by calling toll free 1-800- 827-1000.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9500.

Web Site Address:

<http://www.homeloans.va.gov/SAH>.

RELATED PROGRAMS:

64.103, Life Insurance for Veterans; 64.114, Veterans Housing_Guaranteed and Insured Loans; 64.118, Veterans Housing_Direct Loans for Certain Disabled Veterans; 64.119, Veterans Housing_Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

64.109 VETERANS COMPENSATION FOR SERVICE-CONNECTED DISABILITY

(Compensation)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1110, 1131.

OBJECTIVES:

To compensate veterans for disabilities incurred or aggravated during military service according to the average impairment in earning capacity such disability would cause in civilian occupations.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Persons who have suffered disabilities resulting from service in the Armed Forces of the United States. The disability must have been incurred or aggravated by service in the line of duty. Separation from service must have been under other than dishonorable conditions for the period in which the disability was incurred or aggravated.

Beneficiary Eligibility:

Disabled veterans.

Credentials/Documentation:

DD Form 214, "Certificate of Release or Discharge from Active Duty".

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-526) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. An application may be completed on-line at www.va.gov.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

None, but it must be filed within one year after separation from service in order for entitlement to be established from the day following discharge; otherwise, entitlement is established only from the date of receipt of the claim.

Range of Approval/Disapproval Time:

Approximately 55 percent completed in 180 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

The veteran must submit to an examination at the request of the Department of Veterans Affairs when necessary to evaluate the present extent of the disabilities. Failure to appear for such examination may result in suspension of benefits.

Audits:

None.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments) FY 07 \$29,091,160,000; FY 08 est \$32,456,880,000; and FY 09 est \$34,689,066,000.

Range and Average of Financial Assistance:

Basic rates of compensation range from \$117 for a 10 percent degree of disability to a maximum of \$7,232 a month for specified very severe disabilities.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 2,789,490 disabled veterans received compensation. FY 08 estimate, 2,899,829 veterans; and FY 09 estimate, 3,014,841 veterans.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.4; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.103, Life Insurance for Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

**64.110 VETERANS DEPENDENCY AND INDEMNITY
COMPENSATION FOR SERVICE-CONNECTED DEATH**

(DIC and Death Compensation)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF
VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1121, 1141, 1310, 1311, 1312, 1313, 1314, 1315, 1316, 1318.

OBJECTIVES:

To compensate surviving spouses, children and parents for the death of any veteran who died because of a service-connected disability, or while in the active military, naval or air service.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Death Compensation is payable to an unmarried surviving spouse, unmarried children and dependent parent or parents of the deceased veteran who must have died before January 1, 1957, because of a service-connected disability. For deaths on or after January 1, 1957, Dependency and Indemnity Compensation (DIC) is payable. Effective January 2005, surviving spouses who receive DIC and have one or more children under age 18 will receive \$250 a month in addition to their current rate. This increased rate applies only to months "occurring" during the two-year period beginning on the date entitlement to DIC began. It ceases on the first month beginning after the expiration of the two-year period or the month in which all of the surviving spouse's children have attained the age of 18, or are removed from the award, whichever is earlier.

Beneficiary Eligibility:

Surviving spouses, children and parents of deceased veterans.

Credentials/Documentation:

Death certificate, marriage certificate, birth certificate(s), and military discharge certificate.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-534 for surviving spouses, and or children; 21-535 for parents) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

None, but entitlement is established from the first day of the month in which the veteran's death occurred if the claim is received within 1 year after the date of death; otherwise, entitlement is established from the date of receipt of the claim.

Range of Approval/Disapproval Time:

Approximately 77 percent completed in 180 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Any change in the marital status of a surviving spouse, child, or parent must be reported promptly. Also, a change in school attendance of children over 18 must be reported. Parents must report changes in income promptly. Parents are sent an eligibility verification report to review continued eligibility and changes of rates based on changes of income.

Audits:

None.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments) FY 07 \$4,547,883,000; FY 08 est 4,751,938,000; and FY 09 est \$4,974,508,000.

Range and Average of Financial Assistance:

Monthly rates of DIC range from \$462 for one child when no spouse is entitled;

from \$1,091 to \$2,328 for a surviving spouse, with \$271 additional if the surviving spouse is in need of aid and attendance, or \$128 if housebound. A surviving spouse is also entitled to an additional \$271 monthly for each child under age 18. Monthly rates for parents range from \$5 to \$537 depending upon income and whether single or married. An additional \$291 is payable if the parent is in need of aid and attendance. Monthly Death Compensation ranges from \$87 for a surviving spouse to \$121 for a widow or widower with one child; plus \$29 for each additional child. An additional allowance of \$79 if widow, widower or dependent parent is in need of aid and attendance. Assistance for a dependent parent is \$75 for one alone and \$80 for two.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 332,837 cases of survivors were receiving benefits.

Estimates for subsequent periods are: fiscal year 2008, 336,824 and fiscal year 2009, 341,502.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.4(C) and 3.5; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954 Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.114, Veterans Housing, Guaranteed and Insured Loans; 64.117, Survivors and Dependents Educational Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

**64.114 VETERANS HOUSING-GUARANTEED AND
INSURED LOANS**

(VA Home Loans)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF
VETERANS AFFAIRS

AUTHORIZATION:

Public Law 109-461, 38 U.S.C. 3710.

OBJECTIVES:

To assist veterans, certain service personnel, and certain unmarried surviving spouses of veterans, in obtaining credit for the purchase, construction or improvement of homes on more liberal terms than are generally available to non-veterans.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

VA may guarantee or insure home loans made to eligible applicants for any of the following purposes: (a) buy or build a home; (b) simultaneously purchase and improve a home; (c) repair, alter or improve a home; (d) improve a home through installation of a solar heating and/or cooling system, or other energy conservation improvements; (e) buy a one-family residential unit in an approved condominium housing project; (f) refinance a mortgage or other lien on a house owned by the applicant; (g) refinance an existing VA loan to reduce the interest rate (h) purchase a manufactured home to be permanently affixed to a lot that is already owned by the applicant; (i) purchase a manufactured home and a lot to which the home will be permanently affixed; (j) refinance at a lower interest rate an existing VA-guaranteed loan which is secured by a manufactured home permanently affixed to a lot owned by the applicant; (k) refinance an existing loan that was made for the purchase of, and that is secured by, a manufactured home that is permanently affixed to a lot and to purchase the lot to which the manufactured home is affixed; (l) purchase a unit in a cooperative development.

Restrictions on guaranteed financing are that: (a) the borrower must own and occupy, as his or her home, the housing unit securing the loan; (b) for refinancing loans to reduce the interest on an existing VA loan previous occupancy is acceptable; (c) the nature and condition of the unit must be suitable for dwelling; (d) maximum loan amount may not exceed the property value established by VA; (e) for cash-out refinancing loans, except for specified situations where the loan limit may preclude lien satisfaction the loan may be no more than 90 percent of the property value as established by VA and the borrower must own and occupy the property; (f) the terms of repayment must bear a proper relationship to the applicant's present and anticipated income and expenses; (g) the applicant must be a satisfactory credit risk; (h) if the loan involves a permanently sited manufactured home, then that home must be

classified and taxed as real property.

Applicant Eligibility:

(a) Veterans who served on active duty on or after September 16, 1940, and were discharged or released under conditions other than dishonorable. Veterans who served any time during World War II, the Korean Conflict, the Vietnam-era, or the Persian Gulf War must have served on active duty 90 days or more; veterans with peacetime service only must have served a minimum of 181 days continuous active duty. All veterans separated from enlisted service which began after September 7, 1980, or service as an officer which began after October 16, 1981, must also have served at least 24 months of continuous active duty or the full period for which the person was called or ordered to active duty. Veterans of such recent service may qualify with less service time if they have a compensable service-connected disability or were discharged after at least 181 days (90 days during Persian Gulf War), under the authority of 10 U.S.C. 1171 or 1173; or (b) any veteran in the above classes with less service but discharged with a service-connected disability; (c) individuals may also be eligible if they were released from active duty due to an involuntary reduction in force, certain medical conditions, or in some instances, for the convenience of the Government; (d) unmarried surviving spouses of otherwise eligible veterans who died in service or whose deaths were attributable to service-connected disabilities Note: A surviving spouse who remarries on or after attaining age 57, may be eligible for the home loan benefit. However, a surviving spouse who remarried before December 16, 2003, must have applied no later than December 15, 2004 to establish home loan eligibility. VA must deny applications from surviving spouses who remarried before December 16, 2003; (e) service personnel who have served at least 181 days on continuous active duty status (90 days until the ending date for the Persian Gulf War has been set); (f) spouses of members of the Armed Forces serving on active duty, who are listed as missing in action, or as prisoners of war and who have been so listed 90 days or more; (g) members of the Selected Reserve who are not otherwise eligible for home loan benefits and who have completed a total of six (6) years in the Selected Reserves, followed by an honorable discharge, placement on the retired list, or continued service. Individuals who completed less than 6 years may be eligible if discharged for a service connected disability. Applicants must have sufficient present and prospective income to meet loan repayment terms and have a satisfactory credit record.

Beneficiary Eligibility:

Veterans, service personnel, and unmarried surviving spouses of veterans.

Credentials/Documentation:

Evidence of the veteran's entitlement for loan benefits and qualification for the loan purpose, documentation on the loan transaction, and characteristics of the property proposed to secure the loan.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants can apply for a Certificate of Eligibility by mail through the VA Eligibility Center or ask their lender to apply through the WebLGY Eligibility program. Application for guaranty is also made by the lender through VA's WebLGY program or by mail through the appropriate VA Regional Loan Center.

Award Procedure:

Evidence of guaranty will be issued to the lender either electronically (if application was made online) or by the appropriate VA Regional Loan Center.

Deadlines:

The pilot program for cooperative housing units will end on December 22, 2011. Contact the Headquarters Office listed below for deadline information.

Range of Approval/Disapproval Time:

Certificates of Eligibility are usually issued within 10 days after receipt of application via mail. Many Certificates of Eligibility can be issued in 'real time' through the WebLGY system. Issuance of guaranty can be obtained, in most cases, immediately through WebLGY. Applications for guaranty received via mail are usually issued within 10 days of receipt.

Appeals:

Denial of eligibility is appealable through Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

The lender is guaranteed against loss at a rate of up to 50 percent for loans of \$45,000 or less; for loans of more than \$45,000 and not more than \$56,250, \$22,500 is guaranteed; for loans of more than \$56,250 and not more than \$144,000, the guaranty is the lesser of \$36,000 or 40 percent of the loan; for loans of more than \$144,000 made for the purchase or construction of a home, the lesser of an amount equal to 25 percent of the Freddie Mac conforming loan limit for a single family residence or 25 percent is guaranteed. To refinance the outstanding balance of an existing VA guaranteed home loan to a lower interest rate, the minimum guaranty is 25 percent.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

As a condition of continued guaranty or insurance coverage, the holder of the loan must notify the guaranteeing or insuring VA office of the borrower's default on installment payments or other contract terms, and of any substantive change in the terms of the contract or the security. Reporting time limitations are specified in VA regulations.

Audits:

VA has the right to audit loan accounting records until expiration of the guaranty or insurance.

Records:

Until VA ceases to be liable as the guarantor or insurer of a loan, the lender or loan holder must maintain adequate records on the amount and dates of payments received and disbursements made on guaranteed or insured loans.

Account Identification:

36-4129-0-3-704.

Obligations:

(Closed Loans Guaranteed) FY 07 \$24,186,118,000; FY 08 est \$34,761,311,000; and FY 09 est \$35,816,558,000.

Range and Average of Financial Assistance:

The guaranty is 50 percent for loans of \$45,000 or less; and for loans greater than \$45,000 and not more than \$56,250, \$22,500 is guaranteed. For loans of more than \$56,250 up to \$144,000, the guaranty is \$36,000 or 40 percent, whichever is less. For loans greater than \$144,000 for the purchase or construction of a home or a condominium unit, the lesser of an amount equal to 25 percent of the Freddie Mac conforming loan limit for a single family residence or 25 percent of the loan is guaranteed. To refinance the outstanding balance of an existing VA guaranteed home loan to a lower interest rate, the minimum guaranty is 25 percent.

PROGRAM ACCOMPLISHMENTS:

In FY 07, VA guaranteed \$24.2 billion in loans to finance the purchase or refinance of 129,261 conventionally constructed homes and condominium units. In FY 08, VA guaranteed \$34.8 billion in loans to purchase or refinance 180,000 and for FY 09 it is estimated that VA will guarantee \$35.8 billion in loans to purchase or refinance 180,000 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 36.4300-4375, 36.4390-36.4393, and 36.4800-36.4893; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4. "VA-Guaranteed Home Loans For Veterans," VA Pamphlet 26-; "Pointers For the Veteran Homeowner," VA Pamphlet 26-4; "To the Homebuying Veteran," VA Pamphlet 26-6; "Lenders Handbook, Guaranteed and Insured Loans," VA Pamphlet 26-7.

Regional or Local Office:

Loan applications are processed completely by the Veterans Benefits Administration Regional Loan Centers for their respective geographic area jurisdictions. Initial contact should be made with the appropriate office listed in Appendix IV of the Catalog under Veterans Benefits Administration.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9500.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

15.124, Indian Loans_Economic Development; 64.102, Compensation for Service-Connected Deaths for Veterans' Dependents; 64.106, Specially Adapted Housing for Disabled Veterans; 64.118, Veterans Housing_Direct Loans for Certain Disabled Veterans; 64.119, Veterans Housing_Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.115 VETERANS INFORMATION AND ASSISTANCE

(Veterans Services)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 512, 314, 315, 316, 701, 7721, 7722, 7723, 7724.

OBJECTIVES:

To provide all necessary information and assistance to potential claimants and other interested parties about veterans benefits and to provide locations within each State where this assistance can be obtained.

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Department of Veterans Affairs personnel provide information and assistance on

all benefits legislated by the Congress for veterans of the active military, naval or air service of this country (to include the Public Health Service, the National Oceanic and Atmospheric Administration, and certain World War II Merchant Marines). These include compensation, pension, loan guaranty, vocational rehabilitation and employment, and education, insurance, hospitalization, domiciliary care, outpatient medical and dental treatment, automobiles and specially adapted houses for disabled veterans, burial and survivor's benefits. They help the veteran and dependents file claims, secure supporting evidence and, on request, represent the claimant to the VA adjudicative or medical authorities. Special outreach emphasis is placed on specific target populations. These include the aged, the ill, former prisoners of war, women veterans, recently separated veterans, survivors of service members killed on active duty, and the homeless. Service includes providing information and personal service on matters which affect veterans, but are under the jurisdiction of other Federal, State, county or local agencies.

Applicant Eligibility:

Generally, veterans, their dependents and beneficiaries, their representatives or other interested parties.

Beneficiary Eligibility:

Eligibility for the benefits is controlled by specific legislation, but the assistance is available to all.

Credentials/Documentation:

None for assistance, although various proofs are needed for the benefits.

Preapplication Coordination:

All recently discharged or separated veterans are furnished a package of informational materials on the full range of veterans benefits. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Phone, write or visit the nearest VA regional office, Center or VA Office listed in Appendix IV of the Catalog.

Award Procedure:

Veterans and dependents are provided with necessary applications for benefits and are assisted in the preparation of the applications, which are then forwarded to the appropriate units within VA for development.

Deadlines:

None

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Personnel provide assistance with development of appeals for basic benefits.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

Not identified separately.

Obligations:

(Salaries and Expenses) Not Separately Identifiable. (NOTE: The responsibility for assistance and funds has been spread to the five direct programs to facilitate GPRA implementation.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

More than 21 million contacts are completed annually.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4. "A Summary of VA Benefits," VA Pamphlet 21-00-1; VA Benefits for Survivors, VA Pamphlet 21-03-1; and on-line at www.va.gov.

Regional or Local Office:

Application and contact should be made with the Veterans Benefits Administration field offices as shown in Appendix IV of the Catalog under Veterans Benefits Administration.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

17.803, Uniformed Services Employment and Reemployment Rights; 27.002, Federal Employment Assistance for Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.116 VOCATIONAL REHABILITATION FOR DISABLED VETERANS

(Vocational Rehabilitation)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Veterans' Rehabilitation and Education Amendments of 1980, Public Laws 96-466, 101-237, 101-508, 102-16, 102-83, 102-568, 104-275, 38 U.S.C. 3102.

OBJECTIVES:

To provide all services and assistance necessary to enable service-disabled veterans and service persons hospitalized or receiving outpatient medical care services or treatment for a service-connected disability pending discharge to get and keep a suitable job. When employment is not reasonably feasible, the program can provide the needed services and assistance to help the individual achieve maximum independence in daily living.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Direct Payments for Specified Use; Direct Loans; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The program offers the services and assistance necessary for an individual to increase their level of independence in daily living, or obtain and retain suitable employment. This is employment that matches the individual's aptitudes, interests, and abilities and does not worsen the individual's disabilities. An individual may reach an employment goal directly by receiving job seeking and job placement services. If direct placement is not possible, the program provides education or training to qualify the individual for employment. If the individual requires education or training, the program provides for direct payment to service providers for the entire cost of tuition, books, fees, supplies, and other services. As part or all of a rehabilitation program, individuals may receive services and training designed to help them increase their level of independence in their homes and communities. Counseling services are provided to the individual throughout his or her participation in the program. In addition to disability compensation, the veteran receives a monthly subsistence allowance when participating in training at least half-time. Advances of up to \$1,041.48 may be made to veterans to meet unexpected financial difficulties. These advances do not bear interest and are repaid out of future VA benefit payments. Although no set repayment period is established, the monthly rate of repayment may not generally be less than 10 percent. In general, a new advance may not be made until a previous advance has been fully repaid. Usually, the training phase of the rehabilitation program may not exceed the equivalent of 48 months of full-time benefits nor may training be provided more than 12 years after the individual becomes eligible to apply for the program. Following training, or sometimes as the whole of a rehabilitation program, the veteran may also receive up to 18 months of counseling, job placement and post-placement services. The veteran may receive an employment adjustment allowance equal to 2 months of subsistence during this period of services, but only if employability was achieved as a result of services received in a program which included training. Veterans who meet certain requirements may receive assistance to become self-employed.

Applicant Eligibility:

Veterans of World War II and later service with a service-connected disability or disabilities rated at least 20 percent compensable and certain service-disabled servicepersons pending discharge or release from service if VA determines the servicepersons will likely receive at least a 20 percent rating and they need vocational rehabilitation because of an employment handicap. Veterans with a 10 percent service-connected disability may be eligible if they first applied for vocational rehabilitation prior to November 1, 1990, and they have an employment handicap. In addition, veterans with compensable ratings of 10 percent may also be eligible if they are found to have a serious employment handicap.

Beneficiary Eligibility:

Veterans of World War II and later service with a service-connected disability or disabilities rated at least 20 percent compensable and certain service-disabled servicepersons pending discharge or release from service if VA determines the servicepersons will likely receive at least a 20 percent rating and they need vocational rehabilitation because of an employment handicap. Veterans with compensable ratings of 10 percent may also be eligible if they are found to have a serious employment handicap.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Obtain an application (VA Form 28-1900) from any VA office or regional office (see Appendix IV of the Catalog) and submit it to the nearest VA regional office. Applications can also be filed on line at <http://vabenefits.vba.va.gov/vonapp/main.asp>.

Award Procedure:

Awards are authorized at the regional office for direct distribution to beneficiaries.

Deadlines:

Generally, vocational rehabilitation must be accomplished within a basic 12-year period of eligibility. The 12-year period of eligibility begins with the date of discharge, notification of establishment of service-connected disability meeting the eligibility requirements, or change in character of discharge, whichever is later.

Range of Approval/Disapproval Time:

Average is 57.8 days.

Appeals:

Available through special board. Average time to process an appeal is 622 days. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Under certain limited circumstances, a veteran's eligibility period can extend beyond 12 years and entitlement to training and subsistence can be longer than 48 months.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

The veteran's progress is monitored throughout the rehabilitation program to assure that goals and objectives of the veteran's rehabilitation plan are carried out. The plan extends throughout the veteran's rehabilitation program to include, when applicable, the securing of and adjusting to employment.

Audits:

None.

Records:

None.

Account Identification:

36-0137-0-1-702; 36-4114-0-3-702; 36-4112-0-3-702.

Obligations:

(Direct Payments) FY 07 \$575,043,000; FY 08 est \$615,462,000; and FY 09 est \$659,591,000; (Loan Advances) FY 07 \$2,403,000; FY 08 est \$3,287,000; and FY 09 est \$3,180,000.

Range and Average of Financial Assistance:

Full cost of tuition, books, fees, supplies and rehabilitation services. Monthly 2007 full-time allowances for veterans participating in training range from \$520.74 for a single veteran to \$761.18 for a veteran with two dependents, plus \$55.49 for each dependent in excess of two. Non-interest bearing loans of up to \$1,041.48 and a work-study allowance not to exceed the higher of 25 times the Federal or State minimum hourly wage times the number of weeks in the enrollment.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 90,671 participated in vocational rehabilitation; 3,173 of these received loans. Estimates for subsequent periods are: FY 08, 92,858 participants and 4,239 loans, and FY 09, 94,947 participants and 4,021 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.40-21.47; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4. "Vocational Rehabilitation - Your Key to an Independent Future," VA Pamphlet 28-82-1, free, available from any VA regional office, and "Vocational Rehabilitation and Employment Program Quick Series", LUXART COMMUNICATIONS - GSA contract: GS-02F-0276P, www.quickseries.com/mail, Telephone: (1 800) 361-4653.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Veterans Benefits Administration, Vocational Rehabilitation and Employment Service (28), Washington, DC 20420. Telephone: (202) 461-9600.

Web Site Address:

<http://www.va.gov>. <http://www.vetsuccess.gov>

RELATED PROGRAMS:

27.002, Federal Employment Assistance for Veterans; 53.001, Employment Promotion of People with Disabilities; 64.013, Veterans Prosthetic Appliances; 84.126, Rehabilitation Services Vocational Rehabilitation Grants to States.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Direct payment for specified use; payments are made directly to the providers of

goods or services for program participants; payments include tuition, fees, books, supplies, tutoring, professional services, and medical care if not available in a VA facility; advances are made to program participants to meet unexpected financial obligations which might hinder continued program progress.

64.117 SURVIVORS AND DEPENDENTS EDUCATIONAL ASSISTANCE

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 86-236, 89-358, 90-631, 92-540, 93-508, 94-502, 95-202, 96-466, 98-460, 101-237, 101-508, 102-127, 102-568, 103-446, 104-275, 105-114, 105-206, 106-117, 106-419, 107-103, 107-330, 108-183, and 108-454; 38 U.S.C., chapter 35.

OBJECTIVES:

To provide educational opportunities to the dependents of certain disabled and deceased veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Participants may pursue: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from colleges or universities or from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs; (4) correspondence courses, but only for spouses and surviving spouses; (5) state-approved teacher certification programs; (6) preparatory courses for tests necessary for admission to a college or graduate school; (7) reimbursement for licensing and certification tests approved for veterans; (8) reimbursement for national exams for admission to college or for college credit; (9) courses leading to a high school diploma or equivalent. A special restorative training benefit is available for both children and spouses who need to overcome or lessen the effects of a physical or mental disability so that they will be able to pursue a program of education. A specialized vocational training program is available for those who are not in need of special restorative training but require specialized vocational training because of a mental or physical handicap.

Applicant Eligibility:

Spouses, surviving spouses, and children (including stepchild or adopted child) between age 18 and 26 of veterans who died from service-connected disabilities, of living veterans whose service-connected disabilities are considered permanently and totally disabling, of those who died from any cause while such service-connected disabilities were in existence, of servicepersons who have been listed for a total of more than 90 days as currently missing in action, or as currently prisoners of war, a service member who VA determines has a service connected permanent and total disability and at the time of VA's determination is a member of the Armed Forces who is hospitalized or receiving outpatient medical care, services, or treatment; and is likely to be discharged or released from service for this service-connected disability. Children under the age of 18 may be eligible under special circumstances.

Beneficiary Eligibility:

See Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate, death certificate or official document verifying POW status or MIA status, marriage certificate, and birth certificate(s).

Preapplication Coordination:

None. This program is excluded from coverage E.O. 12372.

Application Procedure:

An application, VA Form 22-5490 for a child, spouse or surviving spouse may be obtained from any VA Regional Office and submitted to the appropriate VA Regional Processing Office.

Award Procedure:

Awards are authorized at the regional processing office.

Deadlines:

None, but the period of eligibility for a spouse generally extends no later than the 10 years from the date the veteran was notified of the service-connected permanent and total disability, or from the date the serviceperson was listed for a total of more than 90 days as currently missing in action or listed as a prisoner of war. The period of eligibility for a surviving spouse generally extends no later than 10 years from the date VA notifies the surviving spouse that the death was service-connected and no later than 20 years for the surviving spouse of a veteran who dies while on active duty. The period of eligibility for a child generally extends to the 26th birthday, subject to certain exceptions.

Range of Approval/Disapproval Time:

At least 95.25 percent of applications are processed within 90 days or less in 2006 and 96.98 percent in 2007.

Appeals:

Appeals are available through a special board; response time is 109.0 days in 2006 and 112.4 days in 2007.

Renewals:

Generally, eligibility for a child terminates at age 26. In some instances, it may be extended. For example, in the case of service in the Armed Forces, eligibility will end 8 years after the first discharge or release from service but in no event beyond age 31.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Monthly certification of enrollment and pursuit for courses not leading to a college degree must be executed by the beneficiary. For college students, verification of pursuit is required near the end of the enrollment period.

Audits:

None.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments) FY 07 \$423,335,000; FY 08 est \$450,646,000; and FY 09 est \$478,067,000.

Range and Average of Financial Assistance:

Per month, institutional training: full time, \$881; three-quarters time, \$661; half-time, \$439. Benefits may be paid for up to a maximum of 45 months. Tutorial assistance up to a maximum of \$1,200. Work-Study Allowance: Payment based on the higher of the Federal minimum wage or the State minimum wage where work is performed.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, there were 77,436 students trained. Estimates for subsequent periods are: fiscal year 2008, 80,409 students, and fiscal year 2009, 82,728 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.3001 - 21.3344; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954 or from the online government bookstore at www.bookstore.gpo.gov. The stock number is 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Central Office, Washington, DC 20420. Telephone: (202) 461-9800.

Web Site Address:

<http://www.GIBILL.va.gov>.

RELATED PROGRAMS:

27.002, Federal Employment Assistance for Veterans; 64.102, Compensation for Service-Connected Deaths for Veterans' Dependents; 64.105, Pension to Veterans Surviving Spouses, and Children; 64.110, Veterans Dependency and Indemnity Compensation for Service-Connected Death; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.038, Federal Perkins Loan Program_Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.118 VETERANS HOUSING-DIRECT LOANS FOR CERTAIN DISABLED VETERANS

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 3711.

OBJECTIVES:

To provide veterans who are eligible for a Specially Adapted Housing grant with loan directly from the VA in certain circumstances.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

VA may make loans up to \$33,000 to eligible applicants if (a) the veteran is eligible for a VA Specially Adapted Housing grant, and (b) a loan is necessary to supplement the grant, and (c) home loans from a private lender are not available in the area where the property involved is located.

Applicant Eligibility:

Veterans who served on active duty on or after September 16, 1940 and are

eligible for a Specially Adapted Housing grant.

Beneficiary Eligibility:

Permanently and totally disabled veterans.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The veteran should apply to the VA regional office having jurisdiction over the property location. No specific initial application form is prescribed. The VA office will respond directly to the veteran.

Award Procedure:

The VA office coordinates loan disbursement with payout of the related Specially Adapted Housing grant. Loan closing is conducted through a loan closing agent assigned by the VA office.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 20 to 60 days.

Appeals:

Denial of eligibility is appealable through Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Loan proceeds may be disbursed in one lump sum when an existing suitable housing unit is involved or in phases in construction cases.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-4127-0-3-704.

Obligations:

(Guaranteed Loans) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

Up to \$33,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal years 2007 and 2008, no direct loans were made in conjunction with Specially Adapted Housing grants. In fiscal year 2009, no loans are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Questions and Answers on Specially Adapted Housing for Veterans," VA Pamphlet 26-69-1, no charge; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4. "Handbook For Design, Specially Adapted Housing," VA Pamphlet 26-13, available to eligible veterans only.

Regional or Local Office:

See Department of Veterans Affairs field office listed in the Appendix IV of the Catalog.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9511.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.106, Specially Adapted Housing for Disabled Veterans; 64.114, Veterans Housing_Guaranteed and Insured Loans; 64.119, Veterans Housing_Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.119 VETERANS HOUSING-MANUFACTURED HOME LOANS

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 3712.

OBJECTIVES:

To assist veterans, servicepersons, and certain unmarried surviving spouses of veterans in obtaining credit for the purchase of a manufactured home on more liberal terms than are available to non-veterans.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

VA may guarantee a lender against loss on a loan made to an eligible applicant to buy a new or used manufactured home and/or to buy or improve a lot for placement of a manufactured home, or to refinance an existing VA guaranteed or insured loan previously obtained on the same manufactured home and/or lot which the veteran or the veteran's surviving spouse (if such surviving spouse was an obligor under such existing loan) owns and occupies, to obtain a lower rate of interest; or to refinance an existing manufactured home loan to acquire a lot on which such manufactured home is or will be placed. Restrictions on guaranteed VA manufactured home loans are: (a) the terms of repayment must bear a proper relationship to applicant's present and anticipated income and expenses and the applicant must be a satisfactory credit risk; (b) the applicant must certify that he or she intends to occupy the property as his or her home; previous occupancy is acceptable for loans to refinance existing VA-guaranteed loans to a lower interest rate; (c) the manufactured home must contain living facilities for year round occupancy; (d) used manufactured homes must meet construction and acceptability standards. There are limitations on the term of a loan, depending on the purpose. The maximum term is 20 years and 32 days for a single-wide manufactured home or a combination single-wide manufactured home and lot, 23 years and 32 days for a double-wide manufactured home, 25 years and 32 days for a double-wide unit and lot, and 15 years and 32 days for a lot only on which a manufactured home already owned by the applicant will be placed. The loan amount may not exceed an amount equal to 95 percent of the purchase price of the property securing the loan.

Applicant Eligibility:

(a) Veterans who served on active duty on or after September 16, 1940, and were discharged or released under conditions other than dishonorable. Veterans who served any time during World War II, the Korean Conflict, the Vietnam-era, or the Persian Gulf War must have served on active duty 90 days or more; veterans with peacetime service only must have served a minimum of 181 days continuous active duty. All veterans separated from enlisted service which began after September 7, 1980, or service as an officer which began after October 16, 1981, must also have served at least 24 months of continuous active duty or the full period for which the person was called or ordered to active duty. Veterans of such recent service may qualify with less service time if they have a compensable service-connected disability or were discharged after at least 181 days (90 days during Persian Gulf War) under the authority of 10 U.S.C. 1171 or 1173; (b) any veteran in the above classes with less service but discharged with a service-connected disability; (c) individuals may also be eligible if they were released from active duty due to an involuntary reduction in force, certain medical conditions, or in some instances, for the convenience of the Government; (d) unmarried surviving spouses of otherwise eligible veterans who died in service or whose deaths were attributable to service-connected disabilities; (e) service personnel who have served at least 181 days on continuous active duty status (90 days until the ending date for the Persian Gulf War has been set); (f) the spouse of any member of the Armed Forces serving on active duty who is listed as missing in action, or as a prisoner of war, and has been so listed for a total of more than 90 days; (g) members of the Selected Reserve who are not otherwise eligible for home loan benefits and who have completed a total of six (6) years in the Selected Reserves, followed by an honorable discharge, placement on the retired list, or continued service. Individuals who completed less than 6 years may be eligible if discharged for a service-connected disability. Eligibility for Selected Reservists expires September 30, 2009. Applicants must have sufficient present and prospective income to meet loan repayment terms and have a satisfactory credit record.

Beneficiary Eligibility:

Veterans, servicepersons, and certain unmarried surviving spouses of veterans.

Credentials/Documentation:

Evidence of the veteran's service or certificate of eligibility for loan benefits issued by the Department of Veterans Affairs. Loan applications submitted by lenders must be accompanied by verification of income of the applicant and a credit report.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Eligible veterans should: (a) obtain a Certificate of Eligibility from the VA Eligibility Center, (b) present it with the housing proposal to a private lender willing to make the loan. Application for guaranty is made by the lender to the VA Regional Loan Center having jurisdiction over the locality in which the manufactured home is to be placed.

Award Procedure:

The processing VA office will issue the lender evidence of its guaranty after requirements are met and proceeds are disbursed.

Deadlines:

None.

Range of Approval/Disapproval Time:

From one to five days after receipt of loan application in VA.

Appeals:

Denial of eligibility is appealable through Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

The guaranty is \$20,000 or 40 percent of the loan amount, whichever is less.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

As a condition of continued guaranty coverage, the holder of the loan must notify the guaranteeing VA office of the borrower's default on installment payments or other contract terms, and of any substantive changes in the terms of the contract or the guaranty. Reporting time limitations are specified in VA regulations.

Audits:

VA has the right to audit loan accounting records until expiration of the guaranty.

Records:

Until VA ceases to be liable as the guarantor of a loan, the lender or loan holder must maintain adequate records on the amount and dates of payments received and disbursements made on guaranteed loans.

Account Identification:

36-4129-0-3-704.

Obligations:

(Guaranteed Loans) FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

The guaranty is \$20,000 or 40 percent of the loan amount, whichever is less.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007 and 2008, no manufactured home loans were guaranteed. In fiscal year 2009, no loans are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 36.4200; VA Pamphlet 26-71-1, "Questions and Answers on Manufactured Home Loans to Veterans."

Regional or Local Office:

See Veterans Benefits Administration field office listed in Appendix IV of the Catalog.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9511.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

14.110, Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers; 14.127, Mortgage Insurance_Manufactured Home Parks; 64.106, Specially Adapted Housing for Disabled Veterans; 64.114, Veterans Housing_Guaranteed and Insured Loans; 64.118, Veterans Housing_Direct Loans for Certain Disabled Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.120 POST-VIETNAM ERA VETERANS' EDUCATIONAL ASSISTANCE

(Voluntary-Contributory Matching Program)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Laws 94-502, 99-576, 100-689, 101-237, 102-16, 102-127, 102-484, 102-568, 103-446, 104-275, 105-114, 105-368; 106-117, 106-419, 107-103; 38 U.S.C. 3221.

OBJECTIVES:

To provide educational assistance to persons entering the Armed Forces after December 31, 1976, and before July 1, 1985; to assist persons in obtaining an education they might otherwise not be able to afford; and to promote and assist the all volunteer military program of the United States by attracting qualified persons to serve in the Armed Forces.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Participants may pursue: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from colleges or universities or from business, technical or vocational schools; (3) apprenticeship

or on-the-job training programs for individuals not on active duty; (4) correspondence courses, under certain conditions; (5) flight training, if the individual holds a private pilot license and meets the medical requirements upon beginning the training program; (6) state-approved teacher certification programs; (7) preparatory courses for tests necessary for admission to a college or graduate school; (8) reimbursement for licensing and certification tests approved for veterans; (9) entrepreneurship training; (10) reimbursement for national exams for admission to college or for college credit.

Applicant Eligibility:

The participant must have entered on active duty on or after January 1, 1977, and before July 1, 1985, and either served on active duty for more than 180 continuous days receiving an other than dishonorable discharge, or have been discharged after January 1, 1977 because of a service-connected disability. Also eligible are participants who serve for more than 180 days and who continue on active duty and have completed their first period of obligated service (or 6 years of active duty, whichever comes first). Participants must also have satisfactorily contributed to the program. (Satisfactory contribution consists of monthly deduction of \$25 to \$100 from military pay, up to a maximum of \$2,700, for deposit in a special training fund.) Participants may make lump-sum contributions. No individuals on active duty in the Armed Forces may initially begin contributing to this program after March 31, 1987.

Beneficiary Eligibility:

Post-Vietnam era veterans.

Credentials/Documentation:

Military discharge certificate, certification of enrollment by educational institution in approved courses leading to an educational, professional or vocational objective and such other certifications as may be developed by VA and the Department of Defense.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application form (VA Form 22-1990) may be obtained from any VA office or regional office and submitted to the appropriate VA regional processing office.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Generally, eligibility ceases at the end of 10 years from the date of the participant's last discharge or release from qualifying active duty after January 1, 1977. Participants may use their entitlement at any time during this 10-year period and are not required to use it in consecutive months. The basic 10-year period may be extended for those veterans who were prevented from beginning or completing their chosen program of education because they were held by a foreign government or power or because of a physical or mental disability not the result of their own willful misconduct. The 10-year period can also be extended for those who reenter active duty for 90 days or more after becoming eligible.

Range of Approval/Disapproval Time:

At least 84.76 percent of applications are processed within 90 days or less in 2006 and 99.59 percent in 2007.

Appeals:

Appeals are available through a special board; response time is 109.0 days in 2006 and 112.4 days in 2007.

Renewals:

None.

Formula and Matching Requirements:

Contributions to the program will be accumulated from service persons electing to participate in the program; the Department of Defense (DOD) matches the service person's contributions at a rate of \$2 for each \$1 contributed by the participant. In some cases, additional contributions may be made by DOD.

Length and Time Phasing of Assistance:

Available within ten years of first date of discharge or release from service or until the amount of money in the special fund, converted to months of entitlement (to a maximum of 36) is exhausted. Assistance checks generally to be released on a monthly basis.

Reports:

The educational allowance will not be paid if the participant does not pursue his or her course in accordance with established policies and regulations of the educational institution he or she attends, and is not making satisfactory progress as required by law and VA Regulations. Certification by the educational institution as to the participant's enrollment must be made for each period for which assistance is sought. Certifications for course enrollment and pursuit not leading to a standard college degree must be made monthly by the participant; for courses leading to a standard college degree, periodic verifications of pursuit are required.

Audits:

None.

Records:

None.

Account Identification:

36-8133-0-7-702.

Obligations:

(Direct Payments) FY 07 \$2,256,000; FY 08 est \$2,256,000; and FY 09 est \$2,172,000.

Range and Average of Financial Assistance:

Up to a maximum of \$8,100 of basic benefits. Work study allowances: Payment based on the higher of the Federal minimum wage or the State minimum wage where work is performed. Tutorial assistance up to a maximum of \$1,200.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, there were 439 students trained; estimates for fiscal year 2008 and fiscal year 2009 are 550 and 550 students, respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.5001 - 21.5270 "Federal Benefits For Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954 or from the online government bookstore at www.bookstore.gpo.gov.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration Field Offices.

Headquarters Office:

Department of Veterans Affairs, Central Office, Washington, DC 20420.
Telephone: (202) 461-9800.

Web Site Address:

<http://www.GIBILL.va.gov>.

RELATED PROGRAMS:

64.124, All-Volunteer Force Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.038, Federal Perkins Loan Program; Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.124 ALL-VOLUNTEER FORCE EDUCATIONAL ASSISTANCE

(Montgomery GI-Bill Active Duty (MGIB) - Chapter 30)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Title 38 U.S.C., Chapter 30. Also known as the Montgomery GI Bill (MGIB).

OBJECTIVES:

To help servicepersons readjust to civilian life after their separation from military service. Assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

The following types of programs may be approved for training under the Montgomery GI Bill: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs for individuals not on active duty; (4) correspondence courses; (5) flight training, if veteran holds a private pilot license and meets the medical requirements upon beginning the training program; (6) state-approved teacher certification programs; (7) preparatory courses for tests necessary for admission to a college or graduate school; (8) reimbursement for licensing and certification tests approved for veterans; (9) entrepreneurship training; (10) reimbursement for national exams for admission to college or for college credit.

Applicant Eligibility:

What follows is not a complete list of eligibility requirements. For more information on the newest MGIB program changes go to the VA web-site address listed below. A high school diploma or equivalency certificate is always required for eligibility to the MGIB as is an honorable discharge from the qualifying period of service unless the individual is currently on active duty. (1) Individuals initially entering military service on or after July 1, 1985 may be eligible unless they specifically elect not to participate in the MGIB program. Service members can use the MGIB benefit after completing two continuous years of service. Veterans whose initial obligation was 3 years or more may use the MGIB benefit after completing three continuous years of active duty, or less time if discharged early for an acceptable reason. Veterans whose initial obligation was less than 3 years may use the MGIB benefits after serving two years of active duty, or less time if discharged early for an acceptable reason or if

they sign up for 4 years in the Selected Reserve. Any period of active duty may be used to meet these requirements, but generally, periods of active duty cannot be combined. (2) Individuals who were eligible for the Old (Vietnam-Era) GI Bill benefits as of December 31, 1989, and served on continuous active duty for 3 years after June 30, 1985, may be eligible for the MGIB. (3) Persons involuntarily separated from the military for certain reasons may be eligible for the MGIB. Likewise, persons who received voluntary separation incentives may be eligible for the MGIB. (4) Persons who converted from VEAP (Veterans Educational Assistance Program) to the MGIB during open seasons from October 9, 1996 to October 8, 1997, or from November 1, 2000 to October 3, 2001, may be eligible for the MGIB. Likewise, certain persons serving full-time under title 32 in the National Guard during the period from July 1, 1985 to November 28, 1989 and who elected the MGIB during the open season from October 9, 1996 through July 8, 1997, may be eligible for the MGIB. In addition, in very limited circumstances, dependents of a veteran or service member may be eligible for the MGIB if the veteran or service member transferred entitlement to those dependents.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate (DD 214) sometimes required; enrollment certification by institution offering training required in most cases.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 22-1990) may be obtained from any VA Regional Office or from the web site www.GIBILL.va.gov and submitted to the appropriate VA Regional Processing Office.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Veterans have 10 years after release from service to complete their education. The basic 10-year period may be extended for those veterans who were prevented from beginning or completing their chosen program of education because they were held by a foreign government or power or because of a physical or mental disability not the result of their own willful misconduct. Persons who reenter active duty for 90 days or more receive a new 10-year period upon completion of such service.

Range of Approval/Disapproval Time:

At least 90.83 percent of applications were processed within 90 days or less in fiscal year 2006 and 99.47 percent in fiscal year 2007.

Appeals:

Appeals are available through a special board; average response time is 109.0 days in 2006 and 112.4 days in 2007.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Veterans receive monthly payments in most instances. Service members generally receive lump sum payments for each enrollment period. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost, high tech courses leading to employment in high tech industries. Advance payment of the first 2 months of benefits for an enrollment period may be available. Payments for correspondence school training are issued quarterly.

Reports:

The educational allowance will not be paid if participants do not pursue their courses in accordance with established policies and regulations of the educational institution they attend, and are not making satisfactory progress as required by law and VA regulations. Students must verify their pursuit at institutions of higher learning and for courses not leading to a standard college degree on a monthly basis. Attendance for OJT/apprenticeship training also must be certified monthly. Students certify lessons completed under correspondence training on a quarterly basis.

Audits:

None.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments) FY 07 \$1,991,078,000; FY 08 est \$2,164,929,000; and FY 09 est \$2,209,673,000.

Range and Average of Financial Assistance:

Currently, the full-time monthly benefit can range from \$873 to over \$2,000 depending on the length of the service obligation, military incentives, military career field, branch of service and voluntary contributions. In most cases, benefits last for 36 (48 months when combined with other VA education benefits) calendar months of full-time training. The work-study allowance is

limited to the higher of the Federal minimum wage, or the State minimum wage where work is performed. Tutorial assistance can be up to a maximum of \$1,200.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, there were 343,751 students trained; the student estimate for fiscal year 2008 and 2009 are 348,153 and 351,927 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.7000 - 21.7320. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 0051-000-00233-4.

Regional or Local Office:

1-888-GIBILL-1.

Headquarters Office:

Department of Veterans Affairs, Central Office, Washington, DC 20420.

Telephone: (202) 461-9800.

Web Site Address:

<http://www.GIBILL.va.gov>.

RELATED PROGRAMS:

64.120, Post-Vietnam Era Veterans' Educational Assistance; 64.123, Vocational Training for Certain Veterans Receiving VA Pension.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.125 VOCATIONAL AND EDUCATIONAL COUNSELING FOR SERVICEMEMBERS AND VETERANS

(Chapter 36 Counseling)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Veterans Education and Employment Programs Amendments, Public Law 102-16, 38 U.S.C. 3697A.

OBJECTIVES:

To offer vocational and educational counseling to servicemembers within 180 days of their projected discharge or release from active duty and to veterans within one year from the date of their discharge or release from active duty. This counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30-All-Volunteer Force Educational Assistance Program; Chapter 32-Post-Vietnam Era Veterans' Educational Assistance; Chapter 35-Survivors' and Dependents' Educational Assistance; Chapter 1606-Educational Assistance for Members of the Selective Service; Chapter 1607-Reserve Educational Assistance Program; and to veterans and qualified dependents who are eligible for educational assistance under any of the above programs(Chapters).

TYPES OF ASSISTANCE:

Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

The program provides the counseling services necessary to enable the service member or veteran to select educational and vocational objectives. The program also assists the veteran to identify the means through which these objectives will be achieved. Counseling may also be provided to aid the veteran to cope with personal problems which might interfere with the successful achievement of the educational and vocational objectives. As part of vocational counseling, the program can help the individual to develop an employment plan. This plan directs the individual to make the best use of his or her existing aptitudes and abilities to obtain employment. These services are provided by professionally qualified counseling psychologists, who are either VA staff members or under contract to VA. To apply for and receive this benefit, servicemembers must be within 180 days of projected discharge or release from active duty. Veterans must apply for and receive this benefit within 1 year from the date of discharge or release or release from active duty. For servicemembers, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; Chapter 1607 - Reserve Educational Assistance Program and to veterans and qualified dependents who are eligible for educational assistance under any of the above programs (Chapters).

Applicant Eligibility:

Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For servicemembers, the projected discharge or release from

active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; Chapter 1607 - Reserve Educational Assistance Program and to veterans and qualified dependents who are eligible for educational assistance under any of the above programs (Chapters).

Beneficiary Eligibility:

Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For servicemembers, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; Chapter 1607 - Reserve Educational Assistance Program and to veterans and qualified dependents who are eligible for educational assistance under any of the above programs (Chapters).

Credentials/Documentation:

Servicemembers must present proof that they are within 180 of projected discharge or release from active duty. Examples of this proof are a copy of the enlistment contract and a brief written statement from the individual's commander. Veterans must present proof they are within 1 year of discharge or release from active duty under conditions other than dishonorable. An example of this proof is a copy of the individual's DD Form 214. Current beneficiaries of VA educational assistance and those eligible for educational assistance must indicate this eligibility or receipt of benefits and have it confirmed at the VA regional or local office.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Obtain VA Form 28-8832, Application for Counseling, from any VA office or regional office. Complete and submit this form to the nearest regional office. A written statement from an individual requesting vocational and educational counseling is also sufficient. With the application form or written statement, submit proof of prior or pending discharge or release from active duty if VA has not already received this evidence or indicate eligibility for, or current receipt of, VA educational assistance.

Award Procedure:

The regional office will notify qualified Individual.

Deadlines:

Qualified individuals may not receive counseling benefits earlier than 180 days before projected discharge or release from active duty or more than 1 year after discharge or release from active duty.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Available through special board. Average time to process an appeal is 622 days. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Expenditures under this program are monitored. Specific comprehensive procedures exist for reviewing the quality of services provided and staff time involved in service delivery. Contract expenditures require recordkeeping of the services provided and the funds expended.

Records:

Not applicable.

Account Identification:

36-0137-0-1-702.

Obligations:

(Contract Services Payments) FY 07 \$4,745,274; FY 08 est \$6,000,000; and FY 09 est \$6,000,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Vocational and educational counseling. Included service members and veterans

who received these services under Public Law 102-16, who are not separately identifiable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.4100; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4. "VA Counseling Can Help You," the VA pamphlet portion of VA Form 28-8821, Application for Counseling, free, is available from any VA office or regional office.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Veterans Benefits Administration, Vocational Rehabilitation and Employment Service (28), Washington, DC 20420. Telephone: (202) 461-9600.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.116, Vocational Rehabilitation for Disabled Veterans; 64.120, Post-Vietnam Era Veterans' Educational Assistance.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contract counseling psychologists are selected on the basis of (1) their academic credentials and experience in vocational and educational counseling, (2) their location in relation to the geographic dispersion of available clients for referral, and (3) costs of the services to be provided.

64.126 NATIVE AMERICAN VETERAN DIRECT LOAN PROGRAM

(VA Native American Veterans Housing Loan Program)

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Public Law 102-547 and 109-233, 38 U.S.C. 3761-3764.

OBJECTIVES:

To provide direct loans to certain veterans who are, or whose spouses are, Native Americans for the purchase or construction of homes on trust lands.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

VA may make home loans to eligible applicants for any of the following purposes: (1) Buy or build a home; (2) simultaneously purchase and improve a home; (3) repair, alter or improve a home; (4) purchase a manufactured home to be permanently affixed to a lot that is already held in leasehold by the applicant; or (5) to refinance an existing VA loan to lower the interest rate. Restrictions on direct loans under this program are that: (a) the borrower must have a leasehold or fee interest and occupy, as his or her home, the housing unit securing the loan; (b) the nature and condition of the unit must be suitable for dwelling; (c) the terms of repayment must bear a proper relationship to the applicant's present and anticipated income and expenses; (d) the applicant must be a satisfactory credit risk; (e) the applicant must be a Native American; and (f) the property on which the home is located must be federal trust property.

Applicant Eligibility:

Veterans who are, or whose spouses are, recognized by a Federally Recognized Tribal Government as a Native American and who: (a) Served on active duty on or after September 16, 1940, and were discharged or released under conditions other than dishonorable. If service was any time during World War II, the Korean Conflict, the Vietnam-era, or the Persian Gulf War, then the Native American Veteran must have served on active duty for 90 days or more; peacetime service only must have served a minimum of 181 days continuous active duty. If separated from enlisted service which began after September 7, 1980, or service as an officer which began after October 16, 1981, a veteran must also have served at least 24 months of continuous active duty or the full period for which called or ordered to active duty. Veterans of such recent service may qualify with less service time if they have a compensable service-connected disability or were discharged after at least 181 days, under the authority of 10 U.S.C 1171 or 1173. (b) Any veteran in the above classes with less service but discharged with a service-connected disability. (c) If acknowledged as a Native American by a Federally Recognized Tribal Government, unmarried surviving spouses of otherwise eligible veterans who died in service or whose deaths were attributable to service-connected disabilities and spouses of members of the Armed Forces serving on active duty, who are listed as missing in action, or as prisoners of war and who have been so listed 90 days or more. (d) Members of the Selected Reservists who are, or whose spouses are, recognized by a Federally Recognized Tribal Government as Native Americans and who are not otherwise

eligible for home loan benefits and who have completed a total of 6 years in the Selected Reserves followed by an honorable discharge, placement on the retired list, or continued service.

Beneficiary Eligibility:

Native Americans who are veterans, veterans who are married to a Native American, service personnel, and certain unmarried surviving spouses of veterans.

Credentials/Documentation:

Evidence of the veteran's entitlement for loan benefits and qualifications for the loan purpose, documentation on the loan transaction and characteristics of the leasehold interest in the property proposed to secure the loan. Evidence also that the Federally Recognized Tribal Government which manages the trust land on which the home is or will be located recognizes the veteran, or the veteran's spouse, as a Native American.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each participating tribe must first enter into a Memorandum of Understanding with VA. Eligible veterans should obtain a Certificate of Eligibility from the VA Eligibility Center and obtain an acknowledgment of Native American status from his or her tribal council or Native American organizational body. This evidence should be presented to the nearest VA office with an application for a loan.

Award Procedure:

The VA Regional Loan Center will determine that application requirements are met, determine that the veteran is eligible for the loan and that the purposes for the loan meet the requirements of law and disburse the loan proceeds.

Deadlines:

None.

Range of Approval/Disapproval Time:

Within 10 days after VA's receipt of a loan application.

Appeals:

Denial of eligibility is appealable through Board of Veterans' Appeals. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

The limit on NADL loans is the same as the Federal Home Loan Mortgage Corporation (also known as "Freddie Mac") single family conventional conforming loan limit. That limit is currently \$417,000 for loans in the 48 contiguous states and \$625,500 for loans in Alaska, Hawaii, Guam, and the U.S. Virgin Islands. Increases in these loan limits will be published annually.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

Until the loan is paid in full the tribal organization must maintain adequate records on the terms of the leaseholds on the properties on which the homes are located.

Account Identification:

36-4130-0-3-704.

Obligations:

(Loans) FY 07 \$7,701,000; FY 08 est \$12,428,000; FY 09 est \$12,676,000.

Range and Average of Financial Assistance:

Loans will be limited to \$417,000 in the 48 contiguous states and \$625,500 in Alaska, Hawaii, Guam, and the U.S. Virgin Islands.

PROGRAM ACCOMPLISHMENTS:

In FY 07, the volume was 38 loans totaling \$7,701,000. In FY 08, the volume is 60 loans totaling \$12,428,000. In FY 09, 60 loans are expected totaling \$12,676,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 36.4501 and 36.4527. VA Pamphlet 26-93-1, VA Direct Home Loan for Native American Veterans Living on Trust Lands. VA Pamphlet 80-00-1, Federal Benefits for Veterans and Dependents, S/N 051-000-00233-4, at \$5.00 each, available from the Government Printing Office, P.O. Box 371954, Pittsburgh, PA 15250-7954.

Regional or Local Office:

Loan applications are processed completely by the Veterans Benefits Administration field offices for their respective geographic area jurisdiction. Initial contact should be made with the appropriate office listed in Appendix IV of the Catalog under Veterans Benefits Administration.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9529.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

15.124, Indian Loans. Economic Development; 64.102, Compensation for Service-Connected Deaths for Veterans' Dependents; 64.106, Specially Adapted Housing for Disabled Veterans; 64.114, Veterans Housing_Guaranteed and Insured Loans; 64.118, Veterans Housing_Direct Loans for Certain Disabled Veterans; 64.119, Veterans Housing_Manufactured Home Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.127 MONTHLY ALLOWANCE FOR CHILDREN OF VIETNAM VETERANS BORN WITH SPINA BIFIDA

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1805.

OBJECTIVES:

To provide financial assistance to certain children born with spina bifida who are children of individuals who served in the Republic of Vietnam during the Vietnam era.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Those children born with spina bifida, except spina bifida occulta, who are natural children of individuals who served in Vietnam, regardless of age or marital status, conceived after the date on which the individual first served in the Republic of Vietnam during the period beginning on January 9, 1962, and ending on May 7, 1975, without regard to the characterization of the individual's service.

Beneficiary Eligibility:

Children born with spina bifida.

Credentials/Documentation:

Birth certificate and military discharge certificate. Child must be born with spina bifida and be the natural child of an individual who served in the Republic of Vietnam between January 2, 1962 through May 2, 1975.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application (VA Form 21-0304) may be obtained from a local or regional office (see Appendix IV of the Catalog), and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

None. Entitlement is established from the date of receipt of the application, but not before October 1, 1997.

Range of Approval/Disapproval Time:

Approximately 57 percent completed in 90 days or less.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

None.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

(Direct Payments) FY 07 \$18,254,000; FY 08 est \$18,706,000; and FY 09 est \$19,207,000.

Range and Average of Financial Assistance:

Payments are \$270, \$930, or \$1,586 monthly based on the degree of disability of the child.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 1,163 children received benefits. The estimates for fiscal years 2008 and 2009 are 1,165 and 1,167 respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.814. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954,

Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9700.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.128 VOCATIONAL TRAINING AND REHABILITATION FOR VIETNAM VETERANS' CHILDREN WITH SPINA BIFIDA OR OTHER COVERED BIRTH DEFECTS

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

38 U.S.C. 1804, as amended, Subchapter III Sec. 1821.

OBJECTIVES:

To provide vocational training and rehabilitation to certain children born with spina bifida or other covered birth defects who are children of Vietnam veterans and some Korean veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Program must lead to a vocational goal that it is feasible for the child to achieve. The child should be able to achieve the vocational goal within 24 months of services and assistance, although an extension of up to 24 months is possible under certain conditions.

Applicant Eligibility:

A child born with spina bifida or other covered birth defects, except spina bifida occulta, who is the natural child of a Vietnam veteran and some Korean veterans, regardless of the age or marital status of the child, conceived after the date on which the veteran first served in the Republic of Vietnam during the Vietnam era and in particular areas near the DMZ in the Korean conflict. VA must also determine that it is feasible for the child to achieve a vocational goal.

Beneficiary Eligibility:

Children born with spina bifida.

Credentials/Documentation:

Birth certificate and military discharge certificate are required. The child must be born with spina bifida and be the natural child of a Vietnam veteran and some Korean veterans.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

An application may be submitted to the Regional Office. There is no prescribed form, but the applicant must provide the child's full name, VA claim number, address, the veteran parent's name, and VA claim number. The letter must clearly identify the benefit sought or use VA Form 21-0304.

Award Procedure:

Awards are authorized at the Regional Office.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not available at this time.

Appeals:

Available through special board. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification. Average time to process an appeal is 622 days.

Renewals:

None.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

None.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

FY 07 \$0; FY 08 est \$0; and FY 09 est \$0.

Range and Average of Financial Assistance:

Payments to providers for training and rehabilitation services and assistance.

PROGRAM ACCOMPLISHMENTS:

Cases: In fiscal year 2007, there were 0 new cases. It is estimated that in fiscal year 2008 and 2009 there will be 0 new cases.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.814. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4.

Regional or Local Office:

See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 461-9600.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.201 NATIONAL CEMETERIES

FEDERAL AGENCY:

NATIONAL CEMETERY ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

National Cemeteries Act of 1973; Public Laws 93- 43, 101-508, 102-86, 102-568, 103-240, and 103-446; 38 U.S.C. 2306, 2402, 2403 2404, and 5303A.

OBJECTIVES:

To provide burial space, headstones and markers, and perpetual care for veterans and members of the Armed Forces of the United States whose service terminated other than dishonorably, and for Reservists and National Guard members having 20 years qualifying service for the purpose of receiving retired pay and certain eligible dependents.

TYPES OF ASSISTANCE:

Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Interment or memorialization in a national cemetery is for eligible veterans; members of the Armed Forces of the United States; Reservists and National Guard members with 20 years of qualifying service, who are entitled to retired pay or would be entitled, if at least 60 years of age, under Chapter 67, Title 10, United States Code; and other persons and dependents meeting eligibility requirements under law.

Applicant Eligibility:

Applicant may be the next of kin, a friend of the decedent or a public assistance officer.

Beneficiary Eligibility:

Eligible Veterans, members of the Armed Forces of the United States (Army, Navy, Air Force, Marine Corps, and Coast Guard) who die on active duty; members of the Reserve or the Army and Air National Guard who die while performing active duty for training; Reservists and National Guard members having 20 years of qualifying service for the purpose of receiving retired pay or are eligible for retired pay but are under 60 years of age; the spouse of an eligible veteran member of the Armed forces or individual receiving retired pay (including a 20-year Reservist or National Guard member); the spouse of a member of the Armed Forces of the United States lost or buried at sea or officially determined to be permanently absent in a status of missing or missing in action; minor children and certain unmarried adult children of an eligible veteran or member of the Armed forces, or individual receiving retired pay (including a 20-year Reservist and National Guard member) are eligible for interment. Enlisted personnel who entered military duty after September 7, 1980, and officers commissioned after October 16, 1981, must have served a minimum of 24 months or the full period for which called or ordered to active duty to be eligible for interment. Citizens of the United States who served in the Armed Forces of any government allied with the United States during any war, who were citizens of the U.S. at time of entry and are citizens of the U.S. at time of death: Certain Commissioned Officers of the Public Health Service and the National Oceanic and Atmospheric Administration are also eligible. Groups determined to have active duty service are eligible for all VA benefits under the provisions of Section 401, Public Law 95-202. Reservists who are activated under Presidential Order under title 10, may also be eligible. National Guard Members called to Federal active duty may also be eligible. Other persons who meet eligibility requirements under law may be eligible for burial.

Credentials/Documentation:

Present documentation of qualifying military service to Cemetery staff at the

time the request for interment is made. National Cemetery Administration personnel will assist in verifying entitlement if documentation is not available. In addition, for a memorial headstone or marker, an affidavit attesting to the disposition of the remains is required.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

The person in charge of funeral arrangements (next of kin or funeral director) should communicate with the staff of the national cemetery in which interment or memorialization is desired, and furnish the name and official documentation of military service of the veteran on whose service the burial is predicated. If documentation is not available, as much of the following data as is available should be provided: Branch of service; rank; service number; social security number; VA claim number; date and place of entry into and separation from last period of active service; date and place of birth; and, date of death. This program is excluded from coverage under OMB Circular No. A-110. Application for a memorial headstone or marker must be submitted on VA Form 40-1330, "Application for Standard Government Headstone or Marker for Installation in a Private or State Veterans' Cemetery", unless placement is in a national cemetery. Memorial headstones or markers for national cemetery placement are ordered by cemetery staff.

Award Procedure:

Scheduling of a time and date for a committal service in a national cemetery will be made by the Cemetery staff upon verification of eligibility.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

36-0129-0-1-705.

Obligations:

(Salaries and Expenses) FY 07 \$155,003,000; FY 08 est \$193,852,000; and FY 09 est \$175,373,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Interments in fiscal year 2007 totaled 101,185. Estimate of interments for fiscal year 2008 is 102,500, and for fiscal year 2009, 111,000. There are 125 operating national cemeteries under the jurisdiction of the Department of Veterans Affairs. Of this number, 65 have space available for casketed and cremated remains; 21 have space available for the casketed interment of eligible family members of those already interred at the cemetery, and for cremated remains; and 39 have space available only for the interment of eligible family members of those already interred.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following brochures are available at the Washington Office and other VA offices at no charge: "Interments in Department of Veterans Affairs (VA) National Cemeteries" (VA-NCA IS-1) and "National Cemetery Administration" brochure. Gravesite locator maps, and informational leaflets are available for many of the national cemeteries, as well as information kiosks at certain national cemeteries.

Regional or Local Office:

Memorial Service Network Offices are located at: 5000 Wissahickon Avenue, Philadelphia, PA 19144; 1700 Clairmont Road, 4th Floor, Decatur, GA 30033-4032; P.O. Box 25126, Denver, CO 80225; 575 North Pennsylvania St., Indianapolis, IN 46204; 1301 Clay St., 1230 North, Oakland, CA 94612-5209. See Appendix IV of the Catalog for contacts and telephone numbers of Memorial Service Network Offices in the National Cemetery Administration under Department of Veterans Affairs.

Headquarters Office:

Director, Office of Field Programs (41A), National Cemetery Administration, Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC

20420. Contact: Mr. Steve Muro. Telephone: (202) 461-6249.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.101, Burial Expenses Allowance for Veterans; 64.202, Procurement of Headstones and Markers and/or Presidential Memorial Certificates.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.202 PROCUREMENT OF HEADSTONES AND MARKERS AND/OR PRESIDENTIAL MEMORIAL CERTIFICATES

FEDERAL AGENCY:

NATIONAL CEMETERY ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

National Cemeteries Act of 1973; 38 U.S.C. 2306, 2403 and 2404(a)(b)(c); Veterans' Disability Compensation and Survivors' Benefits Act of 1978, Section 303(b); Public Laws 93-43, 95-479, 95-476, 102-547, 107-103, and 107-330; 38 U.S.C. 313(a), 38 U.S.C. 112.

OBJECTIVES:

To furnish lasting memorials for the graves of veterans and eligible family members throughout the world and honor the service of the veteran through Presidential Memorial Certificates.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Provision of Specialized Services.

USES AND USE RESTRICTIONS:

Headstones and Markers: For eligible veterans only who are buried in a private cemetery and who died before September 11, 2001, government-furnished headstones and markers may be furnished only for the unmarked graves. For eligible veterans only who are buried in a private cemetery and who die on or after September 11, 2001, government-furnished headstones and markers are furnished for the grave regardless if it is already marked with a privately purchased headstone. For the unmarked grave of eligible veterans and eligible family members buried in a VA national cemetery, a Department of Interior national cemetery, a State Veterans Cemetery operating under a grant through the State Cemetery Grants Program, Arlington National Cemetery, or a Military/Post cemetery. A memorial headstone or marker may be furnished for a veteran when the remains are non-recoverable. The memorial headstone or marker must be placed in a recognized cemetery. A memorial headstone or marker may also be furnished for the eligible spouse of the veteran if placement of the memorial headstone or marker is in a national or state cemetery. Benefits include: procurement of Government headstones, markers, and niche covers; authorized inscription; costs of shipment to destination; installation and maintenance costs in national, state and military/post cemeteries; and, replacements when headstones, markers, and niche covers are severely damaged, when inscriptions are incorrect, or when inscriptions have become illegible. Presidential Memorial Certificates: Provided to family members and friends of the honorably discharged veteran to honor the memory of the veteran. Additional certificates may also be requested and provided. Benefits include: procurement of a gold embossed paper certificate inscribed with the veteran's name that bears the President's signature; and mailed to family members and/or friends of the veteran.

Applicant Eligibility:

When burial or memorialization is in a private cemetery, the applicant is normally the next of kin. In the absence of relatives, the applicant need not be a member of the deceased's family. The applicant's signature on VA Form 40-1330, "Application for Standard Government Headstone or Marker for Installation in a Private or State Veterans Cemetery," certifies all information on the application is correct, and the type of headstone or marker requested is permitted by the cemetery on the grave of the veteran, or for memorialization in an appropriate area of the cemetery. If a burial or memorialization plot is in a national cemetery, State veterans cemetery, or a military/post cemetery, the Cemetery Director orders the headstone or marker, after completion of the interment in the cemetery, or upon need for replacement.

Beneficiary Eligibility:

Any deceased veteran of wartime or peacetime service who was discharged under conditions other than dishonorable. Service after September 7, 1980 for enlisted personnel and October 16, 1981 for commissioned officers, must be for a minimum of 24 consecutive months or the period for which ordered to be completed under special circumstances; for example, death on active duty, or any active duty during the Persian Gulf War qualifies for this benefit. In addition, members of the Reserve and the Army and Air National Guard who die while performing, or as a result of performing, active duty for training or are entitled to retirement pay subsequent to October 27, 1992; all persons, including Reservists and National Guard members having 20 years of qualifying service for the purpose of receiving retired pay or are eligible for retired pay, but are under 60 years of age; Commissioned officers of the Public Health Service and

the National Oceanic and Atmospheric Administration; Merchant Mariners and certain other categories of individuals who participated in wartime activities whose service has been classified as active duty by the Secretary of the Air Force in accordance with Public Law 95-202 may also be eligible. Spouses and certain other dependents of those eligible for a headstone or marker and buried in a national, State or military/post cemetery are also eligible.

Credentials/Documentation:

Copy of the veteran's Report of Separation (DD 214) or equivalent, or other official military documents that detail the character of military service. In addition, for a memorial headstone or marker a statement attesting to the disposition of the remains is required.

Preapplication Coordination:

If the headstone or marker is to be placed in a nonfederal cemetery, the approval of the cemetery operator must be secured. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicant must complete VA Form 40-1330, Application for Standard Government Headstone or Marker, in accordance with the General Information and instructions attached to the application and submit it to the Department of Veterans Affairs. Submission to the VA is preferred by toll free fax at 1-800-455-7143. It may also be mailed to: Director, Memorial Programs Service (41A1), Department of Veterans Affairs, 5109 Russell Road, Quantico, VA 22134-3903. Detailed instructions for submitting the application can be referenced at the official website for the National Cemetery Administration at www.cem.va.gov. Applications are also available at this website, or they can be obtained at any VA Regional Office, State and County Veterans Service Office, and most funeral homes. Xerographic copies with original signatures of applicant, consignee and cemetery officials are acceptable. Letters requesting Presidential Memorial Certificates may be mailed to Memorial Programs Service (41A1C), Department of Veterans Affairs, 5109 Russell Road, Quantico, VA 22134-3903. Requests for PMC's may also be made by telephone to (202) 565-4259.

Award Procedure:

Not applicable.

Deadlines:

None.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Not applicable.

Length and Time Phasing of Assistance:

Not applicable.

Reports:

Not applicable.

Audits:

Not applicable.

Records:

Not applicable.

Account Identification:

36-0155-0-1-701 (Benefits); 36-0129-0-1-705 (Salaries and Expenses).

Obligations:

(Benefits) FY 07 \$41,846,000; FY 08 est \$44,200,000; and FY 09 est \$44,800,000. (Salaries and Expenses) FY 07 \$5,228,000; FY 08 est \$5,431,000; and FY 09 est \$5,586,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

In FY 07, 359,530 applications were processed for standard government headstones and markers. It is estimated that the total applications processed will be 347,300 in FY 08, and 344,700 in FY 09. Procurement of headstones, markers, and inscriptions on existing niche covers and headstones in FY 07 was 334,257 and estimates are 346,315 in FY 08, and 343,702 in FY 09. In FY 07, 423,143 Presidential Memorial Certificates were issued. It is estimated that 391,700 will be issued in FY 08, and 388,700 in FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Application for Standard Government Headstone or Marker" (VA Form 40-1330), contains instructions for completing the form, and illustrations of the different types and styles of headstones, markers, and niche covers available. It is available at Department of Veterans Affairs Regional Offices at no charge. Presidential Memorial Certificates may be requested through Department of Veterans Affairs Regional Offices and national cemeteries.

Regional or Local Office:

Memorial Programs Service (MPS) Processing Sites: MPS Processing Sites are located at: Ft. Leavenworth National Cemetery, 395 Biddle Boulevard, Ft. Leavenworth, KS 66027-2307. Toll free telephone: (1-888) 460-9709, Fax: (913) 758-1839. Nashville Processing Site, 220 Athens Way, Suite 102, Nashville, TN 37228-1346. Toll free telephone: (1-888) 367-1330, Fax: (615)

736-2026. Lebanon VA Medical Center, 1700 South Lincoln Avenue, Building #27, Lebanon, PA 17042. Toll free telephone: (1-888) 574-9107, Fax: (717) 270-9428.

Headquarters Office:

Director, Memorial Programs Service (41A1), National Cemetery Administration, Department of Veterans Affairs, 5109 Russell Road, Quantico, VA 22134-3903. Contact: Lindee L. Lenox. Telephone (202) 501-3100. Program Support Unit; issues relating to headstone and marker: Contractor's telephone number: (202) 501-3027. Applicant Assistance; shipment tracer information; problems with shipments arriving C.O.D.; eligibility matters; inscription matters; and, problems relating to quality of headstones and markers telephone: (1-800) 697-6947. For questions concerning Presidential Memorial Certificates telephone: (202) 565-4259.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.101, Burial Expenses Allowance for Veterans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

64.203 STATE CEMETERY GRANTS

FEDERAL AGENCY:

NATIONAL CEMETERY ADMINISTRATION, DEPARTMENT OF VETERANS AFFAIRS

AUTHORIZATION:

Veterans Housing Benefits Act of 1978, Section 202, Public Laws 95-476, 98-223, 100-687, 103-446, and 105-368; 38 U.S.C. 2408.

OBJECTIVES:

To assist States in the establishment, expansion, and improvement of veterans' cemeteries.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Monetary assistance is provided under this program to construct, expand, improve or operate State veterans' cemeteries. Cemeteries must be State-owned and operated solely for the interment of eligible veterans and their dependents and/or spouses. Construction cost means the amount found necessary to convert a tract of land to an operational cemetery.

Applicant Eligibility:

Any State may apply.

Beneficiary Eligibility:

The cemetery must be used solely for the interment of veterans, their wives, husbands, surviving spouses, minor children, and unmarried adult children who were physically or mentally disabled and incapable of self support: section 38 CFR Part 38.620.

Credentials/Documentation:

Costs will be determined in accordance with revised OMB Circular No. A-87 for State Governments, dated May 4, 1995, and further amended August 29, 1997.

Preapplication Coordination:

Consultation or assistance is available from VA Central Office personnel (State Cemetery Grants Service) to aid in the preparation of an application. The standard application forms as furnished by VA and required by OMB Circular No. A-102 must be used for this program (Standard Form 424, "Application for Federal Assistance," with attachments). An environmental assessment is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Submit Standard Form 424, "Application for Federal Assistance for Construction Programs," with attachments, to the Director, State Cemetery Grants Service (401C), Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Formal notification of the award to the State is made by the Under Secretary for Memorial Affairs, National Cemetery Administration.

Deadlines:

A deadline of July 1 is established for the filing of applications in the year prior to the fiscal year in which funding is requested.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

No application shall be disapproved until the applicant has been afforded an opportunity for a hearing.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount of the Federal contribution to a State is up to 100 percent of the cost of establishing, improving or expanding State Veterans Cemeteries. In the case of establishment grants, the cost of operating equipment may also be included. Land value is not included as an allowable cost for reimbursement under the grant.

Length and Time Phasing of Assistance:

Funds are provided by electronic transfer of funds and must be used within 3 years.

Reports:

Outlay Report and Request for Reimbursement for Construction Programs, and Performance Reports are accomplished in accord with 38 CFR Part 43.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records and all other records pertinent to a grant shall be retained for a period of three years. If any claim or audit is started before the expiration of the three year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

36-0183-0-1-705.

Obligations:

(Grants) FY 07 \$45,888,000; FY 08 est \$39,838,000; and FY 09 est \$32,000,000.

Range and Average of Financial Assistance:

\$4,305 to \$10,965,487. Average: \$1,915,488.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2008 the State Cemetery Grants Service has received requests totaling \$198,497,229. The State Cemetery Grants Service estimates approximately five to ten applications to establish, expand, or improve State veterans cemeteries in fiscal years 2008 and 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Regulations 38 CFR Parts 39 and 43, State Cemetery Grant Program and "Federal Assistance for Establishment, Expansion, and Improvement of State Veterans' Cemeteries (State Cemetery Grants Program)" (VA Pamphlet 40-96).

Regional or Local Office:

None.

Headquarters Office:

Director, State Cemetery Grants Service, (41E), National Cemetery Administration, Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420. Contact: Mr. Frank K. Salvas, Jr. Telephone: (202) 565-4804. Fax: (202) 565-6141.

Web Site Address:

<http://www.va.gov>.

RELATED PROGRAMS:

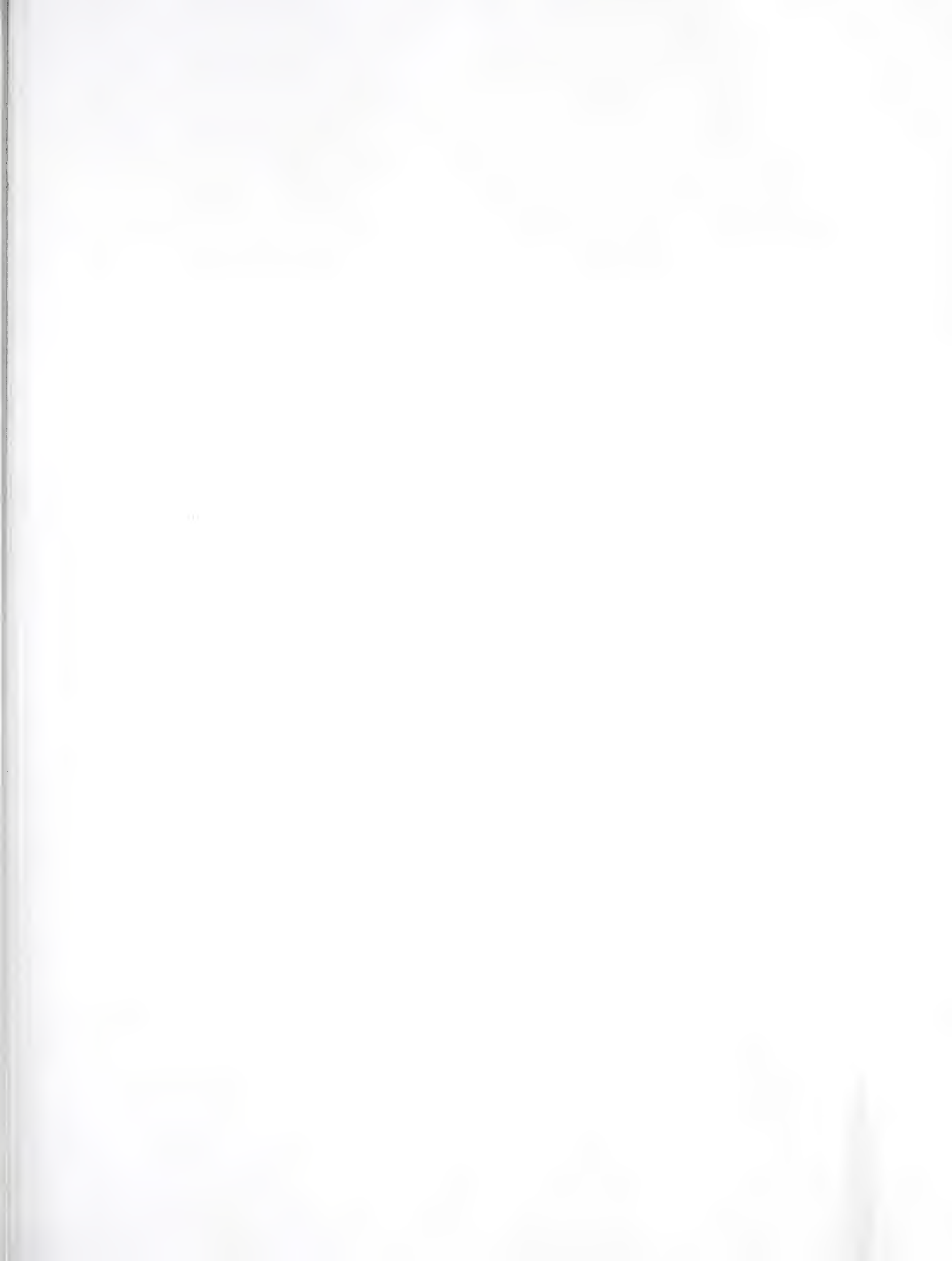
64.101, Burial Expenses Allowance for Veterans; 64.201, National Cemeteries; 64.202, Procurement of Headstones and Markers and/or Presidential Memorial Certificates.

EXAMPLES OF FUNDED PROJECTS:

- 1) Construction necessary to convert a tract of land to an operational cemetery;
- 2) construction of main entrance, roads, committal service shelter, administration/maintenance building, storm drainage system and irrigation; and
- 3) the expansion of cemetery acreage or building a columbarium.

CRITERIA FOR SELECTING PROPOSALS:

Applications are prioritized and ranked with other projects in the order received. The priority system consists of four groups or categories. Examples: The extent to which States have adequate funds to initiate and complete the design process required for approval for the grant program, and the extent to which a project involves additional gravesites necessary to keep the cemetery open.



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